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116TH CONGRESS
2d Session

HOUSE OF REPRESENTATIVES

REPORT
116–465

IN THE MATTER OF ALLEGATIONS RELATING TO REPRESENTATIVE DAVID SCHWEIKERT

R E P O R T

OF THE

COMMITTEE ON ETHICS

BOOK 1 OF 2

JULY 30, 2020.—Referred to the House Calendar and ordered to be printed

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JULY 30, 2020.—Referred to the House Calendar and ordered to be printed

U.S. GOVERNMENT PUBLISHING OFFICE

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LETTER OF TRANSMITTAL

HOUSE OF REPRESENTATIVES,
COMMITTEE ON ETHICS,
Washington, DC, July 30, 2020.

Hon. CHERYL L. JOHNSON,
Clerk, House of Representatives,
Washington, DC.

DEAR MS. JOHNSON: Pursuant to clauses 3(a)(2) and 3(b) of Rule XI and clause 5(a)(5) of Rule XIII of the Rules of the House of Representatives, we herewith transmit the attached report, "In the Matter of Allegations Relating to Representative David Schweikert."

Sincerely,

THEODORE E. DEUTCH,
Chairman.
KENNY MARCHANT,
Ranking Member.

CONTENTS

		Page
I.	INTRODUCTION	1
II.	PROCEDURAL HISTORY	2
III.	FINDINGS	3
IV.	RESPONSE TO REPRESENTATIVE SCHWEIKERT'S VIEWS	5
V.	REASONS FOR RECOMMENDED SANCTION	7
VI.	STATEMENT UNDER HOUSE RULE XIII, CLAUSE 3(C)	8

ATTACHMENT: Report of the Investigative Subcommittee and Appendices

Calendar No. 87

116TH CONGRESS *2d Session* } HOUSE OF REPRESENTATIVES { REPORT 116–465

IN THE MATTER OF ALLEGATIONS RELATING TO REPRESENTATIVE DAVID SCHWEIKERT

JULY 30, 2020.—Referred to the House Calendar and ordered to be printed

Mr. DEUTCH, from the Committee on Ethics, submitted the following

R E P O R T

I. INTRODUCTION

The Committee on Ethics (Committee) hereby submits this privileged report pursuant to House Rule XI, clause 3(a)(2) and House Rule XIII, clause 5(a)(5), which authorize the Committee to investigate any alleged violation by a Member, officer, or employee of the House of Representatives, of the Code of Official Conduct or any law, rule, regulation, or other standard of conduct applicable to the conduct of such Member, officer, or employee and to submit to the House a privileged report recommending action by the House as a result of such investigation.

This report: (1) summarizes the Committee's investigation of Representative David Schweikert relating to violations of House Rules, the Code of Ethics for Government Service, federal laws and other applicable standards related to campaign finance violations and reporting errors by his authorized campaign committees, the misuse of his Members' Representational Allowance for unofficial purposes, pressuring official staff to perform campaign work, and his lack of candor during the investigation; (2) adopts the attached report of the Investigative Subcommittee (ISC) in the Matter of Representative Schweikert;[1] (3) addresses Representative Schweikert's views on the ISC Report; and (4) recommends to the House of Representatives that, pursuant to Article I, Section 5, Clause 2 of the United States Constitution and Committee Rule 24(e), the House of Representatives adopt this report and, by such

[1] The Committee thanks the Members of the ISC for their efforts and attention to this matter.

action, Representative Schweikert be reprimanded and fined $50,000.

II. PROCEDURAL HISTORY

On April 16, 2018, the Office of Congressional Ethics (OCE) sent referrals (OCE's First Referrals) to the Committee recommending that the Committee further review allegations that: Representative Schweikert and his then-Chief of Staff, Richard Oliver Schwab, may have misused or authorized the misuse of House resources; Representative Schweikert may have failed to ensure that his campaign committees complied with applicable rules regarding contributions from congressional employees; Mr. Schwab may have improperly made personal outlays on behalf of Representative Schweikert's principal campaign committees; and Mr. Schwab may have received income beyond the outside earned income limit for senior staff. On June 14, 2018, the Committee unanimously voted to establish an ISC to review the allegations in OCE's First Referrals.[2]

On September 5, 2018, OCE sent a second referral (OCE's Second Referral) to the Committee recommending the Committee further review allegations that: (1) Representative Schweikert may have used official resources to benefit his campaign or pressured congressional staff to perform political activity; (2) Representative Schweikert may have authorized compensation to an employee who did not perform duties commensurate with his House employment; (3) Representative Schweikert or his campaign committee may have received loans or gifts from a congressional employee; and (4) Representative Schweikert may have omitted required information from his annual House financial disclosure statements (FD Statements) and Federal Election Commission (FEC) candidate committee reports.[3] On December 20, 2018, the Committee unanimously voted to expand the ISC's jurisdiction to include the allegations contained in OCE's Second Referral.

The ISC met four times during the 115th Congress and 22 times during the 116th Congress. The ISC interviewed 18 individuals, including former and current staff of Representative Schweikert's congressional office and campaign. The ISC issued four subpoenas and 15 requests for information, and in response received over 200,000 pages of documents. Representative Schweikert voluntarily appeared before the ISC and answered questions under oath.

Following its investigation, the ISC unanimously concluded there was substantial reason to believe that Representative Schweikert failed to uphold the laws and regulations of the United States, in violation of paragraph 2 of the Code of Ethics for Government Service and that he did not act in a manner that reflected creditably on the House, in violation of House Rule XXIII, clause 1 in connection with: his failure to take reasonable steps to ensure his campaign committees operated in compliance with applicable laws and standards of conduct; the misuse of his MRA for non-official pur-

[2] On July 9, 2018, Mr. Schwab left House employment after resigning from his position as Representative Schweikert's Chief of Staff. On the date of Mr. Schwab's resignation, the ISC's and the Committee's jurisdiction over Mr. Schwab ended.

[3] OCE also reviewed an allegation that Representative Schweikert tied official activities to campaign or political support. OCE did not find substantial reason to believe the fifth allegation and recommended that the allegation be dismissed.

poses; pressuring official staff to perform campaign work; and his lack of candor and due diligence in the course of the investigation.

On June 30, 2020, following negotiations with Representative Schweikert's counsel, the ISC unanimously voted to adopt a Statement of Alleged Violations (SAV) detailing 11 violations and the facts giving rise to those violations. As part of the settlement, Representative Schweikert agreed to admit to all 11 violations in the SAV and waive all further procedural rights he was afforded under House and Committee rules. The ISC also agreed to recommend, and Representative Schweikert agreed to accept, a sanction of reprimand by the House of Representatives and a $50,000 fine regarding the conduct set forth in the SAV.

On July 1, 2020, the ISC submitted a Report to the full Committee detailing the evidence in support of its findings and the rationale for its recommendation. Representative Schweikert also submitted a response to the ISC's report stating that he continues to take responsibility for his actions, noting that he has implemented a number of corrective actions, but challenging some of what Representative Schweikert characterized as "assertions" in the ISC's Report. Representative Schweikert, however, continues to admit to the violations contained in the SAV.

In light of the above, on July 29, 2020, the Committee unanimously voted to adopt this Report and to approve Representative Schweikert's waiver agreement.

III. FINDINGS

The Committee adopts as its findings in this matter the Report of the Investigative Subcommittee, as attached.

In summary, the ISC Report details the substantial evidence in support of the violations of laws, rules and regulations contained in the SAV. First, Representative Schweikert failed to take reasonable steps to ensure his campaign committees operated in compliance with applicable laws and standards of conduct, including Federal Election Commission Act (FECA) reporting requirements. Specifically, between July 2010 and December 2017, Representative Schweikert's campaign committees erroneously disclosed or failed to disclose at least $305,000 in loans or repayment of loans made or obtained for the benefit of his congressional campaigns; failed to report at least $25,000 in disbursements made by his campaigns; failed to report more than $140,000 in contributions received by his campaigns; and falsely reported making disbursements totaling $100,000.[4] The errors violated FECA's reporting requirements, House Rule XXIII, clause 1, which requires Members to act in a manner that reflects creditably upon the House, and paragraph 2 of the Code of Ethics for Government Services, which requires Members to uphold the laws of the United States. These errors also form the basis for Counts I through VI of the SAV.[5]

Second, between January 2011 and July 2018, Representative Schweikert's former Chief of Staff made over $270,000 worth of impermissible outlays on behalf of Representative Schweikert's cam-

[4] SAV at 3.
[5] *See id.* at 22–27.

paign[6] and at least three other members of Representative Schweikert's congressional staff made impermissible outlays, totaling less than $500.[7] Representative Schweikert knew or should have known that Mr. Schwab made substantial purchases on behalf of his campaign, but did not prevent the practice. Congressional employees are prohibited under federal law from making contributions to the campaign of their employing Member; certain outlays, even if reimbursed, are considered contributions and are thus impermissible. Accordingly, Representative Schweikert did not act in a manner that reflected creditably on the House, in violation House Rule XXIII, clause 1, and failed to uphold the laws and regulations of the United States in violation of paragraph 2 of the Code of Ethics for Government Service. This violation forms the basis for Count VII of the SAV.[8]

Third, Representative Schweikert misused campaign funds for personal purposes by accepting personal items from staff that were reimbursed by campaign funds. Between 2011 and 2018, at least four members of Representative Schweikert's congressional staff paid for personal items for Representative Schweikert, including food and babysitting services, and were then reimbursed for those items by Representative Schweikert's campaign.[9] The conversion of campaign funds to personal use violated FECA and the FEC's implementing regulations, violated House Rule XXIII, clause 6, which states campaign funds must be kept separate and cannot be converted to personal use, and violated paragraph 2 of the Code of Ethics for Government Service.[10] The conversion of campaign funds to personal use also forms the basis for Count VIII of the SAV.[11]

Fourth, Representative Schweikert's Members' Representational Allowance (MRA) was used for non-official purposes. Between January 2011 and November 2017, Representative Schweikert's official resources—including official funds, staff time, and congressional office space—were improperly used for unofficial and campaign purposes.[12] Members are responsible for ensuring proper management of their MRA and Representative Schweikert failed to provide the oversight necessary to prevent misuse of his MRA. The misuse of Representative Schweikert's MRA violated 31 U.S.C. § 1301, House Rule XXIII, clause 1 and paragraph 2 of the Code of Ethics for Government Service and formed the basis for Count IX of the SAV.[13]

Fifth, members of Representative Schweikert's congressional staff were pressured to perform campaign work. Representative Schweikert's former Chief of Staff testified that he was pressured to perform campaign work, specifically to fundraise, and in return, he had an expectation that congressional staff fundraise on behalf of the campaign.[14] Pressuring official staff to perform campaign work violated House Rule XXIII, clause 1 and formed the basis for Count X of the SAV.[15]

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[6] *Id.* at 14.
[7] *Id.* at 17.
[8] *Id.* at 27–28.
[9] *Id.* at 17–18.
[10] *Id.* at 29.
[11] *Id.*
[12] *Id.* at 18–21.
[13] *Id.* at 29–31.
[14] *Id.* at 21–22.
[15] *Id.* at 31–32.

Finally, Representative Schweikert violated House Rule XXIII, clause 1 by failing to exercise the proper diligence necessary in responding to the allegations and the ISC determined that his testimony lacked credibility.[16] Representative Schweikert's lack of candor and due diligence formed the basis for Count XI of the SAV.[17]

The ISC also investigated additional allegations for which it did not find a violation. The ISC unanimously concluded allegations that Representative Schweikert may have authorized compensation to an employee who did not perform duties commensurate with his House employment and that he or his campaign committee may have received loans or gifts from a congressional employee could not be substantiated.[18] The ISC further determined that no additional actions were necessary to address omissions in Representative Schweikert's FD Statements in consideration of the amendments filed to address those omissions.[19]

IV. RESPONSE TO REPRESENTATIVE SCHWEIKERT'S VIEWS

On June 27, 2020, Representative Schweikert submitted views in response to the draft report of the Investigative Subcommittee stating, "[c]ritically, I agree with the ISC's statement that I bear ultimate responsibility for ensuring that my congressional office and my campaign adhere to both the letter and spirit of the wide array of laws, rules, and regulations that govern our important work."[20] Representative Schweikert also accepted the ISC's conclusion that he fell short of his duty to adequately supervise his staff and others working on his behalf, deferred to the ISC's discretionary authority regarding his cooperation with its investigation, and requested that the Committee approve the settlement agreement negotiated with the ISC.[21] Representative Schweikert, however, also challenges some of the "assertions" in the ISC report.

Representative Schweikert suggests that Mr. Schwab's testimony should not be credited because he admitted to "acts of dishonesty." The ISC, however, made clear throughout its report that it did not rely exclusively on Mr. Schwab's testimony to find violations. Furthermore, as the ISC explained, had it credited uncorroborated portions of Mr. Schwab's testimony, Representative Schweikert would likely be facing harsher penalties.[22] Many aspects of Mr. Schwab's testimony were corroborated by other witnesses or documents obtained by the ISC and there is substantial evidence to support the violations contained in the SAV to which Representative Schweikert admits.

Representative Schweikert also expresses confusion at the ISC's finding that his cooperation fell short of the standards it expected, but his bewilderment ignores the ISC's central concern that he did not identify or address errors in his FEC reports identified by OCE for over a year. Representative Schweikert had reason to know of many FEC reporting errors but did not promptly advise the ISC of

[16] *Id.* at 32–33.
[17] *Id.*
[18] *See* ISC Report at 90.
[19] *Id.* at 93.
[20] Appendix E at 2.
[21] *Id.* at 2, 6.
[22] ISC Report at 7.

them, despite having numerous opportunities to do so and despite the ISC's specific requests that he identify the errors.

In addition, the Committee defers to the ISC's findings that Representative Schweikert's testimony lacked candor at times. In taking issue with the ISC's questioning strategy, Representative Schweikert attempts to shift his responsibility to provide truthful and candid testimony into an affirmative duty of the ISC to inform him whenever he gave untruthful testimony. However, the ISC findings with respect to his lack of candor were not over minor memory lapses or slight deviations from others' testimony but were due to its serious concerns regarding Representative Schweikert's own affirmative and self-serving statements, some which were not responsive to any question posed, and which were squarely inconsistent with the record the ISC obtained.[23]

The Committee appreciates that Representative Schweikert made substantial efforts to cooperate with the investigation by, among other things, producing thousands of pages of documents in response to the ISC's requests for information and by submitting a *sua sponte* report detailing his campaign's acceptance of outlays; however, as the ISC explained, "there is no number of pages produced or dollars spent on lawyers that can substitute for actually acknowledging and providing candid responses to specific allegations of unethical conduct." [24]

Throughout the course of this investigation, Representative Schweikert made vague or misleading statements to the ISC and OCE that allowed him to evade the statute of limitations for the most egregious violations of campaign finance laws, his document productions were slow or non-responsive to several of the ISC's requests for information regarding FEC errors, and he gave self-serving testimony that lacked candor. Efforts like the ones Representative Schweikert undertook to delay and impede the ISC's investigation were not only highly detrimental to the Committee's work and reputation of the House, they were themselves sanctionable misconduct.

This matter should serve as an important reminder to all individuals within the House community that when confronted with allegations of unethical conduct, they should take immediate steps to investigate and correct the issues and ensure that they do not occur again in the future. Allowing unethical conduct to continue in a Member's campaign and/or congressional office makes that Member complicit in the violation and the offending Member will be held accountable. Moreover, when an individual delays acknowledging violations under review by the Committee that they know to be true, not only can the work of the Committee be impeded, but such stalling is inconsistent with the duty of candor owed to the Committee, may be viewed as an aggravating factor depending on the circumstances, or, as was the case in this matter, lead to a finding of a separate violation.

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[23] *Id.* at 97.
[24] *Id.*

V. REASONS FOR RECOMMENDED SANCTION

The cumulative violations in this matter are serious and occurred on a continuous and prolonged basis.[25] While all of the violations detailed above were concerning, the Committee was disturbed by the events described in counts three and four of the SAV in particular. Those counts detailed how Representative Schweikert's campaign committee falsely reported that he had loaned the campaign $100,000, when no such loan had been made, and then falsely reported making $100,000 in disbursements, which served to adjust the campaign's reported cash on hand that was propped up by the fictious loan.[26] These errors were not only flagrant and egregious violations of campaign finance law, the falsely reported loan improperly inflated his campaign's finances, thus making Representative Schweikert's campaign appear to meet its financial goals while depriving the public of accurate and transparent accounting of the true state of his campaign.[27] The falsely reported loan was subsequently included in the Schweikerts' overall assets listed in personal financial statements they submitted to a bank in connection with a line of credit the bank provided in support of Representative Schweikert's campaign.[28] However, as detailed in Count II of the SAV, the line of credit was never disclosed to the FEC.[29] In addition to these interconnected reporting violations, Representative Schweikert's campaign further benefited from a scheme in which his former Chief of Staff made significant, yet impermissible, campaign expenditures.[30] As detailed in Count VII of the SAV, Mr. Schwab waited weeks, and sometimes months, to seek reimbursements from the campaign for his purchases, thereby providing the campaign with enough liquidity to meet its other obligations, or in other instances, allowing the campaign to post higher cash on hand totals.[31]

The ISC considered whether a House-level sanction of censure was appropriate given that the violations in this matter were serious, cumulative, and occurred on a continuous and prolonged basis.[32] The ISC ultimately agreed to recommend a lesser sanction than censure, "due in large part to the congressman's willingness to accept responsibility and agreement to pay a substantial monetary fine."[33] The Committee defers to the ISC's sanction recommendation, which is that Representative Schweikert be reprimanded and fined $50,000, and recognizes that by admitting to the violations and waiving his additional procedural rights, Representative Schweikert has saved the House the further use of sig-

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[25] *Compare* Comm. on Standards of Official Conduct, *In the Matter of Representative Charles B. Rangel*, H. Rept. 111–661, 111th Cong. 2d Sess. 2 (2010) (seeking censure of Member based on cumulate nature of serious violations on a "continuous and prolonged bases," where Member did not enter settlement agreement) (hereinafter *Rangel*).

[26] SAV at 25–26.

[27] ISC Report at 28–30.

[28] *Id.* at 21 n. 95.

[29] SAV at 23–25.

[30] ISC Report at 40–53.

[31] SAV at 27–28.

[32] See *Rangel* at 2 (censuring Member, who did not enter into a settlement agreement, for serious violations that occurred on a continuous and prolonged basis).

[33] ISC Report at 99.

nificant resources and allowed this matter to be closed expeditiously.[34]

Therefore, the Committee recommends Representative Schweikert be reprimanded for the violations discussed above. In addition to public reprimand, the Committee recommends that the House, by adoption of this Report, impose a $50,000 fine on Representative Schweikert for his misconduct and that the fine be payable to the U.S. Treasury no later than October 30, 2020.

The Committee further recommends that the House of Representative adopt a resolution in the following form and that the adoption of this Report will serve as a reprimand of Representative Schweikert and the imposition of a $50,000 fine under the conditions outlined herein:

HOUSE RESOLUTION

Resolved, (1) That the House adopt the Report of the Committee on Ethics dated July 30, 2020, In the Matter of Allegations Relating to Representative David Schweikert.

VI. STATEMENT UNDER HOUSE RULE XIII, CLAUSE 3(c)

The Committee made no special oversight findings in this Report. No budget statement is submitted. No funding is authorized by any measure in this Report.

[34] *See* Comm. on Ethics, *In the Matter of Allegations Relating to Representative Laura Richardson*, H. Rept. 112–642, 112th Cong. 2d Sess. 15 (2012) (seeking reprimand of Member for serious violations following negotiated settlement agreement in which a Member admitted to violations).

ADOPTED BY THE INVESTIGATIVE SUBCOMMITTEE ON JUNE 30, 2020

116TH CONGRESS, 2ND SESSION
U.S. HOUSE OF REPRESENTATIVES
COMMITTEE ON ETHICS

IN THE MATTER OF ALLEGATIONS RELATING TO
REPRESENTATIVE DAVID SCHWEIKERT

June 30, 2020

Mr. PHILLIPS, from the Investigative Subcommittee, submitted the following

REPORT

To the Committee on Ethics

INVESTIGATIVE SUBCOMMITEE

Dean Phillips, Minnesota
Chairman

Bill Flores, Texas
Ranking Member

Jamie Raskin, Maryland

John Katko, New York

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Danielle Appleman, *Investigator*
Caroline Taylor, *Investigative Clerk*

CONTENTS

I. INTRODUCTION **1**

II. PROCEDURAL HISTORY **3**

III. FINDINGS **6**

A. FINDINGS RELATING TO CAMPAIGN FINANCE 8

1. Overview *8*

2. Failure to Disclose Metro Phoenix Bank Loan *11*

3. Falsely Reported $100,000 Personal Loan and Falsely Reported $100,000 Disbursements *20*

4. Irregularities with August 2012 $130,000 Personal loan *30*

5. Failure to Report Contributions and Receipts *36*

6. Campaign Contributions by Congressional Staff *40*

7. Allegations that Representative Schweikert Accepted Gifts from Staff & Misused Campaign Funds for Personal Expenditures *53*

B. FINDINGS RELATING TO MISUSE OF OFFICIAL RESOURCES 60

1. Overview *60*

2. Findings Relating to Misuse of Official Resources for Campaign Purposes *61*

3. Findings Relating to Pressuring Staff to Perform Campaign Work *73*

4. Findings Relating to Mixed-Purpose Trip *80*

5. Findings Related to Allegations of MRA Misuse *84*

6. Allegations that Representative Schweikert May Have Authorized an Improper "Severance" Payment to Employee B *87*

C. FINDINGS RELATING TO FINANCIAL DISCLOSURE STATEMENTS 91

D. LACK OF CANDOR AND DILIGENCE DURING THE INVESTIGATION 94

IV. RECOMMENDATION FOR SANCTION OF REPRESENTATIVE SCHWEIKERT **97**

V. ADVISORY RECOMMENDATIONS **100**

VI. CONCLUSION **102**

APPENDIX A: Statement of Alleged Violations, Adopted June 30, 2020
APPENDIX B: Reports and Findings of the Office of Congressional Ethics
APPENDIX C: Stipulations
APPENDIX D: Exhibits to the Report of the Investigative Subcommittee
APPENDIX E: Views of Representative Schweikert

116TH CONGRESS, 2ND SESSION
U.S. HOUSE OF REPRESENTATIVES
COMMITTEE ON ETHICS

IN THE MATTER OF ALLEGATIONS RELATING TO REPRESENTATIVE DAVID SCHWEIKERT

June 30, 2020

REPORT OF THE INVESTIGATIVE SUBCOMMITTEE

I. INTRODUCTION

On June 14, 2018, the Committee on Ethics (Committee) impaneled this Investigative Subcommittee (ISC) to investigate allegations referred by the Office of Congressional Ethics (OCE) that Representative David Schweikert may have authorized the misuse of his Members' Representational Allowance (MRA) for improper purposes and that he may have accepted improper campaign contributions from his then-Chief of Staff, Richard Oliver Schwab. On December 20, 2018, the Committee unanimously voted to expand the ISC's jurisdiction to include allegations raised in a second referral from OCE that Representative Schweikert may have used official resources to benefit his campaigns, pressured official staff to perform campaign activities, authorized compensation to an employee who did not perform duties commensurate with the compensation the employee received, received loans or gifts from a congressional employee, and omitted required information from his House financial disclosure statements and Federal Election Commission (FEC) candidate committee reports.

The ISC conducted a detailed investigation into these numerous and wide-ranging allegations. Through its investigation, the ISC determined that Representative Schweikert's campaign committees made a series of reporting errors, ranging from technical errors to more substantive falsehoods, and his campaign accepted hundreds of thousands of dollars in impermissible advances from Mr. Schwab. The ISC also found that Representative Schweikert's campaign funds were used to reimburse staff for expenditures made for his personal use, including babysitting services, meals, dry-cleaning, and travel. While those campaign finance violations were ongoing, Representative Schweikert presided over a congressional office in which official resources were misused to support his campaign. This misuse primarily centered around Mr. Schwab, who Representative Schweikert frequently pressured to perform campaign work, and who expected other congressional staff to assist in campaign-related tasks. As discussed further in this Report, the ISC found some allegations raised by OCE's referrals could not be substantiated.

Representative Schweikert has attempted to cast himself as an unknowing participant or victim in response to many of these allegations and sought to lay much of the blame at the feet of Mr. Schwab and the compliance professionals he trusted to oversee his campaign committees.

The ISC is well-aware that Members have demanding schedules that do not afford them the time to tend to the minutiae of every campaign transaction or congressional office task to ensure their campaigns and congressional offices operate in full compliance with relevant rules and laws. Members often delegate such oversight and compliance responsibilities to others, as Representative Schweikert did with Mr. Schwab in this matter and with individuals employed by his campaign compliance firm. But Members must be held to account when they: know or should know of ethical violations that occur within the organizations they oversee; abdicate their duty to supervise the staff to whom they delegate substantial responsibilities; disregard concerns as they are brought to their attention; and hamstring compliance professionals by not supplying necessary information or providing false information. Representative Schweikert not only engaged in such conduct, in some instances (particularly with respect to campaign reporting requirements), he was a direct participant in conduct that resulted in violations.

When the time came for him to address allegations of his wrongdoing, Representative Schweikert made repeated assurances that he intended to cooperate. Representative Schweikert did provide a substantial document production and made several meaningful efforts towards cooperation, and the ISC has considered those actions in assessing the matter. Nonetheless, Representative Schweikert did not follow-through on many of his assurances and delayed in providing any meaningful response to key issues under investigation. The ISC was troubled that he waited over a year to address allegations of serious reporting violations detailed in OCE's Second Referral, after repeatedly attempting to waive off the matter as the product of inadvertent errors. Moreover, his testimony before the ISC, which was interspersed with incoherent or misleading statements that were contradicted by the record, fell well short of the duty of candor Members are expected to provide.

Based on the totality of misconduct, the ISC unanimously concluded that there is substantial reason to believe that Representative Schweikert violated House Rules and other laws, rules, and standards of conduct, and that a House-level sanction is warranted. On June 30, 2020, after negotiating a resolution of this matter with Representative Schweikert, the ISC adopted a Statement of Alleged Violations (SAV) against Representative Schweikert finding that he engaged in a series of misconduct that did not reflect creditably on the House in violation of House Rule XXIII, clause 1, and failed to uphold the laws and regulations of the United States in violation of paragraph 2 of the Code of Ethics for Government Service. As part of the negotiated resolution, Representative Schweikert agreed to admit to all the violations in the SAV and waive further procedural steps.

The ISC, by this Report, unanimously recommends that the full Committee submit a public report to the House with a resolution that Representative Schweikert be reprimanded for his conduct and fined in the amount of $50,000. Representative Schweikert has agreed to accept these recommended sanctions. With respect to the views Representative Schweikert submitted in response to the ISC's Report, the ISC does not believe they are in clear contravention of the agreed upon terms of the settlement in this matter, but they raise questions about whether he has truly accepted responsibility for his actions or whether he appreciates that the violations in this matter stemmed from his own conduct and inaction. Indeed, his views appear to be a furtherance of his tendency to blame others, including Mr. Schwab and, now, ISC counsel, for his own ethical failures. It is the ISC's expectation that, going forward, Representative Schweikert will abide

faithfully by the terms of the settlement, including accepting responsibility for the violations he has already agreed that he committed.

While the ISC was not swayed by Representative Schweikert's attempts to portray himself as the victim of rogue staffers and incompetent compliance professionals, the ISC believes all House Members would benefit from greater awareness of the rules, laws, and regulations at issue in this matter, as well as a reminder that Members may be held responsible for the misconduct of those who work under their supervision. The ISC has made several advisory recommendations to the Committee in this Report, with the hope that Representative Schweikert's lapses will serve as a cautionary tale to all House Members.

II. PROCEDURAL HISTORY

On November 2, 2017, *The Washington Examiner* published an article entitled "A lot of cash is flowing to David Schweikert's chief of staff Oliver Schwab."[1] The article contained allegations from at least three anonymous sources accusing Mr. Schwab of using taxpayer money to enrich himself, including by booking a personal vacation using official funds and by seeking reimbursements for numerous office supply purchases. The article also noted that Mr. Schwab had received more than $160,000 through his one-man consulting firm, Chartwell Associates, from Representative Schweikert's campaign and political committees in addition to his congressional office.

On November 16, 2017, OCE informed the Committee it had initiated two preliminary reviews, one into Mr. Schwab and one into Representative Schweikert, relating to similar allegations. After OCE opened its preliminary reviews, but before the matter was referred to the Committee, Representative Schweikert reached out to the Committee, through his counsel, seeking to "self-report" the matter under review by OCE.[2] On April 11, 2018, OCE informed the Committee that it had opened two additional preliminary reviews into other allegations concerning Representative Schweikert and Mr. Schwab.

On April 16, 2018, OCE sent two referrals (OCE's First Referrals)[3] to the Committee recommending that the Committee further review allegations that:

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[1] Philip Wegmann, *A lot of cash is flowing to David Schweikert's chief of staff Oliver Schwab*, Washington Examiner, (Nov. 2, 2017), https://www.washingtonexaminer.com/a-lot-of-cash-is-flowing-to-david-schweikerts-chief-of-staff-oliver-schwab (hereinafter *Washington Examiner Article*).

[2] Committee Rule 18(c) provides that a House Member or staffer may submit a written request to the Chair and Ranking Member of the Committee for an investigation into that individual's own conduct. The Committee encourages self-reporting of potential violations of House Rules, laws, and other standards of conduct. As a general proposition, self-reported matters, when accompanied by full cooperation, may be resolved more expeditiously than other matters. Self-reporting does not guarantee or prevent any particular outcome in a matter. The Committee may consider voluntary disclosure as a mitigating factor when determining how to resolve a matter; however, the Committee does not consider self-disclosure of violations following the commencement of a preliminary review by OCE to be "self-reports" in the same sense as disclosure of allegations that are not already part of the congressional ethics investigative process.

[3] One of the Report and Findings pertained to allegations against Mr. Schwab. On July 9, 2018, Mr. Schwab left House employment and the Committee's jurisdiction over him ended.

1. Representative Schweikert and Mr. Schwab, may have misused or authorized the misuse of House resources;
2. Representative Schweikert may have failed to ensure that his campaign committees complied with applicable rules regarding contributions from congressional employees;
3. Mr. Schwab may have improperly made personal outlays on behalf of Representative Schweikert's principal campaign committees; and
4. Mr. Schwab may have received income beyond the outside earned income limit for senior staff.[4]

Representative Schweikert also received notice of OCE's First Referrals on April 16, 2018. That same date, Representative Schweikert and Mr. Schwab, through their counsel, informed the Committee that Representative Schweikert's campaign committee intended to file a *sua sponte* complaint with the FEC regarding the transactions at issue in OCE's First Referrals.[5] On May 1, 2018, Representative Schweikert and Mr. Schwab provided a joint response to OCE's First Referrals.[6] On June 8, 2018, the Committee sent a letter to Representative Schweikert and Mr. Schwab.[7]

On June 28, 2018, the Committee announced that it had unanimously voted to establish an ISC to determine whether Representative Schweikert or Mr. Schwab violated the Code of Official Conduct or any law, rule, regulation or other applicable standard of conduct with respect to the allegations raised in OCE's First Referrals. On July 9, 2018, Mr. Schwab left House employment after resigning from his position as Representative Schweikert's Chief of Staff. On the date of Mr. Schwab's resignation, the ISC's and the Committee's jurisdiction over Mr. Schwab ended.

On September 5, 2018, OCE transmitted a separate and additional Report and Findings (OCE's Second Referral) to the Committee recommending that the Committee further review allegations that Representative Schweikert may have:

1. Used official resources to benefit his campaigns and pressured official staff to perform official activity;

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[4] Report and Findings of the Office of Congressional Ethics (Review No. 17-4790) (Appendix B) (hereinafter OCE's First Referrals).

[5] Exhibit 1. Counsel also requested guidance on how to "cure" outstanding impermissible campaign outlays, "or whether further steps may be taken by Congressman Schweikert and Oliver Schwab to ensure that any violations of House Rules have been adequately addressed." *Id.*

[6] Exhibit 2. The response stated they were awaiting direction from the Committee on how to effectuate a refund of MRA funds spent on travel by Mr. Schwab and how to address impermissible outlays reimbursed by the campaign. *Id.*

[7] Exhibit 3. In its letter, the Committee noted "[a]ny comment from the Committee on your past conduct and what, if any, steps are necessary to remedy that conduct must await the conclusion of the Committee's investigation," but added "[o]f course, if you are aware of inaccurate reporting on required disclosures previously filed with the [FEC], those inaccuracies should be promptly and accurately corrected." *Id.* The Committee urged caution in taking any additional remedial steps, highlighting that House Rule XXIV would generally prohibit Mr. Schwab from reimbursing the MRA for official expenditures with his personal funds, and that any attempt by staff to repay the campaign for reimbursed outlays could further implicate the restriction on contributions to an employing Member. *Id.*

2. Authorized compensation to an employee who did not perform duties commensurate with the compensation the employee received;
3. Received loans or gifts from a congressional employee; and
4. Omitted required information from his House financial disclosure statements and FEC candidate committee reports.[8]

OCE found substantial reason to believe these four allegations and recommended that the Committee further review the allegations. OCE also reviewed a fifth allegation, that Representative Schweikert tied official activities to campaign or political support. OCE did not find substantial reason to believe the fifth allegation and recommended that allegation be dismissed.

On December 20, 2018, the Committee announced it unanimously voted to expand the ISC's jurisdiction to include the four allegations referred for further review in OCE's Second Referral. The ISC was unable to complete its investigation prior to the end of the 115th Congress. Accordingly, on May 3, 2019, the Committee announced that it had unanimously voted to re-authorize an ISC for the 116th Congress to review allegations involving Representative Schweikert.

The Committee's general investigative jurisdiction includes the current and three previous Congresses. Pursuant to House Rule XI, clause 3(b)(3) and Committee Rule 18(d), the Committee may not begin an investigation involving allegations outside that general jurisdiction unless it votes to determine that the allegations occurring prior to the third previous Congress are directly related to alleged violations that occurred within the Committee's general jurisdiction. The Committee also voted to determine that the allegations referred to the ISC relating to conduct that occurred prior to the 113th Congress was directly related to allegations relating to conduct that occurred in the 113th Congress and subsequent Congresses.[9]

The ISC met four times in the 115th Congress and 22 times in the 116th Congress. The ISC sent 15 requests for information and authorized five subpoenas. Committee staff received and reviewed additional documents responsive to those requests. The ISC also reviewed materials provided by OCE. In total, the ISC reviewed over 200,000 pages of materials. The ISC also

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[8] Report and Findings of the Office of Congressional Ethics (Review No. 18-2234) (Appendix B) (hereinafter OCE's Second Referral).

[9] Some of the allegations contained in OCE's Second Referral included conduct occurring prior to 2013. With respect to the allegation regarding the use of official resources for campaign purposes, OCE's Second Referral included allegations that Mr. Schwab, as well as two other members of Representative Schweikert's congressional staff, worked on political matters while in the House offices and/or during the workday throughout 2011 to 2017. OCE's Second Referral also included allegations that Representative Schweikert may have omitted required information from his annual Financial Disclosure Statements from 2010 to 2016. According to OCE, Representative Schweikert may have failed to properly disclose: (1) several real-estate related investments and corresponding liabilities associated with those properties in his 2010 to 2014 House financial disclosure statements; (2) the existence of certain bank accounts in his 2010 to 2016 House financial disclosure Statements; and (3) credit card liabilities in his 2010 and 2014 House financial disclosure Statements. In addition, OCE alleged that Representative Schweikert repeatedly failed to disclose information about receipts, disbursements and irregularities with respect to his FEC campaign committee reports from January 2010 to at least February 2015.

conducted 18 witness interviews, including one pursuant to a court order,[10] and a voluntary interview of Representative Schweikert.

The ISC's investigation was prolonged by difficulty obtaining documents and information from Representative Schweikert. Representative Schweikert's productions to the ISC's initial requests for information spanned over 12 months. Because Representative Schweikert did not directly address many of the allegations raised in OCE's Referrals, despite repeated requests from the ISC and assurances that he was working cooperatively with the FEC on the issues, the ISC authorized an additional request for information on December 9, 2019. Representative Schweikert provided a partial response on January 6, 2020 and a further response on January 31, 2020.

Representative Schweikert also asked that his interview be expedited and the ISC granted his request, but Representative Schweikert was ill-prepared to address many of the ISC's questions during his interview, including questions based on the OCE referrals that he had been in possession of for over a year and a half.

In light of the many delays and difficulty in contacting or receiving information from relevant witnesses, the ISC informed Representative Schweikert it would be willing to curtail its fact finding, including forgoing an interview of Joyce Schweikert, if Representative Schweikert would stipulate to certain material facts that might otherwise have been further investigated. On May 1, 2020, counsel for Representative Schweikert and the ISC came to agreement on a set of stipulations, which the ISC approves.[11] Following negotiations, Representative Schweikert and the ISC agreed to terms for the resolution of the ISC's investigation. As part of that agreement, Representative Schweikert agreed to admit to the violations in the SAV and waive further procedural steps. The ISC also provided Representative Schweikert with a draft of this Report, and Representative Schweikert has submitted his views in response.[12]

On June 30, 2020, the ISC voted to adopt the SAV and this Report, and transmit the SAV, Report, and Representative Schweikert's views in response to the Report to the Committee.

III. FINDINGS

Representative Schweikert has been a Member of the United States House of Representatives since 2011, representing Arizona's 5th congressional district from 2011 to 2012, and Arizona's 6th congressional district from 2013 to the present.

Beginning with his successful 2010 campaign for Congress and continuing into 2018, Representative Schweikert's campaign committees and congressional office engaged in an assortment of unethical conduct. Much of the misconduct centered around Representative Schweikert's former campaign manager and Chief of Staff, Richard Oliver Schwab.

Mr. Schwab served as Representative Schweikert's campaign manager from 2010 until early 2012 and resumed a campaign management role between January 2013 until July 2018. Mr.

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[10] *See* 18 U.S.C. § 6005.
[11] *See* Appendix C.
[12] *See* Appendix E.

Schwab also served in his congressional office as Chief of Staff from January 2011 to June 2012, Senior Adviser from September 2012 to May 2013, and Chief of Staff from May 2013 to July 2018.

Mr. Schwab had a very close relationship with Representative Schweikert throughout this time.[13] Representative Schweikert considered Mr. Schwab to be "more like [a] son" and acknowledged placing an "excessive amounts of trust" in Mr. Schwab,[14] while Mr. Schwab testified they had a "very close family-style" relationship.[15] For much of the period under review, Mr. Schwab and Representative Schweikert routinely flouted rules prohibiting Members from accepting impermissible gifts and improper contributions from their congressional staff and misused official resources to benefit Representative Schweikert's campaign.

Many Members place their trust in their chiefs of staff and campaign managers, and delegate a substantial amount of responsibility to such individuals; nonetheless, Members remain ultimately responsible for oversight of their congressional office and campaigns. Representative Schweikert delegated many responsibilities to Mr. Schwab but abdicated his duty to perform any meaningful oversight of him. This led to a dynamic in which Representative Schweikert's campaign and congressional staff felt dissuaded from raising concerns about Mr. Schwab; those staff who did raise concerns about Mr. Schwab found Representative Schweikert to be dismissive and unwilling to take any meaningful action.[16]

Several witnesses interviewed by the ISC or OCE raised issues about Mr. Schwab's credibility. All four Members of the ISC were present for some portion of Mr. Schwab's deposition and had the opportunity to assess his credibility for themselves. The ISC generally credited Mr. Schwab's testimony, which was provided under penalty of perjury, but found that his assertions regarding the conduct of Representative Schweikert and others were sometimes exaggerated, while he at times minimized his own misconduct. In light of these issues, the ISC was careful to ensure that its findings did not rely exclusively on Mr. Schwab's testimony, and were corroborated to some extent by testimony from other witnesses or documentary evidence. Indeed, if the ISC relied upon the uncorroborated portions of Mr. Schwab's testimony to make its findings, its analysis of this matter would be very different and Representative Schweikert would be facing harsher penalties for his conduct.

Other violations occurred not as a result of Mr. Schwab's conduct, but due to Representative Schweikert's failure to ensure his campaign committees complied with applicable laws. Those offenses involved campaign reporting errors that ranged from technical inaccuracies and omissions to outright falsehoods. Representative Schweikert was aware of many of these errors as they were occurring yet failed to take corrective actions. In some instances, he facilitated the errors by omitting information or providing inaccurate information to individuals who prepared his campaign committees FEC filings.

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[13] ISC Interview of Employee B; ISC Interview of Employee C; ISC Interview of Employee A.
[14] ISC Interview of Representative Schweikert.
[15] ISC Interview of Oliver Schwab.
[16] OCE Interview of Employee D (OCE's Second Referral, Exhibit 5); ISC Interview of Employee B; ISC Interview of Campaign Consultant; ISC Interview of 2012 Campaign Manager.

The misconduct reviewed by the ISC was wide-ranging and long-spanning, and when viewed in the aggregate, a clear pattern of indifference emerges. Representative Schweikert was indifferent to ensuring the offices he oversaw complied with applicable laws and other standards of conduct. He was indifferent to taking corrective actions after learning of reporting errors or ongoing campaign violations and unresponsive to campaign and official staff members' concerns about Mr. Schwab's conduct. The ISC's investigation was treated with similar indifference; Representative Schweikert delayed responding to its requests and his testimony was punctuated by dissembling and incoherent statements. Representative Schweikert's indifference to ethical norms resulted in systemic ethical failures, which were prolonged by his failure to demonstrate full candor and reasonable diligence in responding to the ISC's investigation.

As detailed below, by engaging in this conduct, Representative Schweikert brought discredit upon the House and failed to uphold the laws and regulations of the United States, in violation of House Rule XXIII, clause 1 and paragraph 2 of the Code of Ethics for Government Service.

A. Findings Relating to Campaign Finance

1. Overview

Since his 2010 campaign, Representative Schweikert has had the following authorized campaign committees and campaign treasurers, with each campaign committee having served as the designated principal campaign committee for at least one congressional election cycle:

Authorized Campaign Committee Names	Designated as Principal Campaign Committee	Treasurer(s) as reported in Statement of Organization Filed with Federal Election Commission (FEC)
David Schweikert for Congress[17]	2010 election	• Joyce Schweikert (October 24, 2007 – May 29, 2013) • Treasurer D (May 29, 2013 – June 9, 2017) • Treasurer C (June 9, 2017 – December 20, 2017) • Treasurer E (December 20, 2017 – April 13, 2018) • Treasurer B (April 13, 2018 – present)
Schweikert for Congress[18] *(terminated in October 2013)*	2012 election	• Treasurer A (January 20, 2011 – January 13, 2012) • Treasurer B (January 13, 2012 – May 29, 2013) • Treasurer D (May 29, 2013 – October 11, 2013)
Friends of David Schweikert[19]	2014-2020 elections	• Treasurer D (January 10, 2013 – June 9, 2017) • Treasurer C (June 9, 2017 – December 20, 2017) • Treasurer E (December 20, 2017 – April 13, 2018) • Treasurer B (April 13, 2018 – present)

[17] David Schweikert for Congress, Statement of Organization (2007-2018).
[18] Schweikert for Congress, Statement of Organization (2011-2013).
[19] Friends of David Schweikert, Statement of Organization (2013-2020).

From at least July 2010 through at least December 2017, Representative Schweikert and/or his campaign committees routinely violated federal campaign finance laws and regulations. The ISC found that Representative Schweikert's campaign committees disclosed a loan that was not made and improperly disclosed or failed to disclose at least an additional $205,000 in loans or loan repayments made or obtained for the benefit of his congressional campaigns. At least $25,000 in disbursements made by David Schweikert for Congress and Schweikert for Congress from 2011 through 2013 and more than $140,000 in receipts received by David Schweikert for Congress between 2010 and 2013 were not reported. Schweikert for Congress also reported making $100,000 in campaign expenditures that were never actually made. Representative Schweikert's campaigns also improperly accepted contributions from employees of his congressional office. The ISC also determined that at least $1,476.90 of Representative Schweikert's campaign funds were used for impermissible personal purposes.

Representative Schweikert's spouse played a significant role in managing his campaign committees' finances until at least early 2013; during that time, his campaign committees failed to disclose tens to hundreds of thousands of dollars in contributions and disbursements that were made with campaign funds, falsely reported making disbursements with campaign funds that did not occur, did not follow FEC regulations regarding proper disclosure of loans and loan repayments, and reported receiving a $100,000 loan from the candidate that did not exist. After campaign staffers raised concerns about irregular activity by Mrs. Schweikert, she was replaced as treasurer for the campaign and a professional compliance firm was hired, but that compliance firm was neither directed or empowered to address the problems that staff had flagged; instead a new campaign committee was organized and new accounts created, and the professional compliance firm continued to file false or incomplete reports with the FEC.

In some instances, Representative Schweikert facilitated these reporting errors by failing to provide information from his campaign treasurers and/or compliance professionals; in other cases, Representative Schweikert learned of false statements and omissions in reports after they were filed with the FEC yet failed to ensure that corrective actions were taken to fix the errors for years after learning of the errors. In 2018, OCE opened a review into allegations relating to errors and omissions in his candidate committee FEC reports, and reported finding substantial reason to believe various loans, repayments, contributions, and expenditures were not disclosed consistent with applicable laws and regulations. However, Representative Schweikert insisted publicly that the allegations referred by OCE related to "clerical mistakes" while neglecting requests from the ISC for over a year to identify those mistakes and the steps taken to address those mistakes.[20]

The flouting of campaign finance laws and regulations was not limited to disclosure violations. Among other issues, Representative Schweikert's campaign committees accepted substantial campaign contributions from his Chief of Staff, Oliver Schwab, largely in the form of outlays on behalf of his campaign totaling hundreds of thousands of dollars. These improper advances from Mr. Schwab enabled Representative Schweikert's campaign to inflate quarterly financial figures and make campaign expenditures – including personal loan repayments to the

[20] John Bresnahan, *Ethics Committee launches full-scale probe into Schweikert and top aide*, POLITICO, (June 28, 2018), https://www.politico.com/story/2018/06/28/david-schweikert-aide-oliver-schwab-probe-680895.

Schweikerts – that it would not otherwise have had the liquidity to make. By reporting hundreds of thousands of dollars' worth of Mr. Schwab's reimbursement payments through various means that obscured the true (and improper) source of funds, including listing the payee as Mr. Schwab's consulting company and credit card companies, Representative Schweikert's FEC filings hid the extent to which Mr. Schwab was fronting money to the campaign on a regular basis.

In some instances, Mr. Schwab billed Representative Schweikert's campaign for services when in fact he was seeking reimbursements for outlays he had made on behalf of the campaign. In other cases, however, Mr. Schwab billed the campaign for services in order to recoup expenses he or other members of Representative Schweikert's congressional staff incurred on Representative Schweikert's personal behalf. A campaign staff member raised concerns with Representative Schweikert about the frequency of reimbursements and payments Mr. Schwab was receiving from the campaign, yet Representative Schweikert took no action and the practice continued unimpeded.

The *House Ethics Manual (Ethics Manual)* cautions that "a Member or employee must take reasonable steps to ensure that any outside organization over which he or she exercises control – including the individual's own authorized campaign committee or, for example, a 'leadership PAC' – operates in compliance with applicable law."[21]

The *Ethics Manual* further states:

> While [Federal Election Campaign Act (FECA)] and other statutes on campaign activity are not rules of the House, Members and employees must also bear in mind that the House Rules require that they conduct themselves 'at all times in a manner that shall reflect creditably on the House' (House Rule 23, clause 1). In addition, the Code of Ethics for Government Service, which applies to House Members and staff, provides in ¶ 2 that government officials should '[u]phold the Constitution, laws and legal regulations of the United States and of all governments therein and never be a party to their evasion.' Accordingly, in violating FECA or another provision of statutory law, a Member or employee may also violate these provisions of the House rules and standards of conduct.

The Committee has sanctioned Members for misconduct relating to a successful campaign for election to the House and campaign finance violations, ranging from misuse of campaign funds to acceptance of excessive contributions.[22] With respect to reporting violations, whether they are

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[21] *Ethics Manual* at 123.

[22] *See* Comm. on Ethics, *In the Matter of Representative Ruben Kihuen*, H. Rept. 115-1041, 115th Cong. 2d Sess. (2018) (hereinafter *Kihuen*); House Comm. on Standards of Official Conduct, *In the Matter of Representative Jay Kim*, H. Rep. 105-797, 105th Cong. 2d Sess. (1998) (hereinafter *Kim*); House Comm. on Standards of Official Conduct, *In the Matter of Representative Earl F. Hilliard*, H. Rept. 107-130, 107th Cong. 1st Sess. (2001); Statement of the Chairman and Ranking Member of the Committee on Ethics Regarding Representative Michael Grimm, (Nov. 26, 2012), https://ethics.house.gov/press-release/statement-chairman-and-ranking-member-committee-ethics-regarding-representative-2.

related to FEC reports or Financial Disclosure Statements, the Committee strongly encourages Members to take prompt corrective action, including voluntarily filing appropriate amendments.[23]

As detailed below, Representative Schweikert did not take reasonable steps to ensure that his campaign committees operated in compliance with applicable laws. Despite Representative Schweikert's efforts to dismiss the issues as minor mistakes or the conduct of wayward staff acting without his knowledge or support, the ISC found substantial reason to believe that Representative Schweikert facilitated many of the most egregious errors. Furthermore, while he took some positive steps towards his compliance obligations, including hiring one compliance firm in 2013 after initial issues emerged with his campaign, and hiring another compliance firm in December 2017 after another set of issues came to light, Representative Schweikert nonetheless demonstrated insufficient regard for FECA's reporting requirements by not empowering those firms to take complete and prompt corrective actions with respect to several significant reporting errors and omissions. Representative Schweikert's failure to take reasonable actions to ensure his campaign committees followed applicable laws led to systemic FECA violations and his indifference to many of these issues continued even after they were summarized in great detail by the OCE. Viewed in the aggregate, Representative Schweikert's conduct did not reflect creditably on the House, and was a violation of House Rule XXIII, clause 1. By failing to ensure his campaign committees operated in full compliance of FECA and laws that prohibit congressional staff from making contributions to their employing Members' campaigns, he further violated paragraph 2 of the Code of Ethics for Government.

2. Failure to Disclose Metro Phoenix Bank Loan

i. Background

On July 19, 2010, Representative Schweikert, his spouse, and Sheridan Equities LLC, applied for a $75,000 revolving line of credit from Metro Phoenix Bank (MPB), which the bank's records from that time indicate was intended to be used for "marketing [Representative] Schweikert's [political] campaign."[24] Sheridan Equities LLC is a single-member limited liability corporation based in Arizona owned by Representative Schweikert. On July 30, 2010, the Schweikerts and Sheridan Equities LLC obtained the $75,000 revolving line of credit from MPB.[25] The line of credit was secured by three rental properties, which were held by Sheridan Equities, with a total estimated value of $273,000.[26]

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[23] *See* Comm. on Ethics, *In the Matter of Allegations Relating to Representative Vernon G. Buchanan*, H. Rept. 112-588, 112th Cong. 2d Sess. 5-6 (2012) (acknowledging that filers may first become aware of errors after being notified by members of the media or outside groups who review the statements and other public records) (hereinafter *Buchanan*).

[24] *See* Exhibit 4 at COE.SCHWEIKERT.5231. According to MPB Official A, Representative Schweikert was initially referred to the bank by a member of its Board. OCE Interview of MPB Official A.

[25] *Id.* at COE.SCHWEIKERT 5234. Though the terms of the line of credit were described by MPB officials as "standard" and "boilerplate," the loan was "atypical" given that the bank did not routinely give such small loans. OCE Interview of MPB Official A; OCE Interview of MPB Official B.

[26] *Id.* at COE.SCHWEIKERT.5232.

Representative Schweikert funded in-kind contributions to his principal campaign committee, David Schweikert for Congress, using funds from the MPB line of credit on the following dates:

- August 2, 2010 ($11,557 cashier's check payable to Blue Point LLC)[27]
- August 2, 2010 ($24,589.80 wire to Anthem Media Inc)[28]
- August 4, 2010 ($26,000 cashier's check payable to Blue Point LLC)[29]

The funds disbursed from the MPB line of credit were reported as disbursements by David Schweikert for Congress on:

- July 30, 2010 ($24,589.80 to Anthem Media LLC for "TV & Radio")[30]
- August 2, 2010 ($11,557 to Blue Point LLC for "Mail Design and Printing")[31]
- August 4, 2010 ($26,000 to Blue Point LLC for "Mail Design and Printing")[32]

On February 2, 2011, the Schweikerts and Sheridan Equities LLC requested the line of credit be increased by $79,000 for non-campaign-related purposes.[33] On or about February 14, 2011, the Schweikerts and Sheridan Equities obtained a $79,000 increase to the line of credit from MPB, for a total value of $154,000.[34] The increased line of credit was secured by an additional rental property owned by Sheridan Equities LLC.[35] The ISC did not obtain evidence that the additional funds were used in connection with Representative Schweikert's campaign.[36] Additional terms were added to the MPB line of credit between March 2013 and October 2014. Those terms included:

- March 5, 2012: Maturity date of the loan was extended from March 5, 2012 to May 5, 2013;[37]
- May 31, 2013: Maturity date of the loan was extended from May 5, 2013 to June 5, 2018;[38]
- August 16, 2013: Metro Phoenix Bank agreed to release and reconvey the deed of trust and assessment of rents for 5920 W. State Ave., Glendale, AZ, to accommodate the sale of the property;[39]
- July 21, 2014: Metro Phoenix Bank agreed to release and reconvey the deed of trust and assessment of rents for 6413 W Lamar Rd., Glendale, AZ, to accommodate the sale of the property;[40]

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[27] *Id.* at COE.SCHWEIKERT.5313.
[28] *Id.* at COE.SCHWEIKERT.5309.
[29] *Id.* at COE.SCHWEIKERT.5317.
[30] David Schweikert for Congress, 2010 Pre-Primary Report of Receipts and Disbursements, at 40 (Aug. 12, 2010).
[31] *Id.* at 43.
[32] *Id.* at 45.
[33] Exhibit 4 at COE.SCHWEIKERT.005318-COE.SCHWEIKERT.005319.
[34] *Id.* at COE.SCHWEIKERT.005328.
[35] *Id.*
[36] *Id.* at COE.SCHWEIKERT.005407-5408.
[37] *Id.* at COE.SCHWEIKERT.005378.
[38] *Id.* at COE.SCHWEIKERT.005386.
[39] *Id.* at COE.SCHWEIKERT.005401.
[40] *Id.* at COE.SCHWEIKERT.005402

- July 30, 2014: Metro Phoenix Bank agreed to release and reconvey the deed of trust and assessment of rents for 3338 E Willetta St., Phoenix, AZ, to accommodate the sale of the property;[41] and
- October 8, 2014: Loan re-amortized over a 15-year period, with remaining collateral on one property: 3013 N 64th Dr., Phoenix, AZ.[42]

The Schweikerts made repayments to the line of credit, using their personal funds, on a monthly basis from approximately October 2010 to February 2015. On February 6, 2015, the line of credit was fully repaid.[43]

At the time the MPB line of credit was obtained, Representative Schweikert's principal campaign committee was David Schweikert for Congress, and its treasurer was Representative Schweikert's spouse. David Schweikert for Congress did not report the MPB line of credit to the FEC, did not report that the expenditures from the MPB line of credit were in-kind contributions from Representative Schweikert, and did not report the Schweikerts' repayment of the draws on the line of credit.

Representative Schweikert admitted that he and Mrs. Schweikert, who had served as campaign treasurer for David Schweikert for Congress at the time the line of credit was initially obtained, failed to take any steps to ensure the loan activity was reported. Their rationale for not doing so was their belief that such information was not required to be disclosed to the FEC.[44] When Mrs. Schweikert was replaced as treasurer of David Schweikert for Congress, her replacement was not informed of the line of credit and so the campaign committee continued to omit information regarding the loan from its reports to the FEC.[45]

Although Representative Schweikert did not believe the line of credit needed to be disclosed, allegations that he had failed to report such a loan surfaced before the loan had been fully paid off. In August 2012, a local blog speculated that funds Representative Schweikert obtained from MPB were being used to support Representative Schweikert's campaign, citing publicly available real property records demonstrating that the deeds for the properties securing the loan had been transferred to MPB.[46] The blog post alleged: "it's possible that Schweikert and his new friends at Metro Phoenix bank are financing an off-the-books shadow campaign fund for Schweikert."[47] Notwithstanding the speculation surrounding his campaign's relationship with MPB, Representative Schweikert's campaign committees did not amend or otherwise make any filings to disclose that the $75,000 line of credit from MPB was obtained and that funds drawn from it were used in support of his campaign. At the time, the blog post caught the attention of

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[41] *Id.* at COE.SCHWEIKERT.005403
[42] *Id.* at COE.SCHWEIKERT.005405
[43] *Id.* at COE.SCHWEIKERT.005408; *see* Appendix C ¶ 3.
[44] Appendix C ¶ 4.
[45] *Id.* ¶¶ 5, 11.
[46] *David Schweikert gives $130,000 to campaign while involved in real estate loan scam with campaign depository, Metro Phoenix Bank*, Politico Mafioso, (Aug. 24, 2012), http://politicomafioso.blogspot.com/2012/08/david-schweikert-gives-130000-to.html.
[47] *Id.*

MPB officials, who circled it among themselves, as well as Mr. Schwab, who received an email alert with a link to the blog post.[48]

On April 11, 2018, OCE informed Representative Schweikert that it was reviewing allegations that he may have omitted required information from his Financial Disclosure Statements and FEC candidate committee reports. On the same date, the OCE sent him a request for, *inter alia*, "[a]ll documents or communications associated with any lines of credit or loans that you or any business you are associated with received from Metro Phoenix Bank." In response to the request, Representative Schweikert's counsel stated that they believed OCE's requests stemmed from the August 2012 blog post referenced above, and argued that the blog entry made "unfounded allegations" against the congressman "that were not corroborated or followed up on by any credible media entities."[49] Representative Schweikert did not comply with OCE's request for documents associated with any lines of credit or loans that he or any business he is associated with received from MPB. On June 13, 2018, his counsel told OCE: "[i]f you have specific questions about items in the financial disclosure, please direct those to counsel. Nothing OCE has provided appears to have any reasonable articulate basis to justify a broad and sweeping inquiry into information already disclosed on financial disclosures."[50]

On June 8, 2018, following Representative Schweikert's request for guidance regarding impermissible outlays his campaign had made, the Committee advised him that if he was aware of any inaccurate reporting on required disclosures previously filed with the FEC, "those inaccuracies should be promptly and accurately corrected." At that time, the Committee encouraged him to make any appropriate *sua sponte* submission to the FEC relating to the matters under review.[51] Shortly after receiving that guidance, Representative Schweikert informed the FEC in a *sua sponte* submission of certain errors related to improper outlays, but he did not inform it of the omission of the MPB line of credit.[52]

On September 5, 2018, the Committee transmitted to Representative Schweikert OCE's Second Referral, which included a detailed section alleging the $75,000 MPB line of credit was improperly omitted from his principal campaign committee's FEC filings between 2010 and 2015. On that date, the Committee provided him with the opportunity to respond, in writing, to OCE's Second Referral. On October 3, 2018, he responded, through counsel:

> Regarding the allegations that the Congressman may have omitted information from his [FEC] candidate committee reports . . . the Congressman has been working to cure any such inadvertent errors or discrepancies for several months. A new FEC compliance firm

[48] *See* Exhibit 5. Mr. Schwab was not working for Representative Schweikert's campaign at that time.
[49] Exhibit 6. Representative Schweikert later informed the OCE Board that the allegations reviewed by OCE "reminded [him] of an August 2012 anonymous blog entry during [his] Republican primary that contained many unsubstantiated and false allegations . . . [i]t is worth nothing that the author of those blog posts subsequently came forward on his own volition and offered an apology to me for making those false allegations." Exhibit 7.
[50] Exhibit 6.
[51] *Id.*
[52] Exhibit 51

> was retained at the end of 2017, and the Congressman's counsel has engaged with FEC staff to work cooperatively to resolve any issues.[53]

However, neither he, nor anyone acting on his or his campaign committees' behalf, informed the FEC or the Committee of the omission of the MPB line of credit at that time. On December 21, 2018, the ISC requested that Representative Schweikert identify any errors or omissions in his FEC reports; he did not address the MPB line of credit omission in his response to this request.

In response to the ISC's repeated follow up requests for specific information or documents relating to FEC reporting errors, Representative Schweikert's counsel consistently referenced the congressman's cooperation with a self-reported FEC review. However, Representative Schweikert did not specifically address the allegations relating to the MPB loan until the ISC sent a second request for information on December 9, 2019, that specifically directed him to state whether he obtained this line of credit in support of his campaign and inform the ISC whether and when the campaign committee intended to disclose the line of credit to the FEC. In response, on January 6, 2020, Representative Schweikert's counsel informed the ISC that "it appears that Schweikert for Congress inadvertently failed to disclose that Representative Schweikert funded certain loans to his campaign with a $75,000 line of credit obtained from MPB."[54] The FEC was subsequently alerted to this omission on January 27, 2020, slightly less than five years from when the line of credit was paid off.[55]

During his interview with the ISC, Representative Schweikert testified that he was unaware the line of credit was improperly omitted from his FEC filings until February 27, 2020, the day before the interview:

> Q. At the time you obtained the loan for the line of credit, did you receive any guidance regarding whether the line of credit needed to be disclosed on any FEC reports?
>
> A. No. And this may be my sin, I didn't ask. I just -- I assumed. I didn't even assume. I didn't think about it.
>
> Q. So when did you first realize that that line of credit hadn't been properly disclosed on FEC reports?
>
> A. Brutally honest answer. What time did I talk to you yesterday? About 3 o'clock in the afternoon?

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[53] Exhibit 88.

[54] Exhibit 25.

[55] Exhibit 8 (Jan. 27, 2020 email from Representative Schweikert's counsel to FEC staff stating, "it appears that the David Schweikert for Congress committee funded certain campaign disbursements in 2010 with a $75,000 line of credit from Metro Phoenix Bank secured by Representative Schweikert, his wife, and Sheridan Equities, but the line of credit was not disclosed on the committee's reports."). The FEC's statute of limitations for civil enforcement of FECA violations is five years.

> [Representative Schweikert's Counsel]. Yes.
>
> A. That's my first time I even found out there was such a thing where if I had line of credit on use from that money, that it also had to be on the FEC.[56]

i. Relevant Laws, Rules, and Other Applicable Standards of Conduct

Campaigns must disclose all receipts, including any monetary donations, in-kind contributions, or loans, on reports to the FEC.[57] Certain loans, including a bank loan or line of credit, require additional reporting on Schedule C-1, including the disclosure of:

1. The date, amount, and interest rate of the loan, advance, or line of credit;
2. The name and address of the lending institution; and
3. The types and value of collateral or other sources of repayment that secure the loan, advance, or line of credit, if any.[58]

This is true even where the bank loans are obtained by the candidate and then contributed to the campaign, rather than loaned directly to the campaign committee.[59] Loans "must be reported continuously until repaid" and the Schedule C-1 must be updated if the terms of the loan or line of credit are restructured.[60]

Pursuant to paragraph 2 of the Code of Ethics for Government Services, Members are expected to uphold the laws and legal regulations of the United States, and never be a party to their evasion. Members must also act in a manner that reflects creditably on the House, pursuant to House Rule XXIII, clause 1.

ii. Findings

Candidates must disclose bank loans obtained for campaign-related purposes, including the terms and any collateral used in support of the loan.[61] Between July 2010 and February 2015, Representative Schweikert's principal campaign committees did not disclose to the FEC that he

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[56] ISC Interview of Representative Schweikert.
[57] 52 U.S.C. § 30104(b)(2); 11 C.F.R. § 104.3(a)(3). For contributions that exceed $200 in an election cycle, the campaign committee must disclose the name of the person who made the contribution, the date and amount of the contribution. 52 U.S.C. § 30104(b)(3). In-kind contributions from a candidate's personal funds that exceed $200 in an election cycle must also be disclosed. *See Federal Election Commission Campaign Guide: Congressional Candidates and Committees*, at 95-96, (June 2014), https://www.fec.gov/resources/cms-content/documents/candgui.pdf (hereinafter *FEC Campaign Guide*).
[58] 11 C.F.R. § 104.3(d)(4)(1)(i)-(iii). *See also FEC Campaign Guide* at 110-111 (reporting guidance on candidate's loan derived from a line of credit).
[59] *Id.*
[60] *Id.*
[61] 11 C.F.R. § 100.83(e); 11 C.F.R. § 104.3.

obtained a line of credit in support of his campaign, the terms of the line of credit, modifications to the line of credit, or the repayment of the line of credit.

Representative Schweikert did report the MPB line of credit in his House Financial Disclosure Statements for the relevant years. However, the disclosure of required information in House financial reports does not relieve candidates of their duty to comply with the FEC's separate reporting requirements. For example, in 2019, the FEC imposed a $35,000 civil fine arising out of inaccurate 2012 FEC reports where a candidate disclosed loans of "personal funds" that were in fact borrowed from commercial lenders, including a line of credit that had been disclosed by the candidate on his Senate Financial Disclosure Statements.[62] Moreover, even though Representative Schweikert's House disclosure statements disclosed the existence of the line of credit - which was already a matter of public record based on Arizona real property records - they did not disclose that the line of credit was used for his campaign.

Representative Schweikert advised the ISC that neither he nor Mrs. Schweikert, who served as his campaign treasurer at the time the MPB line of credit was obtained, believed it needed to be disclosed to the FEC.[63] According to Representative Schweikert's counsel, the failure to disclose the line of credit was "caused by a mistaken understanding that the funds were Representative Schweikert's 'personal funds' even though they originated with the line of credit."[64]

As a preliminary matter, Members should exercise caution when selecting campaign treasurers due to the "pivotal role" treasurers play in ensuring campaign committees remain in compliance with FECA.[65] By running a frugal campaign in 2010, Representative Schweikert saved costs by entrusting Mrs. Schweikert to prepare his campaign filings without the aid of a professional compliance firm, but in so doing put his campaign committees at greater risk for non-compliance given that Mrs. Schweikert had only a "basic" understanding of relevant campaign finance laws and reporting rules.[66] Nonetheless, Mrs. Schweikert did demonstrate a basic understanding of the rules regarding the disclosure of loans - and the source of those loans - made to campaigns. Prior to when the MPB line of credit was obtained, she had served as treasurer of the David Schweikert for Congress campaign committee for several years, and during that time she reported hundreds of thousands of dollars in loans the David Schweikert for Congress campaign committee received and identified the source of those loans as coming from Representative Schweikert's personal funds.[67] Yet she did not disclose *any* loan information

[62] *See e.g.*, Ted Cruz for Senate, *et al.* (MUR 7001). The FEC imposed a $35,000 civil fine when the candidate failed to report margin loans and a line of credit obtained in support of campaign, notwithstanding the fact that candidate disclosed the loans on his Senate Disclosure Statements.
[63] Appendix C ¶ 4.
[64] Exhibit 25.
[65] *See* Statement of Policy Regarding Treasurers Subject to Enforcement Proceedings, 70 Fed. Reg. 5 (Jan. 3, 2005).
[66] ISC Interview of Representative Schweikert. Representative Schweikert maintained that Mrs. Schweikert was "familiar with the basics" of campaign finance laws and reporting rules, but acknowledged that after some of her bookkeeping errors were brought to his attention, it was time to "stop trying to nickel-and-dime" and he retained a professional compliance firm. *Id.*
[67] The campaign committee filings that disclosed those loans indicated that the source of the loans came from the candidate's personal funds. *See e.g.*, David Schweikert for Congress, 2007 Year-End Report of Receipts and

related to the $75,000 line of credit Representative Schweikert obtained from MPB in support of his campaign.[68] If she mistakenly believed that the funds from the MPB line of credit were Representative Schweikert's personal funds, then based on her prior practices, she should have disclosed it as such.

In addition, and as discussed more fully below, in 2011, Schweikert for Congress inaccurately reported that the campaign committee received a personal loan from Representative Schweikert. According to Representative Schweikert, Mrs. Schweikert input the loan as an inadvertent mistake that occurred after he had intended to apply for, but ultimately did not obtain, a line of credit.[69] Paradoxically, if the ISC were to find his testimony credible in that regard, it would also need to find that by 2011, Mrs. Schweikert was aware that the MPB line of credit obtained in 2010 needed to be reported to the FEC.

Furthermore, by August 2012, the question of whether MPB was funding an "off-the-books . . . shadow campaign" for Representative Schweikert was an issue of public discussion,[70] but Representative Schweikert did not take any steps at that point to ensure his campaign was fully complying with applicable laws and regulations related to the line of credit, and the line of credit continued to go unreported to the FEC.

The omission of this information was further prolonged as a result of Representative Schweikert's failure to inform the compliance firm that was retained in January 2013 to take over bookkeeping responsibilities from Mrs. Schweikert of the line of credit.

Even after OCE began investigating allegations that he omitted information in his FEC filings in April 2018, Representative Schweikert did not act take appropriate corrective steps to address the reporting omission. Through counsel, he questioned the validity of the OCE's investigation by suggesting it was improperly based off of the same blog post that questioned whether MPB funds were being used for his campaign, referring to the allegations in the blog entry as "unfounded." [71] Any meaningful review of this issue by this point would have shown that the MPB line of credit should have been reported to the FEC, but no such review appears to have been undertaken at that time. Following his September 2018 receipt of OCE's Second Referral, which thoroughly detailed the omission of the MPB line of credit and applicable laws and regulations,[72] Representative Schweikert could have taken prompt actions to ensure proper amendments were

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Disbursements, at 143-144 (Jan. 30, 2008) (disclosing receipt of a $50,000 and $100,000 in unsecured loans from Representative Schweikert); David Schweikert for Congress, July 2010 Quarterly Report of Receipts and Disbursements, at 104 (Jul. 15, 2010) (disclosing receipt of a $225,000 unsecured loan from Representative Schweikert).

[68] In some matters, candidates mistakenly designate loans originating from banks or a line of credit as loans from their personal funds without providing the required information regarding the source bank. In this case, Representative Schweikert's campaign made no disclosures regarding the source of funds obtained by MPB.

[69] ISC Interview of Representative Schweikert.

[70] *David Schweikert gives $130,000 to campaign while involved in real estate loan scam with campaign depository, Metro Phoenix Bank*, Politico Mafioso, (Aug. 24, 2012), http://politicomafioso.blogspot.com/2012/08/david-schweikert-gives-130000-to.html.

[71] Exhibit 6.

[72] OCE's Second Referral at 39-41.

filed with the FEC. But the FEC was not advised of the undisclosed MPB line of credit until January 2020, more than a year after the failure to disclose it was highlighted by OCE and more than a year after the Committee advised Representative Schweikert that any inaccurate disclosures to the FEC should be promptly and accurately corrected. The disclosure to the FEC only came after the ISC inquired about whether such disclosure had been made. Representative Schweikert's assertion that he had only learned that the MPB line of credit should have been reported to the FEC the day before his testimony further underscores his apparent indifference to ensuring his campaign committees operated in full compliance with applicable laws and regulations.[73]

In the context of financial disclosure reporting violations, the Committee has cautioned Members to promptly file amendments whenever they learn of errors or omissions, and has warned that knowing and willful violations may result in civil or criminal penalties.[74] In this matter, the ISC did not find sufficient evidence to determine that the initial omission of the MPB line of credit was willful. Nonetheless, Representative Schweikert did not make the disclosures related to the line of credit as required under FECA, the failure to disclose was at minimum a consequence of inadequate oversight of his campaign's reporting, and moreover, when given reason to know that his campaign had failed to make required disclosures, he did not take appropriate steps to correct the error.

These actions did not reflect creditably on the House and resulted in a prolonged failure by Representative Schweikert to uphold laws and regulations of the United States, including provisions of the FECA and the FEC's implementing regulations. Accordingly, the ISC found Representative Schweikert violated House Rule XXIII, clause 1, and paragraph 2 of the Code of Ethics for Government Services. The ISC recommends that Representative Schweikert continue to work cooperatively, and in a fully transparent manner, with the FEC to ensure that all appropriate corrective measures are taken.[75]

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[73] ISC Interview of Representative Schweikert. According to Representative Schweikert, he read the OCE's Second Referral, but "must have gone right over" the section regarding the MPB line of credit omission. *Id.*

[74] *Ethics Manual* at 265; *see also Buchanan* (determining no further action was necessary in recognition of the fact that there was no evidence that Member's failure to file correct and complete information in his financial disclosures was either knowing or willful, and because, "very shortly" after the Member was notified by the OCE that his disclosures were inaccurate, he submitted information to the Clerk of the House to publicly amend the disclosures with corrected information).

[75] The ISC further notes that, to the extent that Representative Schweikert's spouse made repayments to campaign-related draws on the line of credit with funds that were not Representative Schweikert's personal funds, she may have made excessive contributions to his campaign. *See* Advisory Op. Cunningham (AO 1994-26) at 4 ("Repayments of the draws on these lines of credit must originate from contributions that are permissible under the Act.").

3. *Falsely Reported $100,000 Personal Loan and Falsely Reported $100,000 Disbursements*

i. Background

On January 31, 2012, the Schweikert for Congress campaign committee disclosed in its 2011 Year-End Report that Representative Schweikert had loaned it $100,000 of his personal funds on December 25, 2011.[76] No such loan was actually made.[77]

Representative Schweikert testified that, in late December 2011, he intended to apply for a $100,000 line of credit that he anticipated using as a personal loan to his campaign.[78] Mrs. Schweikert entered a $100,000 personal loan from Representative Schweikert into Schweikert for Congress's financial tracking software used to generate the committee's FEC disclosure reports,[79] but the $100,000 loan was never made to the Schweikert for Congress campaign committee. The loan entry in the campaign's financial tracking software, however, was not removed and a $100,000 loan entry was included in Schweikert for Congress's reports to the FEC, beginning with the 2011 Year End Report.

The individual serving as treasurer of Schweikert for Congress on the date the loan was alleged to have been made was not aware that Representative Schweikert reported making a $100,000 personal loan to his campaign or that the loan was fictional.[80] That individual, Treasurer A, was a campaign volunteer who was asked by Representative Schweikert to serve as the official treasurer after he was elected to Congress; however, from the start of Treasurer A's involvement as campaign treasurer in January 2011, her role was largely limited to disclosure of campaign contributions, while Mrs. Schweikert retained responsibility for handling the reporting of the campaign committee's expenditures and loans.[81] As part of her role as treasurer, Treasurer A had access to the committee's FEC reporting software and reconciled the committee's bank statements with deposits prior to filing a disclosure report.[82] Treasurer A was "very concerned" about signing FEC filings because she had limited knowledge of the campaign's finances, which were primarily overseen by Mrs. Schweikert.[83] She, however, testified that she had a "great deal of confidence in Joyce [Schweikert]'s ability."[84]

Around the same time the $100,000 loan was reportedly made to Schweikert for Congress, Treasurer A was replaced by Treasurer B.[85] Treasurer A testified that she intended to stay on as treasurer at that time, but Mr. Schwab informed her at that time that she would no longer be

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[76] Schweikert for Congress, 2011 Year-End Report of Receipts and Disbursements, at 94 (Jan. 31, 2012).
[77] *See* Exhibit 9 at COE.SCHWEIKERT.005585-COE.SCHWEIKERT.005590.
[78] ISC Interview of Representative Schweikert.
[79] ISC Interview of Representative Schweikert; ISC Interview of Treasurer A.
[80] ISC Interview of Treasurer A; Appendix C ¶ 5.
[81] ISC Interview of Treasurer A; Appendix C ¶ 2.
[82] ISC Interview of Treasurer A.
[83] *Id.*
[84] *Id.*
[85] Exhibit 10.

serving as treasurer.[86] Mr. Schwab gave her no reason for the change.[87] Treasurer B began to transition into the treasurer role for Schweikert for Congress in December 2011. On December 20, 2011, five days prior to when Representative Schweikert's campaign reported that the fictional $100,000 loan was made, Treasurer B told a personal friend, via email, that she had just become "Schweikert's campaign treasurer," and explained, "Joyce [Schweikert] doesn't want to sign [the FEC reports] since she's spouse and [Treasurer A] has some trepidation about being the responsible party."[88] Treasurer B, who had previously worked with Representative Schweikert's real estate business, had no prior campaign treasurer experience and told her friend she would need "a crash course on campaign finance law."[89] On January 13, 2012, Schweikert for Congress filed an amended Statement of Organization, reflecting Treasurer B's replacement of Treasurer A as campaign treasurer.[90]

On January 31, 2012, Treasurer B signed the Schweikert for Congress 2011 Year-End Report, which was the first disclosure of the fictional $100,000 loan.[91] Like her predecessor, Treasurer B had access to the committee's FEC reporting software and reviewed committee bank statements but was not aware that the $100,000 loan had not been made and was not involved in inputting the information into the filing software.[92] The Schweikerts did not recall telling the Schweikert for Congress campaign treasurers or other individuals who worked on preparing or reviewing the 2011 Year-End Report, or any ensuing FEC reports that referenced this loan, that the $100,000 loan had never actually been made.[93]

The reporting of the $100,000 loan inflated Representative Schweikert's overall campaign cash totals. The day after the loan was reported, Representative Schweikert's campaign consultant commented in a news article that the campaign reached near its finance goals going into Representative Schweikert's 2012 primary election.[94] The loan was also listed by the Schweikerts as an asset in connection with personal financial disclosure statements provided to MPB in support of the line of credit they originally obtained in 2010.[95]

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[86] ISC Interview of Treasurer A.
[87] *Id.*
[88] Exhibit 10.
[89] *Id.*; ISC Interview of Treasurer B.
[90] Schweikert for Congress, 2012 Amended Statement of Organization (Jan. 13, 2012).
[91] Schweikert for Congress, 2011 Year-End Report (Jan. 31, 2012).
[92] ISC Interview of Treasurer B. On December 25, 2011, the same date the campaign reported receiving the $100,000 personal loan from Representative Schweikert, Representative Schweikert emailed Mr. Schwab from his campaign email account and asked, "what is your log on for FEC data?" *See* Exhibit 11.
[93] Appendix C ¶ 5.
[94] Ronald J. Hansen, *Pinal County Sheriff Babeu's war chest tops field,* The Arizona Republic, (Feb. 1, 2012), http://archive.azcentral.com/arizonarepublic/local/articles/2012/02/01/20120201pinal-county-sheriff-babeus-war-chest-tops-field.html#ixzz6GIusEBRa (noting that "Schweikert raised $170,000 at the end of 2011 and began 2012 with $700,000 in cash. Although that was less than others, such as Quayle, had raised, Schweikert largely reached his own goals, said [Campaign Consultant], a spokesman for the Schweikert campaign.").
[95] On or about April 15, 2012, the Schweikerts provided a personal financial statement to MPB asserting they had $600,000 in campaign loans in assets—a figure that included the fictional $100,000 loan. *See* Exhibit 12 at COE.SCHWEIKERT.005414. MPB Official A said that the bank did not verify the Schweikerts' campaign debt due to the small size of the loan. OCE Interview of MPB Official A.

In October and November 2012, the Schweikert for Congress campaign committee reported making $100,000 in payments to Blue Point LLC, a company owned by Representative Schweikert's campaign consultant, Campaign Consultant.[96] Schweikert for Congress bank accounts for the same period, however, did not show these disbursements being made. The $100,000 was spread out into 5 irregularly-sized payments, and therefore looked similar to the large mail-related disbursements Campaign Consultant's company typically received:

- October 5, 2012 disbursement for $23,972[97]
- October 15, 2012 disbursement for $11,580[98]
- October 19, 2012 disbursement for $18,868[99]
- October 26, 2012 disbursement for $22,580[100]
- November 2, 2012 disbursement for $23,000[101]

By misreporting the $100,000 disbursements to Blue Point LLC, Schweikert for Congress was able to balance its accounts and report an ending cash on hand of only $7,488.54 at the close of the 2012 election cycle.[102] Without the false Blue Point disbursements, the campaign committee would have reported an ending cash on hand of $107,488.54, which would have included the December 25, 2011, $100,000 loan that was never received by the campaign. Accordingly, the misreported disbursements served to cover the misreported loan that was never received and allowed the campaign to adjust its bank accounts at the end of 2012.

Representative Schweikert's campaign manager at the time, 2012 Campaign Manager, testified that a few weeks after the 2012 general election, when post-general election reports were filed, he asked Campaign Consultant about the disbursements to Blue Point LLC because he did not believe the campaign did mailings for the general election.[103] Campaign Consultant informed him that he had not produced any mail nor been paid for mail during that time.[104] According to Representative Schweikert, this $100,000 in disbursements to Blue Point LLC was "misreported" by Mrs. Schweikert to the FEC,[105] but the ISC received no further explanation why the fictional disbursements were reported in the first place.

In December 2012, 2012 Campaign Manager made a reference to Mr. Schwab about Mrs. Schweikert having stolen money from the campaign: "let's seriously consider the fact that Joyce

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[96] Schweikert for Congress, 2012 Pre-General Report of Receipts and Disbursements (Oct. 25, 2012); Schweikert for Congress, 2012 Post-General Report of Receipts and Disbursements (Dec. 6, 2012); Schweikert for Congress, 2012 Amended Post-General Report of Receipts and Disbursements (Jan. 20, 2013).
[97] Schweikert for Congress, 2012 Pre-General Report of Receipts and Disbursements, at 19 (Oct. 25, 2012).
[98] *Id.* at 20.
[99] Schweikert for Congress, 2012 Post-General Report of Receipts and Disbursements, at 27 (Dec. 6, 2012); Schweikert for Congress, 2012 Amended Post-General Report of Receipts and Disbursements, at 28 (Jan. 20, 2013).
[100] Schweikert for Congress, 2012 Post-General Report of Receipts and Disbursements, at 28 (Dec. 6, 2012); Schweikert for Congress, 2012 Amended Post-General Report of Receipts and Disbursements, at 29 (Jan. 20, 2013).
[101] Schweikert for Congress, 2012 Post-General Report of Receipts and Disbursements, at 30 (Dec. 6, 2012); Schweikert for Congress, 2012 Amended Post-General Report of Receipts and Disbursements, at 31 (Jan. 20, 2013).
[102] Schweikert for Congress, 2012 Year-End Report of Receipts and Disbursements, at 2 (Jan. 31, 2013).
[103] ISC Interview of 2012 Campaign Manager.
[104] *Id.*
[105] Appendix C ¶ 8.

[Schweikert] has stolen AT LEAST 4 times that from the [campaign committee]."[106] 2012 Campaign Manager subsequently testified that he was only joking when he made this assertion.[107] Mr. Schwab testified that, after 2012 Campaign Manager made that reference, he "immediately" raised the need to "professionalize the bookkeeping" of the campaign with Representative Schweikert.[108]

In late 2012, Mr. Schwab, 2012 Campaign Manager, and Campaign Consultant informed Representative Schweikert there were issues with Mrs. Schweikert's campaign financial activities, including that the campaign committee misreported disbursements to Blue Point LLC that had not been made.[109] Following those discussions, Representative Schweikert told Mr. Schwab that he wanted to "get the books away from Joyce [Schweikert]."[110]

Compliance Firm 1 was retained in January 2013 to take over management of the campaign finances, including campaign treasurer duties, and to create a new campaign committee, Friends of David Schweikert.[111] An employee of that compliance firm, Treasurer D, officially replaced Treasurer B as campaign treasurer in May 2013, but Compliance Firm 1 began taking over compliance and treasurer responsibilities in January 2013.[112] Compliance Firm 1 was not advised that the $100,000 personal loan had not actually been made, nor were they made aware of the misreported disbursements to Blue Point LLC.[113]

To effectuate the transfer of responsibilities, a partner of Compliance Firm 1 requested that Treasurer D receive access to the committees' accounting software and be given access to the bank accounts.[114] Treasurer D also followed-up on that request. On at least two occasions in February 2013, Treasurer D asked Mrs. Schweikert for access to the David Schweikert for Congress and Schweikert for Congress accounts at Chase Bank;[115] however the ISC did not find evidence showing that Mrs. Schweikert ever gave Treasurer D, or any other individual at Compliance Firm 1, access to the accounts.[116]

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[106] Exhibit 13.
[107] ISC Interview of 2012 Campaign Manager ("I'll just say right now that this was a joke between Oliver and I. This was us screwing around on email and we were not serious about that. It was like a running joke we had over frustration with slow reimbursements and things like that.").
[108] ISC Interview of Oliver Schwab (following receipt of 2012 Campaign Manager's email, he "immediately went to Mr. Schweikert" and said, "it is time to professionalize the bookkeeping of this campaign work.").
[109] *Id.*; ISC Interview of Campaign Consultant; ISC Interview of 2012 Campaign Manager.
[110] ISC Interview of Representative Schweikert; ISC Interview of Oliver Schwab.
[111] Exhibit 14. (Jan. 2, 2013 email from Oliver Schwab to Treasurer C at Compliance Firm 1: "[Representative Schweikert] wanted me to ask if you would take our 2014 [campaign account] on as a client for this next cycle").
[112] *Id.*
[113] Appendix C ¶¶ 10, 11.
[114] Exhibit 14.
[115] Exhibit 15 (Feb. 21, 2013 email from Treasurer D to Joyce Schweikert suggesting, "It might be helpful for you to add me on as a signer for the Chase account"); Exhibit 16 (Feb. 25, 2013 email from Treasurer D to Joyce Schweikert suggesting again, "Hey Joyce, [w]ill you give me access to the chase online [account] so I can get to the bank statements?").
[116] ISC Interview of Treasurer D. Mrs. Schweikert closed the bank accounts on Apr. 8, 2013, and June 17, 2013, respectively. Exhibit 17 at COE.SCHWEIKERT.005936; Exhibit 9 at COE.SCHWEIKERT.005711.

The Schweikerts never advised Treasurer D that the $100,000 loan had not been made.[117] Instead, Mrs. Schweikert appears to have sought to have the cash on hand from the previous campaign committees moved to the Schweikerts' personal bank accounts as repayment for the debt.[118]

On January 6, 2013, Treasurer D emailed Mrs. Schweikert and Mr. Schwab, outlining her understanding of the campaign committees' debts at that time, including "Schweikert for Congress (Primary 2012) $230,000.00."[119] The $230,000 referenced by Treasurer D was comprised of the fictional December 25, 2011, $100,000 loan and a $130,000 personal loan Representative Schweikert made to his campaign on or about August 22, 2012. On February 4, 2013, Mrs. Schweikert emailed Treasurer D stating, "I need to have [the cash on hand] balance in our private real estate bank account soon."[120] On February 20, 2013, Mrs. Schweikert sent an email to Treasurer D with the subject line, "money move," and stated: "we will need to move money before the month ends. I need to show as much $$$ in our bank [account]."[121] When Treasurer D asked: "Could you clarify what money you would like to move from which account for what purposes?" Mrs. Schweikert replied: "All [the] $$$ you can afford for loan payback. Send [Representative Schweikert] a check. I will post on old committee for personal pay down."[122] Mrs. Schweikert sent a follow-up email to Treasurer D that same day at 2:06 p.m., stating: "Please ask all fundraisers . . . if they have checks out still to give you for deposit. Is the correct process to send you a [check] for all net Jan[uary] & Feb[ruary] $$$ that had hit old [campaign account] – Chase bank[.] Then when you deposit in BB&T [bank account], you can then send [Representative Schweikert] a big [check] for personal loan payback."[123]

Despite Mrs. Schweikert's requests, Schweikert for Congress did not report making any repayments to Representative Schweikert for the fictional $100,000 loan. All of the loan repayments during late 2012 and throughout 2013 were attributed to the $130,000 personal loan he made in August 2012, and the $100,000 loan was deemed "forgiven" in the fall of 2013.

On August 10, 2013, Mrs. Schweikert emailed Treasurer D, and stated, "David and I have decided to settle some of the remaining debt on the 2012 committee" and asked Treasurer D to "release the $100,000 [December 25, 2011 personal loan] as settled" and "close [the Schweikert for Congress] committee. We would like for this to happen in the next few weeks. We will then just keep working on the very old debt from 2008/2010 committee."[124] This was the earliest indication in the ISC's record that the Schweikerts stopped seeking payments from the campaign for the loan that did not exist. The ISC was not able to determine precisely what motivated the Schweikerts to abandon their efforts to be paid by the campaign for the fictional debt.

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[117] Appendix C ¶ 5.
[118] During this time the Schweikerts were applying for a house loan. Exhibit 18.
[119] Exhibit 19.
[120] Exhibit 20.
[121] Exhibit 21.
[122] *Id.*
[123] *Id.*
[124] Exhibit 22.

According to Representative Schweikert, the $100,000 loan was reported to the FEC as a result of a "screw-up" in connection with a line of credit he planned to obtain:

> I had started the paperwork to do a credit line on the house, and in the chaos, maybe it just never got completed, and it [had] already been put down on the FECs. It should not have been done, it was a mistake.[125]

Representative Schweikert testified he first became aware that the $100,000 loan had been falsely reported nearly a year after it was first reported, after the 2012 election.[126] At that point, he recalled having a "tense concern saying we can't have anything where we benefit. This has to get fixed, and my understanding is that's what happened."[127] Representative Schweikert further testified that he assumed Mrs. Schweikert was aware that the $100,000 personal loan was not made.[128]

Representative Schweikert told the ISC the issue was "taken care of" through "paperwork,"[129] but admitted that he did not advise the new compliance firm of the reporting error.[130] On October 11, 2013, Schweikert for Congress filed a termination report stating, among other things, that the December 25, 2011 $100,000 loan had been "forgiven." On October 12, 2013, Schweikert for Congress filed with the FEC a September 30, 2013 memorandum, signed by Representative Schweikert, in which he falsely stated: "Please be advised that as of September 30, 2013 I have forgiven the outstanding $100,000 loan, incurred on December 25, 2011, from my personal funds to the Schweikert for Congress Committee 2012 Primary Election."[131] At that time, Representative Schweikert was aware that no such loan existed.

In his testimony to the ISC, Representative Schweikert acknowledged, "in retrospect," different paperwork should have been filed.[132] Representative Schweikert testified he was not advised by anyone to say that the loan was forgiven and said: "if I was a better lawyer, but not having gone to law school, we probably should have done it a different way. But it still accomplishes the same thing, it cleaned it up."[133]

On November 5, 2013, the FEC accepted the Schweikert for Congress termination report and allowed for the Schweikert for Congress campaign committee to be terminated. Prior to its termination, Schweikert for Congress falsely reported the existence of the $100,000 loan to the FEC in the following reports:

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[125] ISC Interview of Representative Schweikert.
[126] *Id.*
[127] *Id.*
[128] *Id.*
[129] *Id.*
[130] *Id.*; Appendix C ¶ 5.
[131] Schweikert for Congress, Miscellaneous Report to FEC 2013 (Sept. 30, 2013).
[132] ISC Interview of Representative Schweikert.
[133] *Id.*

1/31/12	Schweikert for Congress 2011 Year-End Report
4/15/12	Schweikert for Congress April 2012 Quarterly Report
7/15/12	Schweikert for Congress July 2012 Quarterly Report
9/6/12	Schweikert for Congress July 2012 Amended Quarterly Report
9/16/12	Schweikert for Congress Pre-Primary Quarterly Report
10/15/12	Schweikert for Congress October 2012 Quarterly Report
10/25/12	Schweikert for Congress Pre-General 2012 Report
12/6/12	Schweikert for Congress Post-General 2012 Report
1/20/13	Schweikert for Congress Post-General 2012 Report Amendment 1
1/31/13	Schweikert for Congress 2012 Year-End Report
4/14/13	Schweikert for Congress April 2013 Quarterly Report
7/13/13	Schweikert for Congress July 2013 Quarterly Report
10/12/13	Memorandum from Representative Schweikert to Campaign Treasurer
10/11/13	Schweikert for Congress October 2013 Termination Report

On April 11, 2018, OCE initiated a review involving allegations of inaccurate FEC reports and sent Representative Schweikert a request for, *inter alia*, "[a]ll documents or communications related to any personal loans or contributions [Representative Schweikert] made to Schweikert for Congress in 2011-2012, including but not limited to, all documents or communications evidencing the source of those loans or contributions."[134] Representative Schweikert did not cooperate with OCE's request and did not advise the OCE that the $100,000 personal loan had not been made. Instead, on June 13, 2018, his counsel told the OCE:

> A comprehensive accounting of any personal loans made to Schweikert for Congress can be found in publicly available FEC reports. According to those records, Mr. Schweikert made two personal loans to his campaign during the 2011-2012 election cycle. The first was made on December 25, 2011, in the amount of $100,000; the second was made on August 22, 2012, in the amount of $130,000.[135]

On June 8, 2018, the Committee informed Representative Schweikert that, if he was aware of any inaccurate reporting on required disclosures previously filed with the FEC, those inaccuracies should be promptly and accurately corrected. At that time, the Committee encouraged Representative Schweikert to make any appropriate *sua sponte* submission to the FEC relating to the matters under review.

On September 6, 2018, the Committee transmitted to Representative Schweikert the Report and Findings of OCE which stated, *inter alia*, that OCE "found irregularities regarding a $100,000.00 personal loan from Rep. Schweikert, which was disclosed by Schweikert for Congress in a 2011 Year End FEC Report. Specifically, OCE could not identify any campaign

[134] *See* Exhibit 6 (citing OCE's document requests).
[135] Exhibit 6.

bank statements showing that the campaign actually received the $100,000.00."[136] On that date, the Committee provided Representative Schweikert with the opportunity to respond, in writing, to OCE's Report and Findings. On October 3, 2018, Representative Schweikert, through counsel, provided a response to OCE's Second Referral, but did not address the irregularities identified by the OCE with respect to the reported $100,000 loan. His counsel told the Committee that they had "engaged with FEC staff to work cooperatively to resolve any issues," and that Representative Schweikert had been working to cure "inadvertent errors or discrepancies."[137]

For over a year, however, Representative Schweikert and his counsel did not work to cure or engage with any FEC staff regarding the irregularities with the reported $100,000 loan. Despite assuring the ISC that he intended to cooperate and was working to promptly address errors and omissions raised by OCE, he did not inform the ISC that the $100,000 loan was improperly reported until January 6, 2020, when his counsel advised the ISC: "Based on our review, it appears that, due to administrative error, this loan may have been inadvertently reported as having been made (and forgiven) when it was never in fact made."[138] This admission followed multiple follow-up requests from the ISC that he identify errors and omissions in his FEC filings, culminating in an additional request for information from the ISC in December 2019 that specifically directed Representative Schweikert to state whether the December 25, 2011, $100,000 loan been deposited in his campaign committee bank accounts.

Representative Schweikert first alerted the FEC of this improper disclosure on January 27, 2020, when his counsel emailed FEC staff: "it appears that this loan was never made and should not have been disclosed on the committee's reports."[139]

Representative Schweikert was asked during his interview with the ISC whether the hundreds of thousands of dollars of personal loans he reported making to his campaign in 2007, 2008, and 2009 were also "inadvertently" reported and he responded: "I don't believe so."[140]

ii. Relevant Laws, Rules, and Other Applicable Standards of Conduct

A candidate's principal campaign committee must accurately report information to the FEC, including all loans and contributions it receives and disbursements it makes.[141]

Candidates may loan their personal funds to their own campaigns; such loans are considered contributions and although they are not subject to the same monetary limits as other contributions, they are subject to additional reporting requirements.[142] The loan must be itemized

[136] OCE's Second Referral at 41.
[137] Exhibit 93.
[138] Exhibit 25.
[139] Exhibit 8.
[140] ISC Interview of Representative Schweikert. His counsel further advised that the prior loans had not been previously reviewed in preparation for his interview and that it was difficult for Representative Schweikert to answer specific questions about the details of reporting and making of the loans due to the age of the transactions.
[141] 52 U.S.C. § 30104(b)(3)(E).
[142] *See Personal loans from the candidate,* FEC, https://www.fec.gov/help-candidates-and-committees/handling-loans-debts-and-advances/personal-loans-candidate/.

by the campaign committee and continuously reported until paid off. There are also limits on the extent to which personal loans by candidates can be repaid by a campaign after the date of the election for which the loan occurred.[143] A candidate may forgive all or part of a personal loan by filing a signed statement with the FEC indicating the forgiveness.[144] Campaign committees are also required to report disbursements.[145] When a campaign committee discovers that an earlier report contained erroneous information, it must file an amended report.[146]

Pursuant to paragraph 2 of the Code of Ethics for Government Services, Members are expected to uphold the laws and legal regulations of the United States, and never be a party to their evasion. Members must also act in a manner that reflects creditably on the House, pursuant to House Rule XXIII, clause 1.

iii. Findings

Schweikert for Congress, which served as Representative Schweikert's principal campaign committee during the 2012 campaign, failed to file accurate and complete disclosures with the FEC as required by federal campaign finance laws and regulations. Specifically, the campaign committee falsely reported receiving a December 25, 2011, $100,000 personal loan from Representative Schweikert, and falsely reported making five disbursements totaling $100,000.

The weight of evidence does not support Representative Schweikert's contention that these fabrications were the result of "inadvertent" errors. Mrs. Schweikert, who was responsible for the errors, has a background in accounting and bookkeeping and had access to the campaign's bank statements. A cursory review of those records easily shows that the transactions did not occur as they were reported. In addition, the fake disbursements, spread out over five odd-numbered payments to a frequent campaign vendor, were for the exact sum of money missing from the campaign's receipts due to the fake loan; those disbursements gave the illusion that the $100,000 loan was used by the Schweikert for Congress campaign committee, effectively balancing out the campaign's reported cash on hand and preventing a shortfall in campaign funds that might have signaled to others that the loan had never been made in the first place.

Representative Schweikert was specifically advised of irregularities regarding Mrs. Schweikert's handling of campaign finances, including the misreported disbursements to Blue Point LLC (which accounted for the $100,000 in funds that were not received). He was also aware that the $100,000 loan had been improperly reported. This led him to replace Mrs. Schweikert with a new campaign compliance firm, Compliance Firm 1, in January 2013. Representative Schweikert testified that his former Chief of Staff, Oliver Schwab, was tasked with identifying and hiring the new firm.[147] Mr. Schwab testified:

> In 2012, after I returned, one of [Representative] Schweikert's priority objectives for me was to, quote, "get the books away from

[143] 11 C.F.R. §§ 116.11, 116.12.
[144] *FEC Campaign Guide* at 127 (citing Advisory Op. 1985-10 and 1979-05).
[145] 11 C.F.R. § 104.3(b).
[146] *FEC Campaign Guide* at 122.
[147] ISC Interview of Representative Schweikert.

> my wife." I say that not to sound in any way that he was being ill-spirited to his wife, I think he genuinely wanted his marriage back, because the FEC accounting was taking a lot of time and energy out of their day in day out and it seemed to be dominating the nature of their relationship. But I also sensed in that a tone that he wanted to have sort of a fresh start, if that's an appropriate way to describe what he asked would be in the next sequence. He said, find the best FEC team that you can and have them certify that this debt is all there. I want someone to audit the books as they've been kept for up until that point . . . They certified that the debt figures were there. I asked a couple of questions at the time, like, you know, is there a way for you to audit, you know, everything that's happened to date? . . . But they really couldn't go back and see line item by line item because those records were not provided by the Schweikerts.[148]

While Representative Schweikert may have brought in compliance professionals to clean up the campaign's books going forward, the record is clear that he made no meaningful efforts to address any misconduct from the 2012 election. He did not advise individuals at Compliance Firm 1 of the issues that he was aware of, and the firm was not provided access to the campaign's prior bank accounts, which would have revealed that the $100,000 loan was never received and that the $100,000 disbursements to Blue Point LLC were never made. If the reporting of the personal loan was in fact the result of an inadvertent error, Representative Schweikert had many opportunities to promptly advise the FEC, his campaign treasurers, the OCE, and the ISC of that error, but he did not do so.

Representative Schweikert's assertion that he sought to "fix" the error upon learning of it is hard to reconcile with communications from early 2013 where Mrs. Schweikert appeared to be actively planning to be repaid for the fictional $100,000 loan. Mrs. Schweikert's August 2013 assertion to the campaign's treasurer that "[Representative Schweikert] and I have decided to settle some of the remaining debt on the 2012 committee" may have put an end to the potential for the $100,000 to be improperly taken from the campaign for the Schweikerts' personal profit, but it added to the falsehoods surrounding the loan. Representative Schweikert furthered the misreporting when he executed a signed memorandum addressed to his treasurer in September 2013, which was then filed with the FEC: "I have forgiven the outstanding $100,000 loan, incurred on December 25, 2011, from my Schweikert for Congress Committee 2012 Primary Election."[149]

Representative Schweikert justified this statement by noting that he is not a lawyer and that it seemed to be "the most rationale way" to make sure the Schweikerts did not benefit from the falsely reported loan.[150] A legal degree, however, is not required to know this statement was untruthful. While the ISC did not obtain evidence showing that Representative Schweikert financially benefited from these reporting errors, the errors deprived the FEC and the public of transparent accounting of his campaign's finances, and at the same time advantaged Representative

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[148] ISC Interview of Oliver Schwab.
[149] Schweikert for Congress, Miscellaneous Report to FEC 2013 (Sept. 30, 2013).
[150] ISC Interview of Representative Schweikert.

Schweikert's campaign by giving the impression that it was in stronger financial shape going into a competitive primary election season.

Not only did Representative Schweikert fail to properly correct the record when he reported his "forgiveness" to the FEC, he again failed to do so when OCE inquired about the loan. Instead of cooperating with OCE's request, Representative Schweikert directed OCE back to the inaccurate FEC reports, which he maintained contained a "comprehensive accounting" of details related to the loan, thereby implying the FEC disclosures were accurate, when they were not. In September 2018, slightly less than five years after he falsely told the FEC that he had "forgiven" a loan that he never made, the Committee asked Representative Schweikert to respond to OCE's review, including its assertion that there was no record of the $100,000 loan in the campaign's bank statements. At that time, Representative Schweikert continued to ignore the issue. When the ISC requested information regarding the loan he did not advise the ISC of the "inadvertent" error for over a year.

On these facts, the ISC found substantial reason to believe that the reporting of the $100,000 personal loan and $100,000 in disbursements that were never made did not occur as a result of merely "inadvertent errors." At the very least, Representative Schweikert exercised gross indifference to his obligations under campaign finance law. The ISC further believes the record raises serious questions as to whether there were efforts to conceal the fact that the loan and disbursements had been falsely reported for as long as practicable.[151]

Accordingly, the ISC determined that Representative Schweikert failed to uphold the laws and regulations of the United States, including provisions of the FECA and the FEC's implementing regulations and was a party to their non-compliance, in violation of paragraph 2 of the Code of Ethics for Government Services and that he acted in a manner that did not reflect creditably on the House, in violation of House Rule XXIII, clause 1.

4. Irregularities with August 2012 $130,000 Personal loan

i. Background

Schweikert for Congress reported to the FEC that it received a $130,000 personal loan from Representative Schweikert on August 22, 2012.[152] Schweikert for Congress did not receive a single transfer of $130,000 from Representative Schweikert; it received four separate transfers totaling $130,000:

- August 16, 2012 ($5,000 transfer from Sheridan Holdings account)[153]
- August 17, 2012 ($8,000 from Sheridan Holdings account)[154]

[151] The ISC also has concerns as to whether other loans Representative Schweikert reported making to his campaign were in fact made due to Representative Schweikert's inability to specifically confirm that they were not inadvertently reported. *Id.*
[152] Schweikert for Congress, Oct. 2012 Quarterly Report of Receipts and Disbursements, at 71 (Oct. 15, 2012); *see also* Schweikert for Congress, 48 Hour Notice of Contributions/Loans Received (Aug. 22, 2012).
[153] Exhibit 23.
[154] *Id.*

- August 21, 2012 ($17,000 from Mrs. Schweikert account)[155]
- August 22, 2012 ($100,000 from Sheridan Holdings account)[156]

Representative Schweikert's campaign consultant testified that he advised the congressman the $130,000 loan was unnecessary, but that Representative Schweikert told him he would proceed with the loan, which he said was funded by his "retirement account."[157] According to Representative Schweikert, around the time the loan was made to the campaign, he was "trying to buy one more television ad."[158]

The $100,000 transfer from the Sheridan Holdings account was not sourced from a "retirement account," but from a cashier's check received the day before from an individual (Individual A) who is Representative Schweikert's close relative.[159] Representative Schweikert asserted the $100,000 check, which was payable to David and Joyce Schweikert, was a gift unrelated to his election, and that he customarily received gifts of a personal nature before and after the $100,000 check was sent to him.[160] As examples of other gifts from Individual A, Representative Schweikert testified that he received items such as a Rolex watch, thousands of dollars' worth of candy, and checks ranging from $20,000 to $100,000.[161]

Representative Schweikert further testified that he did not solicit a contribution from Individual A, nor did he believe the $100,000 check was a campaign contribution.[162]

With respect to the August 21, 2012, $17,000 transfer to Schweikert for Congress received from Mrs. Schweikert's bank account, Representative Schweikert testified and advised through counsel that her bank account was "jointly utilized" by the Schweikerts as "a family account," that he had access to the account, and that they "put money in each other's accounts all the time."[163] Representative Schweikert informed the ISC that he could no longer access bank records related to Mrs. Schweikert's account, but his counsel advised, "each spouse regularly deposits salary into the account and withdraws funds from the account for household and other expenses."[164]

OCE's Second Referral provided a detailed summary of discrepancies associated with the repayment of the loan.[165] In its FEC filings, Schweikert for Congress reported repaying the $130,000 personal loan to Representative Schweikert between December 2012 and August

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[155] *Id.*
[156] *Id.*
[157] ISC Interview of Campaign Consultant.
[158] ISC Interview of Representative Schweikert.
[159] Exhibit 24.
[160] ISC Interview of Representative Schweikert (explaining that the cashier's check from Individual A "showed up in the mail").
[161] *Id.*
[162] *Id.* at 67. But when Representative Schweikert was asked whether he believed it was a coincidence that this large check was sent just before his primary election against Ben Quayle, he testified, "My instinct is he sent the money because he knew—because it was a national story, you know, running against the son of a vice president was a national story." *Id.*
[163] *Id.*; Exhibit 25.
[164] Exhibit 25. During August 2012, however, the Schweikerts also had a joint account—separate from Mrs. Schweikert's account, which was used by Representative Schweikert to deposit his congressional salary. Exhibit 26.
[165] OCE's Second Referral at 43-45.

2013.[166] Bank records show, however, that Schweikert for Congress only repaid $30,062.00 of the loan, and it did so between November 2012 and March 2013.[167] Schweikert for Congress also reported making a February 28, 2013, loan repayment of $5,448.54 to Representative Schweikert; however, that repayment was offset by an online transfer on the same date from the Schweikerts' joint checking account to the Schweikert for Congress bank account.[168] An additional $94,449.46 was repaid to the Schweikerts between February 2013 and August 2013 by a newly created campaign committee, Friends of David Schweikert.[169] The Friends of David Schweikert campaign committee did not report these disbursements as loan repayments; it reported these disbursements as transfers to Schweikert for Congress.[170]

In the January 27, 2020 letter, Representative Schweikert's counsel advised FEC staff the following: "Although Schweikert for Congress reported that it fully repaid Representative Schweikert in installments between February and August of 2013, it appears that some of the repayments were made directly from an account of the Friends of David Schweikert committee."

Following this communication, Representative Schweikert advised the ISC that the FEC was "made aware that there are some reporting discrepancies in the committees" disclosure reports with respect to these repayments.[171]

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[166] Schweikert for Congress, 2012 Year-End Report of Receipts and Disbursements, at 13 (Jan. 31, 2013); Schweikert for Congress, Apr. 2013 Quarterly Report of Receipts and Disbursements, at 6, 8 (Apr. 14, 2013); Schweikert for Congress, July 2013 Quarterly Report of Receipts and Disbursements, at 6, 8 (July 15, 2013); Schweikert for Congress, 2013 Termination Report at 8, 10 (Oct. 11, 2013).
[167] Exhibit 9.
[168] *Id.* at COE.SCHWEIKERT.005687-COE.SCHWEIKERT.005688.
[169] Exhibit 27.
[170] *See* Exhibit 8. In addition to these repayment discrepancies, between 2013 and 2017, the David Schweikert for Congress campaign committee reported making a series of repayments to Representative Schweikert for loans he made in connection with his 2008 and 2010 primary elections which were, in fact, repaid to Representative Schweikert by a different campaign committee, Friends of David Schweikert. As was the case with the misreported repayments of the $130,000 loan, Friends of David Schweikert reported making transfers to David Schweikert for Congress, when in fact it was making loan repayments directly to Representative Schweikert. David Schweikert for Congress could not have received payment transfers from Friends of David Schweikert, nor could it make repayments to Representative Schweikert for his prior loans, because its bank accounts had been closed by Mrs. Schweikert in the Spring of 2013. In December 2017, individuals at Compliance Firm 2, the compliance firm hired to replace Compliance Firm 1, discovered that Friends of David Schweikert had been repaying Representative Schweikert for personal loans he had made to a different campaign committee, David Schweikert for Congress. Following that discovery, individuals at Compliance Firm 2 worked to open a new bank account for David Schweikert for Congress so that the remaining loan repayments could be paid directly through that committee's bank account. *See* Exhibit 28. (stating in a Dec. 22, 2017 email from a Compliance Firm 2 individual to Mr. Schwab that Compliance Firm 2 needs a copy of the [employee identification letter] for David Schweikert for Congress to establish a bank account).
[171] Exhibit 27. In addition to these repayment discrepancies, it also appears that David Schweikert for Congress improperly reported making repayments to Representative Schweikert for personal loans he made in connection with the 2010 primary, when in fact, Friends of David Schweikert was responsible for the repayments. Friends of David Schweikert reported those repayments as transfers to David Schweikert for Congress, when in fact it made the repayments directly to Representative Schweikert.

ii. Relevant Laws, Rules, and Other Applicable Standards of Conduct

FECA prohibits any person from making, and a candidate and his or her authorized campaign committee from accepting, contributions exceeding the contribution limits. A contribution is any "gift, subscription, loan, advance or deposit of money or anything of value made by any person for the purpose of influencing any election for federal office."[172] The individual contribution limit during the 2011-2012 election cycle was $2,500 per election.[173]

A candidate for general office may make unlimited expenditures and loans from personal funds.[174] FEC regulations define "personal funds" to include, "[g]ifts of a personal nature that had been customarily received by the candidate prior to the beginning of the election cycle."[175] The FEC has further explained that if any person gives or loans the candidate money "for the purpose of influencing any election for Federal office," the funds are not considered personal funds of the candidate but instead constitute a contribution from the donor to the campaign.[176]

Personal funds also include the candidate's income received during the current election cycle and a portion of assets that are jointly owned by the candidate and the candidate's spouse either "equal to the candidate's share of the asset under the instrument of conveyance or ownership," or if nothing is specified, one-half the value of the jointly owned asset.[177]

Unlike a candidate, a candidate's spouse is subject to FECA's contribution limits.[178] When a candidate uses "personal funds" derived from assets that are jointly owned by the candidate and the candidate's spouse, the amount is limited to the "candidate's share of the asset under the instrument of conveyance or ownership," if the instrument is silent, the FEC presumes the candidate holds a "one-half" ownership interest.[179]

Pursuant to paragraph 2 of the Code of Ethics for Government Services, Members are expected to uphold the laws and legal regulations of the United States, and never be a party to their evasion. Members must also act in a manner that reflects creditably on the House, pursuant to House Rule XXIII, clause 1.

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[172] 52 U.S.C. § 30101(8)(A); 11 CFR § 100.52(a).
[173] *See FEC Announces 2011-2012 Campaign Cycle Contribution Limits*, FEC, (Feb. 3, 2011), https://www.fec.gov/updates/fec-announces-2011-2012-campaign-cycle-contribution-limits/. *See also* 11 C.F.R. § 110.1(b) (noting that the contribution limit shall be adjusted by the percent difference in the price index every two-year period).
[174] 11 C.F.R. § 110.10.
[175] 11 C.F.R. § 100.33(b)(6).
[176] *See FEC Campaign Guide* at 28-29; 11 C.F.R. § 100.52(a).
[177] 52 U.S.C. § 30101(26)(C).
[178] *See FEC Campaign Guide* at 28.
[179] 52 U.S.C. § 30101(26)(C); 11 C.F.R. § 100.33(c).

iii. Findings

The ISC considered whether the $130,000 personal loan Representative Schweikert made to the Schweikert for Congress campaign committees was funded by excessive contributions from Individual A and Mrs. Schweikert.

The circumstances surrounding the $130,000 personal loan raised questions as to whether it was truly sourced from "personal funds" of Representative Schweikert. First, the $100,000 transfer Representative Schweikert received from Individual A occurred just several days prior to a competitive primary election which had received national attention. Representative Schweikert testified that he loaned his campaign the $130,000 because he wanted to do "one more television ad"; however, without the transfer from Individual A, neither he nor his campaign had had the liquidity to make such disbursements.[180] While the ISC received evidence that Representative Schweikert received smaller value gifts from Individual A, the ISC did not receive specific information about the amount, form, timing, of previous gifts he received from Individual A.

Based on the record before the ISC, there is not sufficient evidence to conclusively determine whether Representative Schweikert customarily received gifts from Individual A of a similar nature and amount to the $100,000 transfer he received just prior to his primary election. The ISC further notes that the FEC has been inconsistent on when gifts to a candidate qualify as "personal funds" of the candidate versus a contribution.[181] Ultimately, the ISC did not make a finding that the $100,000 transfer from Individual A that preceded the $130,000 personal loan Representative Schweikert made to his campaign constituted an excessive contribution from Individual A.

With respect to the $17,000 transfer from Mrs. Schweikert's account to Schweikert for Congress, the ISC notes that the Schweikerts reside in Arizona, a community property state, in which "all property acquired by either husband or wife during the marriage," with limited exceptions, "is the community property of the husband and wife."[182] Spouses who reside in

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[180] ISC Interview of Representative Schweikert. On August 22 and 23, 2012, one day after the $100,000 from Individual A was transferred to Schweikert for Congress, the campaign reported disbursing $20,000 and $80,532.30 for "tv ads" and "tv & radio buys," respectively. *See* Schweikert for Congress, Oct. 2012 Quarterly Report of Receipts and Disbursements, at 57-58 (Oct. 15, 2012). When accounting for the fact that the December 25, 2011, $100,000 personal loan had not been made to the campaign, Schweikert for Congress would not have been able to make those disbursements in addition to the other disbursements it made in August 2012 without this transfer from Individual A. *See* Exhibit 9 at COE.SCHWEIKERT.005647-COE.SCHWEIKERT.005654 (reflecting ending balance of $13,014.24). Excluding the deposit of the $100,000 in funds from Individual A, Sheridan Holdings bank statements showed a total beginning and ending assets in August 2012 of $16,861.43 and $5,509.60. *See* Exhibit 29. The Schweikerts' joint account showed a total beginning and ending assets in August 2012 of $4,147.42 and $2,749, with a total of $12,535.29 in deposits. *See* Exhibit 26.

[181] In Ferguson for Congress, *et al.*, (MUR 5138), the FEC in a 4-2 vote, issued a $210,000 civil penalty after concluding the candidate accepted excessive contributions from his parents in connection with $1 million he received from a trust that was newly created for the candidate and all of his siblings, but which had only vested for the candidate at the time he transferred the funds to his campaign. In Minnesotans for Janet Robert, *et al.*, (MUR 5321), however, the FEC deadlocked in a 3-3 vote on whether to enter settlement discussions as to whether the candidate received an excessive contribution from her mother, after the candidate's mother gave her and all of her siblings $800,000 shortly before the candidate's general election.

[182] Ariz. Rev. Statute § 25-211.

Arizona "have equal management, control and disposition rights over their community property and have equal power to bind the community."[183] Funds in one spouse's bank account are treated as community property when marital funds are commingled and in the absence of evidence that the account was intended to be separate property.[184] The FEC instructs candidates that they "may use, as personal funds, his or her portion of assets owned jointly with a spouse (for example, a checking account or jointly owned stock). If the candidate's financial interest in an asset is not specified, then the candidate's share is half the value."[185] However, the FEC has, at times, found that the total funds in a joint account may amount to a candidate's entire funds, if the state law governing such accounts provides that both spouses owning the account have equal and complete access to the funds.[186]

According to Representative Schweikert, Mrs. Schweikert's bank account was treated as the Schweikerts' joint account given that he commingled his assets within that account and had access to the account.[187] In the absence of evidence to the contrary, and in recognition of Arizona's status as a community property state in which spouses are deemed to "have equal management, control and disposition rights" over such property,[188] the ISC determined there is not substantial reason to believe the $17,000 transfer from Mrs. Schweikert's account amounted to an excessive contribution.

With respect to the reporting discrepancies related to the repayments of the $130,000 loan, the ISC notes that these errors are largely technical violations that occurred with the knowledge of the compliance firm Representative Schweikert retained in January 2013, but which, nevertheless, fall within the pattern of reporting violations that were not promptly corrected. The ISC recommends that Representative Schweikert continue to work with the FEC to correct all errors relating to the repayment of these loans.

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[183] Ariz. Rev. Statute § 25-214.

[184] *Cooper v. Cooper,* 130 Ariz. 257, 260 (1981) (*en banc*) (finding that a spouse's savings account, in her name only, was "community property" due to the "commingling of monies from the husband's salary for community expenses" and because the spouse "did not sustain her burden of demonstrating which portion of the monies in the account retained their separate character.").

[185] *FEC Campaign Guide* at 29; 11 C.F.R. § 100.33.(c).

[186] Ted Cruz for Senate, *et al.,* (LRA #976) Supplemental Comments on Resubmitted Draft Final Audit Report, at 6 ("In the context of a joint bank account, however, the Commission deems all of the funds in an account held jointly with a spouse to be the candidate's personal funds if the state law governing such accounts provides that both spouses owning the account have equal and complete access to its funds."); *see also* Rush Holt for Congress, *et al.* (MUR 4910R) Second General Counsel's Report (taking no further action where amount in violation was small and the law concerning joint bank accounts was considered "unsettled"); Terri Lynn Land for Senate, *et al.* (MUR 6860) Conciliation Agreement (imposing a $66,000 civil penalty after candidate's spouse transferred funds from his personal account to candidate's account and candidate used those funds to cover loan to campaign).

[187] ISC interview of Representative Schweikert (explaining "[w]e put money in each other's accounts all the time" and stating he believed funds from his mother's estate were deposited into that account). The ISC requested, but did not receive, records to Mrs. Schweikert's bank accounts, to confirm this statement. According to Representative Schweikert's counsel, the records were no longer accessible.

[188] Rush Holt for Congress, *et al.* MUR (4910R) Second General Counsel's Report, at 4.

5. *Failure to Report Contributions and Receipts*

i. Background

Between 2010 and 2013 the Schweikert for Congress and David Schweikert for Congress campaign committees did not report thousands of dollars' worth of receipts and disbursements to the FEC. The ISC and Representative Schweikert stipulated to the following facts as it relates to these omissions:

- Between 2010 and 2013, David Schweikert for Congress Chase bank records contain at least $8,000 in disbursements and $140,000 in receipts that are not reflected in any of the David Schweikert for Congress disclosures made to the FEC.[189]

- The January 2013 monthly statement from the Schweikert for Congress Chase bank account shows a series of small disbursements totaling $1,658.41 from two account debit cards, and a series of small deposits totaling $3,390.01. Schweikert for Congress's April 2013 Quarterly Report covering this time frame does not include disbursements or receipts in these amounts. Representative Schweikert believes that, aside from a recurring payment to the campaign's email marketing firm and a $15 payment to Facebook, the debit card transactions were in-store purchases by his then-chief of staff to pay for expenses related to serving coffee and donuts at the Maricopa County Republican Mandatory meeting.[190]

- The February 2013 monthly statement from the Schweikert for Congress bank account shows a check paid by the committee in the amount of $19,708.10 on February 28, 2013, and $24,108.18 in deposits. Schweikert for Congress's April 2013 Quarterly Report covering this time frame does not include disbursements or receipts in these amounts.[191]

- Representative Schweikert and Mrs. Schweikert were the only individuals that had debit cards in their names for the Schweikert for Congress Chase bank account in early 2013.[192]

Mrs. Schweikert was responsible for preparing the David Schweikert for Congress and Schweikert for Congress FEC reports that omitted these contributions and disbursements.[193] The Schweikert for Congress campaign treasurers who worked with Mrs. Schweikert when these reporting omissions occurred did not express concern over Mrs. Schweikert's bookkeeping abilities; one treasurer noted she had "a great deal of confidence in [Mrs. Schweikert's] ability."[194] Representative Schweikert's campaign consultant similarly did not question Mrs. Schweikert's

[189] Appendix C ¶ 7; *see* OCE's Second Referral at 45.
[190] *Id.* ¶ 6.
[191] *Id.* ¶ 14.
[192] *Id.* ¶ 15.
[193] *Id.* ¶ 2; ISC Interview of Treasurer A; ISC Interview of Treasurer B; ISC Interview of 2012 Campaign Manager.
[194] ISC Interview of Treasurer A.

professional abilities, but he had concerns that the job "was too personal" for Mrs. Schweikert and felt that "[i]t's just bad form to have the wife of the Member handling the money."[195] According to Representative Schweikert's campaign manager for the 2012 election cycle, Mrs. Schweikert "was more concerned about day-to-day dollars going out the door than being focused on making sure the FEC report was 100 percent correct," and implied that her other commitments, including her full-time job, may have contributed to mistakes in her FEC reports.[196]

As discussed above, by late 2012, Representative Schweikert's campaign consultant and his campaign managers informed him there were issues with the campaign's disclosures, specifically with respect to the reporting of disbursements that were not made.[197] Following those concerns, Representative Schweikert retained the professional compliance firm, Compliance Firm 1 in January 2013 to take over management of the campaign finances, including campaign treasurer duties. As previously noted, Compliance Firm 1's compliance work was only prospective; it did not perform a review or audit of prior filings.

In September 2018, OCE transmitted a referral to the Committee detailing many of these reporting omissions, including the failure by David Schweikert for Congress to disclose twelve receipts over $142,155.63 from accounts controlled by the Schweikerts, as well as the omission of disbursements by David Schweikert for Congress in early 2011.[198] Representative Schweikert was provided a copy of the referral and advised the Committee that he was working to cure any inadvertent errors or discrepancies regarding allegations that he may have omitted information from his FEC reports, explaining: "the Committee knows the Congressman has been working to cure any such inadvertent errors or discrepancies for several months. A new FEC compliance firm was retained at the end of 2017, and the Congressman's counsel has engaged with FEC staff to work cooperatively to resolve any issues."[199] Despite these assurances, the FEC was not informed of these reporting errors at that time and the new compliance firm did not take steps to investigate or correct these reporting omissions.

During his interview Representative Schweikert was asked to explain why these reporting omissions occurred, however, he was not familiar enough with OCE's allegations to provide a coherent explanation at that time, and generally stated "I thought this would have just been accounting mistakes."[200] No further explanations were provided by Representative Schweikert or anyone on his behalf for why these reporting discrepancies occurred.

Representative Schweikert generally denied ever using campaign funds for impermissible purposes, however the ISC could not verify that the unreported disbursements were used for *bona fide* campaign purposes.[201] Representative Schweikert further advised the ISC he could not verify the unreported $19,708.10 disbursement was a *bona fide* campaign expenditure because bank

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[195] ISC Interview of Campaign Consultant.
[196] ISC Interview of 2012 Campaign Manager.
[197] ISC Interview of Oliver Schwab; ISC Interview of Campaign Consultant; ISC Interview of 2012 Campaign Manager.
[198] OCE's Second Referral at 45.
[199] Exhibit 93.
[200] ISC Interview of Representative Schweikert.
[201] *Id.*

records were no longer available due to the age of the activity.[202] The individuals who served as campaign treasurers at the time of the unreported campaign disbursements testified that they were not aware of the Schweikerts misusing campaign funds for personal purposes.[203]

ii. Relevant Laws, Rules, and Other Applicable Standards of Conduct

Campaign committees must file reports disclosing all receipts and disbursements.[204] These reports must include, among other things, the amount and nature of the receipts and disbursements.[205] Under FEC rules, campaign treasurers are required to keep records for three years from the filing date of the report to which they relate.

In addition, House Rule XXIII, clause 6(b) states a "Member, Delegate, or Resident Commissioner may not convert campaign funds to personal use in excess of an amount representing reimbursement for legitimate and verifiable campaign expenditures." Accordingly, the *Ethics Manual* explains Members "must be able to verify that campaign resources have not been so misused"[206] and cautions:

> Members and their campaign staffs should bear in mind that the verification requirement imposed by the House rules is separate from, and in addition to, whatever recordkeeping requirements are imposed by the Federal Election Commission on candidates generally (or with regard to Members who are candidates for a state of local office, the requirements imposed by applicable state or local law.)[207]

Furthermore, paragraph 2 of the Code of Ethics for Government Service requires Members to uphold the laws and regulations of the United States, including provisions of the FECA and the FEC's implementing regulations, and to never be a party to their evasion. Finally, House Rule XXIII, clause 1, states that "[a] Member . . . of the House shall behave at all times in a manner that shall reflect creditably on the House."

iii. Findings

Between 2010 and 2013, Representative Schweikert's principal campaign committees, David Schweikert for Congress and Schweikert for Congress, failed to report thousands of dollars' worth of receipts and disbursements to the FEC, in violation of applicable FEC reporting requirements. Each of Representative Schweikert's reporting errors represented a violation but in

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[202] *See* Appendix C ¶ 14. Prior to OCE's investigation, on July 29, 2016, Representative Schweikert asked Mr. Schwab for guidance on how long campaign records must be maintained, noting "[w]e have cabinets full of things from 2008." Mr. Schwab forwarded Representative Schweikert's request to the campaign's treasurer, who advised "the FEC only requires 3 years [of record keeping]. It is 3 years from when the information was reported, so to be safe, I would keep everything from January 1st 2013 forward. Everything else can go." Exhibit 30.
[203] ISC Interview of Treasurer A; ISC Interview of Treasurer B; ISC Interview of Representative Schweikert.
[204] 52 U.S.C. § 30104.
[205] 52 U.S.C. § 30104(b)(2), (4); 11 C.F.R. § 104.3(a), (b).
[206] *Ethics Manual* at 153.
[207] *Id.* at 165 (emphasis omitted).

the aggregate, Representative Schweikert's reporting errors represented a systemic problem.

The ISC could not determine whether the omission of the contributions and receipts by Mrs. Schweikert were done willfully or if they were a byproduct of her not being able to spend the time required to ensure accurate reporting due to her other professional commitments. As discussed previously, however, because of the significant duties and responsibilities attached to the role of campaign treasurer, Members should endeavor to appoint individuals who are familiar with relevant campaign finance laws and have the time needed to prepare accurate disclosures.

The Committee has a long history of undertaking investigations and, when appropriate, imposing sanctions or directing remedial measures where a Member or candidate in a successful election to the House is found by the Committee to have violated a clear standard of campaign finance laws or regulations.[208] The duty to accurately disclose receipts and disbursements is integral to ensuring transparency during the electoral process and a basic component of campaign finance law. Representative Schweikert knew there were concerns about the accuracy of Mrs. Schweikert's FEC filings; though he took appropriate steps in replacing her with a professional compliance firm, her prior reporting omissions went uncorrected because the firm was not specifically advised of any concerns about her handling of campaign finances.

The ISC determined that the omission of thousands of dollars' worth of receipts and disbursements by Representative Schweikert's campaign committees fell within an overall pattern of systemic reporting violations that Representative Schweikert did not take reasonable steps to prevent or correct. By engaging in the above conduct, Representative Schweikert failed to uphold the laws and regulations of the United States, including provisions of the FECA and the FEC's implementing regulations, and was a party to their non-compliance, in violation of paragraph 2 of the Code of Ethics for Government Services.

The ISC did not determine there was substantial reason to believe that the unreported disbursements were used for impermissible purposes, but further notes that this matter should serve as a reminder to Members that the rules of the House do not impose the same time limits on how long campaign records should be maintained as the FEC's three-year recordkeeping requirement.[209]

The ISC determined that Representative Schweikert's failure to properly disclose or fully verify over $25,000 in disbursements from his campaign committees from 2011 to 2013 was part of a broader pattern of conduct that did not reflect creditably on the House and violated House Rule XXIII, clause 1.

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[208] While Representative Schweikert was not a Member of the House in 2010, the Committee has long held it has jurisdiction over misconduct relating to a successful campaign for the House. *Kihuen* at 5; *Kim* at 6.
[209] *Ethics Manual* at 164-165. ("[T]he propriety of particular outlays may not be subject to review for months or years after the fact, when recollections as to the circumstances or specific purposes of an outlay may well have faded.").

6. *Campaign Contributions by Congressional Staff*

i. Background

From 2011 to 2018, Representative Schweikert's campaign committees routinely accepted contributions, frequently in the form of outlays, from Mr. Schwab. During that time, the campaign committees also accepted impermissible campaign contributions from at least five members of Representative Schweikert's congressional staff.

a. Direct Contributions

On one occasion, in early 2012, the Schweikert for Congress campaign committee reported receiving direct contributions from Mr. Schwab and Employee F totaling $1,000 and $500.[210] The contribution attributed to Employee F came from a joint checking account he shared with his spouse.[211] Employee F generally denied that Representative Schweikert or anyone acting on his behalf had solicited a campaign contribution, but when asked what led his wife to make the contribution, he asserted marital privilege and declined to provide a response.[212] According to Mr. Schwab, he made his contribution at Mrs. Schweikert's request and he informed Representative Schweikert that Mrs. Schweikert had made such a request shortly after he made the contribution to the campaign.[213] The ISC did not find additional evidence of Mrs. Schweikert soliciting the contribution.

Schweikert for Congress originally reported Employee F's and Mr. Schwab's contributions in its April 2012 Quarterly Report.[214] However, in the Schweikert for Congress July 2012 Quarterly filing, Employee F's contribution was reattributed to his spouse and Mr. Schwab's contribution was reportedly refunded.[215] In explaining why the campaign determined to issue him a refund, Mr. Schwab recalled his and Employee F's contributions had become a "political issue" and remembered seeing a blog post with a picture of his name and Employee F's name "saying illegal contribution or something like that."[216]

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[210] Schweikert for Congress, Apr. 2012 Quarterly Report of Receipts and Disbursements, at 14, 71 (Apr. 15, 2012).
[211] ISC Interview of Employee F.
[212] *Id.*
[213] ISC Interview of Oliver Schwab.
[214] Schweikert for Congress, Apr. 2012 Quarterly Report of Receipts and Disbursements, at 14, 71 (Apr. 15, 2012).
[215] Schweikert for Congress, July 2012 Quarterly Report of Receipts and Disbursements, at 101-102, 158 (July 15, 2012). Employee F's contribution was reattributed to his spouse in recognition that it came from their joint checking account. ISC Interview of Employee F.
[216] ISC Interview of Oliver Schwab. Mr. Schwab testified that he and Employee F "jokingly shared [their] frustration that this was yet another Schweikert request for money" and explained "had the Schweikerts not asked for funds, it was completely avoidable." *Id.* A July 5, 2012 blog entry noted that Employee F and Mr. Schwab were prohibited under federal law from contributing to Representative Schweikert's campaign. *See Independence Day Comes Early for Dave Schweikert*, Politico Mafioso, (July 5, 2012), https://politicomafioso.blogspot.com/2012/07/independence-day-comes-early-for-dave.html (noting that both Mr. Schwab and Employee F "are federal employees on [Representative Schweikert's] congressional staff. And both are therefore prohibited by federal law from contributing to [Representative Schweikert's] campaign").

Representative Schweikert denied that he or his spouse ever asked members of his congressional staff to make a monetary contribution to his campaign.[217] He further testified he was unaware the Schweikert for Congress campaign committee refunded Mr. Schwab's contribution.[218]

In 2014, the Committee sent letters to Mr. Schwab and Employee F to advise that "federal law prohibits a House employee from making a contribution to their employing Member's campaign." The Committee took no further action in recognition that the contributions had been reattributed and refunded. Representative Schweikert testified he was unaware the Committee had issued these letters.[219]

b. Outlays

Between January 2011 and July 2018, Mr. Schwab made outlays using his personal funds on behalf of Representative Schweikert's authorized campaign committees totaling over $270,000.00.[220] The campaign reimbursed Mr. Schwab for these outlays through different means, including by issuing checks to Mr. Schwab, to Chartwell Associates (a single-member limited liability company based in Virginia and owned by Mr. Schwab), and Mr. Schwab's credit card companies (including Chase, Barclays Card Services, and American Express).[221]

Based on available FEC records and underlying campaign records and communications, the ISC determined that Representative Schweikert's authorized campaign committees paid:

- at least $7,000.00 in reimbursements to Mr. Schwab directly;
- at least $65,000 in reimbursements to Mr. Schwab by issuing reimbursements to Chartwell Associates; and
- at least $200,000 in reimbursements to Mr. Schwab by issuing disbursements to Mr. Schwab's personal credit card companies.[222]

Mr. Schwab testified that Representative Schweikert was aware Mr. Schwab was making expenditures on behalf of Representative Schweikert's campaign using his personal funds, and

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[217] ISC Interview of Representative Schweikert. Representative Schweikert speculated that Employee F may have been a "salt"—i.e., a fictitious contributor listed on an FEC report, with the FEC's knowledge, to enable a campaign committee to detect whether the names and addresses of individual contributors are being used illegally. *See* 11 C.F.R. §104.3(e). When interviewed, however, Employee F made no such assertion. *See generally* ISC Interview of Employee F.
[218] ISC Interview of Representative Schweikert.
[219] *Id.*
[220] Exhibit 31.
[221] The Compliance Firm 1 employees who issued a majority of these reimbursements told the ISC they issued reimbursement checks to Mr. Schwab in whatever form he requested because he had been given the authority to authorize expenditures. ISC Interview of Treasurer C; ISC Interview of Treasurer D. On at least one occasion, the campaign also reimbursed Representative Schweikert through a payment to the vendor for $20,950 in security expenses. *See* Exhibit 32; Friends of David Schweikert, Oct. 2017 Quarterly Report of Receipts and Disbursements, at 48 (Oct. 13, 2017).
[222] Exhibit 31.

that Representative Schweikert instructed Mr. Schwab to seek reimbursements through Chartwell or his credit card company in order to conceal the fact that Mr. Schwab was responsible for the underlying expenditure.[223] The ISC did not obtain any written record of Representative Schweikert directing Mr. Schwab to seek payment in that way.

In some instances where Mr. Schwab was reimbursed for his outlays through his company, Chartwell Associates, the reimbursement was reported as income to Mr. Schwab for consulting services. Mr. Schwab testified that he was instructed by Representative Schweikert to do this in some instances because Representative Schweikert was "very adamant that he did not want a whole bunch of dinners in D.C. showing up on his FECs. So the way those would get repaid to the person who had provided the outlay, that being me, is that it would show up in the form of me charging a consulting invoice."[224] Representative Schweikert denied that he ever instructed Mr. Schwab to bill the campaign this way.[225]

Representative Schweikert initially testified that he did not know that Mr. Schwab was making campaign purchases using his personal money and suggested that he may have done so in order to accrue frequent flyer miles.[226] Later in his testimony, however, Representative Schweikert conceded that he may have been aware that Mr. Schwab made small purchases for his campaign with personal funds as it was happening:

> I can't give you the date. It may have been one of those occasions where it was just one of those things you never paid attention to, you know. Okay. Did you go buy coffee? Great. All right. And he could have been walking out the door and saying, I'll turn in my reimbursement later.[227]

Representative Schweikert further testified that he could not recall whether he had discussions about the fact that his campaign would reimburse Mr. Schwab by issuing payments to or his credit card companies and denied ever talking to Mr. Schwab about having the campaign reimburse him through Chartwell.[228] The ISC did not obtain documents specifically showing Representative Schweikert knew that Mr. Schwab was reimbursed in this manner.[229] As discussed elsewhere in this Report, Mr. Schwab testified that Representative Schweikert used to tell him "if you can avoid a paper trail all the better" and requested that "things be conducted on text or over the phone because he was not seeking to create paper trails."[230]

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[223] ISC Interview of Oliver Schwab.
[224] *Id.*
[225] ISC Interview of Representative Schweikert.
[226] *Id.*
[227] *Id.*
[228] *Id.*
[229] When Representative Schweikert was shown an email he received from Mr. Schwab regarding a bill for a Sony laptop Mr. Schwab purchased for him, Representative Schweikert said he assumed it was being paid for by the campaign and added he "would have paid no attention" to how Mr. Schwab made the purchase. *Id.*
[230] ISC Interview of Oliver Schwab. In an unrelated instance, Mr. Schwab emailed Employee G asking about the status of a meeting Representative Schweikert was going to have with his pollster in the congressional office.

The ISC received testimony from other witnesses that calls into question Representative Schweikert's assertions that he was generally unaware of the payments going to Mr. Schwab. Representative Schweikert's current campaign consultant told the ISC that he raised concerns "four or five years ago" with Representative Schweikert that "it seemed . . . like a lot of money was coming out of the campaign [to Mr. Schwab]."[231] Campaign Consultant believed that "it looked bad" given that Mr. Schwab was receiving reimbursements "two, three times a week."[232] According to the campaign consultant, Representative Schweikert told him he would "look into it";[233] however, the frequency of disbursements to Mr. Schwab did not change until press reported on Mr. Schwab's expenditures in the fall of 2017. Representative Schweikert's former campaign manager testified that he did not raise the issue of Mr. Schwab's campaign reimbursements with Representative Schweikert because "[Mr. Schwab] was a sensitive topic," and Representative Schweikert "relied on [Mr. Schwab] for a lot of things."[234] He further explained:

> [Representative Schweikert] put a lot of trust in [Mr. Schwab], and I think it became a very critical relationship for him in that he had come to rely on [Mr. Schwab] for so many things that he didn't want to hear anything about how [Mr. Schwab] was maybe engaging in inappropriate activity. So I had heard from [Campaign Consultant] that he had raised some things, and, you know, based on [Campaign Consultant's] experience, I chose not to raise it with [Representative Schweikert].[235]

According to Mr. Schwab, on one occasion, he billed Representative Schweikert's campaign for approximately $5,000 in consulting services when in fact he was seeking reimbursement for paying for Representative Schweikert's private airfare.[236] Mr. Schwab could not recall specifics about the flight, but he testified that Representative Schweikert had to take the private flight as a last resort to attend a speaking engagement at a Republican women's group event which Representative Schweikert had committed to attend but which he had not budgeted enough time to drive to.[237] Representative Schweikert denied ever flying on a private plane since becoming a Member of Congress, but recalled one instance in which his he took a "tiny jet" to Lake Havasu City, Arizona, in connection with a speech he was scheduled to give at a partisan club.[238] Despite the plane's small size, Representative Schweikert said he believed it was a commercial flight because there were "two guys with white uniforms in funny little hats sitting in the front seats."[239] Representative Schweikert believed that the campaign paid for the flight and

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Employee G indicated that she overhead Representative Schweikert say "we don't want any paper trails of emails floating around about a pollster." Exhibit 33; ISC Interview of Oliver Schwab.

[231] ISC Interview of Campaign Consultant.

[232] *Id.*

[233] *Id.*

[234] ISC Interview of 2012 Campaign Manager.

[235] *Id.*

[236] ISC Interview of Oliver Schwab.

[237] *Id.*

[238] ISC Interview of Representative Schweikert.

[239] *Id.*

said it "never crossed [his] mind" to be concerned about a charter plane showing up on his campaign's FEC filings.[240] A small municipal airport is in Lake Havasu, but only private and chartered planes are allowed to land there.[241] Representative Schweikert spoke at a Lake Havasu Republican Women's event on or about February 7, 2014.[242] Representative Schweikert's campaign committees, however, did not report making any disbursements for flights around this time.[243]

According to Mr. Schwab, individuals at Compliance Firm 1, which served as the campaign's compliance firm between 2013 and 2017 when the bulk of the reimbursements were made, were aware that he was billing some expense reimbursements to the campaign as consulting services.[244] On one occasion, on May 19, 2015, Mr. Schwab emailed the campaign treasurer regarding reimbursement of his expenses and stated, "I have as many as like [$7,000 in reimbursements], but will do whatever the right amount i[s] (does this need to be done to [Mr. Schwab's spouse] instead of me? Should it be billed as a vendor invoice?)."[245] But when asked why certain disbursements to Mr. Schwab were characterized as "strategic consulting" when they were actually for reimbursements, the Compliance Firm 1 employees claimed that it was only the result of a mistake or could not recall why the disbursement was categorized that way.[246]

Mr. Schwab testified that Representative Schweikert instructed him to hold debt he incurred on behalf of the campaign because Mr. Schwab was wealthier than he was and so that the campaign committee could post larger FEC figures.[247] Several former staff members recalled hearing Mr. Schwab complain that Representative Schweikert or his campaign owed him money for things he had put on his credit card.[248] Representative Schweikert testified, however, that he now believes he was "taken advantage of" by Mr. Schwab and that many of Mr. Schwab's credit card purchases were tied to efforts to accrue frequent flyer mile points.[249]

Regardless of whether it was done at Representative Schweikert's direction, Mr. Schwab made substantial advances to Friends of David Schweikert, and by reporting Mr. Schwab's reimbursements to the FEC as being to Chartwell Associates or various credit card companies, the campaign committee essentially hid from public view the true amount of expenditures Mr. Schwab

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[240] *Id.*
[241] Emily Zemler, *What Is the Closest Airport to Lake Havasu, AZ?*, USA Today, (Mar. 15, 2018), https://traveltips.usatoday.com/closest-airport-lake-havasu-az-109428.html. Representative Schweikert spoke at a Lake Havasu Republican Women's event on Feb. 7, 2014. *See* Exhibit 34.
[242] *Id.*
[243] On Feb. 27, 2014, the Friends of David Schweikert campaign reported paying Chartwell Associates $4,250 for "fundraising/strategic consulting." Friends of David Schweikert, Apr. 2014 Quarterly Report of Receipts and Disbursements, at 52 (Apr. 15, 2014).
[244] ISC Interview of Oliver Schwab.
[245] Exhibit 35. Representative Schweikert was not copied on this email exchange.
[246] ISC Interview of Treasurer D; ISC Interview of Treasurer C.
[247] ISC Interview of Oliver Schwab. Mr. Schwab also held reimbursements he incurred on behalf of Representative Schweikert's leadership political action committee, Team DAVE. *See* Exhibit 36 (stating in an email on February 27, 2013 from Mr. Schwab to Treasurer C "PS – this fully clears out the big ticket things I've been holding!" regarding a $3,592.11 reimbursement check).
[248] OCE's Second Referral at 25.
[249] ISC Interview of Representative Schweikert.

had made on behalf of his campaigns, and in other cases obscured the true nature, date, and underlying recipient of the disbursements.

In some instances, Mr. Schwab waited to seek reimbursements until the next reporting quarter, providing some advances that were not repaid for months and were not reported in the quarter in which the cost was incurred. As discussed further below, not every omitted detail of these disbursements was legally required to be disclosed; however, the pattern of omissions is consistent with a broader effort to obscure the extent of Mr. Schwab's advances and inflate the campaign's quarterly financial numbers. For example:

- On March 11, 2016, a campaign vendor invoiced Friends of David Schweikert for $5,407.89 for a fundraising mailer and postage.[250] On March 14, 2016 (in the first quarter reporting period of 2016), Mr. Schwab, using his personal credit card, paid $5,407.89 for the mailer.[251] Mr. Schwab did not seek reimbursement for the mailing and other expenses incurred until Wednesday, April 20, 2020 (for a combined total of $7,396.34 in expenses), when he emailed the campaign treasurer:

  > I know this may bring us close to the bottom, but can you cut a check for Chartwell per below? I've attached receipts. This gets me updated on what I've got outstanding on my card. We have some events back home and some pieces in the works that will grow the account back up so not to worry as per my accounting this gets us close to the bottom of the dregs. Any chance I could pick up Monday? By then the checks I sent should also have arrived."[252]

  The reimbursement check to Chartwell Associates was executed on Thursday, April 21, 2016,[253] and deposited on Monday, April 25, 2016—six weeks after the funds were advanced by Mr. Schwab.[254] The expenditure was disclosed on the second quarter FEC filing as an April 20, 2016, disbursement to Chartwell Associates LLC for $7,396.34 for "Printing/Postage/Food/Beverage."[255] The specific expenses, including the March 14, 2016, payment to the marketing vendor for $5,407.89, were not disclosed and the advances were never disclosed as a debt by the campaign committee.

- In the final month of the third quarterly reporting period, from September 7, 2016, through September 30, 2016, Mr. Schwab incurred $21,589.79 worth of campaign-related expenses on behalf of Friends of David Schweikert using his personal Chase Bank card. After the reporting period closed, on October 4, 2016, Mr. Schwab provided

[250] Exhibit 37.
[251] Although the invoice was submitted by East Valley Web & Graphic Design, the repayment was made to Holy Cats Marketing, a company with the same owner.
[252] Exhibit 38. The campaign treasurer was provided with a copy of Mr. Schwab's credit card statement, but there is no record of her receiving the underlying invoice from East Valley Web & Graphic Design.
[253] *Id.* Exhibit 38.
[254] Exhibit 39.
[255] Friends of David Schweikert, July 2016 Quarterly Report of Receipts and Disbursements, at 31 (Jul. 15, 2016).

the campaign treasurer with a spreadsheet identifying the expenditures he incurred and the dates on which he incurred them, noting that he had also obtained several campaign donation checks to deposit into the campaign's accounts.[256] Friends of David Schweikert then prepared a check for Mr. Schwab to reimburse him for these expenses dated October 4, 2016; at Mr. Schwab's direction, the check was made payable to Chase Bank.[257] The check was deposited on October 11, 2016.[258] The corresponding FEC disclosure regarding this reimbursement disclosed an October 4, 2016 payment to "Chase Bank"[259] and did not identify Mr. Schwab as the source of the underlying advance, nor did it disclose the true dates of the campaign-related expenses. Mr. Schwab's advances for the third quarter expenses were never disclosed as a debt owed by the campaign committee.

- In December 2016, Mr. Schwab incurred $4,356.98 worth of campaign related expenses on behalf of Friends of David Schweikert using his personal Barclays card.[260] Mr. Schwab did not seek a reimbursement for these expenses until February 15, 2017, after the 2016 reporting period had ended, when he requested Compliance Firm 1 employees send him a reimbursement check made payable to "Card Services 5452" and provided a spreadsheet listing his expenditures and a copy of his Barclays credit card statement.[261] On February 17, 2017, Friends of David Schweikert executed a reimbursement check for Mr. Schwab's expenditures made payable to "Card Service Account."[262] The funds were deposited on March 1, 2017.[263] The corresponding FEC disclosures regarding this reimbursement state a disbursement was made to "Chase Bank" on February 17, 2017; the campaign did not identify Mr. Schwab as the source of the underlying advance or disclose the advance as a debt owed by the committee.[264]

- Between December 2016 and March 2017, Mr. Schwab incurred $13,482.24 worth of campaign-related expenses on behalf of Friends of David Schweikert using his personal Chase Bank card. Mr. Schwab did not seek a reimbursement for these expenses until April 2, 2017, after the first reporting quarter of 2017. At that time, he provided Compliance Firm 1 employees with a spreadsheet listing the expenditures on his Chase Bank card and their corresponding dates; he also provided a copy of his credit card statements and some receipts.[265] The majority of the expenditures were between one and three months old, with the earliest occurring on December 8, 2016. The

[256] Exhibit 40.
[257] *Id.*
[258] Exhibit 41.
[259] Friends of David Schweikert, 2016 Pre-Election General Report of Receipts and Disbursements, at 13 (Oct. 26, 2016).
[260] Exhibit 42.
[261] *Id.*
[262] Exhibit 42.
[263] Exhibit 43.
[264] Friends of David Schweikert, Apr. 2017 Quarterly Report of Receipts and Disbursements, at 52 (July 14, 2017).
[265] Exhibit 44.

corresponding FEC disclosure regarding this reimbursement reported a disbursement to "Chase Bank" and did not identify Mr. Schwab as the source of the underlying advances.[266] The specific expenses, including the expenses incurred in the fourth quarter of 2016 and the first quarter of 2017, were disclosed as occurring on April 4, 2017, and were never reported as debts by the campaign committee.

In some instances, Mr. Schwab waited to submit his reimbursement requests so that the Schweikerts' could receive loan repayments from the campaign.[267] For example, on June 18, 2015, Mr. Schwab emailed the campaign treasurer: "Let's proceed first of the month with the $15,000 transfer to the Schweikert's. I've got 2 big mail pieces in the works right now. I put $7,000 on my card to get these out which I'll hold for reimbursement well down the road."[268]

Representative Schweikert denied that he ever instructed or implied to Mr. Schwab that he could afford to hold debt owed to him by the campaign.[269] Representative Schweikert further testified that, as a Member of Congress, he did not have time to pay attention to how Mr. Schwab was paying for purchases, telling the ISC, "it's sort of absurd if that's the granular level you were fixated on."[270] According to other staff members, however, the Schweikerts "watched every penny coming in and going out [of the campaign] very closely" and monitored the campaign accounts.[271]

Mr. Schwab was not the only congressional staffer who made non-travel-related outlays that were reimbursed by the campaign. Representative Schweikert acknowledged that at least three other congressional staffers made outlays for campaign-related expenditures.[272] Representative Schweikert subsequently sought to "cure" the outlays by having staff re-contribute the amount directly to the campaign and then be refunded by Representative Schweikert.[273] In addition to those individuals, the ISC determined that two other congressional staff members made small outlays on behalf of Representative Schweikert's campaign.[274]

Representative Schweikert testified he was unaware of rules that prohibit staff from making contributions to their employing Members' campaigns and that he relied on his campaign

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[266] Friends of David Schweikert, July 2017 Quarterly Report of Receipts and Disbursements, at 64 (July 14, 2017).
[267] Exhibit 45.
[268] *Id. See also* Exhibit 46 (July 8, 2016 email from Mr. Schwab to Treasurer D: "The Schweikert's (sic) asked if they could have a $15k debt payment; and I'm balancing what I submit for payment."). Representative Schweikert was not copied on these email exchanges.
[269] ISC Interview of Representative Schweikert.
[270] *Id.*
[271] ISC Interview of 2012 Campaign Manager. 2012 Campaign Manager also testified, "there were times that [the Schweikerts] asked me . . . did you need to spend this much on pizza? Was there a cheaper option? Could you have gotten a better deal on . . . stakes for yard signs and things like that." ISC Interview of 2012 Campaign Manager. *See* OCE Interview of Employee E (OCE's Second Referral, Exhibit 1) (noting that the Schweikerts monitored the campaign bank accounts).
[272] *See* Exhibit 1.
[273] *Id.*
[274] Exhibit 47 (District Representative received a $125 reimbursement from Friends of David Schweikert for posters); Exhibit 48 (Legislative Assistant received a $137 reimbursement from Friends of David Schweikert for meals).

treasurers to ensure his campaign abided by appropriate rules.[275] The ISC interviewed four individuals who served as campaign treasurer or provided campaign compliance services to Representative Schweikert's campaign between 2013 and 2017. None of those individuals were familiar with the statutory prohibition on congressional staff making outlays on behalf of their employing Members' campaign.[276] Representative Schweikert's congressional office provided staff with an Employee Handbook that specifically advised staff of, or referred staff to, information regarding the prohibition on staff from making contributions to their employing Members' campaigns.[277] As explained elsewhere in this report, however, his staff did not consult the handbook regularly.[278]

After OCE initiated a review into allegations that Mr. Schwab received improper payments from Representative Schweikert's authorized campaign committees, the campaign conducted an internal review and identified transactions totaling $102,622.34 that were originally paid with Mr. Schwab's personal credit card and then reimbursed to Mr. Schwab and/or Chartwell Associates by the campaign, but were reported with descriptions such as "strategic consulting."[279] After its internal review, Representative Schweikert reported that his campaign had $50,372.14 of the $102,622.34 refunded by the vendor to Mr. Schwab's personal credit card, and then the campaign repaid the invoices directly using campaign funds. The remaining $52,250.20 were either unverifiable transactions or could not be refunded by the vendor.[280]

Representative Schweikert informed the Committee in April 2018 that his campaign would be amending its FEC reports to reflect the transactions that had been inaccurately reported as "strategic consulting" payments, but requested guidance from the Committee on what additional remedial actions may be necessary "to avoid two rounds of public filings with the FEC."[281] The Committee informed Representative Schweikert that, because the review was ongoing, it could not provide comment on his past conduct or the remedial actions that were necessary at that time, but informed him that he should ensure any inaccurate reporting on prior FEC disclosures was "promptly and accurately corrected."[282] The Committee also urged caution on remedial steps other

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[275] ISC Interview of Representative Schweikert.

[276] ISC Interview of Treasurer C. According to Treasurer C, he did not know that Mr. Schwab also worked for Representative Schweikert's congressional office until 2016, and he believed that Mr. Schwab's outlays were allowable if they were reimbursed within the timeframes specified in 11 C.F.R. § 116.5. As discussed below, however, § 116.5 only applies to outlays for personal travel. ISC Interview of Treasurer D (testifying she was not aware of any restrictions on congressional staff making outlays for the campaign of a Member that they work for); ISC Interview of Treasurer A; ISC Interview of Treasurer B (noting that she did not understand staff outlays to have been improper, only that they were reported incorrectly).

[277] Exhibit 49 at COE.SCHWEIKERT.022279 (2011 Office Manual referring staff to the Congressional Research Service publication "Campaign Activities by Congressional Employees."); Exhibit 50 at COE.SCHWEIKERT.025125 (January 2017 Office Manual advising staff that "[a] federal criminal law prohibits House employees from making campaign contributions to their employing Member. Similarly, House employees generally should not make any 'outlays' from personal funds for the benefit for the campaign, even if reimbursed by the campaign.").

[278] *See infra* Section III(b)(2)(i).

[279] Exhibit 1.

[280] *Id.*

[281] *Id.*

[282] Exhibit 3.

than corrections to inaccurate FEC disclosures (noting, for example, that "any attempt by staff to repay the campaign for reimbursed outlays could further implicate the restriction on contributions to the employing Member").[283]

Representative Schweikert submitted a *sua sponte* self-report to the FEC disclosing the $102,622.34 in improper payments on June 26, 2018.[284] His *sua sponte* submission remains pending with the FEC, and Representative Schweikert, through his counsel, has informed the ISC that his campaign is "working cooperatively with the FEC to ensure that its reports comply with FEC rules and regulations."[285]

Representative Schweikert has also taken a number of steps to prevent official staff from making outlays to his campaign in the future. His new chief of staff circulated a note to all official staff to caution them that outlays are impermissible.[286] He also advised that his current chief of staff has a debit card to pay for campaign expenses.[287]

ii. Relevant Laws, Rules, and Other Applicable Standards of Conduct

Pursuant to 18 U.S.C. § 603, it is unlawful for any federal officer or employee to make campaign contributions to the employer or employing authority of the person making the contribution.[288] Accordingly, an employee of a Member office is prohibited from making a contribution to the campaign of his or her employing Member.[289] This guidance is included in the *Ethics Manual*, as well as annual ethics training provided to all House staffers, and in Campaign Activity Pink Sheets regularly issued to the House community.

The prohibition against an employee making such a contribution to the individual's employing Member is absolute. A House employee may not make such a contribution even if the contribution was entirely unsolicited and the employee genuinely wishes to make the contribution.[290]

Under FEC regulations, most outlays that an individual makes on behalf of a campaign using his or her personal funds, including a personal credit card, are deemed to be a contribution to that campaign from that individual.[291] This is so even if it is intended that the campaign will

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[283] *Id.*
[284] Exhibit 51.
[285] Exhibit 25.
[286] Exhibit 52 ("Never under any circumstances are staff allowed to pay for anything campaign related, as any type of payment would require a reimbursement which is not permissible.")
[287] ISC Interview of Representative Schweikert.
[288] 18 U.S.C. § 603.
[289] *Ethics Manual* at 137-138.
[290] *Id.*
[291] *See* 11 C.F.R. § 116.5(b). The major exception to this rule is for outlays that an individual makes to cover travel expenses incurred on behalf of the campaign. Outlays for the individual's own travel will not be deemed a contribution if either (1) the campaign provides reimbursement within 60 days after the expenses are incurred if payment was made by a credit card, or within 30 days using a different payment method, or (2) the individual outlays for transportation do not exceed $1,000 with respect to a single election, regardless of whether the campaign reimburses the outlays. 11 C.F.R. §§ 100.79(a), 116.5(b)(1). *See also Ethics Manual* at 139 n. 27.

reimburse the individual promptly. The major exception to this rule is for outlays that an individual makes to cover expenses that the individual incurs in traveling on behalf of a campaign.[292]

The FEC instructs campaign committees to report staff advances, including advances made using personal credit cards, if, at the end of the reporting period, "the amount of previous contributions in the election cycle from the person making the advance plus the amount of the advance minus the amount of the reimbursement is greater than $200."[293] Campaign committees must report reimbursements to individuals and itemize such reimbursements if reimbursements to the individual exceed $200 in the election cycle."[294] If an individual's advance is not reimbursed, or is partially reimbursed within the same reporting period, the campaign committee must also report the amount of the advance outstanding at the end of the reporting period as a debt owed by the campaign if it exceeds $500 or has been outstanding for more than 60 days of when it was incurred.[295]

The *Ethics Manal* advises that when a House employee undertakes campaign work, "the individual should make appropriate arrangements with the campaign to ensure that he or she will not be called upon to make any improper outlays. The arrangements may include, for example, providing the individual, in advance, with any funds that might be needed to cover anticipated campaign expenses, or providing the individual with use of a campaign credit card."[296]

Campaign committees may pay for operating expenditures with a committee credit card and must itemize the credit card payment if such payments exceed $200 during the election cycle.[297] The campaign committee must also itemize, as a memo entry, specific transactions charged on a credit card if payments to the vendor exceed $200.[298] The memo entry must include the vendor's name, the purpose of the disbursement, date services were received, and the disbursement amount.[299]

The *Ethics Manual* also advises that a Member "must take reasonable steps to ensure that any outside organization over which he or she exercises control—including the individual's own authorized campaign committee . . . operates in compliance with applicable law."[300] In violating FECA or another provision of statutory law, a Member may also violate House Rule XXIII, clause 1 and paragraph 2 of the Code of Ethics for Government Service.

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[292] 11 C.F.R. § 116.5(b)(1), (2).
[293] *See How to Report Staff Reimbursements*, FEC, https://www.fec.gov/help-candidates-and-committees/filing-reports/staff-reimbursements/.
[294] *Id.*
[295] *See* Advisory Op. Lucas (AO 1996-20); Advisory Op. Faulkner (AO 1992-01).
[296] *Ethics Manual* at 139.
[297] *See How to Report Staff Reimbursements*, FEC, https://www.fec.gov/help-candidates-and-committees/filing-reports/staff-reimbursements/.
[298] *Id.*
[299] *Id.*
[300] *Ethics Manual* at 123.

iii. Findings

Between January 2011 and July 2018, Representative Schweikert's campaign committees routinely accepted contributions, frequently in the form of outlays, from Mr. Schwab and other members of Representative Schweikert congressional staff, in violation of applicable laws and rules that prohibit members of congressional staff from making contributions to their employing Members' campaigns.

The Committee has investigated other instances where congressional staff made impermissible outlays on behalf of their employing Members' campaigns. Those matters have typically been resolved by the Committee through the issuance of private letters in recognition of the small amount of outlays at issue or infrequent or discrete nature of the occurrence. But in this case the outlays by staff, particularly Mr. Schwab, were unprecedented in their nature, frequency, and amount. Specifically, Mr. Schwab made campaign outlays throughout his entire eight-year employment in Representative Schweikert's congressional office, which totaled over a quarter million dollars. Even though such outlays were generally reimbursed, the advances themselves were of significant value to the campaign, as they enabled higher quarterly reporting numbers and permitted the campaign to meet its other bills while Mr. Schwab held the debt until additional funds could be raised. He then received reimbursements for these impermissible outlays through various means that obscured the scope of this practice. Therefore, while it is not uncommon for the Committee to find instances in which congressional staff make minor or occasional outlays for the benefit of their employing Member's campaign, the extent of impermissible outlays in this case was substantial.

The ISC received conflicting testimony regarding the extent of Representative Schweikert's awareness of this practice and whether Mrs. Schweikert solicited a monetary contribution from Mr. Schwab.[301] But Representative Schweikert's contention that he did not pay close attention to how his campaign funds were spent and his testimony that he was unaware that Mr. Schwab was making outlays on behalf of his campaign using personal funds is not consistent with the record before the ISC. In 2014 or 2015, concerns about Mr. Schwab's campaign reimbursements were brought directly to Representative Schweikert's attention, who said he would "look in to it," but then did nothing to slow the practice.[302] Prior to this point, Representative Schweikert's spouse served as campaign treasurer, during a period when over three thousand dollars' worth of reimbursements were reportedly paid directly to Mr. Schwab.[303] During that time, Representative Schweikert and Mrs. Schweikert "watched every penny" coming in and going out of the campaign.[304] At a minimum, therefore, Representative Schweikert should have known that Mr. Schwab was making a significant amount of disbursements on behalf of his campaign.

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[301] ISC Interview of Oliver Schwab; ISC Interview of Employee F; ISC Interview of Representative Schweikert.
[302] ISC Interview of Campaign Consultant.
[303] Appendix C ¶ 6.
[304] ISC Interview of 2012 Campaign Manager.

Members must take reasonable steps to ensure the campaign committees they oversee operate in compliance with applicable law.[305] Yet Representative Schweikert failed to place any limits on Mr. Schwab's expenditures for the campaign and abdicated his duty to perform any meaningful oversight over Mr. Schwab and his campaign's spending practices. Representative Schweikert's close relationship with Mr. Schwab further dissuaded staff from bringing concerns about Mr. Schwab's outlays to Representative Schweikert's attention.[306]

The ISC appreciates that Members' schedules do not afford them the time to tend to day to day particulars of campaign spending and recognizes Representative Schweikert has maintained that he was unaware of the absolute prohibition against staff making contributions to their employing Members' campaigns. However, his failure to exercise proper oversight over his campaign resulted in sustained and significant violations of the prohibition against congressional staff making contributions to their employing Members' campaigns. As discussed above, although Mr. Schwab's outlays were largely reimbursed, the manner in which he sought reimbursement (including a pattern of holding debt through reporting periods) advantaged Representative Schweikert's campaign. Even if the ISC were to credit Representative Schweikert's assertion that he was oblivious to the fact that his campaign's bills were getting paid because of Mr. Schwab's personal advances, Representative Schweikert's utter indifference to his campaign's financial transactions was a gross neglect of his responsibility as a congressional candidate and House Member to ensure his campaign committees operated in compliance with applicable laws and regulations.

By engaging in the above conduct, Representative Schweikert failed to uphold the laws and regulations of the United States, including provisions of the FECA and the FEC's implementing regulations, and was a party to their non-compliance, in violation of paragraph 2 of the Code of Ethics for Government Service and House Rule XXIII, clause 1.

The ISC further notes that the sheer breadth of this misconduct was obscured from the public, the FEC, and the Committee because Representative Schweikert's campaign committees reported the repayments to Mr. Schwab through various means, including by issuing reimbursements to Mr. Schwab directly, to his single-member LLC, Chartwell Associates, and through payments to his personal credit card companies. Although these methods of payment may not amount to violations of campaign finance laws, they compounded the serious transparency concerns at issue. In addition, in many instances, Mr. Schwab held debt he incurred on behalf of the campaign, thereby allowing the campaign to post higher cash on hand totals and Representative Schweikert to receive repayments on his personal loans. Each outlay that Representative Schweikert's campaign failed to reimburse or only partially reimbursed within the same reporting period should have been reported as a debt owed by the Committee, but no such reporting was made.[307] The ISC did not make a finding that these reporting errors constituted a separate violation of House rules or laws, but found them to be part of an overall systemic pattern of misconduct that did not reflect creditably upon the House.

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[305] *Ethics Manual* at 123.
[306] ISC Interview of 2012 Campaign Manager; OCE Interview of Employee D (OCE's Second Referral, Exhibit 5).
[307] *See* AO 1996-20; AO 1992-01.

The ISC recommends that Representative Schweikert continue to work with the FEC to address the issues detailed above relating to impermissible advances from Mr. Schwab and other congressional staffers.

7. Allegations that Representative Schweikert Accepted Gifts from Staff & Misused Campaign Funds for Personal Expenditures

i. Facts

As discussed above, Mr. Schwab frequently made purchases on behalf of Representative Schweikert's campaign which were not always quickly reimbursed by the campaign. Because of the delays in repayment, Mr. Schwab often complained in front of other members of staff that Representative Schweikert owed him thousands of dollars.[308] OCE determined that Representative Schweikert may have accepted gifts or loans from Mr. Schwab based on testimony from staff who overheard Mr. Schwab's complaints about being owed money.[309]

Mr. Schwab's purchases were not limited to campaign expenditures; he, as well as other official staff members, also made numerous purchases for Representative Schweikert's personal use. In many instances, Representative Schweikert directly reimbursed staff for the expenses they incurred; however, on some occasions, funds from Representative Schweikert's campaign were used to reimburse staff for the personal expenses.

For example, Mr. Schwab frequently bought food for Representative Schweikert when the congressman was in Washington, D.C., usually on his own initiative.[310] On at least one occasion, Mr. Schwab paid for a meal Representative Schweikert attended with official staff in which Representative Schweikert left before the bill came.[311] Mr. Schwab testified that he was not reimbursed by Representative Schweikert directly on these occasions, instead, he received reimbursements from the campaign for the purchases or by billing the campaign for services to obscure the underlying reason for the reimbursement.[312]

Representative Schweikert denied that he ever left a dinner without paying his portion of the meal, and explained that while "it's probably happened" that staff purchased food from him, in each instance "they were paid back immediately."[313] The ISC found that in some instances, however, staff were not paid back immediately or directly by Representative Schweikert, instead,

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[308] OCE Interview of Financial Administrator (OCE's Second Referral, Exhibit 9); ISC Interview of Employee B; ISC Interview of Employee C.
[309] OCE Second Referral at 23-26.
[310] ISC Interview of Oliver Schwab; ISC Interview of Employee A.
[311] ISC Interview of Employee A; ISC Interview of Oliver Schwab.
[312] ISC Interview of Oliver Schwab ("[W]e do not get into the business of having Longworth cafeteria on Mr. Schweikert's FECs . . . he was very adamant that he did not want a whole bunch of dinners in D.C. showing up on his FECs. So, the way that those would get repaid to the person who had provided the outlay, that being me, is that it would show up in the form of me charging a consulting invoice.").
[313] ISC Interview of Representative Schweikert.

they were repaid by his campaign or by Mr. Schwab who then received reimbursement through the campaign.[314]

In some instances, staff sent their receipts directly to Mr. Schwab for repayment.[315] One staffer recalled hearing another staffer who regularly bought a significant amount of food for the congressman that Representative Schweikert had a "pretty big tab going right now."[316] Mr. Schwab included receipts he received from congressional staffers for Representative Schweikert's meal expenses as part of larger reimbursement requests he submitted on behalf of Chartwell Associates.[317]

Mr. Schwab and another staffer also paid for Representative Schweikert's dry-cleaning on occasion and were reimbursed.[318] Representative Schweikert, however, denied that staff paid for dry cleaning, noting: "I'm not like so many of these Members, go get my dry cleaning, go take my dog for a walk, go watch my daughter, go buy me something. I've just never done any of those things."[319] He further testified that he "hit the ceiling" after learning Mr. Schwab used campaign funds to pay for dry cleaning and stated "I have no idea who it is for or what it is for, but that is unacceptable."[320]

The ISC found that Mr. Schwab occasionally purchased flight upgrades for Representative Schweikert when he was traveling with his daughter.[321] Representative Schweikert testified that he was unaware that Mr. Schwab had paid for his upgrade in these instances and said that he was

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[314] Exhibit 53; *see, e.g.,* ISC Interview of Employee G (testifying that the purchases of more than $200 included food for consumption by the entire office but also food specifically for Representative Schweikert; the staffer was reimbursed by the campaign committee); ISC Interview of Employee A (testifying she picked up groceries that Representative Schweikert requested for his meals, such as salads or frozen Indian or Chinese food, to eat while he was in the office and stating she was typically reimbursed by the congressman but on "rare occasion" was reimbursed by Mr. Schwab); Exhibit 38 (stating in an email from Employee A to Mr. Schwab, "[I] wanted to send [you] a note about the receipts I gave you last week," listing expenses for flowers and "berries for [Representative Schweikert's] smoothies").

[315] *See, e.g.,* Exhibit 38. Employee J emailed Mr. Schwab with the subject title "[Representative Schweikert] Food" and listed food purchases totaling $115.

[316] ISC Interview of Employee G.

[317] On April 21, 2016 Friends of David Schweikert issued a check to Mr. Schwab, via Chartwell Associates, which included reimbursement for the staffers' outlays for meal expenses. *See* Exhibit 38.

[318] ISC Interview of Oliver Schwab (noting that Representative Schweikert would make attempts to repay him, but that on other occasions, he billed the campaign at Representative Schweikert's instruction); ISC Interview of Employee A.

[319] ISC Interview of Representative Schweikert.

[320] *Id.*

[321] ISC Interview of Employee A; Exhibit 54 (Dec. 21, 2016, email from Employee A to Mr. Schwab noting, "I used your SW [credit] card for a $75 American Airlines upgrade for [Representative Schweikert] to have his daughter visit. If you would like to revisit how to purchase flights for the incoming Congress, just let me know and I'll be happy to adjust!"). According to Employee A, Representative Schweikert did not like to fly first class unless he was traveling with his daughter, so in those instances, she upgraded his seat to first class and either Representative Schweikert or Mr. Schwab paid the upgrade fee. ISC Interview of Employee A. Employee A further explained that MRA funds were never paid for these upgrades because the office was "very careful" about not having taxpayer dollars go to accommodating Representative Schweikert's daughter traveling to Washington, D.C. with him. *Id.*

concerned that staff did not ask to use his miles or his credit card to purchase upgrades so that he could travel with his daughter.[322]

Mr. Schwab also testified he used his frequent flyer miles to purchase flights for Representative Schweikert and his family "at least a half dozen times over the last decade."[323] According to Mr. Schwab, Representative Schweikert suggested that he do so because his frequent flyer points had been accrued through his work for the congressional and office and the campaign.[324] Mr. Schwab testified that on one occasion, Mrs. Schweikert borrowed his miles because she did not have enough points in her account and that he may have transferred the miles back into his account.[325] Although Representative Schweikert generally denied that Mr. Schwab ever used his frequent flyer miles on his family's behalf,[326] other staff members recalled having contemporaneous discussions with Mr. Schwab where he discussed doing so.[327] In addition, on one occasion, on December 14, 2015, Mr. Schwab emailed Representative Schweikert to note that he had, on occasion, used his frequent flyer miles to purchase flights for Representative Schweikert when Representative Schweikert was traveling internationally or out of Dulles airport.[328]

Mr. Schwab testified that Representative Schweikert initially made attempts to partially repay Mr. Schwab for the expenses he had incurred on his behalf, but as the numbers accrued, he instructed Mr. Schwab to "be creative" and bill the campaign for the expenses.[329] To conceal the fact that he was seeking reimbursements for personal expenses he and other staff incurred on Representative Schweikert's behalf, Mr. Schwab occasionally submitted false campaign invoices or instructed the campaign's treasurers to make disbursements for consulting services.[330]

The ISC also found that congressional staff advanced money for babysitting services. When asked whether staff ever paid for babysitting services for his daughter, Representative Schweikert denied that staff ever did so, and insisted he paid and found babysitters himself.[331] However, at least one member of his staff found babysitters for him, including as late as May

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[322] ISC Interview of Representative Schweikert.
[323] ISC Interview of Oliver Schwab.
[324] *Id.*
[325] *Id.*
[326] ISC Interview of Representative Schweikert.
[327] ISC Interview of Financial Administrator; ISC Interview of Employee B.
[328] Exhibit 55. When asked about this email, Representative Schweikert said he thought Mr. Schwab "might have been trying to milk miles off my flights." ISC Interview of Representative Schweikert. On at least one occasion, Mr. Schwab advanced hotel expenses and sought reimbursement from Representative Schweikert's leadership PAC. On February 25, 2016, Mr. Schwab emailed Mrs. Schweikert, Representative Schweikert, and Employee A, "In the event that [Mrs. Schweikert] chooses to fly in on March 2nd, I have a room reserved (and fully paid for) at the Residence Inn, 4 blocks from the Capitol." Mr. Schwab's credit card showed a Residence Inn Capitol charge posted on March 6, 2016 for $258.77. On April 14, 2016, Mr. Schwab was reimbursed by Representative Schweikert's leadership PAC, Team Dave, for this expense, which was reported as "PAC Travel" and paid to Chartwell Associates. *See* Exhibit 56. The ISC did not receive additional evidence regarding the purpose of this travel.
[329] ISC Interview of Oliver Schwab.
[330] *Id.*
[331] ISC Interview of Representative Schweikert.

2018.[332] That staff member, Employee A, also paid over $800 out of her own pocket for babysitting services on Representative Schweikert's behalf on two occasions. She was reimbursed for those expenses with campaign funds. For the first instance, Employee A emailed Mr. Schwab on July 26, 2017 with a list of non-official expenses totaling $476.90, $350 of which was for, among other things, "Sally babysitting."[333] On June 27, 2017, Friends of David Schweikert disbursed $476.90 for "petty cash," in connection with Employee A's reimbursement request.[334] For the second instance, Mr. Schwab emailed Employee A on October 6, 2017, and asked her to "resend babysitting," to which she responded: "$502.83."[335] Employee A explained that Mr. Schwab's email was asking her to resend the cost of babysitting for Representative Schweikert's daughter and that her response referred to costs associated with babysitting.[336] On October 9, 2017, Friends of David Schweikert disbursed $1,000.00 to Employee A, for "strategic campaign consulting" at Mr. Schwab's direction, when Mr. Schwab intended for this disbursement to reimburse Employee A for babysitting expenses she incurred on behalf of Representative Schweikert.[337]

Two staff members testified that congressional staffers watched Representative Schweikert's daughter within the congressional office.[338] In addition, the ISC reviewed evidence indicating that Representative Schweikert sought to hire a staff member to help watch his daughter. On March 19, 2016, a member of Representative Schweikert's congressional staff emailed Mr. Schwab to tell him:

> [Representative Schweikert] came to me pushing to find him a 'unemployed female intern type person' to be Olivia's nanny this week—I said I didn't know anyone because I don't want to put someone in the position of being a babysitter. Just a heads up.[339]

Representative Schweikert denied that he ever asked staff to watch his daughter and noted that on one occasion, when his babysitter was running late, he left his daughter in his office with the door closed and that he did not ask staff to watch her.[340]

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[332] Exhibit 57 (On May 30, 2018, Employee A emailed Mr. Schwab to inform him that she could not find a babysitter for Representative Schweikert due to the short amount of time).
[333] Exhibit 58.
[334] Friends of David Schweikert, July 2017 Quarterly Report of Receipts and Disbursements, at 64 (Jul. 14, 2017).
[335] Exhibit 59.
[336] ISC Interview of Employee A.
[337] ISC interview of Oliver Schwab; Friends of David Schweikert, 2017 Year-End Report of Receipts and Disbursements, at 54 (Jan. 31, 2018).
[338] ISC Interview of Employee A; ISC Interview of Employee H (explaining that staff has not babysat "in the formal traditional sense of go over to his house and watch his kid" but there were "maybe three or four times" in which Representative Schweikert brought his daughter to the office and there were times when she did not go with him to committee meetings).
[339] Exhibit 60.
[340] ISC Interview of Representative Schweikert.

ii. Relevant Laws, Rules, and Other Applicable Standards of Conduct

House Rule XXIII, clause 6 provides that a Member –

> (a) shall keep the campaign funds of such individual separate from the personal funds of such individual;
> (b) may not convert campaign funds to personal use in excess of an amount representing reimbursement for legitimate and verifiable campaign expenditures; and
> (c) except as provided in clause 1(b) of rule XXIV, may not expend funds from a campaign account of such individual that are not attributable to bona fide campaign or political purposes.

FECA and its implementing regulations also prohibit the use of campaign funds for personal use.[341] A contribution shall be considered to be converted to personal use if it is used to fulfill any commitment, obligation, or expense of a person that would exist irrespective of the candidate's election campaign or duties as a federal officeholder, including:

> (A) a home mortgage, rent, or utility payment;
> (B) a clothing purchase;
> (C) a noncampaign-related automobile expense;
> (D) a country club membership;
> (E) a vacation or other noncampaign-related trip;
> (F) a household food item;
> (G) a tuition payment;
> (H) admission to a sporting event, concert, theater, or other form of entertainment not associated with an election campaign; and
> (I) dues, fees, and other payments to a health club or recreational facility.[342]

The *Ethics Manual* notes that campaign funds may be used to pay for certain meal expenses, including meals connected to campaign events and for food and beverage expenses that are incidental to official meetings that include outside individuals, such as constituents.[343]

As a general matter, House Rule XXV, clause 5(a)(3)(F) provides that Members, officers, and employees may accept "[a] gift from another Member . . . officer, or employee of the House or Senate." The Committee has explained, however, that federal law generally bars government employees from giving gifts to their official superiors and prohibits employees from accepting a gift from those who work for them.[344] A "gift" is anything of monetary value, including gifts of services, meals, payment in advance, or reimbursement after the expense has been incurred.[345]

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[341] *See* 52 U.S.C. § 30114(b)(1); 11 C.F.R. § 113.2(e).
[342] 52 U.S.C. §§ 30114(b)(2).
[343] *Ethics Manual* at 159-160.
[344] *Id.* at 70 (citing 5 U.S.C. § 7351). The Committee has recognized common sense exceptions for voluntary gifts on special occasions where gifts are traditionally given, such as birthdays and holidays.
[345] House Rule XXV, cl. 5(a)(2)(A).

The gift prohibition does not apply to anything for which the Member pays the market value, or does not use and promptly returns to the donor.[346]

A provision of the *Members' Handbook* permits the incidental personal use of House resources "when such use is negligible in nature, frequency, time consumed, and expense."[347]

Furthermore, paragraph 2 of the Code of Ethics for Government Service requires Members to uphold the laws and regulations of the United States, including provisions of the FECA and the FEC's implementing regulations, and to never be a party to their evasion. Finally, House Rule XXIII, clause 1, states that "[a] Member . . . of the House shall behave at all times in a manner that shall reflect creditably on the House."

iii. Findings

There were numerous instances in which members of Representative Schweikert's staff advanced their personal funds for his personal benefit in the form of paying for meals, travel, dry-cleaning, and babysitting services. For each such instance reviewed by the ISC, staff were reimbursed the full value of the advance, either directly by Representative Schweikert, or by his campaign or Mr. Schwab.

As explained above, Members may not knowingly accept gifts from their staff members, unless a Member pays fair market value for the gift.[348] The ISC did not find substantial evidence that Representative Schweikert received impermissible gifts from staff members. This was in large part because Mr. Schwab appears to have taken steps to make staffers whole by reimbursing their advances from campaign funds. The ISC notes that Representative Schweikert's purported obliviousness to this fact raises concerning questions about his attention to the potential for inappropriate gifts from his staffers—in other words, if Representative Schweikert did not know that the campaign was paying for his babysitter, who did he think *was* paying, since it was not him?

Although the ISC did not determine that Representative Schweikert violated applicable gift rules, the ISC did determine that the use of Representative Schweikert's campaign funds to repay staff for some of those expenditures was impermissible.

As a general matter, campaign funds may be used to pay for certain expenses, including food and beverage expenses incidental to an official meeting with non-House employees, such as constituents.[349] Campaign funds may also be used to defray ordinary and necessary expenses incurred in connection with the recipient's responsibilities as a holder of federal office.[350] Campaign funds cannot, however, be used for a candidate's "personal use."[351] Personal use is any

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[346] *Id.* at cl. 5(a)(3)(A).
[347] *Members' Handbook* at 3.
[348] 5 U.S.C. § 7351(c); *Ethics Manual* at 73.
[349] *Ethics Manual* at 160.
[350] 11 C.F.R. § 113.2(a).
[351] 52 U.S.C. § 30114(b)(1); 11 C.F.R. § 113.2(e).

use of campaign funds to fulfill a commitment, obligation or expense of any individual that would exist irrespective of the candidate's campaign or duties as a federal officeholder.[352]

The use of campaign funds to reimburse staff for purchases of certain food items which were consumed by staff and constituents and other outside visitors were permissible campaign expenditures where such were incidental to official activities within the congressional office. Other expenditures, including personal travel and babysitting expenses, were not legitimate uses of campaign funds, as such expenditures would have existed irrespective of Representative Schweikert's duties as a candidate or federal officeholder.

Representative Schweikert denied knowing his campaign funds were used for his personal benefit and said it was "very odd" to see instances where his staff were seeking reimbursements from Mr. Schwab or his campaign because he had a policy of providing cash to staff.[353] Mr. Schwab, however, maintains that it was Representative Schweikert who instructed him to "be creative" and bill the campaign for personal expenses.[354] Despite this inconsistent testimony, the fact remains that Representative Schweikert's campaign funds were, in fact, used to defray personal costs incurred on his behalf on a few occasions. While the practice of staff paying for certain personal expenses on Representative Schweikert's behalf appears to have largely been undertaken by staff as a means to "keep the trains moving" and not at Representative Schweikert's explicit direction, Representative Schweikert is ultimately responsible for the conduct of both his congressional and campaign offices, and should have questioned how hundreds of dollars' worth of babysitting expenses and other personal expenses on his behalf were ultimately paid.[355]

The ISC found Representative Schweikert's overall testimony regarding these allegations to be unconvincing. Representative Schweikert's insistence that he was "not like so many of these [other] Members," in that he "never" directed staff perform personal tasks on their behalf,[356] was belied by the ISC's record. For example, his express denials that staff ever paid for babysitting services or babysat on his behalf were contradicted by several members of his staff who testified otherwise, as well as documents obtained in the course of its investigation, including an email from staff expressing concern at Representative Schweikert's attempt to find an "unemployed female intern type person" to serve as his daughter's nanny.[357]

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[352] 11 C.F.R. § 113.1(g).
[353] ISC Interview of Representative Schweikert.
[354] ISC Interview of Oliver Schwab.
[355] The ISC also considered whether the instances in which staff watched Representative Schweikert's daughter within the congressional office constituted a misuse of official resources as providing babysitting services on behalf of a Member has no clear nexus to official duties. The ISC notes, however, that House rules permit the incidental personal use of government resources when such use is negligible in nature, frequency, time consumed, and expense. *See Ethics Manual* at 126. The instances in which staff watched Representative Schweikert's daughter within the congressional office were infrequent and isolated occurrences. *See* ISC Interview of Employee A; ISC Interview of Employee H. Accordingly, the ISC declined to find that those instances constituted a separate violation.
[356] ISC Interview of Representative Schweikert.
[357] ISC Interview of Employee A; ISC Interview of Employee H (explaining that staff has not babysat "in the formal traditional sense of go over to his house and watch his kid" but there were "maybe three or four times" in which Representative Schweikert brought his daughter to the office and there were times when she did not go with him to committee meetings); Exhibit 60.

The ISC was unable to calculate the precise amount of campaign funds that were misused for personal purposes because many of these expenses were characterized by Mr. Schwab as consulting expenses or petty cash, and underlying records were not preserved or provided to the campaign. The ISC determined, however, that at least $1,476.90 in campaign funds were misused for personal purposes based on disbursements paid to Employee A by Friends of David Schweikert, designated as "petty cash" and for "strategic campaign consulting," which were intended to reimburse her for babysitting and other related expenses she incurred on behalf of Representative Schweikert.[358]

By engaging in the above conduct, Representative Schweikert failed to uphold the laws and regulations of the United States, including provisions of the FECA and the FEC's implementing regulations, and was a party to their non-compliance, in violation of paragraph 2 of the Code of Ethics for Government Service.

By converting campaign funds to personal use, Representative Schweikert further violated House Rule XXIII, clause 6(c). The conversion of campaign funds to personal use is also a violation of FECA. Accordingly, in converting campaign funds to personal use, Representative Schweikert violated House Rule XXIII, clause 1.

B. Findings Relating to Misuse of Official Resources

1. Overview

The ISC investigated allegations that Representative Schweikert's Members' Representational Allowance (MRA) was misused with respect to the following categories: (1) campaign work; (2) a mixed-purpose trip to Phoenix taken by Mr. Schwab in January 2015; (3) reimbursements for office supply purchases; and (4) a training program attended by Mr. Schwab. In addition, the ISC investigated allegations that staff were pressured to perform campaign work.

As discussed below, the ISC determined that between 2011 and 2018, Representative Schweikert's official resources were misused to support his campaign. The ISC also determined that Mr. Schwab felt pressured by Representative Schweikert to fundraise on behalf of his campaign. Although other staff generally did not feel pressure to perform work from Representative Schweikert directly, some staff did feel there was an expectation to assist the campaign as a result of Mr. Schwab's actions. The ISC also concluded Representative Schweikert's MRA was used improperly to fully fund a trip Mr. Schwab took to Phoenix that was taken for official and unofficial purposes. However, the ISC did not find substantial reason to believe that Representative Schweikert's MRA was misused with respect to office supply purchases or in connection with a training program Mr. Schwab attended.

For much of the relevant period, Representative Schweikert made little to no effort to oversee the use of official resources in his office, including how his MRA was spent. In addition,

[358] Although the Committee generally directs Members to repay misspent funds, the ISC determined that repayment was not necessary in light of the substantial fine Representative Schweikert has agreed to pay.

although Representative Schweikert testified that he was unaware Mr. Schwab or any other member of his staff performed campaign work within the office, another staffer testified that it would take "willful ignorance and negligence" for Representative Schweikert to be unaware that Mr. Schwab performed campaign work in the office.[359] The ISC found that there were numerous instances in which members of Representative Schweikert's staff engaged in campaign work within the office and there were occasions in which Representative Schweikert also engaged in campaign work within the congressional office.

As the *Ethics Manual* explains, "the misuse of the funds and other resources that the House of Representatives entrusts to Members for the conduct of official House business is a very serious matter" and, therefore, "each Member should be aware that he or she may be held responsible for any improper use of House resources that occurs in the Member's office."[360] Members who misuse official resources and compel staff to perform campaign work may also violate other standards of conduct, including House Rule XXIII, clauses 1 and 2, and paragraph 2 of the Code of Ethics for Government Service. Members may also be held liable for any improper use of House resources where a Member knew or had reason to know of improper conduct by staff.[361]

The use of Representative Schweikert's MRA for unofficial purposes was not an isolated occurrence, but part of a long-running practice that ultimately benefited Representative Schweikert's campaign at taxpayer's expense. Although much of the misuse centered around Mr. Schwab's conduct, the ISC determined that Representative Schweikert facilitated the use of resources for campaign purposes by pressuring Mr. Schwab to perform campaign work, while at the same time failing to set appropriate boundaries over Mr. Schwab's performance of official versus campaign work.

As explained in more detail below, the ISC determined that by engaging in this conduct, particularly, by failing to adequately supervise Mr. Schwab, Representative Schweikert's conduct did not reflect creditably on the House, in violation of House Rule XXIII, clause 1. The ISC further determined that Representative Schweikert failed to uphold the laws and regulations of the United States, including 31 U.S.C. § 1301 and other standards of conduct, including the implementing policies contained in the Members' Handbook, and was a party to their non-compliance, in violation of paragraph 2 of the Code of Ethics for Government Service.

2. *Findings Relating to Misuse of Official Resources for Campaign Purposes*

i. *Background*

Between 2011 and 2018, members of Representative Schweikert's congressional staff performed political work using congressional resources, including congressional staff time and congressional office space.

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[359] ISC Interview of Employee G.
[360] *Ethics Manual* at 124.
[361] *See* Comm. on Ethics, *In the Matter of Allegations Relating to Representative Laura Richardson*, H. Rept. 112-642, 112th Cong. 2d Sess. 98 (2012) (hereinafter *Richardson*).

Although the employee handbook in Representative Schweikert's office set certain office policies prohibiting the use of official resources for political activities and providing that campaign work could only be performed on staff's own time,[362] the handbook policies were not always followed. Congressional staff informed the ISC that they did not consult the handbook regularly, and that the office employed looser policies in practice.[363]

A. Campaign Work by Mr. Schwab

Mr. Schwab was responsible for the majority of political work performed within Representative Schweikert's congressional office, although several staff testified that he usually tried to leave the office to perform campaign work.[364] Mr. Schwab's campaign-related activities in the congressional office ranged from menial tasks to substantive work, including:

- stuffing and stamping campaign mailers;[365]
- storing campaign materials in the congressional office;[366]
- meeting with a political pollster;[367]
- drafting and responding to campaign communications;[368]
- regularly using the congressional office scanner to print and send campaign-related documents, including his campaign reimbursement requests;[369]
- using his House devices for campaign purposes;[370]
- taking campaign calls;[371]

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[362] Exhibit 49 (2011 Office Manual). An update to the handbook was made in January 2017 to define an employee's "own time" to mean "before 9:00 a.m., on lunch break, on leave time, or after 5:00 p.m. Monday through Friday, or on weekends, or while on leave/vacation." *See* Exhibit 50 at COE.SCHWEIKERT.025109 (2017 Office Manual).
[363] ISC Interview of Employee F; ISC Interview of Employee A. For example, even though the handbook required a running log to track when staff took paid time off and prescribed set amounts of leave employees could take, the office maintained a "very flexible" leave policy in practice. ISC Interview of Employee A. Congressional employees were also permitted to perform campaign work during the office's hours of operation, 8:45 a.m. to 6:00 p.m. during in-session workdays and 9:00 a.m. to 5:00 p.m. during out-of-session workdays, so long as the work took place outside the congressional office and the employee made up the time spent on political activities by working longer hours. ISC Interview of Employee A.
[364] *See e.g.*, ISC interview of Employee A; ISC Interview of Employee G.
[365] ISC interview of Employee B.
[366] ISC Interview of Employee G; *see* Exhibit 61 (Dec. 2014 email from Mr. Schwab to district director asking: "[w]ould you be willing to grab one of the Schweikert coffee baskets out of the file cabinet by where I sit and coordinate with [campaign staffer] to see if she can bring [it] over" to a political group).
[367] Mr. Schwab advised that he worked to make sure the pollster's visit to the office in February 2014 was "aboveboard" and while he testified the pollster "knew that there needed to be a separation of political to official . . . [Representative] Schweikert was pretty darn adamant that he wanted to ramp up sort of the political awareness of the office." ISC interview of Oliver Schwab. *See* Exhibit 33 (Feb. 2014 email regarding the pollster's visit); *see also* OCE Interview of Employee E (OCE's Second Referral, Exhibit 1) (Staffer, who worked in the congressional office until May 2013, testified: "I think at one point, I recall the congressman's pollster came to the office and talked to (sic) some poll things, just some results they had gotten from being in the field.").
[368] ISC Interview of Employee C; ISC Interview of Oliver Schwab; ISC Interview of Employee B.
[369] *See e.g.*, Exhibit 62.
[370] ISC interview of Oliver Schwab (noting that he did so on the "rarest" of occasions); *see e.g.*, Exhibit 63 (using office scanner to send to campaign materials).
[371] ISC Interview of Oliver Schwab.

- enlisting help from members of Representative Schweikert's legislative staff to edit and proofread campaign materials.[372]

One staff member who was employed in the Washington, D.C. office between January 2011 and May 2013, recalled staff complained that Mr. Schwab frequently was "pushing the envelope and trying to push the line" when it came to performing political activity within the congressional office.[373] In many instances, Mr. Schwab performed campaign work within the congressional office, by using his personal laptop.[374]

Some staff members testified that they were unaware that Mr. Schwab was performing political work within the congressional office or denied knowing he used official resources in support of the campaign.[375] However, in some instances, those same staffers were copied on campaign-related requests that Mr. Schwab sent while in the congressional office or using congressional devices.[376]

While Mr. Schwab performed a substantial amount of campaign work within the congressional office, Mr. Schwab also spent significant time outside of the congressional office performing campaign work.[377] One staff member recalled that Representative Schweikert was "very frugal" and did not want to have a large campaign staff; and another recalled the campaign "was just Oliver and David."[378] This structure appears to have contributed to the amount of time Mr. Schwab spent performing campaign work, as he acknowledged he was often out of the congressional office, in order to perform campaign work, and explained that he was responsible for "running all political operations, all in-district fundraising, raising all of the NRCC dues, all of the reelect dollars on an individual basis, managing the email campaigns, [and] managing the House file campaigns."[379]

Representative Schweikert similarly testified that Mr. Schwab was often absent from the congressional office. While he recalled "dozens of times" where Mr. Schwab left the congressional office to take a campaign-related call, he did not believe that Mr. Schwab was frequently absent from the office to perform campaign work, noting instead that Mr. Schwab was

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[372] ISC interview of Employee C; ISC Interview of Oliver Schwab.
[373] OCE Interview of 2012 Campaign Manager (OCE's Second Referral, Exhibit 6).
[374] ISC Interview of Employee C; ISC Interview of Oliver Schwab.
[375] ISC Interview of Employee H; ISC Interview of Employee A.
[376] *See e.g.*, Exhibit 64.
[377] ISC Interview of Employee G; ISC Interview of Employee A; OCE Interview of 2012 Campaign Manager (OCE's Second Referral, Exhibit 6) (noting nearly every day in mid to late 2013, he was hearing from Washington, D.C. staff that they did not know where Mr. Schwab was, "he'd disappear for four or five hours" and he assumed he was at the NRCC making political phone calls); *see e.g.*, Exhibit 65 (Oct. 18, 2016 email from Mr. Schwab to Financial Administrator noting that unless it was necessary, he did not anticipate being physically in the office until after the election.).
[378] ISC Interview of Employee G ("[Representative] Schweikert is a very frugal man in some ways and, especially with his campaign, didn't want to have a lot of campaign staff."); ISC Interview of Employee A ("[Representative Schweikert] never really had a campaign while I was there. It was just [Representative Schweikert] and [Mr. Schwab].").
[379] ISC Interview of Oliver Schwab.

mostly socializing and building relationships.[380] Representative Schweikert further stated that while he did not know for certain that Mr. Schwab's absences were attributed to campaign work, "you have to assume you've hired an adult that knows the rules."[381]

Even though Representative Schweikert's office had written policies for tracking leave and only allowed for campaign work to be performed outside of working hours, the office kept no formal record of the hours Mr. Schwab spent working during official versus unofficial hours. Mr. Schwab testified that "taxpayers got more than a full day's work out of [him] on any given day of the week" given the long hours he worked.[382] Another former staffer, tasked with keeping track of employee time, echoed these sentiments: "I wasn't fazed by Oliver's time, because anytime I had an official question, he was responsive . . . he was always accessible, and he always answered official questions no matter what time of the day it was."[383] On at least one occasion, Mr. Schwab was cautioned by another staffer against the use of official resources for unofficial purposes, and on another occasion, Mr. Schwab cautioned other staffers.[384] Those admonitions, however, were inconsistent with Mr. Schwab's actions and did not prevent the use of official resources for non-official purposes by other staff.

According to one former staffer, following pubic reporting about Mr. Schwab's spending and campaign activities in November 2017, Mr. Schwab wanted "to make[] sure that he was being transparent and had records of what he was doing."[385] On February 21, 2018, after the initiation of OCE's investigation into Mr. Schwab's conduct, Mr. Schwab emailed other congressional staffers, noting: "I am going to implement a new protocol for how I manage personal time that I take off to perform campaign responsibilities," and enlisted help from another staffer to keep track of his time off setting up a separate email account to track his hours.[386]

B. Campaign Work by Other Staff

Four other members of Representative Schweikert's staff testified that they performed campaign work in the congressional office on occasion, though to a significantly lesser extent than Mr. Schwab. Employee E advised the ISC that he was asked "to provide inputs, edits or comments

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[380] ISC Interview of Representative Schweikert.
[381] *Id.*
[382] ISC Interview of Oliver Schwab.
[383] ISC Interview of Employee A. *See also* ISC Interview of Employee C ("[H]e [would] sometimes work in the office late at night. Other times he would be out of the office for a portion of the day, you know, come in on weekends and take Friday off and things of that nature. There [were] no structured standardized hours that he worked.").
[384] Exhibit 66 (Mar. 20, 2012 email from Mr. Schwab to Employee F: "[O]f course none of this [campaign related communication] is appropriate on the official [email]. Forward [emails] to gmail and lets have the conversation there in future."); Exhibit 67 (Oct. 7, 2013 email from Employee F to Mr. Schwab noting that he received a fundraising email on his official email account and that Mr. Schwab should "have a very serious conversation with" himself and a "[t]ime out may need to be in order").
[385] ISC Interview of Employee A.
[386] Exhibit 68. Mr. Schwab also took steps to avoid performing campaign work during the day. On April 24, 2018, Mr. Schwab emailed staff, "I have deleted my personal gmail from my official phone just as a safeguard that an official device just as a safeguard that an official device just to guarantee an additional step in compliance in the event that inbound messages appear throughout the day." Exhibit 69.

to support campaign activities" and noted, "more often than not, [he] would perform those activities using an office computer[] and coordinating through [his] personal email account."[387] Employee E also authored campaign documents within the congressional office.[388] For example, in December 2015, Employee E drafted an overview of Representative Schweikert's policy positions on Israel, at Mr. Schwab's request, so that the information could be sent to the host of an upcoming fundraiser for Representative Schweikert.[389] In addition, in March 2016, Employee E wrote a portion of a campaign newsletter, again at Mr. Schwab's request, within the official office and using House resources.[390] Employee E also provided briefings to Representative Schweikert in advance of non-official events within the congressional office.[391] In testimony to OCE, Employee E characterized these briefings for Representative Schweikert as "always kind of that wink and nod, that it was for the campaign or a campaign event, but never specifically said"[392]; he later noted to the ISC that his phrasing "may have been a bad choice of words," and said he believed he was "providing policy support within the official capacity that happened to have a campaign tie-in" and "there was an understanding that there was a campaign benefit from him understanding the policy issues."[393]

Employee F engaged in campaign work by occasionally posting content on Representative Schweikert's campaign social media pages using his House computer.[394] He also sent campaign related communications, including fundraising requests within the congressional office.[395] Employee F could not estimate how many times he sent fundraising invites from within the congressional office.[396] On one occasion, Employee F instructed another congressional staff member to do a social media analysis of a communication sent by Representative Schweikert's campaign.[397] According to Employee F, he asked the staff member to not use any official resources to complete the task.[398]

Other staffers, such as Employee B engaged in campaign-related activity in Representative Schweikert's congressional office, including sending invitations to campaign events.[399]

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[387] Exhibit 70.
[388] *Id.* The staffer testified that he routinely deletes his inbox, so his production was not complete.
[389] *Id. See* ISC Interview of Employee C (noting that the statement he drafted "appears to be pretty can language that we would have used for constituent correspondence or other Israeli-U.S. relation products").
[390] Exhibit 70; ISC Interview of Employee C (testifying that the language used is "largely kind of standard boilerplate language that we would have used for like a constituent correspondence, maybe slightly modified" and that he performed this work in the office and using his office computer).
[391] ISC interview of Employee C.
[392] OCE Interview of Employee C (OCE's Second Referral, Exhibit 2).
[393] ISC Interview of Employee C.
[394] ISC interview of Employee F.
[395] *Id.* Exhibit 71 (discussing Representative Schweikert's campaign nominating petitions with a campaign vendor, using his House email account).
[396] ISC Interview of Employee F.
[397] *Id.* On June 12, 2017, the congressional intern emailed a staffer the results of the social media analysis surrounding a campaign letter. Exhibit 72.
[398] ISC Interview of Employee F.
[399] ISC Interview of Employee B (noting that he provided the ISC emails where he was "relatively certain" he was in the office just asking people to come to a campaign event); *see e.g.*, Exhibits 73-77.

Employee B also recalled that "there may have been times where [Mr. Schwab] asked people to proofread a campaign e-mail."[400]

Additionally, Employee G helped look at campaign logos within the congressional office on at least two occasions.[401] She also had an "informal but unpaid role of coordinating some [campaign] logistics."[402] This role entailed, among other things, reaching out to a campaign pollster to set up a meeting at Representative Schweikert's direction, inputting information on the office's outlook calendar, and helping determine who to invite to campaign events. Most of these activities were undertaken in the congressional office or otherwise using official resources.[403] Employee G explained that she assumed that was what she was supposed to do because that is what Mr. Schwab requested of her.[404] Employee G estimated spending less than an hour or two a week "scheduling a luncheon or finding people who had been to luncheons" within the congressional office, though she did not characterize it as a regular occurrence.[405]

C. Representative Schweikert's Involvement in Campaign Use of Official Resources

Representative Schweikert testified that he did not see Mr. Schwab perform campaign work in the congressional office[406] and denied that he or other staff performed campaign work within his office.[407] But on at least some occasions, he was involved in campaign-related discussions within the congressional office.

For example, Representative Schweikert met with his campaign pollster within his congressional office on at least one occasion.[408] On February 27, 2014, Representative Schweikert met with his campaign pollster in the congressional office. He expected his staff to arrange the

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[400] ISC Interview of Employee B.
[401] ISC Interview of Employee G.
[402] *Id.*
[403] *Id.* Employee G explained, "I recall also pulling up prior luncheons that would have been campaign related and see who had attended those to say this person hasn't been to a lunch in a long time or this person just came last week" and advised the ISC she had requested to be paid to perform the campaign work outside of working hours but was told "no." *Id.* On February 9, 2014, Representative Schweikert emailed Mr. Schwab stating that Employee G had not yet contacted the pollster, despite the fact that he sent Employee G the request "either yesterday or the day before." *See* Exhibit 78.
[404] ISC Interview of Employee G ("I was mostly instructed. Once instructed once, I thought, oh, this is what I'm supposed to do here" and recalled Mr. Schwab asking her, "[W]ho hasn't been to a lunch in a while[?]"). Upon her departure, Employee G sent her successor an email in which she advised, "You basically just need to keep track of where the Member will be, but no logistics should be coordinated by you at all." *See* Exhibit 79. Employee G said she sent this email because "I think I probably realized that I shouldn't have been scheduling things as I was and didn't want her to get into the same trap—or not necessarily trap, but I think I realized that I was not going to be paid for what I was doing, and I didn't want her to do work that she didn't need to be doing, and to avoid a possible issue." ISC Interview of Employee G.
[405] *Id.*
[406] ISC Interview of Representative Schweikert.
[407] *Id.*
[408] Exhibit 80. Email records and staff testimony indicates that his campaign pollster came to the congressional office on two other occasions. *See* Exhibit 80 (scheduling Feb. 2015 visit); *see also* OCE Interview of Employee E (OCE's Second Referral, Exhibit 1). Employee E worked in the congressional office until May 2013 and recalled "the congressman's campaign pollster came to the office and talked to (sic) some poll things, just some results they had gotten from being in the field." *Id.*

meeting,[409] and after confirming the meeting, the scheduler informed him: "Boss, you requested that whoever was available sit in on the meeting to hear what's going on in AZ this upcoming cycle." Mr. Schwab sent a follow-up email to the scheduler and noted, "[t]his is to be a quiet meeting where [the pollster] and David can speak privately."[410] Representative Schweikert advised the ISC that his pollster has visited Capitol Hill to socialize and find more work,[411] but he did not recall what the February 2014 meeting was about.[412] Mr. Schwab testified that Representative Schweikert felt it would be beneficial for the pollster to speak with legislative staff about national and district base sentiments.[413]

Shortly after the scheduler sent an email regarding the meeting, Representative Schweikert was overheard saying, "we don't want any paper trails of emails floating around about a pollster."[414]

Representative Schweikert received briefings from staff prior to non-official events,[415] and Mr. Schwab testified that he had "daily" campaign communications with Representative Schweikert within the congressional office because that was most convenient to meet given that Representative Schweikert slept in the office:

> Mr. Schweikert did not want to leave the office, both in the morning and in the evening. And so that meant that anything that required his sign-off or he had directed that was now asking for follow-up on --

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[409] Exhibit 78.
[410] Exhibit 81.
[411] ISC Interview of Representative Schweikert.
[412] *Id.* Representative Schweikert speculated that the meeting was "to understand the attitudinally," "what's happening in Arizona" and explained it "seems somewhat appropriate" to do this if "you're trying to educate the staff on what your constituency cares about." *Id.*
[413] ISC Interview of Oliver Schwab (explaining that while he worked closely with the pollster to make sure he knew there needed to be a separation of political to official, Representative Schweikert "was pretty darn adamant that he wanted to ramp up sort of the political awareness of the office.").
[414] *Id.* Exhibit 82. The ISC notes that Representative Schweikert's document productions did not include the email exchange regarding the pollster's visit to his congressional office. The ISC received numerous email exchanges that Representative Schweikert was copied on or that he sent in which official staff were engaging in campaign work, but which were not included in his document productions to the ISC. *See e.g.*, Exhibit 83 (Jun. 2, 2011 email from Representative Schweikert asking Mr. Schwab to look over campaign event); Exhibit 84 (May 9, 2014 email from Mr. Schwab to Representative Schweikert noting that the congressional office's communications director had just designed an enhanced graphic for the unofficial campaign Facebook page); Exhibit 85 (Feb. 11, 2015 email from Mr. Schwab to Representative Schweikert noting that he sent a fundraising request); Exhibit 75 (May 18, 2016 email from Mr. Schwab to Representative Schweikert and others, including congressional staff, regarding collecting nomination signatures); Exhibit 86 (May 26, 2016 email from Representative Schweikert to Mr. Schwab asking if Employee F dropped off more nominating petitions); Exhibit 87 (Dec. 13, 2016 email from District Representative to Representative Schweikert noting that he had cleaned up donor lists); Exhibit 88 (Mar. 9, 2018 email to Representative Schweikert from Mr. Schwab in which he notes he is personalizing an email invitation for joint fundraising committee event); Exhibit 89 (Mar. 18, 2018 email from Mr. Schwab to Representative Schweikert in which he notes he and Employee F will make sure to track which candidate receives an endorsement); Exhibit 90 (Jun. 20, 2018 email from Mr. Schwab, which Representative Schweikert was copied on, in which Mr. Schwab solicited a campaign contribution).
[415] ISC Interview of Employee C; ISC Interview of Employee B.

> which was nearly anything when it came to the campaign, because otherwise it's just me -- would invariably take place in the office.[416]

Another staff member informed the ISC that Representative Schweikert and Mr. Schwab had frequent discussions about the campaign within the congressional office, though he later characterized the conversations as non-substantive.[417]

D. Remedial Measures Regarding Campaign Work by Official Staff

On October 3, 2018, Representative Schweikert informed the Committee that he had taken remedial measures to ensure that his office is fully compliant with all applicable laws, which included "a strict firewall between congressional staffers and the campaign by instituting a policy that severely restricts congressional employees from performing otherwise permissible campaign related work for his campaign."[418]

In January 2019, Representative Schweikert's new chief of staff sent an annual reminder to all staff in which she noted:

> Please know that no one on official staff is allowed to engage in campaign related activities during office hours, nor engage in anything campaign related in the office. Any questions at all on this policy, please call me.
>
> All Schweikert campaign related activity must be approved by me, so please contact me regarding any activity you would like to be engaged with.[419]

Employee H did not believe there were ever issues with office staff doing campaign-related activities during the workday;[420] her email was precipitated by a discussion she had with Representative Schweikert and the new compliance firm he had retained about keeping the congressional office separate from the campaign.[421]

Although most of Representative Schweikert's staff appear to have generally abided by this policy, Employee H is not subject to this policy. She is more involved in Representative Schweikert's campaign than she was before becoming his chief of staff in July 2018; she staffs campaign events, approves certain campaign expenses, and engages in campaign-related work during office hours, outside of the congressional office.[422]

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[416] ISC Interview of Oliver Schwab.
[417] Exhibit 70; ISC Interview of Employee C.
[418] Exhibit 91.
[419] Exhibit 52.
[420] ISC Interview of Employee H.
[421] *Id.*
[422] ISC Interview of Employee H; ISC Interview of Employee A (noting that Employee H was not subject to the policy).

ii. Relevant Laws, Rules, and Other Applicable Standards of Conduct

Federal law provides appropriations shall apply "only to the objects for which the appropriations were made."[423] Within the House of Representatives there is "a single allowance, to be known as the 'Members' Representational Allowance,' (MRA) which shall be available to support the conduct of the official and representational duties of a Member of the House of Representatives with respect to the district from which the Member is elected."[424] The Committee on House Administration (CHA) implements these laws in the *Members' Handbook*, which explains House funds and resources cannot be used for unofficial purposes; "[o]nly expenses the primary purpose of which [is] official and representational" are reimbursable from the MRA, and the MRA may not pay for campaign expenses or political expenses.[425]

The *Ethics Manual* explains the prohibition against using official resources for campaign purposes:

> [F]unds appropriated for Member, committee, and other House offices are official resources, as are the goods and services purchased with those funds. Accordingly, among the resources that generally may not be used for campaign or political resources are congressional office equipment (including the computers, telephones and fax machines), office supplies (including official stationery and envelopes), and congressional staff time . . .
>
> Among the specific activities that clearly may **not** be undertaken in a congressional office or using House resources (including official staff time) are the solicitation of contributions; the drafting of campaign speeches, statements, press releases or literature; the completion of FEC reports; the creation of issuance of a campaign mailing; and the holding of a meeting on campaign business.[426]

There is no *de minimis* exception to the prohibition on using official resources for campaign or political purposes.[427] The *Ethics Manual* provides for some limited exceptions to the general prohibition.[428] For example, the individual in the congressional office who handles the Member's schedule may coordinate with those in the campaign office who schedule the Member's campaign appearances, but cannot make travel arrangements or coordinate other logistics for campaign

[423] 31 U.S.C. § 1301(a).
[424] 2 U.S.C. § 5341(a).
[425] *Members' Handbook.*
[426] *Ethics Manual* at 123-124 (emphasis in original).
[427] *Id.* at 126 (noting that a provision in the Members' Handbook which permits the incidental use of House equipment and supplies "only applies to incidental personal use of those resources, and **not** to their use for campaign or political purposes") (emphasis in original).
[428] *Id.* at 132-135.

events within the congressional office or while on official time.[429] In addition, a congressional office may provide a campaign office with publicly available materials, such as press releases and speeches; however, other materials in the congressional office files, such as back-up memoranda should not be shared with the campaign or used for campaign purposes and congressional staff should not do research or write speeches on behalf of the campaign while on official time or using official resources.[430] Apart from these and other limited exceptions, official resources cannot be used for campaign or political activities.[431]

House employees are permitted to do campaign work outside of congressional space, without the use of any House resources, and on their own time (as opposed to "official" time for which they are compensated by the House)."[432] According to the *Ethics Manual*, "[w]hat constitutes a staff member's 'own time' is determined by the personnel policies that are in place in the employing office. Time that is available to a staff member, under those policies, to engage in personal or other outside activities may instead be used to do campaign work, if the individual so chooses."[433] An employee's "free time," generally may include the employee's lunch period, time after the end of the business day, and annual leave.[434] The Committee's guidance recognizes, however, that "it is unrealistic to impose conventional work hours and rules on congressional employees" and the Committee takes a "flexible view" of official time to provide leeway to Members to adapt to the particular needs of the office.[435] Members must nonetheless respect the boundaries set up by the rules,[436] which include the general expectation of a full day's work for a full day's pay.[437] Additionally, the policies set by the office regarding office hours may not be enforced inconsistently in order to benefit a Member's campaign.[438]

The Committee "has long taken the position that each Member is responsible for assuring that the Member's employees are aware of and adhere to the rules, and for assuring that House resources are used for proper purposes."[439] The Committee has cautioned that "each Member

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[429] *Id.* at 133; Comm. on Ethics, *Campaign Activity Guidance*, at 13 (June 7, 2018) https://ethics.house.gov/sites/ethics.house.gov/files/FINAL%202018%20Campaign%20Activity%20Pink%20Sheet_0.pdf.
[430] *Ethics Manual* at 134.
[431] The remaining campaign-related activities that may take place in congressional office or using official time are (1) referrals to the campaign office, (2) providing published materials to the campaign, (3) responding to questionnaires on legislative issues, and (4) providing nonpartisan voter registration materials. *See id.* at 133-35.
[432] *Id.* at 126.
[433] *Id.* at 136.
[434] *Id.*
[435] Comm. on Ethics, *In the Matter of Allegations Relating to Rep. Cathy McMorris Rodgers,* H. Rept. 116-359, 116th Cong. 1st Sess. 38-40 (2019) (hereinafter *Rodgers*) *(quoting* House Comm. on Standards of Official Conduct, *Advisory Opinion No. 2* (July 11, 1973)).
[436] *Rodgers* at 89-90.
[437] *Ethics Manual* at 279.
[438] *Rodgers* at 40.
[439] *Id.* House Comm. on Standards of Official Conduct, *In the Matter of Rep. E.G. Bud Shuster*, H. Rep. 106-979, 106th Cong., 2d Sess. 31 (2000) (finding the Member liable for violations of prohibition on campaign work by official staff arising from lack of uniform leave policy and holding the Member accountable for improper use of official resources even though the Committee found "no direct evidence that [the Member] was aware that this activity was taking place"); House Comm. on Standards of Official Conduct, *Statement Regarding Complaints*

should be aware that he or she may be held responsible for any improper use of House resources that occurs in the Member's office."[440]

The misuse of official resources may implicate House Rule XXIII, clauses 1 and 2, which state that "[a] Member . . . of the House shall behave at all times in a manner that shall reflect creditably on the House," and "shall adhere to the spirit and the letter of the Rules of the House." The improper use of official resources for campaign purposes may also result in violations of clause 2 of the Code of Ethics for Government Service, which requires any person in government service to uphold the laws and regulations of the United States.

iii. Findings

Between 2011 and 2018, official resources and staff time were frequently used to support Representative Schweikert's campaign. Many of the instances of misuse of official resources that occurred in Representative Schweikert's office were textbook examples of prohibited conduct discussed in the *Ethics Manual*. For example, the individual responsible for maintaining Representative Schweikert's schedule not only coordinated Representative Schweikert's campaign schedule but reached out to individuals to schedule meetings, and helped planned logistics of campaign events, including coming up with lists of who had and had not attended campaign events, in contravention to *Ethics Manual*'s guidance that individuals with such responsibilities should limit their work to campaign coordination.[441] Another member of staff helped draft materials to be used in a campaign newsletter, despite clear guidance in the *Ethics Manual* that "[c]ongressional staff members should not do research on behalf of the campaign or write campaign speeches or other materials while on official time or using official resources."[442]

Moreover, Mr. Schwab regularly used House resources, including the congressional office space, to perform a variety of campaign-related activities that ranged from small administrative tasks such as stuffing envelopes, to more substantive matters such as drafting campaign materials, and spent a significant amount of time performing campaign work without meaningful oversight from Representative Schweikert or other efforts to ensure his time spent on campaign work was not time that he should have been spending on his official responsibilities. Although Representative Schweikert testified that he assumed Mr. Schwab "knows the rules,"[443] he did not appear to ever discuss what those specific rules were with Mr. Schwab, nor did he perform any

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Against Rep. Newt Gingrich, 101st Cong., 2d Sess. 60, 165-66 (1990) (finding the Member responsible for violations arising out of presence of political consultant in his office); House Comm. on Standards of Official Conduct, *In the Matter of Rep. Austin J. Murphy*, H. Rep. 100-485, 100th Cong., 1st Sess. 4 (1987) ("[A] Member must be held responsible to the House for assuring that resources provided in support of his official duties are applied to the proper purposes.") (hereinafter *Murphy*).

[440] *Ethics Manual* at 124.

[441] ISC Interview of Employee G at 92; *Ethics Manual* at 133.

[442] *Ethics Manual* at 134.

[443] ISC Interview of Representative Schweikert.

meaningful supervision over Mr. Schwab. The ISC was also unable to verify Mr. Schwab's claim that "taxpayers got more than a full day's work."[444]

According to the *Ethics Manual*, "each Member should be aware that he or she may be held responsible for any improper use of House resources that occurs in the Member's office."[445] The Committee has found Members liable for staff's conduct in many prior matters.[446] In determining Member liability for staff misconduct, the Committee distinguish between cases where a Member knew, or should have known, of improper conduct and instances where a Member reasonably believed that staff was acting properly or there was a "rogue employee."[447]

Representative Schweikert knew, or should have known, that official resources were being misused to support his campaign. As a preliminary matter, Representative Schweikert presided over a campaign operation that was heavily dependent on Mr. Schwab, who served as the campaign's primary fundraiser and campaign manager.[448] While the ISC recognizes that it is not uncommon for senior staff to also assist with their Members' campaigns, those staffers must still abide by the restrictions on the use of official resources for campaign purposes. The pressure Mr. Schwab felt to fundraise for the campaign further led him to "maximize the resources available" to him, including the congressional staff working under his supervision, to assist with campaign matters.[449]

The ISC also determined that Representative Schweikert participated directly in some instances of the misuse, by, among other things, receiving briefings in advance of campaign events within the congressional office,[450] and having ongoing discussions with Mr. Schwab about campaign-related matters within the congressional office.[451] Despite his denials to the contrary, the ISC determined, as another staff noted, that it would take "willful ignorance and negligence" for Representative Schweikert not to have been aware that either official resources were being use to benefit his campaign or that people were potentially doing campaign work in his House office.[452]

By engaging in the above conduct, particularly, by failing to adequately supervise his staff, Representative Schweikert failed to uphold the laws and regulations of the United States, including 31 U.S.C. § 1301 and other standards of conduct, including the implementing policies contained in the *Members' Handbook*, and was a party to their non-compliance, in violation of paragraph 2 of the Code of Ethics for Government Service. Representative Schweikert's actions, particularly his failure to adequately supervise staff, further reflected poorly on the institution of

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[444] ISC Interview of Oliver Schwab.
[445] *Ethics Manual* at 124.
[446] *See Murphy* ("[A] Member must be held responsible to the House for assuring that resources provided in support of his official duties are applied to the proper purposes.").
[447] *See Richardson* ("The ISC recognizes that misconduct in a Member office can range on a spectrum between subordinates following orders despite their wrongfulness, and 'rogue' agents acting outside the authority granted to them by a Member.")
[448] ISC Interview of Oliver Schwab; ISC interview of Employee G.
[449] ISC Interview of Oliver Schwab.
[450] ISC Interview of Employee C; ISC Interview of Employee B.
[451] ISC Interview of Oliver Schwab; ISC Interview of Employee C.
[452] ISC Interview of Employee G.

the House and, thereby, brought discredit upon the House, in violation of House Rule XXIII, clause 1.

The Committee sometimes resolves matters involving sporadic or minor misuses official resources for campaign purposes with a private direction to, e.g., repay the value of a few hours of misused staff time or the amount of misused MRA funds. While the misuse of official resources to benefit Representative Schweikert's campaign was not as severe as in other recent matters before the Committee that resulted in sanctions,[453] it was far from incidental or minor and could have been prevented with proper oversight of staff. In recognition of the substantial fine that Representative Schweikert has agreed to pay for the totality of violations, the ISC determined that no further payment to the Treasury for misused official resources was warranted.

3. *Findings Relating to Pressuring Staff to Perform Campaign Work*

i. Background

Mr. Schwab testified that he was routinely pressured by Representative Schweikert to perform campaign work, particularly campaign fundraising throughout his employment Representative Schweikert's congressional office.[454] According to Mr. Schwab, he was expected to prioritize campaign work over official work and Representative Schweikert instructed him on a "daily" basis to leave the congressional office and fundraise on his behalf.[455] Another staff member testified that she saw Representative Schweikert ask Mr. Schwab, as well as the Chief of Staff who replaced him, to leave the office and handle campaign work.[456]

There were some instances in which Mr. Schwab referenced fundraising pressure in emails to Representative Schweikert. For example, in April 2015, Mr. Schwab sent an email to Representative Schweikert and another official staff member noting: "I was able to move the ball rightly forward with the NRCC this week. Total outstanding due for 2015 is only $24,450 . . . Good work guys. The pressure is completely off to raise for the NRCC now."[457] In another instance, Mr. Schwab told Representative Schweikert he could not be the only staff member "proactively engaging support" in order to raise funds.[458] Mr. Schwab explained that his email was not intended to direct another staffer to raise funds, but indicated that the pressure to raise significant funds created a more general pressure for staff to "pull[] their weight."[459]

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[453] *See e.g., Rodgers; Richardson.*
[454] ISC Interview of Oliver Schwab.
[455] *Id.* According to Mr. Schwab, Representative Schweikert's campaign consultant also told him to leave the congressional office to fundraise, noting it "even got to the point where he would work to befriend the staff assistant of the district office to report on when I was there and when I wasn't." ISC Interview of Oliver Schwab; ISC Interview of Campaign Consultant (noting that he did not recall whether he kept tabs on Mr. Schwab's whereabouts).
[456] ISC Interview of Employee A.
[457] Exhibit 92.
[458] Exhibit 93.
[459] ISC Interview of Oliver Schwab.

The ISC received evidence that by the fall of 2016, Representative Schweikert was heavily pressuring Mr. Schwab to fundraise. Another staffer in the office testified:

> [Representative Schweikert had] been pushing Oliver really hard, you're going to raise me a million dollars, you're going to raise me a million dollars. This is really kind of post, you know, after August and, you know, David's opportunity to run against McCain was over. He's like, well, I want the next one, I want to be able to challenge Jeff Flake.
>
> And so, the comment over and over again was, you're going to raise me a million dollars, you're going to raise me a million dollars. David did complain to me on more than one occasion that he thought Oliver, at this point in time—this was the only time that I ever heard anything negative about Oliver was, like, Oliver needs to be working really hard on fundraising. Not that he's doing a bad job, just that needs to be, he needs to be fundraising, fundraising, fundraising all the time.[460]

Representative Schweikert, however, denied that he ever pressured Mr. Schwab to fundraise on his behalf or instructed him to leave the office to perform campaign work: "Saying go out and make fundraising phone calls? I don't think I did it that way. That doesn't mean he wasn't out making phone calls on my behalf." Instead, Representative Schweikert testified, "I don't think I would have had to say that. I think he almost—instinctually he would leave.[461]

The ISC did not receive evidence that Representative Schweikert directly pressured other staff to assist his campaign, but it did find instances in which Mr. Schwab made some staff members feel like they had to assist the campaign. According to Mr. Schwab, as a result of "the constant pressure to perform and raise funds," he felt like he had to "maximize the resources" available to him.[462] Mr. Schwab had an expectation that other members of the congressional staff would assist Representative Schweikert's campaign.[463] He also believed that Representative Schweikert's legislative staff was "exposed to the pressures that [he] was under," such that many "were eager to find ways that they might even be able to help."[464] The ISC did not receive evidence directly from any of the legislative staff that Mr. Schwab identified indicating that they felt compelled to assist with the campaign. However, for one of the staffers Mr. Schwab identified as "exposed to the pressures," Employee K, the ISC received evidence that during a discussion among personnel about her requested salary increase, Mr. Schwab raised concerns about her impact on

[460] ISC Interview of Employee B.
[461] ISC Interview of Representative Schweikert.
[462] ISC Interview of Oliver Schwab.
[463] *Id.*
[464] *Id.*

campaign fundraising.[465] Specifically, on January 2, 2012, Mr. Schwab emailed the then-Chief of Staff, about the Employee K's performance after she requested a raise:

> From a fundraising perspective- when I have double checked the work of everyone in the office, except Employee K, . . . I have been beyond impressed. That's very important to me as you know, but it also shows Employee K has a lot of room to grow and it's [sic] energy I am spending to backfill relations that could otherwise be filled.[466]

Mr. Schwab denied that this staffer's effect on fundraising ultimately factored into whether she received the raise she requested, but he testified that he continued to monitor the effect that congressional staff had on fundraising beyond 2012, stating: "that was something that would have been on my mind every single day in the job."[467] Mr. Schwab also encouraged Representative Schweikert's legislative staff to "connect" with companies that the campaign's fundraising consultant identified as solicitation targets.[468]

Some staffers who received requests from Mr. Schwab to assist the campaign felt like they had to comply with his requests given that it came from their supervisor. On June 11, 2014, Mr. Schwab sent a number of staffers an email titled "Office contacts, project this a.m." and asked:

> [C]ould everyone export their official contacts and send them over in a spreadsheet[?] I've done so from my account. These would be used for David's non-official DC based fundraising marketing events. Please only do this if you're comfortable, but I did want to ask as I'm updating mine now.[469]

One recipient of this email testified that, "as a staffer it does put you in a bind on whether or not you follow the directive from the chief or do something that you're uncomfortable with."[470] That staffer further explained that, while he believed he had a choice in whether to comply with Mr. Schwab's campaign-related requests, "the consequences of those choices on whether or not I said no, I think that would have put me in a tough position to say no."[471] Another staffer explained that she would have complied with the request because it came from her boss; had she not complied, "it would have been a follow-up conversation of why didn't you do this."[472] That staffer

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[465] Exhibit 94.
[466] *Id.*
[467] ISC Interview of Oliver Schwab.
[468] *See e.g.*, Exhibit 95. (Following an email from the fundraising consultant to congressional staff in which she stated, "Has anyone talked to the following [companies] lately! Trying to go after them for money!" Mr. Schwab told staff, "If either of you need, you're welcome to use my [Capitol Hill Club] membership this week. Don't know if there is anyone you're looking to connect with, but the card is in my upper left drawer. [Fundraising consultant], is there anyone you've chatted with who could use a little love?").
[469] Exhibit 96.
[470] ISC Interview of Employee C.
[471] *Id.*
[472] ISC Interview of Employee G. On June 18, 2014, Mr. Schwab directed Employee G to post non-official content on the campaign's social media. *See* Exhibit 97.

also complained about having to perform campaign-related work relating to scheduling and coordinating campaign events outside of working hours and without compensation.[473]

Employee E testified there was an "expectation" that congressional staff would be accessible to support the campaign as needed.[474] Although he was not sure whether the expectation stemmed from Representative Schweikert or Mr. Schwab, Employee E explained to OCE that Representative Schweikert "was certainly aware of it and allowed it to exist."[475] Employee E never explicitly raised concerns that what he was asked to do was inappropriate, because:

> [I]f there was a question about either my commitment to support David or my commitment to support Oliver in these efforts that it would have swayed how I was viewed in the office, and either my promotion potential, salary increases, or bring into question my need to continue to be continually employed in the office.[476]

The most explicit instance of Mr. Schwab pressuring official staff to assist with the campaign occurred with respect to the events that led to Employee B's departure from the congressional office. According to Employee B, in the fall of 2016, Mr. Schwab told him that if he wanted to stay employed in the congressional office, he would need to take a 40 percent pay cut and his performance would be judged on fundraising.[477] When asked if there was any truth to this allegation, Mr. Schwab said he could "certainly see how [Employee B] would've interpreted conversations to that respect,"[478] but noted there were "purely official" performance-related reasons that led Employee B's departure from the office and denied that there was any expectation that Employee B fundraise on behalf of Representative Schweikert's campaign.[479]

Around the time he had this conversation with Employee B, Mr. Schwab had informed Representative Schweikert that he could not be the only person at the staff level proactively engaging support in order to raise one million dollars for the next campaign election.[480] Shortly after receiving this email, Representative Schweikert asked Mr. Schwab if it would help to take Employee B to a fundraising seminar.[481]

Employee B testified that, even though Representative Schweikert told him directly that he did not have to worry about fundraising, he ultimately decided against telling the congressman

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[473] ISC Interview of Employee G; OCE Interview of Employee B (Second Referral, Exhibit 3) ("[T]here was a time that Employee G was complaining that she wasn't being fairly compensated by the campaign . . . just the amount of time that she had to spend off work, doing campaign-related work.").
[474] OCE Interview of Employee C (OCE's Second Referral, Exhibit 2); ISC Interview of Employee C.
[475] OCE Interview of Employee C (OCE's Second Referral, Exhibit 2).
[476] *Id.* at 14.
[477] ISC Interview of Employee B; ISC Interview of Oliver Schwab.
[478] ISC Interview of Oliver Schwab.
[479] *Id.*
[480] Exhibit 93. Employee B referenced a fundraising target in a memorandum he sent to Mr. Schwab after his meeting, in which he noted "[Representative Schweikert] has identified a primary goal of having $1[,000,000] in his war chest" and noted that his role in achieving this outcome included staffing the office's "ideas shop" and he also would work to identify and develop potential relationships. Exhibit 98.
[481] Exhibit 99.

about Mr. Schwab's ultimatum because he did not think Representative Schweikert would listen given Representative Schweikert's close relationship with Mr. Schwab.[482] Employee B advised the ISC that he chose not to take Mr. Schwab's offer and decided to leave the congressional office after Mr. Schwab indicated his performance would be judged on fundraising:

> [Mr. Schwab] basically said you're going to be in—going into fundraising events at 7 a.m. every morning, and you'll be staying on the Hill until 10:00 [p.m.] at night. I don't expect that that was what he actually though[t] would happen. I think he was just trying to make that option sound really bad. But it was enough of a threat that that was thrown off the table immediately. And so . . . [Mr. Schwab] is saying that [Representative Schweikert is] saying fundraise, fundraise, fundraise, fundraise, and I'm hearing [Representative Schweikert] saying to me, policy, policy, policy, policy, policy. And so, when I say 'unstable,' I mean, it kind of seemed like [Mr. Schwab] was going to crack under this dual—this whatever [Representative Schweikert] was trying to push.[483]

Notwithstanding the testimony from some staff that they felt pressured or that there was an expectation to assist Representative Schweikert's campaign, there were several other staffers who said they never felt pressured to perform campaign work or were unaware of other staff members being compelled to perform campaign work.[484]

ii. Relevant Laws, Rules, and Other Applicable Standards of Conduct

Federal law makes it a crime for a federal employee to secure through intimidation any "valuable thing for any political purpose" from another employee.[485] The Committee has noted that compelling an employee to do campaign work may violate that provision.[486] The *Ethics Manual* states that, if a Member or senior staff were to compel a House employee to do campaign work, it would "result in an impermissible official subsidy of the Member's campaign."[487] The Committee has further explained that the prohibition on compelling campaign work is "quite broad" and "[i]t forbids Members and senior staff from not only threatening or attempting to intimidate employees regarding doing campaign work, but also from directing or otherwise pressuring them to do such work."[488]

Compelling, intimidating, or pressuring staff to perform campaign work may violate House Rules, regulations, laws or other standards of conduct, including House Rule XXIII clauses 1 and 2, and paragraph 2 of the Code of Ethics for Government Service.

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[482] ISC Interview of Employee B.
[483] *Id.*
[484] ISC Interview of Employee A; ISC Interview of Employee F; ISC Interview of Employee I; ISC Interview of Employee H; OCE Interview of Employee D (OCE's Second Referral, Exhibit 5).
[485] 18 U.S.C. § 606.
[486] *Ethics Manual* at 136 n.17.
[487] *Id.* at 135-36.
[488] *Id.* at 136.

iii. Findings

After consideration of the evidence, the ISC found that, contrary to Representative Schweikert's statements, he regularly pressured Mr. Schwab to do campaign work, particularly campaign fundraising. In this regard, Mr. Schwab's testimony was aligned with that of Employee B, who testified Representative Schweikert was "pushing [Mr. Schwab] really hard" to fundraise and another staff member recalled instances in which Representative Schweikert instructed Mr. Schwab to leave the congressional office to attend to campaign related matters.[489] The ISC also received contemporaneous emails Mr. Schwab sent Representative Schweikert where he discussed his need to enlist other staff to help reach the campaign's fundraising goal or referenced a general pressure to fundraise.[490]

The ISC also received a range of testimony regarding other staff's experiences in the congressional office regarding campaign work. Many staff members said they felt no pressure at all to perform campaign work and that to the extent any campaign work was done, it was performed voluntarily.[491] For example, a staffer responsible for coordinating Representative Schweikert's schedule testified that she volunteered on her own time to help on campaign events and denied ever getting involved in handling campaign logistics; however her predecessor complained about having to perform campaign work outside of working hours and without compensation.[492] Two staff members did testify that they believed there was an expectation to assist the campaign,[493] and one of those staffers, Employee B, ultimately left the office after Mr. Schwab advised him that he would need to take a forty percent pay cut and have his performance judged on fundraising.[494] Employee B further testified that he did not inform Representative Schweikert of Mr. Schwab's ultimatum because he did not think Representative Schweikert would have listened.[495] While the ISC did not find that all staff were compelled to perform campaign work, nor did the ISC find that Representative Schweikert himself directly pressured any staff other than Mr. Schwab to perform campaign work, the fact remains that at least three other staff members who worked in Representative Schweikert's congressional official felt there was a requirement or expectation to assist with his campaign.

The ISC recognizes that Mr. Schwab made efforts to style his campaign-related requests as voluntary or optional by using terms such as "only do this if you're comfortable."[496] Nonetheless, those caveats did not allay some staffers' feeling of pressure. According to one staff member, the qualifier that staff should only do the task if they were comfortable was "only a "cover

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[489] ISC Interview of Employee B; ISC Interview of Employee A.
[490] *See e.g.*, Exhibit 93. These emails were not included in Representative Schweikert's document productions to the ISC.
[491] ISC Interview of Employee A; ISC Interview of Employee F; ISC Interview of Employee I; ISC Interview of Employee H; OCE Interview of Employee D (OCE's Second Referral, Exhibit 5).
[492] ISC Interview of Employee A; ISC Interview of Employee G; OCE Interview of Employee B (Second Referral, Exhibit 3).
[493] ISC Interview of Employee G; OCE Interview of Employee C (OCE's Second Referral, Exhibit 2); ISC Interview of Employee B.
[494] ISC interview of Employee B (noting that he was expected to staff events).
[495] *Id.*
[496] *See e.g.*, Exhibit 96.

your a**" note and still left the staffer feeling like she had to comply with his request, because if she had not, "there would have been a follow-up conversation of why didn't you do this."[497] Indeed, even though, in most cases, Mr. Schwab did not explicitly pressure staff to help with the campaign, his ongoing requests that staff help with campaign work put some in an untenable situation where they felt like they had to comply or risk adverse consequences.[498]

In a recent matter, the Committee cautioned Members that they wield "enormous influence over their staff," and should therefore take caution to ensure that when staff assist with their campaigns, they do not feel any pressure or expectation to do so.[499] Senior staff and other employees with supervisory responsibilities should also heed this guidance, as even requests for voluntary assistance, as Mr. Schwab's were styled, can take on a more coercive meaning when succeeded by follow-up questions of why the task was not performed.

The *Ethics Manual* cautions that the prohibition against compelled campaign work is "quite broad" and forbids "Members and senior staff from not only threatening or attempting to intimidate employees regarding doing campaign work, but also from directing or otherwise pressuring them to do such work."[500] The Committee has found that requiring a House employee to perform campaign work is a violation of House Rule XXIII, clause 1, as such behavior does not reflect creditably on the House, and clause 2, as failing to abide by the spirit and letter of House and Committee Rules.[501]

Although the instances of compelled campaign work in this matter often centered around Mr. Schwab's conduct and were not as egregious as prior matters in which the Member played a substantial and direct role in pressuring numerous staff to engage in campaign work,[502] they were, nevertheless, inconsistent with the Committee's prior guidance. Moreover, the Committee has found Members liable for the conduct of their staff when the Member knew or had reason to know of the improper conduct.[503]

The pressure to fundraise that Representative Schweikert placed on Mr. Schwab was not only inconsistent with the Committee's guidance, but it appears to have led to further violations by Mr. Schwab, as Mr. Schwab noted the pressure he was under contributed to his decision to "maximize the resources around him" to raise funds.[504] Mr. Schwab's conduct in seeking campaign assistance from staff was improper to the extent that his requests caused staff to feel pressured to assist the campaign. In addition, Employee B's testimony regarding the ultimatum he was given raises serious concerns that Mr. Schwab may have attempted to compel campaign

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[497] ISC Interview of Employee G.
[498] ISC Interview of Employee C; ISC Interview of Employee G.
[499] *Rodgers* at 46-47.
[500] *Ethics Manual* at 135-36.
[501] Comm. on Standards of Official Conduct, *In the Matter of Representative Barbara-Rose Collins*, H. Rept. 104-876, 104th Cong. 2d Sess. (Jan. 2, 1997) (hereinafter *Collins*); Comm. on Standards of Official Conduct, *In the Matter of Representative Jim Bates*, H. Rept. 101-293, 101st Cong. 1st Sess. (Oct. 18, 1989); *Richardson*.
[502] *Richardson*.
[503] *Richardson* at 97. ("Members are responsible for violations that occur in their office, and cannot shield themselves from liability by using staff as proxy for wrongdoing.").
[504] ISC Interview of Oliver Schwab.

work in violation of federal law. However, Mr. Schwab denied the allegations and the ISC did not find direct evidence that Representative Schweikert was aware of Mr. Schwab's alleged ultimatum.

Representative Schweikert's lax oversight over his congressional office, however, did not cultivate an environment where staff felt like they could bring concerns without retributions. Instead, at least some of the staff who felt that Mr. Schwab's requests were inappropriate believed Representative Schweikert would not listen or were concerned that such discussions would lead to broader questions of their commitment to Representative Schweikert and lead to adverse employment consequences.[505] Moreover, as discussed above, the ISC received substantial evidence that Representative Schweikert himself, both directly and indirectly, pressured Mr. Schwab to perform campaign work. While Mr. Schwab was an employee of the campaign, the emphasis Representative Schweikert appears to have placed on his fundraising efforts nonetheless implicated the prohibition on compelled campaign work. Mr. Schwab may have been an integral part of Representative Schweikert's campaign, as many chiefs of staff are, but that does not mean that a Member can require that whoever holds the role of a chief of staff to raise money for a political campaign. Due to pressure from Representative Schweikert, Mr. Schwab was under the impression that his congressional position was tied to his fundraising success, creating an impermissible official subsidy.[506]

For the reasons discussed above, the ISC determined that by engaging in the conduct described above, particularly by failing to adequately supervise his staff, Representative Schweikert did not act in a manner that reflected creditably on the House, in violation of House Rule XXIII, clause 1.

4. Findings Relating to Mixed-Purpose Trip

i. Background

Representative Schweikert's MRA was used to pay approximately $6,000 for a six-day trip Mr. Schwab took to Phoenix, Arizona from Wednesday, January 28, 2015, through Monday, February 2, 2015 (Phoenix trip), including 5 nights of lodging totaling $4,027.07.[507] Hotel and other travel-related costs were higher than normal on those dates because Phoenix was hosting the Super Bowl as well as the Phoenix Open golf event that same weekend. Mr. Schwab's trip to Arizona included some officially-connected work, including management of personnel issues in the district office.[508] Mr. Schwab also asserted that a dinner he attended over the weekend while

[505] OCE interview of Employee C (OCE's Second Referral, Exhibit 2); ISC Interview of Employee B.
[506] ISC Interview of Oliver Schwab ("Primary fundraiser, campaign manager, executive director. I would say the person who spent time going door to door, collecting petitions. I spent a lot of time doing volunteer management. There was a D.C. PAC component which needed constant energy and effort. And so, all of those would fall into the overall structure of being campaign manager. But then again that's what—that also was understood in my role to be his chief of staff.").
[507] Exhibit 100.
[508] Exhibit 101.

in the district was related to his official duties.[509] However, the primary purpose for going to Phoenix, as well as his travel on the two weekend days—including the day of the Super Bowl—was not officially-connected.

On January 24, 2015, Mr. Schwab emailed the financial administrator for Representative Schweikert's congressional office and another employee the following message regarding his Phoenix Trip:

> I wanted to give a heads up that my trip out next week will end up being about $4,000 for the hotel and about $1,000 for the rental car. Being Phoenix open and Superbowl weekend in Phoenix, I apologize for this -- but it's a priority for [Representative Schweikert] that I'm on the ground to help as we host events with the House Majority Leader, other visiting Members, and I've got those 2 personel [sic] issues we need to get out of the way.[510]

Despite Mr. Schwab's assertions, the financial administrator could not find any documentation of personnel changes involving district staff around this time.[511]

Mr. Schwab initially testified that the primary purpose of his trip was to perform "constituency activities," but later acknowledged that he had "always intended" to be in Arizona at this time so that Representative Schweikert could host a fundraiser at the Phoenix Open to raise his National Republican Campaign Committee dues.[512]

Mr. Schwab engaged in some official activities during the weekday portions the Phoenix trip, including attending to a personnel matter; however, the only official activity he could recall potentially performing during the weekend portion of the trip was an industry event on Saturday morning and attending a dinner.[513] The shared office calendar for this time period did not reflect Mr. Schwab engaging in any official activities.[514]

Mr. Schwab admitted to performing the following non-official activities during his Phoenix Trip: having meals with his mother, brother, and wife, who were also visiting Phoenix at the same time; and attending a theater production with his wife.[515] Mr. Schwab's credit card records for the same period also showed that he engaged in retail shopping during the day on Friday, January 30, 2015.[516] Mr. Schwab also attended a political event that Representative Schweikert co-hosted with

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[509] ISC Interview of Oliver Schwab.
[510] Exhibit 101.
[511] ISC Interview of Financial Administrator.
[512] ISC Interview of Oliver Schwab. Mr. Schwab also said that, even if the Phoenix Open had not taken place that weekend, he still would have made the trip, as the personnel matter "needed to be dealt with that Friday." *Id.*
[513] *Id.*
[514] Exhibit 102.
[515] ISC Interview of Oliver Schwab.
[516] Exhibit 103.

the majority leader.[517] Mr. Schwab testified that he did not attend the Super Bowl, though he did purchase tickets to the event for members of his family.[518]

Representative Schweikert testified that Mr. Schwab had autonomy over the use of MRA funds for travel and said he had "no idea" what the primary purpose of Mr. Schwab's Phoenix trip was. He further asserted: "all I can say is if he came to Arizona and use[d] the MRA, he better darn well have had official business. And I assume he did."[519]

On or about June 13, 2018, Mr. Schwab sent a check for $5,068 to the U.S. Treasury for expenses association with the Phoenix Trip as "repayment for travel/out of abundance of caution."[520] Mr. Schwab testified that this amount included costs associated with his flight, hotel and rental car.[521] He decided to reimburse the Treasury on his own initiative, following the publication of a news article questioning his spending, because, "[i]f, in a taxpayer-funded role, it's deemed that I misspent dollars, which was alleged in the paper, I'm happy to repay it."[522]

ii. Relevant Laws, Rules, and Other Standards of Conduct

The "Purpose Law," 31 U.S.C. § 1301(a), states that "[a]ppropriations shall be applied only to the objects for which the appropriations were made except as otherwise provided by law." 2 U.S.C. § 5341(a) states that "[t]here is established for the House of Representatives a single allowance, to be known as the 'Members' Representational Allowance', which shall be available to support the conduct of the official and representational duties of a Member of the House of Representatives with respect to the district from which the Member is elected."

MRA expenditures are reimbursable according to regulations contained in the *Members' Handbook*, which provides examples of items for which reimbursement may be permitted, as well as a list of prohibited expenditures.[523] Generally, the MRA "may only be used for official and representational expenses," and "may not be used to pay for any expenses related to activities or events that are primarily social in nature, personal expenses, campaign or political expenses, or House committee expenses."[524] The *Ethics Manual* states: "Members may be personally liable for misspent funds or expenditures exceeding the MRA."[525]

The *Ethics Manual* further explains that, with respect to mixed purpose trips:

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[517] ISC Interview of Representative Schweikert; ISC Interview of Oliver Schwab.
[518] ISC Interview of Oliver Schwab.
[519] ISC Interview of Representative Schweikert.
[520] On June 8, 2018, the Committee advised Mr. Schwab that refunding portions of this trip that were official could be a violation of House Rule XXIV, which provides that outside funds generally may not be used to pay the official expenses of a congressional office. Exhibit 3.
[521] ISC Interview of Oliver Schwab.
[522] *Id.*
[523] *Members' Handbook.*
[524] *Ethics Manual* at 323.
[525] *Id. See Members' Handbook (*"Each Member is personally responsible for the payments of any official and representational expenses incurred that exceed the provided MRA or that are incurred but are not reimbursable under these regulations.").

> [T]he Member, officer, or employee must determine the primary purpose of the trip. The source associated with that primary purpose – for example, a political committee for campaign or political activity, the federal government for official business, or the traveler's own funds for personal business – must pay for the airfare (or other long-distance transportation expense), and all other travel expenses incurred in accomplishing that purpose. Any additional meal, lodging, or other travel expenses that the Member or staff person incurs in serving a secondary purpose must be paid by the source associated with that secondary purpose. The determination of the primary purpose of a trip must be made in a reasonable manner, and one relevant factor in making that determination is the number of days to be devoted to each purpose. That is, often the primary purpose of a trip is the one to which the greater or greatest number of days is devoted.[526]

iii. Findings

The ISC determined that at least two days of Mr. Schwab's trip to Phoenix were primarily spent on non-official activities. Representative Schweikert's MRA should not have been used to fund those portions of his trip, as the *Ethics Manual* makes clear that, "any additional meal, lodging, or other expenses" incurred in serving a secondary purpose of a mixed purpose trip should be paid by the source associated with that secondary purpose.

While Members are free to delegate certain oversight responsibilities regarding travel expenditures to their staff, they should exercise caution and clearly communicate expectations regarding the proper use of MRA to the staff who are given such authority. Representative Schweikert, however, did not apparently, clearly communicate such expectations to Mr. Schwab, when providing him autonomy over the use of MRA for travel, he only "assume[d]" Mr. Schwab would only use the MRA for official purposes.[527] The risk of misuse due to such inattention was heightened when coupled with Mr. Schwab's substantial involvement with the campaign.

Members must reimburse the U.S. Treasury for impermissible disbursements from the MRA, even where the exact amount of such disbursements cannot be determined.[528] In this matter, the ISC could not precisely quantify the value of official resources used for Mr. Schwab's personal benefit or for political purposes, but because Mr. Schwab has already refunded the U.S. Treasury $5,068, to account for any misspent funds associated with his trip, no further steps are required.[529]

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[526] *Ethics Manual* at 116.

[527] ISC Interview of Representative Schweikert.

[528] Comm. on Ethics, *In the Matter of Allegations Relating to Representative Luis V. Gutiérrez*, H. Rept. 115-617, 115th Cong. 2d Sess. 28 (2018) (hereinafter *Gutiérrez*); Comm. on Ethics, *In the Matter of Allegations Relating to Representative Bobby L. Rush*, H. Rept. 115-618, 115th Cong. 2d Sess. 14-23 (2018).

[529] ISC Interview of Oliver Schwab. Although Members are ultimately liable for impermissible disbursements, the *Ethics Manual* also advises that any additional meals, lodging, or other travel expenses that the Member or staff person incurs in serving a secondary purpose must be paid by the source associated with that secondary purpose. *Ethics Manual* at 116. In this case, it was appropriate for Mr. Schwab to reimburse the portions of his trip that were connected to his personal activities.

The ISC determined that the misuse of Representative Schweikert's MRA to fully fund Mr. Schwab's mixed-purpose trip fell within the broader pattern of misuse of resources for non-official purposes that was facilitated by Representative Schweikert's failure to adequately supervise staff. Although the mixed-use trip on its own may not merit a finding of a violation of the Code of Official Conduct, the systemic misuse of official resources, led to the ISC's determination that Representative Schweikert failed to uphold the laws and regulations of the United States, including 31 U.S.C. § 1301 and other standards of conduct, including the implementing policies contained in the *Members' Handbook*, and was a party to their non-compliance, in violation of paragraph 2 of the Code of Ethics for Government Service and did not act in a manner that reflected creditably on the House, in violation of House Rule XXIII, clause 1.

5. Findings Related to Allegations of MRA Misuse

i. Background

The ISC also considered allegations that Representative Schweikert's MRA was misused, at Mr. Schwab's direction, for office supply purchases and a training program.

Representative Schweikert's MRA was used to pay $7,400 for Mr. Schwab to attend a Harvard Executive Education course titled "Leadership Decision Making: Optimizing Organizational Performance" from October 23 to October 28, 2016.[530] On October 29, 2016, Mr. Schwab asked the congressional office's financial administrator if he could be reimbursed for the training after learning from another public official who attended the event that that their employer had paid for them to attend.[531] Financial Administrator advised him that training may be paid by the House if it primarily benefits the office (rather than the individual), but noted that given the size of the training, it should have been budgeted beforehand.[532] Mr. Schwab was reimbursed for this training on December 30, 2016.[533]

Between 2011 and 2018, Mr. Schwab regularly received reimbursements from Representative Schweikert's MRA for office supply purchases. These purchases ranged from standing desks to cutting boards.[534] Over the course of eight years, Mr. Schwab was reimbursed by the MRA for over $50,000 worth of items, including food and beverage purchases ($3,847.24), office supplies ($29,821.43), and publications and reference material ($19,267.74).[535]

Representative Schweikert was not involved in the day-to-day management of his office operations, including management of his MRA, but he did generally inquire about the office's

[530] *See* Exhibit 104. The course included sessions on conflict resolution, ethics and decision making, and operational decision challenges. *Id.*
[531] Exhibit 105.
[532] Exhibit 106.
[533] Statement of Disbursements of the House, (Oct. 1, 2016 – Dec. 31, 2016), at 1704.
[534] Exhibit 107; Exhibit 108.
[535] Exhibit 121.

overall finances and instruct staff to stay under budget.[536] As one former staff member testified, Representative Schweikert "liked to stay above the day-to-day operations in his office. He didn't want to get down in the weeds on a lot of things, a lot of how things were produced and things like that. As long as it didn't blow back and touch him directly, he was really a hands-off Member in terms of day-to-day operations."[537]

Representative Schweikert testified that he was "shocked" to learn from a news article that Mr. Schwab was reimbursed by the MRA for tens of thousands of dollars' worth of office supplies.[538] However, he was generally aware of Mr. Schwab's office spending as it was occurring, as two former staff members recalled Representative Schweikert sometimes laughed or joked about Mr. Schwab's spending habits.[539] In addition, in 2014 or 2015, Representative Schweikert's campaign manager raised concerns with Representative Schweikert about the volume of reimbursements that Mr. Schwab was receiving from the congressional office.[540] Representative Schweikert said that he would look into the issue; however, the frequency and amounts of Mr. Schwab's reimbursements did not subside after that conversation.[541]

Representative Schweikert has now expressed concerns that Mr. Schwab's reimbursements may have been driven by a desire to accrue airline miles on his credit card.[542] Mr. Schwab, however, denied that he made purchases for the office that could be reimbursed through the MRA to benefit his credit card points or airline miles.[543] Some staff members also questioned the propriety of certain purchases Mr. Schwab made for the office. For example, Employee B testified that he argued with Mr. Schwab over a Dyson air fan that was bought for the office but which cost hundreds of dollars,[544] and Employee E said he believed that an electronic heating unit Mr. Schwab purchased was unnecessary.[545] The former financial administrator also testified that she raised

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[536] ISC Interview of Financial Administrator. On one occasion in 2016, Representative Schweikert asked his financial administrator to prepare a chart comparing the spending of his office to other congressional offices. *Id.* The ISC did not receive evidence indicating that the office ever went over its allotted budget. ISC Interview of Employee A; ISC Interview of Financial Administrator.

[537] OCE Interview of 2012 Campaign Manager (OCE's Second Referral, Exhibit 6); *see* ISC Interview of Employee H.

[538] ISC Interview of Representative Schweikert (referencing the Nov. 2, 2017 *Washington Examiner Article*).

[539] ISC Interview of Employee B; ISC Interview of Financial Administrator.

[540] ISC Interview of Campaign Consultant. Mr. Schwab also raised concerns with Representative Schweikert that Campaign Consultant was paid by the campaign and MRA for work that was not performed. According Representative Schweikert, Campaign Consultant and Mr. Schwab "would run hot and cold" and often "fussed at each other." ISC Interview of Representative Schweikert.

[541] ISC Interview of Campaign Consultant.

[542] ISC Interview of Representative Schweikert.

[543] ISC Interview of Oliver Schwab. Mr. Schwab also testified that Representative Schweikert requested that Mr. Schwab use his airline miles for campaign travel under the logic that the points had been accrued through credit card spending that had come as a result of reimbursements from the MRA or campaign. *Id.* Representative Schweikert generally denied making such comments. ISC Interview of Representative Schweikert. The ISC did find instances in which Mr. Schwab may have received duplicate reimbursements from the campaign and MRA for items used in the congressional office. Mr. Schwab testified that this was an unintended mistake. ISC Interview of Oliver Schwab.

[544] ISC Interview of Employee B; Exhibit 109.

[545] ISC Interview of Employee C.

issues with Mr. Schwab about purchasing items that could have been bought from the House supply store or obtained for free from the House.[546]

No staffer, however, testified that they believed Mr. Schwab was reimbursed for items that were not used in the office.[547] The ISC also received testimony and documents indicating that members of Representative Schweikert's staff frequently sought guidance from Committee on House Administration staff or House Finance in connection with Mr. Schwab's reimbursement requests.[548]

After the initiation of OCE's investigation into spending practices in his congressional office, Representative Schweikert enacted steps to limit the practice of staff receiving reimbursements for office supply purchases.[549]

ii. Relevant Laws, Rules, and Other Applicable Standards of Conduct

Federal law mandates that "[a]ppropriations shall be applied only to the objects for which the appropriations were made . . ." MRA expenditures are reimbursable according to regulations contained in the *Members' Handbook*, which provides examples of items for which reimbursement may be permitted, as well as a list of prohibited expenditures.[550]

The *Members' Handbook* provides:

> Ordinary and necessary expenses for Members or employees to attend vendor-sponsored conferences, seminars, briefings, professional training, and informational programs related to the official and representational duties to the district from which he or she is elected are reimbursable.

Reimbursements are not permissible for expenses "to attend educational programs in order to obtain a primary, secondary, graduate, postgraduate, or professional degree" or those associated "with acquiring or maintaining professional certification or licensing are not reimbursable, except for basic first-aid, CPR, or notary certifications."[551]

Regarding purchases for office items, the Members' Handbook explains that "[o]ffice supplies to support the conduct of the Member's official and representational duties are reimbursable."[552] Certain items, such as furniture, are not reimbursable for congressional offices based in Washington, D.C., and other items, such as paint require pre-approval prior being purchased.

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[546] ISC Interview of Financial Administrator.
[547] *See e.g.*, ISC Interview of Employee B; Interview of Employee A (noting she never had concerns that Mr. Schwab was spending too much on office supplies).
[548] *See e.g.*, Exhibit 110; ISC interview of Financial Administrator (noting that the House finance office can reject reimbursement requests).
[549] ISC Interview of Employee H; ISC Interview of Employee A.
[550] *Members' Handbook.*
[551] *Id.*
[552] *Id.*

iii. Findings

The ISC did not find substantial reason to believe that Representative Schweikert's MRA was misused to pay for a $7,400 training program Mr. Schwab attended. The *Members' Handbook* explicitly allows for expenditures connected to professional trainings as well as seminars and briefings, so long as the expenses are not incurred in connection with obtaining a professional degree or professional certification. Mr. Schwab maintained that the course was "100% better management and human resources decision making" and testified that it was not taken in connection with obtaining any advanced degrees or professional certifications.[553] The training curriculum, which included courses on operational decision challenges is consistent with Mr. Schwab's testimony. Accordingly, the ISC did not conclude that funds were misspent in connection with this program.

Although Mr. Schwab received a significant amount of reimbursements for office purchases from Representative Schweikert's MRA, the ISC did not receive evidence that the purchases were not used for the benefit of the congressional office or that the items were not used to support Representative Schweikert's representational duties. On the contrary, witnesses testified that while they may have questioned the volume of Mr. Schwab's purchases and certain items, they had no reason to believe that he used the items for his own personal benefit.[554] In addition, the ISC notes that members of Representative Schweikert's staff frequently corresponded with staff at the Committee on House Administration if they had questions about Mr. Schwab's reimbursement requests.[555] On this record, the ISC did not find substantial reason to believe that Representative Schweikert's MRA was misused in connection with office supply purchases. The ISC notes, however, that Representative Schweikert should have engaged in more proactive supervision of Mr. Schwab's spending in this context, as with the others areas discussed in this Report, particularly in light of the substantial sum of money that Mr. Schwab was spending and concerns that were raised directly with him about that sum.

6. Allegations that Representative Schweikert May Have Authorized an Improper "Severance" Payment to Employee B

i. Background

As discussed above, Employee B alleged that, in October 2016, Mr. Schwab offered him the option of staying in the office with a 40 percent pay cut while his performance would be judged on fundraising; according to Employee B, as an alternative, he could take a six-month severance package.[556] Employee B chose to accept the severance offer, which was originally intended to begin in January 2017.[557]

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[553] ISC Interview of Oliver Schwab; Exhibit 106.
[554] OCE Interview of Employee B (OCE's First Referrals, Exhibit 4); OCE Interview of 2012 Campaign Manager (OCE's Second Referral, Exhibit 6) ("I do not recall a specific time where I saw [Mr. Schwab] directly using MRA funds in a way that was inappropriate, illegal, or anything like that.").
[555] ISC Interview of Financial Administrator; ISC Interview of Employee A.
[556] ISC Interview of Employee B.
[557] OCE interview of Employee B (Second Referral, Exhibit 3).

Employee B testified that, in November 2016, Mr. Schwab informed Employee B that the office would not be able to honor the severance agreement and that his last day in the office would be January 3, 2017.[558] At that time Mr. Schwab stated that Employee B's presence in the office was causing "confusion" and that he did not want him to come in any more.[559] Employee B's last physical day in the office was November 21, 2016 and he was paid a full salary up until January 3, 2017.[560]

Despite instructing Employee B not to come into the office, Mr. Schwab made clear to Employee B and other staff that he expected him to be available perform official work as needed. On November 22, 2016, Mr. Schwab noted in an email to another staff member that, "as long as [Employee B] is on payroll," he would continue to forward outside requests to him.[561] On November 30, 2016, Mr. Schwab assured Employee B that he would continue to be compensated until January 3, 2017, but noted that it was still important that they "have an open line of communication" and that Employee B "document any official responsibilities . . . done in service to the office" as Employee B "continue[d] to be compensated by the office."[562] In response, Employee B thanked Mr. Schwab for committing to his compensation and advised that he would not seek additional compensation from the office after January 3, 2017.[563]

Employee B did perform some official tasks between November 21, 2016 and January 3, 2017. He originally estimated that he worked on an official project over the course of two or three days but then noted, "[i]t may have been longer."[564] Employee B also performed a series of other official tasks on an *ad hoc* basis, including corresponding with other legislative staff members and meeting with outside individuals,[565] as well as tasks related to his transition out of the office.[566] Between November 21, 2016 and January 3, 2017, Employee B also took a pre-planned two week vacation and the office was closed on Wednesday through Friday of Thanksgiving week and the week of Christmas.[567]

Mr. Schwab testified that he generally sought assistance from the Office of House Employment Counsel (OHEC) in connection with staff terminations, including Employee B's.[568] Mr. Schwab also consulted with the office's Financial Administrator regarding Employee B's

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[558] *Id.*
[559] *Id.*
[560] ISC Interview of Employee B; Exhibit 114.
[561] Exhibit 111.
[562] Exhibit 112.
[563] Exhibit 113.
[564] ISC Interview of Employee B.
[565] *Id.*; ISC Interview of Employee A ("From the best of my recollection [Employee B] was still sending emails in November and December."); *see e.g.* Exhibit 115 (Dec. 6, 2016 email from Employee B to staff regarding Trills and Long Term Bonds); Exhibit 116 (Nov. 29, 2016 email to Mr. Schwab attaching a memorandum Employee B prepared); Exhibit 117 (Nov. 30, 2016 email noting that he attended a meeting related to a project Representative Schweikert assigned).
[566] *See e.g.*, Exhibit 118.
[567] ISC interview of Employee B.
[568] ISC Interview of Oliver Schwab.

departure, and she was aware that Employee B was not going to be showing up to the office for several weeks.[569]

Representative Schweikert could not recall a time in which Employee B was kept on payroll while not coming into the office, but testified that Mr. Schwab informed him at the time that Employee B's departure was "approved by House personnel."[570] There was evidence that Mr. Schwab sought OHEC's assistance in prior employee separations, however, relevant OHEC records related to Employee B's separation, to the extent any existed, were not preserved by the congressional office. On May 21, 2019, Representative Schweikert's counsel informed the ISC that the congressman's staff contacted OHEC to request a "personnel file" for Employee B and that OHEC advised "they only provide guidance, and that they do not serve as repository for personnel documents."[571]

ii. Relevant Laws, Rules, and Other Applicable Standards of Conduct

Federal law requires that appropriated funds "be applied only to the objects for which the appropriations were made except as otherwise provided by law."[572] House Rule XXIII, clause 8 states "[a] Member . . . of the House may not retain an employee who does not perform duties for the offices of the employing authority commensurate with the compensation such employee receives." The Code of Ethics for Government Service further instructs every employee to "[g]ive a full day's labor for a full day's pay,"[573] and CHA regulations require employing Members to submit monthly salary certifications for their staff to ensure compliance with applicable regulations.[574]

House Rule XXIII, clause 8 aims to prevent fraud or misuse of the House payroll, particularly the use of "ghost employee" schemes. In such schemes, an employee is recorded on the payroll, but—with the Member's knowledge—does not perform official work equivalent to the earnings he or she collects. The "ghost employee" may be a real individual, or a fictitious person who is misrepresented on payroll records as a *bona fide* employee, whose wage or salary payments are then used for some impermissible purpose.[575] The Committee has found violations of the "ghost employee" rule in cases even where a Member did not profit or otherwise obtain a financial benefit from the misuse of official funds appropriated for staff compensation, but retained and paid

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[569] ISC Interview of Financial Administrator.
[570] ISC Interview of Representative Schweikert.
[571] Exhibit 119 (May 21, 2019 letter to ISC); *see* ISC Interview of Employee H ("I reached out to [OHEC], asking for documents in general. I was really surprised to learn that they don't really seem to keep them.")
[572] 31 U.S.C. § 1301(a); *see Ethics Manual* at 279.
[573] Code of Ethics for Government Service ¶ 3.
[574] *Members' Handbook. See also Ethics Manual* at 277.
[575] *See U.S. v. Robinson*, 903 F. Supp. 2d 766, 791 (E.D. Mo. 2012) ("[A]n employee who receives salary money for time in which he did not work or perform services is a 'ghost' employee who is not receiving bona fide salary or wages."); *U.S. v. Harloff*, 815 F. Supp. 618, 619 (W.D.N.Y. 1993) (citing *U.S. v. Stout*, 1990 U.S. Dist. LEXIS 12343) (E.D. Pa. 1990) (noting that 18 U.S.C. § 666(c) authorizes prosecution based on "ghost employees," or situations in which an employee "invents fictitious workers and collects their 'wages' for his/her own use.").

an employee when the Member knew the employee was not physically present to perform official work.[576]

iii. Findings

The ISC did not find substantial reason to believe that a House Rule XXIII, clause 8 violation occurred in connection with the office's payments to Employee B during a six-week period in which he did not physically come into the office. The record demonstrates that Employee B performed official work during that period and that the payments within that timeframe were not intended to serve as severance. Indeed, Mr. Schwab's communications with Employee B indicated that Employee B was expected to continue to work as long as he was compensated by the office.[577]

In more recent matters, the Committee has clarified that a lump sum payment of severance does not necessarily run afoul of House Rule XXIII, clause 8, but leaving an employee on House payroll when they are not working does. In the *Matter of Representative Mark Meadows*, the Committee found that Representative Meadows' payment of "severance," by keeping an employee on House payroll for two months without performing any work, violated the letter and spirit of House Rule XXIII, clause 8, in part because Representative Meadows sought no guidance from OHEC, the Committee, or any of the other appropriate source in determining the amount, terms, or conditions of the "severance," and because "Representative Meadows [] did not obtain anything of discernable value to the House in exchange for the 'severance' he paid."[578] In that matter, the Committee noted that the employee's pay, which lasted a two and a half month period, "was in no way *de minimis*."[579]

The Committee, however, has recognized that not all severance payments violate House Rule XXIII, clause 8.[580] In the *Matter of Elizabeth Esty*, the Committee found that she did not violate any House Rules in connection with a severance agreement because "Representative Esty paid a lump sum payment to [staffer], relying on the advice of OHEC, in exchange for his waiver of any legal claims and various additional commitments to ensure a smooth transition, such as writing an exit memo and surrendering all his passwords and equipment."[581] In reaching this

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[576] In *Murphy*, the Committee recommended, and the House voted to issue, a reprimand to Representative Murphy for various violations of law and House rules, including hiring and retaining an individual on his subcommittee staff who did not perform duties that commensurate with the compensation he received. In *Collins*, the Committee found Representative Collins violated House Rule XXIII, cl. 8, by providing several of her staff members with temporary salary raises that did not commensurate with official duties they performed. In *The Matter of Representative Charles H. Wilson*, the Committee found Representative Wilson hired a person whose salary was not commensurate with duties performed, in violation of XXIII, cl. 8, when he employed a friend and political supporter as a congressional staffer. Comm. on Standards of Official Conduct, *In the Matter of Representative Charles H. Wilson*, H. Rept. 96-930, 96th Cong. 2d. Sess. 2 (1980).
[577] Exhibit 112.
[578] Comm. on Ethics, *In the Matter of Allegations of Relating to Representative Mark Meadows*, H. Rept. 115-1042, 115th Cong. 2d. Sess. 35 (2018) (hereinafter *Meadows*).
[579] *Id.* at 36.
[580] *See* Comm. on Ethics, *In the Matter of Allegations of Relating to Representative Elizabeth Esty*, H. Rept. 115-1093, 115th Cong. 2d. Sess. 28-30 (2018).
[581] *Id.* at 30.

conclusion, the Committee noted that the question of the permissibility of severance under House Rule XXIII, clause 8 has been a longstanding subject of discussion and inconsistent guidance. [582]

The amount of time Employee B remained on payroll without performing official duties is minimal when accounting for the periods of time in which the office was closed for Thanksgiving and Christmas holiday periods, Employee B's pre-planned two-week vacation, and the time he actually spent performing official work.[583] In addition, the evidence does not indicate that the compensation Employee B received during this period was truly a "severance," as he continued to perform work and help with his transitioned out of the office. Moreover, the ISC received evidence indicating that Employee B's termination was, at a minimum, effectuated with the knowledge of the office's Financial Administrator and that Representative Schweikert believed it was done in consultation with OHEC. In light of these circumstances, the ISC declined to find a House Rule XXIII, clause 8 violation.

C. Findings Relating to Financial Disclosure Statements

i. Background

OCE's Second Referral detailed a number of alleged errors and omissions in Representative Schweikert's Financial Disclosures including: (1) the failure to disclose the existence and/or purchase of certain rental properties as well as liabilities associated with the properties; (2) discrepancies related to real estate income reported on his tax returns and financial disclosure statements; (3) the omission of bank accounts; and (4) the omission of certain credit card liabilities.[584]

In December 2017, Representative Schweikert retained Compliance Firm 2 to assist in reviewing issues related to his campaign's acceptance of outlays from members of his staff. As Compliance Firm 2's review was underway, their role was expanded to assist in reviewing and amending Representative Schweikert's financial disclosure reports.[585] On October 3, 2018, Representative Schweikert advised the Committee that, with respect to his financial disclosure statements, "Congressman Schweikert acknowledges that he inadvertently made some mistakes in his filings, and he is working diligently to correct those mistakes and amend the appropriate disclosure statements."[586]

Compliance Firm 2 undertook a thorough review of his prior financial disclosures. Following a document subpoena issued by the ISC, Compliance Firm 2 informed the ISC on June 7, 2019 that its work assisting with reviewing and amending Representative Schweikert's Financial Disclosure statements took "longer than expected, given the complexity of the information and the

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[582] *Id.* at 30 n. 215.
[583] Compare to *Meadows* at 36 (noting that employee's pay which lasted two and a half months period, during which time no work was performed, "was in no way *de minimis*").
[584] OCE's Second Referral at 34-41.
[585] Exhibit 120.
[586] Exhibit 91.

need to locate old documents, some of which we understand were destroyed in a flood."[587] At that time, Compliance Firm 2 provided the ISC with a detailed memorandum summarizing anticipated amendments to Representative Schweikert's Financial Statements as well as verification reports and underlying documentation in support of the amendments.[588] In September 2019, Representative Schweikert's prior Financial Disclosure statements were amended to address the omissions detailed in OCE's Second Referral and additional errors identified in the course of Compliance Firm 2's review.[589]

Representative Schweikert denied ever intentionally omitting or misreporting information in his Financial Disclosure statements.[590] The omissions in his Financial Disclosures were a byproduct of putting his life on "autopilot," which led him to copy information from year to year: "my sin was taking that financial disclosure saying I haven't changed anything, I haven't moved anything I've done, copy it and make that the next year."[591]

ii. Relevant Laws, Rules, and Other Applicable Standards of Conduct

Title I of the Ethics in Government Act (EIGA) requires annual financial disclosures by all senior federal personnel, including all Members of the House, candidates for the House, and senior House employees.[592] Members, officers, and certain employees must annually disclose personal financial interests, including investments, income, and liabilities.[593]

EIGA requires financial disclosure reports to include "[t]he identity and category of value of any interest in property held during the preceding calendar year in a trade or business, or for investment or the production of income, which has a fair market value which exceeds $1,000 as of the close of the preceding calendar year . . . ,"[594] "the identity and category of value of the total liabilities owed to any creditor . . . which exceed $10,000 at any time during the preceding calendar year. . .,"[595] and "a brief description, the date, and category of value of any purchase,

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[587] Exhibit 120.
[588] *Id.*
[589] *See* 2010-2017 Amended Financial Disclosures of Representative Schweikert, http://clerk.house.gov/public_disc/financial.aspx. *See also* Compliance Firm 2 Memorandum and Verification Reports on file with the ISC. Compliance Firm 2 noted that it maintained the asset valuation or income for Sheridan Holdings LLC reported on Representative Schweikert's original Financial Disclosure because it did not receive asset or income information. Representative Schweikert advised the ISC the income discrepancies between his tax statements and his Financial Disclosures were due to him offsetting income in his tax returns for expenses. He noted that in additional to rental income, his companies may have received commission payments on legacy leases. ISC Interview of Representative Schweikert. The ISC notes that there was additional gross income reported in Representative Schweikert's 2010 tax return, beyond the $10,988 amount reported by the OCE, that was attributable to Sheridan Equities. Tax and financial information on file with the ISC.
[590] ISC Interview of Representative Schweikert.
[591] *Id.* Representative Schweikert advised the ISC that he handed his Financial Disclosures to Mr. Schwab, who advised that he had someone else review it. Mr. Schwab advised the ISC that he was not aware of any information being omitted willfully from Representative Schweikert's Financial Disclosure statements. ISC Interview of Oliver Schwab.
[592] Ethics in Government Act of 1978, as amended, 5 U.S.C. app. 4 § 101 *et seq.*
[593] *Id.* at §§ 101-111.
[594] *Id.* § 102(a)(3).
[595] *Id.* § 102(a)(4).

sale or exchange during the preceding calendar year which exceeds $1,000- (A) in real property, other than property used solely as a personal residence of the reporting; (B) in stocks, bonds, commodities futures, and other forms of securities."[596] House Rule XXVI adopts Title I of EIGA as a rule of the House.[597]

Violations of the laws governing House Financial Disclosure Statements may implicate House Rule XXIII, clauses 1 and 2, which state, "[a] Member . . . of the House shall behave at all times in a manner that shall reflect creditably on the House," and "shall adhere to the spirit and the letter of the Rules of the House." If a filer knowingly and willfully falsifies or fails to file or to report any required information, the Committee may take appropriate action.[598] The EIGA also authorizes the Attorney General to seek a civil penalty.[599]

iii. Findings

Representative Schweikert's 2010 through 2017 Financial Disclosure statements omitted various information that should have been disclosed, including details related to his real-estate holdings, bank accounts, and liabilities. In some instances, he disclosed some but not all of his real estate holdings, or only disclosed certain bank accounts in some years but not others. Prior to being notified of all the alleged omissions by OCE in September 2018, he sought assistance from Compliance Firm 2 to address any errors. In September 2019, he amended his 2010 through 2017 Financial Disclosure Statements to not only address omissions identified by OCE, but make additional disclosures based on additional omissions that were discovered in the course of Compliance Firm 2's review.

Absent evidence that errors or omissions on financial disclosures are knowing and willful, the Committee's general practice is to notify the filer of the error and require that the filer submit an amendment.[600] Once the amendment is properly submitted, the Committee typically takes no further action.[601] As discussed above, Representative Schweikert amended his 2010 through 2017 Financial Disclosure statements in September 2019 following a thorough review of by Compliance Firm 2.

Representative Schweikert's amendments to his Financial Disclosures Statements are not uncommon. Between 20 percent and 30 percent of all Financial Disclosure Statements reviewed by the Committee contain errors or require a corrected statement. The ISC did not find evidence that Representative Schweikert's Financial Statements were knowing or willful. Moreover, his amendments, which not only addressed issues raised by OCE, but made additional disclosures, show his good faith effort to comply with disclosure requirements. Consistent with this precedent, the ISC determined that no further action is required with respect to Representative Schweikert's Financial Disclosure errors.

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[596] *Id.* § 102(a)(5).
[597] *See* House Rule XXVI.
[598] 5 U.S.C. app. 4 § 104(c); *Ethics Manual* at 265.
[599] 5 U.S.C. app. 4 § 104(a)(1); *Ethics Manual* at 265. Federal criminal law may also be implicated. 5 U.S.C. app. 4 § 104(a)(2).
[600] Comm. on Ethics Instruction Guide Financial Disclosure Statements and Periodic Transaction Reports (2018), at 8; *see Buchanan.*
[601] *Buchanan* at 5.

D. Lack of Candor and Diligence During the Investigation

i. Background

At the outset of this matter, Representative Schweikert advised the Committee that he intended to cooperate in order to reach an expeditious resolution. To that end, he made multiple submissions of written answers and produced thousands of documents in response to the ISC's requests for information, and encouraged witnesses to cooperate and offered to pay costs (and has paid costs) for staffers to engage an attorney of their own choosing and made himself available for an interview.

While these steps were appreciated and consistent with the general efforts all Members are expected to make in response to the Committee's investigations, the ISC did not believe that Representative Schweikert treated the allegations of misconduct raised by OCE's Referral with sufficient seriousness. His actions instead suggested that he was more concerned with bringing the matter to a close as quickly as possible, while not inclined to actually familiarize himself with all of the allegations or take proactive steps to remedy prior FEC reporting errors.

As a preliminary matter, some of Representative Schweikert's responses to OCE's requests for information were misleading. Rather than produce documents, he directed the OCE to FEC reports even though the information within the reports was inaccurate, as he knew or had reason to know.[602] Following the receipt of OCE's Second Referral, he offered the Committee vague assurances that he was working with the FEC to correct reporting errors, while not specifically addressing what the specific reporting errors were, and not actually taking meaningful action to address many of the errors raised in OCE's referral. Notably, Representative Schweikert did not advise the FEC of certain reporting errors until January 27, 2020, a year and a half after OCE inquired about those errors. On that date, Representative Schweikert's counsel advised the FEC that he had discovered potential reporting violations "in the course of the ongoing congressional investigation," seeming to suggest that the errors had just been uncovered, and that they were making the disclosure in an effort to be fully transparent, even though the errors were "well beyond FECA's 5-year statute of limitations."[603] Yet Representative Schweikert's own dilatory response ensured the statute of limitations had passed.[604]

Although Representative Schweikert produced over 16,003 pages of documents to the ISC in response to its requests for information, he took over a year to produce those documents. The ISC raised concerns about the level of his cooperation in May 2019, when it advised his counsel:

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[602] In addition, through counsel, Representative Schweikert called allegations in a blog entry that questioned whether MPB funds were being used for his campaign "unfounded," when he knew that he had obtained a line of credit from MPB in support of his campaign.

[603] Exhibit 8.

[604] Some of the reporting errors detailed in OCE's Second Referral were within the applicable five-year limitations period. For example, at the time Representative Schweikert received OCE's Second Referral, five years had not elapsed since (1) the filing of his September 2013 memorandum that inaccurately stated that the December 25, 2011 $100,000 loan had been forgiven and (2) the MPB line of credit obtained in support of his campaign was not repaid until February 2015.

> Although you have informed us that Representative Schweikert intends to fully cooperate with the ISC's investigation, the pace of his response to the RFIs does not reflect such an intent. You have also noted your client's desire for this matter to be resolved expeditiously, but these delays impede the ISC's ability to do so.[605]

The ISC further advised that, while it is not uncommon for respondents to experience production delays due to technological issues or the need to review a significant volume of documents, the extent of Representative Schweikert's delays had gone "beyond what is reasonable."[606]

The ISC accommodated Representative Schweikert's request that his interview be conducted as early as practicable and agreed to move-up his interview by two-weeks. During his interview, however, Representative Schweikert was ill-prepared to address many of the allegations under review. During some parts of his testimony, he appeared to be completely unfamiliar with some of the reporting allegations,[607] and at other times he made statements that could not be reconciled with the evidence.

For example, Representative Schweikert began his testimony by noting, "[r]ember, I'm the person who's never asked for a reimbursement," and provided a lengthy response to a question regarding whether congressional staff bought him food, by noting, among other things: "but you don't understand how absurd that question is, I never even asked for reimbursement, so if I was money-oriented and worried about, I would be billing every little Uber and taxi, and this, and that. I have never, ever requested reimbursement."[608] The ISC obtained testimony from multiple staff members that Representative Schweikert was, in fact, money conscious, and it obtained records showing that he sought reimbursements, including a reimbursement for over $20,000 in home security expenses from his campaign.[609]

Representative Schweikert also denied that members of his congressional staff ever babysat his child in the office, paid for babysitters on his behalf, took his clothes to be dry cleaned, or were stuck with a bill for a staff dinner after Representative Schweikert left the restaurant without paying.[610] As explained previously, the ISC's record demonstrates that, contrary to his testimony, this conduct did occur.

ii. Relevant Laws, Rules, and Other Applicable Standards of Conduct

Article I, Section 5 of the Constitution grants each chamber of Congress the power to "punish its Members for disorderly Behaviour, and, with the Concurrence of two thirds, expel a Member." The Committee is designated by House rule as the body which conducts the

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[605] Exhibit 122.
[606] *Id.* In addition to these delays, Representative Schweikert's overall document productions omitted numerous relevant records, including email communications he was copied on relating to staff's performance of campaign work. *See supra* n. 414.
[607] ISC Interview of Representative Schweikert.
[608] *Id.*
[609] ISC Interview of 2012 Campaign Manager; Exhibit 32.
[610] ISC Interview of Representative Schweikert; *see supra* Section III(a)(7).

investigative and adjudicatory functions which usually precede a vote by the full House regarding such punishment or expulsion.

House Rule XXIII, clause 1, provides that Members are required to act at all times in a manner that reflects creditably on the House.

iii. Findings

Every Respondent is entitled to mount a defense when confronted with allegations of misconduct, but the Ethics Committee is not an adversarial courtroom and Members owe a duty of candor to the House and the public. Public office is a public trust, and as part of that public trust, public officials should take seriously allegations that threaten the integrity of the institution and seek to be forthright and cooperative with the body designated to review such allegations. Representative Schweikert's delays and deficiencies in responding to requests for information by the ISC, as well as in informing the FEC of reporting errors, were inconsistent with the level of diligence Members should exercise when faced with allegations of unethical conduct. It is the nature of a self-regulatory body to strive to collegially review allegations of misconduct and, accordingly, the Committee's longstanding practice is to seek voluntary cooperation from respondents. When that cooperation is less than fulsome, that threatens to undermine the foundations of that self-regulation.

The Committee has previously explained that "the support of the Members, officers and employees of the House for the work of this committee is crucial to its continuing effectiveness."[611] As such, the public's trust in the integrity of the House is at risk when a respondent demonstrates "such little respect for the internal discipline of the House that [the respondent] would attempt to evade its questioning, rather than submitting to the fact gathering process in good faith."[612] When a Member's actions undermine the efforts of the Committee and its subcommittees to investigate the allegations brought before it, such actions do not reflect creditably on the House.[613]

The ISC found Representative Schweikert's conduct during its investigation troubling. He did not always provide candid responses to the ISC's questions, and he made repeated assurances that he had taken steps to correct reporting errors, when in fact he had not, and then appeared to be unfamiliar with some of those errors when he was asked about them during his testimony. The ISC was struck by how little Representative Schweikert seemed to appreciate the severity of the allegations raised in OCE's Second Referral in particular.

In his response, Representative Schweikert maintains that his own misleading testimony is the product of the ISC's "questioning strategy" and takes issue with the fact that the ISC did not disclose information it had in its possession to refresh his recollection when he was making

[611] Comm. on Standards of Official Conduct, *Korean Influence Investigation*, H. Rept. 95-1817, 95th Cong. 2d Sess. 122 (1978).
[612] *See Richardson* at 95.
[613] The Committee found that a Member violated House Rule XXIII, cl. 1 when the Member advised two material witnesses that the creation of a document was not an improper use of official resources and then only produced the document to the Committee in response to the Committee's third request for information. Comm. on Ethics, *In the Matter of Allegations Relating to Representative Judy Chu*, H. Rept. 113-665, 113th Cong. 2d Sess. 10-11 (2014).

statements that were not supported by the evidence.[614] As a preliminary matter, many of Representative Schweikert's statements were not in response to any questions posed by ISC counsel but his own affirmative attempts to get ahead of the evidence by making blanket statements that were not entirely forthright. As is common in any investigation, Representative Schweikert was questioned about his own independent recollection; he was not simply asked to corroborate the testimony of others.

The ISC recognizes that memories are not always perfect and does not expect any witness to speculate or guess if they do not know the answer to a question posed. All the ISC sought was honest and candid testimony from Representative Schweikert, and if he did not recall or know the answer to a question posed, he was free to state that he did not recall or did not know. Rather than do that, however, he sought, proactively, to portray himself in a light that was not supported by the evidence and now attempts to blame the ISC for his own problematic testimony.

The ISC's finding with respect to lack of candor, however, was not based solely on a few memory lapses, but based on numerous blanket statements that the Member has never and would never take certain actions, despite testimony and documentation from multiple witnesses to the contrary, and a failure to address substantial campaign finance reporting allegations for over a year, despite the ISC's repeated requests in that regard.

Moreover, the ISC recognizes that Representative Schweikert did make substantial efforts to cooperate, and it did not find that Representative Schweikert actively intended to lie or mislead the ISC or obstruct its investigation. Representative Schweikert also points to the money his campaign spent reviewing and producing documents to excuse any issues with his level of cooperation. The ISC appreciates that he committed significant resources to document review; however, there is no number of pages produced or dollars spent on lawyers that can substitute for actually acknowledging and providing candid responses to specific allegations of unethical conduct. Representative Schweikert has ultimately accepted responsibility for his conduct, and the ISC has factored that acceptance into its determination that the lowest level House sanction is appropriate in this matter. Nonetheless, Representative Schweikert's overall lack of candor and diligence in connection with the investigation compromised the ISC's ability to investigate the allegations and did not reflect creditably on the House. Therefore, the ISC determined that Representative Schweikert violated House Rule XXIII, clause 1, in connection with such conduct.

IV. RECOMMENDATION FOR SANCTION OF REPRESENTATIVE SCHWEIKERT

In investigating alleged violations by a Member of the House, the Committee is charged with making recommendations for final disposition of such investigations to the House as it may consider appropriate in the circumstances.[615] This includes recommendations for sanctioning Members. The Committee's rules state that "[w]ith respect to the sanctions that the Committee may recommend, reprimand is appropriate for serious violations, censure is appropriate for more

[614] Appendix E.
[615] House Rule XI, cl. 3(a).

serious violations, and expulsion of a Member . . . is appropriate for the most serious violations."[616] Not all "serious" violations result in a sanction recommendation to the House; the Committee can, and frequently does, issue its own reproval in lieu of making a recommendation to the full body. There are many reasons why the Committee might address violations with reproval rather than submitting a resolution to the House. For one, the Committee's rules generally provide for a House-level sanction after an investigative subcommittee has been impaneled and a Statement of Alleged Violation has been adopted; most of the matters resolved through reproval are never sent to an investigative subcommittee. In some instances, a subcommittee was established but no Statement of Alleged Violation was adopted, such as matters where the Member's violations were found to be unintentional,[617] and where the Member took "swift action" to bring himself into compliance with applicable rules.[618]

The Committee has recognized, however, that some matters call for "more severe action" than reproval, particularly where misconduct is "profound and pervasive."[619] In the *Richardson* matter, the investigative subcommittee determined that the Member's misuse of House resources and compelled campaign work, as well as her failure to act with complete candor during the investigation, merited a reprimand and a $10,000 fine. In this matter, the ISC also found misuse of resources, compelled campaign work, and insufficient candor; and while those particular violations were not as flagrant as in the *Richardson* matter, they also represented only a fraction of the total misconduct.

The ISC believes a House-level sanction is required in this matter. In determining that a Committee-level reproval would be insufficient, the ISC considered that this matter contains many component violations that on their own have historically merited at minimum a Committee reproval, and a stronger response is therefore warranted in light of the aggregation of misconduct. For example, the Committee has reproved Members where it has found they failed to define and communicate boundaries leading to "inadvertent misuses of MRA funds,"[620] "failed to comprehend the importance of setting boundaries and limits . . . and thus did not take the proper precautions to avoid improper interactions or the appearance of impropriety,"[621] and failed to adequately supervise staff's use of both official and campaign resources.[622] In another matter, the Committee determined reproval was appropriate where a Member's campaign made loans following discussions with the FEC, which were properly disclosed to the FEC, but were nonetheless found to be inconsistent with House rules regarding personal use of campaign funds.[623] In that instance, the Committee noted that there was "no evidence of any improper intent" on the

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[616] Committee Rule 24(g).
[617] Comm. on Ethics, *In the Matter of Allegations Related to Representative Ed Whitfield*, H. Rept. 114-687. 114th Cong. 2d Sess. 4 (2016) (hereinafter *Whitfield*).
[618] Comm. on Ethics, *In the Matter of Allegations Relating to Representative Don Young*, H. Rept. 113-487, 113th Cong. 2d Sess. 4 (2014).
[619] *Richardson* at 98.
[620] *Gutiérrez* at 32.
[621] *Whitfield* at 8.
[622] *See Rodgers.*
[623] *See* House Comm. on Standards of Official Conduct, *In the Matter of Representative Richard H. Stallings*, H. Rept. 100-382, 100th Cong. 1st Sess. 5-6 (1987) (hereinafter *Stallings*).

part of the Member, and that the Member took corrective action "as soon as he became aware of his oversight."[624]

When viewed as a whole, Representative Schweikert's conduct is more egregious than that of the Members before him who have been reproved. While the ISC did not find evidence indicating that Representative Schweikert was aware of the full extent of the misconduct, it would not be fair to characterize the sum of the violations as merely unintentional or inadvertent. Moreover, while Representative Schweikert did fail to exercise adequate supervision, the larger picture was one of something more than mere mismanagement or inattention. The ISC found that Representative Schweikert's conduct was, at best, a product of willful ignorance and an abdication of responsibility.

The Committee has a long history of recommending reprimands of Members in cases involving serious failures to make disclosures required by law.[625] Consistent with that history, the ISC has voted to recommend the Committee reprimand Representative Schweikert. The ISC considered whether Representative Schweikert should instead be censured, as the Committee previously recommended censure based on the "cumulative nature" of violations on a "continuous and prolonged basis."[626] The ISC ultimately agreed to recommend a lesser sanction, due in large part to the congressman's willingness to accept responsibility and agreement to pay a substantial monetary fine.[627] Although Representative Schweikert has made efforts to remediate many of the violations that led to misappropriated official and campaign funds, some of the most concerning conduct—particularly the misreporting in connection with Representative Schweikert's loans around the time of his 2012 congressional election—is past the point of remediation. The ISC believes a significant monetary fine is fitting in light of the significant though not fully quantifiable benefits that Representative Schweikert's campaigns received as a result of much of the misconduct discussed above.

Based on the sum of the violations, the ISC recommends that Representative Schweikert be reprimanded and directed to pay a fine of $50,000.[628] Representative Schweikert has agreed to accept this sanction recommendation. As discussed further below, it is the ISC's sincere hope that this matter will serve as a cautionary tale for all House Members, and the ISC believes this sanction is warranted to effectively convey that message to the whole body.

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[624] *Id.*

[625] Comm. on Standards of Official Conduct, *In the Matter of Representative John J. McFall*, H. Rept. 95-1742, 95th Cong. 2d Sess. (Oct. 6, 1978) (reprimanding the Member for failure to report receiving a $3,000 campaign contribution); Comm. on Standards of Official Conduct, *In the Matter of Representative Charles H. Wilson*, H. Rept. 95-1741, 95th Cong. 2d Sess. at 1 (Oct. 6, 1978) (reprimanding the Member for false disclosures in response to the Committee's investigative questionnaire); Comm. on Standards of Official Conduct, *In the Matter of a Complaint Against Representative Robert L. F. Sikes*, H. Rept. 94-1364, 94th Cong. 2d Sess. at 4 (July 23, 1976) (reprimanding the Member for failure to report stock ownership and conflict of interest violations).

[626] Comm. on Standards of Official Conduct, *In the Matter of Representative Charles B. Rangel*, H. Rept. 111-661, 111th Cong. 2d Sess. 2 (2010).

[627] Although one circumstance in which a fine is appropriate is where "it is likely that the violation was committed to secure a personal financial benefit," Committee Rule 24(g), the Committee has also imposed a fine on a Member as part of a negotiated resolution involving misused resources, even where a personal financial motive was not central to the misconduct. *See Richardson*.

[628] To the extent Committee accepts this recommendation, the ISC further recommends that Representative Schweikert be required to pay the fine no later than October 30, 2020.

V. ADVISORY RECOMMENDATIONS

Many of the pitfalls Representative Schweikert succumbed to could have been avoided with proper oversight. It is the ISC's belief that all Members of the House, particularly incoming new Members, would benefit from a stronger emphasis on their oversight obligations, and therefore takes this opportunity to recommend several advisory actions to the Committee.

The ISC acknowledges that the demands of maintaining a congressional campaign make it unreasonable to expect candidates to review every campaign-related transaction themselves to ensure its compliance with applicable FEC rules and regulations. It is, accordingly, reasonable to rely on professionals to help ensure compliance. It is important, however, to ensure that those professionals receive the information necessary, such as requested access to campaign bank accounts, to ensure that filings are accurate.

Reliance on professionals, however, does not absolve Members from all oversight responsibility, especially when Members are made aware of concerns about conduct within their campaign operation or congressional offices. Members and candidates have an obligation to investigate and take remedial measures to correct violations and abuses, and to create safeguards to ensure those errors are not repeated. The ISC found that Representative Schweikert was made aware of FEC reporting errors and, through his inaction, allowed those reporting errors to continue for years into subsequent reporting cycles. Likewise, the ISC found that Representative Schweikert was made aware of improper campaign spending and, through his inaction, allowed additional campaign finance violations to occur. While Representative Schweikert may attempt to lay the blame with others, his own failure to provide basic oversight, and to take corrective action when issues were raised, helped facilitate additional violations and warrants a reprimand by the House of Representatives and a $50,000 fine.

Members and candidates have resources available to help them accomplish their oversight obligations. The Committee provides the *Ethics Manual* as an "educational resource" to assist Members, officers, and staff "in conforming their conduct to the high ethical standards they must meet."[629] The Committee updates and expands upon the materials in the *Ethics Manual* through the issuance of advisory memoranda on numerous topics, ranging from cryptocurrencies to campaign activity. Committee staff is also available to answer questions as they arise, conducts required trainings for new Members and employees, issues advisory opinions upon request, and provides in-person trainings for Members or their staff upon request. The Committee also publishes reports of its investigations which detail when and why the Committee finds violations of laws or other standards of conduct. The resources offered to Members and candidates can help them avoid Representative Schweikert's mistakes.

The ISC is aware, however, of the large influx of information that Members receive, particularly when they first become a Member of the House. By providing more specific and emphatic guidance on some of the ethical obligations implicated by this matter, the House may be able to prevent more matters like this one.

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[629] *Ethics Manual* at Preface.

To that end, the ISC recommends the Committee (1) incorporate this matter as a case study in New Member Orientation training, and (2) issue an advisory memorandum to the House community (known as a "Pink Sheet") regarding several of the topics raised in this matter. The ISC recommends the Committee consider the follow topics and best practices for such a Pink Sheet:

- ***Supervision***: Members and candidates who employ a hands-off management style should exercise caution when delegating significant responsibilities to another individual; not only should they take reasonable steps to ensure the individual to whom they delegate such responsibilities is familiar with relevant rules, they should clearly communicate their expectations and seek to confirm—rather than assume—the individual is following those expectations. It is not unusual for Members to place a significant amount of trust in such individuals, but Members must take care to cultivate an environment where other staff are able to raise concerns directly with the Member, including concerns regarding the individual to whom the Member delegated significant responsibilities. Members should also take care not to allow personal feelings to overshadow professional boundaries and responsibilities; concerns regarding individuals to whom Members have entrusted significant responsibilities should be treated with the utmost seriousness.

- ***Outlays by Congressional Staff***: Given the "absolute" prohibition on staff making non-travel outlays and contributions, Members should take proactive steps to ensure their congressional staffers do not make impermissible outlays or contributions on behalf of their campaign. Such steps may include ensuring the campaign treasurer and staff are aware of the prohibition against staff from making such expenditures and obtaining a campaign committee credit or debit card for use by congressional staff who assist the campaign. Based on the lack of the familiarity with this restriction that the ISC encountered during its review, the ISC believes this area in particular is one where the House community would benefit from a refresher on the prohibition.

- ***Campaign Treasurers, Filings, and Recordkeeping***: Members should exercise care when selecting an individual to serve as campaign treasurer. If a Member chooses to use family members and/or friends who lack the training or time to ensure FEC compliance, the Member should take additional steps to address their campaign committee's compliance obligations. Members also must be mindful that House Rule XXIII, clause 6(b), imposes a verification requirement which is separate from, and in addition to, the recordkeeping requirements imposed by the FEC.

- ***Office Manuals and Policies***: To the extent a congressional office issues handbooks or manuals to staff, such materials should generally conform to the practices actually employed in the office or risk causing confusion or undermining relevant rules and standards of conduct. To the extent a Member's office allows staff to engage in campaign work during official hours, the office should have measures in place to ensure staff is still putting in a full day's work, such as having a system for tracking the time an employee spends working for the campaign and/or making up time, while also

ensuring that such arrangement does not interfere with the functioning of the official office.

The ISC further hopes that this report will serve as a guide for Members, candidates, and the House community regarding applicable rules and expectations.

VI. CONCLUSION

During his interview with the ISC, Representative Schweikert attempted to portray himself as a good person who was deceived or let down by others around him, repeatedly referring to his reliance on those individuals as his "sins":

> [T]hat is my sin for thinking we had hired a professional compliance firm to watch things and someone who'd been . . . supposed to be a star as a chief of staff . . . .[630]
>
> I guess I made the sin of thinking I had good people.[631]
>
> The sin here was having given so much autonomy to the campaign manager, Mr. Schwab, or the consultant . . . .[632]
>
> [M]y greatest sin may be my arrogance of thinking I was one of the good guys.[633]

Representative Schweikert's primary ethical failing, however, was not that he thought he was a "good guy" or that he delegated authority to staffers, consultants, or other professionals that failed to do their jobs properly. It was that he abdicated all responsibility for ensuring they did their jobs properly or enabling them to do so. Representative Schweikert came closer to the mark one other time during his testimony:

> And this may be my sin, I didn't ask. I just -- I assumed. I didn't even assume. I didn't think about it.[634]

When running for or heading a public office, it is not acceptable to not even think about the ethical obligations that must be followed. Members cannot bury their head in the sand and claim innocence when rules and laws are violated around them; as government officials, they are charged with upholding the laws and regulations of the country, and as such, they have a duty to

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[630] ISC Interview of Representative Schweikert (stating why he believed he had been taken advantage of).
[631] *Id.* (when asked why he didn't seek to understand what expenses were being charged to the campaign and how).
[632] *Id.* (when explaining that a poll his campaign paid for to explore a Senate run was based on "options that others had in their hopes for [him] to think about").
[633] *Id.* (in his opening statement to the ISC, explaining, "I thought I had been so incredibly careful in not touching things, let other people do that . . . .").
[634] *Id.* (when asked whether he received any guidance as to whether the MPB loan needed to be disclosed).

take steps to ensure the offices working under their supervision are in compliance with those laws and regulations.

The ISC further notes that this was not a matter solely about a wayward chief of staff or inattentive compliance firm. Some of the most serious allegations had nothing to do with the chief of staff or the compliance firm and were instead the result of direct conduct by Representative Schweikert and his spouse. With respect to those allegations in particular, including the $100,000 loan that was reported but never made and several additional campaign finance reporting violations, the ISC found Representative Schweikert endeavored to keep his head in the sand through most of the ISC's investigation, rather than approach the matter with the level of diligence and candor that he should have. The ISC acknowledges that Representative Schweikert did make substantial efforts to cooperate, particularly with respect to the allegations where he felt he was "taken advantage of," but believes that, as evidenced by his misplaced notion that his "greatest sin" was thinking he was a "good guy," Representative Schweikert did not treat the allegations before the ISC with sufficient seriousness.

Representative Schweikert has now accepted responsibility for the violations found by the ISC and agreed to accept a robust sanction and waive further procedural steps to bring this matter to a close. The ISC commends him for this, as well as the remedial steps he took at the outset of the review. It is the ISC's hope that not only will Representative Schweikert have learned that he has an obligation to "think about" the ethical issues discussed in this Report, but that all Members will take such a lesson from his experience.

APPENDIX A

STATEMENT OF ALLEGED VIOLATIONS

For each of the following alleged violations, the Investigative Subcommittee (ISC) has determined there is "substantial reason to believe that a violation of the Code of Official Conduct, or of a law, rule, regulation, or other standard of conduct applicable to the performance of the official duties or the discharge of official responsibilities by a Member, officer, or employee of the House of Representatives has occurred." *See* Rule 19(f), Rules of the Committee on Ethics. The ISC has been duly authorized by the Committee on Ethics to investigate allegations involving Representative David Schweikert (Respondent).[1]

1. On January 30, 2009, Respondent became a candidate in the 2010 election to serve as U.S. House Representative for Arizona's 5th congressional district.

2. Respondent won his 2010 election and has been a Member of the United States House of Representatives since 2011, representing Arizona's 5th congressional district from 2011 to 2012, and Arizona's 6th congressional district from 2013 to the present.

I. STATEMENT OF FACTS IN SUPPORT OF ALLEGED VIOLATIONS

a. Relevant Individuals and Entities

3. Since his 2010 campaign, Respondent has had the following authorized campaign committees and campaign treasurers, with each campaign committee having served as the designated principal campaign committee for at least one congressional election cycle:

Authorized Campaign Committee Names	Designated as Principal Campaign Committee	Treasurer(s) as reported in Statement of Organization Filed with Federal Election Commission (FEC)
David Schweikert for Congress	2010 election	Joyce Schweikert (October 24, 2007-May 29, 2013) Treasurer D (May 29, 2013-June 9, 2017) Treasurer C (June 9, 2017-December 20, 2017) Treasurer E (December 20, 2017-April 13, 2018) Treasurer B (April 13, 2018-present)
Schweikert for Congress *(terminated in October 2013)*	2012 election	Treasurer A (January 20, 2011-January 13, 2012) Treasurer B (January 13, 2012-May 29, 2013) Treasurer D (May 29, 2013-October 11, 2013)
Friends of David Schweikert	2014-2020 elections	Treasurer D (January 10, 2013-June 9, 2017) Treasurer C (June 9, 2017-December 20, 2017) Treasurer E (December 20, 2017-April 13, 2018) Treasurer B (April 13, 2018-present)

[1] Pursuant to Committee Rule 18(d), the Committee unanimously voted to determine that the allegations referred to the Investigative Subcommittee relating to conduct that occurred prior to the 113th Congress are directly related to allegations relating to conduct that occurred in the 113th Congress and subsequent Congresses.

4. Richard Oliver Schwab, Jr. served as Respondent's campaign manager from 2010 until early 2012 and resumed a management role over Respondent's campaign between January 2013 until July 2018.

5. Mr. Schwab served in Respondent's congressional office as Chief of Staff from January 2011 to June 2012, Senior Adviser from September 2012 to May 2013, and Chief of Staff from May 2013 to July 2018.

6. 2012 Campaign Manager served as Respondent's campaign manager between May and August 2012 and assisted the campaign in a volunteer capacity between August 2012 and October 2013.

7. 2012 Campaign Manager served in Respondent's congressional office as a Staff Assistant from January 2012 to April 2012, and District Deputy Director from May 2012 and August 2012 to October 2013.

8. Campaign Consultant is the sole owner and operator of Blue Point LLC, Constituent Communications LLC, and Trailhead Strategic Communications LLC, among other entities.

9. Campaign Consultant, individually and/or through companies owned and operated by him, served as Respondent's campaign consultant, and previously provided campaign consulting services during the 2008, 2010, 2012, 2014, and 2018 elections.

10. Campaign Consultant has provided mail services to Respondent's congressional campaigns during the 2008, 2010, 2012, 2014, 2016, 2018, and 2020 elections.

11. Campaign Consultant has provided mail services to Respondent's congressional office through companies owned and operated by him between 2011 and 2014 and in 2016.

12. Sheridan Equities LLC and Sheridan Equities Holdings LLC are real estate businesses incorporated in Arizona and owned by Respondent.

13. Joyce Schweikert is Respondent's spouse.

14. Mrs. Schweikert served as treasurer for David Schweikert for Congress from approximately October 24, 2007 to May 29, 2013.

15. During this time period, Mrs. Schweikert handled the finances for the David Schweikert Congress and Schweikert for Congress.

16. In addition, Mrs. Schweikert:
    - had access to and control over the David Schweikert for Congress and Schweikert for Congress bank accounts,

- performed campaign bookkeeping functions for David Schweikert for Congress and Schweikert for Congress,
- approved some and reviewed all David Schweikert for Congress and Schweikert for Congress campaign expenditures in consultation with Representative Schweikert, and
- prepared David Schweikert for Congress and Schweikert for Congress FEC reports.

b. Campaign Finance Violations

17. From at least July 2010 through at least December 2017, Respondent and/or his campaign committees violated federal campaign finance laws and regulations by: (1) erroneously disclosing or failing to disclose at least $305,000 in loans made or obtained for the benefit of his congressional campaigns; (2) not reporting at least $25,000 in disbursements made by David Schweikert for Congress and Schweikert for Congress (3) not reporting more than $140,000 in contributions received by David Schweikert for Congress; and (4) falsely reporting more than $100,000 of campaign expenditures. Due to its age, most, if not all, of this activity may now fall outside of the FEC's jurisdiction because it did not occur within the applicable five-year statute of limitations, but separate rules apply for the timeframe of Committee investigations.

18. The treasurers for Schweikert for Congress had online access to the campaign bank account or reviewed bank statements periodically to reconcile the receipts, but Respondent's spouse maintained primary control of the campaign committees' bank accounts until at least early 2013; during that time, Respondent's campaign committees failed to disclose tens to hundreds of thousands of dollars in contributions and disbursements that were made with campaign funds, falsely reported making disbursements with campaign funds that did not occur, failed to follow FEC regulations regarding proper disclosure of loans and loan repayments, and reported receiving a $100,000 loan from the candidate that did not exist.

19. After campaign staffers raised concerns about apparent reporting errors by Mrs. Schweikert, she was replaced as treasurer for the campaign and a professional compliance firm was hired, but that compliance firm was never given access to the bank accounts managed by Mrs. Schweikert and instead a new campaign committee was organized and new accounts created.

20. As a result, the professional compliance firm continued to file inaccurate or incomplete reports with the FEC.

21. In at least two instances, Respondent facilitated these reporting errors by failing to provide information to his campaign treasurers and/or compliance professionals, leading them to file the inaccurate reports with the FEC.

22. In at least one other case, Respondent learned of false information in reports after they were filed with the FEC, yet no amendments were filed or other corrective actions taken to fix the errors for years after learning of the errors.

23. In 2018, the Office of Congressional Ethics (OCE) opened a review into a number of alleged violations, including allegations relating to errors and omissions in Respondent's FEC reports, and reported finding substantial reason to believe various loans, repayments, contributions, and expenditures were not disclosed consistent with applicable laws and regulations.

24. Respondent insisted publicly that the allegations referred by OCE related to "clerical mistakes," but did not provide specific responses to requests from the ISC for almost a year about those mistakes and the steps taken to address those mistakes.

25. Between January 2011 and July 2018, Respondent's campaign committees routinely accepted contributions, frequently in the form of outlays, from Mr. Schwab, totaling hundreds of thousands of dollars, in violation of applicable laws and rules that prohibit members of congressional staff from making contributions to their employing Members' campaigns. There were also limited instances of small outlays by other members of Respondent's congressional staff that were later reimbursed by the campaign.

26. These advances from Respondent's Chief of Staff resulted in Respondent's campaign reporting inflated quarterly financial figures and enabled the campaign to make campaign expenditures – including, in at least one instance, a personal loan repayment to Respondent and Mrs. Schweikert (the Schweikerts) – that it would not otherwise have had the liquidity to make.

27. After allegations were first raised about his campaign finances, Respondent hired Compliance Firm 2 to investigate campaign disbursements to Mr. Schwab and other members of his congressional staff, but Compliance Firm 2 did not review the other FEC reporting allegations raised by OCE.

28. To date, Respondent cannot account for several receipts and disbursements by his campaign committees that were not reported to the FEC, as well as disbursements by Schweikert for Congress that were reported to the FEC but had not been made.

1. Improper Disclosures of Campaign Loans

i. December 25, 2011 $100,000 Personal Loan

29. On January 31, 2012, the Schweikert for Congress campaign committee disclosed in its 2011 Year-End Report that Respondent had loaned it $100,000 on December 25, 2011, when in fact this loan had not been made to the campaign. The loan continued to be reported on filings with the FEC through October 12, 2013.

30. Representative Schweikert testified that in late December 2011, he intended to apply for a $100,000 line of credit that he anticipated using as a personal loan to his campaign. Mrs. Schweikert entered a $100,000 personal loan from Representative Schweikert into the Schweikert for Congress's financial tracking software used to generate the committee's FEC

disclosure reports. Ultimately, the loan was never made. The loan entry in the campaign's financial tracking software, however, was not removed and a $100,000 loan entry was included in Schweikert for Congress's reports to the FEC, beginning with the 2011 Year End Report.

31. Respondent knew that the $100,000 loan had not been made to Schweikert for Congress at the time the 2011 Year-End Report was filed with the FEC.

32. The Schweikerts did not tell Respondent's campaign treasurers or other individuals who worked on preparing or reviewing the 2011 Year-End Report, or any ensuing FEC reports that referenced this loan, that the $100,000 loan had not been made.

33. The falsely reported $100,000 loan inflated Respondent's overall campaign cash totals.

34. The day after the loan was reported, Respondent's Campaign Consultant commented in a news article, that the campaign reached near its finance goals going into Respondent's 2012 primary election.

35. The treasurer of Schweikert for Congress on the date the loan was alleged to have been made, Treasurer A, was not aware that the loan was not made. Treasurer A was a campaign volunteer who Respondent had asked to serve as the official treasurer after he was elected to Congress; however, from the start of Treasurer A's involvement as campaign treasurer in January 2011, her role was largely limited to disclosure of campaign contributions, while Mrs. Schweikert retained full responsibility for handling the reporting of the campaign committee's expenditures and loans. As part of her role as treasurer, Treasurer A has access to the committee's FEC reporting software, had online access to the Committee's bank account, and reconciled the committee's bank statements with deposits prior to filing a disclosure report.

36. When asked whether she ever had concern about being the responsible party for filing forms on behalf of the campaign Treasurer A testified that she was "concerned" because "there was a huge learning curve and it was a very stressful job" and because she had limited knowledge of the campaign's finances on the expenditure side, which was primarily overseen by Mrs. Schweikert. But she also testified that she had a "great deal of confidence in Joyce's ability."

37. Around the same time the loan was reportedly made, to Schweikert for Congress, Treasurer A was replaced. Treasurer A testified that she intended to stay on as treasurer at that time, but Mr. Schwab informed her at that time that she was no longer treasurer. Mr. Schwab gave her no reason for the change.

38. Treasurer B began to transition into the treasurer role in December 2011. Like her predecessor, Treasurer B had access to the committee's FEC reporting software and reviewed committee bank statements. On December 20, 2011, five days prior to when Respondent's campaign reported that the $100,000 loan was made, Treasurer B told a personal friend, via email, that she had just become "Schweikert's campaign treasurer," and explained, "Joyce [Schweikert] doesn't want to sign since she's spouse and the current treasurer [Treasurer A] has some

trepidation about being the responsible party." Treasurer B, who had previously worked with Respondent's real estate business, had no prior campaign treasurer experience and told her friend she would need "a crash course on campaign finance law."

39. On January 13, 2012, Schweikert for Congress filed an amended Statement of Organization, reflecting Treasurer B's replacement of Treasurer A as campaign treasurer.

40. On January 31, 2012, Treasurer B signed the Schweikert for Congress 2011 Year-End Report, which was the first disclosure of the $100,000 loan. Like Treasurer A, Treasurer B was not aware that the $100,000 loan had not been made and was not involved in inputting the information into the filing software.

41. By early 2013, a professional compliance firm, Compliance Firm 1, was hired to assist the campaign committees after concerns were raised by campaign staff about apparent errors in the campaign finance reports. An employee of that compliance firm, Treasurer D, replaced Treasurer A as campaign treasurer in May 2013. Like her predecessors, Treasurer D was not aware and was never informed that the $100,000 personal loan had not actually been made.

42. Neither Respondent nor Mrs. Schweikert recall ever informing Treasurer A, Treasurer B, or Treasurer D that the December 2011 $100,000 personal loan had been falsely reported.

43. Schweikert for Congress falsely reported the existence of the $100,000 loan to the FEC in the following FEC reports:

Date	Record Transmitted to the FEC
1/31/12	Schweikert for Congress 2011 Year-End Report
4/15/12	Schweikert for Congress April 2012 Quarterly Report
7/15/12	Schweikert for Congress July 2012 Quarterly Report
8/16/12	Schweikert for Congress Pre-Primary 2012 Report
9/6/12	Schweikert for Congress July 2012 Amended Quarterly Report
10/15/12	Schweikert for Congress October 2012 Quarterly Report
10/25/12	Schweikert for Congress Pre-General 2012 Report
12/6/12	Schweikert for Congress Post-General 2012 Report
1/20/13	Schweikert for Congress Post-General 2012 Amended Report
1/31/13	Schweikert for Congress 2012 Year-End Report
4/14/13	Schweikert for Congress April 2013 Quarterly Report
7/15/13	Schweikert for Congress July 2013 Quarterly Report
10/12/13	Memorandum from Respondent to Campaign Treasurer
10/11/13	Schweikert for Congress October 2013 Termination Report

44. Mrs. Schweikert and Respondent continued to seek repayment of reported loans in communications with Treasurer D and other campaign staffers. Respondent was also involved in establishing a plan for payback of the loans that had been reported.

45. In early 2013, as Compliance Firm 1 became involved with the campaign's compliance efforts, Mrs. Schweikert was working to wind down and close the accounts for previous campaign committees and sought reimbursement of outstanding personal loans by requesting that payments from cash on hand remaining in the previous campaign committees' accounts be moved to her and Respondent's personal bank accounts. In the same period of time, emails indicate Mrs. Schweikert and Respondent were applying for a house loan.

46. On August 10, 2013, Mrs. Schweikert emailed Treasurer D, and stated, "David and I have decided to settle some of the remaining debt on the 2012 committee" and asked Treasurer D to "release the $100,000 as settled" and close the Schweikert for Congress committee.

47. On October 11, 2013, Schweikert for Congress filed a termination report stating, among other things, that the December 25, 2011, $100,000 loan had been forgiven.

48. On October 12, 2013, Schweikert for Congress filed with the FEC a September 30, 2013, memorandum from Respondent to Treasurer D in which he stated: "Please be advised that as of September 30, 2013, I have forgiven the outstanding $100,000 loan, incurred on December 25, 2011, from my personal funds to the Schweikert for Congress Committee 2012 Primary Election."

49. On November 5, 2013, the FEC accepted the Schweikert for Congress termination report and allowed for the Schweikert for Congress campaign committee to be terminated.

50. On April 11, 2018, OCE initiated a preliminary review into allegations involving Respondent and sent him a request for, *inter alia*, "[a]ll documents or communications related to any personal loans or contributions [Respondent] made to Schweikert for Congress in 2011-2012, including but not limited to, all documents or communications evidencing the source of those loans or contributions." Respondent did not cooperate with OCE's request.

51. On June 8, 2018, the Committee informed Respondent that, if he was aware of any inaccurate reporting on required disclosures previously filed with the FEC, those inaccuracies should be promptly and accurately corrected. At that time, the Committee encouraged Representative Schweikert to make any appropriate *sua sponte* submission to the FEC relating to the matters under review.

52. On September 5, 2018, the Committee transmitted to Respondent the Report and Findings of OCE which stated, *inter alia*, that OCE "found irregularities regarding a $100,000.00 personal loan from Rep. Schweikert, which was disclosed by Schweikert for Congress in a 2011 Year End FEC Report. Specifically, the OCE could not identify any campaign bank statements showing that the campaign actually received the $100,000.00." On that date, the Committee provided Representative Schweikert with the opportunity to respond, in writing, to OCE's Report and Findings.

53. On October 3, 2018, Respondent, through his counsel, provided a response to OCE's referral,

but did not address the irregularities identified by OCE with respect to the reported $100,000 loan. Respondent's counsel told the Committee that counsel had "engaged with FEC staff to work cooperatively to resolve any issues," and that Respondent had been working to cure "inadvertent errors and discrepancies."

54. For over a year, Respondent and his counsel did not engage with any FEC staff regarding the irregularities with the reported $100,000 loan.

55. Respondent informed the ISC that the $100,000 loan was improperly reported on January 6, 2020, when his counsel advised the ISC: "Based on our review, it appears that, due to administrative error, this loan may have been inadvertently reported as having been made (and forgiven) when it was never in fact made." Respondent's admission to the ISC followed multiple follow-up requests from the ISC that he identify errors and omissions in his FEC filings, culminating in a second request for information from the ISC in December 2019 that specifically directed Respondent to state whether the December 25, 2011, $100,000 loan had been deposited into the Schweikert for Congress bank account.

56. Respondent engaged with FEC staff regarding this improper disclosure on January 27, 2020. On that date, his counsel emailed FEC staff: "it appears that this loan was never made and should not have been disclosed on the committee's reports."

57. Respondent has reported making an additional six personal loans to his campaign totaling $630,000 and received regular disbursements from his campaign to fully repay him for those loans between 2013 and 2018. When asked whether any other loans the campaign paid him back were "inadvertently reported," Respondent testified, "I don't believe so."

ii. Failure to Disclose 2010 Metro Phoenix Bank Loan

58. On or about July 19, 2010, Respondent, his spouse, Joyce Schweikert, and Sheridan Equities LLC applied for a $75,000 line of credit from Metro Phoenix Bank for the purpose of obtaining funds to support Mr. Schweikert's 2010 campaign.

59. Metro Phoenix Bank is a Federal Deposit Insurance Corporation (FDIC) Insured State-Chartered Bank.

60. On or about July 30, 2010, the Schweikerts and Sheridan Equities LLC obtained a $75,000 revolving line of credit from Metro Phoenix Bank.

61. The $75,000 line of credit was secured by three rental properties owned by Sheridan Equities LLC.

62. Funds from the line of credit were used to pay for campaign-related purposes on:
    - August 2, 2010 ($24,589.80 wire to Anthem Media Inc.)
    - August 2, 2010 ($11,557 cashier's check payable to Blue Point LLC)
    - August 4, 2010 ($26,000 cashier's check payable to Blue Point LLC)

63. The funds disbursed from the line of credit were reported as expenditures by David Schweikert for Congress on:
    - July 30, 2010 ($24,589.80 to Anthem Media LLC for "TV & Radio")
    - August 2, 2010 ($11,557 to Blue Point LLC for "Mail Design and Printing")
    - August 4, 2010 ($26,000 to Blue Point LLC for "Mail Design and Printing")

64. Respondent and Mrs. Schweikert personally repaid the August 2010 draws made on the line of credit; no campaign funds were used to repay the draws.

65. On or about February 2, 2011, the Schweikerts and Sheridan Equities requested that the line of credit be increased by $79,000 for non-campaign-related purposes.

66. On or about February 14, 2011, the Schweikerts and Sheridan Equities obtained a $79,000 increase to the line of credit from Metro Phoenix Bank, for a total value of $154,000. The increased line of credit was secured by an additional rental property owned by Sheridan Equities LLC.

67. Additional terms were added to the Metro Phoenix Bank line of credit between March 2013 and October 2014.

68. The Schweikerts made repayments to the line of credit on a monthly basis from October 2010 to February 2015.

69. On or about February 6, 2015, the line of credit was repaid in full.

70. Between July 30, 2010, the time the line of credit was obtained, and February 6, 2015, the date by which the line of credit was repaid, David Schweikert for Congress failed to disclose: the existence of the line of credit obtained and used in support of Respondent's 2010 campaign; the modifications to the line of the credit that occurred between 2011 and 2014; and all repayments of the line of credit through February 6, 2015. The in-kind payments for the campaign expenses funded with the draws from the line of credit were not reported as loans on the David Schweikert for Congress FEC disclosure reports.

71. Respondent did not inform Mrs. Schweikert's successor as treasurer of David Schweikert for Congress, Treasurer D, or any other compliance professionals supporting his campaign committees, that he had previously secured a line of credit from Metro Phoenix Bank in support of his campaign or that the line of credit remained open through February 2015.

72. Mrs. Schweikert was a signatory on the loan documents and was treasurer of David Schweikert for Congress at the time funds from the line of credit were used by that campaign committee. Mrs. Schweikert failed to report the line of credit on appropriate FEC filings or inform the subsequent campaign treasurer or other compliance professionals involved with Respondent's campaign of its existence.

73. The Schweikerts did not believe the line of credit needed to be disclosed to the FEC.

74. On April 11, 2018, the Office of Congressional Ethics (OCE) initiated a preliminary review into allegations involving Respondent and sent him a request for, *inter alia*, "[a]ll documents or communications associated with any lines of credit or loans that you or any business you are associated with received from Metro Phoenix Bank."

75. Respondent did not cooperate with OCE's request.

76. On June 8, 2018, the Committee informed Respondent that if he was aware of any inaccurate reporting on required disclosures previously filed with the FEC, those inaccuracies should be promptly and accurately corrected.

77. At that time, the Committee encouraged Respondent to make any appropriate *sua sponte* submission to the FEC relating to the matters under review.

78. On September 5, 2018, the Committee transmitted to Respondent the Report and Findings of OCE which alleged, *inter alia*, that the $75,000 Metro Phoenix Bank line of credit was improperly omitted from his principal campaign committee's FEC filings between 2010 and 2015. On that date, the Committee provided Respondent with the opportunity to respond, in writing, to OCE's Report and Findings.

79. On October 3, 2018, Respondent responded:

> [r]egarding the allegations that the Congressman may have omitted information from his [FEC] candidate committee reports, . . . the Congressman has been working to cure any such inadvertent errors or discrepancies for several months. A new FEC compliance firm was retained at the end of 2017, and the Congressman's counsel has engaged with FEC staff to work cooperatively to resolve any issues.

80. Respondent did not work to cure or engage with any FEC staff regarding the omission of the $75,000 MPB loan from Respondent's campaign committee reports at that time.

81. On December 21, 2018, the ISC requested that Respondent identify any errors or omissions in his FEC reports. Respondent did not address the line of credit omission in his response.

82. Respondent did not inform the ISC that his campaign failed to disclose this Metro Phoenix line of credit for more than a year after assuring the ISC that he intended to cooperate and was working to promptly address errors and omissions raised by OCE.

83. The ISC made multiple follow-up requests that Respondent identify errors and omissions in his FEC filings, culminating in a second request for information from the ISC that specifically

directed Respondent to state whether he obtained this line of credit in support of his campaign, and inform the ISC whether and when the campaign committee intended to disclose the line of credit.

84. On January 6, 2020, Respondent's counsel informed the ISC that "it appears that Schweikert for Congress inadvertently failed to disclose that Representative Schweikert funded certain loans to his campaign with a $75,000 line of credit obtained from [Metro Phoenix Bank]."

85. Respondent engaged with FEC staff on January 27, 2020, regarding this omission, when his counsel emailed FEC staff: "it appears that the David Schweikert for Congress committee funded certain campaign disbursements in 2010 with a $75,000 line of credit from Metro Phoenix Bank secured by Representative Schweikert, his wife, and Sheridan Equities, but the line of credit was not disclosed on the committee's reports."

2. ***Misreporting of Campaign Contributions and Expenditures***

i. Errors and Omissions in Reporting of David Schweikert for Congress Disbursements and Contributions

86. Between 2010 and 2013, David Schweikert for Congress bank records contain at least $8,000 in disbursements and $140,000 in receipts that are not reflected in any of the David Schweikert for Congress disclosures made to the FEC.

87. OCE identified these reporting discrepancies in its Report and Findings, which included corresponding bank records as an exhibit. A copy of OCE's Report and Findings were provided to Representative Schweikert on September 6, 2018. Representative Schweikert has not provided an explanation for these disbursement and receipt reporting discrepancies. Respondent asserted that the campaign no longer possessed its records from this time period because they were beyond the FEC's record retention period and was therefore unable to explain the discrepancies.

ii. False Schweikert for Congress Disbursements to Blue Point

88. In October and November 2012, Schweikert for Congress reported making $100,000 in payments to Campaign Consultant's company, Blue Point LLC, that were not actually received by him.

89. The $100,000 was spread out into 5 odd-numbered payments, and therefore looked similar to the large mail-related disbursements Campaign Consultant's company typically received:
    - October 5, 2012 disbursement for $23,972
    - October 15, 2012 disbursement for $11,580
    - October 19, 2012 disbursement for $18,868
    - October 26, 2012 disbursement for $22,580
    - November 2, 2012 disbursement for $23,000

90. Including these disbursements totaling $100,000 left Schweikert for Congress with an ending

cash on hand of only $7,488.54 at the close of the 2012 election cycle. Without those disbursements, the campaign committee would have reported an ending cash on hand of $107,488.54. However, that number would have included the December 25, 2011 $100,000 loan. Because the campaign had never received the $100,000 loan, it did not have anywhere near $107,488.54 left in its bank accounts at the end of 2012.

91. In late 2012, Campaign Consultant and 2012 Campaign Manager told Respondent that Respondent's campaign committee misreported disbursements to Blue Point LLC that had not been made.

92. In December 2012, 2012 Campaign Manager made a reference to Mr. Schwab about Mrs. Schweikert having stolen money from the campaign. 2012 Campaign Manager testified that the statement was a joke between him and Mr. Schwab. Mr. Schwab testified that, after 2012 Campaign Manager made that reference, he raised the need to "professionalize the bookkeeping" of the campaign about Mrs. Schweikert's bookkeeping with Respondent around that time and that Respondent agreed to hire a professional compliance firm.

93. Representative Schweikert did not take any specific steps to correct the false FEC reports that were brought to his attention.

94. Mr. Schwab testified that in late 2012, Representative Schweikert told him that he wanted to "get the books away from Joyce." When asked whether he made that statement Representative Schweikert confirmed that he wanted to engage a professional compliance firm at that time "because it took a toll when you had that sort of chaos in your life."

95. The campaign retained Compliance Firm 1 in January 2013 to take over management of the campaign finances, including campaign treasurer duties, and to create a new campaign committee, Friends of David Schweikert.

96. Compliance Firm 1 was not hired to conduct a compliance review of the campaign's past activity. Compliance Firm 1 was not specifically advised about past activities of the campaign. Past activities that Compliance Firm 1 was not advised of included the errors and omissions on FEC reports relating to the December 25, 2011, $100,000 loan that had not been made, the omission of receipts and disbursements by David Schweikert for Congress on FEC reports, the misreported disbursements to Blue Point LLC by Schweikert for Congress, nor any other activity reported on past disclosure reports of the campaign's other two committees.

97. After Compliance Firm 1 was hired, Mrs. Schweikert remained treasurer of David Schweikert for Congress and retained access and control over the David Schweikert for Congress and Schweikert for Congress Chase Bank accounts and continued to make payments that were not accurately reported to the FEC, including loan repayments from a Schweikert for Congress Chase Bank account.

98. In early 2013, the Schweikert for Congress Chase Bank account made a disbursement, via check,

to an unknown individual or unknown entity for an unknown purpose, that was not disclosed to the FEC. Specifically, the bank account records reflect a check paid by Schweikert for Congress for $19,708.10 on February 28, 2013, that was not disclosed to the FEC. Respondent asserts that he was unable to verify the check recipient because the cancelled checks for that time period are no longer available from the bank.

99. The Schweikert for Congress Chase Bank account also reflects a series of ATM & debit card payments in January totaling $1,658.41 from debit cards issued to Representative Schweikert and Mrs. Schweikert, as well as $3,397 in deposits in January 2013 and $24,108.18 in deposits in February 2013. None of these deposits or withdrawals were disclosed to the FEC by Schweikert for Congress.

100. Respondent believes that aside from a recurring payment to the campaign's email marketing firm and a $15 payment to Facebook, the debit card transactions were in-store purchases by his then-chief of staff to pay for expenses related to serving coffee and donuts at the Maricopa County Republican Mandatory meeting.

101. Mrs. Schweikert retained sole responsibility for inputting Schweikert for Congress's expenditures into the campaign's FEC reporting software at this time, while the committee's treasurer inputted receipts.

102. On at least two occasions in February 2013, Treasurer D of Compliance Firm 1 asked Mrs. Schweikert for access to the David Schweikert for Congress and Schweikert for Congress accounts at Chase Bank; however Mrs. Schweikert never gave Treasurer D, or any other individual at Compliance Firm 1, access to the accounts, which were ultimately closed by Mrs. Schweikert on April 8, 2013, and June 17, 2013, respectively. Compliance Firm 1 was not acting as treasurer of either committee at that time, but did have access to the disclosure reporting database that was used for the campaigns, including past entries.

103. Mrs. Schweikert was officially replaced as treasurer in May 2013; however, none of the previous reporting errors and omissions were amended by Compliance Firm 1, or the compliance firm Respondent retained in 2017, Compliance Firm 2.

104. Notwithstanding the earlier concerns regarding Mrs. Schweikert's role in Respondent's campaign finances, in August 2018, Mrs. Schweikert resumed a role in Respondent's campaign finances with respect to reviewing and approving all campaign expenses.

105. In connection with this role, Compliance Firm 2 was advised that all expenses incurred by Campaign Consultant's company for outlays for media and mail will go first to Mrs. Schweikert who would then send the invoice to Compliance Firm 2 when she is ready for it to be paid.

106. Mrs. Schweikert currently plays no role in managing, overseeing, or approving Respondent's campaign's expenses or FEC filings.

3. Campaign Contributions and Expenditures by Employees

107. Respondent's campaign committees did not have a campaign credit or debit card available for individuals making expenditures on behalf of the campaign to use for the majority of the time period under review. Respondent testified that he relied on the campaign's compliance firm and campaign manager to take care of campaign purchases and reimbursements and was in "complete ignorance" as to whether his campaign had a debit card prior to the initiation of OCE's review.

i. *Outlays by Oliver Schwab*

108. Between January 2011 and July 2018, Mr. Schwab made impermissible outlays on behalf of Respondent's authorized campaign committees totaling over $270,000.00.

109. Respondent's authorized campaign committees reimbursed Mr. Schwab for these outlays through different means, including by issuing checks to Mr. Schwab, to Chartwell Associates (a single-member limited liability company based in Virginia owned by Mr. Schwab), and Mr. Schwab's credit card companies (including Chase, Barclays Card Services, and American Express).

110. By reporting Mr. Schwab's reimbursements to the FEC as being to Chartwell Associates or various credit card companies, the public could not see the true amount of expenditures Mr. Schwab had made on behalf of Respondent's campaigns or, in some cases, the true nature, date, and underlying recipient of the disbursements.

111. Respondent's authorized campaign committees paid at least $7,000.00 in reimbursements to Mr. Schwab directly.

112. Respondent's campaign paid at least $65,000.00 in reimbursements to Mr. Schwab by issuing disbursements to Chartwell Associates.

113. Respondent's campaign paid at least $200,000 in reimbursements to Mr. Schwab by issuing disbursements to Mr. Schwab's personal credit card companies.

114. Mr. Schwab testified that Respondent had preferred reimbursements be reported as payments to Chartwell because it appeared as an arm's length transaction to a vendor on FEC reports, rather than a payment to his staffer. Respondent testified that he never discussed reimbursing campaign expenses through Chartwell with Mr. Schwab and was unaware that Mr. Schwab used his own funds to pay for campaign expenses or that those funds were being reimbursed through Chartwell.

115. When reporting disbursements to Mr. Schwab's personal credit card companies, the campaign did not always disclose the underlying vendor on FEC reports, nor did it disclose that Mr. Schwab had made the underlying disbursements.

116. In some instances where Mr. Schwab was reimbursed for his outlays through his company Chartwell Associates, the reimbursement was reported as income to Mr. Schwab for consulting services.

117. After OCE initiated a review into allegations that Mr. Schwab received improper payments from Respondent's campaign, the campaign conducted an internal review and identified additional transactions totaling $102,622.34 that were originally paid with Mr. Schwab's personal credit card and then reimbursed to Mr. Schwab and/or Chartwell Associates by the campaign, but were reported with descriptions such as "strategic consulting."

118. After its internal review, Respondent's campaign had $50,372.14 of the $102,622.34 it identified as improper payments refunded by the vendor to Mr. Schwab's personal credit card, and then the campaign repaid the invoices directly using campaign funds. The remaining $52,250.20 were either unverifiable transactions or could not be refunded to the vendor.

119. Respondent submitted a *sua sponte* self-report to the FEC disclosing the $102,622.34 in improper payments or "substantially similar" information on June 26, 2018.

120. Respondent's *sua sponte* submission remains pending with the FEC, and Respondent, through his counsel, has informed the ISC that his campaign is "working cooperatively with the FEC to ensure that its reports comply with FEC rules and regulations." The FEC lacked a quorum between September 2019 and May 2020 and has been unable to resolve Respondent's matter.

121. Mr. Schwab provided testimony to the ISC asserting that Respondent was aware Mr. Schwab was making expenditures on behalf of Respondent's campaign using his personal funds, and that Respondent instructed Mr. Schwab to seek reimbursements through Chartwell or his credit card company in order to conceal the fact that Mr. Schwab was responsible for the underlying expenditure. Mr. Schwab also testified that Compliance Firm 1, the campaign compliance firm, was aware that he was billing some expense reimbursements to the campaign as consulting fees.

122. Respondent knew or should have known that Mr. Schwab made substantial non-travel expenditures on behalf of the campaign. This practice began at least as early as May 2010, while Mrs. Schweikert was managing Respondent's campaign finances; one staffer testified that he raised concerns with him no later than early 2017 about reimbursements Mr. Schwab was receiving from the campaign. Respondent sent invoices to Mr. Schwab for payment on occasion. The ISC did not obtain written records, including the invoices, that show that Respondent knew that Mr. Schwab was paying for campaign expenses with his own funds and then seeking personal reimbursement.

123. Respondent testified that he was aware that Mr. Schwab made purchases on behalf of the campaign but did not know Mr. Schwab paid for campaign expenses using his personal funds. He later said that Mr. Schwab "could have" told him "I'll turn my reimbursement in later."

Respondent further testified that he did not manage the purchases and reimbursements for the campaign because he relied on the campaign's professional compliance firm and campaign personnel for that. The ISC did not obtain written communications about these reimbursements that included Respondent, or other documents specifically showing whether Respondent requested or was otherwise aware of the specifics of Mr. Schwab's reimbursement practices. Respondent asserts that he was also unaware that Mr. Schwab falsified multiple invoices to Respondent's campaign committee. According to Mr. Schwab, these invoices were for expenses Mr. Schwab or others incurred on behalf of Respondent's campaign and/or Respondent's personal behalf.

124. Mr. Schwab testified that Respondent instructed him to hold debt he incurred on behalf of the campaign so that the campaign committee could post larger FEC figures, but Respondent denied ever doing so. The ISC did not obtain documentary evidence showing that Respondent instructed Mr. Schwab to hold debt in this manner.

125. In some instances, Mr. Schwab waited to seek reimbursements for advances he made until after the close of the reporting period in which the payments he made occurred.

126. For example, on March 14, 2016, Mr. Schwab advanced more than $5,000 on his personal credit card for a campaign fundraising mailer and postage. On April 20, 2016, he emailed the campaign treasurer:

> I know this may bring us close to the bottom, but can you cut a check for Chartwell per below? I've attached receipts. This gets me updated on what I've got outstanding on my card. We have some events back home and some pieces in the works that will grow the account back up so not to worry as per my accounting this gets us close to the bottom of the dregs. Any chance I could pick up Monday? By then the checks I sent should also have arrived.

Though the reimbursement was disclosed, the specific March 14, 2016, payment to an outside vendor that Mr. Schwab had paid for was never disclosed and Mr. Schwab's advance was never disclosed as a debt by the campaign committee. Respondent was not included in the email exchange.

127. On at least one occasion, Mr. Schwab waited two reporting periods to seek reimbursement for outlays he made on behalf of the campaign using his personal credit card. Those reimbursements, along with other reimbursements for over $10,000 of expenses paid for by Mr. Schwab over a more than 3-month period, were disclosed consistent with FEC rules as part of a single payment to "Chase Bank"; the disclosures did not reflect the true date of the payments or that they had been advanced by Mr. Schwab.

128. In other instances, Mr. Schwab waited to submit his reimbursement requests so that Respondent and Mrs. Schweikert could receive loan repayments from the campaign. For

example, on June 18, 2015, Mr. Schwab e-mailed Compliance Firm 1 and stated, "Let's proceed first of the month with the $15,000 transfer to the Schweikert's. I've got 2 big mail pieces in the works right now. I put $7,000 on my card to get these out which I'll hold for reimbursement well down the road." Respondent was not included in the email exchange.

ii. *Other Outlays by Staff*

129. Mr. Schwab was not the only congressional staffer who made non-travel related outlays that were reimbursed by the campaign. Respondent acknowledged that in August and September 2017, three other congressional staffers made smaller outlays totaling $491.12 for campaign-related expenditures that were subsequently reimbursed.

130. As part of the remedial process that Respondent engaged in through the self-disclosed review with the Committee and a subsequent FEC *sua sponte* submission, Respondent sought to "undo" the outlays by having staff repay the amount directly to the campaign and then be refunded by Respondent. Respondent informed the Committee of the steps his campaign committee was taking by meeting with Committee staff in early April 2018 and, later that same month, submitting to the Committee the contents of its anticipated FEC *sua sponte*.

4. Personal Use of Campaign Funds and Acceptance of Gifts

131. From 2011 to 2018, at least four members of Respondent's congressional staff made payments for items and services incurred on Respondent's personal behalf.

132. Although Respondent ultimately reimbursed some of the personal expenses (often by providing some petty cash to staff), there were occasions where Respondent did not personally reimburse the expense.

133. At least $1,476.90 of these personal expenses incurred on Respondent's behalf were reimbursed by Respondent's campaign committee. These expenditures were for, among other things, food and babysitting expenses.

134. Mr. Schwab testified that he bought food for Respondent on a frequent basis on his own initiative when Respondent was in Washington, D.C. and paid for flight upgrades for Respondent when he was traveling with his daughter.

135. Three other congressional staffers also paid for Respondent's personal expenses on occasion, ranging from hundreds of dollars in babysitting services, to food that was kept in the Congressional office and consumed by Respondent for everyday meals; in some instances staffers were reimbursed in cash by Respondent, and in other instances they sought Mr. Schwab's assistance in getting reimbursed and were ultimately paid with campaign funds.

136. Mr. Schwab testified that he advanced personal travel expenses, including using his frequent flyer miles, for Respondent and his family on multiple occasions; documentary evidence demonstrates that on at least one occasion, he sought reimbursement from Respondent's leadership PAC; in others he folded the expenses into unspecified consulting fees. Respondent

denied knowing that Mr. Schwab paid for such travel expenses and maintained there was no documentary evidence establishing that he was aware that any travel payments were paid by Mr. Schwab personally prior to reimbursement.

137. Mr. Schwab testified that Respondent initially made attempts to partially repay Mr. Schwab for the expenses he had incurred on his behalf, but as the numbers accrued, he instructed Mr. Schwab to "be creative" and bill the campaign for the expenses. The ISC did not obtain documentary evidence of Respondent requesting Mr. Schwab to bill the campaign in this manner.

138. Mr. Schwab sent Respondent's campaign false invoices or instructed the campaign's treasurers to make disbursements for campaign services. Mr. Schwab testified that he sought approximately $5,000 worth of reimbursements for personal expenses he incurred on behalf of Respondent in this manner.

139. Respondent denies knowing that the Mr. Schwab falsified invoices or that he sought campaign reimbursements for any of his own personal expenses.

140. Respondent also generally denied that his staff ever performed or paid for personal services on his behalf, stating: "I'm not like so many of these Members, go get my dry cleaning, go take my dog for a walk, go watch my daughter, go buy me something. I've just never done any of those things." However, when specifically asked whether he may have asked staff to purchase food, he testified that "it's probably happened," but there was petty cash in the office for any purchases by staffers and if purchases were made they should have been made with the available petty cash. When asked whether staff ever paid for babysitting service for his daughter, Respondent denied that staff ever paid for babysitting services, and insisted he paid and found babysitters himself. Respondent conceded that, there was one occasion when he had to step out of his office when his daughter was there, but stated that no one on his staff watched her.

141. However, at least one member of his staff testified that she volunteered to use her contacts to find babysitters for Respondent and spent about ten minutes to do so on three occasions. Though not requested by Respondent, that staffer also fronted $800 out of her own pocket for babysitting services on his behalf because Respondent was not present when the babysitters had to leave. She testified that "I just wanted to pay them immediately and make sure they were taken care of." She was reimbursed for those expenses with campaign funds. Respondent also asked this staff member to watch his daughter, within the congressional office, at times that he had to leave and vote.

c. <u>Misuse of Official Resources</u>

142. Between approximately January 2011 and November 2017, Respondent's official resources—including official funds, staff time, and congressional office space—were improperly used for unofficial purposes.

143. Respondent's Members' Representational Allowance (MRA) was used for impermissible

purposes, including to fund portions of non-official travel by Mr. Schwab, and to support Respondent's political fundraising efforts.

144. During that time, Respondent exercised little to no oversight over spending in his congressional office.

145. Mr. Schwab and, in a few limited instances, other members of Respondent's congressional staff performed campaign work within his congressional offices and used congressional resources, including staff time and office equipment, in support of Respondent's campaign.

1. MRA-Funded Mixed-Purpose Trip to Arizona

146. Respondent's MRA paid approximately $6,000 for a six-day trip Mr. Schwab took to Phoenix, Arizona from Wednesday, January 28, 2015, through Monday, February 2, 2015 (Phoenix trip), including 5 nights of lodging totaling $4,027.07.

147. Hotel and other travel-related costs were higher than normal on those dates because Phoenix was hosting the Super Bowl as well as the Phoenix Open golf event that same weekend.

148. Mr. Schwab's trip to Arizona included some officially-connected work, including management of personnel issues in the district office. Mr. Schwab also asserted that an industry event and a dinner he attended over the weekend while in the district was related to his official duties. However, the primary purpose of Mr. Schwab's travel on the two weekend days –including the day of the Super Bowl—was not officially-connected.

149. On Friday, January 30, 2015, Respondent co-hosted a political fundraiser with the Majority Leader.

150. Mr. Schwab traveled to the district despite the inflated travel costs, informing the office financial administrator that he did so because it was a "priority" for Respondent that Mr. Schwab be present as they "host events with the House Majority Leader, [and] other visiting Members." Prior to the travel, Mr. Schwab gave a heads up about the costs to the office's financial administrator, who testified that he gave three or four reasons for the travel including personnel issues in the district office and that she was not concerned at the time about the travel.

151. Mr. Schwab admitted to performing the following non-official activities during his Phoenix Trip: having meals with his mother, brother, and wife, who were also visiting Phoenix at the same time; attending the fundraiser at the Phoenix Open; and attending a theater production with his wife. Mr. Schwab's credit card records for the same period also showed that he engaged in retail shopping during the day on Friday, January 30, 2015.

152. Even though Respondent and Mr. Schwab engaged in political activities during the Phoenix Trip, Respondent's campaign and political committees reported making no disbursements or

reimbursements related to the Phoenix Trip.

153. Respondent testified that he did not exercise any oversight over Mr. Schwab's travel expenditures, and that he did not know the primary purpose of Mr. Schwab's travel to Phoenix during this time, even though Mr. Schwab attended an event at the Phoenix Open with Respondent.

154. On or about June 13, 2018, Mr. Schwab sent a check for $5,068 to the U.S. Treasury for expenses association with the Phoenix Trip as "repayment for travel/out of abundance of caution."

2. Official Resources Used in Support of Respondent's Campaign

155. Between 2011 and 2018, Mr. Schwab performed substantial campaign work in Respondent's congressional office. In addition to the building space, Mr. Schwab also made use of other congressional office resources for campaign work, including the printer, his House email account, and storage space.

156. At least four other members of Respondent's congressional staff performed campaign work within the congressional office.

157. On at least some occasions, Respondent knew or should have known that Mr. Schwaband others performed campaign work in the office. For example, Respondent met with his pollster in his congressional office on February 11, 2015.

158. Mr. Schwab testified that he and Respondent had campaign communications within the congressional office on a frequent basis. Mr. Schwab testified that these discussions took place within the congressional office because Respondent slept in his Washington, D.C. office and that was the most convenient place for them to meet.

159. Respondent denied knowing that members of his staff, including Mr. Schwab, performed campaign work within his congressional office and using official resources and said that he observed Mr. Schwab leave the office on many occasions to take phone calls that were campaign-related and he believed that Mr. Schwab left the office when he was engaging in any political work. Several other staffers testified that they similarly observed Mr. Schwab leave the office to take campaign calls, including one staff member who recalled Mr. Schwab leaving the congressional office to perform campaign work at Representative Schweikert's direction.

160. One staffer testified that "it would take willful ignorance and negligence" for Respondent not to have known that Mr. Schwab was engaging in campaign work in the congressional office. Other staffers interviewed, however, indicated that they were unaware whether such activities took place or whether Respondent was aware of any such activities.

161. On October 3, 2018, Respondent informed the Committee that:

> [h]e has already taken, or is in the process of taking, remedial measures to ensure that his Office is fully compliant with all applicable laws. For one the Congressman has put in place a strict firewall between congressional staffers and the campaign by instituting a policy that severely restricts congressional employees from otherwise permissible campaign related work for his campaign.

162. Respondent's new chief of staff is not subject to this policy, however. She is more involved in Respondent's campaign than she was before becoming his chief of staff in July 2018. She staffs campaign events with Respondent, approves certain campaign expenses, and engages in campaign-related work during office hours, outside of the congressional office.

3. Compelled Campaign Work by Staff

163. Most of the current and former staffers in Respondent's congressional office testified that they had no involvement with Respondent's campaign, that they felt no pressure to assist with the campaign, or, in the few cases where there was any involvement with the campaign, that their involvement with the campaign was entirely voluntary. However, when asked if he felt there was an expectation to assist Respondent's campaign when requests for such assistance came from Mr. Schwab, one staffer testified that he believed that if they did not comply with his requests, Mr. Schwab would question them about their lack of participation; another staffer testified that if Mr. Schwab asked if he was willing to complete a campaign-related task he "would have had a choice" but that he thought "there could potentially be blowback as a staffer" responding to a request from a chief of staff.

164. Mr. Schwab testified that Respondent routinely pressured him to perform campaign work, particularly campaign fundraising.

165. Mr. Schwab testified that Respondent expected him to prioritize campaign work over official work by instructing him to leave the congressional office and fundraise on his behalf, and on one occasion asked Mr. Schwab why he was still within the congressional office when he expected him to be fundraising. Respondent denied this, testifying that he did not have to make such requests because it was in Mr. Schwab's nature to fundraise and work all of the time.

166. By the fall of 2016, Respondent identified a primary goal of raising $1,000,000 for his political war chest.

167. Mr. Schwab testified that "the constant pressure to perform and raise funds requires that an effective chief maximize the resources available to him or her," and explained that "if an official staffer had been negatively impacting the campaign's ability to reach out to industry" because he or she was not effectively serving constituents in an official capacity, he probably would have made that known to the staffer. He also testified, however, that no other staffers made fundraising calls or were expected or pressured to fundraise.

168. Mr. Schwab testified he had an expectation that other members of the congressional staff, whom he supervised, would assist Respondent's campaign by reviewing campaign content to make sure it was legislatively consistent and by copy editing campaign materials.

169. On October 20, 2016, Mr. Schwab advised Respondent, in connection with an email regarding an performance meeting with another staff member who had work performance issues, "[i]f we are going to have an operation that can raise $1million in the next Congress, I cannot be the only one at a staff level proactively engaging support." In a separate October 21, 2016, email exchange with Mr. Schwab, Respondent raised the question of whether another congressional staffer should be taken to a fundraising seminar; that same staffer told the ISC that Mr. Schwab advised him that if he wanted to keep his job, his salary would be cut by 40 percent and his performance would be judged entirely on fundraising. Respondent testified that he was unaware that Mr. Schwab made this statement, and the ISC did not obtain documents demonstrating that Representative Schweikert was aware of what Mr. Schwab told the staffer.

170. The other staff members interviewed testified that they were not expected to fundraise for the campaign.

II. ALLEGED VIOLATIONS

COUNT I: Conduct that Does Not Reflect Creditably on the House & Failure to Uphold the Laws and Regulations of the United States

Systemic Federal Election Campaign Act Reporting Violations

171. Paragraphs 1 through 170 are incorporated by reference as if fully set forth herein.

172. The Federal Election Campaign Act (FECA) requires campaign committees to periodically disclose all receipts and disbursements in an election cycle. 52 U.S.C. § 30104.

173. According to the *House Ethics Manual (Ethics Manual)*, "a Member or employee must take reasonable steps to ensure that any outside organization over which he or she exercises control – including the individual's own authorized campaign committee or, for example, a 'leadership PAC' – operates in compliance with applicable law."

174. The *Ethics Manual* further states:

> While [FECA] and other statutes on campaign activity are not rules of the House, Members and employees must also bear in mind that the House Rules require that they conduct themselves 'at all times in a manner that shall reflect creditably on the House' (House Rule 23, clause 1). In addition, the Code of Ethics for Government Service, which applies to House Members and staff, provides in ¶ 2 that government officials should '[u]phold the Constitution, laws and legal regulations of the United States and of all governments therein and never be a party

to their evasion.' Accordingly, in violating FECA or another provision of statutory law, a Member or employee may also violate these provisions of the House rules and standards of conduct. In addition, acceptance of an unlawful campaign contribution may violate the House gift rule (House Rule 25, clause 5).

175. From at least July 2010 through April 2018, Respondent's campaign committees violated campaign reporting obligations under FECA and the FEC's implementing regulations.

176. Respondent did not take reasonable steps to ensure his campaign committees were in compliance with campaign finance reporting requirements.

177. Respondent facilitated these reporting errors by: not informing his campaign treasurers or compliance professionals of prior errors and omissions, or providing them with access to certain campaign committee bank accounts; failing to take action to correct reports with false information after he became aware they had been made; providing false information to his campaign treasurer about forgiveness of a personal loan that was reported but not made; and neglecting his responsibilities to supervise his campaign's activities, including spending outlays by an individual serving as both his campaign manager and congressional Chief of Staff.

178. Respondent's conduct reflected poorly on the institution of the House and, thereby, brought discredit upon the House.

179. By engaging in the conduct described above Respondent violated House Rule XXIII, clause 1.

180. By engaging in the above conduct, Respondent failed to uphold the laws and regulations of the United States, including provisions of the FECA and the FEC's implementing regulations, and was a party to their non-compliance, in violation of ¶ 2 of the Code of Ethics in Government Services.

COUNT II: Conduct that Does Not Reflect Creditably on the House and Failure to Uphold the Laws and Regulations of the United States

Failure to Disclose Loan Obtained in Support of Campaign

181. Paragraphs 1 through 28, 58 through 85, and 171 through 180, are incorporated by reference as if fully set forth herein.

182. According to 11 C.F.R. § 100.83(e), "[l]oans derived from an advance on a candidate's brokerage account, credit card, home equity line of credit, or other line of credit available to the candidate shall be reported by the candidate's principal campaign committee in accordance with 11 CFR part 104."

183. 11 C.F.R. § 104.3(d) provides: "[e]ach report filed under 11 CFR 104.1 shall, on Schedule C or D, as appropriate, disclose the amount and nature of outstanding debts and obligations owed by

or to the reporting committee" and the FEC's Campaign Guide for Congressional Candidates and Committees (2014) states that "[d]ebts and obligations must be reported continuously until repaid."

184. 11 C.F.R. § 104.3(d)(4) provides:

> [w]hen a candidate obtains a bank loan or loan of money derived from an advance on the candidate's brokerage account, credit card, home equity line of credit, or other line of credit described in 11 CFR 100.83 and 100.143 for use in connection with the candidate's campaign, the candidate's principal campaign committee shall disclose in the report covering the period when the loan was obtained, the following information on Schedule C-1 or C-P-1:
>
> > i. The date, amount, and interest rate of the loan, advance, or line of credit;
> > ii. The name and address of the lending institution; and
> > iii. The types and value of collateral or other sources of repayment that secure the loan, advance, or line of credit, if any.

185. The FEC's Campaign Guide for Congressional Candidates and Committees further provides that a new Schedule C-1 must also be filed with the next report if the terms of the loan or line of credit are restructured, citing 11 C.F.R. § 104.3(d)(1) and (3).

186. Between July 2010 and February 2015, Respondent's campaign committee, David Schweikert for Congress, did not disclose to the FEC that he obtained a line of credit in support of his campaign, the modifications to the line of credit, or the repayment of the line of credit.

187. Respondent knew that the line of credit was obtained for and used in support of his campaign. His spouse was the campaign treasurer for David Schweikert at the time the loan was obtained and used in support of the campaign. When Respondent's spouse was replaced as treasurer for the campaign committee in 2013, the line of credit remained open but Respondent did not inform the new treasurer that he had obtained a line of credit in support of loans he made to the campaign.

188. Respondent asserts his committee's counsel began discussions with the FEC regarding the second OCE Referral at least as early as October 2019 and that the FEC was aware OCE found substantial reason to believe he failed to disclose required information in his FEC candidate committee filings following the Committee's publication of the two-page OCE Report on September 5, 2019. Respondent specifically advised the FEC of the existence of this line of credit in January 2020, more than a year after the failure to disclose it was highlighted by OCE and more than a year after the Committee advised Respondent that any inaccurate disclosures to the FEC should be promptly and accurately corrected.

189. By engaging in the above conduct, Respondent failed to uphold the laws and regulations of the United States, including provisions of the FECA and the FEC's implementing regulations, and

was a party to their non-compliance, in violation of ¶ 2 of the Code of Ethics in Government Services.

190. By failing to report the line of credit while it was open, including between January 2011 and February 2015, and by failing to take prompt action to correct the reporting omission, Respondent did not act in a manner that reflects creditably on the House.

191. By engaging in the conduct described above, Respondent violated House Rule XXIII, clause 1.

COUNTS III and IV: Conduct that Does Not Reflect Creditably on the House and Failure to Uphold the Laws and Regulations of the United States

Disclosure of Loan That was Never Made to the FEC

192. Paragraphs 1 through 57, 88 through 106, and 171 through 180 are incorporated by reference as if fully set forth herein.

193. The FEC is an independent regulatory agency within the executive branch of the United States Government whose purpose is to enforce campaign laws in United States federal elections.

194. Respondent's campaign committee falsely stated that he made a $100,000 personal loan to Schweikert for Congress on December 25, 2011, when no such loan had been made:

Date	Record Transmitted to the FEC
1/31/12	Schweikert for Congress 2011 Year-End Report
4/15/12	Schweikert for Congress April 2012 Quarterly Report
7/15/12	Schweikert for Congress July 2012 Quarterly Report
8/16/12	Schweikert for Congress Pre-Primary 2012 Report
9/6/12	Schweikert for Congress July 2012 Amended Quarterly Report
10/15/12	Schweikert for Congress October 2012 Quarterly Report
10/25/12	Schweikert for Congress Pre-General 2012 Report
12/6/12	Schweikert for Congress Post-General 2012 Report
1/20/13	Schweikert for Congress Post-General 2012 Amended Report
1/31/13	Schweikert for Congress 2012 Year-End Report
4/14/13	Schweikert for Congress April 2013 Quarterly Report
7/15/13	Schweikert for Congress July 2013 Quarterly Report
10/12/13	Memorandum from Respondent to Campaign Treasurer
10/11/13	Schweikert for Congress October 2013 Termination Report

195. Respondent did not inform his campaign treasurers that the December 25, 2011, $100,000 loan had not been made to his campaign.

196. By engaging in the above conduct, Respondent failed to uphold the laws and regulations of the United States, including provisions of the FECA and the FEC's implementing regulations, and was a party to their non-compliance, in violation of ¶ 2 of the Code of Ethics in Government

Services.

197. By falsely disclosing the December 25, 2011, loan and forgiveness of that loan, Respondent acted in a manner that did not reflect creditably on the House and violated House Rule XXIII, clause 1.

198. On FEC reports filed on October 25, 2012 (12-Day Pre-Election Report for the General Election), December 6, 2012 (30-Day Post Election Report for the General Election), January 20, 2013 (Amended 30-Day Post Election Report for the General Election), Schweikert for Congress falsely disclosed five disbursements to Blue Point LLC that did not actually occur:

Date	Amount
10/5/12	$23,972
10/15/12	$11,580
10/19/12	$18,868
10/26/12	$22,580
11/2/12	$23,000

199. By making disclosures, through his campaign committee, of the false October 2012 and November 2012 disbursements from Schweikert to Congress in the aggregate amount of $100,000, Respondent violated 52 U.S.C. § 30104.

200. By engaging in the above conduct, Respondent failed to uphold the laws and regulations of the United States, including provisions of the FECA and the FEC's implementing regulations, and was a party to their non-compliance, in violation of ¶ 2 of the Code of Ethics in Government Services.

201. By falsely disclosing, through his campaign committee, $100,000 in disbursements in 2012, Respondent acted in a manner that did not reflect creditably on the House and violated House Rule XXIII, clause 1.

COUNT V: Conduct that Does Not Reflect Creditably on the House and Failure to Uphold the Laws and Regulations of the United States

False Reports filed with the FEC

202. Paragraphs 1 through 57, 88 through 106, 171 through 180, and 192 through 201, are incorporated by reference as if fully set forth herein.

203. Respondent was advised by his campaign staffers in late 2012 that Mrs. Schweikert improperly reported making payments to Blue Point LLC, but he did not take reasonable steps to correct the false reporting.

204. Respondent was aware that his December 25, 2011, $100,000 loan had not been made to his campaign. Respondent did not take reasonable steps to correct the false reporting of the loan.

205. By engaging in the above conduct, Respondent further acted in a manner that does not reflect creditably on the House and violated House Rule XXIII, clause 1.

COUNT VI: Conduct that Does Not Reflect Creditably on the House and Failure to Uphold the Laws and Regulations of the United States

Additional Reporting Errors and Omissions Relating to Disbursements

206. Paragraphs 1 through 28, 88 through 87, and 171 through 180, are incorporated by reference as if fully set forth herein.

207. Between 2010 and 2013, David Schweikert for Congress bank records contain at least $8,000 in disbursements and $140,000 in receipts that are not reflected in any of the David Schweikert for Congress disclosures made to the FEC.

208. Schweikert for Congress did not disclose making a $19,708.10 disbursement on February 28, 2013, even though bank statements for that time period showed a check payment for that amount. Respondent asserted that he was unable to verify that the disbursement was a *bona fide* campaign expenditure because bank records were no longer available due to the age of the activity.

209. The Schweikert for Congress Chase Bank account also reflects a series of ATM & debit card payments in January totaling $1,658.41 from debit cards issued to Representative Schweikert and Mrs. Schweikert, as well as $3,397 in deposits in January 2013 and $24,108.18 in deposits in February 2013. None of these deposits or withdrawals were disclosed to the FEC by Schweikert for Congress.

210. Respondent was advised no later than September 5, 2018, that his campaign committees did not properly report disbursements and contributions between at least 2010 and 2013, but he did not take any action to correct the reporting errors.

211. By engaging in the above conduct, Respondent failed to uphold the laws and regulations of the United States, including provisions of the FECA and the FEC's implementing regulations, and was a party to their non-compliance, in violation of ¶ 2 of the Code of Ethics in Government Services.

212. Respondent's failure to properly disclose or fully verify over $25,000 in disbursements from his campaign committees from 2011 to 2013 did not reflect creditably on the House and violated House Rule XXIII, clause 1.

COUNT VII: Conduct that Does Not Reflect Creditably on the House and Failure to Uphold the Laws and Regulations of the United States

Acceptance of Campaign Contributions from Congressional Staff

213. Paragraphs 1 through 28, 107 through 130, and 171 through 180 are incorporated by reference as if fully set forth herein.

214. Under 18 U.S.C. § 603(a): "It shall be unlawful for an officer or employee of the United States or any department or agency thereof, or a person receiving any salary or compensation for services from money derived from the Treasury of the United States, to make any contribution within the meaning of section 301(8) of the Federal Election Campaign Act of 1971 to any other such officer, employee or person or to any Senator or Representative in, or Delegate or Resident Commissioner to, the Congress, if the person receiving such contribution is the employer or employing authority of the person making the contribution."

215. Pursuant to 11 C.F.R. § 116.5(b), outlays made on behalf of a campaign are deemed to be "contributions" to the campaign from that individual, even if the outlay is promptly reimbursed by the campaign. Outlays for one's own travel are not deemed a "contribution" if either (1) the campaign provides reimbursement with 60 days after the expenses are incurred if the payment was made by credit card, or within 30 days in all other cases (11 C.F.R. § 116.5(b)(1), (2)), or (2) the individual outlays for transportation do not exceed $1,000 with respect to a single election, regardless of whether the campaign reimburses the outlays (11 C.F.R. § 100.79(a)).

216. Members "must take reasonable steps to ensure that any outside organization over which he or she exercises control – including the individual's own authorized campaign committee . . . – operates in compliance with applicable law." *Ethics Manual*.

217. Respondent's campaign committees received contributions in the form of outlays from members of his staff from January 2011 until July 2018 totaling over $270,000.

218. Respondent's Chief of Staff, Mr. Schwab, made substantial purchases on behalf of Respondent's campaign. Respondent knew or should have known that Mr. Schwab did so.

219. Mr. Schwab billed Respondent's campaign for purchases he made on behalf of the campaign through means that obscured the fact that Mr. Schwab was responsible for the underlying expenditure.

220. Mr. Schwab held campaign reimbursement requests concealing accurate campaign figures and the true amount and frequency with which Mr. Schwab made expenditures on behalf of Respondent's campaign.

221. By engaging in the above conduct, Respondent failed to uphold the laws and regulations of the United States, including provisions of the FECA and the FEC's implementing regulations, and was a party to their non-compliance, in violation of ¶ 2 of the Code of Ethics in Government Service.

222. By engaging in the conduct described above, particularly in failing to adequately supervise his staff, Respondent violated House Rule XXIII, clause 1.

COUNT VIII: Conduct that Did Not Reflect Creditably on the House, Conversion of Campaign Funds to Personal Use, and Failure to Uphold the Laws and Regulations of the United States

Reimbursement of Personal Expenses Using Campaign Funds

223. Paragraphs 1 through 28, 131 through 141, and 171 through 180 are incorporated by reference as if fully set forth herein.

224. House Rule XXIII, clause 6 provides a Member –

> (a) shall keep the campaign funds of such individual separate from the personal funds of such individual;
> (b) may not convert campaign funds to personal use in excess of an amount representing reimbursement for legitimate and verifiable campaign expenditures; and
> (c) except as provided in clause 1(b) of rule XXIV, may not expend funds from a campaign account of such individual that are not attributable to bona fide campaign or political purposes.

225. FECA provides that a contribution to a federal candidate may not be converted by any person to personal use. 52 U.S.C. § 30114(b)(1). A contribution shall be considered to be converted to personal use if it is used to fulfill any commitment, obligation, or expense of a person that would exist irrespective of the candidate's election campaign. *Id.* at § 30114(b)(2).

226. Between June 2017 and October 2017, Respondent's principal campaign committee, Friends of David Schweikert, reimbursed members of Respondent's congressional staff at least $1,476.90 for personal expenses that did not have a *bona fide* campaign purpose.

227. By engaging in the above conduct, Respondent failed to uphold the laws and regulations of the United States, including provisions of the FECA and the FEC's implementing regulations, and was a party to their non-compliance, in violation of ¶ 2 of the Code of Ethics in Government Service.

228. By converting campaign funds to personal use, Respondent violated House Rule XXIII, clause 6(c).

229. The conversion of campaign funds to personal use is also a violation of FECA. Accordingly, in converting campaign funds to personal use, Respondent violated House Rule XXIII, clause 1.

COUNT IX: Conduct that Does Not Reflect Creditably on the House & Violation of Purpose Law

Systematic Misuse of the MRA for Unofficial Purposes

230. Paragraphs 1 through 2 and 142 through 170 are incorporated by reference as if fully set forth

herein.

231. House Rule XXIII, clause 1 states that a Member "shall behave at all times in manner that shall reflect creditably on the House."

232. The "Purpose Law," 31 U.S.C. § 1301(a), states that "[a]ppropriations shall be applied only to the objects for which the appropriations were made except as otherwise provided by law."

233. 2 U.S.C. § 5341(a) states that "[t]here is established for the House of Representatives a single allowance, to be known as the 'Members' Representational Allowance', which shall be available to support the conduct of the official and representational duties of a Member of the House of Representatives with respect to the district from which the Member is elected."

234. According to the *Members' Congressional Handbook (Members' Handbook)* at 2:

> 1. The MRA may only be used for official and representational expenses . . .
> 4. The MRA may not be used to pay for any expenses related to activities or events that are primarily social in nature (including but not limited to: sporting events, theme park activities, concerts, personal events, etc.).
> 5. The MRA may not pay for personal expenses.
> 6. The MRA may not pay for campaign expenses.

235. The *Ethics Manual* states:

> [a]mong the specific activities that clearly may not be undertaken in a congressional office or using House resources (including official staff time) are the solicitation of contributions; the drafting of campaign speeches, statements, press releases or literature; the completion of FEC reports; the creation of issuance of a campaign mailing; and the holding of a meeting on campaign business.

236. With respect to mixed-purpose trips, the *Ethics Manual* states:

> the Member, officer, or employee must determine the primary purpose of the trip. The source associated with that primary purpose – for example, a political committee for campaign or political activity, the federal government for official business, or the traveler's own funds for personal business – must pay for the airfare (or other long-distance transportation expense), and all other travel expenses incurred in accomplishing that purpose. Any additional meal, lodging, or other travel expenses that the Member or staff person incurs in serving a secondary purpose must be paid by the source associated with that secondary purpose. The determination of the primary purpose of a trip must be made in a reasonable manner, and one relevant factor in making that determination is the number of days to be devoted to each purpose.

> That is, often the primary purpose of a trip is the one to which the greater or greatest number of days is devoted.

237. Respondent's MRA funds were used for mixed-purpose travel, his congressional employees' official staff time was used for campaign activities, and his congressional office space and equipment was used for campaign activities.

238. By engaging in the above conduct, particularly, by failing to adequately supervise his staff, Respondent failed to uphold the laws and regulations of the United States, including 31 U.S.C. § 1301 and other standards of conduct, including the implementing policies contained in the Members' Handbook, and was a party to theirnon-compliance, in violation of ¶ 2 of the Code of Ethics in Government Service.

239. Respondent's actions and accumulations of actions reflected poorly on the institution of the House and, thereby, brought discredit upon the House.

240. By engaging in the conduct described above, particularly by failing to adequately supervise his staff, Respondent violated House Rule XXIII, clause 1.

COUNT X: Conduct that Does Not Reflect Creditably on the House

Violation of Prohibition on Compulsory Campaign Work

241. Paragraphs 1 through 2 and 142 through 170 are incorporated by reference as if fully set forth herein.

242. House Rule XXIII, clause 1 states that a Member "shall behave at all times in manner that shall reflect creditably on the House."

243. Pursuant to the *Ethics Manual*:

> Once House employees have completed their official duties, they are free to engage in campaign activities on their own time, as volunteers or for pay, as long as they do not do so in congressional offices or facilities, or otherwise use official resources . . . It should be stressed that although House employees are free to engage in campaign activities on their own time, in no event may a Member or office compel a House employee to do campaign work. To do so would result in an impermissible official subsidy of the Member's campaign. The prohibition against coercing staff or requiring staff members to do campaign work is quite broad. It forbids Members and senior staff from not only threatening or attempting to intimidate employees regarding doing campaign work, but also from directing or otherwise pressuring them to do such work.

244. Respondent's Chief of Staff, Mr. Schwab, testified that Between January 2011 and June 2012; and May 2013 and July 2018, he felt routinely pressured by Respondent to prioritize campaign work and raise a substantial sum of money on behalf of the campaign.

245. As a result of "the constant pressure to perform and raise funds," Mr. Schwab felt like he had to "maximize the resources" available to him. Mr. Schwab testified he had an expectation that other members of the congressional staff, whom he supervised, would assist Respondent's campaign by reviewing campaign content to make sure it was legislatively consistent and by copy editing campaign materials.

246. Respondent's actions and accumulations of actions reflected poorly on the institution of the House and, thereby, brought discredit upon the House.

247. By engaging in the conduct described above, particularly by failing to adequately supervise his staff, Respondent violated House Rule XXIII, clause 1.

COUNT XI: Conduct that Does Not Reflect Creditably on the House and Failure to Uphold the Laws and Regulations of the United States

Lack of Candor and Diligence in Ethics Investigation

248. Paragraphs 1 through 170 are incorporated by reference as if fully set forth herein.

249. The ISC recognizes that Respondent has taken a number of steps to cooperate with the Committee. Respondent made himself available for an interview, made multiple submissions of written answers, and produced 16,003 pages of documents. In order to produce all relevant information, Respondent engaged a team of attorneys to gather and review hundreds of thousands of pages, including emails, electronic data, and text messages from the computers and phones of over three dozen current and former staffers. Respondent provided his counsel access to his own personal cell phone so that all of his communications, including text messages could be copied and reviewed. Respondent also encouraged witnesses to cooperate and offered to pay costs (and has paid costs) for staffers to engage attorneys of their own choosing. In November 2017, before the referral of this matter from OCE, Respondent first contacted the Committee, through counsel, to begin a self-initiated review of the allegations raised in OCE's first referral. Respondent spoke by phone with the Committee's Chief Counsel & Staff Director in January 2018 to discuss the referral and worked to take corrective action and put remedial measures in place. Respondent informed Committee staff of the steps his committee was taking by meeting with them in early April 2018 and submitting the contents of its anticipated FEC *sua sponte* to the Committee later that same month. Respondent also subsequently filed the *sua sponte* submission with the FEC regarding allegations in OCE's First Referral, worked with the FEC staff on the *sua sponte*, and has taken a number of additional remedial steps in an effort to ensure that his campaign and congressional office adhere to applicable laws and regulations in the future.

250. However, the ISC believes that Respondent did not treat the allegations of misconduct raised by OCE's Referral with sufficient seriousness. His delays in responding to requests for information by the ISC, as well as his delays in informing the FEC of inaccurate reporting,

were inconsistent with the level of diligence Members should exercise when faced with allegations of unethical conduct.

251. In his interview and written submissions to the FEC, Respondent's responses have at times lacked credibility or coherence.

252. For example, Respondent denied that members of his congressional staff ever babysat his child in the office, paid for babysitters on his behalf, took his clothes to be dry cleaned, or were stuck with a bill for a staff dinner after Respondent left the restaurant without paying. The ISC's record demonstrates that, contrary to Respondent's testimony, this conduct did occur. Despite evidence to the contrary, Respondent also denied that he ever pressured Mr. Schwab to fundraise on his behalf and to prioritize campaign work over congressional work, stating that he didn't have to do so because Mr. Schwab was self-motivated. In addition, Respondent was ill-prepared to address many of the FEC reporting violations raised by OCE.

253. The conduct of Respondent and those acting on his behalf led to repeated delays of the ISC's investigation of the allegations involving him.

254. Respondent made some efforts to cooperate with the ISC's investigation and the ISC did not find that he actively intended to lie or mislead the ISC or obstruct its investigation. However, his overall lack of candor and diligence in connection with the investigations of the Committee and ISC as a whole does not reflect creditably on the House.

255. By engaging in the conduct described above, Respondent violated House Rule XXIII, clause 1.

APPENDIX B

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

REPORT

Review No. 17-4790

The Board of the Office of Congressional Ethics (hereafter "the Board"), by a vote of no less than four members, on April 5, 2018, adopted the following report and ordered it to be transmitted to the Committee on Ethics of the United States House of Representatives (hereafter "the Committee").

SUBJECT: Representative David Schweikert

NATURE OF THE ALLEGED VIOLATION: Rep. David Schweikert may have authorized expenditures from his Members' Representational Allowance ("MRA"), made by or on behalf of his Chief of Staff Oliver Schwab, that were not for permissible official expenses. If Rep. Schweikert authorized impermissible MRA expenditures, then he may have violated House rules, standards of conduct, and federal law.

Rep. Schweikert's campaign committees may have accepted contributions from an individual who was employed in Rep. Schweikert's congressional office, in the form of individual outlays that later were reimbursed by the campaign committees. If Rep. Schweikert failed to ensure that his campaign committee complied with applicable rules regarding contributions from congressional employees, then he may have violated House rules, standards of conduct, and federal law.

RECOMMENDATION: The Board recommends that the Committee further review the above allegations concerning Rep. Schweikert because there is substantial reason to believe that Rep. Schweikert authorized expenditures from his MRA, made by or on behalf of his Chief of Staff Oliver Schwab, that were not for permissible official expenses.

The Board recommends that the Committee further review the above allegations concerning Rep. Schweikert because there is substantial reason to believe that Rep. Schweikert failed to ensure that his campaign committee complied with applicable rules regarding contributions from congressional employees.

VOTES IN THE AFFIRMATIVE: 6

VOTES IN THE NEGATIVE: 0

ABSTENTIONS: 0

MEMBER OF THE BOARD OR STAFF DESIGNATED TO PRESENT THIS REPORT TO THE COMMITTEE ON ETHICS: Omar S. Ashmawy, Staff Director & Chief Counsel.

FINDINGS OF FACT AND CITATIONS TO LAW

TABLE OF CONTENTS

I. INTRODUCTION 3
A. Summary of Allegations 3
B. Jurisdiction Statement 3
C. Procedural History 4
D. Summary of Investigative Activity 5
II. REP. SCHWEIKERT MAY HAVE AUTHORIZED MRA DISBURSEMENTS FOR IMPERMISSIBLE, UNOFFICIAL EXPENSES 6
A. Applicable Law, Rules, and Standards of Conduct 6
B. Rep. Schweikert May Have Authorized MRA Expenditures for Personal or Campaign-Related Expenses 9
i. Overview of MRA Spending Practices in Rep. Schweikert's Office 10
ii. The Arizona Trip 12
iii. Office Supplies 17
iv. Training 18
III. REP. SCHWEIKERT MAY HAVE ACCEPTED IMPROPER CAMPAIGN CONTRIBUTIONS FROM A CONGRESSIONAL EMPLOYEE 20
A. Applicable Law, Rules, and Standards of Conduct 20
B. Rep. Schweikert May Have Accepted Campaign Contributions from his Chief of Staff, Oliver Schwab 21
IV. CONCLUSION 22
V. INFORMATION THAT THE OCE WAS UNABLE TO OBTAIN AND RECOMMENDATIONS FOR THE ISSUANCE OF SUBPOENAS 22

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

FINDINGS OF FACT AND CITATIONS TO LAW

Review No. 17-4790

On April 5, 2018, the Board of the Office of Congressional Ethics (hereafter "the Board") adopted the following findings of fact and accompanying citations to law, regulations, rules and standards of conduct (*in italics*). The Board notes that these findings do not constitute a determination of whether or not a violation actually occurred.

I. INTRODUCTION

A. Summary of Allegations

1. Rep. David Schweikert may have authorized expenditures from his Members' Representational Allowance ("MRA"), made by or on behalf of his Chief of Staff Oliver Schwab, that were not for permissible official expenses. If Rep. Schweikert authorized impermissible MRA expenditures, then he may have violated House rules, standards of conduct, and federal law.

2. The Board recommends that the Committee further review the above allegations concerning Rep. Schweikert because there is substantial reason to believe that Rep. Schweikert authorized expenditures from his MRA, made by or on behalf of his Chief of Staff Oliver Schwab, that were not for permissible official expenses.

3. Rep. Schweikert's campaign committees may have accepted contributions from an individual who was employed in Rep. Schweikert's congressional office, in the form of individual outlays that later were reimbursed by the campaign committees. If Rep. Schweikert failed to ensure that his campaign committee complied with applicable rules regarding contributions from congressional employees, then he may have violated House rules, standards of conduct, and federal law.

4. The Board recommends that the Committee further review the above allegations concerning Rep. Schweikert because there is substantial reason to believe that Rep. Schweikert failed to ensure that his campaign committee complied with applicable rules regarding contributions from congressional employees.

B. Jurisdiction Statement

5. The allegations that were the subject of this review concern Rep. David Schweikert, a Member of the United States House of Representatives from the 6th District of Arizona. The Resolution the United States House of Representatives adopted creating the Office of Congressional Ethics ("OCE") directs that, "[n]o review shall be undertaken… by the board

of any alleged violation that occurred before the date of adoption of this resolution."[1] The House adopted this Resolution on March 11, 2008. Because the conduct under review occurred after March 11, 2008, review by the Board is in accordance with the Resolution.

C. Procedural History

6. The OCE received a written request for preliminary review in this matter signed by at least two members of the Board on November 15, 2017. The preliminary review commenced on November 16, 2017.[2]

7. On November 27, 2017, the OCE notified Rep. Schweikert of the initiation of the preliminary review, provided him with a statement of the nature of the review, notified him of his right to be represented by counsel in this matter, and notified him that invoking his right to counsel would not be held negatively against him.[3]

8. At least three members of the Board voted to initiate a second-phase review in this matter on December 15, 2017. The second-phase review commenced on December 16, 2017.[4] The second-phase review was scheduled to end on January 29, 2018.

9. On December 15, 2017, the OCE notified Rep. Schweikert of the initiation of the second-phase review in this matter, and again notified him of his right to be represented by counsel in this matter, and that invoking that right would not be held negatively against him.[5]

10. The Board voted to extend the second-phase review by an additional period of fourteen days on January 25, 2018. The additional period ended on February 12, 2018.

11. The Board voted to refer the matter to the Committee for further review and adopted these findings on April 5, 2018.

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[1] H. Res 895 of the 110th Congress §1(e) (2008) (as amended) (hereafter "the Resolution").
[2] A preliminary review is "requested" in writing by members of the Board of the OCE. The request for a preliminary review is received by the OCE on a date certain. According to the Resolution, the timeframe for conducting a preliminary review is 30 days from the date of receipt of the Board's request.
[3] Letter from Omar S. Ashmawy, Chief Counsel and Staff Director, Office of Congressional Ethics, to Rep. Schweikert, November 27, 2017. The OCE initially communicated with Rep. Schweikert's office on Thursday, November 16, 2017. It provided the office a letter noting that the Board had "taken an action concerning [Rep. Schweikert]" and asked for the opportunity to speak with Rep. Schweikert that week. The OCE followed up on this initial contact on Friday, November 17, 2017, Monday, November 20, 2017, and Tuesday, November 21, 2017. On Monday, November 27, 2017, immediately after the Thanksgiving holiday, the OCE called Rep. Schweikert's office again to follow up on these prior communications. On Monday, November 27, 2017, the OCE was finally contacted by Rep. Schweikert's counsel. Immediately after receiving a designation of counsel form, the OCE provided Rep. Schweikert's counsel with the above-described notification.
[4] According to the Resolution, the Board must vote (as opposed to make a written authorization) on whether to conduct a second-phase review in a matter before the expiration of the 30-day preliminary review. If the Board votes for a second-phase, the second-phase commences the day after the preliminary review ends.
[5] Letter from Paul Solis, Deputy Chief Counsel, Office of Congressional Ethics, to Rep. Schweikert, December 15, 2017.

12. The report and its findings in this matter were transmitted to the Committee on April 16, 2018.

D. Summary of Investigative Activity

13. The OCE requested documentary and testimonial information from the following sources:

(1) Rep. David Schweikert;

(2) Oliver Schwab;

(3) Keith A. Davis, former treasurer to various Rep. Schweikert-affiliated campaign and political action committees;

(4) Campaign Financial Services, current treasurer to various Rep. Schweikert-affiliated campaign and political action committees;

(5) Former Legislative Director and Deputy Chief of Staff ("Former Deputy Chief of Staff");

(6) Former Financial Administrator;

(7) Former Legislative Assistant and Legislative Director ("Former Legislative Director");

(8) Kevin Knight, Rep. Schweikert's Deputy Chief of Staff;

(9) Katherina Dimenstein, Rep. Schweikert's Legislative Director;

(10) Ashley Sylvester, Rep. Schweikert's Officer Manager and Press Assistant;

(11) Ernestina Borquez-Smith, Rep. Schweikert's Director of Constituent Services and Office Manager;

(12) Kelly Roberson, former Rep. Schweikert staff member;

(13) Thomas Leander, former Rep. Schweikert staff member;

(14) Ana Schwab, wife of Oliver Schwab;

(15) Jennifer Casey Schwab, mother of Oliver Schwab;

(16) Forrest Schwab, brother of Oliver Schwab;

(17) Hilton Worldwide Holdings, Inc.; and

(18) Hyatt Hotels Corporation.

14. The following individuals and entities refused to cooperate with the OCE's review:

(1) Rep. David Schweikert;

(2) Oliver Schwab;

(3) Keith A. Davis;

(4) Campaign Financial Services;

(5) Kevin Knight;

(6) Katherina Dimenstein;

(7) Ashley Sylvester;

(8) Ernestina Borquez-Smith;

(9) Kelly Roberson;

(10) Thomas Leander;

(11) Ana Schwab;

(12) Jennifer Casey Schwab; and

(13) Forrest Schwab.

II. REP. SCHWEIKERT MAY HAVE AUTHORIZED MRA DISBURSEMENTS FOR IMPERMISSIBLE, UNOFFICIAL EXPENSES

A. Applicable Law, Rules, and Standards of Conduct

15. Federal Law

2 U.S.C. § 5341(a) states that "[t]here is established for the House of Representatives a single allowance, to be known as the 'Members' Representational Allowance', which shall be available to support the conduct of the official and representational duties of a Member of the House of Representatives with respect to the district from which the Member is elected."

31 U.S.C. § 1301(a) states that, "[a]ppropriations shall be applied only to the objects for which the appropriations were made except as otherwise provided by law."

16. House Ethics Manual

"During each session of Congress, each Member has a single MRA available to support the conduct of official and representational duties to the district from which elected. . . . The MRA may only be used for official and representational expenses. The MRA may not be used to pay for any expenses related to activities or events that are primarily social in nature, personal expenses, campaign or political expenses, or House committee expenses."[6]

"Federal law provides that official funds may be used only for the purposes for which they are appropriated. When funds are used other than for their intended purposes, the misused funds may be recovered by the government for repayment to the United States Treasury. . . . Committee on House Administration regulations require Members to certify and document all expenses before funds may be disbursed from the MRA. The use of money received by submitting such a voucher for other than official expenses may involve a fraud against the government, in violation of 18 U.S.C. § 1001 (prohibiting making any false, fictitious, or fraudulent statements or using false writings, documents, or entries, concerning any matter within the jurisdiction of any agency or department of the United States)."[7]

With respect to mixed purpose trips, "the Member, officer, or employee must determine the primary purpose of the trip. The source associated with that primary purpose – for example, a political committee for campaign or political activity, the federal government for official business, or the traveler's own funds for personal business – must pay for the airfare (or other long-distance transportation expense), and all other travel expenses incurred in accomplishing that purpose. Any additional meal, lodging, or other travel expenses that the Member or staff person incurs in serving a secondary purpose must be paid by the source associated with that secondary purpose. The determination of the primary purpose of a trip must be made in a reasonable manner, and one relevant factor in making that determination is the number of days to be devoted to each purpose. That is, often the primary purpose of a trip is the one to which the greater or greatest number of days is devoted."[8]

17. The Committee on House Administration, Members' Congressional Handbook

According to the Members' Congressional Handbook:

"1. The MRA may only be used for official and representational expenses.

...

4. The MRA may not be used to pay for any expenses related to activities or events that are primarily social in nature (including but not limited to: sporting events, theme park activities, concerts, personal events, etc.).
5. The MRA may not pay for personal expenses.
6. The MRA may not pay for campaign expenses.

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[6] House Ethics Manual (2008) at 323.
[7] *Id.* at 331-32.
[8] *Id.* at 116.

7. The MRA may not pay for campaign-related political party expenses."[9]

Disbursements from the MRA are paid on a reimbursement basis or by direct payment (to vendors) and require: . . . The Member's signature, certifying that the expense was incurred in support of the Member's official and representational duties to the district from which he or she is elected.[10]

"Mixed purpose trips typically involve travel that includes official, campaign and/or privately-sponsored activities. Often this travel is funded by a mix of official, campaign and/or private funds. If the primary purpose is for a non-official event/purpose, the office cannot schedule official activities around the non-official event/purpose to make the travel eligible for congressional offices to be reimbursed. Mixed purpose trips may need to be approved by the Committee on Ethics."[11]

The Members' Congressional Handbook also identifies a travel category called "Combined Travel," and explains: "Combined travel is travel by a Member or their employees for the primary purpose of supporting the official and representational duties of the Member, but includes an intervening destination or an additional time period that is included for personal purposes. Combined travel requires that:

1. *The primary purpose of the travel must be official and representational. The personal segment of the combined travel may not be purchased at a government rate or be purchased with a Government Travel Card.*
2. *The traveler must attach the combined travel form to the voucher submitted for combined travel reimbursement, stating that the official travel and personal travel was combined for personal convenience. (Combined Travel form).*
3. *All travel must occur within the United States, its territories, or possessions.*

. . .

In the event of a segment of personal travel, there is an absolute prohibition on reimbursement from official funds for the personal travel segment."[12]

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[9] Committee on House Administration, Members' Congressional Handbook, 115th Congress (Feb. 27, 2018), at 2; *see also* Committee on House Administration, Members' Congressional Handbook, 114th Congress (March 16, 2016), at 1-2 (containing the same guidance); Committee on House Administration, Members' Congressional Handbook, 113th Congress (Dec. 16, 2011), at 1-2 (containing the same guidance).

[10] Committee on House Administration, Members' Congressional Handbook, 115th Congress (Feb. 27, 2018), at 43; *see also* Committee on House Administration, Members' Congressional Handbook, 114th Congress (March 16, 2016), at 38 (containing the same guidance); Committee on House Administration, Members' Congressional Handbook, 113th Congress (Dec. 16, 2011), at 32 (containing the same guidance).

[11] Committee on House Administration, Members' Congressional Handbook, 115th Congress (Feb. 27, 2018), at 37; *see also* Committee on House Administration, Members' Congressional Handbook, 114th Congress (March 16, 2016), at 33 (containing the same guidance).

[12] Committee on House Administration, Members' Congressional Handbook, 115th Congress (Feb. 27, 2018), at 36-37; *see also* Committee on House Administration, Members' Congressional Handbook, 114th Congress (March 16, 2016), at 32-33 (containing the same guidance); Committee on House Administration, Members' Congressional Handbook, 113th Congress (Dec. 16, 2011), at 28.

With respect to reimbursements for training and other educational expenses, the Members' Congressional Handbook states the following: "[o]rdinary and necessary expenses for Members or employees to attend vendor-sponsored conferences, seminars, briefings, professional training, and informational programs related to the official and representational duties to the district from which he or she is elected are reimbursable. . . . Members or employees may not be reimbursed for expenses to attend educational programs in order to obtain a primary, secondary, graduate, postgraduate, or professional degree."[13]

18. Committee Reports

As the Committee explained in In the Matter of Allegations Relating to Representative Luis V. Gutiérrez, "where Members have used official funds for impermissible purposes, the Committee has regularly directed them to repay any misspent funds. This requirement has most frequently arisen in circumstances where official funds were used for a Member's personal benefit or to benefit their campaign . . . However, the Committee has also made clear that a Member is responsible to repay MRA funds used for impermissible purposes, even where neither the Member nor the Member's campaign benefitted from the use of official funds."[14] *This is the case when a Member fails to properly supervise staff and the lack of appropriate oversight results in the misspent MRA funds.*[15]

B. Rep. Schweikert May Have Authorized MRA Expenditures for Personal or Campaign-Related Expenses

19. The OCE examined MRA disbursement documents and data in order to determine whether Rep. Schweikert approved the disbursement of MRA funds to Oliver Schwab for any improper purposes. Specifically, the OCE examined whether Mr. Schwab improperly sought and received reimbursement for (1) expenses associated with a 2015 trip to Arizona, (2) office supply purchases, or (3) training programs, and whether Rep. Schweikert certified that any of these expenses were for official, representational duties when in fact they were not.

20. Despite extensive non-cooperation from numerous witnesses, the OCE found evidence that Mr. Schwab may have received reimbursements from Rep. Schweikert's MRA for campaign or personal expenses associated with a trip to Arizona between January 28, 2015 and February 2, 2015.

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[13] Committee on House Administration, Members' Congressional Handbook, 115th Congress (Feb. 27, 2018), at 16. "Informational programs are events in which interaction with participants relates to official business, including but not limited to discussions about the federal role of government in various issue areas, discussions involving how the Member may assist constituencies through action from the federal government or seek relief from the government in any manner, discussions of policy matters, etc. Information programs should not be primarily social in nature, including but not limited to awards events not related to official business, galas or balls that are primarily social, or other events in which official interaction is more incidental than is the primary purpose." *Id*; *see also* Committee on House Administration, Members' Congressional Handbook, 114th Congress (March 16, 2016), at 14-15 (containing the same guidance).

[14] *In the Matter of Allegations Relating to Representative Luis V. Gutiérrez,* 115th Congress, 2d Sess. (2018) at 27-28.

[15] *Id.* at 26; 31; 32.

i. Overview of MRA Spending Practices in Rep. Schweikert's Office

21. Rep. Schweikert, like all other Members of Congress, pays for official expenses with MRA funds. The Committee on House Administration requires Members to sign MRA disbursement requests in order to "certify[] that the expense was incurred in support of the Member's official and representational duties to the district from which he or she is elected."[16]

22. Although Members must certify that all MRA disbursements are for official, representational purposes, former Rep. Schweikert staff members agreed that Rep. Schweikert had little or no involvement in approving MRA disbursement requests.[17] Instead, Rep. Schweikert designated certain individuals as authorized signers who would sign MRA disbursement documentation on his behalf.[18]

23. Former Deputy Chief of Staff, a Legislative Director and then Deputy Chief of Staff in Rep. Schweikert's office between 2012 and 2016, told the OCE that Rep. Schweikert was not involved in the MRA disbursement process and recalled frequently signing certifications for Rep. Schweikert.[19] He also told the OCE that he instituted an informal policy, between December 2014 and November 2016 (when he was managing MRA disbursement requests), whereby at least two independent staff members would review and sign disbursement requests before they were submitted to the Office of Finance.[20]

24. Former Financial Administrator, a financial administrator in Rep. Schweikert's office between October 2014 and January 2017, believed that most reimbursement requests were signed on Rep. Schweikert's behalf, by either Mr. Schwab or Former Deputy Chief of Staff, both of whom she understood to be authorized signers.[21] Former Financial Administrator told the OCE that, despite her recommendations, Rep. Schweikert's office did not have an employee manual that established policies and procedures regarding office and employee spending.[22] When asked what sort of procedures were in place to ensure that staff members were only reimbursed for legitimate expenses, she said: "I think believing in [the] integrity of the staffers."[23]

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[16] Committee on House Administration, Members' Congressional Handbook at 43 (2018); *see also* House Ethics Manual at 332; Committee on House Administration, Voucher Documentation Standards (Oct. 1, 2016) at 3 ("All vouchers must contain the name and signature of the voucher preparer and the signature of the Member/Chair/Office.").

[17] Transcript of Interview of Former Deputy Chief of Staff, Jan. 18, 2018 ("Former Deputy Chief of Staff Transcript, Part 1") (Exhibit 1 at 17-4790_0021); Transcript of Interview of Former Financial Administrator, Jan. 23, 2018 ("Former Financial Administrator Transcript") (Exhibit 2 at 17-4790_055-56); Transcript of Interview of Former Legislative Director, Jan. 31, 2018 ("Former Legislative Director Transcript") (Exhibit 3 at 17-4790_079). This includes requested reimbursements for travel, office supplies, training, and other official expenditures. *Id*; *see also e.g.* Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0015; 0019); Former Financial Administrator Transcript (Exhibit 2 at 17-4790_0055).

[18] Former Financial Administrator Transcript (Exhibit 2 at 17-4790_55-56); Former Legislative Director Transcript (Exhibit 3 at 17-4790_0079); Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0020-21).

[19] Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0020-21).

[20] Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0015-22).

[21] Former Financial Administrator Transcript (Exhibit 2 at 17-4790_0055-56).

[22] Former Financial Administrator Transcript (Exhibit 2 at 17-4790_0048-49).

[23] Former Financial Administrator Transcript (Exhibit 2 at 17-4790_0058).

25. Former Legislative Director, a Legislative Assistant and then Legislative Director in Rep. Schweikert's office between February 2011 and January 2017, also told the OCE that Rep. Schweikert was uninvolved in the MRA disbursement process.[24] He indicated that Mr. Schwab and Former Deputy Chief of Staff would have signed MRA reimbursement requests on Rep. Schweikert's behalf.[25]

26. With respect to Mr. Schwab's reimbursement requests, Former Deputy Chief of Staff told the OCE on multiple occasions that he "had no reason to believe that [Oliver Schwab] was doing anything unethical with his reimbursements."[26] However, he also acknowledged that, "in hindsight," he felt there "should have been more supervision" on Mr. Schwab's spending practices.[27]

27. When asked whether there were always two independent individuals reviewing and signing MRA disbursement requests before they were submitted, Former Financial Administrator said yes, but acknowledged that she would sometimes be the only one reviewing requests from Mr. Schwab.[28]

28. Former Legislative Director told the OCE that Mr. Schwab maintained control over office finances.[29] While he acknowledged that Former Deputy Chief of Staff and financial administrators had some role in the MRA disbursement process, Former Legislative Director told the OCE that he did not believe there was a "check and balance" on Mr. Schwab's spending practices.[30] He described Mr. Schwab as having "full carte blanche authority to manage the MRA disbursements and the office finances as he deemed necessary."[31]

29. When asked if there was "appropriate spending and reimbursement oversights in Rep. Schweikert's office," Former Legislative Director responded by saying: "I would say no. . . . The only true oversight was [Mr. Schwab]."[32]

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[24] Former Legislative Director Transcript (Exhibit 3 at 17-4790_0079-80; 0096).
[25] *Id.*
[26] Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0009); Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0034) ("I have always thought that Oliver is pretty good with ethics with a capital E. . . . He had no desire to step over the line, and I had no reason to believe that . . . He never gave me any indication that he would try to step over the line."); Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0038) (explaining that he was an individual with involvement in the MRA reimbursement process and Mr. Schwab "never gave [him] any indication that he was doing anything that was not kosher at the time."). Former Deputy Chief of Staff said all this having acknowledged an incentive to speak poorly about Mr. Schwab given the manner in which Mr. Schwab terminated Former Deputy Chief of Staff's employment in the office. *See* Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0003-0010; 0030]); *see also* Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0038) ("We did not end on good terms. We ended on very bad terms. I want to make . . . you all aware that, that we ended on very bad terms.").
[27] Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0015).
[28] Former Financial Administrator Transcript (Exhibit 2 at 17-4790_0058).
[29] Former Legislative Director Transcript (Exhibit 3 at 17-4790_0079-80; 0096).
[30] Former Legislative Director Transcript (Exhibit 3 at 17-4790_0078-80; 0096).
[31] Former Legislative Director Transcript (Exhibit 3 at 17-4790_0096).
[32] *Id.* The OCE notes that Former Legislative Director was forced out of Rep. Schweikert's office by Mr. Schwab under circumstances that both he, and other staff members, felt to be "inappropriate" or unfitting given his prior service. Former Legislative Director Transcript (Exhibit 3 at 17-4790_0107-08); Transcript of Interview of Former

ii. The Arizona Trip

30. Oliver Schwab spent six days in Arizona between Wednesday, January 28, 2015 and Monday, February 2, 2015, a time period during which Arizona was hosting both the Phoenix Open PGA golf tournament and Super Bowl XLIX ("the Arizona Trip"). The OCE determined that $6,059.46 in official funds were spent on Mr. Schwab's travel during this timeframe.[33] This includes the cost of his flight, accommodations, a rental car, and various gas, parking, and food expenses.[34]

31. These Arizona Trip expenses were reimbursed pursuant to MRA disbursement requests that required Rep. Schweikert's certification.[35] Given the non-cooperation by Rep. Schweikert, the OCE was not able to determine whether Rep. Schweikert signed these documents personally or whether the documents were signed on his behalf.[36] Regardless, Rep. Schweikert is responsible for ensuring that MRA disbursements are made only for official, representational purposes.

32. Evidence obtained by the OCE suggests that Mr. Schwab may have managed various official matters while on the Arizona Trip. Evidence also establishes that Mr. Schwab attended a variety of campaign or personal activities, including the Phoenix Open and Super Bowl XLIX. Mr. Schwab's wife, mother, and brother, who all reside outside of Arizona, were also in Arizona during the Arizona Trip and attended the Super Bowl.

a. Overall Trip Details

33. Mr. Schwab flew from Baltimore-Washington International Airport to Phoenix, Arizona on Wednesday, January 28, 2015.[37] The round trip flight cost $920.20.[38]

34. After landing in Arizona late Wednesday evening, Mr. Schwab rented a Ford Focus from Alamo Rent A Car.[39] He rented the car from Wednesday, January 28, 2015 through Monday, February 2, 2015, and the total cost of the car rental was $614.21, or $102.36 per day.[40]

35. Mr. Schwab stayed at the Hilton Garden Inn, located at 8550 East Princess Drive in Scottsdale, Arizona, from Wednesday, January 28, 2015 through Monday, February 2, 2015.[41] The cost of

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Deputy Chief of Staff, Feb. 8, 2018 ("Former Deputy Chief of Staff Transcript, Part 2") (Exhibit 4 at 17-4790_0130-31).

[33] Arizona Trip Reimbursement Chart (Exhibit 5 at 17-4790_0134-36).

[34] *Id.*

[35] Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0138-94).

[36] Former Deputy Chief of Staff told at the OCE that he believed at least some of the Arizona Trip reimbursement requests were signed by him on Rep. Schweikert's behalf. Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0020-21).

[37] Arizona Trip Reimbursement Chart (Exhibit 5 at 17-4790_0134-36).

[38] *Id.*

[39] *Id.*

[40] *Id.*

[41] *Id.* The hotel is located approximately six miles north of Rep. Schweikert's District Office, and is located less than one mile east of TPC Scottsdale, where the Phoenix Open is held each year.

this five-night stay was $4,027.07, or $805.41 per night.[42] Additionally, Mr. Schwab was reimbursed for $146.00 of food expenses incurred at the Hilton Garden Inn between Thursday, January 29, 2015 and Sunday, February 1, 2015.[43]

36. Billing receipts from Hilton establish that two people stayed in Mr. Schwab's room.[44] Former Deputy Chief of Staff told the OCE that Mr. Schwab's wife (then-fiancée) was in Arizona with him during the Arizona Trip.[45] In addition to his wife, Mr. Schwab's mother, who resides in Massachusetts, and brother, who resides in California, were also in Arizona that weekend.[46]

b. Evidence of Official Activities

37. Rep. Schweikert, Mr. Schwab, and other current staff members from the Washington, D.C. and Arizona offices refused to cooperate with this review. Accordingly, the OCE could not determine the extent to which Mr. Schwab engaged in official activities while on the Arizona Trip.

38. Two witnesses familiar with the Arizona Trip – Former Financial Administrator and Deputy Chief of Staff – indicated that Mr. Schwab handled official matters while in the District. However, neither witness was in Arizona at the time, and therefore they could not verify Mr. Schwab's activities.

39. Former Financial Administrator told the OCE that the Arizona Trip was presented to her as an official trip.[47] At least part of this understanding came from a January 24, 2015 email Mr. Schwab sent to her and Former Deputy Chief of Staff, in which he stated:

> I wanted to give a heads up that my trip out next week will end up being about $4,000 for the hotel and about $1,000 for the rental car. Being Phoenix Open and the Superbowl [sic] weekend in Phoenix, I apologize about this—but it's a priority for [Rep. Schweikert] that I'm on the ground to help as we host events with the House Majority Leader, other visiting Members, and I've got those 2 personel [sic] issues we need to get out of the way.[48]

40. Former Deputy Chief of Staff told the OCE that, "[a]s far as [he] knew, [the Arizona Trip] was a mix-use trip with probably … more official business than unofficial [business]."[49] He recalled

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[42] *Id.*
[43] *Id.*
[44] *Id*; *see also* Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0156; 0186; 0189-90; 0192).
[45] Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0025-26). Former Legislative Director told the OCE that Mr. Schwab's wife would frequently travel with Mr. Schwab to Arizona, although he did not know whether she traveled to the District that weekend. Former Legislative Director Transcript (Exhibit 3 at 17-4790_0082-83).
[46] Super Bowl Photos (Exhibit 7 at 17-4790_0196-200); Examiner Article.
[47] Former Financial Administrator Transcript (Exhibit 2 at 17-4790_0063; 0066).
[48] Email between Former Financial Administrator and Oliver Schwab, Jan. 24, 2015 (Exhibit 8 at 17-4790_0203); Former Financial Administrator Transcript (Exhibit 2 at 17-4790_0062-63).
[49] Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0024).

Mr. Schwab taking office meetings and attending site tours, and he also believed that Mr. Schwab was working out of the District Office each day of the Arizona Trip.[50] He acknowledged that these recollections were based primarily upon representations made to him by Mr. Schwab,[51] but also said he had no reason to believe Mr. Schwab was making any misrepresentations to him.[52]

c. Evidence of Personal or Campaign Activities

41. Pursuant to House rules, standards of conduct, and federal law, it would have been improper for Rep. Schweikert to authorize the use of official funds to finance a trip when its primary purpose was personal or campaign-related. Additionally, if the Arizona Trip's primary purpose was official, but the trip also involved secondary personal or campaign-related purposes, then the lodging, car rental, gas, and food costs associated with those secondary purposes should have been paid by either Mr. Schwab personally or the appropriate political entity.

42. Despite the non-cooperation from Rep. Schweikert, Rep. Schweikert's campaign and political action committees, Mr. Schwab, and Mr. Schwab's family, the OCE found evidence suggesting that Mr. Schwab was reimbursed, with MRA funds, for accommodations, a car rental, and food, gas, and parking expenses that were likely associated with the personal or campaign-related aspects of his trip. As discussed more fully below, Mr. Schwab may have primarily engaged in campaign or personal activities during at least three of the four full days of the Arizona Trip.

43. Preliminarily, the OCE also notes that it received a letter from Rep. Schweikert's counsel which stated that the OCE's review had alerted Rep. Schweikert and his various campaign and political committees to certain "remedial measures [that] need to be instituted."[53] The letter also represented that Mr. Schwab will be repaying – from his personal funds – the cost of the Arizona Trip.[54] The letter plainly indicates that the OCE would have found additional evidence of misuse had it received cooperation during its review.[55]

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[50] Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0024-25).
[51] *Id.*
[52] Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0034).
[53] Letter from Jason Torchinsky, Counsel to Rep. Schweikert, to Paul Solis, Deputy Chief Counsel, Office of Congressional Ethics, Jan. 29, 2018 (Exhibit 9 at 17-4790_0205-06). This letter contains two points that the OCE felt obligated to clarify in a subsequent communication to Mr. Torchinsky. First, as discussed above at footnote 3, any delays associated with Rep. Schweikert receiving notice of this review were solely the result of Rep. Schweikert or his counsel's decision not to respond to OCE communications between November 16, 2017 and November 27, 2017. Second, any suggestion that Mr. Schweikert "was not the subject of this review" is plainly mistaken, as Review No. 17-4790 was specifically opened to review conduct by Rep. Schweikert. In OCE's November 27, 2017 letter, Rep. Schweikert was advised that the OCE "has initiated a preliminary review into a matter concerning [him]" and further explained that Rep. Schweikert "may have violated House rules, standards of conduct, and federal law" by authorizing impermissible MRA expenditures.
[54] *Id.*
[55] *Id.*

Friday January 30, 2015

44. Evidence suggests that Mr. Schwab spent the majority of his day away from the District Office on Friday, January 30, 2015. While time away from the District Office is not necessarily indicative of the fact that Mr. Schwab was not performing official duties, several of the destinations could have been personal in nature. Further, his absence from the District Office comports with Rep. Schweikert's representation concerning the personal nature of the Arizona Trip (made in his letter from counsel discussed above). It also conflicts with Former Deputy Chief of Staff's recollection about the amount of time Mr. Schwab spent in the District Office during the Arizona Trip.

45. On Friday morning, Mr. Schwab parked his rental car at a downtown Phoenix parking garage located at 112 N. 2nd Street, Phoenix, Arizona.[56] This parking complex is adjacent to the Phoenix Convention Center, which hosted numerous Super Bowl-related activities during the week leading up to Super Bowl XLIX.[57]

46. Later in the day, Mr. Schwab appears to have stopped at several retail establishments. Mr. Schwab's credit card records suggest that he made purchases at REI (an outdoor clothing and equipment retailer) and London Gold (an Arizona-based jeweler).[58]

47. Additionally, Mr. Schwab parked at a 2401 E. Camelback Road parking complex, which is The Camby Hotel's parking facility.[59] The Camby is a boutique hotel in the Biltmore neighborhood of Phoenix, and it is located within walking distance of the Biltmore Fashion Park, an upscale shopping mall.[60, 61]

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[56] Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0149). Reimbursement requests place Mr. Schwab at a Starbucks in Fountain Hills, Arizona, just after 9:00 AM on Friday morning. Arizona Trip Reimbursement Chart (Exhibit 5 at 17-4790_0134-36). He left the Starbucks and drove approximately thirty miles southeast to a Circle K gas station located at 966 E. Van Buren Street in downtown Phoenix. *Id.* He purchased gas at the Circle K at 10:13AM. *Id.* The 112 N. 2nd Street parking complex is located less than one mile from the Circle K mentioned above, which suggests Mr. Schwab parked his car here after leaving the Circle K around 10:15 AM.

[57] *See e.g.*, DPJ Staff, *Touchdown Downtown: Phoenix Scores Super Bowl Central*, DOWNTOWN PHOENIX JOURNAL (March 18, 2014), available at http://downtownphoenixjournal.com/2014/03/18/touchdown-downtown-phoenix-scores-super-bowl-central/ (last visited Feb 26, 2018); Eugene Scott, *2015 Super Bowl could be Valley' biggest yet*, THE REPUBLIC (March 18, 2014), available at https://www.azcentral.com/story/news/local/phoenix/2014/03/19/phoenix-super-bowl-financial-impact/6595475/ (last visited Feb. 26, 2018).

[58] Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0148; 0159; 0184; 0188; 0194). Mr. Schwab did not seek reimbursements for these expenses. Credit card statements submitted along with Mr. Schwab's MRA reimbursement requests were obtained by the OCE and provided evidence of these personal expenditures. *Id.*

[59] Arizona Trip Reimbursement Chart (Exhibit 5 at 17-4790_0134-36); The Camby Hotel, *Home*, https://www.thecamby.com/ (last visited Feb. 26, 2018) (noting the address as 2401 E. Camelback Rd.).

[60] The Camby Hotel, *Local Area*, https://www.thecamby.com/local-area (last visited Feb. 26, 2018).

[61] The OCE recognizes that some or all of the travel or expenditures that occurred on Friday, January 30, 2015 could have been associated with Mr. Schwab's wife or another member of his family. If that was the case, this still raises misuse concerns because it suggests Mr. Schwab may have been seeking reimbursements for car rental, gas, or parking fees associated with a family member's travel.

Saturday January 31, 2015

48. It was reported that Mr. Schwab, along with his wife and mother, attended the Phoenix Open on Saturday, January 31, 2015, and that Mr. Schwab's brother joined the group later that day in a private box at Talking Stick Resort Arena to watch the Phoenix Suns play the Chicago Bulls. [62]

49. Mr. Schwab appears to have confirmed attendance at both events, stating that he attended the Phoenix Open, along with Rep. Schweikert, as a part of a McCarthy Victory Fund event,[63] and that the private box was sponsored by an industry group, which he attended as a guest of his wife.[64]

50. The OCE found evidence that the McCarthy Victory Fund sponsored a fundraiser at the Phoenix Open,[65] and as discussed above, Mr. Schwab told colleagues that he was needed in Arizona to "host events with the House Majority Leader."[66]

Sunday February 1, 2015

51. It was also reported that Mr. Schwab attended brunch with his brother and spent time at the Phoenix Desert Botanical Gardens before attending the Super Bowl on Sunday February 1, 2015.[67]

52. Receipts from the Hyatt Regency Scottsdale Resort & Spa indicate that Mr. Schwab had brunch with three other individuals around 10:00 AM on Sunday morning.[68] While Mr. Schwab initially

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[62] *See e.g.*, Examiner Article. The Examiner Article relied, at least in part, on social media postings in reporting this. Because Mr. Schwab and others did not cooperate with this review, the OCE was not able to verify whether there were social media posts of Mr. Schwab at either the Phoenix Open or Phoenix Suns game.

[63] While the article reported that Mr. Schwab and Rep. Schweikert attended a McCarthy Victory *Committee* event, the OCE believes it was a McCarthy Victory *Fund* event, as discussed more fully below.

[64] Examiner Article.

[65] On January 22, 2015, the McCarthy Victory Fund spent $3,660.48 on lodging at the Hyatt Regency Scottsdale Resort & Spa. McCarthy Victory Fund, Report of Receipts and Disbursements, April 15, 2015 Quarterly Report at 109, filed July 7, 2015. Additionally, the McCarthy Victory Fund spent $9,375.00 on a facility rental and $200.00 on photography services from The Thunderbirds, located at 7226 North 16th Street, Suite 100, Phoenix, Arizona. McCarthy Victory Fund, Report of Receipts and Disbursements, April 15, 2015 Quarterly Report at 107; 119, filed July 7, 2015. The Thunderbirds "is a non-profit organization formed in 1986 to distribute monies raised through the Waste Management Phoenix Open golf tournament" with an address of 7226 North 16th Street, Suite 100, Phoenix, Arizona. Thunderbirds Charities Website (Exhibit 10 at 17-4790_0208-09). Additionally, Former Deputy Chief of Staff told the OCE that it "was common knowledge" that Rep. McCarthy or the NRCC was having a fundraising event of some kind in Phoenix during Super Bowl weekend. Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0024; 0027-28). While Former Deputy Chief of Staff could not definitively say whether Mr. Schwab and Rep. Schweikert attended the Phoenix Open or any other fundraiser, he noted that Rep. Schweikert and Rep. McCarthy "are close" and he said he would "almost guarantee" that Rep. Schweikert and Oliver Schwab would have attended if any such an event was held in Phoenix that weekend. Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0028).

[66] *See supra*, footnote 48.

[67] *See e.g.*, Examiner Article. The Examiner Article relied, at least in part, on social media postings in reporting this. Because Mr. Schwab and others did not cooperate with this review, the OCE was not able to verify whether there were social media posts of Mr. Schwab at brunch, the botanical gardens, or the Super Bowl.

[68] Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0149; 0155).

sought reimbursement for this expense, designating it as a "mtg / constituents food / bev," he does not appear to have been reimbursed for it, which suggests the brunch may have been a political or personal expenditure.[69]

53. Former Deputy Chief of Staff confirmed that Mr. Schwab, along with his wife and Rep. Schweikert, attended the Super Bowl on Sunday afternoon.[70] The OCE also obtained photographs of Mr. Schwab's mother and brother at Super Bowl XLIX.[71]

iii. Office Supplies

54. The OCE examined office supply purchases and practices in Rep. Schweikert's office in order to determine whether Mr. Schwab was improperly reimbursed with Rep. Schweikert's MRA funds for any office supply purchases that did not have official purposes.

55. Rep. Schweikert's office did not have a formal office policy or procedure regarding the purchase of office supplies or reimbursement for such purchases.[72] Former Rep. Schweikert staff members told the OCE that Rep. Schweikert was generally uninvolved in the purchase of office supplies or reimbursement for such purchases,[73] and that individual staff members would purchase supplies from either the House office supply store or a third party vendor such as Amazon.[74]

56. Former Deputy Chief of Staff, Former Financial Administrator, and Former Legislative Director all told the OCE that Mr. Schwab may have spent too much money on office supplies. Former Deputy Chief of Staff told the OCE that he thought Mr. Schwab was a "spendthrift,"[75] but that he had no reason to believe Mr. Schwab was purchasing office supplies for his personal benefit.[76] He explained that Mr. Schwab may not have been "studious" with office supply purchases, and he would "rib him" about purchases that he thought were "a waste of money," but he never felt that Mr. Schwab was acting unethically.[77]

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[69] *Compare* Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0149; 0155) *with* Statements of Disbursement, Jan. 1, 2015 – March 31, 2015. Hyatt Hotels Corporation did not have any record of Mr. Schwab or any of his family members staying at this hotel and could not provide any further detail about who dined at the Hyatt on Sunday, February 1, 2015. As discussed above, FEC disbursement records indicate that the McCarthy Victory Fund purchased lodging at this hotel around this time. *See supra*, footnote 65.

[70] Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0025-26).

[71] Super Bowl Photos (Exhibit 7 at 17-4790_0196-200). The OCE also found that Mr. Schwab spent $3,625.00 on January 25, 2015 on a purchase from the "NTL Football Leagu[e]." Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0148; 0159; 0184). The OCE recognizes that this $3,625.00 expenditure may not have been for a ticket/s to the Super Bowl, but notes the expenditure suggests Mr. Schwab made a substantial personal investment for something associated with either the game itself or events leading up to the game.

[72] Former Legislative Director Transcript (Exhibit 3 at 17-4790_0091); Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0019).

[73] Former Legislative Director Transcript (Exhibit 3 at 17-4790_0092); Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0019).

[74] Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0019); Former Legislative Director Transcript (Exhibit 3 at 17-4790_0091).

[75] Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0009).

[76] Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0009; 0028-29).

[77] Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 1 at 17-4790_0009; 0028-29; 0036).

57. Former Financial Administrator told the OCE that she thought Mr. Schwab's spending habits were a joke in Rep. Schweikert's office.[78] She noted that Rep. Schweikert would jokingly ask her if Mr. Schwab was spending too much money on office supplies,[79] and she would jokingly ask Mr. Schwab whether he "[had] Amazon on speed dial."[80] Former Financial Administrator told the OCE that she would raise concerns to Mr. Schwab about the amount of money he spent on office supplies and felt compelled to remind him that he could get materials for free (or much cheaper) from the House.[81]

58. Additionally, she informed the OCE that Rep. Schweikert had her prepare a chart in early 2016 that compared office supply spending in his office with that of other offices.[82] The chart revealed that his office "spent significantly more than other offices."[83]

59. Former Legislative Director told the OCE that he thought some of Mr. Schwab's office supply purchases were excessive; however, he acknowledged not knowing whether the purchases were made with official or personal funds.[84]

60. The OCE reviewed approximately two-years of office supply reimbursement requests made by Oliver Schwab and did not find evidence that Mr. Schwab misused MRA funds for his personal benefit with respect to these requests.

iv. Training

61. The OCE examined educational expenditures in Rep. Schweikert's office in order to determine whether Mr. Schwab was improperly reimbursed with Rep. Schweikert's MRA funds for any training programs he attended as Chief of Staff.

62. Between Sunday, October 23, 2016 and Friday, October 28, 2016, Mr. Schwab attended a Harvard Executive Education program titled "Leadership Decision Making: Optimizing Organizational Performance."[85] The cost of the course was $7,400.00.[86]

63. The program, which was held on Harvard's campus and included lodging, generally began with breakfast at 8:00 AM, included various sessions throughout the day on topics such as decision making, negotiations, and conflict resolution, and concluded with dinner around 6:30 PM.[87]

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[78] Former Financial Administrator Transcript (Exhibit 2 at 17-4790_0051).
[79] *Id.*
[80] *Id.*
[81] Former Financial Administrator Transcript (Exhibit 2 at 17-4790_0051; 0067-68).
[82] Former Financial Administrator Transcript (Exhibit 2 at 17-4790_0059).
[83] *Id.*
[84] Former Legislative Director Transcript (Exhibit 3 at 17-4790_0099).
[85] Harvard Executive Education Course Materials (Exhibit 11 at 17-4790_0211-22). The OCE recognizes that invoices indicate that Mr. Schwab paid for a course titled "Leadership in Crisis," held between April 3, 2016 and April 8, 2016, but the OCE believes, based on the other evidence obtained, that Mr. Schwab attended the "Leadership Decision Making" course held in October 2016. *See id.*
[86] *Id.*
[87] *Id.*

64. On October 29, 2016, Mr. Schwab emailed Former Financial Administrator and asked whether this sort of program was reimbursable.[88] He explained that the "course was comprised of senior government managers from across the government and military" and noted his surprise that he was "the only person who had paid for the course personally."[89]

65. In response, Former Financial Administrator told Mr. Schwab that the course may be reimbursable if it primarily benefited the office (and not him personally).[90] Mr. Schwab thereafter explained that the course was "100% better management and human resources decision making" and that he used Rep. Schweikert's office as his "operational case all week."[91]

66. In December 2016, Mr. Schwab and Former Financial Administrator submitted MRA reimbursement materials "for [a] leadership course to benefit office as a whole, not personal benefit."[92] The $7,400.00 was reimbursed to Mr. Schwab thereafter.[93]

67. Although Mr. Schwab did not cooperate with this review and Former Financial Administrator raised concerns about reimbursement for this course, given the curriculum,[94] the intended audience,[95] and the fact that it is not a degree program, the OCE does not conclude, based on the facts known to it as of the date of this report, that Mr. Schwab misspent official funds on this program.

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68. Although the Board found insufficient evidence to conclude that Mr. Schwab misused MRA funds with respect to office supply purchases or his October 2016 Harvard training program, the Board finds substantial reason to believe that the Arizona Trip was, at least in part, personal or campaign-related. Therefore, there is substantial reason to believe that Rep. Schweikert – as the individual responsible for ensuring his staff's compliance with all MRA rules and regulations – improperly certified to the disbursement of MRA funds for campaign or personal expenses.

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[88] Emails between Former Financial Administrator and Oliver Schwab, Oct. 29, 2016 (Exhibit 12 at 17-4790_0224-26).
[89] *Id.*
[90] *Id.*
[91] *Id.*
[92] Harvard Executive Education Course Materials (Exhibit 11 at 17-4790_0211).
[93] Statement of Disbursements of the House, January 1, 2016 – March 31, 2016.
[94] Harvard Kennedy School, Leadership Decision Making: Curriculum, https://www.hks.harvard.edu/educational-programs/executive-education/leadership-decision-making/curriculum (last visited March 14, 2018).
[95] Harvard Kennedy School, Leadership Decision Making: Who Should Apply, https://www.hks.harvard.edu/educational-programs/executive-education/leadership-decision-making/who-should-apply (last visited March 14, 2018).

III. REP. SCHWEIKERT MAY HAVE ACCEPTED IMPROPER CAMPAIGN CONTRIBUTIONS FROM A CONGRESSIONAL EMPLOYEE

A. Applicable Law, Rules, and Standards of Conduct

69. Federal Law

Under 18 U.S.C. § 602, "[i]t shall be unlawful for . . . an individual elected to or serving in the office of Senator or Representative . . . to knowingly solicit any contribution within the meaning of section 301(8) of the Federal Election Campaign Act of 1971 from any other such officer, employee, or person. [96]

Under 18 U.S.C. § 603(a), "[i]t shall be unlawful for an officer or employee of the United States or any department or agency thereof, or a person receiving any salary or compensation for services from money derived from the Treasury of the United States, to make any contribution within the meaning of section 301(8) of the Federal Election Campaign Act of 1971 to any . . . Senator or Representative in . . . the Congress, if the person receiving such contribution is the employer or employing authority of the person making the contribution."[97]

70. House Ethics Manual

"A provision of the federal criminal code, 18 U.S.C. § 603, makes it unlawful for any federal officer or employee to make certain campaign contributions to 'the employer or employing authority of the person making the contribution.' Accordingly, an employee of a Member office is prohibited from making a 'contribution' . . . to his or her employing Member."[98]

According to the House Ethics Manual, "[t]he prohibition against an employee making [a] contribution to the individual's employing Member is absolute. A House employee may not make such a contribution even if the contribution was entirely unsolicited and the employee genuinely wishes to make the contribution."[99]

"The definition of the term contribution in the FECA is quite detailed . . . [U]nder FEC regulations, most ***outlays*** *that an individual makes on behalf of a campaign are deemed to be a contribution to that campaign from that individual.* ***This is so even if it is intended that the campaign will reimburse the individual promptly.*** *The major exception to this rule is for outlays that an individual makes to cover expenses that he or she incurs in* ***traveling*** *on behalf of*

[96] "The term 'contribution' includes (i) any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for Federal office." 52 U.S.C. § 30101(8)(A); *see also* 11 CFR § 100.52(a).
[97] Under 18 U.S.C. § 603(b), "a contribution to an authorized committee as defined in section 302(e)(1) of the Federal Election Campaign Act of 1971 shall be considered a contribution to the individual who has authorized such committee."
[98] House Ethics Manual (2008) at 137-38.
[99] *Id.* at 138.

a campaign."[100] *Assuming certain travel outlays are reimbursed within specified time periods, they will not be considered "contributions."*[101]

The House Ethics Manual also states that a Member "must take reasonable steps to ensure that any outside organization over which he or she exercises control – including the individual's own authorized campaign committee . . . – operates in compliance with applicable law."[102]

B. Rep. Schweikert May Have Accepted Campaign Contributions from his Chief of Staff, Oliver Schwab

71. The OCE found that Oliver Schwab made multiple contributions to Rep. Schweikert's campaign committees. These include a direct contribution to Rep. Schweikert's campaign in 2012 and routine personal outlays made on behalf of Rep. Schweikert's campaign committees between 2011 and 2016.

72. On March 3, 2012, while employed as Rep. Schweikert's Chief of Staff, Mr. Schwab made a $1,000.00 contribution to Schweikert for Congress, Rep. Schweikert's then-principal campaign committee.[103]

73. Between 2011 and 2016, while also employed in Rep. Schweikert's congressional office, Mr. Schwab made various personal outlays on behalf of Rep. Schweikert's campaign committees that were subsequently reimbursed to him.[104] The OCE determined that Mr. Schwab was likely reimbursed for at least $16,886.99 in personal outlays made on behalf of Rep. Schweikert's campaign committees;[105] however, the OCE could not determine a definitive figure given the non-cooperation by Rep. Schweikert, Rep. Schweikert's affiliated campaign entities, and Oliver Schwab.

74. Portions of Mr. Schwab's personal outlays attributable to travel may have been permissible, and not considered improper "contributions," if reimbursed within the required timeframes.[106]

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[100] *Id.* at 138-39 (emphasis in original).
[101] *Id.* With respect to personal outlays for travel expenses, a "contribution" does not include situations where "the campaign provides reimbursement within 60 days after the expenses are incurred if payment was made by credit card, or within 30 days in all other cases." *Id.* at 139. n.27; *see also* 11 C.F.R. § 116.5(b).
[102] House Ethics Manual at 123.
[103] Schweikert for Congress, FEC Report of Receipts and Disbursements, Apr. 15, 2012 Quarterly Report at 71, filed April 15, 2012. On April 4, 2012, $1,000.00 was refunded to Mr. Schwab by Schweikert for Congress. Schweikert for Congress, FEC Schedule B Itemized Disbursements, July 15, 2012 Quarterly Report at 158, filed September 6, 2012. Presumably this refund was made in recognition of the illegality of the March 3, 2012 contribution; however, the OCE cannot say with certainty given Rep. Schweikert's refusal to cooperate with this review.
[104] FEC Disbursement Chart (Exhibit 13 at 17-4790_0228-32). Friends of David Schweikert is Rep. Schweikert's current principal campaign committee and Schweikert for Congress was a prior principal campaign committee.
[105] FEC Disbursement Chart (Exhibit 13 at 17-4790_0228-32). The OCE calculated the figure identified above by adding only disbursements from Rep. Schweikert's campaign committees that specifically referenced a "reimbursement" or "reimb" as the "purpose" of the disbursement. *See id.* The OCE excluded any other disbursements, although it recognizes that other disbursements to Mr. Schwab may qualify as reimbursements for improper personal outlays. The OCE also excluded the April 2, 2012 $1,000.00 "refund" for the reasons discussed above. *See supra*, footnote 103.
[106] *See supra*, Section III.A.

However, several of Mr. Schwab's personal outlays, including outlays for "Office Supplies," "Food/Beverage," and "Postage," appear to be improper contributions.[107]

75. Based on the foregoing information, the Board finds that there is substantial reason to believe that Rep. Schweikert failed to ensure that his campaign committee complied with applicable rules regarding contributions from congressional employees.

IV. CONCLUSION

76. Based on the foregoing information, the Board finds that there is substantial reason to believe that Rep. Schweikert authorized expenditures from his MRA, made by or on behalf of his Chief of Staff Oliver Schwab, that were not for permissible official expenses.

77. Based on the foregoing information, the Board finds that there is substantial reason to believe that Rep. Schweikert failed to ensure that his campaign committee complied with applicable rules regarding contributions from congressional employees.

78. Accordingly, the Board recommends that the Committee further review the above allegations concerning Rep. Schweikert.

V. INFORMATION THAT THE OCE WAS UNABLE TO OBTAIN AND RECOMMENDATIONS FOR THE ISSUANCE OF SUBPOENAS

79. As discussed below, thirteen individuals or entities refused to cooperate with this review. The Board recommends the issuance of subpoenas to the following non-cooperative individuals and entities:

Rep. Schweikert

80. The OCE requested information from Rep. Schweikert in his official capacity and from his affiliated campaign and political action committees. The OCE also requested the opportunity to interview Rep. Schweikert. Rep. Schweikert, through counsel, declined to produce any information or interview with the OCE.

Oliver Schwab

81. The OCE requested information from and the opportunity to interview Mr. Schwab. Mr. Schwab, through counsel, declined to produce any information or interview with the OCE.

Keith A. Davis

82. Keith A. Davis is a former treasurer to various Rep. Schweikert-affiliated campaign and political action committees. Mr. Davis informed the OCE that, as of the date of its December 14, 2017

[107] *See* FEC Disbursement Chart (Exhibit 13 at 17-4790_0227-32).

request for information, he was no longer handling FEC compliance matters for Rep. Schweikert, and he had turned over all files to Campaign Financial Services.[108] In response, the OCE explained that its request included any responsive email communications, or other documents, still currently accessible to Mr. Davis.[109] Mr. Davis did not provide any documents to the OCE and did not provide a certification under 18 U.S.C. § 1001 affirming that he had no responsive documents.

Campaign Financial Services

83. Campaign Financial Services is an FEC compliance firm handling FEC compliance matters for various Rep. Schweikert-affiliated campaign and political action committees. Campaign Financial Services declined to produce any information in response to the OCE's requests for information and declined to interview with the OCE.

Current Congressional Staff Members

84. Kevin Knight is Rep. Schweikert's Deputy Chief of Staff. Mr. Knight did not respond to OCE requests for an interview.

85. Katherina Dimenstein is Rep. Schweikert's Legislative Director. Ms. Dimenstein, through counsel, declined to interview with the OCE.

86. Ashley Sylvester is Rep. Schweikert's Officer Manager and Press Assistant. Ms. Sylvester, through counsel, declined to interview with the OCE.

87. Ernestina Borquez-Smith is Rep. Schweikert's Director of Constituent Services and Office Manager. Ms. Borquez-Smith, through counsel, declined to interview with the OCE.

Former Congressional Staff Members

88. Kelly Roberson was an Intern, District Aide, Director of Operations, and Policy Advisor in Rep. Schweikert's office between September 2011 and December 2016. Ms. Roberson did not respond to OCE requests for an interview. The OCE was informed that on January 19, 2018, prior to the time that the OCE reached out to Ms. Roberson for an interview, Mr. Schwab and Ms. Roberson had lunch together, at Mr. Schwab's invitation.[110]

89. Mr. Thomas Leander was an Intern, Fellow, Staff Assistant, Legislative Correspondent, Legislative Aide, and Legislative Assistant in Rep. Schweikert's office between May 2014 and August 2017. On January 11, 2018, Mr. Leander agreed to interview with the OCE on January 18, 2018.[111] On January 17, 2018, Mr. Leander informed the OCE that the interview no longer

[108] Email from Keith A. Davis to Jeffrey L. Brown, Investigative Counsel, Dec. 14, 2017.
[109] Email from Jeffrey L. Brown, Investigative Counsel, to Keith A. Davis, Dec. 14, 2017.
[110] Former Deputy Chief of Staff Transcript, Part 2 (Exhibit 4 at 17-4790_0127-28).
[111] Email from Tommy Leander to Jeffrey Brown, Investigative Counsel, Jan. 11, 2018.

worked with his schedule and that he did not wish to reschedule the interview.[112] He did not respond to subsequent communications from the OCE.

Mr. Schwab's Family

90. Ana Schwab, Mr. Schwab's wife, declined, through counsel, to produce any information in response to the OCE's requests for information and declined to interview with the OCE.

91. Jennifer Casey Schwab, Mr. Schwab's mother, did not respond to the OCE's requests for information and request for an interview.

92. Forrest Schwab, Mr. Schwab's brother, declined to produce any information in response to the OCE's requests for information and declined to interview with the OCE.

[112] Email from Tommy Leander to Jeffrey Brown, Investigative Counsel, Jan. 17, 2018.

EXHIBIT 1

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

Transcript of Interview of
Former Deputy Chief of Staff

January 18, 2018

1 Jeff Brown: Okay. This is Jeff Brown. With me is Paul Solis and Brandon Wong from the
2 Office of Congressional Ethics. Before us we have Former Deputy Chief of
3 Staff ("Former Deputy COS"). It is a little after 3:00 on January 18. We are in
4 the conference room at the Office of Congressional Ethics. Former Deputy
5 Chief of Staff has been given a copy of the False Statements Warning, and we
6 are going to get underway.

7 Former Deputy COS: Before we begin, I am Former Deputy Chief of Staff. I give consent for this
8 conversation to be recorded on the condition that I get a copy of the
9 transcription.

10 Jeff Brown: Is Former Deputy Chief of Staff okay, or do you prefer-

11 Former Deputy COS: It's Former Deputy Chief of Staff.

12 Jeff Brown: Former Deputy Chief of Staff, okay. Former Deputy Chief of Staff, you were
13 previously employed by Representative Schweikert. In what capacity were
14 you employed in his office?

15 Former Deputy COS: Started as an LA, became the LD, then the Deputy Chief of Staff.

16 Jeff Brown: Okay. Can you roughly walk me through the timeframes in which you held
17 those different jobs?

18 Former Deputy COS: I came on at the new Congress of 2012 and worked for him until ... No.
19 Beginning of 2011 and worked for him until January 3 of 2017.

20 Jeff Brown: Okay. Do you recall approximately the dates in which you were promoted
21 through those roles?

22 Former Deputy COS: Promoted to LD, May-June timeframe of 2012. Deputy Chief of Staff,
23 December of 2014.

24 Jeff Brown: Okay. Who did you report to while you were in Representative Schweikert's
25 office?

26 Former Deputy COS: Initially, Matthew Tully as an LA. Then he became Chief of Staff when I
27 became the LD. I continued to report to him until he left. I guess that would
28 be spring of ... I think '13, at which point in time I reported to Oliver Schwab.

29 Jeff Brown: You are no longer in Representative Schweikert's office. Where do you
30 currently work?

31 Former Deputy COS: Consumers' Research.

32 Jeff Brown: Okay. When did you start there?

33 Former Deputy COS: June of this year as a 1099 employee and was hired full-time August, early
34 September of this year.

35 Paul Solis: Do you mean 2017?

1 Former Deputy COS: Sorry, 2017. Yes. 2017. Last year.

2 Jeff Brown: Why did you leave Representative Schweikert's office?

3 Former Deputy COS: I felt that the office had become unstable. I had been given assurances that
4 the Chief of Staff was leaving, and when he decided to stay he became
5 somewhat abusive to me and another senior staffer. I was then given the
6 option of staying for a dramatically reduced salary, with my performance
7 being judged on campaign donations or leaving with a six month severance. I
8 chose to leave. However, I never received the severance. At the end of
9 December, I was told that the office would not be honoring that agreement.

10 Jeff Brown: Okay. I'd like to break down some of that. You said there was you and
11 another individual you felt was subject to some abusive behavior. Who is the
12 other staffer?

13 Former Deputy COS: Kevin Knight.

14 Jeff Brown: Okay. When you were referring to the Chief of Staff a moment ago, you were
15 referring to Oliver Schwab.

16 Former Deputy COS: Correct.

17 Paul Solis: Well, first I'd like to ... based on Jeff's initial question about why you left. You
18 said you felt the office had become unstable. Can you just give us a little
19 more detail on what you-

20 Former Deputy COS: Oliver, through two hour-and-a-half sessions over the phone, the first with
21 me and Kevin Knight, the second with just me, basically spent 90 minutes
22 cussing me out and tell me that I was terrible at my job ... after literally 24
23 hours prior saying that I was going to be there forever, and he was leaving,
24 and I was going to be Chief of Staff. He was showing ... this all happened over
25 the course of literally a two week period. He was showing signs of severe
26 stress and anger at the member and was lashing out at me. So, it was
27 basically two hour-and-a-half sessions where he screamed and swore at one
28 with both me and Kevin Knight and one with just me.

29 Paul Solis: Okay. So, he had informed you in some way that he was leaving the office?

30 Former Deputy COS: Yes.

31 Paul Solis: Okay. When did he inform you of that?

32 Former Deputy COS: He had been saying that the entire congress. He had his paperwork in at one
33 point in time.

34 Paul Solis: This is the 114th Congress?

35 Former Deputy COS: Yeah, the 114th. We're in the 15th. At one point in time at 113th, he had
36 paperwork signed to leave, and on his last day decided to stay. Basically

through the entire year of 2016, he would go through these tirades against the member both to me and ... and sometimes to Keven and I together, sometimes just to me, sometimes just to Kevin ... about how awful David was and how he hated it and how he was going to quit. He basically said, "I'm not coming back." That was basically the whole year of 2016. When he decided to come back after having his two outbursts with me and basically saying, you know, "Here are two options: You can quit with severance or you can come back next year at a reduced title, reduced pay, you'll never see your family, and you're going to be judged on how much money we bring in to Dave, even though you're not the fundraiser, you're going to be the one that's responsible for that top dollar." As the legislative staff, I did not want to come back anymore.

Paul Solis: So, that information that was passed to you by Mr. Schwab occurred in two telephone conversations?

Former Deputy COS: No, that was face ... the last conversation was ... so after his first round of abuse over the phone with me and Kevin Knight, I just kind of ... he wasn't around, he was out of town.

Paul Solis: When did that happen?

Former Deputy COS: October of 2016, maybe the end of September. It was literally the day before the NCAI Convention in Phoenix. It was the first phone call. I was basically given instructions to fly out to Phoenix day of, to go to that convention even though previously we had discussed me not going, because of the hour-and-a-half phone call. I went. Things were fine for a week. He ended up getting really frustrated over an even with Kevin McCarthy that was kind of air dropped on us. He spent the next Monday on the phone with me while he was in Phoenix. I was in the office at the time, and the entire staff could hear him screaming over the phone. I had to go to the member's office. After that it was another hour-and-a-half, basically just screaming and cussing and everything is terrible. After that I asked for a meeting. At that meeting he gave me the options to stay at reduced salary, reduced title, and being judged by campaign donations, or to go with six month severance. I chose to go with the six month severance.

Paul Solis: Okay, that face to face meeting also happened in October of 2016?

Former Deputy COS: Yes.

Paul Solis: Were you a campaign staffer, either paid or volunteer at the time?

Former Deputy COS: No.

Paul Solis: Do you know then why he would say to you that your performance would be based on campaign donations?

1	Former Deputy COS:	I know that David ... and this was the ongoing theme throughout the year of
2		how he wanted to quit, was David was putting increasing pressure on him to
3		raise money because David wanted to run for the Senate. David was
4		basically telling him, "I need a million dollars if I'm going to run for the
5		Senate." I think that was weighing on him. I do not believe if I had stayed
6		that would have been what happened. That was just what was threatened,
7		and I didn't want to take that chance.
8	Paul Solis:	Did he say to you at all whether the member had made the information he
9		passed to you that your performance would be based on campaign
10		contributions, did he say whether that came from Representative
11		Schweikert?
12	Former Deputy COS:	Absolutely not, no. He didn't. David had said to me on multiple occasions,
13		"I'm leaning on Oliver to raise money. That's not your responsibility."
14	Paul Solis:	Okay.
15	Jeff Brown:	Was Representative Schweikert ever made aware of these two October 2016
16		outbursts?
17	Former Deputy COS:	No.
18	Jeff Brown:	Did you ever have any conversations with Representative Schweikert about
19		your decision to leave?
20	Former Deputy COS:	I believe it was relayed to him that I wanted to leave to be a chief. When I
21		had decided to leave, I didn't want to bring it up. I really just wanted to be
22		done with the place. You know, with David and with Oliver. Once I left in
23		November ... I think my last official day in the office was the Monday before
24		Thanksgiving of 2016. After that I never talked to David again.
25	Paul Solis:	Just to get the timing straight, you said your last day in the office was
26		November of 2016 ... you said before Thanksgiving? Or after?
27	Former Deputy COS:	The Monday before Thanksgiving.
28	Paul Solis:	Before Thanksgiving. And when we asked you how long you'd been in the
29		office, you specifically mentioned January 3, 2017?
30	Former Deputy COS:	I physically left the office. I was continuing to do work and one of the things
31		that was ... you're going to be basically essentially on contract for the next
32		six months and so I was no longer physically in the office but I was still
33		performing work for the office until January 3rd.
34	Paul Solis:	Of 2017?
35	Former Deputy COS:	Of 2017.

1 Jeff Brown: Okay. You said that Mr. Schwab had made it clear on several occasions that
2 he was planning to leave the office. Can you talk about those instances in a
3 little more detail?

4 Former Deputy COS: Up and … I mean it was such a regular occurrence that people were noticing
5 how tired I was and people thought it was because David is a hard member
6 to work for. He's highly caffeinated and a little off kilter at times. It was
7 because Oliver was always threatening to quit, like literally day of walk out,
8 quit. "I'm going to quit today. I hate David and I hate this job and this is going
9 to be terrible." And I consistently talked him off the ledge because David is, I
10 would say … the word I would use would be emotionally attached to Oliver.

11 Jeff Brown: What other staffers in the officer would have seen or been privy to any of
12 these conversations?

13 Former Deputy COS: Cami Lepire heard the conversation. Oh, as far as Oliver quitting or him
14 yelling at me?

15 Jeff Brown: Either one.

16 Former Deputy COS: As far as the conversation that was overheard when he was yelling at me the
17 second time, Cami Lepire did overhear that and apologized because she
18 thought it was her fault that he was yelling and it wasn't. But he was fairly
19 open to every staffer that would ask him that he was going to quit and not
20 come back in my opinion. There was no one that … there was literally no one
21 in the office that assumed he was going to be back this Congress.

22 Paul Solis: Just a little bit walk back on your time in the office, so from 2011 when you
23 started, was Mr. Schwab in the office?

24 Former Deputy COS: Yes. He was-

25 Paul Solis: Your entire time there?

26 Former Deputy COS: He was there until he left to run the Super PAC in the Quayle campaign of
27 2012 and then he came back after the election.

28 Paul Solis: Did he have the same job title both periods?

29 Former Deputy COS: No. He came back … Matt Tully was still chief of staff when Oliver came back.
30 Oliver I think had the title of senior advisor and then when Matt left, Oliver
31 became the chief of staff again.

32 Paul Solis: Okay. Again when was that when he became chief of staff?

33 Former Deputy COS: Whenever Matt Tully left, I believe Spring of '14.

34 Paul Solis: Okay. And in that 2011 to 2012 window before he left to go run the Super
35 PAC, was title also senior advisor?

36 Former Deputy COS: No, it was chief of staff.

1 Paul Solis: Mr. Schwab's?

2 Former Deputy COS: I did not report to him but that was his … Oliver was the chief of staff. I
3 reported to Matt Tully when Matt Tully was the LD. When Oliver left, Matt
4 Tully became the chief and then I reported to Matt Tully as chief as the LD.

5 Paul Solis: Got it.

6 Jeff Brown: Do you currently have any relationship with Oliver Schwab?

7 Former Deputy COS: None.

8 Jeff Brown: Okay. When was the last time you spoke with him?

9 Former Deputy COS: I think I sent him an email thanking him for a referral to or for information
10 that John Carter had a job opening at that time. I was still unemployed and I
11 said thank you. And I think it was the last response. I did have an email from
12 him, you know, when you called, I knew this was going to be about this
13 because I saw the story. I was not one of the sources. I called Kevin because I
14 still have a … I do have a very good relationship with Kevin. He and I talk
15 regularly and he's kind of my mentor in a lot of things. I told him, I said, "I
16 wasn't the source," and I don't have anything to do with this and I really
17 don't want anything to do with this." Evidently, Kevin did relay to Oliver that
18 I was not the source and Oliver said, "Thank you for your kind words," and
19 that was the last I've heard of him. He has not called me or emailed me since
20 and I did not respond to that email.

21 Jeff Brown: What did Kevin say about the story?

22 Former Deputy COS: He … when I had called him, he had not even heard … he had not even seen
23 the story. He was doing … oh he may have been on vacation with his … I
24 think that's when his mother-in-law was passing. So, he didn't even know
25 the story.

26 Jeff Brown: Have you talked to anybody else about that story?

27 Former Deputy COS: Other than my wife and my parents, no.

28 Paul Solis: When was Mr. Schwab's email to you about-

29 Former Deputy COS: That's not … I did share that with my current employer so that she would
30 know that that was going on.

31 Paul Solis: Wait for the train to go by. When did Mr. Schwab send you that email saying,
32 "Thank you for your kind words?"

33 Former Deputy COS: Sometime in November.

34 Paul Solis: Of 2017?

35 Former Deputy COS: Yes.

1 Jeff Brown: Do you have any idea who the sources were for that story?

2 Former Deputy COS: I could probably make a guess for one and a good guess for one and a
3 somewhat educated guess for the other but other than that, no.

4 Paul Solis: Okay. What would you lead you to have an educated guess on one of those?

5 Former Deputy COS: I believe that he terminated Mary O'Connor at roughly the same time he did
6 me. She had major issues with some of the things that he did. I believe I
7 signed all the budget paperwork. I have no reason to believe that he was
8 doing anything unethical with his reimbursements. Spendthrift, that's for
9 sure. But, you know, she would get frustrated over his spendthrift nature but
10 I believe that she had concerns so I believe that she could be one. I also have
11 not heard but I … not directly from any source, but from people who have
12 worked with Martha McSally's chief, that he could be one, that that would be
13 the kind of thing that he would do.

14 Paul Solis: And who is that?

15 Former Deputy COS: Justin Roth.

16 Jeff Brown: What is Oliver's relationship to Justin?

17 Former Deputy COS: Just colleagues but the suspicion and this is someone who is now working
18 for the federal government way out west who I used to work with in John
19 Carter's office, he has no ties to him other than he worked for Justin Roth
20 when Justin Roth was Joe Walsh's chief. And he said based on the things that
21 he observed Justin Roth do while he was with Joe Walsh, that he could see
22 that Justin Roth would leak something like this to the press to force David to
23 stay out of the Senate race so that Martha McSally could run.

24 Paul Solis: And just to go back, you mentioned a couple times this Mary O'Connor?

25 Former Deputy COS: Mm-hmm (affirmative).

26 Paul Solis: Was, I think you used the word terminated, was she actually her
27 employment was terminated by the Member? Paperwork was signed by the
28 Member?

29 Former Deputy COS: That was after I left.

30 Paul Solis: Okay. So you don't know that firsthand knowledge?

31 Former Deputy COS: I … the only … I mean I wasn't in the office at the time but I was told by
32 former staffers that were still on the Hill that she was let go.

33 Paul Solis: Okay. And you also referred to your employment as being terminated as well
34 and I just want to be clear, you talked to us about the options that were
35 given to you but were you officially terminated or did you resign?

1	Former Deputy COS:	I took a severance package and then I got an email right before the start of
2		the new Congress saying that the severance package was not going to be
3		offered because he needed the money for other places.
4	Paul Solis:	Okay.
5	Former Deputy COS:	And so at that point in time, I would consider myself moving from resigning
6		to terminated.
7	Paul Solis:	Okay.
8	Former Deputy COS:	Semantics.
9	Paul Solis:	Okay. Were you notified at all by the House that your employment had been
10		terminated?
11	Former Deputy COS:	I mean only in the I didn't get a paycheck the next month.
12	Paul Solis:	Okay.
13	Former Deputy COS:	I filed for unemployment and it was not contested.
14	Paul Solis:	Okay. I just want to be clear because I think it matters in the timeline here.
15		You were offered a severance package and I want to ask a question about
16		that. You were offered a severance package, you received an email from
17		Oliver Schwab or Representative Schweikert?
18	Former Deputy COS:	Oliver Schwab.
19	Paul Solis:	Informing you that that severance package was not going to be issued-
20	Former Deputy COS:	Honored.
21	Paul Solis:	-honored to you. Then what happened? You just stopped receiving a
22		paycheck?
23	Former Deputy COS:	I got my paycheck on ... my last paycheck for up through ... from January 1st
24		to January 3rd, and that was it.
25	Paul Solis:	Were you and you talked about Mr. Schwab's message to you that there were
26		options in front of you. You could stay on-
27	Former Deputy COS:	That was in October.
28	Paul Solis:	Did you refuse those options in that meeting with him or that discussion
29		with him?
30	Former Deputy COS:	No. I told him I needed time to think about it. I originally asked to give him a
31		decision post-Halloween or no post-Thanksgiving and he did ... he originally
32		agreed to that and then moved the timeline up but I had decided basically
33		within less than 24 hours that I was not going to go back.

1 Paul Solis: Okay. So, you had never filed paperwork in late 2017 with House Payroll
2 notifying them of your ending of employment with the House?

3 Former Deputy COS: No.

4 Paul Solis: You just stopped receiving a paycheck?

5 Former Deputy COS: Yeah.

6 Paul Solis: Did you at all inquire with the Office again about the status of your
7 employment?

8 Former Deputy COS: He sent me an email saying that they weren't- that my ... after ... The original
9 agreement was for me to receive payment until – full salary for six months
10 until July 1 of 2017. Either late December or early January, he sent me an
11 email saying that because of David's changing budget needs, he can't honor
12 the agreement, and that I would be paid through January 3rd, and that
13 would be the end of it.

14 At the time, my wife was in her third trimester. It was a very difficult
15 pregnancy and every time I had an email receive from Oliver Schwab, I
16 would talk to my wife, because we had that kind of relationship and it would
17 shoot her blood pressure up and be dangerous for the baby. I just wanted it
18 to end and so I said, "Fine. I will not seek any compensation."

19 Jeff Brown: Did you maintain any of these documents related to your severance?

20 Former Deputy COS: Everything else was done through the House. I didn't have access my House
21 email post-Thanksgiving. I recently deleted ... I guess, I kept seeing his name
22 pop up in my Google searches, so I deleted everything, but that can probably
23 be recovered, the last email that I got from him. That was before you emailed
24 me. That was the last time, I think.

25 Jeff Brown: Did you want to ask a question about the severance?

26 Paul Solis: No. I think ... You've given us the terms of the severance. It was that you
27 were going to be paid through July of 2017 at your typical salary, your
28 normal salary.

29 Former Deputy COS: Mm-hmm (affirmative).

30 Paul Solis: But that you would no longer be reporting to work anymore, right?

31 Former Deputy COS: Mm-hmm (affirmative).

32 Paul Solis: Your employment would be terminated or ...

33 Former Deputy COS: I would be continuing to work as a need basis.

34 Paul Solis: Okay.

1 Former Deputy COS: In the office.

2 Paul Solis: It was still an understanding you would be a House employee?

3 Former Deputy COS: Yes.

4 Paul Solis: At a reduced work hours?

5 Former Deputy COS: Yes.

6 Paul Solis: But there was no understanding between you and Mr. Schwab that you
7 would no longer be reporting at all to work?

8 Former Deputy COS: I think he was under the impression that I would get a new job really quickly
9 and he would never have to ever pay me any additional money, which was
10 my intention. I really wanted to be done, but ... But yeah, that's ...

11 Paul Solis: Do you know if there was an official designation of the type of work category
12 you'd be in? It was leave with pay or ... ?

13 Former Deputy COS: I don't.

14 Paul Solis: Okay. We can move on, Jeff, if you like.

15 Jeff Brown: We've talked a little bit about your relationship with Oliver, in the office, Mr.
16 Schwab. What was your working relationship like with Representative
17 Schweikert?

18 Former Deputy COS: Excellent.

19 Jeff Brown: Can you talk a little bit about the working relationship that you had with
20 him? Sort of, what things you would directly report to him on?

21 Former Deputy COS: Oliver was technically my supervisor when I became the deputy chief of
22 staff, but for all intents and purposes, I was reporting to David on
23 everything, everything legislatively. I staffed him at committee. We had a
24 very good working relationship.

25 Jeff Brown: To what extent was Representative Schweikert aware of Mr. Schwab's
26 continued threats to quit?

27 Former Deputy COS: I don't think he was. He knew that Oliver had put in paperwork once to
28 leave, but I don't think David was aware that Oliver was constantly teetering
29 back and forth on it.

30 Jeff Brown: How would you describe Representative Schweikert's relationship with Mr.
31 Schwab?

32 Former Deputy COS: Dependent. Maybe even co-dependent.

33 Jeff Brown: What do you mean by that?

Former Deputy COS: I think ... I'm not a doctor. I think that David displays some signs of autism. And Oliver got him elected the first time, and David feels that without Oliver, nothing could go right for him.

Jeff Brown: What do you mean Oliver got him elected the first time?

Former Deputy COS: I mean, Oliver was the campaign staffer. The story that I know is that they were in the car. Oliver was with Senator Toomey at the time, back when Toomey was running Club for Growth. David called Toomey, said, "I need to figure out how to win this campaign." Toomey said, "I've got this guy for you right now. He'll be there." And they won. They beat Harry Mitchell and David got elected. And so, when he won the campaign, I think he considered Oliver's campaign plan as what won him that campaign.

Jeff Brown: If it's okay, I would like to sort of take a step back a little bit, and talk a little bit more generally about the ways things operated in Representative Schweikert's office.

Former Deputy COS: Okay.

Jeff Brown: You can kind of talk through some generalities, and then I have some more specific questions along the lines of some of the stuff we were just talking about. Did you have occasion to travel while you were in Representative Schweikert's office?

Former Deputy COS: Yes.

Jeff Brown: Okay. How frequently?

Former Deputy COS: Once a quarter, maybe.

Jeff Brown: And generally, for what sort of purposes would you travel?

Former Deputy COS: Most of my travel was done to the district. I had maybe one or two over the course of the year to go outside the district to represent Schweikert in an official capacity a day he wasn't available.

Jeff Brown: How frequently do staffers travel to and from the district?

Former Deputy COS: Most staffers very infrequently, no more than once or twice a quarter. There were a few staffers that were from the district that would be more regular to go back and staff David on events. Oliver seemed like he was traveling most weekends to the district, at least every other weekend to the district.

Jeff Brown: And when you say weekends, what days are we actually talking?

Former Deputy COS: Last session day to the flying day. So if it's a four-day week and we ended on Thursday, Oliver would fly out Thursday or Friday morning and then come back before votes on the Monday or the Tuesday, depending on which ...

1	Jeff Brown:	Are you aware of what sort of role Oliver was playing while in Arizona for
2		Rep. Schweikert?
3	Former Deputy COS:	A little. He made a dual-hat role, both as the chief of staff representative for
4		David, official business, and also doing fundraising.
5	Jeff Brown:	Okay.
6	Paul Solis:	He was a paid campaign employee?
7	Former Deputy COS:	I did very little campaign work for him. I know very little about the ins and
8		outs of the campaign.
9	Paul Solis:	Are you aware if Oliver Schwab was a paid campaign employee?
10	Former Deputy COS:	I am not.
11	Paul Solis:	You do know that he did work for the campaign?
12	Former Deputy COS:	I do.
13	Paul Solis:	But whether or not he was compensated for that you do not know?
14	Former Deputy COS:	I do not know.
15	Jeff Brown:	You said Oliver would travel back and forth to the district with some degree
16		of frequency. Who else would travel back? Specifically, who else would
17		travel back and forth with frequency?
18	Former Deputy COS:	Other than the member, no one else with frequency.
19	Jeff Brown:	Can you walk us through the process by which a staffer, if they were
20		traveling to another district, how would they arrange for travel out to the
21		district?
22	Former Deputy COS:	Usually book their own flights and then reimburse the flight.
23	Jeff Brown:	How does the reimbursement process work?
24	Former Deputy COS:	Book the flight, print the flight receipt, and then you look at the flight receipt
25		and then you reimburse, the reimbursement process.
26	Jeff Brown:	Who is the person that you're going to to seek the reimbursements?
27	Former Deputy COS:	When I was signing some budget documents it was both me with the second
28		eye set of Mary O'Connor. I would not sign my own documents without
29		another staff to look through anything that I was getting reimbursed on.
30	Jeff Brown:	Who would have signed them for Mr. Schwab?
31	Former Deputy COS:	Often me with Mary O'Connor also seeing.

1 Jeff Brown: If Oliver was heading out to the district during one of those weekends,
2 would you have conversations about what kind of work he was doing out in
3 the district?

4 Former Deputy COS: Usually, yes.

5 Jeff Brown: You mentioned that he would staff David on both official and campaign-
6 related issues.

7 Former Deputy COS: Mm-hmm (affirmative).

8 Jeff Brown: If there were campaign-related issues was there ever any discussion about
9 whether or not the campaign would pay for the flight or the official MRA
10 would pay for the flight?

11 Former Deputy COS: No. Most issues with the campaigns, they were either ... If he was doing
12 campaign-related activities, they were either after-hours or on the weekend.
13 He would be there for official business at least, basically a day and a half, two
14 days, and if there was any sort of campaign event, it would not be the
15 majority of his time there.

16 Jeff Brown: Did you have any concerns based upon the travel-related documents that
17 you saw with the way in which Mr. Schwab was spending MRA funds to
18 travel to and from the district?

19 Former Deputy COS: Not at the time. In hindsight, I felt there may...should have been more
20 supervision of him.

21 Jeff Brown: What do you mean by that?

22 Former Deputy COS: I just ... I'm a very cover-your-butt kind of guy. I'm very familiar with your
23 organization because when I was with John Carter, I learned all about the
24 history of the OCE and how it was formed. He was my boss. I felt like I had to
25 sign his documents. I didn't really think about it until after I left, that there
26 was not enough overlap on activities and there should've been more.

27 Jeff Brown: To what extent was Representative Schweikert involved approving any of
28 Mr. Schwab's travel?

29 Former Deputy COS: Almost none. I mean, other than him telling David "I'm coming to the
30 District," David said ... or David telling him "Come to the District."

31 Jeff Brown: So the individuals that may have been involved with Oliver's travel to and
32 from the District would have been potentially yourself, Mary, and maybe
33 Representative Schweikert?

34 Former Deputy COS: Yeah. I'm sure I didn't sign every single reimbursement document. I'm sure
35 if you look through, over the reimbursement documents, you'll find a Kelly
36 Roberson or a Katherina Dimenstein or maybe a Ryan White as well. But it
37 was my standard operating procedure that at least two staffers, whether

1 2		that was me and Mary O'Connor or another staffer and Mary O'Connor, always saw the documents for reimbursement.
3 4	Jeff Brown:	Were there any policies and procedures in place in the office relating to staffer travel to the District?
5	Former Deputy COS:	No, not officially.
6	Jeff Brown:	Okay. Unofficially?
7 8	Former Deputy COS:	I wouldn't say unofficial. I mean, other than "You need to go to the District, go to the District for these meetings."
9	Jeff Brown:	Yeah.
10 11 12	Paul Solis:	Your policy that you just talked about of having at least a couple staffers look over reimbursement request documents, that also applied to Mr. Schwab's reimbursement requests?
13	Former Deputy COS:	Yes.
14	Paul Solis:	So he would have two independent people look over his documents?
15	Former Deputy COS:	I would always look at and Mary O'Connor would always look at it.
16 17	Paul Solis:	And when you talked about your policy, you sort of developed that method or was that-
18	Former Deputy COS:	That was my ... yeah, that was my method.
19	Paul Solis:	Okay. And Mr.-
20	Former Deputy COS:	For reimbursements.
21	Paul Solis:	And Mr. Schwab sort of acquiesced or agreed to that-
22	Former Deputy COS:	Yeah.
23	Paul Solis:	That method?
24	Former Deputy COS:	Yep.
25	Jeff Brown:	Would you and/or Mary have been raising any issues that you did see?
26	Former Deputy COS:	Yes.
27 28	Jeff Brown:	Okay. Do you know if either yourself or Mary ever raised any issues with any MRA-related disbursements?
29 30 31	Former Deputy COS:	The only two I can think of were a Dyson fan for Oliver's office and the standing desks, both of which Mary said "If these get kicked back, you need to pay out of pocket." And that's it.

1 2	Paul Solis:	And this policy, again, you have of two independent staffers looking at the documents, how long was that in place?
3	Former Deputy COS:	For the entire time that I was signing the budget documents.
4	Paul Solis:	How long were you signing budget documents?
5	Former Deputy COS:	I believe December of 2014.
6	Paul Solis:	Until the end of your-
7	Former Deputy COS:	Yeah.
8	Paul Solis:	- employment?
9	Former Deputy COS:	Until I left the office on the Monday before Thanksgiving of 2016.
10 11	Paul Solis:	Why didn't Mr. Schwab, as chief, take on the budget responsibilities? Was that a decision by-
12 13 14	Former Deputy COS:	I asked to take on the budget responsibilities. At one point in time, he said "The budget's killing me," and I said "I'll do the budget." I wanted the experience.
15	Paul Solis:	And this is with Mr. Schwab, you had this conversation?
16	Former Deputy COS:	Yes.
17	Paul Solis:	Okay.
18 19	Jeff Brown:	When staffers travel out to the District Office, is there a hotel that they would ordinarily stay in?
20 21 22 23	Former Deputy COS:	I almost always stayed at the Scottsdale Plaza because it was pretty cheap and it's close to the District, or close to the District Office. Oliver almost always stayed at this okay Hilton Garden Inn. I'd stayed there once I think, and I did not care for it.
24	Jeff Brown:	Are both of those hotels close to the District Office?
25	Former Deputy COS:	Yes, within 10 minutes I think.
26 27	Jeff Brown:	Would you need to rent a car in order to get from the closest hotel to the District Office?
28 29	Former Deputy COS:	Oh, I don't think there's any hotel close enough to the District Office that you could just walk to.
30 31	Jeff Brown:	So it was standard practice, if anyone was traveling to the District, to rent a car?
32	Former Deputy COS:	Yes.

1 Jeff Brown: Can you walk us through who the primary staffers are out in the District?

2 Former Deputy COS: Kevin Knight, Ernestina Borquez-Smith are the only two that I know of that
3 are still there.

4 Jeff Brown: Can you tell us a little bit about their roles?

5 Former Deputy COS: Kevin is ... I like to call him the heart, David's heart. He's a former
6 legislative ... or, sorry. He's a former Minnesota Congress ... State House
7 Representative. He takes the hard meetings in the District, you know, the
8 meetings that most people end up crying in. Ernestina is the ... I don't mean
9 like ... I mean like the heartstring meetings, the ALSs, the Dreamer kids,
10 those types of meeting where it's ... you want to be able to be helpful. Kevin
11 does a better job, or at least in the District, does a really good job at
12 interacting with them. Ernestina, she is a bit of a catchall in the District. She
13 also is the head case worker. In my opinion, there's no one better.

14 Jeff Brown: What sort of relationship does Oliver have with Kevin Knight?

15 Former Deputy COS: I would assume he has a good relationship. We were all very close for almost
16 six years.

17 Jeff Brown: You said Kevin is a bit of a mentor to you-

18 Former Deputy COS: Yes.

19 Jeff Brown: Can you just elaborate on how that relationship developed?

20 Former Deputy COS: Kevin and I started ... My very first trip out to the District, which ... I cannot
21 remember if it was in 2011 or 2012, but I was there for an entire week.
22 Kevin just kind of sat down and said "Here's how my life has been. I started
23 as a salesman and ran for politics. Came here to retire, got with David. Here
24 are the conservative things that I believe and how you should live your life,"
25 and I went to church with Kevin when I was down in the District. He's an
26 older guy who has a good head on his shoulders.

27 Jeff Brown: When you would travel to and from the District, how does one keep track of
28 expenses?

29 Former Deputy COS: Receipts.

30 Jeff Brown: And how does a staffer go about getting reimbursed for their expenses in the
31 District?

32 Former Deputy COS: Standard House expense report form.

33 Jeff Brown: Who do those flow through in Representative Schweikert's office?

34 Former Deputy COS: If it was me traveling, I would show Oliver and one other staffer and Mary
35 O'Connor. If it was another staffer, they'd go to Oliver then to me and then to
36 Mary O'Connor.

1	Jeff Brown:	Okay. Were there any office policies or procedures or guidelines on amount
2		of money that could be spent on accommodation, travel?
3	Former Deputy COS:	Try to keep it as ... Wherever you're staying, try to get a government rate,
4		cheaper than $150 a night. Get the cheapest car you can find within 4 or $5.
5		For me and the instructions that I gave to my staff was if your meals are
6		office-related, you can reimburse them. If you're going to go out and have
7		drinks and just have a good time, then no.
8	Jeff Brown:	Switching gears a little bit, office supplies. If you're a staffer in
9		Representative Schweikert's office and you need office supplies, how do you
10		go about procuring those office supplies?
11	Former Deputy COS:	Well, if it's an immediate need, typically would use the office supply store in
12		the House. Some of those items are really expensive. If it was power cords
13		and things like that, you'd order them on Amazon usually, for me and the
14		other staffers. Anything that you couldn't get in the office ... Anything that
15		you couldn't get in the supply store or was exuberantly expensive in there, it
16		would go through Amazon.
17	Jeff Brown:	Is there an individual in the office who's primarily responsible for ensuring
18		that the office has all the office supplies that it needs?
19	Former Deputy COS:	I would say for the most part, staff would say "I need to go downstairs and
20		get pens," or "I need this." Most of the Amazon supply purchases, I would
21		say, directly from the lower level staff, would come through me.
22	Jeff Brown:	Is there any sort of office policy or procedure on office supplies?
23	Former Deputy COS:	You know, not ... Be reasonable.
24	Jeff Brown:	Does Representative Schweikert have any involvement in-
25	Former Deputy COS:	No.
26	Jeff Brown:	... the office supply purchases?
27	Former Deputy COS:	None.
28	Jeff Brown:	Switching gears again, I'd like to talk a little bit about education. Are staffers
29		in Representative Schweikert's office reimbursed for educational
30		coursework of any kind?
31	Former Deputy COS:	Yes. I mean, they paid for ... The House reimbursed my education for my
32		federal student loans.
33	Jeff Brown:	How about outside of repayment for student loans? Are individuals
34		permitted to take coursework while they're staffers?
35	Former Deputy COS:	No one during my time there did that. I don't ... that I ever remember signing
36		a reimbursement for.

1	Jeff Brown:	Okay. Are you familiar, excuse me, with the Naval War College?
2	Former Deputy COS:	I am.
3 4	Jeff Brown:	It seems to be a popular place for folks in Representative Schweikert's office to take courses. Can you elaborate on that?
5 6 7	Former Deputy COS:	I believe that Katherina Dimenstein and Ryan White were both trying to get master's degrees from there. As Congressional staffers, I believe ... I was under the impression it was free.
8	Paul Solis:	Why do you have that impression?
9 10 11	Former Deputy COS:	That's what I was told by them, and I had no reason not to believe them. I've heard lots of people talk about the Naval War College for the most part being free for Congressional staffers.
12	Jeff Brown:	Do you know if their courses are online or in person?
13	Former Deputy COS:	No idea.
14	Paul Solis:	Do you recall Oliver saying that he took courses there?
15	Former Deputy COS:	I don't think so.
16	Paul Solis:	Or did anybody talk about Oliver taking courses there?
17	Former Deputy COS:	I don't think so.
18 19	Jeff Brown:	I'm going to hand you just a couple documents and feel free to flip through them -
20	Former Deputy COS:	Okay.
21	Jeff Brown:	I really just have a couple very brief questions -
22	Former Deputy COS:	Okay.
23	Paul Solis:	And, you know, he's not the author of these-
24	Jeff Brown:	Correct.
25 26 27	Paul Solis:	... documents. You didn't create the documents, at least as far as we understand it. It's just more of a refresher to get your eyes on an item on a piece of paper that maybe you can help answer Jeff's questions.
28	Former Deputy COS:	Okay.
29	Jeff Brown:	Can you just generally describe for me what this document is?
30 31	Former Deputy COS:	It looks like a current commercial reimbursement for travel. And it looks like they're almost all flights. Yeah.

1	Jeff Brown:	I should say for the record too, the Bates range on this document is
2		CAO_0005 through 10. On the first page, so CAO_0005, is that Representative
3		Schweikert's signature?
4	Former Deputy COS:	No.
5	Jeff Brown:	Whose signature is that?
6	Former Deputy COS:	That's mine.
7	Jeff Brown:	I'm handing you one other document. This is CAO_0011 through 26. Same
8		question, just what is this?
9	Former Deputy COS:	It looks like a reimbursement for a trip. I'm not sure what the telecom ... I
10		don't remember the telecom one was for, but the rest of it looks to be, time
11		frame, maybe the Super Bowl trip.
12	Jeff Brown:	Is that your signature on the first page of this document?
13	Former Deputy COS:	Yes.
14	Jeff Brown:	And just one final document here. This is CAO_0062 through 76. What is this
15		document?
16	Former Deputy COS:	It looks like office supplies reimbursement.
17	Jeff Brown:	Okay. Whose signatures are those on the front, if you're aware?
18	Former Deputy COS:	That's Ashley Sylvester's. That one, I don't know.
19	Paul Solis:	It looks like it possibly could be ... the first name there could be David. Under
20		it is member/chairman/officer/other approver's signature. Do you recall if
21		that looks like Representative Schweikert's signature?
22	Former Deputy COS:	I don't think so.
23	Paul Solis:	Okay.
24	Jeff Brown:	Is Representative Schweikert generally or ever involved in signing these
25		sorts of reimbursement forms?
26	Former Deputy COS:	No.
27	Jeff Brown:	Okay. When there is a document that calls for a
28		member/chairman/officer/other approver's signature, who is generally
29		signing those documents?
30	Former Deputy COS:	Often it was me, as in the case of these first two, with two other people
31		viewing it before I would sign it. But this one, I don't what the ... I don't
32		remember seeing that one.
33	Jeff Brown:	Does representative Schweikert keep a stamp-

1 Former Deputy COS: Yes.

2 Jeff Brown: ... of his signature? Okay.

3 Former Deputy COS: Yes.

4 Jeff Brown: And what is that stamp used for?

5 Former Deputy COS: I don't recall anyone ever stamping a reimbursement. It's usually for like
6 signing letters to other members. It is not his signature on that, on that
7 stamp though.

8 Jeff Brown: I want to make sure that I understand this. For MRA-related disbursements,
9 Representative Schweikert is generally not a signatory to any of those
10 documents?

11 Former Deputy COS: Yes, correct.

12 Jeff Brown: With these documents in front of you, can you help again just ... I know we've
13 talked about this, but if you can walk us through the process by which
14 somebody is going to get reimbursed for office supplies or travel ... Can you
15 walk us through that with reference to these documents? How does the
16 process work in Representative Schweikert's office?

17 Former Deputy COS: It looks like this is the government card, so ... Actually, I don't know if it is or
18 if it's his card. It's been a long time. But typically, you would printout the, at
19 least at the time ... And this may have been the one that kind of triggered my
20 whole receipt thing. I would only do receipts if they had printouts of the
21 flights. But, if I remember correctly, but you'd submit your flights. This is a
22 little out of the typical norm as far as what the ... of the signature.

23 Jeff Brown: Just for the record, you're referring to the document-

24 Former Deputy COS: 005.

25 Jeff Brown: Thank you.

26 Former Deputy COS: But it looks like they're all flights to and from Phoenix. It could be member
27 travel or David travel or both of them together. Since it looks like it is all
28 flights, that may have been why. Everything else, you would provide Amazon
29 printouts, receipts of what you ordered to be reimbursed. We'd go through
30 those, and if they were office appropriate, you would sign them.

31 Jeff Brown: And then after these documents were signed and they're compiled with
32 receipts, where do these documents go?

33 Former Deputy COS: I kept a bunch in a filing cabinet in my desk. Anything that I signed, I kept for
34 myself a copy. I turned those back in when I left.

35 Jeff Brown: Aside from the copy that you kept, where would the originals go?

1	Former Deputy COS:	Well, so the originals would be turned in by Mary O'Connor. I thought they
2		were copies coming back to me but they may have been the originals that
3		come back after she processes them.
4	Paul Solis:	But where is she taking them to?
5	Former Deputy COS:	Oh, to just the reimbursement ... the-
6	Paul Solis:	So House -
7	Former Deputy COS:	The House-
8	Paul Solis:	[inaudible crosstalk 00:48:04]-
9	Former Deputy COS:	Yes.
10	Jeff Brown:	Did Mary have an official title in the office?
11	Former Deputy COS:	I don't remember what her official title was, but she is a reimbursement
12		contractor essentially and works for several offices.
13	Jeff Brown:	If you had to describe what Mary did on day-to-day basis, how would you
14		describe that?
15	Former Deputy COS:	She would come in. She'd most ... pay the bills, anything that we had that
16		came in, any sort of bills that she had pay ... that needed to be paid. She
17		would pay the bills. If we have any reimbursements, she would look over the
18		reimbursements with me and then process them.
19	Paul Solis:	You want to ask about ... He mentioned a Super Bowl trip.
20	Jeff Brown:	I do want to ask you about that.
21	Former Deputy COS:	I figured you would.
22	Jeff Brown:	What can you tell us about that trip?
23	Former Deputy COS:	I was-
24	Paul Solis:	First of all, you called it the Super Bowl trip, so why do you call it the Super-
25	Former Deputy COS:	Because it was the weekend of the Phoenix Open and the Super Bowl. It was
26		also the Super Bowl ... They called it the Super Bowl trip in that article.
27	Paul Solis:	Okay.
28	Jeff Brown:	And by the article, you are referring to-
29	Former Deputy COS:	The Examiner article.
30	Jeff Brown:	Thank you. What can you tell us ... Well, I should actually ... When did you
31		first read the Examiner article?

1 Former Deputy COS: It was kind of interesting. I started getting texts and phone calls, "Let's do
2 lunch," on November 5th from former staffers or other people on the Hill. I
3 was like "Okay, let's do lunch." Then finally one of the former staffers said "I
4 can't believe you went to the press on this," and I was like ... I had no idea
5 that this article was coming out, and I did not talk to the press so I was just
6 as surprised as anyone else on that. But when I read that, I assumed at some
7 point you all would be calling me.

8 Jeff Brown: Aside from what you've read in the Examiner article, what first-hand
9 knowledge do you have about Mr. Schwab's trip to Phoenix?

10 Former Deputy COS: As far as I know, it was a mix-use trip with probably I would say more
11 official business than unofficial. He did go to the actual Super Bowl but
12 because of the two week weekend ... or the weekend that was there, it was
13 both the Phoenix Open and the Super Bowl. I remember at one point in time,
14 he told me everything that he was doing that was officially related. And I ...
15 as lodging if I remember correctly, it was the standard Hilton that he had
16 already stayed ... always stayed in. So other than the cost which as someone
17 who had just done the budget was really frustrated with, but I had no reason
18 to believe that he had gone off the reservation on that trip as far as ethics is
19 concerned.

20 Jeff Brown: What sort of official activities do you recall him telling you that he was
21 doing?

22 Former Deputy COS: I think and again this is two years ... two years now, no three years. I know
23 he was taking office meetings. I know he was in the office really early every
24 day he was down there and working out of the District office. I believe he
25 took some site tours and some other things. And, you know, he also went to
26 the Super Bowl and I believe that was at least one fundraiser that was down
27 there. No, I think ... a McCarthy fundraiser I think.

28 Jeff Brown: Let's take some of that one at a time. How would you be aware of what
29 official activities he was doing aside from him telling you?

30 Former Deputy COS: That would have been it.

31 Paul Solis: When you said he was in the office early, and do you know that by emails he
32 was sending or by phone calls he was making?

33 Former Deputy COS: I believe he did call me once from the office number and I just ... I vaguely
34 remember him saying ... I asked him, "Why are you calling me from the
35 District office this early," and he's, "I'm working."

36 Jeff Brown: Okay. And you said he was in the office every day he was there during that
37 trip?

1	Former Deputy COS:	I actually don't know that for sure. I would ... he claimed to be in the office
2		every day and he never gave me a reason to believe when he was in the
3		office that he wasn't in the office, that he said that he was in the office that he
4		wasn't in the office.
5	Jeff Brown:	Let's break some of this down. You said he attended the Super Bowl. How do
6		you know that?
7	Former Deputy COS:	There were pictures on Facebook and he told me he was going to go to the
8		Super Bowl.
9	Jeff Brown:	Okay. Do you know if he attended the Super Bowl with anyone?
10	Former Deputy COS:	His wife and David.
11	Jeff Brown:	Are you aware-
12	Paul Solis:	And that's Representative Schweikert?
13	Former Deputy COS:	Yes, sorry.
14	Jeff Brown:	Are you aware of any other activities that he partook in on Super Bowl
15		Sunday?
16	Former Deputy COS:	No.
17	Jeff Brown:	You mentioned the Phoenix Open. Did Oliver attend the Phoenix Open?
18	Former Deputy COS:	I do not know.
19	Jeff Brown:	Okay. Is the Phoenix Open an event that Representative Schweikert or other
20		staffers have attended in the past?
21	Former Deputy COS:	I don't know.
22	Jeff Brown:	Aside from the Super Bowl, what other-
23	Former Deputy COS:	I will say this about the Phoenix Open. I do know that that particular time of
24		year is ... or with the Phoenix Open, every year has been, you know, you go
25		out there, you take meetings, you show people around the District because it
26		is a busy ... lots of people fly in and want to talk to the member that
27		represents. But I don't know if they ever attended the actual event.
28	Jeff Brown:	And are those events campaign related or official?
29	Former Deputy COS:	I am unaware of any campaign-related events to the Phoenix Open. I would
30		... the ones that I can think of are site tours and things like that, typical
31		official-type business.
32	Jeff Brown:	Aside from the Super Bowl, what other activities do you know that Oliver
33		Schwab attended during that period?

1	Former Deputy COS:	I don't remember.
2 3	Jeff Brown:	You said that you saw photos on Facebook. Do you remember seeing any photos besides Super Bowl pictures?
4 5	Former Deputy COS:	I don't remember any other about the Super Bowl pictures … other than the Super Bowl pictures and I am no longer friends with Oliver on Facebook.
6 7	Jeff Brown:	You mentioned his wife was out in Arizona at the time. How do you know that?
8 9	Former Deputy COS:	I think she was in there in the pictures with him. I'm not sure they were married yet. I don't remember exactly when they got married.
10 11 12	Jeff Brown:	Did staffers in Representative Schweikert's office, when they traveled to the District, was it common or did it ever happen that they would travel with significant others?
13	Former Deputy COS:	I don't-
14	Jeff Brown:	And I don't mean to suggest that official funds would be used to pay but-
15	Former Deputy COS:	No, I don't think so. Not typically. I-
16	Jeff Brown:	So-
17	Former Deputy COS:	- I never went with my wife the entire time I was with David.
18 19 20	Jeff Brown:	So, aside from what we'll call the Super Bowl trip in 2015, you're not aware of Oliver's wife ever being out in the District when he was there for either official or campaign-related business?
21 22 23 24 25	Former Deputy COS:	I think there were times that she was working for the NRCC where she would be out there … she was an employee of the NRCC at one point in time in that region. So, she may have been out there with him. I don't remember the exact timeframe and there was a period where she was unemployed, so she may have been out with him. I don't remember any specific times.
26	Paul Solis:	Were you the one who looked at reimbursement receipts after that trip?
27	Former Deputy COS:	I was.
28 29	Paul Solis:	Did you notice anything you felt to be not official or did you reject any requests for reimbursement?
30	Former Deputy COS:	No, other than I can't believe you just busted a hole in my budget, but no.
31	Paul Solis:	So, you felt that the costs were high?
32 33	Former Deputy COS:	Yeah, but not unreasonable based on the weekend that it was there and looking at some of the … I did … I don't remember if I looked before or after

1 2		for hotels on my own. I just remember it being really expensive to go out to Scottsdale that weekend.
3 4	Paul Solis:	Okay. Did … were there any reimbursement requests for Mr. Schwab's wife in any capacity?
5	Former Deputy COS:	No, not that I know of.
6	Jeff Brown:	How about family members? His mother or his brother?
7 8 9	Former Deputy COS:	Out to the District for reimbursements, no. I can't say for certain whether or not there was any time that they were out there with him. I'd never processed any reimbursements for them.
10 11	Jeff Brown:	If Mr. Schwab indicated on a reimbursement that he was having a meal with constituents, would you ask questions about who those constituents were?
12	Former Deputy COS:	Not typically. He didn't … no, not typically.
13 14	Paul Solis:	You mentioned something about a McCarthy fundraiser that weekend if I recall.
15 16	Former Deputy COS:	I think there was an NRCC fundraiser at some point in time over the course of that weekend that McCarthy was there.
17 18	Paul Solis:	And that's because you … well, how would you become aware of that or how did you become aware of that?
19 20 21 22	Former Deputy COS:	I mean Kevin McCarthy was in the photos I think of the Super Bowl at some point in time and there was a lot of chatter in the office. It was common knowledge on the Hill that they were going to have an event out in Phoenix for the NRCC that weekend.
23 24	Jeff Brown:	You mentioned Oliver expressing some frustration over the Kevin McCarthy related fundraiser. Can you elaborate on that?
25 26 27 28 29 30	Former Deputy COS:	It ended up not being a fundraiser and actually now that I think about it, the Friday before the second incident which would have been in October of 2016, where Oliver yelled at me for an hour and a half on the phone, Kevin McCarthy air-dropped a, you know, there were some … they were doing one thing and Kevin McCarthy called and said, "I want to do a Valley Fever event instead." And in two days we put together a Valley Fever event.
31	Jeff Brown:	What's a Valley Fever-
32 33 34 35 36	Former Deputy COS:	I'm sorry, Valley Fever is a fungus that is common in Arizona and Southern California that kills people. It's a fairly … it's very common. Pretty much everyone gets it but it can basically cause you to rot from the inside out if you get a severe case of it. There's not a lot of studies done. David and Kevin McCarthy joined together to do … to start the Valley Fever caucus and work

1		towards, you know, trying to get the NIH to fund a cure. There was no ... as
2		far as my awareness was concerned, there was never a fundraiser, any sort
3		of campaign aspect to the Valley Fever caucus or any Valley Fever event.
4	Jeff Brown:	Before we leave this topic, are you aware of Oliver Schwab and his wife
5		attending a basketball game of any kind over Super Bowl weekend?
6	Former Deputy COS:	No.
7	Paul Solis:	You mentioned, that same weekend, we asked you about the McCarthy
8		event, you said there was discussions in the office about an NRCC ...
9	Former Deputy COS:	No, not within the office. It was just on the hill, people knew there was going
10		to be an NRCC event.
11	Paul Solis:	That Superbowl weekend ...
12	Former Deputy COS:	Yep.
13	Paul Solis:	And you saw, did you see pictures of that event?
14	Former Deputy COS:	Not of the event, I just saw pictures of Kevin McCarthy there.
15	Paul Solis:	Okay. Do you know if Representative Schweikert, or Oliver Schwab ... Do you
16		whether they attended this event?
17	Former Deputy COS:	I would assume they did, I do not know for certain.
18	Paul Solis:	Why would you assume that they would?
19	Former Deputy COS:	It's the leader, if the majority leader was there doing a fundraising event,
20		David and Kevin McCarthy are close. I would make the assumption that he
21		was there if there was an event. I almost guarantee you that I would be right.
22	Paul Solis:	And the same would go for Oliver's attendance?
23	Former Deputy COS:	If David was going to an event and Oliver was in the district, then Oliver
24		would also attend the event.
25	Jeff Brown:	We've talked about this, but I want to ask it again. Do you have any concerns
26		about any sort of office supply purchases that Oliver made during his tenure
27		as Chief of Staff?
28	Former Deputy COS:	Not directly, and there was nothing ... Oliver and I got into it multiple times
29		over the Dyson fan that he bought for the back office, which was reimbursed.
30		It did go through Office of Payroll, Mary I believe flagged it and said, "Is this
31		okay?" I don't remember what it was, but I brought that up regularly, about
32		how I thought that was a waste of money. And he did not appreciate that, but
33		I continued to rib him about it.

1 2		Other office supplies, I have no indication that he bought anything that he used at home, or didn't bring anything into our office or the Arizona office.
3	Jeff Brown:	Did staff use Apple products?
4	Former Deputy COS:	Yes.
5	Jeff Brown:	I'm going to show you two documents, CAO_0634 and CAO_0549.
6 7		Do the products listed on these pages, do these appear to be products that would've been used in the office?
8	Former Deputy COS:	Yes.
9	Jeff Brown:	How would those have been used in the office?
10 11 12 13	Former Deputy COS:	Oliver spoke almost exclusively on his Apple . . . sorry, on his iPhone, and he would go through headphones like crazy. He would go through them a lot, and so I would imagine that he got sick one day, or sick of having the ones break and bought a whole bunch.
14	Jeff Brown:	How about the digital adapters?
15 16 17	Former Deputy COS:	I do not know about the HDMI or lightning adapters, but it would not be out of the realm to want to use them to put them out of display, that wouldn't flag my concern.
18 19 20	Jeff Brown:	We've talked a little bit about education already. Are you aware of any educational courses that Oliver took while Chief of Staff, for Rep. Schweikert?
21 22	Former Deputy COS:	I think he was doing a Harvard Business School enrichment. I don't remember ever being asked to sign a reimbursement for that.
23	Jeff Brown:	Besides Harvard, how about any other institutions?
24	Former Deputy COS:	No.
25 26	Jeff Brown:	Do you recall ever hearing anything about him taking coursework at Stanford?
27	Former Deputy COS:	No.
28	Jeff Brown:	How about Johns Hopkins?
29	Former Deputy COS:	No.
30	Jeff Brown:	The Naval War College?
31 32 33	Former Deputy COS:	No. Maybe the Naval War College, I don't remember him talking much about it, but he may have mentioned something about wanting to do coursework over there, or him talking about how it was a great thing with Kat or Ryan.

1	Jeff Brown:	If he was going to be reimbursed for coursework, who would've signed the
2		paperwork for those reimbursements?
3	Former Deputy COS:	I would think it would have been me and Mary. Me with Mary looking over. I
4		don't remember ever seeing that.
5	Jeff Brown:	Okay.
6		Are you familiar with the Casey Family Foundation?
7	Former Deputy COS:	I don't think so.
8	Jeff Brown:	Are you aware of Oliver's involvement in any sort of family foundation?
9	Former Deputy COS:	He does have a family foundation, I don't know ... I thought it was a Schwab
10		family foundation, but if it's a Casey family foundation that's possible.
11	Jeff Brown:	What do you know about that family foundation?
12	Former Deputy COS:	That he's on the board, and that's about it.
13	Jeff Brown:	What's the purpose of this foundation?
14	Former Deputy COS:	I don't know. He and I didn't talk about it.
15		I wanted to bring something up which made the interactions that I had
16		before I left his office so stunning. He knew that my wife was pregnant, my
17		wife was considering leaving her job to stay home with our kids. I shared
18		that information with him, told him we were looking at buying a new house,
19		and he said, "My foundation could provide a bridge loan for you and your
20		family if you needed to get your new house and didn't have time to sell your
21		old." I found that to be nice but strange, and did not take him up on the offer.
22	Jeff Brown:	Did you have any other discussions about what type of work the foundation
23		did?
24	Former Deputy COS:	No.
25	Jeff Brown:	Do you know what his role was at the foundation?
26	Former Deputy COS:	I really don't. I know it existed, and I'm sure we had conversations about it. I
27		don't recall it being relevant, or significant.
28	Jeff Brown:	So aside from how Oliver is compensated for his work as Chief of Staff on the
29		Hill, aside from his compensation that he receives directly from House of
30		Representatives, are you aware of any other income streams that Mr.
31		Schwab has?
32	Former Deputy COS:	No.
33	Paul Solis:	That foundation, do you know if he's paid by the foundation?

1 Former Deputy COS: I do not know.

2 Jeff Brown: Does the company Chartwell Associates, or Chartwell LLC, does that mean
3 anything to you?

4 Former Deputy COS: He has a company named Chartwell, I think at one point in time, he may
5 have formed it when he did – when he branded David's Super PAC, that may
6 have been the name he called it. He had it, but I'm unaware of anything
7 business-related that Chartwell ever did, other than ... In the back of my
8 mind, it sticks that it may have been the name of the company that he did the
9 Super PAC against Ben Quayle.

10 Jeff Brown: Are you aware of any of the political consulting work that Oliver does for
11 Representative Schweikert?

12 Former Deputy COS: No. I had very little to do with the campaign side.

13 Jeff Brown: What do you know about the campaign work that Oliver does?

14 Former Deputy COS: I would have never even considered Oliver to be a political consultant for
15 David based on my knowledge. Chris Baker, I always thought was David's
16 political consultant.

17 Jeff Brown: Why do you say that?

18 Former Deputy COS: Cause David has referred to Chris Baker as his political consultant, Oliver's
19 referred to David as his political consultant.

20 Jeff Brown: Why would you say that Oliver is not somebody that does political
21 consulting work for Representative Schweikert?

22 Former Deputy COS: The relationship that he had with David as far as campaign, seemed to have
23 been as similar as the other office that I worked for, so it just didn't seem
24 like political consultant was what I would call him.

25 Jeff Brown: Are you aware of whether or not Miss Schwab received any sort of monies
26 from the campaign committee or the PAC?

27 Former Deputy COS: I am not.

28 Paul Solis: I had asked you this question earlier, whether or not Mr. Schwab did work
29 for Representative Schweikert's campaign committee, and you did confirm
30 that you knew that he did do work for the campaign committee. You just
31 didn't know whether or not he was compensated for that work. Is that right?

32 Former Deputy COS: I wouldn't have called him a political consultant, is what it comes down to. I
33 know he did campaign work, I wouldn't have called him a political
34 consultant. I am unaware of any third party relationship that he has
35 business-wise.

1	Paul Solis:	I just want to confirm with the work, and that word can be sort of broad, but
2		you do have knowledge that he provided services, or did do work, for
3		Representative Schweikert's campaign committee. Whether it's on a political
4		consultancy basis, that's a different question.
5	Former Deputy COS:	He raised money for David.
6	Paul Solis:	Right. Okay.
7	Jeff Brown:	Do you know if Oliver Schwaab has any real estate or rental property
8		investments of any kind?
9	Former Deputy COS:	I believe he has some sort of family compound thing in Michigan, and I
10		believe he owns a house in, or he did at one point in time, own a house in
11		Arlington or Alexandria or the one right across the way. At one time I know
12		he was exploring rental properties but, I'm not aware of anything beyond
13		those two properties.
14	Jeff Brown:	Have you ever heard of a company called Pinkham Management?
15	Former Deputy COS:	No.
16	Jeff Brown:	How about Allerton Property Management?
17	Former Deputy COS:	No.
18	Jeff Brown:	Health and Wellness Partners?
19	Former Deputy COS:	Nope.
20	Jeff Brown:	Marcos Lopez, ever heard of Marcos Lopez?
21	Former Deputy COS:	No.
22	Jeff Brown:	Jim Hamilton?
23	Former Deputy COS:	I have heard of Jim Hamilton.
24	Jeff Brown:	Who's Jim Hamilton?
25	Former Deputy COS:	I thought he did work for Uber, maybe. It may have been Uber, or Phoenix
26		Raceway.
27	Jeff Brown:	Do you know if Oliver Schwab has any sort of income related to any of these
28		rental properties or real estate investments?
29	Former Deputy COS:	No.
30	Jeff Brown:	How about the company, Bristol Consulting? Does that mean anything to
31		you?
32	Former Deputy COS:	No.

1	Jeff Brown:	Are you aware of whether Oliver Schwab's wife has a consulting company of
2		any kind?
3	Former Deputy COS:	No.
4	Jeff Brown:	What can you tell me about Oliver Schwab's wife being hired as a temporary
5		employee in Representative Schweikert's office?
6	Former Deputy COS:	I don't ... I can't remember if they were married yet or just engaged. It was to
7		do payroll processing. I was not necessarily comfortable with that
8		arrangement, and told Oliver, counseled Oliver to get approval from Ethics ...
9		He claimed that Ethics said it was fine.
10	Jeff Brown:	What made you uncomfortable?
11	Former Deputy COS:	I mean, it's a relationship that processes money, and I was ... After he got
12		approval and confirmed that I would be signing any reimbursement
13		approvals, I said okay.
14	Paul Solis:	Did you see a letter from the Ethics Committee, or any emails or any
15		documentation?
16	Former Deputy COS:	I did not.
17	Paul Solis:	It's just his statement to you, it was approved?
18	Former Deputy COS:	Yes.
19	Jeff Brown:	Were there ever any other instances that you're aware of that Oliver would
20		seek approval for any activities from the Committee on Ethics?
21	Former Deputy COS:	He did, I mean, anything specific no, he did fairly regularly say that if there's
22		any question that you have on something you're doing, call Ethics first.
23	Jeff Brown:	Do you know if he sought Ethics Committee advice on anything related to
24		the Super Bowl trip?
25	Former Deputy COS:	I do not.
26	Paul Solis:	I just want to very briefly return to the question of Mr. Schwab's work for
27		Representative Schweikert's campaign committee. In the last series of
28		questions you said that he raised money for Representative Schweikert in a
29		sort of fund raising capacity? Aside from the fund raising capacity, are you
30		aware of any other work that he performed for Representative Schweikert's
31		campaign committee?
32	Former Deputy COS:	No, I do not.
33	Paul Solis:	Okay.

Former Deputy COS: That is the reason why I would qualify him, I would not have qualified him as a political consultant.

Jeff Brown: Is there anything that we haven't talked about or haven't asked you about that you think is relevant, in light of the things we've discussed today?

Former Deputy COS: I have always thought that Oliver is pretty good with ethics with a capital E. I had no reason to distrust him during my time with David up until the very end, when he completely went off the rails. I would say that Oliver was a close friend for the entire time that I worked with David. He seemed to never want to actually step over the line. He seemed to be very ... He had no desire to step over the line, and I had no reason to believe that ... He never gave me any indication that he would try to step over the line. In hindsight, I would have done things differently, and if I ever go back to the Hill in a capacity as a chief or a budget director for an office, I will do things differently, but it's hindsight. At the time I had no reason not to trust David.

Jeff Brown: Specifically, what would you have done differently in Representative Schweikert's office?

Former Deputy COS: I would have required more documentation for reimbursements, who were you having dinner with things like that. If for no other reason than, it protects you as the employee.

Jeff Brown: Would it have been common practice for mixed purpose-related trips to have consulted guidance from the ethics committee?

Former Deputy COS: I never had a mixed purpose trip. That's not true, I had one that was an after-hours fund raiser with Lamar Smith. I was not required to attend, I chose to attend and that was not the reason I went out, it just happened to be at the same time. And so no, I didn't consult with them because the reason I went out there was to staff a field hearing for the house science space and technology committee on waters of the US.

Jeff Brown: Is there anyone else who you think it behoove the OCE to talk to about things we discussed today?

Former Deputy COS: If you haven't talked to Kevin, then you should talk to Kevin. Mary O'Connor, if you haven't talked to Mary O'Connor. April, and I don't remember her last name.

Jeff Brown: Who is April?

Former Deputy COS: She was before Mary she was our finance reimbursements person. She was hired by Matt, not by Oliver, stayed after Matt left, and then fired us after Oliver said he was leaving. The first time, when he actually had paperwork, I just don't want to train anyone new because she was maxed out on offices. I mean other than that, I don't think so.

Paul Solis: Just going back to the article in the Examiner, it discusses several aspects of Oliver Schwab's time in the office and then his potential work with the various political committees and campaign committee, the office supply reimbursements and educational reimbursements. So although we've talked a bit about this stuff and your knowledge of it, I mean when you read this article, how much of it did you feel was accurate and how much did you just have no awareness of, and what was your response to it?

Former Deputy COS: The supplies, there were times I thought he was buying too many supplies. Again Mary O'Connor to caution was doing the same thing, slow down on the supplies, quit burning stuff.

Paul Solis: The article mentions $20,000 does that seem like a-

Former Deputy COS: Over the course of the entire ... Over the course of six years, that seems like it could be possible.

Paul Solis: Okay. The $5,000 for the Super Bowl trip weekend, does that seem?

Former Deputy COS: Yeah, no I mean, I saw the reimbursement but I had no reason to think that he misrepresented what he was doing out there to me.

Paul Solis: So that figure seems right?

Former Deputy COS: Mm-hmm (affirmative). Yeah.

Paul Solis: And you mentioned the hotel was expensive during that weekend. All hotels in the area were expensive during that weekend. So $4,000 total you recall a number like that?

Former Deputy COS: Yeah, that seems about right.

Paul Solis: Okay. The article also talks about the potential mixed purpose nature of the trip and that Mr. Schwab had given a statement to the Examiner about tickets being paid for by the McCarthy Victory committee to the golf tournament potentially others, you know, you said that you were aware of him being in the office and he was doing official work during that time. Did the mixed purpose nature, that mixed purpose discussion in the article make you think any way about the trip in hindsight?

Former Deputy COS: No. I mean, it looks bad. And in hindsight, maybe he shoulda gotten the campaign to pay for it but again, when you're on your own time you're on your own time. I felt like Oliver probably spent the proper number of work hours actually doing official business while he was down there.

Paul Solis: Okay. And that's as-

Former Deputy COS: As I remember it.

Paul Solis: You're someone that reviewed the reimbursement requests after the fact. And we've asked you about his potential work with the campaign committee, or the Friends of Schweikert Pact and payments to Chartwell or directly to Mr. Schwab himself. You know the figures in the article are $164,000 from the various campaign committees of Representative Schweikert.

Does that number say anything to you? Do you recall any discussions you had with him?

Former Deputy COS: When I read the article, the supplies, the Super Bowl trip, my thoughts were those look bad, but I think they're fine. Just as my relationship with him, I didn't think anything of those based on the amount of work that I knew that he was doing and there were times he was not studious with supplies, it seemed like he made basically writing with a pen one time and then lose it and go have to buy new pens. I mean, that's kind of how he's a very flighty guy when it comes to that type of stuff. I was shocked by the campaign stuff. I was unaware completely and I told that to Kate, Kate is my wife by the way, I told my wife I was very surprised by that.

Paul Solis: And then $7,000 or approximately $7,000 for these courses, Harvard, Stanford-

Former Deputy COS: I don't remember any of those.

Paul Solis: Okay. And is the person who was handling the budget at the time should have educational reimbursement be requested in the office, I would have come to you?

Former Deputy COS: It should have. I don't remember anyone requesting an educational reimbursement beyond the student loan repayment within the office.

Paul Solis: Has anyone from Representative Schweikert's office talked to you since this article's come out? About our review, about the OCEs review?

Former Deputy COS: Only that Kevin and I, we talk regularly, he said that the office is in investigating being investigated by the OCE, if they call, cooperate.

Jeff Brown: When was that conversation?

Former Deputy COS: Before Christmas, I think.

Paul Solis: That was a telephone conversation?

Former Deputy COS: Yep.

Paul Solis: Anybody else? You ever hear from Representative Schweikert or any of his lawyers about-

1	Former Deputy COS:	I have not talked to anyone. I have not talked physically over the phone or
2		face to face, or with any sort of email with David since the Friday before
3		Thanksgiving of 2016. And Kevin is the only person who is currently
4		employed in the office that I still maintain contact with.
5	Paul Solis:	Is Mr. Schwab still employed with the office?
6	Former Deputy COS:	As far as I know.
7	Jeff Brown:	Do you know Tommy Leander?
8	Former Deputy COS:	I do.
9	Jeff Brown:	What was Tommy's role in the office?
10	Former Deputy COS:	Tommy was one of my L.A.s. He did standard L.A. work mostly new
11		economy.
12	Paul Solis:	When's the last time you spoke with him?
13	Former Deputy COS:	The Friday before Thanksgiving.
14	Paul Solis:	2016?
15	Former Deputy COS:	2016.
16	Jeff Brown:	How about Ryan White?
17	Former Deputy COS:	I talk to Ryan very regularly. I talked to Ryan White today, not about this. I
18		had not told ... I'm also in contact with Kelly Roberson, in case you asked
19		that, neither of them know that I was coming in and doing this. Both of them
20		contacted me when the article ran and Ryan was the one who tipped me off
21		that there was an article.
22	Jeff Brown:	What sort of knowledge might Ryan or Kelly have about things that are
23		discussed in this article?
24	Former Deputy COS:	Ryan, Ryan would probably have a knowledge of the ins and outs of
25		reimbursement. Also Kelly, would have the knowledge of the ins and outs of
26		reimbursement, but-
27	Jeff Brown:	Why would they have knowledge?
28	Former Deputy COS:	Standard operating procedure for reimbursements.
29	Jeff Brown:	Were they ever signing anything?
30	Former Deputy COS:	I am certain that at some point in time I asked Ryan to review one of my
31		reimbursements and probably Kelly too, because I would never sign my
32		own. And there may been times that they signed with my supervision and
33		Mary O'Connor's supervision.

1	Jeff Brown	I just want to make sure, you didn't have ... When you read the article you said you were surprised by the amounts of money Oliver Schwab was making from the campaign and the political action committees. Did you have any insight into that at any point in time while you were working for the Congressman?
6	Former Deputy COS:	No. That was the main reason why I was so taken aback when we had our meeting and he said you're going to be judged on campaign donations because I had done basically zero campaigning for David.
9	Jeff Brown:	Did you see Oliver Schwab working on campaign related issues?
10	Former Deputy COS:	In the office, he may have stamped envelopes but I don't think he made any fund raising phone calls within the office.
12	Jeff Brown:	Do you have anything else?
13	Paul Solis:	Think that's it. Obviously, we'd like you to keep this questions and our time here confidential. That does not inhibit your rights to speak with counsel or any other rights you may have so, we just always like to remind people of that.
17	Former Deputy COS:	I really want this just to be ... I want to be done with them and the office. We did not end on good terms. We ended on very bad terms. I want to make that aware, make you all aware that, that we ended on very bad terms, but as far as . . . I am very aware of the reimbursements and how the system worked. He never gave me any indication that he was doing anything that was not kosher at the time, and I have no recollection of any of the campaign related activities beyond it being a fundraiser.
24	Jeff Brown:	One more person I'd like to ask you about. Kyle Souza. Who's Kyle Souza?
25	Former Deputy COS:	Kyle Souza was David's or he was our scheduler for a while. He now works for Audible.
27	Jeff Brown:	Did he play any role with either the campaign committee or PAC?
28	Former Deputy COS:	I don't know. Other than as a scheduler, he probably put fundraising stuff on David's schedule, but as far as any campaign role, I don't know. I do know that Kyles' dad was a donor to David, but that's it.
31	Jeff Brown:	Do you know if Kyle was in Phoenix for Super Bowl weekend 2015?
32	Former Deputy COS:	I don't remember.
33	Jeff Brown:	Would it have been likely or unlikely that he would have been?
34	Former Deputy COS:	It's possible. I cannot remember when he became scheduler, and his, to be honest his parents live out there, so it's possible that he was working out of

1		the district at one point in time and then moved up here to work out of the
2		DC office. I don't remember what that time frame is.
3	Jeff Brown:	How much information would Kyle have about any of the stuff that we
4		discussed today or anything that was in the article?
5	Former Deputy COS:	I don't think much? I don't think so.
6	Jeff Brown:	Well thank you very much for your time. We appreciate you coming in. So
7		with that, we will end the recording.
8		

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

ERRATA SHEET

Page	Line	Correction	Reason
		None	[redacted]

This errata sheet is submitted subject to 18 U.S.C. § 1001 (commonly known as the False Statements Act).

Witness Name: [redacted]

Witness Signature: [redacted]

Date: 2/13/18

EXHIBIT 2

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

Transcript of Interview of
Former Financial Administrator

January 23, 2018

Jeff Brown: Good morning, this is Jeff Brown with the Office of Congressional Ethics. With me is Paul Solis. Before us is Former Financial Administrator ("Former Fin. Admin."). Today is January the 23rd. It's a little after 8:30 a.m. We are in the Cannon House Office building. Former Financial Administrator's been given the copy of the False Statements Act. With that, we'll get started.

You were previously employed with Representative Schweikert. In what role were you employed with him?

Former Fin. Admin.: I was the financial administrator.

Jeff Brown: Okay. What years were you the financial administrator?

Former Fin. Admin.: From mid-2014 through the end of January 2017.

Jeff Brown: You work for others on the Hill?

Former Fin. Admin.: Yes.

Jeff Brown: Who else do you currently work for?

Former Fin. Admin.: Currently, I work for Congresswoman Ann Wagner, Congressman Gus Bilirakis, Congressman Evan Jenkins, Congressman Lloyd Smucker, Congressman Clay Higgins, Congressman Mo Brooks, Congresswoman Claudia Tenney and Congressman Ryan Costello.

Jeff Brown: And your role and your title with those individuals is what?

Former Fin. Admin.: Financial administrator, financial director for all of them except Congressman Costello. For Congressman Costello I handle his academy liaisons. And I also do that for Congressman Smucker along with the financial side.

Jeff Brown: What's an academy liaison?

Former Fin. Admin.: Basically I handle all the service academy nominations for two congressional districts.

Jeff Brown: Okay. Are there other individuals that you used to work for that you no longer work for?

Former Fin. Admin.: Congressman Pitts, who retired. Congressman Schweikert. Congresswoman Cathy McMorris Rodgers.

Jeff Brown: Okay. You said it was approximately January 2017 that you stopped working for Representative Schweikert?

Former Fin. Admin.: Yes.

Jeff Brown: Okay. What were the circumstances under which you left that office?

Former Fin. Admin.: I had a conversation on the 30th of December of 2016 over the phone. When Oliver Schwab said that I had scanned things incorrectly and behaved

1		unprofessionally for 24 hours. So my time in the office would be ending
2		January 31st, February 1st.
3	Jeff Brown:	Okay. Do you know what he was referring to when he talked about an
4		unprofessional 24 hours?
5	Former Fin. Admin.:	I was a little blindsided. The scanner that I normally use was not working for
6		about a week. So I took photos and sent the photos as scans. I'm not exactly
7		sure. He was in meetings off the Hill and out of D.C. at the time. And said that
8		because he received these texts, it interrupted him and was unprofessional.
9	Jeff Brown:	What were the documents that you were texting?
10	Former Fin. Admin.:	The documents, I don't think there were any vouchers. There were some
11		paid interns that wanted to be on January 2nd. I had made the mistake, I
12		thought that we could turn things in that Friday the 30th and still do the two
13		day E-Verify onboarding. But, because of the holiday they wouldn't be able
14		to get on until the 3rd. So, I had found this out a couple days prior and I tried
15		to scan things with correct dates.
16	Jeff Brown:	And you said that you and Mr. Schwab had a conversation which he
17		expressed displeasure. Do you remember anything else about exactly what
18		was discussed in that conversation?
19	Former Fin. Admin.:	Yes, I do.
20	Jeff Brown:	Can you elaborate?
21	Former Fin. Admin.:	He mentioned that there were ... I actually wrote a replying memo to him. I
22		don't know if you have that information?
23	Jeff Brown:	We'd certainly take it, if you have a copy.
24	Paul Solis:	Yeah, if you have a document.
25	Former Fin. Admin.:	So we discussed the payroll authorization forms. I said I took responsibility
26		for not submitting the payroll authorization forms to those interns last
27		week. And explained the situation, but said I take responsibility. He brought
28		up amounts of water, modular furniture and technology that the office had
29		purchased. And said that there was $40,000 combined in these items in the
30		cage. To my knowledge, I've only been in the cage maybe once.
31	Paul Solis:	What's the cage?
32	Former Fin. Admin.:	The cage is essentially a storage unit. Sort of like, across from here there's
33		storage units. And I pulled up my files. Water, that's spent approximately
34		$1200 to $1450 a year. The modular furniture, here about $7,000 and I did
35		not know that any of that was in the cage. Part of my job, I don't have an
36		office per se. I do hang out in one office where I do most of the hard copy
37		work. But then I visit each office. Then I, with the technology ... I said to you
38		before I came, there was about $13,000. About $10,000 in 2015. But that

1		actually wasn't spent 'til 2016. So, I don't have any of this technology. And
2		that's technology that has to be signed off by the member or representative.
3	Jeff Brown:	What conversation prompted you to respond about water, modular
4		furniture and technology?
5	Former Fin. Admin.:	Because he brought it up and said we had this waste. I went to the cage and
6		there's $40,000 worth of items in there.
7	Paul Solis:	Is this all in the same telephone conversation?
8	Former Fin. Admin.:	This is all in the same telephone conversation. That's 7:26 p.m.
9	Paul Solis:	On December 30th.
10	Former Fin. Admin.:	He also said that Representative Schweikert did have some budget concerns.
11		And that Representative Schweikert, it's a house budget. I would say it was
12		okay, here's some information. And he being Oliver, expressed to me that
13		every time I left the office he would have to have an hour or two
14		conversation with the Congressman to calm him down. Which I was not
15		privy to prior to this conversation.
16	Paul Solis:	You had budget responsibilities in the office then? Can you describe them?
17	Former Fin. Admin.:	I had budgeting responsibilities to advise the member / Chief of Staff to say,
18		here's what's happening with your budget, here's where you're headed to go
19		over, here's where you want to ... Here's what it is month to month.
20		Obviously, that budget would change throughout the year. Predicated on the
21		needs of the office and how the office use things. My job was to make sure
22		that they didn't go over budget. So that the Congressman did not have to
23		write a personal check.
24	Paul Solis:	Did you have authority to make purchases or expenditures?
25	Former Fin. Admin.:	The only thing would be probably once or twice I did get some office
26		supplies that I used for my job. Which would be for the office. A lot of shared
27		staffers for example, my phone is paid for by one office, my laptop is paid for
28		by another office. So there's the shared cost. During that I think, I'd say
29		probably no more than 6 vouchers, but I don't even know if they were that
30		many vouchers that I put office supplies on.
31	Jeff Brown:	To your knowledge, was Representative Schweikert aware of – Let me
32		rephrase that. What was Representative Schweikert's involvement in your
33		termination?
34	Former Fin. Admin.:	I do not know.
35	Jeff Brown:	Have you had any conversations with Representative Schweikert since
36		December 30th, 2016?

Former Fin. Admin.: I've seen him in the hall once or twice and we've exchanged pleasantries, but no real conversations.

Jeff Brown: I want to follow up on something Paul asked just a second ago. You were explaining some of your budgetary responsibilities, but your role as financial administrator, can you just walk us through exactly what that meant in Representative Schweikert's office?

Former Fin. Admin.: Sure. So in that office, my job was to do the vouchers, do the payroll authorization forms and have them signed off by authorized folks. If ... I also offered the services of helping with parking; would be working with the parking office, ID services, and different things like that. And I did, if there were ethics questions, I talked with people in ethics. Here is some information because there were questions about some whiteboard paint in the office. I did read an article about a month and a half ago.

Jeff Brown: Okay.

Former Fin. Admin.: And I knew there were conversations about Arizona travel during the Super Bowl, and there were some things in the article to which I was not privy that I was surprised to learn in the article, as well as training.

Jeff Brown: Before we get to the article, I would like to ask you just a couple more, sort of more background questions about the office, and then I would like to add some more detailed questions about that. How much time did you physically spend in Representative Schweikert's office?

Former Fin. Admin.: My normal schedule is to come in on Tuesdays and Thursdays. When I do my rounds, I'll go to each office and it could be five minutes, it could be 15 ... I would say at the outside, 30. If someone's not there to sign, I would come back. But let's say 30 minutes or so a week.

Jeff Brown: And I should have asked this follow-up question earlier, but what sort of ... Percentage-wise, it sounds like vouchers and budgetary issues were sort of the primary responsibilities in Representative Schweikert's office. Percentage-wise, were those the largest issues you worked on?

Former Fin. Admin.: Those were the largest issues. Yes.

Jeff Brown: And approximately how much time were you spending on those sorts of issues?

Former Fin. Admin.: For that particular office?

Jeff Brown: Mm-hmm (affirmative).

Former Fin. Admin.: Probably 80, 85 percent.

Paul Solis: And just going back to the budget, you had a sort of consulting responsibility, and so you would apprise Representative Schweikert and Mr. Schwab about the status of things, right?

Former Fin. Admin.: Right. I would say for the Congressman, he would say, "Hey, how are we doing? Are we spending too much? Is Oliver spending too much on office supplies?" Or, it would be more conversational than ... I don't know if I ever really sat down with the Congressman to go over the budget. There was one time that he asked that I provide a sort of table of how does his office stack up with my other offices on certain things, which I did, naming the other offices as A, B, C, D, et cetera, and just sort of saying here's where you are in reference to personnel, in reference to travel.

Jeff Brown: When was the conversation, roughly?

Former Fin. Admin.: I think this has the date on it. Okay, I would say beginning of 2016 because I have 2015 totals and projected totals for 2016. So January, February 2016; I can check my work document.

Jeff Brown: No, that's fine. You've mentioned Representative Schweikert and Mr. Schwab as individuals that you've interacted with regarding your responsibilities. Was there anybody else in Representative Schweikert's office that you worked closely with?

Former Fin. Admin.: Beau Brunson, who was the Deputy Chief of Staff.

Jeff Brown: And can you talk a little bit about what Beau's role was, or what sort of working relationship you had with Beau?

Former Fin. Admin.: I had a good relationship with him until he was terminated in the fall of 2016, or end of two thousand ... Oliver changed plans on when he would terminate folks, so I know he had conversations with me about changing Beau's job description, changing his salary in the fall. And I think one plan was to have him work through 2017 partially for the office and take the salary down. And then it was, "No, six months." And then it was ... it changed.

Jeff Brown: What did you know about Beau's departure from the office or termination from the office?

Former Fin. Admin.: I know that Oliver practiced what he wanted to say to Beau on me. He came into the Pitts' office and said ... We had a conversation in person, in the Pitts' office and we had a ... I know we had at least one conversation over the phone because I travel for my other, the academy side of the job, so I know we had a phone conversation. I remember sitting in a parking lot, listening to "Here's what I want to say to Beau." Until my termination, I thought I had a good relationship with the office and all the staff in the office. I don't think I've answered your question.

Jeff Brown: That's okay.

Former Fin. Admin.: Because... Oliver, on several occasions, asked me to fill out termination paperwork for himself for in a month, or six months, or a year, and then, or ... "No, do it now," and then, "No, I'm not going to do it." And he did the same

1		thing with Beau starting that fall. I think it was three different termination
2		dates that he had me prepare within two or three months. Oliver spoke with
3		me and sort of went over 'here's what I want to say'. And I know he had one
4		meeting with Beau, and then I believe a ... I don't know if he had a second
5		meeting, but then from what I understand, he basically told Beau to not
6		show up to the office for several weeks.
7	Jeff Brown:	Do you know what prompted that?
8	Former Fin. Admin.:	I can tell you what Oliver told me, but I'm having concerns because looking
9		back, he had these conversations with me about Beau and then he used
10		similar language about my performance. So I don't know if what Oliver said
11		was correct. Do you understand what I'm saying? Oliver told me that Beau
12		was unprofessional, that he sat at this desk and didn't do anything and that
13		after he left, that there was a breath of fresh air in the office and people were
14		doing much better. Because I was not in the office all day, every day, but only
15		15 minutes, half an hour, couple times a week, I don't know if that's true, and
16		I know that during my time in the office there were a couple occasions
17		where I prepared termination paperwork for several months from the date
18		that I was asked to prepare it. So, I don't know if this was like the M.O of ...
19		What Oliver said to me was that for several times before, I guess he had a
20		falling out with Beau. "Beau or Estina, myself, Oliver, we're the ones that are
21		going to stay, and who leaves? Beau's like a brother to me." So I was a little
22		surprised when he then started saying that Beau was unprofessional, and
23		wasn't doing his job.
24	Jeff Brown:	That isn't something you heard before that point in time, the fall of 2016?
25	Former Fin. Admin.:	That's correct.
26	Jeff Brown:	We've sort of touched on this, but I want to make sure that I get answers to
27		these questions. In Representative Schweikert's office, did you have
28		involvement with travel reimbursements?
29	Former Fin. Admin.:	Yes, that's vouchers.
30	Jeff Brown:	Okay.
31	Former Fin. Admin.:	And doing the paperwork, not approving.
32	Jeff Brown:	Okay. Were there any office policies and procedures that you were aware of
33		with respect to travel?
34	Former Fin. Admin.:	I'm not sure. Can you expand on that question?
35	Jeff Brown:	Sure, were you aware of any either formal or informal guidelines that
36		staffers needed to abide by when they were planning travel or seeking
37		reimbursement for travel?

1	Former Fin. Admin.:	I know normally they seem to prefer people who use rental cars versus
2		mileage, there wasn't that much mileage in the office. I don't think there was
3		anything written, to my knowledge.
4	Paul Solis:	Limits on spending, per diem rates, anything like that?
5	Former Fin. Admin.:	What I say to all my offices is each office is its own little fiefdom. You really
6		should have an employee manual. Not all of the offices have that. From my
7		understanding, the office did not have an employee manual. I know I had
8		several conversations to say this would be a good thing to do. I'm trying to
9		think if during the end, like LAs had to have travel approved by Beau or
10		Oliver, but that approval was never given to me as part of the packet of
11		here's the travel reimbursement that we want you to do the voucher for.
12	Paul Solis:	Do you know if anybody approved of Oliver's travel?
13	Former Fin. Admin.:	I know we had several conversations of how much travel are you doing so
14		that I can help budget how much you're going to be spending for planes, do
15		you know how many times are you going to go out to Arizona? How much
16		overall do you think you plan to spend on each trip and things like that, but I,
17		as Chief of Staff, I think either he or David would have final say, but I don't
18		know if he went to the congressman or not to seek approval.
19	Jeff Brown:	Did Representative Schweikert have any involvements in travel approvals
20		generally that you're aware of?
21	Former Fin. Admin.:	I don't believe so.
22	Jeff Brown:	And what makes you say that?
23	Former Fin. Admin.:	He was, as I said, each office is its own little fiefdom. I have some members
24		where I sit down with them quarterly, personally, and go over the budget
25		with them and their Chief of Staff, and here's where you're spending. I never
26		had any of those conversations really one-on-one, let me sit down and talk
27		with you. It was all just sort of how are we doing and there would be other
28		staffers in the office as well. So there really wasn't private one-on-one
29		conversations. I honestly don't know.
30	Jeff Brown:	In Representative Schweikert's office, did you ever deal with mixed purpose
31		trips?
32	Former Fin. Admin.:	A couple times with the travel card. Oliver said hey, I accidentally put this on
33		the travel card, I should have put it on personal. I need to write a check to
34		Citi Bank. That happened on a couple of different occasions.
35	Paul Solis:	So although that could also potentially … by mixed purpose what we…
36	Former Fin. Admin.:	Right, you mean political versus.
37	Paul Solis:	Right, right.

Former Fin. Admin.: I did not know, until I read that article, that Oliver had his own consulting shop. That was news to me when I read the article. I knew, like many Chiefs of Staff, he did both official and political things for the member, as many do, but I was, when I read that in the article, I did not know about that. So, things were shown to, things were presented to me as I'm going out for official business for the congressman, for the office.

Jeff Brown: Did you ever discuss, relative to Mr. Schwab's travel, that he may be doing campaign work out in the district as well?

Former Fin. Admin.: I don't think so, but if I did, I probably said, as I say to my other Chiefs, if you aren't sure if it's political or official, charge it to the campaign.

Jeff Brown: Did staffers in Representative Schweikert's office, ordinarily rent cars when they went out to the district?

Former Fin. Admin.: Yes, yeah.

Jeff Brown: Did you have involvement in Representative Schweikert's office with office supply reimbursements?

Former Fin. Admin.: Yes.

Jeff Brown: Okay, and just generally, what was your role?

Former Fin. Admin.: Generally, I would get the information from the staffer, I would check to make sure that it was office supplies versus food and beverage or something personal, and there were a couple times when I was like, okay, this is something you can get from downstairs or this is something you can get from like a shelf or cabinets or whatever you get from office furnishings. I would suggest getting it there cause you can get things for free.

Jeff Brown: Do you know if Representative Schweikert's office had any policies and procedures regarding the purchase of office supplies? Either formal or informal.

Former Fin. Admin.: I mean I know when I was asked, I would say, if it's under $500 you don't have to get the TSR approval on things, but it was more here's what's been bought. Have it be reimbursed.

Paul Solis: What was TSR?

Former Fin. Admin.: TSR. Technology Services Representative. If you buy a TV, a 60-inch TV 4G that's $1,000 or you're getting laptop computers or things like that. They have to sign off on certain items before they can be purchased. A lot of times you can do a purchase order through CDWG or something like that versus going out to Best Buy.

Jeff Brown: Was there an individual in Representative Schweikert's office that would have been primarily responsible for purchasing office supplies for the office?

Former Fin. Admin.: I would say that Oliver took it upon himself to make a lot of non-office supply store purchases.

Jeff Brown: Did Representative Schweikert have any involvement in office supply purchases?

Former Fin. Admin.: I don't think so.

Paul Solis: When you say non-office supply purchases, are you referring to the House office supply store?

Former Fin. Admin.: The office supply store downstairs. Yes. In Longworth.

Jeff Brown: Did Representative Schweikert have any involvement in office supply spending?

Former Fin. Admin.: He would joke that Oliver was spending a lot, but I don't think he ever said . . . The only thing, maybe the whiteboard paint. I don't know whose idea that was to have the whiteboard paint. That I'm not sure of.

Jeff Brown: You just mentioned that Representative Schweikert would joke about the amount of money that Oliver was spending on office supplies. Can you elaborate on that?

Former Fin. Admin.: He would basically say, "Hey, is Oliver spending a lot?" Joking around, but he did not ask specifics.

Jeff Brown: Would these conversations happen with any degree of frequency?

Former Fin. Admin.: I didn't see the Congressman that much. I'm trying to think. I probably saw him once or twice a month, so probably had six or seven interactions over the time that I was there but nothing ...

Jeff Brown: Regarding office supplies, six or seven interactions? I'm trying to figure out how this became a joke.

Former Fin. Admin.: I think it was a joke before I got there. I know I said, "Hey, do you have Amazon on speed dial?" Pens, buy them in the office supply store. These pens are so much nicer, and they're cheaper. These are the ones that the office really likes. These are conversations that I would more have with Oliver. I would be like, "Oliver, come on, you can get pens down at the office supply store. Why are you getting them from Amazon, or different lamps, or what not?" These ones are nicer, and these ones make it look ... I did on several occasions have email conversations with all my chiefs after the whole Aaron Schock Downton Abbey look. If you can buy a fishbowl and have Goldie that's going to live a week, that's office furnishings but don't go and buy a tank that's a $1,000. My advice was always if this is on the statement of disbursements and a reporter looks at it, what is it going to look like in the paper and how is it going to reflect on a member? I had a number of email conversations with all my chiefs. Hey, this is okay. That's

not okay. If you have questions, let me know because I can check with finance, or I can check with ethics.

Jeff Brown: Did you have a role in Representative Schweikert's office in education reimbursements?

Former Fin. Admin.: After the fact.

Jeff Brown: What do you mean by that?

Former Fin. Admin.: I wouldn't say education. I would say training because I would talk about ethics training and starting in November saying, "Look, everyone has to do their ethics training before the end of December. Here's where to go. You can do it in person if you haven't done that." If you were a new hire you have to do it once a year, once a second hour for senior staff. I received an email in late October of 2016 from Oliver saying he wanted to double check. In 2015 I had another office that had done training that was a quarter of the cost. Normally, training for the office would be ... On a staff retreat they would have someone come in, and that would be the training expense. It would be one expense a year, or it would be $50 to have a course at the Library of Congress. When I received that email it said it may be allowed in the future if it primarily benefits the office rather than the individual. I told him that because I had another office the year prior want to do a training for a staffer that was a couple thousand dollars. I had checked with finance, and they said, "It can't be training that benefits the staffer. It has to benefit the office."

Jeff Brown: How much did this one cost, if you could estimate based on your ...?

Former Fin. Admin.: I know here it was a lot. After we had this email conversation we had a conversation in person. He said, she said. He says that we discussed this in the summer. I do not recall that conversation because I think it was $7,000 or $8,000. That big of a number I would have said let's make sure. Let's get the sign off from finance or CHA or ethics first.

Jeff Brown: Do you handle training? Are you involved in training reimbursement for other offices?

Former Fin. Admin.: Yes, but I will say I've had the one in another office that was a couple thousand dollars that we had all the paperwork back up before. The money was reimbursed to the program, not to the staffer. The program was paid. The staffer was not paid except for reimbursements for the hotel then. Other than that I've had a couple that, like I said, were Library Congress training. Leadership Constitute would come in or Mark Strand and there's another. I'm trying to think of the other guy that would come in to train the whole office on staff retreats.

Paul Solis: What about universities? Harvard University? Other universities? In your experience, any reimbursement to staffers for trainings at universities? Is this the only one?

1 Former Fin. Admin.: This-

2 Paul Solis: Is this the only one, in your experience?

3 Former Fin. Admin.: In my experience, yes.

4 Paul Solis: Okay.

5 Jeff Brown: You mentioned something either about traveler accommodation ... Did the
6 reimbursements related to this course at Harvard include travel, food,
7 accommodation, anything like that?

8 Former Fin. Admin.: I know I tried to get ... From the end of October till end of December, I think,
9 when it ... December or January of 2017 when it was finally submitted, I tried
10 to get information and had him fill out the information for it. I don't know off
11 the top of my head what all ...

12 Jeff Brown: What was your-

13 Former Fin. Admin.: Because the other training was, this is the cost of the course and that was
14 paid to the folks that ran the course. And then the way that course was run,
15 it was run over a year. I think it was like seven or eight different times
16 during the year that folks from that state would go and they would stay
17 overnight, and so it would be a reimbursement for the night for that
18 particular staffer and the mileage for that particular staffer. But the course
19 itself-

20 Jeff Brown: Were you involved in the ultimate processing of this Harvard Kennedy
21 School reimbursement?

22 Former Fin. Admin.: I know I turned information in. I think there were questions by Finance, so I
23 don't know if the ultimate one was when I was there or after I left. I-

24 Paul Solis: You don't know ... Did Oliver Schwab receive money from the House of
25 Representatives reimbursed for that expense?

26 Former Fin. Admin.: I don't know because by the time I was able to get the information from him
27 it was end of December. Whether or not he actually got reimbursed, I don't
28 know because in the beginning of ... end of December, beginning of January, I
29 was no longer point of contact for the office with Finance so I don't know.

30 Paul Solis: Okay. This is October 29th, 2016, 5:10 PM. He's explaining to you how this
31 was based on benefiting the office as opposed to the individual. A minute
32 later at 5:11 PM on the 29th of October 2016, you responded "Yes on all
33 counts." Are you approving the reimbursement there?

34 Former Fin. Admin.: I was saying, from what he told me, it looked like it fit, that this would-

35 Paul Solis: Then what happened next? Did you turn in paperwork to the Office of
36 Finance?

1	Former Fin. Admin.:	I turned in paperwork to the Office of Finance but I don't think it was
2		immediately after that because it took some time for him to-
3	Paul Solis:	Did the Finance Office ask you any questions about the reimbursement
4		request?
5	Former Fin. Admin.:	I think they did. I think I probably put this with the-
6	Paul Solis:	You might have those emails?
7	Former Fin. Admin.:	I might. I will say I don't know if they were emails or if they were phone
8		conversations. I worked for one office for 20 years and was told that I didn't
9		have to backup the files for several weeks. After he left, because I was a
10		shared staffer, January 3rd about noon, 2017, 20 years of files disappeared.
11		It took about three weeks for them to get everything back. I know, because
12		of the storage limit on my computer, I delete a lot of stuff. However, I'm sure
13		it's somewhere in the Cloud. IT people can get it. I can check and see-
14	Paul Solis:	Okay. Well, maybe we'll talk about that at the end. When you responded to
15		him, "Yes on all counts," did you talk to Representative Schweikert about
16		this reimbursement request by Oliver?
17	Former Fin. Admin.:	No, because I looked at what he wrote. That, to me ... I said "It has to benefit
18		the office." He says "Yes, it benefits the office. Here's how," okay. So I don't
19		think I talked with Representative Schweikert about this-
20	Paul Solis:	Any other-
21	Former Fin. Admin.:	... at all.
22	Paul Solis:	Any other educational or training reimbursement requests by Mr. Schwab
23		during your time in the office?
24	Former Fin. Admin.:	I don't think so.
25	Jeff Brown:	How about by other staffers?
26	Former Fin. Admin.:	I know that there was like a conference up in New York for ... I'm trying to
27		think who it was for. I mean, there were a couple small conferences but-
28	Jeff Brown:	Did you ever-
29	Former Fin. Admin.:	... nothing-
30	Jeff Brown:	Did you ever remember any reimbursement requests for a Stanford-related
31		educational course?
32	Former Fin. Admin.:	I do not.
33	Jeff Brown:	Okay. How about Johns Hopkins?
34	Former Fin. Admin.:	Maybe. I don't think it was ... If it was, it wasn't brought to me as a training
35		course, it was brought to me as "I attended a conference," so not ... This isn't

1		educational, this is a training course but this is a STAFFDEL. But part of my
2		job, I will visit the service academies. There are other Congressional staffers
3		there but not-
4	Jeff Brown:	Why does Johns Hopkins ring a bell?
5	Former Fin. Admin.:	Well, Johns Hopkins rings a bell because I live in Baltimore, because there's a
6		service academy student who is not nominated who there's a tie-in with the
7		Hopkins-
8	Jeff Brown:	But you don't remember Johns Hopkins relative to any staffers in
9		Representative Schweikert's office?
10	Former Fin. Admin.:	Off the top of my head, no. There could have been but-
11	Jeff Brown:	How about the Naval War College, do you remember anybody seeking any
12		reimbursements for Naval War College courses?
13	Former Fin. Admin.:	I know that Oliver ... I think he was part of the Merchant Marine, so he
14		mentioned taking courses there but I don't think he asked for
15		reimbursements for those courses. If he did, it wasn't presented that way to
16		me.
17	Jeff Brown:	Okay. Do you recall if there was any sort of office policy and procedure
18		regarding education or conference reimbursements in Representative
19		Schweikert's office?
20	Former Fin. Admin.:	I think as long as it was approved by Deputy Chief, Chief of Staff, it was okay.
21	Jeff Brown:	I'd like to show you a couple documents, and then I'm going to ask you just a
22		few questions about that. And I should say you may or may not have been
23		involved in the processing of some of these documents, but I'm going to ask
24		some more general questions about it. So take your time if you want to look
25		at it, but I just have a couple very specific questions. First document I'm
26		handing you is CAO_0005 through 10. Can you just generally tell me what
27		this document is?
28	Former Fin. Admin.:	It's a travel card Citibank statement for the month of January 2015, mostly
29		plane flights.
30	Jeff Brown:	Okay, and the front page?
31	Former Fin. Admin.:	The front page is the voucher cover sheet.
32	Jeff Brown:	Okay. Do you recognize that signature?
33	Former Fin. Admin.:	It's an authorized signature.
34	Jeff Brown:	Do you know whose signature that is?
35	Former Fin. Admin.:	I know it's David Schweikert's signature. I can tell you David Schweikert ... I
36		don't think he actually signed any voucher forms while I was in the office.

1 2	Jeff Brown:	Okay. Tell me more about that. Why do you think that he didn't sign any voucher forms?
3 4 5	Former Fin. Admin.:	Because, most members don't. It's an authorized signature. So normally it's someone who's authorized ... chief of staff, deputy chief of staff, some offices have a stamp.
6	Paul Solis:	Mm-hmm (affirmative)- Do you know if that's a stamp?
7	Former Fin. Admin.:	I don't believe that's a stamp.
8	Paul Solis:	That's somebody signing his name?
9	Former Fin. Admin.:	Yes.
10	Paul Solis:	In your experience, who signed Representative Schweikert's name?
11	Former Fin. Admin.:	Normally either Oliver or Beau.
12 13	Paul Solis:	Any occasion where there would be somebody besides those two signing his name?
14 15	Former Fin. Admin.:	Yes. If they weren't accessible, I would ask if somebody else could sign and say, "You know, here's what it is."
16	Paul Solis:	So Beau and Oliver had authorization from Representative Schweikert?
17	Former Fin. Admin.:	As far as I know, yes.
18 19	Jeff Brown:	Do you know what the process was by which they received that authorization?
20	Former Fin. Admin.:	No.
21	Jeff Brown:	How does that work in other members' offices? Is it similar? Is it different?
22	Former Fin. Admin.:	Pretty similar. I mean, you know.
23 24	Jeff Brown:	I'm going to hand you one of the documents. CAO_0011 through 26. Again just generally, what is this document?
25 26	Former Fin. Admin.:	This is a reimbursement for Oliver Schwab for travel January 2015 to, I think, end of February. As well as the ... yeah. January, February.
27 28	Paul Solis:	Just generally, what role would you have in creating this voucher cover sheet? If any?
29 30 31	Former Fin. Admin.:	I would normally do the voucher cover sheet. For example, here he had put meals when it wasn't meals, it was meeting with constituents. So I crossed that off and made the change.
32 33	Paul Solis:	But the approval for these expenditures, would that have occurred prior to you creating that cover sheet? Would Beau or Oliver approve the expenses

1		or the reimbursement requests and then give the paperwork to you to
2		process? Is that how it would work?
3	Former Fin. Admin.:	I would create the cover sheets. And then they would be signed. But I believe
4		that the items to which I would make the cover sheet were approved.
5	Jeff Brown:	You would prepare the forms as it goes to the staffer who is seeking
6		reimbursement?
7	Former Fin. Admin.:	I would prepare the top forms.
8	Jeff Brown:	Okay.
9	Former Fin. Admin.:	Yeah, most finance folks do this. They would prepare the travel
10		reimbursement form.
11	Paul Solis:	With the accompanying receipts and documents?
12	Former Fin. Admin.:	With the accompanying receipts. There were some times ... like this one, I
13		can tell you, Oliver would prepare because sometimes I would prepare for
14		folks if they weren't sure exactly how to do it. They would give me the
15		receipts, I would fill this out, they would sign it. But I can tell you because
16		this doesn't have the code for the office, I did not prepare this.
17	Paul Solis:	That is CAO_0016?
18	Former Fin. Admin.:	Yes. Because of the office code, I would have put that on. A lot of the folks
19		wouldn't know what the office code is, so. Or they may not know their staff
20		employee number.
21	Jeff Brown:	So let me hand you one more document. This begins CAO_0062 through 76.
22		And I just want to draw your attention to the fact that the signature line at
23		the bottom says, "Member, chairman, officer, other approver signature."
24		Which is different than "Authorized signature."
25	Former Fin. Admin.:	That's because in October 1, 2016, the voucher cover sheet changed. That
26		was something that the CAO made the change for that. And they also
27		changed the expense reimbursement form. If you look at this expense
28		reimbursement form, and that one, they're different. Because that started
29		October 1, 2016.
30	Jeff Brown:	Did that change in form come with any change in guidance about who
31		needed to sign these documents?
32	Former Fin. Admin.:	The voucher preparer needed to sign, and then still the member chairman
33		authorized signature. But the voucher preparer, we were certify six that the
34		voucher was prepared. You can see the difference in certifications. This
35		signature certifies six.
36	Paul Solis:	What is six?

1	Former Fin. Admin.:	Six is, this voucher was prepared according to the voucher documentation.
2		And that change happened October 1. There were a number of changes to ...
3		it used to be that if you bought something online, you had to have someone
4		else sign to say, "Yes, that is in the office, you didn't buy it for personal use."
5		That was anything that was purchased online had to be signed by a third
6		party.
7	Jeff Brown:	What sort of procedures were in place in Representative Schweikert's office
8		to ensure that staffers were only reimbursed for legitimate expenses?
9	Former Fin. Admin.:	I think believing in integrity of the staffers.
10	Jeff Brown:	I guess I should ask, then walk me through the process from expense
11		happens to reimbursement occurs.
12	Former Fin. Admin.:	Expense happens, staffer submits the expense report to me with appropriate
13		receipts. If there weren't appropriate receipts they would say, "Here's
14		what ..." if it wasn't an itemized receipt, "Here's why it wasn't an itemized
15		receipt." Prior to October 1, the Office of Finance was a lot more lenient.
16		They now, if you do not have the missing receipt, you have to put in, "Here's
17		what it was. I bought a ham sandwich, a soda, etc." If I would see alcohol, I
18		would cross off the alcohol and change the amount that was being
19		reimbursed. It would go to me. I would do the cover sheet. I would take it for
20		a signature so that there would be somebody else looking at it and
21		approving it.
22	Jeff Brown:	So there would generally be two individuals, aside from the staffer who was
23		seeking the reimbursement, that would review any reimbursement request?
24	Former Fin. Admin.:	Yes. Although sometimes Oliver signed for himself so in that case ...
25	Jeff Brown:	In that case, it would be Oliver and yourself?
26	Former Fin. Admin.:	I would look at it and say, "Hey ..." if there was something, I would say,
27		"Okay, show me the pencils." Or "Show me the lamp." Or show me whatever
28		it is, so that I knew that it was in the office.
29	Jeff Brown:	In your opinion, was there appropriate oversight on the spending practices
30		in Representative Schweikert's office?
31	Former Fin. Admin.:	Each office is its own fiefdom. How they want to run their office is up to
32		them. I can advise, I can say, "If this shows up, how would the newspaper
33		handle it?" If your office, if the member is okay with that ... But I can't force
34		them to not seek reimbursement for something unless it's something like
35		alcohol or ...
36	Jeff Brown:	Recognizing all that, did you feel like they had the appropriate controls in
37		place?
38	Former Fin. Admin.:	I don't know. Each office is different.

1 Paul Solis: How was this office different in regards to spending habits than other offices
2 you worked in?

3 Former Fin. Admin.: I have some offices that they use their personal cars. They have maybe one
4 or two rental cars a year. This office, there were a lot more rental cars. I have
5 some chiefs of staff that don't travel to the district that much, so there's very
6 little travel or flights apart from the member.

7 Paul Solis: Mr. Schwab traveled more to the district than other chiefs you worked with,
8 or less, or the same?

9 Former Fin. Admin.: I would say more for someone who is based here in D.C. I had one chief that
10 was based in the district that came here every week that they were in
11 session. It wasn't more than that.

12 Paul Solis: What about purchases for office supplies, Mr. Schwab's habits of
13 expenditure, more, less, the same as other chiefs?

14 Former Fin. Admin.: I would say more.

15 Jeff Brown: Did you ever raise any concerns with respect to the way MRA disbursements
16 were being doled out in Representative Schwab's office?

17 Former Fin. Admin.: Yes.

18 Jeff Brown: What were those concerns?

19 Former Fin. Admin.: That things that were being purchased on Amazon could be purchased
20 downstairs or could be gotten from office furnishings.

21 Jeff Brown: Did you raise those concerns with anyone?

22 Former Fin. Admin.: Yes.

23 Jeff Brown: Who?

24 Former Fin. Admin.: I raised them with Oliver, with Beau as hey, if somebody looks at this, it
25 could be questioned.

26 Paul Solis: The concerns revolved around what staffers, the purchases of what staffers?

27 Former Fin. Admin.: For the most part, Oliver. I say for the most part. Basically, Oliver.

28 Paul Solis: Did you ever talk to Representative Schweikert or raise these concerns with
29 Representative Schweikert?

30 Former Fin. Admin.: He asked for that one table, which I did prepare, which does show that the
31 office spent significantly more than other offices on supplies and materials. I
32 gave him the information. We didn't really have a follow up conversation
33 because I also wanted to keep the other office's information private.

1	Jeff Brown:	We talked about this, but I want to confirm. You were involved in
2		reimbursements for Oliver Schwab with respect to travel, office supplies and
3		education. Correct?
4	Former Fin. Admin.:	Yes.
5	Jeff Brown:	That would have been from when to when? Your whole time working in
6		Representative Schweikert's office?
7	Former Fin. Admin.:	Yes.
8	Jeff Brown:	Was there any request that Oliver had made that you would not have been
9		involved in? I should say any disbursement request that you wouldn't have
10		reviewed before they went to finance?
11	Former Fin. Admin.:	Can you give me an example?
12	Jeff Brown:	Sure. I'm wondering if Oliver Schwab is looking to be reimbursed for travel
13		related expenses or education or office supplies, would those
14		reimbursement requests always flow through you?
15	Former Fin. Admin.:	I believe so.
16	Jeff Brown:	You don't have any reason to believe that he was able to get reimbursements
17		without going through the financial administrator in the office, that being
18		yourself?
19	Former Fin. Admin.:	I don't think so. Is there a specific example?
20	Jeff Brown:	You've talked about us raising concerns with Oliver and Beau regarding
21		Oliver's purchase of office supplies. Did you have any concerns with respect
22		to Oliver's spending habits on travel?
23	Former Fin. Admin.:	I asked him on several occasions how many times are you planning to go out
24		to Arizona, so we can plan appropriately, for how many days and what
25		you're doing. My job, while I work for the office, it's outside the office. Each
26		office's internal workings are those office's.
27	Jeff Brown:	Was there anything that ever came across your desk travel-related that
28		raised red flags?
29	Former Fin. Admin.:	Are you asking about the Super Bowl?
30	Jeff Brown:	We can talk about the Super Bowl?
31	Paul Solis:	How about apart from the Super Bowl? Any other trips?
32	Former Fin. Admin.:	He took a number of overseas trips, but I think that they were personal.
33		They were conferences, but he also said that they were official
34		representational duties.
35	Paul Solis:	Conferences overseas?

1 Former Fin. Admin.: I don't know because he wouldn't say.

2 Paul Solis: Did you ever receive review paperwork related to travel overseas where he
3 was seeking a reimbursement or used the official travel card?

4 Former Fin. Admin.: I don't think so. There may have been a case, but I probably would have
5 asked, what StaffDel - Was it a flight to the airport? Was it taxi to the airport?
6 Something like that. Normally, basically, that's covered by the trip. Then it's
7 only taxis or a meal at the airport that you would get reimbursed for because
8 you would have to have the paperwork for the staff trip. It would be
9 privately funded travel, which means it would have to go through ethics first
10 to get that approved. Anything that was approved should have the
11 paperwork for that.

12 Jeff Brown: Aside from these overseas conferences that you just mentioned, was there ...

13 Former Fin. Admin.: Is there a specific ...

14 Paul Solis: No. I just want to be clear. Forget the whole – She mentioned you took
15 overseas trips. She also mentioned conferences. I asked if there were
16 conferences overseas. She responded, "No."

17 Former Fin. Admin.: I don't know. I know he got married during that time.

18 Paul Solis: Can I just ...

19 Former Fin. Admin.: Sorry.

20 Paul Solis: ... recap. The difference is I was asking about trips overseas. She mentioned
21 she thought they were personal. Conferences were domestic to your
22 knowledge.

23 Former Fin. Admin.: He didn't share much with me, so I don't know.

24 Paul Solis: That's fine. There was a distinction between the two, that's all.

25 Jeff Brown: Aside from everything we just discussed and aside from the Super Bowl trip,
26 which we can get to in a second, were there any other domestic trips that
27 Oliver Schwab took that raised any red flags for you?

28 Former Fin. Admin.: I don't know. I probably asked him about different trips. I know he said he
29 went to this conference, I want to say, in Chicago where he then went to the
30 National Archives. He showed me I gave the archivist my father's name or
31 my grandfather's name, and they pulled all of the service records. You
32 should do this.

33 Jeff Brown: You mentioned earlier that you read an article about Oliver Schwab. What
34 article are you referring to?

35 Former Fin. Admin.: The one from the Washington Examiner that you have in your hand.

1 Jeff Brown: This is a Washington Examiner article from November 2, 2017 at 6:01 pm.
2 What was your reaction to this article?

3 Former Fin. Admin.: That there were things that I did not know.

4 Jeff Brown: What were the things that you did not know?

5 Former Fin. Admin.: Number one, that he had this consulting firm. He expressed on several
6 occasions that he did things for the campaign and that David owed him
7 thousands of dollars that he had personally put out for different things and
8 that David owed him a lot of money, which was one of the reasons that he
9 was going to be quitting on such and such a day and then that termination
10 paperwork would be ripped up. He wasn't going to quit on that day. It was
11 Oliver is having a hissy fit. He needs to have David tell him how great he is.
12 Then he'll calm down. From what Oliver expressed to me this was personal
13 reimbursement to him, that he did not say that he had this whatever the
14 consulting firm, whatever the shop name was.

15 Paul Solis: Chartwell.

16 Former Fin. Admin.: Chartwell. I had not heard that.

17 Jeff Brown: Just so I can clarify, Oliver was indicating to you that he was making
18 personal outlays on behalf of Representative Schweikert's campaign, that he
19 was hoping to have reimbursed?

20 Former Fin. Admin.: No, that it was for Representative Schweikert personally, like he had all of
21 these mileage points, so when the Congressman and his wife wanted to go
22 overseas, Oliver used his points so that the Congressman didn't have to pay.
23 I stay out of the political side. I worked on Joe Pitts' campaign before he
24 came into office. I basically stayed out of the political side since.

25 Jeff Brown: Were you saying that Representative Schweikert was using Oliver Schwab's
26 travel points?

27 Former Fin. Admin.: That's what was indicated to me by Oliver. I don't know if that's true or not.
28 He said, "He owes me so much. He owes me thousands of dollars that I have
29 personally put out." He did not say that he had put out as a consultant.

30 Jeff Brown: Have you ever spoken to anyone at the Washington Examiner?

31 Former Fin. Admin.: I have not.

32 Jeff Brown: Have you ever spoken to Philip Wagner?

33 Former Fin. Admin.: I have not.

34 Jeff Brown: Did you and Oliver ever discuss, regarding what I think we can refer to as
35 this trip to Arizona during Super Bowl weekend 2015, did you ever discuss
36 whether he would be handling any campaign related activity while he was
37 out in the district?

1	Former Fin. Admin.:	Let me pull up. He sent an email to Beau and to me saying, "Just so you guys
2		don't have a heart attack I wanted to give you a heads up about my trip next
3		week. It will end up being about $4,000 for the hotel and about $1,000 for
4		rental car being Phoenix Open and Super Bowl weekend. I apologize for this.
5		It's priority for David that I'm on the ground to help as host events with the
6		House majority leader, other visiting members. I got ..." Now it says, "two
7		personel," but if you look at it I think he meant to say personnel issues. "We
8		need to get out of the way." I took that as official.
9	Paul Solis:	This is an email of January 24, 2015 at 5:40 from Oliver to you and Beau?
10		Subject line: travel budget for the balance of the month?
11	Former Fin. Admin.:	Right.
12	Paul Solis:	Personel is spelled P-E-R-S-O-N-E-L, and you believe that's personnel?
13	Former Fin. Admin.:	Yes, because we need to get out of the way. I would take that we as the office
14		versus ...
15	Paul Solis:	What is he referring to there?
16	Former Fin. Admin.:	I would say staff issues in Arizona. That's how I took it.
17	Paul Solis:	Do you have knowledge that there were personnel issues in Arizona that he
18		had to deal with?
19	Former Fin. Admin.:	I know there were as to the exact dates because there were a number of
20		different times when he talked about letting people go or bringing people on.
21	Paul Solis:	He says, "I'm on the ground to help as we host events with the House
22		Majority Leader. When he says, "events," what does that mean?
23	Former Fin. Admin.:	I thought that they were for the office, that they were official events.
24	Paul Solis:	Why do you think that?
25	Former Fin. Admin.:	I've had other offices that they have events with other members for official ...
26	Paul Solis:	After the fact, did a campaign event take place with the House Majority
27		Leader?
28	Former Fin. Admin.:	I did not know that until reading this article. I did not know that his wife, or
29		brother, or brother-in-law was out there as well. It was presented to me as
30		I'm going out for official business for the office.
31	Jeff Brown:	Did you ever learn, outside of the article, that he attended - Oliver and/or
32		Representative Schweikert attended - the Super Bowl?
33	Former Fin. Admin.:	I did not know that he had attended the Super Bowl. No, I did not know he
34		attended the Super Bowl.

1 2	Jeff Brown:	Did you gain any knowledge, outside of this article, about whether or not Representative Schweikert or Oliver Schwab attended the Phoenix Open?
3	Former Fin. Admin.:	I don't believe so, apart from this article.
4 5 6 7 8 9	Jeff Brown:	Let me walk you through one of these expense reports, which you should have in front of you. If you can grab the document that starts with CAO_0011 and if you can flip to page 0023. For the record, this looks like it's a receipt of some kind from the Hilton Garden Inn in Scottsdale North/Perimeter Center. Do you know if this is the hotel that Oliver Schwab stayed in while out in Arizona during Super Bowl weekend 2015?
10	Former Fin. Admin.:	It was presented to me that he was staying in this hotel during these dates.
11 12	Jeff Brown:	Do you know if that is the hotel that he ordinarily stays in when he goes out to the district?
13 14	Former Fin. Admin.:	I know there's a couple different hotels. I think it's one of them. I can't be 100% sure because I haven't looked at these for a year plus.
15 16 17	Jeff Brown:	If you can flip to page 0022. This appears to be a receipt for Richard Oliver Schwab at the Hyatt Regency Scottsdale Resort & Spa at Gainey Ranch. It appears to be a $185 receipt. You mentioned earlier on page ...
18	Former Fin. Admin.:	Meeting with constituents for food and beverage versus meals.
19 20	Jeff Brown:	Correct, on page 16 that you had to change that. Do you recall a conversation about that receipt or this line item on page 0016?
21 22 23 24	Former Fin. Admin.:	No. I probably would have looked at it and said I'm guessing that this because it's four as opposed to one and because of the amount that it would be for probably Richard, the member, and staff members who were there, staff members.
25	Jeff Brown:	Did you have a conversation about who attended that lunch?
26	Former Fin. Admin.:	I do not believe so. I take it was not.
27	Jeff Brown:	That's my question to you.
28	Former Fin. Admin.:	I did not.
29 30 31 32 33 34 35 36	Jeff Brown:	I'm going to hand you one other document that begins 0027 and ends CAO_0027 and ends as 0061. If you can just flip to page 58. For the record I'll note, this is an e-mail that begins, it's from Oliver Schwab to you dated February 5, 2015 3:58 p.m. Mr. Schwab talks about attaching an itemized receipt for the Hilton bill. I would like to draw your attention to sort of the third paragraph down. He says, "I'm pre-booking the same hotel for the Phoenix open week next year so that we get a normal rate and not the rate once they're in room block central." Reading that, does that jog your

1 2		memory about whether or not this was the hotel that Mr. Schwab would ordinarily stay in when he went out to the district?
3	Former Fin. Admin.:	The Hilton Garden Inn or?
4	Jeff Brown:	The Hilton that he's referring to in this e-mail.
5	Former Fin. Admin.:	Looking at this?
6	Jeff Brown:	Mm-hmm (affirmative).
7	Former Fin. Admin.:	I presume it was The Hilton Garden Inn.
8 9	Jeff Brown:	I'll direct your attention to a couple of pages preceding this, 0053-56, which appear to be the receipt that he's referencing.
10	Former Fin. Admin.:	I mean, it looks like it's the same hotel.
11 12	Jeff Brown:	My question is, do you know if that's the hotel that he would ordinarily stay in when he went out to the district office?
13 14 15	Former Fin. Admin.:	There was that. I want to say there's a Ritz Carlton out there, but the Ritz Carlton wasn't that expensive. I mean, ordinarily the cost was like $100-$150.
16 17 18	Jeff Brown:	I just want to ask about the location. Did he ordinarily stay in one hotel when he was out in the district or did he tend to stay in a variety of different hotels?
19	Former Fin. Admin.:	I would say one or two.
20	Jeff Brown:	You don't know if this is one of them that he ordinarily stayed in.
21 22 23 24	Former Fin. Admin.:	I think it was, to be perfectly honest. I would look at Hilton. I wouldn't look at the street address. What I would be looking for would be, for example, valet laundry and making sure that came off or if there was movie rental or something like that that would not necessarily be reimbursable.
25 26 27	Jeff Brown:	I should back up here and just verify for the record. You were involved in reviewing Mr. Schwab's receipts and reimbursements for this trip out to Arizona during Super Bowl weekend 2015, right?
28	Former Fin. Admin.:	Yes.
29 30	Jeff Brown:	Okay. Are you aware of whether or not, do you have any reason to believe that he tried to expense anything related to his wife's travel to the district?
31	Former Fin. Admin.:	I did not know his wife had gone to the district until I read this article.
32 33	Jeff Brown:	Okay. Did you have any reason to believe that Mr. Schwab was trying to expense anything related to his mother and brother being out in the district?
34	Former Fin. Admin.:	No I did not.

1 Jeff Brown: Okay.

2 Former Fin. Admin.: From what I understood, this was an official trip that happened to be taking
3 place, that he had to go out there for office personnel issues, for office
4 business. It happened to be during the Superbowl. The Superbowl was being
5 held in Arizona. That he was going out there for official business. That's what
6 was presented to me.

7 Paul Solis: What are you basing that understanding off of? Just the e-mail you just
8 showed us?

9 Former Fin. Admin.: The e-mail and him talking and saying, "I'm going to be going out there. I
10 know it's more expensive than usual." But he did not say to me other people
11 were going out to this. There was no mention to me that other folks.

12 Paul Solis: Did he mention that any campaign events would be happening out there?

13 Former Fin. Admin.: No.

14 Jeff Brown: Having read the article and having reviewed his reimbursement requests, is
15 there anything in hindsight that was a concern for you?

16 Former Fin. Admin.: As I said, I did not know that he had this Chartwell. I do know that when I
17 sent the reminder in November 2015, "Hey everyone, you need to take your
18 ethics training" that his e-mail reply was "I've never taking ethics training." I
19 was like, "What do you mean? How long have you?" This is my little
20 reminder to everyone that says, "Happy Thanksgiving." Take your annual
21 ethics. I may have actually done that. I said, "Are you talking about your first-
22 time training ever or just not last year?"

23 Jeff Brown: Okay.

24 Former Fin. Admin.: I then said, "How long have you been with the House?"

25 Paul Solis: Okay.

26 Former Fin. Admin.: "You may have to do remedial training. Talk with ethics."

27 Paul Solis: Okay, so, we've got the article discusses sort of four categories of things.
28 We've got office supplies, educational expenses, the Super Bowl weekend we
29 talked about, and campaigning coming from representative Schweikert's
30 campaign committee and his PAC, his leadership PAC. You said to us you
31 don't know anything about Chartwell or income he received, Oliver received,
32 from the campaign committees, correct?

33 Former Fin. Admin.: That is correct.

34 Paul Solis: So the information in the article is news to you?

35 Former Fin. Admin.: That is news to me.

Paul Solis: Super Bowl weekend, Jeff kind of ran through that weekend with you. You did know a little bit about that? About the messaging Oliver had provided to you about the reasons for going out there, right? The educational expenses, we talked about. You provided an e-mail concerning one of those requests for reimbursement which is the Harvard Kennedy School reimbursement, right?

Former Fin. Admin.: Right.

Paul Solis: We asked you about anything else, about possibly Johns Hopkins, about Naval War College, Stanford.

Former Fin. Admin.: He may have, whether it was presented to me as educational versus a staff conference.

Paul Solis: Right.

Former Fin. Admin.: Because that would be handled differently.

Paul Solis: Okay. The last category is office supplies. You do have some experience in that about reviewing some reimbursement requests for office supplies. You mentioned you did raise concerns -

Former Fin. Admin.: Yes.

Paul Solis: With Oliver himself -

Former Fin. Admin.: Yes.

Paul Solis: About the expenditures.

Former Fin. Admin.: I raised them with Oliver and Beau and it was like, "Well, you know, Oliver's chief of staff." So, what he says.

Paul Solis: I just want to get clarification on the concern you raised because in my mind there's a certain nature of the expenditure. You briefly touched on this. One is that the expenditures or expenditure could be replaced by, for example, furniture purchase or another type of supply purchase that the House has to give to the offices as opposed to even making a purchase. You would raise that concern, as well?

Former Fin. Admin.: Yes, and I did. There was a heater that was. I don't know if it was a couple hundred dollars or a thousand dollars, and I took it to finance because it was a heater, apparently an outdoor heater for the district office. I asked about it with finance. I said, "Is this reimbursable?" They said yes. For the district office, it is. I can bring things up, I can say you can buy these but ultimately if the chief of staff determines that it is appropriate I will ...

Paul Solis: Okay, so items that the, I mean I asked that question items the house has like furniture. I don't want to talk about things the house doesn't have because that's a separate issue. Items the house has like ...

1 Former Fin. Admin.: Pens.

2 Paul Solis: Well, I want to ask my question first. Pens are something that you'd
3 purchase from the house. They're not just items that the house gives you like
4 for example chairs, like modular furniture. I want to ask about items like
5 that, furniture items, desks, chairs, the house has those items to give to
6 offices. Would Mr. Schwab make purchases on those types of items that the
7 house would have that in your opinion may not need to be purchased?

8 Former Fin. Admin.: To the modular furniture, the office does not pay for modular furniture
9 when it's set up in that office for the particular staffers that are there but
10 they then would have to pay for modular furniture through the house if they
11 changed the configuration after. That is what I believe the modular furniture
12 was for. Things that you would also purchase through the house but you
13 would, it would go to MRA would be like standing desks, which would sort of
14 fall under that modular furniture. I have other offices, the ergonomic chairs.

15 Paul Solis: I'm just asking ...

16 Former Fin. Admin.: I'm sorry.

17 Paul Solis: I'm just asking did you ever raise a concern with Oliver? Hey, Oliver the
18 house has this you don't need to buy it. Did you ever raise that type of
19 concern?

20 Former Fin. Admin.: Yes.

21 Paul Solis: Okay, moving on from that what about just the general nature of amount of
22 expenditures so this looks like an awful, for example, this looks like an awful
23 lot to spend on pens. This looks like an awful lot to spend on folders or
24 binders or anything else. Would you raise those types of concerns?

25 Former Fin. Admin.: Yes, I did. Yes.

26 Paul Solis: Then as you discussed you would also raise the type of concern about
27 particular items for example a heater, where it was a larger dollar item and
28 it just sort of triggered to you do we need this. You would also raise those
29 types of concerns.

30 Former Fin. Admin.: Yes.

31 Paul Solis: Those three sort of categories of expenditures for office type equipment you
32 raised concerns in all three of those categories?

33 Former Fin. Admin.: Yes, I did.

34 Paul Solis: Okay, I realize my question might've been a little confusing. I just wanted to
35 sort of make sure I understand all those different things.

36 Former Fin. Admin.: Yes.

Jeff Brown: I have a couple quick questions before we wrap up. What can you tell me about Oliver Schwab's wife being hired as a temporary employee in Representative Schweikert's office in 2014?

Former Fin. Admin.: Not much, I knew her because we were both schedulers for Pennsylvania delegation. Basically, what I know is when she got engaged to Oliver the office decided that she should not be the temporary for us in doing the office finances. She suggested me as someone that she knew as another scheduler who was a shared staffer. At the time I did scheduling along with financial administration for one office. I pretty much knew maybe for a couple of days that she had done it and got her thumb drive of the information.

Jeff Brown: She did scheduling and finance for Representative Schweikert?

Former Fin. Admin.: No, she handled scheduling for a Pennsylvania member, which I at the time handled scheduling for a Pennsylvania member. I had worked with her for pro life Pennsylvania. There's a lot of folks that come down for pro life so we do provide refreshments and benefits as a state. That's how I knew her. I don't think she did the scheduling for Schweikert.

Jeff Brown: Do you know what she did in Representative Schweikert's office?

Former Fin. Admin.: As far as I knew, she only handled finances, that's all that I know.

Jeff Brown: Did you have any concerns about her working in Representative Schweikert's office?

Former Fin. Admin.: No, because I believe as soon as they got engaged that's when they hired me or shortly thereafter. I did not know that she worked for Representative Schweikert until she sent me an email saying hey, I just got engaged. I've been working for this office, are you interested. Are you looking for another office?

Paul Solis: Was there any overlap between the time you started with Representative Schweikert's office and her time there? For example, you walk in the office and she's there.

Former Fin. Admin.: I don't believe so. If so, maybe a day or so. I think I came in maybe on the 14th or 15th and she probably left that same time. I don't remember if I put in the appointment and termination paperwork or she put that information in.

Jeff Brown: This article references - this article I'm referring to the Philip Wegmann article in the Examiner- references former staffers. Do you know who the former staffers are that are referenced in this article?

Former Fin. Admin.: I do not.

Jeff Brown: Do you have an educated guess about who they might be?

1	Former Fin. Admin.:	Maybe but I don't know who is still with the office and who's not with the
2		office at this time.
3	Jeff Brown:	Recognizing that you do not know who these sources are, do you have any
4		thoughts about who may or may not have talked to the Examiner about
5		these sorts of issues?
6	Former Fin. Admin.:	No one has presented themselves to me to say hey, did you know anything
7		about this. There's no staffer, since I left the only communication I've had is
8		if there's been a bill that's come in that may have come to me because it's a
9		newspaper bill that comes in once a month that I've been, sent to the office. I
10		haven't contacted ...
11	Jeff Brown:	Has anybody from Representative Schweikert's office contacted you about
12		this article?
13	Former Fin. Admin.:	No.
14	Jeff Brown:	Have you spoken to anybody about the article?
15	Former Fin. Admin.:	I've spoken to a couple of my chiefs simply to say hey, this is a former chief.
16		You might want to use this as guidance ...
17	Jeff Brown:	Why did you say former chief?
18	Former Fin. Admin.:	He was my former chief. I've talked with some of my current chiefs to say
19		hey, this information is here. I maybe asked questions about this because I
20		was here. I read it.
21	Jeff Brown:	Have you talked to anybody else about the fact that you're meeting the OCE?
22	Former Fin. Admin.:	My husband was in the room when you called.
23	Jeff Brown:	You made a statement that you ...
24	Former Fin. Admin.:	I did contact a friend of mine who's a lawyer and just said, I didn't say what
25		it was. I didn't say who I was meeting with but just that I was being called as
26		a third party witness. Do you have any suggestions cause I've never had to
27		do this before.
28	Jeff Brown:	Understood. I noticed and as we've discussed, you brought a file of a couple
29		of documents, some of which we discussed here today. Are there any other
30		issues that you feel like we should discuss in light of what we discussed or
31		are there any other documents that you wanted to walk through with us?
32	Former Fin. Admin.:	You have mentioned that you haven't expressed who is being investigated. I
33		can make an educated guess. I don't know. I think of good in people. I know
34		on more than one occasion I said as long as you guys are okay with this
35		being in the paper cause this could, you know as ultimately it represents the
36		congressman, which is what I, if you ask my other chiefs if they will and I'll

1		tell them the same things. If they have questions I'll say talk to ethics. If
2		there's something that you aren't sure of, if there's a news story on it ...
3	Paul Solis:	Did you or Oliver ever reach out to the committee on ethics based on any of
4		the things we talked about today, any of the expenditures? I should it put it
5		this way, did you ever reach out to the committee for guidance based on the
6		expenditures you saw from Mr. Schwab?
7	Former Fin. Admin.:	I know I reached out for paint in the office. I know I reached out in reference
8		to ethics training and financial disclosure and told him to reach out to ethics
9		about that because ultimately it's on him. I know I reached out either to
10		finance or to ethics about Harvard and honestly I don't remember if it was to
11		finance because I had reached out prior on another one or if it was to ethics
12		that I reached out.
13	Paul Solis:	Was this over the phone or over email?
14	Former Fin. Admin.:	Could be both. I know I have advised with ethics to make sure you get things
15		in writing from ethics replies that they reply in writing so that you can ...
16	Paul Solis:	You should check your emails to see if regarding the Harvard expenditure
17		from Mr. Schwab the reimbursement request to see if you emailed the
18		committee on ethics or they emailed you back with some sort of response.
19	Former Fin. Admin.:	Okay.
20	Paul Solis:	Jeff will be following up with you on that. Going back to this folder you have
21		of documents, some of which you shared with us, how did you go about
22		deciding what would go in that folder?
23	Former Fin. Admin.:	I read the article and said, you said that it was about office finances so ...
24	Paul Solis:	You went through your ...
25	Former Fin. Admin.:	I looked at ...
26	Paul Solis:	You went to your official emails and pulled what you thought to be relevant
27		documents related to your communications with Mr. Schwab or
28		Representative Schweikert, put in that folder and brought them here today?
29	Former Fin. Admin.:	Yes, I did.
30	Paul Solis:	You have backed up actual emails in your Outlook of all these emails?
31	Former Fin. Admin.:	Like I said ...
32	Paul Solis:	How did you get these emails? Did you print them from ...
33	Former Fin. Admin.:	Yes, I printed them.
34	Paul Solis:	So they exist right now sort of in your archives?
35	Former Fin. Admin.:	Yes.

1	Paul Solis:	Okay, I mean ...
2	Former Fin. Admin.:	I'm sure you guys can get them. You're a lot more IT savvy than I am.
3 4 5 6	Paul Solis:	We just like to request them now. You've got them there. We'd like to request them now and take that with us if that's all right with you. They'll remain during the investigation they'll remain confidential. We'll do our best to go through them, review them.
7	Former Fin. Admin.:	Ethics, travel budget, leadership.
8 9	Paul Solis:	I mean have you pulled them and felt that they were relevant to our questioning, we'd like them all.
10	Former Fin. Admin.:	Not all of these are for ...
11	Paul Solis:	Are those unrelated to our review today?
12 13	Former Fin. Admin.:	Well that's the article. This is about they requested my records so I gave them all the records.
14	Paul Solis:	You mean when you were terminated?
15 16	Former Fin. Admin.:	Yeah. I don't know if you want those because they picked up the wrong records originally. This was I stopped by to pick up vouchers.
17	Paul Solis:	Yeah, probably nothing related to ...
18 19 20	Former Fin. Admin.:	This was well, Oliver wanted to do some sort of wall mount system and I said, well he said do you think, I said I don't believe they offer, they do do wall mounts.
21	Paul Solis:	Related to expenditures.
22 23 24 25 26 27 28	Former Fin. Admin.:	This was how I knew to ask if it was personal or going for the office because this was for a different office, had asked about a registration fee and so I went to finance and said this would be reimbursable, yes. The person was out and I said I did do a follow up call, I spoke with Keith. He said it was okay as long as there's no credit, personal gain for the staffer and we were okay with it being in the statement of disbursement, correct. That wasn't for this office.
29	Paul Solis:	Okay but it ...
30	Former Fin. Admin.:	This was from 2015 and so then when ...
31	Jeff Brown:	This, in your decision making process, I think that would be helpful, yeah.
32	Former Fin. Admin.:	I don't want to get the other office in ...
33	Jeff Brown:	No, I understand.
34	Former Fin. Admin.:	That's why when he presented it to me that's why I said okay.

1 Jeff Brown: Okay, got you.

2 Former Fin. Admin.: There may be other emails if you guys want to send IT people.

3 Paul Solis: Okay, well we appreciate this and I think this and also, you know the
4 information that you, some of the information you provided us with is based
5 off you, so it's good to have them to be able to make sure it corroborates the
6 things you said to us and so we appreciate that. That's definitely helps us
7 going forward.

8 Former Fin. Admin.: That's why, I know he took a lot of trips but from what was presented to me,
9 it was presented to me as official for the office.

10 Jeff Brown: Do you have anything else?

11 Paul Solis: I don't have anything else.

12 Jeff Brown: Okay, well thank you Former Financial Administrator, with that we'll end the
13 recording and thank you for your time.

14

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

ERRATA SHEET

Page	Line	Correction	Reason
4	5	'He' went to cage	Oliver did, not I
6	29	Pitts' Pitts'	spelled wrong
	31	"for" my job, not from	grammar, spelled wrong
7	20-23	Oliver stated, "Beau's like a brother to me. Anyone else can leave, but Beau, Ernestina, you and I, we'll stay." So I was a little	spelling, grammar, Flow of sentence(s)
8	16	remove 'I'	I'm not the CoS
10	33	~~[illegible]~~ look, If	grammar
21	23	Pitts'	grammar/spelled wrong
22	6	change 'too' to 'two'	incorrect 'to'
24	21	change 'like' to 'look'	
		~~chan~~ add " " around Hilton	grammar
	22	add comma after 'valet,'	
28	13/14	change, 'for Pro-Life PA' to 'on the March for Life constituent mtg'	clarification
29	9	change 'month' to 'year'	correction/clarification
	19	chang 'maybe' to 'may be'	grammar
31	15	'long' should be changed to 'wrong'	— correction of transcript

This errata sheet is submitted subject to 18 U.S.C. § 1001 (commonly known as the False Statements Act).

Witness Name: [redacted]

Witness Signature: [redacted]

Date: 2/6/18

EXHIBIT 3

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

Transcript of Interview of
Former Legislative Director
January 31, 2018

1 Jeff Brown: All right. I've got the recorder on here. This is Jeff Brown with the Office of
2 Congressional Ethics. With me is Paul Solis. We are in the Office of Congressional
3 Ethics Conference room. Before us is Former Legislative Director ("Former LD"). It is
4 January 31st, 2018. It's about 2:55 P.M. Former Legislative Director has been
5 provided with a copy of the false statements warning and signed the
6 acknowledgement form, and with that, we will get started.

7 All right, Former Legislative Director, you were previously employed in
8 Representative Schweikert's office, is that correct?

9 Former LD: Yes.

10 Jeff Brown: Okay. In what roles were you employed by Representative Schweikert?

11 Former LD: I started in 2011 as a legislative assistant, and then over the course of approximately
12 six years I was with him, I served as a legislative assistant, senior legislative
13 assistant, and legislative director.

14 Jeff Brown: Okay. Can you just walk us through roughly when those promotions occurred?

15 Former LD: Let's see. Legislative director position, I took off that role on in, I want to say June
16 2016. From May, June time frame of 2013 to 2016 I was a senior LA. Then prior to
17 that, I'm having trouble remembering the time frame, when I went from LC to
18 legislative assistant, but it was about a three-year span from when I first joined the
19 office, or I guess two years from when I joined the office and then became senior LA.
20 Over that two years, I went from LC to LA.

21 Jeff Brown: Okay. Do you remember roughly when you joined the office?

22 Former LD: When David took office after being elected in November of 2010, I joined his staff
23 officially in January 2011.

24 Jeff Brown: You're no longer with Representative Schweikert's office?

25 Former LD: Correct.

26 Jeff Brown: Where are you now?

27 Former LD: I'm a consultant with IBM on an Air Force contract.

28 Jeff Brown: Did you work anywhere in between IBM and Representative Schweikert's office?

29 Former LD: I began talks with the Mick Mulvaney office to move over there in the winter of, let's
30 see, 2016. Prior to him receiving the nomination, and then officially joined the
31 Mulvaney office for a brief tenure before he resigned to become budget director.
32 Then I transitioned the office to the new member, and officially left the hill in July of
33 2017.

34 Jeff Brown: When you were in Representative Schweikert's office, did you work with Beau
35 Brunson?

36 Former LD: Yes.

1 Jeff Brown: Okay. Who was Beau Brunson?

2 Former LD: At the time when I first joined the office, Beau was a legislative assistant. Then, not
3 sure what the time frame is, but then he became legislative director and then deputy
4 chief of staff in the Schweikert office.

5 Jeff Brown: I should have asked you this first. Who did you report to while you were in
6 Representative Schweikert's office?

7 Former LD: I don't think there was a true linear reporting path. But, if I was to say my direct
8 supervisor, the majority of time I was in the office was Beau Brunson.

9 Jeff Brown: How about Oliver Schwab, what was his role in the office?

10 Former LD: Oliver was the majority of the time, Chief of Staff. He left for a brief period to focus
11 solely on David's campaign work during the 2012 election cycle, I believe it was,
12 when he was, had a primary with Ben Quayle who was also a sitting member of
13 Congress at the time. He came back in, I believe a senior policy advisor role for a
14 short time, and then regained the Chief of Staff role.

15 Jeff Brown: What . . . when you were in Representative Schweikert's office, what was your
16 involvement with financial matters such as budgeting or MRA disbursement
17 requests?

18 Former LD: Limited at best. Outside of submitting MRA reimbursements requests, Oliver almost
19 overwhelmingly maintained full control over that process and then, also worked
20 with Beau for a period of time near the end of the time Beau was in the office, when
21 Beau was kind of the one overseeing that process.

22 Jeff Brown: When you say that process, what are you referring to?

23 Former LD: The reimbursement process.

24 Jeff Brown: Okay. How about budgeting?

25 Former LD: Again, that was overwhelmingly Oliver and then Beau maintained a portion of it for
26 a short time near his tenure in the office.

27 Jeff Brown: Aside from Beau and Oliver, was there anybody else who handled financial matters
28 in the office?

29 Former LD: Over the six years I was there, I believe we've had a couple of different folks who
30 were the financial administrators. I believe, let's see, Mary O'Connor was there for a
31 time. I'm not sure what time frame or when she was there. There was April
32 Blankenship, I believe her name was, she was also one of the financial
33 administrators. Then I also believe Kelly Roberson had some, at least fidelity on the
34 issues, if not, when she was kind of in the administrative position, doing David's
35 scheduling before taking over policy. I think she worked closely with Oliver in some
36 of the budgetary matters.

1 Jeff Brown: What I'd like to do now, I just want to show you, just a couple documents. So you're
2 aware, you may or may not have had anything to do with these documents, but I'm
3 going to ask you some just very specific questions about them. First one I'm going to
4 hand you is, just for the record, it's labeled CAO_0005 and that runs through 0010.
5 Just generally, what is this document?

6 Former LD: This looks like it is a reimbursement form for the travel credit card, for, trying to
7 see ... was it plane tickets, reimbursements?

8 Jeff Brown: Yeah. I want to just draw your attention to the front page real quick.

9 Paul Solis: Just real quick, is this something you would see in your role, throughout your time,
10 in your various roles, sorry, at Representative Schweikert's office, would you ever
11 see these forms?

12 Former LD: Yes, but again, I didn't have any involvement as far as signing off or managing
13 reimbursements outside of my own reimbursements which, when I would do
14 reimbursements, is always for my own card, and then be reimbursed from that. I
15 never used the government card for any of my travel or any of the, you know, events
16 that we organized.

17 Paul Solis: When you would submit the reimbursement documents, would you submit that to
18 someone else in the office or directly to payroll and finance?

19 Former LD: It depends. When we had a financial administrator, either April or Mary, I would fill
20 out the forms and give the forms to them, or I'd give it to Oliver to manage.

21 Jeff Brown: Would there always be ... I should ask you like this. How many individuals would
22 look at a travel reimbursement form that you submitted before it went over to the
23 office of finance?

24 Former LD: It would depend. If it was solely when Oliver was managing the office finances and
25 we didn't have a financial administrator, it was usually just Oliver. If when we did
26 have a financial administrator, it was usually them and with Oliver's
27 acknowledgement or Oliver signing off on the member's signature on the
28 reimbursement forms.

29 Jeff Brown: You sort of touched on my next question. Who are authorized signers in
30 Representative Schweikert's office for a disbursement form like this?

31 Former LD: Oliver. I believe Beau was, at the end of his term, when he was managing it, and I
32 believe Kelly was as well. I'm not certain on Kelly, but I believe when she was also in
33 that administrative role, she had some authority to sign off as well.

34 Jeff Brown: Would Representative Schweikert ever have any involvement in MRA disbursement
35 requests like this one?

36 Former LD: Not that I'm aware of. At least none that I've ever personally been involved with. It
37 was always at the staff levels and never took it to the member directly.

38 Jeff Brown: Do you know whose signature that is on page five?

1 Former LD: I couldn't tell you.

2 Jeff Brown: Were there any specific policies and procedures in Representative Schweikert's
3 office regarding MRA disbursements, be they for travel, office supplies, or whatever
4 it might be?

5 Former LD: None formally that I was ever aware of. There were, more often than not, when we
6 did have official functions or need to use MRA funds, we were told to put it on our
7 personal card and submit the reimbursement. But outside of that, there was never
8 any official policy directives that were pushed down from leadership down to staff.

9 Jeff Brown: Who in the office would you say would've been the most knowledgeable about the
10 spending practices of Mr. Schwab?

11 Former LD: Outside of our financial administrators, when they were on board, I don't think
12 there was anyone who ever had true fidelity over his use of the MRA. Perhaps Beau
13 and Kelly had some insight onto that when they were working those issues, but I
14 don't think there was anyone who would provide kind of a check and balance.

15 Jeff Brown: Okay.

16 Paul Solis: Why do you say that?

17 Former LD: Well, as chief of staff, one, Oliver maintained pretty close hold over the budgetary
18 matters. I don't think there was ever anyone who truly had a grasp over how money
19 was being flowed or either payroll or MRA reimbursements. David certainly gave
20 Oliver a lot of leeway to run the office as he saw fit. As long as issues weren't arising,
21 David didn't really bring it up, at least not in my presence. And then other than that,
22 it was a small portion of when Beau was managing the financials in connection with
23 Oliver, I don't think, outside of the financial administrators, there was anyone who
24 really were working with Oliver closely.

25 Jeff Brown: You mentioned a couple times that there was either a period or some periods of
26 time where there was no financial administrator that would have been working with
27 Oliver on financial reimbursement, MRA disbursement matters. Do you recall
28 roughly what time periods those were?

29 Former LD: No, not off the top of my head.

30 Jeff Brown: Was that for the majority of your time in the office or for a more limited time?

31 Former LD: I think it was more limited near the latter half of my time in the office. Trying to
32 think over here. Yeah, I'm having trouble drawing a time frame and I wouldn't want
33 to speculate, but it was certainly in the second half of my time in the office when
34 there was more a financial administrator engagement.

35 Jeff Brown: At some point, did Mary O'Connor leave Representative Schweikert's office?

36 Former LD: I think she left the office similar to when I was leaving the office, if I remember
37 correctly. It could've been slightly before that, but in that winter of 2016 if I recall
38 correctly.

1 Jeff Brown: Do you know if anyone replaced Mary and took on the financial administrator role?

2 Former LD: Not that I'm aware of.

3 Jeff Brown: When you were working with Representative Schweikert's office, how frequently
4 would you travel?

5 Former LD: I traveled a number of times on DOD-organized StaffDels, I would say a handful
6 throughout the year. And then I believe there was a couple MIKA trips I went on in
7 the later half of my time in the office and only one trip that was paid for with my
8 personal card and then reimbursed to the office.

9 Jeff Brown: Did you have occasion to travel out to the District?

10 Former LD: The few times I did go out in the District, usually the way we set it up is we'd have a
11 DOD staffdel that went out to various installations and then I would stay in the
12 District following, follow on. So there was no travel payments or anything of that
13 nature. I'm also from the District, so I stayed at my home. I think I ... other than some
14 gas receipts, there was no reimbursements I was submitted. There was no hotel and
15 no flights.

16 Jeff Brown: Aside from yourself, who else would travel back and forth to the District with some
17 frequency from the D.C. office?

18 Former LD: Oliver would go fairly frequently. I would say couple times a month. I believe Kelly
19 went a number of times. Kat Dimenstein used to go out there when there were ...
20 What was it? Some task force that they were part of, Valley Fever Task Force, and
21 then Beau went a number of times. But as far as how often they would go, I couldn't
22 really quantify that.

23 Jeff Brown: Can you generally explain to me the process by which a staffer like yourself or Beau
24 or Kat would coordinate travel to and from the District?

25 Former LD: It was a fairly informal process. Essentially, if there was a need to be on the District
26 or they had the authority to set up the necessary meetings with industry or with
27 state global stakeholders, book the hotel, book the flights, rental car if needed.
28 Again, the guidance we were given was overwhelmingly "Put it on your personal
29 card and submit reimbursements."

30 Jeff Brown: So you're in the District, you're gathering receipts, what happens at that point?

31 Former LD: I can't speak for the others. The way I usually worked it, if ... For incidental funds,
32 more often than not, I just ate that cost if it was $10, $5 while getting something to
33 eat or food at a gas station. But for gas and mileage, any other receipts, hotels, car
34 rentals, once you had it, essentially fill out the MRA reimbursement forms and
35 submit it to either Oliver or one of the financial administrators.

36 Jeff Brown: Were there any specific policies and procedures in Representative Schweikert's
37 office regarding travel or reimbursements for travel?

38 Former LD: None. Not that I'm aware of, at least.

Jeff Brown: Okay. Who's providing approvals for staffers to travel to and from the District?

Former LD: The majority of the time, it was Oliver. We would let the member know that, obviously, that the travel's taking place and provide context of why it was taking place. But ultimately the thumbs-up/thumbs-down, no-go/go, was on Oliver to make that decision unless David had objections for some other reason, which, at least when I would travel out there, he never did.

Jeff Brown: Okay. How involved was Representative Schweikert in the process of approving and disproving ... I mean, we sort of touched on he may have been aware but can you talk a little bit more about his involvement in approving or disapproving travel?

Former LD: Certainly. We would certainly provide context of why we're going, who we're meeting with. If there were meetings that the member was having, sit in on those if they were within our purview, or provide at least context of why the meeting were taking place and why we're going out to District. But he never ... outside of acknowledging that we were taking the trip, he never objected to it or had concerns with staff travel.

Jeff Brown: When staff would go out to the District, would they generally stay in ... Was there a specific hotel that they would generally stay in that was close to the District office?

Former LD: Again, I don't know. I've always stayed at my parents' home. I'm not sure what hotels the other staff used.

Jeff Brown: Having said that, and I appreciate that, do you know if it was practice for staffers to rent cars when they went out to the District?

Former LD: Yes, I believe so.

Jeff Brown: Were you aware of any staffers ever traveling to the district, or to other destinations, and being accompanied by their spouse ... And when I ask that question I don't mean to suggest that official funds were being used for the spouse, but did anyone ever travel with their significant other?

Former LD: So, I know Oliver's wife, Ana, traveled with him pretty frequently. Outside of Oliver, I don't believe Beau's wife ever traveled with him when he went to the district, and I, as far as I am aware, no other staff took any partners with them on their travels.

Jeff Brown: You said that you were aware that Oliver's significant other traveled with him frequently. How were you aware of that?

Former LD: I mean, outside of just, kind of, office conversations taking place, Ana was, from her prior work when she was with Congressman Rothfus, and then her continued work lobbying, there was significant engagements with the office, and, so, she was ... Essentially she was around quite a bit, so you knew when they were traveling that ... You know, they were very friendly, would, you know, say, oh, we're going out to district, or we're going to, you know, somewhere else. But I know they traveled together very frequently, not just to the district but all over.

1 Jeff Brown: Okay. What's "very frequently" to the district?

2 Former LD: Again, I think it's probably relative, but Oliver was going out there, either by himself
3 or with Ana, at least a couple times a month from what I remember, and, you know,
4 obviously it ebbed and flowed, but I'd say at least two, three times a month he was
5 going out to the district. And then, you know, they ... If the House wasn't in session
6 he wasn't in town, he was traveling somewhere else or back in the district.

7 Jeff Brown: Okay. I realize it would be an estimate, but could you give us an estimate for how
8 frequently Mr. Schwab's partner would travel out to the district with him?

9 Former LD: It would be hard to quantify. You know, I would say ...

10 Jeff Brown: More than five times a year?

11 Former LD: I think that's an accurate statement.

12 Jeff Brown: So, you think approximately five times a year is a reasonable estimate?

13 Former LD: I think if you were to say five times a year I wouldn't be surprised with that number,
14 I just ... It doesn't seem high to me, so I ... But, again, I really can't quantify how often
15 they've traveled.

16 Jeff Brown: You said that Oliver's partner was frequent ... I don't want to put words in your
17 mouth, but you said she was lobbying the office, can you tell me a little bit more
18 about who she is, what her role-

19 Former LD: Right.

20 Jeff Brown: ... was?

21 Former LD: So, to clarify, she didn't lobby the office in the lobbyist sense. She was a lobbyist.

22 Jeff Brown: Okay.

23 Former LD: Her ... The Firm she worked with had clients in the district. So, the folks that she
24 worked with would come and lobby the office. I don't believe Ana ever personally
25 did, but they were on the Hill often because of their work. So, when they had
26 downtime they would come into the office, they're ... You know, the same functions,
27 given the connection to Arizona industry. So, they're ... So, she was around the office
28 relatively significantly ... Significant amount of time. At least, much more than any
29 other significant other or partner.

30 Jeff Brown: Was there a point in time that Ms. Schwab was working in Representative
31 Schweikert's office?

32 Former LD: So, I don't believe she ever worked in the office. If I remember correctly there was a
33 point in time where she was receiving campaign funds and doing some campaign
34 scheduling, but I don't believe there was a time, that I recall, where she was ever a
35 direct employee of the office, at least, not that I'm aware of.

36 Jeff Brown: Okay. Can you tell me more about what you know about her work for the campaign?

Former LD: So, very limited, outside of the fact that I was told she was doing campaign scheduling, I really don't have any other information outside of that. You know, if she was doing more than that I wasn't aware of it. I had limited involvement in the campaign.

Jeff Brown: Do you know if she was being compensated for that, or if that was on a volunteer basis?

Former LD: I believe she was being compensated.

Paul Solis: Okay, and you said you had limited involvement in the campaign?

Former LD: Yes.

Paul Solis: What types of things did you do for the campaign?

Former LD: So, I'd provide policy support for David ahead of meetings, essentially. So, if he was going into a meeting with Boeing, and prior to that I'd be asked, you know, what's going on with Boeing's Apache multi-year funding, and just provide some basic background for the member prior to him going into those meetings.

Paul Solis: And that's related to the campaign, or his official office?

Former LD: So, it was asked of me, as an official staffer, knowing that they were to support campaign events, essentially. So, you know, official ... His official business, or official work wouldn't have those schedules, but he'd be holding a fundraiser, going to a tour of the facility on Arizona, Oliver would ask, get David smart on the issue before he goes out there, and I would provide that policy kind of background.

Paul Solis: Okay, and would you do that over here in his office, or in the congressional office?

Former LD: Yes.

Paul Solis: Or sorry, the district office?

Former LD: No, the DC office.

Paul Solis: Okay, and would Oliver say to you that, you know, you're going ... This is in your role now as a campaign volunteer or some other role with the campaign?

Former LD: No.

Paul Solis: No. Did you understand yourself, at that time, when you'd be briefing Representative Schweikert, that you're briefing him so that he'd be prepared for a campaign event?

Former LD: It probably was never explicitly said, but yes, that was understood.

Paul Solis: Why did you have that understanding?

Former LD: Well, he wasn't meeting with folks from Boeing in his capacity as a member of Congress, he was doing that as a ... In his capacity as a candidate for Congress. So while, you know, it was never laid out, hey, provide this for a campaign event, it was

structured as, you know, David wants to know about issue X. So, the phrasing of the questions, it was always kind of that wink and nod that it was for the campaign, or for campaign event, but never explicitly said.

Paul Solis: Okay, but you would know that it was ... The event that you were preparing for was a campaign event.

Former LD: Yes.

Paul Solis: You, personally, would know that?

Former LD: Yes.

Paul Solis: Okay, how often would you say that would happen?

Former LD: It fluctuated, obviously, depending on the election cycle. You know, it was, I would say, in an on cycle it was 20% of my time.

Paul Solis: 20% of your congressional duties would be preparing Representative Schweikert for campaign events? Or meetings, campaign-

Former LD: Right, so ... It would probably be hard to say 20%, but it's less than 50, more than 10. I mean, it would all depend. There would be weeks where, and I'm sure you guys know, where there would be a number of things, and during that time there was a lot of buildup for those events, and then there was times where ... You know, it's feast or famine, so there would be no engagements that I supported that were within my portfolio. So, I think it depended upon the time of the year, as well as who he was meeting with, so it's hard to quantify, but, you know, when there were those meetings in my portfolio, I would say 20%, more than 10%.

Paul Solis: Okay. Would you ever attend any of these events?

Former LD: I've attended a number of fundraisers here off campus, none of the events outside in the district.

Paul Solis: And by events here in DC, off campus, you mean events for Representative Schweikert?

Former LD: Yeah, so fundraisers that industry or PACs were doing to support his campaign.

Paul Solis: Okay, and when you talked about those times where Mr. Schwab would task you with prepping Representative Schweikert prior to one of these events, or one of these meetings, would you then later go to that same event in DC?

Former LD: Not all the time. There were certainly times where that took place. I-

Paul Solis: What would be your role, then, at the event? Would you have any type of role, what would you do?

Former LD: My role was essentially to network, to provide support to David as he needed it, but also, one of Oliver's focus was showing a accessibility of staff to industry to local stakeholders. It was much more just being there, being presented, being, supporting

1 2		David and then being able to talk through any issues that were currently going on within that specific issue.
3 4	Paul Solis:	At those events, did you understand your role to be with the campaign, or as an official, congressional staffer?
5 6 7 8 9 10 11 12	Former LD:	I think it probably depended on the event. I think there was a number of events that were probably in a, at least perceived to be more of a gray zone in how David was going to be represented at that. If it was a true fundraiser or if it was an industry meeting that he was going with, I think Oliver usually, on the back end, had Oliver set up an industry meeting with the intention, knowing that there would be a donation coming from their PAC following the meeting. It'd really depend on how the meetings or how the engagements were structured as to what my role going into it was, or how I understood my role.
13	Paul Solis:	Okay. Did you ever have a formal role with the campaign committee?
14	Former LD:	No.
15	Paul Solis:	Were you ever paid for any work you did?
16	Former LD:	No.
17	Paul Solis:	Did you ever volunteer for the campaign committee?
18 19 20	Former LD:	No. It was always expected that we would be accessible to support as needed. But we, for the most part, never had any official engagements or roles with the campaign.
21	Paul Solis:	Okay, and when you say "we," who do you mean?
22	Former LD:	The majority of the staff.
23	Paul Solis:	The congressional staff?
24	Former LD:	The congressional staff, yes.
25 26	Paul Solis:	When you say "expected," that there was an expectation, who was setting that expectation?
27 28	Former LD:	I'm not sure if it stemmed from David down or Oliver down. If David didn't establish that expectation, he was certainly aware of it and allowed it to exist.
29	Paul Solis:	Why do you say that?
30 31 32 33	Former LD:	Because we were clearly providing him support for what he was going into as a campaign event. I think from my point of view, it would just be naïve to expect that a member of congress is unaware of where the support their staff is providing them supports.

1 Paul Solis: Did Oliver or Representative Schweikert ever explicitly say to you or to anybody
2 else who was a congressional employee, "There is an expectation that you do work
3 for the campaign in some capacity," or something like that?

4 Former LD: I don't believe it was ever explicitly laid out, but the expectation was there.

5 Paul Solis: Okay. You mentioned there would be times you meet with an industry group, and
6 prior to that meeting, it was an expectation you had, or an understanding you had
7 that it was an official, officially related congressional meeting, based on official
8 purposes, right?

9 Former LD: Yes.

10 Paul Solis: Then later on, you mentioned that Oliver, it was your understanding that Oliver
11 would have some connection to a potential fundraising event tied to the same group
12 or organization later on.

13 Former LD: Yes.

14 Paul Solis: Could you give us an example, or does anything come to mind, of that circumstance
15 in particular happening?

16 Former LD: The one example that comes to mind is, some aerospace industry representatives
17 held a luncheon, with David in an official capacity when he was on the science and
18 tech committee. Either a day or so after that, Oliver mentioned that the following,
19 that he received a PAC contribution from the same representatives that we met
20 with. That's kind of one example that shapes that narrative, where there were a
21 number of events that were held to support David as an official member of Congress,
22 in his capacity with the expectation that him attending those events would have a
23 follow on contribution to the campaign.

24 Paul Solis: Did he ever talk to you about statements made by people? Not necessarily these
25 aerospace people, but others "that give us a meeting, we're going to get a
26 contribution," type of thing, or any statements that you can recall that would
27 connect those two ideas?

28 Former LD: The one example, I forget the organization, the gentleman was advocating for grant
29 funding in Africa. I can't remember the organization specifically, but he, the
30 gentleman and his organization contributed to the campaign and following the
31 contribution, Oliver asked me to set up a meeting with him to discuss their issues.
32 Then following that meeting, we subsequently submitted letters in support of their
33 initiatives.

34 Paul Solis: Okay. Did Oliver explain to you why you would submit those letters?

35 Former LD: What I was told is, that the gentleman ... donated to the campaign and that we want
36 to be as friendly as we can and as helpful as we can because of those contributions. I
37 don't think there was a direct quid pro quo, but Oliver clearly made it certain that
38 we wanted to be helpful because he was a donor.

Paul Solis: Are there other instances besides this grant...organization that was requesting a grant for interest in Africa? Was there any other organization you can recall that had the similar circumstance?

Former LD: We did, or at least I did in my capacity, quite a bit of work for some industry in the district that essentially is a defense contractor, and they were large donors to David's campaign. Also tied to that is, they are also a client of the company that Ana worked for, when she was lobbying. It was clear that given the relationships that were built, their donations, we wanted to be as forward leaning as possible in advocating for their products with armed services and appropriations committee. That, from when I was handling those issues, it was at least a three year span where I was closely engaged with that. With the firm representing them as well as with the company to advocate for their issues.

Paul Solis: You said it was clear. I mean, Oliver's telling you that preference needs to be given to these people?

Former LD: Yes.

Paul Solis: What's the name of the company?

Former LD: FireTrace.

Paul Solis: FireTrace, and what's the name of Mr. Schwab's wife's lobbying company?

Former LD: The client moved a couple of times with them. It was a Teddy Enyon. E-N-Y-O-N, I believe, Enyon, was their representative, and as he switched firms from I believe, was it Rothschild or Fox Rothschild, I forget the name of the firm, but they also moved with them. They're a Scottsdale based company that provides fire suppressant to DOD and throughout my time in the office, as one example, where there was a close linkage between our work supporting industry in the district, to the campaign contributions David was receiving.

Paul Solis: Okay. What's your understanding of Representative Schweikert's knowledge of, let's break it into two categories. Preference given to Mrs. Schwab's clients, and also this preference towards campaign contributors? What's your awareness of his understanding those two?

Former LD: At least in my presence, there was never any explicit conversations where David directed us – staff - to provide preferential treatment to Ana's clients or to campaign donors. There were some discussions based on donors as far as who was giving what in the office, but from my experience at least, to me, David never said, "these folks are giving money. Take care of them." It was usually driven from Oliver.

Paul Solis: Are other people in the office, Beau and you know, other staffers, is this something people were talking about?

Former LD: I mean, everyone was certainly aware of it, and I think it ebbed and flowed based off of the amount of worked that was being asked to be done driven by the campaign

1 compared to, you know, the work that was being asked to be done specifically to
2 support David as a member of Congress.

3 Paul Solis: And when you were tasked with preparing him over in his congressional office on
4 congressional time for these campaign events, I mean, how did you feel about it? Did
5 you feel uncomfortable? Did you feel like it was an odd thing to ask you to do?

6 Former LD: So, I wasn't happy with it. I usually grumbled because usually these were issues that
7 David didn't care about otherwise, but because he was going to a fundraiser, to
8 some other campaign event, he wanted to pretend or act as, you know, that he was
9 heavily engaged in these issues. So, it was redirecting my time and efforts to focus
10 on an issue that Dave ... I knew David didn't care about outside of that meeting that
11 was going to take place.

12 Paul Solis: And when you said you would grumble about it, is this to yourself, or to other staff,
13 or to Oliver, or to Beau?

14 Former LD: All of the above.

15 Paul Solis: Would you bring your concerns to Representative Schweikert?

16 Former LD: No.

17 Jeff Brown: Oliver?

18 Former LD: I don't think I've ever explicitly directed concerns that I felt what I was being asked
19 to do was inappropriate. I think there was an expectation as a member of the staff
20 you were going to provide that level of support, that it was a 24-hour on call, you
21 know, supporting the member as needed at the member's will in order to ensure
22 that he was a successful member of Congress.

23 So, I think, at my time there, I probably felt ... Reflecting on it I probably felt that had
24 I initiated those concerns directly to Oliver that it would bring my employment into
25 the office in question.

26 Jeff Brown: What do you mean by "in question"?

27 Former LD: I think if there was a question about either my commitment to support David or my
28 commitment to support Oliver in these efforts that it would have swayed how I was
29 viewed in the office, and either my promotion potential, salary increases, or bring
30 into question my need to continue to be continually employed in the office.

31 Jeff Brown: And when you're talking about these efforts you mean these efforts to support
32 Representative Schweikert on fundraising related activities?

33 Former LD: Yes.

34 Jeff Brown: Who are these other staffers that you mentioned that would have been working on
35 the House campus to supply either research or support to Representative
36 Schweikert for fundraising activities?

1 Former LD: So, I think Kat Dimenstein handling the healthcare portfolio did quite a bit of that
2 work. Outside of that I think Beau and Kelly, for the majority of the time I was there,
3 would probably have been the most engaged on those issues. I don't ... For a long
4 time there was little staff turnover, and then there's somewhat of a core nucleus of
5 Kelly, Beau, Kat, and myself, for a good number of years.

6 Jeff Brown: And like you, Kat, Kelly, Beau would be asked to provide this support, or provide
7 this research while working in the House office complex?

8 Former LD: Yes. Again, I don't think it was ever explicitly asked or made clear that the efforts
9 were going to support campaign, but, again, it was to support a ... You know, David's
10 meeting with industry, or David's meetings with constituent groups that were
11 known contributors to the campaign.

12 Paul Solis: Well, I just want to be clear about that, because I think, you know, when you first
13 mentioned this you said that you would have an awareness that there was an actual
14 campaign event that you're prepping him for. You know, that's different than, let's
15 say, a meeting with constituents that, on its face, has an official purpose-

16 Former LD: Right.

17 Paul Solis: - which might then later be campaign related because those people made
18 contributions to the campaign. To Jeff's question, then ... Just want to be clear about
19 other staffers, including yourself, tasked to do research, tasked to prep the member
20 for campaign events, just want to be clear that that occurred, not where you surmise
21 that there might be a campaign tie-

22 Former LD: Right.

23 Paul Solis: - but there were actually events that you're prepping the member for that, that were
24 for a campaign purpose.

25 Former LD: Specific events ... I'm not recalling a specific campaign event where that took place,
26 but again, a lot of what we were asked to do was where there was going to be a
27 campaign tie in, even if it wasn't explicit in that it was a campaign event. The one ...
28 One event, I will mention that has come to mind is prior to the Ben Quayle debate,
29 or, I guess, quasi-debate that David had, you know, I was asked to prepare
30 information on the NDAA, because they were opposing votes on that, so that David
31 was prepared to draw ... To use that as a wedge between the two if needed. Outside
32 that I'm having trouble drawing specific events.

33 Paul Solis: You said NDA?

34 Former LD: National Defense Authorization Act.

35 Paul Solis: Okay, okay. And, so, you were asked to prepare for ... To prepare research on the act
36 prior to this debate?

37 Former LD: Yes. The only reason I'm recalling that is I received a phone call, and I forget if it's
38 Oliver or Beau that called me, but it was relatively late, after ten o'clock, the night

before asking specific questions about the NDAA and about the write-up, or one pager, I provided the day before.

Jeff Brown: You mentioned, sometimes when you would be asked to do this support for fundraising events, or for a debate, that there might be some ... You might grumble about it a little bit, you might grumble about it to others. Did Kelly, or Beau, or Kat, or anybody else who was asked to do these same sort of things, did they ever grumble to you about it?

Former LD: I mean, there was a lot of grumbling, and so it's hard to determine, or delineate, which, you know, especially being a year removed now, what was specific to those issues and what was, you know, just general office disgruntledness.

Jeff Brown: If we're not talking about grumbling, do you remember having conversations with any of those other employees about these sorts of things, in other words, them being asked to do the same sorts of things.

Former LD: Yes. I'm not recalling a specific conversation, but I do recall that those conversations took place regarding, you know ... Kelly, if I remember correctly, when she was doing some of the campaign scheduling as well, used to, you know, used to voice those concerns to me regarding David's ... You know, the general frustration of dealing with David and trying to schedule events for him that Oliver is telling her to schedule that he doesn't want to attend.

Jeff Brown: Did you have anything else there? Switching gears a little bit, if you needed office supplies when you were in Representative Schweikert's office, how do you go about obtaining those?

Former LD: I just use the member supply card and go down to the office supply store and buy them.

Jeff Brown: Okay, so, was there anybody in the office who was specifically tasked with making sure the office had sufficient office supplies?

Former LD: No, not that I recall.

Jeff Brown: Okay. Were there any office policies and procedures regarding the purchase of office supplies?

Former LD: No, not that I recall.

Jeff Brown: Okay. If you purchased ... If you or someone else on the staff purchased office supplies from somewhere other than the House office supply store, how do you go about getting reimbursed for that?

Former LD: I don't remember if I ever specifically did that, but the reimbursement process would essentially be the same, use your personal card for the purchases and then submit the reimbursement form with the receipts to either Oliver or to one of the financial administrators.

1 Jeff Brown: Did Representative Schweikert have any involvement in ensuring that the office had
2 sufficient office supplies?

3 Former LD: Not that I am aware of.

4 Jeff Brown: How about, did Representative Schweikert have any involvement in signing off on
5 MRA disbursement requests related to office supplies?

6 Former LD: Not that I'm aware of.

7 Jeff Brown: Are staffers in Representative Schweikert's office, are they reimbursed for
8 educational coursework of any kind?

9 Former LD: When I was there I don't believe there was any of those, any staff engaged in those
10 activities. As far as I'm aware, no.

11 Jeff Brown: Any policies and procedures that you can recall on taking training courses or
12 educational courses?

13 Former LD: No.

14 Jeff Brown: Okay. It looks like several individuals in Representative Schweikert's office may
15 have taken courses at the Naval War College. Does that ring a bell?

16 Former LD: Yeah. So I believe Kat did a full master's program through the Naval War College.
17 And I remember Oliver expressing interest in it. At one point, I expressed interest
18 and I ended up doing some of the Air War College programs instead, and then when
19 I was on the Hill I started a program in the Army War College that I'm continuing
20 now for my full Master's.

21 Jeff Brown: Do you know why these courses are popular with Kat and Oliver and others in the
22 office?

23 Former LD: So, I can't speak for them specifically. The distance education programs that, that at
24 least I took part of, that were provided to congressional staff by the DoD, as
25 someone who handled the Defense portfolio now working for/as a defense
26 contractor, it was an ability to expand my knowledge base on those issues without
27 actually serving in the military. As far as Kat and Oliver, I can't speak on why they
28 chose to attend the Naval War College.

29 Jeff Brown: Do you know what the tuition was at the Naval War College?

30 Former LD: I do not.

31 Jeff Brown: Do you know if it was free?

32 Former LD: Again, I never did the Naval War College. The Army War College is free to
33 congressional staffers outside of any travel they do up to Carlisle, but again, from my
34 point of view, in my experience, that's only once a year, a three hour drive north. But
35 as far as the Naval War College, I have no idea what the expense is to that. I believe
36 it's free, but I'm not certain.

1 Jeff Brown: You mentioned, you're taking courses, I think you said. Air Force Air Command and
2 Staff College?

3 Former LD: I did do that, yes.

4 Jeff Brown: You did, okay. And the US Army War College?

5 Former LD: Yes

6 Jeff Brown: Were any of those while in Representative Schweikert's office?

7 Former LD: The Air War College.

8 Jeff Brown: Did you seek or receive and kind of reimbursement for that?

9 Former LD: No.

10 Paul Solis: And was there any cost to the coursework?

11 Former LD: No. It was all online, distance education. There was no travel to Maxwell Air Force
12 Base for it. So there was no cost incurred by me that I would request reimbursement
13 for.

14 Jeff Brown: Currently -- What is your current relationship with Oliver Schwab?

15 Former LD: I don't really have a relationship with Oliver Schwab. When I see him, it's congenial,
16 it's friendly, but it's certainly not someone I'm spending time with on the weekends.

17 Jeff Brown: When was the . . . or how frequently do you communicate with him since you left the
18 office?

19 Former LD: Relatively infrequently. When I see him at receptions, other events around town, a
20 few times passing him in the hallways when I'm on the Hill, but it's not consistent
21 and relatively infrequent.

22 Jeff Brown: You've mentioned that he was the Chief of Staff for most of the time that you were in
23 Representative Schweikert's office.

24 Former LD: Yes.

25 Jeff Brown: Just generally, what were his responsibilities as Chief of Staff in Representative
26 Schweikert's office?

27 Former LD: So the majority of his time as Chief of Staff, he focused on campaign work and then
28 also kind of the strategic direction of the office. Building David's relationships with
29 industry, with members of - other members. I would say that as far as day to day
30 office role, he was relatively hands off. He'd delegate a lot of authority. As long as
31 there weren't issues coming up, he wasn't engaged with the office for the most part
32 on the day to day operations.

33 Jeff Brown: Okay. So you said, when he was in the House office building, he'd be focused on
34 campaign work.

1 Former LD: Yes.

2 Jeff Brown: Okay. How much time did he spend on it?

3 Former LD: It's hard to say. Oliver was in and out of the office fairly frequently. I wouldn't want
4 to quantify it and lead you down the wrong course here. So I really don't have a
5 number to provide you but, I'm just saying that the majority of his focus was
6 directed towards David's reelection.

7 Jeff Brown: And how have you come to this awareness?

8 Former LD: He was relatively open about what he was doing and would come back to the office
9 and say, "I just got a donation from this person," or was just at the Capitol Hill Club
10 and met with these folks who committed to donate "x" amount. Then, there was
11 times where we've been asked to proofread campaign emails that were going to go
12 out. So, Oliver, in my opinion, didn't try to hide what he was doing. He was pretty
13 open about his activities.

14 Paul Solis: Would he ever make campaign phone calls in the office?

15 Former LD: I don't think ever directly in the office. He liked to call from his cell phone and pace
16 back and forth in the hall. But I don't think he would ever sit in the office and make
17 calls.

18 Paul Solis: The proofreading of campaign emails. Will you talk to us about that?

19 Former LD: So Oliver would use a personal laptop. We were essentially, prior to the... and again
20 it wasn't frequent, at least for me. He would ask, "Hey, come look over my shoulder
21 and proofread this before it goes out."

22 Paul Solis: And he would do that in the congressional office?

23 Former LD: Yes.

24 Paul Solis: On his personal computer? Would he ever send anything to you to proofread, either
25 on your personal or house email address?

26 Former LD: Not that I'm recalling.

27 Paul Solis: It would be more, "Come to my computer and take a look"?

28 Former LD: Yes.

29 Jeff Brown: What was your reaction, and other staffers', reactions to Oliver's focus on campaign
30 work in the office, and, I think you said, hands-off nature as a Chief of Staff?

31 Former LD: I think it depended. When he would come and try to assert himself in a process that
32 he hasn't been engaged in, it was certainly frustrating. When he wasn't around and
33 we needed someone to provide that leadership role to make a decision, and there
34 was that vacancy, it was certainly frustrating. Outside of that, I think there was
35 general perception of, you know, "Oliver's Oliver. He's going to do what he does. As
36 long as David's happy, there's no issues, everything's fine."

1 Jeff Brown: What sort of awareness did Representative Schweikert have regarding Oliver's
2 focus while in the congressional office?

3 Former LD: I think he was aware of Oliver's activities, and so long as there weren't issues, he
4 was happy with, completely fine and complacent with it. I don't think he had any
5 serious concerns over what he was doing unless there was an issue that came up.
6 But as long as there was smooth sailing and course ahead, David never raised any
7 concerns.

8 Jeff Brown: How would you describe Representative Schweikert and Oliver's relationship?

9 Former LD: Incredibly close.

10 Jeff Brown: Can you elaborate on that?

11 Former LD: So, I think their relationship goes beyond a professional relationship, and they are
12 genuinely friends. Oliver, I think David credits Oliver to him being elected to
13 Congress and being a member of Congress. I think if there's anyone in that office
14 that truly has a grasp on what David is looking for and what David wants, it would
15 have been Oliver. And similarly, I think, David probably had a firm grasp on what
16 Oliver's activities were doing. They were probably the first call in the morning and
17 the last call at night. It was that type of relationship.

18 Jeff Brown: How frequently would Oliver travel to and from the District?

19 Former LD: Fairly frequently.

20 Jeff Brown: And was he traveling for official business or campaign business to the District?

21 Former LD: Both. I think the blurs ... The lines were probably blurred at times on if ... If he was
22 going out there for one he might as well do the other, or if there was a clear
23 breakdown. I think Oliver viewed as, well, I'm out here, I'm going to do both. You
24 know, if ... But he would go back for both campaign and for official business.

25 Jeff Brown: Do you know if ... Well, do you know how Oliver's travel was accounted for? Was it
26 paid for from official funds, generally, or campaign funds, or a combination of both?

27 Former LD: I don't have a firm answer for that. I think there was probably a combination of
28 both, as well as him using his personal credit card and asking for reimbursements
29 from the office, but again, if I was to try to break that out I'd purely be speculating.

30 Jeff Brown: You said that sometimes you felt like maybe the lines were blurred in terms of the
31 purpose of the travel, can you elaborate on that statement?

32 Former LD: Certainly. So, I think there were times where he, given that he would do both the
33 campaign and the official business, I think there was times where he would travel
34 out there with official meetings set up and then extend his time to do campaign
35 work and come back, and visa versa. I don't think there was a clear delineation of
36 I'm out here to do official congressional work and my next trip I'll do campaign
37 work, it was I'm out here, I'm going to do both official and campaign.

1 Jeff Brown: Did you ever raise any concerns, did you ever have or raise any concerns with Mr.
2 Schwab's travel practices?

3 Former LD: No.

4 Jeff Brown: Did you not raise, or not have, any concerns because you wouldn't have had sort of
5 the requisite knowledge of how much time he was spending on official versus
6 campaign?

7 Former LD: So, I think that's part of it. I think there was also just the general feeling that I,
8 personally, wasn't being impacted by his travel. He's my superior, I don't have the
9 authority or the ability to interject and question his decision making and these
10 practices. So, it was one of those things where, you know, as chief of staff I respected
11 his role in the office, respected his responsibilities, and never really questioned his
12 role in how he financed his travel and why he was going back. I didn't feel it was my
13 role as a staffer.

14 Jeff Brown: Did ... Were you aware of anybody else raising concerns with his travel practices?

15 Former LD: So, I think Beau, throughout the time I was there, would raise some concerns that he
16 was spending too much time in the district. As far as the financial aspect of that I'm
17 not aware.

18 Jeff Brown: What do you mean by the financial aspect?

19 Former LD: On how he was being ... Or, how they ... He was financing the travel of his through
20 official or campaign funds.

21 Jeff Brown: Were there appropriate spending and reimbursement oversights in Representative
22 Schweikert's office when you were there?

23 Former LD: I would say no. Again, I think if ... The only true oversight was Oliver. So, there was
24 never any serious question. I remember Mary rejecting a couple of my
25 reimbursements because I didn't fill out the forms properly, but there was never
26 any question about what I was submitting, or what other staffers were submitting,
27 and if you presented a reimbursement form to Oliver or one of the other staffers
28 who had the authority to sign off they signed off without questioning it.

29 Jeff Brown: And I think we've covered this, but in case we haven't, Representative Schweikert's
30 involvement in approving Mr. Schwab's MRA disbursements, what was it?

31 Former LD: As far as I'm aware, he had none. It was Oliver had full carte blanche authority to
32 manage the MRA disbursements and the office finances as he deemed necessary.

33 Jeff Brown: Okay. We've talked about travel practices but I'll just ask this question more
34 generally, did you have any concerns with the way in which Mr. Schwab was
35 spending MRA funds?

36 Former LD: You know, I thought it was excessive at times, but again, I never was sure how funds
37 were being used, if it was MRA funds or if it was campaign funds, so I would say that

1 I thought his spending practices were excessive, but how they ... He was financing
2 them without having fidelity on those issues, you know, I never raised any concerns.

3 Jeff Brown: Okay, but it's your understanding that besides Oliver, once ... I should say, once
4 reimbursement materials got to Oliver they would go to Mary, and if there wasn't
5 pushback from Mary they would just proceed?

6 Former LD: Yes.

7 Jeff Brown: Have you spoken to anybody at the Washington Examiner about Mr. Schwab?

8 Former LD: No.

9 Jeff Brown: Okay. How about Philip Wegmann, do you know who Philip Wegmann is?

10 Former LD: Yes. So, I set up a coffee meeting with David and Philip when I was LD, I set up a
11 number of ... I think about 10 or a dozen meeting ... Coffee meetings, lunch meetings,
12 with David and reporters in an effort to build David's media profile with
13 conservative reporters at the time.

14 Jeff Brown: Okay. Have you ever seen or read this article before? And by this article, and for the
15 record, I'm talking about an article titled, "A Lot of Cash is Flowing to David
16 Schweikert's Chief of Staff, Oliver Schwab," dated November second, 2017?

17 Former LD: Yes, I have-

18 Jeff Brown: Okay.

19 Former LD: ... seen this.

20 Jeff Brown: When did you first view this article?

21 Former LD: Approximately the time that it came out a friend forwarded me the link to the
22 article.

23 Jeff Brown: And what was your reaction when you first read the article?

24 Former LD: I wasn't surprised by it. I ... Yeah, I think that was my general reaction is everything
25 that was in the article made sense to me, and I didn't question the validity of it.

26 Jeff Brown: I'd like to break down a lot of what's discussed in this article, but before I do that I
27 would like to ask you ... The article references a variety of former staffers as sources,
28 do you know who Mr. Wegmann is quoting? Who these former staffers are?

29 Former LD: I don't. I could only speculate. Given the information in this at the time I thought it
30 was either Beau or Kelly who provided this information.

31 Jeff Brown: Who else have you had conversations with this article about?

32 Former LD: So, a number of friends, no one directly employed by or engaged with the
33 Schweikert office that I'm aware of.

Jeff Brown: Well, like I said, I'd like to break down some of what's in this article, so let's first talk about the ... What I think we could here refer to as the Super Bowl trip, that being the trip to ... Mr. Schwab and Representative Schweikert's trip to Arizona during Super Bowl weekend, 2015. What can you tell us about their trip during Super Bowl weekend, 2015?

Former LD: So, I was unaware of the trip, and to be honest I don't recall, at the time, any discussions towards it.

Jeff Brown: Okay. So then, were you aware ... Or, you were not aware at the time, that Oliver attended the Super Bowl?

Former LD: I was not aware he attended it.

Jeff Brown: You...

Former LD: I'd be very jealous.

Jeff Brown: You were not aware that Representative Schweikert attended the Super Bowl?

Former LD: No.

Jeff Brown: Okay. Would you have any awareness of any activities that either Representative Schweikert or Oliver Schwab engaged in that weekend?

Former LD: No.

Jeff Brown: Okay. How about from social media postings or anything like that, would you ever have learned, at any point, that he attended the Super Bowl or partook in any other activities that weekend?

Former LD: Again, I'd have to go and look at social media, I ... But I don't remember that weekend, or if he attended that Super Bowl.

Jeff Brown: Okay.

Paul Solis: Was there a campaign event that weekend?

Former LD: Not that I recall.

Jeff Brown: Do you recall anything about a Representative McCarthy event?

Former LD: So, there was a number of Representative McCarthy events out in the district, and I- Most of those events stemmed around the Valley Fever task force, and there would be subsequent campaigning activities while Congressman McCarthy was out there, but from my recollection, the majority of their engagements in the district stemmed around the Valley Fever task force.

Jeff Brown: The Valley Fever task force, would that have been official side?

Former LD: Yes.

Jeff Brown: The Phoenix Open, is that an event that representative Schweikert or staffers would attend in any given year?

Former LD: I don't recall any staffers specifically attending this. It is a, you know, widely talked about activity in the district. I'd be, off hand I'd be blurring the lines between, the general, the conversation regarding the Phoenix Open and any specific activities of either David or Oliver did out there.

Jeff Brown: Was it an important weekend for Representative Schweikert generally?

Former LD: Yeah. It was. Given the influx of people and business into the district, David usually, and Oliver tried to capitalize on the event to draw publicity and promote what they were doing, and people who weren't necessarily engaged with the office on a regular basis.

Jeff Brown: Would there generally be, both campaign and official events that weekend?

Former LD: I believe it leaned more towards the campaign side. Again, without sitting down and really thinking about it, I can't recall off hand on how things were broken up.

Jeff Brown: Are you aware of Oliver Schwab ever improperly purchasing any office supplies for his personal benefit?

Former LD: I think, without having visibility on where the funds were coming from, there were certain purchases that I thought were excessive, but at the time, unless I knew they were coming from the MRA, I think it would probably be hard for me to say that, you know, he shouldn't be spending the money that way. If it's his personal funds, I'm okay with it.

Jeff Brown: So you wouldn't know if it was personal funds or MRA funds in the examples that you're thinking of?

Former LD: Yes.

Paul Solis: What's an example of something you thought was excessive?

Former LD: There was essentially, I guess a reading table that - some fancy reading table - that was a couple hundred dollars that he wanted over by his desk, I think purely for aesthetic reasons. I'm not sure how that was paid for. There were a number of those micro-room heaters, with like, kind of the faux electronic flames, or fireplaces, number of those were purchased for the offices. I would assume those came out of MRA, you know, whether or not those were needed, I would argue they weren't, but the decision was to purchase them. Those are a couple of the examples of kind of excessive spending that if I was a decision maker, wouldn't have purchased.

Paul Solis: But again, your awareness of the source of those funds, you didn't know?

Former LD: No.

Jeff Brown: Do you know whether Oliver Schwab took a course at Harvard University?

1 Former LD: I remember him posting about that on social media. I think he did one of those,
2 executive leadership course, week long courses, something to that effect. I'm not
3 sure on the specifics of the course, but I do believe he did that.

4 Jeff Brown: Did you ever have any discussions with him regarding that course?

5 Former LD: No.

6 Jeff Brown: Okay. Any discussions with him regarding reimbursement for any sort of training?

7 Former LD: No.

8 Jeff Brown: Okay. So you wouldn't know whether or not the Harvard course included anything
9 like travel costs or food or accommodations?

10 Former LD: No.

11 Jeff Brown: Have you ever heard of the Casey Family Foundation?

12 Former LD: No.

13 Jeff Brown: Are you aware that Oliver Schwab has a family foundation?

14 Former LD: Yes. I'm not familiar with it specifically. Just that Oliver does come from significant
15 wealth and that there was a foundation. Outside of that, I have limited information
16 on it.

17 Jeff Brown: Do you know whether or not he receives any sort of income from that foundation?

18 Former LD: I don't know.

19 Jeff Brown: Do you know what his role is, at the foundation?

20 Former LD: No.

21 Paul Solis: Jeff had asked you about a course at Harvard. Did Oliver take a course at Stanford?

22 Former LD: I'm not aware of that.

23 Paul Solis: What about Johns Hopkins?

24 Former LD: Not aware of it.

25 Jeff Brown: Aside from the salary that Mr. Schwab would have received from the House of
26 Representatives, what can you tell me about other income sources that Mr. Schwab
27 had?

28 Former LD: I believe he was compensated from the campaign funds, for his campaign work. I
29 also remember, if I remember correctly, he provides some level of consulting, I'm
30 not sure what that included or who he was employed by.

31 Jeff Brown: How are you aware of both of those things?

32 Former LD: Office discussions.

Jeff Brown: Those have been discussions with Mr. Schwab or others?

Former LD: Both. I don't think there was ever a discussion on how to, there was never a discussion on what he was doing, as far as trying to hide it. Oliver was always pretty open about his activities. I was never aware of the fact of how much or what specifically he was doing, but I was aware of the fact that he was doing those activities. If I recall correctly, there was a time when he was compensated below the $120,000 level while he was trying to figure out personal finances for the financial disclosure forms because given the, I think the foundation and also his family wealth, he didn't want to fully disclose everything.

Jeff Brown: Can you tell us more about that conversation?

Former LD: When part of the, I guess the process or the conversation that took place when Beau became the one with signing authority for the financial disclosures and whatnot, was because Oliver was in the process of trying to essentially work with financial advisors on how he can move some of his family wealth. That's at least what I was told. He was receiving below the $120,000 threshold so he didn't have to do the mandatory disclosures.

Jeff Brown: What year was this?

Former LD: Somewhere, 2015, 2016.

Jeff Brown: Why did he explain that he didn't want to have to do the mandatory disclosures?

Former LD: I don't believe, if I remember correctly, Oliver did not tell me directly this. It was secondhand from Kat and Beau separately on, because there was a discomfort in the office with the amount of compensation that some of the senior leaders were receiving compared to more junior staff. It was laid out as, this is why Beau was making so much, so he was doing the disclosures. Oliver is trying to figure out how he can, essentially manage his personal finances, not to fully disclose everything. That's why Beau was, all of a sudden, received a large compensation and that Oliver was receiving below the 120 threshold.

Jeff Brown: I guess back to my question, why was Oliver reluctant to do financial disclosures?

Former LD: The story I'm told is, given his personal wealth, that they didn't want that disclosed. Given the wealth he inherited with I believe it was his grandfather's passing, they were working on trying to move those funds so he wasn't, it wasn't being fully disclosed on how much he personally was worth.

Jeff Brown: Do you know if he filed financial disclosures for the years that he was chief of staff?

Former LD: For the majority of them, yes. Again, there's that kind of couple ... That one or two quarters where he was below the 120 threshold.

Paul Solis: During that year did he file a financial disclosure form?

Former LD: I believe earlier in the year he did, and then he took a salary reduction.

1 Paul Solis: Typically the way financial disclosures work is you, you know, they're once a year,
2 so ... And they're due usually around May or the first part of the year for the
3 preceding year. So, did he file one during the year that he took a salary decrease-

4 Former LD: I'm not-

5 Paul Solis: ... below the senior staff level?

6 Former LD: I'm not certain on that.

7 Jeff Brown: What can you tell us about Chartwell?

8 Former LD: Outside the fact that that was his consulting firm, I had no engagement, no activities
9 with the ... Was unaware of what, specifically, Oliver did with it.

10 Jeff Brown: But outside of this article, and again, the Examiner article, for the record, you were
11 aware, during your time in Representative Schweikert's office that Oliver had a
12 company called Chartwell?

13 Former LD: Yes.

14 Jeff Brown: And you were aware that Representative Schweikert's campaign committee, and or
15 political action committees, were compensating Oliver through Chartwell?

16 Former LD: No. So, I wasn't aware that he was being compensated through Chartwell. My
17 understanding was he was being compensated by the campaign committees as a
18 campaign employee and not through a consulting firm that he established.

19 Jeff Brown: In other words, you thought that he was ... Monies were being paid personally to
20 Oliver Schwab.

21 Former LD: Yes.

22 Paul Solis: So, what did you know about Chartwell, ever?

23 Former LD: Other than the fact that it existed, nothing.

24 Paul Solis: Would he ever say anything about it?

25 Former LD: I want to say yes, but there's no specific conversations or instances that are coming
26 to mind.

27 Jeff Brown: Do you know if Oliver Schwab did any sort of political consulting or fundraising for
28 anyone besides Representative Schweikert?

29 Former LD: So, my perception was yes. Who those folks were or what activities they were I'm
30 unaware of, but my perception was there was more political activities beyond just
31 Congressman Schweikert's.

32 Jeff Brown: Back to the financial disclosures, did you ever have any discussions with Oliver
33 Schwab about him, you know, not appropriately disclosing his personal finances?

34 Former LD: No.

Jeff Brown: Okay. Did you ever hear of any discussions like that?

Former LD: At the staff to staff level, nope, just the fact that those activities were taking place and there was a frustration given how the overall compensation in the office, at the time ... But I think those conversations were part of that overall conversation with the general dis-happiness of the pay discrepancy between senior staff and mid and junior level staffs, comparatively.

Paul Solis: You mentioned that ... And this is, again, you mentioned it was through secondhand reports from Kat and Beau about Oliver's desire to not be subject to the financial disclosure requirements because of his personal wealth, and disclosing that, right? That's correct?

Former LD: That was my understanding, what I received, yes.

Paul Solis: Do you know if any part of that desire to not be subject to the financial disclosure requirements had anything to do with income he was receiving from Representative Schweikert's campaign?

Former LD: Not that I was aware of. You know, my understanding, it was that he received an inheritance and he was trying to move the funds before having to disclose them, but I was never aware of what level of compensation he was receiving from the campaign.

Jeff Brown: Again, just ... I'm trying to get a handle on why he was so concerned about disclosing personal wealth issues.

Former LD: You know, I don't have a solid answer for you on that outside of just what I was told. It was never a conversation I had with Oliver specifically.

Jeff Brown: Is it fair to say that Oliver Schwab was aware that he was subject to outside earned income limits as a senior staff?

Former LD: I think that's a fair statement. You know, Oliver generally was very aware of the reporting requirements that he would have to provide, so I think saying he was aware of those is accurate.

Jeff Brown: Okay. Do you ever recall any discussions in the office about Oliver Schwab not taking required ethics trainings?

Former LD: Yes, it was near the later portion of my time in the office. It was essentially, you know, I haven't had to do one yet, why would I start now? They'll know I haven't done one, and it was kind of a running joke he had.

Jeff Brown: And this occurred roughly what year?

Former LD: Late 2105 through 2016, I'd say.

Jeff Brown: And, so, the implication from Oliver was that he's been on the Hill since roughly 2011 and he hasn't taken an ethics training up through 2015.

Former LD: Yes.

1 Jeff Brown: Having said that, is it still your belief that Oliver Schwab would have been aware
2 that he was subject to outside earned income limits?

3 Former LD: Yes.

4 Jeff Brown: And that would have ... he would have been aware of that from 2011 through today?

5 Former LD: Yes.

6 Jeff Brown: So, aside from Chartwell and any political consulting or fundraising activities that
7 Oliver Schwab was engaged in, are you aware of any other businesses that Oliver
8 Schwab was involved in?

9 Former LD: Not that come ... Any that come to mind.

10 Jeff Brown: Okay, do you know if he undertook any sort of real estate endeavors?

11 Former LD: So, I believe he has a number of rental properties. I remember a relatively significant
12 discussion he was having with, I think, Kat, at the time, who was refurbishing a
13 home she bought about properties he was trying to rent in DC, or properties he was
14 trying to buy in DC to rent.

15 Jeff Brown: Okay. Does this have anything to do with the inheritance issues we just discussed?

16 Former LD: You know, I wouldn't know. They were in that timeframe, but I never drew a
17 connection if there is any.

18 Jeff Brown: Okay. What do you know about what sort of income Mr. Schwab was receiving from
19 any of these real estate endeavors or investment properties?

20 Former LD: I'm ... No, I don't have a strong understanding or insight on specifics. I know, given
21 some of the locations that he was looking to buy, they were, you know, not cheap
22 properties in the District. You know, some are right on Mass Ave, which are
23 relatively expensive.

24 Jeff Brown: Was it your understanding that he would be receiving rental income from these
25 properties?

26 Former LD: Yes.

27 Jeff Brown: Okay. And, roughly, do you know how many rental properties he had?

28 Former LD: So, there was only one that ... And, again, I'm not even sure if the deal ever closed,
29 but that there were discussions that either I was involved in or tangentially involved
30 in on renting a property, I believe, off of Mass Ave, or buying a property to rent off
31 Mass Ave. Outside of that I'm not familiar with what ... How many properties he
32 owns or rents.

33 Jeff Brown: Do you know if that ... There was ever a closing on that property?

34 Former LD: I believe so, but I'm uncertain, and, you know, won't want to say specifically yes or
35 no, but I believe there was a closure on it.

1 Jeff Brown: Have you ever heard of a company called Pinkham Management, LLC?

2 Former LD: No.

3 Jeff Brown: How about Allerton Property Management?

4 Former LD: No.

5 Jeff Brown: Do you know if Oliver Schwab had any sort of role, in DC or elsewhere, managing
6 properties?

7 Former LD: So, part of the discussion on that one property that I'm aware of in DC was, you
8 know, using a management company so that he is not directly managing it, and just
9 essentially taking his cut of the rent. I'm not sure what role he has now, but I know
10 that was part of a discussion.

11 Jeff Brown: So you don't know what the outcome of that discussion was?

12 Former LD: No.

13 Jeff Brown: Have you ever heard of a company called Health Wellness Partners?

14 Former LD: No.

15 Jeff Brown: How about Bristol Consulting?

16 Former LD: No.

17 Jeff Brown: Are you aware of whether Ana Schwab had any ... did any sort of political consulting
18 or fundraising for anyone on the Hill?

19 Former LD: I believe she did some level of fundraising, for a number of members. Both David
20 and Keith Rothfus and I think there was a number of relationships she cultivated
21 from her brief time at the NRCC that kind of opened up the opportunity. While there
22 were those discussions that I was aware of, I couldn't tell you what members, or to
23 what extent.

24 Jeff Brown: Do you have any idea what sort of work she was doing?

25 Former LD: General fundraising, organizing events, scheduling, but not specifically on
26 everything that she was doing.

27 Jeff Brown: Do you know if she was doing any of this on a volunteer basis, or if she was being
28 compensated?

29 Former LD: My understanding is that it was all compensated.

30 Jeff Brown: Did Oliver Schwab ever discuss using frequent flyer miles to pay for Representative
31 Schweikert's personal travel?

32 Former LD: I know there were, he discussed quite frequently, use of frequent flyer miles, and it
33 would be hard for me to say if it was for personal or official travel, but there were

1 2		those discussions. I'm just not drawing a specific instance to mind that would help delineate.
3 4	Jeff Brown:	Okay. Was there ever a discussion about Representative Schweikert specifically using Oliver Schwab's miles?
5 6	Former LD:	Again, I can't think of a specific instance. Oliver generally tried to use miles as much as possible.
7 8	Jeff Brown:	Are you aware of any gifts or loans that Oliver would have made to Representative Schweikert?
9 10 11 12	Former LD:	At one point, I was told that he donated or he loaned the campaign a relatively significant amount. I think several thousand, five or ten if I recall correctly. Five or ten thousand dollars that were still owed to him. I don't really have a firm grasp on when that took place, or the full amount.
13	Jeff Brown:	Do you know what year that loan would have occurred?
14 15	Former LD:	No. My understanding was just an outstanding loan that Oliver never was paid back on.
16	Paul Solis:	This is to Representative Schweikert's campaign committee.
17	Former LD:	Yes.
18	Jeff Brown:	Would that have occurred within the last year?
19 20	Former LD:	The conversation occurred late 2016. When the contribution or loan was made, I don't know.
21 22	Jeff Brown:	Did he ever discuss making any other sort of personal outlays on, for Representative Schweikert or his campaign?
23 24 25 26	Former LD:	Yes. It was generally an erring of grievances about the fact that he hasn't been paid back by David for those expenditures. I don't have a dollar figure, when those expenditures took place, it was just that he spent, he bought X for David and he was never paid back, or he loaned the campaign X amount and was never paid back.
27	Jeff Brown:	Was this a formal loan of some kind or an informal loan?
28	Former LD:	I'm not aware.
29	Jeff Brown:	Do you know any more details about terms of this loan?
30	Former LD:	No.
31 32	Jeff Brown:	Is there anything that we haven't discussed today that you think would be appropriate to talk about in light of our discussions?
33	Former LD:	Nothing that is coming to mind at the moment.

Jeff Brown: Is there anyone else who you think we should speak with in light of the questions that we've asked you today?

Former LD: I think we discussed the folks, at least from my time on the staff who were current or former employees who had some understanding of what was ongoing with Oliver. I don't have anyone else outside of the folks that we already mentioned, Beau, Kelly, Kat, who would provide greater clarity on these issues.

Jeff Brown: Okay. I think you said this already, but I just wanted to verify. Has anybody from Representative Schweikert's office contacted you about an OCE investigation?

Former LD: No.

Jeff Brown: Have you contacted anybody else about the OCE investigation?

Former LD: No. I've heard through grapevines that there may have been an investigation, but I never heard anything from official ... there was never a discussion on, you know, coming in, talking with you. I never had a discussion with anyone on them speaking with you. It's just kind of that there could be an inquiry into David, but that's about all I had. I never ...

Jeff Brown: Who else have you talked to about this article, either in the office or outside of the office?

Former LD: About this article? A number of friends who never worked with David sent this to me when it came out, and then Kelly Roberson sent it to me. I think that's essentially it. I'm trying to think who else. When it first came out a number of folks that knew I worked with David forwarded me the article.

Jeff Brown: Okay. Paul, anything else?

Paul Solis: Yeah. I don't know if we covered this at the very beginning, but what were the circumstance of your departure from Representative Schweikert's office?

Former LD: I was going to leave David's office for quite some time. Oliver made it clear to me that there was no growth potential in that role, that I was essentially stuck where I was. That I wasn't going to receive any salary increases. No promotion potential. So I was trying to leave, and then started talking with the Mulvaney folks in the latter part of the 114th congress, his chief was getting ready to leave. Then they were promoting his LD to chief and I was going to come in as LD. Then everything kind of, after the election, went on hold, for quite some time.

Paul Solis: Okay. You weren't terminated, you resigned?

Former LD: I think the feeling was mutual. I was ready to get out of there. I wasn't happy. I was actively looking. It was essentially, they were giving me somewhat of a grace period to leave the office. If I didn't leave before a certain amount of time, then it was clear that I would be terminated, but again, that grace period never extended to that point. So officially, I wasn't ever terminated or fired, but it was clear that my time at that office was coming to an end.

1 Paul Solis: Who made that clear to you?

2 Former LD: Oliver.

3 Paul Solis: When you did leave, was there any problematic occurrences, problematic
4 interactions between you and Oliver before you left?

5 Former LD: The way he made that clear to me was through an email, which I felt, given six years
6 of service to David and Oliver was inappropriate. I forced him to sit down with me
7 and walk me through everything. It was a, you know, a professional conversation.
8 Obviously the topic wasn't ideal, but at the end of it, there was no issues raising
9 from that, that, and again, to this day if I see him, I say hi. It's still professional. I
10 wouldn't say that there was any, you know, negative interactions or negative
11 outbursts or anything that took place.

12 Jeff Brown: All right. With that, I think we'll end the recording.

EXHIBIT 4

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

Transcript of Interview of
Former Deputy Chief of Staff

February 8, 2018

Jeff Brown: We'll get started. Alright, this is Jeff Brown with the Office of Congressional Ethics. With me is Paul Solis and Ashley Williams. It is February 8th, 2018. It is 10:53am. Former Deputy Chief of Staff ("Former Deputy COS") has been previously provided with a copy of the False Statements Warning and reminded that it applies here today.

Former Deputy Chief of Staff, the first thing that we want to circle up on is did Oliver Schwab ever loan any monies to Representative Schweikert or his campaign?

Former Deputy COS: That I know of for sure, no. He did occasionally complain that David owed him money on his credit card. I don't know specific details beyond that.

Jeff Brown: Complaints. How frequently were these sorts of complaints?

Former Deputy COS: Every so often. Maybe ever couple months, two or three months. Mostly it was when Oliver was worked up and angry at David he'd let it slip.

Jeff Brown: Was there ever any discussion about the amount of money that was owed?

Former Deputy COS: Sometimes . . . Rarely did he bring up the money. I do remember a couple of times where he brought up something in the excess of $30,000.

Jeff Brown: Okay. Can you tell us more about these conversations or these -- what it sounds like might have been sort of angry discussions about-

Former Deputy COS: Really not a lot. I don't have a lot of detail other than he would just go on rants and occasionally while he was ranting about how much he hated David, he'd bring up, "David owes me $30,000 for money that I put on my credit card." That's basically the extent of what-

Jeff Brown: Do you know if it was money for personal expenses, for campaign expenses?

Former Deputy COS: I'm unaware.

Jeff Brown: Okay. Do you know when these expenditures would have occurred? Would they have occurred in 2016? 2011? Anywhere in between?

Former Deputy COS: I don't think the first time he ever said anything about it was until after he came back from the Quayle campaign as running the super pac against Ben Quayle. So, it would have been 2013 at the earliest and definitely through the last two years that I was there.

Jeff Brown: Okay, so that would have been probably end of 2012, early 2013 would have been the first time that you would have ever heard from Oliver on this subject?

Former Deputy COS: Yeah.

Jeff Brown: And those comments or sort of tirades as you described them where he would talk about this money would continue up through the time you left the office?

1 Former Deputy COS: Yes.

2 Jeff Brown: Okay. Did Oliver Schwab ever give Representative Schweikert any frequent
3 flyer points to travel on?

4 Former Deputy COS: I'm unaware.

5 Jeff Brown: Okay. Did you ever hear of any discussions in the office about Oliver
6 donating frequent flyer miles to Representative Schweikert or his wife to
7 travel overseas?

8 Former Deputy COS: There may have been one or two times that Oliver in haste may have
9 mentioned something about frequent flyer miles and getting Joyce on a trip.
10 I don't know where they came from or if they were his.

11 Jeff Brown: Okay. And Joyce is Representative Schweikert's wife?

12 Former Deputy COS: Yes.

13 Jeff Brown: Okay. So just sort of to summarize, it sounds like you heard at least some
14 conversations or ... well, I should ask, were these ... you generally heard these
15 grumblings from Oliver Schwab about being owed money?

16 Former Deputy COS: Yes.

17 Jeff Brown: Did you ever hear or discuss these issues with anybody else on staff?

18 Former Deputy COS: I don't believe so.

19 Jeff Brown: Okay. So just to summarize, it sounds like you first heard Oliver grumbling
20 about being owed money sometime in or around early 2013, late 2012, and
21 those complaints would periodically surface.

22 Former Deputy COS: I don't remember if it was 2013. Definitely 2014, '15, '16.

23 Jeff Brown: Okay. And the dollar figure that you recall is possibly $30,000?

24 Former Deputy COS: Something in excess of that, yes.

25 Paul Solis: Was Mr. White, was Ryan White at all present during any of those
26 conversations where Oliver brought up that amount of money?

27 Former Deputy COS: I wouldn't be surprised by it.

28 Paul Solis: I was wondering if you recall maybe a specific instance where you-

29 Former Deputy COS: No. When Oliver went on a rant he'd rant in the whole office. Usually it was
30 just with me. But there were definitely occasions where he would rant, and
31 the whole office would hear it.

32 Paul Solis: So other staffers would have had the occasion or would have had the chance
33 to hear him discuss the situation?

34 Former Deputy COS: Certainly within the realm of possibility.

1	Paul Solis:	Okay.
2	Jeff Brown:	Anything else there?
3	Paul Solis:	No.
4 5 6	Jeff Brown:	Okay. When we last spoke you had described Oliver's role as a bit of a dual hat role- Chief of Staff but also fundraiser for Representative Schweikert. Is that correct?
7	Former Deputy COS:	Yes.
8 9	Jeff Brown:	And I think you indicated that Representative Schweikert was leaning on Oliver to raise more money - perhaps for a senate campaign. Is that right?
10	Former Deputy COS:	Yes.
11 12	Jeff Brown:	I think you suggested that this was weighing on Oliver. It was getting to Oliver. Is that a fair characterization?
13	Former Deputy COS:	Yes.
14 15 16	Jeff Brown:	Okay. I think the thing we want to focus on here today is, how much time did Oliver Schwab spend in the congressional office working on either campaign or fundraising activities?
17	Former Deputy COS:	Actually in the office?
18	Jeff Brown:	Right.
19 20	Former Deputy COS:	I mean, I think he may have stuffed envelopes occasionally or stamped envelopes.
21	Paul Solis:	I want to be clear that Jeff's question is pertaining to the congressional office.
22	Former Deputy COS:	Yeah, yeah, in Cannon.
23	Paul Solis:	Right.
24 25 26	Former Deputy COS:	Beyond that I didn't listen to his phone calls. I would assume that he occasionally ... well, I'm fairly certain that he definitely stamped envelopes at least a few times.
27	Paul Solis:	Why do you say that?
28 29 30 31	Former Deputy COS:	Just 'cause I saw him putting stamps on stuff, stamps that I knew were campaign related material to send out. But I wouldn't say that was any large amount of time. It may have just been to use as ... there's a good mail system on the Hill, so to stamp and then dump it.
32 33	Jeff Brown:	Just to jog your memory here, did you ever see him making any fundraising calls in the halls outside the office?

1 Former Deputy COS: I don't know if they were fundraising calls. He didn't have a lot of calls
2 outside the office, walking the halls.

3 Jeff Brown: Walking the halls of Cannon.

4 Former Deputy COS: Mm-hmm (affirmative).

5 Jeff Brown: Okay.

6 Former Deputy COS: Cannon and Longworth.

7 Jeff Brown: Did you ever see him write or send any campaign or fundraising-related
8 emails while he was in the house office complex?

9 Former Deputy COS: I do remember a few occasions where he said, "Look at this email that I
10 wrote", but I don't remember him actually writing it. I remember him being
11 in the office and me being in the office and seeing a fundraiser type email
12 and him, you know, bragging about the content. But I don't remember seeing
13 him write it.

14 Jeff Brown: Was he asking you to review it in any way?

15 Former Deputy COS: No.

16 Jeff Brown: Okay. Would he have asked others to review these sorts of things.

17 Former Deputy COS: Not in ... I don't think of my team. If he did, it may have been the scheduler
18 who used to sit next to him ... a scheduler, it could have been any of them.
19 But none of the team that I supervised.

20 Jeff Brown: Okay. Did you ever see him have any sort of campaign or fundraising related
21 emails in the congressional office?

22 Former Deputy COS: I know he's had his personal email up, which I know he did a lot of campaign
23 work through that personal email. But I don't know if he was writing them
24 or sending them.

25 Paul Solis: He had his own laptop? His own personal laptop? Or was it an official piece
26 of equipment?

27 Former Deputy COS: He had his own laptop at the office. I don't recall him using it that often.

28 Paul Solis: If there was an email that you saw, you mentioned this morning some
29 emails, were any other potential campaign related issues on his personal
30 email? Would that have been done on this personal computer? Or would that
31 have been done on an official computer?

32 Former Deputy COS: It could have been either. I don't remember.

33 Paul Solis: Okay.

34 Jeff Brown: Was Oliver Schwab ever absent from the congressional office in order to
35 attend campaign or fundraising events off site?

1 Former Deputy COS: Like throughout the day or-

2 Jeff Brown: Right. So, during any given day or any work week, would he take off during
3 the day in order to attend to fundraising or campaign-

4 Former Deputy COS: There were lunch events and after hours events but nothing that would fall
5 outside of the core hours of the office.

6 Jeff Brown: Was there a difference in the amount of time that he was spending out of the
7 office, off cycle versus on cycle, on a campaign cycle versus not on a
8 campaign cycle?

9 Former Deputy COS: No, I wouldn't say there was much in the way of difference. He did keep
10 fairly loose hours when we were in session versus out of session. But as far
11 as on cycle/off cycle, no, I don't think it was much in the way of difference.

12 Jeff Brown: In advance of a primary election, would Oliver spend more or less time in
13 D.C., or the District?

14 Former Deputy COS: We didn't have a tough primary since Quayle, and he wasn't part of the staff
15 during the Quayle primary. I'm sure that he was probably out there more. I
16 wouldn't say substantially more, prior to the primary.

17 Jeff Brown: And are you just referring to the ... You're not referring to the Quayle
18 primary?

19 Former Deputy COS: No, I'm referring to the normal every day cycles. Yeah.

20 Jeff Brown: Okay. Was he any more or less available, leading up to the primaries or
21 general elections?

22 Former Deputy COS: I never had any problems contacting him if I needed to contact him.

23 Jeff Brown: Just generally, what was Oliver's style as Chief of Staff? How hands-on or
24 hands-off was he?

25 Former Deputy COS: Fairly hands-off. I would say that the day to day runnings of the office and
26 the leg-shop fell to me. He and I talked regularly. We met with David
27 regularly. But as far as actually running the policy, informing David ... That
28 was my job.

29 Jeff Brown: Okay. In this dual hat role, who was Oliver working with, either in D.C. or in
30 Arizona on campaign issues?

31 Former Deputy COS: We had a primary D.C. fundraiser. The only two that I know were Erica
32 Crocker and McKenzie Smith was her maiden name. I don't remember what
33 her ... She recently got married. I can't remember what her new name is, her
34 new last name.

35 Jeff Brown: Those are D.C.-based?

Former Deputy COS: Those are D.C.-based. The only campaign person that I would say that David worked with at Arizona was Chris Baker, but I would not say that they had necessarily a good relationship.

Jeff Brown: Oliver and Chris Baker?

Former Deputy COS: And Chris Baker, yeah.

Jeff Brown: Okay. How frequently was Oliver in contact with any of these three individuals in the House office?

Former Deputy COS: In the actual House office?

Jeff Brown: Right.

Former Deputy COS: I can't ... I think maybe once or twice a year Baker would come up from Arizona, spend minimal time in the office. I think McKenzie may have come up once. Erica more often, but Erica had a more friendly relationship with the office as a whole. Mostly just to come up and chat with the office.

Jeff Brown: Oliver got a bonus at the end of 2014. Do you know what that was for?

Former Deputy COS: Probably work. You know, merit bonus.

Jeff Brown: Do you know it was a merit bonus, or you're just speculating?

Former Deputy COS: I ...

Jeff Brown: Yeah.

Former Deputy COS: ... wouldn't imagine it was anything but a merit bonus.

Jeff Brown: Did any official side staffers that you worked with ... Did any of them have any role on the campaign, aside from Oliver?

Former Deputy COS: Officially, I would say no. Unofficially, there was a time that Kelly Roberson was complaining that she wasn't being fairly compensated by the campaign. I don't know how much she did with the campaign. I do know there was a time when she was complaining about that.

Jeff Brown: Okay. Can you elaborate? Why did she feel like she wasn't being appropriately compensated?

Former Deputy COS: Just the amount of time that she had to spend off work, doing campaign-related work.

Jeff Brown: Aside from Kelly, was there anybody else who played any role on the campaign, either paid or unpaid?

Former Deputy COS: I don't think so. I don't remember.

Jeff Brown: Okay. Did Oliver or Representative Schweikert ever direct any official staff to perform any sort of campaign work?

Former Deputy COS: There were a handful of occasions where he asked me or some of the other staff to ... If we knew anyone that might be interested in attending an event for free, not paid, to just invite them. It was after hours or off time. Other than that, I'm not aware of anything.

Jeff Brown: Okay. And what did you understand your role to be, if you attended an event like that?

Former Deputy COS: If I attended any event like that, I was as a, serve as a policy expert. I think there may have been one or two times over the six years that I attended an event, either a breakfast or a dinner, where I came home with a check, and immediately gave it to Oliver. I did not ask for the money. It was given to me. A campaign-related check.

Jeff Brown: Were you going in a volunteer capacity for the campaign?

Former Deputy COS: I would-

Jeff Brown: Or you were going in your official capacity?

Former Deputy COS: I was not going as a campaign staffer. I volunteered to go, mostly as an opportunity to serve as a policy staffer, in case any questions policy-wise came up. I never felt like I was required to attend.

Paul Solis: Prior to any of those campaign events, maybe that they happened on the Hill, and you felt like you would volunteer your time to act as an expert ... Would you ever prep Representative Schweikert in the office prior to that event? Running down sort of the issues that a group who was hosting the event might want to discuss or talk about?

Former Deputy COS: Usually any group that we had any sort of event with was lining up with the day to day. And so, there may have been questions about what's the most recent thing on this, but it wouldn't have been outside the realm of anything that we were already doing.

Paul Solis: Okay. Do you ever recall an instance, though, where Oliver said to you ... Again, this is hypothetically ... You know, "Representative Schweikert's about to meet with this defense group for a campaign committee event, or a PAC event. Can you brief him on the issues before he goes to it?"

Former Deputy COS: We did that fairly regularly with official business. Off the top of my head, I can't think of one that I felt was directly related to a campaign event.

Paul Solis: Do you know if other staffers had that occur?

Former Deputy COS: If it was defense-related, Ryan would have been the one to brief, and he would have a better idea than I would.

Jeff Brown: If Ryan ever said that he had been directed to prep Representative Schweikert for a campaign or a fundraising activity in his official capacity, would you have any reason to disbelieve Ryan on that?

Former Deputy COS: Disbelieve, no. Ryan had a tendency towards a little bit of hyperbole. So if he was angry at the time ... He didn't have a good relationship with the member by the end, either. And so, he may have been more predisposed to remember those things. So, I guess, no. No, I wouldn't have a reason to believe that he would be misleading on that.

Jeff Brown: How about Kat? Do you have any reason to disbelieve ...

Former Deputy COS: So, Kat would have probably been David's go ... Well, Kat was David's go-to on Israel issues. So if there were Israel issues that he was going to a fundraiser event for, she would have been the person pegged to do that. I would not necessarily be surprised if Oliver had asked her to do that, because that was such a sensitive issue. He might have. I don't remember Kat ever complaining about it, but that's definitely possible.

Paul Solis: Was there ever a time when you were directed to attend a campaign event, either paid or unpaid? I know you said you specifically just volunteered, but were you ever directed or required to go to an event where you felt like there would be consequences to your job if you did not attend?

Former Deputy COS: No. There was one event that I went to, probably September of '16, around that time, that I was willing to go to. I didn't feel like I couldn't say no. It was with Chairman Tiberi. But Oliver just didn't want to go. He wanted to do other things, and he asked if I was willing. I told him I didn't want to, but I would. I didn't feel like I would have consequences if I told him no. That's the only one I could remember being somewhat put off by.

Paul Solis: The same sort of question I had asked you before, about prepping, but in the context of being required to go to events. Do you know if any other staffers were required or told that they must attend an event or reported to you that that happened?

Former Deputy COS: No. I don't remember that.

Jeff Brown: Would other staffers besides yourself, lower level staffers, would they have been in a position and been comfortable enough to tell Oliver no if he asked them to do campaign or fundraising-related activities?

Former Deputy COS: I think Ryan would, Kat probably would, anyone else, no. They would've felt like they would've had to go, I think.

Jeff Brown: Okay. What sort of expectations were there for staffers, aside from yourself, to do campaign-related activities?

Former Deputy COS: I don't think there were any, at least that were directly related to our employment. That's why it was so surprising to me that Oliver said that my performance, if I stayed with the office, would be based on how much money I was bringing into the office because it had never been part of my job description before.

Jeff Brown: We've talked a little bit about the Ben Quayle Primary. Did any official staffers ever help prepare Representative Schweikert or work with Oliver to prepare Representative Schweikert for a Ben Quayle debate?

Former Deputy COS: I certainly didn't work with Oliver. I think in that time period I had one ... While he was on the campaign, it was one interaction with Oliver. My wife and I joined his wife, he and his wife, on his sailboat, minimal politics discussed. I wasn't asked to do anything for the campaign then. I may have done prep work on some issues on behalf of Matt Tully, but I don't remember entirely.

Jeff Brown: Okay. And that would've been prep work for the Ben Quayle debate?

Former Deputy COS: If there was prep work done, it would've been asked by Matt Tully. I just don't remember if there was.

Jeff Brown: Okay. Do you recall any other staffers working on prep work for that debate?

Former Deputy COS: I don't remember, no.

Jeff Brown: Just to kind of jog your memory a little here, do you recall any staffers working on any other ... Sorry, let me rephrase that. Do you recall any other official side staffers ever working on any primary or general election issues in 2016? Either Wittenberg or Williamson.

Former Deputy COS: Wittenberg or Williamson?

Jeff Brown: The primary and the general.

Former Deputy COS: Oh. We paid so little attention to who we were running against. I think that some of the District Office staffers walked doors and collected signatures, but that would've been on the weekend and that probably ... I don't think anyone in D.C. did. I wouldn't ... I don't think anyone in D.C. did.

Jeff Brown: Okay. In advance of a primary or a general, how much discussion would there have been in the office about upcoming elections?

Former Deputy COS: There would have been some discussion in the Quayle campaign, during the Quayle campaign. I know Matt Tully called, and we had a phone call. Basically, it was just saying "Hey, here's the state of the things." It was less than five minutes. "Just want to let know what's going on." I don't remember whether that was on the official lines or we all got around a cell phone. We probably were in the office just because that's where everyone was. But after the Quayle primary, we were never challenged and so any discussion of a primary or a general was basically "This is funny. Ha, ha, ha. We're getting primaried or generaled." It was beneath, quote-unquote, "beneath David's dignity" to even entertain it being problematic.

Jeff Brown: I'd like to switch gears a little bit to talk a little bit about campaign donors.

Former Deputy COS: Okay.

1 Jeff Brown: Did you ever see, did you ever witness, campaign donors receiving any sort
2 of favorable or preferable treatment from either Oliver or Representative
3 Schweikert?

4 Former Deputy COS: Favorable treatment. You know, there were a couple of people within the
5 office that the office knew were campaign donors or did campaign ... helped
6 David. Marshall Brachman comes to mind. I'm relatively certain that I
7 probably spent more time on his policy issues than others, not necessarily
8 because of the campaign side but because he was always around as far as he
9 would come in, he'd sit, he'd chat-

10 Jeff Brown: Who is Marshall Brachman?

11 Former Deputy COS: Marshall Brachman is a lobbyist. He does work for APAC and Lockheed and
12 some others. He's the one that I can think of off the top of my head, but I
13 think that was more of a reflection ... We knew he was important, but he just
14 talked to us and became a friend of the office. So I would say that my work
15 with him was more ... If I spent more time with him, it had nothing to do with
16 the amount of money he was giving to David, it was the amount of time that
17 he spent with me.

18 Jeff Brown: Were there ever any discussions in the office or did you ever witness
19 anything in which campaign donations were linked to official action of any
20 kind, either by Representative Schweikert or Oliver Schwab?

21 Former Deputy COS: There are things that we did in the tribal community that got us campaign
22 donations but they were things that I was doing anyway, so work that I
23 would've done ... that I was doing that I think Oliver may have fund-raised
24 off of. But I don't think I was ever instructed to say "Do this because they're
25 giving us money."

26 Jeff Brown: Do you recall any sort of special treatment provided to an individual who
27 was looking for grant funding for research in Africa?

28 Former Deputy COS: Grant funding for research in Africa. No, I don't think so.

29 Jeff Brown: That doesn't ring any bells?

30 Former Deputy COS: Mm-mm (negative).

31 Jeff Brown: Okay. How about was there every any sort of favorable treatment or special
32 favors that would've been done for the companies or the clients that Ana
33 Schwab worked with or for?

34 Former Deputy COS: The only one that I can think of that might fit that bill was Taser ... or, no,
35 Firetrace. Ana did work with Teddy Eynon but ... who - Firetrace was one of
36 his clients. I don't think it was related ... The work that I did with them was
37 not related to donations at first, and then Ryan took over. I don't know if he
38 felt that he was doing it because they were donors or Teddy might be a
39 donor or ... definitely not because Ana was there because we had a prior

1		relationship with them before Ana went to work with them. But that's the
2		only one that I can think of off the top of my head that we did anything for
3		because Ana was working with them.
4	Paul Solis:	But why do you say ... When Jeff asked that question, you said "the only one
5		that could possible fit that bill." Why would you say that?
6	Former Deputy COS:	As Firetrace?
7	Paul Solis:	Yeah.
8	Former Deputy COS:	Because Firetrace was a long-time client of Teddy Eynon and went with him
9		when he moved to a different company. When he moved to his different
10		company, Ana worked for him. But I was working with them before Ana was
11		ever working with them. I worked with Firetrace before Ana went to go
12		work for Teddy.
13	Jeff Brown:	Do you have any recollection of donations being linked to official actions
14		with Firetrace?
15	Former Deputy COS:	No, not that I can remember.
16	Jeff Brown:	Were there any special rules in place or any office policies and procedures
17		on the way in which Oliver would deal with the companies that Ana worked
18		with/for?
19	Former Deputy COS:	I don't think so, not that I remember.
20	Jeff Brown:	Okay. Do you ever recall a conversation in the office, either with Oliver or
21		others, about him not taking requisite ethics trainings?
22	Former Deputy COS:	I vaguely remember him talking about not taking ethics training, or him
23		being really far behind. But I don't know when that occurred, but that does
24		kind of ... That sounds right.
25	Jeff Brown:	Even if we assume that Mr. Schwab didn't take mandatory ethics trainings,
26		would he have been aware of outside earned income limits that would've
27		been applicable to senior staffers?
28	Former Deputy COS:	Oh, I would hope so. He and I talked quite a bit about outside earned income
29		in the sense that he would complain that the house doesn't pay enough. I
30		was like, "Well, go ahead and get another job, but you're limited." He should
31		of known that there was outside limits.
32	Jeff Brown:	Should of or did know? Did you have actual discussions about it?
33	Former Deputy COS:	I mean, on more than one occasion I am certain that I told him. I mentioned
34		that you're limited in the amount of money that you can earn outside of-
35	Jeff Brown:	Do you have any recollection of when those conversations would have
36		occurred?

1	Former Deputy COS:	I mean, it's probably a handful of times over the course of three or four
2		years. Multiple times.
3	Jeff Brown:	Was there ever a point in time that you had a discussion with him or there
4		was a discussion in the office about him not wanting to earn a senior staff
5		rate of pay so that he didn't have to file financial disclosures?
6	Former Deputy COS:	Yes.
7	Jeff Brown:	Can you tell us about that?
8	Former Deputy COS:	I'm not sure. I don't think it ever actually occurred, but he said he wanted to
9		focus more, at one point in time, on growing a business and spending less
10		time in the office. So he wanted to earn less money so he could make more
11		money outside the office. I have no idea what he would be wanting to do. But
12		yes, he definitely said he wanted to make less than the-
13	Jeff Brown:	When were those conversations. Do you remember?
14	Former Deputy COS:	Oh, I mean, again, probably a handful of times. Probably within the same
15		conversations of I said, "You're limited in the outside earned income."
16	Jeff Brown:	In those conversations, was there any discussion about his personal wealth
17		and not wanting to file financial disclosures because of his personal loans?
18	Former Deputy COS:	No. More along the lines of, "I want to make more money."
19	Paul Solis:	Did he adjust his salary so as to make an amount less than senior staff level?
20	Former Deputy COS:	There was a while where he had talked about that. I don't think it ever
21		actually occurred while I was doing the budget. If he did it, it was before I
22		started doing the budget.
23	Jeff Brown:	Remind us approximately what year was that?
24	Former Deputy COS:	I think December '14.
25	Paul Solis:	I just want to go back a little bit to FireTrace. Can you tell me what this
26		company is and what ...
27	Former Deputy COS:	They...FireTrace uses its chemicals to put out fires in military, police, airline
28		vehicles. It's a pretty good product.
29	Paul Solis:	Where's the company based?
30	Former Deputy COS:	Arizona. I think it's in Scottsdale and maybe just outside of Scottsdale.
31	Paul Solis:	Is it in Representative's Schweikert's district?
32	Former Deputy COS:	I believe so.
33	Paul Solis:	You had worked with the company or worked with this Mr. Eynon in some
34		official capacity?

1	Former Deputy COS:	I mean, Teddy introduced me to the company. I went out there. I took a site
2		tour to see the products. I know they were trying to get the DOD interested
3		in their fire safety products that they thought saved lives.
4	Paul Solis:	Does Teddy have a role with the company? Is he a lobbyist, what's-
5	Former Deputy COS:	He's their contracted lobbyist.
6	Paul Solis:	Contracted lobbyist. So he's with -- what's his name of his company?
7	Former Deputy COS:	I think he was with a Greenberg Traurig. He may have moved since then.
8	Paul Solis:	At the time, was he based in DC or ...?
9	Former Deputy COS:	He's based in DC.
10	Paul Solis:	Okay. Then so how did Ana Schwab become related to Fire Trace and-
11	Former Deputy COS:	Ana Schwab lost her job at either the NRCC or Paul Gosar's office. I can't
12		remember the time frame.
13		Oliver had a relationship with Teddy. Teddy was looking for an assistant and
14		hired Ana. I think that Ana's relationship with FireTrace is coincidental. I
15		don't think FireTrace was trying to hire Ana. I think Teddy was trying to hire
16		Ana.
17	Paul Solis:	You had a relationship with Teddy then prior to Ana being hired?
18	Former Deputy COS:	Yeah.
19	Paul Solis:	Okay. Did Oliver have a relationship with Teddy prior to Ana being hired?
20	Former Deputy COS:	Yes.
21	Paul Solis:	How do you know that?
22	Former Deputy COS:	I think Teddy came to our office opening. I mean, Oliver had a relationship
23		with Teddy before he had a relationship with Ana. Teddy, I think, day one,
24		day two, something like that. I met Teddy and well, worked policy with him.
25	Paul Solis:	While you were sort of handling the portfolio that included FireTrace ... First
26		of all is that safe to say that's correct?
27	Former Deputy COS:	At the time, yes. When I became LD, Ryan took over the defense portfolio so
28		he would have, yeah. Defense Homeland was mine at first and then it
29		became Ryan's.
30	Paul Solis:	Roughly, what are the dates that you had the defense portfolio where you
31		would have had contact with FireTrace representatives or Teddy Eynon?
32	Former Deputy COS:	January 11 through May, June of '12.
33	Paul Solis:	What's going on? Are you meeting with them and you're meeting just with
34		him or representatives of the company?

Former Deputy COS: I met with him and then I met with Cavanaugh, I think is the company owner's name, Mark Cavanaugh. Met with him just standard, traditional office meeting stuff. I took a site visit.

Paul Solis: Okay. Then in sometime in 2012, Ryan took over the portfolio, right?

Former Deputy COS: Mm-hmm (affirmative)

Paul Solis: Were you aware of his meetings with FireTrace or Teddy Eynon?

Former Deputy COS: Generally. I mean, I don't remember what other issues Teddy worked on, but I know that I had meetings with Teddy on other issues beyond FireTrace. I just don't remember what the portfolio was at the time. FireTrace was the only company that I basically remember pretty close that they want going all the way through in talking with Schweikert that Teddy worked for.

Paul Solis: How often is Ryan meeting with FireTrace representatives or Teddy Eynon?

Former Deputy COS: For FireTrace issues? Oh, maybe once a quarter. I think Cavanaugh flew in maybe two times a year. He would meet with them when he flew in. Same with me.

Paul Solis: What type of official acts did Representative Schweikert take or did you all take that would have affected FireTrace?

Former Deputy COS: Ryan had language inserted in the conference report saying that there was a need for fire suppression systems.

Paul Solis: What legislation is that and when?

Former Deputy COS: It was in one of the NDAA's. We would have been in the Cannon office, so either '15 or '16 NDAA.

I thought Ryan believed in the product. I thought the product was a good product. It would probably save lives. I had no ethical or moral quandaries with that. I wasn't instructed and I don't think Ryan, well, I don't know if Ryan was instructed to, insert the language. I was never instructed to do anything related to campaign work with FireTrace. Anything that I did, it was in an official capacity I did because I thought that they should meet with people. I never had anything put into legislative language or committee report for them.

Paul Solis: So you recall Ryan had some language affecting the industry?

Former Deputy COS: Yeah.

Paul Solis: Not FireTrace specifically.

Former Deputy COS: Not FireTrace.

Paul Solis: A conference report in '15 or '16. Was Oliver aware of that insertion of language?

1	Former Deputy COS:	I'm sure he was. I mean, Ryan was pretty happy about getting the language
2		in there.
3	Paul Solis:	At that time was Oliver Schwab's wife, Ana, was she working as a lobbyist for
4		FireTrace?
5	Former Deputy COS:	I don't think so. Teddy let her go at some point in time or the company did.
6		Then she went back to law school. I don't remember what that time frame
7		was. I believe for most of last Congress she was unemployed or at law
8		school.
9	Paul Solis:	Any other clients? Again you believe it's Greenberg Traurig, that's the -
10	Former Deputy COS:	I believe so.
11	Paul Solis:	Okay. Any other clients of Greenberg Traurig that were in the district?
12	Former Deputy COS:	Not that I remember. There may have been. FireTrace is the only one that I
13		met with and had a relationship with as far as professional was concerned
14		that I developed anything with them.
15	Jeff Brown:	How about clients at Fox Rothschild that were in the district?
16	Former Deputy COS:	The name sounds familiar. If you had company names, I could probably tell
17		you maybe.
18	Jeff Brown:	Best Best and Krieger?
19	Former Deputy COS:	I don't remember.
20	Jeff Brown:	Anything else there?
21	Paul Solis:	Nope.
22	Jeff Brown:	When we last spoke, I think you said you left the Schweikert office the
23		Monday before Thanksgiving 2016?
24	Former Deputy COS:	Yes.
25	Jeff Brown:	Okay and you were paid up through January 3rd, 2017.
26	Former Deputy COS:	Correct.
27	Jeff Brown:	I think the way you also described to us, you said you were essentially going
28		to be on contract for the next six months. That was the way that severance
29		was described to you?
30	Former Deputy COS:	Yes.
31	Jeff Brown:	What did you understand "on contract" to mean?
32	Former Deputy COS:	Anytime they needed any sort of policy expertise that I had, that I would be
33		responsible for that.

1 Jeff Brown: Okay. How-

2 Former Deputy COS: And be completely and totally on-call, and if I were to ever find another job, I
3 was to leave the Schweikert employment.

4 Jeff Brown: How frequently were your expertises called upon during that, I guess part of
5 November, December, part of January?

6 Former Deputy COS: Two or three. My plan was to stay through, in the office, through January
7 3rd, at which point in time I would move to the quote-unquote "contract
8 status". The Friday before Thanksgiving, Oliver called me and said I was
9 causing confusion in the office and didn't want me to come in anymore. I
10 said "Okay. Let me know if you need anything." He asked me to do a couple
11 of writing pieces on Constitutional amendments. Other than that, I think that
12 was basically it.

13 Jeff Brown: How many hours in a given week?

14 Former Deputy COS: Oh, I probably spent ... I think that of the two projects, and they were both
15 related to the same thing, I probably spent 25, 30 hours.

16 Jeff Brown: Okay.

17 Former Deputy COS: Yeah.

18 Paul Solis: Total or on each issue?

19 Former Deputy COS: Combined, yeah.

20 Jeff Brown: So between the Monday before Thanksgiving, November 2016, and January
21 3rd, you worked about 25 hours?

22 Former Deputy COS: Yeah, probably.

23 Jeff Brown: Okay. Did you have access to House email?

24 Former Deputy COS: Yes.

25 Jeff Brown: You did.

26 Former Deputy COS: Until I think ... I don't remember the exact dates. It was either maybe the
27 week before or the week after Christmas that I sent all of my tech back. It
28 was after Oliver said that he was not going to honor the agreement that he
29 had offered me. And I said "Fine. I don't like to talk to you anymore anyway."

30 Jeff Brown: And in that time frame, I think you said this – you were not back in the office
31 after thanksgiving?

32 Former Deputy COS: No, correct.

33 Jeff Brown: Okay. But you did get your full salary from November, December, and up
34 through January. Is that-

1 Former Deputy COS: Correct. Correct.

2 Jeff Brown: What was Representative Schweikert's knowledge of the severance offer?

3 Former Deputy COS: Oliver said that David approved it. I am doubtful of that. I don't think David
4 ever approved a single salary for anyone.

5 Paul Solis: Why do you think that?

6 Former Deputy COS: Because salaries were determined between Oliver and I while I was doing
7 the budget, and before that, just by Oliver. David wasn't interested in the
8 day-to-day budget minutia.

9 Jeff Brown: Is there anything else since we last met, or given our discussions today, that
10 you think we should discuss?

11 Former Deputy COS: Something to kind of ... Full disclosure, I talked to my wife about our
12 discussions. She's the only person I have talked to. Kelly Roberson did ask if
13 I had met with you. I told her that that was a question she should not ask and
14 she should not answer should anyone ask her. That is the extent of my
15 discussion with her about it.

16 Paul Solis: When did she contact you?

17 Former Deputy COS: Either last week or the week before. I can give you the exact date if you want
18 me to bring it up. Actually, so she had told me that she was going to lunch
19 with Oliver, and I was interested in what he would have to say. Then I came
20 and talked to you all, and I wanted nothing to do with that anymore so I did
21 not follow up with that and how she did. But after her lunch with Oliver, she
22 G-chatted me and asked if you all had ... or if I had met with OCE.

23 Jeff Brown: So were there two conversations with Kelly?

24 Paul Solis: Yeah. I just want to get the timeline straight.

25 Former Deputy COS: Okay. I'm sorry. Kelly and I-

26 Paul Solis: Starting from moment one.

27 Former Deputy COS: Well, Kelly and I had been on and off in contact since I left. Right around the
28 time you asked me to come in, she said Oliver invited her to lunch. I said she
29 should go. I came in, met with you all, she G-chatted me and said "I'm off to
30 lunch." After I met with you all, I was no longer interested. Maybe the next
31 week, she asked me ... The next Thursday or Friday maybe after that, she
32 asked if I had met with you and I responded with "That's not a question that
33 you should ask or answer." That was-

34 Jeff Brown: That was over the phone or over G-chat?

35 Former Deputy COS: G-chat.

1	Paul Solis:	Did she talk to you in any way whatsoever about what she and Oliver
2		discussed?
3	Former Deputy COS:	No.
4	Paul Solis:	Did she ask you whether Oliver requested ... Did she tell you whether Oliver
5		requested that she go to lunch with her?
6	Former Deputy COS:	No. No, she emailed ... Yeah, she said "Oliver emailed me and I asked if I
7		wanted to do lunch." She asked if he had emailed me, and I said "No, he has
8		not."
9	Jeff Brown:	What's Kelly and Oliver's relationship been like since Kelly left the office?
10	Former Deputy COS:	I thought negative. She felt she had been done a disservice by Oliver. I would
11		be inclined to agree.
12	Jeff Brown:	Why?
13	Former Deputy COS:	David would occasionally get bored with staffers, regardless of whether or
14		not they were doing good work, and then Oliver would force the staffers out.
15		Kelly was my direct ... was one of my ... I was her direct supervisor. I thought
16		she was doing a good job and she didn't deserve to be forced out.
17	Jeff Brown:	Just so we've got the timeline straight, Kelly contacted you within the last
18		two weeks about -
19	Former Deputy COS:	I can bring my phone up and give you the exact dates.
20	Jeff Brown:	If you don't mind.
21	Former Deputy COS:	Yeah. Okay. All right. January 16th, she asked me if Oliver had emailed me.
22		She said that he emailed her. I said ... to have lunch. I said "You should go
23		have lunch," then talked about ... I had a quote in Politico, which was cool.
24		January 19th, she said she was on her way to lunch with Oliver. January
25		30th, she said "Question, did you ever get called by the Office of
26		Congressional Ethics regarding Oliver?" I responded "Not a question you
27		should ask or answer." She responded "That's a very political answer." I
28		responded "Best I can give." She responded "Noted."
29	Jeff Brown:	We started this line with you saying there was something that was sort of
30		nagging-
31	Former Deputy COS:	I'm sorry, yes. When I went home and talked to my wife, she said
32		occasionally ... and during Oliver's tirades, the worst of them where he was
33		threatening to quit, he would occasionally say that he was going to quit and
34		if he didn't get a good severance package that he'd go to the FEC and tell
35		them where all the bodies were buried, essentially something along those
36		lines.

1–6		His tirades were fairly regular. That line of comment was not regular, maybe three or four times over the course of ... from '13 to '16. The reason that I brought that back up is because my wife said "You occasionally came home and said stuff about that," and I was like "Yeah, I did." When you emailed me with the transcript, I was planning on responding to you and telling you that. But that did stick in my head or come back up when my wife mentioned it.
7–8	Jeff Brown:	What did you understand him to mean when he was talking about where the bodies are buried?
9–12	Former Deputy COS:	I have no idea, and I did not ask. I mostly attributed it to him just being out of control and angry. Obviously, when your boss says something like that, it's concerning, but it didn't happen enough for me to think that it wasn't anything other than him being out of control.
13–14	Jeff Brown:	Back to my question, I mean, what was the suggestion? What was the context?
15–19	Former Deputy COS:	Oh. Whenever he would get really, really angry with something that David was doing, usually in his official capacity, either not going to an official event or going to an official event and saying something that was quasi-offensive, Oliver would get angry. When he would get really angry, he'd make that comment, two or three times.
20	Jeff Brown:	He made the "where the bodies are buried" comment two or-
21	Former Deputy COS:	Yeah.
22	Jeff Brown:	... or three times?
23–25	Former Deputy COS:	Yeah, about "I'm going to go the FEC and get David thrown in jail for campaign fraud," something along those lines. "I know where the bodies are buried." I have no context beyond that.
26	Jeff Brown:	Do you have any idea what he may have been referring to?
27–30	Former Deputy COS:	Honestly, I had no idea. I mostly chalked it up to him just being out of control. But there's no doubt that if there were anything campaign-related that was not on the up-and-up, Oliver would know about it and would be able to do that. But I'm not aware if there was or what those specifics were.
31	Paul Solis:	To this point, you have not been contacted by Mr. Schwab. Right?
32–36	Former Deputy COS:	Not about this. I have had no contact with Oliver Schwab since November. I called Kevin when I saw the article in November. Kevin was not aware of it at the time. Kevin called me back, he said "This is terrible." I said "Well, I wasn't one of the sources." Kevin said "If you ever get a call, make sure you cooperate," and I said "Okay."
37	Jeff Brown:	Have you had any conversation with Kevin about us since then?

1 Former Deputy COS: Not about you in particular. He did call because he had a grandson, and he
2 just said "We are actually officially under investigation. Cooperate if they call
3 you." And I said "Okay." That's it.

4 Paul Solis: Did he say whether he was cooperating or not?

5 Former Deputy COS: He did not.

6 Jeff Brown: Did he say anything about whether the office was cooperating?

7 Former Deputy COS: He did not. At that point in time, we didn't talk again. I mean, I think we may
8 have talked one other time but nothing about the investigation came up.

9 Jeff Brown: Anybody else-

10 Former Deputy COS: Anyway ... I'm sorry. To follow up, I told Oliver ... I think Kevin told ... I'm
11 sorry. I told Kevin that I saw the article, I wasn't one of the sources. I believe
12 Kevin told Oliver that I said that I wasn't one of the sources. Oliver emailed
13 me "Thank you for your kind words." I did not respond, and that is the last I
14 have heard from Oliver.

15 Jeff Brown: Have you spoken to anyone else either currently inside the Schweikert office
16 or a former Schweikert staffer about any of this article -

17 Former Deputy COS: About our meeting-

18 Jeff Brown: ... investigation-

19 Former Deputy COS: About my meeting. No.

20 Jeff Brown: Yeah.

21 Former Deputy COS: I talked to Ryan and I talked to Kelly that there was an article ... Kelly was
22 who tipped me off to the article being written ... or, sorry. No, it was ... Kelly
23 texted me the Friday after the ... or, sorry, the day after the article ... or the
24 day article came out. She texted me and said "Hey, let's get lunch," and I was
25 like "Okay. Let's get lunch." I had no idea – had nothing to talk about. Ryan
26 was the one the next morning who said "Look at this article. I assume you're
27 one of the sources?"

28 Jeff Brown: What did Kelly say about the article to you?

29 Former Deputy COS: Basically, serves him right, if I remember correctly. Ryan, same thing. I had
30 felt that ... After I read the article, I was surprised about the campaign things,
31 but anyone who looked in the campaign ... or the office would see we were
32 spending money on office supplies that was probably unreasonable, or not
33 unreasonable, too much. We didn't need to spend the money we were
34 spending.

35 Jeff Brown: Why do you think Kelly said serves him right?

1 2	Former Deputy COS:	It didn't end on good terms. I think that Oliver, like I said, Oliver did her a disservice when she left. She was a good employee. At least for me.
3 4	Jeff Brown:	Same thing with Ryan, why do you think Ryan said something like serves him right?
5 6 7	Former Deputy COS:	At the end of last Congress, Oliver basically did Ryan and I pretty similar, and so I can imagine Ryan wanting for Oliver to, if he was doing anything wrong, to be gotten for it.
8 9	Jeff Brown:	Only other question that I have is last time we talked, we discussed a little bit about the fact you may or may not have some G-mail documentation -
10	Former Deputy COS:	Oh. I did-
11	Jeff Brown:	... with-
12 13 14	Former Deputy COS:	... look. I do have a few ... I meant to print those and bring them to me. I have a back-and-forth between me and Oliver that I also CC'd - BCC'ed my wife on and she responded. That's what I have-
15	Jeff Brown:	If you could send them along-
16	Former Deputy COS:	I will, yeah.
17	Jeff Brown:	... with the transcript, that would be appreciated.
18	Former Deputy COS:	Okay.
19 20	Jeff Brown:	Anything else, Paul? Ashley? Thank you for coming back in, Former Deputy Chief of Staff.
21	Former Deputy COS:	Yep.

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

ERRATA SHEET

Page	Line	Correction	Reason
4	30	Strike "didn't"	Change to "did"

This errata sheet is submitted subject to 18 U.S.C. § 1001 (commonly known as the False Statements Act).

Witness Name: [redacted]

Witness Signature: [redacted]

Date: 2/21/18

EXHIBIT 5

2015 Arizona Trip Reimbursements					
Service Date (start)	**Service Date (end)**	**Service Time**	**Outlay**	**Outlay Location**	**Cost**
1/28/2015	2/2/2015	N/A	Southwest Flight (BWI-PHX-BWI)	BWI Airport (Baltimore, MD)	$920.20[1]
1/28/2015	2/2/2015	Pick up: 8:59AM Return: 2:19PM	Alamo Rent a Car (Ford Focus)	Sky Harbor Airport (Phoenix, AZ)	$614.21[2]
1/28/2015	2/2/2015	N/A	Lodging at Hilton Garden Inn	3550 East Princess Dr., Scottsdale, AZ	$4,027.07[3]
1/28/2015	2/3/2015	N/A	BWI Parking	BWI Airport (Baltimore, MD)	$132.00[4]
1/29/2015	2/2/2015	N/A	Hilton Garden Inn – Food Purchases (Great American Grill)	3550 East Princess Dr., Scottsdale, AZ	$146.56[5]
1/29/2015	1/29/2015	10:21AM	Starbucks – 4 Waters, 1 Coffee & 1 Latte	13610 N. Scottsdale Rd., Scottsdale, AZ	$19.76[6]
1/29/2015	1/29/2015	10:54AM	Chick-fil-A (Eat-in) – 1 Nuggets (12 count), CFA Sand, Large French fry	89th & Shea, Scottsdale, AZ	$14.12[7]
1/29/2015	1/29/2015	N/A	Karaikudi Palace – 2 Buffet meals + tip	8752 E. Shea Blvd., Scottsdale, AZ	$31.86[8]
1/30/2015	1/30/2015	9:07AM	Starbucks – 1 Coffee, 1 Cappuccino & 1 Cup of fruit	15815 E. Shea Blvd., Fountain Hills, AZ	$7.30[9]
1/30/2015	1/30/2015	10:13AM	Circle K – Gas Purchase	966 E Van Buren St., Phoenix, AZ	$9.42[10]
1/30/2015	1/30/2015	N/A	Parking at The Camby Hotel (Paid in Cash)	2401 E. Camelback Rd., Phoenix, AZ	$50.00[11]
2/1/2015	2/1/2015	11:27AM	Shell – Gas Purchase	4001 N. Pima Rd., Scottsdale, AZ	$16.45[12]
2/2/2015	2/2/2015	11:40AM	Circle K – Gas Purchase	4343 E Camelback Rd., Phoenix, AZ	$22.16[13]
2/2/2015	2/2/2015	2:52PM	Purchase at Sauce – 1 Meatball Panini, 1 Pizza & 1 Pellegrino Water	Sky Harbor Airport (Phoenix, AZ)	$24.64[14]
2/2/2015	2/2/2015	4:15PM	Starbucks – 1 Cappuccino	Sky Harbor Airport (Phoenix, AZ)	$4.28[15]
2/2/2015	2/2/2015	4:26PM	SSP America Purchase – 6 San Pellegrino sparkling waters	Sky Harbor Airport (Phoenix, AZ)	$19.43[16]
				Total	**$6,059.46**

[1] *See* Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0140); Statement of Disbursements of the House, January 1, 2015 – March 31, 2015 (incorporating reimbursement into the $9,218.90 disbursement dated 2/4/15).

[2] *See* Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0169; 0179; 0183); Statement of Disbursements of the House, January 1, 2015 – March 31, 2015 (incorporating the $614.21 expense from the Arizona Trip, along with a $45.99 expense at Alamo from 2/5/15, into a $660.20 disbursement dated 2/11/15).

[3] *See* Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0144; 0149; 0156; 0159; 0189-92; 0194); Statement of Disbursements of the House, January 1, 2015 – March 31, 2015.

[4] *See* Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0160; 0180; 0183); Statement of Disbursements of the House, January 1, 2015 – March 31, 2015. Mr. Schwab's receipt indicates that he was reimbursed for "SP Plus Parking", which the OCE believes to be the "Hourly Garage" parking currently advertised for $22/day on BWI's website (as opposed to the $12/day rate for the Daily Garage, $10/day for the Express Parking service, and $8/day for the Long Term parking option). *See* BWI Airport, Parking, https://www.bwiairport.com/to-from-bwi/parking (last visited Feb. 26, 2018).

[5] *See* Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0160; 0186; 0192-93); Statement of Disbursements of the House, January 1, 2015 – March 31, 2015.

[6] *See* Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0153; 0159); Statement of Disbursements of the House, January 1, 2015 – March 31, 2015 (incorporating the following purchases into the $73.04 disbursement dated 2/10/15: $19.76 at Starbucks on 1/29/15, $14.12 at Chick-fil-A on 1/29/15, $31.86 at Karaikudi Palace on 1/29/15, and $7.30 at Starbucks on 1/30/15).

[7] *See* Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0152; 00159); Statement of Disbursements of the House, January 1, 2015 – March 31, 2015 (incorporating the following purchases into the $73.04 disbursement dated 2/10/15: $19.76 at Starbucks on 1/29/15, $14.12 at Chick-fil-A on 1/29/15, $31.86 at Karaikudi Palace on 1/29/15, and $7.30 at Starbucks on 1/30/15).

[8] *See* Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0151; 0159); Statement of Disbursements of the House, January 1, 2015 – March 31, 2015 (incorporating the following purchases into the $73.04 disbursement dated 2/10/15: $19.76 at Starbucks on 1/29/15, $14.12 at Chick-fil-A on 1/29/15, $31.86 at Karaikudi Palace on 1/29/15, and $7.30 at Starbucks on 1/30/15).

[9] *See* Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0154; 0159); Statement of Disbursements of the House, January 1, 2015 – March 31, 2015 (incorporating the following purchases into the $73.04 disbursement dated 2/10/15: $19.76 at Starbucks on 1/29/15, $14.12 at Chick-fil-A on 1/29/15, $31.86 at Karaikudi Palace on 1/29/15, and $7.30 at Starbucks on 1/30/15).

[10] *See* Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0159; 0173); Statement of Disbursements of the House, January 1, 2015 – March 31, 2015 (incorporating the following purchases into the $54.97 disbursement dated 2/11/15: the $9.42, $16.45, and $22.16 gas purchases from the Arizona Trip identified above and a $6.94 charge from 2/5/15 (*see* Exhibit 6 at 17-4790_0168)).

[11] *See* Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0149); Statement of Disbursements of the House, January 1, 2015 – March 31, 2015 (incorporating the following payments into the $69.00 disbursement dated 2/10/15: the $50.00 cash parking payment made at 2401 E. Camelback Rd., Phoenix, AZ and a 2/3/15 Washington D.C. valet parking charge of $19.00 (*see* Exhibit 6 at 17-4790_0149; 0157).

[12] *See* Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0174; 0185); Statement of Disbursements of the House, January 1, 2015 – March 31, 2015 (incorporating the following purchases into the $54.97 disbursement dated 2/11/15: the $9.42, $16.45, and $22.16 gas purchases from the Arizona Trip identified above and a $6.94 charge from 2/5/15 (*see* Exhibit 6 at 17-4790_0168).

[13] *See* Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0178; 0183); Statement of Disbursements of the House, January 1, 2015 – March 31, 2015 (incorporating the following purchases into the $54.97 disbursement dated 2/11/15: the $9.42, $16.45, and $22.16 gas purchases from the Arizona Trip identified above and a $6.94 charge from 2/5/15 (*see* Exhibit 6 at 17-4790_0168)).

[14] *See* Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0177; 0183); Statement of Disbursements of the House, January 1, 2015 – March 31, 2015 (incorporating the following purchases into the $162.35 disbursement dated 2/11/15: the $24.64, $4.28, and $19.43 purchases from 2/2/15 identified above (totaling $48.35), $55.78 worth of purchases from 2/3/15 (including $6.37 at the Hudson convenience store at Ronald Regan National Airport, $4.99 on a food purchase on a US Air flight, $26.57 at Karaikudi Palace in Arizona, $4.28 at a Starbucks in Arizona, and $16.01 in airport food in Phoenix's Sky Harbor International Airport), and a $58.22 purchases from 2/5/15, described as a member/constituent carry-out meal at Tally in Washington, D.C. (*see* Exhibit 6 at 17-4790_0138-94)).

[15] *See* Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0176; 0183); Statement of Disbursements of the House, January 1, 2015 – March 31, 2015 (incorporating the following purchases into the $162.35 disbursement dated 2/11/15: the $24.64, $4.28, and $19.43 purchases from 2/2/15 identified above (totaling $48.35), $55.78 worth of purchases from 2/3/15 (including $6.37 at the Hudson convenience store at Ronald Regan National Airport, $4.99 on a food purchase on a US Air flight, $26.57 at Karaikudi Palace in Arizona, $4.28 at a Starbucks in Arizona, and $16.01 in airport food in Phoenix's Sky Harbor International Airport), and a $58.22 purchases from 2/5/15, described as a member/constituent carry-out meal at Tally in Washington, D.C. (*see* Exhibit 6 at 17-4790_0138-94)).

[16] *See* Arizona Trip Voucher Documents (Exhibit 6 at 17-4790_0175; 0183); Statement of Disbursements of the House, January 1, 2015 – March 31, 2015 (incorporating the following purchases into the $162.35 disbursement dated 2/11/15: the $24.64, $4.28, and $19.43 purchases from 2/2/15 identified above (totaling $48.35), $55.78 worth of purchases from 2/3/15 (including $6.37 at the Hudson convenience store at Ronald Regan National Airport, $4.99 on a food purchase on a US Air flight, $26.57 at Karaikudi Palace in Arizona, $4.28 at a Starbucks in Arizona, and $16.01 in airport food in Phoenix's Sky Harbor International Airport), and a $58.22 purchases from 2/5/15, described as a member/constituent carry-out meal at Tally in Washington, D.C. (*see* Exhibit 6 at 17-4790_0138-94)).

EXHIBIT 6

CAPS2012

1141000009
Initiating Office Reference Number

United States House Of Representatives
VOUCHER COVER SHEET

RECEIVED
2015 FEB -3 PM 5:11
OFFICE OF FINANCE

Org Code AZ065CD Office Name THE HONORABLE DAVID SCHWEIKERT

Payment for Vendor

FEB 0 3 2015

Vendor/Payee Information

Vendor or Emp ID Number

Vendor Name CITIBANK-CITI0100

Address Line 1 GOVERNMENT CARD SERVICES

Address Line 2 P.O. BOX 183173

City COLUMBUS State OH Zip 43218

Payment Information

Vendor Invoice Number 4486-0100-0046-04

Vendor Invoice Date 01/28/2015

Payment Grand Total $ 9218.90

Product or Service Information

BOC Category	BOC	BOC Sub-Code	Expense Description	Amount	Date(s) of Service(s) Begin Service Date	End Service Date
21	2101		COMMERCIAL TRANSPORTATION	9218.90	01/09/2015	02/02/2015

Comments SCHWEIKERT

Partial payment due to Feb travel

I certify (1) that the above articles have been received in good condition and are of the quality and in the quantity above specified, or the services were performed as stated; (2) that they are in accordance with the orders therefore; (3) that the prices charged are just, reasonable, and in accordance with agreement; (4) that they are for use in my office in the discharge of my duties; and (5) that these are true copies and will be the only submission for payment.

02/03/2015
Date

Authorized Signature

CAO_0005

17-4790_0138

citi

[redacted]

Account Number	Due Date	Total Amount Due	Enter Amount Paid
[redacted]	02/22/2015	$10,155.20	

SERVED BY REPRESENTATIVE
US HOUSE OF REPS
304 CANNON HOUSE OFFICE BLDG

WASHINGTON DC 20515-3[illegible]

Address Correspondence to:
CITIBANK GOVERNMENT CARD
PO BOX [illegible]
[illegible]
COLUMBUS OH 43218-[illegible]

For telephone or address change or travel credit, please write on the back of the payment stub the nature of the desired changes on the reverse side.

Payment coupon: Please detach perforation and return this portion with your payment. Make check or money order payable in U.S. dollars on a U.S. bank to Citibank. Include account number on check or money order. No cash please. Do not staple or tape your check to this coupon.

CITIBANK GOVERNMENT COMMERCE SERVICES

CARD STATEMENT

Previous Balance	Payments And Credits	New Charges	New Balance
$2,933.10	$5,882.50	$13,104.60	$10,155.20

Invoice Date 01/28/2015
Due Date 02/22/2015

FOR CUSTOMER SERVICE CALL 1-800-790-7206 OR WRITE P.O. Box 45134 JACKSONVILLE, FL 32232-5134
OUTSIDE THE U.S. AND CANADA CALL COLLECT 904-954-7850
SEND PAYMENTS TO: CITIBANK GOVERNMENT CARD SERVICES P.O. Box 183173 COLUMBUS, OH 43218-3173

Agency Name	US HOUSE OF REPS	Account Number	XXXX-XXXX-X[redacted]
Accounting Code/Cost Center			
Billing Office Id		Agency/Org Id	
Discretionary Code	GREEN	Tax Exempt#	
Single Purchase Limit:	$8.00	Cycle Purchase Limit:	$0.00

Sale Date	Post Date	MCC Code	Reference Number		Description			Total Amount
12/31/2014	01/02/2015	3066	24692164068009779209755	1	SOUTHWES 5262470473013	800-435-9792	TX	$386.10
					SCHWEIKERT/DAVID	DEPARTURE: 01-09-15		
					BWI NB R PHX			
01/02/2015	01/05/2015	3066	24692165093000181820247	2	SOUTHWES 5262470883599	800-435-9792	TX	$436.10
					SCHWAB/RICHARD OLIVE	DEPARTURE: 01-17-15		
					PHX WN L BWI			
01/06/2015	01/08/2015	3063	24792625007642060669430	3	USAIRWAYS 0372386263021	WINSTON SALEM	NC	$252.10
					SCHWEIKERT/LAVIES	DEPARTURE: 01-22-15		
					PHX US G BWI			

ACCOUNT SUMMARY CURRENT PERIOD	Previous Balance	Payments	Credits	Purchases and Advances	Taxes and Fees	New Balance
Purchases	$2,933.10	- $2,933.10	- $2,969.40	$13,104.60		$10,155.20
Advances						
TOTALS	$2,933.10	- $2,933.10	- $2,969.40	$13,104.60		$10,155.20

Memo Section		
	Amount Over Credit Limit	$0.00
	Amount Past Due	$0.00
	Net Total Charges.	$10,155.20
	Total Cash Advances:	$0.00
	Current Period Total	$10,155.20

Approval Section

CARDHOLDERS SIGNATURE

APPROVING OFFICIAL SIGNATURE(Except Travel)

citi

Account Number
[redacted]

Invoice Date
01/28/2015

Sale Date	Post Date	MCC Code	Reference Number		Description			Total Amount
01/08/2015	01/08/2015	0000	74486005008008100010117	4	US HOUSE OF REPR			$2,933.10 PY
01/09/2015	01/12/2015	3063	24792625010642000586027	5	USAIRWAYS 0372386652113	WINSTON SALEM	NC	$8.50
					SOUZA/KYLEANDREW	DEPARTURE: 01-15-15		
					DCA US V PHX US V PHL US VX DCA			
01/09/2015	01/12/2015	3063	24792625010642000587454	6	USAIRWAYS 0372386634542	WINSTON SALEM	NC	$778.20
					SOUZA/KYLEANDREW	DEPARTURE: 01-11-15		
					DCA US V PHX US V DCA			
01/13/2015	01/15/2015	3066	24692165014000069846201	7	SOUTHWES 5262474331204	800-435-9792	TX	$1,178.20
					SCHWAB/RICHARD OLIVE	DEPARTURE: 01-23-15		
					BWI WN K PHX WN K BWI			
01/13/2015	01/15/2015	3066	24692165014000069846309	8	SOUTHWES 5262474334393	800-435-9792	TX	$920.20
					SCHWAB/RICHARD OLIVE	DEPARTURE: 01-28-15		
					BWI WN Q PHX WN Y BWI			
01/14/2015	01/16/2015	3066	24692165015000521604809	9	SOUTHWES 5262474583554	800-435-9792	TX	$1,118.20
					SOUZA/KYLE A	DEPARTURE: 01-28-15		
					BWI WN Y PHX WN Y BWI			
01/14/2015	01/16/2015	3066	24692165015000522839585	10	SOUTHWES 5262474389930	800-435-9792	TX	$568.10
					SCHWEIKERT/DAVID	DEPARTURE: 01-28-15		
					BWI WN K PHX			
01/15/2015	01/19/2015	3063	24792625016642000551660	11	USAIRWAYS 0372387470673	WINSTON SALEM	NC	$564.10
					SCHWEIKERT/DAVIDS	DEPARTURE: 01-16-15		
					DCA US L PHX			
01/16/2015	01/19/2015	3000	24692165017000351171011	12	UNITED 0162435171971	800-932-2732	TX	$338.10
					SCHWEIKERT/DAVIDS	DEPARTURE: 01-20-15		
					PHX UA V IAD			
01/16/2015	01/19/2015	3066	24692165017000491458993	13	SOUTHWES 5262475177494	800-435-9792	TX	$575.60
					SCHWEIKERT/DAVID	DEPARTURE: 01-20-15		
					MDW WN K DCA WN K MDW			
01/16/2015	01/19/2015	3063	24792625017642000581823	14	USAIRWAYS 0372387578621	WINSTON SALEM	NC	$25.00
					SCHWEIKERT/DAVIDS	DEPARTURE: 01-16-15		
					FTF US Y FEE			
01/16/2015	01/19/2015	3063	24792625017642000582466	15	USAIRWAYS 0372387578618	WINSTON SALEM	NC	$1,185.10
					SCHWEIKERT/DAVIDS	DEPARTURE: 01-20-15		
					PHX US B DCA			
01/16/2015	01/19/2015	3063	24792625018642000439800	16	USAIRWAYS 0372387578618	WINSTON SALEM	NC	- $1,185.10
					SCHWEIKERT/DAVIDS	DEPARTURE: 01-20-15		
					PHX US B DCA			

Continued on next page

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Account Number
[redacted]

Invoice Date
01/23/2015

Sale Date	Post Date	MCC Code	Reference Number		Description			Total Amount
01/20/2015	01/22/2015	3066	24692165023000089267031	17	SOUTHWES 5262476043052	800-435-9792	TX	$588.10
					SCHWEIKERT/DAVID	DEPARTURE: 01-22-15		
					BWI WN X PHX			
01/22/2015	01/26/2015	3000	24692165023000079035465	18	UNITED 0162435865779	800-932-2732	TX	$338.10
					SCHWEIKERT/DAVIDSMR	DEPARTURE: 01-22-15		
					IAD UA V PHX			
01/22/2015	01/26/2015	3000	24692165023000079446628	19	UNITED 0162392160021	800-932-2732	TX	$25.00
					SCHWEIKERT/RESERVATI	DEPARTURE: 01-22-15		
					IAD UA X PHX			
01/22/2015	01/26/2015	3066	24692165023000177497457	20	SOUTHWES 5262476703296	800-435-9792	TX	$559.10
					SCHWEIKERT/DAVID	DEPARTURE: 01-26-15		
					PHX WN Y BWI			
01/22/2015	01/26/2015	3066	24692165023000178590540	21	SOUTHWES 5262476695975	800-435-9792	TX	$588.10
					SCHWAB/RICHARD OLIVE	DEPARTURE: 01-25-15		
					PHX WN X BWI			
01/22/2015	01/26/2015	3063	24792623023642000510964	22	USAIRWAYS 0372182961291	WINSTON SALEM	NC	$90.00
					SCHWEIKERT/DAVIDS	DEPARTURE: 02-06-15		
					DCA US V PHX			
01/22/2015	01/26/2015	3063	24792623023642000514248	23	USAIRWAYS 0372388210566	WINSTON SALEM	NC	$389.10
					SCHWAB/RICHARDOLIVER	DEPARTURE: 01-23-15		
					DCA US V PHX			
01/22/2015	01/26/2015	3063	24792623023642000514461	24	USAIRWAYS 0372388220423	WINSTON SALEM	NC	$389.10
					SCHWEIKERT/DAVIDS	DEPARTURE: 02-06-15		
					DCA US V PHX			
01/22/2015	01/26/2015	3066	24692165023000179185162	25	SOUTHWES 5262476231204	800-435-9792	TX	$1,176.20
					SCHWAB/RICHARD OLIVE	DEPARTURE: 01-22-15		
					DAL WN A DAL			
01/22/2015	01/26/2015	3066	24692165023000179185170	26	SOUTHWES 5262476043052	800-435-9792	TX	$588.10
					SCHWEIKERT/DAVID	DEPARTURE: 01-22-15		
					DAL WN A DAL			
01/23/2015	01/27/2015	3063	24792623023642000512306	27	USAIRWAYS 0372182991691	WINSTON SALEM	NC	$89.00
					SCHWEIKERT/DAVIDS	DEPARTURE: 01-26-15		
					PHX US V DCA			
01/23/2015	01/27/2015	3063	24792623023642000513635	28	USAIRWAYS 0372388505459	WINSTON SALEM	NC	$389.10
					SCHWEIKERT/DAVIDS	DEPARTURE: 01-26-15		
					PHX US V DCA			

Total out after 219

Continued on next page

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Account Number
[redacted]

Invoice Date

Sale Date	Post Date	MCC Code	Reference Number	Description			Total Amount
01/26/2015	01/27/2015	3066	24692165026000552005187 29	SOUTHWES 5262477590583	800-435-9792	TX	$559.10
				SCHWEIKERT/DAVID	DEPARTURE: 02-02-15		
				PHX WN Y BWI			
01/26/2015	01/27/2015	3066	24692165026000552060043 30	SOUTHWES 5262477590079	800-435-9792	TX	$547.20
				SCHWAB/RICHARD OLIVE	DEPARTURE: 02-09-15		
				BWI WN W PHX WN B BWI			
						****TOTAL AMOUNT DUE:	$10,155.20

to be paid after 2/9

The foreign currency conversion rate used to convert your foreign transactions to U.S. dollars includes a service fee of 1% assessed to Citibank by the applicable card association.

Citi is committed to the reduction of paper. Within the Commercial Cards business, you can switch to online statements now by registering your card on CitiManager at https://home.cards.citidirect.com/CommercialCard/Cards.html. Thanks to those who already access statements online, together we are saving 2,178 trees each year through this initiative alone.

Account management made easier. Online statements & CitiManager Mobile offer 24/7 access, security, and mobility. Log in at www.citimanager.com/login and click Go Paperless under the Statement tab.

Sign-up for email or text message alerts to know when your statement is ready to view. When on the go, access your account and recent activity through your mobile device at www.citimanager.com/mobile

547.20
389.10
$936.30 to be paid after 2/9

10,155.20
− 936.30
9218.90 to be paid now

Continued on next page

☐ **Account Requests**

CHANGE OF ADDRESS OR TELEPHONE NUMBER

Street Address: _____

City State: _____

ZIP: _____

Home Phone: _____

Business Phone: _____

Payment Information

- Enclose your check or money order payable in U.S. dollars to Citibank, N.A. with this payment coupon, but do not staple or tape them together.
  Please do not send cash.
- Write your account number on the front of your check or money order.
- Please make sure the entire Citibank address appears through the window of your remittance envelope.
  If we receive your mailed payment in proper form at our processing facility by 10 a.m. Eastern Time, it will be credited as of that day.
- Payments, adjustments, and charges received after the date indicated on the front as "Statement Date" will appear on your next statement.

Mail your payment in the envelope provided, or send your payment to:

Citibank, N.A.
P.O. Box 183173
Columbus, OH 43218-3173

You may send your payment via overnight mail to:

Citibank, N.A.
1500 Bolton Field Street
Columbus, OH 43228

Account Inquiries

In case of error or questions about your bill: If you think the Billing Statement is incorrect, or if you need more information about a transaction, write to us on a separate sheet at the address specified on the front of this statement as soon as possible. Please notify us no later than 60 days after the date of the bill on which the error or problem first appeared. Disputed amounts may be deducted from "Total Payments Due" after you notify CITI of disputed items.

In the letter, please give us the following information:

- Your name and account number. For Centrally Billed Accounts, please include the Agency name and individual account number.
- The dollar amount of the suspected error.
- Describe the error and explain the reason for the error; if more information is needed about an item, please describe it to us.
- **Merchant disputes:** If the Agency or Cardholder was unsuccessful in attempting to resolve a problem with a merchant concerning the quality of goods or services purchased with the CITI Government card, we may be able to help if we are notified in writing within 60 days of the date of the charge.
- In the letter to us, please explain in detail the dispute and the results of the attempt to resolve it with the merchant. The letter must include the amount involved, **and must be signed by the individual Cardholder. We will notify you of the results of our efforts.**
- If you returned merchandise and received a credit slip which has not yet been posted, please allow 30 days from the date it was issued. If it has not been posted to the Account by then, forward a copy of the credit slip to us at the billing dispute address specified on the front of the statement. Along with the copy of the credit slip, please include a letter **(signed by the individual Cardholder)** stating that credit was not received. If a credit slip was not issued, please request one from the merchant. If the merchant refuses, please write to us and explain the details.
- On non-disputed or any other matter shown by CITI not to be in error, CITI may charge the Agency or Cardholder the fee specified in the Cardholder Account Agreement for each copy of any document the Agency or Cardholder requests, such as duplicated periodic statements, transaction slips, and the like.
- Please save your charge receipt.
- **Mail billing inquiries to:**

  Citibank, N.A.
  PO Box 6125
  Sioux Falls, SD 57117-6125

- **Telephone inquiries through CITI Customer Service, 24 hours a day, 7 days a week:**
- **Toll-free:** 1-800-790-7206
- **Call Collect:** 904-954-7850

Information about your CITI Government Card Account

Report Lost or Stolen Card Immediately: Our telephone lines are open every day, 24 hours a day. Call the Customer Service number listed here or specified on the front of the statement immediately (day or night). After you notify us, you will not be liable for any unauthorized use of your Card.

From within the Continental U.S.:
Toll-free: 1-800-790-7206
From outside the Continental U.S.:
Call Collect: 904-954-7850

R1410-8240G-0411

CAPS2012

United States House Of Representatives
VOUCHER COVER SHEET

1141000017
Initiating Office Reference Number

RECEIVED
2015 FEB -5 PM 1:16
OFFICE OF FINANCE

Org Code AZ06SCD Office Name THE HONORABLE DAVID SCHWEIKERT

Payment for Employee

FEB 05 2015

Vendor/Payee Information

Vendor or Emp ID Number 160155

Vendor Name OLIVER SCHWAB

Address Line 1

Address Line 2

City State Zip

Payment Information

Vendor Invoice Number

Vendor Invoice Date 02/04/2015

Payment Grand Total $ 4312.00

Product or Service Information

BOC Category	BOC	BOC Sub-Code	Expense Description	Amount	Date(s) of Service(s) Begin Service Date	End Service Date
23	2310		TELECOMMUNICATIONS SERVICE AND	54.89	01/27/2015	01/26/2016
21	2135		TAXI/PARKING/TOLLS	79.00	01/30/2015	02/03/2015
21	2101		COMMERCIAL TRANSPORTATION	78.00	01/28/2015	
21	2110		MEALS	73.04	01/29/2015	01/30/2015
21	2105		LODGING	4027.07	01/28/2015	02/01/2015

Comments MUSIC SUBSCRIPTION FOR PHONES IN OFFICE

I certify (1) that the above articles have been received in good condition and are of the quality and in the quantity above specified, or the services were performed as stated; (2) that they are in accordance with the orders therefore; (3) that the prices charged are just, reasonable, and in accordance with agreement; (4) that they are for use in my office in the discharge of my duties; and (5) that these are true copies and will be the only submission for payment.

02/05/2015
Date

Authorized Signature

CAO_0011

17-4790_0144

O'Connor, Mary

From: Schwab, Oliver
Sent: Wednesday, February 04, 2015 4:30 PM
To: O'Connor, Mary
Subject: FW: Scanned image from MX-4101N
Attachments: AZ05DCCOPIER@mail.house.gov_20150204_163732.pdf

Hi Mary,

Pandora subscription for reimbursement $54.89 attached.

Oliver

--
Oliver Schwab
Chief of Staff
Congressman David Schweikert (AZ-06)
[redacted]

-----Original Message-----
From: AZ05DCCOPIER@mail.house.gov [mailto:AZ05DCCOPIER@mail.house.gov]
Sent: Wednesday, February 04, 2015 4:38 PM
To: Schwab, Oliver
Subject: Scanned image from MX-4101N

Reply to: AZ05DCCOPIER@mail.house.gov <AZ05DCCOPIER@mail.house.gov> Device Name: Not Set Device Model: MX-4101N
Location: Not Set

File Format: PDF MMR(G4)
Resolution: 200dpi x 200dpi

Attached file is scanned image in PDF format.
Use Acrobat(R)Reader(R) or Adobe(R)Reader(R) of Adobe Systems Incorporated to view the document.
Adobe(R)Reader(R) can be downloaded from the following URL:
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http://www.adobe.com/

Olive Converts to S Plus

Create Station

Change Stat

Now Playing | Month Fast | My Tracks

Settings

Subscription: Pandora One - Ad Free, More Skips, Power Time Outs

Upcoming Renewal: Your subscription will be a monthly renewal for $54.89 + $0.03 sales tax each year unless you cancel your subscription. See Details

Change Subscription Type:

- Monthly Plan
- Annual Plan - Best value, includes one month free! ($54.89 per year + $0.00 sales tax)

Name on Card: Richard Oliver Stilwell Jr

Card Number: 4147*****[redacted]

Delete the Card Card

Security Code:

Expiration Date: 01 2013

Billing Pan/Zip Code: 22314

Save Changes | Cancel Subscription

$54.89 annual

1 of 1 1/21/2015 11:27 AM

CHASE

CREDIT CARD / [redacted]

Account Info		Payment Info	
Current balance	$16,666.02	Balance last statement (01/14/2015)	$3,705.68
Pending charges	$339.49	Minimum payment due	$0.00
Available credit	$17,975.49	Payment due date	02/11/2015

Southwest Airlines Rapid Rewards® Premier

Temporary Authorizations

Trans Date	Type	Description	Amount
02/04/2015	Pending	APL* ITUNES.COM/BILL	$21.97
02/04/2015	Pending	1-800-FLOWERS.COM,INC.	$34.98
02/03/2015	Pending	TALAY THAI RESTAURANT	$49.28
02/03/2015	Pending	SP PLUS PARKING	$132.00
02/02/2015	Pending	PHX NOCAWICH EXPRESS	$19.43
02/02/2015	Pending	Starbucks T4 S3 PHX	$4.28
02/02/2015	Pending	Sauce T4 Lobby PHX	$24.64
02/02/2015	Pending	APL* ITUNES.COM/BILL	$4.99
02/02/2015	Pending	SHELL/4343 E CAMELBACK R	$1.00
02/01/2015	Pending	HILTONGARDENINN3789	$45.92

Posted Activity

Since Last Statement

Trans Date	Post Date	Type	Description	Amount
02/03/2015	02/03/2015	Sale	Amazon.com	$22.76
02/02/2015	02/02/2015	Payment	Payment Thank You-Mobile	-$600.00
02/02/2015	02/03/2015	Sale	BENIHANA SO 513	$351.47
02/02/2015	02/03/2015	Sale	Amazon Video On Demand	$2.99
02/01/2015	02/02/2015	Sale	EVENT TICKET INSURANCE	$13.98

Trans Date	Post Date	Type	Description	Amount
02/01/2015	02/02/2015	Sale	TM *ARIZONA THEATRE CO	$123.60
02/01/2015	02/03/2015	Sale	HYATT HOTELS F&B	$185.67
02/01/2015	02/03/2015	Sale	ARCO AMPM SCOTTSDALE	$38.10
01/31/2015	02/01/2015	Sale	AT&T*BILL PAYMENT	$330.44
01/31/2015	02/01/2015	Sale	SOUTHWES 5262479143748	$5.60
01/31/2015	02/01/2015	Payment	Payment Thank You-Mobile	-$1,701.64
01/30/2015	02/01/2015	Sale	STARBUCKS #11084 FOUNTAIN	$7.30
01/30/2015	02/01/2015	Sale	LONDON GOLD	$3,288.01
01/30/2015	02/01/2015	Sale	CIRCLE K 08841	$9.42
01/30/2015	02/01/2015	Sale	RIS 56 PHOENIX	$104.51
01/29/2015	01/30/2015	Sale	STARBUCKS #15050 SCOTTSDA	$19.75
01/29/2015	01/30/2015	Sale	V S BARBERSHOP KIERLAND	$100.00
01/29/2015	02/01/2015	Sale	KARAIKUDI PALACE	$31.86
01/29/2015	02/01/2015	Sale	CHICK-FIL-A #03246	$14.12
01/28/2015	01/29/2015	Sale	PANDORA*INTERNET RADIO	$54.89
01/28/2015	01/29/2015	Sale	SOUTHWES 5262478247038	$11.20
01/28/2015	01/30/2015	Sale	USAIRWAYS 0372183018080	$78.00
01/28/2015	01/29/2015	Sale	SOUTHWES 5262478248215	$11.20
01/27/2015	01/28/2015	Sale	HILTONGARDENINN3769	$4,027.07
01/27/2015	01/28/2015	Sale	LE REFUGE	$226.52
01/27/2015	01/27/2015	Sale	UBER TECHNOLOGIES INC	$158.20
01/27/2015	01/27/2015	Payment	Payment Thank You - Web	-$98.07
01/26/2015	01/27/2015	Sale	POTBELLY 140	$162.62
01/25/2015	01/27/2015	Sale	PHX NOCAWICH EXPRESS	$12.95
01/25/2015	01/26/2015	Sale	ALAMO RENT-A-CAR	$509.83
01/25/2015	01/27/2015	Sale	STARBUCKS T4 S39031603	$5.89
01/25/2015	01/25/2015	Payment	Payment Thank You - Web	-$659.90
01/25/2015	01/26/2015	Sale	NTL FOOTBALL LEAGU	$3,825.00
01/24/2015	01/26/2015	Sale	DUNKIN #349308 Q35	$91.83
01/24/2015	01/26/2015	Sale	DUNKIN #349308 Q35	$56.10
01/24/2015	01/25/2015	Sale	UBER TECHNOLOGIES INC	$25.00
01/24/2015	01/26/2015	Sale	DUNKIN #349308 Q35	$91.83

United States House of Representatives
TRAVEL REIMBURSEMENT FORM

Org Code

REP. DAVID SCHWEIKERT
Office Name

Staff Employee Number

Payment Grand Total $ 4,442.78

Staff Name RICHARD OLIVER SCHWAB

Date	BOC	Expense Description (If Mileage, include To/From Points of Travel, Mileage Rate and Mode of Transport) (If other, please include Type of service, quantity & unit price, if applicable) Enter Mileage Rate => 0.560		Mileage	Amount
01/30/2015	2135	TAXI/PARKING/TOLLS	From: PARKING PAID CASH To: 2401 E CAMELBACK RD		$ 50.00
01/28/2015	2101	COMMERCIAL TRANSPORTATION	From: US AIRWAYS To: SEAT CHARGE, MEMBER		$ 78.00
01/29/2015	2110	MEALS			$ 65.74
01/30/2015	2110	MEALS			$ 7.30
01/30/2015	2135	TAXI/PARKING/TOLLS	From: PARKING PAID CASH To: 112 N 2ND ST		$ 10.00
02/01/2015	~~2110~~ 2102	~~MEALS~~ mtg/constituents food/bev.			$ 185.67
02/02/2015	2105	LODGING			$ 4,027.07
02/03/2015	2135	TAXI/PARKING/TOLLS	From: PARKING PAID CASH To: 724 9TH ST NW		$ 19.00
					$ 0.00
					$ 0.00
					$ 0.00
					$ 0.00
					$ 0.00
					$ 0.00
					$ 0.00
			Total Mileage ►	0.0	
			Total Reimbursement ►		$ 4,442.78

I, the undersigned, state that the above expenses were approved and incurred personally as a result of the performance of my duties for the Member, Committee or Leadership Office, or for the House Officer that employs me.

SIGNED - STAFFER

APPROVED BY

CAO_0016

17-4790_0149

Paid by - Richard Oliver Schwab

Book travel | Travel tools | Dividend Miles | Specials | US Airways Vacations

Here's your receipt

Thanks for saving time and checking in online.
Please print this receipt or save the email for your records.

Scan at any US Airways kiosk to check in

Next stop: the airport. See terminal information and find your way.

Confirmation code: E57X7J

Choice Seats

David S Schweikert	$78.00
Choice Seats total	**$78.00 USD**

Charged to Richard Oliver Schwab
[redacted] (Visa)

You paid $78.00 USD

Experience our clubs

LIMOS.COM
Book Now!

Your flight

Depart: Washington, DC (DCA) Phoenix, AZ (PHX)
Date: Wednesday, January 28, 2015

Flight #/Carrier	Depart	Arrive	Travel time	Meal	Aircraft	Cabin	Seats
2059	11:55 AM DCA	1:26 PM CLT	1h 31m	None	A319	Coach (B)	5F
Operated by US Airways							
	Stop: Change planes (CLT)						
595	2:25 PM CLT	5:06 PM PHX	4h 40m	Marketplace™	A321	Coach (B)	13F
Operated by US Airways							

Flight # 2059: There is a travel advisory in effect for Washington, DC (DCA)

Terms & conditions

Karaikudi Palace
8752 E. Shea Blvd.
Scottsdale, AZ. 85260
Suite C11
480-998-8008

Customer Copy

Card Number **********[redacted]

Reference Number 004850
Check#:29676
Date:01/29/15

Amount $25.86

Tip: 6.00

Total: 31.86

We appreciate your business!!!

2 Buffet Meals
paid by
Richard
Oliver
Schwab

Welcome to Chick-fil-A
99th & Shea FSR (# 03246)
Scottsdale, Arizona
Operator: Frank Johnson
(480) 404-6798

CUSTOMER COPY
1/29/2015 10:54:41 AM
EAT IN
Order Number: 305877

1	Nugget 12ct	4.75
2	CFA Sand	6.38
1	Fries LG	1.95

Sub. Total:	$13.08
Tax:	$1.04
Total:	$14.12
Change	$0.00
Visa:	$14.12

Register:6 Tran Seq No: 305877
Cashier:Mary
It was a pleasure serving you!
Have a wonderful day.

Richard
Olivia
Schwartz

Visa
Card Num : XXXXXXXXXXX[redacted]
Terminal : KA04192582001
Approval : 032600
Sequence : 008366

Starbucks Coffee #15050
13610 N Scottsdale Rd
Scottsdale, AZ 480-991-9665

CHK 726748
01/29/2015 10:21 AM
19567/3 Drawer: 1 Reg: 1

Vt Cappuccino	3.95
Nonfat	
Ethos Water 700Ml	1.95
Ethos Water 700Ml	1.95
Gr Chai Tea Latte	3.95
Single	0.80
3 Pumps Tazo Chai	
Nonfat	
Extra Hot	
Tl Blonde Roast	1.75
Ethos Water 700Ml	1.95
Ethos Water 700Ml	1.95
Visa	19.76
XXXXXXXXXXXX[redacted]	
Subtotal	$18.25
Tax 8.3% - Food & Bev	$1.51
Total	$19.76
Change Due	$0.00

Richard Oliver Schwab

Check Closed
01/29/2015 10:21 AM

Bring in this morning's
receipt after 2pm today and
get a bakery treat for $1.
While supplies last.
Excludes breakfast sandwiches.
Select stores.
No other discounts apply.
Cash value $.001. No copies.
Barista ring code 2412

STARBUCKS Store #11084
15815 E. Shea Blvd. #106A
Fountain Hills, AZ (480) 837-1486

CHK 732532
01/30/2015 09:07 AM
1461898 Drawer: 1 Reg: 1

T1 Blonde Roast	1.75
Vt Cappuccino	3.95
Banana Whole Fruit	1.00
Visa	7.30
XXXXXXXXXXXX[redacted]	
Subtotal	$6.70
Tax 8.9% - Food & Bev	$0.60
Total	$7.30
Change Due	**$0.00**

Check Closed
01/30/2015 09:07 AM

Bring in this morning's
receipt after 2pm today and
get a bakery treat for $1.
While supplies last.
Excludes breakfast sandwiches.
Select stores.
No other discounts apply.
Cash value $.001. No cookies.
Barista ring code 2412

Robert
Olin
School

Richard Alvin Schwab

HYATT REGENCY SCOTTSDALE
RESORT & SPA AT GAINEY RANCH
SMB

2049 Mark

80/1 1614 GST 4
FEB01'15 10:56AM

4 Brunch
Food Sales 172.00
*Tax 172.00
13.67
Payment Due 185.67

Tip:

Total: 185.67

Room:

Name:

Signature:

Earn or Redeem Points for Dining
Gold Passport#:
Last Name:
Offer code(s):
Redemption Eligible: 185.67 USD
*Not point earning eligible.
#Not point redemption eligible.

For your convenience we have
provided the following
gratuity calculations:

15% is $27.85
18% is $33.42
20% is $37.13

Hilton Garden Inn
Scottsdale North/Perimeter Center

8550 East Princess Drive • Scottsdale, AZ 85255
Phone (480) 515-4944 • Fax (480) 515-4954
Reservations
www.hiltengardeninn.com or 1 877 STAY HGI

Name & Address
SCHWAB, OLIVIER
[redacted]

Room 341/Q2
Arrival Date 1/28/2015 12:37:00AM
Departure Date 2/2/2015
Adult/Child 2/0
Room Rate 339.00

RATE PLAN LV0

HH# [redacted]
AL
BONUS AL CAR

Confirmation Number : 3176203751

2/2/2015 PAGE 1

DATE	REFERENCE	DESCRIPTION			AMOUNT
1/28/2015	VS 7100	MEAFTANS	964377		$4,027.07
1/28/2015	GUEST ROOM	SBALOGH	964992	$339.00	
1/28/2015	STATE TAX	SBALOGH	964992	$18.65	
1/28/2015	SCOTTSDALE BED TAX	SBALOGH	964992	$16.95	
1/28/2015	COUNTY TAX	SBALOGH	964992	$6.00	
1/28/2015	CITY TAX	SBALOGH	964992	$5.59	
1/29/2015	GUEST ROOM	SBALOGH	965224	$339.00	
1/29/2015	STATE TAX	SBALOGH	965224	$18.65	
1/29/2015	SCOTTSDALE BED TAX	SBALOGH	965224	$16.95	
1/29/2015	COUNTY TAX	SBALOGH	965224	$6.00	
1/29/2015	CITY TAX	SBALOGH	965224	$5.59	
1/30/2015	GUEST ROOM	SARAH	965457	$339.00	
1/30/2015	STATE TAX	SARAH	965457	$18.65	
1/30/2015	SCOTTSDALE BED TAX	SARAH	965457	$16.95	
1/30/2015	COUNTY TAX	SARAH	965457	$6.00	
1/30/2015	CITY TAX	SARAH	965457	$5.59	
1/31/2015	GUEST ROOM	SARAH	965797	$339.00	
1/31/2015	STATE TAX	SARAH	965797	$18.65	
1/31/2015	SCOTTSDALE BED TAX	SARAH	965797	$16.95	
1/31/2015	COUNTY TAX	SARAH	965797	$6.00	
1/31/2015	CITY TAX	SARAH	965797	$5.59	
2/1/2015	GUEST ROOM	SBALOGH	966229	$339.00	
2/1/2015	STATE TAX	SBALOGH	966229	$18.65	
2/1/2015	SCOTTSDALE BED TAX	SBALOGH	966229	$16.95	
2/1/2015	COUNTY TAX	SBALOGH	966229	$6.00	
2/1/2015	CITY TAX	SBALOGH	966229	$5.59	

1930.95 ??

Zip-Out Check-Out®

Good Morning ! We hope you enjoyed your stay. With Zip-Out Check-Out® there is no need to stop at the Front Desk to check out.

- Please review this statement. It is a record of your charges as of late last evening.
- For any charges after your account was prepared, you may:
  - pay at the time of purchase.
  - charge purchases to your account, then stop by the Front Desk for an updated statement.
  - or request an updated statement be mailed to you within two business days.

If the statement meets with your approval, simply press the Zip-Out Check-Out button on your guest room telephone. Your account will be automatically checked out and you may use this statement as your receipt. Feel free to leave your key(s) in the room. *Please call the Front Desk if you wish to extend your stay or if you have any questions about your account.*

DATE OF CHARGE	FOLIO NO./CHECK NO. 203117 A
AUTHORIZATION	INITIAL
PURCHASES & SERVICES	
TAXES	
TIPS & MISC	
TOTAL AMOUNT	

THANK YOU

CAO_0023

17-4790_0156

Valet Parking
724 9th Street NW, Washington, DC 20001
$19.00 Paid in CASH
2/3/2015
Paid by Richard Oliver Schwab

386.19

1930.95

CHASE

SOUTHWEST.COM RAPID REWARDS CREDIT CARD [redacted]

Account Info		Payment Info	
Current balance	$16,686.02	Balance last statement (01/14/2015)	$3,705.68
Pending charges	$338.49	Minimum payment due	$0.00
Available credit	$17,975.49	Payment due date	02/11/2015
Southwest Airlines Rapid Rewards® Premier			

Temporary Authorizations

Trans Date	Type	Description	Amount
02/04/2015	Pending	APL* ITUNES.COM/BILL	$21.97
02/04/2015	Pending	1-800-FLOWERS.COM,INC.	$34.98
02/03/2015	Pending	TALAY THAI RESTAURANT	$49.28
02/03/2015	Pending	SP PLUS PARKING	$132.00
02/02/2015	Pending	PHX NOCAWICH EXPRESS	$19.43
02/02/2015	Pending	Starbucks T4 S3 PHX	$4.26
02/02/2015	Pending	Sauce T4 Lobby PHX	$24.64
02/02/2015	Pending	APL* ITUNES.COM/BILL	$4.99
02/02/2015	Pending	SHELL/4343 E CAMELBACK R	$1.00
02/01/2015	Pending	HILTONGARDENINN3769	$45.92

Posted Activity

Since Last Statement

Trans Date	Post Date	Type	Description	Amount
02/03/2015	02/03/2015	Sale	Amazon.com	$22.76
02/02/2015	02/02/2015	Payment	Payment Thank You-Mobile	-$900.00
02/02/2015	02/03/2015	Sale	BENIHANA SO 613	$351.47
02/02/2015	02/03/2015	Sale	Amazon Video On Demand	$2.99
02/01/2015	02/02/2015	Sale	EVENT TICKET INSURANCE	$13.98

	Trans Date	Post Date	Type	Description	Amount
	02/01/2015	02/02/2015	Sale	TM *ARIZONA THEATRE CO	$123.60
	02/01/2015	02/03/2015	Sale	HYATT HOTELS F&B 2/1 Meals	$185.67
	02/01/2015	02/03/2015	Sale	ARCO AM/PM SCOTTSDALE	$38.10
	01/31/2015	02/01/2015	Sale	AT&T *BILL PAYMENT	$330.44
	01/31/2015	02/01/2015	Sale	SOUTHWES 5262479143748	$5.60
	01/31/2015	02/01/2015	Payment	Payment Thank You-Mobile	-$1,701.64
	01/30/2015	02/01/2015	Sale	STARBUCKS #11084 FOUNTAIN 1/30 Meals	$7.30
	01/30/2015	02/01/2015	Sale	LONDON GOLD	$3,288.01
	01/30/2015	02/01/2015	Sale	CIRCLE K 08841 1/30 Gasoline	$9.42
	01/30/2015	02/01/2015	Sale	REI 56 PHOENIX	$104.51
	01/29/2015	01/30/2015	Sale	STARBUCKS #15050 SCOTTSDA 1/29 Meals	$19.76
	01/29/2015	01/30/2015	Sale	V S BARBERSHOP KIERLAND	$100.00
	01/29/2015	02/01/2015	Sale	KARAIKUDI PALACE 1/29 Meals	$31.86
	01/29/2015	02/01/2015	Sale	CHICK-FIL-A #03246 1/29 Meals	$14.12
	01/28/2015	01/29/2015	Sale	PANDORA*INTERNET RADIO	$54.89
	01/28/2015	01/29/2015	Sale	SOUTHWES 5262478247036	$11.20
	01/28/2015	01/30/2015	Sale	USAIRWAYS 0372183018080	$75.00
	01/28/2015	01/29/2015	Sale	SOUTHWES 5262478246215	$11.20
	01/27/2015	01/28/2015	Sale	HILTONGARDENINN3769 Lodging	$4,027.07
	01/27/2015	01/28/2015	Sale	LE REFUGE	$226.52
	01/27/2015	01/27/2015	Sale	UBER TECHNOLOGIES INC	$158.20
	01/27/2015	01/27/2015	Payment	Payment Thank You - Web	-$98.07
	01/26/2015	01/27/2015	Sale	POTBELLY 140	$162.62
	01/25/2015	01/27/2015	Sale	PHX NOCAWICH EXPRESS	$12.95
	01/25/2015	01/26/2015	Sale	ALAMO RENT-A-CAR	$509.63
	01/25/2015	01/27/2015	Sale	STARBUCKS T4 S30031603	$5.59
	01/25/2015	01/25/2015	Payment	Payment Thank You - Web	-$659.90
	01/25/2015	01/26/2015	Sale	NTL FOOTBALL LEAGU	$3,925.00
	01/24/2015	01/26/2015	Sale	DUNKIN #349308 Q35	$91.83
	01/24/2015	01/26/2015	Sale	DUNKIN #349308 Q35	$85.10
	01/24/2015	01/25/2015	Sale	UBER TECHNOLOGIES INC	$25.00
	01/24/2015	01/26/2015	Sale	DUNKIN #349308 Q35	$91.83

CAPS2012

1141000019
Initiating Office Reference Number

United States House Of Representatives
VOUCHER COVER SHEET

Org Code AZ06SCD

Office Name THE HONORABLE DAVID SCHWEIKERT

RECEIVED
FEB 10 2015
2015 FEB 10 PM 4:35
OFFICE OF FINANCE

Payment for Employee

Vendor/Payee Information

Vendor or Emp ID Number 160155

Vendor Name OLIVER SCHWAB

Address Line 1

Address Line 2

City State Zip

Payment Information

Vendor Invoice Number

Vendor Invoice Date 02/10/2015

Payment Grand Total $ 1213.98

Product or Service Information

BOC Category	BOC	BOC Sub-Code	Expense Description	Amount	Date(s) of Service(s) Begin Service Date	End Service Date
21	2110		MEALS	162.35	02/02/2015	02/05/2015
21	2130		PRIVATE AUTO MILEAGE	57.90	02/02/2015	02/05/2015
21	2125		GASOLINE	54.97	01/30/2015	02/05/2015
21	2120		CAR RENTAL	660.20	02/02/2015	02/05/2015
21	2135		TAXI/PARKING/TOLLS	132.00	02/02/2015	02/02/2015
21	2110		MEALS	146.56	01/30/2015	02/01/2015

Comments 103.4 MILES X .56

I certify (1) that the above articles have been received in good condition and are of the quality and in the quantity above specified, or the services were performed as stated; (2) that they are in accordance with the orders therefore; (3) that the prices charged are just, reasonable, and in accordance with agreement; (4) that they are for use in my office in the discharge of my duties; and (5) that these are true copies and will be the only submission for payment.

02/10/2015
Date

Authorized Signature

CAO_0027

17-4790_0160

United States House of Representatives
TRAVEL REIMBURSEMENT FORM

Org Code

REP. DAVID SCHWEIKERT
Office Name

Staff Employee Number

Payment Grand Total $ 57.90

Staff Name RICHARD OLIVER SCHWAB

Date	BOC	Expense Description (If Mileage, Include To/From Points of Travel, Mileage Rate and Mode of Transport) (If other, please include Type of service, quantity & unit price, if applicable) Enter Mileage Rate => 0.560		Mileage	Amount
02/02/2015	2130	PRIVATE AUTO MILEAGE	From: BWI To: CAPITOL HILL	31.4	$ 17.58
02/03/2015	2130	PRIVATE AUTO MILEAGE	From: CAPITOL HILL To: 214 MASS AVE, NE	1.0	$ 0.56
02/03/2015	2130	PRIVATE AUTO MILEAGE	From: 214 MASS AVE, NE To: CAPITOL HILL	1.0	$ 0.56
02/03/2015	2130	PRIVATE AUTO MILEAGE	From: CAPITOL HILL To: 724 9TH ST, NW	1.8	$ 1.01
02/03/2015	2130	PRIVATE AUTO MILEAGE	From: 724 9TH ST, NW To: CAPITOL HILL	1.8	$ 1.01
02/03/2015	2130	PRIVATE AUTO MILEAGE	From: CAPITOL HILL To: 724 9TH ST, NW	1.8	$ 1.01
02/03/2015	2130	PRIVATE AUTO MILEAGE	From: 724 9TH ST, NW To: CAPITOL HILL	1.8	$ 1.01
02/05/2015	2130	PRIVATE AUTO MILEAGE	From: CAPITOL HILL To: BWI	31.4	$ 17.58
02/05/2015	2130	PRIVATE AUTO MILEAGE	From: BWI To: CAPITOL HILL	31.4	$ 17.58
					$ 0.00
					$ 0.00
					$ 0.00
					$ 0.00
					$ 0.00
					$ 0.00
			Total Mileage ►	103.4	
			Total Reimbursement ►		$ 57.90

I, the undersigned, state that the above expenses were approved and incurred personally as a result of the performance of my duties for the Member, Committee or Leadership Office, or for the House Officer that employs me.

SIGNED - STAFFER

APPROVED BY

CAO_0028

17-4790_0161

United States House of Representatives
TRAVEL REIMBURSEMENT FORM

Org Code A200SCD REP. DAVID SCHWEIKERT
Office Name

Staff Employee Number 160155 Payment Grand Total $ 111.15

Staff Name RICHARD OLIVER SCHWAB

Date	BOC	Expense Description (If Mileage, include To/From Points of Travel, Mileage Rate and Mode of Transport) (If other, please include Type of service, quantity & unit price, if applicable) Enter Mileage Rate => 0.560	Mileage	Amount
02/05/2015	2110	MEALS		$ 58.22
02/05/2015	2125	GASOLINE		$ 6.94
02/05/2015	2120	CAR RENTAL		$ 45.99
				$ 0.00
				$ 0.00
				$ 0.00
				$ 0.00
				$ 0.00
				$ 0.00
				$ 0.00
				$ 0.00
				$ 0.00
				$ 0.00
				$ 0.00
				$ 0.00
		Total Mileage ►	0.0	
		Total Reimbursement ►		$ 111.15

I, the undersigned, state that the above expenses were approved and incurred personally as a result of the performance of my duties for the Member, Committee or Leadership Office, or for the House Officer that employs me.

SIGNED - STAFFER APPROVED BY

CAO_0029

17-4790_0162

Hudson

RONALD REAGAN
WASHINGTON NATIONAL AIRPORT
TERMINALS B AND C
WASHINGTON, DC 20001
STORE: 01304 REG: 002 CASHIER: TSEHAYNE
CUSTOMER RECEIPT COPY

GLACEAU SMART WATER 20 O		
786162200433	1 @ 2.99	2.99
GLACEAU SMART WATER 20 O		
786162200433	1 @ 2.99	2.99
SUBTOTAL		5.98
SALES TAX (6.50000%)		.39
TOTAL		6.37
AMOUNT TENDERED		
Visa		6.37
ACCT: **********[redacted]		
EXP: ******		
APPROVAL: 093180		

TOTAL PAYMENT 6.37
Transaction: 159124 2/5/2015 6:33 AM
Comments\Inquiries? (800) 326-7711
or Comments@hudsongroup.com
Thank you for shopping with us.

1591240130400202052015

Richard
Otwin
Schwab

U·S AIRWAYS

02/05/2015
US0621 DCA-PHX
243051
Device ID GLX00085559

Receipt #: 0003
Transaction: 15020507393285559

Sale

Product	Price	Qty	Amt.
Continental Br	4.99	1	4.99
Total	USD		4.99
VISA [redacted]	USD		4.99

Richard
Olwn
Schwab

CAO_0031

17-4790_0164

Karaikudi Palace
8752 E. Shea Blvd.
Scottsdale, AZ. 85260
Suite C11
480-998-6006

Table:12 Register#: 99
Server:Server Customer:2 Custs
Serv#: 3
Check#: 29943 Guests: 2
Date: 02/05/15 Time: 12:13pm

[Seat 1]

1 Buffet	$9.99
1 Buffet	$9.99
Subtotal:	$19.98
Tax:	$1.59
Sub w/Tax:	$21.57
Amt Due:	$21.57
mm/\	$21.57

Email Karaikudipalace@yahoo.com
Thank you very much!
Come back soon!!!

5.00 TIP
$ 26.57

Karaikudi Palace
8752 E. Shea Blvd.
Scottsdale, AZ. 85260
Suite C11
480-998-6006

Customer Copy

Card Number **********[redacted]

Reference Number 073490
Check#:29943
Date:02/05/15

Amount $21.57

Tip: 5.00

Total: 26.57

We appreciate your business!!!

Richard
Olwin
Schwab

STARBUCKS Store #11434
3175 E. Thomas Road
Phoenix, AZ (602) 956-1529

CHK 785126
02/05/2015 11:06 AM
1846132 Drawer: 2 Reg: 3

Drive Thru

Vt Cappuccino	3.95
Nonfat	
Visa	4.28
XXXXXXXXXXXX[redacted]	
Subtotal	$3.95
Tax 8.3% - Food & Bev	$0.33
Total	$4.28
Change Due	$0.00

Check Closed
02/05/2015 11:06 AM

Robert
Ohm
Schwab

New members get a FREE DRINK
Join our loyalty program
Sign up for email rewards
visit Starbucks.com/rewards
or download our app
at participating stores
some restrictions apply
My Starbucks Rewards (R)

S0043

AZCENTRAL.COM THE NEWS STORE - SKY HARBOR
3600 SKY HARBOR BLVD.
PHOENIX, AZ 85034
US
Contact Email, [redacted]

PHONE: 602 - 273 7275 TERMINAL: S0043 002
DATE: 02-05-15 TRANSACTION: 0000218363
TIME: 15:42 RECEIPT #: 173973
TAX ID: 86-0875372 ASSOCIATE: 05105

COMMENTS:

ITEM/UPC	QTY	Unit $	Ext. $
SMART WATER 20 OZ BOTTLE			
706182200433	2	2.99	5.98 T
BOTTLE DEPOSIT			
	2	0.00	0.00
KIND PLUS PB DK CHOC PRTN BAR 12	1.42		
602652170560	3	3.29	9.87 T

SUBTOTAL: $ 15.85
Fund 1 000 0.16
TAX: $ 0.16
TOTAL: $ 16.01
Paid:
Card payment
Credit $ 16.01

$16.01

Total Savings: $ 0.00

Total Items: 7

Thank you for shopping
Visit our website: www.

AZCENTRAL.COM THE NEWS STORE - SKY HARBOR INTER. AIRPORT
Store: S0043
Terminal#: S0043-002
Trans#: 0000218363
Date: 02-05-15
Time: 15:42
Terminal ID: 4502375
Card Type: Credit Visa
xxxxxxxxxx[redacted]
APPROVED
Auth#: 074159
Entry Method: SWIPE
Sequence#: 000021836301

SALE

TOTAL AMOUNT: $ 16.01

CARDHOLDER COPY

Richard
Oliver
Schwab

WELCOME TO
AZ FOOD STORE
1045 N 24TH ST
PHOENIX, AZ 85008
DIESEL TAXISCENTS
TD04148098-001 DPO PLUS LLP
1045 N 24TH ST
PHOENIX AZ 85008

Descr.	qty	amount
<CUSTOMER COPY>		
UNLD CA #03	3.4726 @ 1.999/ G	6.94
	Sub Total	6.94
	Tax	0.00
TOTAL		6.94
	CREDIT $	6.94

CARD TYPE: VISA
CARD NAME: SCHWAB/RICHARD
ACCT NUMBER: XXXX XXXX XXXX[redacted]
TRANS TYPE: SALE
APPROVAL: 02255D INVOICE: 003289
AMOUNT: $ 6.94

APPROVED 02255D
****

THANKS.COME AGAIN
REG# 0001 CSH# 004 DRW 01 TRAN# 19402
02/05/15 14:47:12 STN 1

Richard
Oliver
Schwab

CAO_0035

17-4790_0168

Alamo

RA 431840711 Bill 0
Rental 05-FEB-2016 10:47 AM
PHX SKY HARBOR INTL ARPT
Return 05-FEB-2016 02:57 PM
PHX SKY HARBOR INTL ARPT

RICHARD OLIVER SCHWAB
Vehicle # F7170219
Model CRUZE
Class Driven ICAR Class Charged ICAR
License# BAB4797 State/Province AZ
M/Kms Driven 66
M/Kms Out 59
M/Kms In 125

Billing Ref 3613339
Charges No Unit Price Amount
T & M 1 Days 24.16 24.16*
UNLTD M/KM 0 M/Kms 0.00*
Freq Trav 0 1.60*
CUSTOMER FACILITY CHARGE 5.00*
CRF 2.93*
STADIUM SURCHARGE 6.31
MAINTENANCE FEE 0.70*
VLS 1.35
TPT @10.300 % 3.69

Total Charges USD 45.99

Deposit Visa [redacted]

Amount Due USD 45.99

* Taxable Items
Subject to Audit
Frequent Flyer ****[redacted] Credit to
SOUTHWEST AIRLINES RAPID REWARDS
Customer Service Number 1-800-468-6664

CHASE

CREDIT CARD (...[redacted])

Account Info		Payment Info	
Current balance	$2,756.89	Balance last statement (01/14/2015)	$3,705.68
Pending charges	$189.33	Minimum payment due	$0.00
Available credit	$31,973.78	Payment due date	02/11/2015

Southwest Airlines Rapid Rewards® Premier

Temporary Authorizations

Trans Date	Type	Description	Amount
02/09/2015	Pending	UBER TECHNOLOGIES INC	$8.68
02/09/2015	Pending	7-ELEVEN 32909	$1.00
02/09/2015	Pending	CHRISTIE MEDICAL CLINI	$25.00
02/08/2015	Pending	ALAMO RENT A CAR	$77.32
02/07/2015	Pending	ALAMO RENT A CAR	$77.33

Posted Activity

Since Last Statement

Trans Date	Post Date	Type	Description	Amount
02/08/2015	02/09/2015	Sale	APL* ITUNES.COM/BILL	$3.99
02/07/2015	02/08/2015	Payment	Payment Thank You Image C	-$2,010.64
02/07/2015	02/08/2015	Payment	Payment Thank You Check	-$7,325.00
02/07/2015	02/08/2015	Payment	Payment Thank You Image C	-$646.44
02/07/2015	02/08/2015	Payment	Payment Thank You Image C	-$3,286.01
02/07/2015	02/09/2015	Sale	SHELL OIL 57544920002	$20.40
02/07/2015	02/09/2015	Sale	ALAMO RENT-A-CAR	$258.66
02/07/2015	02/08/2015	Return	APL* ITUNES.COM/BILL	-$3.99
02/07/2015	02/08/2015	Payment	Payment Thank You Image C	-$554.98
02/06/2015	02/06/2015	Sale	UBER TECHNOLOGIES INC	$23.00

Trans Date	Post Date	Type	Description	Amount
02/06/2015	02/08/2015	Sale	SOUTHWES 5262481119371	$39.00
02/06/2015	02/06/2015	Return	SOUTHWES 5262481119371	-$39.00
02/05/2015	02/06/2015	Sale	HUDSON NEWS 2/5 Meal	$6.37
02/05/2015	02/06/2015	Sale	ALAMO RENT-A-CAR 2/5 Rental Car	$45.99
02/05/2015	02/06/2015	Sale	INFLIGHT US AIRWAYS 2/5 Meal	$4.99
02/05/2015	02/06/2015	Sale	DPQ PLUS LLP 2/5 Food	$6.84
02/05/2015	02/06/2015	Sale	STARBUCKS #11434 PHOENIX 2/5 Meals	$4.28
02/05/2015	02/06/2015	Sale	APL* ITUNES.COM/BILL	$21.97
02/05/2015	02/06/2015	Sale	KARAIKUDI PALACE 2/5 Meals	$26.57
02/05/2015	02/06/2015	Sale	AZCENTRAL.COM THE NEWS 2/5 Meals	$16.01
02/05/2015	02/05/2015	Sale	1-800-FLOWERS COM,INC.	$34.98
02/05/2015	02/06/2015	Sale	ANNAPOLIS HISTORIC INNS	$123.17
02/04/2015	02/04/2015	Payment	Payment Thank You - Web	-$1,753.44
02/03/2015	02/03/2015	Sale	Amazon.com	$22.76
02/03/2015	02/05/2015	Sale	TALAY THAI RESTAURANT	$55.78
02/03/2015	02/05/2015	Sale	SP PLUS PARKING	$132.00
02/03/2015	02/04/2015	Sale	HILTONGARDENINN3769	$178.55
02/03/2015	02/04/2015	Sale	APL* ITUNES.COM/BILL	$4.99
02/02/2015	02/03/2015	Sale	BENIHANA SO 613	$351.47
02/02/2015	02/04/2015	Sale	SAUCE T4 LOBBY30033245	$24.64
02/02/2015	02/04/2015	Sale	STARBUCKS T4 S30031603	$4.28
02/02/2015	02/04/2015	Sale	SHELL OIL 57441826302	$22.16
02/02/2015	02/04/2015	Sale	PHX NOCAWICH EXPRESS	$19.43
02/02/2015	02/02/2015	Payment	Payment Thank You-Mobile	-$600.00
02/02/2015	02/04/2015	Sale	ALAMO RENT-A-CAR	$614.21
02/02/2015	02/03/2015	Sale	Amazon Video On Demand	$2.99
02/01/2015	02/02/2015	Sale	EVENT TICKET INSURANCE	$13.98
02/01/2015	02/02/2015	Sale	TM *ARIZONA THEATRE CO	$123.50
02/01/2015	02/03/2015	Sale	HYATT HOTELS F&B	$185.57
02/01/2015	02/03/2015	Sale	ARCO AM/PM SCOTTSDALE	$35.10
01/31/2015	02/01/2015	Sale	SOUTHWES 5262479143748	$5.60
01/31/2015	02/01/2015	Sale	AT&T*BILL PAYMENT	$330.44

United States House of Representatives
TRAVEL REIMBURSEMENT FORM

Org Code A20050D REP. DAVID SCHWEIKERT
Office Name

Staff Employee Number 160155

Payment Grand Total $ 898.37

Staff Name RICHARD OLIVER SCHWAB

Date	BOC ▾	Expense Description (If Mileage, include To/From Points of Travel, Mileage Rate and Mode of Transport) (If other, please include Type of service, quantity & unit price, if applicable) Enter Mileage Rate => 0.560	Mileage	Amount
01/30/2015	2125	GASOLINE		$ 9.42
02/01/2015	2125	GASOLINE		$ 16.45
02/02/2015	2110	MEALS		$ 48.35
02/02/2015	2125	GASOLINE		$ 22.16
02/02/2015	2120	CAR RENTAL		$ 614.21
02/02/2015	2135	TAXI/PARKING/TOLLS From: PARKING To: BWI		$ 132.00
02/03/2015	2110	MEALS		$ 55.78
				$ 0.00
				$ 0.00
				$ 0.00
				$ 0.00
				$ 0.00
				$ 0.00
				$ 0.00
				$ 0.00
		Total Mileage ►	0.0	
		Total Reimbursement ►		$ 898.37

I, the undersigned, state that the above expenses were approved and incurred personally as a result of the performance of my duties for the Member, Committee or Leadership Office, or for the House Officer that employs me.

SIGNED - STAFFER

APPROVED BY

CAO_0039

17-4790_0172

1/30/15 10:13:45 AM
Pump 4
Order Number: 6836312

Circle K #8841
968 E. Van Buren
Phoenix AZ 85003
(602)252-3183

Term :
720300087298102
Appr : 002040

Register:100 Tran Seq No: 6836312
Store No:2708841

Pay at Pump Sale
Pump # 4 UNL-REG
5.419 Gallons @ $1.739/Gal 9.42

Sub. Total:	9.42
Tax:	0.00
Total:	9.42
Discount Total:	0.00
Visa:	9.42
Change	0.00

Visa
Card Num : (S)
XXXXXXXXXXXX[redacted]

01/30/2015 10:13:35

I agree to pay the above Total Amount according to Card Issuer Agreement.

Circle K Rewards Hotline!
Call 1-800-728-9068 or 602-728-5495
Polar Pop $.79 every day! Any size!

Richard Oliver Schwab

SHELL
57442258208
4001 N PIMA RD.
SCOTTSDALE , AZ
85251
02/01/2015 535443501
11:27:01 AM

XXXX XXXX XXXX [redacted]
MASTERCARD

INVOICE 365981
AUTH 00158Z

PUMP# 6
UNLEADED 7.913G
PRICE/GAL $2.079

FUEL TOTAL $ 16.45

CREDIT $ 16.45

Fuel Rewards Bonus Offer from MasterCard & Shell - Save up to $0.60/gal or more! Terms & Conditions apply. Learn more at FuelRewards.com. Offer Ends 3/1/15.

Robert Alvin Schwab

SSP America
Noca Express
PHX Sky Harbor Airport
Terminal 4

3341 Mahalia

Chk 1648 Feb02'15 04:26P Gst 0

TO GO

6 San Pell Spkling	17.94
XXXXXXXXXXXX[redacted]	
Visa	19.43
NA Bev	17.94
Tax	1.49
Payment	19.43

Win an iPad Mini
Go to the website to tell us
about your visit and enter
our prize draw
See website for T&C
www.eatonthemove.com/US

LOCATION: 6302367

Or please call us 1 877 325 8777

SSP America
Noca Express
PHX Sky Harbor Airport
Terminal 4

Date:	Feb02'15 04:26PM
Card Type:	Visa
Acct #:	XXXXXXXXXXXX[redacted]
Card Entry:	SWIPED
Trans Type:	PURCHASE
Trans Key:	FIF003268618687
Auth Code:	09556D
Check:	1648
Server:	3341 Mahalia

Total 19.43

Win an iPad Mini
Go to the website to tell us
about your visit and enter
our prize draw
See website for T&C
www.eatonthemove.com/US

LOCATION: 6302367

Or please call us 1 877 325 8777

I agree to pay total according
to my card issuer agreement.
*** Customer Copy ***

Richard
Olivia
Schwab

HMSHOST
T4 S3 STARBUCKS COFFEE
SKY HARBOR INTERNATIONAL AIRPORT

301893 Venancia

CHK 7509 GST 1
FEB02'15 4:15PM

TO GO

1 CAPPUCCINO V 3.95

SUBTOTAL 3.95
TAX 0.33
AMOUNT PAID 4.28
XXXXXXXXXXXX[redacted]
VISA 4.28
--301893 Closed FEB02 04:15PM---

THANK YOU FOR YOUR BUSINESS!

TELL US ABOUT YOUR EXPERIENCE

JOHN RICHMOND
602-275-1721
JOHN.RICHMOND@HMSHOST.COM

Richard Olivia Schwab

HMSHOST
SAUCE
SKY HARBOR INTERNATIONAL AIRPORT

318096 Samantha

CHK 8942 GST 1
FEB02'15 2:52PM

DINE IN

1 PNNI MEATBALL	8.00
1 PIZ CYO	7.75
ONION CARAMEL	1.00
ADD CHIX SSG	1.00
ADD ARTICHOKE	1.00
ADD MOZZARELLA	1.00
1 WTR PELLG M	3.00
SUBTOTAL	22.75
TAX	1.89
AMOUNT PAID	24.64
XXXXXXXXXXXX[redacted]	
VISA	24.64

318096 Closed FEB02 02:53PM

THANK YOU FOR YOUR BUSINESS!

TELL US ABOUT YOUR EXPERIENCE

JOHN RICHMOND
602-275-1721
JOHN.RICHMOND@HMSHOST.COM

Your order number is: 8942

Richard
Olivia
Schwab

CAO_0044

17-4790_0177

Circle K #1702
4343 E Camelback Rd
Phoenix AZ 85081
(602)840-2639

SHELL
4343 E CAMELBACK RDA
PHOENIX AZ 85
Merch #: 57441826302
Appr: 01568D
Invoice #: 545648
PUMP# 04 CREDIT
UNL-REG @ $2.039/G
VOLUME 10.868 GAL

GAS TOTAL $22.16

TOTAL $22.16
VISA
XXXX XXXX XXXX [redacted]

SCHWAB/RICHARD

Join the Fuel
Rewards Network &
get a $0.20/gal
bonus after your
first fill-up.
Terms & Conditions
apply. Learn more at
fuelrewards.com.
Offer Ends 3/1/15.

02/02/2015 11:40:47

Richard
Alvin
Schwab

Alamo

RA 431570224 Bill 0
Rental 28-JAN-2016 08:59 PM
PHX SKY HARBOR INTL APPT
Return 02-FEB-2016 02:19 PM
PHX SKY HARBOR INTL APPT

Richard Oliver Schwab

RICHARD OLIVER SCHWAB
Vehicle # EL362240
Model FDCJA
Class Driven ICAR Class Charged ICAR
License# 211STC State/Province NM
MiKms Driven 168
MiKms Out 16340
MiKms In 16508

Charges	No Unit	Price	Amount
T & M	1 Week	428.58	428.58*
UNLIM MIKM	3 MiKms		0.00*
Freq Trav	0		7.50*
CUSTOMER FACILITY CHARGE			30.00*
CDF			48.82*
STADIUM SURCHARGE			19.05
MAINTENANCE FEE			3.60*
VLS			26.51
TPT @10.300 %			63.17
Total Charges			USD 614.21

Deposit Visa [redacted]

Amount Due USD 614.21

614.21

* Taxable Items
Subject to Audit
Frequent Flyer [redacted] Credit to
SOUTHWEST AIRLINES RAPID REWARDS
Customer Service Number 1-800-446-6654

B.W. : AIRPORT PARKING
MAIN TERMINAL GARAGE
MARYLAND PARKING

" SP plus Parking "

Rcpt# 01322
02/03/15 00:26 L#25 All 1 Txn#263781
01/28/15 14:54 In 02/03/15 00:26 Out
Tkt# 771139
Hourly $ 120.40
Total Tax $ 3.60
Total Fee $ 132.00
visa $ 132.00-
XXXXXXXXXXXX[redacted]
Approval No.:019810
Reference No.:00000827
Change Due $ 0.00
THANK YOU
HAVE A SAFE TRIP

Richard Oliver Schwab

Kitchen1 Table 21
Check 3 Guests 0
CARRYOUT 11:31 AM

PAD THAI
CHICKEN
MUSSAMAN CURRY
CHICKEN 5 75
CASHEW NUT
BEEF
PAD SEE-EW
CHICKEN

TALAY THAI RESTAURANT
406 FIRST STREET
WASHINGTON, DC 20003

TERMINAL ID.: 71652558
MERCHANT #: 090003182403

VISA SRV: 3
[redacted] EXP:[illegible] SWIPED
SALE
BATCH: 000396 INV: 000002
Feb 03, 15 11:52
RSN: 503416461601 AUTH: 07652D
TRAN SEQ #: 013489

TRANSACTION ID: 465034607741519

APPROVAL 07652D

SALE AMT $49.20

TIP $6.50

TOTAL $55.78

RICHARD SCHWARB

CUSTOMER COPY

Member / Constituent Meal
paid by Richard Olvin Schwarb

CHASE

CREDIT CARD ([redacted])

Account Info		Payment Info	
Current balance	$2,756.89	Balance last statement (01/14/2015)	$3,705.68
Pending charges	$189.33	Minimum payment due	$0.00
Available credit	$31,973.78	Payment due date	02/11/2015

Southwest Airlines Rapid Rewards® Premier

Temporary Authorizations

Trans Date	Type	Description	Amount
02/09/2015	Pending	UBER TECHNOLOGIES INC	$8.68
02/09/2015	Pending	7-ELEVEN 32909	$1.00
02/09/2015	Pending	CHRISTIE MEDICAL CLINI	$25.00
02/08/2015	Pending	ALAMO RENT A CAR	$77.32
02/07/2015	Pending	ALAMO RENT A CAR	$77.33

Posted Activity

Since Last Statement

Trans Date	Post Date	Type	Description	Amount
02/08/2015	02/09/2015	Sale	APL* ITUNES.COM/BILL	$3.99
02/07/2015	02/08/2015	Payment	Payment Thank You Image C	-$2,010.64
02/07/2015	02/08/2015	Payment	Payment Thank You Check	-$7,325.00
02/07/2015	02/08/2015	Payment	Payment Thank You Image C	-$646.44
02/07/2015	02/08/2015	Payment	Payment Thank You Image C	-$3,288.01
02/07/2015	02/09/2015	Sale	SHELL OIL 57544920002	$20.40
02/07/2015	02/09/2015	Sale	ALAMO RENT-A-CAR	$258.66
02/07/2015	02/08/2015	Return	APL* ITUNES.COM/BILL	-$3.99
02/07/2015	02/08/2015	Payment	Payment Thank You Image C	-$554.98
02/06/2015	02/08/2015	Sale	UBER TECHNOLOGIES INC	$23.00

Trans Date	Post Date	Type	Description	Amount
02/06/2015	02/08/2015	Sale	SOUTHWES 5262481119371	$39.00
02/06/2015	02/08/2015	Return	SOUTHWES 5262481119371	-$39.00
02/05/2015	02/06/2015	Sale	HUSDON NEWS	$6.37
02/05/2015	02/06/2015	Sale	ALAMO RENT-A-CAR	$45.99
02/05/2015	02/08/2015	Sale	INFLIGHT US AIRWAYS	$4.99
02/05/2015	02/06/2015	Sale	DPQ PLUS LLP	$6.94
02/05/2015	02/06/2015	Sale	STARBUCKS 411434 PHOENIX	$4.28
02/05/2015	02/05/2015	Sale	APL*ITUNES.COM/BILL	$21.97
02/05/2015	02/08/2015	Sale	KARAIKUDI PALACE	$26.57
02/05/2015	02/08/2015	Sale	AZCENTRAL COM THE NEWS	$16.01
02/05/2015	02/05/2015	Sale	1-800-FLOWERS.COM,INC.	$34.98
02/05/2015	02/06/2015	Sale	ANNAPOLIS HISTORIC INNS	$123.17
02/04/2015	02/04/2015	Payment	Payment Thank You - Web	-$1,753.44
02/03/2015	02/03/2015	Sale	Amazon.com	$22.76
02/03/2015	02/05/2015	Sale	TALAY THAI RESTAURANT 2/3 Friends	$55.78
02/03/2015	02/05/2015	Sale	SP PLUS PARKING 2/3 Parking	$132.00
02/03/2015	02/04/2015	Sale	HILTONGARDENINN3769	$178.56
02/03/2015	02/04/2015	Sale	APL*ITUNES.COM/BILL	$4.99
02/02/2015	02/03/2015	Sale	BENIHANA SO 613	$351.47
02/02/2015	02/04/2015	Sale	SAUCE T4 LOBBY30033245 2/2 Meal	$24.64
02/02/2015	02/04/2015	Sale	STARBUCKS T4 S30031603 2/2 Meal	$4.28
02/02/2015	02/04/2015	Sale	SHELL OIL 57441826302 2/2 Fuel	$22.16
02/02/2015	02/04/2015	Sale	PHX NOGAWICH EXPRESS 2/2 Meal	$19.43
02/02/2015	02/02/2015	Payment	Payment Thank You-Mobile	-$600.00
02/02/2015	02/04/2015	Sale	ALAMO RENT-A-CAR 2/2 Rental Car	$614.21
02/02/2015	02/03/2015	Sale	Amazon Video On Demand	$2.99
02/01/2015	02/02/2015	Sale	EVENT TICKET INSURANCE	$13.98
02/01/2015	02/02/2015	Sale	TM*ARIZONA THEATRE CO	$123.60
02/01/2015	02/03/2015	Sale	HYATT HOTELS F&B	$185.67
02/01/2015	02/03/2015	Sale	ARCO AM/PM SCOTTSDALE	$38.10
01/31/2015	02/01/2015	Sale	SOUTHWES 5262479143748	$5.60
01/31/2015	02/01/2015	Sale	AT&T*BILL PAYMENT	$330.44

Trans Date	Post Date	Type	Description	Amount
01/31/2015	02/01/2015	Payment	Payment Thank You-Mobile	-$1,701.64
01/30/2015	02/01/2015	Sale	CIRCLE K 08841 1/30 Fuel	$9.42
01/30/2015	02/01/2015	Sale	REI 56 PHOENIX	$104.51
01/30/2015	02/01/2015	Sale	STARBUCKS #11084 FOUNTAIN	$7.30
01/30/2015	02/01/2015	Sale	LONDON GOLD	$3,288.01
01/29/2015	01/30/2015	Sale	STARBUCKS #15060 SCOTTSDA	$19.76
01/29/2015	01/30/2015	Sale	V S BARBERSHOP KIERLAND	$100.00
01/29/2015	02/01/2015	Sale	KARAIKUDI PALACE	$31.86
01/29/2015	02/01/2015	Sale	CHICK-FIL-A #03246	$14.12
01/28/2015	01/29/2015	Sale	SOUTHWES 5262478247036	$11.20
01/28/2015	01/29/2015	Sale	SOUTHWES 5262478248215	$11.20
01/28/2015	01/29/2015	Sale	PANDORA*INTERNET RADIO	$54.89
01/28/2015	01/30/2015	Sale	USAIRWAYS 0372183018080	$78.00
01/27/2015	01/28/2015	Sale	HILTONGARDENINN3769	$4,027.07
01/27/2015	01/28/2015	Sale	LE REFUGE	$226.52
01/27/2015	01/27/2015	Sale	UBER TECHNOLOGIES INC	$158.20
01/27/2015	01/27/2015	Payment	Payment Thank You - Web	-$98.07
01/26/2015	01/27/2015	Sale	POTBELLY 140	$162.62
01/25/2015	01/27/2015	Sale	PHX NOCAWICH EXPRESS	$12.95
01/25/2015	01/26/2015	Sale	NTL FOOTBALL LEAGU	$3,825.00
01/25/2015	01/26/2015	Sale	ALAMO RENT-A-CAR	$509.63
01/25/2015	01/27/2015	Sale	STARBUCKS T4 S30031603	$5.89
01/25/2015	01/25/2015	Payment	Payment Thank You - Web	-$659.90
01/24/2015	01/25/2015	Sale	UBER TECHNOLOGIES INC	$25.00
01/24/2015	01/26/2015	Sale	DUNKIN #348308 Q35	$91.83
01/24/2015	01/26/2015	Sale	DUNKIN #349308 Q35	$91.83
01/24/2015	01/26/2015	Sale	SOUTHWES 5262477342097	$150.20
01/24/2015	01/25/2015	Sale	CHIPOTLE 0041	$8.99
01/24/2015	01/26/2015	Sale	DUNKIN #349308 Q35	$91.83
01/24/2015	01/26/2015	Sale	DUNKIN #349308 Q35	$55.10
01/24/2015	01/25/2015	Sale	STARBUCKS #05328 PHOENIX	$9.91
01/23/2015	01/26/2015	Sale	DUNKIN #348404 Q35	$388.62

Posted transactions

TRANSACTION DATE	DESCRIPTION	PURCHASES	CREDITS	REWARDS EARNED
02/05/15	ARC Payment Received		-140.00	
02/05/15	ARC Payment Received		-469.97	
02/04/15	USAIRWAY 03723890180573	160.10		320
02/03/15	USAIRWAY 03723897625490	25.00		50
02/03/15	USAIRWAY 03723897625486	200.00		400
02/03/15	USAIRWAY 03723897624930	83.87		168
02/03/15	MLAHART CO	674.95		675
02/02/15	USAIRWAY 03723895770770	200.00		400
02/02/15	USAIRWAY 03721830698025	78.00		156
02/02/15	USAIRWAY 03721830697992	90.00		180
02/02/15	USAIRWAY 03723895770534	421.49		842
02/01/15	SHELL OIL 5744225820PS	16.45	2/1 fuel	16
01/30/15	FROM THE FARMER LLC	140.00		140
TOTAL (Since last statement)		2,089.86	-609.97	3,347

Name and Address

SCHWAB, OLIVIER
[redacted]

Confirmation # 3176203751

02/02/15 PAGE 3

Hilton Garden Inn

HILTON GARDEN INN SCOTTSDALE

Room 341/02
Arrival Date 01/28/15
Departure Date 02/02/15

Adult/Child 2/0
Room Rate $0.00

Rate Plan V-LV0
Hilton Honors # [redacted]
Airline:

Hotel Address

8550 EAST PRINCESS DRIVE
SCOTTSDALE, AZ 85255-5469

Reservations
www.hiltongardeninn.com or
1-877-STAY-HGI

DATE	REFERENCE	DESCRIPTION	AMOUNT
01/29/15	965028	*GREAT AMERICAN GRILL	$28.64
~~01/29/15~~	~~965076~~	~~VALET LAUNDRY~~	~~$32.00~~
01/30/15	965266	*GREAT AMERICAN GRILL	$26.54
01/31/15	965572	*GREAT AMERICAN GRILL	$57.28
01/31/15	965656	*GREAT AMERICAN GRILL	$20.93
02/01/15	966077	PAVILION PANTRY	$8.80
02/01/15	966077	SALES TAX	$0.70
02/01/15	966078	PAVILION PANTRY	$2.92
02/01/15	966078	SALES TAX	$0.18
02/02/15	966478	[redacted]	($178.66)
		** BALANCE **	$0.00

$178.66
- 32.00
146.66

The on-line eFolio is a courtesy informational service, subject to Privacy Policy and Site Usage, edited from in-hotel records.

CHASE

CREDIT CARD ([redacted])

Account Info		Payment Info	
Current balance	$15,800.85	Balance last statement (01/14/2015)	$3,705.68
Pending charges	$467.60	Minimum payment due	$0.00
Available credit	$18,731.55	Payment due date	02/11/2015
Southwest Airlines Rapid Rewards® Premier			

Temporary Authorizations

Trans Date	Type	Description	Amount
02/05/2015	Pending	KARAKUDI PALACE	$21.57
02/05/2015	Pending	STARBUCKS #17434 PHOEN	$4.28
02/05/2015	Pending	US AIRWAYS	$4.99
02/05/2015	Pending	ALAMO RENT A CAR	$45.99
02/05/2015	Pending	HUDSON NEWS	$6.37
02/05/2015	Pending	UBER TECHNOLOGIES INC	$23.00
02/04/2015	Pending	ANNAPOLIS HISTORIC INNS	$123.17
02/04/2015	Pending	APL* ITUNES.COM/BILL	$21.97
02/04/2015	Pending	1-800-FLOWERS.COM,INC	$34.98
02/03/2015	Pending	TALAY THAI RESTAURANT	$49.28
02/03/2015	Pending	SP PLUS PARKING	$132.00

Posted Activity

Since Last Statement

	Trans Date	Post Date	Type	Description	Amount
☐	02/04/2015	02/04/2015	Payment	Payment Thank You - Web	-$1,753.44
☐	02/03/2015	02/03/2015	Sale	Amazon.com	$22.76
☐	02/03/2015	02/04/2015	Sale	HILTONGARDENINN3769	$178.56
☐	02/03/2015	02/04/2015	Sale	APL* ITUNES.COM/BILL	$4.99

$32.00
$146.56

	Trans Date	Post Date	Type	Description	Amount
☐	02/02/2015	02/04/2015	Sale	SAUCE T4 LOBBY30033245	$24.64
☐	02/02/2015	02/04/2015	Sale	STARBUCKS T4 S30031603	$4.28
☐	02/02/2015	02/04/2015	Sale	SHELL OIL 57441826302	$22.16
☐	02/02/2015	02/04/2015	Sale	PHX NOCAWICH EXPRESS	$19.43
☐	02/02/2015	02/04/2015	Sale	ALAMO RENT-A-CAR	$614.21
☐	02/02/2015	02/02/2015	Payment	Payment Thank You-Mobile	-$600.00
☐	02/02/2015	02/03/2015	Sale	BENIHANA SO 613	$351.47
☐	02/02/2015	02/03/2015	Sale	Amazon Video On Demand	$2.99
☐	02/01/2015	02/02/2015	Sale	EVENT TICKET INSURANCE	$13.98
☐	02/01/2015	02/02/2015	Sale	TM *ARIZONA THEATRE CO	$123.60
☐	02/01/2015	02/03/2015	Sale	HYATT HOTELS F&B	$185.67
☐	02/01/2015	02/03/2015	Sale	ARCO AM/PM SCOTTSDALE	$38.10
☐	01/31/2015	02/01/2015	Sale	AT&T*BILL PAYMENT	$330.44
☐	01/31/2015	02/01/2015	Sale	SOUTHWES 5262479143748	$5.60
☐	01/31/2015	02/01/2015	Payment	Payment Thank You-Mobile	-$1,701.64
☐	01/30/2015	02/01/2015	Sale	STARBUCKS #11084 FOUNTAIN	$7.30
☐	01/30/2015	02/01/2015	Sale	LONDON GOLD	$3,288.01
☐	01/30/2015	02/01/2015	Sale	CIRCLE K 08841	$9.42
☐	01/30/2015	02/01/2015	Sale	REI 58 PHOENIX	$104.51
☐	01/29/2015	01/30/2015	Sale	STARBUCKS #15050 SCOTTSDA	$19.76
☐	01/29/2015	01/30/2015	Sale	V S BARBERSHOP KERLAND	$100.00
☐	01/29/2015	02/01/2015	Sale	KARAKUDI PALACE	$31.86
☐	01/29/2015	02/01/2015	Sale	CHICK-FIL-A #03246	$14.12
☐	01/28/2015	01/29/2015	Sale	PANDORA*INTERNET RADIO	$54.88
☐	01/28/2015	01/29/2015	Sale	SOUTHWES 5262478247038	$11.20
☐	01/28/2015	01/30/2015	Sale	USAIRWAYS 0372183018080	$78.00
☐	01/28/2015	01/29/2015	Sale	SOUTHWES 5262478248215	$11.20
☐	01/27/2015	01/28/2015	Sale	HILTONGARDENINN3769	$4,027.07
☐	01/27/2015	01/28/2015	Sale	LE REFUGE	$226.52
☐	01/27/2015	01/27/2015	Sale	UBER TECHNOLOGIES INC	$159.20
☐	01/27/2015	01/27/2015	Payment	Payment Thank You - Web	-$98.07
☐	01/26/2015	01/27/2015	Sale	POTBELLY 140	$162.62

Name and Address

SCHWAB, OLIVIER
[redacted]

Confirmation # 3176203751

02/02/15 PAGE 1

Hilton Garden Inn

HILTON GARDEN INN SCOTTSDALE

Room	341/Q2
Arrival Date	01/28/15
Departure Date	02/02/15
Adult/Child	2/0
Room Rate	$0.00
Rate Plan	V-LV0
HHonors #	[redacted]
Airline:	

Hotel Address

8559 EAST PRINCESS DRIVE
SCOTTSDALE, AZ 85255-5469

Reservations
www.hiltongardeninn.com or
1-877-STAY-HGI

DATE	REFERENCE	DESCRIPTION	AMOUNT
01/28/15	964377	[redacted]	($4027.07)
01/28/15	964992	GUEST ROOM	$339.00
01/28/15	964992	STATE TAX	$18.65
01/28/15	964992	SCOTTSDALE BED TAX	$16.95
01/28/15	964992	COUNTY TAX	$6.00
01/28/15	964992	CITY TAX	$5.59
01/29/15	965224	GUEST ROOM	$339.00
01/29/15	965224	STATE TAX	$18.65
01/29/15	965224	SCOTTSDALE BED TAX	$16.95
01/29/15	965224	COUNTY TAX	$6.00
01/29/15	965224	CITY TAX	$5.59
01/30/15	965457	GUEST ROOM	$339.00
01/30/15	965457	STATE TAX	$18.65
01/30/15	965457	SCOTTSDALE BED TAX	$16.95
01/30/15	965457	COUNTY TAX	$6.00
01/30/15	965457	CITY TAX	$5.59
01/31/15	965797	GUEST ROOM	$339.00
01/31/15	965797	STATE TAX	$18.65
01/31/15	965797	SCOTTSDALE BED TAX	$16.95
01/31/15	965797	COUNTY TAX	$6.00
01/31/15	965797	CITY TAX	$5.59
02/01/15	966229	GUEST ROOM	$339.00
02/01/15	966229	STATE TAX	$18.65
02/01/15	966229	SCOTTSDALE BED TAX	$16.95
02/01/15	966229	COUNTY TAX	$6.00

The on-line eFolio is a courtesy informational service, subject to Privacy Policy and Site Legals; actual folio kept in hotel records.

Name and Address

SCHWAB, OLIVIER
[redacted]

Confirmation # 3176203751

02/02/15 PAGE 2

Hilton Garden Inn

HILTON GARDEN INN SCOTTSDALE

Room 341/D2
Arrival Date 01/26/15
Departure Date 02/02/15

Adult/Child 2/0
Room Rate $0.00

Rate Plan V-LV0
Hilton Honors #
Airline: [redacted]

Hotel Address

8550 EAST PRINCESS DRIVE
SCOTTSDALE, AZ 85255-5469

Reservations
www.hiltongardeninn.com or
1-877-STAY-HGI

DATE	REFERENCE	DESCRIPTION	AMOUNT
02/01/15	966229	CITY TAX	$5.59
02/02/15	966460	GUEST ROOM	$1839.99
02/02/15	966469	STATE TAX	$101.20
02/02/15	966480	SCOTTSDALE BED TAX	$92.00
02/02/15	966480	COUNTY TAX	$32.57
02/02/15	966480	CITY TAX	$30.36
		** BALANCE **	$0.00

The on-line folio is a courtesy informational service, subject to Privacy Policy and Site Usage, actual folio kept in hotel records.

O'Connor, Mary

From: Schwab, Oliver
Sent: Thursday, February 05, 2015 3:58 PM
To: O'Connor, Mary
Subject: FW: Scanned image from DOSHARPMX
Attachments: AZ05DOPrinter@mail.house.gov_20150205_143403.pdf

Mary,

Attached, please see the itemization for the $4,027.07 in a clean bill from the Hilton website. Additionally, if you could add the additional $146.56 in hotel/meal charges, that is on page 3.

Appreciate your catching this Mary. You're awesome!

Also--I'm pre-booking this same hotel for the Phoenix Open week next year so that we get a normal rate and not the rate once they're in room-block central.

Please confirm receipt.

Oliver

_____
Oliver Schwab
Chief of Staff
Congressman David Schweikert

-----Original Message-----
From: AZ05DOPrinter@mail.house.gov [mailto:AZ05DOPrinter@mail.house.gov]
Sent: Thursday, February 05, 2015 12:34 PM
To: Schwab, Oliver
Subject: Scanned image from DOSHARPMX

Reply to: AZ05DOPrinter@mail.house.gov <AZ05DOPrinter@mail.house.gov> Device Name: Not Set Device Model: MX-C402SC
Location: Not Set

File Format: PDF MMR(G4)
Resolution: 200dpi x 200dpi

Attached file is scanned image in PDF format.
Use Acrobat(R)Reader(R) or Adobe(R)Reader(R) of Adobe Systems Incorporated to view the document.
Adobe(R)Reader(R) can be downloaded from the following URL:
Adobe, the Adobe logo, Acrobat, the Adobe PDF logo, and Reader are registered trademarks or trademarks of Adobe Systems Incorporated in the United States and other countries.

http://www.adobe.com/

Name and Address

SCHWAB, OLIVIER
[redacted]

Confirmation # 3176203751

02/02/15 PAGE 3

Hilton Garden Inn

HILTON GARDEN INN SCOTTSDALE

Room	341/Q2
Arrival Date	01/28/15
Departure Date	02/02/15
Adult/Child	2/0
Room Rate	$0.00
Rate Plan	V-LV0
HHonors #	[redacted]
Airline:	

Hotel Address

8550 EAST PRINCESS DRIVE
SCOTTSDALE, AZ 85255-5469

Reservations
www.hiltongardeninn.com or
1-877-STAY-HGI

DATE	REFERENCE	DESCRIPTION	AMOUNT
01/29/15	965028	*GREAT AMERICAN GRILL	$28.64
~~01/29/15~~	~~965076~~	~~VALET LAUNDRY~~	~~$32.00~~
01/30/15	965206	*GREAT AMERICAN GRILL	$28.64
01/31/15	965572	*GREAT AMERICAN GRILL	$57.28
01/31/15	965656	*GREAT AMERICAN GRILL	$20.00
02/01/15	966077	PAVILION PANTRY	$8.80
02/01/15	966077	SALES TAX	$0.70
02/01/15	966078	PAVILION PANTRY	$2.32
02/01/15	966078	SALES TAX	$0.18
02/02/15	966478	**********[redacted]	($178.56)
		** BALANCE **	$0.00

$178.56
-32.00
146.56

The on-line eFolio is a courtesy informational service, subject to Privacy Policy and Site Usage, actual folio kept in hotel records.

CAO_0059

17-4790_0192

CHASE

CREDIT CARD ([redacted])

Account Info		Payment Info	
Current balance	$15,800.85	Balance last statement (01/14/2015)	$3,705.68
Pending charges	$467.60	Minimum payment due	$0.00
Available credit	$18,731.55	Payment due date	02/11/2015

Southwest Airlines Rapid Rewards® Premier

Temporary Authorizations

Trans Date	Type	Description	Amount
02/05/2015	Pending	KARAKUDI PALACE	$21.57
02/05/2015	Pending	STARBUCKS #11434 PHOEN	$4.28
02/05/2015	Pending	US AIRWAYS	$4.99
02/05/2015	Pending	ALAMO RENT A CAR	$45.99
02/05/2015	Pending	HUDSON NEWS	$6.37
02/05/2015	Pending	UBER TECHNOLOGIES INC	$23.00
02/04/2015	Pending	ANNAPOLIS HISTORIC INNS	$123.17
02/04/2015	Pending	APL* ITUNES.COM/BILL	$21.97
02/04/2015	Pending	1-800-FLOWERS.COM,INC	$34.96
02/03/2015	Pending	TALAY THAI RESTAURANT	$49.26
02/03/2015	Pending	SP PLUS PARKING	$132.00

Posted Activity

Since Last Statement

	Trans Date	Post Date	Type	Description	Amount
☐	02/04/2015	02/04/2015	Payment	Payment Thank You - Web	-$1,753.44
☐	02/03/2015	02/03/2015	Sale	Amazon.com	$22.78
☐	02/03/2015	02/04/2015	Sale	HILTONGARDENINN3769	$178.56
☐	02/03/2015	02/04/2015	Sale	APL* ITUNES.COM/BILL	$4.99

$32.00 / $146.56

	Trans Date	Post Date	Type	Description	Amount
☐	02/02/2015	02/04/2015	Sale	SAUCE T4 LOBBY30033245	$24.64
☐	02/02/2015	02/04/2015	Sale	STARBUCKS T4 S30031603	$4.28
☐	02/02/2015	02/04/2015	Sale	SHELL OIL 57441826302	$22.16
☐	02/02/2015	02/04/2015	Sale	PHX NOCAWICH EXPRESS	$19.43
☐	02/02/2015	02/04/2015	Sale	ALAMO RENT-A-CAR	$614.21
☐	02/02/2015	02/02/2015	Payment	Payment Thank You-Mobile	-$600.00
☐	02/02/2015	02/03/2015	Sale	BENIHANA SO 613	$351.47
☐	02/02/2015	02/03/2015	Sale	Amazon Video On Demand	$2.99
☐	02/01/2015	02/02/2015	Sale	EVENT TICKET INSURANCE	$13.98
☐	02/01/2015	02/02/2015	Sale	TM *ARIZONA THEATRE CO	$123.60
☐	02/01/2015	02/03/2015	Sale	HYATT HOTELS F&B	$185.67
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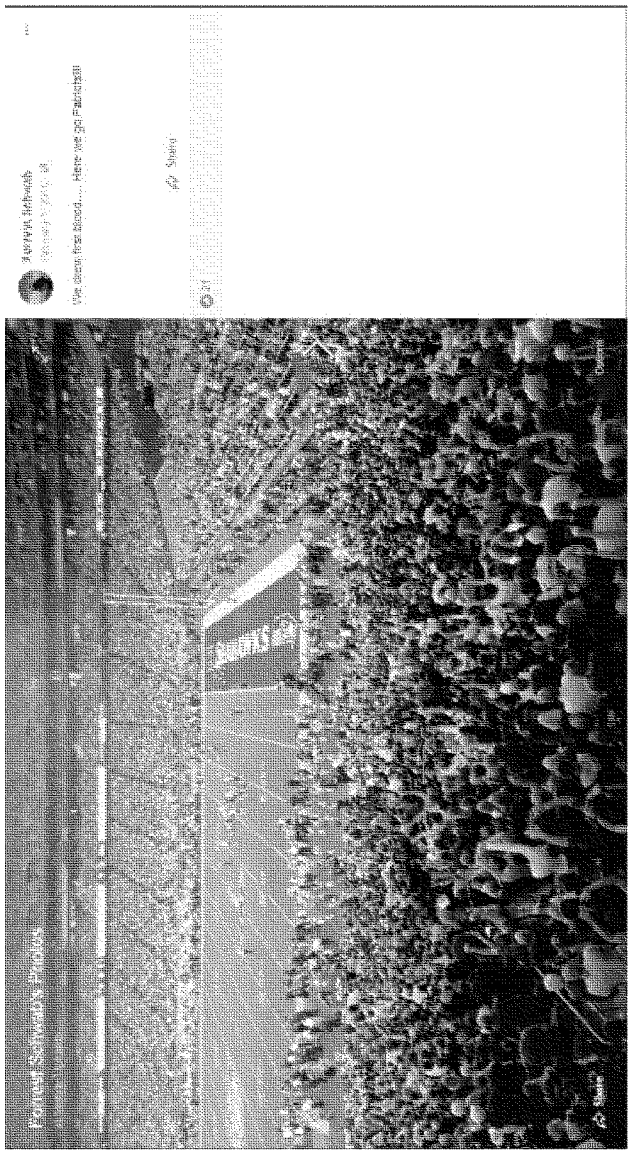
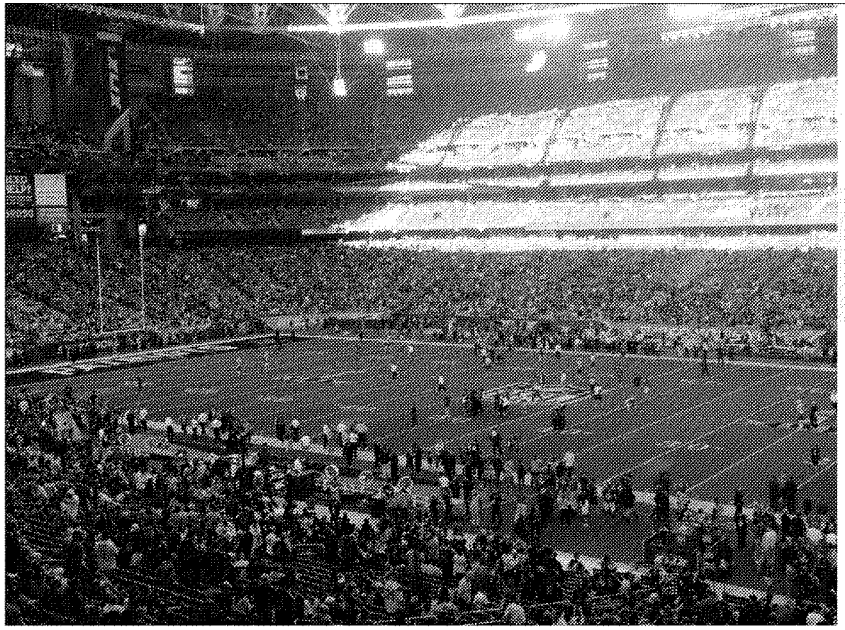
☐	01/31/2015	02/01/2015	Sale	AT&T*BILL PAYMENT	$330.44
☐	01/31/2015	02/01/2015	Sale	SOUTHWES 5262479143748	$5.60
☐	01/31/2015	02/01/2015	Payment	Payment Thank You-Mobile	-$1,701.64
☐	01/30/2015	02/01/2015	Sale	STARBUCKS #11084 FOUNTAIN	$7.30
☐	01/30/2015	02/01/2015	Sale	LONDON GOLD	$3,288.01
☐	01/30/2015	02/01/2015	Sale	CIRCLE K 08841	$9.42
☐	01/30/2015	02/01/2015	Sale	RE156 PHOENIX	$104.51
☐	01/29/2015	01/30/2015	Sale	STARBUCKS #15050 SCOTTSDA	$19.76
☐	01/29/2015	01/30/2015	Sale	V S BARBERSHOP KERLAND	$100.00
☐	01/29/2015	02/01/2015	Sale	KARAIKUDI PALACE	$31.86
☐	01/29/2015	02/01/2015	Sale	CHICK-FIL-A #03246	$14.12
☐	01/28/2015	01/29/2015	Sale	PANDORA*INTERNET RADIO	$54.89
☐	01/28/2015	01/29/2015	Sale	SOUTHWES 5262478247036	$11.20
☐	01/28/2015	01/30/2015	Sale	USAIRWAYS 0372183016080	$78.00
☐	01/28/2015	01/29/2015	Sale	SOUTHWES 5262478248215	$11.20
☐	01/27/2015	01/28/2015	Sale	HILTONGARDENINN3769	$4,027.07
☐	01/27/2015	01/28/2015	Sale	LE REFUGE	$226.52
☐	01/27/2015	01/27/2015	Sale	UBER TECHNOLOGIES INC	$156.20
☐	01/27/2015	01/27/2015	Payment	Payment Thank You - Web	-$98.07
☐	01/26/2015	01/27/2015	Sale	POTBELLY 140	$162.62

EXHIBIT 7


Forrest Schwab's Photos
We dress first blood.... Here we go Patriots!!!
Share

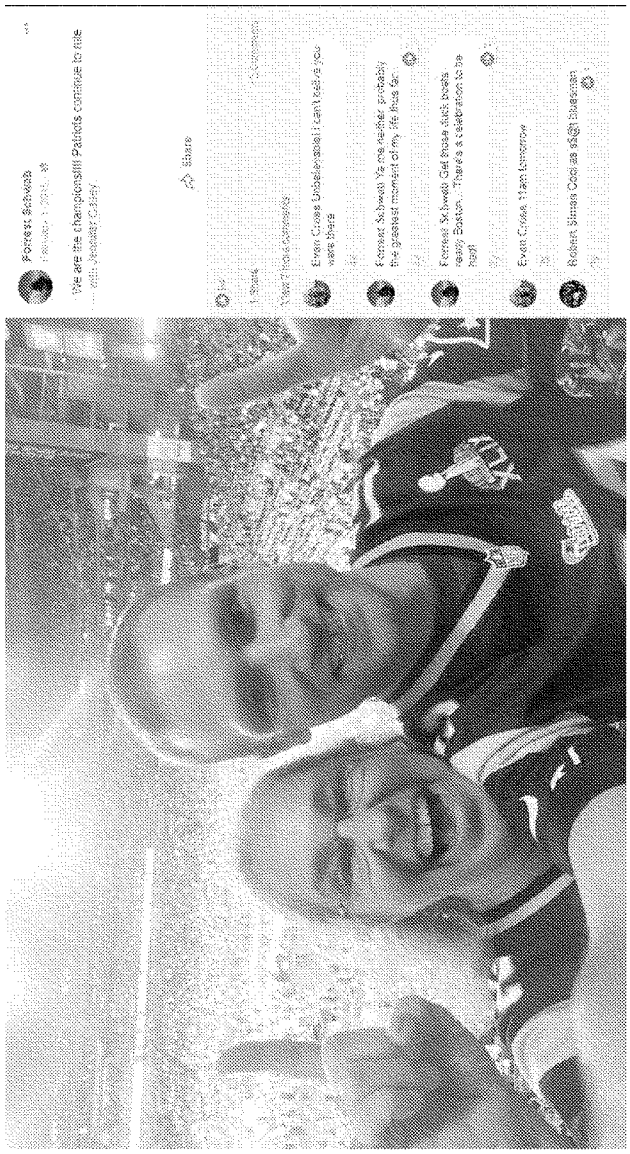


Forrest Schwab
February 1, 2015 at 8:

Pats are on he field warming up....and so it begins!

Share

Ryan McManus Keep posting pics!!! Have so much fun! Mom wants to know if Jennifer Casey cried as hard as she did during the anthem!?


Forrest Schwab
We are the championsIII Patriots continue to rule
Share
Even Cross Unbelievable! I can't believe you were there
Forrest Schwab Ya me neither probably the greatest moment of my life thus far.
Forrest Schwab Get those duck boats ready Boston... There's a celebration to be had!
Even Cross 11am tomorrow
Robert Simas Coolies s$@t Bluesman

Forrest Schwab's Photos
UNIVERSITY OF PHOENIX
Forrest Schwab
We have arrived. GO PATS!!! ... with Jennifer Conley
Share
Sina Leonard Go Hawks!!! Hope you have a best!!!
Forrest Schwab Hawks are going down! Sina Leonard
Pam W Dunnen Have a blast.

forrestschwab • Follow

forrestschwab #doyourjob #patriotsnation LETS GOOOOOO!!!!!
Throwback to our #superbowl49 victory with my main lady @jcasey57 where #tombrady and the #newenglandpatriots proved once again they are number 1!! #patriots #tb12 #thegoat #superbowl50bound #broncobeatdown #poorpeyton #tombradythegoat

jcasey57 Come on boys! Let's do it again!!!!

48 likes

January 04, 2016

Add a comment...

EXHIBIT 8

OConnor, Mary

From: O'Connor, Mary
Sent: Monday, January 26, 2015 11:21 AM
To: Schwab, Oliver
Cc: Brunson, Beau
Subject: RE: Travel budget for the balance of the month

This message has been archived. View the original item

Um, snakes anywhere give me the willies.

From: Schwab, Oliver
Sent: Monday, January 26, 2015 11:20 AM
To: O'Connor, Mary
Cc: Brunson, Beau
Subject: Re: Travel budget for the balance of the month

Some of these are broken into 2 or 3 trips per month, but it's not like I'm camping out there for extended periods until the April/March then Sept/Oct again.

No real camping. Snakes in Arizona give me the Willies!

On Mon, Jan 26, 2015 at 11:18 AM, O'Connor, Mary <[redacted]> wrote:

This will help me immensely. Thank you.

From: Schwab, Oliver
Sent: Monday, January 26, 2015 11:17 AM
To: O'Connor, Mary
Cc: Brunson, Beau
Subject: Re: Travel budget for the balance of the month

Perfect. I'm only in Arizona:

Feb only 1 day
March 3 days
April 8 days
May 4 days
June 6 days
July 4 days
August ??
Sept 10 days EST
Oct 10 days EST
November 20 days EST
December 6 days EST

I think we're good on budget now that you mention it.

On Mon, Jan 26, 2015 at 10:53 AM, O'Connor, Mary
<[redacted]<mailto:[redacted]>> wrote:
I only added $500 total for the mileage (which is more than I need to). Given that you spent only $6,000 on mileage last year, adding an extra $1,500 when there is a 1.5 cent increase/mile is excessive. As for the $10,000, while the Big Game does not happen frequently, you mentioned that you normally do more travel in January. How about only adding $5K and readjusting as we hit mid-year? That change would put us right about $10K buffer. Now, I expect that that the salary number will go down (given our conversation last week), but I'm not touching that yet. I'd rather over budget and be happy than risk being budget poor.

From: Schwab, Oliver
Sent: Saturday, January 24, 2015 5:40 PM
To: O'Connor, Mary; Brunson, Beau
Subject: Travel budget for the balance of the month

Mary and Beau,

Just so you guys don't have a heart attack, I wanted to give a heads up that my trip out next week will end up being about $4,000 for the hotel and about $1,000 for the rental car. Being Phoenix Open and Superbowl weekend in Phoenix, I apologize about this--but it's a priority for David that I'm on ground to help as we host events with the House Majority Leader, other visiting Members, and I've got those 2 personel issues we need to get out of the way.

As a side note, Beau--I also just lined up David and the McCarthy to go to Express Scripts on Friday at 3:30!

February and March, I do not anticipate as much travel to district as I've had this month (4 times). It's just the nature of our Januaries and the constituent coffees we host on the weekends that it's always been like this. A very heavy budget for the first month of the year.

That said, I wanted to make sure we had properly budgeted for this in our numbers. It may make sense to add another $10,000 onto travel, Mary--just so we don't have any surprises. Also, based on your feedback earlier this week, I would also like to increase the mileage reimbursement budget maybe by another $250 each month. I don't want us to be caught off guard.

Thanks all and hope this is clear enough.

Oliver

--
Oliver Schwab

--
Oliver Schwab

--

EXHIBIT 9

HOLTZMANVOGELJOSEFIAKTORCHINSKY PLLC
Attorneys at Law
45 North Hill Drive • Suite 100 • Warrenton, VA 20186

January 29, 2018

VIA ELECTRONIC MAIL
Paul Solis, Deputy Chief Counsel
Office of Congressional Ethics
U.S. House of Representatives
425 3rd St. SW, Suite 1110
Washington, DC 20024

Re: Representative David Schweikert/Continued Cooperation
(Review No. 17-4790)

Dear Mr. Solis:

Please accept this correspondence on behalf of Mr. Schweikert as his formal response to the Office of Congressional Ethics' Request for Information ("RFI") with respect to the above-reference review. We respectfully request this letter be submitted to the Board.

First and foremost, although as Mr. Brown of your office clarified that Mr. Schweikert was not the subject of this review, Mr. Schweikert takes this matter very seriously. And he continues to endeavor to be cooperative.

The RFI asks for an enormous amount of information covering both the official House office and campaign operations. In short, given the delayed notice of review and the year-end calendar, the actual amount of time to respond to the RFI was significantly reduced. We appreciate the time constraints inherent in H. Res. 895 of the 110th Congress. Frankly, however, the RFI does not provide a sufficient amount of time to retrieve, evaluate, and produce it all.

It bears repeating the Board commenced this matter on November 16, 2017. Mr. Schweikert received the notice of review on November 27, 2017 (the date of the letter). *Consequently, eleven (11) days of the thirty (30) day preliminary review period already elapsed before notification to Mr. Schweikert*. That left nineteen (19) days to evaluate the nature of the review and the RFI.

As mentioned in our email of December 22nd, the period from November 27, 2017 through the end of the First Session of this Congress was one of the most intense legislative periods in recent history due to comprehensive tax reform and the funding bill (among other "must do" year end legislation). Mr. Schweikert is a member of the Committee on Ways & Means, which as you know, is the tax writing committee. That placed an even greater workload on him and his staff during this time. In addition, the second-phase review period coincided with the year end holiday season which further complicated the response process.

We informed you that the campaign committee recently changed compliance firms to assist with the response to the RFI. That was due in large part to issues brought to the campaign's attention in

the RFI. The new compliance firm simultaneously instituted a review of all compliance standards to ensure best practices are followed and any errors and irregularities are corrected as part of this process.

It has become clear during the review process that a number of remedial measures need to be instituted. Mr. Schweikert, this firm and the new compliance firm has strived to move as quickly as possible.

However, reviewing records to determine how the prior compliance firm processed, paid, recorded and reported disbursements by the campaign requested in the RFI has taken more time than originally anticipated. Consequently, the process of identifying the extent of the unwinding and remediating continues, and it will likely continue beyond January 29, 2018. As understood from your letter dated December 15, 2017, the second-phase review will terminate on January 29th, unless extended by the Board.

As part of Mr. Schweikert's continuing cooperation in this matter, Ethics Committee staff has been contacted, and a self-initiated review of this matter by that Committee has occurred. Mr. Schweikert's House office staff and campaign staff will continue to work and cooperate diligently with the Ethics Committee as that process unfolds. That will include, but not be limited to, not only resolving the issues raised by the RFI but also ensuring the remedial measures are satisfactory to the Ethics Committee. Additionally, the Ethics Committee staff will be asked to review and evaluate all House office and campaign practices to make sure they fully compliant with House Rules.

I can tell you that as a good faith effort in that regard, and out of an abundance of caution, Oliver Schwab will repay out of his personal funds the cost of the Arizona Trip originally paid with MRA funds. Also, if it is determined any further steps are warranted, such measures shall be taken in compliance with applicable laws.

We appreciate your understanding. Upon review, if you have any questions, please do not hesitate to contact me.

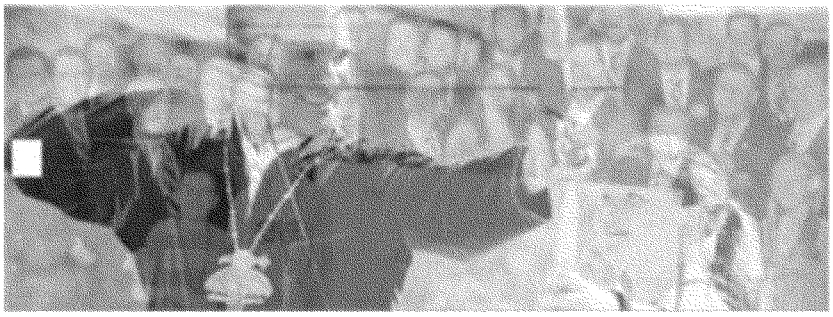
Very truly yours,

Jason Torchinsky
Counsel to Mr. Schweikert

Cc: Hon. David Schweikert
Tom Rust, Staff Director & General Counsel
U.S. House Committee on Ethics

EXHIBIT 10

Thunderbirds Charities Grant Information News Contact Us



Thunderbirds Charities

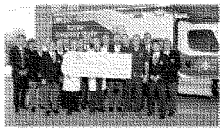
Thunderbirds Charities is a non-profit organization formed in 1986 to distribute monies raised through the Waste Management Phoenix Open golf tournament. The Thunderbirds Charities Board consists of 15 board members from varying professional backgrounds. The mission of Thunderbirds Charities is to assist children and families, help people in need and improve the quality of life in our communities. The organizations giving is directed toward organizations based or with a significant presence in Arizona.

THUNDERBIRDS CHARITIES SPRING FUNDING CYCLE NOW OPEN

CLICK HERE TO APPLY

Who are The Thunderbirds?

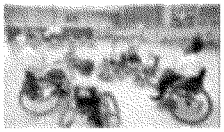
Benefitting Charities



Charity News

Learn about recent donations made to local charities by Thunderbirds Charities

Read More ›



Success Stories

A look back at a few of the projects and programs funded by Thunderbirds Charities

Read More ›

Funding Information

Learn more about how to apply for grants and funding through Thunderbirds Charities

Read More ›

ABOUT THUNDERBIRDS CHARITIES

RECENT NEWS

Arnold McDonald Fowler Receives $75,000 Grant from Thunderbirds Charities to Provide Housing

CONTACT INFO

Thunderbirds Charities, was established in 1986 as the charitable arm of the Chronic Thunderbirds, as a pipeline to grant funds generated by the Shows of Hope and Dreams. Over the mission of Thunderbirds Charities is to assist children and families help people in need and increase the quality of life in our communities.

and Support for Farmers

January 25, 2018

Thank You to Thunderbirds Charities for their Generous Support of Glen Ellis Farm Programs and Elevate Conservation in Partnership with Midwest Natural Conservancy and ASU Energy Engineers

January 25, 2018

Thunderbirds Charities awards $25,000 grant to Ray de Chase Community Center

January 22, 2018

2208 N. 18th Street, Suite 100
Phoenix, AZ 85006
Phone: (602) 225-0132
Fax: (602) 225-0132
Email: grantsinfo@thunderbirdscharities.org

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EXHIBIT 11

CAPS2016

United States House Of Representatives
VOUCHER COVER SHEET

1142000213
Initiating Office Reference Number

RECEIVED

Org Code AZ06SCD

Office Name THE HONORABLE DAVID SCHWEIKERT

DEC 20 2016

Payment for Employee

Vendor/Payee Information

Vendor or Emp ID Number 160155

Vendor Name OLIVER SCHWAB

Address Line 1

Address Line 2

City

State

Zip

Payment Information

Vendor Invoice Number

Vendor Invoice Date 12/20/2016

Payment Grand Total $ 7400.00

Product or Service Information

BOC Category	BOC	BOC Sub-Code	Expense Description	Amount	Date(s) of Service(s) Begin Service Date	End Service Date
25	2527		TRAINING	7400.00	10/23/2016	10/28/2016

Comments REIMBURSEMENT FOR LEADERSHIP COURSE TO BENEFIT OFFICE AS A WHOLE, NOT PERSONAL BENEFIT

I certify (1) that the above articles have been received in good condition and are of the quality and in the quantity above specified, or the services were performed as stated; (2) that they are in accordance with the orders therefore; (3) that the prices charged are just, reasonable, and in accordance with agreement; (4) that they are for use in my office in the discharge of my duties; and (5) that these are true copies and will be the only submission for payment; (6) this voucher was prepared in accordance with the Voucher Documentation Standards.

Voucher Preparer Signature

12/20/2016
Date

Certifies (6) above only.

Mary O'Connor
Voucher Preparer Name

Member/Chairman/Officer/Other Approver Signature

12/20/16
Date

CAO_0652

17-4790_0211

U.S. House of Representatives
Expense Reimbursement Form

Richard Oliver Schwab
Name

160155
Payroll Number (EIN)

$ 7,400.00
Total Reimbursement

Rep. David Schweikert AZ06
Employing Office

12/20/16
Date

Enter Mileage Rate => $ 0.54

	Date	Expense Category	Expense Description (If Mileage, include From/To Points of Travel, Mileage Rate and Mode of Transport) Detailed Expense Description	Mileage Destinations	Mileage	Amount	Missing Receipt? (Check yes)	Payment Method
1	10/23/16	Training	Harvard University Leadership Course to help office management	From: To:		$ 7,400.00		Credit
2				From: To:		$ 0.00		
3				From: To:		$ 0.00		
4				From: To:		$ 0.00		
5				From: To:		$ 0.00		
6				From: To:		$ 0.00		
7				From: To:		$ 0.00		
8				From: To:		$ 0.00		
9				From: To:		$ 0.00		
10				From: To:		$ 0.00		
11				From: To:		$ 0.00		
12				From: To:		$ 0.00		
13				From: To:		$ 0.00		
14				From: To:		$ 0.00		
15				From: To:		$ 0.00		
16				From: To:		$ 0.00		
17				From: To:		$ 0.00		
18				From: To:		$ 0.00		
19				From: To:		$ 0.00		
20				From: To:		$ 0.00		
21				From: To:		$ 0.00		
22				From: To:		$ 0.00		
23				From: To:		$ 0.00		

Eff. 10/1/16. V.1601156

US House of Representatives
Expense Reimbursement Form
Summary & Signature

Name	Payroll Number (EIN)	Total Reimbursement
Richard Oliver Schwab	160155	$ 7,400.00

Employing Office	Date
Rep. David Schweikert AZ06	12/20/16

Expense Category	Subtotal	Expense Category	Subtotal
2101 (air/bus/train)	$ 0.00	2514 (janitorial svc)	$ 0.00
2105 (lodging)	$ 0.00	2527 (training)	$ 7,400.00
2110 (meals)	$ 0.00	2601 (auto expenses)	$ 0.00
2120 (car rental)	$ 0.00	2602 (bottled water)	$ 0.00
2125 (gasoline)	$ 0.00	2603 (food/beverage (non-travel))	$ 0.00
2130 (mileage)	$ 0.00	2620 (office supplies)	$ 0.00
2135 (taxi, parking, tolls)	$ 0.00	2623 (software <$500)	$ 0.00
2199 (misc travel)	$ 0.00	2630 (publications/ref material)	$ 0.00
2350 (postage/shipping)	$ 0.00	other	$ 0.00
2360 (utilities)	$ 0.00		
2401 (printing)	$ 0.00		
2513 (insurance)	$ 0.00		
		Total Reimbursement	$ 7,400.00

(initial) I certify that for expenses missing a receipt and noted on this form that I made a good faith effort to obtain a copy of the receipt. Additionally, I complied with all applicable laws and regulations regarding allowable reimbursable expenses (e.g. no alcohol).

For missing receipts, additional information is required such as the itemization of what was purchased and any other required detail as prescribed in the Voucher Documentation Standards for that type of expense.

I, the undersigned, state that the above expenses were approved and incurred personally as a result of the performance of my official duties. For the purpose of mileage reimbursement, I understand that "privately-owned" and "privately-leased" vehicles do not include any vehicle owned or leased by the principal campaign of a Member, a political-action committee, or a political party.

Signature

12/20/16
Date

Eff 10/1/16 V.100116b

HARVARD Kennedy School
Executive Education

This is to certify that

R. Oliver Schwab, Jr.

has completed

Leadership Decision Making:
Optimizing Organizational Performance

October 23 – 28, 2016

Faculty Chair

Director

Leadership Decision Making: Optimizing Organizational Performance
October 22-23, 2016

Sunday, October 22	Monday, October 23	Tuesday, October 24	Wednesday, October 25	Thursday, October 27	Friday, October 28
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
	8:00 - 10:30 am *Decision Science I* Jennifer Lerner	9:00 - 10:30 am *Diplomacy and Decision Making* Nicholas Burns	9:00 - 10:30 am *Negotiations I* Gohan Subramanian	[redacted]	8:00 - 10:30 am *Behavioral Insights Team Presentations* Jennifer Lerner & Francesca Gino
10:00 am - 12:30 pm Program Registration Soldiers Field Park Apartments One Western Avenue Boston, MA * Food Available	10:45 am - 12:15pm *Decision Science II* Jennifer Lerner 1.1	10:45 am - 12:15 pm *Understanding Race Bias in Social Judgment and Decision-Making* Robert Livingston 2.2	10:45 am - 12:15 pm *Negotiations II* Gohan Subramanian 3.2	10:45 am - 12:15 pm *Applying Concepts II* Jennifer Lerner & Francesca Gino 4.2	10:45 am - 12:15 pm *Understanding Your Personal Decision Making Report* Jennifer Lerner 5.2
	Lunch Public Speaking Workshop 1.1	Lunch Experiment Set Up 2.1	Lunch 3.1	Lunch 4.1	12:15-12:45 pm *Feedback for Teams and Concluding Reflections* Jennifer Lerner & Francesca Gino
1:00 - 2:00 pm Decision Making Exercise, Group A Harvard Tour, Group B 2:15 - 3:15 pm Decision Making Exercise, Group B Harvard Tour, Group A	1:30 - 3:00 pm *Decision Science III* Jennifer Lerner 1.3	1:30 - 3:00 pm *Ethics and Decision Making I* Jennifer Lerner & Chris Robichaud 2.3	1:30 - 3:00 pm *Operational Decision Challenges* Ryan Buell 3.3	1:30 - 3:00 pm *Conflict Resolution: Dealing with Emotions I* Daniel Shapiro 4.3	Break Lunch with Speaker *Social Leadership in Government* Apartment Check-out Shelters 2:00 pm
3:30 - 5:30 pm Welcome & Introductions Jennifer Lerner	4:00 - 5:30 pm *Applying Concepts I* Jennifer Lerner & Francesca Gino 1.4	3:30 - 5:00 pm *Ethics and Decision Making II* Jennifer Lerner & Chris Robichaud 2.4	3:15 - 4:45 pm *Co-Generated Decision Making* Ryan Buell 3.4	3:15 - 5:00 pm *Conflict Resolution: Dealing with Emotions II* Daniel Shapiro 4.4	[redacted]
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]

Classes will be held in room 4.150 (First floor Littauer Building) unless otherwise noted. Meals are in Moffitt Penthouses unless otherwise noted.

Gmail

Oliver Schwab <[redacted]>

Harvard Kennedy School Executive Education Invoice

1 message

EE Finance <[redacted]> Thu, May 26, 2016 at 9:15 PM
To: Richard Oliver Schwab Jr <[redacted]>

HARVARD Kennedy School
Executive Education

INVOICE

Payment is due within 30 days of the invoice date. If admission is within 30 days prior to the start of the program, payment is due upon receipt of the invoice.

Bill To	Invoice	
Richard Schwab Jr United States Congress 409 Cannon House Office Building [redacted] Washington DC 20515 United States of America	Invoice Number Invoice Date Program Fee Due	575461 26 May 2016 $7400.00

Program	Program Participant	Program Fee
Leadership in Crisis 03 Apr 2016-06 Apr 2016	Richard Oliver Schwab Jr	7400.00

Program Fee payment must be made in U.S. dollars (USD). Payment must include invoice number and can be made using one of the following payment methods:

CREDIT CARD
To pay by credit card, please log into your HKS Executive Education account and go to the Invoices tab.

CHECK
Payable to: President and Fellows of Harvard College
Finance Office
Harvard Kennedy School
79 JFK Street, Box 120
Cambridge, MA 02138 USA

BANK WIRE TRANSFER
Account Name: President and Fellows of Harvard College
Bank of America, 100 Federal Street, Boston, MA 02110
Bank Account #: [redacted]
ABA / USAWIRE Transfer Number: [redacted]
SWIFT Code #: [redacted]

ACH TRANSFER
Account Name: President and Fellows of Harvard College
Bank of America, 100 Federal Street, Boston, MA 02110
Bank Account #: [redacted]

Gmail

Oliver Schwab <[redacted]

Harvard Kennedy School Executive Education Payment Receipt for Invoice 575461

1 message

Finance <[redacted]> Fri, Jun 10, 2016 at 8:41 PM
To: Richard Oliver Schwab Jr <[redacted]

HARVARD Kennedy School
Executive Education

Dear Richard Schwab Jr ,

This message is to confirm the receipt of your program fee payment . Your payment has been applied to invoice listed below.

Description	Amount
Participant: Richard Oliver Schwab Jr Invoice Number: 575461 Program: Leadership in Crises Program Dates: 03 Apr 2016 - 08 Apr 2016	
Tuition Invoiced:	US$ 7400.00
Total Amount Paid:	US$ 7400.00
Payment Date:	10 Jun 2016

Payor: Richard Schwab Jr
409 Cannon House Office Building [redacted]
Washington , DC 20515

Thank you for your payment.

Sincerely,

HKS Exec Ed Finance
79 JFK Street, Mailbox 73
Cambridge, MA 02138
exed@hks.harvard.edu
Tel: 617-496-9000, option 1
Fax: 617-495-2267

Gmail Oliver Schwab <[redacted]>

Harvard Kennedy School Executive Education Invoice

1 message

EE Finance <[redacted]> Thu, May 26, 2016 at 9:15 PM
To: Richard Oliver Schwab Jr <[redacted]>

HARVARD Kennedy School
Executive Education

INVOICE

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Richard Schwab Jr United States Congress 409 Cannon House Office Building [redacted] Washington DC 20515 United States of America	Invoice Number Invoice Date Program Fee Due	575461 26 May 2016 $7400.00

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Leadership in Crises 03 Apr 2016-08 Apr 2016	Richard Oliver Schwab Jr	7400.00

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CHECK
Payable to: President and Fellows of Harvard College
Finance Office
Harvard Kennedy School
79 JFK Street, Box 120
Cambridge, MA 02138 USA

BANK WIRE TRANSFER
Account Name: President and Fellows of Harvard College
Bank of America, 100 Federal Street, Boston, MA 02110
Bank Account #: [redacted]
ABA / USAWIRE Transfer Number: [redacted]
SWIFT Code # [redacted]

ACH TRANSFER
Account Name: President and Fellows of Harvard College
Bank of America, 100 Federal Street, Boston, MA 02110
Bank Account # [redacted]

ACH Transfer #: [redacted]

OTHER INFORMATION
Email address: exed@hks.harvard.edu
Fax number: 1-617-495-2267
Harvard Tax I.D. Number: [redacted]
Harvard DUNS #: [redacted], CAGE Code #: [redacted]

REFUND POLICY
To receive a refund, you must submit a request to withdraw from the program session via email to the Program Director at least two weeks before the program session start date.

Gmail

Oliver Schwab <[redacted]>

Harvard Kennedy School Executive Education Payment Receipt for Invoice 575461
1 message

Finance <[redacted]>
To: Richard Oliver Schwab Jr <[redacted]>

Fri, Jun 10, 2016 at 8:41 PM

HARVARD Kennedy School
Executive Education

Dear Richard Schwab Jr ,

This message is to confirm the receipt of your program fee payment . Your payment has been applied to invoice listed below

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Payment Date	10 Jun 2016

Payor: Richard Schwab Jr
409 Cannon House Office Building [redacted]
Washington , DC 20515

Thank you for your payment.

Sincerely,

HKS Exec Ed Finance
79 JFK Street, Mailbox 73
Cambridge, MA 02138
exed@hks.harvard.edu
Tel: 617-496-9000, option 1
Fax: 617-495-2267

Leadership Decision Making: Optimizing Organizational Performance
October 23-28, 2016

Sunday, October 23	Monday, October 24	Tuesday, October 25	Wednesday, October 26	Thursday, October 27	Friday, October 28
[redacted]	8:00 - 9:00 am Breakfast Forum Café	8:00 - 9:00 am Breakfast Forum Café	8:00 - 9:00 am Breakfast Forum Café	7:00-7:45 am Breakfast Forum Café [redacted]	7:00 - 7:45 am Breakfast Forum Café [redacted]
	9:00 - 10:30 am *Decision Science I* Jennifer Lerner 1.1	9:00 - 10:30 am *Diplomacy and Decision Making* Nicholas Burns 2.1	9:00 - 10:30 am *Negotiations I* Guhan Subramanian 3.1	[redacted]	9:00 - 10:30 am *Behavioral Insight Team Presentations* Jennifer Lerner & Francesca Gino 5
10:00 am - 12:30 pm Program Registration Soldiers Field Park Apartments One Western Avenue Boston, MA * Food Available	Break 10:30 - 10:45 am 10:45 am - 12:15 pm *Decision Science II* Jennifer Lerner 1.2	Break 10:30 - 10:45 am 10:45 am - 12:15 pm *Understanding Race Bias in Social Judgment and Decision Making* Robert Livingston 2.2	Break 10:30 - 10:45 am 10:45 am - 12:15 pm *Negotiations II* Guhan Subramanian 3.2	Break 10:30 - 10:45 am 10:45 am - 12:15 pm *Applying Concepts II* Jennifer Lerner & Francesca Gino 4.2	Break 10:30 - 10:45 am 10:45 am - 12:15 pm *Understanding Your Personal Decision Making Report* Jennifer Lerner 5.2
	12:15 - 1:45 pm Lunch *F.I.M. "Mind over Money"*	12:15 - 1:30 pm Lunch *Speaker: Ms Bohnet*	12:15 - 1:30 pm Lunch	12:15 - 1:30 pm Lunch	12:15-12:45 pm *Feedback for Teams and Concluding Reflections* Jennifer Lerner & Francesca Gino
1:00 - 2:00 pm Decision Making Exercise: Group A Harvard Tour: Group B 2:15 - 3:15 pm Decision Making Exercise: Group B Harvard Tour: Group A	1:45 - 3:45 pm *Decision Science III* Jennifer Lerner 1.3	1:30 - 3:00 pm *Ethics and Decision Making I* Jennifer Lerner & Chris Robichaud 2.3	1:30 - 3:00 pm *Operational Decision Challenges* Ryan Buell 3.3	1:30 - 3:00 pm *Conflict Resolution Dealing with Emotions I* Daniel Shapiro 4.3	12:45 pm Boxed Lunches and Departure Apartment Check-out Before 2:00 pm
	Break 3:45 - 4:00 pm	Break 3:00- 3:30/ Group Photo	Break 3:00 - 3:15 pm	Break 3:00 - 3:15 pm	[redacted]
3:30 - 5:30 pm *Welcome & Introductions* Jennifer Lerner	4:00 - 5:30 pm *Applying Concepts I* Jennifer Lerner & Francesca Gino 1.4	3:30 - 5:00 pm *Ethics and Decision Making II* Jennifer Lerner & Chris Robichaud 2.4	3:15 - 4:45 pm *De-Centralized Decision Making* Ryan Buell 3.4	3:15 - 5:00 pm *Conflict Resolution Dealing with Emotions II* Daniel Shapiro 3.4	
	[redacted]	[redacted]	[redacted]	6:30 pm *Buses depart for closing dinner*	
6:45 pm- 7:45 pm Reception & Opening Dinner	6:30 - 8:00 pm Dinner [redacted]	6:30- 8:00 pm Dinner	Dinner on your own	7:00 pm Final Dinner Loeb House	

Classes will be held in room 5.150 (First floor, Littauer Building) unless otherwise noted. Meals are in Malkin Penthouse unless otherwise noted.

HARVARD Kennedy School
Executive Education

This is to certify that

R. Oliver Schwab, Jr.

has completed

Leadership Decision Making:
Optimizing Organizational Performance

October 23 – 28, 2016

Jennifer Lerner
Faculty Chair

Director

EXHIBIT 12

OConnor, Mary

From: OConnor, Mary
Sent: Saturday, October 29, 2016 6:39 PM
To: Schwab, Oliver
Subject: Re: Leadership Professional Development Course

This message has been archived. View the original item

No urgency. We'll talk when we talk.

Mary O'Connor
Financial Director for the
offices of:
Rep. Joe Pitts
Rep. Ann Wagner
Rep. Gus Bilirakis
Rep. Cathy McMorris Rodgers
Rep. David Schweikert
Rep. Evan Jenkins

Academy Liaison for the offices of:
Rep. Joe Pitts
Rep. Ryan Costello

[redacted]--cell

On Oct 29, 2016, at 5:22 PM, Schwab, Oliver <[redacted]> wrote:

I'm in this weekend and Monday, in district Tuesday-Wednesday, may be in Thursday but I just got word I may need to head down to Norfolk on Thursday.

I can chat by phone!? Or there is no urgency on this, only if it's an option... and in not prioritizing it over anything else in the budge this year...

--
Oliver Schwab
Chief of Staff
Congressman David Schweikert

Sent from my iPhone

On Oct 29, 2016, at 5:11 PM, O'Connor, Mary <[redacted]> wrote:

Yes on all counts. We can discuss this more this week. Will you be in town on Tuesday or Thursday?

Mary O'Connor
Financial Director for the
offices of:
Rep. Joe Pitts
Rep. Ann Wagner
Rep. Gus Bilirakis
Rep. Cathy McMorris Rodgers
Rep. David Schweikert
Rep. Evan Jenkins

Academy Liaison for the offices of:
Rep. Joe Pitts
Rep. Ryan Costello

[redacted] - cell

On Oct 29, 2016, at 5:10 PM, Schwab, Oliver <[redacted]> wrote:

The course was 100% better management and human resource decision making. 12 hours a day if case studies, crisis scenarios, organizational theory and practice. I used our office as my operational case all week, using David's goals and our structure. I can say it was the best thing I've done in line with the time I've spent with the Congressional Management Foundation.

Could we look at where the numbers fall as the year closes. If we end up with the capacity, I would like to process it through provided you don't have objection.

A training line item makes sense for the future. I think it makes sense for us to be investing in the processional development of our team when we can.

That sound alright to you?

--
Oliver Schwab
Chief of Staff
Congressman David Schweikert

Sent from my iPhone

On Oct 29, 2016, at 5:03 PM, O'Connor, Mary <[redacted]> wrote:

Oliver,

For the future, this may be allowed. Training may be paid for by the House if it primarily benefits the office, rather than the individual. However, given the amount, I think it it something that if it happens in the future, we must budget it beforehand.

Mary O'Connor
Financial Director for the
offices of:
Rep. Joe Pitts

Rep. Ann Wagner
Rep. Gus Bilirakis
Rep. Cathy McMorris Rodgers
Rep. David Schweikert
Rep. Evan Jenkins

Academy Liaison for the offices of:
Rep. Joe Pitts
Rep. Ryan Costello

[redacted]-cell

On Oct 29, 2016, at 4:26 PM, Schwab, Oliver <[redacted]> wrote:

Hi Mary,

I wanted to double check on something; last week I attended the attached leadership development course at Harvard Kennedy School of Government. The course was comprised of senior government managers from across government and the military. I was surprised to learn that I was the only person who had paid for the course personally. All of the other participants had the course paid by their agency, municipality, or branch. Question, is there any sense in pursuing whether the House can/would pay for this kind of service? And, if not retroactively, for the future?

By the way, in everything I have done for professional development over the years, this was by far the most beneficial exposure I've had. I think Kevin would also benefit from one of these down the road...

Oliver

--

Oliver Schwab

Chief of Staff

Congressman David Schweikert (AZ-06)

[redacted]

EXHIBIT 13

Disbursements to Chartwell

2017			
DATE	**DISBURSING ENTITY**	**AMOUNT**	**PURPOSE OF DISBURSEMENT**
10/13/2017	Defending America's Values Everywhere (Team Dave)	$1,750.00	PAC Political Strategy Consulting
10/5/2017	Friends of David Schweikert	$15,000.00	Strategic Campaign Consulting
	2017 Total	**$16,750.00**	

2016			
DATE	**DISBURSING ENTITY**	**AMOUNT**	**PURPOSE OF DISBURSEMENT**
11/18/2016	Friends of David Schweikert	$2,500.00	Strategic Consulting
5/26/2016	Friends of David Schweikert	$2,500.00	Strategic Consulting
5/19/2016	Friends of David Schweikert	$465.13	Printing/Postage/Food/Beverage
5/19/2016	Friends of David Schweikert	$760.40	Printing/Postage/Food/Beverage
4/21/2016	Friends of David Schweikert	$7,396.34	Printing/Postage/Food/Beverage
4/21/2016	Defending America's Values Everywhere (Team Dave)	$1,048.04	PAC Catering, flags, event ticket fees
4/14/2016	Defending America's Values Everywhere (Team Dave)	$1,949.97	PAC travel, event planning, political strategy consulting
3/24/2016	Friends of David Schweikert	$1,661.19	Strategic Consulting/Travel
3/24/2016	Defending America's Values Everywhere (Team Dave)	$868.51	PAC Catering
2/25/2016	Friends of David Schweikert	$8,119.32	Strategic Consulting/Travel
2/2/2016	Friends of David Schweikert	$14,460.91	Strategic Consulting/Travel
2/2/2016	Defending America's Values Everywhere (Team Dave)	$2,058.15	Strategic Consulting/Travel
1/6/2016	Friends of David Schweikert	$6,659.13	Strategic Consulting/Travel
1/5/2016	Defending America's Values Everywhere (Team Dave)	$2,500.00	PAC Political Strategy Consulting Fee
1/4/2016	Friends of David Schweikert	$2,500.00	Strategic Consulting
	2016 Total	**$55,447.09**	

2015			
DATE	**DISBURSING ENTITY**	**AMOUNT**	**PURPOSE OF DISBURSEMENT**
12/15/2015	Friends of David Schweikert	$17,470.72	Strategic Consulting/Travel
12/9/2015	Friends of David Schweikert	$2,579.55	Strategic Consulting/Travel
11/17/2015	Friends of David Schweikert	$2,426.86	Strategic Consulting/Travel

11/5/2015	Friends of David Schweikert	$2,618.64	Strategic Consulting/Travel
10/5/2015	Schweikert Victory Committee	$3,500.00	Strategic/Fundraising Consulting
10/5/2015	Schweikert Victory Committee	$3,439.84	Strategic/Fundraising Consulting
9/24/2015	Friends of David Schweikert	$1,832.04	Travel/Printing/Postage/Meals
9/8/2015	Schweikert Victory Committee	$7,674.00	Travel/Printing/Postage/Meals
7/29/2015	Friends of David SChweikert	$835.61	Travel/Printing/Postage/Meals
7/22/2015	Schweikert Victory Committee	$2,237.74	Travel/Printing/Postage/Meals
7/22/2015	Schweikert Victory Committee	$6,875.00	Strategic/Fundraising Consulting
	2015 Total	**$51,490.00**	

2014

DATE	DISBURSING ENTITY	AMOUNT	PURPOSE OF DISBURSEMENT
12/8/2014	Friends of David Schweikert	$26,875.00	Strategic/Fundraising Consulting
11/14/2014	Defending America's Values Everywhere (Team Dave)	$900.00	PAC Political Strategy Consulting Fee
10/27/2014	Defending America's Values Everywhere (Team Dave)	$2,500.00	PAC Political Strategy Consulting Fee
10/14/2014	Friends of David Schweikert	$1,875.00	Strategic Consulting
10/1/2014	Friends of David Schweikert	$8,700.00	Strategic Consulting
9/5/2014	Friends of David Schweikert	$4,600.00	Strategic Consulting
8/8/2014	Friends of David Schwiekert	$1,750.00	Strategic Consulting
7/28/2014	Friends of David Schweikert	$3,000.00	Strategic Consulting
5/5/2014	Friends of David Schweikert	$500.00	Strategic Consulting
5/5/2014	Defending America's Values Everywhere (Team Dave)	$3,000.00	PAC Political Strategy Consulting Fee
2/26/2014	Friends of David Schweikert	$4,250.00	Fundraising/Strategic Consulting
	2014 Total	**$57,950.00**	

2012

DATE	DISBURSING ENTITY	AMOUNT	PURPOSE OF DISBURSEMENT
9/12/2012	National Horizon	$750.00	Fundraising Consulting
8/22/2012	National Horizon	$3,852.50	Fundraising Consultant
8/2/2012	National Horizon	$6,916.00	Fundraising Consultant
6/28/2012	National Horizon	$10,045.00	Fundraising Consulting
6/5/2012	National Horizon	$9,450.00	Fundraising Consulting
	2012 Total	**$31,013.50**	

Disbursements to Richard Oliver Schwab, Jr.

2016			
DATE	**DISBURSING ENTITY**	**AMOUNT**	**PURPOSE OF DISBURSEMENT**
11/18/2016	Friends of David Schweikert	$164.40	Postage Expense Reimbursement – See MEMO
10/4/2016	Friends of David Schweikert	$80.90	Expense Reimbursement – See MEMOS
2/25/2016	Defending America's Values Everywhere (Team Dave)	$386.05	PAC Travel/Meals – No Vendors Requiring Itemization
	2016 Total	**$631.35**	

2015			
DATE	**DISBURSING ENTITY**	**AMOUNT**	**PURPOSE OF DISBURSEMENT**
6/26/2015	Schweikert Victory Committee	$7,066.00	Mail Reimbursement – See MEMOS
6/26/2015	Friends of David Schweikert	$203.54	Expense Reimbursement-Food/Beverage-No Vendors Require Itemization
6/8/2015	Friends of David Schweikert	$447.55	Expense Reimbursement-Food/Beverage – See MEMOS
5/22/2015	Friends of David Schweikert	$6,528.75	Expense Reimbursement – Travel/Meals – See MEMOS
	2015 Total	**$14,245.84**	

2014			
DATE	**DISBURSING ENTITY**	**AMOUNT**	**PURPOSE OF DISBURSEMENT**
7/24/2014	Defending America's Values Everywhere (Team Dave)	$34.63	PAC Travel/Meals (No Vendor Requires Itemization)
5/14/2014	Defending America's Values Everywhere (Team Dave)	$130.00	PAC Registration Fee (No Vendor Itemization Required)
3/20/2014	Defending America's Values Everywhere (Team Dave)	$84.40	PAC Travel/Meals (No Vendor Requiring Itemization)
2/27/2014	Defending America's Values Everywhere (Team Dave)	$381.31	PAC Travel/Meals (No Vendor Requiring Itemization)
2/4/2014	Defending America's Values Everywhere (Team Dave)	$794.49	PAC Travel/Meals
	2014 Total	**$1,424.83**	

2013			
DATE	**DISBURSING ENTITY**	**AMOUNT**	**PURPOSE OF DISBURSEMENT**
12/31/2013	Defending America's Values Everywhere (Team Dave)	$391.10	PAC Meals

12/31/2013	Defending America's Values Everywhere (Team Dave)	$726.77	PAC Travel/Food/Printing
12/18/2013	Defending America's Values Everywhere (Team Dave)	$390.83	PAC Travel/Meals
12/4/2013	Defending America's Values Everywhere (Team Dave)	$899.20	PAC Travel/Meals/Shipping
11/1/2013	Defending America's Values Everywhere (Team Dave)	$117.80	PAC Travel/Meals (No Vendor Requiring Itemization)
10/23/2013	Defending America's Values Everywhere (Team Dave)	$2,575.79	PAC Computer Equipment
10/11/2013	Defending America's Values Everywhere (Team Dave)	$440.89	PAC Travel/Meals (No Vendor Requiring Itemization)
9/30/2013	Defending America's Values Everywhere (Team Dave)	$1,557.19	PAC Travel/Meals
4/17/2013	Defending America's Values Everywhere (Team Dave)	$137.35	PAC Meals (No Vendors Requiring Itemization)
2/28/2013	Defending America's Values Everywhere (Team Dave)	$2,092.11	PAC Travel/Meals
2/12/2013	Defending America's Values Everywhere (Team Dave)	$1,854.07	PAC Travel/Donor Mementos
2/1/2013	Defending America's Values Everywhere (Team Dave)	$771.45	PAC Travel/Meals
	2013 Total	**$11,954.55**	
2012			
DATE	**DISBURSING ENTITY**	**AMOUNT**	**PURPOSE OF DISBURSEMENT**
11/12/2012	Defending America's Values Everywhere (Team Dave)	$2,395.58	JFC Meals/Postage/Delivery
10/11/2012	Defending America's Values Everywhere (Team Dave)	$7.58	PAC Meals (No Vendors Requiring Itemization)
5/16/2012	Defending America's Values Everywhere (Team Dave)	$51.79	PAC Meals (No Vendors Requiring Itemization)
5/8/2012	Defending America's Values Everywhere (Team Dave)	$761.35	PAC Meals (No Vendors Requiring Itemization)
4/2/2012	Schweikert for Congress	$1,000.00	REFUND:REFUND
2/6/2012	Defending America's Values Everywhere (Team Dave)	$173.09	PAC Meals (No Vendor Requiring Itemization)
1/18/2012	Defending America's Values Everywhere (Team Dave)	$298.99	PAC Travel/Meals (No Vendor Requiring Itemization)
1/17/2012	Schweikert for Congress	$305.84	Reimb Food for Event
	2012 Total	**$4,994.22**	
2011			
DATE	**DISBURSING ENTITY**	**AMOUNT**	**PURPOSE OF DISBURSEMENT**
12/28/2011	Defending America's Values Everywhere (Team Dave)	$435.84	PAC Meals
12/19/2011	Defending America's Values Everywhere (Team Dave)	$438.92	PAC Travel/Meals/Postage (No Vendors Requiring Itemization)
12/12/2011	Defending America's Values Everywhere (Team Dave)	$402.75	PAC Meals/Postage
11/17/2011	Defending America's Values Everywhere (Team Dave)	$733.07	PAC Travel & Meals (No Vendors Requiring Itemization)

10/20/2011	Schweikert for Congress	$1,000.00	Mailing Lists
10/3/2011	Defending America's Values Everywhere (Team Dave)	$224.55	PAC Travel – No Vendors Requiring Itemization
9/15/2011	Defending America's Values Everywhere (Team Dave)	$946.70	PAC Facility Rental/Meals
8/18/2011	Defending America's Values Everywhere (Team Dave)	$636.29	PAC Meals
7/29/2011	Defending America's Values Everywhere (Team Dave)	$300.00	PAC Political Strategy Consulting Fee
7/15/2011	Defending America's Values Everywhere (Team Dave)	$265.23	PAC Meals and Delivery
7/6/2011	Schweikert for Congress	$131.42	Reimb Food Exp
5/20/2011	Schweikert for Congress	$197.13	Reimb Food & Postage
5/1/2011	Schweikert for Congress	$213.63	Reimb Postage & Food
4/12/2011	Schweikert for Congress	$1,107.91	Reimb Funraising Exp, Food & Postage
2/7/2011	Schweikert for Congress	$439.92	Reimb Office Supplies & Food
	2011 Total	**$7,473.36**	

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

REPORT

Review No. 18-2234

The Board of the Office of Congressional Ethics (hereafter "the Board"), by a vote of no less than four members, on August 29, 2018, adopted the following report and ordered it to be transmitted to the Committee on Ethics of the United States House of Representatives (hereafter "the Committee").

SUBJECT: Representative David Schweikert

NATURE OF THE ALLEGED VIOLATION: Rep. Schweikert may have used official resources, including staff time, to benefit his campaigns and pressured congressional staff to perform political activity. If Rep. Schweikert used official resources for campaign purposes or pressured congressional staff to perform political activity, then he may have violated House rules, standards of conduct, and federal law.

Rep. Schweikert may have authorized compensation to an employee who did not perform duties commensurate with the compensation the employee received. If Rep. Schweikert authorized compensation to an employee who did not perform duties commensurate with the compensation the employee received, then Rep. Schweikert may have violated House rules, standards of conduct, and federal law.

Rep. Schweikert may have improperly tied official activities to past or potential campaign or political support. If Rep. Schweikert improperly linked official activities to campaign or political support, then he may have violated House rules, standards of conduct, and federal law.

Rep. Schweikert or his campaign committee may have received loans or gifts from a congressional employee. If Rep. Schweikert solicited or accepted a loan, gift, or other contribution from a congressional employee, then Rep. Schweikert may have violated House rules, standards of conduct, and federal law.

Rep. Schweikert may have omitted required information from his annual House financial disclosure statements and Federal Election Commission ("FEC") candidate committee reports. If Rep. Schweikert failed to disclose required information in his annual House financial disclosure statements or FEC candidate committee filings, then he may have violated House rules, standards of conduct, and federal law.

RECOMMENDATION: The Board recommends that the Committee further review the above allegation because there is substantial reason to believe that Rep. Schweikert used official resources for campaign purposes or pressured congressional staff to perform political activity.

The Board recommends that the Committee further review the above allegation because there is substantial reason to believe that Rep. Schweikert authorized compensation to an employee who did not perform duties commensurate with the compensation the employee received.

The Board recommends that the Committee dismiss the above allegation because there is not substantial reason to believe that Rep. Schweikert improperly linked official activities to campaign or political support.

The Board recommends that the Committee further review the above allegation because there is substantial reason to believe that Rep. Schweikert solicited or accepted a loan, gift, or other contribution from a congressional employee.

The Board recommends that the Committee further review the above allegation because there is substantial reason to believe that Rep. Schweikert failed to disclose required information in his annual House financial disclosure statements or FEC candidate committee filings.

VOTES IN THE AFFIRMATIVE: 6

VOTES IN THE NEGATIVE: 0

ABSTENTIONS: 0

MEMBER OF THE BOARD OR STAFF DESIGNATED TO PRESENT THIS REPORT TO THE COMMITTEE ON ETHICS: Omar S. Ashmawy, Staff Director & Chief Counsel.

FINDINGS OF FACT AND CITATIONS TO LAW

TABLE OF CONTENTS

I. EXECUTIVE SUMMARY 5

II. INTRODUCTION 6

A. Summary of Allegations 6

B. Jurisdiction Statement 7

C. Procedural History 8

D. Summary of Investigative Activity 8

III. REP. SCHWEIKERT MAY HAVE MISUSED CONGRESSIONAL RESOURCES AND PRESSURED STAFF TO PERFORM CAMPAIGN ACTIVITY 10

A. Applicable Law, Rules, and Standards of Conduct 10

B. Rep. Schweikert May Have Used Congressional Resources, Including Staff Time, for Political Activities and May Have Pressured Congressional Staff to Perform Campaign Work 12

IV. REP. SCHWEIKERT MAY HAVE AUTHORIZED THE PAYMENT OF COMPENSATION TO AN EMPLOYEE FOR WORK THAT WAS NOT COMMENSURATE WITH DUTIES THE EMPLOYEE PERFORMED 17

A. Applicable Law, Rules, and Standards of Conduct 17

B. Rep. Schweikert May Have Authorized an Improper "Severance" Payment to Former Deputy Chief of Staff 19

V. REP. SCHWEIKERT MAY HAVE TIED OFFICAL ACTIVITIES TO PAST OR FUTURE POLITICAL SUPPORT 20

A. Applicable Law, Rules, and Standards of Conduct 20

B. Rep. Schweikert May Have Provided Favorable Treatment to Campaign Donors 21

VI. REP. SCHWEIKERT MAY HAVE SOLICITED OR ACCEPTED LOANS OR GIFTS FROM A CONGRESSIONAL EMPLOYEE 23

A. Applicable Law, Rules, and Standards of Conduct 23

B. Oliver Schwab May Have Loaned Money to Rep. Schweikert Personally or to Rep. Schweikert's Campaign 25

VII. REP. SCHWEIKERT MAY HAVE OMITTED REQUIRED INFORMATION FROM HIS ANNUAL HOUSE FINANCIAL DISCLOSURE STATEMENTS AND FEC CANDIDATE COMMITTEE REPORTS 26

A. Applicable Law, Rules, and Standards of Conduct 26

B. Rep. Schweikert May Have Omitted Required Information from His Personal Financial Disclosure Statements and from FEC Candidate Committee Reports 33

i. Annual Financial Disclosure Statement Omissions and Irregularities 34

a. Real Estate-Related Omissions 35

b. Real Estate Income Discrepancies 36

c. Bank Account Omissions 37

d. Credit Card Omissions 38

ii. FEC Omissions & Irregularities 39

a. Schweikert for Congress 39

b. David Schweikert for Congress 45

VIII. CONCLUSION 46

IX. INFORMATION THE OCE WAS UNABLE TO OBTAIN AND RECOMMENDATION FOR THE ISSUANCE OF SUBPOENAS 46

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

FINDINGS OF FACT AND CITATIONS TO LAW

Review No. 18-2234

On August 29, 2018, the Board of the Office of Congressional Ethics (hereafter "the Board") adopted the following findings of fact and accompanying citations to law, regulations, rules and standards of conduct (*in italics*). The Board notes that these findings do not constitute a determination of whether or not a violation actually occurred.

I. EXECUTIVE SUMMARY

In November 2017, the Office of Congressional Ethics ("OCE") opened a preliminary review into whether Rep. Schweikert authorized expenditures from his Members' Representational Allowance, made by or on behalf of his Chief of Staff, that were not for permissible official expenses. During the course of that review, which was ultimately referred to the Committee on Ethics, the OCE interviewed various third-party witnesses. Testimony from these third-party witnesses provided the OCE with a reasonable basis to review separate and discrete issues concerning Rep. Schweikert. Accordingly, the OCE opened this subsequent review, which focuses on the following potential violations:

- Whether Rep. Schweikert used official resources, including staff time, to benefit his campaigns or pressured congressional staff to perform political activity;
- Whether Rep. Schweikert paid a departing employee an unauthorized "severance"[1] payment;
- Whether Rep. Schweikert tied official activities to past or future campaign or political support;
- Whether Rep. Schweikert solicited or accepted a loan from his longtime Chief of Staff and political fundraiser; and
- Whether Rep. Schweikert omitted required information from his annual House financial disclosure statements and Federal Election Commission ("FEC") candidate committee reports.

Rep. Schweikert, Rep. Schweikert's current congressional staff, Rep. Schweikert's campaign committees, and numerous other individuals affiliated with Rep. Schweikert, his congressional office, his campaigns, and his real estate business refused to cooperate with this review. Richard Oliver Schwab Jr. ("Oliver Schwab" or "Mr. Schwab"), Rep. Schweikert's longtime Chief of Staff and political fundraiser, resigned during the course of this review and refused to cooperate

[1] As discussed *infra* in Section IV.A, the United States House of Representatives does not provide employees with severance packages in the traditional, private-sector sense. Accordingly, references to "severance" in this report are made in quotation marks.

with the OCE. In spite of the noncooperation by these key individuals and entities, the OCE reviewed a significant number of records produced by third-party witnesses and conducted numerous third-party witness interviews.

During the course of its review, the OCE found evidence that Rep. Schweikert permitted the misuse of congressional resources. The OCE determined that Oliver Schwab was frequently absent from the congressional office in order to perform campaign work, and would also perform political activities while inside the congressional office. Additionally, the OCE found evidence that certain congressional staff members were pressured to perform political work.

There is also evidence of an impermissible "severance" payment. The OCE determined that Rep. Schweikert authorized the payment of compensation to an employee for approximately six weeks after the employee left his congressional office. Under this "severance" arrangement, he was paid his full congressional salary despite performing minimal work for the congressional office.

The OCE also found evidence that Rep. Schweikert, or his campaign, may have accepted a loan, gift, or other contribution from Oliver Schwab, during a time period in which Mr. Schwab was a congressional employee.

Additionally, the OCE found a pattern of errors and omissions on Rep. Schweikert's financial disclosures that he filed with the United States House of Representatives and with campaign committee reports that were filed with the FEC. The OCE determined that Rep. Schweikert may have improperly omitted information from his financial disclosure statements concerning various real-estate investment activities, the existence of certain interest-bearing bank accounts, and reportable credit card debt. The OCE also found discrepancies between business income that Rep. Schweikert reported to the Internal Revenue Service ("IRS") and what he reported on his annual disclosures. With respect to FEC reporting, the OCE found 1) that a Rep. Schweikert-affiliated campaign committee neglected to disclose a $75,000.00 line of credit that Rep. Schweikert specifically secured in order to fund his congressional campaign; 2) irregularities with two personal loans that Rep. Schweikert allegedly made in support of his reelection efforts; and 3) various other unreported campaign committee receipts, disbursements, and transfers.

Finally, despite the fact that a former staff member told the OCE that campaign donors were provided favorable treatment, the OCE found other evidence which suggests that Rep. Schweikert may not have dispensed special favors to campaign donors.

II. INTRODUCTION

A. Summary of Allegations

1. Rep. Schweikert may have used official resources, including staff time, to benefit his campaigns and pressured congressional staff to perform political activity. If Rep. Schweikert used official resources for campaign purposes or pressured congressional staff to perform political activity, then he may have violated House rules, standards of conduct, and federal law.

2. The Board recommends that the Committee further review the above allegation because there is substantial reason to believe that Rep. Schweikert used official resources for campaign purposes or pressured congressional staff to perform political activity.

3. Rep. Schweikert may have authorized compensation to an employee who did not perform duties commensurate with the compensation the employee received. If Rep. Schweikert authorized compensation to an employee who did not perform duties commensurate with the compensation the employee received, then Rep. Schweikert may have violated House rules, standards of conduct, and federal law.

4. The Board recommends that the Committee further review the above allegation because there is substantial reason to believe that Rep. Schweikert authorized compensation to an employee who did not perform duties commensurate with the compensation the employee received.

5. Rep. Schweikert may have improperly tied official activities to past or potential campaign or political support. If Rep. Schweikert improperly linked official activities to campaign or political support, then he may have violated House rules, standards of conduct, and federal law.

6. The Board recommends that the Committee dismiss the above allegation because there is not substantial reason to believe that Rep. Schweikert improperly linked official activities to campaign or political support.

7. Rep. Schweikert or his campaign committee may have received loans or gifts from a congressional employee. If Rep. Schweikert solicited or accepted a loan, gift, or other contribution from a congressional employee, then Rep. Schweikert may have violated House rules, standards of conduct, and federal law.

8. The Board recommends that the Committee further review the above allegation because there is substantial reason to believe that Rep. Schweikert solicited or accepted a loan, gift, or other contribution from a congressional employee.

9. Rep. Schweikert may have omitted required information from his annual House financial disclosure statements and FEC candidate committee reports. If Rep. Schweikert failed to disclose required information in his annual House financial disclosure statements or FEC candidate committee filings, then he may have violated House rules, standards of conduct, and federal law.

10. The Board recommends that the Committee further review the above allegation because there is substantial reason to believe that Rep. Schweikert failed to disclose required information in his annual House financial disclosure statements or FEC candidate committee filings.

B. <u>Jurisdiction Statement</u>

11. The allegations that were the subject of this review concern Rep. David Schweikert, a Member of the United States House of Representatives from the 6th District of Arizona. The Resolution the United States House of Representatives adopted creating the OCE directs that, "[n]o review shall be undertaken… by the board of any alleged violation that occurred before

the date of adoption of this resolution."[2] The House adopted this Resolution on March 11, 2008. Because the conduct under review occurred after March 11, 2008, review by the Board is in accordance with the Resolution.

C. Procedural History

12. The OCE received a written request for preliminary review in this matter signed by at least two members of the Board on April 10, 2018. The preliminary review commenced on April 11, 2018.[3]

13. On April 11, 2018, the OCE notified Rep. Schweikert of the initiation of the preliminary review, provided him with a statement of the nature of the review, notified him of his right to be represented by counsel in this matter, and notified him that invoking his right to counsel would not be held negatively against him.[4]

14. At least three members of the Board voted to initiate a second-phase review in this matter on May 10, 2018. The second-phase review commenced on May 11, 2018.[5] The second-phase review was scheduled to end on June 24, 2018.

15. On May 10, 2018, the OCE notified Rep. Schweikert of the initiation of the second-phase review in this matter, and again notified him of his right to be represented by counsel in this matter, and that invoking that right would not be held negatively against him.[6]

16. The Board voted to extend the second-phase review by an additional period of fourteen days on June 8, 2018. The additional period ended on July 8, 2018.

17. The Board voted to refer the matter to the Committee on Ethics and adopted these findings on August 29, 2018.

18. The report and its findings in this matter were transmitted to the Committee on Ethics on September 5, 2018.

D. Summary of Investigative Activity

19. The OCE requested documentary or testimonial information from the following sources:

    (1) Rep. Schweikert;
    (2) Oliver Schwab, Rep. Schweikert's former Chief of Staff;
    (3) Katherina Dimenstein, Rep. Schweikert's current Chief of Staff;
    (4) Kevin Knight, Rep. Schweikert's current Deputy Chief of Staff;

[2] H. Res. 895 of the 110th Congress §1(e) (2008) (as amended) (hereafter "the Resolution").
[3] A preliminary review is "requested" in writing by members of the Board of the OCE. The request for a preliminary review is received by the OCE on a date certain. According to the Resolution, the timeframe for conducting a preliminary review is 30 days from the date of receipt of the Board's request.
[4] Letter from Omar S. Ashmawy, Chief Counsel and Staff Director, OCE, to Rep. Schweikert, April 11, 2018.
[5] According to the Resolution, the Board must vote (as opposed to make a written authorization) on whether to conduct a second-phase review in a matter before the expiration of the 30-day preliminary review. If the Board votes for a second-phase, the second-phase commences the day after the preliminary review ends.
[6] Letter from Omar S. Ashmawy, Chief Counsel and Staff Director, OCE, to Rep. Schweikert, May 10, 2018.

(5) Ernestina Borquez-Smith, Rep. Schweikert's current Director of Constituent Services and Office Manager;
(6) Katherine Duveneck, Rep. Schweikert's current Legislative Assistant;
(7) Camille Lepire, Rep. Schweikert's current Legislative Aide;
(8) Ashley Sylvester, Rep. Schweikert's current Officer Manager and Press Secretary;
(9) Molly Gartland, Rep. Schweikert's current Coalitions Director;
(10) Former Deputy Chief of Staff;
(11) Former Financial Administrator;
(12) Former Legislative Director;
(13) Former Communications Staffer;
(14) Former District Staffer;
(15) Matt Tully, former Rep. Schweikert staff member;
(16) Kelly Roberson, former Rep. Schweikert staff member;
(17) Thomas Leander, former Rep. Schweikert staff member;
(18) Michelle Stoika, former Rep. Schweikert staff member;
(19) Campaign Financial Services, an FEC compliance firm working for various Rep. Schweikert-affiliated campaign and political action committees;
(20) Valerie Giramberk, current and former treasurer to various Rep. Schweikert-affiliated campaign and political action committees and former employee of Sheridan Equities Holdings;
(21) Karen Garrett, former Schweikert for Congress Treasurer;
(22) Metro Phoenix Bank;
(23) JPMorgan Chase Bank, N.A.;
(24) Branch Banking and Trust Company;
(25) Financial Institution Employee A;
(26) Financial Institution Employee B;
(27) Firetrace USA LLC;
(28) Former Firetrace Executive;
(29) Mark Cavanaugh, former Firetrace CEO;
(30) Teddy Eynon, lobbyist for Firetrace USA LLC;
(31) Ana Schwab, wife of Oliver Schwab; and
(32) Swartz & Brough, Inc.

20. The following individuals and entities refused to cooperate with the OCE's review:

(1) Rep. Schweikert;
(2) Oliver Schwab;
(3) Katherina Dimenstein;
(4) Kevin Knight;
(5) Ernestina Borquez-Smith;
(6) Katherine Duveneck;
(7) Camille Lepire;
(8) Ashley Sylvester;
(9) Molly Gartland;

(10) Matt Tully;
(11) Kelly Roberson;
(12) Thomas Leander;
(13) Michelle Stoika;
(14) Campaign Financial Services;
(15) Valerie Giramberk;
(16) Karen Garrett;
(17) Firetrace USA LLC;
(18) Mark Cavanaugh;
(19) Teddy Eynon; and
(20) Ana Schwab.

III. REP. SCHWEIKERT MAY HAVE MISUSED CONGRESSIONAL RESOURCES AND PRESSURED STAFF TO PERFORM CAMPAIGN ACTIVITY

A. Applicable Law, Rules, and Standards of Conduct

21. Federal Law

2 U.S.C. § 5341(a) states that "[t]here is established for the House of Representatives a single allowance, to be known as the 'Members' Representational Allowance', which shall be available to support the conduct of the official and representational duties of a Member of the House of Representatives with respect to the district from which the Member is elected."

31 U.S.C. § 1301(a) states that "[a]ppropriations shall be applied only to the objects for which the appropriations were made except as otherwise provided by law."

18 U.S.C. § 606, states that a Member or congressional staffer who "discharges, or promotes, or degrades, or in any manner changes the official rank or compensation of any other officer or employee, or promises or threatens so to do, for giving or withholding or neglecting to make any contribution of money or other valuable thing for any political purpose, shall be fined under this title or imprisoned not more than three years, or both."[7]

22. House Rules

Under House Rule 23, Clause 8(a), "A Member, Delegate, Resident Commissioner, or officer of the House may not retain an employee who does not perform duties for the offices of the employing authority commensurate with the compensation such employee receives."[8]

[7] "Depending on the circumstances, compelling a House employee to do campaign work may also violate a provision of the federal criminal code, 18 U.S.C. § 606. That statute covers intimidation to secure not only monetary contributions for a political purpose, but anything of value, apparently including services." House Ethics Manual (2008) ("House Ethics Manual") at 136, n. 17.

[8] "Payments must be consistent with House Rule XXIII, clause 8(a), which requires that employees perform official duties commensurate with the compensation received. Employees may not be compensated from public funds to perform nonofficial, personal, campaign-related political party, or campaign activities on behalf of the Member." Committee on House Administration, Members' Congressional Handbook, 113th Congress (Dec. 16,

23. House Ethics Manual

Pursuant to the House Ethics Manual, "[t]he misuse of the funds and other resources that the House of Representatives entrusts to Members for the conduct of official House business is a very serious matter. Depending on the circumstances, such conduct may result in not only disciplinary action by the House, but also criminal prosecution. Moreover, while any House employee who makes improper use of House resources is subject to disciplinary action by the Standards Committee, each Member should be aware that he or she may be held responsible for any improper use of resources that occurs in the Member's office. The Standards Committee has long taken the position that each Member is responsible for assuring that the Member's employees are aware of and adhere to the rules, and for assuring that House resources are used for proper purposes."[9]

The House Ethics Manual also states that, "[a]mong the specific activities that clearly may not be undertaken in a congressional office or using House resources (including official staff time) are the solicitation of contributions; the drafting of campaign speeches, statements, press releases or literature; the completion of FEC reports; the creation or issuance of a campaign mailing; and the holding of a meeting on campaign business."[10]

The House Ethics Manual further explains, "[I]t is permissible for House employees to do campaign work, but only outside of congressional space, without the use of any House resources, and on their own time (as opposed to 'official' time for which they are compensated by the House). Accordingly, any House employee who does campaign work must ensure that the work – including any telephone conversations or other communications concerning campaign business – is performed strictly in compliance with these limitations."[11]

Pursuant to the Manual, "[o]nce House employees have completed their official duties, they are free to engage in campaign activities on their own time, as volunteers or for pay, as long as they do not do so in congressional offices or facilities, or otherwise use official resources. . . . It should be stressed that although House employees are free to engage in campaign activities on their own time, in no event may a Member or office compel a House employee to do campaign work. To do so would result in an impermissible official subsidy of the Member's campaign. The prohibition against coercing staff or requiring staff members to do campaign work is quite

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2011) at 9; *see also* Committee on House Administration, Members' Congressional Handbook, 115th Congress (Feb. 27, 2018) at 11 (providing same guidance).

[9] House Ethics Manual at 124. The Committee, in *In the Matter of Rep. E.G. "Bud" Shuster*, H. Rep. 106-979, 106th Cong., 2nd Sess. 31 (2000) stated that "[t]he importance of the fact that the salary of a House employee is to be used exclusively for the performance of official House duties, and not for the performance of campaign work, cannot be overstated." *In the Matter of Rep. E.G. "Bud" Shuster*, H. Rep. 106-979, 106th Cong., 2nd Sess. 31 (2000) at 53. The Committee found that congressional staff members in Rep. Shuster's office were routinely performing campaign work in the congressional office and also being compensated with official MRA funds for time periods in which they were working for Rep. Shuster's principal campaign committee. *Id.* at 51-64. The Committee went on to hold Rep. Shuster responsible for these violations, since they were employees "under his supervision and control," even though the Committee found "no direct evidence that Rep. Shuster was aware that this activity was taking place." *Id.* at 64.

[10] House Ethics Manual at 124.

[11] *Id.* at 126

broad. It forbids Members and senior staff from not only threatening or attempting to intimidate employees regarding doing campaign work, but also from directing or otherwise pressuring them to do such work."[12]

As the House Ethics Manual explains, "[u]nder House Rule 23, clause 8 a Member is always responsible for ensuring that each of his or her employees performs official duties that are commensurate with the compensation that the employee receives from the House. Thus when it is anticipated that an employee will be assuming significant campaign duties, it may be necessary for the employing Member to make an appropriate reduction in the employee's House pay."[13]

B. Rep. Schweikert May Have Used Congressional Resources, Including Staff Time, for Political Activities and May Have Pressured Congressional Staff to Perform Campaign Work

24. The OCE interviewed five former congressional staff members about their experiences in Rep. Schweikert's congressional office.[14] These staff members raised varying levels of concern about misuse of official resources by Rep. Schweikert and Oliver Schwab. Rep. Schweikert's current congressional staff refused to interview with the OCE.[15]

25. Generally, the individuals that interviewed with the OCE raised concerns about the following: Mr. Schwab's frequent absences from the congressional office; Mr. Schwab's time spent inside and away from the House office complex working on political matters; and Rep. Schweikert and Mr. Schwab pressuring congressional employees to perform campaign work. Interviewees described a congressional office in which Oliver Schwab frequently was absent for long periods of time in order to handle political work for Rep. Schweikert, and when he was in the office, he would perform campaign responsibilities. Staff members also

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[12] *Id.* at 135-36. The Committee, in both a 2014 and 2018 Pink Sheet, stressed that congressional employees may not be pressured in any way to perform political work. Memorandum from the Committee on Ethics on Campaign Activity Guidance (Aug. 15, 2014); Memorandum from the Committee on Ethics on Campaign Activity Guidance (June 7, 2018). According to the 2014 Pink Sheet: "If you wish to work for your employing Member's campaign, you certainly may do so as long as you do it voluntarily, without using official resources, not on official grounds, and on your own time. Work on your employing Member's campaign may not be coercive, and it may not impact or inform congressional employment decisions. **Your position in the congressional office may not be threatened or influenced by whether you choose to work on any campaign.** If you feel pressured to do campaign work to keep or improve your congressional employment, you should immediately address the matter with a supervisor or contact the Committee. The Committee takes very seriously allegations of coerced campaign work and the House has disciplined Members for such actions." Memorandum from the Committee on Ethics on Campaign Activity Guidance, at 10 (Aug. 15, 2014) (emphasis in original). The Pink Sheet goes on to say that "supervisors should be clear when communicating with staff about opportunities to volunteer for the employing Member's campaign" and explain that "official work and positions will not be impacted by an employee's decision about volunteering or working for a political campaign." *Id.*

[13] House Ethics Manual at 140.

[14] This number excludes Former Financial Administrator, who was a shared employee who did not work out of Rep. Schweikert's congressional office.

[15] Rep. Schweikert's campaign committee appears to have hired Holland & Knight LLP ("Holland & Knight") to represent current and former staff members that wanted representation during the course of the OCE's review. *See* Transcript of Interview with Matt Tully, June 27, 2018 ("Tully Transcript") (Exhibit 1 at 18-2234_0017-18; 43-44]). Through counsel at Holland & Knight, the following individuals communicated their refusal to cooperate: Katherina Dimenstein; Ernestina Borquez-Smith; Katherine Duveneck; Camille Lepire; Ashley Sylvester; and Molly Gartland.

described pressure, or an "expectation," to perform political work in support of Rep. Schwerikert's reelection efforts. Below, the OCE describes the extent to which each of these five interviewees recalled witnessing problematic behavior by either Rep. Schweikert or Oliver Schwab.

26. Former Legislative Director, a Legislative Assistant and then Legislative Director in Rep. Schweikert's office between February 2011 and January 2017, told the OCE that, even though Oliver Schwab was the Chief of Staff of the congressional office, "the majority of [Mr. Schwab's] focus was directed towards [Rep. Schweikert's] reelection."[16] According to Former Legislative Director, Oliver Schwab was a "relatively hands off" Chief of Staff who "wasn't engaged with the office for the most part on the day to day operations" because he was focused on political activities.[17]

27. Former Legislative Director told the OCE that Mr. Schwab would, while inside the House office complex or Rep. Schweikert's congressional office, discuss campaign fundraising activities, ask congressional staff members to proofread campaign emails, and possibly make campaign-related phone calls.[18] He also described how Oliver Schwab was "in and out of the office fairly frequently" and would, upon returning to the congressional office, regularly boast about securing contributions for Rep. Schweikert's reelection efforts.[19] According to Former Legislative Director, Mr. Schwab was "relatively open about" his focus on political activities, and he believed Rep. Schweikert was aware that Mr. Schwab primarily was focused on political matters.[20]

28. Additionally, Former Legislative Director told the OCE that he was asked, while in his capacity as a congressional staff member, to "provide policy support" to Rep. Schweikert, knowing that the support was intended to assist Rep. Schweikert's fundraising efforts.[21] He described this as a "wink and nod" scenario, where he was never explicitly told that he was preparing Rep. Schweikert for a fundraising event, but he knew it to be the case.[22] Former Legislative Director said that the amount of time he worked on political activities would fluctuate, but he estimated spending twenty percent of his time on such matters during an election cycle.[23]

29. Former Legislative Director told the OCE that he never was employed by the campaign and did not consider himself a campaign volunteer. Instead, he described an "expectation" in the congressional office that staff members would be available to assist with political activity.[24] While he was "not sure if [the expectation] stemmed from [Rep. Schweikert] . . . or [Mr.

[16] Transcript of Interview of Former Legislative Director, Jan. 31, 2018 ("Former Legislative Director Transcript") (Exhibit 2 at 18-2234_0066).
[17] *Id.*
[18] *Id.* at (Exhibit 2 at 18-2234_0066-67).
[19] *Id.* at (Exhibit 2 at 18-2234_0066).
[20] *Id.* at (Exhibit 2 at 18-2234_0066-67).
[21] *Id.* at (Exhibit 2 at 18-2234_0055-56).
[22] *Id.*
[23] *Id.* at (Exhibit 2 at 18-2234_0056-57). Before settling on twenty percent, Former Legislative Director explained that he could spend up to fifty percent of his time handling political matters, depending on the time of year. *See id.*
[24] *Id.* at (Exhibit 2 at 18-2234_0058; 61).

Schwab]," he said that "[Rep. Schweikert] was certainly aware of it and allowed it to exist."[25] When asked whether he raised concerns with Rep. Schweikert or Mr. Schwab about this perceived political pressure, Former Legislative Director said he did not.[26] He then explained that he believed his employment may have been terminated, or his salary or promotion potential would have been adversely affected, if he raised such concerns.[27]

30. One specific example where Former Legislative Director was asked, in his role as a congressional staff member, to perform campaign work, involved preparing a policy memo for Rep. Schweikert in advance of a debate with then-Rep. Ben Quayle, who Rep. Schweikert was competing against in the 2012 Republican primary.[28] According to Former Legislative Director, "prior to the Ben Quayle debate, or, I guess, quasi-debate . . . I was asked to prepare information on the NDAA [National Defense Authorization Act], because they were opposing votes on that" and Rep. Schweikert "was prepared . . . [t]o use that as a wedge between the two if needed."[29]

31. Like Former Legislative Director, Former Deputy Chief of Staff acknowledged pressure to perform political work. Former Deputy Chief of Staff told the OCE that, in October 2016, Mr. Schwab – Rep. Schweikert's-then Chief of Staff and Former Deputy Chief of Staff's then-direct superior – told him that he could either leave the office with a six-month "severance" package or continue to work in the official office while taking on significant campaign fundraising responsibilities.

32. Specifically, Mr. Schwab told Former Deputy Chief of Staff that he had two options:

> You can quit with [a six-month] severance or you can come back next year at a reduced title, reduced pay, you'll never see your family, and you're going to be judged on how much money we bring in to [Rep. Schweikert's campaign], even though you're not the fundraiser, you're going to be the one that's responsible for that top dollar.[30]

33. Former Deputy Chief of Staff went on to characterize the statement above as a "threat," and explained that he ultimately decided to accept the "severance" offer.[31]

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[25] *Id.* at (Exhibit 2 at 18-2234_0058).
[26] *Id.* at (Exhibit 2 at 18-2234_0061).
[27] *Id.* When asked how he felt about doing political work under these circumstances, Former Legislative Director said he "wasn't happy with it," because "it was redirecting [his] time and efforts to focus on an issue that . . . [Rep. Schweikert] didn't care about," aside from the fact that it could result in a campaign donation. *Id.* at (Exhibit 2 at 18-2234_60-61).
[28] *Id.* at (Exhibit 2 at 18-2234_0062-63).
[29] *Id.* The Board recognizes that the official and campaign offices may need to address similar or overlapping issues, and confusion may arise in that regard, but notes that the House Ethics Manual and Committee publications provide guidance on campaign activity and the appropriate use of official resources.
[30] Transcript of Interview of Former Deputy Chief of Staff, Jan. 18, 2018 ("Former Deputy Chief of Staff Transcript, Part 1") (Exhibit 3 at 18-2234_0087); *see also id.* at (Exhibit 3 at 18-2234_0086) ("I was then given the option of staying for a dramatically reduced salary, with my performance being judged on campaign donations or leaving with a six-month severance. I chose to leave.").
[31] *Id.* at (Exhibit 3 at 18-2234_0086; 88).

34. Former Deputy Chief of Staff did not believe this threatened demotion, pay reduction, and fundraising requirement emanated from Rep. Schweikert; instead, he believed the threat resulted from fundraising pressure that Rep. Schweikert applied to Mr. Schwab.[32] Former Deputy Chief of Staff told the OCE that Rep. Schweikert "was putting increasing pressure on [Mr. Schwab] to raise money because [Rep. Schweikert] wanted to run for the Senate. [Rep. Schweikert] was basically telling [Mr. Schwab], 'I need a million dollars if I'm going to run for the Senate.' I think that was weighing on [Mr. Schwab]."[33]

35. Former Deputy Chief of Staff also recalled Mr. Schwab doing campaign work in Rep. Schweikert's congressional office, including stuffing and stamping campaign mailers.[34] However, he did not recall Mr. Schwab frequently performing campaign work in the office, and he did not believe that Mr. Schwab's primary focus in the congressional office was political activity.[35] When asked if he or any other congressional staff members would have been directed to do campaign work, Former Deputy Chief of Staff said no.[36] He also indicated that fundraising events generally would "line-up[] with the day to day" work handled by the official staff and told the OCE that Oliver Schwab generally would attend political events during his lunch break or after hours.[37]

36. Former Communications Staffer, a communications staff member in the congressional office from January 2011 through September 2013, recalled that Mr. Schwab frequently was absent from the congressional office. She told the OCE that Oliver Schwab was "barely ever in [the congressional] office" and "it was almost like he could have been chief or couldn't have been chief and the office would have . . . function[ed] the same."[38] Former Communications Staffer could not say with certainty where Mr. Schwab was during his frequent and prolonged absences from the congressional office, but she believed him to be handling political activities for Rep. Schweikert.[39]

37. Former Communications Staffer did not recall seeing Mr. Schwab perform political activity in Rep. Schweikert's congressional office; however, she indicated that she would not be surprised if it routinely occurred.[40] When asked whether Rep. Schweikert or Oliver Schwab pressured congressional staff to engage in campaign activity, she told the OCE that she did not witness either individual applying such pressure.[41]

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[32] *Id.* at (Exhibit 3 at 18-2234_0088) ("[Rep. Schweikert] had said to me on multiple occasions, 'I'm leaning on Oliver to raise money. That's not your responsibility.'").
[33] *Id.*
[34] Transcript of Interview of Former Deputy Chief of Staff, Feb. 8, 2018 ("Former Deputy Chief of Staff Transcript, Part 2") (Exhibit 4 at 18-2234_0129-30).
[35] *Id.* at (Exhibit 4 at 18-2234_0129-32).
[36] *Id.* at (Exhibit 4 at 18-2234_0133-35).
[37] *Id.* at (Exhibit 4 at 18-2234_0131; 134).
[38] Transcript of Interview of Former Communications Staffer, July 2, 2018 ("Former Communications Staffer Transcript") (Exhibit 5 at 18-2234_0168-69).
[39] *Id.* at (Exhibit 5 at 18-2234_0168; 170-71; 175-76).
[40] *Id.* at (Exhibit 5 at 18-2234_0166-67).
[41] *Id.* at (Exhibit 5 at 18-2234_0166).

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

38. Matt Tully was a former Legislative Director and Chief of Staff in Rep. Schweikert's office between January 2011 and May 2013.[42] Mr. Tully acknowledged that Mr. Schwab frequently was absent from the congressional office and engaged in political activity while in the House complex. Specifically, Mr. Tully told the OCE that Oliver Schwab was "gone a fair amount" from the congressional office because he was "making political phone calls outside of the office."[43] He also told the OCE that Mr. Schwab met with a political pollster in the congressional office to discuss campaign polling matters,[44] and remembered a policy memo being prepared by a congressional staff member in advance of Rep. Schweikert's debate with Ben Quayle.[45]

39. Former District Staffer worked in Rep. Schweikert's district office from January 2012 until October 2013, and he took a leave of absence between May and August 2012 in order to manage Rep. Schweikert's reelection campaign. While Former District Staffer did not work in the D.C. office, he recalled several D.C.-based congressional staff members complaining about Oliver Schwab's behavior.

40. He told the OCE that Mr. Schwab "wasn't present a lot in the office in the last few months I was [employed by Rep. Schweikert]. I'd hear from [Former Communications Staffer], I'd hear from [Former Deputy Chief of Staff] . . . that they didn't know where Oliver was. He'd disappear for four or five hours."[46] Like Former Communication Staffer, he could not definitively say where Oliver Schwab was, but assumed he was at the NRCC making political phone calls.[47]

41. While Former District Staffer did not recall seeing Mr. Schwab perform political activity in a congressional office or pressure anyone to perform campaign work, he recounted that Former Deputy Chief of Staff, Former Communications Staffer, and Matt Tully would complain that Oliver frequently was "pushing the envelope" with some political activities he was doing in or around the congressional office.[48] He described "concerns from a lot of the D.C. staff about Oliver [Schwab] pushing the envelope and trying to push the line, and having to keep him in check on that."[49]

42. He also recalled that a separate office was "opened up . . . outside the district office but nearby so that we had a place where Oliver could go and do [political work], . . . so it wasn't

[42] As discussed *infra*, Section IX, the OCE found portions of Mr. Tully's testimony to lack credibility given his friendship with Mr. Schwab, his less than forthcoming responses during his interview with the OCE, and his decision not to provide the OCE with requested documents. However, the OCE notes Mr. Tully nevertheless acknowledged that Mr. Schwab frequently was absent from the congressional office and did engage in political activity when he was present in it.
[43] Tully Transcript (Exhibit 1 at 18-2234_0030).
[44] *Id.*
[45] *Id.* at (Exhibit 1 at 18-2234_0032-33).
[46] Transcript of Interview of Former District Staffer, June 29, 2018 ("Former District Staffer Transcript") (Exhibit 6 at 18-2234_0197); *see also id.* at (Exhibit 6 at 18-2234_0217-18; 221).
[47] *Id.* at (Exhibit 6 at 18-2234_0218).
[48] *Id.* at (Exhibit 6 at 18-2234_0215-18).
[49] *Id.* at (Exhibit 6 at 18-2234_0216).

in the district office."[50] That office was opened out of a "desire to avoid political work, the temptation to do political work in the District office."[51]

43. As discussed above, "a Member is always responsible for ensuring that each of his or her employees performs official duties that are commensurate with the compensation that the employee receives from the House" and the Committee "has long taken the position that each Member is responsible for assuring that the Member's employees are aware of and adhere to the rules, and for assuring that House resources are used for proper purposes."[52] Accordingly, Rep. Schweikert is responsible for ensuring that he, and staff members working in his congressional office, operated in accordance with the rules of the House.

44. Additionally, the OCE notes that performing campaign work outside of the office on one's personal time is permissible under House rules. However, without the cooperation of Rep. Schweikert or his office, the OCE could not determine Mr. Schwab's work schedule. Testimony from former staff members suggests that Mr. Schwab may not have conformed with House rules in this regard.

45. Based on the foregoing information, the Board finds that there is substantial reason to believe that Rep. Schweikert used official resources, including staff time, for political purposes and pressured congressional staff to perform political activity.

IV. REP. SCHWEIKERT MAY HAVE AUTHORIZED THE PAYMENT OF COMPENSATION TO AN EMPLOYEE FOR WORK THAT WAS NOT COMMENSURATE WITH DUTIES THE EMPLOYEE PERFORMED

A. Applicable Law, Rules, and Standards of Conduct

46. Federal Law

2 U.S.C. § 5341(a) states that "[t]here is established for the House of Representatives a single allowance, to be known as the 'Members' Representational Allowance', which shall be available to support the conduct of the official and representational duties of a Member of the House of Representatives with respect to the district from which the Member is elected."

31 U.S.C. § 1301(a) states that "[a]ppropriations shall be applied only to the objects for which the appropriations were made except as otherwise provided by law."

Pursuant to 2 U.S.C. § 4537(a):

> *(a) Approval; amount; source of payments*
>
> *Upon the approval of the appropriate employing authority, an employee of the House of Representatives may be paid a lump sum for the accrued annual leave of the employee or for any other purpose. The lump sum-*

[50] *Id.* at (Exhibit 6 at 18-2234_0215).
[51] *Id.*
[52] *See supra*, Section III.A.

(1) shall be paid in an amount not more than the lesser of-

(A) the amount of the monthly pay of the employee, as determined by the Chief Administrative Officer of the House of Representatives; or

(B) in the case of a lump sum payment for the accrued annual leave of the employee, the amount equal to the monthly pay of the employee, as determined by the Chief Administrative Officer of the House of Representatives, divided by 30, and multiplied by the number of days of the accrued annual leave of the employee . . . ."

47. House Rules

Under House Rule 23, Clause 8(a), "A Member, Delegate, Resident Commissioner, or officer of the House may not retain an employee who does not perform duties for the offices of the employing authority commensurate with the compensation such employee receives."

48. House Ethics Manual

The House Ethics Manual explains that "[t]he regulations of the Committee on House Administration require employing Members to provide monthly salary certifications for their staff."[53]

The House Ethics Manual also explains that "[t]he underlying standard for the receipt of compensation by an employee of the House is that the employee has regularly performed official duties commensurate with the compensation received. . . . Employees are paid United States Treasury funds to perform public duties. Appropriated funds are to be used solely for the purposes for which appropriated. Funds appropriated for congressional staff to perform official duties should be used only for assisting a Member in his or her legislative and representational duties, working on committee business, or performing other congressional functions."[54]

49. Members' Congressional Handbook

"A Member may not retain an employee on the Member's payroll who does not perform official duties commensurate with the compensation received for the offices of the employing authority."[55]

"Each month, Member Offices receive a Payroll Certification Form from the Office of Payroll and Benefits that lists the annual pay and gross pay earned for each employee. . . . The Member must certify the information and return the form to the Office of Payroll and Benefits no later than the 15th day of the month."[56]

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[53] House Ethics Manual at 277.
[54] *Id.* at 279.
[55] Committee on House Administration, Members' Congressional Handbook, 114th Congress, at 3 (2016); Committee on House Administration, Members' Congressional Handbook, 115th Congress, at 4 (2018).
[56] Committee on House Administration, Members' Congressional Handbook, 114th Congress, at 4 (2016); Committee on House Administration, Members' Congressional Handbook, 115th Congress, at 4 (2018).

B. Rep. Schweikert May Have Authorized an Improper "Severance" Payment to Former Deputy Chief of Staff

50. The OCE found that Rep. Schweikert authorized the payment of compensation to Former Deputy Chief of Staff for approximately six weeks after he left Rep. Schweikert's congressional office. He was paid his full congressional salary during this time period despite performing limited work for Rep. Schweikert's congressional office.

51. Former Deputy Chief of Staff began working for Rep. Schweikert in January 2011.[57] He initially was hired as a Legislative Assistant in Rep. Schweikert's congressional office, was promoted to Legislative Director in 2012, and subsequently became the office's Deputy Chief of Staff in 2014.[58]

52. As discussed above, in October 2016, Former Deputy Chief of Staff was told by Oliver Schwab, Rep. Schweikert's-then Chief of Staff, that he could either leave the congressional office with a six-month "severance" or continue to work in the official office while taking on significant campaign fundraising responsibilities.[59]

53. The "severance" offer was for full payment of his congressional salary for six months,[60] and it required Former Deputy Chief of Staff to work on an as needed basis, outside of the congressional office, until he found other employment.[61]

54. Former Deputy Chief of Staff accepted the "severance" offer.[62] His last day in Rep. Schweikert's office was November 21, 2016, and he was paid his full salary between that day and January 3, 2017.[63] Former Deputy Chief of Staff only was paid through January 3, 2017 because, in December 2016, Oliver Schwab informed him that Rep. Schweikert's congressional office was not planning to honor the "severance" arrangement past that date.[64, 65]

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[57] Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 3 at 18-2234_0085); Statement of Disbursements of the House, January 1, 2011 – March 31, 2011.
[58] Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 3 at 18-2234_0085).
[59] *See supra*, Section III.B.
[60] Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 3 at 18-2234_0086; 87; 94).
[61] *Id.* at (Exhibit 3 at 18-2234_0089; 94); Former Deputy Chief of Staff Transcript, Part 2 (Exhibit 4 at 18-2234_0143).
[62] Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 3 at 18-2234_0088; 92).
[63] *Id.* at (Exhibit 3 at 18-2234_0089); Former Deputy Chief of Staff Transcript, Part 2 (Exhibit 4 at 18-2234_0143-44); Statement of Disbursements of the House, October 1, 2016 – December 31, 2016; Statement of Disbursements of the House, January 1, 2017 – March 31, 2017.
[64] Originally, Former Deputy Chief of Staff intended to work in the congressional office through January 3, 2017, at which time his six-month "severance" would become effective. However, "the Friday before Thanksgiving, Oliver [Schwab] called [him] and said [he] was causing confusion in the office and didn't want [him] to come in[to the office] anymore." Former Deputy Chief of Staff Transcript, Part 2 at (Exhibit 4 at 18-2234_0143-44); *see also* Former Deputy Chief of Staff Transcript, Part 1 (Exhibit 3 at 18-2234_0094).
[65] With respect to Rep. Schweikert's awareness of this "severance" arrangement, Former Deputy Chief of Staff told the OCE that "[Mr. Schwab] said [Rep. Schweikert] approved it. I am doubtful of that. I don't think David ever approved a single salary for anyone . . . [S]alaries were determined between [Mr. Schwab] and I while I was doing the budget, and before that, just by [Mr. Schwab]. [Rep. Schweikert] wasn't interested in the day-to-day budget minutia." Former Deputy Chief of Staff Transcript, Part 2 (Exhibit 4 at 18-2234_0144-45). Regardless of his

55. Between November 22, 2016 and January 3, 2017, Former Deputy Chief of Staff performed approximately twenty-five to thirty total hours of work for Rep. Schweikert's congressional office.[66] In total, he received $19,180.16 for the work he performed during this 43-day period.[67]

56. Despite not being present in the office and performing less than thirty total hours of work, Rep. Schweikert certified that Former Deputy Chief of Staff regularly performed official duties commensurate with the compensation he received during this timeframe.[68] Former Deputy Chief of Staff was paid his full congressional salary based upon these certifications signed by Rep. Schweikert.

57. Based on the foregoing information, the Board finds that there is substantial reason to believe that Rep. Schweikert authorized compensation to an employee who did not perform duties commensurate with the compensation the employee received.

V. REP. SCHWEIKERT MAY HAVE TIED OFFICAL ACTIVITIES TO PAST OR FUTURE POLITICAL SUPPORT

A. Applicable Law, Rules, and Standards of Conduct

58. House Ethics Manual

The House Ethics Manual states that "no solicitation of a campaign or political contribution may be linked to an action taken or to be taken by a Member or employee in his or her official capacity."[69]

The House Ethics Manual explains that "[i]t is probably not wrong for the campaign managers of a legislator . . . to request contributions from those for whom the legislator has done appreciable favors, but this should never be presented as a payment for the services rendered. Moreover, the possibility of such a contribution should never be suggested by the legislator or his staff at the time the favor is done. Furthermore, a decent interval of time should be allowed to lapse so that neither party will feel that there is a close connection between the two acts."[70]

The Manual further explains that "a solicitation for campaign or political contributions may not be linked with an official action taken or to be taken by a House Member or employee, and a

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awareness, Rep. Schweikert certified that Former Deputy Chief of Staff was still working hours consistent with his $14,034.25/month salary. *See infra.*

[66] Former Deputy Chief of Staff Transcript, Part 2 (Exhibit 4 at 18-2234_0144).

[67] *See* Schweikert Payroll Certifications Nov. 2016 – Jan. 2017 (Exhibit 7 at 18-2234_0229-37); Statement of Disbursements of the House, October 1, 2016 – December 31, 2016; Statement of Disbursements of the House, January 1, 2017 – March 31, 2017. In November 2016, Former Deputy Chief of Staff was paid $14,034.25, which equates to $467.81/day, or $4,210.29 for the time period of November 22, 2016 – November 30, 2016. In December 2016, he was paid $14,034.25. From January 1, 2017 – January 3, 2017, he was paid $935.62. This totals $19,180.16, or between $767.21/hour and $639.34/hour for the work he performed.

[68] *See* Schweikert Payroll Certifications Nov. 2016 – Jan. 2017 (Exhibit 7 at 18-2234_0229-37).

[69] House Ethics Manual at 147.

[70] *Id.*

Member may not accept any contribution that is linked with an action that the Member has taken or is being asked to take. A corollary of these rules is that Members and staff are not to take or withhold any official action on the basis of the campaign contributions or support of the involved individuals, or their partisan affiliation. . . . Questions in this area have arisen most frequently on the matter of casework, and on this subject, the Standards Committee has long advised Members and staff that they are ***not*** *to give preferential treatment to casework requests made by the Member's supporters or contributors. Instead,* ***all*** *requests for casework assistance are to be handled according to their merits. . . . In this regard, one of the key provisions of the Code of Ethics for Government Service states, in ¶ 5, that government officials should '[n]ever discriminate unfairly by the dispensing of special favors or privileges to anyone, whether for remuneration or not.' The Code further provides, in ¶ 10, that 'public office is a public trust,' and thus the public has a right to expect House Members and staff to exercise impartial judgment in performing their duties."* [71]

According to the House Ethics Manual, "[b]ecause a Member's obligations are to all constituents equally, considerations such as political support, party affiliation, or campaign contributions should not affect either the decision of a Member to provide assistance or the quality of help that is given. While a Member should not discriminate in favor of political supporters, neither need he or she discriminate against them. As this Committee has stated: 'The fact that a constituent is a campaign donor does not mean that a Member is precluded from providing any official assistance. As long as there is no quid pro quo, a Member is free to assist all persons equally.'" [72]

59. Code of Ethics for Government Service

"Never discriminate unfairly by the dispensing of special favors or privileges to anyone, whether for remuneration or not; and never accept for himself or his family, favors or benefits under circumstances which might be construed by reasonable persons as influencing the performance of his governmental duties" [73]

B. Rep. Schweikert May Have Provided Favorable Treatment to Campaign Donors

60. During an interview with the OCE, Former Legislative Director told the OCE that he believed Rep. Schweikert's campaign donors were provided preferential treatment. He provided the OCE with two examples of such favoritism.

61. First, Former Legislative Director claimed that Rep. Schweikert's congressional office wrote formal letters of support on behalf of an organization seeking grant funding in Africa because Rep. Schweikert received a campaign contribution from someone affiliated with that organization. According to Former Legislative Director:

[71] *Id.* at 150-51 (emphasis in original).
[72] *Id.* at 308.
[73] Code of Ethics for Government Service, ¶ 5.

> [a] gentleman and his organization contributed to the campaign and following the contribution, Oliver [Schwab] asked me to set up a meeting with him to discuss their issues. Then following that meeting, we subsequently submitted letters in support of their initiatives.
>
> . . .
>
> What I was told is, that the gentleman . . . donated to the campaign and that we want to be as friendly as we can and as helpful as we can because of those contributions. I don't think there was a direct quid pro quo, but Oliver clearly made it certain that we wanted to be helpful because he was a donor.[74]

62. Former Legislative Director was unable to recall, and the OCE was not able to determine, the identity of this individual or organization.

63. Former Legislative Director also alleged favorable treatment by the congressional office on behalf of Firetrace USA LLC ("Firetrace"). Firetrace is a Scottsdale, Arizona-based company that manufactures fire-suppressant systems for the Department of Defense, along with a variety of other industries.[75] The company worked with a lobbyist named Teddy Eynon, who employed Oliver Schwab's wife, Ana Schwab.[76]

64. Former Legislative Director oversaw the defense portfolio for Rep. Schweikert and therefore handled matters concerning Firetrace.[77]

65. Former Legislative Director explained that individuals at Firetrace "were large donors to [Rep. Schweikert's] campaign. . . . [T]hey [were] also a client of the company that Ana [Schwab] worked for. . . It was clear that given the relationships that were built, their donations, we wanted to be as forward leaning as possible in advocating for their products with [the] armed services and appropriations committee[s]."[78] He went on to explain that Mr. Schwab told him that preference needed to be given to Firetrace.[79]

66. The OCE requested documents or interviews from several individuals associated with Firetrace, including Mr. Eynon, Mrs. Schwab, and Mark Cavanaugh, a former CEO and senior executive at Firetrace who coordinated the company's lobbying efforts.[80] These individuals, like Rep. Schweikert and Oliver Schwab, refused to cooperate with the OCE's review. Firetrace provided the OCE with documents; however, Firetrace refused to certify that they provided a complete production to the OCE.[81]

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[74] Former Legislative Director Transcript (Exhibit 2 at 18-2234_0059).
[75] *See* Transcript of Interview of Former Firetrace Executive, June 19, 2018 ("Former Firetrace Executive Transcript") (Exhibit 8 at 18-2234_0241-42); Firetrace, *About Firetrace*, https://www.firetrace.com/about-firetrace/ (last visited Aug. 3, 2018).
[76] Former Legislative Director Transcript (Exhibit 2 at 18-2234_0059-60); Former Firetrace Executive Transcript (Exhibit 8 at 18-2234_0250).
[77] Former Legislative Director Transcript (Exhibit 2 at 18-2234_0059).
[78] *Id.* (Exhibit 2 at 18-2234_0059-60).
[79] *Id.*
[80] Former Firetrace Executive Transcript (Exhibit 8 at 18-2234_0243; 246).
[81] *See* OCE Rules for the Conduct of Investigations, Rule 4(A).

67. These allegations of favorable treatment, coupled with such extensive non-cooperation, raise concern; however, the OCE found other evidence which suggests that Rep. Schweikert may not have dispensed special favors to campaign donors.

68. For example, Firetrace executives only donated to Rep. Schweikert's congressional campaign committees on three occasions between 2011 and the present. Mark Cavanaugh contributed $2,500.00 on June 29, 2011 and $2,500.00 on May 22, 2013.[82] Former Firetrace Executive contributed $1,000.00 on June 29, 2011.[83] Former Firetrace Executive could not recall any other donations by Firetrace executives to Rep. Schweikert,[84] and the OCE did not definitively identify any other contributions to Rep. Schweikert from individuals associated with Firetrace.

69. Additionally, the OCE interviewed Former Firetrace Executive who indicated that Rep. Schweikert never assisted Firetrace in any material way.[85] Former staff members also said they had no recollection of campaign donors being provided favorable treatment.[86]

70. Finally, the OCE notes that when asked whether Rep. Schweikert had knowledge of the alleged favorable treatment of donors, Former Legislative Director said the following:

> At least in my presence, there was never any explicit conversations where [Rep. Schweikert] directed us – staff – to provide preferential treatment to [Ana Schwab's] clients or to campaign donors. There were some discussions based on donors as far as who was giving what in the office, but from my experience at least, to me, [Rep. Schweikert] never said, "these folks are giving money. Take care of them." It was usually driven from Oliver.[87]

71. Based on the foregoing information, the Board finds that there is not substantial reason to believe that Rep. Schweikert improperly linked official activities to campaign or political support.

VI. REP. SCHWEIKERT MAY HAVE SOLICITED OR ACCEPTED LOANS OR GIFTS FROM A CONGRESSIONAL EMPLOYEE

A. Applicable Law, Rules, and Standards of Conduct

72. Federal Law

Under 18 U.S.C. § 602, "It shall be unlawful for . . . an individual elected to or serving in the office of Senator or Representative . . . to knowingly solicit any contribution within the meaning

[82] Schweikert for Congress, FEC Quarterly Report of Receipts and Disbursements (Q2), filed July 15, 2011 at 38; Friends of David Schweikert, FEC Quarterly Report of Receipts and Disbursements (Q2), filed July 15, 2013 at 17.
[83] Schweikert for Congress, FEC Quarterly Report of Receipts and Disbursements (Q2), filed July 15, 2011 at 53.
[84] Former Firetrace Executive Transcript (Exhibit 8 at 18-2234_0259).
[85] *Id.* at (Exhibit 8 at 18-2234_0239-78).
[86] *See e.g.*, Former Deputy Chief of Staff Transcript, Part 2 (Exhibit 4 at 18-2234_0137-38).
[87] Former Legislative Director Transcript (Exhibit 2 at 18-2234_0060).

of section 301(8) of the Federal Election Campaign Act of 1971 from any other such officer, employee, or person. [88]

73. House Rules

Under House Rule 25, clause 5(a)(3)(R)(v), Members may accept opportunities and benefits that are "in the form of loans from banks and other financial institutions on terms generally available to the public."

Under House Rule 25, clause 5(a)(3)(A), Members may accept anything for which they "pay[] the market value."

74. House Ethics Manual

According to the House Ethics Manual, "Members, officers, and employees may accept opportunities and benefits that are 'in the form of loans from banks and other financial institutions on terms generally available to the public' (House Rule 25, clause 5(a)(3)(R)(v)). In addition . . . the Committee has determined that Members and staff may accept a loan from a person other than a financial institution, provided that the loan is on commercially reasonable terms, including requirements for repayment and a reasonable rate of interest."[89]

Further, "federal law generally bars government employees from giving gifts to their official superiors. While the Committee has recognized common-sense exceptions for voluntary gifts on special occasions, as a general rule, Members may not accept things of value from their staff members, and higher level staff members may not accept things of value from those who work for them."[90]

The Manual also stresses that "[t]he prohibition against an employee making . . . a contribution to the individual's employing Member is absolute. A House employee may not make such a contribution even if the contribution was entirely unsolicited and the employee genuinely wishes to make the contribution. . . . The definition of the term contribution in the FECA is quite detailed . . . [U]nder FEC regulations, most ***outlays*** *that an individual makes on behalf of a campaign are deemed to be a* ***contribution*** *to that campaign from that individual.* ***This is so even if it is intended that the campaign will reimburse the individual promptly.*** *The major exception to this*

[88] "The term 'contribution' includes (i) any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for Federal office." 52 U.S.C. § 30101(8)(A); *see also* 11 C.F.R. § 100.52(a).
[89] House Ethics Manual at 68.
[90] House Ethics Manual at 70 (noting the following "common-sense exceptions": "a birthday, holiday, marriage, the birth of a child, anniversary, retirement, and like occasions when gifts are traditionally given"). Note that under House Rule 25, a "gift" is defined to include a loan. *See* House Rule 25, clause 5(a)(2)(A) ("[T]he term 'gift' means a gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. The term includes gifts of services, training, transportation, lodging, and meals, whether provided in kind, by purchase of a ticket, payment in advance, or reimbursement after the expense has been incurred").

rule is for outlays that an individual makes to cover expenses that he or she incurs in ***traveling*** *on behalf of a campaign."*[91]

The House Ethics Manual also states that a Member "must take reasonable steps to ensure that any outside organization over which he or she exercises control – including the individual's own authorized campaign committee . . . – operates in compliance with applicable law."[92]

B. Oliver Schwab May Have Loaned Money to Rep. Schweikert Personally or to Rep. Schweikert's Campaign

75. The OCE spoke to former members of Rep. Schweikert's official staff who said that Mr. Schwab loaned money to Rep. Schweikert or to his campaign. None of these individuals could identify a specific amount that was loaned, or a specific time period in which a loan occurred; however, three former staff members recalled Oliver Schwab frequently complaining, between 2014 and 2016, about not being repaid by Rep. Schweikert or his campaign.[93]

76. Former Deputy Chief of Staff recalled Oliver Schwab complaining that Rep. Schweikert owed him "something in excess of $30,000.00."[94] Former Deputy Chief of Staff did not know whether Rep. Schweikert owed this money personally or if it was owed by his campaign, but he recalled Mr. Schwab complaining every couple of months that Rep. Schweikert "owed him money [for things he put] on his credit card."[95] Former Deputy Chief of Staff recalled hearing these complaints between 2015 and 2016 (although he may have heard them as early as 2013).[96]

77. Former Legislative Director told the OCE that Oliver Schwab "donated or he loaned [Rep. Schweikert's] campaign a relatively significant amount."[97] He believed Mr. Schwab was owed approximately five or ten thousand dollars by Rep. Schweikert's campaign committee.[98] He recalled hearing Mr. Schwab complain about this in late 2016.[99]

78. Former Financial Administrator, a financial administrator in Rep. Schweikert's office between 2014 and 2017, told the OCE that Mr. Schwab "[said] on several occasions that he

[91] House Ethics Manual at 138-39 (emphasis in original).
[92] *Id.* at 123.
[93] These are time periods in which Mr. Schwab was Chief of Staff to Rep. Schweikert.
[94] Former Deputy Chief of Staff Transcript, Part 2 (Exhibit 4 at 18-2234_0127).
[95] *Id.* ("He did occasionally complain that David owed him money on his credit card. . . . Maybe every couple months, two or three months. Mostly it was when Oliver was worked up and angry at [Rep. Schweikert], he'd let it slip.").
[96] *Id.*
[97] Former Legislative Director Transcript (Exhibit 2 at 18-2234_0080).
[98] *Id.* Former Legislative Director further explained his awareness by saying: "My understanding was that it was just an outstanding loan that Oliver never was paid back on." *Id.* When asked whether Oliver Schwab made any other personal outlays on behalf of Rep. Schweikert or his campaign, Former Legislative Director said he did, and explained: "It was generally an erring of grievances about the fact that he hasn't been paid back by [Rep. Schweikert] for those expenditures. I don't have a dollar figure, when those expenditures took place, it was just that he spent, he bought X for David and he was never paid back, or he loaned the campaign X amount and was never paid back." *Id.*
[99] *Id.*

did things for the campaign and that [Rep. Schweikert] owed him thousands of dollars that he had personally put out for different things."[100] When asked follow-on questions about this statement, she indicated that Mr. Schwab provided frequent flier miles to Rep. Schweikert and his wife so they did not have to personally pay for overseas travel.[101]

79. Because neither Rep. Schweikert nor Oliver Schwab cooperated with this review, the OCE was unable to verify whether a loan or loans were made to Rep. Schweikert, or to his campaign, and under what circumstances.[102]

80. Based on the foregoing information, the Board finds that there is substantial reason to believe that Rep. Schweikert solicited or accepted a loan, gift, or other contribution from a congressional employee.

VII. REP. SCHWEIKERT MAY HAVE OMITTED REQUIRED INFORMATION FROM HIS ANNUAL HOUSE FINANCIAL DISCLOSURE STATEMENTS AND FEC CANDIDATE COMMITTEE REPORTS

A. Applicable Law, Rules, and Standards of Conduct

i. Public Financial Disclosure Reporting Requirements

81. Federal Law

Pursuant to the Ethics in Government Act, "[a]ny individual who is [a Member of Congress] during any calendar year and performs the duties of his position or office for a period in excess of sixty days in that calendar year shall file on or before May 15 of the succeeding year a report containing the information described in section 102 (a)."[103] Additionally, candidates for Congress must also file financial disclosure statements.[104]

The contents of a financial disclosure report filed pursuant to the Ethics in Government Act must include "[t]he identity and category of value of any interest in property held during the preceding calendar year in a trade or business, or for investment or the production of income, which has a fair market value which exceeds $1,000 as of the close of the preceding calendar year . . . ."[105]

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[100] Transcript of Interview of Former Financial Administrator, Jan. 23, 2018 (Exhibit 9 at 18-2234_0302).
[101] *Id.* at (Exhibit 9 at 18-2234_0303). Former Deputy Chief of Staff also recalled that "[t]here may have been one or two times that Oliver in a haste may have mentioned something about frequent flyer miles and getting Joyce [Schweikert] on a trip. I don't know where they came from or if they were his." Former Deputy Chief of Staff Transcript, Part 2 (Exhibit 4 at 18-2234_0128).
[102] Other staff members interviewed by the OCE, namely Matt Tully, Former Communications Director, and Former District Staffer, did not recall hearing that Mr. Schwab loaned Rep. Schweikert or his campaign any money. Their departures from Rep. Schweikert's congressional office, which occurred prior to 2014, likely could account for this lack of awareness. As discussed above, Former Deputy Chief of Staff, Former Legislative Director, and Former Financial Administrator heard Oliver Schwab's complaints about being owed money between 2014 and 2016.
[103] 5 U.S.C. app. 4 § 101(d).
[104] 5 U.S.C. app. 4 § 101(c).
[105] 5 U.S.C. app. 4 § 102(a)(3).

The Ethics in Government Act further states that each report must include a statement of "[t]he identity and category of value of the total liabilities owed to any creditor . . . which exceed $10,000 at any time during the preceding calendar year. . ."[106] *"With respect to revolving charge accounts," the Ethics in Government Act explains that "only those with an outstanding liability which exceeds $10,000 as of the close of the preceding calendar year need be reported under this paragraph."*[107]

Additionally, the Ethics in Government Act states that each financial disclosure report must include "a brief description, the date, and category of value of any purchase, sale or exchange during the preceding calendar year which exceeds $1,000-

(A) in real property, other than property used solely as a personal residence of the reporting individual or his spouse; or

(B) in stocks, bonds, commodities futures, and other forms of securities."[108]

82. House Rules

House Rule 26, clause 2 provides, "[f]or the purposes of this rule, the [financial reporting] provisions of title I of the Ethics in Government Act of 1978 shall be considered Rules of the House as they pertain to Members, Delegates, the Resident Commissioner, officers, and employees of the House."

83. Committee Instruction Guide for Completing Financial Disclosure Statements[109]

According to the Committee Instruction Guide for Completing Financial Disclosure Statements (the "Instruction Guide"), "[r]eal and personal property held by you, your spouse, or a dependent child as an investment or for the production of income must be disclosed on Schedule III [as an asset] if it had a value in excess of $1,000 at the close of the calendar year or generated unearned income in excess of $200 during the calendar year."[110]

"In order to determine whether deposits in a bank account must be disclosed [as an asset], you must first add together all interest-bearing checking and savings accounts held by you, your spouse, or a dependent child at every financial institution in which you have such accounts. If the total value of these accounts exceeded $5,000 at the end of the calendar year, then you must disclose each financial institution which held deposits valued at more than $1,000. You must also report any interest-bearing account that generated more than $200 in interest during the

[106] 5 U.S.C. app. 4 § 102(a)(4).
[107] 5 U.S.C. app. 4 § 102(a)(4).
[108] 5 U.S.C. app. 4 § 102(a)(5).
[109] Below, the OCE quotes instructions from the 2010 Committee Instruction Guide for Completing Financial Disclosure Statements and also cites to 2017 instructions to note that guidance has remained the same throughout this time period. The OCE, however, notes that financial disclosure *schedules* have changed throughout the years. For example, while assets and unearned income are listed on Schedule III in the 2010-13 financial disclosures, assets and unearned income are addressed in Schedule A in later years.
[110] House Committee on Ethics, Calendar Year 2010 Instruction Guide for Financial Disclosure Statements at 11; *see also* House Committee on Ethics, Calendar Year 2017 Instruction Guide for Financial Disclosure Statements and Periodic Transaction Reports at 16 (providing same guidance).

calendar year, even if it was valued at less than $1,000 at the close of the calendar year or your total deposits were less than $5,000."[111]

With respect to assets, the Instruction Guide further explains: "[t]o disclose an ownership interest in a privately-held company that was formed for the purpose of holding investments, you must disclose each asset held by the company in which your interest (or that of your spouse or dependent child) had a period-end value of more than $1,000 or generated more than $200 in income during the reporting period. Limited partnerships and limited liability companies are frequently formed for the purpose of holding real estate. If, for example, you are a partner in a limited partnership that owns five rental properties, you must separately disclose each property in which your interest exceeded $1,000 or your rental income derived from that property exceeded $200. You may, but are not required to, provide an exact street address for each property the company owns. However, when disclosing multiple properties, the property descriptions must be distinguishable from one another and used consistently from year to year.[112]

With respect to liabilities, the Instruction Guide explains, "[y]ou must report on Schedule V any debts personally owed by you, your spouse, or your dependent children that were over $10,000 during the year."[113]

For credit card debt, a filer need only "report an amount owed . . . if the balance on that card exceeded $10,000 on December 31, regardless of the balance owed on the card at any other point during the year."[114]

The Instruction Guide further explains that "[a]ny liability on real property which generates income must be disclosed. . . . Note that you must also list the property, and any income exceeding $200 earned from it, as an asset on Schedule III."[115]

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[111] House Committee on Ethics, Calendar Year 2010 Instruction Guide for Financial Disclosure Statements at 14 (emphasis removed); *see also* House Committee on Ethics, Calendar Year 2017 Instruction Guide for Financial Disclosure Statements and Periodic Transaction Reports at 22 (emphasis removed) (providing same guidance). "The accounts to be reported under these rules include interest-bearing, cash-deposit accounts at banks, credit unions and savings and loan associations, including interest-bearing checking accounts, passbook and other savings accounts; money market accounts; negotiable order of withdrawal (NOW) accounts; certificates of deposit (CDs); and individual retirement accounts (IRAs) held in the form of savings accounts or CDs." House Committee on Ethics, Calendar Year 2010 Instruction Guide for Financial Disclosure Statements at 14; *see also* House Committee on Ethics, Calendar Year 2017 Instruction Guide for Financial Disclosure Statements and Periodic Transaction Reports at 22 (providing same guidance).

[112] House Committee on Ethics, Calendar Year 2010 Instruction Guide for Financial Disclosure Statements at 14-15; *see also* House Committee on Ethics, Calendar Year 2017 Instruction Guide for Financial Disclosure Statements and Periodic Transaction Reports at 23 (providing same guidance).

[113] House Committee on Ethics, Calendar Year 2010 Instruction Guide for Financial Disclosure Statements at 24; *see also* House Committee on Ethics, Calendar Year 2017 Instruction Guide for Financial Disclosure Statements and Periodic Transaction Reports at 32 (providing same guidance).

[114] House Committee on Ethics, Calendar Year 2010 Instruction Guide for Financial Disclosure Statements at 24; *see also* House Committee on Ethics, Calendar Year 2017 Instruction Guide for Financial Disclosure Statements and Periodic Transaction Reports at 33 (providing same guidance).

For liability reporting, the Instruction Guide also explains, "[i]f . . . you have multiple rental properties, state the property to which each obligation relates, together with the type of liability (e.g., "Mortgage on 123 Main Street, Dover, Del.'')."[116]

In addition, the Instruction Guide explains that you only have to report "[l]iabilities of a business if you are personally liable for the debt."[117]

With respect to transactions, the Instruction Guide explains, "you must report each purchase, sale, or exchange transaction involving Schedule III assets by you, your spouse, or dependent child when the amount of the transaction exceeds $1,000 in the calendar year."[118]

With respect to transactions, the Instruction Guide further explains, "[y]ou are only required to disclose transactions related to the ownership interests in privately-held companies that were formed for the purpose of holding investments (typically real estate). If, for example, you are a partner in a limited partnership that owns five rental properties, you must separately disclose each transaction (such as the purchase of an additional rental property) that exceeds $1,000."[119]

According to the Instruction Guide, when reporting transactions, filers must, "[p]rovide the complete name of the asset for which a reportable transaction has occurred."[120] *Additionally, "Asset descriptions used on Schedule IV should be identical to those used to describe the same asset on Schedule III."*[121]

84. Committee Guidance on Reporting Violations

In the Matter of Allegations Relating to Representative Vernon G. Buchanan, the Committee noted that inadvertent errors and omissions "are not uncommon" in financial disclosure statements.[122] *The Committee described the potential for concern with respect to errors and*

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[115] House Committee on Ethics, Calendar Year 2010 Instruction Guide for Financial Disclosure Statements at 24; *see also* House Committee on Ethics, Calendar Year 2017 Instruction Guide for Financial Disclosure Statements and Periodic Transaction Reports at 32 (providing same guidance).
[116] House Committee on Ethics, Calendar Year 2010 Instruction Guide for Financial Disclosure Statements at 25-26; *see also* House Committee on Ethics, Calendar Year 2017 Instruction Guide for Financial Disclosure Statements and Periodic Transaction Reports at 34 (providing same guidance).
[117] House Committee on Ethics, Calendar Year 2010 Instruction Guide for Financial Disclosure Statements at 25; *see also* House Committee on Ethics, Calendar Year 2017 Instruction Guide for Financial Disclosure Statements and Periodic Transaction Reports at 33 (providing same guidance).
[118] House Committee on Ethics, Calendar Year 2010 Instruction Guide for Financial Disclosure Statements at 22; *see also* House Committee on Ethics, Calendar Year 2017 Instruction Guide for Financial Disclosure Statements and Periodic Transaction Reports at 28 (providing same guidance).
[119] House Committee on Ethics, Calendar Year 2010 Instruction Guide for Financial Disclosure Statements at 23; *see also* House Committee on Ethics, Calendar Year 2017 Instruction Guide for Financial Disclosure Statements and Periodic Transaction Reports at 30 (providing same guidance).
[120] House Committee on Ethics, Calendar Year 2010 Instruction Guide for Financial Disclosure Statements at 23; *see also* House Committee on Ethics, Calendar Year 2017 Instruction Guide for Financial Disclosure Statements and Periodic Transaction Reports at 29 (providing same guidance).
[121] House Committee on Ethics, Calendar Year 2010 Instruction Guide for Financial Disclosure Statements at 23; *see also* House Committee on Ethics, Calendar Year 2017 Instruction Guide for Financial Disclosure Statements and Periodic Transaction Reports at 29 (providing same guidance).
[122] *In the Matter of Allegations Relating to Representative Vernon G. Buchanan*, 112th Cong., 2nd Sess. (July 10, 2012) at 5.

omissions that "are knowing or willful, or appear to be significantly related to other potential violations."[123] *The Committee went on to explain that "accurate and complete reporting on Financial Disclosure Statements should be every filer's goal and is necessary to be in compliance with House Rules and federal law. All filers are encouraged to promptly file amendments whenever they learn of errors or omissions. Failure to do so may constitute a knowing and willful violation."*[124]

ii. FEC Disclosure Requirements for Campaign Committees

85. Federal Law

Pursuant to the Federal Election Campaign Act, 52 U.S.C. § 30104, "[e]ach treasurer of a political committee shall file reports of receipts and disbursements in accordance with the provisions of this subsection."

86. House Ethics Manual

According to the House Ethics Manual, "a Member or employee must take reasonable steps to ensure that any outside organization over which he or she exercises control – including the individual's own authorized campaign committee or, for example, a 'leadership PAC' – operates in compliance with applicable law."[125]

The House Ethics Manual further states: "While [the Federal Election Campaign Act ('FECA')] and other statutes on campaign activity are not rules of the House, Members and employees must also bear in mind that the House Rules require that they conduct themselves 'at all times in a manner that shall reflect creditably on the House' (House Rule 23, clause 1). In addition, the Code of Ethics for Government Service, which applies to House Members and staff, provides in ¶ 2 that government officials should '[u]phold the Constitution, laws and legal regulations of the United States and of all governments therein and never be a party to their evasion.' Accordingly, in violating FECA or another provision of statutory law, a Member or employee may also violate these provisions of the House rules and standards of conduct."[126]

87. FEC Regulations[127]

Reporting Campaign Committee Receipts and Disbursements

Pursuant to 11 C.F.R. § 104.3(a)(3), which addresses how to report receipts of a campaign committee on Schedule A of an FEC report, "[a]n authorized committee of a candidate for Federal office shall report the total amount of receipts received during the reporting period and,

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[123] *Id.*
[124] *Id.* at 6.
[125] House Ethics Manual at 123.
[126] *Id.* at 122 (footnote omitted).
[127] As noted in the footnotes below, the FEC's Campaign Guide for Congressional Candidates and Committees (2014) (hereafter, "FEC Guide") provides detailed guidance on how to properly report all information required in various candidate committee reports.

except for itemized and unitemized breakdowns, during the election cycle in each of the following categories:

> *(i) Contributions from persons other than any committees; . . .*
> *(ii) Contributions from the candidate, excluding loans which are reported under 11 CFR 104.3(a)(3)(vii); . . .*
> *(vi) Transfers from other authorized committee(s) of the same candidate[;] . . .*
> *(vii)(B) Loans made, guaranteed, or endorsed by a candidate to his or her authorized committee including loans derived from a bank loan to the candidate or from an advance on a candidate's brokerage account, credit card, home equity line of credit, or other lines of credit described in 11 CFR 100.83 and 100.143."*[128]

Additionally, 11 C.F.R. § 104.3(a)(4) explains that receipts in the following categories must be itemized:

> *(i) Each person, other than any political committee, who makes a contribution to the reporting political committee during the reporting period, whose contribution or contributions aggregate in excess of $200 per calendar year (or per election cycle in the case of an authorized committee), together with the date of receipt and amount of any such contributions[;] . . .*
> *(iii)(A) For authorized committees of a candidate for Federal office, each authorized committee which makes a transfer to the reporting committee, together with the date and amount of such transfer; . . ..*
> *(iv) Each person who makes a loan to the reporting committee or to the candidate acting as an agent of the committee, during the reporting period, together with the identification of any endorser or guarantor of such loan, the date such loan was made and the amount or value of such loan[.]"*[129]

Pursuant to 11 C.F.R. § 104.3(b)(2), which addresses how to report disbursements of a campaign committee on Schedule B of an FEC report, "[a]n authorized committee of a candidate for Federal office shall report the total amount of disbursements made during the reporting period and, except for itemized and unitemized breakdowns, during the election cycle in each of the following categories:

> *(i) operating expenditures; . . .*
> *(ii) transfers to other committees authorized by the same candidate;*
> *(iii)(A) Repayment of loans made, guaranteed, or endorsed by the candidate to his or her authorized committee including loans derived from a bank loan to the candidate or from an advance on a candidate's brokerage account, credit card, home equity line of credit, or other lines of credit described in 11 CFR 100.83 and 100.143; . . .*
> *(vi) Other disbursements; . . .*
> *(vii) Total disbursements."*[130]

Additionally, 11 C.F.R. § 104.3(b)(4), explains that disbursements in the following categories must be itemized:

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[128] *See* FEC Guide at 88.
[129] *See id.* at 88-90.
[130] *See id.* at 102-03.

(i) Each person to whom an expenditure in an aggregate amount or value in excess of $200 within the election cycle is made by the reporting authorized committee to meet the authorized committee's operating expenses, together with the date, amount and purpose of each expenditure[;] . . .
(ii) Each authorized committee of the same candidate to which a transfer is made by the reporting committee during the reporting period, together with the date and amount of such transfer;
(iii) Each person who receives a loan repayment, including a repayment of a loan of money derived from an advance on a candidate's brokerage account, credit card, home equity line of credit, or other lines of credit described in 11 CFR 100.83 and 100.143, from the reporting committee during the reporting period, together with the date and amount of such loan repayment; . . .
(vi) Each person who has received any disbursement(s) not otherwise disclosed under paragraph (b)(4) of this section to whom the aggregate amount or value of such disbursements exceeds $200 within the election cycle, together with the date, amount, and purpose of any such disbursement."[131]

Reporting Debts of a Campaign Committee

According to 11 C.F.R. § 100.83(e), "[l]oans derived from an advance on a candidate's brokerage account, credit card, home equity line of credit, or other line of credit available to the candidate shall be reported by the candidate's principal campaign committee in accordance with 11 CFR part 104."[132]

According to 11 CFR § 102.7(d), "[a]ny candidate who receives a contribution, as defined at 11 CFR part 100, subparts B and D, obtains any loan or makes any disbursement in connection with his or her campaign, shall be considered as having received the contribution, obtained the loan or made the disbursement as an agent of such authorized committee(s)."[133]

Pursuant to 11 C.F.R. § 104.3(d), which addresses how to report debts and obligations on an FEC report, "[e]ach report filed under 11 CFR 104.1 shall, on Schedule C or D, as appropriate, disclose the amount and nature of outstanding debts and obligations owed by or to the reporting committee."[134] *Additionally, pursuant to 11 CFR § 104.3(d)(4) "[w]hen a candidate obtains a bank loan or loan of money derived from an advance on the candidate's brokerage account, credit card, home equity line of credit, or other line of credit described in 11 CFR 100.83 and 100.143 for use in connection with the candidate's campaign, the candidate's principal campaign committee shall disclose in the report covering the period when the loan was obtained, the following information on Schedule C-1 or C-P-1: (i) The date, amount, and interest rate of the loan, advance, or line of credit; (ii) The name and address of the lending institution; and*

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[131] *See id.* at 102-104.
[132] The FEC Guide provides detailed guidance on how to properly report lines of credit on the various schedules of an FEC candidate committee report. *See id.* at 91; 110-12.
[133] "When a candidate obtains a bank loan for use in connection with his or her campaign, the loan is considered to be from the bank and not from the candidate's personal funds. The candidate is acting as the agent of the campaign." *See id.* at 29; *see also* FEC Advisory Opinion 1985-33; 11 C.F.R. § 101.2.
[134] *See* FEC Guide at 105 ("Debts and obligations must be reported continuously until repaid."); *see also* 11 C.F.R. 104.11.

(iii) The types and value of collateral or other sources of repayment that secure the loan, advance, or line of credit, if any."[135]

Contributions from Single Member LLCs

Pursuant to 11 C.F.R. § 110.1(g)(4), "[a] contribution by an LLC with a single natural person member that does not elect to be treated as a corporation by the Internal Revenue Service pursuant to 26 CFR 301.7701-3 shall be attributed only to that single member."[136]

Contribution Limits

Pursuant to 11 CFR § 110.1(b), "[n]o person shall make contributions to any candidate, his or her authorized political committees or agents with respect to any election for Federal office that, in the aggregate, exceed $2,000."[137] *This limit applies to family members, including spouses.*[138]

According to 11 CFR § 110.9, "[n]o candidate or political committee shall knowingly accept any contribution or make any expenditure in violation of the provisions of 11 CFR part 110. No officer or employee of a political committee shall knowingly accept a contribution made for the benefit or use of a candidate, or make any expenditure on behalf of a candidate, in violation of any limitation imposed on contributions and expenditures under this part 110."

B. Rep. Schweikert May Have Omitted Required Information from His Personal Financial Disclosure Statements and from FEC Candidate Committee Reports

88. In this review, the OCE found that Rep. Schweikert's annual financial disclosure statements filed with the United States House of Representatives, and campaign committee reports filed with the FEC, contained a variety of unexplained reporting errors and omissions.

89. Initially, the OCE reviewed Rep. Schweikert's personal financial disclosure statements to understand the source of certain personal loans that he made to his campaign committee. The

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[135] "All loans received by a committee must be itemized and continuously reported until extinguished." FEC Guide at 108. "[B]oth the original loan and payments to reduce principal must be reported on Schedule C each reporting period . . . until the loan is repaid." *Id.* "Bank loans to candidates and loans derived from advances on a candidate's brokerage accounts, credit cards, home equity line of credit, or other lines of credit obtained for use in connection with his or her campaign must be reported by the committee. 100.83. The committee must report the loan from the candidate as a receipt and repayment of the loan to the candidate as a disbursement." *Id.* at 110. Further "[a] new Schedule C-1 must also be filed with the next report if the terms of the loan or line of credit are restructured. Additionally, in the case of a committee that has obtained a line of credit, a new Schedule C-1 must be filed with the next report whenever the committee draws on the line of credit. 104.3(d)(1) and (3)."). *Id.* at 109.

[136] *Id.* at 28.

[137] This limit applies to each election the candidate participates in (*e.g.*, primary, general, run-off, etc) and is adjusted for inflation every two years. *See* 11 C.F.R. § 110.1(b). In 2011, contribution limits for individuals were set at $2,500. *See* Federal Election Commission, *Contribution limits for 2011-2012*, https://www.fec.gov/updates/contribution-limits-for-2011-2012/ (last visited Aug. 3, 2018). Currently contributions for individuals are limited to $2,700.00 per election. *See* Federal Election Commission, *Contribution limits for 2017-2018 federal elections*, https://www.fec.gov/help-candidates-and-committees/candidate-taking-receipts/contribution-limits/ (last visited Aug. 3, 2018).

[138] *See* FEC Guide at 17 ("[T]his limit applies to contributions from the members of a candidate's family (e.g., spouse, parent)").

information reported in these public financial disclosures, in addition to third-party financial evidence obtained by the OCE, raised further questions about the source of these personal loans. It also allowed the OCE to identify discrepancies between financial information that was being publicly reported by Rep. Schweikert and his campaign committees and what should have been reported based on the financial transactions evidenced in Rep. Schweikert's and his campaign committees' bank accounts.

90. Rep. Schweikert and his campaign committees' refusal to provide documents in response to the OCE's Request for Information ("RFI"), or to interview with the OCE, prevented the OCE from determining whether Rep. Schweikert's had an explanation for these reporting errors and omissions.

i. Annual Financial Disclosure Statement Omissions and Irregularities

91. Rep. Schweikert filed a United States House of Representatives candidate disclosure statement on May 24, 2010, and he filed annual financial disclosures statements between 2010 and 2017.[139] The OCE's review of these financial disclosure statements revealed various reporting omissions, including Rep. Schweikert's failure to properly disclose several real estate-related investments as well as his failure to reveal the existence of certain interest-bearing bank accounts and credit card debt.[140] Additionally, the OCE identified discrepancies between Rep. Schweikert's financial disclosures statements and representations he made to the IRS.

92. The OCE initially raised the issue of potential financial disclosure omissions in its April 11, 2018 correspondence to Rep. Schweikert.[141] As of the date of this report, Rep. Schweikert has not publicly amended any of his financial disclosure statements to address the issues discussed below.[142]

[139] Rep. Schweikert's 2017 financial disclosure statement was not publicly available during the course of this review. Therefore, this report only addresses potential errors or omissions between 2010 and 2016.

[140] Rep. Schweikert's financial disclosures statements also raise concerns about outside earned income violations. Specifically, his disclosures routinely suggest that he was earning over the outside earned income limit with respect to his real estate holding companies, Sheridan Equities LLC and Sheridan Equities Holdings LLC. *See* Schweikert Financial Disclosures 2010-17 (Exhibit 10 at 18-2234_0316-417). In a July 11, 2014 letter to the Committee, Rep. Schweikert indicates that he has been inadvertently disclosing unearned income from Sheridan Equities LLC and Sheridan Equities Holdings LLC as earned income. *See id.* (Exhibit 10 at 18-2234_0369). While the OCE finds it concerning that this issue was not addressed in prior disclosures and continues to be a problem in subsequent disclosures, *see e.g.,* (Exhibit 10 at 18-2234_0374), the OCE assumes that income associated with Rep. Schweikert's business is appropriately classified as *unearned income*, and therefore would not qualify as a violation of outside earned income limitations.

[141] On this day, the OCE sent Rep. Schweikert a letter noting that the Board was considering whether he "omitted required information from his annual House financial disclosure statements." Letter from Omar S. Ashmawy, Chief Counsel and Staff Director, OCE, to Rep. Schweikert, April 11, 2018. The OCE also sent Rep. Schweikert a RFI that sought documents in connection with potential reporting violations. Request for Information from Omar S. Ashmawy, Chief Counsel and Staff Director, OCE, to Rep. Schweikert, April 11, 2018.

[142] No publically available amendments have been posted by the Office of the Clerk of the United States House of Representatives between April 11, 2018 and the date of this report.

a. *Real Estate-Related Omissions*

93. Rep. Schweikert is the owner and sole managing member of two real estate holding companies, Sheridan Equities Holdings LLC ("Sheridan Holdings") and Sheridan Equities LLC ("Sheridan Equities").[143] Publicly available Arizona Corporation Commission documents indicate that these entities are single member LLCs, formed in March 2009 and August 2006 respectively, that Rep. Schweikert manages up through the present date.[144] Rep. Schweikert also appears to have an interest in a business called Sheridan Rentals, which he valued between $100,001.00 and $500,000.00 in his 2013-2105 financial disclosure statements.[145]

94. Rep. Schweikert, through Sheridan Equities, purchased and sold the following rental properties between March 2009 and August 2015:

- *6413 W. Lamar Road, Glendale, Arizona*: This property was purchased on March 26, 2009 for $36,550.00 and sold on July 24, 2014 for $105,000.00.[146]
- *3031 N. 64th Drive, Phoenix, Arizona*: This property was purchased March 27, 2009 for $36,000.00 and sold for $109,950.00 on January 29, 2015.[147]
- *3338 E. Willetta Street, Phoenix, Arizona*: This property was purchased on April 2, 2009 for $27,000.00 and sold on July 29, 2014 for $108,000.00.[148]
- *5920 W. State Avenue, Glendale, Arizona*: This property was purchased on December 29, 2010 for $78,000.00 and sold on August 21, 2013 for $95,000.00.[149]

95. Under federal law and House rules, Rep. Schweikert had various reporting obligations associated with the above-identified rental properties.

96. First, Rep. Schweikert was required to disclose the existence of these rental properties as assets and identify how they are affiliated with either Sheridan Equities, Sheridan Holdings, or Sheridan Rentals.[150] He failed to do this.[151]

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[143] Sheridan Holdings Exhibit (Exhibit 11 at 18-2234_0419-23); Sheridan Equities Exhibit (Exhibit 12 at 18-2234_0425-29); *see also* Schweikert Financial Disclosures 2010-17 (Exhibit 10 at 18-2234_0316-417).
[144] Sheridan Holdings Exhibit (Exhibit 11 at 18-2234_0419-23); Sheridan Equities Exhibit (Exhibit 12 at 18-2234_0425-29); *see also* Schweikert Financial Disclosures 2010-17 (Exhibit 10 at 18-2234_0316-417).
[145] Schweikert Financial Disclosures 2010-17 (Exhibit 10 at 18-2234_0359-90). The Arizona Corporation Commission has no record of this entity, and it is possible that Rep. Schweikert is using this name to generically refer to rental properties associated with either Sheridan Equities or Sheridan Holdings.
[146] MPB Revolving Line of Credit (Exhibit 13 at 18-2234_0430-626); Sheridan Property Purchase and Sale History (Exhibit 14 at 18-2234_0628).
[147] MPB Revolving Line of Credit (Exhibit 13 at 18-2234_0430-626); Sheridan Property Purchase and Sale History (Exhibit 14 at 18-2234_0631).
[148] MPB Revolving Line of Credit (Exhibit 13 at 18-2234_0430-626); Sheridan Property Purchase and Sale History (Exhibit 14 at 18-2234_0634).
[149] MPB Revolving Line of Credit (Exhibit 13 at 18-2234_0430-626); Sheridan Property Purchase and Sale History (Exhibit 14 at 18-2234_0637).
[150] *See supra* Section VII.A.i.
[151] *See* Schweikert Financial Disclosures 2010-17 (Exhibit 10 at 18-2234_0316-91). Between 2010 and 2015 – the calendar years during which these four rental properties were held by Sheridan Equities and Rep. Schweikert was required to submit annual Financial Disclosure Statements – none of the four properties were disclosed as a "Asset"

97. Second, he was required to disclose the purchase of these properties, to the extent that the purchases occurred after January 1, 2010.[152] Rep. Schweikert's 2010 financial disclosure statement fails to disclose the purchase of the 5920 W. State Avenue property, which occurred in December 2010.[153]

98. Additionally, Rep. Schweikert was required to disclose liabilities associated with these rental properties.[154] While his financial disclosure statements reveal a 2010 bank note with Metro Phoenix Bank ("MPB"), Rep. Schweikert did not disclose the manner in which this liability was connected to each of these four rental properties.[155] As discussed more fully below, in July 2010, Rep. Schweikert secured a $75,000.00 line of credit from MPB and used three of Sheridan Equities' rental properties as collateral for the loan.[156] Later, he increased the line of credit to $154,000.00 and added the fourth property as collateral.[157] None of these details were disclosed.[158]

b. *Real Estate Income Discrepancies*

99. In addition to the above-described omissions, the OCE also identified discrepancies between the business income reported in Rep. Schweikert's tax returns and the business income he reported on his financial disclosure statements.[159]

100. For example, in 2009, Rep. Schweikert reported earning between $100,001.00 and $1,000,000.00 in unearned income from Sheridan Equities;[160] however, in his 2009 tax return, Rep. Schweikert discloses gross income of only $18,700.00.[161] He also reported

under Schedule III / A. The only reference to these properties occurs after they are sold, although the OCE notes that not all these sales were properly disclosed. *Id.*

[152] *See supra* Section VII.A.i.

[153] With respect to transactions, Schedule IV says "N/A." *See* Schweikert Financial Disclosures 2010-17 (Exhibit 10 at 18-2234_0330).

[154] *See supra* Section VII.A.i.

[155] *See* Schweikert Financial Disclosures 2010-17 (Exhibit 10 at 18-2234_0316-91).

[156] *See infra*, Section VII.B.ii.a.

[157] *See infra*, Section VII.B.ii.a.

[158] *See* Schweikert Financial Disclosures 2010-17 (Exhibit 10 at 18-2234_0316-91).

[159] "Unearned income," as reported on Schedule III / A of an annual financial disclosure statement, is defined as "income received by you, your spouse, or dependent children as a return on investment." *See* House Committee on Ethics, Calendar Year 2010 Instruction Guide for Financial Disclosure Statements at 12; *see also* House Committee on Ethics, Calendar Year 2017 Instruction Guide for Financial Disclosure Statements and Periodic Transaction Reports at 16 (providing same guidance); House Ethics Manual at 254 (defining "unearned income" as "income derived from property held for investment for the production of income."). The IRS – in its instruction guide on how to report profit and loss from a business – defines gross income as "income from whatever source derived." *See* IRS 2009 Instruction Guide for Schedule C Reporting at C-4; IRS 2010 Instruction Guide for Schedule C Reporting at C-4; IRS 2011 Instruction Guide for Schedule C Reporting at C-4.

[160] *See* Schweikert Financial Disclosures 2010-17 (Exhibit 10 at 18-2234_0318). These figures are from Rep. Schweikert's candidate report, which he filed on May 24, 2010, and which includes disclosure of assets and liabilities between January 1, 2009 and April 30, 2010.

[161] *Compare* Schweikert Financial Disclosures 2010-17 (Exhibit 10 at 18-2234_0318) *with* 2009 Schweikert Tax Return, Form 1040, Schedule C (on file with OCE).

earning zero income from Sheridan Holdings in 2009, but his tax returns disclose gross income of $167,000.00.[162]

101. Additionally, in his 2010 financial disclosure statement, Rep. Schweikert reported earning between $15,001.00 and $50,000.00 in unearned income from Sheridan Equities; however, his 2010 tax return discloses gross income of only $10,988.00.[163]

102. Further, in 2011, Rep. Schweikert reported earning between $15,001.00 and $50,000.00 in unearned income from Sheridan Equities and between $100,001.00 and $1,000,000.00 in unearned income from Sheridan Holdings; however, his 2011 tax return discloses no income for either entity.[164]

103. Because Rep. Schweikert refused to interview, the OCE could not address these discrepancies with him.

c. *Bank Account Omissions*

104. Rep. Schweikert's financial disclosure statements also omit required bank account information.

105. In his 2010 candidate disclosure statement, Rep. Schweikert identified three separate interest-bearing bank accounts. Specifically, he disclosed "checking / savings" accounts with Washington Mutual, Canyon State Credit Union, and Bank of America, all which earned between $1.00 and $1,000.00 of interest during the reporting period.[165]

106. Despite disclosing the existence of these three accounts in his 2010 candidate statement, Rep. Schweikert neglected to identify these accounts, or any other interest-bearing accounts, on his 2010 – 2016 annual financial disclosure forms.[166] If these or other interest-bearing accounts were maintained by Rep. Schweikert or his wife between 2010 and the present, and they had a combined balance exceeding $5,000.00 on December 31st, or accrued more than $200.00/year in interest, then Rep. Schweikert would have been required to disclose them on his annual financial disclosure statements.[167]

107. The OCE is aware of at least one other interest-bearing checking account that should have been disclosed by Rep. Schweikert in 2012. Specifically, Rep. Schweikert and his wife maintained an interest-bearing account with JPMorgan Chase Bank ("Chase Bank") between September 2006 and the present, and this account held over $5,000.00 on December 31,

[162] *Compare* Schweikert Financial Disclosures 2010-17 (Exhibit 10 at 18-2234_0318) *with* 2009 Schweikert Tax Returns, Form 1040, Schedule C (on file with OCE).
[163] *Compare* Schweikert Financial Disclosures 2010-17 (Exhibit 10 at 18-2234_0326; 335) *with* 2010 Schweikert Tax Return, Form 1040, Schedule C (on file with OCE).
[164] *Compare* Schweikert Financial Disclosures 2010-17 (Exhibit 10 at 18-2234_0344) *with* 2011 Schweikert Tax Return, Form 1040, Schedule C (on file with OCE).
[165] Schweikert Financial Disclosures 2010-17 (Exhibit 10 at 18-2234_0320-21).
[166] *Id.* at (Exhibit 10 at 18-2234_0324-412).
[167] *See supra* Section VII.A.i.

2012.[168] Rep. Schweikert failed to disclose this account on his 2012 annual financial disclosure statement as required.[169]

108. Additionally, the OCE also found evidence of a Bank of America account that appears to have been held in Joyce Schweikert's name between (at least) April 2012 and May 2018.[170] This account was not reported on any annual financial disclosure statement between 2010 and 2016.

109. Because the OCE did not review bank statements from Joyce Schweikert's Bank of America account, the OCE was unable to determine whether Rep. Schweikert failed to properly disclose the existence of this account during any other time periods. The OCE also could not determine whether, when adding the balance of this Bank of America account with the Chase Bank account on December 31st of a given reporting period, their balances would have exceeded $5,000.00, and thus been reportable.

110. Without Rep. Schweikert's cooperation, the OCE was unable to determine whether he failed to properly disclose bank accounts during any other time periods or with respect to any other financial institutions.

d. *Credit Card Omissions*

111. Rep. Schweikert was required to report credit card debt if the balance on a card exceeded $10,000.00 on December 31st of a given reporting year. He was also required to do this for his businesses if he was personally liable for the debt. Despite these obligations, it appears that Rep. Schweikert neglected to disclose this information on at least three occasions.[171]

112. On December 31, 2010, Rep. Schweikert maintained, but failed to disclose, a credit card with Chase Bank that had a balance exceeding $10,000.00.[172] This card was in the name of Rep. Schweikert and his business (Sheridan Holdings), which suggests Rep. Schweikert would be liable for the debt and was therefore obligated to report it.

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[168] Schweikert Personal Chase Bank Account Excerpts (Exhibit 15 at 18-2234_0649-51).

[169] Schweikert Financial Disclosures 2010-17 (Exhibit 10 at 18-2234_0351-58). In order to secure his line of credit from MPB, Rep. Schweikert was required to provide personal financial statements to the bank which disclosed his assets and liabilities. Notably, he discloses having between $60,000.00 and $20,000.00 with Chase Bank between July 2010 and April 2014 respectively. MPB Revolving Line of Credit (Exhibit 13 at 18-2234_0607-26). This is inconsistent with evidence obtained by the OCE and inconsistent with what he reported on his financial disclosures. Since Rep. Schweikert did not cooperate, the OCE was unable to address these discrepancies with him.

[170] Schweikert Personal Chase Bank Account Excerpts (Exhibit 13 at 18-2234_0654; 668); *see also* MPB Revolving Line of Credit (Exhibit 13 at 18-2234_0607-26). If, however, this is the same Bank of America account referenced in Rep. Schweikert's 2010 candidate report, then this account would have been maintained between 2009 and (at least) May 2018.

[171] The OCE only reviewed a limited number of credit card statements associated with Rep. Schweikert or his business. Accordingly, there may be debt associated with other credit card accounts that should have been disclosed. For instance, the Personal Financial Statements Rep. Schweikert submitted to MPB between 2010 and 2014 suggest that he had credit cards with Barclays and Canyon State Credit Union, in addition to Chase Bank. *See* MPB Revolving Line of Credit (Exhibit 13 at 18-2234_0607-26).

[172] *See* Chase Credit Card Excerpts (Exhibit 16 at 18-2234_0674-81); Schweikert Financial Disclosures 2010-17 (Exhibit 10 at 18-2234_0328; 337).

113. On December 31, 2014, Rep. Schweikert maintained a personal credit card with Chase Bank with a balance that exceeded $10,000.00.[173] He did not report this liability on his 2014 financial disclosure statement.[174]

114. On December 31, 2014, Rep. Schweikert maintained, but failed to disclose, a second credit card with Chase Bank that had a balance exceeding $10,000.00.[175] This card was in the name of Rep. Schweikert and his business (Sheridan Holdings), which suggests Rep. Schweikert would be liable for the debt and was therefore obligated to report it. He did not do so.

ii. FEC Omissions & Irregularities

115. In addition to the annual personal financial disclosure statement omissions and irregularities described above, the OCE found that Rep. Schweikert's campaign committees repeatedly failed to disclose information about receipts, disbursements, and loans made to the committees. The OCE identified disclosure omissions and irregularities associated with the following committees: Schweikert for Congress and David Schweikert for Congress. Findings with respect to each committee are discussed below.

116. At the outset, the OCE notes heightened concern about these reporting omissions and irregularities due to statements made by a former staff member regarding potential campaign impropriety. Former Deputy Chief of Staff told the OCE that Oliver Schwab, Rep. Schweikert's longtime Chief of Staff and campaign fundraiser, made statements to him about "go[ing] to the FEC and tell[ing] them where the bodies [are] buried."[176] When asked to elaborate on this statement, Deputy Chief of Staff told the OCE that Mr. Schwab would say things like "I'm going to go to the FEC and get [Rep. Schweikert] thrown in jail for campaign fraud. . . . I know where the bodies are buried."[177] Deputy Chief of Staff did not know what sort of specific violations Mr. Schwab was referring to when he made these types of statements.[178]

a. *Schweikert for Congress*

117. The OCE found evidence that Schweikert for Congress, Rep. Schweikert's principal campaign committee between January 2011 and October 2013, neglected to disclose a $75,000.00 line of credit that Rep. Schweikert secured in support of his November 2010 congressional election campaign. The OCE also found reporting irregularities associated with $100,000.00 and $130,000.00 personal loans that Rep. Schweikert allegedly made in support of his 2011 reelection campaign.

[173] *See* Chase Credit Card Excerpts (Exhibit 16 at 18-2234_0682-89).
[174] Schweikert Financial Disclosures 2010-17 (Exhibit 10 at 18-2234_0375).
[175] Chase Credit Card Excerpts (Exhibit 16 at 18-2234_0700-07); Schweikert Financial Disclosures 2010-17 (Exhibit 10 at 18-2234_0375).
[176] Former Deputy Chief of Staff Transcript, Part 2 (Exhibit 4 at 18-2234_0146-47)
[177] *Id.*
[178] *Id.*

Omission of Line of Credit from Metro Phoenix Bank

118. On July 30, 2010, Rep. Schweikert secured a $75,000.00 revolving line of credit from MPB.[179] The credit was extended to Rep. Schweikert, his wife Joyce, and Sheridan Equities.[180] As collateral, MPB received a first priority deed of trust on three Sheridan Equities rental properties, including 6413 W. Lamar Road, 3031 N. 64th Drive, and 3338 E. Willetta Street.[181]

119. MPB loan documentation reveals that Rep. Schweikert advised MPB that the revolving line of credit would be "used for marketing [his] political campaign."[182] The OCE also obtained evidence that Rep. Schweikert used the funds in August 2010 for that purpose.[183]

120. Between February 2011 and October 2014, Rep. Schweikert increased the line of credit to $154,000.00, provided an additional rental property as collateral for the loan, and modified the terms of the loan on eight separate occasions.[184] He ultimately paid off the line of credit in February 2015.[185]

121. Because this line of credit was secured in connection with his campaign, Rep. Schweikert's principal campaign committee was required to disclose certain information about the loan to the FEC.[186] On Schedule C-1 of an FEC report covering the time period in which the loan was obtained, Rep. Schweikert was required to disclose (a) the date, amount, and interest rate of the line of credit; (b) the name and address of the lending institution; and (c) the types and value of collateral or other sources of repayment that secure the line of credit.[187] Further, the line of credit must be itemized and continuously reported on FEC reports until extinguished, and a new C-1 must be filed each time the committee drew on the line of credit or restructured any of its terms.[188]

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[179] MPB Revolving Line of Credit (Exhibit 13 at 18-2234_0431-443).
[180] *Id.*
[181] *Id.*
[182] *Id.* at (Exhibit 13 at 18-2234_0430-31).
[183] *Id.* at (Exhibit 13 at 18-2234_0501-517; 604-06). For example, funds from the line of credit paid for campaign services provided by Blue Point, LLC ("Blue Point") and Anthem Media, Inc. ("Anthem"). *Id.* Blue Point is a political consulting company run by Chris Baker, Rep. Schweikert's campaign consultant. MPB documents reveal that Blue Point was paid $26,000.00 and $11,577.00 for campaign services in August 2010. *Id.* FEC reports also indicate that Blue Point was paid $26,000.00 and $11,577.00 by David Schweikert for Congress on August 4, 2010 and August 2, 2010 respectively. *See* David Schweikert for Congress, FEC Report of Receipts and Disbursements, 12-Day Pre-Election Report, at 45, filed Aug. 12, 2010; David Schweikert for Congress, FEC Report of Receipts and Disbursements, 12-Day Pre-Election Report, at 43, filed Aug. 12, 2010. Anthem is political consulting company utilized by Rep. Schweikert's campaigns. MPB documents reveal that Anthem was paid $24,589.00 by David Schweikert for Congress in early August 2010 for television and radio advertisements. *See* MPB Revolving Line of Credit (Exhibit 13 at 18-2234_0501-517; 604-06). FEC reports also indicate that Anthem was paid $24,589.00 by David Schweikert for Congress on July 30, 2010. *See* David Schweikert for Congress, FEC Report of Receipts and Disbursements, 12-Day Pre-Election Report, at 41, filed Aug. 12, 2010.
[184] MPB Revolving Line of Credit (Exhibit 13 at 18-2234_0518-603). The $79,000.00 increase was not made for campaign purposes. *Id.* at (Exhibit 13 at 18-2234_0518-19).
[185] *Id.* at (Exhibit 13 at 18-2234_0604-06).
[186] *See supra* Section VII.A.ii.
[187] *See supra* Section VII.A.ii.
[188] *See supra* Section VII.A.ii.

122. Rep. Schweikert's then-principal campaign committee, David Schweikert for Congress, did not comply with any of these requirements.[189] Despite specifically securing this loan from MPB for campaign purposes, and then using it to pay campaign expenses incurred by David Schweikert for Congress, Rep. Schweikert did not disclose this line of credit on any FEC report between July 2010 and February 2015.[190]

December 25, 2011 Personal Loan by Rep. Schweikert

123. The OCE also found irregularities regarding a $100,000.00 personal loan from Rep. Schweikert, which was disclosed by Schweikert for Congress in a 2011 Year End FEC Report. Specifically, the OCE could not identify any campaign bank statements showing that the campaign actually received the $100,000.00.

124. According to FEC records, Rep. Schweikert made a $100,000.00 personal loan to Schweikert for Congress on December 25, 2011.[191]

125. The OCE reviewed Schweikert for Congress FEC filings to identify where the campaign committee had bank accounts. As of December 25, 2011, Schweikert for Congress had campaign bank accounts with MPB and Chase Bank.[192] The OCE reviewed both MPB and Chase Bank statements from this time period and found no evidence of a $100,000.00 deposit in either the Chase Bank[193] or MPB statements.[194, 195]

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[189] There is not a single reference to MPB on Schedule C of any FEC report filed by David Schweikert for Congress (Rep. Schweikert's then-principal campaign committee) between July 2010 and February 2015 (the dates between which Rep. Schweikert secured, modified, and eventually paid off the line of credit obtained from MPB). While his only operational principal campaign committee on July 30, 2010 (the date the line of credit as extended by MPB) was David Schweikert for Congress, the OCE also reviewed FEC filings associated with Schweikert for Congress and Friends of David Schweikert since both of these committees operated as a principal campaign committee during the life of the line of credit at issue. The OCE found no disclosure of any line of credit from MPB on Schedule C of either of these other two campaign committees.

[190] *See supra*, note 189.

[191] Schweikert for Congress, FEC Report of Receipts and Disbursements, 2011 Year End Report, at 73, 94, filed Jan. 31, 2012.

[192] *See* Schweikert for Congress, FEC Form 1 Statement of Organization, Amendment, filed Nov. 9, 2011 (identifying Chase Bank and MPB accounts); *See* Schweikert for Congress, FEC Form 1 Statement of Organization, Amendment, filed Jan. 13, 2012 (identifying Chase Bank and MPB accounts). Both financial institutions certified to the OCE that they provided all documents associated with these campaign accounts.

[193] Schweikert for Congress Chase Bank Statements (Exhibit 17 at 18-2234_0783-85).

[194] Schweikert for Congress MPB Statements (Exhibit 18 at 18-2234_0911-43). MPB statements reveal that $200,000.00 was transferred from the Schweikert for Congress Chase Bank account on October 24, 2011 into a MPB account in Rep. Schweikert name. *Id.* On November 7, 2011, that $200,000.00, plus accrued interest, was withdrawn and closed. *Id.* That money was deposited into a new MPB account opened in the name of Schweikert for Congress. *Id.* Between November 2011 and August 2012, that money remained in the Schweikert for Congress MPB account and accrued interest. *Id.* On August 2, 2012, a $100,000.00 check was written from the Schweikert for Congress MPB account to Joyce Schweikert. *Id.* On August 8, 2011, a check for the remaining balance in that account, $101,134.47, was written to Schweikert for Congress. *Id.* After August 2012, the MPB account maintained a zero balance. *Id.* It appears that both the $100,000.00 check made out to Joyce Schweikert and the $101,134.47 made out to Schweikert for Congress were both deposited into the Schweikert for Congress Chase Bank account in August 2012. *See* Schweikert for Congress Chase Bank Statements (Exhibit 17 at 18-2234_0845).

[195] Both banks certified to the OCE that they provided all documents associated with these campaign accounts.

126. According to FEC records, Rep. Schweikert forgave the $100,000.00 personal loan on September 30, 2013.[196]

127. Because Rep. Schweikert and his campaign committees did not cooperate with this review, the OCE was unable to address this discrepancy with him.

August 22, 2012 Personal Loan by Rep. Schweikert

128. The OCE also found irregularities regarding a $130,000.00 personal loan from Rep. Schweikert, which was reported by Schweikert for Congress in an October 2012 Quarterly FEC Report. Specifically, the OCE found evidence suggesting that Rep. Schweikert's wife may have made a contribution to his campaign in excess of statutory limits, and the OCE also determined that almost $100,000.00 of the $130,000.00 personal loan may not have been repaid to Rep. Schweikert, despite representations to the contrary made in FEC reports.

129. According to FEC records, Rep. Schweikert made a $130,000.00 personal loan to Schweikert for Congress on August 22, 2012.[197]

130. Chase Bank records confirm that $130,000.00 was transferred to Schweikert for Congress in August 2012, although the entire $130,000.00 was not all transferred on August 22, 2012.[198] Chase Bank records evidence the following transfers:

- August 16, 2012 deposit of $5,000.00: This $5,000.00 was transferred to the Schweikert for Congress account from a Sheridan Holdings Chase Bank account.[199]
- August 17, 2012 deposit of $8,000.00: This $8,000.00 was transferred to the Schweikert for Congress account from a Sheridan Holdings Chase Bank account.[200]
- August 21, 2012 deposit of $17,000.00: This $17,000.00 was transferred to the Schweikert for Congress account from an account titled "Joyce's B of A."[201]
- August 22, 2012 deposit of $100,000.00. This $100,000.00 was transferred to the Schweikert for Congress account from a Sheridan Holdings Chase Bank account.[202]

131. The August 21, 2012 transfer of $17,000.00 from "Joyce's B of A" account raises concerns about Rep. Schweikert's wife making an excessive contribution to his campaign.[203] Because

[196] *See* Schweikert for Congress, FEC Report of Receipts and Disbursements, Termination Report, at 5-6, filed Oct. 11, 2013; Schweikert for Congress, Miscellaneous Report to FEC, filed Oct. 12, 2013.
[197] Schweikert for Congress, FEC Quarterly Report of Receipts and Disbursements (Q3), at 49, 71, filed Oct. 15, 2012.
[198] Schweikert for Congress Chase Bank Statements (Exhibit 17 at 18-2234_0846).
[199] *Id.*; Sheridan Holdings Chase Bank Statement Excerpts (Exhibit 19 at 18-2234_0947).
[200] Schweikert for Congress Chase Bank Statements (Exhibit 17 at 18-2234_0846); Sheridan Holdings Chase Bank Statement Excerpts (Exhibit 19 at 18-2234_0947).
[201] Schweikert for Congress Chase Bank Statements (Exhibit 17 at 18-2234_0846).
[202] *Id.*; Sheridan Holdings Chase Bank Statement Excerpts (Exhibit 19 at 18-2234_0947).
[203] *See supra* Section VII.A.ii (noting that a spouse's contribution is limited under FEC regulations). The OCE notes that candidates may use assets jointly held with a spouse, *see* FEC Guide at 29, but since Rep. Schweikert refused to cooperate, the OCE could not determine whether funds from "Joyce's B of A" account could be considered joint assets.

Rep. Schweikert refused to cooperate with this review, the OCE could not determine whether this $17,000.00 was a permissible contribution.

132. The August 22, 2012 transfer may also be problematic. On August 21, 2012, the day before Sheridan Holdings transferred $100,000.00 to Schweikert for Congress, Sheridan Holdings received a $100,000.00 cashier's check from Robert Cooper.[204] The size and timing of this payment, coupled with the repayment issues discussed below, raises questions about whether this was an unreported contribution to Rep. Schweikert's campaign. Because Rep. Schweikert did not cooperate with this review, the OCE was unable to determine whether the $100,000.00 transfer was part of a legitimate personal loan to the campaign.

133. Additionally, the eventual repayment of this $130,000.00 may be problematic. Although the campaign committee reported to the FEC that the $130,000.00 personal loan was fully repaid to Rep. Schweikert in installments between February and August of 2013, the OCE found that the campaign committee's bank account only disbursed $30,062.00 of the $130,000.00 loan back to Rep. Schweikert. In other words, Schweikert for Congress bank records do not correlate with FEC records; instead, these statements suggest that almost $100,000.00 of the loan was never repaid.[205]

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[204] Sheridan Holdings Chase Bank Statement Excerpts (Exhibit 19 at 18-2234_0947); Robert Cooper Cashier's Check (Exhibit 20 at 18-2234_0969-70). Without Rep. Schweikert's cooperation, the OCE was unable to determine Robert Cooper's connection to Rep. Schweikert.

[205] According to FEC records, $30,000.00 of the original $130,000.00 loan was repaid to Rep. Schweikert on December 27, 2012. *See* Schweikert for Congress, FEC Report of Receipts and Disbursements, 2012 Year End Report at 13, 15, filed Jan. 31, 2013. While Chase Bank records do not reflect a $30,000.00 transfer to Rep. Schweikert on December 27, 2012, the $30,000.00 repayment appears to coincide with a November 27, 2012 $15,000 transfer from Schweikert for Congress to Sheridan Holdings and a January 2, 2013 $15,000 transfer from Schweikert for Congress to Sheridan Holdings. *See* Schweikert for Congress Chase Bank Statements (Exhibit 17 at 18-2234_0867; 881); Sheridan Holdings Chase Bank Statement Excerpts (Exhibit 19 at 18-2234_0950-51; 0954-55).

Schweikert for Congress FEC reports also evidence the following loan repayments to Rep. Schweikert, which total $100,0000.00:

- February 28, 2013: $5,488.54 "Loan Repayment." *See* Schweikert for Congress, FEC Quarterly Report of Receipts and Disbursements (Q1), at 6, filed Apr. 14, 2013.
- February 28, 2013: $30,000.00 "Loan Repayment." *See* Schweikert for Congress, FEC Quarterly Report of Receipts and Disbursements (Q1), at 6, filed Apr. 14, 2013.
- March 22, 2013: $62.00 "Loan Repayment." *See* Schweikert for Congress, FEC Quarterly Report of Receipts and Disbursements (Q1), at 6, filed Apr. 14, 2013.
- April 1, 2013: $30,000.00 "Loan Repayment." *See* Schweikert for Congress, FEC Quarterly Report of Receipts and Disbursements (Q2), at 6, filed July 15, 2013.
- August 20, 2013: $34,449.46 "Loan Repayment." *See* Schweikert for Congress, FEC Report of Receipts and Disbursements, Termination Report, at 8, 10, filed Oct. 11, 2013.

Schweikert for Congress bank account records do not reflect these same series of repayment transactions. Instead, the following transactions to Rep. Schweikert are evidenced in the Schweikert for Congress Chase Bank statements:

- February 28, 2013: $5,488.54 disbursement to Sheridan Holdings. *See* Schweikert for Congress Chase Bank Statements (Exhibit 17 at 18-2234_0886); Sheridan Holdings Chase Bank Statement Excerpts (Exhibit 19 at 18-2234_0959). While this disbursement exists in the Chase Bank records (as well as the FEC reports), it is offset by a deposit that occurred on that same day and for that same amount from Rep. Schweikert's personal checking account. *See* Schweikert for Congress Chase Bank Statements

134. Schweikert for Congress filed a termination report on October 11, 2013, and the termination filing was accepted by the FEC on November 5, 2013.[206] At no point in time did Schweikert for Congress disclose the existence of any bank accounts aside from those it held with Chase Bank and MPB.[207]

135. Because Rep. Schweikert did not cooperate with this review, the OCE was unable to address these discrepancies with him.[208]

(Exhibit 17 at 18-2234_0885); Schweikert Personal Checking (Exhibit 15 at 18-2234_0663). Thus the $100,000.00 loan is still outstanding as of this date.

- March 13, 2013: $4.00 disbursement to Rep. Schweikert's personal checking account. *See* Schweikert for Congress Chase Bank Statements (Exhibit 17 at 18-2234_0890). While this disbursement exists in the Chase Bank records, it is offset by a deposit that occurred for that same amount from Rep. Schweikert's personal checking account. *See* Schweikert for Congress Chase Bank Statements (Exhibit 17 at 18-2234_0889); Schweikert Personal Checking (Exhibit 15 at 18-2234_0663). Thus the $100,000.00 loan is still outstanding as of this date.
- March 26, 2013: $62.00 disbursement to Sheridan Holdings. *See* Schweikert for Congress Chase Bank Statements (Exhibit 17 at 18-2234_0890); Sheridan Holdings Chase Bank Statement Excerpts (Exhibit 19 at 18-2234_0963). This disbursement zeros out the Schweikert for Congress Chase Bank account, and the account is no longer actively used between this date and its June 2013 closure. *See* Schweikert for Congress Chase Bank Statements (Exhibit 17 at 18-2234_0889-906); *see also id.* (Exhibit 17 at 18-2234_0907-09) (noting the account is closed on June 17, 2013).

In summary, Schweikert for Congress bank records do not correlate with FEC records; instead, Chase Bank statements suggest that $99,938.00 was never repaid to Rep. Schweikert. This figure represents the $100,000.00 that remained outstanding as of March 26, 2013, minus the $62.00 payment to Sheridan Holdings that was used to zero out the Schweikert for Congress account in March of 2013. As discussed above, the MPB account remained inactive, with a zero balance, as of mid-August 2012. *See supra*, note 194.

[206] Schweikert for Congress, FEC Report of Receipts and Disbursements, 2013 Termination Report, filed Oct. 11, 2013; Schweikert for Congress, 2013 Termination Approval, filed Nov. 5, 2013.

[207] *See* Schweikert for Congress, FEC Form 1 Statement of Organization, filed Jan. 20, 2011 (identifying a Chase Bank account); Schweikert for Congress, FEC Form 1 Statement of Organization, filed Mar. 18, 2011 (identifying a Chase Bank account); Schweikert for Congress, FEC Form 1 Statement of Organization, filed May 11, 2011 (identifying a Chase Bank account); Schweikert for Congress, FEC Form 1 Statement of Organization, filed Oct. 11, 2011 (identifying Chase Bank and MPB accounts); Schweikert for Congress, FEC Form 1 Statement of Organization, filed Jan. 13, 2012 (identifying Chase Bank and MPB accounts); Schweikert for Congress, FEC Form 1 Statement of Organization, filed Jan. 30, 2012 (identifying Chase Bank and MPB accounts); Schweikert for Congress, FEC Form 1 Statement of Organization, filed June 12, 2012 (identifying Chase Bank and MPB accounts); Schweikert for Congress, FEC Form 1 Statement of Organization, filed Aug. 1, 2012 (identifying Chase Bank and MPB accounts); Schweikert for Congress, FEC Form 1 Statement of Organization, filed May 29, 2013 (identifying Chase Bank and MPB accounts). Both banks certified to the OCE that they provided all documents associated with these campaign accounts.

[208] In addition to the above omissions and irregularities, the OCE also identified two transfers between committees that were not reported to the FEC. Pursuant to FEC regulations, transfers between committees must be reported by both the disbursing and receiving committees. *See supra* Section VII.A.ii. On March 26, 2012, Schweikert for Congress transferred $1,000.00 to David Schweikert for Congress. *See* Schweikert for Congress Chase Bank Statements (Exhibit 17 at 18-2234_0807); David Schweikert for Congress Chase Bank Statements (Exhibit 21 at 18-2234_1096). This transaction was not reported on the Schweikert for Congress or David Schweikert for Congress 2012 Quarterly (Q1) Reports of Receipts and Disbursements. *See* Schweikert for Congress, FEC Quarterly Report of Receipts and Disbursements (Q1), at 4, filed April 15, 2012; David Schweikert for Congress, FEC Quarterly Report of Receipts and Disbursements (Q1), at 3, filed April 15, 2012. On July 30, 2012, Schweikert for Congress transferred another $1,000.00 to David Schweikert for Congress. *See* Schweikert for Congress Chase Bank Statements (Exhibit 17 at 18-2234_0840); David Schweikert for Congress Chase Bank Statements (Exhibit 21 at 18-2234_1106). This transaction was not reported on the Schweikert for Congress or David Schweikert for Congress

b. *David Schweikert for Congress*

136. Like the Schweikert for Congress campaign committee, David Schweikert for Congress also failed to disclosure a variety of reportable transactions. While the OCE was unable to determine the extent of the campaign's reporting violations without cooperation from Rep. Schweikert and a complete set of financial records from the campaign committee, the OCE nevertheless identified unreported receipts and disbursements.

137. Between August 2007 and April 2013, David Schweikert for Congress maintained a campaign account with Chase Bank.[209] A review of Chase Bank statements reveals a variety of reporting omissions. As shown in Exhibit 22, David Schweikert for Congress failed to disclose twelve separate receipts between January 2010 and January 2013, totaling $142,155.63.[210]

138. Likewise, the campaign committee also neglected to report disbursements. For example, between January 1, 2011 and December 31, 2011, FEC reports only identify ten total disbursements.[211] However, Chase Bank statements during this same timeframe reveal many more disbursements.[212]

139. Because Rep. Schweikert did not cooperate with this review, the OCE was unable to address these discrepancies with him.

* * *

140. Based on the foregoing information, the Board finds that there is substantial reason to believe that Rep. Schweikert omitted required information from his annual House financial disclosure statements and FEC candidate committee reports.

2012 Quarterly (Q3) Reports of Receipts and Disbursements. *See* Schweikert for Congress, FEC Quarterly Report of Receipts and Disbursements (Q3), at 4, filed Oct. 15, 2012; David Schweikert for Congress, FEC Quarterly Report of Receipts and Disbursements (Q3), at 3, filed Oct. 15, 2012. Because Rep. Schweikert did not cooperate with this review, the OCE was unable to address these discrepancies with him.

[209] *See* David Schweikert for Congress Chase Bank Statements (Exhibit 21 at 18-2234_0972-1134]); *see also* David Schweikert for Congress, FEC Form 1 Statement of Organization, filed Nov. 5, 2007 at 4 (identifying Washington Mutual, whose assets were subsequently purchased by Chase Bank, as a depository bank).

[210] David Schweikert for Congress Omitted Transfers Chart (Exhibit 22 at 18-2234_1136). The figures identified in this exhibit exclude the transfers from Schweikert for Congress to David Schweikert for Congress identified above in footnote 208.

[211] David Schweikert for Congress, FEC Quarterly Report of Receipts and Disbursements (Q1), at 9-11, filed April 15, 2011 (identifying seven disbursements); David Schweikert for Congress, FEC Quarterly Report of Receipts and Disbursements (Q2), at 6, filed July 15, 2011 (identifying three disbursements); David Schweikert for Congress, FEC Quarterly Report of Receipts and Disbursements (Q3), at 4, filed Oct. 15, 2011 (identifying zero disbursements); David Schweikert for Congress, FEC Quarterly Report of Receipts and Disbursements (Q3), at 4, filed Jan. 31, 2012 (identifying zero disbursements).

[212] David Schweikert for Congress Chase Bank Statements (Exhibit 21 at 18-2234_1056-93). While some of these disbursements can be matched to FEC disbursement records from late December 2011, others cannot.

VIII. CONCLUSION

141. Based on the foregoing information, the Board finds that there is substantial reason to believe that Rep. Schweikert used official resources, including staff time, for political purposes and pressured congressional staff to perform political activity.

142. Accordingly, the Board recommends that the Committee further review the above allegation that Rep. Schweikert used official resources, including staff time, for political purposes and pressured congressional staff to perform political activity.

143. Based on the foregoing information, the Board finds that there is substantial reason to believe that Rep. Schweikert authorized compensation to an employee who did not perform duties commensurate with the compensation the employee received.

144. Accordingly, the Board recommends that the Committee further review the above allegation that Rep. Schweikert authorized compensation to an employee who did not perform duties commensurate with the compensation the employee received

145. Based on the foregoing information, the Board finds that there is not substantial reason to believe that Rep. Schweikert improperly linked official activities to campaign or political support.

146. Accordingly, the Board recommends that the Committee dismiss the above allegation that Rep. Schweikert improperly linked official activities to campaign or political support.

147. Based on the foregoing information, the Board finds that there is substantial reason to believe that Rep. Schweikert solicited or accepted a loan, gift, or other contribution from a congressional employee.

148. Accordingly, the Board recommends that the Committee further review the above allegation that Rep. Schweikert solicited or accepted a loan, gift, or other contribution from a congressional employee.

149. Based on the foregoing information, the Board finds that there is substantial reason to believe that Rep. Schweikert omitted required information from his annual House financial disclosure statements and FEC candidate committee reports.

150. Accordingly, the Board recommends that the Committee further review the above allegation that Rep. Schweikert omitted required information from his annual House financial disclosure statements and FEC candidate committee reports.

IX. INFORMATION THE OCE WAS UNABLE TO OBTAIN AND RECOMMENDATION FOR THE ISSUANCE OF SUBPOENAS

151. As discussed below, twenty individuals or entities refused to cooperate with this review. The Board recommends the issuance of subpoenas to the following non-cooperative individuals and entities:

Rep. Schweikert

152. The OCE requested information from Rep. Schweikert personally, in his official capacity, from his businesses, and from his affiliated campaign and political action committees. The OCE also requested the opportunity to interview Rep. Schweikert. Rep. Schweikert, through counsel, declined to provide full and complete responses to the OCE's RFI and declined to interview with the OCE.

Oliver Schwab

153. The OCE requested information from and the opportunity to interview Mr. Schwab. Mr. Schwab, through counsel, declined to produce any information in response to the OCE's RFI and refused to interview with the OCE. Mr. Schwab resigned from Rep. Schweikert's congressional office during the course of this review, and he is no longer employed with the United States House of Representatives as of the date of this report.

Current Congressional Staff Members[213]

154. Katherina Dimenstein is Rep. Schweikert's current Chief of Staff and former Legislative Director, Legislative Assistant, Legislative Correspondent and Staff Assistant. Ms. Dimenstein, through counsel, declined to interview with the OCE.

155. Kevin Knight is Rep. Schweikert's current Deputy Chief of Staff and former District Director. Mr. Knight declined to interview with the OCE.

156. Ernestina Borquez-Smith is Rep. Schweikert's Director of Constituent Services and Office Manager. Ms. Borquez-Smith, through counsel, declined to interview with the OCE.

157. Katherine Duveneck is Rep. Schweikert's current Legislative Assistant and former Researcher. Ms. Duveneck, through counsel, declined to interview with the OCE.

158. Camille Lepire is Rep. Schweikert's current Legislative Aide and former Legislative Correspondent. Ms. Lepire, through counsel, declined to interview with the OCE.

159. Ashley Sylvester is Rep. Schweikert's Officer Manager and Press Secretary. Ms. Sylvester, through counsel, declined to interview with the OCE.

160. Molly Gartland is Rep. Schweikert's current Coalitions Director and former Staff Assistant. On June 8, 2018, Ms. Gartland agreed, during a telephone call, to interview with the OCE on June 22, 2018. She confirmed her availability for this interview on June 14, 2018. Later, the OCE was informed that Ms. Gartland had retained counsel and was no longer willing to speak with the OCE.[214]

[213] As discussed *supra*, note 15, Rep. Schweikert, through his campaign committee, appears to have hired counsel to represent current and former staff members in connection with the OCE's review.
[214] As discussed *supra*, note 15, Holland & Knight is representing Ms. Gartland. Her decision not to cooperate came after she was connected with Holland & Knight.

Former Congressional Staff Members

161. Matt Tully was a Legislative Director and then Chief of Staff in Rep. Schweikert's congressional office between January 2011 and May 2013. Mr. Tully voluntarily interviewed with the OCE on June 27, 2018; however, OCE staff found Mr. Tully's testimony to lack credibility at times. For example, Mr. Tully frequently could not recall details from recent conversations he had with current and former Rep. Schweikert staff members regarding the OCE's investigation or press reports concerning Oliver Schwab's misuse of congressional resources.[215] When pressed by OCE investigators on what was discussed during these communications, Mr. Tully was less than forthcoming.[216] The OCE believes Mr. Tully's lack of candor stems, at least in part, from his relationship with Mr. Schwab. Mr. Tully initially described their relationship as a "friendly" and said they "haven't hung out socially in a number of years;" however, he later told the OCE that he and Mr. Schwab played squash together "every week [or] every other week" during the four months leading up to his interview with the OCE, and he also estimated that he had seen Oliver Schwab approximately five to ten times between November 2017 and June 2018.[217] Additionally, Mr. Tully's decision not to provide the OCE with requested documentary evidence calls into question his candor. During his interview with the OCE, Mr. Tully informed the OCE that he communicated with Oliver Schwab and Katherina Dimenstein regarding the OCE's investigation or news articles concerning Oliver Schwab misusing congressional funds.[218] At the conclusion of the interview, the OCE asked that Mr. Tully save and produce communications discussed during the interview. He agreed to do so, and at his counsel's request, the OCE sent Mr. Tully a formal RFI seeking all communications he had with Mr. Schwab and Ms. Dimenstein between October 1, 2017 and June 28, 2018. Despite previously indicating that he would produce these materials, Mr. Tully subsequently refused to provide documents in response to the OCE's RFI.

162. Kelly Roberson was an Intern, District Aide, Director of Operations, and Policy Advisor in Rep. Schweikert's office between September 2011 and December 2016. Ms. Roberson did not respond to OCE requests for an interview. The OCE was informed that on January 19, 2018, Mr. Schwab and Ms. Roberson had lunch together at Mr. Schwab's invitation.[219]

163. Thomas Leander was an Intern, Fellow, Staff Assistant, Legislative Correspondent, Legislative Aide, and Legislative Assistant in Rep. Schweikert's office between May 2014 and August 2017. Mr. Leander did not respond to OCE requests for an interview.

164. Michelle Stoika was an Intern, Staff Assistant, Legislative Correspondent, Legislative Aide, and Legislative Assistant in Rep. Schweikert's office between June 2013 and February 2015. Ms. Stoika initially agreed to interview with the OCE, but later decided not to cooperate with the review.

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[215] *See e.g.*, Tully Transcript (Exhibit 1 at 18-2234_0014-15).
[216] *Id.*
[217] *Id.* at (Exhibit 1 at 18-2234_0008-9; 16; 21).
[218] *Id.* at (Exhibit 1 at 18-2234_0013-20).
[219] Former Deputy Chief of Staff Transcript, Part 2 (Exhibit 4 at 18-2234_0145-46).

Current and Former Treasurers

165. Campaign Financial Services ("CFS") is an FEC compliance firm handling FEC compliance matters for various Rep. Schweikert-affiliated campaign and political action committees. While Valerie Giramberk appears to be the current treasurer signing FEC reports (see below), CFS appears to play some role. Notably, CFS is listed as a point of contact on recent FEC Form 1 Statements of Organizations filed by Friends of David Schweikert,[220] David Schweikert for Congress,[221] Defending America's Values Everywhere PAC,[222] and the Schweikert Victory Committee.[223] Rep. Schweikert also advised the OCE that CFS was hired to correct errors and irregularities associated with its prior FEC filings and FEC reporting practices. CFS declined to cooperate with this review and specifically refused to provide documents in response to an OCE RFI.

166. Valerie Giramberk is currently the treasurer for several Rep. Schweikert-affiliated campaign and political action committees, including Friends of David Schweikert,[224] David Schweikert for Congress,[225] the Schweikert Victory Committee[226] and the Defending America's Values Everywhere PAC.[227] She was formerly a treasurer for Schweikert for Congress.[228] She also previously did accounting work for Rep. Schweikert's real estate company, Sheridan Holdings.[229] Ms. Giramberk declined to cooperate with the OCE's review.[230]

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[220] *See e.g.*, Friends of David Schweikert, FEC Form 1 Statement of Organization, Amendment, filed Apr. 13, 2018 at 1, 3 (identifying CFS as the custodian of records and providing a CFS email address as the point of contact for the campaign committee).

[221] *See e.g.*, David Schweikert for Congress, FEC Form 1 Statement of Organization, Amendment, filed Apr. 13, 2018 at 1, 3 (identifying CFS as the custodian of records and providing a CFS email address and P.O. Box as the relevant points of contact for the campaign committee).

[222] *See e.g.*, Defending America's Values Everywhere (Team Dave), FEC Form 1 Statement of Organization, Amendment, filed Apr. 13, 2018 at 1, 3 (identifying CFS as the custodian of records and providing a CFS email address and P.O. Box as the relevant points of contact for the campaign committee).

[223] *See e.g.*, Schweikert Victory Committee, FEC Form 1 Statement of Organization, Amendment, filed Apr. 13, 2018 at 1, 3 (identifying CFS as the custodian of records and providing a CFS email address and P.O. Box as the relevant points of contact for the campaign committee).

[224] *See e.g.*, Friends of David Schweikert, FEC Quarterly Report of Receipts and Disbursements (Q2), at 1, filed July 13, 2018.

[225] *See e.g.*, David Schweikert for Congress, FEC Quarterly Report of Receipts and Disbursements (Q2), at 1, filed July 13, 2018.

[226] *See e.g.*, Schweikert Victory Committee, FEC Report of Receipts and Disbursements (Q2), at 1, filed July 13, 2018.

[227] *See e.g.*, Defending America's Values Everywhere, FEC Quarterly Report of Receipts and Disbursements (Q2), at 1, filed July 13, 2018.

[228] *See e.g.*, Schweikert for Congress, FEC Form 1 Statement of Organization, Amendment, filed Jan. 13, 2012; Schweikert for Congress, FEC Report of Receipts and Disbursements (Q1), at 1, filed April 14, 2017.

[229] *See* V. Giramberk LinkedIn (Exhibit 23 at 18-2234_1138).

[230] The OCE requested interviews from Ms. Giramberk and Ms. Garrett on June 5, 2018 and received nearly identical responses from them around the same time that evening. Both women responded to the OCE's request via email by telling the OCE that they "decline[d] to participate" in the review and then asked that the OCE "not contact [them] again."

167. Karen Garrett is a former Schweikert for Congress treasurer.[231] She has also volunteered for other organizations working to reelect Rep. Schweikert. Ms. Garrett declined to cooperate with the OCE's review.[232]

Firetrace

168. Firetrace provided the OCE with documents in response to its RFI; however, Firetrace refused to sign and return a certification, pursuant to 18 U.S.C. § 1001, that it did not knowingly or willfully withhold materials requested by the OCE.

169. Mark Cavanaugh was a former senior executive and then CEO of Firetrace. Mr. Cavanaugh did not respond to OCE requests for an interview.[233]

170. Teddy Eynon was a lobbyist for Firetrace and friend of Mr. Cavanaugh's. The OCE sought documents from and an opportunity to interview Mr. Eynon. Mr. Eynon did not respond to the OCE's communications.

Ana Schwab

171. Ana Schwab, Mr. Schwab's wife, declined, through counsel, to interview with the OCE.

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[231] *See e.g.*, Schweikert for Congress, FEC Form 1 Statement of Organization, filed Jan. 20, 2011; Schweikert for Congress, FEC Form 1 Statement of Organization, Amendment, filed Nov. 9, 2011.
[232] *See supra*, note 230.
[233] Former Firetrace Executive advised the OCE that Mr. Cavanaugh received copies of the OCE's requests and purposefully declined to respond to those communications. Former Firetrace Executive Transcript (Exhibit 8 at 18-2234_274-76).

EXHIBIT 1

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

Transcript of Interview of Matthew Tully
June 27, 2018

COE.SCHWEIKERT.004797

18-2234_0002

1 Jeffrey Brown: With that, we will begin. For the record, this is Jeff Brown from the Office
2 of Congressional Ethics. With me is Chief Counsel Omar Ashmawy.
3 Before us, we have Matt Tully. We are at the Offices of Venable with his
4 counsel Jim Tyrrell, and it is June 27th. It is about 3:20 PM. Mr. Tully's
5 been given a copy of the false statements warning and signed the
6 acknowledgement, and with that, we'll get started. Do you prefer Matt,
7 Mr. Tully?

8 Matt Tully: Matt's fine.

9 Jeffrey Brown: Okay. Where are you currently employed?

10 Matt Tully: I work for Essent US Holdings. It's a U.S. holding company of Essent
11 Group. We do mortgage insurance and reinsurance.

12 Jeffrey Brown: Okay, and your specific role at Essent?

13 Matt Tully: My title's Vice President, Government and Industry Relations, so I'm a
14 registered lobbyist for the company. That's the majority of what I do,
15 although over the last few years, it has evolved a couple different ways.
16 Less so now the last couple years, spent a lot of time with clients, business
17 development side, talking to senior level clients, C-Suite types, speaking
18 at MBA-stake conventions about what's going on with GSE reform, Dodd-
19 Frank, things of that nature.

20 The gentleman who originally hired me retired a few years ago, and I now
21 work in the corporate development investor relations department for our
22 head of corporate development and investor relations. I have some more
23 communications responsibility, both just general media inquiries, but also
24 crisis communications. Then most recently, in the last three, four months,
25 I've taken on some additional responsibility with respect to our earnings
26 process. Actually participating in that, helping craft our earning script,
27 Q&A, preparing our CEO for questions on our earnings call, actually
28 sitting physically in the room during the earnings call, so that's been kind
29 of a new thing my job has evolved to.

30 Jeffrey Brown: And you've been there since when?

31 Matt Tully: Since I left the Hill in May of 2013.

32 Jeffrey Brown: Okay. Did you handle mortgage-related matters while you were on the
33 Hill?

1 Matt Tully: Yeah, for every member that ... I worked for three members. I worked for
2 David Dreier initially, then John Shaddegg, and then Congressman
3 Schweikert. Always had that in my portfolio. I was hired as the
4 congressman's Legislative Director, and I was the staff designee for House
5 of Financial Services Committee.

6 Jeffrey Brown: Okay. At Essent, you said you do some lobbying, who are you lobbying?

7 Matt Tully: It's primarily House of Financial Services and Senate banking, those are
8 kind of the two key ones. A little bit of Ways and Means and Senate
9 Finance, but it's primarily House of Financial Services and Senate
10 Banking.

11 Jeffrey Brown: And specifically, former members you used to work for?

12 Matt Tully: I have been into the Schweikert office before on a couple tax-related
13 things and some GSE-related things, but in terms of other former
14 members, I mean, Mr. Drier, Mr. Shaddegg, both gone by the time I left.

15 Jeffrey Brown: Okay. When was the last time you were in the Schweikert office?

16 Matt Tully: It would have been probably early April.

17 Jeffrey Brown: Okay, meeting with who?

18 Matt Tully: Katherine. I always butcher her last name. I hired her. I think I hired her.
19 Duveneck.

20 Jeffrey Brown: Duveneck? Okay.

21 Matt Tully: I may have stopped by one other time, I may pop in every once in a while,
22 but for a formal meeting, it was probably early April. It was this quarter, I
23 know, because for the LDA-

24 Jeffrey Brown: How frequently are you back in the Schweikert office?

25 Matt Tully: Not that often, maybe once or twice a quarter. Usually just to stop by. I
26 typically don't lobby them very much.

27 Jeffrey Brown: Because you're on the Hill and you're connecting with-

28 Matt Tully: Yeah, just to say hey, yeah.

1 Jeffrey Brown: Okay. You mentioned previous employment on the Hill, can you just walk
2 me through who you're with, what years, and what responsibilities?

3 Matt Tully: All three members?

4 Jeffrey Brown: Yeah.

5 Matt Tully: I joined Mr. Drier's office in December of 2006, and I left there April,
6 May of 2009 to go work for Congressman Shaddegg. Stopped on a Friday,
7 started on a Monday with the Shaddegg office. Mr. Shaddegg retired in, I
8 guess technically speaking, would have been January of '11, at the end of
9 that Congress. And then I was with Mr. Schweikert from January of '11
10 until May of '13.

11 Jeffrey Brown: And I take it at the end of 2010, you're looking for other employment-

12 Matt Tully: Yes.

13 Jeffrey Brown: Because your member's retiring?

14 Matt Tully: Yes.

15 Jeffrey Brown: Okay, so how did it come about that you get hired in Representative
16 Schweikert's office?

17 Matt Tully: I met him at freshman orientation.

18 Jeffrey Brown: Okay.

19 Matt Tully: Yeah. Some advice I had been given and some networking I was
20 doing…that, go volunteer at freshman orientation, you can meet a lot of
21 people. Actually put my name on the list, it was fairly enterprising, I want
22 to think, but I put my name on the list early on. I had a friend who's
23 actually still on House Admin, "Hey, can you just add my name to the
24 list?" So, I met him there.

25 Then he came by the Shaddegg office a couple times, and I met him and
26 his wife and showed them around, met Oliver, and kind of told them what
27 I was looking to do, wanting to be an LD, and that's how I ended up
28 getting hired there.

29 Jeffrey Brown: Who interviews you? Representative Schweikert and Oliver?

1 Matt Tully: Yeah. Interview, informal conversation, kind of laying out my experience,
2 and what I was looking to do.

3 Jeffrey Brown: You didn't know either of them before ...

4 Matt Tully: No.

5 Jeffrey Brown: I guess in late 2010?

6 Matt Tully: No.

7 Jeffrey Brown: Okay. And you're hired into as Legislative Director role?

8 Matt Tully: Mm-hmm (affirmative).

9 Jeffrey Brown: Okay. And you're in that role for about how long?

10 Matt Tully: Through the time when Oliver left, so that would have been April-ish ...
11 April, May of '12.

12 Jeffrey Brown: Okay. You're Legislative Director- who are you reporting to, who's
13 reporting to you?

14 Matt Tully: Who I'm reporting to is Oliver and the congressman. We had a pretty flat
15 structure in terms of reporting, just because I did a lot of the work in the
16 Financial Services Committee, which is his only committee. And then the
17 LAs and the LC reported up to me.

18 Jeffrey Brown: Okay. At some point this changes because Oliver departs.

19 Matt Tully: Correct.

20 Jeffrey Brown: Walk me through how that transition occurs.

21 Matt Tully: He basically said, "I'm leaving. I'm going to go run this Super PAC for the
22 primary." I don't remember how he said ... We had a discussion with
23 David, that piece of it maybe a little foggy in my mind. But he
24 recommends that, "Matt become Chief of Staff," and that was it.

25 Jeffrey Brown: Okay, so Oliver says to the congressman, "I think Matt should take over
26 Chief of Staff?"

27 Matt Tully: To the best of recollection. I don't really remember the formal
28 conversation in vivid color.

1 Jeffrey Brown: It looks to me like this is sort of a brief departure for Oliver, just a couple
2 months. Was that what was expected on the front end? Did you expect
3 Oliver to come back?

4 Matt Tully: I don't think we knew what to expect, just because we didn't know what
5 was going to happen in the primary, so that was ... You kind of got to
6 cross over that Rubicon and get to the other side. Obviously, if the
7 congressman lost, then it's a moot point, and if he had won, then we would
8 kind of assess it from there. I don't remember there being kind of a formal
9 plan one way or the other.

10 Jeffrey Brown: Okay. When the congressman wins the primary, does Oliver come back to
11 you, come back to the Representative? Are there discussions about him
12 wanting to come back on?

13 Matt Tully: I don't remember exact discussions. I knew he ... I recall him expressing a
14 desire to come back, and talking about the role he would come back in. I
15 think it was Senior Adviser role that we ended up bringing him back on,
16 but I don't remember the formal discussion of how that happened.

17 Jeffrey Brown: And what was the reporting structure like once he rejoined the team?

18 Matt Tully: To me and to David. It wasn't necessarily ... I wasn't overly formal about
19 it. We had worked together, obviously, previously, so there wasn't a
20 formal, "You have to run things through me."

21 Jeffrey Brown: Okay. I'm going back up just a little bit and just talk a little bit more
22 generally about working relationships in the office. So what sort of boss is
23 Representative Schweikert?

24 Matt Tully: He was very hardworking, was very interested in policy and understanding
25 policy, wanted to be known as a wonk, as an expert. They came to quickly
26 rely on him pretty heavily at the Financial Services Committee to help
27 with markups and hearings. As had been recounted to me, pretty extensive
28 experience in the Arizona State House, so he knew process well, and he
29 was the Vice Chairman of, I guess what was then, the Capital Markets and
30 GSE subcommittee. There's been some reorganization since. We thought
31 of ourselves as policy orientated office, and really delved deep on things
32 related to, really, that subcommittee, which was GSE Reform, Housing
33 Finance Reform and Capital Markets.

34 Jeffrey Brown: Okay. Travel. Did you travel with the congressman with any degree of
35 frequency when you were there?

1 Matt Tully: We had a couple trips to New York, which was not uncommon to go up
2 and meet with people who had interest before the campaign. Travel with
3 him, do you mean directly or just-

4 Jeffrey Brown: Yeah, are you going back to the district with him?

5 Matt Tully: No. I went back to the district ... I don't think I went back to the district for
6 the first time until March, April of 2012.

7 Jeffrey Brown: You mentioned New York. Those were campaign related?

8 Matt Tully: No, no, those were official visits.

9 Jeffrey Brown: So, Oliver. We've said the name before. By Oliver were referring to
10 Oliver Schwab. Let me back up. Who is Oliver Schwab? Who is he to
11 you?

12 Matt Tully: I mean, we're friendly. We see each other once in a while, play squash
13 every once in a while. We got along well, we were colleagues in the
14 office, and we had a good working relationship.

15 Jeffrey Brown: What sort of Chief of Staff is he? Is he hands on, hands off?

16 Matt Tully: He was pretty hands off. He was very differential around policy, because it
17 wasn't really his background. I think the reason I was hired was my
18 experience, obviously, having been on the Hill for a few years previously.

19 Jeffrey Brown: Right, because he was working on the campaign before he came to work
20 for Representative Schweikert.

21 Matt Tully: That's correct.

22 Jeffrey Brown: Okay. Can you describe their relationship for me?

23 Matt Tully: Oliver's and the congressman?

24 Jeffrey Brown: Oliver and Representative Schweikert.

25 Matt Tully: They seemed to be pretty close from what I understand. They had spent,
26 obviously, a lot of time together during the race, and, I guess that was
27 2010. So, they seemed to be pretty close. The congressman seemed to
28 have a lot of trust in Oliver.

1 Jeffrey Brown: Okay. You said Oliver's not ... policy isn't his background. Oliver's doing
2 both political and official-side work for the congressman, right?

3 Matt Tully: Yes.

4 Jeffrey Brown: Okay. Do you know how they initially connected, the congressman and
5 Oliver?

6 Matt Tully: My understanding, my recollection is ... Oliver had worked previously for
7 the Club for Growth, and I think someone had recommended him, as a job,
8 to be campaign manager.

9 Jeffrey Brown: Okay, and he's campaign manager on Representative Schweikert's first,
10 well not first round but first successful round.

11 Matt Tully: I think, yeah, it was the 2010 campaign.

12 Jeffrey Brown: You described your relationship as friendly with Oliver. Can you tell me a
13 little bit more-

14 Matt Tully: We get together every once in a while. We haven't hung out socially in a
15 couple of years, it's more of a function of kids, and life and being busy ...

16 Jeffrey Brown: Okay.

17 Matt Tully: But like I said, we play squash every once in a while. Haven't played since
18 this issue came up, but we see each other and chat every once in a while,
19 see him if I stop by the office or something like that. I'd say, friendly but
20 not necessarily close, close friends.

21 Jeffrey Brown: Okay. And you didn't know him before you ended up in Representative
22 Schweikert's office?

23 Matt Tully: No.

24 Jeffrey Brown: Okay. Who's Beau Brunson?

25 Matt Tully: Beau was a legislative assistant we hired when we were getting the team
26 ready in the end of 2010. When I became Chief of Staff, I elevated Beau
27 to Legislative Director.

28 Jeffrey Brown: Did he do any work on the campaign side of things?

29 Matt Tully: No.

1 Jeffrey Brown: Okay.

2 Matt Tully: That's my recollection.

3 Jeffrey Brown: How about Ryan White? Who's Ryan White?

4 Matt Tully: Ryan was an LC at some point. I think ... He started as Staff Assistant, but
5 bear in mind, I'm going back a long way. I think he started as a Staff
6 Assistant, was promoted to LC somewhere along the way, but I couldn't
7 remember the date. I know he was an LA. He may have become LA when
8 Beau was moved up to LD. We tried to bring people that were with us,
9 and promote from within. LC, LA kind of in that leg shop world.

10 Jeffrey Brown: How closely did you work with Beau and Ryan?

11 Matt Tully: Beau pretty closely. Beau was obviously a direct report, so talked to him a
12 lot. Just in terms of obviously early on, guidance in terms of expectations
13 of how the leg shop was supposed to run. Over time, we would try to be
14 hands off so that LA's would have their portfolios, and they had their
15 responsibilities. So, as long as they were doing what they needed to do, let
16 them have the opportunity to, obviously, interact with the member, but
17 give guidance as necessary.

18 Ryan, I didn't work as closely with. He was ... I'm pretty sure he would
19 have been LC, so it was mostly the mail, kind of QC-ing the mail, making
20 sure we were responding in a timely fashion, editing letters, things of that
21 nature.

22 Jeffrey Brown: You, at some point, leave Representative Schweikert's office. Can you
23 walk me through the decision to depart?

24 Matt Tully: Sure, I guess kind of important context outside of just the office was I had
25 gone to business school at night. So I had a desire to put that degree to use.

26 Jeffrey Brown: You had gone to business school at night while working for-

27 Matt Tully: For Congressman Shaddag and Congressman Schweikert. So I had a
28 desire to put that degree to some use, and I had different people approach
29 me over the years, and … over years I was with the congressman as well.
30 The gentleman that hired me at Essent had reached out sometime in the
31 spring of '11, I'm sorry '12, to talk about the opportunity and kind of had
32 stayed in touch with him through the fall, went up to the office in
33 Pennsylvania, and interviewed with the CEO and a number of other
34 people. Went up again the following February, and ultimately got a job

1 offer. There were we a couple of different things that had been percolating
2 out there that I had been looking at, but at that point, if felt like I had a
3 good run, had enjoyed my time in the House, but wanted something that
4 was a little more stable.

5 Having looked back at the '08 cycle, Mr Dreier was okay, but we were
6 nervous. No one really knew what was going to happen there. In '10, six
7 months after I got to Congressman Shaddag's office, he announced he was
8 retiring, so that kid of threw everything into a tizzy, and there was some
9 stress there and obviously the primary in '12 was fairly stressful. So, it felt
10 like, "Okay, I've had a good run. I got some things signed into law which
11 was pretty cool. I have this degree and would like to go do something with
12 it in the corporate world." This just felt like the right opportunity for me.

13 Jeffrey Brown: Did the departure have anything to do with the fact that Oliver was Chief
14 of Staff, and then he came back in that Senior Advisor role?

15 Matt Tully: No, no. Like I said, it was time for me. I felt like I had had my fun and my
16 run. I didn't necessarily feel like I was being challenged as much. The job
17 that I have now, this function didn't exist previously at the company. It's
18 still a relatively young company, so it's the opportunity to go and build
19 something, and also grow into other things in the corporate structure like I
20 described earlier, and that was what I desired to do.

21 Jeffrey Brown: From Congressman Schweikert's office, just curious who you keep up
22 with. You mentioned Oliver, how about Beau?

23 Matt Tully: I saw Beau, may have been a year ago. I actually saw him ... I was driving
24 in one morning. I saw him on the street, and I emailed him was like, "Hey,
25 what's going on? We should catch up." Again, I can't give you exact dates,
26 it was a while ago. We had lunch. Kat Dimenstein, I've kept up with. I
27 hired Kat. I think very highly of her. I think she is very smart. I actually
28 encouraged her to come back from the Senate to take the LD job. I meet
29 with her every once in a while.

30 Cami, I will butcher her last name, Lepire, reached out at one point just for
31 career advice…was looking for a new job. And given my tenure on the
32 Hill, and when Mr. Shaddag announced he was retiring, went out on a lot
33 of informational interviews, learned a lot from that process, and I always
34 tried to mentor younger staff. So, she came to me for some advice about a
35 specific job she was looking at, but in general just about how she should
36 think about her career development and things like that.

1 Again, every once in a while. Katherine, probably informally, yeah a
2 couple of times here and there, a few formal office visits, either with our
3 trade group or individually, and a couple of coffees outside the office
4 every once in a while. Just again, career development, how are things
5 going. That kind of thing.

6 Jeffrey Brown: Ryan?

7 Matt Tully: Didn't really keep in touch with him. Wasn't really that close to him.

8 Jeffrey Brown: How about Dan Caldwell?

9 Matt Tully: Dan, I actually saw him at a Starbucks. He was in his car. I didn't realize
10 he had moved here. After the campaign ended, and I went home, I maybe
11 went back to Phoenix one or two more times, and left after that. Then I
12 found out after because I sent Oliver, I texted him, and said, "Is Dan out
13 here now?" and he said, "Yeah, he is." But didn't really keep in close touch
14 with him.

15 Jeffrey Brown: So, it sounds like Oliver, Beau, Kat, Cami are the individuals that you
16 keep up with some more frequency?

17 Matt Tully: Yeah, and Katherine.

18 Jeffrey Brown: Katherine, excuse me.

19 Matt Tully: Kat and Katherine.

20 Jeffrey Brown: Okay, right.

21 Matt Tully: It was Katherina Dimenstein and Katherine Duveneck.

22 Jeffrey Brown: Right, right, right. I don't want ask you the last time you talked to every
23 one of those people, because I'm sure it would be difficult to remember,
24 but have you talked to any of those folks since November of 2017?

25 Matt Tully: Yeah, yeah.

26 Jeffrey Brown: Okay. Have you talked to any of those individuals about the OCE's
27 investigation?

28 Matt Tully: I got together with Kat. Just reached out and said, "Hey, how are you
29 doing? Is everything okay? Do you need anything?" That kind of thing,

1 didn't really get into specifics about, as I can recall, about the
2 investigation, just, "You doing okay?" That kind of thing.

3 Jeffrey Brown: Well, let me back up then for a second. How did you first become aware
4 that the OCE was investigating either Representative Schweikert or Oliver
5 Schwab?

6 Matt Tully: In the press. Someone forwarded it to me.

7 Jeffrey Brown: When you say, "Someone forwarded it to me," what are you referring to?

8 Matt Tully: A friend of mine said, "Hey, what's going on in the Schweikert office?" It
9 was a Roll Call article or something, that's how I first learned about it.

10 Jeffrey Brown: And what was that article?

11 Matt Tully: The article ... I think it was the article about Oliver and the
12 reimbursements, and the travel, and some of those things.

13 Jeffrey Brown: Is it this article that I'm going to hand you a copy of? There's one for you.
14 Jim-

15 Matt Tully: Yeah, I think this is the one.

16 Jeffrey Brown: Okay.

17 Matt Tully: I said Roll Call, the Examiner.

18 Jeffrey Brown: This is roughly then November 2017?

19 Matt Tully: Yeah, probably-

20 Jeffrey Brown: Just going off the date of this article.

21 Matt Tully: Yeah, yeah.

22 Jeffrey Brown: Okay. Do you remember who forwarded that along to you?

23 Matt Tully: I think it was either Greg Mesack or Travis Johnson, two lobbyists at the
24 Eris Group that my trade association uses as an outside lobbying
25 consultant. Again, I could be wrong about that.

26 Jeffrey Brown: I guess ... aside from your attorney, because we don't want to get into
27 anything attorney-client privileged, who are the individuals who have

1 some afflation with your office, with Representative Schweikert's office
2 that you have had discussions with about OCE investigations?

3 Matt Tully: I mean, I talked to-

4 Jeffrey Brown: You said Kat.

5 Matt Tully: Yeah, I mean I wasn't ... My recollection of the discussion wasn't about the
6 OCE investigation so much as it was just like, "Hey, how are you doing?"
7 I had encouraged her to go and be an LD, because I thought she was LD
8 material. I just wanted to check in and see is everything okay, "How are
9 you, personally?"

10 Jeffrey Brown: And that's shortly after you saw the-

11 Matt Tully: I think so, but I can't remember specifically. We would get together every
12 once in a while for coffee. I saw Oliver after this came out, we had lunch,
13 and speculation, "Where did this come from? Who was the source of the
14 complaint?" That sort of thing.

15 Jeffrey Brown: So, let me stop you there. Tell me more about that conversation.

16 Matt Tully: It was basically, I had speculations about who was the source of the
17 complaint. Was it a former staffer? He speculated, I believe if my
18 recollection is, it was Beau. I thought maybe the Communications or the
19 former Communications Director, but I didn't know.

20 Jeffrey Brown: Who's that?

21 Matt Tully: Rachel Semmel.

22 Jeffrey Brown: Okay. So there's speculation about who's having conversations with Philip
23 Wegmann, who's the author of this article.

24 Matt Tully: Yeah, either this article or OCE, I didn't really know where ... I assumed
25 this was the first thing, but I had no way of knowing.

26 Jeffrey Brown: When you and Oliver discussed this article, some of the allegations in the
27 article, what were his comments to you?

28 Matt Tully: I don't remember specifics. I think he speculated that it had been Beau,
29 and I really don't remember specifics beyond that.

30 Jeffrey Brown: Did he defend himself with respect to anything that was said in here?

1 Matt Tully: I don't remember.

2 Jeffrey Brown: So, there's discussion about a trip out to Arizona and using MRA funds,
3 did you talk about that?

4 Matt Tully: No.

5 Jeffrey Brown: Okay.

6 Matt Tully: No.

7 Jeffrey Brown: No discussions about use of the MRA during Super Bowl weekend?

8 Matt Tully: No, there may have been ... Trying to think again, goes back a couple of
9 months now. I think the receipts or that the office supplies, things like that.
10 I remember a subsequent conversation I had with him, just said, "Hey,
11 how's everything going?" He said, "Well, I submitted all of my receipts."
12 This was probably two or three months ago.

13 Jeffrey Brown: Well, let's talk about that. Let's get the time frame down here. When's the
14 first time you talked to Oliver about any of this?

15 Matt Tully: Probably November, December of last year.

16 Jeffrey Brown: It's sometimes shortly after this article comes out?

17 Matt Tully: Correct.

18 Jeffrey Brown: Okay. You have lunch?

19 Matt Tully: Mm-hmm (affirmative).

20 Jeffrey Brown: Okay. You don't discuss any of the specifics of this article?

21 Matt Tully: Not to my recollection, no.

22 Jeffrey Brown: Okay, and he doesn't attempt to defend himself?

23 Matt Tully: I don't remember.

24 Jeffrey Brown: What do you remember about that conversation?

25 Matt Tully: We hadn't seen each other in a while, so it was catching up, "Hey, how's
26 life?" Very informal lunch.

1 Jeffrey Brown: Okay. I suspect he had some feelings generally about how life was going
2 in light of-

3 Matt Tully: Yeah, it was like whatever it is what it is kind of reaction. He didn't seem
4 overly concerned about it.

5 Jeffrey Brown: Did you guys discuss cooperating with the OCE?

6 Matt Tully: No.

7 Jeffrey Brown: Did you-

8 Matt Tully: Not to my recollection. I don't remember anything about OCE in that
9 discussion.

10 Jeffrey Brown: Did he talk about hiring counsel or Representative Schweikert hiring
11 counsel?

12 Matt Tully: I don't remember specifically, no.

13 Jeffrey Brown: So this is the first conversation, that's November, December time period.
14 You discussed the matter again at some point?

15 Matt Tully: We would play squash every once in a while, we started playing again
16 three or four months ago, every week, every other week. Just, "Hey, how's
17 it going? How you doing?" That kind of thing. He mentioned, "I submitted
18 my receipts or paperwork.", whatever it was related to some of the
19 allegations around the spending.

20 Jeffrey Brown: Okay.

21 Matt Tully: At least, that was my interpretation of it.

22 Jeffrey Brown: Who did he submit those to?

23 Matt Tully: He didn't say, my assumption was OCE, but I don't know. We didn't get
24 into detail, and I didn't really want to be in detail. It was more of a "Hey,
25 how are you doing?" kind of a thing.

26 Jeffrey Brown: Does he know that you're here today?

27 Matt Tully: He does, he reached out to play squash, and he shot me a text. I texted him
28 back and said, "Based on the advice of counsel, it's best that we not be in
29 touch right now. I've been contacted by the OCE." I left it at that.

1 Jeffrey Brown: Did he have a reaction to that?

2 Matt Tully: He sent me the information for Chris DeLacy at Holland. I think the first
3 text was, "Oh no, that's too bad," that kind of a thing. Then he sent me a
4 subsequent text that said, "You know current and former staff can use
5 Chris DeLacy at Holland."

6 Jeffrey Brown: What did he mean, current and former staff can use them?

7 Matt Tully: They had contracted with Holland and Knight to ... if you wanted counsel
8 for these discussions, that you could reach out to them. By that point, I
9 already knew that. Holland and Knight represents my company for LDA
10 PAC work. Chris DeLacy actually handles our account. The account was
11 being handed off right around the time you called actually, because Doug
12 Dziak previously did that work, went back to Capitol Hill, so I already
13 knew that.

14 Jeffrey Brown: Oh, okay.

15 Omar Ashmawy: Just to clarify, you already knew what? That Schweikert's office had
16 contracted with Holland and Knight?

17 Matt Tully: That's correct.

18 Omar Ashmawy: About this this issue.

19 Matt Tully: Yes.

20 Omar Ashmawy: How did that come to your attention?

21 Matt Tully: Chris DeLacy told us, it was the same day that you called me. We were
22 actually going to meet with Holland and Knight.

23 Jeffrey Brown: And you said, “they are representing” ... Holland and Knight is
24 representing current staff. Who does Holland and Knight have their
25 relationship with, the congressman or Oliver?

26 Matt Tully: I don't think it is with either is my understanding. I think it was set up for
27 staffers.

28 Jeffrey Brown: That's sort of what I'm trying to get at. Set up for staffers by who?

29 Matt Tully: Again, my assumption is by the congressman or the campaign, but I don't
30 know.

1 Jeffrey Brown: Okay.

2 Jim Tyrrell: I think there was a ... I think you mean that you don't know specifically,
3 and I've been trying to discuss with them. It's really about payment of
4 legal fees. Who's going to cover legal fees.

5 Jeffrey Brown: You, and again, I'm not trying to get into attorney-client issues here, but
6 you opted not to work with Holland and Knight.

7 Matt Tully: That's correct.

8 Jeffrey Brown: Why? Why is that?

9 Matt Tully: Because, they represent my company. If at some point, this became a
10 reputational issue or some other issues, the discussion was ... What you
11 don't want to do is get into a legal representation relationship, find out
12 there's a conflict down the line, and then have to find new counsel. It'd be
13 better to have your own attorney from the get-go, and we just felt that the
14 smarter approach was establish relationship with someone outside Holland
15 and Knight given Holland and Knight's relationship with my company.

16 Jeffrey Brown: Okay. Let me back up a little. Oliver contacts you, and interrupt me at any
17 time if I get this wrong but, Oliver contacts you to play squash, or to try to
18 set up squash. You say to him, "I don't think we should be in contact on
19 advice of counsel." He then responds, and informs you about Holland and
20 Knight…is representing current and former staffers, is that-

21 Matt Tully: Correct.

22 Jeffrey Brown: Okay. These are written communications of some kind?

23 Matt Tully: Text message.

24 Jeffrey Brown: Text messages, okay. Do you still have those?

25 Matt Tully: I do.

26 Jeffrey Brown: Okay. Do you have any further conversations with Oliver after he advised
27 you Holland and Knight is representing-

28 Matt Tully: No, I think he texted me one other time after that and said that the OCE
29 deadline either had expired or was expiring soon. I hope we can play
30 squash again. I didn't respond to it.

1 Jeffrey Brown: Okay, did he ever say anything about cooperating or not cooperating with
2 the OCE?

3 Matt Tully: Not to my recollection, no.

4 Jeffrey Brown: So, he never… you never had any sort of conversation or discussion about
5 the voluntary nature of the OCE's interview process.

6 Matt Tully: Not to my recollection, no.

7 Jeffrey Brown: When you ... Let me jump back to the first time you met with Oliver after
8 this article comes out. You said that's maybe like November, December
9 2017?

10 Matt Tully: Mm-hmm (affirmative).

11 Jeffrey Brown: Okay. Did Oliver mention anything about an OCE investigation?

12 Matt Tully: I don't recall.

13 Jeffrey Brown: At some point between these text messages that occurred fairly recently,
14 and that November, December meeting, did Oliver mention an OCE
15 investigation?

16 Matt Tully: Say that again, I'm sorry.

17 Jeffrey Brown: So, I'm trying to get the timeframe down here. We've got November,
18 December lunch meeting with Oliver. That happens after allegations
19 started swirling about improper use of MRA. Then there's a series of text
20 messages that are exchanged more recently. Do you have a rough time
21 frame on when those were exchanged?

22 Matt Tully: The ones that I just described? That was within the last month.

23 Jeffrey Brown: Okay, in between those two time frames, did Oliver ever mention anything
24 about an OCE investigation?

25 Matt Tully: Not to my recollection, no. He may have, but I don't recall. I think one of
26 the articles talked about an OCE investigation.

27 Jeffrey Brown: So, we talked about Oliver. We talked about Kat briefly. You said you
28 reached out to Kat to see how she was doing. What sort of conversations
29 did you have with Kat?

1 Matt Tully: I had been in touch with her a lot over the years, just giving her advice,
2 managing her own career, how I found success legislatively, and kind of
3 managing the congressman and how he likes to get information, that kind
4 of thing. She's getting married, so I know she's stressed about that too. So
5 yeah, just kind of informal checking in. "Hey, how are you?"

6 Jeffrey Brown: Did she have a reaction to this article?

7 Matt Tully: I think she felt stressed, would be my recollection of it. I don't recall
8 discussing this specifically.

9 Jeffrey Brown: Did she mention whether or not she believed or didn't believe any of the
10 allegations in the article?

11 Matt Tully: I don't recall.

12 Jeffrey Brown: What kind of relationship does Kat have with Oliver?

13 Matt Tully: I ... Seems fine. I didn't see them interact all that much. So, hard for me to
14 really know beyond just speculating.

15 Jeffrey Brown: Aside from Oliver and Kat, who else, aside from counsel, have you had
16 discussions with about either the Examiner article or the allegations in it,
17 or OCE's investigation?

18 Matt Tully: There's been people, friends that I know, people, "Hey, what's going on
19 with your old boss?" When this was, there was this, and I think there may
20 have been another article or two about that.

21 Jeffrey Brown: Let me limit it to current or former members of Representative
22 Schweikert's office, congressional office.

23 Matt Tully: That I had talked to about the article?

24 Jeffrey Brown: That have either approached you, or you have approached them to discuss
25 either the article or the OCE investigation.

26 Matt Tully: I can't think of anyone.

27 Jeffrey Brown: Have you talked to Beau?

28 Matt Tully: No.

29 Jeffrey Brown: Ryan?

1 Matt Tully: No.

2 Jeffrey Brown: Dan?

3 Matt Tully: No.

4 Jeffrey Brown: Katherine?

5 Matt Tully: I've seen Katherine. I don't recall discussing the article with her. It was a
6 formal office visit.

7 Jeffrey Brown: Let's just make sure we're covering both bases. We've talked about the
8 article, but the OCE investigation, who have you talked to about the fact
9 that I, or the OCE, has reached out to you besides counsel?

10 Matt Tully: My parents, my wife, my in-laws, and a good friend of mine.

11 Jeffrey Brown: Who's the good friend?

12 Matt Tully: He's a friend of mine from college that lives in New York.

13 Jeffrey Brown: Unaffiliated with the Schweikert campaign?

14 Matt Tully: Yes.

15 Jeffrey Brown: Or office? Okay. Have you talked to ...

16 How many times did you say you met with Oliver between November and
17 today?

18 Matt Tully: Between that lunch, and squash and maybe stopping by the House office,
19 five on the low side, 10 on the high side.

20 Jeffrey Brown: Okay.

21 Matt Tully: Somewhere in there.

22 Jeffrey Brown: How would you, if you had to describe your and Oliver's relationship
23 today, how would you describe it?

24 Matt Tully: I think I said earlier, we're friendly, we're not close friends. We see each
25 other every once in a while for squash, but we don't talk that much beyond
26 seeing each other when we play.

1 Jeffrey Brown: I'm going to switch gears a little bit. Were you ever employed by any
2 campaign or PAC affiliated with Representative Schweikert?

3 Matt Tully: No.

4 Jeffrey Brown: Did you ever volunteer?

5 Matt Tully: For the campaign?

6 Jeffrey Brown: Yeah.

7 Matt Tully: Yeah, in my capacity as Chief of Staff, typical of what you see with most
8 Chiefs, obviously, you liaise between the campaign, mostly for
9 administrative things. Obviously, there's a lot of fundraising that comes
10 along with that type of position, so help with fundraising activities, both
11 here and Washington, and in Arizona during the campaign.

12 Jeffrey Brown: Why'd you say, in relation to your Chief of Staff responsibilities?

13 Matt Tully: Well, typical role for Chief of Staff on the Hill is to have some type of
14 liaison relationship with the campaign-

15 Jeffrey Brown: Who are you liaising with on the campaign?

16 Matt Tully: Well, Dan Caldwell was the Campaign Manager. We had a Washington-
17 based fundraiser, Erica Crocker, and a Arizona-based fundraiser, Lauren
18 Pemberton.

19 Jeffrey Brown: Okay. I'm just looking at your LinkedIn. It says "managed Washington
20 and Arizona fundraising efforts through solicitations of political action
21 committees and individual donors." Is that an accurate representation of
22 what you were doing for the campaign?

23 Matt Tully: Mm-hmm (affirmative).

24 Jeffrey Brown: Okay.

25 Matt Tully: Obviously in my free time.

26 Jeffrey Brown: And, you're a volunteer?

27 Matt Tully: Correct.

28 Jeffrey Brown: You were never compensated for this work?

1 Matt Tully: No.

2 Jeffrey Brown: Okay. These fundraising efforts occurred during what time frame?

3 Matt Tully: I would say through the better part of 2012. Through the campaign and
4 after, through when I left.

5 Jeffrey Brown: Okay, so-

6 Matt Tully: I would say beginning of 2012 until when I left would probably be an
7 accurate time frame.

8 Jeffrey Brown: Beginning of 2012, and that ... I think I'm correct in saying, you're still
9 deputy Chief of Staff-

10 Matt Tully: Legislative Director.

11 Jeffrey Brown: ... sorry, Legislative Director.

12 Matt Tully: Yeah.

13 Jeffrey Brown: So, some of these fundraising efforts begin while you're still LD?

14 Matt Tully: Correct.

15 Jeffrey Brown: Did you have a title on the campaign side of things?

16 Matt Tully: I did not.

17 Jeffrey Brown: What PACs are you involved with? Let me put it this way, are you
18 involved with a Representative Schweikert affiliated-PAC or are you
19 soliciting contributions-

20 Matt Tully: Soliciting contributions from PACs.

21 Jeffrey Brown: Who else did you liaise with on the campaign side of things? You said
22 Dan Caldwell, who else?

23 Matt Tully: There was one of the guys, Garrett Archer, came over from the official
24 office and helped out on the campaign. Obviously the congressman, and
25 his wife, because they monitor the accounts. The campaign treasurer,
26 Valerie Giramberk, I butcher her last name. Those are the ones that
27 immediately come to mind.

1 Jeffrey Brown: How successful are these fundraising efforts?

2 Matt Tully: Well, I mean, I was a kid from New Jersey out in Arizona, so I didn't
3 know a lot of people. We did our best to raise individual dollars. I was
4 probably more successful on the PAC side, because it's more DC based,
5 and knew more people here.

6 Jeffrey Brown: How much time are you spending out in Arizona?

7 Matt Tully: I came back and forth. Through, mostly I would say April, May through
8 the primary, on again, off again.

9 Jeffrey Brown: Are you out there solely for campaign work, are you out there for
10 campaign and official activity?

11 Matt Tully: Campaign and official, yes, put in, obviously, the minimum required
12 hours. Often times, more than the minimum, obviously I was familiar with
13 the rules and regs around that, but then be the, again, the liaison to the
14 campaign. There were debates, there were fundraising efforts, managing
15 the schedule, trying to keep morale up on the team, things like that.

16 Jeffrey Brown: I think you mentioned Garrett, Valerie, Dan ... So, Dan and Garrett are
17 both congressional … they had official side congressional duties.

18 Matt Tully: Correct.

19 Jeffrey Brown: Was there anybody else that was besides yourself, Dan, Garrett, Oliver,
20 who are congressional employees, or former congressional employees that
21 are working on the campaign?

22 Matt Tully: I can't think of anyone. I think I mentioned earlier Rachel Semmel was out
23 towards the end. She was our Communications Director.

24 Jeffrey Brown: Okay.

25 Matt Tully: But again, that was on the official side ... I guess your question is people
26 that-

27 Jeffrey Brown: People who are affiliated with the official and the campaign.

28 Matt Tully: Not that I can think of. Dan, we put him on…my recollection is, we put
29 him on leave. May have done the same with Garrett, although it's going
30 back so far, I don't remember specifically. We were pretty clear, we,

1 obviously, wanted to follow the letter of the law as it came to the
2 interaction and separation between the two.

3 Jeffrey Brown: Let's talk about Oliver's decision to separate from the congressional office,
4 how did that come about?

5 Matt Tully: I recall him saying, "I want to do a Super PAC to come out on the
6 congressman's behalf. I have to take leave to go do that." That was it. I
7 don't remember a lot of discussion about it.

8 Jeffrey Brown: How did he get connected ... What's the Super PAC?

9 Matt Tully: What's a Super PAC?

10 Jeffrey Brown: What's the Super PAC that he connected with?

11 Matt Tully: Oh. I think it was called National Horizon.

12 Jeffrey Brown: How does he get connected with National Horizon?

13 Matt Tully: I thought he started it.

14 Jeffrey Brown: Okay. Do you know anyone else who was involved with-

15 Matt Tully: No.

16 Jeffrey Brown: Can you tell me anything about what National Horizon is, besides it's a
17 Super PAC?

18 Matt Tully: That was probably the extent of my knowledge.

19 Jeffrey Brown: Is it formed in 2012?

20 Matt Tully: I believe so.

21 Jeffrey Brown: Okay, do you know what Oliver's role was there?

22 Matt Tully: He ran it.

23 Jeffrey Brown: He ran it. Do you know who David Satterfield is?

24 Matt Tully: David who?

25 Jeffrey Brown: Satterfield.

1 Matt Tully: Name's not familiar. I do not recall that name.

2 Jeffrey Brown: In the time leading up to Oliver's departure as Chief of Staff to go work at
3 National Horizon, what sort of campaign or fundraising role is he playing?

4 Matt Tully: He was doing similar things as I described earlier, the PAC fundraising
5 and individual fundraising back in Phoenix.

6 Jeffrey Brown: How much time is he spending on that?

7 Matt Tully: I don't know.

8 Jeffrey Brown: Okay. Is he… Just to be clear, I'm talking about the 2012, I guess May
9 2012 and earlier. Is he full-time in the congressional office?

10 Matt Tully: Yeah, he was in the office, and then he would leave to go do calls.

11 Jeffrey Brown: Okay.

12 Matt Tully: As is typically the case.

13 Jeffrey Brown: How much time did he spend in Arizona leading up to May 2012?

14 Matt Tully: It would only be speculation, I think he was back and forth, but I couldn't
15 put kind of a hard parameter on it.

16 Jeffrey Brown: Why does Oliver decide to go to work with National Horizon as opposed
17 to joining the Schweikert campaign?

18 Matt Tully: I don't know.

19 Jeffrey Brown: You guys never had any conversations about that?

20 Matt Tully: I mean ... I think he felt like that's how he could most help David be
21 successful in the race, is my recollection.

22 Jeffrey Brown: While he's at National Horizon - so during this three month or so period
23 that he takes leave from the congressional office - how frequently are you
24 in contact with Oliver?

25 Matt Tully: Not very frequently at all. Maybe saw him once or twice when we were ...
26 if our paths crossed in Phoenix, but not that frequently.

27 Jeffrey Brown: And where's he working from when he's working at National Horizon?

1 Matt Tully: I don't know.

2 Jeffrey Brown: Is he in Arizona?

3 Matt Tully: I believe so, but I don't know.

4 Jeffrey Brown: Did you ever see him in the district office?

5 Matt Tully: No.

6 Jeffrey Brown: I think at this point, we've probably established there's some individuals
7 who worked on the official congressional side - yourself, Dan, Garrett,
8 Oliver - who then also had some affiliation with, if not the campaign,
9 Representative Schweikert's re-election activities. So I'm curious what
10 sort of controls are in place to make sure that official side work stays
11 official and campaign work stays with the campaign?

12 Matt Tully: I was very clear when I became Chief that to the extent that people wanted
13 to help, they were welcome to, but it was not mandatory, and we should
14 remain focused on the official duties, both in Washington and in the
15 district, and deliberately tried to limit the number of people who were
16 even out in Phoenix from the official office. So, Rachel came out. I recall
17 Cassi was one of our LAs, came out for a little while. She had family in
18 Phoenix, so she was out for that and would work out of the district office,
19 then come over to the campaign on her free time. To this extent I had
20 control, to me, I made it very clear, official, we need to be focused
21 whether it was case work, whether it was legislative issues, responding to
22 mail, things like that. The work still needs to go on.

23 Jeffrey Brown: Did that same sort of clarity exist when Oliver was Chief of Staff?

24 Matt Tully: In terms of the-

25 Jeffrey Brown: Separation between campaign and official work.

26 Matt Tully: I think so. I mean, in some sense, it's hard because there wasn't a real
27 campaign while he was there. I mean, the campaign got up and running in
28 2012.

29 Jeffrey Brown: Well, so, then let's talk about that, 2012.

30 Matt Tully: Mm-hmm (affirmative).

1 Jeffrey Brown: There's campaign activity occurring from the start of 2012, is that fair to
2 say?

3 Matt Tully: My recollection, it picked up, probably, March time frame.

4 Jeffrey Brown: So, between March and May, he's Chief of Staff, and he's also handling
5 campaign responsibilities.

6 Matt Tully: I don't know what he was doing on the campaign up and to that point.

7 Jeffrey Brown: Let's go there. You said Oliver was involved in fundraiser activities, much
8 like you were. Can you elaborate on what Oliver is doing between March
9 and his decision in May 2012 to go to the Super PAC?

10 Matt Tully: He was doing his job as Chief of Staff.

11 Jeffrey Brown: On the campaign side. What's he doing on the campaign side?

12 Matt Tully: I don't know.

13 Jeffrey Brown: Are you guys coordinating at all? You and Oliver, are you doing the same
14 sort of fundraising work?

15 Matt Tully: I was, again, occasionally helping with fundraisers here in Washington,
16 mostly attending, sometimes making calls.

17 Jeffrey Brown: Is he doing those same things?

18 Matt Tully: Yes.

19 Jeffrey Brown: Does he have any added or additional responsibilities as Chief of Staff?
20 Well, let me rephrase that. Does he have any added or additional
21 responsibilities on the campaign side?

22 Matt Tully: Not as far as I know.

23 Jeffrey Brown: Did anyone on Representative Schweikert's staff ever perform any
24 campaign work in the congressional office?

25 Matt Tully: Not to my recollection, no.

26 Jeffrey Brown: You never saw anybody doing anything campaign related?

27 Matt Tully: I don't think so, no.

1 Jeffrey Brown: So, where are congressional staffers like you or Oliver or Dan, where are
2 you performing the campaign duties?

3 Matt Tully: There was a campaign office in Phoenix.

4 Jeffrey Brown: How about when you're in DC?

5 Matt Tully: Typically, if I was making phone calls, I'd go over to the Capitol Hill Club
6 or the NRCC, or sometimes from my home.

7 Jeffrey Brown: So, we've spoken to some other individuals who've indicated that Oliver
8 would perform campaign or fundraising activities in the congressional
9 office, be that DC or the district office. Did you ever see Oliver
10 performing any campaign actives?

11 Matt Tully: I don't recall witnessing any, no.

12 Jeffrey Brown: Okay, you ever see him make or receive any fundraising calls in the
13 congressional office?

14 Matt Tully: It's hard to know, right? Someone's on the phone, how would you know?

15 Jeffrey Brown: Do you have any specific recollections of him doing that?

16 Matt Tully: No.

17 Jeffrey Brown: No?

18 Matt Tully: Of him making or receiving ... do you mean like get on the phone and say,
19 "Come to this event or give us money."

20 Jeffrey Brown: Sure.

21 Matt Tully: I don't have any specific recollection, no.

22 Jeffrey Brown: How about writing or sending fundraising-related emails in the
23 congressional office?

24 Matt Tully: How would I know what he's ... unless I was standing over his shoulder
25 looking at-

26 Jeffrey Brown: Did he ever ask you to proofread, or take a look at any campaign-related
27 materials that he's working on?

1 Matt Tully: Not to my recollection, no.

2 Jeffrey Brown: How about meetings? Ever have any meetings with anyone about
3 fundraising issues or campaign issues while he's in the congressional
4 office?

5 Matt Tully: Not to my recollection. I think at one point, I recall, the congressman's
6 pollster came to the office and talked to some poll things, just some results
7 they had gotten from being in the field. That's probably the closest.

8 Jeffrey Brown: And those are ... the pollster is a campaign employee?

9 Matt Tully: Employee or vendor.

10 Jeffrey Brown: Okay, but Oliver and this pollster, are they discussing something in their
11 official capacity or campaign related?

12 Matt Tully: They would have been in the political capacity.

13 Jeffrey Brown: This is happening where in the House?

14 Matt Tully: In the congressman's office.

15 Jeffrey Brown: Okay. Any other meetings you recall Oliver having with anybody in either
16 the congressional office or the district office about anything political?

17 Matt Tully: Not that I can recall, no.

18 Jeffrey Brown: How frequently is he, Oliver, leaving ... scratch that. I'm just going to
19 quote an individual who we spoke to, and I'd just like to get your reaction
20 to the quote. This individual said, that the majority of his time, meaning
21 Oliver's time, as Chief of Staff, Oliver was focused on campaign work.
22 I'm just curious if you have a reaction to that statement.

23 Matt Tully: It's hard to qualify what is majority and ... I didn't keep tabs on his
24 calendar.

25 Jeffrey Brown: Okay.

26 Matt Tully: I think he did a lot phone calls. He was gone a fair amount.

27 Jeffrey Brown: What do you mean gone a fair amount?

28 Matt Tully: Off making political phone calls outside the office.

1 Jeffrey Brown: Let me read you one other thing. This is a quote, "He (Oliver), was
2 relatively open about what he was doing, and would come back to the
3 office saying, 'I just got a donation from this person' or 'was just at the
4 Capitol Hill and met with these folks who committed to donate X amount.'
5 Then there was times when we were asked to proofread campaign emails
6 that were going out. So Oliver, in my opinion, didn't try to hide what he
7 was doing. He was pretty open about his activities." Do you have a
8 reaction to that?

9 Matt Tully: I don't recall being asked to proofread campaign emails.

10 Jeffrey Brown: Was he pretty open about-

11 Matt Tully: He was open about fundraising and what he had been up to, and who he
12 had raised money from.

13 Jeffrey Brown: And those were conversations that you would have with Oliver or Oliver
14 would have with other staffers in the congressional office?

15 Matt Tully: I mean, he and I, obviously, spent time outside the office together, so I
16 recall some of it happening there. It could have happened in the official
17 office, I don't have specific recollections.

18 Jeffrey Brown: You don't have recollections about Oliver making any campaign or
19 fundraising-related comments in the congressional office?

20 Matt Tully: Not specifically, no.

21 Jeffrey Brown: Would he ever gather individuals from the congressional office, in the
22 congressional office, who were also working on the campaign, and meet
23 with them? Talk to them about fundraising or campaign-related activity?

24 Matt Tully: I don't think so, but I don't know how that would have happened. You
25 mean like in the DC office or-

26 Jeffrey Brown: Yeah, or the district office.

27 Matt Tully: I don't see ... If it was in the district, he and I weren't out there, really, ever
28 together to my recollection, so I wouldn't be aware of it. Beyond the
29 official thing at the DC fundraiser, there was no one else, really, from the
30 campaign here in Washington.

31 Jeffrey Brown: Did you ever see Oliver or Representative Schweikert direct any
32 congressional staffers to perform any sort of campaign work?

1 Matt Tully: No, not to my recollection.

2 Jeffrey Brown: Do you remember any congressional staffers preparing memos, or talking
3 points, or reports of any kind in the congressional office in anticipation of
4 a fundraiser or some sort of campaign-related activity?

5 Matt Tully: I think we had questionnaires and different things, how did you vote on
6 this, or what's your view on that, and I believe that was permissible under
7 the rules.

8 Jeffrey Brown: How about more specifically, congressman's going to a fundraiser,
9 congressman's going to a meet and greet with industry later in the day and
10 a staffer asked to prepare talking points in anticipation of that meeting. A
11 congressional staffer, I should say.

12 Matt Tully: Yeah, I don't recall any of that. The congressman was fairy independent
13 when it came to stuff like that. So, I don't recall him ever asking for
14 anything like that.

15 Jeffrey Brown: Do you recall any congressional staffers being asked to prepare any
16 policy- related research or talking points in anticipation of the Schweikert-
17 Quayle, I guess debate, or quasi-debate? That would have been around
18 March 2013.

19 Matt Tully: There may have been, but I don't have specific recollection.

20 Jeffrey Brown: Why do you say there may have been?

21 Matt Tully: Well, I mean, there may have been, back ... I remember there was a
22 debate, again I'm going back a far way, there was a debate, it was at the
23 Scottsdale Plaza. It was a company. The Central Arizona Project or
24 something where there, I think it was a natural resources issue or
25 something, it could have come up, but I don't have specific recollection.

26 Jeffrey Brown: You remember a policy memo that was prepared in advanced of the
27 Quayle debate on the NDAA?

28 Matt Tully: Yeah, that rings a bell. Maybe Beau did something.

29 Jeffrey Brown: Yeah, why does that ring a bell?

30 Matt Tully: Just Beau, I remember him sending different vote…things, how the
31 congressman had voted on something or how congressman Quayle had
32 voted on something. I don't know why it rings a bell. It rings a bell.

1 Jeffrey Brown: And this would have been information and materials in preparation for the
2 Quayle- Schweikert debate?

3 Matt Tully: Yeah, I think that sounds right.

4 Jeffrey Brown: Do you know, did Beau volunteer to do that stuff? Was Beau a volunteer
5 on the campaign?

6 Matt Tully: I don't believe he was ... He wasn't volunteering on the campaign. He
7 wasn't out there during the primary. To the best of my recollection, he
8 liked pulling information together and doing research. I think it was
9 voluntary, but I can't know for sure.

10 Jeffrey Brown: I just want to get your reaction to, we spoke with a staffer who described it
11 as sort of a wink and a nod scenarios where they're asked to prepare
12 materials in their official congressional capacity, but everybody knew it
13 was going to be used later for fundraising or campaign activities. Did you
14 ever see that happening?

15 Matt Tully: Not to my recollection, no.

16 Jeffrey Brown: Okay. If a staffer told us that they had spent approximately, maybe 20% of
17 their time in an election cycle, this is an official congressional staffer,
18 handling campaign work, would you have a reaction to that?

19 Matt Tully: Twenty percent of their official time?

20 Jeffrey Brown: Yeah.

21 Matt Tully: That sounds like a lot.

22 Jeffrey Brown: Did you ever see, again, have you seen that happen in any way? An
23 official congressional staffer asked to do research for campaign or
24 fundraising activities?

25 Matt Tully: Not to my recollection, no, and as I said earlier I tried to draw a pretty firm
26 line between, at least when I was Chief, between the two activities.

27 Jeffrey Brown: Along those lines, could staffers decline to work on the campaign if they
28 chose?

29 Matt Tully: Of course.

30 Jeffrey Brown: When you were Chief of Staff?

1 Matt Tully: Yes.

2 Jeffrey Brown: What about when Oliver was Chief of Staff?

3 Matt Tully: I don't know. There wasn't really much of a campaign apparatus, at least
4 until we knew about the primary was sometime in the beginning of 2012.

5 Jeffrey Brown: Would Oliver or Representative Schweikert looked unfavorably on
6 somebody who didn't assist with campaign activities if they asked?

7 Matt Tully: That would be speculation on my part.

8 Jeffrey Brown: Okay, you never saw that?

9 Matt Tully: I didn't, no.

10 Jeffrey Brown: We've talked about how you were involved in the campaign, and that's
11 2011. Well, we've talked about how you were in Representative
12 Schweikert's congressional office, that started in January 2011.

13 Matt Tully: Correct.

14 Jeffrey Brown: You also assisted with campaign-related activities. It sounds like that
15 didn't really start until a couple of months into 2012.

16 Matt Tully: Yeah, some point in 2012.

17 Jeffrey Brown: Did you have any familiarity with the congressman's prior runs for
18 Congress?

19 Matt Tully: Just what I had read about, when I was talking to him initially.

20 Jeffrey Brown: Yeah. Were you aware that he, personally, loaned his campaigns over
21 $500,000?

22 Matt Tully: Yes.

23 Jeffrey Brown: How did you become aware of that?

24 Matt Tully: I think Oliver had mentioned it.

25 Jeffrey Brown: In what context?

1 Matt Tully: Just that David had loaned himself money, and may or may not do it again
2 depending on how the primary played itself out.

3 Jeffrey Brown: So, this is a conversation you're having at some point in the 2012 election
4 cycle?

5 Matt Tully: Yeah.

6 Jeffrey Brown: Let me put it this way, that's a lot of money it seems like.

7 Matt Tully: Mm-hmm (affirmative).

8 Jeffrey Brown: You understood these to be personal loans that the congressman had made
9 to his campaign?

10 Matt Tully: Yeah, at a very high level, I wouldn't say that I knew details.

11 Jeffrey Brown: Did you understand where those moneys came from?

12 Matt Tully: I knew he had funds from what he had done before he came to Congress,
13 from some of his work in the real estate world, but I didn't know specifics.

14 Jeffrey Brown: Well, walk me through that a little bit, because he's an elected official in
15 Arizona, I believe, up until 2007, but then between 2007 and his ultimate
16 election in 2010, starting 2011, what are you understand him to be doing?

17 Matt Tully: He had run, as far as I knew, and he was very open about what he had
18 done, he ran funds, where he raised money from different investors to buy
19 homes that were in foreclosure, fix the homes up and rent them out. Single
20 family rental business.

21 Jeffrey Brown: Do you know what the companies were that he was doing this work
22 through?

23 Matt Tully: I knew there was some company he had that was called Sheridan Equities.

24 Jeffrey Brown: Okay, what-

25 Matt Tully: It was on his door at his former home, but that's really the extent of what I
26 knew about it.

27 Jeffrey Brown: And did you understand that to be a profitable business for him?

1 Matt Tully: He described it as profitable. It came up, obviously, in the context of being
2 on the Financial Services Committee, and understanding real estate and
3 real estate markets.

4 Jeffrey Brown: Okay, at some point he does loan his campaign more money.

5 Matt Tully: Mm-hmm (affirmative).

6 Jeffrey Brown: What's your recollection of that?

7 Matt Tully: It was towards the end of the campaign. My recollection is surprise. I
8 thought we were up in the polls and didn't necessarily know why it needed
9 to be done, but I was not really ... We weren't looped into those decisions.

10 Jeffrey Brown: And you say we weren't looped into those decisions-

11 Matt Tully: I mean myself, I don't know how others on the campaign knew about it, I
12 don't recall being consulted in any way.

13 Jeffrey Brown: I can show you these, but I'm also just happy to explain that in December,
14 it's December 25th of 2011, Representative Schweikert makes a $100,000
15 personal loan to his campaign. What's going on in the campaign in
16 December 2011 that prompts that.

17 Matt Tully: I don't know.

18 Jeffrey Brown: You don't know? You're involved in the campaign in December of 2011,
19 right?

20 Matt Tully: You say involved in the campaign. I'm in Washington, I may help with
21 fundraising or go to fundraising events, but-

22 Jeffrey Brown: But you're not…you don't have any awareness of this donation, or this,
23 excuse me, loan?

24 Matt Tully: I may have been aware that it happened, but not awareness of the decision.

25 Jeffrey Brown: Did you and Representative Schweikert ever discuss it?

26 Matt Tully: Not to my recollection, no.

27 Jeffrey Brown: Did you and Oliver ever discuss it?

1 Matt Tully: Again, not beyond Oliver saying that the congressman may loan himself
2 money.

3 Jeffrey Brown: Okay, and why did he say he may loan himself money? Was there some
4 concern that the campaign wasn't going to have sufficient funds?

5 Matt Tully: Probably, my assumption would be optics to make it look like you have a
6 lot of money in the bank, but that's speculation.

7 Jeffrey Brown: How about late August 2012, what's the financial state of the campaign at
8 that point?

9 Matt Tully: I know we were going through money quickly. There's a lot of ads, and we
10 were kind of close to the end.

11 Jeffrey Brown: So, we're talking about an August 22, 2012 $130,000 loan. Did you ever
12 have any discussions with the representative about that loan?

13 Matt Tully: Not to my recollection, no. That was pretty much kind of walled off from
14 my world.

15 Jeffrey Brown: Walled off, who's on the other side of that wall?

16 Matt Tully: I mean, that was the congressman's personal finances, and we didn't really
17 discuss it to my recollection.

18 Jeffrey Brown: The $130,000 donation gets them traction in the press, do you remember
19 that?

20 Matt Tully: I didn't at the time. But Chris DeLacy had mentioned when we were
21 talking to him that there was some speculation this may be related to a
22 blog post, he shared the blog post with me.

23 Jeffrey Brown: Is he talking about the Political Mafioso?

24 Matt Tully: Yes. I'm sure when I saw it, I read it when it came out, but it didn't
25 immediately jog any memories.

26 Jeffrey Brown: Are you aware of Representative Schweikert to ever taking out loans from
27 a bank to fund his campaign?

28 Matt Tully: Not to my recollection.

1 Jeffrey Brown: Okay, well let me go back to the $130,000 loan. Actually before I do that,
2 what else did Chris mention about the investigation?

3 Jim Tyrrell: I'm not sure, kind of in anticipation of he being your counsel maybe,
4 covered under attorney-client privilege.

5 Matt Tully: Yeah.

6 Jeffrey Brown: Was this ... I don't want to get into something if it is privileged, but had
7 you been discussing with Chris at this point of time, whether or not he
8 would be your counsel?

9 Matt Tully: Yes.

10 Jeffrey Brown: So this is a conversation you had with Chris about whether or not he
11 would represent you?

12 Matt Tully: Correct.

13 Jeffrey Brown: The $130,000 donation, this occurs in August…August 22, 2012. That's
14 right before the primary, am I correct?

15 Matt Tully: Yes.

16 Jeffrey Brown: You mentioned that these decisions are sort of walled off, the
17 congressman is involved in this decision, his wife is involved in this
18 decision, anyone else?

19 Matt Tully: I don't know.

20 Jeffrey Brown: Okay. Was there ever any discussion amongst campaign employees about
21 why he's deciding to loan the campaign $130,000?

22 Matt Tully: Not to my recollection, no.

23 Jeffrey Brown: Do you remember any media or Quayle pieces about, you know, this is the
24 $130,000 question, why is Representative Schweikert loaning himself all
25 this money if he's saying he's so far up in the polls?

26 Matt Tully: Yeah, I mean, that vaguely sounds familiar.

27 Jeffrey Brown: Did you have any understanding or any speculation about where this
28 $230,000 that he contributes, or loans to the campaign in less than nine
29 months, do you have any idea where that money's coming from?

1 Matt Tully: Again, knew he had various funds that he, from the private sector and how
2 he had made money in the single family rental business, but no real depth
3 of knowledge.

4 Jeffrey Brown: Did anybody ever tell you that this was money he'd earned in relation to
5 Sheridan, or one of the businesses that he ran?

6 Matt Tully: Did anyone tell me?

7 Jeffrey Brown: Right, or is that speculation?

8 Matt Tully: Speculation on my part… he's said that's how he made his money.

9 Jeffrey Brown: In real estate?

10 Matt Tully: Yeah.

11 Jeffrey Brown: Okay. So you don't know if this money's coming from savings, or sale of a
12 property or liquidation of some sort of retirement fund?

13 Matt Tully: No.

14 Jeffrey Brown: Did Oliver Schwab, did he ever loan Representative Schweikert or his
15 campaign any amount of money?

16 Matt Tully: I don't know.

17 Jeffrey Brown: Do you ever recall ever hearing anything about Oliver loaning any money
18 to Representative Schweikert or the campaign?

19 Matt Tully: No, I don't recall that.

20 Jeffrey Brown: Did you and Oliver ever discuss moneys that he gave to Representative
21 Schweikert or the campaign that he was not paid back?

22 Matt Tully: I'm trying to remember. I don't think so, but I don't recall. Like
23 reimbursement money?

24 Jeffrey Brown: Well, let me see if I can jog your memory a little bit. One staffer explained
25 to us that, "Oliver expressed on several occasions that he did things for the
26 campaign, and David owed him thousands of dollars that he had
27 personally put out for different things." Another person said, "At one
28 point, I was told that he, Oliver, donated or he'd loaned the campaign a
29 relatively significant amount." And we were told by another, "Oliver did

1 occasionally complain that David owed him money. I do remember a
2 couple of times he brought up in excess of $30,000." Does that jog your
3 memory at all?

4 Matt Tully: I know Oliver was employed by the campaign, obviously, previously. I
5 don't know if there was a dispute about payment. I remember Oliver
6 saying he worked really hard in 2010, and felt like he was owed a lot for
7 the efforts, and how much he raised, but the $30,000 number doesn't
8 sound familiar.

9 Omar Ashmawy: Well, let's not focus so much on the number 30,000, but have you heard
10 from anybody that the congressman owed Oliver money?

11 Matt Tully: Not to my recollection, no.

12 Jeffrey Brown: You never had a discussion with any staffer, either while you were in the
13 office or since you've left, about Oliver loaning or being owed money by
14 Representative Schweikert or his campaign?

15 Matt Tully: Not to my recollection, no.

16 Jeffrey Brown: Do you recall any campaign donors being given favorable treatment by
17 either Oliver or Representative Schweikert?

18 Matt Tully: You mean favorable treatment in what way?

19 Jeffrey Brown: Any way. Oliver and/or Representative Schweikert do anything special for
20 campaign donors?

21 Matt Tully: People would come for Dome tours and things like that, I think the
22 assumption was some of those people were helpful.

23 Jeffrey Brown: How about this, do you ever recall an individual seeking grant funding for
24 research in Africa?

25 Matt Tully: I do not recall that, no.

26 Jeffrey Brown: He made a donation, and then he was brought in for a meeting, then the
27 congressman sent letters in support?

28 Matt Tully: I don't recall that. I didn't handle foreign affairs, so-

29 Jeffrey Brown: Whose portfolio was foreign affairs?

1 Matt Tully: Cassi's maybe?

2 Jeffrey Brown: Okay.

3 Matt Tully: That's going back a ways.

4 Jeffrey Brown: Do you know….who's Ana Schwab?

5 Matt Tully: Oliver's wife.

6 Jeffrey Brown: Okay. What sort of relationship did she have with Congressman
7 Schweikert's office?

8 Matt Tully: She came by on occasion. She worked at ... I believe she was working for
9 Congressman Gossar for a while. Then she was working at the NRCC,
10 so…

11 Jeffrey Brown: Was she a lobbyist at some point? Did she ever work for Fox Rothschild
12 or Best Best & Krueger, does that ring a bell?

13 Matt Tully: Yeah, that was after my time.

14 Jeffrey Brown: Okay. So when you're in Representative Schweikert's office, she's not
15 engaged in any lobbying activities?

16 Matt Tully: No, I'm fairly certain that she was at the NRCC.

17 Jeffrey Brown: Are you familiar with a company called Firetrace?

18 Matt Tully: Yes, I've heard that name.

19 Jeffrey Brown: What's Firetrace?

20 Matt Tully: This defense company. I think their technology was something that went
21 on military vehicles like Humvees and tanks, that if there was an IED
22 explosion or something, it was like a rapid extinguisher. It would detect it,
23 and put it out.

24 Jeffrey Brown: Fire suppressant systems?

25 Matt Tully: Yeah.

26 Jeffrey Brown: Did you ever meet anybody at that company?

1 Matt Tully: Perhaps in passing. I didn't handle defense issues.

2 Jeffrey Brown: Whose responsibility was that?

3 Matt Tully: I think Beau did defense.

4 Jeffrey Brown: Anybody else?

5 Matt Tully: Ryan, actually Ryan may have been the foreign ... Well, Ryan was the LC.
6 Trying to remember who did, I think Defense and Foreign Affairs, well,
7 defense was Beau. I think Foreign Affairs was Cassi. Yeah, I…

8 Jeffrey Brown: Do you know if anybody at Firetrace was a donor to Representative
9 Schweikert?

10 Matt Tully: I think so, Teddy Eynon, I believe was their lobbyist coming by the office.
11 I wouldn't be surprised to learn that they were donors.

12 Jeffrey Brown: Did Teddy and Oliver have a relationship?

13 Matt Tully: Yeah, I think they were friendly, but hard for me to know.

14 Jeffrey Brown: Do you know how they knew each other?

15 Matt Tully: I do not know.

16 Jeffrey Brown: Financial disclosures. Did you ever assist Representative Schweikert with
17 his financial disclosures?

18 Matt Tully: No, not to my recollection.

19 Jeffrey Brown: Who assisted the Representative in compiling those?

20 Matt Tully: I think he and his wife did it. I recall his Executive Assistant, his Deputy
21 Chief of Staff, Susan Marshall, I remember her being very vigilant about
22 getting everything filed on time, because when I was Chief of Staff I had
23 to do one as well. But my recollection is that the Congressman and his
24 wife handled that.

25 Jeffrey Brown: You don't remember any staffers being involved?

26 Matt Tully: I do not, no.

1 Jeffrey Brown: Is there anything that we haven't talked about today that you thought we
2 were going to talk about?

3 Matt Tully: No.

4 Jeffrey Brown: Okay.

5 Matt Tully: We covered a lot.

6 Jeffrey Brown: Is there anything that we haven't discussed that you feel like it would be
7 appropriate to discuss before we end this session?

8 Matt Tully: No, obviously we're going pretty far back, and memory, feel like we've
9 covered a lot of ground.

10 Jeffrey Brown: Omar, did you have any final questions?

11 Omar Ashmawy: Have you ever talked to Phil Wegmann?

12 Matt Tully: To who?

13 Omar Ashmawy: Phillip Wegmann?

14 Matt Tully: I don't think I know who that is. That's this guy?

15 Omar Ashmawy: Right.

16 Matt Tully: No.

17 Omar Ashmawy: Sort of a very minor question, when you were assisting with fundraising
18 for Congressman Schweikert, did you have a quota that you had to reach?

19 Matt Tully: No, not that I recall, no.

20 Omar Ashmawy: There wasn't sort of a set amount of money that you were expected to
21 raise?

22 Matt Tully: We were just trying to raise as much as we possibly could.

23 Omar Ashmawy: I figured.

24 Matt Tully: Yeah.

25 Omar Ashmawy: Is Congressman Schweikert or Mr. Schwab paying your legal fees?

1 Matt Tully: It sounds like, I believe they are, but I don't-

2 Jim Tyrrell: My understanding is the campaign is in agreement to handle current staff
3 and former staff. That's my understanding, but I'm still trying to figure that
4 out exactly.

5 Omar Ashmawy: That's all I have.

6 Jeffrey Brown: Anything else before we close?

7 Matt Tully: Not that I can-

8 Omar Ashmawy: The question we always ask is, knowing everything you know, if you were
9 on this side of the table, is there anything we haven't asked you about that
10 you think would be relevant to our discussion tonight?

11 Matt Tully: Yes, it was a long time ago, so memories fade. We've covered a lot of
12 ground. I can't think of anything else.

13 Omar Ashmawy: Okay.

14 Jeffrey Brown: Okay, thank you for your time Matt.

15 Matt Tully: Okay.

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

ERRATA SHEET

Page	Line	Correction	Reason
		See attached	

This errata sheet is submitted subject to 18 U.S.C. § 1001 (commonly known as the False Statements Act).

Witness Name: Matthew Tully

Witness Signature: [redacted]

Date: 7/11/18

Tully Errata Sheet

Page	Line	Correction	Reason
2	10	Redact "Essent U.S. Holdings" and "Essent"	Confidentiality
2	11	Redact "Group"	Confidentiality
2	12	Redact "Essent"	Confidentiality
2	16	Strike "spend", replace with "spent"	Misspelling
2	18	Strike "stake" , replace with "style"	Misspelling
3	1	Strike "or"	Extra word
3	6	Redact "Essent"	Confidentiality
3	23	Strike "LBA" replace with "LDA"	Misspelling
7	2	Strike "campaign" replace with "committee"	Incorrect verbiage
9	27	Strike "Sheddag" replace with "Shaddegg"	Misspelling
9	30	Redact "Essent"	Confidentiality
10	7	Strike "Sheddag" replace with "Shaddegg"	Misspelling
10	32	Strike "Sheddag" replace with "Shaddegg"	Misspelling
12	17	Add "I meant" after "Call"	Missing verbiage
15	27	Strike "does" and repace with "doesn't"	Misspelling
16	12	Strike "Ziak" and replace with "Dziak"	Misspelling
17	9	Strike "this" and replace with "it"	Incorrect verbiage
26	15	Strike "try" and replace with "tried"	Incorrect tense
26	20	Strike "official" and replace with "officially"	Incorrect verbiage
31	23	Strike "Ventral" and replace with "Central"	Misspelling
31	30	Strike "vote" and replace with "votes"	Misspelling
31	31	Strike "who" and replace with "how"	Incorrect verbiage
38	1	Add "I" before "knew"	Missing verbiage

EXHIBIT 2

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

Transcript of Interview of

"Former Legislative Director"

January 31, 2018

COE.SCHWEIKERT.004843

18-2234_0046

Jeff Brown: All right. I've got the recorder on here. This is Jeff Brown with the Office of Congressional Ethics. With me is Paul Solis. We are in the Office of Congressional Ethics conference room. Before us is "Former Legislative Director". It is January 31st, 2018. It's about 2:55 P.M. "Former Legislative Director" has been provided with a copy of the false statements warning and signed the acknowledgement form, and with that, we will get started.

All right, "Former Legislative Director", you were previously employed in Representative Schweikert's office, is that correct?

Former Leg. Dir.: Yes.

Jeff Brown: Okay. In what roles were you employed by Representative Schweikert?

Former Leg. Dir.: I started in 2011 as a legislative assistant, and then over the course of approximately six years I was with him, I served as a legislative assistant, senior legislative assistant, and legislative director.

Jeff Brown: Okay. Can you just walk us through roughly when those promotions occurred?

Former Leg. Dir.: Let's see. Legislative director position, I took off that role on in, I want to say June 2016. From May, June timeframe of 2013 to 2016 I was a senior LA. Then prior to that, I'm having trouble remembering the time frame, when I went from LC to legislative assistant, but it was about a three-year span from when I first joined the office, or I guess two years from when I joined the office and then became senior LA. Over that two years, I went from LC to LA.

Jeff Brown: Okay. Do you remember roughly when you joined the office?

Former Leg. Dir.: When David took office after being elected in November of 2010, I joined his staff officially in January 2011.

Jeff Brown: You're no longer with Representative Schweikert's office?

Former Leg. Dir.: Correct.

Jeff Brown: Where are you now?

Former Leg. Dir.: I'm a consultant with IBM on an Air Force contract.

Jeff Brown: Did you work anywhere in between IBM and Representative Schweikert's office?

Former Leg. Dir.: I began talks with the Mick Mulvaney office to move over there in the winter of, let's see, 2016. Prior to him receiving the nomination, and then officially joined the Mulvaney office for a brief tenure before he resigned

1 to become budget director. Then I transitioned the office to the new
2 member, and officially left the hill in July of 2017.

3 Jeff Brown: When you were in Representative Schweikert's office, did you work with
4 Beau Brunson?

5 Former Leg. Dir.: Yes.

6 Jeff Brown: Okay. Who was Beau Brunson?

7 Former Leg. Dir.: At the time when I first joined the office, Beau was a legislative assistant.
8 Then, not sure what the time frame is, but then he became legislative
9 director and then deputy chief of staff in the Schweikert office.

10 Jeff Brown: I should have asked you this first. Who did you report to while you were
11 in Representative Schweikert's office?

12 Former Leg. Dir.: I don't think there was a true linear reporting path. But, if I was to say my
13 direct supervisor, the majority of time I was in the office was Beau
14 Brunson.

15 Jeff Brown: How about Oliver Schwab, what was his role in the office?

16 Former Leg. Dir.: Oliver was the majority of the time, Chief of Staff. He left for a brief
17 period to focus solely on David's campaign work during the 2012 election
18 cycle, I believe it was, when he was, had a primary with Ben Quayle who
19 was also a sitting member of Congress at the time. He came back in, I
20 believe a senior policy advisor role for a short time, and then regained the
21 Chief of Staff role.

22 Jeff Brown: What . . . when you were in Representative Schweikert's office, what was
23 your involvement with financial matters such as budgeting or MRA
24 disbursement requests?

25 Former Leg. Dir.: Limited at best. Outside of submitting MRA reimbursements requests,
26 Oliver almost overwhelmingly maintained full control over that process
27 and then, also worked with Beau for a period of time near the end of the
28 time Beau was in the office, when Beau was kind of the one overseeing
29 that process.

30 Jeff Brown: When you say that process, what are you referring to?

31 Former Leg. Dir.: The reimbursement process.

32 Jeff Brown: Okay. How about budgeting?

33 Former Leg. Dir.: Again, that was overwhelmingly Oliver and then Beau maintained a
34 portion of it for a short time near his tenure in the office.

1 Jeff Brown: Aside from Beau and Oliver, was there anybody else who handled
2 financial matters in the office?

3 Former Leg. Dir.: Over the six years I was there, I believe we've had a couple of different
4 folks who were the financial administrators. I believe, let's see, Mary
5 O'Connor was there for a time. I'm not sure what timeframe or when she
6 was there. There was April Blankenship, I believe her name was, she was
7 also one of the financial administrators. Then I also believe Kelly
8 Roberson had some, at least fidelity on the issues, if not, when she was
9 kind of in the administrative position, doing David's scheduling before
10 taking over policy. I think she worked closely with Oliver in some of the
11 budgetary matters.

12 Jeff Brown: What I'd like to do now, I just want to show you, just a couple documents.
13 So you're aware, you may or may not have had anything to do with these
14 documents, but I'm going to ask you some just very specific questions
15 about them. First one I'm going to hand you is, just for the record, it's
16 bates labeled CAO_0005 and that runs through 0010. Just generally, what
17 is this document?

18 Former Leg. Dir.: This looks like it is a reimbursement form for the travel credit card, for,
19 trying to see ... was it plane tickets, reimbursements?

20 Jeff Brown: Yeah. I want to just draw your attention to the front page real quick.

21 Paul Solis: Just real quick, is this something you would see in your role, throughout
22 your time, in your various roles, sorry, at Representative Schweikert's
23 office, would you ever see these forms?

24 Former Leg. Dir.: Yes, but again, I didn't have any involvement as far as signing off or
25 managing reimbursements outside of my own reimbursements which,
26 when I would do reimbursements, is always for my own card, and then be
27 reimbursed from that. I never used the government card for any of my
28 travel or any of the, you know, events that we organized.

29 Paul Solis: When you would submit the reimbursement documents, would you submit
30 that to someone else in the office or directly to payroll and finance?

31 Former Leg. Dir.: It depends. When we had a financial administrator, either April or Mary, I
32 would fill out the forms and give the forms to them, or I'd give it to Oliver
33 to manage.

34 Jeff Brown: Would there always be ... I should ask you like this. How many
35 individuals would look at a travel reimbursement form that you submitted
36 before it went over to the office of finance?

Former Leg. Dir.: It would depend. If it was solely when Oliver was managing the office finances and we didn't have a financial administrator, it was usually just Oliver. If when we did have a financial administrator, it was usually them and with Oliver's acknowledgement or Oliver signing off on the member's signature on the reimbursement forms.

Jeff Brown: You sort of touched on my next question. Who are authorized signers in Representative Schweikert's office for a disbursement form like this?

Former Leg. Dir.: Oliver. I believe Beau was, at the end of his term, when he was managing it, and I believe Kelly was as well. I'm not certain on Kelly, but I believe when she was also in that administrative role, she had some authority to sign off as well.

Jeff Brown: Would Representative Schweikert ever have any involvement in MRA disbursement requests like this one?

Former Leg. Dir.: Not that I'm aware of. At least none that I've ever personally been involved with. It was always at the staff levels and never took it to the member directly.

Jeff Brown: Do you know whose signature that is on page five?

Former Leg. Dir.: I couldn't tell you.

Jeff Brown: Were there any specific policies and procedures in Representative Schweikert's office regarding MRA disbursements, be they for travel, office supplies, or whatever it might be?

Former Leg. Dir.: None formally that I was ever aware of. There were, more often than not, when we did have official functions or need to use MRA funds, we were told to put it on our personal card and submit the reimbursement. But outside of that, there was never any official policy directives that were pushed down from leadership down to staff.

Jeff Brown: Who in the office would you say would've been the most knowledgeable about the spending practices of Mr. Schwab?

Former Leg. Dir.: Outside of our financial administrators, when they were on board, I don't think there was anyone who ever had true fidelity over his use of the MRA. Perhaps Beau and Kelly had some insight onto that when they were working those issues, but I don't think there was anyone who would provide kind of a check and balance.

Jeff Brown: Okay.

Paul Solis: Why do you say that?

Former Leg. Dir.: Well, as chief of staff, one, Oliver maintained pretty close hold over the budgetary matters. I don't think there was ever anyone who truly had a grasp over how money was being flowed or either payroll or MRA reimbursements. David certainly gave Oliver a lot of leeway to run the office as he saw fit. As long as issues weren't arising, David didn't really bring it up, at least not in my presence. And then other than that, it was a small portion of when Beau was managing the financials in connection with Oliver, I don't think, outside of the financial administrators, there was anyone who really were working with Oliver closely.

Jeff Brown: You mentioned a couple times that there was either a period or some periods of time where there was no financial administrator that would have been working with Oliver on financial reimbursement, MRA disbursement matters. Do you recall roughly what time periods those were?

Former Leg. Dir.: No, not off the top of my head.

Jeff Brown: Was that for the majority of your time in the office or for a more limited time?

Former Leg. Dir.: I think it was more limited near the later half of my time in the office. Trying to think over here. Yeah, I'm having trouble drawing a time frame and I wouldn't want to speculate, but it was certainly in the second half of my time in the office when there was more a financial administrator engagement.

Jeff Brown: At some point, did Mary O'Connor leave Representative Schweikert's office?

Former Leg. Dir.: I think she left the office similar to when I was leaving the office, if I remember correctly. It could've been slightly before that, but in that winter of 2016 if I recall correctly.

Jeff Brown: Do you know if anyone replaced Mary and took on the financial administrator role?

Former Leg. Dir.: Not that I'm aware of.

Jeff Brown: When you were working with Representative Schweikert's office, how frequently would you travel?

Former Leg. Dir.: I traveled a number of times on DOD-organized StaffDels, I would say a handful throughout the year. And then I believe there was a couple MIKA trips I went on in the later half of my time in the office and only one trip that was paid for with my personal card and then reimbursed to the office.

1 Jeff Brown: Did you have occasion to travel out to the District?

2 Former Leg. Dir.: The few times I did go out in the District, usually the way we set it up is
3 we'd have a DOD staffdel that went out to various installations and then I
4 would stay in the District following, follow on. So there was no travel
5 payments or anything of that nature. I'm also from the District, so I stayed
6 at my home. I think I ... other than some gas receipts, there was no
7 reimbursements I was submitted. There was no hotel and no flights.

8 Jeff Brown: Aside from yourself, who else would travel back and forth to the District
9 with some frequency from the D.C. office?

10 Former Leg. Dir.: Oliver would go fairly frequently. I would say couple times a month. I
11 believe Kelly went a number of times. Kat Dimenstein used to go out there
12 when there were ... What was it? Some task force that they were part of,
13 Valley Fever Task Force, and then Beau went a number of times. But as
14 far as how often they would go, I couldn't really quantify that.

15 Jeff Brown: Can you generally explain to me the process by which a staffer like
16 yourself or Beau or Kat would coordinate travel to and from the District?

17 Former Leg. Dir.: It was a fairly informal process. Essentially, if there was a need to be on
18 the District or they had the authority to set up the necessary meetings with
19 industry or with stake…global stakeholders, book the hotel, book the
20 flights, rental car if needed. Again, the guidance we were given was
21 overwhelmingly "Put it on your personal card and submit
22 reimbursements."

23 Jeff Brown: So you're in the District, you're gathering receipts, what happens at that
24 point?

25 Former Leg. Dir.: I can't speak for the others. The way I usually worked it, if ... For
26 incidental funds, more often than not, I just ate that cost if it was $10, $5
27 while getting something to eat or food at a gas station. But for gas and
28 mileage, any other receipts, hotels, car rentals, once you had it, essentially
29 fill out the MRA reimbursement forms and submit it to either Oliver or
30 one of the financial administrators.

31 Jeff Brown: Were there any specific policies and procedures in Representative
32 Schweikert's office regarding travel or reimbursements for travel?

33 Former Leg. Dir.: None. Not that I'm aware of, at least.

34 Jeff Brown: Okay. Who's providing approvals for staffers to travel to and from the
35 District?

1 Former Leg. Dir.: The majority of the time, it was Oliver. We would let the member know
2 that, obviously, that the travel's taking place and provide context of why it
3 was taking place. But ultimately the thumbs-up/thumbs-down, no-go/go,
4 was on Oliver to make that decision unless David had objections for some
5 other reason, which, at least when I would travel out there, he never did.

6 Jeff Brown: Okay. How involved was Representative Schweikert in the process of
7 approving and disapproving ... I mean, we sort of touched on he may have
8 been aware but can you talk a little bit more about his involvement in
9 approving or disapproving travel?

10 Former Leg. Dir.: Certainly. We would certainly provide context of why we're going, who
11 we're meeting with. If there were meetings that the member was having,
12 sit in on those if they were within our purview, or provide at least context
13 of why the meeting were taking place and why we're going out to District.
14 But he never ... outside of acknowledging that we were taking the trip, he
15 never objected to it or had concerns with staff travel.

16 Jeff Brown: When staff would go out to the District, would they generally stay in ...
17 Was there a specific hotel that they would generally stay in that was close
18 to the District office?

19 Former Leg. Dir.: Again, I don't know. I've always stayed at my parents' home. I'm not sure
20 what hotels the other staff used.

21 Jeff Brown: Having said that, and I appreciate that, do you know if it was practice for
22 staffers to rent cars when they went out to the District?

23 Former Leg. Dir.: Yes, I believe so.

24 Jeff Brown: Were you aware of any staffers ever traveling to the district, or to other
25 destinations, and being accompanied by their spouse ... And when I ask
26 that question I don't mean to suggest that official funds were being used
27 for the spouse, but did anyone ever travel with their significant other?

28 Former Leg. Dir.: So, I know Oliver's wife, Ana, traveled with him pretty frequently.
29 Outside of Oliver, I don't believe Beau's wife ever traveled with him when
30 he went to the district, and I, as far as I am aware, no other staff took any
31 partners with them on their travels.

32 Jeff Brown: You said that you were aware that Oliver's significant other traveled with
33 him frequently. How were you aware of that?

34 Former Leg. Dir.: I mean, outside of just, kind of, office conversations taking place, Ana
35 was, from her prior work when she was with Congressman Rothfus, and
36 then her continued work lobbying, there was significant engagements with
37 the office, and, so, she was ... Essentially she was around quite a bit, so

you knew when they were traveling that ... You know, they were very friendly, would, you know, say, oh, we're going out to district, or we're going to, you know, somewhere else. But I know they traveled together very frequently, not just to the district but all over.

Jeff Brown: Okay. What's "very frequently" to the district?

Former Leg. Dir.: Again, I think it's probably relative, but Oliver was going out there, either by himself or with Ana, at least a couple times a month from what I remember, and, you know, obviously it ebbed and flowed, but I'd say at least two, three times a month he was going out to the district. And then, you know, they ... If the House wasn't in session he wasn't in town, he was traveling somewhere else or back in the district.

Jeff Brown: Okay. I realize it would be an estimate, but could you give us an estimate for how frequently Mr. Schwab's partner would travel out to the district with him?

Former Leg. Dir.: It would be hard to quantify. You know, I would say ...

Jeff Brown: More than five times a year?

Former Leg. Dir.: I think that's an accurate statement.

Jeff Brown: So, you think approximately five times a year is a reasonable estimate?

Former Leg. Dir.: I think if you were to say five times a year I wouldn't be surprised with that number, I just ... It doesn't seem high to me, so I ... But, again, I really can't quantify how often they've traveled.

Jeff Brown: You said that Oliver's partner was frequent ... I don't want to put words in your mouth, but you said she was lobbying the office, can you tell me a little bit more about who she is, what her role-

Former Leg. Dir.: Right.

Jeff Brown: ... was?

Former Leg. Dir.: So, to clarify, she didn't lobby the office in the lobbyist sense. She was a lobbyist.

Jeff Brown: Okay.

Former Leg. Dir.: Her ... The Firm she worked with had clients in the district. So, the folks that she worked with would come and lobby the office. I don't believe Ana ever personally did, but they were on the Hill often because of their work. So, when they had downtime they would come into the office, they're ... You know, the same functions, given the connection to Arizona industry. So, they're ... So, she was around the office relatively significantly ...

1–2		Significant amount of time. At least, much more than any other significant other or partner.
3–4	Jeff Brown:	Was there a point in time that Ms. Schwab was working in Representative Schweikert's office?
5–9	Former Leg. Dir.:	So, I don't believe she ever worked in the office. If I remember correctly there was a point in time where she was receiving campaign funds and doing some campaign scheduling, but I don't believe there was a time, that I recall, where she was ever a direct employee of the office, at least, not that I'm aware of.
10–11	Jeff Brown:	Okay. Can you tell me more about what you know about her work for the campaign?
12–15	Former Leg. Dir.:	So, very limited, outside of the fact that I was told she was doing campaign scheduling, I really don't have any other information outside of that. You know, if she was doing more than that I wasn't aware of it. I had limited involvement in the campaign.
16–17	Jeff Brown:	Do you know if she was being compensated for that, or if that was on a volunteer basis?
18	Former Leg. Dir.:	I believe she was being compensated.
19	Paul Solis:	Okay, and you said you had limited involvement in the campaign?
20	Former Leg. Dir.:	Yes.
21	Paul Solis:	What types of things did you do for the campaign?
22–26	Former Leg. Dir.:	So, I'd provide policy support for David ahead of meetings, essentially. So, if he was going into a meeting with Boeing, and prior to that I'd be asked, you know, what's going on with Boeing's Apache multi-year funding, and just provide some basic background for the member prior to him going into those meetings.
27	Paul Solis:	And that's related to the campaign, or his official office?
28–33	Former Leg. Dir.:	So, it was asked of me, as an official staffer, knowing that they were to support campaign events, essentially. So, you know, official ... His official business, or official work wouldn't have those schedules, but he'd be holding a fundraiser, going to a tour of the facility out in Arizona, Oliver would ask, "get David smart on the issue before he goes out there," and I would provide that policy kind of background.
34–35	Paul Solis:	Okay, and would you do that over here in his office, or in the congressional office?

1 Former Leg. Dir.: Yes.

2 Paul Solis: Or sorry, the district office?

3 Former Leg. Dir.: No, the DC office.

4 Paul Solis: Okay, and would Oliver say to you that, you know, you're going ... This is
5 in your role now as a campaign volunteer or some other role with the
6 campaign?

7 Former Leg. Dir.: No.

8 Paul Solis: No. Did you understand yourself, at that time, when you'd be briefing
9 Representative Schweikert, that you're briefing him so that he'd be
10 prepared for a campaign event?

11 Former Leg. Dir.: It probably was never explicitly said, but yes, that was understood.

12 Paul Solis: Why did you have that understanding?

13 Former Leg. Dir.: Well, he wasn't meeting with folks from Boeing in his capacity as a
14 member of Congress, he was doing that as a ... In his capacity as a
15 candidate for Congress. So while, you know, it was never laid out, hey,
16 provide this for a campaign event, it was structured as, you know, David
17 wants to know about issue X. So, the phrasing of the questions, it was
18 always kind of that wink and nod that it was for the campaign, or for
19 campaign event, but never explicitly said.

20 Paul Solis: Okay, but you would know that it was ... The event that you were
21 preparing for was a campaign event.

22 Former Leg. Dir.: Yes.

23 Paul Solis: You, personally, would know that?

24 Former Leg. Dir.: Yes.

25 Paul Solis: Okay, how often would you say that would happen?

26 Former Leg. Dir.: It fluctuated, obviously, depending on the election cycle. You know, it
27 was, I would say, in an on cycle it was 20% of my time.

28 Paul Solis: 20% of your congressional duties would be preparing Representative
29 Schweikert for campaign events? Or meetings, campaign-

30 Former Leg. Dir.: Right, so … It would probably be hard to say 20%, but it's less than 50,
31 more than 10. I mean, it would all depend. There would be weeks where,
32 and I'm sure you guys know, where there would be a number of things,
33 and during that time there was a lot of buildup for those events, and then
34 there was times where ... You know, it's feast or famine, so there would be

1 no engagements that I supported that were within my portfolio. So, I think
2 it depended upon the time of the year, as well as who he was meeting
3 with, so it's hard to quantify, but, you know, when there were those
4 meetings in my portfolio, I would say 20%, more than 10%.

5 Paul Solis: Okay. Would you ever attend any of these events?

6 Former Leg. Dir.: I've attended a number of fundraisers here off campus, none of the events
7 outside in the district.

8 Paul Solis: And by events here in DC, off campus, you mean events for
9 Representative Schweikert?

10 Former Leg. Dir.: Yeah, so fundraisers that industry or PACs were doing to support his
11 campaign.

12 Paul Solis: Okay, and when you talked about those times where Mr. Schwab would
13 task you with prepping Representative Schweikert prior to one of these
14 events, or one of these meetings, would you then later go to that same
15 event in DC?

16 Former Leg. Dir.: Not all the time. There were certainly times where that took place. I-

17 Paul Solis: What would be your role, then, at the event? Would you have any type of
18 role? What would you do?

19 Former Leg. Dir.: My role was essentially to network, to provide support to David as he
20 needed it, but also, one of Oliver's focus was showing a accessibility of
21 staff to industry, to local stakeholders. It was much more just being there,
22 being presented, being, supporting David and then being able to talk
23 through any issues that were currently going on within that specific issue.

24 Paul Solis: At those events, did you understand your role to be with the campaign, or
25 as an official, congressional staffer?

26 Former Leg. Dir.: I think it probably depended on the event. I think there was a number of
27 events that were probably in a, at least perceived to be more of a gray zone
28 in how David was going to be represented at that. If it was a true
29 fundraiser or if it was an industry meeting that he was going with. I think
30 Oliver usually, on the back end, had Oliver set up an industry meeting
31 with the intention…knowing that there would be a donation coming from
32 their PAC following the meeting. It'd really depend on how the meetings
33 or how the engagements were structured as to what my role going into it
34 was, or how I understood my role.

35 Paul Solis: Okay. Did you ever have a formal role with the campaign committee?

36 Former Leg. Dir.: No.

1 Paul Solis: Were you ever paid for any work you did?

2 Former Leg. Dir.: No.

3 Paul Solis: Did you ever volunteer for the campaign committee?

4 Former Leg. Dir.: No. It was always expected that we would be accessible to support as
5 needed. But we, for the most part, never had any official engagements or
6 roles with the campaign.

7 Paul Solis: Okay, and when you say "we," who do you mean?

8 Former Leg. Dir.: The majority of the staff.

9 Paul Solis: The congressional staff?

10 Former Leg. Dir.: The congressional staff, yes.

11 Paul Solis: When you say "expected," that there was an expectation, who was setting
12 that expectation?

13 Former Leg. Dir.: I'm not sure if it stemmed from David down or Oliver down. If David
14 didn't establish that expectation, he was certainly aware of it and allowed
15 it to exist.

16 Paul Solis: Why do you say that?

17 Former Leg. Dir.: Because we were clearly providing him support for what he was going
18 into as a campaign event. I think from my point of view, it would just be
19 naïve to expect that a member of congress is unaware of where the support
20 their staff is providing them supports.

21 Paul Solis: Did Oliver or Representative Schweikert ever explicitly say to you or to
22 anybody else who was a congressional employee, "There is an expectation
23 that you do work for the campaign in some capacity," or something like
24 that?

25 Former Leg. Dir.: I don't believe it was ever explicitly laid out, but the expectation was there.

26 Paul Solis: Okay. You mentioned there would be times you meet with an industry
27 group, and prior to that meeting, it was an expectation you had, or an
28 understanding you had that it was an official, officially related
29 congressional meeting, based on official purposes, right?

30 Former Leg. Dir.: Yes.

31 Paul Solis: Then later on, you mentioned that Oliver…it was your understanding that
32 Oliver would have some connection to a potential fundraising event tied to
33 the same group or organization later on.

1 Former Leg. Dir.: Yes.

2 Paul Solis: Could you give us an example, or does anything come to mind, of that
3 circumstance in particular happening?

4 Former Leg. Dir.: The one example that comes to mind is, some aerospace industry
5 representatives held a luncheon, with David in an official capacity when
6 he was on the science and tech committee. Either a day or so after that,
7 Oliver mentioned that the following, that he received a PAC contribution
8 from the same representatives that we met with. That's kind of one
9 example that shapes that narrative, where there were a number of events
10 that were held to support David as an official member of Congress, in his
11 capacity with the expectation that him attending those events would have a
12 follow on contribution to the campaign.

13 Paul Solis: Did he ever talk to you about statements made by people? Not necessarily
14 these aerospace people, but others "that give us a meeting, we're going to
15 get a contribution," type of thing, or any statements that you can recall that
16 would connect those two ideas?

17 Former Leg. Dir.: The one example, I forget the organization, the gentleman was advocating
18 for grant funding in Africa. I can't remember the organization specifically,
19 but he, the gentleman and his organization contributed to the campaign
20 and following the contribution, Oliver asked me to set up a meeting with
21 him to discuss their issues. Then following that meeting, we subsequently
22 submitted letters in support of their initiatives.

23 Paul Solis: Okay. Did Oliver explain to you why you would submit those letters?

24 Former Leg. Dir.: What I was told is, that the gentleman ... donated to the campaign and that
25 we want to be as friendly as we can and as helpful as we can because of
26 those contributions. I don't think there was a direct quid pro quo, but
27 Oliver clearly made it certain that we wanted to be helpful because he was
28 a donor.

29 Paul Solis: Are there other instances besides this grant…organization that was
30 requesting a grant for interest in Africa? Was there any other organization
31 you can recall that had the similar circumstance?

32 Former Leg. Dir.: We did, or at least I did in my capacity, quite a bit of work for some
33 industry in the district that essentially is a defense contractor, and they
34 were large donors to David's campaign. Also tied to that is, they are also a
35 client of the company that Ana worked for, when she was lobbying. It was
36 clear that given the relationships that were built, their donations, we
37 wanted to be as forward leaning as possible in advocating for their
38 products with armed services and appropriations committee. That, from

when I was handling those issues, it was at least a three year span where I was closely engaged with that. With the firm representing them as well as with the company to advocate for their issues.

Paul Solis: You said it was clear. I mean, Oliver's telling you that preference needs to be given to these people?

Former Leg. Dir.: Yes.

Paul Solis: What's the name of the company?

Former Leg. Dir.: FireTrace.

Paul Solis: FireTrace. And what's the name of Mr. Schwab's wife's lobbying company?

Former Leg. Dir.: The client moved a couple of times with them. It was a Teddy Enyon. E-N-Y-O-N, I believe, Enyon, was their representative, and as he switched firms from I believe, was it Rothschild or Fox Rothschild, I forget the name of the firm, but they also moved with them. They're a Scottsdale based company that provides fire suppressant to DOD and throughout my time in the office, as one example, where there was a close linkage between our work supporting industry in the district, to the campaign contributions that David was receiving.

Paul Solis: Okay. What's your understanding of Representative Schweikert's knowledge of, let's break it into two categories. Preference given to Mrs. Schwab's clients, and also this preference towards campaign contributors? What's your awareness of his understanding those two?

Former Leg. Dir.: At least in my presence, there was never any explicit conversations where David directed us – staff - to provide preferential treatment to Ana's clients or to campaign donors. There were some discussions based on donors as far as who was giving what in the office, but from my experience at least, to me, David never said, "these folks are giving money. Take care of them." It was usually driven from Oliver.

Paul Solis: Are other people in the office, Beau and you know, other staffers, is this something people were talking about?

Former Leg. Dir.: I mean, everyone was certainly aware of it, and I think it ebbed and flowed based off of the amount of worked that was being asked to be done driven by the campaign compared to, you know, the work that was being asked to be done specifically to support David as a member of Congress.

Paul Solis: And when you were tasked with preparing him over in his congressional office on congressional time for these campaign events, how did you feel

about it? Did you feel uncomfortable? Did you feel like it was an odd thing to ask you to do?

Former Leg. Dir.: So, I wasn't happy with it. I usually grumbled because usually these were issues that David didn't care about otherwise, but because he was going to a fundraiser, to some other campaign event, he wanted to pretend or act as, you know, that he was heavily engaged in these issues. So, it was redirecting my time and efforts to focus on an issue that Dave ... I knew David didn't care about outside of that meeting that was going to take place.

Paul Solis: And when you said you would grumble about it, is this to yourself, or to other staff, or to Oliver, or to Beau?

Former Leg. Dir.: All of the above.

Paul Solis: Would you bring your concerns to Representative Schweikert?

Former Leg. Dir.: No.

Jeff Brown: Oliver?

Former Leg. Dir.: I don't think I've ever explicitly directed concerns that I felt what I was being asked to do was inappropriate. I think there was an expectation as a member of the staff you were going to provide that level of support, that it was a 24-hour on call, you know, supporting the member as needed at the member's will in order to ensure that he was a successful member of Congress.

So, I think, at my time there, I probably felt ... Reflecting on it, I probably felt that had I initiated those concerns directly to Oliver that it would bring my employment into the office in question.

Jeff Brown: What do you mean by "in question"?

Former Leg. Dir.: I think if there was a question about either my commitment to support David or my commitment to support Oliver in these efforts that it would have swayed how I was viewed in the office, and either my promotion potential, salary increases, or bring into question my need to continue to be continually employed in the office.

Jeff Brown: And when you're talking about these efforts you mean these efforts to support Representative Schweikert on fundraising related activities?

Former Leg. Dir.: Yes.

1 Jeff Brown: Who are these other staffers that you mentioned that would have been
2 working on the House campus to supply either research or support to
3 Representative Schweikert for fundraising activities?

4 Former Leg. Dir.: So, I think Kat Dimenstein handling the healthcare portfolio did quite a bit
5 of that work. Outside of that I think Beau and Kelly, for the majority of
6 the time I was there, would probably have been the most engaged on those
7 issues. I don't ... For a long time there was little staff turnover, and then
8 there's somewhat of a core nucleus of Kelly, Beau, Kat, and myself, for a
9 good number of years.

10 Jeff Brown: And like you, Kat, Kelly, Beau would be asked to provide this support, or
11 provide this research while working in the House office complex?

12 Former Leg. Dir.: Yes. Again, I don't think it was ever explicitly asked or made clear that the
13 efforts were going to support campaign, but, again, it was to support a ...
14 You know, David's meeting with industry, or David's meetings with
15 constituent groups that were known contributors to the campaign.

16 Paul Solis: Well, I just want to be clear about that, because I think, you know, when
17 you first mentioned this you said that you would have an awareness that
18 there was an actual campaign event that you're prepping him for. You
19 know, that's different than, let's say, a meeting with constituents that, on
20 its face, has an official purpose-

21 Former Leg. Dir.: Right.

22 Paul Solis: - which might then later be campaign related because those people made
23 contributions to the campaign. To Jeff's question, then ... Just want to be
24 clear about other staffers, including yourself, tasked to do research, tasked
25 to prep the member for campaign events, just want to be clear that that
26 occurred, not where you surmise that there might be a campaign tie-

27 Former Leg. Dir.: Right.

28 Paul Solis: - but there were actually events that you're prepping the member for that,
29 that were for a campaign purpose.

30 Former Leg. Dir.: Specific events ... I'm not recalling a specific campaign event where that
31 took place, but again, a lot of what we were asked to do was where there
32 was going to be a campaign tie in, even if it wasn't explicit in that it was a
33 campaign event. The one ... One event, I will mention that has come to
34 mind is prior to the Ben Quayle debate, or, I guess, quasi-debate that
35 David had, you know, I was asked to prepare information on the NDAA,
36 because they were opposing votes on that, so that David was prepared to

1 draw ... To use that as a wedge between the two if needed. Outside that I'm
2 having trouble drawing specific events.

3 Paul Solis: You said NDA?

4 Former Leg. Dir.: National Defense Authorization Act.

5 Paul Solis: Okay, okay. And, so, you were asked to prepare for ... To prepare research
6 on the act prior to this debate?

7 Former Leg. Dir.: Yes. The only reason I'm recalling that is I received a phone call, and I
8 forget if it's Oliver or Beau that called me, but it was relatively late, after
9 ten o'clock, the night before asking specific questions about the NDAA
10 and about the write-up, or one pager, I provided the day before.

11 Jeff Brown: You mentioned, sometimes when you would be asked to do this support
12 for fundraising events, or for a debate, that there might be some ... You
13 might grumble about it a little bit, you might grumble about it to others.
14 Did Kelly, or Beau, or Kat, or anybody else who was asked to do these
15 same sort of things, did they ever grumble to you about it?

16 Former Leg. Dir.: I mean, there was a lot of grumbling, and so it's hard to determine, or
17 delineate, which, you know, especially being a year removed now, what
18 was specific to those issues and what was, you know, just general office
19 disgruntledness.

20 Jeff Brown: If we're not talking about grumbling, do you remember having
21 conversations with any of those other employees about these sorts of
22 things, in other words, them being asked to do the same sorts of things.

23 Former Leg. Dir.: Yes. I'm not recalling a specific conversation, but I do recall that those
24 conversations took place regarding, you know ... Kelly, if I remember
25 correctly, when she was doing some of the campaign scheduling as well,
26 used to, you know, used to voice those concerns to me regarding
27 David's ... You know, the general frustration of dealing with David and
28 trying to schedule events for him that Oliver is telling her to schedule that
29 he doesn't want to attend.

30 Jeff Brown: Did you have anything else there? Switching gears a little bit, if you
31 needed office supplies when you were in Representative Schweikert's
32 office, how do you go about obtaining those?

33 Former Leg. Dir.: I just use the member supply card and go down to the office supply store
34 and buy them.

35 Jeff Brown: Okay, so, was there anybody in the office who was specifically tasked
36 with making sure the office had sufficient office supplies?

1 Former Leg. Dir.: No, not that I recall.

2 Jeff Brown: Okay. Were there any office policies and procedures regarding the
3 purchase of office supplies?

4 Former Leg. Dir.: No, not that I recall.

5 Jeff Brown: Okay. If you purchased ... If you or someone else on the staff purchased
6 office supplies from somewhere other than the House office supply store,
7 how do you go about getting reimbursed for that?

8 Former Leg. Dir.: I don't remember if I ever specifically did that, but the reimbursement
9 process would essentially be the same, use your personal card for the
10 purchases and then submit the reimbursement form with the receipts to
11 either Oliver or to one of the financial administrators.

12 Jeff Brown: Did Representative Schweikert have any involvement in ensuring that the
13 office had sufficient office supplies?

14 Former Leg. Dir.: Not that I am aware of.

15 Jeff Brown: How about, did Representative Schweikert have any involvement in
16 signing off on MRA disbursement requests related to office supplies?

17 Former Leg. Dir.: Not that I'm aware of.

18 Jeff Brown: Are staffers in Representative Schweikert's office, are they reimbursed for
19 educational coursework of any kind?

20 Former Leg. Dir.: When I was there I don't believe there was any of those, any staff engaged
21 in those activities. As far as I'm aware, no.

22 Jeff Brown: Any policies and procedures that you can recall on taking training courses
23 or educational courses?

24 Former Leg. Dir.: No.

25 Jeff Brown: Okay. It looks like several individuals in Representative Schweikert's
26 office may have taken courses at the Naval War College. Does that ring a
27 bell?

28 Former Leg. Dir.: Yeah. So I believe Kat did a full master's program through the Naval War
29 College. And I remember Oliver expressing interest in it. At one point, I
30 expressed interest and I ended up doing some of the Air War College
31 programs instead, and then when I was on the Hill I started a program in
32 the Army War College that I'm continuing now for my full Master's.

33 Jeff Brown: Do you know why these courses are popular with Kat and Oliver and
34 others in the office?

Former Leg. Dir.: So, I can't speak for them specifically. The distance education programs that, that at least I took part of, that were provided to congressional staff by the DoD, as someone who handled the Defense portfolio now working for/as a defense contractor, it was an ability to expand my knowledge base on those issues without actually serving in the military. As far as Kat and Oliver, I can't speak on why they chose to attend the Naval War College.

Jeff Brown: Do you know what the tuition was at the Naval War College?

Former Leg. Dir.: I do not.

Jeff Brown: Do you know if it was free?

Former Leg. Dir.: Again, I never did the Naval War College. The Army War College is free to congressional staffers outside of any travel they do up to Carlisle, but again, from my point of view, in my experience, that's only once a year, a three hour drive north. But as far as the Naval War College, I have no idea what the expense is to that. I believe it's free, but I'm not certain.

Jeff Brown: You mentioned, you're taking courses, I think you said, Air Force Air Command and Staff College?

Former Leg. Dir.: I did do that, yes.

Jeff Brown: You did, okay. And the US Army War College?

Former Leg. Dir.: Yes.

Jeff Brown: Were any of those while in Representative Schweikert's office?

Former Leg. Dir.: The Air War College.

Jeff Brown: Did you seek or receive and kind of reimbursement for that?

Former Leg. Dir.: No.

Paul Solis: And was there any cost to the coursework?

Former Leg. Dir.: No. It was all online, distance education. There was no travel to Maxwell Air Force Base for it. So there was no cost incurred by me that I would request reimbursement for.

Jeff Brown: Currently -- What is your current relationship with Oliver Schwab?

Former Leg. Dir.: I don't really have a relationship with Oliver Schwab. When I see him, it's congenial, it's friendly, but it's certainly not someone I'm spending time with on the weekends.

Jeff Brown: When was the . . . or how frequently do you communicate with him since you left the office?

Former Leg. Dir.: Relatively infrequently. When I see him at receptions, other events around town, a few times passing him in the hallways when I'm on the Hill, but it's not consistent and relatively infrequent.

Jeff Brown: You've mentioned that he was the Chief of Staff for most of the time that you were in Representative Schweikert's office.

Former Leg. Dir.: Yes.

Jeff Brown: Just generally, what were his responsibilities as Chief of Staff in Representative Schweikert's office?

Former Leg. Dir.: So the majority of his time as Chief of Staff, he focused on campaign work and then also kind of the strategic direction of the office. Building David's relationships with industry, with members of - other members. I would say that as far as day to day office role, he was relatively hands off. He'd delegate a lot of authority. As long as there weren't issues coming up, he wasn't engaged with the office for the most part on the day to day operations.

Jeff Brown: Okay. So you said, when he was in the House office building, he'd be focused on campaign work.

Former Leg. Dir.: Yes.

Jeff Brown: Okay. How much time did he spend on it?

Former Leg. Dir.: It's hard to say. Oliver was in and out of the office fairly frequently. I wouldn't want to quantify it and lead you down the wrong course here. So I really don't have a number to provide you but, I'm just saying that the majority of his focus was directed towards David's reelection.

Jeff Brown: And how have you come to this awareness?

Former Leg. Dir.: He was relatively open about what he was doing and would come back to the office and say, "I just got a donation from this person," or was just at the Capitol Hill Club and met with these folks who committed to donate "x" amount. Then, there was times where we've been asked to proofread campaign emails that were going to go out. So, Oliver, in my opinion, didn't try to hide what he was doing. He was pretty open about his activities.

Paul Solis: Would he ever make campaign phone calls in the office?

Former Leg. Dir.: I don't think ever directly in the office. He liked to call from his cell phone and pace back and forth in the hall. But I don't think he would ever sit in the office and make calls.

1 Paul Solis: The proofreading of campaign emails. Will you talk to us about that?

2 Former Leg. Dir.: So Oliver would use a personal laptop. We were essentially, prior to the...
3 and again it wasn't frequent, at least for me. He would ask, "Hey, come
4 look over my shoulder and proofread this before it goes out."

5 Paul Solis: And he would do that in the congressional office?

6 Former Leg. Dir.: Yes.

7 Paul Solis: On his personal computer? Would he ever send anything to you to
8 proofread, either on your personal or house email address?

9 Former Leg. Dir.: Not that I'm recalling.

10 Paul Solis: It would be more, "Come to my computer and take a look"?

11 Former Leg. Dir.: Yes.

12 Jeff Brown: What was your reaction, and other staffers', reactions to Oliver's focus on
13 campaign work in the office, and, I think you said, hands-off nature as a
14 Chief of Staff?

15 Former Leg. Dir.: I think it depended. When he would come and try to assert himself in a
16 process that he hasn't been engaged in, it was certainly frustrating. When
17 he wasn't around and we needed someone to provide that leadership role
18 to make a decision, and there was that vacancy, it was certainly
19 frustrating. Outside of that, I think there was general perception of, you
20 know, "Oliver's Oliver. He's going to do what he does. As long as David's
21 happy, there's no issues, everything's fine."

22 Jeff Brown: What sort of awareness did Representative Schweikert have regarding
23 Oliver's focus while in the congressional office?

24 Former Leg. Dir.: I think he was aware of Oliver's activities, and so long as there weren't
25 issues, he was happy with, completely fine and complacent with it. I don't
26 think he had any serious concerns over what he was doing unless there
27 was an issue that came up. But as long as there was smooth sailing and
28 course ahead, David never raised any concerns.

29 Jeff Brown: How would you describe Representative Schweikert and Oliver's
30 relationship?

31 Former Leg. Dir.: Incredibly close.

32 Jeff Brown: Can you elaborate on that?

33 Former Leg. Dir.: So, I think their relationship goes beyond a professional relationship, and
34 they are genuinely friends. Oliver…I think David credits Oliver to him

1		being elected to Congress and being a member of Congress. I think if
2		there's anyone in that office that truly has a grasp on what David is
3		looking for and what David wants, it would have been Oliver. And
4		similarly, I think, David probably had a firm grasp on what Oliver's
5		activities were doing. They were probably the first call in the morning and
6		the last call at night. It was that type of relationship.
7	Jeff Brown:	How frequently would Oliver travel to and from the District?
8	Former Leg. Dir.:	Fairly frequently.
9	Jeff Brown:	And was he traveling for official business or campaign business to the
10		District?
11	Former Leg. Dir.:	Both. I think the blurs ... The lines were probably blurred at times on if ...
12		If he was going out there for one he might as well do the other, or if there
13		was a clear breakdown. I think Oliver viewed as, well, I'm out here, I'm
14		going to do both. You know, if ... But he would go back for both campaign
15		and for official business.
16	Jeff Brown:	Do you know if ... Well, do you know how Oliver's travel was accounted
17		for? Was it paid for from official funds, generally, or campaign funds, or a
18		combination of both?
19	Former Leg. Dir.:	I don't have a firm answer for that. I think there was probably a
20		combination of both, as well as him using his personal credit card and
21		asking for reimbursements from the office, but again, if I was to try to
22		break that out I'd purely be speculating.
23	Jeff Brown:	You said that sometimes you felt like maybe the lines were blurred in
24		terms of the purpose of the travel, can you elaborate on that statement?
25	Former Leg. Dir.:	Certainly. So, I think there were times where he, given that he would do
26		both the campaign and the official business, I think there was times where
27		he would travel out there with official meetings set up and then extend his
28		time to do campaign work and come back, and vice versa. I don't think
29		there was a clear delineation of I'm out here to do official congressional
30		work and my next trip I'll do campaign work. It was I'm out here, I'm
31		going to do both official and campaign.
32	Jeff Brown:	Did you ever raise any concerns…did you ever have or raise any concerns
33		with Mr. Schwab's travel practices?
34	Former Leg. Dir.:	No.

1 Jeff Brown: Did you not raise, or not have any concerns because you wouldn't have
2 had sort of the requisite knowledge of how much time he was spending on
3 official versus campaign?

4 Former Leg. Dir.: So, I think that's part of it. I think there was also just the general feeling
5 that I, personally, wasn't being impacted by his travel. He's my superior, I
6 don't have the authority or the ability to interject and question his decision
7 making and these practices. So, it was one of those things where, you
8 know, as chief of staff I respected his role in the office, respected his
9 responsibilities, and never really questioned his role in how he financed
10 his travel and why he was going back. I didn't feel it was my role as a
11 staffer.

12 Jeff Brown: Did ... Were you aware of anybody else raising concerns with his travel
13 practices?

14 Former Leg. Dir.: So, I think Beau, throughout the time I was there, would raise some
15 concerns that he was spending too much time in the district. As far as the
16 financial aspect of that I'm not aware.

17 Jeff Brown: What do you mean by the financial aspect?

18 Former Leg. Dir.: On how he was being ... Or, how they ... He was financing the travel of his
19 through official or campaign funds.

20 Jeff Brown: Were there appropriate spending and reimbursement oversights in
21 Representative Schweikert's office when you were there?

22 Former Leg. Dir.: I would say no. Again, I think if ... The only true oversight was Oliver. So,
23 there was never any serious question. I remember Mary rejecting a couple
24 of my reimbursements because I didn't fill out the forms properly, but
25 there was never any question about what I was submitting, or what other
26 staffers were submitting, and if you presented a reimbursement form to
27 Oliver or one of the other staffers who had the authority to sign off they
28 signed off without questioning it.

29 Jeff Brown: And I think we've covered this, but in case we haven't, Representative
30 Schweikert's involvement in approving Mr. Schwab's MRA
31 disbursements, what was it?

32 Former Leg. Dir.: As far as I'm aware, he had none. It was Oliver had full carte blanche
33 authority to manage the MRA disbursements and the office finances as he
34 deemed necessary.

35 Jeff Brown: Okay. We've talked about travel practices but I'll just ask this question
36 more generally, did you have any concerns with the way in which Mr.
37 Schwab was spending MRA funds?

Former Leg. Dir.: You know, I thought it was excessive at times, but again, I never was sure how funds were being used, if it was MRA funds or if it was campaign funds, so I would say that I thought his spending practices were excessive, but how they ... He was financing them without having fidelity on those issues, you know, I never raised any concerns.

Jeff Brown: Okay, but it's your understanding that besides Oliver, once ... I should say, once reimbursement materials got to Oliver they would go to Mary, and if there wasn't pushback from Mary they would just proceed?

Former Leg. Dir.: Yes.

Jeff Brown: Have you spoken to anybody at the Washington Examiner about Mr. Schwab?

Former Leg. Dir.: No.

Jeff Brown: Okay. How about Philip Wegmann, do you know who Philip Wegmann is?

Former Leg. Dir.: Yes. So, I set up a coffee meeting with David and Philip when I was LD, I set up a number of ... I think about 10 or a dozen meeting ... Coffee meetings, lunch meetings, with David and reporters in an effort to build David's media profile with conservative reporters at the time.

Jeff Brown: Okay. Have you ever seen or read this article before? And by this article, and for the record, I'm talking about an article titled, "A Lot of Cash is Flowing to David Schweikert's Chief of Staff, Oliver Schwab," dated November 2nd, 2017?

Former Leg. Dir.: Yes, I have-

Jeff Brown: Okay.

Former Leg. Dir.: ... seen this.

Jeff Brown: When did you first view this article?

Former Leg. Dir.: Approximately the time that it came out a friend forwarded me the link to the article.

Jeff Brown: And what was your reaction when you first read the article?

Former Leg. Dir.: I wasn't surprised by it. I ... Yeah, I think that was my general reaction is everything that was in the article made sense to me, and I didn't question the validity of it.

Jeff Brown: I'd like to break down a lot of what's discussed in this article, but before I do that I would like to ask you ... The article references a variety of former

1		staffers as sources, do you know who Mr. Wegmann is quoting? Who
2		these former staffers are?
3	Former Leg. Dir.:	I don't. I could only speculate. Given the information in this at the time I
4		thought it was either Beau or Kelly who provided this information.
5	Jeff Brown:	Who else have you had conversations with this article about?
6	Former Leg. Dir.:	So, a number of friends, no one directly employed by or engaged with the
7		Schweikert office that I'm aware of.
8	Jeff Brown:	Well, like I said, I'd like to break down some of what's in this article, so
9		let's first talk about the ... What I think we could here refer to as the Super
10		Bowl trip, that being the trip to ... Mr. Schwab and Representative
11		Schweikert's trip to Arizona during Super Bowl weekend, 2015. What can
12		you tell us about their trip during Super Bowl weekend, 2015?
13	Former Leg. Dir.:	So, I was unaware of the trip, and to be honest I don't recall, at the time,
14		any discussions towards it.
15	Jeff Brown:	Okay. So then, were you aware ... Or, you were not aware at the time, that
16		Oliver attended the Super Bowl?
17	Former Leg. Dir.:	I was not aware he attended it.
18	Jeff Brown:	You…
19	Former Leg. Dir.:	I'd be very jealous.
20	Jeff Brown:	You were not aware that Representative Schweikert attended the Super
21		Bowl?
22	Former Leg. Dir.:	No.
23	Jeff Brown:	Okay. Would you have any awareness of any activities that either
24		Representative Schweikert or Oliver Schwab engaged in that weekend?
25	Former Leg. Dir.:	No.
26	Jeff Brown:	Okay. How about from social media postings or anything like that, would
27		you ever have learned, at any point, that he attended the Super Bowl or
28		partook in any other activities that weekend?
29	Former Leg. Dir.:	Again, I'd have to go and look at social media, I ... But I don't remember
30		that weekend, or if he attended the Super Bowl.
31	Jeff Brown:	Okay.
32	Paul Solis:	Was there a campaign event that weekend?
33	Former Leg. Dir.:	Not that I recall.

1 Jeff Brown: Do you recall anything about a Representative McCarthy event?

2 Former Leg. Dir.: So, there was a number of Representative McCarthy events out in the
3 district, and I-Most of those events stemmed around the Valley Fever task
4 force, and there would be subsequent campaigning activities while
5 Congressman McCarthy was out there, but from my recollection, the
6 majority of their engagements in the district stemmed around the Valley
7 Fever task force.

8 Jeff Brown: The Valley Fever task force, would that have been official side?

9 Former Leg. Dir.: Yes.

10 Jeff Brown: The Phoenix Open, is that an event that representative Schweikert or
11 staffers would attend in any given year?

12 Former Leg. Dir.: I don't recall any staffers specifically attending this. It is a, you know,
13 widely talked about activity in the district. I'd be, off hand I'd be blurring
14 the lines between, the general…the conversation regarding the Phoenix
15 Open and any specific activities of either David or Oliver did out there.

16 Jeff Brown: Was it an important weekend for Representative Schweikert generally?

17 Former Leg. Dir.: Yeah…Given the influx of people and business into the district, David
18 usually, and Oliver tried to capitalize on the event to draw publicity and
19 promote what they were doing, and people who weren't necessarily
20 engaged with the office on a regular basis.

21 Jeff Brown: Would there generally be, both campaign and official events that
22 weekend?

23 Former Leg. Dir.: I believe it leaned more towards the campaign side. Again, without sitting
24 down and really thinking about it, I can't recall off hand on how things
25 were broken up.

26 Jeff Brown: Are you aware of Oliver Schwab ever improperly purchasing any office
27 supplies for his personal benefit?

28 Former Leg. Dir.: I think, without having visibility on where the funds were coming from,
29 there were certain purchases that I thought were excessive, but at the time,
30 unless I knew they were coming from the MRA, I think it would probably
31 be hard for me to say that, you know, he shouldn't be spending the money
32 that way. If it's his personal funds, I'm okay with it.

33 Jeff Brown: So you wouldn't know if it was personal funds or MRA funds in the
34 examples that you're thinking of?

35 Former Leg. Dir.: Yes.

1 Paul Solis: What's an example of something you thought was excessive?

2 Former Leg. Dir.: There was essentially, I guess a reading table that - some fancy reading
3 table - that was a couple hundred dollars that he wanted over by his desk, I
4 think purely for aesthetic reasons. I'm not sure how that was paid for.
5 There were a number of those micro-room heaters, with like, kind of the
6 faux electronic flames, or fireplaces, number of those were purchased for
7 the offices. I would assume those came out of MRA, you know, whether
8 or not those were needed, I would argue they weren't, but the decision was
9 to purchase them. Those are a couple of the examples of kind of excessive
10 spending that if I was a decision maker, wouldn't have purchased.

11 Paul Solis: But again, your awareness of the source of those funds, you didn't know?

12 Former Leg. Dir.: No.

13 Jeff Brown: Do you know whether Oliver Schwab took a course at Harvard
14 University?

15 Former Leg. Dir.: I remember him posting about that on social media. I think he did one of
16 those, executive leadership course, week long courses, something to that
17 effect. I'm not sure on the specifics of the course, but I do believe he did
18 that.

19 Jeff Brown: Did you ever have any discussions with him regarding that course?

20 Former Leg. Dir.: No.

21 Jeff Brown: Okay. Any discussions with him regarding reimbursement for any sort of
22 training?

23 Former Leg. Dir.: No.

24 Jeff Brown: Okay. So you wouldn't know whether or not the Harvard course included
25 anything like travel costs or food or accommodations?

26 Former Leg. Dir.: No.

27 Jeff Brown: Have you ever heard of the Casey Family Foundation?

28 Former Leg. Dir.: No.

29 Jeff Brown: Are you aware that Oliver Schwab has a family foundation?

30 Former Leg. Dir.: Yes. I'm not familiar with it specifically. Just that Oliver does come from
31 significant wealth and that there was a foundation. Outside of that, I have
32 limited information on it.

33 Jeff Brown: Do you know whether or not he receives any sort of income from that
34 foundation?

1 Former Leg. Dir.: I don't know.

2 Jeff Brown: Do you know what his role is at the foundation?

3 Former Leg. Dir.: No.

4 Paul Solis: Jeff had asked you about a course at Harvard. Did Oliver take a course at
5 Stanford?

6 Former Leg. Dir.: I'm not aware of that.

7 Paul Solis: What about Johns Hopkins?

8 Former Leg. Dir.: Not aware of it.

9 Jeff Brown: Aside from the salary that Mr. Schwab would have received from the
10 House of Representatives, what can you tell me about other income
11 sources that Mr. Schwab had?

12 Former Leg. Dir.: I believe he was compensated from the campaign funds, for his campaign
13 work. I also remember, if I remember correctly, he provides some level of
14 consulting, I'm not sure what that included or who he was employed by.

15 Jeff Brown: How are you aware of both of those things?

16 Former Leg. Dir.: Office discussions.

17 Jeff Brown: Those have been discussions with Mr. Schwab or others?

18 Former Leg. Dir.: Both. I don't think there was ever a discussion on how to, there was never
19 a discussion on what he was doing, as far as trying to hide it. Oliver was
20 always pretty open about his activities. I was never aware of the fact of
21 how much or what specifically he was doing, but I was aware of the fact
22 that he was doing those activities. If I recall correctly, there was a time
23 when he was compensated below the $120,000 level while he was trying
24 to figure out personal finances for the financial disclosure forms
25 because…given the, I think the foundation and also his family wealth, he
26 didn't want to fully disclose everything.

27 Jeff Brown: Can you tell us more about that conversation?

28 Former Leg. Dir.: When part of the…I guess the process or the conversation that took
29 place…when Beau became the one with signing authority for the financial
30 disclosures and whatnot, was because Oliver was in the process of trying
31 to essentially work with financial advisors on how he can move some of
32 his family wealth. That's at least what I was told. He was receiving below
33 the $120,000 threshold so he didn't have to do the mandatory disclosures.

34 Jeff Brown: What year was this?

1 Former Leg. Dir.: Somewhere, 2015, 2016.

2 Jeff Brown: Why did he explain that he didn't want to have to do the mandatory
3 disclosures?

4 Former Leg. Dir.: I don't believe, if I remember correctly, Oliver did not tell me directly this.
5 It was secondhand from Kat and Beau separately on, because there was a
6 discomfort in the office with the amount of compensation that some of the
7 senior leaders were receiving compared to more junior staff. It was laid
8 out as, this is why Beau was making so much, so he was doing the
9 disclosures. Oliver is trying to figure out how he can, essentially manage
10 his personal finances, not to fully disclose everything. That's why Beau
11 was, all of a sudden, received a large compensation and that Oliver was
12 receiving below the 120 threshold.

13 Jeff Brown: I guess back to my question, why was Oliver reluctant to do financial
14 disclosures?

15 Former Leg. Dir.: The story I'm told is, given his personal wealth, that they didn't want that
16 disclosed. Given the wealth he inherited with I believe it was his
17 grandfather's passing, they were working on trying to move those funds so
18 he wasn't, it wasn't being fully disclosed on how much he personally was
19 worth.

20 Jeff Brown: Do you know if he filed financial disclosures for the years that he was
21 chief of staff?

22 Former Leg. Dir.: For the majority of them, yes. Again, there's that kind of couple ... That
23 one or two quarters where he was below the 120 threshold.

24 Paul Solis: During that year did he file a financial disclosure form?

25 Former Leg. Dir.: I believe earlier in the year he did, and then he took a salary reduction.

26 Paul Solis: Typically the way financial disclosures work is you, you know, they're
27 once a year, so ... And they're due usually around May or the first part of
28 the year for the preceding year. So, did he file one during the year that he
29 took a salary decrease-

30 Former Leg. Dir.: I'm not-

31 Paul Solis: ... below the senior staff level?

32 Former Leg. Dir.: I'm not certain on that.

33 Jeff Brown: What can you tell us about Chartwell?

34 Former Leg. Dir.: Outside the fact that that was his consulting firm, I had no engagement, no
35 activities with the ... Was unaware of what, specifically, Oliver did with it.

1 Jeff Brown: But outside of this article, and again, the Examiner article, for the record,
2 you were aware, during your time in Representative Schweikert's office
3 that Oliver had a company called Chartwell?

4 Former Leg. Dir.: Yes.

5 Jeff Brown: And you were aware that Representative Schweikert's campaign
6 committee, and or political action committees, were compensating Oliver
7 through Chartwell?

8 Former Leg. Dir.: No. So, I wasn't aware that he was being compensated through Chartwell.
9 My understanding was he was being compensated by the campaign
10 committees as a campaign employee and not through a consulting firm
11 that he established.

12 Jeff Brown: In other words, you thought that he was ... Monies were being paid
13 personally to Oliver Schwab.

14 Former Leg. Dir.: Yes.

15 Paul Solis: So, what did you know about Chartwell, ever?

16 Former Leg. Dir.: Other than the fact that it existed, nothing.

17 Paul Solis: Would he ever say anything about it?

18 Former Leg. Dir.: I want to say yes, but there's no specific conversations or instances that are
19 coming to mind.

20 Jeff Brown: Do you know if Oliver Schwab did any sort of political consulting or
21 fundraising for anyone besides Representative Schweikert?

22 Former Leg. Dir.: So, my perception was yes. Who those folks were or what activities they
23 were I'm unaware of, but my perception was there was more political
24 activities beyond just Congressman Schweikert's.

25 Jeff Brown: Back to the financial disclosures, did you ever have any discussions with
26 Oliver Schwab about him, you know, not appropriately disclosing his
27 personal finances?

28 Former Leg. Dir.: No.

29 Jeff Brown: Okay. Did you ever hear of any discussions like that?

30 Former Leg. Dir.: At the staff to staff level, nope, just the fact that those activities were
31 taking place and there was a frustration given how the overall
32 compensation in the office, at the time ... But I think those conversations
33 were part of that overall conversation with the general dis-happiness of the

1		pay discrepancy between senior staff and mid and junior level staffs,
2		comparatively.
3	Paul Solis:	You mentioned that ... And this is, again, you mentioned it was through
4		secondhand reports from Kat and Beau about Oliver's desire to not be
5		subject to the financial disclosure requirements because of his personal
6		wealth, and disclosing that, right? That's correct?
7	Former Leg. Dir.:	That was my understanding, what I received, yes.
8	Paul Solis:	Do you know if any part of that desire to not be subject to the financial
9		disclosure requirements had anything to do with income he was receiving
10		from Representative Schweikert's campaign?
11	Former Leg. Dir.:	Not that I was aware of. You know, my understanding, it was that he
12		received an inheritance and he was trying to move the funds before having
13		to disclose them, but I was never aware of what level of compensation he
14		was receiving from the campaign.
15	Jeff Brown:	Again, just ... I'm trying to get a handle on why he was so concerned about
16		disclosing personal wealth issues.
17	Former Leg. Dir.:	You know, I don't have a solid answer for you on that outside of just what
18		I was told. It was never a conversation I had with Oliver specifically.
19	Jeff Brown:	Is it fair to say that Oliver Schwab was aware that he was subject to
20		outside earned income limits as a senior staff?
21	Former Leg. Dir.:	I think that's a fair statement. You know, Oliver generally was very aware
22		of the reporting requirements that he would have to provide, so I think
23		saying he was aware of those is accurate.
24	Jeff Brown:	Do you ever recall any discussions in the office about Oliver Schwab not
25		taking required ethics trainings?
26	Former Leg. Dir.:	Yes, it was near the later portion of my time in the office. It was
27		essentially, you know, "I haven't had to do one yet, why would I start
28		now? They'll know I haven't done one." And it was kind of a running joke
29		he had.
30	Jeff Brown:	And this occurred roughly what year?
31	Former Leg. Dir.:	Late 2105 through 2016, I'd say.
32	Jeff Brown:	And, so, the implication from Oliver was that he's been on the Hill since
33		roughly 2011 and he hasn't taken an ethics training up through 2015.
34	Former Leg. Dir.:	Yes.

1 Jeff Brown: Having said that, is it still your belief that Oliver Schwab would have been
2 aware that he was subject to outside earned income limits?

3 Former Leg. Dir.: Yes.

4 Jeff Brown: And that would have ... he would have been aware of that from 2011
5 through today?

6 Former Leg. Dir.: Yes.

7 Jeff Brown: So, aside from Chartwell and any political consulting or fundraising
8 activities that Oliver Schwab was engaged in, are you aware of any other
9 businesses that Oliver Schwab was involved in?

10 Former Leg. Dir.: Not that come ... any that come to mind.

11 Jeff Brown: Okay, do you know if he undertook any sort of real estate endeavors?

12 Former Leg. Dir.: So, I believe he has a number of rental properties. I remember a relatively
13 significant discussion he was having with, I think, Kat, at the time, who
14 was refurbishing a home she bought about properties he was trying to rent
15 in DC, or properties he was trying to buy in DC to rent.

16 Jeff Brown: Okay. Does this have anything to do with the inheritance issues we just
17 discussed?

18 Former Leg. Dir.: You know, I wouldn't know. They were in that timeframe, but I never
19 drew a connection if there is any.

20 Jeff Brown: Okay. What do you know about what sort of income Mr. Schwab was
21 receiving from any of these real estate endeavors or investment properties?

22 Former Leg. Dir.: I'm ... No, I don't have a strong understanding or insight on specifics. I
23 know, given some of the locations that he was looking to buy, they were,
24 you know, not cheap properties in the District. You know, some are right
25 on Mass Ave, which are relatively expensive.

26 Jeff Brown: Was it your understanding that he would be receiving rental income from
27 these properties?

28 Former Leg. Dir.: Yes.

29 Jeff Brown: Okay. And, roughly, do you know how many rental properties he had?

30 Former Leg. Dir.: So, there was only one that ... And, again, I'm not even sure if the deal
31 ever closed, but that there were discussions that either I was involved in or
32 tangentially involved in on renting a property, I believe, off of Mass Ave,
33 or buying a property to rent off Mass Ave. Outside of that I'm not familiar
34 with what ... How many properties he owns or rents.

1 Jeff Brown: Do you know if that ... There was ever a closing on that property?

2 Former Leg. Dir.: I believe so, but I'm uncertain, and, you know, won't want to say
3 specifically yes or no, but I believe there was a closure on it.

4 Jeff Brown: Have you ever heard of a company called Pinkham Management, LLC?

5 Former Leg. Dir.: No.

6 Jeff Brown: How about Allerton Property Management?

7 Former Leg. Dir.: No.

8 Jeff Brown: Do you know if Oliver Schwab had any sort of role, in DC or elsewhere,
9 managing properties?

10 Former Leg. Dir.: So, part of the discussion on that one property that I'm aware of in DC
11 was, you know, using a management company so that he is not directly
12 managing it, and just essentially taking his cut of the rent. I'm not sure
13 what role he has now, but I know that was part of a discussion.

14 Jeff Brown: So you don't know what the outcome of that discussion was?

15 Former Leg. Dir.: No.

16 Jeff Brown: Have you ever heard of a company called Health Wellness Partners?

17 Former Leg. Dir.: No.

18 Jeff Brown: How about Bristol Consulting?

19 Former Leg. Dir.: No.

20 Jeff Brown: Are you aware of whether Ana Schwab had any … did any sort of political
21 consulting or fundraising for anyone on the Hill?

22 Former Leg. Dir.: I believe she did some level of fundraising, for a number of members.
23 Both David and Keith Rothfus and I think there was a number of
24 relationships she cultivated from her brief time at the NRCC that kind of
25 opened up the opportunity. While there were those discussions that I was
26 aware of, I couldn't tell you what members, or to what extent.

27 Jeff Brown: Do you have any idea what sort of work she was doing?

28 Former Leg. Dir.: General fundraising, organizing events, scheduling, but not specifically on
29 everything that she was doing.

30 Jeff Brown: Do you know if she was doing any of this on a volunteer basis, or if she
31 was being compensated?

32 Former Leg. Dir.: My understanding is that it was all compensated.

1 Jeff Brown: Did Oliver Schwab ever discuss using frequent flyer miles to pay for
2 Representative Schweikert's personal travel?

3 Former Leg. Dir.: I know there were, he discussed quite frequently, use of frequent flyer
4 miles, and it would be hard for me to say if it was for personal or official
5 travel, but there were those discussions. I'm just not drawing a specific
6 instance to mind that would help delineate.

7 Jeff Brown: Okay. Was there ever a discussion about Representative Schweikert
8 specifically using Oliver Schwab's miles?

9 Former Leg. Dir.: Again, I can't think of a specific instance. Oliver generally tried to use
10 miles as much as possible.

11 Jeff Brown: Are you aware of any gifts or loans that Oliver would have made to
12 Representative Schweikert?

13 Former Leg. Dir.: At one point, I was told that he donated or he loaned the campaign a
14 relatively significant amount. I think several thousand, five or ten if I
15 recall correctly. Five or ten thousand dollars that were still owed to him. I
16 don't really have a firm grasp on when that took place, or the full amount.

17 Jeff Brown: Do you know what year that loan would have occurred?

18 Former Leg. Dir.: No. My understanding was just an outstanding loan that Oliver never was
19 paid back on.

20 Paul Solis: This is to Representative Schweikert's campaign committee?

21 Former Leg. Dir.: Yes.

22 Jeff Brown: Would that have occurred within the last year?

23 Former Leg. Dir.: The conversation occurred late 2016. When the contribution or loan was
24 made, I don't know.

25 Jeff Brown: Did he ever discuss making any other sort of personal outlays on…for
26 Representative Schweikert or his campaign?

27 Former Leg. Dir.: Yes. It was generally an erring of grievances about the fact that he hasn't
28 been paid back by David for those expenditures. I don't have a dollar
29 figure, when those expenditures took place, it was just that he spent, he
30 bought X for David and he was never paid back, or he loaned the
31 campaign X amount and was never paid back.

32 Jeff Brown: Was this a formal loan of some kind or an informal loan?

33 Former Leg. Dir.: I'm not aware.

34 Jeff Brown: Do you know any more details about terms of this loan?

1 Former Leg. Dir.: No.

2 Jeff Brown: Is there anything that we haven't discussed today that you think would be
3 appropriate to talk about in light of our discussions?

4 Former Leg. Dir.: Nothing that is coming to mind at the moment.

5 Jeff Brown: Is there anyone else who you think we should speak with in light of the
6 questions that we've asked you today?

7 Former Leg. Dir.: I think we discussed the folks, at least from my time on the staff, who
8 were current or former employees who had some understanding of what
9 was ongoing with Oliver. I don't have anyone else outside of the folks that
10 we already mentioned, Beau, Kelly, Kat, who would provide greater
11 clarity on these issues.

12 Jeff Brown: Okay. I think you said this already, but I just wanted to verify. Has
13 anybody from Representative Schweikert's office contacted you about an
14 OCE investigation?

15 Former Leg. Dir.: No.

16 Jeff Brown: Have you contacted anybody else about the OCE investigation?

17 Former Leg. Dir.: No. I've heard through grapevines that there may have been an
18 investigation, but I never heard anything from official ... there was never a
19 discussion on, you know, coming in, talking with you. I never had a
20 discussion with anyone on them speaking with you. It's just kind of that
21 there could be an inquiry into David, but that's about all I had. I never ...

22 Jeff Brown: Who else have you talked to about this article, either in the office or
23 outside of the office?

24 Former Leg. Dir.: About this article? A number of friends who never worked with David
25 sent this to me when it came out, and then Kelly Roberson sent it to me. I
26 think that's essentially it. I'm trying to think who else. When it first came
27 out a number of folks that knew I worked with David forwarded me the
28 article.

29 Jeff Brown: Okay. Paul, anything else?

30 Paul Solis: Yeah. I don't know if we covered this at the very beginning, but what were
31 the circumstance of your departure from Representative Schweikert's
32 office?

33 Former Leg. Dir.: I was looking to leave David's office for quite some time. Oliver made it
34 clear to me that there was no growth potential in that role, that I was
35 essentially stuck where I was. That I wasn't going to receive any salary

1 increases. No promotion potential. So I was trying to leave, and then
2 started talking with the Mulvaney folks in the latter part of the 114th
3 congress, his chief was getting ready to leave. Then they were promoting
4 his LD to chief and I was going to come in as LD. Then everything kind
5 of, after the election, went on hold, for quite some time.

6 Paul Solis: Okay. You weren't terminated, you resigned?

7 Former Leg. Dir.: I think the feeling was mutual. I was ready to get out of there. I wasn't
8 happy. I was actively looking. It was essentially, they were giving me
9 somewhat of a grace period to leave the office. If I didn't leave before a
10 certain amount of time, then it was clear that I would be terminated, but
11 again, that grace period never extended to that point. So officially, I wasn't
12 ever terminated or fired, but it was clear that my time at that office was
13 coming to an end.

14 Paul Solis: Who made that clear to you?

15 Former Leg. Dir.: Oliver.

16 Paul Solis: When you did leave, was there any problematic occurrences, problematic
17 interactions between you and Oliver before you left?

18 Former Leg. Dir.: The way he made that clear to me was through an email, which I felt,
19 given six years of service to David and Oliver was inappropriate. I forced
20 him to sit down with me and walk me through everything. It was a, you
21 know, a professional conversation. Obviously the topic wasn't ideal, but at
22 the end of it, there was no issues raising from that, that, and again, to this
23 day if I see him, I say hi. It's still professional. I wouldn't say that there
24 was any, you know, negative interactions or negative outbursts or anything
25 that took place.

26 Jeff Brown: All right. With that, I think we'll end the recording.

EXHIBIT 3

Transcript of Interview of
"Former Deputy Chief of Staff"

January 18, 2018

1	Jeff Brown:	Okay. This is Jeff Brown. With me is Paul Solis and Brandon Wong
2		from the Office of Congressional Ethics. Before us we have "Former
3		Deputy Chief of Staff". It is a little after 3:00 on January 18th. We are
4		in the conference room at the Office of Congressional Ethics. "Former
5		Deputy Chief of Staff" has been given a copy of the False Statements
6		Warning, and we are going to get underway.
7	Former Deputy C.O.S.:	Before we begin, I am "Former Deputy Chief of Staff". I give consent
8		for this conversation to be recorded on the condition that I get a copy
9		of the transcription.
10	Jeff Brown:	Is "Former Deputy Chief of Staff" okay, or do you prefer-
11	Former Deputy C.O.S.:	It's "Former Deputy Chief of Staff".
12	Jeff Brown:	"Former Deputy Chief of Staff", okay. "Former Deputy Chief of
13		Staff", you were previously employed by Representative Schweikert.
14		In what capacity were you employed in his office?
15	Former Deputy C.O.S.:	Started as an LA, became the LD, then the Deputy Chief of Staff.
16	Jeff Brown:	Okay. Can you roughly walk me through the timeframes in which you
17		held those different jobs?
18	Former Deputy C.O.S.:	I came on at the new Congress of 2012 and worked for him until ...
19		No. Beginning of 2011 and worked for him until January 3rd of 2017.
20	Jeff Brown:	Okay. Do you recall approximately the dates in which you were
21		promoted through those series of roles?
22	Former Deputy C.O.S.:	Promoted to LD, May-June timeframe of 2012. Deputy Chief of Staff,
23		December of 2014.
24	Jeff Brown:	Okay. Who did you report to while you were in Representative
25		Schweikert's office?
26	Former Deputy C.O.S.:	Initially, Matthew Tully as an LA. Then he became Chief of Staff
27		when I became the LD. I continued to report to him until he left. I
28		guess that would be spring of ... I think '13, at which point in time I
29		reported to Oliver Schwab.
30	Jeff Brown:	You are no longer in Representative Schweikert's office. Where do
31		you currently work?
32	Former Deputy C.O.S.:	Consumers' Research.
33	Jeff Brown:	Okay. When did you start there?

1 Former Deputy C.O.S.: June of this year as a 1099 employee and was hired full-time August,
2 early September of this year.

3 Paul Solis: Do you mean 2017?

4 Former Deputy C.O.S.: Sorry, 2017. Yes. 2017. Last year.

5 Jeff Brown: Why did you leave Representative Schweikert's office?

6 Former Deputy C.O.S.: I felt that the office had become unstable. I had been given assurances
7 that the Chief of Staff was leaving, and when he decided to stay he
8 became somewhat abusive to me and another senior staffer. I was then
9 given the option of staying for a dramatically reduced salary, with my
10 performance being judged on campaign donations or leaving with a six
11 month severance. I chose to leave. However, I never received the
12 severance. At the end of December, I was told that the office would
13 not be honoring that agreement.

14 Jeff Brown: Okay. I'd like to break down some of that. You said there was you and
15 another individual you felt was subject to some abusive behavior. Who
16 is the other staffer?

17 Former Deputy C.O.S.: Kevin Knight.

18 Jeff Brown: Okay. When you were referring to the Chief of Staff a moment ago,
19 you were referring to Oliver Schwab.

20 Former Deputy C.O.S.: Correct.

21 Paul Solis: Well, first I'd like to ... based on Jeff's initial question about why you
22 left. You said you felt the office had become unstable. Can you just
23 give us a little more detail on what you meant by-

24 Former Deputy C.O.S.: Oliver, through two hour-and-a-half sessions over the phone, the first
25 with me and Kevin Knight, the second with just me, basically spent 90
26 minutes cussing me out and telling me that I was terrible at my job ...
27 after literally 24 hours prior saying that I was going to be there forever,
28 and he was leaving, and I was going to be Chief of Staff. He was
29 showing ... this all happened over the course of literally a two week
30 period. He was showing signs of severe stress and anger at the member
31 and was lashing out at me. So, it was basically two hour-and-a-half
32 sessions where he screamed and swore at one with both me and Kevin
33 Knight and one with just me.

34 Paul Solis: Okay. So, he had informed you in some way that he was leaving the
35 office?

1 Former Deputy C.O.S.: Yes.

2 Paul Solis: Okay. When did he inform you of that?

3 Former Deputy C.O.S.: He had been saying that the entire congress. He had his paperwork in
4 at one point in time.

5 Paul Solis: This is the 114th Congress?

6 Former Deputy C.O.S.: Yeah, the 114th. We're in the 15th. At one point in time at 113th, he
7 had paperwork signed to leave, and on his last day decided to stay.
8 Basically through the entire year of 2016, he would go through these
9 tirades against the member both to me and ... and sometimes to Kevin
10 and I together, sometimes just to me, sometimes just to Kevin ... about
11 how awful David was and how he hated it and how he was going to
12 quit. He basically said, "I'm not coming back." That was basically the
13 whole year of 2016. When he decided to come back after having his
14 two outbursts with me and basically saying, you know, "Here are two
15 options: You can quit with severance or you can come back next year
16 at a reduced title, reduced pay, you'll never see your family, and you're
17 going to be judged on how much money we bring in to Dave, even
18 though you're not the fundraiser, you're going to be the one that's
19 responsible for that top dollar." As the legislative staff, I did not want
20 to come back anymore.

21 Paul Solis: So, that information that was passed to you by Mr. Schwab occurred in
22 two telephone conversations?

23 Former Deputy C.O.S.: No, that was face ... the last conversation was ... so after his first round
24 of abuse over the phone with me and Kevin Knight, I just kind of ... he
25 wasn't around, he was out of town.

26 Paul Solis: When did that happen?

27 Former Deputy C.O.S.: October of 2016, maybe the end of September. It was literally the day
28 before the NCAI Convention in Phoenix. It was the first phone call. I
29 was basically given instructions to fly out to Phoenix day of, to go to
30 that convention even though previously we had discussed me not
31 going, because of the hour-and-a-half phone cal, I went. Things were
32 fine for a week. He ended up getting really frustrated over an even
33 with Kevin McCarthy that was kind of air dropped on us. He spent the
34 next Monday on the phone with me while he was in Phoenix. I was in
35 the office at the time, and the entire staff could hear him screaming
36 over the phone. I had to go to the member's office. After that it was
37 another hour-and-a-half, basically just screaming and cussing and

1–4		everything is terrible. After that I asked for a meeting. At that meeting he gave me the options to stay at reduced salary, reduced title, and being judged by campaign donations, or to go with six month severance. I chose to go with the six month severance.
5	Paul Solis:	Okay, that face to face meeting also happened in October of 2016?
6	Former Deputy C.O.S.:	Yes.
7	Paul Solis:	Were you a campaign staffer, either paid or volunteer at the time?
8	Former Deputy C.O.S.:	No.
9–10	Paul Solis:	Do you know then why he would say to you that your performance would be based on campaign donations?
11–17	Former Deputy C.O.S.:	I know that David ... and this was the ongoing theme throughout the year of how he wanted to quit, was David was putting increasing pressure on him to raise money because David wanted to run for the Senate. David was basically telling him, "I need a million dollars if I'm going to run for the Senate." I think that was weighing on him. I do not believe if I had stayed that would have been what happened. That was just what was threatened, and I didn't want to take that chance.
18–21	Paul Solis:	Did he say to you at all whether the member had made the information he passed to you that your performance would be based on campaign contributions, did he say whether that came from Representative Schweikert?
22–24	Former Deputy C.O.S.:	Absolutely not, no. He didn't. David had said to me on multiple occasions, "I'm leaning on Oliver to raise money. That's not your responsibility."
25	Paul Solis:	Okay.
26–27	Jeff Brown:	Was Representative Schweikert ever made aware of these two October 2016 outbursts?
28	Former Deputy C.O.S.:	No.
29–30	Jeff Brown:	Did you ever have any conversations with Representative Schweikert about your decision to leave?
31–34	Former Deputy C.O.S.:	I believe it was relayed to him that I wanted to leave to be a chief. When I had decided to leave, I didn't want to bring it up. I really just wanted to be done with the place. You know, with David and with Oliver. Once I left in November ... I think my last official day in the

1		office was the Monday before Thanksgiving of 2016. After that I never
2		talked to David again.
3	Paul Solis:	Just to get the timing straight, you said your last day in the office was
4		November of 2016 ... you said before Thanksgiving? Or after?
5	Former Deputy C.O.S.:	The Monday before Thanksgiving.
6	Paul Solis:	Before Thanksgiving. And when we asked you how long you'd been in
7		the office, you specifically mentioned January 3, 2017?
8	Former Deputy C.O.S.:	I physically left the office. I was continuing to do work and one of the
9		things that was … you're going to be basically essentially on contract
10		for the next six months and so I was no longer physically in the office
11		but I was still performing work for the office until January 3rd.
12	Paul Solis:	Of 2017?
13	Former Deputy C.O.S.:	Of 2017.
14	Jeff Brown:	Okay. You said that Mr. Schwab had made it clear on several
15		occasions that he was planning to leave the office. Can you talk about
16		those instances in a little more detail?
17	Former Deputy C.O.S.:	Up and … I mean it was such a regular occurrence that people were
18		noticing how tired I was and people thought it was because David is a
19		hard member to work for. He's highly caffeinated and a little off kilter
20		at times. It was because Oliver was always threatening to quit, like
21		literally day of walk out, quit. "I'm going to quit today. I hate David
22		and I hate this job and this is going to be terrible." And I consistently
23		talked him off the ledge because David is, I would say … the word I
24		would use would be emotionally attached to Oliver.
25	Jeff Brown:	What other staffers in the officer would have seen or been privy to any
26		of these conversations?
27	Former Deputy C.O.S.:	Cami Lepire heard the conversation. Oh, as far as Oliver quitting or
28		him yelling at me?
29	Jeff Brown:	Either one.
30	Former Deputy C.O.S.:	As far as the conversation that was overheard when he was yelling at
31		me the second time, Cami Lepire did overhear that and apologized
32		because she thought it was her fault that he was yelling and it wasn't.
33		But he was fairly open to every staffer that would ask him that he was
34		going to quit and not come back in my opinion. There was no one that

1 2		… there was literally no one in the office that assumed he was going to be back this Congress.
3 4	Paul Solis:	Just a little bit walk back on your time in the office, so from 2011 when you started, was Mr. Schwab in the office?
5	Former Deputy C.O.S.:	Yes. He was-
6	Paul Solis:	Your entire time there?
7 8	Former Deputy C.O.S.:	He was there until he left to run the Super PAC in the Quayle campaign of 2012 and then he came back after the election.
9	Paul Solis:	Did he have the same job title both periods?
10 11 12	Former Deputy C.O.S.:	No. He came back … Matt Tully was still chief of staff when Oliver came back. Oliver I think had the title of senior advisor and then when Matt left, Oliver became the chief of staff again.
13	Paul Solis:	Okay. Again when was that when he became chief of staff?
14	Former Deputy C.O.S.:	Whenever Matt Tully left, I believe Spring of '14.
15 16	Paul Solis:	Okay. And in that 2011 to 2012 window before he left to go run the Super PAC, was title also senior advisor?
17	Former Deputy C.O.S.:	No, it was chief of staff.
18	Paul Solis:	Mr. Schwab's?
19 20 21 22	Former Deputy C.O.S.:	I did not report to him but that was his … Oliver was the chief of staff. I reported to Matt Tully when Matt Tully was the LD. When Oliver left, Matt Tully became the chief and then I reported to Matt Tully as chief as the LD.
23	Paul Solis:	Got it.
24	Jeff Brown:	Do you currently have any relationship with Oliver Schwab?
25	Former Deputy C.O.S.:	None.
26	Jeff Brown:	Okay. When was the last time you spoke with him?
27 28 29 30 31 32	Former Deputy C.O.S.:	I think I sent him an email thanking him for a referral to or for information that John Carter had a job opening at that time. I was still unemployed and I said thank you. And I think it was the last response. I did have an email from him, you know, when you called, I knew this was going to be about this because I saw the story. I was not one of the sources. I called Kevin because I still have a … I do have a very good

1–7		relationship with Kevin. He and I talk regularly and he's kind of my mentor in a lot of things. I told him, I said, "I wasn't the source," and I don't have anything to do with this and I really don't want anything to do with this." Evidently, Kevin did relay to Oliver that I was not the source and Oliver said, "Thank you for your kind words," and that was the last I've heard of him. He has not called me or emailed me since and I did not respond to that email.
8	Jeff Brown:	What did Kevin say about the story?
9–12	Former Deputy C.O.S.:	He … when I had called him, he had not even heard … he had not even seen the story. He was doing … oh he may have been on vacation with his … I think that's when his mother-in-law was passing. So, he didn't even know the story.
13	Jeff Brown:	Have you talked to anybody else about that story?
14	Former Deputy C.O.S.:	Other than my wife and my parents, no.
15	Paul Solis:	When was Mr. Schwab's email to you about-
16–17	Former Deputy C.O.S.:	That's not … I did share that with my current employer so that she would know that that was going on.
18–19	Paul Solis:	Wait for the train to go by. When did Mr. Schwab send you that email saying, "Thank you for your kind words?"
20	Former Deputy C.O.S.:	Sometime in November.
21	Paul Solis:	Of 2017?
22	Former Deputy C.O.S.:	Yes.
23	Jeff Brown:	Do you have any idea who the sources were for that story?
24–25	Former Deputy C.O.S.:	I could probably make a guess for one and a good guess for one and a somewhat educated guess for the other but other than that, no.
26–27	Paul Solis:	Okay. What would you lead you to have an educated guess on one of those?
28–34	Former Deputy C.O.S.:	I believe that he terminated Mary O'Connor at roughly the same time he did me. She had major issues with some of the things that he did. I believe I signed all the budget paperwork. I have no reason to believe that he was doing anything unethical with his reimbursements. Spendthrift, that's for sure. But, you know, she would get frustrated over his spendthrift nature but I believe that she had concerns so I believe that she could be one. I also have not heard but I … not

1		directly from any source, but from people who have worked with
2		Martha McSally's chief, that he could be one, that that would be the
3		kind of thing that he would do.
4	Paul Solis:	And who is that?
5	Former Deputy C.O.S.:	Justin Roth.
6	Jeff Brown:	What is Oliver's relationship to Justin?
7	Former Deputy C.O.S.:	Just colleagues but the suspicion and this is someone who is now
8		working for the federal government way out west who I used to work
9		with in John Carter's office, he has no ties to him other than he worked
10		for Justin Roth when Justin Roth was Joe Walsh's chief. And he said
11		based on the things that he observed Justin Roth do while he was with
12		Joe Walsh, that he could see that Justin Roth would leak something
13		like this to the press to force David to stay out of the Senate race so
14		that Martha McSally could run.
15	Paul Solis:	And just to go back, you mentioned a couple times this Mary
16		O'Connor?
17	Former Deputy C.O.S.:	Mm-hmm (affirmative).
18	Paul Solis:	Was, I think you used the word terminated, was she actually…her
19		employment was terminated by the Member? Paperwork was signed
20		by the Member?
21	Former Deputy C.O.S.:	That was after I left.
22	Paul Solis:	Okay. So you don't know that firsthand knowledge?
23	Former Deputy C.O.S.:	I … the only … I mean I wasn't in the office at the time, but I was told
24		by former staffers that were still on the Hill that she was let go.
25	Paul Solis:	Okay. And you also referred to your employment as being terminated
26		as well, and I just want to be clear, you talked to us about the options
27		that were given to you but were you officially terminated or did you
28		resign?
29	Former Deputy C.O.S.:	I took a severance package, and then I got an email right before the
30		start of the new Congress saying that the severance package was not
31		going to be offered because he needed the money for other places.
32	Paul Solis:	Okay.
33	Former Deputy C.O.S.:	And so at that point in time, I would consider myself moving from
34		resigning to terminated.

1 Paul Solis: Okay.

2 Former Deputy C.O.S.: Semantics.

3 Paul Solis: Okay. Were you notified at all by the House that your employment had
4 been terminated?

5 Former Deputy C.O.S.: I mean only in the…I didn't get a paycheck the next month.

6 Paul Solis: Okay.

7 Former Deputy C.O.S.: I filed for unemployment and it was not contested.

8 Paul Solis: Okay. I just want to be clear because I think it matters in the timeline
9 here. You were offered a severance package, and I want to ask a
10 question about that. You were offered a severance package, you
11 received an email from Oliver Schwab or Representative Schweikert?

12 Former Deputy C.O.S.: Oliver Schwab.

13 Paul Solis: Informing you that that severance package was not going to be issued-

14 Former Deputy C.O.S.: Honored.

15 Paul Solis: -honored to you. Then what happened? You just stopped receiving a
16 paycheck?

17 Former Deputy C.O.S.: I got my paycheck on … my last paycheck for up through … from
18 January 1st to January 3rd, and that was it.

19 Paul Solis: Were you…and you talked about Mr. Schwab's message to you that
20 there were options in front of you. You could stay on-

21 Former Deputy C.O.S.: That was in October.

22 Paul Solis: Did you refuse those options in that meeting with him or that
23 discussion with him?

24 Former Deputy C.O.S.: No. I told him I needed time to think about it. I originally asked to give
25 him a decision post-Halloween or no post-Thanksgiving and he did …
26 he originally agreed to that and then moved the timeline up but I had
27 decided basically within less than 24 hours that I was not going to go
28 back.

29 Paul Solis: Okay. So, you had never filed paperwork in late 2017 with House
30 Payroll notifying them of your ending of employment with the House?

31 Former Deputy C.O.S.: No.

32 Paul Solis: You just stopped receiving a paycheck?

1 Former Deputy C.O.S.: Yeah.

2 Paul Solis: Did you at all inquire with the Office again about the status of your
3 employment?

4 Former Deputy C.O.S.: He sent me an email saying that they weren't- that my ... after ... The
5 original agreement was for me to receive payment until – full salary
6 for six months until July 1 of 2017. Either late December or early
7 January, he sent me an email saying that because of David's changing
8 budget needs, he can't honor the agreement, and that I would be paid
9 through January 3rd, and that would be the end of it.

10 At the time, my wife was in her third trimester. It was a very difficult pregnancy and every time I
11 had an email receive from Oliver Schwab, I would talk to my wife,
12 because we had that kind of relationship and it would shoot her blood
13 pressure up and be dangerous for the baby. I just wanted it to end, and
14 so I said, "Fine. I will not seek any compensation."

15 Jeff Brown: Did you maintain any of these documents related to your severance?

16 Former Deputy C.O.S.: Everything else was done through the House. I didn't have access my
17 House email post-Thanksgiving. I recently deleted ... I guess, I kept
18 seeing his name pop up in my Google searches, so I deleted
19 everything, but that can probably be recovered, the last email that I got
20 from him. That was before you emailed me. That was the last time, I
21 think.

22 Jeff Brown: Did you want to ask a question about the severance?

23 Paul Solis: No. I think ... You've given us the terms of the severance. It was that
24 you were going to be paid through July of 2017 at your typical salary,
25 your normal salary.

26 Former Deputy C.O.S.: Mm-hmm (affirmative).

27 Paul Solis: But that you would no longer be reporting to work anymore, right?

28 Former Deputy C.O.S.: Mm-hmm (affirmative).

29 Paul Solis: Your employment would be terminated or-

30 Former Deputy C.O.S.: I would be continuing to work as a need basis.

31 Paul Solis: Okay.

32 Former Deputy C.O.S.: In the office.

33 Paul Solis: It was still an understanding you would be a House employee?

1 Former Deputy C.O.S.: Yes.

2 Paul Solis: But at a reduced work hours?

3 Former Deputy C.O.S.: Yes.

4 Paul Solis: But there was no understanding between you and Mr. Schwab that you
5 would no longer be reporting at all to work?

6 Former Deputy C.O.S.: I think he was under the impression that I would get a new job really
7 quickly and he would never have to ever pay me any additional
8 money, which was my intention. I really wanted to be done, but ... But
9 yeah, that's ...

10 Paul Solis: Do you know if there was an official designation of the type of work
11 category you'd be in? If it was leave with pay or ... ?

12 Former Deputy C.O.S.: I don't.

13 Paul Solis: Okay. We can move on, Jeff, if you like.

14 Jeff Brown: We've talked a little bit about your relationship with Oliver, in the
15 office, Mr. Schwab. What was your working relationship like with
16 Representative Schweikert?

17 Former Deputy C.O.S.: Excellent.

18 Jeff Brown: Can you talk a little bit about the working relationship that you had
19 with him? Sort of, what things you would directly report to him on?

20 Former Deputy C.O.S.: Oliver was technically my supervisor when I became the deputy chief
21 of staff, but for all intents and purposes, I was reporting to David on
22 everything, everything legislatively. I staffed him at committee. We
23 had a very good working relationship.

24 Jeff Brown: To what extent was Representative Schweikert aware of Mr. Schwab's
25 continued threats to quit?

26 Former Deputy C.O.S.: I don't think he was. He knew that Oliver had put in paperwork once to
27 leave, but I don't think David was aware that Oliver was constantly
28 teetering back and forth on it.

29 Jeff Brown: How would you describe Representative Schweikert's relationship
30 with Mr. Schwab?

31 Former Deputy C.O.S.: Dependent. Maybe even co-dependent.

32 Jeff Brown: What do you mean by that?

1 Former Deputy C.O.S.: I think ... I'm not a doctor. I think that David displays some signs of
2 autism. And Oliver got him elected the first time, and David feels that
3 without Oliver, nothing could go right for him.

4 Jeff Brown: What do you mean Oliver got him elected the first time?

5 Former Deputy C.O.S.: I mean, Oliver was the campaign staffer. The story that I know is that
6 they were in the car. Oliver was with Senator Toomey at the time,
7 back when Toomey was running Club for Growth. David called
8 Toomey, said, "I need to figure out how to win this campaign."
9 Toomey said, "I've got this guy for you right now. He'll be there." And
10 they won. They beat Harry Mitchell and David got elected. And so,
11 when he won the campaign, I think he considered Oliver's campaign
12 plan as what won him that campaign.

13 Jeff Brown: If it's okay, I would like to sort of take a step back a little bit, and talk
14 a little bit more generally about the ways things operated in
15 Representative Schweikert's office.

16 Former Deputy C.O.S.: Okay.

17 Jeff Brown: You can kind of talk through some generalities, and then I have some
18 more specific questions along the lines of some of the stuff we were
19 just talking about. Did you have occasion to travel while you were in
20 Representative Schweikert's office?

21 Former Deputy C.O.S.: Yes.

22 Jeff Brown: Okay. How frequently?

23 Former Deputy C.O.S.: Once a quarter, maybe.

24 Jeff Brown: And generally, for what sort of purposes would you travel?

25 Former Deputy C.O.S.: Most of my travel was done to the district. I had maybe one or two
26 over the course of the year to go outside the district to represent
27 Schweikert in an official capacity if David wasn't available.

28 Jeff Brown: How frequently do staffers travel to and from the district?

29 Former Deputy C.O.S.: Most staffers very infrequently, no more than once or twice a quarter.
30 There were a few staffers that were from the district that would be
31 more regular to go back and staff David on events. Oliver seemed like
32 he was traveling most weekends to the district, at least every other
33 weekend to the district.

34 Jeff Brown: And when you say weekends, what days are we actually talking?

1	Former Deputy C.O.S.:	Last session day to the flying day. So if it's a four-day week and we
2		ended on Thursday, Oliver would fly out Thursday or Friday morning
3		and then come back before votes on the Monday or the Tuesday,
4		depending on which ...
5	Jeff Brown:	Are you aware of what sort of role Oliver was playing while in
6		Arizona for Rep. Schweikert?
7	Former Deputy C.O.S.:	A little. He made a dual-hat role, both as the chief of staff
8		representative for David, official business, and also doing fundraising.
9	Jeff Brown:	Okay.
10	Paul Solis:	He was a paid campaign employee?
11	Former Deputy C.O.S.:	I did very little campaign work for him. I know very little about the ins
12		and outs of the campaign.
13	Paul Solis:	Are you aware if Oliver Schwab was a paid campaign employee?
14	Former Deputy C.O.S.:	I am not.
15	Paul Solis:	You do know that he did work for the campaign?
16	Former Deputy C.O.S.:	I do.
17	Paul Solis:	But whether or not he was compensated for that you do not know?
18	Former Deputy C.O.S.:	I do not know.
19	Jeff Brown:	You said Oliver would travel back and forth to the district with some
20		degree of frequency. Who else would travel back? Specifically, who
21		else would travel back and forth with frequency?
22	Former Deputy C.O.S.:	Other than the member, no one else with frequency.
23	Jeff Brown:	Can you walk us through the process by which a staffer, if they were
24		traveling to another district, how would they arrange for travel out to
25		the district?
26	Former Deputy C.O.S.:	Usually book their own flights and then reimburse the flight.
27	Jeff Brown:	How does the reimbursement process work?
28	Former Deputy C.O.S.:	Book the flight, print the flight receipt, and then you look at the flight
29		receipt and then you reimburse, the reimbursement process.
30	Jeff Brown:	Who is the person that you're going to to seek the reimbursements?

1 Former Deputy C.O.S.: When I was signing some budget documents it was both me with the
2 second eye set of Mary O'Connor. I would not sign my own
3 documents without another staff to look through anything that I was
4 getting reimbursed on.

5 Jeff Brown: Who would have signed them for Mr. Schwab?

6 Former Deputy C.O.S.: Often me with Mary O'Connor also seeing.

7 Jeff Brown: If Oliver was heading out to the district during one of those weekends,
8 would you have conversations about what sort of work he was doing
9 out in the district?

10 Former Deputy C.O.S.: Usually, yes.

11 Jeff Brown: You mentioned that he would staff David on both official and
12 campaign-related issues.

13 Former Deputy C.O.S.: Mm-hmm (affirmative).

14 Jeff Brown: If there were campaign-related issues, was there ever any discussion
15 about whether or not the campaign would pay for the flight or the
16 official MRA would pay for the flight?

17 Former Deputy C.O.S.: No. Most issues with the campaigns, they were either ... If he was
18 doing campaign-related activities, they were either after-hours or on
19 the weekend. He would be there for official business at least, basically
20 a day and a half, two days, and if there was any sort of campaign
21 event, it would not be the majority of his time there.

22 Jeff Brown: Did you have any concerns based upon the travel-related documents
23 that you saw with the way in which Mr. Schwab was spending MRA
24 funds to travel to and from the district?

25 Former Deputy C.O.S.: Not at the time. In hindsight, I felt there may…should have been more
26 supervision of him.

27 Jeff Brown: What do you mean by that?

28 Former Deputy C.O.S.: I just ... I'm a very cover-your-butt kind of guy. I'm very familiar with
29 your organization because when I was with John Carter, I learned all
30 about the history of the OCE and how it was formed. He was my boss.
31 I felt like I had to sign his documents. I didn't really think about it until
32 after I left, that there was not enough overlap on activities and there
33 should've been more.

1	Jeff Brown:	To what extent was Representative Schweikert involved in approving
2		any of Mr. Schwab's travel?
3	Former Deputy C.O.S.:	Almost none. I mean, other than him telling David "I'm coming to the
4		District," David said ... or David telling him "Come to the District."
5	Jeff Brown:	So the individuals that may have been involved with Oliver's travel to
6		and from the District would have been potentially yourself, Mary, and
7		maybe Representative Schweikert?
8	Former Deputy C.O.S.:	Yeah. I'm sure I didn't sign every single reimbursement document. I'm
9		sure if you look through, over the reimbursement documents, you'll
10		find a Kelly Roberson or a Katherina Dimenstein or maybe a Ryan
11		White as well. But it was my standard operating procedure that at least
12		two staffers, whether that was me and Mary O'Connor or another
13		staffer and Mary O'Connor, always saw the documents for
14		reimbursement.
15	Jeff Brown:	Were there any policies and procedures in place in the office relating
16		to staffer travel to the District?
17	Former Deputy C.O.S.:	No, not officially.
18	Jeff Brown:	Okay. Unofficially?
19	Former Deputy C.O.S.:	I wouldn't say unofficial. I mean, other than "You need to go to the
20		District, go to the District for these meetings."
21	Jeff Brown:	Yeah.
22	Paul Solis:	Your policy that you just talked about of having at least a couple
23		staffers look over reimbursement request documents, that also applied
24		to Mr. Schwab's reimbursement requests?
25	Former Deputy C.O.S.:	Yes.
26	Paul Solis:	So he would have two independent people look over his documents?
27	Former Deputy C.O.S.:	I would always look at and Mary O'Connor would always look at it.
28	Paul Solis:	And when you talked about your policy, you sort of developed that
29		method or was that-
30	Former Deputy C.O.S.:	That was my ... yeah, that was my method.
31	Paul Solis:	Okay. And Mr.-
32	Former Deputy C.O.S.:	For reimbursements.

1 Paul Solis: And Mr. Schwab sort of acquiesced or agreed to that-

2 Former Deputy C.O.S.: Yeah.

3 Paul Solis: That method?

4 Former Deputy C.O.S.: Yep.

5 Jeff Brown: Would you and/or Mary have been raising any issues that you did see?

6 Former Deputy C.O.S.: Yes.

7 Jeff Brown: Okay. Do you know if either yourself or Mary ever raised any issues
8 with any MRA-related disbursements?

9 Former Deputy C.O.S.: The only two I can think of were a Dyson fan for Oliver's office and
10 the standing desks, both of which Mary said "If these get kicked back,
11 you need to pay out of pocket." And that's it.

12 Paul Solis: And this policy, again, you have of two independent staffers looking at
13 the documents, how long was that in place?

14 Former Deputy C.O.S.: For the entire time that I was signing the budget documents.

15 Paul Solis: How long were you signing budget documents?

16 Former Deputy C.O.S.: I believe December of 2014.

17 Paul Solis: Until the end of your-

18 Former Deputy C.O.S.: Yeah.

19 Paul Solis: - employment?

20 Former Deputy C.O.S.: Until I left the office on the Monday before Thanksgiving of 2016.

21 Paul Solis: Why didn't Mr. Schwab, as chief, take on the budget responsibilities?
22 Was that a decision by-

23 Former Deputy C.O.S.: I asked to take on the budget responsibilities. At one point in time, he
24 said "The budget's killing me," and I said "I'll do the budget." I wanted
25 the experience.

26 Paul Solis: And this is with Mr. Schwab, you had this conversation?

27 Former Deputy C.O.S.: Yes.

28 Paul Solis: Okay.

29 Jeff Brown: When staffers travel out to the District Office, is there a hotel that they
30 would ordinarily stay in?

Former Deputy C.O.S.: I almost always stayed at the Scottsdale Plaza because it was pretty cheap and it's close to the District, or close to the District Office. Oliver almost always stayed at this okay Hilton Garden Inn. I'd stayed there once I think, and I did not care for it.

Jeff Brown: Are both of those hotels close to the District Office?

Former Deputy C.O.S.: Yes, within 10 minutes I think.

Jeff Brown: Would you need to rent a car in order to get from the closest hotel to the District Office?

Former Deputy C.O.S.: Oh, I don't think there's any hotel close enough to the District Office that you could just walk to.

Jeff Brown: So it was standard practice, if anyone was traveling to the District, to rent a car?

Former Deputy C.O.S.: Yes.

Jeff Brown: Can you walk us through who the primary staffers are out in the District?

Former Deputy C.O.S.: Kevin Knight, Ernestina Borquez-Smith are the only two that I know of that are still there.

Jeff Brown: Can you tell us a little bit about their roles?

Former Deputy C.O.S.: Kevin is ... I like to call him the heart, David's heart. He's a former legislative ... or, sorry. He's a former Minnesota Congress ... State House Representative. He takes the hard meetings in the District, you know, the meetings that most people end up crying in. Ernestina is the ... I don't mean like ... I mean like the heartstring meetings, the ALSs, the Dreamer kids, those types of meeting where it's ... you want to be able to be helpful. Kevin does a better job, or at least in the District, does a really good job at interacting with them. Ernestina, she is a bit of a catchall in the District. She also is the head case worker. In my opinion, there's no one better.

Jeff Brown: What sort of relationship does Oliver have with Kevin Knight?

Former Deputy C.O.S.: I would assume he has a good relationship. We were all very close for almost six years.

Jeff Brown: You said Kevin is a bit of a mentor to you-

Former Deputy C.O.S.: Yes.

1 Jeff Brown: Can you just elaborate on how that relationship developed?

2 Former Deputy C.O.S.: Kevin and I started ... My very first trip out to the District, which ... I
3 cannot remember if it was in 2011 or 2012, but I was there for an
4 entire week. Kevin just kind of sat down and said "Here's how my life
5 has been. I started as a salesman and ran for politics. Came here to
6 retire, got with David. Here are the conservative things that I believe
7 and how you should live your life," and I went to church with Kevin
8 when I was down in the District. He's an older guy who has a good
9 head on his shoulders.

10 Jeff Brown: When you would travel to and from the District, how does one keep
11 track of expenses?

12 Former Deputy C.O.S.: Receipts.

13 Jeff Brown: And how does a staffer go about getting reimbursed for their expenses
14 in the District?

15 Former Deputy C.O.S.: Standard House expense report form.

16 Jeff Brown: Who do those flow through in Representative Schweikert's office?

17 Former Deputy C.O.S.: If it was me traveling, I would show Oliver and one other staffer and
18 Mary O'Connor. If it was another staffer, they'd go to Oliver then to
19 me and then to Mary O'Connor.

20 Jeff Brown: Were there any office policies or procedures or guidelines on amount
21 of money that could be spent on accommodation, travel?

22 Former Deputy C.O.S.: Try to keep it as ... Wherever you're staying, try to get a government
23 rate, cheaper than $150 a night. Get the cheapest car you can find
24 within $4 or $5. For me and the instructions that I gave to my staff was
25 if your meals are office-related, you can reimburse them. If you're
26 going to go out and have drinks and just have a good time, then no.

27 Jeff Brown: Switching gears a little bit, office supplies. If you're a staffer in
28 Representative Schweikert's office and you need office supplies, how
29 do you go about procuring those office supplies?

30 Former Deputy C.O.S.: Well, if it's an immediate need, typically would use the office supply
31 store in the House. Some of those items are really expensive. If it was
32 power cords and things like that, you'd order them on Amazon usually,
33 for me and the other staffers. Anything that you couldn't get in the
34 office ... Anything that you couldn't get in the supply store or was
35 exuberantly expensive in there, it would go through Amazon.

1	Jeff Brown:	Is there an individual in the office who's primarily responsible for
2		ensuring that the office has all the office supplies that it needs?
3	Former Deputy C.O.S.:	I would say for the most part, staff would say "I need to go downstairs
4		and get pens," or "I need this." Most of the Amazon supply purchases,
5		I would say, directly from the lower level staff, would come through
6		me.
7	Jeff Brown:	Is there any sort of office policy or procedure on office supplies?
8	Former Deputy C.O.S.:	You know, not ... Be reasonable.
9	Jeff Brown:	Does Representative Schweikert have any involvement in-
10	Former Deputy C.O.S.:	No.
11	Jeff Brown:	... the office supply purchases?
12	Former Deputy C.O.S.:	None.
13	Jeff Brown:	Switching gears again, I'd like to talk a little bit about education. Are
14		staffers in Representative Schweikert's office reimbursed for
15		educational coursework of any kind?
16	Former Deputy C.O.S.:	Yes. I mean, they paid for ... The House reimbursed my education for
17		my federal student loans.
18	Jeff Brown:	How about outside of repayment for student loans? Are individuals
19		permitted to take coursework while they're staffers?
20	Former Deputy C.O.S.:	No one during my time there did that. I don't ... that I ever remember
21		signing a reimbursement for.
22	Jeff Brown:	Okay. Are you familiar, excuse me, with the Naval War College?
23	Former Deputy C.O.S.:	I am.
24	Jeff Brown:	It seems to be a popular place for folks in Representative Schweikert's
25		office to take courses. Can you elaborate on that?
26	Former Deputy C.O.S.:	I believe that Katherina Dimenstein and Ryan White were both trying
27		to get master's degrees from there. As Congressional staffers, I
28		believe ... I was under the impression it was free.
29	Paul Solis:	Why do you have that impression?
30	Former Deputy C.O.S.:	That's what I was told by them, and I had no reason not to believe
31		them. I've heard lots of people talk about the Naval War College for
32		the most part being free for Congressional staffers.

1	Jeff Brown:	Do you know if their courses are online or in person?
2	Former Deputy C.O.S.:	No idea.
3	Paul Solis:	Do you recall Oliver saying that he took courses there?
4	Former Deputy C.O.S.:	I don't think so.
5	Paul Solis:	Or did anybody talk about Oliver taking courses there?
6	Former Deputy C.O.S.:	I don't think so.
7 8	Jeff Brown:	I'm going to hand you just a couple documents and feel free to flip through them -
9	Former Deputy C.O.S.:	Okay.
10	Jeff Brown:	I really just have a couple very brief questions -
11	Former Deputy C.O.S.:	Okay.
12	Paul Solis:	And, you know, he's not the author of these-
13	Jeff Brown:	Correct.
14 15 16	Paul Solis:	... documents. You didn't create the documents, at least as far as we understand it. It's just more of a refresher to get your eyes on an item on a piece of paper that maybe you can help answer Jeff's questions.
17	Former Deputy C.O.S.:	Okay.
18	Jeff Brown:	Can you just generally describe for me what this document is?
19 20	Former Deputy C.O.S.:	It looks like a current commercial reimbursement for travel. And it looks like they're almost all flights. Yeah.
21 22 23	Jeff Brown:	I should say for the record too, the Bates range on this document is CAO_0005 through 10. On the first page, so CAO_0005, is that Representative Schweikert's signature?
24	Former Deputy C.O.S.:	No.
25	Jeff Brown:	Whose signature is that?
26	Former Deputy C.O.S.:	That's mine.
27 28	Jeff Brown:	I'm handing you one other document. This is CAO_0011 through 26. Same question, just what is this?

1	Former Deputy C.O.S.:	It looks like a reimbursement for a trip. I'm not sure what the
2		telecom ... I don't remember the telecom one was for, but the rest of it
3		looks to be, time frame, maybe the Super Bowl trip.
4	Jeff Brown:	Is that your signature on the first page of this document?
5	Former Deputy C.O.S.:	Yes.
6	Jeff Brown:	And just one final document here. This is CAO_0062 through 76.
7		What is this document?
8	Former Deputy C.O.S.:	It looks like office supplies reimbursement.
9	Jeff Brown:	Okay. Whose signatures are those on the front, if you're aware?
10	Former Deputy C.O.S.:	That's Ashley Sylvester's. That one, I don't know.
11	Paul Solis:	It looks like it possibly could be ... the first name there could be David.
12		Under it is member/chairman/officer/other approver's signature. Do
13		you recall if that looks like Representative Schweikert's signature?
14	Former Deputy C.O.S.:	I don't think so.
15	Paul Solis:	Okay.
16	Jeff Brown:	Is Representative Schweikert generally or ever involved in signing
17		these sorts of reimbursement forms?
18	Former Deputy C.O.S.:	No.
19	Jeff Brown:	Okay. When there is a document that calls for a
20		member/chairman/officer/other approver's signature, who is generally
21		signing those documents?
22	Former Deputy C.O.S.:	Often it was me, as in the case of these first two, with two other people
23		viewing it before I would sign it. But this one, I don't what the ... I
24		don't remember seeing that one.
25	Jeff Brown:	Does representative Schweikert keep a stamp-
26	Former Deputy C.O.S.:	Yes.
27	Jeff Brown:	... of his signature? Okay.
28	Former Deputy C.O.S.:	Yes.
29	Jeff Brown:	And what is that stamp used for?

1 Former Deputy C.O.S.: I don't recall anyone ever stamping a reimbursement. It's usually for
2 like signing letters to other members. It is not his signature on that, on
3 that stamp though.

4 Jeff Brown: I want to make sure that I understand this. For MRA-related
5 disbursements, Representative Schweikert is generally not a signatory
6 to any of those documents?

7 Former Deputy C.O.S.: Yes, correct.

8 Jeff Brown: With these documents in front of you, can you help again just ... I
9 know we've talked about this, but if you can walk us through the
10 process by which somebody is going to get reimbursed for office
11 supplies or travel ... Can you walk us through that with reference to
12 these documents? How does the process work in Representative
13 Schweikert's office?

14 Former Deputy C.O.S.: It looks like this is the government card, so ... Actually, I don't know if
15 it is or if it's his card. It's been a long time. But typically, you would
16 printout the, at least at the time ... And this may have been the one that
17 kind of triggered my whole receipt thing. I would only do receipts if
18 they had printouts of the flights. But, if I remember correctly, but
19 you'd submit your flights. This is a little out of the typical norm as far
20 as what the … of the signature.

21 Jeff Brown: Just for the record, you're referring to the document-

22 Former Deputy C.O.S.: 005.

23 Jeff Brown: Thank you.

24 Former Deputy C.O.S.: But it looks like they're all flights to and from Phoenix. It could be
25 member travel or David travel or both of them together. Since it looks
26 like it is all flights, that may have been why. Everything else, you
27 would provide Amazon printouts, receipts of what you ordered to be
28 reimbursed. We'd go through those, and if they were office
29 appropriate, you would sign them.

30 Jeff Brown: And then after these documents were signed and they're compiled with
31 receipts, where do these documents go?

32 Former Deputy C.O.S.: I kept a bunch in a filing cabinet in my desk. Anything that I signed, I
33 kept for myself a copy. I turned those back in when I left.

34 Jeff Brown: Aside from the copy that you kept, where would the originals go?

1	Former Deputy C.O.S.:	Well, so the originals would be turned in by Mary O'Connor. I thought
2		they were copies coming back to me but they may have been the
3		originals that come back after she processes them.
4	Paul Solis:	But where is she taking them to?
5	Former Deputy C.O.S.:	Oh, to just the reimbursement ... the-
6	Paul Solis:	So House -
7	Former Deputy C.O.S.:	The House-
8	Paul Solis:	[inaudible crosstalk 00:48:04]-
9	Former Deputy C.O.S.:	Yes.
10	Jeff Brown:	Did Mary have an official title in the office?
11	Former Deputy C.O.S.:	I don't remember what her official title was, but she is a
12		reimbursement contractor essentially and works for several offices.
13	Jeff Brown:	If you had to describe what Mary did on day-to-day basis, how would
14		you describe that?
15	Former Deputy C.O.S.:	She would come in. She'd most … pay the bills, anything that we had
16		that came in, any sort of bills that she had pay ... that needed to be
17		paid. She would pay the bills. If we have any reimbursements, she
18		would look over the reimbursements with me and then process them.
19	Paul Solis:	You want to ask about ... He mentioned a Super Bowl trip.
20	Jeff Brown:	I do want to ask you about that.
21	Former Deputy C.O.S.:	I figured you would.
22	Jeff Brown:	What can you tell us about that trip?
23	Former Deputy C.O.S.:	I was-
24	Paul Solis:	First of all, you called it the Super Bowl trip, so why do you call it the
25		Super-
26	Former Deputy C.O.S.:	Because it was the weekend of the Phoenix Open and the Super Bowl.
27		It was also the Super Bowl ... They called it the Super Bowl trip in that
28		article.
29	Paul Solis:	Okay.
30	Jeff Brown:	And by the article, you are referring to-
31	Former Deputy C.O.S.:	The Examiner article.

1 Jeff Brown: Thank you. What can you tell us ... Well, I should actually ... When
2 did you first read the Examiner article?

3 Former Deputy C.O.S.: It was kind of interesting. I started getting texts and phone calls, "Let's
4 do lunch," on November 5th from former staffers or other people on
5 the Hill. I was like "Okay, let's do lunch." Then finally one of the
6 former staffers said "I can't believe you went to the press on this," and
7 I was like ... I had no idea that this article was coming out, and I did
8 not talk to the press so I was just as surprised as anyone else on that.
9 But when I read that, I assumed at some point you all would be calling
10 me.

11 Jeff Brown: Aside from what you've read in the Examiner article, what first-hand
12 knowledge do you have about Mr. Schwab's trip to Phoenix?

13 Former Deputy C.O.S.: As far as I know, it was a mix-use trip with probably I would say more
14 official business than unofficial. He did go to the actual Super Bowl
15 but because of the two week weekend … or the weekend that was
16 there, it was both the Phoenix Open and the Super Bowl. I remember
17 at one point in time, he told me everything that he was doing that was
18 officially related. And I … as lodging if I remember correctly, it was
19 the standard Hilton that he had already stayed … always stayed in. So
20 other than the cost which as someone who had just done the budget
21 was really frustrated with, but I had no reason to believe that he had
22 gone off the reservation on that trip as far as ethics is concerned.

23 Jeff Brown: What sort of official activities do you recall him telling you that he
24 was doing?

25 Former Deputy C.O.S.: I think and again this is two years … two years now, no three years. I
26 know he was taking office meetings. I know he was in the office really
27 early every day he was down there and working out of the District
28 office. I believe he took some site tours and some other things. And,
29 you know, he also went to the Super Bowl and I believe that was at
30 least one fundraiser that was down there. No, I think ... a McCarthy
31 fundraiser I think.

32 Jeff Brown: Let's take some of that one at a time. How would you be aware of
33 what official activities he was doing aside from him telling you?

34 Former Deputy C.O.S.: That would have been it.

35 Paul Solis: When you said he was in the office early, and do you know that by
36 emails he was sending or by phone calls he was making?

1	Former Deputy C.O.S.:	I believe he did call me once from the office number and I just … I
2		vaguely remember him saying … I asked him, "Why are you calling
3		me from the District office this early," and he's, "I'm working."
4	Paul Solis:	Okay. And you said he was in the office every day he was there during
5		that trip?
6	Former Deputy C.O.S.:	I actually don't know that for sure. I would … he claimed to be in the
7		office every day and he never gave me a reason to believe when he
8		was in the office that he wasn't in the office, that he said that he was in
9		the office that he wasn't in the office.
10	Jeff Brown:	Let's break some of this down. You said he attended the Super Bowl.
11		How do you know that?
12	Former Deputy C.O.S.:	There were pictures on Facebook and he told me he was going to go to
13		the Super Bowl.
14	Jeff Brown:	Okay. Do you know if he attended the Super Bowl with anyone?
15	Former Deputy C.O.S.:	His wife and David.
16	Jeff Brown:	Are you aware-
17	Paul Solis:	And that's Representative Schweikert?
18	Former Deputy C.O.S.:	Yes, sorry.
19	Jeff Brown:	Are you aware of any other activities that he partook in on Super Bowl
20		Sunday?
21	Former Deputy C.O.S.:	No.
22	Jeff Brown:	You mentioned the Phoenix Open. Did Oliver attend the Phoenix
23		Open?
24	Former Deputy C.O.S.:	I do not know.
25	Jeff Brown:	Okay. Is the Phoenix Open an event that Representative Schweikert or
26		other staffers have attended in the past?
27	Former Deputy C.O.S.:	I don't know.
28	Jeff Brown:	Aside from the Super Bowl, what other-
29	Former Deputy C.O.S.:	I will say this about the Phoenix Open. I do know that that particular
30		time of year is … or with the Phoenix Open, every year has been, you
31		know, you go out there, you take meetings, you show people around
32		the District because it is a busy … lots of people fly in and want to talk

1 2		to the member that represents. But I don't know if they ever attended the actual event.
3	Jeff Brown:	And are those events campaign related or official?
4 5 6	Former Deputy C.O.S.:	I am unaware of any campaign-related events to the Phoenix Open. I would … the ones that I can think of are site tours and things like that, typical official-type business.
7 8	Jeff Brown:	Aside from the Super Bowl, what other activities do you know that Oliver Schwab attended during that period?
9	Former Deputy C.O.S.:	I don't remember.
10 11	Jeff Brown:	You said that you saw photos on Facebook. Do you remember seeing any photos besides Super Bowl pictures?
12 13 14	Former Deputy C.O.S.:	I don't remember any other about the Super Bowl pictures … other than the Super Bowl pictures, and I am no longer friends with Oliver on Facebook.
15 16	Jeff Brown:	You mentioned his wife was out in Arizona at the time. How do you know that?
17 18	Former Deputy C.O.S.:	I think she was in there in the pictures with him. I'm not sure they were married yet. I don't remember exactly when they got married.
19 20 21	Jeff Brown:	Did staffers in Representative Schweikert's office, when they traveled to the District, was it common or did it ever happen that they would travel with significant others?
22	Former Deputy C.O.S.:	I don't-
23 24	Jeff Brown:	And I don't mean to suggest that official funds would be used to pay but-
25	Former Deputy C.O.S.:	No, I don't think so. Not typically. I-
26	Jeff Brown:	So-
27	Former Deputy C.O.S.:	- I never went with my wife the entire time I was with David.
28 29 30	Jeff Brown:	So, aside from what we'll call the Super Bowl trip in 2015, you're not aware of Oliver's wife ever being out in the District when he was there for either official or campaign-related business?
31 32 33	Former Deputy C.O.S.:	I think there were times that she was working for the NRCC where she would be out there … she was an employee of the NRCC at one point in time in that region. So, she may have been out there with him. I

	don't remember the exact timeframe and there was a period where she was unemployed, so she may have been out with him. I don't remember any specific times.
Paul Solis:	Were you the one who looked at reimbursement receipts after that trip?
Former Deputy C.O.S.:	I was.
Paul Solis:	Did you notice anything you felt to be not official or did you reject any requests for reimbursement?
Former Deputy C.O.S.:	No, other than I can't believe you just busted a hole in my budget, but no.
Paul Solis:	So, you felt that the costs were high?
Former Deputy C.O.S.:	Yeah, but not unreasonable based on the weekend that it was there and looking at some of the … I did … I don't remember if I looked before or after for hotels on my own. I just remember it being really expensive to go out to Scottsdale that weekend.
Paul Solis:	Okay. Did … were there any reimbursement requests for Mr. Schwab's wife in any capacity?
Former Deputy C.O.S.:	No, not that I know of.
Jeff Brown:	How about family members? His mother or his brother?
Former Deputy C.O.S.:	Out to the District for reimbursements, no. I can't say for certain whether or not there was any time that they were out there with him. I'd never processed any reimbursements for them.
Jeff Brown:	If Mr. Schwab indicated on a reimbursement that he was having a meal with constituents, would you ask questions about who those constituents were?
Former Deputy C.O.S.:	Not typically. He didn't … no, not typically.
Paul Solis:	You mentioned something about a McCarthy fundraiser that weekend if I recall.
Former Deputy C.O.S.:	I think there was an NRCC fundraiser at some point in time over the course of that weekend that McCarthy was there.
Paul Solis:	And that's because you … well, how would you become aware of that or how did you become aware of that?

1 Former Deputy C.O.S.: I mean Kevin McCarthy was in the photos I think of the Super Bowl at
2 some point in time and there was a lot of chatter in the office. It was
3 common knowledge on the Hill that they were going to have an event
4 out in Phoenix for the NRCC that weekend.

5 Jeff Brown: You mentioned Oliver expressing some frustration over the Kevin
6 McCarthy related fundraiser. Can you elaborate on that?

7 Former Deputy C.O.S.: It ended up not being a fundraiser and actually now that I think about
8 it, the Friday before the second incident which would have been in
9 October of 2016, where Oliver yelled at me for an hour and a half on
10 the phone, Kevin McCarthy air-dropped a, you know, there were some
11 … they were doing one thing and Kevin McCarthy called and said, "I
12 want to do a Valley Fever event instead." And in two days we put
13 together a Valley Fever event.

14 Jeff Brown: What's a Valley Fever-

15 Former Deputy C.O.S.: I'm sorry, Valley Fever is a fungus that is common in Arizona and
16 Southern California that kills people. It's a fairly … it's very common.
17 Pretty much everyone gets it but it can basically cause you to rot from
18 the inside out if you get a severe case of it. There's not a lot of studies
19 done. David and Kevin McCarthy joined together to do … to start the
20 Valley Fever caucus and work towards, you know, trying to get the
21 NIH to fund a cure. There was no … as far as my awareness was
22 concerned, there was never a fundraiser, any sort of campaign aspect
23 to the Valley Fever caucus or any Valley Fever event.

24 Jeff Brown: Before we leave this topic, are you aware of Oliver Schwab and his
25 wife attending a basketball game of any kind over Super Bowl
26 weekend?

27 Former Deputy C.O.S.: No.

28 Paul Solis: You mentioned, that same weekend, we asked you about the McCarthy
29 event, you said there was discussions in the office about an NRCC ...

30 Former Deputy C.O.S.: No, not within the office. It was just on the hill, people knew there was
31 going to be an NRCC event.

32 Paul Solis: That Superbowl weekend?

33 Former Deputy C.O.S.: Yep.

34 Paul Solis: And you saw, did you see pictures of that event?

35 Former Deputy C.O.S.: Not of the event, I just saw pictures of Kevin McCarthy there.

1 Paul Solis: Okay. Do you know if Representative Schweikert, or Oliver Schwab ...
2 Do you whether they attended this event?

3 Former Deputy C.O.S.: I would assume they did, I do not know for certain.

4 Paul Solis: Why would you assume that they would?

5 Former Deputy C.O.S.: It's the leader, if the majority leader was there doing a fundraising
6 event, David and Kevin McCarthy are close. I would make the
7 assumption that he was there if there was an event. I almost guarantee
8 you that I would be right.

9 Paul Solis: And the same would go for Oliver's attendance?

10 Former Deputy C.O.S.: If David was going to an event and Oliver was in the district, then
11 Oliver would also attend the event.

12 Jeff Brown: We've talked about this, but I want to ask it again. Do you have any
13 concerns about any sort of office supply purchases that Oliver made
14 during his tenure as Chief of Staff?

15 Former Deputy C.O.S.: Not directly, and there was nothing ... Oliver and I got into it multiple
16 times over the Dyson fan that he bought for the back office, which was
17 reimbursed. It did go through Office of Payroll, Mary I believe flagged
18 it and said, "Is this okay?" I don't remember what it was, but I brought
19 that up regularly, about how I thought that was a waste of money. And
20 he did not appreciate that, but I continued to rib him about it.

21 Other office supplies, I have no indication that he bought anything that he used at home, or didn't
22 bring anything into our office or the Arizona office.

23 Jeff Brown: Did staff use Apple products?

24 Former Deputy C.O.S.: Yes.

25 Jeff Brown: I'm going to show you two documents, CAO_0634 and CAO_0549.

26 Do the products listed on these pages, do these appear to be products that would've been used in
27 the office?

28 Former Deputy C.O.S.: Yes.

29 Jeff Brown: How would those have been used in the office?

30 Former Deputy C.O.S.: Oliver spoke almost exclusively on his Apple . . . sorry, on his iPhone,
31 and he would go through headphones like crazy. He would go through
32 them a lot, and so I would imagine that he got sick one day, or sick of
33 having the ones break and bought a whole bunch.

1 Jeff Brown: How about the digital adapters?

2 Former Deputy C.O.S.: I do not know about the HDMI or lightning adapters, but it would not
3 be out of the realm to want to use them to put them out of display, that
4 wouldn't flag my concern.

5 Jeff Brown: We've talked a little bit about education already. Are you aware of any
6 educational courses that Oliver took while Chief of Staff, for Rep.
7 Schweikert?

8 Former Deputy C.O.S.: I think he was doing a Harvard Business School enrichment. I don't
9 remember ever being asked to sign a reimbursement for that.

10 Jeff Brown: Besides Harvard, how about any other institutions?

11 Former Deputy C.O.S.: No.

12 Jeff Brown: Do you recall ever hearing anything about him taking coursework at
13 Stanford?

14 Former Deputy C.O.S.: No.

15 Jeff Brown: How about Johns Hopkins?

16 Former Deputy C.O.S.: No.

17 Jeff Brown: The Naval War College?

18 Former Deputy C.O.S.: No. Maybe the Naval War College, I don't remember him talking
19 much about it, but he may have mentioned something about wanting to
20 do coursework over there, or him talking about how it was a great
21 thing with Kat or Ryan.

22 Jeff Brown: If he was going to be reimbursed for coursework, who would've signed
23 the paperwork for those reimbursements?

24 Former Deputy C.O.S.: I would think it would have been me and Mary. Me with Mary looking
25 over. I don't remember ever seeing that.

26 Jeff Brown: Okay.

27 Are you familiar with the Casey Family Foundation?

28 Former Deputy C.O.S.: I don't think so.

29 Jeff Brown: Are you aware of Oliver's involvement in any sort of family
30 foundation?

Former Deputy C.O.S.: He does have a family foundation, I don't know ... I thought it was a Schwab family foundation, but if it's a Casey family foundation that's possible.

Jeff Brown: What do you know about that family foundation?

Former Deputy C.O.S.: That he's on the board, and that's about it.

Jeff Brown: What's the purpose of this foundation?

Former Deputy C.O.S.: I don't know. He and I didn't talk about it.

Well no … I want to bring something up which made the interactions that I had before I left his office so stunning. He knew that my wife was pregnant, my wife was considering leaving her job to stay home with our kids. I shared that information with him, told him we were looking at buying a new house, and he said, "My foundation could provide a bridge loan for you and your family if you needed to get your new house and didn't have time to sell your old." I found that to be nice but strange, and did not take him up on the offer.

Jeff Brown: Did you have any other discussions about what type of work the foundation did?

Former Deputy C.O.S.: No.

Jeff Brown: Do you know what his role was at the foundation?

Former Deputy C.O.S.: I really don't. I know it existed, and I'm sure we had conversations about it. I don't recall it being relevant, or significant.

Jeff Brown: So aside from how Oliver is compensated for his work as Chief of Staff on the Hill, aside from his compensation that he receives directly from House of Representatives, are you aware of any other income streams that Mr. Schwab has?

Former Deputy C.O.S.: No.

Paul Solis: That foundation, do you know if he's paid by the foundation?

Former Deputy C.O.S.: I do not know.

Jeff Brown: Does the company Chartwell Associates, or Chartwell LLC, does that mean anything to you?

Former Deputy C.O.S.: He has a company named Chartwell. I think at one point in time, he may have formed it when he did – when he ran David's Super PAC, that may have been the name he called it. He had it, but I'm unaware of

1		anything business-related that Chartwell ever did, other than ... In the
2		back of my mind, it sticks that it may have been the name of the
3		company that he did the Super PAC against Ben Quayle.
4	Jeff Brown:	Are you aware of any of the political consulting work that Oliver does
5		for Representative Schweikert?
6	Former Deputy C.O.S.:	No. I had very little to do with the campaign side.
7	Jeff Brown:	What do you know about the campaign work that Oliver does?
8	Former Deputy C.O.S.:	I would have never even considered Oliver to be a political consultant
9		for David based on my knowledge. Chris Baker, I always thought was
10		David's political consultant.
11	Jeff Brown:	Why do you say that?
12	Former Deputy C.O.S.:	'Cause David has referred to Chris Baker as his political consultant,
13		Oliver's referred to David as his political consultant.
14	Jeff Brown:	Why would you say that Oliver is not somebody that does political
15		consulting work for Representative Schweikert?
16	Former Deputy C.O.S.:	The relationship that he had with David as far as campaign, seemed to
17		have been as similar as the other office that I worked for, so it just
18		didn't seem like political consultant was what I would call him.
19	Jeff Brown:	Are you aware of whether or not Miss Schwab received any sort of
20		monies from the campaign committee or the pack?
21	Former Deputy C.O.S.:	I am not.
22	Paul Solis:	I had asked you this question earlier, whether or not Mr. Schwab did
23		work for Representative Schweikert's campaign committee, and you
24		did confirm that you knew that he did do work for the campaign
25		committee. You just didn't know whether or not he was compensated
26		for that work. Is that right?
27	Former Deputy C.O.S.:	I wouldn't have called him a political consultant, is what it comes
28		down to. I know he did campaign work, I wouldn't have called him a
29		political consultant. I am unaware of any third party relationship that
30		he has business-wise.
31	Paul Solis:	I just want to confirm with the work, and that word can be sort of
32		broad, but you do have knowledge that he provided services, or did do
33		work, for Representative Schweikert's campaign committee. Whether
34		it's on a political consultancy basis, that's a different question.

1	Former Deputy C.O.S.:	He raised money for David.
2	Paul Solis:	Right. Okay.
3 4	Jeff Brown:	Do you know if Oliver Schwab has any real estate or rental property investments of any kind?
5 6 7 8 9	Former Deputy C.O.S.:	I believe he has some sort of family compound thing in Michigan, and I believe he owns a house in, or he did at one point in time, own a house in Arlington or Alexandria or the one right across the way. At one time I know he was exploring rental properties but, I'm not aware of anything beyond those two properties.
10	Jeff Brown:	Have you ever heard of a company called Pinkham Management?
11	Former Deputy C.O.S.:	No.
12	Jeff Brown:	How about Allerton Property Management?
13	Former Deputy C.O.S.:	No.
14	Jeff Brown:	Health and Wellness Partners?
15	Former Deputy C.O.S.:	Nope.
16	Jeff Brown:	Marcos Lopez, ever heard of Marcos Lopez?
17	Former Deputy C.O.S.:	No.
18	Jeff Brown:	Jim Hamilton?
19	Former Deputy C.O.S.:	I have heard of Jim Hamilton.
20	Jeff Brown:	Who's Jim Hamilton?
21 22	Former Deputy C.O.S.:	I thought he did work for Uber, maybe. It may have been Uber, or Phoenix Raceway.
23 24	Jeff Brown:	Do you know if Oliver Schwab has any sort of income related to any of these rental properties or real estate investments?
25	Former Deputy C.O.S.:	No.
26 27	Jeff Brown:	How about the company, Bristol Consulting? Does that mean anything to you?
28	Former Deputy C.O.S.:	No.
29 30	Jeff Brown:	Are you aware of whether Oliver Schwab's wife has a consulting company of any kind?

1 Former Deputy C.O.S.: No.

2 Jeff Brown: What can you tell me about Oliver Schwab's wife being hired as a
3 temporary employee in Representative Schweikert's office?

4 Former Deputy C.O.S.: I don't ... I can't remember if they were married yet or just engaged. It
5 was to do payroll processing. I was not necessarily comfortable with
6 that arrangement, and told Oliver, counseled Oliver to get approval
7 from Ethics ... He claimed that Ethics said it was fine.

8 Jeff Brown: What made you uncomfortable?

9 Former Deputy C.O.S.: I mean, it's a relationship that processes money, and I was ... After he
10 got Ethics approval and confirmed that I would be signing any
11 reimbursement approvals, I said okay.

12 Paul Solis: Did you see a letter from the Ethics Committee, or any emails or any
13 documentation?

14 Former Deputy C.O.S.: I did not.

15 Paul Solis: It's just his statement to you, it was approved?

16 Former Deputy C.O.S.: Yes.

17 Jeff Brown: Were there ever any other instances that you're aware of that Oliver
18 would seek approval for any activities from the Committee on Ethics?

19 Former Deputy C.O.S.: He did, I mean, anything specific no, he did fairly regularly say that if
20 there's any question that you have on something you're doing, call
21 Ethics first.

22 Jeff Brown: Do you know if he sought Ethics Committee advice on anything
23 related to the Super Bowl trip?

24 Former Deputy C.O.S.: I do not.

25 Paul Solis: I just want to very briefly return to the question of Mr. Schwab's work
26 for Representative Schweikert's campaign committee. In the last series
27 of questions you said that he raised money for Representative
28 Schweikert in a sort of fundraising capacity? Aside from the
29 fundraising capacity, are you aware of any other work that he
30 performed for Representative Schweikert's campaign committee?

31 Former Deputy C.O.S.: No, I do not.

32 Paul Solis: Okay.

1 Former Deputy C.O.S.: That is the reason why I would qualify him, I would not have qualified
2 him as a political consultant.

3 Jeff Brown: Is there anything that we haven't talked about or haven't asked you
4 about that you think is relevant, in light of the things we've discussed
5 today?

6 Former Deputy C.O.S.: I have always thought that Oliver is pretty good with ethics with a
7 capital E. I had no reason to distrust him during my time with David
8 up until the very end, when he completely went off the rails. I would
9 say that Oliver was a close friend for the entire time that I worked with
10 David. He seemed to never want to actually step over the line. He
11 seemed to be very ... He had no desire to step over the line, and I had
12 no reason to believe that ... He never gave me any indication that he
13 would try to step over the line. In hindsight, I would have done things
14 differently, and if I ever go back to the Hill in a capacity as a chief or a
15 budget director for an office, I will do things differently, but it's
16 hindsight. At the time I had no reason not to trust David.

17 Jeff Brown: Specifically, what would you have done differently in Representative
18 Schweikert's office?

19 Former Deputy C.O.S.: I would have required more documentation for reimbursements, who
20 were you having dinner with, things like that. If for no other reason
21 than, it protects you as the employee.

22 Jeff Brown: Would it have been common practice for mixed purpose-related trips
23 to have consulted guidance from the Ethics Committee?

24 Former Deputy C.O.S.: I never had a mixed purpose trip. That's not true, I had one that was an
25 after-hours fundraiser with Lamar Smith. I was not required to attend,
26 I chose to attend and that was not the reason I went out, it just
27 happened to be at the same time. And so no, I didn't consult with them
28 because the reason I went out there was to staff a field hearing for the
29 house science space and technology committee on waters of the US.

30 Jeff Brown: Is there anyone else who you think it behoove the OCE to talk to about
31 things we discussed today?

32 Former Deputy C.O.S.: If you haven't talked to Kevin, then you should talk to Kevin. Mary
33 O'Connor, if you haven't talked to Mary O'Connor. April, and I don't
34 remember her last name.

35 Jeff Brown: Who is April?

1 Former Deputy C.O.S.: She was before Mary. She was our finance reimbursements person.
2 She was hired by Matt, not by Oliver, stayed after Matt left, and then
3 fired us after Oliver said he was leaving. The first time, when he
4 actually had paperwork, I just don't want to train anyone new because
5 she was maxed out on offices. I mean other than that, I don't think so.

6 Paul Solis: Just going back to the article in the Examiner, it discusses several
7 aspects of Oliver Schwab's time in the office and then his potential
8 work with the various political committees and campaign committee,
9 the office supply reimbursements and educational reimbursements. So
10 although we've talked a bit about this stuff and your knowledge of it, I
11 mean when you read this article, how much of it did you feel was
12 accurate and how much did you just have no awareness of, and what
13 was your response to it?

14 Former Deputy C.O.S.: The supplies, there were times I thought he was buying too many
15 supplies. Again Mary O'Connor to caution was doing the same thing,
16 slow down on the supplies, quit burning stuff.

17 Paul Solis: The article mentions $20,000 does that seem like a-

18 Former Deputy C.O.S.: Over the course of the entire ... Over the course of six years, that
19 seems like it could be possible.

20 Paul Solis: Okay. The $5,000 for the Super Bowl trip weekend, does that seem?

21 Former Deputy C.O.S.: Yeah, no I mean, I saw the reimbursement but I had no reason to think
22 that he misrepresented what he was doing out there to me.

23 Paul Solis: So that figure seems right?

24 Former Deputy C.O.S.: Mm-hmm (affirmative). Yeah.

25 Paul Solis: And you mentioned the hotel was expensive during that weekend. All
26 hotels in the area were expensive during that weekend. So $4,000 total,
27 you recall a number like that?

28 Former Deputy C.O.S.: Yeah, that seems about right.

29 Paul Solis: Okay. The article also talks about the potential mixed purpose nature
30 of the trip and that Mr. Schwab had given a statement to the Examiner
31 about tickets being paid for by the McCarthy Victory committee to the
32 golf tournament, potentially others, you know, you said that you were
33 aware of him being in the office and he was doing official work during
34 that time. Did the mixed purpose nature, that mixed purpose discussion
35 in the article make you think any way about the trip in hindsight?

Former Deputy C.O.S.: No. I mean, it looks bad. And in hindsight, maybe he shoulda gotten the campaign to pay for it but again, when you're on your own time, you're on your own time. I felt like Oliver probably spent the proper number of work hours actually doing official business while he was down there.

Paul Solis: Okay. And that's as-

Former Deputy C.O.S.: As I remember it.

Paul Solis: You're someone that reviewed the reimbursement requests after the fact. And we've asked you about his potential work with the campaign committee, or the Friends of Schweikert PAC and payments to Chartwell or directly to Mr. Schwab himself. You know the figures in the article are $164,000 from the various campaign committees of Representative Schweikert.

Does that number say anything to you? Do you recall any discussions you had with him?

Former Deputy C.O.S.: When I read the article, the supplies, the Super Bowl trip, my thoughts were those look bad, but I think they're fine. Just as my relationship with him, I didn't think anything of those based on the amount of work that I knew that he was doing and there were times he was not studious with supplies, it seemed like he made basically writing with a pen one time and then lose it and go have to buy new pens. I mean, that's kind of how…he's a very flighty guy when it comes to that type of stuff. I was shocked by the campaign stuff. I was unaware completely and I told that to Kate, Kate is my wife by the way, I told my wife I was very surprised by that.

Paul Solis: And then $7,000 or approximately $7,000 for these courses, Harvard, Stanford-

Former Deputy C.O.S.: I don't remember any of those.

Paul Solis: Okay. And is the person who was handling the budget at the time should have educational reimbursement be requested in the office, that would have come to you?

Former Deputy C.O.S.: It should have. I don't remember anyone requesting an educational reimbursement beyond the student loan repayment within the office.

Paul Solis: Has anyone from Representative Schweikert's office talked to you since this article's come out? About our review, about the OCEs review?

1	Former Deputy C.O.S.:	Only that Kevin and I, we talk regularly, he said that the office is in
2		investigating being investigated by the OCE, if they call, cooperate.
3	Jeff Brown:	When was that conversation?
4	Former Deputy C.O.S.:	Before Christmas, I think.
5	Paul Solis:	That was a telephone conversation?
6	Former Deputy C.O.S.:	Yep.
7	Paul Solis:	Anybody else? You ever hear from Representative Schweikert or any
8		of his lawyers about-
9	Former Deputy C.O.S.:	I have not talked to anyone. I have not talked physically over the
10		phone or face to face, or with any sort of email communication from
11		David since the Friday before Thanksgiving of 2016. And Kevin is the
12		only person who is currently employed in the office that I still
13		maintain contact with.
14	Paul Solis:	Is Mr. Schwab still employed with the office?
15	Former Deputy C.O.S.:	As far as I know.
16	Jeff Brown:	Do you know Tommy Leander?
17	Former Deputy C.O.S.:	I do.
18	Jeff Brown:	What was Tommy's role in the office?
19	Former Deputy C.O.S.:	Tommy was one of my L.A.s. He did standard L.A. work, mostly new
20		economy.
21	Paul Solis:	When's the last time you spoke with him?
22	Former Deputy C.O.S.:	The Friday before Thanksgiving.
23	Paul Solis:	2016?
24	Former Deputy C.O.S.:	2016.
25	Jeff Brown:	How about Ryan White?
26	Former Deputy C.O.S.:	I talk to Ryan very regularly. I talked to Ryan White today, not about
27		this. I had not told ... I'm also in contact with Kelly Roberson, in case
28		you asked that, neither of them know that I was coming in and doing
29		this. Both of them contacted me when the article ran and Ryan was the
30		one who tipped me off that there was an article.

1	Jeff Brown:	What sort of knowledge might Ryan or Kelly have about things that
2		are discussed in this article?
3	Former Deputy C.O.S.:	Ryan … Ryan would probably have a knowledge of the ins and outs of
4		reimbursement. Also, Kelly would have the knowledge of the ins and
5		outs of reimbursement, but-
6	Jeff Brown:	Why would they have knowledge?
7	Former Deputy C.O.S.:	Standard operating procedure for reimbursements.
8	Jeff Brown:	Were they ever signing anything?
9	Former Deputy C.O.S.:	I am certain that at some point in time I asked Ryan to review one of
10		my reimbursements and probably Kelly too, because I would never
11		sign my own. And there may been times that they signed with my
12		supervision and Mary O'Connor's supervision.
13	Jeff Brown	I just want to make sure, you didn't have ... When you read the article
14		you said you were surprised by the amounts of money Oliver Schwab
15		was making from the campaign and the political action committees.
16		Did you have any insight into that at any point in time while you were
17		working for the Congressman?
18	Former Deputy C.O.S.:	No. That was the main reason why I was so taken aback when we had
19		our meeting and he said you're going to be judged on campaign
20		donations because I had done basically zero campaigning for David.
21	Jeff Brown:	Did you see Oliver Schwab working on campaign-related issues?
22	Former Deputy C.O.S.:	In the office, he may have stamped envelopes but I don't think he
23		made any fundraising phone calls within the office.
24	Jeff Brown:	Do you have anything else?
25	Paul Solis:	Think that's it. Obviously, we'd like you to keep this … our questions
26		and our time here confidential. That does not inhibit your rights to
27		speak with counsel or any other rights you may have so, we just
28		always like to remind people of that.
29	Former Deputy C.O.S.:	I really want this just to be ... I want to be done with them and the
30		office. We did not end on good terms. We ended on very bad terms. I
31		want to make that aware, make you all aware that, that we ended on
32		very bad terms, but as far as . . . I am very aware of the
33		reimbursements and how the system worked. He never gave me any
34		indication that he was doing anything that was not kosher at the time,

1 2		and I have no recollection of any of the campaign related activities beyond him being a fundraiser.
3 4	Jeff Brown:	One more person I'd like to ask you about. Kyle Souza. Who's Kyle Souza?
5 6	Former Deputy C.O.S.:	Kyle Souza was David's … or he was our scheduler for a while. He now works for Audible.
7	Jeff Brown:	Did he play any role with either the campaign committee or PAC?
8 9 10	Former Deputy C.O.S.:	I don't know. Other than … as a scheduler, he probably put fundraising stuff on David's schedule, but as far as any campaign role, I don't know. I do know that Kyle's dad was a donor to David, but that's it.
11	Jeff Brown:	Do you know if Kyle was in Phoenix for Super Bowl weekend 2015?
12	Former Deputy C.O.S.:	I don't remember.
13	Jeff Brown:	Would it have been likely or unlikely that he would have been-
14 15 16 17	Former Deputy C.O.S.:	It's possible. I cannot remember when he became scheduler, and his, to be honest his parents live out there, so it's possible. He was working out of the district at one point in time and then moved up here to work out of the DC office. I don't remember what that time frame is.
18 19	Jeff Brown:	How much information would Kyle have about any of the stuff that we discussed today or anything that was in the article?
20	Former Deputy C.O.S.:	I don't think much. I don't think so.
21 22 23	Jeff Brown:	Well thank you very much for your time, "Former Deputy Chief of Staff". We appreciate you coming in. So with that, we will end the recording.

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

ERRATA SHEET

Page	Line	Correction	Reason
		None	[redacted]

This errata sheet is submitted subject to 18 U.S.C. § 1001 (commonly known as the False Statements Act).

Witness Name: [redacted]

Witness Signature: [redacted]

Date: 4/5/18

EXHIBIT 4

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

Transcript of Interview of
"Former Deputy Chief of Staff"

February 8, 2018

COE.SCHWEIKERT.004924

18-2234_0126

Jeff Brown: We'll get started. Alright, this is Jeff Brown with the Office of Congressional Ethics. With me is Paul Solis and Ashley Williams. It is February 8th, 2018. It is 10:53am. "Former Deputy Chief of Staff" has been previously provided with a copy of the False Statements Warning and reminded that it applies here today.

"Former Deputy Chief of Staff", the first thing that we want to circle up on is did Oliver Schwab ever loan any monies to Representative Schweikert or his campaign?

Former Deputy C.O.S.: That I know of for sure, no. He did occasionally complain that David owed him money on his credit card. I don't know specific details beyond that.

Jeff Brown: Complaints. How frequently were these sorts of complaints?

Former Deputy C.O.S.: Every so often. Maybe every couple months, two or three months. Mostly it was when Oliver was worked up and angry at David, he'd let it slip.

Jeff Brown: Was there ever any discussion about the amount of money that was owed?

Former Deputy C.O.S.: Sometimes . . . Rarely did he bring up the money. I do remember a couple of times where he brought up something in the excess of $30,000.

Jeff Brown: Okay. Can you tell us more about these conversations or these -- what it sounds like might have been sort of angry discussions about-

Former Deputy C.O.S.: Really not a lot. I don't have a lot of detail other than he would just go on rants and occasionally while he was ranting about how much he hated David, he'd bring up, "David owes me $30,000 for money that I put on my credit card." That's basically the extent of what-

Jeff Brown: Do you know if it was money for personal expenses, for campaign expenses?

Former Deputy C.O.S.: I'm unaware.

Jeff Brown: Okay. Do you know when these expenditures would have occurred? Would they have occurred in 2016? 2011? Anywhere in between?

Former Deputy C.O.S.: I don't think the first time he ever said anything about it was until after he came back from the Quayle campaign, as running the super PAC against Ben Quayle. So, it would have been 2013 at the earliest and definitely through the last two years that I was there.

1	Jeff Brown:	Okay, so that would have been probably end of 2012, early 2013
2		would have been the first time that you would have ever heard from
3		Oliver on this subject?
4	Former Deputy C.O.S.:	Yeah.
5	Jeff Brown:	And those comments or, sort of tirades as you described them, where
6		he would talk about this money would continue up through the time
7		you left the office?
8	Former Deputy C.O.S.:	Yes.
9	Jeff Brown:	Okay. Did Oliver Schwab ever give Representative Schweikert any
10		frequent flyer points to travel on?
11	Former Deputy C.O.S.:	I'm unaware.
12	Jeff Brown:	Okay. Did you ever hear of any discussions in the office about Oliver
13		donating frequent flyer miles to Representative Schweikert or his wife
14		to travel overseas?
15	Former Deputy C.O.S.:	There may have been one or two times that Oliver in a haste may have
16		mentioned something about frequent flyer miles and getting Joyce on a
17		trip. I don't know where they came from or if they were his.
18	Jeff Brown:	Okay. And Joyce is Representative Schweikert's wife?
19	Former Deputy C.O.S.:	Yes.
20	Jeff Brown:	Okay. So just sort of to summarize, it sounds like you heard at least
21		some conversations or ... well, I should ask, were these ... you
22		generally heard these grumblings from Oliver Schwab about being
23		owed money?
24	Former Deputy C.O.S.:	Yes.
25	Jeff Brown:	Did you ever hear or discuss these issues with anybody else on staff?
26	Former Deputy C.O.S.:	I don't believe so.
27	Jeff Brown:	Okay. So just to summarize, it sounds like you first heard Oliver
28		grumbling about being owed money sometime in or around early
29		2013, late 2012, and those complaints would periodically surface-
30	Former Deputy C.O.S.:	I don't remember if it was 2013. Definitely 2014, '15, '16.
31	Jeff Brown:	Okay. And the dollar figure that you recall is possibly $30,000?
32	Former Deputy C.O.S.:	Something in excess of that, yes.

1	Paul Solis:	Was Mr. White, was Ryan White at all present during any of those
2		conversations where Oliver brought up that amount of money?
3	Former Deputy C.O.S.:	I wouldn't be surprised by it.
4	Paul Solis:	I was wondering if you recall maybe a specific instance where you-
5	Former Deputy C.O.S.:	No. When Oliver went on a rant he'd rant in the whole office. Usually
6		it was just with me. But there were definitely occasions where he
7		would rant, and the whole office would hear it.
8	Paul Solis:	So other staffers would have had the occasion or would have had the
9		chance to hear him discuss the situation?
10	Former Deputy C.O.S.:	Certainly within the realm of possibility.
11	Paul Solis:	Okay.
12	Jeff Brown:	Anything else there?
13	Paul Solis:	No.
14	Jeff Brown:	Okay. When we last spoke you had described Oliver's role as a bit of a
15		dual hat role- Chief of Staff but also fundraiser for Representative
16		Schweikert. Is that correct?
17	Former Deputy C.O.S.:	Yes.
18	Jeff Brown:	And I think you indicated that Representative Schweikert was leaning
19		on Oliver to raise more money - perhaps for a senate campaign. Is that
20		right?
21	Former Deputy C.O.S.:	Yes.
22	Jeff Brown:	I think you suggested that this was weighing on Oliver. It was getting
23		to Oliver. Is that a fair characterization?
24	Former Deputy C.O.S.:	Yes.
25	Jeff Brown:	Okay. I think the thing we want to focus on here today is, how much
26		time did Oliver Schwab spend in the congressional office working on
27		either campaign or fundraising activities?
28	Former Deputy C.O.S.:	Actually in the office?
29	Jeff Brown:	Right.
30	Former Deputy C.O.S.:	I mean, I think he may have stuffed envelopes occasionally or stamped
31		envelopes.

1 Paul Solis: I want to be clear that Jeff's question is pertaining to the congressional
2 office.

3 Former Deputy C.O.S.: Yeah, yeah, in Cannon.

4 Paul Solis: Right.

5 Former Deputy C.O.S.: Beyond that I didn't listen to his phone calls. I would assume that he
6 occasionally ... well, I'm fairly certain that he definitely stamped
7 envelopes at least a few times.

8 Paul Solis: Why do you say that?

9 Former Deputy C.O.S.: Just 'cause I saw him putting stamps on stuff, stamps that I knew were
10 campaign related material to send out. But I wouldn't say that was any
11 large amount of time. It may have just been to use as ... there's a good
12 mail system on the Hill, so to stamp and then dump it.

13 Jeff Brown: Just to jog your memory here, did you ever see him making any
14 fundraising calls in the halls outside the office?

15 Former Deputy C.O.S.: I don't know if they were fundraising calls. He didn't have a lot of calls
16 outside the office, walking the halls.

17 Jeff Brown: Walking the halls of Cannon.

18 Former Deputy C.O.S.: Mm-hmm (affirmative).

19 Jeff Brown: Okay.

20 Former Deputy C.O.S.: Cannon and Longworth.

21 Jeff Brown: Did you ever see him write or send any campaign or fundraising-
22 related emails while he was in the house office complex?

23 Former Deputy C.O.S.: I do remember a few occasions where he said, "Look at this email that
24 I wrote", but I don't remember him actually writing it. I remember him
25 being in the office and me being in the office and seeing a fundraiser
26 type email and him, you know, bragging about the content. But I don't
27 remember seeing him write it.

28 Jeff Brown: Was he asking you to review it in any way?

29 Former Deputy C.O.S.: No.

30 Jeff Brown: Okay. Would he have asked others to review these sorts of things?

31 Former Deputy C.O.S.: Not in ... I don't think of my team. If he did, it may have been the
32 scheduler who used to sit next to him ... a scheduler, it could have been
33 any of them. But none of the team that I supervised.

Jeff Brown: Okay. Did you ever see him have any sort of campaign or fundraising related emails in the congressional office?

Former Deputy C.O.S.: I know he's had his personal email up, which I know he did a lot of campaign work through that personal email. But I don't know if he was writing them or sending them.

Paul Solis: He had his own laptop? His own personal laptop? Or was it an official piece of equipment?

Former Deputy C.O.S.: He had his own laptop at the office. I don't recall him using it that often.

Paul Solis: If there was an email that you saw, you mentioned this one instance of an email, were any other potential campaign related issues on his personal email? Would that have been done on this personal computer? Or would that have been done on an official computer?

Former Deputy C.O.S.: It could have been either. I don't remember.

Paul Solis: Okay.

Jeff Brown: Was Oliver Schwab ever absent from the congressional office in order to attend campaign or fundraising events off site?

Former Deputy C.O.S.: Like throughout the day or-

Jeff Brown: Right. So, during any given day or any work week, would he take off during the day in order to attend to fundraising or campaign-

Former Deputy C.O.S.: There were lunch events and after hours events but nothing that would fall outside of the core hours of the office.

Jeff Brown: Was there a difference in the amount of time that he was spending out of the office, off cycle versus on cycle, on a campaign cycle versus not on a campaign cycle?

Former Deputy C.O.S.: No, I wouldn't say there was much in the way of difference. He did keep fairly loose hours when we were in session versus out of session. But as far as on cycle/off cycle, no, I don't think it was much in the way of difference.

Jeff Brown: In advance of a primary election, would Oliver spend more or less time in D.C., or the District?

Former Deputy C.O.S.: We didn't have a tough primary since Quayle, and he wasn't part of the staff during the Quayle primary. I'm sure that he was probably out there more. I wouldn't say substantially more, prior to the primary.

1 Jeff Brown: And are you just referring to the ... You're not referring to the Quayle
2 primary?

3 Former Deputy C.O.S.: No, I'm referring to the normal every day cycles. Yeah.

4 Jeff Brown: Okay. Was he any more or less available, leading up to the primaries
5 or general elections?

6 Former Deputy C.O.S.: I never had any problems contacting him if I needed to contact him.

7 Jeff Brown: Just generally, what was Oliver's style as Chief of Staff? How hands-
8 on or hands-off was he?

9 Former Deputy C.O.S.: Fairly hands-off. I would say that the day to day runnings of the office
10 and the leg-shop fell to me. He and I talked regularly. We met with
11 David regularly. But as far as actually running the policy, informing
12 David ... That was my job.

13 Jeff Brown: Okay. In this dual hat role, who was Oliver working with, either in
14 D.C. or in Arizona on campaign issues?

15 Former Deputy C.O.S.: We had a primary D.C. fundraiser. The only two that I know were
16 Erica Crocker and McKenzie Smith was her maiden name. I don't
17 remember what her ... She recently got married. I can't remember what
18 her new name is, her new last name.

19 Jeff Brown: Those are D.C.-based?

20 Former Deputy C.O.S.: Those are D.C.-based. The only campaign person that I would say that
21 David worked with at Arizona was Chris Baker, but I would not say
22 that they had necessarily a good relationship.

23 Jeff Brown: Oliver and Chris Baker?

24 Former Deputy C.O.S.: And Chris Baker, yeah.

25 Jeff Brown: Okay. How frequently was Oliver in contact with any of these three
26 individuals in the House office?

27 Former Deputy C.O.S.: In the actual House office?

28 Jeff Brown: Right.

29 Former Deputy C.O.S.: I can't ... I think maybe once or twice a year Baker would come up
30 from Arizona, spend minimal time in the office. I think McKenzie may
31 have come up once. Erica more often, but Erica had a more friendly
32 relationship with the office as a whole. Mostly just to come up and
33 chat with the office.

34 Jeff Brown: Oliver got a bonus at the end of 2014. Do you know what that was for?

1 Former Deputy C.O.S.: Probably work. You know, merit bonus.

2 Jeff Brown: Do you know it was a merit bonus, or you're just speculating?

3 Former Deputy C.O.S.: I ...

4 Jeff Brown: Yeah.

5 Former Deputy C.O.S.: ... wouldn't imagine it was anything but a merit bonus.

6 Jeff Brown: Did any official side staffers that you worked with ... Did any of them
7 have any role on the campaign, aside from Oliver?

8 Former Deputy C.O.S.: Officially, I would say no. Unofficially, there was a time that Kelly
9 Roberson was complaining that she wasn't being fairly compensated
10 by the campaign. I don't know how much she did with the campaign. I
11 do know there was a time when she was complaining about that.

12 Jeff Brown: Okay. Can you elaborate? Why did she feel like she wasn't being
13 appropriately compensated?

14 Former Deputy C.O.S.: Just the amount of time that she had to spend off work, doing
15 campaign-related work.

16 Jeff Brown: Aside from Kelly, was there anybody else who played any role on the
17 campaign, either paid or unpaid?

18 Former Deputy C.O.S.: I don't think so. I don't remember.

19 Jeff Brown: Okay. Did Oliver or Representative Schweikert ever direct any official
20 staff to perform any sort of campaign work?

21 Former Deputy C.O.S.: There were a handful of occasions where he asked me or some of the
22 other staff to ... If we knew anyone that might be interested in
23 attending an event for free, not paid, to just invite them. It was after
24 hours or off time. Other than that, I'm not aware of anything.

25 Jeff Brown: Okay. And what did you understand your role to be, if you attended an
26 event like that?

27 Former Deputy C.O.S.: If I attended any event like that, I was as a, serve as a policy expert. I
28 think there may have been one or two times over the six years that I
29 attended an event, either a breakfast or a dinner, where I came home
30 with a check, and immediately gave it to Oliver. I did not ask for the
31 money. It was given to me. A campaign-related check.

32 Jeff Brown: Were you going in a volunteer capacity for the campaign?

33 Former Deputy C.O.S.: I would-

1 Jeff Brown: Or you were going in your official capacity?

2 Former Deputy C.O.S.: I was not going as a campaign staffer. I volunteered to go, mostly as an
3 opportunity to serve as a policy staffer, in case any questions policy-
4 wise came up. I never felt like I was required to attend.

5 Paul Solis: Prior to any of those campaign events, maybe that they happened on
6 the Hill, and you felt like you would volunteer your time to act as an
7 expert ... Would you ever prep Representative Schweikert in the office
8 prior to that event? Running down sort of the issues that a group who
9 was hosting the event might want to discuss or talk about?

10 Former Deputy C.O.S.: Usually any group that we had any sort of event with was lining up
11 with the day to day. And so, there may have been questions about
12 what's the most recent thing on this, but it wouldn't have been outside
13 the realm of anything that we were already doing.

14 Paul Solis: Okay. Do you ever recall an instance, though, where Oliver said to
15 you ... Again, this is hypothetically ... You know, "Representative
16 Schweikert's about to meet with this defense group for a campaign
17 committee event, or a PAC event. Can you brief him on the issues
18 before he goes to it?"

19 Former Deputy C.O.S.: We did that fairly regularly with official business. Off the top of my
20 head, I can't think of one that I felt was directly related to a campaign
21 event.

22 Paul Solis: Do you know if other staffers had that occur?

23 Former Deputy C.O.S.: If it was defense-related, Ryan would have been the one to brief, and
24 he would have a better idea than I would.

25 Jeff Brown: If Ryan ever said that he had been directed to prep Representative
26 Schweikert for a campaign or a fundraising activity in his official
27 capacity, would you have any reason to disbelieve Ryan on that?

28 Former Deputy C.O.S.: Disbelieve, no. Ryan had a tendency towards a little bit of hyperbole.
29 So if he was angry at the time ... He didn't have a good relationship
30 with the member by the end, either. And so, he may have been more
31 predisposed to remember those things. So, I guess, no. No, I wouldn't
32 have a reason to believe that he would be misleading on that.

33 Jeff Brown: How about Kat? Do you have any reason to disbelieve-

34 Former Deputy C.O.S.: So, Kat would have probably been David's go ... Well, Kat was
35 David's go-to on Israel issues. So if there were Israel issues that he was
36 going to a fundraiser event for, she would have been the person

pegged to do that. I would not necessarily be surprised if Oliver had asked her to do that, because that was such a sensitive issue. He might have. I don't remember Kat ever complaining about it, but that's definitely possible.

Paul Solis: Was there ever a time when you were directed to attend a campaign event, either paid or unpaid? I know you said you specifically just volunteered, but were you ever directed or required to go to an event where you felt like there would be consequences to your job if you did not attend?

Former Deputy C.O.S.: No. There was one event that I went to, probably September of '16, around that time, that I was willing to go to. I didn't feel like I couldn't say no. It was with Chairman Tiberi. But Oliver just didn't want to go. He wanted to do other things, and he asked if I was willing. I told him I didn't want to, but I would. I didn't feel like I would have consequences if I told him no. That's the only one I could remember being somewhat put off by.

Paul Solis: The same sort of question I had asked you before, about prepping, but in the context of being required to go to events. Do you know if any other staffers were required or told that they must attend an event or reported to you that that happened?

Former Deputy C.O.S.: No. I don't remember that.

Jeff Brown: Would other staffers besides yourself, lower level staffers, would they have been in a position and been comfortable enough to tell Oliver "no" if he asked them to do campaign or fundraising-related activities?

Former Deputy C.O.S.: I think Ryan would, Kat probably would, anyone else, no. They would've felt like they would've had to go, I think.

Jeff Brown: Okay. What sort of expectations were there for staffers, aside from yourself, to do campaign-related activities?

Former Deputy C.O.S.: I don't think there were any, at least that were directly related to our employment. That's why it was so surprising to me that Oliver said that my performance, if I stayed with the office, would be based on how much money I was bringing into the office because it had never been part of my job description before.

Jeff Brown: We've talked a little bit about the Ben Quayle primary. Did any official staffers ever help prepare Representative Schweikert or work with Oliver to prepare Representative Schweikert for a Ben Quayle debate?

Former Deputy C.O.S.: I certainly didn't work with Oliver. I think in that time period I had one ... While he was on the campaign, it was one interaction with Oliver. My wife and I joined his wife, he and his wife, on his sailboat, minimal politics discussed. I wasn't asked to do anything for the campaign then. I may have done prep work on some issues on behalf of Matt Tully, but I don't remember entirely.

Jeff Brown: Okay. And that would've been prep work for the Ben Quayle debate?

Former Deputy C.O.S.: If there was prep work done, it would've been asked by Matt Tully. I just don't remember if there was.

Jeff Brown: Okay. Do you recall any other staffers working on prep work for that debate?

Former Deputy C.O.S.: I don't remember, no.

Jeff Brown: Just to kind of jog your memory a little here, do you recall any staffers working on any other ... Sorry, let me rephrase that. Do you recall any other official side staffers ever working on any primary or general election issues in 2016? Either Wittenberg or Williamson.

Former Deputy C.O.S.: Wittenberg or Williamson?

Jeff Brown: The primary and the general.

Former Deputy C.O.S.: Oh. We paid so little attention to who we were running against. I think that some of the District Office staffers walked doors and collected signatures, but that would've been on the weekend and that probably ... I don't think anyone in D.C. did. I wouldn't ... I don't think anyone in D.C. did.

Jeff Brown: Okay. In advance of a primary or a general, how much discussion would there have been in the office about upcoming elections?

Former Deputy C.O.S.: There would have been some discussion in the Quayle campaign, during the Quayle campaign. I know Matt Tully called, and we had a phone call. Basically, it was just saying "Hey, here's the state of the things." It was less than five minutes. "Just want to let know what's going on." I don't remember whether that was on the official lines or we all got around a cell phone. We probably were in the office just because that's where everyone was. But after the Quayle primary, we were never challenged and so any discussion of a primary or a general was basically "This is funny. Ha, ha, ha. We're getting primaried or generaled." It was beneath, quote-unquote, "beneath David's dignity" to even entertain it being problematic.

Jeff Brown: I'd like to switch gears a little bit to talk a little bit about campaign donors.

Former Deputy C.O.S.: Okay.

Jeff Brown: Did you ever see, did you ever witness, campaign donors receiving any sort of favorable or preferable treatment from either Oliver or Representative Schweikert?

Former Deputy C.O.S.: Favorable treatment. You know, there were a couple of people within the office that the office knew were campaign donors or did campaign ... helped David. Marshall Brachman comes to mind. I'm relatively certain that I probably spent more time on his policy issues than others, not necessarily because of the campaign side but because he was always around as far as he would come in, he'd sit, he'd chat-

Jeff Brown: Who is Marshall Brachman?

Former Deputy C.O.S.: Marshall Brachman is a lobbyist. He does work for APAC and Lockheed and some others. He's the one that I can think of off the top of my head, but I think that was more of a reflection ... We knew he was important, but he just talked to us and became a friend of the office. So I would say that my work with him was more ... If I spent more time with him, it had nothing to do with the amount of money he was giving to David, it was the amount of time that he spent with me.

Jeff Brown: Were there ever any discussions in the office or did you ever witness anything in which campaign donations were linked to official action of any kind, either by Representative Schweikert or Oliver Schwab?

Former Deputy C.O.S.: There are things that we did in the tribal community that got us campaign donations but they were things that I was doing anyway, so work that I would've done ... that I was doing that I think Oliver may have fund-raised off of. But I don't think I was ever instructed to say "Do this because they're giving us money."

Jeff Brown: Do you recall any sort of special treatment provided to an individual who was looking for grant funding for research in Africa?

Former Deputy C.O.S.: Grant funding for research in Africa. No, I don't think so.

Jeff Brown: That doesn't ring any bells?

Former Deputy C.O.S.: Mm-mm (negative).

Jeff Brown: Okay. How about, was there every any sort of favorable treatment or special favors that would've been done for the companies or the clients that Ana Schwab worked with or for?

1 Former Deputy C.O.S.: The only one that I can think of that might fit that bill was Taser ... or,
2 no, Firetrace. Ana did work with Teddy Eynon but ... who - Firetrace
3 was one of his clients. I don't think it was related ... The work that I
4 did with them was not related to donations at first, and then Ryan took
5 over. I don't know if he felt that he was doing it because they were
6 donors or Teddy might be a donor or ... definitely not because Ana was
7 there because we had a prior relationship with them before Ana went
8 to work with them. But that's the only one that I can think of off the
9 top of my head that we did anything for because Ana was working
10 with them.

11 Paul Solis: But why do you say ... When Jeff asked that question, you said "the
12 only one that could possible fit that bill." Why would you say that?

13 Former Deputy C.O.S.: As Firetrace?

14 Paul Solis: Yeah.

15 Former Deputy C.O.S.: Because Firetrace was a long-time client of Teddy Eynon and went
16 with him when he moved to a different company. When he moved to
17 his different company, Ana worked for him. But I was working with
18 them before Ana was ever working with them. I worked with Firetrace
19 before Ana went to go work for Teddy.

20 Jeff Brown: Do you have any recollection of donations being linked to official
21 actions with Firetrace?

22 Former Deputy C.O.S.: No, not that I can remember.

23 Jeff Brown: Were there any special rules in place or any office policies and
24 procedures on the way in which Oliver would deal with the companies
25 that Ana worked with/for?

26 Former Deputy C.O.S.: I don't think so, not that I remember.

27 Jeff Brown: Okay. Do you ever recall a conversation in the office, either with
28 Oliver or others, about him not taking requisite ethics trainings?

29 Former Deputy C.O.S.: I vaguely remember him talking about not taking ethics training, or
30 him being really far behind. But I don't know when that occurred, but
31 that does kind of ... That sounds right.

32 Jeff Brown: Even if we assume that Mr. Schwab didn't take mandatory ethics
33 trainings, would he have been aware of outside earned income limits
34 that would've been applicable to senior staffers?

35 Former Deputy C.O.S.: Oh, I would hope so. He and I talked quite a bit about outside earned
36 income in the sense that he would complain that the house doesn't pay

1		enough. I was like, "Well, go ahead and get another job, but you're
2		limited." He should of known that there was outside limits.
3	Jeff Brown:	Should of or did know? Did you have actual discussions about it?
4	Former Deputy C.O.S.:	I mean, on more than one occasion I am certain that I told him. I
5		mentioned that you're limited in the amount of money that you can
6		earn outside of-
7	Jeff Brown:	Do you have any recollection of when those conversations would have
8		occurred?
9	Former Deputy C.O.S.:	I mean, it's probably a handful of times over the course of three or four
10		years. Multiple times.
11	Jeff Brown:	Was there ever a point in time that you had a discussion with him or
12		there was a discussion in the office about him not wanting to earn a
13		senior staff rate of pay so that he didn't have to file financial
14		disclosures?
15	Former Deputy C.O.S.:	Yes.
16	Jeff Brown:	Can you tell us about that?
17	Former Deputy C.O.S.:	I'm not sure. I don't think it ever actually occurred, but he said he
18		wanted to focus more, at one point in time, on growing a business and
19		spending less time in the office. So he wanted to earn less money so he
20		could make more money outside the office. I have no idea what he
21		would be wanting to do. But yes, he definitely said he wanted to make
22		less than the-
23	Jeff Brown:	When were those conversations. Do you remember?
24	Former Deputy C.O.S.:	Oh, I mean, again, probably a handful of times. Probably within the
25		same conversations of I said, "You're limited in the outside earned
26		income."
27	Jeff Brown:	In those conversations, was there any discussion about his personal
28		wealth and not wanting to file financial disclosures because of his
29		personal wealth?
30	Former Deputy C.O.S.:	No. More along the lines of, "I want to make more money."
31	Paul Solis:	Did he adjust his salary so as to make an amount less than senior staff
32		level?
33	Former Deputy C.O.S.:	There was a while where he had talked about that. I don't think it ever
34		actually occurred while I was doing the budget. If he did it, it was
35		before I started doing the budget.

1 Jeff Brown: Remind us approximately what year was that?

2 Former Deputy C.O.S.: I think December '14.

3 Paul Solis: I just want to go back a little bit to FireTrace. Can you tell me what
4 this company is and what …

5 Former Deputy C.O.S.: They…FireTrace uses its chemicals to put out fires in military, police,
6 airline vehicles. It's a pretty good product.

7 Paul Solis: Where's the company based?

8 Former Deputy C.O.S.: Arizona. I think it's in Scottsdale and maybe just outside of Scottsdale.

9 Paul Solis: Is it in Representative's Schweikert's district?

10 Former Deputy C.O.S.: I believe so.

11 Paul Solis: You had worked with the company or worked with this Mr. Eynon in
12 some official capacity?

13 Former Deputy C.O.S.: I mean, Teddy introduced me to the company. I went out there. I took
14 a site tour to see the products. I know they were trying to get the DOD
15 interested in their fire safety products that they thought saved lives.

16 Paul Solis: Does Teddy have a role with the company? Is he a lobbyist, what's-

17 Former Deputy C.O.S.: He's their contracted lobbyist.

18 Paul Solis: Contracted lobbyist. So he's with -- what's his name of his company?

19 Former Deputy C.O.S.: I think he was with a Greenberg Traurig. He may have moved since
20 then.

21 Paul Solis: At the time, was he based in DC or ...?

22 Former Deputy C.O.S.: He's based in DC.

23 Paul Solis: Okay. Then so how did Ana Schwab become related to FireTrace and-

24 Former Deputy C.O.S.: Ana Schwab lost her job at either the NRCC or Paul Gosar's office. I
25 can't remember the time frame.

26 Oliver had a relationship with Teddy. Teddy was looking for an assistant and hired Ana. I think
27 that Ana's relationship with FireTrace is coincidental. I don't think
28 FireTrace was trying to hire Ana. I think Teddy was trying to hire Ana.

29 Paul Solis: You had a relationship with Teddy then prior to Ana being hired?

30 Former Deputy C.O.S.: Yeah.

1	Paul Solis:	Okay. Did Oliver have a relationship with Teddy prior to Ana being
2		hired?
3	Former Deputy C.O.S.:	Yes.
4	Paul Solis:	How do you know that?
5	Former Deputy C.O.S.:	I think Teddy came to our office opening. I mean, Oliver had a
6		relationship with Teddy before he had a relationship with Ana. Teddy,
7		I think, day one, day two, something like that. I met Teddy and well,
8		worked policy with him.
9	Paul Solis:	While you were sort of handling the portfolio that included
10		FireTrace ... First of all is that safe to say that's correct?
11	Former Deputy C.O.S.:	At the time, yes. When I became LD, Ryan took over the defense
12		portfolio so he would have, yeah. Defense Homeland was mine at first
13		and then it became Ryan's.
14	Paul Solis:	Roughly, what are the dates that you had the defense portfolio where
15		you would have had contact with FireTrace representatives or Teddy
16		Eynon?
17	Former Deputy C.O.S.:	January 11 through May, June of '12.
18	Paul Solis:	What's going on? Are you meeting with them and you're meeting just
19		with him or representatives of the company?
20	Former Deputy C.O.S.:	I met with him and then I met with Cavanaugh, I think is the company
21		owner's name, Mark Cavanaugh. Met with him just standard,
22		traditional office meeting stuff. I took a site visit.
23	Paul Solis:	Okay. Then in sometime in 2012, Ryan took over the portfolio, right?
24	Former Deputy C.O.S.:	Mm-hmm (affirmative)
25	Paul Solis:	Were you aware of his meetings with FireTrace or Teddy Eynon?
26	Former Deputy C.O.S.:	Generally. I mean, I don't remember what other issues Teddy worked
27		on, but I know that I had meetings with Teddy on other issues beyond
28		FireTrace. I just don't remember what the portfolio was at the time.
29		FireTrace was the only company that I basically remember pretty close
30		that they want going all the way through in talking with Schweikert
31		that Teddy worked for.
32	Paul Solis:	How often is Ryan meeting with FireTrace representatives or Teddy
33		Eynon?

1 Former Deputy C.O.S.: For FireTrace issues? Oh, maybe once a quarter. I think Cavanaugh
2 flew in maybe two times a year. He would meet with them when he
3 flew in. Same with me.

4 Paul Solis: What type of official acts did Representative Schweikert take or did
5 you all take that would have affected FireTrace?

6 Former Deputy C.O.S.: Ryan had language inserted in the conference report saying that there
7 was a need for fire suppression systems.

8 Paul Solis: What legislation is that and when?

9 Former Deputy C.O.S.: It was in one of the NDAA's. We would have been in the Cannon
10 office, so either '15 or '16 NDAA.

11 I thought Ryan believed in the product. I thought the product was a good product. It would
12 probably save lives. I had no ethical or moral quandaries with that. I
13 wasn't instructed and I don't think Ryan, well, I don't know if Ryan
14 was instructed to, insert the language. I was never instructed to do
15 anything related to campaign work with FireTrace. Anything that I did,
16 it was in an official capacity I did because I thought that they should
17 meet with people. I never had anything put into legislative language or
18 committee report for them.

19 Paul Solis: So you recall Ryan had some language affecting the industry?

20 Former Deputy C.O.S.: Yeah.

21 Paul Solis: Not FireTrace specifically.

22 Former Deputy C.O.S.: Not FireTrace.

23 Paul Solis: In a conference report in '15 or '16. Was Oliver aware of that insertion
24 of language?

25 Former Deputy C.O.S.: I'm sure he was. I mean, Ryan was pretty happy about getting the
26 language in there.

27 Paul Solis: At that time was Oliver Schwab's wife, Ana, was she working as a
28 lobbyist for FireTrace?

29 Former Deputy C.O.S.: I don't think so. Teddy let her go at some point in time or the company
30 did. Then she went back to law school. I don't remember what that
31 time frame was. I believe for most of last Congress she was
32 unemployed or at law school.

33 Paul Solis: Any other clients? Again you believe it's Greenberg Traurig, that's the
34 -

1 Former Deputy C.O.S.: I believe so.

2 Paul Solis: Okay. Any other clients of Greenberg Traurig that were in the district?

3 Former Deputy C.O.S.: Not that I remember. There may have been. FireTrace is the only one
4 that I met with and had a relationship with as far as professional was
5 concerned that I developed anything with them.

6 Jeff Brown: How about clients at Fox Rothschild that were in the district?

7 Former Deputy C.O.S.: The name sounds familiar. If you had company names, I could
8 probably tell you maybe.

9 Jeff Brown: Best Best and Krieger?

10 Former Deputy C.O.S.: I don't remember.

11 Jeff Brown: Anything else there?

12 Paul Solis: Nope.

13 Jeff Brown: When we last spoke, I think you said you left the Schweikert office the
14 Monday before Thanksgiving 2016?

15 Former Deputy C.O.S.: Yes.

16 Jeff Brown: Okay and you were paid up through January 3rd, 2017.

17 Former Deputy C.O.S.: Correct.

18 Jeff Brown: I think the way you also described to us, you said you were essentially
19 going to be on contract for the next six months. That was the way that
20 severance was described to you?

21 Former Deputy C.O.S.: Yes.

22 Jeff Brown: What did you understand "on contract" to mean?

23 Former Deputy C.O.S.: Anytime they needed any sort of policy expertise that I had, that I
24 would be responsible for that.

25 Jeff Brown: How-

26 Former Deputy C.O.S.: And be completely and totally on-call, and if I were to ever find
27 another job, I was to leave the Schweikert employment.

28 Jeff Brown: How frequently were your expertises called upon during that, I guess
29 part of November, December, part of January?

30 Former Deputy C.O.S.: Two or three. My plan was to stay through, in the office, through
31 January 3rd, at which point in time I would move to the quote-unquote

1–5		"contract status". The Friday before Thanksgiving, Oliver called me and said I was causing confusion in the office and didn't want me to come in anymore. I said "Okay. Let me know if you need anything." He asked me to do a couple of writing pieces on Constitutional amendments. Other than that, I think that was basically it.
6	Jeff Brown:	How many hours in a given week?
7–8	Former Deputy C.O.S.:	Oh, I probably spent ... I think that of the two projects, and they were both related to the same thing, I probably spent 25, 30 hours.
9	Jeff Brown:	Okay.
10	Former Deputy C.O.S.:	Yeah.
11	Paul Solis:	Total or on each issue?
12	Former Deputy C.O.S.:	Combined, yeah.
13–14	Jeff Brown:	So between the Monday before Thanksgiving, November 2016, and January 3rd, you worked about 25 hours?
15	Former Deputy C.O.S.:	Yeah, probably.
16	Jeff Brown:	Okay. Did you have access to House email?
17	Former Deputy C.O.S.:	Yes.
18	Jeff Brown:	You did.
19–23	Former Deputy C.O.S.:	Until I think ... I don't remember the exact dates. It was either maybe the week before or the week after Christmas that I sent all of my tech back. It was after Oliver said that he was not going to honor the agreement that he had offered me. And I said "Fine. I don't like to talk to you anymore anyway."
24–25	Jeff Brown:	And in that time frame, I think you said this – you were not back in the office after Thanksgiving?
26	Former Deputy C.O.S.:	No, correct.
27–28	Jeff Brown:	But you did get your full salary from November, December, and up through January 3rd. Is that-
29	Former Deputy C.O.S.:	Correct. Correct.
30–31	Jeff Brown:	What was Representative Schweikert's knowledge of the severance offer?
32–33	Former Deputy C.O.S.:	Oliver said that David approved it. I am doubtful of that. I don't think David ever approved a single salary for anyone.

1 Paul Solis: Why do you think that?

2 Former Deputy C.O.S.: Because salaries were determined between Oliver and I while I was
3 doing the budget, and before that, just by Oliver. David wasn't
4 interested in the day-to-day budget minutia.

5 Jeff Brown: Is there anything else since we last met, or given our discussions
6 today, that you think we should discuss?

7 Former Deputy C.O.S.: Something to kind of ... Full disclosure, I talked to my wife about our
8 discussions. She's the only person I have talked to. Kelly Roberson did
9 ask if I had met with you. I told her that that was a question she should
10 not ask and she should not answer should anyone ask her. That is the
11 extent of my discussion with her about it.

12 Paul Solis: When did she contact you?

13 Former Deputy C.O.S.: Either last week or the week before. I can give you the exact date if
14 you want me to bring it up. Actually, so she had told me that she was
15 going to lunch with Oliver, and I was interested in what he would have
16 to say. Then I came and talked to you all, and I wanted nothing to do
17 with that anymore so I did not follow up with that and how she did.
18 But after her lunch with Oliver, she G-chatted me and asked if you all
19 had ... or if I had met with OCE.

20 Jeff Brown: So were there two conversations with Kelly?

21 Paul Solis: Yeah. I just want to get the timeline straight.

22 Former Deputy C.O.S.: Okay. I'm sorry. Kelly and I-

23 Paul Solis: Starting from moment one.

24 Former Deputy C.O.S.: Well, Kelly and I had been on and off in contact since I left. Right
25 around the time you asked me to come in, she said Oliver invited her
26 to lunch. I said she should go. I came in, met with you all, she G-
27 chatted me and said "I'm off to lunch." After I met with you all, I was
28 no longer interested. Maybe the next week, she asked me ... The next
29 Thursday or Friday maybe after that, she asked if I had met with you
30 and I responded with "That's not a question that you should ask or
31 answer." That was-

32 Jeff Brown: That was over the phone or over G-chat?

33 Former Deputy C.O.S.: G-chat.

34 Paul Solis: Did she talk to you in any way whatsoever about what she and Oliver
35 discussed?

1 Former Deputy C.O.S.: No.

2 Paul Solis: Did she ask you whether Oliver requested ... Did she tell you whether
3 Oliver requested that she go to lunch with her?

4 Former Deputy C.O.S.: No. No, she emailed ... Yeah, she said "Oliver emailed me and I asked
5 if I wanted to do lunch." She asked if he had emailed me, and I said
6 "No, he has not."

7 Jeff Brown: What's Kelly and Oliver's relationship been like since Kelly left the
8 office?

9 Former Deputy C.O.S.: I thought negative. She felt she had been done a disservice by Oliver. I
10 would be inclined to agree.

11 Jeff Brown: Why?

12 Former Deputy C.O.S.: David would occasionally get bored with staffers, regardless of
13 whether or not they were doing good work, and then Oliver would
14 force the staffers out. Kelly was my direct ... was one of my ... I was
15 her direct supervisor. I thought she was doing a good job and she
16 didn't deserve to be forced out.

17 Jeff Brown: Just so we've got the timeline straight, Kelly contacted you within the
18 last two weeks about -

19 Former Deputy C.O.S.: I can bring my phone up and give you the exact dates.

20 Jeff Brown: If you don't mind.

21 Former Deputy C.O.S.: Yeah. Okay. All right. January 16th, she asked me if Oliver had
22 emailed me. She said that he emailed her. I said ... to have lunch. I said
23 "You should go have lunch," then talked about ... I had a quote in
24 Politico, which was cool. January 19th, she said she was on her way to
25 lunch with Oliver. January 30th, she said "Question, did you ever get
26 called by the Office of Congressional Ethics regarding Oliver?" I
27 responded "Not a question you should ask or answer." She responded
28 "That's a very political answer." I responded "Best I can give." She
29 responded "Noted."

30 Jeff Brown: We started this line with you saying there was something that was sort
31 of nagging-

32 Former Deputy C.O.S.: I'm sorry, yes. When I went home and talked to my wife, she said
33 occasionally ... and during Oliver's tirades, the worst of them where he
34 was threatening to quit, he would occasionally say that he was going to
35 quit and if he didn't get a good severance package that he'd go to the

FEC and tell them where all the bodies were buried, essentially something along those lines.

His tirades were fairly regular. That line of comment was not regular, maybe three or four times over the course of ... from '13 to '16. The reason that I brought that back up is because my wife said "You occasionally came home and said stuff about that," and I was like "Yeah, I did." When you emailed me with the transcript, I was planning on responding to you and telling you that. But that did stick in my head or come back up when my wife mentioned it.

Jeff Brown: What did you understand him to mean when he was talking about "where the bodies are buried?"

Former Deputy C.O.S.: I have no idea, and I did not ask. I mostly attributed it to him just being out of control and angry. Obviously, when your boss says something like that, it's concerning, but it didn't happen enough for me to think that it wasn't anything other than him being out of control.

Jeff Brown: But back to my question, I mean, what was the suggestion? What was the context?

Former Deputy C.O.S.: Oh. Whenever he would get really, really angry with something that David was doing, usually in his official capacity, either not going to an official event or going to an official event and saying something that was quasi-offensive, Oliver would get angry. When he would get really angry, he'd make that comment, two or three times.

Jeff Brown: He made the "where the bodies are buried" comment two or-

Former Deputy C.O.S.: Yeah.

Jeff Brown: ... or three times?

Former Deputy C.O.S.: Yeah, about "I'm going to go the FEC and get David thrown in jail for campaign fraud," something along those lines. "I know where the bodies are buried." I have no context beyond that.

Jeff Brown: Do you have any idea what he may have been referring to?

Former Deputy C.O.S.: Honestly, I had no idea. I mostly chalked it up to him just being out of control. But there's no doubt that if there were anything campaign-related that was not on the up-and-up, Oliver would know about it and would be able to do that. But I'm not aware if there was or what those specifics were.

Paul Solis: To this point, you have not been contacted by Mr. Schwab, right?

Former Deputy C.O.S.: Not about this. I have had no contact with Oliver Schwab since November. I called Kevin when I saw the article in November. Kevin was not aware of it at the time. Kevin called me back, he said "This is terrible." I said "Well, I wasn't one of the sources." Kevin said "If you ever get a call, make sure you cooperate," and I said "Okay."

Jeff Brown: Have you had any conversation with Kevin about us since then?

Former Deputy C.O.S.: Not about you in particular. He did call because he had a grandson, and he just said "We are actually officially under investigation. Cooperate if they call you." And I said "Okay." That's it.

Paul Solis: Did he say whether he was cooperating or not?

Former Deputy C.O.S.: He did not.

Jeff Brown: Did he say anything about whether the office was cooperating?

Former Deputy C.O.S.: He did not. At that point in time, we didn't talk again. I mean, I think we may have talked one other time but nothing about the investigation came up.

Jeff Brown: Anybody else-

Former Deputy C.O.S.: Anyway ... I'm sorry. To follow up, I told Oliver ... I think Kevin told ... I'm sorry. I told Kevin that I saw the article, I wasn't one of the sources. I believe Kevin told Oliver that I said that I wasn't one of the sources. Oliver emailed me "Thank you for your kind words." I did not respond, and that is the last I have heard from Oliver.

Jeff Brown: Have you spoken to anyone else either currently inside the Schweikert office or a former Schweikert staffer about any of this article -

Former Deputy C.O.S.: About our meeting-

Jeff Brown: ... investigation-

Former Deputy C.O.S.: About my meeting. No.

Jeff Brown: Yeah.

Former Deputy C.O.S.: I talked to Ryan and I talked to Kelly that there was an article ... Kelly was who tipped me off to the article being written ... or, sorry. No, it was ... Kelly texted me the Friday after the ... or, sorry, the day after the article ... or the day article came out. She texted me and said "Hey, let's get lunch," and I was like "Okay. Let's get lunch." I had no idea – had nothing to talk about. Ryan was the one the next morning who said "Look at this article. I assume you're one of the sources?"

1 Jeff Brown: What did Kelly say about the article to you?

2 Former Deputy C.O.S.: Basically, serves him right, if I remember correctly. Ryan, same thing.
3 I had felt that ... After I read the article, I was surprised about the
4 campaign things, but anyone who looked in the campaign ... or the
5 office would see we were spending money on office supplies that was
6 probably unreasonable, or not unreasonable, too much. We didn't need
7 to spend the money we were spending.

8 Jeff Brown: Why do you think Kelly said serves him right?

9 Former Deputy C.O.S.: It didn't end on good terms. I think that Oliver, like I said, Oliver did
10 her a disservice when she left. She was a good employee. At least for
11 me.

12 Jeff Brown: Same thing with Ryan, why do you think Ryan said something like
13 serves him right?

14 Former Deputy C.O.S.: At the end of last Congress, Oliver basically did Ryan and I pretty
15 similar, and so I can imagine Ryan wanting for Oliver to, if he was
16 doing anything wrong, to be gotten for it.

17 Jeff Brown: Only other question that I have is, last time we talked, we discussed a
18 little bit about the fact you may or may not have some G-mail
19 documentation -

20 Former Deputy C.O.S.: Oh. I did-

21 Jeff Brown: ... with-

22 Former Deputy C.O.S.: ... look. I do have a few ... I meant to print those and bring them to me.
23 I have a back-and-forth between me and Oliver that I also CC'd -
24 BCC'ed my wife on and she responded. That's what I have-

25 Jeff Brown: If you could send them along-

26 Former Deputy C.O.S.: I will, yeah.

27 Jeff Brown: ... with the transcript, that would be appreciated.

28 Former Deputy C.O.S.: Okay.

29 Jeff Brown: Anything else, Paul? Ashley? Thank you for coming back in, "Former
30 Deputy Chief of Staff".

31 Former Deputy C.O.S.: Yep.

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ERRATA SHEET

Page	Line	Correction	Reason
4	30	Strike "didn't"	Change to "did"

This errata sheet is submitted subject to 18 U.S.C. § 1001 (commonly known as the False Statements Act).

Witness Name: [redacted]

Witness Signature: [redacted]

Date: 2/21/18

EXHIBIT 5

Transcript of Interview of
"Former Communications Director"
July 2, 2018

1 Jeffrey Brown: This is Jeff Brown with the Office of Congressional Ethics. With me is my
2 colleague, Kelly Brewington, and our law clerk, Ali Bazzi. Before us we
3 have "Former Communications Director". It is about 1:45 on Monday,
4 July 2nd. "Former Communications Director" has been given a copy of
5 the False Statements Act and has signed the acknowledgement form. And
6 with that, we will get started. Is it alright if I call you "Former
7 Communications Director" or do you prefer "Former Communications
8 Director"?

9 Former Comm. Dir.: It's fine.

10 Jeffrey Brown: Okay. So, "Former Communications Director", where are you currently
11 employed?

12 Former Comm. Dir.: District Media Group.

13 Jeffrey Brown: Okay, and what is District Media Group?

14 Former Comm. Dir.: It's a communication company.

15 Jeffrey Brown: Okay. Specializing in anything in particular?

16 Former Comm. Dir.: Political communications and media training.

17 Jeffrey Brown: Mm-hmm (affirmative). And your role there?

18 Former Comm. Dir.: I am the media relations director.

19 Jeffrey Brown: Okay. What is a media relations director do?

20 Former Comm. Dir.: Anything I want do. Anything anybody else doesn't want do.

21 Jeffrey Brown: Okay. At some point you were on the Hill. What roles did you have on the
22 Hill?

23 Former Comm. Dir.: I started off as a staff assistant and then I was a communication's director
24 and worked in press for several different congressmen.

25 Jeffrey Brown: Okay. Who are the different congressmen?

26 Former Comm. Dir.: James Sensenbrenner from Wisconsin, Mike Pence from Indiana, House
27 Republican Conference, and David Schweikert.

1 Jeffrey Brown: Okay. And, what years were you with Representative Schwiekert?

2 Former Comm. Dir.: 2010? Whenever that wave hit, 2010 to 2014, I think?

3 Jeffrey Brown: Okay. How did you go about getting hired in his office, Representative
4 Schwiekert, that is?

5 Former Comm. Dir.: He called me and asked me to work for him.

6 Jeffrey Brown: Okay.

7 Former Comm. Dir.: He heard I was good and he asked me to work for him.

8 Jeffrey Brown: How did he know that he should call "Former Communications Director"
9 and ask her?

10 Former Comm. Dir.: I guess I was known for being good at pitching, and booking, and putting
11 people on, and my name had been passed to him by, I don't know who,
12 maybe Mike, I don't know. Somebody.

13 Jeffrey Brown: Mike?

14 Former Comm. Dir.: Pence?

15 Jeffrey Brown: Okay.

16 Former Comm. Dir.: I don't know.

17 Jeffrey Brown: I think this is actually, this is probably like January of 2011. That's when
18 he enters-

19 Former Comm. Dir.: Yeah, I mean, but I worked for him before that.

20 Jeffrey Brown: You worked for the Congressman-

21 Former Comm. Dir.: Were, I mean, yeah, like right. I mean, that was what ... December of
22 something.

23 Jeffrey Brown: Okay.

24 Former Comm. Dir.: Yeah.

25 Jeffrey Brown: So, December 2010 is kind of when you first-

1 Former Comm. Dir.: It would have '09, right? Yeah, because the election would have been
2 November of '09.

3 Jeffrey Brown: '10.

4 Former Comm. Dir.: '10. Okay. Yeah, you're right. Yeah.

5 Jeffrey Brown: Okay. Who's already on board in the congressman's office at that point?

6 Former Comm. Dir.: I think just two employees. I think I was the third.

7 Jeffrey Brown: Okay.

8 Former Comm. Dir.: Yeah.

9 Jeffrey Brown: Who were they?

10 Former Comm. Dir.: Matthew Tully and Oliver Schwab. And maybe Beau Brunson, but I'm not
11 sure.

12 Jeffrey Brown: Did you ever work on Representative Schwiekert's, any of his campaigns?

13 Former Comm. Dir.: Mm-hmm (affirmative). I worked on his Quayle primary.

14 Jeffrey Brown: Okay. So, that's-

15 Former Comm. Dir.: 2012.

16 Jeffrey Brown: 2012. What's your role on that campaign?

17 Former Comm. Dir.: I took a leave of absence from the official, and worked and volunteered
18 there for a week or two and then would do stuff at nights or on weekends.

19 Jeffrey Brown: Is this in the August timeframe?

20 Former Comm. Dir.: Mm-hmm (affirmative).

21 Jeffrey Brown: Do you remember-

22 Former Comm. Dir.: It was when the primary was.

23 Jeffrey Brown: Relatively… or do you have a rough date of when that was?

24 Former Comm. Dir.: I don't remember when the primary was. I think it was the 13th?

1 Jeffrey Brown: I'm sorry if you just said this and I missed it, but are you paid or are you
2 volunteering your time?

3 Former Comm. Dir.: Oh, I volunteered. I wasn't paid.

4 Jeffrey Brown: Do you still keep in touch with Representative Schweikert?

5 Former Comm. Dir.: I haven't talked to him for a while, no

6 Jeffrey Brown: Okay. When was the last time you spoke?

7 Former Comm. Dir.: Like 2014, 2015.

8 Jeffrey Brown: How about Oliver Schwab? Who's Oliver Schwab?

9 Former Comm. Dir.: I think he still is the current chief of staff for Congressman Schweikert.

10 Jeffrey Brown: Do you keep in touch with Oliver?

11 Former Comm. Dir.: No.

12 Jeffrey Brown: Okay. When was the last time you spoke with him?

13 Former Comm. Dir.: 2014.

14 Jeffrey Brown: Okay. And so, what sort of relationship do you and Mr. Schwab have?

15 Former Comm. Dir.: We don't have one.

16 Jeffrey Brown: At some point you had a professional relationship-

17 Former Comm. Dir.: Yeah, he was the chief of staff. Not necessarily my boss, because he
18 wasn't. I mostly reported to David.

19 Jeffrey Brown: Mm-hmm (affirmative).

20 Former Comm. Dir.: But he, I guess, by the figurehead of the structure of the office, was the
21 chief of staff at the time.

22 Jeffrey Brown: Okay. Walk me through that. Who is ... who were you reporting to?

23 Former Comm. Dir.: David.

24 Jeffrey Brown: Okay.

1 Former Comm. Dir.: Yeah.

2 Jeffrey Brown: With some ... does Oliver play some role in the reporting structure?

3 Former Comm. Dir.: Not really. Not for me. I was kind of an autonomous ... I think David saw
4 the value and the knowledge that I had. Oliver never worked on the Hill
5 before. He wasn't quite familiar with how things worked and so, I just
6 didn't ... stayed in my lane and would deal with Oliver ... or a, sorry, David
7 directly.

8 Jeffrey Brown: Okay. What sort of relationship did Oliver and the congressman have?

9 Former Comm. Dir.: A weird one.

10 Jeffrey Brown: Why was it weird?

11 Former Comm. Dir.: Well, it was just ... I didn't see ... I didn't understand the facets of it. There
12 did seem to be some ... I wouldn't pick him out of a lineup to be hired as
13 my chief of staff because there wasn't much I would view as a value add in
14 how to run an office. So, I was just a little surprised by how much trust
15 was put into his hands.

16 Jeffrey Brown: Why do you say that you didn't think he's a value add?

17 Former Comm. Dir.: Because I essentially did everything that needed to be done. Coms or
18 policy-wise and he wasn't really there, or if he was, he wasn't very helpful.

19 Jeffrey Brown: What do you mean he wasn't there?

20 Former Comm. Dir.: I mean he was there sometimes, but it wasn't ... he's not a traditional chief
21 of staff as far as a top down approach.

22 Jeffrey Brown: What's a ... can you give me some understanding of what in your mind is a
23 traditional chief of staff and how Oliver-

24 Former Comm. Dir.: I mean every office is very different, right? Have you been on the Hill
25 before?

26 Jeffrey Brown: Sure.

27 Former Comm. Dir.: Yeah. I guess what you would view as a traditional would just be things
28 funnel down from the congressman to the chief of staff who then runs into,
29 okay, the leg shop's going to do this, the comm shop's going to do this, the
30 campaign's going to do this and then… There really wasn't much of that. It

1 would just, kind of, he necessarily….because he didn't necessarily know
2 what to do. I don't think there was much of a making the trains run on
3 time. So, I mostly bypassed that and just got what I needed information-
4 wise from the congressman.

5 Jeffrey Brown: Okay. Daniel Caldwell. Who's Daniel Caldwell?

6 Former Comm. Dir.: He is a former campaign manager and former district staff for the
7 congressman.

8 Jeffrey Brown: Okay. You still keep in touch with him?

9 Former Comm. Dir.: Mm-hmm (affirmative). I do.

10 Jeffrey Brown: When was the last time you guys chatted?

11 Former Comm. Dir.: Probably three days ago.

12 Jeffrey Brown: What'd you talk about?

13 Former Comm. Dir.: Talked about some personal stuff and we talked about the fact that I was
14 talking to you today.

15 Jeffrey Brown: Okay. Who is Matt Tully?

16 Former Comm. Dir.: Former chief of staff for the congressman. Former LD.

17 Jeffrey Brown: Did he have any role in the campaign?

18 Former Comm. Dir.: Mm (neutral). No. He stayed in Washington the whole time.

19 Jeffrey Brown: Do you know why he left the congressman's office?

20 Former Comm. Dir.: To go be a lobbyist downtown.

21 Jeffrey Brown: Do you and Matt Tully have any relationship?

22 Former Comm. Dir.: Mm-mm (negative).

23 Jeffrey Brown: Okay. Do you keep in touch at all?

24 Former Comm. Dir.: Mm-mm (negative).

25 Jeffrey Brown: When was the last time you talked to Matt Tully?

1 Former Comm. Dir.: 2014, maybe?

2 Jeffrey Brown: What sort of relationship do Oliver Schwab and Matt Tully have?

3 Former Comm. Dir.: I'd be surprised if they still talked.

4 Jeffrey Brown: Why do you say that?

5 Former Comm. Dir.: I mean, because they were, they weren't like BFFs, so.

6 Jeffrey Brown: When they were working together in the congressman's office?

7 Former Comm. Dir.: Oh, I mean, Matt reported to Oliver as the LD.

8 Jeffrey Brown: At some point, though, I think, Matt becomes the chief of staff.

9 Former Comm. Dir.: Mm-hmm (affirmative). Yeah. Oliver had left and taken a leave of
10 absence for the campaign. It was the primary of 2012 and then, um, yeah.

11 Jeffrey Brown: Ryan White. Who's Ryan White?

12 Former Comm. Dir.: He is ... he was a former leg staffer.

13 Jeffrey Brown: Okay. What sort of relationship did you and him have?

14 Former Comm. Dir.: We were very friendly.

15 Jeffrey Brown: Okay. Do you keep in touch with him?

16 Former Comm. Dir.: I haven't talked to him for a while.

17 Jeffrey Brown: Okay. What's a little while?

18 Former Comm. Dir.: Probably eight months, nine months.

19 Jeffrey Brown: Did he have any role in the campaign?

20 Former Comm. Dir.: Mm-mm (negative). Not that I was aware of.

21 Jeffrey Brown: Ever volunteer for it?

22 Former Comm. Dir.: No. Not that I was aware of.

1 Jeffrey Brown: Besides the folks that I just mentioned, do you keep up with anyone else
2 from Representative Schwiekert's official office or campaign?

3 Former Comm. Dir.: Current office?

4 Jeffrey Brown: Current or former staff?

5 Former Comm. Dir.: Yeah, former.

6 Jeffrey Brown: Okay. Who else-

7 Former Comm. Dir.: Cassie Sonn.

8 Jeffrey Brown: Who's Cassie Sonn?

9 Former Comm. Dir.: She was a former leg staffer for Congressman Schweikert.

10 Jeffrey Brown: Okay. Anybody else?

11 Former Comm. Dir.: No. That's it

12 Jeffrey Brown: Beau Brunson?

13 Former Comm. Dir.: Mm-mm (negative).

14 Jeffrey Brown: When was the last time you talked to Beau?

15 Former Comm. Dir.: 2014.

16 Jeffrey Brown: How about, do you know Kat Dimenstein?

17 Former Comm. Dir.: Yeah.

18 Jeffrey Brown: Okay. When was the last time you talked to her?

19 Former Comm. Dir.: 2015 maybe? '14? I don't know, a while, '15.

20 Jeffrey Brown: So, you mentioned, well, let me ask you this. Has anybody reached to you
21 about the OCE's investigation? This investigation, excuse me.

22 Former Comm. Dir.: From?

1 Jeffrey Brown: Anybody. Has anybody reached out to you to talk about the fact that the
2 OCE is investigating either Representative Schweikert, Oliver Schwab,
3 others?

4 Former Comm. Dir.: No.

5 Jeffrey Brown: Have you contacted anybody about this review? You just mentioned-

6 Former Comm. Dir.: I told Dan that I was going to see you today.

7 Jeffrey Brown: Okay. And what was the conversation you had with Dan?

8 Former Comm. Dir.: Just that I was going to sit down with you all today. It was as simple as
9 that. Nothing else.

10 Jeffrey Brown: Did you guys talk at all about what questions you should expect to hear
11 from us?

12 Former Comm. Dir.: Mm-mm (negative).

13 Jeffrey Brown: At some point, let me ask you this, have you seen this article before? This
14 is "A Lot of Cash Is Flowing to David Schwiekert's Chief of Staff Oliver
15 Schwab." It's an Examiner article by Philip Wegmann from November
16 2nd, 2017.

17 You're indicating you-

18 Former Comm. Dir.: I've read it. Mm-hmm (affirmative).

19 Jeffrey Brown: You're familiar with this article. Okay.

20 When did you first read it?

21 Former Comm. Dir.: Probably when it came out. I don't-

22 Jeffrey Brown: So, we're talking early November, somewhere around about November
23 2nd. What were your reactions to this article?

24 Former Comm. Dir.: I don't know if I had that many reactions to it. It didn't surprise me, but it's
25 also a long time ago, so, I've had several other bosses since then that I I've
26 had to worry about, so.

27 Jeffrey Brown: Why do you say it didn't surprise you?

1 Former Comm. Dir.: I just ... there was always something sketchy with Oliver and so, it doesn't
2 surprise that that ended up finally coming out. I mean, it's been kind of, in
3 my opinion, obvious, and people just don't read FEC filings.

4 Jeffrey Brown: Why'd you say, "There's always something sort of sketchy with Oliver?"

5 Former Comm. Dir.: I don't know how else to say it. There just always was.

6 Jeffrey Brown: Okay. So, this article discusses improper MRA disbursements. Did you
7 ever see Oliver improperly using the MRA when you were in the
8 congressman's office?

9 Former Comm. Dir.: No. I mean, I don't ... I didn't. Our offices weren't in the same location. He
10 was around the corner from me. I didn't ever deal really much with him
11 aside from the him coming in and kind of just talking or checking in. I
12 didn't really ... to be quite honest, I didn't know what he did, so, I was not
13 really aware of the nitty gritty of what it day to day was like.

14 Jeffrey Brown: Okay. You said a couple times now… You sort of intimated that, you
15 know, you're really not aware of what role he's playing and that surprises
16 me.

17 Former Comm. Dir.: Why?

18 Jeffrey Brown: He's the chief of staff. I would think that he's involved in the day to day
19 goings on in the office.

20 Former Comm. Dir.: I mean, sure. I mean, I worked for Schweikert from the very beginning 'til
21 2014. I worked with three very, very competent, smart individuals who, to
22 be honest, we probably could have ... the reason we worked for David is
23 'cause we saw potential there and we could have worked for bigger fish.
24 And we saw something and we had a good team, and the machine of the
25 office is so well run, and so well oiled, and to be honest, I think we kind of
26 kept David on track. That I didn't ... he was not really a factor. We were
27 pros. Matt Tully worked on the Hill. I worked on the Hill before. I was
28 pretty good at my job. Tully was good at his job. We had a consultant that
29 helped on the campaign side. Nothing that really we couldn't take care of
30 ourselves, to be honest.

31 So, there wasn't much role, in my opinion, back then for him to play. Now,
32 obviously, we've all left, the people that we worked with then. So, things
33 may be different now. I don't know.

1 Jeffrey Brown: You said there were three of us. And you said, "Three highly competent
2 individuals." You mentioned yourself, Matt Tully, and-

3 Former Comm. Dir.: Yeah, I think Cassie. Cassie would have been one. And then the consultant
4 ...

5 Jeffrey Brown: Okay.

6 Former Comm. Dir.: ... on the campaign side.

7 Jeffrey Brown: Who's the consultant?

8 Former Comm. Dir.: Chris Baker. Or, he was. I don't know if he still is.

9 Jeffrey Brown: Okay. Have you spoken to any current Representative Schweikert's staff
10 about this Examiner article?

11 Former Comm. Dir.: No.

12 Jeffrey Brown: Okay. Besides Dan, any former Representative Schweikert staffers- have
13 you spoken to anyone about this article?

14 Former Comm. Dir.: I texted briefly with Cassie about it.

15 Jeffrey Brown: Okay. What was that exchange?

16 Former Comm. Dir.: Just sending the article, something like that ... nothing.

17 Jeffrey Brown: Okay. Did either she or you express any sort of reaction to the article?

18 Former Comm. Dir.: No.

19 Jeffrey Brown: It was just, "Here's the article," and that's it?

20 Former Comm. Dir.: Yeah, I mean, pretty much. She's my best friend and we talk about
21 everything, so, I don't ... it was just like she was in the middle of changing
22 a diaper and I said, I was like, "FYI." And she said, "Oh, yeah. I saw that -
23 crazy." That's it.

24 Jeffrey Brown: Okay. So nothing else?

25 Former Comm. Dir.: No.

1 Jeffrey Brown: How about any ... have you spoken to anybody about this article, any
2 former campaign staffers, that we haven't already touched on?

3 Former Comm. Dir.: Of David's campaign staffers? No.

4 Jeffrey Brown: Chris Baker?

5 Former Comm. Dir.: He's not a campaign staffer, though.

6 Jeffrey Brown: Consultant?

7 Former Comm. Dir.: Oh. Yeah, I think we did briefly text about it. We were working on
8 another race together, and we may have mentioned it. I can't remember.

9 Jeffrey Brown: Well, what was that conversation?

10 Former Comm. Dir.: It's crazy. That's it. Kind of like, "Did you see this? Crazy." Nothing really
11 much.

12 Jeffrey Brown: The article quotes former staffers. Do you have any ideas who those
13 staffers are?

14 Former Comm. Dir.: If I did, I probably wouldn't tell you.

15 Jeffrey Brown: Okay. Why not?

16 Former Comm. Dir.: Because, I mean, it would all be speculation and I would never ... as
17 someone who works with the media, day in and day out, I would never
18 want to speculate who I think of a source of an article would be.

19 Jeffrey Brown: Okay. Have you ever spoken to Philip Wegmann?

20 Former Comm. Dir.: I wouldn't tell you if I did. I work with a lot of reporters and I wouldn't.

21 Jeffrey Brown: Okay.

22 Former Comm. Dir.: That's my first amendment rights. I wouldn't.

23 Jeffrey Brown: Well, let's talk about your working relationship with Oliver a little further.
24 I think you sort of intimidated that it's fair to say he's a bit of a hands off
25 chief of staff.

26 Former Comm. Dir.: Yeah. I mean, to me he was. Mm-hmm (affirmative). Yeah.

1 Jeffrey Brown: Okay. How did he treat other employees in the office?

2 Former Comm. Dir.: Not very well.

3 Jeffrey Brown: Okay. Why do you say that?

4 Former Comm. Dir.: He treats ... he treated those in the office, that he thought maybe could
5 help him out or, you know, were friends with his wife or whatever, very
6 well. But to those who were competent and knew what they were doing
7 and maybe he saw as a threat, he wasn't very good to. And he didn't ... he
8 treated a lot ... he didn't handle staffing very well. He wasn't a very good
9 people manager.

10 Jeffrey Brown: Okay. Who were those people that you were saying that he may or not
11 may have viewed as a threat? Are those the couple of people you
12 mentioned previously? Yourself? Cassie? Matt?

13 Former Comm. Dir.: Cassie not so much. Matt, definitely Matt. Probably, just me, Matt, and
14 Caldwell.

15 Jeffrey Brown: Dan? Yeah.

16 Former Comm. Dir.: Mm-hmm (affirmative).

17 Jeffrey Brown: Let's talk a little bit about, at some point Oliver decides to leave the
18 official congressional office. I think this is in May of 2012?

19 Former Comm. Dir.: Sounds right. I knew it was in 2012. I don't remember when it was, but ...

20 Jeffrey Brown: At some point, Oliver comes back to the office in a Senior Advisor role.
21 Do you remember this?

22 Former Comm. Dir.: Mm-hmm (affirmative). I remember when he came back. Mm-hmm
23 (affirmative).

24 Jeffrey Brown: And so Matt's the chief of staff at the time, correct?

25 Former Comm. Dir.: Mm-hmm (affirmative).

26 Jeffrey Brown: How does that relationship play out? You've got somebody who used to be
27 a chief of staff coming back in a Senior Advisor role. Just kind of help
28 walk me through what's Oliver role as senior staff?

1 Former Comm. Dir.: I mean, I think it was probably the same thing it was as chief of staff. I
2 mean, I think everybody could have viewed Tully as a figurehead. Tully
3 kept the office running on time and was, obviously, someone that was very
4 procedural. He ... methodical. He had ... he knew how the Hill runs so he
5 was a very hands-on chief, but I think everybody kind of knew that
6 Oliver's always been connected with David and vice versa.

7 So, I think he was always more or less going to be the person kind of in
8 charge, regardless of what it came back as, so.

9 Jeffrey Brown: How did Matt take that?

10 Former Comm. Dir.: He seemed fine. I think he kind of knew that was the deal.

11 Jeffrey Brown: Were there ... I think you mentioned there are at least a couple people in
12 Representative Schwiekert's office that worked on the congressional side,
13 offical staff, but they also either volunteered or they took a leave of
14 absence to work-

15 Former Comm. Dir.: I don't think ... I think I was the only one. And Caldwell as well. We were
16 the only ones.

17 Jeffrey Brown: Garrett Archer?

18 Former Comm. Dir.: Oh, yeah. I guess Garrett did some too. I think it would have been only
19 three of us. I forget about the district office. Yeah, probably only three of
20 us.

21 Jeffrey Brown: So, there's those three of you that took time off to go volunteer-

22 Former Comm. Dir.: Mm-hmm (affirmative). Yeah, I was there maybe a week. It may have
23 been a week and a half. I was not there longer than probably 10 days.

24 Jeffrey Brown: Besides Dan, Garrett, yourself, and Oliver, are there any other staffers that
25 are volunteering on the campaign?

26 Former Comm. Dir.: Mm-mm (negative).

27 Jeffrey Brown: How about Matt?

28 Former Comm. Dir.: Tully?

29 Jeffrey Brown: Yeah.

1 Former Comm. Dir.: I don't think so. Not that I was aware of.

2 Jeffrey Brown: I'd like to get your perspective on whether and to what extent there were
3 sort of clear and appropriate separations for congressional staffers from
4 their campaign responsibilities or activities and their congressional
5 activities.

6 Former Comm. Dir.: Yeah, I mean, I think every office on the Hill ... not every office, a lot of
7 offices, especially in tough districts or in districts that have races, there's
8 always varying levels of expectancy or not. There was not at all any
9 expectancy as far as, I mean, I know a lot of ... having worked for the
10 House Republican Conference where I knew all House Republicans and
11 worked with them very closely. There were some, you know, like Tim
12 Murphy-like types of people and then David was very ... could care less if
13 he did. I loved him very dearly and wanted to help him out, so I took my
14 vacation time and helped him out. There was no obligation in that, but I do
15 know that there is some that goes around, but my experience was there
16 was never any expectancy that I volunteer on a campaign for him.

17 Kelly Brewington: Expectancy from whom? I just want to clarify.

18 Former Comm. Dir.: I think you guys were indicating expectancy from the congressional office,
19 right?

20 Kelly Brewington: From the congressional office, but from whom exactly?

21 Former Comm. Dir.: Oh, chief, member, whoever. Anybody.

22 Jeffrey Brown: Correct me if I'm wrong, but I think you're indicating there was no ...
23 Representative Schweikert wasn't applying any pressure to any anybody-

24 Former Comm. Dir.: No. Neither was Oliver.

25 Jeffrey Brown: Okay. Neither was Oliver?

26 Former Comm. Dir.: No. No.

27 Jeffrey Brown: So, we've spoken with other staffers who've indicted that Oliver would
28 perform campaign or fundraising activities in the congressional office. I'm
29 wondering what sort of activities you witnessed Oliver perform on the
30 congressional campus?

31 Former Comm. Dir.: Um. I mean, I couldn't, yeah, obviously, it wouldn't surprise me, but like I
32 said, there would be days where he wouldn't show up to work. There

1 would be days where he's always on his cell phone. He was always
2 walking around. You know, again, I was in a different office, so I tried to
3 stay away, as far away from that side of the office as possible, because I
4 just wanted to do my thing. So, I didn't necessarily see that, but it would
5 not surprise me at all.

6 Jeffrey Brown: Okay. So, let me just see if I can jog your memory a little bit. Did you ever
7 see him do any ... make or receive any fundraising phone calls in the
8 congressional office?

9 Former Comm. Dir.: I mean, he was always on the phone. I didn't necessarily like eavesdrop or
10 listen to them. So, again, it wouldn't surprise me, but I don't necessarily
11 remember at any given moment hearing anything specifically that he
12 would have said, but like I said, I wouldn't be surprised if that was the
13 case.

14 Jeffrey Brown: Did you ever ... well, let me ask you like this. You indicated that your
15 office isn't really near his office-

16 Former Comm. Dir.: Well, you know how a Longworth office is. It was split. We were kind of
17 like everybody and then it was David and Oliver, and then a assistant at
18 the time.

19 Jeffrey Brown: Okay. So, even if you're not… I think you said you don't specifically recall
20 seeing Oliver perform any ... excuse me, campaign or fundraising work in
21 the congressional office. Did you ever hear about that from anybody else?

22 Former Comm. Dir.: No, I mean, I think where Oliver has a very vast and wide longstanding
23 reputation of being a very shady person that's not a man of his word, so,
24 the fact that any of that would take place, doesn't surprise me. But did
25 somebody come up to me and say, "Hey, did you know Oliver?" No. A,
26 because I think it happens a lot and B, I think of all of the things Oliver
27 may have been sketchy on, that was probably the least sketchy. I don't
28 know.

29 Jeffrey Brown: What was the most sketchy-

30 Former Comm. Dir.: I mean, I think you guys all, I mean you can take a look at these
31 documents. You can look at the FEC filings, look at the MRA expenses,
32 look at campaign. I think the phone calls are probably the least of, but no,
33 nobody came up to me and said, "Did you know Oliver was taking
34 campaign calls?"

1 Jeffrey Brown: I think you said something like it was probably happening regularly and
2 that's why nobody mentioned it? Did I misunderstand what you said there?

3 Former Comm. Dir.: Happened right ... what do you mean?

4 Jeffrey Brown: Well you said nobody's saying, "Hey, "Former Communications
5 Director". I just saw Oliver ..."

6 Former Comm. Dir.: Are you talking about like fellow staff members?

7 Jeffrey Brown: Yeah.

8 Former Comm. Dir.: Oh. No.

9 Jeffrey Brown: Okay.

10 Former Comm. Dir.: No. Like I say, Oliver would barely ever be in that office, so, he'd be
11 pacing the hallways or whatever.

12 Jeffrey Brown: Okay. You said that a couple times now. So, Oliver is rarely in the office.
13 Where is he?

14 Former Comm. Dir.: He's just running around. I don't know. He's at the NRCC. He's at the
15 Capitol Hill Club. He's running ... walking around the office. I don't know.

16 Jeffrey Brown: Is he available to staffers when the staffers-

17 Former Comm. Dir.: Sure, if I would call him or need something, he would almost always
18 answer, absolutely. But again, if you're a hands-off boss, and the office
19 runs like clockwork, it's a, you know, plus or minus if you're there or not.

20 Jeffrey Brown: What do you mean? So, what's he doing? Is he working on political
21 activities, fundraising activities?

22 Former Comm. Dir.: I'm assuming. I assume. He wasn't going to the gym.

23 Kelly Brewington: Was Representative Schweikert aware of where he was or what he was
24 doing?

25 Former Comm. Dir.: I don't think so. If he was, I certainly didn't know. I was with David
26 probably more than most people.

27 Ali Bazzi: Did anybody express anything to you about where they thought he might
28 have been?

1 Former Comm. Dir.: No. I mean, again, if he was ... I mean, he would always be kind of
2 around, in and out throughout the day, so. But sometime, it wouldn't be
3 abnormal for him to be in Arizona for a week and not tell anybody. I
4 mean, like, "Where's Oliver?" "Oh, he's in Arizona." "Okay. Cool."

5 Yeah. So. I don't know. Again, I kind of have ... it was almost like he
6 could have been chief or couldn't have been chief and the office would
7 have been the same, functioning the same.

8 Jeffrey Brown: Did Representative Schweikert have an awareness of concerns that it
9 sounds like you, or Dan, or Matt may have had about his absences?

10 Former Comm. Dir.: Absolutely. Oh, Absences? I think general incompetencies, I absolutely
11 talked to Schweikert about it.

12 Jeffrey Brown: And what was his reaction?

13 Former Comm. Dir.: Kind of dismissive.

14 Jeffrey Brown: Why do you think he was dismissive about that?

15 Former Comm. Dir.: I think he's ... I don't know. That's been something that I've been trying to
16 figure out for years. I think it's just general denial or it's ... I choose to
17 think it's denial, but I think at some point denial turns into something
18 malicious if you've been told enough times, so.

19 Jeffrey Brown: How many times do you think he was informed of Oliver's incompetence?

20 Former Comm. Dir.: I mean, I told him many times.

21 Kelly Brewington: Is there an example you can think of that you can share with us?

22 Former Comm. Dir.: Again, I can't-

23 Kelly Brewington: Brought something to the representative and-?

24 Former Comm. Dir.: Yeah, I mean, again, I was the communication director and so I was a very
25 aggressive comms job. We got him out there quite a bit and it wouldn't be
26 abnormal for me to just ... Oliver would be spouting off to reporters and
27 I'd see it in the paper and they'd call me and say the exact opposite of what
28 David and I had been saying. Or he'd been to over committing and just
29 running his mouth in Arizona and donors had heard something that wasn't
30 the case. Or he told leadership that when David got kicked off his
31 committee, we're going to come crawling back. We're going to be come

1 crawling back. We're going to be moderate now. Do whatever you want
2 to. Just get us back on our A Committee. All these things that were in
3 stark disagreement to what I had talked to David about happening and, so,
4 those would have been instances where I said, "Why is he saying this?
5 And if so, it needs to stop. And if not, then, I'm leaving." Which I did. So.

6 Jeffrey Brown: Did you talk to the congressman about Oliver's absence from the office?

7 Former Comm. Dir.: No, I mean, because the chief can do whatever he wants. I mean, honestly,
8 the less he was around, the better for me. I wasn't complaining.

9 Jeffrey Brown: Were there complaints from staffers about Oliver's-

10 Former Comm. Dir.: Mm-hmm (affirmative). I think most people were pretty frustrated. I
11 mean, there were people that Oliver brought into the office as staffers that
12 I would have viewed just as incompetent as him. So, there was the three of
13 us that could do things really well, and the rest that knew that Oliver was
14 the hand that fed them and just did whatever he said.

15 Jeffrey Brown: You said just a couple of seconds ago that, you know, you had suggested
16 to Representative Schweikert that if things don't change you're going to
17 leave, and you did leave-

18 Former Comm. Dir.: Yeah, I mean, it wasn't an ultimatum. It was just a bunch of flags in the
19 ground. This is an issue. This is an issue. This is an issue.

20 Jeffrey Brown: Well, I should have asked you this earlier. Why did you ultimately leave?

21 Former Comm. Dir.: I left to go run a campaign in Kentucky. A primary against Mitch
22 McConnell for a man named Matt Bevin.

23 Jeffrey Brown: For?

24 Former Comm. Dir.: A man named Matt Bevin.

25 Jeffrey Brown: Okay. Did the decision to depart, did that have anything to do with your
26 frustrations in the office?

27 Former Comm. Dir.: It helped.

28 Jeffrey Brown: I'm just going to ... we spoke to a staffer who said he felt like the majority
29 of his time ... being Oliver's time as chief of staff, he was focused on
30 campaign work. Another person said, "Oliver would disappear for long

1 stretches to do fundraising work." Are these sort of things consistent with
2 what you were seeing?

3 Former Comm. Dir.: Yeah. Yeah. I couldn't tell you what he was doing, but I knew it wasn't
4 working in the office. So, I just ... I guess that would be the logical
5 assumption.

6 Jeffrey Brown: And it wasn't working congressional activities?

7 Former Comm. Dir.: Correct.

8 Jeffrey Brown: Okay.

9 Former Comm. Dir.: Mm-hmm (affirmative).

10 Jeffrey Brown: So, he's not in the office and he's not working on official congressional
11 activities?

12 Former Comm. Dir.: Right, as a typical chief who had experience before would have been.

13 Jeffrey Brown: Okay. Did you ever see or hear of any congressional staff members, so,
14 official side staffers being asked to perform research, or talking points, or
15 memos that would ultimately be used for campaigns or fundraising
16 activities?

17 Former Comm. Dir.: No, I didn't see that. I mean, I did all of the ... I mean, I did most of the
18 day to day of the comms side of the campaign when I was there for the
19 two weeks up to the primary ... ten days up to the primary. So, I did all
20 that on my own. I didn't ever ask anybody or anything, so, but if Oliver or
21 somebody had asked, I ... I mean, probably, maybe, but I wouldn't have
22 known it was for a campaign or official 'cause I kind of stayed in my lane.

23 Jeffrey Brown: How about, let's see if I can jog your memory. Leading up to the debate
24 or the quasi-debate with Ben Quayle, do you recall any congressional
25 staffers being asked to prepare materials or talking points? We're talking
26 about official-

27 Former Comm. Dir.: Did we, we didn't ... I don't ... did they have it ... did we have it ... It's been
28 so long. Did we have a debate?

29 Jeffrey Brown: It was end of March 2-

30 Former Comm. Dir.: There's no way 'cause-

1 Jeffrey Brown: It was end of March.

2 Former Comm. Dir.: Because Quayle ran away from every chance he got to speak in public.
3 Was it? I know, sorry. I didn't mean to interrupt.

4 Jeffrey Brown: No. I'm ... does that jog your memory at all? Or any memos on National
5 Defense Authorization Act? I realize that probably wouldn't have been
6 your lane.

7 Former Comm. Dir.: No. Sorry.

8 Jeffrey Brown: You mentioned Ana Schwab earlier. I think you mentioned others-

9 Former Comm. Dir.: Ana.

10 Jeffrey Brown: Ana. Ana Schwab. Okay. What connection did she have to the office?

11 Former Comm. Dir.: Uh, I don't ... I mean, I think she did some work on the campaign and got
12 paid for it, but aside from that, she was just around a lot. I don't think she
13 actually did anything in the congressional office.

14 Jeffrey Brown: Did she work for-

15 Former Comm. Dir.: Gossar?

16 Jeffrey Brown: ... lobbyists or any lobbyists?

17 Former Comm. Dir.: I think she still does, yeah.

18 Jeffrey Brown: Do you know what years that was?

19 Former Comm. Dir.: She left Gossar's office, probably in '13.

20 Jeffrey Brown: I'm curious. How does Oliver handle situations ... Well, let me back up
21 one step. Does Ana's lobbying firm or any clients, are they lobbying
22 Representative Schwiekert's office?

23 Former Comm. Dir.: I don't know anything about what she does. I'm sorry.

24 Jeffrey Brown: Are you aware of any of Ana Schwab's clients ever receiving any sort of
25 favorable treatment from the congressman or Oliver?

26 Former Comm. Dir.: Yeah, I don't ... I don't ... I mean, it wouldn't surprise me if Oliver got her
27 the job. I think she's had two jobs since Gossar, but I don't know anything

1		about the company. I couldn't name any of the companies she ... I don't
2		know anything about that.
3	Jeffrey Brown:	Did you ever hear anything second hand from anybody else?
4	Former Comm. Dir.:	No. No.
5	Jeffrey Brown:	Okay. Are you familiar with the company called Firetrace?
6	Former Comm. Dir.:	Yeah, I think so.
7	Jeffrey Brown:	Okay. Why does that ring a bell?
8	Former Comm. Dir.:	I think they're a company out of Arizona? Maybe in our district? Our old
9		district.
10	Jeffrey Brown:	You ever hear anything about Firetrace receiving any sort of favorable
11		treatment from Oliver?
12	Former Comm. Dir.:	Do ... is somebody ... is there a name associated with Fire Trace?
13	Jeffrey Brown:	Ah, there are a bunch of names associated with Firetrace. Do you
14		remember anybody over there?
15	Former Comm. Dir.:	No, I don't ... is it in Arizona?
16	Jeffrey Brown:	Yeah, Mark Cavanaugh or a Bill Eckholm. Do either of those names ring
17		a bell?
18	Former Comm. Dir.:	The Cavanaugh name may sound familiar, yeah, I don't... I mean Oliver
19		would go around promising everybody everything, so yeah, this doesn't
20		surprise me.
21	Jeffrey Brown:	How about Teddy Eynon?
22	Former Comm. Dir.:	That name sounds familiar.
23	Jeffrey Brown:	Do you know who that is?
24	Former Comm. Dir.:	I don't know who that is.
25	Jeffrey Brown:	Did Oliver ever loan Representative Schwiekert or his campaign any
26		money?

1 Former Comm. Dir.: Hm? Loan Schweikert money?

2 Jeffrey Brown: Yeah, or his campaign?

3 Former Comm. Dir.: Oliver gave him the campaign money.

4 Jeffrey Brown: Tell me about that.

5 Former Comm. Dir.: I mean, it's in all the reports.

6 Jeffrey Brown: You're talking about a specific donation?

7 Former Comm. Dir.: I don't know if it's been one, or two, or what. I know he's given him
8 $10,000, $20,000.

9 Jeffrey Brown: And you know that from reviewing FEC filings?

10 Former Comm. Dir.: Yeah. All this stuff is out there.

11 Jeffrey Brown: Are you aware of ... you keep saying like all this stuff is out there. What ...
12 you're just specifically-

13 Former Comm. Dir.: I mean, if you are a nerd like me, and there's motivation ... you know
14 where to look and there's motivation to look, and you look at MRA
15 expenses, and you look at campaign expenses, and you know how it
16 works. Like you see, okay, some of these things don't add up.

17 Jeffrey Brown: Okay. Do you have any specific examples in mind?

18 Former Comm. Dir.: I mean, most of this happened like when I left, right? So, I ... I mean, I
19 think looking back, I think almost all of it happened after I left because
20 Caldwell, and I, and Tully had a pretty tight ship on the office, but so there
21 wasn't accountability after we left. But it looks like, from everything I can
22 see, yeah, he's paid himself a ton of money, office expenses, office supply
23 expenses, thousands of dollars every month. That's not how that works on
24 the Hill, so.

25 Yeah, I mean it's been in plain site for a long time, so I'm glad somebody's
26 now starting to look at it. It's taken eight years, 10 years. You guys are a
27 little slow on the uptake over here, aren't you?

28 Jeffrey Brown: Did a ... let me see if I can jog your memory a bit with respect to specific,
29 well, loans. Did you ever here Oliver ever make any comments that David
30 or the campaign owes him money?

1 Former Comm. Dir.: Mm-mm (negative).

2 Jeffrey Brown: Okay.

3 Former Comm. Dir.: No. I mean, if I ... if he would have said that, I would have assumed that
4 meant just from the consulting fees he paid himself.

5 Jeffrey Brown: Did you have any involvement with campaign's finances during the 2012
6 cycle?

7 Former Comm. Dir.: No. I didn't get paid at all. I didn't deal with any of that.

8 Jeffrey Brown: What's Team Dave PAC?

9 Former Comm. Dir.: It's his congressional PAC.

10 Jeffrey Brown: Yeah. Do you know how that got set up?

11 Former Comm. Dir.: I don't.

12 Jeffrey Brown: Do you know if Oliver has a role on the-

13 Former Comm. Dir.: Oh, I'm sure he's probably the main person. I'm sure he probably set it up.
14 If anyone did, it was probably him.

15 Jeffrey Brown: Why do you say that?

16 Former Comm. Dir.: Because he's start to finish always been the campaign person.

17 Jeffrey Brown: As opposed to chief of staff, or the policy wonk, or the legislatively-
18 focused employee?

19 Former Comm. Dir.: Yeah, I mean, to some extent, every chief I've ever worked for has a
20 campaign hat. It's a responsibility.

21 Jeffrey Brown: Well, then let me ask you about that. So, it's not uncommon for chiefs to
22 do both political and official duties-

23 Former Comm. Dir.: Yeah, they're the only ones that have some of the leash to do, yeah.

24 Jeffrey Brown: Can you compare Oliver to other chiefs that you've worked with in terms
25 of the amount of time that he's working on official congressional side of
26 things versus political-

1 Former Comm. Dir.: Yeah, I stated earlier. I mean it was, it seemed like was mostly that, yeah.

2 Jeffrey Brown: Mostly that?

3 Former Comm. Dir.: Yeah, it wouldn't surprise me if it was the most of it. Everything he did.

4 Jeffrey Brown: You've mentioned on a couple of occasions that it's all right there in plain
5 sight, but I'm curious with respect to individuals aside from yourself, who
6 do think the OCE should be having a conversation with about either Oliver
7 Schwab or Representative Schweikert?

8 Former Comm. Dir.: I mean, I think starting there will be a great place...

9 Jeffrey Brown: Starting where?

10 Former Comm. Dir.: With both of them. I'm not sure who are you, are-

11 Jeffrey Brown: Well, I'm just wondering…you, knowing what you know now, knowing
12 the things that we've asked you about, having read this article, having
13 looked at the FEC reports, having looked at MRA disbursement reports,
14 who do you think is the most appropriate people to talk to aside from the
15 congressman and Oliver?

16 Former Comm. Dir.: I mean, I think there's parties involved that probably aren't going to be
17 super willing to talk because they are beneficiaries and I think Ana may be
18 one of them. Kat may be one of them. I mean, like I said, I don't deal with
19 anybody in the office.

20 Jeffrey Brown: By Kat, you mean Kat Dimenstein?

21 Former Comm. Dir.: Mm-hmm (affirmative).

22 Jeffrey Brown: Okay. Anybody else?

23 Former Comm. Dir.: Ah, I couldn't tell you. I think Kat still works ... I couldn't tell you who
24 works there. I don't know anybody there. Maybe Kelly Roberson. April
25 Blankenship, maybe.

26 Jeffrey Brown: Who's April Blankenship?

27 Former Comm. Dir.: She was-

28 Jeffrey Brown: Financial administrator?

1 Former Comm. Dir.: Yeah. I think she was somebody tempor- ... when I was there. Temporarily
2 hired to kind of run the books as an outside, shared employee. So, maybe
3 she saw somethings. I don't know. And, I'm sure she probably, actually,
4 did things right. I assume she did, so maybe she saw something that made
5 her leave. I don't know.

6 Jeffrey Brown: Okay. So, if you're sitting on this side of the table, what haven't we asked
7 you today that you think we should have asked you about?

8 Former Comm. Dir.: I'm just kind of curious the line of thought and like the line of questioning.
9 For me, it seems like ... I'm just curious why you're picking the line of
10 questioning and the things that you're choosing to focus on, with all of the
11 issues that could be with Oliver. I'm just curious what ... why you were
12 leading down the path of just simple. It seems like time. I was just curious
13 what ... why ... which of these line of questionings, which seems to be
14 going down one way, why are you picking that?

15 Jeffrey Brown: I don't follow.

16 Former Comm. Dir.: It seems like you're most concerned with how Oliver spent his time. Is that
17 correct?

18 Jeffrey Brown: That's for me to know. I'm going to ask questions-

19 Former Comm. Dir.: You said if I'm on that side of the table. You told me.

20 Jeffrey Brown: Well, a-

21 Former Comm. Dir.: I'm just curious why of all of, you know, what you've read in that article
22 and if you've asked him, you've gone over, you know, the Excel sheets, or
23 whatever, from what's made public for the last eight years.

24 Jeffrey Brown: You're curious why I didn't ask you more questions about the details in
25 this article?

26 Former Comm. Dir.: Or, just like the money itself. I mean, I don't, yeah, there's nothing I can
27 help you with because it's all there, like I said, but I just can't-

28 Kelly Brewington: What should we be asking you about the money?

29 Former Comm. Dir.: I mean, I guess I just assumed if you know like a congressional office,
30 which you guys do, you know that there's a lot of money that's missing
31 and I'm just curious why it seems like the time is more important to you.

1		I'm just curious ... I don't even ... you asked me to ask you a question, and
2		I'm asking you that.
3	Jeffrey Brown:	I didn't ask you to ask me a question. I asked, what-
4	Former Comm. Dir.:	That's how I heard that.
5	Jeffrey Brown:	... should I ask you? Kelly did you have anything else for "Former
6		Communications Director" before we-
7	Kelly Brewington:	Just if you had any other specifics. You said the line of questioning, any
8		specifics, that you know that you would share with us about issues that
9		you think should have been raised?
10	Former Comm. Dir.:	I think I've mentioned it all. I mean, I think I've articulated my concerns
11		that I had at the time and, obviously, I've been on the outside for a long,
12		long time, so, anything I see is just as a recorder I like to check.
13	Jeffrey Brown:	I mean, it sounds to me like you have a very low opinion of Oliver's
14		management style-
15	Former Comm. Dir.:	Of him generally.
16	Jeffrey Brown:	Of him generally. You ... I get the impression you're pretty diligently
17		looking after FEC disbursement reports related to the congressman-
18	Former Comm. Dir.:	I mean to a lot of congressmen, but I always check within them too. Mm-
19		hmm (affirmative).
20	Jeffrey Brown:	Is there ... I mean is there ... you've given us a lot of what I think is just ...
21		what I think is short on specifics and long on just the general distaste for
22		Oliver. Is there anything, more specific examples-
23	Former Comm. Dir.:	I mean, I think that I-
24	Jeffrey Brown:	... of ways in which, perhaps-
25	Former Comm. Dir.:	I don't think I gave you distaste. I think I gave some examples. So, if you
26		are walking away from this conversation thinking it's a personality
27		difference or an ax to grind, that would be inaccurate.
28	Jeffrey Brown:	Why do you say that?

1 Former Comm. Dir.: Because that's not what I said. I think there was a lot of issues in the way
2 he treated staff and the way that he treated the finances, as I said earlier,
3 that I'm ... that I was frustrated with and that's ultimately what led me to
4 have tough conversations with the boss and ultimately leave that office.

5 Jeffrey Brown: Well, I guess then-

6 Former Comm. Dir.: So, it's not a general distaste.

7 Jeffrey Brown: Well, then let's talk about it for a second. So, what are some of the ways in
8 which he mistreated staff?

9 Former Comm. Dir.: I mean, he fired one of my best friends in the office for really no reason.

10 Jeffrey Brown: How'd that happen?

11 Former Comm. Dir.: He's just a very whimsical person. Whatever he felt in the moment.

12 Jeffrey Brown: Any other staffers you recall being mistreated?

13 Former Comm. Dir.: I think by allowing some, what you would deem as a bad actor in the
14 office, to kind of be charge or let, kind of, run the ship and, kind of, punish
15 the hard working ones, which is why there was a decent exodus in the
16 middle of '14.

17 Jeffrey Brown: And you're talking about yourself, and Dan, and Matt?

18 Former Comm. Dir.: And, yeah, and Caldwell and Aryeh, and Garrett Archer.

19 Jeffrey Brown: Aryeh, who is that?

20 Former Comm. Dir.: A staffer that Oliver fired for no reason.

21 Jeffrey Brown: So, any other specific examples of mistreatment of staff before I move on
22 to the other issues you raised?

23 Former Comm. Dir.: I mean, I think ... I mean, not mistreatment, but just talking to reporters
24 about either staff or the congressman. I think that's disrespect for the
25 person in the office that should be talking to reporters. So it was-

26 Jeffrey Brown: It was you.

27 Former Comm. Dir.: Yeah, but it was that way with every staffer. Like Paul [inaudible
28 00:44:51] said he'd promised a member of Congress one thing and then

1 the LD had been doing something different. So, it was just that all the
2 time.

3 Jeffrey Brown: Okay.

4 Former Comm. Dir.: So, I think I've given examples, so it's not general, so.

5 Jeffrey Brown: Okay. I'm not trying to put words in your mouth. I want to make sure you
6 get an opportunity to explain to us any concerns that you have.

7 You said mistreatment of staff and then you also raised finances ... issues
8 with Schwab's finances. So, let me make sure I'm clear on what you're
9 focused on ... problematic MRA disbursements-

10 Former Comm. Dir.: Yeah, I mean, I think-

11 Jeffrey Brown: Office supplies I think you mentioned?

12 Former Comm. Dir.: Yeah, no, I think obviously, probably lots of ... he's probably taken almost
13 all of the money if not most of it, on the campaign side, or as David. That
14 doesn't necessarily bother me as much as MRA money because that's
15 taxpayer money and I'm very, very passionate about the fact that if you're
16 going to pay staffers very little in order to save the taxpayer money so you
17 write it back to the Treasury and get a nice press release out of it, that
18 money shouldn't be going to one person who's getting all of it.

19 And, I was very, very stingy, like whenever I would travel for work, I
20 almost paid everything out of pocket. Now, I know that's ridiculous. I
21 probably should have gotten it reimbursed, but I was very paranoid about
22 not being that person, so, kind of looking back and seeing how he really
23 misused and abused the money in the office has been very troublesome
24 and frustrating to me.

25 Jeffrey Brown: So, let's talk about the MRA use. Are you talking ... this report here talks
26 about the trip to Arizona during the Super Bowl weekend in 2015. Did you
27 ever witness any other examples where, for example, Oliver's using MRA
28 funds to travel to the district, but instead of doing official work, he's doing
29 campaign and fundraising work-

30 Former Comm. Dir.: I wasn't, I don't know what he did on any of these trips, but I would be
31 very, very surprised if he did a single moment of work-work. It would
32 have only have been probably campaign, but I don't know who paid for his
33 trips. Again, he would just disappear and we wouldn't know where he'd

1 go. He'd travel a lot. I was always suspicious that maybe there was
2 taxpayer money there too. I couldn't tell you.

3 Jeffrey Brown: So, it sounds like you've looked at MRA disbursements to the
4 representative or for the representative pretty closely. Aside from the
5 Arizona trip that's mentioned in this article, were there other things that
6 you were particularly focused on or concerned about that you saw?

7 Former Comm. Dir.: I mean, I think, it's been a while, but I think 2017, I remember going
8 through all the MRA filings and he was the single-handedly, of all the
9 members of Congress, the most reimbursed-back chief of staff, upwards of
10 like tens of thousands more than anybody else. I did that work on my own.
11 I figured it out, but yeah, that frustrates me.

12 You know how, if you know the Hill well, you know how office expenses
13 work. You usually take the card, and you go downstairs, and you buy it
14 out of the shop. So, to see a lot of office expenses being expensed back
15 every month, that's not typically how a traditional office works.

16 Jeffrey Brown: Anything else on MRA disbursements before I move on? Okay. You also
17 raised concern about FEC disbursements, and I think the thing you were
18 most focused on was disbursements that are made by campaigns or PACs
19 affiliated with the representative to either Oliver or Chartwell, his
20 company. You had mentioned those, so at least we discussed this. Is there
21 anything else in those FEC reports that raised red flags for you?

22 Former Comm. Dir.: Um, I'm trying to think back. I mean, I think what I used to do, and I've
23 since gotten a life, but I would google address a lot of the companies that
24 the campaign, the PAC and the campaign don't give out a lot of money.
25 So, when they were non-Chartwell, non-Schwab, non-the other female
26 staffer on the campaign. If they were not given to them, I would then note
27 who these people ... who these different entities were and I don't even
28 remember what the name of one was, but one of them didn't seem to exist.
29 I looked at google address and it was like the middle of like, not even
30 Maricopa county, but I don't know. I didn't trace it down far enough, but
31 like it wouldn't surprise me if the other payments made by the campaign to
32 entities were actually not actually for a campaign. It wouldn't surprise me.
33 But I never ... I mean, that was all just, that's never been proven. I never
34 figured it out.

35 Jeffrey Brown: So, aside from ... so there's ... it's fair to say it's sort of a general suspicion
36 about some of the other entities that were receiving campaign funds, but
37 never to the point that you'd figured out that -

Former Comm. Dir.: Yeah, and then this was years after I left and, I mean, I would honestly not put too much past him, so I figured it was probably bad. It was probably not campaign.

Jeffrey Brown: Um, Ali, did you have anything else before we wrapped up "Former Communications Director"?

Ali Bazzi: Um, one thing earlier, you had mentioned that Oliver Schwab treated those that were friends with his wife, Ana, fairly well compared to those that he viewed as competent, he viewed them as a threat. Could you clarify what you meant by treating them well if they were friends with Ana, any specific examples?

Former Comm. Dir.: I think just payment, staff, allowing going on Staff-Dels, or payment, excuse me, salary.

Ali Bazzi: Okay.

Jeffrey Brown: Kelly, do you have anything else?

Kelly Brewington: I do not.

Jeffrey Brown: Okay.

Kelly Brewington: I don't.

Jeffrey Brown: Anything else before we wrap up, "Former Communications Director"?

Former Comm. Dir.: No. I mean, if you have further questions, please let me know. I'd rather you ask me than assume that it's just a general distaste of somebody-

Jeffrey Brown: Okay. Well, I didn't mean to misstate what you said there, but I felt like we had ... you had on several occasions made comments that were very indicative of a distaste, and I appreciate -

Former Comm. Dir.: Yeah, and it's because of activity, it wasn't because of a personality thing. It was because of-

Jeffrey Brown: Well, I ... what I wanted to say was I appreciated you providing us a little bit more detail on that, so if there are other examples of specific issues that you recall, I'd be happy to hear those. I think part of what I'm also hearing too is that you felt like when you were in the office, and Matt was in the office, and Dan was in the office, and Cassie you said, there was a bit more of a check and balance on Oliver and part of your concern-

1 Former Comm. Dir.: Yeah, because-

2 Jeffrey Brown: ... that check and balance system isn't there right now.

3 Former Comm. Dir.: Yeah, I mean we all left.

4 Jeffrey Brown: Or hasn't been since 2014.

5 Former Comm. Dir.: Possibly. I think that's kind of where you can see too, that uptick of
6 money. Yeah, I mean, I'm sorry I don't have the emails in front ... I don't
7 have my emails anymore. I just know that, Oliver would go out of his way
8 to not say nice things about former colleagues and to ... even to reporters
9 to such and I think there's kind of a general consensus amongst a lot of his
10 staff that worked for him, not just in his office, but former, like financial
11 services staff, he used to be on that committee, and a lot of lobbyists. Like
12 there's just a very ... former members of Congress, even. Oliver is just a
13 name that they know to always avoid, so it's not a minor, "Okay, here's a
14 couple disgruntled former staffers." It's a very widespread problem that's
15 been a problem for a very long time and it's not been something that's been
16 quiet.

17 Jeffrey Brown: I want to ask you one more question. You said earlier, this isn't because
18 I've got an ax to grind. You're sort of, I think, following up on that a little
19 bit right there. Can you elaborate on what you meant by that? You said
20 this isn't me coming here with an ax to grind.

21 Former Comm. Dir.: Yeah, you saying that I'm coming here with a general distaste of
22 somebody. That's me saying that I don't think he treats people well, and
23 it's not because I didn't ... I don't like his personality, or I don't like him as
24 a person, it's that there's just been an abuse of things and people in the past
25 eight years, and their very widespread.

26 Jeffrey Brown: Aside from the things that we've talked about already, is there any other
27 more specific instance of mistreatment that you felt like Oliver had
28 engaged in relative to you?

29 Former Comm. Dir.: Oh, I mean, I knew he talked…when I left his office to go work for Matt
30 Bevin, he threw me understand the bus to a couple reporters and some
31 stories were written about me leaving the office.

32 Jeffrey Brown: I don't have anything else. I want to thank you for-

1 Ali Bazzi: Oh, sorry. One more question. So, you had mentioned with the FEC
2 disbursements, there were certain entities that you said that you were
3 looking into that might-

4 Former Comm. Dir.: I just googled them, yeah.

5 Ali Bazzi: Yeah, they might ... were there any ... other than entities, and like
6 companies, or businesses, were there any individual disbursements to
7 individual people that might have-

8 Former Comm. Dir.: I haven't. I don't remember. I mean, I haven't seen it. It's been a while
9 since I last looked at FEC. It wouldn't surprise me. I'm sure there's a
10 couple of other people that got paid money from the office that weren't
11 working for the office.

12 Ali Bazzi: You just don't ... you don't know any names or anything?

13 Former Comm. Dir.: I mean, I've heard Brett Mecum got a lot of money from the Schweikert
14 office, but I don't know if that was campaign or official. I didn't see any of
15 it firsthand. I just heard from somebody.

16 Jeffrey Brown: And who's Brett Mecum?

17 Former Comm. Dir.: I don't know what he does now. He was a former GOP Chairman of
18 Arizona and a good friend of Oliver and he would be where ... he would ...
19 I don't know what he and Oliver did, but Oliver stayed with him and paid
20 him rent, which I assumed was from MRA to live in Arizona sometimes.

21 Ali Bazzi: And you mentioned you had heard it from who?

22 Former Comm. Dir.: I don't even remember who. It was probably pretty widespread.

23 Ali Bazzi: Okay. Other than Brett Mecum?

24 Former Comm. Dir.: Any other names? Mm (neutral). No. I mean, not that I can think of.

25 Jeffrey Brown: I missed what you said there. Sorry, you said something about Oliver
26 paying Brett to live-

27 Former Comm. Dir.: So, Oliver would go back to the district, like all the time, and I think
28 instead of sometimes staying at hotels, sometimes he would stay at very,
29 very nice hotels, sometimes he would also just stay with Brett and he paid
30 Brett rent and I assumed that was from campaign or MRA money.

1 Jeffrey Brown: In other words, Oliver is taking MRA funds and, instead of applying that
2 to a hotel, he's getting reimbursed and-

3 Former Comm. Dir.: I don't know that for a fact. I just assumed that was what was happening
4 just based on what I'd heard.

5 Jeffrey Brown: From who?

6 Former Comm. Dir.: Probably other people. I don't know. Other people in the office, people in
7 Arizona, Brett, I don't know. I don't know. Brett was always around, so.

8 Jeffrey Brown: And did Brett, was he ever an employee of the-

9 Former Comm. Dir.: No, no, no. No. He's only ever been like a political operative in Arizona.

10 Jeffrey Brown: How are he and Oliver friends?

11 Former Comm. Dir.: I don't know. They probably just met through Arizona politics.

12 Jeffrey Brown: All right. Well, thank you for coming in. Thank you for your time. I think
13 we managed to keep this under an hour for you.

14 Former Comm. Dir.: Great. Well, thank you.

15 Kelly Brewington: Thank you.

16 Former Comm. Dir.: Yes.

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ERRATA SHEET

Page	Line	Correction	Reason
		no corrections	

This errata sheet is submitted subject to 18 U.S.C. § 1001 (commonly known as the False Statements Act).

Witness Name: [redacted]

Witness Signature: [redacted]

Date: 7/12/18

EXHIBIT 6

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

Transcript of Interview of "Former District Staffer"

June 29, 2018

COE.SCHWEIKERT.004987

18-2234_0188

1 Jeffrey Brown: All right, this is Jeff Brown with the Office of Congressional
2 Ethics. With me is my colleague, Omar Ashmawy, and our law
3 clerk, Ali Bazzi. Before us, we have "Former District Staffer". It is
4 Friday, June 29th, just before 11:00 a.m. "Former District
5 Staffer's" been given a copy of the false statements warning and
6 signed the acknowledgement form. And with that, we will get
7 started. It's alright if I call you "Former District Staffer"?

8 Former District Staffer: Yes.

9 Jeffrey Brown: "Former District Staffer", where do you currently work?

10 Former District Staffer: I am the Executive Director for an organization called Concerned
11 Veterans for America.

12 Jeffrey Brown: And can you tell us a little bit about what the organization does?

13 Former District Staffer: We are a veteran's advocacy organization. We advocate for
14 policies that we feel will improve the lives of veterans and all
15 Americans. We've been very active, for example, on reforming and
16 fixing the Department of Veterans Affairs. We are a 501(c)(4), so
17 we do advocacy. We have a sister organization called Concerned
18 Veterans for America Foundation that is a 501(c)(3). It's a separate
19 entity, but I work with them as well, too. That is an educational
20 organization.

21 Jeffrey Brown: Does Concerned Veterans for America, do they have a connection
22 to Americans for Prosperity?

23 Former District Staffer: Yes. We are part of the wider, what they call, the Seminar
24 Network, also known as the Koch Network.

25 Jeffrey Brown: When I called the office, the individual who picked up-

26 Former District Staffer: Yes.

27 Jeffrey Brown: ... yeah, okay. So prior to ... You are currently the Executive
28 Director?

29 Former District Staffer: Yes.

30 Jeffrey Brown: Did you have a role at Concerned Veterans for America that was
31 something aside from Executive Director?

1 Former District Staffer: I've had several roles there. I've been Issue Campaign Manager,
2 and then Policy Director. The titles changed but in effect, aside
3 from being Executive Director, I was managing large parts of the
4 policy portfolio for the organization. Also managing
5 communications team, and I also served for a brief time as a
6 grassroots organizer. So I've done a lot in the organization, from
7 our grassroots and comms and policy, and now the Executive
8 Director the last six, about seven, eight months now.

9 Jeffrey Brown: And prior to joining on with Concerned Veterans for America,
10 where you employed by Representative Schweikert?

11 Former District Staffer: I was, yes.

12 Jeffrey Brown: And in what capacities?

13 Former District Staffer: I originally, I was not paid but I started as an intern. And then from
14 there, I served as a constituent caseworker, Deputy District
15 Director, and left as Deputy Chief of Staff.

16 Jeffrey Brown: What timeframes are we talking about here, roughly?

17 Former District Staffer: Summer of 2011 to summer ... late summer, fall of 2013.

18 Jeffrey Brown: And you said, sorry, summer of 2011?

19 Former District Staffer: Yes.

20 Jeffrey Brown: How'd you first connect with the Schweikert office?

21 Former District Staffer: They were my representative in Congress at the time. I actually
22 had volunteered a little bit on the 2010 campaign. I was aware of
23 David. We'd kind of grown up ... obviously, he's much older than I
24 am, but we'd kind of grown up in the same area. Ideologically, we
25 were very aligned. When I left the Marine Corps, I was going to
26 school on the G.I. Bill and my wife encouraged me to get an
27 internship, so I applied for an internship with Congressman
28 Schweikert's office, and I got it, and actually within a couple of
29 months, they offered me a position.

30 Jeffrey Brown: And that's summer 2011 that that internship occurred?

31 Former District Staffer: Yes, yeah.

1 Jeffrey Brown: Did you have a role on the campaign as well?

2 Former District Staffer: In 2012, I took a leave of absence from end of May to end of
3 August to serve as the Campaign Manager.

4 Jeffrey Brown: And that was your title, Campaign Manager?

5 Former District Staffer: Yes. I also from that point, I also in after hours and off work hours
6 did attend political events and handle campaign matters for the
7 Congressman. I was not, in the traditional sense of the word, I
8 didn't have many of the responsibilities of a traditional Campaign
9 Manager. Basically, my job was kind of more of an operations
10 manager is how I'd describe it.

11 Jeffrey Brown: I might come back to some of that in a minute or two. You said
12 you took a leave of absence in May through August of 2012.

13 Former District Staffer: End of May, around Memorial Day of 2012.

14 Jeffrey Brown: Did you have involvement with the ... I guess I'll ask two questions
15 here. Did you have involvement with the campaign prior to May
16 2012?

17 Former District Staffer: No. I would, I think, not an official role. I think I maybe attended
18 one or two political events, but I didn't have any operational role in
19 the campaign prior to that.

20 Jeffrey Brown: Were you volunteering? Because I think before May 2012, you're
21 on the official Congressional staff.

22 Former District Staffer: Mm-hmm (affirmative)

23 Jeffrey Brown: Are you volunteering your time either before or after work prior to
24 May 2012?

25 Former District Staffer: If I did, it was attending one or two events, and it wasn't even as ...
26 It was purely as an attendee. I don't recall having any operational
27 role in it, in that. I was actually very surprised when they asked me
28 to do it. It was really out of left field for me.

29 Jeffrey Brown: But you said you have volunteered on the 2010 campaign?

30 Former District Staffer: Yes, and I was not doing any ... I'd come in once a week and make
31 phone calls. I talked to David once or twice. I talked to Oliver

1		Schwab, who was Campaign Manager at the time. That some of
2		this was a very high level race, had so many volunteers in there,
3		and I was maybe in there once or twice a week, if that.
4	Jeffrey Brown:	You said Oliver Schwab was the Campaign Manager? You're
5		talking about the 2010 race.
6	Former District Staffer:	Yes.
7	Jeffrey Brown:	Well let me ask you this. What's your current relationship with
8		Representative Schweikert?
9	Former District Staffer:	We talk every once in a while. I do lobby his office from time to
10		time to support veteran legislation. Actually, I don't officially do
11		the lobbying. I have a government affairs rep who does it, but we
12		are in touch with his office and encouraging him to support bills.
13		David has come to CVA events in the past. It is generally a good
14		one. Since he's not on a lot of the relevant committees we deal
15		with, particularly House Armed Services or Veterans Affairs, we
16		aren't in frequent contact. But I would say usually touch base about
17		once or twice a quarter, sometimes less.
18	Jeffrey Brown:	And is that you're giving him a call or he's coming to an event, or
19		you're stopping by the office? How are you generally keeping in
20		touch with Representative Schweikert?
21	Former District Staffer:	Just, I will give him a call directly, and I also will touch base with
22		the office through the scheduler, whose name at the time eludes
23		me, and Katherina, if she's still there, Legislative Director. Since
24		I've taken over as Executive Director, I pushed a lot of that down
25		to our government affairs rep and to our policy director.
26	Jeffrey Brown:	We've mentioned Oliver Schwab. Who is Oliver Schwab to you?
27	Former District Staffer:	When I first met Oliver in 2010, he was a Campaign Manager. And
28		when I was first hired as both an intern and then as a full-time
29		staffer in 2011, he was the Chief of Staff.
30	Jeffrey Brown:	Do you and Oliver keep in touch?
31	Former District Staffer:	Not frequently. I actually have not talked to Oliver in probably
32		close to a year. I connect normally directly with David or the
33		legislative director. I believe the last time I talked to Oliver was a

1		stop-in in his office the early fall, late summer of 2017 maybe, if
2		that. It has been a long time.
3	Jeffrey Brown:	You haven't connected with Oliver since November 2017?
4	Former District Staffer:	Probably prior, even before that.
5	Jeffrey Brown:	Before that, okay.
6	Former District Staffer:	It's been awhile.
7	Jeffrey Brown:	Can you talk to me a little about Representative Schweikert's
8		relationship with Oliver to the extent that you witnessed that?
9	Former District Staffer:	David depended a lot on Oliver. He leaned on Oliver for a lot of
10		things, put a lot of trust in Oliver. Oliver was the staffer that he
11		was probably closest to, which is not surprising considering his
12		role as Campaign Manager and Chief of Staff.
13	Jeffrey Brown:	Do you know how Oliver and Representative Schweikert first
14		connected?
15	Former District Staffer:	Yes. David's general consultant, a gentleman by the name of Chris
16		Baker, was also a consultant for a group called Club for Growth,
17		and he knew Oliver when Oliver was a junior staffer at Club for
18		Growth. What I have been told by Oliver was that Oliver was kind
19		of looking to do something different. He'd been at the Club for
20		Growth for a while. Club for Growth had supported David, so he
21		kind of was aware of David from 2008. This is Schweikert; he
22		doesn't like to be called Congressman, so if I say David, I'm
23		referring to the Congressman.
24		So David was supported by the Club in 2008, and so Chris needed
25		a campaign manager, he knew that Oliver was looking to do
26		different things and brought Oliver out to Arizona I believe -- this
27		was, again, I don't have direct knowledge; this is what I've been
28		told second, third hand -- in the beginning of 2010. And Oliver, I
29		think actually for a period of time when he first came out here,
30		lived in David's house and then lived in one of his rental properties
31		while he was managing the campaign.
32	Jeffrey Brown:	Subsequently, Oliver becomes Chief of Staff ...
33	Former District Staffer:	Mm-hmm (affirmative)

1 Jeffrey Brown: ... when the, I guess, when Representative Schweikert's sworn in in
2 2011.

3 Former District Staffer: Yes.

4 Jeffrey Brown: Rachel Semmel. Do you, who's Rachel Semmel?

5 Former District Staffer: Rachel Semmel was Communications Director at the time when I
6 first was hired.

7 Jeffrey Brown: In the official office?

8 Former District Staffer: Yes.

9 Jeffrey Brown: Did she have any role in the campaign?

10 Former District Staffer: Not directly. When we would communicate though, because we'd
11 often get campaign inquiries into the official office and she would
12 forward them over to me and Chris Baker, who was a general
13 consultant. But she was not officially part of the campaign, but just
14 the nature of how it was playing out, there would be campaign
15 inquiries that would go to her. I don't remember if she ever took an
16 official leave of absence or anything to work on the campaign. She
17 might have. Again, I don't recall specifically. She might have been
18 part of a group of staffers that, end of August, 2012 where she took
19 a leave of absence, which is fairly common, and came and helped
20 out the campaign, but never in an official capacity.

21 Jeffrey Brown: Do you know when and why she left the Representative's office?

22 Former District Staffer: She left voluntarily. She left to go work for Matt Bevin, and I think
23 that she was just looking to do other things. She left around the
24 same time I did, along with other group of staffers within this same
25 month. I think that we all kind of reached a point where David, we
26 kind of had thought that David was going to go in a certain
27 direction. I'm not talking ideologically; I'm talking more in terms
28 of where his career was going to go, and he wasn't going to go that
29 way. And so I think we all made a decision it was time to move on
30 to bigger and better things.

31 Jeffrey Brown: What do you mean the direction that his career was going to go?
32 Like run for another office?

33 Former District Staffer: Yes, yeah.

1 Jeffrey Brown: Senate?

2 Former District Staffer: That was kind of the assumption, that he would run for Senate in
3 2016.

4 Jeffrey Brown: Let me ask you to follow up on that. Can you talk to me a little bit
5 about ... You said when you left the office, but a little bit more
6 about why you left the office?

7 Former District Staffer: I left the office. I resigned. I just, the last few months I was there, I
8 was kind of not happy. I was promoted to Deputy Chief of Staff. I
9 think that some other staffers resented that, so I had some tension
10 with some other staff. I was not particularly happy with kind of the
11 direction of where the office was going at the time. So after talking
12 to Oliver and Ernestina, who was our Constituent Service Director
13 out there, who I worked closely with and I originally reported to,
14 made the decision to resign.

15 Jeffrey Brown: Why were you unhappy? What was going on?

16 Former District Staffer: It wasn't ... it just was kind of the ... It really kind of goes back to
17 what I said earlier, is that we kind of thought that David was going
18 to be going in a direction that he didn't ultimately want to go in,
19 and that was setting himself up for a Senate run. The first two
20 years, with his first term it was pedal-to-the-metal, constant media
21 focus -- and when I say media focus, getting in front of the camera,
22 a lot of events, big focus on constituent services -- and then
23 building out a reputation as a solid conservative policy thinker,
24 which he is and still is, and that was to potentially run for Senate.

25 It'd become clear that by 2013, that David was very happy in the
26 House, and that he wanted to kind of stay there and turn the
27 volume ... when I say turn the volume down, and slow down a bit.
28 In retrospect, I think that he was a little worn out. He'd run in two
29 tough races, three -- 2008, 2010, and a very difficult race in 2012,
30 very brutal -- and I think that he wanted to slow down, take a
31 breath, and he had a very highly talented office. We had a lot of
32 people that were very talented, and that's a lot of Type-A, high
33 caliber people to have in a small office, and he didn't really need
34 all of us. And so I think a lot of us kind of looked around, and there
35 were some opportunities, and we made a decision to leave. And I
36 think in retrospect it was the right one for all of us, and I have
37 tremendous respect and admiration for David for what he allowed
38 me to do and what he did for me at that time.

1 Jeffrey Brown: Who else, you keep saying 'we', who else is a part of this group?

2 Former District Staffer: Garrett Archer, who works now for the Arizona Secretary of State,
3 and he went to work for the Arizona Attorney General. He was
4 another staffer that took a leave of absence with me to go to the
5 campaign. He was kind of like my deputy. Rachel, and then Matt
6 Tully who left, who was the Chief of Staff when Oliver left in, I
7 forget the exact month, in 2012 to run the super PAC. And Tully
8 remained Chief of Staff for about a year after that, and he became a
9 lobbyist.

10 Jeffrey Brown: Rachel. What sort of relationship did she have with Oliver?

11 Former District Staffer: They had a very good one the first couple years. Towards the end
12 of Rachel's time there, I think it kind of fell apart. But I was mostly
13 in the Arizona office and I didn't directly witness a lot of that.

14 Jeffrey Brown: Why do you say it fell apart?

15 Former District Staffer: I think that Rachel, from what was told, that she felt that Oliver
16 wasn't being truthful with her and was not managing the office
17 well, in her mind. And she… I think the primary motivation,
18 though, for her was that she had an offer to do some campaign and
19 she wanted to build up her resume there, and that's I think the
20 primary reason why she left.

21 Jeffrey Brown: You said something about Oliver not being truthful. What were the
22 specifics on that?

23 Former District Staffer: I'll just be very candid. I think that Oliver ... When Oliver came
24 back from running the super PAC, I think a lot of us kind of
25 noticed he was a different person. And I think that Rachel
26 especially having dealt with him just didn't feel like he was being
27 honest and trustworthy and straightforward.

28 Jeffrey Brown: What do you mean 'different person'?

29 Former District Staffer: Again, we really couldn't trust what he was telling us in a lot of
30 cases. I think a lot of us felt… I think that he was a lot more
31 interested in building his own kind of ... I won't say network is the
32 right term, but kind of focusing a lot more on himself as opposed
33 to David and serving David. And it was frustrating I think for some
34 of the staffers, especially in D.C., to kind of see that dynamic, and
35 to see David so placing an inordinate amount of trust in Oliver.

1 And we felt that he was not being truthful about a lot of things,
2 things happening in the office, and things like that.

3 Jeffrey Brown: Anything specific come to mind that he wasn't being truthful on?

4 Former District Staffer: This has been five, six years in many cases. I will tell you that one
5 consistent problem is that Oliver would go and meet with another
6 office, usually with leadership, and they'd discuss something, and
7 then he'd come back and he'd tell the leadership team in the office
8 that this is what was discussed, and we'd find out after the fact it
9 was like, no, that didn't happen. That was happening with some
10 constituent service stuff internally, and it just became an ongoing
11 issue. He also wasn't present a lot in the office in the last few
12 months I was there. I'd hear from Rachel, I'd hear from Beau
13 Brunson, who was legislative director at the time, that they didn't
14 know where Oliver was. He'd disappear for four or five hours. And
15 just very odd behavior that wasn't present those first two years.

16 To sum it all up, it became a different office in the middle of 2013,
17 and it's hard to put my finger, say exactly what happened. And part
18 of it was driven by David wanting to focus kind of ... I think that
19 he needed to devote more time to himself personally to improve
20 his relationship. He had bought a new house and he wanted to
21 focus more on that because he had been running hard for, by that
22 point, close to six years, and he needed to take a break.

23 But I think that was only one part of it. And again, I was mostly
24 2,000, 3,000 miles away in Arizona, and I didn't see the day-to-day
25 interactions. I came out a few times, or I'd see David and Oliver
26 back in Arizona, and I'd hear a lot about this secondhand. So I just
27 want to emphasize that, that a lot of this stuff was secondhand in
28 that case, but in my interactions with David and with Oliver in the
29 middle of 2013, you could start to see a change.

30 Jeffrey Brown: Let me ask you a little bit more about that. So you were based out
31 of the Arizona office?

32 Former District Staffer: Yes.

33 Jeffrey Brown: And who were you reporting to when you started and eventually-

34 Former District Staffer: When I eventually, when I left ... Or excuse me, when started, I
35 reported to Ernestina Borquez-Smith, who was the Constituent
36 Service Director, and I believe she's still there. And then I also

1 reported up to Kevin Knight, and then I reported to Matt Tully and
2 Oliver my last seven, eight months there.

3 Jeffrey Brown: As time goes on, who's reporting to you?

4 Former District Staffer: This is kind of one of the issues. There wasn't a clear org chart or
5 chain of command. You had that in the first two years, but it
6 became kind of muddled. Kevin Knight never really reported to
7 me. I'll just be honest; my title of Deputy Chief of Staff in
8 retrospect seems more honorific than anything, than operational. I
9 think they needed that so that when I was representing the
10 Congressman or they felt having that title carried weight, and I
11 think that's mainly why they gave it.

12 Jeffrey Brown: How frequently was Oliver in the District office when you were
13 there?

14 Former District Staffer: It all depended. He could be there as frequently as once or twice a
15 month. When I first started, it was usually once every other month.
16 And then, towards the end of our tenure, it became about once a
17 month.

18 Jeffrey Brown: I guess I'm trying to get a sense for how closely you were working
19 with Oliver, either over the phone, through email, or in the District
20 office?

21 Former District Staffer: Very closely towards, especially my last eight, nine months. I was
22 frequently in touch with him usually once a day at least.

23 Jeffrey Brown: Let me ask you about other folks in the office. Is there anybody
24 else either on Representative Schweikert's current staff or former
25 staff that you keep in touch with?

26 Former District Staffer: It's really only Rachel Semmel; actually there was an intern for
27 David who was way past my time. She wound up working for
28 CVA and stays with them in Koch Network, but I didn't work with
29 her. And David actually found out after the fact she worked with
30 David, and she was hired by somebody else.

31 Jeffrey Brown: Who was that?

32 Former District Staffer: Her name is Kara Blasier. She was, again, an intern I think 2015,
33 and I never knew her working or her capacity for David. I'm trying
34 to think… I touch in with Ernestina once in a while.

1 Jeffrey Brown: How about Beau Brunson?

2 Former District Staffer: I have not talked to Beau since I ran into him on the Hill three or
3 four years ... it's been years since I've talked to him. Ryan White I
4 touch in with every once in a while, but it's been probably six,
5 seven months since I've talked to him.

6 Jeffrey Brown: Do you remember the last time you talked to him?

7 Former District Staffer: Again, it was awhile. It's not any time recently.

8 Jeffrey Brown: Prior… was it prior to probably November, 2017?

9 Former District Staffer: Yes, yeah.

10 Jeffrey Brown: How about, you said Kat. Do you keep up with Kat at all?

11 Former District Staffer: I will email with her every once in a while, but I haven't verbally
12 spoken to her since the middle of 2017. I don't recall speaking to
13 her verbally.

14 Jeffrey Brown: Has anybody reached out to you about the OCE's investigation?

15 Former District Staffer: No, no.

16 Jeffrey Brown: Have you spoken to anybody about coming in here for an
17 interview?

18 Former District Staffer: No. Well, let me back up. I did speak to my counsel at CVA about
19 coming in here.

20 Jeffrey Brown: Okay.

21 Former District Staffer: So I want to be clear, though, I haven't spoken to anybody in
22 Schweikert's operation about coming in here as of right now.

23 Jeffrey Brown: So nobody who's affiliated with the office or the campaign?

24 Former District Staffer: Nobody affiliated with David's office or campaign, to my
25 knowledge at this time. I don't think my counsel and my, just to
26 clarify, my immediate supervis- One of my people who manages
27 me as part of the Koch Network is working for David. I don't have
28 any knowledge of that.

1 Jeffrey Brown: At some point in time, did you ever read an article published by the
2 Examiner that was titled-

3 Former District Staffer: Yes.

4 Jeffrey Brown: -A Lot of Cash is Flowing to David Schweikert's Chief of Staff,
5 Oliver Schwab? So you have seen that?

6 Former District Staffer: I have read that, yes.

7 Jeffrey Brown: And this article, it's November 2nd, 2017. Has anybody reached
8 out to you about this article?

9 Former District Staffer: I've had people, a lot of people ask me questions about the article
10 in Arizona, in my office, as saying "what's the deal here? This is
11 crazy. Candidly, what is David thinking? What is Oliver
12 thinking?" There was a lot of shock to that. And when I saw that,
13 and when I started hearing about and seeing some of the things that
14 was happening with the MRA and with the campaign account,
15 candidly, a lot of people that I'd worked with in the campaign
16 capacity -- and when I say work with, like volunteers and reporters
17 and stuff -- were kind of shocked because I have to tell you from
18 2011 to 2013, this stuff was not going on. It was a much more
19 frugal, spendthrift -- I think's the right term -- operation. Our goal
20 was to return a significant amount of money back to the treasury so
21 we could say in a tough race that we're giving money back.

22 When we were on the campaign, I mean we were incredibly cheap.
23 I worked out of an office with black mold. We used open source
24 operating systems so we didn't have to pay for licenses. We refilled
25 printer cartridges. Every dime was stretched to the limit, and to see
26 this and to see some of that was very shocking. And so I naturally
27 had a lot of people that I knew from Arizona or worked with or
28 worked around on the Hill that were like "what the heck is going
29 on here." And internally, too, within AFP and CVA since we
30 worked with David.

31 Jeffrey Brown: Have you talked to… have you spoken with anybody who was
32 either a current or former Representative Schweikert staffer about
33 this article?

34 Former District Staffer: I talked to Rachel about it.

35 Jeffrey Brown: Tell me about that conversation.

1 Former District Staffer: We were both, we were both kind of surprised that it had gotten
2 this bad; that that behavior which ... we'd seen some of the stuff on
3 the FEC report, we'd seen some of the stuff on the MRA, and that
4 it had gotten that bad and that somebody had kind to totaled it up
5 and done that.

6 Jeffrey Brown: What you do mean when you say you saw stuff on the FEC
7 website or the MRA?

8 Former District Staffer: Well I mean, as part of my job, I check in to see how much people
9 are raising, what they're spending on. I don't want to say it's like a
10 hobby of mine, but just in the world I work in, it's something you
11 want to keep track of. So I check in to see how David's
12 fundraising, and it was surprising to me that David started ... or the
13 campaign, whoever was in charge of the account. The thing that
14 when I was working on the campaign and I was working in the
15 office, Joyce Schweikert, his wife, for most of the time, controlled
16 all the campaign books. And she was very tight with the money.

17 There were a couple mistakes made in 2012, and they made a
18 decision to move to Huckaby Davis Lisker. And from there, Joyce
19 lost control over that account. And if I recall, I think it was first
20 quarter, second quarter of 2013 where Huckaby Davis Lisker took
21 it over.

22 Jeffrey Brown: And what are the mistakes that led to Huckaby Davis Lisker
23 getting involved?

24 Former District Staffer: It's hard to remember specifics. I think it was how she coded some,
25 she coded some disbursements as receipts. And just some honestly
26 what I, this is just my opinion, felt were kind of minor things at the
27 time.

28 Jeffrey Brown: So back to this article. You and Rachel discussed it. You said that
29 you were both sort of surprised by this-

30 Former District Staffer: I mean, when we say surprised that how bad it'd gotten, candidly
31 we were not surprised that this was going on.

32 Jeffrey Brown: Okay. Why wouldn't you be surprised this is-

33 Former District Staffer: Again, like I'd said, we'd seen some of this stuff on the FEC
34 website and whatnot, and just that there was nobody in the room
35 anymore with David that would be restraining this type of behavior

by Oliver. Previously, you had Matt Tully, you had Susan Marshall who was Deputy Chief of Staff for a while, and her role as Deputy Chief of Staff was much different than mine. I would consider her more like a COO of the office. And Joyce, Schweikert's wife, was very, very careful with the campaign dollars; didn't allow a lot of unnecessary spending and things like that. And she would have been questioning a lot of those expenditures.

So without people, without Matt, without candidly too, Rachel, who was very press-focused and saying, "Okay, this is all public info. This is going to blow up on you, Oliver, if you're doing this, not to mention it's just flat-out wrong," it wasn't ... Without anybody kind of really overseeing Oliver, and David had trusted Oliver so much that it didn't completely surprise us that it was happening. We were surprised how bad it got.

Jeffrey Brown: Had you ... so this article, again we're talking about this Examiner article from November 2nd, 2017, it talks about Oliver using MRA funds out to a trip to Arizona ...

Former District Staffer: Mm-hmm (affirmative)

Jeffrey Brown: ... Super Bowl weekend, 2015. Had you and/or Rachel seen instances in which Oliver was using MRA funds inappropriately?

Former District Staffer: While I was in the District, when I was working for Congressman Schweikert, I do not recall a specific time where I saw him directly using MRA funds in a way that was inappropriate, illegal, or anything like that.

Jeffrey Brown: There's some discussion in here about office supplies, problematic office supply purchases. Did you see anything-

Former District Staffer: Candidly, I thought that was the strangest part, because when I worked in the office we had a Staples account, and then it was the ... I forget the name, the House Office Supply. I didn't understand why those expenditures were being made.

Jeffrey Brown: Did you or Rachel ever talk to any current staffers about this article?

Former District Staffer: I don't recall speaking to anybody about this article.

Jeffrey Brown: Have you ever talked to Philip Wegmann?

1	Former District Staffer:	Now, I'm going to be clear here, as part of my job I speak to
2		reporters. You can see that I've spoken a lot to the Examiner, but
3		I'm not going to go into any specific conversations I have with
4		reporters. It's protected. So I have spoken to ... again, you can
5		Google me. I speak to a lot of reporters, and I have spoken to
6		reporters at the Washington Examiner, but beyond that, I'm not
7		going to go any further on that.
8	Jeffrey Brown:	Have you spoken to reporters at the Examiner about
9		Representative Schweikert ever?
10	Former District Staffer:	I have spoken about him in regards to his support of V.A. and
11		other matters. But again, I'm not comfortable going into those
12		because of the First Amendment, and my job in dealing with the
13		press, that's kind of a sensitive area.
14	Jeffrey Brown:	Well let me ask you… this article quotes a couple of sources. Do
15		you know who any of those sources are?
16	Former District Staffer:	I can guess, but I'm not going to speculate here. I want to be clear,
17		this is actually an area going in this realm here, where I'm not
18		comfortable discussing, because of First Amendment, because of
19		the nature of the free press, that's an area where, that's a line I'm
20		not going to cross.
21	Jeffrey Brown:	Okay.
22	Former District Staffer:	This is all ... As you guys are all aware, I respect what you're
23		doing. This is all public info and this has been sitting out there for
24		a while.
25	Jeffrey Brown:	Well let me ask ... You said you hadn't talked to ... I just want to
26		make sure I've got this right. You hadn't talked to anybody, any
27		current staffers about this article?
28	Former District Staffer:	No.
29	Jeffrey Brown:	Besides Rachel, anybody else? Any former staffers or anybody
30		associated with the campaign?
31	Former District Staffer:	I think that I mentioned it to Kara Blasier.
32	Jeffrey Brown:	And that is the former-

1 Former District Staffer: Intern.

2 Jeffrey Brown: Intern.

3 Former District Staffer: And I did, I think, just basically ask ... I don't know what his
4 current involvement, if any, with the campaign is. Chris Baker,
5 former general consultant, he took a big step back in 2013. I don't
6 recall specifically what we said. I think it was more of a 'what the
7 hell's going on, Chris' type conversation. But we did, I think,
8 speculate about why it came out now. But beyond that, I haven't
9 spoken to Oliver, I haven't spoken to David about it. I haven't
10 spoken to Kat. Nobody that I'm aware of who's a current staffer,
11 I've spoken to about this, that I can recall right now.

12 Jeffrey Brown: Let me change directions a little bit. You and Oliver both leave the
13 official Congressional office at some point ...

14 Former District Staffer: Yes.

15 Jeffrey Brown: ... in two thousand and-

16 Former District Staffer: 12.

17 Jeffrey Brown: 2012. You joined the campaign, he joins a Super PAC.

18 Former District Staffer: National Horizon, yeah.

19 Jeffrey Brown: Why do you ... I'll ask you first. Why do you leave to join the
20 campaign?

21 Former District Staffer: Oliver had left previous to me. There were some people that were
22 put in charge of the campaign that were engaging in some
23 behaviors that were hurting the campaign. You can go online and
24 see it. They were booing Mitt Romney's son at a Republican event.
25 They sent a spy over to Congressman Quayle's campaign; just
26 really childish stuff. And David and Chris Baker needed somebody
27 who was an adult, I guess, to supervise the campaign, and they
28 asked me and Garrett to go over, to take a leave of absence from
29 the office.

30 Jeffrey Brown: Both of you.

31 Former District Staffer: And we went over for approximately three months to do that and
32 run the, manage the day-to-day operations of the campaign through

to August. And when I say manage the campaign, my role was very much keeping the trains running on time, making sure the office is open, it's well stocked, volunteers were coming in, people were making phone calls. I didn't have any real role in mail development, television buys. I had no visibility on the campaign bank accounts. I didn't have signing authority on the campaign at that time. All I had was a campaign credit card, and Joyce monitored that. Anytime I'd buy something, she'd get an alert on her phone and usually would call me right away and ask me about it. So my role as Campaign Manager was much different than, say, my counterpart or opponent, however you want to call it, on the Quayle campaign.

Jeffrey Brown: You mentioned National Horizon. Why does Oliver leave for National Horizon as opposed to joining on the campaign?

Former District Staffer: I can't speak directly to why that decision was made. I can speculate, again emphasis on speculation, that it was primarily because that he could effectively manage that organization, and that it was going to be an important organization in several key races in Arizona. Beyond that, I was never told directly by David or by Chris or Joyce this is specifically why we put Oliver over there. And it wasn't, they didn't even have decision rights over who went over there. It was, I want to be clear that why they were okay, is a better way to say it. I want to be very clear on that because it wasn't their organization. And Oliver went over there, and there was a firewall between us and him on what he was doing over there during that time.

Jeffrey Brown: Did you have any communications with Oliver during that time?

Former District Staffer: There were several times where he received a campaign inquiry from a donor or somebody that he previously had contact with that he would refer over to me. He did call couple times about a lingering matter that he had not tied up as Chief of Staff, but he did not discuss anything that National Horizon was doing in regards to our race with me.

Jeffrey Brown: Did he discuss anything that National Horizon was doing with anyone else on the campaign?

Former District Staffer: I can't, not to my knowledge. Again, if he was, I was not aware of it.

1 Jeffrey Brown: You said something about a firewall. What was the, tell me how'd
2 the firewall get set up?

3 Former District Staffer: As you know, Super PACs, outside organizations can't coordinate
4 with campaigns that they may or may not be supporting, and that's
5 basically the FEC rule.

6 Jeffrey Brown: I'm curious, just more specifically with respect to Oliver and
7 National Horizon and the Schweikert campaign, how did that get
8 set up in the 2012 campaign?

9 Former District Staffer: All I was told was you can't talk or coordinate with Oliver.

10 Jeffrey Brown: Who told you that?

11 Former District Staffer: I believe both Chris Baker and David Schweikert told me that
12 directly, and they were very clear about that.

13 Jeffrey Brown: Can I back up a step and ask you just how does National Horizon
14 get formed?

15 Former District Staffer: I can't speak to any of that. I know that there was another
16 consultant running it, a person who I had met at the time. I have no
17 idea who-

18 Jeffrey Brown: Was that David Satterfield?

19 Former District Staffer: That was actually ... I've worked with David on other things. He
20 was the campaign accountant. I have no idea who-

21 Jeffrey Brown: You don't know who else was working at National Horizon?

22 Former District Staffer: Believe that there was a gentleman who was a media consultant,
23 Nelson Warfield. But beyond that, I don't know who else was
24 working with them on that.

25 Jeffrey Brown: Do you know how Oliver got connected with anybody at National
26 Horizon?

27 Former District Staffer: I can't speak to that. All those decisions were made before I was
28 moved over to the campaign side. We were told on the official side
29 when he did make that move to reiterate it about this is Oliver's
30 new role, he's not with the official office anymore. He's not
31 working on the campaign anymore and we need to go from there.

1	Jeffrey Brown:	I asked you this before but I want to make sure I have the timing
2		down. So pre-May of 2012, you're not really involved in the
3		campaign in any ... certainly not an official capacity, but-
4	Former District Staffer:	Other than attending events, I do recall that prior to me taking over
5		the campaign, my grandparents actually did host a fundraiser for
6		David. But that was, again, my grandparents hosting it. I was not ...
7		I would even hesitate to call myself a volunteer. I would attend
8		these events in my own personal capacity after work hours; just
9		kind of be there to observe, and that's it.
10	Jeffrey Brown:	And then as of late May, 2012, you officially joined the campaign?
11	Former District Staffer:	Yes.
12	Jeffrey Brown:	You were paid by the campaign?
13	Former District Staffer:	Yes.
14	Jeffrey Brown:	And who were you reporting to?
15	Former District Staffer:	Well, David and then I worked with Chris Baker, the general
16		consultant.
17	Jeffrey Brown:	Who was reporting to you? You mentioned Garrett Archer.
18	Former District Staffer:	Garrett Archer, and then some volunteers.
19	Jeffrey Brown:	The individuals that you said had caused these problems, I think
20		you mentioned a spy in the Quayle campaign and the booing to
21		Mitt Romney, who was responsible for that? Who on the
22		campaign?
23	Former District Staffer:	Who…
24	Jeffrey Brown:	I guess what I'm trying to get at is when you came onboard, the
25		individuals who were responsible for those acts, were they still on
26		the campaign at that time?
27	Former District Staffer:	They were all terminated by that point if I recall. I think one or two
28		of them who weren't kind of directly involved, they hung around
29		another week. But then within a week, they were all gone.
30	Jeffrey Brown:	Who are those people?

1 Former District Staffer: I've been struggling to come up with their names. If you Google
2 them, you'd find their names. It's hard to even recall specifically
3 who they are. They were involved a lot in Ron Paul's operation.
4 That's all that I specifically remember.

5 Jeffrey Brown: You mentioned that for the campaign you were working out of a
6 small office and I think you said it had mold problems. Where is
7 that office located?

8 Former District Staffer: It's off SR, State Route 51 in Arizona. I think it's Cactus or Bell;
9 forget the exact crossroads, but it's right off of 51. It was in a strip
10 mall, a very run down strip mall.

11 Jeffrey Brown: You started talking about your duties on the campaign. Can you
12 talk to me a little bit more about what you were in charge of?

13 Former District Staffer: I was in charge of running the phone banks, running the door-to-
14 door operation, ensuring that thank you cards went out, ensuring
15 that campaign meet-and-greets were properly set up, ensuring that
16 David got to campaign events on time, that he was prepared and
17 knowledgeable. The big thing was just the grassroots operations
18 and the working with Garrett on that: phone banking, door-to-door,
19 personalized mailers and things like that. Volunteers getting fed.
20 Some of our contractors outside of the paid media and fundraising
21 realm were paid on time as well.

22 Jeffrey Brown: So campaign finances. What's your involvement in campaign
23 finances?

24 Former District Staffer: I had no signing rights. I had no visibility on how much money
25 was in the bank account. Again, all I had was a credit card.
26 Occasionally, somebody would come and drop off a donor check.
27 I'd make sure it'd get to Joyce, and that was the extent of it.

28 Jeffrey Brown: So you didn't have access to campaign bank accounts?

29 Former District Staffer: No.

30 Jeffrey Brown: Do you know where the campaign had bank accounts?

31 Former District Staffer: I can't ... Maybe one of the banks might have been Metro-
32 something, but again, I can't recall specifically.

33 Jeffrey Brown: Metro Phoenix Bank?

1 Former District Staffer: That might have been one of the banks.

2 Jeffrey Brown: Do you know if Chase was the other one?

3 Former District Staffer: Maybe. Again, this was some seven years ago. I don't recall.

4 Jeffrey Brown: It's Joyce who's managing the incoming donations and the
5 outgoing expenditures?

6 Former District Staffer: So when I say 'manages', she wasn't raising money specifically.
7 We would, if somebody came and dropped off a check, I would
8 make sure it got to Joyce. Same thing with others who were
9 helping to raise money.

10 Jeffrey Brown: But she's also, she's a signer on the bank account.

11 Former District Staffer: She was, yes. David and her I think were the only signers.

12 Jeffrey Brown: You don't know if there was anybody else?

13 Former District Staffer: Again, not to my knowledge. There might have been ... That was
14 an area where it was very opaque to me.

15 Jeffrey Brown: Why was it opaque?

16 Former District Staffer: Well, I think that Joyce and David had put a lot of their personal
17 money in there, and I think, not wrongly, they didn't want money
18 going out, they didn't want people that ... They didn't want money
19 going out that shouldn't have been going out. They didn't want
20 people that could potentially misuse funds having access to it. And
21 I think, too, on David's part he didn't want a situation where he has
22 a staffer or somebody at this time that hadn't worked a lot with him
23 having access to that.

24 Jeffrey Brown: You mentioned that David had put in a lot of personal money. I'm
25 wondering what you're referring to.

26 Former District Staffer: The loans he made to the campaign.

27 Jeffrey Brown: So you volunteered in 2010, and were more formally about 2012.
28 So prior to the 2012 campaign, Representative Schweikert had, I
29 think, loaned the campaign over $500,000.

30 Former District Staffer: I think that's right, yeah.

1 Jeffrey Brown: What discussions did you have with either Representative
2 Schweikert or Joyce about those funds?

3 Former District Staffer: I didn't have any real discussions. They made them without telling
4 me. I found out, I believe, after the fact, saying I think it was ...
5 Again, I'm not 100 percent clear on this. It was either Chris, it
6 might have been David telling me this, but I found out after the
7 fact. They didn't tell me that they were going to make any loans.
8 There was I recall one loan made in the last weeks of the
9 campaign, and David didn't tell me that he was going to do that
10 beforehand.

11 Jeffrey Brown: So you're referring to loans that were made in 2011, 2012, with
12 respect to the 2012 cycle.

13 Former District Staffer: That one loan I'm referring to, if I recall, was August, 2012
14 towards the last weeks of the campaigns, and I wasn't consulted
15 beforehand.

16 Jeffrey Brown: You weren't consulted beforehand. How did you become aware of
17 it?

18 Former District Staffer: Again, I'm not 100 percent on this. It was either David or Chris
19 Baker told me that they'd made the loan and there's probably going
20 to be a news story on it because we were in the cycle where within
21 48 hours, you had to make notifications. I forget the specific…but
22 it was going to come out very quickly because of reporting, and I
23 was just given a heads up about it.

24 Jeffrey Brown: Was anybody else given a heads up?

25 Former District Staffer: I don't recall who else was.

26 Jeffrey Brown: What was the response to any of the media coverage?

27 Former District Staffer: It was very intense at that point. I don't recall anything specific. I
28 think that somebody did, I think that some reporters tried to frame
29 it as act of desperation; that David felt he was going to lose and
30 that's why he did that.

31 Jeffrey Brown: Do you know what prompted the ... And so, I'm happy to show you
32 this. I can also just tell you that there was $130,000 loan that was
33 made on, it's August of ... August 22nd, 2012 there's $130,000
34 personal loan. Is that what you've been referring to?

1 Former District Staffer: Yes.

2 Jeffrey Brown: Okay. What's the money spent on?

3 Former District Staffer: That, every dime of that went to TV and mail. It was a very intense
4 part of the race. The opposing campaign during that time had, its
5 super PAC had gone up with a very large buy and we were getting
6 outspent; we were really getting pounded. We did start to see some
7 slippage in our polling, and David and I, again knowing this after
8 the fact, I think David and Chris Baker and probably Joyce felt that
9 they needed to spend that money to match the Quayle campaign
10 and their super PAC supporting them more dollar for dollar. I think
11 that was the impetus for that. But again, I wasn't involved in the
12 initial discussion. I only found out that after the fact, and I'm
13 honestly more speculating about the exact reason for that.

14 Jeffrey Brown: Do you know specifically where that $130,000 came from?

15 Former District Staffer: No idea.

16 Jeffrey Brown: Was it your understanding it was from Representative Schweikert's
17 personal funds?

18 Former District Staffer: It's classified as personal loan. I have to assume it's from some
19 personal account of his. What specific account, I'm not aware of.

20 Jeffrey Brown: So you don't know if it's ... Was there ever any discussion about
21 liquidation of a retirement account or just pulling this from
22 savings, or-

23 Former District Staffer: I might have heard an offhand remark about the retirement
24 account, but again, I have no direct, specific knowledge of where it
25 came from.

26 Jeffrey Brown: How about in your time on the campaign, did anyone ever talk
27 about Representative Schweikert receiving a bank loan for
28 campaign funds?

29 Former District Staffer: No, I don't recall hearing anything about a bank loan or a line of
30 credit. But again, it could have been discussed but I don't recall
31 hearing anything about that.

32 Jeffrey Brown: Do you have any insight into ... Well, let me back up and I'll ask
33 you this. So David is a, Representative Schweikert, excuse me, is a

1		... He's working for the state of Arizona. I believe that's up until
2		2007, at which time he resigns. And between 2007 and 2010, he's
3		not employed by the state of Arizona anymore, was not an elected
4		official. Do you know what he's doing during that period of time?
5	Former District Staffer:	So when he resigned, he actually wasn't working for the state. He
6		was working for Maricopa County; he was the county treasurer.
7		And I believe after the 2008 campaign he restarted his real estate
8		business, and he was buying up a lot of homes turning them to
9		rental properties.
10	Jeffrey Brown:	I guess what I'm trying to get at is what insight you had into the
11		fact ... Let me say this first. Before that August 22nd loan, do you
12		recall there being a loan made to the campaign, a personal loan
13		made to the campaign in December of 2011? I know you weren't
14		on the campaign at that time.
15	Former District Staffer:	The only knowledge I have on that is seeing it in an FEC report.
16		Nobody mentioned to me at any point why it was made, where the
17		funds came from. The only time I saw that specific one was on a
18		FEC report.
19	Jeffrey Brown:	Because we've got $230,000 worth of personal loans that's
20		occurring in less than nine months, and I'm trying to get some
21		insight-
22	Former District Staffer:	That's actually, to be honest with you, for wealthy individuals
23		running for Congress, it's not that all uncommon.
24	Jeffrey Brown:	Well let me ask you about that. You say Representative
25		Schweikert's a "wealthy" ... are you implying he's a wealthy
26		individual?
27	Former District Staffer:	I mean based on his publicly available financial, he's worth I think
28		up to six, $10 million. I don't recall, but I think you could argue
29		over what defines wealthy, but I think it's fair to call him a
30		wealthier individual.
31	Jeffrey Brown:	Did Oliver Schwab, did he ever loan Representative Schweikert or
32		his campaign any amount of money?
33	Former District Staffer:	I don't have any direct knowledge of him doing that.

1 Jeffrey Brown: Aside from your direct knowledge, have you ever heard anybody
2 talk about Oliver loaning either Representative Schweikert
3 personally or his campaign any amount of money?

4 Former District Staffer: I think Oliver complained one time about having to buy something,
5 it wasn't a big amount of money, for David and not getting
6 reimbursed. But it was a ... I don't remember the specifics but it
7 was a very minor thing. And that's, again, all I can recall right now.
8 That was kind of more, I think, venting out of frustration over
9 something, but I didn't hear anything about it after that. I think it
10 just was ... I don't even have specifics on that.

11 Jeffrey Brown: Do you remember what the thing was?

12 Former District Staffer: I think it might have been like an airline ticket or something,
13 something campaign related. I don't recall specifically, but it was a
14 very minor thing in the scheme of things. That's not ... I don't recall
15 any conversations right now.

16 Jeffrey Brown: Do you remember roughly what timeframe that conversation
17 occurred?

18 Former District Staffer: End of 2012, 2013.

19 Jeffrey Brown: Let me see if I can jog your memory a little bit. So we talked to
20 some other individuals and they indicated, I'll just quote them. It
21 says "Oliver expressed on several occasions that he did things for
22 the campaign and David owed him thousands of dollars that he had
23 personally put out for different things." Another staffer said, "At
24 one point I was told that he donated or loaned the campaign a
25 relatively significant amount." Another individual said, "Oliver did
26 occasionally complain that David owed him money. I do remember
27 a couple of times where he brought up something in excess of
28 $30,000." Any of that help jog your memory?

29 Former District Staffer: So I will say that Joyce and David, at times, it was difficult to get
30 reimbursed, and you have to kind of track down Joyce and you
31 have to track down David and repeatedly ask them. And that, I
32 think, just goes back to their frugalness and the spendthrift attitude
33 they had during that time. And they were ... they wanted to ...
34 Sometimes there's an issue where somebody hands you an invoice
35 in the campaign and it's not what you agreed on or you're
36 suspicious of it, and Joyce and David wanted more context on it. I
37 think that during that time, and I experienced this a few times

1 myself on the campaign, things were not reimbursed in a timely
2 manner and Oliver might have been expressing that.

3 But I'd also say this, is that Oliver was prone to hyperbole, and that
4 $30,000 or whatever number was being thrown out there could
5 have just been as low as $300 or something like that. It could have
6 been a dinner that Oliver had to pick up that he wasn't reimbursed
7 for quickly. I found when dealing with Oliver, especially towards
8 the end of my time there, that there's usually some hyperbole
9 involved in that.

10 Jeffrey Brown: Have you talked to Representative Schweikert ever about concerns
11 that you had with Oliver's management style?

12 Former District Staffer: No.

13 Jeffrey Brown: Has he ever raised any issues with you?

14 Former District Staffer: No.

15 Jeffrey Brown: Individuals on the campaign, where are individuals on the
16 campaign performing their responsibilities? Everybody's in that
17 office that you-

18 Former District Staffer: Primarily. There were some volunteers working out of a north, far
19 north Scottsdale in Fountain Hills. They'd come into somebody's
20 living room and do some stuff, and occasionally David and Joyce
21 would drop in and have them do ... There was one big project
22 called the Wife Letter. Large parts of that were produced outside of
23 the campaign office by these groups of volunteers in north
24 Scottsdale and then Fountain Hills.

25 Jeffrey Brown: What's the Wife Letter?

26 Former District Staffer: The Wife Letter was the bane of my existence the last month I was
27 on the campaign. It's this elaborate letter that is from Joyce to other
28 female voters about David. It's custom printed, it's put in a nice
29 high-quality envelope. It's probably the only thing on the campaign
30 that David and Joyce weren't frugal about, and it was stamped.
31 Normally on a bulk mail piece, with postage and everything I think
32 you're spending 35, 33 cents, I forget the exact number. I get it
33 mixed up because I have a non-profit stamp now through my
34 group, but this was usually about $2.50 and it was a big project.
35 And large parts of that were done, managed primarily by Joyce

1		outside of the campaign office. But then parts would be brought in
2		and we'd help out with it.
3	Jeffrey Brown:	How often would you have been around Oliver when he was
4		performing political activities or he was doing campaign related
5		activities?
6	Former District Staffer:	During my last part of the time I was there, last seven, eight
7		months… Just let's say that post-August, when I went back to the
8		office, pretty frequently after hours. I started…attended more
9		fundraisers with him, campaign lunches, things on the weekends.
10		I'd travel with him and David to fundraisers. So pretty frequently
11		after that timeframe.
12	Jeffrey Brown:	We've spoken to a couple of other staffers who have indicated that
13		Oliver would perform campaign or fundraising activities in
14		Representative Schweikert's congressional offices, so in the
15		District or official. I'm curious what sort of campaign or
16		fundraising activities you saw him perform, either at the Scottsdale
17		official congressional office, or the D.C. office?
18	Former District Staffer:	Let me tell you, in the Scottsdale office with Ernestina there, for
19		the most part, as far as I can recall, she would not allow that. We
20		actually opened up an office outside the district office but nearby
21		so that we had a place where Oliver could go and do that, and so it
22		wasn't in the District office.
23	Jeffrey Brown:	Was that office opened because of any issues with Oliver
24		performing work in the District office?
25	Former District Staffer:	I think it was a desire to avoid political work, the temptation to do
26		political work in the District office.
27	Jeffrey Brown:	Have you ever seen Oliver do any sort of political work in that
28		office? In the Scottsdale office?
29	Former District Staffer:	If he was doing political calls, he'd go outside. And again, it might
30		have happened. What I normally remember him doing is stepping
31		outside and taking those calls.
32	Jeffrey Brown:	How about writing or sending fundraising emails? Did you ever
33		see him do that in the office? The Scottsdale congressional office?

1 Former District Staffer: I wasn't standing over his shoulder while he did it, but I can't, I
2 don't have ... There might have been a specific instance where he
3 did it, but I don't recall seeing him specifically doing that stuff.

4 Jeffrey Brown: Did you learn of him doing it after the fact?

5 Former District Staffer: With a lot of these things, I've heard second and third-hand things
6 that Oliver was doing this or that in the D.C. office. But again, I
7 want to differentiate between me specifically seeing this and then
8 staffers mentioning it, 'oh, Oliver's doing this or that' during that
9 ..."

10 Omar Ashmawy: Which staffers have you heard from?

11 Former District Staffer: I heard some of it from Beau Brunson, from Rachel. Matt Tully
12 complained once or twice about Oliver kind of getting close to the
13 line on that. But I know that there was an effort to ensure that,
14 look, the NRCC which is just over here, has call booths and stuff.
15 If you need to do that stuff, go and do it there.

16 Omar Ashmawy: Do you specifically recall the concerns that Matt raised to you?

17 Former District Staffer: There was just some general concerns from a lot of the D.C. staff
18 about Oliver pushing the envelope and trying to push the line, and
19 having to keep him in check on that. And that kind of goes back to
20 the dynamic that I talked about earlier, is that it was a different ...
21 There was a very locked down environment on spending during
22 my time in the official office. My first time I went out here, I had
23 to stay at a hotel near the Pentagon, the Americana, which is one of
24 the cheapest hotels in D.C. Every receipt I had was heavily
25 scrutinized by Susan Marshall. It was a different office then.

26 Omar Ashmawy: You said that you specifically remember Matt Tully raising
27 concerns to you. I'm just wondering if you remember the concerns
28 that he raised.

29 Former District Staffer: Specifically about Oliver pushing the envelope in terms of wanting
30 to do certain things in the office that could have been considered
31 political, whether it's a specific situation with a specific outcome, I
32 can't recall that. But I do remember Matt kind of just saying
33 Oliver's trying to push the envelope, which is kind of part of his
34 personality there.

1 Jeffrey Brown: How about Rachel? What's Rachel ... You said there were a couple
2 of staffers who are second, secondhand telling you that they have
3 concerns about Oliver. What's Rachel saying?

4 Former District Staffer: Rachel raised similar concerns about Oliver, again going back to
5 what I said earlier about him being out of the office a lot, what was
6 he doing. And then again, generally kind of pushing the envelope
7 on things.

8 Jeffrey Brown: And the pushing the envelope relates to pushing the envelope on
9 political activity in the congressional office?

10 Former District Staffer: There's that. There's that, wanting to do certain things. Not even ...
11 using the term politically more on the political front in David's
12 political career; wanting to do things there that aren't necessarily
13 an ethics issue, so to say, but more of a 'this is where David's
14 going' type thing. And Oliver generally is the type of individual
15 who kind of bucked up against structure and rules and stuff. Like
16 hey, this is how we handle this process.

17 One thing that I know that was frustrating was that Oliver would
18 have a conversation with somebody about helping him with
19 something, and David would be there and it'd either get back to
20 Rachel or Matt, and sometimes they'd have concerns about can we
21 really be doing this. And it just was frustrating to them because
22 they felt it was, again, another example of Oliver pushing the
23 envelope.

24 Jeffrey Brown: What do you remember ... You mentioned Beau, Rachel, and Matt
25 raising concerns. Do you remember any specific concern? Any of
26 them ever say anything like I think Oliver's violated this rule or
27 that rule?

28 Former District Staffer: I mean, it wasn't like they were reading from a rule book, saying
29 you violated section so-and-so of House Ethics. It was just general,
30 the general theme was that we're seeing Oliver less and less, he is
31 not abiding by some of the processes that we had in place
32 periodically, previously, and we were constantly having to reel him
33 back in. And he was just prone to hyperbole, and a lot of the
34 information that we're getting back from him just doesn't seem to
35 be accurate.

36 Jeffrey Brown: When you said seeing him less and less, where is he?

1 Former District Staffer: That was the key question. Nobody really knew. We assumed that
2 maybe he was at the NRCC in one of the call booths or something,
3 but nobody really knew.

4 Omar Ashmawy: So I realize they weren't quoting from the rule book, but did they
5 ever say to you, yeah Oliver really ... I think what Oliver just did
6 was wrong?

7 Former District Staffer: In the sense of I don't think he should have done this, yes. That
8 was said, but it wasn't like a firm determination of this is an exact
9 rule that he broke. Again, up until the end of 2012 we had Susan
10 Marshall. Matt kept things under control as Chief of Staff. We had
11 Rachel in the office saying we're going to get caught doing
12 something dumb by the press if you go ahead and do this.

13 Omar Ashmawy: Did any of those….you use the term for pushing the envelope? Are
14 you aware of any times, not personally aware, but did you learn
15 from other people of any times where Oliver Schwab may have
16 crossed the line, so to speak?

17 Former District Staffer: I hadn't learned anything specific from anybody recently. But
18 looking at that MRA and looking at the FEC, I think it's very clear
19 that he did go ahead and cross the line. And I don't understand why
20 he made a lot of those disbursements or anything through the
21 MRA. That, to my knowledge, was not occurring when I was
22 there. And I actually went back and looked prior to coming to this
23 meeting and I didn't see a lot of these expenses that you see now
24 on the MRA.

25 Omar Ashmawy: Related. You mentioned that during one of these conversations, I
26 think perhaps with Rachel, that she raised a concern that Oliver
27 wanted to help somebody to do something for somebody, and the
28 conversation was out in front of the member. Could you put that in
29 a little bit more context?

30 Former District Staffer: So a lot of members, you get people coming up, and 'hey can you
31 help me with this'. Like a big thing ... We had a really great
32 constituent service operation, so somebody from Idaho would run
33 into Dave and it's like 'can you help me with this veterans issue.'
34 And he's like, 'yeah, yeah, yeah, just talk to Oliver.' And then
35 Oliver would come back to us with it and, again, we're not in that
36 state or district; we really can't help. And it just kind of put us in
37 awkward situations, and Oliver instead of doing what he probably
38 should have done and said hey, let me refer you to Raul Labrador,

1		I forget the other person, Simpson or Smith or whoever is the other
2		Congressman from there. It's kind of like 'oh, we'll do this.' And I
3		don't think in most cases that was done out of malice or out of bad
4		intentions. It just was like, okay, we'll take a look at this type thing,
5		which is kind of a reflex for a lot of members and staff.
6	Omar Ashmawy:	Did Oliver ever make those exceptions for campaign donors?
7	Former District Staffer:	I mean I think that ... Most of the campaign donors that I dealt with
8		didn't need the constituent services I worked on. I mean I think that
9		if a campaign donor, like a lobbyist or a PAC donor, obviously if
10		they had specific questions about legislation or things like that, it's
11		like every other office, they'd answer them. But specifically… I
12		don't have direct knowledge or I didn't see that.
13	Omar Ashmawy:	Recognizing you don't have direct knowledge, have you heard, did
14		anyone complain to you or describe a time in which Oliver Schwab
15		linked constituent services to a campaign donation?
16	Former District Staffer:	No. But that said, though, there was a problem of over promising
17		on constituent services; like saying we can wave a magic wand and
18		fix everything. And it kind of goes back to that hyperbole issue we
19		ran into. There was kind of a misconception about what our office
20		is actually capable of and what we could do.
21		So again, you'd get a veteran for example. I dealt primarily with
22		veterans issues. Say oh, you know, I'm having this issue with the
23		V.A., my claim, whatever. And they'd run to David or Oliver and
24		they'd say, 'oh, we got this great constituent service director,
25		Ernestina, and a guy, Dan, that can help you out with it, and they'll
26		fix it overnight,' and we couldn't do that. From what I've heard and
27		seen on the Hill, that's actually a fairly common problem.
28	Omar Ashmawy:	While we're on this topic, have you heard of a company called
29		Firetrace?
30	Former District Staffer:	Vaguely. I think I might have met a representative from them at
31		one point. I don't even remember ... Are they a gun company? Is
32		that the gun company?
33	Omar Ashmawy:	Just asking.

1 Former District Staffer: No. It sounds familiar. I might have met with, as part of my job,
2 met with them, but I don't recall anything specific about their,
3 interactions with them.

4 Jeffrey Brown: Did you ever see or did you ever hear Oliver Schwab direct
5 anybody, any Congressional employee to do campaign work or
6 fundraising work?

7 Former District Staffer: I can't recall a specific instance. I mean, there would be times
8 where we were after hours at an event and he'd ask for help, but it
9 was never in his official capacity as Chief of Staff saying 'you shall
10 do this'.

11 Jeffrey Brown: Did you ever seen any official Congressional staffers asked to
12 prepare any policy-related research or talking points for what
13 would ultimately be a fundraising event? So did Oliver ever ask
14 anybody in the Congressional office, 'I need talking points on this,
15 I need talking points on that', and it was a fundraiser later that-

16 Former District Staffer: I don't recall. Again, that might have been something more with
17 the D.C. crowd. It's in the realm of possibility that he might have
18 asked Beau to do that or somebody. I never saw it, but let me just
19 say this about David. David's not a talking points guy. I've never
20 given him a set of talking points or read anything….he just absorbs
21 it and spits it back out. He doesn't like to read off Teleprompters.
22 He doesn't like to read off prepared notes. I don't recall actually
23 ever being at a fundraising event … in my world . . ..The
24 fundraising environment here is different where that occurred.

25 Jeffrey Brown: Okay. You said you hadn't seen Oliver do that. Had you ever heard
26 that Oliver was asking Congressional staffers to do campaign
27 work?

28 Former District Staffer: I can't recall a time where he asked a staffer over here to do
29 something like you've just described.

30 Omar Ashmawy: Have you heard anyone, has anyone told you that it's happened?
31 Have you heard of that?

32 Former District Staffer: No, not that I can recall. Most of the general complaints, again,
33 were about Oliver's absence, the hyperbole, the truthfulness; we
34 couldn't kind of trust where he was coming from. Oliver did
35 complain to me about the lack of reimbursement and just kind of
36 the general management of the office which I touched on earlier

on, with kind of the lack of clear structure, chain of command towards the end of my time there.

Jeffrey Brown: Can you talk a little bit more about Oliver's absences, and from what you're hearing. How frequently is he gone?

Former District Staffer: So about every day towards the end, I was hearing from Rachel and from Beau he was out four or five hours. And I never got a firm answer where exactly he was. And I left the office after that, when that really started to occur.

Jeffrey Brown: It sounds like Oliver's sort of the impetus for a fair bit of concern among staff-

Former District Staffer: I think that is ... Towards the end of my time there, it definitely was. I can't emphasize enough, and you're probably tired of me saying this, it was a different office from 2011 to the beginning of 2013. Night and day to what I think exists, that allowed some of these things that we're discussing here to happen.

Jeffrey Brown: Is there anything that we haven't talked about today that you thought we probably would have touched on?

Former District Staffer: I would say that David liked to stay above the day-to-day operations of his office. He didn't want to get down in the weeds on a lot of things, a lot of how things were produced and things like that. As long as it didn't blow back and touch him directly, he was really a hands-off member in terms of day-to-day operations. And he really empowered and leaned on people like Oliver, and Tully when he was Chief of Staff, to do a lot of stuff.

Jeffrey Brown: If you were in our shoes, is there anything that we should have asked you about that we haven't so far?

Former District Staffer: I would look at the leadership PAC.

Jeffrey Brown: What do you mean by that?

Former District Staffer: Why that was created.

Jeffrey Brown: And what leadership PAC are you talking about?

Former District Staffer: Team Dave PAC.

1 Jeffrey Brown: And why that was created? Why do you say we should look at
2 that?

3 Former District Staffer: It was created by Oliver. It was not run by Joyce. It was the first
4 campaign entity that really wasn't run by Joyce. And it was
5 expressed to me that part of the reason it was created by Oliver
6 was because he could raise money into that and, in effect, have a
7 campaign account entity outside the control of Joyce. It was a way
8 to circumvent Joyce and David.

9 Jeffrey Brown: And who'd you hear this from?

10 Former District Staffer: I heard that, in effect, straight from Oliver.

11 Jeffrey Brown: Do you have any ... You had indicated earlier that you'll sort of
12 monitor FEC filings. Is there anything specific that you've looked
13 at with respect to the Team Dave PAC that raises concerns?

14 Former District Staffer: Kind of following the pattern of beginning of 2013, prior to the
15 first cycle it actually existed, it actually operated like a leadership
16 PAC should. But just like with the campaign account, the victory
17 committee that was set up, and then the main campaign account,
18 when it moved over to Huckaby Davis Lisker that's when you
19 started to see the disbursements to Oliver, or shortly after that and
20 within the first four or five months from when everything was
21 moved over to them. I believe that's the name of the firm. It's a big
22 one. The other thing, too, is what did ... At any point, did they get
23 rid of the accountant we used to have? I had heard that they had
24 stopped using an accountant in the official office.

25 Jeffrey Brown: When you say "accountant in the official office," you're referring
26 to a financial administrator?

27 Former District Staffer: Yeah, we had a shared employee between a number of different
28 offices.

29 Jeffrey Brown: Do you remember the name?

30 Former District Staffer: It was… I just recall it was a female, and she worked for a number
31 of offices.

32 Jeffrey Brown: And that was when you were still in the Congressional office? Or
33 are you talking about an employee that was in the office
34 subsequent to your time?

1 Former District Staffer: I believe that she was either hired after Susan Marshall left or
2 before. I don't recall, but there used to be a shared employee
3 between a number of offices that managed the day-to-day books.

4 Jeffrey Brown: Does Mary O'Connor ring a bell?

5 Former District Staffer: Mary O'Connor?

6 Jeffrey Brown: Yeah.

7 Former District Staffer: I don't recall if that was her.

8 Jeffrey Brown: So your concerns with the Team Dave PAC really revolved around
9 disbursements from Team Dave PAC to Oliver Schwab?

10 Former District Staffer: And others. I just ... that was, in retrospect that was kind of the first
11 attempt to kind of circumvent some of these controls that had been
12 put in place. Ironically, the move to Huckaby Davis Lisker was
13 done because of some accounting errors that were made by Joyce
14 that I felt were minor and that happen with every campaign. And
15 when I say accounting errors, I'm referring to the FEC report,
16 things like that.

17 Jeffrey Brown: You said disbursements to Oliver and 'others' who were concerns.
18 What are the 'others' that you're referring to? Who are the 'others'
19 that you're referring to?

20 Former District Staffer: I would just take a look at who…why some of these disbursements
21 were being made. The goal of the leadership PAC is to support
22 other candidates that are aligned. Was it actually being used for
23 that process; were disbursements being made to friends of Oliver,
24 to people like that?

25 Jeffrey Brown: Do you know who else besides Oliver was involved in either
26 setting up or running or controlling Team Dave PAC?

27 Former District Staffer: Other than Oliver, I can't recall anybody else.

28 Jeffrey Brown: Omar, do you have anything else?

29 Omar Ashmawy: Other than the leadership PAC, anything else? That if you were
30 us, knowing everything you know, if you were us is there anything
31 that we haven't asked you that you think we would want to know?

1 Former District Staffer: This is more a personal thing, but I just ... I don't under- I can't
2 really understand why this was…why a lot of these disbursements
3 and these consulting fees are all of a sudden just being made.
4 Oliver comes from a wealthier family. That might have been,
5 again, not entirely truthful to me. But why this started to occur,
6 why in the first term these consulting fees and whatnot ...
7 Obviously there were tighter controls, but why all of a sudden
8 these disbursements just started being made. And I just can't
9 understand completely what led to that starting to happen. And
10 what I mean by that is why Oliver started to take these
11 disbursements.

12 Omar Ashmawy: What do you know about Oliver's personal financial situation?

13 Former District Staffer: I don't have specifics. Again, I haven't seen a financial disclosure. I
14 was told that, by him, that he came from a wealthier family, had a
15 trust fund. He seemed to be financially comfortable when I first
16 started working with him, and obviously he has Chief of Staff and
17 senior advisor for that brief period when Tully was Chief of Staff,
18 he was making above six figures. And I know that in some parts of
19 this town and whatnot, having moved out here myself with a
20 family, that that is not exactly a income where he can live lavishly
21 on, but still to me it just seemed, it seemed like it should be
22 enough. But I don't ... I knew he was from a wealthier family, went
23 to private schools, things like that. But I don't know if that's 100
24 percent true now.

25 Jeffrey Brown: Again, if you were us, who else would you speak to? What other
26 individuals would you reach out to?

27 Former District Staffer: I think that it needs to be the employees that have been there in the
28 past three, four years. That's who would see stuff directly. I don't
29 have a relationship with a lot of those. Kelly Roberson is one
30 person, just off the top of my head, that I would talk to. She was
31 very close to Oliver. She would spend a lot of time with Oliver and
32 I think did a lot of office administrative things, and probably had
33 more visibility on a lot of the things we're discussing who could
34 provide more clarity than I could.

35 Jeffrey Brown: Have you heard -- and again, I appreciate this would be
36 secondhand -- but have you heard that Oliver has been discussing
37 the OCE's investigation with any current or former staffers in
38 Representative Schweikert's office?

1 Former District Staffer: Not to my knowledge. I just want to be clear, from my end I
2 haven't spoken to anybody currently working for Congressman
3 Schweikert's Congressional staff about this, about this interview
4 here. I've mentioned the Washington Examiner articles and about
5 what the heck's going on here to somebody who may be working
6 for the campaign, but I haven't mentioned I've been interviewed
7 here today, I haven't been ... talked about the specific contours of
8 the investigation of Oliver, David, or any staffer with that. I've
9 actually been afraid to bring it up, to be candid with you, because I
10 think that in situations like this, Oliver can get very paranoid. So I
11 haven't had a desire to even bring it up or discuss it with them.

12 Jeffrey Brown: I just want to make sure I understand this. The question I asked
13 you was sort of focused on the OCE and whether you've talked to
14 anybody about the OCE's investigation, or if you've heard if
15 Oliver's talked to anybody about it. But with respect to-

16 Former District Staffer: I haven't heard of Oliver speaking to anybody, former or current
17 staffers, about this.

18 Omar Ashmawy: About the OCE's investigation?

19 Former District Staffer: Yeah. I don't recall anybody.

20 Omar Ashmawy: How about the article? Have you heard either firsthand or
21 secondhand if Oliver's had any reactions to the article or the
22 allegations in the article?

23 Former District Staffer: Let me back up. I heard secondhand from ... Yes, I did hear
24 secondhand from somebody that Oliver is not in a great place right
25 now because of all this going on. But in terms of, has he been
26 reaching out to people and things like that, I haven't heard that.

27 Omar Ashmawy: Tell me more about who you talked to and what you heard
28 secondhand about him not being in a good place.

29 Former District Staffer: I heard that from Chris Baker that he wasn't in a good place.

30 Omar Ashmawy: Did Chris Baker share any details about his conversations with
31 Oliver?

32 Former District Staffer: He just, he felt that Oliver had candidly had kind of become
33 unglued, was the term he used, as the result of this investigation.

1	Jeffrey Brown:	Aside from Kelly, is there anybody else you think we ... You said
2		Kelly, you said staffers that have been there the last couple of
3		years. Is there anybody else specifically you would talk to?
4	Former District Staffer:	I don't understand why Ryan or Beau Brunson left. If you haven't
5		spoken to them, which is sounds like maybe you have, I know you
6		can't say, but they were working day-to-day in that office more up
7		close with Oliver than I was. I think those three could provide a lot
8		of insight into what was going on specifically from the time I left
9		to very recently. I know they left about a year, Beau and Ryan left
10		about a year, a year and a half ago, so they might have some more
11		recent knowledge than I do.
12	Jeffrey Brown:	Do you have anything else, Omar? Ali – did you have a question?
13	Ali Bazzi:	Nope.
14	Jeffrey Brown:	Anything else before we finish up here?
15	Former District Staffer:	No.
16	Jeffrey Brown:	Well with that, I'll thank you for coming in to sit down with us,
17		and I'll end the recording.

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

ERRATA SHEET

Page	Line	Correction	Reason
5	18	Deputy to Executive	Reflects my current title
11	6	Actually there was an intern (referring to Kara Blasier)	This reflects that Rachel did not work for Koch
15	30	Kara Blasier	Proper spelling of name
26	15	post-August 2012	add year
31	15	I don't recall that happening with me at a fundraising	More Accurate Event description
34	25	Why these expenses were not occurring	Correction

This errata sheet is submitted subject to 18 U.S.C. § 1001 (commonly known as the False Statements Act).

Witness Name: [redacted]

Witness Signature: [redacted]

Date: 1/12/2018

EXHIBIT 7

Payroll Certification-FINMART

HI201
Date: 11/30/16
Time: 15:05:32

US HOUSE OF REPRESENTATIVES
Payroll Certification

Accounting Period: 11/01/16 To: 11/30/16
Process Level: AZ06Y HON. DAVID SCHWEIKERT Accounting Organization: AZ06SCD

Employee Name / Position and Job Title	Annual Salary	Gross Pay	Period From	Period To	Remarks	Employee No.
MEMBERS CLERK HIRE PERS (PERMANENT)						
BORQUEZ-SMITH, ERNESTINA DIR CONSTIT SVCS & OFFICE MGR	100,000.00	8,333.33	11/01/16	11/30/16		[redacted]
BRUNSON, BEAU M.S. DEPUTY COS AND LD	168,411.00	14,034.25	11/01/16	11/30/16		
DUVENECK, KATHERINE S RESEARCH DIRECTOR	52,000.00	4,333.33	11/01/16	11/30/16	P/R CHANGE 11/01/16	
GARTLAND, MOLLY M STAFF ASSISTANT	36,000.00	3,000.00	11/01/16	11/30/16	P/R CHANGE 11/01/16	
KNIGHT, KEVIN R DEPUTY CHIEF OF STAFF	105,000.00	8,750.00	11/01/16	11/30/16		
LATSHAW, JARROD T DISTRICT REPRESENTATIVE	42,000.00	3,500.00	11/01/16	11/30/16	P/R CHANGE 11/01/16	
LEANDER, THOMAS C LEGISLATIVE CORRESPONDENT	49,000.00	4,083.33	11/01/16	11/30/16	P/R CHANGE 11/01/16	
LEPIRE, CAMILLE J LEGISLATIVE CORRESPONDENT	42,000.00	3,500.00	11/01/16	11/30/16	P/R CHANGE 11/01/16	
O'CONNOR, MARY MARGARET FINANCIAL ADMINISTRATOR	25,800.00	2,150.00	11/01/16	11/30/16		
ROBERSON, KELLY C POLICY ADVISOR	50,000.00	138.89	11/01/16	11/30/16	TERMINATED 11/01/16	
SCHWAB, RICHARD OLIVER CHIEF OF STAFF	168,411.00	14,034.25	11/01/16	11/30/16		
STANFORD, RYAN S STAFF ASSISTANT	38,000.00	3,166.67	11/01/16	11/30/16	P/R CHANGE 11/01/16	
SYLVESTER, ASHLEY ELIZABETH OFFICE MGR/PRESS ASSIST	49,000.00	4,083.33	11/01/16	11/30/16	P/R CHANGE 11/01/16	

Payroll Certification-FINMART

HI201
Date: 11/30/16
Time: 15:05:33

US HOUSE OF REPRESENTATIVES
Payroll Certification

Accounting Period: 11/01/16 To: 11/30/16
Process Level: AZ06Y HON. DAVID SCHWEIKERT Accounting Organization: AZ06SCD

Employee Name / Position and Job Title	Annual Salary	Gross Pay	Period From	Period To	Remarks	Employee No.
WAKSMAN, JEFF L RESEARCH FELLOW	12,000.00	966.67	11/01/16	11/30/16	TERMINATED 11/29/16	[redacted]
WHITE, RYAN M LEGISLATIVE DIRECTOR	55,000.00	4,583.33	11/01/16	11/30/16		

MEMBERS CLERK HIRE PERS (NON PERMANENT)

Payroll Certification-FINMART

HI201
Date: 11/30/16
Time: 15:08:33

US HOUSE OF REPRESENTATIVES
Payroll Certification

Accounting Period: 11/01/16 To: 11/30/16
Process Level: AZ06Y HON. DAVID SCHWEIKERT
Accounting Organization: AZ06SCD

Employee Group	Expenditure	Active	Paid
MEMBERS CLERK HIRE PERS (PERMANENT)	78,657.38	13	15
Total	78,657.38	13	15

COMMENTS: (EXCEPTIONS MUST BE LISTED WITHIN THIS BOX)

I CERTIFY THAT THE LISTED EMPLOYEES HAVE PERFORMED THEIR ASSIGNED OFFICIAL DUTIES FOR THE OFFICES OF THE EMPLOYING AUTHORITY, AND THAT THEY HAVE CERTIFIED THAT THEY HAVE NO RELATIONSHIP TO A CURRENT MEMBER OF CONGRESS, UNLESS OTHERWISE NOTED HEREON.

Payroll Certification-FINMART

H1201
Date: 12/30/16
Time: 15:14:06

US HOUSE OF REPRESENTATIVES
Payroll Certification

Accounting Period: 12/01/16 To: 12/31/16

Process Level: AZ06Y HON. DAVID SCHWEIKERT Accounting Organization: AZ06SCD

Employee Name / Position and Job Title	Annual Salary	Gross Pay	Period From	Period To	Remarks	Employee No.
MEMBERS CLERK HIRE PERS (PERMANENT)						
BORQUEZ-SMITH, ERNESTINA DIR CONSTIT SVCS & OFFICE MGR	100,000.00	8,333.33	12/01/16	12/31/16		[redacted]
BRUNSON, BEAU M.S. DEPUTY COS AND LD	168,411.00	14,034.25	12/01/16	12/31/16		
DUVENECK, KATHERINE S RESEARCH DIRECTOR	40,000.00	3,333.33	12/01/16	12/31/16	P/R CHANGE 12/01/16	
GARTLAND, MOLLY M STAFF ASSISTANT	24,000.00	2,000.00	12/01/16	12/31/16	P/R CHANGE 12/01/16	
KNIGHT, KEVIN R DEPUTY CHIEF OF STAFF	105,000.00	8,750.00	12/01/16	12/31/16		
LATSHAW, JARROD T DISTRICT REPRESENTATIVE	30,000.00	2,500.00	12/01/16	12/31/16	P/R CHANGE 12/01/16	
LEANDER, THOMAS C LEGISLATIVE CORRESPONDENT	37,000.00	3,083.33	12/01/16	12/31/16	P/R CHANGE 12/01/16	
LEPIRE, CAMILLE J LEGISLATIVE CORRESPONDENT	30,000.00	2,500.00	12/01/16	12/31/16	P/R CHANGE 12/01/16	
O'CONNOR, MARY MARGARET FINANCIAL ADMINISTRATOR	25,800.00	2,150.00	12/01/16	12/31/16		
SCHWAB, RICHARD OLIVER CHIEF OF STAFF	168,411.00	14,034.25	12/01/16	12/31/16		
STANFORD, RYAN S STAFF ASSISTANT	26,000.00	2,166.67	12/01/16	12/31/16	P/R CHANGE 12/01/16	
SYLVESTER, ASHLEY ELIZABETH OFFICE MGR/PRESS ASSIST	37,000.00	3,083.33	12/01/16	12/31/16	P/R CHANGE 12/01/16	
WHITE, RYAN M LEGISLATIVE DIRECTOR	55,000.00	4,583.33	12/01/16	12/31/16		

Payroll Certification-FINMART

HI201
Date: 12/30/16
Time: 15:14:07

US HOUSE OF REPRESENTATIVES
Payroll Certification

Page: 186

Accounting Period: 12/01/16 To: 12/31/16
Process Level: AZ06Y HON. DAVID SCHWEIKERT
Accounting Organization: AZ06SCD

Employee Group	Expenditure	Active	Paid
MEMBERS CLERK HIRE PERS (PERMANENT)	70,551.82	13	13
Total	70,551.82	13	13

COMMENTS: (EXCEPTIONS MUST BE LISTED WITHIN THIS BOX)

I CERTIFY THAT THE LISTED EMPLOYEES HAVE PERFORMED THEIR ASSIGNED OFFICIAL DUTIES FOR THE OFFICES OF THE EMPLOYING AUTHORITY, AND THAT THEY HAVE CERTIFIED THAT THEY HAVE NO RELATIONSHIP TO A CURRENT MEMBER OF CONGRESS UNLESS OTHERWISE NOTED HEREON

Payroll Certification-FINMART

HI20I
Date: 01/31/17
Time: 12:21:20

US HOUSE OF REPRESENTATIVES
Payroll Certification

Accounting Period: 01/01/17 To: 01/31/17
Process Level: AZ06Y HON. DAVID SCHWEIKERT Accounting Organization: AZ06SCD

Employee Name / Position and Job Title	Annual Salary	Gross Pay	Period From	Period To	Remarks	Employee No.
MEMBERS CLERK HIRE PERS (PERMANENT)						
BORQUEZ-SMITH, ERNESTINA DIR CONSTIT SVCS & OFFICE MGR	100,000.00	8,333.33	01/01/17	01/31/17		[redacted]
BRUNSON, BEAU M.S. DEPUTY COS AND LD	168,411.00	935.62	01/01/17	01/31/17	TERMINATED 01/02/17	
DIMENSTEIN, KATHERINA S LEGISLATIVE DIRECTOR	105,000.00	7,875.00	01/01/17	01/31/17	APPOINTMENT 01/04/17	
DUVENECK, KATHERINE S LEGISLATIVE ASSISTANT	53,000.00	4,344.44	01/01/17	01/31/17	P/R CHANGE 01/03/17	
FOTI, LESLIE RATH SHARED EMPLOYEE	12,000.00	933.33	01/01/17	01/31/17	APPOINTMENT 01/03/17	
GARTLAND, MOLLY M STAFF ASSISTANT	32,000.00	2,622.22	01/01/17	01/31/17	P/R CHANGE 01/03/17	
JAECKLE, HANNAH LOUISE OGR LEGISLATIVE ASST	48,000.00	0.00	01/01/17	01/31/17	APPOINTMENT 01/12/17	
KNIGHT, KEVIN R DEPUTY CHIEF OF STAFF	105,000.00	8,750.00	01/01/17	01/31/17		
LATSHAW, JARROD T DISTRICT REPRESENTATIVE	35,000.00	2,888.89	01/01/17	01/31/17	P/R CHANGE 01/03/17	
LEANDER, THOMAS C LEGISLATIVE ASSISTANT	50,000.00	4,094.45	01/01/17	01/31/17	P/R CHANGE 01/03/17	
LEPIRE, CAMILLE J LEGISLATIVE CORRESPONDENT	40,000.00	3,277.78	01/01/17	01/31/17	P/R CHANGE 01/03/17	
MILLS, DONALD J DISTRICT REPRESENTATIVE	23,077.00	833.34	01/01/17	01/31/17	APPOINTMENT 01/18/17	
O'CONNOR, MARY MARGARET FINANCIAL ADMINISTRATOR	25,800.00	2,150.00	01/01/17	01/31/17		

Payroll Certification-FINMART

HI201
Date: 01/31/17
Time: 12:21:21

US HOUSE OF REPRESENTATIVES
Payroll Certification

Accounting Period: 01/01/17 To: 01/31/17
Process Level: AZ06Y HON. DAVID SCHWEIKERT Accounting Organization: AZ06SCD

Employee Name / Position and Job Title	Annual Salary	Gross Pay	Period From	Period To	Remarks	Employee No.
SCHWAB, RICHARD OLIVER CHIEF OF STAFF	168,411.00	14,034.25	01/01/17	01/31/17		[redacted]
STANFORD, RYAN S STAFF ASSISTANT	26,000.00	0.00	01/01/17	01/31/17	TERMINATED 12/31/16	
SYLVESTER, ASHLEY ELIZABETH OFFICE MGR/PRESS ASSIST	50,000.00	4,094.45	01/01/17	01/31/17	P/R CHANGE 01/03/17	
WHITE, RYAN M LEGISLATIVE DIRECTOR	55,000.00	4,583.33	01/01/17	01/31/17		
MEMBERS CLERK HIRE PERS (NON PERMANENT)						
JAECKLE, HANNAH LOUISE TEMPORARY EMPLOYEE	12,000.00	300.00	01/01/17	01/31/17	TERMINATED 01/11/17 APPOINTMENT 01/03/17	
LACEY, THOMAS G TEMPORARY EMPLOYEE	17,143.00	1,000.01	01/01/17	01/31/17	APPOINTMENT 01/10/17 P/R CHANGE 01/10/17	
LAVEN, ZACHARY R TEMPORARY EMPLOYEE	17,143.00	1,000.01	01/01/17	01/31/17	APPOINTMENT 01/10/17 P/R CHANGE 01/03/17	
MCCASLIN, MADELINE L TEMPORARY EMPLOYEE	38,572.00	1,500.02	01/01/17	01/31/17	APPOINTMENT 01/17/17	
SABOL, SAMANTHA R TEMPORARY EMPLOYEE	38,572.00	1,500.02	01/01/17	01/31/17	APPOINTMENT 01/17/17	
MEMBERS CLERK HIRE LUMP SUM BONUS						
DUVENECK, KATHERINE S LEGISLATIVE ASSISTANT	53,000.00	2,600.00				
GARTLAND, MOLLY M STAFF ASSISTANT	32,000.00	2,600.00				
LEANDER, THOMAS C LEGISLATIVE ASSISTANT	50,000.00	2,600.00				

Payroll Certification-FINMART

HI201 | US HOUSE OF REPRESENTATIVES | Page 195
Date: 01/31/17 | Payroll Certification
Time: 12:21:21

Accounting Period: 01/01/17 To: 01/31/17

Process Level: AZ06Y HON. DAVID SCHWEIKERT | Accounting Organization: AZ06SCD

Employee Name / Position and Job Title	Annual Salary	Gross Pay	Period From	Period To	Remarks	Employee No.
LEPIRE, CAMILLE J LEGISLATIVE CORRESPONDENT	40,000.00	2,600.00				[redacted]
SYLVESTER, ASHLEY ELIZABETH OFFICE MGR/PRESS ASSIST	50,000.00	2,600.00				

Payroll Certification-FINMART

HI201
Date: 01/31/17
Time: 12:21:31

US HOUSE OF REPRESENTATIVES
Payroll Certification

Accounting Period: 01/01/17 To: 01/31/17
Process Level: AZ06Y HON. DAVID SCHWEIKERT
Accounting Organization: AZ06SCD

Employee Group	Expenditure	Active	Paid
MEMBERS CLERK HIRE PERS (PERMANENT)	69,750.43	15	15
MEMBERS CLERK HIRE PERS (NON PERMANENT)	5,300.06	4	5
MEMBERS CLERK HIRE LUMP SUM BONUS	13,000.00		5
Total	88,050.49	19	25

COMMENTS: (EXCEPTIONS MUST BE LISTED WITHIN THIS BOX)

I CERTIFY THAT THE LISTED EMPLOYEES HAVE PERFORMED THEIR ASSIGNED OFFICIAL DUTIES FOR THE OFFICES OF THE EMPLOYING AUTHORITY, AND THAT THEY HAVE CERTIFIED THAT THEY HAVE NO RELATIONSHIP TO A CURRENT MEMBER OF CONGRESS, UNLESS OTHERWISE NOTED HEREON.

EXHIBIT 8

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

Transcript of Interview of "Former Firetrace Executive"
June 19, 2018

COE.SCHWEIKERT.005038

18-2234_0239

Jeffrey Brown: Okay. This is Jeff Brown with the Office of Congressional Ethics. With me is my colleague Helen Eisner. It is 1:26 on June 19th. Before us we have "Former Firetrace Executive". We are at the JW Marriott in Scottsdale. We've provided "Former Firetrace Executive" with a copy of the False Statements Act and he signed the acknowledgement form recognizing that he got that. And with that we will begin.

"Former Firetrace Executive", are you currently employed anywhere?

Former Firetrace Exec.: No.

Jeffrey Brown: Okay. What's Big Elk Funding?

Former Firetrace Exec.: I loaned money a number of years ago to a charity, and on the lawyer's advice they created an entity to make the loan, more or less, just isolate me personally from ... The charity is a charity that helps abused children. So, in this litigious world of today, if something should ever happen, this just keeps me isolated. All I did was provide a loan.

Jeffrey Brown: Okay. Outside of Big Elk Funding, at some point you worked at Firetrace, correct?

Former Firetrace Exec.: I did.

Jeffrey Brown: Okay. Can you walk me through your role at Firetrace over the years?

Former Firetrace Exec.: In, sometime in 1999, I was approached by two Canadian gentlemen who had a company called Firetrace and it had no sales whatsoever. I was retired. Third party had told them, "If you want to figure out why you're not getting any business you need to talk to an industry expert and that might be, for example, how about "Former Firetrace Executive". You ought to reach out to "Former Firetrace Executive"." They contacted me. I helped them get Firetrace a little more organized.

Ultimately took over as President of the company. Moved the company to Scottsdale, Arizona, and the company was basically reorganized in late 2000, beginning of 2001. We had some venture capital put into the business. The company was finally funded to the extent it needed to be and the business took off.

Jeffrey Brown: You became President around what year?

1 Former Firetrace Exec.: 2000 from, let's just say 2000. Sometime in late '99 I kind of took
2 over, but 2000's probably as good a year as any.

3 Jeffrey Brown: And so you're President and CEO from 2000 up until when?

4 Former Firetrace Exec.: 2013 as I recall.

5 Jeffrey Brown: Okay.

6 Former Firetrace Exec.: I had a major stroke in 2013 and because of the stroke I had to kind of
7 step back and step down.

8 Helen Eisner: You mentioned they approached you because of your expertise. What
9 was your background before joining Firetrace?

10 Former Firetrace Exec.: I've run fire protection companies for ... when I started Firetrace
11 probably 30-35 years.

12 Helen Eisner: Okay.

13 Former Firetrace Exec.: And had retired on a number of occasions and each time I retired
14 somebody asked me to help them and I came out of retirement to help
15 them. So basically I was retired. The two Canadian gentlemen
16 reached out to me, and I developed a strategic business plan for them
17 and then they asked me if I would come out of retirement and run the
18 company.

19 Jeffrey Brown: Can you talk to me a little bit about, you said fire suppression is a
20 focus of Firetrace. Can you just talk to me a little bit about the lines of
21 business, what they are, what the focus is, how that's evolved over the
22 years?

23 Former Firetrace Exec.: We basically did a very narrow, niche of fire protection products. If
24 you think of fire protection you likely think of smoke detection, fire
25 alarm, sprinklers. We did none of that. We did the stuff nobody
26 wanted to do, or probably for good reason. We did niche type things.

27 When the Ford police interceptor fuel tank started catching fire in
28 crashes, we developed a technology to prevent that. If you had a
29 computer controlled machine in your factory, you had sprinklers up
30 high, but if the machine caught fire, the machine just burned. We
31 came up with a system that would actually go in the machine and
32 protect it.

33 We did very, very specialized type of special hazards protection.

1 Jeffrey Brown: And who were your clients primarily?

2 Former Firetrace Exec.: Our clients ... Wow, the whole waterfront. It was an international
3 company. They were telecommunication companies, machine
4 companies, companies that did machining of any kind, laboratories,
5 the military, transportation.

6 Jeffrey Brown: And are you designing specific systems for each company that
7 approaches you on each specific project, or there sort of a baseline
8 fire suppression system that you're then modifying for each client?

9 Former Firetrace Exec.: We basically produced a baseline of products.

10 Jeffrey Brown: Mm-hmm (affirmative).

11 Former Firetrace Exec.: We sold our products via authorized distributors. So within the
12 industrial commercial side of our company, we would sell to the
13 distributors, the distributors would be the one working with a
14 manufacturing company that wanted to protect a machine or
15 university that wanted to protect the fume hood in a laboratory or
16 something like that.

17 We then had our vehicular fire protection system, which primarily
18 protected police cars. Then we had our aerospace division which
19 protected military vehicles of different shapes and sizes.

20 Jeffrey Brown: You mentioned authorized distributors. Did you have any subsidiaries
21 or affiliates? Did Firetrace, that is?

22 Former Firetrace Exec.: We were Firetrace USA LLC. And we had ... It's a boring story, but
23 when I took over Firetrace, Firetrace was basically originated in the
24 UK with the Melton family in Ipswich, England.

25 Jeffrey Brown: Okay.

26 Former Firetrace Exec.: They had authorized the Canadian gentlemen to have rights to
27 Canada, Central America ... Canada, the United States, Central, and
28 South America.

29 Jeffrey Brown: Mm-hmm (affirmative).

30 Former Firetrace Exec.: When I took over the company, I negotiated with the British to let
31 them keep the United Kingdom and we took over the rest of the
32 world. You had from a corporate structure, you had Firetrace USA.
33 We had our industrial, commercial division. We had our vehicular

1 division. We had our aerospace division. International. I think
2 International was a separate division. And, then there was Firetrace
3 UK for lack of a better word.

4 Firetrace Limited. That was owned by the Melton family. We had
5 nothing to do with that, other than we were friends.

6 Jeffrey Brown: Did you have any further questions about background on Firetrace?

7 Helen Eisner: No. Not at this point.

8 Jeffrey Brown: Okay. In 2013, you decide to step aside. What's the transition? Who's
9 taking over for you?

10 Former Firetrace Exec.: July 21, 2013, a day I'll never forget. I suffered a severe stroke. I went
11 into Mayo Stroke Center here in Phoenix. After treatment at Mayo
12 Stroke Center, I was told that I had to avoid stress, I had to avoid
13 becoming real tired, I had to take better care of myself in other words.
14 So, within a month or so after that ... And I was supposed to do that
15 immediately upon exit from the hospital.

16 Much to my wife's chagrin, I went right back to work.

17 Jeffrey Brown: Okay.

18 Former Firetrace Exec.: I kept working and ultimately, I think it was 30 days, my 30 day
19 follow up, the doctor told me that if you don't give up the stressful
20 work environment you're in, she would guarantee me that the next
21 stroke would kill me.

22 I went back to the board of directors and explained to the board of
23 directors that for my own good, I needed to resign as president and
24 CEO and that for the prior roughly two years I had hired a gentleman
25 two years earlier who I had been grooming to one day take over my
26 role. I explained to the board that it was time for that transition to
27 happen.

28 Jeffrey Brown: And who's that individual?

29 Former Firetrace Exec.: That's Mark Cavanaugh.

30 Jeffrey Brown: Okay. At the time you left, what's the size of Firetrace employee-
31 wise? Roughly.

32 Former Firetrace Exec.: Jeff, I'm sorry. I have no idea.

1 Jeffrey Brown: Okay.

2 Former Firetrace Exec.: I have no idea.

3 Jeffrey Brown: Are we talking 50 employees or are we talking a couple hundred
4 employees?

5 Former Firetrace Exec.: Maybe 50 in the United States. Maybe. I don't think we were 50
6 employees.

7 Jeffrey Brown: Okay.

8 Former Firetrace Exec.: And then we had operations globally and there weren't that many
9 within the global operations. We weren't a large company.

10 Jeffrey Brown: And the people who are operating internationally, are they working
11 on the production side of things or more the sales and connecting with
12 authorized retailers?

13 Former Firetrace Exec.: We had an assembly operation in the UK.

14 Jeffrey Brown: Mm-hmm (affirmative).

15 Former Firetrace Exec.: Near Gatwick Airport.

16 Jeffrey Brown: Separate from the Firetrace UK individuals?

17 Former Firetrace Exec.: Yes.

18 Jeffrey Brown: Okay.

19 Former Firetrace Exec.: Good point. Firetrace UK was in Ipswich and they had the rights to
20 sell within the United Kingdom. We did not have those rights, but it
21 was an easy airplane trip and they spoke English, so when we wanted
22 to have an assembly facility to service Europe, the Middle East,
23 Africa, we put an assembly facility near Gatwick Airport and they
24 serviced those markets. Europe, Middle East, Africa. The rest of the
25 international operations, and I apologize, I really need to think about
26 this, it's been so long. I don't believe any of the other international
27 operations were more than sales offices.

28 Jeffrey Brown: Mm-hmm (affirmative).

29 Former Firetrace Exec.: So it was a salesman based out of perhaps a rental office space, or
30 maybe even working out of his home.

1	Jeffrey Brown:	And so, internationally, we're certainly talking less than 50
2		individuals.
3	Former Firetrace Exec.:	Oh goodness. Probably less than 10.
4	Jeffrey Brown:	Okay. Switching gears a little bit. Politics. Are you involved in local
5		politics here in the area?
6	Former Firetrace Exec.:	Not really. Other than we might go to an occasional fundraiser.
7	Jeffrey Brown:	Okay.
8	Former Firetrace Exec.:	That was it.
9	Jeffrey Brown:	Has your involvement changed or evolved over the years?
10	Former Firetrace Exec.:	No. I go to an occasional fundraiser if it makes sense, but as I've
11		grown older and no longer am in the mainstream of American
12		lifestyle, I have less money to spend and less desire to participate.
13	Jeffrey Brown:	Okay. Let me ask you this. Firetrace, does it have lobbying efforts
14		that it engaged in at any point when you were involved with
15		Firetrace?
16	Former Firetrace Exec.:	We used ... I need to think. I believe there were two firms that we
17		worked with.
18	Jeffrey Brown:	Okay.
19	Former Firetrace Exec.:	I had fundamentally nothing to do with that side, or that aspect of the
20		business, but I think one focused on local issues and I think the other
21		one was ... I think the other one might've been in Washington DC.
22	Jeffrey Brown:	Okay. And when you say that side of the business, can you give me a
23		little bit more about who or what you're referring to?
24	Former Firetrace Exec.:	I was very much a … related to the sales and engineering side of the
25		company.
26	Jeffrey Brown:	Mm-hmm (affirmative).
27	Former Firetrace Exec.:	That's my history, that's my skill set. I was actively involved with
28		sales strategy around the world. I was actively involved with
29		engineering, with our products to address specific requirements. And

1		I also worked closely with our assembly people, our production
2		manager.
3	Jeffrey Brown:	Mm-hmm (affirmative).
4	Former Firetrace Exec.:	From an admin standpoint or what's going on politically, I had
5		nothing to do with that other than I was president. If somebody was
6		paraded through the front door, I would go shake hands. I might
7		participate in a photo shoot if there even was one. But as far as, did I
8		go to Washington DC to meet with somebody or any of that stuff, no.
9	Jeffrey Brown:	So who's heading up the local and/or national ... I don't know if
10		calling them lobbying efforts is appropriate, but sounds like maybe
11		Firetrace had some legislative priorities it was pursuing.
12	Former Firetrace Exec.:	There was a gentleman on our staff who was our liaison with those
13		people.
14	Jeffrey Brown:	Okay. Who's that?
15	Former Firetrace Exec.:	Mark Cavanaugh.
16	Jeffrey Brown:	Okay. Were any other individuals involved? Did Scott Starr, would
17		he have been involved in this at all?
18	Former Firetrace Exec.:	He wouldn't have had any direct involvement. As marketing manager,
19		there may have been some awareness of it, but he would not have had
20		any role or leadership or strategy with any of that.
21	Jeffrey Brown:	Okay. And how did Mark come to take on these responsibilities, or
22		this role?
23	Former Firetrace Exec.:	I think ... I don't remember precisely how, but I do recall the ... I think
24		the two lobbyists that we worked with were personal friends of his.
25	Jeffrey Brown:	Okay. Before ... I think you said you hired Mark about two years
26		before you left the company? Am I remembering that right?
27	Former Firetrace Exec.:	I think that's about right. Might've been a wee bit longer, I just don't
28		remember.
29	Jeffrey Brown:	Before Mark joined this company, is there anybody who's handling
30		that same sort of local and national legislative portfolio?

1 2	Former Firetrace Exec.:	No. We had no involvement. We didn't have lobbying people. We didn't have anything.
3 4 5 6	Jeffrey Brown:	How did Mark come to play point person on those roles? Is that sort of a value-add that he brought to the company or did you guys have a conversation at some point where you said that we need to pursue XYZ avenues?
7	Former Firetrace Exec.:	I think they just happened to be friends of Marks.
8	Jeffrey Brown:	Mm-hmm (affirmative).
9 10 11	Former Firetrace Exec.:	I honestly don't know. I would be speculating. But I think they were just merely friends who ultimately indicated they could offer some assistance or something.
12 13 14	Helen Eisner:	Why did Firetrace decide at that point in time that they wanted to get involved or have individuals work on their behalf at the local level and then at the federal level?
15 16 17	Former Firetrace Exec.:	We had products that were being sold both to state governments and to the military. I think the only thing I can guess or remember is that there was probably a belief that somehow that would help us.
18 19 20	Jeffrey Brown:	In terms of legislative priorities, you're looking at sort of the military side of your business, are you looking at any other sides of the business?
21	Former Firetrace Exec.:	I don't recall anything else.
22 23 24	Jeffrey Brown:	Okay. Do you remember specific individuals that Mark, or whoever Mark was working with, do you remember specific Members that they were trying to push their legislative priorities to?
25	Former Firetrace Exec.:	I don't remember specific people.
26 27	Jeffrey Brown:	You don't remember any specific Members that Mark or others would've met with to-
28	Helen Eisner:	And I think Jeff might mean Members of Congress or politicians.
29 30	Former Firetrace Exec.:	No. I don't have any specific recollection of any strategy to talk to specific people or whatever.

Helen Eisner: You had said that, just kind of generally talking about why they might've gotten involved in these efforts, and there was a belief that it might help you. Was that Mark's belief, or whose belief was it?

Former Firetrace Exec.: I don't recall who specifically would've been the originator of the concept. I don't think we had anybody until we started doing the Ford Crown Vic work. And then I think it was just does this ... how do we communicate with, what is the procedure to ... Who do we talk to at the State of Arizona to get Arizona Department of Public Safety to listen to us about this? It was looking for contacts or connections. Again, that's purely speculation. I wasn't involved in that.

Helen Eisner: I don't know if we've covered whether or not Firetrace USA has a board. I think you had mentioned earlier on that you were Director.

Former Firetrace Exec.: We had a Board of Directors.

Helen Eisner: And did they ... How often did they meet?

Former Firetrace Exec.: Quarterly.

Helen Eisner: And would the board sort of get involved in sort of day to day company operations or strategy?

Former Firetrace Exec.: No. It was purely strategic in nature.

Helen Eisner: Okay. So, would the board, if a decision was made to get more involved in some type of lobbying after the Ford Crown Vic, was that a decision that the board would've made or is that a decision that the staff would've made?

Former Firetrace Exec.: The board wouldn't have been involved with anything operational like that.

Helen Eisner: Okay.

Jeffrey Brown: What year, do you remember roughly what year the Ford Crown Vic work was occurring?

Former Firetrace Exec.: I have no idea.

Jeffrey Brown: Roughly?

Former Firetrace Exec.: It would be a pure guess. 2010. And that's purely a guess.

1 Jeffrey Brown: It would've been after Mark Cavanaugh came on at Firetrace?

2 Former Firetrace Exec.: Yeah, I think it was.

3 Jeffrey Brown: I asked you before if you remembered Firetrace trying to lobby any
4 specific Members of Congress. I'll jog your memory a little bit.
5 Representative Schweikert. Did Firetrace ever interact, or Mark ever
6 interact with, Representative Schweikert?

7 Former Firetrace Exec.: I don't know. I'm sure. I want to say I think he toured our plant once.

8 Jeffrey Brown: How about Representative Quayle when he was in office?

9 Former Firetrace Exec.: Dan or Ben?

10 Jeffrey Brown: Ben.

11 Former Firetrace Exec.: I don't know if Ben had anything or if there was any communication
12 with him.

13 Jeffrey Brown: It might be helpful if-

14 Helen Eisner: Let me just actually ask a question if that's okay. Besides
15 Representative Schweikert potentially touring the plant at some point,
16 what was your relationship with Representative Schweikert?

17 Former Firetrace Exec.: I didn't have one.

18 Helen Eisner: Okay.

19 Former Firetrace Exec.: If he toured the plant, I'm sure I was there as President to welcome
20 him in the lobby or something like that, but I wouldn't have been the
21 one to probably walk him through it. And if he had a fundraiser, it's
22 possible, I don't have any recollection of actually doing it, but it's
23 possible I might've met him at a fundraiser, but if you lined up three
24 people over there and said, "Pick out Congressman Schweikert," I
25 couldn't tell you who he is.

26 Jeffrey Brown: So then I take it you don't have any sort of relationship with him
27 today?

28 Former Firetrace Exec.: He sends me a Christmas card, I think. I think I get a Christmas card
29 from him.

30 Jeffrey Brown: Okay.

1 Helen Eisner: What about anyone else at Firetrace? Anyone else that you might've
2 known who had a relationship with the congressman?

3 Former Firetrace Exec.: There wouldn't have been anybody else at Firetrace who would've
4 had a relationship with him. No. I mean, he would ... If he toured
5 Firetrace and he got his picture taken in the accounting department,
6 that might be the only relationship that would've existed. Nobody
7 would've ever seen him or talked to him other than that.

8 Helen Eisner: Okay.

9 Jeffrey Brown: Mark wouldn't have had any sort of communications with him?

10 Former Firetrace Exec.: Mark probably did because Mark was our liaison with the two lobby
11 people, and at that particular point, I never went to Washington with
12 him. I never saw what was going on, but is it likely, just common
13 sense would probably say that … Schweikert I think was our
14 congressman where we were located. That's why I think he toured.

15 Jeffrey Brown: Okay.

16 Former Firetrace Exec.: So it's likely that Mark would've interfaced with him.

17 Jeffrey Brown: You mentioned a couple times, and I meant to follow up on this
18 before, who are Mark's two friends or acquaintances that assisted with
19 lobbying efforts?

20 Former Firetrace Exec.: Oh wow. I think one's name was Teddy, and that's obviously a
21 nickname I assume.

22 Jeffrey Brown: Okay.

23 Former Firetrace Exec.: I don't remember ... I don't remember the last name.

24 Jeffrey Brown: Teddy Eynon or Ion?

25 Former Firetrace Exec.: That sounds right.

26 Jeffrey Brown: Okay.

27 Former Firetrace Exec.: But I don't know for sure.

28 Jeffrey Brown: Mm-hmm (affirmative).

Former Firetrace Exec.: And then the other one ... I'm sorry, I just don't remember the other one.

Jeffrey Brown: If it comes to you, let me know.

I want to hand you a couple documents. I think some of these might help jog your memory a bit. For the record, I am handing you what is bates labeled at the bottom FT_0889 and this looks like an email that's from Mark Cavenaugh to you and to others. The subject is "Congressman Schweikert." It's dated Thursday February 10th, 2011, 8:54 PM. I'll give you a chance to read it, but the question I want to ask you is, in the email Mark says, that "Congressman Schweikert is aware of our military business and has made indications that he would like to help champion our wind efforts." I'm hoping you can walk me through what he's referring to when he's talking about "wind efforts."

Former Firetrace Exec.: We developed a system to protect the insides of the equipment cabinets in wind turbines.

Jeffrey Brown: Okay.

Former Firetrace Exec.: And, I presume Mark was talking to him about how, or if there's anything he might be able to do to help us understand how to get more business in the wind industry.

Jeffrey Brown: Okay. This email also makes reference to a visit to the facility, and I'm wondering if this is likely the visit that you were referring to earlier?

Former Firetrace Exec.: It must be.

Jeffrey Brown: Okay.

Former Firetrace Exec.: I mentioned earlier I think he had toured. Here's a confirmation that I guess he did.

Jeffrey Brown: I'm going to hand you another document it is bates labeled FT_0787 and it's actually a couple pages here. Its 787 through 789. I'll give you a chance to look at it real quick before I ask you any questions.

The original email in this string is from an Oliver Schwab to a Teddy Eynon. I'm probably pronouncing that incorrectly, but do you know who Oliver Schwab is?

Former Firetrace Exec.: No idea.

1 Jeffrey Brown: Okay. You've never interacted with him?

2 Former Firetrace Exec.: Not to the best of my recollection.

3 Jeffrey Brown: Okay. And Teddy here is the Teddy that I think we were referring to
4 earlier, Mark's friend or acquaintance that assisted Firetrace with
5 lobbying at first.

6 Former Firetrace Exec.: I presume so, yeah.

7 Jeffrey Brown: Okay. And it looks like here, Teddy forwards an email from Oliver to
8 Mark and then Mark's forwarding one to you and in it he says, "I will
9 be in India, David Schweikert asked that we attend. Congressman
10 Schweikert is the Congressman in Firetrace's district and will be
11 supporting our wind legislation so I thought this would be good for us
12 to attend at his request."

13 You've told us what your wind technology was, but what's the wind
14 legislation?

15 Former Firetrace Exec.: I don't know.

16 Jeffrey Brown: Okay. When I look at that it suggests to me that perhaps there's some
17 legislative … wind legislation that would assist Firetrace. Does that
18 seem to be a fair reading of that?

19 Former Firetrace Exec.: It implies that, and there may have been something being discussed,
20 tossed around, or whatever, but to the best of my recollection, there
21 was never any wind legislation.

22 Jeffrey Brown: Okay. You don't remember having any discussions about that?

23 Former Firetrace Exec.: No.

24 Helen Eisner: Besides legislation, were there areas that you were trying to … road
25 blocks or something that Firetrace was trying to change to make it
26 easier to get the product out?

27 Former Firetrace Exec.: I don't think there were road blocks, I think the biggest problem, I
28 often told people is that we had a very, if you remember when we
29 started, I mentioned we were in a very small, niche of the fire
30 protection market. The problem Firetrace had from day one until the
31 day I sold the company is that not enough people know that there this
32 is this technology which can solve the problem.

When we talked about Scott Starr, he directed our advertising. We were trying to make people be aware that we had this product that could solve it. When you talk about wind, I don't know how much you know about a wind turbine, but all the electronics is way up a 100 meters plus up in the air.

And the assumption was there's nothing you could put to protect that, there's just no technology available to man that would do that. But we had the solution, but people didn't know it. Our largest corporate impetus, if you want to call it that, was just trying to make people understand that there were solutions besides fire alarm and sprinklers and all the traditional stuff that you might think of when it comes to fire protection.

So my… it's purely speculation here is that somebody was trying to make the congressman aware that we had a solution for that problem and the government was and continues to push wind energy as a renewable energy source.

Jeffrey Brown: Mm-hmm (affirmative).

Former Firetrace Exec.: For the record, I never attended anybody's luncheon.

Jeffrey Brown: Okay. And you're referring to FT_0787, this email where Mark asks you to possibly attend a luncheon because he's going to be in India?

Former Firetrace Exec.: Yeah. I mean, I didn't attend any luncheon. Certainly wouldn't have spent $1,000 for lunch.

Jeffrey Brown: Okay. I want to mention one other piece of legislation. Does the NDAA or the National Defense Authorization Act, is that something that you're familiar with?

Former Firetrace Exec.: Not specifically.

Jeffrey Brown: Okay. Do you remember Firetrace or anybody at Firetrace ever trying to promote Firetrace's interests relative to NDAA?

Former Firetrace Exec.: I don't know how it would relate to NDAA.

Jeffrey Brown: Mm-hmm (affirmative).

Former Firetrace Exec.: We had a solution for problems, that's fire problems and explosion problems that existed within the military. We were trying to promote within the military an awareness of the technology we had, which our

1		belief then, or would be now, is that if people are aware you have a
2		problem and we have a solution, there would be an opportunity to
3		gain business.
4	Jeffrey Brown:	This is the same sort of thing you were just talking about with respect
5		to wind?
6	Former Firetrace Exec.:	Yeah.
7	Jeffrey Brown:	Biggest hindrance was people don't know that the solution's out there.
8	Former Firetrace Exec.:	Right.
9	Jeffrey Brown:	Okay.
10	Helen Eisner:	Was there ever a time, during the period of time you were at
11		Firetrace, when legislation passed, not this specific legislation that
12		we've been talking about, but any piece of legislation that
13		significantly impacted your business in ...
14	Former Firetrace Exec.:	I don't think there was anything that was specifically focused on our
15		business. I don't recall anything that said, "This was passed and now
16		we got whatever." When budgets passed, people might spend money
17		on our products.
18	Helen Eisner:	Okay.
19	Former Firetrace Exec.:	But I don't recall anything that specifically said, this passes and
20		Firetrace gets whatever.
21	Helen Eisner:	So besides specifically, and not a piece of legislation that specifically
22		refers to Firetrace, but generally, legislation. You talked about
23		budget. Was there any other legislation that because it passed, it
24		helped Firetrace's business?
25	Former Firetrace Exec.:	I don't recall any.
26	Helen Eisner:	Okay.
27	Jeffrey Brown:	I'm going to hand you FT_0010 and feel free to look at the entire
28		email. I'm actually going to just draw your attention to the top one on
29		FT_0010. I realize you are not on this email, but it is an email from
30		Scott Starr to Mark Cavanaugh.

1		Scott refers to Mark as a "legislative guru." I'm hoping you can
2		elaborate on how he got this moniker.
3	Former Firetrace Exec.:	Scott Starr just has a weird sense of humor.
4	Jeffrey Brown:	Okay. Is it fair to say that this relates to what we were discussing
5		earlier- that Mark was sort of a point person on any lobbying efforts
6		that Firetrace may have taken either on the national or the local level?
7	Former Firetrace Exec.:	That's correct.
8	Jeffrey Brown:	Had you ever referred to him as a "legislative guru"?
9	Former Firetrace Exec.:	I don't recall him being called that. He was called a lot of things, but I
10		don't remember that name.
11	Jeffrey Brown:	All right.
12	Helen Eisner:	What about, I'm sorry, just referring to that same document on the
13		second page, which is FT_0011, there's mention of the importance of
14		an extension of production tax credit on the future of the wind
15		industry. Does the production tax credit in any way jog your
16		memory?
17	Former Firetrace Exec.:	What I remember about that, and it's vague, is that I think, I think
18		wind turbines or wind energy was getting a tax credit or something
19		like that. And that was set to expire.
20		Let me think about that now. I think it was something like that. It was
21		set to expire and the concern was, is whether that credit expired,
22		would the incentive for putting wind energy disappear with whatever
23		the credit was.
24		Mr. Starr as marketing manager would no doubt have been trying to
25		at least know whether that's going to affect our business going
26		forward. And Mark would've been the person who would be making
27		us aware of what's going on with it. And again, I'm speculating here,
28		but I'm guessing Mark was being briefed by whomever our lobbyists
29		were as to what's going on in Washington as it relates to this.
30	Helen Eisner:	Okay.
31	Jeffrey Brown:	You started touching on something I want to talk about. You
32		remember two lobbyists. One of them is Teddy, and we can't
33		remember the name of the other one. What sort of interactions is

1 2		Firetrace having with them? What sort of issues are they helping Firetrace with?
3 4		What is Mark updating you on? I presume you're spending money on these efforts. Walk me through-
5 6	Former Firetrace Exec.:	As president, all I recall is that they were trying to help make people in Washington aware that we have a solution to certain problems.
7	Jeffrey Brown:	Did you interact with ... Did you ever interact with Teddy?
8 9	Former Firetrace Exec.:	I'm sure I've met Teddy, and I'm sure… the fact that I remembered his name, I no doubt have interacted with him.
10 11		Did I have business meetings with him to go over whatever? Not that I recall.
12 13	Jeffrey Brown:	Do you remember it being money well spent, working with either of these lobbyists, or money that was not well spent?
14 15 16 17 18 19	Former Firetrace Exec.:	I don't even remember how much money it was. I can't say. I don't know if it was a lot. It couldn't have been a lot, or then I would've been aware of it. I think it was just something that somebody made a decision that it makes sense for these people to be making people aware of what we have, and what we can do, and what problems we can solve.
20 21	Jeffrey Brown:	Do you know if Teddy or this other lobbyist has relationships with certain Members of Congress?
22	Former Firetrace Exec.:	I have no idea what his relationships were with them.
23 24 25 26	Jeffrey Brown:	Okay. You mentioned on a couple of occasions that you had never traveled to Washington, or if you'd met Representative Schweikert, it would only have been at the plant. Was Mark… would he travel to Washington to meet with either Representative Schweikert or others?
27	Former Firetrace Exec.:	He ... I don't remember specifics, but he probably did.
28 29	Jeffrey Brown:	Okay. Would he meet with Representative Schweikert or any of his staff members in the district?
30 31 32	Former Firetrace Exec.:	He might've. Again, as I said, he, along with the lobbyists, had this strategy or whatever. The plan was to grow business and how they accomplished it, I'm not exactly sure.

1 Jeffrey Brown: I asked you if you were familiar with an individual named Oliver
2 Schwab. You said you weren't. How about the name Beau Brunson?
3 Did you ever meet a Beau Brunson that would've worked for
4 Congressman Schweikert?

5 Former Firetrace Exec.: Not that I recall.

6 Jeffrey Brown: Okay. Or a Ryan White?

7 Former Firetrace Exec.: Not that I recall. Did they tour with him?

8 Jeffrey Brown: They may have. I don't know the answer to that.

9 Former Firetrace Exec.: Okay.

10 Jeffrey Brown: But they were staffers for Congressman Schweikert, and I'm just
11 wondering if you've ever come into contact with them.

12 Former Firetrace Exec.: I don't have any recollection of ever meeting them.

13 Jeffrey Brown: Okay. Do you know if anyone besides Congressman Schweikert came
14 to the facility that one time?

15 Former Firetrace Exec.: I think vice-president Dan Quayle might've come once. I can't recall
16 anyone else.

17 Jeffrey Brown: Okay.

18 Former Firetrace Exec.: CNN.

19 Jeffrey Brown: CNN. Affiliated with ... When did CNN come and why did CNN
20 come?

21 Former Firetrace Exec.: CNN came and did a little discussion of the technology that we have
22 that was saving soldier's lives in I believe it was the first Iraqi war, or
23 maybe the Kuwaiti situation.

24 Jeffrey Brown: I'm going to hand you another document here. There you go. For the
25 record, this is an email string. It begins at FT_0376 and looks like it
26 goes through FT_0380. I'll give you a chance to look it over and then
27 I'll ask you a couple questions.

28 Did you have any sort of relationship with Ben Quayle or the Quayle
29 family?

Former Firetrace Exec.: I knew the Quayle family.

Jeffrey Brown: Uh huh (affirmative). Were you involved with Ben's ... I guess, either of Ben's campaigns?

Former Firetrace Exec.: I'm a friend of Dan, a golfing buddy. And, when Ben was running, would've have probably been in favor or Ben running or helped as far as, said nice things or whatever because Dan would've appreciated it.

Jeffrey Brown: And, would you have contributed to his campaign?

Former Firetrace Exec.: It's possible. I don't remember.

Jeffrey Brown: This email, it's on FT_0377, it's the bottom of the second page. There's an email from you to Mark Cavanaugh, it's Monday February 20th, 2012. In the email you say, "I don't see us rallying behind one or the other until we know where we're going to align in the long run." It looks like you're referring to Ben Quayle and David Schweikert.

You conclude that by asking Mark "your thoughts?" Can you walk me through what you're getting at when you ask that question of Mark?

Former Firetrace Exec.: From looking at the whole document, it looks like somebody was asking us to go to a dinner.

Jeffrey Brown: Mm-hmm (affirmative).

Former Firetrace Exec.: And, I was seeking guidance, input as to, is that something we want to do, and if they're both doing ... I don't remember, but if they're ... Were they both running against each other?

Helen Eisner: Yes.

Former Firetrace Exec.: They were.

Helen Eisner: Yes.

Jeffrey Brown: Yeah.

Former Firetrace Exec.: I'm guessing if we were going to choose one side versus the other, if we were going to make a donation or anything, or even do anything with. That's pure speculation, just from whatever. And for the record, I don't remember going to any dinner.

Jeffrey Brown: And you're referring to the last page of this document, it's an NRCC Southwest Spring Dinner.

Former Firetrace Exec.: Yep. I do not recall going to any dinner. I don't know if that means if we did donate, didn't donate, did anything.

Jeffrey Brown: Was that a conversation that you, and Mark, and the lobbyists would have? "We've got individuals who are running against each other, where do we throw our political support? Where do we put our money?"

Former Firetrace Exec.: Well, we didn't have any political support. I mean, we had nothing to throw behind somebody, but if it meant contributing money to a campaign to go to an event such as this or something like that, quite frankly, I didn't have a desire to spend a lot of my personal money for any of that. So if I was going to attend a dinner, I want to attend a dinner for somebody we believe in.

Jeffrey Brown: Okay. Was there any sort of policy or expectation at Firetrace that individuals would be contributing in order to get support for Firetrace?

Former Firetrace Exec.: I don't think there was ... Certainly there was no policy.

Jeffrey Brown: Mm-hmm (affirmative).

Former Firetrace Exec.: If we decided to ... If Ben were having a fund- If Ben Quayle were having a fundraiser, and attending the fundraiser would be supportive of Ben, and if we cared to be supportive of Ben, then I or Mark, or somebody would make a decision if we wanted to. But I never told Mark to attend an event. I never ordered him to attend an event and he never sat back and said, "'Former Firetrace Executive", the world end if you don't attend."

Jeffrey Brown: Okay.

Former Firetrace Exec.: Everything was voluntary.

Jeffrey Brown: Besides you and Mark, were there others, individuals at Firetrace, who were politically involved or politically active, who may have been supporting one candidate over the other?

Former Firetrace Exec.: No. I think no one else in the entire company really cared.

Jeffrey Brown: Mm-hmm (affirmative).

1 Former Firetrace Exec.: Scott Starr just because of his role may have had a passing touch on
2 it, but as you saw in one of the other ones, it's a question of Scott if
3 the Congressman's touring, can you arrange a camera or something.
4 Nobody else in the company had any expectation of doing anything.
5 We didn't care who they did anything with. That's their own personal
6 life and there was no corporate direction to anybody.

7 Jeffrey Brown: So if any executives are making contributions, to your awareness, it's
8 either you or Mark?

9 Former Firetrace Exec.: To the best of my recollection.

10 Jeffrey Brown: Okay.

11 Helen Eisner: In this email, the first page for FT_0376, in the exchange between
12 you and Mark where Mark writes that third paragraph down "as of
13 Quayle v Schweikert, I think both you and I have maxed out to
14 Quayle the primary, so we are good with him."

15 Did you and Mark share information about what contributions you
16 had made?

17 Former Firetrace Exec.: As far as how much we've done?

18 Helen Eisner: Yes.

19 Former Firetrace Exec.: It likely would've been discussed.

20 Helen Eisner: Okay.

21 Former Firetrace Exec.: I mean Mark would've probably been giving me advice so I didn't
22 exceed some limit or do something silly like that. But I mean, it is
23 highly, highly, highly unlikely that I would've attended anybody's
24 fundraiser if somebody didn't suggest to me that it's something we
25 ought to do.

26 So if Dan Quayle contacted me and says, "Hey golfing buddy, my
27 son's having a cocktail party, would you do it?" Yeah, I might do it to
28 support my friend Dan. If Mark indicated to me that we should do
29 something, I'd probably trust Mark and support it.

30 Helen Eisner: In the next sentence there he says, "I have let the Schweikert group
31 know we like all they have done and continue to support them."

32 In that vein, what was it that you liked that they had done?

1 Former Firetrace Exec.: That was from Mark. And, he's telling me, I guess, that they've done
2 something that we like. I don't know what it was.

3 Helen Eisner: Okay.

4 Former Firetrace Exec.: Can't think of what it could've been even.

5 Jeffrey Brown: And then the next section there, he says, "And will continue to
6 support them." I read that along with the first sentence that says, "I
7 think you and I are both maxed out to Quayle for the primary" as
8 suggesting that you and Mark have contributed to Quayle, maybe you
9 haven't to Schweikert, but there's some indication that we will
10 continue to support them.

11 Do you know what that means? Is that financial?

12 Former Firetrace Exec.: No, I don't remember what context that was done in. As I've said
13 before, Dan's a friend. When Ben was running, if Ben had an event,
14 we probably or at least I would have, whether Mark would've or not, I
15 don't know. But, I don't know.

16 Jeffrey Brown: At the top of this email it says, "Great Questions. Have a call in to
17 Teddy on this particular event, because I get the same email."

18 What's Mark suggesting there?

19 Former Firetrace Exec.: He's... I'm presuming the reference is to the invite to the dinner. I
20 don't know.

21 Jeffrey Brown: Okay. If you look at the email above there, you respond to Mark and
22 you say, "I see both Schweikert and Quayle are sponsors of the
23 Dinner. I'd be happy to buy a table. As for spending the money to co-
24 chair, that moves it up to a higher level of visibility and cost. Need
25 Teddy's advice on this."

26 Is it fair to say that you and Mark are both trying to solicit advice
27 from Teddy on how best to handle this invite?

28 Former Firetrace Exec.: Yeah. I mean, quite frankly, when a co-chair is $25,000, I'm not
29 spending that kind of money. I mean, it was ... We received an
30 invitation. There's multiple levels that you can participate and we
31 were looking for guidance.

32 Jeffrey Brown: Mm-hmm (affirmative).

1 Former Firetrace Exec.: What could we, should we do, and at what level.

2 Jeffrey Brown: And is an ordinary conversation that you and/or Mark is having with
3 Teddy? Is that the sort of thing you're paying him to opine on?

4 Former Firetrace Exec.: I think that I would lean on Mark to let me know what he thinks we
5 should do and my guess, pure guess is that Mark would probably lean
6 on Teddy for a data point. Teddy didn't decide what Mark did or the
7 company would do, but Teddy probably would say, "Okay. Look at-
8 This is an important one, you need to perhaps have more visibility
9 with what you buy in," or he might say, "This is a nonevent, don't
10 even waste your time going," or something.

11 Jeffrey Brown: And why might he suggest this is an event that you have to buy into?

12 Former Firetrace Exec.: Oh, I think it's just to, for the visibility that Firetrace is there.

13 Jeffrey Brown: Okay.

14 Helen Eisner: Do you need me to get them to try ... I just need to make sure that
15 that's not distracting anyone.

16 Jeffrey Brown: That's not distracting me. Is that distracting you?

17 Helen Eisner: Are you okay with that? I know it's distracting. It's some background
18 music here.

19 Former Firetrace Exec.: It's fine by me.

20 Helen Eisner: Okay.

21 Jeffrey Brown: I was enjoying it actually. I'm going to hand you one more document.
22 This is FT_0463 through 66. Again, it's an email string and I'll let you
23 read it before we ask you some questions about it.

24 Former Firetrace Exec.: Thank you for the email. I see a name that rings a bell now.

25 Jeffrey Brown: Okay.

26 Former Firetrace Exec.: Larry Pike. I think Larry was the gentleman who was our kind of a
27 state level or local lobbyist.

28 Jeffrey Brown: Okay. He's the other lobbyist, aside from Teddy, that you were trying
29 to remember his name.

1 Former Firetrace Exec.: Yeah.

2 Jeffrey Brown: Okay. Let me direct you to the second page of this email string, it's
3 FT_0464. It looks like you are writing an email. I believe it's to Mark
4 Cavanaugh. You say, "Do you have any interest in this?" And you're
5 referring to a Schweikert golf tournament, and you say, "Has David
6 supported us? Let me know if you'd like me to make a
7 contribution/register for the tourney."

8 What do you mean when you say, "Has David supported us?"

9 Former Firetrace Exec.: Has ... If there was any way that David could've helped us. Has he
10 helped us.

11 Jeffrey Brown: Same question, was there anything that he helped with or supported
12 you with?

13 Former Firetrace Exec.: Nothing that I recall or am aware of. It's ... He's our local
14 congressman and if there was something that he could be helping us
15 with, is he doing it? Or doesn't he care whether we live or die?

16 Jeffrey Brown: Okay. Can you give me an example of something that he may have
17 been able to help Firetrace with?

18 Former Firetrace Exec.: I have no example, no idea.

19 Jeffrey Brown: You're talking about ways that he could support Firetrace. I guess I'm
20 just trying to get a sense for what ways does your local congressman
21 aid Firetrace's business?

22 Former Firetrace Exec.: We had systems that went to protect military vehicles and I'm not
23 saying it relates to this example. But in your figurative question, if the
24 defense budget was up for consideration and is he supporting the
25 defense budget or is he against the defense budget?

26 Jeffrey Brown: And you don't remember anything specific that he either did or did
27 not support Firetrace on?

28 Former Firetrace Exec.: No. I don't remember anything specific.

29 Jeffrey Brown: Let me draw your attention to the first page, so that's FT_0463. Mark
30 is responding to you. He says, "'Former Firetrace Executive", David
31 is a big supporter, however I told him we are not available for this
32 tourney and he's fine with that. We will be contributors later."

1 2		When David says ... or excuse me, when he says, "David is a big supporter," what do you take that to mean?
3 4 5	Former Firetrace Exec.:	I took it that he likes Firetrace, he's aware of who we are and our products, and if there was ever anything that he could do to help us, he would try to help us.
6	Jeffrey Brown:	Okay. And again, you don't have any recollection of any-
7 8	Former Firetrace Exec.:	No. I can't give you an example of any time where he ever did help us.
9 10 11	Jeffrey Brown:	At the end, Mark says, "We'll be contributors later." Do you remember ever… you or Mark making contributions to Representative Schweikert?
12 13	Former Firetrace Exec.:	I don't recall. I don't specifically recall. But again, as I've said before, it wouldn't surprise me if we made contributions.
14 15	Jeffrey Brown:	Let me ... I'll hand you this. Maybe this will jog your memory a little bit. This is just and FEC… It's a publicly available FEC document.
16	Helen Eisner:	And that's a Federal Election Commission.
17 18	Jeffrey Brown:	Thank you Helen. Just at the top here it says, "“Former Firetrace Executive”," I presume that may be a former address of yours?
19	Former Firetrace Exec.:	It is.
20 21	Jeffrey Brown:	Okay. And it looks like you made a $1,000 donation on 6/29/2011. Does that jog your memory at all?
22 23	Former Firetrace Exec.:	I'm assuming this to be correct, so then it would've been done. But do I remember doing it? No.
24 25	Jeffrey Brown:	You don't remember anyone approaching you or soliciting that contribution from you?
26	Former Firetrace Exec.:	No.
27 28	Jeffrey Brown:	You don't remember having any conversations with Representative Schweikert?
29	Former Firetrace Exec.:	Oh, definitely not.

1 Jeffrey Brown: Anybody on his campaign or his campaign staff?

2 Former Firetrace Exec.: No.

3 Jeffrey Brown: All right. I'm going to hand you one more document. This is another
4 email string, and it is FT_0159 through 162. Again, I will give you a
5 chance to look it over before I ask you any questions.

6 The email from Mark to you that starts on FT_0159 and ends on 160,
7 Mark's sending you an email. It looks like it's dated April 1st of 2013.
8 At the bottom there, Mark says, "Big money." Actually, he says
9 "Big," and then three dollar signs. Then he goes on to say, "and I was
10 not sure a connection for us. I have a Schweikert event the next night
11 and thought," again, three dollar signs there, "better there at this
12 point."

13 When he says "not sure if connection for us," what's he suggesting
14 there?

15 Former Firetrace Exec.: I have no idea. Reading further there was some heavy hitters that I
16 guess were coming into town. I don't know who John Cornyns ...

17 Jeffrey Brown: Right.

18 Former Firetrace Exec.: I don't know who that is. I know who Mitch McConnell is, but
19 apparently there was something going on and apparently it was big
20 dollars. Mark's recommendation was that, don't spend the money.

21 Jeffrey Brown: Okay. And then he says, "I have a Schweikert event the next night
22 and thought money better spent there at this point."

23 When he suggests "money better spent there at this point", and this
24 being April of 2013, why is he saying money's better spent there in
25 April of 2013?

26 Former Firetrace Exec.: I have no idea what his reference was to.

27 Jeffrey Brown: Okay. Do you remember any specific priorities or focuses that
28 Firetrace was looking at around that time?

29 Former Firetrace Exec.: No. I don't remember anything. I mean, defense budget was always a
30 concern of ours. I don't know if he means that. I just don't know.

Jeffrey Brown: Defense budget is always a concern of yours in the sense that, you're hoping monies are appropriated for fire suppression related systems or there's money put aside that could aid your business in some way?

Former Firetrace Exec.: We had… after the Ford Crown Victoria issue, we were asked by the Army to determine if we could modify that system to protect fuel tanks on military vehicles from IEDs.

Jeffrey Brown: Okay.

Former Firetrace Exec.: So we went about and invested time and money to develop that technology.

Jeffrey Brown: Mm-hmm (affirmative).

Former Firetrace Exec.: In talking with people within the Army, they indicated that this is fabulous. We would love to add this to this whole group of vehicles, we just don't have the money. It would be, maybe they would put it in their budget, and if the budget passed maybe they would then be able to get the benefit with the system.

If the budget was reduced by someone, Congress, whomever, maybe it wouldn't be there to protect those vehicles. So we would pay attention to things like that. But we didn't ... The Army technical people could make the recommendation, but we had no touch with anybody who was going to say, "Put the money here or put the money there."

Jeffrey Brown: Was there ever anything written into the budget such that it proved to be a benefit to Firetrace? In other words, was money appropriated in such a way that this was helpful to the business?

Former Firetrace Exec.: Nothing that would be ever ear marked. For example, it says, "Firetrace will..." When we were in one of the wars, the Army decided to replace the Humvee with an MATV.

Jeffrey Brown: Mm-hmm (affirmative).

Former Firetrace Exec.: It's a military vehicle.

Jeffrey Brown: Right.

Former Firetrace Exec.: And, when the government decided to fund thousands of those, okay, we protected those. So we benefited, but, there was never anything

that said, "Pass this bill and Firetrace, specifically Firetrace, gets anything."

Jeffrey Brown: Well, so, how about not specifically Firetrace, but we're going to fund X amount of vehicles and we want fire suppression systems in those vehicles? Does anything like that ever get passed?

Former Firetrace Exec.: I think we were so far down the food chain, it was more of a, if the defense bill gets passed, and then as they start breaking it down, and even then, it might be that the Department of Defense might say, we're going to fund this new vehicle called the MATV.

Jeffrey Brown: Mm-hmm (affirmative).

Former Firetrace Exec.: And, it still, we didn't make the MATV. Is General Dynamics going to get it? Is Oshkosh going to get it? Is whomever going to get it? And then, if those people got it, then, might they come to us? Well we had competitors as well. So I don't know of anything that was ever passed that you could say, "Wow. That passed and now Firetrace is going to get this."

Jeffrey Brown: How did it come to pass that Firetrace ended up protecting the MATVs? Sorry, that Firetrace systems were inserted into MATVs?

Former Firetrace Exec.: When the government ... This is my recollection, and let me say, I was not directly involved with this, but this is as it was explained to me, is the government put together specifications for a new vehicle.

Jeffrey Brown: Mm-hmm (affirmative).

Former Firetrace Exec.: They identified in that vehicle that a vulnerability that needed to be protected was the fuel tank, the engine, and the tires, the wheel wells themselves.

Jeffrey Brown: Okay.

Former Firetrace Exec.: So the Army or somebody within the government would've identified that the vehicle needs to have these characteristics and one of those characteristics is something to protect the fuel tank, something to protect this, something to protect this.

Now when the vendors bid on the contract, they have to prove that they're in compliance with those specifications and in the case of the MATV, Oshkosh came to us and said, "Can you provide this?" And we told them yes we could. We designed it specifically to fit on their

1		design. We quoted them. If the Department of Defense funded it and
2		if they decided to work with Oshkosh, then there was a very good
3		chance that we would get the business.
4	Jeffrey Brown:	So Oshkosh is the prime submitting a bid, you guys are the
5		subcontractor that they have agreed to work with.
6	Former Firetrace Exec.:	We were a subcontractor to-
7	Jeffrey Brown:	Okay. And ultimately that does occur? Oshkosh gets the bid and-
8	Former Firetrace Exec.:	Oshkosh got the bid on the MATV and we ultimately supplied… I
9		think there was about 10,000 vehicles that they ultimately purchased
10		and ... maybe not that many. But it was a sizable number.
11	Jeffrey Brown:	And so, as a subcontractor, you've got a contract with Oshkosh to
12		provide X amount of Firetrace units or however this works.
13	Former Firetrace Exec.:	Yeah, Oshkosh got the contract, they gave us a contract to provide the
14		fire suppression systems per the government's specification. And, we
15		got a contract.
16	Jeffrey Brown:	Do you remember what year this is? Roughly.
17	Former Firetrace Exec.:	Purely guessing.
18	Jeffrey Brown:	Mm-hmm (affirmative).
19	Former Firetrace Exec.:	'12.
20	Jeffrey Brown:	2012?
21	Former Firetrace Exec.:	'12, '13. Something like that.
22	Jeffrey Brown:	Let me draw your attention back to this email, it's FT_0159. This is
23		an email from you to Mark Cavanaugh. It's Monday April 1st, 2013.
24		This is still a string related to this, as you said, this event with some
25		heavy hitters. At the beginning there you say, "Unless there is
26		something in here that will directly benefit Firetrace, I'm hesitant to
27		reach into my personal pocketbook to fund/attend such an event."
28		What would've directly benefited Firetrace?
29	Former Firetrace Exec.:	The exposure. If somebody there sees that Firetrace is there, would it
30		be nice to meet them? Would it be whatever. It would take, I think

what I was expressing there, is that unless there's some unbelievable reason to be here, I'm not reaching into my pocket for this event. And at that time it was a year where we were not going to go ... It appeared we are not getting bonuses, and if we're not getting bonuses, I'm not going to have the money personally, and I'm not going to put my money in this unless there was some reason, whatever it may be.

Jeffrey Brown: So when you say at the end, "Unless I have good reason to believe such a donation will directly and immediately help Firetrace," you're not donating unless there's an immediate assistance of some kind?

Former Firetrace Exec.: Yeah. What I'm saying is that, I am not reaching into my pocket as a matter of principle and going to spend more money unless somehow somebody could show me that there's some kind of a benefit here and there's no ... It would be landmark. It would be a miracle or something like that, if somebody could come in and say, "Boy, I think Mitch McConnell," I'm just picking on his name, only because it's the only one I remember.

Jeffrey Brown: Right.

Former Firetrace Exec.: That if Mitch McConnell, if you supported Mitch McConnell and he saw that Firetrace was a supporter, he's going to like Firetrace. What does that mean? Mitch McConnell can't do a thing for me. So, unless you can prove that somebody has got some method which they can help us, which I'm saying that because there isn't some method they can help specifically help us, I'm not spending my money.

Jeffrey Brown: So when you say, "I'm not going to contribute unless there's a direct and immediate help to Firetrace," can you provide an example of such a method?

Former Firetrace Exec.: No, I think what I'm saying is unless you can show me this is going to happen, I'm not doing it. And I know they can't show me anything that's going to meet my criteria, so I'm not going to spend the money.

Jeffrey Brown: Had anyone ever provided you or Firetrace with some sort of direct and immediate benefits that would've helped the company?

Former Firetrace Exec.: No. No. I think by the time we get out here, this is in '13 it looks like, at least my personal desire to spend my own money to support politicians who can't do anything for me, never have done anything for me, I'm through with it. I think that was my level of frustration at that point.

1		No one's ever helped us. So why am I going to continue to go to
2		rubber chicken dinners, much less an expensive one just because I
3		think he was head of the Senate. I think.
4	Jeffrey Brown:	Mm-hmm (affirmative).
5	Former Firetrace Exec.:	At that time. I'm fed up with it.
6	Jeffrey Brown:	Did you have something you were going to ask?
7	Helen Eisner:	No, go ahead.
8	Jeffrey Brown:	Is there anything that you thought we might talk about today that we
9		haven't touched on yet?
10	Former Firetrace Exec.:	I didn't know what-
11	Jeffrey Brown:	When I first called you.
12	Former Firetrace Exec.:	When you first called me I had ... You made it somewhat clear that
13		you couldn't tell me anything, so I had nothing to speculate about.
14	Jeffrey Brown:	Mm-hmm (affirmative).
15	Former Firetrace Exec.:	I continue to believe I know as much as the average person about
16		politics having lived through all of this stuff.
17	Jeffrey Brown:	Mm-hmm (affirmative).
18	Former Firetrace Exec.:	Had no idea where this was headed or what it was about, and told you
19		I know very little, had very little involvement. And, I think, you didn't
20		lie to me and I didn't lie to you.
21	Jeffrey Brown:	Okay. Let me ask you in a different way then. In light of the emails
22		that we've shown you and the questions that we've asked today, is
23		there anything else that you'd like to discuss, or that you feel like we
24		should've touched on?
25	And the answer can be no, that's totally fine.	
26	Former Firetrace Exec.:	I can't think of anything that comes to mind, but I think, what this
27		refreshes is that as a small business, we wanted to be savvy to what
28		was happening, and we reached out to professionals whose advice we
29		probably at least wanted to hear.

1 Jeffrey Brown: Mm-hmm (affirmative).

2 Former Firetrace Exec.: And, Firetrace, myself, Mr. Cavanaugh, we attended rubber chicken
3 dinners or a cocktail party. Did we ever get anything for it? No. If
4 there's a method in the federal system to get refunds for attending
5 rubber chicken dinners or something, I wouldn't even apply for it
6 because it was worthy of ... to be at an event like that and just kind of
7 chat with people.

8 I went to an event a year or two ago and had a chance to sit down
9 with Condoleezza Rice and spend a half hour one on one with
10 Condoleezza Rice. That was remarkable. What an amazing, amazing
11 woman. And it's probably been long enough that I remember telling
12 her that, "If you would run for president you'd have my vote because
13 you've got a level of common sense absent in Washington."

14 I have enjoyed over the years attending the occasional event just to be
15 able to understand what makes people's minds tick in Washington.

16 But as I expressed in this last note, I never got anything from them. I
17 didn't expect to get anything from them. And unless somebody can
18 sure as hell prove to me why I should spend more money, unless
19 you're a really interesting individual, I have no desire to participate in
20 that.

21 Jeffrey Brown: Let me just ask you a couple quick questions, then we're going to
22 wrap up. You said on a couple occasions, and you were saying that in
23 reference to this last email we looked at FT_0159, you're not willing
24 to reach into your personal ... your wallet. Did Firetrace as a
25 company, did they ever make any contributions?

26 Former Firetrace Exec.: No, it was my understanding that the companies can't do that.

27 Helen Eisner: Or have any type of political action committee themselves where they
28 would have been able to-

29 Former Firetrace Exec.: No, Firetrace never did any of that. Anytime, if Dan Quayle said,
30 "Hey, you going to be at Ben's event?" Or something like that, I
31 reached in my own pocket. When it was ... Whether it was
32 Condoleezza Rice or I remember attending an event when Steve ...
33 Oh, come on "Former Firetrace Executive"...

34 Steve Forbes, I think it was, was presenting a flat tax. And I don't
35 know if he was running for anything. I don't even know other than I
36 was curious to hear why his logic behind the flat tax and wanted to

1 make my own judgment whether it's valid or not. I mean, I would
2 spend whatever it would've been, $1,000 to go to something like that.

3 But there was never an expectation of, "Gee, if I go to listen to Steve
4 Forbes, my taxes are going to be cut," or anything like that. Even if a
5 congressman told me, even if Steve Forbes said, "If you attend my
6 event, I'll cut your taxes," I know he can't do it.

7 So if it was a personal favor for someone, "Hey Dan, yeah it's your
8 son. I'll do something to help you." Or if it was, "Wow. I would like
9 to meet Condoleezza Rice and just have a chance to chat with her."
10 Or Steve Forbes or something. Those were my motivations for
11 reaching into my own personal pocket and writing a check.

12 Jeffrey Brown: Okay. You've mentioned a couple times that Mark Cavanaugh was
13 sort of the point person on a lot of the legislative priorities here. Do
14 you and Mark still keep in touch?

15 Former Firetrace Exec.: Very, very rare. When we sold the company in '15, I left. Mark stayed
16 on and ran the company.

17 Jeffrey Brown: And you sold the company to who in 2015? Halma?

18 Former Firetrace Exec.: Halma PLC. A publicly traded British company.

19 Jeffrey Brown: Okay. And, you had earlier made it seem you left in 2013, but did you
20 stay on, in sort of an advisory role?

21 Former Firetrace Exec.: Well, I was originally chair ... continued to serve as Chairman of the
22 Board, and then I became Vice Chairman of the Board. Then I
23 ultimately left the board when the company was starting to be sold. If
24 you've never been part of a sale of a company, it is a stressful
25 environment.

26 Jeffrey Brown: Mm-hmm (affirmative).

27 Former Firetrace Exec.: And at the advice of my doctor and more specifically my wife, it was
28 time for me to retire from those types of activities. When the
29 company was sold, I let the new owners know that I had a little to do
30 with the formation of this company 14 or 15 years early. If I can help
31 you in any way, I'm happy to do it. You want me to sit on the Board
32 of Directors, I'm happy to do it. And they respectfully declined for
33 any participation by me any further.

34 Jeffrey Brown: Okay.

1 2	Former Firetrace Exec.:	So I don't worry about that anymore. I play golf. I spend time with my children, grown children, and more specifically my grandchildren.
3	Jeffrey Brown:	Okay.
4 5	Former Firetrace Exec.:	I make miscellaneous investments and pretend I'm a big time hitter with my Big Elk Funding, which is nothing. And, today I come and-
6	Jeffrey Brown:	Sit with the OCE.
7	Former Firetrace Exec.:	Do my civic duty to come and talk to the two of you.
8	Jeffrey Brown:	Which we-
9	Helen Eisner:	Which appreciate.
10 11	Jeffrey Brown:	We appreciate that. Absolutely. Just back to my original question though. You and Mark, do you keep up at all these days?
12 13 14	Former Firetrace Exec.:	Like I said, very little. We ... Our seats at the Phoenix Suns basketball games were about 20 or 30 people apart, so I would see Mark at a basketball game.
15	Jeffrey Brown:	Mm-hmm (affirmative). Well, let me ask you-
16 17	Former Firetrace Exec.:	Maybe since selling the company I do a great deal for charities in the valley and I will see Mark occasionally at a charity event.
18	Jeffrey Brown:	Okay.
19 20	Former Firetrace Exec.:	But, we don't get together every Friday for cocktails and my grandkids don't run in the same circle as his kids.
21	Jeffrey Brown:	Okay.
22 23	Former Firetrace Exec.:	I think one of the last times I even heard from him was to donate money to his son's football team.
24	Jeffrey Brown:	Okay.
25	Helen Eisner:	Mm-hmm (affirmative).
26 27 28	Jeffrey Brown:	Well, you had mentioned to me earlier that, sort of, Mark was the point person on a lot of this stuff, and we've tried to get in touch with him and I'm just curious if I can confirm with you what I understand

his contact information to be. Is he still at [redacted]?

Former Firetrace Exec.: I think so, but I don't know for sure. Even in all the years we were in business together, I've been to his house once.

Jeffrey Brown: Okay. How about email then? Is it [redacted]@[redacted]?

Former Firetrace Exec.: I thought it was [redacted]@[redacted], is he using something other than Firetrace?

Jeffrey Brown: I was told it was [redacted]@[redacted]. You haven't communicated with him on that email address?

Former Firetrace Exec.: I don't think so. I think I was using ... I think I was using his Firetrace. I'd have to go back and look at emails to see.

Jeffrey Brown: Okay. And do have a phone number for him?

Former Firetrace Exec.: It was very similar to mine. It was [redacted], and I don't remember the last four.

Jeffrey Brown: Do you have it?

Former Firetrace Exec.: [redacted].

Jeffrey Brown: [redacted].

Former Firetrace Exec.: And mine is [redacted]. That's quite frankly the only reason I could remember the first, what is that, six digits, is because it's identical to mine and I remember that from the good old days.

Jeffrey Brown: Yeah, we don't have to remember these anymore.

Former Firetrace Exec.: I show, and I don't know if it's accurate, did you say it was [redacted]?

Jeffrey Brown: [redacted]. Yep. Scottsdale, Arizona.

Former Firetrace Exec.: That's what I have in here, but I have no idea whether that's current or not.

Jeffrey Brown: Okay. Final question I have for you is just, aside from myself and your wife, have you chatted with anybody else about our interview today?

1 Former Firetrace Exec.: I keep an office at a company called ... Oh no I didn't even talk to
2 them about this. When you called the first time I asked ... I called
3 Mark and asked Mark what he knew about it.

4 Jeffrey Brown: Okay.

5 Former Firetrace Exec.: You know, what's going on? And Mark indicated that he had ... I
6 guess you had tried to reach him or you spoke to him or something
7 like that, and Mark didn't know any more than I knew. And, hence,
8 this has evolved since then.

9 Jeffrey Brown: Okay.

10 Former Firetrace Exec.: But, my wife's aware of it.

11 Jeffrey Brown: What did you and Mark talk about when you called?

12 Former Firetrace Exec.: Well, that was right after you reached out to me and it was, "Mark, do
13 you have any clue of what's going on?"

14 Jeffrey Brown: Mm-hmm (affirmative). And did he-

15 Former Firetrace Exec.: And Mark had no clue of what was going on.

16 Jeffrey Brown: He had ... Did he indicate that he had received some correspondence
17 from us?

18 Former Firetrace Exec.: I think he said he received a ... No, I think he said he got an email
19 from you, and then did he talk to you on the phone?

20 Jeffrey Brown: We sent a letter and an email, and we haven't heard back from him.

21 Former Firetrace Exec.: Well, I think, let me think of how this went. I think I got an email
22 from you first.

23 Jeffrey Brown: Mm-hmm (affirmative). And you got a FedEx the next day.

24 Former Firetrace Exec.: Okay, if it was the next day, I lose track of time.

25 Part of my residual damage from my stroke is damage to the thalamus
26 in my brain, and I can still do some complex things, but, if you want
27 to wager $100 on what day of the week it is, without checking my
28 phone, I probably can't tell you.

29 Jeffrey Brown: Okay.

Former Firetrace Exec.: So I apologize, I don't remember the sequence, but I remember getting something from ... I remember getting an email from somebody and thinking, "If you click on this phone number, you're going to be malwared, phished, whatever," and I thought frankly, "Why would these people even be reaching out to me? This is some kind of scam. Look to see if they spelled something wrong or whatever."

I think I told you that on the telephone.

Jeffrey Brown: You did.

Former Firetrace Exec.: It wasn't until I think, I don't know if it was the same day we got the letter, or you called me or whatever-

Jeffrey Brown: I believe you got a FedEx the next day and then you called me right after you got the FedEx.

Former Firetrace Exec.: Okay. I think the FedEx to me indicated that maybe this is real. That's why I called you.

Jeffrey Brown: That's what you indicated to me on the phone. Had you talked to Mark before that or after that?

Former Firetrace Exec.: I think it was after that. I don't ... I apologize, I don't remember for sure.

Jeffrey Brown: Mm-hmm (affirmative).

Former Firetrace Exec.: Like I say, it was right around there and it was like, "Mark have you got any clue of what's going on?" And Mark said, "They're trying to reach me too. I have no idea," and I think… I got the impression that maybe his attitude was, "I've got a million things going in my life right now."

Jeffrey Brown: Mm-hmm (affirmative).

Former Firetrace Exec.: And I think, no disrespect, but he put you guys at the bottom of a million things going on. He's, I believe, to be a very busy guy.

Jeffrey Brown: Okay.

Former Firetrace Exec.: As a minimum, I know that his daughter is an excellent volleyball player and she travels all over the United States playing volleyball.

Jeffrey Brown: Okay.

Former Firetrace Exec.: Specifically sand volleyball in the summer. And, I mean, I'll be honest with something else too. Not that I was being being dishonest. We talked about his address. I don't know if he's there in the summer. I think he goes and lives someplace in California.

Jeffrey Brown: Okay.

Helen Eisner: When you talked on the phone, did you talk at all about Representative Schweikert?

Former Firetrace Exec.: No.

Helen Eisner: Okay.

Former Firetrace Exec.: I had no idea whatsoever what this was about, and because Mark is more in tune with Washington in general, I thought he'd fill me in. Jeff couldn't fill me in, so I thought Mark knows everything about that stuff and he'll fill me in and he knew nothing either.

And, away we go.

Jeffrey Brown: Did you talk about any other members of congress or anything-

Former Firetrace Exec.: We didn't talk about Schweikert, or Flake, or, I'm trying to think of other names. McCain. We didn't talk about anybody. It was, you didn't tell me who it was in regards to, and so my question to Mark is, did you get this? Do you have any clue what this is all about?

He had no clue. I drew the impression from him that this was the lowest thing on his list of many priorities. And I indicated to him that, I'm retired, I'm a nobody anymore. And, if I have the time and it can work out, exactly as I shared to you, if I have the time, I have absolutely nothing to hide. There's nothing that we ever did that was illegal, inappropriate, or anything else. I would go do it.

Jeffrey Brown: Has anybody reached out to you about this interview?

Former Firetrace Exec.: No.

Jeffrey Brown: Nobody from Congressman Schweikert's office or…no lawyers associated with Firetrace?

Former Firetrace Exec.: No sir.

1	Jeffrey Brown:	Okay.
2	Helen Eisner:	I think that's all we have.
3 4	Jeffrey Brown:	Thank you. We really appreciate you taking the time to sit with us today, and with that, I think I'll end the recording.
5	Helen Eisner:	Great.
6	Former Firetrace Exec.:	Thank you.

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

ERRATA SHEET

Page	Line	Correction	Reason
3	23	LAST WORD SHOULD BE "IN"	
35	15	SHOULD NOTE THAT JEFF	SUGGESTED I
		LOOK AT MY CONTACTS	IN MY PHONE
		TO LOCATE MARK'S	INFORMATION.
		I DID THIS AT JEFF'S	SUGGESTION.
		HAD HE NOT SUGGESTED	TO DO SO,
		I WOULD NOT HAVE	HAD OR
		KNOWN MARK'S	CONTACT INFO.

This errata sheet is submitted subject to 18 U.S.C. § 1001 (commonly known as the False Statements Act).

Witness Name: [redacted]

Witness Signature: [redacted]

Date: 7/8/18

EXHIBIT 9

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

Transcript of Interview of
"Former Financial Administrator"

January 23, 2018

1 Jeff Brown: Good morning, this is Jeff Brown with the Office of Congressional Ethics.
2 With me is Paul Solis. Before us is "Former Financial Administrator".
3 Today is January the 23rd. It's a little after 8:30 a.m. We are in the Cannon
4 House Office building. "Former Financial Administrator's" been given the
5 copy of the False Statements Act. With that, we'll get started.

6 You were previously employed with Representative Schweikert. In what
7 role were you employed with him?

8 Former Fin. Admin.: I was the financial administrator.

9 Jeff Brown: Okay. What years were you the financial administrator?

10 Former Fin. Admin.: From mid-2014 through the end of January 2017.

11 Jeff Brown: You work for others on the Hill?

12 Former Fin. Admin.: Yes.

13 Jeff Brown: Who else do you currently work for?

14 Former Fin. Admin.: Currently, I work for Congresswoman Ann Wagner, Congressman Gus
15 Bilirakis, Congressman Evan Jenkins, Congressman Lloyd Smucker,
16 Congressman Clay Higgins, Congressman Mo Brooks, Congresswoman
17 Claudia Tenney and Congressman Ryan Costello.

18 Jeff Brown: And your role and your title with those individuals is what?

19 Former Fin. Admin.: Financial administrator, financial director for all of them except
20 Congressman Costello. For Congressman Costello I handle his academy
21 liaisons. And I also do that for Congressman Smucker along with the
22 financial side.

23 Jeff Brown: What's an academy liaison?

24 Former Fin. Admin.: Basically I handle all the service academy nominations for two
25 congressional districts.

26 Jeff Brown: Okay. Are there other individuals that you used to work for that you no
27 longer work for?

28 Former Fin. Admin.: Congressman Pitts, who retired. Congressman Schweikert.
29 Congresswoman Cathy McMorris Rodgers.

30 Jeff Brown: Okay. You said it was approximately January 2017 that you stopped
31 working for Representative Schweikert?

32 Former Fin. Admin.: Yes.

33 Jeff Brown: Okay. What were the circumstances under which you left that office?

Former Fin. Admin.: I had a conversation on the 30th of December of 2016 over the phone. When Oliver Schwab said that I had scanned things incorrectly and behaved unprofessionally for 24 hours. So my time in the office would be ending January 31st, February 1st.

Jeff Brown: Okay. Do you know what he was referring to when he talked about an unprofessional 24 hours?

Former Fin. Admin.: I was a little blindsided. The scanner that I normally use was not working for about a week. So I took photos and sent the photos as scans. I'm not exactly sure. He was in meetings off the Hill and out of D.C. at the time. And said that because he received these texts, it interrupted him and was unprofessional.

Jeff Brown: What were the documents that you were texting?

Former Fin. Admin.: The documents, I don't think there were any vouchers. There were some paid interns that wanted to be on January 2nd. I had made the mistake, I thought that we could turn things in that Friday the 30th and still do the two day E-Verify onboarding. But, because of the holiday they wouldn't be able to get on until the 3rd. So, I had found this out a couple days prior and I tried to scan things with correct dates.

Jeff Brown: And you said that you and Mr. Schwab had a conversation which he expressed displeasure. Do you remember anything else about exactly what was discussed in that conversation?

Former Fin. Admin.: Yes, I do.

Jeff Brown: Can you elaborate?

Former Fin. Admin.: He mentioned that there were ... I actually wrote a replying memo to him. I don't know if you have that information?

Jeff Brown: We'd certainly take it, if you have a copy.

Paul Solis: Yeah, if you have a document.

Former Fin. Admin.: So we discussed the payroll authorization forms. I said I took responsibility for not submitting the payroll authorization forms to those interns last week. And explained the situation, but said I take responsibility. He brought up amounts of water, modular furniture and technology that the office had purchased. And said that there was $40,000 combined in these items in the cage. To my knowledge, I've only been in the cage maybe once.

Paul Solis: What's the cage?

Former Fin. Admin.: The cage is essentially a storage unit. Sort of like, across from here there's storage units. And I pulled up my files. Water, that's spent approximately $1200 to $1450 a year. The modular furniture, here about $7,000 and I did not know that any of that was in the cage. Part of my job, I don't have an office per se. I do hang out in one office where I do most of the hard copy work. But then I visit each office. Then I, with the technology ... I said to you before I came, there was about $13,000. About $10,000 in 2015. But that actually wasn't spent 'til 2016. So, I don't have any of this technology. And that's technology that has to be signed off by the member or representative.

Jeff Brown: What conversation prompted you to respond about water, modular furniture and technology?

Former Fin. Admin.: Because he brought it up and said we had this waste. I went to the cage and there's $40,000 worth of items in there.

Paul Solis: Is this all in the same telephone conversation?

Former Fin. Admin.: This is all in the same telephone conversation. That's 7:26 p.m.

Paul Solis: On December 30th.

Former Fin. Admin.: He also said that Representative Schweikert did have some budget concerns. And that Representative Schweikert, it's a house budget. I would say it was okay, here's some information. And he being Oliver, expressed to me that every time I left the office he would have to have an hour or two conversation with the Congressman to calm him down. Which I was not privy to prior to this conversation.

Paul Solis: You had budget responsibilities in the office then? Can you describe them?

Former Fin. Admin.: I had budgeting responsibilities to advise the member / Chief of Staff to say, here's what's happening with your budget, here's where you're headed to go over, here's where you want to ... Here's what it is month to month. Obviously, that budget would change throughout the year. Predicated on the needs of the office and how the office use things. My job was to make sure that they didn't go over budget. So that the Congressman did not have to write a personal check.

Paul Solis: Did you have authority to make purchases or expenditures?

Former Fin. Admin.: The only thing would be probably once or twice I did get some office supplies that I used for my job. Which would be for the office. A lot of shared staffers for example, my phone is paid for by one office, my laptop is paid for by another office. So there's the shared cost. During that I think,

I'd say probably no more than 6 vouchers, but I don't even know if they were that many vouchers that I put office supplies on.

Jeff Brown: To your knowledge, was Representative Schweikert aware of – Let me rephrase that. What was Representative Schweikert's involvement in your termination?

Former Fin. Admin.: I do not know.

Jeff Brown: Have you had any conversations with Representative Schweikert since December 30th, 2016?

Former Fin. Admin.: I've seen him in the hall once or twice and we've exchanged pleasantries, but no real conversations.

Jeff Brown: I want to follow up on something Paul asked just a second ago. You were explaining some of your budgetary responsibilities, but your role as financial administrator, can you just walk us through exactly what that meant in Representative Schweikert's office?

Former Fin. Admin.: Sure. So in that office, my job was to do the vouchers, do the payroll authorization forms and have them signed off by authorized folks. If ... I also offered the services of helping with parking; would be working with the parking office, ID services, and different things like that. And I did, if there were ethics questions, I talked with people in ethics. Here is some information because there were questions about some whiteboard paint in the office. I did read an article about a month and a half ago.

Jeff Brown: Okay.

Former Fin. Admin.: And I knew there were conversations about Arizona travel during the Super Bowl, and there were some things in the article to which I was not privy that I was surprised to learn in the article, as well as training.

Jeff Brown: Before we get to the article, I would like to ask you just a couple more, sort of more background questions about the office, and then I would like to add some more detailed questions about that. How much time did you physically spend in Representative Schweikert's office?

Former Fin. Admin.: My normal schedule is to come in on Tuesdays and Thursdays. When I do my rounds, I'll go to each office and it could be five minutes, it could be 15 ... I would say at the outside, 30. If someone's not there to sign, I would come back. But let's say 30 minutes or so a week.

Jeff Brown: And I should have asked this follow-up question earlier, but what sort of ... Percentage-wise, it sounds like vouchers and budgetary issues were

1 sort of the primary responsibilities in Representative Schweikert's office.
2 Percentage-wise, were those the largest issues you worked on?

3 Former Fin. Admin.: Those were the largest issues. Yes.

4 Jeff Brown: And approximately how much time were you spending on those sorts of
5 issues?

6 Former Fin. Admin.: For that particular office?

7 Jeff Brown: Mm-hmm (affirmative).

8 Former Fin. Admin.: Probably 80, 85 percent.

9 Paul Solis: And just going back to the budget, you had a sort of consulting
10 responsibility, and so you would apprise Representative Schweikert and
11 Mr. Schwab about the status of things, right?

12 Former Fin. Admin.: Right. I would say for the Congressman, he would say, "Hey, how are we
13 doing? Are we spending too much? Is Oliver spending too much on office
14 supplies?" Or, it would be more conversational than ... I don't know if I
15 ever really sat down with the Congressman to go over the budget. There
16 was one time that he asked that I provide a sort of table of how does his
17 office stack up with my other offices on certain things, which I did,
18 naming the other offices as A, B, C, D, et cetera, and just sort of saying
19 here's where you are in reference to personnel, in reference to travel.

20 Jeff Brown: When was the conversation, roughly?

21 Former Fin. Admin.: I think this has the date on it. Okay, I would say beginning of 2016
22 because I have 2015 totals and projected totals for 2016. So January,
23 February 2016; I can check my work document.

24 Jeff Brown: No, that's fine. You've mentioned Representative Schweikert and Mr.
25 Schwab as individuals that you've interacted with regarding your
26 responsibilities. Was there anybody else in Representative Schweikert's
27 office that you worked closely with?

28 Former Fin. Admin.: Beau Brunson, who was the Deputy Chief of Staff.

29 Jeff Brown: And can you talk a little bit about what Beau's role was, or what sort of
30 working relationship you had with Beau?

31 Former Fin. Admin.: I had a good relationship with him until he was terminated in the fall of
32 2016, or end of two thousand ... Oliver changed plans on when he would
33 terminate folks, so I know he had conversations with me about changing
34 Beau's job description, changing his salary in the fall. And I think one plan
35 was to have him work through 2017 partially for the office and take the

	salary down. And then it was, "No, six months." And then it was ... it changed.
Jeff Brown:	What did you know about Beau's departure from the office or termination from the office?
Former Fin. Admin.:	I know that Oliver practiced what he wanted to say to Beau on me. He came into the Pitts' office and said ... We had a conversation in person, in the Pitts' office and we had a ... I know we had at least one conversation over the phone because I travel for my other, the academy side of the job, so I know we had a phone conversation. I remember sitting in a parking lot, listening to "Here's what I want to say to Beau." Until my termination, I thought I had a good relationship with the office and all the staff in the office. I don't think I've answered your question.
Jeff Brown:	That's okay.
Former Fin. Admin.:	Because… Oliver, on several occasions, asked me to fill out termination paperwork for himself for in a month, or six months, or a year, and then, or ... "No, do it now," and then, "No, I'm not going to do it." And he did the same thing with Beau starting that fall. I think it was three different termination dates that he had me prepare within two or three months. Oliver spoke with me and sort of went over 'here's what I want to say'. And I know he had one meeting with Beau, and then I believe a ... I don't know if he had a second meeting, but then from what I understand, he basically told Beau to not show up to the office for several weeks.
Jeff Brown:	Do you know what prompted that?
Former Fin. Admin.:	I can tell you what Oliver told me, but I'm having concerns because looking back, he had these conversations with me about Beau and then he used similar language about my performance. So I don't know if what Oliver said was correct. Do you understand what I'm saying? Oliver told me that Beau was unprofessional, that he sat at this desk and didn't do anything and that after he left, that there was a breath of fresh air in the office and people were doing much better. Because I was not in the office all day, every day, but only 15 minutes, half an hour, couple times a week, I don't know if that's true, and I know that during my time in the office there were a couple occasions where I prepared termination paperwork for several months from the date that I was asked to prepare it. So, I don't know if this was like the M.O of ... What Oliver said to me was that for several times before, I guess he had a falling out with Beau. "Beau or Estina, myself, Oliver, we're the ones that are going to stay, and who leaves? Beau's like a brother to me." So I was a little surprised when he then started saying that Beau was unprofessional, and wasn't doing his job.

1 Jeff Brown: That isn't something you heard before that point in time, the fall of 2016?

2 Former Fin. Admin.: That's correct.

3 Jeff Brown: We've sort of touched on this, but I want to make sure that I get answers to
4 these questions. In Representative Schweikert's office, did you have
5 involvement with travel reimbursements?

6 Former Fin. Admin.: Yes, that's vouchers.

7 Jeff Brown: Okay.

8 Former Fin. Admin.: And doing the paperwork, not approving.

9 Jeff Brown: Okay. Were there any office policies and procedures that you were aware
10 of with respect to travel?

11 Former Fin. Admin.: I'm not sure. Can you expand on that question?

12 Jeff Brown: Sure, were you aware of any either formal or informal guidelines that
13 staffers needed to abide by when they were planning travel or seeking
14 reimbursement for travel?

15 Former Fin. Admin.: I know normally they seem to prefer people who use rental cars versus
16 mileage, there wasn't that much mileage in the office. I don't think there
17 was anything written, to my knowledge.

18 Paul Solis: Limits on spending, per diem rates, anything like that?

19 Former Fin. Admin.: What I say to all my offices is each office is its own little fiefdom. You
20 really should have an employee manual. Not all of the offices have that.
21 From my understanding, the office did not have an employee manual. I
22 know I had several conversations to say this would be a good thing to do.
23 I'm trying to think if during the end, like LAs had to have travel approved
24 by Beau or Oliver, but that approval was never given to me as part of the
25 packet of here's the travel reimbursement that we want you to do the
26 voucher for.

27 Paul Solis: Do you know if anybody approved of Oliver's travel?

28 Former Fin. Admin.: I know we had several conversations of how much travel are you doing so
29 that I can help budget how much you're going to be spending for planes,
30 do you know how many times are you going to go out to Arizona? How
31 much overall do you think you plan to spend on each trip and things like
32 that, but I, as Chief of Staff, I think either he or David would have final
33 say, but I don't know if he went to the congressman or not to seek
34 approval.

1 Jeff Brown: Did Representative Schweikert have any involvements in travel approvals
2 generally that you're aware of?

3 Former Fin. Admin.: I don't believe so.

4 Jeff Brown: And what makes you say that?

5 Former Fin. Admin.: He was, as I said, each office is its own little fiefdom. I have some
6 members where I sit down with them quarterly, personally, and go over
7 the budget with them and their Chief of Staff, and here's where you're
8 spending. I never had any of those conversations really one-on-one, let me
9 sit down and talk with you. It was all just sort of how are we doing and
10 there would be other staffers in the office as well. So there really wasn't
11 private one-on-one conversations. I honestly don't know.

12 Jeff Brown: In Representative Schweikert's office, did you ever deal with mixed
13 purpose trips?

14 Former Fin. Admin.: A couple times with the travel card. Oliver said hey, I accidentally put this
15 on the travel card, I should have put it on personal. I need to write a check
16 to Citi Bank. That happened on a couple of different occasions.

17 Paul Solis: So although that could also potentially … by mixed purpose what we…

18 Former Fin. Admin.: Right, you mean political versus.

19 Paul Solis: Right, right.

20 Former Fin. Admin.: I did not know, until I read that article, that Oliver had his own consulting
21 shop. That was news to me when I read the article. I knew, like many
22 Chiefs of Staff, he did both official and political things for the member, as
23 many do, but I was, when I read that in the article, I did not know about
24 that. So, things were shown to, things were presented to me as I'm going
25 out for official business for the congressman, for the office.

26 Jeff Brown: Did you ever discuss, relative to Mr. Schwab's travel, that he may be
27 doing campaign work out in the district as well?

28 Former Fin. Admin.: I don't think so, but if I did, I probably said, as I say to my other Chiefs, if
29 you aren't sure if it's political or official, charge it to the campaign.

30 Jeff Brown: Did staffers in Representative Schweikert's office, ordinarily rent cars
31 when they went out to the district?

32 Former Fin. Admin.: Yes, yeah.

33 Jeff Brown: Did you have involvement in Representative Schweikert's office with
34 office supply reimbursements?

1 Former Fin. Admin.: Yes.

2 Jeff Brown: Okay, and just generally, what was your role?

3 Former Fin. Admin.: Generally, I would get the information from the staffer, I would check to
4 make sure that it was office supplies versus food and beverage or
5 something personal, and there were a couple times when I was like, okay,
6 this is something you can get from downstairs or this is something you can
7 get from like a shelf or cabinets or whatever you get from office
8 furnishings. I would suggest getting it there cause you can get things for
9 free.

10 Jeff Brown: Do you know if Representative Schweikert's office had any policies and
11 procedures regarding the purchase of office supplies? Either formal or
12 informal.

13 Former Fin. Admin.: I mean I know when I was asked, I would say, if it's under $500 you don't
14 have to get the TSR approval on things, but it was more here's what's been
15 bought. Have it be reimbursed.

16 Paul Solis: What was TSR?

17 Former Fin. Admin.: TSR. Technology Services Representative. If you buy a TV, a 60-inch TV
18 4G that's $1,000 or you're getting laptop computers or things like that.
19 They have to sign off on certain items before they can be purchased. A lot
20 of times you can do a purchase order through CDWG or something like
21 that versus going out to Best Buy.

22 Jeff Brown: Was there an individual in Representative Schweikert's office that would
23 have been primarily responsible for purchasing office supplies for the
24 office?

25 Former Fin. Admin.: I would say that Oliver took it upon himself to make a lot of non-office
26 supply store purchases.

27 Jeff Brown: Did Representative Schweikert have any involvement in office supply
28 purchases?

29 Former Fin. Admin.: I don't think so.

30 Paul Solis: When you say non-office supply purchases, are you referring to the House
31 office supply store?

32 Former Fin. Admin.: The office supply store downstairs. Yes. In Longworth.

33 Jeff Brown: Did Representative Schweikert have any involvement in office supply
34 spending?

Former Fin. Admin.: He would joke that Oliver was spending a lot, but I don't think he ever said . . . The only thing, maybe the whiteboard paint. I don't know whose idea that was to have the whiteboard paint. That I'm not sure of.

Jeff Brown: You just mentioned that Representative Schweikert would joke about the amount of money that Oliver was spending on office supplies. Can you elaborate on that?

Former Fin. Admin.: He would basically say, "Hey, is Oliver spending a lot?" Joking around, but he did not ask specifics.

Jeff Brown: Would these conversations happen with any degree of frequency?

Former Fin. Admin.: I didn't see the Congressman that much. I'm trying to think. I probably saw him once or twice a month, so probably had six or seven interactions over the time that I was there but nothing ...

Jeff Brown: Regarding office supplies, six or seven interactions? I'm trying to figure out how this became a joke.

Former Fin. Admin.: I think it was a joke before I got there. I know I said, "Hey, do you have Amazon on speed dial?" Pens, buy them in the office supply store. These pens are so much nicer, and they're cheaper. These are the ones that the office really likes. These are conversations that I would more have with Oliver. I would be like, "Oliver, come on, you can get pens down at the office supply store. Why are you getting them from Amazon, or different lamps, or what not?" These ones are nicer, and these ones make it look ... I did on several occasions have email conversations with all my chiefs after the whole Aaron Schock Downton Abbey look. If you can buy a fishbowl and have Goldie that's going to live a week, that's office furnishings but don't go and buy a tank that's a $1,000. My advice was always if this is on the statement of disbursements and a reporter looks at it, what is it going to look like in the paper and how is it going to reflect on a member? I had a number of email conversations with all my chiefs. Hey, this is okay. That's not okay. If you have questions, let me know because I can check with finance, or I can check with ethics.

Jeff Brown: Did you have a role in Representative Schweikert's office in education reimbursements?

Former Fin. Admin.: After the fact.

Jeff Brown: What do you mean by that?

Former Fin. Admin.: I wouldn't say education. I would say training because I would talk about ethics training and starting in November saying, "Look, everyone has to do their ethics training before the end of December. Here's where to go.

You can do it in person if you haven't done that." If you were a new hire you have to do it once a year, once a second hour for senior staff. I received an email in late October of 2016 from Oliver saying he wanted to double check. In 2015 I had another office that had done training that was a quarter of the cost. Normally, training for the office would be ... On a staff retreat they would have someone come in, and that would be the training expense. It would be one expense a year, or it would be $50 to have a course at the Library of Congress. When I received that email it said it may be allowed in the future if it primarily benefits the office rather than the individual. I told him that because I had another office the year prior want to do a training for a staffer that was a couple thousand dollars. I had checked with finance, and they said, "It can't be training that benefits the staffer. It has to benefit the office."

Jeff Brown: How much did this one cost, if you could estimate based on your ...?

Former Fin. Admin.: I know here it was a lot. After we had this email conversation we had a conversation in person. He said, she said. He says that we discussed this in the summer. I do not recall that conversation because I think it was $7,000 or $8,000. That big of a number I would have said let's make sure. Let's get the sign off from finance or CHA or ethics first.

Jeff Brown: Do you handle training? Are you involved in training reimbursement for other offices?

Former Fin. Admin.: Yes, but I will say I've had the one in another office that was a couple thousand dollars that we had all the paperwork back up before. The money was reimbursed to the program, not to the staffer. The program was paid. The staffer was not paid except for reimbursements for the hotel then. Other than that I've had a couple that, like I said, were Library Congress training. Leadership Constitute would come in or Mark Strand and there's another. I'm trying to think of the other guy that would come in to train the whole office on staff retreats.

Paul Solis: What about universities? Harvard University? Other universities? In your experience, any reimbursement to staffers for trainings at universities? Is this the only one?

Former Fin. Admin.: This-

Paul Solis: Is this the only one, in your experience?

Former Fin. Admin.: In my experience, yes.

Paul Solis: Okay.

Jeff Brown: You mentioned something either about traveler accommodation ... Did the reimbursements related to this course at Harvard include travel, food, accommodation, anything like that?

Former Fin. Admin.: I know I tried to get ... From the end of October till end of December, I think, when it ... December or January of 2017 when it was finally submitted, I tried to get information and had him fill out the information for it. I don't know off the top of my head what all ...

Jeff Brown: What was your-

Former Fin. Admin.: Because the other training was, this is the cost of the course and that was paid to the folks that ran the course. And then the way that course was run, it was run over a year. I think it was like seven or eight different times during the year that folks from that state would go and they would stay overnight, and so it would be a reimbursement for the night for that particular staffer and the mileage for that particular staffer. But the course itself-

Jeff Brown: Were you involved in the ultimate processing of this Harvard Kennedy School reimbursement?

Former Fin. Admin.: I know I turned information in. I think there were questions by Finance, so I don't know if the ultimate one was when I was there or after I left. I-

Paul Solis: You don't know ... Did Oliver Schwab receive money from the House of Representatives reimbursed for that expense?

Former Fin. Admin.: I don't know because by the time I was able to get the information from him it was end of December. Whether or not he actually got reimbursed, I don't know because in the beginning of ... end of December, beginning of January, I was no longer point of contact for the office with Finance so I don't know.

Paul Solis: Okay. This is October 29th, 2016, 5:10 PM. He's explaining to you how this was based on benefiting the office as opposed to the individual. A minute later at 5:11 PM on the 29th of October 2016, you responded "Yes on all counts." Are you approving the reimbursement there?

Former Fin. Admin.: I was saying, from what he told me, it looked like it fit, that this would-

Paul Solis: Then what happened next? Did you turn in paperwork to the Office of Finance?

Former Fin. Admin.: I turned in paperwork to the Office of Finance but I don't think it was immediately after that because it took some time for him to-

1 Paul Solis: Did the Finance Office ask you any questions about the reimbursement
2 request?

3 Former Fin. Admin.: I think they did. I think I probably put this with the-

4 Paul Solis: You might have those emails?

5 Former Fin. Admin.: I might. I will say I don't know if they were emails or if they were phone
6 conversations. I worked for one office for 20 years and was told that I
7 didn't have to backup the files for several weeks. After he left, because I
8 was a shared staffer, January 3rd about noon, 2017, 20 years of files
9 disappeared. It took about three weeks for them to get everything back. I
10 know, because of the storage limit on my computer, I delete a lot of stuff.
11 However, I'm sure it's somewhere in the Cloud. IT people can get it. I can
12 check and see-

13 Paul Solis: Okay. Well, maybe we'll talk about that at the end. When you responded
14 to him, "Yes on all counts," did you talk to Representative Schweikert
15 about this reimbursement request by Oliver?

16 Former Fin. Admin.: No, because I looked at what he wrote. That, to me ... I said "It has to
17 benefit the office." He says "Yes, it benefits the office. Here's how," okay.
18 So I don't think I talked with Representative Schweikert about this-

19 Paul Solis: Any other-

20 Former Fin. Admin.: ... at all.

21 Paul Solis: Any other educational or training reimbursement requests by Mr. Schwab
22 during your time in the office?

23 Former Fin. Admin.: I don't think so.

24 Jeff Brown: How about by other staffers?

25 Former Fin. Admin.: I know that there was like a conference up in New York for ... I'm trying
26 to think who it was for. I mean, there were a couple small conferences but-

27 Jeff Brown: Did you ever-

28 Former Fin. Admin.: ... nothing-

29 Jeff Brown: Did you ever remember any reimbursement requests for a Stanford-related
30 educational course?

31 Former Fin. Admin.: I do not.

32 Jeff Brown: Okay. How about Johns Hopkins?

Former Fin. Admin.: Maybe. I don't think it was ... If it was, it wasn't brought to me as a training course, it was brought to me as "I attended a conference," so not ... This isn't educational, this is a training course but this is a STAFFDEL. But part of my job, I will visit the service academies. There are other Congressional staffers there but not-

Jeff Brown: Why does Johns Hopkins ring a bell?

Former Fin. Admin.: Well, Johns Hopkins rings a bell because I live in Baltimore, because there's a service academy student who is not nominated who there's a tie-in with the Hopkins-

Jeff Brown: But you don't remember Johns Hopkins relative to any staffers in Representative Schweikert's office?

Former Fin. Admin.: Off the top of my head, no. There could have been but-

Jeff Brown: How about the Naval War College, do you remember anybody seeking any reimbursements for Naval War College courses?

Former Fin. Admin.: I know that Oliver ... I think he was part of the Merchant Marine, so he mentioned taking courses there but I don't think he asked for reimbursements for those courses. If he did, it wasn't presented that way to me.

Jeff Brown: Okay. Do you recall if there was any sort of office policy and procedure regarding education or conference reimbursements in Representative Schweikert's office?

Former Fin. Admin.: I think as long as it was approved by Deputy Chief, Chief of Staff, it was okay.

Jeff Brown: I'd like to show you a couple documents, and then I'm going to ask you just a few questions about that. And I should say you may or may not have been involved in the processing of some of these documents, but I'm going to ask some more general questions about it. So take your time if you want to look at it, but I just have a couple very specific questions. First document I'm handing you is CAO_0005 through 10. Can you just generally tell me what this document is?

Former Fin. Admin.: It's a travel card Citibank statement for the month of January 2015, mostly plane flights.

Jeff Brown: Okay, and the front page?

Former Fin. Admin.: The front page is the voucher cover sheet.

Jeff Brown: Okay. Do you recognize that signature?

1 Former Fin. Admin.: It's an authorized signature.

2 Jeff Brown: Do you know whose signature that is?

3 Former Fin. Admin.: I know it's David Schweikert's signature. I can tell you David
4 Schweikert ... I don't think he actually signed any voucher forms while I
5 was in the office.

6 Jeff Brown: Okay. Tell me more about that. Why do you think that he didn't sign any
7 voucher forms?

8 Former Fin. Admin.: Because, most members don't. It's an authorized signature. So normally it's
9 someone who's authorized ... chief of staff, deputy chief of staff, some
10 offices have a stamp.

11 Paul Solis: Mm-hmm (affirmative)- Do you know if that's a stamp?

12 Former Fin. Admin.: I don't believe that's a stamp.

13 Paul Solis: That's somebody signing his name?

14 Former Fin. Admin.: Yes.

15 Paul Solis: In your experience, who signed Representative Schweikert's name?

16 Former Fin. Admin.: Normally either Oliver or Beau.

17 Paul Solis: Any occasion where there would be somebody besides those two signing
18 his name?

19 Former Fin. Admin.: Yes. If they weren't accessible, I would ask if somebody else could sign
20 and say, "You know, here's what it is."

21 Paul Solis: So Beau and Oliver had authorization from Representative Schweikert?

22 Former Fin. Admin.: As far as I know, yes.

23 Jeff Brown: Do you know what the process was by which they received that
24 authorization?

25 Former Fin. Admin.: No.

26 Jeff Brown: How does that work in other members' offices? Is it similar? Is it
27 different?

28 Former Fin. Admin.: Pretty similar. I mean, you know.

29 Jeff Brown: I'm going to hand you one of the documents. CAO_0011 through 26.
30 Again just generally, what is this document?

1 Former Fin. Admin.: This is a reimbursement for Oliver Schwab for travel January 2015 to, I
2 think, end of February. As well as the ... yeah. January, February.

3 Paul Solis: Just generally, what role would you have in creating this voucher cover
4 sheet? If any?

5 Former Fin. Admin.: I would normally do the voucher cover sheet. For example, here he had
6 put meals when it wasn't meals, it was meeting with constituents. So I
7 crossed that off and made the change.

8 Paul Solis: But the approval for these expenditures, would that have occurred prior to
9 you creating that cover sheet? Would Beau or Oliver approve the expenses
10 or the reimbursement requests and then give the paperwork to you to
11 process? Is that how it would work?

12 Former Fin. Admin.: I would create the cover sheets. And then they would be signed. But I
13 believe that the items to which I would make the cover sheet were
14 approved.

15 Jeff Brown: You would prepare the forms as it goes to the staffer who is seeking
16 reimbursement?

17 Former Fin. Admin.: I would prepare the top forms.

18 Jeff Brown: Okay.

19 Former Fin. Admin.: Yeah, most finance folks do this. They would prepare the travel
20 reimbursement form.

21 Paul Solis: With the accompanying receipts and documents?

22 Former Fin. Admin.: With the accompanying receipts. There were some times ... like this one, I
23 can tell you, Oliver would prepare because sometimes I would prepare for
24 folks if they weren't sure exactly how to do it. They would give me the
25 receipts, I would fill this out, they would sign it. But I can tell you because
26 this doesn't have the code for the office, I did not prepare this.

27 Paul Solis: That is CAO_0016?

28 Former Fin. Admin.: Yes. Because of the office code, I would have put that on. A lot of the
29 folks wouldn't know what the office code is, so. Or they may not know
30 their staff employee number.

31 Jeff Brown: So let me hand you one more document. This begins CAO_0062 through
32 76. And I just want to draw your attention to the fact that the signature line
33 at the bottom says, "Member, chairman, officer, other approver signature."
34 Which is different than "Authorized signature."

Former Fin. Admin.: That's because in October 1, 2016, the voucher cover sheet changed. That was something that the CAO made the change for that. And they also changed the expense reimbursement form. If you look at this expense reimbursement form, and that one, they're different. Because that started October 1, 2016.

Jeff Brown: Did that change in form come with any change in guidance about who needed to sign these documents?

Former Fin. Admin.: The voucher preparer needed to sign, and then still the member chairman authorized signature. But the voucher preparer, we were certify six that the voucher was prepared. You can see the difference in certifications. This signature certifies six.

Paul Solis: What is six?

Former Fin. Admin.: Six is, this voucher was prepared according to the voucher documentation. And that change happened October 1. There were a number of changes to ... it used to be that if you bought something online, you had to have someone else sign to say, "Yes, that is in the office, you didn't buy it for personal use." That was anything that was purchased online had to be signed by a third party.

Jeff Brown: What sort of procedures were in place in Representative Schweikert's office to ensure that staffers were only reimbursed for legitimate expenses?

Former Fin. Admin.: I think believing in integrity of the staffers.

Jeff Brown: I guess I should ask, then walk me through the process from expense happens to reimbursement occurs.

Former Fin. Admin.: Expense happens, staffer submits the expense report to me with appropriate receipts. If there weren't appropriate receipts they would say, "Here's what ..." if it wasn't an itemized receipt, "Here's why it wasn't an itemized receipt." Prior to October 1, the Office of Finance was a lot more lenient. They now, if you do not have the missing receipt, you have to put in, "Here's what it was. I bought a ham sandwich, a soda, etc." If I would see alcohol, I would cross off the alcohol and change the amount that was being reimbursed. It would go to me. I would do the cover sheet. I would take it for a signature so that there would be somebody else looking at it and approving it.

Jeff Brown: So there would generally be two individuals, aside from the staffer who was seeking the reimbursement, that would review any reimbursement request?

1 Former Fin. Admin.: Yes. Although sometimes Oliver signed for himself so in that case ...

2 Jeff Brown: In that case, it would be Oliver and yourself?

3 Former Fin. Admin.: I would look at it and say, "Hey ..." if there was something, I would say,
4 "Okay, show me the pencils." Or "Show me the lamp." Or show me
5 whatever it is, so that I knew that it was in the office.

6 Jeff Brown: In your opinion, was there appropriate oversight on the spending practices
7 in Representative Schweikert's office?

8 Former Fin. Admin.: Each office is its own fiefdom. How they want to run their office is up to
9 them. I can advise, I can say, "If this shows up, how would the newspaper
10 handle it?" If your office, if the member is okay with that ... But I can't
11 force them to not seek reimbursement for something unless it's something
12 like alcohol or ...

13 Jeff Brown: Recognizing all that, did you feel like they had the appropriate controls in
14 place?

15 Former Fin. Admin.: I don't know. Each office is different.

16 Paul Solis: How was this office different in regards to spending habits than other
17 offices you worked in?

18 Former Fin. Admin.: I have some offices that they use their personal cars. They have maybe one
19 or two rental cars a year. This office, there were a lot more rental cars. I
20 have some chiefs of staff that don't travel to the district that much, so
21 there's very little travel or flights apart from the member.

22 Paul Solis: Mr. Schwab traveled more to the district than other chiefs you worked
23 with, or less, or the same?

24 Former Fin. Admin.: I would say more for someone who is based here in D.C. I had one chief
25 that was based in the district that came here every week that they were in
26 session. It wasn't more than that.

27 Paul Solis: What about purchases for office supplies, Mr. Schwab's habits of
28 expenditure, more, less, the same as other chiefs?

29 Former Fin. Admin.: I would say more.

30 Jeff Brown: Did you ever raise any concerns with respect to the way MRA
31 disbursements were being doled out in Representative Schwab's office?

32 Former Fin. Admin.: Yes.

33 Jeff Brown: What were those concerns?

1 Former Fin. Admin.: That things that were being purchased on Amazon could be purchased
2 downstairs or could be gotten from office furnishings.

3 Jeff Brown: Did you raise those concerns with anyone?

4 Former Fin. Admin.: Yes.

5 Jeff Brown: Who?

6 Former Fin. Admin.: I raised them with Oliver, with Beau as hey, if somebody looks at this, it
7 could be questioned.

8 Paul Solis: The concerns revolved around what staffers, the purchases of what
9 staffers?

10 Former Fin. Admin.: For the most part, Oliver. I say for the most part. Basically, Oliver.

11 Paul Solis: Did you ever talk to Representative Schweikert or raise these concerns
12 with Representative Schweikert?

13 Former Fin. Admin.: He asked for that one table, which I did prepare, which does show that the
14 office spent significantly more than other offices on supplies and
15 materials. I gave him the information. We didn't really have a follow up
16 conversation because I also wanted to keep the other office's information
17 private.

18 Jeff Brown: We talked about this, but I want to confirm. You were involved in
19 reimbursements for Oliver Schwab with respect to travel, office supplies
20 and education. Correct?

21 Former Fin. Admin.: Yes.

22 Jeff Brown: That would have been from when to when? Your whole time working in
23 Representative Schweikert's office?

24 Former Fin. Admin.: Yes.

25 Jeff Brown: Was there any request that Oliver had made that you would not have been
26 involved in? I should say any disbursement request that you wouldn't have
27 reviewed before they went to finance?

28 Former Fin. Admin.: Can you give me an example?

29 Jeff Brown: Sure. I'm wondering if Oliver Schwab is looking to be reimbursed for
30 travel related expenses or education or office supplies, would those
31 reimbursement requests always flow through you?

32 Former Fin. Admin.: I believe so.

Jeff Brown: You don't have any reason to believe that he was able to get reimbursements without going through the financial administrator in the office, that being yourself?

Former Fin. Admin.: I don't think so. Is there a specific example?

Jeff Brown: You've talked about us raising concerns with Oliver and Beau regarding Oliver's purchase of office supplies. Did you have any concerns with respect to Oliver's spending habits on travel?

Former Fin. Admin.: I asked him on several occasions how many times are you planning to go out to Arizona, so we can plan appropriately, for how many days and what you're doing. My job, while I work for the office, it's outside the office. Each office's internal workings are those office's.

Jeff Brown: Was there anything that ever came across your desk travel-related that raised red flags?

Former Fin. Admin.: Are you asking about the Super Bowl?

Jeff Brown: We can talk about the Super Bowl?

Paul Solis: How about apart from the Super Bowl? Any other trips?

Former Fin. Admin.: He took a number of overseas trips, but I think that they were personal. They were conferences, but he also said that they were official representational duties.

Paul Solis: Conferences overseas?

Former Fin. Admin.: I don't know because he wouldn't say.

Paul Solis: Did you ever receive review paperwork related to travel overseas where he was seeking a reimbursement or used the official travel card?

Former Fin. Admin.: I don't think so. There may have been a case, but I probably would have asked, what StaffDel - Was it a flight to the airport? Was it taxi to the airport? Something like that. Normally, basically, that's covered by the trip. Then it's only taxis or a meal at the airport that you would get reimbursed for because you would have to have the paperwork for the staff trip. It would be privately funded travel, which means it would have to go through ethics first to get that approved. Anything that was approved should have the paperwork for that.

Jeff Brown: Aside from these overseas conferences that you just mentioned, was there ...

Former Fin. Admin.: Is there a specific ...

Paul Solis: No. I just want to be clear. Forget the whole – She mentioned you took overseas trips. She also mentioned conferences. I asked if there were conferences overseas. She responded, "No."

Former Fin. Admin.: I don't know. I know he got married during that time.

Paul Solis: Can I just ...

Former Fin. Admin.: Sorry.

Paul Solis: ... recap. The difference is I was asking about trips overseas. She mentioned she thought they were personal. Conferences were domestic to your knowledge.

Former Fin. Admin.: He didn't share much with me, so I don't know.

Paul Solis: That's fine. There was a distinction between the two, that's all.

Jeff Brown: Aside from everything we just discussed and aside from the Super Bowl trip, which we can get to in a second, were there any other domestic trips that Oliver Schwab took that raised any red flags for you?

Former Fin. Admin.: I don't know. I probably asked him about different trips. I know he said he went to this conference, I want to say, in Chicago where he then went to the National Archives. He showed me I gave the archivist my father's name or my grandfather's name, and they pulled all of the service records. You should do this.

Jeff Brown: You mentioned earlier that you read an article about Oliver Schwab. What article are you referring to?

Former Fin. Admin.: The one from the Washington Examiner that you have in your hand.

Jeff Brown: This is a Washington Examiner article from November 2, 2017 at 6:01 pm. What was your reaction to this article?

Former Fin. Admin.: That there were things that I did not know.

Jeff Brown: What were the things that you did not know?

Former Fin. Admin.: Number one, that he had this consulting firm. He expressed on several occasions that he did things for the campaign and that David owed him thousands of dollars that he had personally put out for different things and that David owed him a lot of money, which was one of the reasons that he was going to be quitting on such and such a day and then that termination paperwork would be ripped up. He wasn't going to quit on that day. It was Oliver is having a hissy fit. He needs to have David tell him how great he is. Then he'll calm down. From what Oliver expressed to me this was

1		personal reimbursement to him, that he did not say that he had this
2		whatever the consulting firm, whatever the shop name was.
3	Paul Solis:	Chartwell.
4	Former Fin. Admin.:	Chartwell. I had not heard that.
5	Jeff Brown:	Just so I can clarify, Oliver was indicating to you that he was making
6		personal outlays on behalf of Representative Schweikert's campaign, that
7		he was hoping to have reimbursed?
8	Former Fin. Admin.:	No, that it was for Representative Schweikert personally, like he had all of
9		these mileage points, so when the Congressman and his wife wanted to go
10		overseas, Oliver used his points so that the Congressman didn't have to
11		pay. I stay out of the political side. I worked on Joe Pitts' campaign before
12		he came into office. I basically stayed out of the political side since.
13	Jeff Brown:	Were you saying that Representative Schweikert was using Oliver
14		Schwab's travel points?
15	Former Fin. Admin.:	That's what was indicated to me by Oliver. I don't know if that's true or
16		not. He said, "He owes me so much. He owes me thousands of dollars that
17		I have personally put out." He did not say that he had put out as a
18		consultant.
19	Jeff Brown:	Have you ever spoken to anyone at the Washington Examiner?
20	Former Fin. Admin.:	I have not.
21	Jeff Brown:	Have you ever spoken to Philip Wegman?
22	Former Fin. Admin.:	I have not.
23	Jeff Brown:	Did you and Oliver ever discuss, regarding what I think we can refer to as
24		this trip to Arizona during Super Bowl weekend 2015, did you ever
25		discuss whether he would be handling any campaign related activity while
26		he was out in the district?
27	Former Fin. Admin.:	Let me pull up. He sent an email to Beau and to me saying, "Just so you
28		guys don't have a heart attack I wanted to give you a heads up about my
29		trip next week. It will end up being about $4,000 for the hotel and about
30		$1,000 for rental car being Phoenix Open and Super Bowl weekend. I
31		apologize for this. It's priority for David that I'm on the ground to help as
32		host events with the House majority leader, other visiting members. I
33		got ..." Now it says, "two personel," but if you look at it I think he meant
34		to say personnel issues. "We need to get out of the way." I took that as
35		official.

1	Paul Solis:	This is an email of January 24, 2015 at 5:40 from Oliver to you and Beau?
2		Subject line: travel budget for the balance of the month?
3	Former Fin. Admin.:	Right.
4	Paul Solis:	Personel is spelled P-E-R-S-O-N-E-L, and you believe that's personnel?
5	Former Fin. Admin.:	Yes, because we need to get out of the way. I would take that we as the
6		office versus ...
7	Paul Solis:	What is he referring to there?
8	Former Fin. Admin.:	I would say staff issues in Arizona. That's how I took it.
9	Paul Solis:	Do you have knowledge that there were personnel issues in Arizona that
10		he had to deal with?
11	Former Fin. Admin.:	I know there were as to the exact dates because there were a number of
12		different times when he talked about letting people go or bringing people
13		on.
14	Paul Solis:	He says, "I'm on the ground to help as we host events with the House
15		Majority Leader. When he says, "events," what does that mean?
16	Former Fin. Admin.:	I thought that they were for the office, that they were official events.
17	Paul Solis:	Why do you think that?
18	Former Fin. Admin.:	I've had other offices that they have events with other members for
19	official ...	
20	Paul Solis:	After the fact, did a campaign event take place with the House Majority
21		Leader?
22	Former Fin. Admin.:	I did not know that until reading this article. I did not know that his wife,
23		or brother, or brother-in-law was out there as well. It was presented to me
24		as I'm going out for official business for the office.
25	Jeff Brown:	Did you ever learn, outside of the article, that he attended - Oliver and/or
26		Representative Schweikert attended - the Super Bowl?
27	Former Fin. Admin.:	I did not know that he had attended the Super Bowl. No, I did not know he
28		attended the Super Bowl.
29	Jeff Brown:	Did you gain any knowledge, outside of this article, about whether or not
30		Representative Schweikert or Oliver Schwab attended the Phoenix Open?
31	Former Fin. Admin.:	I don't believe so, apart from this article.
32	Jeff Brown:	Let me walk you through one of these expense reports, which you should
33		have in front of you. If you can grab the document that starts with

1		CAO_0011 and if you can flip to page 0023. For the record, this looks like
2		it's a receipt of some kind from the Hilton Garden Inn in Scottsdale
3		North/Perimeter Center. Do you know if this is the hotel that Oliver
4		Schwab stayed in while out in Arizona during Super Bowl weekend 2015?
5	Former Fin. Admin.:	It was presented to me that he was staying in this hotel during these dates.
6	Jeff Brown:	Do you know if that is the hotel that he ordinarily stays in when he goes
7		out to the district?
8	Former Fin. Admin.:	I know there's a couple different hotels. I think it's one of them. I can't be
9		100% sure because I haven't looked at these for a year plus.
10	Jeff Brown:	If you can flip to page 0022. This appears to be a receipt for Richard
11		Oliver Schwab at the Hyatt Regency Scottsdale Resort & Spa at Gainey
12		Ranch. It appears to be a $185 receipt. You mentioned earlier on page ...
13	Former Fin. Admin.:	Meeting with constituents for food and beverage versus meals.
14	Jeff Brown:	Correct, on page 16 that you had to change that. Do you recall a
15		conversation about that receipt or this line item on page 0016?
16	Former Fin. Admin.:	No. I probably would have looked at it and said I'm guessing that this
17		because it's four as opposed to one and because of the amount that it
18		would be for probably Richard, the member, and staff members who were
19		there, staff members.
20	Jeff Brown:	Did you have a conversation about who attended that lunch?
21	Former Fin. Admin.:	I do not believe so. I take it was not.
22	Jeff Brown:	That's my question to you.
23	Former Fin. Admin.:	I did not.
24	Jeff Brown:	I'm going to hand you one other document that begins 0027 and ends
25		CAO_0027 and ends as 0061. If you can just flip to page 58. For the
26		record I'll note, this is an e-mail that begins, it's from Oliver Schwab to
27		you dated February 5, 2015 3:58 p.m. Mr. Schwab talks about attaching an
28		itemized receipt for the Hilton bill. I would like to draw your attention to
29		sort of the third paragraph down. He says, "I'm pre-booking the same hotel
30		for the Phoenix open week next year so that we get a normal rate and not
31		the rate once they're in room block central." Reading that, does that jog
32		your memory about whether or not this was the hotel that Mr. Schwab
33		would ordinarily stay in when he went out to the district?
34	Former Fin. Admin.:	The Hilton Garden Inn or?
35	Jeff Brown:	The Hilton that he's referring to in this e-mail.

1 Former Fin. Admin.: Looking at this?

2 Jeff Brown: Mm-hmm (affirmative).

3 Former Fin. Admin.: I presume it was The Hilton Garden Inn.

4 Jeff Brown: I'll direct your attention to a couple of pages preceding this, 0053-56,
5 which appear to be the receipt that he's referencing.

6 Former Fin. Admin.: I mean, it looks like it's the same hotel.

7 Jeff Brown: My question is, do you know if that's the hotel that he would ordinarily
8 stay in when he went out to the district office?

9 Former Fin. Admin.: There was that. I want to say there's a Ritz Carlton out there, but the Ritz
10 Carlton wasn't that expensive. I mean, ordinarily the cost was like $100-
11 $150.

12 Jeff Brown: I just want to ask about the location. Did he ordinarily stay in one hotel
13 when he was out in the district or did he tend to stay in a variety of
14 different hotels?

15 Former Fin. Admin.: I would say one or two.

16 Jeff Brown: You don't know if this is one of them that he ordinarily stayed in.

17 Former Fin. Admin.: I think it was, to be perfectly honest. I would look at Hilton. I wouldn't
18 look at the street address. What I would be looking for would be, for
19 example, valet laundry and making sure that came off or if there was
20 movie rental or something like that that would not necessarily be
21 reimbursable.

22 Jeff Brown: I should back up here and just verify for the record. You were involved in
23 reviewing Mr. Schwab's receipts and reimbursements for this trip out to
24 Arizona during Super Bowl weekend 2015, right?

25 Former Fin. Admin.: Yes.

26 Jeff Brown: Okay. Are you aware of whether or not, do you have any reason to believe
27 that he tried to expense anything related to his wife's travel to the district?

28 Former Fin. Admin.: I did not know his wife had gone to the district until I read this article.

29 Jeff Brown: Okay. Did you have any reason to believe that Mr. Schwab was trying to
30 expense anything related to his mother and brother being out in the
31 district?

32 Former Fin. Admin.: No I did not.

33 Jeff Brown: Okay.

1 Former Fin. Admin.: From what I understood, this was an official trip that happened to be
2 taking place, that he had to go out there for office personnel issues, for
3 office business. It happened to be during the Superbowl. The Superbowl
4 was being held in Arizona. That he was going out there for official
5 business. That's what was presented to me.

6 Paul Solis: What are you basing that understanding off of? Just the e-mail you just
7 showed us?

8 Former Fin. Admin.: The e-mail and him talking and saying, "I'm going to be going out there. I
9 know it's more expensive than usual." But he did not say to me other
10 people were going out to this. There was no mention to me that other
11 folks.

12 Paul Solis: Did he mention that any campaign events would be happening out there?

13 Former Fin. Admin.: No.

14 Jeff Brown: Having read the article and having reviewed his reimbursement requests,
15 is there anything in hindsight that was a concern for you?

16 Former Fin. Admin.: As I said, I did not know that he had this Chartwell. I do know that when I
17 sent the reminder in November 2015, "Hey everyone, you need to take
18 your ethics training" that his e-mail reply was "I've never taking ethics
19 training." I was like, "What do you mean? How long have you?" This is
20 my little reminder to everyone that says, "Happy Thanksgiving." Take
21 your annual ethics. I may have actually done that. I said, "Are you talking
22 about your first-time training ever or just not last year?"

23 Jeff Brown: Okay.

24 Former Fin. Admin.: I then said, "How long have you been with the House?"

25 Paul Solis: Okay.

26 Former Fin. Admin.: "You may have to do remedial training. Talk with ethics."

27 Paul Solis: Okay, so, we've got the article discusses sort of four categories of things.
28 We've got office supplies, educational expenses, the Super Bowl weekend
29 we talked about, and campaigning coming from representative
30 Schweikert's campaign committee and his PAC, his leadership PAC. You
31 said to us you don't know anything about Chartwell or income he received,
32 Oliver received, from the campaign committees, correct?

33 Former Fin. Admin.: That is correct.

34 Paul Solis: So the information in the article is news to you?

35 Former Fin. Admin.: That is news to me.

Paul Solis: Super Bowl weekend, Jeff kind of ran through that weekend with you. You did know a little bit about that? About the messaging Oliver had provided to you about the reasons for going out there, right? The educational expenses, we talked about. You provided an e-mail concerning one of those requests for reimbursement which is the Harvard Kennedy School reimbursement, right?

Former Fin. Admin.: Right.

Paul Solis: We asked you about anything else, about possibly Johns Hopkins, about Naval War College, Stanford.

Former Fin. Admin.: He may have, whether it was presented to me as educational versus a staff conference.

Paul Solis: Right.

Former Fin. Admin.: Because that would be handled differently.

Paul Solis: Okay. The last category is office supplies. You do have some experience in that about reviewing some reimbursement requests for office supplies. You mentioned you did raise concerns -

Former Fin. Admin.: Yes.

Paul Solis: With Oliver himself -

Former Fin. Admin.: Yes.

Paul Solis: About the expenditures.

Former Fin. Admin.: I raised them with Oliver and Beau and it was like, "Well, you know, Oliver's chief of staff." So, what he says.

Paul Solis: I just want to get clarification on the concern you raised because in my mind there's a certain nature of the expenditure. You briefly touched on this. One is that the expenditures or expenditure could be replaced by, for example, furniture purchase or another type of supply purchase that the House has to give to the offices as opposed to even making a purchase. You would raise that concern, as well?

Former Fin. Admin.: Yes, and I did. There was a heater that was. I don't know if it was a couple hundred dollars or a thousand dollars, and I took it to finance because it was a heater, apparently an outdoor heater for the district office. I asked about it with finance. I said, "Is this reimbursable?" They said yes. For the district office, it is. I can bring things up, I can say you can buy these but ultimately if the chief of staff determines that it is appropriate I will ...

1 Paul Solis: Okay, so items that the, I mean I asked that question items the house has
2 like furniture. I don't want to talk about things the house doesn't have
3 because that's a separate issue. Items the house has like ...

4 Former Fin. Admin.: Pens.

5 Paul Solis: Well, I want to ask my question first. Pens are something that you'd
6 purchase from the house. They're not just items that the house gives you
7 like for example chairs, like modular furniture. I want to ask about items
8 like that, furniture items, desks, chairs, the house has those items to give to
9 offices. Would Mr. Schwab make purchases on those types of items that
10 the house would have that in your opinion may not need to be purchased?

11 Former Fin. Admin.: To the modular furniture, the office does not pay for modular furniture
12 when it's set up in that office for the particular staffers that are there but
13 they then would have to pay for modular furniture through the house if
14 they changed the configuration after. That is what I believe the modular
15 furniture was for. Things that you would also purchase through the house
16 but you would, it would go to MRA would be like standing desks, which
17 would sort of fall under that modular furniture. I have other offices, the
18 ergonomic chairs.

19 Paul Solis: I'm just asking ...

20 Former Fin. Admin.: I'm sorry.

21 Paul Solis: I'm just asking did you ever raise a concern with Oliver? Hey, Oliver the
22 house has this you don't need to buy it. Did you ever raise that type of
23 concern?

24 Former Fin. Admin.: Yes.

25 Paul Solis: Okay, moving on from that what about just the general nature of amount
26 of expenditures so this looks like an awful, for example, this looks like an
27 awful lot to spend on pens. This looks like an awful lot to spend on folders
28 or binders or anything else. Would you raise those types of concerns?

29 Former Fin. Admin.: Yes, I did. Yes.

30 Paul Solis: Then as you discussed you would also raise the type of concern about
31 particular items for example a heater, where it was a larger dollar item and
32 it just sort of triggered to you do we need this. You would also raise those
33 types of concerns.

34 Former Fin. Admin.: Yes.

35 Paul Solis: Those three sort of categories of expenditures for office type equipment
36 you raised concerns in all three of those categories?

1 Former Fin. Admin.: Yes, I did.

2 Paul Solis: Okay, I realize my question might've been a little confusing. I just wanted
3 to sort of make sure I understand all those different things.

4 Former Fin. Admin.: Yes.

5 Jeff Brown: I have a couple quick questions before we wrap up. What can you tell me
6 about Oliver Schwab's wife being hired as a temporary employee in
7 Representative Schweikert's office in 2014?

8 Former Fin. Admin.: Not much, I knew her because we were both schedulers for Pennsylvania
9 delegation. Basically, what I know is when she got engaged to Oliver the
10 office decided that she should not be the temporary for us in doing the
11 office finances. She suggested me as someone that she knew as another
12 scheduler who was a shared staffer. At the time I did scheduling along
13 with financial administration for one office. I pretty much knew maybe for
14 a couple of days that she had done it and got her thumb drive of the
15 information.

16 Jeff Brown: She did scheduling and finance for Representative Schweikert?

17 Former Fin. Admin.: No, she handled scheduling for a Pennsylvania member, which I at the
18 time handled scheduling for a Pennsylvania member. I had worked with
19 her for pro life Pennsylvania. There's a lot of folks that come down for pro
20 life so we do provide refreshments and benefits as a state. That's how I
21 knew her. I don't think she did the scheduling for Schweikert.

22 Jeff Brown: Do you know what she did in Representative Schweikert's office?

23 Former Fin. Admin.: As far as I knew, she only handled finances, that's all that I know.

24 Jeff Brown: Did you have any concerns about her working in Representative
25 Schweikert's office?

26 Former Fin. Admin.: No, because I believe as soon as they got engaged that's when they hired
27 me or shortly thereafter. I did not know that she worked for Representative
28 Schweikert until she sent me an email saying hey, I just got engaged. I've
29 been working for this office, are you interested. Are you looking for
30 another office?

31 Paul Solis: Was there any overlap between the time you started with Representative
32 Schweikert's office and her time there? For example, you walk in the
33 office and she's there.

34 Former Fin. Admin.: I don't believe so. If so, maybe a day or so. I think I came in maybe on the
35 14th or 15th and she probably left that same time. I don't remember if I put

in the appointment and termination paperwork or she put that information in.

Jeff Brown: This article references - this article I'm referring to the Philip Wegmann article in the Examiner- references former staffers. Do you know who the former staffers are that are referenced in this article?

Former Fin. Admin.: I do not.

Jeff Brown: Do you have an educated guess about who they might be?

Former Fin. Admin.: Maybe but I don't know who is still with the office and who's not with the office at this time.

Jeff Brown: Recognizing that you do not know who these sources are, do you have any thoughts about who may or may not have talked to the Examiner about these sorts of issues?

Former Fin. Admin.: No one has presented themselves to me to say hey, did you know anything about this. There's no staffer, since I left the only communication I've had is if there's been a bill that's come in that may have come to me because it's a newspaper bill that comes in once a month that I've been, sent to the office. I haven't contacted ...

Jeff Brown: Has anybody from Representative Schweikert's office contacted you about this article?

Former Fin. Admin.: No.

Jeff Brown: Have you spoken to anybody about the article?

Former Fin. Admin.: I've spoken to a couple of my chiefs simply to say hey, this is a former chief. You might want to use this as guidance ...

Jeff Brown: Why did you say former chief?

Former Fin. Admin.: He was my former chief. I've talked with some of my current chiefs to say hey, this information is here. I maybe asked questions about this because I was here. I read it.

Jeff Brown: Have you talked to anybody else about the fact that you're meeting the OCE?

Former Fin. Admin.: My husband was in the room when you called.

Jeff Brown: You made a statement that you ...

Former Fin. Admin.: I did contact a friend of mine who's a lawyer and just said, I didn't say what it was. I didn't say who I was meeting with but just that I was being

1		called as a third party witness. Do you have any suggestions cause I've
2		never had to do this before.
3	Jeff Brown:	Understood. I noticed and as we've discussed, you brought a file of a
4		couple of documents, some of which we discussed here today. Are there
5		any other issues that you feel like we should discuss in light of what we
6		discussed or are there any other documents that you wanted to walk
7		through with us?
8	Former Fin. Admin.:	You have mentioned that you haven't expressed who is being investigated.
9		I can make an educated guess. I don't know. I think of good in people. I
10		know on more than one occasion I said as long as you guys are okay with
11		this being in the paper cause this could, you know as ultimately it
12		represents the congressman, which is what I, if you ask my other chiefs if
13		they will and I'll tell them the same things. If they have questions I'll say
14		talk to ethics. If there's something that you aren't sure of, if there's a news
15		story on it ...
16	Paul Solis:	Did you or Oliver ever reach out to the committee on ethics based on any
17		of the things we talked about today, any of the expenditures? I should it
18		put it this way, did you ever reach out to the committee for guidance based
19		on the expenditures you saw from Mr. Schwab?
20	Former Fin. Admin.:	I know I reached out for paint in the office. I know I reached out in
21		reference to ethics training and financial disclosure and told him to reach
22		out to ethics about that because ultimately it's on him. I know I reached
23		out either to finance or to ethics about Harvard and honestly I don't
24		remember if it was to finance because I had reached out prior on another
25		one or if it was to ethics that I reached out.
26	Paul Solis:	Was this over the phone or over email?
27	Former Fin. Admin.:	Could be both. I know I have advised with ethics to make sure you get
28		things in writing from ethics replies that they reply in writing so that you
29		can ...
30	Paul Solis:	You should check your emails to see if regarding the Harvard expenditure
31		from Mr. Schwab the reimbursement request to see if you emailed the
32		committee on ethics or they emailed you back with some sort of response.
33	Former Fin. Admin.:	Okay.
34	Paul Solis:	Jeff will be following up with you on that. Going back to this folder you
35		have of documents, some of which you shared with us, how did you go
36		about deciding what would go in that folder?
37	Former Fin. Admin.:	I read the article and said, you said that it was about office finances so ...

1 Paul Solis: You went through your ...

2 Former Fin. Admin.: I looked at ...

3 Paul Solis: You went to your official emails and pulled what you thought to be
4 relevant documents related to your communications with Mr. Schwab or
5 Representative Schweikert, put in that folder and brought them here
6 today?

7 Former Fin. Admin.: Yes, I did.

8 Paul Solis: You have backed up actual emails in your Outlook of all these emails?

9 Former Fin. Admin.: Like I said ...

10 Paul Solis: How did you get these emails? Did you print them from ...

11 Former Fin. Admin.: Yes, I printed them.

12 Paul Solis: So they exist right now sort of in your archives?

13 Former Fin. Admin.: Yes.

14 Paul Solis: Okay, I mean ...

15 Former Fin. Admin.: I'm sure you guys can get them. You're a lot more IT savvy than I am.

16 Paul Solis: We just like to request them now. You've got them there. We'd like to
17 request them now and take that with us if that's all right with you. They'll
18 remain during the investigation they'll remain confidential. We'll do our
19 best to go through them, review them.

20 Former Fin. Admin.: Ethics, travel budget, leadership.

21 Paul Solis: I mean have you pulled them and felt that they were relevant to our
22 questioning, we'd like them all.

23 Former Fin. Admin.: Not all of these are for ...

24 Paul Solis: Are those unrelated to our review today?

25 Former Fin. Admin.: Well that's the article. This is about they requested my records so I gave
26 them all the records.

27 Paul Solis: You mean when you were terminated?

28 Former Fin. Admin.: Yeah. I don't know if you want those because they picked up the wrong
29 records originally. This was I stopped by to pick up vouchers.

30 Paul Solis: Yeah, probably nothing related to ...

Former Fin. Admin.: This was well, Oliver wanted to do some sort of wall mount system and I said, well he said do you think, I said I don't believe they offer, they do do wall mounts.

Paul Solis: Related to expenditures.

Former Fin. Admin.: This was how I knew to ask if it was personal or going for the office because this was for a different office, had asked about a registration fee and so I went to finance and said this would be reimbursable, yes. The person was out and I said I did do a follow up call, I spoke with Keith. He said it was okay as long as there's no credit, personal gain for the staffer and we were okay with it being in the statement of disbursement, correct. That wasn't for this office.

Paul Solis: Okay but it ...

Former Fin. Admin.: This was from 2015 and so then when ...

Jeff Brown: This, in your decision making process, I think that would be helpful, yeah.

Former Fin. Admin.: I don't want to get the other office in ...

Jeff Brown: No, I understand.

Former Fin. Admin.: That's why when he presented it to me that's why I said okay.

Jeff Brown: Okay, got you.

Former Fin. Admin.: There may be other emails if you guys want to send IT people.

Paul Solis: Okay, well we appreciate this and I think this and also, you know the information that you, some of the information you provided us with is based off you, so it's good to have them to be able to make sure it corroborates the things you said to us and so we appreciate that. That's definitely helps us going forward.

Former Fin. Admin.: That's why, I know he took a lot of trips but from what was presented to me, it was presented to me as official for the office.

Jeff Brown: Do you have anything else?

Paul Solis: I don't have anything else.

Jeff Brown: Okay, well thank you "Former Financial Administrator", with that we'll end the recording and thank you for your time.

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

ERRATA SHEET

Page	Line	Correction	Reason
4	5	"He" went to case	Oliver did, not I
6	2a	Pitts' Pitts'	spelled wrong
	31	"for" my job, not from	grammar/spelled wrong
7	20-23	Oliver states "Beau's like a brother to me. Anyone else can leave, but Beau, Ernesting, you and I, we'll stay." So I was a little	spelling, grammar, flow of sentence(s)
8	16	remove 'I'	I'm not the CS
10	33	look, If	grammar
21	23	Pitts'	grammar/spelled wrong
22	6	change 'too' to 'two'	incorrect 'to'
24	21	change 'like' to 'look'	
		~~chan~~ add " around Hilton	grammar
	22	add comma after valet,	
28	13/14	change, 'for Pro-life PA' to 'on the March for Life constituent mtg'	clarification
29	9	change 'month' to 'year'	correction/clarification
	19	chang 'maybe' to 'may be'	grammar
31	15	'long' should be changed to 'wrong'	— correction of transcript

This errata sheet is submitted subject to 18 U.S.C. § 1001 (commonly known as the False Statements Act).

Witness Name: [redacted]

Witness Signature: [redacted]

Date: 2/4/18

EXHIBIT 10

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT

Period covered: January 1, 2009 - April 30 2010

FORM B
For use by candidates and new employees

POSTMARK ILLEGIBLE

2010 MAY 24 AM 11:43

(Office Use Only)

Name: David Schweikert

Daytime Telephone: 480 659 9383

Filer Status				
	☑ Candidate for the House of Representatives	State: AZ District: 5	Date of Election: 11/2/10	Check if Amendment ☐
	☐ New officer or employee	Employing Office:		

A *$200 penalty* shall be assessed *against* anybody who files more than 30 days late.

In all sections, please type or print clearly in black ink.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐
II. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule II.**	Yes ☑	No ☐	V. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VI. Did you receive compensation of more than $5,000 from a single source in the two prior years? **If yes, complete and attach Schedule VI.**	Yes ☑	No ☐

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child? (See instructions, page 8.)	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes ☐	No ☑

SCHEDULE I — EARNED INCOME (INCLUDING HONORARIA)

Name David Schweikert | Page ___ of ___

List the source, type and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source (include date of receipt for honoraria)	Type	Amount: Current Year to Filing	Amount: Preceding Year
Examples:	XYZ Corporation, Houston, Texas	Salary	$6,300	$28,450
	First Bank & Trust, Houston, Texas	Director's Fee	$400	$3,200
	XYZ Trade Association, Chicago, IL, (Rec'd December 2)	Honorarium	0	$1,000
	Harris County, Texas Public Schools	Spouse Salary	NA	NA
	Sheridan Equities LLC	Business	25,000	170,000
	Sheridan Equities Holdings LLC	Business	258,000	—
	Scottsdale Eye Surgery	Spouse Salary	99,000	95,000

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SCHEDULE II—ASSETS AND "UNEARNED" INCOME

Name David Schweikert | Page 1 of 4

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For *all IRAs* and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs,*** indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Type of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs,*** indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

Block A	Block B	Block C	Block D
	A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Current Year: I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000
SP, Mega Corp. Stock	X	X	
DC, Simon & Schuster	Indefinite		Royalties
JT, 1st Bank of Paducah, KY accounts	X	X	
Sheridan Equities LLC	X		Business
Sheridan Equities Holdings	X	X	Business
Deferred Comp. 457			
Brown Capital Mgmt Small Co. Instl	X	X	Retirement

Block D	Preceding Year: I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000
Sheridan Equities LLC	X
Sheridan Equities Holdings	X
Deferred Comp. 457	
Brown Capital Mgmt Small Co. Instl	NA

For additional assets and unearned income, use next page.

SCHEDULE II — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name David Schweikert

Page 2 of 4

SP, JT, DC	BLOCK A Asset and/or Income Source	BLOCK B Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income — Current Year: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Preceding Year: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000
	American Century Equity Income Fund				X													X	Retirement Account	N/A										N/A										
	Arizona State Retirement System, 1010 E Camelback Rd Suite 200 Phoenix AZ 85016							X										X	Retirement Account	N/A										N/A										
SP	Putnam Hartford Voyager			X														X	Retirement Account	N/A										N/A										
SP	Putnam Growth + Incom			X														X	Retirement Account	N/A										N/A										
	Schwab + Co. Money Mkt				X												X		Retirement Account	N/A										N/A										
	Schwab + Co. Roth Schwab Core Equity				X													X	Retirement Account	N/A										N/A										
	Minnesota Life																																							
SP	W+R Target Growth			X														X	Retirement Account	N/A										N/A										
SP	Advantus Bond			X														X	Retirement Account	N/A										N/A										

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SCHEDULE II — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Dariv Schweikert | Page 3 of 4

SP, JT, DC	BLOCK A Asset and/or Income Source	BLOCK B Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income — Current Year: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Preceding Year: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000
SP	Advantus Mort sec			X														X		Retirement Account	N/A											N/A										
SP	Advantus Idx 500			X														X		Retirement Account																						
	Washington Mutual																																									
	Checking / Savings					X											X			Bank Interest			X									X										
	Merril Lynch																																									
SP	BLKRK GLbL ALLOC B			X														X		Retirement Account	N/A											N/A										
SP	BLKRK INTL B			X														X		Retirement Account	N/A											N/A										
SP	BLKRK SC GR II B			X														X		Retirement Account	N/A											N/A										
SP	BLKRK LGCP VAL B			X														X		Retirement Account	N/A											N/A										
SP	BLKRK GOVT INC B1			X														X		Retirement Account	N/A											N/A										

18-2234_0320

SCHEDULE II — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name David Schweikert | Page 4 of 4

SP, JT, DC	BLOCK A Asset and/or Income Source	BLOCK B Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income — Current Year: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Preceding Year: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000
	Canyon State Credit Union																																									
	Checking / Savings				X												X			Bank Intrest		X												X									
	Bank of America																																									
SP	Checking / Savings			X																Bank Intrest		X												X									
	Rental Property																																									
	11011 N 92nd ST #1057 Scottsdale AZ							X								X				Rental Income						X										X							

18-2234_0321

Name David Schweikert | Page 1 of 1

SCHEDULE III — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. **Exclude:** Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** *(i.e., credit cards)* only if the balance at the close of the previous calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main Street, Dover, Del.				X						
	Sallie Mae	Student Loan / MBA Loan			X							
	Chase	Note on 11011 N 93rd St #1067 Scottsdale AZ				X						

SCHEDULE IV — POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
Broker	Sheridan Equities LLC
Managing Member	Sheridan Equities Holdings LLC
Administrator	Scottsdale Eye Surgery - Joyce Schweikert

Use additional sheets if more space is required.

Name David Schweikert | Page 1 of 1

SCHEDULE V – AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

SCHEDULE VI – COMPENSATION IN EXCESS OF $5,000 PAID BY ONE SOURCE

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the *two* prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than $5,000. **Exclude:** Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. **Do not repeat information listed on Schedule I.**

Source (Name and Address)	Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate	Accounting services
Sheridan Equities Holdings LLC acts or/is the general partner or service provider to a number of real estate partnerships	MAS FUNDS, AZ LP
	MAS FUNDS, AZ LLC
	ART Mortgage 2, LTD
	ART Mortgage 3, LTD
	STOF Mortgage, LTD
	STOF Mortgage 2
	Swartz + Brough, Inc

GPO: 2009 47-142 (mac)

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

Form A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2011 MAY 16 PM 3:42
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U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Name: David Schweikert Daytime Telephone: 202-225-2190

Filer Status	☑ Member of the U.S. House of Representatives — State: AZ District: 5	☐ Officer or Employee	Employing Office:
Report Type	☐ Annual (May 16, 2011)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐	No ☑

Name Schweikert, David | Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Sheridan Equities LLC	Business	44,000
	Sheridan Equities Holdings LLC	Business	330,000
	Scottsdale Eye Surgery	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Schweikert, David | Page 3 of 9

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (*unless* there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.

For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.** Check "None" if the asset generated no income during the reporting period.

BLOCK D — Amount of Income

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.** Check "None" if no income was earned or generated.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	
SP, DC, JT	Examples: SP Mega Corp. Stock					X									X			X						X								S (partial)
	Simon & Schuster		Indefinite																	Royalties									X			
	1st Bank of Paducah, KY Accounts						X									X										X						
	Sheridan Equities LLC						X													Business							X					
	Sheridan Equities Holdings									X										Business									X			S (Partial)
	Deferred comp (457)		SEE NEXT LINE																													
	Brown capital mgmt				X									Y						Retirement	Y											
	American Century Equity				Y									Y						Retirement	Y											

For additional assets and unearned income, use next page.

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SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Schweikert, David

Page 4 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	
	AZ State Retirement		X		
	Putnam Hartford Voyager		X	Retirement X	
	Putnam Growth/Income		X	Retirement V	
	Minnesota Life	See Below			
	W+R Target Growth		X	Retirement X	
	Advantus Bond		X	Retirement X	
	Advantus Mort Sec		X	Retirement X	
	Advantus Idx 500		X	Retirement X	
	Merrill Lynch				
	BlkRk Intl B		X	Retirement X	
	BlkRk Sc Gr O B		X	Retirement X	
	BlkRk Lg Cp Val B		X	Retirement X	
	BlkRk Govt Inc B		X	Retirement X	
	Rental Property	See Below			
	11011 N 92nd #1052 Scott	X	X	X	

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SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability: A $10,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE				X						
SP	Chase	2005	Note- 11011 N 92nd				X						
	Sallie Mae	2003	Student Loan			X							
	Metro Phoenix Bank	2010	Note- Sheridan Equities			X							

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $335 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $134 or less need not be added towards the $335 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$345
	N/A	

Use additional sheets if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Broker (Managing Member)	Sheridan Equities LLC -
Managing Member	Sheridan Equities Holdings LLC
Administrator	Scottsdale Eye Surgery - Spouse -

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
11/2010	Sheridan Equities Holdings LLC	Sheridan Equities Holdings, LLC has an Agreement with Swartz + Brough, Inc for a portion of Residual Equity on the Partnerships formally managed/participated in by Sheridan Equities Holdings Now controlled by Swartz + Brough, Inc upon the Liquidation of Real Estate Holdings.
	Swartz + Brough inc.	

Use additional sheets if more space is required.

Name Schweikert, David | Page 7 of 9

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. **If only a portion of an asset is sold, please so indicate (*i.e.,* "partial sale").** See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Check Box if Capital Gain Exceeded $200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000
SP	*Example:* Mega Corporation Common Stock (partial sale)		X			10-12-10		X								
	N/A															

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Name Schweikert, David | Page 8 of 9

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	N/A						

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SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2010	$2,000
	XYZ Magazine	Article	Aug. 13, 2010	$500
	N/A			

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UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

Form A
For use by Members, officers, and employees

HAND DELIVERED

2011 JUN 24 PM 4:07

(Office Use Only)

Name: David Schweikert Daytime Telephone: 202 225 2190

Filer Status	☑ Member of the U.S. House of Representatives — State: AZ District: 5	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 16, 2011)	☑ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐	No ☑

Name Schweikert, David | Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Sheridan Equities LLC	Business	44,000
	Sheridan Equities Holdings LLC	Business	330,000
	Scottsdale Eye Surgery	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Schweikert David | Page 3 of 9

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (*unless* there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.

For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.** Check "None" if the asset generated no income during the reporting period.

BLOCK D — Amount of Income

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.** Check "None" if no income was earned or generated.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify, e.g., Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock					X									X			X						X								S (partial)
			Simon & Schuster		Indefinite																	Royalties									X			
			1st Bank of Paducah, KY Accounts						X									X										X						
	Sheridan Equities LLC								X													Business							X					
	Sheridan Equities Holdings											X										Business									X			S (Partial)
	Deferred comp					SEE			NEXT																									
	Brown capital mgmt						X									X						Retirement	X											
	American Century Equity						X									X						Retirement	X											

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Schweikert, David

Page 4 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	AZ State Retirement													X						Retirement	X											
	Putnam Hartford Voyager			X														X		Retirement	X											
	Putnam Growth/Income			X														X		Retirement	X											
	Minnesota Life					See				Below																						
	W+R Target Growth			X														X		Retirement	X											
	Advantus Bond			X														X		Retirement	X											
	Advantus Mort Sec			X														X		Retirement	X											
	Advantus Idx 500			X														X		Retirement	X											
	Merrill Lynch																															
	Blrk Intl B			X														X		Retirement	X											
	Blrk Sc Gr D B			X														X		Retirement	X											
	Blrk Lg Cp Val B			X														X		Retirement	X											
	Blrk Govt Inc B			X														X		Retirement	X											
	Rental Property					See				Below																						
	11011 N 92nd St #1051 Scott						X									X										X						

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Name Schweikert, David | Page 5 of 9

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability: A $10,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE				X						
SP	Chase	2001	Note - 11011 N 92nd				X						
	Sallie Mae	2003	Student Loan			X							
	Metro Phoenix Bank	2010	Note - Sheridan Equities			X							

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $335 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, focal meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $134 or less need not be added towards the $335 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$345
	N/A	

Use additional sheets if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Broker (Managing Member)	Sheridan Equities LLC -
Managing Member	Sheridan Equities Holdings LLC
Administrator	Scottsdale Eye Surgery - spouse -

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
11/2010	Sheridan Equities Holdings LLC	Sheridan Equities Holdings, LLC has An
		Agreement with Swartz + Brough, Inc for A
	Swartz + Brough inc.	Portion of Residual Equity on the Partnerships
		Formally managed/participated in by Sheridan Equities Holdings
		Now controlled by Swartz + Brough, Inc upon the Liquidation
		of Real Estate Holdings.

Use additional sheets if more space is required.

Name Schweikert, David | Page 7 of 9

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. **If only a portion of an asset is sold, please so indicate (*i.e.*, "partial sale").** See example below.

Capital Gains --- If a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Check Box if Capital Gain Exceeded $200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000
SP	*Example:* Mega Corporation Common Stock *(partial sale)*		X			10-12-10		X								
	N/A															

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SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	N/A						

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Name Schweikert, David | Page 9 of 9

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2010	$2,000
	XYZ Magazine	Article	Aug. 13, 2010	$500
	N/A			

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U.S. HOUSE OF REPRESENTATIVES
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MC

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

Form A
For use by Members, officers, and employees

Name: David Schweikert **Daytime Telephone:** 202 225 2190

Filer Status	[✓] Member of the U.S. House of Representatives — State: AZ District: 5 - 6	[ ] Officer or Employee	Employing Office:
Report Type	[✓] Annual (May 15, 2012)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [✓]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $350 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [✓]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [✓]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $350 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [✓]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [✓]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [✓]	No [ ]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [✓]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [✓]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [✓]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [✓]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes [ ]	No [✓]

Name Schweikert, David | Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Sheridan Equities LLC	Business	44,000
	Sheridan Equities Holdings LLC	Business	330,000
	Scottsdale Eye Surgery	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Schweikert, David | Page 3 of 9

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting threshold. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.

For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

BLOCK D — Amount of Income

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	
SP, DC, JT — Examples: SP Mega Corp. Stock					X									X			X						X								S (partial)
DC, Examples: Simon B. Schlatter		Indefinite																	Royalties									X			
JT, Examples: 1st Bank of Pollocan, KY Accounts						X									X										X						
Sheridan Equities Llc						X													Business							X					
Sheridan Equities Holdings									X										Business									X			S (Partial)
Deferred comp (HAZ)			See																												
Brown Capital Mgmt				X									X						Retirement	X											
American Century Equity				X									X						Retirement	X											

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Schweikert, David

Page 4 of 7

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P. S. E
	AZ State Retirement													X						Retirement	X											
	Putnam Hartford Voyager			X														X		Retirement	X											
	Putnam Growth/Income			X														X		Retirement	X											
	Minnesota Life					SEE BELOW																										
	W+R Target Growth			X														X		Retirement	X											
	Advantus Bond			X														X		Retirement	X											
	Advantus Mort sec			X														X		Retirement	X											
	Advantus Idx 500			X														X		Retirement	X											
	Merril Lynch																															
	BLKRK INTL D			X														X		Retirement	X											
	BLKRK SC GR II B			X														X		Retirement	X											
	BLKRK LG CP VAL B			X														X		Retirement	X											
	BLKRK GOVT INC B			X														X		Retirement	X											
	Rental Property					SEE BELOW																										
	11011 N 92nd St #1052 Scott						X									X										X						

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Name Schweikert, David | Page 5 of 9

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability: A $10,000-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE				X						
SP	Chase	2005	Note - 11011 N 92nd				X						
	Sallie Mae	2003	Student Loan			X							
	Metro Phoenix Bank	2010	Note - Sheridan Equities			X							
	ING Direct	2007	Deed of trust Personal Residence					X					

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $335 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $134 or less need not be added towards the $335 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph F. Smith, Anytown, Anystate	Silver Platter (determination on personal hospitality received from Committee on Standards)	$348
	N/A	

Use additional sheets if more space is needed.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Managing Member	Sheridan Equities LLC -
Managing Member	Sheridan Equities Holdings LLC
Administrator	Scottsdale Eye Surgery - Spouse -

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
11/2010	Sheridan Equities Holdings LLC	Sheridan Equities Holdings, LLC has an
		Agreement with Swartz + Brough, Inc for a
	Swartz + Brough inc.	Portion of Residual Equity on the Partnerships
		Formally managed/participated in by Sheridan Equities Holdings
		Now controlled by Swartz + Brough, Inc upon the Liquidation
		of Real Estate Holdings.

Use additional sheets if more space is required.

Name Schweikert, David | Page 1 of 1

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. **If only a portion of an asset is sold, please so indicate (*i.e.*, "partial sale").** See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Check Box if Capital Gain Exceeded $200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000
SP	*Example:* Mega Corporation Common Stock (partial sale)		X			10-12-10		X								
	N/A															

Name David Schweikert | Page 8 of 9

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Heritage Foundation RSC Retreat	Jan 27-29	Phx - La - Phx	Y	Y	Y	0
	Club For Growth	Mar 3-5	DC - PB - Phx	Y	Y	N	0
	American Israel Ed Foundation	Aug 13-21	Flights At Personal Expense	Y	Y	Y	7

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Name Schweikert, David | Page 9 of 9

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2010	$2,000
	XYZ Magazine	Article	Aug. 13, 2010	$500
	N/A			

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UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

Form A
For use by Members, officers, and employees

HAND DELIVERED

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2013 MAY 15 PM 12: 10
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Name: Schweikert, David **Daytime Telephone**

Filer Status	☑ Member of the U.S. House of Representatives — State: AZ District: 6	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15, 2013)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $350 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $350 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

IPO—Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes ☐	No ☑
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐	No ☑

Name Schweikert, David | Page 2 of 8

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Sheridan Equities LLC	Business	8,000
	Sheridan Equities Holdings LLC	Business	94,000
	Scottsdale Eye Surgery	spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Schweikert, David | Page 3 of 15

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For **all IRAs** and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For rental or other real property held for investment, provide a complete address or a description, *e.g.*, "rental property," and a city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.

For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

* This column is for assets held solely by your spouse or dependent child.

BLOCK C — Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments *or* that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "Tax-Deferred" column. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.** Check "None" if the asset generated no income during the reporting period.

BLOCK D — Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.** Check "None" if no income was earned or generated.

* This column is for income generated by assets held solely by your spouse or dependent child.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

SP / DC / JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST*	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	XII Spouse/DC Income over $1,000,000*	If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.
SP	Mega Corp. Stock					X										X			X																S (partial)
DC *Examples:*	Simon & Schuster		Indefinite																			Royalties									X				
JT	1st Bank of Paducah, KY Accounts						X											X										X							
	Sheridan Equities				X																	Business						X							
	Sheridan Equities Holdings									X												Business								X					
	Deferred comp "457"																																		
	Brown cap. mang				X										X							Ret	X												
	American Century Equity				X										X							Ret	X												

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Schweikert, David

Page 4 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000; M Spouse/DC Asset over $1,000,000*	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; TAX-DEFERRED; Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000; XII Spouse/DC Income over $1,000,000*	
	AZ State Retirement			Ret	
	Maricopa Co. Tax Lien	D X	NONE X; INTEREST X	I X	
	Putnam Voyager	D X	CAPITAL GAINS X	Ret I X	
	Putnam Growth/Incom	D X	NONE X; CAPITAL GAINS X	Ret I X	
	Minnesota Life —				
	W+R Target Growth	D X	CAPITAL GAINS X	Ret I X	
	Adventus Bond	C X	CAPITAL GAINS X	Ret I X	
	Adventus Mort	C X	CAPITAL GAINS X	Ret I X	
	Adventus Idx 500	D X; E L	CAPITAL GAINS X	Ret I X	
	Merril Lynch				
	BLKRK INTL B	C X	CAPITAL GAINS X	Ret I X	
	BLKRK SC GR B	D X	CAPITAL GAINS X	Ret I X	
	BLKRK LG CP VAL B	D X	CAPITAL GAINS X	Ret I X	
	BLKRK GOVT INC B	C X	CAPITAL GAINS X	Ret I X	

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SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Schweikert, David

Page 5 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000; M Spouse/DC Asset over $1,000,000*	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; TAX-DEFERRED; Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000; XII Spouse/DC Income over $1,000,000*	
	Rental Property				
	11011 N 92nd St #1057 Scottsdale AZ	F X	RENT X	VI X	

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SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability: A $10,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Spouse/DC Liability Over $ zero/zero*
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE				X							
SP	Chase	2005	NOTE - 11011 N 92nd				X							
	Sallie Mae	2003	Student Loan		X									
	Metro Phx Bank	2010	NOTE - Sheridan Eq				X							
	ING / Capital one	2007	NOTE - Residents					X						

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $350 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $140 or less need not be added towards the $350 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Ethics)	$375
	N/A	

Use additional sheets if more space is required.

Name Schweikert, David | Page 7 of 8

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. §7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Club for Growth	Mar 8-10	DC - PB - DC	Y	Y	Y	0
	Heritage Foundation - RSC	Jan 25-27	DC - PhL - DC	Y	Y	N	0

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SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Managing Member	Sheridan Equities LLC -
Managing Member	Sheridan Equities Holdings LLC
Administrator	Scottsdale Eye Surgery - Spouse -

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
11/2010	Sheridan Equities Holdings LLC	Sheridan Equities Holdings LLC has An
		Agreement with Swartz + Brough, Inc for A
	Swartz + Brough inc.	Portion of Residual Equity on The Partnerships
		Formally managed/participated in by Sheridan Equities Holdings
		Now controlled by Swartz + Brough, Inc upon The Liquidation
		of Real Estate Holdings.

Use additional sheets if more space is required.

HAND DELIVERED
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2014 MAY 15 PM 3:55
U.S. HOUSE OF REPRESENTATIVES
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(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES — Form A
2013 FINANCIAL DISCLOSURE STATEMENT — For Use by Members, Officers, and Employees

Name: Schweikert, David — Daytime Telephone: 202-225-2190

FILER STATUS	☑ Member of or Candidate for U.S. House of Representatives — State: AZ District: 6		☐ Officer or Employee — Employing Office:
REPORT TYPE	☑ 2013 Annual (Due: May 15, 2014)	☐ Amendment	☐ Termination Date:

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than $1,000 at the end of the reporting period? or b. Make more than $200 in unearned income from any reportable asset during the reporting period?	Yes ☑ No ☐	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes ☑ No ☐
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding $1,000 during the reporting period?	Yes ☑ No ☐	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than $350 in value from a single source during the reporting period?	Yes ☐ No ☑
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of $200 or more during the reporting period?	Yes ☑ No ☐	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than $350 in value from a single source during the reporting period?	Yes ☑ No ☐
D. Did you, your spouse, or your dependent child have any reportable liability (more than $10,000) at any point during the reporting period?	Yes ☑ No ☐	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes ☐ No ☑
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes ☑ No ☐	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes ☐	No ☑
TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? *Do not answer "yes" unless you have first consulted with the Committee on Ethics.*	Yes ☐	No ☑

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Schweikert, David | Page 2 of 10

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income and with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use only ticker symbols).

For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over $5,000, list every financial institution where there is more than $1,000 in interest bearing accounts.

For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period), and any financial interest in, or income derived from a federal retirement program, including the Thrift Savings Plan.

If you have a privately-traded fund that is an Excepted Investment Fund, please check the 'EIF' box.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.

For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.

BLOCK B — Value of Asset

Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."

*Column M is for assets held by your spouse or dependent child in which you have no interest.

BLOCK C — Type of Income

Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.

BLOCK D — Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.

*Column XII is for assets held by your spouse or dependent child in which you have no interest.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in the reporting period.

If only a portion of an asset was sold please indicate as follows: (S (part)).

Leave this column blank if there are no transactions that exceeded $1,000.

SP, DC, JT			EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/NEIGHBORHOOD TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Asset with Income over $1,000,000*	P, S, S(part) or E
Examples	SP	Mega Corp. Stock						X										X						Royalties				X									Spain
		Simon & Schuster				Indefinite																		Partnership Income													
		ASC Hedge Fund	X							X																											
	Sheridan Equities						X																	Business				X									
	Sheridan Holdings											X												Business							X						

Use additional sheets if more space is required.

SCHEDULE ~~III~~ A—ASSETS AND "UNEARNED" INCOME

Name Schweikert, David | Page 3 of 10

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.

For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

* This column is for assets held solely by your spouse or dependent child.

BLOCK C — Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "Tax-Deferred" column. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.** Check "None" if the asset generated no income during the reporting period.

BLOCK D — Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.** Check "None" if no income was earned or generated.

* This column is for income generated by assets held solely by your spouse or dependent child.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	XII Spouse/DC Income over $1,000,000*	P, S, E
SP	Examples:	SP	Mega Corp. Stock					X										X			X							X									S (partial)
DC			Simon & Schuster		Indefinite																			Royalties									X				
JT			1st Bank of Paducah, KY Accounts						X											X										X							
	Sheridan Equities						X																	Business						X							
	Sheridan Equities Holdings											X												Business								X					
	Deferred comp "457"																																				
	Brown cap. mang						X										X							Ret	X												
	American Century Equity						X										X							Ret	X												

For additional assets and unearned income, use next page.

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SCHEDULE A—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Schweikert, David

Page 4 of 10

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000; M Spouse/DC Asset over $1,000,000*	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; TAX-DEFERRED; Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000; XII Spouse/DC Income over $1,000,000*	SP, DC, JT
	AZ State Retirement		Ret		
	Maricopa CO. Tax Lien	D	NONE; INTEREST	Inc I	
	Putnam Voyager	D	CAPITAL GAINS	Ret I	
	Putnam Growth/Income	D	NONE; CAPITAL GAINS	Ret I	
	Minnesota Life —				
	W+R Target Growth	D	CAPITAL GAINS	Ret I	
	Advantus Bond	C	CAPITAL GAINS	Ret I	
	Advantus Mort	C	CAPITAL GAINS	Ret I	
	Advantus Idx 500	D, E	CAPITAL GAINS	Ret I	
	Merrill Lynch				
	BLKRK INTL B	C	CAPITAL GAINS	Ret I	
	BLKRK SC GR B	D	CAPITAL GAINS	Ret I	
	BLKRK LG CP Val B	D	CAPITAL GAINS	Ret I	
	BLKRK Govt Inc B	C	CAPITAL GAINS	Ret I	

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SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Schweikert, David

Page 5 of 10

BLOCK A Asset and/or Income Source			BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction
SP, DC, JT	ASSET NAME	EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $251,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify, e.g., Transaction income at Joint Income)	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Asset with Income over $1,000,000	P, S, S(part), or E
SP	Sheridan - Rentals								X									X												X						
	92nd Street -							X										X											X							

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: Schweikert, David

Page 6 of 10

Report any purchase, sale, or exchange transactions that exceeded $1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP SO DC*	Asset		Type of Transaction: Purchase	Sale	Partial Sale	Exchange	Check This if Capital Gain Exceeded $200	Date (MO/DA/YR or Quarterly Month, or Semi-Annually, if applicable)	Amount of Transaction: A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Asset)
SP	Example	Mega-Corp Stock			X		X	35/13		X									
	Sheridan Holdings - State property			X			X	8/13			X								

Use additional sheets if more space is required.

SCHEDULE C – EARNED INCOME

Name: Schweikert, David

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling $200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2013 limit on outside earned income for Members and employees compensated at or above the 'senior staff' rate was $26,955. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

	Source (include date of receipt for honoraria)	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$18,000
	Civil War Roundtable (Oct. 2)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	N/A
	Scottsdale Eye Surgery - Spouse -	Spouse Salary	N/A
	Sheridan Equities LLC	Business	3,000
	Sheridan Equities Holdings LLC	Business	64,000

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: Schweikert, David | Page 8 of 10

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or your dependent child. **Mark the highest amount owed during the reporting period.** **Members**: Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude**: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a *revolving charge account* (i.e., credit card) only if the balance at the close of reporting period exceeded $10,000.
*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability: A $10,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Liability)
	Example: First Bank of Wilmington DE	5/98	Mortgage on Rental Property, Dover DE				X							
SP	Chase	2005	NOTE 11011 N 92nd				X							
	Sallie Mae	2003	Student Loan		X									
	Metro Phx Bank	2010	Note - Sheridan Eq				X							
	Capital One	2007	Note - Residents					X						
	Wells Fargo	2013	Note - Residents					X						

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude**: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Managing Member	Sheridan Equities LLC
Managing Member	Sheridan Equities Holdings LLC
Administrator	Scottsdale Eye Surgery - SPOUSE -

Use additional sheets if more space is required.

SCHEDULE F – AGREEMENTS

Name: Schweikert, David | Page 9 of 10

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
11/2010	Sheridan Equities Holdings LLC	Sheridan Equities Holding LLC has an agreement with Swartz & Brough for a portion of Resiual Equity on the Partnership Formally managed/participated in by Sheridan Eq Now controlled by Swartz & Brough upon the completion of Real Estate Holding

SCHEDULE G – GIFTS

Report the source (including name, city, and state), a brief description, and the value of all gifts totaling more than $350 received by you, your spouse, or a dependent child from any source during the year. *Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you.* Gifts with a value of $140 or less need not be added towards the $350 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source		Description	Value
Example:	Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	$400
		N/A	

Use additional sheets if more space is required.

SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS

Name: Schweikert, David

Page 10 of 10

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $350 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Privately-sponsored travel approved by the Ethics Committee, if post-travel disclosure was filed with the Clerk; travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Source		Date(s)	City of Departure – Destination – City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Examples	Government of China (MECEA)	Aug 8-11	DC-Beijing China - DC	Y	Y	Y
	Habitat for Humanity (charity fundraiser)	Mar 3-4	DC-Boston-DC	Y	Y	Y
Heritage Foundation		2/6/13 - 2/8/13	DC to Cambridge MD - DC	Y	Y	N
Freedomworks		6/5/13 - 6/6/13	Phx - Salt Lake - Phx	Y	Y	N
Freedomworks		8/10/13 - 8/11/13	Phx - Aspen - Phx	Y	Y	N

Use additional sheets if more space is required.

DAVID SCHWEIKERT
6TH DISTRICT, ARIZONA

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WASHINGTON, DC 20515
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16803 NORTH HAYDEN ROAD
SUITE 108
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COMMITTEE ON SMALL BUSINESS

COMMITTEE ON SCIENCE, SPACE, AND TECHNOLOGY

RECEIVED
2014 JUL 11 PM 12:35
COMMITTEE ON ETHICS

Congress of the United States
House of Representatives
Washington, DC 20515–0306

2014 JUL 15 PM 12:42
U.S. HOUSE OF REPRESENTATIVES

July 11, 2014

The Honorable K. Michael Conaway
Chairman, House Committee on Ethics
1015 Longworth House Office Building
Washington, DC 20515

Mr. Chairman,

In response to your letter of Jun 12, 2014, I would like to provide the additional information requested.

As it pertains to the June 5, 2013 – June 6, 2013 trip to Salt Lake City to visit with FreedomWorks, I had submitted this line item in error. This trip was paid for using non-official funds. Please strike from my disclosure for the purposes of an amended record.

Additionally, as it pertains to the two line items in Schedule A, may I provide the city and state of Sheridan EquitiesLLC and Sheridan Equities Holdings LLC respectively: 15819 E Sycamore Dr., Fountain Hills, AZ 85268. Both assets are equity holders in residential rental partnerships/LLCs.

As it pertains to Schedule B, may I amend the current line item to read "Sheridan Equities Holdings LLC." The asset which was sold was a single residential property. This change resolves the inconsistency in language to Schedule A.

Finally, for Schedule C, please strike Sheridan Equities LLC and Sheridan Equities Holdings LLC as they are already listed on Schedule A, unearned income.

Sincerely,

David Schweikert
Member of Congress

COE.SCHWEIKERT.005170

UNITED STATES HOUSE OF REPRESENTATIVES
2014 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2015 MAY 15 PM 1:17 ML
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Name: Schweikert, David **Daytime Telephone:** 202 225 2190

FILER STATUS	☑ Member of or Candidate for U.S. House of Representatives — State: AZ District: 6	☐ Officer or Employee — Employing Office:	
REPORT TYPE	☑ 2014 Annual (Due: May 15, 2015)	☐ Amendment	☐ Termination — Date of Termination:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than $1,000 at the end of the reporting period? or b. Make more than $200 in unearned income from any reportable asset during the reporting period?	Yes ☑ No ☐	**F.** Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes ☑ No ☐
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding $1,000 during the reporting period?	Yes ☑ No ☐	**G.** Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than $375 in value from a single source during the reporting period?	Yes ☐ No ☑
C. Did you or your spouse have "earned" income (*e.g.*, salaries, honoraria, or pension/IRA distributions) of $200 or more during the reporting period?	Yes ☑ No ☐	**H.** Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than $375 in value from a single source during the reporting period?	Yes ☐ No ☑
D. Did you, your spouse, or your dependent child have any reportable liability (more than $10,000) at any point during the reporting period?	Yes ☑ No ☐	**I.** Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes ☐ No ☑
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes ☑ No ☐	**ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"**	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO -- Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes ☐ No ☑
TRUSTS -- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or your dependent child?	Yes ☐ No ☑
EXEMPTION -- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐ No ☑

18-2234_0370

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Schweikert, David

Page 2 of 10

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income and with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use only ticker symbols).

For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over $5,000, list every financial institution where there is more than $1,000 in interest-bearing accounts.

For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (*unless* there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you have a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.

For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.

BLOCK B — Value of Asset

Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."

*Column M is for assets held by your spouse or dependent child in which you have no interest.

BLOCK C — Type of Income

Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts.** Check "None" if the asset generated no income during the reporting period.

BLOCK D — Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts.** Check "None" if no income was earned or generated.

*Column XII is for assets held by your spouse or dependent child in which you have no interest.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in the reporting period.

If only a portion of an asset was sold, please indicate as follows: (S (part)).

Leave this column blank if there are no transactions that exceeded $1,000.

SP, DC, JT	Block A: Asset and/or Income Source	EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other (Type of Income (Specify: e.g., Partnership Income or Farm Income))	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Asset with Income over $1,000,000*	Block E: Transaction P, S, S(part), or E
Examples: SP	Mega Corp. Stock						X																													
	Simon & Schuster			Indefinite																			Royalties													
	ABC Hedge Fund	X							X														Partnership Income													
	Sheridan Equities				X																		Busin-S	X												
	Sheridan Holdings										X												Busin-S								X					

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Schweikert, David

Page 3 of 10

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction
SP, DC, JT	ASSET NAME	EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Asset with Income over $1,000,000*	P, S, S(part), or E
	Sheridan Equites				✓																		Business	X												
	Sheridan Holdings										✓												Business								✓					
	Deferred Comp.																																			
	-457 Plan-																																			
	Brown Cap					X										X							Ret	X												
	American Century-					X										X							Ret	X												
	AZ State Ret																						Ret													
	Minnesota Life																																			
	W+R Target Growth					X														X			Ret	X												
	Advantus Ind 500						X									X				X			Ret	X												
	Merril Lynch																																			
	BLKRK INTL					X										X				X			Ret	X												
	BLKRK LG CP VIP					X										X				X			Ret	X												
	Sheridan-Rentals							X										X													X	✓					

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: Schweikert David

Page 4 of 10

Report any purchase, sale, or exchange transactions that exceeded $1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset		Type of Transaction: Purchase	Sale	Partial Sale	Exchange	Check Box if Capital Gain Exceeded $200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Asset)
SP	Example	Mega Corp. Stock			X		X	3/5/14		X									
	Sheridan - Rentals - Lamar Willetta			✓			✓	7/14				✓							

Use additional sheets if more space is required.

SCHEDULE C – EARNED INCOME

Name: Schweikert David

Page 5 of 10

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling $200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2014 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was $26,955. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

	Source (include date of receipt for honoraria)	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$18,000
	Civil War Roundtable (Oct. 2)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	N/A
	Scottsdale Eye Surgery - Spouse -	spouse salary	N/A
	Sheridan Equities Holdings	Business	93,000

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: Schweikert David | Page 6 of 10

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or your dependent child. **Mark the highest amount owed during the reporting period. Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a ***revolving charge account*** (*i.e.*, credit card) only if the balance at the close of the reporting period exceeded $10,000.
*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability A $10,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
	Sallie Mae	2007	Student Loan		X									
	Metro Phx Bank	2010	Note - Sheridan Eq				V							
	Wells Fargo	2013	Note - Residents					V						

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Managing Member	Sheridan Equities LLC + Sheridan Equities Holdings LLC
Administrator (Spouse)	Scottsdale Eye Surgery

Use additional sheets if more space is required.

SCHEDULE F – AGREEMENTS

Name: Schweikert, David | Page 7 of 10

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
11/2010	Sheridan Equities Holdings LLC	Sheridan Equities Holding LLC has an agreement with Swartz + Brough for a portion of Residual Equity on the Partnership Formally managed / participated in by Sheridan Eq. - now controlled by Swartz + Brough upon the completion / sale.

SCHEDULE G – GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than $375 received by you, your spouse, or your dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $150 or less need not be added towards the $375 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source		Description	Value
Example:	Mr. Joseph Smith, Arlington, VA	*Silver Platter (determination of personal friendship received from the Ethics Committee)*	$400

Use additional sheets if more space is required.

SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS

Name:

Page 4 of 10

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $375 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

	Source	Date(s)	City of Departure – Destination – City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Examples:	Government of China (MECEA)	Aug. 6-11	DC-Beijing, China - DC	Y	Y	N
	Habitat for Humanity (charity fundraiser)	Mar. 3-4	DC-Boston-DC	Y	Y	Y

Use additional sheets if more space is required.

SCHEDULE I – PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name:

List the source, activity (*i.e.*, speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2014	$2,000
	XYZ Magazine	Article	Aug. 13, 2014	$500

N/A

Use additional sheets if more space is required.

FILER NOTES
(Optional)

Name: Schweikert David | Page 10 of 10

NOTE NUMBER	NOTES

Use additional sheets if more space is required.

HAND DELIVERED

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U.S. HOUSE OF REPRESENTATIVES

MC

June 9, 2015

Chairman Charles W. Dent
U.S. House of Representatives
Committee on Ethics
1015 Longworth House Office Building
Washington, DC 20515

Mr. Chairman:

I would like to submit the following additional information to my 2014 financial disclosure in response to your letter dated June 8, 2015. Additionally, I have revised pages two through five out of the 10 pages comprising my disclosure.

Sheridan Equities and Sheridan Equities Holdings are both located at my primary residence, 15819 E. Sycamore Drive, Fountain Hills, Arizona. The remaining assets of Sheridan Equities Holdings for the 2014 year were a single family residential rental and the agreement with Schwartz & Brough.

For Schedule B transactions, two of the three residential rentals were sold during 2014: the Lamar and Willetta properties. Sheridan Equities Holdings retained the 64th Street residential rental, which will show as sold in my 2015 financial disclosure. The 92nd Street residential rental was also sold in 2014 (shown on Schedule B).

There was a rebalancing of the family's 401(k) shown on Schedule B.

The Wells Fargo note and deed of trust are attached to our primary residence.

The State of Arizona retirement income is part of a defined benefit pension system extended to former elected officials with qualifying service.

Thank you,

HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES
2015 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

A $200 penalty shall be assessed against any individual who files more than 30 days late.

Name: Schweikert, David **Daytime Telephone:** 202-225-2190

FILER STATUS	☑ Member of or Candidate for U.S. House of Representatives — State: AZ District: 6	☐ Officer or Employee — Employing Office:	
REPORT TYPE	☐ 2015 Annual (Due: May 16, 2016)	☐ Amendment	☐ Termination — Date of Termination:

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than $1,000 at the end of the reporting period? or b. Make more than $200 in unearned income from any reportable asset during the reporting period?	Yes ☑ No ☐	**F.** Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes ☑ No ☐
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding $1,000 during the reporting period?	Yes ☐ No ☑	**G.** Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than $375 in value from a single source during the reporting period?	Yes ☐ No ☑
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of $200 or more during the reporting period?	Yes ☑ No ☐	**H.** Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than $375 in value from a single source during the reporting period?	Yes ☐ No ☑
D. Did you, your spouse, or your dependent child have any reportable liability (more than $10,000) at any point during the reporting period?	Yes ☑ No ☐	**I.** Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes ☐ No ☑
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes ☑ No ☐	**ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"**	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes ☐	No ☐
TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes ☐	No ☐
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐	No ☐

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Schweikert, David

Page 2 of 10

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income and with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use only ticker symbols).

For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over $5,000, list every financial institution where there is more than $1,000 in interest-bearing accounts.

For rental and other real property held for investment, provide a complete address or description, *e.g.*, "rental property," and a city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (*unless* there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you have a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.

For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.

BLOCK B — Value of Asset

Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."

*Column M is for assets held by your spouse or dependent child in which you have no interest.

BLOCK C — Type of Income

Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts.** Check "None" if the asset generated no income during the reporting period.

BLOCK D — Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts.** Check "None" if no income was earned or generated.

*Column XII is for assets held by your spouse or dependent child in which you have no interest.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in the reporting period.

If only a portion of an asset was sold, please indicate as follows: (S (part)).

Leave this column blank if there are no transactions that exceeded $1,000.

SP, DC, JT		EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/FUND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Note	I $1-$200	II $201-$1,000	III $1,001-$2,500	IV $2,501-$5,000	V $5,001-$15,000	VI $15,001-$50,000	VII $50,001-$100,000	VIII $100,001-$1,000,000	IX $1,000,001-$5,000,000	X Over $5,000,000	XI Spouse/DC Asset with Income over $1,000,000*	P, S, S(part), or E
Examples: SP	Mega Corp. Stock						X										X										X									S(part)
	Simon & Schuster				indefinite																		Royalties													
	ABC Hedge Fund	X							X														Partnership Income													
	Sheridan Equities				X																		Busin-ss	X												
	Sheridan Holdings										X												Busin-ss								X					

Use additional sheets if more space is required.

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SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Schweikert, David

Page 3 of 10

BLOCK A Asset and/or Income Source			BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction
			A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Asset with Income over $1,000,000*	P, S, S(part), or E
SP, DP, JT, DEP	ASSET NAME	EIF																																		
Sheridan Equities					✓																		Business	X												
Sheridan Holdings											✓												Business								✓					
Deferred Comp																																				
-457 Plan-																																				
Brown cap						X										X							Ret	X												
American Century-						X										X							Ret	X												
AZ State Ret.																							Ret													
Minnesota LIFE																																				
W+R Target Growth						X														X			Ret	X												
Advantus Ind 500							X									X				X			Ret	X												
Merril Lynch																																				
BLKRK INTL						X										X				X			Ret	X												
BLKRK LG CP VIP						X										X				X			Ret	X												
Sheridan- Rentals								X										X												X	✓					

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: Schweikert, David

Page 4 of 10

Report any purchase, sale, or exchange transactions that exceeded $1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction: Purchase	Sale	Partial Sale	Exchange	Check Box if Capital Gain Exceeded $200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Asset)
SP	Example: Mega Corp. Stock			X		X	3/5/15		X									
	None																	

Use additional sheets if more space is required.

SCHEDULE C – EARNED INCOME

Name: Schweikert, David | Page 5 of 10

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling $200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2015 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was $27,225. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

	Source (include date of receipt for honoraria)	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$18,000
	Civil War Roundtable (Oct. 2)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	N/A
	Scottsdale Eye Surgery - Spouse -	Spouse	N/A
	Sheridan Equities - Holdings	Business	5,000
	State of Arizona Retirement - EORP	Retirement	42,000

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: Schweikert, David | Page 6 of 10

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or your dependent child. **Mark the highest amount owed during the reporting period. Members**: Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude**: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a ***revolving charge account*** (*i.e.*, credit card) only if the balance at the close of the reporting period exceeded $10,000.
*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability A $10,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
	Sallie Mae	2003	Student Loan		✓									

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude**: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Managing Member	Sheridan Equities LLC + Sheridan Equities Holdings LLC
Administrator - Spouse	Scottsdale Eye Surgery

Use additional sheets if more space is required.

SCHEDULE F – AGREEMENTS

Name: Schweikert, David | Page 7 of 10

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
11/2010	Sheridan Equities Holdings LLC	Sheridan Equities Holding LLC has an agreement with Swartz + Brough for a portion of Residual Equity on the Partnership Formally managed / Participated in by Sheridan Eq. - Now controlled by Swartz + Brough upon the completion / sale.

SCHEDULE G – GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than $375 received by you, your spouse, or your dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $150 or less need not be added towards the $375 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source		Description	Value
Example:	Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	$400

Use additional sheets if more space is required.

SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS

Name: Schweikert, David

Page 6 of 10

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $375 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

	Source	Date(s)	City of Departure-Destination-City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Examples:	Government of China (MECEA)	Aug. 5-11	DC-Beijing, China-DC	Y	Y	N
	Habitat for Humanity (charity fundraiser)	Mar. 3-4	DC-Boston-DC	Y	Y	Y
	N/A					

Use additional sheets if more space is required.

SCHEDULE I – PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name: Schweikert, David

Page 9 of 10

List the source, activity (*i.e.*, speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2015	$2,000
	XYZ Magazine	Article	Aug. 13, 2015	$500
	N/A			

Use additional sheets if more space is required.

FILER NOTES
(Optional)

Name: Schweikert, David	Page 10 of 10

NOTE NUMBER	NOTES

Use additional sheets if more space is required.

Congress of the United States
Washington, DC 20515

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November 9, 2016

Chairman Charles W. Dent
U.S. House of Representatives
Committee on Ethics
1015 Longworth House Office Building
Washington, DC 20515

Mr. Chairman:

I would like to submit the following additional information to my 2015 financial disclosure in response to the additional information requested by the House Ethics Committee.

Sheridan Equities and Sheridan Equities Holdings are both located at my primary residence, 15819 E. Sycamore Drive, Fountain Hills, Arizona, 85268. Their only remaining asset(s) are subject to ongoing litigation, resulting from a compensation agreement for real estate services with Schwartz & Brough.

Schedule C should read "spouse salary" instead of just "spouse."

Schedule D should read "October 2003" instead of just "2003."

Please accept my appreciation for all the work that you and your team do to ensure that the House of Representatives operates is operating at the highest ethical standards of protocol.

Thank you,

David Schweikert

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UNITED STATES HOUSE OF REPRESENTATIVES 2016 FINANCIAL DISCLOSURE STATEMENT	Form A For Use by Members, Officers, and Employees

A $200 penalty shall be assessed against any individual who files more than 30 days late.

Name: Schweikert, David Daytime Telephone: 202 225 2190

FILER STATUS	[✓] Member of the U.S. House of Representatives — State: AZ District: 6	[ ] Officer or Employee — Employing Office:	Staff Filer Type: (If Applicable) Shared [ ] Principal Assistant [ ]
REPORT TYPE	[ ] 2016 Annual (Due: May 16, 2017)	[ ] Amendment	[ ] Termination — Date of Termination:

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependant child: a. Own any reportable asset that was worth more than $1,000 at the end of the reporting period? or b. Receive more than $200 in unearned income from any reportable asset during the reporting period?	Yes [✓] No [ ]	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes [✓] No [ ]
B. Did you, your spouse, or your dependant child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding $1,000 during the reporting period?	Yes [ ] No [✓]	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than $375 in value from a single source during the reporting period?	Yes [ ] No [✓]
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of $200 or more during the reporting period?	Yes [✓] No [ ]	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than $375 in value from a single source during the reporting period?	Yes [ ] No [✓]
D. Did you, your spouse, or your dependent child have any reportable liability (more than $10,000) at any point during the reporting period?	Yes [✓] No [ ]	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes [ ] No [✓]
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes [✓] No [ ]	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes [ ]	No [ ]
TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes [ ]	No [ ]
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes [ ]	No [ ]

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Schweikert, David | Page 2 of 10

BLOCK A — Assets and/or Income Sources

Identify (a) each asset held for investment or production of income and with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use only ticker symbols).

For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over $5,000, list every financial institution where there is more than $1,000 in interest-bearing accounts.

For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you have a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.

For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.

BLOCK B — Value of Asset

Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."

*Column M is for assets held by your spouse or dependent child in which you have no interest.

BLOCK C — Type of Income

Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.

BLOCK D — Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.

*Column XII is for assets held by your spouse or dependent child in which you have no interest.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in the reporting period.

If only a portion of an asset was sold, please indicate as follows: (S (part)).

Leave this column blank if there are no transactions that exceeded $1,000.

SP, DC, JT				EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Royalty, e.g., Partnership Income or Farm Income)	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Asset with Income over $1,000,000*	P, S, S(part), or E
	Examples:	SP	Mega Corp. Stock						X										X										X									S(part)
			Simon & Schuster				Indefinite																		Royalties			X										
			ABC Hedge Fund	X							X														Partnership Income					X								
	Sheridan Equities						X																		Business	X												
	Sheridan Holdings												X												Business						X							

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Schweikert, David

Page 3 of 10

BLOCK A Assets and/or Income Sources	BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction
	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Assets over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPT FROM BLIND TRUST	TAX DEFERRED	Other Type of Income (Specify: 401, Partnership Income or Farm Income)	A None	B $1-$200	C $201-$1,000	D $1,001-$2,500	E $2,501-$5,000	F $5,001-$15,000	G $15,001-$50,000	H $50,001-$100,000	I $100,001-$1,000,000	J $1,000,001-$5,000,000	K Over $5,000,000	L Spouse/DC Assets with Income over $1,000,000*	P, S, S(part), or E
SP, DC, JT — ASSET NAME (EIF)																																		
Sheridan Equities			✓																															
Sheridan Holdings									✓																									
Deferred Comp.																																		
457 Plan -																																		
Brown Cap.				X																														
American Century				X																														
AZ State Ret																																		
Minnesota Life																																		
W+R Target Growth				X																														
Advantus Ind 500					X																													
Merrill Lynch																																		
BLKRK INTL				X																														
BLKRK LG CP				X																														

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: Schweikert, David | Page 4 of 10

Report any purchase, sale, or exchange transactions that exceeded $1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset		Type of Transaction: Purchase	Sale	Partial Sale	Exchange	Check Box if Capital Gain Exceeded $200	Date (M/DD/YYYY or Quarterly, Monthly, or Bi-weekly, if applicable)	Amount of Transaction: A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Asset)
SP	Sample	Mega Corp. Stock			X		X	3/9/15		X									

NONE

Use additional sheets if more space is required.

SCHEDULE C – EARNED INCOME

Name: Schweikert, David

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling $200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2016 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was $27,225. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

Source (Include date of receipt for honoraria)	Type	Amount
Examples: Keynote Speech	Approved Travelling Fee	$4,000
Book of Maryland	Legislative Pension	$15,000
Civil War Roundtable (Oct. 2)	Speaker Stipend	$1,000
Delgado County Board of Education	Spouse Salary	N/A
Scottsdale Eye Surgery - Spouse -	Spouse	N/A
Sheridan Equities - Holdings	Business	5,000.
State of Arizona Retirement - EORP	Retirement	52,000

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: Schweikert, David | Page 6 of 10

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a *revolving charge account* (i.e., credit card) only if the balance at the close of the reporting period exceeded $10,000.
*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	A $10,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/99	Mortgage on Rental Property, Dover, DE				X							
	Sallie Mae	2003	Student Loan		✓									

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Managing Member	Sheridan Equities LLC + Sheridan Equities Holdings LLC
Administrator - Spouse	Scottsdale Eye Surgery

Use additional sheets if more space is required.

SCHEDULE F – AGREEMENTS

Name: Schweikert, David | Page 7 of 10

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
11/2010	Sheridan Equities Holdings LLC	Sheridan Equities Holdings LLC has an agreement with Swartz + Brough for a portion of Residual Equity on the Partnership Formally managed / participated in by Sheridan Eq. - Now controlled by Swartz + Brough upon the completion / sale.

SCHEDULE G – GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than $375 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $150 or less need not be added towards the $375 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source		Description	Value
Example:	Mr. Joseph Smith, Arlington, VA	Silver Plaque (determination of personal friendship received from the Ethics Committee)	$400

Use additional sheets if more space is required.

SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS

Name: Schweikert, David | Page 8 of 10

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $375 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Source		Date(s)	City of Departure-Destination-City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Example:	Government of China (MECEA)	Aug. 6-11	DC-Beijing, China-DC	Y	Y	N
	Habitat for Humanity (Family Lumbaker)	Mar. 3-4	DC-Boston-DC	Y	Y	Y
N/A						

Use additional sheets if more space is required.

SCHEDULE I – PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name: Schweikert, David

Page 9 of 10

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2015	$2,000
	XYZ Magazine	Article	Aug. 13, 2015	$500
	N/A			

Use additional sheets if more space is required.

FILER NOTES
(Optional)

Name: Schweikert, David | Page 10 of 10

NOTE NUMBER	NOTES

Use additional sheets if more space is required.

HAND DELIVERED

Page 1 of ___

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UNITED STATES HOUSE OF REPRESENTATIVES
2016 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

Name: Schweikert, David **Daytime Telephone:** 202 225 2190

A $200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	☑ Member of the U.S. House of Representatives — State: AZ District: 6	☐ Officer or Employee — Employing Office:	Staff Filer Type: (If Applicable) Shared ☐ Principal Assistant ☐
REPORT TYPE	☑ 2016 Annual (Due: May 15, 2017)	☑ Amendment	☐ Termination — Date of Termination:

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than $1,000 at the end of the reporting period? or b. Receive more than $200 in unearned income from any reportable asset during the reporting period?	Yes ☑ No ☐	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes ☑ No ☐
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding $1,000 during the reporting period?	Yes ☐ No ☑	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than $375 in value from a single source during the reporting period?	Yes ☐ No ☑
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of $200 or more during the reporting period?	Yes ☑ No ☐	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than $375 in value from a single source during the reporting period?	Yes ☐ No ☑
D. Did you, your spouse, or your dependent child have any reportable liability (more than $10,000) at any point during the reporting period?	Yes ☑ No ☐	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes ☐ No ☑
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes ☑ No ☐	**ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"**	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes ☐ No ☑
TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes ☐ No ☑
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐ No ☑

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SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Schweikert, David | Page 2 of 11

BLOCK A — Assets and/or Income Sources

Identify (a) each asset held for investment or production of income and with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use only ticker symbols).

For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over $5,000, list every financial institution where there is more than $1,000 in interest-bearing accounts.

For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you have a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.

For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.

BLOCK B — Value of Asset

Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."

*Column M is for assets held by your spouse or dependent child in which you have no interest.

BLOCK C — Type of Income

Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts.** Check "None" if the asset generated no income during the reporting period.

BLOCK D — Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts.** Check "None" if no income was earned or generated.

*Column XII is for assets held by your spouse or dependent child in which you have no interest.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in the reporting period.

If only a portion of an asset was sold, please indicate as follows: (S (part)).

Leave this column blank if there are no transactions that exceeded $1,000.

SP, DC, JT	Examples:		EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Asset with Income over $1,000,000*	P, S, S(part), or E
	SP	Mega Corp. Stock						X										X										X									S(part)
		Simon & Schuster				Indefinite																		Royalties			X										
		ABC Hedge Fund	X							X														Partnership Income					X								
	Sheridan Equities LLC Real Estate Fountain Hills, AZ					✓													✓					Business	X												
	Sheridan Equities Holdings LLC Real Estate Fountain Hills, AZ											✓							✓		✓			Business													
DC	AZ 529 Fidelity					✓																	✓														

* Growth Fund

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Schweikert, David

Page 3 of 11

BLOCK A Assets and/or Income Sources			BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction
SP, DC, JT	ASSET NAME	EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Asset with Income over $1,000,000*	P, S, S(part), or E
	457 Plan																																			
	Deferred Comp																																			
	Maricopa County																																			
	Brown Cap					✓																✓														
	American Century					✓																✓														
	Minnesota Life																																			
	W+R Target Growth					✓																✓														
	Advantys Ind 500						✓															✓														
	Merrill Lynch																																			
	BLKRK Intl					✓																✓														
	BLKRK LG C P					✓																✓														
	AZ State Ret					✓	✓															✓														
	[redacted] EORP																																			

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: Schweikert, David | Page 4 of 11

Report any purchase, sale, or exchange transactions that exceeded $1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset		Type of Transaction: Purchase	Sale	Partial Sale	Exchange	Check Box if Capital Gain Exceeded $200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Asset)
SP	Example	Mega Corp. Stock			X		X	3/9/16		X									

NONE

Use additional sheets if more space is required.

SCHEDULE C – EARNED INCOME

Name: Schweikert, David | Page 5 of 14

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling $200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2015 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was $27,225. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

Source (include date of receipt for honoraria)		Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$18,000
	Civil War Reunitable (Oct. 2)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	N/A
Scottsdale Eye Surgery - Spouse -		Spouse	N/A
Sheridan Equities - Holdings		Business	5,000.
State of Arizona Retirement - EORP		Retirement	52,000

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: Schweikert, David | Page 6 of 11

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a *revolving charge account* (i.e., credit card) only if the balance at the close of the reporting period exceeded $10,000.
*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability: A $10,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/96	Mortgage on Rental Property, Dover, DE				X							
	Sallie Mae	2003	Student Loan		✓									

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Managing Member	Sheridan Equities LLC + Sheridan Equities Holdings LLC
Administrator - Spouse	Scottsdale Eye Surgery

Use additional sheets if more space is required.

SCHEDULE F – AGREEMENTS

Name: Schweikert, David | Page 7 of 11

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
11/2010	Sheridan Equities Holdings LLC Fountain Hills, AZ	Sheridan Equities Holding LLC has an agreement with Swartz + Brough for a portion of Residual Equity on the Partnership Formally managed / Participated in by Sheridan Eq. - Now controlled by Swartz + Brough upon the completion / sale.

SCHEDULE G – GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than $375 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $150 or less need not be added towards the $375 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source		Description	Value
Example:	Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	$400

Use additional sheets if more space is required.

SCHEDULE F – AGREEMENTS

Name: Schweikert, David | Page 8 of 11

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
	Maricopa County - Deferred Compensation - Nationwide	County Sponsored 457 Plan

SCHEDULE G – GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than $375 received by you, your spouse, or your dependent child from any source during the year. **Exclude**: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $150 or less need not be added towards the $375 disclosure threshold. **Note**: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source		Description	Value
Example:	Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	$400

Use additional sheets if more space is required.

SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS

Name: Schweikert, David

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $375 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

	Source	Date(s)	City of Departure-Destination-City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Examples:	Government of China (MECEA)	Aug. 6-11	DC-Beijing, China-DC	Y	Y	N
	Habitat for Humanity (charity fundraiser)	Mar. 3-4	DC-Boston-DC	Y	Y	Y
	N/A					

Use additional sheets if more space is required.

SCHEDULE I – PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name: Schweikert, David

List the source, activity (*i.e.*, speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2016	$2,000
	XYZ Magazine	Article	Aug. 13, 2016	$500

N/A

Use additional sheets if more space is required.

FILER NOTES
(Optional)

Name: Schweikert, David	Page 11 of 11

NOTE NUMBER	NOTES

Use additional sheets if more space is required.

Filing ID #10024343

Financial Disclosure Report

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

Filer Information

Name: Hon. David Schweikert
Status: Member
State/District: AZ06

Filing Information

Filing Type: Annual Report
Filing Year: 2017
Filing Date: 08/13/2018

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > $1,000?
457(B) PLAN ⇒ BARON GR INST [MF]		$15,001 - $50,000	Tax-Deferred	☑
457(B) PLAN ⇒ LOOMIS VAL N [MF] Description: PREVIOUSLY LISTED AS 457 PLAN DEFERRED COMP MARICOPA COUNTY		$15,001 - $50,000	Tax-Deferred	☑
529 ⇒ AZ PORTFOLIO 2033 (FIDELITY INDEX) [MF] Description: PREVIOUSLY LISTED AS AZ 529 FIDELITY		$1,001 - $15,000	Tax-Deferred	☐
BUSINESS HOLDINGS ⇒ SHERIDAN EQUITIES HOLDINGS LLC [OL] Location: FOUNTAIN HILLS, AZ, US Description: REAL ESTATE BUSINESS		$1,000,001 - $5,000,000	None	☐
BUSINESS HOLDINGS ⇒ SHERIDAN EQUITIES LLC [OL] Location: FOUNTAIN HILLS, AZ, US Description: REAL ESTATE BUSINESS		$1,001 - $15,000	None	☐
INSURANCE ⇒ SFT IVY GROWTH [MF]	SP	$15,001 - $50,000	None	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DESCRIPTION: MINNESOTA LIFE VARIABLE ASSETS					
INSURANCE ⇒ SFTADV IDX 500 C2 [MF]	SP	$1,001 - $15,000	None		☐
DESCRIPTION: MINNESOTA LIFE VARIABLE ASSETS PREVIOUSLY LISTED AS ADVANTUS IND 500					
INSURANCE ⇒ SFTADV MTG SEC C2 [MF]	SP	$1,001 - $15,000	None		☐
DESCRIPTION: MINNESOTA LIFE VARIABLE ASSETS					
INSURANCE ⇒ STADV BOND C2 [MF]	SP	$1,001 - $15,000	None		☐
DESCRIPTION: MINNESOTA LIFE VARIABLE ASSETS					
SESC - 401(K) ⇒ BlackRock Advantage Large Cap Val Inv A (MDLVX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☐
DESCRIPTION: PREVIOUSLY LISTED AS BLKRK LG C P					
SESC - 401(K) ⇒ BlackRock Global Allocation Inv A (MDLOX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
SESC - 401(K) ⇒ BlackRock International Inv A (MDILX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☐
DESCRIPTION: PREVIOUSLY LASTED AS BLKRK INTL					
SESC - 401(K) ⇒ BlackRock Small Cap Growth II Inv A (MDSWX) [MF]	SP	$50,001 - $100,000	Tax-Deferred		☑
SESC - 401(K) ⇒ BlackRock US Government Bond Inv A (CIGAX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
457(B) PLAN ⇒ BARON GR INST [MF]		11/28/2017	P	$1,001 - $15,000	
DESCRIPTION: REINVESTED CAPITAL GAIN					
457(B) PLAN ⇒ LOOMIS VAL N [MF]		12/13/2017	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DESCRIPTION: REINVESTED CAPITAL GAIN					
SESC - 401(K) ⇒ BlackRock Global Allocation Inv A (MDLOX) [MF] DESCRIPTION: REINVEST DIVIDENDS	SP	12/19/2017	P	$1,001 - $15,000	
SESC - 401(K) ⇒ BlackRock Small Cap Growth II Inv A (MDSWX) [MF] DESCRIPTION: REINVEST DIVIDENDS	SP	12/4/2017	P	$1,001 - $15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
SCOTTSDALE EYE SURGERY	SPOUSE SALARY	N/A
PUBLIC SAFETY PERSONNEL RETIREMENT SYSTEM	RETIREMENT	$46,558.00

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	CANYON STATE CREDIT UNION	JAN 2017	HOME EQUITY LOAN	$50,001 - $100,000
	SALLIE MAE/NAVIENT	JAN 2004	STUDENT LOANS	$15,001 - $50,000
SP	AADVANTAGE MASERCARD	12/31/2017	REVOLVING CHARGE ACCOUNT	$10,000 - $15,000
	CANYON STATE CU MASTERCARD	12/31/2017	REVOLVING CHARGE ACCOUNT	$10,000 - $15,000
	COSTCO CITIBANK VISA	12/31/2017	REVOLVING CHARGE ACCOUNT	$15,001 - $50,000

Schedule E: Positions

Position	Name of Organization
MANAGING MEMBER	SHERIDAN EQUITIES LLC
MANAGING MEMBER	SHERIDAN EQUITIES HOLDINGS LLC

Schedule F: Agreements

Date	Parties To	Terms of Agreement
November 2010	SHERIDAN EQUITIES HOLDNGS	SHERIDAN EQUITIES HOLDNGS LLC HAS AN AGREEMENT WITH SWARTZ & BROUGH FOR A PORTION OF RESIDENT EQUITY ON THE PARTNERSHIP FORMALLY MANAGED/PARTICIAPTED IN BY SHERIDAN EQUITIES. NOW CONTROLLED BY SWARTZ & BROUGH UPON THE COMPLETION ISSUE.
January 2004	ME AND MARICOPA COUNTY	COUNTY SPONSORED 527 PLAN.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

	Trip Details				Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
THE CLUB FOR GROWTH	03/17/2017	03/18/2017	WASHINGTON, DC - PALM BEACH, FL - PHOENIX, AZ	0	☑	☑	☐

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- 457(B) PLAN
- 529
  Location: AZ
- BUSINESS HOLDINGS
  Location: US
- INSURANCE (Owner: SP)
- SESC - 401(K) (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or

dependent child because they meet all three tests for exemption?

○ Yes ● No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. David Schweikert , 08/13/2018

EXHIBIT 11

AZ Corp. Commission
02732908

DO NOT PUBLISH THIS SECTION

AZ CORPORATION COMMISSION
FILED

MAR 3 1 2009

FILE NO. L-1516383-6

ARTICLES OF ORGANIZATION

A.R.S. §29-632

ARTICLE 1
The company name must contain an ending which may be "limited liability company," "limited company," or the abbreviations "L.L.C.", "L.C.", "LLC" or "LC". If you are the holder or assignee of a tradename or trademark, attach Declaration of Tradename Holder form.

1. Name. The name of the limited liability company is:

SHERIDAN EQUITIES HOLDINGS, LLC

ARTICLE 2
May be in care of the statutory agent.

2. Known Place of Business. The address of the company's known place of business in Arizona is:

15749 E. EL LAGO BLVD.
FOUNTAIN HILLS, AZ 85268-3901

ARTICLE 3
The statutory agent must provide a street address. If statutory agent has P.O. Box, then they must also provide a street address/location.
The agent must sign the Articles or provide a consent to acceptance of appointment.

3. Statutory Agent. (In Arizona) The name and street address of the statutory agent of the company is:

DAVID S. SCHWEIKERT
[redacted]

Acceptance of Appointment By Statutory Agent

I DAVID S. SCHWEIKERT (Printed Name), having been designated to act as Statutory Agent, hereby consent to act in that capacity until removed or resignation is submitted in accordance with the Arizona Revised Statutes.

The agent must consent to the appointment by executing the consent.

[redacted]
Signature of Statutory Agent

[If signing on behalf of a company serving as statutory agent, print company name here]

ARTICLES 4
Complete this section only if you desire to select a date or occurrence when the company will dissolve. If perpetual duration is desired, leave this section blank.

4. Dissolution. The latest date, if any, on which the limited liability company must dissolve is:

PERPETUAL

DO NOT PUBLISH THIS SECTION
ARTICLE 5
Check which management structure will be applicable to your company. Provide name, title and address for each person.

5. Management.

☑ Management of the limited liability company is **vested in a manager or managers.** The names and addresses of each person who is a manager AND each member who owns a twenty percent or greater interest in the capital or profits of the limited liability company are:

Name: DAVID S. SCHWEIKERT
[ ] member [✓] manager
[ ] member [ ] manager

Address: [redacted]

City, State, Zip: [redacted]

Name:
[ ] member [ ] manager
[ ] member [ ] manager

Address:

City, State, Zip:

☐ Management of the limited liability company is **reserved to the members.** The names and addresses of each person who is a member are:

Name:
[ ] member
[ ] member

Address:

City, State, Zip:

Name:
[ ] member
[ ] member

Address:

City, State, Zip:

The person(s) executing this document need not be manager or member(s) of the company.

EXECUTED this 24th day of March, 2009.

William E. Conner - Attorney
Signature
Signature

Your fax and phone number is optional.

WILLIAM E. CONNER - ATTORNEY
[Print Name Here]
[Print Name Here]

PHON[redacted]
FAX [redacted]

LLC0004
Rev 09/04
2/02

See A.R.S. §29-601 et seq. for more info.

COE.SCHWEIKERT.005220

18-2234_0420

Entity Information

Search Date and Time:
8/29/2018 12:30:33 PM

Entity Details

Entity Name:
SHERIDAN EQUITIES HOLDINGS, LLC

Entity ID:
L15163836

Entity Type:
Domestic LLC

Entity Status:
Active

Formation Date:
3/31/2009

Reason for Status:
In Good Standing

Approval Date:
4/3/2009

Status Date:

Original Incorporation Date:
3/31/2009

Life Period:
Perpetual

Business Type:

Last Annual Report Filed:

Domicile State:
AZ

Privacy Policy (http://www.azcc.gov/Divisions/Administration/Privacy.asp) | Contact Us (http://www.azcc.gov/divisions/corporations/contact-us.asp)

Annual Report Due Date:

Years Due:

Original Publish Date:

4/17/2009

Statutory Agent Information

Name:

DAVID S SCHWEIKERT

Appointed Status:

Active

Attention:

Address:

[redacted]

Agent Last Updated:

5/20/2009

E-mail:

Attention:

Mailing Address:

County:

Principal Information

Title	Name	Attention	Address	Date of Taking Office	Last Updated
Manager	DAVID S SCHWEIKERT		[redacted]	3/31/2009	4/3/2009

Page 1 of 1, records 1 to 1 of 1

Entity Known Place of Business

Attention:

Address: 15749 E EL LAGO RD, FOUNTAIN HILLS, AZ, 85268, USA

County: Maricopa

Last Updated:

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Entity Principal Office Address

Attention:

Address:

County:

Last Updated:

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EXHIBIT 12

AZ CORPORATION COMMISSION
FILED

AUG 02 2006

FILE NO. L-1302354-1

01695698

DO NOT PUBLISH THIS SECTION

ARTICLE 1
The company name must contain an ending which may be "limited liability company," "limited company," or the abbreviations "L.L.C.", "L.C.", "LLC" or "LC". If you are the holder or assignee of a tradename or trademark, attach Declaration of Tradename Holder form.

ARTICLE 2
May be in care of the statutory agent.

ARTICLE 3
The statutory agent must provide a street address. If statutory agent has P.O. Box, then they must also provide a street address/location.
The agent must sign the Articles or provide a consent to acceptance of appointment.

The agent must consent to the appointment by executing the consent.

ARTICLES 4
Complete this section only if you desire to select a date or occurrence when the company will dissolve. If perpetual duration is desired, leave this section blank.

ARTICLES OF ORGANIZATION

A.R.S. §29-632

1. Name. The name of the limited liability company is:

   Sheridan Equities LLC

2. Known Place of Business. The address of the company's known place of business in Arizona is:

   15749 E. El Lago Blvd
   Fountain Hills AZ 85268

3. Statutory Agent. (In Arizona) The name and street address of the statutory agent of the company is:

   Joyce R Noone
   [redacted]

Acceptance of Appointment By Statutory Agent

I Joyce R Noone (Printed Name), having been designated to act as Statutory Agent, hereby consent to act in that capacity until removed or resignation is submitted in accordance with the Arizona Revised Statutes.

[redacted]
Signature of Statutory Agent

[If signing on behalf of a company serving as statutory agent, print company name here]

4. Dissolution. The latest date, if any, on which the limited liability company must dissolve is:

   Perpetual.

DO NOT PUBLISH THIS SECTION
ARTICLE 5
Check which management structure will be applicable to your company. Provide name, title and address for each person.

5. Management. L-1302354-1

☑ Management of the limited liability company is **vested in a manager or managers.** The names and addresses of each person who is a manager AND each member who owns a twenty percent or greater interest in the capital or profits of the limited liability company are:

Name:	David Schweikert	
	[ ] member [X] manager	[ ] member [ ] manager
Address:	[redacted]	
City, State, Zip:	[redacted]	
Name:	[ ] member [ ] manager	[ ] member [ ] manager
Address:		
City, State, Zip:		

☐ Management of the limited liability company is **reserved to the members.** The names and addresses of each person who is a member are:

Name:	[ ] member	[ ] member
Address:		
City, State, Zip:		
Name:	[ ] member	[ ] member
Address:		
City, State, Zip:		

The person(s) executing this document need not be manager or member(s) of the company.

EXECUTED this 1 day of August, 2006.

[redacted]

[Signature] [Signature]

David Schweikert
[Print Name Here] [Print Name Here]

Your fax and phone number is optional.

PHONE _____ FAX _____

LL:0004
Rev. 09/05

See A.R.S. §29-601 et seq. for more info.

Entity Information

Search Date and Time:
8/29/2018 12:29:16 PM

Entity Details

Entity Name:
SHERIDAN EQUITIES LLC

Entity ID:
L13023541

Entity Type:
Domestic LLC

Entity Status:
Active

Formation Date:
8/2/2006

Reason for Status:
In Good Standing

Approval Date:
8/2/2006

Status Date:

Original Incorporation Date:
8/2/2006

Life Period:
Perpetual

Business Type:

Last Annual Report Filed:

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Domicile State:
AZ

Annual Report Due Date:

Years Due:

Original Publish Date:

8/23/2006

Statutory Agent Information

Name:

JOYCE R NOONE

Appointed Status:

Active

Attention:

Address:

[redacted]

Agent Last Updated:

9/18/2006

E-mail:

Attention:

Mailing Address:

County:

Principal Information

Title	Name	Attention	Address	Date of Taking Office	Last Updated
Manager	DAVID SCHWEIKERT		[redacted]	8/2/2006	8/8/2006

Page 1 of 1, records 1 to 1 of 1

Entity Known Place of Business

Attention:

Address: 15749 E EL LAGO BLVD, FOUNTAIN HILLS, AZ, 85268, USA

County: Maricopa

Last Updated:

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Entity Principal Office Address

Attention:

Address:

County:

Last Updated:

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EXHIBIT 13

METRO PHOENIX BANK

Loan Presentation – Short Form

Borrower Name(s) David & Joyce Schweikert Sheridan Equities Holdings, LLC	Address: [redacted] City [redacted] Zip: [redacted] Phone: Tax ID:	Date: 7/19/10 Loan Officer: RAS Referral Source: Stevenson
Relationship Name(s)	Entity Type: Individual, LLC Commercial Real Estate Loan No CRA Gross Rev. / Inc: NA CRA Loan No NAICS Code: OFAC Date: 6/28/10	Reg O ☐ Yes ☒ No Yr. Bus. Est.: NA Customer Since: New

Loan Request

#	Loan Type	Coll. Code	Loan Grade	COMMITMENT New	Renew	Total	Current Loan Balance	Maturity/Terms	Pricing/Rate& Fee
1	RLOC	13	4	75,000	0	75,000	0	12 month maturity with monthly interest only payments. Principal balance is due at maturity	WSJ P+2% Floor of 7%. $750 doc fee
2									
3									
4									
			Subtotal	75,000	0	75,000		Other Direct Com	0
Total Commitment – Borrower				75,000	**Related Debt Commitment**		0	**Total Relationship Commitment**	75,000

#	Credit Purpose	Codes: Pur	Fed	Prod
1	Provide a RLOC to be used for marketing Mr. Schweikert's political campaign	12	131	230
2				

Collateral

#	Description of Collateral	Value	Source	Sr. Liens	LTV%	LTC%
1	1st DOT on three rental properties owned by Mr. & Mrs. Schweikert. See page 2 for details.	273,000	Zillow	None	27%	54%

#	Primary Source of Repayment	Secondary Source of Repayment
1	Personal cash flow	Liquidation of collateral

#	Guarantor(s)	F/S Date	Liq.assets	NW	Adj NW	Credit Score
	NA					

#	Related Entities	Deposits 12 Mos. Avg.	Deposits 12 Mos. Proj.
	NA		

#	Policy Exceptions	Mitigants
	None noted	

Approval:	Date:		Date:
Recommending Officer: Rickard Stro[redacted]	7/20/10	Ap[redacted]	
Recommending Officer:		[redacted]	7/21/10

Borrower: David Schweikert is a local real estate investor and was referred to the bank by Michael Stevenson. Mr. Schweikert has served the Maricopa Treasurer. Prior to being elected County Treasurer, David represented Scottsdale/Fountain Hills in the Arizona State House of Representatives. David also chaired the State Board of Equalization where he oversaw billions of dollars in valuation and tax protests from Arizona citizens and businesses. David holds an MBA from the ASU WP Carey Executive Program and received a BA from the ASU School of Business with a focus in finance and real estate. David has traveled extensively throughout India, East Asia and Eastern Europe. David and his wife Joyce reside in Fountain Hills where together they run a real estate business.

Loan Purpose: As Mr. Schweikert is running for congress there is a possibility that he needs additional funds for his marketing campaign. He has requested a RLOC for $75,000 secured by 3 rental properties that he and his wife owns free and clear.

Repayment Sources:

PSOR: Personal cash flow.

SSOR: Liquidation of collateral.

Collateral: The bank will have a 1st DOT on 3 separate rental homes owned by Mr. & Mrs. Schweikert. Details of the three homes are as follows:

House 1:
Address: 3338 E. Willetta St., Phoenix, AZ 85008
Acquisition date: April, 2009
Size: 1,153 SF
Purchase price: $27,000
Improvement Cost: $15,821
Total Cost: $42,821
Zillow Value: $136,500

House 2:
Address: 3031 N. 64th Pl., Phoenix, AZ 85033
Acquisition date: March, 2009
Size: 1,473 SF
Purchase price: $36,000
Improvement Cost: $7,688
Total Cost: $43,688
Zillow Value: $69,500

House 3:
Address: 6413 W Lamar Rd., Glendale, AZ 85301
Acquisition date: March, 2009
Size: 1,356 SF
Purchase price: $36,550
Improvement Cost: $15,021
Total Cost: $51,571
Zillow Value: $77,000

Total Cost of the 3 homes: $138,080

Total Zillow value of the 3 homes: $273,000

LTC: 54%
LTV: 27%

The bank appears well secured with the 3 homes.

Borrower Analysis:
Mr. & Mrs. Schweikert have provided the bank with a PFS dated 7/13/10 and it reports total assets of $2,589,630 with $75,630 in liquidity. Net worth is $2,020,530. The bank has also obtained personal tax returns for years 2008 and 2009. Mr. & Mrs. Schweikert report personal cash flow of $97,819 and $118,394 for the years 2008 and 2009.

The bank obtained a credit report for David & Joyce Schweikert on 6/28/10. The FICO score reported was 759 and 767 respectively. No current derogatory information was noted. Old trade as of 11-96 and 1-85.

Recommendation: It is recommended to approve the subject loan request as presented with a risk rating of 4. The borrowers report sufficient personal cash flow to service the subject loan and they also report solid net worth with very manageable debt load. The bank is well secured at a LTC of 54% and LTV of 27%.

Credit Covenants

Loan-to-Value:
At all times during the term of the Loan, the unpaid principal balance of the Loan shall not exceed seventy percent (70%) of the value of the Collateral Property, as determined by Lender in Lender's sole discretion. If for any reason the loan-to-value ratio exceeds said percentage, then Borrower shall, upon Lender's demand, immediately reduce the unpaid principal balance of the Loan, or deposit sufficient sums with Lender to reduce the loan-to-value ratio to at or below said percentage.

Appraisals:
If deemed necessary by Lender or if required by law, Lender shall have the right to order appraisal(s) of the Collateral Property from time to time from an appraiser selected by Lender, which appraisals shall comply with all federal and state standards for appraisals and otherwise shall be satisfactory to Lender in all material respects. Borrower agrees to pay the cost and expense for all appraisals and reviews thereof ordered by Lender pursuant to this paragraph.

Reporting Covenants

	Borrower's Financial Statements	Freq	Next Stmt	Tickler Date	Due Date	Comments
	Type: FYE Type: None Other: _____ Other: _____					

Documentation Requirements

- ☒ Standard Laser Pro Documents
- ☐ Accounts Receivable / Inventory Addendum
- ☐ Other – Outside Legal Counsel
- ☐ Other –

#	Conditions Precedent:

#	Modifications / Approval Conditions:

Borrower:

MPB_0612

PROMISSORY NOTE

Principal	Loan Date	Maturity	Loan No	Call / Coll	Account	Officer	Initials
$75,000.00	07-30-2010	08-05-2011	[redacted]	123 / 13		RAS	BLS

References in the boxes above are for Lender's use only and do not limit the applicability of this document to any particular loan or item.
Any item above containing "****" has been omitted due to text length limitations.

COPY

Borrower: Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert
[redacted]

Lender: Metro Phoenix Bank
Main Office
4666 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

Principal Amount: $75,000.00 **Date of Note: July 30, 2010**

PROMISE TO PAY. Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert ("Borrower") jointly and severally promise to pay to Metro Phoenix Bank ("Lender"), or order, in lawful money of the United States of America, the principal amount of Seventy-five Thousand & 00/100 Dollars ($75,000.00) or so much as may be outstanding, together with interest on the unpaid outstanding principal balance of each advance. Interest shall be calculated from the date of each advance until repayment of each advance.

PAYMENT. Borrower will pay this loan in one payment of all outstanding principal plus all accrued unpaid interest on August 5, 2011. In addition, Borrower will pay regular monthly payments of all accrued unpaid interest due as of each payment date, beginning September 5, 2010, with all subsequent interest payments to be due on the same day of each month after that. Borrower will pay Lender at Lender's address shown above or at such other place as Lender may designate in writing.

VARIABLE INTEREST RATE. The interest rate on this Note is subject to change from time to time based on changes in an independent index which is the The Prime Rate, index shall be adjusted from time to time, as reported daily in the West Coast edition of the Wall Street Journal, (the "Index"). The Index is not necessarily the lowest rate charged by Lender on its loans. If the Index becomes unavailable during the term of this loan, Lender may designate a substitute index after notifying Borrower. Lender will call Borrower the current Index rate upon Borrower's request. The interest rate change will not occur more often than each day. Borrower understands that Lender may make loans based on other rates as well. The Index currently is 3.250% per annum. Interest on the unpaid principal balance of this Note will be calculated as described in the "INTEREST CALCULATION METHOD" paragraph using a rate of 2.000 percentage points over the Index, adjusted if necessary for any minimum and maximum rate limitations described below, resulting in an initial rate of 7.000% per annum based on a year of 360 days. NOTICE: Under no circumstances will the interest rate on this Note be less than 7.000% per annum or more than the maximum rate allowed by applicable law.

INTEREST CALCULATION METHOD. Interest on this Note is computed on a 365/360 basis; that is, by applying the ratio of the interest rate over a year of 360 days, multiplied by the outstanding principal balance, multiplied by the actual number of days the principal balance is outstanding. All interest payable under this Note is computed using this method.

EFFECTIVE RATE. Borrower agrees to an effective rate of interest that is the rate specified in this Note plus any additional rate resulting from any other charges in the nature of interest paid or to be paid in connection with this Note.

PREPAYMENT. Borrower agrees not to send Lender payments marked "paid in full", "without recourse", or similar language. If Borrower sends such a payment, Lender may accept it without losing any of Lender's rights under this Note, and Borrower will remain obligated to pay any further amount owed to Lender. All written communications concerning disputed amounts, including any check or other payment instrument that indicates that the payment constitutes "payment in full" of the amount owed or that is tendered with other conditions or limitations or as full satisfaction of a disputed amount must be mailed or delivered to: Metro Phoenix Bank; Main Office, 4666 E. Van Buren Street, Ste #150 Phoenix, AZ 85008.

LATE CHARGE. If a payment is 10 days or more late, Borrower will be charged 5.000% of the regularly scheduled payment or $60.00, whichever is greater.

INTEREST AFTER DEFAULT. Upon default, including failure to pay upon final maturity, the interest rate on this Note shall be increased by adding an additional 5.000 percentage point margin ("Default Rate Margin"). The Default Rate Margin shall also apply to each succeeding interest rate change that would have applied had there been no default. However, in no event will the interest rate exceed the maximum interest rate limitations under applicable law.

DEFAULT. Each of the following shall constitute an event of default ("Event of Default") under this Note:

Payment Default. Borrower fails to make any payment when due under this Note.

Other Default. Borrower fails to comply with any other term, obligation, covenant or condition contained in this Note or in any of the related documents.

Default in Favor of Third Parties. Borrower defaults under any loan, extension of credit, security agreement, purchase or sales agreement, or any other agreement, in favor of any other creditor or person that may materially affect any of Borrower's property or Borrower's ability to repay this Note or perform Borrower's obligations under this Note or any of the related documents.

False Statements. Any representation or statement made by Borrower to Lender is false in any material respect.

Death or Insolvency. The dissolution of Borrower (regardless of whether election to continue is made), any member withdraws from Borrower, or any other termination of Borrower's existence as a going business or the death of any member, the insolvency of Borrower, the appointment of a receiver for any part of Borrower's property, any assignment for the benefit of creditors, any type of creditor workout, or the commencement of any proceeding under any bankruptcy or insolvency laws by or against Borrower.

Creditor or Forfeiture Proceedings. Commencement of foreclosure or forfeiture proceedings, whether by judicial proceeding, self-help, repossession or any other method, by any creditor of Borrower or by any governmental agency against any collateral securing the loan.

Events Affecting Guarantor. Any of the preceding events occurs with respect to any guarantor, endorser, surety, or accommodation party of any of the indebtedness or any guarantor, endorser, surety, or accommodation party dies or becomes incompetent, or revokes or disputes the validity of, or liability under, any guaranty of the indebtedness evidenced by this Note.

Insecurity. Lender in good faith believes itself insecure.

Cure Provisions. If any default, other than a default in payment is curable and if Borrower has not been given a notice of a breach of the same provision of this Note within the preceding twelve (12) months, it may be cured if Borrower, after Lender sends written notice to Borrower demanding cure of such default: (1) cures the default within ten (10) days; or (2) if the cure requires more than ten (10) days, immediately initiates steps which Lender deems in Lender's sole discretion to be sufficient to cure the default and thereafter continues and completes all reasonable and necessary steps sufficient to produce compliance as soon as reasonably practical.

LENDER'S RIGHTS. If any payment is not made when due under this Note or any other event of default shall occur under any other agreement between Lender and Borrower, Lender may declare the entire unpaid principal balance under this Note and all accrued unpaid interest immediately due, and then Borrower will pay that amount.

ATTORNEYS' FEES; EXPENSES. Lender may hire or pay someone else to help collect this Note if Borrower does not pay. Borrower will pay Lender that amount. This includes, subject to any limits under applicable law, Lender's attorneys' fees and Lender's legal expenses, whether or not there is a lawsuit, including attorneys' fees, expenses for bankruptcy proceedings (including efforts to modify or vacate any automatic stay or injunction), and appeals. However, Borrower will only pay attorneys' fees of an attorney not Lender's salaried employee, to whom the matter is referred after Borrower's default. To not prohibited by applicable law, Borrower also will pay any court costs, in addition to all other sums provided by law.

JURY WAIVER. Lender and Borrower hereby waive the right to any jury trial in any action, proceeding, or counterclaim brought by either Lender or Borrower against the other.

GOVERNING LAW. This Note will be governed by federal law applicable to Lender and, to the extent not preempted by federal law, the laws of the State of Arizona without regard to its conflicts of law provisions. This Note has been accepted by Lender in the State of Arizona.

COLLATERAL. Borrower acknowledges this Note is secured by A Deed of Trust dated July 30, 2010 on property located at: 3031 N. 64th Dr. Phoenix, AZ 85033; 3338 E. Willetta St., Phoenix, AZ 85008; 6412 W. Lamar Rd., Glendale, AZ 85301.

LINE OF CREDIT. This Note evidences a revolving line of credit. Advances under this Note may be requested orally by Borrower or as provided in this paragraph. All oral requests shall be confirmed in writing on the day of the request. All communications, instructions, or directions by telephone or otherwise to Lender are to be directed to Lender's office shown above. The following person or persons are authorized to request advances and authorize payments under the line of credit until Lender receives from Borrower, at Lender's address shown above, written notice of revocation of such authority: David S. Schweikert, Manager of Sheridan Equities, LLC; David S. Schweikert, Individually; and Joyce R. Schweikert, Individually. Borrower agrees to be liable for all sums Lender: (A) advanced in accordance with the instructions of an authorized

MPB_0513

Loan No: [redacted]

PROMISSORY NOTE
(Continued)

person or (B) credited to any of Borrower's accounts with Lender. The unpaid principal balance owing on this Note at any time may be evidenced by endorsements on this Note or by Lender's internal records, including daily computer print-outs.

PRIOR NOTE. A Deed of Trust dated July 30, 2010 on property located at: 3031 N. 64th Dr., Phoenix, AZ 85033; 3338 E. Wilkins St., Phoenix, AZ 85008; 6413 W. Lamar Rd., Glendale, AZ 85301.

SUCCESSOR INTERESTS. The terms of this Note shall be binding upon Borrower, and upon Borrower's heirs, personal representatives, successors and assigns, and shall inure to the benefit of Lender and its successors and assigns.

GENERAL PROVISIONS. Lender may delay or forgo enforcing any of its rights or remedies under this Note without losing them. Each Borrower understands and agrees that, with or without notice to Borrower, Lender may with respect to any other Borrower: (a) make one or more additional secured or unsecured loans or otherwise extend additional credit; (b) alter, compromise, renew, extend, accelerate, or otherwise change one or more times the time for payment or other terms of any indebtedness, including increases and decreases of the rate of interest on the indebtedness; (c) exchange, enforce, waive, subordinate, fail or decide not to perfect, and release any security, with or without the substitution of new collateral; (d) apply such security and direct the order or manner of sale thereof, including without limitation, any non-judicial sale permitted by the terms of the controlling security agreements, as Lender in its discretion may determine; (e) release, substitute, agree not to sue, or deal with any one or more of Borrower's sureties, endorsers, or other guarantors on any terms or in any manner Lender may choose; and (f) determine how, when and what application of payments and credits shall be made on any other indebtedness owing by such other Borrower. Borrower and any other person who signs, guarantees or endorses this Note, to the extent allowed by law, waive presentment, demand for payment, and notice of dishonor. Upon any change in the terms of this Note, and unless otherwise expressly stated in writing, no party who signs this Note, whether as maker, guarantor, accommodation maker or endorser, shall be released from liability. All such parties agree that Lender may renew or extend (repeatedly and for any length of time) this loan or release any party or guarantor or collateral; or impair, fail to realize upon or perfect Lender's security interest in the collateral; and take any other action deemed necessary by Lender without the consent of or notice to anyone. All such parties also agree that Lender may modify this loan without the consent of or notice to anyone other than the party with whom the modification is made. The obligations under this Note are joint and several.

PRIOR TO SIGNING THIS NOTE, EACH BORROWER READ AND UNDERSTOOD ALL THE PROVISIONS OF THIS NOTE, INCLUDING THE VARIABLE INTEREST RATE PROVISIONS. EACH BORROWER AGREES TO THE TERMS OF THE NOTE.

BORROWER ACKNOWLEDGES RECEIPT OF A COMPLETED COPY OF THIS PROMISSORY NOTE.

BORROWER:

SHERIDAN EQUITIES, LLC

[redacted]

By: _____
David S. Schweikert, Manager of Sheridan Equities, LLC

[redacted]

X _____
David S. Schweikert, Individually

[redacted]

X _____
Joyce R. Schweikert, Individually

LENDER:

METRO PHOENIX BANK

X _____
Richard A. Strom, Vice President

MPB_0405

BUSINESS LOAN AGREEMENT

Principal	Loan Date	Maturity	Loan No	Call / Coll	Account	Officer	Initials
$75,000.00	07-30-2010	08-05-2011	[redacted]	131 / 13		RAS	

References in the boxes above are for Lender's use only and do not limit the applicability of this document to any particular loan or item.
Any item above containing "***" has been omitted due to text length limitations.

Borrower: Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert
[redacted]

Lender: Metro Phoenix Bank
Main Office
4625 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

THIS BUSINESS LOAN AGREEMENT dated July 30, 2010, is made and executed between Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert ("Borrower") and Metro Phoenix Bank ("Lender") on the following terms and conditions. Borrower has received prior commercial loans from Lender or has applied to Lender for a commercial loan or loans or other financial accommodations, including those which may be described on any exhibit or schedule attached to this Agreement. Borrower understands and agrees that: (A) in granting, renewing, or extending any Loan, Lender is relying upon Borrower's representations, warranties, and agreements as set forth in this Agreement; (B) the granting, renewing, or extending of any Loan by Lender at all times shall be subject to Lender's sole judgment and discretion; and (C) all such Loans shall be and remain subject to the terms and conditions of this Agreement.

TERM. This Agreement shall be effective as of July 30, 2010, and shall continue in full force and effect until such time as all of Borrower's Loans in favor of Lender have been paid in full, including principal, interest, costs, expenses, attorneys' fees, and other fees and charges, or until such time as the parties may agree in writing to terminate this Agreement.

ADVANCE AUTHORITY. The following person or persons are authorized to request advances and authorize payments under the line of credit until Lender receives from Borrower, at Lender's address shown above, written notice of revocation of such authority: **David S. Schweikert, Manager of Sheridan Equities, LLC; David S. Schweikert, Individually; and Joyce R. Schweikert, Individually.**

CONDITIONS PRECEDENT TO EACH ADVANCE. Lender's obligation to make the initial Advance and each subsequent Advance under this Agreement shall be subject to the fulfillment to Lender's satisfaction of all of the conditions set forth in this Agreement and in the Related Documents.

Loan Documents. Borrower shall provide to Lender the following documents for the Loan: (1) the Note; (2) Security Agreements granting to Lender security interests in the Collateral; (3) financing statements and all other documents perfecting Lender's Security Interests; (4) evidence of insurance as required below; (5) together with all such Related Documents as Lender may require for the Loan, all in form and substance satisfactory to Lender and Lender's counsel.

Payment of Fees and Expenses. Borrower shall have paid to Lender all fees, charges, and other expenses which are then due and payable as specified in this Agreement or any Related Document.

Representations and Warranties. The representations and warranties set forth in this Agreement, in the Related Documents, and in any document or certificate delivered to Lender under this Agreement are true and correct.

No Event of Default. There shall not exist at the time of any Advance a condition which would constitute an Event of Default under this Agreement or under any Related Document.

MULTIPLE BORROWERS. This Agreement has been executed by multiple obligors who are referred to in this Agreement individually, collectively and interchangeably as "Borrower." Unless specifically stated to the contrary, the word "Borrower" as used in this Agreement, including without limitation all representations, warranties and covenants, shall include all Borrowers. Borrower understands and agrees that, with or without notice to any one Borrower, Lender may: (A) make one or more additional secured or unsecured loans or otherwise extend additional credit with respect to any other Borrower; (B) with respect to any other Borrower alter, compromise, renew, extend, accelerate, or otherwise change one or more times the time for payment or other terms of any indebtedness, including increase and decrease of the rate of interest on the indebtedness; (C) exchange, enforce, waive, subordinate, fail or decide not to perfect, and release any security, with or without the substitution of new collateral; (D) release, substitute, agree not to sue, or deal with any one or more of Borrower's or any other Borrower's sureties, endorsers, or other guarantors on any terms or in any manner Lender may choose; (E) determine how, when and what application of payments and credits shall be made on any indebtedness; (F) apply such security and direct the order or manner of sale of any Collateral, including without limitation, any non-judicial sale permitted by the terms of the controlling security agreement or deed of trust, as Lender in its discretion may determine; (G) sell, transfer, assign or grant participations in all or any part of the Loan; (H) exercise or refrain from exercising any rights against Borrower or others, or otherwise act or refrain from acting; (I) settle or compromise any indebtedness; and (J) subordinate the payment of all or any part of any of Borrower's indebtedness to Lender to the payment of any liabilities which may be due Lender or others.

REPRESENTATIONS AND WARRANTIES. Borrower represents and warrants to Lender, as of the date of this Agreement, as of the date of each disbursement of loan proceeds, as of the date of any renewal, extension or modification of any Loan, and at all times any indebtedness exists:

Organization. Sheridan Equities, LLC is a limited liability company which is, and at all times shall be, duly organized, validly existing, and in good standing under and by virtue of the laws of the State of Arizona. Sheridan Equities, LLC is duly authorized to transact business in all other states in which Sheridan Equities, LLC is doing business, having obtained all necessary filings, governmental licenses and approvals for each state in which Sheridan Equities, LLC is doing business. Specifically, Sheridan Equities, LLC is, and at all times shall be, duly qualified as a foreign limited liability company in all states in which the failure to so qualify would have a material adverse effect on its business or financial condition. Sheridan Equities, LLC has the full power and authority to own its properties and to transact the business in which it is presently engaged or presently proposes to engage. Sheridan Equities, LLC maintains an office at 15749 E. El Lago Blvd, Fountain Hills, AZ 85268. Unless Sheridan Equities, LLC has designated otherwise in writing, the principal office is the office at which Sheridan Equities, LLC keeps its books and records including its records concerning the Collateral. Sheridan Equities, LLC will notify Lender prior to any change in the location of Sheridan Equities, LLC's state of organization or any change in Sheridan Equities, LLC's name. Sheridan Equities, LLC shall do all things necessary to preserve and to keep in full force and effect its existence, rights and privileges, and shall comply with all regulations, rules, ordinances, statutes, orders and decrees of any governmental or quasi-governmental authority or court applicable to Sheridan Equities, LLC and Sheridan Equities, LLC's business activities.

David S. Schweikert maintains an office at 15749 E. El Lago Blvd, Fountain Hills, AZ 85268. Unless David S. Schweikert has designated otherwise in writing, the principal office is the office at which David S. Schweikert keeps its books and records including its records concerning the Collateral. David S. Schweikert will notify Lender prior to any change in the location of David S. Schweikert's principal office address or any change in David S. Schweikert's name. David S. Schweikert shall do all things necessary to comply with all regulations, rules, ordinances, statutes, orders and decrees of any governmental or quasi-governmental authority or court applicable to David S. Schweikert and David S. Schweikert's business activities.

Joyce R. Schweikert maintains an office at 15749 E. El Lago Blvd, Fountain Hills, AZ 85268. Unless Joyce R. Schweikert has designated otherwise in writing, the principal office is the office at which Joyce R. Schweikert keeps its books and records including its records concerning the Collateral. Joyce R. Schweikert will notify Lender prior to any change in the location of Joyce R. Schweikert's principal office address or any change in Joyce R. Schweikert's name. Joyce R. Schweikert shall do all things necessary to comply with all regulations, rules, ordinances, statutes, orders and decrees of any governmental or quasi-governmental authority or court applicable to Joyce R. Schweikert and Joyce R. Schweikert's business activities.

Assumed Business Names. Borrower has filed or recorded all documents or filings required by law relating to all assumed business names used by Borrower. Excluding the name of Borrower, the following is a complete list of all assumed business names under which Borrower does business: None.

Authorization. Borrower's execution, delivery, and performance of this Agreement and all the Related Documents do not conflict with, result in a violation of, or constitute a default under: (1) any provision of: (a) Borrower's articles of organization or membership agreements, or (b) any agreement or other instrument binding upon Borrower or (2) any law, governmental regulation, court decree, or order applicable to Borrower or to Borrower's properties.

Financial Information. Each of Borrower's financial statements supplied to Lender truly and completely disclosed Borrower's financial condition as of the date of the statement, and there has been no material adverse change in Borrower's financial condition subsequent to the date of the most recent financial statement supplied to Lender. Borrower has no material contingent obligations except as disclosed in such financial statements.

Legal Effect. This Agreement constitutes, and any instrument or agreement Borrower is required to give under this Agreement when delivered will constitute legal, valid, and binding obligations of Borrower enforceable against Borrower in accordance with their respective terms.

Properties. Except as contemplated by this Agreement or as previously disclosed in Borrower's financial statements or in writing to Lender and as accepted by Lender, and except for property tax liens for taxes not presently due and payable, Borrower owns and has good title to

all of Borrower's properties free and clear of all Security Interests, and has not executed any security documents or financing statements relating to such properties. All of Borrower's properties are titled in Borrower's legal name, and Borrower has not used or filed a financing statement under any other name for at least the last five (5) years.

Hazardous Substances. Except as disclosed to and acknowledged by Lender in writing, Borrower represents and warrants that: (1) During the period of Borrower's ownership of the Collateral, there has been no use, generation, manufacture, storage, treatment, disposal, release or threatened release of any Hazardous Substance by any person on, under, about or from any of the Collateral. (2) Borrower has no knowledge of, or reason to believe that there has been (a) any breach or violation of any Environmental Laws; (b) any use, generation, manufacture, storage, treatment, disposal, release or threatened release of any Hazardous Substance on, under, about or from the Collateral by any prior owners or occupants of any of the Collateral; or (c) any actual or threatened litigation or claims of any kind by any person relating to such matters. (3) Neither Borrower nor any tenant, contractor, agent or other authorized user of any of the Collateral shall use, generate, manufacture, store, treat, dispose of or release any Hazardous Substance on, under, about or from any of the Collateral; and any such activity shall be conducted in compliance with all applicable federal, state, and local laws, regulations, and ordinances, including without limitation all Environmental Laws. Borrower authorizes Lender and its agents to enter upon the Collateral to make such inspections and tests as Lender may deem appropriate to determine compliance of the Collateral with this section of the Agreement. Any inspections or tests made by Lender shall be at Borrower's expense and for Lender's purposes only and shall not be construed to create any responsibility or liability on the part of Lender to Borrower or to any other person. The representations and warranties contained herein are based on Borrower's due diligence in investigating the Collateral for hazardous waste and Hazardous Substances. Borrower hereby: (1) releases and waives any future claims against Lender for indemnity or contribution in the event Borrower becomes liable for cleanup or other costs under any such laws; and (2) agrees to indemnify, defend, and hold harmless Lender against any and all claims, losses, liabilities, damages, penalties, and expenses which Lender may directly or indirectly sustain or suffer resulting from a breach of this section of the Agreement or as a consequence of any use, generation, manufacture, storage, disposal, release or threatened release of a hazardous waste or substance on the Collateral. The provisions of this section of the Agreement, including the obligation to indemnify and defend, shall survive the payment of the indebtedness and the termination, expiration or satisfaction of this Agreement and shall not be affected by Lender's acquisition of any interest in any of the Collateral, whether by foreclosure or otherwise.

Litigation and Claims. No litigation, claim, investigation, administrative proceeding or similar action (including those for unpaid taxes) against Borrower is pending or threatened, and no other event has occurred which may materially adversely affect Borrower's financial condition or properties, other than litigation, claims, or other events, if any, that have been disclosed to and acknowledged by Lender in writing.

Taxes. To the best of Borrower's knowledge, all of Borrower's tax returns and reports that are or were required to be filed, have been filed, and all taxes, assessments and other governmental charges have been paid in full, except those presently being or to be contested by Borrower in good faith in the ordinary course of business and for which adequate reserves have been provided.

Lien Priority. Unless otherwise previously disclosed to Lender in writing, Borrower has not entered into or granted any Security Agreements, or permitted the filing or attachment of any Security Interests on or affecting any of the Collateral directly or indirectly securing repayment of Borrower's Loan and Note, that would be prior or that may in any way be superior to Lender's Security Interests and rights in and to such Collateral.

Binding Effect. This Agreement, the Note, all Security Agreements (if any), and all Related Documents are binding upon the signers thereof, as well as upon their successors, representatives and assigns, and are legally enforceable in accordance with their respective terms.

AFFIRMATIVE COVENANTS. Borrower covenants and agrees with Lender that, so long as this Agreement remains in effect, Borrower will:

Notices of Claims and Litigation. Promptly inform Lender in writing of: (1) all material adverse changes in Borrower's financial condition, and (2) all existing and all threatened litigation, claims, investigations, administrative proceedings or similar actions affecting Borrower or any Guarantor which could materially affect the financial condition of Borrower or the financial condition of any Guarantor.

Financial Records. Maintain its books and records in accordance with GAAP, applied on a consistent basis, and permit Lender to examine and audit Borrower's books and records at all reasonable times.

Financial Statements. Furnish Lender with such financial statements and other related information at such frequencies and in such detail as Lender may reasonably request.

Additional Information. Furnish such additional information and statements, as Lender may request from time to time.

Insurance. Maintain fire and other risk insurance, public liability insurance, and such other insurance as Lender may require with respect to Borrower's properties and operations, in form, amounts, coverages and with insurance companies acceptable to Lender. Borrower, upon request of Lender, will deliver to Lender from time to time the policies or certificates of insurance in form satisfactory to Lender, including stipulations that coverages will not be cancelled or diminished without at least ten (10) days prior written notice to Lender. Each insurance policy also shall include an endorsement providing that coverage in favor of Lender will not be impaired in any way by any act, omission or default of Borrower or any other person. In connection with all policies covering assets in which Lender holds or is offered a security interest for the Loans, Borrower will provide Lender with such lender's loss payable or other endorsements as Lender may require.

Insurance Reports. Furnish to Lender, upon request of Lender, reports on each existing insurance policy showing such information as Lender may reasonably request, including without limitation the following: (1) the name of the insurer; (2) the risks insured; (3) the amount of the policy; (4) the properties insured; (5) the then current property values on the basis of which insurance has been obtained, and the manner of determining those values; and (6) the expiration date of the policy. In addition, upon request of Lender (however not more often than annually), Borrower will have an independent appraiser satisfactory to Lender determine, as applicable, the actual cash value or replacement cost of any Collateral. The cost of such appraisal shall be paid by Borrower.

Other Agreements. Comply with all terms and conditions of all other agreements, whether now or hereafter existing, between Borrower and any other party and notify Lender immediately in writing of any default in connection with any other such agreements.

Loan Proceeds. Use all Loan proceeds solely for Borrower's business operations, unless specifically consented to the contrary by Lender in writing.

Taxes, Charges and Liens. Pay and discharge when due all of its indebtedness and obligations, including without limitation all assessments, taxes, governmental charges, levies and liens, of every kind and nature, imposed upon Borrower or its properties, income, or profits, prior to the date on which penalties would attach, and all lawful claims that, if unpaid, might become a lien or charge upon any of Borrower's properties, income, or profits. Provided however, Borrower will not be required to pay and discharge any such assessment, tax, charge, levy, lien or claim so long as: (1) the legality of the same shall be contested in good faith by appropriate proceedings, and (2) Borrower shall have established on Borrower's books adequate reserves with respect to such contested assessment, tax, charge, levy, lien, or claim in accordance with GAAP.

Performance. Perform and comply, in a timely manner, with all terms, conditions, and provisions set forth in this Agreement, in the Related Documents, and in all other instruments and agreements between Borrower and Lender. Borrower shall notify Lender immediately in writing of any default in connection with any agreement.

Operations. Maintain executive and management personnel with substantially the same qualifications and experience as the present executive and management personnel; provide written notice to Lender of any change in executive and management personnel; conduct its business affairs in a reasonable and prudent manner.

Environmental Studies. Promptly conduct and complete, at Borrower's expense, all such investigations, studies, samplings and testings as may be requested by Lender or any governmental authority relative to any substance, or any waste or by-product of any substance defined as toxic or a hazardous substance under applicable federal, state, or local law, rule, regulation, order or directive, at or affecting any property or any facility owned, leased or used by Borrower.

Compliance with Governmental Requirements. Comply with all laws, ordinances, and regulations, now or hereafter in effect, of all governmental authorities applicable to the conduct of Borrower's properties, businesses and operations, and to the use or occupancy of the Collateral, including without limitation, the Americans With Disabilities Act. Borrower may contest in good faith any such law, ordinance, or regulation and withhold compliance during any proceeding, including appropriate appeals, so long as Borrower has notified Lender in writing prior to doing so and so long as, in Lender's sole opinion, Lender's interests in the Collateral are not jeopardized. Lender may require Borrower to post adequate security or a surety bond, reasonably satisfactory to Lender, to protect Lender's interest.

Inspection. Permit employees or agents of Lender at any reasonable time to inspect any and all Collateral for the Loan or Loans and Borrower's other properties and to examine or audit Borrower's books, accounts, and records and to make copies and memoranda of Borrower's books, accounts, and records. If Borrower now or at any time hereafter maintains any records (including without limitation computer generated records and computer software programs for the generation of such records) in the possession of a third party

MPB_0407

Borrower, upon request of Lender, shall notify such party to permit Lender free access to such records at all reasonable times and to provide Lender with copies of any records it may request, all at Borrower's expense.

Environmental Compliance and Reports. Borrower shall comply in all respects with any and all Environmental Laws; not cause or permit to exist, as a result of an intentional or unintentional action or omission on Borrower's part or on the part of any third party, on property owned and/or occupied by Borrower, any environmental activity which damage may result to the environment, unless such environmental activity is pursuant to and in compliance with the conditions of a permit issued by the appropriate federal, state or local governmental authorities; shall furnish to Lender promptly and in any event within thirty (30) days after receipt thereof a copy of any notice, summons, lien, citation, directives, letter or other communication from any governmental agency or instrumentality concerning any intentional or unintentional action or omission on Borrower's part in connection with any environmental activity whether or not there is damage to the environment and/or other natural resources.

Additional Assurances. Make, execute and deliver to Lender such promissory notes, mortgages, deeds of trust, security agreements, assignments, financing statements, instruments, documents and other agreements as Lender or its attorneys may reasonably request to evidence and secure the Loans and to perfect all Security Interests.

Additional Affirmative Covenants. In addition to all affirmative covenants stated, comply with all requirements detailed in the Financial Statement Requirement addendum attached.

RECOVERY OF ADDITIONAL COSTS. If the imposition of or any change in any law, rule, regulation or guideline, or the interpretation or application of any thereof by any court or administrative or governmental authority (including any request or policy not having the force of law) shall impose, modify or make applicable any taxes (except federal, state or local income or franchise taxes imposed on Lender), reserve requirements, capital adequacy requirements or other obligations which would (A) increase the cost to Lender for extending or maintaining the credit facilities to which this Agreement relates, (B) reduce the amounts payable to Lender under this Agreement or the Related Documents, or (C) reduce the rate of return on Lender's capital as a consequence of Lender's obligations with respect to the credit facilities to which this Agreement relates, then Borrower agrees to pay Lender such additional amounts as will compensate Lender therefor, within five (5) days after Lender's written demand for such payment, which demand shall be accompanied by an explanation of such imposition or change and a calculation in reasonable detail of the additional amounts payable by Borrower, which explanation and calculations shall be conclusive in the absence of manifest error.

LENDER'S EXPENDITURES. If any action or proceeding is commenced that would materially affect Lender's interest in the Collateral or if Borrower fails to comply with any provision of this Agreement or any Related Documents, including but not limited to Borrower's failure to discharge or pay when due any amounts Borrower is required to discharge or pay under this Agreement or any Related Documents, Lender on Borrower's behalf may (but shall not be obligated to) take any action that Lender deems appropriate, to the extent permitted by applicable law, including but not limited to discharging or paying all taxes, liens, security interests, encumbrances and other claims, at any time levied or placed on any Collateral and paying all costs for insuring, maintaining and preserving any Collateral. All such expenditures incurred or paid by Lender for such purposes will then bear interest at the rate charged under the Note from the date incurred or paid by Lender to the date of repayment by Borrower. All such expenses will become a part of the Indebtedness and, at Lender's option, will (A) be payable on demand; (B) be added to the balance of the Note and be apportioned among and be payable with any installment payments to become due during either (1) the term of any applicable insurance policy; or (2) the remaining term of the Note; or (C) be treated as a balloon payment which will be due and payable at the Note's maturity.

NEGATIVE COVENANTS. Borrower covenants and agrees with Lender that while this Agreement is in effect, Borrower shall not, without the prior written consent of Lender:

Indebtedness and Liens. (1) Except for trade debt incurred in the normal course of business and indebtedness to Lender contemplated by this Agreement, create, incur or assume indebtedness for borrowed money, including capital leases, (2) sell, transfer, mortgage, assign, pledge, lease, grant a security interest in, or encumber any of Borrower's assets (except as allowed as Permitted Liens), or (3) sell with recourse any of Borrower's accounts, except to Lender.

Continuity of Operations. (1) Engage in any business activities substantially different than those in which Borrower is presently engaged, (2) cease operations, liquidate, merge, transfer, acquire or consolidate with any other entity, change its name, dissolve or transfer or sell Collateral out of the ordinary course of business, or (3) make any distribution with respect to any capital account, whether by reduction of capital or otherwise.

Loans, Acquisitions and Guaranties. (1) Loan, invest in or advance money or assets to any other person, enterprise or entity, (2) purchase, create or acquire any interest in any other enterprise or entity, or (3) incur any obligation as surety or guarantor other than in the ordinary course of business.

Agreements. Enter into any agreement containing any provisions which would be violated or breached by the performance of Borrower's obligations under this Agreement or in connection herewith.

CESSATION OF ADVANCES. If Lender has made any commitment to make any Loan to Borrower, whether under this Agreement or under any other agreement, Lender shall have no obligation to make Loan Advances or to disburse Loan proceeds if: (A) Borrower or any Guarantor is in default under the terms of this Agreement or any of the Related Documents or any other agreement that Borrower or any Guarantor has with Lender; (B) Borrower or any Guarantor dies, becomes incompetent or becomes insolvent, files a petition in bankruptcy or similar proceedings, or is adjudged a bankrupt; (C) there occurs a material adverse change in Borrower's financial condition, in the financial condition of any Guarantor, or in the value of any Collateral securing any Loan; or (D) any Guarantor seeks, claims or otherwise attempts to limit, modify or revoke such Guarantor's guaranty of the Loan or any other loan with Lender; or (E) Lender in good faith deems itself insecure, even though no Event of Default shall have occurred.

RIGHT OF SETOFF. To the extent permitted by applicable law, Lender reserves a right of setoff in all Borrower's accounts with Lender (whether checking, savings, or some other account). This includes all accounts Borrower holds jointly with someone else and all accounts Borrower may open in the future. However, this does not include any IRA or Keogh accounts, or any trust accounts for which setoff would be prohibited by law. Borrower authorizes Lender, to the extent permitted by applicable law, to charge or setoff all sums owing on the indebtedness against any and all such accounts, and, at Lender's option, to administratively freeze all such accounts to allow Lender to protect Lender's charge and setoff rights provided in this paragraph.

DEFAULT. Each of the following shall constitute an Event of Default under this Agreement:

Payment Default. Borrower fails to make any payment when due under the Loan.

Other Defaults. Borrower fails to comply with or to perform any other term, obligation, covenant or condition contained in this Agreement or in any of the Related Documents or to comply with or to perform any term, obligation, covenant or condition contained in any other agreement between Lender and Borrower.

Default in Favor of Third Parties. Borrower or any Grantor defaults under any loan, extension of credit, security agreement, purchase or sales agreement, or any other agreement, in favor of any other creditor or person that may materially affect any of Borrower's or any Grantor's property or Borrower's or any Grantor's ability to repay the Loans or perform their respective obligations under this Agreement or any of the Related Documents.

False Statements. Any warranty, representation or statement made or furnished to Lender by Borrower or on Borrower's behalf under this Agreement or the Related Documents is false or misleading in any material respect, either now or at the time made or furnished or becomes false or misleading at any time thereafter.

Death or Insolvency. The dissolution of Borrower (regardless of whether election to continue is made), any member withdraws from Borrower, or any other termination of Borrower's existence as a going business or the death of any member, the insolvency of Borrower, the appointment of a receiver for any part of Borrower's property, any assignment for the benefit of creditors, any type of creditor workout, or the commencement of any proceeding under any bankruptcy or insolvency laws by or against Borrower.

Defective Collateralization. This Agreement or any of the Related Documents ceases to be in full force and effect (including failure of any collateral document to create a valid and perfected security interest or lien) at any time and for any reason.

Creditor or Forfeiture Proceedings. Commencement of foreclosure or forfeiture proceedings, whether by judicial proceeding, self-help, repossession or any other method, by any creditor of Borrower or by any governmental agency against any collateral securing the Loan. This includes a garnishment of any of Borrower's accounts, including deposit accounts, with Lender. However, this Event of Default shall not apply if there is a good faith dispute by Borrower as to the validity or reasonableness of the claim which is the basis of the creditor or forfeiture proceeding and if Borrower gives Lender written notice of the creditor or forfeiture proceeding and deposits with Lender monies or a surety bond for the creditor or forfeiture proceeding, in an amount determined by Lender, in its sole discretion, as being an adequate reserve or bond for the dispute.

Events Affecting Guarantor. Any of the preceding events occurs with respect to any Guarantor of any of the Indebtedness or any Guarantor dies or becomes incompetent, or revokes or disputes the validity of, or liability under, any Guaranty of the Indebtedness.

MPB_0408

Adverse Change. A material adverse change occurs in Borrower's financial condition, or Lender believes the prospect of payment or performance of this Loan is impaired.

Insecurity. Lender in good faith believes itself insecure.

Right to Cure. If any default, other than a default on Indebtedness, is curable and if Borrower or Grantor, as the case may be, has not been given a notice of a similar default within the preceding twelve (12) months, it may be cured if Borrower or Grantor, as the case may be, after Lender sends written notice to Borrower or Grantor, as the case may be, demanding cure of such default: (1) cure the default within ten (10) days; or (2) if the cure requires more than ten (10) days, immediately initiate steps which Lender deems in Lender's sole discretion to be sufficient to cure the default and thereafter continue and complete all reasonable and necessary steps sufficient to produce compliance as soon as reasonably practical.

EFFECT OF AN EVENT OF DEFAULT. If any Event of Default shall occur, except where otherwise provided in this Agreement or the Related Documents, all commitments and obligations of Lender under this Agreement or the Related Documents or any other agreement immediately will terminate (including any obligation to make further Loan Advances or disbursements), and, at Lender's option, all Indebtedness immediately will become due and payable, all without notice of any kind to Borrower, except that in the case of an Event of Default of the type described in the "Insolvency" subsection above, such acceleration shall be automatic and not optional. In addition, Lender shall have all the rights and remedies provided in the Related Documents or available at law, in equity, or otherwise. Except as may be prohibited by applicable law, all of Lender's rights and remedies shall be cumulative and may be exercised singularly or concurrently. Election by Lender to pursue any remedy shall not exclude pursuit of any other remedy, and an election to make expenditures or to take action to perform an obligation of Borrower or of any Grantor shall not affect Lender's right to declare a default and to exercise its rights and remedies.

APPRAISAL. If deemed necessary by Lender or if required by law, Lender shall have the right to order appraisal(s) of the Collateral Property from time to time from an appraiser selected by Lender, which appraisals shall comply with all federal and state standards for appraisals and otherwise shall be satisfactory to Lender in all material respects. Borrower agrees to pay the cost and expense for all appraisals and reviews thereof ordered by Lender pursuant to this paragraph.

LOAN-TO-VALUE. At all times during the term of the Loan, the unpaid principal balance of the Loan shall not exceed percent (%) of the value of the Collateral Property, as determined by Lender in Lender's sole discretion. If for any reason the loan-to-value ratio exceeds said percentage, then Borrower shall, upon Lender's demand, immediately reduce the unpaid principal balance of the Loan, or deposit sufficient sums with Lender to reduce the loan-to-value ratio to at or below said percentage.

FINANCIAL STATEMENT REQUIREMENTS. An exhibit, titled "Financial Statement Requirements," is attached to this Agreement and by this reference is made a part of this Agreement just as if all the provisions, terms and conditions of the Exhibit had been fully set forth in this Agreement.

MISCELLANEOUS PROVISIONS. The following miscellaneous provisions are a part of this Agreement:

Amendments. This Agreement, together with any Related Documents, constitutes the entire understanding and agreement of the parties as to the matters set forth in this Agreement. No alteration of or amendment to this Agreement shall be effective unless given in writing and signed by the party or parties sought to be charged or bound by the alteration or amendment.

Attorneys' Fees; Expenses. Borrower agrees to pay upon demand all of Lender's costs and expenses, including Lender's attorneys' fees and Lender's legal expenses, incurred in connection with the enforcement of this Agreement. Lender may hire or pay someone else to help enforce this Agreement, and Borrower shall pay the costs and expenses of such enforcement. Costs and expenses include Lender's attorneys' fees and legal expenses whether or not there is a lawsuit, including attorneys' fees and legal expenses for bankruptcy proceedings (including efforts to modify or vacate any automatic stay or injunction), appeals, and any anticipated post-judgment collection services. However, Borrower will only pay attorneys' fees of an attorney not Lender's salaried employee, to whom the matter is referred after Borrower's default. Borrower also shall pay all court costs and such additional fees as may be directed by the court.

Caption Headings. Caption headings in this Agreement are for convenience purposes only and are not to be used to interpret or define the provisions of this Agreement.

Consent to Loan Participation. Borrower agrees and consents to Lender's sale or transfer, whether now or later, of one or more participation interests in the Loan to one or more purchasers, whether related or unrelated to Lender. Lender may provide, without any limitation whatsoever, to any one or more purchasers, or potential purchasers, any information or knowledge Lender may have about Borrower or about any other matter relating to the Loan, and Borrower hereby waives any rights to privacy Borrower may have with respect to such matters. Borrower additionally waives any and all notices of sale of participation interests, as well as all notices of any repurchase of such participation interests. Borrower also agrees that the purchasers of any such participation interests will be considered as the absolute owners of such interests in the Loan and will have all the rights granted under the participation agreement or agreements governing the sale of such participation interests. Borrower further waives all rights of offset or counterclaim that it may have now or later against Lender or against any purchaser of such a participation interest and unconditionally agrees that either Lender or such purchaser may enforce Borrower's obligation under the Loan irrespective of the failure or insolvency of any holder of any interest in the Loan. Borrower further agrees that the purchaser of any such participation interests may enforce its interests irrespective of any personal claims or defenses that Borrower may have against Lender.

Governing Law. This Agreement will be governed by federal law applicable to Lender and, to the extent not preempted by federal law, the laws of the State of Arizona without regard to its conflicts of law provisions. This Agreement has been accepted by Lender in the State of Arizona.

Joint and Several Liability. All obligations of Borrower under this Agreement shall be joint and several, and all references to Borrower shall mean each and every Borrower. This means that each Borrower signing below is responsible for all obligations in this Agreement. Where any one or more of the parties is a corporation, partnership, limited liability company or similar entity, it is not necessary for Lender to inquire into the powers of any of the officers, directors, partners, members, or other agents acting or purporting to act on the entity's behalf, and any obligations made or created in reliance upon the professed exercise of such powers shall be guaranteed under this Agreement.

No Waiver by Lender. Lender shall not be deemed to have waived any rights under this Agreement unless such waiver is given in writing and signed by Lender. No delay or omission on the part of Lender in exercising any right shall operate as a waiver of such right or any other right. A waiver by Lender of a provision of this Agreement shall not prejudice or constitute a waiver of Lender's right otherwise to demand strict compliance with that provision or any other provision of this Agreement. No prior waiver by Lender, nor any course of dealing between Lender and Borrower, or between Lender and any Grantor, shall constitute a waiver of any of Lender's rights or of any of Borrower's or any Grantor's obligations as to any future transactions. Whenever the consent of Lender is required under this Agreement, the granting of such consent by Lender in any instance shall not constitute continuing consent to subsequent instances where such consent is required and in all cases such consent may be granted or withheld in the sole discretion of Lender.

Notices. Any notice required to be given under this Agreement shall be given in writing, and shall be effective when actually delivered, when actually received by telefacsimile unless otherwise required by law, when deposited with a nationally recognized overnight courier, or, if mailed, when deposited in the United States mail, as first class, certified or registered mail postage prepaid, directed to the addresses shown near the beginning of this Agreement. Any party may change its address for notices under this Agreement by giving formal written notice to the other parties, specifying that the purpose of the notice is to change the party's address. For notice purposes, Borrower agrees to keep Lender informed at all times of Borrower's current address. Unless otherwise provided or required by law, if there is more than one Borrower, any notice given by Lender to any Borrower is deemed to be notice given to all Borrowers.

Severability. If a court of competent jurisdiction finds any provision of this Agreement to be illegal, invalid, or unenforceable as to any person or circumstance, that finding shall not make the offending provision illegal, invalid, or unenforceable as to any other person or circumstance. If feasible, the offending provision shall be considered modified so that it becomes legal, valid and enforceable. If the offending provision cannot be so modified, it shall be considered deleted from this Agreement. Unless otherwise required by law, the illegality, invalidity, or unenforceability of any provision of this Agreement shall not affect the legality, validity or enforceability of any other provision of this Agreement.

Successors and Assigns. All covenants and agreements by or on behalf of Borrower contained in this Agreement or any Related Documents shall bind Borrower's successors and assigns and shall inure to the benefit of Lender and its successors and assigns. Borrower shall not, however, have the right to assign Borrower's rights under this Agreement or any interest therein, without the prior written consent of Lender.

Survival of Representations and Warranties. Borrower understands and agrees that in extending Loan Advances, Lender is relying on all representations, warranties, and covenants made by Borrower in this Agreement or in any certificate or other instrument delivered by Borrower to Lender under this Agreement or the Related Documents. Borrower further agrees that regardless of any investigation made by Lender, all such representations, warranties and covenants will survive the extension of Loan Advances and delivery to Lender of the Related Documents, shall be continuing in nature, shall be deemed made and redated by Borrower at the time each Loan Advance is made.

MPB_0409

and shall remain in full force and effect until such time as Borrower's indebtedness shall be paid in full, or until this Agreement shall be terminated in the manner provided above, whichever is the last to occur.

Time is of the Essence. Time is of the essence in the performance of this Agreement.

Waive Jury. **All parties to this Agreement hereby waive the right to any jury trial in any action, proceeding, or counterclaim brought by any party against any other party.**

DEFINITIONS. The following capitalized words and terms shall have the following meanings when used in this Agreement. Unless specifically stated to the contrary, all references to dollar amounts shall mean amounts in lawful money of the United States of America. Words and terms used in the singular shall include the plural, and the plural shall include the singular, as the context may require. Words and terms not otherwise defined in this Agreement shall have the meanings attributed to such terms in the Uniform Commercial Code. Accounting words and terms not otherwise defined in this Agreement shall have the meanings assigned to them in accordance with generally accepted accounting principles as in effect on the date of this Agreement:

Advance. The word "Advance" means a disbursement of Loan funds made, or to be made, to Borrower or on Borrower's behalf on a line of credit or multiple advance basis under the terms and conditions of this Agreement.

Agreement. The word "Agreement" means this Business Loan Agreement, as this Business Loan Agreement may be amended or modified from time to time, together with all exhibits and schedules attached to this Business Loan Agreement from time to time.

Borrower. The word "Borrower" means Sheridan Equities, LLC; David S. Schweikert, and Joyce R. Schweikert and includes all co-signers and co-makers signing the Note and all their successors and assigns.

Collateral. The word "Collateral" means all property and assets granted as collateral security for a Loan, whether real or personal property, whether granted directly or indirectly, whether granted now or in the future, and whether granted in the form of a security interest, mortgage, collateral mortgage, deed of trust, assignment, pledge, crop pledge, chattel mortgage, collateral chattel mortgage, chattel trust, factor's lien, equipment trust, conditional sale, trust receipt, lien, charge, lien or title retention contract, lease or consignment intended as a security device, or any other security or lien interest whatsoever, whether created by law, contract, or otherwise.

Environmental Laws. The words "Environmental Laws" mean any and all state, federal and local statutes, regulations and ordinances relating to the protection of human health or the environment, including without limitation the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended, 42 U.S.C. Section 9601, et seq. ("CERCLA"), the Superfund Amendments and Reauthorization Act of 1986, Pub. L. No. 99-499 ("SARA"), the Hazardous Materials Transportation Act, 49 U.S.C. Section 1801, et seq., the Resource Conservation and Recovery Act, 42 U.S.C. Section 6901, et seq., or other applicable state or federal laws, rules, or regulations adopted pursuant thereto.

Event of Default. The words "Event of Default" mean any of the events of default set forth in this Agreement in the default section of this Agreement.

GAAP. The word "GAAP" means generally accepted accounting principles.

Grantor. The word "Grantor" means each and all of the persons or entities granting a Security Interest in any Collateral for the Loan, including without limitation all Borrowers granting such a Security Interest.

Guarantor. The word "Guarantor" means any guarantor, surety, or accommodation party of any or all of the Loan.

Guaranty. The word "Guaranty" means the guaranty from Guarantor to Lender, including without limitation a guaranty of all or part of the Note.

Hazardous Substances. The words "Hazardous Substances" mean materials that, because of their quantity, concentration or physical, chemical or infectious characteristics, may cause or pose a present or potential hazard to human health or the environment when improperly used, treated, stored, disposed of, generated, manufactured, transported or otherwise handled. The words "Hazardous Substances" are used in their very broadest sense and include without limitation any and all hazardous or toxic substances, materials or waste as defined by or listed under the Environmental Laws. The term "Hazardous Substances" also includes, without limitation, petroleum and petroleum by-products or any fraction thereof and asbestos.

Indebtedness. The word "Indebtedness" means the indebtedness evidenced by the Note or Related Documents, including all principal and interest together with all other indebtedness and costs and expenses for which Borrower is responsible under this Agreement or under any of the Related Documents.

Lender. The word "Lender" means Metro Phoenix Bank, its successors and assigns.

Loan. The word "Loan" means any and all loans and financial accommodations from Lender to Borrower whether now or hereafter existing, and however evidenced, including without limitation those loans and financial accommodations described herein or described on any exhibit or schedule attached to this Agreement from time to time.

Note. The word "Note" means the Note executed by Sheridan Equities, LLC; David S. Schweikert, and Joyce R. Schweikert in the principal amount of $75,000.00 dated July 30, 2010, together with all renewals of, extensions of, modifications of, refinancings of, consolidations of, and substitutions for the note or credit agreement.

Permitted Liens. The words "Permitted Liens" mean: (1) liens and security interests securing Indebtedness owed by Borrower to Lender; (2) liens for taxes, assessments, or similar charges either not yet due or being contested in good faith; (3) liens of materialmen, mechanics, warehousemen, or carriers, or other like liens arising in the ordinary course of business and securing obligations which are not yet delinquent; (4) purchase money liens or purchase money security interests upon or in any property acquired or held by Borrower in the ordinary course of business to secure indebtedness outstanding on the date of this Agreement or permitted to be incurred under the paragraph of this Agreement titled "Indebtedness and Liens"; (5) liens and security interests which, as of the date of this Agreement, have been disclosed to and approved by the Lender in writing; and (6) those liens and security interests which in the aggregate constitute an immaterial and insignificant monetary amount with respect to the net value of Borrower's assets.

Related Documents. The words "Related Documents" mean all promissory notes, credit agreements, loan agreements, environmental agreements, guarantiee, security agreements, mortgages, deeds of trust, security deeds, collateral mortgages, and all other instruments, agreements and documents, whether now or hereafter existing, executed in connection with the Loan.

Security Agreement. The words "Security Agreement" mean and include without limitation any agreements, promises, covenants, arrangements, understandings or other agreements, whether created by law, contract, or otherwise, evidencing, governing, representing, or creating a Security Interest.

Security Interest. The words "Security Interest" mean, without limitation, any and all types of collateral security, present and future, whether in the form of a lien, charge, encumbrance, mortgage, deed of trust, security deed, assignment, pledge, crop pledge, chattel mortgage, collateral chattel mortgage, chattel trust, factor's lien, equipment trust, conditional sale, trust receipt, lien or title retention contract, lease or consignment intended as a security device, or any other security or lien interest whatsoever whether created by law, contract, or otherwise.

BORROWER ACKNOWLEDGES HAVING READ ALL THE PROVISIONS OF THIS BUSINESS LOAN AGREEMENT AND BORROWER AGREES TO ITS TERMS. THIS BUSINESS LOAN AGREEMENT IS DATED JULY 30, 2010.

BORROWER:

SHERIDAN EQUITIES, LLC

By: [redacted]
David S. Schweikert, Manager of Sheridan Equities, LLC

X [redacted]
David S. Schweikert, Individually

X [redacted]
Joyce R. Schweikert, Individually

LENDER:

METRO PHOENIX BANK

By: [redacted]
Richard A. Strom, Vice President

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LIMITED LIABILITY COM .NY RESOLUTION TO BORR V / GRANT COLLATERAL

Principal	Loan Date	Maturity	Loan No	Call / Coll	Account	Officer	Initials
$75,000.00	07-30-2010	08-05-2011	[redacted]	131 / 13		RAS	

References in the boxes above are for Lender's use only and do not limit the applicability of this document to any particular loan or item. Any item above containing "****" has been omitted due to text length limitations.

Borrower: Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert
[redacted]

Lender: Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

Company: Sheridan Equities, LLC
15749 E. El Lago Blvd
Fountain Hills, AZ 85268

I, THE UNDERSIGNED, DO HEREBY CERTIFY THAT:

THE COMPANY'S EXISTENCE. The complete and correct name of the Company is Sheridan Equities, LLC ("Company"). The Company is a limited liability company which is, and at all times shall be, duly organized, validly existing, and in good standing under and by virtue of the laws of the State of Arizona. The Company is duly authorized to transact business in all other states in which the Company is doing business, having obtained all necessary filings, governmental licenses and approvals for each state in which the Company is doing business. Specifically, the Company is, and at all times shall be, duly qualified as a foreign limited liability company in all states in which the failure to so qualify would have a material adverse effect on its business or financial condition. The Company has the full power and authority to own its properties and to transact the business in which it is presently engaged or presently proposes to engage. The Company maintains an office at 15749 E. El Lago Blvd, Fountain Hills, AZ 85268. Unless the Company has designated otherwise in writing, the principal office is the office at which the Company keeps its books and records. The Company will notify Lender prior to any change in the location of the Company's state of organization or any change in the Company's name. The Company shall do all things necessary to preserve and to keep in full force and effect its existence, rights and privileges, and shall comply with all regulations, rules, ordinances, statutes, orders and decrees of any governmental or quasi-governmental authority or court applicable to the Company and the Company's business activities.

RESOLUTIONS ADOPTED. At a meeting of the members of the Company, duly called and held on _____, at which a quorum was present and voting, or by other duly authorized action in lieu of a meeting, the resolutions set forth in this Resolution were adopted.

MANAGER. The following named person is a manager of Sheridan Equities, LLC.

NAMES	TITLES	AUTHORIZED	ACTUAL SIGNATURES
David S. Schweikert	Manager	Y	[redacted]

ACTIONS AUTHORIZED. The authorized person listed above may enter into any agreements of any nature with Lender, and those agreements will bind the Company. Specifically, but without limitation, the authorized person is authorized, empowered, and directed to do the following for and on behalf of the Company:

Borrow Money. To borrow, as a debtor or otherwise, from time to time from Lender, on such terms as may be agreed upon between the Company and Lender, such sum or sums of money as in his or her judgment should be borrowed, without limitation.

Execute Notes. To execute and deliver to Lender the promissory note or notes, or other evidence of the Company's credit accommodations, on Lender's forms, at such rates of interest and on such terms as may be agreed upon, evidencing the sums of money so borrowed or any of the Company's indebtedness to Lender, and also to execute and deliver to Lender one or more renewals, extensions, modifications, refinancings, consolidations, or substitutions for one or more of the notes, any portion of the notes, or any other evidence of credit accommodations.

Grant Security. To mortgage, pledge, transfer, endorse, hypothecate, or otherwise encumber and deliver to Lender any property now or hereafter belonging to the Company or in which the Company now or hereafter may have an interest, including without limitation all of the Company's real property and all of the Company's personal property (tangible or intangible), as security for the payment of any loans or credit accommodations so obtained, any promissory notes so executed (including any amendments to or modifications, renewals, and extensions of such promissory notes), or any other or further indebtedness of the Company to Lender at any time owing, however the same may be evidenced. Such property may be mortgaged, pledged, transferred, endorsed, hypothecated or encumbered at the time such loans are obtained or such indebtedness is incurred, or at any other time or times, and may be either in addition to or in lieu of any property theretofore mortgaged, pledged, transferred, endorsed, hypothecated or encumbered.

Execute Security Documents. To execute and deliver to Lender the forms of mortgage, deed of trust, pledge agreement, hypothecation agreement, and other security agreements and financing statements which Lender may require and which shall evidence the terms and conditions under and pursuant to which such liens and encumbrances, or any of them, are given; and also to execute and deliver to Lender any other written instruments, any chattel paper, or any other collateral, of any kind or nature, which Lender may deem necessary or proper in connection with or pertaining to the giving of the liens and encumbrances.

Deposit Accounts. To open one or more depository accounts in the Company's name and sign and deliver all documents or items required to fulfill the conditions of all banking business, including without limitation the initiation of wire transfers, until authority is revoked by action of the Company on written notice to Lender.

Negotiate Items. To draw, endorse, and discount with Lender all drafts, trade acceptances, promissory notes, or other evidences of indebtedness payable to or belonging to the Company or in which the Company may have an interest, and either to receive cash for the same or to cause such proceeds to be credited to the Company's account with Lender, or to cause such other disposition of the proceeds derived therefrom as he or she may deem advisable.

Further Acts. In the case of lines of credit, to designate additional or alternate individuals as being authorized to request advances under such lines, and in all cases, to do and perform such other acts and things, to pay any and all fees and costs, and to execute and deliver such other documents and agreements, **including agreements waiving the right to a trial by jury**, as the manager may in his or her discretion deem reasonably necessary or proper in order to carry into effect the provisions of this Resolution. The following person or persons are authorized to request advances and authorize payments under the line of credit until Lender receives from the Company, at Lender's address shown above, written notice of revocation of such authority: **David S. Schweikert, Manager of Sheridan Equities, LLC; David S. Schweikert, individually; and Joyce R. Schweikert, individually.**

ASSUMED BUSINESS NAMES. The Company has filed or recorded all documents or filings required by law relating to all assumed business names used by the Company. Excluding the name of the Company, the following is a complete list of all assumed business names under which the Company does business: **None.**

MULTIPLE BORROWERS. The Company may enter into transactions in which there are multiple borrowers on obligations to Lender and the Company understands and agrees that, with or without notice to the Company, Lender may discharge or release any party or collateral securing an obligation, grant any extension of time for payment, delay enforcing any rights granted to Lender, or take any other action or inaction, without the loss to Lender of any of its rights against the Company, and that Lender may modify transactions without the consent of or notice to anyone other than the party with whom the modification is made.

NOTICES TO LENDER. The Company will promptly notify Lender in writing at Lender's address shown above (or such other addresses as Lender may designate from time to time) prior to any: (A) change in the Company's name; (B) change in the Company's assumed business name(s); (C) change in the management or in the Managers of the Company; (D) change in the authorized signers; (E) change in the Company's principal office address; (F) change in the Company's state of organization; (G) conversion of the Company to a new or different type of business entity; or (H) change in any prior report of the Company that directly or indirectly relates to any agreements between the Company and Lender. No change in the Company's name or state of organization will take effect until after Lender has received notice.

CERTIFICATION CONCERNING MANAGERS AND RESOLUTIONS. The manager named above is duly elected, appointed, or employed by or for the Company, as the case may be, and occupies the position set opposite his or her respective name. This Resolution now stands of record on the books of the Company, is in full force and effect, and has not been modified or revoked in any manner whatsoever.

CONTINUING VALIDITY. Any and all acts authorized pursuant to this Resolution and performed prior to the passage of this Resolution are hereby ratified and approved. This Resolution shall be continuing, shall remain in full force and effect and Lender may rely on it until written notice of its revocation shall have been delivered to and received by Lender at Lender's address shown above (or such addresses as Lender may designate from time to time). Any such notice shall not affect any of the Company's agreements or commitments in effect at the time notice is given.

IN TESTIMONY WHEREOF, I have hereunto set my hand and attest that the signature set opposite the name listed above is his or her genuine

MPB_0321

LIMITED LIABILITY C[illegible]PANY RESOLUTION TO BORRO[illegible] GRANT COLLATERAL

Loan No: [redacted] (Continued) Page 2

signature.

I have read all the provisions of this Resolution, and I personally and on behalf of the Company certify that all statements and representations made in this Resolution are true and correct. This Limited Liability Company Resolution to Borrow / Grant Collateral is dated July 30, 2010.

CERTIFIED TO AND ATTESTED BY:

X [redacted]

David S. Schweikert, Manager of Sheridan Equities, LLC

NOTE: If the manager signing this Resolution is designated by the foregoing document as one of the managers authorized to act on the Company's behalf, it is advisable to have this Resolution signed by at least one non-authorized manager of the Company.

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OFFICIAL RECORDS OF
MARICOPA COUNTY RECORDER
HELEN PURCELL
20100657371,08/02/2010 02:06
ELECTRONIC RECORDING
!04266-11-2-1--,N

THOMAS TITLE & ESCROW

RECORDATION REQUESTED BY:
Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

WHEN RECORDED MAIL TO:
Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Suite #150
Phoenix, AZ 85008

104266 FOR RECORDER'S USE ONLY
1 of 2

DEED OF TRUST

THIS DEED OF TRUST is dated July 30, 2010, among Sheridan Equities, LLC, whose address is 15749 E. El Lago Blvd, Fountain Hills, AZ 85268 ("Trustor"); Metro Phoenix Bank, whose address is Main Office, 4686 E. Van Buren Street, Ste #150, Phoenix, AZ 85008 (referred to below sometimes as "Lender" and sometimes as "Beneficiary"); and Metro Phoenix Bank, whose address is 4686 E. Van Buren Rd., Ste #150 & #190, Phoenix, AZ 85008 (referred to below as "Trustee").

CONVEYANCE AND GRANT. For valuable consideration, Trustor conveys to Trustee in trust, with power of sale, for the benefit of Lender as Beneficiary, all of Trustor's right, title, and interest in and to the following described real property, together with all existing or subsequently erected or affixed buildings, improvements and fixtures; all easements, rights of way, and appurtenances; all water and water rights flowing through, belonging or in anyway appertaining to the Real Property, and all of Trustor's water rights that are personal property under Arizona law, including without limitation all type 2 nonirrigation grandfathered rights (if applicable), all irrigation rights, all ditch rights, rights to irrigation district stock, all contracts for effluent, all contracts for Central Arizona Project water, and all other contractual rights to water, and together with all rights (but none of the duties) of Trustor as declarant under any presently recorded declaration of covenants, conditions and restrictions affecting real property; and all other rights royalties, and profits relating to the real property, including without limitation all minerals, oil, gas, geothermal and similar matters, (the "Real Property") located in Maricopa County, State of Arizona:

Lot 460, Sunset Knoll Unit Three, according to the plat of record in the Office fo the County Recorder of Maricopa County, Arizona, in Book 88 of Maps, Page 23.

The Real Property or its address is commonly known as 3031 N. 64th Drive, Phoenix, AZ 85033. The Real Property tax identification number is 103-49-002.

CROSS-COLLATERALIZATION. In addition to the Note, this Deed of Trust secures all obligations, debts and liabilities, plus interest thereon, of either Trustor or Borrower to Lender, or any one or more of them, as well as all claims by Lender against Borrower and Trustor or any one or more of them, whether now existing or hereafter arising, whether related or unrelated to the purpose of the Note, whether voluntary or otherwise, whether due or not due, direct or indirect, determined or undetermined, absolute or contingent, liquidated or unliquidated, whether Borrower or Trustor may be liable individually or jointly with others, whether obligated as guarantor, surety, accommodation party or otherwise, and whether recovery upon such amounts may be or hereafter may become barred by any statute of limitations, and whether the obligation to repay such amounts may be or hereafter may become otherwise unenforceable.

REVOLVING LINE OF CREDIT. This Deed of Trust secures the indebtedness including, without limitation, a revolving line of credit, which obligates Lender to make advances to Borrower so long as Borrower complies with all the terms of the Note.

Trustor presently assigns to Lender (also known as Beneficiary in this Deed of Trust) all of Trustor's right, title, and interest in and to all present and future leases of the Property and all Rents from the Property. In addition, Trustor grants to Lender a Uniform Commercial Code security interest in the Personal Property and Rents.

THIS DEED OF TRUST, INCLUDING THE ASSIGNMENT OF RENTS AND THE SECURITY INTEREST IN THE RENTS AND PERSONAL PROPERTY, IS GIVEN TO SECURE (A) PAYMENT OF THE INDEBTEDNESS AND (B) PERFORMANCE OF ANY AND ALL OBLIGATIONS UNDER THE NOTE, THE RELATED DOCUMENTS, AND THIS DEED OF TRUST. THIS DEED OF TRUST IS GIVEN AND ACCEPTED ON THE FOLLOWING TERMS:

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TRUSTOR'S REPRESENTATIONS AND WARRANTIES. Trustor warrants that: (a) this Deed of Trust is executed at Borrower's request and not at the request of Lender; (b) Trustor has the full power, right, and authority to enter into this Deed of Trust and to hypothecate the Property; (c) the provisions of this Deed of Trust do not conflict with, or result in a default under any agreement or other instrument binding upon Trustor and do not result in a violation of any law, regulation, court decree or order applicable to Trustor; (d) Trustor has established adequate means of obtaining from Borrower on a continuing basis information about Borrower's financial condition; and (e) Lender has made no representation to Trustor about Borrower (including without limitation the creditworthiness of Borrower).

TRUSTOR'S WAIVERS. Trustor waives all rights or defenses arising by reason of any "one action" or "anti-deficiency" law, or any other law which may prevent Lender from bringing any action against Trustor, including a claim for deficiency to the extent Lender is otherwise entitled to a claim for deficiency, before or after Lender's commencement or completion of any foreclosure action, either judicially or by exercise of a power of sale. In addition to the waivers set forth above, Trustor expressly waives, to the extent permitted by Arizona law, all of Trustor's rights under sections 12-1641 through 12-1846 inclusive, 44-142 of the Arizona Revised Statutes, and Rule 17(f) of the Arizona Rules of Civil Procedure, as now enacted or hereafter modified, amended or replaced.

PAYMENT AND PERFORMANCE. Except as otherwise provided in this Deed of Trust, Borrower and Trustor shall pay to Lender all indebtedness secured by this Deed of Trust as it becomes due, and Borrower and Trustor shall strictly perform all their respective obligations under the Note, this Deed of Trust, and the Related Documents.

POSSESSION AND MAINTENANCE OF THE PROPERTY. Borrower and Trustor agree that Borrower's and Trustor's possession and use of the Property shall be governed by the following provisions:

Possession and Use. Until the occurrence of an Event of Default, Trustor may: (1) remain in possession and control of the Property; (2) use, operate or manage the Property; and (3) collect the Rents from the Property.

Duty to Maintain. Trustor shall maintain the Property in tenantable condition and promptly perform all repairs, replacements, and maintenance necessary to preserve its value.

Compliance With Environmental Laws. Trustor represents and warrants to Lender that: (1) During the period of Trustor's ownership of the Property, there has been no use, generation, manufacture, storage, treatment, disposal, release or threatened release of any Hazardous Substance by any person on, under, about or from the Property; (2) Trustor has no knowledge of, or reason to believe that there has been, except as previously disclosed to and acknowledged by Lender in writing, (a) any breach or violation of any Environmental Laws, (b) any use, generation, manufacture, storage, treatment, disposal, release or threatened release of any Hazardous Substance on, under, about or from the Property by any prior owners or occupants of the Property, or (c) any actual or threatened litigation or claims of any kind by any person relating to such matters; and (3) Except as previously disclosed to and acknowledged by Lender in writing, (a) neither Trustor nor any tenant, contractor, agent or other authorized user of the Property shall use, generate, manufacture, store, treat, dispose of or release any Hazardous Substance on, under, about or from the Property; and (b) any such activity shall be conducted in compliance with all applicable federal, state, and local laws, regulations and ordinances, including without limitation all Environmental Laws. Trustor authorizes Lender and its agents to enter upon the Property to make such inspections and tests, at Trustor's expense, as Lender may deem appropriate to determine compliance of the Property with this section of the Deed of Trust. Beneficiary, at its option, but without obligation to do so, may correct any condition violating any applicable Environmental Law affecting the Property, and in doing so shall conclusively be deemed to be acting reasonably and for the purpose of protecting the value of its collateral, and all costs of correcting a condition or violation shall be payable to Beneficiary by Trustor as provided in the Expenditures by Lender section of this Deed of Trust. Any inspections or tests made by Lender shall be for Lender's purposes only and shall not be construed to create any responsibility or liability on the part of Lender to Trustor or to any other person. The representations and warranties contained herein are based on Trustor's due diligence in investigating the Property for Hazardous Substances. Trustor hereby: (1) releases and waives any future claims against Lender for indemnity or contribution in the event Trustor becomes liable for cleanup or other costs under any such laws; and (2) agrees to indemnify, defend, and hold harmless Lender against any and all claims, losses, liabilities, damages, penalties, and expenses which Lender may directly or indirectly sustain or suffer resulting from a breach of this section of the Deed of Trust or as a consequence of any use, generation, manufacture, storage, disposal, release or threatened release occurring prior to Trustor's ownership or interest in the Property, whether or not the same was or should have been known to Trustor. The provisions of this section of the Deed of Trust, including the obligation to indemnify and defend, shall survive the payment of the indebtedness and the satisfaction and reconveyance of the lien of this Deed of Trust and shall not be affected by Lender's acquisition of any interest in the Property, whether by foreclosure or otherwise.

Nuisance, Waste. Trustor shall not cause, conduct or permit any nuisance nor commit, permit, or suffer any stripping of or waste on or to the Property or any portion of the Property. Without limiting the generality of the foregoing, Trustor will not remove, or grant to any other party the right to remove, any timber, minerals (including oil and gas), coal, clay, scoria, soil, gravel or rock products without Lender's prior written consent.

Removal of Improvements. Trustor shall not demolish or remove any Improvements from the Real Property without Lender's prior written consent. As a condition to the removal of any Improvements, Lender may

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require Trustor to make arrangements satisfactory to Lender to replace such Improvements with Improvements of at least equal value.

Lender's Right to Enter. Lender and Lender's agents and representatives may enter upon the Real Property at all reasonable times to attend to Lender's interests and to inspect the Real Property for purposes of Trustor's compliance with the terms and conditions of this Deed of Trust.

Compliance with Governmental Requirements. Trustor shall promptly comply with all laws, ordinances, and regulations, now or hereafter in effect, of all governmental authorities applicable to the use or occupancy of the Property, including without limitation, the Americans With Disabilities Act. Trustor may contest in good faith any such law, ordinance, or regulation and withhold compliance during any proceeding, including appropriate appeals, so long as Trustor has notified Lender in writing prior to doing so and so long as, in Lender's sole opinion, Lender's interests in the Property are not jeopardized. Lender may require Trustor to post adequate security or a surety bond, reasonably satisfactory to Lender, to protect Lender's interest.

Duty to Protect. Trustor agrees neither to abandon or leave unattended the Property. Trustor shall do all other acts, in addition to those acts set forth above in this section, which from the character and use of the Property are reasonably necessary to protect and preserve the Property.

DUE ON SALE - CONSENT BY LENDER. Lender may, at Lender's option, declare immediately due and payable all sums secured by this Deed of Trust upon the sale or transfer, without Lender's prior written consent, of all or any part of the Real Property, or any interest in the Real Property. A "sale or transfer" means the conveyance of Real Property or any right, title or interest in the Real Property; whether legal, beneficial or equitable; whether voluntary or involuntary; whether by outright sale, deed, installment sale contract, land contract, contract for deed, leasehold interest with a term greater than three (3) years, lease-option contract, or by sale, assignment, or transfer of any beneficial interest in or to any land trust holding title to the Real Property, or by any other method of conveyance of an interest in the Real Property. If any Trustor is a corporation, partnership or limited liability company, transfer also includes any change in ownership of more than twenty-five percent (25%) of the voting stock, partnership interests or limited liability company interests, as the case may be, of such Trustor. However, this option shall not be exercised by Lender if such exercise is prohibited by federal law or by Arizona law.

TAXES AND LIENS. The following provisions relating to the taxes and liens on the Property are part of this Deed of Trust:

Payment. Trustor shall pay when due (and in all events prior to delinquency) all taxes and assessments, including without limitation sales or use taxes in any state, local privilege or excise taxes based on gross revenues, special taxes, charges (including water and sewer), fines and impositions levied against Trustor or on account of the Property, and shall pay when due all claims for work done on or for services rendered or material furnished to the Property. Trustor shall maintain the Property free of all liens having priority over or equal to the interest of Lender under this Deed of Trust, except for the lien of taxes and assessments not due and except as otherwise provided in this Deed of Trust. Beneficiary shall have the right, but not the duty or obligation, to charge Trustor for any such taxes or assessments in advance of payment. In no event does exercise or non-exercise by Beneficiary of this right relieve Trustor from Trustor's obligation under this Deed of Trust or impose any liability whatsoever on Beneficiary.

Right to Contest. Trustor may withhold payment of any tax, assessment, or claim in connection with a good faith dispute over the obligation to pay, so long as Lender's interest in the Property is not jeopardized. If a lien arises or is filed as a result of nonpayment, Trustor shall within fifteen (15) days after the lien arises or, if a lien is filed, within fifteen (15) days after Trustor has notice of the filing, secure the discharge of the lien, or if requested by Lender, deposit with Lender cash or a sufficient corporate surety bond or other security satisfactory to Lender in an amount sufficient to discharge the lien plus any costs and attorneys' fees, or other charges that could accrue as a result of a foreclosure or sale under the lien. In any contest, Trustor shall defend itself and Lender and shall satisfy any adverse judgment before enforcement against the Property. Trustor shall name Lender as an additional obligee under any surety bond furnished in the contest proceedings.

Evidence of Payment. Trustor shall upon demand furnish to Lender satisfactory evidence of payment of the taxes or assessments and shall authorize the appropriate governmental official to deliver to Lender at any time a written statement of the taxes and assessments against the Property.

Notice of Construction. Trustor shall notify Lender at least fifteen (15) days before any work is commenced, any services are furnished, or any materials are supplied to the Property, if any mechanic's lien, materialmen's lien, or other lien could be asserted on account of the work, services, or materials. Trustor will upon request of Lender furnish to Lender advance assurances satisfactory to Lender that Trustor can and will pay the cost of such improvements.

PROPERTY DAMAGE INSURANCE. The following provisions relating to insuring the Property are a part of this Deed of Trust.

Maintenance of Insurance. Trustor shall procure and maintain policies of fire insurance with standard extended coverage endorsements on a replacement basis for the full insurable value covering all Improvements on the Real Property in an amount sufficient to avoid application of any coinsurance clause, and with a standard mortgagee clause in favor of Lender. Trustor shall also procure and maintain comprehensive general liability insurance in such coverage amounts as Lender may request with Trustee and Lender being named as additional insureds in such liability insurance policies. Additionally, Trustor

MPB_0071

shall maintain such other insurance, including but not limited to hazard, business interruption, and boiler insurance, as Lender may reasonably require. Policies shall be written in form, amounts, coverages and basis reasonably acceptable to Lender and issued by a company or companies reasonably acceptable to Lender. Trustor, upon request of Lender, will deliver to Lender from time to time the policies or certificates of insurance in form satisfactory to Lender, including stipulations that coverages will not be cancelled or diminished without at least ten (10) days prior written notice to Lender. Each insurance policy also shall include an endorsement providing that coverage in favor of Lender will not be impaired in any way by any act, omission or default of Trustor or any other person. Should the Real Property be located in an area designated by the Director of the Federal Emergency Management Agency as a special flood hazard area, Trustor agrees to obtain and maintain Federal Flood Insurance, if available, within 45 days after notice is given by Lender that the Property is located in a special flood hazard area, for the full unpaid principal balance of the loan and any prior liens on the property securing the loan, up to the maximum policy limits set under the National Flood Insurance Program, or as otherwise required by Lender, and to maintain such insurance for the term of the loan.

Application of Proceeds. Trustor shall promptly notify Lender of any loss or damage to the Property. Lender may make proof of loss if Trustor fails to do so within fifteen (15) days of the casualty. Whether or not Lender's security is impaired, Lender may, at Lender's election, receive and retain the proceeds of any insurance and apply the proceeds to the reduction of the Indebtedness, payment of any lien affecting the Property, or the restoration and repair of the Property. If Lender elects to apply the proceeds to restoration and repair, Trustor shall repair or replace the damaged or destroyed Improvements in a manner satisfactory to Lender. Lender shall, upon satisfactory proof of such expenditure, pay or reimburse Trustor from the proceeds for the reasonable cost of repair or restoration if Trustor is not in default under this Deed of Trust. Any proceeds which have not been disbursed within 180 days after their receipt and which Lender has not committed to the repair or restoration of the Property shall be used first to pay any amount owing to Lender under this Deed of Trust, then to pay accrued interest, and the remainder, if any, shall be applied to the principal balance of the Indebtedness. If Lender holds any proceeds after payment in full of the Indebtedness, such proceeds shall be paid to Trustor as Trustor's interests may appear.

Trustor's Report on Insurance. Upon request of Lender, however not more than once a year, Trustor shall furnish to Lender a report on each existing policy of insurance showing: (1) the name of the insurer; (2) the risks insured; (3) the amount of the policy; (4) the property insured, the then current replacement value of such property, and the manner of determining that value; and (5) the expiration date of the policy. Trustor shall, upon request of Lender, have an independent appraiser satisfactory to Lender determine the cash value replacement cost of the Property.

TAX AND INSURANCE RESERVES. Subject to any limitations and consistent with any requirements set by applicable law, Lender may require Trustor to maintain with Lender reserves for payment of annual taxes, assessments, and insurance premiums, which reserves shall be created by an initial deposit and subsequent monthly payments, or payments at such other interval as payments under the Note may be due, of a sum estimated by Lender to be sufficient to pay the total annual taxes, assessments, and insurance premiums Lender reasonably anticipates to be paid from these reserves. The reserve funds shall be held by Lender as a general deposit from Trustor, which Lender may satisfy by payment of the taxes, assessments, and insurance premiums required to be paid by Trustor as they become due. Lender shall have the right to draw upon the reserve funds to pay such items, and Lender shall not be required to determine the validity or accuracy of any item before paying it. Nothing in the Deed of Trust shall be construed as requiring Lender to advance other monies for such purposes, and Lender shall not incur any liability for anything it may do or omit to do with respect to the reserve account. Subject to any limitations set by applicable law, if the reserve funds disclose a shortage or deficiency, Trustor shall pay such shortage or deficiency as required by Lender. All amounts in the reserve account are hereby pledged to further secure the Indebtedness, and Lender is hereby authorized to withdraw and apply such amounts on the Indebtedness upon the occurrence of an Event of Default. Lender shall not be required to pay any interest or earnings on the reserve funds unless required by law or agreed to by Lender in writing. Lender does not hold the reserve funds in trust for Trustor, and Lender is not Trustor's agent for payment of the taxes and assessments required to be paid by Trustor.

LENDER'S EXPENDITURES. If any action or proceeding is commenced that would materially affect Lender's interest in the Property or if Trustor fails to comply with any provision of this Deed of Trust or any Related Documents, including but not limited to Trustor's failure to discharge or pay when due any amounts Trustor is required to discharge or pay under this Deed of Trust or any Related Documents, Lender on Trustor's behalf may (but shall not be obligated to) take any action that Lender deems appropriate, to the extent permitted by applicable law, including but not limited to discharging or paying all taxes, liens, security interests, encumbrances and other claims, at any time levied or placed on the Property and paying all costs for insuring, maintaining and preserving the Property. All such expenditures incurred or paid by Lender for such purposes will then bear interest at the rate charged under the Note from the date incurred or paid by Lender to the date of repayment by Trustor. All such expenses will become a part of the Indebtedness and, at Lender's option, will (A) be payable on demand; (B) be added to the balance of the Note and be apportioned among and be payable with any installment payments to become due during either (1) the term of any applicable insurance policy; or (2) the remaining term of the Note; or (C) be treated as a balloon payment which will be due and payable at the Note's maturity. The Deed of Trust also will secure payment of these amounts. Such right shall be in addition to all other rights and remedies to which Lender may be entitled upon Default and shall be exercisable by Lender to the extent permitted by applicable law.

WARRANTY; DEFENSE OF TITLE. The following provisions relating to ownership of the Property are a part of

this Deed of Trust:

Title. Trustor warrants that: (a) Trustor holds good and marketable title of record to the Property in fee simple, free and clear of all liens and encumbrances other than those set forth in the Real Property description or in any title insurance policy, title report, or final title opinion issued in favor of, and accepted by, Lender, or have otherwise been previously disclosed to and accepted by Lender in writing in connection with this Deed of Trust, and (b) Trustor has the full right, power, and authority to execute and deliver this Deed of Trust to Lender.

Defense of Title. Subject to the exception in the paragraph above, Trustor warrants and will forever defend the title to the Property against the lawful claims of all persons. In the event any action or proceeding is commenced that questions Trustor's title or the interest of Trustee or Lender under this Deed of Trust, Trustor shall defend the action at Trustor's expense. Trustor may be the nominal party in such proceeding, but Lender shall be entitled to participate in the proceeding and to be represented in the proceeding by counsel of Lender's own choice, and Trustor will deliver, or cause to be delivered, to Lender such instruments as Lender may request from time to time to permit such participation.

Compliance With Laws. Trustor warrants that the Property and Trustor's use of the Property complies with all existing applicable laws, ordinances, and regulations of governmental authorities.

Survival of Representations and Warranties. All representations, warranties, and agreements made by Trustor in this Deed of Trust shall survive the execution and delivery of this Deed of Trust, shall be continuing in nature, and shall remain in full force and effect until such time as Borrower's Indebtedness shall be paid in full.

CONDEMNATION. The following provisions relating to condemnation proceedings are a part of this Deed of Trust:

Proceedings. If any proceeding in condemnation is filed, Trustor shall promptly notify Lender in writing, and Trustor shall promptly take such steps as may be necessary to defend the action and obtain the award. Trustor may be the nominal party in such proceeding, but Lender shall be entitled to participate in the proceeding and to be represented in the proceeding by counsel of its own choice, and Trustor will deliver or cause to be delivered to Lender such instruments and documentation as may be requested by Lender from time to time to permit such participation.

Application of Net Proceeds. If all or any part of the Property is condemned by eminent domain proceedings or by any proceeding or purchase in lieu of condemnation, Lender may at its election require that all or any portion of the net proceeds of the award be applied to the Indebtedness or the repair or restoration of the Property. The net proceeds of the award shall mean the award after payment of all reasonable costs, expenses, and attorneys' fees incurred by Trustee or Lender in connection with the condemnation.

IMPOSITION OF TAXES, FEES AND CHARGES BY GOVERNMENTAL AUTHORITIES. The following provisions relating to governmental taxes, fees and charges are a part of this Deed of Trust:

Current Taxes, Fees and Charges. Upon request by Lender, Trustor shall execute such documents in addition to this Deed of Trust and take whatever other action is requested by Lender to perfect and continue Lender's lien on the Real Property. Trustor shall reimburse Lender for all taxes, as described below, together with all expenses incurred in recording, perfecting or continuing this Deed of Trust, including without limitation all taxes, fees, documentary stamps, and other charges for recording or registering this Deed of Trust.

Taxes. The following shall constitute taxes to which this section applies: (1) a specific tax upon this type of Deed of Trust or upon all or any part of the Indebtedness secured by this Deed of Trust; (2) a specific tax on Borrower which Borrower is authorized or required to deduct from payments on the Indebtedness secured by this type of Deed of Trust; (3) a tax on this type of Deed of Trust chargeable against the Lender or the holder of the Note; and (4) a specific tax on all or any portion of the Indebtedness or on payments of principal and interest made by Borrower.

Subsequent Taxes. If any tax to which this section applies is enacted subsequent to the date of this Deed of Trust, this event shall have the same effect as an Event of Default, and Lender may exercise any or all of its available remedies for an Event of Default as provided below unless Trustor either (1) pays the tax before it becomes delinquent, or (2) contests the tax as provided above in the Taxes and Liens section and deposits with Lender cash or a sufficient corporate surety bond or other security satisfactory to Lender.

SECURITY AGREEMENT; FINANCING STATEMENTS. The following provisions relating to this Deed of Trust as a security agreement are a part of this Deed of Trust:

Security Agreement. This instrument shall constitute a Security Agreement to the extent any of the Property constitutes fixtures, and Lender shall have all of the rights of a secured party under the Uniform Commercial Code as amended from time to time.

Security Interest. Upon request by Lender, Trustor shall take whatever action is requested by Lender to perfect and continue Lender's security interest in the Rents and Personal Property. In addition to recording this Deed of Trust in the real property records, Lender may, at any time and without further authorization from Trustor, file executed counterparts, copies or reproductions of this Deed of Trust as a financing statement. Trustor shall reimburse Lender for all expenses incurred in perfecting or continuing this security

MPB_0073

interest. Upon default, Trustor shall not remove, sever or detach the Personal Property from the Property. Upon default, Trustor shall assemble any Personal Property not affixed to the Property in a manner and at a place reasonably convenient to Trustor and Lender and make it available to Lender within three (3) days after receipt of written demand from Lender to the extent permitted by applicable law.

Addresses. The mailing addresses of Trustor (debtor) and Lender (secured party) from which information concerning the security interest granted by this Deed of Trust may be obtained (each as required by the Uniform Commercial Code) are as stated on the first page of this Deed of Trust.

FURTHER ASSURANCES; ATTORNEY-IN-FACT. The following provisions relating to further assurances and attorney-in-fact are a part of this Deed of Trust:

Further Assurances. At any time, and from time to time, upon request of Lender, Trustor will make, execute and deliver, or will cause to be made, executed or delivered, to Lender or to Lender's designee, and when requested by Lender, cause to be filed, recorded, refiled, or rerecorded, as the case may be, at such times and in such offices and places as Lender may deem appropriate, any and all such mortgages, deeds of trust, security deeds, security agreements, financing statements, continuation statements, instruments of further assurance, certificates, and other documents as may, in the sole opinion of Lender, be necessary or desirable in order to effectuate, complete, perfect, continue, or preserve (1) Borrower's and Trustor's obligations under the Note, this Deed of Trust, and the Related Documents, and (2) the liens and security interests created by this Deed of Trust as first and prior liens on the Property, whether now owned or hereafter acquired by Trustor. Unless prohibited by law or Lender agrees to the contrary in writing, Trustor shall reimburse Lender for all costs and expenses incurred in connection with the matters referred to in this paragraph.

Attorney-in-Fact. If Trustor fails to do any of the things referred to in the preceding paragraph, Lender may do so for and in the name of Trustor and at Trustor's expense. For such purposes, Trustor hereby irrevocably appoints Lender as Trustor's attorney-in-fact for the purpose of making, executing, delivering, filing, recording, and doing all other things as may be necessary or desirable, in Lender's sole opinion, to accomplish the matters referred to in the preceding paragraph.

FULL PERFORMANCE. If Borrower and Trustor pay all the Indebtedness when due, and Trustor otherwise performs all the obligations imposed upon Trustor under this Deed of Trust, Lender shall execute and deliver to Trustee a request for full reconveyance without warranty and shall execute and deliver to Trustor suitable statements of termination of any financing statement on file evidencing Lender's security interest in the Rents and the Personal Property. Any reconveyance fee required by law shall be paid by Trustor, if permitted by applicable law.

EVENTS OF DEFAULT. Each of the following, at Lender's option, shall constitute an Event of Default under this Deed of Trust:

Payment Default. Borrower fails to make any payment when due under the Indebtedness.

Other Defaults. Borrower or Trustor fails to comply with or to perform any other term, obligation, covenant or condition contained in this Deed of Trust or in any of the Related Documents or to comply with or to perform any term, obligation, covenant or condition contained in any other agreement between Lender and Borrower or Trustor.

Compliance Default. Failure to comply with any other term, obligation, covenant or condition contained in this Deed of Trust, the Note or in any of the Related Documents.

Default on Other Payments. Failure of Trustor within the time required by this Deed of Trust to make any payment for taxes or insurance, or any other payment necessary to prevent filing of or to effect discharge of any lien.

Default in Favor of Third Parties. Should Borrower or any Grantor default under any loan, extension of credit, security agreement, purchase or sales agreement, or any other agreement, in favor of any other creditor or person that may materially affect any of Borrower's or any Grantor's property or Borrower's ability to repay the Indebtedness or Borrower's or Grantor's ability to perform their respective obligations under this Deed of Trust or any of the Related Documents.

False Statements. Any warranty, representation or statement made or furnished to Lender by Borrower or Trustor or on Borrower's or Trustor's behalf under this Deed of Trust or the Related Documents is false or misleading in any material respect, either now or at the time made or furnished or becomes false or misleading at any time thereafter.

Defective Collateralization. This Deed of Trust or any of the Related Documents ceases to be in full force and effect (including failure of any collateral document to create a valid and perfected security interest or lien) at any time and for any reason.

Death or Insolvency. The dissolution of Trustor's (regardless of whether election to continue is made), any member withdraws from the limited liability company, or any other termination of Borrower's or Trustor's existence as a going business or the death of any member, the insolvency of Borrower or Trustor, the appointment of a receiver for any part of Borrower's or Trustor's property, any assignment for the benefit of creditors, any type of creditor workout, or the commencement of any proceeding under any bankruptcy or insolvency laws by or against Borrower or Trustor.

Creditor or Forfeiture Proceedings. Commencement of foreclosure or forfeiture proceedings, whether by

MPB_0074

judicial proceeding, self-help, repossession or any other method, by any creditor of Borrower or Trustor or by any governmental agency against any property securing the Indebtedness. This includes a garnishment of any of Borrower's or Trustor's accounts, including deposit accounts, with Lender. However, this Event of Default shall not apply if there is a good faith dispute by Borrower or Trustor as to the validity or reasonableness of the claim which is the basis of the creditor or forfeiture proceeding and if Borrower or Trustor gives Lender written notice of the creditor or forfeiture proceeding and deposits with Lender monies or a surety bond for the creditor or forfeiture proceeding, in an amount determined by Lender, in its sole discretion, as being an adequate reserve or bond for the dispute.

Breach of Other Agreement. Any breach by Borrower or Trustor under the terms of any other agreement between Borrower or Trustor and Lender that is not remedied within any grace period provided therein, including without limitation any agreement concerning any indebtedness or other obligation of Borrower or Trustor to Lender, whether existing now or later.

Events Affecting Guarantor. Any of the preceding events occurs with respect to any guarantor, endorser, surety, or accommodation party of any of the Indebtedness or any guarantor, endorser, surety, or accommodation party dies or becomes incompetent, or revokes or disputes the validity of, or liability under, any Guaranty of the Indebtedness.

Adverse Change. A material adverse change occurs in Borrower's or Trustor's financial condition, or Lender believes the prospect of payment or performance of the Indebtedness is impaired.

Insecurity. Lender in good faith believes itself insecure.

Right to Cure. If any default, other than a default in payment is curable and if Trustor has not been given a notice of a breach of the same provision of this Deed of Trust within the preceding twelve (12) months, it may be cured if Trustor, after Lender sends written notice to Borrower demanding cure of such default: (1) cures the default within ten (10) days; or (2) if the cure requires more than ten (10) days, immediately initiates steps which Lender deems in Lender's sole discretion to be sufficient to cure the default and thereafter continues and completes all reasonable and necessary steps sufficient to produce compliance as soon as reasonably practical.

RIGHTS AND REMEDIES ON DEFAULT. If an Event of Default occurs under this Deed of Trust, at any time thereafter, Trustee or Lender may exercise any one or more of the following rights and remedies:

Election of Remedies. Election by Lender to pursue any remedy shall not exclude pursuit of any other remedy, and an election to make expenditures or to take action to perform an obligation of Trustor under this Deed of Trust, after Trustor's failure to perform, shall not affect Lender's right to declare a default and exercise its remedies.

Accelerate Indebtedness. Lender shall have the right at its option without notice to Borrower or Trustor to declare the entire Indebtedness immediately due and payable, including any prepayment penalty which Borrower would be required to pay.

Foreclosure. With respect to all or any part of the Real Property, the Trustee shall have the right to foreclose by notice and sale, and Lender shall have the right to foreclose by judicial foreclosure, in either case in accordance with and to the full extent provided by applicable law. To the extent permitted by law, Trustor shall be and remain liable for any deficiency remaining after sale, either pursuant to the power of sale or judicial proceedings.

UCC Remedies. With respect to all or any part of the Personal Property, Lender shall have all the rights and remedies of a secured party under the Uniform Commercial Code.

Collect Rents. Lender shall have the right, without notice to Borrower or Trustor to take possession of and manage the Property and collect the Rents, including amounts past due and unpaid, and apply the net proceeds, over and above Lender's costs, against the Indebtedness. In furtherance of this right, Lender may require any tenant or other user of the Property to make payments of rent or use fees directly to Lender. If the Rents are collected by Lender, then Trustor irrevocably designates Lender as Trustor's attorney-in-fact to endorse instruments received in payment thereof in the name of Trustor and to negotiate the same and collect the proceeds. Payments by tenants or other users to Lender in response to Lender's demand shall satisfy the obligations for which the payments are made, whether or not any proper grounds for the demand existed. Lender may exercise its rights under this subparagraph either in person, by agent, or through a receiver.

Appoint Receiver. Lender shall have the right to have a receiver appointed to take possession of all or any part of the Property, with the power to protect and preserve the Property, to operate the Property preceding foreclosure or sale, and to collect the Rents from the Property and apply the proceeds, over and above the cost of the receivership, against the Indebtedness. The receiver may serve without bond if permitted by law. Lender's right to the appointment of a receiver shall exist whether or not the apparent value of the Property exceeds the Indebtedness by a substantial amount. Employment by Lender shall not disqualify a person from serving as a receiver.

Tenancy at Sufferance. If Trustor remains in possession of the Property after the Property is sold as provided above or Lender otherwise becomes entitled to possession of the Property upon default of Borrower or Trustor, Trustor shall become a tenant at sufferance of Lender or the purchaser of the Property and shall, at Lender's option, either (1) pay a reasonable rental for the use of the Property, or (2) vacate the Property immediately upon the demand of Lender.

Other Remedies. Trustee or Lender shall have any other right or remedy provided in this Deed of Trust or the Note or available at law or in equity.

Notice of Sale. Lender shall give Trustor reasonable notice of the time and place of any public sale of the Personal Property or of the time after which any private sale or other intended disposition of the Personal Property is to be made. Reasonable notice shall mean notice given at least ten (10) days before the time of the sale or disposition. Any sale of the Personal Property may be made in conjunction with any sale of the Real Property.

Sale of the Property. To the extent permitted by applicable law, Borrower and Trustor hereby waives any and all rights to have the Property marshalled. In exercising its rights and remedies, the Trustee or Lender shall be free to sell all or any part of the Property together or separately, in one sale or by separate sales. Lender shall be entitled to bid at any public sale on all or any portion of the Property.

Insurance Policies. Lender shall have the right upon an Event of Default, but not the obligation, to assign all of Trustor's right, title and interest in and to all policies of insurance on the Property and any unearned premiums paid on such insurance to any receiver or any purchaser of the Property at a foreclosure sale, and Trustor hereby appoints Lender as attorney in fact to assign and transfer such policies.

Attorneys' Fees; Expenses. If Lender institutes any suit or action to enforce any of the terms of this Deed of Trust, Lender shall be entitled to recover such sum as the court may adjudge reasonable as attorneys' fees at trial and upon any appeal. Whether or not any court action is involved, and to the extent not prohibited by law, all reasonable expenses Lender incurs that in Lender's opinion are necessary at any time for the protection of its interest or the enforcement of its rights shall become a part of the indebtedness payable on demand and shall bear interest at the Note rate from the date of the expenditure until repaid. Expenses covered by this paragraph include, without limitation, however subject to any limits under applicable law, Lender's attorneys' fees and Lender's legal expenses, whether or not there is a lawsuit, including attorneys' fees and expenses for bankruptcy proceedings (including efforts to modify or vacate any automatic stay or injunction), appeals, and any anticipated post-judgment collection services, the cost of searching records, obtaining title reports (including foreclosure reports), surveyors' reports, and appraisal fees, title insurance, and fees for the Trustee, to the extent permitted by applicable law. However, Trustor will only pay attorneys' fees of an attorney not Lender's salaried employee, to whom the matter is referred after Trustor's default. Trustor also will pay any court costs, in addition to all other sums provided by law.

Rights of Trustee. Trustee shall have all of the rights and duties of Lender as set forth in this section.

POWERS AND OBLIGATIONS OF TRUSTEE. The following provisions relating to the powers and obligations of Trustee are part of this Deed of Trust:

Powers of Trustee. In addition to all powers of Trustee arising as a matter of law, Trustee shall have the power to take the following actions with respect to the Property upon the written request of Lender and Trustor: (a) join in preparing and filing a map or plat of the Real Property, including the dedication of streets or other rights to the public; (b) join in granting any easement or creating any restriction on the Real Property; and (c) join in any subordination or other agreement affecting this Deed of Trust or the interest of Lender under this Deed of Trust.

Obligations to Notify. Trustee shall not be obligated to notify any other party of a pending sale under any other trust deed or lien, or of any action or proceeding in which Trustor, Lender, or Trustee shall be a party, unless the action or proceeding is brought by Trustee.

Trustee. Trustee shall meet all qualifications required for Trustee under applicable law. In addition to the rights and remedies set forth above, with respect to all or any part of the Property, the Trustee shall have the right to foreclose by notice and sale, and Lender shall have the right to foreclose by judicial foreclosure, in either case in accordance with and to the full extent provided by applicable law.

Successor Trustee. Lender, at Lender's option, may from time to time appoint a successor Trustee to any Trustee appointed under this Deed of Trust by an instrument executed and acknowledged by Lender and recorded in the office of the recorder of Maricopa County, State of Arizona. The instrument shall contain, in addition to all other matters required by state law, the names of the original Lender, Trustee, and Trustor, the book and page where this Deed of Trust is recorded, and the name and address of the successor trustee, and the instrument shall be executed and acknowledged by Lender or its successors in interest. The successor trustee, without conveyance of the Property, shall succeed to all the title, power, and duties conferred upon the Trustee in this Deed of Trust and by applicable law. This procedure for substitution of Trustee shall govern to the exclusion of all other provisions for substitution.

NOTICES. Any notice required to be given under this Deed of Trust, including without limitation any notice of default and any notice of sale shall be given in writing, and shall be effective when actually delivered, when actually received by telefacsimile (unless otherwise required by law), when deposited with a nationally recognized overnight courier, or, if mailed, when deposited in the United States mail, as first class, certified or registered mail postage prepaid, directed to the addresses shown near the beginning of this Deed of Trust. All copies of notices of foreclosure from the holder of any lien which has priority over this Deed of Trust shall be sent to Lender's address, as shown near the beginning of this Deed of Trust. Any party may change its address for notices under this Deed of Trust by giving formal written notice to the other parties, specifying that the purpose of the notice is to change the party's address. For notice purposes, Trustor agrees to keep Lender informed at all times of Trustor's current address. Unless otherwise provided or required by law, if there is more than one Trustor, any notice given by Lender to any Trustor is deemed to be notice given to all Trustors.

MISCELLANEOUS PROVISIONS. The following miscellaneous provisions are a part of this Deed of Trust:

Amendments. This Deed of Trust, together with any Related Documents, constitutes the entire understanding and agreement of the parties as to the matters set forth in this Deed of Trust. No alteration of or amendment to this Deed of Trust shall be effective unless given in writing and signed by the party or parties sought to be charged or bound by the alteration or amendment.

Annual Reports. If the Property is used for purposes other than Trustor's residence, Trustor shall furnish to Lender, upon request, a certified statement of net operating income received from the Property during Trustor's previous fiscal year in such form and detail as Lender shall require. "Net operating income" shall mean all cash receipts from the Property less all cash expenditures made in connection with the operation of the Property.

Caption Headings. Caption headings in this Deed of Trust are for convenience purposes only and are not to be used to interpret or define the provisions of this Deed of Trust.

Merger. There shall be no merger of the interest or estate created by this Deed of Trust with any other interest or estate in the Property at any time held by or for the benefit of Lender in any capacity, without the written consent of Lender.

Governing Law. This Deed of Trust will be governed by federal law applicable to Lender and, to the extent not preempted by federal law, the laws of the State of Arizona without regard to its conflicts of law provisions. This Deed of Trust has been accepted by Lender in the State of Arizona.

Joint and Several Liability. All obligations of Borrower and Trustor under this Deed of Trust shall be joint and several, and all references to Trustor shall mean each and every Trustor, and all references to Borrower shall mean each and every Borrower. This means that each Trustor signing below is responsible for all obligations in this Deed of Trust. Where any one or more of the parties is a corporation, partnership, limited liability company or similar entity, it is not necessary for Lender to inquire into the powers of any of the officers, directors, partners, members, or other agents acting or purporting to act on the entity's behalf, and any obligations made or created in reliance upon the professed exercise of such powers shall be guaranteed under this Deed of Trust.

No Waiver by Lender. Lender shall not be deemed to have waived any rights under this Deed of Trust unless such waiver is given in writing and signed by Lender. No delay or omission on the part of Lender in exercising any right shall operate as a waiver of such right or any other right. A waiver by Lender of a provision of this Deed of Trust shall not prejudice or constitute a waiver of Lender's right otherwise to demand strict compliance with that provision or any other provision of this Deed of Trust. No prior waiver by Lender, nor any course of dealing between Lender and Trustor, shall constitute a waiver of any of Lender's rights or of any of Trustor's obligations as to any future transactions. Whenever the consent of Lender is required under this Deed of Trust, the granting of such consent by Lender in any instance shall not constitute continuing consent to subsequent instances where such consent is required and in all cases such consent may be granted or withheld in the sole discretion of Lender.

Severability. If a court of competent jurisdiction finds any provision of this Deed of Trust to be illegal, invalid, or unenforceable as to any circumstance, that finding shall not make the offending provision illegal, invalid, or unenforceable as to any other circumstance. If feasible, the offending provision shall be considered modified so that it becomes legal, valid and enforceable. If the offending provision cannot be so modified, it shall be considered deleted from this Deed of Trust. Unless otherwise required by law, the illegality, invalidity, or unenforceability of any provision of this Deed of Trust shall not affect the legality, validity or enforceability of any other provision of this Deed of Trust.

Successors and Assigns. Subject to any limitations stated in this Deed of Trust on transfer of Trustor's interest, this Deed of Trust shall be binding upon and inure to the benefit of the parties, their successors and assigns. If ownership of the Property becomes vested in a person other than Trustor, Lender, without notice to Trustor, may deal with Trustor's successors with reference to this Deed of Trust and the Indebtedness by way of forbearance or extension without releasing Trustor from the obligations of this Deed of Trust or liability under the Indebtedness.

Time is of the Essence. Time is of the essence in the performance of this Deed of Trust.

Waive Jury. All parties to this Deed of Trust hereby waive the right to any jury trial in any action, proceeding, or counterclaim brought by any party against any other party.

Waiver of Homestead Exemption. Trustor hereby releases and waives all rights and benefits of the homestead exemption laws of the State of Arizona as to all Indebtedness secured by this Deed of Trust.

DEFINITIONS. The following capitalized words and terms shall have the following meanings when used in this Deed of Trust. Unless specifically stated to the contrary, all references to dollar amounts shall mean amounts in lawful money of the United States of America. Words and terms used in the singular shall include the plural, and the plural shall include the singular, as the context may require. Words and terms not otherwise defined in this Deed of Trust shall have the meanings attributed to such terms in the Uniform Commercial Code:

Beneficiary. The word "Beneficiary" means Metro Phoenix Bank, and its successors and assigns.

Borrower. The word "Borrower" means Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert and includes all co-signers and co-makers signing the Note and all their successors and assigns.

Deed of Trust. The words "Deed of Trust" mean this Deed of Trust among Trustor, Lender, and Trustee,

MPB_0077

and includes without limitation all assignment and security interest provisions relating to the Personal Property and Rents.

Default. The word "Default" means the Default set forth in this Deed of Trust in the section titled "Default".

Environmental Laws. The words "Environmental Laws" mean any and all state, federal and local statutes, regulations and ordinances relating to the protection of human health or the environment, including without limitation the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended, 42 U.S.C. Section 9601, et seq. ("CERCLA"), the Superfund Amendments and Reauthorization Act of 1986, Pub. L. No. 99-499 ("SARA"), the Hazardous Materials Transportation Act, 49 U.S.C. Section 1801, et seq., the Resource Conservation and Recovery Act, 42 U.S.C. Section 6901, et seq., or other applicable state or federal laws, rules, or regulations adopted pursuant thereto.

Event of Default. The words "Event of Default" mean any of the events of default set forth in this Deed of Trust in the events of default section of this Deed of Trust.

Guaranty. The word "Guaranty" means the guaranty from guarantor, endorser, surety, or accommodation party to Lender, including without limitation a guaranty of all or part of the Note.

Hazardous Substances. The words "Hazardous Substances" mean materials that, because of their quantity, concentration or physical, chemical or infectious characteristics, may cause or pose a present or potential hazard to human health or the environment when improperly used, treated, stored, disposed of, generated, manufactured, transported or otherwise handled. The words "Hazardous Substances" are used in their very broadest sense and include without limitation any and all hazardous or toxic substances, materials or waste as defined by or listed under the Environmental Laws. The term "Hazardous Substances" also includes, without limitation, petroleum and petroleum by-products or any fraction thereof and asbestos.

Improvements. The word "Improvements" means all existing and future improvements, buildings, structures, mobile homes affixed on the Real Property, facilities, additions, replacements and other construction on the Real Property.

Indebtedness. The word "Indebtedness" means all principal, interest, and other amounts, costs and expenses payable under the Note or Related Documents, together with all renewals of, extensions of, modifications of, consolidations of and substitutions for the Note or Related Documents and any amounts expended or advanced by Lender to discharge Trustor's obligations or expenses incurred by Trustee or Lender to enforce Trustor's obligations under this Deed of Trust, together with interest on such amounts as provided in this Deed of Trust. Specifically, without limitation, Indebtedness includes all amounts that may be indirectly secured by the Cross-Collateralization provision of this Deed of Trust.

Lender. The word "Lender" means Metro Phoenix Bank, its successors and assigns.

Note. The word "Note" means the promissory note dated July 30, 2010, in the original principal amount of $75,000.00 from Borrower to Lender, together with all renewals of, extensions of, modifications of, refinancings of, consolidations of, and substitutions for the promissory note or agreement. **NOTICE TO TRUSTOR: THE NOTE CONTAINS A VARIABLE INTEREST RATE.**

Personal Property. The words "Personal Property" mean all equipment, fixtures, and other articles of personal property now or hereafter owned by Trustor, and now or hereafter attached or affixed to the Real Property; together with all accessions, parts, and additions to, all replacements of, and all substitutions for, any of such property; and together with all proceeds (including without limitation all insurance proceeds and refunds of premiums) from any sale or other disposition of the Property.

Property. The word "Property" means collectively the Real Property and the Personal Property.

Real Property. The words "Real Property" mean the real property, interests and rights, as further described in this Deed of Trust.

Related Documents. The words "Related Documents" mean all promissory notes, credit agreements, loan agreements, environmental agreements, guaranties, security agreements, mortgages, deeds of trust, security deeds, collateral mortgages, and all other instruments, agreements and documents, whether now or hereafter existing, executed in connection with the Indebtedness.

Rents. The word "Rents" means all present and future rents, revenues, income, issues, royalties, profits and other benefits derived from the Property.

Trustee. The word "Trustee" means Metro Phoenix Bank, whose address is 4686 E. Van Buren Rd., Ste #150 & #190, Phoenix, AZ 85008 and any substitute or successor trustees.

Trustor. The word "Trustor" means Sheridan Equities, LLC.

MPB_0078

Loan No: [redacted]

DEED OF TRUST
(Continued)

TRUSTOR ACKNOWLEDGES HAVING READ ALL THE PROVISIONS OF THIS DEED OF TRUST, AND TRUSTOR AGREES TO ITS TERMS.

TRUSTOR:

SHERIDAN EQUITIES, LLC

By: [redacted]
David S. Schweikert, Manager of Sheridan Equities, LLC

LIMITED LIABILITY COMPANY ACKNOWLEDGMENT

STATE OF _____)
) SS
COUNTY OF _____)

On this 30 day of July, 20 10, before me, the undersigned Notary Public, personally appeared **David S. Schweikert, Manager of Sheridan Equities, LLC**, and known to me to be member or designated agent of the limited liability company that executed the Deed of Trust and acknowledged the Deed of Trust to be the free and voluntary act and deed of the limited liability company, by authority of statute, its articles of organization or its operating agreement, for the uses and purposes therein mentioned, and on oath stated that he or she is authorized to execute this Deed of Trust and in fact executed the Deed of Trust on behalf of the limited liability company.

By [redacted] **Residing at** Maricopa County

Notary Public in and for the State of Arizona

My commission expires 10-4-2011

KELLI A. BADER
Notary Public - Arizona
Maricopa County
My Comm. Expires Oct 4, 2011

REQUEST FOR FULL RECONVEYANCE
(To be used only when obligations have been paid in full)

To: _____, Trustee

The undersigned is the legal owner and holder of all indebtedness secured by this Deed of Trust. All sums secured by this Deed of Trust have been fully paid and satisfied. You are hereby directed, upon payment to you of any sums owing to you under the terms of this Deed of Trust or pursuant to any applicable statute, to cancel the Note secured by this Deed of Trust (which is delivered to you together with this Deed of Trust), and to reconvey, without warranty, to the parties designated by the terms of this Deed of Trust, the estate now held by you under this Deed of Trust. Please mail the reconveyance and Related Documents to:

_____.

Date: _____ **Beneficiary:** _____
By: _____
Its: _____

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THOMAS TITLE & ESCROW

OFFICIAL RECORDS OF
MARICOPA COUNTY RECORDER
HELEN PURCELL
20100657372,08/02/2010 02:06
ELECTRONIC RECORDING
104266-6-2-2--,N

RECORDATION REQUESTED BY:
Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

WHEN RECORDED MAIL TO:
Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Suite #150
Phoenix, AZ 85008

104266
2 of 2

FOR RECORDER'S USE ONLY

ASSIGNMENT OF RENTS

THIS ASSIGNMENT OF RENTS dated July 30, 2010, is made and executed between Sheridan Equities, LLC, whose address is 15749 E. El Lago Blvd, Fountain Hills, AZ 85268 (referred to below as "Grantor") and Metro Phoenix Bank, whose address is 4686 E. Van Buren Street, Ste #150, Phoenix, AZ 85008 (referred to below as "Lender").

ASSIGNMENT. For valuable consideration, Grantor hereby assigns, grants a continuing security interest in, and conveys to Lender all of Grantor's right, title, and interest in and to the Rents from the following described Property located in Maricopa County, State of Arizona:

Lot 460, Sunset Knoll Unit Three, according to the plat of record in the Office fo the County Recorder of Maricopa County, Arizona, in Book 88 of Maps, Page 23.

The Property or its address is commonly known as 3031 N. 64th Drive, Phoenix, AZ 85033. The Property tax identification number is 103-49-002.

CROSS-COLLATERALIZATION. In addition to the Note, this Assignment secures all obligations, debts and liabilities, plus interest thereon, of either Grantor or Borrower to Lender, or any one or more of them, as well as all claims by Lender against Borrower and Grantor or any one or more of them, whether now existing or hereafter arising, whether related or unrelated to the purpose of the Note, whether voluntary or otherwise, whether due or not due, direct or indirect, determined or undetermined, absolute or contingent, liquidated or unliquidated, whether Borrower or Grantor may be liable individually or jointly with others, whether obligated as guarantor, surety, accommodation party or otherwise, and whether recovery upon such amounts may be or hereafter may become barred by any statute of limitations, and whether the obligation to repay such amounts may be or hereafter may become otherwise unenforceable.

REVOLVING LINE OF CREDIT. This Assignment secures the Indebtedness including, without limitation, a revolving line of credit, which obligates Lender to make advances to Borrower so long as Borrower complies with all the terms of the Note.

THIS ASSIGNMENT IS GIVEN TO SECURE (1) PAYMENT OF THE INDEBTEDNESS AND (2) PERFORMANCE OF ANY AND ALL OBLIGATIONS OF BORROWER AND GRANTOR UNDER THE NOTE, THIS ASSIGNMENT, AND THE RELATED DOCUMENTS. THIS ASSIGNMENT IS GIVEN AND ACCEPTED ON THE FOLLOWING TERMS:

GRANTOR'S WAIVERS. Grantor waives all rights or defenses arising by reason of any "one action" or "anti-deficiency" law, or any other law which may prevent Lender from bringing any action against Grantor, including a claim for deficiency to the extent Lender is otherwise entitled to a claim for deficiency, before or after Lender's commencement or completion of any foreclosure action, either judicially or by exercise of a power of sale. In addition to the waivers set forth above, Grantor expressly waives, to the extent permitted by Arizona law, all of Grantor's rights under sections 12-1641 through 12-1646 inclusive, 44-142 of the Arizona Revised Statutes, and Rule 17(f) of the Arizona Rules of Civil Procedure, as now enacted or hereafter modified, amended or replaced.

BORROWER'S WAIVERS AND RESPONSIBILITIES. Lender need not tell Borrower about any action or inaction Lender takes in connection with this Assignment. Borrower assumes the responsibility for being and keeping informed about the Property. Borrower waives any defenses that may arise because of any action or inaction

1440

of Lender, including without limitation any failure of Lender to realize upon the Property, or any delay by Lender in realizing upon the Property. Borrower agrees to remain liable under the Note with Lender no matter what action Lender takes or fails to take under this Assignment.

PAYMENT AND PERFORMANCE. Except as otherwise provided in this Assignment or any Related Documents, Grantor shall pay to Lender all amounts secured by this Assignment as they become due, and shall strictly perform all of Grantor's obligations under this Assignment. Unless and until Lender exercises its right to collect the Rents as provided below and so long as there is no default under this Assignment, Grantor may remain in possession and control of and operate and manage the Property and collect the Rents, provided that the granting of the right to collect the Rents shall not constitute Lender's consent to the use of cash collateral in a bankruptcy proceeding.

GRANTOR'S REPRESENTATIONS AND WARRANTIES. Grantor warrants that:

Ownership. Grantor is entitled to receive the Rents free and clear of all rights, loans, liens, encumbrances, and claims except as disclosed to and accepted by Lender in writing.

Right to Assign. Grantor has the full right, power and authority to enter into this Assignment and to assign and convey the Rents to Lender.

No Prior Assignment. Grantor has not previously assigned or conveyed the Rents to any other person by any instrument now in force.

No Further Transfer. Grantor will not sell, assign, encumber, or otherwise dispose of any of Grantor's rights in the Rents except as provided in this Assignment.

LENDER'S RIGHT TO RECEIVE AND COLLECT RENTS. Lender shall have the right at any time, and even though no default shall have occurred under this Assignment, to collect and receive the Rents. For this purpose, Lender is hereby given and granted the following rights, powers and authority:

Notice to Tenants. Lender may send notices to any and all tenants of the Property advising them of this Assignment and directing all Rents to be paid directly to Lender or Lender's agent.

Enter the Property. Lender may enter upon and take possession of the Property; demand, collect and receive from the tenants or from any other persons liable therefor, all of the Rents; institute and carry on all legal proceedings necessary for the protection of the Property, including such proceedings as may be necessary to recover possession of the Property; collect the Rents and remove any tenant or tenants or other persons from the Property.

Maintain the Property. Lender may enter upon the Property to maintain the Property and keep the same in repair; to pay the costs thereof and of all services of all employees, including their equipment, and of all continuing costs and expenses of maintaining the Property in proper repair and condition, and also to pay all taxes, assessments and water utilities, and the premiums on fire and other insurance effected by Lender on the Property.

Compliance with Laws. Lender may do any and all things to execute and comply with the laws of the State of Arizona and also all other laws, rules, orders, ordinances and requirements of all other governmental agencies affecting the Property.

Lease the Property. Lender may rent or lease the whole or any part of the Property for such term or terms and on such conditions as Lender may deem appropriate.

Employ Agents. Lender may engage such agent or agents as Lender may deem appropriate, either in Lender's name or in Grantor's name, to rent and manage the Property, including the collection and application of Rents.

Other Acts. Lender may do all such other things and acts with respect to the Property as Lender may deem appropriate and may act exclusively and solely in the place and stead of Grantor and to have all of the powers of Grantor for the purposes stated above.

No Requirement to Act. Lender shall not be required to do any of the foregoing acts or things, and the fact that Lender shall have performed one or more of the foregoing acts or things shall not require Lender to do any other specific act or thing.

APPLICATION OF RENTS. All costs and expenses incurred by Lender in connection with the Property shall be for Grantor's account and Lender may pay such costs and expenses from the Rents. Lender, in its sole discretion, shall determine the application of any and all Rents received by it; however, any such Rents received by Lender which are not applied to such costs and expenses shall be applied to the Indebtedness. All expenditures made by Lender under this Assignment and not reimbursed from the Rents shall become a part of the Indebtedness secured by this Assignment, and shall be payable on demand, with interest at the Note rate from date of expenditure until paid.

FULL PERFORMANCE. If Grantor pays all of the Indebtedness when due and otherwise performs all the obligations imposed upon Grantor under this Assignment, the Note, and the Related Documents, Lender shall execute and deliver to Grantor a suitable satisfaction of this Assignment and suitable statements of termination of any financing statement on file evidencing Lender's security interest in the Rents and the Property. Any termination fee required by law shall be paid by Grantor, if permitted by applicable law.

LENDER'S EXPENDITURES. If any action or proceeding is commenced that would materially affect Lender's interest in the Property or if Grantor fails to comply with any provision of this Assignment or any Related

MPB_0081

Documents, including but not limited to Grantor's failure to discharge or pay when due any amounts Grantor is required to discharge or pay under this Assignment or any Related Documents, Lender on Grantor's behalf may (but shall not be obligated to) take any action that Lender deems appropriate, to the extent permitted by applicable law, including but not limited to discharging or paying all taxes, liens, security interests, encumbrances and other claims, at any time levied or placed on the Rents or the Property and paying all costs for insuring, maintaining and preserving the Property. All such expenditures incurred or paid by Lender for such purposes will then bear interest at the rate charged under the Note from the date incurred or paid by Lender to the date of repayment by Grantor. All such expenses will become a part of the Indebtedness and, at Lender's option, will (A) be payable on demand; (B) be added to the balance of the Note and be apportioned among and be payable with any installment payments to become due during either (1) the term of any applicable insurance policy; or (2) the remaining term of the Note; or (C) be treated as a balloon payment which will be due and payable at the Note's maturity. The Assignment also will secure payment of these amounts. Such right shall be in addition to all other rights and remedies to which Lender may be entitled upon Default and shall be exercisable by Lender to the extent permitted by applicable law.

DEFAULT. Each of the following, at Lender's option, shall constitute an Event of Default under this Assignment:

Payment Default. Borrower fails to make any payment when due under the Indebtedness.

Other Defaults. Borrower or Grantor fails to comply with or to perform any other term, obligation, covenant or condition contained in this Assignment or in any of the Related Documents or to comply with or to perform any term, obligation, covenant or condition contained in any other agreement between Lender and Borrower or Grantor.

Default on Other Payments. Failure of Grantor within the time required by this Assignment to make any payment for taxes or insurance, or any other payment necessary to prevent filing of or to effect discharge of any lien.

Default in Favor of Third Parties. Borrower or Grantor defaults under any loan, extension of credit, security agreement, purchase or sales agreement, or any other agreement, in favor of any other creditor or person that may materially affect any of Borrower's or Grantor's property or ability to perform their respective obligations under this Assignment or any of the Related Documents.

False Statements. Any warranty, representation or statement made or furnished to Lender by Borrower or Grantor or on Borrower's or Grantor's behalf under this Assignment or the Related Documents is false or misleading in any material respect, either now or at the time made or furnished or becomes false or misleading at any time thereafter.

Defective Collateralization. This Assignment or any of the Related Documents ceases to be in full force and effect (including failure of any collateral document to create a valid and perfected security interest or lien) at any time and for any reason.

Death or Insolvency. The dissolution of Grantor's (regardless of whether election to continue is made), any member withdraws from the limited liability company, or any other termination of Borrower's or Grantor's existence as a going business or the death of any member, the insolvency of Borrower or Grantor, the appointment of a receiver for any part of Borrower's or Grantor's property, any assignment for the benefit of creditors, any type of creditor workout, or the commencement of any proceeding under any bankruptcy or insolvency laws by or against Borrower or Grantor.

Creditor or Forfeiture Proceedings. Commencement of foreclosure or forfeiture proceedings, whether by judicial proceeding, self-help, repossession or any other method, by any creditor of Borrower or Grantor or by any governmental agency against the Rents or any property securing the Indebtedness. This includes a garnishment of any of Borrower's or Grantor's accounts, including deposit accounts, with Lender. However, this Event of Default shall not apply if there is a good faith dispute by Borrower or Grantor as to the validity or reasonableness of the claim which is the basis of the creditor or forfeiture proceeding and if Borrower or Grantor gives Lender written notice of the creditor or forfeiture proceeding and deposits with Lender monies or a surety bond for the creditor or forfeiture proceeding, in an amount determined by Lender, in its sole discretion, as being an adequate reserve or bond for the dispute.

Property Damage or Loss. The Property is lost, stolen, substantially damaged, sold, or borrowed against.

Events Affecting Guarantor. Any of the preceding events occurs with respect to any guarantor, endorser, surety, or accommodation party of any of the Indebtedness or any guarantor, endorser, surety, or accommodation party dies or becomes incompetent, or revokes or disputes the validity of, or liability under, any Guaranty of the Indebtedness.

Adverse Change. A material adverse change occurs in Grantor's financial condition, or Lender believes the prospect of payment or performance of the Indebtedness is impaired.

Insecurity. Lender in good faith believes itself insecure.

Cure Provisions. If any default, other than a default in payment is curable and if Grantor has not been given a notice of a breach of the same provision of this Assignment within the preceding twelve (12) months, it may be cured if Grantor, after Lender sends written notice to Borrower demanding cure of such default: (1) cures the default within ten (10) days; or (2) if the cure requires more than ten (10) days, immediately initiates steps which Lender deems in Lender's sole discretion to be sufficient to cure the default and thereafter continues and completes all reasonable and necessary steps sufficient to produce

MPB_0082

compliance as soon as reasonably practical.

RIGHTS AND REMEDIES ON DEFAULT. Upon the occurrence of any Event of Default and at any time thereafter, Lender may exercise any one or more of the following rights and remedies, in addition to any other rights or remedies provided by law:

Accelerate Indebtedness. Lender shall have the right at its option without notice to Borrower or Grantor to declare the entire Indebtedness immediately due and payable, including any prepayment penalty that Borrower would be required to pay.

Collect Rents. Lender shall have the right, without notice to Borrower or Grantor, to take possession of the Property and collect the Rents, including amounts past due and unpaid, and apply the net proceeds, over and above Lender's costs, against the Indebtedness. In furtherance of this right, Lender shall have all the rights provided for in the Lender's Right to Receive and Collect Rents Section, above. If the Rents are collected by Lender, then Grantor irrevocably designates Lender as Grantor's attorney-in-fact to endorse instruments received in payment thereof in the name of Grantor and to negotiate the same and collect the proceeds. Payments by tenants or other users to Lender in response to Lender's demand shall satisfy the obligations for which the payments are made, whether or not any proper grounds for the demand existed. Lender may exercise its rights under this subparagraph either in person, by agent, or through a receiver.

Appoint Receiver. Lender shall have the right to have a receiver appointed to take possession of all or any part of the Property, with the power to protect and preserve the Property, to operate the Property preceding foreclosure or sale, and to collect the Rents from the Property and apply the proceeds, over and above the cost of the receivership, against the Indebtedness. The receiver may serve without bond if permitted by law. Lender's right to the appointment of a receiver shall exist whether or not the apparent value of the Property exceeds the Indebtedness by a substantial amount. Employment by Lender shall not disqualify a person from serving as a receiver.

Other Remedies. Lender shall have all other rights and remedies provided in this Assignment or the Note or by law.

Election of Remedies. Election by Lender to pursue any remedy shall not exclude pursuit of any other remedy, and an election to make expenditures or to take action to perform an obligation of Grantor under this Assignment, after Grantor's failure to perform, shall not affect Lender's right to declare a default and exercise its remedies.

Attorneys' Fees; Expenses. If Lender institutes any suit or action to enforce any of the terms of this Assignment, Lender shall be entitled to recover such sum as the court may adjudge reasonable as attorneys' fees at trial and upon any appeal. Whether or not any court action is involved, and to the extent not prohibited by law, all reasonable expenses Lender incurs that in Lender's opinion are necessary at any time for the protection of its interest or the enforcement of its rights shall become a part of the Indebtedness payable on demand and shall bear interest at the Note rate from the date of the expenditure until repaid. Expenses covered by this paragraph include, without limitation, however subject to any limits under applicable law, Lender's attorneys' fees and Lender's legal expenses, whether or not there is a lawsuit, including attorneys' fees and expenses for bankruptcy proceedings (including efforts to modify or vacate any automatic stay or injunction), appeals, and any anticipated post-judgment collection services, the cost of searching records, obtaining title reports (including foreclosure reports), surveyors' reports, and appraisal fees, title insurance, and fees for the Trustee, to the extent permitted by applicable law. However, Grantor will only pay attorneys' fees of an attorney not Lender's salaried employee, to whom the matter is referred after Grantor's default. Grantor also will pay any court costs, in addition to all other sums provided by law.

MISCELLANEOUS PROVISIONS. The following miscellaneous provisions are a part of this Assignment:

Amendments. This Assignment, together with any Related Documents, constitutes the entire understanding and agreement of the parties as to the matters set forth in this Assignment. No alteration of or amendment to this Assignment shall be effective unless given in writing and signed by the party or parties sought to be charged or bound by the alteration or amendment.

Caption Headings. Caption headings in this Assignment are for convenience purposes only and are not to be used to interpret or define the provisions of this Assignment.

Governing Law. This Assignment will be governed by federal law applicable to Lender and, to the extent not preempted by federal law, the laws of the State of Arizona without regard to its conflicts of law provisions. This Assignment has been accepted by Lender in the State of Arizona.

Joint and Several Liability. All obligations of Borrower and Grantor under this Assignment shall be joint and several, and all references to Grantor shall mean each and every Grantor, and all references to Borrower shall mean each and every Borrower. This means that each Grantor signing below is responsible for all obligations in this Assignment. Where any one or more of the parties is a corporation, partnership, limited liability company or similar entity, it is not necessary for Lender to inquire into the powers of any of the officers, directors, partners, members, or other agents acting or purporting to act on the entity's behalf, and any obligations made or created in reliance upon the professed exercise of such powers shall be guaranteed under this Assignment.

Merger. There shall be no merger of the interest or estate created by this assignment with any other interest or estate in the Property at any time held by or for the benefit of Lender in any capacity, without

MPB_0083

the written consent of Lender.

Interpretation. (1) In all cases where there is more than one Borrower or Grantor, then all words used in this Assignment in the singular shall be deemed to have been used in the plural where the context and construction so require. (2) If more than one person signs this Assignment as "Grantor," the obligations of each Grantor are joint and several. This means that if Lender brings a lawsuit, Lender may sue any one or more of the Grantors. If Borrower and Grantor are not the same person, Lender need not sue Borrower first, and that Borrower need not be joined in any lawsuit. (3) The names given to paragraphs or sections in this Assignment are for convenience purposes only. They are not to be used to interpret or define the provisions of this Assignment.

No Waiver by Lender. Lender shall not be deemed to have waived any rights under this Assignment unless such waiver is given in writing and signed by Lender. No delay or omission on the part of Lender in exercising any right shall operate as a waiver of such right or any other right. A waiver by Lender of a provision of this Assignment shall not prejudice or constitute a waiver of Lender's right otherwise to demand strict compliance with that provision or any other provision of this Assignment. No prior waiver by Lender, nor any course of dealing between Lender and Grantor, shall constitute a waiver of any of Lender's rights or of any of Grantor's obligations as to any future transactions. Whenever the consent of Lender is required under this Assignment, the granting of such consent by Lender in any instance shall not constitute continuing consent to subsequent instances where such consent is required and in all cases such consent may be granted or withheld in the sole discretion of Lender.

Notices. Any notice required to be given under this Assignment shall be given in writing, and shall be effective when actually delivered, when actually received by telefacsimile (unless otherwise required by law), when deposited with a nationally recognized overnight courier, or, if mailed, when deposited in the United States mail, as first class, certified or registered mail postage prepaid, directed to the addresses shown near the beginning of this Assignment. Any party may change its address for notices under this Assignment by giving formal written notice to the other parties, specifying that the purpose of the notice is to change the party's address. For notice purposes, Grantor agrees to keep Lender informed at all times of Grantor's current address. Unless otherwise provided or required by law, if there is more than one Grantor, any notice given by Lender to any Grantor is deemed to be notice given to all Grantors.

Powers of Attorney. The various agencies and powers of attorney conveyed on Lender under this Assignment are granted for purposes of security and may not be revoked by Grantor until such time as the same are renounced by Lender.

Severability. If a court of competent jurisdiction finds any provision of this Assignment to be illegal, invalid, or unenforceable as to any circumstance, that finding shall not make the offending provision illegal, invalid, or unenforceable as to any other circumstance. If feasible, the offending provision shall be considered modified so that it becomes legal, valid and enforceable. If the offending provision cannot be so modified, it shall be considered deleted from this Assignment. Unless otherwise required by law, the illegality, invalidity, or unenforceability of any provision of this Assignment shall not affect the legality, validity or enforceability of any other provision of this Assignment.

Successors and Assigns. Subject to any limitations stated in this Assignment on transfer of Grantor's interest, this Assignment shall be binding upon and inure to the benefit of the parties, their successors and assigns. If ownership of the Property becomes vested in a person other than Grantor, Lender, without notice to Grantor, may deal with Grantor's successors with reference to this Assignment and the indebtedness by way of forbearance or extension without releasing Grantor from the obligations of this Assignment or liability under the indebtedness.

Time is of the Essence. Time is of the essence in the performance of this Assignment.

Waive Jury. All parties to this Assignment hereby waive the right to any jury trial in any action, proceeding, or counterclaim brought by any party against any other party.

Waiver of Homestead Exemption. Grantor hereby releases and waives all rights and benefits of the homestead exemption laws of the State of Arizona as to all indebtedness secured by this Assignment.

DEFINITIONS. The following capitalized words and terms shall have the following meanings when used in this Assignment. Unless specifically stated to the contrary, all references to dollar amounts shall mean amounts in lawful money of the United States of America. Words and terms used in the singular shall include the plural, and the plural shall include the singular, as the context may require. Words and terms not otherwise defined in this Assignment shall have the meanings attributed to such terms in the Uniform Commercial Code:

Assignment. The word "Assignment" means this ASSIGNMENT OF RENTS, as this ASSIGNMENT OF RENTS may be amended or modified from time to time, together with all exhibits and schedules attached to this ASSIGNMENT OF RENTS from time to time.

Borrower. The word "Borrower" means Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert.

Default. The word "Default" means the Default set forth in this Assignment in the section titled "Default"

Event of Default. The words "Event of Default" mean any of the events of default set forth in this Assignment in the default section of this Assignment.

MPB_0084

Loan No: [redacted]

ASSIGNMENT OF RENTS
(Continued)

Grantor. The word "Grantor" means Sheridan Equities, LLC.

Guaranty. The word "Guaranty" means the guaranty from guarantor, endorser, surety, or accommodation party to Lender, including without limitation a guaranty of all or part of the Note.

Indebtedness. The word "Indebtedness" means all principal, interest, and other amounts, costs and expenses payable under the Note or Related Documents, together with all renewals of, extensions of, modifications of, consolidations of and substitutions for the Note or Related Documents and any amounts expended or advanced by Lender to discharge Grantor's obligations or expenses incurred by Lender to enforce Grantor's obligations under this Assignment, together with interest on such amounts as provided in this Assignment. Specifically, without limitation, Indebtedness includes all amounts that may be indirectly secured by the Cross-Collateralization provision of this Assignment.

Lender. The word "Lender" means Metro Phoenix Bank, its successors and assigns.

Note. The word "Note" means the promissory note dated July 30, 2010, in the original principal amount of $75,000.00 from Borrower to Lender, together with all renewals of, extensions of, modifications of, refinancings of, consolidations of, and substitutions for the promissory note or agreement.

Property. The word "Property" means all of Grantor's right, title and interest in and to all the Property as described in the "Assignment" section of this Assignment.

Related Documents. The words "Related Documents" mean all promissory notes, credit agreements, loan agreements, environmental agreements, guaranties, security agreements, mortgages, deeds of trust, security deeds, collateral mortgages, and all other instruments, agreements and documents, whether now or hereafter existing, executed in connection with the Indebtedness.

Rents. The word "Rents" means all of Grantor's present and future rights, title and interest in, to and under any and all present and future leases, including, without limitation, all rents, revenue, income, issues, royalties, bonuses, accounts receivable, cash or security deposits, advance rentals, profits and proceeds from the Property, and other payments and benefits derived or to be derived from such leases of every kind and nature, whether due now or later, including without limitation Grantor's right to enforce such leases and to receive and collect payment and proceeds thereunder.

THE UNDERSIGNED ACKNOWLEDGES HAVING READ ALL THE PROVISIONS OF THIS ASSIGNMENT, AND NOT PERSONALLY BUT AS AN AUTHORIZED SIGNER, HAS CAUSED THIS ASSIGNMENT TO BE SIGNED AND EXECUTED ON BEHALF OF GRANTOR ON JULY 30, 2010.

GRANTOR:

SHERIDAN EQUITIES, LLC

By: [redacted]

David S. Schweikert, Manager of Sheridan Equities, LLC

LIMITED LIABILITY COMPANY ACKNOWLEDGMENT

STATE OF Arizona)
) SS
COUNTY OF Maricopa)

On this 30 day of July, 20 10, before me, the undersigned Notary Public, personally appeared **David S. Schweikert, Manager of Sheridan Equities, LLC**, and known to me to be member or designated agent of the limited liability company that executed the ASSIGNMENT OF RENTS and acknowledged the Assignment to be the free and voluntary act and deed of the limited liability company, by authority of statute, its articles of organization or its operating agreement, for the uses and purposes therein mentioned, and on oath stated that he or she is authorized to execute this Assignment and in fact executed the Assignment on behalf of the limited liability company.

By [redacted] Residing at Maricopa County

Notary Public in and for the State of Arizona

My commission expires 10-4-2011

KELLI A. BADER
Notary Public - Arizona
Maricopa County
My Comm. Expires Oct 4, 2011

MPB_0086

EMPIRE WEST TITLE AGENCY
When recorded return to:
METRO PHOENIX BANK
4686 E. Van Buren St. # 150
Phoenix, AZ 85008

41278EW-IN

OFFICIAL RECORDS OF
MARICOPA COUNTY RECORDER
HELEN PURCELL
20150416328 06/11/2015 10:05
41278EWA-2-1-1--
ELECTRONIC RECORDING

DEED OF RELEASE AND RECONVEYANCE

WHEREAS, the indebtedness secured by the Deed of Trust executed by Sheridan Equites, LLC; and Metro Phoenix Bank (Beneficiary), whose address is 4686 E. Van Buren, Ste # 150, Phoenix, AZ 85008, dated July 30, 2010 and recorded August 2, 2010 in Document No. 20100657371, of Official Records in the Maricopa County Recorder's office, Maricopa County, Arizona. The Real Property or its address is commonly known as 3031 N 64th Drive, Phoenix, AZ 85033.

BENEFICIARY

NOW, THEREFORE, the present Beneficiary under said Deed of Trust does hereby release and reconvey, without covenant or warranty, express or implied, unto the parties legally entitled all right, title and interest which was heretofore acquired by said Beneficiary under said Deed of Trust.

DATED this 12th day of February 2015

BENEFICIARY:
METRO PHOENIX BANK:

[redacted]
Michael Morano, EVP & CCO

PATRICIA G. PURCELL
Notary Public, State of Arizona
Maricopa County
My Commission Expires
May 01, 2018

STATE OF ARIZONA)
County of Maricopa)

This instrument was acknowledged before me this day 12th of February 2015 by Michael Morano of Metro Phoenix Bank.

My Commission Expires:
5/1/18

[redacted]
Notary Public

MPB_0086

When recorded return to:
METRO PHOENIX BANK
4686 E. Van Buren St. # 150
Phoenix, AZ 85008

ASSIGNMENT OF RENTS

WHEREAS, the indebtedness secured by the Assignment of Rents executed by Sheridan Equities, LLC; and Metro Phoenix Bank (Beneficiary), whose address is 4686 E. Van Buren, Ste # 150, Phoenix, AZ 85008, dated July 30, 2010 and recorded August 2, 2010 in Document No. 20100657372, of Official Records in the Maricopa County Recorder's office, Maricopa County, Arizona. The Real Property or its address is commonly known as 3031 N 64th Drive, Phoenix, AZ 85033.

BENEFICIARY

NOW, THEREFORE, the present Beneficiary under said Assignment of Rents does hereby release and reconvey, without covenant or warranty, express or implied, unto the parties legally entitled all right, title and interest which was heretofore acquired by said Beneficiary under said Assignment of Rents.

DATED this 12th day of February 2015

BENEFICIARY:
METRO PHOENIX BANK:

[redacted]

Michael Morano, EVP & CCO

PATRICIA G. PURCELL
Notary Public, State of Arizona
Maricopa County
My Commission Expires
May 01, 2018

STATE OF ARIZONA)
County of Maricopa)

This instrument was acknowledged before me this day 12th of February 2015 by Michael Morano of Metro Phoenix Bank.

My Commission Expires:
5/1/18

[redacted]

Notary Public

MPB_0119

OFFICIAL RECORDS OF
MARICOPA COUNTY RECORDER
HELEN PURCELL
20100657356,08/02/2010 02:05
ELECTRONIC RECORDING
104264-11-2-1--,N

THOMAS TITLE & ESCROW

RECORDATION REQUESTED BY:
Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

WHEN RECORDED MAIL TO:
Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

SEND TAX NOTICES TO:
Sheridan Equities, LLC
15749 E. El Lago Blvd
Fountain Hills, AZ 85268

FOR RECORDER'S USE ONLY

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1 of 2

DEED OF TRUST

THIS DEED OF TRUST is dated July 30, 2010, among Sheridan Equities, LLC, whose address is 15749 E. El Lago Blvd, Fountain Hills, AZ 85268 ("Trustor"); Metro Phoenix Bank, whose address is Main Office, 4686 E. Van Buren Street, Ste #150, Phoenix, AZ 85008 (referred to below sometimes as "Lender" and sometimes as "Beneficiary"); and Metro Phoenix Bank, whose address is 4686 E. Van Buren Rd., Ste #150 & #190, Phoenix, AZ 85008 (referred to below as "Trustee").

CONVEYANCE AND GRANT. For valuable consideration, Trustor conveys to Trustee in trust, with power of sale, for the benefit of Lender as Beneficiary, all of Trustor's right, title, and interest in and to the following described real property, together with all existing or subsequently erected or affixed buildings, improvements and fixtures; all easements, rights of way, and appurtenances; all water and water rights flowing through, belonging or in anyway appertaining to the Real Property, and all of Trustor's water rights that are personal property under Arizona law, including without limitation all type 2 nonirrigation grandfathered rights (if applicable), all irrigation rights, all ditch rights, rights to irrigation district stock, all contracts for effluent, all contracts for Central Arizona Project water, and all other contractual rights to water, and together with all rights (but none of the duties) of Trustor as declarant under any presently recorded declaration of covenants, conditions and restrictions affecting real property; and all other rights, royalties, and profits relating to the real property, including without limitation all minerals, oil, gas, geothermal and similar matters, (the "Real Property") located in Maricopa County, State of Arizona:

Lot 97, Papago Terrace Three, according to Book 73 of Maps, page 2, records of Maricopa County, Arizona.

The Real Property or its address is commonly known as 3338 E. Willatta Street, Phoenix, AZ 85008. The Real Property tax identification number is 121-07-153.

REVOLVING LINE OF CREDIT. This Deed of Trust secures the indebtedness including, without limitation, a revolving line of credit, which obligates Lender to make advances to Borrower so long as Borrower complies with all the terms of the Note.

Trustor presently assigns to Lender (also known as Beneficiary in this Deed of Trust) all of Trustor's right, title, and interest in and to all present and future leases of the Property and all Rents from the Property. In addition, Trustor grants to Lender a Uniform Commercial Code security interest in the Personal Property and Rents.

THIS DEED OF TRUST, INCLUDING THE ASSIGNMENT OF RENTS AND THE SECURITY INTEREST IN THE RENTS AND PERSONAL PROPERTY, IS GIVEN TO SECURE (A) PAYMENT OF THE INDEBTEDNESS AND (B) PERFORMANCE OF ANY AND ALL OBLIGATIONS UNDER THE NOTE, THE RELATED DOCUMENTS, AND THIS DEED OF TRUST. THIS DEED OF TRUST IS GIVEN AND ACCEPTED ON THE FOLLOWING TERMS:

TRUSTOR'S REPRESENTATIONS AND WARRANTIES. Trustor warrants that: (a) this Deed of Trust is executed at Borrower's request and not at the request of Lender; (b) Trustor has the full power, right, and authority to enter into this Deed of Trust and to hypothecate the Property; (c) the provisions of this Deed of Trust do not conflict with, or result in a default under any agreement or other instrument binding upon Trustor and do not result in a violation of any law, regulation, court decree or order applicable to Trustor; (d) Trustor has established adequate means of obtaining from Borrower on a continuing basis information about Borrower's financial condition; and (e) Lender has made no representation to Trustor about Borrower (including without limitation the creditworthiness of Borrower).

TRUSTOR'S WAIVERS. Trustor waives all rights or defenses arising by reason of any "one action" or "anti-deficiency" law, or any other law which may prevent Lender from bringing any action against Trustor, including a claim for deficiency to the extent Lender is otherwise entitled to a claim for deficiency, before or after Lender's commencement or completion of any foreclosure action, either judicially or by exercise of a power of sale. In addition to the waivers set forth above, Trustor expressly waives, to the extent permitted by Arizona law, all of Trustor's rights under sections 12-1641 through 12-1646 inclusive, 44-142 of the Arizona Revised Statutes, and Rule 17(f) of the Arizona Rules of Civil Procedure, as now enacted or hereafter modified, amended or replaced.

PAYMENT AND PERFORMANCE. Except as otherwise provided in this Deed of Trust, Borrower shall pay to Lender all indebtedness secured by this Deed of Trust as it becomes due, and Borrower and Trustor shall perform all their respective obligations under the Note, this Deed of Trust, and the Related Documents.

POSSESSION AND MAINTENANCE OF THE PROPERTY. Borrower and Trustor agree that Borrower's and Trustor's possession and use of the Property shall be governed by the following provisions:

Possession and Use. Until the occurrence of an Event of Default, Trustor may: (1) remain in possession and control of the Property; (2) use, operate or manage the Property; and (3) collect the Rents from the Property.

Duty to Maintain. Trustor shall maintain the Property in tenantable condition and promptly perform all repairs, replacements, and maintenance necessary to preserve its value.

Compliance With Environmental Laws. Trustor represents and warrants to Lender that: (1) During the period of Trustor's ownership of the Property, there has been no use, generation, manufacture, storage, treatment, disposal, release or threatened release of any Hazardous Substance by any person on, under, about or from the Property; (2) Trustor has no knowledge of, or reason to believe that there has been, except as previously disclosed to and acknowledged by Lender in writing, (a) any breach or violation of any Environmental Laws, (b) any use, generation, manufacture, storage, treatment, disposal, release or threatened release of any Hazardous Substance on, under, about or from the Property by any prior owners or occupants of the Property, or (c) any actual or threatened litigation or claims of any kind by any person relating to such matters; and (3) Except as previously disclosed to and acknowledged by Lender in writing, (a) neither Trustor nor any tenant, contractor, agent or other authorized user of the Property shall use, generate, manufacture, store, treat, dispose of or release any Hazardous Substance on, under, about or from the Property; and (b) any such activity shall be conducted in compliance with all applicable federal, state, and local laws, regulations and ordinances, including without limitation all Environmental Laws. Trustor authorizes Lender and its agents to enter upon the Property to make such inspections and tests, at Trustor's expense, as Lender may deem appropriate to determine compliance of the Property with this section of the Deed of Trust. Beneficiary, at its option, but without obligation to do so, may correct any condition violating any applicable Environmental Law affecting the Property, and in doing so shall conclusively be deemed to be acting reasonably and for the purpose of protecting the value of its collateral, and all costs of correcting a condition or violation shall be payable to Beneficiary by Trustor as provided in the Expenditures by Lender section of this Deed of Trust. Any inspections or tests made by Lender shall be for Lender's purposes only and shall not be construed to create any responsibility or liability on the part of Lender to Trustor or to any other person. The representations and warranties contained herein are based on Trustor's due diligence in investigating the Property for Hazardous Substances. Trustor hereby: (1) releases and waives any future claims against Lender for indemnity or contribution in the event Trustor becomes liable for cleanup or other costs under any such laws; and (2) agrees to indemnify, defend, and hold harmless Lender against any and all claims, losses, liabilities, damages, penalties, and expenses which Lender may directly or indirectly sustain or suffer resulting from a breach of this section of the Deed of Trust or as a consequence of any use, generation, manufacture, storage, disposal, release or threatened release occurring prior to Trustor's ownership or interest in the Property, whether or not the same was or should have been known to Trustor. The provisions of this section of the Deed of Trust, including the obligation to indemnify and defend, shall survive the payment of the indebtedness and the satisfaction and reconveyance of the lien of this Deed of Trust and shall not be affected by Lender's acquisition of any interest in the Property, whether by foreclosure or otherwise.

Nuisance, Waste. Trustor shall not cause, conduct or permit any nuisance nor commit, permit, or suffer any stripping of or waste on or to the Property or any portion of the Property. Without limiting the generality of the foregoing, Trustor will not remove, or grant to any other party the right to remove, any timber, minerals (including oil and gas), coal, clay, scoria, soil, gravel or rock products without Lender's prior written consent.

Removal of Improvements. Trustor shall not demolish or remove any improvements from the Real Property without Lender's prior written consent. As a condition to the removal of any improvements, Lender may require Trustor to make arrangements satisfactory to Lender to replace such improvements with improvements of at least equal value.

Lender's Right to Enter. Lender and Lender's agents and representatives may enter upon the Real Property at all reasonable times to attend to Lender's interests and to inspect the Real Property for purposes of Trustor's compliance with the terms and conditions of this Deed of Trust.

Compliance with Governmental Requirements. Trustor shall promptly comply with all laws, ordinances, and regulations, now or hereafter in effect, of all governmental authorities applicable to the use or occupancy of the Property, including without limitation, the Americans With Disabilities Act. Trustor may contest in good

MPB_0121

faith any such law, ordinance, or regulation and withhold compliance during any proceeding, including appropriate appeals, so long as Trustor has notified Lender in writing prior to doing so and so long as, in Lender's sole opinion, Lender's interests in the Property are not jeopardized. Lender may require Trustor to post adequate security or a surety bond, reasonably satisfactory to Lender, to protect Lender's interest.

Duty to Protect. Trustor agrees neither to abandon or leave unattended the Property. Trustor shall do all other acts, in addition to those acts set forth above in this section, which from the character and use of the Property are reasonably necessary to protect and preserve the Property.

DUE ON SALE - CONSENT BY LENDER. Lender may, at Lender's option, declare immediately due and payable all sums secured by this Deed of Trust upon the sale or transfer, without Lender's prior written consent, of all or any part of the Real Property, or any interest in the Real Property. A "sale or transfer" means the conveyance of Real Property or any right, title or interest in the Real Property; whether legal, beneficial or equitable; whether voluntary or involuntary; whether by outright sale, deed, installment sale contract, land contract, contract for deed, leasehold interest with a term greater than three (3) years, lease-option contract, or by sale, assignment, or transfer of any beneficial interest in or to any land trust holding title to the Real Property, or by any other method of conveyance of an interest in the Real Property. If any Trustor is a corporation, partnership or limited liability company, transfer also includes any change in ownership of more than twenty-five percent (25%) of the voting stock, partnership interests or limited liability company interests, as the case may be, of such Trustor. However, this option shall not be exercised by Lender if such exercise is prohibited by federal law or by Arizona law.

TAXES AND LIENS. The following provisions relating to the taxes and liens on the Property are part of this Deed of Trust:

Payment. Trustor shall pay when due (and in all events prior to delinquency) all taxes and assessments, including without limitation sales or use taxes in any state, local privilege or excise taxes based on gross revenues, special taxes, charges (including water and sewer), fines and impositions levied against Trustor or on account of the Property, and shall pay when due all claims for work done on or for services rendered or material furnished to the Property. Trustor shall maintain the Property free of all liens having priority over or equal to the interest of Lender under this Deed of Trust, except for the lien of taxes and assessments not due and except as otherwise provided in this Deed of Trust. Beneficiary shall have the right, but not the duty or obligation, to charge Trustor for any such taxes or assessments in advance of payment. In no event does exercise or non-exercise by Beneficiary of this right relieve Trustor from Trustor's obligation under this Deed of Trust or impose any liability whatsoever on Beneficiary.

Right to Contest. Trustor may withhold payment of any tax, assessment, or claim in connection with a good faith dispute over the obligation to pay, so long as Lender's interest in the Property is not jeopardized. If a lien arises or is filed as a result of nonpayment, Trustor shall within fifteen (15) days after the lien arises or, if a lien is filed, within fifteen (15) days after Trustor has notice of the filing, secure the discharge of the lien, or if requested by Lender, deposit with Lender cash or a sufficient corporate surety bond or other security satisfactory to Lender in an amount sufficient to discharge the lien plus any costs and attorneys' fees, or other charges that could accrue as a result of a foreclosure or sale under the lien. In any contest, Trustor shall defend itself and Lender and shall satisfy any adverse judgment before enforcement against the Property. Trustor shall name Lender as an additional obligee under any surety bond furnished in the contest proceedings.

Evidence of Payment. Trustor shall upon demand furnish to Lender satisfactory evidence of payment of the taxes or assessments and shall authorize the appropriate governmental official to deliver to Lender at any time a written statement of the taxes and assessments against the Property.

Notice of Construction. Trustor shall notify Lender at least fifteen (15) days before any work is commenced, any services are furnished, or any materials are supplied to the Property, if any mechanic's lien, materialmen's lien, or other lien could be asserted on account of the work, services, or materials. Trustor will upon request of Lender furnish to Lender advance assurances satisfactory to Lender that Trustor can and will pay the cost of such improvements.

PROPERTY DAMAGE INSURANCE. The following provisions relating to insuring the Property are a part of this Deed of Trust.

Maintenance of Insurance. Trustor shall procure and maintain policies of fire insurance with standard extended coverage endorsements on a replacement basis for the full insurable value covering all improvements on the Real Property in an amount sufficient to avoid application of any coinsurance clause, and with a standard mortgagee clause in favor of Lender. Trustor shall also procure and maintain comprehensive general liability insurance in such coverage amounts as Lender may request with Trustee and Lender being named as additional insureds in such liability insurance policies. Additionally, Trustor shall maintain such other insurance, including but not limited to hazard, business interruption, and boiler insurance, as Lender may reasonably require. Policies shall be written in form, amounts, coverages and basis reasonably acceptable to Lender and issued by a company or companies reasonably acceptable to Lender. Trustor, upon request of Lender, will deliver to Lender from time to time the policies or certificates of insurance in form satisfactory to Lender, including stipulations that coverages will not be cancelled or diminished without at least ten (10) days prior written notice to Lender. Each insurance policy also shall include an endorsement providing that coverage in favor of Lender will not be impaired in any way by any act, omission or default of Trustor or any other person. Should the Real Property be located in an area designated by the Director of the Federal Emergency Management Agency as a special flood hazard area,

Trustor agrees to obtain and maintain Federal Flood Insurance, if available, within 45 days after notice is given by Lender that the Property is located in a special flood hazard area, for the full unpaid principal balance of the loan and any prior liens on the property securing the loan, up to the maximum policy limits set under the National Flood Insurance Program, or as otherwise required by Lender, and to maintain such insurance for the term of the loan.

Application of Proceeds. Trustor shall promptly notify Lender of any loss or damage to the Property. Lender may make proof of loss if Trustor fails to do so within fifteen (15) days of the casualty. Whether or not Lender's security is impaired, Lender may, at Lender's election, receive and retain the proceeds of any insurance and apply the proceeds to the reduction of the Indebtedness, payment of any lien affecting the Property, or the restoration and repair of the Property. If Lender elects to apply the proceeds to restoration and repair, Trustor shall repair or replace the damaged or destroyed Improvements in a manner satisfactory to Lender. Lender shall, upon satisfactory proof of such expenditure, pay or reimburse Trustor from the proceeds for the reasonable cost of repair or restoration if Trustor is not in default under this Deed of Trust. Any proceeds which have not been disbursed within 180 days after their receipt and which Lender has not committed to the repair or restoration of the Property shall be used first to pay any amount owing to Lender under this Deed of Trust, then to pay accrued interest, and the remainder, if any, shall be applied to the principal balance of the Indebtedness. If Lender holds any proceeds after payment in full of the Indebtedness, such proceeds shall be paid to Trustor as Trustor's interests may appear.

Trustor's Report on Insurance. Upon request of Lender, however not more than once a year Trustor shall furnish to Lender a report on each existing policy of insurance showing: (1) the name of the insurer; (2) the risks insured; (3) the amount of the policy; (4) the property insured, the then current replacement value of such property, and the manner of determining that value; and (5) the expiration date of the policy. Trustor shall, upon request of Lender, have an independent appraiser satisfactory to Lender determine the cash value replacement cost of the Property.

TAX AND INSURANCE RESERVES. Subject to any limitations and consistent with any requirements set by applicable law, Lender may require Trustor to maintain with Lender reserves for payment of annual taxes, assessments, and insurance premiums, which reserves shall be created by an initial deposit and subsequent monthly payments, or payments at such other interval as payments under the Note may be due, of a sum estimated by Lender to be sufficient to pay the total annual taxes, assessments, and insurance premiums Lender reasonably anticipates to be paid from these reserves. The reserve funds shall be held by Lender as a general deposit from Trustor, which Lender may satisfy by payment of the taxes, assessments, and insurance premiums required to be paid by Trustor as they become due. Lender shall have the right to draw upon the reserve funds to pay such items, and Lender shall not be required to determine the validity or accuracy of any item before paying it. Nothing in the Deed of Trust shall be construed as requiring Lender to advance other monies for such purposes, and Lender shall not incur any liability for anything it may do or omit to do with respect to the reserve account. Subject to any limitations set by applicable law, if the reserve funds disclose a shortage or deficiency, Trustor shall pay such shortage or deficiency as required by Lender. All amounts in the reserve account are hereby pledged to further secure the Indebtedness, and Lender is hereby authorized to withdraw and apply such amounts on the Indebtedness upon the occurrence of an Event of Default. Lender shall not be required to pay any interest or earnings on the reserve funds unless required by law or agreed to by Lender in writing. Lender does not hold the reserve funds in trust for Trustor, and Lender is not Trustor's agent for payment of the taxes and assessments required to be paid by Trustor.

LENDER'S EXPENDITURES. If any action or proceeding is commenced that would materially affect Lender's interest in the Property or if Trustor fails to comply with any provision of this Deed of Trust or any Related Documents, including but not limited to Trustor's failure to discharge or pay when due any amounts Trustor is required to discharge or pay under this Deed of Trust or any Related Documents, Lender on Trustor's behalf may (but shall not be obligated to) take any action that Lender deems appropriate, to the extent permitted by applicable law, including but not limited to discharging or paying all taxes, liens, security interests, encumbrances and other claims, at any time levied or placed on the Property and paying all costs for insuring, maintaining and preserving the Property. All such expenditures incurred or paid by Lender for such purposes will then bear interest at the rate charged under the Note from the date incurred or paid by Lender to the date of repayment by Trustor. All such expenses will become a part of the Indebtedness and, at Lender's option, will (A) be payable on demand; (B) be added to the balance of the Note and be apportioned among and be payable with any installment payments to become due during either (1) the term of any applicable insurance policy; or (2) the remaining term of the Note; or (C) be treated as a balloon payment which will be due and payable at the Note's maturity. The Deed of Trust also will secure payment of these amounts. Such right shall be in addition to all other rights and remedies to which Lender may be entitled upon Default and shall be exercisable by Lender to the extent permitted by applicable law.

WARRANTY; DEFENSE OF TITLE. The following provisions relating to ownership of the Property are a part of this Deed of Trust:

Title. Trustor warrants that: (a) Trustor holds good and marketable title of record to the Property in fee simple, free and clear of all liens and encumbrances other than those set forth in the Real Property description or in any title insurance policy, title report, or final title opinion issued in favor of, and accepted by, Lender, or have otherwise been previously disclosed to and accepted by Lender in writing in connection with this Deed of Trust, and (b) Trustor has the full right, power, and authority to execute and deliver this Deed of Trust to Lender.

Defense of Title. Subject to the exception in the paragraph above, Trustor warrants and will forever defend

MPB_0123

the title to the Property against the lawful claims of all persons. In the event any action or proceeding is commenced that questions Trustor's title or the interest of Trustee or Lender under this Deed of Trust, Trustor shall defend the action at Trustor's expense. Trustor may be the nominal party in such proceeding, but Lender shall be entitled to participate in the proceeding and to be represented in the proceeding by counsel of Lender's own choice, and Trustor will deliver, or cause to be delivered, to Lender such instruments as Lender may request from time to time to permit such participation.

Compliance With Laws. Trustor warrants that the Property and Trustor's use of the Property complies with all existing applicable laws, ordinances, and regulations of governmental authorities.

Survival of Representations and Warranties. All representations, warranties, and agreements made by Trustor in this Deed of Trust shall survive the execution and delivery of this Deed of Trust, shall be continuing in nature, and shall remain in full force and effect until such time as Borrower's Indebtedness shall be paid in full.

CONDEMNATION. The following provisions relating to condemnation proceedings are a part of this Deed of Trust:

Proceedings. If any proceeding in condemnation is filed, Trustor shall promptly notify Lender in writing, and Trustor shall promptly take such steps as may be necessary to defend the action and obtain the award. Trustor may be the nominal party in such proceeding, but Lender shall be entitled to participate in the proceeding and to be represented in the proceeding by counsel of its own choice, and Trustor will deliver or cause to be delivered to Lender such instruments and documentation as may be requested by Lender from time to time to permit such participation.

Application of Net Proceeds. If all or any part of the Property is condemned by eminent domain proceedings or by any proceeding or purchase in lieu of condemnation, Lender may at its election require that all or any portion of the net proceeds of the award be applied to the Indebtedness or the repair or restoration of the Property. The net proceeds of the award shall mean the award after payment of all reasonable costs, expenses, and attorneys' fees incurred by Trustee or Lender in connection with the condemnation.

IMPOSITION OF TAXES, FEES AND CHARGES BY GOVERNMENTAL AUTHORITIES. The following provisions relating to governmental taxes, fees and charges are a part of this Deed of Trust:

Current Taxes, Fees and Charges. Upon request by Lender, Trustor shall execute such documents in addition to this Deed of Trust and take whatever other action is requested by Lender to perfect and continue Lender's lien on the Real Property. Trustor shall reimburse Lender for all taxes, as described below, together with all expenses incurred in recording, perfecting or continuing this Deed of Trust, including without limitation all taxes, fees, documentary stamps, and other charges for recording or registering this Deed of Trust.

Taxes. The following shall constitute taxes to which this section applies: (1) a specific tax upon this type of Deed of Trust or upon all or any part of the Indebtedness secured by this Deed of Trust; (2) a specific tax on Borrower which Borrower is authorized or required to deduct from payments on the Indebtedness secured by this type of Deed of Trust; (3) a tax on this type of Deed of Trust chargeable against the Lender or the holder of the Note; and (4) a specific tax on all or any portion of the Indebtedness or on payments of principal and interest made by Borrower.

Subsequent Taxes. If any tax to which this section applies is enacted subsequent to the date of this Deed of Trust, this event shall have the same effect as an Event of Default, and Lender may exercise any or all of its available remedies for an Event of Default as provided below unless Trustor either (1) pays the tax before it becomes delinquent, or (2) contests the tax as provided above in the Taxes and Liens section and deposits with Lender cash or a sufficient corporate surety bond or other security satisfactory to Lender.

SECURITY AGREEMENT; FINANCING STATEMENTS. The following provisions relating to this Deed of Trust as a security agreement are a part of this Deed of Trust:

Security Agreement. This instrument shall constitute a Security Agreement to the extent any of the Property constitutes fixtures, and Lender shall have all of the rights of a secured party under the Uniform Commercial Code as amended from time to time.

Security Interest. Upon request by Lender, Trustor shall take whatever action is requested by Lender to perfect and continue Lender's security interest in the Rents and Personal Property. In addition to recording this Deed of Trust in the real property records, Lender may, at any time and without further authorization from Trustor, file executed counterparts, copies or reproductions of this Deed of Trust as a financing statement. Trustor shall reimburse Lender for all expenses incurred in perfecting or continuing this security interest. Upon default, Trustor shall not remove, sever or detach the Personal Property from the Property. Upon default, Trustor shall assemble any Personal Property not affixed to the Property in a manner and at a place reasonably convenient to Trustor and Lender and make it available to Lender within three (3) days after receipt of written demand from Lender to the extent permitted by applicable law.

Addresses. The mailing addresses of Trustor (debtor) and Lender (secured party) from which information concerning the security interest granted by this Deed of Trust may be obtained (each as required by the Uniform Commercial Code) are as stated on the first page of this Deed of Trust.

FURTHER ASSURANCES; ATTORNEY-IN-FACT. The following provisions relating to further assurances and

attorney-in-fact are a part of this Deed of Trust:

Further Assurances. At any time, and from time to time, upon request of Lender, Trustor will make, execute and deliver, or will cause to be made, executed or delivered, to Lender or to Lender's designee, and when requested by Lender, cause to be filed, recorded, refiled, or rerecorded, as the case may be, at such times and in such offices and places as Lender may deem appropriate, any and all such mortgages, deeds of trust, security deeds, security agreements, financing statements, continuation statements, instruments of further assurance, certificates, and other documents as may, in the sole opinion of Lender, be necessary or desirable in order to effectuate, complete, perfect, continue, or preserve (1) Borrower's and Trustor's obligations under the Note, this Deed of Trust, and the Related Documents, and (2) the liens and security interests created by this Deed of Trust as first and prior liens on the Property, whether now owned or hereafter acquired by Trustor. Unless prohibited by law or Lender agrees to the contrary in writing, Trustor shall reimburse Lender for all costs and expenses incurred in connection with the matters referred to in this paragraph.

Attorney-in-Fact. If Trustor fails to do any of the things referred to in the preceding paragraph, Lender may do so for and in the name of Trustor and at Trustor's expense. For such purposes, Trustor hereby irrevocably appoints Lender as Trustor's attorney-in-fact for the purpose of making, executing, delivering, filing, recording, and doing all other things as may be necessary or desirable, in Lender's sole opinion, to accomplish the matters referred to in the preceding paragraph.

FULL PERFORMANCE. If Borrower and Trustor pay all the Indebtedness when due, and Trustor otherwise performs all the obligations imposed upon Trustor under this Deed of Trust, Lender shall execute and deliver to Trustee a request for full reconveyance without warranty and shall execute and deliver to Trustor suitable statements of termination of any financing statement on file evidencing Lender's security interest in the Rents and the Personal Property. Any reconveyance fee required by law shall be paid by Trustor, if permitted by applicable law.

EVENTS OF DEFAULT. Each of the following, at Lender's option, shall constitute an Event of Default under this Deed of Trust:

Payment Default. Borrower fails to make any payment when due under the Indebtedness.

Other Defaults. Borrower or Trustor fails to comply with or to perform any other term, obligation, covenant or condition contained in this Deed of Trust or in any of the Related Documents or to comply with or to perform any term, obligation, covenant or condition contained in any other agreement between Lender and Borrower or Trustor.

Compliance Default. Failure to comply with any other term, obligation, covenant or condition contained in this Deed of Trust, the Note or in any of the Related Documents.

Default on Other Payments. Failure of Trustor within the time required by this Deed of Trust to make any payment for taxes or insurance, or any other payment necessary to prevent filing of or to effect discharge of any lien.

Default in Favor of Third Parties. Should Borrower or any Grantor default under any loan, extension of credit, security agreement, purchase or sales agreement, or any other agreement, in favor of any other creditor or person that may materially affect any of Borrower's or any Grantor's property or Borrower's ability to repay the Indebtedness or Borrower's or Grantor's ability to perform their respective obligations under this Deed of Trust or any of the Related Documents.

False Statements. Any warranty, representation or statement made or furnished to Lender by Borrower or Trustor or on Borrower's or Trustor's behalf under this Deed of Trust or the Related Documents is false or misleading in any material respect, either now or at the time made or furnished or becomes false or misleading at any time thereafter.

Defective Collateralization. This Deed of Trust or any of the Related Documents ceases to be in full force and effect (including failure of any collateral document to create a valid and perfected security interest or lien) at any time and for any reason.

Death or Insolvency. The dissolution of Trustor's (regardless of whether election to continue is made), any member withdraws from the limited liability company, or any other termination of Borrower's or Trustor's existence as a going business or the death of any member, the insolvency of Borrower or Trustor, the appointment of a receiver for any part of Borrower's or Trustor's property, any assignment for the benefit of creditors, any type of creditor workout, or the commencement of any proceeding under any bankruptcy or insolvency laws by or against Borrower or Trustor.

Creditor or Forfeiture Proceedings. Commencement of foreclosure or forfeiture proceedings, whether by judicial proceeding, self-help, repossession or any other method, by any creditor of Borrower or Trustor or by any governmental agency against any property securing the Indebtedness. This includes a garnishment of any of Borrower's or Trustor's accounts, including deposit accounts, with Lender. However, this Event of Default shall not apply if there is a good faith dispute by Borrower or Trustor as to the validity or reasonableness of the claim which is the basis of the creditor or forfeiture proceeding and if Borrower or Trustor gives Lender written notice of the creditor or forfeiture proceeding and deposits with Lender monies or a surety bond for the creditor or forfeiture proceeding, in an amount determined by Lender, in its sole discretion, as being an adequate reserve or bond for the dispute.

Breach of Other Agreement. Any breach by Borrower or Trustor under the terms of any other agreement

MPB_0125

between Borrower or Trustor and Lender that is not remedied within any grace period provided therein, including without limitation any agreement concerning any indebtedness or other obligation of Borrower or Trustor to Lender, whether existing now or later.

Events Affecting Guarantor. Any of the preceding events occurs with respect to any guarantor, endorser, surety, or accommodation party of any of the Indebtedness or any guarantor, endorser, surety, or accommodation party dies or becomes incompetent, or revokes or disputes the validity of, or liability under, any Guaranty of the Indebtedness.

Adverse Change. A material adverse change occurs in Borrower's or Trustor's financial condition, or Lender believes the prospect of payment or performance of the Indebtedness is impaired.

Insecurity. Lender in good faith believes itself insecure.

Right to Cure. If any default, other than a default in payment is curable and if Trustor has not been given a notice of a breach of the same provision of this Deed of Trust within the preceding twelve (12) months, it may be cured if Trustor, after Lender sends written notice to Borrower demanding cure of such default: (1) cures the default within ten (10) days; or (2) if the cure requires more than ten (10) days, immediately initiates steps which Lender deems in Lender's sole discretion to be sufficient to cure the default and thereafter continues and completes all reasonable and necessary steps sufficient to produce compliance as soon as reasonably practical.

RIGHTS AND REMEDIES ON DEFAULT. If an Event of Default occurs under this Deed of Trust, at any time thereafter, Trustee or Lender may exercise any one or more of the following rights and remedies:

Election of Remedies. Election by Lender to pursue any remedy shall not exclude pursuit of any other remedy, and an election to make expenditures or to take action to perform an obligation of Trustor under this Deed of Trust, after Trustor's failure to perform, shall not affect Lender's right to declare a default and exercise its remedies.

Accelerate Indebtedness. Lender shall have the right at its option without notice to Borrower or Trustor to declare the entire Indebtedness immediately due and payable, including any prepayment penalty which Borrower would be required to pay.

Foreclosure. With respect to all or any part of the Real Property, the Trustee shall have the right to foreclose by notice and sale, and Lender shall have the right to foreclose by judicial foreclosure, in either case in accordance with and to the full extent provided by applicable law. To the extent permitted by law, Trustor shall be and remain liable for any deficiency remaining after sale, either pursuant to the power of sale or judicial proceedings.

UCC Remedies. With respect to all or any part of the Personal Property, Lender shall have all the rights and remedies of a secured party under the Uniform Commercial Code.

Collect Rents. Lender shall have the right, without notice to Borrower or Trustor to take possession of and manage the Property and collect the Rents, including amounts past due and unpaid, and apply the net proceeds, over and above Lender's costs, against the Indebtedness. In furtherance of this right, Lender may require any tenant or other user of the Property to make payments of rent or use fees directly to Lender. If the Rents are collected by Lender, then Trustor irrevocably designates Lender as Trustor's attorney-in-fact to endorse instruments received in payment thereof in the name of Trustor and to negotiate the same and collect the proceeds. Payments by tenants or other users to Lender in response to Lender's demand shall satisfy the obligations for which the payments are made, whether or not any proper grounds for the demand existed. Lender may exercise its rights under this subparagraph either in person, by agent, or through a receiver.

Appoint Receiver. Lender shall have the right to have a receiver appointed to take possession of all or any part of the Property, with the power to protect and preserve the Property, to operate the Property preceding foreclosure or sale, and to collect the Rents from the Property and apply the proceeds, over and above the cost of the receivership, against the Indebtedness. The receiver may serve without bond if permitted by law. Lender's right to the appointment of a receiver shall exist whether or not the apparent value of the Property exceeds the Indebtedness by a substantial amount. Employment by Lender shall not disqualify a person from serving as a receiver.

Tenancy at Sufferance. If Trustor remains in possession of the Property after the Property is sold as provided above or Lender otherwise becomes entitled to possession of the Property upon default of Borrower or Trustor, Trustor shall become a tenant at sufferance of Lender or the purchaser of the Property and shall, at Lender's option, either (1) pay a reasonable rental for the use of the Property, or (2) vacate the Property immediately upon the demand of Lender.

Other Remedies. Trustee or Lender shall have any other right or remedy provided in this Deed of Trust or the Note or available at law or in equity.

Notice of Sale. Lender shall give Trustor reasonable notice of the time and place of any public sale of the Personal Property or of the time after which any private sale or other intended disposition of the Personal Property is to be made. Reasonable notice shall mean notice given at least ten (10) days before the time of the sale or disposition. Any sale of the Personal Property may be made in conjunction with any sale of the Real Property.

Sale of the Property. To the extent permitted by applicable law, Borrower and Trustor hereby waives any

and all rights to have the Property marshalled. In exercising its rights and remedies, the Trustee or Lender shall be free to sell all or any part of the Property together or separately, in one sale or by separate sales. Lender shall be entitled to bid at any public sale on all or any portion of the Property.

Insurance Policies. Lender shall have the right upon an Event of Default, but not the obligation, to assign all of Trustor's right, title and interest in and to all policies of insurance on the Property and any unearned premiums paid on such insurance to any receiver or any purchaser of the Property at a foreclosure sale, and Trustor hereby appoints Lender as attorney in fact to assign and transfer such policies.

Attorneys' Fees; Expenses. If Lender institutes any suit or action to enforce any of the terms of this Deed of Trust, Lender shall be entitled to recover such sum as the court may adjudge reasonable as attorneys' fees at trial and upon any appeal. Whether or not any court action is involved, and to the extent not prohibited by law, all reasonable expenses Lender incurs that in Lender's opinion are necessary at any time for the protection of its interest or the enforcement of its rights shall become a part of the Indebtedness payable on demand and shall bear interest at the Note rate from the date of the expenditure until repaid. Expenses covered by this paragraph include, without limitation, however subject to any limits under applicable law, Lender's attorneys' fees and Lender's legal expenses, whether or not there is a lawsuit, including attorneys' fees and expenses for bankruptcy proceedings (including efforts to modify or vacate any automatic stay or injunction), appeals, and any anticipated post-judgment collection services, the cost of searching records, obtaining title reports (including foreclosure reports), surveyors' reports, and appraisal fees, title insurance, and fees for the Trustee, to the extent permitted by applicable law. However, Trustor will only pay attorneys' fees of an attorney not Lender's salaried employee, to whom the matter is referred after Trustor's default. Trustor also will pay any court costs, in addition to all other sums provided by law.

Rights of Trustee. Trustee shall have all of the rights and duties of Lender as set forth in this section.

POWERS AND OBLIGATIONS OF TRUSTEE. The following provisions relating to the powers and obligations of Trustee are part of this Deed of Trust:

Powers of Trustee. In addition to all powers of Trustee arising as a matter of law, Trustee shall have the power to take the following actions with respect to the Property upon the written request of Lender and Trustor: (a) join in preparing and filing a map or plat of the Real Property, including the dedication of streets or other rights to the public; (b) join in granting any easement or creating any restriction on the Real Property; and (c) join in any subordination or other agreement affecting this Deed of Trust or the interest of Lender under this Deed of Trust.

Obligations to Notify. Trustee shall not be obligated to notify any other party of a pending sale under any other trust deed or lien, or of any action or proceeding in which Trustor, Lender, or Trustee shall be a party, unless the action or proceeding is brought by Trustee.

Trustee. Trustee shall meet all qualifications required for Trustee under applicable law. In addition to the rights and remedies set forth above, with respect to all or any part of the Property, the Trustee shall have the right to foreclose by notice and sale, and Lender shall have the right to foreclose by judicial foreclosure, in either case in accordance with and to the full extent provided by applicable law.

Successor Trustee. Lender, at Lender's option, may from time to time appoint a successor Trustee to any Trustee appointed under this Deed of Trust by an instrument executed and acknowledged by Lender and recorded in the office of the recorder of Maricopa County, State of Arizona. The instrument shall contain, in addition to all other matters required by state law, the names of the original Lender, Trustee, and Trustor, the book and page where this Deed of Trust is recorded, and the name and address of the successor trustee, and the instrument shall be executed and acknowledged by Lender or its successors in interest. The successor trustee, without conveyance of the Property, shall succeed to all the title, power, and duties conferred upon the Trustee in this Deed of Trust and by applicable law. This procedure for substitution of Trustee shall govern to the exclusion of all other provisions for substitution.

NOTICES. Any notice required to be given under this Deed of Trust, including without limitation any notice of default and any notice of sale shall be given in writing, and shall be effective when actually delivered, when actually received by telefacsimile (unless otherwise required by law), when deposited with a nationally recognized overnight courier, or, if mailed, when deposited in the United States mail, as first class, certified or registered mail postage prepaid, directed to the addresses shown near the beginning of this Deed of Trust. All copies of notices of foreclosure from the holder of any lien which has priority over this Deed of Trust shall be sent to Lender's address, as shown near the beginning of this Deed of Trust. Any party may change its address for notices under this Deed of Trust by giving formal written notice to the other parties, specifying that the purpose of the notice is to change the party's address. For notice purposes, Trustor agrees to keep Lender informed at all times of Trustor's current address. Unless otherwise provided or required by law, if there is more than one Trustor, any notice given by Lender to any Trustor is deemed to be notice given to all Trustors.

MISCELLANEOUS PROVISIONS. The following miscellaneous provisions are a part of this Deed of Trust:

Amendments. This Deed of Trust, together with any Related Documents, constitutes the entire understanding and agreement of the parties as to the matters set forth in this Deed of Trust. No alteration of or amendment to this Deed of Trust shall be effective unless given in writing and signed by the party or parties sought to be charged or bound by the alteration or amendment.

Annual Reports. If the Property is used for purposes other than Trustor's residence, Trustor shall furnish to Lender, upon request, a certified statement of net operating income received from the Property during Trustor's previous fiscal year in such form and detail as Lender shall require. "Net operating income" shall

mean all cash receipts from the Property less all cash expenditures made in connection with the operation of the Property.

Caption Headings. Caption headings in this Deed of Trust are for convenience purposes only and are not to be used to interpret or define the provisions of this Deed of Trust.

Merger. There shall be no merger of the interest or estate created by this Deed of Trust with any other interest or estate in the Property at any time held by or for the benefit of Lender in any capacity, without the written consent of Lender.

Governing Law. This Deed of Trust will be governed by federal law applicable to Lender and, to the extent not preempted by federal law, the laws of the State of Arizona without regard to its conflicts of law provisions. This Deed of Trust has been accepted by Lender in the State of Arizona.

Joint and Several Liability. All obligations of Borrower and Trustor under this Deed of Trust shall be joint and several, and all references to Trustor shall mean each and every Trustor, and all references to Borrower shall mean each and every Borrower. This means that each Trustor signing below is responsible for all obligations in this Deed of Trust. Where any one or more of the parties is a corporation, partnership, limited liability company or similar entity, it is not necessary for Lender to inquire into the powers of any of the officers, directors, partners, members, or other agents acting or purporting to act on the entity's behalf, and any obligations made or created in reliance upon the professed exercise of such powers shall be guaranteed under this Deed of Trust.

No Waiver by Lender. Lender shall not be deemed to have waived any rights under this Deed of Trust unless such waiver is given in writing and signed by Lender. No delay or omission on the part of Lender in exercising any right shall operate as a waiver of such right or any other right. A waiver by Lender of a provision of this Deed of Trust shall not prejudice or constitute a waiver of Lender's right otherwise to demand strict compliance with that provision or any other provision of this Deed of Trust. No prior waiver by Lender, nor any course of dealing between Lender and Trustor, shall constitute a waiver of any of Lender's rights or of any of Trustor's obligations as to any future transactions. Whenever the consent of Lender is required under this Deed of Trust, the granting of such consent by Lender in any instance shall not constitute continuing consent to subsequent instances where such consent is required and in all cases such consent may be granted or withheld in the sole discretion of Lender.

Severability. If a court of competent jurisdiction finds any provision of this Deed of Trust to be illegal, invalid, or unenforceable as to any circumstance, that finding shall not make the offending provision illegal, invalid, or unenforceable as to any other circumstance. If feasible, the offending provision shall be considered modified so that it becomes legal, valid and enforceable. If the offending provision cannot be so modified, it shall be considered deleted from this Deed of Trust. Unless otherwise required by law the illegality, invalidity, or unenforceability of any provision of this Deed of Trust shall not affect the legality, validity or enforceability of any other provision of this Deed of Trust.

Successors and Assigns. Subject to any limitations stated in this Deed of Trust on transfer of Trustor's interest, this Deed of Trust shall be binding upon and inure to the benefit of the parties, their successors and assigns. If ownership of the Property becomes vested in a person other than Trustor, Lender, without notice to Trustor, may deal with Trustor's successors with reference to this Deed of Trust and the Indebtedness by way of forbearance or extension without releasing Trustor from the obligations of this Deed of Trust or liability under the Indebtedness.

Time is of the Essence. Time is of the essence in the performance of this Deed of Trust.

Waive Jury. All parties to this Deed of Trust hereby waive the right to any jury trial in any action, proceeding, or counterclaim brought by any party against any other party.

Waiver of Homestead Exemption. Trustor hereby releases and waives all rights and benefits of the homestead exemption laws of the State of Arizona as to all Indebtedness secured by this Deed of Trust.

DEFINITIONS. The following capitalized words and terms shall have the following meanings when used in this Deed of Trust. Unless specifically stated to the contrary, all references to dollar amounts shall mean amounts in lawful money of the United States of America. Words and terms used in the singular shall include the plural, and the plural shall include the singular, as the context may require. Words and terms not otherwise defined in this Deed of Trust shall have the meanings attributed to such terms in the Uniform Commercial Code:

Beneficiary. The word "Beneficiary" means Metro Phoenix Bank, and its successors and assigns.

Borrower. The word "Borrower" means Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert and includes all co-signers and co-makers signing the Note and all their successors and assigns.

Deed of Trust. The words "Deed of Trust" mean this Deed of Trust among Trustor, Lender, and Trustee, and includes without limitation all assignment and security interest provisions relating to the Personal Property and Rents.

Default. The word "Default" means the Default set forth in this Deed of Trust in the section titled "Default".

Environmental Laws. The words "Environmental Laws" mean any and all state, federal and local statutes, regulations and ordinances relating to the protection of human health or the environment, including without limitation the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended, 42 U.S.C. Section 9601, et seq. ("CERCLA"), the Superfund Amendments and Reauthorization

MPB_0128

Act of 1986, Pub. L. No. 99-499 ("SARA"), the Hazardous Materials Transportation Act, 49 U.S.C. Section 1801, et seq., the Resource Conservation and Recovery Act, 42 U.S.C. Section 6901, et seq., or other applicable state or federal laws, rules, or regulations adopted pursuant thereto.

Event of Default. The words "Event of Default" mean any of the events of default set forth in this Deed of Trust in the events of default section of this Deed of Trust.

Guaranty. The word "Guaranty" means the guaranty from guarantor, endorser, surety, or accommodation party to Lender, including without limitation a guaranty of all or part of the Note.

Hazardous Substances. The words "Hazardous Substances" mean materials that, because of their quantity, concentration or physical, chemical or infectious characteristics, may cause or pose a present or potential hazard to human health or the environment when improperly used, treated, stored, disposed of, generated, manufactured, transported or otherwise handled. The words "Hazardous Substances" are used in their very broadest sense and include without limitation any and all hazardous or toxic substances, materials or waste as defined by or listed under the Environmental Laws. The term "Hazardous Substances" also includes, without limitation, petroleum and petroleum by products or any fraction thereof and asbestos.

Improvements. The word "Improvements" means all existing and future improvements, buildings, structures, mobile homes affixed on the Real Property, facilities, additions, replacements and other construction on the Real Property.

Indebtedness. The word "Indebtedness" means all principal, interest, and other amounts, costs and expenses payable under the Note or Related Documents, together with all renewals of, extensions of, modifications of, consolidations of and substitutions for the Note or Related Documents and any amounts expended or advanced by Lender to discharge Trustor's obligations or expenses incurred by Trustee or Lender to enforce Trustor's obligations under this Deed of Trust, together with interest on such amounts as provided in this Deed of Trust.

Lender. The word "Lender" means Metro Phoenix Bank, its successors and assigns.

Note. The word "Note" means the promissory note dated July 30, 2010, in the original principal amount of $75,000.00 from Borrower to Lender, together with all renewals of, extensions of, modifications of, refinancings of, consolidations of, and substitutions for the promissory note or agreement. **NOTICE TO TRUSTOR: THE NOTE CONTAINS A VARIABLE INTEREST RATE.**

Personal Property. The words "Personal Property" mean all equipment, fixtures, and other articles of personal property now or hereafter owned by Trustor, and now or hereafter attached or affixed to the Real Property; together with all accessions, parts, and additions to, all replacements of, and all substitutions for, any of such property; and together with all proceeds (including without limitation all insurance proceeds and refunds of premiums) from any sale or other disposition of the Property.

Property. The word "Property" means collectively the Real Property and the Personal Property.

Real Property. The words "Real Property" mean the real property, interests and rights, as further described in this Deed of Trust.

Related Documents. The words "Related Documents" mean all promissory notes, credit agreements, loan agreements, environmental agreements, guaranties, security agreements, mortgages, deeds of trust, security deeds, collateral mortgages, and all other instruments, agreements and documents, whether now or hereafter existing, executed in connection with the Indebtedness.

Rents. The word "Rents" means all present and future rents, revenues, income, issues, royalties, profits, and other benefits derived from the Property.

Trustee. The word "Trustee" means Metro Phoenix Bank, whose address is 4686 E. Van Buren Rd., Ste #150 & #190, Phoenix, AZ 85008 and any substitute or successor trustees.

Trustor. The word "Trustor" means Sheridan Equities, LLC.

TRUSTOR ACKNOWLEDGES HAVING READ ALL THE PROVISIONS OF THIS DEED OF TRUST, AND TRUSTOR AGREES TO ITS TERMS.

TRUSTOR:

SHERIDAN EQUITIES, LLC

By: [redacted]
David S. Schweikert, Manager of Sheridan Equities, LLC

MPB_0129

Loan No: [redacted]

DEED OF TRUST
(Continued)

LIMITED LIABILITY COMPANY ACKNOWLEDGMENT

STATE OF Arizona)
) SS
COUNTY OF Maricopa)

On this 30 day of July, 20 10, before me, the undersigned Notary Public, personally appeared **David S. Schweikert, Manager of Sheridan Equities, LLC**, and known to me to be member or designated agent of the limited liability company that executed the Deed of Trust and acknowledged the Deed of Trust to be the free and voluntary act and deed of the limited liability company, by authority of statute, its articles of organization or its operating agreement, for the uses and purposes therein mentioned, and on oath stated that he or she is authorized to execute this Deed of Trust and in fact executed the Deed of Trust on behalf of the limited liability company.

By [redacted] Residing at Maricopa County

Notary Public in and for the State of Arizona

My commission expires 10-4-2011

KELLI A. BADER
Notary Public - Arizona
Maricopa County
My Comm. Expires Oct 4, 2011

REQUEST FOR FULL RECONVEYANCE

(To be used only when obligations have been paid in full)

To: _____, Trustee

The undersigned is the legal owner and holder of all indebtedness secured by this Deed of Trust. All sums secured by this Deed of Trust have been fully paid and satisfied. You are hereby directed, upon payment to you of any sums owing to you under the terms of this Deed of Trust or pursuant to any applicable statute, to cancel the Note secured by this Deed of Trust (which is delivered to you together with this Deed of Trust), and to reconvey, without warranty, to the parties designated by the terms of this Deed of Trust, the estate now held by you under this Deed of Trust. Please mail the reconveyance and Related Documents to:

_____

Date: _____ Beneficiary: _____

By: _____

Its: _____

LASER PRO Lending, Ver. 5.51.00.002 Copr. Harland Financial Solutions, Inc. 1997, 2010. All Rights Reserved. - AZ H:\CFI\LPL\G01.FC TR-352 PR-3

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OFFICIAL RECORDS OF
MARICOPA COUNTY RECORDER
HELEN PURCELL
20100657357,08/02/2010 02:05
ELECTRONIC RECORDING
104264-6-2-2--,N

THOMAS TITLE & ESCROW

RECORDATION REQUESTED BY:
Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

WHEN RECORDED MAIL TO:
Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

SEND TAX NOTICES TO:
Sheridan Equities, LLC
15749 E. El Lago Blvd
Fountain Hills, AZ 85268

FOR RECORDER'S USE ONLY

104264
2 of 2

ASSIGNMENT OF RENTS

THIS ASSIGNMENT OF RENTS dated July 30, 2010, is made and executed between Sheridan Equities, LLC, whose address is 15749 E. El Lago Blvd, Fountain Hills, AZ 85268 (referred to below as "Grantor") and Metro Phoenix Bank, whose address is 4686 E. Van Buren Street, Ste #150, Phoenix, AZ 85008 (referred to below as "Lender").

ASSIGNMENT. For valuable consideration, Grantor hereby assigns, grants a continuing security interest in, and conveys to Lender all of Grantor's right, title, and interest in and to the Rents from the following described Property located in Maricopa County, State of Arizona:

Lot 97, Papago Terrace Three, according to Book 73 of Maps, page 2, records of Maricopa County, Arizona.

The Property or its address is commonly known as 3338 E. Willetta Street, Phoenix, AZ 85008. The Property tax identification number is 121-07-153.

REVOLVING LINE OF CREDIT. This Assignment secures the Indebtedness including, without limitation, a revolving line of credit, which obligates Lender to make advances to Borrower so long as Borrower complies with all the terms of the Note.

THIS ASSIGNMENT IS GIVEN TO SECURE (1) PAYMENT OF THE INDEBTEDNESS AND (2) PERFORMANCE OF ANY AND ALL OBLIGATIONS OF BORROWER AND GRANTOR UNDER THE NOTE, THIS ASSIGNMENT, AND THE RELATED DOCUMENTS. THIS ASSIGNMENT IS GIVEN AND ACCEPTED ON THE FOLLOWING TERMS:

GRANTOR'S WAIVERS. Grantor waives all rights or defenses arising by reason of any "one action" or "anti-deficiency" law, or any other law which may prevent Lender from bringing any action against Grantor, including a claim for deficiency to the extent Lender is otherwise entitled to a claim for deficiency, before or after Lender's commencement or completion of any foreclosure action, either judicially or by exercise of a power of sale. In addition to the waivers set forth above, Grantor expressly waives, to the extent permitted by Arizona law, all of Grantor's rights under sections 12-1641 through 12-1646 inclusive, 44-142 of the Arizona Revised Statutes, and Rule 17(f) of the Arizona Rules of Civil Procedure, as now enacted or hereafter modified, amended or replaced.

BORROWER'S WAIVERS AND RESPONSIBILITIES. Lender need not tell Borrower about any action or inaction Lender takes in connection with this Assignment. Borrower assumes the responsibility for being and keeping informed about the Property. Borrower waives any defenses that may arise because of any action or inaction of Lender, including without limitation any failure of Lender to realize upon the Property, or any delay by Lender in realizing upon the Property. Borrower agrees to remain liable under the Note with Lender no matter what action Lender takes or fails to take under this Assignment.

PAYMENT AND PERFORMANCE. Except as otherwise provided in this Assignment or any Related Documents, Grantor shall pay to Lender all amounts secured by this Assignment as they become due, and shall strictly perform all of Grantor's obligations under this Assignment. Unless and until Lender exercises its right to collect the Rents as provided below and so long as there is no default under this Assignment, Grantor may remain in possession and control of and operate and manage the Property and collect the Rents, provided that the

granting of the right to collect the Rents shall not constitute Lender's consent to the use of cash collateral in a bankruptcy proceeding.

GRANTOR'S REPRESENTATIONS AND WARRANTIES. Grantor warrants that:

Ownership. Grantor is entitled to receive the Rents free and clear of all rights, loans, liens, encumbrances, and claims except as disclosed to and accepted by Lender in writing.

Right to Assign. Grantor has the full right, power and authority to enter into this Assignment and to assign and convey the Rents to Lender.

No Prior Assignment. Grantor has not previously assigned or conveyed the Rents to any other person by any instrument now in force.

No Further Transfer. Grantor will not sell, assign, encumber, or otherwise dispose of any of Grantor's rights in the Rents except as provided in this Assignment.

LENDER'S RIGHT TO RECEIVE AND COLLECT RENTS. Lender shall have the right at any time, and even though no default shall have occurred under this Assignment, to collect and receive the Rents. For this purpose, Lender is hereby given and granted the following rights, powers and authority:

Notice to Tenants. Lender may send notices to any and all tenants of the Property advising them of this Assignment and directing all Rents to be paid directly to Lender or Lender's agent.

Enter the Property. Lender may enter upon and take possession of the Property; demand, collect and receive from the tenants or from any other persons liable therefor, all of the Rents; institute and carry on all legal proceedings necessary for the protection of the Property, including such proceedings as may be necessary to recover possession of the Property; collect the Rents and remove any tenant or tenants or other persons from the Property.

Maintain the Property. Lender may enter upon the Property to maintain the Property and keep the same in repair; to pay the costs thereof and of all services of all employees, including their equipment, and of all continuing costs and expenses of maintaining the Property in proper repair and condition, and also to pay all taxes, assessments and water utilities, and the premiums on fire and other insurance effected by Lender on the Property.

Compliance with Laws. Lender may do any and all things to execute and comply with the laws of the State of Arizona and also all other laws, rules, orders, ordinances and requirements of all other governmental agencies affecting the Property.

Lease the Property. Lender may rent or lease the whole or any part of the Property for such term or terms and on such conditions as Lender may deem appropriate.

Employ Agents. Lender may engage such agent or agents as Lender may deem appropriate, either in Lender's name or in Grantor's name, to rent and manage the Property, including the collection and application of Rents.

Other Acts. Lender may do all such other things and acts with respect to the Property as Lender may deem appropriate and may act exclusively and solely in the place and stead of Grantor and to have all of the powers of Grantor for the purposes stated above.

No Requirement to Act. Lender shall not be required to do any of the foregoing acts or things, and the fact that Lender shall have performed one or more of the foregoing acts or things shall not require Lender to do any other specific act or thing.

APPLICATION OF RENTS. All costs and expenses incurred by Lender in connection with the Property shall be for Grantor's account and Lender may pay such costs and expenses from the Rents. Lender, in its sole discretion, shall determine the application of any and all Rents received by it; however, any such Rents received by Lender which are not applied to such costs and expenses shall be applied to the Indebtedness. All expenditures made by Lender under this Assignment and not reimbursed from the Rents shall become a part of the Indebtedness secured by this Assignment, and shall be payable on demand, with interest at the Note rate from date of expenditure until paid.

FULL PERFORMANCE. If Grantor pays all of the Indebtedness when due and otherwise performs all the obligations imposed upon Grantor under this Assignment, the Note, and the Related Documents, Lender shall execute and deliver to Grantor a suitable satisfaction of this Assignment and suitable statements of termination of any financing statement on file evidencing Lender's security interest in the Rents and the Property. Any termination fee required by law shall be paid by Grantor, if permitted by applicable law.

LENDER'S EXPENDITURES. If any action or proceeding is commenced that would materially affect Lender's interest in the Property or if Grantor fails to comply with any provision of this Assignment or any Related Documents, including but not limited to Grantor's failure to discharge or pay when due any amounts Grantor is required to discharge or pay under this Assignment or any Related Documents, Lender on Grantor's behalf may (but shall not be obligated to) take any action that Lender deems appropriate, to the extent permitted by applicable law, including but not limited to discharging or paying all taxes, liens, security interests, encumbrances and other claims, at any time levied or placed on the Rents or the Property and paying all costs for insuring, maintaining and preserving the Property. All such expenditures incurred or paid by Lender for such purposes will then bear interest at the rate charged under the Note from the date incurred or paid by Lender to the date of repayment by Grantor. All such expenses will become a part of the Indebtedness and, at

MPB_0132

Lender's option, will (A) be payable on demand; (B) be added to the balance of the Note and be apportioned among and be payable with any installment payments to become due during either (1) the term of any applicable insurance policy; or (2) the remaining term of the Note; or (C) be treated as a balloon payment which will be due and payable at the Note's maturity. The Assignment also will secure payment of these amounts. Such right shall be in addition to all other rights and remedies to which Lender may be entitled upon Default and shall be exercisable by Lender to the extent permitted by applicable law.

DEFAULT. Each of the following, at Lender's option, shall constitute an Event of Default under this Assignment:

Payment Default. Borrower fails to make any payment when due under the Indebtedness.

Other Defaults. Borrower or Grantor fails to comply with or to perform any other term, obligation, covenant or condition contained in this Assignment or in any of the Related Documents or to comply with or to perform any term, obligation, covenant or condition contained in any other agreement between Lender and Borrower or Grantor.

Default on Other Payments. Failure of Grantor within the time required by this Assignment to make any payment for taxes or insurance, or any other payment necessary to prevent filing of or to effect discharge of any lien.

Default in Favor of Third Parties. Borrower or Grantor defaults under any loan, extension of credit, security agreement, purchase or sales agreement, or any other agreement, in favor of any other creditor or person that may materially affect any of Borrower's or Grantor's property or ability to perform their respective obligations under this Assignment or any of the Related Documents.

False Statements. Any warranty, representation or statement made or furnished to Lender by Borrower or Grantor or on Borrower's or Grantor's behalf under this Assignment or the Related Documents is false or misleading in any material respect, either now or at the time made or furnished or becomes false or misleading at any time thereafter.

Defective Collateralization. This Assignment or any of the Related Documents ceases to be in full force and effect (including failure of any collateral document to create a valid and perfected security interest or lien) at any time and for any reason.

Death or Insolvency. The dissolution of Grantor's (regardless of whether election to continue is made), any member withdraws from the limited liability company, or any other termination of Borrower's or Grantor's existence as a going business or the death of any member, the insolvency of Borrower or Grantor, the appointment of a receiver for any part of Borrower's or Grantor's property, any assignment for the benefit of creditors, any type of creditor workout, or the commencement of any proceeding under any bankruptcy or insolvency laws by or against Borrower or Grantor.

Creditor or Forfeiture Proceedings. Commencement of foreclosure or forfeiture proceedings, whether by judicial proceeding, self-help, repossession or any other method, by any creditor of Borrower or Grantor or by any governmental agency against the Rents or any property securing the Indebtedness. This includes a garnishment of any of Borrower's or Grantor's accounts, including deposit accounts, with Lender. However, this Event of Default shall not apply if there is a good faith dispute by Borrower or Grantor as to the validity or reasonableness of the claim which is the basis of the creditor or forfeiture proceeding and if Borrower or Grantor gives Lender written notice of the creditor or forfeiture proceeding and deposits with Lender monies or a surety bond for the creditor or forfeiture proceeding, in an amount determined by Lender, in its sole discretion, as being an adequate reserve or bond for the dispute.

Property Damage or Loss. The Property is lost, stolen, substantially damaged, sold, or borrowed against.

Events Affecting Guarantor. Any of the preceding events occurs with respect to any guarantor, endorser, surety, or accommodation party of any of the Indebtedness or any guarantor, endorser, surety, or accommodation party dies or becomes incompetent, or revokes or disputes the validity of, or liability under, any Guaranty of the Indebtedness.

Adverse Change. A material adverse change occurs in Grantor's financial condition, or Lender believes the prospect of payment or performance of the Indebtedness is impaired.

Insecurity. Lender in good faith believes itself insecure.

Cure Provisions. If any default, other than a default in payment is curable and if Grantor has not been given a notice of a breach of the same provision of this Assignment within the preceding twelve (12) months, it may be cured if Grantor, after Lender sends written notice to Borrower demanding cure of such default: (1) cures the default within ten (10) days; or (2) if the cure requires more than ten (10) days, immediately initiates steps which Lender deems in Lender's sole discretion to be sufficient to cure the default and thereafter continues and completes all reasonable and necessary steps sufficient to produce compliance as soon as reasonably practical.

RIGHTS AND REMEDIES ON DEFAULT. Upon the occurrence of any Event of Default and at any time thereafter, Lender may exercise any one or more of the following rights and remedies, in addition to any other rights or remedies provided by law:

Accelerate Indebtedness. Lender shall have the right at its option without notice to Borrower or Grantor to declare the entire Indebtedness immediately due and payable, including any prepayment penalty that Borrower would be required to pay.

MPB_0133

Collect Rents. Lender shall have the right, without notice to Borrower or Grantor, to take possession of the Property and collect the Rents, including amounts past due and unpaid, and apply the net proceeds, over and above Lender's costs, against the Indebtedness. In furtherance of this right, Lender shall have all the rights provided for in the Lender's Right to Receive and Collect Rents Section, above. If the Rents are collected by Lender, then Grantor irrevocably designates Lender as Grantor's attorney-in-fact to endorse instruments received in payment thereof in the name of Grantor and to negotiate the same and collect the proceeds. Payments by tenants or other users to Lender in response to Lender's demand shall satisfy the obligations for which the payments are made, whether or not any proper grounds for the demand existed. Lender may exercise its rights under this subparagraph either in person, by agent, or through a receiver.

Appoint Receiver. Lender shall have the right to have a receiver appointed to take possession of all or any part of the Property, with the power to protect and preserve the Property, to operate the Property preceding foreclosure or sale, and to collect the Rents from the Property and apply the proceeds, over and above the cost of the receivership, against the Indebtedness. The receiver may serve without bond if permitted by law. Lender's right to the appointment of a receiver shall exist whether or not the apparent value of the Property exceeds the Indebtedness by a substantial amount. Employment by Lender shall not disqualify a person from serving as a receiver.

Other Remedies. Lender shall have all other rights and remedies provided in this Assignment or the Note or by law.

Election of Remedies. Election by Lender to pursue any remedy shall not exclude pursuit of any other remedy, and an election to make expenditures or to take action to perform an obligation of Grantor under this Assignment, after Grantor's failure to perform, shall not affect Lender's right to declare a default and exercise its remedies.

Attorneys' Fees; Expenses. If Lender institutes any suit or action to enforce any of the terms of this Assignment, Lender shall be entitled to recover such sum as the court may adjudge reasonable as attorneys' fees at trial and upon any appeal. Whether or not any court action is involved, and to the extent not prohibited by law, all reasonable expenses Lender incurs that in Lender's opinion are necessary at any time for the protection of its interest or the enforcement of its rights shall become a part of the Indebtedness payable on demand and shall bear interest at the Note rate from the date of the expenditure until repaid. Expenses covered by this paragraph include, without limitation, however subject to any limits under applicable law, Lender's attorneys' fees and Lender's legal expenses, whether or not there is a lawsuit, including attorneys' fees and expenses for bankruptcy proceedings (including efforts to modify or vacate any automatic stay or injunction), appeals, and any anticipated post-judgment collection services, the cost of searching records, obtaining title reports (including foreclosure reports), surveyors' reports, and appraisal fees, title insurance, and fees for the Trustee, to the extent permitted by applicable law. However, Grantor will only pay attorneys' fees of an attorney not Lender's salaried employee, to whom the matter is referred after Grantor's default. Grantor also will pay any court costs, in addition to all other sums provided by law.

MISCELLANEOUS PROVISIONS. The following miscellaneous provisions are a part of this Assignment:

Amendments. This Assignment, together with any Related Documents, constitutes the entire understanding and agreement of the parties as to the matters set forth in this Assignment. No alteration of or amendment to this Assignment shall be effective unless given in writing and signed by the party or parties sought to be charged or bound by the alteration or amendment.

Caption Headings. Caption headings in this Assignment are for convenience purposes only and are not to be used to interpret or define the provisions of this Assignment.

Governing Law. This Assignment will be governed by federal law applicable to Lender and, to the extent not preempted by federal law, the laws of the State of Arizona without regard to its conflicts of law provisions. This Assignment has been accepted by Lender in the State of Arizona.

Joint and Several Liability. All obligations of Borrower and Grantor under this Assignment shall be joint and several, and all references to Grantor shall mean each and every Grantor, and all references to Borrower shall mean each and every Borrower. This means that each Grantor signing below is responsible for all obligations in this Assignment. Where any one or more of the parties is a corporation, partnership, limited liability company or similar entity, it is not necessary for Lender to inquire into the powers of any of the officers, directors, partners, members, or other agents acting or purporting to act on the entity's behalf, and any obligations made or created in reliance upon the professed exercise of such powers shall be guaranteed under this Assignment.

Merger. There shall be no merger of the interest or estate created by this assignment with any other interest or estate in the Property at any time held by or for the benefit of Lender in any capacity, without the written consent of Lender.

Interpretation. (1) In all cases where there is more than one Borrower or Grantor, then all words used in this Assignment in the singular shall be deemed to have been used in the plural where the context and construction so require. (2) If more than one person signs this Assignment as "Grantor," the obligations of each Grantor are joint and several. This means that if Lender brings a lawsuit, Lender may sue any one or more of the Grantors. If Borrower and Grantor are not the same person, Lender need not sue Borrower first, and that Borrower need not be joined in any lawsuit. (3) The names given to paragraphs or sections in this Assignment are for convenience purposes only. They are not to be used to interpret or define the

provisions of this Assignment.

No Waiver by Lender. Lender shall not be deemed to have waived any rights under this Assignment unless such waiver is given in writing and signed by Lender. No delay or omission on the part of Lender in exercising any right shall operate as a waiver of such right or any other right. A waiver by Lender of a provision of this Assignment shall not prejudice or constitute a waiver of Lender's right otherwise to demand strict compliance with that provision or any other provision of this Assignment. No prior waiver by Lender, nor any course of dealing between Lender and Grantor, shall constitute a waiver of any of Lender's rights or of any of Grantor's obligations as to any future transactions. Whenever the consent of Lender is required under this Assignment, the granting of such consent by Lender in any instance shall not constitute continuing consent to subsequent instances where such consent is required and in all cases such consent may be granted or withheld in the sole discretion of Lender.

Notices. Any notice required to be given under this Assignment shall be given in writing, and shall be effective when actually delivered, when actually received by telefacsimile (unless otherwise required by law), when deposited with a nationally recognized overnight courier, or, if mailed, when deposited in the United States mail, as first class, certified or registered mail postage prepaid, directed to the addresses shown near the beginning of this Assignment. Any party may change its address for notices under this Assignment by giving formal written notice to the other parties, specifying that the purpose of the notice is to change the party's address. For notice purposes, Grantor agrees to keep Lender informed at all times of Grantor's current address. Unless otherwise provided or required by law, if there is more than one Grantor, any notice given by Lender to any Grantor is deemed to be notice given to all Grantors.

Powers of Attorney. The various agencies and powers of attorney conveyed on Lender under this Assignment are granted for purposes of security and may not be revoked by Grantor until such time as the same are renounced by Lender.

Severability. If a court of competent jurisdiction finds any provision of this Assignment to be illegal, invalid, or unenforceable as to any circumstance, that finding shall not make the offending provision illegal, invalid, or unenforceable as to any other circumstance. If feasible, the offending provision shall be considered modified so that it becomes legal, valid and enforceable. If the offending provision cannot be so modified, it shall be considered deleted from this Assignment. Unless otherwise required by law, the illegality, invalidity, or unenforceability of any provision of this Assignment shall not affect the legality, validity or enforceability of any other provision of this Assignment.

Successors and Assigns. Subject to any limitations stated in this Assignment on transfer of Grantor's interest, this Assignment shall be binding upon and inure to the benefit of the parties, their successors and assigns. If ownership of the Property becomes vested in a person other than Grantor, Lender, without notice to Grantor, may deal with Grantor's successors with reference to this Assignment and the Indebtedness by way of forbearance or extension without releasing Grantor from the obligations of this Assignment or liability under the Indebtedness.

Time is of the Essence. Time is of the essence in the performance of this Assignment.

Waive Jury. All parties to this Assignment hereby waive the right to any jury trial in any action, proceeding, or counterclaim brought by any party against any other party.

Waiver of Homestead Exemption. Grantor hereby releases and waives all rights and benefits of the homestead exemption laws of the State of Arizona as to all Indebtedness secured by this Assignment.

DEFINITIONS. The following capitalized words and terms shall have the following meanings when used in this Assignment. Unless specifically stated to the contrary, all references to dollar amounts shall mean amounts in lawful money of the United States of America. Words and terms used in the singular shall include the plural, and the plural shall include the singular, as the context may require. Words and terms not otherwise defined in this Assignment shall have the meanings attributed to such terms in the Uniform Commercial Code:

Assignment. The word "Assignment" means this ASSIGNMENT OF RENTS, as this ASSIGNMENT OF RENTS may be amended or modified from time to time, together with all exhibits and schedules attached to this ASSIGNMENT OF RENTS from time to time.

Borrower. The word "Borrower" means Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert.

Default. The word "Default" means the Default set forth in this Assignment in the section titled "Default".

Event of Default. The words "Event of Default" mean any of the events of default set forth in this Assignment in the default section of this Assignment.

Grantor. The word "Grantor" means Sheridan Equities, LLC.

Guaranty. The word "Guaranty" means the guaranty from guarantor, endorser, surety, or accommodation party to Lender, including without limitation a guaranty of all or part of the Note.

Indebtedness. The word "Indebtedness" means all principal, interest, and other amounts, costs and expenses payable under the Note or Related Documents, together with all renewals of, extensions of, modifications of, consolidations of and substitutions for the Note or Related Documents and any amounts expended or advanced by Lender to discharge Grantor's obligations or expenses incurred by Lender to enforce Grantor's obligations under this Assignment, together with interest on such amounts as provided in

MPB_0135

ASSIGNMENT OF RENTS
(Continued)

Loan No: [redacted] Page 6

this Assignment.

Lender. The word "Lender" means Metro Phoenix Bank, its successors and assigns.

Note. The word "Note" means the promissory note dated July 30, 2010, in the original principal amount of $75,000.00 from Borrower to Lender, together with all renewals of, extensions of, modifications of, refinancings of, consolidations of, and substitutions for the promissory note or agreement.

Property. The word "Property" means all of Grantor's right, title and interest in and to all the Property as described in the "Assignment" section of this Assignment.

Related Documents. The words "Related Documents" mean all promissory notes, credit agreements, loan agreements, environmental agreements, guaranties, security agreements, mortgages, deeds of trust, security deeds, collateral mortgages, and all other instruments, agreements and documents, whether now or hereafter existing, executed in connection with the Indebtedness.

Rents. The word "Rents" means all of Grantor's present and future rights, title and interest in, to and under any and all present and future leases, including, without limitation, all rents, revenue, income, issues, royalties, bonuses, accounts receivable, cash or security deposits, advance rentals, profits and proceeds from the Property, and other payments and benefits derived or to be derived from such leases of every kind and nature, whether due now or later, including without limitation Grantor's right to enforce such leases and to receive and collect payment and proceeds thereunder.

THE UNDERSIGNED ACKNOWLEDGES HAVING READ ALL THE PROVISIONS OF THIS ASSIGNMENT, AND NOT PERSONALLY BUT AS AN AUTHORIZED SIGNER, HAS CAUSED THIS ASSIGNMENT TO BE SIGNED AND EXECUTED ON BEHALF OF GRANTOR ON JULY 30, 2010.

GRANTOR:

SHERIDAN EQUITIES, LLC

By [redacted]
David S. Schweikert, Manager of Sheridan Equities, LLC

LIMITED LIABILITY COMPANY ACKNOWLEDGMENT

STATE OF Arizona)
) SS
COUNTY OF Maricopa County)

On this 30 day of July, 20 10, before me, the undersigned Notary Public, personally appeared **David S. Schweikert, Manager of Sheridan Equities, LLC**, and known to me to be member or designated agent of the limited liability company that executed the ASSIGNMENT OF RENTS and acknowledged the Assignment to be the free and voluntary act and deed of the limited liability company, by authority of statute, its articles of organization or its operating agreement, for the uses and purposes therein mentioned, and on oath stated that he or she is authorized to execute this Assignment and in fact executed the Assignment on behalf of the limited liability company.

By [redacted] Residing at Maricopa County

Notary Public in and for the State of Arizona

My commission expires 10-4-2011

KELLI A. BADER
Notary Public - Arizona
Maricopa County
My Comm. Expires Oct 4, 2011

LASER PRO Lending, Ver. 5.51.00.002 Copr. Harland Financial Solutions, Inc. 1997, 2010. All Rights Reserved. - AZ H:\CFI\LPL\G14.FC TR-352 PR-3

MPB_0136

When recorded return to:
METRO PHOENIX BANK
4686 E. Van Buren St. # 150
Phoenix, AZ 85008

DEED OF RELEASE AND RECONVEYANCE

WHEREAS, the indebtedness secured by the Deed of Trust executed by Sheridan Equities, LLC, whose address is 15749 E. El Lago Blvd, Fountain Hills, AZ 85268; and Metro Phoenix Bank (Beneficiary), whose address is 4686 E. Van Buren, Ste # 150, Phoenix, AZ 85008, dated July 30, 2010 and recorded August 2, 2010 in Document No. 20100657356, of Official Records in the Maricopa County Recorder's office, Maricopa County, Arizona. The Real Property or its address is commonly known as 3338 E. Willetta Street, Phoenix, AZ 85008.

BENEFICIARY

NOW, THEREFORE, the present Beneficiary under said Deed of Trust does hereby release and reconvey, without covenant or warranty, express or implied, unto the parties legally entitled all right, title and interest which was heretofore acquired by said Beneficiary under said Deed of Trust.

DATED this 5th day of September 2014

BENEFICIARY:
METRO PHOENIX BANK:

[redacted]
Michael Morano, EVP & CCO

STATE OF ARIZONA)
County of Maricopa)

This instrument was acknowledged before me this day 5th of September 2014 by Michael Morano of Metro Phoenix Bank.

My Commission Expires: 3/26/14

[redacted]
Notary Public

MICHELE LEE GLASSCOCK
Notary Public, State of Arizona
Maricopa County
My Commission Expires
March 26, 2015

MPB_0137

When recorded return to:
METRO PHOENIX BANK
4686 E. Van Buren St. # 150
Phoenix, AZ 85008

RELEASE OF ASSIGNMENTS OF RENTS

WHEREAS, the indebtedness secured by the Assignment of Rents executed by Sheridan Equities, LLC, whose address is 15749 E. El Lago Blvd, Fountain Hills, AZ 85268; and Metro Phoenix Bank (Beneficiary), whose address is 4686 E. Van Buren, Ste # 150, Phoenix, AZ 85008, July 30, 2010 and recorded August 2, 2010 in Document No. 20100657357, of Official Records in the Maricopa County Recorder's office, Maricopa County, Arizona. The Real Property or its address is commonly known as 3338 E. Willetta Street, Phoenix, AZ 85008.

BENEFICIARY

NOW, THEREFORE, the present Beneficiary under said Assignment of Rents does hereby release and reconvey, without covenant or warranty, express or implied, unto the parties legally entitled all right, title and interest which was heretofore acquired by said Beneficiary under said Assignment of Rents.

DATED this 5th day of September 2014

BENEFICIARY:
METRO PHOENIX BANK:

[redacted]
Michael Morano, EVP & CCO

STATE OF ARIZONA)
County of Maricopa)

This instrument was acknowledged before me this day 5th of September 2014 by Michael Morano of Metro Phoenix Bank.

My Commission Expires: 3/26/18

[redacted]
Notary Public

MICHELE LEE GLASSCOCK
Notary Public, State of Arizona
Maricopa County
My Commission Expires
March 26, 2018

MPB_0264

OFFICIAL RECORDS OF
MARICOPA COUNTY RECORDER
HELEN PURCELL
20100657360,08/02/2010 02:05
ELECTRONIC RECORDING
104265-11-2-1--,N

THOMAS TITLE & ESCROW

RECORDATION REQUESTED BY:
Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

WHEN RECORDED MAIL TO:
Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

SEND TAX NOTICES TO:
Sheridan Equities, LLC
15749 E. El Lago Blvd
Fountain Hills, AZ 85268

FOR RECORDER'S USE ONLY

104265
1 of 2

DEED OF TRUST

THIS DEED OF TRUST is dated July 30, 2010, among Sheridan Equities, LLC, whose address is 15749 E. El Lago Blvd, Fountain Hills, AZ 85268 ("Trustor"); Metro Phoenix Bank, whose address is Main Office, 4686 E. Van Buren Street, Ste #150, Phoenix, AZ 85008 (referred to below sometimes as "Lender" and sometimes as "Beneficiary"); and Metro Phoenix Bank, whose address is 4686 E. Van Buren Rd., Ste #150 & #190, Phoenix, AZ 85008 (referred to below as "Trustee").

CONVEYANCE AND GRANT. For valuable consideration, Trustor conveys to Trustee in trust, with power of sale, for the benefit of Lender as Beneficiary, all of Trustor's right, title, and interest in and to the following described real property, together with all existing or subsequently erected or affixed buildings, improvements and fixtures; all easements, rights of way, and appurtenances; all water and water rights flowing through, belonging or in anyway appertaining to the Real Property, and all of Trustor's water rights that are personal property under Arizona law, including without limitation all type 2 nonirrigation grandfathered rights (if applicable), all irrigation rights, all ditch rights, rights to irrigation district stock, all contracts for effluent, all contracts for Central Arizona Project water, and all other contractual rights to water, and together with all rights (but none of the duties) of Trustor as declarant under any presently recorded declaration of covenants, conditions and restrictions affecting real property; and all other rights, royalties, and profits relating to the real property, including without limitation all minerals, oil, gas, geothermal and similar matters, (the "Real Property") located in Maricopa County, State of Arizona:

Lot 42, of Westdale Estates Unit One, according to the plat of record in the Office of the County Recorder of Maricopa County, Arizona, recorded in Book 123 of Maps, Page 32.

The Real Property or its address is commonly known as 6413 W. Lamar Road, Glendale, AZ 85301. The Real Property tax identification number is 144-08-096.

REVOLVING LINE OF CREDIT. This Deed of Trust secures the indebtedness including, without limitation, a revolving line of credit, which obligates Lender to make advances to Borrower so long as Borrower complies with all the terms of the Note.

Trustor presently assigns to Lender (also known as Beneficiary in this Deed of Trust) all of Trustor's right, title, and interest in and to all present and future leases of the Property and all Rents from the Property. In addition, Trustor grants to Lender a Uniform Commercial Code security interest in the Personal Property and Rents.

THIS DEED OF TRUST, INCLUDING THE ASSIGNMENT OF RENTS AND THE SECURITY INTEREST IN THE RENTS AND PERSONAL PROPERTY, IS GIVEN TO SECURE (A) PAYMENT OF THE INDEBTEDNESS AND (B) PERFORMANCE OF ANY AND ALL OBLIGATIONS UNDER THE NOTE, THE RELATED DOCUMENTS, AND THIS DEED OF TRUST. THIS DEED OF TRUST IS GIVEN AND ACCEPTED ON THE FOLLOWING TERMS:

TRUSTOR'S REPRESENTATIONS AND WARRANTIES. Trustor warrants that: (a) this Deed of Trust is executed at Borrower's request and not at the request of Lender; (b) Trustor has the full power, right, and authority to enter into this Deed of Trust and to hypothecate the Property; (c) the provisions of this Deed of Trust do not conflict with, or result in a default under any agreement or other instrument binding upon Trustor and do not result in a violation of any law, regulation, court decree or order applicable to Trustor; (d) Trustor has established adequate means of obtaining from Borrower on a continuing basis information about Borrower's financial condition; and (e) Lender has made no representation to Trustor about Borrower (including without limitation the creditworthiness of Borrower).

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TRUSTOR'S WAIVERS. Trustor waives all rights or defenses arising by reason of any "one action" or "anti-deficiency" law, or any other law which may prevent Lender from bringing any action against Trustor, including a claim for deficiency to the extent Lender is otherwise entitled to a claim for deficiency, before or after Lender's commencement or completion of any foreclosure action, either judicially or by exercise of a power of sale. In addition to the waivers set forth above, Trustor expressly waives, to the extent permitted by Arizona law, all of Trustor's rights under sections 12-1641 through 12-1648 inclusive, 44-142 of the Arizona Revised Statutes, and Rule 17(f) of the Arizona Rules of Civil Procedure, as now enacted or hereafter modified, amended or replaced.

PAYMENT AND PERFORMANCE. Except as otherwise provided in this Deed of Trust, Borrower shall pay to Lender all indebtedness secured by this Deed of Trust as it becomes due, and Borrower and Trustor shall perform all their respective obligations under the Note, this Deed of Trust, and the Related Documents.

POSSESSION AND MAINTENANCE OF THE PROPERTY. Borrower and Trustor agree that Borrower's and Trustor's possession and use of the Property shall be governed by the following provisions:

Possession and Use. Until the occurrence of an Event of Default, Trustor may (1) remain in possession and control of the Property; (2) use, operate or manage the Property; and (3) collect the Rents from the Property.

Duty to Maintain. Trustor shall maintain the Property in tenantable condition and promptly perform all repairs, replacements, and maintenance necessary to preserve its value.

Compliance With Environmental Laws. Trustor represents and warrants to Lender that: (1) During the period of Trustor's ownership of the Property, there has been no use, generation, manufacture, storage, treatment, disposal, release or threatened release of any Hazardous Substance by any person on, under, about or from the Property; (2) Trustor has no knowledge of, or reason to believe that there has been, except as previously disclosed to and acknowledged by Lender in writing, (a) any breach or violation of any Environmental Laws, (b) any use, generation, manufacture, storage, treatment, disposal, release or threatened release of any Hazardous Substance on, under, about or from the Property by any prior owners or occupants of the Property, or (c) any actual or threatened litigation or claims of any kind by any person relating to such matters; and (3) Except as previously disclosed to and acknowledged by Lender in writing, (a) neither Trustor nor any tenant, contractor, agent or other authorized user of the Property shall use, generate, manufacture, store, treat, dispose of or release any Hazardous Substance on, under, about or from the Property; and (b) any such activity shall be conducted in compliance with all applicable federal, state, and local laws, regulations and ordinances, including without limitation all Environmental Laws. Trustor authorizes Lender and its agents to enter upon the Property to make such inspections and tests, at Trustor's expense, as Lender may deem appropriate to determine compliance of the Property with this section of the Deed of Trust. Beneficiary, at its option, but without obligation to do so, may correct any condition violating any applicable Environmental Law affecting the Property, and in doing so shall conclusively be deemed to be acting reasonably and for the purpose of protecting the value of its collateral, and all costs of correcting a condition or violation shall be payable to Beneficiary by Trustor as provided in the Expenditures by Lender section of this Deed of Trust. Any inspections or tests made by Lender shall be for Lender's purposes only and shall not be construed to create any responsibility or liability on the part of Lender to Trustor or to any other person. The representations and warranties contained herein are based on Trustor's due diligence in investigating the Property for Hazardous Substances. Trustor hereby (1) releases and waives any future claims against Lender for indemnity or contribution in the event Trustor becomes liable for cleanup or other costs under any such laws; and (2) agrees to indemnify, defend, and hold harmless Lender against any and all claims, losses, liabilities, damages, penalties, and expenses which Lender may directly or indirectly sustain or suffer resulting from a breach of this section of the Deed of Trust or as a consequence of any use, generation, manufacture, storage, disposal, release or threatened release occurring prior to Trustor's ownership or interest in the Property, whether or not the same was or should have been known to Trustor. The provisions of this section of the Deed of Trust, including the obligation to indemnify and defend, shall survive the payment of the indebtedness and the satisfaction and reconveyance of the lien of this Deed of Trust and shall not be affected by Lender's acquisition of any interest in the Property, whether by foreclosure or otherwise.

Nuisance, Waste. Trustor shall not cause, conduct or permit any nuisance nor commit, permit, or suffer any stripping of or waste on or to the Property or any portion of the Property. Without limiting the generality of the foregoing, Trustor will not remove, or grant to any other party the right to remove, any timber, minerals (including oil and gas), coal, clay, scoria, soil, gravel or rock products without Lender's prior written consent.

Removal of Improvements. Trustor shall not demolish or remove any Improvements from the Real Property without Lender's prior written consent. As a condition to the removal of any Improvements, Lender may require Trustor to make arrangements satisfactory to Lender to replace such Improvements with Improvements of at least equal value.

Lender's Right to Enter. Lender and Lender's agents and representatives may enter upon the Real Property at all reasonable times to attend to Lender's interests and to inspect the Real Property for purposes of Trustor's compliance with the terms and conditions of this Deed of Trust.

Compliance with Governmental Requirements. Trustor shall promptly comply with all laws, ordinances, and regulations, now or hereafter in effect, of all governmental authorities applicable to the use or occupancy of the Property, including without limitation, the Americans With Disabilities Act. Trustor may contest in good

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faith any such law, ordinance, or regulation and withhold compliance during any proceeding, including appropriate appeals, so long as Trustor has notified Lender in writing prior to doing so and so long as, in Lender's sole opinion, Lender's interests in the Property are not jeopardized. Lender may require Trustor to post adequate security or a surety bond, reasonably satisfactory to Lender, to protect Lender's interest.

Duty to Protect. Trustor agrees neither to abandon or leave unattended the Property. Trustor shall do all other acts, in addition to those acts set forth above in this section, which from the character and use of the Property are reasonably necessary to protect and preserve the Property.

DUE ON SALE - CONSENT BY LENDER. Lender may, at Lender's option, declare immediately due and payable all sums secured by this Deed of Trust upon the sale or transfer, without Lender's prior written consent, of all or any part of the Real Property, or any interest in the Real Property. A "sale or transfer" means the conveyance of Real Property or any right, title or interest in the Real Property; whether legal, beneficial or equitable; whether voluntary or involuntary; whether by outright sale, deed, installment sale contract, land contract, contract for deed, leasehold interest with a term greater than three (3) years, lease-option contract, or by sale, assignment, or transfer of any beneficial interest in or to any land trust holding title to the Real Property, or by any other method of conveyance of an interest in the Real Property. If any Trustor is a corporation, partnership or limited liability company, transfer also includes any change in ownership of more than twenty-five percent (25%) of the voting stock, partnership interests or limited liability company interests, as the case may be, of such Trustor. However, this option shall not be exercised by Lender if such exercise is prohibited by federal law or by Arizona law.

TAXES AND LIENS. The following provisions relating to the taxes and liens on the Property are part of this Deed of Trust:

Payment. Trustor shall pay when due (and in all events prior to delinquency) all taxes and assessments, including without limitation sales or use taxes in any state, local privilege or excise taxes based on gross revenues, special taxes, charges (including water and sewer), fines and impositions levied against Trustor or on account of the Property, and shall pay when due all claims for work done on or for services rendered or material furnished to the Property. Trustor shall maintain the Property free of all liens having priority over or equal to the interest of Lender under this Deed of Trust, except for the lien of taxes and assessments not due and except as otherwise provided in this Deed of Trust. Beneficiary shall have the right, but not the duty or obligation, to charge Trustor for any such taxes or assessments in advance of payment. In no event does exercise or non-exercise by Beneficiary of this right relieve Trustor from Trustor's obligation under this Deed of Trust or impose any liability whatsoever on Beneficiary.

Right to Contest. Trustor may withhold payment of any tax, assessment, or claim in connection with a good faith dispute over the obligation to pay, so long as Lender's interest in the Property is not jeopardized. If a lien arises or is filed as a result of nonpayment, Trustor shall within fifteen (15) days after the lien arises or, if a lien is filed, within fifteen (15) days after Trustor has notice of the filing, secure the discharge of the lien, or if requested by Lender, deposit with Lender cash or a sufficient corporate surety bond or other security satisfactory to Lender in an amount sufficient to discharge the lien plus any costs and attorneys' fees, or other charges that could accrue as a result of a foreclosure or sale under the lien. In any contest, Trustor shall defend itself and Lender and shall satisfy any adverse judgment before enforcement against the Property. Trustor shall name Lender as an additional obligee under any surety bond furnished in the contest proceedings.

Evidence of Payment. Trustor shall upon demand furnish to Lender satisfactory evidence of payment of the taxes or assessments and shall authorize the appropriate governmental official to deliver to Lender at any time a written statement of the taxes and assessments against the Property.

Notice of Construction. Trustor shall notify Lender at least fifteen (15) days before any work is commenced, any services are furnished, or any materials are supplied to the Property, if any mechanic's lien, materialmen's lien, or other lien could be asserted on account of the work, services, or materials. Trustor will upon request of Lender furnish to Lender advance assurances satisfactory to Lender that Trustor can and will pay the cost of such improvements.

PROPERTY DAMAGE INSURANCE. The following provisions relating to insuring the Property are a part of this Deed of Trust.

Maintenance of Insurance. Trustor shall procure and maintain policies of fire insurance with standard extended coverage endorsements on a replacement basis for the full insurable value covering all improvements on the Real Property in an amount sufficient to avoid application of any coinsurance clause, and with a standard mortgagee clause in favor of Lender. Trustor shall also procure and maintain comprehensive general liability insurance in such coverage amounts as Lender may request with Trustee and Lender being named as additional insureds in such liability insurance policies. Additionally, Trustor shall maintain such other insurance, including but not limited to hazard, business interruption, and boiler insurance, as Lender may reasonably require. Policies shall be written in form, amounts, coverages and basis reasonably acceptable to Lender and issued by a company or companies reasonably acceptable to Lender. Trustor, upon request of Lender, will deliver to Lender from time to time the policies or certificates of insurance in form satisfactory to Lender, including stipulations that coverages will not be cancelled or diminished without at least ten (10) days prior written notice to Lender. Each insurance policy also shall include an endorsement providing that coverage in favor of Lender will not be impaired in any way by any act, omission or default of Trustor or any other person. Should the Real Property be located in an area designated by the Director of the Federal Emergency Management Agency as a special flood hazard area,

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Trustor agrees to obtain and maintain Federal Flood Insurance, if available, within 45 days after notice is given by Lender that the Property is located in a special flood hazard area, for the full unpaid principal balance of the loan and any prior liens on the property securing the loan, up to the maximum policy limits set under the National Flood Insurance Program, or as otherwise required by Lender, and to maintain such insurance for the term of the loan.

Application of Proceeds. Trustor shall promptly notify Lender of any loss or damage to the Property. Lender may make proof of loss if Trustor fails to do so within fifteen (15) days of the casualty. Whether or not Lender's security is impaired, Lender may, at Lender's election, receive and retain the proceeds of any insurance and apply the proceeds to the reduction of the Indebtedness, payment of any lien affecting the Property, or the restoration and repair of the Property. If Lender elects to apply the proceeds to restoration and repair, Trustor shall repair or replace the damaged or destroyed improvements in a manner satisfactory to Lender. Lender shall, upon satisfactory proof of such expenditure, pay or reimburse Trustor from the proceeds for the reasonable cost of repair or restoration if Trustor is not in default under this Deed of Trust. Any proceeds which have not been disbursed within 180 days after their receipt and which Lender has not committed to the repair or restoration of the Property shall be used first to pay any amount owing to Lender under this Deed of Trust, then to pay accrued interest, and the remainder, if any, shall be applied to the principal balance of the Indebtedness. If Lender holds any proceeds after payment in full of the Indebtedness, such proceeds shall be paid to Trustor as Trustor's interests may appear.

Trustor's Report on Insurance. Upon request of Lender, however not more than once a year, Trustor shall furnish to Lender a report on each existing policy of insurance showing: (1) the name of the insurer; (2) the risks insured; (3) the amount of the policy; (4) the property insured, the then current replacement value of such property, and the manner of determining that value; and (5) the expiration date of the policy. Trustor shall, upon request of Lender, have an independent appraiser satisfactory to Lender determine the cash value replacement cost of the Property.

TAX AND INSURANCE RESERVES. Subject to any limitations and consistent with any requirements set by applicable law, Lender may require Trustor to maintain with Lender reserves for payment of annual taxes, assessments, and insurance premiums, which reserves shall be created by an initial deposit and subsequent monthly payments, or payments at such other interval as payments under the Note may be due, of a sum estimated by Lender to be sufficient to pay the total annual taxes, assessments, and insurance premiums Lender reasonably anticipates to be paid from these reserves. The reserve funds shall be held by Lender as a general deposit from Trustor, which Lender may satisfy by payment of the taxes, assessments, and insurance premiums required to be paid by Trustor as they become due. Lender shall have the right to draw upon the reserve funds to pay such items, and Lender shall not be required to determine the validity or accuracy of any item before paying it. Nothing in the Deed of Trust shall be construed as requiring Lender to advance other monies for such purposes, and Lender shall not incur any liability for anything it may do or omit to do with respect to the reserve account. Subject to any limitations set by applicable law, if the reserve funds disclose a shortage or deficiency, Trustor shall pay such shortage or deficiency as required by Lender. All amounts in the reserve account are hereby pledged to further secure the Indebtedness, and Lender is hereby authorized to withdraw and apply such amounts on the Indebtedness upon the occurrence of an Event of Default. Lender shall not be required to pay any interest or earnings on the reserve funds unless required by law or agreed to by Lender in writing. Lender does not hold the reserve funds in trust for Trustor, and Lender is not Trustor's agent for payment of the taxes and assessments required to be paid by Trustor.

LENDER'S EXPENDITURES. If any action or proceeding is commenced that would materially affect Lender's interest in the Property or if Trustor fails to comply with any provision of this Deed of Trust or any Related Documents, including but not limited to Trustor's failure to discharge or pay when due any amounts Trustor is required to discharge or pay under this Deed of Trust or any Related Documents, Lender on Trustor's behalf may (but shall not be obligated to) take any action that Lender deems appropriate, to the extent permitted by applicable law, including but not limited to discharging or paying all taxes, liens, security interests, encumbrances and other claims, at any time levied or placed on the Property and paying all costs for insuring, maintaining and preserving the Property. All such expenditures incurred or paid by Lender for such purposes will then bear interest at the rate charged under the Note from the date incurred or paid by Lender to the date of repayment by Trustor. All such expenses will become a part of the Indebtedness and, at Lender's option, will (A) be payable on demand; (B) be added to the balance of the Note and be apportioned among and be payable with any installment payments to become due during either (1) the term of any applicable insurance policy; or (2) the remaining term of the Note; or (C) be treated as a balloon payment which will be due and payable at the Note's maturity. The Deed of Trust also will secure payment of these amounts. Such right shall be in addition to all other rights and remedies to which Lender may be entitled upon Default and shall be exercisable by Lender to the extent permitted by applicable law.

WARRANTY; DEFENSE OF TITLE. The following provisions relating to ownership of the Property are a part of this Deed of Trust:

Title. Trustor warrants that: (a) Trustor holds good and marketable title of record to the Property in fee simple, free and clear of all liens and encumbrances other than those set forth in the Real Property description or in any title insurance policy, title report, or final title opinion issued in favor of, and accepted by, Lender, or have otherwise been previously disclosed to and accepted by Lender in writing in connection with this Deed of Trust, and (b) Trustor has the full right, power, and authority to execute and deliver this Deed of Trust to Lender.

Defense of Title. Subject to the exception in the paragraph above, Trustor warrants and will forever defend

MPG_0268

the title to the Property against the lawful claims of all persons. In the event any action or proceeding is commenced that questions Trustor's title or the interest of Trustee or Lender under this Deed of Trust, Trustor shall defend the action at Trustor's expense. Trustor may be the nominal party in such proceeding, but Lender shall be entitled to participate in the proceeding and to be represented in the proceeding by counsel of Lender's own choice, and Trustor will deliver, or cause to be delivered, to Lender such instruments as Lender may request from time to time to permit such participation.

Compliance With Laws. Trustor warrants that the Property and Trustor's use of the Property complies with all existing applicable laws, ordinances, and regulations of governmental authorities.

Survival of Representations and Warranties. All representations, warranties, and agreements made by Trustor in this Deed of Trust shall survive the execution and delivery of this Deed of Trust, shall be continuing in nature, and shall remain in full force and effect until such time as Borrower's Indebtedness shall be paid in full.

CONDEMNATION. The following provisions relating to condemnation proceedings are a part of this Deed of Trust:

Proceedings. If any proceeding in condemnation is filed, Trustor shall promptly notify Lender in writing, and Trustor shall promptly take such steps as may be necessary to defend the action and obtain the award. Trustor may be the nominal party in such proceeding, but Lender shall be entitled to participate in the proceeding and to be represented in the proceeding by counsel of its own choice, and Trustor will deliver or cause to be delivered to Lender such instruments and documentation as may be requested by Lender from time to time to permit such participation.

Application of Net Proceeds. If all or any part of the Property is condemned by eminent domain proceedings or by any proceeding or purchase in lieu of condemnation, Lender may at its election require that all or any portion of the net proceeds of the award be applied to the Indebtedness or the repair or restoration of the Property. The net proceeds of the award shall mean the award after payment of all reasonable costs, expenses, and attorneys' fees incurred by Trustee or Lender in connection with the condemnation.

IMPOSITION OF TAXES, FEES AND CHARGES BY GOVERNMENTAL AUTHORITIES. The following provisions relating to governmental taxes, fees and charges are a part of this Deed of Trust:

Current Taxes, Fees and Charges. Upon request by Lender, Trustor shall execute such documents in addition to this Deed of Trust and take whatever other action is requested by Lender to perfect and continue Lender's lien on the Real Property. Trustor shall reimburse Lender for all taxes, as described below, together with all expenses incurred in recording, perfecting or continuing this Deed of Trust, including without limitation all taxes, fees, documentary stamps, and other charges for recording or registering this Deed of Trust.

Taxes. The following shall constitute taxes to which this section applies: (1) a specific tax upon this type of Deed of Trust or upon all or any part of the Indebtedness secured by this Deed of Trust; (2) a specific tax on Borrower which Borrower is authorized or required to deduct from payments on the Indebtedness secured by this type of Deed of Trust; (3) a tax on this type of Deed of Trust chargeable against the Lender or the holder of the Note; and (4) a specific tax on all or any portion of the Indebtedness or on payments of principal and interest made by Borrower.

Subsequent Taxes. If any tax to which this section applies is enacted subsequent to the date of this Deed of Trust, this event shall have the same effect as an Event of Default, and Lender may exercise any or all of its available remedies for an Event of Default as provided below unless Trustor either (1) pays the tax before it becomes delinquent, or (2) contests the tax as provided above in the Taxes and Liens section and deposits with Lender cash or a sufficient corporate surety bond or other security satisfactory to Lender.

SECURITY AGREEMENT; FINANCING STATEMENTS. The following provisions relating to this Deed of Trust as a security agreement are a part of this Deed of Trust:

Security Agreement. This instrument shall constitute a Security Agreement to the extent any of the Property constitutes fixtures, and Lender shall have all of the rights of a secured party under the Uniform Commercial Code as amended from time to time.

Security Interest. Upon request by Lender, Trustor shall take whatever action is requested by Lender to perfect and continue Lender's security interest in the Rents and Personal Property. In addition to recording this Deed of Trust in the real property records, Lender may, at any time and without further authorization from Trustor, file executed counterparts, copies or reproductions of this Deed of Trust as a financing statement. Trustor shall reimburse Lender for all expenses incurred in perfecting or continuing this security interest. Upon default, Trustor shall not remove, sever or detach the Personal Property from the Property. Upon default, Trustor shall assemble any Personal Property not affixed to the Property in a manner and at a place reasonably convenient to Trustor and Lender and make it available to Lender within three (3) days after receipt of written demand from Lender to the extent permitted by applicable law.

Addresses. The mailing addresses of Trustor (debtor) and Lender (secured party) from which information concerning the security interest granted by this Deed of Trust may be obtained (each as required by the Uniform Commercial Code) are as stated on the first page of this Deed of Trust.

FURTHER ASSURANCES; ATTORNEY-IN-FACT. The following provisions relating to further assurances and

MPB_0269

attorney-in-fact are a part of this Deed of Trust:

Further Assurances. At any time, and from time to time, upon request of Lender, Trustor will make, execute and deliver, or will cause to be made, executed or delivered, to Lender or to Lender's designee, and when requested by Lender, cause to be filed, recorded, refiled, or rerecorded, as the case may be, at such times and in such offices and places as Lender may deem appropriate, any and all such mortgages, deeds of trust, security deeds, security agreements, financing statements, continuation statements, instruments of further assurance, certificates, and other documents as may, in the sole opinion of Lender, be necessary or desirable in order to effectuate, complete, perfect, continue, or preserve (1) Borrower's and Trustor's obligations under the Note, this Deed of Trust, and the Related Documents, and (2) the liens and security interests created by this Deed of Trust as first and prior liens on the Property, whether now owned or hereafter acquired by Trustor. Unless prohibited by law or Lender agrees to the contrary in writing, Trustor shall reimburse Lender for all costs and expenses incurred in connection with the matters referred to in this paragraph.

Attorney-in-Fact. If Trustor fails to do any of the things referred to in the preceding paragraph, Lender may do so for and in the name of Trustor and at Trustor's expense. For such purposes, Trustor hereby irrevocably appoints Lender as Trustor's attorney-in-fact for the purpose of making, executing, delivering, filing, recording, and doing all other things as may be necessary or desirable, in Lender's sole opinion, to accomplish the matters referred to in the preceding paragraph.

FULL PERFORMANCE. If Borrower and Trustor pay all the Indebtedness when due, and Trustor otherwise performs all the obligations imposed upon Trustor under this Deed of Trust, Lender shall execute and deliver to Trustee a request for full reconveyance without warranty and shall execute and deliver to Trustor suitable statements of termination of any financing statement on file evidencing Lender's security interest in the Rents and the Personal Property. Any reconveyance fee required by law shall be paid by Trustor, if permitted by applicable law.

EVENTS OF DEFAULT. Each of the following, at Lender's option, shall constitute an Event of Default under this Deed of Trust:

Payment Default. Borrower fails to make any payment when due under the Indebtedness.

Other Defaults. Borrower or Trustor fails to comply with or to perform any other term, obligation, covenant or condition contained in this Deed of Trust or in any of the Related Documents or to comply with or to perform any term, obligation, covenant or condition contained in any other agreement between Lender and Borrower or Trustor.

Compliance Default. Failure to comply with any other term, obligation, covenant or condition contained in this Deed of Trust, the Note or in any of the Related Documents.

Default on Other Payments. Failure of Trustor within the time required by this Deed of Trust to make any payment for taxes or insurance, or any other payment necessary to prevent filing of or to effect discharge of any lien.

Default in Favor of Third Parties. Should Borrower or any Grantor default under any loan, extension of credit, security agreement, purchase or sales agreement, or any other agreement, in favor of any other creditor or person that may materially affect any of Borrower's or any Grantor's property or Borrower's ability to repay the Indebtedness or Borrower's or Grantor's ability to perform their respective obligations under this Deed of Trust or any of the Related Documents.

False Statements. Any warranty, representation or statement made or furnished to Lender by Borrower or Trustor or on Borrower's or Trustor's behalf under this Deed of Trust or the Related Documents is false or misleading in any material respect, either now or at the time made or furnished or becomes false or misleading at any time thereafter.

Defective Collateralization. This Deed of Trust or any of the Related Documents ceases to be in full force and effect (including failure of any collateral document to create a valid and perfected security interest or lien) at any time and for any reason.

Death or Insolvency. The dissolution of Trustor's (regardless of whether election to continue is made), any member withdraws from the limited liability company, or any other termination of Borrower's or Trustor's existence as a going business or the death of any member, the insolvency of Borrower or Trustor, the appointment of a receiver for any part of Borrower's or Trustor's property, any assignment for the benefit of creditors, any type of creditor workout, or the commencement of any proceeding under any bankruptcy or insolvency laws by or against Borrower or Trustor.

Creditor or Forfeiture Proceedings. Commencement of foreclosure or forfeiture proceedings, whether by judicial proceeding, self-help, repossession or any other method, by any creditor of Borrower or Trustor or by any governmental agency against any property securing the Indebtedness. This includes a garnishment of any of Borrower's or Trustor's accounts, including deposit accounts, with Lender. However, this Event of Default shall not apply if there is a good faith dispute by Borrower or Trustor as to the validity or reasonableness of the claim which is the basis of the creditor or forfeiture proceeding and if Borrower or Trustor gives Lender written notice of the creditor or forfeiture proceeding and deposits with Lender monies or a surety bond for the creditor or forfeiture proceeding, in an amount determined by Lender, in its sole discretion, as being an adequate reserve or bond for the dispute.

Breach of Other Agreement. Any breach by Borrower or Trustor under the terms of any other agreement

MPG_0270

between Borrower or Trustor and Lender that is not remedied within any grace period provided therein, including without limitation any agreement concerning any indebtedness or other obligation of Borrower or Trustor to Lender, whether existing now or later.

Events Affecting Guarantor. Any of the preceding events occurs with respect to any guarantor, endorser, surety, or accommodation party of any of the Indebtedness or any guarantor, endorser, surety, or accommodation party dies or becomes incompetent, or revokes or disputes the validity of, or liability under, any Guaranty of the Indebtedness.

Adverse Change. A material adverse change occurs in Borrower's or Trustor's financial condition, or Lender believes the prospect of payment or performance of the Indebtedness is impaired.

Insecurity. Lender in good faith believes itself insecure.

Right to Cure. If any default, other than a default in payment is curable and if Trustor has not been given a notice of a breach of the same provision of this Deed of Trust within the preceding twelve (12) months, it may be cured if Trustor, after Lender sends written notice to Borrower demanding cure of such default: (1) cures the default within ten (10) days; or (2) if the cure requires more than ten (10) days, immediately initiates steps which Lender deems in Lender's sole discretion to be sufficient to cure the default and thereafter continues and completes all reasonable and necessary steps sufficient to produce compliance as soon as reasonably practical.

RIGHTS AND REMEDIES ON DEFAULT. If an Event of Default occurs under this Deed of Trust, at any time thereafter, Trustee or Lender may exercise any one or more of the following rights and remedies:

Election of Remedies. Election by Lender to pursue any remedy shall not exclude pursuit of any other remedy, and an election to make expenditures or to take action to perform an obligation of Trustor under this Deed of Trust, after Trustor's failure to perform, shall not affect Lender's right to declare a default and exercise its remedies.

Accelerate Indebtedness. Lender shall have the right at its option without notice to Borrower or Trustor to declare the entire Indebtedness immediately due and payable, including any prepayment penalty which Borrower would be required to pay.

Foreclosure. With respect to all or any part of the Real Property, the Trustee shall have the right to foreclose by notice and sale, and Lender shall have the right to foreclose by judicial foreclosure, in either case in accordance with and to the full extent provided by applicable law. To the extent permitted by law, Trustor shall be and remain liable for any deficiency remaining after sale, either pursuant to the power of sale or judicial proceedings.

UCC Remedies. With respect to all or any part of the Personal Property, Lender shall have all the rights and remedies of a secured party under the Uniform Commercial Code.

Collect Rents. Lender shall have the right, without notice to Borrower or Trustor to take possession of and manage the Property and collect the Rents, including amounts past due and unpaid, and apply the net proceeds, over and above Lender's costs, against the Indebtedness. In furtherance of this right, Lender may require any tenant or other user of the Property to make payments of rent or use fees directly to Lender. If the Rents are collected by Lender, then Trustor irrevocably designates Lender as Trustor's attorney-in-fact to endorse instruments received in payment thereof in the name of Trustor and to negotiate the same and collect the proceeds. Payments by tenants or other users to Lender in response to Lender's demand shall satisfy the obligations for which the payments are made, whether or not any proper grounds for the demand existed. Lender may exercise its rights under this subparagraph either in person, by agent, or through a receiver.

Appoint Receiver. Lender shall have the right to have a receiver appointed to take possession of all or any part of the Property, with the power to protect and preserve the Property, to operate the Property preceding foreclosure or sale, and to collect the Rents from the Property and apply the proceeds, over and above the cost of the receivership, against the Indebtedness. The receiver may serve without bond if permitted by law. Lender's right to the appointment of a receiver shall exist whether or not the apparent value of the Property exceeds the Indebtedness by a substantial amount. Employment by Lender shall not disqualify a person from serving as a receiver.

Tenancy at Sufferance. If Trustor remains in possession of the Property after the Property is sold as provided above or Lender otherwise becomes entitled to possession of the Property upon default of Borrower or Trustor, Trustor shall become a tenant at sufferance of Lender or the purchaser of the Property and shall, at Lender's option, either (1) pay a reasonable rental for the use of the Property, or (2) vacate the Property immediately upon the demand of Lender.

Other Remedies. Trustee or Lender shall have any other right or remedy provided in this Deed of Trust or the Note or available at law or in equity.

Notice of Sale. Lender shall give Trustor reasonable notice of the time and place of any public sale of the Personal Property or of the time after which any private sale or other intended disposition of the Personal Property is to be made. Reasonable notice shall mean notice given at least ten (10) days before the time of the sale or disposition. Any sale of the Personal Property may be made in conjunction with any sale of the Real Property.

Sale of the Property. To the extent permitted by applicable law, Borrower and Trustor hereby waives any

MPB_0271

and all rights to have the Property marshalled. In exercising its rights and remedies, the Trustee or Lender shall be free to sell all or any part of the Property together or separately, in one sale or by separate sales. Lender shall be entitled to bid at any public sale on all or any portion of the Property.

Insurance Policies. Lender shall have the right upon an Event of Default, but not the obligation, to assign all of Trustor's right, title and interest in and to all policies of insurance on the Property and any unearned premiums paid on such insurance to any receiver or any purchaser of the Property at a foreclosure sale, and Trustor hereby appoints Lender as attorney in fact to assign and transfer such policies.

Attorneys' Fees; Expenses. If Lender institutes any suit or action to enforce any of the terms of this Deed of Trust, Lender shall be entitled to recover such sum as the court may adjudge reasonable as attorneys' fees at trial and upon any appeal. Whether or not any court action is involved, and to the extent not prohibited by law, all reasonable expenses Lender incurs that in Lender's opinion are necessary at any time for the protection of its interest or the enforcement of its rights shall become a part of the Indebtedness payable on demand and shall bear interest at the Note rate from the date of the expenditure until repaid. Expenses covered by this paragraph include, without limitation, however subject to any limits under applicable law, Lender's attorneys' fees and Lender's legal expenses, whether or not there is a lawsuit, including attorneys' fees and expenses for bankruptcy proceedings (including efforts to modify or vacate any automatic stay or injunction), appeals, and any anticipated post judgment collection services, the cost of searching records, obtaining title reports (including foreclosure reports), surveyors' reports, and appraisal fees, title insurance, and fees for the Trustee, to the extent permitted by applicable law. However, Trustor will only pay attorneys' fees of an attorney not Lender's salaried employee, to whom the matter is referred after Trustor's default. Trustor also will pay any court costs, in addition to all other sums provided by law.

Rights of Trustee. Trustee shall have all of the rights and duties of Lender as set forth in this section.

POWERS AND OBLIGATIONS OF TRUSTEE. The following provisions relating to the powers and obligations of Trustee are part of this Deed of Trust:

Powers of Trustee. In addition to all powers of Trustee arising as a matter of law, Trustee shall have the power to take the following actions with respect to the Property upon the written request of Lender and Trustor: (a) join in preparing and filing a map or plat of the Real Property, including the dedication of streets or other rights to the public; (b) join in granting any easement or creating any restriction on the Real Property; and (c) join in any subordination or other agreement affecting this Deed of Trust or the interest of Lender under this Deed of Trust.

Obligations to Notify. Trustee shall not be obligated to notify any other party of a pending sale under any other trust deed or lien, or of any action or proceeding in which Trustor, Lender, or Trustee shall be a party, unless the action or proceeding is brought by Trustee.

Trustee. Trustee shall meet all qualifications required for Trustee under applicable law. In addition to the rights and remedies set forth above, with respect to all or any part of the Property, the Trustee shall have the right to foreclose by notice and sale, and Lender shall have the right to foreclose by judicial foreclosure, in either case in accordance with and to the full extent provided by applicable law.

Successor Trustee. Lender, at Lender's option, may from time to time appoint a successor Trustee to any Trustee appointed under this Deed of Trust by an instrument executed and acknowledged by Lender and recorded in the office of the recorder of Maricopa County, State of Arizona. The instrument shall contain, in addition to all other matters required by state law, the names of the original Lender, Trustee, and Trustor, the book and page where this Deed of Trust is recorded, and the name and address of the successor trustee, and the instrument shall be executed and acknowledged by Lender or its successors in interest. The successor trustee, without conveyance of the Property, shall succeed to all the title, power, and duties conferred upon the Trustee in this Deed of Trust and by applicable law. This procedure for substitution of Trustee shall govern to the exclusion of all other provisions for substitution.

NOTICES. Any notice required to be given under this Deed of Trust, including without limitation any notice of default and any notice of sale shall be given in writing, and shall be effective when actually delivered, when actually received by telefacsimile (unless otherwise required by law), when deposited with a nationally recognized overnight courier, or, if mailed, when deposited in the United States mail, as first class, certified or registered mail postage prepaid, directed to the addresses shown near the beginning of this Deed of Trust. All copies of notices of foreclosure from the holder of any lien which has priority over this Deed of Trust shall be sent to Lender's address, as shown near the beginning of this Deed of Trust. Any party may change its address for notices under this Deed of Trust by giving formal written notice to the other parties, specifying that the purpose of the notice is to change the party's address. For notice purposes, Trustor agrees to keep Lender informed at all times of Trustor's current address. Unless otherwise provided or required by law, if there is more than one Trustor, any notice given by Lender to any Trustor is deemed to be notice given to all Trustors.

MISCELLANEOUS PROVISIONS. The following miscellaneous provisions are a part of this Deed of Trust:

Amendments. This Deed of Trust, together with any Related Documents, constitutes the entire understanding and agreement of the parties as to the matters set forth in this Deed of Trust. No alteration of or amendment to this Deed of Trust shall be effective unless given in writing and signed by the party or parties sought to be charged or bound by the alteration or amendment.

Annual Reports. If the Property is used for purposes other than Trustor's residence, Trustor shall furnish to Lender, upon request, a certified statement of net operating income received from the Property during Trustor's previous fiscal year in such form and detail as Lender shall require. "Net operating income" shall

MPB_0272

mean all cash receipts from the Property less all cash expenditures made in connection with the operation of the Property.

Caption Headings. Caption headings in this Deed of Trust are for convenience purposes only and are not to be used to interpret or define the provisions of this Deed of Trust.

Merger. There shall be no merger of the interest or estate created by this Deed of Trust with any other interest or estate in the Property at any time held by or for the benefit of Lender in any capacity, without the written consent of Lender.

Governing Law. This Deed of Trust will be governed by federal law applicable to Lender and, to the extent not preempted by federal law, the laws of the State of Arizona without regard to its conflicts of law provisions. This Deed of Trust has been accepted by Lender in the State of Arizona.

Joint and Several Liability. All obligations of Borrower and Trustor under this Deed of Trust shall be joint and several, and all references to Trustor shall mean each and every Trustor, and all references to Borrower shall mean each and every Borrower. This means that each Trustor signing below is responsible for all obligations in this Deed of Trust. Where any one or more of the parties is a corporation, partnership, limited liability company or similar entity, it is not necessary for Lender to inquire into the powers of any of the officers, directors, partners, members, or other agents acting or purporting to act on the entity's behalf, and any obligations made or created in reliance upon the professed exercise of such powers shall be guaranteed under this Deed of Trust.

No Waiver by Lender. Lender shall not be deemed to have waived any rights under this Deed of Trust unless such waiver is given in writing and signed by Lender. No delay or omission on the part of Lender in exercising any right shall operate as a waiver of such right or any other right. A waiver by Lender of a provision of this Deed of Trust shall not prejudice or constitute a waiver of Lender's right otherwise to demand strict compliance with that provision or any other provision of this Deed of Trust. No prior waiver by Lender, nor any course of dealing between Lender and Trustor, shall constitute a waiver of any of Lender's rights or of any of Trustor's obligations as to any future transactions. Whenever the consent of Lender is required under this Deed of Trust, the granting of such consent by Lender in any instance shall not constitute continuing consent to subsequent instances where such consent is required and in all cases such consent may be granted or withheld in the sole discretion of Lender.

Severability. If a court of competent jurisdiction finds any provision of this Deed of Trust to be illegal, invalid, or unenforceable as to any circumstance, that finding shall not make the offending provision illegal, invalid, or unenforceable as to any other circumstance. If feasible, the offending provision shall be considered modified so that it becomes legal, valid and enforceable. If the offending provision cannot be so modified, it shall be considered deleted from this Deed of Trust. Unless otherwise required by law, the illegality, invalidity, or unenforceability of any provision of this Deed of Trust shall not affect the legality, validity or enforceability of any other provision of this Deed of Trust.

Successors and Assigns. Subject to any limitations stated in this Deed of Trust on transfer of Trustor's interest, this Deed of Trust shall be binding upon and inure to the benefit of the parties, their successors and assigns. If ownership of the Property becomes vested in a person other than Trustor, Lender, without notice to Trustor, may deal with Trustor's successors with reference to this Deed of Trust and the Indebtedness by way of forbearance or extension without releasing Trustor from the obligations of this Deed of Trust or liability under the Indebtedness.

Time is of the Essence. Time is of the essence in the performance of this Deed of Trust.

Waive Jury. All parties to this Deed of Trust hereby waive the right to any jury trial in any action, proceeding, or counterclaim brought by any party against any other party.

Waiver of Homestead Exemption. Trustor hereby releases and waives all rights and benefits of the homestead exemption laws of the State of Arizona as to all Indebtedness secured by this Deed of Trust.

DEFINITIONS. The following capitalized words and terms shall have the following meanings when used in this Deed of Trust. Unless specifically stated to the contrary, all references to dollar amounts shall mean amounts in lawful money of the United States of America. Words and terms used in the singular shall include the plural, and the plural shall include the singular, as the context may require. Words and terms not otherwise defined in this Deed of Trust shall have the meanings attributed to such terms in the Uniform Commercial Code:

Beneficiary. The word "Beneficiary" means Metro Phoenix Bank, and its successors and assigns.

Borrower. The word "Borrower" means Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert and includes all co-signers and co-makers signing the Note and all their successors and assigns.

Deed of Trust. The words "Deed of Trust" mean this Deed of Trust among Trustor, Lender, and Trustee, and includes without limitation all assignment and security interest provisions relating to the Personal Property and Rents.

Default. The word "Default" means the Default set forth in this Deed of Trust in the section titled "Default".

Environmental Laws. The words "Environmental Laws" mean any and all state, federal and local statutes, regulations and ordinances relating to the protection of human health or the environment, including without limitation the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended, 42 U.S.C. Section 9601, et seq. ("CERCLA"), the Superfund Amendments and Reauthorization

MPB_0273

Act of 1986, Pub. L. No. 99-499 ("SARA"), the Hazardous Materials Transportation Act, 49 U.S.C. Section 1801, et seq., the Resource Conservation and Recovery Act, 42 U.S.C. Section 6901, et seq., or other applicable state or federal laws, rules, or regulations adopted pursuant thereto.

Event of Default. The words "Event of Default" mean any of the events of default set forth in this Deed of Trust in the events of default section of this Deed of Trust.

Guaranty. The word "Guaranty" means the guaranty from guarantor, endorser, surety, or accommodation party to Lender, including without limitation a guaranty of all or part of the Note.

Hazardous Substances. The words "Hazardous Substances" mean materials that, because of their quantity, concentration or physical, chemical or infectious characteristics, may cause or pose a present or potential hazard to human health or the environment when improperly used, treated, stored, disposed of, generated, manufactured, transported or otherwise handled. The words "Hazardous Substances" are used in their very broadest sense and include without limitation any and all hazardous or toxic substances, materials or waste as defined by or listed under the Environmental Laws. The term "Hazardous Substances" also includes, without limitation, petroleum and petroleum by-products or any fraction thereof and asbestos.

Improvements. The word "Improvements" means all existing and future improvements, buildings, structures, mobile homes affixed on the Real Property, facilities, additions, replacements and other construction on the Real Property.

Indebtedness. The word "Indebtedness" means all principal, interest, and other amounts, costs and expenses payable under the Note or Related Documents, together with all renewals of, extensions of, modifications of, consolidations of and substitutions for the Note or Related Documents and any amounts expended or advanced by Lender to discharge Trustor's obligations or expenses incurred by Trustee or Lender to enforce Trustor's obligations under this Deed of Trust, together with interest on such amounts as provided in this Deed of Trust.

Lender. The word "Lender" means Metro Phoenix Bank, its successors and assigns.

Note. The word "Note" means the promissory note dated July 30, 2010, **in the original principal amount of $75,000.00** from Borrower to Lender, together with all renewals of, extensions of, modifications of, refinancings of, consolidations of, and substitutions for the promissory note or agreement. **NOTICE TO TRUSTOR: THE NOTE CONTAINS A VARIABLE INTEREST RATE.**

Personal Property. The words "Personal Property" mean all equipment, fixtures, and other articles of personal property now or hereafter owned by Trustor, and now or hereafter attached or affixed to the Real Property; together with all accessions, parts, and additions to, all replacements of, and all substitutions for, any of such property; and together with all proceeds (including without limitation all insurance proceeds and refunds of premiums) from any sale or other disposition of the Property.

Property. The word "Property" means collectively the Real Property and the Personal Property.

Real Property. The words "Real Property" mean the real property, interests and rights, as further described in this Deed of Trust.

Related Documents. The words "Related Documents" mean all promissory notes, credit agreements, loan agreements, environmental agreements, guaranties, security agreements, mortgages, deeds of trust, security deeds, collateral mortgages, and all other instruments, agreements and documents, whether now or hereafter existing, executed in connection with the Indebtedness.

Rents. The word "Rents" means all present and future rents, revenues, income, issues, royalties, profits, and other benefits derived from the Property.

Trustee. The word "Trustee" means Metro Phoenix Bank, whose address is 4686 E. Van Buren Rd., Ste #150 & #190, Phoenix, AZ 85008 and any substitute or successor trustees.

Trustor. The word "Trustor" means Sheridan Equities, LLC.

TRUSTOR ACKNOWLEDGES HAVING READ ALL THE PROVISIONS OF THIS DEED OF TRUST, AND TRUSTOR AGREES TO ITS TERMS.

TRUSTOR:

SHERIDAN EQUITIES, LLC

By: [redacted]
David S. Schweikert, Manager of Sheridan Equities, LLC

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LIMITED LIABILITY COMPANY ACKNOWLEDGMENT

STATE OF Arizona)
) SS
COUNTY OF Maricopa)

On this 30 day of July, 20 10, before me, the undersigned Notary Public, personally appeared **David S. Schweikert, Manager of Sheridan Equities, LLC**, and known to me to be member or designated agent of the limited liability company that executed the Deed of Trust and acknowledged the Deed of Trust to be the free and voluntary act and deed of the limited liability company, by authority of statute, its articles of organization or its operating agreement, for the uses and purposes therein mentioned, and on oath stated that he or she is authorized to execute this Deed of Trust and in fact executed the Deed of Trust on behalf of the limited liability company.

By [redacted] Residing at Maricopa County

Notary Public in and for the State of Arizona

My commission expires 10-4-2011

KELLI A. BADER
Notary Public - Arizona
Maricopa County
My Comm. Expires Oct 4, 2011

REQUEST FOR FULL RECONVEYANCE

(To be used only when obligations have been paid in full)

To: _____, Trustee

The undersigned is the legal owner and holder of all indebtedness secured by this Deed of Trust. All sums secured by this Deed of Trust have been fully paid and satisfied. You are hereby directed, upon payment to you of any sums owing to you under the terms of this Deed of Trust or pursuant to any applicable statute, to cancel the Note secured by this Deed of Trust (which is delivered to you together with this Deed of Trust), and to reconvey, without warranty, to the parties designated by the terms of this Deed of Trust, the estate now held by you under this Deed of Trust. Please mail the reconveyance and Related Documents to:

_____

Date: _____ Beneficiary: _____

By: _____

Its: _____

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THOMAS TITLE & ESCROW

OFFICIAL RECORDS OF
MARICOPA COUNTY RECORDER
HELEN PURCELL
20100657361,08/02/2010 02:05
ELECTRONIC RECORDING
104265-6-2-2--,N

RECORDATION REQUESTED BY:
Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

WHEN RECORDED MAIL TO:
Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

SEND TAX NOTICES TO:
Sheridan Equities, LLC
15749 E. El Lago Blvd
Fountain Hills, AZ 85268

FOR RECORDER'S USE ONLY

104265
2 of 2

ASSIGNMENT OF RENTS

THIS ASSIGNMENT OF RENTS dated July 30, 2010, is made and executed between Sheridan Equities, LLC, whose address is 15749 E. El Lago Blvd, Fountain Hills, AZ 85268 (referred to below as "Grantor") and Metro Phoenix Bank, whose address is 4686 E. Van Buren Street, Ste #150, Phoenix, AZ 85008 (referred to below as "Lender").

ASSIGNMENT. For valuable consideration, Grantor hereby assigns, grants a continuing security interest in, and conveys to Lender all of Grantor's right, title, and interest in and to the Rents from the following described Property located in Maricopa County, State of Arizona:

Lot 42, of Westdale Estates Unit One, according to the plat of record in the Office of the County Recorder of Maricopa County, Arizona, recorded in Book 123 of Maps, Page 32.

The Property or its address is commonly known as 6413 W. Lamar Road, Glendale, AZ 85301. The Property tax identification number is 144-08-098.

REVOLVING LINE OF CREDIT. This Assignment secures the indebtedness including, without limitation, a revolving line of credit, which obligates Lender to make advances to Borrower so long as Borrower complies with all the terms of the Note.

THIS ASSIGNMENT IS GIVEN TO SECURE (1) PAYMENT OF THE INDEBTEDNESS AND (2) PERFORMANCE OF ANY AND ALL OBLIGATIONS OF BORROWER AND GRANTOR UNDER THE NOTE, THIS ASSIGNMENT, AND THE RELATED DOCUMENTS. THIS ASSIGNMENT IS GIVEN AND ACCEPTED ON THE FOLLOWING TERMS:

GRANTOR'S WAIVERS. Grantor waives all rights or defenses arising by reason of any "one action" or "anti-deficiency" law, or any other law which may prevent Lender from bringing any action against Grantor, including a claim for deficiency to the extent Lender is otherwise entitled to a claim for deficiency, before or after Lender's commencement or completion of any foreclosure action, either judicially or by exercise of a power of sale. In addition to the waivers set forth above, Grantor expressly waives, to the extent permitted by Arizona law, all of Grantor's rights under sections 12-1641 through 12-1648 inclusive, 44-142 of the Arizona Revised Statutes, and Rule 17(f) of the Arizona Rules of Civil Procedure, as now enacted or hereafter modified, amended or replaced.

BORROWER'S WAIVERS AND RESPONSIBILITIES. Lender need not tell Borrower about any action or inaction Lender takes in connection with this Assignment. Borrower assumes the responsibility for being and keeping informed about the Property. Borrower waives any defenses that may arise because of any action or inaction of Lender, including without limitation any failure of Lender to realize upon the Property, or any delay by Lender in realizing upon the Property. Borrower agrees to remain liable under the Note with Lender no matter what action Lender takes or fails to take under this Assignment.

PAYMENT AND PERFORMANCE. Except as otherwise provided in this Assignment or any Related Documents, Grantor shall pay to Lender all amounts secured by this Assignment as they become due, and shall strictly perform all of Grantor's obligations under this Assignment. Unless and until Lender exercises its right to collect the Rents as provided below and so long as there is no default under this Assignment, Grantor may remain in possession and control of and operate and manage the Property and collect the Rents, provided that the

granting of the right to collect the Rents shall not constitute Lender's consent to the use of cash collateral in a bankruptcy proceeding.

GRANTOR'S REPRESENTATIONS AND WARRANTIES. Grantor warrants that:

Ownership. Grantor is entitled to receive the Rents free and clear of all rights, loans, liens, encumbrances, and claims except as disclosed to and accepted by Lender in writing.

Right to Assign. Grantor has the full right, power and authority to enter into this Assignment and to assign and convey the Rents to Lender.

No Prior Assignment. Grantor has not previously assigned or conveyed the Rents to any other person by any instrument now in force.

No Further Transfer. Grantor will not sell, assign, encumber, or otherwise dispose of any of Grantor's rights in the Rents except as provided in this Assignment.

LENDER'S RIGHT TO RECEIVE AND COLLECT RENTS. Lender shall have the right at any time, and even though no default shall have occurred under this Assignment, to collect and receive the Rents. For this purpose, Lender is hereby given and granted the following rights, powers and authority:

Notice to Tenants. Lender may send notices to any and all tenants of the Property advising them of this Assignment and directing all Rents to be paid directly to Lender or Lender's agent.

Enter the Property. Lender may enter upon and take possession of the Property; demand, collect and receive from the tenants or from any other persons liable therefor, all of the Rents; institute and carry on all legal proceedings necessary for the protection of the Property, including such proceedings as may be necessary to recover possession of the Property; collect the Rents and remove any tenant or tenants or other persons from the Property.

Maintain the Property. Lender may enter upon the Property to maintain the Property and keep the same in repair; to pay the costs thereof and of all services of all employees, including their equipment, and of all continuing costs and expenses of maintaining the Property in proper repair and condition, and also to pay all taxes, assessments and water utilities, and the premiums on fire and other insurance effected by Lender on the Property.

Compliance with Laws. Lender may do any and all things to execute and comply with the laws of the State of Arizona and also all other laws, rules, orders, ordinances and requirements of all other governmental agencies affecting the Property.

Lease the Property. Lender may rent or lease the whole or any part of the Property for such term or terms and on such conditions as Lender may deem appropriate.

Employ Agents. Lender may engage such agent or agents as Lender may deem appropriate, either in Lender's name or in Grantor's name, to rent and manage the Property, including the collection and application of Rents.

Other Acts. Lender may do all such other things and acts with respect to the Property as Lender may deem appropriate and may act exclusively and solely in the place and stead of Grantor and to have all of the powers of Grantor for the purposes stated above.

No Requirement to Act. Lender shall not be required to do any of the foregoing acts or things, and the fact that Lender shall have performed one or more of the foregoing acts or things shall not require Lender to do any other specific act or thing.

APPLICATION OF RENTS. All costs and expenses incurred by Lender in connection with the Property shall be for Grantor's account and Lender may pay such costs and expenses from the Rents. Lender, in its sole discretion, shall determine the application of any and all Rents received by it; however, any such Rents received by Lender which are not applied to such costs and expenses shall be applied to the Indebtedness. All expenditures made by Lender under this Assignment and not reimbursed from the Rents shall become a part of the Indebtedness secured by this Assignment, and shall be payable on demand, with interest at the Note rate from date of expenditure until paid.

FULL PERFORMANCE. If Grantor pays all of the Indebtedness when due and otherwise performs all the obligations imposed upon Grantor under this Assignment, the Note, and the Related Documents, Lender shall execute and deliver to Grantor a suitable satisfaction of this Assignment and suitable statements of termination of any financing statement on file evidencing Lender's security interest in the Rents and the Property. Any termination fee required by law shall be paid by Grantor, if permitted by applicable law.

LENDER'S EXPENDITURES. If any action or proceeding is commenced that would materially affect Lender's interest in the Property or if Grantor fails to comply with any provision of this Assignment or any Related Documents, including but not limited to Grantor's failure to discharge or pay when due any amounts Grantor is required to discharge or pay under this Assignment or any Related Documents, Lender on Grantor's behalf may (but shall not be obligated to) take any action that Lender deems appropriate, to the extent permitted by applicable law, including but not limited to discharging or paying all taxes, liens, security interests, encumbrances and other claims, at any time levied or placed on the Rents or the Property and paying all costs for insuring, maintaining and preserving the Property. All such expenditures incurred or paid by Lender for such purposes will then bear interest at the rate charged under the Note from the date incurred or paid by Lender to the date of repayment by Grantor. All such expenses will become a part of the Indebtedness and, at

MPB_0277

Lender's option, will (A) be payable on demand; (B) be added to the balance of the Note and be apportioned among and be payable with any installment payments to become due during either (1) the term of any applicable insurance policy; or (2) the remaining term of the Note; or (C) be treated as a balloon payment which will be due and payable at the Note's maturity. The Assignment also will secure payment of these amounts. Such right shall be in addition to all other rights and remedies to which Lender may be entitled upon Default and shall be exercisable by Lender to the extent permitted by applicable law.

DEFAULT. Each of the following, at Lender's option, shall constitute an Event of Default under this Assignment:

Payment Default. Borrower fails to make any payment when due under the Indebtedness.

Other Defaults. Borrower or Grantor fails to comply with or to perform any other term, obligation, covenant or condition contained in this Assignment or in any of the Related Documents or to comply with or to perform any term, obligation, covenant or condition contained in any other agreement between Lender and Borrower or Grantor.

Default on Other Payments. Failure of Grantor within the time required by this Assignment to make any payment for taxes or insurance, or any other payment necessary to prevent filing of or to effect discharge of any lien.

Default in Favor of Third Parties. Borrower or Grantor defaults under any loan, extension of credit, security agreement, purchase or sales agreement, or any other agreement, in favor of any other creditor or person that may materially affect any of Borrower's or Grantor's property or ability to perform their respective obligations under this Assignment or any of the Related Documents.

False Statements. Any warranty, representation or statement made or furnished to Lender by Borrower or Grantor or on Borrower's or Grantor's behalf under this Assignment or the Related Documents is false or misleading in any material respect, either now or at the time made or furnished or becomes false or misleading at any time thereafter.

Defective Collateralization. This Assignment or any of the Related Documents ceases to be in full force and effect (including failure of any collateral document to create a valid and perfected security interest or lien) at any time and for any reason.

Death or Insolvency. The dissolution of Grantor's (regardless of whether election to continue is made), any member withdraws from the limited liability company, or any other termination of Borrower's or Grantor's existence as a going business or the death of any member, the insolvency of Borrower or Grantor, the appointment of a receiver for any part of Borrower's or Grantor's property, any assignment for the benefit of creditors, any type of creditor workout, or the commencement of any proceeding under any bankruptcy or insolvency laws by or against Borrower or Grantor.

Creditor or Forfeiture Proceedings. Commencement of foreclosure or forfeiture proceedings, whether by judicial proceeding, self-help, repossession or any other method, by any creditor of Borrower or Grantor or by any governmental agency against the Rents or any property securing the Indebtedness. This includes a garnishment of any of Borrower's or Grantor's accounts, including deposit accounts, with Lender. However, this Event of Default shall not apply if there is a good faith dispute by Borrower or Grantor as to the validity or reasonableness of the claim which is the basis of the creditor or forfeiture proceeding and if Borrower or Grantor gives Lender written notice of the creditor or forfeiture proceeding and deposits with Lender monies or a surety bond for the creditor or forfeiture proceeding, in an amount determined by Lender, in its sole discretion, as being an adequate reserve or bond for the dispute.

Property Damage or Loss. The Property is lost, stolen, substantially damaged, sold, or borrowed against.

Events Affecting Guarantor. Any of the preceding events occurs with respect to any guarantor, endorser, surety, or accommodation party of any of the Indebtedness or any guarantor, endorser, surety, or accommodation party dies or becomes incompetent, or revokes or disputes the validity of, or liability under, any Guaranty of the Indebtedness.

Adverse Change. A material adverse change occurs in Grantor's financial condition, or Lender believes the prospect of payment or performance of the Indebtedness is impaired.

Insecurity. Lender in good faith believes itself insecure.

Cure Provisions. If any default, other than a default in payment is curable and if Grantor has not been given a notice of a breach of the same provision of this Assignment within the preceding twelve (12) months, it may be cured if Grantor, after Lender sends written notice to Borrower demanding cure of such default: (1) cures the default within ten (10) days; or (2) if the cure requires more than ten (10) days, immediately initiates steps which Lender deems in Lender's sole discretion to be sufficient to cure the default and thereafter continues and completes all reasonable and necessary steps sufficient to produce compliance as soon as reasonably practical.

RIGHTS AND REMEDIES ON DEFAULT. Upon the occurrence of any Event of Default and at any time thereafter, Lender may exercise any one or more of the following rights and remedies, in addition to any other rights or remedies provided by law:

Accelerate Indebtedness. Lender shall have the right at its option without notice to Borrower or Grantor to declare the entire Indebtedness immediately due and payable, including any prepayment penalty that Borrower would be required to pay.

MPB_0278

Collect Rents. Lender shall have the right, without notice to Borrower or Grantor, to take possession of the Property and collect the Rents, including amounts past due and unpaid, and apply the net proceeds, over and above Lender's costs, against the Indebtedness. In furtherance of this right, Lender shall have all the rights provided for in the Lender's Right to Receive and Collect Rents Section, above. If the Rents are collected by Lender, then Grantor irrevocably designates Lender as Grantor's attorney-in-fact to endorse instruments received in payment thereof in the name of Grantor and to negotiate the same and collect the proceeds. Payments by tenants or other users to Lender in response to Lender's demand shall satisfy the obligations for which the payments are made, whether or not any proper grounds for the demand existed. Lender may exercise its rights under this subparagraph either in person, by agent, or through a receiver.

Appoint Receiver. Lender shall have the right to have a receiver appointed to take possession of all or any part of the Property, with the power to protect and preserve the Property, to operate the Property preceding foreclosure or sale, and to collect the Rents from the Property and apply the proceeds, over and above the cost of the receivership, against the Indebtedness. The receiver may serve without bond if permitted by law. Lender's right to the appointment of a receiver shall exist whether or not the apparent value of the Property exceeds the Indebtedness by a substantial amount. Employment by Lender shall not disqualify a person from serving as a receiver.

Other Remedies. Lender shall have all other rights and remedies provided in this Assignment or the Note or by law.

Election of Remedies. Election by Lender to pursue any remedy shall not exclude pursuit of any other remedy, and an election to make expenditures or to take action to perform an obligation of Grantor under this Assignment, after Grantor's failure to perform, shall not affect Lender's right to declare a default and exercise its remedies.

Attorneys' Fees; Expenses. If Lender institutes any suit or action to enforce any of the terms of this Assignment, Lender shall be entitled to recover such sum as the court may adjudge reasonable as attorneys' fees at trial and upon any appeal. Whether or not any court action is involved, and to the extent not prohibited by law, all reasonable expenses Lender incurs that in Lender's opinion are necessary at any time for the protection of its interest or the enforcement of its rights shall become a part of the Indebtedness payable on demand and shall bear interest at the Note rate from the date of the expenditure until repaid. Expenses covered by this paragraph include, without limitation, however subject to any limits under applicable law, Lender's attorneys' fees and Lender's legal expenses, whether or not there is a lawsuit, including attorneys' fees and expenses for bankruptcy proceedings (including efforts to modify or vacate any automatic stay or injunction), appeals, and any anticipated post-judgment collection services, the cost of searching records, obtaining title reports (including foreclosure reports), surveyors' reports, and appraisal fees, title insurance, and fees for the Trustee, to the extent permitted by applicable law. However, Grantor will only pay attorneys' fees of an attorney not Lender's salaried employee, to whom the matter is referred after Grantor's default. Grantor also will pay any court costs, in addition to all other sums provided by law.

MISCELLANEOUS PROVISIONS. The following miscellaneous provisions are a part of this Assignment:

Amendments. This Assignment, together with any Related Documents, constitutes the entire understanding and agreement of the parties as to the matters set forth in this Assignment. No alteration of or amendment to this Assignment shall be effective unless given in writing and signed by the party or parties sought to be charged or bound by the alteration or amendment.

Caption Headings. Caption headings in this Assignment are for convenience purposes only and are not to be used to interpret or define the provisions of this Assignment.

Governing Law. This Assignment will be governed by federal law applicable to Lender and, to the extent not preempted by federal law, the laws of the State of Arizona without regard to its conflicts of law provisions. This Assignment has been accepted by Lender in the State of Arizona.

Joint and Several Liability. All obligations of Borrower and Grantor under this Assignment shall be joint and several, and all references to Grantor shall mean each and every Grantor, and all references to Borrower shall mean each and every Borrower. This means that each Grantor signing below is responsible for all obligations in this Assignment. Where any one or more of the parties is a corporation, partnership, limited liability company or similar entity, it is not necessary for Lender to inquire into the powers of any of the officers, directors, partners, members, or other agents acting or purporting to act on the entity's behalf, and any obligations made or created in reliance upon the professed exercise of such powers shall be guaranteed under this Assignment.

Merger. There shall be no merger of the interest or estate created by this assignment with any other interest or estate in the Property at any time held by or for the benefit of Lender in any capacity, without the written consent of Lender.

Interpretation. (1) In all cases where there is more than one Borrower or Grantor, then all words used in this Assignment in the singular shall be deemed to have been used in the plural where the context and construction so require. (2) If more than one person signs this Assignment as "Grantor," the obligations of each Grantor are joint and several. This means that if Lender brings a lawsuit, Lender may sue any one or more of the Grantors. If Borrower and Grantor are not the same person, Lender need not sue Borrower first, and that Borrower need not be joined in any lawsuit. (3) The names given to paragraphs or sections in this Assignment are for convenience purposes only. They are not to be used to interpret or define the

provisions of this Assignment.

No Waiver by Lender. Lender shall not be deemed to have waived any rights under this Assignment unless such waiver is given in writing and signed by Lender. No delay or omission on the part of Lender in exercising any right shall operate as a waiver of such right or any other right. A waiver by Lender of a provision of this Assignment shall not prejudice or constitute a waiver of Lender's right otherwise to demand strict compliance with that provision or any other provision of this Assignment. No prior waiver by Lender, nor any course of dealing between Lender and Grantor, shall constitute a waiver of any of Lender's rights or of any of Grantor's obligations as to any future transactions. Whenever the consent of Lender is required under this Assignment, the granting of such consent by Lender in any instance shall not constitute continuing consent to subsequent instances where such consent is required and in all cases such consent may be granted or withheld in the sole discretion of Lender.

Notices. Any notice required to be given under this Assignment shall be given in writing, and shall be effective when actually delivered, when actually received by telefacsimile (unless otherwise required by law), when deposited with a nationally recognized overnight courier, or, if mailed, when deposited in the United States mail, as first class, certified or registered mail postage prepaid, directed to the addresses shown near the beginning of this Assignment. Any party may change its address for notices under this Assignment by giving formal written notice to the other parties, specifying that the purpose of the notice is to change the party's address. For notice purposes, Grantor agrees to keep Lender informed at all times of Grantor's current address. Unless otherwise provided or required by law, if there is more than one Grantor, any notice given by Lender to any Grantor is deemed to be notice given to all Grantors.

Powers of Attorney. The various agencies and powers of attorney conveyed on Lender under this Assignment are granted for purposes of security and may not be revoked by Grantor until such time as the same are renounced by Lender.

Severability. If a court of competent jurisdiction finds any provision of this Assignment to be illegal, invalid, or unenforceable as to any circumstance, that finding shall not make the offending provision illegal, invalid, or unenforceable as to any other circumstance. If feasible, the offending provision shall be considered modified so that it becomes legal, valid and enforceable. If the offending provision cannot be so modified, it shall be considered deleted from this Assignment. Unless otherwise required by law, the illegality, invalidity, or unenforceability of any provision of this Assignment shall not affect the legality, validity or enforceability of any other provision of this Assignment.

Successors and Assigns. Subject to any limitations stated in this Assignment on transfer of Grantor's interest, this Assignment shall be binding upon and inure to the benefit of the parties, their successors and assigns. If ownership of the Property becomes vested in a person other than Grantor, Lender, without notice to Grantor, may deal with Grantor's successors with reference to this Assignment and the indebtedness by way of forbearance or extension without releasing Grantor from the obligations of this Assignment or liability under the Indebtedness.

Time is of the Essence. Time is of the essence in the performance of this Assignment.

Waive Jury. All parties to this Assignment hereby waive the right to any jury trial in any action, proceeding, or counterclaim brought by any party against any other party.

Waiver of Homestead Exemption. Grantor hereby releases and waives all rights and benefits of the homestead exemption laws of the State of Arizona as to all indebtedness secured by this Assignment.

DEFINITIONS. The following capitalized words and terms shall have the following meanings when used in this Assignment. Unless specifically stated to the contrary, all references to dollar amounts shall mean amounts in lawful money of the United States of America. Words and terms used in the singular shall include the plural, and the plural shall include the singular, as the context may require. Words and terms not otherwise defined in this Assignment shall have the meanings attributed to such terms in the Uniform Commercial Code:

Assignment. The word "Assignment" means this ASSIGNMENT OF RENTS, as this ASSIGNMENT OF RENTS may be amended or modified from time to time, together with all exhibits and schedules attached to this ASSIGNMENT OF RENTS from time to time.

Borrower. The word "Borrower" means Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert.

Default. The word "Default" means the Default set forth in this Assignment in the section titled "Default".

Event of Default. The words "Event of Default" mean any of the events of default set forth in this Assignment in the default section of this Assignment.

Grantor. The word "Grantor" means Sheridan Equities, LLC.

Guaranty. The word "Guaranty" means the guaranty from guarantor, endorser, surety, or accommodation party to Lender, including without limitation a guaranty of all or part of the Note.

Indebtedness. The word "Indebtedness" means all principal, interest, and other amounts, costs and expenses payable under the Note or Related Documents, together with all renewals of, extensions of, modifications of, consolidations of and substitutions for the Note or Related Documents and any amounts expended or advanced by Lender to discharge Grantor's obligations or expenses incurred by Lender to enforce Grantor's obligations under this Assignment, together with interest on such amounts as provided in

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this Assignment.

Lender. The word "Lender" means Metro Phoenix Bank, its successors and assigns.

Note. The word "Note" means the promissory note dated July 30, 2010, in the original principal amount of $75,000.00 from Borrower to Lender, together with all renewals of, extensions of, modifications of, refinancings of, consolidations of, and substitutions for the promissory note or agreement.

Property. The word "Property" means all of Grantor's right, title and interest in and to all the Property as described in the "Assignment" section of this Assignment.

Related Documents. The words "Related Documents" mean all promissory notes, credit agreements, loan agreements, environmental agreements, guaranties, security agreements, mortgages, deeds of trust, security deeds, collateral mortgages, and all other instruments, agreements and documents, whether now or hereafter existing, executed in connection with the Indebtedness.

Rents. The word "Rents" means all of Grantor's present and future rights, title and interest in, to and under any and all present and future leases, including, without limitation, all rents, revenue, income, issues, royalties, bonuses, accounts receivable, cash or security deposits, advance rentals, profits and proceeds from the Property, and other payments and benefits derived or to be derived from such leases of every kind and nature, whether due now or later, including without limitation Grantor's right to enforce such leases and to receive and collect payment and proceeds thereunder.

THE UNDERSIGNED ACKNOWLEDGES HAVING READ ALL THE PROVISIONS OF THIS ASSIGNMENT, AND NOT PERSONALLY BUT AS AN AUTHORIZED SIGNER, HAS CAUSED THIS ASSIGNMENT TO BE SIGNED AND EXECUTED ON BEHALF OF GRANTOR ON JULY 30, 2010.

GRANTOR:

SHERIDAN EQUITIES, LLC

By: [redacted]
David S. Schweikert, Manager of Sheridan Equities, LLC

LIMITED LIABILITY COMPANY ACKNOWLEDGMENT

STATE OF Arizona)
) SS
COUNTY OF Maricopa)

On this 30 day of July, 2010, before me, the undersigned Notary Public, personally appeared **David S. Schweikert, Manager of Sheridan Equities, LLC**, and known to me to be member or designated agent of the limited liability company that executed the ASSIGNMENT OF RENTS and acknowledged the Assignment to be the free and voluntary act and deed of the limited liability company, by authority of statute, its articles of organization or its operating agreement, for the uses and purposes therein mentioned, and on oath stated that he or she is authorized to execute this Assignment and in fact executed the Assignment on behalf of the limited liability company.

By [redacted] Residing at Maricopa County

Notary Public in and for the State of Arizona

My commission expires 10-4-2011

KELLI A. BADER
Notary Public - Arizona
Maricopa County
My Comm. Expires Oct 4, 2011

LASER PRO Lending, Ver. 5.51.00.002 Copr. Harland Financial Solutions, Inc. 1997, 2010. All Rights Reserved. - AZ H:\CFI\LPL\G14.FC TR-352 PR-3

MPB_0281

EMPIRE WEST TITLE AGENCY

When recorded return to:
METRO PHOENIX BANK
4686 E. Van Buren St. # 150
Phoenix, AZ 85008

OFFICIAL RECORDS OF
MARICOPA COUNTY RECORDER
HELEN PURCELL
2014065725 10/03/2014 01:18
36317EWA-1-1-1--
ELECTRONIC RECORDING

36317EW-TD
(1-1)

DEED OF RELEASE AND RECONVEYANCE

WHEREAS, the indebtedness secured by the Deed of Trust executed by Sheridan Equities, LLC, whose address is 15749 E. El Lago Blvd, Fountain Hills, AZ 85268; and Metro Phoenix Bank (Beneficiary), whose address is 4686 E. Van Buren, Ste # 150, Phoenix, AZ 85008, dated July 30, 2010 and recorded August 2, 2010 in Document No. 20100657360, of Official Records in the Maricopa County Recorder's office, Maricopa County, Arizona.

BENEFICIARY

NOW, THEREFORE, the present Beneficiary under said Deed of Trust does hereby release and reconvey, without covenant or warranty, express or implied, unto the parties legally entitled all right, title and interest which was heretofore acquired by said Beneficiary under said Deed of Trust.

DATED this 31st day of July 2014

BENEFICIARY:
METRO PHOENIX BANK:

[redacted]

Stephen P. Haggard, President & CEO

STATE OF ARIZONA)
County of Maricopa)

This instrument was acknowledged before me this day 31st of July 2014 by Stephen P. Haggard of Metro Phoenix Bank.

[redacted]

My Commission Expires:

Notary Public

MICHELE LEE GLASSCOCK
Notary Public, State of Arizona
Maricopa County
My Commission Expires
March 28, 2016

MPB_0282

When recorded return to:
METRO PHOENIX BANK
4686 E. Van Buren St. # 150
Phoenix, AZ 85008

DEED OF RELEASE AND RECONVEYANCE

WHEREAS, the indebtedness secured by the Deed of Trust executed by Sheridan Equities, LLC, whose address is 15749 E. El Lago Blvd, Fountain Hills, AZ 85268; and Metro Phoenix Bank (Beneficiary), whose address is 4686 E. Van Buren, Ste # 150, Phoenix, AZ 85008, dated July 30, 2010 and recorded August 2, 2010 in Document No. 20100657360, of Official Records in the Maricopa County Recorder's office, Maricopa County, Arizona.

BENEFICIARY

NOW, THEREFORE, the present Beneficiary under said Deed of Trust does hereby release and reconvey, without covenant or warranty, express or implied, unto the parties legally entitled all right, title and interest which was heretofore acquired by said Beneficiary under said Deed of Trust.

DATED this 31st day of July 2014

BENEFICIARY:
METRO PHOENIX BANK:

[redacted]

Stephen P. Haggard, President & CEO

STATE OF ARIZONA)
County of Maricopa)

This instrument was acknowledged before me this day 31st of July 2014 by Stephen P. Haggard of Metro Phoenix Bank.

My Commission Expires:

[redacted]

Notary Public

MICHELE LEE GLASSCOCK
Notary Public, State of Arizona
Maricopa County
My Commission Expires
March 26, 2018

MPB_0375

DISBURSEMENT REQUEST AND AUTHORIZATION

Principal	Loan Date	Maturity	Loan No	Call / Coll	Account	Officer	Initials
$75,000.00	07-30-2010	08-05-2011	[redacted]	131 / 13		RAS	DLS

References in the boxes above are for Lender's use only and do not limit the applicability of this document to any particular loan or item.
Any item above containing "****" has been omitted due to text length limitations.

Borrower: Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert
[redacted]

Lender: Metro Phoenix Bank
Main Office
4625 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

LOAN TYPE. This is a Variable Rate Nondisclosable Revolving Line of Credit Loan to two individuals and a Limited Liability Company for $75,000.00 due on August 5, 2011.

PRIMARY PURPOSE OF LOAN. The primary purpose of this loan is for:

☐ Personal, Family, or Household Purposes or Personal Investment.

☒ Business (including Real Estate Investment).

SPECIFIC PURPOSE. The specific purpose of this loan is: RLOC.

FLOOD INSURANCE. The property that will secure the loan is not located in an area that has been identified by the Director of the Federal Emergency Management Agency as an area having special flood hazards. Therefore, although flood insurance may be available for the property, no special flood hazard insurance protecting property not located in an area having special flood hazards is required by law for this loan at this time.

DISBURSEMENT INSTRUCTIONS. Borrower understands that no loan proceeds will be disbursed until all of Lender's conditions for making the loan have been satisfied. Please disburse the loan proceeds of $75,000.00 as follows:

Undisbursed Funds:	$72,031.00
Other Charges Financed: $1,487.00 Title Insurance $20.00 Wire Fee	$1,487.00
Total Financed Prepaid Finance Charges: $49.00 Flood Certification and Life of Loan $654.00 Escrow Fees $750.00 Documentation Fee	$1,482.00
Note Principal:	$75,000.00

LIEN RELEASE FEES. In addition to all other charges, Borrower agrees, to the extent not prohibited by law, to pay all governmental fees for release of Lender's security interests in collateral securing this loan. Borrower will pay these fees at the time the lien or liens are released. The estimated amount of these future lien release fees is $75.00

FINANCIAL CONDITION. BY SIGNING THIS AUTHORIZATION, BORROWER REPRESENTS AND WARRANTS TO LENDER THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND CORRECT AND THAT THERE HAS BEEN NO MATERIAL ADVERSE CHANGE IN BORROWER'S FINANCIAL CONDITION AS DISCLOSED IN BORROWER'S MOST RECENT FINANCIAL STATEMENT TO LENDER. THIS AUTHORIZATION IS DATED JULY 30, 2010.

BORROWER:

SHERIDAN EQUITIES, LLC
[redacted]
By:
David S. Schweikert, Manager of Sheridan Equities, LLC

[redacted]
David S. Schweikert, Individually

[redacted]
Joyce R. Schweikert, Individually

MPB_0376

CREDIT
GENERAL LEDGER
METRO PHOENIX BANK
ACCOUNT TITLE: Flood Ice
DESCRIPTION: Sheridan - [redacted]
OFFSET: [redacted]
DATE: 7 31 10
PREPARED BY: KAB
APPROVED BY:
ACCOUNT NUMBER
AMOUNT

[redacted] $ 48.00

[redacted]

CREDIT
GENERAL LEDGER
METRO PHOENIX BANK
ACCOUNT TITLE: FASB 91
DESCRIPTION: Sheridan - [redacted]
OFFSET: [redacted]
DATE: 7 31 10
PREPARED BY: KAB
APPROVED BY:
ACCOUNT NUMBER
AMOUNT

[redacted] $ 750.00

[redacted]

CREDIT
GENERAL LEDGER
METRO PHOENIX BANK
ACCOUNT TITLE: Wire Ice
DESCRIPTION: Sheridan - [redacted]
OFFSET: [redacted]
DATE: 7 31 10
PREPARED BY: KAB
APPROVED BY:
ACCOUNT NUMBER
AMOUNT

[redacted] $ 20.00

[redacted]

DEBIT
GENERAL LEDGER
METRO PHOENIX BANK
ACCOUNT TITLE: Online Posting
DESCRIPTION: Sheridan - [redacted]
Dec.-750 -Flood 48 Wire-20
OFFSET:
DATE: 8 30 10
PREPARED BY: KAB
APPROVED BY:
ACCOUNT NUMBER
AMOUNT

[redacted] $ 818.00

[redacted]

MPB_0377

MERIDIAN BANK

OUTGOING DOMESTIC WIRE TRANSFER REQUEST

Date: 8-2-10 Time: ____ Department Requesting Wire: ☐ Branch ☒ Loan ☐ Administration
Employee Accepting Wire Request: Keili A. Bader Employee Phone Number: 1805

ORIGINATOR INFORMATION

Customer Name: **Sheridan Equities, LLC and David & Joyce Schweikert**
Or Business Name: ____
Street Address: [redacted] State: AZ Zip: 85268
Customer Account Type: DDA ☐ SAV ☐ GL ☐ CD ☐ Loan ☐
Customer Account Number: [redacted] Social Security /Tax ID #: [redacted]

CUSTOMER IDENTIFICATION

Signature Card: ☐ Drivers License State/#: ____ Other Identification: ____

BENEFICIARY INFORMATION

Receiving Bank Name: **Wells Fargo Bank, N.A. Branch Downtown Phoenix** Routing #: [redacted]
Customer to Credit: **Thomas Title & Escrow, LLC**
Acct. Number to Credit: [redacted]
Street Address: **100 W. Washington** City: **Phoenix** State: **Arizona** Zip: **85003**
Special Instructions: **Escrow #'s** [redacted] **–Sheridan Equities**

CUSTOMER AUTHORIZATION

The bank shall not be liable for any error or delay in transfer due to any cause other than The Bank's own negligence. The Bank shall only be liable for the Customer's actual loss arising from such negligence, not to exceed the amount of the funds transferred which The Bank is unable to recover. In no event shall The Bank be liable for indirect or consequential damages. I have read the above information and request the wire transfer of funds as stated.

Customer Signature _____ Loan Funds _____ Date _____

BANK/WIRE USE ONLY

Employee Verifying (Call Back) Wire Request: _____
(Person verifying (call back) Wire Request MUST be different than the person accepting the Wire Request)

Contact Name: _____ Time Contacted: _____

Amount of Wire: $1,771.00 Cash: Yes ☐ No ☐
Fee: $20.00 Waive Fee?: Yes ☐ No ☒
Total Due: $1,791.00

Debit Account: Yes ☐ No ☐ Account Number: _____
Available Funds: $ _____ Last Deposit Amount & Date: _____

Approved by: [redacted] Date: 8/2/10

Wire Input By: _____ Wire Verified By: _____ OFAC By: KAB

MPB_0378

MPB
METRO PHOENIX BANK

OUTGOING DOMESTIC WIRE TRANSFER REQUEST

Date: 8-2-10 Time: ____ Department Requesting Wire: ☐ Branch ☒ Loan ☐ Administration
Employee Accepting Wire Request: Kelli A. Bader Employee Phone Number: 1805

ORIGINATOR INFORMATION

Customer Name: Sheridan Equities, LLC and David & Joyce Schweikert
Or Business Name:
Street Address: [redacted] State: AZ Zip: 85268
Customer Account Type: DDA ☐ SAV ☐ GL ☐ CD ☐ Loan ☐
Customer Account Number: [redacted] Social Security /Tax ID #: [redacted]

CUSTOMER IDENTIFICATION

Signature Card: ☐ Drivers License State/#: ____ Other Identification: ____

BENEFICIARY INFORMATION

Receiving Bank Name: Wells Fargo Bank, N.A. Branch Downtown Phoenix Routing #: [redacted]
Customer to Credit: Thomas Title & Escrow, LLC
Acct. Number to Credit [redacted]
Street Address: 100 W. Washington City: Phoenix State: Arizona Zip: 85003
Special Instructions: Escrow #'s [redacted]--Sheridan Equities

CUSTOMER AUTHORIZATION

The bank shall not be liable for any error or delay in transfer due to any cause other than The Bank's own negligence. The Bank shall only be liable for the Customer's actual loss arising from such negligence, not to exceed the amount of the funds transferred which The Bank is unable to recover. In no event shall The Bank be liable for indirect or consequential damages. I have read the above information and request the wire transfer of funds as stated.

Customer Signature _____ Loan Funds _____ Date _____

BANK/WIRE USE ONLY

Employee Verifying (Call Back) Wire Request: _____
(Person verifying (call back) Wire Request MUST be different than the person accepting the Wire Request)

Contact Name: _____ Time Contacted: _____

Amount of Wire: $311.00
Fee: $00.00
Total Due: $311.00

Cash: Yes ☐ No ☐
Waive Fee?: Yes ☐ No ☒

Debit Account: Yes ☐ No ☐ Account Number: _____
Available Funds: $_____ Last Deposit Amount & Date: _____

Approved by: [redacted] Date: 8/2/10

Wire Input By: _____ Wire Verified By: _____ OFAC By: KAB

MPB_0379

THOMAS
Title & Escrow
16435 N. Scottsdale Rd., Ste. 405
Scottsdale, AZ 85254
480.222.1116 phone

WIRE INSTRUCTIONS

Bank: Wells Fargo Bank, N.A.

Bank Branch: Downtown Phoenix, 100 W. Washington, Phoenix, Arizona 85003

ABA Routing Number: [redacted]

Escrow Account Number: [redacted]

Account name: Thomas Title & Escrow, LLC

Reference: Escrow number [redacted] - Sheridan Equities

MPB_0380

CREDIT METRO PHOENIX BANK
GENERAL LEDGER
ACCOUNT TITLE: PCB K
DESCRIPTION: Sheridan Equities - [redacted] title fees
DATE 8 2 10
PREPARED BY KAB
APPROVED BY MKM
OFFSET: [redacted]
ACCOUNT NUMBER
AMOUNT
[redacted] $ 1771.00
[redacted]

CREDIT METRO PHOENIX BANK
GENERAL LEDGER
ACCOUNT TITLE: Wire fee
DESCRIPTION: Sheridan Equities [redacted] wire fees
DATE 8 2 10
PREPARED BY KAB
APPROVED BY MKM
OFFSET: [redacted]
ACCOUNT NUMBER
AMOUNT
[redacted] $ 20.00
[redacted]

DEBIT METRO PHOENIX BANK
GENERAL LEDGER
ACCOUNT TITLE: Online Posting
DESCRIPTION: Sheridan Equities - [redacted] Title : wire fees
DATE 8 2 10
PREPARED BY KAB
APPROVED BY MKM
OFFSET:
ACCOUNT NUMBER
AMOUNT
[redacted] $ 1791.00
[redacted]

MPB_0381

CREDIT METRO PHOENIX BANK HCBK

GENERAL LEDGER ACCOUNT TITLE

DESCRIPTION: Sheridan - [redacted] remaining title

DATE 8 2 10

PREPARED BY CAB

APPROVED BY MKM

OFFSET: [redacted]

ACCOUNT NUMBER AMOUNT

[redacted] $ 311.00

[redacted]

DEBIT METRO PHOENIX BANK Online Posting

GENERAL LEDGER ACCOUNT TITLE

DESCRIPTION: Sheridan Equities - [redacted]

DATE 8 2 10

PREPARED BY KAB

APPROVED BY MKM

OFFSET: [redacted]

ACCOUNT NUMBER AMOUNT

[redacted] $ 311.00

[redacted]

MPB_0382

BORROWER'S LOAN ADVANCE REQUEST

Date of Request: 8-2-10 Purpose: _____

Borrower: David Schweikert

Loan Number: [redacted] Principal Loan Amount: _____

Amount Requested: 36,140.80 Date Needed: 8-2-10

Remaining Balance: _____

Method of Advance: Deposit into Metro Phoenix Bank acct. # _____

Cashiers Check, payable to: Blue Point, LLC $11,557.00

Pursuant to the terms of the loan agreement, Borrower is requesting an advance of funds from above reference loan number.

Borrower agrees that the amount of any advance that Metro Phoenix Bank (Bank) makes, will be added to the outstanding principal balance of said loan and will earn interest at the rate(s) in effect on loan from time to time. Borrower understands that the Bank may grant the request, refuse the request or grant part of the request or place conditions on making an advance unless such actions are inconsistent with the terms of the loan agreement. If any conditions for receiving this or future advance(s) are specified below, Borrower agrees to satisfy those conditions before the Bank is obligated to make the advance. Borrower also agrees that any collateral securing the loan will also secure any advance made by Metro Phoenix Bank to Borrower pursuant to the loan agreement. Borrower acknowledges the receipt of this Advance Request.

[redacted] 8/2/10 _____

Borrower's Signature Date Borrower's Signature Date

For Lender's Use Only

Request is: __ Approved __ Approved in Part __ Not Approved __ Approved subject to the following conditions: _____

Date Funds Advanced: _____ Amount of Funds Advanced: _____

Comments: _____

_____

Lender's Signature Date

MPB_0383

ARIZONA PACIFIC BANK

OUTGOING DOMESTIC WIRE TRANSFER REQUEST

Date: 8-2-10 Time: ____ Department Requesting Wire: ☐ Branch ☒ Loan ☐ Administration
Employee Accepting Wire Request: Kelli A. Bader Employee Phone Number: [redacted]

ORIGINATOR INFORMATION

Customer Name: **Sheridan Equities, LLC and David & Joyce Schweikert**
Or Business Name: ____
Street Address: [redacted] State: AZ Zip: 85268
Customer Account Type: DDA ☐ SAV ☐ GL ☐ CD ☐ Loan ☐
Customer Account Number: [redacted] Social Security /Tax ID #: [redacted]

CUSTOMER IDENTIFICATION

Signature Card: ☐ Drivers License State/#: ____ Other Identification: ____

BENEFICIARY INFORMATION

Receiving Bank Name: **IronStone Bank** Routing #: [redacted]
Customer to Credit: **Anthem Media, Inc**
Acct. Number to Credit: [redacted]
Street Address: ____ City: **Norcross** State: **GA** Zip: ____
Special Instructions: **Attn: Israel Gibbs** [redacted]

CUSTOMER AUTHORIZATION

The bank shall not be liable for any error or delay in transfer due to any cause other than The Bank's own negligence. The Bank shall only be liable for the Customer's actual loss arising from such negligence, not to exceed the amount of the funds transferred which The Bank is unable to recover. In no event shall The Bank be liable for indirect or consequential damages. I have read the above information and request the wire transfer of funds as stated.

Customer Signature ____ Loan Funds ____ Date ____

BANK/WIRE USE ONLY

Employee Verifying (Call Back) Wire Request: ____
(Person verifying (call back) Wire Request MUST be different than the person accepting the Wire Request).

Contact Name: ____ Time Contacted: ____

Amount of Wire: $24,589.80 Cash: Yes ☐ No ☐
Fee: $20.00 Waive Fee*: Yes ☐ No ☒
Total Due: $24,609.80

Debit Account: Yes ☐ No ☐ Account Number: ____
Available Funds: $____ Last Deposit Amount & Date: ____

Approved by: [redacted] Date: 8-2-10

Wire Input By: ____ Wire Verified By: ____ OFAC By: KAB

COE.SCHWEIKERT.005309

18-2234_0509

MPB_0384

Anthem Media Inc

Anthem Media Inc
5524 Bee Caves Road Ste B-5
Austin, TX 78746
[redacted]

Invoice

DATE	INVOICE #
07/29/2010	1165
TERMS	DUE DATE
Due on receipt	07/29/2010

BILL TO
David Schweikert for Congress

AMOUNT DUE	ENCLOSED
$19,563.80	

Please detach top portion and return with your payment.

Activity	Amount
• Cable 8/4 - 10	10,475.00
• Radio	7,407.00
• Radio	1,553.00
• City Tax	38.80
• Fed Ex	90.00
TOTAL	$19,563.80

+ 5,026

$24,589.80

MPB_0385

ANTHEM MEDIA WIRE TRANSFER INSTRUCTIONS

Company Information

Anthem Media, Inc
5524 Bee Caves Rd Ste B-5
Austin, TX 78746

Company Contact:
Jeff Norwood
O) [redacted]
M) [redacted]

$160 labels

Banking Information

IronStone Bank
Norcross, GA
Account Number: [redacted]
ABA: [redacted]

Banking Contact:
Israel Gibbs
[redacted]

MPB_0386

STATEMENT

Blue Point LLC

STATEMENT # 109
DATE: AUGUST 2, 2010

35311 N. 92nd Way
Scottsdale, AZ 85262
Chris[redacted]

BILL TO: Schweikert for Congress

COMMENTS: Wiring Information
Blue Point LLC
Acct. #[redacted]
Routing #[redacted]
Bank of America
Phoenix, AZ

DATE	DESCRIPTION	BALANCE	AMOUNT
7/28/10	Schweikert #6		$11,557

CURRENT	1-30 DAYS PAST DUE	31-60 DAYS PAST DUE	61-90 DAYS PAST DUE	OVER 90 DAYS PAST DUE	AMOUNT DUE
					$11,557

REMITTANCE	
Statement #	[redacted]
Date	
Amount Due	
Amount Enclosed	

Make all checks payable to Blue Point LLC

MPB_0387

Remitter: Advance from Loan
Memo:

CASHIER'S CHECK

No. [redacted]
Date: 8/02/2010

Eleven Thousand Five Hundred Fifty Seven Dollars and Zero Cents**********

$11,557.00

Pay to the Order of

Operator: 014
Time: 15:27

Source of Funds:

Blue Point LLC
35311 N. 92nd Way
Scottsdale, AZ 85262

Check Fee: $0.00
Account Information:
40-0

[redacted]

CREDIT METRO PHOENIX BANK
GENERAL LEDGER
ACCOUNT TITLE: Wire fee
DESCRIPTION: Sheridan Equities [redacted] wire fee
OFFSET: [redacted]
DATE 8 2 10
PREPARED BY KAB
APPROVED BY
ACCOUNT NUMBER: [redacted]
AMOUNT: $ 20.00

[redacted]

CREDIT METRO PHOENIX BANK
GENERAL LEDGER
ACCOUNT TITLE: PCBB
DESCRIPTION: Sheridan Equities [redacted]
OFFSET: [redacted]
DATE 8 2 10
PREPARED BY KAB
APPROVED BY
ACCOUNT NUMBER: [redacted]
AMOUNT: $ 24589.80

[redacted]

DEBIT METRO PHOENIX BANK
GENERAL LEDGER
ACCOUNT TITLE: Online Posting
DESCRIPTION: Sheridan Equities [redacted]
OFFSET: [redacted]
DATE 8 2 10
PREPARED BY KAB
APPROVED BY
ACCOUNT NUMBER: [redacted]
AMOUNT: $ 36166.80

[redacted]

MPB_0388

BORROWER'S LOAN ADVANCE REQUEST

Date of Request: 8-3-10 Purpose: _____

Borrower: Sheridan Equities

Loan Number: [redacted] Principal Loan Amount: _____

Amount Requested: $9,800 Date Needed: 8-3-10

Remaining Balance: _____

Method of Advance: Deposit into Metro Phoenix Bank acct. # _____

Cashiers Check, payable to: David Schweikert

Pursuant to the terms of the loan agreement, Borrower is requesting an advance of funds from above reference loan number.

Borrower agrees that the amount of any advance that Metro Phoenix Bank (Bank) makes, will be added to the outstanding principal balance of said loan and will earn interest at the rate(s) in effect on loan from time to time. Borrower understands that the Bank may grant the request, refuse the request or grant part of the request or place conditions on making an advance unless such actions are inconsistent with the terms of the loan agreement. If any conditions for receiving this or future advance(s) are specified below, Borrower agrees to satisfy those conditions before the Bank is obligated to make the advance. Borrower also agrees that any collateral securing the loan will also secure any advance made by Metro Phoenix Bank to Borrower pursuant to the loan agreement. Borrower acknowledges the receipt of this Advance Request.

[redacted] 8/3/10

Borrower's Signature Date Borrower's Signature Date

For Lender's Use Only

Request is: X Approved __ Approved in Part __ Not Approved __ Approved subject to the following conditions: _____

Date Funds Advanced: _____ Amount of Funds Advanced: _____

Comments: _____

[redacted] 8/3/10

Lender's Signature Date

MPB_0389

Remitter: Sheridan Equities, LLC
Memo: draw [redacted]

CASHIER'S CHECK

No. [redacted]
Date: 8/03/2010

Nine Thousand Eight Hundred Dollars and Zero Cents********** $9,800.00

Pay to the Order of

David Schweikert

Operator: 018 Time: 17:16

Source of Funds:

Check Fee: $0.00
Account Information:
[redacted]

Sheridan Equities, LLC David S Schweikert

[redacted]

DEBIT
GENERAL LEDGER

METRO PHOENIX BANK

ACCOUNT TITLE: Online Posting

DESCRIPTION: Sheridan Equities [redacted]

DATE: 8 3 10

PREPARED BY: KHB

APPROVED BY: [illegible]

OFFSET: [redacted]

ACCOUNT NUMBER: [redacted]

AMOUNT: $ 9000.00

[redacted]

COE.SCHWEIKERT.005315

18-2234_0515

MPB_0390

BORROWER'S LOAN ADVANCE REQUEST

Date of Request: 8/4/10 Purpose:

Borrower: Stratum Equities, LLC

Loan Number: [redacted] Principal Loan Amount:

Amount Requested: $ 26,000 Date Needed: 8/4/10

Remaining Balance: $ 113.20

Method of Advance: Deposit into Metro Phoenix Bank acct #

Cashiers Check, payable to: Blue Point, LLC

Pursuant to the terms of the loan agreement, Borrower is requesting an advance of funds from above reference loan number.

Borrower agrees that the amount of any advance that Metro Phoenix Bank (Bank) makes, will be added to the outstanding principal balance of said loan and will earn interest at the rate(s) in effect on loan from time to time. Borrower understands that the Bank may grant the request, refuse the request or grant part of the request or place conditions on making an advance unless such actions are inconsistent with the terms of the loan agreement. If any conditions for receiving this or future advance(s) are specified below, Borrower agrees to satisfy those conditions before the Bank is obligated to make the advance. Borrower also agrees that any collateral securing the loan will also secure any advance made by Metro Phoenix Bank to Borrower pursuant to the loan agreement. Borrower acknowledges the receipt of this Advance Request.

X [redacted] 8/4/10

Borrower's Signature Date Borrower's Signature Date

For Lender's Use Only

Request is: X Approved __ Approved in Part __ Not Approved __ Approved subject to the following conditions:

Date Funds Advanced: Amount of Funds Advanced:

Comments:

[redacted] 8/4/10

Lender's Signature Date

MPB_0391

Remitter: David Schweikert
Memo:

CASHIER'S CHECK

No. [redacted]
Date: 8/04/2010

Twenty Six Thousand Dollars and Zero Cents**********

$26,000.00

Pay to the Order of

Operator: 018

Time: 16:23

Source of Funds:

Blue Point LLC
35311 N. 92nd Way
Scottsdale, AZ 85262

Check Fee: $0.00
Account Information:
40-0

[redacted]

DEBIT
GENERAL LEDGER

METRO PHOENIX BANK

ACCOUNT TITLE: Online Posting

DESCRIPTION: Sheridan - [redacted]

DATE: 8 4 10

PREPARED BY: KAB

APPROVED BY: AWB

OFFSET: [redacted]

ACCOUNT NUMBER: [redacted]

AMOUNT: $ 26000.00

[redacted]

METRO PHOENIX BANK

Loan Presentation – Short Form

Borrower Name(s) **Sheridan Equities, LLC** **David & Joyce Schweikert**	Address: [redacted] Blvd. City Zip: 85268 Phone: [redacted] Tax ID: [redacted]	Date: **2/2/2011** Loan Officer: **RAS** Referral Source: **Stevenson**
Relationship Name(s)	Entity Type: Individual Commercial Real Estate Loan: No CRA Gross Rev. / Inc: NA CRA Loan: No NAICS Code: OFAC Date: 6/28/10	Reg O: ☐ Yes ☒ No Yr. Bus. Est.: NA Customer Since: New

Loan Request

#	Loan Type	Coll. Code	Loan Grade	COMMITMENT New	Renew	Total	Current Loan Balance	Maturity/Terms	Pricing/Rate& Fee
1	RLOC	13	4	$79,000	$75,000	$154,000	$74,887	Maturity date of 3/5/2012. Principal balance is due at maturity	WSJ P+2% Floor of 7%. $750 doc fee
2									
3									
4									
			Subtotal	$79,000	$75,000	$154,000	$74,887	**Other Direct Com**	$0
Total Commitment – Borrower				**$154,000**	**Related Debt Commitment**		**$0**	**Total Relationship Commitment**	**$154,000**

#	Credit Purpose	Codes: Pur	Fed	Prod
1	Increase the existing LOC by $79,000, in which funds will be used to help fund the buy-out of a partner	12	131	230
2				

Collateral

#	Description of Collateral	Value	Source	Sr. Liens	LTV%	LTC%
1	1st DOT on four rental properties owned by Mr. & Mrs. Schweikert. See page 2 for details.	$286,000	Zillow & BPO values	None	54%	N/A

#	Primary Source of Repayment	Secondary Source of Repayment
1	Personal cash flow	Liquidation of collateral

#	Guarantor(s)	F/S Date	Liq.assets	NW	Adj NW	Credit Score
	NA					

#	Related Entities	Deposits 12 Mos. Avg.	Deposits 12 Mos. Proj.
	NA		

#	Policy Exceptions	Mitigants
	None noted	

Approval:	**Date:**		**Date:**
Recommending Officer: Rickard Strom [redacted]	2/10/11	Approving Officer: Kevin O'Regan	
Recommending Officer:		[redacted]	2/10/11

Borrower: David Schweikert is a local real estate investor and was referred to the bank by Michael Stevenson. Mr. Schweikert currently is the US Representative for Arizona's 5th congressional district. Mr. Schweikert has previously served two terms in the Arizona State House of Representatives (1991-1994), was also chaired the State Board of Equalization where he oversaw billions of dollars in valuation and tax protests from Arizona citizens and businesses, and was the elected Maricopa County Treasurer (2004-2007).

David grew up in Scottsdale with his adoptive parents and two adoptive siblings. He graduated from Saguaro High School in 1980 then earned a BA in finance and real estate in 1985 and a MBA from the ASU WP Carey Executive Program in 2005. David has traveled extensively throughout India, East Asia and Eastern Europe. David Schweikert and his wife Joyce live in Fountain Hills where they run their real estate business, Sheridan Equities, LLC.

Loan Purpose: This is a $79,000 increase to an existing $75,000 RLOC. The proposed funds will be used to finance the buyout of an existing partner on a residential property. Previously funds were used to support election campaigning.

Repayment Sources:

PSOR: Personal cash flow

SSOR: Liquidation of collateral.

Collateral: The $79,000 increase in the LOC will be supported by a 1st DOT on the residential property. This will be an addition to 1st DOT positions on 3 seperate rental properties that heve already been taken as collateral. Details on collateral have been included below:

Additional Collateral:
Address: 5920 W. State Ave. Glendale, AZ 85301
Size: 1,471 SF
BPO Value: $70,000
BPO Date: 2/9/2011

Existing Collateral House 1:
Address: 3338 E. Willetta St., Phoenix, AZ 85008
Acquisition date: April, 2009
Size: 1,153 SF
Purchase price: $27,000
Improvement Cost: $15,821
Total Cost: $42,821
Zillow Value: $77,500
Zillow Date: 2/2/2011

Existing Collateral House 2:
Address: 3031 N. 64th Pl., Phoenix, AZ 85033
Acquisition date: March, 2009
Size: 1,473 SF
Purchase price: $36,000
Improvement Cost: $7,688
Total Cost: $43,688
Zillow Value: $63,000
Zillow Date: 2/2/2011

Existing Collateral House 3:
Address: 6413 W Lamar Rd., Glendale, AZ 85301
Acquisition date: March, 2009
Size: 1,356 SF
Purchase price: $36,550
Improvement Cost: $15,021
Total Cost: $51,571
Zillow Value: $75,500
Zillow Date: 2/2/2011

Total Collateral value of the 4 homes: $286,000
LTV: 54%

Borrower Analysis:
A personel financial statement as of 7/13/2010 has been provided which illustrates liquidity of $80M, and a net worth of $2,020,530. Analysis of this statement has been included below:

Net Worth Analysis:

David and Joyce Schweikert Personal Financial Statement dated 7/13/2010			
Assets		**Liabilities**	
		Mortgage-Primary Residence	290,000
Cash (1)	60,000	Mortgage-Investment Properties	193,000
Liquid Assets	**60,000**	Installment Loans	73,600
		Revolving Debt	12,500
Retirment Accounts	35,000		
CSVLI	15,630		
Personal Residence	300,000	**Total Liabilities**	**569,100**
Investment Real Estate (2)	534,000		
Land held for Investment	0		
Business Investments (3)	1,560,000	**Net Worth**	**$2,020,530**
Personal Properties	85,000	**Adjusted Net Worth (4)**	**$375,530**
Total Assets	**$2,589,630**	**Total Liabilities & Net Worth**	**$2,589,630**

(1) Cash accounts are held with Chase Bank.
(2) Investment in real estate includes the 3 existing properties as well as a rental property operated by Joyce in Scottsdale.
(3) Business Investments include a $750M value for Sheridan Equities Holdings which represents the value of David Sweikert's real estate business and a value of $810M which is allocated to Mass Funds.
(4) The adjusted net worth excludes the value in the business investments and the personal property.

Cash Flow Analysis:

Metro Phoenix Bank is in receipt of 2008 and 2009 tax returns on Mr. and Mrs. Schweikert. Analysis of these returns has been included below, which shows adequate cash flow coverage to support the current loan facility.

Guarantor Name: Schweikert	2008	2009
Salary, Wages, etc	$105,132	$120,503
Interest & Dividends (Schedule B)	$745	$0
Schedule C	$15,002	$36,114
Total Rental & Royalty Cash Flow	$2,842	$2,310
Total Partnership/REMIC Cash Flow	$0	$0
Less Federal and State Taxes	($16,085)	($30,680)
Cash Flow Available for Debt Service	**$107,636**	**$128,247**
*Mortgages (Primary)	$21,792	$21,792
*Mortgages (Investment)	$9,852	$9,852
*Installment Loans	$13,704	$13,704
*Revolving Credit Lines	$3,708	$3,708
Total Debt Service	**$49,056**	**$49,056**
Excess Cash	**$58,580**	**$79,191**
Debt/Income	45.58%	38.25%
*Debt is taken from credit reported dated 6/28/2010		

Income is generated from wages the Mr. Schweikert receives from being a politician in Arizona, as Mr. Schweikert was voted in as the US Representative for Arizona's 5th congressional district his salary going forward will increase to $172,000/year. Other additional income is generated from Schedule C income from the real estate business, Sheridan Equities, LLC and various rental income on residential rentals.

Credit Bureau Report:
The bank obtained a credit report for David & Joyce Schweikert on 6/28/10. The FICO score reported was 759 and 767 respectively. No current derogatory information was noted. Old trade as of 11-96 and 1-85.

Recommendation: It is recommended to approve the subject loan request as presented with a risk rating of 4. The borrowers report sufficient personal cash flow to service the subject loan. Furthermore the Bank is well secured at a LTV of 49%.

Credit Covenants

Loan-to-Value:
At all times during the term of the Loan, the unpaid principal balance of the Loan shall not exceed seventy percent (70%) of the value of the Collateral Property, as determined by Lender in Lender's sole discretion. If for any reason the loan-to-value ratio exceeds said percentage, then Borrower shall, upon Lender's demand, immediately reduce the unpaid principal balance of the Loan, or deposit sufficient sums with Lender to reduce the loan-to-value ratio to at or below said percentage.

Appraisals:
If deemed necessary by Lender or if required by law, Lender shall have the right to order appraisal(s) of the Collateral Property from time to time from an appraiser selected by Lender, which appraisals shall comply with all federal and state standards for appraisals and otherwise shall be satisfactory to Lender in all material respects. Borrower agrees to pay the cost and expense for all appraisals and reviews thereof ordered by Lender pursuant to this paragraph.

Reporting Covenants

	Borrower's Financial Statements	Freq	Next Stmt	Tickler Date	Due Date	Comments
	Type: FYE Type:					
	None					
1	Provide the lender with a copy of the borrower's annual TR (David and Joyce Schweikert)	A	2010			
1	Furnish PFS for David and Joyce Schweikert within 30 days of Lender'					
	Other: _____					
	Other: _____					

Documentation Requirements

- ☒ Standard Laser Pro Documents
- ☐ Accounts Receivable / Inventory Addendum
- ☐ Other – Outside Legal Counsel
- ☐ Other –

#	Conditions Precedent:

#	Modifications / Approval Conditions:

Schweikert, David and Joyce (Schweikert)
Summary of Cash Flows-Actual and %
Industry Classification: Code:

Prepared: 08:50, 2/2/2011
Amounts Printed in: Actual
PFA (5.4.2.0)

Tax Return Date	12/31/2008		12/31/2009	
Months Covered	12		12	
Accountant	Self Prepared		Self Prepared	
Analyst	Tzinas		Tzinas	
Credit Score Date				
Stmt Type	Tax Return	%	Tax Return	%
1. PERSONAL CASH FLOW	105,132	85.0	120,503	75.8
2. SCHED B (Int & Div) CF	745	0.6	-	-
3. SCHED C (Sole Proprietorship) CASH FLOW	15,002	12.1	36,114	22.7
4. SCHED D (Cap G/L) CF	-	-	-	-
5. SCHED E (Rent RE/Royalties) CASH FLOW	2,842	2.3	2,310	1.5
6. SCHED E (Partner) CF	-	-	-	-
7. SCHED E (S Corp) CF	-	-	-	-
8. SCHED E (Estates & Trusts) CASH FLOW	-	-	-	-
9. SCHED F (Farm) CASH FLOW	-	-	-	-
10. OTHER ONGOING CASH I/O	-	-	-	-
11. GROSS CASH FLOW	123,721	100.0	158,927	100.0
12. Less: TOTAL TAXES	16,085	13.0	30,680	19.3
13. CASH FLOW AVAILABLE FOR DEBT SERVICE	107,636	87.0	128,247	80.7
14. Less: EXISTING ANNUAL DEBT SERVICE	49,056	39.7	49,056	30.9
15. CASH FLOW AVAILABLE FOR NEW DEBT SERV	58,580	47.3	79,191	49.8
16. Less: PROPOSED LOAN REQUEST($)	-	-	-	-
17. CASH FLOW AVAILABLE AFTER NEW DEBT SERV	58,580	47.3	79,191	49.8
18. Less: ORDINARY LIVING EXPENSES	-	-	-	-
19. CASH FLOW EXCESS(SHORTFALL)	58,580	47.3	79,191	49.8

Schweikert, David and Joyce (Schweikert)
Personal Cash Flow Report
Industry Classification: Code:

Prepared: 09:50, 2/2/2011
Amounts Printed in: Actual
PFA (5.4.2.0)

Tax Return Date	12/31/2008	12/31/2009
Months Covered	12	12
Accountant	Self Prepared	Self Prepared
Analyst	Tzinas	Tzinas
Strmt Type	Tax Return	Tax Return
Credit Score Date		
Wages,Salary,Tips,etc.	87,201	90,526
Less: FICA Pd-Applicant	6,671	6,925
Spouses Wages, Salary, Tips, etc.	-	-
Less: FICA Pd-Spouse	-	-
Total Salary	80,530	83,601
Alimony Received	-	-
IRA Distributions	-	-
Pensions and Annuities	24,602	36,902
Social Security Benefits	-	-
Other Income	-	-
Child Support Received	-	-
Total Ongoing Cash Inflow	24,602	36,902
Certain Business Expenses	-	-
IRA Deduction	-	-
Spouse's IRA Deduction	-	-
Archer MSA Deduction	-	-
Educator Expenses	-	-
Self-employed SEP, SIMPLE Deduction	-	-
Student Loan Interest Deduction	-	-
Tuition and Fees Deduction	-	-
Alimony Paid	-	-
Child Care Expenses	-	-
Child Support Paid	-	-
Installment Plan Tax Payments	-	-
Total Ongoing Cash Outflow	-	-
SCHEDULE A Cash Outflows		
Medical & Dental Expenses	-	-
Gifts by Cash or Check	-	-
Unreimbursed Employee Expense	-	-
Total SCHEDULE A Cash Outflow	-	-
TOTAL PERSONAL CASH FLOW (Before Taxes & Living Expenses)	105,132	120,503
Total Federal Income Tax	13,730	27,904
State & Local Income Tax or Sales Tax	2,355	2,776
Real Estate Taxes-Primary Residence	-	-
Real Estate Taxes-Other	-	-
New Motor Vehicle Taxes	-	-
Other Taxes	-	-
Total Taxes	16,085	30,680
Ordinary Living Expenses	-	-

Schweikert, David and Joyce (Schweikert)
Schedule B Cash Flow Report
Industry Classification: Code:

Prepared: 09:50, 2/2/2011
Amounts Printed in: Actual
PFA (5.4.2.0)

Tax Return Date	12/31/2008	12/31/2009
Months Covered	12	12
Accountant	Self Prepared	Self Prepared
Analyst	Tzinas	Tzinas
Stmt Type	Tax Return	Tax Return
Credit Score Date		
Taxable Interest Income	6,463	-
Plus: Series EE US Savings Bonds	-	-
Plus: Tax-exempt Interest Income	-	-
Taxable Dividend Income	-	-
Plus: Nontaxable Distributions	-	-
Less: K-1 Interest & Dividend Inc	5,718	-
TOTAL SCHED B CASH FLOW	745	-

Schweikert, David and Joyce (Schweikert)
Schedule C Cash Flow Report
Industry Classification: Code:

Prepared: 09:50, 2/2/2011
Amounts Printed in: Actual
PFA (5.4.2.0)

Tax Return Date	12/31/2008	12/31/2009
Months Covered	12	12
Accountant	Self Prepared	Self Prepared
Analyst	Tzinas	Tzinas
Stmt Type	Tax Return	Tax Return
Credit Score Date		
Company Name: 1		
Gross Income	102,798	185,700
Less: Total Expenses	89,446	156,031
Plus: Depletion	-	-
Plus: Depreciation	1,652	6,445
Plus: Mortgage Interest	-	-
Plus: Other Interest	-	-
Plus: Pension & Profit Sharing Plans	-	-
Less: Meals & Ent Exclusion	-	-
Plus: Other Non-Cash Expenses	-	-
Less: Business Use of Home Exp	-	-
Total Cash Inc/(Expenses)	(87,796)	(149,586)
Plus: Other Non-Cash Costs-COGS	-	-
Plus: Other Ongoing Cash Inflow	-	-
Less: Other Ongoing Cash Outflow	-	-
Total Other Cash Inflow/(Outflow)	-	-
TOTAL SCHED C CASH FLOW	15,002	36,114

Schweikert, David and Joyce (Schweikert)
Schedule E Cash Flow Report-Rental RE & Royalty
Industry Classification: Code:

Prepared: 09:50, 2/2/2011
Amounts Printed in: Actual
PFA (5.4.2.0)

Tax Return Date	12/31/2008	12/31/2009
Months Covered	12	12
Accountant	Self Prepared	Self Prepared
Analyst	Tzinas	Tzinas
Stmt Type	Tax Return	Tax Return
Credit Score Date		
RE/Royalty Name: 1		
Rents Received	11,000	9,300
Royalties Received	-	-
Total Rental/Royalty Income	11,000	9,300
Tot Exp-Excl Depr/Amort	17,984	16,843
Plus: Mortgage Interest Paid	9,826	9,853
Plus: Other Interest	-	-
Total Inc/(Expense)	(6,158)	(6,990)
Less: K-1 Royalty Income	-	-
Plus: Other Ongoing Cash Inflow	-	-
Less: Other Ongoing Cash Outflow	-	-
TOTAL RE/ROY CASH FLOW	2,842	2,310

Schweikert, David and Joyce (Schweikert)
Existing Annual Debt Service & Statistics Report
Industry Classification: Code:

Prepared: 09:50, 2/2/2011
Amounts Printed In: Actual
PFA (5.4.2.0)

Tax Return Date	12/31/2008	12/31/2009
Months Covered	12	12
Accountant	Self Prepared	Self Prepared
Analyst	Tzinas	Tzinas
Stmt Type	Tax Return	Tax Return
Credit Score Date		
Annual Rent/Mort-Primary Residence	21,792	21,792
Annual Rent/Mortgage-Other	-	-
Annual Pymt on Instlmt Debt	13,704	13,704
Annual Pymt on Revolving Debt	3,708	3,708
Other Annual Debt Pymts	-	-
Annual Debt on Schedule C	-	-
Annual Debt on Schedule E	9,852	9,852
Annual Debt on Schedule F	-	-
TOTAL EXISTING ANNUAL DEBT SERVICE	49,056	49,056

CIF Number
Responsible Office
Responsible Officer
LAS ID#
Other ID
Relationship Type

MPB_0514

PROMISSORY NOTE

Principal	Loan Date	Maturity	Loan No	Call / Coll	Account	Officer	Initials
$154,000.00	02-14-2011	03-05-2012	[redacted]	131 / 13		RAS	

References in the boxes above are for Lender's use only and do not limit the applicability of this document to any particular loan or item.
Any item above containing "****" has been omitted due to text length limitations.

Borrower: Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert [redacted]

Lender: Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

Principal Amount: $154,000.00 **Date of Note: February 14, 2011**

PROMISE TO PAY. Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert ("Borrower") jointly and severally promise to pay to Metro Phoenix Bank ("Lender"), or order, in lawful money of the United States of America, the principal amount of One Hundred Fifty-four Thousand & 00/100 Dollars ($154,000.00), or so much as may be outstanding, together with interest on the unpaid outstanding principal balance of each advance. Interest shall be calculated from the date of each advance until repayment of each advance.

PAYMENT. Borrower will pay this loan in one payment of all outstanding principal plus all accrued unpaid interest on March 5, 2012. In addition, Borrower will pay regular monthly payments of all accrued unpaid interest due as of each payment date, beginning March 5, 2011, with all subsequent interest payments to be due on the same day of each month after that. Borrower will pay Lender at Lender's address shown above or at such other place as Lender may designate in writing.

VARIABLE INTEREST RATE. The interest rate on this Note is subject to change from time to time based on changes in an independent index which is the The Prime Rate; index shall be adjusted from time to time, as reported daily in the West Coast edition of the Wall Street Journal, (the "Index"). The Index is not necessarily the lowest rate charged by Lender on its loans. If the Index becomes unavailable during the term of this loan, Lender may designate a substitute index after notifying Borrower. Lender will tell Borrower the current Index rate upon Borrower's request. The interest rate change will not occur more often than each day. Borrower understands that Lender may make loans based on other rates as well. **The Index currently is 3.250% per annum.** Interest on the unpaid principal balance of this Note will be calculated as described in the "INTEREST CALCULATION METHOD" paragraph using a rate of 2.000 percentage points over the Index, adjusted if necessary for any minimum and maximum rate limitations described below, resulting in an initial rate of 7.000% per annum based on a year of 360 days. NOTICE: Under no circumstances will the interest rate on this Note be less than 7.000% per annum or more than the maximum rate allowed by applicable law.

INTEREST CALCULATION METHOD. **Interest on this Note is computed on a 365/360 basis; that is, by applying the ratio of the interest rate over a year of 360 days, multiplied by the outstanding principal balance, multiplied by the actual number of days the principal balance is outstanding. All interest payable under this Note is computed using this method.**

EFFECTIVE RATE. Borrower agrees to an effective rate of interest that is the rate specified in this Note plus any additional rate resulting from any other charges in the nature of interest paid or to be paid in connection with this Note.

PREPAYMENT. Borrower agrees not to send Lender payments marked "paid in full", "without recourse", or similar language. If Borrower sends such a payment, Lender may accept it without losing any of Lender's rights under this Note, and Borrower will remain obligated to pay any further amount owed to Lender. All written communications concerning disputed amounts, including any check or other payment instrument that indicates that the payment constitutes "payment in full" of the amount owed or that is tendered with other conditions or limitations or as full satisfaction of a disputed amount must be mailed or delivered to: Metro Phoenix Bank, Main Office, 4686 E. Van Buren Street, Ste #150, Phoenix, AZ 85008.

LATE CHARGE. If a payment is 10 days or more late, Borrower will be charged **5.000% of the regularly scheduled payment or $50.00, whichever is greater.**

INTEREST AFTER DEFAULT. Upon default, including failure to pay upon final maturity, the interest rate on this Note shall be increased by adding an additional 5.000 percentage point margin ("Default Rate Margin"). The Default Rate Margin shall also apply to each succeeding interest rate change that would have applied had there been no default. However, in no event will the interest rate exceed the maximum interest rate limitations under applicable law.

DEFAULT. Each of the following shall constitute an event of default ("Event of Default") under this Note:

Payment Default. Borrower fails to make any payment when due under this Note.

Other Default. Borrower fails to comply with any other term, obligation, covenant or condition contained in this Note or in any of the related documents.

Default in Favor of Third Parties. Borrower defaults under any loan, extension of credit, security agreement, purchase or sales agreement, or any other agreement, in favor of any other creditor or person that may materially affect any of Borrower's property or Borrower's ability to repay this Note or perform Borrower's obligations under this Note or any of the related documents.

False Statements. Any representation or statement made by Borrower to Lender is false in any material respect.

Death or Insolvency. The dissolution of Borrower (regardless of whether election to continue is made), any member withdraws from Borrower, or any other termination of Borrower's existence as a going business or the death of any member, the insolvency of Borrower, the appointment of a receiver for any part of Borrower's property, any assignment for the benefit of creditors, any type of creditor workout, or the commencement of any proceeding under any bankruptcy or insolvency laws by or against Borrower.

Creditor or Forfeiture Proceedings. Commencement of foreclosure or forfeiture proceedings, whether by judicial proceeding, self-help, repossession or any other method, by any creditor of Borrower or by any governmental agency against any collateral securing the loan.

Events Affecting Guarantor. Any of the preceding events occurs with respect to any guarantor, endorser, surety, or accommodation party of any of the indebtedness or any guarantor, endorser, surety, or accommodation party dies or becomes incompetent, or revokes or disputes the validity of, or liability under, any guaranty of the indebtedness evidenced by this Note.

Insecurity. Lender in good faith believes itself insecure.

Cure Provisions. If any default, other than a default in payment is curable and if Borrower has not been given a notice of a breach of the same provision of this Note within the preceding twelve (12) months, it may be cured if Borrower, after Lender sends written notice to Borrower demanding cure of such default: (1) cures the default within ten (10) days; or (2) if the cure requires more than ten (10) days, immediately initiates steps which Lender deems in Lender's sole discretion to be sufficient to cure the default and thereafter continues and completes all reasonable and necessary steps sufficient to produce compliance as soon as reasonably practical.

LENDER'S RIGHTS. If any payment is not made when due under this Note or any other event of default shall occur under any other agreement between Lender and Borrower, Lender may declare the entire unpaid principal balance under this Note and all accrued unpaid interest immediately due, and then Borrower will pay that amount.

ATTORNEYS' FEES; EXPENSES. Lender may hire or pay someone else to help collect this Note if Borrower does not pay. Borrower will pay Lender that amount. This includes, subject to any limits under applicable law, Lender's attorneys' fees and Lender's legal expenses, whether or not there is a lawsuit, including attorneys' fees, expenses for bankruptcy proceedings (including efforts to modify or vacate any automatic stay or injunction), and appeals. However, Borrower will only pay attorneys' fees of an attorney not Lender's salaried employee, to whom the matter is referred after Borrower's default. If not prohibited by applicable law, Borrower also will pay any court costs, in addition to all other sums provided by law.

JURY WAIVER. **Lender and Borrower hereby waive the right to any jury trial in any action, proceeding, or counterclaim brought by either Lender or Borrower against the other.**

GOVERNING LAW. **This Note will be governed by federal law applicable to Lender and, to the extent not preempted by federal law, the laws of the State of Arizona without regard to its conflicts of law provisions. This Note has been accepted by Lender in the State of Arizona.**

COLLATERAL. Borrower acknowledges this Note is secured by A Deed of Trust dated February 11, 2011 on property located at: 5820 W. State Ave., Glendale, AZ 85301.

LINE OF CREDIT. This Note evidences a revolving line of credit. Advances under this Note may be requested orally by Borrower or as provided in this paragraph. All oral requests shall be confirmed in writing on the day of the request. All communications, instructions, or directions by telephone or otherwise to Lender are to be directed to Lender's office shown above. The following person or persons are authorized to request advances and authorize payments under the line of credit until Lender receives from Borrower, at Lender's address shown above, written notice of revocation of such authority: **David S. Schweikert, Manager of Sheridan Equities, LLC; David S. Schweikert, Individually; and Joyce R. Schweikert, Individually.** Borrower agrees to be liable for all sums either: (A) advanced in accordance with the instructions of an authorized

MPB_0515

Loan No: [redacted] PROMISSORY NOTE (Continued) Page 2

person or (B) credited to any of Borrower's accounts with Lender. The unpaid principal balance owing on this Note at any time may be evidenced by endorsements on this Note or by Lender's internal records, including daily computer print-outs.

SUCCESSOR INTERESTS. The terms of this Note shall be binding upon Borrower, and upon Borrower's heirs, personal representatives, successors and assigns, and shall inure to the benefit of Lender and its successors and assigns.

GENERAL PROVISIONS. Lender may delay or forgo enforcing any of its rights or remedies under this Note without losing them. Each Borrower understands and agrees that, with or without notice to Borrower, Lender may with respect to any other Borrower: (a) make one or more additional secured or unsecured loans or otherwise extend additional credit; (b) alter, compromise, renew, extend, accelerate, or otherwise change one or more times the time for payment or other terms of any indebtedness, including increases and decreases of the rate of interest on the indebtedness; (c) exchange, enforce, waive, subordinate, fail or decide not to perfect, and release any security, with or without the substitution of new collateral; (d) apply such security and direct the order or manner of sale thereof, including without limitation, any non-judicial sale permitted by the terms of the controlling security agreements, as Lender in its discretion may determine; (e) release, substitute, agree not to sue, or deal with any one or more of Borrower's sureties, endorsers, or other guarantors on any terms or in any manner Lender may choose; and (f) determine how, when and what application of payments and credits shall be made on any other indebtedness owing by such other Borrower. Borrower and any other person who signs, guarantees or endorses this Note, to the extent allowed by law, waive presentment, demand for payment, and notice of dishonor. Upon any change in the terms of this Note, and unless otherwise expressly stated in writing, no party who signs this Note, whether as maker, guarantor, accommodation maker or endorser, shall be released from liability. All such parties agree that Lender may renew or extend (repeatedly and for any length of time) this loan or release any party or guarantor or collateral; or impair, fail to realize upon or perfect Lender's security interest in the collateral; and take any other action deemed necessary by Lender without the consent of or notice to anyone. All such parties also agree that Lender may modify this loan without the consent of or notice to anyone other than the party with whom the modification is made. The obligations under this Note are joint and several.

PRIOR TO SIGNING THIS NOTE, EACH BORROWER READ AND UNDERSTOOD ALL THE PROVISIONS OF THIS NOTE, INCLUDING THE VARIABLE INTEREST RATE PROVISIONS. EACH BORROWER AGREES TO THE TERMS OF THE NOTE.

BORROWER ACKNOWLEDGES RECEIPT OF A COMPLETED COPY OF THIS PROMISSORY NOTE.

BORROWER:

SHERIDAN EQUITIES, LLC

By: [redacted]
David S. Schweikert, Manager of Sheridan Equities, LLC

X [redacted]
David S. Schweikert, Individually

X [redacted]
Joyce R. Schweikert, Individually

LENDER:

METRO PHOENIX BANK

X [redacted]
Richard A. Strom, Vice President

MPB_0412

USINESS LOAN AGREEMEN

Principal	Loan Date	Maturity	Loan No	Call / Coll	Account	Officer	Initials
$154,000.00	02-14-2011	03-05-2012	[redacted]	131 / 13		RAS	

References in the boxes above are for Lender's use only and do not limit the applicability of this document to any particular loan or item.
Any item above containing "****" has been omitted due to text length limitations.

Borrower: Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert [redacted]

Lender: Metro Phoenix Bank
Main Office
4666 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

THIS BUSINESS LOAN AGREEMENT dated February 14, 2011, is made and executed between Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert ("Borrower") and Metro Phoenix Bank ("Lender") on the following terms and conditions. Borrower has received prior commercial loans from Lender or has applied to Lender for a commercial loan or loans or other financial accommodations, including those which may be described on any exhibit or schedule attached to this Agreement. Borrower understands and agrees that: (A) in granting, renewing, or extending any Loan, Lender is relying upon Borrower's representations, warranties, and agreements as set forth in this Agreement; (B) the granting, renewing, or extending of any Loan by Lender at all times shall be subject to Lender's sole judgment and discretion; and (C) all such Loans shall be and remain subject to the terms and conditions of this Agreement.

TERM. This Agreement shall be effective as of February 14, 2011, and shall continue in full force and effect until such time as all of Borrower's Loans in favor of Lender have been paid in full, including principal, interest, costs, expenses, attorneys' fees, and other fees and charges, or until such time as the parties may agree in writing to terminate this Agreement.

ADVANCE AUTHORITY. The following person or persons are authorized to request advances and authorize payments under the line of credit until Lender receives from Borrower, at Lender's address shown above, written notice of revocation of such authority: **David S. Schweikert, Manager of Sheridan Equities, LLC; David S. Schweikert, individually; and Joyce R. Schweikert, individually.**

CONDITIONS PRECEDENT TO EACH ADVANCE. Lender's obligation to make the initial Advance and each subsequent Advance under this Agreement shall be subject to the fulfillment to Lender's satisfaction of all of the conditions set forth in this Agreement and in the Related Documents.

Loan Documents. Borrower shall provide to Lender the following documents for the Loan: (1) the Note; (2) Security Agreements granting to Lender security interests in the Collateral; (3) financing statements and all other documents perfecting Lender's Security Interests; (4) evidence of insurance as required below; (5) together with all such Related Documents as Lender may require for the Loan; all in form and substance satisfactory to Lender and Lender's counsel.

Payment of Fees and Expenses. Borrower shall have paid to Lender all fees, charges, and other expenses which are then due and payable as specified in this Agreement or any Related Document.

Representations and Warranties. The representations and warranties set forth in this Agreement, in the Related Documents, and in any document or certificate delivered to Lender under this Agreement are true and correct.

No Event of Default. There shall not exist at the time of any Advance a condition which would constitute an Event of Default under this Agreement or under any Related Document.

MULTIPLE BORROWERS. This Agreement has been executed by multiple obligors who are referred to in this Agreement individually, collectively, and interchangeably as "Borrower." Unless specifically stated to the contrary, the word "Borrower" as used in this Agreement, including without limitation all representations, warranties and covenants, shall include all Borrowers. Borrower understands and agrees that, with or without notice to any one Borrower, Lender may: (A) make one or more additional secured or unsecured loans or otherwise extend additional credit with respect to any other Borrower; (B) with respect to any other Borrower alter, compromise, renew, extend, accelerate, or otherwise change one or more times the time for payment or other terms of any indebtedness, including increases and decreases of the rate of interest on the indebtedness; (C) exchange, enforce, waive, subordinate, fail or decide not to perfect, and release any security, with or without the substitution of new collateral; (D) release, substitute, agree not to sue, or deal with any one or more of Borrower's or any other Borrower's sureties, endorsers, or other guarantors on any terms or in any manner Lender may choose; (E) determine how, when and what application of payments and credits shall be made on any indebtedness; (F) apply such security and direct the order or manner of sale of any Collateral, including without limitation, any non-judicial sale permitted by the terms of the controlling security agreement or deed of trust, as Lender in its discretion may determine; (G) sell, transfer, assign or grant participations in all or any part of the Loan; (H) exercise or refrain from exercising any rights against Borrower or others, or otherwise act or refrain from acting; (I) settle or compromise any indebtedness; and (J) subordinate the payment of all or any part of any of Borrower's indebtedness to Lender to the payment of any liabilities which may be due Lender or others.

REPRESENTATIONS AND WARRANTIES. Borrower represents and warrants to Lender, as of the date of this Agreement, as of the date of each disbursement of loan proceeds, as of the date of any renewal, extension or modification of any Loan, and at all times any Indebtedness exists:

Organization. Sheridan Equities, LLC is a limited liability company which is, and at all times shall be, duly organized, validly existing, and in good standing under and by virtue of the laws of the State of Arizona. Sheridan Equities, LLC is duly authorized to transact business in all other states in which Sheridan Equities, LLC is doing business, having obtained all necessary filings, governmental licenses and approvals for each state in which Sheridan Equities, LLC is doing business. Specifically, Sheridan Equities, LLC is, and at all times shall be, duly qualified as a foreign limited liability company in all states in which the failure to so qualify would have a material adverse effect on its business or financial condition. Sheridan Equities, LLC has the full power and authority to own its properties and to transact the business in which it is presently engaged or presently proposes to engage. Sheridan Equities, LLC maintains an office at 15749 E. El Lago Blvd, Fountain Hills, AZ 85268. Unless Sheridan Equities, LLC has designated otherwise in writing, the principal office is the office at which Sheridan Equities, LLC keeps its books and records including its records concerning the Collateral. Sheridan Equities, LLC will notify Lender prior to any change in the location of Sheridan Equities, LLC's state of organization or any change in Sheridan Equities, LLC's name. Sheridan Equities, LLC shall do all things necessary to preserve and to keep in full force and effect its existence, rights and privileges, and shall comply with all regulations, rules, ordinances, statutes, orders and decrees of any governmental or quasi-governmental authority or court applicable to Sheridan Equities, LLC and Sheridan Equities, LLC's business activities.

David S. Schweikert maintains an office at 15749 E. El Lago Blvd, Fountain Hills, AZ 85268. Unless David S. Schweikert has designated otherwise in writing, the principal office is the office at which David S. Schweikert keeps its books and records including its records concerning the Collateral. David S. Schweikert will notify Lender prior to any change in the location of David S. Schweikert's principal office address or any change in David S. Schweikert's name. David S. Schweikert shall do all things necessary to comply with all regulations, rules, ordinances, statutes, orders and decrees of any governmental or quasi-governmental authority or court applicable to David S. Schweikert and David S. Schweikert's business activities.

Joyce R. Schweikert maintains an office at 15749 E. El Lago Blvd, Fountain Hills, AZ 85268. Unless Joyce R. Schweikert has designated otherwise in writing, the principal office is the office at which Joyce R. Schweikert keeps its books and records including its records concerning the Collateral. Joyce R. Schweikert will notify Lender prior to any change in the location of Joyce R. Schweikert's principal office address or any change in Joyce R. Schweikert's name. Joyce R. Schweikert shall do all things necessary to comply with all regulations, rules, ordinances, statutes, orders and decrees of any governmental or quasi-governmental authority or court applicable to Joyce R. Schweikert and Joyce R. Schweikert's business activities.

Assumed Business Names. Borrower has filed or recorded all documents or filings required by law relating to all assumed business names used by Borrower. Excluding the name of Borrower, the following is a complete list of all assumed business names under which Borrower does business: **None.**

Authorization. Borrower's execution, delivery, and performance of this Agreement and all the Related Documents do not conflict with, result in a violation of, or constitute a default under (1) any provision of (a) Borrower's articles of organization or membership agreement, or (b) any agreement or other instrument binding upon Borrower or (2) any law, governmental regulation, court decree, or order applicable to Borrower or to Borrower's properties.

Financial Information. Each of Borrower's financial statements supplied to Lender truly and completely disclosed Borrower's financial condition as of the date of the statement, and there has been no material adverse change in Borrower's financial condition subsequent to the date of the most recent financial statement supplied to Lender. Borrower has no material contingent obligations except as disclosed in such financial statements.

Legal Effect. This Agreement constitutes, and any instrument or agreement Borrower is required to give under this Agreement when delivered will constitute legal, valid, and binding obligations of Borrower enforceable against Borrower in accordance with their respective terms.

Properties. Except as contemplated by this Agreement or as previously disclosed in Borrower's financial statements or in writing to Lender and as accepted by Lender, and except for property tax liens for taxes not presently due and payable, Borrower owns and has good title to

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all of Borrower's properties free and clear of all Security interests, and has not executed any security documents or financing statements relating to such properties. All of Borrower's properties are titled in Borrower's legal name, and Borrower has not used or filed a financing statement under any other name for at least the last five (5) years.

Hazardous Substances. Except as disclosed to and acknowledged by Lender in writing, Borrower represents and warrants that: (1) During the period of Borrower's ownership of the Collateral, there has been no use, generation, manufacture, storage, treatment, disposal, release or threatened release of any Hazardous Substance by any person on, under, about or from any of the Collateral. (2) Borrower has no knowledge of, or reason to believe that there has been (a) any breach or violation of any Environmental Laws; (b) any use, generation, manufacture, storage, treatment, disposal, release or threatened release of any Hazardous Substance on, under, about or from the Collateral by any prior owners or occupants of any of the Collateral; or (c) any actual or threatened litigation or claims of any kind by any person relating to such matters. (3) Neither Borrower nor any tenant, contractor, agent or other authorized user of any of the Collateral shall use, generate, manufacture, store, treat, dispose of or release any Hazardous Substance on, under, about or from any of the Collateral; and any such activity shall be conducted in compliance with all applicable federal, state, and local laws, regulations, and ordinances, including without limitation all Environmental Laws. Borrower authorizes Lender and its agents to enter upon the Collateral to make such inspections and tests as Lender may deem appropriate to determine compliance of the Collateral with this section of the Agreement. Any inspections or tests made by Lender shall be at Borrower's expense and for Lender's purposes only and shall not be construed to create any responsibility or liability on the part of Lender to Borrower or to any other person. The representations and warranties contained herein are based on Borrower's due diligence in investigating the Collateral for hazardous waste and Hazardous Substances. Borrower hereby: (1) releases and waives any future claims against Lender for indemnity or contribution in the event Borrower becomes liable for cleanup or other costs under any such laws; and (2) agrees to indemnify, defend, and hold harmless Lender against any and all claims, losses, liabilities, damages, penalties, and expenses which Lender may directly or indirectly sustain or suffer resulting from a breach of this section of the Agreement or as a consequence of any use, generation, manufacture, storage, disposal, release or threatened release of a hazardous waste or substance on the Collateral. The provisions of this section of the Agreement, including the obligation to indemnify and defend, shall survive the payment of the indebtedness and the termination, expiration or satisfaction of this Agreement and shall not be affected by Lender's acquisition of any interest in any of the Collateral, whether by foreclosure or otherwise.

Litigation and Claims. No litigation, claim, investigation, administrative proceeding or similar action (including those for unpaid taxes) against Borrower is pending or threatened, and no other event has occurred which may materially adversely affect Borrower's financial condition or properties, other than litigation, claims, or other events, if any, that have been disclosed to and acknowledged by Lender in writing.

Taxes. To the best of Borrower's knowledge, all of Borrower's tax returns and reports that are or were required to be filed, have been filed, and all taxes, assessments and other governmental charges have been paid in full, except those presently being or to be contested by Borrower in good faith in the ordinary course of business and for which adequate reserves have been provided.

Lien Priority. Unless otherwise previously disclosed to Lender in writing, Borrower has not entered into or granted any Security Agreements, or permitted the filing or attachment of any Security interests on or affecting any of the Collateral directly or indirectly securing repayment of Borrower's Loan and Note, that would be prior or that may in any way be superior to Lender's Security interests and rights in and to such Collateral.

Binding Effect. This Agreement, the Note, all Security Agreements (if any), and all Related Documents are binding upon the signers thereof, as well as upon their successors, representatives and assigns, and are legally enforceable in accordance with their respective terms.

AFFIRMATIVE COVENANTS. Borrower covenants and agrees with Lender that, so long as this Agreement remains in effect, Borrower will:

Notices of Claims and Litigation. Promptly inform Lender in writing of: (1) all material adverse changes in Borrower's financial condition, and (2) all existing and all threatened litigation, claims, investigations, administrative proceedings or similar actions affecting Borrower or any Guarantor which could materially affect the financial condition of Borrower or the financial condition of any Guarantor.

Financial Records. Maintain its books and records in accordance with GAAP, applied on a consistent basis, and permit Lender to examine and audit Borrower's books and records at all reasonable times.

Financial Statements. Furnish Lender with such financial statements and other related information at such frequencies and in such detail as Lender may reasonably request.

Additional Information. Furnish such additional information and statements, as Lender may request from time to time.

Insurance. Maintain fire and other risk insurance, public liability insurance, and such other insurance as Lender may require with respect to Borrower's properties and operations, in form, amounts, coverages and with insurance companies acceptable to Lender. Borrower, upon request of Lender, will deliver to Lender from time to time the policies or certificates of insurance in form satisfactory to Lender, including stipulations that coverages will not be cancelled or diminished without at least ten (10) days prior written notice to Lender. Each insurance policy also shall include an endorsement providing that coverage in favor of Lender will not be impaired in any way by any act, omission or default of Borrower or any other person. In connection with all policies covering assets in which Lender holds or is offered a security interest for the Loans, Borrower will provide Lender with such lender's loss payable or other endorsements as Lender may require.

Insurance Reports. Furnish to Lender, upon request of Lender, reports on each existing insurance policy showing such information as Lender may reasonably request, including without limitation the following: (1) the name of the insurer; (2) the risks insured; (3) the amount of the policy; (4) the properties insured; (5) the then current property values on the basis of which insurance has been obtained, and the manner of determining those values; and (6) the expiration date of the policy. In addition, upon request of Lender (however not more often than annually), Borrower will have an independent appraiser satisfactory to Lender determine, as applicable, the actual cash value or replacement cost of any Collateral. The cost of such appraisal shall be paid by Borrower.

Other Agreements. Comply with all terms and conditions of all other agreements, whether now or hereafter existing, between Borrower and any other party and notify Lender immediately in writing of any default in connection with any other such agreements.

Loan Proceeds. Use all Loan proceeds solely for Borrower's business operations, unless specifically consented to the contrary by Lender in writing.

Taxes, Charges and Liens. Pay and discharge when due all of its indebtedness and obligations, including without limitation all assessments, taxes, governmental charges, levies and liens, of every kind and nature, imposed upon Borrower or its properties, income, or profits, prior to the date on which penalties would attach, and all lawful claims that, if unpaid, might become a lien or charge upon any of Borrower's properties, income, or profits. Provided however, Borrower will not be required to pay and discharge any such assessment, tax, charge, levy, lien or claim so long as (1) the legality of the same shall be contested in good faith by appropriate proceedings, and (2) Borrower shall have established on Borrower's books adequate reserves with respect to such contested assessment, tax, charge, levy, lien, or claim in accordance with GAAP.

Performance. Perform and comply, in a timely manner, with all terms, conditions, and provisions set forth in this Agreement, in the Related Documents, and in all other instruments and agreements between Borrower and Lender. Borrower shall notify Lender immediately in writing of any default in connection with any agreement.

Operations. Maintain executive and management personnel with substantially the same qualifications and experience as the present executive and management personnel; provide written notice to Lender of any change in executive and management personnel; conduct its business affairs in a reasonable and prudent manner.

Environmental Studies. Promptly conduct and complete, at Borrower's expense, all such investigations, studies, samplings and testings as may be requested by Lender or any governmental authority relative to any substance, or any waste or by-product of any substance defined as toxic or a hazardous substance under applicable federal, state, or local law, rule, regulation, order or directive, at or affecting any property or any facility owned, leased or used by Borrower.

Compliance with Governmental Requirements. Comply with all laws, ordinances, and regulations, now or hereafter in effect, of all governmental authorities applicable to the conduct of Borrower's properties, businesses and operations, and to the use or occupancy of the Collateral, including without limitation, the Americans With Disabilities Act. Borrower may contest in good faith any such law, ordinance, or regulation and withhold compliance during any proceeding, including appropriate appeals, so long as Borrower has notified Lender in writing prior to doing so and so long as, in Lender's sole opinion, Lender's interests in the Collateral are not jeopardized. Lender may require Borrower to post adequate security or a surety bond, reasonably satisfactory to Lender, to protect Lender's interest.

Inspection. Permit employees or agents of Lender at any reasonable time to inspect any and all Collateral for the Loan or Loans and Borrower's other properties and to examine or audit Borrower's books, accounts, and records and to make copies and memoranda of Borrower's books, accounts, and records. If Borrower now or at any time hereafter maintains any records (including without limitation computer generated records and computer software programs for the generation of such records) in the possession of a third party,

Borrower, upon request of Lender, shall notify such party to permit Lender free access to such records at all reasonable times and to provide Lender with copies of any records it may request, all at Borrower's expense.

Environmental Compliance and Reports. Borrower shall comply in all respects with any and all Environmental Laws; not cause or permit to exist, as a result of an intentional or unintentional action or omission on Borrower's part or on the part of any third party, on property owned and/or occupied by Borrower, any environmental activity where damage may result on the environment, unless such environmental activity is pursuant to and in compliance with the conditions of a permit issued by the appropriate federal, state or local governmental authorities; shall furnish to Lender promptly and in any event within thirty (30) days after receipt thereof a copy of any notice, summons, lien, citation, directive, letter or other communication from any governmental agency or instrumentality concerning any intentional or unintentional action or omission on Borrower's part in connection with any environmental activity whether or not there is damage to the environment and/or other natural resources.

Additional Assurances. Make, execute and deliver to Lender such promissory notes, mortgages, deeds of trust, security agreements, assignments, financing statements, instruments, documents and other agreements as Lender or its attorneys may reasonably request to evidence and secure the Loans and to perfect all Security Interests.

Additional Affirmative Covenants. In addition to all affirmative covenants stated, comply with all requirements detailed in the Financial Statement Requirement addendum attached.

RECOVERY OF ADDITIONAL COSTS. If the imposition of or any change in any law, rule, regulation or guideline, or the interpretation or application of any thereof by any court or administrative or governmental authority (including any request or policy not having the force of law) shall impose, modify or make applicable any taxes (except federal, state or local income or franchise taxes imposed on Lender), reserve requirements, capital adequacy requirements or other obligations which would (A) increase the cost to Lender for extending or maintaining the credit facilities to which this Agreement relates, (B) reduce the amounts payable to Lender under this Agreement or the Related Documents, or (C) reduce the rate of return on Lender's capital as a consequence of Lender's obligations with respect to the credit facilities to which this Agreement relates, then Borrower agrees to pay Lender such additional amounts as will compensate Lender therefor, within five (5) days after Lender's written demand for such payment, which demand shall be accompanied by an explanation of such imposition or change and a calculation in reasonable detail of the additional amounts payable by Borrower, which explanation and calculations shall be conclusive in the absence of manifest error.

LENDER'S EXPENDITURES. If any action or proceeding is commenced that would materially affect Lender's interest in the Collateral or if Borrower fails to comply with any provision of this Agreement or any Related Documents, including but not limited to Borrower's failure to discharge or pay when due any amounts Borrower is required to discharge or pay under this Agreement or any Related Documents, Lender on Borrower's behalf may (but shall not be obligated to) take any action that Lender deems appropriate, to the extent permitted by applicable law, including but not limited to discharging or paying all taxes, liens, security interests, encumbrances and other claims, at any time levied or placed on any Collateral and paying all costs for insuring, maintaining and preserving any Collateral. All such expenditures incurred or paid by Lender for such purposes will then bear interest at the rate charged under the Note from the date incurred or paid by Lender to the date of repayment by Borrower. All such expenses will become a part of the Indebtedness and, at Lender's option, will (A) be payable on demand; (B) be added to the balance of the Note and be apportioned among and be payable with any installment payments to become due during either (1) the term of any applicable insurance policy; or (2) the remaining term of the Note; or (C) be treated as a balloon payment which will be due and payable at the Note's maturity.

NEGATIVE COVENANTS. Borrower covenants and agrees with Lender that while this Agreement is in effect, Borrower shall not, without the prior written consent of Lender:

Indebtedness and Liens. (1) Except for trade debt incurred in the normal course of business and indebtedness to Lender contemplated by this Agreement, create, incur or assume indebtedness for borrowed money, including capital leases, (2) sell, transfer, mortgage, assign, pledge, lease, grant a security interest in, or encumber any of Borrower's assets (except as allowed as Permitted Liens), or (3) sell with recourse any of Borrower's accounts, except to Lender.

Continuity of Operations. (1) Engage in any business activities substantially different than those in which Borrower is presently engaged, (2) cease operations, liquidate, merge, transfer, acquire or consolidate with any other entity, change its name, dissolve or transfer or sell Collateral out of the ordinary course of business, or (3) make any distribution with respect to any capital account, whether by reduction of capital or otherwise.

Loans, Acquisitions and Guaranties. (1) Loan, invest in or advance money or assets to any other person, enterprise or entity, (2) purchase, create or acquire any interest in any other enterprise or entity, or (3) incur any obligation as surety or guarantor other than in the ordinary course of business.

Agreements. Enter into any agreement containing any provisions which would be violated or breached by the performance of Borrower's obligations under this Agreement or in connection herewith.

CESSATION OF ADVANCES. If Lender has made any commitment to make any Loan to Borrower, whether under this Agreement or under any other agreement, Lender shall have no obligation to make Loan Advances or to disburse Loan proceeds if: (A) Borrower or any Guarantor is in default under the terms of this Agreement or any of the Related Documents or any other agreement that Borrower or any Guarantor has with Lender; (B) Borrower or any Guarantor dies, becomes incompetent or becomes insolvent, files a petition in bankruptcy or similar proceedings, or is adjudged a bankrupt; (C) there occurs a material adverse change in Borrower's financial condition, in the financial condition of any Guarantor, or in the value of any Collateral securing any Loan; or (D) any Guarantor seeks, claims or otherwise attempts to limit, modify or revoke such Guarantor's guaranty of the Loan or any other loan with Lender; or (E) Lender in good faith deems itself insecure, even though no Event of Default shall have occurred.

RIGHT OF SETOFF. To the extent permitted by applicable law, Lender reserves a right of setoff in all Borrower's accounts with Lender (whether checking, savings, or some other account). This includes all accounts Borrower holds jointly with someone else and all accounts Borrower may open in the future. However, this does not include any IRA or Keogh accounts, or any trust accounts for which setoff would be prohibited by law. Borrower authorizes Lender, to the extent permitted by applicable law, to charge or setoff all sums owing on the Indebtedness against any and all such accounts, and, at Lender's option, to administratively freeze all such accounts to allow Lender to protect Lender's charge and setoff rights provided in this paragraph.

DEFAULT. Each of the following shall constitute an Event of Default under this Agreement:

Payment Default. Borrower fails to make any payment when due under the Loan.

Other Defaults. Borrower fails to comply with or to perform any other term, obligation, covenant or condition contained in this Agreement or in any of the Related Documents or to comply with or to perform any term, obligation, covenant or condition contained in any other agreement between Lender and Borrower.

Default in Favor of Third Parties. Borrower or any Grantor defaults under any loan, extension of credit, security agreement, purchase or sales agreement, or any other agreement, in favor of any other creditor or person that may materially affect any of Borrower's or any Grantor's property or Borrower's or any Grantor's ability to repay the Loans or perform their respective obligations under this Agreement or any of the Related Documents.

False Statements. Any warranty, representation or statement made or furnished to Lender by Borrower or on Borrower's behalf under this Agreement or the Related Documents is false or misleading in any material respect, either now or at the time made or furnished or becomes false or misleading at any time thereafter.

Death or Insolvency. The dissolution of Borrower (regardless of whether election to continue is made), any member withdraws from Borrower, or any other termination of Borrower's existence as a going business or the death of any member, the insolvency of Borrower, the appointment of a receiver for any part of Borrower's property, any assignment for the benefit of creditors, any type of creditor workout, or the commencement of any proceeding under any bankruptcy or insolvency laws by or against Borrower.

Defective Collateralization. This Agreement or any of the Related Documents ceases to be in full force and effect (including failure of any collateral document to create a valid and perfected security interest or lien) at any time and for any reason.

Creditor or Forfeiture Proceedings. Commencement of foreclosure or forfeiture proceedings, whether by judicial proceeding, self help, repossession or any other method, by any creditor of Borrower or by any governmental agency against any collateral securing the Loan. This includes a garnishment of any of Borrower's accounts, including deposit accounts, with Lender. However, this Event of Default shall not apply if there is a good faith dispute by Borrower as to the validity or reasonableness of the claim which is the basis of the creditor or forfeiture proceeding and if Borrower gives Lender written notice of the creditor or forfeiture proceeding and deposits with Lender monies or a surety bond for the creditor or forfeiture proceeding, in an amount determined by Lender, in its sole discretion, as being an adequate reserve or bond for the dispute.

Events Affecting Guarantor. Any of the preceding events occurs with respect to any Guarantor of any of the Indebtedness or any Guarantor dies or becomes incompetent, or revokes or disputes the validity of, or liability under, any Guaranty of the Indebtedness.

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Adverse Change. A material adverse change occurs in Borrower's financial condition, or Lender believes the prospect of payment or performance of the Loan is impaired.

Insecurity. Lender in good faith believes itself insecure.

Right to Cure. If any default, other than a default on indebtedness, is curable and if Borrower or Grantor, as the case may be, has not been given a notice of a similar default within the preceding twelve (12) months, it may be cured if Borrower or Grantor, as the case may be, after Lender sends written notice to Borrower or Grantor, as the case may be, demanding cure of such default: (1) cure the default within ten (10) days; or (2) if the cure requires more than ten (10) days, immediately initiate steps which Lender deems in Lender's sole discretion to be sufficient to cure the default and thereafter continue and complete all reasonable and necessary steps sufficient to produce compliance as soon as reasonably practical.

EFFECT OF AN EVENT OF DEFAULT. If any Event of Default shall occur, except where otherwise provided in this Agreement or the Related Documents, all commitments and obligations of Lender under this Agreement or the Related Documents or any other agreement immediately will terminate (including any obligation to make further Loan Advances or disbursements), and, at Lender's option, all indebtedness immediately will become due and payable, all without notice of any kind to Borrower, except that in the case of an Event of Default of the type described in the "Insolvency" subsection above, such acceleration shall be automatic and not optional. In addition, Lender shall have all the rights and remedies provided in the Related Documents or available at law, in equity, or otherwise. Except as may be prohibited by applicable law, all of Lender's rights and remedies shall be cumulative and may be exercised singularly or concurrently. Election by Lender to pursue any remedy shall not exclude pursuit of any other remedy, and an election to make expenditures or to take action to perform an obligation of Borrower or of any Grantor shall not affect Lender's right to declare a default and to exercise its rights and remedies.

APPRAISAL. If deemed necessary by Lender or if required by law, Lender shall have the right to order appraisal(s) of the Collateral Property from time to time from an appraiser selected by Lender, which appraisals shall comply with all federal and state standards for appraisals and otherwise shall be satisfactory to Lender in all material respects. Borrower agrees to pay the cost and expense for all appraisals and reviews thereof ordered by Lender pursuant to this paragraph.

LOAN-TO-VALUE. At all times during the term of the Loan, the unpaid principal balance of the Loan shall not exceed seventy percent (70%) of the value of the Collateral Property, as determined by Lender in Lender's sole discretion. If for any reason the loan-to-value ratio exceeds said percentage, then Borrower shall, upon Lender's demand, immediately reduce the unpaid principal balance of the Loan, or deposit sufficient sums with Lender to reduce the loan-to-value ratio to at or below said percentage.

FINANCIAL STATEMENT REQUIREMENTS. An exhibit, titled "Financial Statement Requirements," is attached to this Agreement and by this reference is made a part of this Agreement just as if all the provisions, terms and conditions of the Exhibit had been fully set forth in this Agreement.

MISCELLANEOUS PROVISIONS. The following miscellaneous provisions are a part of this Agreement:

Amendments. This Agreement, together with any Related Documents, constitutes the entire understanding and agreement of the parties as to the matters set forth in this Agreement. No alteration of or amendment to this Agreement shall be effective unless given in writing and signed by the party or parties sought to be charged or bound by the alteration or amendment.

Attorneys' Fees; Expenses. Borrower agrees to pay upon demand all of Lender's costs and expenses, including Lender's attorneys' fees and Lender's legal expenses, incurred in connection with the enforcement of this Agreement. Lender may hire or pay someone else to help enforce this Agreement, and Borrower shall pay the costs and expenses of such enforcement. Costs and expenses include Lender's attorneys' fees and legal expenses whether or not there is a lawsuit, including attorneys' fees and legal expenses for bankruptcy proceedings (including efforts to modify or vacate any automatic stay or injunction), appeals, and any anticipated post-judgment collection services. However, Borrower will only pay attorneys' fees of an attorney not Lender's salaried employee, to whom the matter is referred after Borrower's default. Borrower also shall pay all court costs and such additional fees as may be directed by the court.

Caption Headings. Caption headings in this Agreement are for convenience purposes only and are not to be used to interpret or define the provisions of this Agreement.

Consent to Loan Participation. Borrower agrees and consents to Lender's sale or transfer, whether now or later, of one or more participation interests in the Loan to one or more purchasers, whether related or unrelated to Lender. Lender may provide, without any limitation whatsoever, to any one or more purchasers, or potential purchasers, any information or knowledge Lender may have about Borrower or about any other matter relating to the Loan, and Borrower hereby waives any rights to privacy Borrower may have with respect to such matters. Borrower additionally waives any and all notices of sale of participation interests, as well as all notices of any repurchase of such participation interests. Borrower also agrees that the purchaser of any such participation interests will be considered as the absolute owners of such interests in the Loan and will have all the rights granted under the participation agreement or agreements governing the sale of such participation interests. Borrower further waives all rights of offset or counterclaim that it may have now or later against Lender or against any purchaser of such a participation interest and unconditionally agrees that either Lender or such purchaser may enforce Borrower's obligation under the Loan irrespective of the failure or insolvency of any holder of any interest in the Loan. Borrower further agrees that the purchaser of any such participation interests may enforce its interests irrespective of any personal claims or defenses that Borrower may have against Lender.

Governing Law. This Agreement will be governed by federal law applicable to Lender and, to the extent not preempted by federal law, the laws of the State of Arizona without regard to its conflicts of law provisions. This Agreement has been accepted by Lender in the State of Arizona.

Joint and Several Liability. All obligations of Borrower under this Agreement shall be joint and several, and all references to Borrower shall mean each and every Borrower. This means that each Borrower signing below is responsible for all obligations in this Agreement. Where any one or more of the parties is a corporation, partnership, limited liability company or similar entity, it is not necessary for Lender to inquire into the powers of any of the officers, directors, partners, members, or other agents acting or purporting to act on the entity's behalf, and any obligations made or created in reliance upon the professed exercise of such powers shall be guaranteed under this Agreement.

No Waiver by Lender. Lender shall not be deemed to have waived any rights under this Agreement unless such waiver is given in writing and signed by Lender. No delay or omission on the part of Lender in exercising any right shall operate as a waiver of such right or any other right. A waiver by Lender of a provision of this Agreement shall not prejudice or constitute a waiver of Lender's right otherwise to demand strict compliance with that provision or any other provision of this Agreement. No prior waiver by Lender, nor any course of dealing between Lender and Borrower, or between Lender and any Grantor, shall constitute a waiver of any of Lender's rights or of any of Borrower's or any Grantor's obligations as to any future transactions. Whenever the consent of Lender is required under this Agreement, the granting of such consent by Lender in any instance shall not constitute continuing consent to subsequent instances where such consent is required and in all cases such consent may be granted or withheld in the sole discretion of Lender.

Notices. Any notice required to be given under this Agreement shall be given in writing, and shall be effective when actually delivered, when actually received by telefacsimile (unless otherwise required by law), when deposited with a nationally recognized overnight courier, or, if mailed, when deposited in the United States mail, as first class, certified or registered mail postage prepaid, directed to the addresses shown near the beginning of this Agreement. Any party may change its address for notices under this Agreement by giving formal written notice to the other parties, specifying that the purpose of the notice is to change the party's address. For notice purposes, Borrower agrees to keep Lender informed at all times of Borrower's current address. Unless otherwise provided or required by law, if there is more than one Borrower, any notice given by Lender to any Borrower is deemed to be notice given to all Borrowers.

Severability. If a court of competent jurisdiction finds any provision of this Agreement to be illegal, invalid, or unenforceable as to any person or circumstance, that finding shall not make the offending provision illegal, invalid, or unenforceable as to any other person or circumstance. If feasible, the offending provision shall be considered modified so that it becomes legal, valid and enforceable. If the offending provision cannot be so modified, it shall be considered deleted from this Agreement. Unless otherwise required by law, the illegality, invalidity, or unenforceability of any provision of this Agreement shall not affect the legality, validity or enforceability of any other provision of this Agreement.

Successors and Assigns. All covenants and agreements by or on behalf of Borrower contained in this Agreement or any Related Documents shall bind Borrower's successors and assigns and shall inure to the benefit of Lender and its successors and assigns. Borrower shall not, however, have the right to assign Borrower's rights under this Agreement or any interest therein, without the prior written consent of Lender.

Survival of Representations and Warranties. Borrower understands and agrees that in extending Loan Advances, Lender is relying on all representations, warranties, and covenants made by Borrower in this Agreement or in any certificate or other instrument delivered by Borrower to Lender under this Agreement or the Related Documents. Borrower further agrees that regardless of any investigation made by Lender, all such representations, warranties and covenants will survive the extension of Loan Advances and delivery to Lender of the Related Documents, shall be continuing in nature, shall be deemed made and related by Borrower at the time each Loan Advance is made,

MPB 0416

and shall remain in full force and effect until such time as Borrower's indebtedness shall be paid in full, or until this Agreement shall be terminated in the manner provided above, whichever is the last to occur.

Time is of the Essence. Time is of the essence in the performance of this Agreement.

Waive Jury. All parties to this Agreement hereby waive the right to any jury trial in any action, proceeding, or counterclaim brought by any party against any other party.

DEFINITIONS. The following capitalized words and terms shall have the following meanings when used in this Agreement. Unless specifically stated to the contrary, all references to dollar amounts shall mean amounts in lawful money of the United States of America. Words and terms used in the singular shall include the plural, and the plural shall include the singular, as the context may require. Words and terms not otherwise defined in this Agreement shall have the meanings attributed to such terms in the Uniform Commercial Code. Accounting words and terms not otherwise defined in this Agreement shall have the meanings assigned to them in accordance with generally accepted accounting principles as in effect on the date of this Agreement:

Advance. The word "Advance" means a disbursement of Loan funds made, or to be made, to Borrower or on Borrower's behalf on a line of credit or multiple advance basis under the terms and conditions of this Agreement.

Agreement. The word "Agreement" means this Business Loan Agreement, as this Business Loan Agreement may be amended or modified from time to time, together with all exhibits and schedules attached to this Business Loan Agreement from time to time.

Borrower. The word "Borrower" means Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert and includes all co-signers and co-makers signing the Note and all their successors and assigns.

Collateral. The word "Collateral" means all property and assets granted as collateral security for a Loan, whether real or personal property, whether granted directly or indirectly, whether granted now or in the future, and whether granted in the form of a security interest, mortgage, collateral mortgage, deed of trust, assignment, pledge, crop pledge, chattel mortgage, collateral chattel mortgage, chattel trust, factor's lien, equipment trust, conditional sale, trust receipt, lien, charge, lien or title retention contract, lease or consignment intended as a security device, or any other security or lien interest whatsoever, whether created by law, contract, or otherwise.

Environmental Laws. The words "Environmental Laws" mean any and all state, federal and local statutes, regulations and ordinances relating to the protection of human health or the environment, including without limitation the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended, 42 U.S.C. Section 9601, et seq. ("CERCLA"), the Superfund Amendments and Reauthorization Act of 1986, Pub. L. No. 99-499 ("SARA"), the Hazardous Materials Transportation Act, 49 U.S.C. Section 1801, et seq., the Resource Conservation and Recovery Act, 42 U.S.C. Section 6901, et seq., or other applicable state or federal laws, rules, or regulations adopted pursuant thereto.

Event of Default. The words "Event of Default" mean any of the events of default set forth in this Agreement in the default section of this Agreement.

GAAP. The word "GAAP" means generally accepted accounting principles.

Grantor. The word "Grantor" means each and all of the persons or entities granting a Security Interest in any Collateral for the Loan, including without limitation all Borrowers granting such a Security Interest.

Guarantor. The word "Guarantor" means any guarantor, surety, or accommodation party of any or all of the Loan.

Guaranty. The word "Guaranty" means the guaranty from Guarantor to Lender, including without limitation a guaranty of all or part of the Note.

Hazardous Substances. The words "Hazardous Substances" mean materials that, because of their quantity, concentration or physical, chemical or infectious characteristics, may cause or pose a present or potential hazard to human health or the environment when improperly used, treated, stored, disposed of, generated, manufactured, transported or otherwise handled. The words "Hazardous Substances" are used in their very broadest sense and include without limitation any and all hazardous or toxic substances, materials or wastes as defined by or listed under the Environmental Laws. The term "Hazardous Substances" also includes, without limitation, petroleum and petroleum by-products or any fraction thereof and asbestos.

Indebtedness. The word "Indebtedness" means the indebtedness evidenced by the Note or Related Documents, including all principal and interest together with all other indebtedness and costs and expenses for which Borrower is responsible under this Agreement or under any of the Related Documents.

Lender. The word "Lender" means Metro Phoenix Bank, its successors and assigns.

Loan. The word "Loan" means any and all loans and financial accommodations from Lender to Borrower whether now or hereafter existing, and however evidenced, including without limitation those loans and financial accommodations described herein or described on any exhibit or schedule attached to this Agreement from time to time.

Note. The word "Note" means the Note executed by Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert in the principal amount of $154,000.00 dated February 14, 2011, together with all renewals of, extensions of, modifications of, refinancings of, consolidations of, and substitutions for the note of credit agreement.

Permitted Liens. The words "Permitted Liens" mean: (1) liens and security interests securing indebtedness owed by Borrower to Lender; (2) liens for taxes, assessments, or similar charges either not yet due or being contested in good faith; (3) liens of materialmen, mechanics, warehousemen, or carriers, or other like liens arising in the ordinary course of business and securing obligations which are not yet delinquent; (4) purchase money liens or purchase money security interests upon or in any property acquired or held by Borrower in the ordinary course of business to secure indebtedness outstanding on the date of this Agreement or permitted to be incurred under the paragraph of this Agreement titled "Indebtedness and Liens"; (5) liens and security interests which, as of the date of this Agreement, have been disclosed to and approved by the Lender in writing; and (6) those liens and security interests which in the aggregate constitute an immaterial and insignificant monetary amount with respect to the net value of Borrower's assets.

Related Documents. The words "Related Documents" mean all promissory notes, credit agreements, loan agreements, environmental agreements, guaranties, security agreements, mortgages, deeds of trust, security deeds, collateral mortgages, and all other instruments, agreements and documents, whether now or hereafter existing, executed in connection with the Loan.

Security Agreement. The words "Security Agreement" mean and include without limitation any agreements, promises, covenants, arrangements, understandings or other agreements, whether created by law, contract, or otherwise, evidencing, governing, representing, or creating a Security Interest.

Security Interest. The words "Security Interest" mean, without limitation, any and all types of collateral security, present and future, whether in the form of a lien, charge, encumbrance, mortgage, deed of trust, security deed, assignment, pledge, crop pledge, chattel mortgage, collateral chattel mortgage, chattel trust, factor's lien, equipment trust, conditional sale, trust receipt, lien or title retention contract, lease or consignment intended as a security device, or any other security or lien interest whatsoever whether created by law, contract, or otherwise.

BORROWER ACKNOWLEDGES HAVING READ ALL THE PROVISIONS OF THIS BUSINESS LOAN AGREEMENT AND BORROWER AGREES TO ITS TERMS. THIS BUSINESS LOAN AGREEMENT IS DATED FEBRUARY 14, 2011.

BORROWER:

SHERIDAN EQUITIES, LLC

By: [redacted]
David S. Schweikert, Manager of Sheridan Equities, LLC

X [redacted]
David S. Schweikert, Individually

X [redacted]
Joyce R. Schweikert, Individually

MPB_0417

LENDER:

METRO PHOENIX BANK

By: [redacted]
Richard A. Strom, Vice President

MPB_0205

OFFICIAL RECORDS OF
MARICOPA COUNTY RECORDER
HELEN PURCELL
20110161378 03/24/2011 08:14
10015378-11-4-3-
ELECTRONIC RECORDING

SECURITY TITLE AGENCY

RECORDATION REQUESTED BY:
Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

WHEN RECORDED MAIL TO:
Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

2/3 [redacted] FOR RECORDER'S USE ONLY

DEED OF TRUST

THIS DEED OF TRUST is dated February 14, 2011, among Sheridan Equities, LLC, whose address is 15749 E. El Lago Blvd, Fountain Hills, AZ 85268; David S. Schweikert, and Joyce R. Schweikert, whose address is [redacted] ("Trustor"); Metro Phoenix Bank, chartered in the United States of America under the laws of the State of Arizona, whose address is Main Office, 4686 E. Van Buren Street, Ste #150, Phoenix, AZ 85008 (referred to below sometimes as "Lender" and sometimes as "Beneficiary"); and Metro Phoenix Bank, organized or registered in the United States of America under the laws of the State of Arizona, whose address is 4686 E. Van Buren Rd., Ste #150 & #190, Phoenix, AZ 85008 (referred to below as "Trustee").

CONVEYANCE AND GRANT. For valuable consideration, Trustor conveys to Trustee in trust, with power of sale, for the benefit of Lender as Beneficiary, all of Trustor's right, title, and interest in and to the following described real property, together with all existing or subsequently erected or affixed buildings, improvements and fixtures; all easements, rights of way, and appurtenances; all water and water rights flowing through, belonging or in anyway appertaining to the Real Property, and all of Trustor's water rights that are personal property under Arizona law, including without limitation all type 2 nonirrigation grandfathered rights (if applicable), all irrigation rights, all ditch rights, rights to irrigation district stock, all contracts for effluent, all contracts for Central Arizona Project water, and all other contractual rights to water, and together with all rights (but none of the duties) of Trustor as declarant under any presently recorded declaration of covenants, conditions and restrictions affecting real property; and all other rights, royalties, and profits relating to the real property, including without limitation all minerals, oil, gas, geothermal and similar matters, **(the "Real Property") located in Maricopa County, State of Arizona:**

Lot 5, Northfield, according to the plat of record in the office of the county recorder of Maricopa County, Arizona, in Book 30 of Maps, page 46.

The Real Property or its address is commonly known as 5920 W. State Ave., Glendale, AZ 85301. The Real Property tax identification number is 143-43-128.

REVOLVING LINE OF CREDIT. This Deed of Trust secures the Indebtedness including, without limitation, a revolving line of credit, which obligates Lender to make advances to Trustor so long as Trustor complies with all the terms of the Note.

Trustor presently assigns to Lender (also known as Beneficiary in this Deed of Trust) all of Trustor's right, title, and interest in and to all present and future leases of the Property and all Rents from the Property. In addition, Trustor grants to Lender a Uniform Commercial Code security interest in the Personal Property and Rents.

THIS DEED OF TRUST, INCLUDING THE ASSIGNMENT OF RENTS AND THE SECURITY INTEREST IN THE RENTS AND PERSONAL PROPERTY, IS GIVEN TO SECURE (A) PAYMENT OF THE INDEBTEDNESS AND (B) PERFORMANCE OF ANY AND ALL OBLIGATIONS UNDER THE NOTE, THE RELATED DOCUMENTS, AND THIS DEED OF TRUST. THIS DEED OF TRUST IS GIVEN AND ACCEPTED ON THE FOLLOWING TERMS:

PAYMENT AND PERFORMANCE. Except as otherwise provided in this Deed of Trust, Trustor shall pay to Lender all amounts secured by this Deed of Trust as they become due, and shall strictly and in a timely manner perform all of Trustor's obligations under the Note, this Deed of Trust, and the Related Documents.

POSSESSION AND MAINTENANCE OF THE PROPERTY. Trustor agrees that Trustor's possession and use of the Property shall be governed by the following provisions:

Possession and Use. Until the occurrence of an Event of Default, Trustor may (1) remain in possession

MPB_0206

and control of the Property; (2) use, operate or manage the Property; and (3) collect the Rents from the Property.

Duty to Maintain. Trustor shall maintain the Property in tenantable condition and promptly perform all repairs, replacements, and maintenance necessary to preserve its value.

Compliance With Environmental Laws. Trustor represents and warrants to Lender that: (1) During the period of Trustor's ownership of the Property, there has been no use, generation, manufacture, storage, treatment, disposal, release or threatened release of any Hazardous Substance by any person on, under, about or from the Property; (2) Trustor has no knowledge of, or reason to believe that there has been, except as previously disclosed to and acknowledged by Lender in writing, (a) any breach or violation of any Environmental Laws, (b) any use, generation, manufacture, storage, treatment, disposal, release or threatened release of any Hazardous Substance on, under, about or from the Property by any prior owners or occupants of the Property, or (c) any actual or threatened litigation or claims of any kind by any person relating to such matters; and (3) Except as previously disclosed to and acknowledged by Lender in writing, (a) neither Trustor nor any tenant, contractor, agent or other authorized user of the Property shall use, generate, manufacture, store, treat, dispose of or release any Hazardous Substance on, under, about or from the Property; and (b) any such activity shall be conducted in compliance with all applicable federal, state, and local laws, regulations and ordinances, including without limitation all Environmental Laws. Trustor authorizes Lender and its agents to enter upon the Property to make such inspections and tests, at Trustor's expense, as Lender may deem appropriate to determine compliance of the Property with this section of the Deed of Trust. Beneficiary, at its option, but without obligation to do so, may correct any condition violating any applicable Environmental Law affecting the Property, and in doing so shall conclusively be deemed to be acting reasonably and for the purpose of protecting the value of its collateral, and all costs of correcting a condition or violation shall be payable to Beneficiary by Trustor as provided in the Expenditures by Lender section of this Deed of Trust. Any inspections or tests made by Lender shall be for Lender's purposes only and shall not be construed to create any responsibility or liability on the part of Lender to Trustor or to any other person. The representations and warranties contained herein are based on Trustor's due diligence in investigating the Property for Hazardous Substances. Trustor hereby (1) releases and waives any future claims against Lender for indemnity or contribution in the event Trustor becomes liable for cleanup or other costs under any such laws; and (2) agrees to indemnify, defend, and hold harmless Lender against any and all claims, losses, liabilities, damages, penalties, and expenses which Lender may directly or indirectly sustain or suffer resulting from a breach of this section of the Deed of Trust or as a consequence of any use, generation, manufacture, storage, disposal, release or threatened release occurring prior to Trustor's ownership or interest in the Property, whether or not the same was or should have been known to Trustor. The provisions of this section of the Deed of Trust, including the obligation to indemnify and defend, shall survive the payment of the indebtedness and the satisfaction and reconveyance of the lien of this Deed of Trust and shall not be affected by Lender's acquisition of any interest in the Property, whether by foreclosure or otherwise.

Nuisance, Waste. Trustor shall not cause, conduct or permit any nuisance nor commit, permit, or suffer any stripping of or waste on or to the Property or any portion of the Property. Without limiting the generality of the foregoing, Trustor will not remove, or grant to any other party the right to remove, any timber, minerals (including oil and gas), coal, clay, scoria, soil, gravel or rock products without Lender's prior written consent.

Removal of Improvements. Trustor shall not demolish or remove any Improvements from the Real Property without Lender's prior written consent. As a condition to the removal of any Improvements, Lender may require Trustor to make arrangements satisfactory to Lender to replace such Improvements with Improvements of at least equal value.

Lender's Right to Enter. Lender and Lender's agents and representatives may enter upon the Real Property at all reasonable times to attend to Lender's interests and to inspect the Real Property for purposes of Trustor's compliance with the terms and conditions of this Deed of Trust.

Compliance with Governmental Requirements. Trustor shall promptly comply with all laws, ordinances, and regulations, now or hereafter in effect, of all governmental authorities applicable to the use or occupancy of the Property, including without limitation, the Americans With Disabilities Act. Trustor may contest in good faith any such law, ordinance, or regulation and withhold compliance during any proceeding, including appropriate appeals, so long as Trustor has notified Lender in writing prior to doing so and so long as, in Lender's sole opinion, Lender's interests in the Property are not jeopardized. Lender may require Trustor to post adequate security or a surety bond, reasonably satisfactory to Lender, to protect Lender's interest.

Duty to Protect. Trustor agrees neither to abandon or leave unattended the Property. Trustor shall do all other acts, in addition to those acts set forth above in this section, which from the character and use of the Property are reasonably necessary to protect and preserve the Property.

DUE ON SALE - CONSENT BY LENDER. Lender may, at Lender's option, declare immediately due and payable all sums secured by this Deed of Trust upon the sale or transfer, without Lender's prior written consent, of all or any part of the Real Property, or any interest in the Real Property. A "sale or transfer" means the conveyance of Real Property or any right, title or interest in the Real Property; whether legal, beneficial or equitable; whether voluntary or involuntary; whether by outright sale, deed, installment sale contract, land contract, contract for deed, leasehold interest with a term greater than three (3) years, lease-option contract, or by sale, assignment, or transfer of any beneficial interest in or to any land trust holding title to the Real Property, or by any other method of conveyance of an interest in the Real Property. If any Trustor is a

MPB_0207

corporation, partnership or limited liability company, transfer also includes any change in ownership of more than twenty-five percent (25%) of the voting stock, partnership interests or limited liability company interests, as the case may be, of such Trustor. However, this option shall not be exercised by Lender if such exercise is prohibited by federal law or by Arizona law.

TAXES AND LIENS. The following provisions relating to the taxes and liens on the Property are part of this Deed of Trust:

Payment. Trustor shall pay when due (and in all events prior to delinquency) all taxes and assessments, including without limitation sales or use taxes in any state, local privilege or excise taxes based on gross revenues, special taxes, charges (including water and sewer), fines and impositions levied against Trustor or on account of the Property, and shall pay when due all claims for work done on or for services rendered or material furnished to the Property. Trustor shall maintain the Property free of all liens having priority over or equal to the interest of Lender under this Deed of Trust, except for the lien of taxes and assessments not due and except as otherwise provided in this Deed of Trust. Beneficiary shall have the right, but not the duty or obligation, to charge Trustor for any such taxes or assessments in advance of payment. In no event does exercise or non-exercise by Beneficiary of this right relieve Trustor from Trustor's obligation under this Deed of Trust or impose any liability whatsoever on Beneficiary.

Right to Contest. Trustor may withhold payment of any tax, assessment, or claim in connection with a good faith dispute over the obligation to pay, so long as Lender's interest in the Property is not jeopardized. If a lien arises or is filed as a result of nonpayment, Trustor shall within fifteen (15) days after the lien arises or, if a lien is filed, within fifteen (15) days after Trustor has notice of the filing, secure the discharge of the lien, or if requested by Lender, deposit with Lender cash or a sufficient corporate surety bond or other security satisfactory to Lender in an amount sufficient to discharge the lien plus any costs and attorneys' fees, or other charges that could accrue as a result of a foreclosure or sale under the lien. In any contest, Trustor shall defend itself and Lender and shall satisfy any adverse judgment before enforcement against the Property. Trustor shall name Lender as an additional obligee under any surety bond furnished in the contest proceedings.

Evidence of Payment. Trustor shall upon demand furnish to Lender satisfactory evidence of payment of the taxes or assessments and shall authorize the appropriate governmental official to deliver to Lender at any time a written statement of the taxes and assessments against the Property.

Notice of Construction. Trustor shall notify Lender at least fifteen (15) days before any work is commenced, any services are furnished, or any materials are supplied to the Property, if any mechanic's lien, materialmen's lien, or other lien could be asserted on account of the work, services, or materials. Trustor will upon request of Lender furnish to Lender advance assurances satisfactory to Lender that Trustor can and will pay the cost of such improvements.

PROPERTY DAMAGE INSURANCE. The following provisions relating to insuring the Property are a part of this Deed of Trust.

Maintenance of Insurance. Trustor shall procure and maintain policies of fire insurance with standard extended coverage endorsements on a replacement basis for the full insurable value covering all Improvements on the Real Property in an amount sufficient to avoid application of any coinsurance clause, and with a standard mortgagee clause in favor of Lender. Trustor shall also procure and maintain comprehensive general liability insurance in such coverage amounts as Lender may request with Trustee and Lender being named as additional insureds in such liability insurance policies. Additionally, Trustor shall maintain such other insurance, including but not limited to hazard, business interruption, and boiler insurance, as Lender may reasonably require. Policies shall be written in form, amounts, coverages and basis reasonably acceptable to Lender and issued by a company or companies reasonably acceptable to Lender. Trustor, upon request of Lender, will deliver to Lender from time to time the policies or certificates of insurance in form satisfactory to Lender, including stipulations that coverages will not be cancelled or diminished without at least ten (10) days prior written notice to Lender. Each insurance policy also shall include an endorsement providing that coverage in favor of Lender will not be impaired in any way by any act, omission or default of Trustor or any other person. Should the Real Property be located in an area designated by the Director of the Federal Emergency Management Agency as a special flood hazard area, Trustor agrees to obtain and maintain Federal Flood Insurance, if available, within 45 days after notice is given by Lender that the Property is located in a special flood hazard area, for the full unpaid principal balance of the loan and any prior liens on the property securing the loan, up to the maximum policy limits set under the National Flood Insurance Program, or as otherwise required by Lender, and to maintain such insurance for the term of the loan.

Application of Proceeds. Trustor shall promptly notify Lender of any loss or damage to the Property. Lender may make proof of loss if Trustor fails to do so within fifteen (15) days of the casualty. Whether or not Lender's security is impaired, Lender may, at Lender's election, receive and retain the proceeds of any insurance and apply the proceeds to the reduction of the Indebtedness, payment of any lien affecting the Property, or the restoration and repair of the Property. If Lender elects to apply the proceeds to restoration and repair, Trustor shall repair or replace the damaged or destroyed Improvements in a manner satisfactory to Lender. Lender shall, upon satisfactory proof of such expenditure, pay or reimburse Trustor from the proceeds for the reasonable cost of repair or restoration if Trustor is not in default under this Deed of Trust. Any proceeds which have not been disbursed within 180 days after their receipt and which Lender has not committed to the repair or restoration of the Property shall be used first to pay any amount owing to Lender under this Deed of Trust, then to pay accrued interest, and the remainder, if any, shall be applied to

MPB_0208

the principal balance of the Indebtedness. If Lender holds any proceeds after payment in full of the Indebtedness, such proceeds shall be paid to Trustor as Trustor's interests may appear.

Trustor's Report on Insurance. Upon request of Lender, however not more than once a year, Trustor shall furnish to Lender a report on each existing policy of insurance showing: (1) the name of the insurer; (2) the risks insured; (3) the amount of the policy; (4) the property insured, the then current replacement value of such property, and the manner of determining that value; and (5) the expiration date of the policy. Trustor shall, upon request of Lender, have an independent appraiser satisfactory to Lender determine the cash value replacement cost of the Property.

TAX AND INSURANCE RESERVES. Subject to any limitations and consistent with any requirements set by applicable law, Lender may require Trustor to maintain with Lender reserves for payment of annual taxes, assessments, and insurance premiums, which reserves shall be created by an initial deposit and subsequent monthly payments, or payments at such other interval as payments under the Note may be due, of a sum estimated by Lender to be sufficient to pay the total annual taxes, assessments, and insurance premiums Lender reasonably anticipates to be paid from these reserves. The reserve funds shall be held by Lender as a general deposit from Trustor, which Lender may satisfy by payment of the taxes, assessments, and insurance premiums required to be paid by Trustor as they become due. Lender shall have the right to draw upon the reserve funds to pay such items, and Lender shall not be required to determine the validity or accuracy of any item before paying it. Nothing in the Deed of Trust shall be construed as requiring Lender to advance other monies for such purposes, and Lender shall not incur any liability for anything it may do or omit to do with respect to the reserve account. Subject to any limitations set by applicable law, if the reserve funds disclose a shortage or deficiency, Trustor shall pay such shortage or deficiency as required by Lender. All amounts in the reserve account are hereby pledged to further secure the Indebtedness, and Lender is hereby authorized to withdraw and apply such amounts on the Indebtedness upon the occurrence of an Event of Default. Lender shall not be required to pay any interest or earnings on the reserve funds unless required by law or agreed to by Lender in writing. Lender does not hold the reserve funds in trust for Trustor, and Lender is not Trustor's agent for payment of the taxes and assessments required to be paid by Trustor.

LENDER'S EXPENDITURES. If any action or proceeding is commenced that would materially affect Lender's interest in the Property or if Trustor fails to comply with any provision of this Deed of Trust or any Related Documents, including but not limited to Trustor's failure to discharge or pay when due any amounts Trustor is required to discharge or pay under this Deed of Trust or any Related Documents, Lender on Trustor's behalf may (but shall not be obligated to) take any action that Lender deems appropriate, to the extent permitted by applicable law, including but not limited to discharging or paying all taxes, liens, security interests, encumbrances and other claims, at any time levied or placed on the Property and paying all costs for insuring, maintaining and preserving the Property. All such expenditures incurred or paid by Lender for such purposes will then bear interest at the rate charged under the Note from the date incurred or paid by Lender to the date of repayment by Trustor. All such expenses will become a part of the Indebtedness and, at Lender's option, will (A) be payable on demand; (B) be added to the balance of the Note and be apportioned among and be payable with any installment payments to become due during either (1) the term of any applicable insurance policy; or (2) the remaining term of the Note; or (C) be treated as a balloon payment which will be due and payable at the Note's maturity. The Deed of Trust also will secure payment of these amounts. Such right shall be in addition to all other rights and remedies to which Lender may be entitled upon Default and shall be exercisable by Lender to the extent permitted by applicable law.

WARRANTY; DEFENSE OF TITLE. The following provisions relating to ownership of the Property are a part of this Deed of Trust:

Title. Trustor warrants that: (a) Trustor holds good and marketable title of record to the Property in fee simple, free and clear of all liens and encumbrances other than those set forth in the Real Property description or in any title insurance policy, title report, or final title opinion issued in favor of, and accepted by, Lender, or have otherwise been previously disclosed to and accepted by Lender in writing in connection with this Deed of Trust, and (b) Trustor has the full right, power, and authority to execute and deliver this Deed of Trust to Lender.

Defense of Title. Subject to the exception in the paragraph above, Trustor warrants and will forever defend the title to the Property against the lawful claims of all persons. In the event any action or proceeding is commenced that questions Trustor's title or the interest of Trustee or Lender under this Deed of Trust, Trustor shall defend the action at Trustor's expense. Trustor may be the nominal party in such proceeding, but Lender shall be entitled to participate in the proceeding and to be represented in the proceeding by counsel of Lender's own choice, and Trustor will deliver, or cause to be delivered, to Lender such instruments as Lender may request from time to time to permit such participation.

Compliance With Laws. Trustor warrants that the Property and Trustor's use of the Property complies with all existing applicable laws, ordinances, and regulations of governmental authorities.

Survival of Representations and Warranties. All representations, warranties, and agreements made by Trustor in this Deed of Trust shall survive the execution and delivery of this Deed of Trust, shall be continuing in nature, and shall remain in full force and effect until such time as Trustor's indebtedness shall be paid in full.

CONDEMNATION. The following provisions relating to condemnation proceedings are a part of this Deed of Trust:

Proceedings. If any proceeding in condemnation is filed, Trustor shall promptly notify Lender in writing,

and Trustor shall promptly take such steps as may be necessary to defend the action and obtain the award. Trustor may be the nominal party in such proceeding, but Lender shall be entitled to participate in the proceeding and to be represented in the proceeding by counsel of its own choice, and Trustor will deliver or cause to be delivered to Lender such instruments and documentation as may be requested by Lender from time to time to permit such participation.

Application of Net Proceeds. If all or any part of the Property is condemned by eminent domain proceedings or by any proceeding or purchase in lieu of condemnation, Lender may at its election require that all or any portion of the net proceeds of the award be applied to the Indebtedness or the repair or restoration of the Property. The net proceeds of the award shall mean the award after payment of all reasonable costs, expenses, and attorneys' fees incurred by Trustee or Lender in connection with the condemnation.

IMPOSITION OF TAXES, FEES AND CHARGES BY GOVERNMENTAL AUTHORITIES. The following provisions relating to governmental taxes, fees and charges are a part of this Deed of Trust:

Current Taxes, Fees and Charges. Upon request by Lender, Trustor shall execute such documents in addition to this Deed of Trust and take whatever other action is requested by Lender to perfect and continue Lender's lien on the Real Property. Trustor shall reimburse Lender for all taxes, as described below, together with all expenses incurred in recording, perfecting or continuing this Deed of Trust, including without limitation all taxes, fees, documentary stamps, and other charges for recording or registering this Deed of Trust.

Taxes. The following shall constitute taxes to which this section applies: (1) a specific tax upon this type of Deed of Trust or upon all or any part of the Indebtedness secured by this Deed of Trust; (2) a specific tax on Trustor which Trustor is authorized or required to deduct from payments on the Indebtedness secured by this type of Deed of Trust; (3) a tax on this type of Deed of Trust chargeable against the Lender or the holder of the Note; and (4) a specific tax on all or any portion of the Indebtedness or on payments of principal and interest made by Trustor.

Subsequent Taxes. If any tax to which this section applies is enacted subsequent to the date of this Deed of Trust, this event shall have the same effect as an Event of Default, and Lender may exercise any or all of its available remedies for an Event of Default as provided below unless Trustor either (1) pays the tax before it becomes delinquent, or (2) contests the tax as provided above in the Taxes and Liens section and deposits with Lender cash or a sufficient corporate surety bond or other security satisfactory to Lender.

SECURITY AGREEMENT; FINANCING STATEMENTS. The following provisions relating to this Deed of Trust as a security agreement are a part of this Deed of Trust:

Security Agreement. This instrument shall constitute a Security Agreement to the extent any of the Property constitutes fixtures, and Lender shall have all of the rights of a secured party under the Uniform Commercial Code as amended from time to time.

Security Interest. Upon request by Lender, Trustor shall take whatever action is requested by Lender to perfect and continue Lender's security interest in the Rents and Personal Property. In addition to recording this Deed of Trust in the real property records, Lender may, at any time and without further authorization from Trustor, file executed counterparts, copies or reproductions of this Deed of Trust as a financing statement. Trustor shall reimburse Lender for all expenses incurred in perfecting or continuing this security interest. Upon default, Trustor shall not remove, sever or detach the Personal Property from the Property. Upon default, Trustor shall assemble any Personal Property not affixed to the Property in a manner and at a place reasonably convenient to Trustor and Lender and make it available to Lender within three (3) days after receipt of written demand from Lender to the extent permitted by applicable law.

Addresses. The mailing addresses of Trustor (debtor) and Lender (secured party) from which information concerning the security interest granted by this Deed of Trust may be obtained (each as required by the Uniform Commercial Code) are as stated on the first page of this Deed of Trust.

FURTHER ASSURANCES; ATTORNEY-IN-FACT. The following provisions relating to further assurances and attorney-in-fact are a part of this Deed of Trust:

Further Assurances. At any time, and from time to time, upon request of Lender, Trustor will make, execute and deliver, or will cause to be made, executed or delivered, to Lender or to Lender's designee, and when requested by Lender, cause to be filed, recorded, refiled, or rerecorded, as the case may be, at such times and in such offices and places as Lender may deem appropriate, any and all such mortgages, deeds of trust, security deeds, security agreements, financing statements, continuation statements, instruments of further assurance, certificates, and other documents as may, in the sole opinion of Lender, be necessary or desirable in order to effectuate, complete, perfect, continue, or preserve (1) Trustor's obligations under the Note, this Deed of Trust, and the Related Documents, and (2) the liens and security interests created by this Deed of Trust as first and prior liens on the Property, whether now owned or hereafter acquired by Trustor. Unless prohibited by law or Lender agrees to the contrary in writing, Trustor shall reimburse Lender for all costs and expenses incurred in connection with the matters referred to in this paragraph.

Attorney-in-Fact. If Trustor fails to do any of the things referred to in the preceding paragraph, Lender may do so for and in the name of Trustor and at Trustor's expense. For such purposes, Trustor hereby irrevocably appoints Lender as Trustor's attorney-in-fact for the purpose of making, executing, delivering,

MPB_0210

filing, recording, and doing all other things as may be necessary or desirable, in Lender's sole opinion, to accomplish the matters referred to in the preceding paragraph.

FULL PERFORMANCE. If Trustor pays all the Indebtedness when due, and otherwise performs all the obligations imposed upon Trustor under this Deed of Trust, Lender shall execute and deliver to Trustee a request for full reconveyance without warranty and shall execute and deliver to Trustor suitable statements of termination of any financing statement on file evidencing Lender's security interest in the Rents and the Personal Property. Any reconveyance fee required by law shall be paid by Trustor, if permitted by applicable law.

EVENTS OF DEFAULT. Each of the following, at Lender's option, shall constitute an Event of Default under this Deed of Trust:

Payment Default. Trustor fails to make any payment when due under the Indebtedness.

Other Defaults. Trustor fails to comply with or to perform any other term, obligation, covenant or condition contained in this Deed of Trust or in any of the Related Documents or to comply with or to perform any term, obligation, covenant or condition contained in any other agreement between Lender and Trustor.

Compliance Default. Failure to comply with any other term, obligation, covenant or condition contained in this Deed of Trust, the Note or in any of the Related Documents.

Default on Other Payments. Failure of Trustor within the time required by this Deed of Trust to make any payment for taxes or insurance, or any other payment necessary to prevent filing of or to effect discharge of any lien.

Default in Favor of Third Parties. Should Grantor default under any loan, extension of credit, security agreement, purchase or sales agreement, or any other agreement, in favor of any other creditor or person that may materially affect any of Grantor's property or Grantor's ability to repay the Indebtedness or Grantor's ability to perform Grantor's obligations under this Deed of Trust or any of the Related Documents.

False Statements. Any warranty, representation or statement made or furnished to Lender by Trustor or on Trustor's behalf under this Deed of Trust or the Related Documents is false or misleading in any material respect, either now or at the time made or furnished or becomes false or misleading at any time thereafter.

Defective Collateralization. This Deed of Trust or any of the Related Documents ceases to be in full force and effect (including failure of any collateral document to create a valid and perfected security interest or lien) at any time and for any reason.

Death or Insolvency. The dissolution of Trustor's (regardless of whether election to continue is made), any member withdraws from the limited liability company, or any other termination of Trustor's existence as a going business or the death of any member, the insolvency of Trustor, the appointment of a receiver for any part of Trustor's property, any assignment for the benefit of creditors, any type of creditor workout, or the commencement of any proceeding under any bankruptcy or insolvency laws by or against Trustor.

Creditor or Forfeiture Proceedings. Commencement of foreclosure or forfeiture proceedings, whether by judicial proceeding, self-help, repossession or any other method, by any creditor of Trustor or by any governmental agency against any property securing the Indebtedness. This includes a garnishment of any of Trustor's accounts, including deposit accounts, with Lender However, this Event of Default shall not apply if there is a good faith dispute by Trustor as to the validity or reasonableness of the claim which is the basis of the creditor or forfeiture proceeding and if Trustor gives Lender written notice of the creditor or forfeiture proceeding and deposits with Lender monies or a surety bond for the creditor or forfeiture proceeding, in an amount determined by Lender, in its sole discretion, as being an adequate reserve or bond for the dispute.

Breach of Other Agreement. Any breach by Trustor under the terms of any other agreement between Trustor and Lender that is not remedied within any grace period provided therein, including without limitation any agreement concerning any indebtedness or other obligation of Trustor to Lender, whether existing now or later.

Events Affecting Guarantor. Any of the preceding events occurs with respect to any guarantor, endorser, surety, or accommodation party of any of the Indebtedness or any guarantor, endorser, surety, or accommodation party dies or becomes incompetent, or revokes or disputes the validity of, or liability under, any Guaranty of the Indebtedness.

Adverse Change. A material adverse change occurs in Trustor's financial condition, or Lender believes the prospect of payment or performance of the Indebtedness is impaired.

Insecurity. Lender in good faith believes itself insecure.

Right to Cure. If any default, other than a default in payment is curable and if Trustor has not been given a notice of a breach of the same provision of this Deed of Trust within the preceding twelve (12) months, it may be cured if Trustor, after Lender sends written notice to Trustor demanding cure of such default: (1) cures the default within ten (10) days; or (2) if the cure requires more than ten (10) days, immediately initiates steps which Lender deems in Lender's sole discretion to be sufficient to cure the default and thereafter continues and completes all reasonable and necessary steps sufficient to produce compliance as soon as reasonably practical.

MPB_0211

RIGHTS AND REMEDIES ON DEFAULT. If an Event of Default occurs under this Deed of Trust, at any time thereafter, Trustee or Lender may exercise any one or more of the following rights and remedies:

Election of Remedies. Election by Lender to pursue any remedy shall not exclude pursuit of any other remedy, and an election to make expenditures or to take action to perform an obligation of Trustor under this Deed of Trust, after Trustor's failure to perform, shall not affect Lender's right to declare a default and exercise its remedies.

Accelerate Indebtedness. Lender shall have the right at its option without notice to Trustor to declare the entire Indebtedness immediately due and payable, including any prepayment penalty which Trustor would be required to pay.

Foreclosure. With respect to all or any part of the Real Property, the Trustee shall have the right to foreclose by notice and sale, and Lender shall have the right to foreclose by judicial foreclosure, in either case in accordance with and to the full extent provided by applicable law. To the extent permitted by law, Trustor shall be and remain liable for any deficiency remaining after sale, either pursuant to the power of sale or judicial proceedings.

UCC Remedies. With respect to all or any part of the Personal Property, Lender shall have all the rights and remedies of a secured party under the Uniform Commercial Code.

Collect Rents. Lender shall have the right, without notice to Trustor to take possession of and manage the Property and collect the Rents, including amounts past due and unpaid, and apply the net proceeds, over and above Lender's costs, against the Indebtedness. In furtherance of this right, Lender may require any tenant or other user of the Property to make payments of rent or use fees directly to Lender. If the Rents are collected by Lender, then Trustor irrevocably designates Lender as Trustor's attorney-in-fact to endorse instruments received in payment thereof in the name of Trustor and to negotiate the same and collect the proceeds. Payments by tenants or other users to Lender in response to Lender's demand shall satisfy the obligations for which the payments are made, whether or not any proper grounds for the demand existed. Lender may exercise its rights under this subparagraph either in person, by agent, or through a receiver.

Appoint Receiver. Lender shall have the right to have a receiver appointed to take possession of all or any part of the Property, with the power to protect and preserve the Property, to operate the Property preceding foreclosure or sale, and to collect the Rents from the Property and apply the proceeds, over and above the cost of the receivership, against the Indebtedness. The receiver may serve without bond if permitted by law. Lender's right to the appointment of a receiver shall exist whether or not the apparent value of the Property exceeds the Indebtedness by a substantial amount. Employment by Lender shall not disqualify a person from serving as a receiver.

Tenancy at Sufferance. If Trustor remains in possession of the Property after the Property is sold as provided above or Lender otherwise becomes entitled to possession of the Property upon default of Trustor, Trustor shall become a tenant at sufferance of Lender or the purchaser of the Property and shall, at Lender's option, either (1) pay a reasonable rental for the use of the Property, or (2) vacate the Property immediately upon the demand of Lender.

Other Remedies. Trustee or Lender shall have any other right or remedy provided in this Deed of Trust or the Note or available at law or in equity.

Notice of Sale. Lender shall give Trustor reasonable notice of the time and place of any public sale of the Personal Property or of the time after which any private sale or other intended disposition of the Personal Property is to be made. Reasonable notice shall mean notice given at least ten (10) days before the time of the sale or disposition. Any sale of the Personal Property may be made in conjunction with any sale of the Real Property.

Sale of the Property. To the extent permitted by applicable law, Trustor hereby waives any and all rights to have the Property marshalled. In exercising its rights and remedies, the Trustee or Lender shall be free to sell all or any part of the Property together or separately, in one sale or by separate sales. Lender shall be entitled to bid at any public sale on all or any portion of the Property.

Insurance Policies. Lender shall have the right upon an Event of Default, but not the obligation, to assign all of Trustor's right, title and interest in and to all policies of insurance on the Property and any unearned premiums paid on such insurance to any receiver or any purchaser of the Property at a foreclosure sale, and Trustor hereby appoints Lender as attorney in fact to assign and transfer such policies.

Attorneys' Fees; Expenses. If Lender institutes any suit or action to enforce any of the terms of this Deed of Trust, Lender shall be entitled to recover such sum as the court may adjudge reasonable as attorneys' fees at trial and upon any appeal. Whether or not any court action is involved, and to the extent not prohibited by law, all reasonable expenses Lender incurs that in Lender's opinion are necessary at any time for the protection of its interest or the enforcement of its rights shall become a part of the Indebtedness payable on demand and shall bear interest at the Note rate from the date of the expenditure until repaid. Expenses covered by this paragraph include, without limitation, however subject to any limits under applicable law, Lender's attorneys' fees and Lender's legal expenses, whether or not there is a lawsuit, including attorneys' fees and expenses for bankruptcy proceedings (including efforts to modify or vacate any automatic stay or injunction), appeals, and any anticipated post-judgment collection services, the cost of searching records, obtaining title reports (including foreclosure reports), surveyors' reports, and appraisal fees, title insurance, and fees for the Trustee, to the extent permitted by applicable law. However, Trustor will only pay attorneys' fees of an attorney not Lender's salaried employee, to whom the matter is referred

after Trustor's default. Trustor also will pay any court costs, in addition to all other sums provided by law.

Rights of Trustee. Trustee shall have all of the rights and duties of Lender as set forth in this section.

POWERS AND OBLIGATIONS OF TRUSTEE. The following provisions relating to the powers and obligations of Trustee are part of this Deed of Trust:

Powers of Trustee. In addition to all powers of Trustee arising as a matter of law, Trustee shall have the power to take the following actions with respect to the Property upon the written request of Lender and Trustor: (a) join in preparing and filing a map or plat of the Real Property, including the dedication of streets or other rights to the public; (b) join in granting any easement or creating any restriction on the Real Property; and (c) join in any subordination or other agreement affecting this Deed of Trust or the interest of Lender under this Deed of Trust.

Obligations to Notify. Trustee shall not be obligated to notify any other party of a pending sale under any other trust deed or lien, or of any action or proceeding in which Trustor, Lender, or Trustee shall be a party, unless the action or proceeding is brought by Trustee.

Trustee. Trustee shall meet all qualifications required for Trustee under applicable law. In addition to the rights and remedies set forth above, with respect to all or any part of the Property, the Trustee shall have the right to foreclose by notice and sale, and Lender shall have the right to foreclose by judicial foreclosure, in either case in accordance with and to the full extent provided by applicable law.

Successor Trustee. Lender, at Lender's option, may from time to time appoint a successor Trustee to any Trustee appointed under this Deed of Trust by an instrument executed and acknowledged by Lender and recorded in the office of the recorder of Maricopa County, State of Arizona. The instrument shall contain, in addition to all other matters required by state law, the names of the original Lender, Trustee, and Trustor, the book and page where this Deed of Trust is recorded, and the name and address of the successor trustee, and the instrument shall be executed and acknowledged by Lender or its successors in interest. The successor trustee, without conveyance of the Property, shall succeed to all the title, power, and duties conferred upon the Trustee in this Deed of Trust and by applicable law. This procedure for substitution of Trustee shall govern to the exclusion of all other provisions for substitution.

NOTICES. Any notice required to be given under this Deed of Trust, including without limitation any notice of default and any notice of sale shall be given in writing, and shall be effective when actually delivered, when actually received by telefacsimile (unless otherwise required by law), when deposited with a nationally recognized overnight courier, or, if mailed, when deposited in the United States mail, as first class, certified or registered mail postage prepaid, directed to the addresses shown near the beginning of this Deed of Trust. All copies of notices of foreclosure from the holder of any lien which has priority over this Deed of Trust shall be sent to Lender's address, as shown near the beginning of this Deed of Trust. Any party may change its address for notices under this Deed of Trust by giving formal written notice to the other parties, specifying that the purpose of the notice is to change the party's address. For notice purposes, Trustor agrees to keep Lender informed at all times of Trustor's current address. Unless otherwise provided or required by law, if there is more than one Trustor, any notice given by Lender to any Trustor is deemed to be notice given to all Trustors.

MISCELLANEOUS PROVISIONS. The following miscellaneous provisions are a part of this Deed of Trust:

Amendments. This Deed of Trust, together with any Related Documents, constitutes the entire understanding and agreement of the parties as to the matters set forth in this Deed of Trust. No alteration of or amendment to this Deed of Trust shall be effective unless given in writing and signed by the party or parties sought to be charged or bound by the alteration or amendment.

Annual Reports. If the Property is used for purposes other than Trustor's residence, Trustor shall furnish to Lender, upon request, a certified statement of net operating income received from the Property during Trustor's previous fiscal year in such form and detail as Lender shall require. "Net operating income" shall mean all cash receipts from the Property less all cash expenditures made in connection with the operation of the Property.

Caption Headings. Caption headings in this Deed of Trust are for convenience purposes only and are not to be used to interpret or define the provisions of this Deed of Trust.

Merger. There shall be no merger of the interest or estate created by this Deed of Trust with any other interest or estate in the Property at any time held by or for the benefit of Lender in any capacity, without the written consent of Lender.

Governing Law. This Deed of Trust will be governed by federal law applicable to Lender and, to the extent not preempted by federal law, the laws of the State of Arizona without regard to its conflicts of law provisions. This Deed of Trust has been accepted by Lender in the State of Arizona.

Joint and Several Liability. All obligations of Trustor under this Deed of Trust shall be joint and several, and all references to Trustor shall mean each and every Trustor. This means that each Trustor signing below is responsible for all obligations in this Deed of Trust. Where any one or more of the parties is a corporation, partnership, limited liability company or similar entity, it is not necessary for Lender to inquire into the powers of any of the officers, directors, partners, members, or other agents acting or purporting to act on the entity's behalf, and any obligations made or created in reliance upon the professed exercise of such powers shall be guaranteed under this Deed of Trust.

No Waiver by Lender. Lender shall not be deemed to have waived any rights under this Deed of Trust

MPB_0213

unless such waiver is given in writing and signed by Lender. No delay or omission on the part of Lender in exercising any right shall operate as a waiver of such right or any other right. A waiver by Lender of a provision of this Deed of Trust shall not prejudice or constitute a waiver of Lender's right otherwise to demand strict compliance with that provision or any other provision of this Deed of Trust. No prior waiver by Lender, nor any course of dealing between Lender and Trustor, shall constitute a waiver of any of Lender's rights or of any of Trustor's obligations as to any future transactions. Whenever the consent of Lender is required under this Deed of Trust, the granting of such consent by Lender in any instance shall not constitute continuing consent to subsequent instances where such consent is required and in all cases such consent may be granted or withheld in the sole discretion of Lender.

Severability. If a court of competent jurisdiction finds any provision of this Deed of Trust to be illegal, invalid, or unenforceable as to any person or circumstance, that finding shall not make the offending provision illegal, invalid, or unenforceable as to any other person or circumstance. If feasible, the offending provision shall be considered modified so that it becomes legal, valid and enforceable. If the offending provision cannot be so modified, it shall be considered deleted from this Deed of Trust. Unless otherwise required by law, the illegality, invalidity, or unenforceability of any provision of this Deed of Trust shall not affect the legality, validity or enforceability of any other provision of this Deed of Trust.

Successors and Assigns. Subject to any limitations stated in this Deed of Trust on transfer of Trustor's interest, this Deed of Trust shall be binding upon and inure to the benefit of the parties, their successors and assigns. If ownership of the Property becomes vested in a person other than Trustor, Lender, without notice to Trustor, may deal with Trustor's successors with reference to this Deed of Trust and the indebtedness by way of forbearance or extension without releasing Trustor from the obligations of this Deed of Trust or liability under the Indebtedness.

Time is of the Essence. Time is of the essence in the performance of this Deed of Trust.

Waive Jury. All parties to this Deed of Trust hereby waive the right to any jury trial in any action, proceeding, or counterclaim brought by any party against any other party.

Waiver of Homestead Exemption. Trustor hereby releases and waives all rights and benefits of the homestead exemption laws of the State of Arizona as to all indebtedness secured by this Deed of Trust.

DEFINITIONS. The following capitalized words and terms shall have the following meanings when used in this Deed of Trust. Unless specifically stated to the contrary, all references to dollar amounts shall mean amounts in lawful money of the United States of America. Words and terms used in the singular shall include the plural, and the plural shall include the singular, as the context may require. Words and terms not otherwise defined in this Deed of Trust shall have the meanings attributed to such terms in the Uniform Commercial Code:

Beneficiary. The word "Beneficiary" means Metro Phoenix Bank, and its successors and assigns.

Borrower. The word "Borrower" means Sheridan Equities, LLC; David S. Schweikert; and Joyce R Schweikert and includes all co-signers and co-makers signing the Note and all their successors and assigns.

Deed of Trust. The words "Deed of Trust" mean this Deed of Trust among Trustor, Lender, and Trustee, and includes without limitation all assignment and security interest provisions relating to the Personal Property and Rents.

Default. The word "Default" means the Default set forth in this Deed of Trust in the section titled "Default".

Environmental Laws. The words "Environmental Laws" mean any and all state, federal and local statutes, regulations and ordinances relating to the protection of human health or the environment, including without limitation the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended, 42 U.S.C. Section 9601, et seq. ("CERCLA"), the Superfund Amendments and Reauthorization Act of 1986, Pub. L. No. 99-499 ("SARA"), the Hazardous Materials Transportation Act, 49 U.S.C. Section 1801, et seq., the Resource Conservation and Recovery Act, 42 U.S.C. Section 6901, et seq., or other applicable state or federal laws, rules, or regulations adopted pursuant thereto.

Event of Default. The words "Event of Default" mean any of the events of default set forth in this Deed of Trust in the events of default section of this Deed of Trust.

Guaranty. The word "Guaranty" means the guaranty from guarantor, endorser, surety, or accommodation party to Lender, including without limitation a guaranty of all or part of the Note.

Hazardous Substances. The words "Hazardous Substances" mean materials that, because of their quantity, concentration or physical, chemical or infectious characteristics, may cause or pose a present or potential hazard to human health or the environment when improperly used, treated, stored, disposed of, generated, manufactured, transported or otherwise handled. The words "Hazardous Substances" are used in their very broadest sense and include without limitation any and all hazardous or toxic substances, materials or waste as defined by or listed under the Environmental Laws. The term "Hazardous Substances" also includes, without limitation, petroleum and petroleum by-products or any fraction thereof and asbestos.

Improvements. The word "Improvements" means all existing and future improvements, buildings, structures, mobile homes affixed on the Real Property, facilities, additions, replacements and other construction on the Real Property.

Indebtedness. The word "Indebtedness" means all principal, interest, and other amounts, costs and

MPB_0214

expenses payable under the Note or Related Documents, together with all renewals of, extensions of, modifications of, consolidations of and substitutions for the Note or Related Documents and any amounts expended or advanced by Lender to discharge Trustor's obligations or expenses incurred by Trustee or Lender to enforce Trustor's obligations under this Deed of Trust, together with interest on such amounts as provided in this Deed of Trust.

Lender. The word "Lender" means Metro Phoenix Bank, its successors and assigns.

Note. The word "Note" means the promissory note dated February 14, 2011, **in the original principal amount of $154,000.00** from Trustor to Lender, together with all renewals of, extensions of, modifications of, refinancings of, consolidations of, and substitutions for the promissory note or agreement. **NOTICE TO TRUSTOR: THE NOTE CONTAINS A VARIABLE INTEREST RATE.**

Personal Property. The words "Personal Property" mean all equipment, fixtures, and other articles of personal property now or hereafter owned by Trustor, and now or hereafter attached or affixed to the Real Property; together with all accessions, parts, and additions to, all replacements of, and all substitutions for, any of such property; and together with all proceeds (including without limitation all insurance proceeds and refunds of premiums) from any sale or other disposition of the Property.

Property. The word "Property" means collectively the Real Property and the Personal Property.

Real Property. The words "Real Property" mean the real property, interests and rights, as further described in this Deed of Trust.

Related Documents. The words "Related Documents" mean all promissory notes, credit agreements, loan agreements, environmental agreements, guaranties, security agreements, mortgages, deeds of trust, security deeds, collateral mortgages, and all other instruments, agreements and documents, whether now or hereafter existing, executed in connection with the Indebtedness.

Rents. The word "Rents" means all present and future rents, revenues, income, issues, royalties, profits, and other benefits derived from the Property.

Trustee. The word "Trustee" means Metro Phoenix Bank, whose address is 4686 E. Van Buren Rd., Ste #150 & #190, Phoenix, AZ 85008 and any substitute or successor trustees.

Trustor. The word "Trustor" means Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert.

EACH TRUSTOR ACKNOWLEDGES HAVING READ ALL THE PROVISIONS OF THIS DEED OF TRUST, AND EACH TRUSTOR AGREES TO ITS TERMS.

TRUSTOR:

SHERIDAN EQUITIES, LLC

By: [redacted]
David S. Schweikert, Manager of Sheridan Equities, LLC

[redacted]
X
David S. Schweikert, Individually

[redacted]
X
Joyce R. Schweikert, Individually

MPB_0215

Loan No: [redacted]

DEED OF TRUST
(Continued)

LIMITED LIABILITY COMPANY ACKNOWLEDGMENT

STATE OF Arizona)
) SS
COUNTY OF Maricopa)

On this 22 day of February, 2011, before me, the undersigned Notary Public, personally appeared **David S. Schweikert, Manager of Sheridan Equities, LLC**, and known to me to be member or designated agent of the limited liability company that executed the Deed of Trust and acknowledged the Deed of Trust to be the free and voluntary act and deed of the limited liability company, by authority of statute, its articles of organization or its operating agreement, for the uses and purposes therein mentioned, and on oath stated that he/she/~~they~~ is authorized to execute this Deed of Trust and in fact executed the Deed of Trust on behalf of the limited liability company.

By [redacted] Residing at Phx, Az

Notary Public in and for the State of Arizona

My commission expires 4/17/2013

OFFICIAL SEAL
SHERRI J. BARNETT
NOTARY PUBLIC - State of Arizona
MARICOPA COUNTY
My Comm. Expires April 17, 2013

INDIVIDUAL ACKNOWLEDGMENT

STATE OF Arizona)
) SS
COUNTY OF Maricopa)

On this day before me, the undersigned Notary Public, personally appeared **David S. Schweikert and Joyce R. Schweikert**, to me known to be the individuals described in and who executed the Deed of Trust, and acknowledged that they signed the Deed of Trust as their free and voluntary act and deed, for the uses and purposes therein mentioned.

Given under my hand and official seal this 22nd day of February, 2011.

By [redacted] Residing at Phx, Az

Notary Public in and for the State of Arizona

My commission expires 4/17/2013

OFFICIAL SEAL
SHERRI J. BARNETT
NOTARY PUBLIC - State of Arizona
MARICOPA COUNTY
My Comm. Expires April 17, 2013

REQUEST FOR FULL RECONVEYANCE

(To be used only when obligations have been paid in full)

To: _____, Trustee

The undersigned is the legal owner and holder of all indebtedness secured by this Deed of Trust. All sums secured by this Deed of Trust have been fully paid and satisfied. You are hereby directed, upon payment to you of any sums owing to you under the terms of this Deed of Trust or pursuant to any applicable statute, to cancel the Note secured by this Deed of Trust (which is delivered to you together with this Deed of Trust), and to reconvey, without warranty, to the parties designated by the terms of this Deed of Trust, the estate now held by you under this Deed of Trust. Please mail the reconveyance and Related Documents to:

_____

Date: _____ Beneficiary: _____

By: _____

Its: _____

MPB_0216

OFFICIAL RECORDS OF
MARICOPA COUNTY RECORDER
HELEN PURCELL
20110161379 02/24/2011 08:14
10015998-1-4-4-
ELECTRONIC RECORDING

RECORDATION REQUESTED BY:
Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

WHEN RECORDED MAIL TO:
Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

FOR RECORDER'S USE ONLY

3/3

ASSIGNMENT OF RENTS

THIS ASSIGNMENT OF RENTS dated February 14, 2011, is made and executed between Sheridan Equities, LLC, whose address is 15749 E. El Lago Blvd, Fountain Hills, AZ 85268; David S. Schweikert, and Joyce R. Schweikert, whose address is [redacted] (referred to below as "Grantor") and Metro Phoenix Bank, chartered in the United States of America under the laws of the State of Arizona, whose address is 4686 E. Van Buren Street, Ste #150, Phoenix, AZ 85008 (referred to below as "Lender").

ASSIGNMENT. For valuable consideration, Grantor hereby assigns, grants a continuing security interest in, and conveys to Lender all of Grantor's right, title, and interest in and to the Rents from the following described Property located in Maricopa County, State of Arizona:

Lot 5, Northfield, according to the plat of record in the office of the county recorder of Maricopa County, Arizona, in Book 30 of Maps, page 45.

The Property or its address is commonly known as 5920 W. State Ave., Glendale, AZ 85301. The Property tax identification number is 143-43-126.

REVOLVING LINE OF CREDIT. This Assignment secures the Indebtedness including, without limitation, a revolving line of credit, which obligates Lender to make advances to Grantor so long as Grantor complies with all the terms of the Note.

THIS ASSIGNMENT IS GIVEN TO SECURE (1) PAYMENT OF THE INDEBTEDNESS AND (2) PERFORMANCE OF ANY AND ALL OBLIGATIONS OF GRANTOR UNDER THE NOTE, THIS ASSIGNMENT, AND THE RELATED DOCUMENTS. THIS ASSIGNMENT IS GIVEN AND ACCEPTED ON THE FOLLOWING TERMS:

PAYMENT AND PERFORMANCE. Except as otherwise provided in this Assignment or any Related Documents, Grantor shall pay to Lender all amounts secured by this Assignment as they become due, and shall strictly perform all of Grantor's obligations under this Assignment. Unless and until Lender exercises its right to collect the Rents as provided below and so long as there is no default under this Assignment, Grantor may remain in possession and control of and operate and manage the Property and collect the Rents, provided that the granting of the right to collect the Rents shall not constitute Lender's consent to the use of cash collateral in a bankruptcy proceeding.

GRANTOR'S REPRESENTATIONS AND WARRANTIES. Grantor warrants that:

Ownership. Grantor is entitled to receive the Rents free and clear of all rights, loans, liens, encumbrances, and claims except as disclosed to and accepted by Lender in writing.

Right to Assign. Grantor has the full right, power and authority to enter into this Assignment and to assign and convey the Rents to Lender.

No Prior Assignment. Grantor has not previously assigned or conveyed the Rents to any other person by any instrument now in force.

No Further Transfer. Grantor will not sell, assign, encumber, or otherwise dispose of any of Grantor's rights in the Rents except as provided in this Assignment.

LENDER'S RIGHT TO RECEIVE AND COLLECT RENTS. Lender shall have the right at any time, and even

though no default shall have occurred under this Assignment, to collect and receive the Rents. For this purpose, Lender is hereby given and granted the following rights, powers and authority:

Notice to Tenants. Lender may send notices to any and all tenants of the Property advising them of this Assignment and directing all Rents to be paid directly to Lender or Lender's agent.

Enter the Property. Lender may enter upon and take possession of the Property; demand, collect and receive from the tenants or from any other persons liable therefor, all of the Rents; institute and carry on all legal proceedings necessary for the protection of the Property, including such proceedings as may be necessary to recover possession of the Property; collect the Rents and remove any tenant or tenants or other persons from the Property.

Maintain the Property. Lender may enter upon the Property to maintain the Property and keep the same in repair; to pay the costs thereof and of all services of all employees, including their equipment, and of all continuing costs and expenses of maintaining the Property in proper repair and condition, and also to pay all taxes, assessments and water utilities, and the premiums on fire and other insurance effected by Lender on the Property.

Compliance with Laws. Lender may do any and all things to execute and comply with the laws of the State of Arizona and also all other laws, rules, orders, ordinances and requirements of all other governmental agencies affecting the Property.

Lease the Property. Lender may rent or lease the whole or any part of the Property for such term or terms and on such conditions as Lender may deem appropriate.

Employ Agents. Lender may engage such agent or agents as Lender may deem appropriate, either in Lender's name or in Grantor's name, to rent and manage the Property, including the collection and application of Rents.

Other Acts. Lender may do all such other things and acts with respect to the Property as Lender may deem appropriate and may act exclusively and solely in the place and stead of Grantor and to have all of the powers of Grantor for the purposes stated above.

No Requirement to Act. Lender shall not be required to do any of the foregoing acts or things, and the fact that Lender shall have performed one or more of the foregoing acts or things shall not require Lender to do any other specific act or thing.

APPLICATION OF RENTS. All costs and expenses incurred by Lender in connection with the Property shall be for Grantor's account and Lender may pay such costs and expenses from the Rents. Lender, in its sole discretion, shall determine the application of any and all Rents received by it; however, any such Rents received by Lender which are not applied to such costs and expenses shall be applied to the Indebtedness. All expenditures made by Lender under this Assignment and not reimbursed from the Rents shall become a part of the Indebtedness secured by this Assignment, and shall be payable on demand, with interest at the Note rate from date of expenditure until paid.

FULL PERFORMANCE. If Grantor pays all of the Indebtedness when due and otherwise performs all the obligations imposed upon Grantor under this Assignment, the Note, and the Related Documents, Lender shall execute and deliver to Grantor a suitable satisfaction of this Assignment and suitable statements of termination of any financing statement on file evidencing Lender's security interest in the Rents and the Property. Any termination fee required by law shall be paid by Grantor, if permitted by applicable law.

LENDER'S EXPENDITURES. If any action or proceeding is commenced that would materially affect Lender's interest in the Property or if Grantor fails to comply with any provision of this Assignment or any Related Documents, including but not limited to Grantor's failure to discharge or pay when due any amounts Grantor is required to discharge or pay under this Assignment or any Related Documents, Lender on Grantor's behalf may (but shall not be obligated to) take any action that Lender deems appropriate, to the extent permitted by applicable law, including but not limited to discharging or paying all taxes, liens, security interests, encumbrances and other claims, at any time levied or placed on the Rents or the Property and paying all costs for insuring, maintaining and preserving the Property. All such expenditures incurred or paid by Lender for such purposes will then bear interest at the rate charged under the Note from the date incurred or paid by Lender to the date of repayment by Grantor. All such expenses will become a part of the Indebtedness and, at Lender's option, will (A) be payable on demand; (B) be added to the balance of the Note and be apportioned among and be payable with any installment payments to become due during either (1) the term of any applicable insurance policy; or (2) the remaining term of the Note; or (C) be treated as a balloon payment which will be due and payable at the Note's maturity. The Assignment also will secure payment of these amounts. Such right shall be in addition to all other rights and remedies to which Lender may be entitled upon Default and shall be exercisable by Lender to the extent permitted by applicable law.

DEFAULT. Each of the following, at Lender's option, shall constitute an Event of Default under this Assignment:

Payment Default. Grantor fails to make any payment when due under the Indebtedness.

Other Defaults. Grantor fails to comply with or to perform any other term, obligation, covenant or condition contained in this Assignment or in any of the Related Documents or to comply with or to perform any term, obligation, covenant or condition contained in any other agreement between Lender and Grantor.

Default on Other Payments. Failure of Grantor within the time required by this Assignment to make any

MPB_0218

payment for taxes or insurance, or any other payment necessary to prevent filing of or to effect discharge of any lien.

Default in Favor of Third Parties. Grantor defaults under any loan, extension of credit, security agreement, purchase or sales agreement, or any other agreement, in favor of any other creditor or person that may materially affect any of Grantor's property or ability to perform Grantor's obligations under this Assignment or any of the Related Documents.

False Statements. Any warranty, representation or statement made or furnished to Lender by Grantor or on Grantor's behalf under this Assignment or the Related Documents is false or misleading in any material respect, either now or at the time made or furnished or becomes false or misleading at any time thereafter.

Defective Collateralization. This Assignment or any of the Related Documents ceases to be in full force and effect (including failure of any collateral document to create a valid and perfected security interest or lien) at any time and for any reason.

Death or Insolvency. The dissolution of Grantor's (regardless of whether election to continue is made), any member withdraws from the limited liability company, or any other termination of Grantor's existence as a going business or the death of any member, the insolvency of Grantor, the appointment of a receiver for any part of Grantor's property, any assignment for the benefit of creditors, any type of creditor workout, or the commencement of any proceeding under any bankruptcy or insolvency laws by or against Grantor.

Creditor or Forfeiture Proceedings. Commencement of foreclosure or forfeiture proceedings, whether by judicial proceeding, self-help, repossession or any other method, by any creditor of Grantor or by any governmental agency against the Rents or any property securing the Indebtedness. This includes a garnishment of any of Grantor's accounts, including deposit accounts, with Lender. However, this Event of Default shall not apply if there is a good faith dispute by Grantor as to the validity or reasonableness of the claim which is the basis of the creditor or forfeiture proceeding and if Grantor gives Lender written notice of the creditor or forfeiture proceeding and deposits with Lender monies or a surety bond for the creditor or forfeiture proceeding, in an amount determined by Lender, in its sole discretion, as being an adequate reserve or bond for the dispute.

Property Damage or Loss. The Property is lost, stolen, substantially damaged, sold, or borrowed against.

Events Affecting Guarantor. Any of the preceding events occurs with respect to any guarantor, endorser, surety, or accommodation party of any of the Indebtedness or any guarantor, endorser, surety, or accommodation party dies or becomes incompetent, or revokes or disputes the validity of, or liability under, any Guaranty of the Indebtedness.

Adverse Change. A material adverse change occurs in Grantor's financial condition, or Lender believes the prospect of payment or performance of the Indebtedness is impaired.

Insecurity. Lender in good faith believes itself insecure.

Cure Provisions. If any default, other than a default in payment is curable and if Grantor has not been given a notice of a breach of the same provision of this Assignment within the preceding twelve (12) months, it may be cured if Grantor, after Lender sends written notice to Grantor demanding cure of such default: (1) cures the default within ten (10) days; or (2) if the cure requires more than ten (10) days, immediately initiates steps which Lender deems in Lender's sole discretion to be sufficient to cure the default and thereafter continues and completes all reasonable and necessary steps sufficient to produce compliance as soon as reasonably practical.

RIGHTS AND REMEDIES ON DEFAULT. Upon the occurrence of any Event of Default and at any time thereafter, Lender may exercise any one or more of the following rights and remedies, in addition to any other rights or remedies provided by law:

Accelerate Indebtedness. Lender shall have the right at its option without notice to Grantor to declare the entire Indebtedness immediately due and payable, including any prepayment penalty that Grantor would be required to pay.

Collect Rents. Lender shall have the right, without notice to Grantor, to take possession of the Property and collect the Rents, including amounts past due and unpaid, and apply the net proceeds, over and above Lender's costs, against the Indebtedness. In furtherance of this right, Lender shall have all the rights provided for in the Lender's Right to Receive and Collect Rents Section, above. If the Rents are collected by Lender, then Grantor irrevocably designates Lender as Grantor's attorney-in-fact to endorse instruments received in payment thereof in the name of Grantor and to negotiate the same and collect the proceeds. Payments by tenants or other users to Lender in response to Lender's demand shall satisfy the obligations for which the payments are made, whether or not any proper grounds for the demand existed. Lender may exercise its rights under this subparagraph either in person, by agent, or through a receiver.

Appoint Receiver. Lender shall have the right to have a receiver appointed to take possession of all or any part of the Property, with the power to protect and preserve the Property, to operate the Property preceding foreclosure or sale, and to collect the Rents from the Property and apply the proceeds, over and above the cost of the receivership, against the Indebtedness. The receiver may serve without bond if permitted by law. Lender's right to the appointment of a receiver shall exist whether or not the apparent value of the Property exceeds the Indebtedness by a substantial amount. Employment by Lender shall not disqualify a person from serving as a receiver.

Other Remedies. Lender shall have all other rights and remedies provided in this Assignment or the Note or by law.

Election of Remedies. Election by Lender to pursue any remedy shall not exclude pursuit of any other remedy, and an election to make expenditures or to take action to perform an obligation of Grantor under this Assignment, after Grantor's failure to perform, shall not affect Lender's right to declare a default and exercise its remedies.

Attorneys' Fees; Expenses. If Lender institutes any suit or action to enforce any of the terms of this Assignment, Lender shall be entitled to recover such sum as the court may adjudge reasonable as attorneys' fees at trial and upon any appeal. Whether or not any court action is involved, and to the extent not prohibited by law, all reasonable expenses Lender incurs that in Lender's opinion are necessary at any time for the protection of its interest or the enforcement of its rights shall become a part of the indebtedness payable on demand and shall bear interest at the Note rate from the date of the expenditure until repaid. Expenses covered by this paragraph include, without limitation, however subject to any limits under applicable law, Lender's attorneys' fees and Lender's legal expenses, whether or not there is a lawsuit, including attorneys' fees and expenses for bankruptcy proceedings (including efforts to modify or vacate any automatic stay or injunction), appeals, and any anticipated post-judgment collection services, the cost of searching records, obtaining title reports (including foreclosure reports), surveyors' reports, and appraisal fees, title insurance, and fees for the Trustee, to the extent permitted by applicable law. However, Grantor will only pay attorneys' fees of an attorney not Lender's salaried employee, to whom the matter is referred after Grantor's default. Grantor also will pay any court costs, in addition to all other sums provided by law.

MISCELLANEOUS PROVISIONS. The following miscellaneous provisions are a part of this Assignment:

Amendments. This Assignment, together with any Related Documents, constitutes the entire understanding and agreement of the parties as to the matters set forth in this Assignment. No alteration of or amendment to this Assignment shall be effective unless given in writing and signed by the party or parties sought to be charged or bound by the alteration or amendment.

Caption Headings. Caption headings in this Assignment are for convenience purposes only and are not to be used to interpret or define the provisions of this Assignment.

Governing Law. This Assignment will be governed by federal law applicable to Lender and, to the extent not preempted by federal law, the laws of the State of Arizona without regard to its conflicts of law provisions. This Assignment has been accepted by Lender in the State of Arizona.

Joint and Several Liability. All obligations of Grantor under this Assignment shall be joint and several, and all references to Grantor shall mean each and every Grantor. This means that each Grantor signing below is responsible for all obligations in this Assignment. Where any one or more of the parties is a corporation, partnership, limited liability company or similar entity, it is not necessary for Lender to inquire into the powers of any of the officers, directors, partners, members, or other agents acting or purporting to act on the entity's behalf, and any obligations made or created in reliance upon the professed exercise of such powers shall be guaranteed under this Assignment.

Merger. There shall be no merger of the interest or estate created by this assignment with any other interest or estate in the Property at any time held by or for the benefit of Lender in any capacity, without the written consent of Lender.

Interpretation. (1) In all cases where there is more than one Borrower or Grantor, then all words used in this Assignment in the singular shall be deemed to have been used in the plural where the context and construction so require. (2) If more than one person signs this Assignment as "Grantor," the obligations of each Grantor are joint and several. This means that if Lender brings a lawsuit, Lender may sue any one or more of the Grantors. If Borrower and Grantor are not the same person, Lender need not sue Borrower first, and that Borrower need not be joined in any lawsuit. (3) The names given to paragraphs or sections in this Assignment are for convenience purposes only. They are not to be used to interpret or define the provisions of this Assignment.

No Waiver by Lender. Lender shall not be deemed to have waived any rights under this Assignment unless such waiver is given in writing and signed by Lender. No delay or omission on the part of Lender in exercising any right shall operate as a waiver of such right or any other right. A waiver by Lender of a provision of this Assignment shall not prejudice or constitute a waiver of Lender's right otherwise to demand strict compliance with that provision or any other provision of this Assignment. No prior waiver by Lender, nor any course of dealing between Lender and Grantor, shall constitute a waiver of any of Lender's rights or of any of Grantor's obligations as to any future transactions. Whenever the consent of Lender is required under this Assignment, the granting of such consent by Lender in any instance shall not constitute continuing consent to subsequent instances where such consent is required and in all cases such consent may be granted or withheld in the sole discretion of Lender.

Notices. Any notice required to be given under this Assignment shall be given in writing, and shall be effective when actually delivered, when actually received by telefacsimile (unless otherwise required by law), when deposited with a nationally recognized overnight courier, or, if mailed, when deposited in the United States mail, as first class, certified or registered mail postage prepaid, directed to the addresses shown near the beginning of this Assignment. Any party may change its address for notices under this Assignment by giving formal written notice to the other parties, specifying that the purpose of the notice is

MPB_0220

to change the party's address. For notice purposes, Grantor agrees to keep Lender informed at all times of Grantor's current address. Unless otherwise provided or required by law, if there is more than one Grantor, any notice given by Lender to any Grantor is deemed to be notice given to all Grantors.

Powers of Attorney. The various agencies and powers of attorney conveyed on Lender under this Assignment are granted for purposes of security and may not be revoked by Grantor until such time as the same are renounced by Lender.

Severability. If a court of competent jurisdiction finds any provision of this Assignment to be illegal, invalid, or unenforceable as to any person or circumstance, that finding shall not make the offending provision illegal, invalid, or unenforceable as to any other person or circumstance. If feasible, the offending provision shall be considered modified so that it becomes legal, valid and enforceable. If the offending provision cannot be so modified, it shall be considered deleted from this Assignment. Unless otherwise required by law, the illegality, invalidity, or unenforceability of any provision of this Assignment shall not affect the legality, validity or enforceability of any other provision of this Assignment.

Successors and Assigns. Subject to any limitations stated in this Assignment on transfer of Grantor's interest, this Assignment shall be binding upon and inure to the benefit of the parties, their successors and assigns. If ownership of the Property becomes vested in a person other than Grantor, Lender, without notice to Grantor, may deal with Grantor's successors with reference to this Assignment and the Indebtedness by way of forbearance or extension without releasing Grantor from the obligations of this Assignment or liability under the Indebtedness.

Time is of the Essence. Time is of the essence in the performance of this Assignment.

Waive Jury. All parties to this Assignment hereby waive the right to any jury trial in any action, proceeding, or counterclaim brought by any party against any other party.

Waiver of Homestead Exemption. Grantor hereby releases and waives all rights and benefits of the homestead exemption laws of the State of Arizona as to all Indebtedness secured by this Assignment.

DEFINITIONS. The following capitalized words and terms shall have the following meanings when used in this Assignment. Unless specifically stated to the contrary, all references to dollar amounts shall mean amounts in lawful money of the United States of America. Words and terms used in the singular shall include the plural, and the plural shall include the singular, as the context may require. Words and terms not otherwise defined in this Assignment shall have the meanings attributed to such terms in the Uniform Commercial Code:

Assignment. The word "Assignment" means this ASSIGNMENT OF RENTS, as this ASSIGNMENT OF RENTS may be amended or modified from time to time, together with all exhibits and schedules attached to this ASSIGNMENT OF RENTS from time to time.

Borrower. The word "Borrower" means Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert.

Default. The word "Default" means the Default set forth in this Assignment in the section titled "Default".

Event of Default. The words "Event of Default" mean any of the events of default set forth in this Assignment in the default section of this Assignment.

Grantor. The word "Grantor" means Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert.

Guaranty. The word "Guaranty" means the guaranty from guarantor, endorser, surety, or accommodation party to Lender, including without limitation a guaranty of all or part of the Note.

Indebtedness. The word "Indebtedness" means all principal, interest, and other amounts, costs and expenses payable under the Note or Related Documents, together with all renewals of, extensions of, modifications of, consolidations of and substitutions for the Note or Related Documents and any amounts expended or advanced by Lender to discharge Grantor's obligations or expenses incurred by Lender to enforce Grantor's obligations under this Assignment, together with interest on such amounts as provided in this Assignment.

Lender. The word "Lender" means Metro Phoenix Bank, its successors and assigns.

Note. The word "Note" means the promissory note dated February 14, 2011, in the original principal amount of $154,000.00 from Grantor to Lender, together with all renewals of, extensions of, modifications of, refinancings of, consolidations of, and substitutions for the promissory note or agreement.

Property. The word "Property" means all of Grantor's right, title and interest in and to all the Property as described in the "Assignment" section of this Assignment.

Related Documents. The words "Related Documents" mean all promissory notes, credit agreements, loan agreements, environmental agreements, guaranties, security agreements, mortgages, deeds of trust, security deeds, collateral mortgages, and all other instruments, agreements and documents, whether now or hereafter existing, executed in connection with the Indebtedness.

Rents. The word "Rents" means all of Grantor's present and future rights, title and interest in, to and under any and all present and future leases, including, without limitation, all rents, revenue, income, issues, royalties, bonuses, accounts receivable, cash or security deposits, advance rentals, profits and proceeds

MPB_0221

from the Property, and other payments and benefits derived or to be derived from such leases of every kind and nature, whether due now or later, including without limitation Grantor's right to enforce such leases and to receive and collect payment and proceeds thereunder.

THE UNDERSIGNED ACKNOWLEDGES HAVING READ ALL THE PROVISIONS OF THIS ASSIGNMENT, AND NOT PERSONALLY BUT AS AN AUTHORIZED SIGNER, HAS CAUSED THIS ASSIGNMENT TO BE SIGNED AND EXECUTED ON BEHALF OF GRANTOR ON FEBRUARY 14, 2011.

GRANTOR:

SHERIDAN EQUITIES, LLC

[redacted]

By: _____
David S. Schweikert, Manager of Sheridan Equities, LLC

[redacted]

X _____
David S. Schweikert, Individually

[redacted]

X _____
Joyce R. Schweikert, Individually

LIMITED LIABILITY COMPANY ACKNOWLEDGMENT

STATE OF Arizona)
) SS
COUNTY OF Maricopa)

On this 22 day of February, 20 11, before me, the undersigned Notary Public, personally appeared **David S. Schweikert, Manager of Sheridan Equities, LLC**, and known to me to be member or designated agent of the limited liability company that executed the ASSIGNMENT OF RENTS and acknowledged the Assignment to be the free and voluntary act and deed of the limited liability company, by authority of statute, its articles of organization or its operating agreement, for the uses and purposes therein mentioned, and on oath stated that he or she is authorized to execute this Assignment and in fact executed the Assignment on behalf of the limited liability company.

By [redacted] Residing at Mesa

Notary Public in and for the State of Arizona

My commission expires 4/17/2012

OFFICIAL SEAL
SHERRI L. BURNETT
NOTARY PUBLIC - State of Arizona
MARICOPA COUNTY
My Comm. Expires April 17, 2012

MPB_0222

ASSIGNMENT OF RENTS
(Continued)

Loan No: [redacted]

Page 7

INDIVIDUAL ACKNOWLEDGMENT

STATE OF Arizona)
) SS
COUNTY OF Maricopa)

On this day before me, the undersigned Notary Public, personally appeared **David S. Schweikert and Joyce R. Schweikert**, to me known to be the individuals described in and who executed the ASSIGNMENT OF RENTS, and acknowledged that they signed the Assignment as their free and voluntary act and deed, for the uses and purposes therein mentioned.

Given under my hand and official seal this 22 day of February 20 11

By [redacted] Residing at

Notary Public in and for the State of Arizona

My commission expires 4/17/2012

OFFICIAL SEAL
SHERRI J. BURNETT
NOTARY PUBLIC - State of Arizona
MARICOPA COUNTY
My Comm. Expires April 17, 2013

LASER PRO Lending, Ver. 5.55.00.002 Copr. Harland Financial Solutions, Inc. 1997, 2011. All Rights Reserved. - AZ H:\CFI\LPL\G14.FC TR-552 PR-3

MPB_0223

OFFICIAL RECORDS OF
MARICOPA COUNTY RECORDER
HELEN PURCELL
20130505919,06/03/2013 11:26
ELECTRONIC RECORDING
SheridanEquities-4-1-1--N

RECORDATION REQUESTED BY:
Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

WHEN RECORDED MAIL TO:
Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

FOR RECORDER'S USE ONLY

MODIFICATION OF DEED OF TRUST

THIS MODIFICATION OF DEED OF TRUST dated May 31, 2013, is made and executed between Sheridan Equities, LLC, whose address is 15749 E. El Lago Blvd, Fountain Hills, AZ 85268 ("Trustor") and Metro Phoenix Bank, chartered in the United States of America under the laws of the State of Arizona, whose address is Main Office, 4686 E. Van Buren Street, Ste #150, Phoenix, AZ 85008 ("Lender").

DEED OF TRUST. Lender and Trustor have entered into a Deed of Trust dated February 14, 2011 (the "Deed of Trust") which has been recorded in Maricopa County, State of Arizona, as follows:

Recorded February 24, 2011 at File #20110161378 in the official records of the County of Maricopa, State of Arizona.

REAL PROPERTY DESCRIPTION. The Deed of Trust covers the following described real property located in Maricopa County, State of Arizona:

Lot 5, Northfield, according to the plat of record in the office of the county recorder of Maricopa County, Arizona, in Book 30 of Maps, page 45.

The Real Property or its address is commonly known as 5920 W. State Ave., Glendale, AZ 85301. The Real Property tax identification number is 143-43-126.

MODIFICATION. Lender and Trustor hereby modify the Deed of Trust as follows:

1. David S. Schhweikert and Joyce R. Schweikert are removed as Grantors of said property. Grantor of said property is Sheridan Equities LLC only.

CONTINUING VALIDITY. Except as expressly modified above, the terms of the original Deed of Trust shall remain unchanged and in full force and effect. Consent by Lender to this Modification does not waive Lender's right to require strict performance of the Deed of Trust as changed above nor obligate Lender to make any future modifications. Nothing in this Modification shall constitute a satisfaction of the promissory note or other credit agreement secured by the Deed of Trust (the "Note"). It is the intention of Lender to retain as liable all

MPB_0224

parties to the Deed of Trust and all parties, makers and endorsers to the Note, including accommodation parties, unless a party is expressly released by Lender in writing. Any maker or endorser, including accommodation makers, shall not be released by virtue of this Modification. If any person who signed the original Deed of Trust does not sign this Modification, then all persons signing below acknowledge that this Modification is given conditionally, based on the representation to Lender that the non-signing person consents to the changes and provisions of this Modification or otherwise will not be released by it. This waiver applies not only to any initial extension or modification, but also to all such subsequent actions.

TRUSTOR ACKNOWLEDGES HAVING READ ALL THE PROVISIONS OF THIS MODIFICATION OF DEED OF TRUST AND TRUSTOR AGREES TO ITS TERMS. THIS MODIFICATION OF DEED OF TRUST IS DATED MAY 31, 2013.

TRUSTOR:

SHERIDAN EQUITIES LLC

By: [redacted]
David Schweikert, Manager of Sheridan Equities LLC

LENDER:

METRO PHOENIX BANK

X [redacted]
Mary Myron, Loan Officer

MPB_0226

Loan No: [redacted]

MODIFICATION OF DEED OF TRUST
(Continued)

LIMITED LIABILITY COMPANY ACKNOWLEDGMENT

STATE OF Arizona)
) SS
COUNTY OF Maricopa)

On this 31st day of May, 20 13 before me, the undersigned Notary Public, personally appeared **David Schweikert, Manager of Sheridan Equities LLC**, and known to me to be member or designated agent of the limited liability company that executed the Modification of Deed of Trust and acknowledged the Modification to be the free and voluntary act and deed of the limited liability company, by authority of statute, its articles of organization or its operating agreement, for the uses and purposes therein mentioned, and on oath stated that he or she is authorized to execute this Modification and in fact executed the Modification on behalf of the limited liability company.

By [redacted] Residing at Phoenix, AZ

Notary Public in and for the State of Arizona

My commission expires 1-12-15

MARLA WOODS
Notary Public State of Arizona
Maricopa County
My Commission Expires
January 12, 2015

MPB_0226

Loan No: [redacted]

MODIFICATION OF DEED OF TRUST
(Continued)

LENDER ACKNOWLEDGMENT

STATE OF Arizona)
) SS
COUNTY OF Maricopa)

On this 31st day of May, 20 13, before me, the undersigned Notary Public, personally appeared **Mary Myron** and known to me to be the **Loan Officer**, authorized agent for **Metro Phoenix Bank** that executed the within and foregoing instrument and acknowledged said instrument to be the free and voluntary act and deed of **Metro Phoenix Bank**, duly authorized by **Metro Phoenix Bank** through its board of directors or otherwise, for the uses and purposes therein mentioned, and on oath stated that he or she is authorized to execute this said instrument and in fact executed this said instrument on behalf of **Metro Phoenix Bank**.

By [redacted] Residing at Phoenix, AZ

Notary Public in and for the State of Arizona

My commission expires 1-12-15

MARLA WOODS
Notary Public, State of Arizona
Maricopa County
My Commission Expires
January 12, 2015

LASER PRO Lending, Ver. 13.1.0.004 Copr. Harland Financial Solutions, Inc. 1997, 2013. All Rights Reserved. - AZ H:\CFI\LPL\G202.FC TR-352 PR-3

MPB_0227

OFFICIAL RECORDS OF
MARICOPA COUNTY RECORDER
HELEN PURCELL
20131036147 12/05/2013 08:02
02111891A-2-2-2--
ELECTRONIC RECORDING

WHEN RECORDED MAIL TO:

Metro Phoenix Bank
4686 E. Van Buren Street #150
Phoenix, AZ. 85008

[redacted]

DO NOT REMOVE THIS COVER SHEET. IT IS NOW PART OF THE RECORDED DOCUMENT.

DOCUMENT TO BE RECORDED:

Deed of Release and Reconveyance

Regarding Document # 20110161378 and further modified June 3, 2013 in Document # 20130505919

RECVR

MPB_0228

When recorded return to:
METRO PHOENIX BANK
4686 E. Van Buren St. # 150
Phoenix, AZ 85008

DEED OF RELEASE AND RECONVEYANCE

WHEREAS, the indebtedness secured by the Deed of Trust executed by Sheridan Equities, L.L.C., an Arizona limited liability company, whose address is 15749 E. El Lago Blvd, Fountain Hills, AZ 85268; David S. Schweikert, and Joyce R. Schweikert whose address is [redacted] and Metro Phoenix Bank (Beneficiary), whose address is 4686 E. Van Buren, Ste # 150, Phoenix, AZ 85008, dated February 14, 2011 and recorded February 24, 2011 in Document No. 20110161378, and further modified May 31, 2013 and recorded June 3, 2013 in Document No. 20130505919, of Official Records in the Maricopa County Recorder's office, Maricopa County, Arizona.

BENEFICIARY

NOW, THEREFORE, the present Beneficiary under said Deed of Trust does hereby release and reconvey, without covenant or warranty, express or implied, unto the parties legally entitled all right, title and interest which was heretofore acquired by said Beneficiary under said Deed of Trust.

DATED this 28th day of August 2013

BENEFICIARY:
METRO PHOENIX BANK:

[redacted]
Michael Morano, EVP

STATE OF ARIZONA)
County of Maricopa)

This instrument was acknowledged before me this day 28th of August by Michael Morano of Metro Phoenix Bank.

My Commission Expires: 7-24-2015

[redacted]
Notary Public

OFFICIAL SEAL
MARY L MYRON
NOTARY PUBLIC - STATE OF ARIZONA
MARICOPA COUNTY
My Comm. Expires 07-24-2015

MPB_0229

When recorded return to:
METRO PHOENIX BANK
4686 E. Van Buren St. # 150
Phoenix, AZ 85008

DEED OF RELEASE AND RECONVEYANCE

WHEREAS, the indebtedness secured by the Deed of Trust executed by Sheridan Equities, L.L.C., an Arizona limited liability company, whose address is 15749 E. El Lago Blvd, Fountain Hills, AZ 85268; David S. Schweikert, and Joyce R. Schweikert whose address is [redacted] and Metro Phoenix Bank (Beneficiary), whose address is 4686 E. Van Buren, Ste # 150, Phoenix, AZ 85008, dated February 14, 2011 and recorded February 24, 2011 in Document No. 20110161378, and further modified May 31, 2013 and recorded June 3, 2013 in Document No. 20130505919, of Official Records in the Maricopa County Recorder's office, Maricopa County, Arizona.

BENEFICIARY

NOW, THEREFORE, the present Beneficiary under said Deed of Trust does hereby release and reconvey, without covenant or warranty, express or implied, unto the parties legally entitled all right, title and interest which was heretofore acquired by said Beneficiary under said Deed of Trust.

DATED this 28th day of August 2013

BENEFICIARY:
METRO PHOENIX BANK:

[redacted]
Michael Morano, EVP

STATE OF ARIZONA)
County of Maricopa)

This instrument was acknowledged before me this day 28th of August by Michael Morano of Metro Phoenix Bank.

My Commission Expires: 7-24-2015

[redacted]
Notary Public

OFFICIAL SEAL
MARY L MYRON
NOTARY PUBLIC – STATE OF ARIZONA
MARICOPA COUNTY
My Comm. Expires 07-24-2015

MPB_0230

OFFICIAL RECORDS OF
MARICOPA COUNTY RECORDER
HELEN PURCELL
20131036146 12/05/2013 08:02
02111891A-2-2-1--
ELECTRONIC RECORDING

WHEN RECORDED MAIL TO:

Metro Phoenix Bank
4686 E. Van Buren Street #150
Phoenix, AZ. 85008

[redacted]

DO NOT REMOVE THIS COVER SHEET. IT IS NOW PART OF THE RECORDED DOCUMENT.

DOCUMENT TO BE RECORDED:

Assignment of Rents Full Reconveyance

Regarding Document # 20110161379 dated February 24, 2011

RECCVR

MPB_0231

When Recorded send to:
Metro Phoenix Bank
4686 E. Van Buren St., Ste 150
Phoenix, AZ 85008

ASSIGNMENT OF RENTS FULL RECONVEYANCE

METRO PHOENIX BANK, as Lender under the Assigoment of Rents, dated February 14, 2011 in which **Sheridan Equities, LLC; and David S. Schweikert, and Joyce R. Schweikert**, are Grantor(s) and/or Borrower(s) and METRO PHOENIX BANK is Lender, and recorded on February 24, 2011 as **Instrument No. 20110161379** in the office of the County Recorder of Maricopa County, Arizona, having received under said Assignment of Rent a written request to release and reconvey, reciting that all indebtedness secured by said Assignment of Rents has been fully satisfied, does hereby release and reconvey, without covenant or warranty, express or implied, to the person or persons legally entitled thereto, all right, title and interest now held by said Lender thereunder in and to the property described in said Assignment of Rents, situated in Maricopa County, Arizona as follows:

The Property or its address is commonly known as **5920 W. State Ave., Glendale, AZ 85301**.

Metro Phoenix Bank

[redacted]

By: Michael S. Morano, EVP, COO

State of **ARIZONA**
County of **Maricopa** } ss:

On this 30th day of August, 2013, this instrument was acknowledged before me the undersigned, a Notary Public in and for said County and State.

[redacted]

Notary Public

My commission expires: 7-24-2015

OFFICIAL SEAL
MARY L. MYRON
NOTARY PUBLIC - STATE OF ARIZONA
MARICOPA COUNTY
My Comm. Expires 07-24-2015

Release

MPB_0232

When Recorded send to:
Metro Phoenix Bank
4686 E. Van Buren St., Ste 150
Phoenix, AZ 85008

ASSIGNMENT OF RENTS FULL RECONVEYANCE

METRO PHOENIX BANK, as Lender under the Assignment of Rents, dated February 14, 2011 in which **Sheridan Equities, LLC; and David S. Schweikert, and Joyce R. Schweikert,** are Grantor(s) and/or Borrower(s) and METRO PHOENIX BANK is Lender, and recorded on February 24, 2011 as **Instrument No. 20110161379** in the office of the County Recorder of Maricopa County, Arizona, having received under said Assignment of Rent a written request to release and reconvey, reciting that all indebtedness secured by said Assignment of Rents has been fully satisfied, does hereby release and reconvey, without covenant or warranty, express or implied, to the person or persons legally entitled thereto, all right, title and interest now held by said Lender thereunder in and to the property described in said Assignment of Rents, situated in Maricopa County, Arizona as follows:

The Property or its address is commonly known as **5920 W. State Ave., Glendale, AZ 85301.**

Metro Phoenix Bank

[redacted]

By: Michael S. Morano, EVP, COO

State of **ARIZONA**
County of **Maricopa** } ss:

On this 28th day of August, 2013, this instrument was acknowledged before me the undersigned, a Notary Public in and for said County and State.

[redacted]

Notary Public

My commission expires: 7-24-2015

OFFICIAL SEAL
MARY L MYRON
NOTARY PUBLIC - STATE OF ARIZONA
MARICOPA COUNTY
My Comm. Expires 07-24-2015

Release

MPB_0392

DISBURSEMENT REQUEST AND AUTHORIZATION

Principal	Loan Date	Maturity	Loan No	Call / Coll	Account	Officer	Initials
$154,000.00	02-14-2011	03-05-2012	[redacted]	131 / 13		RAS	

References in the boxes above are for Lender's use only and do not limit the applicability of this document to any particular loan or item.
Any item above containing "****" has been omitted due to text length limitations.

Borrower: Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert [redacted]

Lender: Metro Phoenix Bank
Main Office
4625 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

LOAN TYPE. This is a Variable Rate Nondiscloseable Revolving Line of Credit Loan to two individuals and a Limited Liability Company for $154,000.00 due on March 5, 2012.

PRIMARY PURPOSE OF LOAN. The primary purpose of this loan is for:

☐ **Personal, Family, or Household Purposes or Personal Investment.**

☒ **Business (Including Real Estate Investment).**

SPECIFIC PURPOSE. The specific purpose of this loan is: RLOC.

FLOOD INSURANCE. The property that will secure the loan is not located in an area that has been identified by the Director of the Federal Emergency Management Agency as an area having special flood hazards. Therefore, although flood insurance may be available for the property, no special flood hazard insurance protecting property not located in an area having special flood hazards is required by law for this loan at this time.

DISBURSEMENT INSTRUCTIONS. Borrower understands that no loan proceeds will be disbursed until all of Lender's conditions for making the loan have been satisfied. Please disburse the loan proceeds of $154,000.00 as follows:

Amount paid to others on Borrower's behalf: $77,555.00 to Security Title Agency	$77,555.00
Other Disbursements: $74,887.00 Previously Disbursed	$74,887.00
Other Charges Financed: $65.00 Appraisal $707.00 Title Insurance $20.00 Wire Fee	$792.00
Total Financed Prepaid Finance Charges: $18.00 Flood Certification and Life of Loan $750.00 Documentation Fee	$768.00
Note Principal:	$154,000.00

LIEN RELEASE FEES. In addition to all other charges, Borrower agrees, to the extent not prohibited by law, to pay all governmental fees for release of Lender's security interests in collateral securing this loan. Borrower will pay these fees at the time the lien or liens are released. The estimated amount of these future lien release fees is $75.00.

FINANCIAL CONDITION. BY SIGNING THIS AUTHORIZATION, BORROWER REPRESENTS AND WARRANTS TO LENDER THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND CORRECT AND THAT THERE HAS BEEN NO MATERIAL ADVERSE CHANGE IN BORROWER'S FINANCIAL CONDITION AS DISCLOSED IN BORROWER'S MOST RECENT FINANCIAL STATEMENT TO LENDER. THIS AUTHORIZATION IS DATED FEBRUARY 14, 2011.

BORROWER:

SHERIDAN EQUITIES, LLC

By: [redacted]
David S. Schweikert, Manager of Sheridan Equities, LLC

[redacted]
X David S. Schweikert, Individually

[redacted]
X Joyce R. Schweikert, Individually

MPB_0393

METROPHOENIX BANK

OUTGOING DOMESTIC WIRE TRANSFER REQUEST

Date2/23/2011Time: ____ Department Requesting Wire: ☐ Branch ☒ Loan ☐ Administration
Employee Accepting Wire Request: Mary Myron employee Phone Number: [redacted]

ORIGINATOR INFORMATION

Customer Name: **Sheridan Equities, LLC and David & Joyce Schweikert**
Or Business Name: _____
Street Address: [redacted] State: AZ Zip: 85268
Customer Account Type: DDA ☐ SAV ☐ GL ☐ CD ☐ Loan ☒
Customer Account Number: [redacted] Social Security /Tax ID #: [redacted]

CUSTOMER IDENTIFICATION

Signature Card: ☐ Drivers License State/#: _____ Other Identification: _____

BENEFICIARY INFORMATION

Receiving Bank Name: **M & I Marshall & Ilsley Bank** Routing #: [redacted]
Customer to Credit: **Security Title Agency,7901 N 16th ST.#225**
Acct. Number to Credit: [redacted]
Street Address: **1 E Camelback** City: **Phoenix** State: **Arizona** Zip: **85012**
Special Instructions: **Escrow #**[redacted]**--Sheridan Equities**

CUSTOMER AUTHORIZATION

The bank shall not be liable for any error or delay in transfer due to any cause other than The Bank's own negligence. The Bank shall only be liable for the Customer's actual loss arising from such negligence, not to exceed the amount of the funds transferred which The Bank is unable to recover. In no event shall The Bank be liable for indirect or consequential damages. I have read the above information and request the wire transfer of funds as stated.

Customer Signature _____ Loan Funds _____ Date: _____

BANK/WIRE USE ONLY

Employee Verifying (Call Back) Wire Request: _____
(Person verifying (call back) Wire Request MUST be different than the person accepting the Wire Request)

Contact Name: _____ Time Contacted: _____

Amount of Wire: $78,149.00 Cash: Yes ☐ No ☐
Fee: $20.00 Waive Fee?: Yes ☐ No ☒
Total Due: $78169.00

Debit Account: Yes ☐ No ☐ Account Number: _____
Available Funds: $_____ Last Deposit Amount & Date: _____

Approved by: [redacted] Date: 2-23-11

Wire Input By: _____ Wire Verified By: _____ OFAC By: MLM

COE.SCHWEIKERT.005365

18-2234_0565

MPB_0394

CREDIT METRO PHOENIX BANK
GENERAL LEDGER
ACCOUNT TITLE: Appraisal Fee
DESCRIPTION: BPO Sheridan Equities, LLC [redacted]
OFFSET: [redacted]
DATE: 2 23 11
PREPARED BY: MKM
APPROVED BY: KAB
ACCOUNT NUMBER: [redacted]
AMOUNT: $ 650.00
[redacted]

CREDIT METRO PHOENIX BANK
GENERAL LEDGER
ACCOUNT TITLE: Wire Fee
DESCRIPTION: Sheridan Equities, LLC Wire [redacted]
OFFSET: [redacted]
DATE: 2 23 11
PREPARED BY: MKM
APPROVED BY: KAB
ACCOUNT NUMBER: [redacted]
AMOUNT: $ 20.00
[redacted]

CREDIT METRO PHOENIX BANK
GENERAL LEDGER
ACCOUNT TITLE: PCBB
DESCRIPTION: Loan Proceeds wired to Security Title Sheridan Equities [redacted]
OFFSET: [redacted]
DATE: 2 23 11
PREPARED BY: MKM
APPROVED BY: KAB
ACCOUNT NUMBER: [redacted]
AMOUNT: $ 78149.00
[redacted]

DEBIT METRO PHOENIX BANK
GENERAL LEDGER
ACCOUNT TITLE: Online Posting
DESCRIPTION: Line increase & Renewal Sheridan Equities [redacted]
OFFSET: Various g/L's
DATE: 2 23 11
PREPARED BY: MKM
APPROVED BY: KAB
ACCOUNT NUMBER: [redacted]
AMOUNT: $ 79000.00
[redacted]

MPB_0395

CREDIT METRO PHOENIX BANK
GENERAL LEDGER

Flood Certification
ACCOUNT TITLE

DESCRIPTION: Flood Cert Sheridan Equities
[redacted]
OFFSET: [redacted]

DATE 2-23-11
PREPARED BY MKM
APPROVED BY DB

ACCOUNT NUMBER | AMOUNT

[redacted] $ 16.00

[redacted]

CREDIT METRO PHOENIX BANK
GENERAL LEDGER

FASB Fee
ACCOUNT TITLE

DESCRIPTION: Sheridan Equities - [redacted]
DCC Fee - Earned
OFFSET: [redacted]

DATE 2-23-11
PREPARED BY MKM
APPROVED BY DB

ACCOUNT NUMBER | AMOUNT

[redacted] $ 750.00

[redacted]

MPB_0396

Transaction History - Metro Phoenix Re - x - Core Director Page 1 of 1

Sheridan Equities, LLCDavid S Schweikert-LON Account# [redacted]

Type	Image	Entry Date	Post Date	[redacted]	Orig Amount	Amount	Sequence #	Description	Error	Balance
		01/05/12	01/05/12	[redacted]		$927.59		1/05/2012 1/05/2012		$153,886.80
		01/05/12	01/05/12	[redacted]	$927.59	$0.00		1/05/2012 1/05/2012		$153,886.80
		12/05/11	12/05/11	[redacted]		$897.68		12/05/2011 12/05/2011		$153,886.80
		11/04/11	11/05/11	[redacted]		$927.59		11/05/2011 11/05/2011		$153,886.80
		11/04/11	11/05/11	[redacted]	$927.59	$0.00		11/05/2011 11/05/2011		$153,886.80
		10/05/11	10/05/11	[redacted]		$897.67		10/05/2011 10/05/2011		$153,886.80
		10/05/11	10/05/11	[redacted]	$897.67	$0.00		10/05/2011 10/05/2011		$153,886.80
		09/02/11	09/05/11	[redacted]		$927.60		9/05/2011 9/05/2011		$153,886.80
		09/02/11	09/05/11	[redacted]	$927.60	$0.00		9/05/2011 9/05/2011		$153,886.80
		08/05/11	08/05/11	[redacted]		$927.59		8/05/2011 8/05/2011		$153,886.80
		08/05/11	08/05/11	[redacted]	$927.59	$0.00		8/05/2011 8/05/2011		$153,886.80
		07/05/11	07/05/11	[redacted]		$897.67		7/05/2011 7/05/2011		$153,886.80
		07/05/11	07/05/11	[redacted]	$897.67	$0.00		7/05/2011 7/05/2011		$153,886.80
		06/03/11	06/05/11	[redacted]		$927.60		6/05/2011 6/05/2011		$153,886.80
		06/03/11	06/05/11	[redacted]	$927.60	$0.00		6/05/2011 6/05/2011		$153,886.80
		05/05/11	05/05/11	[redacted]		$897.67		5/05/2011 5/05/2011		$153,886.80
		05/05/11	05/05/11	[redacted]	$897.67	$0.00		5/05/2011 5/05/2011		$153,886.80
		04/05/11	04/05/11	[redacted]		$927.59		4/05/2011 4/05/2011		$153,886.80
		04/05/11	04/05/11	[redacted]	$927.59	$0.00		4/05/2011 4/05/2011		$153,886.80
		03/04/11	03/05/11	[redacted]		$561.33		3/05/2011 3/05/2011		$153,886.80
		03/04/11	03/05/11	[redacted]	$561.33	$0.00		3/05/2011 3/05/2011		$153,886.80
		02/23/11	02/23/11	[redacted]		$79,000.00	[redacted]	Line Increase		$153,886.80

http://lxtcledapp14/CoreDirector_v2011/historylookupgrid.aspx?WinTitle=Transaction History&ScrollType=0 1/23/2012

MPB_0397

Melissa A. Royal
1745 S Alma School Road, Suite 115
Mesa, AZ 85210
HOMESMART [redacted]

INVOICE

INVOICE #3012
DATE: FEBRUARY 09, 2011

To:
Metro Phoenix Bank
c/o Mary Myron
4686 E. Van Buren Ste.150
Phoenix, AZ 85008
Phone 602-346-1825

FOR:
BPO for:
5920 West State Avenue, Glendale, AZ 85301

DESCRIPTION	AMOUNT
Interior BPO - 5920 West State Avenue, Glendale, AZ 85301	$65
PAID 2/24	
TOTAL	$65

Make all checks payable to HomeSmart Real Estate
Payment is due within 90 days of BPO completion

Thank you for your business!

MPB_0398

DEBIT
GENERAL LEDGER

METRO PHOENIX BANK

ACCOUNT TITLE: Appraisal Fee

DESCRIPTION: [redacted] Invoice Sheridan Equities

DATE: 2 24 11

PREPARED BY: [illegible]

APPROVED BY: KAB

OFFSET: Cashiers check

ACCOUNT NUMBER: [redacted]

AMOUNT: $ 65.00

[redacted]

Remitter: Metro Phoenix Bank
Memo: Invoice #3012

CASHIER'S CHECK

No. [redacted]
Date: 2/24/2011

Sixty Five Dollars and Zero Cents**********

$65.00

Pay to the Order of

Operator: 057

Time: 12:57

Source of Funds:

Check Fee: $0.00
Account Information:
00-0

Home Smart Real Estate
1745 S. Alma School Rd., #115
Mesa, AZ 85210

[redacted]

18-2234_0570

MPB_0322

LIMITED LIABILITY COM .NY RESOLUTION TO BORR V / GRANT COLLATERAL

Principal	Loan Date	Maturity	Loan No	Call / Coll	Account	Officer	Initials
$154,000.00	02-14-2011	03-08-2012	[redacted]	131 / 13		RAS	

References in the boxes above are for Lender's use only and do not limit the applicability of this document to any particular loan or item.
Any item above containing "***" has been omitted due to text length limitations.

Borrower: Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert
[redacted]

Lender: Maxin Phoenix Bank
Main Office
4686 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

Company: Sheridan Equities, LLC
15749 E. El Lago Blvd
Fountain Hills, AZ 85268

I, THE UNDERSIGNED, DO HEREBY CERTIFY THAT:

THE COMPANY'S EXISTENCE. The complete and correct name of the Company is Sheridan Equities, LLC ("Company"). The Company is a limited liability company which is, and at all times shall be, duly organized, validly existing, and in good standing under and by virtue of the laws of the State of Arizona. The Company is duly authorized to transact business in all other states in which the Company is doing business, having obtained all necessary filings, governmental licenses and approvals for each state in which the Company is doing business. Specifically, the Company is, and at all times shall be, duly qualified as a foreign limited liability company in all states in which the failure to so qualify would have a material adverse effect on its business or financial condition. The Company has the full power and authority to own its properties and to transact the business in which it is presently engaged or presently proposes to engage. The Company maintains an office at 15749 E. El Lago Blvd. Fountain Hills, AZ 85268. Unless the Company has designated otherwise in writing, the principal office is the office at which the Company keeps its books and records. The Company will notify Lender prior to any change in the location of the Company's state of organization or any change in the Company's name. The Company shall do all things necessary to preserve and to keep in full force and effect its existence, rights and privileges, and shall comply with all regulations, rules, ordinances, statutes, orders and decrees of any governmental or quasi-governmental authority or court applicable to the Company and the Company's business activities.

RESOLUTIONS ADOPTED. At a meeting of the members of the Company, duly called and held on _____, at which a quorum was present and voting, or by other duly authorized action in lieu of a meeting, the resolutions set forth in this Resolution were adopted.

MANAGER. The following named person is a manager of Sheridan Equities, LLC:

NAMES	TITLES	AUTHORIZED	
David S. Schweikert	Manager	Y	X [redacted]

ACTIONS AUTHORIZED. The authorized person listed above may enter into any agreements of any nature with Lender, and those agreements will bind the Company. Specifically, but without limitation, the authorized person is authorized, empowered, and directed to do the following for and on behalf of the Company:

Borrow Money. To borrow, as a cosigner or otherwise, from time to time from Lender, on such terms as may be agreed upon between the Company and Lender, such sum or sums of money as in his or her judgment should be borrowed, without limitation.

Execute Notes. To execute and deliver to Lender the promissory note or notes, or other evidence of the Company's credit accommodations, on Lender's forms, at such rates of interest and on such terms as may be agreed upon, evidencing the sums of money so borrowed or any of the Company's indebtedness to Lender, and also to execute and deliver to Lender one or more renewals, extensions, modifications, refinancings, consolidations, or substitutions for one or more of the notes, any portion of the notes, or any other evidence of credit accommodations.

Grant Security. To mortgage, pledge, transfer, endorse, hypothecate, or otherwise encumber and deliver to Lender any property now or hereafter belonging to the Company or in which the Company now or hereafter may have an interest, including without limitation all of the Company's real property and all of the Company's personal property (tangible or intangible), as security for the payment of any loans or credit accommodations so obtained, any promissory notes so executed (including any amendments to or modifications, renewals, and extensions of such promissory notes), or any other or further indebtedness of the Company to Lender at any time owing, however the same may be evidenced. Such property may be mortgaged, pledged, transferred, endorsed, hypothecated or encumbered at the time such loans are obtained or such indebtedness is incurred, or at any other time or times, and may be either in addition to or in lieu of any property therefore mortgaged, pledged, transferred, endorsed, hypothecated or encumbered.

Execute Security Documents. To execute and deliver to Lender the forms of mortgage, deed of trust, pledge agreement, hypothecation agreement, and other security agreements and financing statements which Lender may require and which shall evidence the terms and conditions under and pursuant to which such liens and encumbrances, or any of them, are given; and also to execute and deliver to Lender any other written instruments, any chattel paper, or any other collateral, of any kind or nature, which Lender may deem necessary or proper in connection with or pertaining to the giving of the liens and encumbrances.

Deposit Accounts. To open one or more depository accounts in the Company's name and sign and deliver all documents or items required to fulfill the conditions of all banking business, including without limitation the initiation of wire transfers, until authority is revoked by action of the Company on written notice to Lender.

Negotiate Items. To draw, endorse, and discount with Lender all drafts, trade acceptances, promissory notes, or other evidences of indebtedness payable to or belonging to the Company or in which the Company may have an interest, and either to receive cash for the same or to cause such proceeds to be credited to the Company's account with Lender, or to cause such other disposition of the proceeds derived therefrom as he or she may deem advisable.

Further Acts. In the case of lines of credit, to designate additional or alternate individuals as being authorized to request advances under such lines, and in all cases, to do and perform such other acts and things, to pay any and all fees and costs, and to execute and deliver such other documents and agreements, **including agreements waiving the right to a trial by jury**, as the manager may in his or her discretion deem reasonably necessary or proper in order to carry into effect the provisions of this Resolution. The following person or persons are authorized to request advances and authorize payments under the line of credit until Lender receives from the Company, at Lender's address shown above, written notice of revocation of such authority: **David S. Schweikert, Manager of Sheridan Equities, LLC; David S. Schweikert, individually; and Joyce R. Schweikert, individually.**

ASSUMED BUSINESS NAMES. The Company has filed or recorded all documents or filings required by law relating to all assumed business names used by the Company. Excluding the name of the Company, the following is a complete list of all assumed business names under which the Company does business: **None.**

MULTIPLE BORROWERS. The Company may enter into transactions in which there are multiple borrowers on obligations to Lender and the Company understands and agrees that, with or without notice to the Company, Lender may discharge or release any party or collateral securing an obligation, grant any extension of time for payment, delay enforcing any rights granted to Lender, or take any other action or inaction, without the loss to Lender of any of its rights against the Company; and that Lender may modify transactions without the consent of or notice to anyone other than the party with whom the modification is made.

NOTICES TO LENDER. The Company will promptly notify Lender in writing at Lender's address shown above (or such other addresses as Lender may designate from time to time) prior to any: (A) change in the Company's name; (B) change in the Company's assumed business name(s); (C) change in the management or in the Managers of the Company; (D) change in the authorized signer(s); (E) change in the Company's principal office address; (F) change in the Company's state of organization; (G) conversion of the Company to a new or different type of business entity; or (H) change in any other aspect of the Company that directly or indirectly relates to any agreements between the Company and Lender. No change in the Company's name or state of organization will take effect until after Lender has received notice.

CERTIFICATION CONCERNING MANAGERS AND RESOLUTIONS. The manager named above is duly elected, appointed, or employed by or for the Company, as the case may be, and occupies the position set opposite his or her respective name. This Resolution now stands of record on the books of the Company, is in full force and effect, and has not been modified or revoked in any manner whatsoever.

CONTINUING VALIDITY. Any and all acts authorized pursuant to this Resolution and performed prior to the passage of this Resolution are hereby ratified and approved. This Resolution shall be continuing, shall remain in full force and effect and Lender may rely on it until written notice of its revocation shall have been delivered to and received by Lender at Lender's address shown above (or such addresses as Lender may designate from time to time). Any such notice shall not affect any of the Company's agreements or commitments in effect at the time notice is given.

IN TESTIMONY WHEREOF, I have hereunto set my hand and attest that the signature set opposite the name listed above is his or her genuine

MPB_0323

LIMITED LIABILITY COMPANY RESOLUTION TO BORROW / GRANT COLLATERAL
Loan No: [redacted] (Continued) Page 2

signature.

I have read all the provisions of this Resolution, and I personally and on behalf of the Company certify that all statements and representations made in this Resolution are true and correct. This Limited Liability Company Resolution to Borrow / Grant Collateral is dated February 14, 2011.

CERTIFIED TO AND ATTESTED BY:

[redacted]

David S. Schweikert, Manager of Shoreline Equities, LLC

NOTE: If the manager signing this Resolution is designated by the foregoing resolution as one of the managers authorized to act on the Company's behalf, it is advisable to have this Resolution signed by at least one non-authorized manager of the Company.

METRO PHOENIX BANK

Loan Presentation – Short Form

Borrower Name(s) **Sheridan Equities, LLC** **David & Joyce Schweikert**	Address: 15749 E El Lago Blvd. City Fountain Hills Zip: 85268 Phone: [redacted] Tax ID: [redacted]	Date: 5/18/2012 Loan Officer: R. Strom Referral Source: Stevenson
Relationship Name(s)	Entity Type: Individual Commercial Real Estate Loan No Gross Rev. / Inc: CRA Loan No NAICS Code: OFAC Date: 5/15/12	Reg O ☐ Yes ☒ No Yr. Bus. Est.: NA Customer Since: New

Loan Request

#	Loan Type	Coll. Code	Loan Grade	COMMITMENT New	Renew	Total	Current Loan Balance	Maturity/Terms	Pricing/Rate& Fee
1	RLOC	13	4	$0	$154,000	$154,000	$153,887	Maturity date of 5/5/2013. Principal balance is due at maturity.	WSJ P+2% Floor of 7%. $250 doc fee
2									
3									
4									
			Subtotal	$0	$154,000	$154,000	$153,887	Other Direct Com	$0
Total Commitment – Borrower				$154,000	Related Debt Commitment		$0	Total Relationship Commitment	$154,000

#	Credit Purpose	Codes: Pur	Fed	Prod
1	Renewal of existing $154,000 RLOC originated in July 2010 to fund election campaigning. The principal amount will be due at the maturity in 2013.	12	131	230
2				

Collateral

#	Description of Collateral	Value	Source	Sr. Liens	LTV%	LTC%
1	1st DOT on four rental properties owned by Mr. & Mrs. Schweikert. See page 2 for details.	$227,000	Zillow	None	68%	N/A

#	Primary Source of Repayment	Secondary Source of Repayment
1	Personal cash flow	Liquidation of collateral

#	Guarantor(s)	F/S Date	Liq.assets	NW	Adj NW	Credit Score
	NA					

#	Related Entities	Deposits 12 Mos. Avg.	Deposits 12 Mos. Proj.
	David and Joyce Schweikert congress campaign funds	$177,542	

#	Policy Exceptions	Mitigants
	None noted	

Approval:	Date:		Date:
Recommending Officer: Rickard Strom [redacted]	5/18/12	Approving Officer: Stephen P. Haggard [redacted]	5/18/12

Borrower: David Schweikert is a local real estate investor and was referred to the bank by Michael Stevenson. Mr. Schweikert currently is the US Representative for Arizona's 5th congressional district. Mr. Schweikert has previously served two terms in the Arizona State House of Representatives (1991-1994), was also chaired the State Board of Equalization where he oversaw billions of dollars in valuation and tax protests from Arizona citizens and businesses, and was the elected Maricopa County Treasurer (2004-2007).

David grew up in Scottsdale with his adoptive parents and two adoptive siblings. He graduated from Saguaro High School in 1980 then earned a BA in finance and real estate in 1985 and a MBA from the ASU WP Carey Executive Program in 2005. David has traveled extensively throughout India, East Asia and Eastern Europe. David Schweikert and his wife Joyce live in Fountain Hills where they run their real estate business, Sheridan Equities, LLC.

Loan Purpose: Renew the existing $154,000 RLOC that was originally used to support election campaigning and later to buyout a partner on a residential property. The principal amount will be due at maturity.

Repayment Sources:

PSOR: Personal cash flow

SSOR: Liquidation of collateral.

Collateral: The LOC will be supported by a 1st DOT on below presented residential properties. Details on collateral have been included below: The collateral house 1 was purchased in early 2011 for $78,900. Site visits were done in May of 2012, please refer to pictures and site visit forms in the client file.

Collateral House 1:
Address: 5920 W. State Ave. Glendale, AZ 85301
Size: 1,471 SF
BPO Value: $70,000
BPO Date: 2/9/2011
Zillow Value: $63,100
Zillow Date: 5/15/2012

Collateral House 2:
Address: 3338 E. Willetta St., Phoenix, AZ 85008
Acquisition date: April, 2009
Size: 1,153 SF
Purchase price: $27,000
Improvement Cost: $15,821
Total Cost: $42,821
Zillow Value: $52,800
Zillow Date: 5/15/20112

Collateral House 3:
Address: 3031 N. 64th Pl., Phoenix, AZ 85033
Acquisition date: March, 2009
Size: 1,473 SF
Purchase price: $36,000
Improvement Cost: $7,688
Total Cost: $43,688
Zillow Value: $45,400
Zillow Date: 5/15/2012

Collateral House 4:
Address: 6413 W Lamar Rd., Glendale, AZ 85301
Acquisition date: March, 2009
Size: 1,358 SF
Purchase price: $36,550
Improvement Cost: $15,021
Total Cost: $51,571
Zillow Value: $65,700
Zillow Date: 5/15/2012

Total Collateral value of the 4 homes: $227,000
LTV: 68%

Borrower Analysis:
A personal financial statement as of 4/14/2012 has been provided which illustrates liquidity of $35M, and a net worth of $2,818,000. Analysis of this statement has been included below:

Net Worth Analysis:

David and Joyce Schweikert Personal Financial Statement dated 4/14/2012			
Assets		**Liabilities**	
		Mortgage-Primary Residence	280,000
Cash (1)	35,000	Mortgage-Investment Properties	193,000
Liquid Assets	35,000	Installment Loans	60,000
		Revolving Debt	4,000
Retirment Accounts	90,000		
CSVLI	200,000		
Personal Residence	300,000	**Total Liabilities**	537,000
Investment Real Estate (2)	300,000		
Loans to Campaign Comm	600,000		
Business Investments (3)	1,550,000	**Net Worth**	**$2,818,000**
Personal Properties	80,000	**Adjusted Net Worth (4)**	**$1,188,000**
Total Assets	**$3,355,000**	**Total Liabilities & Net Worth**	**$3,355,000**

(1) Cash accounts are held with Chase Bank.
(2) Investment in real estate includes the 3 existing properties as well as a rental property operated by Joyce in Scottsdale.
(3) Business Investments include a $750M value for Sheridan Equities Holdings which represents the value of David Sweikert's real estate business and a value of $800M which is allocated to Mass Funds.
(4) The adjusted net worth excludes the value in the business investments and the personal property.

Cash Flow Analysis:

Metro Phoenix Bank is in receipt of 2008, 2009, 2010 and 2011 tax returns on Mr. and Mrs. Schweikert. Analysis of these returns has been included below, which shows adequate cash flow coverage to support the current loan facility.

Guarantor Name: Schweikert	2008	2009	2010	2011
Personal Cash Flow	$105,132	$120,503	$115,672	$252,379
Interest & Dividends (Schedule B)	$745	$0		$77
Schedule C	$15,002	$36,114	$6,527	($25,802)
Total Rental & Royalty Cash Flow	$2,842	$2,310	$17,961	$27,662
Total Partnership/REMIC Cash Flow	$0	$0		
Less Living Expense	($24,000)	($24,000)	($24,000)	($24,000)
Less Federal and State Taxes	($16,085)	($30,680)	($15,745)	($48,780)
Cash Flow Available for Debt Service	**$83,636**	**$104,247**	**$100,415**	**$181,536**
*Mortgages (Primary)	$21,792	$21,792	$21,792	$18,636
*Mortgages (Investment)	$9,852	$9,852	$9,852	$11,280
*Installment Loans	$13,704	$13,704	$13,704	$13,704
*Revolving Credit Lines	$3,708	$3,708	$3,708	$900
Total Debt Service	**$49,056**	**$49,056**	**$49,056**	**$44,520**
Excess Cash	**$34,580**	**$55,191**	**$51,359**	**$137,016**
Debt/Income	58.65%	47.06%	48.85%	24.52%

Income is generated from wages the Mr. Schweikert receives from being a politician in Arizona, as Mr. Schweikert was voted in as the US Representative for Arizona's 5th congressional district his salary going forward will be approximately $172,000/year. Other additional income is generated from Schedule C income from the real estate business, Sheridan Equities, LLC and various rental income on residential rentals.

Credit Bureau Report:
The bank obtained a credit report for David & Joyce Schweikert on 5/15/12. The FICO score reported was 803 and 791 respectively. No current derogatory information was noted. Old trade as of 11-96 and 1-85.

Recommendation: It is recommended to approve the subject loan request as presented with a risk rating of 4. The borrowers report sufficient personal cash flow to service the subject loan. Furthermore the Bank is well secured at a LTV of 49%.

Credit Covenants

Loan-to-Value:
At all times during the term of the Loan, the unpaid principal balance of the Loan shall not exceed seventy percent (70%) of the value of the Collateral Property, as determined by Lender in Lender's sole discretion. If for any reason the loan-to-value ratio exceeds said percentage, then Borrower shall, upon Lender's demand, immediately reduce the unpaid principal balance of the Loan, or deposit sufficient sums with Lender to reduce the loan-to-value ratio to at or below said percentage.

Appraisals:
If deemed necessary by Lender or if required by law, Lender shall have the right to order appraisal(s) of the Collateral Property from time to time from an appraiser selected by Lender, which appraisals shall comply with all federal and state standards for appraisals and otherwise shall be satisfactory to Lender in all material respects. Borrower agrees to pay the cost and expense for all appraisals and reviews thereof ordered by Lender pursuant to this paragraph.

Reporting Covenants

	Borrower's Financial Statements	Freq	Next Stmt	Tickler Date	Due Date	Comments
	Type: FYE Type: None					
1	Provide the lender with a copy of the borrower's annual TR (David and Joyce Schweikert)	A	2012		4/15/2013	2011 T/R in file
1	Furnish PFS for David and Joyce Schweikert within 30 days of Lender'	A	2013			4/14/2012 in file
	Other: _____					
	Other: _____					

Documentation Requirements

- ☒ Standard Laser Pro Documents
- ☐ Accounts Receivable / Inventory Addendum
- ☐ Other – Outside Legal Counsel
- ☐ Other –

#	Conditions Precedent:
#	**Modifications / Approval Conditions:**

MPB_0616

CHANGE IN TERMS AGREEMENT

Principal	Loan Date	Maturity	Loan No.	Call / Coll.	Account	Officer	Initials
$154,000.00	02-14-2011	05-05-2013	[redacted]	121 / 01		RAS	

References in the boxes above are for Lender's use only and do not limit the applicability of this document to any particular loan or item.
Any item above containing "***" has been omitted due to text length limitations.

Borrower: Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert
[redacted]

Lender: Metro Phoenix Bank
Main Office
4625 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

COPY

Principal Amount: $154,000.00 **Date of Agreement: March 5, 2012**

DESCRIPTION OF EXISTING INDEBTEDNESS. That certain Revolving Line of Credit in the total amount of $154,000.00 in which the current Principal Balance is $153,888.80, evidenced by a Promissory Note (the "Note"), executed and delivered by Sheridan Equities, LLC; and David S. and Joyce R. Schweikert ("Borrower"), dated February 14, 2011, and subsequently modified on this date March 5, 2012.

DESCRIPTION OF COLLATERAL. The indebtedness is secured by Deed(s) of Trust made, executed and delivered by Sheridan Equities, LLC an Arizona limited liability company; and David S. and Joyce R. Schweikert ("Trustor") in favor of Metro Phoenix Bank ("Trustee") dated July 30, 2010 and recorded August 2, 2010 in the Office of the County Recorder of Maricopa County, Arizona, as Instrument No. 20100687371; No. 20100687360; and No. 20100687358; and by a Deed of Trust made, executed and delivered by Sheridan Equities, LLC an Arizona limited liability company; and David S. and Joyce R. Schweikert ("Trustor") in favor of Metro Phoenix Bank ("Trustee") dated February 14, 2011 and recorded February 24, 2011 in the Office of the County Recorder of Maricopa County, Arizona, as Instrument No. 20110143374.

DESCRIPTION OF CHANGE IN TERMS. The Note and Business Loan Agreement are hereby amended and changed as follows:

The Maturity Date of the Note is hereby extended from March 5, 2012 to May 5, 2013.

As a condition to the Lender entering into this Change in Terms Agreement, Borrower has agreed to pay interest current to June 5, 2012 in the amount of $2,752.14 and a documentation fee of $250.00, as a condition of this agreement.

All other terms and conditions in this loan, security and related documentation will remain the same and in full force and effect.

PROMISE TO PAY. Sheridan Equities, LLC; David S. Schweikert; and Joyce R. Schweikert ("Borrower") jointly and severally promise to pay to Metro Phoenix Bank ("Lender"), or order, in lawful money of the United States of America, the principal amount of One Hundred Fifty-four Thousand & 00/100 Dollars ($154,000.00) or so much as may be outstanding, together with interest on the unpaid outstanding principal balance of each advance. Interest shall be calculated from the date of each advance until repayment of each advance.

PAYMENT. Borrower will pay this loan in one payment of all outstanding principal plus all accrued unpaid interest on May 5, 2012. In addition, Borrower will pay regular monthly payments of all accrued unpaid interest due as of each payment date, beginning July 5, 2012, with all subsequent interest payments to be due on the same day of each month after that. Unless otherwise agreed or required by applicable law, payments will be applied first to any accrued unpaid interest; then to principal; and then to any late charges. Borrower will pay Lender at Lender's address shown above or at such other place as Lender may designate in writing.

VARIABLE INTEREST RATE. This interest rate on this loan is subject to change from time to time based on changes in an independent index which is the The Prime Rate; index shall be adjusted from time to time, as reported daily in the West Coast edition of the Wall Street Journal (the "Index"). The Index is not necessarily the lowest rate charged by Lender on its loans. If the Index becomes unavailable during the term of this loan, Lender may designate a substitute index after notifying Borrower. Lender will tell Borrower the current index rate upon Borrower's request. The interest rate change will not occur more often than each day. Borrower understands that Lender may make loans based on other rates as well. The Index currently is 3.25000% per annum. Interest on the unpaid principal balance of this loan will be calculated as described in the "INTEREST CALCULATION METHOD" paragraph using a rate of 2.000 percentage points over the Index, adjusted if necessary for any minimum and maximum rate limitations described below, resulting in an initial rate of 7.000% per annum based on a year of 360 days. **NOTICE:** Under no circumstances will the interest rate on this loan be less than 7.000% per annum or more than the maximum rate allowed by applicable law.

INTEREST CALCULATION METHOD. Interest on this loan is computed on a 365/360 basis; that is, by applying the ratio of the interest rate over a year of 360 days, multiplied by the outstanding principal balance, multiplied by the actual number of days the principal balance is outstanding. All interest payable under this loan is computed using this method.

EFFECTIVE RATE. Borrower agrees to an effective rate of interest that is the rate specified in this Agreement plus any additional risk premiums from any other changes in the nature of interest paid or to be paid in connection with this Agreement.

PREPAYMENT. Borrower agrees that all loan fees and other prepaid finance charges are earned fully as of the date of the loan and will not be subject to refund upon early payment (whether voluntary or as a result of default), except as otherwise required by law. Except for the foregoing, Borrower may pay without penalty all or a portion of the amount owed earlier than it is due. Early payments will not, unless agreed to by Lender in writing, relieve Borrower of Borrower's obligation to continue to make payments of accrued unpaid interest. Rather, early payments will reduce the principal balance due. Borrower agrees not to send Lender payments marked "paid in full", "without recourse", or similar language. If Borrower sends such a payment, Lender may accept it without losing any of Lender's rights under this Agreement, and Borrower will remain obligated to pay any further amount owed to Lender. All written communications concerning disputed amounts, including any check or other payment instrument that indicates that the payment constitutes "payment in full" of the amount owed or that is tendered with other conditions or limitations or in full satisfaction of a disputed amount must be mailed or delivered to: Metro Phoenix Bank, Main Office, 4625 E Van Buren Street, Ste #150, Phoenix, AZ 85008.

LATE CHARGE. If a payment is 10 days or more late, Borrower will be charged 5.000% of the regularly scheduled payment or $50.00, whichever is greater.

INTEREST AFTER DEFAULT. Upon default, including failure to pay upon final maturity, the interest rate on this loan shall be increased by adding an additional 5.000 percentage point margin ("Default Rate Margin"). The Default Rate Margin shall also apply to each succeeding interest rate change that would have applied had there been no default. However, in no event will the interest rate exceed the maximum interest rate limitations under applicable law.

DEFAULT. Each of the following shall constitute an Event of Default under this Agreement:

Payment Default. Borrower fails to make any payment when due under the Indebtedness.

Other Defaults. Borrower fails to comply with or to perform any other term, obligation, covenant or condition contained in this Agreement or in any of the Related Documents or to comply with or to perform any term, obligation, covenant or condition contained in any other agreement between Lender and Borrower.

Default in Favor of Third Parties. Borrower defaults under any loan, extension of credit, security agreement, purchase or sale agreement, or any other agreement, in favor of any other creditor or person that may materially affect any of Borrower's property or ability to perform Borrower's obligations under this Agreement or any of the Related Documents.

False Statements. Any warranty, representation or statement made or furnished to Lender by Borrower or on Borrower's behalf under this Agreement or the Related Documents is false or misleading in any material respect, either now or at the time made or furnished or becomes false or misleading at any time thereafter.

Death or Insolvency. The dissolution of Borrower (regardless of whether election to continue is made), any member withdrawing from Borrower, or any other termination of Borrower's existence as a going business or the death of any member, the insolvency of Borrower, the appointment of a receiver for any part of Borrower's property, any assignment for the benefit of creditors, any type of creditor workout, or the commencement of any proceeding under any bankruptcy or insolvency laws by or against Borrower.

Creditor or Forfeiture Proceedings. Commencement of foreclosure or forfeiture proceedings, whether by judicial proceeding, self-help, repossession or any other method, by any creditor of Borrower or by any governmental agency against any collateral securing the Indebtedness. This includes a garnishment of any of Borrower's accounts, including deposit accounts, with Lender. However, this Event of Default shall not apply if there is a good faith dispute by Borrower as to the validity or reasonableness of the claim which is the basis of the creditor or forfeiture proceeding and if Borrower gives Lender written notice of the creditor or forfeiture proceeding and deposits with Lender monies or a surety bond for the creditor or forfeiture proceeding, in an amount determined by Lender, in its sole discretion, as being an adequate reserve or bond for the dispute.

Events Affecting Guarantor. Any of the preceding events occurs with respect to any guarantor, endorser, surety, or accommodation party of any of the Indebtedness or any guarantor, endorser, surety, or accommodation party dies or becomes incompetent, or revokes or disputes the validity of, or liability under, any Guaranty of the Indebtedness evidenced by this Note.

MPB_0617

Adverse Change. A material adverse change occurs in Borrower's financial condition, or Lender believes the prospect of payment or performance of the indebtedness is impaired.

Insecurity. Lender in good faith believes itself insecure.

Cure Provisions. If any default, other than a default in payment is curable and if Borrower has not been given a notice of a breach of the same provision of this Agreement within the preceding twelve (12) months, it may be cured if Borrower, after Lender sends written notice to Borrower demanding cure of such default: (1) cures the default within ten (10) days; or (2) if the cure requires more than ten (10) days, immediately initiates steps which Lender deems in Lender's sole discretion to be sufficient to cure the default and thereafter continues and completes all reasonable and necessary steps sufficient to produce compliance as soon as reasonably practical.

LENDER'S RIGHTS. Upon default, Lender may declare the entire unpaid principal balance under this Agreement and all accrued unpaid interest immediately due, and then Borrower will pay that amount.

ATTORNEYS' FEES; EXPENSES. Lender may hire or pay someone else to help collect this Agreement if Borrower does not pay. Borrower will pay Lender that amount. This includes, subject to any limits under applicable law, Lender's attorneys' fees and Lender's legal expenses, whether or not there is a lawsuit, including attorneys' fees, expenses for bankruptcy proceedings (including efforts to modify or vacate any automatic stay or injunction), and appeals. However, Borrower will only pay attorneys' fees of an attorney not Lender's salaried employee, to whom the matter is referred after Borrower's default. If not prohibited by applicable law, Borrower also will pay any court costs, in addition to all other sums provided by law.

JURY WAIVER. Lender and Borrower hereby waive the right to any jury trial in any action, proceeding, or counterclaim brought by either Lender or Borrower against the other.

GOVERNING LAW. This Agreement will be governed by federal law applicable to Lender and, to the extent not preempted by federal law, the laws of the State of Arizona without regard to its conflicts of law provisions. This Agreement has been accepted by Lender in the State of Arizona.

DISHONORED ITEM FEE. Borrower will pay a fee to Lender of $25.00 if Borrower makes a payment on Borrower's loan and the check or preauthorized charge with which Borrower pays is later dishonored.

RIGHT OF SETOFF. To the extent permitted by applicable law, Lender reserves a right of setoff in all Borrower's accounts with Lender (whether checking, savings, or some other account). This includes all accounts Borrower holds jointly with someone else and all accounts Borrower may open in the future. However, this does not include any IRA or Keogh accounts, or any trust accounts for which setoff would be prohibited by law. Borrower authorizes Lender, to the extent permitted by applicable law, to charge or setoff all sums owing on the indebtedness against any and all such accounts, and, at Lender's option, to administratively freeze all such accounts to allow Lender to protect Lender's charge and setoff rights provided in this paragraph.

COLLATERAL. Borrower acknowledges this Agreement is secured by the following collateral described in the security instruments listed herein:

(A) a Deed of Trust dated February 14, 2011, to a trustee in favor of Lender on real property described as "Real Property located at 3031 N. 64th Drive, Phoenix, AZ 85033" and located in Maricopa County, State of Arizona.

(B) an Assignment of All Rents to Lender on real property described as "Real Property located at 3031 N. 64th Drive, Phoenix, AZ 85033" and located in Maricopa County, State of Arizona.

(C) a Deed of Trust dated March 5, 2012, to a trustee in favor of Lender on real property described as "Real Property located at 3338 E. Willetta Street, Phoenix, AZ 85008" and located in Maricopa County, State of Arizona.

(D) an Assignment of All Rents to Lender on real property described as "Real Property located at 3338 E. Willetta Street, Phoenix, AZ 85008" and located in Maricopa County, State of Arizona.

(E) a Deed of Trust dated March 5, 2012, to a trustee in favor of Lender on real property described as "Real Property located at 6413 W. Lamar Road, Glendale, AZ 85301" and located in Maricopa County, State of Arizona.

(F) an Assignment of All Rents to Lender on real property described as "Real Property located at 6413 W. Lamar Road, Glendale, AZ 85301" and located in Maricopa County, State of Arizona.

(G) a Deed of Trust dated March 5, 2012, to a trustee in favor of Lender on real property described as "Real Property located at 5920 W. State Ave., Glendale, AZ 85301" and located in Maricopa County, State of Arizona.

(H) an Assignment of All Rents to Lender on real property described as "Real Property located at 5920 W. State Ave., Glendale, AZ 85301" and located in Maricopa County, State of Arizona.

LINE OF CREDIT. This Agreement evidences a revolving line of credit. Advances under this Agreement may be requested orally by Borrower or as provided in this paragraph. All oral requests shall be confirmed in writing on the day of the request. All communications, instructions, or directions by telephone or otherwise to Lender are to be directed to Lender's office shown above. The following person or persons are authorized to request advances and authorize payments under the line of credit until Lender receives from Borrower, at Lender's address shown above, written notice of revocation of such authority: David S. Schweikert, Manager of Sheridan Equities, LLC; David S. Schweikert, Individually; and Joyce R. Schweikert, Individually. Borrower agrees to be liable for all sums either: (A) advanced in accordance with the instructions of an authorized person or (B) credited to any of Borrower's accounts with Lender. The unpaid principal balance owing on this Agreement at any time may be evidenced by endorsements on this Agreement or by Lender's internal records, including daily computer print-outs.

CONTINUING VALIDITY. Except as expressly changed by this Agreement, the terms of the original obligation or obligations, including all agreements evidenced or securing the obligation(s), remain unchanged and in full force and effect. Consent by Lender to this Agreement does not waive Lender's right to strict performance of the obligation(s) as changed, nor obligate Lender to make any future change in terms. Nothing in this Agreement will constitute a satisfaction of the obligation(s). It is the intention of Lender to retain as liable parties all makers and endorsers of the original obligation(s), including accommodation parties, unless a party is expressly released by Lender in writing. Any maker or endorser, including accommodation makers, will not be released by virtue of this Agreement. If any person who signed the original obligation does not sign this Agreement below, then all persons signing below acknowledge that this Agreement is given conditionally, based on the representation to Lender that the non-signing party consents to the changes and provisions of this Agreement or otherwise will not be released by it. This waiver applies not only to any initial extension, modification or release, but also to all such subsequent actions.

PRIOR NOTE. A Deed of Trust dated July 30, 2010 on property located at: 3031 N. 64th Dr., Phoenix, AZ 85033; 3338 E. Willetta St., Phoenix, AZ 85008; 6413 W. Lamar Rd., Glendale, AZ 85301; and a A Deed of Trust dated February 14, 2011 on property located at: 5920 W. State Ave., Glendale, AZ 85301.

SUCCESSORS AND ASSIGNS. Subject to any limitations stated in this Agreement on transfer of Borrower's interest, this Agreement shall be binding upon and inure to the benefit of the parties, their successors and assigns. If ownership of the Collateral becomes vested in a person other than Borrower, Lender, without notice to Borrower, may deal with Borrower's successors with reference to this Agreement and the indebtedness by way of forbearance or extension without releasing Borrower from the obligations of this Agreement or liability under the indebtedness.

MISCELLANEOUS PROVISIONS. If any part of this Agreement cannot be enforced, this fact will not affect the rest of the Agreement. Lender may delay or forgo enforcing any of its rights or remedies under this Agreement without losing them. Each Borrower understands and agrees that, with or without notice to Borrower, Lender may with respect to any other Borrower: (a) make one or more additional secured or unsecured loans or otherwise extend additional credit; (b) alter, compromise, renew, extend, accelerate, or otherwise change one or more times the time for payment or other terms of any indebtedness, including increases and decreases of the rate of interest on the indebtedness; (c) exchange, enforce, waive, subordinate, fail or decide not to perfect, and release any security, with or without the substitution of new collateral; (d) apply such security and direct the order or manner of sale thereof, including without limitation, any non-judicial sale permitted by the terms of the controlling security agreements, as Lender in its discretion may determine; (e) release, substitute, agree not to sue, or deal with any one or more of Borrower's sureties, endorsers, or other guarantors on any terms or in any manner Lender may choose; and (f) determine how, when and what application of payments and credits shall be made on any other indebtedness owing by such other Borrower. Borrower and any other person who signs, guarantees or endorses this Agreement, to the extent allowed by law, waive presentment, demand for payment, and notice of dishonor. Upon any change in the terms of this Agreement, and unless otherwise expressly stated in writing, no party who signs this Agreement, whether as maker, guarantor, accommodation maker or endorser, shall be released from liability. All such parties agree that Lender may renew or extend (repeatedly and for any length of time) this loan or release any party or guarantor or collateral; or impair, fail to realize upon or perfect Lender's security interest in the collateral; and take any other action deemed necessary by Lender without the consent of or notice to anyone. All such parties also agree that Lender may modify this loan without the consent of or notice to anyone other than the party with whom the modification is made. The obligations under this Agreement are joint and several.

MFB_0618

Loan No: [redacted]

CHANGE IN TERMS AGREEMENT
(Continued)

PRIOR TO SIGNING THIS AGREEMENT, EACH BORROWER READ AND UNDERSTOOD ALL THE PROVISIONS OF THIS AGREEMENT, INCLUDING THE VARIABLE INTEREST RATE PROVISIONS. EACH BORROWER AGREES TO THE TERMS OF THE AGREEMENT.

BORROWER:

SHERIDAN EQUITIES, LLC

By [redacted]
David S. Schweikert, Manager of Sheridan Equities, LLC

X [redacted]
David S. Schweikert, Individually

X [redacted]
Jennifer Schweikert, Individually

LENDER:

METRO PHOENIX BANK

X [redacted] V.P.
Howard A. Strom, Vice President

[redacted]

MPB

METRO PHOENIX BANK

Loan Presentation – Short Form

Borrower Name(s) Sheridan Equities, LLC David & Joyce Schweikert	Address: [redacted] City Fountain Hills Zip: 85268 Phone: [redacted] Tax ID: [redacted]	Date: 5/05/2013 Loan Officer: R. Strom Referral Source: Stevenson
Relationship Name(s)	Entity Type: Individual Commercial Real Estate Loan No Gross Rev. / Inc: CRA Loan No NAICS Code: OFAC Date: 4-26-2013	Reg O ☐ Yes ☒ No Yr. Bus. Est.: N/A Customer Since: 2010

Loan Request

#	Loan Type	Coll. Code	Loan Grade	COMMITMENT New	Renew	Total	Current Loan Balance	Maturity/Terms	Pricing/Rate& Fee
1	Term	13	4	$0	$154,000	$154,000	$153,887	60 month maturity; Monthly P&I payments due amortizing over 20 years.	6% Fixed $250 doc fee
			Subtotal	$0	$154,000	$154,000	$153,887	Other Direct Com	$0
Total Commitment – Borrower				$154,000	Related Debt Commitment		$0	Total Relationship Commitment	$154,000

#	Credit Purpose	Codes: Pur	Fed	Prod
1	Term out of existing $154,000 RLOC	12	131	230
2				

Collateral

#	Description of Collateral	Value	Source	Sr. Liens	LTV%	LTC%
1	1st DOT on four rental properties owned by Mr. & Mrs. Schweikert. See page 2 for details.	$281,016	Zillow as of 3/12/2013	None	55%	N/A

#	Primary Source of Repayment	Secondary Source of Repayment
1	Personal cash flow	Liquidation of collateral

#	Borrower(s)	F/S Date	Liq.assets	NW	Adj NW	Credit Score
1	David & Joyce Schweikert	3-31-2013	$48,426	$2,659,406	$1,029,406	732 & 738

#	Related Entities	Deposits 12 Mos. Avg.	Deposits 12 Mos. Proj.
	David and Joyce Schweikert congress campaign funds	$177,542	

#	Policy Exceptions	Mitigants
	None	

Approval:	Date:		Date:
Credit Analyst: Eve Tzinas		Approving Officer: Michael S. Morano	
Recommending Officer: Rickard Strom [redacted]	5/22/13	[redacted]	5/22/13

Background: David Schweikert is a local real estate investor and was referred to the bank by Michael Stevenson. Mr. Schweikert currently is the US Representative for Arizona's 5th congressional district. Mr. Schweikert has previously served two terms in the Arizona State House of Representatives (1991-1994), chaired the State Board of Equalization where he oversaw billions of dollars in valuation and tax protests from Arizona citizens and businesses, and was the elected Maricopa County Treasurer (2004-2007).

David grew up in Scottsdale with his adoptive parents and two adoptive siblings. He graduated from Saguaro High School in 1980 then earned a BA in finance and real estate in 1985 then received an MBA from the ASU WP Carey Executive Program in 2005. David has traveled extensively throughout India, East Asia and Eastern Europe. David Schweikert and his wife Joyce live in Fountain Hills where they run their real estate business, Sheridan Equities, LLC.

Loan Purpose: Term out of existing $154,000 RLOC that was originally used to support election campaigning and later to buyout a partner on a residential property. Since inception, Borrower has paid MPB debt as agreed.

Repayment Sources:

PSOR: Personal cash flow.

SSOR: Liquidation of collateral.

Collateral: Subject loan will continue to be collateralized by a 1st DOT on the residential properties outlined below. In accordance with Section 400.04, Item 1 of Metro Phoenix Bank's Commercial Loan Policy, an appraisal is not required for transactions less than $250M. MPB did however obtain Zillow values for each home (attached) and performed site visits (see *Site Visit* tab within Borrower's loan folder for individual property evaluations).

Property Location	Annual Rent	SF	Year Built	Zillow Value	Purchase Price
5920 W. State Ave, Glendale, AZ 85301	$ 9,132	1,471	1946	$ 74,398	$ 78,900
3338 E. Willetta Street, Phoenix, AZ 85008	$ 10,380	1,153	1955	$ 76,415	$ 27,000
3031 N 64th Place, Phoenix, AZ 85033	$ 10,116	1,473	1970	$ 65,836	$ 36,000
6413 W. Lamar Rd, Glendale, AZ 85301	$ 9,480	1,356	1974	$ 64,367	$ 36,550
Total Annual Rent	$ 39,108				
Total Zillow Value (as of 3/12/2013)				$ 281,016	
Total Purchase Price					$ 178,450
LTV ($154M / 281M)					55%

Total Annual Rent	$ 39,108
LESS Taxes & Insurance	$ (6,550)
LESS 10% Vacancy	$ (3,911)
LESS 5% Maintenance/Repair	$ (1,955)
Cash Flow Available to Service Debt	$ 26,692
MPB Debt ($154M, 6%, P&I, 20-yr Amortiz)	$ 13,500
DSCR	1.98

Estimates for taxes and insurance above were obtained directly from Borrower's 2011 tax return while rental income was obtained from Borrower's most recent PFS. Rental income disclosed within Borrower PFS (Schedule 4) is in-line with prior year income captured within Schedule E. In order to more accurately describe cash flow related to subject collateral, vacancy and repair costs were also factored into the above scenario. As illustrated above, Borrower is capable of adequately servicing MPB debt.

Borrower Analysis:

A personal financial statement dated 3/31/2013 has been provided which illustrates liquidity of $48M and a net worth of $2,659,406. MPB has verified Borrower's liquid assets and retirement accounts via statements. A summary of Borrower's PFS is outlined below:

Net Worth Analysis:

David and Joyce Schweikert Personal Financial Statement dated 3/31/2013			
Assets		**Liabilities**	
		Mortgage-Primary Residence	275,000
Cash (1)	48,426	Mortgage-Investment Properties	181,000
Liquid Assets	48,426	Installment Loans	40,000
		Revolving Debt	11,000
Retirment Accounts	67,980		
CSVLI	20,000		
Personal Residence	300,000	**Total Liabilities**	**507,000**
Investment Real Estate (2)	500,000		
Loans to Campaign Comm	600,000		
Business Investments (3)	1,550,000	**Net Worth**	**$2,659,406**
Personal Properties	80,000	**Adjusted Net Worth (4)**	**$1,029,406**
Total Assets	**$3,166,406**	**Total Liabilities & Net Worth**	**$3,166,406**

(1) Cash accounts are held with Chase Bank (see statement dated 3/29/13) and Bank of America (see statement dated 4/10/13).
(2) Investment in real estate includes subject collateral and a rental property located at 11011 N 92nd Street, Scottsdale, AZ which is operated by Joyce.
(3) Business Investments include a $750M value for Sheridan Equities Holdings which represents the value of David Scweikert's real estate business and a value of $800M which is allocated to MAS Funds.
(4) The adjusted net worth excludes the value in business investments and the personal property.

Cash Flow Analysis:

Metro Phoenix Bank is in receipt of 2009 - 2011 tax returns for Mr. and Mrs. Schweikert. At this time, 2012 personal tax returns are on extension, however, MPB is in receipt of 2012 W-2s. Analysis outlined below illustrates strong cash flow coverage to support the current loan facility.

Guarantor Name: Schweikert	2009	2010	2011	2012 Est
Personal Cash Flow (W-2 Income)	$120,503	$115,672	$252,379	$260,995
Interest & Dividends (Schedule B)	$0	$0	$77	$0
Schedule C	$36,114	$6,527	($25,802)	($25,802)
Schedule E (Rental Income)	$2,310	$17,961	$27,662	$27,662
Less Living Expense	($24,000)	($24,000)	($24,000)	($24,000)
Less Federal and State Taxes	($30,680)	($15,745)	($48,780)	($45,730)
Cash Flow Available for Debt Service	**$104,247**	**$100,415**	**$181,536**	**$193,125**
*Mortgages (Primary)	$21,792	$21,792	$18,636	$18,636
*Mortgages (Investment)	$9,852	$9,852	$11,280	$13,500
*Installment Loans	$13,704	$13,704	$13,704	$13,704
*Revolving Credit Lines	$3,708	$3,708	$900	$6,132
Total Debt Service	**$49,056**	**$49,056**	**$44,520**	**$51,972**
Excess Cash	**$55,191**	**$51,359**	**$137,016**	**$141,153**

Mr. Schweikert's W-2 income is generated from his position as a US Representative for Arizona's 5th congressional district. Based on Borrower's 2012 W-2, his earnings were $173M. Mrs. Schweikert also receives W-2 wages. In 2012, her wages totaled $88M and was from Scottsdale Eye Surgery Center. Schedule C and E income for 2012 have been estimated based on prior year earnings since 2012 is on extension. The Schedule C income relates to real estate business activities of Sheridan Equities, LLC while Schedule E income relates to revenue generated from residential rentals.

Credit Bureau Report:

A credit report dated 4/26/2013 displayed credit scores of 732 and 738 for David and Joyce Schweikert respectively. The Schwiekert's each indicate 17 satisfied accounts with zero derogatory payments. Mr. Schweikert has 65% of revolving availability while Mrs. Schweikert reflects 74% revolving availability. Each has no public records reported and oldest tradeline dates to November 1997 and October 1986.

CONCLUSIONS:

Key Credit Strengths:

- Strong cash flow
- Low LTV of 55%
- Borrower experience with residential properties
- Loan has paid as agreed

Key Credit Weaknesses and Mitigating Factors:

- Inherent risk associated with real estate lending, mitigated by loan –to-value and global cash flow.

Recommendation.

Based on the above strengths and weaknesses, a risk rating of 4 is recommended. Approve as presented.

Credit Covenants

Loan-to-Value:
At all times during the term of the Loan, the unpaid principal balance of the Loan shall not exceed seventy percent (70%) of the value of the Collateral Property, as determined by Lender in Lender's sole discretion. If for any reason the loan-to-value ratio exceeds said percentage, then Borrower shall, upon Lender's demand, immediately reduce the unpaid principal balance of the Loan, or deposit sufficient sums with Lender to reduce the loan-to-value ratio to at or below said percentage.

Appraisals:
If deemed necessary by Lender or if required by law, Lender shall have the right to order appraisal(s) of the Collateral Property from time to time from an appraiser selected by Lender, which appraisals shall comply with all federal and state standards for appraisals and otherwise shall be satisfactory to Lender in all material respects. Borrower agrees to pay the cost and expense for all appraisals and reviews thereof ordered by Lender pursuant to this paragraph.

Reporting Covenants

	Borrower's Financial Statements	Freq	Next Stmt	Tickler Date	Due Date	Comments
	Type: FYE Type:					
1	Provide the lender with a copy of the borrower's annual TR (David and Joyce Schweikert)	A	10/13		4/15/2013	2012 Extension on file
1	Furnish PFS for David and Joyce Schweikert within 30 days of Lender'	A	3/14		3/2014	3/31/2013 on file
	Other:					
	Other:					

Documentation Requirements
- ☒ Standard Laser Pro Documents
- ☐ Accounts Receivable / Inventory Addendum
- ☐ Other – Outside Legal Counsel
- ☐ Other –

#	Conditions Precedent:
1	Need site visitation form completed prior to closing.

#	Modifications / Approval Conditions:

MPB_0619

..IANGE IN TERMS AGREEME..

Principal	Loan Date	Maturity	Loan No	Call / Coll	Account	Officer	Initials
$153,886.80	02-14-2011	06-05-2018	[redacted]	131 / 13		RAS	

References in the boxes above are for Lender's use only and do not limit the applicability of this document to any particular loan or item.
Any item above containing "****" has been omitted due to text length limitations.

Borrower: Sheridan Equities LLC, David S. Schweikert and Joyce R. Schweikert
[redacted]

Lender: Metro Phoenix Bank
Main Office
4686 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

Principal Amount: $153,886.80 **Date of Agreement: May 31, 2013**

DESCRIPTION OF EXISTING INDEBTEDNESS. A certain Promissory Note and Revolving Line of Credit dated July 30, 2010 in the amount of $75,000.00, executed by Borrower in favor of Lender; and a certain Promissory Note and Revolving Line of Credit dated February 14, 2011 in the amount of $154,000.00, executed by Borrower in favor of Lender; and a certain Change In Terms Agreement dated March 5, 2012 in the amount of $154,000.00, with a current outstanding Principal Balance of $153,886.80, executed by Borrower in favor of Lender. The Notes and Change In Terms Agreement are hereinafter referred to as the "Note".

DESCRIPTION OF COLLATERAL. That certain Deed of Trust and Assignment of Rents dated July 30, 2010, executed by Borrower as Trustor, and Lender as Beneficiary and Trustee, and Recorded August 2, 2010 at File #20100857371 in the office of the County Recorder of Maricopa County, Arizona, on property located at 3031 N. 64th Dr., Phoenix, AZ 85033; AND that certain Deed of Trust and Assignment of Rents dated July 30, 2010, executed by Borrower as Trustor, and Lender as Beneficiary and Trustee, and Recorded August 2, 2010 at File #20100857360 in the office of the County Recorder of Maricopa County, Arizona, on property located at 6413 W. Lamar Rd., Glendale, AZ 85301; AND that certain Deed of Trust and Assignment of Rents dated July 30, 2010, executed by Borrower as Trustor, and Lender as Beneficiary and Trustee, and Recorded August 2, 2010 at File #20100857356 in the office of the County Recorder of Maricopa County, Arizona, on property located at 3338 E. Willetta St., Phoenix, AZ 85008; AND that certain Deed of Trust and Assignment of Rents dated February 14, 2011, executed by Borrower as Trustor, and Lender as Beneficiary and Trustee, and Recorded February 24, 2011 at File #20110161378 in the office of the County Recorder of Maricopa County, Arizona, on property located at 5920 W. State Ave., Glendale, AZ 85301.

DESCRIPTION OF CHANGE IN TERMS.

1. The Maturity Date of said Note shall now be June 5, 2018.
2. Effective May 31, 2013, the Note shall no longer be a Revolving Line of Credit and the interest rate shall be changed to 6.00% fixed. Said Note shall now be termed out over a period of five (5) years, at a rate of 6.00%, with principal and interest payments due monthly in the amount of $1,111.21, based on a 20 year amortization, beginning July 5, 2013, and shall continue thereafter until the Maturity Date of June 5, 2018, at which time all remaining principal and accrued interest shall be due and payable! and as further described under "Payment" paragraph below.
3. Borrower shall pay interest due of $777.98, good through May 31, 2013, on the day this Change In Terms Agreement is signed.
4. Borrower shall pay a Documentation Fee of $250.00 on the day this Change In Terms Agreement is signed.
5. Tax Service Contracts shall be ordered on the four (4) properties securing said Note at a cost of $250.00, and a Modification of Deed of Trust Recording Fee of $9.00 shall be due and payable by Borrower on the day this Change In Terms Agreement is signed.
6. Borrower shall sign new Documents, as deemed necessary by Lender, with this Change In Terms Agreement, including but not limited to: Change In Terms Agreement; Loan Agreement; Borrowing Resolution; and Modification of Deed of Trust Agreement.

All other terms and conditions of said Note shall remain the same and in full force and effect.

PAYMENT. Borrower will pay this loan in 59 regular payments of $1,111.21 each and one irregular last payment estimated at $132,052.10. Borrower's first payment is due July 5, 2013, and all subsequent payments are due on the same day of each month after that. Borrower's final payment will be due on June 5, 2018, and will be for all principal and all accrued interest not yet paid.

INTEREST CALCULATION METHOD. Interest on this loan is computed on a 365/360 basis; that is, by applying the ratio of the interest rate over a year of 360 days, multiplied by the outstanding principal balance, multiplied by the actual number of days the principal balance is outstanding. All interest payable under the loan is computed using this method.

CONTINUING VALIDITY. Except as expressly changed by this Agreement, the terms of the original obligation or obligations, including all agreements evidenced or securing the obligation(s), remain unchanged and in full force and effect. Consent by Lender to this Agreement does not waive Lender's right to strict performance of the obligation(s) as changed, nor obligate Lender to make any future change in terms. Nothing in this Agreement will constitute a satisfaction of the obligation(s). It is the intention of Lender to retain as liable parties all makers and endorsers of the original obligation(s), including accommodation parties, unless a party is expressly released by Lender in writing. Any maker or endorser, including accommodation makers, will not be released by virtue of this Agreement. If any person who signed the original obligation does not sign this Agreement below, then all persons signing below acknowledge that this Agreement is given conditionally, based on the representation to Lender that the non-signing party consents to the changes and provisions of this Agreement or otherwise will not be released by it. This waiver applies not only to any initial extension, modification or release, but also to all such subsequent actions.

MPB_0520

Loan No: [redacted]

CHANGE IN TERMS AGREEMENT
(Continued)

PRIOR TO SIGNING THIS AGREEMENT, EACH BORROWER READ AND UNDERSTOOD ALL THE PROVISIONS OF THIS AGREEMENT. EACH BORROWER AGREES TO THE TERMS OF THE AGREEMENT.

CHANGE IN TERMS SIGNERS:

SHERIDAN EQUITIES LLC

By: [redacted]
David Schweikert, Manager of Sheridan Equities LLC

X [redacted]
David S. Schweikert, Guarantor

X
Joyce R. Schweikert, Guarantor

LENDER:

METRO PHOENIX BANK

X [redacted]
Mary Myron, Loan Officer

MPB_0521

Loan No: [redacted]

CHANGE IN TERMS AGREEMENT
(Continued)

Page 2

PRIOR TO SIGNING THIS AGREEMENT, EACH BORROWER READ AND UNDERSTOOD ALL THE PROVISIONS OF THIS AGREEMENT. EACH BORROWER AGREES TO THE TERMS OF THE AGREEMENT.

CHANGE IN TERMS SIGNERS:

SHERIDAN EQUITIES LLC

By: _____
David Schweikert, Manager of Sheridan Equities LLC

X _____
David S. Schweikert, Guarantor

X [redacted]
Joyce R. Schweikert, Guarantor

LENDER:

METRO PHOENIX BANK

X [redacted]
Mary Myron, Loan Officer

MPB_0419

USINESS LOAN AGREEMEN

Principal	Loan Date	Maturity	Loan No	Call / Coll	Account	Officer
$153,886.80	02-14-2011	08-05-2018	[redacted]	131 / 13		RAS

References in the boxes above are for Lender's use only and do not limit the applicability of this document to any particular loan or item. Any item above containing "***" has been omitted due to text length limitations.

Borrower: Sheridan Equities LLC, David S. Schweikert and Joyce R. Schweikert
[redacted]

Lender: Metro Phoenix Bank
Main Office
4680 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

THIS BUSINESS LOAN AGREEMENT dated May 31, 2013, is made and executed between Sheridan Equities LLC, David S. Schweikert and Joyce R. Schweikert ("Borrower") and Metro Phoenix Bank ("Lender") on the following terms and conditions. Borrower has received prior commercial loans from Lender or has applied to Lender for a commercial loan or loans or other financial accommodations, including those which may be described on any exhibit or schedule attached to this Agreement. Borrower understands and agrees that: (A) in granting, renewing, or extending any Loan, Lender is relying upon Borrower's representations, warranties, and agreements as set forth in this Agreement; (B) the granting, renewing, or extending of any Loan by Lender at all times shall be subject to Lender's sole judgment and discretion; and (C) all such Loans shall be and remain subject to the terms and conditions of this Agreement.

TERM. This Agreement shall be effective as of May 31, 2013, and shall continue in full force and effect until such time as all of Borrower's Loans in favor of Lender have been paid in full, including principal, interest, costs, expenses, attorneys' fees, and other fees and charges, or until such time as the parties may agree in writing to terminate this Agreement.

ADVANCE AUTHORITY. The following person or persons are authorized to request advances and authorize payments under the loan until Lender receives from Borrower, at Lender's address shown above, written notice of revocation of such authority: David S. Schweikert, Manager of Sheridan Equities, LLC; David S. Schweikert, Individually; and Joyce R. Schweikert, Individually.

CONDITIONS PRECEDENT TO EACH ADVANCE. Lender's obligation to make the initial Advance and each subsequent Advance under this Agreement shall be subject to the fulfillment to Lender's satisfaction of all of the conditions set forth in this Agreement and in the Related Documents.

Loan Documents. Borrower shall provide to Lender the following documents for the Loan: (1) the Note; (2) Security Agreements granting to Lender security interests in the Collateral; (3) financing statements and all other documents perfecting Lender's Security Interests; (4) evidence of insurance as required below; (5) together with all such Related Documents as Lender may request for the Loan, all in form and substance satisfactory to Lender and Lender's counsel.

Payment of Fees and Expenses. Borrower shall have paid to Lender all fees, charges, and other expenses which are then due and payable as specified in this Agreement or any Related Document.

Representations and Warranties. The representations and warranties set forth in this Agreement, in the Related Documents, and in any document or certificate delivered to Lender under this Agreement are true and correct.

No Event of Default. There shall not exist at the time of any Advance a condition which would constitute an Event of Default under this Agreement or under any Related Document.

MULTIPLE BORROWERS. This Agreement has been executed by multiple obligors who are referred to in this Agreement individually, collectively and interchangeably as "Borrower." Unless specifically stated to the contrary, the word "Borrower" as used in this Agreement, including without limitation all representations, warranties and covenants, shall include all Borrowers. Borrower understands and agrees that, with or without notice to any one Borrower, Lender may: (A) make one or more additional secured or unsecured loans or otherwise extend additional credit with respect to any other Borrower; (B) with respect to any other Borrower alter, compromise, renew, extend, accelerate, or otherwise change one or more times the time for payment or other terms of any indebtedness, including increases and decreases of the rate of interest on the indebtedness; (C) exchange, enforce, waive, subordinate, fail or decide not to perfect, and release any security, with or without the substitution of new collateral; (D) release, substitute, agree not to sue, or deal with any one or more of Borrower's or any other Borrower's sureties, endorsers, or other guarantors on any terms or in any manner Lender may choose; (E) determine how, when and what application of payments and credits shall be made on any indebtedness; (F) apply such security and direct the order or manner of sale of any Collateral, including without limitation, any non-judicial sale permitted by the terms of the controlling security agreement or deed of trust, as Lender in its discretion may determine; (G) sell, transfer, assign or grant participations in all or any part of the Loan; (H) exercise or refrain from exercising any rights against Borrower or others, or otherwise act or refrain from acting; (I) settle or compromise any indebtedness; and (J) subordinate the payment of all or any part of any of Borrower's indebtedness to Lender to the payment of any liabilities which may be due Lender or others.

REPRESENTATIONS AND WARRANTIES. Borrower represents and warrants to Lender, as of the date of this Agreement, as of the date of each disbursement of loan proceeds, as of the date of any renewal, extension or modification of any Loan, and at all times any indebtedness exists:

Organization. Sheridan Equities LLC is a limited liability company which is, and at all times shall be, duly organized, validly existing, and in good standing under and by virtue of the laws of the State of Arizona. Sheridan Equities LLC is duly authorized to transact business in all other states in which Sheridan Equities LLC is doing business, having obtained all necessary filings, governmental licenses and approvals for each state in which Sheridan Equities LLC is doing business. Specifically, Sheridan Equities LLC is, and at all times shall be, duly qualified as a foreign limited liability company in all states in which the failure to so qualify would have a material adverse effect on its business or financial condition. Sheridan Equities LLC has the full power and authority to own its properties and to transact the business in which it is presently engaged or presently proposes to engage. Sheridan Equities LLC maintains an office at 15749 E. El Lago Blvd, Fountain Hills, AZ 85268. Unless Sheridan Equities LLC has designated otherwise in writing, the principal office is the office at which Sheridan Equities LLC keeps its books and records including its records concerning the Collateral. Sheridan Equities LLC will notify Lender prior to any change in the location of Sheridan Equities LLC's state of organization or any change in Sheridan Equities LLC's name. Sheridan Equities LLC shall do all things necessary to preserve and to keep in full force and effect its existence, rights and privileges, and shall comply with all regulations, rules, ordinances, statutes, orders and decrees of any governmental or quasi-governmental authority or court applicable to Sheridan Equities LLC and Sheridan Equities LLC's business activities.

David S. Schweikert maintains an office at 15749 E. El Lago Blvd, Fountain Hills, AZ 85268. Unless David S. Schweikert has designated otherwise in writing, the principal office is the office at which David S. Schweikert keeps its books and records including its records concerning the Collateral. David S. Schweikert will notify Lender prior to any change in the location of David S. Schweikert's principal office address or any change in David S. Schweikert's name. David S. Schweikert shall do all things necessary to comply with all regulations, rules, ordinances, statutes, orders and decrees of any governmental or quasi-governmental authority or court applicable to David S. Schweikert and David S. Schweikert's business activities.

MPB_0420

Joyce R. Schweikert maintains an office at 15749 E. El Lago Blvd, Fountain Hills, AZ 85268. Unless Joyce R. Schweikert has designated otherwise in writing, the principal office is the office at which Joyce R. Schweikert keeps its books and records including its records concerning the Collateral. Joyce R. Schweikert will notify Lender prior to any change in the location of Joyce R. Schweikert's principal office address or any change in Joyce R. Schweikert's name. Joyce R. Schweikert shall do all things necessary to comply with all regulations, rules, ordinances, statutes, orders and decrees of any governmental or quasi-governmental authority or court applicable to Joyce R. Schweikert and Joyce R. Schweikert's business activities.

Assumed Business Names. Borrower has filed or recorded all documents or filings required by law relating to all assumed business names used by Borrower. Excluding the name of Borrower, the following is a complete list of all assumed business names under which Borrower does business: **None.**

Authorization. Borrower's execution, delivery, and performance of this Agreement and all the Related Documents do not conflict with, result in a violation of, or constitute a default under (1) any provision of (a) Borrower's articles of organization or membership agreements, or (b) any agreement or other instrument binding upon Borrower or (2) any law, governmental regulation, court decree, or order applicable to Borrower or to Borrower's properties.

Financial Information. Each of Borrower's financial statements supplied to Lender truly and completely disclosed Borrower's financial condition as of the date of the statement, and there has been no material adverse change in Borrower's financial condition subsequent to the date of the most recent financial statement supplied to Lender. Borrower has no material contingent obligations except as disclosed in such financial statements.

Legal Effect. This Agreement constitutes, and any instrument or agreement Borrower is required to give under this Agreement when delivered will constitute legal, valid, and binding obligations of Borrower enforceable against Borrower in accordance with their respective terms.

Properties. Except as contemplated by this Agreement or as previously disclosed in Borrower's financial statements or in writing to Lender and as accepted by Lender, and except for property tax liens for taxes not presently due and payable, Borrower owns and has good title to all of Borrower's properties free and clear of all Security Interests, and has not executed any security documents or financing statements relating to such properties. All of Borrower's properties are titled in Borrower's legal name, and Borrower has not used or filed a financing statement under any other name for at least the last five (5) years.

Hazardous Substances. Except as disclosed to and acknowledged by Lender in writing, Borrower represents and warrants that: (1) During the period of Borrower's ownership of the Collateral, there has been no use, generation, manufacture, storage, treatment, disposal, release or threatened release of any Hazardous Substance by any person on, under, about or from any of the Collateral. (2) Borrower has no knowledge of, or reason to believe that there has been (a) any breach or violation of any Environmental Laws; (b) any use, generation, manufacture, storage, treatment, disposal, release or threatened release of any Hazardous Substance on, under, about or from the Collateral by any prior owners or occupants of any of the Collateral; or (c) any actual or threatened litigation or claims of any kind by any person relating to such matters. (3) Neither Borrower nor any tenant, contractor, agent or other authorized user of any of the Collateral shall use, generate, manufacture, store, treat, dispose of or release any Hazardous Substance on, under, about or from any of the Collateral; and any such activity shall be conducted in compliance with all applicable federal, state, and local laws, regulations, and ordinances, including without limitation all Environmental Laws. Borrower authorizes Lender and its agents to enter upon the Collateral to make such inspections and tests as Lender may deem appropriate to determine compliance of the Collateral with this section of the Agreement. Any inspections or tests made by Lender shall be at Borrower's expense and for Lender's purposes only and shall not be construed to create any responsibility or liability on the part of Lender to Borrower or to any other person. The representations and warranties contained herein are based on Borrower's due diligence in investigating the Collateral for hazardous waste and Hazardous Substances. Borrower hereby: (1) releases and waives any future claims against Lender for indemnity or contribution in the event Borrower becomes liable for cleanup or other costs under any such laws; and (2) agrees to indemnify, defend, and hold harmless Lender against any and all claims, losses, liabilities, damages, penalties, and expenses which Lender may directly or indirectly sustain or suffer resulting from a breach of this section of the Agreement or as a consequence of any use, generation, manufacture, storage, disposal, release or threatened release of a hazardous waste or substance on the Collateral. The provisions of this section of the Agreement, including the obligation to indemnify and defend, shall survive the payment of the Indebtedness and the termination, expiration or satisfaction of this Agreement and shall not be affected by Lender's acquisition of any interest in any of the Collateral, whether by foreclosure or otherwise.

Litigation and Claims. No litigation, claim, investigation, administrative proceeding or similar action (including those for unpaid taxes) against Borrower is pending or threatened, and no other event has occurred which may materially adversely affect Borrower's financial condition or properties, other than litigation, claims, or other events, if any, that have been disclosed to and acknowledged by Lender in writing.

Taxes. To the best of Borrower's knowledge, all of Borrower's tax returns and reports that are or were required to be filed, have been filed, and all taxes, assessments and other governmental charges have been paid in full, except those presently being or to be contested by Borrower in good faith in the ordinary course of business and for which adequate reserves have been provided.

Lien Priority. Unless otherwise previously disclosed to Lender in writing, Borrower has not entered into or granted any Security Agreements, or permitted the filing or attachment of any Security Interests on or affecting any of the Collateral directly or indirectly securing repayment of Borrower's Loan and Note, that would be prior or that may in any way be superior to Lender's Security Interests and rights in and to such Collateral.

Binding Effect. This Agreement, the Note, all Security Agreements (if any), and all Related Documents are binding upon the signers thereof, as well as upon their successors, representatives and assigns, and are legally enforceable in accordance with their respective terms.

AFFIRMATIVE COVENANTS. Borrower covenants and agrees with Lender that, so long as this Agreement remains in effect, Borrower will:

Notices of Claims and Litigation. Promptly inform Lender in writing of: (1) all material adverse changes in Borrower's financial condition; and (2) all existing and all threatened litigation, claims, investigations, administrative proceedings or similar actions affecting Borrower or any Guarantor which could materially affect the financial condition of Borrower or the financial condition of any Guarantor.

Financial Records. Maintain its books and records in accordance with GAAP, applied on a consistent basis, and permit Lender to examine and audit Borrower's books and records at all reasonable times.

Financial Statements. Furnish Lender with the following:

Tax Returns. As soon as available, but in no event later than thirty (30) days after the applicable filing date for the tax reporting period ended, Borrower's Federal and other governmental tax returns, prepared by Borrower.

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Additional Requirements. Provide Lender with a personal financial statement within 30 days of Lender's request.

All financial reports required to be provided under this Agreement shall be prepared in accordance with GAAP, applied on a consistent basis, and certified by Borrower as being true and correct.

Additional Information. Furnish such additional information and statements, as Lender may request from time to time.

Additional Requirements. At all times during the term of the Loan, the unpaid principal balance of the Loan shall not exceed seventy percent (70%) of the value of the collateral property, as determined by Lender in Lender's sole discretion. If for any reason the Loan-to-Value Ratio exceeds said percentage, then Borrower shall, upon Lender's demand, immediately reduce the unpaid principal balance of the Loan, or deposit sufficient sums with Lender to reduce the Loan-to-Value Ratio to at or below said percentage.

Initials

Insurance. Maintain fire and other risk insurance, public liability insurance, and such other insurance as Lender may require with respect to Borrower's properties and operations, in form, amounts, coverages and with insurance companies acceptable to Lender. Borrower, upon request of Lender, will deliver to Lender from time to time the policies or certificates of insurance in form satisfactory to Lender, including stipulations that coverages will not be cancelled or diminished without at least ten (10) days prior written notice to Lender. Each insurance policy also shall include an endorsement providing that coverage in favor of Lender will not be impaired in any way by any act, omission or default of Borrower or any other person. In connection with all policies covering assets in which Lender holds or is offered a security interest for the Loans, Borrower will provide Lender with such lender's loss payable or other endorsements as Lender may require.

Insurance Reports. Furnish to Lender, upon request of Lender, reports on each existing insurance policy showing such information as Lender may reasonably request, including without limitation the following: (1) the name of the insurer; (2) the risks insured; (3) the amount of the policy; (4) the properties insured; (5) the then current property values on the basis of which insurance has been obtained, and the manner of determining those values; and (6) the expiration date of the policy. In addition, upon request of Lender (however not more often than annually), Borrower will have an independent appraiser satisfactory to Lender determine, as applicable, the actual cash value or replacement cost of any Collateral. The cost of such appraisal shall be paid by Borrower.

Other Agreements. Comply with all terms and conditions of all other agreements, whether now or hereafter existing, between Borrower and any other party and notify Lender immediately in writing of any default in connection with any other such agreements.

Loan Proceeds. Use all Loan proceeds solely for Borrower's business operations, unless specifically consented to the contrary by Lender in writing.

Taxes, Charges and Liens. Pay and discharge when due all of its indebtedness and obligations, including without limitation all assessments, taxes, governmental charges, levies and liens, of every kind and nature, imposed upon Borrower or its properties, income, or profits, prior to the date on which penalties would attach, and all lawful claims that, if unpaid, might become a lien or charge upon any of Borrower's properties, income, or profits. Provided however, Borrower will not be required to pay and discharge any such assessment, tax, charge, levy, lien or claim so long as (1) the legality of the same shall be contested in good faith by appropriate proceedings, and (2) Borrower shall have established on Borrower's books adequate reserves with respect to such contested assessment, tax, charge, levy, lien, or claim in accordance with GAAP.

Performance. Perform and comply, in a timely manner, with all terms, conditions, and provisions set forth in this Agreement, in the Related Documents, and in all other instruments and agreements between Borrower and Lender. Borrower shall notify Lender immediately in writing of any default in connection with any agreement.

Operations. Maintain executive and management personnel with substantially the same qualifications and experience as the present executive and management personnel; provide written notice to Lender of any change in executive and management personnel; conduct its business affairs in a reasonable and prudent manner.

Environmental Studies. Promptly conduct and complete, at Borrower's expense, all such investigations, studies, samplings and testings as may be requested by Lender or any governmental authority relative to any substance, or any waste or by-product of any substance defined as toxic or a hazardous substance under applicable federal, state, or local law, rule, regulation, order or directive, at or affecting any property or any facility owned, leased or used by Borrower.

Compliance with Governmental Requirements. Comply with all laws, ordinances, and regulations, now or hereafter in effect, of all governmental authorities applicable to the conduct of Borrower's properties, businesses and operations, and to the use or occupancy of the Collateral, including without limitation, the Americans With Disabilities Act. Borrower may contest in good faith any such law, ordinance, or regulation and withhold compliance during any proceeding, including appropriate appeals, so long as Borrower has notified Lender in writing prior to doing so and so long as, in Lender's sole opinion, Lender's interests in the Collateral are not jeopardized. Lender may require Borrower to post adequate security or a surety bond, reasonably satisfactory to Lender, to protect Lender's interest.

Inspection. Permit employees or agents of Lender at any reasonable time to inspect any and all Collateral for the Loan or Loans and Borrower's other properties and to examine or audit Borrower's books, accounts, and records and to make copies and memoranda of Borrower's books, accounts, and records. If Borrower now or at any time hereafter maintains any records (including without limitation computer generated records and computer software programs for the generation of such records) in the possession of a third party, Borrower, upon request of Lender, shall notify such party to permit Lender free access to such records at all reasonable times and to provide Lender with copies of any records it may request, all at Borrower's expense.

Environmental Compliance and Reports. Borrower shall comply in all respects with any and all Environmental Laws; not cause or permit to exist, as a result of an intentional or unintentional action or omission on Borrower's part or on the part of any third party, on property owned and/or occupied by Borrower, any environmental activity where damage may result to the environment, unless such environmental activity is pursuant to and in compliance with the conditions of a permit issued by the appropriate federal, state or local governmental authorities; shall furnish to Lender promptly and in any event within thirty (30) days after receipt thereof a copy of any notice, summons, lien, citation, directive, letter or other communication from any governmental agency or instrumentality concerning any intentional or unintentional action or omission on Borrower's part in connection with any environmental activity whether or not there is damage to the environment and/or other natural resources.

Additional Assurances. Make, execute and deliver to Lender such promissory notes, mortgages, deeds of trust, security agreements, assignments, financing statements, instruments, documents and other agreements as Lender or its attorneys may reasonably request to evidence and secure the Loans and to perfect all Security Interests.

RECOVERY OF ADDITIONAL COSTS. If the imposition of or any change in any law, rule, regulation or guideline, or the interpretation or application of any thereof by any court or administrative or governmental authority (including any request or policy not having the force of law)

MPB_0422

Additional Requirements. Provide Lender with a personal financial statement within 30 days of Lender's request.

All financial reports required to be provided under this Agreement shall be prepared in accordance with GAAP, applied on a consistent basis, and certified by Borrower as being true and correct.

Additional Information. Furnish such additional information and statements, as Lender may request from time to time.

Additional Requirements. At all times during the term of the Loan, the unpaid principal balance of the Loan shall not exceed seventy percent (70%) of the value of the collateral property, as determined by Lender in Lender's sole discretion. If for any reason the Loan-to-Value Ratio exceeds said percentage, then Borrower shall, upon Lender's demand, immediately reduce the unpaid principal balance of the Loan, or deposit sufficient sums with Lender to reduce the Loan-to-Value Ratio to at or below said percentage.

Initials: _____

Insurance. Maintain fire and other risk insurance, public liability insurance, and such other insurance as Lender may require with respect to Borrower's properties and operations, in form, amounts, coverages and with insurance companies acceptable to Lender. Borrower, upon request of Lender, will deliver to Lender from time to time the policies or certificates of insurance in form satisfactory to Lender, including stipulations that coverages will not be cancelled or diminished without at least ten (10) days prior written notice to Lender. Each insurance policy also shall include an endorsement providing that coverage in favor of Lender will not be impaired in any way by any act, omission or default of Borrower or any other person. In connection with all policies covering assets in which Lender holds or is offered a security interest for the Loans, Borrower will provide Lender with such lender's loss payable or other endorsements as Lender may require.

Insurance Reports. Furnish to Lender, upon request of Lender, reports on each existing insurance policy showing such information as Lender may reasonably request, including without limitation the following: (1) the name of the insurer; (2) the risks insured; (3) the amount of the policy; (4) the properties insured; (5) the then current property values on the basis of which insurance has been obtained, and the manner of determining those values; and (6) the expiration date of the policy. In addition, upon request of Lender (however not more often than annually), Borrower will have an independent appraiser satisfactory to Lender determine, as applicable, the actual cash value or replacement cost of any Collateral. The cost of such appraisal shall be paid by Borrower.

Other Agreements. Comply with all terms and conditions of all other agreements, whether now or hereafter existing, between Borrower and any other party and notify Lender immediately in writing of any default in connection with any other such agreements.

Loan Proceeds. Use all Loan proceeds solely for Borrower's business operations, unless specifically consented to the contrary by Lender in writing.

Taxes, Charges and Liens. Pay and discharge when due all of its indebtedness and obligations, including without limitation all assessments, taxes, governmental charges, levies and liens, of every kind and nature, imposed upon Borrower or its properties, income, or profits, prior to the date on which penalties would attach, and all lawful claims that, if unpaid, might become a lien or charge upon any of Borrower's properties, income, or profits. Provided however, Borrower will not be required to pay and discharge any such assessment, tax, charge, levy, lien or claim so long as (1) the legality of the same shall be contested in good faith by appropriate proceedings, and (2) Borrower shall have established on Borrower's books adequate reserves with respect to such contested assessment, tax, charge, levy, lien, or claim in accordance with GAAP.

Performance. Perform and comply, in a timely manner, with all terms, conditions, and provisions set forth in this Agreement, in the Related Documents, and in all other instruments and agreements between Borrower and Lender. Borrower shall notify Lender immediately in writing of any default in connection with any agreement.

Operations. Maintain executive and management personnel with substantially the same qualifications and experience as the present executive and management personnel; provide written notice to Lender of any change in executive and management personnel; conduct its business affairs in a reasonable and prudent manner.

Environmental Studies. Promptly conduct and complete, at Borrower's expense, all such investigations, studies, samplings and testings as may be requested by Lender or any governmental authority relative to any substance, or any waste or by-product of any substance defined as toxic or a hazardous substance under applicable federal, state, or local law, rule, regulation, order or directive, at or affecting any property or any facility owned, leased or used by Borrower.

Compliance with Governmental Requirements. Comply with all laws, ordinances, and regulations, now or hereafter in effect, of all governmental authorities applicable to the conduct of Borrower's properties, businesses and operations, and to the use or occupancy of the Collateral, including without limitation, the Americans With Disabilities Act. Borrower may contest in good faith any such law, ordinance, or regulation and withhold compliance during any proceeding, including appropriate appeals, so long as Borrower has notified Lender in writing prior to doing so and so long as, in Lender's sole opinion, Lender's interests in the Collateral are not jeopardized. Lender may require Borrower to post adequate security or a surety bond, reasonably satisfactory to Lender, to protect Lender's interest.

Inspection. Permit employees or agents of Lender at any reasonable time to inspect any and all Collateral for the Loan or Loans and Borrower's other properties and to examine or audit Borrower's books, accounts, and records and to make copies and memoranda of Borrower's books, accounts, and records. If Borrower now or at any time hereafter maintains any records (including without limitation computer generated records and computer software programs for the generation of such records) in the possession of a third party, Borrower, upon request of Lender, shall notify such party to permit Lender free access to such records at all reasonable times and to provide Lender with copies of any records it may request, all at Borrower's expense.

Environmental Compliance and Reports. Borrower shall comply in all respects with any and all Environmental Laws; not cause or permit to exist, as a result of an intentional or unintentional action or omission on Borrower's part or on the part of any third party, on property owned and/or occupied by Borrower, any environmental activity where damage may result to the environment, unless such environmental activity is pursuant to and in compliance with the conditions of a permit issued by the appropriate federal, state or local governmental authorities; shall furnish to Lender promptly and in any event within thirty (30) days after receipt thereof a copy of any notice, summons, lien, citation, directive, letter or other communication from any governmental agency or instrumentality concerning any intentional or unintentional action or omission on Borrower's part in connection with any environmental activity whether or not there is damage to the environment and/or other natural resources.

Additional Assurances. Make, execute and deliver to Lender such promissory notes, mortgages, deeds of trust, security agreements, assignments, financing statements, instruments, documents and other agreements as Lender or its attorneys may reasonably request to evidence and secure the Loans and to perfect all Security interests.

RECOVERY OF ADDITIONAL COSTS. If the imposition of or any change in any law, rule, regulation or guideline, or the interpretation or application of any thereof by any court or administrative or governmental authority (including any request or policy not having the force of law)

MPE_0423

shall impose, modify or make applicable any taxes (except federal, state or local income or franchise taxes imposed on Lender), reserve requirements, capital adequacy requirements or other obligations which would (A) increase the cost to Lender for extending or maintaining the credit facilities to which this Agreement relates, (B) reduce the amounts payable to Lender under this Agreement or the Related Documents, or (C) reduce the rate of return on Lender's capital as a consequence of Lender's obligations with respect to the credit facilities to which this Agreement relates, then Borrower agrees to pay Lender such additional amounts as will compensate Lender therefor, within five (5) days after Lender's written demand for such payment, which demand shall be accompanied by an explanation of such imposition or charge and a calculation in reasonable detail of the additional amounts payable by Borrower, which explanation and calculations shall be conclusive in the absence of manifest error.

LENDER'S EXPENDITURES. If any action or proceeding is commenced that would materially affect Lender's interest in the Collateral or if Borrower fails to comply with any provision of this Agreement or any Related Documents, including but not limited to Borrower's failure to discharge or pay when due any amounts Borrower is required to discharge or pay under this Agreement or any Related Documents, Lender on Borrower's behalf may (but shall not be obligated to) take any action that Lender deems appropriate, to the extent permitted by applicable law, including but not limited to discharging or paying all taxes, liens, security interests, encumbrances and other claims, at any time levied or placed on any Collateral and paying all costs for insuring, maintaining and preserving any Collateral. All such expenditures incurred or paid by Lender for such purposes will then bear interest at the rate charged under the Note from the date incurred or paid by Lender to the date of repayment by Borrower. All such expenses will become a part of the Indebtedness and, at Lender's option, will (A) be payable on demand; (B) be added to the balance of the Note and be apportioned among and be payable with any installment payments to become due during either (1) the term of any applicable insurance policy; or (2) the remaining term of the Note; or (C) be treated as a balloon payment which will be due and payable at the Note's maturity.

CESSATION OF ADVANCES. If Lender has made any commitment to make any Loan to Borrower, whether under this Agreement or under any other agreement, Lender shall have no obligation to make Loan Advances or to disburse Loan proceeds if: (A) Borrower or any Guarantor is in default under the terms of this Agreement or any of the Related Documents or any other agreement that Borrower or any Guarantor has with Lender; (B) Borrower or any Guarantor dies, becomes incompetent or becomes insolvent, files a petition in bankruptcy or similar proceedings, or is adjudged a bankrupt; (C) there occurs a material adverse change in Borrower's financial condition, in the financial condition of any Guarantor, or in the value of any Collateral securing any Loan; or (D) any Guarantor seeks, claims or otherwise attempts to limit, modify or revoke such Guarantor's guaranty of the Loan or any other loan with Lender; or (E) Lender in good faith deems itself insecure, even though no Event of Default shall have occurred.

RIGHT OF SETOFF. To the extent permitted by applicable law, Lender reserves a right of setoff in all Borrower's accounts with Lender (whether checking, savings, or some other account). This includes all accounts Borrower holds jointly with someone else and all accounts Borrower may open in the future. However, this does not include any IRA or Keogh accounts, or any trust accounts for which setoff would be prohibited by law. Borrower authorizes Lender, to the extent permitted by applicable law, to charge or setoff all sums owing on the indebtedness against any and all such accounts, and, at Lender's option, to administratively freeze all such accounts to allow Lender to protect Lender's charge and setoff rights provided in this paragraph.

DEFAULT. Each of the following shall constitute an Event of Default under this Agreement:

Payment Default. Borrower fails to make any payment when due under the Loan.

Other Defaults. Borrower fails to comply with or to perform any other term, obligation, covenant or condition contained in this Agreement or in any of the Related Documents or to comply with or to perform any term, obligation, covenant or condition contained in any other agreement between Lender and Borrower.

Default in Favor of Third Parties. Borrower or any Grantor defaults under any loan, extension of credit, security agreement, purchase or sales agreement, or any other agreement, in favor of any other creditor or person that may materially affect any of Borrower's or any Grantor's property or Borrower's or any Grantor's ability to repay the Loans or perform their respective obligations under this Agreement or any of the Related Documents.

False Statements. Any warranty, representation or statement made or furnished to Lender by Borrower or on Borrower's behalf under this Agreement or the Related Documents is false or misleading in any material respect, either now or at the time made or furnished or becomes false or misleading at any time thereafter.

Death or Insolvency. The dissolution of Borrower (regardless of whether election to continue is made), any member withdraws from Borrower, or any other termination of Borrower's existence as a going business or the death of any member, the insolvency of Borrower, the appointment of a receiver for any part of Borrower's property, any assignment for the benefit of creditors, any type of creditor workout, or the commencement of any proceeding under any bankruptcy or insolvency laws by or against Borrower.

Defective Collateralization. This Agreement or any of the Related Documents ceases to be in full force and effect (including failure of any collateral document to create a valid and perfected security interest or lien) at any time and for any reason.

Creditor or Forfeiture Proceedings. Commencement of foreclosure or forfeiture proceedings, whether by judicial proceeding, self-help, repossession or any other method, by any creditor of Borrower or by any governmental agency against any collateral securing the Loan. This includes a garnishment of any of Borrower's accounts, including deposit accounts, with Lender. However, this Event of Default shall not apply if there is a good faith dispute by Borrower as to the validity or reasonableness of the claim which is the basis of the creditor or forfeiture proceeding and if Borrower gives Lender written notice of the creditor or forfeiture proceeding and deposits with Lender monies or a surety bond for the creditor or forfeiture proceeding, in an amount determined by Lender, in its sole discretion, as being an adequate reserve or bond for the dispute.

Events Affecting Guarantor. Any of the preceding events occurs with respect to any Guarantor of any of the Indebtedness or any Guarantor dies or becomes incompetent, or revokes or disputes the validity of, or liability under, any Guaranty of the Indebtedness.

Adverse Change. A material adverse change occurs in Borrower's financial condition, or Lender believes the prospect of payment or performance of the Loan is impaired.

Insecurity. Lender in good faith believes itself insecure.

Right to Cure. If any default, other than a default on Indebtedness, is curable and if Borrower or Grantor, as the case may be, has not been given a notice of a similar default within the preceding twelve (12) months, it may be cured if Borrower or Grantor, as the case may be, after Lender sends written notice to Borrower or Grantor, as the case may be, demanding cure of such default: (1) cure the default within ten (10) days; or (2) if the cure requires more than ten (10) days, immediately initiate steps which Lender deems in Lender's sole discretion to be sufficient to cure the default and thereafter continue and complete all reasonable and necessary steps sufficient to produce compliance as soon as reasonably practical.

EFFECT OF AN EVENT OF DEFAULT. If any Event of Default shall occur, except where otherwise provided in this Agreement or the Related

Documents, all commitments and obligations of Lender under this Agreement or the Related Documents or any other agreement immediately will terminate (including any obligation to make further Loan Advances or disbursements), and, at Lender's option, all indebtedness immediately will become due and payable, all without notice of any kind to Borrower, except that in the case of an Event of Default of the type described in the "Insolvency" subsection above, such acceleration shall be automatic and not optional. In addition, Lender shall have all the rights and remedies provided in the Related Documents or available at law, in equity, or otherwise. Except as may be prohibited by applicable law, all of Lender's rights and remedies shall be cumulative and may be exercised singularly or concurrently. Election by Lender to pursue any remedy shall not exclude pursuit of any other remedy, and an election to make expenditures or to take action to perform an obligation of Borrower or of any Grantor shall not affect Lender's right to declare a default and to exercise its rights and remedies.

APPRAISAL. If deemed necessary by Lender or if required by law, Lender shall have the right to order appraisal(s) of the Collateral Property from time to time from an appraiser selected by Lender, which appraisals shall comply with all federal and state standards for appraisals and otherwise shall be satisfactory to Lender in all material respects. Borrower agrees to pay the cost and expense for all appraisals and reviews thereof ordered by Lender pursuant to this paragraph.

Initials

MISCELLANEOUS PROVISIONS. The following miscellaneous provisions are a part of this Agreement:

Amendments. This Agreement, together with any Related Documents, constitutes the entire understanding and agreement of the parties as to the matters set forth in this Agreement. No alteration of or amendment to this Agreement shall be effective unless given in writing and signed by the party or parties sought to be charged or bound by the alteration or amendment.

Attorneys' Fees; Expenses. Borrower agrees to pay upon demand all of Lender's costs and expenses, including Lender's attorneys' fees and Lender's legal expenses, incurred in connection with the enforcement of this Agreement. Lender may hire or pay someone else to help enforce this Agreement, and Borrower shall pay the costs and expenses of such enforcement. Costs and expenses include Lender's attorneys' fees and legal expenses whether or not there is a lawsuit, including attorneys' fees and legal expenses for bankruptcy proceedings (including efforts to modify or vacate any automatic stay or injunction), appeals, and any anticipated post-judgment collection services. However, Borrower will only pay attorneys' fees of an attorney not Lender's salaried employee, to whom the matter is referred after Borrower's default. Borrower also shall pay all court costs and such additional fees as may be directed by the court.

Caption Headings. Caption headings in this Agreement are for convenience purposes only and are not to be used to interpret or define the provisions of this Agreement.

Consent to Loan Participation. Borrower agrees and consents to Lender's sale or transfer, whether now or later, of one or more participation interests in the Loan to one or more purchasers, whether related or unrelated to Lender. Lender may provide, without any limitation whatsoever, to any one or more purchasers, or potential purchasers, any information or knowledge Lender may have about Borrower or about any other matter relating to the Loan, and Borrower hereby waives any rights to privacy Borrower may have with respect to such matters. Borrower additionally waives any and all notices of sale of participation interests, as well as all notices of any repurchase of such participation interests. Borrower also agrees that the purchasers of any such participation interests will be considered as the absolute owners of such interests in the Loan and will have all the rights granted under the participation agreement or agreements governing the sale of such participation interests. Borrower further waives all rights of offset or counterclaim that it may have now or later against Lender or against any purchaser of such a participation interest and unconditionally agrees that either Lender or such purchaser may enforce Borrower's obligation under the Loan irrespective of the failure or insolvency of any holder of any interest in the Loan. Borrower further agrees that the purchaser of any such participation interests may enforce its interests irrespective of any personal claims or defenses that Borrower may have against Lender.

Governing Law. This Agreement will be governed by federal law applicable to Lender and, to the extent not preempted by federal law, the laws of the State of Arizona without regard to its conflicts of law provisions. This Agreement has been accepted by Lender in the State of Arizona.

Joint and Several Liability. All obligations of Borrower under this Agreement shall be joint and several, and all references to Borrower shall mean each and every Borrower. This means that each Borrower signing below is responsible for all obligations in this Agreement. Where any one or more of the parties is a corporation, partnership, limited liability company or similar entity, it is not necessary for Lender to inquire into the powers of any of the officers, directors, partners, members, or other agents acting or purporting to act on the entity's behalf, and any obligations made or created in reliance upon the professed exercise of such powers shall be guaranteed under this Agreement.

No Waiver by Lender. Lender shall not be deemed to have waived any rights under this Agreement unless such waiver is given in writing and signed by Lender. No delay or omission on the part of Lender in exercising any right shall operate as a waiver of such right or any other right. A waiver by Lender of a provision of this Agreement shall not prejudice or constitute a waiver of Lender's right otherwise to demand strict compliance with that provision or any other provision of this Agreement. No prior waiver by Lender, nor any course of dealing between Lender and Borrower, or between Lender and any Grantor, shall constitute a waiver of any of Lender's rights or of any of Borrower's or any Grantor's obligations as to any future transactions. Whenever the consent of Lender is required under this Agreement, the granting of such consent by Lender in any instance shall not constitute continuing consent to subsequent instances where such consent is required and in all cases such consent may be granted or withheld in the sole discretion of Lender.

Notices. Any notice required to be given under this Agreement shall be given in writing, and shall be effective when actually delivered, when actually received by telefacsimile (unless otherwise required by law), when deposited with a nationally recognized overnight courier, or, if mailed, when deposited in the United States mail, as first class, certified or registered mail postage prepaid, directed to the addresses shown near the beginning of this Agreement. Any party may change its address for notices under this Agreement by giving formal written notice to the other parties, specifying that the purpose of the notice is to change the party's address. For notice purposes, Borrower agrees to keep Lender informed at all times of Borrower's current address. Unless otherwise provided or required by law, if there is more than one Borrower, any notice given by Lender to any Borrower is deemed to be notice given to all Borrowers.

Severability. If a court of competent jurisdiction finds any provision of this Agreement to be illegal, invalid, or unenforceable as to any person or circumstance, that finding shall not make the offending provision illegal, invalid, or unenforceable as to any other person or circumstance. If feasible, the offending provision shall be considered modified so that it becomes legal, valid and enforceable. If the offending provision cannot be so modified, it shall be considered deleted from this Agreement. Unless otherwise required by law, the illegality, invalidity, or unenforceability of any provision of this Agreement shall not affect the legality, validity or enforceability of any other provision of this Agreement.

Successors and Assigns. All covenants and agreements by or on behalf of Borrower contained in this Agreement or any Related Documents shall bind Borrower's successors and assigns and shall inure to the benefit of Lender and its successors and assigns. Borrower

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Documents, all commitments and obligations of Lender under this Agreement or the Related Documents or any other agreement immediately will terminate (including any obligation to make further Loan Advances or disbursements), and, at Lender's option, all indebtedness immediately will become due and payable, all without notice of any kind to Borrower, except that in the case of an Event of Default of the type described in the "Insolvency" subsection above, such acceleration shall be automatic and not optional. In addition, Lender shall have all the rights and remedies provided in the Related Documents or available at law, in equity, or otherwise. Except as may be prohibited by applicable law, all of Lender's rights and remedies shall be cumulative and may be exercised singularly or concurrently. Election by Lender to pursue any remedy shall not include pursuit of any other remedy, and an election to make expenditures or to take action to perform an obligation of Borrower or of any Grantor shall not affect Lender's right to declare a default and to exercise its rights and remedies.

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Attorneys' Fees; Expenses. Borrower agrees to pay upon demand all of Lender's costs and expenses, including Lender's attorneys' fees and Lender's legal expenses, incurred in connection with the enforcement of this Agreement. Lender may hire or pay someone else to help enforce this Agreement, and Borrower shall pay the costs and expenses of such enforcement. Costs and expenses include Lender's attorneys' fees and legal expenses whether or not there is a lawsuit, including attorneys' fees and legal expenses for bankruptcy proceedings (including efforts to modify or vacate any automatic stay or injunction), appeals, and any anticipated post-judgment collection services. However, Borrower will only pay attorneys' fees of an attorney not Lender's salaried employee, to whom the matter is referred after Borrower's default. Borrower also shall pay all court costs and such additional fees as may be directed by the court.

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Governing Law. This Agreement will be governed by federal law applicable to Lender and, to the extent not preempted by federal law, the laws of the State of Arizona without regard to its conflicts of law provisions. This Agreement has been accepted by Lender in the State of Arizona.

Joint and Several Liability. All obligations of Borrower under this Agreement shall be joint and several, and all references to Borrower shall mean each and every Borrower. This means that each Borrower signing below is responsible for all obligations in this Agreement. Where any one or more of the parties is a corporation, partnership, limited liability company or similar entity, it is not necessary for Lender to inquire into the powers of any of the officers, directors, partners, members, or other agents acting or purporting to act on the entity's behalf, and any obligations made or created in reliance upon the professed exercise of such powers shall be guaranteed under this Agreement.

No Waiver by Lender. Lender shall not be deemed to have waived any rights under this Agreement unless such waiver is given in writing and signed by Lender. No delay or omission on the part of Lender in exercising any right shall operate as a waiver of such right or any other right. A waiver by Lender of a provision of this Agreement shall not prejudice or constitute a waiver of Lender's right otherwise to demand strict compliance with that provision or any other provision of this Agreement. No prior waiver by Lender, nor any course of dealing between Lender and Borrower, or between Lender and any Grantor, shall constitute a waiver of any of Lender's rights or of any of Borrower's or any Grantor's obligations as to any future transactions. Whenever the consent of Lender is required under this Agreement, the granting of such consent by Lender in any instance shall not constitute continuing consent to subsequent instances where such consent is required and in all cases such consent may be granted or withheld in the sole discretion of Lender.

Notices. Any notice required to be given under this Agreement shall be given in writing, and shall be effective when actually delivered, when actually received by telefacsimile (unless otherwise required by law), when deposited with a nationally recognized overnight courier, or, if mailed, when deposited in the United States mail, as first class, certified or registered mail postage prepaid, directed to the addresses shown near the beginning of this Agreement. Any party may change its address for notices under this Agreement by giving formal written notice to the other parties, specifying that the purpose of the notice is to change the party's address. For notice purposes, Borrower agrees to keep Lender informed at all times of Borrower's current address. Unless otherwise provided or required by law, if there is more than one Borrower, any notice given by Lender to any Borrower is deemed to be notice given to all Borrowers.

Severability. If a court of competent jurisdiction finds any provision of this Agreement to be illegal, invalid, or unenforceable as to any person or circumstance, that finding shall not make the offending provision illegal, invalid, or unenforceable as to any other person or circumstance. If feasible, the offending provision shall be considered modified so that it becomes legal, valid and enforceable. If the offending provision cannot be so modified, it shall be considered deleted from this Agreement. Unless otherwise required by law, the illegality, invalidity, or unenforceability of any provision of this Agreement shall not affect the legality, validity or enforceability of any other provision of this Agreement.

Successors and Assigns. All covenants and agreements by or on behalf of Borrower contained in this Agreement or any Related Documents shall bind Borrower's successors and assigns and shall inure to the benefit of Lender and its successors and assigns. Borrower

MPB_0426

shall not, however, have the right to assign Borrower's rights under this Agreement or any interest therein, without the prior written consent of Lender.

Survival of Representations and Warranties. Borrower understands and agrees that in making the Loan, Lender is relying on all representations, warranties, and covenants made by Borrower in this Agreement or in any certificate or other instrument delivered by Borrower to Lender under this Agreement or the Related Documents. Borrower further agrees that regardless of any investigation made by Lender, all such representations, warranties and covenants will survive the making of the Loan and delivery to Lender of the Related Documents, shall be continuing in nature, and shall remain in full force and effect until such time as Borrower's Indebtedness shall be paid in full, or until this Agreement shall be terminated in the manner provided above, whichever is the last to occur.

Time is of the Essence. Time is of the essence in the performance of this Agreement.

Waive Jury. All parties to this Agreement hereby waive the right to any jury trial in any action, proceeding, or counterclaim brought by any party against any other party.

DEFINITIONS. The following capitalized words and terms shall have the following meanings when used in this Agreement. Unless specifically stated to the contrary, all references to dollar amounts shall mean amounts in lawful money of the United States of America. Words and terms used in the singular shall include the plural, and the plural shall include the singular, as the context may require. Words and terms not otherwise defined in this Agreement shall have the meanings attributed to such terms in the Uniform Commercial Code. Accounting words and terms not otherwise defined in this Agreement shall have the meanings assigned to them in accordance with generally accepted accounting principles as in effect on the date of this Agreement:

Advance. The word "Advance" means a disbursement of Loan funds made, or to be made, to Borrower or on Borrower's behalf on a line of credit or multiple advance basis under the terms and conditions of this Agreement.

Agreement. The word "Agreement" means this Business Loan Agreement, as this Business Loan Agreement may be amended or modified from time to time, together with all exhibits and schedules attached to this Business Loan Agreement from time to time.

Borrower. The word "Borrower" means Sheridan Equities LLC, David S. Schweikert and Joyce R. Schweikert and includes all co-signers and co-makers signing the Note and all their successors and assigns.

Collateral. The word "Collateral" means all property and assets granted as collateral security for a Loan, whether real or personal property, whether granted directly or indirectly, whether granted now or in the future, and whether granted in the form of a security interest, mortgage, collateral mortgage, deed of trust, assignment, pledge, crop pledge, chattel mortgage, collateral chattel mortgage, chattel trust, factor's lien, equipment trust, conditional sale, trust receipt, lien, charge, lien or title retention contract, lease or consignment intended as a security device, or any other security or lien interest whatsoever, whether created by law, contract, or otherwise.

Environmental Laws. The words "Environmental Laws" mean any and all state, federal and local statutes, regulations and ordinances relating to the protection of human health or the environment, including without limitation the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended, 42 U.S.C. Section 9601, et seq. ("CERCLA"), the Superfund Amendments and Reauthorization Act of 1986, Pub. L. No. 99-499 ("SARA"), the Hazardous Materials Transportation Act, 49 U.S.C. Section 1801, et seq., the Resource Conservation and Recovery Act, 42 U.S.C. Section 6901, et seq., or other applicable state or federal laws, rules, or regulations adopted pursuant thereto.

Event of Default. The words "Event of Default" mean any of the events of default set forth in this Agreement in the default section of this Agreement.

GAAP. The word "GAAP" means generally accepted accounting principles.

Grantor. The word "Grantor" means each and all of the persons or entities granting a Security Interest in any Collateral for the Loan, including without limitation all Borrowers granting such a Security Interest.

Guarantor. The word "Guarantor" means any guarantor, surety, or accommodation party of any or all of the Loan.

Guaranty. The word "Guaranty" means the guaranty from Guarantor to Lender, including without limitation a guaranty of all or part of the Note.

Hazardous Substances. The words "Hazardous Substances" mean materials that, because of their quantity, concentration or physical, chemical or infectious characteristics, may cause or pose a present or potential hazard to human health or the environment when improperly used, treated, stored, disposed of, generated, manufactured, transported or otherwise handled. The words "Hazardous Substances" are used in their very broadest sense and include without limitation any and all hazardous or toxic substances, materials or waste as defined by or listed under the Environmental Laws. The term "Hazardous Substances" also includes, without limitation, petroleum and petroleum by-products or any fraction thereof and asbestos.

Indebtedness. The word "Indebtedness" means the indebtedness evidenced by the Note or Related Documents, including all principal and interest together with all other indebtedness and costs and expenses for which Borrower is responsible under this Agreement or under any of the Related Documents.

Lender. The word "Lender" means Metro Phoenix Bank, its successors and assigns.

Loan. The word "Loan" means any and all loans and financial accommodations from Lender to Borrower whether now or hereafter existing, and however evidenced, including without limitation those loans and financial accommodations described herein or described on any exhibit or schedule attached to this Agreement from time to time.

Note. The word "Note" means a Note dated July 30, 2010 in the amount of $75,000.00, executed by Borrower in favor of Lender; and a Note dated February 14, 2011 in the amount of $154,000.00, executed by Borrower in favor of Lender; and a Change in Terms Agreement dated March 5, 2012 in the amount of $154,000.00, executed by Borrower in favor of Lender; and a Change in Terms Agreement dated May 31, 2013 in the amount of $153,888.80, executed by Borrower in favor of Lender; together with all renewals of, extensions of, modifications of, refinancings of, consolidations of, and substitutions for the Note or credit agreement.

Related Documents. The words "Related Documents" mean all promissory notes, credit agreements, loan agreements, environmental agreements, guaranties, security agreements, mortgages, deeds of trust, security deeds, collateral mortgages, and all other instruments, agreements and documents, whether now or hereafter existing, executed in connection with the Loan.

Security Agreement. The words "Security Agreement" mean and include without limitation any agreements, promises, covenants, arrangements, understandings or other agreements, whether created by law, contract, or otherwise, evidencing, governing, representing, or creating a Security Interest.

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Security Interest. The words "Security Interest" mean, without limitation, any and all types of collateral security, present and future, whether in the form of a lien, charge, encumbrance, mortgage, deed of trust, security deed, assignment, pledge, crop pledge, chattel mortgage, collateral chattel mortgage, chattel trust, factor's lien, equipment trust, conditional sale, trust receipt, lien or title retention contract, lease or consignment intended as a security device, or any other security or lien interest whatsoever whether created by law, contract, or otherwise.

BORROWER ACKNOWLEDGES HAVING READ ALL THE PROVISIONS OF THIS BUSINESS LOAN AGREEMENT AND BORROWER AGREES TO ITS TERMS. THIS BUSINESS LOAN AGREEMENT IS DATED MAY 31, 2013.

BORROWER:

SHERIDAN EQUITIES LLC

By: [redacted]
David Schweikert, Manager of Sheridan Equities LLC

X [redacted]
David S. Schweikert, Individually

X _____
Joyce R. Schweikert, Individually

LENDER:

METRO PHOENIX BANK

By: [redacted]
Mary Myron, Loan Officer

MPB_0428

Loan No: [redacted]

BUSINESS LOAN AGREEMENT
(Continued)

Page 7

Security Interest. The words "Security Interest" mean, without limitation, any and all types of collateral security, present and future, whether in the form of a lien, charge, encumbrance, mortgage, deed of trust, security deed, assignment, pledge, crop pledge, chattel mortgage, collateral chattel mortgage, chattel trust, factor's lien, equipment trust, conditional sale, trust receipt, lien or title retention contract, lease or consignment intended as a security device, or any other security or lien interest whatsoever whether created by law, contract, or otherwise.

BORROWER ACKNOWLEDGES HAVING READ ALL THE PROVISIONS OF THIS BUSINESS LOAN AGREEMENT AND BORROWER AGREES TO ITS TERMS. THIS BUSINESS LOAN AGREEMENT IS DATED MAY 31, 2013.

BORROWER:

SHERIDAN EQUITIES LLC

By: _____
David Schweikert, Manager of Sheridan Equities LLC

X _____
David S. Schweikert, Individually

X [redacted]
Joyce R. Schweikert, Individually

LENDER:

METRO PHOENIX BANK

By: [redacted]
Mary Myron, Loan Officer

MPB_0324

LIMITED LIABILITY COM ANY RESOLUTION TO BORR W / GRANT COLLATERAL

Principal	Loan Date	Maturity	Loan No	Call / Coll	Account	Officer	Initials
$153,886.80	02-14-2011	06-05-2018	[redacted]	131 / 13		RAS	

References in the boxes above are for Lender's use only and do not limit the applicability of this document to any particular loan or item.
Any item above containing "***" has been omitted due to text length limitations.

Borrower: Sheridan Equities LLC, David S. Schweikert and Joyce R. Schweikert
[redacted]

Lender: Metro Phoenix Bank
Main Office
4646 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

Company: Sheridan Equities LLC
15749 E. El Lago Blvd
Fountain Hills, AZ 85268

I, THE UNDERSIGNED, DO HEREBY CERTIFY THAT:

THE COMPANY'S EXISTENCE. The complete and correct name of the Company is Sheridan Equities LLC ("Company"). The Company is a limited liability company which is, and at all times shall be, duly organized, validly existing, and in good standing under and by virtue of the laws of the State of Arizona. The Company is duly authorized to transact business in all other states in which the Company is doing business, having obtained all necessary filings, governmental licenses and approvals for each state in which the Company is doing business. Specifically, the Company is, and at all times shall be, duly qualified as a foreign limited liability company in all states in which the failure to so qualify would have a material adverse effect on its business or financial condition. The Company has the full power and authority to own its properties and to transact the business in which it is presently engaged or presently proposes to engage. The Company maintains an office at 15749 E. El Lago Blvd, Fountain Hills, AZ 85268. Unless the Company has designated otherwise in writing, the principal office is the office at which the Company keeps its books and records. The Company will notify Lender prior to any change in the location of the Company's state of organization or any change in the Company's name. The Company shall do all things necessary to preserve and to keep in full force and effect its existence, rights and privileges, and shall comply with all regulations, rules, ordinances, statutes, orders and decrees of any governmental or quasi-governmental authority or court applicable to the Company and the Company's business activities.

RESOLUTIONS ADOPTED. At a meeting of the members of the Company, duly called and held on **May 30, 2013**, at which a quorum was present and voting, or by other duly authorized action in lieu of a meeting, the resolutions set forth in this Resolution were adopted.

MANAGER. The following named person is a manager of Sheridan Equities LLC:

NAMES	TITLES	AUTHORIZED	ACTUAL SIGNATURES
David Schweikert	Manager	Y	X [redacted]

ACTIONS AUTHORIZED. The authorized person listed above may enter into any agreements of any nature with Lender, and those agreements will bind the Company. Specifically, but without limitation, the authorized person is authorized, empowered, and directed to do the following for and on behalf of the Company:

Borrow Money. To borrow, as a cosigner or otherwise, from time to time from Lender, on such terms as may be agreed upon between the Company and Lender, such sum or sums of money as in his or her judgment should be borrowed, without limitation.

Execute Notes. To execute and deliver to Lender the promissory note or notes, or other evidence of the Company's credit accommodations, on Lender's forms, at such rates of interest and on such terms as may be agreed upon, evidencing the sums of money so borrowed or any of the Company's indebtedness to Lender, and also to execute and deliver to Lender one or more renewals, extensions, modifications, refinancings, consolidations, or substitutions for one or more of the notes, any portion of the notes, or any other evidence of credit accommodations.

Grant Security. To mortgage, pledge, transfer, endorse, hypothecate, or otherwise encumber and deliver to Lender any property now or hereafter belonging to the Company or in which the Company now or hereafter may have an interest, including without limitation all of the Company's real property and all of the Company's personal property (tangible or intangible), as security for the payment of any loans or credit accommodations so obtained, any promissory notes so executed (including any amendments to or modifications, renewals, and extensions of such promissory notes), or any other or further indebtedness of the Company to Lender at any time owing, however the same may be evidenced. Such property may be mortgaged, pledged, transferred, endorsed, hypothecated or encumbered at the time such loans are obtained or such indebtedness is incurred, or at any other time or times, and may be either in addition to or in lieu of any property theretofore mortgaged, pledged, transferred, endorsed, hypothecated or encumbered.

Execute Security Documents. To execute and deliver to Lender the forms of mortgage, deed of trust, pledge agreement, hypothecation agreement, and other security agreements and financing statements which Lender may require and which shall evidence the terms and conditions under and pursuant to which such liens and encumbrances, or any of them, are given; and also to execute and deliver to Lender any other written instruments, any chattel paper, or any other collateral, of any kind or nature, which Lender may deem necessary or proper in connection with or pertaining to the giving of the liens and encumbrances.

Deposit Accounts. To open one or more depository accounts in the Company's name and sign and deliver all documents or items required to fulfill the conditions of all banking business, including without limitation the initiation of wire transfers, until authority is revoked by action of the Company on written notice to Lender.

Negotiate Items. To draw, endorse, and discount with Lender all drafts, trade acceptances, promissory notes, or other evidences of indebtedness payable to or belonging to the Company or in which the Company may have an interest, and either to receive cash for the same or to cause such proceeds to be credited to the Company's account with Lender, or to cause such other disposition of the proceeds derived therefrom as he or she may deem advisable.

Further Acts. In the case of lines of credit, to designate additional or alternate individuals as being authorized to request advances under such lines, and in all cases, to do and perform such other acts and things, to pay any and all fees and costs, and to execute and deliver such other documents and agreements, including agreements waiving the right to a trial by jury, as the manager may in his or her discretion deem reasonably necessary or proper in order to carry into effect the provisions of this Resolution.

ASSUMED BUSINESS NAMES. The Company has filed or recorded all documents or filings required by law relating to all assumed business names used by the Company. Excluding the name of the Company, the following is a complete list of all assumed business names under which the Company does business: **None.**

MULTIPLE BORROWERS. The Company may enter into transactions in which there are multiple borrowers on obligations to Lender and the

MPB_0325

LIMITED LIABILITY C\ .PANY RESOLUTION TO BORRO\ GRANT COLLATERAL
Loan No: [redacted] (Continued) **Page 2**

Company understands and agrees that, with or without notice to the Company, Lender may discharge or release any party or collateral securing an obligation, grant any extension of time for payment, delay enforcing any rights granted to Lender, or take any other action or inaction, without the loss to Lender of any of it rights against the Company; and that Lender may modify transactions without the consent of or notice to anyone other than the party with whom the modification is made.

NOTICES TO LENDER. The Company will promptly notify Lender in writing at Lender's address shown above (or such other addresses as Lender may designate from time to time) prior to any (A) change in the Company's name; (B) change in the Company's assumed business name(s); (C) change in the management or in the Managers of the Company; (D) change in the authorized signer(s); (E) change in the Company's principal office address; (F) change in the Company's state of organization; (G) conversion of the Company to a new or different type of business entity; or (H) change in any other aspect of the Company that directly or indirectly relates to any agreements between the Company and Lender. No change in the Company's name or state of organization will take effect until after Lender has received notice.

CERTIFICATION CONCERNING MANAGERS AND RESOLUTIONS. The manager named above is duly elected, appointed, or employed by or for the Company, as the case may be, and occupies the position set opposite his or her respective name. This Resolution now stands of record on the books of the Company, is in full force and effect, and has not been modified or revoked in any manner whatsoever.

CONTINUING VALIDITY. Any and all acts authorized pursuant to this Resolution and performed prior to the passage of this Resolution are hereby ratified and approved. This Resolution shall be continuing, shall remain in full force and effect and Lender may rely on it until written notice of its revocation shall have been delivered to and received by Lender at Lender's address shown above (or such addresses as Lender may designate from time to time). Any such notice shall not affect any of the Company's agreements or commitments in effect at the time notice is given.

IN TESTIMONY WHEREOF, I have hereunto set my hand and attest that the signature set opposite the name listed above is his or her genuine signature.

I have read all the provisions of this Resolution, and I personally and on behalf of the Company certify that all statements and representations made in this Resolution are true and correct. This Limited Liability Company Resolution to Borrow / Grant Collateral is dated May 31, 2013.

CERTIFIED TO AND ATTESTED BY:

[redacted]

David Schweikert, Manager of Sheridan Equities LLC

NOTE: If the manager signing this Resolution is designated in the foregoing document as one of the managers authorized to act on the Company's behalf, it is advisable to have the Resolution signed by at least one nonauthorized manager of the Company.

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METRO PHOENIX BANK

LOAN MODIFICATION / EXTENSION REQUEST

Borrower:	Sheridan Equities, LLC David & Joyce Schweikert	*Location:*	[redacted] [redacted] AZ 85268	*Date last F/S:*	3-31-2013 *Date last F/S:*
Officer:	RAS	*Location:*	MPB	*Relationship Exposure:*	154,000
Ave Deposits:		*Date:*	8-15-13	*Risk Rating:*	4

Note Number	Facility Type	Current Balance	Current Commitment	Int. Rate	Orig./Ext Fee	Orig. Date	Maturity Date	Amortization
EXISTING LOAN INFORMATION:								
[redacted]	Term	152,895	152,895	6.00%	250Doc	2/14/11	6/5/18	20 Yr

NEW TERMS:								
[redacted]	Term	73,895	73,895	6.00%	250 Doc	2/14/11	6/5/18	20Yr

REQUEST:

_X_ Modify. Describe modification request below.

___ Extend. Provide explanation for extension below.

Requested Extension Period: _____ Number of Times Previously Extended: 0 Amount of time Previously Extended _____

Is Loan Current: Yes If not current, Explain: _____

Is Loan in Compliance with Covenants: Yes If not in compliance with covenants, Explain: _____

COLLATERAL DESCRIPTION: 1st DOT and Assignment of Rents on four rental properties
CURRENT LTV 55%. If collateral or advance is being modified discuss below.

Will Collateral be modified? Yes If yes describe modification.

To accommodate the sale of the property located at 5920 W. State Ave., Glendale AZ 85301 the bank has agreed to release and reconvey the DOT and Assignment of Rents on the subject property upon receipt of a principal reduction of $79,000. 5920 W. State Ave., Glendale AZ 85301 was purchased in January of 2011 for $79,002 and provided as additional collateral to support the $79,000 increase to the borrower's then RLOC. The RLOC was subsequently termed out 5/31/2013 at which time the bank obtained a Zillow value of the subject property at $74,398.

The remaining loan balance of $73,895 will be reamortized over 20 years and continue to be collateralized by 1st DOT's on three remaining properties providing for 36% LTV based their combined values established in May 2013 of $206,618.

All payments have been made as agreed since inception.

APPROVAL AUTHORIZATION:

Loan Officer:	[redacted]	**Date:**	8/16/13
Chief Credit Officer:	[redacted]	**Date:**	8/16/13
Chief Executive Officer:		**Date:**	

METRO PHOENIX BANK

LOAN MODIFICATION / EXTENSION REQUEST

Borrower:	Sheridan Equities, LLC David & Joyce Schweikert	*Location:*	[redacted] [redacted] AZ 85268	*Date last F/S:* *Date last F/S:*	4-30-2014
Officer:	RAS	*Location:*	MPB	*Relationship Exposure:*	47,291
Ave Deposits:	0	*Date:*	7-21-14	*Risk Rating:*	4

Note Number	Facility Type	Current Balance	Current Commitment	Int. Rate	Orig./Ext. Fee	Orig. Date	Maturity Date	Amortization
EXISTING LOAN INFORMATION:								
[redacted]	Term	72,291	72,291	6.00%	250 Doc	2/14/11	6/5/18	20 Yr
NEW TERMS:								
[redacted]	Term	47,291	47,291	6.00%	0	2/14/11	6/5/18	20Yr

REQUEST:

_X_ Modify. Describe modification request below.

___Extend. Provide explanation for extension below.

Requested Extension Period: ____ Number of Times Previously Extended: 0 Amount of time Previously Extended ____

Is Loan Current: Yes If not current, Explain: ____

Is Loan in Compliance with Covenants: Yes If not in compliance with covenants, Explain: ____

COLLATERAL DESCRIPTION: 1st DOT and Assignment of Rents on four rental properties
CURRENT LTV 55%. If collateral or advance is being modified discuss below.

Will Collateral be modified? Yes If yes describe modification.

To accommodate the sale of the property located at 6413 W Lamar Rd, Glendale AZ 85301 the bank has agreed to release and re-convey the DOT and Assignment of Rents on the subject property upon receipt of a principal reduction of $25,000.

The remaining loan balance of $47,291 will remain on the existing terms and amortization schedule. The loan is collateralized by 1st DOT's on two remaining properties providing for 23.5% LTV based their combined Zillow.com values of $201,034.

All payments have been made as agreed since inception.

APPROVAL AUTHORIZATION:

Loan Officer:	[redacted]	**Date:** 7/21/14
Chief Credit Officer:		**Date:**
Chief Executive Officer:	[redacted]	**Date:** 7/21/14

METRO PHOENIX BANK

LOAN MODIFICATION / EXTENSION REQUEST

Borrower:	Sheridan Equities, LLC David & Joyce Schweikert	*Location:*	[redacted] AZ 85268	*Date last F/S:*	4-30-2014
				Date last F/S:	
Officer:	RAS	*Location:*	MPB	*Relationship Exposure:*	47,291
Ave Deposits:	0	*Date:*	7-30-14	*Risk Rating:*	4

Note Number	Facility Type	Current Balance	Current Commitment	Int. Rate	Orig./Ext Fee	Orig. Date	Maturity Date	Amortization
EXISTING LOAN INFORMATION:								
[redacted]	Term	72,291	72,291	6.00%	250 Doc	2/14/11	6/5/18	20 Yr
NEW TERMS:								
[redacted]	Term	22,291	22,291	6.00%	0	2/14/11	6/5/18	20Yr

REQUEST:

_X_ Modify. Describe modification request below.

__ Extend. Provide explanation for extension below.

Requested Extension Period: _____ Number of Times Previously Extended: 0 Amount of time Previously Extended _____

Is Loan Current: Yes If not current, Explain: _____

Is Loan in Compliance with Covenants: Yes If not in compliance with covenants, Explain: _____

COLLATERAL DESCRIPTION: 1st DOT and Assignment of Rents on four rental properties
CURRENT LTV 55%. If collateral or advance is being modified discuss below.

Will Collateral be modified? Yes If yes describe modification.

To accommodate the sale of the property located at 3338 E Willetta St., Phoenix AZ 85008 the bank has agreed to release and re-convey the DOT and Assignment of Rents on the subject property upon receipt of a principal reduction of $25,000.

The remaining loan balance of $22,291 will remain on the existing terms and amortization schedule. The loan is collateralized by 1st DOT's on one remaining property located at 3031 N 64th Dr, Phoenix AZ 85033 providing for 22.5% LTV based their combined Zillow.com value of $99,000.

All payments have been made as agreed since inception.

APPROVAL AUTHORIZATION:

Loan Officer:	[redacted]	**Date:** 7/30/14
Chief Credit Officer:		**Date:**
Chief Executive Officer:	[redacted]	**Date:** 7/30/14

METRO PHOENIX BANK

LOAN MODIFICATION / EXTENSION REQUEST

Borrower:	Sheridan Equities, LLC David & Joyce Schweikert	*Location:*	[redacted]	*Date last F/S:*	4-30-2014
			[redacted] AZ 85268	*Date last F/S:*	
Officer:	RAS	*Location:*	MPB	*Relationship Exposure:*	21,246
Ave Deposits:	0	*Date:*	10/7/14	*Risk Rating:*	4

Note Number	Facility Type	Current Balance	Current Commitment	Int. Rate	Orig./Ext Fee	Orig. Date	Maturity Date	Amortization
EXISTING LOAN INFORMATION:								
[redacted]	Term	21,246	21,246	6.00%	250	7/30/10	6/5/18	20 Yr
NEW TERMS:								
[redacted]	Term	21,246	21,246	6.00%	0	7/30/10	6/5/18	15 YR

REQUEST:

_X_ Modify. Describe modification request below.

__ Extend. Provide explanation for extension below.

The remaining loan balance of $21,246 will be re-amortized over a 15 year period and monthly payments reduced accordingly. The loan is collateralized by 1st DOT's on one remaining property located at 3031 N 64th Dr, Phoenix AZ 85033 providing for 21% LTV based on the Zillow.com value of $102,250 as of 10/7/14.

All payments have been made as agreed since inception.

Requested Extension Period: _____ Number of Times Previously Extended: 0 Amount of time Previously Extended _____

Is Loan Current: Yes If not current, Explain: _____

Is Loan in Compliance with Covenants: Yes If not in compliance with covenants, Explain: _____

COLLATERAL DESCRIPTION: 1st DOT and Assignment of Rents on one rental property
CURRENT LTV 21% If collateral or advance is being modified discuss below.

Will Collateral be modified? No

APPROVAL AUTHORIZATION:

Loan Officer:	[redacted]	**Date:** 10/7/14
Chief Credit Officer:	[redacted]	**Date:** 10/7/14
Chief Executive Officer:		**Date:**

MPB_0522

CHANGE IN TERMS AGREEMENT

Principal	Loan Date	Maturity	Loan No	Call / Coll	Account	Officer	Initials
$21,246.60	07-30-2010	06-05-2018	[redacted]	133 / 13		RAS	

References in the boxes above are for Lender's use only and do not limit the applicability of this document to any particular loan or item.
Any item above containing "Blanket" has been omitted due to text length limitations.

Borrower: Sheridan Equities LLC, David S. Schweikert and Joyce R. Schweikert
[redacted]

Lender: Metro Phoenix Bank
Main Office
4600 E. Van Buren Street, Ste #150
Phoenix, AZ 85008

Principal Amount: $21,246.60 **Date of Agreement: October 8, 2014**

DESCRIPTION OF EXISTING INDEBTEDNESS. A certain Promissory Note dated July 30, 2010 in the amount of $75,000.00, together with all renewals, extensions, modifications and/or replacement Notes thereof.

DESCRIPTION OF COLLATERAL. That certain Deed of Trust dated July 30, 2010 and recorded on August 2, 2010 in the offices of the Maricopa County Recorders office, recording number 20100857371

DESCRIPTION OF CHANGE IN TERMS. Effective as the date of the agreement and beginning with the next payment due November 5, 2014, the payment shall be remortized over a period of 18 years based on the current outstanding principal balance. The payment amount is due per the payment terms listed below.

PAYMENT. Borrower will pay this loan in 43 regular payments of $180.21 each and one irregular last payment estimated at $17,852.82. Borrower's first payment is due November 5, 2014, and all subsequent payments are due on the same day of each month after that. Borrower's final payment will be due on June 5, 2018, and will be for all principal and all accrued interest not yet paid.

INTEREST CALCULATION METHOD. Interest on this loan is computed on a 365/360 basis; that is, by applying the ratio of the interest rate over a year of 360 days, multiplied by the outstanding principal balance, multiplied by the actual number of days the principal balance is outstanding. All interest payable under this loan is computed using this method.

CONTINUING VALIDITY. Except as expressly changed by this Agreement, the terms of the original obligation or obligations, including all agreements evidenced or securing the obligation(s), remain unchanged and in full force and effect. Consent by Lender to this Agreement does not waive Lender's right to strict performance of the obligation(s) as changed, nor obligate Lender to make any future change in terms. Nothing in this Agreement will constitute a satisfaction of the obligation(s). It is the intention of Lender to retain as liable parties all makers and endorsers of the original obligation(s), including accommodation parties, unless a party is expressly released by Lender in writing. Any maker or endorser, including accommodation makers, will not be released by virtue of this Agreement. If any person who signed the original obligation does not sign this Agreement below, then all persons signing below acknowledge that this Agreement is given conditionally, based on the representation to Lender that the non-signing party consents to the changes and provisions of this Agreement or otherwise will not be released by it. This waiver applies not only to any initial extension, modification or release, but also to all such subsequent actions.

PRIOR TO SIGNING THIS AGREEMENT, EACH BORROWER READ AND UNDERSTOOD ALL THE PROVISIONS OF THIS AGREEMENT. EACH BORROWER AGREES TO THE TERMS OF THE AGREEMENT.

CHANGE IN TERMS SIGNERS:

SHERIDAN EQUITIES LLC
By: [redacted]
David Schweikert, Manager of Sheridan Equities LLC

X [redacted]
David S. Schweikert

X [redacted]
Joyce R. Schweikert

LENDER:

METRO PHOENIX BANK
[redacted]
X
Authorized Signer

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Account [redacted] Sheridan Equiti

Main

Sheridan Equities LLC	TIN	[redacted]	Interest Paid YTD 0.00
Joyce R Schweikert	Verified		Interest Paid LYR 0.00
[redacted]	Date of Birth		Interest Rate 6.0000
			Interest Paid To 02/04/2015
	Home Phone	[redacted]	Maturity 06/05/2018
0 David S Schweikert			Next Payment
0 Joyce R Schweikert	Additional		Payment Amount 0.00
			Escrow Payment 0.00
			Total Amount Due 0.00
			Current Balance 0.00
			Interest Due 0.00
			Interest Adjustment 0.00
			Insurance Due 0.00
			Rebates 0.00
			Charges/Fees 0.00
			Escrow Balance 0.00
			Payoff Amount 0.00
			Daily Interest 0.0000

CIF Comments		Master Plan Account	0
Collateral	4 1--4 SFR		
Location	N/A	MAPR Covered Loan	No
Type	CML RE	MAPR	0.0000
Officer	Rickard Strom	Owner	N/A
Purpose	12	Purpose Code	Prof/Inv LOC
		Payoff good Thru	04/25/2018

History View History

Date	Description	Amount	Balance	Reference	Tran Date/Time	Principal	Interest	Unapplied	Reference Account
07/30/2010	Balance Forward	0.00	0.00			0.00	0.00	0.00	
07/30/2010	Advance	818.00	818.00	410 loan fees		818.00	0.00	0.00	
07/30/2010	Rate Change	0.00	818.00	0.0000-- 0.0525		0.00	0.00	0.00	
08/02/2010	Advance	2,102.00	2,920.00	410 title fees		2,102.00	0.00	0.00	
08/03/2010	Advance	9,800.00	12,720.00	410 cashiers check		9,800.00	0.00	0.00	
08/03/2010	Advance	36,166.80	48,886.80	410		36,166.80	0.00	0.00	
08/04/2010	Advance	26,000.00	74,886.80	410 cashiers check		26,000.00	0.00	0.00	
09/15/2010	Late Charge Dr Adj	50.00	74,886.80	423		50.00	0.00	0.00	
10/04/2010	Regular Payment	357.39	74,886.80	416 Sept pmt		0.00	357.39	0.00	
10/15/2010	Late Charge Dr Adj	50.00	74,886.80	423		50.00	0.00	0.00	
10/27/2010	Regular Payment	327.63	74,886.80	416		0.00	327.63	0.00	
10/27/2010	Rate Change	0.00	74,886.80	0.0525-- 0.0700		0.00	0.00	0.00	
11/15/2010	Late Charge Dr Adj	50.00	74,886.80	423		50.00	0.00	0.00	
11/24/2010	Regular Payment	327.63	74,886.80	416 Nov pmt		0.00	327.63	0.00	
12/06/2010	Regular Payment	480.52	74,886.80	416		0.00	480.52	0.00	
01/05/2011	Regular Payment	451.40	74,886.80	416		0.00	451.40	0.00	

02/01/2011	Regular Payment	451.40	74,886.80	416	0.00	451.40	0.00
02/23/2011	Advance	79,000.00	153,886.80	410 Line Increase	79,000.00	0.00	0.00
03/05/2011	Regular Payment	561.33	153,886.80	416 EFF 03/04/11	0.00	561.33	0.00
04/05/2011	Regular Payment	927.59	153,886.80	416	0.00	927.59	0.00
05/05/2011	Regular Payment	897.67	153,886.80	416	0.00	897.67	0.00
06/05/2011	Regular Payment	927.60	153,886.80	416 EFF 06/03/11	0.00	927.60	0.00
07/05/2011	Regular Payment	897.67	153,886.80	416	0.00	897.67	0.00
08/05/2011	Regular Payment	927.59	153,886.80	416	0.00	927.59	0.00
09/05/2011	Regular Payment	927.60	153,886.80	416 EFF 09/02/11	0.00	927.60	0.00
10/05/2011	Regular Payment	897.67	153,886.80	416	0.00	897.67	0.00
11/05/2011	Regular Payment	927.59	153,886.80	416 EFF 11/04/11	0.00	927.59	0.00
12/05/2011	Regular Payment	897.68	153,886.80	416	0.00	897.68	0.00
01/05/2012	Regular Payment	927.59	153,886.80	416	0.00	927.59	0.00
02/05/2012	Regular Payment	927.59	153,886.80	416 EFF 02/03/12	0.00	927.59	0.00
03/05/2012	Regular Payment	867.75	153,886.80	416	0.00	867.75	0.00
03/15/2012	Late Charge Dr Adj	7,694.34	153,886.80	423	7,694.34	0.00	0.00
03/26/2012	Rate Change	0.00	153,886.80	0.0700-- 0.1200	0.00	0.00	0.00
06/04/2012	Late Charge Cr Adj	7,694.34	153,886.80	402	7,694.34	0.00	0.00
06/04/2012	Rate Change	0.00	153,886.80	0.1200-- 0.0700	0.00	0.00	0.00
06/07/2012	Accrued Int Cr Adj	1,496.12	153,886.80	409 Correct interest accrual	0.00	1,496.12	0.00
06/15/2012	Interest Payment	2,752.64	153,886.80	421	0.00	2,752.64	0.00
06/15/2012	Misc Fee Charge	250.00	153,886.80	461	250.00	0.00	0.00
07/05/2012	Regular Payment	897.90	153,886.80	416	0.00	897.90	0.00
08/05/2012	Regular Payment	927.60	153,886.80	416 EFF 08/03/12	0.00	927.60	0.00
09/05/2012	Regular Payment	927.59	153,886.80	416	0.00	927.59	0.00
10/05/2012	Regular Payment	897.67	153,886.80	416	0.00	897.67	0.00
11/05/2012	Regular Payment	927.60	153,886.80	416	0.00	927.60	0.00
12/05/2012	Regular Payment	897.67	153,886.80	416	0.00	897.67	0.00
01/05/2013	Regular Payment	927.59	153,886.80	416 EFF 01/04/13	0.00	927.59	0.00
02/05/2013	Regular Payment	927.60	153,886.80	416	0.00	927.60	0.00
03/05/2013	Regular Payment	837.82	153,886.80	416	0.00	837.82	0.00
04/05/2013	Regular Payment	927.60	153,886.80	416	0.00	927.60	0.00
05/05/2013	Regular Payment	897.67	153,886.80	416 EFF 05/03/13	0.00	897.67	0.00
05/15/2013	Late Charge Dr Adj	7,694.34	153,886.80	423	7,694.34	0.00	0.00
05/31/2013	Late Charge Cr Adj	7,066.36	153,886.80	402	7,066.36	0.00	0.00
05/31/2013	Regular Payment	0.00	153,886.80	416	0.00	0.00	0.00
05/31/2013	Principal Cr Adj	0.00	153,886.80	419	0.00	0.00	0.00
05/31/2013	Late Charge Payment	777.98	153,886.80	422	777.98	0.00	0.00
05/31/2013	Rate Change	0.00	153,886.80	0.0700-- 0.0600	0.00	0.00	0.00
05/31/2013	Int Pym--No Dt	0.00	153,886.80	456	0.00	0.00	0.00
05/31/2013	Regular Payment	777.98	153,886.80	416 EFF 07/09/13	0.00	777.98	0.00
07/05/2013	Regular Payment	1,111.21	153,886.80	416	0.00	1,111.21	0.00
07/05/2013	Interest Payment Rev	1,111.21	153,886.80	407 EFF 07/09/13	0.00	1,111.21	0.00

07/05/2013	Regular Payment	213.53	153,673.27	416 EFF 07/09/13			213.53	0.00	0.00
07/05/2013	Principal Cr Adj	777.98	152,895.29	419 EFF 07/09/13			777.98	0.00	0.00
07/05/2013	Interest Payment	119.70	152,895.29	421 EFF 07/09/13			0.00	119.70	0.00
07/09/2013	Late Charge Cr Adj	777.98	152,895.29	402			777.98	0.00	0.00
07/09/2013	Late Chg Pmt Rev	777.98	152,895.29	404			777.98	0.00	0.00
08/05/2013	Regular Payment	1,111.21	152,895.29	416			0.00	1,111.21	0.00
08/28/2013	Principal Cr Adj	79,000.00	73,895.29	419 Sale of State Ave Prop			79,000.00	0.00	0.00
09/05/2013	Regular Payment	1,111.21	73,895.29	416			0.00	1,111.21	0.00
10/05/2013	Regular Payment	533.06	73,761.85	416 EFF 10/04/13			133.44	399.62	0.00
11/05/2013	Regular Payment	533.06	73,609.89	416 ACH Loan Pymt			151.96	381.10	0.00
12/05/2013	Regular Payment	533.06	73,444.88	416 ACH Loan Pymt			165.01	368.05	0.00
01/05/2014	Regular Payment	533.06	73,291.28	416 EFF 01/03/14			153.60	379.46	0.00
02/05/2014	Regular Payment	533.06	73,136.89	416 ACH Loan Pymt			154.39	378.67	0.00
03/05/2014	Regular Payment	533.06	72,945.14	416 ACH Loan Pymt			191.75	341.31	0.00
04/05/2014	Regular Payment	533.06	72,788.96	416 EFF 04/04/14			156.18	376.88	0.00
05/05/2014	Regular Payment	533.06	72,619.85	416 ACH Loan Pymt			169.11	363.95	0.00
06/05/2014	Regular Payment	533.06	72,461.99	416 ACH Loan Pymt			157.86	375.20	0.00
07/05/2014	Regular Payment	533.06	72,291.24	416 EFF 07/03/14			170.75	362.31	0.00
07/30/2014	Principal Cr Adj	25,000.60	47,291.24	419			25,000.00	0.00	0.00
08/01/2014	Principal Cr Adj	25,000.00	22,291.24	419			25,000.00	0.00	0.00
08/05/2014	Regular Payment	533.06	22,131.68	416 ACH Loan Pymt			159.56	373.50	0.00
09/05/2014	Regular Payment	533.06	21,671.31	ACH 3123661824	1	09/03/2014 10:26:19 PM	460.37	72.69	0.00
10/06/2014	Regular Payment	533.06	21,246.60	ACH 3123661824	1	10/02/2014 10:39:51 PM	424.71	108.35	0.00
11/05/2014	Regular Payment	180.21	21,176.24	ACH 3123661824	1	11/03/2014 10:34:29 PM	70.36	109.85	0.00
12/05/2014	Regular Payment	180.21	21,101.91	ACH 3123661824	1	12/04/2014 12:02:19 AM	74.33	105.88	0.00
01/05/2015	Regular Payment	180.21	21,030.72	EFF 01/05/15 A CH	1	01/01/2015 12:07:54 AM	71.19	109.02	0.00
02/05/2015	Regular Payment	180.21	20,959.17	ACH 3123661824	1	02/04/2015 12:03:32 AM	71.55	108.66	0.00
02/06/2015	Interest Payment	3.50	20,959.17	EFF 02/04/2015		02/06/2015 9:40:14 AM	0.00	3.50	0.00
02/06/2015	Fee Charge	75.00	20,959.17	EFF 02/04/2015 Recon Fee		02/06/2015 9:40:14 AM	75.00	0.00	0.00
02/06/2015	Fee Payment	75.00	20,959.17	EFF 02/04/2015 Recon Fee		02/06/2015 9:40:14 AM	75.00	0.00	0.00
*02/06/2015	Auto Closing Payment	20,959.17	0.00	EFF 02/04/2015		02/06/2015 9:40:14 AM	20,959.17	0.00	0.00

METRO PHOENIX BANK

Personal Financial Statement

PLEASE READ AND ANSWER CAREFULLY:
You may apply for a credit extension or financial accommodation INDIVIDUALLY or JOINTLY with a co-applicant.

If you are married and applying INDIVIDUALLY, the financial statement below and supporting schedules must reflect your sole and separate assets as attested to by your spouse. Your spouse is required to sign the attached Acknowledgements /Disclaimer of Spouse's Sole and Separate Property form. The form must be signed in the presence of a Notary Public.

This financial statement and any applicable supporting schedules may be completed jointly if the assets and liabilities of applicant or co-applicant are sufficiently joined so that the statement can be meaningful and fairly presented on a combined basis; otherwise separate statements and schedules are required.

ARE YOU APPLYING FOR A FINANCIAL ACCOMODATION OR PROVIDING A GUARANTY INDIVIDUALLY, BASED ON YOUR SOLE AND SEPARATE ASSETS, CREDIT, AND INCOME? YES ☐ NO ☐

ARE YOU APPLYING FOR A FINANCIAL ACCOMODATION OR PROVIDING A GUARANTY JOINTLY WITH A CO-APPLICANT? YES ☑ NO ☐

IF YOU ANSWERED YES TO APPLYING INDIVIDUALLY, ARE THE ASSETS AND LIABILITIES LISTED BELOW YOUR SOLE AND SEPARATE ASSETS, LIABILITIES AND INCOME?
YES ☐ NO ☐

APPLICANT

Name: David Schweikert
Social Security No: [redacted]
Address: [redacted]
Years at Current Address: 10
City/State: [redacted]
Zip Code: [redacted]
Home Phone: [redacted] Cell [redacted]
Work Phone:
Current Employer: SELF
Position: Broker/Mngr
Years: 30
Date of Birth: 3/31/62
Place of Birth: LA

CO-APPLICANT

Name: Joyce Schweikert
Social Security No: [redacted]
Address: [redacted]
Years at Current Address: 5
City/State: [redacted]
Zip Code: [redacted]
Home Phone: [redacted]
Work Phone: [redacted]
Current Employer: Scottsdale Eye Surgery
Position: Administrator
Years: 5
Date of Birth: 7/18/62
Place of Birth: KS

Date of Valuation: _____

*Round all amounts to the nearest $100.
*Attach separate sheet if additional space is needed to complete schedules.

ASSETS	AMOUNT	LIABILITIES	AMOUNT
Cash in this Bank Chase	60,000	Notes Payable Bank (Schedule 5)	
Cash in Other Financial Institutions (Detail)		Notes Payable Others (Schedule 5)	
		Outstanding Credit Cards	
		Canyon State	2,500
Marketable Securities (Schedule 1)		Chase Visa	10,000
Non-Marketable Securities (Schedule 1)		Income/Other Taxes Payable	
Other Notes Receivable (Schedule 2)		Loans on Life Insurance (Schedule 3)	
Retirement Accounts 457 + IRA	35,000		
Cash Surrender Value of Life Ins. (Schedule 3)	15,630		
Residence (Schedule 4)	300,000	Mortgage on Residence (Schedule 4)	290,000
Other Real Estate Owned (Schedule 4)	534,000	Mortgage on Other Real Estate (Schedule 4)	193,000
Automobiles	35,000		
Personal Property	60,000	Other Personal Liabilities (Detail)	
		Sallie Mae	71,600
Other Personal Assets (Detail)			
Sheridan Equities Holdings	750,000		
MassFund	810,000	(*Please list all contingent liabilities on schedule 6)	
		TOTAL LIABILITIES	569,100
		NET WORTH	2020580
TOTAL ASSETS	2,589,630	TOTAL LIABILITIES & NET WORTH	~~2589630~~ 2589680

ANNUAL INCOME	APPLICANT	CO-APPLICANT	ANNUAL EXPENSES	
Salary		99,000	Mortgage/Rental payments (P&I)	28,500
Commissions			Other Contract payments (auto, charge cards, etc.)	9,100
Dividends			Real Estate Taxes & Assessments	
Interest			Taxes-federal, state & local (Fiscal Yr end)	10,000
Rental Property	215,000	8,000	Insurance payments	3300
Alimony/Child Support/ Maintenance			Alimony/Child Support/Maintenance	
Other Income (Detail)	35,000		Other Expenses (Detail)	
AZ State Retirement			Sallie Mae - MBA Loan	6,600
TOTAL INCOME	250,000	107,000	TOTAL EXPENSES	57,500

SCHEDULE 1 – MARKETABLE & NON-MARKETABLE SECURITIES (includes stock in closely held companies)

No. Shares or Bond Amount	Description	In Whose Name(s) Registered	L-Listed U-Unlisted	Cost	Present Market Value	Pledged? Yes/No
		TOTAL				

SCHEDULE 2 – NOTES, MORTGAGES & CONTRACTS RECEIVABLE

Name of Debtor	Type of Collateral	1st or 2nd Lien	Maturity Date	How Payable	Unpaid Balance
		TOTAL			

SCHEDULE 3 – LIFE INSURANCE

Policy Holder	Insurance Company	Beneficiary	Face Value	Cash Value	Loans
Joyce Schweikert	Minn Life	David Schweikert	57,600	15,630	—
		TOTAL			

SCHEDLUE 4 – SCHEDULE OF REAL ESTATE OWNED (if you own more than 3 properties please complete the attached "Consolidating Schedule of Real Estate."

	Property 1	Property 2	Property 3
Type of Property	Rental	Rental	Rental
Address of Property	3031 N. 64th. Phx	3338 E Willett	6413 W. Lamar
Name(s) on Title			
Date Purchased	april 2009	april 2009	april 2009
Purchase Price			
Current Market Value			
Mortgage Holder			
Account Number			
Current Balance			
Monthly Payment	0	0	0
Monthly Rental Income	865.00	865.00	865.00

SCHEDULE 5 – NOTES PAYABLE BANK & OTHERS

Name of Creditor	Purpose of Loan	List Collateral	Monthly Pmt	Maturity Date	Current Balance
Sallie Mae	School loan	—	550.00		73,600.00

SCHEDULE 6 – CONTINGENT LIABILITIES

To Whom Payable	Reason/Purpose	Secured Y-Yes N-No	Monthly Payment	Maturity	Unpaid Balance
		TOTAL			

	APPLICANT		CO-APPLICANT	
1. Have you ever had a judgement against you or filed bankruptcy?	☐ Yes	☑ No	☐ Yes	☑ No
2. Have you ever been charged with or convicted of any criminal offense other than a misdemeanor involving minor motor vehicle violations?	☐ Yes	☑ No	☐ Yes	☑ No
3. Are any of the assets listed herein held under a trust agreement of any type, held in estate, or in any other name or capacity?	☐ Yes	☑ No	☐ Yes	☑ No
4. Do you have any contingent liabilities as endorser, guarantor, lawsuits, taxes, or other? If yes, please explain on the back of this form.	☐ Yes	☑ No	☐ Yes	☑ No
5. Are you a citizen of the United States of America?	☑ Yes	☐ No	☑ Yes	☐ No
6. Do you have a will?	☐ Yes	☑ No	☐ Yes	☑ No

The foregoing statement, submitted for the purpose of obtaining credit, is true and correct in every detail and fairly shows my/our financial condition at the time indicated. I/we will give you prompt notice of any subsequent substantial change in such financial condition occurring before discharge of my/our obligation to you. I/we understand that you will retain this personal financial statement whether or not you approve the credit in connection with which it is submitted. You are authorized to check my/our credit and employment history or any other information contained herein.

[redacted] [redacted] 7-13-10

Applicant Signature Date Co-Applicant Signature Date

The federal Equal Credit Opportunity Act prohibits creditors from discriminating against credit applicants on the basis of race, color, religion, national origin, sex, marital status, age (provided the applicant has the capacity to enter into a binding contract); because all or part of the applicant's income derives from any public assistance program; or because the applicant has in good faith exercised any right under the Consumer Credit Protection Act. The federal agency that administers compliance with this law concerning this creditor is: FDIC, Consumer Response Center, 2345 Grand Blvd., Ste 100, Kansas City, MO 64108

04/17/2012 15:36 [redacted] RECEPTION PAGE 01/07

METRO PHOENIX BANK

Personal Financial Statement

PLEASE READ AND ANSWER CAREFULLY:
You may apply for a credit extension or financial accommodation INDIVIDUALLY or JOINTLY with a co-applicant.

If you are married and applying INDIVIDUALLY, the financial statement below and supporting schedules must reflect your sole and separate assets as allocated to by your spouse. Your spouse is required to sign the Acknowledgment of Spouse's Sole and Separate Property section on this form.

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ARE YOU APPLYING FOR A FINANCIAL ACCOMMODATION OR PROVIDING A GUARANTY INDIVIDUALLY BASED ON YOUR SOLE AND SEPARATE ASSETS, CREDIT, AND INCOME? YES ☐ NO ☐

ARE YOU APPLYING FOR A FINANCIAL ACCOMMODATION OR PROVIDING A GUARANTY JOINTLY WITH A CO-APPLICANT? YES ☑ NO ☐

IF YOU ANSWERED YES TO APPLYING INDIVIDUALLY, ARE THE ASSETS AND LIABILITIES LISTED BELOW YOURS EXCLUSIVELY SEPARATE ASSETS, LIABILITIES AND INCOME?
YES ☐ NO ☐

APPLICANT

Name: David Schweikert
Social Security No: [redacted]
Address: [redacted]
Years at Current Address: 11
City/State: [redacted]
Zip Code: [redacted]
Home Phone: [redacted] Cell: [redacted]
Work Phone: —
Current Employer: US House / Self
Position: Broker/Mng.r
Years: 30
Date of Birth: 3/3/62
Place of Birth: LA

CO-APPLICANT

Name: Joyce Schweikert
Social Security No: [redacted]
Address: [redacted]
Years at Current Address: 6
City/State: [redacted]
Zip Code: [redacted]
Home Phone: [redacted]
Work Phone: [redacted]
Current Employer: Scottsdale Eye Surg Ctr
Position: Administrator
Years: 6
Date of Birth: 7-18-62
Place of Birth: Marion, KS

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Page 1 of 4

COE.SCHWEIKERT.005413

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18-2234_0611

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Date of Valuation: _____

*Round all amounts to the nearest $100.
*Attach separate sheet if additional space is needed to complete schedules.

ASSETS	AMOUNT	LIABILITIES	AMOUNT
Cash in this Bank Chase	35,000	Notes Payable Bank (Schedule 5)	
Cash in Other Financial Institutions (Detail)		Notes Payable Others (Schedule 5)	
		Outstanding Credit Cards	
		Canyon State	0
Marketable Securities (Schedule 1)		Chase / Barclays	4000
Non-Marketable Securities (Schedule 1)		Income/Other Taxes Payable	
Other Notes Receivable (Schedule 2)		Loans on Life Insurance (Schedule 3)	
Retirement Accounts 457 + IRA	90,000		
Cash Surrender Value of Life Ins. (Schedule 3)	200,000		
Residence (Schedule 4)	300,000	Mortgage on Residence (Schedule 4)	280,000
Other Real Estate Owned (Schedule 4)	500,000	Mortgage on Other Real Estate (Schedule 4)	193,000
Automobiles	30,000		
Personal Property	50,000	Other Personal Liabilities (Detail)	
		Sallie Mae	60,000
Other Personal Assets (Detail)			
Sheridan Equities Holdings	750,000		
MAS Funds	800,000	(*Please list all contingent liabilities on schedule 6)	
Loans to Campaign Comm.	600,000	TOTAL LIABILITIES	537,000
		NET WORTH	2,818,000
TOTAL ASSETS	3,355,000	TOTAL LIABILITES & NET WORTH	

ANNUAL INCOME	APPLICANT	CO-APPLICANT	ANNUAL EXPENSES	
Salary	174,000	90,000	Mortgage/Rental payments (P&I)	30,000
Commissions			Other Contract payments (auto, charge cards, etc.)	5000
Dividends			Real Estate Taxes & Assessments	
Interest			Taxes-federal, state & local (Fiscal Yr end)	40,000
Rental Property	50,000	8,000	Insurance payments	3300
Alimony/Child Support/ Maintenance			Alimony/Child Support/Maintenance	
Other Income (Detail)			Other Expenses (Detail)	
AZ Retirement	39,000			
TOTAL INCOME	263,000	98,000	TOTAL EXPENSES	78,300

SCHEDULE 1 – MARKETABLE & NON-MARKETABLE SECURITIES (includes stock in closely held companies)

No. Shares or Bond Amount	Description	In Whose Name(s) Registered	L-Listed U-Unlisted	Cost	Present Market Value	Pledged? Yes/No
		TOTAL				

SCHEDULE 2 – NOTES, MORTGAGES & CONTRACTS RECEIVABLE

Name of Debtor	Type of Collateral	1st or 2nd Lien	Maturity Date	How Payable	Unpaid Balance
		TOTAL			

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COE.SCHWEIKERT.005414

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18-2234_0612

SCHEDULE 3 – LIFE INSURANCE

Policy Holder	Insurance Company	Beneficiary	Face Value	Cash Value	Loans
Joyce Schweikert +	Minn Life	David Schweikert	57,600	15,630	—
David Schweikert		Joyce Schweikert	250,000	0	—
		TOTAL			

SCHEDLUE 4 – SCHEDULE OF REAL ESTATE OWNED (if you own more than 3 properties please complete the attached "Consolidating Schedule of Real Estate."

	Property 1	Property 2	Property 3	
Type of Property	Rental	Rental	Rental	
Address of Property	3031 N. 64th Phx	3338 E. Willett Phx	6413 W. Lamar	5820 W State
Name(s) on Title				
Date Purchased	4-2009	4-2009	Glenale	Glenale
Purchase Price				
Current Market Value				
Mortgage Holder				
Account Number				
Current Balance				
Monthly Payment	0	0	0	0
Monthly Rental Income	865.00	865.00	865.00	800.00

SCHEDULE 5 – NOTES PAYABLE BANK & OTHERS

Name of Creditor	Purpose of Loan	List Collateral	Monthly Pmt	Maturity Date	Current Balance
Sallie Mae	School loan	—	550.00		72,900

SCHEDULE 6 – CONTINGENT LIABILITIES

To Whom Payable	Reason/Purpose	Secured Y-Yes N-No	Monthly Payment	Maturity	Unpaid Balance
		TOTAL			

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	APPLICANT		CO-APPLICANT	
1. Have you ever had a judgement against you or filed bankruptcy?	☐ Yes	☑ No	☐ Yes	☑ No
2. Have you ever been charged with or convicted of any criminal offense other than a misdemeanor involving minor motor vehicle violations?	☐ Yes	☑ No	☐ Yes	☑ No
3. Are any of the assets listed herein held under a trust agreement of any type, held in estate, or in any other name or capacity?	☐ Yes	☑ No	☐ Yes	☑ No
4. Do you have any contingent liabilities as endorser, guarantor, lawsuits, taxes, or other? If yes, please explain on the back of this form.	☐ Yes	☑ No	☐ Yes	☑ No
5. Are you a citizen of the United States of America?	☑ Yes	☐ No	☑ Yes	☐ No
6. Do you have a will?	☑ Yes	☐ No	☑ Yes	☐ No

The foregoing statement, submitted for the purpose of obtaining credit, is true and correct in every detail and fairly shows my/our financial condition at the time indicated. I/we will give you prompt notice of any subsequent substantial change in such financial condition occurring before discharge of my/our obligation to you. I/we understand that you will retain this personal financial statement whether or not you approve the credit in connection with which it is submitted. You are authorized to check my/our credit and employment history or any other information contained herein.

[redacted] 4/14/12 [redacted] 4/16/12

Applicant Signature Date Co-Applicant Signature Date

The Federal Equal Credit Opportunity Act and Fair Housing Act prohibits creditors from discriminating against credit applicants on the basis of race, color, religion, national origin, sex, marital status, age (provided the applicant has the capacity to enter into a binding contract); because all or part of the applicant's income derives from any public assistance program; or because the applicant has in good faith exercised any right under the Consumer Credit Protection Act. The federal agency that administers compliance with this law concerning this creditor is:

FDIC
Consumer Response Center
1100 Walnut St, Box #11
Kansas City, MO 64106

Consolidating Schedule of Real Estate As of (Page 1/2)

Entity or Project Name	Asset Type (Land, Platted, or Finished Lots, Spec Homes, etc.)	Location	Cost Basis	Purchase Date	Market Value

Consolidating Schedule of Real Estate As of

(Page 2/2)

Entity or Project Name	Project Debt	Monthly NOI	Monthly Debt	Lender	Project

METRO PHOENIX BANK

ACKNOWLEDGEMENTS/DISCLAIMER OF SPOUSE'S
SOLE AND SEPARATE PROPERTY

STATE OF _____)
) ss.
County of _____)

WHEREAS, _____, (the spouse), the Spouse of undersigned, has acquired and now holds title to the following described property (the "Sole and Separate Property"):

See Exhibit "A" attached hereto and by this reference incorporated herein

WHEREAS, the Sole and Separate Property is acknowledged by the undersigned to be the sole and separate property of Spouse, and the undersigned has no past, present or future right, title, interest, estate, claim or lien of any nature whatsoever in, to or against the Sole and Separate Property and any products, proceeds or replacements thereof.

NOW, THEREFORE, the undersigned does hereby disclaim and release unto Spouse, and the heirs, personal representatives and assigns of Spouse, all right, title, interest, estate, claim and demand which the undersigned has, may have now or in the future, or might appear to have in and to the Sole and Separate Property or any products, proceeds or replacements thereof. If any of the Sole and Separate Property becomes community property or if the undersigned acquires an interest in the Sole and Separate Property, then the Sole and Separate Property and any products, proceeds or replacements thereof shall continue to be bound by, and obligated for the payment of Spouse's obligations, contingent or otherwise, to Metro Phoenix Bank.

Acknowledged this _____ day of _____, 20___.

_____
Spouse's name goes here

SUBSCRIBED AND SWORN to before me this _____ day of _____, 20___.

_____
Notary Public

My commission expires:

_____

MPB

METRO PHOENIX BANK

Personal Financial Statement

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If you are married and applying INDIVIDUALLY, the financial statement below and supporting schedules must reflect your sole and separate assets as attested to by your spouse. Your spouse is required to sign the Acknowledgement /Disclaimer of Spouse's Sole and Separate Property section on this form.

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ARE YOU APPLYING FOR A FINANCIAL ACCOMODATION OR PROVIDING A GUARANTY INDIVIDUALLY, BASED ON YOUR SOLE AND SEPARATE ASSETS, CREDIT, AND INCOME? YES ☐ NO ☒

ARE YOU APPLYING FOR A FINANCIAL ACCOMODATION OR PROVIDING A GUARANTY JOINTLY WITH A CO-APPLICANT?
YES ☐ NO ☒

IF YOU ANSWERED YES TO APPLYING INDIVIDUALLY, ARE THE ASSETS AND LIABILITIES LISTED BELOW YOUR SOLE AND SEPARATE ASSETS, LIABILITIES AND INCOME?
YES ☐ NO ☐

APPLICANT

Name:	David Schweikert	Social Security No:	[redacted]
Address:	[redacted]	Years at Current Address:	12
City/State:	[redacted]	Zip Code:	[redacted]
Home Phone:	[redacted]	Work Phone:	[redacted]
Current Employer:	U. S. House of Representatives	Position: Congressman	Years: 2
Date of Birth:	3/3/62	Place of Birth:	LA

CO-APPLICANT

Name:	Joyce Schweikert	Social Security No:	[redacted]
Address:	[redacted]	Years at Current Address:	7
City/State:	[redacted]	Zip Code:	[redacted]
Home Phone:	[redacted]	Work Phone:	[redacted]
Current Employer:	Scottsdale Eye Surgery Center	Position: Administrator	Years: 7

Date of Birth: 7/18/62 Place of Birth KS
Date of Valuation: 3/31/13

*Round all amounts to the nearest $100.
*Attach separate sheet if additional space is needed to complete schedules.

ASSETS	AMOUNT	LIABILITIES	AMOUNT
Cash in this Bank--Chase	45,846	Notes Payable Bank (Schedule 5)	
Cash in Other Financial Institutions (Detail)		Notes Payable Others (Schedule 5)	
Bank of Amer	2,580	Outstanding Credit Cards	
		Chase/Barclays	11,000
Marketable Securities (Schedule 1)			
Non-Marketable Securities (Schedule 1)		Income/Other Taxes Payable	
Other Notes Receivable (Schedule 2)		Loans on Life Insurance (Schedule 3)	
Retirement Accounts 401k's DS & JS	67,980		
Cash Surrender Value of Life Ins. (Schedule 3)	20,000		
Residence (Schedule 4)	300,000	Mortgage on Residence (Schedule 4)	275,000
Other Real Estate Owned (Schedule 4)	500,000	Mortgage on Other Real Estate (Schedule 4)	181,000
Automobiles	30,000		
Personal Property	50,000	Other Personal Liabilities (Detail)	
		Sallie Mae	40,000
Other Personal Assets (Detail)			
Sheridan Equities Holdings	750,000		
MAS Funds	800,000	(*Please list all contingent liabilities on schedule 6)	
Loans to campaign	600,000	TOTAL LIABILITIES	507,000
		NET WORTH	2,659,406
TOTAL ASSETS	3,166,406	TOTAL LIABILITES & NET WORTH	

ANNUAL INCOME	APPLICANT	CO-APPLICANT	ANNUAL EXPENSES	
Salary	174,000	90,000	Mortgage/Rental payments (P&I)	30,000
Commissions			Other Contract payments (auto, charge cards, etc.)	10,000
Dividends			Real Estate Taxes & Assessments	
Interest			Taxes-federal, state & local (FiscalYr end 12)	40,000
Rental Property	30,000	14,000	Insurance payments	5,812
Alimony/Child Support/ Maintenance			Alimony/Child Support/Maintenance	
Other Income (Detail)			Other Expenses (Detail)	
Maricopa Cty Pension	40,000			
TOTAL INCOME	244,000	104,000	TOTAL EXPENSES	85,812

SCHEDULE 1 – MARKETABLE & NON-MARKETABLE SECURITIES (includes stock in closely held companies)

No. Shares or Bond Amount	Description	In Whose Name(s) Registered	Listed Unlisted	Cost	Present Market Value	Pledged? Yes/No
		TOTAL				

SCHEDULE 2 – NOTES, MORTGAGES & CONTRACTS RECEIVABLE

Name of Debtor	Type of Collateral	1st or 2nd Lien	Maturity Date	How Payable	Unpaid Balance
			TOTAL		

SCHEDULE 3 – LIFE INSURANCE

Policy Holder	Insurance Company	Beneficiary	Face Value	Cash Value	Loans
Joyce Schweikert	Minnisota Life	David Schweikert	57,600	20,000	
David Schweikert			250,000	0	
		TOTAL		20,000	

SCHEDLUE 4 – SCHEDULE OF REAL ESTATE OWNED (if you own more than 3 properties please complete the attached "Consolidating Schedule of Real Estate."

	Property 1	Property 2	Property 3
Type of Property	Rental	Rental	Rental
Address of Property	3031 N 64th, Phx AZ	3338 E Willett, Phx, AZ	6413 W Lamar, Glendale, AZ
Name(s) on Title			
Date Purchased	4/2009	4/2009	4/2009
Purchase Price			
Current Market Value			
Mortgage Holder			
Account Number			
Current Balance			
Monthly Payment	0	0	0
Monthly Rental Income	843	865	790

SCHEDULE 5 – NOTES PAYABLE BANK & OTHERS

Name of Creditor	Purpose of Loan	List Collateral	Monthly Pmt	Maturity Date	Current Balance
Sallie Mae	school loan		550		40,000

SCHEDULE 6 – CONTINGENT LIABILITIES

To Whom Payable	Reason Purpose	Secured Y-Yes N-No	Monthly Payment	Maturity	Unpaid Balance
		TOTAL			

	APPLICANT		CO-APPLICANT	
1. Have you ever had a judgement against you or filed bankruptcy?	☐ Yes	☒ No	☐ Yes	☒ No
2. Have you ever been charged with or convicted of any criminal offense other than a misdemeanor involving minor motor vehicle violations?	☐ Yes	☒ No	☐ Yes	☒ No
3. Are any of the assets listed herein held under a trust agreement of any type, held in estate, or in any other name or capacity?	☐ Yes	☒ No	☐ Yes	☒ No
4. Do you have any contingent liabilities as endorser, guarantor, lawsuits, taxes, or other? If yes, please explain on the back of this form.	☐ Yes	☒ No	☐ Yes	☒ No
5. Are you a citizen of the United States of America?	☒ Yes	☐ No	☒ Yes	☐ No
6. Do you have a will?	☒ Yes	☐ No	☒ Yes	☐ No

The foregoing statement, submitted for the purpose of obtaining credit, is true and correct in every detail and fairly shows my/our financial condition at the time indicated. I/we will give you prompt notice of any subsequent substantial change in such financial condition occurring before discharge of my/our obligation to you. I/we understand that you will retain this personal financial statement whether or not you approve the credit in connection with which it is submitted. You are authorized to check my/our credit and employment history or any other information contained herein.

David Schweikert	4/24/13	Joyce Schweikert	4/24/13
Applicant Signature	**Date**	**Co-Applicant Signature**	**Date**

The Federal Equal Credit Opportunity Act and Fair Housing Act prohibits creditors from discriminating against credit applicants on the basis of race, color, religion, national origin, sex, marital status, age (provided the applicant has the capacity to enter into a binding contract), because all or part of the applicant's income derives from any public assistance program; or

because the applicant has in good faith exercised any right under the Consumer Credit Protection Act. The federal agency that administers compliance with this law concerning this creditor is:

FDIC
Consumer Response Center
1100 Walnut St, Box #11
Kansas City, MO 64106

MPB

METRO PHOENIX BANK

Personal Financial Statement

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ARE YOU APPLYING FOR A FINANCIAL ACCOMODATION OR PROVIDING A GUARANTY JOINTLY WITH A CO-APPLICANT?
YES ☐ NO ☒

IF YOU ANSWERED YES TO APPLYING INDIVIDUALLY, ARE THE ASSETS AND LIABILITIES LISTED BELOW YOUR SOLE AND SEPARATE ASSETS, LIABILITIES AND INCOME?
YES ☐ NO ☐

APPLICANT

Name:	David Schweikert	Social Security No:	[redacted]
Address :	[redacted]	Years at Current Address:	1
City/State:	[redacted]	Zip Code:	[redacted]
Home Phone:	[redacted]	Work Phone:	[redacted]
Current Employer:	U. S. House of Representatives	Position: Congressman	Years: 3
Date of Birth:	3/3/62	Place of Birth:	LA

CO-APPLICANT

Name:	Joyce Schweikert	Social Security No:	[redacted]
Address:	[redacted]	Years at Current Address:	1
City/State:	[redacted]	Zip Code:	[redacted]
Home Phone:	[redacted]	Work Phone:	[redacted]
Current Employer:	Scottsdale Eye Surgery Center	Position: Administrator	Years: 8
Date of Birth:	7/18/62	Place of Birth:	KS

Date of Valuation: 4/30/14

*Round all amounts to the nearest $100.
*Attach separate sheet if additional space is needed to complete schedules.

ASSETS	AMOUNT	LIABILITIES	AMOUNT
Cash in this Bank--Chase	20,000	Notes Payable Bank (Schedule 5)	
Cash in Other Financial Institutions (Detail)		Notes Payable Others (Schedule 5)	
Bank of America	2500	Outstanding Credit Cards	
		Chase/Barclays/Canyon	20,000
Marketable Securities (Schedule 1)			
Non-Marketable Securities (Schedule 1)		Income/Other Taxes Payable	
Other Notes Receivable (Schedule 2)		Loans on Life Insurance (Schedule 3)	
Retirement Accounts---DS & JS	198,000		
Cash Surrender Value of Life Ins. (Schedule 3)	20,000		
Residence (Schedule 4)	300,000	Mortgage on Residence (Schedule 4) Sycamore	392,000
Other Real Estate Owned (Schedule 4)	500,000	Mortgage on Other Real Estate (Schedule 4)	
Automobiles	30,000		
Personal Property	50,000	Other Personal Liabilities (Detail)	
		Sallie Mae	35,000
Other Personal Assets (Detail)		Automobilies	25,000
Sheridan Equities Holdings	750,000		
MAS Funds	800,000	(*Please list all contingent liabilities on schedule 6)	
Loans to campaign	400,000	TOTAL LIABILITIES	472,000
		NET WORTH	2,598,500
TOTAL ASSETS	3,070,500	TOTAL LIABILITES & NET WORTH	3,070,500

ANNUAL INCOME	APPLICANT	CO-APPLICANT	ANNUAL EXPENSES	
Salary	174,000	90,000	Mortgage/Rental payments (P&I)	21,800
Commissions			Other Contract payments (auto, charge cards, etc.)	15,000
Dividends			Real Estate Taxes & Assessments	28,800
Interest			Taxes-federal, state & local (Fiscal Yr end ___)	40,000
Rental Property	30,000		Insurance payments	5800
Alimony/Child Support/ Maintenance			Alimony/Child Support/Maintenance	
Other Income (Detail)			Other Expenses (Detail)	
Maricopa Cty Pension	40,000			
TOTAL INCOME	244,000	90,000	TOTAL EXPENSES	111,400

SCHEDULE 1 – MARKETABLE & NON-MARKETABLE SECURITIES (includes stock in closely held companies)

No. Shares or Bond Amount	Description	In Whose Name(s) Registered	L-Listed U-Unlisted	Cost	Present Market Value	Pledged? Yes/No
			TOTAL	0.00	0.00	

SCHEDULE 2 – NOTES, MORTGAGES & CONTRACTS RECEIVABLE

Name of Debtor	Type of Collateral	1st or 2nd Lien	Maturity Date	How Payable	Unpaid Balance
		TOTAL			0.00

SCHEDULE 3 – LIFE INSURANCE

Policy Holder	Insurance Company	Beneficiary	Face Value	Cash Value	Loans
Joyce Schweikert	Minnesota	David Schweikert	57600	20,000	
David Schweikert			250,000		
		TOTAL	307,600	20,000	0.00

SCHEDLUE 4 – SCHEDULE OF REAL ESTATE OWNED (if you own more th an 3 properties please complete the attached "Consolidating Schedule of Real Estate."

	Property 1	Property 2	Property 3
Type of Property	Rental	Rental	Rental
Address of Property	3031 N 64th, Phx, AZ	3338 E Willetta, Phx, AZ	6413 W Lamar, Glendale, AZ
Name(s) on Title			
Date Purchased	4/2009	4/2009	4/2009
Purchase Price			
Current Market Value			
Mortgage Holder			
Account Number			
Current Balance			
Monthly Payment			
Monthly Rental Income	843	865	790

SCHEDULE 5 – NOTES PAYABLE BANK & OTHERS

Name of Creditor	Purpose of Loan	List Collateral	Monthly Pmt	Maturity Date	Current Balance
Sallie Mae	School loan		550		35,000

SCHEDULE 6 – CONTINGENT LIABILITIES

To Whom Payable	Reason/Purpose	Secured Y-Yes N-No	Monthly Payment	Maturity	Unpaid Balance
		TOTAL	0.00		0.00

	APPLICANT		CO-APPLICANT	
1. Have you ever had a judgement against you or filed bankruptcy?	☐ Yes	☒ No	☐ Yes	☒ No
2. Have you ever been charged with or convicted of any criminal offense other than a misdemeanor involving minor motor vehicle violations?	☐ Yes	☒ No	☐ Yes	☒ No
3. Are any of the assets listed herein held under a trust agreement of any type, held in estate, or in any other name or capacity?	☐ Yes	☒ No	☐ Yes	☒ No
4. Do you have any contingent liabilities as endorser, guarantor, lawsuits, taxes, or other? If yes, please explain on the back of this form.	☐ Yes	☒ No	☐ Yes	☒ No
5. Are you a citizen of the United States of America?	☒ Yes	☐ No	☒ Yes	☐ No
6. Do you have a will?	☒ Yes	☐ No	☒ Yes	☐ No

The foregoing statement, submitted for the purpose of obtaining credit, is true and correct in every detail and fairly shows my/our financial condition at the time indicated. I/we will give you prompt notice of any subsequent substantial change in such financial condition occurring before discharge of my/our obligation to you. I/we understand that you will retain this personal financial statement whether or not you approve the credit in connection with which it is submitted. You are authorized to check my/our credit and employment history or any other information contained herein.

[redacted] 4/30/14 [redacted] 4/30/14

Applicant Signature **Date** **Co-Applicant Signature** **Date**

The Federal Equal Credit Opportunity Act and Fair Housing Act prohibits creditors from discriminating against credit applicants on the basis of race, color, religion, national origin, sex, marital status, age (provided the applicant has the capacity to enter into a binding contract); because all or part of the applicant's income derives from any public assistance program; or because the applicant has in good faith exercised any right under the Consumer Credit Protection Act. The federal agency that administers compliance with this law concerning this creditor is:

FDIC
Consumer Response Center
1100 Walnut St, Box #11
Kansas City, MO 64106

EXHIBIT 14

LexisNexis®

1 OF 1 RECORD(S)

6413 W LAMAR RD
GLENDALE, AZ 85301-3019

Transaction History

Sale Date	Buyer	Seller	Sale Price	Loan Amount	Lender	Recording Date	Source
07/24/2014	SANDIVAL, JORGE					11/06/2014	A
	SANDIVAL, JORGE	LLC, SHERIDAN E QUITIES	$105,000.00			07/29/2014	A
07/24/2014	SANDIVAL, JORGE	LLC, SHERIDAN E QUITIES	$105,000.00	$70,000.00	* OTHER INSTITUTION AL LENDERS SECURED IN	07/29/2014	A
07/24/2014	ARELLANO SANDIVAL, JORGE OMA R	LLC, SHERIDAN E QUITIES	$105,000.00			07/29/2014	B
07/30/2010	LLC, SHERIDAN E QUITIES LLC, SHERIDAN E QUITIES			$75,000.00	METRO PHOENIX BK	08/02/2010	A
03/26/2009	LLC, SHERIDAN E QUITIES LLC, SHERIDAN E QUITIES	TRUST, US BANK NATIONAL ASSOCI	$36,550.00			04/21/2009	A
03/26/2009	LLC, SHERIDAN E QUITIES LLC, SHERIDAN E QUITIES	ASSOCIATIO N, US BANK NATIONAL	$36,550.00			04/21/2009	B
01/20/2009	TRUST, US BANK NATIONAL ASSOCI	CORPORAT, QUALITY LO AN SERVICE	$73,280.00			01/27/2009	A
01/20/2009	BANK, U S ASSOCIATIO N, US BANK NATIONAL	ROMERO, HERMELIND A B	$73,280.00			01/27/2009	B
	ROMERO,			$140,000.00	* OTHER	05/28/2008	A

Sale Date	Buyer	Seller	Sale Price	Loan Amount	Lender	Recording Date	Source
	HERMELINDA				INSTITUTIONAL LENDERS US BANK NA		
02/10/2006	ROMERO, HERMELINDA	ROMERO, CAIN		$140,000.00	LIME FIN'L SVCS LTD	02/21/2006	A
02/10/2006	ROMERO, HERMELINDA B	ROMERO, CAIN		$140,000.00	LIME FINANCIAL SERVICES LTD	02/21/2006	B
01/26/2004	ROMERO, HERMELINDA B ROMERO, CAIN			$100,800.00	ARGENT MTG CO LLC	01/30/2004	A
	ROMERO, CAIN	LENTZ, BARBARA J LENTZ, ROBERT E	$80,000.00	$79,877.00	MORTGAGE ONE	04/27/1999	A
04/15/1999	ROMERO, CAIN	LENTZ, BARBARA J LENTZ, ROBERT E	$80,000.00	$79,877.00	MORTGAGE ONE CORP	04/27/1999	B
	LENTZ, ROBERT			$16,000.00	NEW CENTURY MTG	08/25/1997	A

Additional Information

W 16 deed transfers in the last 249 months
A
R
N
I
N
G
:
W Current resident does not match owner as of 1197 days ago
A
R
N
I
N
G
:

Property Information

Address:	6413 W LAMAR RD GLENDALE, AZ 85301-3019	Stories:	1
APN:	144-08-096	Number of Bedrooms:	
Alt Parcel Number:		Baths:	2
Legal Description:	WESTDALE ESTATES	Style:	

Subdivision Name:	UNIT ONE WESTDALE ESTATES 1	Year Built:	1974
Land Use:		Square Footage:	
Land Value:	$1,580.00	Lot Size:	5998
Improvement Value:	$6,330.00	Condition:	
Total Value:		Fireplace:	
Assessed Value:	$7,910.00	Pool	
Market Land Value:	$15,800.00	Air Conditioning:	AC.REFRIGERATION
Market Improvement Value:	$63,300.00	Heating:	FORCED AIR
Total Market Value:	$79,100.00	Fuel:	
Tax Amount:	$610.18	Sewer:	
Tax Year:	2016	Water:	
		Electric:	
		Frame:	
		Roof:	ASPHALT SHINGLE

Current Residents

Name	SSN	Date
TIBURCIO R RODRIGUEZ	[redacted]	02/2018
GILBERTO ARELLANO JIMENEZ	SSN Not Valid	02/2018
SANDRA ELIZABETH ARELLANO	Issued Prior to DOB SSN Not Valid	02/2018

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Your GLBA Permissible Use is: As allowed by the Right to Financial Privacy Act of 1978

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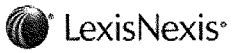

1 OF 1 RECORD(S)

3031 N 64TH DR
PHOENIX, AZ 85033-5708

Transaction History

Sale Date	Buyer	Seller	Sale Price	Loan Amount	Lender	Recording Date	Source
	RAMIREZ-REYES, FLORENCIO		$109,950.00			02/03/2015	A
01/29/2015	RAMIREZ, JULIA REINA RAMIREZ REYES, FLORENCIO	LLC, SHERIDAN E QUITIES	$109,950.00	$107,957.00	PROSPECT MORTGAGE LLC	02/03/2015	B
07/30/2010	LLC, SHERIDAN E QUITIES LLC, SHERIDAN E QUITIES			$75,000.00	METRO PHOENIX BK	08/02/2010	A
03/27/2009	LLC, SHERIDAN E QUITIES LLC, SHERIDAN E QUITIES		$36,000.00			04/24/2009	A
03/27/2009	LLC, SHERIDAN E QUITIES LLC, SHERIDAN E QUITIES	ASSOCIATION, HSBC BANK USA NATIONAL	$36,000.00			04/24/2009	B
01/06/2009		BOSCO, MICHAEL A	$50,000.00			01/12/2009	A
	ESQUIVEL, JOSE			$140,160.00	HSBC BK	10/06/2008	A
09/23/2005	ESQUIVEL, JOSE	MARTINEZ, MARIA C	$175,200.00	$175,200.00	FREMONT INVS & LN	12/14/2005	A
07/23/2004	MARTINEZ, MARIA	MARTINEZ, RUBEN S		$92,800.00	ARGENT MTG CO LLC	07/28/2004	A
11/06/2000	MARTINEZ, RUBEN	MARTINEZ, RUBEN S		$72,257.00	TRAVELERS BK&TR FSB	11/16/2000	A
11/06/2000	MARTINEZ, RUBEN S	MARTINEZ, RUBEN S		$72,257.00	TRAVELERS BANK &	11/16/2000	B

Sale Date	Buyer	Seller	Sale Price	Loan Amount	Lender	Recording Date	Source
	MARTINEZ, MARIA C				TRUST FSB		

Additional Information

W 11 deed transfers in the last 210 months
A
R
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N
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:
W Current resident does not match owner as of 1108 days ago
A
R
N
I
N
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:

Property Information

Address:	3031 N 64TH DR PHOENIX, AZ 85033-5708	Stories:	1
APN:	103-49-002	Number of Bedrooms:	
Alt Parcel Number:		Baths:	2
Legal Description:	SUNSET KNOLL 3	Style:	
Subdivision Name:	SUNSET KNOLL 3	Year Built:	1970
Land Use:		Square Footage:	
Land Value:	$1,480.00	Lot Size:	6504
Improvement Value:	$5,940.00	Condition:	
Total Value:		Fireplace:	
Assessed Value:	$7,420.00	Pool	
Market Land Value:	$14,800.00	Air Conditioning:	AC.REFRIGERATION
Market Improvement Value:	$59,400.00	Heating:	FORCED AIR
Total Market Value:	$74,200.00	Fuel:	
Tax Amount:	$730.20	Sewer:	
Tax Year:	2016	Water:	
		Electric:	
		Frame:	
		Roof:	ASPHALT SHINGLE

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1 OF 1 RECORD(S)

3338 E WILLETTA ST
PHOENIX, AZ 85008-4818

Transaction History

Sale Date	Buyer	Seller	Sale Price	Loan Amount	Lender	Recording Date	Source
	MEDINA, FLORA	LLC, SHERIDAN E QUITIES	$108,000.00			07/31/2014	A
07/29/2014	MEDINA, FLORA	LLC, SHERIDAN E QUITIES	$108,000.00	$102,600.00	IMORTGAGE	07/31/2014	A
07/29/2014	MEDINA, FLORA ESTR ADA	BARRERA, ALFREDO AV ILA				07/31/2014	B
07/29/2014	MEDINA, FLORA ESTR ADA	LLC, SHERIDAN E QUITIES	$108,000.00			07/31/2014	B
07/30/2010	LLC, SHERIDAN E QUITIES LLC, SHERIDAN E QUITIES			$75,000.00	METRO PHOENIX BK	08/02/2010	A
04/02/2009	LLC, SHERIDAN E QUITIES LLC, SHERIDAN E QUITIES	1, US BANK NATIONAL ASSOCI	$27,000.00			04/23/2009	A
04/02/2009	LLC, SHERIDAN E QUITIES	ASSOCIATIO N, US BANK NATIONAL	$27,000.00			04/23/2009	B
01/02/2009	1, US BANK NATIONAL ASSOCI	BOSCO, MICHAEL A	$98,000.00			01/08/2009	A
	ESPINOZA, JOSE			$154,800.00	US BK NATIONAL ASSN	05/29/2008	A
03/17/2006	ESPINOZA, JOSE	HEREDIAVER A, MARTHA	$193,500.00	$193,500.00	NEW CENTURY MTG CORP	08/28/2006	A
03/17/2006	ESPINOZA, JOSE G	HEREDIA VERA, MARTHA	$193,500.00	$38,700.00	NEW CENTURY MORTGAGE CORP	08/28/2006	B

Sale Date	Buyer	Seller	Sale Price	Loan Amount	Lender	Recording Date	Source
08/20/2004	HEREDIA, MARTHA	MONTELONGO, JUAN J		$114,000.00	ARGENT MTG CO LLC	09/07/2004	A
08/20/2004	HEREDIA, MARTHA H	MONTELONGO, JUAN JOSE HEREDIA, MARTHA H				09/07/2004	B
08/20/2004	HEREDIA, MARTHA H	VERA, FRANCISCO				09/07/2004	B

Additional Information

W 14 deed transfers in the last 163 months
A
R
N
I
N
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:
W Current resident does not match owner as of 1295 days ago
A
R
N
I
N
G
:

Property Information

Address:	3338 E WILLETTA ST PHOENIX, AZ 85008-4818	Stories:	1
APN:	121-07-153	Number of Bedrooms:	
Alt Parcel Number:		Baths:	2
Legal Description:	PAPAGO TERRACE 3	Style:	
Subdivision Name:	PAPAGO TERRACE 3	Year Built:	1955
Land Use:		Square Footage:	
Land Value:	$1,450.00	Lot Size:	7231
Improvement Value:	$5,810.00	Condition:	
Total Value:		Fireplace:	
Assessed Value:	$7,260.00	Pool	
Market Land Value:	$14,500.00	Air Conditioning:	AC.REFRIGERATION
Market Improvement Value:	$58,100.00	Heating:	FORCED AIR
Total Market Value:	$72,600.00	Fuel:	
Tax Amount:	$555.40	Sewer:	
Tax Year:	2016	Water:	
		Electric:	
		Frame:	
		Roof:	ASPHALT SHINGLE

Current Residents

Name	SSN	Date
JOSE G ESPINOZA	[redacted]	02/2018
JUAN JOSE MONTELONGO		02/2018
JUAN ANTONIO ALVIRA		02/2018
ARIDAI GARCIA		02/2018
EMILIO VALENZUELA	SSN Not Valid	01/2018
IRENE ESPINOSA	SSN Not Valid	01/2018
JAVIER ESTRADA ESTRADA MEDINA	SSN Not Valid	11/2017
JAVIER ESTRADA	SSN Not Valid	11/2017

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1 OF 1 RECORD(S)

5920 W STATE AVE
GLENDALE, AZ 85301-1862

Transaction History

Sale Date	Buyer	Seller	Sale Price	Loan Amount	Lender	Recording Date	Source
12/07/2017	KRUGER, DAVID			$130,000.00	QUICKEN LNS INC QUICKEN LNS INC	12/12/2017	A
11/10/2016	KRUGER, DAVID		$60,850.00	$59,747.00	QUICKEN LNS INC QUICKEN LNS INC	12/07/2016	A
11/10/2016	KRUGER, DAVID	KRUGER, JEHAN ABAN O				12/07/2016	B
11/10/2016	KRUGER, DAVID	LLC, BIRCH PROP ERTIES	$60,850.00	$59,747.00	QUICKEN LOANS INC	12/07/2016	B
	LLC, BIRCH PROP ERTIES LLC, BIRCH PROP ERTIES	LLC, SHERIDAN E QUITIES	$95,000.00			08/28/2013	A
08/21/2013	LLC, BIRCH PROP ERTIES LLC, BIRCH PROP ERTIES	LLC, SHERIDAN E QUITIES	$95,000.00			08/28/2013	B
05/31/2013	LLC, SHERIDAN E QUITIES LLC, SHERIDAN E QUITIES				METRO PHOENIX BK	06/03/2013	A
12/29/2010	LLC, SHERIDAN E QUITES LLC, SHERIDAN E QUITES	INCORPORA TED, SWARTZ BR OUGH	$78,900.00	$154,000.00	METRO PHOENIX BK	02/24/2011	A
12/29/2010	LLC, SHERIDAN E QUITES LLC, SHERIDAN E	BROUGH, SWARTZ	$78,900.00	$154,000.00	METRO PHOENIX BANK	02/24/2011	B

Sale Date	Buyer	Seller	Sale Price	Loan Amount	Lender	Recording Date	Source
	QUITES						
11/03/2009		ASSOCIATION, RECONTRUST COMPANY NATIONAL	$44,066.00			11/12/2009	A
11/10/2009	BROUGH, A BROUGH, A	ORDAZ, JOE M	$44,066.00			11/12/2009	B
	ORDAZ, JOE			$179,273.00	MORTGAGE ELECTRONIC RGSTRTN	07/31/2009	A
09/02/2006	ORDAZ, JOE M ORDAZ, ANGELA K			$26,031.00	JP MORGAN CHASE BK	09/27/2006	A
08/30/2005	ORDAZ, JOE	RODRIGUEZ, GUADALUPE		$179,273.00	AMPRO MTG CORP	09/16/2005	A
08/30/2005	ORDAZ, JOE M	RODRIGUEZ, GUADALUPE	$175,500.00			09/16/2005	B
11/19/2004	RODRIGUEZ, GUADALUPE	RODRIGUEZ, ALICIA M				12/10/2004	A
07/18/2003	RODRIGUEZ, GUADALUPE	RODRIGUEZ, ALICIA		$89,350.00	IRWIN MTG CORP	07/28/2003	A
07/18/2003	RODRIGUEZ, GUADALUPE	RODRIGUEZ, ALICIA		$89,350.00	IRWIN MORTGAGE CORP	07/28/2003	B
	RODRIGUEZ, GUADALUPE			$84,693.00	TRAVELERS BK&TR FSB	08/03/1999	A
	RODRIGUEZ, GUADALUPE	BLACK, SOCORRO BLACK, IVAL H	$66,275.00			05/06/1993	A
	RODRIGUEZ, GUADALUPE	BLACK, IVAL H	$49,400.00	$498.00		05/06/1993	A

Additional Information

W 21 deed transfers in the last 301 months
A
R
N
I
N
G
:

Property Information

Address:	5920 W STATE AVE GLENDALE, AZ 85301-1862	Stories:	1
APN:	143-43-126	Number of Bedrooms:	
Alt Parcel Number:		Baths:	1
Legal Description:	NORTHFIELD	Style:	
Subdivision Name:	NORTHFIELD	Year Built:	1946
Land Use:		Square Footage:	
Land Value:	$1,500.00	Lot Size:	7532
Improvement Value:	$6,030.00	Condition:	
Total Value:		Fireplace:	
Assessed Value:	$7,530.00	Pool	
Market Land Value:	$15,000.00	Air Conditioning:	AC.REFRIGERATION
Market Improvement Value:	$60,300.00	Heating:	FORCED AIR
Total Market Value:	$75,300.00	Fuel:	
Tax Amount:	$867.54	Sewer:	
Tax Year:	2016	Water:	
		Electric:	
		Frame:	
		Roof:	ASPHALT SHINGLE

Current Residents

Name	SSN	Date
DAVID WAYNE KRUGER	[redacted]	02/2018
BREANNA JACLYNE KRUGER		02/2018
C HERNANDEZ		01/2018
ABANO KRUGER		01/2018
JEHAN ABANO KRUGER		01/2018
DAVID A KRUGER		12/2017
	SSN Not Valid	
MICHAEL F KRUGER		12/2017
	SSN Not Valid	
DEBRA ANN KRUGER		06/2017
	SSN Not Valid	

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Your GLBA Permissible Use is: As allowed by the Right to Financial Privacy Act of 1978

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EXHIBIT 15

29-Jun-18 29Jun18-179

Washington Mutual Bank

1 OF 1 BANK COPY

INDIVIDUAL/JOINT
MASTER ACCOUNT AGREEMENT

COMP NO. 02	[illegible] 1	PRODUCT DDA	[illegible] [redacted]	ACCOUNT NUMBER [redacted]		
1. CUSTOMER NAME: DAVID S SCHWEIKERT			DATE OF BIRTH 03/03/1962	TAX ID [redacted]	SEX M	PERSONAL PHONE [redacted] / BUSINESS PHONE
ADDRESS (CITY, STATE, ZIP CODE - OR PROVINCE AND COUNTRY, IF NOT U.S.A.) [redacted]						
EMPLOYER: SELF EMPLOYED			IDENTIFICATION: DRIVER'S LICENSE / [redacted] VISA CARD UNITED MILEAGE PLUS			VERIFICATION STATUS
2. CUSTOMER NAME: JOYCE R SCHWEIKERT			DATE OF BIRTH 07/18/1962	TAX ID [redacted]	SEX F	PERSONAL PHONE [redacted] / BUSINESS PHONE [redacted]
EMPLOYER			IDENTIFICATION: DRIVER'S LICENSE / [redacted] VISA CARD BANK OF AMERICA			VERIFICATION STATUS: ESTABLISHED
3. CUSTOMER NAME			DATE OF BIRTH	TAX ID	SEX	PERSONAL PHONE / BUSINESS PHONE
EMPLOYER			IDENTIFICATION			VERIFICATION STATUS
DATE OPENED 09/05/2006	BY BLR		TAX LINE 1	DATE CHANGED 12/07/2006		01 / 15

The account opened under this Master Account Agreement shall be of the following type:

A JOINT ACCOUNT WITH RIGHT OF SURVIVORSHIP IN OUR NAMES. UPON THE DEATH OF ONE OR MORE OF US, THE FUNDS IN THIS ACCOUNT BECOME THE PROPERTY OF THE SURVIVOR(S).

This type of account is described in detail in the Account Disclosures and Regulations.

Number of signatures required (see paragraph 3 below): 1

1. The Depositor shall be bound by the Bank's Account Disclosures and Regulations, and all amendments thereto, from time to time in effect. [illegible]

2. This Agreement shall govern all accounts, products and services opened by Depositor [illegible]

3. [illegible]

4. Most disputes arising under this Agreement related to accounts or services hereunder are subject to mandatory binding arbitration. [illegible]

TAX CERTIFICATION - UNLESS I PROVIDE A FORM W-8BEN BECAUSE I AM NOT A US PERSON, UNDER PENALTIES OF PERJURY I CERTIFY THAT:
1) The Tax ID number shown on this form is my correct Taxpayer Identification Number. [illegible]
2) [illegible]
3) Check this box ☐ if you are subject to backup withholding and can not certify the provisions of (2) above.
I am a US person (including US resident alien). [illegible]

By signing below, I/we agree to be bound by the terms and conditions of this Master Account Agreement [illegible] THE INTERNAL REVENUE SERVICE DOES NOT REQUIRE YOUR CONSENT TO ANY PROVISION OF THIS DOCUMENT OTHER THAN THE CERTIFICATIONS REQUIRED TO AVOID BACKUP WITHHOLDING.

02 [redacted]

DAVID S SCHWEIKERT

x

Please sign within the box. Thumb Print

x

Please sign within the box. Thumb Print

JOYCE R SCHWEIKERT

[redacted]

Please sign within the box. Thumb Print

[illegible]

29-Jun-18 29Jun18-179

Washington Mutual Bank
(the "Bank")

1 OF 1 BANK COPY INDIVIDUAL/JOINT
MASTER ACCOUNT AGREEMENT

COMP NO	OWN CODE	PRODUCT	FC NUMBER	ACCOUNT NUMBER
02	1	DDA	[redacted]	[redacted]

CUSTOMER NAME	DATE OF BIRTH	TAX ID	SEX	PERSONAL PHONE	BUSINESS PHONE
DAVID S SCHWEIKERT	03/03/1962	[redacted]	M	[redacted]	

ADDRESS (CITY, STATE, ZIP CODE, CITY, PROVINCE AND COUNTRY, IF NOT IN U.S.A.): [redacted]

EMPLOYER	IDENTIFICATION	VERIFICATION STATUS
SELF EMPLOYED	DRIVER'S LICENSE / [redacted] VISA CARD UNITED MILEAGE PLUS	

2. CUSTOMER NAME	DATE OF BIRTH	TAX ID	SEX	PERSONAL PHONE	BUSINESS PHONE
JOYCE R NOONE	07/18/1962	[redacted]	F	[redacted]	[redacted]

EMPLOYER	IDENTIFICATION	VERIFICATION STATUS
	DRIVER'S LICENSE / [redacted] VISA CARD BANK OF AMERICA	397565

3. CUSTOMER NAME	DATE OF BIRTH	TAX ID	SEX	PERSONAL PHONE	BUSINESS PHONE

EMPLOYER	IDENTIFICATION	VERIFICATION STATUS

DATE OPENED	BY	TAX STATUS	DATE CHANGED
09/05/2006	20	1	

The account opened under this Master Account Agreement shall be of the following type: 01 / 15

A JOINT ACCOUNT WITH RIGHT OF SURVIVORSHIP IN OUR NAMES. UPON THE DEATH OF ONE OR MORE OF US, THE FUNDS IN THIS ACCOUNT BECOME THE PROPERTY OF THE SURVIVOR(S).

This type of account is described in detail in the Account Disclosures and Regulations.

Number of signatures required (see paragraph 3 below): 1

1. The Depositor shall be bound by the Bank's Account Disclosures and Regulations, and all amendments thereto, from time to time in effect. Such provisions are by this reference made a part of this Agreement as if set forth herein in full. All accounts, products or services opened shall remain open and in effect until they terminate in accordance with their own terms or until Bank receives from an required termination notice from Depositor, owner or signer in their sole discretion to them. Not all accounts, products and services offered by Bank may be available to Depositor.

2. This Agreement shall govern all accounts, products and services opened by Depositor in the capacity as indicated at the time of signing this Agreement and all other accounts, products and services that from time to time authorize the Bank to open under this Agreement, in that ownership capacity. Such authorization may be in writing, or orders, by telephone or other means as Bank may authorize Bank, or by Depositor's use of such without specific authorization (except as required by law). Depositor and each owner or signer, in more than one, agrees that any order of them may authorize Bank to open additional accounts or services from time to time in the same ownership capacity. Further, Depositor and each owner or signer, if more than one, appoints each other his attorney-in-fact to endorse cash or deposit, any check or draft payable to the order of any one or more of them. This power of attorney shall not be affected by the subsequent incapacity of any owner, signer or Depositor.

3. Instructions to permit withdrawal upon more than one signature apply only to checks and in-person transactions taking place in a branch. Other withdrawal or transfer transactions may be paid on the authorization of any one signer. Notwithstanding any instructions to the contrary, or, at its option, Bank may refuse such transactions. Other than as provided in the foregoing, funds in the account may be paid for accounts authorized for such: (a) upon checks, receipts, orders or drafts signed in Depositor's or agent's name such as prescribed number of authorized persons as set forth above; (b) pursuant to electronic, telephone or automated instructions using a personal identification number or its pursuant to telephonic instructions to the person purporting to be an agent of Depositor so long as such funds are transferred to an account in the name of Depositor or check is made payable to the Depositor. Notwithstanding the foregoing, Bank shall not be liable for any refusal or failure to make transfers or withdrawals pursuant to telephonic instructions. Except for Consumer Accounts as defined in the Account Disclosures and Regulations, at the sole risk of Depositor and if personal identification number is used or the agent or the purported agent identifies him or herself to Bank's satisfaction, every such payment/transfer of funds shall be deemed authorized by Depositor and Depositor waives any right of revocation regarding such authorization applicable to future transactions.

4. Most disputes arising under this agreement cannot be resolved in court or arbitration. Rights to trial by judge or jury are waived hereby. Bank must be notified by Depositor of claims and proceedings to enforce any such claims must be brought, within the time requirements established in the Account Disclosures and Regulations.

FAX CERTIFICATION - UNDER PENALTIES OF PERJURY I CERTIFY THAT:
1) The Tax ID number shown on this form is my correct Taxpayer Identification Number;
2) I certify that I am not subject to backup withholding because (a) I have not been notified that I am subject to backup withholding as a result of a failure to report all interest or dividends, or the Internal Revenue Service has notified me that I am no longer subject to backup withholding, or (b) this account is exempt by an entity exempt from backup withholding;
3) Check this box ☐ if you are subject to backup withholding and can not certify the provisions of (2) above;
4) Check this box ☐ if the above 1-3 of the Tax Certification do not apply because (a) I am not a United States person or (b) I am an individual and am neither a citizen nor a resident of the United States. I will complete the IRS form W-8BEN. (c) If this box is not checked, I am a U.S. person (including U.S. resident alien).

By signing below, I/we agree to be bound by the terms and conditions of this Master Account Agreement (the "Agreement") as set forth herein, and make the tax certification set forth above. In addition, I/we acknowledge receipt of the Account Disclosures and Regulations Relating to Deposit Accounts and Other Service and Electronic Funds Transfer Agreement and Disclosure ("Account Disclosures and Regulations"). Deposit are insured subject to, or the maximum amount permitted by law. THE INTERNAL REVENUE SERVICE DOES NOT REQUIRE YOUR CONSENT TO ANY PROVISION OF THIS DOCUMENT OTHER THAN THE CERTIFICATIONS REQUIRED TO AVOID BACKUP WITHHOLDING.

02 [redacted]

DAVID S SCHWEIKERT

[redacted]
Please sign within the box. Thumb Print

X
Please sign within the box. Thumb Print

JOYCE R NOONE

X [redacted]
Please sign within the box. Thumb Print

5741 02/04

CHASE

JPMORGAN CHASE BANK, N.A.
P O BOX 260180
BATON ROUGE LA 70826-0180

Page 1 of 2

Questions? Just call us at:

Personal Accounts:	1-800-935-9935
Hearing Impaired:	1-800-242-7383
Para Español:	1-877-312-4273

[redacted]

DAVID S SCHWEIKERT
OR JOYCE R SCHWEIKERT
[redacted]

July 01, 2010

Chase Debit Card Overdraft Coverage℠ Confirmation Notice

This is to confirm that we have processed your request to have Chase Debit Card Overdraft Coverage on your checking account, which allows Chase to authorize and pay overdrafts, at our discretion, on your everyday debit card transactions.

Chase Debit Card Overdraft Coverage is on the debit cards linked to the checking account ending in: [redacted]

If you have requested this coverage on more than one checking account, you will receive a separate confirmation notice for each account.

As a reminder, standard overdraft fees will apply. Please see back of this letter for details.

If you or a joint account holder would like to revoke Chase Debit Card Overdraft Coverage, please stop by any branch and talk to a banker or call one of the telephone numbers listed above.

Please see the back of this letter for additional important terms and conditions.

Si usted no sabe leer en inglés, por favor llame al 877-31-Chase (1-877-312-4273) para hablar con un representante en español.

LC-DCOCRY

JPMorgan Chase Bank N.A., Member FDIC

Page 2 of 2

- Whether you have Chase Debit Card Overdraft Coverage on your checking account will not affect how we treat your recurring debit card transactions (such as a gym membership). In particular, we may, at our discretion, authorize and pay a recurring debit card transaction that causes an overdraft and charge an insufficient funds/overdraft fee even if you do not maintain Chase Debit Card Overdraft Coverage on your account.
- We will charge an insufficient funds fee of $34 each time we pay an overdraft created by check, ACH, in-person withdrawal, debit card transaction or other electronic means. We will charge a returned item fee of $34 for any check or ACH transaction that is returned because your account had insufficient funds. The most you would pay is three fees per day for insufficient funds or returned items, no matter the number of transactions.
- Also, each time your account is overdrawn for 5 consecutive business days, we will charge you an additional $15.
- Once an overdraft has occurred, you are obligated to bring your account to a positive balance promptly.
- Whether your overdraft will be paid is at Chase's discretion and we reserve the right not to pay. For example, we typically do not pay overdrafts if your account is not in good standing, or you are not making regular deposits, or you have had excessive overdrafts.
- If you have sufficient funds available under Chase Overdraft Protection, funds will automatically be transferred from your Chase savings account, credit card or home equity line of credit to cover your overdraft purchases. Chase Overdraft Protection may be subject to a credit approval. Fees may apply, including a $10 Overdraft Protection transfer fee.

CHASE
JPMORGAN CHASE BANK, N.A.
P O BOX 659754
SAN ANTONIO, TX 78265

[redacted]
DAVID S SCHWEIKERT
OR JOYCE R SCHWEIKERT
[redacted]

February 17, 2012
Page 1 of 2

Insufficient Funds Notice

Account Number ending in: XXXX[redacted]

Amount	Check Number	Status
$1,800.00	[redacted]	Paid Insufficient

The item(s) listed above were presented for payment against insufficient funds in your account. Only those items marked "Returned" were returned unpaid. Your account balance was reduced by a fee of $34.00. Your account balance as of February 17, 2012, including any applicable fees, was $990.47 -.

Your account may be subject to extended overdraft fees if you continue to have a negative account balance.

Para Español: 1-877-31CHASE (1-877-312-4273)

Thank you for choosing Chase.

P.S. As a reminder, overdraft protection can provide you peace of mind and prevent future instances of insufficient funds on your checking account. Please visit a branch or call us today at 1-800-CHASE24 (1-800-242-7324), 7 days a week, 7a.m. to 9 p.m. Eastern time to learn more. And with online banking, you can check balances any time or sign up for alerts to keep tabs on your account. Visit www.chase.com to learn more.

JPMorgan Chase Bank, N.A., Member FDIC

LCNIFW

Continued

[redacted]

DAVID S SCHWEIKERT
Account Number ending in: XXXX[redacted]

Page 2 of 2

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CHASE

JPMORGAN CHASE BANK, N.A.
P O BOX 659754
SAN ANTONIO, TX 78265

[redacted]
DAVID S SCHWEIKERT
OR JOYCE R SCHWEIKERT
[redacted]

February 21, 2014
Page 1 of 2

Insufficient Funds Notice

Important information about your account ending in: [redacted]

The item(s) listed below were presented for payment against your account; however, you didn't have enough money to cover them.

Amount	Transaction Description	Status
$1,400.00	Check [redacted]	Paid Insufficient

Only those items marked "returned" were returned unpaid. Your account balance was reduced by a fee of $34.00 as a result of these items. As of February 21, 2014, your account balance was -$790.49 including any fees that were charged.

Note: Your account may be subject to extended overdraft fees if you continue to maintain a negative account balance.

If you have any questions about this notice, please call us at (800) 242-7324, para Español: 1-877-31Chase (1-877-312-4273).

Please note: Overdraft Protection* helps you avoid overdraft fees when you don't have enough money in your Chase checking account. To learn more or enroll, please visit your nearest Chase branch, call us at the number above or log on to Chase Online℠. Additionally, with online banking, you can check balances any time or sign up for alerts to keep tabs on your account. Visit **chase.com/overdraftprotection** to learn more.

*Subject to credit approval. Fees and interest may apply.

LCNFW0412
JPMorgan Chase Bank, N.A., Member FDIC

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[redacted]

DAVID S SCHWEIKERT

Page 2 of 2

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-180 29Jun18-180

CHASE

JPMorgan Chase Bank, N.A.
P O Box 260180
Baton Rouge, LA 70826-0180

December 23, 2009 through January 25, 2010
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-788-7000
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-877-312-4273
International Calls:	1-713-262-1679

[redacted]

DAVID S SCHWEIKERT
OR JOYCE R SCHWEIKERT
[redacted]

Take Charge of Your Checking Account to Help Avoid Fees

1. **Sign up for overdraft protection** from your Savings Account or Chase Credit Card*.
2. **Sign up for low balance alerts** at Chase.com/freealerts.
3. **Enroll in Chase Mobile℠** to get your balance by text message at Chase.com/Mobile.
4. **Check your balances and transactions 24/7 at Chase.com**

Want to learn more about managing your checking account?
Visit your local branch and speak to a personal banker today
or visit www.Chase.com/ManageMyAccount

*Overdraft Protection may be subject to credit approval. Fees may apply.

CHECKING SUMMARY

Chase Free Extra Checking

	AMOUNT
Beginning Balance	$1,668.22
Deposits and Additions	32,408.39
ATM & Debit Card Withdrawals	- 1,596.32
Other Withdrawals, Fees & Charges	- 28,008.03
Ending Balance	$4,472.26

TRANSACTION DETAIL

DATE	DESCRIPTION	AMOUNT	BALANCE
	Beginning Balance		$1,668.22
12/23	Card Purchase 12/21 Sams Gas Station Phoenix AZ Card [redacted]	- 30.29	1,637.93
12/23	Card Purchase 12/21 Chevron 0203688 Scottsdale AZ Card [redacted]	- 5.10	1,632.83
12/24	Deposit [redacted]	24,000.00	25,632.83
12/24	Withdrawal	- 200.00	25,432.83
12/24	Card Purchase With Pin 12/24 Samsclub #6607 Phoenix AZ Card [redacted]	- 143.91	25,288.92
12/24	Card Purchase With Pin 12/24 Samsclub #6607 Phoenix AZ Card [redacted]	- 118.05	25,170.87
12/24	Card Purchase 12/23 Postnetaz129 Fountain Hill AZ Card [redacted]	- 64.17	25,106.70
12/28	Deposit [redacted]	500.00	25,606.70

29-Jun-18 29Jun18-180

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-180

CHASE

December 23, 2009 through January 26, 2010
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 4

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-180 29Jun18-180

CHASE

December 29, 2009 through January 26, 2010
Account Number: [redacted]

TRANSACTION DETAIL (continued)

DATE	DESCRIPTION	AMOUNT	BALANCE
12/28	Card Purchase With Pin 12/28 Circle K 05024 Phoenix AZ Card [redacted]	- 12.01	25,594.69
12/29	Card Purchase 12/26 Amc Desert Rd04001Qps Phoenix AZ Card [redacted]	- 10.75	25,583.94
12/28	Card Purchase 12/23 Rally's #4085 Qt36 Mesa AZ Card [redacted]	- 7.34	25,576.60
12/29	Fb Mutual Ins Fbfs Pymt PPD ID: [redacted]	- 246.33	25,330.27
12/29	Card Purchase 12/28 Chevron 0903768 Fountain Hill AZ Card [redacted]	- 5.00	25,325.27
12/30	Public Safety Pension PPD ID: [redacted]	2,908.39	28,233.66
12/30	Card Purchase 12/28 Starbucks USA 00055Q Fountain Hl AZ Card [redacted]	- 7.83	28,225.83
12/31	Card Purchase With Pin 12/31 Costco Whse #10 Phoenix AZ Card [redacted]	- 168.54	28,057.29
12/31	Card Purchase W/Cash 12/31 Paul's Ace Hard Fountain Hill AZ Card [redacted] Purchase $21.77 Cash Back $25.00	- 46.77	28,010.52
12/31	Card Purchase 12/29 Samsclub 6607 Gas Phoenix AZ Card [redacted]	- 31.66	27,978.86
12/31	Card Purchase 12/29 Starbucks USA 00054Qps Phoenix AZ Card [redacted]	- 6.80	27,972.06
01/04	Time Insurance Ins. Pymnt PPD ID: [redacted]	- 280.81	27,691.25
01/04	Card Purchase With Pin 01/04 Costco Gas #109 Phoenix AZ Card [redacted]	- 31.73	27,659.52
01/04	Card Purchase With Pin 01/01 Frys Food & Dru Fountain Hill AZ Card [redacted]	- 20.68	27,638.84
01/04	Card Purchase 12/31 Streets of New York 04803911900 AZ Card [redacted]	- 17.38	27,621.46
01/05	Online Transfer From Chk Xxxxxx[redacted] Transaction# [redacted]	5,000.00	32,621.46
01/05	Online Transfer To Chk Xxxxxx[redacted] Transaction# [redacted]	- 25,000.00	7,621.46
01/05	Card Purchase 01/04 Jiffy Lube #1980 Fountain Hill AZ Card [redacted]	- 124.48	7,496.98
01/05	Card Purchase 01/04 Starbucks USA 00110Q Fountainhil AZ Card [redacted]	- 6.25	7,490.73
01/06	Card Purchase W/Cash 01/05 Safeway 1291 Fountain Hill AZ Card [redacted] Purchase $9.58 Cash Back $20.00	- 29.58	7,461.15
01/07	Sallie Mae Ebill [redacted] Web ID: [redacted]	- 400.43	7,060.72
01/08	Card Purchase With Pin 01/06 Vogt's Hometown Hillsboro KS Card [redacted]	- 13.58	7,047.14
01/11	Card Purchase With Pin 01/10 Qt 349 Wichita KS Card [redacted]	- 18.39	7,028.75
01/11	Card Purchase 01/08 Starbucks B U92131Qps Denver CO Card [redacted]	- 7.18	7,021.57
01/11	Card Purchase 01/08 Mcdonald's F11075 Qt Scottsdale AZ Card [redacted]	- 5.70	7,015.87
01/12	Card Purchase With Pin 01/12 Costco Whse #04 Phoenix AZ Card [redacted]	- 52.97	6,962.90
01/12	Card Purchase With Pin 01/12 Costco Gas #046 Phoenix AZ Card [redacted]	- 30.05	6,932.85
01/12	Card Purchase 01/10 Sky Harbor Airport Wa Phoenix AZ Card [redacted]	- 24.00	6,908.85
01/12	Card Purchase 01/10 Quizno's Conxss R #47 Denver CO Card [redacted]	- 10.67	6,898.18
01/12	Card Purchase With Pin 01/12 Shell Service S Fountain Hil AZ Card [redacted]	- 10.00	6,888.18
01/13	Schweikert,David ING Direct [redacted]	- 1,553.16	5,335.02
01/15	Cox Comm Phx Bank Draft PPD ID: [redacted]	- 171.77	5,163.25
01/15	Card Purchase With Pin 01/15 Costco Whse #10 Phoenix AZ Card [redacted]	- 73.44	5,089.81
01/19	Card Purchase 01/16 Starbucks USA 00099Qps Tempe AZ Card [redacted]	- 70.05	5,019.76
01/19	Card Purchase 01/16 Starbucks USA 00099Qps Tempe AZ Card [redacted]	- 46.70	4,973.06
01/19	Card Purchase 01/15 Samsclub #6241 Scottsdale AZ Card [redacted]	- 28.70	4,944.36
01/19	Card Purchase With Pin 01/17 Frys Food & Dru Fountain Hill AZ Card [redacted]	- 4.47	4,939.89
01/20	Srp Surepay [redacted]	- 155.53	4,784.36

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-180 29Jun18-180

CHASE

December 29, 2009 through January 26, 2010
Account Number: [redacted]

TRANSACTION DETAIL (continued)

DATE	DESCRIPTION	AMOUNT	BALANCE
01/20	Card Purchase 01/18 Starbucks USA 00053Qps Phoenix AZ Card [redacted]	- 8.56	4,775.80
01/21	Card Purchase With Pin 01/21 Samsclub #6241 Scottsdale AZ Card [redacted]	- 130.04	4,645.76
01/21	Card Purchase W/Cash 01/20 Safeway 1291 Fountain Hil AZ Card [redacted] Purchase $21.79 Cash Back $20.00	- 41.79	4,603.97
01/21	Card Purchase With Pin 01/21 7-Eleven Phoenix AZ Card [redacted]	- 35.14	4,568.83
01/22	Card Purchase With Pin 01/21 Petco Animal Su Fountain Hil AZ Card [redacted]	- 19.59	4,549.24
01/22	Card Purchase 01/20 Starbucks USA 00054Qps Phoenix AZ Card [redacted]	- 19.67	4,538.57
01/22	Card Purchase 01/20 Chiwron 0203688 Scottsdale AZ Card [redacted]	- 8.00	4,530.57
01/25	Card Purchase 01/22 Maricopa County Inform Phoenix AZ Card [redacted]	- 39.00	4,491.57
01/25	Card Purchase With Pin 01/23 Safeway 1291 Fountain Hill AZ Card [redacted]	- 19.31	4,472.26
	Ending Balance		$4,472.26

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-180 29Jun18-180

CHASE

JPMorgan Chase Bank, N.A.
P.O Box 659754
San Antonio, TX 78265-9754

April 24, 2012 through May 22, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-935-9935
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-877-312-4273
International Calls:	1-713-262-1679

[redacted]
DAVID S SCHWEIKERT
OR JOYCE R SCHWEIKERT
[redacted]

IMPORTANT INFORMATION REGARDING ATM TRANSFERS

Please note that we are extending our ATM cutoff time for transfers between Chase accounts to better serve you. For transfers and deposits at most Chase ATMs, the cutoff time is 11 p.m. Eastern time. For ATMs with an earlier cutoff, the ATM screen will notify you of the cutoff time. We hope you enjoy this added convenience.

This change updates your Deposit Account Agreement under the Funds Availability Policy section, the second bullet under "When Your Deposit is Received." This change applies to Chase personal checking and savings accounts as well as Chase business checking and savings accounts. All other terms of your account agreement remain the same. If you have any questions, please refer to the phone number on your statement or visit your nearest Chase branch.

CHECKING SUMMARY

Chase Premier Checking

	AMOUNT
Beginning Balance	$4,260.20
Deposits and Additions	14,672.78
Checks Paid	- 4,120.00
ATM & Debit Card Withdrawals	- 1,196.68
Electronic Withdrawals	- 6,407.18
Fees and Other Withdrawals	- 200.00
Ending Balance	$7,009.12

Annual Percentage Yield Earned This Period	0.01%
Interest Earned This Period	$0.07
Interest Paid Year-to-Date	$0.25

The monthly service fee for this account was waived as an added feature of Chase BusinessPlus Extra account

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-180 29Jun18-180

CHASE

April 24, 2012 through May 22, 2012
Account Number: [redacted]

CHECKS PAID

CHECK NUMBER	DATE PAID	AMOUNT
1019 *	04/27	$4,000.00
1020 *	05/09	120.00
Total Checks Paid		$4,120.00

If you see a check description in the Transaction Detail section, it means your check has already been converted for electronic payment. Because of this, we're not able to return the check to you or show you an image on Chase.com.

* An image of this check may be available for you to view on Chase.com

TRANSACTION DETAIL

DATE	DESCRIPTION	AMOUNT	BALANCE
	Beginning Balance		$4,260.20
04/27	Online Transfer [redacted] From Joyce's B of A ######## [redacted] Transaction # [redacted]	2,000.00	6,260.20
04/27	AZ Dept of Rev Tax Refund PPD ID: [redacted]	466.00	6,726.20
04/27	04/27 Check [redacted]	- 4,000.00	2,726.20
04/27	Card Purchase W/Cash 04/27 Frys Food & Drug 148 Fountain Hl AZ Card [redacted] Purchase $2.66 Cash Back $40.00	- 42.66	2,683.54
04/30	Public Safety Pe EDI Pmts PPD ID: [redacted]	2,965.88	5,649.42
04/30	Card Purchase 04/26 Metrofax Inc 425-3733311 WA Card [redacted]	- 12.95	5,636.47
04/30	Card Purchase W/Cash 04/28 Walgreens 8015 E Indi Scottsdale AZ Card [redacted] Purchase $5.97 Cash Back $20.00	- 25.97	5,610.50
04/30	Card Purchase With Pin 04/29 Costco Gas #1058 Phoenix AZ Card [redacted]	- 49.72	5,560.78
05/01	House of Rep -ME PR Payment [redacted]	8,985.78	14,546.56
05/02	Maricopacotaxaz [redacted] Web ID: [redacted]	- 634.69	13,911.87
05/02	Maricopacotaxaz [redacted] Web ID: [redacted]	- 582.35	13,329.52
05/03	Card Purchase With Pin 05/03 Costco Gas #1058 Phoenix AZ Card [redacted]	- 45.96	13,283.56
05/03	Card Purchase W/Cash 05/03 Costco Whse #1058 Phoenix AZ Card [redacted] Purchase $138.40 Cash Back $60.00	- 198.40	13,085.16
05/04	Chase Epay [redacted]	- 594.49	12,490.67
05/04	Recurring Card Purchase 05/02 AT&T Data 800-331-0500 GA Card [redacted]	- 25.00	12,465.67
05/07	Card Purchase 05/04 Scottsdale Mntside B 480-889-886 AZ Card [redacted]	- 41.00	12,424.67
05/07	Non-Chase ATM Withdraw 05/07 O'Hare Airport Terminal Chicago IL Card [redacted]	- 201.50	12,223.17
05/07	Barclaycard US Creditcard [redacted] Web ID: [redacted]	- 887.30	11,335.87
05/07	Fb P-C Ins Payment PPD ID: [redacted]	- 262.91	11,072.96
05/07	Sallie Mae SLMA Debit PPD ID: [redacted]	- 178.65	10,894.31
05/07	Barclaycard US Creditcard [redacted] Web ID: [redacted]	- 62.75	10,831.56
05/08	Card Purchase 05/06 Aiello's Salumeria Phoenix AZ Card [redacted]	- 17.21	10,814.35
05/08	Check # 1020	- 120.00	10,694.35
05/09	Non-Chase ATM Withdraw 05/09 15 Independence Av Washington DC Card [redacted]	- 203.00	10,491.35
05/10	Sallie Mae SLMA Debit [redacted]	- 400.58	10,090.77
05/14	Card Purchase With Pin 05/11 Fresh & Easy #1 Scottsdale AZ Card [redacted]	- 28.93	10,061.84
05/14	Card Purchase With Pin 05/12 Costco Gas #0490 Phoenix AZ Card [redacted]	- 50.84	10,011.00

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-180 29Jun18-180

CHASE

April 24, 2012 through May 22, 2012
Account Number: [redacted]

TRANSACTION DETAIL (continued)

DATE	DESCRIPTION	AMOUNT	BALANCE
05/14	Card Purchase With Pin 05/14 Samsclub #6241 Scottsdale AZ Card [redacted]	- 109.16	9,901.84
05/14	Card Purchase With Pin 05/14 Samsclub #6241 Scottsdale AZ Card [redacted]	- 77.51	9,824.33
05/14	AT&T Payment [redacted]	- 256.68	9,567.65
05/15	Schweikert,David ING Direct [redacted]	- 1,553.16	8,014.49
05/15	Canyon State CU Ln Xfr PPD ID: [redacted]	- 565.28	7,449.21
05/15	Chaparral City W Water Pmt PPD ID: [redacted]	- 42.06	7,407.15
05/16	Card Purchase 05/14 Mcdonald's F11075 Scottsdale AZ Card [redacted]	- 7.17	7,399.98
05/16	Cox Comm Phx Bank Draft PPD ID: [redacted]	- 224.40	7,175.58
05/21	US House of Repr Venpay [redacted]	255.05	7,430.63
05/21	05/21 Withdrawal	- 200.00	7,230.63
05/21	Card Purchase With Pin 05/21 Shell Service Station Scottsdale AZ Card [redacted]	- 9.08	7,221.55
05/21	Card Purchase With Pin 05/21 Samsclub #6241 Scottsdale AZ Card [redacted]	- 43.77	7,177.78
05/22	Card Purchase 05/21 Wendys #7741 Q2 Cave Creek AZ Card [redacted]	- 6.85	7,170.93
05/22	Srp Surepay [redacted]	- 161.88	7,009.05
05/22	Interest Payment	0.07	7,009.12
	Ending Balance		**$7,009.12**

OVERDRAFT AND RETURNED ITEM FEE SUMMARY

	Total for This Period	Total Year-to-date
Total Overdraft Fees *	$.00	$34.00
Total Returned Item Fees	$.00	$.00

* Total Overdraft Fees includes Insufficient Funds Fees, and Extended Overdraft Fees.

[redacted]

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-180

29Jun18-180

CHASE

April 24, 2012 through May 22, 2012
Account Number: [redacted]

Chase checking customers may receive up to 0.75% off standard auto loan rates.

Spring into savings! Learn about refinancing your car loan and see if you can save money by reducing your monthly payment. Plus, checking customers may receive up to an additional 0.75% off standard rates. Find out more and apply by visiting Chase.com/SpringIntoAutoSavings today.

Subject to credit approval by JPMorgan Chase Bank, N.A. Other terms and conditions apply.

29-Jun-18 29Jun18-180

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-180

CHASE

April 24, 2012 through May 23, 2012
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance: Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS:Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

Member JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-180

29Jun18-180

CHASE

April 24, 2012 through May 22, 2012
Account Number: [redacted]

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-180 29Jun18-180

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

December 25, 2012 through January 24, 2013
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-935-9935
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-877-312-4273
International Calls:	1-713-262-1679

[redacted]
DAVID S SCHWEIKERT
OR JOYCE B SCHWEIKERT
[redacted]

IMPORTANT UPDATES TO THE DEPOSIT ACCOUNT AGREEMENT

We will be making the following changes to the Deposit Account Agreement for Chase checking and savings accounts, effective March 24, 2013.

We have:

- Added the following language to the Important Definitions section: Debit card transaction: Includes any purchase from a merchant using your ATM card or debit card.
- Modified the agreement to clarify that we will only send one copy of any notice relating to your account, even if the account has more than one owner.
- Revised our Funds Availability Policy. Under Longer Delays May Apply, we describe circumstances where funds may not be available until the seventh business day after the day of deposit. We are deleting the sentence saying that the first $200 from your deposit will be available on the next business day, so if we delay availability in those cases the delay may apply to the full amount of the deposit.

All other terms of your account agreement remain the same. If you have questions about the changes, please call us at the number on this statement or visit your nearest branch.

CHECKING SUMMARY Chase Premier Checking

	AMOUNT
Beginning Balance	$3,237.08
Deposits and Additions	11,864.66
ATM & Debit Card Withdrawals	- 300.29
Electronic Withdrawals	- 13,799.82
Ending Balance	$1,001.63
Annual Percentage Yield Earned This Period	0.01%
Interest Earned This Period	$0.08
Interest Paid Year-to-Date	$0.08

Interest paid in 2012 for account [redacted] was $0.64.

The monthly service fee for this account was waived as an added feature of Chase BusinessPlus Extra account.

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-180 29Jun18-180

CHASE

December 25, 2012 through January 24, 2013
Account Number: [redacted]

TRANSACTION DETAIL

DATE	DESCRIPTION	AMOUNT	BALANCE
	Beginning Balance		$3,237.08
12/26	Card Purchase With Pin 12/26 Costco Gas #1058 Phoenix AZ Card [redacted]	- 24.05	3,213.03
12/27	Card Purchase 12/26 Metrofax Inc 425-3733311 WA Card [redacted]	- 12.95	3,200.08
12/31	Public Safety Pe EDI Pmts [redacted]	2,965.88	6,165.96
01/02	House of Rep -ME PR Payment [redacted]	8,667.41	14,833.37
01/07	Card Purchase With Pin 01/06 Costco Whse #0738 Phoenix AZ Card [redacted]	- 122.10	14,711.27
01/07	Fb P-C Ins Payment PPD ID: [redacted]	- 262.91	14,448.36
01/07	Sallie Mae SLMA Debit [redacted]	- 178.65	14,269.71
01/08	Metro Phoenix Ba Loan Pymt [redacted]	- 927.59	13,342.12
01/10	Sallie Mae SLMA Debit [redacted]	- 400.64	12,941.48
01/14	Card Purchase With Pin 01/12 Sprouts Farmers Mkt #2 Scottsdale AZ Card [redacted]	- 37.49	12,903.99
01/14	Card Purchase With Pin 01/13 Frys Food & Drug 7628 Scottsdale AZ Card [redacted]	- 18.70	12,885.29
01/14	Barclaycard US Creditcard [redacted] Web ID: [redacted]	- 649.57	12,235.72
01/14	Barclaycard US Creditcard [redacted] Web ID: [redacted]	- 630.38	11,605.34
01/14	AT&T Payment PPD ID: [redacted]	- 256.73	11,348.61
01/14	Recurring Card Purchase 01/11 AT&T Data 800-331-0500 GA Card [redacted]	- 25.00	11,323.61
01/15	Schweikert,David ING Direct [redacted]	- 1,553.16	9,770.45
01/15	Canyon State Cre Loan [redacted]	- 565.28	9,205.17
01/15	Cox Comm Phx Bank Draft PPD ID: [redacted]	- 224.49	8,980.68
01/16	01/16 Payment To Chase Card Ending IN [redacted]	- 500.00	8,480.68
01/16	Credit Card Pymt Payment [redacted]	- 21.95	8,458.73
01/17	Deposit [redacted]	231.49	8,690.22
01/17	Chaparral City W Water Pmt PPD ID: [redacted]	- 29.03	8,661.19
01/22	ATM Withdrawal 01/21 3400 E Sky Harbor Blvd Phoenix AZ Card [redacted]	- 60.00	8,601.19
01/22	Srp Surepay [redacted]	- 143.81	8,457.38
01/23	01/23 Online Transfer To Chk [redacted] Transaction#: [redacted]	- 7,455.63	1,001.75
01/24	Interest Payment	0.08	1,001.83
	Ending Balance		$1,001.83

Page 2 of 4

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-180 29Jun18-180

CHASE

December 25, 2012 through January 24, 2013
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS:Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-180

29Jun18-180

CHASE

December 25, 2012 through January 24, 2013
Account Number: [redacted]

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-180 29Jun18-180

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

February 28, 2013 through March 22, 2013
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-935-9935
Deaf and Hard of Hearing	1-800-242-7383
Para Espanol:	1-877-312-4273
International Calls:	1-713-262-1679

[redacted]
DAVID S SCHWEIKERT
OR JOYCE B SCHWEIKERT
[redacted]

CHECKING SUMMARY

Chase Premier Checking

	AMOUNT
Beginning Balance	**$5,716.59**
Deposits and Additions	12,465.84
ATM & Debit Card Withdrawals	- 951.69
Electronic Withdrawals	- 16,624.79
Ending Balance	**$605.95**
Annual Percentage Yield Earned This Period	0.01%
Interest Earned This Period	$0.04
Interest Paid Year-to-Date	$0.18

Interest paid in 2012 for account [redacted] was $0.64

The monthly service fee for this account was waived as an added feature of Chase BusinessPlus Extra account

TRANSACTION DETAIL

DATE	DESCRIPTION	AMOUNT	BALANCE
	Beginning Balance		**$5,716.59**
02/27	Card Purchase 02/26 Metroflex Inc 425-3733311 WA Card [redacted]	- 12.95	5,703.64
02/27	Non-Chase ATM Withdraw 02/27 15 Independence Av Washington DC Card [redacted]	- 103.00	5,600.64
02/28	Public Safety Po EDI Pmts [redacted]	2,972.78	8,573.42
02/28	02/28 Online Transfer To Chk [redacted] Transaction# [redacted]	- 5,489.54	3,084.88
03/01	House of Rep -ME PR Payment [redacted]	9,489.02	12,573.90
03/01	Card Purchase With Pin 03/01 Costco Gas #105 Phoenix AZ Card [redacted]	- 37.02	12,536.88
03/04	ATM Withdrawal 03/02 Mazwick Hotel Grand Canyon AZ Card [redacted]	- 80.00	12,456.88
03/04	Card Purchase 03/02 Maswik Cafepizza Pub Grand Canyon AZ Card [redacted]	- 10.75	12,446.13
03/05	Fb P-C Ins Payment [redacted]	- 262.66	12,183.47
03/06	Non-Chase ATM Withdraw 03/06 15 Independence Av Washington DC Card [redacted]	- 103.00	12,080.47
03/08	03/08 Online Transfer To Chk... [redacted] Transaction# [redacted]	- 4.00	12,076.47

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-180 29Jun18-180

CHASE

February 26, 2013 through March 22, 2013
Account Number: [redacted]

TRANSACTION DETAIL (continued)

DATE	DESCRIPTION	AMOUNT	BALANCE
03/06	Sallie Mae SLMA Debit PPD ID: [redacted]	- 178.65	11,897.82
03/07	Metro Phoenix Bs Loan Pymt PPD ID: [redacted]	- 837.82	11,060.00
03/08	Card Purchase W/Cash 03/08 Safeway Store 1291 Fountain Hill AZ Card [redacted] Purchase $20.51 Cash Back $20.00	- 40.51	11,019.49
03/11	03/09 Payment To Chase Card Ending IN [redacted]	- 500.00	10,519.49
03/11	Card Purchase With Pin 03/09 Fresh & Easy #1025 Scottsdale AZ Card [redacted]	- 40.28	10,479.21
03/11	ATM Withdrawal 03/10 17124 E Shea Blvd Fountain Hill AZ Card [redacted]	- 300.00	10,179.21
03/11	Barclaycard US Creditcard [redacted] Web ID: [redacted]	- 876.65	9,303.55
03/11	Barclaycard US Creditcard [redacted] Web ID: [redacted]	- 500.00	9,000.55
03/11	Sallie Mae SLMA Debit [redacted]	- 400.65	8,599.90
03/11	AT&T Payment PPD ID: [redacted]	- 176.16	8,423.74
03/11	Credit Card Pymt Payment [redacted] Tel ID: 1470535472	- 71.91	8,351.83
03/12	Capital One Mtg Pmts PPD ID: [redacted]	- 1,553.16	6,798.67
03/13	Online Transfer From Chk [redacted] Transaction# [redacted]	4.00	6,802.67
03/13	Recurring Card Purchase 03/12 AT&T Data 800-331-0500 GA Card [redacted]	- 25.00	6,777.67
03/14	03/14 Online Transfer To Chk [redacted] Transaction# [redacted]	- 5,000.00	1,777.67
03/15	Canyon State Cre Loan PPD ID: [redacted]	- 565.28	1,212.39
03/18	Card Purchase With Pin 03/18 Sams club #6241 Scottsdale AZ Card [redacted]	- 50.52	1,161.87
03/18	Card Purchase 03/17 Barnes & Noble #2560 Scottsdale AZ Card [redacted]	- 11.93	1,149.94
03/18	Cox Comm Phx Bank Draft PPD ID: [redacted]	- 240.12	909.82
03/19	Chaparral City W Water Pmt PPD ID: [redacted]	- 42.06	867.76
03/20	Srp Surepay PPD ID: [redacted]	- 125.12	742.64
03/21	Non-Chase ATM Withdraw 03/21 15 Independence Av Washington DC Card [redacted]	- 103.00	639.64
03/22	Card Purchase With Pin 03/22 Costco Gas #1058 Phoenix AZ Card [redacted]	- 33.73	605.91
03/22	Interest Payment	0.04	605.95
	Ending Balance		$605.95

29-Jun-18 29Jun18-150

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-150

CHASE

February 26, 2013 through March 22, 2013
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-180

29Jun18-180

CHASE

February 26, 2013 through March 22, 2013
Account Number: [redacted]

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-205 29Jun18-205

CHASE

JPMorgan Chase Bank, N.A.
P O Box 182051
Columbus, OH 43218-2051

May 01, 2018 through May 31, 2018
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
SHERIDAN EQUITIES HOLDINGS, LLC
[redacted]

We updated our Deposit Account & Wire Agreements

The following changes were made May 20, 2018:

- We published an updated version of our Deposit Account Agreement. You can get the latest agreement at a branch or by request when you call us. Here are some important changes:
  - Effective August 1, we will notify you in advance of any changes to the Deposit Account Agreement that would adversely affect you, unless the change is necessary to comply with a legal requirement (General Account Terms, Section I, Changes to the agreement)
  - We clarified the language that explains when we may charge a Non-Chase ATM fee for balance inquiries and transfers when you use a non-Chase ATM. (Electronic Funds Transfer Service Terms, Section A, Types of EFT Services)
- We consolidated all of our Chase wire agreements, except for the Online Wire Agreement. In addition to making sure the terms and definitions of the Wire Transfer Agreement are consistent, we made the following changes:
  - When we amend the agreement, we will send you notice of the change and may refer you to a branch or your banker for the updated agreement.
  - When you send a wire, we will send you an email notification on the status of your wire if you have provided your email address.

Please call us at the number on this statement if you have any questions.

CHECKING SUMMARY Chase Performance Business Checking

	INSTANCES	AMOUNT
Beginning Balance		**$11,289.77**
Deposits and Additions	8	9,148.14
Checks Paid	2	-2,412.98
Electronic Withdrawals	10	-9,804.94
Other Withdrawals	3	-2,700.00
Fees	1	-30.00
Ending Balance	24	**$5,489.99**

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-205 29Jun18-205

CHASE

May 01, 2018 through May 31, 2018
Account Number: [redacted]

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
05/02	43913 Scottsdale Dir Dep PPD ID [redacted]	$1,025.91
05/03	Online Transfer From Chk ... [redacted] Transaction# [redacted]	4,000.00
05/14	Deposit	416.66
05/16	43913 Scottsdale Dir Dep PPD ID [redacted]	1,102.52
05/21	Joyce Schweikert Canyon Sta Web ID [redacted]	0.38
05/21	Joyce Schweikert Canyon Sta Web ID [redacted]	0.15
05/22	Online Transfer [redacted] From Joyce's S of A ######## [redacted] Transaction # [redacted]	1,500.00
05/30	43913 Scottsdale Dir Dep PPD ID [redacted]	1,102.52
Total Deposits and Additions		**$9,148.14**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]		05/09	$1,175.24
		05/22	1,237.74
Total Checks Paid			**$2,412.98**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.
^ An image of this check may be available for you to view on Chase.com

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
05/01	Home Depot Comm Online Pmt [redacted] Web ID: Citiprweb	$173.75
05/03	Credit Card Pymt Credit Crd [redacted] Web ID: [redacted]	1,500.00
05/04	Citi Card Online Payment [redacted] Web ID: Citiap	3,000.00
05/14	Credit Card Pymt Credit Crd [redacted] Web ID: [redacted]	1,000.00
05/16	05/16 Online Transfer To Chk ... [redacted] Transaction#: [redacted]	1,000.00
05/18	05/18 Online Transfer To Chk ... [redacted] Transaction#: [redacted]	1,000.00
05/21	Credit Card Pymt Credit Crd [redacted] Web ID: [redacted]	582.00
05/21	Joyce Schweikert Canyon Sta Web ID: [redacted]	0.53
05/29	Chase Credit Crd Epay Onus [redacted] Web ID: [redacted]	1,500.00
05/29	Home Depot Comm Online Pmt [redacted] Web ID: Citiprweb	68.66
Total Electronic Withdrawals		**$9,604.94**

OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
05/04	05/04 Withdrawal	$1,000.00
05/09	05/09 Withdrawal	700.00
05/24	05/24 Withdrawal	1,000.00
Total Other Withdrawals		**$2,700.00**

FEES

DATE	DESCRIPTION	AMOUNT
05/03	Service Charges For The Month of April	$30.00
Total Fees		**$30.00**

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-205 29Jun18-205

CHASE

May 01, 2018 through May 31, 2018
Account Number: [redacted]

You were charged a monthly service fee of $30.00 this period. You can avoid this fee in the future by maintaining a relationship balance (combined business deposits) of $25,000.00. Your relationship balance was $8,411.00.

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT	DATE	AMOUNT
05/01	$11,116.02	05/14	8,153.35	05/22	6,056.13
05/02	12,141.83	05/16	8,255.87	05/24	5,056.13
05/03	14,611.93	05/18	7,255.87	05/29	4,387.47
05/04	10,611.93	05/21	6,593.67	05/30	5,489.99
05/09	8,736.66				

SERVICE CHARGE SUMMARY

Maintenance Fee	$30.00	
Excess Product Fees	$0.00	
Other Service Charges	$0.00	
Total Service Charges	$30.00	Will be assessed on 6/5/18

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	13
Deposits / Credits	5
Deposited Items	1
Total Transactions	20

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/ UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Monthly Service Fee	1			$30.00	$30.00
Transactions	20	0	20	$0.00	$0.00
Subtotal					$30.00
Other Fees					
Electronic Credits	5	999,999,999	0	$0.40	$0.00
Non-Electronic Transactions	15	250	0	$0.40	$0.00
Total Service Charge (Will be assessed on 6/5/18)					$30.00
ACCOUNT [redacted]					
Monthly Service Fee	1				
Electronic Credits	5				
Non-Electronic Transactions	15				

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-205 29Jun18-205

CHASE

May 01, 2018 through May 31, 2018
Account Number: [redacted]

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call us at 1-866-564-2262 or write us at the address on the front of this statement (non-personal accounts contact Customer Service) immediately if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt.
For personal accounts only: We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number.
- The dollar amount of the suspected error.
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account. Deposit products and services are offered by JPMorgan Chase Bank, N.A. Member FDIC.

MEMBER JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 29Jun18-180

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-180

CHASE

JPMorgan Chase Bank, N.A.
P O Box 182051
Columbus, OH 43218-2051

May 23, 2018 through June 22, 2018
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-935-9935
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-877-312-4273
International Calls:	1-713-262-1679

[redacted]
DAVID S SCHWEIKERT
OR JOYCE B SCHWEIKERT
[redacted]

We updated our Deposit Account and Wire Agreements

The following changes were made May 20, 2018:

- We published an updated version of our Deposit Account Agreement. You can get the latest agreement at chase.com/disclosures, at a branch or by request when you call us. Here are some important changes:
  - Effective August 1, we will notify you in advance of any changes to the Deposit Account Agreement that would adversely affect you, unless the change is necessary to comply with a legal requirement. (General Account Terms, Section I, Changes to the agreement)
  - We clarified the language that explains when we may charge a Non-Chase ATM fee for balance inquiries and transfers when you use a non-Chase ATM. (Electronic Funds Transfer Service Terms, Section A, Types of EFT Services)
- We consolidated all of our Chase wire agreements, except for the Online Wire Agreement. In addition to making sure the terms and definitions of the Wire Transfer Agreement are consistent, we made the following changes:
  - When we amend the agreement, we will send you notice of the change and may refer you to a branch or your banker for the updated agreement.
  - When you send a wire, we will send you an email notification on the status of your wire if you have provided your email address

Please call us at the number on this statement if you have any questions.

CHECKING SUMMARY Chase Premier Checking

	AMOUNT
Beginning Balance	$866.36
Deposits and Additions	13,455.11
ATM & Debit Card Withdrawals	-1,700.00
Electronic Withdrawals	-11,821.72
Ending Balance	$899.75
Annual Percentage Yield Earned This Period	0.01%
Interest Paid This Period	$0.02
Interest Paid Year-to-Date	$0.17

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-180 29Jun18-180

CHASE

May 23, 2018 through June 22, 2018
Account Number: [redacted]

TRANSACTION DETAIL

DATE	DESCRIPTION	AMOUNT	BALANCE
	Beginning Balance		**$866.36**
05/23	Epcor Water(USA) Payment PPD ID: [redacted]	-154.56	711.80
05/24	Nanny Chex Inc AR Payment PPD ID: [redacted]	-184.75	527.05
05/31	Public Safety Pe EDI Pmts [redacted]	3,584.81	4,111.86
05/31	Nanny Chex Inc AR Payment PPD ID: [redacted]	-184.75	3,927.11
06/01	US House of Repr PR Payment [redacted]	7,706.92	11,634.03
06/04	06/02 Online Transfer To Chk [redacted] Transaction# [redacted]	-5,000.00	6,634.03
06/04	ATM Withdrawal 06/04 16744 E Avenue of th Fountain Hill AZ Card 7860	-1,000.00	5,634.03
06/06	Wf Home Mtg Auto Pay PPD ID: [redacted]	-1,824.21	3,809.82
06/06	Navient Navi Debt PPD ID: [redacted]	-178.65	3,631.17
06/07	Nanny Chex Inc AR Payment PPD ID: [redacted]	-184.75	3,446.42
06/11	US House of Repr Venpay PPD ID: [redacted]	63.36	3,509.78
06/11	ATM Withdrawal 06/09 6886 State Route 179 Sedona AZ Card [redacted]	-500.00	3,009.78
06/11	ATM Withdrawal 06/11 8995 E Shea Blvd Scottsdale AZ Card [redacted]	-200.00	2,809.78
06/11	Barclaycard US Creditcard [redacted] Web ID: [redacted]	-515.47	2,294.31
06/12	Navient-Fdr Autopay PPD ID: [redacted]	-404.34	1,889.97
06/12	Att Payment PPD ID: [redacted]	-160.03	1,729.94
06/14	Online Transfer From Chk [redacted] Transaction# [redacted]	1,500.00	3,229.94
06/14	Nanny Chex Inc AR Payment PPD ID: [redacted]	-1,720.90	1,509.04
06/14	Nanny Chex Inc AR Payment PPD ID: [redacted]	-184.75	1,324.29
06/15	Capital One Auto Directpay [redacted] Web ID: [redacted]	-363.25	961.04
06/15	Cox Comm Phx Bank Draft PPD ID: [redacted]	-271.71	689.33
06/20	Sp Suropay PPD ID: [redacted]	-404.85	284.48
06/21	Online Transfer From Chk [redacted] Transaction# [redacted]	600.00	884.48
06/21	Nanny Chex Inc AR Payment PPD ID: [redacted]	-184.75	699.73
06/22	Interest Payment	0.02	699.75
	Ending Balance		**$699.75**

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call us at 1-866-564-2262 or write us at the address on the front of this statement (non-personal accounts contact Customer Services) immediately if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt.
For personal accounts only: We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account. Deposit products and services are offered by JPMorgan Chase Bank, N.A. Member FDIC

JPMorgan Chase Bank, N.A. Member FDIC

EXHIBIT 16

29-Jun-18 29Jun18-188

Payment Due Date	New Balance	Past Due Amount	Minimum Payment
12/26/10	$14,720.16	$0.00	$294.00

Account number: [redacted]

$ _____

Make your check payable to:
Chase Card Services,
Please write the account
New address or e-mail. Print on back.

[redacted]

[redacted]
DAVID SCHWEIKERT
[redacted] LLC
[redacted]

CARDMEMBER SERVICE
PO BOX 94014
PALATINE IL 60094-4014

[redacted]

BUSINESS CARD STATEMENT

Manage your account online: www.chase.com/businesscards

Additional contact information conveniently located on reverse side

ACCOUNT SUMMARY

Account Number: [redacted]

Previous Balance	$11,231.18
Payment, Credits	-$1,368.66
Purchases	+$4,758.34
Interest Charged	+$100.29
New Balance	$14,720.16
Opening/Closing Date	11/27/10 - 12/26/10
Total Credit Line	$15,000
Available Credit	$279
Cash Access Line	$3,000
Available for Cash	$279

PAYMENT INFORMATION

New Balance	$14,720.16
Payment Due Date	12/26/10
Minimum Payment Due	$294.00

Late Payment Warning: If we do not receive your minimum payment by the due date, you may have to pay up to a $28 late fee.

Minimum Payment Warning: Enroll in Auto-Pay and avoid missing a payment. To enroll, call the number on the back of your card or go to the web site listed above.

INK CASH℠ POINT SUMMARY

Previous Points Balance	236,516	Thanks for choosing Ink Cash
Bonus Points	2,529	Ready to redeem?
Base Points on all Purchases	4,756	Visit www.chase.com/ink
Points Earned through Chase Rewards Plus	0	to redeem now, or add employee cards and
New Point Balance	244,201	earn points even faster!

13,447 Points to expire on statement in July 2011

Earn 3 points on all eligible purchases made at gas stations, restaurants, home improvement & hardware stores, and office supply stores. Earn 1 pt. per $1 spent on all other purchases with no limits or caps. You can also earn up to 10 points per dollar spent when you make online business purchases at hundreds of leading merchants. Visit www.chaserewardsplus.com to see our current offers.

ACCOUNT ACTIVITY

Date of Transaction	Merchant Name or Transaction Description	$ Amount
11/10	ATTM*973148188 [redacted]	687.71
12/02	PROPERTYWARE HQ CN 972-820-3769 TX	64.00
	JOYCE R SCHWEIKERT TRANSACTIONS THIS CYCLE (CARD [redacted] $751.71	
11/23	Payment - Thank You	-1,368.66
11/04	CITY SERVICES GLENDALE AZ 623-9302232 AZ	84.20
11/08	WILLIAMS & ZINMAN 480-994-4732 AZ	859.14
11/11	YOUSENDIT INC 866-5587363 CA	9.99
11/17	GOSECTIONS COM BOCA RATON FL	39.00
11/22	WILLIAMS & ZINMAN 480-994-4732 AZ	621.75
11/24	AZ FARE PHOENIX MUNICIPAL 602-4122234 AZ	329.00
11/25	METRO HI SPEED 425-3733311 WA	12.95
11/29	CITY OF MESA CUST SERV MESA AZ	335.00
12/06	PURCHASE INTEREST CHARGE	100.29
	DAVID SCHWEIKERT TRANSACTIONS THIS CYCLE (CARD [redacted] $1,034.87 INCLUDING PAYMENTS RECEIVED	
11/09	EWING IRRGTN PROTS #74 623-846-3000 AZ	238.45
12/03	COOKSON DOORSALES OF AZ 480-5778777 AZ	1,464.15
	DAVID KRUGER TRANSACTIONS THIS CYCLE (CARD [redacted] $1,702.60	

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[redacted]

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18-2234_0674

29-Jun-18 29Jun18-188

Address Change Request

Please provide information below only if the address information on front is incorrect

Street Address: ______

City ______

State ______ Zip ______

Home Phone ______ Work Phone ______

E-mail Address ______

To service and manage any of your account(s), we, our representatives, JPMorgan Chase representatives, and/or affiliates, may contact you at any telephone number you provide to us. Please refer to your Cardmember Agreement for additional details about the use of your personal information and/or visit our website shown below to provide us with additional contact information.

To contact us regarding your account:

By Telephone:	Send Inquiries to:	Mail Payments to:	Visit Our Website:
In U.S. 1-800-316-5535 Español 1-888-795-0574 TDD 1-800-955-8060 Pay by phone 1-800-436-7958 Outside U.S. call collect 1-480-350-7099	P.O. Box 15298 Wilmington, DE 19850-5298	P.O. Box 94014 Palatine, IL 60094-4014	www.chase.com/businesscards

Information About Your Account

[illegible]

Annual Renewal Notice: [illegible]

Calculation of Balance Subject to Interest Rate: [illegible]

Interest Accrual

[illegible]

COE.SCHWEIKERT.005477

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18-2234_0675

29-Jun-18 29Jun18-188

Statement Date: 11/07/18 - 12/06/18
Account Number: [redacted]
Page 2 of 2

Total fees charged in 2018	$0.00
Total interest charged in 2018	$427.81

Year-to-date totals reflect all changes minus any returns applied to your account on or after January 31, 2018.

INTEREST CHARGES

Your Annual Percentage Rate (APR) is the annual interest rate on your account.

Balance Type	Annual Percentage Rate (APR) 30 Days In Cycle	Balance Subject To Interest Rate	Interest Charges	Accrued Interest Charges
Purchases	9.24% (v)	$13,200.00	$100.00	$0.00
Cash Advances	19.24% (v)	$0.00	$0.00	$0.00
Balance Transfer	9.24% (v)	$0.00	$0.00	$0.00

(v) = Variable Rate

Please see Information About Your Account section for the Calculation of Balance Subject to Interest Rate, Annual Renewal Notice, How to Avoid Interest on Purchases, and other important information, as applicable.

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29-Jun-18 29Jun18-188

29-Jun-18 29Jun18-188

Payment Due Date	New Balance	Past Due Amount	Minimum Payment
01/26/11	$10,271.12	$0.00	$205.00

Account number: [redacted]

$ _____ Make your check payable to: Chase Card Services. Please write amount enclosed. Note address or e-mail. Print on back.

[redacted]

[redacted]
DAVID SCHWEIKERT
[redacted] LLC
[redacted]

CARDMEMBER SERVICE
PO BOX 94014
PALATINE IL 60094-4014

[redacted]

BUSINESS CARD STATEMENT

Manage your account online: www.chase.com/businesscards

Additional contact information conveniently located on reverse side

ACCOUNT SUMMARY

Account Number:	[redacted]
Previous Balance	$14,720.16
Payment, Credits	-$8,104.51
Purchases	+$3,624.64
Fees Charged	+$39.00
Interest Charged	+$91.83
New Balance	$10,271.12
Opening/Closing Date	12/07/10 - 01/06/11
Total Credit Line	$13,000
Available Credit	$4,728
Cash Access Line	$3,000
Available for Cash	$3,000

PAYMENT INFORMATION

New Balance	$10,271.12
Payment Due Date	01/26/11
Minimum Payment Due	$205.00

Late Payment Warning: If we do not receive your minimum payment by the due date, you may have to pay up to a $39 late fee.

Minimum Payment Warning: Enroll in Auto-Pay and avoid missing a payment. To enroll, call the number on the back of your card or go to the web site listed above.

INK CASH℠ POINT SUMMARY

Previous Points Balance	244,201	Thanks for choosing Ink Cash!
Base Points on all Purchases	3,525	Ready to redeem?
Points Earned through Chase Rewards Plus	0	Visit www.chase.com/ink
New Point Balance	247,726	to redeem now, or add employee cards and earn points even faster!

13,447 Points to expire on statement in July 2011

Earn 3 points on all eligible purchases made at gas stations, restaurants, home improvement & hardware stores, and office supply stores. Earn 1 pt. per $1 spent on all other purchases with no limits or caps. You can also earn up to 10 points per dollar spent when you make online business purchases at hundreds of leading merchants. Visit www.chaseinkrewardsplus.com to see our current offers.

ACCOUNT ACTIVITY

Date of Transaction	Merchant Name or Transaction Description	$ Amount
12/10	ATTM [redacted]	663.95
01/01	PROPERTYWARE HO: CN 972-820-3769 TX	64.00
	JOYCE R SCHWEIKERT TRANSACTIONS THIS CYCLE (CARD [redacted] $727.95	
12/10	Payment - Thank You	-3,424.99
12/14	Payment - Thank You	-3,740.90
12/29	Payment - Thank You	-103.92
01/01	Payment - Thank You	-833.00
12/06	WILLIAMS & ZINMAN 480-994-4732 AZ	1,857.25
12/11	YOUSENDIT INC 866-5587383 CA	9.99
12/15	SUPRA GE SECURITY 2 SALEM OR	42.40
12/17	GOSECTIONS.COM BOCA RATON FL	39.00
12/20	WILLIAMS & ZINMAN 480-994-4732 AZ	833.00
12/26	METRO HI SPEED 425-3733311 WA	12.95
12/07	OVERLIMIT FEE	39.00
01/06	PURCHASE INTEREST CHARGE	91.93
	DAVID SCHWEIKERT TRANSACTIONS THIS CYCLE (CARD [redacted] -$9,176.99 INCLUDING PAYMENTS RECEIVED	

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[redacted]

COE.SCHWEIKERT.005480

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18-2234_0678

29-Jun-18 29Jun18-188

Address Change Request

Please provide information below only if the address information on front is incorrect.

Street Address: _____

City: _____

State: _____ Zip: _____

Home Phone: _____ Work Phone: _____

E-mail Address: _____

To service and manage any of your account(s), we, our representatives, JPMorgan Chase representatives, and/or affiliates, may contact you at any telephone number you provide to us. Please refer to your Cardmember Agreement for additional details about the uses of your personal information, and/or visit our website shown below to provide us with additional contact information.

To contact us regarding your account:

By Telephone:	Send Inquiries to:	Mail Payments to:	Visit Our Website:
In U.S. 1-800-344-5538 Español 1-888-780-0571 TDD 1-800-855-8882 Fax by phone 1-800-436-7958 Outside U.S. call collect 1-480-366-7099	Wilmington, DE 19850-5294	P.O. Box 94014 Palatine, IL 60094-4014	www.chase.com/business/cards

Information About Your Account

Crediting of Payments: You may make payments by any of the methods listed below. The amount of your payment should be at least your minimum payment due, payable in U.S. dollars and drawn or payable through a U.S. financial institution or the U.S. branch of a foreign financial institution.

You may make payment by mail. U.S. mail: Send your payment to the Payments address shown on this statement. Your payments by mail must comply with the instructions on this statement. Do not send cash. Write your Account number on your check or money order. Payments must be accompanied by the payment coupon or the payment provided with our address which directs the amount or letter that directs payment manner than this payment or coupon and there can be no stales. Edit, clip, fold or deface checks included with your payment. If your payment is not accompanied with our payment instructions and is made available to us on any day by 5:00 p.m. local time at our Payments address on this statement, we will credit the payment to your Account as of that day. If your payment is received after such cut-off time or on a day when we are closed, but is made available to us after 5:00 p.m. local time at the Payments address on this statement, we will credit the payment to your Account as of the next calendar day.

You may make payments in other ways through our website shown on this statement or our voice or in person at our office in your area by 5 p.m. Eastern Time, we will credit your payment as of that day. If we receive your payment after 5 p.m. Eastern Time, we will credit your payment as of the next calendar day. If you identify a date in the future, we will credit your payment as of that day.

Point of sale payments or for any payment type taken for ach or you do not follow payment instructions, crediting of your payment may be delayed by up to 5 days.

Account Information Reported to Credit Bureaus: We may report information about your Account to credit bureaus. Late payments, missed payments or other defaults on your Account may be reflected in your credit report. Data that we have: contain inaccurate information or a credit bureau, you may notify us of the dispute and we will forward the statement.

To Service and Manage Any of Your Account(s): We, our representatives, JPMorgan Chase representatives, and/or affiliates, may contact you at any telephone number you provide to us. Please refer to your Cardmember Agreement for additional details about the uses of your personal information.

Notice About Electronic Check Conversion: When you pay by check, you authorize us either to use information from your check to make a one-time electronic fund transfer from your account or to process the payment as a check transaction. When we use information from your check to make an electronic fund transfer, funds may be withdrawn from your account as soon as the same day we receive your payment, and you will not receive your check back from your financial institution. Call the Customer Service number on this statement if you have questions about electronic check conversion or do not want your payments converted electronic.

Conditional Payments: Any payment check or other form of payment that you send us for less than the full balance due that is marked "paid in full" or some to a similar notation, or that we, JPMorgan Chase and its affiliates and its vendors of a banking service, may receive as Good Delivery, (G) Bank New York Mellon (G) (BNY) (BNY Mellon) (G) (BNY Mellon) regarding these payments (e.g., if the action is not taken by the deadline), or if any such check is received or any other attempt, we may accept the check or payment, and it will not be considered a payment in full. We may refuse to accept any such payment or check, or we may accept it and reserve all rights. All other payments shall be sent to the address for sent to the address Payment address provided on this statement.

Annual Renewal Notice: If your Account Agreement has an annual membership fee, we may charge fee related to account renewal on or about each year. If we do, we will notify you in a monthly statement. The fee annual charge are due and will be in full on, unless your Account, and you agree to pay them when called. The annual fee and charge are non-refundable unless you notify us that you want to close your account within 30 days of your billing cycle statement a date after we provide the statement on which the annual fee or charge is called and at the same time, pay your outstanding balance in full. If you do this, for a charge billed more than one year, including such as a monthly service charge, you will not pay the fee same charge. However, if we billed charges, we may not accept and fee and do not pay your outstanding balance in full. Your payment of the annual fee or charge does not affect our rights to close your Account and to the full rights and transactions on your Account. If your Account is closed for cause, we are entitled to receive fees, as we deem appropriate, and we can use or charge any balance or fee owed on your Account.

Calculation of Balance Subject to Interest Rate: To figure your periodic interest charges for each billing cycle (the "billing cycle"), we use the daily balance method (including current transactions). To figure your periodic interest charge for the billing cycle when a monthly service charge applies, we use the average daily balance method (including current transactions). For an explanation of terms, and examples, and a complete periodic interest charge calculation on your statement, please call us at the toll free customer service phone number listed above.

We calculate periodic interest charges using the applicable annual percentage rate (APR) on the statement, separately for each feature (e.g., balance transfer, checks, cash advance checks, [check, balance], purchases, balance transfers, cash advances, promotional balances or promotional advances). Minor calculations in separate different categories are the same annual rate. Variable rate will vary in the market based on the Prime Rate for such rate described in your Account Agreement. There is a maximum interest charge of $1.00 on such amount calculated in your Account Agreement in any billing cycle in which you owe any periodic interest charges, and a transaction fee for each foreign transaction, each advance or cash advance in your Account Agreement, as it may be amended. There is a foreign transaction fee of 3% of the U.S. dollar amount of any foreign transaction (or such amount described in your Account Agreement).

Interest Refund

We accept periodic interest charges on a transaction, fee or interest charge from the date it is added to your card's balance until payment is full is received on your account.

29-Jun-18 29Jun18-188

Statement Date 12/07/10 - 01/06/11
Account Number [redacted]
Page 2 of 2

Total fees charged in 2011	$0.00
Total interest charged in 2011	$81.63

Year-to-date totals reflect all charges minus any refunds applied to your account

INTEREST CHARGES

Your Annual Percentage Rate (APR) is the annual interest rate on your account.

Balance Type	Annual Percentage Rate (APR) 31 Days In Cycle	Balance Subject To Interest Rate	Interest Charges	Accrued Interest Charges
Purchases	9.24% (v)	$11,711.45	$81.63	$0.00
Cash Advances	19.24% (v)	$0.00	$0.00	$0.00
Balance Transfer	9.24% (v)	$0.00	$0.00	$0.00

(v) = Variable Rate

Please see Information About Your Account section for the Calculation of Balance Subject to Interest Rate, Annual Renewal Notice, How to Avoid Interest on Purchases, and other important information, as applicable.

IMPORTANT NEWS

Create and print your own year-end summary using our business expense report tool or track spending by category for any time period in the last 24 months. Log onto your account at chase.com/ink and click on the Create expense report link on the right side under Customer Center.

It is easy to pay your recurring business expenses automatically with your Ink business card. Simply visit www.chaseinkmanager.com today or contact your vendors directly to set up automatic payments.

This Statement is a Facsimile - Not an original

29-Jun-18

29Jun18-188

29-Jun-18 29Jun18-191

CHASE [redacted]

P.O. BOX 15123
WILMINGTON, DE
19850-5123

Get updates on the go.
Log on to chase.com/alerts

Payment Due Date: 01/22/15
New Balance: $19,398.51
Minimum Payment: $375.00

Account number: [redacted]

$_____ Amount Enclosed.
Make your check payable to: Chase Card Services

[redacted]
DAVID SCHWEIKERT
[redacted]

CARDMEMBER SERVICE
PO BOX 94014
PALATINE IL 60094-4014

[redacted]

Mileage Plus UNITED

Manage your account online: www.chase.com/united

Customer Service: 1-800-537-7783

Mobile: Visit chase.com on your mobile browser

ACCOUNT SUMMARY

Account Number: [redacted]	
Previous Balance	$10,603.46
Payment, Credits	-$1,000.00
Purchases	+$9,612.17
Cash Advances	$0.00
Balance Transfers	$0.00
Fees Charged	+$71.19
Interest Charged	+$181.69
New Balance	$19,398.51

Opening/Closing Date	11/26/14 - 12/25/14
Credit Access Line	$24,000
Available Credit	-$4,601
Cash Access Line	$4,800
Available for Cash	$4,801
Past Due Amount	$0.00
Balance over the Credit Access Line	$0.00

PAYMENT INFORMATION

New Balance	$19,398.51
Payment Due Date	01/22/15
Minimum Payment Due	$375.00

Late Payment Warning: If we do not receive your minimum payment by the date listed above, you may have to pay a late fee of up to $35.00 and your APR's will be subject to increase to a maximum Penalty APR of 29.99%.

Minimum Payment Warning: If you make only the minimum payment each period, you will pay more in interest and it will take you longer to pay off your balance. For example:

If you make no additional charges using this card and each month you pay...	You will pay off the balance shown on this statement in about...	And you will end up paying an estimated total of...
Only the minimum payment	29 years	$38,456
$647	3 years	$23,298 (Savings=$15,160)

If you would like information about credit counseling services, call 1-866-797-2693.

YOUR ACCOUNT MESSAGES

Congratulations! Your credit line has been increased. Take advantage of your enhanced spending power to make purchases and transfer balances today.

MILEAGEPLUS MILES EARNED

+ Miles earned this statement from purchases	9,613	Thank you for choosing the United MileagePlus Visa!
= Total miles earned this statement	9,613	Please visit www.united.com/chase to see all of your
Total miles transferred to United	9,613	redemption options! 1-800-421-4655 (MileagePlus)
Year-to-date miles earned on credit card	79,723	1-800-241-6522 (Reservations)

Your United Mileage Plus Visa allows you to earn unlimited miles for your everyday spend! You earn 1 mile for every $1 you spend on purchases. Add authorized users, and sign up to have your monthly bills charged to your card- why not get miles for all those purchases too?

ACCOUNT ACTIVITY

Date of Transaction	Merchant Name or Transaction Description	$ Amount
PAYMENTS AND OTHER CREDITS		
12/19	Payment Thank You - Web	-1,000.00
PURCHASES		
11/26	APL* ITUNES.COM/BILL 866-712-7753 CA	13.00
11/26	AMERI-FAB 623-780-0400 AZ	5,000.00
11/27	AT&T DATA 800-331-0500 GA	30.00
11/30	APL* ITUNES.COM/BILL 866-712-7753 CA	32.66
12/08	SQ *JOSEPH MCCLELLAN Fountain Hill AZ	4,000.00
12/10	SOUTHWES [redacted] X	5.99
12/12	SHELL OIL 93034138662 FOUNTAIN HLS AZ	10.00

This Statement is a Facsimile - Not an original

[redacted]

COE.SCHWEIKERT.005484

CCC_0515

18-2234_0682

29-Jun-18 29Jun18-191

Address Change Request

Please provide information below only if the address information on file is incorrect.

Street Address: _____

City: _____

State: _____ Zip: _____

*Home Phone: _____ *Work Phone: _____

E-mail Address: _____

*When you give us your mobile phone number, we have your permission to contact you at that number about all your Chase or J.P. Morgan accounts. Your consent allows us and companies working on our behalf to use text messaging, artificial or prerecorded voice messages and automatic dialing technology for informational and account service calls, but not for telemarketing or sales calls. Message and data rates may apply. You may contact us anytime to change these preferences.

To contact us regarding your account:

By Telephone:
In U.S. 1-800-697-7283
Español 1-888-448-2302
TTY 1-800-955-8363
Fax by phone 1-800-436-7006
Outside U.S. call collect 1-847-888-6600

Send Inquiries to:
P.O. Box 15298
Wilmington, DE 19850-5298

Mail Payments to:
P.O. Box 8044
Palatine, IL 60094-4014

Visit Our Website:
www.chase.com/cards

Information About Your Account

Crediting of Payments: You may make payments by any of the options listed below. The amount of your payment should be at least your minimum payment due. Acceptable U.S. dollars and drawn or payable through a U.S. financial institution or the U.S. branch of a foreign financial institution. You can pay down balances faster by paying more than the minimum payment or the total amount balance on your account.

You may make payments by regular U.S. mail. Send your payment to the Payments address shown on this statement. Your payments by mail must comply with the instructions on this statement. Do not send cash. Write your Account number on your check or money order. Payments must be accompanied by the payment coupon in the envelope provided with our account mailer through the delivery address. We generally cannot control how fast are delivered or accepted, and these can be any charges, such check, back or environment delayed with your payment. If your statement is in accordance with our payment instructions and is made payable to us, we are day by 5:00 p.m. local time at our Payments address on this statement, we will credit the payment to your Account as of that day. If your payment is in accordance with our payment instructions, but is made payable to us after 5:00 p.m. local time at the Payments address on this statement, we will credit it to your Account as of the next calendar day.

You may make payments electronically through our website chase.com from your. If we receive your authorized request on our website by 8 p.m. Eastern Time, we will credit your payment as of that day. If we receive your request after 8 p.m. Eastern Time, we will credit your payment as of the next calendar day. If you identify a future date in your request we will credit your payment as of that day.

For all other payments or for any payment have a time for which you do not follow our payment instructions, crediting of your payments may be delayed for up to 5 days.

Account Information Reported to Credit Bureau: We may report information about your Account to credit bureaus. Late payments, missed payments or other defaults on your Account may be reflected in your credit report. If you think we have reported inaccurate information to a credit bureau, you may write to us at the address shown on this statement.

Notice About Electronic Check Conversion: When you pay by check, you authorize us either to use information from your check to make a one-time electronic fund transfer from your account or to process the payment as a check transaction. When we use information from your check to make an electronic fund transfer, funds may be withdrawn from your account as soon as the same day we receive your payment, and you will not receive your check back from your financial institution. Call the Customer Service number on this statement if you have questions about electronic check conversion or do not want your payments collected electronically.

Conditional Payments: Any payment check or other form of payment that you send us for less than the full balance due that is marked "paid in full" or contains a similar notation, or that you otherwise render in full satisfaction of a disputed amount, must be sent to Card Services, P.O. Box 15298, Wilmington, DE 19850-5298. We reserve about rights regarding these payments (e.g., if it is determined there is no valid dispute or if any such check is received at any other address, we may accept the check and you will still owe any remaining balance). We may refuse to accept any such payment by returning it to you, not cashing it or destroying it. All other statements that you make should be sent to the regular Payment address shown on this statement.

Annual Renewal Notice: If your Account Agreement has an annual membership fee and/or annual charge for issuance or enrollment of your account, it will be billed each year or in monthly or quarterly installments. Finance and/or charge are given whether or not you use your Account, and you agree to pay them when billed. The annual fee and charge are non-refundable unless you notify us that you wish to close your account within 30 days of your billing cycle (whichever is sooner) after we provide the statement on which the annual fee or charge is billed and at the same time, you pay your outstanding balance in full. If you do this, no a charge is not more than previously such as a monthly service charge, you will not owe the total billed charge. However, your billed charges are non-refundable and must be paid as part of paying your outstanding balance in full. Your payment of the annual fee or charge does not affect our rights to close your Account and to limit your right to make transactions on your Account. If your Account is closed by you or us, we will continue to impose the annual fee and/or charge until you pay your outstanding balance in full and terminate your Account relationship.

Calculation of Balance Subject to Interest Rate: To figure your periodic interest charges for each billing cycle when a daily periodic rate(s) applies, we use the daily balance method (including new transactions). To figure your periodic interest charges for each billing cycle when a monthly periodic rate(s) applies, we use the average daily balance method (including new transactions). For an explanation of either method, or questions about a particular interest charge calculation on your statement, please call us at the toll free customer service phone number listed above.

We calculate separate interest charges separately for cash balance (for example, purchases, balance transfers, cash advances or overdraft advances). These calculations may combine different categories with the same periodic rate. Variable rates will vary with the market based on the Prime Rate or such index described in your Account Agreement. There is a transaction fee for each balance transfer, cash advance, or check transaction in an amount stated in your Account Agreement. There is a foreign transaction fee of 3% of the U.S. dollar amount of any balance transaction for some accounts. Please see your Account Agreement for information about these fees.

We add transactions and fees to your daily balance as follows:

1) The date of the transaction for nonpurchases, Express transfers, electronic advances or cash advances.

2) The date the paper check is the check – for paper cash advance checks or balance transfer checks.

3) The date of a refund transaction. The date they are posted to your account, or the last day of the billing cycle, whichever we may choose – for fees.

How to Avoid Paying Interest on Purchases: Your grace period has a minimum of 21 days after the close of each billing cycle. If you pay your account in full each billing period by the date and time that we receive a charge on any purchases month to month. Also, we will not assess interest charges on any portion of a purchase balance also many cases that balance is subject to an interest free period. Subject to any interest free period on new purchases, we will begin charging interest from the date a transaction (including any balance transfer, cash advance or overdraft advance, fee or interest charge) is added to your daily balance until your account is paid in full. Because we have opportunity to assess if your minimum payment fail to register the balance, you may not be able to avoid interest charges on new purchases if you have another balance or a higher interest rate unless you pay your balance in full each month.

What To Do If You Think You Find a Mistake On Your Statement:

If you think there is an error on your statement, write to us on a separate sheet at Customer Service, P.O. Box 15298 Wilmington, DE 19850-5298. You may also contact us on the web at chase.com.

In your letter, give us the following information:

- Account information: Your name and account number
- Dollar amount: The dollar amount of the suspected error
- Description of Problem: If you think there is an error on your bill, describe what you believe is wrong and why you believe it is a mistake.

You must contact us within 60 days after the error appeared on your statement.

You must notify us of any potential error in writing or on the web in order to preserve your rights. We will let you know we have received your letter. We may ask you for additional information to help us investigate your question or complaint. We will correct any billing error as quickly as possible, and we will finish our investigation in 90 days unless we need more time. If we need more time, we may take up to 45 days to investigate your complaint or question. If we need more time, we will credit your account for the amount in question while we complete our investigation. If we do not need more time, we may not credit your account for the amount in question while we complete our investigation. If we do not need more time, we may not credit your account for the amount in question while we complete our investigation.

While we investigate, the same rules apply to the disputed amount as discussed above. After we finish our investigation, we will let you know we have finished the investigation. If we think you owe an amount and you do not pay we may report you as delinquent.

While we investigate, the same rules apply to the disputed amount as discussed above. After we finish our investigation, we will let you know we have finished the investigation. If we think you owe an amount and you do not pay we may report you as delinquent.

Where we investigate, the same rules apply to the disputed amount as discussed above. After we finish our investigation, we will let you know we have finished the investigation. If we think you owe an amount and you do not pay we may report you as delinquent.

Your Rights If You Are Dissatisfied With Your Credit Card Purchases:

If you are dissatisfied with the goods or services that you have purchased with your credit card, and you have tried in good faith to correct the problem with the merchant, you may have the right not to pay the remaining amount due on the purchase.

To use this right, all of the following must be true:

1. The purchase must have been made in your home state or within 100 miles of your current mailing address, and the purchase price must have been more than $50. (We believe all these are necessary if your purchase was based on an advertisement we mailed to you, or if we own the company that sold you the goods or services.)
2. You must have used your credit card for the purchase. Purchases made with cash advances from an ATM or with a check that accesses your credit card Account do not qualify.
3. You must not yet have fully paid for the purchase.

If all of the criteria above are met and you are still dissatisfied with the purchase, contact us in writing at Disputed Transfer, P.O. Box 15298 Wilmington, DE 19850-5298 or on the web at chase.com.

While we investigate, the same rules apply to the disputed amount as discussed above. After we finish our investigation, we will let you know we have finished the investigation. If we think you owe an amount and you do not pay we may report you as delinquent.

29-Jun-18 29Jun18-191

Mileage Plus UNITED

Manage your account online: www.united.com/mp

Customer Service: 1-800-537-7733

Mobile: Visit chase.com on your mobile browser

ACCOUNT ACTIVITY (CONTINUED)

Date of Transaction	Merchant Name or Transaction Description	$ Amount
12/14	CURATOR'S HOUSE CHRISTCHURCH 12/15 NEW ZEALAND DOLLAR $1.00 X 0.73907843 (EXCHG RATE)	39.76
12/16	APL* ITUNES COMBILL 866-712-7753 CA	4.95
12/17	TRAVELERS PERS INS [redacted]	219.91
12/17	MCMURDO 5005596227 MD	66.50
12/21	PEPPERS CLEARWATER R CHRISTCHURCH	44.95
12/22	SHERWIN WILLIAMS #6637 FOUNTAIN HILL AZ	79.49
12/25	APL* ITUNES COMBILL 866-712-7753 CA	10.58
12/25	APL* ITUNES COMBILL 866-712-7753 CA	24.99

FEES CHARGED

12/15	FOREIGN TRANSACTION FEE CURATOR'S HOUSE CHRISTCHURCH $39.76	1.19
	TOTAL FEES FOR THIS PERIOD	$1.19

INTEREST CHARGED

12/25	PURCHASE INTEREST CHARGE	181.69
	TOTAL INTEREST FOR THIS PERIOD	$181.69

2014 Totals Year-to-Date	
Total fees charged in 2014	$69.05
Total interest charged in 2014	$1,158.69

Year-to-date totals do not reflect any fee or interest refunds you may have received.

INTEREST CHARGES

Your Annual Percentage Rate (APR) is the annual interest rate on your account.

Balance Type	Annual Percentage Rate (APR)	Balance Subject To Interest Rate	Interest Charges
PURCHASES			
Purchases	12.24% (v)	$18,092.50	$181.69
CASH ADVANCES			
Cash Advances	12.24% (v)	-0-	-0-
BALANCE TRANSFERS			
Balance Transfer	12.24% (v)	-0-	-0-

(v) = Variable Rate 30 Days In Billing Period

Please see Information About Your Account section for the Calculation of Balance Subject to Interest Rate, Annual Renewal Notice, How to Avoid Interest on Purchases, and other important information, as applicable.

DAVID SCHWEIKERT This Statement is a Facsimile - Not an original Statement Date: 12/25/14

29-Jun-18

29Jun18-191

COE.SCHWEIKERT.005487

CCC_0518

18-2234_0685

29-Jun-18 29Jun18-191

CHASE [redacted]

P.O. BOX 15123
WILMINGTON, DE
19850-5123

Payment Due Date:	02/22/15
New Balance:	$16,184.61
Minimum Payment:	$361.00

Account number [redacted]

$_____ Amount Enclosed
Make your check payable to: Chase Card Services

[redacted]

CARDMEMBER SERVICE
PO BOX 94014
PALATINE IL 60094-4014

[redacted]

Mileage UNITED

Manage your account online: www.chase.com/united
Customer Service: 1-800-537-7783
Mobile: Visit chase.com on your mobile browser

ACCOUNT SUMMARY

Account Number: [redacted]

Previous Balance	$19,398.51
Payment Credits	-$10,000.00
Purchases	+$6,586.35
Cash Advances	$0.00
Balance Transfers	$0.00
Fees Charged	$0.00
Interest Charged	+$199.75
New Balance	$16,184.61
Opening/Closing Date	12/26/14 - 01/25/15
Credit Access Line	$24,000
Available Credit	$7,815
Cash Access Line	$4,800
Available for Cash	$4,800
Past Due Amount	$0.00
Balance over the Credit Access Line	$0.00

PAYMENT INFORMATION

New Balance	$16,184.61
Payment Due Date	02/22/15
Minimum Payment Due	$361.00

Late Payment Warning: If we do not receive your minimum payment by the date listed above, you may have to pay a late fee of up to $35.00 and your APR's will be subject to increase to a maximum Penalty APR of 29.99%.

Minimum Payment Warning: If you make only the minimum payment each period, you will pay more in interest and it will take you longer to pay off your balance. For example:

If you make no additional charges using this card and each month you pay...	You will pay off the balance shown on this statement in about...	And you will end up paying an estimated total of...
Only the minimum payment	27 years	$31,923
$540	3 years	$19,436 (Savings=$12,487)

If you would like information about credit counseling services, call 1-866-797-2885.

MILEAGEPLUS MILES EARNED

+ Miles earned this statement from purchases	6,587	Thank you for choosing the United MileagePlus Visa!
- Total miles earned this statement	6,587	Please visit www.united.com/chase to see all of your
Total miles transferred to United	6,587	redemption options! 1-800-421-4655 (MileagePlus)
Year-to-date miles earned on credit card	6,587	1-800-241-6522 (Reservations)

Your United Mileage Plus Visa allows you to earn unlimited miles for your everyday spend! You earn 1 mile for every $1 you spend on purchases. Add authorized users, and sign up to have your monthly bills charged to your card- why not get miles for all those purchases too?

ACCOUNT ACTIVITY

Date of Transaction	Merchant Name or Transaction Description	$ Amount
PAYMENTS AND OTHER CREDITS		
01/01	Payment Thank You - Web	-3,000.00
01/16	Payment Thank You - Web	-7,000.00
PURCHASES		
12/25	FEDEX 806673639958 800-4633339 TN	81.09
12/27	AT&T DATA 800-331-0500 GA	30.00
01/02	Amazon.com AMZN.COM/BILL WA	91.32
01/03	FRYS FUEL # 7821 SCOTTSDALE AZ	12.00
01/03	SQ *JOSEPH MCCLELLAN Fountain Hill AZ	5,320.47
01/04	FRYS FUEL # 7821 SCOTTSDALE AZ	12.07
01/05	SOUTHWES 5202471628096 800-435-9792 TX	5.60
01/07	APL* ITUNES.COM/BILL 866-712-7753 CA	5.43
01/14	APL* ITUNES.COM/BILL 866-712-7753 CA	16.32
01/16	QT 401 05004015 MESA AZ	15.00

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[redacted]

COE.SCHWEIKERT.005488

CCC_0519

18-2234_0686

29-Jun-18 29Jun18-191

Address Change Request

Please provide information below only if the address information on front is incorrect

Street Address: ____________________

City ____________________

State ____ Zip ____________

*Home Phone ____________ *Work Phone ____________

E-mail Address ____________________

*When you give us your mobile phone number, we have your permission to contact you at that number about all your Chase or J.P. Morgan accounts. Your consent allows us and companies working on our behalf to use text messaging, artificial or prerecorded voice messages and automatic dialing technology for informational and account service calls, but not for telemarketing or sales calls. Message and data rates may apply. You may contact us anytime to change these preferences.

To contact us regarding your account:

By Telephone:		Send Inquiries to:	Mail Payments to:	Visit Our Website:
In U.S.	1-800-537-7783	P.O. Box 15298	P.O. Box 94014	www.chase.com
Español	1-888-446-3308	Wilmington, DE 19850-5298	Palatine, IL 60094-4014	
TTY	1-800-955-8060			
Pay by phone	1-800-436-7958			
Outside U.S. call collect	1-847-488-6600			

Information About Your Account

[illegible]

29-Jun-18 29Jun18-191

Mileage Plus UNITED

Manage your account online: www.chase.com/united

Customer Service: 1-800-537-7783

Mobile: Visit chase.com on your mobile browser

ACCOUNT ACTIVITY (CONTINUED)

Date of Transaction	Merchant Name or Transaction Description	$ Amount
01/17	AMAZON MKTPLACE PMTS AMZN COM/BILL WA	16.45
01/15	TRAVELERS PERS [redacted]	219.91
01/18	AMAZON MKTPLACE PMTS AMZN COM/BILL WA	27.98
01/18	CIRCLE K 09153 PHOENIX AZ	30.01
01/19	SHERWIN WILLIAMS 4837 FOUNTAIN HILL AZ	22.07
01/18	323 DESERT BUILDING MATER PHOENIX AZ	339.93
01/18	MARVEL NORTH PHOENIX AZ	73.10
01/19	PROFESSIONAL PLASTICS, IN 714-4485600 CA	81.23
01/20	INFLIGHT WI-FI : LTV 321-216-3303 FL	5.00
01/21	APL* ITUNES COM/BILL 866-712-7753 CA	4.99
01/23	AMAZON MKTPLACE PMTS AMZN COM/BILL WA	127.30
01/18	BERTS PAINT PHOENIX AZ	48.10

INTEREST CHARGED

01/25	PURCHASE INTEREST CHARGE	199.75
	TOTAL INTEREST FOR THIS PERIOD	$199.75

2015 Totals Year-to-Date	
Total fees charged in 2015	$0.00
Total interest charged in 2015	$199.75

Year-to-date totals do not reflect any fee or interest refunds you may have received.

INTEREST CHARGES

Your Annual Percentage Rate (APR) is the annual interest rate on your account.

Balance Type	Annual Percentage Rate (APR)	Balance Subject To Interest Rate	Interest Charges
PURCHASES			
Purchases	12.24% (v)	$12,216.33	$199.75
CASH ADVANCES			
Cash Advances	19.24% (v)	-0-	-0-
BALANCE TRANSFERS			
Balance Transfer	12.24% (v)	-0-	-0-

(v) = Variable Rate

31 Days In Billing Period

Please see Information About Your Account section for the Calculation of Balance Subject to Interest Rate, Annual Renewal Notice, How to Avoid Interest on Purchases, and other important information, as applicable.

29-Jun-18

29Jun18-191

COE.SCHWEIKERT.005491

CCC_0522

18-2234_0689

29-Jun-18 29Jun18-191

CHASE [redacted]

P.O. BOX 15123
WILMINGTON DE
19850 5123

Payment Due Date:	03/22/15
New Balance:	$10,423.90
Minimum Payment:	$287.00

Account number: [redacted]

$_____ Amount Enclosed
Make your check payable to: Chase Card Services

[redacted]
DAVID SCHWEIKERT
[redacted]

CARDMEMBER SERVICE
PO BOX 94014
PALATINE IL 60094-4014

[redacted]

MileagePlus UNITED

Manage your account online: www.chase.com/ulted
Customer Service: 1-800-537-7753
Mobile: Visit chase.com on your mobile browser.

ACCOUNT SUMMARY

Account Number: [redacted]

Previous Balance	$16,184.61
Payment Credits	-$10,192.30
Purchases	+$4,248.71
Cash Advances	$0.00
Balance Transfers	$0.00
Fees Charged	$0.00
Interest Charged	+$182.88
New Balance	$10,423.90
Opening/Closing Date	01/28/15 - 02/25/15
Credit Access Line	$24,000
Available Credit	$13,576
Cash Access Line	$4,800
Available for Cash	$4,800
Past Due Amount	$0.00
Balance over the Credit Access Line	$0.00

PAYMENT INFORMATION

New Balance	$10,423.90
Payment Due Date	03/22/15
Minimum Payment Due	$287.00

Late Payment Warning: If we do not receive your minimum payment by the date listed above, you may have to pay a late fee of up to $39.00 and your APR's will be subject to increase to a maximum Penalty APR of 29.99%.

Minimum Payment Warning: If you make only the minimum payment each period, you will pay more in interest and it will take you longer to pay off your balance. For example:

If you make no additional charges using this card and each month you pay...	You will pay off the balance shown on this statement in about...	And you will end up paying an estimated total of...
Only the minimum payment	23 years	$20,259
$348	3 years	$12,516 (Savings=$7,741)

If you would like information about credit counseling services, call 1-866-797-2885.

MILEAGEPLUS MILES EARNED

+ Miles earned this statement from purchases	4,057	Thank you for choosing the United MileagePlus Visa!
- Total miles earned this statement	4,057	Please visit www.united.com/chase to see all of your
Total miles transferred to United	4,057	redemption options! 1-800-421-4655 (MileagePlus)
Year-to-date miles earned on credit card	10,644	1-800-241-5522 (Reservations)

Your United Mileage Plus Visa allows you to earn unlimited miles for your everyday spend! You earn 1 mile for every $1 you spend on purchases. Add authorized users, and sign up to have your monthly bills charged to your card- why not get miles for all those purchases too?

ACCOUNT ACTIVITY

Date of Transaction	Merchant Name or Transaction Description	$ Amount
PAYMENTS AND OTHER CREDITS		
01/27	BUILD-CHARGE COM CHICO CA	-192.30
02/20	Payment Thank You - Web	-10,000.00
PURCHASES		
01/26	APL* ITUNES COM/BILL 866-712-7753 CA	9.85
01/27	BUILD-CHARGE COM 800-376-3403 CA	2,476.72
01/26	AT&T DATA 800-331-2500 GA	30.00
01/28	RB WAGNER INC 4142140444 WI	46.35
01/29	CIRCLE K 03745 SCOTTSDALE AZ	25.02
01/30	MODERUSTIC INC 909-9896129 CA	273.12
02/02	APL* ITUNES COM/BILL 866-712-7753 CA	9.77
02/03	UBER TECHNOLOGIES INC 866-576-1039 CA	45.21
02/05	RB WAGNER INC 4142140444 WI	17.30
02/06	BUILD-CHARGE COM 800-375-3403 CA	811.38

This Statement is a Facsimile - Not an original

29-Jun-18 29Jun18-191

Address Change Request

Please provide information below only if the address information on front is incorrect

Street Address: ____________________

City: ____________________

State ____ Zip ________

*Home Phone ____________ *Work Phone ____________

E-mail Address ____________________

*When you give us your mobile phone number, we have your permission to contact you at that number about all your Chase or J.P. Morgan accounts. Your consent allows us and companies working on our behalf to use text messaging, artificial or prerecorded voice messages and automatic dialing technology for informational and account service calls, but not for telemarketing or sales calls. Message and data rates may apply. You may contact us anytime to change these preferences.

To contact us regarding your account:

By Telephone:		Send Inquiries to:	Mail Payments to:	Visit Our Website:
In U.S.	1-800-237-7783	P.O. Box 15298	P.O. Box 94014	www.chase.com/united
Español	1-888-446-3308	Wilmington, DE 19850-5298	Palatine, IL 60094-4014	
TTY	1-800-955-8060			
Pay by phone	1-800-436-7958			
Outside U.S. call collect	1-847-888-6600			

Information About Your Account

Crediting of Payments: You may make payments by any of the options listed below. The amount of your payment should be at least your minimum payment due, payable in U.S. dollars and drawn on or payable through a U.S. financial institution or the U.S. branch of a foreign financial institution. You can pay down your balance faster by paying more than the minimum payment or the total unpaid balance on your account.

You may make payments by regular U.S. mail. Send your payment to the Payments address shown on this statement. Your payments by mail must comply with the instructions on this statement. Do not send cash. Write your Account number on your check or money order. [illegible]

You may make payments electronically through our website shown on this statement. [illegible]

For all other payments or for any payment type above for which you do not follow our payment instructions, crediting of your payments may be delayed for up to 5 days.

Account Information Reported to Credit Bureaus: [illegible]

Notice About Electronic Check Conversion: When you pay by check, you authorize us either to use information from your check to make a one-time electronic fund transfer from your account or to process the payment as a check transaction. [illegible]

Conditional Payments: [illegible]

Annual Renewal Notice: [illegible]

Calculation of Balance Subject to Interest Rate: [illegible]

We calculate periodic interest charges separately for each feature (for example, purchases, balance transfers, cash advances, or overdraft advances). [illegible]

[illegible]

How to Avoid Paying Interest on Purchases: [illegible]

What To Do If You Think You Find A Mistake On Your Statement

[illegible]

In your letter, give us the following information:

- Account information: Your name and account number.
- Dollar amount: The dollar amount of the suspected error.
- Description of Problem: [illegible]

You must contact us within 60 days after the error appeared on your statement.

[illegible]

While we investigate whether or not there has been an error, the following are true:

- [illegible]
- [illegible]
- [illegible]
- [illegible]

Your Rights If You Are Dissatisfied With Your Credit Card Purchases

[illegible]

To use this right, all of the following must be true:

1. [illegible]
2. [illegible]
3. [illegible]

[illegible]

[illegible]

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29-Jun-18 29Jun18-191

Mileage Plus UNITED

Manage your account online: www.chase.com/united

Customer Service: 1.800.537.2751

Mobile: Visit chase.com on your mobile browser

ACCOUNT ACTIVITY (CONTINUED)

Date of Transaction	Merchant Name or Transaction Description	$ Amount
02/10	APL* ITUNES COM/BILL 866-712-7753 CA	14.14
02/10	SOUTHWES [redacted] X	5.50
02/11	SOUTHWES [redacted] X	5.50
02/10	SOUTHWES [redacted] X	5.80
02/11	SOUTHWES [redacted] X	5.80
02/15	APL* ITUNES COM/BILL 866-712-7753 CA	8.70
02/17	APL* ITUNES COM/BILL 866-712-7753 CA	17.38
02/16	Amazon.com AMZN COM/BILL WA	21.77
02/16	AMAZON MKTPLACE PMTS AMZN COM/BILL WA	26.28
02/17	AMAZON MKTPLACE PMTS AMZN COM/BILL WA	16.78
02/17	AMAZON MKTPLACE PMTS AMZN COM/BILL WA	19.00
02/18	THAVELERS PERS INS [redacted]	319.91
02/22	FRYS FUEL # 7021 SCOTTSDALE AZ	25.61
02/22	TERRIBLES 4201 PARKER AZ	10.03

INTEREST CHARGED

02/25	PURCHASE INTEREST CHARGE	162.88
	TOTAL INTEREST FOR THIS PERIOD	$162.88

2015 Totals Year-to-Date	
Total fees charged in 2015	$0.00
Total interest charged in 2015	$302.63

Year-to-date totals do not reflect any fee or interest refunds you may have received.

INTEREST CHARGES

Your Annual Percentage Rate (APR) is the annual interest rate on your account.

Balance Type	Annual Percentage Rate (APR)	Balance Subject To Interest Rate	Interest Charges
PURCHASES			
Purchases	12.24% (v)	$17,594.17	$162.88
CASH ADVANCES			
Cash Advances	19.24% (v)	-0-	-0-
BALANCE TRANSFERS			
Balance Transfer	12.24% (v)	-0-	-0-

(v) = Variable Rate 31 Days in Billing Period

Please see Information About Your Account section for the Calculation of Balance Subject to Interest Rate, Annual Renewal Notice, How to Avoid Interest on Purchases, and other important information, as applicable.

DAVID SCHWEIKERT This Statement is a Facsimile - Not an original Statement Date: 02/25/18

29-Jun-18 29Jun18-191

29-Jun-18 29Jun18-191

CHASE

[redacted]

P.O. BOX 15123
WILMINGTON, DE
19850-5123

Payment Due Date:	04/22/15
New Balance:	$306.67
Minimum Payment:	$52.00

Account number: [redacted]

$ _____ Amount Enclosed
Make your check payable to: Chase Card Services

[redacted]

CARDMEMBER SERVICE
PO BOX 94014
PALATINE IL 60094-4014

[redacted]

Mileage Plus UNITED

Manage your account online: www.chase.com/united

Customer Service: 1-800-937-7783

Mobile: Visit chase.com on your mobile browser.

ACCOUNT SUMMARY

Account Number: [redacted]

Previous Balance	$10,423.90
Payment Credits	-$14,427.98
Purchases	+$4,261.64
Cash Advances	$0.00
Balance Transfers	$0.00
Fees Charged	$0.00
Interest Charged	+$49.00
New Balance	$306.67
Opening/Closing Date	03/26/15 - 03/25/15
Credit Access Line	$24,000
Available Credit	$23,693
Cash Access Line	$4,800
Available for Cash	$4,800
Past Due Amount	$0.00
Balance over the Credit Access Line	$0.00

PAYMENT INFORMATION

New Balance	$306.67
Payment Due Date	04/22/15
Minimum Payment Due	$52.00

Late Payment Warning: If we do not receive your minimum payment by the date listed above, you may have to pay a late fee of up to $35.00 and your APR's will be subject to increase to a maximum Penalty APR of 29.99%.

Minimum Payment Warning: If you make only the minimum payment each period, you will pay more in interest and it will take you longer to pay off your balance. For example:

If you make no additional charges using this card and each month you pay	You will pay off the balance shown on this statement in about	And you will end up paying an estimated total of
Only the minimum payment	12 months	$326

If you would like information about credit counseling services, call 1-866-797-2685

YOUR ACCOUNT MESSAGES

We hope you enjoy all the benefits your card has to offer and we appreciate your business. Your annual membership fee in the amount of $60.00 will be billed on 05/01/2015. There is a transaction fee for each balance transfer and cash advance in the amount of $.00% or $5.00 minimum per balance transfer and 3.00% or $10.00 minimum per cash advance. Please see the Annual Renewal Notice section of your statement disclosures for more information.

MILEAGEPLUS MILES EARNED

+ Miles earned this statement from purchases	4,217	Thank you for choosing the United MileagePlus Visa!
- Total miles earned this statement	4,217	Please visit www.united.com/chase to see all of your
Total miles transferred to United	4,217	redemption options! 1-800-421-4655 (MileagePlus)
Year-to-date miles earned on credit card	14,861	1-800-241-6522 (Reservations)

Your United Mileage Plus Visa allows you to earn unlimited miles for your everyday spend! You earn 1 mile for every $1 you spend on purchases. Add authorized users, and sign up to have your monthly bills charged to your card- why not get miles for all those purchases too?

ACCOUNT ACTIVITY

Date of Transaction	Merchant Name or Transaction Description	$ Amount
PAYMENTS AND OTHER CREDITS		
02/28	BUILD-CHARGE.COM CHICO CA	-45.57
03/12	Payment Thank You - Web	-14,382.39
PURCHASES		
02/24	SHELL OIL 93004138862 FOUNTAIN HLS AZ	10.10
02/25	AT&T DATA 800-331-0500 GA	30.00
02/27	BUILD-CHARGE.COM 800-375-3403 CA	371.10
02/26	RA RAYBURN [redacted] WASHINGTON DC	31.10
02/27	GRAND CYN NP-PERMITS F6 FLAGSTAFF AZ	70.00
02/28	BUILD-CHARGE.COM 800-375-3403 CA	646.65
02/28	INSPIRED LED, LLC 480-941-4286 AZ	1,853.64

This Statement is a Facsimile - Not an original

[redacted]

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28-Jun-18 29Jun18-191

Address Change Request

Please provide information below only if the address information on file is incorrect.

Street Address: _____

City: _____

State: _____ Zip _____

*Home Phone: _____ *Work Phone: _____

E-mail Address: _____

*When you give us your mobile phone number, we have your permission to contact you at that number about all your Chase or J.P. Morgan accounts. Your consent allows us, and companies working on our behalf, to use text messaging, artificial or prerecorded voice messages and automatic dialing technology for informational and account service calls, but not for telemarketing or sales calls. Message and data rates may apply. You may contact us anytime to change these preferences.

To contact us regarding your account:

By Telephone:
In U.S. 1-800-527 7783
Español 1-800-446-3303
TTY 1-800-955-8060
Fax by phone 1-800-436-7956
Outside U.S. call collect 1-847-685-4600

Send Inquiries to:
P.O. Box 15298
Wilmington, DE 19850-5298

Mail Payments to:
P.O. Box 94014
Palatine, IL 60094-4014

Visit Our Website:
www.chase.com/united

Information About Your Account

Crediting of Payments. You may make payments by any of the methods listed below. The amount of your payment should be at least your minimum payment. Use specific U.S. dollars and drawn on eligible financial institutions. Payments must be made in the U.S. by check or a foreign financial institution. You can pay more than the minimum payment by paying more than the minimum payment on the bill, check further on your account.

You may make payments by regular U.S. mail. Send your payment to the Payments address shown on the statement. Your payment by mail must comply with the instructions on the statement. Do not send cash. Write your Account number on your check or money order. Payments must be accompanied by the payment coupon, or the envelope provided with our address which through the envelope window, the envelope cannot contain more than one payment or coupon and there can be no staples, paper clips, tape or envelopes included with your payment. If your payment is acceptable we will put payment instructions and is made available to us every day by 5:00 p.m. local time at our Payments address on the statement, we will credit the payment to your Account as of that day. If your payment is in acceptable form but contains deficiencies, but is made available to us after 5:00 p.m. local time at the Payments address on this document, we will credit it to your Account as of the next calendar day.

You may make payments electronically through our website (www.chase.com/united). If we receive your permitted request on our website by 8 p.m. Eastern Time, we will credit your payment as of that day. If we receive your request after 8 p.m. Eastern Time, we will credit your payment as of the next calendar day. If you specify a future date in your request we will credit your payment as of that day.

For all other payments or for any payment instructions not when we are not following our payment instructions, crediting of your payment may be delayed for up to 5 days.

Account Information Reported to Credit Bureaus. We may report information about your Account to credit bureaus. Late payments, missed payments or other defaults on your Account may be reflected in your credit report. If you think we have reported inaccurate information to a credit bureau, you may write us at the address without, details on the statement.

Notice About Electronic Check Conversion. When you pay by check, you authorize us either to use information from your check to make a one-time electronic fund transfer from your account or to process the payment as a check transaction. When we use information from your check to make an electronic fund transfer, funds may be withdrawn from your account as soon as the same day we receive your payment, and you will not receive your check back from your financial institution. Call the Customer Service number on the statement if you have questions about electronic check collection or do not want your payments collected electronically.

Conditional Payments. Any payment check or other form of payment that you send with less than the full balance due that is marked "paid in full" or contains a similar notation, or that you otherwise tender in full satisfaction of a disputed amount, must be sent to Card Services, P.O. Box 15298, Wilmington, DE 19850-5298. We reserve all our rights regarding these payments (e.g., if it is determined there is no valid debate or if any such check is received in any other address, we may accept the check and you will still owe any remaining balance). We may refuse to accept any such payment by returning it to you, not cashing it or continuing it. All other payments that you make should be sent to the regular Payment address shown on this statement.

Annual Renewal Notice. If your Account Agreement has an annual membership fee and/or similar charge for issuance or availability of your account, it will be billed each year or in monthly or quarterly installments. The fee and/or charge is based whether or not you use your Account, and you agree to pay them when billed. The annual fee and charge are non-refundable unless you notify us that you wish to close your account within 30 days or one billing cycle (whichever is less) after we provide the statement on which the annual fee or charge is billed and at the same time, you pay your outstanding balance in full. If you do this, for a charge billed more often than annually such as a monthly balance charge, you will not owe the last billed charge; however, prior billed charges are non-refundable and must be paid as part of paying your outstanding balance in full. Your payment of the annual fee or charge does not affect our rights to close your Account and to limit your right to make transactions on your Account. If your Account is closed by you or us, we will continue to impose the annual fee and/or charge until you pay your outstanding balance in full and terminate your Account relationship.

Calculation of Balance Subject to Interest Rate. To figure your periodic interest charges for each billing cycle when a daily periodic rate is applied, we use the daily balance method (including new transactions). To figure your periodic interest charges for each billing cycle when a monthly periodic rate is applied, we use the average daily balance method (including new transactions). For an explanation of either method, or questions about a particular interest charge calculation on your statement, please call us at the toll-free customer service phone number listed above.

We calculate periodic interest charges separately for each balance (for example, purchases, balance transfers, cash advances or overdraft advances). These calculations may combine different categories with the same periodic rates. Variable rates will vary with the market based on the Prime Rate or such index described in your Account Agreement. There is a transaction fee for each balance transfer, cash advance, or check transaction in the amount stated in your Account Agreement. There is a foreign transaction fee of 3% of the U.S. dollar amount on any foreign expenses for some accounts. Please see your Account Agreement for information about these fees.

Daily balances are fees in your daily balance no earlier than:

1) the date of the transaction – for new purchases, balance transfers, overdraft advances or cash advances;

2) one date the payee deposits the check – for later cash advance checks or balance transfer checks;

3) the date of a related transaction the date they are posted to your account or the last day of the billing cycle, whichever we may choose – for fees.

How to Avoid Paying Interest on Purchases: Your due date will be a minimum of 21 days after the close of each billing cycle. If you pay your account in full each billing period by the date and time that we received a charge on new purchases month to month, and we will not impose interest charges on any portion of a purchase balance your new while that balance is subject to an interest free period. Subject to any interest free period for new purchases, we will begin charging interest from the date a transaction (including any balance transfer, cash advance or overdraft advance), fee or interest charge is added to your daily balance until your account is paid in full. Because we apply payments in excess of your minimum payment first to higher rate balances, you may not be able to avoid interest charges on new purchases if you have another balance at a higher interest rate when you pay your balance in full each month.

What To Do If You Think You Find a Mistake On Your Statement.

If you think there is an error on your statement, write to us on a separate sheet at Customer Service, P.O. Box 15298 Wilmington, DE 19850-5298. You may also contact us on the web at chase.com.

In your letter, give us the following information:

- Account information: Your name and account number.
- Dollar amount: The dollar amount of the suspected error.
- Description of Problem: If you think there is an error on your bill, describe what you believe is wrong and why you believe it is a mistake.

You must contact us within 60 days after the error appeared on your statement.

You must notify us of any potential errors in writing or on the web at chase.com. You may call us, but if you do we are not required to investigate any potential errors and you may have to pay the amount in question.

While we investigate whether or not there has been an error, the following are true:

- We cannot try to collect the amount in question, or report you as delinquent on that amount.
- The charge in question may remain on your statement, and we may continue to charge you interest on that amount. But if we determine that we made a mistake, you will not have to pay the amount in question or any interest or other fees related to that amount.
- While you do not have to pay the amount in question, you are responsible for the remainder of your balance.
- We can apply any unpaid amount against your credit limit.

Your Rights If You Are Dissatisfied With Your Credit Card Purchases

If you are dissatisfied with the goods or services that you have purchased with your credit card, and you have tried in good faith to correct the problem with the merchant, you may have the right not to pay the remaining amount due on the purchase.

To use this right, all of the following must be true:

1. The purchase must have been made in your home state or within 100 miles of your current mailing address, and the purchase price must have been more than $50. (Note: Neither of these are necessary if your purchase was based on an advertisement we mailed to you, or if we own the company that sold you the goods or services.)
2. You must have used your Credit card for the purchase. Purchases made with cash advances from an ATM or with a check that accesses your credit card account do not qualify.
3. You must not yet have fully paid for the purchase.

If all of the criteria above are met and you are still dissatisfied with the purchase, contact us in writing at Customer Service, P.O. Box 15298 Wilmington, DE 19850-5298 or on the web at chase.com.

While we investigate, the same rules apply to the disputed amount as discussed above. After we finish our investigation, we will tell you our decision. At that point, if we think you owe an amount and you do not pay we may report you as delinquent.

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29-Jun-18 29Jun18-191

Mileage Plus UNITED

Manage your account online: www.chase.com/united

Customer Service: 1-800-537-7783

Mobile: Visit chase.com on your mobile browser

ACCOUNT ACTIVITY (CONTINUED)

Date of Transaction	Merchant Name or Transaction Description	$ Amount
03/03	APL* ITUNES.COM/BILL 866-712-7753 CA	16.32
03/03	INFLIGHT WI-FI [redacted]	3.59
03/03	INFLIGHT WI-FI [redacted]	3.59
03/02	SHELL OIL 93004138662 FOUNTAIN HLS AZ	10.02
03/03	BUILD CHARGE.COM 800 375 3403 CA	98.27
03/06	MOES ORIGINAL BBQ VAIL VAIL CO	28.85
03/06	BUILD CHARGE.COM 800 375 3403 CA	112.40
03/06	SHELL OIL 93004138662 FOUNTAIN HLS AZ	10.03
03/06	CIRCLE K 06558 TOLLESON AZ	11.21
03/09	AMAZON MKTPLACE PMTS AMZN COM/BILL WA	19.45
03/07	ALAMO RENT-A-CAR DENVER CO	200.28
03/07	TERRIBLES #201 PARKER AZ	15.31
03/09	DOLLAR RAC LAS000 LAS VEGAS NV	344.04
03/10	Amazon.com AMZN COM/BILL WA	6.50
03/09	AMAZON MKTPLACE PMTS AMZN COM/BILL WA	26.05
03/08	AMAZON MKTPLACE PMTS AMZN COM/BILL WA	7.75
03/10	AMAZON MKTPLACE PMTS AMZN COM/BILL WA	33.75
03/10	AMAZON MKTPLACE PMTS AMZN COM/BILL WA	42.46
03/10	CGP PARKING METER PHOENIX AZ	1.50
03/11	AMAZON MKTPLACE PMTS AMZN COM/BILL WA	5.96
03/12	TRADER JOE'S #067 OPS SCOTTSDALE AZ	60.46
03/15	APL* ITUNES.COM/BILL 866-712-7753 CA	16.28
03/14	PALISADES VETERINARY HOSP FOUNTAIN HLS AZ	32.49
03/16	HARRIS TEETER #0282 WASHINGTON DC	47.95
03/20	MARVEL NORTH PHOENIX AZ	38.81
03/19	SOUTHWES [redacted]00-435-9792 TX	11.20
	1 R MDW DCA	
	2 OD DCA MDW	
	3 OW MDW PHX	
03/19	PORTILLO'S HOT DOGS SCOTTSDALE AZ	12.23
03/22	CR *CONSUMERREPORTS CR 800-333-0953 NY	30.00

INTEREST CHARGED

03/25	PURCHASE INTEREST CHARGE	49.09
	TOTAL INTEREST FOR THIS PERIOD	$49.09

2015 Totals Year-to-Date	
Total fees charged in 2015	$0.00
Total interest charged in 2015	$451.72

Year-to-date totals do not reflect any fee or interest refunds you may have received.

INTEREST CHARGES

Your Annual Percentage Rate (APR) is the annual interest rate on your account.

Balance Type	Annual Percentage Rate (APR)	Balance Subject To Interest Rate	Interest Charges
PURCHASES			
Purchases	12.24% (v)	5,328.28	$49.09
CASH ADVANCES			
Cash Advances	19.24% (v)	-0-	-0-
BALANCE TRANSFERS			
Balance Transfer	12.24% (v)	-0-	-0-

(v) = Variable Rate 28 Days in Billing Period

Please see Information About Your Account section for the Calculation of Balance Subject to Interest Rate, Annual Renewal Notice, How to Avoid Interest on Purchases, and other important information, as applicable.

DAVID SCHWEIKERT This Statement is a Facsimile - Not an original Statement Date: 03/25/15

29-Jun-18

25Jun18-191

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29-Jun-18 29Jun18-191

CHASE [redacted]

P.O. BOX 15153
WILMINGTON DE
19850-5153

Payment Due Date: 05/22/15
New Balance: $188.60
Minimum Payment: $25.00
Account number: [redacted]

$_____ Amount Enclosed
Make your check payable to: Chase Card Services

[redacted]
DAVID SCHWEIKERT
[redacted]

CARDMEMBER SERVICE
PO BOX 94014
PALATINE IL 60094-4014

[redacted]

Mileage Plus UNITED

Manage your account online: www.chase.com/united | Customer Service: 1-800-537-7783 | Mobile: Visit chase.com on your mobile browser

ACCOUNT SUMMARY

Account Number: [redacted]

Previous Balance	$306.97
Payment, Credits	-$415.93
Purchases	+$293.56
Cash Advances	$0.00
Balance Transfers	$0.00
Fees Charged	$6.00
Interest Charged	$0.00
New Balance	$188.60
Opening/Closing Date	03/26/15 - 04/25/15
Credit Access Line	$24,000
Available Credit	$23,813
Cash Access Line	$4,800
Available for Cash	$4,800
Past Due Amount	$0.00
Balance over the Credit Access Line	$0.00

PAYMENT INFORMATION

New Balance	$188.60
Payment Due Date	05/22/15
Minimum Payment Due	$25.00

Late Payment Warning: If we do not receive your minimum payment by the date listed above, you may have to pay a late fee of up to $35.00.

Minimum Payment Warning: If you make only the minimum payment each period, you will pay more in interest and it will take you longer to pay off your balance. For example:

If you make no additional charges using this card and each month you pay...	You will pay off the balance shown on this statement in about...	And you will end up paying an estimated total of...
Only the minimum payment	8 months	$196

If you would like information about credit counseling services, call 1-866-797-2885.

MILEAGEPLUS MILES EARNED

+ Miles earned this statement from purchases	187	Thank you for choosing the United MileagePlus Visa!
= Total miles earned this statement	187	Please visit www.united.com/chase to see all of your
Total miles transferred to United	187	redemption options! 1-800-421-4655 (MileagePlus)
Year-to-date miles earned on credit card	15,048	1-800-241-6522 (Reservations)

Your United Mileage Plus Visa allows you to earn unlimited miles for your everyday spend! You earn 1 mile for every $1 you spend on purchases. Add authorized users, and sign up to have your monthly bills charged in your card- why not get miles for all those purchases too?

ACCOUNT ACTIVITY

Date of Transaction	Merchant Name or Transaction Description	$ Amount
PAYMENTS AND OTHER CREDITS		
04/03	BULD CHARGE COM CHICO CA	-92.00
04/04	Amazon.com AMZN.COM/BILL WA	-4.63
04/04	Amazon.com AMZN.COM/BILL WA	-8.62
04/21	Payment Thank You - Web	-306.97
PURCHASES		
03/26	APL* ITUNES.COM/BILL 866-712-7753 CA	9.64
03/27	AT&T DATA 800-331-0500 GA	30.00
04/02	Amazon.com AMZN.COM/BILL WA	113.34
04/03	Amazon.com AMZN.COM/BILL WA	113.94
04/10	INFLIGHT Wi-Fi [redacted]	3.99
04/09	APL* ITUNES.COM/BILL 866-712-7753 CA	8.52
04/12	INFLIGHT Wi-Fi [redacted]	7.98
04/13	APL* ITUNES.COM/BILL 866-712-7753 CA	2.81
04/16	APL* ITUNES.COM/BILL 866-712-7753 CA	8.24

This Statement is a Facsimile - Not an original

29-Jun-18 29Jun18-191

Address Change Request

Please provide information below only if the address information on front is incorrect

Street Address: _____

City: _____

State _____ Zip _____

*Home Phone _____ *Work Phone _____

E-mail Address: _____

*When you give us your mobile phone number, we have your permission to contact you at that number about all your Chase or J.P. Morgan accounts. Your consent allows us and companies working on our behalf to use text messaging, artificial or prerecorded voice messages and automatic dialing technology for informational and account service calls, but not for telemarketing or sales calls. Message and data rates may apply. You may contact us anytime to change these preferences.

To contact us regarding your account:

By Telephone:
In U.S. 1-800-297-7783
Español 1-888-449-3308
TTY 1-800-955-8045
Pay by phone: 800-436-7958
Dispute U.S. call direct 1-847-888-6609

Send inquiries to:
P.O. Box 15298
Wilmington, DE 19850-5298

Mail Payments to:
P.O. Box 94014
Palatine, IL 60094-4014

Visit Our Website:
www.chase.com/ink

Information About Your Account

Crediting of Payments. We will credit payments by any of the options listed below. We are not liable for payments sent to us at addresses not listed. Payments in U.S. dollars and drawn on banks or through U.S. financial institutions in the U.S. Banks or foreign financial institutions. We can pay down balances from time to time by paying more than the minimum payment or the total unpaid balance on your account.

You may make payments by regular U.S. mail. Send your payment to the Payments address shown on this statement. Your payments by mail must comply with the requirements on this statement. Do not send cash. Write your Account number on your check or money order. Payments must be accompanied by the payment coupon in the envelope provided with our address visible through the window provided. We recommend sending payments more than one payment on coupon, and there can be no staples, paper clips, tape or envelopes included with your payment. If your payment fails to comply with our payment instructions and is made and less than or equal to $50 or is received at our Payments address on the statement, we will credit the payment to your Account as of that day. If your payment is in compliance with our payment instructions but is made available to us after 5:00 p.m. local time at the Payments address on this statement, we will credit it to your Account as of the next business day.

You may make payments electronically through our website www.chase.com. Your payment will be treated as received if we receive your electronic request on our website by 8 p.m. Eastern Time, we will credit your payment as of that day. If we receive your request after 8 p.m. Eastern Time, we will credit your payment as of the next business day. If you specify a future date in your request we will credit your payment as of that day.

For all other payments or for any payment type listed below which you do not follow our payment instructions, credit of your payments may be delayed for up to 5 days.

Account Information Required to Credit Balance. We may request information about your Account to credit balance. Late payments, missed payments or other defaults on your Account may be reflected in your credit report. If you think we have reported inaccurate information to a credit bureau, you may write us at the address shown on this statement.

Notice About Electronic Check Conversion. When you pay by check, you authorize us either to use information from your check to make a one-time electronic fund transfer from your account or to process the payment as a check transaction. When we use information from your check to make an electronic fund transfer, funds may be withdrawn from your account as soon as the same day we receive your payment, and you will not receive your check back from your financial institution. Call the Customer Service number on this statement if you have questions about a returned check conversion or do not want your payments converted electronically.

Credit Card Payments. Any payment check or other form of payment that you send us for less than the full balance due that is marked "paid in full" or contains a similar notation, or that you otherwise render in full satisfaction of a disputed amount, must be sent to Card Services, P.O. Box 15298, Wilmington, DE 19850-5298. We reserve all our rights regarding these payments (e.g., if it is determined there is no valid debt or if any such check is received at any other address, we may accept the check and you will still pay the remaining balance). We may refuse to accept any back payment by returning it to you, not cashing it or destroying it. All other payments that you make should be sent to the regular Payment address shown on this statement.

Annual Renewal Billing. If your Account Agreement has an annual membership fee and/or annual charge for renewal or availability of your account, it will be billed each year on a monthly or quarterly basis/periods. This fee and/or charge are owed whether or not you use your Account, and you agree to pay them when billed. The annual fee and charge are non-refundable unless you notify us that you want to close your account within 30 days of any billing cycle beginning in 2013 after we process the statement on which the annual fee or charge is billed and at the same time, you pay your outstanding balance in full. If you do this, for a charge billed more often than annually such as a monthly service charge, you will not owe the last billed charge. However, your billed charge is non-refundable and must be paid as part of paying your outstanding balance in full. Your payment of the annual fee or charge does not affect our rights to close your Account and terminate your right to make transactions on your Account. If your Account is closed by you or us, we will continue to impose the annual fee and/or charge until you pay your outstanding balance in full and terminate your Account relationship.

Calculation of Balance Subject to Interest Rate. To figure your periodic interest charges for each billing cycle when a daily periodic rate applies, we use the daily balance method (including new transactions). To figure your periodic interest charges for each billing cycle when a monthly periodic rate is applied, we use the average daily balance method (including new transactions). For an explanation of other methods or questions about a particular interest charge contact us at the address of other methods, or questions about a particular interest charge contact us at the address of other methods, or at the toll free customer service phone number listed above.

We calculate periodic interest charges separately for each balance (for example, purchases, balance transfers, cash advances or overdraft advances). These calculations may combine different categories with the same periodic rate. Variable rates will vary with the market based on the Prime Rate or such rates given in your Account Agreement. There is a transaction fee for each balance transfer, cash advance, or check transaction and for other fees as stated in your Account Agreement. There is a foreign transaction fee of 3% of the U.S. dollar amount of any transaction for foreign purchases for some accounts. Contact us for more information about these fees.

When transactions and fees are posted to balances on your bill

1) the date of the transaction for new purchases, balance transfers, cash advances or cash advances
2) the date the bank deposits the check – for new checks, or checks made to deposit credit to credit
3) the date of a refund – usually the date they are posted to your account or the last day of the billing cycle whichever is more effective – for fees

How to Avoid Paying Interest on Purchases. Your due date will be a minimum of 21 days after the close of each billing cycle. If by the end of your billing cycle you have paid the total new balance shown on your statement, you'll owe no interest charges on any purchases you made during the billing cycle. Your Account Agreement explains how we calculate interest charges when you do not pay your balance in full. Because we apply payments in excess of your minimum payment first to higher rate balances, you may not be able to avoid interest charges on new purchases if you have pre-paid balances at a higher interest rate unless you pay your balance in full each month.

What To Do If You Think You Find A Mistake On Your Statement

If you think there is an error on your statement, write to us on a separate sheet at Customer Service, P.O. Box 15298, Wilmington, DE 19850-5298. You may also contact us on the web at chase.com.

In your letter, give us the following information:

- Account information: Your name and Account number
- Dollar amount: The dollar amount of the suspected error
- Description of Problem: If you think there is an error on your bill, describe what you believe is wrong and why you believe it is a mistake

You must contact us within 60 days after the error appeared on your statement.

You must notify us of any potential errors in writing or on the web at chase.com. You may call us, but if you do we are not required to investigate any potential errors and you may have to pay the amount in question.

While we investigate whether or not there has been an error, the following will hold:

- We are not required to collect the amount indicated on your statement or any part of that amount.
- The charge in question may remain on your statement, and we may continue to charge you interest on that amount. But, if we determine that we made a mistake, you will not have to pay the amount in question or any interest or other fees related to that amount.
- While you do not have to pay the amount in question, you are responsible for the remainder of your balance.
- We can apply any unused amount against your credit line.

Your Rights If You Are Dissatisfied With Your Credit Card Purchases

If you are dissatisfied with the goods or services that you have purchased with your credit card, and you have tried in good faith to correct the problem with the merchant, you may have the right not to pay the remaining amount due on the purchase.

To use this right, all of the following must be true:

1. The purchase must have been made in your home state or within 100 miles of your current mailing address, and the purchase price must have been more than $50. (Note: Neither of these are relevant if your purchase was based on an advertisement we mailed to you, or if we are the company that sells you the goods or services.)
2. You must have used your credit card for the purchase. Purchases made with cash advances from an ATM or with a check that accesses your credit card Account do not qualify.
3. You must not yet have fully paid for the purchase.

If all of the criteria above are met and you are still dissatisfied with the purchase, contact us in writing at Customer Service, P.O. Box 15298, Wilmington, DE 19850-5298 or on the web at chase.com.

While we investigate, the same rules apply as the disputed amount as discussed above. After we finish our investigation, we will tell you our decision. At that point, if we think you owe an amount and you do not pay we may report you, as delinquent.

WA1117/2013

29-Jun-18 29Jun18-188

[redacted]

ink.
for CHASE
P.O. BOX 15123
WILMINGTON, DE
19850-5123

Get updates on the go
Log on to chase.com/alerts

Payment Due Date:	12/28/14
New Balance:	$12,210.68
Minimum Payment:	$244.00

Account number: [redacted]

$ _____ Amount Enclosed
Make your check payable to: Chase Card Services

[redacted]
DAVID SCHWEIKERT
[redacted]

CARDMEMBER SERVICE
PO BOX 94014
PALATINE IL 60094-4014

[redacted]

BUSINESS CARD STATEMENT

Manage your account online: www.ink.com/businesscards
Customer Service: 1-800-348-5328
Mobile: Visit chase.com on your mobile browser

ACCOUNT SUMMARY

Account Number: [redacted]

Previous Balance	$8,937.67
Payment, Credits	-$3,000.00
Purchases	+$5,126.26
Cash Advances	$0.00
Balance Transfers	$0.00
Overdrafts	$0.00
Fees Charged	$0.00
Interest Charged	+$86.73
New Balance	$12,210.68
Opening/Closing Date	11/07/14 - 12/05/14
Credit Limit	$15,000
Available Credit	$2,789
Cash Access Line	$3,000
Available for Cash	$2,789
Past Due Amount	$0.00
Balance over the Credit Limit	$0.00

PAYMENT INFORMATION

New Balance	$12,210.68
Payment Due Date	12/28/14
Minimum Payment Due	$244.00

Late Payment Warning: If we do not receive your minimum payment by the due date, you may have to pay up to a $39 late fee.

Minimum Payment Warning: Enroll in Auto-Pay and avoid missing a payment. To enroll, call the number on the back of your card or go to the web site listed above.

INK CASH(SM) POINT SUMMARY

Previous points balance	41,852
+ 1 Point per $1 earned on all purchases	8,127
+ 2Pts/$1 gas stns, rstnts, etc apply, hm impr	4,000
+ Points earned through Chase Rewards Plus	0
= Total points available for redemption	51,179

30 Points will expire on statement in June 2015

You have reached the maximum point accumulation for this statement period for additional points on Net Purchases at restaurants, gas stations, office supply stores and home improvement stores. The amount of Net Purchases that can earn additional points each statement period is $2,000 which equates to 4,000 additional points.

ACCOUNT ACTIVITY

Date of Transaction	Merchant Name or Transaction Description	$ Amount
11/12	SHERWIN WILLIAMS #8637 FOUNTAIN HILL AZ	130.83
11/12	MODERUSTIC INC 909 6896129 CA	220.53
11/12	SHERWIN WILLIAMS #8637 FOUNTAIN HILL AZ	49.68
11/14	INSPIRED LED, LLC 480-941-4286 AZ	330.74
11/21	FB P-C INS [redacted]	114.50
11/26	VISTAPR VistaPrint.com 866-6146002 CA	118.81
12/01	INSPIRED LED, LLC 480-941-4286 AZ	1,656.79
12/03	CITY OF PHX WATER SRVS [redacted] AZ JOYCE R SCHWEIKERT TRANSACTIONS THIS CYCLE (CARD [redacted] $3,312.73	58.75
11/21	Payment Thank You - Web	-3,000.00
11/07	TOTAL WINE AND MORE 1007 SCOTTSDALE AZ	70.66
11/08	JEFFY LUBE #1980 FOUNTAIN HILL AZ	77.47
11/07	SHERWIN WILLIAMS #8637 FOUNTAIN HILL AZ	14.49

This Statement is a Facsimile - Not an original

[redacted]

COE.SCHWEIKERT.005502

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18-2234_0700

29-Jun-18

29Jun18-188

Address Change Request

Please provide information below only if the address information on file is incorrect.

Street Address _____

City _____

State _____ Zip _____

*Home Phone _____ *Work Phone _____

E-mail Address _____

*When you give us your mobile phone number, we have your permission to contact you at that number about all your Chase or J.P. Morgan accounts. Your consent allows us and companies working on our behalf to use text messaging, artificial or prerecorded voice messages and automatic dialing to contact you for informational and account service calls. Text and telephone rates may apply. You may contact us anytime to change these preferences.

To contact us regarding your account:

By Telephone*
In U.S. 1-800-348-5338
Espanol 1-888-765-6274
TTY 1-800-955-8050
Fax by phone 1-800-430-7959
Outside U.S. Call collect
1-713-750-7089

Send Inquiries to:
P.O. Box 15298
Wilmington, DE 19850-5298

Mail Payments to:
P.O. Box 94014
Palatine, IL 60094-4014

Visit Our Website:
www.chase.com/personal/cards

Information About Your Account

Crediting of Payments. You may make payments by any of the options in the table. The amount of your payment will be as set forth in your monthly statement. Payments in U.S. dollars and drawn on a bank through a U.S. financial institution or the U.S. branch of a foreign financial institution.

You may make payments by mail. (U.S. only) Send your payment in the Payments address shown on this statement. Your payments by mail must arrive with the instructions on the statement. Do not send cash. Write your Account number on your check or money order. Be sure there are no errors in addressing the payment envelope. Use the envelope provided with the payment coupon. Insert the payment coupon in the envelope provided with the payment coupon through the payment window. Do not staple, clip, tape or in any manner attach your other payments. If your payment is in compliance with our payment instructions and is made available to us on any Day by 2:00 p.m. local time at our Payments address on the statement, we will credit the payment to your Account as of that day. If your payment is in accordance with our payment instructions, but is made available to us after 2:00 p.m. local time at the Payments address on this statement, we will credit it to your Account as of the next calendar day.

You may make payments electronically through our website shown on the statement. If we receive your electronic request on our website by 8 p.m. Eastern Time, we will credit your payment as of that day. If we receive your request after 8 p.m. Eastern Time, we will credit your payment as of the next calendar day. If you submit a future date or post request, we will credit your payment on that day.

For all other payments in this payment type section by which you do not follow the payment instructions, crediting of your payments may be delayed for up to 5 days.

Automatic Payments Deducted Into Checking Accounts. You may set up an automatic payment deducted from your checking account. Any payments, fees and payments or other credits on your Account may be collected or your check cashed. If you check with us regarding automatic payments to a credit bureau, you may write to us at the business address shown on this statement.

Notice About Electronic Check Conversion. When you pay by check, you authorize us either to use information from your check to make a one-time electronic fund transfer from your account or to process the payment as a check transaction. When we use information from your check to make an electronic fund transfer, funds may be withdrawn from your account as soon as the same day we receive your payment, and you will not receive your check back from your financial institution. Call the Customer Service number on this statement if you have questions about electronic check collection or about payment deposits collected electronically.

Conditional Payments. Any payment check or other form of payment that you send us for less than the full balance due that is marked "paid in full" or contains a similar notation, or that you otherwise tender as full satisfaction of a disputed amount, must be sent to Customer Service, P.O. Box 15548, Wilmington, DE 19850-5548. Otherwise, we may accept the payment without losing our right to collect the full amount due. If we do not cash the payment, we will return it to you within 30 days after we receive it. If we do cash the payment, we will credit it to your Account. Our acceptance of a payment in a manner other than as stated in this section does not waive our right to collect the full amount due.

Interest Rate. Your Account Agreement has an annual membership fee and/or transaction charge to determine the rate for your account. It will be the lesser of a monthly variable rate, which is the Prime Rate plus the applicable Margin shown on your Account Agreement, or the maximum rate allowed by law. The annual percentage rate and charge are shown on your Account Agreement. If you agree to pay more than the annual percentage rate and charge, we may charge interest at the rate or charge in effect at the time we receive your payment. If your outstanding balance is less than $1, we may charge a fee equal to the minimum finance charge, which is the lesser of $1 or the amount of your outstanding balance. Finance charges are not refundable and must be paid in full if paying your outstanding balance in full. Your income is all the annual fee or charge does not affect our right to close your Account and to send you right to make transactions on your Account. If your Account is delinquent, we will continue to charge the annual percentage rate or charge on your outstanding balance until it is paid in full.

Calculation of Balance Subject to Interest Rate. To figure your periodic interest charge for each billing cycle, we multiply your daily balance by the daily periodic rate (including any transactions). To figure your daily balance for each billing cycle, we add the beginning balance (including any transactions), subtract any payments and credits, and add any fees and charges. We calculate periodic interest charges using the applicable periodic rates shown on this statement separately for each feature (e.g., balance transfer checks and cash advance checks) (which transactions). Balance transfers, cash advances, convenience checks or 3-month advances. These calculations may combine different categories with the same periodic rate. Variable rates will vary with the market based on the Prime Rate (as each term is defined in your Account Agreement). For a cash transaction fee for each balance transfer, cash advance or check transaction, as the amount stated in your Account Agreement, or as may be amended. There is a foreign transaction fee of 3% of the U.S. dollar equivalent of any foreign transaction (or such amount described in your Account Agreement).

Interest Accrual.

We assess periodic interest charges on a transaction fee or interest charge from the date it is added to your daily balance until payment in full is received or your account

29-Jun-15 29Jun18-188

BUSINESS CARD STATEMENT Manage your account online: www.chase.com/businesscards Customer Service: 1-800-336-5338 Mobile: Visit chase.com on your mobile browser

ACCOUNT ACTIVITY (CONTINUED)

Date of Transaction	Merchant Name or Transaction Description	$ Amount
11/08	MUNCH GYROS FOUNTAIN HILL AZ	16.05
11/08	PRIDE SERVICE CENTER TEMPE AZ	35.11
11/08	CULVERS OFCASA GR CASA GRANDE AZ	11.50
11/09	FRESH & EASY #1025 SCOTTSDALE AZ	41.94
11/09	PRIDE TRAVEL CENTER ELOY AZ	29.05
11/10	BIG D FLOOR COVERING 27 SCOTTSDALE AZ	211.21
11/10	BIG D FLOOR COVERING 27 SCOTTSDALE AZ	132.99
11/11	BIG D FLOOR COVERING 27 SCOTTSDALE AZ	120.83
11/11	MODERUSTIC INC 909-8896129 CA	2,069.63
12/05	PURCHASE INTEREST CHARGE	86.73
	DAVID SCHWEIKERT	
	TRANSACTIONS THIS CYCLE (CARD [redacted]) -$92.72	
	INCLUDING PAYMENTS RECEIVED	

2014 Totals Year-to-Date	
Total fees charged in 2014	$0.00
Total interest charged in 2014	$269.60

Year-to-date totals do not reflect any fee or interest refunds you may have received.

INTEREST CHARGES

Your Annual Percentage Rate (APR) is the annual interest rate on your account.

Balance Type	Annual Percentage Rate (APR)	Balance Subject To Interest Rate	Interest Charges
PURCHASES			
Purchases	9.24% (v)	$11,418.12	$86.73
CASH ADVANCES			
Cash Advances	19.24% (v)	-0-	-0-
BALANCE TRANSFERS			
Balance Transfer	9.24% (v)	-0-	-0-
OVERDRAFTS			
Overdraft Advances	19.24% (v)	-0-	-0-

(v) = Variable Rate 30 Days in Billing Period

Please see Information About Your Account section for the Calculation of Balance Subject to Interest Rate, Annual Renewal Notice, How to Avoid Interest on Purchases, and other important information, as applicable.

DAVID SCHWEIKERT This Statement is a Facsimile - Not an original Statement Date: 12/05/14

29-Jun-18

29Jun18-188

29-Jun-18 29Jun18-188

from CHASE O
P.O. BOX 15123
WILMINGTON DE
19850-5123

[redacted]

Get updates on the go.
Log on to chase.com/alerts

Payment Due Date: 01/28/15
New Balance: $14,577.06
Minimum Payment: $291.00
Account number: [redacted]

$ _____ Amount Enclosed
Make your check payable to: Chase Card Services

DAVID SCHWEIKERT
[redacted]

CARDMEMBER SERVICE
PO BOX 94014
PALATINE IL 60094-4014

[redacted]

BUSINESS CARD STATEMENT

Manage your account online: www.chase.com/businesscards

Customer Service: 1-800-248-5338

Mobile: Visit chase.com on your mobile browser

ACCOUNT SUMMARY

Account Number:	[redacted]
Previous Balance	$12,210.68
Payment, Credits	-$1,000.00
Purchases	+$3,259.26
Cash Advances	$0.00
Balance Transfers	$0.00
Overdraft	$0.00
Fees Charged	$0.00
Interest Charged	+$107.12
New Balance	$14,577.06
Opening/Closing Date	12/07/14 - 01/06/15
Credit Limit	$15,000
Available Credit	$422
Cash Access Line	$3,000
Available for Cash	$422
Past Due Amount	$0.00
Balance over the Credit Limit	$0.00

PAYMENT INFORMATION

New Balance	$14,577.06
Payment Due Date	01/28/15
Minimum Payment Due	$291.00

Late Payment Warning: If we do not receive your minimum payment by the due date, you may have to pay up to a $39 late fee.

Minimum Payment Warning: Enroll in Auto-Pay and avoid missing a payment. To enroll, call the number on the back of your card or go to the web site listed above.

INK CASH(SM) POINT SUMMARY

Previous points balance	51,179
+ 1 Point per $1 earned on all purchases	3,260
+ Points earned through Chase Rewards Plus	0
= Total points available for redemption	54,439

30 Points will expire on statement in June 2015.

ACCOUNT ACTIVITY

Date of Transaction	Merchant Name or Transaction Description	$ Amount
12/08	REPUBLIC SERVICES TRASH 866 576-5548 AZ	74.77
12/19	PAYPAL *JOSEPHGMCCL 402-935-7733 CA	3,000.00
12/19	FB P-C INS *PAYMENT 800-526-7270 IA	114.80
12/30	CITY OF PHX WATER BRVS 602-262-6251 AZ	59.47
12/30	SHERWIN WILLIAMS [redacted] FOUNTAIN HILL AZ	10.82
	JOYCE R SCHWEIKERT	
	TRANSACTIONS THIS CYCLE (CARD [redacted] $3,259.26	
12/19	Payment Thank You - Web	-1,000.00
01/06	PURCHASE INTEREST CHARGE	107.12
	DAVID SCHWEIKERT	
	TRANSACTIONS THIS CYCLE (CARD [redacted] $292.86	
	INCLUDING PAYMENTS RECEIVED	

2015 Totals Year-to-Date	
Total fees charged in 2015	$0.00
Total interest charged in 2015	$107.12

Year-to-date totals do not reflect any fee or interest refunds you may have received.

This Statement is a Facsimile - Not an original

[redacted]

29-Jun-18

29Jun18-188

Address Change Request

Please provide information below only if the address information on hand is incorrect.

Street Address: _____

City: _____

State: _____ Zip: _____

*Home Phone: _____ *Work Phone: _____

E-mail Address: _____

*When you give us your mobile phone number, we have your permission to contact you at that number about all your Chase or J.P. Morgan accounts. Your consent allows us and companies working on our behalf to use text messaging, artificial or prerecorded voice messages and automatic dialing technology for informational and account service calls, but not for telemarketing or sales calls. Message and data rates may apply. You may contact us anytime to change these preferences.

To contact us regarding your account:

By telephone:	Send Inquiries to:	Mail Payments to:	Visit Our Website:
In U.S. 1-800-296-0508 Español 1-800-755-0374 TTY 1-800-955-8060 Fax by phone 1-800-436-7958 Outside U.S. call collect 1-480-350-7090	P.O. Box 15298 Wilmington, DE 19850-5298	P.O. Box 94018 Pittsburgh, IL 60694-4018	www.chase.com/creditcards

Information About Your Account

Crediting of Payments: You may make payments to us at the address listed below. The amount of your payment will be set at least your minimum payment due, payable in U.S. dollars and drawn or payable through a U.S. financial institution or the U.S. branch of a foreign financial institution.

You may make payments by regular U.S. mail. Send your payment to the Payments address shown on this statement. Your payments by mail will comply with the instructions on this statement. Do not send cash. Write your account number on your check or money order. Payments must be accompanied by the payment coupon or the enclosed envelope with our address visible through the window. Use enclosed postal cards if more than one payment or coupon, and there can be no stales, post dates, late or incomplete envelope with your payment. If your payment is in accordance with our payment instructions and is made payable to us, we will credit the payment to your Account as of that day. If your payment is in accordance with our payment instructions, but is made available after 5:00 p.m. local time at the Payments address on this statement, we will credit to your Account as of the next calendar day.

You may make payments electronically through our website, www.chase.com, or deliver it via our your designation beyond our contracts by 8 p.m. Central Time, and we will credit your payment as of that day. If we receive your payment after 8 p.m. Central Time, we will credit your payment as of the next calendar day. If you electronically submit data in your payment, we will credit your payment as of that day.

For regular payments by U.S. mail payment form allows the which you do not follow our payment instructions, crediting of your payments may be delayed for up to 5 days.

Account Information Reported to Credit Bureaus: We may report information about your Account to credit bureaus. Late payments, missed payments or other default on your Account may be reflected in your credit report. If you think we have reported inaccurate information to a credit bureau, you may write to us at the address shown on this statement.

Notice About Electronic Check Conversion: When you pay by check, you authorize us either to use information from your check to make a one-time electronic fund transfer from your account or to process the payment as a check transaction. When we use information from your check to make an electronic fund transfer, funds may be withdrawn from your account as soon as the same day we receive your payment, and you will not receive your check back from your financial institution. Call the Customer Service number on this statement if you have questions about electronic check collection or do not want your payments collected electronically.

Conditional Payments: Any payment check or other form of payment that you send us for less than the full amount due that is marked "paid in full" or contains a similar notation, or that you otherwise tender in full satisfaction of a disputed amount, must be sent to Chase Services, P.O. Box 15298, Wilmington, DE 19850-5298. Write your account number on your payment. If we receive such a check or other payment, we may accept the check and use it to pay your minimum payment. We may refuse to accept any such payment by returning it to you. Any crediting of a conditional payment that you make is subject to the regular Payments address shown on this statement.

Minimum Finance Charge: If your Account Agreement has an annual minimum finance charge for interest on any balance of your Account, it will be billed monthly in 12 monthly or quarterly installments. This fee is in addition to any finance charge we assess under the terms of your Account, and you agree to pay them when billed. The annual fee and charge are non-refundable unless you notify us that you wish to close your Account within 30 days of the billing cycle. Calculation is done after we provide the statement on which the annual fee or charge is billed and at the same time, you pay your outstanding balance in full. If you do this for a charge billed more often than annually such as a monthly service charge, you will not pay the first billed charge. However, any billed charges are non-refundable and must be paid as part of paying your outstanding balance in full. Your payment of the annual fee or charge does not affect our rights to close your Account and to find your right to make transactions on your Account. If your Account is closed by you or us, we will continue to impose the transaction service charge until you pay your outstanding balance in full and terminate your Account relationship.

Calculation of Balance Subject to Interest Rate: To figure your periodic interest charges for each billing cycle where a daily periodic interest applies, we use the daily balance method (including new transactions). To figure your periodic interest charges for each billing cycle where a monthly periodic interest applies, we use the average daily balance method (including new transactions). For an explanation of other method, and questions about a particular interest charge calculation on your statement, please call us at the toll-free customer service phone number listed above.

We calculate periodic interest charges, using the applicable periodic rates shown on this statement, separately for cash advance or e.g., balance transfer checks and cash advance checks ("check transaction"), purchases, balance transfers, cash advances, promotional balances or overdraft solutions. These calculations may produce different categories with the same periodic rate. Variable rates will vary with the market based on the Prime Rate for cash loans described in your Account Agreement. There is a transaction fee for each balance transfer, cash advance, or check transaction in the amount stated in your Account Agreement, or in any of amended. There is a foreign transaction fee of 3% of the U.S. dollar amount or any foreign transaction fee or more amounts described in your Account Agreement.

Interest Accrual

We assess periodic interest charges on a transaction, fee or interest charge from the date it is added to your daily balance until payment in full is received on your account.

29-Jun-18 29Jun18-188

BUSINESS CARD STATEMENT Manage your account online: www.chase.com/businesscards Customer Service: 1-800-246-5528 Mobile: Visit chase.com on your mobile browser

INTEREST CHARGES

Your **Annual Percentage Rate (APR)** is the annual interest rate on your account

Balance Type	Annual Percentage Rate (APR)	Balance Subject To Interest Rate	Interest Charges
PURCHASES			
Purchases	9.24% (v)	$13,845.84	$107.12
CASH ADVANCES			
Cash Advances	18.24% (v)	-0-	-0-
BALANCE TRANSFERS			
Balance Transfer	9.24% (v)	-0-	-0-
OVERDRAFTS			
Overdraft Advances	18.24% (v)	-0-	-0-

(v) = Variable Rate **31 Days in Billing Period**

Please see information About Your Account section for the Calculation of Balance Subject to Interest Rate, Annual Renewal Notice, How to Avoid Interest on Purchases, and other important information, as applicable.

29-Jun-18

29Jun18-188

EXHIBIT 17

29-Jun-18 29Jun18-197

CHASE

BUSINESS ACCOUNT REMOVE SIGNERS FORM

NAME OF BUSINESS: 2012 DAVID SCHWEIKERT FOR CONGRESS TAXPAYER ID NO: [redacted]

BUSINESS ADDRESS: [redacted]

BRANCH NAME AND NO.: [redacted] BANK NO.: [redacted] BRANCH PHONE NO.: (480) 970-7013

INTEROFFICE MAILCODE: AZ1-0429 PREPARED BY NAME: RUBY C MORENO DATE: 03/15/2012

Please remove the following signer from the accounts listed below (other authorized signers on record do not change):
Name of the Signer to Remove:
KAREN GARRETT

Account Numbers:
[redacted]

Please remove the following signer from the accounts listed below (other authorized signers on record do not change):
Name of the Signer to Remove:

Account Numbers:

Please remove the following signer from the accounts listed below (other authorized signers on record do not change):
Name of the Signer to Remove:

Account Numbers:

Please remove the following signer from the accounts listed below (other authorized signers on record do not change):
Name of the Signer to Remove:

Account Numbers:

CERTIFICATION
The undersigned hereby certifies that the person(s) removed as authorized signer(s) on the accounts(s) indicated above have been removed in accordance with the bank or other documents of the Business regarding signing authority for such accounts.

For a Corporation or Unincorporated Association or Organization: [redacted] For Partnership or Limited Liability Company: For Government Entity:

Secretary Date Chief Executive Officer Date Partner/Member/Manager Date Certifying Officer Date

1 of 1

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 29Jun18-197

CHASE

Business Signature Card

ACCOUNT TITLE (DEPOSITOR)
2012 DAVID SCHWEIKERT FOR CONGRESS

ACCOUNT NUMBER
TAXPAYER ID NUMBER [redacted]
ACCOUNT TYPE Chase Business Classic

DATE OPENED 12/18/2010
New Account
FORM OF BUSINESS Sole Proprietorship
ISSUED BY JPMorgan Chase Bank, N.A. (601)
Shea and Monterey
JENNIFER S HALICK

BUSINESS ADDRESS
[redacted]

PRIMARY IDENTIFICATION | ID NUMBER | ISSUER | ISSUANCE | EXP DATE [redacted]
Waste Management Donation 12/18/2010

SIGNER(S) TO BE ADDED LATER

ACKNOWLEDGEMENT – [illegible]

CERTIFICATION – The undersigned certifies under penalties of perjury that [illegible]

[illegible]

☐ The Depositor is a foreign entity [illegible]

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

NAME	TAXPAYER ID #	TITLE	DATE	SIGNATURE
DAVID SCHWEIKERT	[redacted]	Sole Proprietor	12/18/10	[redacted]

COE.SCHWEIKERT.005512

CBA_0780

18-2234_0710

29-Jun-18 29Jun18-197

CHASE

BUSINESS ACCOUNT ADD SIGNERS FORM

NAME OF BUSINESS: 2012 DAVID SCHWEIKERT FOR CONGRESS TAXPAYER ID NO. [redacted]

BUSINESS ADDRESS: [redacted]

BRANCH NAME AND NO. [redacted] BANK NO. [redacted] BRANCH PHONE NO. (480) 970-7013

INTEROFFICE MAILCODE: AZ1-0429 PREPARED BY: NAME: RUBY G MORENO DATE: 01/21/2011

Please add the following signer to the account's name below (other authorized signers on record do not change):

Name of the Signer to Add	Title	Signature	Date
KAREN GARRETT	TREASURER	[redacted]	01/24/11

Identification (1) Driver's Licence (2) Hotel	ID Number	Issuer	Issuance Date	Expiration Date
	[redacted]		[redacted]	[redacted]

Account Numbers: [redacted]

Please add the following signer to the account's name below (other authorized signers on record do not change):

Name of the Signer to Add	Title	Signature	Date

Identification	ID Number	Issuer	Issuance Date	Expiration Date

Account Numbers:

Please add the following signer to the account's name below (other authorized signers on record do not change):

Name of the Signer to Add	Title	Signature	Date

Identification	ID Number	Issuer	Issuance Date	Expiration Date

Account Numbers:

CERTIFICATION

The undersigned hereby certifies that the person(s) added as authorized signers on the account(s) indicated above have been added in accordance with resolutions or other documents of the Business regarding signing authority for said accounts. The undersigned certifies that this form is not for those added as authorized signers, the names, titles and signatures are correct.

For A Corporation or Association Organization: [redacted] Date 01/24/11

For Sole Proprietorship: Date

For Partnership or Limited Liability Company: Partner/Member/Manager Date

For Government Entity: Certifying Officer Date

1 of 1

Copyright Chase Bank N.A. Member FDIC

Scan

COE.SCHWEIKERT.005513

CBA_0781

18-2234_0711

29-Jun-18 29Jun18-197

CHASE

BUSINESS ACCOUNT ADD SIGNERS FORM

NAME OF BUSINESS: 2012 DAVID SCHWEIKERT FOR CONGRESS

TAXPAYER ID NO: [redacted]

BUSINESS ADDRESS: [redacted]

BRANCH NAME AND NO: [redacted] BANK NO: [redacted] BRANCH PHONE NO: (480) 970-7013

INTEROFFICE MAILCODE: AZ1-0473 PREPARED BY: NAME: RUBY C MORENO DATE: 01/21/2011

Please add the following signer to the account, in addition to the authorized signers on record (if not change):

Name of the Signer to Add	Title	Signature	Date
KAREN GARRETT	TREASURER	[redacted]	01/21/11

Identification	ID Number	Issuer	Issuance Date	Expiration Date
1) Driver's License	[redacted]	AZ	[redacted]	[redacted]
2) None				

Account Numbers: [redacted]

Please add the following signer to the account, in addition to the authorized signers on record (if not change):

Name of the Signer to Add	Title	Signature	Date

Identification	ID Number	Issuer	Issuance Date	Expiration Date

Account Numbers:

Please add the following signer to the account, in addition to the authorized signers on record (if not change):

Name of the Signer to Add	Title	Signature	Date

Identification	ID Number	Issuer	Issuance Date	Expiration Date

Account Numbers:

CERTIFICATION

The undersigned hereby certifies that the person(s) added to authorized signers on the account(s) indicated above have been added in accordance with resolutions or other documents of the Business regarding signing authority for bank accounts. The undersigned further certifies that all other added as authorized signers, the names, titles and signatures are correct.

For a Corporation to be Signed by: [redacted] 01/21/11

For Sole Proprietorship: Date Owner/Sole Proprietor Date

For Partnership or Limited Liability Company: Partner/Member/Manager Date

For Government Entity: Company Officer Date

1 of 1

JPMorgan Chase Bank, N.A. Member FDIC

COE.SCHWEIKERT.005514

CBA_0782

18-2234_0712

29-Jun-18 29Jun18-197

CHASE

BUSINESS ACCOUNT ADD SIGNERS FORM

NAME OF BUSINESS: 2012 DAVID SCHWEIKERT FOR CONGRESS TAXPAYER ID NO: [redacted]

BUSINESS ADDRESS: [redacted]

BRANCH NAME AND NO: [redacted] BANK NO: [redacted] BRANCH PHONE NO: (480) 979-7013

INTEROFFICE MAILCODE: AZ1-0429 PREPARED BY: NAME: RUBY C MORENO DATE: 01/24/2011

Please add the following signer to the accounts listed below either authorized signers or related do not change.

Name of the Signer to Add	Title	Signature	Date
JOYCE R. SCHWEIKERT	AUTHORIZED SIGNER	[redacted]	1/24/11

Identification	ID Number	Issuer	Issuance Date	Expiration Date
Driver's Lic/Id	[redacted]		[redacted]	[redacted]

Account Numbers:

[redacted]

Please add the following signer to the accounts listed below either authorized signers or related do not change.

Name of the Signer to Add	Title	Signature	Date

Identification	ID Number	Issuer	Issuance Date	Expiration Date

Account Numbers:

Please add the following signer to the accounts listed below either authorized signers or related do not change.

Name of the Signer to Add	Title	Signature	Date

Identification	ID Number	Issuer	Issuance Date	Expiration Date

Account Numbers:

CERTIFICATION

The undersigned hereby declare that the personnel below as authorized signers on the account(s) indicated above have been added in accordance with resolutions or other documents of the Business regarding signing authority on bank accounts. The undersigned further certifies that all those added as authorized signers, the names, titles and signatures are stated.

For a Corporation or Member of Chase

[redacted]

For Sole Proprietorship: For Partnership or Limited Liability Company: For Government Entity:

Secretary Date Owner/Sole Proprietor Date Partner/Member/Manager Date Certifying Officer Date

1 of 1

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29-Jun-18 29Jun18-198

BUSINESS DEPOSITORY RESOLUTION

CHASE

X NEW ___ CHANGE

ACCOUNT NO. [redacted]

BANK NAME/NUMBER JPMorgan Chase Bank, N.A. (001)

ACCOUNT TITLE 2012 DAVID SCHWEIKERT FOR CONGRESS

BRANCH NAME AND NO. Shea and Montbrey - 13

DATE 12/18/2010

BUSINESS ADDRESS [redacted]

PREPARED BY JENNIFER S HALICKI

PHONE NO. [redacted]

TAXPAYER ID NO. [redacted]

PRODUCT TYPE Chase Business Classic

Legal Name of Business: 2012 DAVID SCHWEIKERT FOR CONGRESS ("the "Business")

The Individual(s) signing this Resolution hereby certifies to JPMorgan Chase Bank, N.A. ("the Bank") that the Business is (check one):

X a sole proprietorship owned entirely by the individual signing this Resolution

___ a duly formed and valid existing

___ general partnership ___ limited partnership ___ limited liability partnership ___ limited liability limited partnership ___ joint venture

organized under the laws of the state/country of , and the partners signing this Resolution constitute all of the general partners of the partnership;

___ a corporation duly organized and in good standing under the laws of the state/country of , and that the individual signing this Resolution is its secretary or assistant secretary and the keeper of the records and corporate seal, if any;

___ an unincorporated association or organization and the individual(s) signing this Resolution is the keeper of the records and seal, if any;

___ a limited liability company organized under the laws of the state/country of , and the individuals signing this Resolution constitute all of the members or managers, as appropriate, of the company;

and that the following is a true and correct copy of the resolutions adopted by the Business and that such resolutions are now in full force and effect.

Depository and Withdrawal Authorization

RESOLVED, that the Bank is designated a depository in which any funds of the Business may be deposited and/or withdrawn by any one of the persons listed below in the manner as designated, subject to the Bank's Deposit Account Agreement. Each person so listed is authorized to endorse for collection, deposit or negotiation any and all checks, drafts, notes, bills of exchange, certificates of deposit, and orders for the payment or transfer of money between accounts at the Bank and other banks, either belonging to or coming into the possession of the Business. Endorsements "for deposit" may be written or stamped. The Bank may accept any instrument for deposit to any depository account of the Business without endorsement or may supply the endorsement of the Business. The person(s) so designated is authorized to sign any and all checks, drafts, and orders drawn against any designated account(s) of the Business (including savings accounts) at the Bank. The Bank is authorized to honor and pay all checks, drafts, and orders when so signed or endorsed without inquiry as to the circumstances of issue or disposition of the proceeds and regardless of to whom such instruments are payable or endorsed, including those drawn or endorsed to the individual order of any such person so listed.

Name	Title	Facsimile Signatures if Applicable
DAVID SCHWEIKERT	Sole Proprietor	

Signing Authorization

RESOLVED, that any one of the person(s) indicated above is authorized to act for and on behalf of the Business in any matter involving any of the Business' depository accounts at the Bank, including the authority to instruct the Bank to close the account, and is further authorized to sign and implement for and in the name on behalf of the Business, as they, or any of them see fit, the terms of all agreements, instruments, drafts, certificates, or other documents relating to any depository account or other business of the Business including, but not limited to payroll agreements, repurchase agreements, night depository agreements, funds transfer agreements or sale repurchase agreements.

Facsimile Signature Authorization

RESOLVED, that the Bank is authorized and directed to honor checks, drafts, and orders for the payment of money drawn on any of the accounts listed above including those drawn to the individual order of any person when the check, draft, or order bears or purports to bear the facsimile signature(s) as shown above or on the signature card. The Bank shall be indemnified and held harmless against any forgery, or unauthorized use or misuse of the facsimile signing devices.

Further Authorizations

BE IT FURTHER RESOLVED, that the secretary or assistant secretary of a corporation or unincorporated association, the sole owner/proprietor (if a sole proprietorship), any member or manager, as appropriate (if a limited liability), or any general partner (if a partnership) is authorized to certify to the Bank the name, title, specimen signature and facsimile signature with respect to any additions or deletions of persons. Authorized to carry out the purposes and intent of these resolutions and that this resolution shall remain in full force and effect until express written notice of revision or modification is received by the Bank. If the authority contained herein should be revoked or terminated by operation of law or any other reason without such notice, it is resolved that the Bank shall be indemnified and saved harmless from any and all losses suffered or liabilities incurred by it in so acting after such revocation or termination without notice.

IN WITNESS WHEREOF, the undersigned has hereunto subscribed his/her name(s) and affixed the seal, if any, of the Business this 18 day of Dec 2010

For Corporation or Unincorporated Association or Organization:	For Sole Proprietorship:	For Partnership (all general partners must sign) or For Limited Liability Company (all members/managers must sign):
Secretary	[redacted] Owner/Sole Proprietor	Partner/Member/Manager
___ THIS IS A NOT-FOR-PROFIT BUSINESS		Partner/Member/Manager
		Partner/Member/Manager

JPMorgan Chase Bank, N.A. Member FDIC
Catalog # 04382 (12/06)

Scanning branches - Scan using All in One printer
DISTRIBUTION: 1) Bank Copy 2) Customer Copy

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P.O Box 659754
San Antonio, TX 78265-9754

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

December 18, 2010 through December 31, 2010
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site	Chase.com
Service Center	1-800-242-7338
Hearing Impaired	1-800-242-7383
Para Espanol	1-888-622-4273
International Calls	1-713-262-1679

CHECKING SUMMARY

Chase BusinessClassic

	INSTANCES	AMOUNT
Beginning Balance		$0.00
Deposits and Additions	1	$500.00
Ending Balance	1	$500.00

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
12/20	Deposit	$500.00
Total Deposits and Additions		$500.00

DAILY ENDING BALANCE

DATE	AMOUNT
12/20	$500.00

SERVICE CHARGE SUMMARY

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	0
Deposits / Credits	1
Deposited Items	0
Transaction Total	1

SERVICE FEE CALCULATION	AMOUNT
Service Fee	$0.00
Service Fee Credit	$0.00
Net Service Fee	$0.00
Excessive Transaction Fees (Above 200)	$0.00
Total Service Fees	$0.00

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

December 13, 2010 through December 31, 2010
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on the statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 2

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

January 01, 2011 through January 31, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$500.00
Deposits and Additions	6	8,525.00
Checks Paid	1	- 1,200.00
Fees and Other Withdrawals	1	- 27.95
Ending Balance	8	$7,797.05

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
01/10	Deposit [redacted]	$2,000.00
01/10	Deposit [redacted]	1,000.00
01/25	Deposit [redacted]	1,000.00
01/27	David Schweikert David Schw Gms-026Yes-0203 CCD ID: [redacted]	1,000.00
01/31	Deposit [redacted]	3,500.00
01/31	American Express Settlement [redacted] CCD ID: [redacted]	25.00
Total Deposits and Additions		**$8,525.00**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	01/20	$1,200.00
Total Checks Paid			**$1,200.00**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

^ An image of this check may be available for you to view on Chase.com.

Page 1 of 4

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

January 01, 2011 through January 31, 2011
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of the statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on the statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 4

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

January 01, 2011 through January 31, 2011
Account Number [redacted]

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
01/19	Check OR Supply Order PPD ID [redacted]	$27.95
Total Fees & Other Withdrawals		$27.95

DAILY ENDING BALANCE

DATE	AMOUNT
01/10	53,500.00
01/19	3,472.05
01/25	4,472.05
01/27	5,472.05
01/28	4,272.05
01/31	7,797.05

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts included [redacted]

Maintenance Fee	$0.00
Excess Product Fees	$0.00
Other Service Charges	$0.00
Total Service Charges	**$0.00**

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	0
Deposits / Credits	0
Deposited Items	0
Total Transactions	**0**

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes					
Total Service Charge					**$0.00**

Page 3 of 4

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

January 01, 2011 through January 31, 2011
Account Number: [redacted]

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

February 01, 2011 through February 28, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$7,797.05
Deposits and Additions	19	33,564.43
Checks Paid	6	- 7,058.08
ATM & Debit Card Withdrawals	9	- 3,041.33
Electronic Withdrawals	9	- 213.27
Fees and Other Withdrawals	1	- 38.00
Ending Balance	44	$31,010.80

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
02/02	Fdms-Settlement Fincl ADJ [redacted]	5450.00
02/02	Fdms-Settlement Deposit [redacted]	250.00
02/04	Deposit [redacted]	3,000.00
02/04	Deposit [redacted]	2,500.00
02/04	Fdms-Settlement Fincl ADJ [redacted]	25.00
02/07	Deposit [redacted]	9,600.00
02/07	Deposit [redacted]	3,620.00
02/07	Fdms-Settlement Deposit [redacted]	200.00
02/08	Transfirst Llc Bkcd Stlmt [redacted]	500.00
02/08	David Schweikert David Schw Gms [redacted]	100.00
02/09	Fdms-Settlement Deposit [redacted]	10.00
02/10	Deposit [redacted]	2,500.00
02/10	Transfirst Llc Bkcd Stlmt [redacted]	2,500.00
02/11	American Express Settlement [redacted]	35.00
02/14	Deposit [redacted]	1,000.00
02/16	Deposit [redacted]	2,000.00

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

February 01, 2011 through February 28, 2011
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

* Your name and account number
* The dollar amount of the suspected error
* A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

February 01, 2011 through February 28, 2011
Account Number: [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
02/18	Deposit [redacted]	2,500.00
02/22	Fdms-Settlement Deposit [redacted]	35.00
02/25	Deposit [redacted]	2,739.43
Total Deposits and Additions		**$33,564.43**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	02/04	5880.00
	^	02/16	439.92
	^	02/28	711.76
	^	02/14	580.00
	^	02/28	3,244.00
	^	02/28	802.40
Total Checks Paid			**$7,058.08**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com.

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
02/02	Card Purchase 02/01 Icontact Corporation 650-8222200 NC Card [redacted]	$239.00
02/14	Card Purchase 02/10 Acadiana Washington DC Card [redacted]	1,580.00
02/14	Card Purchase With Pin 02/14 Staples, Inc. Scottsdale AZ Card [redacted]	42.64
02/18	Card Purchase 02/16 Catering Autoepain 970 Boston MA Card [redacted]	196.85
02/18	Card Purchase 02/16 Southwestair [redacted] Dallas TX Card [redacted]	464.70
02/18	Recurring Card Purchase 02/17 Websitehostingbill.C 405-948-830 OK Card [redacted]	99.00
02/23	Card Purchase With Pin 02/23 Usps 0383680535/8711 Scottsdale AZ Card [redacted]	89.00
02/28	Card Purchase 02/24 Don & Charles Scottsdale AZ Card [redacted]	271.17
02/28	Card Purchase 02/25 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	59.97
Total ATM & Debit Card Withdrawals		**$3,041.33**

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
02/01	American Express Collection [redacted]	$4.95
02/03	Qgiv Debits Qgiv Debit Qke [redacted]	64.02
02/03	Fdms-Settlement Discount [redacted]	18.27
02/03	Fdms-Settlement Fee [redacted]	8.00
02/03	Fdms-Settlement Interchng [redacted]	2.99
02/07	American Express Axp Discnt [redacted]	0.79

CHASE

February 01, 2011 through February 28, 2011
Account Number: [redacted]

ELECTRONIC WITHDRAWALS (continued)

DATE	DESCRIPTION	AMOUNT
02/10	TransFirst Llc Discount [redacted]	92.75
02/11	Cybersource Txn Svcs [redacted]	20.00
02/11	Gulf Managem (2) Operations [redacted]	1.50
Total Electronic Withdrawals		**$213.27**

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
02/15	Check OR Supply Order PPD ID [redacted]	$38.00
Total Fees & Other Withdrawals		**$38.00**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
02/01	$7,792.10	02/14	30,152.14
02/02	8,253.10	02/15	30,114.14
02/03	8,159.82	02/16	31,574.22
02/04	12,804.82	02/18	33,413.67
02/07	25,224.03	02/22	33,448.67
02/08	25,824.03	02/23	33,360.67
02/09	26,634.03	02/25	36,100.10
02/10	31,741.28	02/28	31,010.80
02/11	31,754.78		

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included: [redacted]

Maintenance Fee	$45.00	
Excess Product Fees	50.00	
Other Service Charges	50.00	
Total Service Charges	**$45.00**	Will be assessed on 3/3/11

You can waive your monthly maintenance fee of $45.00 if you maintain an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	38
Deposits / Credits	26
Deposited Items	40
Total Transactions	**104**

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 104.

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

February 01, 2011 through February 28, 2011
Account Number: [redacted]

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Account Maintenance - Check Safekeeping	1			$45.00	$45.00
Transactions	164	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$1,065	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	4	0	$0.00	$0.00
Outgoing Wire - Domestic Online	0	0	0	$0.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge (assessed on 3/3/11)					$45.00
ACCOUNT [redacted]					
Account Maintenance - Check Safekeeping	1				
Transactions	75				
Cash Deposited					
Branch Deposit - Immediate Verification	$200				
ACCOUNT [redacted]					
Transactions	5				
ACCOUNT [redacted]					
Transactions	24				
Cash Deposited					
Branch Deposit - Immediate Verification	$865				

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

February 01, 2011 through February 08, 2011
Account Number: [redacted]

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P.O. Box 659754
San Antonio, TX 78265-9754

March 01, 2011 through March 31, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$31,010.60
Deposits and Additions	43	92,157.37
Checks Paid	13	- 14,501.63
ATM & Debit Card Withdrawals	13	- 1,815.51
Electronic Withdrawals	8	- 514.50
Fees and Other Withdrawals	1	- 46.00
Ending Balance	78	**$106,190.53**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
03/01	Fdms-Settlement Deposit [redacted]	54,000.00
03/01	Fdms-Settlement Deposit [redacted]	35.00
03/01	American Express Settlement [redacted]	25.00
03/02	Deposit [redacted]	3,650.59
03/02	Deposit [redacted]	2,500.00
03/03	Deposit [redacted]	1,000.00
03/03	American Express Settlement [redacted]	2,400.00
03/04	Deposit [redacted]	500.00
03/04	Transfirst Llc Bkcd Stmt [redacted]	500.00
03/07	American Express Settlement [redacted]	1,000.00
03/08	American Express Settlement [redacted]	600.00
03/08	Fdms-Settlement Deposit [redacted]	265.00
03/08	Fdms-Settlement Deposit [redacted]	200.00
03/09	Deposit [redacted]	451.78
03/09	American Express Settlement [redacted]	500.00
03/14	Deposit [redacted]	10,700.00
03/15	Fdms-Settlement Deposit [redacted]	1,050.00
03/15	Fdms-Settlement Deposit [redacted]	250.00

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

March 01, 2011 through March 31, 2011
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS:Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 5

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

March 01, 2011 through March 31, 2011
Account Number: [redacted]

DEPOSITS AND ADDITIONS *(continued)*

DATE	DESCRIPTION	AMOUNT
03/15	American Express Settlement [redacted]	100.00
03/15	Fdms-Settlement Deposit [redacted]	100.00
03/16	Deposit [redacted]	3,250.00
03/16	Fdms-Settlement Deposit [redacted]	135.00
03/17	Fdms-Settlement Deposit [redacted]	800.00
03/18	Deposit [redacted]	9,250.00
03/21	Deposit [redacted]	2,000.00
03/21	David Schweikert David Schw Gms [redacted]	200.00
03/21	American Express Settlement [redacted]	100.00
03/22	Transfirst Llc Bkcd Stlmt [redacted]	350.00
03/23	Deposit [redacted]	5,600.00
03/24	Deposit [redacted]	1,100.00
03/25	Fdms-Settlement Deposit [redacted]	200.00
03/28	Deposit [redacted]	8,200.00
03/28	Deposit [redacted]	6,300.00
03/28	Fdms-Settlement Deposit [redacted] CCD ID: [redacted]	1,070.00
03/28	American Express Settlement [redacted] CCD ID: [redacted]	485.00
03/28	American Express Settlement [redacted] CCD ID: [redacted]	100.00
03/29	American Express Settlement [redacted] CCD ID: [redacted]	3,000.00
03/29	Fdms-Settlement Deposit [redacted] CCD ID: [redacted]	865.00
03/29	Transfirst Llc Bkcd Stlmt [redacted] CCD ID: [redacted]	500.00
03/29	Fdms-Settlement Deposit [redacted] CCD ID: [redacted]	100.00
03/30	Deposit [redacted]	5,495.00
03/30	American Express Settlement [redacted] CCD ID: [redacted]	600.00
03/31	Deposit [redacted]	12,630.00
Total Deposits and Additions		**$92,157.37**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	A	03/07	$100.00
[redacted]	* A	03/14	2,439.57
[redacted]	A	03/01	5,000.00
[redacted]	A	03/16	982.46
[redacted]	A	03/08	1,332.49
[redacted]	* A	03/30	1,204.00
[redacted]	A	03/31	350.00

CHASE

March 01, 2011 through March 31, 2011
Account Number: [redacted]

CHECKS PAID (continued)

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	03/25	450.00
	^	03/28	20.20
	^	03/28	184.56
	^	03/29	58.35
	* ^	03/31	500.00
	^	03/31	2,000.00
Total Checks Paid			**$14,601.63**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com.

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
03/02	Card Purchase 03/01 Icontact Corporation 650-6222200 NC Card [redacted]	$239.00
03/02	Card Purchase 03/01 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	150.35
03/04	Card Purchase With Pin 03/04 Samsclub #6241 Scottsdale AZ Card [redacted]	26.91
03/09	Recurring Card Purchase 03/08 Websitehostingbill.C 405-948-830 OK Card [redacted]	99.00
03/10	Card Purchase With Pin 03/10 Usps 0376600630/8175 Scottsdale AZ Card [redacted]	200.00
03/11	Card Purchase 03/09 Capital Cafe Washington DC Card [redacted]	151.80
03/11	Card Purchase With Pin 03/11 Sam's Club Scottsdale AZ Card [redacted]	277.65
03/11	Card Purchase With Pin 03/11 Samsclub #6241 Scottsdale AZ Card [redacted]	32.20
03/11	Card Purchase With Pin 03/11 Staples, Inc. Scottsdale AZ Card [redacted]	26.68
03/14	Card Purchase 03/11 Party City #236 Scottsdale AZ Card [redacted]	17.41
03/17	Card Purchase 03/15 Talay Thai Restauran 202-5465100 DC Card [redacted]	354.48
03/22	Card Purchase With Pin 03/22 Costco Whse #0293 Arlington VA Card [redacted]	186.03
03/25	Card Purchase 03/24 Usps 1050091422892322 Washington DC Card [redacted]	44.00
Total ATM & Debit Card Withdrawals		**$1,815.51**

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
03/01	American Express Collection [redacted]	$4.95
03/03	Qgiv Debits Qgiv Debit Oke [redacted]	193.62
03/03	Fdms-Settlement Discount [redacted]	107.65
03/03	Fdms-Settlement Fee [redacted]	7.25
03/03	Fdms-Settlement Interchng [redacted]	2.18
03/07	American Express Axp Disct [redacted]	1.10
03/10	Transfirst Llc Discount [redacted]	197.05
03/11	Gull Managem (2) Operations [redacted]	0.50
Total Electronic Withdrawals		**$514.50**

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

March 01, 2011 through March 31, 2011
Account Number: [redacted]

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
03/03	Service Charges For The Month of February	$46.00
Total Fees & Other Withdrawals		**$46.00**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
03/01	$30,065.85	03/17	53,022.67
03/02	35,827.09	03/18	62,272.67
03/03	38,870.19	03/21	64,572.67
03/04	39,643.26	03/22	64,735.64
03/07	40,742.18	03/23	70,278.29
03/08	40,474.69	03/24	71,378.29
03/09	41,327.47	03/25	71,534.29
03/10	40,930.42	03/28	87,504.53
03/11	40,441.59	03/29	91,519.53
03/14	48,684.61	03/30	96,410.53
03/15	50,184.61	03/31	106,190.53
03/16	52,587.15		

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included: [redacted]

Maintenance Fee	$46.00	
Excess Product Fees	$0.00	
Other Service Charges	$0.00	
Total Service Charges	**$46.00**	Will be assessed on 4/5/11

You can waive your monthly maintenance fee of $46.00 if you maintain an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	47
Deposits / Credits	53
Deposited Items	105
Total Transactions	**205**

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 205.

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

March 01, 2011 through March 31, 2011
Account Number: [redacted]

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes					
ACCOUNT [redacted]					
Account Maintenance - Check Safekeeping	1			$48.00	$48.00
Transactions	205	300	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$350	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	4	0	$0.00	$0.00
Outgoing Wire - Domestic Online	0	0	0	$0.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge (assessed on 4/5/11)					$48.00
ACCOUNT [redacted]					
Account Maintenance - Check Safekeeping	1				
Transactions	170				
Cash Deposited					
Branch Deposit - Immediate Verification	$350				
ACCOUNT [redacted]					
Transactions	1				
ACCOUNT [redacted]					
Transactions	14				
ACCOUNT [redacted]					
Transactions	21				

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-199
GROUP ID G29Jun18-199

CHASE

March 01, 2011 through March 31, 2011
Account Number: [redacted]

Chase Exclusives®

Special benefits for being a Chase checking customer!
Take advantage of exclusive offers on:

- Credit Cards
- CD interest rates
- Mortgages and Home Equity Products
- Auto Loans

To see a complete list of Chase Exclusives, visit chase.com/exclusives

Talk to a banker today to take advantage of these exclusive benefits!

Limitations and restrictions apply.

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

March 01, 2011 through March 31, 2011
Account Number: [redacted]

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Page 8 of 8

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P.O. Box 659754
San Antonio, TX 78265-9754

April 01, 2011 through April 29, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site	Chase.com
Service Center	1-800-242-7338
Hearing Impaired	1-800-242-7383
Para Espanol	1-888-622-4273
International Calls	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$106,180.53
Deposits and Additions	38	49,088.80
Checks Paid	14	- 18,327.86
ATM & Debit Card Withdrawals	11	- 1,567.77
Electronic Withdrawals	8	- 864.55
Fees and Other Withdrawals	1	- 46.00
Ending Balance	72	$134,473.15

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
04/01	Deposit [redacted]	$7,500.00
04/04	Deposit [redacted]	14,000.00
04/05	Deposit [redacted]	3,275.00
04/05	American Express Settlement [redacted]	900.00
04/05	Fdms-Settlement Deposit [redacted]	381.00
04/05	Fdms-Settlement Deposit [redacted]	148.00
04/05	Transfirst Llc Bkcd Stlmt [redacted]	100.00
04/05	Fdms-Settlement Deposit [redacted]	85.00
04/06	Service Fee Reversal	46.00
04/06	Service Fee Reversal	46.00
04/06	Fdms-Settlement Deposit [redacted]	50.00
04/07	Fdms-Settlement Deposit [redacted]	68.00
04/08	Deposit [redacted]	4,000.00
04/08	Fdms-Settlement Deposit [redacted]	18.00
04/11	Transfirst Llc Bkcd Stlmt [redacted]	2,525.00
04/11	American Express Settlement [redacted]	135.00
04/11	American Express Settlement [redacted]	75.00
04/11	David Schweikert David Schw Gms- [redacted]	48.00

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

April 01, 2011 through April 29, 2011
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS:Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

April 01, 2011 through April 29, 2011
Account Number: [redacted]

DEPOSITS AND ADDITIONS *(continued)*

DATE	DESCRIPTION	AMOUNT
04/11	Fdms-Settlement Deposit [redacted]	35.00
04/12	Deposit [redacted]	50.00
04/13	Fdms-Settlement Deposit [redacted]	100.00
04/14	Transfirst Lic. Bkcd Stlm [redacted]	267.00
04/18	Deposit [redacted]	3,475.00
04/18	Deposit [redacted]	3,213.00
04/18	Deposit [redacted]	227.25
04/18	Transfirst Lic. Bkcd Stlm [redacted] CCD ID: [redacted]	30.00
04/19	American Express Settlement [redacted] CCD ID: [redacted]	400.00
04/20	Deposit [redacted]	710.00
04/20	American Express Settlement [redacted] CCD ID: [redacted]	100.00
04/21	Fdms-Settlement Deposit [redacted] CCD ID: [redacted]	100.00
04/22	Fdms-Settlement Deposit [redacted] CCD ID: [redacted]	25.00
04/25	Deposit [redacted]	5,500.00
04/25	Deposit [redacted]	231.55
04/26	American Express Settlement [redacted] CCD ID: [redacted]	250.00
04/26	Fdms-Settlement Deposit [redacted] CCD ID: [redacted]	25.00
04/28	Fdms-Settlement Deposit [redacted] CCD ID: [redacted]	200.00
04/29	Deposit 822744636	650.00
04/29	David Schweikert David Schw Gms [redacted]	100.00
Total Deposits and Additions		**$49,088.80**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	04/01	5300.00
[redacted]	^	04/04	1,000.00
[redacted]	* ^	04/04	177.00
[redacted]	* ^	04/04	9,000.00
[redacted]	^	04/05	1,477.51
[redacted]	^	04/11	1,000.00
[redacted]	^	04/08	283.67
[redacted]	^	04/15	305.15
[redacted]	^	04/14	1,280.00
[redacted]	^	04/25	1,313.40
[redacted]	^	04/13	1,107.81
[redacted]	^	04/18	1,000.00
[redacted]	^	04/18	61.62
[redacted]	^	04/21	21.50
Total Checks Paid			**$18,327.66**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com

CHASE

April 01, 2011 through April 29, 2011
Account Number: [redacted]

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
04/06	Card Purchase 04/05 Famous Dave's #2076 Alexandria VA Card [redacted]	$344.55
04/07	Card Purchase With Pin 04/07 Usps 1050091422/1100 Washington DC Card [redacted]	44.00
04/07	Recurring Card Purchase 04/06 Websitehostingbill C 405-948-830 OK Card [redacted]	99.00
04/19	Card Purchase 04/18 Fleming's # 1301 Scottsdale AZ Card [redacted]	325.21
04/21	Card Purchase 04/19 Downtown Tempe Communit Tempe AZ Card [redacted]	4.50
04/21	Card Purchase With Pin 04/21 Usps 0376620632/Usps Scottsdale AZ Card [redacted]	132.00
04/22	Card Purchase With Pin 04/22 Usps 0376630631/Usps Scottsdale AZ Card [redacted]	220.00
04/25	Card Purchase 04/22 W Millar & Company 202-387-2218 DC Card [redacted]	246.50
04/25	Card Purchase With Pin 04/25 Staples, Inc. Scottsdale AZ Card [redacted]	34.31
04/26	Card Purchase 04/27 House Gift Shop Washington DC Card [redacted]	29.70
04/28	Card Purchase With Pin 04/28 Usps 1050091422/Usps Washington DC Card [redacted]	89.00
Total ATM & Debit Card Withdrawals		**$1,567.77**

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
04/01	American Express Collection [redacted]	$4.95
04/04	Dgiv Debits Dgiv Debit Oke [redacted]	301.29
04/05	American Express Axp Discnt [redacted]	278.93
04/05	Fdms-Settlement Discount [redacted]	128.88
04/05	Fdms-Settlement Interchng [redacted]	25.72
04/05	Fdms-Settlement Fee [redacted]	18.50
04/11	Transfirst Llc Discount [redacted]	106.81
04/11	Gulf Managem (2) Operations [redacted]	1.50
Total Electronic Withdrawals		**$864.55**

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
04/05	Service Charges For The Month of March	$46.00
Total Fees & Other Withdrawals		**$46.00**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
04/01	$113,385.58	04/14	123,018.34
04/04	116,907.29	04/15	122,713.19
04/05	119,622.78	04/18	128,598.82
04/06	119,620.23	04/19	128,671.81
04/07	119,545.23	04/20	129,481.61
04/08	120,279.56	04/21	129,423.51
04/11	124,989.25	04/22	129,228.51
04/12	125,039.25	04/25	133,365.85
04/13	124,031.34	04/26	133,640.85

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

April 01, 2011 through April 29, 2011
Account Number: [redacted]

DAILY ENDING BALANCE *(continued)*

DATE	AMOUNT	DATE	AMOUNT
04/26	133,723.15	04/29	134,473.15

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included: [redacted]

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$0.00	
Total Service Charges	$0.00	

Your monthly maintenance fee of $46.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	42
Deposits / Credits	42
Deposited Items	57
Total Transactions	141

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 141.

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Account Maintenance	0			$46.00	$0.00
Transactions	141	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$2,616	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	4	0	$0.00	$0.00
Outgoing Wire - Domestic Online	0	0	0	$0.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge					$0.00
ACCOUNT [redacted]					
Transactions	120				
ACCOUNT [redacted]					
Transactions	8				
ACCOUNT [redacted]					
Transactions	13				
Cash Deposited					
Branch Deposit - Immediate Verification	$2,616				

CHASE

April 01, 2011 through April 29, 2011
Account Number: [redacted]

The Chase Mobile® App. Enjoy all the access and services of chase.com through your phone, including these fast and FREE ways to manage your money:

- Get the free Chase Mobile® App for your iPhone® or Android™
- Make a deposit by simply taking a picture of the front and back of an endorsed check with Chase QuickDeposit℠
- Send money to nearly anyone with an e-mail address with Chase Person-to-Person QuickPay℠
- Transfer money between Chase accounts
- Pay bills and credit cards with Online Bill Pay

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Chase QuickDeposit℠: Must download Chase Mobile® App from the App Store or Android Market and enroll in Chase Online℠. Message and data rates may apply. Such charges include those from your communications service provider. Subject to eligibility and further review. Deposits are subject to verification and not available for immediate withdrawal. Access Checking and High School checking account customers not eligible. Deposit limits and other restrictions apply

Chase Person-to-Person QuickPay℠: Both you and the other person need a U.S. bank account, only one of you needs a Chase checking account

Online Bill Pay: You must be enrolled in Chase Online℠ to activate and use Online Bill Payment. Online Bill Payment service is free of charge when you designate a qualified account as your Primary Account for Online Bill Payment. A qualified account includes any Chase personal or business checking account except Chase Customized Checking℠ which will be charged $5 per month for Online Bill Payment Service

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P.O. Box 659754
San Antonio, TX 78265-9754

April 30, 2011 through May 31, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center	1-800-242-7338
Hearing Impaired	1-800-242-7383
Para Espanol	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$134,473.15
Deposits and Additions	28	22,300.42
Checks Paid	12	- 28,690.83
ATM & Debit Card Withdrawals	16	- 3,007.28
Electronic Withdrawals	8	- 405.80
Ending Balance	94	$124,669.66

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
05/02	Deposit [redacted]	5550.00
05/03	Transfirst Llc Bkcd Stim [redacted]	100.00
05/04	Fdms-Settlement Deposit [redacted]	25.00
05/05	American Express Settlement [redacted]	100.00
05/09	ATM Check Deposit	1,000.00
05/09	ATM Check Deposit	500.00
05/09	ATM Check Deposit	225.00
05/09	ATM Cash Deposit	250.00
05/10	Transfirst Llc Bkcd Stim [redacted]	100.00
05/12	American Express Settlement [redacted]	150.00
05/16	Deposit [redacted]	5,000.00
05/16	Deposit	1,800.00
05/16	American Express Settlement [redacted] CCD ID: [redacted]	500.00
05/18	Deposit [redacted]	1,000.00
05/19	Card Purchase Return 05/18 Chaparral Suites Scottsdale AZ Card 7974	240.42
05/19	Deposit [redacted]	3,100.00
05/19	Fdms-Settlement Deposit [redacted]	1,000.00
05/20	American Express Settlement [redacted]	100.00
05/23	Deposit [redacted]	3,850.00
05/23	Deposit [redacted]	775.00

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

April 30, 2011 through May 31, 2011
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____
2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____
4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 6

CHASE

April 30, 2011 through May 31, 2011
Account Number: [redacted]

DEPOSITS AND ADDITIONS *(continued)*

DATE	DESCRIPTION	AMOUNT
05/23	American Express Settlement [redacted]	50.00
05/23	Fdms Settlement Deposit [redacted]	35.00
05/24	Transfer Life Blood Stem [redacted]	350.00
05/24	Fdms Settlement Deposit [redacted]	50.00
05/24	Fdms Settlement Deposit [redacted]	25.00
05/27	Deposit [redacted]	1,225.00
Total Deposits and Additions		**$22,300.42**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	05/16	$1,845.00
[redacted]	^	05/09	13,268.85
[redacted]	^	05/04	213.63
[redacted]	^	05/10	218.60
[redacted]	^	05/11	1,260.00
[redacted]	^	05/16	1,200.00
[redacted]	^	05/17	2,343.47
[redacted]	^	05/18	1,000.00
[redacted]	^	05/16	20.70
[redacted]	^	05/20	6,952.00
[redacted]	^	05/27	161.45
[redacted]	^	05/31	197.13
Total Checks Paid			**$28,690.83**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

^ An image of this check may be available for you to view on Chase.com.

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
05/03	Card Purchase 05/02 Icontact Corporation 650-6222200 NC Card [redacted]	$239.00
05/05	Card Purchase 05/05 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	10.17
05/09	Recurring Card Purchase 05/06 Websitehostingbuil.C 405-848-830 OK Card [redacted]	99.00
05/11	Card Purchase 05/10 Chaparral Suites Scottsdale AZ Card [redacted]	1,442.54
05/12	Card Purchase 05/10 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	10.17
05/12	Card Purchase 05/11 Dunkin #342506 Q3 Washington DC Card [redacted]	64.18
05/17	Card Purchase 05/16 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	10.17
05/20	Card Purchase 05/19 Barnes & Noble #2680 Scottsdale AZ Card [redacted]	90.60
05/20	Card Purchase 05/19 Barnes & Noble #2116 Scottsdale AZ Card [redacted]	136.34
05/20	Card Purchase 05/19 Barnes & Noble #2039 Phoenix AZ Card [redacted]	159.06
05/20	Card Purchase 05/19 Barnes & Noble #2211 Phoenix AZ Card [redacted]	159.06
05/20	Card Purchase With Pin 05/20 Samsclub #6241 Scottsdale AZ Card [redacted]	105.47
05/20	Card Purchase With Pin 05/20 Samsclub #6241 Scottsdale AZ Card [redacted]	7.26
05/23	Card Purchase 05/21 Dunkin #348404 Q3 Scottsdale AZ Card [redacted]	91.45

CHASE

April 30, 2011 through May 31, 2011
Account Number: [redacted]

ATM & DEBIT CARD WITHDRAWALS *(continued)*

DATE	DESCRIPTION	AMOUNT
05/23	Card Purchase 05/21 Barnes & Noble #2081 Chandler AZ Card [redacted]	113.10
05/23	Card Purchase 05/21 Barnes & Noble #2960 Phoenix AZ Card [redacted]	113.62
05/23	Card Purchase 05/21 Borders Bks&Mu01001700 Glendale AZ Card [redacted]	42.88
05/23	Card Purchase 05/21 Barnes & Noble #2746 Peoria AZ Card [redacted]	113.41
Total ATM & Debit Card Withdrawals		**$3,007.28**

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
05/02	American Express Collection [redacted] CCD ID: [redacted]	54.93
05/03	Qgiv Debits Qgiv Debit Qgiv [redacted] CCD ID: [redacted]	61.04
05/03	Fdms-Settlement Discount [redacted] CCD ID: [redacted]	39.43
05/03	Fdms-Settlement Fee [redacted] CCD ID: [redacted]	12.25
05/03	Fdms-Settlement Interchng [redacted] CCD ID: [redacted]	7.53
05/05	American Express Aep Disco [redacted] CCD ID: [redacted]	58.23
05/10	Transfirst Llc Discount [redacted] CCD ID: [redacted]	223.27
05/11	Gulf Managem (2) Operations GM211304/A0504 CCD ID: [redacted]	2.00
Total Electronic Withdrawals		**$405.80**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
05/02	$135,019.20	05/16	123,575.51
05/03	134,761.85	05/17	121,221.87
05/04	134,573.22	05/18	122,221.87
05/05	134,814.99	05/19	126,562.29
05/06	134,604.82	05/20	119,042.50
05/09	123,311.97	05/23	123,378.24
05/10	122,970.10	05/24	123,803.24
05/11	120,265.56	05/27	124,866.79
05/12	120,341.21	05/31	124,669.66

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included: [redacted]

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$0.00	
Total Service Charges	**$0.00**	

Your monthly maintenance fee of $45.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

April 30, 2011 through May 31, 2011
Account Number: [redacted]

SERVICE CHARGE SUMMARY (continued)

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	49
Deposits / Credits	28
Deposited Items	68
Total Transactions	145

Chase BusinessPlus Extra allows up to 500 checks, deposits and deposited items per statement cycle. Your transaction total for this cycle was 145

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes.					
ACCOUNT [redacted]					
Account Maintenance	0			$46.00	$0.00
Transactions	145	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$2,926	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	4	0	$0.00	$0.00
Outgoing Wire - Domestic Online	0	0	0	$0.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge					$0.00
ACCOUNT [redacted]					
Transactions	127				
Cash Deposited					
Branch Deposit - Immediate Verification	$400				
ACCOUNT [redacted]					
Transactions	1				
ACCOUNT [redacted]					
Transactions	17				
Cash Deposited					
Branch Deposit - Immediate Verification	$1,626				

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

April 20, 2011 through May 31, 2011
Account Number: [redacted]

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Page 8 of 8

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P.O. Box 659754
San Antonio, TX 78265-9754

June 01, 2011 through June 30, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

Effective July 17, 2011, we are making changes to the following sections of our Funds Availability Policy for business accounts:

- "For Chase Commercial Checking (with or without interest)."
  "Next Day Availability." If you make the deposit in person to one of our employees, funds from the following deposits are also available on the first business day after the day we receive your deposit.
  - Federal Reserve Bank checks, Federal Home Loan Bank checks, and postal money orders that are payable to you
  - State and Local government checks that are payable to you if you use a special deposit slip available at any branch upon request
  - Cashier's, certified, and teller's checks that are payable to you if you use a special deposit slip available at any branch upon request

  *Second Business Day Availability * At least the first $200 of these deposits will be available on the first business day after the day of your deposit.
- "Longer Delays May Apply."
  "For all business accounts other than Chase Commercial Checking (with or without interest)." At least the first $200 of these deposits will be available on the first business day after the day of your deposit.

All other terms of your account remain the same. If you have any questions, please call us at 1-800-CHASE38 (1-800-242-7338).

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$124,669.86
Deposits and Additions	54	145,744.53
Checks Paid	7	- 8,474.88
ATM & Debit Card Withdrawals	20	- 3,856.28
Electronic Withdrawals	7	- 319.25
Fees and Other Withdrawals	2	- 6,000.00
Ending Balance	90	$251,763.78

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

June 01, 2011 through June 30, 2011
Account Number [redacted]

[redacted] -- _____

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____
2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____
4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

June 01, 2011 through June 30, 2011
Account Number [redacted]

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
06/01	Fdms-Settlement Deposit [redacted]	5250.00
06/02	Deposit [redacted]	2,600.00
06/02	Deposit [redacted]	1,000.00
06/06	Transfirst Llc Bkcd Stmt [redacted]	4,250.00
06/06	American Express Settlement [redacted]	500.00
06/06	Fdms-Settlement Deposit [redacted]	25.00
06/09	Deposit [redacted]	6,000.00
06/09	Deposit [redacted]	700.00
06/13	Fdms-Settlement Deposit [redacted]	100.00
06/16	Fdms-Settlement Deposit [redacted]	25.00
06/17	Card Purchase Return 06/15 Verticalresponse Inc 866-6837842 CA Card [redacted]	140.00
06/17	Card Purchase Return 06/15 Verticalresponse Inc 866-6837842 CA Card [redacted]	10.00
06/17	Deposit [redacted]	6,000.00
06/17	Deposit [redacted]	4,507.00
06/17	Deposit [redacted]	4,150.00
06/17	Deposit [redacted]	820.00
06/17	Deposit [redacted]	626.90
06/17	Fdms-Settlement Deposit [redacted]	10.00
06/20	American Express Settlement [redacted]	1,000.00
06/20	American Express Settlement [redacted]	400.00
06/20	Fdms-Settlement Deposit [redacted]	35.00
06/21	Deposit [redacted]	4,500.00
06/21	Deposit [redacted]	2,000.00
06/21	Deposit [redacted]	1,175.00
06/21	Deposit [redacted]	960.00
06/21	Deposit [redacted]	750.00
06/21	Deposit [redacted]	200.00
06/21	Deposit [redacted]	175.00
06/21	Fdms-Settlement Deposit [redacted]	5,035.00
06/21	Transfirst Llc Bkcd Stmt [redacted]	325.00
06/21	American Express Settlement [redacted]	145.00
06/21	Fdms-Settlement Deposit [redacted]	35.00
06/23	Deposit [redacted]	1,000.00
06/23	Deposit [redacted]	520.00
06/24	Fdms-Settlement Deposit [redacted]	1,440.00
06/27	Fdms-Settlement Deposit [redacted]	2,635.00
06/27	American Express Settlement [redacted]	200.00
06/28	Deposit [redacted]	46,625.00
06/28	Deposit [redacted]	13,500.00
06/28	Deposit [redacted]	10,000.00
06/28	Deposit [redacted]	8,000.00
06/28	Deposit [redacted]	1,000.00
06/28	Deposit [redacted]	850.00
06/28	Deposit [redacted]	500.00
06/28	Deposit [redacted]	125.00
06/28	Fdms-Settlement Deposit [redacted]	6,340.00
06/28	Fdms-Settlement Deposit [redacted]	1,030.53

CHASE

June 01, 2011 through June 30, 2011
Account Number: [redacted]

DEPOSITS AND ADDITIONS *(continued)*

DATE	DESCRIPTION	AMOUNT
06/28	American Express Settlement [redacted] CCD ID [redacted]	325.00
06/28	Transfirst Llc Bkcd Stlmt [redacted]	350.00
06/28	Fdms-Settlement Depos [redacted]	250.00
06/29	Fdms-Settlement Depos [redacted]	100.00
06/29	American Express Settlement [redacted]	10.00
06/30	Fdms-Settlement Deposit [redacted]	2,195.00
06/30	Transfirst Llc Bkcd Stlmt [redacted]	100.00
Total Deposits and Additions		**$145,744.53**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	*	06/06	$4,500.00
	*	06/28	155.55
	*	06/10	1,580.00
	*	06/13	20.80
	*	06/20	60.31
	*	06/21	1,040.00
	*	06/22	1,118.22
Total Checks Paid			**$8,474.88**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* An image of the check may be available for you to view on Chase.com

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
06/01	Card Purchase Wbb Pin 06/01 Usps 0376820632Usps Scottsdale AZ Card [redacted]	5332.79
06/02	Card Purchase 06/01 Icontact Corporation 650-6222200 NC Card [redacted]	239.00
06/07	Recurring Card Purchase 06/07 Websitehostingbill C 405-948-830 OK Card [redacted]	99.00
06/13	Card Purchase 06/10 Usairways [redacted] AZ Card [redacted]	399.40
06/13	Card Purchase 06/10 Usairways [redacted] 800-428-432 AZ Card [redacted]	399.40
06/14	Card Purchase 06/13 Verticalresponse Inc 866-6837842 CA Card [redacted]	150.00
06/14	Card Purchase 06/13 Icontact Corporation 650-6222200 NC Card [redacted]	84.00
06/20	Card Purchase 06/17 Usairways [redacted] AZ Card [redacted]	285.40
06/21	Card Purchase 06/17 United Air [redacted] E-Tkt Mi Card [redacted]	745.80
06/21	Card Purchase 06/17 United Air [redacted] Detroit Mi Card [redacted]	25.00
06/21	Card Purchase 06/20 Usps 0376590630002842 Scottsdale AZ Card [redacted]	132.00
06/27	Card Purchase 06/24 Icontact Corporation 650-6222200 NC Card [redacted]	6.33
06/27	Card Purchase 06/24 Icontact Corporation 650-6222200 NC Card [redacted]	21.80
06/28	Card Purchase 06/27 Icontact Corporation 650-6222200 NC Card [redacted]	21.83
06/28	Card Purchase 06/27 Icontact Corporation 650-6222200 NC Card [redacted]	18.99
06/28	Card Purchase 06/27 Chase Field [redacted] Z Card [redacted]	814.59
06/29	Card Purchase 06/28 Icontact Corporation 650-6222200 NC Card [redacted]	21.76

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

June 01, 2011 through June 30, 2011
Account Number [redacted]

ATM & DEBIT CARD WITHDRAWALS (continued)

DATE	DESCRIPTION		AMOUNT
06/29	Card Purchase	06/28 Icontact Corporation [redacted] NC Card [redacted]	18.83
06/30	Card Purchase	06/29 Icontact Corporation [redacted] NC Card [redacted]	21.73
06/30	Card Purchase	06/29 Icontact Corporation [redacted] NC Card [redacted]	18.63
Total ATM & Debit Card Withdrawals			$3,856.28

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
06/01	American Express Collection [redacted]	$4.95
06/02	Cgiv Debits Cgiv Debit Ck [redacted]	166.61
06/03	Fdms-Settlement Discount [redacted]	40.86
06/03	Fdms-Settlement Fee [redacted]	7.00
06/03	Fdms-Settlement Interchng [redacted]	0.66
06/06	American Express Axp Disch [redacted]	28.18
06/10	Transfirst Llc Discount [redacted]	70.99
Total Electronic Withdrawals		$319.25

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
06/30	Deposited Item Returned NSF 1St [redacted] # of Items00001Ck# [redacted] Dep Am [redacted] Date [redacted]	$1,500.00
06/30	06/30 Withdrawal	4,500.00
Total Fees & Other Withdrawals		$6,000.00

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
06/01	$124,581.92	06/17	148,259.92
06/02	127,776.31	06/20	149,349.21
06/03	127,727.79	06/21	162,706.41
06/06	127,974.61	06/22	161,588.19
06/07	127,875.61	06/23	163,108.19
06/09	134,575.61	06/24	164,548.19
06/10	132,924.62	06/27	167,355.06
06/13	132,205.02	06/28	255,439.73
06/14	131,971.02	06/29	255,509.14
06/16	131,906.02	06/30	251,763.78

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included [redacted]

29-Jun-18 | THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 | 29Jun18-199

CHASE

June 01, 2011 through June 30, 2011
Account Number: [redacted]

SERVICE CHARGE SUMMARY *(continued)*

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$12.00	
Total Service Charges	**$12.00**	Will be assessed on 7/6/11

Your monthly maintenance fee of $45.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	40
Deposits / Credits	55
Deposited Items	159
Total Transactions	**254**

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 254.

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Account Maintenance	0			$45.00	$0.00
Transactions	254	500	0	$0.00	$0.00
Cash Deposited:					
Branch Deposit - Immediate Verification	$185	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	4	0	$0.00	$0.00
Outgoing Wire - Domestic Online	0	0	0	$0.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Subtotal					**$0.00**
Other Fees					
Return Item	1	0	1	$12.00	$12.00
Total Service Charge (assessed on 7/6/11)					**$12.00**
ACCOUNT [redacted]					
Transactions	239				
Cash Deposited:					
Branch Deposit - Immediate Verification	$185				
Return Item	1				
ACCOUNT [redacted]					
Transactions	3				
ACCOUNT [redacted]					
Transactions	12				

29Jun18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

July 01, 2011 through July 29, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
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[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$291,763.78
Deposits and Additions	48	104,400.65
Checks Paid	14	- 38,592.98
ATM & Debit Card Withdrawals	13	- 2,604.96
Electronic Withdrawals	8	- 1,918.84
Fees and Other Withdrawals	1	- 12.00
Ending Balance	84	$314,035.65

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
07/01	Deposit [redacted]	$15,630.00
07/01	Deposit [redacted]	13,000.00
07/01	Deposit [redacted]	3,010.00
07/01	Fdms-Settlement Deposit [redacted]	500.00
07/05	Deposit [redacted]	11,000.00
07/05	Deposit [redacted]	1,515.00
07/05	American Express Settlement [redacted]	5,080.00
07/05	American Express Settlement [redacted]	2,400.00
07/05	Fdms-Settlement Deposit [redacted]	745.00
07/05	Transfirst Llc Bkcd Stmt [redacted]	400.00
07/05	American Express Settlement [redacted]	200.00
07/06	Fdms-Settlement Deposit [redacted]	1,875.00
07/06	Fdms-Settlement Deposit [redacted]	70.00
07/07	Deposit [redacted]	3,200.00
07/07	Deposit [redacted]	500.00
07/08	Deposit [redacted]	1,500.00
07/08	David Schweikert David Schw Gms [redacted]	500.00
07/11	American Express Settlement [redacted]	3,000.00

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

July 01, 2011 through July 29, 2011
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement. Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

* Your name and account number
* The dollar amount of the suspected error
* A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

July 01 2011 through July 29 2011
Account Number [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
07/11	Fdms-Settlement Deposit [redacted]	1,000.00
07/12	Deposit [redacted]	15,134.30
07/15	Fdms-Settlement Deposit [redacted]	100.00
07/15	American Express Settlement [redacted]	85.00
07/18	Deposit [redacted]	6,525.00
07/18	Deposit [redacted]	4,500.00
07/18	Deposit [redacted]	3,422.75
07/18	Deposit [redacted]	492.07
07/18	Deposit [redacted]	469.86
07/18	Deposit [redacted]	407.79
07/18	Deposit [redacted]	183.74
07/18	American Express Settlement [redacted]	200.00
07/18	Fdms-Settlement Deposit [redacted]	100.00
07/19	Fdms-Settlement Deposit [redacted]	595.00
07/19	American Express Settlement [redacted]	110.00
07/19	Fdms-Settlement Deposit [redacted]	25.00
07/20	American Express Settlement [redacted]	100.00
07/22	Fdms-Settlement Deposit [redacted]	480.00
07/22	Transfer Llc Bkcd Stmt [redacted]	55.00
07/25	Fdms-Settlement Deposit [redacted]	250.00
07/25	Fdms-Settlement Deposit [redacted]	50.00
07/25	Fdms-Settlement Deposit [redacted]	30.00
07/25	American Express Settlement [redacted]	20.00
07/27	American Express Settlement [redacted]	100.00
07/28	Deposit [redacted]	2,500.00
07/28	Deposit [redacted]	180.74
07/28	Deposit [redacted]	170.00
07/28	Deposit [redacted]	89.40
07/28	Fdms-Settlement Deposit [redacted]	1,000.00
07/29	Fdms-Settlement Deposit [redacted]	1,000.00
Total Deposits and Additions		**$104,400.65**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted] ^		07/13	$122.13
^		07/15	24.00
^		07/07	2,000.00
^		07/01	600.00
^		07/06	10,500.00
^		07/07	680.00
^		07/11	131.42
^		07/26	5,240.00

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

July 01, 2011 through July 29, 2011
Account Number: [redacted]

CHECKS PAID (continued)

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	07/18	1,000.00
[redacted]	^	07/25	91.12
[redacted]	^	07/22	680.00
[redacted]	^	07/25	22.20
[redacted]	^	07/27	602.11
[redacted]	^	07/25	15,000.00
Total Checks Paid			**$36,692.98**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

^ An image of this check may be available for you to view on Chase.com.

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
07/01	Card Purchase 06/30 Icontact Corporation [redacted] NC Card [redacted]	$21.70
07/01	Card Purchase 06/30 Icontact Corporation [redacted] NC Card [redacted]	18.45
07/05	Card Purchase 07/01 Icontact Corporation [redacted] NC Card [redacted]	379.00
07/05	Recurring Card Purchase 07/05 Webstchostingbill [redacted] OK Card [redacted]	99.00
07/11	Card Purchase With Pin 07/11 Costco Whse #0233 Arlington VA Card [redacted]	173.60
07/14	Card Purchase 07/12 Millennium Mccormick Scottsdale AZ Card [redacted]	1,435.53
07/16	Card Purchase With Pin 07/18 Costco Whse #0233 Arlington VA Card [redacted]	200.90
07/18	Card Purchase With Pin 07/18 Best Buy #276 Arlington VA Card [redacted]	31.49
07/18	Card Purchase With Pin 07/18 Staples, Inc. Scottsdale AZ Card [redacted]	67.53
07/19	Card Purchase With Pin 07/19 Usps 037653063/Usps Scottsdale AZ Card [redacted]	176.00
07/20	Card Purchase 07/19 House Gift Shop Washington DC Card [redacted]	115.20
07/28	Card Purchase 07/28 Fedex 875694554165 800-4633339 TN Card [redacted]	69.75
07/29	Card Purchase 07/27 Icontact Corporation 650-6222200 NC Card [redacted]	16.80
Total ATM & Debit Card Withdrawals		**$2,804.96**

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
07/01	American Express Collection [redacted]	$4.95
07/05	American Express Axp Discnt [redacted]	87.02
07/06	Qgiv Debits Qgiv Debit Oka [redacted]	861.29
07/06	Fdms-Settlement Discount [redacted]	616.58
07/06	Fdms-Settlement Fee [redacted]	29.00
07/06	Fdms-Settlement Interchng [redacted]	2.30
07/11	Transfirst Llc Discount [redacted]	317.30
07/11	Gulf Managem (2) Operations [redacted]	0.50
Total Electronic Withdrawals		**$1,918.94**

CHASE

July 01, 2011 through July 29, 2011
Account Number: [redacted]

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
07/05	Service Charges For The Month of June	$12.00
Total Fees & Other Withdrawals		**$12.00**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
07/01	$283,658.67	07/18	329,993.59
07/05	304,932.65	07/19	330,547.59
07/06	294,757.48	07/20	330,532.39
07/07	295,777.48	07/22	330,387.39
07/08	297,877.48	07/25	315,524.07
07/11	301,254.66	07/26	310,384.07
07/12	316,388.96	07/27	309,881.96
07/13	316,266.83	07/28	313,752.35
07/14	314,831.30	07/29	314,735.55
07/15	314,992.30		

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included: [redacted]

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$0.00	
Total Service Charges	**$0.00**	

Your monthly maintenance fee of $48.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	40
Deposits / Credits	54
Deposited Items	111
Total Transactions	**205**

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 205.

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

July 01, 2011 through July 29, 2011
Account Number: [redacted]

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$40.00	$0.00
Transactions	200	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$1,622	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	4	0	$0.00	$0.00
Outgoing Wire - Domestic Online	0	0	0	$0.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge					$0.00
ACCOUNT [redacted]					
Transactions	100				
Cash Deposited					
Branch Deposit - Immediate Verification	$100				
ACCOUNT [redacted]					
Transactions	18				
Cash Deposited					
Branch Deposit - Immediate Verification	$1,622				

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

July 30, 2011 through August 31, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site	Chase.com
Service Center	1-800-242-7338
Hearing Impaired	1-800-242-7383
Para Español	1-888-622-4273
International Calls	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$314,735.55
Deposits and Additions	17	25,627.00
Checks Paid	4	- 10,793.98
ATM & Debit Card Withdrawals	11	- 1,853.19
Electronic Withdrawals	8	- 1,082.36
Ending Balance	40	$320,633.02

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
08/01	American Express Settlement [redacted]	$2,050.00
08/01	Fdms-Settlement Deposit [redacted]	332.00
08/04	Deposit [redacted]	7,250.00
08/04	Deposit [redacted]	3,050.00
08/04	Fdms-Settlement Deposit [redacted]	25.00
08/08	American Express Settlement [redacted]	100.00
08/09	Fdms-Settlement Deposit [redacted]	200.00
08/09	Fdms-Settlement Deposit [redacted]	50.00
08/11	Deposit [redacted]	1,500.00
08/15	American Express Settlement [redacted]	85.00
08/18	Deposit [redacted]	2,000.00
08/18	Deposit [redacted]	1,400.00
08/22	Deposit [redacted]	2,500.00
08/29	Deposit [redacted]	1,100.00
08/30	Deposit [redacted]	3,050.00
08/30	Deposit [redacted]	685.00
08/30	Fdms-Settlement Deposit [redacted]	250.00
Total Deposits and Additions		**$25,627.00**

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

July 30, 2011 through August 31, 2011
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

July 30, 2011 through August 31, 2011
Account Number: [redacted]

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted] ^		08/02	$1,200.00
* ^		08/15	9,173.78
^		08/03	400.00
* ^		08/30	20.20
Total Checks Paid			**$10,793.98**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com.

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
08/01	Card Purchase 07/28 Icontact Corporation [redacted] NC Card [redacted]	$2.00
08/02	Card Purchase 08/01 Icontact Corporation [redacted] NC Card [redacted]	379.00
08/08	Recurring Card Purchase 08/06 Websitehostingbill C 405-948-830 OK Card [redacted]	99.00
08/09	Card Purchase 08/08 Yahoo *Flickr Pro U 866-562-722 CA Card [redacted]	24.95
08/19	Card Purchase 08/18 Amf Frontier Lanes Scottsdale AZ Card [redacted]	200.00
08/23	Card Purchase 08/22 Online Labels 888-575-2235 FL Card [redacted]	32.35
08/24	Card Purchase 08/22 Southwestair [redacted] Dallas TX Card [redacted]	189.70
08/24	Card Purchase 08/22 Southwestair [redacted] Dallas TX Card [redacted]	10.00
08/25	Card Purchase With Pin 08/25 Usps 0376650600/7242 Scottsdale AZ Card [redacted]	190.00
08/25	Card Purchase With Pin 08/25 Usps 0376650600/7242 Scottsdale AZ Card [redacted]	88.00
08/29	Card Purchase 08/27 Amf Frontier Lanes Scottsdale AZ Card [redacted]	638.19
Total ATM & Debit Card Withdrawals		**$1,853.19**

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
08/02	American Express Collection [redacted]	$4.95
08/03	Qpiv Debits Qpiv Debit Ofac [redacted]	335.92
08/03	Fdms-Settlement Discount [redacted]	203.77
08/03	Fdms-Settlement Interchng [redacted]	70.92
08/03	Fdms-Settlement Fee [redacted]	28.63
08/05	American Express Axp Disc [redacted]	353.55
08/10	Transfirst Lb Discount [redacted]	93.62
08/11	Gut Managem (2) Operations [redacted]	1.00
Total Electronic Withdrawals		**$1,082.36**

Page 3 of 6

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

July 30, 2011 through August 31, 2011
Account Number: [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
08/01	$317,115.55	08/16	317,016.46
08/02	315,531.60	08/18	320,416.46
08/03	314,902.35	08/19	320,216.46
08/04	325,227.36	08/22	322,716.46
08/05	324,873.81	08/23	322,684.11
08/08	324,874.81	08/24	322,484.41
08/09	324,659.80	08/25	322,206.41
08/10	324,606.24	08/29	322,668.22
08/11	326,105.24	08/30	326,633.02
08/15	326,190.24		

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included: [redacted]

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$0.00	
Total Service Charges	**$0.00**	

Your monthly maintenance fee of $48.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	27
Deposits / Credits	20
Deposited Items	40
Total Transactions	**87**

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 87.

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$48.00	$0.00
Transactions	87	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$1,215	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	4	0	$0.00	$0.00
Outgoing Wire - Domestic Online	0	0	0	$0.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge					**$0.00**

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

July 30, 2011 through August 31, 2011
Account Number: [redacted]

SERVICE CHARGE DETAIL *(continued)*

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
ACCOUNT [redacted]					
Transactions	75				
Cash Deposited					
Branch Deposit - Immediate Verification	5260				
ACCOUNT [redacted]					
Transactions	9				
Cash Deposited					
Branch Deposit - Immediate Verification	5855				

[redacted]

Page 5 of 6

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

July 30, 2011 through August 31, 2011
Account Number: [redacted]

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29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P.O. Box 659754
San Antonio, TX 78265-9754

September 01, 2011 through September 30, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Español:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$328,633.02
Deposits and Additions	60	97,047.50
Checks Paid	8	- 15,983.93
ATM & Debit Card Withdrawals	29	- 2,201.84
Electronic Withdrawals	7	- 236.19
Ending Balance	**104**	**$405,258.55**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
09/01	Deposit [redacted]	$2,515.00
09/01	Deposit [redacted]	1,900.00
09/01	Deposit [redacted]	500.00
09/06	Deposit [redacted]	1,055.00
09/06	Deposit [redacted]	200.00
09/06	American Express Settlement [redacted]	1,000.00
09/06	Fdms-Settlement Deposit [redacted]	500.00
09/06	American Express Settlement [redacted]	275.00
09/07	Fdms-Settlement Deposit [redacted]	2,000.00
09/07	Fdms-Settlement Deposit [redacted]	330.00
09/07	Transfirst Llc Bkcd Stlmt [redacted]	200.00
09/09	Deposit [redacted]	4,000.00
09/09	Deposit [redacted]	75.00
09/09	Fdms-Settlement Deposit [redacted]	495.00
09/12	Fdms-Settlement Deposit [redacted]	170.00
09/12	American Express Settlement [redacted]	125.00
09/13	Deposit [redacted]	4,600.00
09/13	Deposit [redacted]	2,000.00
09/13	Deposit [redacted]	35.00
09/13	Fdms-Settlement Deposit [redacted]	1,185.00

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

September 01, 2011 through September 30, 2011
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 6

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

September 01, 2011 through September 30, 2011
Account Number [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
09/13	Fdms-Settlement Deposit [redacted]	100.00
09/14	American Express Settlement [redacted]	195.00
09/14	Fdms-Settlement Deposit [redacted]	187.00
09/15	Fdms-Settlement Deposit [redacted]	215.00
09/15	Deposit [redacted]	12,000.00
09/15	Deposit [redacted]	2,660.00
09/15	Deposit [redacted]	2,000.00
09/16	Deposit [redacted]	1,100.00
09/16	American Express Settlement [redacted]	185.00
09/16	Fdms-Settlement Deposit [redacted]	50.00
09/19	American Express Settlement [redacted]	950.00
09/19	Fdms-Settlement Deposit [redacted]	270.00
09/20	Fdms-Settlement Deposit [redacted]	265.00
09/20	David Schweikert David Schw Gms-065Yes-0323 [redacted]	185.00
09/20	Fdms-Settlement Deposit [redacted]	25.00
09/21	Deposit [redacted]	7,000.00
09/21	Deposit [redacted]	1,100.00
09/21	Deposit [redacted]	840.00
09/21	Deposit [redacted]	735.00
09/21	Deposit [redacted]	90.50
09/23	American Express Settlement [redacted]	100.00
09/26	Deposit [redacted]	13,250.00
09/26	Deposit [redacted]	3,930.00
09/26	Deposit [redacted]	1,380.00
09/26	Deposit [redacted]	1,150.00
09/26	Fdms-Settlement Deposit [redacted]	1,150.00
09/26	American Express Settlement [redacted]	510.00
09/26	Transfirst Llc Bkcd Stlmt [redacted]	280.00
09/27	Fdms-Settlement Deposit [redacted]	509.00
09/27	Fdms-Settlement Deposit [redacted]	112.00
09/27	American Express Settlement [redacted]	49.00
09/27	Fdms-Settlement Deposit [redacted]	10.00
09/28	Deposit [redacted]	9,500.00
09/28	Deposit [redacted]	5,905.00
09/28	Deposit [redacted]	3,550.00
09/28	Fdms-Settlement Deposit [redacted]	100.00
09/29	David Schweikert David Schw Gms-017Yes-0212 [redacted]	1,070.00
09/29	Fdms-Settlement Deposit [redacted]	245.00
09/29	Transfirst Llc Bkcd Stlmt [redacted]	175.00
09/30	Fdms-Settlement Deposit [redacted]	660.00
Total Deposits and Additions		**$97,047.50**

CHASE

September 01, 2011 through September 30, 2011
Account Number: [redacted]

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted] ^		09/12	$6,649.77
* ^		09/02	360.00
* ^		09/01	1,000.00
^		09/07	880.00
^		09/07	319.15
^		09/07	6,000.00
^	09/21	09/21	525.00
^		09/26	250.00
Total Checks Paid			$15,983.93

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements

^ An image of this check may be available for you to view on Chase.com

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
09/02	Recurring Card Purchase 09/01 Icontact Corporation 650-6222200 NC Card [redacted]	$378.00
09/06	Card Purchase With Pin 09/03 Samsclub #6241 Scottsdale AZ Card [redacted]	137.78
09/06	Card Purchase 09/03 Dunkin #348404 Q3 Scottsdale AZ Card [redacted]	91.45
09/07	Card Purchase With Pin 09/07 Costco Whse #0293 Arlington VA Card [redacted]	160.01
09/07	Recurring Card Purchase 09/07 Websitehostingbil C 405-946-830 OK Card [redacted]	99.00
09/12	Card Purchase With Pin 09/12 Office Max 16259 N SC Scottsdale AZ Card [redacted]	107.18
09/12	Card Purchase With Pin 09/12 Usps 0375600630/8175 Scottsdale AZ Card [redacted]	264.00
09/16	Recurring Card Purchase 09/15 Icontact Corporation 650-6222200 NC Card [redacted]	2.00
09/20	Card Purchase With Pin 09/20 Usps 0363680024 Phoenix AZ Card [redacted]	220.00
09/20	Recurring Card Purchase 09/19 Icontact Corporation 650-6222200 NC Card [redacted]	2.00
09/22	Recurring Card Purchase 09/21 Icontact Corporation 650-6222200 NC Card [redacted]	20.53
09/22	Recurring Card Purchase 09/21 Icontact Corporation 650-6222200 NC Card [redacted]	15.35
09/22	Recurring Card Purchase 09/21 Icontact Corporation 650-6222200 NC Card [redacted]	15.35
09/23	Card Purchase 09/21 Usps 0375590630902842 Scottsdale AZ Card [redacted]	68.00
09/25	Card Purchase With Pin 09/24 Samsclub #6241 Scottsdale AZ Card [redacted]	183.90
09/26	Recurring Card Purchase 09/22 Icontact Corporation 650-6222200 NC Card [redacted]	20.43
09/28	Card Purchase 09/28 Amazon.Com Amzn.Com/Bill WA Card [redacted]	10.06
09/28	Card Purchase 09/28 Amazon.Com Amzn.Com/Bill WA Card [redacted]	80.48
09/28	Recurring Card Purchase 09/26 Icontact Corporation 650-6222200 NC Card [redacted]	20.47
09/28	Recurring Card Purchase 09/27 Icontact Corporation 650-6222200 NC Card [redacted]	20.44
09/28	Recurring Card Purchase 09/26 Icontact Corporation 650-6222200 NC Card [redacted]	15.20
09/28	Recurring Card Purchase 09/27 Icontact Corporation 650-6222200 NC Card [redacted]	15.14
09/28	Recurring Card Purchase 09/26 Icontact Corporation 650-6222200 NC Card [redacted]	2.20
09/29	Card Purchase 09/29 Amazon.Com Amzn.Com/Bill WA Card [redacted]	80.48
09/29	Card Purchase 09/26 Amazon.Com Amzn.Com/Bill WA Card [redacted]	80.48
09/29	Recurring Card Purchase 09/28 Icontact Corporation 650-6222200 NC Card [redacted]	20.42

CHASE

September 01, 2011 through September 30, 2011
Account Number: [redacted]

ATM & DEBIT CARD WITHDRAWALS (continued)

DATE	DESCRIPTION	AMOUNT
09/29	Recurring Card Purchase 09/28 Icontact Corporation 650-5222200 NC Card [redacted]	15.08
09/30	Recurring Card Purchase 09/29 Icontact Corporation 650-5222200 NC Card [redacted]	20.35
09/30	Recurring Card Purchase 09/29 Icontact Corporation 650-5222200 NC Card [redacted]	15.02
Total ATM & Debit Card Withdrawals		**$2,201.84**

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
09/01	American Express Collection [redacted]	54.95
09/02	Qgiv Debits Qgiv Debit Oke [redacted]	60.88
09/06	American Express Axp Discnt [redacted]	69.97
09/06	Fdms-Settlement Discount [redacted]	15.50
09/06	Fdms-Settlement Interchng [redacted]	8.86
09/06	Fdms-Settlement Fee [redacted]	7.09
09/12	Transfirst Llc Discount [redacted]	48.95
Total Electronic Withdrawals		**$236.19**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
09/01	$330,643.07	09/19	353,119.48
09/02	329,823.19	09/20	353,372.48
09/06	332,522.55	09/21	362,612.98
09/07	327,594.38	09/22	362,561.75
09/09	332,164.36	09/23	362,573.75
09/12	325,389.48	09/26	383,769.42
09/13	333,309.48	09/27	384,449.42
09/14	333,691.48	09/28	403,340.43
09/15	333,906.48	09/29	404,633.97
09/16	351,899.48	09/30	405,258.55

SERVICE CHARGE SUMMARY

Chase BusinessBlue Extra Accounts Included: [redacted]

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$0.00	
Total Service Charges	**$0.00**	

Your monthly maintenance fee of $45.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

CHASE

September 01, 2011 through September 30, 2011
Account Number [redacted]

SERVICE CHARGE SUMMARY *(continued)*

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	55
Deposits / Credits	66
Deposited Items	207
Total Transactions	328

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 328

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/ UNIT	TOTAL
Your Product Includes					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$46.00	$0.00
Transactions	328	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	51 015	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	4	0	$0.00	$0.00
Outgoing Wire - Domestic Online	0	0	0	$0.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge					$0.00
ACCOUNT [redacted]					
Transactions	303				
Cash Deposited					
Branch Deposit - Immediate Verification	$715				
ACCOUNT [redacted]					
Transactions	2				
ACCOUNT [redacted]					
Transactions	23				
Cash Deposited					
Branch Deposit - Immediate Verification	$300				

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

October 01, 2011 through October 31, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$405,258.56
Deposits and Additions	53	133,873.50
Checks Paid	20	- 236,945.57
ATM & Debit Card Withdrawals	10	- 7,800.63
Electronic Withdrawals	8	- 1,929.58
Ending Balance	91	$292,456.28

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
10/03	Deposit [redacted]	$7,000.00
10/03	Deposit [redacted]	5,200.00
10/03	Deposit [redacted]	5,100.00
10/03	Deposit [redacted]	2,175.00
10/03	Deposit [redacted]	19.51
10/03	Fdms-Settlement Deposit [redacted]	7,185.00
10/03	American Express Settlement [redacted]	2,553.00
10/03	American Express Settlement [redacted]	155.00
10/03	Transfirst Llc Bkcd Stlmt [redacted]	25.00
10/04	Fdms-Settlement Deposit [redacted]	5,035.00
10/04	Fdms-Settlement Deposit [redacted]	4,993.00
10/04	American Express Settlement [redacted]	720.00
10/04	Transfirst Llc Bkcd Stlmt [redacted]	500.00
10/04	Fdms-Settlement Deposit [redacted]	175.00
10/05	American Express Settlement [redacted]	1,270.00
10/06	Deposit [redacted]	21,365.62
10/06	Deposit [redacted]	10,000.00
10/06	Deposit [redacted]	5,000.00
10/06	Deposit [redacted]	3,725.00
10/06	American Express Settlement [redacted]	1,025.00

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

October 01, 2011 through October 31, 2011
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

October 01, 2011 through October 31, 2011
Account Number: [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
10/05	Fdms-Settlement Deposit [redacted]	1,000.00
10/07	David Schweikert David Schw Gms-034Yes-0389 [redacted]	65.00
10/07	American Express Settlement 5021185998 [redacted]	25.00
10/12	Deposit [redacted]	6,000.00
10/12	Deposit [redacted]	650.00
10/12	Deposit [redacted]	609.61
10/12	Fdms-Settlement Deposit [redacted]	10.00
10/14	Fdms-Settlement Deposit [redacted]	98.00
10/17	Fdms-Settlement Deposit [redacted]	125.00
10/17	American Express Settlement [redacted]	85.00
10/17	American Express Settlement [redacted]	10.00
10/18	Deposit [redacted]	21,500.00
10/18	Deposit [redacted]	4,525.00
10/18	Deposit [redacted]	268.43
10/18	Deposit [redacted]	134.21
10/18	Deposit [redacted]	133.57
10/18	Deposit [redacted]	45.93
10/18	Fdms-Settlement Deposit [redacted]	35.00
10/18	Fdms-Settlement Deposit [redacted]	10.00
10/18	Fdms-Settlement Deposit [redacted]	10.00
10/19	Fdms-Settlement Deposit [redacted]	10.00
10/21	David Schweikert David Schw Gms-031Yes-0359 [redacted]	112.00
10/24	Deposit [redacted]	2,500.00
10/24	Deposit [redacted]	50.00
10/25	Fdms-Settlement Deposit [redacted]	265.00
10/25	Fdms-Settlement Deposit [redacted]	25.00
10/25	Fdms-Settlement Deposit [redacted]	25.00
10/27	Deposit [redacted]	4,500.00
10/27	Deposit [redacted]	1,100.00
10/31	Deposit [redacted]	6,000.00
10/31	Deposit [redacted]	125.00
10/31	Deposit [redacted]	89.62
10/31	American Express Settlement [redacted]	500.00
Total Deposits and Additions		**$133,873.50**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	10/07	$21.00
[redacted]	^	10/03	122.13
[redacted]	^	10/07	200.00
[redacted]	^	10/03	1,460.00
[redacted]	^	10/11	1,000.00
[redacted]	^	10/11	1,500.34
[redacted]	* ^	10/11	20.00
[redacted]	^	10/11	29.50

CHASE

October 01, 2011 through October 31, 2011
Account Number: [redacted]

CHECKS PAID *(continued)*

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	*	10/20	5,000.00
	*	10/21	7,100.00
	*	10/12	500.00
	*	10/13	660.00
	*	10/13	1,053.42
	*	10/17	21.20
	*	10/18	1,200.00
	*	10/21	1,058.00
	*	10/18	800.00
	*	10/24	200,000.00
	*	10/24	1,000.00
	*	10/26	14,101.98
Total Checks Paid			**$236,945.57**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
10/03	Recurring Card Purchase 09/30 Icontact Corporation 650-6222200 NC Card [redacted]	$20.37
10/03	Recurring Card Purchase 09/30 Icontact Corporation 650-6222200 NC Card [redacted]	14.97
10/04	Recurring Card Purchase 10/03 Icontact Corporation 650-6222200 NC Card [redacted]	379.00
10/05	Recurring Card Purchase 10/05 Websitehostingbill C 405-948-830 OK Card [redacted]	99.00
10/21	Card Purchase With Pin 10/21 Usps 1050091422/1100 Washington DC Card [redacted]	25.05
10/24	Card Purchase With Pin 10/24 Costco Whse #0233 Arlington VA Card [redacted]	176.18
10/24	Card Purchase With Pin 10/24 Office Max 16259 N SC Scottsdale AZ Card [redacted]	39.21
10/25	Card Purchase 10/24 Online Labels 888-575-2235 FL Card [redacted]	49.85
10/27	Card Purchase 10/26 Usps Postal St661002 800-3447779 MO Card [redacted]	6,821.00
10/28	Card Purchase 10/27 Usps 03765908300002842 Scottsdale AZ Card [redacted]	176.00
Total ATM & Debit Card Withdrawals		**$7,800.63**

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
10/03	American Express Collection [redacted]	54.95
10/04	Qgiv Debits Qgiv Debit Qke [redacted]	988.28
10/04	Fdms-Settlement Discount [redacted]	613.53
10/04	Fdms-Settlement Fee [redacted]	65.20
10/04	Fdms-Settlement Interchng [redacted]	5.57
10/05	American Express Axp Discnt [redacted]	108.11
10/11	Transfirst Llc Discount [redacted]	138.44
10/11	Gulf Managem (2) Operations [redacted]	6.50
Total Electronic Withdrawals		**$1,929.58**

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

October 01, 2011 through October 31, 2011
Account Number: [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
10/03	$433,042.65	10/18	512,679.93
10/04	442,413.07	10/19	512,669.93
10/05	443,576.95	10/20	507,689.93
10/06	485,593.58	10/21	499,620.88
10/07	485,462.58	10/24	300,955.49
10/11	482,767.80	10/25	301,240.84
10/12	499,437.41	10/26	267,138.66
10/13	497,723.99	10/27	285,917.66
10/14	497,518.99	10/28	285,741.66
10/17	498,017.79	10/31	292,456.28

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included: [redacted]

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$0.00	
Total Service Charges	**$0.00**	

Your monthly maintenance fee of $46.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	46
Deposits / Credits	60
Deposited Items	151
Total Transactions	**257**

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 257.

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/ UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$46.00	$0.00
Transactions	257	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$2,924	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	4	0	$0.00	$0.00
Outgoing Wire - Domestic Online	0	0	0	$0.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge					**$0.00**

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

October 01, 2011 through October 31, 2011
Account Number: [redacted]

SERVICE CHARGE DETAIL *(continued)*

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
ACCOUNT [redacted]					
Transactions	207				
Cash Deposited					
Branch Deposit - Immediate Verification	$320				
ACCOUNT [redacted]					
Transactions	2				
ACCOUNT [redacted]					
Transactions	18				
Cash Deposited					
Branch Deposit - Immediate Verification	$2,604				

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P.O Box 659754
San Antonio, TX 78265- 9754

November 01, 2011 through November 30, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713 262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

Important information about your Chase Business Checking Account Statement:

Starting November 14, 2011, we are making it easier to track your Chase ATM and debit card transactions. On your deposit statement, look for a new section called "ATM and Debit Card Summary" to see all of your ATM and debit card transactions organized by each authorized cardholder.

Please note that any ATM or Debit card transactions that post to your account before November 14, 2011 will not show under this new section. The "ATM and Debit Card Withdrawals" section will not change and will continue to display all of your ATM and debit card transactions in date order.

We value you as a Chase customer. If you have any questions, please call us at 1-800-CHASE38 (1-800-242-7338).

We are making some changes that affect Chase personal and business checking, savings and Certificate of Deposit (CD) accounts, including retirement accounts*. Enclosed with this statement is a rewritten Deposit Account Agreement (formerly known as the Account Rules and Regulations). The new design of this booklet will make it easier for you to read and find the information you need quickly.

Please review the information and keep this as reference with your other financial documents.

Please note: If you would like to receive the Deposit Account Agreement in Spanish, they will be available at your nearest Chase branch, starting February 1, 2012.

*For checking and savings accounts, all changes are effective on February 1, 2012. For CD accounts, the changes are effective on the first CD maturity date occurring on or after February 1, 2012.

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		**$292,456.28**
Deposits and Additions	27	29,695.00
Checks Paid	5	- 8,179.13
ATM & Debit Card Withdrawals	7	- 606.36
Electronic Withdrawals	8	- 667.42
Fees and Other Withdrawals	1	- 100.00
Ending Balance	**49**	**$312,465.37**

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

November 01, 2011 through November 30, 2011
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 6

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

November 01, 2011 through November 30, 2011
Account Number: [redacted]

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
11/01	Fdms-Settlement Deposit [redacted]	$10.00
11/03	Deposit [redacted]	2,000.00
11/03	Deposit	275.00
11/04	Fdms-Settlement Deposit [redacted]	25.00
11/07	Fdms-Settlement Deposit	200.00
11/08	Deposit [redacted]	2,500.00
11/08	Deposit	2,325.00
11/09	Fdms-Settlement Deposit [redacted]	10.00
11/10	Deposit [redacted]	2,000.00
11/10	Deposit	500.00
11/10	Fdms-Settlement Deposit [redacted]	500.00
11/14	Deposit [redacted]	1,750.00
11/14	Transfirst Llc Bkcd Stmt [redacted]	325.00
11/15	Fdms-Settlement Deposit [redacted]	100.00
11/15	American Express Settlement [redacted]	85.00
11/15	Fdms-Settlement Deposit [redacted]	30.00
11/17	Fdms-Settlement Deposit [redacted]	250.00
11/18	Fdms-Settlement Deposit [redacted]	10.00
11/21	Deposit [redacted]	3,000.00
11/21	Deposit [redacted]	1,400.00
11/22	Deposit [redacted]	2,950.00
11/23	Deposit [redacted]	2,000.00
11/22	Fdms-Settlement Deposit [redacted]	110.00
11/26	Deposit [redacted]	4,000.00
11/26	Deposit	100.00
11/28	American Express Settlement [redacted]	1,250.00
11/29	Fdms-Settlement Deposit [redacted]	10.00
Total Deposits and Additions		**$29,695.00**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted] ^		11/01	$110.00
[redacted] ^		11/15	1,227.06
[redacted] ^		11/15	3,500.00
[redacted] ^		11/14	180.94
[redacted] ^		11/23	3,140.83
[redacted] ^		11/28	20.30
Total Checks Paid			**$8,179.13**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com.

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

November 01, 2011 through November 30, 2011
Account Number: [redacted]

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
11/02	Recurring Card Purchase 11/01 Icontact Corporation 650-6222200 NC Card [redacted]	$379.00
11/08	Recurring Card Purchase 11/07 Websitehostingbil C 405-948-830 OK Card [redacted]	99.00
11/10	Card Purchase With Pin 11/10 Staples, Inc. Scottsdale AZ Card [redacted]	49.77
11/10	Card Purchase With Pin 11/10 Staples, Inc. Scottsdale AZ Card [redacted]	17.60
11/14	Recurring Card Purchase 11/11 Domain/Hosting Svcs 480-6242500 AZ Card [redacted]	20.34
11/15	Card Purchase 11/15 Barnes & Noble #2712 Falls Churc VA Card [redacted]	81.87
11/18	Card Purchase 11/18 Amazon.Com Amzn.Com/Bill WA Card [redacted]	54.78
Total ATM & Debit Card Withdrawals		$699.35

ATM & DEBIT CARD SUMMARY

David Schweikart Card [redacted]

Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$156.99
Total Card Credits	$0.00

ATM & Debit Card Totals

Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$156.99
Total Card Credits	$0.00

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
11/01	American Express Collection [redacted]	$4.95
11/03	Fdms-Settlement Discount [redacted]	200.94
11/03	Cgiv Debits Cgiv Debit Oke [redacted]	85.06
11/03	Fdms-Settlement Interchng [redacted]	78.79
11/03	Fdms-Settlement Fee [redacted]	29.15
11/07	American Express Axp Discnt [redacted]	198.57
11/10	Transfirst Llc Discount [redacted]	87.56
11/14	Gulf Managem (2) Operations [redacted]	2.50
Total Electronic Withdrawals		$687.42

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
11/25	Deposited Item Returned Stop Payment [redacted] Items00001Ck# [redacted] Date112211Ck Am!5000010000	$100.00
Total Fees & Other Withdrawals		$100.00

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

November 01, 2011 through November 30, 2011
Account Number: [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
11/01	$292,351.33	11/16	298,721.28
11/02	291,972.33	11/17	298,971.28
11/03	293,853.49	11/18	298,926.50
11/04	293,878.49	11/21	305,326.50
11/07	293,879.92	11/22	310,386.50
11/08	298,595.92	11/23	307,245.67
11/10	301,443.99	11/25	307,145.67
11/14	303,315.21	11/28	312,475.37
11/15	298,803.15	11/29	312,485.37

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts included: [redacted]

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$12.00	
Total Service Charges	**$12.00**	**Will be assessed on 12/5/11**

Your monthly maintenance fee of $46.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	24
Deposits / Credits	31
Deposited Items	60
Total Transactions	115

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 115.

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$46.00	$0.00
Transactions	115	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$1,478	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	4	0	$0.00	$0.00
Outgoing Wire - Domestic Online	0	0	0	$0.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Subtotal					**$0.00**

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

November 01, 2011 through November 30, 2011
Account Number: [redacted]

SERVICE CHARGE DETAIL *(continued)*

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Other Fees					
Return Item	1	0	1	$12.00	$12.00
Total Service Charge (Will be assessed on 12/5/11)					$12.00

ACCOUNT [redacted]

Transactions	106
Cash Deposited	
Branch Deposit - Immediate Verification	$105
Return Item	1

ACCOUNT [redacted]

Transactions	9
Cash Deposited	
Branch Deposit - Immediate Verification	$1,371

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

December 01, 2011 through December 30, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

Important Information about Chase Business Checking and Savings Accounts

We are working to simplify our Chase checking and savings accounts by eliminating or reducing some of our fees. The following changes to the Additional Banking Services and Fees for Chase business checking and savings accounts are effective December 14, 2011. All other terms of your Deposit Account Agreement remain the same. If you have any questions, please call us at 1-800-CHASE38 (1-800-242-7338) or visit your branch.

We will no longer charge fees for the following services:
- No fees for Check/Item Copies and Urgent Item Copies -for example, we will not charge you when you need a copy of a cancelled check or deposit slip.
- No fees for Immediate Notification of wire transfers
- No fees for a Failed Payment when using Chase Online Bill Pay or Quick Pay

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		**$312,485.37**
Deposits and Additions	47	70,764.00
Checks Paid	3	- 17,867.30
ATM & Debit Card Withdrawals	17	- 4,655.11
Electronic Withdrawals	9	- 8,230.77
Fees and Other Withdrawals	1	- 12.00
Ending Balance	**79**	**$352,484.19**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
12/06	Fdms-Settlement Deposit [redacted]	$415.00
12/06	Fdms-Settlement Deposit [redacted]	155.00
12/07	American Express Settlement [redacted]	100.00
12/08	Deposit [redacted]	7,559.00
12/08	Deposit [redacted]	3,525.00
12/08	Deposit [redacted]	1,000.00
12/08	Deposit [redacted]	1,000.00
12/08	Deposit [redacted]	630.00
12/09	Fdms-Settlement Deposit [redacted]	100.00

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

December 01, 2011 through December 30, 2011
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 8

CHASE

December 01, 2011 through December 30, 2011
Account Number [redacted]

DEPOSITS AND ADDITIONS *(continued)*

DATE	DESCRIPTION	AMOUNT
12/12	Deposit [redacted]	4,500.00
12/12	Deposit [redacted]	3,670.00
12/12	Deposit [redacted]	3,100.00
12/12	Fdms-Settlement Deposit [redacted]	300.00
12/12	American Express Settlement [redacted]	220.00
12/13	Fdms-Settlement Deposit [redacted]	20.00
12/13	Fdms-Settlement Deposit [redacted]	10.00
12/14	Fdms-Settlement Deposit [redacted]	20.00
12/15	Transfirst Llc Bkcd Stlmt [redacted]	1,150.00
12/15	American Express Settlement [redacted]	85.00
12/19	American Express Settlement [redacted]	150.00
12/20	Deposit [redacted]	6,250.00
12/20	Deposit [redacted]	1,355.00
12/20	Transfirst Llc Bkcd Stlmt [redacted]	150.00
12/20	Fdms-Settlement Deposit [redacted]	10.00
12/20	Fdms-Settlement Deposit [redacted]	10.00
12/21	American Express Settlement [redacted]	50.00
12/22	Deposit [redacted]	6,938.00
12/22	Deposit [redacted]	4,500.00
12/22	Deposit [redacted]	175.00
12/22	Fdms Settlement Deposit [redacted]	350.00
12/23	Deposit [redacted]	3,000.00
12/23	Deposit [redacted]	1,500.00
12/23	Deposit [redacted]	1,120.00
12/23	Fdms-Settlement Deposit [redacted]	340.00
12/27	American Express Settlement [redacted]	750.00
12/27	Fdms-Settlement Deposit [redacted]	500.00
12/27	American Express Settlement [redacted]	100.00
12/28	Deposit [redacted]	10,000.00
12/28	Deposit [redacted]	2,500.00
12/28	Deposit [redacted]	539.00
12/28	Fdms-Settlement Deposit [redacted]	415.00
12/28	American Express Settlement [redacted]	225.00
12/28	Fdms-Settlement Deposit [redacted]	70.00
12/28	Fdms-Settlement Deposit [redacted]	20.00
12/29	Transfirst Llc Bkcd Stlmt [redacted]	125.00
12/29	David Schweikert David Schw Gms-016Yes-0196 [redacted]	50.00
12/30	Fdms-Settlement Deposit [redacted]	25.00
Total Deposits and Additions		**$70,784.00**

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

December 01, 2011 through December 31, 2011
Account Number: [redacted]

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted] ^		12/01	51,660.00
[redacted] ^		12/12	8,816.00
[redacted] ^		12/19	1,000.00
[redacted] ^		12/30	6,370.80
[redacted] ^		12/23	20.50
Total Checks Paid			**$17,887.30**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

^ An image of this check may be available for you to view on Chase.com.

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
12/02	Recurring Card Purchase 12/01 Icontact Corporation 650-6222200 NC Card [redacted]	379.00
12/05	Card Purchase With Pin 12/05 Costco Whse #0233 Arlington VA Card [redacted]	81.92
12/06	Recurring Card Purchase 12/05 Wabsitehostingbill C 405-848-830 OK Card [redacted]	99.00
12/09	Card Purchase With Pin 12/09 Samsclub #6241 Scottsdale AZ Card [redacted]	55.28
12/12	Card Purchase With Pin 12/10 Samsclub #6241 Scottsdale AZ Card [redacted]	188.47
12/12	Card Purchase With Pin 12/10 Samsclub #6241 Scottsdale AZ Card [redacted]	10.50
12/12	Card Purchase 12/10 Starfire Golf Club Scottsdale AZ Card [redacted]	2,441.24
12/22	Card Purchase 12/21 Chaparral Suites Scottsdale AZ Card [redacted]	1,232.18
12/22	Recurring Card Purchase 12/21 Icontact Corporation 650-6222200 NC Card [redacted]	10.55
12/23	Card Purchase With Pin 12/23 Usps 0376609551/8175 Scottsdale AZ Card [redacted]	23.76
12/23	Recurring Card Purchase 12/22 Icontact Corporation 650-6222200 NC Card [redacted]	21.91
12/27	Recurring Card Purchase 12/23 Icontact Corporation 650-6222200 NC Card [redacted]	21.89
12/27	Recurring Card Purchase 12/24 Icontact Corporation 650-6222200 NC Card [redacted]	21.84
12/27	Recurring Card Purchase 12/26 Icontact Corporation 650-6222200 NC Card [redacted]	21.80
12/28	Recurring Card Purchase 12/27 Icontact Corporation 650-6222200 NC Card [redacted]	21.72
12/29	Recurring Card Purchase 12/28 Icontact Corporation 650-6222200 NC Card [redacted]	21.65
12/30	Recurring Card Purchase 12/29 Icontact Corporation 650-6222200 NC Card [redacted]	2.00
Total ATM & Debit Card Withdrawals		**$4,655.11**

ATM & DEBIT CARD SUMMARY

David Schweikert Card [redacted]

Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$703.28
Total Card Credits	$0.00

Karen Garrett Card [redacted]

Total ATM Withdrawals & Debits	$0.00

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

December 01, 2011 through December 30, 2011
Account Number: [redacted]

Total Card Purchases	$3,951.83
Total Card Credits	$0.00
ATM & Debit Card Totals	
Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$4,655.11
Total Card Credits	$0.00

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION		AMOUNT
12/01	American Express Collection [redacted]		54.95
12/02	Qpiv Debits Qpiv Debit Oko [redacted]		50.27
12/05	American Express Axp Discnt [redacted]		41.80
12/05	Fdms-Settlement Discount [redacted]		35.73
12/05	Fdms-Settlement Interchng [redacted]		27.11
12/05	Fdms-Settlement Fee [redacted]		8.65
12/12	Transfirst Llc Discount [redacted]		62.26
12/13	Chase Quickpay Electronic Transfer [redacted]	To Bofa	4,999.00
12/13	Chase Quickpay Electronic Transfer [redacted]	To Bofa	3,000.00
Total Electronic Withdrawals			**$8,230.77**

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
12/05	Service Charges For The Month of November	$12.00
Total Fees & Other Withdrawals		**$12.00**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
12/01	$310,800.42	12/15	316,049.79
12/02	310,371.15	12/19	317,199.79
12/05	310,162.94	12/20	324,974.79
12/06	310,633.94	12/21	325,024.79
12/07	310,733.94	12/22	337,753.06
12/08	324,447.94	12/23	343,646.88
12/09	324,492.66	12/27	344,931.35
12/12	324,763.79	12/28	358,678.64
12/13	316,794.79	12/29	358,831.99
12/14	316,814.79	12/30	352,484.19

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included [redacted]

Page 5 of 6

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

December 01, 2011 through December 30, 2011
Account Number [redacted]

SERVICE CHARGE SUMMARY *(continued)*

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$0.00	
Total Service Charges	**$0.00**	

Your monthly maintenance fee of $45.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	35
Deposits / Credits	50
Deposited Items	119
Total Transactions	204

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 204

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$45.00	$0.00
Transactions	204	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$1,304	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	50	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	4	0	$0.00	$0.00
Outgoing Wire - Domestic Online	0	0	0	$0.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge					**$0.00**
ACCOUNT [redacted]					
Transactions	193				
Cash Deposited					
Branch Deposit - Immediate Verification	$314				
ACCOUNT [redacted]					
Transactions	11				
Cash Deposited					
Branch Deposit - Immediate Verification	$360				

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-199
GROUP ID G29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

December 31, 2011 through January 31, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$352,484.19
Deposits and Additions	24	37,701.00
Checks Paid	12	- 20,060.27
ATM & Debit Card Withdrawals	15	- 2,243.62
Electronic Withdrawals	10	- 8,561.24
Fees and Other Withdrawals	1	- 34.70
Ending Balance	62	$359,285.36

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
01/03	Fdms-Settlement Deposit [redacted]	$265.00
01/03	American Express Settlement [redacted]	75.00
01/04	Deposit [redacted]	4,140.00
01/04	Deposit [redacted]	3,500.00
01/04	Deposit [redacted]	2,836.00
01/04	Deposit [redacted]	2,000.00
01/04	Fdms-Settlement Deposit [redacted]	3,310.00
01/04	Transfer Lic Bkcd Stlmt [redacted]	1,100.00
01/04	Fdms-Settlement Deposit [redacted]	125.00
01/05	David Schweikert David Schw Gms-037Yes-0278 [redacted]	50.00
01/09	American Express Settlement [redacted]	1,000.00
01/10	Fdms-Settlement Deposit [redacted]	10.00
01/17	Fdms-Settlement Deposit [redacted]	520.00
01/17	American Express Settlement [redacted]	85.00
01/18	Fdms-Settlement Deposit [redacted]	120.00
01/18	Fdms-Settlement Deposit [redacted]	10.00
01/18	Fdms-Settlement Deposit [redacted]	10.00
01/24	Fdms-Settlement Deposit [redacted]	10.00

25-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

December 31, 2011 through January 31, 2012
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____
2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____
4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

December 31, 2011 through January 31, 2012
Account Number: [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
01/26	Deposit [redacted]	4,000.00
01/26	Deposit	50.00
01/31	Deposit	5,975.00
01/31	Deposit	5,000.00
01/31	American Express Settlement [redacted]	2,500.00
01/31	Fdms-Settlement Deposit [redacted]	10.00
Total Deposits and Additions		**$37,701.00**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	01/09	$1,120.00
	* ^	01/09	600.00
	^	01/10	2,637.50
	^	01/30	100.00
	^	01/12	8,817.00
	^	01/18	305.84
	^	01/23	176.87
	^	01/20	21.40
	^	01/20	181.66
	^	01/30	100.00
	^	01/30	2,000.00
	* ^	01/30	4,000.00
Total Checks Paid			**$20,060.27**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com.

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
01/04	Recurring Card Purchase 01/03 Icontact Corporation 650-6222200 NC Card [redacted]	$379.00
01/05	Card Purchase 01/05 Fyt*Floristexpress 888-444-1922 CT Card [redacted]	70.97
01/05	Card Purchase 01/04 Sarahs Scottsdale PO Scottsdale AZ Card [redacted]	88.00
01/05	Card Purchase 01/04 Usps Postal St651002 800-3447779 MO Card [redacted]	353.00
01/06	Card Purchase With Pin 01/06 Samsclub #6241 Scottsdale AZ Card [redacted]	54.15
01/06	Recurring Card Purchase 01/05 Websitehostingbill C 405-948-830 OK Card [redacted]	99.00
01/09	Card Purchase 01/08 Dunkin #348404 Q3 Scottsdale AZ Card [redacted]	235.31
01/18	Card Purchase With Pin 01/18 Costco Whse #0233 Arlington VA Card [redacted]	185.49
01/19	Card Purchase With Pin 01/19 Samsclub #6241 Scottsdale AZ Card [redacted]	124.19
01/20	Card Purchase 01/19 Dunkin #348404 Q3 Scottsdale AZ Card [redacted]	381.05
01/30	Card Purchase With Pin 01/28 Safeway Store 1515 Phoenix AZ Card [redacted]	27.48
01/30	Card Purchase 01/28 Dunkin #346591 Q35 Phoenix AZ Card [redacted]	76.45

CHASE

December 31, 2011 through January 31, 2012
Account Number: [redacted]

ATM & DEBIT CARD WITHDRAWALS *(continued)*

DATE	DESCRIPTION	AMOUNT
01/30	Card Purchase 01/28 Dunkin #346591 Q05 Phoenix AZ Card [redacted]	75.45
01/31	Card Purchase With Pin 01/31 Costco Wsse #0233 Arlington VA Card [redacted]	1.56
01/31	Card Purchase With Pin 01/31 Costco Wsse #0233 Arlington VA Card [redacted]	91.50
Total ATM & Debit Card Withdrawals		**$2,243.62**

ATM & DEBIT CARD SUMMARY

David Schweikert Card [redacted]		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$903.98
	Total Card Credits	$0.00
Joyce R Schweikert Card [redacted]		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$353.00
	Total Card Credits	$0.00
Karen Garrett Card [redacted]		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$986.64
	Total Card Credits	$0.00
ATM & Debit Card Totals		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$2,243.62
	Total Card Credits	$0.00

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
01/03	Chase Quickpay Electronic Transfer [redacted] To Blue Point	$4,000.00
01/03	American Express Collection [redacted]	4.95
01/04	Fdms-Settlement Discount [redacted]	157.88
01/04	Fdms-Settlement Fee [redacted]	25.08
01/04	Fdms-Settlement Interchng [redacted]	2.65
01/05	Qgiv Debits Qgiv Debit Cke [redacted]	201.93
01/05	American Express Arp Disent [redacted]	54.97
01/10	Transfirst Llc Discount [redacted]	110.47
01/11	Gulf Managem (2) Operations [redacted]	2.50
01/27	Chase Quickpay Electronic Transfer [redacted] To Blue Point	4,000.00
Total Electronic Withdrawals		**$8,561.24**

CHASE

December 31, 2011 through January 31, 2012
Account Number: [redacted]

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
01/24	Check OR Supply Order [redacted]	$34.70
Total Fees & Other Withdrawals		**$34.70**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
01/03	$348,819.24	01/18	351,133.69
01/04	354,264.82	01/19	351,009.50
01/05	353,545.55	01/20	350,425.39
01/06	353,352.80	01/23	350,248.52
01/09	352,437.49	01/24	350,223.82
01/10	359,699.52	01/26	354,273.82
01/11	359,697.02	01/27	350,273.82
01/12	350,880.02	01/30	343,893.42
01/17	351,485.02	01/31	359,285.36

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included: [redacted]

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$0.00	
Total Service Charges	**$0.00**	

Your monthly maintenance fee of $48.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	41
Deposits / Credits	28
Deposited Items	36
Total Transactions	**105**

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 105.

29-Jun-19 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

December 31, 2011 through January 31, 2012
Account Number: [redacted]

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$49.00	$0.00
Transactions	105	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$1,615	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	4	0	$0.00	$0.00
Outgoing Wire - Domestic Online	0	0	0	$0.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge					$0.00
ACCOUNT [redacted]					
Transactions	92				
Cash Deposited					
Branch Deposit - Immediate Verification	$1				
ACCOUNT [redacted]					
Transactions	13				
Cash Deposited					
Branch Deposit - Immediate Verification	$1,614				

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P.O. Box 659754
San Antonio, TX 78265-9754

February 01, 2012 through February 29, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site	Chase.com
Service Center	1-800-242-7338
Hearing Impaired	1-800-242-7383
Para Espanol	1-888-622-4273
International Calls	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$359,285.36
Deposits and Additions	54	101,095.76
Checks Paid	8	- 17,127.60
ATM & Debit Card Withdrawals	40	- 12,581.94
Electronic Withdrawals	10	21,230.02
Ending Balance	112	$409,442.56

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
02/01	American Express Settlement [redacted]	550.00
02/02	[redacted]	10,500.00
02/02	[redacted]	4,600.00
02/02	[redacted]	2,000.00
02/02	[redacted]	1,832.00
02/02	[redacted]	470.00
02/02	[redacted]	363.76
02/03	Transfirst Llc Bkcd Stlmt [redacted]	588.00
02/06	American Express Settlement [redacted]	650.00
02/07	Fdms-Settlement Deposit [redacted]	500.00
02/07	Fdms-Settlement Deposit [redacted]	110.00
02/07	American Express Settlement [redacted]	100.00
02/07	Fdms-Settlement Deposit [redacted]	40.00
02/08	American Express Settlement [redacted]	120.00
02/10	Fdms-Settlement Deposit [redacted]	1,510.00
02/13	Deposit [redacted]	4,000.00
02/13	Deposit [redacted]	2,600.00
02/13	Deposit	365.00
02/13	Fdms-Settlement Deposit [redacted]	1,670.00

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-199
GROUP ID G29Jun18-199

CHASE

February 01, 2012 through February 29, 2012
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____
2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____
4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

February 01, 2012 through February 29, 2012
Account Number: [redacted]

DEPOSITS AND ADDITIONS *(continued)*

DATE	DESCRIPTION	AMOUNT
02/13	American Express Settlement [redacted]	735.00
02/14	Fdms-Settlement Deposit [redacted]	2,520.00
02/14	Fdms-Settlement Deposit [redacted]	145.00
02/15	Deposit [redacted]	5,000.00
02/15	Deposit [redacted]	4,650.00
02/15	Deposit [redacted]	4,000.00
02/15	Deposit [redacted]	1,500.00
02/15	Deposit [redacted]	1,000.00
02/16	Fdms-Settlement Deposit [redacted]	700.00
02/16	David Schweikert David Schw Gms-022Yco [redacted]	100.00
02/21	American Express Settlement [redacted]	200.00
02/21	American Express Settlement [redacted]	100.00
02/21	Fdms-Settlement Deposit [redacted]	10.00
02/22	Deposit [redacted]	5,180.00
02/22	Deposit [redacted]	4,300.00
02/22	Deposit [redacted]	3,000.00
02/22	Deposit [redacted]	2,850.00
02/22	Fdms-Settlement Deposit [redacted]	1,610.00
02/22	Fdms-Settlement Deposit [redacted]	1,227.00
02/22	Fdms-Settlement Deposit [redacted]	125.00
02/23	Fdms-Settlement Deposit [redacted]	150.00
02/23	American Express Settlement [redacted]	11.00
02/24	David Schweikert David Schw Gms- [redacted]	1,000.00
02/24	Fdms-Settlement Deposit [redacted]	50.00
02/27	American Express Settlement [redacted]	2,650.00
02/27	Fdms-Settlement Deposit [redacted]	135.00
02/28	Deposit [redacted]	6,100.00
02/28	Deposit [redacted]	5,450.00
02/28	Deposit [redacted]	3,025.00
02/28	Deposit [redacted]	2,500.00
02/28	Deposit [redacted]	1,635.00
02/28	Deposit [redacted]	1,000.00
02/28	Fdms-Settlement Deposit [redacted]	4,625.00
02/29	Transfirst Llc Bkcd Sttmt [redacted]	1,700.00
02/29	American Express Settlement [redacted]	25.00
Total Deposits and Additions		**$101,086.76**

[redacted]

CHASE

February 01, 2012 through February 29, 2012
Account Number: [redacted]

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	02/02	5200.00
	* ^	02/13	13,500.00
	^	02/14	837.22
	^	02/13	20.10
	^	02/13	140.28
	* ^	02/21	800.00
	^	02/24	1,500.00
	^	02/27	130.00
Total Checks Paid			$17,127.60

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com.

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
02/02	Recurring Card Purchase 02/01 Icontact Corporation 650-6222200 NC Card [redacted]	5379.00
02/07	Recurring Card Purchase 02/07 Websitehostingpl C 405-948-830 OK Card [redacted]	99.00
02/08	Card Purchase 02/06 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	24.24
02/08	Card Purchase 02/07 Usps Postal Sl661002 800-3447779 MO Card [redacted]	1,801.75
02/08	Card Purchase With Pin 02/08 Costco Whse #0233 Arlington VA Card [redacted]	78.27
02/13	Card Purchase 02/10 The UPS Store 4990 Scottsdale AZ Card [redacted]	19.47
02/13	Card Purchase With Pin 02/12 Fry's Electronics # Phoenix AZ Card [redacted]	155.47
02/16	Card Purchase With Pin 02/16 Usps 0363690024 Phoenix AZ Card [redacted]	320.00
02/16	Card Purchase With Pin 02/16 Wal-Mart #2766 Scottsdale AZ Card [redacted]	86.64
02/16	Card Purchase With Pin 02/16 Wal-Mart #2766 Scottsdale AZ Card [redacted]	85.64
02/17	Card Purchase 02/16 Online Labels 888-575-2235 FL Card [redacted]	120.80
02/21	Card Purchase 02/18 Maricopa County Repu 602-4331531 AZ Card [redacted]	100.00
02/21	Card Purchase 02/19 Paypal *Ilixcamowa 402-935-7733 CA Card [redacted]	530.00
02/21	Card Purchase 02/19 Usps Postal Sl661002 800-3447779 MO Card [redacted]	901.75
02/21	Recurring Card Purchase 02/20 Icontact Corporation 650-6222200 NC Card [redacted]	35.20
02/21	Recurring Card Purchase 02/20 Icontact Corporation 650-6222200 NC Card [redacted]	11.18
02/22	Card Purchase 02/20 Paypal *Belair200 402-935-7733 CA Card [redacted]	761.00
02/22	Card Purchase With Pin 02/22 Samsclub #6241 Scottsdale AZ Card [redacted]	99.02
02/23	Card Purchase 02/22 Coinvoice 602-424-9900 AZ Card [redacted]	400.00
02/23	Recurring Card Purchase 02/21 Icontact Corporation 650-6222200 NC Card [redacted]	35.09
02/23	Recurring Card Purchase 02/22 Icontact Corporation 650-6222200 NC Card [redacted]	34.88
02/23	Recurring Card Purchase 02/22 Icontact Corporation 650-6222200 NC Card [redacted]	3.05
02/23	Recurring Card Purchase 02/21 Icontact Corporation 650-6222200 NC Card [redacted]	2.58
02/24	Card Purchase 02/23 Paypal *Llimageinc 402-935-7733 CA Card [redacted]	189.99
02/24	Card Purchase With Pin 02/24 Samsclub #6241 Scottsdale AZ Card [redacted]	252.45
02/24	Recurring Card Purchase 02/23 Icontact Corporation 650-6222200 NC Card [redacted]	565.21
02/24	Recurring Card Purchase 02/23 Icontact Corporation 650-6222200 NC Card [redacted]	34.89
02/24	Recurring Card Purchase 02/23 Icontact Corporation 650-6222200 NC Card [redacted]	20.97

CHASE

February 01, 2012 through February 29, 2012
Account Number [redacted]

ATM & DEBIT CARD WITHDRAWALS (continued)

DATE	DESCRIPTION	AMOUNT
02/24	Recurring Card Purchase 02/23 Icontact Corporation 650-6222200 NC Card [redacted]	3 03
02/27	Card Purchase 02/23 Scottsdale Gun Club Scottsdale AZ Card [redacted]	2,698 81
02/27	Card Purchase 02/24 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	96 65
02/27	Card Purchase 02/25 Chaparral Suites Scottsdale AZ Card [redacted]	300 53
02/27	Card Purchase With Pin 02/25 Usps 0353680024 Phoenix AZ Card [redacted]	1,820 00
02/27	Recurring Card Purchase 02/25 Domain/Hosting Srvcs 480 6242500 AZ Card [redacted]	78 95
02/27	Recurring Card Purchase 02/26 Icontact Corporation 650-6222200 NC Card [redacted]	34 69
02/27	Recurring Card Purchase 02/25 Icontact Corporation 650-6222200 NC Card [redacted]	20 92
02/29	Card Purchase 02/28 Umr*Colorado Casualt 866-242-556 CA Card [redacted]	500.00
02/29	Recurring Card Purchase 02/27 Icontact Corporation 650-6222200 NC Card [redacted]	20.85
02/29	Recurring Card Purchase 02/28 Icontact Corporation 650-6222200 NC Card [redacted]	20 84
02/29	Recurring Card Purchase 02/28 Icontact Corporation 650-6222200 NC Card [redacted]	3.01
Total ATM & Debit Card Withdrawals		$12,581.94

ATM & DEBIT CARD SUMMARY

David Schweikert Card [redacted]

Total ATM Withdrawals & Debits	$0 00
Total Card Purchases	$1,800 62
Total Card Credits	$0 00

Joyce R Schweikert Card [redacted]

Total ATM Withdrawals & Debits	$0 00
Total Card Purchases	$8,870 30
Total Card Credits	$0 00

Karen Garrett Card [redacted]

Total ATM Withdrawals & Debits	$0 00
Total Card Purchases	$2,111 02
Total Card Credits	$0 00

ATM & Debit Card Totals

Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$12,581.94
Total Card Credits	$0 00

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

February 01, 2012 through February 29, 2012
Account Number: [redacted]

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
02/01	American Express Collection [redacted]	$7.95
02/03	Qgiv Debits Qgiv Debit Qk[redacted]	103.14
02/03	Fdms-Settlement Discount [redacted]	24.05
02/03	Fdms-Settlement Interchng [redacted]	19.37
02/03	Fdms-Settlement Fee [redacted]	9.95
02/05	American Express Axp Discnt [redacted]	112.22
02/10	Transfirst Llc Discount [redacted]	115.84
02/13	Gulf Managem (2) Operations [redacted]	0.50
02/23	02/23 Chips Debit Via: Hsbc Bank USA N A /0108 A/C: Hongkong And Shanghai Banking Hong Kong Ben: Balson Technology CO., Ltd. [redacted]	252.00
02/28	02/28 Online Wire Transfer Via: Wells Fargo NA/[redacted] A/C: Erica Crocker Arlington VA 22201 US Ref: Commissions 3Rd And 4th Qtr/Bal/Payment For 3Rd And 4th Qtr Commissions Imad: [redacted]	20,584.00
Total Electronic Withdrawals		**$21,230.02**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
02/01	$359,327.41	02/16	393,545.02
02/02	378,514.17	02/17	393,424.22
02/03	378,945.66	02/21	391,356.09
02/06	379,483.44	02/22	408,788.07
02/07	380,134.44	02/23	408,221.37
02/08	378,350.18	02/24	406,704.83
02/10	379,743.34	02/27	404,511.27
02/13	375,260.52	02/28	406,262.27
02/14	377,088.30	02/29	409,442.56
02/15	393,238.30		

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included: [redacted]

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$26.40	
Other Service Charges	$90.00	
Total Service Charges	**$116.40**	Will be assessed on 3/5/12

Your monthly maintenance fee of $92.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

CHASE

February 01 2012 through February 29 2012
Account Number [redacted]

SERVICE CHARGE SUMMARY (continued)

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	128
Deposits / Credits	115
Deposited Items	322
Total Transactions	565

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 566 and excessive transaction fees were applied. If this level of activity is typical, we may have a better product option for your business. Please contact us so we can explore other product options with you.

SERVICE CHARGE DETAIL

DESCRIPTION Your Product Includes	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$45.00	$0.00
Transactions	566	500	66	$0.40	$26.40
Cash Deposited					
Branch Deposit - Immediate Verification	$3,134	$20,000	$0	$0.0015	$0.00
Branch Deposit - Fast Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	0	0	$0.00	$0.00
Outgoing Wire - Domestic Online	2	4	0	$25.00	$0.00
Stop Payments - Manual	2	4	0	$34.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Subtotal					$26.40
Other Fees					
Outgoing Wires - International Manual	2	0	2	$45.00	$90.00
Total Service Charge (Will be assessed on 3/5/12)					$116.40
ACCOUNT [redacted]					
Transactions	271				
Cash Deposited					
Branch Deposit - Immediate Verification	$650				
Outgoing Wire - Domestic Online	1				
Stop Payments - Manual	1				
Outgoing Wires - International Manual	1				
ACCOUNT [redacted]					
Transactions	12				
Cash Deposited					
Branch Deposit - Immediate Verification	$917				

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

February 01, 2012 through February 29, 2012
Account Number: [redacted]

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Page 8 of 8

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-199
GROUP ID G29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

March 01, 2012 through March 30, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

Important Information About Your Account Statement

We understand the value of being able to easily read your statements and the benefit of balancing your account. To make your statement easier to follow, effective March 19, 2012, we moved the Balancing Your Checkbook page to the last page of the statement. This page may be used to balance your account for a given statement period. If you have any questions, please call us at the number on this statement or visit your branch.

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$405,442.56
Deposits and Additions	62	122,945.70
Checks Paid	11	- 24,658.74
ATM & Debit Card Withdrawals	25	- 7,226.04
Electronic Withdrawals	11	- 16,861.91
Fees and Other Withdrawals	4	- 5,154.40
Ending Balance	113	$478,487.17

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
03/01	Fdms-Settlement Deposit [redacted]	$250.00
03/02	American Express Settlemen [redacted]	1,600.00
03/02	Fdms-Settlement Deposit [redacted]	500.00
03/05	Deposit [redacted]	6,000.00
03/05	Deposit [redacted]	3,250.00
03/05	Deposit [redacted]	2,200.00
03/05	Deposit [redacted]	2,000.00
03/05	Deposit [redacted]	2,000.00
03/05	Deposit [redacted]	1,020.00
03/05	Deposit [redacted]	450.00
03/05	American Express Settlement [redacted]	3,200.00
03/05	Transfirst Llc Blood Stimt [redacted]	2,000.00

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

March 01, 2012 through March 30, 2012
Account Number [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
03/06	Correction of Service Fees For Month of February Most Transaction Fees And All Monthly Account Maintenance Fees For February Were Double-Charged IN Error This Reversal Represents The Overcharged Amount	71 40
03/06	American Express Settlement [redacted]	750 00
03/06	Fdms-Settlement Deposit [redacted]	200 00
03/06	Fdms-Settlement Deposit [redacted]	100 00
03/07	David Schweikert David Schw [redacted]	10.00
03/08	Transfirst Llc Bkcd Stlmt [redacted]	575 00
03/09	Fdms-Settlement Deposit [redacted]	250 00
03/12	Deposit [redacted]	5,825 00
03/12	Deposit [redacted]	2,500 00
03/12	American Express Settlement [redacted]	550 00
03/12	American Express Settlement [redacted]	50 00
03/12	Fdms-Settlement Deposit [redacted]	10 00
03/13	Fdms-Settlement Deposit [redacted]	622 30
03/13	Transfirst Llc Bkcd Stlmt [redacted]	75.00
03/13	Fdms-Settlement Deposit [redacted]	10 00
03/14	American Express Settlement [redacted]	250 00
03/14	Fdms-Settlement Deposit [redacted]	20 00
03/15	Deposit [redacted]	11,085.00
03/15	Deposit [redacted]	8,100 00
03/15	American Express Settlement [redacted]	1,000 00
03/15	Fdms-Settlement Deposit [redacted]	135.00
03/16	Deposit	7,175 00
03/16	Fdms-Settlement Deposit [redacted]	1,600 00
03/19	Fdms-Settlement Deposit [redacted]	25.00
03/19	American Express Settlement [redacted]	25.00
03/20	Fdms-Settlement Deposit [redacted]	1,550 00
03/20	Fdms-Settlement Deposit [redacted]	360 00
03/21	Deposit	11,495 00
03/21	Fdms-Settlement Deposit [redacted]	110 00
03/21	American Express Settlement [redacted]	100 00
03/22	American Express Settlement [redacted]	500 00
03/22	Transfirst Llc Bkcd Stlmt [redacted]	50.00
03/23	Deposit [redacted]	22,627 00
03/23	Deposit [redacted]	2,500 00
03/23	Deposit [redacted]	1,500 00
03/23	Fdms-Settlement Deposit [redacted]	5,000 00
03/23	Transfirst Llc Bkcd Stlmt [redacted]	275 00
03/26	American Express Settlement [redacted]	750 00
03/26	American Express Settlement [redacted]	350 00
03/26	Fdms-Settlement Deposit [redacted]	60.00
03/27	Deposit [redacted]	5,695 00
03/27	Deposit [redacted]	250 00
03/27	Fdms-Settlement Deposit [redacted]	320 00
03/27	Fdms-Settlement Deposit [redacted]	125 00
03/27	Fdms-Settlement Deposit [redacted]	100 00
03/27	David Schweikert David Schw Gms-064Yes-0213 [redacted]	50 00

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

March 01, 2012 through March 30, 2012
Account Number: [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
03/28	Deposit [redacted]	710.00
03/28	American Express Settlement [redacted]	100.00
03/29	Deposit [redacted]	2,750.00
03/30	American Express Settlement [redacted]	35.00
Total Deposits and Additions		**$122,945.70**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	03/01	5294.00
	^	03/05	1,545.50
	^	03/21	49.34
	^	03/12	3,900.00
	^	03/16	180.35
	^	03/26	146.00
	^	03/16	22.00
	Check [redacted] Fia Cardservices Check Pymt Arc ID [redacted]	03/21	233.03
	^	03/15	491.85
	^	03/14	14,920.00
	^	03/23	2,876.67
Total Checks Paid			**$24,658.74**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

^ An image of this check may be available for you to view on Chase.com.

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
03/01	Card Purchase 02/28 Country Caterers Bbq Keystone Ha FL Card [redacted]	51,333.00
03/01	Card Purchase 02/28 Country Caterers Bbq Keystone Ha FL Card [redacted]	200.00
03/01	Card Purchase 02/29 A To Z Equipment Renta Phoenix AZ Card [redacted]	222.70
03/01	Card Purchase 02/29 Xpedx Paper Store Salt Lake Ci UT Card [redacted]	258.47
03/01	Card Purchase 02/29 A To Z Equipment Renta Phoenix AZ Card [redacted]	109.30
03/01	Card Purchase 02/29 Usps 0353680024 Phoenix AZ Card [redacted]	800.00
03/01	Card Purchase 02/29 Usps 0353680024 Phoenix AZ Card [redacted]	900.00
03/02	Card Purchase With Pin 03/02 Samsclub #6241 Scottsdale AZ Card [redacted]	102.03
03/05	Card Purchase 03/03 A To Z Equipment Renta Phoenix AZ Card [redacted]	153.02
03/05	Recurring Card Purchase 03/03 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	84.87
03/05	Recurring Card Purchase 03/04 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	20.12
03/06	Recurring Card Purchase 03/06 Websitehostingbit C 405-948-830 OK Card [redacted]	99.00
03/09	Card Purchase With Pin 03/09 Costco Whse #0233 Arlington VA Card [redacted]	79.29
03/12	Card Purchase With Pin 03/10 Samsclub #6241 Scottsdale AZ Card [redacted]	72.45
03/12	Card Purchase With Pin 03/10 Staples, Inc. Scottsdale AZ Card [redacted]	17.42
03/14	Card Purchase 03/12 Quill Corporation 800-789-8965 IL Card [redacted]	56.66
03/14	Recurring Card Purchase 03/13 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	56.36

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

March 01, 2012 through March 29, 2012
Account Number [redacted]

ATM & DEBIT CARD WITHDRAWALS *(continued)*

DATE	DESCRIPTION		AMOUNT
03/19	Card Purchase	03/17 Fedexoffice 0002314 Scottsdale AZ Card [redacted]	134 27
03/23	Card Purchase	03/22 Yahoo *Flickr Pro U 866-562-722 CA Card [redacted]	44 95
03/26	Card Purchase	03/24 Sellriverfacks 480-270-5100 AZ Card [redacted]	1,210 00
03/26	Card Purchase	03/24 Tsr F & B Scottsdale AZ Card [redacted]	370 07
03/26	Card Purchase	03/24 Talking Stick Golf Cl Scottsdale AZ Card [redacted]	457,59
03/26	Card Purchase	03/24 Ovations At Salt Riv 919-3957900 AZ Card [redacted]	239.00
03/26	Card Purchase W/Cash Purchase $4 86 Cash Back $50 00	03/26 The Home Depot 480 Phoenix AZ Card [redacted]	54 86
03/26	Card Purchase W/Cash Purchase $1 61 Cash Back $50 00	03/26 The Home Depot 480 Phoenix AZ Card [redacted]	51 61
Total ATM & Debit Card Withdrawals			**$7,226.04**

ATM & DEBIT CARD SUMMARY

David Schweikert Card [redacted]		
	Total ATM Withdrawals & Debits	$0 00
	Total Card Purchases	$2,766.72
	Total Card Credits	$0.00
Joyce R Schweikert Card [redacted]		
	Total ATM Withdrawals & Debits	$0 00
	Total Card Purchases	$2,310 98
	Total Card Credits	$0 00
Karen Garrett Card [redacted]		
	Total ATM Withdrawals & Debits	$0 00
	Total Card Purchases	$2,148 34
	Total Card Credits	$0.00
ATM & Debit Card Totals		
	Total ATM Withdrawals & Debits	$0 00
	Total Card Purchases	$7,226.04
	Total Card Credits	$0.00

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

March 01, 2012 through March 30, 2012
Account Number: [redacted]

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
03/01	American Express Collection [redacted]	57.95
03/02	Qgiv Debits Qgiv Debit Ckd [redacted]	572.33
03/05	03/05 Online Wire Transfer Via: First Ctrz Raleigh [redacted] A/C: Anthem Media, Inc Austin TX 78740 US Ref: Media Buy 3/6--3/31 Inv 1413/Bil/Inv 1413 Imad: [redacted]	13,661.00
03/05	Fdms-Settlement Discount [redacted]	468.38
03/05	American Express Axp Discn [redacted]	145.30
03/05	Fdms-Settlement Fee [redacted]	29.33
03/05	Fdms-Settlement Interchng [redacted]	2.42
03/12	Transfirst Llc Discount [redacted]	127.78
03/12	Gulf Management (2) Operations [redacted]	2.50
03/22	Aps Apsivr Ep [redacted]	845.00
03/26	03/26 Online Transfer To Chk [redacted] Transaction: [redacted]	1,000.00
Total Electronic Withdrawals		**$16,861.91**

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
03/05	Service Charges For The Month of February	$116.40
03/19	Deposited Item Returned Stop Payment 099009473 # of [redacted] Date031512Ck Amt0000250000	2,500.00
03/27	Deposited Item Returned Stop Payment 099014054 # of [redacted] Date032912Ck Amt0000250000	2,500.00
03/27	Check OR Supply Order PPD ID: [redacted]	38.00
Total Fees & Other Withdrawals		**$5,154.40**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
03/01	$405,467.14	03/16	433,824.55
03/02	406,892.76	03/19	431,240.29
03/05	412,786.52	03/20	433,150.29
03/06	413,808.92	03/21	444,572.92
03/07	413,818.92	03/22	444,277.92
03/08	414,393.92	03/23	473,258.30
03/09	414,564.63	03/26	470,890.17
03/12	419,479.46	03/27	474,892.17
03/13	420,186.78	03/28	475,702.17
03/14	405,423.76	03/29	478,452.17
03/15	425,251.91	03/30	478,487.17

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included: [redacted]

Page 5 of 8

CHASE

March 01, 2012 through March 30, 2012
Account Number [redacted]

SERVICE CHARGE SUMMARY *(continued)*

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$24.00	
Total Service Charges	$24.00	Will be assessed on 4/4/12

Your monthly maintenance fee of $48.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	51
Deposits / Credits	66
Deposited Items	215
Total Transactions	332

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 332

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$48.00	$0.00
Transactions	332	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$3,247	$20,000	$0	$0.0015	$0.00
Branch Deposit - Fixed Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	0	0	$0.00	$0.00
Outgoing Wire - Domestic Online	1	4	0	$25.00	$0.00
Stop Payments - Manual	0	0	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Subtotal					**$0.00**
Other Fees					
Return Item	2	0	2	$12.00	$24.00
Total Service Charge (Will be assessed on 4/4/12)					**$24.00**
ACCOUNT [redacted]					
Transactions	318				
Cash Deposited					
Branch Deposit - Immediate Verification	$1,025				
Outgoing Wire - Domestic Online	1				
Return Item	2				
ACCOUNT [redacted]					
Transactions	14				
Cash Deposited					
Branch Deposit - Immediate Verification	$3,222				

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

March 01, 2012 through March 30, 2012
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS:Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

March 01, 2012 through March 30, 2012
Account Number: [redacted]

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

March 31, 2012 through April 30, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

IMPORTANT INFORMATION REGARDING ATM TRANSFERS

Please note that we are extending our ATM cutoff time for transfers between Chase accounts to better serve you. For transfers and deposits at most Chase ATMs, the cutoff time is 11 p.m. Eastern time. For ATMs with an earlier cutoff, the ATM screen will notify you of the cutoff time. We hope you enjoy this added convenience.

This change updates your Deposit Account Agreement under the Funds Availability Policy section, the second bullet under "When Your Deposit is Received." This change applies to Chase personal checking and savings accounts as well as Chase business checking and savings accounts. All other terms of your account agreement remain the same. If you have any questions, please refer to the phone number on your statement or visit your nearest Chase branch.

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		**$478,487.17**
Deposits and Additions	40	72,507.48
Checks Paid	24	- 58,294.43
ATM & Debit Card Withdrawals	18	- 13,577.35
Electronic Withdrawals	12	- 38,771.88
Fees and Other Withdrawals	1	- 24.00
Ending Balance	**95**	**$440,326.99**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
04/02	Deposit [redacted]	$3,205.00
04/02	American Express Settlement [redacted]	250.00
04/03	Fdms-Settlement Deposit [redacted]	1,400.00
04/03	Fdms-Settlement Deposit [redacted]	500.00
04/03	Transfer Lic. Beet Stint [redacted]	375.00
04/03	Fdms-Settlement Deposit [redacted]	140.00
04/04	Deposit [redacted]	8,175.00
04/04	Deposit	364.48
04/04	American Express Settlement [redacted]	500.00
04/05	American Express Settlement [redacted]	25.00
04/09	American Express Settlement [redacted]	100.00

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

March 31, 2012 through April 30, 2012
Account Number [redacted]

DEPOSITS AND ADDITIONS *(continued)*

DATE	DESCRIPTION	AMOUNT
04/09	Fdms-Settlement Deposit [redacted]	25.00
04/10	Fdms-Settlement Deposit [redacted]	500.00
04/10	Fdms-Settlement Deposit [redacted]	100.00
04/10	Fdms-Settlement Deposit [redacted]	10.00
04/11	Deposit [redacted]	3,780.00
04/11	Deposit [redacted]	110.00
04/16	Deposit [redacted]	10,778.00
04/16	Deposit [redacted]	5,000.00
04/16	Deposit [redacted]	2,500.00
04/16	Fdms-Settlement Deposit [redacted]	20.00
04/17	Deposit [redacted]	675.00
04/17	Fdms-Settlement Deposit [redacted]	125.00
04/18	Fdms-Settlement Deposit [redacted]	1,010.00
04/18	Transfirst Llc Bkcd Stlmt [redacted]	75.00
04/19	Deposit [redacted]	4,025.00
04/19	Fdms-Settlement Deposit [redacted]	30.00
04/20	American Express Settleme [redacted]	200.00
04/20	Fdms-Settlement Deposit [redacted]	20.00
04/23	Deposit [redacted]	15,400.00
04/23	American Express Settlement [redacted]	100.00
04/24	Deposit [redacted]	1,500.00
04/24	American Express Settlement [redacted]	1,000.00
04/24	Transfirst Llc Bkcd Stlmt [redacted]	1,000.00
04/24	Transfirst Llc Bkcd Stlmt [redacted]	250.00
04/25	Deposit [redacted]	1,225.00
04/26	Fdms-Settlement Deposit [redacted]	50.00
04/27	Deposit [redacted]	6,465.00
04/30	Transfirst Llc Bkcd Stlmt [redacted]	750.00
04/30	American Express Settlement [redacted]	750.00
Total Deposits and Additions		**$72,507.48**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	04/06	$2,800.00
	^	04/04	4,634.53
	^	04/09	1,768.95
	^	04/04	199.00
	^	04/05	7,363.00
	^	04/05	2,637.50
	^	04/09	255.86
	^	04/05	4,136.00
	^	04/09	800.00
	^	04/10	1,500.00
	^	04/11	5,700.00
	^	04/11	1,042.50

CHASE

March 31, 2012 through April 30, 2012
Account Number: [redacted]

CHECKS PAID *(continued)*

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	04/09	4,400.00
	* ^	04/13	450.00
	^	04/10	5,061.89
	* ^	04/26	56.95
	^	04/27	2,399.10
	* Check # [redacted] Aps. Utility: [redacted]	04/26	110.73
	^	04/30	22.70
	^	04/26	2,000.00
	^	04/26	4,500.00
	^	04/26	5,000.00
	* ^	04/30	95.09
	^	04/26	320.53
Total Checks Paid			**$58,294.43**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
04/03	Card Purchase 04/02 The UPS Store 4990 Scottsdale AZ Card [redacted]	$171.61
04/03	Recurring Card Purchase 04/02 Icontact Corporation 650-6222200 NC Card [redacted]	329.00
04/04	Card Purchase 04/03 The UPS Store 4990 Scottsdale AZ Card [redacted]	51.25
04/05	Card Purchase With Pin 04/06 Usps 0363640024 Phoenix AZ Card [redacted]	900.00
04/06	Recurring Card Purchase 04/06 Websitecostingbill C 405-948-830 OK Card [redacted]	99.00
04/09	Card Purchase 04/07 Online Labels 888-575-2235 FL Card [redacted]	440.10
04/12	Card Purchase With Pin 04/12 Wal Sam's Club 21 Scottsdale AZ Card [redacted]	323.81
04/12	Card Purchase With Pin 04/12 Usps 0363640024 Phoenix AZ Card [redacted]	1,600.00
04/13	Card Purchase 04/12 Pinnacle Peak Patio Scottsdale AZ Card [redacted]	250.00
04/16	Card Purchase With Pin 04/14 Samsclub #6241 Scottsdale AZ Card [redacted]	29.35
04/16	Card Purchase With Pin 04/14 Wal Sam's Club 84 Scottsdale AZ Card [redacted]	212.99
04/16	Card Purchase With Pin 04/16 Nnt Amys Hallmark #03 Scottsdale AZ Card [redacted]	900.00
04/17	Card Purchase With Pin 04/17 #00970 Albertsons Phoenix AZ Card [redacted]	600.00
04/20	Card Purchase With Pin 04/20 Usps 0376620632 Scottsdale AZ Card [redacted]	2,088.00
04/23	Card Purchase 04/22 Paypal *Duraimaging 402-935-7733 CA Card [redacted]	175.99
04/23	Card Purchase 04/22 Usps Postal Sl661002 800-3447779 MO Card [redacted]	4,501.75
04/23	Card Purchase With Pin 04/22 Wal Sam's Club 95 Scottsdale AZ Card [redacted]	98.34
04/30	Card Purchase With Pin 04/29 Costco Whse #1058 Phoenix AZ Card [redacted]	106.16
Total ATM & Debit Card Withdrawals		**$13,577.35**

ATM & DEBIT CARD SUMMARY

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

March 31, 2012 through April 30, 2012
Account Number: [redacted]

David Schweikert Card [redacted]		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$878.00
	Total Card Credits	$0.00
Allison Mary Card [redacted]		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$2,570.65
	Total Card Credits	$0.00
Joyce R Schweikert Card [redacted]		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$10,128.70
	Total Card Credits	$0.00
ATM & Debit Card Totals		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$13,577.35
	Total Card Credits	$0.00

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
04/02	04/02 Online Wire Transfer Via: Bank of America, N A [redacted] A/C: Blue Point Llc Scottsdale AZ 85262 US Ref: 2012 Schweikert For Congress--Aprilfst /Bnf/2012 Schweikert For Congress [redacted]	$4,000.00
04/02	04/02 Online Wire Transfer Via: First Citz Raleigh/ [redacted] A/C: Anthem Media, Inc Austin TX 78746 US Ref: Media Buy 4/2--4/22 Inv 1426/Bnf/Inv 1426 Imad [redacted]	14,616.00
04/02	American Express Collection [redacted]	7.95
04/03	Qgiv Debits Qgiv Debit Oke [redacted]	458.64
04/03	Fdms Settlement Discount [redacted]	368.67
04/03	Fdms Settlement Fee [redacted]	21.15
04/03	Fdms Settlement Interchng [redacted]	11.67
04/05	American Express Axp Disct [redacted]	297.71
04/10	Transfirst Llc Discount [redacted]	207.59
04/11	Gulf Managem (2) Operations [redacted]	1.50
04/17	Qgiv Debits Qgiv Debit Oke [redacted]	99.00
04/23	04/23 Online Wire Transfer Via: First Citz Raleigh/ [redacted] A/C: Anthem Media, Inc Austin TX 78746 US Ref: Media Buy 4/23--5/13/Bnf/Inv 1429 [redacted]	18,482.00
Total Electronic Withdrawals		**$38,771.88**

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
04/04	Service Charges For The Month of March	$24.00
Total Fees & Other Withdrawals		**$24.00**

Page 4 of 8

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

March 31, 2012 through April 30, 2012
Account Number: [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
04/02	$463,118.22	04/17	447,474.33
04/03	453,972.48	04/18	448,559.33
04/04	468,103.08	04/19	452,614.33
04/05	453,593.87	04/20	450,746.33
04/06	449,894.87	04/23	442,993.25
04/09	442,354.96	04/24	446,738.25
04/10	436,195.48	04/25	447,963.25
04/11	433,341.48	04/26	434,985.04
04/12	431,217.67	04/27	439,050.94
04/13	430,517.67	04/30	440,326.99
04/16	447,673.33		

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included: [redacted]

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$0.00	
Total Service Charges	**$0.00**	

Your monthly maintenance fee of $45.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	59
Deposits / Credits	42
Deposited Items	92
Total Transactions	**193**

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 193.

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$45.00	$0.00
Transactions	193	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$1,579	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	0	0	$0.00	$0.00
Outgoing Wire - Domestic Online	3	4	0	$25.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00

CHASE

March 31, 2012 through April 30, 2012
Account Number: [redacted]

SERVICE CHARGE DETAIL *(continued)*

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/ UNIT	TOTAL
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge					**$0.00**

ACCOUNT [redacted]

Transactions	185
Cash Deposited	
Branch Deposit - Immediate Verification	$353
Outgoing Wire - Domestic Online	3

ACCOUNT [redacted]

Transactions	8
Cash Deposited	
Branch Deposit - Immediate Verification	$1,226

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

March 31, 2012 through April 30, 2012
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS:Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

Page 7 of 8

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

March 31, 2012 through April 30, 2012
Account Number: [redacted]

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

May 01, 2012 through May 31, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

No overdraft fees for purchases of $5 or less

Good news, we're making changes to help you avoid fees. For purchases of $5 or less that overdraw your account, we'll no longer charge you an Insufficient Funds Fee, Returned Item Fee, or Overdraft Protection Transfer Fee. This is in addition to our current policy of not charging these fees if your account is overdrawn by $5 or less at the end of the business day.

Effective July 22, 2012, these changes will be incorporated into the "Additional Banking Services and Fees" document as follows:

In the **Additional Banking Services and Fees,**

In the section called "Insufficient Funds, Returned Items, and Stop Payments" the fee descriptions will be changed to:

Insufficient Funds and Returned Item Fees
We will not charge an Insufficient Funds Fee if your ending account balance is overdrawn by $5 or less. Additionally, even if your ending account balance is overdrawn we will not charge an Insufficient Funds or Returned Item Fee for any item that is $5 or less.

Overdraft Protection Transfer Fee
We will not charge an Overdraft Protection Transfer Fee if your ending account balance, before any Overdraft Protection Transfers are made, is overdrawn by $5 or less or the Overdraft Protection transfer resulted from transactions that are all $5 or less.

Please note that there will be no change to the amount of these fees. All other terms of your account agreement remain the same. If you have any questions, please call us at the telephone number listed on this statement or visit your nearest Chase branch.

Change in fees for non-Chase ATM transactions

Good news. Starting July 22, 2012, we will reduce the number of non-Chase ATM fees charged when you perform balance inquiries or account transfers at a non-Chase ATM. For transactions performed by the same card at the same terminal within a 15 minute time period, balance inquiries and account transfers will not incur a fee if done in conjunction with a withdrawal. If only transfers and inquiries are performed only one non-Chase ATM fee will be charged. Other than this reduction in the number of fees charged for inquiries and transfers, there are no other changes to the Non-Chase ATM fee. As a reminder, the owner of the non-Chase ATM may impose an additional charge.

These changes will be reflected in your account agreement. All other terms of your account agreement remain the same. If you have any questions, please call us at the telephone number listed on this statement or visit your nearest Chase branch.

CHASE

May 01, 2012 through May 31, 2012
Account Number: [redacted]

Lower Fees for Counter Checks

Beginning July 22, 2012, we will charge $2 per sheet (9 checks to a page) of Counter Checks, instead of $2 per check. Also, we may waive this Counter Check fee for certain types of accounts.

These changes will be updated in the Additional Banking Services and Fees for Chase business checking and savings accounts. All other terms of your account agreement remain the same. If you have any questions, please call us at the telephone number listed on this statement or visit your nearest Chase branch.

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$440,326.90
Deposits and Additions	53	76,914.74
Checks Paid	20	- 32,181.33
ATM & Debit Card Withdrawals	25	- 5,843.67
Electronic Withdrawals	11	- 55,689.49
Ending Balance	109	$423,527.24

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
05/01	Fdms-Settlement Deposit [redacted]	5100.00
05/01	Fdms-Settlement Deposit [redacted]	12.00
05/02	Deposit [redacted]	6,101.74
05/02	Deposit [redacted]	3,275.00
05/02	Deposit [redacted]	300.00
05/04	Fdms-Settlement Deposit [redacted]	50.00
05/07	Deposit [redacted]	12,920.00
05/07	Deposit [redacted]	5,785.00
05/07	Deposit [redacted]	380.00
05/07	Fdms-Settlement Deposit [redacted]	750.00
05/07	American Express Settlement [redacted]	50.00
05/08	Transfirst Llc Bkcd Stlmt [redacted]	500.00
05/08	American Express Settlement [redacted]	200.00
05/08	Transfirst Llc Bkcd Stlmt [redacted]	200.00
05/08	Transfirst Llc Bkcd Stlmt [redacted]	100.00
05/08	Fdms-Settlement Deposit [redacted]	20.00
05/09	Deposit [redacted]	3,200.00
05/09	American Express Settlement [redacted]	100.00
05/10	Card Purchase Return 05/09 The UPS Store 4990 Scottsdale AZ Card 7917	2,000.00
05/10	Fdms-Settlement Deposit [redacted]	135.00
05/11	Deposit [redacted]	960.00
05/11	Fdms-Settlement [redacted]	50.00
05/14	Fdms-Settlement [redacted]	50.00
05/15	Fdms-Settlement [redacted]	200.00
05/15	Fdms-Settlement [redacted]	100.00
05/15	Fdms-Settlement [redacted]	20.00
05/16	Deposit [redacted]	775.00
05/17	Deposit [redacted]	3,180.00

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

May 01, 2012 through May 31, 2012
Account Number: [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
05/17	Deposit [redacted]	625.00
05/18	Fdms-Settlement Deposit [redacted]	30.00
05/21	Deposit [redacted]	10,650.00
05/21	Deposit	2,350.00
05/21	American Express Settlement [redacted]	595.00
05/21	Fdms-Settlement Deposit [redacted]	500.00
05/21	Transfirst Llc Bkcd Stmt [redacted]	270.00
05/22	Deposit [redacted]	10,399.00
05/22	Fdms-Settlement Deposit [redacted]	500.00
05/22	Transfirst Llc Bkcd Stmt [redacted]	150.00
05/22	Fdms-Settlement Deposit [redacted]	112.00
05/22	Fdms-Settlement Deposit [redacted]	50.00
05/23	Fdms-Settlement Deposit [redacted]	50.00
05/24	Fdms-Settlement Deposit [redacted]	425.00
05/25	American Express Settlement [redacted]	250.00
05/25	Fdms-Settlement Deposit [redacted]	75.00
05/29	Deposit [redacted]	1,185.00
05/29	Fdms-Settlement Deposit [redacted]	925.00
05/29	American Express Settlemer [redacted]	500.00
05/29	American Express Settlemer [redacted]	400.00
05/30	Transfirst Llc Bkcd Stmt [redacted]	2,500.00
05/30	American Express Settlemer [redacted]	300.00
05/30	Fdms-Settlement Deposit [redacted]	25.00
05/31	Deposit [redacted]	2,510.00
05/31	Fdms-Settlement Deposit [redacted]	25.00
Total Deposits and Additions		**$76,914.74**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	05/31	5149.01
[redacted]	* ^	05/11	157.50
[redacted]	* ^	05/03	2,032.22
[redacted]	* ^	05/08	280.57
[redacted]	^	05/03	2,480.00
[redacted]	^	05/08	6,619.00
[redacted]	^	05/08	1,323.35
[redacted]	^	05/14	1,800.00
[redacted]	^	05/11	7,000.00
[redacted]	^	05/14	1,500.00
[redacted]	^	05/15	790.65
[redacted]	* ^	05/21	600.00
[redacted]	^	05/23	381.45
[redacted]	^	05/23	899.79

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

May 01, 2012 through May 31, 2012
Account Number: [redacted]

CHECKS PAID *(continued)*

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	05/21	21.30
	^	05/21	46.53
	Check # [redacted] Aps Utility [redacted]	05/23	232.84
	^	05/23	3,601.61
	* ^	05/25	2,066.50
	* ^	05/30	150.00
Total Checks Paid			**$32,181.33**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
05/01	Card Purchase With Pin 05/01 Samsclub #6241 Scottsdale AZ Card [redacted]	$451.74
05/02	Card Purchase With Pin 05/02 Costco Whse #0427 Scottsdale AZ Card [redacted]	130.60
05/02	Recurring Card Purchase 05/01 Icontact Corporation 650-6222200 NC Card [redacted]	529.00
05/04	Card Purchase 05/03 Facebook.Com*2Nzv322 Www.Fb.Me/C CA Card [redacted]	4.88
05/07	Recurring Card Purchase 05/06 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	10.17
05/08	Card Purchase 05/08 The UPS Store 4990 Scottsdale AZ Card [redacted]	2,000.00
05/08	Card Purchase With Pin 05/08 Wal Sam's Club 25 Scottsdale AZ Card [redacted]	76.24
05/09	Card Purchase With Pin 05/09 Office Max 10258 N SC Scottsdale AZ Card [redacted]	5.22
05/10	Card Purchase 05/09 Facebook.Com*D2Jw322 Www.Fb.Me/C CA Card [redacted]	1.61
05/11	Card Purchase 05/10 Online Labels 888-575-2235 FL Card [redacted]	82.35
05/14	Card Purchase 05/11 Pinnacle Peak Patio Scottsdale AZ Card [redacted]	2,099.75
05/16	Card Purchase 05/15 A To Z Equipment Renta Phoenix AZ Card [redacted]	47.00
05/17	Card Purchase 05/16 A To Z Equipment Renta Phoenix AZ Card [redacted]	12.76
05/18	Recurring Card Purchase 05/17 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	10.17
05/21	Card Purchase With Pin 05/20 Costco Whse #0490 Phoenix AZ Card [redacted]	133.08
05/23	Card Purchase With Pin 05/23 Staples, Inc Scottsdale AZ Card [redacted]	19.16
05/25	Card Purchase With Pin 05/25 Wal Sam's Club 44 Scottsdale AZ Card [redacted]	50.19
05/29	Card Purchase With Pin 05/26 Wal Sam's Club 75 Scottsdale AZ Card [redacted]	42.55
05/29	Card Purchase 05/27 Facebook.Com*Q25Y322 Www.Fb.Me/C CA Card [redacted]	41.41
05/29	Recurring Card Purchase 05/28 Icontact Corporation 650-6222200 NC Card [redacted]	22.18
05/29	Recurring Card Purchase 05/28 Icontact Corporation 650-6222200 NC Card [redacted]	21.60
05/29	Recurring Card Purchase 05/27 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	10.17
05/29	Recurring Card Purchase 05/25 Icontact Corporation 650-6222200 NC Card [redacted]	5.85
05/30	Card Purchase 05/29 Facebook.Com*Axay322 Www.Fb.Me/C CA Card [redacted]	33.45
05/30	Recurring Card Purchase 05/29 Icontact Corporation 650-6222200 NC Card [redacted]	2.43
Total ATM & Debit Card Withdrawals		**$5,843.67**

ATM & DEBIT CARD SUMMARY

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

May 01, 2012 through May 31, 2012
Account Number: [redacted]

David Schweikert Card [redacted]		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$692.93
	Total Card Credits	$0.00
Allison Mary Card [redacted]		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$969.64
	Total Card Credits	$0.00
Joyce R Schweikert Card [redacted]		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$4,182.10
	Total Card Credits	$2,000.00
ATM & Debit Card Totals		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$5,843.67
	Total Card Credits	$2,000.00

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
05/01	05/01 Online Wire Transfer Via: Bank of America, N A [redacted] A/C: Blue Point Llc Scottsdale AZ 85262 US Ref: 2012 Schweikert For Congress/Bnf/2012 Schweikert For Congress Ssn: [redacted]	$4,000.00
05/01	American Express Collection [redacted]	7.95
05/02	Home Depot Comm Online Pmt [redacted] Web ID: Citicashes	5,660.31
05/02	Qgiv Debits Qgiv Debit Oke [redacted]	101.20
05/03	Fdms-Settlement Discount [redacted]	58.91
05/03	Fdms-Settlement Interchng [redacted]	34.06
05/03	Fdms-Settlement Fee [redacted]	10.91
05/07	American Express Axp Disc [redacted]	83.74
05/10	05/10 Online Wire Transfer Via: First Citz Raleigh [redacted] A/C: Anthem Media, Inc Austin TX 78746 US Ref: Media Buy 5/14 To 6/3/Bnf/Inv 1482 Imad: [redacted]	18,482.00
05/10	Translist Llc Discount [redacted]	207.41
05/31	05/31 Online Wire Transfer Via: First Citz Raleigh [redacted] A/C: Anthem Media, Inc Austin TX 78746 US Ref: Media Buy 6/4--7/1/Bnf/Inv 1482 Imad: [redacted]	27,043.00
Total Electronic Withdrawals		**$55,689.49**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
05/01	$435,979.30	05/07	454,455.04
05/02	439,234.93	05/08	445,175.88
05/03	434,618.83	05/09	448,470.56
05/04	434,663.95	05/10	431,914.64

CHASE

May 01, 2012 through May 31, 2012
Account Number: [redacted]

DAILY ENDING BALANCE (continued)

DATE	AMOUNT	DATE	AMOUNT
05/11	425,684.79	05/22	449,179.55
05/14	420,335.04	05/23	444,094.69
05/15	419,854.39	05/24	444,519.69
05/16	420,592.39	05/25	442,728.00
05/17	424,384.63	05/29	445,594.13
05/18	424,404.46	05/30	448,184.25
05/21	437,968.55	05/31	423,527.24

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included: [redacted]

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$0.00	
Total Service Charges	**$0.00**	

Your monthly maintenance fee of $45.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	64
Deposits / Credits	56
Deposited Items	171
Total Transactions	**291**

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 291.

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$45.00	$0.00
Transactions	291	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$2,110	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	0	0	$0.00	$0.00
Outgoing Wire - Domestic Online	3	4	0	$25.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	8	4	0	$0.00	$0.00
Total Service Charge					**$0.00**
ACCOUNT [redacted]					

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

May 01, 2012 through May 31, 2012
Account Number: [redacted]

SERVICE CHARGE DETAIL *(continued)*

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Transactions	278				
Cash Deposited					
Branch Deposit - Immediate Verification	$1,070				
Outgoing Wire - Domestic Online	3				
ACCOUNT [redacted]					
Transactions	15				
Cash Deposited					
Branch Deposit - Immediate Verification	$1,040				

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

May 01, 2012 through May 31, 2012
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

* Your name and account number
* The dollar amount of the suspected error
* A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE
JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

June 01, 2012 through June 29, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

We are eliminating the following fees for Business Banking customers

You already enjoy many complimentary banking services because you are a Chase Business Banking customer. And you'll be getting more services for free just for having a Chase Business Banking checking or savings account, starting August 1, 2012.

There will be NO FEE for:
- Cash Strap Orders
- Coin Roll Orders
- Cash Bag Deposits
- Multiple Mailed Statements

This is another way to reward our Business Banking customers for choosing Chase. All other terms of your account remain the same. If you have any questions, please call us at 1-800-CHASE38 (1-800-242-7338) or contact your banker.

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$423,527.24
Deposits and Additions	54	75,625.00
Checks Paid	7	- 13,018.38
ATM & Debit Card Withdrawals	33	- 5,928.33
Electronic Withdrawals	9	- 2,858.28
Ending Balance	103	$477,347.25

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
06/01	Deposit [redacted]	$360.00
06/01	Transfirst Llc Bkcd Stlmt [redacted]	300.00
06/04	Fdms-Settlement Deposit [redacted]	3,825.00
06/04	American Express Settlement [redacted]	250.00
06/04	David Schweikert David Schw [redacted]	250.00
06/04	American Express Settlement [redacted]	25.00
06/05	Deposit [redacted]	1,895.00
06/05	Fdms-Settlement Deposit [redacted]	250.00

CHASE

June 01, 2012 through June 29, 2012
Account Number: [redacted]

DEPOSITS AND ADDITIONS *(continued)*

DATE	DESCRIPTION	AMOUNT
06/05	American Express Settlement [redacted]	50 00
06/07	Fdms-Settlement Deposit [redacted]	200 00
06/08	Deposit [redacted]	1,265 00
06/08	Fdms-Settlement Deposit [redacted]	100 00
06/11	Deposit [redacted]	4,025 00
06/11	American Express Settlement [redacted]	500 00
06/11	Fdms-Settlement Deposit [redacted]	35 00
06/12	Deposit [redacted]	3,000 00
06/12	Transfirst Llc Bkcd Stlmt [redacted]	25 00
06/12	American Express Settlement [redacted]	5 00
06/13	Transfirst Llc Bkcd Stlmt [redacted]	350 00
06/13	American Express Settlement [redacted]	100 00
06/13	Fdms-Settlement Deposit [redacted]	100 00
06/14	Deposit [redacted]	2,425 00
06/14	Fdms-Settlement Deposit [redacted]	45 00
06/15	Deposit [redacted]	4,975 00
06/15	American Express Settlement [redacted]	750 00
06/18	Fdms-Settlement Deposit [redacted]	750 00
06/19	Fdms-Settlement Deposit [redacted]	1,000 00
06/19	Transfirst Llc Bkcd Stlmt [redacted]	250 00
06/19	Fdms-Settlement Deposit [redacted]	90 00
06/20	Deposit [redacted]	20,600 00
06/20	Deposit [redacted]	1,250 00
06/20	Transfirst Llc Bkcd Stlmt [redacted]	1,000 00
06/21	Deposit [redacted]	450 00
06/21	Fdms-Settlement Deposit [redacted]	1,275 00
06/21	American Express Settlement [redacted]	500 00
06/22	Fdms-Settlement Deposit [redacted]	825 00
06/25	Deposit [redacted]	12,650 00
06/25	American Express Settlement [redacted]	250 00
06/25	American Express Settlement [redacted]	250 00
06/26	Deposit [redacted]	2,000 00
06/26	Transfirst Llc Bkcd Stlmt [redacted]	700 00
06/26	Fdms-Settlement Deposit [redacted]	520 00
06/26	Fdms-Settlement Deposit [redacted]	100 00
06/26	Fdms-Settlement Deposit [redacted]	50 00
06/27	American Express Settlement [redacted]	1,500 00
06/27	Fdms-Settlement Deposit [redacted]	335 00
06/27	Transfirst Llc Bkcd Stlmt [redacted]	50 00
06/27	David Schweikert David Schw Gms-036Yes [redacted]	50 00
06/28	Deposit [redacted]	1,125.00
06/28	Fdms-Settlement Deposit [redacted]	185 00
06/29	Deposit [redacted]	1,500 00
06/29	Transfirst Llc Bkcd Stlmt [redacted]	700.00
06/29	American Express Settlement [redacted]	500.00
06/29	Fdms-Settlement Deposit [redacted]	75 00
Total Deposits and Additions		**$75,625.00**

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

June 01, 2012 through June 29, 2012
Account Number: [redacted]

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	06/01	59,573.96
	* ^	06/06	277.50
	* ^	06/04	1,500.00
	^	06/13	528.63
	* ^	06/15	500.00
	^	06/27	218.09
	^	06/25	22.20

Total Checks Paid **$13,016.38**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com.

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
06/01	Card Purchase 05/31 Facebook Com*43Gy322 Www.Fb ME/C CA Card [redacted]	528.58
06/01	Card Purchase With Pin 05/01 Wal Sam's Club 65 Scottsdale AZ Card [redacted]	186.29
06/01	Card Purchase With Pin 05/01 Wal Sam's Club 71 Scottsdale AZ Card [redacted]	21.54
06/01	Recurring Card Purchase 05/30 Iconlact Corporation 650-6222200 NC Card [redacted]	22.08
06/01	Recurring Card Purchase 05/30 Iconlact Corporation 650-6222200 NC Card [redacted]	3.69
06/04	Card Purchase 05/31 Little Peking Phoenix AZ Card [redacted]	68.00
06/04	Card Purchase 06/03 Paypal *Myroulconli 402-935-7733 MA Card [redacted]	44.95
06/04	Card Purchase 06/03 Facebook Com*H5Ty322 Www.Fb ME/C CA Card [redacted]	27.26
06/04	Recurring Card Purchase 06/01 Iconlact Corporation 650-6222200 NC Card [redacted]	529.00
06/04	Recurring Card Purchase 05/31 Iconlact Corporation 650-6222200 NC Card [redacted]	21.77
06/05	Card Purchase With Pin 06/05 Scu Best Buy #250 932 Phoenix AZ Card [redacted]	9.82
06/06	Card Purchase 06/05 Facebook Com*5Kzy322 Www.Fb.ME/C CA Card [redacted]	30.25
06/11	Card Purchase With Pin 06/10 Wal Sam's Club 02 Scottsdale AZ Card [redacted]	57.48
06/11	Card Purchase With Pin 06/11 Usps 0363640024 Phoenix AZ Card [redacted]	128.00
06/13	Card Purchase 06/12 Facebook Com*Jzmz322 Www.Fb ME/C CA Card [redacted]	17.21
06/14	Card Purchase 06/13 Scottsdale Trophy Scottsdale AZ Card [redacted]	63.75
06/14	Card Purchase With Pin 06/14 Costco Whse #0427 Scottsdale AZ Card [redacted]	1,852.15
06/15	Card Purchase 06/14 Paypal *Vkrp Enter 402-935-7733 CA Card [redacted]	45.42
06/18	Card Purchase 06/15 Facebook Com*Fnyz322 Www.Fb ME/C CA Card [redacted]	28.75
06/18	Card Purchase 06/15 Thediscountprinter.C 305-567-965 FL Card [redacted]	609.00
06/20	Card Purchase 06/18 Online Labels 888-575-2235 FL Card [redacted]	101.19
06/20	Card Purchase 06/19 Church's Chicken # Phoenix AZ Card [redacted]	28.41
06/21	Card Purchase 06/20 Facebook Com*9Ch2422 Www.Fb.ME/C CA Card [redacted]	29.06
06/21	Card Purchase With Pin 06/21 The Home Depot 480 Phoenix AZ Card [redacted]	40.92
06/22	Card Purchase With Pin 06/22 Costco Whse #0465 Phoenix AZ Card [redacted]	57.98
06/25	Card Purchase 06/22 Smartystreets 877-2168883 UT Card [redacted]	50.00
06/25	Card Purchase 06/23 Smartystreets 877-2168883 UT Card [redacted]	21.21
06/25	Card Purchase 06/23 Smartystreets 877-2168883 UT Card [redacted]	1.68
06/25	Card Purchase With Pin 06/25 Costco Whse #0427 Scottsdale AZ Card [redacted]	1,634.25

CHASE

June 01, 2012 through June 29, 2012
Account Number: [redacted]

ATM & DEBIT CARD WITHDRAWALS *(continued)*

DATE	DESCRIPTION	AMOUNT
06/25	Card Purchase 06/25 Smartystreets 877-2158883 UT Card [redacted]	2.48
06/28	Card Purchase 06/27 Facebook.Com*T7A3422 Www.Fb.Me/C CA Card [redacted]	8.90
06/29	Card Purchase With Pin 06/29 Wal Sam's Club 31 Scottsdale AZ Card [redacted]	150.18
06/29	Card Purchase With Pin 06/29 Samsclub #6241 Scottsdale AZ Card [redacted]	6.17
Total ATM & Debit Card Withdrawals		**$5,928.33**

ATM & DEBIT CARD SUMMARY

Dan Caldwell Card [redacted]	
Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$244.29
Total Card Credits	$0.00
David Schweikert Card [redacted]	
Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$746.55
Total Card Credits	$0.00
Allison Mary Card [redacted]	
Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$649.62
Total Card Credits	$0.00
Joyce R Schweikert Card [redacted]	
Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$4,287.87
Total Card Credits	$0.00
ATM & Debit Card Totals	
Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$5,928.33
Total Card Credits	$0.00

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
06/01	06/01 Online Wire Transfer Via: Bank of America, N.A. [redacted] VC: Blue Point Llc Scottsdale AZ 85262 US Ref: 2012 Schweikert For Congress/Bnf/2012 Schweikert For Congress Sin: [redacted]	$2,000.00
06/01	American Express Collection [redacted]	7.95
06/04	Qgiv Debits Qgiv Debit Qkel [redacted]	287.26
06/05	Fdms-Settlement Discount [redacted]	217.73
06/05	American Express Axp Discnt [redacted]	74.99
06/05	Fdms-Settlement Fee [redacted]	28.53

CHASE

June 01, 2012 through June 29, 2012
Account Number: [redacted]

ELECTRONIC WITHDRAWALS *(continued)*

DATE	DESCRIPTION	AMOUNT
06/05	Fdms-Settlement Interchng [redacted]	5.77
06/11	Transfirst Llc Discount [redacted]	255.55
06/11	Gulf Managem (2) Operations [redacted]	0.50
Total Electronic Withdrawals		**$2,858.28**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
06/01	$411,943.15	06/18	429,939.88
06/04	413,836.91	06/19	431,279.88
06/05	415,685.07	06/20	454,000.37
06/06	415,377.32	06/21	456,155.39
06/07	415,577.32	06/22	456,922.41
06/08	416,942.32	06/25	468,343.07
06/11	421,060.79	06/26	471,710.89
06/12	424,090.79	06/27	473,429.50
06/13	424,094.95	06/28	474,730.60
06/14	424,649.05	06/29	477,347.25
06/15	429,827.83		

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included: [redacted]

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$0.00	
Total Service Charges	**$0.00**	

Your monthly maintenance fee of $45.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	55
Deposits / Credits	58
Deposited Items	92
Total Transactions	**205**

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 205.

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

June 01, 2012 through June 29, 2012
Account Number: [redacted]

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/ UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$45.00	$0.00
Transactions	205	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$513	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	0	0	$0.00	$0.00
Outgoing Wire - Domestic Online	1	4	0	$25.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge					**$0.00**
ACCOUNT [redacted]					
Transactions	192				
Cash Deposited					
Branch Deposit - Immediate Verification	$60				
Outgoing Wire - Domestic Online	1				
ACCOUNT [redacted]					
Transactions	13				
Cash Deposited					
Branch Deposit - Immediate Verification	$859				

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

June 01, 2012 through June 29, 2012
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-15

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

June 01, 2012 through June 29, 2012
Account Number: [redacted]

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29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

June 30, 2012 through July 31, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		**$477,347.25**
Deposits and Additions	71	124,371.00
Checks Paid	30	- 202,074.65
ATM & Debit Card Withdrawals	38	- 21,859.99
Electronic Withdrawals	16	- 224,977.41
Fees and Other Withdrawals	2	- 1,562.65
Ending Balance	157	**$151,243.55**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
07/02	Deposit [redacted]	$18,505.00
07/02	Deposit [redacted]	15,450.00
07/02	Deposit	5,400.00
07/02	American Express Settlement [redacted]	3,000.00
07/02	American Express Settlement	750.00
07/02	Transfirst Llc Ekcd Stlmt [redacted]	450.00
07/02	Fdms-Settlement Deposit	200.00
07/03	Deposit [redacted]	2,000.00
07/03	Fdms-Settlement Deposit [redacted]	2,575.00
07/03	Fdms-Settlement Deposit	1,455.00
07/03	Transfirst Llc Ekcd Stlmt	1,250.00
07/03	Fdms-Settlement Deposit	1,046.00
07/03	American Express Settlement [redacted]	125.00
07/05	American Express Settlement	2,200.00
07/05	American Express Settlement	250.00
07/05	Fdms-Settlement Deposit	10.00
07/06	Deposit [redacted]	12,550.00
07/06	Deposit	100.00

CHASE

June 30, 2012 through July 31, 2012
Account Number: [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
07/06	Fdms-Settlement Deposit [redacted]	380.00
07/06	Fdms-Settlement Deposit [redacted]	50.00
07/09	American Express Settleme [redacted]	1,000.00
07/09	Fdms-Settlement Deposit [redacted]	620.00
07/10	Deposit [redacted]	65.00
07/10	Fdms-Settlement Deposit [redacted]	100.00
07/10	Fdms-Settlement Deposit [redacted]	25.00
07/11	Deposit [redacted]	200.00
07/11	Fdms Settlement Deposit [redacted]	125.00
07/11	David Schweikert David Schw Gms- [redacted]	100.00
07/12	Deposit [redacted]	1,100.00
07/12	Fdms-Settlement Deposit [redacted]	400.00
07/12	Transfirst Llc Bkcd Stlmt [redacted]	260.00
07/13	Fdms-Settlement Deposit [redacted]	515.00
07/13	American Express Settlement [redacted]	15.00
07/16	Deposit [redacted]	7,825.00
07/16	American Express Settlement [redacted]	1,125.00
07/16	Fdms-Settlement Deposit [redacted]	270.00
07/17	Deposit [redacted]	2,500.00
07/17	Fdms-Settlement Deposit [redacted]	350.00
07/17	Fdms-Settlement Deposit [redacted]	350.00
07/18	American Express Settlement [redacted]	4,700.00
07/18	Fdms-Settlement Deposit [redacted]	110.00
07/18	Transfirst Llc Bkcd Stlmt [redacted]	80.00
07/19	Deposit [redacted]	2,050.00
07/19	American Express Settlement [redacted]	100.00
07/19	Fdms-Settlement Deposit [redacted]	15.00
07/20	Fdms-Settlement Deposit [redacted]	690.00
07/23	Deposit [redacted]	7,953.00
07/23	American Express Settlement [redacted]	1,450.00
07/23	American Express Settlement [redacted]	150.00
07/23	Fdms-Settlement Deposit [redacted]	100.00
07/24	Fdms-Settlement Deposit [redacted]	535.00
07/24	Fdms-Settlement Deposit [redacted]	300.00
07/24	American Express Settlement [redacted]	250.00
07/24	Transfirst Llc Bkcd Stlmt [redacted]	225.00
07/24	Fdms-Settlement Deposit [redacted]	100.00
07/25	Deposit [redacted]	2,755.00
07/25	Fdms-Settlement Deposit [redacted]	100.00
07/26	David Schweikert David Schw Gms 025Yes [redacted]	1,250.00
07/26	American Express Settlement [redacted]	500.00
07/26	Fdms-Settlement Deposit 3 [redacted]	225.00
07/26	Transfirst Llc Bkcd Stlmt 393 [redacted]	50.00
07/27	Deposit [redacted]	2,200.00
07/27	Fdms-Settlement Deposit [redacted]	125.00
07/30	Deposit [redacted]	5,870.00
07/30	Deposit [redacted]	2,500.00

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

June 30, 2012 through July 31, 2012
Account Number: [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
07/30	Fdms-Settlement Deposit [redacted]	875.00
07/30	American Express Settleme	125.00
07/31	American Express Settleme	2,500.00
07/31	Transfirst Llc Bkcd Stm [redacted]	1,000.00
07/31	Fdms-Settlement Deposit	750.00
07/31	Fdms-Settlement Deposit	42.00
Total Deposits and Additions		$124,371.00

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted] ^		07/09	54,970.00
^		07/13	14,412.00
^		07/26	150.00
^		07/18	1,472.18
^		07/16	5,960.00
^		07/16	1,050.00
^		07/13	800.00
^		07/23	644.68
^		07/26	7,130.90
^		07/25	523.44
^		07/24	370.00
^		07/23	43,104.00
^		07/23	22,968.00
	Check # [redacted] Aps Utility [redacted]	07/25	234.21
^		07/26	552.48
^		07/24	64,656.00
^		07/31	4,000.00
^		07/31	5,613.74
^		07/02	511.03
	Check # [redacted] Aps Utility [redacted]	07/02	182.24
^		07/11	3,627.57
^		07/02	4,676.49
^		07/02	4,700.94
^		07/03	539.85

Page 3 of 10

CHASE

June 30, 2012 through July 31, 2012
Account Number: [redacted]

CHECKS PAID *(continued)*

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	07/09	1,160.00
	^	07/09	705.85
	^	07/05	199.00
	^	07/09	521.35
	^	07/09	2,837.50
	^	07/09	3,900.00
Total Checks Paid			**$202,074.65**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
07/02	Card Purchase 06/29 Party City #236 Scottsdale AZ Card [redacted]	59.72
07/02	Card Purchase 06/29 Shoe Cleaners Phoenix AZ Card [redacted]	64.40
07/02	Card Purchase 06/29 Pizza Hut #26976 Phoenix AZ Card [redacted]	17.49
07/02	Card Purchase 06/29 Frys-Mktplace #512 Phoenix AZ Card [redacted]	22.07
07/02	Card Purchase 07/01 Paypal *Myroutecnlt 402-935-7733 MA Card [redacted]	44.95
07/02	Recurring Card Purchase 06/30 Icontact Corporation 650-6222200 NC Card [redacted]	6.52
07/03	Recurring Card Purchase 07/02 Icontact Corporation 650-6222200 NC Card [redacted]	529.00
07/05	Card Purchase 07/03 Facebook.Com*NSW3422 Www.Fb.ME/C CA Card [redacted]	5.10
07/05	Card Purchase 07/05 Smartystreets 877-2168883 UT Card [redacted]	1.35
07/10	Card Purchase 07/09 Facebook.Com*J7F4422 Www.Fb.ME/C CA Card [redacted]	6.11
07/11	Card Purchase 07/10 Facebook.Com*5NJ4422 Www.Fb.ME/C CA Card [redacted]	40.00
07/11	Card Purchase With Pin 07/11 Usps 0363640024 Phoenix AZ Card [redacted]	1,120.00
07/11	Card Purchase With Pin 07/11 Usps 0363640024 Phoenix AZ Card [redacted]	6,300.00
07/13	Card Purchase 07/12 Facebook.Com*J7G4422 Www.Fb.ME/C CA Card [redacted]	40.00
07/13	Card Purchase With Pin 07/13 Food City #041 Tempe AZ Card [redacted]	4.14
07/13	Card Purchase With Pin 07/13 Usps 0363640024 Phoenix AZ Card [redacted]	1,280.00
07/16	Card Purchase 07/13 Paypal *Myroutecnlt 402-935-7733 MA Card [redacted]	44.95
07/16	Card Purchase With Pin 07/14 Samsclub #6241 Scottsdale AZ Card [redacted]	117.43
07/17	Card Purchase 07/16 Facebook.Com*Fm35422 Www.Fb.ME/C CA Card [redacted]	37.21
07/18	Card Purchase With Pin 07/18 Usps 0363640024 Phoenix AZ Card [redacted]	7,200.00
07/19	Card Purchase 07/18 Facebook.Com*T4J5422 Www.Fb.ME/C CA Card [redacted]	38.96
07/19	Recurring Card Purchase 07/17 Icontact Corporation 650-6222200 NC Card [redacted]	1,524.68
07/20	Card Purchase 07/19 Subway 03127412 Phoenix AZ Card [redacted]	10.93
07/23	Card Purchase 07/20 Facebook.Com*Nw45422T Www.Fb.ME/Cc CA Card [redacted]	40.00
07/23	Card Purchase 07/22 Paypal *Lulmageinc 402-935-7733 CA Card [redacted]	188.00
07/24	Card Purchase 07/23 Facebook.Com*Odx5422T Www.Fb.ME/Cc CA Card [redacted]	37.45
07/24	Card Purchase 07/23 Church's Chicken # Phoenix AZ Card [redacted]	32.78
07/24	Card Purchase With Pin 07/24 Usps 0376610637 Fountain Hil AZ Card [redacted]	1,665.00
07/25	Card Purchase 07/24 Pizza Hut #26976 Phoenix AZ Card [redacted]	28.04
07/26	Card Purchase 07/25 Facebook.Com*Nex5422T Www.Fb.ME/Cc CA Card [redacted]	34.46
07/26	Card Purchase With Pin 07/26 Staples, Inc. Scottsdale AZ Card [redacted]	10.90

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

June 30, 2012 through July 31, 2012
Account Number: [redacted]

ATM & DEBIT CARD WITHDRAWALS (continued)

DATE	DESCRIPTION		AMOUNT
07/27	Card Purchase	07/26 Taco Bell #22364 Phoenix AZ Card [redacted]	27.83
07/27	Card Purchase With Pin	07/27 Wal Sam's Club 922 Scottsdale AZ Card [redacted]	238.60
07/30	Card Purchase	07/27 Pizza Hut #25976 Phoenix AZ Card [redacted]	22.77
07/30	Card Purchase	07/29 Facebook.Com*Sta6422T Www.Fb.ME/Cc CA Card [redacted]	27.08
07/30	Card Purchase With Pin	07/30 Usps 0363640024 Phoenix AZ Card [redacted]	900.00
07/31	Card Purchase	07/30 Church's Chicken # Phoenix AZ Card [redacted]	26.41
07/31	Card Purchase	07/31 ADT*Security Services 800-238-2455 FL Card [redacted]	112.66
Total ATM & Debit Card Withdrawals			**$21,859.99**

ATM & DEBIT CARD SUMMARY

Dan Caldwell Card [redacted]		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$1,052.11
	Total Card Credits	$0.00
David Schweikert Card [redacted]		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$2,367.57
	Total Card Credits	$0.00
Allison Mary Card [redacted]		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$484.75
	Total Card Credits	$0.00
Joyce R. Schweikert Card [redacted]		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$17,955.56
	Total Card Credits	$0.00
ATM & Debit Card Totals		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$21,859.99
	Total Card Credits	$0.00

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

June 30, 2012 through July 31, 2012
Account Number: [redacted]

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
07/02	07/02 Online Wire Transfer Via: First Citz Raleigh/[redacted]/C: Anthem Media, Inc Austin TX 78746 US Ref: Media Buy 6/25--7/7/Bnf/Inv 1491 Imad [redacted]	59,965.00
07/02	07/02 Online Wire Transfer Via: Bank of America, N.A./[redacted]/C: Blue Point Llc Scottsdale AZ 85262 US Ref: 2012 Schweikert For Congress/Bnf/2012 Schweikert For Congress Spn [redacted]	2,000.00
07/02	American Express Collection [redacted]	7.95
07/03	Home Depot Comm Online Pmt [redacted] Web ID: Citicsbes	705.82
07/03	Qgv Debits Qgv Debit Oke[redacted]	501.22
07/03	Fdms-Settlement Discount [redacted]	237.49
07/03	Fdms-Settlement Fee [redacted]	38.45
07/03	Fdms-Settlement Interchng [redacted]	8.17
07/05	American Express Axp Disc [redacted]	170.00
07/09	07/09 Online Wire Transfer Via: First Citz Raleigh/[redacted]/C: Anthem Media, Inc Austin TX 78746 US Ref: Media Buy 7/9--7/15/Bnf/Inv 1492 Imad [redacted]	23,845.00
07/10	Transfirst Llc Discount [redacted]	254.73
07/11	Gulf Managem (2) Operations [redacted]	1.50
07/16	07/16 Online Wire Transfer A/C: Sun Lithographing & Printing Csalt Lake City UT 84119- Ref: Letter And Insert Card/Bnf/Wire Money To Pay Bill [redacted]	5,646.08
07/16	07/16 Online Wire Transfer Via: First Citz Raleigh/[redacted]/C: Anthem Media, Inc Austin TX 78746 US Ref: Media 7/16---7/22/Bnf/Inv Mid July Imad [redacted]	40,000.00
07/26	07/26 Fedwire Debit Via: First Citz Raleigh/[redacted]/C: Anthem Media Inc Ref: Invoice # 1517 Imad [redacted]	140,595.00
07/30	07/30 Online Transfer To Chk [redacted] Transaction#: [redacted]	1,000.00
Total Electronic Withdrawals		**$224,977.41**

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION		AMOUNT
07/16	07/14 Withdrawal		$1,500.00
07/17	Check OR Supply Order	PPD ID: 1410216800	62.85
Total Fees & Other Withdrawals			**$1,562.85**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
07/02	$498,888.45	07/16	413,843.79
07/03	504,779.45	07/17	416,743.93
07/05	507,064.35	07/18	412,961.75
07/08	519,944.00	07/19	413,562.11
07/09	483,723.30	07/20	391,273.18
07/10	483,652.46	07/23	350,959.30
07/11	472,988.39	07/24	291,608.07
07/12	474,748.39	07/25	293,877.38
07/13	458,742.25	07/26	147,227.64

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

June 30, 2012 through July 31, 2012
Account Number: [redacted]

DAILY ENDING BALANCE *(continued)*

DATE	AMOUNT	DATE	AMOUNT
07/27	149,286.21	07/31	151,243.55
07/30	155,705.35		

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included [redacted]

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$50.00	
Total Service Charges	$50.00	Will be assessed on 8/3/12

Your monthly maintenance fee of $45.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	91
Deposits / Credits	75
Deposited Items	108
Total Transactions	274

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 274.

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$45.00	$0.00
Transactions	274	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$2,004	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	1	1	0	$30.00	$0.00
Outgoing Wire - Domestic Online	5	3	2	$25.00	$50.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge (Will be assessed on 8/3/12)					$50.00
ACCOUNT [redacted]					
Transactions	261				
Cash Deposited					
Branch Deposit - Immediate Verification	$100				

CHASE

June 30, 2012 through July 31, 2012
Account Number: [redacted]

SERVICE CHARGE DETAIL *(continued)*

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/ UNIT	TOTAL
Outgoing Wires - Domestic Manual	1				
Outgoing Wire - Domestic Online	5				
ACCOUNT [redacted]					
Transactions	1				
ACCOUNT [redacted]					
Transactions	12				
Cash Deposited					
Branch Deposit - Immediate Verification	$1,994				

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

June 30, 2012 through July 31, 2012
Account Number: [redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

June 30, 2012 through July 31, 2012
Account Number: [redacted]

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29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P.O. Box 659754
San Antonio, TX 78265-9754

August 01, 2012 through August 31, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		**$151,243.55**
Deposits and Additions	70	404,777.47
Checks Paid	13	- 92,571.94
ATM & Debit Card Withdrawals	28	- 7,677.32
Electronic Withdrawals	16	- 442,707.52
Fees and Other Withdrawals	1	- 50.00
Ending Balance	**128**	**$13,014.24**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
08/01	Deposit [redacted]	$3,795.00
08/02	Deposit [redacted]	100,000.00
08/02	Transfirst Llc Elect Stlmt [redacted]	500.00
08/02	American Express Settlement [redacted]	100.00
08/03	Deposit [redacted]	2,030.00
08/03	American Express Settlement [redacted]	100.00
08/06	Deposit [redacted]	13,450.00
08/06	Deposit [redacted]	635.00
08/06	Fdms-Settlement Deposit [redacted]	1,600.00
08/06	American Express Settlement [redacted]	725.00
08/06	American Express Settlement [redacted]	100.00
08/07	Deposit [redacted]	2,150.00
08/07	Fdms-Settlement Deposit [redacted]	200.00
08/07	Fdms-Settlement Deposit [redacted]	123.00
08/07	David Schweikert David Schw Gma 039Yea 0393 [redacted]	25.00
08/08	Deposit [redacted]	101,134.47
08/08	Deposit [redacted]	1,155.00
08/08	American Express Settlement [redacted]	1,000.00

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

August 01, 2012 through August 31, 2012
Account Number: [redacted]

DEPOSITS AND ADDITIONS *(continued)*

DATE	DESCRIPTION	AMOUNT
08/09	Fdms-Settlement Deposit [redacted]	550.00
08/10	Transfirst Llc Bkcd Stlmt [redacted]	500.00
08/10	Fdms-Settlement Deposit [redacted]	500.00
08/13	Deposit [redacted]	2,970.00
08/13	Deposit [redacted]	1,150.00
08/13	Fdms-Settlement Deposit [redacted]	675.00
08/13	American Express Settlement [redacted]	500.00
08/13	American Express Settlement [redacted]	250.00
08/14	Deposit [redacted]	4,875.00
08/14	Fdms-Settlement Deposit [redacted]	500.00
08/14	Fdms-Settlement Deposit [redacted]	215.00
08/14	Transfirst Llc Bkcd Stlmt [redacted]	100.00
08/14	Fdms-Settlement Deposit [redacted]	51.00
08/14	David Schweikert David Schw Gma [redacted]	30.00
08/15	Deposit [redacted]	2,575.00
08/15	Transfirst Llc Bkcd Stlmt [redacted]	500.00
08/15	Fdms-Settlement Deposit [redacted]	50.00
08/16	Deposit [redacted]	2,850.00
08/16	Deposit [redacted]	2,250.00
08/16	Online Transfer From Chk [redacted] Transaction# [redacted]	5,000.00
08/16	Fdms-Settlement Deposit [redacted]	950.00
08/16	American Express Settlement [redacted]	25.00
08/17	Online Transfer From Chk [redacted] Transaction# [redacted]	8,000.00
08/17	Transfirst Llc Bkcd Stlmt [redacted]	750.00
08/17	Fdms-Settlement Deposit [redacted]	520.00
08/20	Deposit [redacted]	750.00
08/20	American Express Settlement [redacted]	4,000.00
08/20	Fdms-Settlement Deposit [redacted]	160.00
08/20	American Express Settlement [redacted]	100.00
08/21	Deposit [redacted]	2,000.00
08/21	Deposit [redacted]	750.00
08/21	Online Transfer [redacted] From Joyce's B of A ####### [redacted] Transaction # [redacted]	17,000.00
08/21	Fdms-Settlement Deposit [redacted]	1,050.00
08/21	American Express Settlemen [redacted]	150.00
08/21	Fdms-Settlement Deposit [redacted]	25.00
08/21	Fdms-Settlement Deposit [redacted]	15.00
08/22	Deposit [redacted]	500.00
08/22	Online Transfer From Chk [redacted] Transaction# [redacted]	100,000.00
08/22	Fdms-Settlement Deposit [redacted]	20.00
08/24	Deposit [redacted]	1,000.00
08/24	Fdms-Settlement Deposit [redacted]	50.00
08/27	Deposit [redacted]	2,450.00
08/27	Fdms-Settlement Deposit [redacted]	1,500.00
08/27	American Express Settlement [redacted]	50.00
08/28	Fdms-Settlement Deposit [redacted]	250.00
08/29	Deposit	3,500.00

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

August 01, 2012 through August 31, 2012
Account Number: [redacted]

DEPOSITS AND ADDITIONS *(continued)*

DATE	DESCRIPTION	AMOUNT
08/29	Deposit [redacted]	2,525.00
08/29	Deposit [redacted]	500.00
08/29	Fdms-Settlement Deposit [redacted]	14.00
08/30	Deposit [redacted]	450.00
08/30	Fdms-Settlement Deposit [redacted]	750.00
08/31	Transfrist Llc Bkcd Stmt [redacted]	250.00
Total Deposits and Additions		$404,777.47

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted] ^		08/02	$4,700.00
^		08/06	23.20
* ^		08/01	752.89
^		08/06	7,500.00
* ^		08/06	2,000.00
^		08/13	49,836.00
^		08/13	1,500.00
^		08/20	800.00
	Check # [redacted] Aps Utility [redacted]	08/23	242.97
^		08/27	234.40
^		08/28	21,910.20
* ^		08/28	2,972.28
^		08/27	100.00
Total Checks Paid			$92,571.94

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com.

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
08/01	Card Purchase 07/31 Cox*Phoenix Comm Serv 602-277-1000 AZ Card [redacted]	$199.00
08/01	Card Purchase 07/31 Facebook.Com*Ang6422T Www.Fb.ME/Cc CA Card [redacted]	28.27
08/01	Card Purchase 07/31 Pizza Hut #26976 Phoenix AZ Card [redacted]	6.56
08/01	Card Purchase 07/31 Smartystreets 877-2168883 UT Card [redacted]	25.43
08/01	Card Purchase With Pin 08/01 Usps 0363640024 Phoenix AZ Card [redacted]	160.00
08/03	Card Purchase 08/01 Chick-Fil-A #01967 Phoenix AZ Card [redacted]	52.08
08/03	Card Purchase 08/02 Facebook.Com*Vsn6422T Www.Fb.ME/Cc CA Card [redacted]	40.00
08/03	Card Purchase 08/02 Subway 03127412 Phoenix AZ Card [redacted]	16.40
08/03	Card Purchase 08/02 Pizza Hut #26976 Phoenix AZ Card [redacted]	18.20
08/03	Card Purchase With Pin 08/03 Usps 0363640024 Phoenix AZ Card [redacted]	693.00
08/06	Card Purchase 08/03 Pizza Hut #26976 Phoenix AZ Card [redacted]	12.02
08/06	Card Purchase With Pin 08/04 Usps 0363640024 Phoenix AZ Card [redacted]	770.00

CHASE

August 01, 2012 through August 31, 2012
Account Number [redacted]

ATM & DEBIT CARD WITHDRAWALS *(continued)*

DATE	DESCRIPTION		AMOUNT
08/06	Card Purchase	08/04 Taco Bell #22364 Phoenix AZ Card [redacted]	37.12
08/07	Card Purchase	08/05 Facebook Com'Hgz64227 Www.Fb.Me/Cc CA Card [redacted]	27.45
08/07	Card Purchase	08/06 Taco Bell #22364 Phoenix AZ Card [redacted]	25.11
08/08	Card Purchase	08/07 The Fountain Hills T Fountain Hill AZ Card [redacted]	630.22
08/08	Card Purchase With Pin	08/08 Radio Shack Phoenix AZ Card [redacted]	7.64
08/09	Card Purchase	08/08 Pizza Hut #25976 Phoenix AZ Card [redacted]	34.10
08/13	Card Purchase With Pin	08/11 Wal Sam's Club 910 Scottsdale AZ Card [redacted]	104.11
08/13	Card Purchase With Pin	08/12 Wal Sam's Club 520 Scottsdale AZ Card [redacted]	124.15
08/13	Card Purchase With Pin	08/12 #00970 Albertsons Phoenix AZ Card [redacted]	20.62
08/14	Card Purchase	08/13 Smartystreets 877-2168883 UT Card [redacted]	11.81
08/15	Card Purchase	08/14 Smartystreets 877-2168883 UT Card [redacted]	39.84
08/15	Card Purchase	08/14 Smartystreets 877-2168883 UT Card [redacted]	8.58
08/15	Card Purchase	08/14 Pizza Hut #25976 Phoenix AZ Card [redacted]	19.49
08/15	Card Purchase With Pin	08/15 Usps 0363640024 Phoenix AZ Card [redacted]	4,275.00
08/28	Card Purchase With Pin	08/26 Wal Sam's Club 541 Scottsdale AZ Card [redacted]	143.11
08/30	Card Purchase	08/28 Sq *Bogphx Phoenix AZ Card [redacted]	150.00
Total ATM & Debit Card Withdrawals			**$7,677.32**

ATM & DEBIT CARD SUMMARY

Dan Caldwell Card [redacted]		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$2,065.38
	Total Card Credits	$0.00
David Schweikert Card [redacted]		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$725.95
	Total Card Credits	$0.00
Allison Mary Card [redacted]		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$391.99
	Total Card Credits	$0.00
Joyce R Schweikert Card [redacted]		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$4,474.00
	Total Card Credits	$0.00
ATM & Debit Card Totals		
	Total ATM Withdrawals & Debits	$0.00

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

August 01, 2012 through August 31, 2012
Account Number: [redacted]

Total Card Purchases	57,677.92
Total Card Credits	$0.00

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
08/01	Home Depot Comm Online Pmt [redacted] Web ID: Chicosbos	$944.80
08/01	American Express Collection [redacted]	7.95
08/02	Qgiv Debits Qgiv Debit Ckn [redacted]	557.81
08/03	08/03 Fedwire Debit Via: First Citz Raleigh/ [redacted] A/C: Anthem Media Inc Ref: Invoice # 1526 Imad: [redacted]	151,155.42
08/03	08/03 Online Wire Transfer Via: Bank of America, N.A. [redacted] A/C: Blue Point Ltd Scottsdale AZ 85262 US Ref: Mail 6, 7, 8/Bnf/2012 Schweikert For Congress Stm: [redacted]	38,788.00
08/03	Fdms-Settlement Discount [redacted]	267.77
08/03	Fdms-Settlement Fee [redacted]	46.06
08/03	Fdms-Settlement Interchng [redacted]	19.08
08/06	American Express Axp Disc [redacted]	547.49
08/10	08/10 Fedwire Debit Via: First Citz Raleigh/053100300 A/C: Anthem Media Inc Imad: [redacted]	100,514.73
08/10	Transfirst Llc Discount [redacted]	197.80
08/10	Gulf Managem (2) Operations [redacted]	3.00
08/17	08/17 Online Wire Transfer Via: First Citz Raleigh/ [redacted] A/C: Anthem Media, Inc Austin TX 78746 US Ref: Media Buy 8/18--8/21/Bnf/Inv 1538 Imad: [redacted]	40,125.31
08/17	08/17 Online Wire Transfer Via: First Citz Raleigh/ [redacted] A/C: Anthem Media, Inc Austin TX 78746 US Ref: Pump Up Media Buy 8/20--8/21/Bnf/Additional Media Buy Imad: [redacted]	9,000.00
08/21	08/21 Online Wire Transfer Via: First Citz Raleigh/ [redacted] A/C: Anthem Media, Inc Austin TX 78746 US Ref: Pump Up Media 31St--27th/Bnf/Pump Up Media Buys This Week Imad: [redacted]	20,000.00
08/22	08/22 Online Wire Transfer Via: First Citz Raleigh/ [redacted] A/C: Anthem Media, Inc Austin TX 78746 US Ref: Media Buy 8/23--8/28/Bnf/Inv 1543 Imad: [redacted]	80,532.30
Total Electronic Withdrawals		**$442,707.52**

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
08/03	Service Charges For The Month of July	$50.00
Total Fees & Other Withdrawals		**$50.00**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
08/01	$152,913.65	08/08	169,957.04
08/02	248,255.84	08/09	170,472.94
08/03	59,239.83	08/10	70,757.41
08/06	64,860.00	08/13	24,717.53
08/07	67,305.43	08/14	30,276.72

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

August 01, 2012 through August 31, 2012
Account Number: [redacted]

DAILY ENDING BALANCE *(continued)*

DATE	AMOUNT	DATE	AMOUNT
08/15	29,050.81	08/24	26,285.23
08/16	40,135.81	08/27	29,950.83
08/17	280.50	08/28	5,175.24
08/20	4,490.50	08/29	11,714.24
08/21	5,490.50	08/30	12,754.24
08/22	25,478.20	08/31	13,014.24
08/23	25,235.23		

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included: [redacted]

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$75.00	
Total Service Charges	**$75.00**	Will be assessed on 9/6/12

Your monthly maintenance fee of $45.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	62
Deposits / Credits	71
Deposited Items	99
Total Transactions	**232**

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 232.

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/ UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$45.00	$0.00
Transactions	232	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$653	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	2	2	0	$30.00	$0.00
Outgoing Wire - Domestic Online	5	2	3	$25.00	$75.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge (Will be assessed on 9/6/12)					**$75.00**

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

August 01, 2012 through August 31, 2012
Account Number: [redacted]

SERVICE CHARGE DETAIL *(continued)*

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/ UNIT	TOTAL
ACCOUNT [redacted]					
Transactions	219				
Outgoing Wire - Domestic Manual	2				
Outgoing Wire - Domestic Online	5				
ACCOUNT [redacted]					
Transactions	13				
Cash Deposited					
Branch Deposit - Immediate Verification	5651				

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

August 01, 2012 through August 31, 2012
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID 029Jun18-199 29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

September 01, 2012 through September 28, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$13,014.24
Deposits and Additions	41	65,509.60
Checks Paid	13	- 26,497.58
ATM & Debit Card Withdrawals	9	- 1,816.92
Electronic Withdrawals	13	- 41,186.61
Fees and Other Withdrawals	1	- 75.00
Ending Balance	77	$8,947.73

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
09/04	American Express Settlement [redacted]	$250.00
09/04	American Express Settlement [redacted]	100.00
09/04	Fdms-Settlement Deposit [redacted]	25.00
09/05	Fdms-Settlement Deposit [redacted]	2,100.00
09/06	Deposit [redacted]	5,500.00
09/06	Deposit [redacted]	880.00
09/07	Fdms-Settlement Deposit [redacted]	100.00
09/07	Transfirst LLC Bkcd Stmt [redacted]	25.00
09/10	Deposit [redacted]	2,225.00
09/12	Fdms-Settlement Deposit [redacted]	50.00
09/13	Deposit [redacted]	300.00
09/13	Fdms-Settlement Deposit [redacted]	120.00
09/14	American Express Settlemen [redacted]	50.00
09/17	Deposit [redacted]	10,000.00
09/17	Deposit [redacted]	6,040.00
09/17	Deposit [redacted]	1,000.00
09/17	Deposit [redacted]	1,000.00
09/18	Deposit [redacted]	2,500.00

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

September 01, 2012 through September 28, 2012
Account Number: [redacted]

DEPOSITS AND ADDITIONS *(continued)*

DATE	DESCRIPTION	AMOUNT
09/18	Fdms-Settlement Deposit [redacted]	1,700.00
09/18	American Express Settlemen[redacted]	250.00
09/18	Fdms-Settlement Deposit [redacted]	160.00
09/19	Deposit [redacted]	500.00
09/20	Transfirst LLC Bkcd Stlmt [redacted]	200.00
09/20	Fdms-Settlement Deposit [redacted]	180.00
09/21	Deposit [redacted]	3,100.00
09/21	Fdms-Settlement Deposit [redacted]	800.00
09/24	Deposit [redacted]	7,550.00
09/24	Deposit [redacted]	7,000.00
09/24	Deposit [redacted]	2,450.00
09/24	Deposit [redacted]	684.60
09/24	American Express Settlement [redacted]	1,500.00
09/24	American Express Settlement [redacted]	215.00
09/24	Fdms-Settlement Deposit [redacted]	110.00
09/25	Deposit [redacted]	3,500.00
09/25	Deposit [redacted]	1,100.00
09/25	American Express Settlement [redacted]	200.00
09/25	Fdms-Settlement Deposit [redacted]	100.00
09/25	Fdms-Settlement Deposit [redacted]	15.00
09/27	David Schweikert David Schw Gms-021Yes-[redacted]	50.00
09/28	Deposit [redacted]	2,000.00
09/28	Fdms-Settlement Deposit [redacted]	100.00
Total Deposits and Additions		**$65,509.60**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	09/07	521.30
[redacted]	^	09/07	3,029.00
[redacted]	^	09/13	2,000.00
[redacted]	^	09/20	1,853.85
[redacted]	^	09/18	8,417.10
[redacted]	^	09/19	495.85
[redacted]	^	09/24	7,500.00

CHASE

September 01, 2012 through September 28, 2012
Account Number [redacted]

CHECKS PAID *(continued)*

CHECK NO	DESCRIPTION	DATE PAID	AMOUNT
[redacted] ^		09/19	1,684.53
^		09/21	556.15
^		09/19	440.00
^		09/27	199.00
^		09/28	20.80
* ^		09/27	280.00
Total Checks Paid			**$26,497.58**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
09/04	Card Purchase 09/03 Paypal *Alajerdo23 402-935-7733 CA Card [redacted]	5125.85
09/05	Recurring Card Purchase 09/04 Icontact Corporation 650-6222200 NC Card [redacted]	653.70
09/06	Card Purchase With Pin 09/06 Usps 0375650600 Scottsdale AZ Card [redacted]	180.00
09/10	Card Purchase With Pin 09/08 Wal Sam's Club 111 Scottsdale AZ Card [redacted]	110.21
09/10	Card Purchase 09/08 Sq *Bbqphx Phoenix AZ Card [redacted]	300.00
09/14	Card Purchase 09/13 Dyn*Dyn Conv/Charge 603-5684998 NH Card [redacted]	29.95
09/20	Recurring Card Purchase 09/19 Icontact Corporation 650-6222200 NC Card [redacted]	8.33
09/26	Card Purchase 09/25 Cox*Phoenix Comm Serv 602-277-1000 AZ Card [redacted]	227.27
09/27	Card Purchase 09/26 The UPS Store 4990 Scottsdale AZ Card [redacted]	171.61
Total ATM & Debit Card Withdrawals		**$1,816.92**

ATM & DEBIT CARD SUMMARY

David Schweikert Card [redacted]

Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$662.03
Total Card Credits	$0.00

Allison Mary Card [redacted]

Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$410.21
Total Card Credits	$0.00

Joyce R Schweikert Card [redacted]

Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$744.68

CHASE

September 01, 2012 through September 28, 2012
Account Number: [redacted]

Total Card Credits	$0.00
ATM & Debit Card Totals	
Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$1,816.92
Total Card Credits	$0.00

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
09/04	American Express Collection [redacted]	$7.95
09/05	Ogiv Debits Ogiv Debit Oke [redacted]	457.80
09/05	Fdms-Settlement Discount [redacted]	328.36
09/05	American Express Aep Discr [redacted]	222.27
09/05	Fdms-Settlement Fee [redacted]	34.63
09/05	Fdms-Settlement Interchn [redacted]	12.27
09/07	09/07 Online Wire Transfer Via: Wells Fargo NA [redacted] A/C: Erica Crocker Arlington VA 22201 US Ref: Inv 115/Bnf/Inv 115 Imad: [redacted]	15,314.63
09/10	Transfirst LLC Discount [redacted]	200.42
09/11	Gulf Managem (2) Operations [redacted]	1.50
09/20	Home Depot Comm Online Pmt [redacted] Web ID: Citicsbes	1,242.12
09/25	09/25 Online Wire Transfer Via: Bank of America, N.A. [redacted] A/C: Blue Point LLC Scottsdale AZ 85262 US Ref: Catch Up On 6/7/8 Short Pays/Bnf/Pay Vendor Ssn: [redacted]	6,000.00
09/25	09/25 Online Wire Transfer Via: First Citz Raleigh [redacted] A/C: Anthem Media, Inc Austin TX 78745 US Ref: Several Invoices Due On Acct/Bnf/Several Invoices Imad: [redacted]	15,973.56
09/26	Home Depot Comm Online Pmt [redacted] Web ID: Citicsbes	1,381.10
Total Electronic Withdrawals		**$41,186.61**

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
09/06	Service Charges For The Month of August	$75.00
Total Fees & Other Withdrawals		**$75.00**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
09/04	$13,255.44	09/17	19,454.40
09/05	13,636.41	09/18	15,647.30
09/06	19,551.41	09/19	13,526.92
09/07	1,311.48	09/20	10,782.62
09/10	2,925.85	09/21	14,126.47
09/11	2,924.35	09/24	26,136.07
09/12	2,974.35	09/25	9,077.51
09/13	1,394.35	09/26	7,469.14
09/14	1,414.40	09/27	6,868.53

CHASE

September 01, 2012 through September 28, 2012
Account Number: [redacted]

DAILY ENDING BALANCE *(continued)*

DATE	AMOUNT	DATE	AMOUNT
09/28	6,947.73		

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts (continued) [redacted]

Maintenance Fee	$0.00 Waived by checking and relationship balances
Excess Product Fees	$0.00
Other Service Charges	$0.00
Total Service Charges	**$0.00**

Your monthly maintenance fee of $48.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	40
Deposits / Credits	44
Deposited Items	47
Total Transactions	**131**

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 131.

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/ UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$48.00	$0.00
Transactions	131	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$1,720	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	0	0	$0.00	$0.00
Outgoing Wire - Domestic Online	3	4	0	$25.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge					**$0.00**

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

September 01, 2012 through September 28, 2012
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS:Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

Page 6 of 6

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE
JPMorgan Chase Bank, N.A.
P.O. Box 659754
San Antonio, TX 78265-9754

September 29, 2012 through October 31, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site	Chase.com
Service Center	1-800-242-7338
Deaf and Hard of Hearing	1-800-242-7383
Para Espanol	1-888-622-4273
International Calls	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		**$8,947.73**
Deposits and Additions	47	118,025.00
Checks Paid	12	- 67,755.08
ATM & Debit Card Withdrawals	7	- 1,574.34
Electronic Withdrawals	7	- 550.98
Ending Balance	73	**$57,162.33**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
10/01	Deposit [redacted]	$11,050.00
10/01	Deposit [redacted]	9,000.00
10/01	Deposit [redacted]	1,500.00
10/01	Deposit [redacted]	1,000.00
10/02	Fdms-Settlement Deposit [redacted]	717.00
10/03	Deposit [redacted]	5,000.00
10/03	Deposit [redacted]	300.00
10/03	Fdms-Settlement Deposit [redacted]	250.00
10/03	American Express Settlemen [redacted]	25.00
10/04	Fdms-Settlement Deposit [redacted]	50.00
10/09	Deposit [redacted]	5,120.00
10/09	Fdms-Settlement Deposit [redacted]	485.00
10/09	American Express Settlement [redacted]	250.00
10/09	American Express Settlement [redacted]	100.00
10/10	Fdms-Settlement Deposit [redacted]	550.00
10/10	American Express Settlement [redacted]	350.00
10/10	Fdms-Settlement Deposit [redacted]	150.00
10/10	Fdms-Settlement Deposit [redacted]	100.00
10/11	Deposit [redacted]	5,450.00

CHASE

September 29, 2012 through October 31, 2012
Account Number [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
10/11	American Express Settlement [redacted]	1,000.00
10/12	Fdms-Settlement Deposit [redacted]	350.00
10/15	Deposit [redacted]	2,000.00
10/15	Fdms-Settlement Deposit [redacted]	150.00
10/16	Deposit [redacted]	4,025.00
10/16	Fdms-Settlement Deposit [redacted]	50.00
10/18	Fdms-Settlement Deposit [redacted]	10.00
10/19	Deposit [redacted]	11,050.00
10/22	Deposit [redacted]	6,200.00
10/22	Fdms-Settlement Deposit [redacted]	5,310.00
10/22	American Express Settlement [redacted]	1,000.00
10/22	American Express Settlement [redacted]	950.00
10/23	Deposit [redacted]	7,500.00
10/23	Fdms-Settlement Deposit [redacted]	293.00
10/23	American Express Settlement [redacted]	100.00
10/23	Fdms-Settlement Deposit [redacted]	35.00
10/24	Deposit 1051878159	14,800.00
10/24	American Express Settlement [redacted]	100.00
10/24	Fdms-Settlement Deposit [redacted]	50.00
10/25	Deposit [redacted]	13,100.00
10/25	Fdms-Settlement Deposit [redacted]	350.00
10/26	Fdms-Settlement Deposit [redacted]	500.00
10/29	Deposit [redacted]	1,000.00
10/29	Deposit [redacted]	700.00
10/29	Fdms-Settlement Deposit [redacted]	525.00
10/30	Deposit [redacted]	6,000.00
10/30	Fdms-Settlement Deposit [redacted]	50.00
10/31	Fdms-Settlement Deposit [redacted]	50.00
Total Deposits and Additions		**$118,095.00**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted] ^		10/10	$500.00
^		10/01	1,375.00
^		10/12	783.60
^		10/01	962.65
^		10/04	3,000.00
^		10/10	10,000.00

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

September 29, 2012 through October 31, 2012
Account Number: [redacted]

CHECKS PAID (continued)

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	10/30	476.12
	^	10/31	2,637.50
	* ^	10/31	3,000.00
	^	10/29	26.20
	^	10/31	25,000.00
	^	10/29	20,000.00
Total Checks Paid			**$67,755.09**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com.

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
10/01	Card Purchase 09/28 Dyn*Dyn.Com/Charge 603-6684096 NH Card [redacted]	520.00
10/02	Recurring Card Purchase 10/01 Icontact Corporation 650-6222200 NC Card [redacted]	653.70
10/04	Card Purchase 10/03 Smartystreets 877-2168883 UT Card [redacted]	23.89
10/04	Card Purchase 10/03 Smartystreets 877-2168883 UT Card [redacted]	3.98
10/22	Recurring Card Purchase 10/19 Icontact Corporation 650-6222200 NC Card [redacted]	239.17
10/23	Card Purchase 10/22 Envelopes Com 650-6222200 NY Card [redacted]	609.95
10/30	Card Purchase 10/28 Smartystreets 877-2168883 UT Card [redacted]	26.65
Total ATM & Debit Card Withdrawals		**$1,574.34**

ATM & DEBIT CARD SUMMARY

David Schweikert Card [redacted]

Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$889.87
Total Card Credits	$0.00

Joyce R Schweikert Card [redacted]

Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$684.47
Total Card Credits	$0.00

ATM & Debit Card Totals

Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$1,574.34
Total Card Credits	$0.00

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

September 29, 2012 through October 31, 2012
Account Number: [redacted]

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
10/03	Ogiv Debits Ogiv Debit Oke [redacted]	$191.69
10/03	Fdms-Settlement Discount [redacted]	113.81
10/03	Fdms-Settlement Fee [redacted]	26.63
10/03	Fdms-Settlement Interchng [redacted]	14.98
10/05	American Express Axp Discnt S [redacted]	80.30
10/10	Transfirst LLC Discount [redacted]	122.37
10/11	Gull Managem (2) Operations [redacted]	1.00
Total Electronic Withdrawals		**$550.98**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
10/01	$29,140.07	10/18	40,105.92
10/02	29,203.37	10/19	51,155.92
10/03	34,431.06	10/22	63,779.75
10/04	31,453.19	10/23	71,097.80
10/05	31,372.89	10/24	86,047.80
10/09	37,327.89	10/25	99,497.80
10/10	27,855.52	10/26	99,997.80
10/11	34,304.52	10/29	82,202.60
10/12	33,870.92	10/30	87,749.83
10/15	36,020.92	10/31	57,182.33
10/16	40,085.92		

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included: [redacted]

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$0.00	
Total Service Charges	**$0.00**	

Your monthly maintenance fee of $46.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	29
Deposits / Credits	51
Deposited Items	65
Total Transactions	**145**

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 145.

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

September 29, 2012 through October 31, 2012
Account Number: [redacted]

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/ UNIT	TOTAL
Your Product Includes					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$40.00	$0.00
Transactions	145	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$1,571	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0005	$0.00
Outgoing Wire - Domestic Manual	0	4	0	$0.00	$0.00
Outgoing Wire - Domestic Online	0	0	0	$0.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge					$0.00
ACCOUNT [redacted]					
Transactions	137				
Cash Deposited					
Branch Deposit - Immediate Verification	$20				
ACCOUNT [redacted]					
Transactions	8				
Cash Deposited					
Branch Deposit - Immediate Verification	$1,551				

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

September 29, 2012 through October 31, 2012
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) If you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared Be prepared to give us the following information

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS:Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement If any such error appears, you must notify the bank in writing no later than 30 days after this statement was made available to you For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account

JPMorgan Chase Bank, N.A. Member FDIC

Page 6 of 6

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

November 01, 2012 through November 30, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Español:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

You will see more information about your ATM deposits on your account statement.

Tracking your ATM deposits will be even easier beginning November 12, 2012. In the Deposits and Additions section of your statement, you will see the date you made your deposit (in addition to the date we posted it to your account), and the last four digits of the card number for each ATM deposit.

In the ATM & Debit Card Summary section, ATM and debit card transactions will be totaled by card number. This will help you track activity by cardholder. Please note that any ATM deposit transactions that post to your account before November 12, 2012, will not show this additional information and will not be included in the ATM & Debit Card Summary section at the end of your statement.

We value you as a Chase customer. If you have questions, please call us at the number on this statement or visit your nearest branch.

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		**$57,152.33**
Deposits and Additions	22	31,610.00
Checks Paid	3	- 3,570.00
ATM & Debit Card Withdrawals	4	- 7,381.69
Electronic Withdrawals	9	- 70,610.73
Ending Balance	**38**	**$7,209.91**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
11/01	American Express Settlement [redacted]	$25.00
11/01	Fdms-Settlement Deposit [redacted]	10.00
11/02	Deposit [redacted]	13,575.00
11/02	Fdms-Settlement Deposit [redacted]	25.00
11/05	Deposit [redacted]	5,100.00
11/05	Deposit [redacted]	2,000.00
11/05	Fdms-Settlement Deposit [redacted]	235.00
11/06	Transfirst LLC Bkcd Stmt [redacted]	200.00
11/06	Fdms-Settlement Deposit [redacted]	125.00

CHASE

November 01, 2012 through November 30, 2012
Account Number: [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
11/06	Fdms-Settlement Deposit [redacted]	100.00
11/06	Fdms-Settlement Deposit [redacted]	40.00
11/07	American Express Settleme [redacted]	1,000.00
11/08	Deposit [redacted]	675.00
11/08	Deposit [redacted]	220.00
11/09	Transfirst LLC Bkcd Stlmt [redacted]	35.60
11/13	Deposit [redacted]	3,000.00
11/13	American Express Settlement [redacted]	100.00
11/13	American Express Settlement [redacted]	25.00
11/14	Fdms-Settlement Deposit [redacted]	100.00
11/16	Deposit [redacted]	2,000.00
11/21	Deposit [redacted]	1,000.00
11/26	Deposit [redacted]	2,020.00
Total Deposits and Additions		**$31,610.00**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted] ^		11/09	550.00
[redacted] ^		11/13	2,000.00
[redacted] ^		11/09	1,020.00
Total Checks Paid			**$3,570.00**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com.

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
11/02	Recurring Card Purchase 11/01 Icontact Corporation 650-6222200 NC Card [redacted]	$1,176.66
11/06	Card Purchase 11/05 Envelopes.Com 650-6222200 NY Card [redacted]	853.93
11/13	Card Purchase 11/10 Usps Postal St66100207 800-3447779 MO Card [redacted]	4,501.75
11/30	Card Purchase 11/29 Amazon Com Amzn Com/Bill WA Card [redacted]	849.35
Total ATM & Debit Card Withdrawals		**$7,381.69**

ATM & DEBIT CARD SUMMARY

David Schweikert Card [redacted]

Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$1,176.66

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

November 01, 2012 through November 30, 2012
Account Number: [redacted]

Total Card Deposits & Credits	$0.00
Joyce R Schweikert Card [redacted]	
Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$8,205.03
Total Card Deposits & Credits	$0.00
ATM & Debit Card Totals	
Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$7,381.69
Total Card Deposits & Credits	$0.00

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
11/02	Ogiv Debits Ogiv Debit [redacted]	$322.60
11/05	Fdms-Settlement Discount [redacted]	258.05
11/05	American Express Axp Discnt [redacted]	102.53
11/05	Fdms-Settlement Fee [redacted]	27.85
11/05	Fdms-Settlement Interchng [redacted]	5.44
11/08	11/08 Online Wire Transfer Via: Bank of America, N.A. [redacted] A/C: Blue Point LLC Scottsdale AZ 85252 US Ref: 2012 Schweikert For Congress/Brf/2012 Schweikert For Congress Scn: [redacted]	48,500.00
11/13	11/13 Online Wire Transfer Via: Wells Fargo NA/121000248 A/C: Erica Crocker Arlington VA 22201 US Ref: Invoice 123/Acc/Org CR Ply Recd Ats/081400549 Wells Fargo Bank, National Associ At 8455 Ironbridge Rd/Brf/Invoice 123 Imad: [redacted]	6,310.25
11/13	Transfirst LLC Discount [redacted]	83.00
11/27	11/27 Online Transfer To Chk [redacted] Transaction#: [redacted]	15,000.00
Total Electronic Withdrawals		**$70,610.73**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
11/01	$57,197.33	11/13	17,039.26
11/02	69,298.07	11/14	18,039.26
11/05	78,238.19	11/16	20,039.26
11/06	75,849.26	11/21	21,039.26
11/07	76,849.26	11/26	23,059.26
11/08	29,244.26	11/27	8,059.26
11/09	27,709.26	11/30	7,209.91

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included: [redacted]

CHASE

November 01, 2012 through November 30, 2012
Account Number: [redacted]

SERVICE CHARGE SUMMARY *(continued)*

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$0.00	
Total Service Charges	**$0.00**	

Your monthly maintenance fee of $46.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	21
Deposits / Credits	27
Deposited Items	46
Total Transactions	**94**

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 94.

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$46.00	$0.00
Transactions	94	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$1,820	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	0	0	$0.00	$0.00
Outgoing Wire - Domestic Online	3	4	0	$25.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge					**$0.00**
ACCOUNT [redacted]					
Transactions	81				
Cash Deposited					
Branch Deposit - Immediate Verification	$115				
Outgoing Wire - Domestic Online	2				
ACCOUNT [redacted]					
Transactions	13				
Cash Deposited					
Branch Deposit - Immediate Verification	$1,505				
Outgoing Wire - Domestic Online	1				

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

November 01, 2012 through November 30, 2012
Account Number: [redacted]

STOP PAYMENT RENEWAL NOTICE

ACCOUNT NUMBER [redacted] BANK NUMBER [redacted]

The following Stop Payments will automatically renew for a 1-year period. You may revoke a Stop prior to the renewal date by simply returning a signed copy of this form with an 'X' placed next to each item you may wish to revoke. The revoking of stop payments will be effective on the renewal date listed on your statement. To immediately remove a current stop payment, please contact your Customer Service Representative. Please allow 10 - 15 days for mail and processing times. Please ensure that an authorized signature is placed in the space provided and mailed to the return address listed at the bottom of the page. Any stops that are revoked will expire on the renewal date.

REVOKE STOP	SEQUENCE NUMBER	DATE ENTERED	RENEWAL DATE	LOW RANGE OR CHECK NUMBER	HIGH RANGE OR AMOUNT
☐	0000001-03	02/28/12	02/28/13	229	230

AUTHORIZED SIGNATURE: _____ DATE: _____

2012 David Schweikert For Congress
[redacted]

JPMorgan Chase Bank, N.A.
Arizona Market
P O Box 659754
San Antonio TX 78265-9754

Page 5 of 8

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-193

29Jun18-199

CHASE

November 01, 2012 through November 30, 2012
Account Number: [redacted]

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29-Jun-18 25Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

November 01, 2012 through November 30, 2012
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance: Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS:Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

EQUAL HOUSING LENDER JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

November 01, 2012 through November 30, 2012
Account Number: [redacted]

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Page 8 of 8

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

December 01, 2012 through December 31, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center	1-800-242-7338
Deaf and Hard of Hearing	1-800-242-7383
Para Espanol	1-888-622-4273
International Calls	1-713-262-1679

[redacted]

2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

IMPORTANT UPDATES TO THE DEPOSIT ACCOUNT AGREEMENT

We will be making the following changes to the Deposit Account Agreement for Chase checking and savings accounts, effective March 24, 2013.

We have:

- Added the following language to the Important Definitions section: Debit card transaction: Includes any purchase from a merchant using your ATM card or debit card.
- Modified the agreement to clarify that we will only send one copy of any notice relating to your account, even if the account has more than one owner.
- Revised our Funds Availability Policy. Under Longer Delays May Apply, we describe circumstances where funds may not be available until the seventh business day after the day of deposit. We are deleting the sentence saying that the first $200 from your deposit will be available on the next business day, so if we delay availability in those cases the delay may apply to the full amount of the deposit.

All other terms of your account agreement remain the same. If you have questions about the changes, please call us at the number on this statement or visit your nearest branch.

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		**$7,209.91**
Deposits and Additions	20	19,702.99
Checks Paid	2	- 440.50
ATM & Debit Card Withdrawals	5	- 1,201.51
Electronic Withdrawals	8	- 6,504.04
Ending Balance	**35**	**$18,768.85**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
12/03	Deposit [redacted]	$1,000.00
12/06	Deposit [redacted]	2,000.00
12/10	American Express Settlement [redacted]	100.00
12/10	Fdms-Settlement Deposit [redacted]	30.00
12/13	Deposit [redacted]	1,000.00
12/13	Fdms-Settlement Deposit [redacted]	1,690.93

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

December 01, 2312 through December 31, 2012
Account Number: [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
12/14	Fdms-Settlement Deposit [redacted]	397.00
12/17	Deposit [redacted]	11,000.00
12/17	Fdms-Settlement Deposit [redacted]	455.00
12/17	American Express Settlement [redacted]	175.00
12/17	American Express Settlement [redacted]	125.00
12/18	Fdms-Settlement Deposit [redacted]	125.00
12/18	Fdms-Settlement Deposit [redacted]	25.00
12/19	Deposit [redacted]	300.00
12/21	Fdms-Settlement Deposit [redacted]	10.00
12/24	Deposit [redacted]	1,000.00
12/24	Fdms-Settlement Deposit [redacted]	145.00
12/24	American Express Settlement [redacted]	25.00
12/26	Fdms-Settlement Deposit [redacted]	50.00
12/28	David Schweikert David Schw Gms-048Yes [redacted]	50.00
Total Deposits and Additions		**$19,702.99**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted] ^		12/17	540.50
[redacted] ^		12/14	400.00
Total Checks Paid			**$440.50**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

^ An image of this check may be available for you to view on Chase.com.

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
12/03	Card Purchase 11/30 Amazon.Com Amzn.Com/Bill WA Card [redacted]	3148.98
12/04	Card Purchase With Pin 12/04 Usps 0375510637 Fountain Hill AZ Card [redacted]	315.00
12/04	Recurring Card Purchase 12/03 Icontact Corporation 877-9683996 NC Card [redacted]	544.75
12/13	Recurring Card Purchase 12/12 Icontact Corporation 877-9683996 NC Card [redacted]	70.29
12/17	Card Purchase 12/15 Paypal *Btgroup Inc 402-935-7733 FL Card [redacted]	122.49
Total ATM & Debit Card Withdrawals		**$1,201.51**

ATM & DEBIT CARD SUMMARY

David Schweikert Card [redacted]

Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$615.04

Page 2 of 6

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

December 01, 2012 through December 31, 2012
Account Number: [redacted]

Total Card Deposits & Credits	$0.00
Joyce R Schweikert Card [redacted]	
Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$586.47
Total Card Deposits & Credits	$0.00
ATM & Debit Card Totals	
Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$1,201.51
Total Card Deposits & Credits	$0.00

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
12/04	Qgiv Debits Qgiv Debit Qkd [redacted]	$51.83
12/04	Fdms-Settlement Interchng [redacted]	33.28
12/04	Fdms-Settlement Discount [redacted]	16.50
12/04	Fdms-Settlement Fee [redacted]	15.48
12/05	American Express Axp Discn [redacted]	36.01
12/10	TransFirst LLC Discount [redacted]	80.94
12/21	12/21 Online Wire Transfer Via: Bank of America, N.A./[redacted]/C: Blue Paint LLC Scottsdale AZ 85262 US Ref: 2012 Schweikert For Congress/Bnf/2012 Schweikert For Congress Sen [redacted]	6,240.00
12/26	Fdms-Settlement Deposit [redacted]	50.00
Total Electronic Withdrawals		**$6,504.04**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
12/03	58,060.93	12/17	23,326.85
12/04	7,084.09	12/18	23,476.85
12/05	7,048.08	12/19	23,776.85
12/06	9,048.08	12/21	17,545.85
12/10	9,117.14	12/24	18,716.85
12/13	11,737.84	12/26	18,716.85
12/14	11,734.84	12/28	18,766.85

SERVICE CHARGE SUMMARY

Chase Business Plus Extra Accounts Included [redacted]

Page 3 of 8

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

December 01, 2012 through December 31, 2012
Account Number: [redacted]

SERVICE CHARGE SUMMARY (continued)

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$0.00	
Total Service Charges	**$0.00**	

Your monthly maintenance fee of $46.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	19
Deposits / Credits	23
Deposited Items	12
Total Transactions	54

Chase BusinessPlus Extra allows up to 500 checks, deposits and deposited items per statement cycle. Your transaction total for this cycle was 54

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$46.00	$0.00
Transactions	54	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$793	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	0	0	$0.00	$0.00
Outgoing Wire - Domestic Online	1	4	0	$25.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge					**$0.00**
ACCOUNT [redacted]					
Transactions	46				
Outgoing Wire - Domestic Online	1				
ACCOUNT [redacted]					
Transactions	8				
Cash Deposited					
Branch Deposit - Immediate Verification	$790				

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

December 01, 2012 through December 31, 2012
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new account(s)) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

December 01, 2012 through December 31, 2012
Account Number: [redacted]

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

January 01, 2013 through January 31, 2013
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		**$18,766.85**
Deposits and Additions	18	3,397.00
Checks Paid	2	- 2,011.49
ATM & Debit Card Withdrawals	6	- 1,658.41
Electronic Withdrawals	8	- 15,285.79
Ending Balance	**34**	**$3,208.16**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
01/07	Fdms-Settlement. Deposit [redacted]	$255.00
01/07	American Express Settlement [redacted]	25.00
01/08	American Express Settlement [redacted]	100.00
01/08	Fdms-Settlement. Deposit [redacted]	45.00
01/11	American Express Settlement [redacted]	500.00
01/15	Fdms-Settlement. Deposit [redacted]	50.00
01/22	Fdms-Settlement. Deposit [redacted]	1,107.00
01/22	American Express Settlement [redacted]	125.00
01/23	Fdms-Settlement. Deposit [redacted]	365.00
01/23	American Express Settlement [redacted]	150.00
01/23	Fdms-Settlement. Deposit [redacted]	120.00
01/23	Fdms-Settlement. Deposit [redacted]	50.00
01/24	Fdms-Settlement. Deposit [redacted]	130.00
01/24	American Express Settlement [redacted]	50.00
01/28	American Express Settlement [redacted]	175.00
01/28	American Express Settlement [redacted]	100.00
01/30	American Express Settlement [redacted]	25.00
01/31	American Express Settlement [redacted]	25.00
Total Deposits and Additions		**$3,397.00**

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

January 01, 2013 through January 31 2013
Account Number [redacted]

CHECKS PAID

CHECK NO	DESCRIPTION	DATE PAID	AMOUNT
[redacted] ^		01/02	$1,780.00
* ^		01/17	231.49
Total Checks Paid			**$2,011.49**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements

^ An image of this check may be available for you to view on Chase.com

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
01/03	Recurring Card Purchase 01/02 Icontact Corporation 877-9683899 NC Card [redacted]	$653.70
01/10	Card Purchase With Pin 01/10 Samsclub #6241 Scottsdale AZ Card [redacted]	59.94
01/11	Card Purchase With Pin 01/11 Wal Sam's Club 526 Scottsdale AZ Card [redacted]	4.95
01/11	Card Purchase With Pin 01/11 #00989 Albertsons Scottsdale AZ Card [redacted]	7.11
01/14	Card Purchase 01/10 Dunkin #348404 O35 Scottsdale AZ Card [redacted]	917.70
01/28	Card Purchase 01/25 Facebook Com*Mjqn422T Www.Fb.ME/Cc CA Card [redacted]	15.00
Total ATM & Debit Card Withdrawals		**$1,658.41**

ATM & DEBIT CARD SUMMARY

David Schweikert Card [redacted]		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$688.70
	Total Card Deposits & Credits	$0.00
Joyce R Schweikert Card [redacted]		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$969.71
	Total Card Deposits & Credits	$0.00
ATM & Debit Card Totals		
	Total ATM Withdrawals & Debits	$0.00
	Total Card Purchases	$1,658.41
	Total Card Deposits & Credits	$0.00

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

January 01, 2013 through January 31, 2013
Account Number: [redacted]

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
01/02	01/01 Online Transfer To Chk [redacted] Transaction# [redacted]	$15,000.00
01/03	Fdms-Settlement Discount [redacted]	81.02
01/03	Fdms-Settlement Fee [redacted]	28.90
01/03	Fdms-Settlement Interchng [redacted]	2.36
01/04	Qgiv Debits Qgiv Debit QKC [redacted]	105.23
01/07	American Express Axp Discnt S [redacted]	13.33
01/10	Transfirst LLC Discount [redacted]	53.95
01/11	Gulf Managem (2) Operations [redacted]	1.09
Total Electronic Withdrawals		**$15,285.79**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
01/02	$1,986.85	01/15	1,032.65
01/03	1,220.87	01/17	801.16
01/04	1,115.64	01/22	2,033.16
01/07	1,382.31	01/23	2,718.16
01/08	1,527.31	01/24	2,898.16
01/10	1,413.42	01/28	3,158.16
01/11	1,800.35	01/30	3,183.16
01/14	982.65	01/31	3,208.16

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included: [redacted]

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$0.00	
Total Service Charges	**$0.00**	

Your monthly maintenance fee of $45.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	19
Deposits / Credits	23
Deposited Items	3
Total Transactions	**45**

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 45.

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

January 01, 2013 through January 31, 2013
Account Number: [redacted]

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$45.00	$0.00
Transactions	45	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$1,160	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0030	$0.00
Outgoing Wires - Domestic Manual	0	4	0	$0.00	$0.00
Outgoing Wire - Domestic Online	0	0	0	$0.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge					**$0.00**
ACCOUNT [redacted]					
Transactions	33				
ACCOUNT [redacted]					
Transactions	12				
Cash Deposited					
Branch Deposit - Immediate Verification	$1,160				

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

January 01 2013 through January 31 2013
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

Page 5 of 6

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

January 01, 2013 through January 31, 2013
Account Number: [redacted]

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29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

February 01, 2013 through February 28, 2013
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Español:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$3,203.16
Deposits and Additions	9	24,108.18
Checks Paid	1	- 19,708.10
Electronic Withdrawals	3	- 5,609.24
Ending Balance	13	$2,000.00

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION		AMOUNT
02/04	American Express Settlement	[redacted]	545.00
02/05	American Express Settlement		250.00
02/11	American Express Settlement		5,525.00
02/12	American Express Settlement		10.00
02/13	American Express Settlement		1,100.00
02/14	American Express Settlement		25.00
02/19	American Express Settlement		10,420.00
02/22	Online Transfer From Chk ...	Transaction#: [redacted]	244.64
02/28	Online Transfer From Chk ...	Transaction#: [redacted]	5,488.54
Total Deposits and Additions			**$24,108.18**

Page 1 of 4

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

February 01, 2013 through February 28, 2013
Account Number: [redacted]

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted] ^		02/26	$19,708.10
Total Checks Paid			**$19,708.10**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

^ An image of this check may be available for you to view on Chase.com

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
02/05	American Express Axp Discnt [redacted]	$39.95
02/11	Transfirst LLC Discount [redacted]	79.75
02/26	02/26 Online Transfer To Chk ... [redacted] Transaction# [redacted]	5,488.54
Total Electronic Withdrawals		**$5,608.24**

DAILY ENDING BALANCE

DATE	AMOUNT
02/04	$3,253.15
02/05	3,463.21
02/11	5,908.46
02/12	9,918.46
02/13	11,018.46
02/14	11,043.46
02/19	21,463.46
02/22	21,708.10
02/26	2,000.00

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included [redacted]

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$4.00	
Total Service Charges	**$4.00**	Will be assessed on 3/5/13

Your monthly maintenance fee of $46.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	7
Deposits / Credits	10
Deposited Items	0
Total Transactions	**17**

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

February 01, 2013 through February 28, 2013
Account Number [redacted]

SERVICE CHARGE SUMMARY *(continued)*

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 17

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$40.00	$0.00
Transactions	17	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$1,633	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	4	0	$0.00	$0.00
Outgoing Wire - Domestic Online	0	0	0	$0.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Subtotal					$0.00
Other Fees					
Stop Payments - Automatic Renewal	1	0	1	$4.00	$4.00
Total Service Charge (Will be assessed on 3/5/13)					$4.00
ACCOUNT [redacted]					
Transactions	10				
Stop Payments - Automatic Renewal	1				
ACCOUNT [redacted]					
Transactions	7				
Cash Deposited					
Branch Deposit - Immediate Verification	$1,633				

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

February 01, 2013 through February 28, 2013
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____
2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____
4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts: contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

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JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-199
GROUP ID G29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

March 01, 2013 through March 29, 2013
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

We have reduced our Legal Processing Fee.
On March 24, 2013, we reduced the Legal Processing Fee to a maximum of $75 per order. This fee is assessed for the processing of any garnishment, tax levy, or other court or administrative order against an account. This change will be reflected in your account agreement; all other terms remain the same. If you have questions, please call us at the telephone number listed on this statement or visit your nearest Chase branch.

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		**$2,000.00**
Deposits and Additions	3	70.00
Checks Paid	2	-2,000.00
Electronic Withdrawals	2	-66.00
Fees and Other Withdrawals	1	-4.00
Ending Balance	**8**	**$0.00**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
03/06	Online Transfer From Chk ... [redacted] Transaction# [redacted]	54.00
03/12	Service Fee Reversal	4.00
03/12	Deposit [redacted]	52.00
Total Deposits and Additions		**$70.00**

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

March 01, 2013 through March 29, 2013
Account Number: [redacted]

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted] ^		03/25	$1,000.00
[redacted] ^		03/19	1,000.00
Total Checks Paid			$2,000.00

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

^ An image of this check may be available for you to view on Chase.com.

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
03/13	03/12 Online Transfer To Chk [redacted] Transaction# [redacted]	$4.00
03/25	03/25 Online Transfer To Chk [redacted] Transaction# [redacted]	62.00
Total Electronic Withdrawals		$66.00

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
03/05	Service Charges For The Month of February	$4.00
Total Fees & Other Withdrawals		$4.00

DAILY ENDING BALANCE

DATE	AMOUNT
03/05	$1,995.00
03/08	2,000.00
03/12	2,066.00
03/13	2,062.00
03/19	1,062.00
03/25	62.00
03/26	0.00

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included: [redacted]

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$0.00	
Total Service Charges	**$0.00**	

Your monthly maintenance fee of $46.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

CHASE

March 01, 2013 through March 29, 2013
Account Number: [redacted]

SERVICE CHARGE SUMMARY *(continued)*

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	8
Deposits / Credits	8
Deposited Items	7
Total Transactions	23

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 23.

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$45.00	$0.00
Transactions	23	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$1,633	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	4	0	$0.00	$0.00
Outgoing Wire - Domestic Online	0	0	0	$0.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge					**$0.00**
ACCOUNT [redacted]					
Transactions	4				
ACCOUNT [redacted]					
Transactions	19				
Cash Deposited					
Branch Deposit - Immediate Verification	$1,633				

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

March 01, 2013 through March 29, 2013
Account Number [redacted]

IMPORTANT INFORMATION FOR CONSUMERS ABOUT YOUR PERSONAL CHASE CHECKING ACCOUNT

WHAT YOU NEED TO KNOW ABOUT OVERDRAFTS AND OVERDRAFT FEES

An overdraft occurs when you do not have enough money in your account to cover a transaction, but we pay it anyway. We can cover your overdrafts in two different ways:

1. We have standard overdraft practices that come with your account
2. We also offer overdraft protection plans, such as a link to a savings or credit card account, which may be less expensive than our standard overdraft practices. To learn more, ask us about these plans

This notice explains our standard overdraft practices.

What are the standard overdraft practices that come with my account?

We do authorize and pay overdrafts for the following types of transactions:

- Checks and other transactions made using your checking account number
- Recurring debit card transactions

We do not authorize and pay overdrafts for the following type of transaction unless you ask us to:

- Everyday debit card transactions

We pay overdrafts at our discretion, which means we do not guarantee that we will always authorize and pay any type of transaction.

If we do not authorize and pay an overdraft, your transaction will be declined.

What fees will I be charged if Chase pays my overdraft?

Under our standard overdraft practices:

- We will charge you a fee of $34 each time we pay an overdraft.
- Also, each time your account is overdrawn for 5 consecutive business days, we will charge you an additional $15.
- There is a 3 per day limit on the above $34 fee we can charge you for overdrawing your account.

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

March 01, 2013 through March 29, 2013
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $ _____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

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JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

March 01, 2013 through March 29, 2012
Account Number: [redacted]

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

March 30, 2013 through April 30, 2013
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$0.00
Ending Balance	0	$0.00

There has been no activity on your account during this statement period. You may not receive a statement through the mail in the future if there is no activity on your account. You can always view your account activity and statement by logging on to your account through chase.com. If you have questions, please call us at the number on this statement.

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts included: [redacted]

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$15.00	
Total Service Charges	**$15.00**	**Will be assessed on 5/3/13**

Your monthly maintenance fee of $45.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	10
Deposits / Credits	3
Deposited Items	0
Total Transactions	**13**

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 13.

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

March 02, 2013 through April 02, 2013
Account Number: [redacted]

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$45.00	$0.00
Transactions	13	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$1,633	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wire - Domestic Manual	0	0	0	$0.00	$0.00
Outgoing Wire - Domestic Online	1	4	0	$25.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Subtotal					**$0.00**
Other Fees					
Incoming Wires - Domestic	1	0	1	$15.00	$15.00
Total Service Charge (Will be assessed on 5/3/13)					**$15.00**
ACCOUNT [redacted]					
Transactions	13				
Cash Deposited					
Branch Deposit - Immediate Verification	$1,633				
Outgoing Wire - Domestic Online	1				
Incoming Wires - Domestic	1				

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

March 30, 2013 through April 30, 2013
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement. Step 1 Balance: $_____
2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____
4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

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- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

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JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

March 30, 2013 through April 30, 2013
Account Number: [redacted]

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

May 01, 2013 through May 31, 2013
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$0.00
Deposits and Additions	1	15.00
Fees and Other Withdrawals	1	-15.00
Ending Balance	2	$0.00

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
05/07	Service Fee Reversal	$15.00
Total Deposits and Additions		**$15.00**

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
05/03	Service Charges For The Month of April	$15.00
Total Fees & Other Withdrawals		**$15.00**

DAILY ENDING BALANCE

DATE	AMOUNT
05/03	-$15.00
05/07	0.00

SERVICE CHARGE SUMMARY

Chase BusinessPlus Extra Accounts Included: [redacted]

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

May 01, 2013 through May 31, 2013
Account Number [redacted]

SERVICE CHARGE SUMMARY *(continued)*

Maintenance Fee	$0.00	Waived by checking and relationship balances
Excess Product Fees	$0.00	
Other Service Charges	$0.00	
Total Service Charges	**$0.00**	

Your monthly maintenance fee of $46.00 has been waived because you maintained an average balance of $12,000 in checking and a combined average balance of $100,000 in linked business and personal checking, savings, credit, and investment balances.

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	10
Deposits / Credits	2
Deposited Items	1
Total Transactions	13

Chase BusinessPlus Extra allows up to 500 checks, deposits, and deposited items per statement cycle. Your transaction total for this cycle was 13

SERVICE CHARGE DETAIL

DESCRIPTION	VOLUME	ALLOWED	CHARGED	PRICE/UNIT	TOTAL
Your Product Includes:					
ACCOUNT [redacted]					
Monthly Service Fee Waived	0			$46.00	$0.00
Transactions	13	500	0	$0.00	$0.00
Cash Deposited					
Branch Deposit - Immediate Verification	$790	$20,000	$0	$0.0015	$0.00
Branch Deposit - Post Verification	$0	$0	$0	$0.0000	$0.00
Outgoing Wires - Domestic Manual	0	4	0	$0.00	$0.00
Outgoing Wire - Domestic Online	0	0	0	$0.00	$0.00
Stop Payments - Manual	0	4	0	$0.00	$0.00
ODP Transfers	0	4	0	$0.00	$0.00
Total Service Charge					**$0.00**

ACCOUNT [redacted]	
Transactions	13
Cash Deposited	
Branch Deposit - Immediate Verification	$790

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

May 01, 2013 through May 31, 2013
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

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- The dollar amount of the suspected error.
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

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JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

May 01, 2013 through May 31, 2013
Account Number: [redacted]

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29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

29Jun18-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

June 01, 2013 through June 29, 2013
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Español:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$0.00
Ending Balance	0	$0.00

There has been no activity on your account during this statement period. You may not receive a statement through the mail in the future if there is no activity on your account. You can always view your account activity and statement by logging on to your account through chase.com. If you have questions, please call us at the number on the statement.

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-199 29Jun18-199

CHASE

June 01, 2013 through June 28, 2013
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____
2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____
4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement:

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

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JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 2

29-Jun-13 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun13-199 29Jun13-199

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

June 29, 2013 through July 31, 2013
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
2012 DAVID SCHWEIKERT FOR CONGRESS
[redacted]

We are limiting our Returned Item fees.

Good News! We will charge only one Returned Item fee for any payment request we return unpaid more than once per month, even if the biller submits the same request multiple times. This is just one of the ways that we are working to make banking easier and less expensive for our customers.

We are here to help. If you have any questions, please call us at the number on this statement or visit your nearest branch.

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$0.00
Ending Balance	0	$0.00

There has been no activity on your account during this statement period. You may not receive a statement through the mail in the future if there is no activity on your account. You can always view your account activity and statement by logging on to your account through chase.com. If you have questions, please call us at the number on this statement.

29-Jun-18 29Jun18-199

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-199

CHASE

June 29, 2013 through July 31, 2013
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number.
- The dollar amount of the suspected error.
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

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JPMorgan Chase Bank, N.A. Member FDIC

Checking Account Summary

Customer: 2012 DAVID SCHWEIKERT FOR CONGRESS
Account: AZ Checking - [redacted]

Related Customers

Name	Relationship	SSN/EIN	Date of Birth
2012 DAVID SCHWEIKERT FOR CONGRESS	Sole Owner	[redacted]	
JOYCE R. SCHWEIKERT	Signer	[redacted]	07/18/1962
DAVID SCHWEIKERT	Signer	[redacted]	03/03/1962

Comments

No Comments Available.

No Alert, General, or Summary Comments returned.

Financial Information

Product Description BUSINESSPLUS EXTRA	**Account Status** Purge Pending	**Restricted By** Other
Current Balance $0.00	**Memo Credits** $0.00	**Total Amount of holds** $0.00
Present Balance $0.00	**Memo Debits** $0.00	**Number of holds** 0
Available Less Overdraft $0.00	**Previous Day Total Credit ($)** $0.00	**Funds Available 07/25** $0.00
Available Balance $0.00	**Previous Day Total Debit ($)** $0.00	**Funds Available 07/25** $0.00
Collected Balance $0.00	**Interest Paid YTD** $0.00	**Funds Available 07/25** $0.00
Average Balance YTD $12,872.00	**Accrued Interest PTD** $0.00	**Funds Available 07/25** $0.00
Average Combined Balance for Interest Rate $0.00	**Interest Rate** 0.00000%	**Funds Available After 07/25** $0.00
Average Combined Balance for Service Charge $117,202.00	**Interest Rate Difference** 0.00000%	**Float Schedule** 601
Last Activity Date 06/18/2013	**Interest Plan** 00	**Last Deposit Amount** $15.00
Last Deposit Date 05/07/2013	**Weekend / Holiday Interest** $0.00	**Last Monetary Transaction Date** 05/07/2013
Aggregated Funds N	**ZBA Info** Not a ZBA	**Last Non-Monetary Transaction Date** 06/18/2013

Tier Interest Rate $0.00	0.00000%	$0.00
Average Combined Balance for Service Charge $117,202.00	**Interest Rate Difference** 0.00000%	**Float Schedule** 601
Last Activity Date 06/18/2013	**Interest Plan** 00	**Last Deposit Amount** $15.00
Last Deposit Date 05/07/2013	**Weekend / Holiday Interest** $0.00	**Last Monetary Transaction Date** 05/07/2013
Aggregated Funds N	**ZBA Info** Not a ZBA	**Last Non-Monetary Transaction Date** 06/18/2013
Interest Aggregation N	**Pending Close** Data Not Available	**Controlled Disbursement Flag** N
Market Name AZ - SW Mesa	**Pricing Region** I - Phoenix	
Billing Indicator DDB (Month-end plus)	**Current Close** Final	**GLE Indicator** N - Not on GLE/Product not eligible for GLE

Account Protection

Debit Card Coverage Yes	**Debit Card Coverage History** Show History	**ODP Type** No ODP
ODP Account # Data Not Available	**ODP Available Balance** $0.00	**Last OD Date** 05/03/2013
OD/NSF Information Past Occurrences	**OD Pay Code** Overdraft Matrix (Limit calc nightly) Pay up to $10 Minimum	**Overdraft Officer** N233597
Total # Occurrences MTD 0	**Total # OD/NSF Occurrences Past 12 Months** 0 (days debits presented against NSF funds)	

Account Relationship Information

Display Information

Account Relationship Details

Expected Use Of Account Information

Display Information

Expected Use Of Account Details

Miscellaneous Fee Information

Display Information

○ Yes ◉ No

Promotion Information

Display Information

○ Yes ◉ No

Interest Promo Code and Plan History Information

Display Information

○ Yes ◉ No

Sweep Information

Display Information

○ Yes ◉ No

Statement Information

Display Information

Statement Information Details

Miscellaneous

Open Date 12/18/2010	**Close Date** 06/17/2013	**Restrictions** N
Stops 0	**Stop Payment Notices** N	**Custom Calendar (Y/N)** Data Not Available
Enclose Credits N	**Enclose Credits Pending** Data Not Available	**Business Unique Location ID Required** N
Tax Withholding Code 31 - TIN ON FILE - CERTIFIED	**Signature Review Amount** 0	**Return Mail** N
Sub-Account Type Proprietorship	**Last ACH Credit Date** 02/19/2013	**Last Product Change Date** 01/24/2011
Treasury Management Officer Data Not Available	**Check Cashing** Allowed	**Bankruptcy Management Services** N
Maximum Check Cashing Amount $2,500.00	**Alerts Service Subscriber** Y	**Systematic Product Change Message** Data Not Available
Earnings Credit Type None	**Earnings Credit Balance** $0.00	**Positive Pay** N
Regulatory Account Type 000 - NO REGULATORY ACCOUNT TYPE	**Attorney Trust Account** No	**Cash Limit Exception?** N/A
Electronic Signature No		

EXHIBIT 18

David S Schweikert
[redacted]

Account Number: ******[redacted]
Statement Date: 11/06/11
Page Number: 1
Items: 1

METRO MONEY MARKET $:**********[redacted]

Previous Balance on 10/24/11	$	.00
2 Deposits and Other Additions (Credits)	+	200,076.71
Current Balance on 11/06/11	$	200,076.71

Checking Account Transactions

10/24/11	Regular Deposit	200,000.00 +
11/06/11	Intr Deposit	76.71 +
10/24/11	Rate Change	1.00

Interest Paid Year to Date is	76.71
Low Balance for Period was	200,000.00
Average Ledger Balance for Period was	200,000.00
Low Collected Balance for Period was	200,000.00
Current Interest Rate is	1.00%
Statement Period =	14 days
Interest Earned for Period =	76.71
Annual Percentage Yield Earned=	1.01%

METRO PHOENIX BANK

CHECKING DEPOSIT

☑ CASH ▶
INCLUDING COIN

CHECKS

SIGN HERE FOR RECEIPT OF CASH

DATE 10·24·11

NAME David Schweikert

DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL

SUBTOTAL ▶

LESS CASH RECEIVED ▶

ACCOUNT NUMBER

[redacted]

NET DEPOSIT $ 200,000.00

[redacted]

COE.SCHWEIKERT.005714

MPB_1869

18-2234_0912

2012 DAVID SCHWEIKERT FOR CONGRESS [redacted]

91-2/1221 13

198

DATE 10/21/11

PAY TO THE ORDER OF 2012 David Schweikert for Congres $200,000 00

Two hundred thousand Dollars 00/100 DOLLARS

CHASE

JPMorgan Chase Bank, N.A.
www.Chase.com

MEMO open acct

Joyce Schweikert

[redacted]

COE.SCHWEIKERT.005715

MPB_1870

18-2234_0913

David S Schweikert
[redacted]

Account Number: *****[redacted]
Statement Date: 12/05/11
Page Number: 1
Items: 1

METRO MONEY MARKET #:**********[redacted]

Previous Balance on 11/06/11		$	200,076.71
1 Checks and Other Charges	(Debits)	-	200,076.71
Current Balance on 12/05/11		$	.00

Checking Account Transactions

11/07/11 Withdraw & Close	200,076.71 -

Interest Paid Year to Date is	76.71
Low Balance for Period was	.00
Average Ledger Balance for Period was	.00
Low Collected Balance for Period was	.00
Current Interest Rate is	1.00%

********** FINAL STATEMENT **********

METRO PHOENIX BANK **UNIVERSAL DEBIT**

Prepared By: [illegible] Approved By: [illegible]

DATE: 11-7-11

Checking	CD	
137 - Close Checking 119 - Misc. Checking Debit 112 - Money Market Debit 168 - Telephone 181 - Outgoing Wire	348 - Close CD 319 - Balance Reduction 324 - Forfeiture (Interest Penalty)	close Acct - move to dda(BUS)
Savings	IRA	
227 - Close Savings 219 - Misc. Savings Debit 268 - Telephone/Wire	IRA Debit - See Manual	

Schweikert

CUSTOMER NAME

CUSTOMER SIGNATURE

ACCOUNT NUMBER TRAN CODE AMOUNT

[redacted] [redacted] $ 200,076.71

DO NOT WRITE BELOW THIS LINE

[redacted]

COE.SCHWEIKERT.005717

MPB_1872

18-2234_0915

METRO PHOENIX BANK

UNIVERSAL CREDIT

Prepared By: [illegible]

Approved By: [illegible]

Checking 111 - Regular Deposit 180 - Incoming Wire	CD 310 - Add CD 311 - New CD	Transfer
Savings 211 - Savings Deposit	IRA 612 - Contribution to IRA (Deposit) 634 - IRA Credit	

DATE: 11·7·11

Schweikert for Congress

CUSTOMER NAME

CUSTOMER SIGNATURE

ZSDN8432 188000

ACCOUNT NUMBER	TRAN CODE	AMOUNT
[redacted]	[redacted]	$ 200,076.71

DO NOT WRITE BELOW THIS LINE

[redacted]

Schweikert For Congress
[redacted]

Account Number: *****[redacted]
Statement Date: 10/31/11
Page Number: 1
Items: 0

BUSINESS MONEY MARKET #:**********[redacted]

Previous Balance on 10/31/11		$ .00
Current Balance on 10/31/11		$ .00
Low Balance for Period was	.00	
Average Ledger Balance for Period was	.00	
Low Collected Balance for Period was	.00	
Current Interest Rate is	.40%	

Schweikert For Congress
[redacted]

Account Number: *****[redacted]
Statement Date: 11/30/11
Page Number: 1
Items: 1

BUSINESS MONEY MARKET $:**********[redacted]

Previous Balance on 10/31/11	$	.00
2 Deposits and Other Additions (Credits)	+	200,201.69
1 Checks and Other Charges (Debits)	-	15.00
Current Balance on 11/30/11	$	200,186.69

Checking Account Transactions

11/07/11 Regular Deposit	200,076.71 +
11/30/11 Intr Deposit	124.98 +
11/07/11 Rate Change	.95
11/30/11 Service Charge	15.00 -

DAILY BALANCE SUMMARY

-Balance Date-	-Balance Date-	-Balance Date-	-Balance Date-
.00 10/31	200,076.71 11/07	200,186.69 11/30	

Interest Paid Year to Date is	124.98
Low Balance for Period was	.00
Average Ledger Balance for Period was	160,061.37
Low Collected Balance for Period was	.00
Current Interest Rate is	.95%

METRO PHOENIX BANK

UNIVERSAL CREDIT

Prepared By: BS

Approved By: WL

Checking 111 - Regular Deposit 180 - Incoming Wire	CD 310 - Add CD 311 - New CD	Transfer
Savings 211 - Savings Deposit	IRA 812 - Contribution to IRA (Deposit) 834 - IRA Credit	

DATE: 11·7·11

CUSTOMER NAME: Schweikert for Congress

CUSTOMER SIGNATURE

ACCOUNT NUMBER: [redacted]

TRAN CODE: [redacted]

AMOUNT: $ 200,076.71

DO NOT WRITE BELOW THIS LINE

[redacted]

Z3096432 188000

COE.SCHWEIKERT.005721

MPB_1876

18-2234_0919

METRO PHOENIX BANK **UNIVERSAL DEBIT**

Prepared By: [illegible] Approved By: [illegible]

Checking 137 - Close Checking 119 - Misc. Checking Debit 112 - Money Market Debit 166 - Telephone 181 - Outgoing Wire	CD 348 - Close CD 319 - Balance Reduction 324 - Forfeiture (Interest Penalty)	close Acct - move to dda (BUS)
Savings 227 - Close Savings 219 - Misc. Savings Debit 268 - Telephone/Wire	IRA IRA Debit - See Manual	

DATE: 11.7.11

Schweikert

CUSTOMER NAME

CUSTOMER SIGNATURE

ACCOUNT NUMBER: [redacted]

TRAN CODE: [redacted]

AMOUNT: $ 200,076.71

DO NOT WRITE BELOW THIS LINE

[redacted]

Z02M0123 188001

Schweikert For Congress
[redacted]

Account Number: *****[redacted]
Statement Date: 12/31/11
Page Number: 1
Items: 0

BUSINESS MONEY MARKET #:**********[redacted]

Previous Balance on 11/30/11	$	200,186.69
1 Deposits and Other Additions (Credits)	+	156.31
Current Balance on 12/31/11	$	200,343.00

Checking Account Transactions

12/31/11 Intr Deposit	156.31 +
12/13/11 Rate Change	.90

DAILY BALANCE SUMMARY

-Balance Date-	-Balance Date-	-Balance Date-	-Balance Date-
200,186.69 11/30	200,186.69 12/13	200,343.00 12/31	

Interest Paid Year to Date is	281.29
Low Balance for Period was	200,186.69
Average Ledger Balance for Period was	200,186.69
Low Collected Balance for Period was	200,186.69
Current Interest Rate is	.90%

Schweikert For Congress
[redacted]

Account Number: *****[redacted]
Statement Date: 1/31/12
Page Number: 1
Items: 0

BUSINESS MONEY MARKET #:**********[redacted]

Previous Balance on 12/31/11	$	200,343.00
1 Deposits and Other Additions (Credits)	+	140.79
Current Balance on 1/31/12	$	200,483.79

Checking Account Transactions

1/31/12 Intr Deposit	140.79 +
1/23/12 Rate Change	.65

DAILY BALANCE SUMMARY

-Balance Date-	-Balance Date-	-Balance Date-	-Balance Date-
200,343.00 12/31	200,343.00 1/23	200,483.79 1/31	

Interest Paid Year to Date is	140.79
Low Balance for Period was	200,343.00
Average Ledger Balance for Period was	200,343.00
Low Collected Balance for Period was	200,343.00
Current Interest Rate is	.65%

Schweikert For Congress
[redacted]

Account Number: *****[redacted]
Statement Date: 2/29/12
Page Number: 1
Items: 0

BUSINESS MONEY MARKET #:**********[redacted]

Previous Balance on 1/31/12	$	200,483.79
1 Deposits and Other Additions (Credits)	+	103.54
Current Balance on 2/29/12	$	200,587.33

Checking Account Transactions

2/29/12 Intr Deposit 103.54 +

Interest Paid Year to Date is	244.33
Low Balance for Period was	200,483.79
Average Ledger Balance for Period was	200,483.79
Low Collected Balance for Period was	200,483.79
Current Interest Rate is	.65%

Schweikert For Congress
[redacted]

Account Number: ****[redacted]
Statement Date: 3/31/12
Page Number: 1
Items: 0

BUSINESS MONEY MARKET #:**********[redacted]

Previous Balance on 2/29/12	$	200,587.33
1 Deposits and Other Additions (Credits)	+	110.74
Current Balance on 3/31/12	$	200,698.07

Checking Account Transactions

3/31/12 Intr Deposit 110.74 +

Interest Paid Year to Date is	355.07
Low Balance for Period was	200,587.33
Average Ledger Balance for Period was	200,587.33
Low Collected Balance for Period was	200,587.33
Current Interest Rate is	.65%

Schweikert For Congress
[redacted]

Account Number: *****[redacted]
Statement Date: 4/30/12
Page Number: 1
Items: 0

BUSINESS MONEY MARKET #:**********[redacted]

Previous Balance on 3/31/12	$	200,698.07
1 Deposits and Other Additions (Credits)	+	107.22
Current Balance on 4/30/12	$	200,805.29

Checking Account Transactions

4/30/12 Intr Deposit 107.22 +

Interest Paid Year to Date is	462.28
Low Balance for Period was	200,698.07
Average Ledger Balance for Period was	200,698.07
Low Collected Balance for Period was	200,698.07
Current Interest Rate is	.65%

Schweikert For Congress
[redacted]

Account Number: ****[redacted]
Statement Date: 5/31/12
Page Number: 1
Items: 0

BUSINESS MONEY MARKET #:**********[redacted]

Previous Balance on 4/30/12	$	200,805.29
1 Deposits and Other Additions (Credits)	+	110.86
Current Balance on 5/31/12	$	200,916.15

Checking Account Transactions

5/31/12 Intr Deposit 110.86 +

Interest Paid Year to Date is	573.15
Low Balance for Period was	200,805.29
Average Ledger Balance for Period was	200,805.29
Low Collected Balance for Period was	200,805.29
Current Interest Rate is	.65%

Schweikert For Congress
[redacted]

Account Number: *****[redacted]
Statement Date: 6/30/12
Page Number: 1
Items: 0

BUSINESS MONEY MARKET #:**********[redacted]

Previous Balance on 5/31/12	$	200,916.15
1 Deposits and Other Additions (Credits)	+	107.34
Current Balance on 6/30/12	$	201,023.49

Checking Account Transactions

6/30/12 Intr Deposit 107.34 +

Interest Paid Year to Date is	680.49
Low Balance for Period was	200,916.15
Average Ledger Balance for Period was	200,916.15
Low Collected Balance for Period was	200,916.15
Current Interest Rate is	.65%

Schweikert For Congress
[redacted]

Account Number: *****[redacted]
Statement Date: 7/31/12
Page Number: 1
Items: 0

BUSINESS MONEY MARKET #:**********[redacted]

Previous Balance on 6/30/12	$	201,023.49
1 Deposits and Other Additions (Credits)	+	110.98
Current Balance on 7/31/12	$	201,134.47

Checking Account Transactions

7/31/12 Intr Deposit	110.98 +

Interest Paid Year to Date is	791.47
Low Balance for Period was	201,023.49
Average Ledger Balance for Period was	201,023.49
Low Collected Balance for Period was	201,023.49
Current Interest Rate is	.65%

Schweikert For Congress
[redacted]

Account Number: *****[redacted]
Statement Date: 8/31/12
Page Number: 1
Items: 2

BUSINESS MONEY MARKET #:**********[redacted]

Previous Balance on 7/31/12		$	201,134.47
2 Checks and Other Charges	(Debits)	-	201,134.47
Current Balance on 8/31/12		$	.00

Checking Account Transactions

8/02/12 Debit Memo	100,000.00 -
8/09/12 Debit Memo	101,134.47 -

DAILY BALANCE SUMMARY

Balance	Date	Balance	Date	Balance	Date	Balance	Date
201,134.47	7/31	101,134.47	8/02	.00	8/09		

Interest Paid Year to Date is	791.47
Low Balance for Period was	.00
Average Ledger Balance for Period was	29,325.02
Low Collected Balance for Period was	.00
Current Interest Rate is	.65%

Remitter: Schweikert for Congress
Memo:

CASHIER'S CHECK

No. 005690
Date: 8/02/2012

One Hundred Thousand Dollars and Zero Cents********** $100,000.00

Pay to the Order of

Operator: 057
Time: 17:25

Source of Funds:

Joyce Schweikert

Check Fee: $0.00
Account Information:
[redacted]
Schweikert For Congress

[redacted]

METRO PHOENIX BANK

UNIVERSAL DEBIT

Prepared By: WR

Approved By: HC

Checking	CD	
137 - Close Checking 119 - Misc. Checking Debit 112 - Money Market Debit 156 - Telephone 181 - Outgoing Wire	348 - Close CD 318 - Balance Reduction 324 - Forfeiture (Interest Penalty)	Cashier's check
Savings	**IRA**	
227 - Close Savings 219 - Misc. Savings Debit 286 - Telephone/Wire	IRA Debit - See Manual	

DATE: 8/8/12

Schweikert for Congress

CUSTOMER NAME

Joyce Schweikert

CUSTOMER SIGNATURE

ACCOUNT NUMBER: [redacted]

TRAN CODE: [redacted]

AMOUNT: $ 10000.00

DO NOT WRITE BELOW THIS LINE

[redacted]

COE.SCHWEIKERT.005733

MPB_1888

18-2234_0931

Remitter: Schweikert for Congress
Memo:

CASHIER'S CHECK

No. 005700
Date: 8/08/2012

One Hundred One Thousand One Hundred Thirty Four Dollars and Forty Seven Cents******* $101,134.47

Pay to the Order of

Schweikert for Congress

Operator: 069 Time: 14:56

Source of Funds:

Check Fee: $0.00
Account Information:
[redacted]
Schweikert For Congress

[redacted]

METRO PHOENIX BANK

UNIVERSAL DEBIT

Prepared By: DP

Approved By: JM

DATE: 8/8/12

Checking
137 - Close Checking
119 - Misc. Checking Debit
112 - Money Market Debit
166 - Telephone
181 - Outgoing Wire

Savings
227 - Close Savings
219 - Misc. Savings Debit
266 - Telephone/Wire

ACCOUNT NUMBER

CD
348 - Close CD
319 - Balance Reduction
324 - Forfeiture (Interest Penalty)

IRA
IRA Debit - See Manual

TRAN CODE

Cashiers Check

CUSTOMER NAME

Schweikert for Congress

CUSTOMER SIGNATURE

AMOUNT

[redacted] [redacted] $ 101134.47

DO NOT WRITE BELOW THIS LINE

[redacted]

COE.SCHWEIKERT.005735

MPB_1890

18-2234_0933

Schweikert For Congress
[redacted]

Account Number: *****[redacted]
Statement Date: 9/30/12
Page Number: 1
Items: 0

BUSINESS MONEY MARKET #:**********[redacted]

Previous Balance on	8/31/12	$ .00
Current Balance on	9/30/12	$ .00

Interest Paid Year to Date is	791.47
Low Balance for Period was	.00
Average Ledger Balance for Period was	.00
Low Collected Balance for Period was	.00
Current Interest Rate is	.65%

Schweikert For Congress
[redacted]

Account Number: ****[redacted]
Statement Date: 10/31/12
Page Number: 1
Items: 0

We have raised our Money Market Rates! Give us a call at
602.346.1800 for more information!

BUSINESS MONEY MARKET #:**********[redacted]

Previous Balance on 9/30/12	$	.00
Current Balance on 10/31/12	$	.00

Interest Paid Year to Date is	791.47
Low Balance for Period was	.00
Average Ledger Balance for Period was	.00
Low Collected Balance for Period was	.00
Current Interest Rate is	.65%

Schweikert For Congress
[redacted]

Account Number: ******[redacted]
Statement Date: 11/30/12
Page Number: 1
Items: 0

NOTICE: By federal law, as of 1/1/2013, funds in a noninterest-bearing transaction account (including an IOLTA/TOLA) will no longer receive unlimited deposit insurance coverage, but will be FDIC-insured to the legal maximum of $250,000 for each ownership category. For more information, visit http://www.fdic.gov/deposit/deposits/unlimited/expiration.html

BUSINESS MONEY MARKET #:**********[redacted]

Previous Balance on	10/31/12	$ .00
Current Balance on	11/30/12	$ .00

Interest Paid Year to Date is	791.47
Low Balance for Period was	.00
Average Ledger Balance for Period was	.00
Low Collected Balance for Period was	.00
Current Interest Rate is	.65%

Schweikert For Congress
[redacted]

Account Number: ****[redacted]
Statement Date: 12/31/12
Page Number: 1
Items: 0

BUSINESS MONEY MARKET #:**********[redacted]

Previous Balance on	11/30/12	$ .00
Current Balance on	12/31/12	$ .00

Interest Paid Year to Date is	791.47
Low Balance for Period was	.00
Average Ledger Balance for Period was	.00
Low Collected Balance for Period was	.00
Current Interest Rate is	.65%

Schweikert For Congress
[redacted]

Account Number: *****[redacted]
Statement Date: 1/31/13
Page Number: 1
Items: 0

BUSINESS MONEY MARKET $:**********[redacted]

Previous Balance on 12/31/12		$ .00
Current Balance on 1/31/13		$ .00
Low Balance for Period was	.00	
Average Ledger Balance for Period was	.00	
Low Collected Balance for Period was	.00	
Current Interest Rate is	.65%	

Schweikert For Congress
[redacted]

Account Number: *****[redacted]
Statement Date: 2/28/13
Page Number: 1
Items: 0

BUSINESS MONEY MARKET #:**********[redacted]

Previous Balance on 1/31/13		$ .00
Current Balance on 2/28/13		$ .00
Low Balance for Period was	.00	
Average Ledger Balance for Period was	.00	
Low Collected Balance for Period was	.00	
Current Interest Rate is	.65%	

Schweikert For Congress
[redacted]

Account Number: *****[redacted]
Statement Date: 3/31/13
Page Number: 1
Items: 0

BUSINESS MONEY MARKET #:**********[redacted]

Previous Balance on 2/28/13		$ .00
Current Balance on 3/31/13		$ .00
Low Balance for Period was	.00	
Average Ledger Balance for Period was	.00	
Low Collected Balance for Period was	.00	
Current Interest Rate is	.65%	

Schweikert For Congress
[redacted]

Account Number: ****[redacted]
Statement Date: 4/30/13
Page Number: 1
Items: 0

BUSINESS MONEY MARKET #:**********[redacted]

Previous Balance on 3/31/13		$ .00
Current Balance on 4/30/13		$ .00
Low Balance for Period was	.00	
Average Ledger Balance for Period was	.00	
Low Collected Balance for Period was	.00	
Current Interest Rate is	.65%	

Schweikert For Congress
[redacted]

Account Number: *****[redacted]
Statement Date: 5/31/13
Page Number: 1
Items: 0

BUSINESS MONEY MARKET #:**********[redacted]

Previous Balance on 4/30/13		$ .00
Current Balance on 5/31/13		$ .00
Low Balance for Period was	.00	
Average Ledger Balance for Period was	.00	
Low Collected Balance for Period was	.00	
Current Interest Rate is	.65%	

Schweikert For Congress
[redacted]

Account Number: ****[redacted]
Statement Date: 6/30/13
Page Number: 1
Items: 0

BUSINESS MONEY MARKET #:**********[redacted]

Previous Balance on 5/31/13		$ .00
Current Balance on 6/30/13		$ .00
Low Balance for Period was	.00	
Average Ledger Balance for Period was	.00	
Low Collected Balance for Period was	.00	
Current Interest Rate is	.65%	

EXHIBIT 19

29-Jun-18 29Jun18-203

Washington Mutual, a division of JPMorgan Chase Bank, N.A. BUSINESS

(the "Bank") 1 OF 1 BANK COPY MASTER ACCOUNT AGREEMENT

COMP NO	OWN CODE	PRODUCT	TC NUMBER	ACCOUNT NUMBER
02	02	DDA	[redacted]	[redacted]

1. ACCOUNT TITLE SHERIDAN EQUITIES HOLDINGS, LLC
2. ACCOUNT TITLE
3. ACCOUNT TITLE
4. ACCOUNT TITLE

ADDRESS, CITY, STATE, ZIP CODE - GIVE PROVINCE AND COUNTRY - IF NOT IN U.S.A.: [redacted] [redacted]

CERTIFICATION	VERIFICATION STATUS
	PR USER U237062

TAX ID	CONTACT PERSON	BUSINESS PHONE
[redacted]	DAVID S SCHWEIKERT	(480) 659-9383

DATE OPENED	BY	TAX LINK	DATE CHANGED
04/06/2009	ASJ	1	

The account and deposits opened under this Agreement shall be of the following type: 02 / 12

AN ACCOUNT IN THE LIMITED LIABILITY COMPANY'S NAME.

TAX CLASSIFICATION: CORPORATION LLC

This type of account is described in detail in the Account Disclosures and Regulations

1. I/we (the Depositor) agree that the Business Account Disclosures and Regulations, including any amendments Bank may make from time to time and any related disclosures (the Account Disclosures and Regulations) shall govern all accounts, products, or services provided to Depositor by Bank. This Agreement and the Account Disclosures and Regulations shall govern all accounts, products, services selected by Depositor now or in the future, regardless of whether the selection is made in person, in writing, orally, electronically or by use of the account, product, or service.

2. Withdrawals or transfer transactions may be paid by Bank on the authorization of any one account owner or signer even if you have given any contrary instructions. Depositor agrees that any one account owner or signer, if more than one, may authorize Bank to open or provide other accounts, products, or services from time to time in the same ownership capacity. Further, Depositor agrees that any one account owner or signer, if more than one, is authorized to endorse, cash, or deposit any check or draft payable to the any of them.

3. Most disputes arising under this Agreement related to accounts or services hereunder are subject to mandatory binding arbitration. Rights to trial by a judge or jury are waived hereby. Bank must be notified by Depositor of claims and proceedings to enforce any such claims must be brought, within the time requirements established in the Business Account Disclosures and Regulations.

TAX CERTIFICATION - UNDER PENALTIES OF PERJURY, I CERTIFY THAT:
1. The taxpayer identification number shown on this form is my correct taxpayer identification number, and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined in the instructions for IRS Form W-9).

Certification instructions: You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.
The certification above does not apply if you are not a U.S. person. If you are not a U.S. person, a Form W-8BEN must be completed as an attachment to this application.

By signing the below, I/we agree to be bound by the terms and conditions of this Master Account Agreement (the "Agreement") as set forth herein, and, if applicable, make the tax certification set forth above. In addition, I/we acknowledge receipt of a complete set of the Account Disclosure and Regulations. The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

02 0940-0000210213-3

DAVID S SCHWEIKERT

[redacted] Date: 4/6/09 X Date:

Please sign and date Please sign and date

MANAGER

JOYCE R SCHWEIKERT

X [redacted] Date: 4-6-09 X Date:

Please sign and date Please sign and date

SIGNATOR

COE.SCHWEIKERT.005747 CBA_1274

18-2234_0945

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-205
GROUP ID G29Jun18-205

CHASE
JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

August 01, 2012 through August 31, 2012
Primary Account: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

SHERIDAN EQUITIES HOLDINGS, LLC
[redacted]

[redacted]

ASSETS

Checking & Savings	ACCOUNT	BEGINNING BALANCE THIS PERIOD	ENDING BALANCE THIS PERIOD
Chase BusinessPlus Extra	[redacted]	$13,609.96	$1,808.13
Chase BusinessPlus Extra	[redacted]	3,251.47	3,251.47
Total		$16,861.43	$5,059.60
TOTAL ASSETS		$16,861.43	$5,059.60

All Summary Balances shown are as of August 31, 2012 unless otherwise stated. For details of your retirement accounts, credit accounts or securities accounts, you will receive separate statements. Balance summary information for annuities is provided by the issuing insurance companies and believed to be reliable without guarantee of its completeness or accuracy.

[redacted]

SHERIDAN EQUITIES HOLDINGS, LLC Account Number: [redacted]

CHECKING SUMMARY

	INSTANCES	AMOUNT
Beginning Balance		$13,609.96
Deposits and Additions	5	102,261.39
Checks Paid	5	- 1,008.57
Electronic Withdrawals	3	- 113,000.00
Fees and Other Withdrawals	1	- 54.65
Ending Balance	14	$1,808.13

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-205 29Jun18-205

CHASE

August 01, 2012 through August 31, 2012
Primary Account: [redacted]

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
08/06	Deposit [redacted]	$715.00
08/06	Deposit	693.00
08/15	Deposit	700.00
08/17	Deposit	153.39
08/21	Deposit	100,000.00
Total Deposits and Additions		**$102,261.39**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	08/22	$140.00
	^	08/20	16.86
	^	08/21	43.00
	^	08/23	370.38
	^	08/21	438.83
Total Checks Paid			**$1,008.57**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

^ An image of this check may be available for you to view on Chase.com

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
08/16	08/16 Online Transfer To Chk ... [redacted] Transaction# [redacted]	$5,000.00
08/17	08/17 Online Transfer To Chk ... [redacted] Transaction# [redacted]	8,000.00
08/22	08/22 Online Transfer To Chk ... [redacted] Transaction# [redacted]	100,000.00
Total Electronic Withdrawals		**$113,000.00**

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
08/07	Check OR Supply Order PPD ID: 1410216600	$54.65
Total Fees & Other Withdrawals		**$54.65**

The fees for this account are included in the fee information for account ---------- [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT
08/06	$15,017.96
08/07	14,963.31
08/15	15,663.31
08/16	10,663.31
08/17	2,816.70

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-205 29Jun18-205

CHASE

August 01, 2012 through August 31, 2012
Primary Account: [redacted]

DAILY ENDING BALANCE *(continued)*

DATE	AMOUNT
08/20	2,799.84
08/21	102,319.11
08/22	2,179.11
08/23	1,808.13

[redacted]

SHERIDAN EQUITIES HOLDINGS, LLC Account Number: [redacted]

UTILITY HOLDINGS

CHECKING SUMMARY

	INSTANCES	AMOUNT
Beginning Balance		$3,251.47
Ending Balance	0	$3,251.47

The fees for this account are included in the fee information for account ---------- [redacted]

29-Jun-18 29Jun18-205

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-205

CHASE

August 01, 2012 through August 31, 2012
Primary Account [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS:Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-205 29Jun18-205

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

November 01, 2012 through November 30, 2012
Primary Account: [redacted]

CUSTOMER SERVICE INFORMATION

Web Site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
SHERIDAN EQUITIES HOLDINGS, LLC
[redacted]

You will see more information about your ATM deposits on your account statement.

Tracking your ATM deposits will be even easier beginning November 12, 2012. In the Deposits and Additions section of your statement, you will see the date you made your deposit (in addition to the date we posted it to your account), and the last four digits of the card number for each ATM deposit.

In the ATM & Debit Card Summary section, ATM and debit card transactions will be totaled by card number. This will help you track activity by cardholder. Please note that any ATM deposit transactions that post to your account before November 12, 2012, will not show this additional information and will not be included in the ATM & Debit Card Summary section at the end of your statement.

We value you as a Chase customer. If you have questions, please call us at the number on the statement or visit your nearest branch.

[redacted]

ASSETS

Checking & Savings	ACCOUNT	BEGINNING BALANCE THIS PERIOD	ENDING BALANCE THIS PERIOD
Chase BusinessPlus Extra	[redacted]	$3,073.27	$3,465.81
Chase BusinessPlus Extra	[redacted]	3,251.47	3,251.47
Total		**$6,324.74**	**$6,717.28**
TOTAL ASSETS		**$6,324.74**	**$6,717.28**

All Summary Balances shown are as of November 30, 2012 unless otherwise stated. For details of your retirement accounts, credit accounts or securities accounts, you will receive separate statements. Balance summary information for annuities is provided by the issuing insurance companies and believed to be reliable without guarantee of its completeness or accuracy.

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-205

CHASE

November 01, 2012 through November 30, 2012
Primary Account [redacted]

[redacted]

SHERIDAN EQUITIES HOLDINGS, LLC

Account Number [redacted]

CHECKING SUMMARY

	INSTANCES	AMOUNT
Beginning Balance		**$3,073.27**
Deposits and Additions	6	17,938.00
Checks Paid	5	- 2,045.46
Electronic Withdrawals	2	- 15,500.00
Ending Balance	13	**$3,465.81**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
11/05	Deposit [redacted]	$843.00
11/13	Deposit	550.00
11/13	Deposit	240.00
11/23	Deposit	715.00
11/23	Deposit	590.00
11/27	Online Transfer From Chk [redacted] Transaction# [redacted]	15,000.00
Total Deposits and Additions		**$17,938.00**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	11/05	$1,186.66
	^	11/21	34.13
	^	11/19	16.86
	^	11/20	436.83
	^	11/27	370.98
Total Checks Paid			**$2,045.46**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

^ An image of this check may be available for you to view on Chase.com

29-Jun-18 29Jun18-205

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-205

CHASE

November 01, 2012 through November 30, 2012
Primary Account [redacted]

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
11/15	11/15 Online Transfer To Chk [redacted] Transaction# [redacted]	$500.00
11/27	11/27 Online Wire Transfer Via Citibank N A /0[redacted] A/C: Dkh Enterprises, Inc Oklahoma City OK 73112 US Ref Tax Lien Purchase 176-16-2877/Bnf/Fic Acct 325-096918 Dkh Ssn [redacted]	15,000.00
Total Electronic Withdrawals		**$15,500.00**

The fees for this account are included in the fee information for account ---------- [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT
11/05	$2,729.61
11/13	3,519.61
11/15	3,019.61
11/19	3,002.75
11/20	2,565.92
11/21	2,531.79
11/23	3,896.79
11/27	3,465.81

[redacted]

SHERIDAN EQUITIES HOLDINGS, LLC Account Number [redacted]

UTILITY HOLDINGS

CHECKING SUMMARY

	INSTANCES	AMOUNT
Beginning Balance		**$3,251.47**
Ending Balance	0	**$3,251.47**

The fees for this account are included in the fee information for account ---------- [redacted]

Page 3 of 4

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-205

CHASE

November 01, 2012 through November 30, 2012
Primary Account: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information

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JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-205
GROUP ID G29Jun18-205

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

January 01, 2013 through January 31, 2013
Primary Account: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

SHERIDAN EQUITIES HOLDINGS, LLC
[redacted]

[redacted]

ASSETS

Checking & Savings	ACCOUNT	BEGINNING BALANCE THIS PERIOD	ENDING BALANCE THIS PERIOD
Chase BusinessPlus Extra	[redacted]	$4,766.47	$22,471.84
Chase BusinessPlus Extra	[redacted]	3,251.47	3,251.47
Total		$8,017.94	$25,723.31
TOTAL ASSETS		**$8,017.94**	**$25,723.31**

All Summary Balances shown are as of January 31, 2013 unless otherwise stated. For details of your retirement accounts, credit accounts or securities accounts, you will receive separate statements. Balance summary information for annuities is provided by the issuing insurance companies and believed to be reliable without guarantee of its completeness or accuracy.

[redacted]

SHERIDAN EQUITIES HOLDINGS, LLC Account Number: [redacted]

CHECKING SUMMARY

	INSTANCES	AMOUNT
Beginning Balance		$4,766.47
Deposits and Additions	6	17,950.00
Checks Paid	4	- 294.63
Ending Balance	10	$22,471.84

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-205 29Jun18-205

CHASE

January 01, 2013 through January 31, 2013
Primary Account: [redacted]

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
01/02	Online Transfer From Chk [redacted] Transaction# [redacted]	$15,000.00
01/14	Deposit [redacted]	680.00
01/22	Deposit [redacted]	370.00
01/28	Deposit [redacted]	1,730.00
01/28	Deposit [redacted]	110.00
01/28	Deposit [redacted]	100.00
Total Deposits and Additions		$17,990.00

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	A	01/14	$120.00
	A	01/14	134.75
	A	01/24	17.38
	A	01/22	12.50
Total Checks Paid			$284.63

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

^ An image of this check may be available for you to view on Chase.com.

The fees for this account are included in the fee information for account ---------- [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT
01/02	$19,766.47
01/14	20,191.72
01/22	20,549.22
01/24	20,531.84
01/28	22,471.84

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-205 29Jun18-205

CHASE

January 01, 2013 through January 31, 2013
Primary Account: [redacted]

[redacted]

SHERIDAN EQUITIES HOLDINGS, LLC
UTILITY HOLDINGS

Account Number: [redacted]

CHECKING SUMMARY

	INSTANCES	AMOUNT
Beginning Balance		$3,251.47
Ending Balance	0	$3,251.47

The fees for this account are included in the fee information for account ---------- [redacted]

29-Jun-18 29Jun18-205

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-205

CHASE

January 01, 2013 through January 31, 2013
Primary Account: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of the statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

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- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

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JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-205 29Jun18-205

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

February 01, 2013 through February 28, 2013
Primary Account: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
SHERIDAN EQUITIES HOLDINGS, LLC
[redacted]

[redacted]

ASSETS

Checking & Savings	ACCOUNT	BEGINNING BALANCE THIS PERIOD	ENDING BALANCE THIS PERIOD
Chase BusinessPlus Extra	[redacted]	$22,471.84	$50,805.39
Chase BusinessPlus Extra	[redacted]	3,251.47	3,251.47
Total		$25,723.31	$54,056.86
TOTAL ASSETS		**$25,723.31**	**$54,056.86**

All Summary Balances shown are as of February 28, 2013 unless otherwise stated. For details of your retirement accounts, credit accounts or securities accounts, you will receive separate statements. Balance summary information for annuities is provided by the issuing insurance companies and believed to be reliable without guarantee of its completeness or accuracy.

[redacted]

SHERIDAN EQUITIES HOLDINGS, LLC Account Number: [redacted]

CHECKING SUMMARY

	INSTANCES	AMOUNT
Beginning Balance		$22,471.84
Deposits and Additions	5	29,202.92
Checks Paid	4	-869.37
Ending Balance	9	$50,805.39

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-205 29Jun18-205

CHASE

February 01, 2013 through February 28, 2013
Primary Account: [redacted]

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
02/04	Deposit [redacted]	$543.00
02/07	Online Transfer From Chk ... [redacted] Transaction# [redacted]	22,081.38
02/11	Deposit [redacted]	600.00
02/22	Deposit [redacted]	150.00
02/28	Online Transfer From Chk ... [redacted] Transaction# [redacted]	5,488.54
Total Deposits and Additions		**$29,202.92**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	02/07	$436.83
	^	02/07	370.98
	^	02/26	17.56
	^	02/28	44.00
Total Checks Paid			**$869.37**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

^ An image of this check may be available for you to view on Chase.com.

The fees for this account are included in the fee information for account ---------- [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT
02/04	$23,314.84
02/07	44,588.41
02/11	45,188.41
02/22	45,378.41
02/28	50,805.39

[redacted]

SHERIDAN EQUITIES HOLDINGS, LLC Account Number: [redacted]

UTILITY HOLDINGS

CHECKING SUMMARY

	INSTANCES	AMOUNT
Beginning Balance		**$3,251.47**
Ending Balance	0	**$3,251.47**

Page 2 of 4

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-205

29Jun18-205

CHASE

February 01, 2013 through February 28, 2013
Primary Account: [redacted]

The fees for this account are included in the fee information for account ..... [redacted]

29-Jun-18 29Jun18-205

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-205

CHASE

February 01, 2013 through February 28, 2013
Primary Account [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

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Member FDIC JPMorgan Chase Bank, N.A. Member FDIC

Page 4 of 4

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-205
GROUP ID G29Jun18-205

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

March 01, 2013 through March 25, 2013
Primary Account: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
SHERIDAN EQUITIES HOLDINGS, LLC
[redacted]

We have reduced our Legal Processing Fee

On March 24, 2013, we reduced the Legal Processing Fee to a maximum of $75 per order. This fee is assessed for the processing of any garnishment, tax levy, or other court or administrative order against an account. This change will be reflected in your account agreement; all other terms remain the same. If you have questions, please call us at the telephone number listed on this statement or visit your nearest Chase branch.

[redacted]

ASSETS

Checking & Savings	ACCOUNT	BEGINNING BALANCE THIS PERIOD	ENDING BALANCE THIS PERIOD
Chase BusinessPlus Extra	[redacted]	$50,805.39	$45,846.76
Chase BusinessPlus Extra	[redacted]	3,251.47	0.00
Total		**$54,056.86**	**$45,846.76**
TOTAL ASSETS		**$54,056.86**	**$45,846.76**

All Summary Balances shown are as of March 25, 2013 unless otherwise stated. For details of your retirement accounts, credit accounts or securities accounts, you will receive separate statements. Balance summary information for annuities is provided by the issuing insurance companies and believed to be reliable without guarantee of its completeness or accuracy.

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-205 29Jun18-205

CHASE

March 01, 2013 through March 29, 2013
Primary Account: [redacted]

[redacted]

SHERIDAN EQUITIES HOLDINGS, LLC Account Number: [redacted]

CHECKING SUMMARY

	INSTANCES	AMOUNT
Beginning Balance		$50,805.39
Deposits and Additions	12	97,356.47
Checks Paid	3	- 272.85
ATM & Debit Card Withdrawals	2	- 509.00
Fees and Other Withdrawals	1	- 101,533.25
Ending Balance	18	$45,846.76

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
03/04	Deposit [redacted]	$943.00
03/05	Deposit	30,000.00
03/11	Deposit	790.00
03/14	Deposit	15,000.00
03/14	Online Transfer From Chk ... [redacted] Transaction#: [redacted]	5,000.00
03/15	Online Transfer [redacted] From Joyce's B of A ####### [redacted] Transaction # [redacted]	4,000.00
03/19	Online Transfer From Chk ... [redacted] Transaction#: [redacted]	3,251.47
03/26	Deposit [redacted]	1,410.00
03/26	Online Transfer From Chk ... [redacted] Transaction#: [redacted]	62.00
03/27	Deposit [redacted]	4,000.00
03/29	Deposit	30,000.00
03/29	Online Transfer From Chk ... [redacted] Transaction#: [redacted]	3,000.00
Total Deposits and Additions		**$97,356.47**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	03/01	$238.61
[redacted]	^	03/25	16.89
[redacted]	^	03/26	17.35
Total Checks Paid			**$272.85**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

^ An image of this check may be available for you to view on Chase.com.

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-205

CHASE

March 01, 2013 through March 29, 2013
Primary Account: [redacted]

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION		AMOUNT
03/21	Card Purchase	03/20 Fb P-C Ins. *Payment 800-526-7270 IA Card [redacted]	314.00
03/22	Card Purchase	03/20 Cummings Termite & Pes 480-8374800 AZ Card [redacted]	495.00
Total ATM & Debit Card Withdrawals			$509.00

ATM & DEBIT CARD SUMMARY

Joyce R Schweikert Card [redacted]

Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$509.00
Total Card Deposits & Credits	$0.00

ATM & Debit Card Totals

Total ATM Withdrawals & Debits	$0.00
Total Card Purchases	$509.00
Total Card Deposits & Credits	$0.00

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
03/29	03/29 Withdrawal	$101,533.25
Total Fees & Other Withdrawals		$101,533.25

The fees for this account are included in the fee information for account ---------- [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
03/01	$50,566.78	03/21	109,437.25
03/04	51,409.78	03/22	108,942.25
03/05	81,409.78	03/25	108,925.39
03/11	82,199.78	03/26	110,380.01
03/14	102,199.78	03/27	114,380.01
03/15	106,199.78	03/29	45,846.76
03/19	109,451.25		

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-205
GROUP ID G29Jun18-205

CHASE

March 01, 2013 through March 29, 2013
Primary Account: [redacted]

[redacted]

SHERIDAN EQUITIES HOLDINGS, LLC
UTILITY HOLDINGS

Account Number: [redacted]

CHECKING SUMMARY

	INSTANCES	AMOUNT
Beginning Balance		$3,251.47
Electronic Withdrawals	1	-3,251.47
Ending Balance	1	$0.00

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
03/19	03/19 Online Transfer To Chk [redacted] Transaction# [redacted]	$3,251.47
Total Electronic Withdrawals		$3,251.47

The fees for this account are included in the fee information for account ---------- [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT
03/19	$0.00

Page 4 of 9

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-205 29Jun18-205

CHASE

March 01, 2015 through March 29, 2015
Primary Account: [redacted]

IMPORTANT INFORMATION FOR CONSUMERS ABOUT YOUR PERSONAL CHASE CHECKING ACCOUNT

WHAT YOU NEED TO KNOW ABOUT OVERDRAFTS AND OVERDRAFT FEES

An overdraft occurs when you do not have enough money in your account to cover a transaction, but we pay it anyway. We can cover your overdrafts in two different ways:

1. We have standard overdraft practices that come with your account.
2. We also offer overdraft protection plans, such as a link to a savings or credit card account, which may be less expensive than our standard overdraft practices. To learn more, ask us about these plans.

This notice explains our standard overdraft practices.

What are the standard overdraft practices that come with my account?

We do authorize and pay overdrafts for the following types of transactions:

- Checks and other transactions made using your checking account number
- Recurring debit card transactions

We do not authorize and pay overdrafts for the following type of transaction unless you ask us to:

- Everyday debit card transactions

We pay overdrafts at our discretion, which means we do not guarantee that we will always authorize and pay any type of transaction.

If we do not authorize and pay an overdraft, your transaction will be declined.

What fees will I be charged if Chase pays my overdraft?

Under our standard overdraft practices:

- We will charge you a fee of $34 each time we pay an overdraft.
- Also, each time your account is overdrawn for 5 consecutive business days, we will charge you an additional $15.
- There is a 3 per day limit on the above $34 fee we can charge you for overdrawing your account.

29-Jun-18 29Jun18-205

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-205

CHASE

March 01, 2013 through March 29, 2013
Primary Account: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS:Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

Page 6 of 6

EXHIBIT 20

Previous

DEPOSIT TICKET

SHERIDAN EQUITIES HOLDINGS, LLC
15749 E EL LAGO BLVD.
FOUNTAIN HILLS, AZ 85268

DATE 8-21-2012
DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL

SIGN HERE FOR CASH RECEIVED (IF REQUIRED)

CHASE
JPMorgan Chase Bank, N.A.
www.Chase.com

[redacted]

CASH 91-2 1221 13

100,000.00

TOTAL FROM OTHER SIDE

SUB TOTAL

LESS CASH RECEIVED

$ 100,000.00

Posting Date: 08/21/2012
Sequence Number: [redacted]
Amount: 100,000.00
Account: [redacted]
Routing Transit Number: [redacted]
Check/Serial Number: [redacted]
Bank Number: [redacted]
IRD Indicator: 0
Image type: P
BOFD: 000000000
Cost Center: 840136
Teller Number: 1
Teller Sequence Number: 7
Capture Source: BY
Entry Number: [redacted]
UDK: [redacted]

JPMorganChaseBank [redacted]

CHECKS
LIST SINGLY

CURRENCY COUNT - FOR FINANCIAL INSTITUTION USE ONLY		
x 100		
x 50		
x 20		
x 10		
x 5		
x 2		
x 1		
TOTAL	$	
	DOLLARS	CENTS

CBA_1915

COE.SCHWEIKERT.005771

18-2234_0969

Previous

Bank of America Cashier's Check No. 435741139

Issuing Center: BURLINGAME

[redacted]

Date: AUGUST 20, 2012

Remitter (Purchased By): ROBERT COOPER

$ **100000.00**

Pay: "ONE HUNDRED THOUSAND DOLLARS AND 00 CENTS"

To The Order Of: "DAVID AND JOYCE SCHWEIKERT"

Bank of America, N.A. San Francisco, CA

VOID AFTER 90 DAYS

Authorized Signature

[redacted]

THE ORIGINAL DOCUMENT HAS REFLECTIVE WATERMARK ON THE BACK THE ORIGINAL DOCUMENT HAS REFLECTIVE WATERMARK ON THE BACK

Posting Date: 08/21/2012
Sequence Number: [redacted]
Amount: 100,000.00
Account: [redacted]
Routing Transit Number: [redacted]
Check/Serial Number: [redacted]
Bank Number: [redacted]
IRD Indicator: 0
Image type: P
BOFD: 111900057
Cost Center: 640136
Teller Number: 1
Teller Sequence Number: 7
Capture Source: SY
Entry Number: [redacted]
UDX: [redacted]

CBA_1916

COE.SCHWEIKERT.005772

18-2234_0970

EXHIBIT 21

29-Jun-18 29Jun18-209

Washington Mutual Bank

(Not "Bank")

1 OF 1 BANK COPY

BUSINESS
MASTER ACCOUNT AGREEMENT

CMP NO	OWN CODE	PRODUCT	FC NUMBER	ACCOUNT NUMBER
02	01	DDA	[redacted]	[redacted]

1. ACCOUNT TITLE JOYCE R SCHWEIKERT
2. ACCOUNT TITLE DAVID S SCHWEIKERT DBA
3. ACCOUNT TITLE DAVID SCHWEIKERT FOR CONGRESS
4. ACCOUNT TITLE

ADDRESS (CITY, STATE, ZIP CODE, CIVIL PROVINCE AND COUNTRY - IF NOT IN U.S.A.) [redacted] [redacted]

IDENTIFICATION | VERIFICATION STATUS NPC

TAX ID	CONTACT PERSON	BUSINESS PHONE
[redacted]	JOYCE R SCHWEIKERT	(480) 659-9383

DATE OPENED	BY	TAX LINK	DATE CHANGED
08/07/2007	20	3	12/07/2007

The account and deposits opened under this Agreement shall be of the following type:

01 / 57

SOLE PROPRIETORSHIP/HUSBAND & WIFE: THIS ACCOUNT IS HELD IN JOINT TENANCY WITH RIGHT OF SURVIVORSHIP UNLESS INDICATED OTHERWISE.
( ) WE SELECT JOINT TENANCY WITHOUT RIGHT OF SURVIVORSHIP.

This type of account is described in detail in the Account Disclosures and Regulations.

1. I/we (the Depositor) agree that the Business Account Disclosures and Regulations, including any amendments Bank may make from time to time and any related disclosures (the Account Disclosures and Regulations) shall govern all accounts, products, or services provided to Depositor by Bank. This Agreement and the Account Disclosures and Regulations shall govern all accounts, products, services selected by Depositor now or in the future, regardless of whether the selection is made in person, in writing, orally, electronically or by use of the account, product, or service.

2. Withdrawals or transfer transactions may be paid by Bank on the authorization of any one account owner or signer even if you have given any contrary instructions. Depositor agrees that any one account owner or signer, if more than one, may authorize Bank to open or provide other accounts, products, or services from time to time in the same ownership capacity. Further, Depositor agrees that any one account owner or signer, if more than one, is authorized to endorse, cash, or deposit any check or draft payable to the any of them.

3. Most disputes arising under this Agreement related to accounts or services hereunder are subject to mandatory binding arbitration. Rights to trial by a judge or jury are waived hereby. Bank must be notified by Depositor of claims and proceedings to enforce any such claims must be brought, within the time requirements established in the Business Account Disclosures and Regulations.

TAX CERTIFICATION - UNDER PENALTIES OF PERJURY I CERTIFY THAT:
1) The Tax ID number shown on this form is my correct Taxpayer Identification Number.
2) I am not subject to backup withholding because (a) I have not been notified that I am subject to backup withholding as a result of a failure to report all interest or dividends, (b) the Internal Revenue Service has notified me that I am no longer subject to backup withholding, or (c) this account is owned by an entity exempt from backup withholding.
3) Check the box [ ] if you are subject to backup withholding and cannot certify the provisions of (2) above.
4) I am a US person including US resident alien.

The certification above does not apply if you are not a U.S. person (or a U.S. resident alien). A Form W-8BEN must be completed as an attachment to this application.

By signing this below, I/we agree to be bound by the terms and conditions of this Master Account Agreement (the "Agreement") as set forth herein, and, if applicable, make the tax certification set forth above. In addition, I/we acknowledge receipt of the Business Account Disclosure and Regulations Relating to Deposit Accounts and Other Service and Electronic Funds Transfer Agreement and Disclosure ("Business Account Disclosure and Regulations"). The INTERNAL REVENUE SERVICE DOES NOT REQUIRE MY CONSENT TO ANY PROVISION OF THIS DOCUMENT OTHER THAN THE CERTIFICATION REQUIRED TO AVOID BACKUP WITHHOLDING.

02 0316-0000318422-4

JOYCE R SCHWEIKERT

[redacted]

Please sign within the box OWNER Thumb Print

X

Please sign within the box Thumb Print

DAVID S SCHWEIKERT

[redacted]

Please sign within the box OWNER Thumb Print

X

Please sign within the box Thumb Print

681 (10/07)

COE.SCHWEIKERT.005774

CBA_1742

18-2234_0972

29-Jun-18 29Jun18-209

Washington Mutual Bank (the "Bank")

1 OF 1 BANK COPY

BUSINESS
MASTER ACCOUNT AGREEMENT

COMP NO 02	OWN CODE 01	PRODUCT DDA	TC NUMBER [redacted]	ACCOUNT NUMBER [redacted]

1. ACCOUNT TITLE JOYCE R SCHWEIKERT
2. ACCOUNT TITLE DAVID S SCHWEIKERT DBA
3. ACCOUNT TITLE DAVID SCHWEIKERT FOR CONGRESS EXPLOTORY
4. ACCOUNT TITLE

ADDRESS (CITY, STATE, ZIP CODE - GIVE PROVINCE AND COUNTRY - IF NOT IN U.S.A.) [redacted] [redacted]

IDENTIFICATION

VERIFICATION STATUS PR NPC

TAX ID	CONTACT PERSON	BUSINESS PHONE
[redacted]	JOYCE R SCHWEIKERT	(480) 659-9383

DATE OPENED	BY	TAX LINK	DATE CHANGED
03/07/2007	ASJ	3	

The account and deposits opened under this Agreement shall be of the following type: 01 / 57

SOLE PROPRIETORSHIP/HUSBAND & WIFE: THIS ACCOUNT IS HELD IN JOINT TENANCY
WITH RIGHT OF SURVIVORSHIP UNLESS INDICATED OTHERWISE.
( ) WE SELECT JOINT TENANCY WITHOUT RIGHT OF SURVIVORSHIP.

This type of account is described in detail in the Account Disclosures and Regulations.

Number of signatures required (see paragraph 3 below): 1

1. [illegible]

2. [illegible]

3. [illegible]

4. [illegible]

TAX CERTIFICATION - UNLESS I PROVIDE A FORM W-8BEN BECAUSE I AM NOT A US PERSON, UNDER PENALTIES OF PERJURY I CERTIFY THAT:

1) The Tax ID number shown on this form is my correct Taxpayer Identification Number. [illegible]

By signing below, I/we agree to be bound by the terms and conditions of this Master Account Agreement (the "Agreement") [illegible] THE INTERNAL REVENUE SERVICE DOES NOT REQUIRE YOUR CONSENT TO ANY PROVISION OF THIS DOCUMENT OTHER THAN THE CERTIFICATIONS REQUIRED TO AVOID BACKUP WITHHOLDING.

02 0316-0000318422-4

JOYCE R SCHWEIKERT

[redacted]

Please sign within the box TREASURER Thumb Print

x

Please sign within the box Thumb Print

DAVID S SCHWEIKERT

[redacted]

Please sign within the box OWNER Thumb Print

x

Please sign within the box Thumb Print

651110(04)

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 260180
Baton Rouge, LA 70826-0180

January 01, 2010 through January 29, 2010
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

Important Notice

We are making changes to your account. Please see the enclosed notice for more information.

Starting April 1, 2010 we will change the fees for the following:

Cash Deposited Per Month – Fees apply to all cash deposits per month in excess of $5,000 as follows:

Night Drop and Post Verification	$1.00 (per $1,000)
Immediate Verification	$1.50 (per $1,000)

Cash Deposit Bag Fees

Partial – Mixed Bag	$5.00 Flat fee per bag
Full Bag	$2.50 Flat Fee per bag

Other Cash Deposit Processing Fees

Coin Roll Order Fee	$0.15 per roll
Currency Strap Order Fee	$0.50 per strap

All other terms and conditions apply. If you have any questions, please call us at 1-800-CHASE38 (1-800-242-7338) or contact your dedicated service team.

CHECKING SUMMARY Chase Free Business Checking

	INSTANCES	AMOUNT
Beginning Balance		**$13,124.80**
Deposits and Additions	38	55,107.18
Checks Paid	16	- 18,789.49
ATM & Debit Card Withdrawals	20	- 4,217.54
Other Withdrawals, Fees & Charges	9	- 2,750.26
Ending Balance	**83**	**$53,494.70**

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

January 01, 2010 through January 29, 2010
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts-contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS:Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

January 01, 2010 through January 29, 2010
Account Number [redacted]

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
01/04	Deposit [redacted]	5817.00
01/05	Online Transfer From Chk Xxxxxx [redacted] transaction# [redacted]	25,000.00
01/05	Fdms-Settlement Deposit [redacted]	500.00
01/05	Transfirst Llc Bkcd Stlmt [redacted]	50.00
01/06	Fdms-Settlement Deposit [redacted]	20.00
01/07	Fdms-Settlement Deposit [redacted]	35.00
01/08	Fdms-Settlement Deposit [redacted]	1,000.00
01/12	American Express Settlement [redacted]	185.00
01/12	Fdms-Settlement Deposit [redacted]	125.00
01/12	Transfirst Llc Bkcd Stlmt [redacted]	50.00
01/13	Fdms-Settlement Deposit [redacted]	250.00
01/15	Deposit [redacted]	12,695.00
01/19	Deposit [redacted]	50.00
01/19	Transfirst Llc Bkcd Stlmt [redacted]	3,000.00
01/19	Transfirst Llc Settle [redacted]	2,400.00
01/19	American Express Settlement [redacted]	1,000.00
01/19	Fdms-Settlement Deposit [redacted]	250.00
01/19	Transfirst Llc Bkcd Stlmt [redacted]	25.00
01/20	Deposit [redacted]	4,800.00
01/20	Fdms-Settlement Deposit [redacted]	500.00
01/20	Fdms-Settlement Deposit [redacted]	25.00
01/21	Transfirst Llc Settle [redacted]	2,400.00
01/21	Fdms-Settlement Deposit [redacted]	50.00
01/21	Transfirst Llc Bkcd Stlmt [redacted]	25.00
01/21	American Express Settlement [redacted]	15.00
01/22	Deposit [redacted]	575.00
01/22	Fdms-Settlement Deposit [redacted]	200.00
01/25	Fdms-Settlement Deposit [redacted]	750.00
01/25	Transfirst Llc Bkcd Stlmt [redacted]	100.00
01/25	American Express Settlement [redacted]	0.19
01/26	American Express Settlement [redacted]	500.00
01/26	Fdms-Settlement Deposit [redacted]	50.00
01/26	Fdms-Settlement Deposit [redacted]	25.00
01/27	American Express Settlement [redacted]	100.00
01/29	Deposit [redacted]	5,590.00
01/29	Deposit [redacted]	200.00
01/29	Fdms-Settlement Deposit [redacted]	2,250.00
01/29	American Express Settlement [redacted]	500.00
Total Deposits and Additions		**$66,107.19**

29Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

January 01, 2010 through January 29, 2010
Account Number [redacted]

CHECKS PAID

CHECK NO	DESCRIPTION	DATE PAID	AMOUNT
[redacted] ^		01/27	5125 00
* ^		01/04	5,000 00
^		01/04	101 29
^		01/04	20 70
^		01/05	280 00
^		01/05	152 25
^		01/19	100 00
^		01/11	354 64
^		01/15	382 42
^		01/20	280 00
^		01/21	150 00
^		01/20	1,188 19
^		01/15	3,500 00
^		01/25	125 00
^		01/26	3,500 00
^		01/26	3,500 00
Total Checks Paid			$18,759.49

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
01/04	Card Purchase 01/03 Facebook Advertising 650-543-781 CA Card [redacted]	$18 51
01/05	Card Purchase With Pin 01/05 Samsclub #6607 Phoenix AZ Card [redacted]	501 84
01/05	Card Purchase 01/03 Dh*Add-In Express L Element5 In MN Card [redacted]	29 95
01/05	Card Purchase 01/03 Dh*Add-In Express L Element5 In MN Card [redacted]	23 95
01/06	Card Purchase 01/04 Icontact Corporation 650-6222200 NC Card [redacted]	109 00
01/11	Card Purchase 01/04 Sams Internet 888-746-7726 AR Card [redacted]	179 28
01/11	Card Purchase 01/09 Facebook Advertising 650-543-781 CA Card [redacted]	14 49
01/14	Card Purchase With Pin 01/14 Samsclub #6607 Phoenix AZ Card [redacted]	338 69
01/15	Card Purchase 01/15 Facebook Advertising 650-543-781 CA Card [redacted]	9 84
01/19	Card Purchase With Pin 01/15 Samsclub #6241 Scottsdale AZ Card [redacted]	232 19
01/21	Card Purchase 01/21 Facebook Advertising 650-543-781 CA Card [redacted]	10 68
01/22	Card Purchase 01/21 Google*Adwr696932282 Co@Google C CA Card [redacted]	71 50
01/25	Card Purchase 01/23 Kknt-Am--Kpxg-Am--Sa 0602987400 AZ Card [redacted]	1,356.76
01/25	Card Purchase 01/16 Hon Du Rentals Fountain Hill AZ Card [redacted]	91 48
01/27	Card Purchase With Pin 01/27 Office Max Scottsdale AZ Card [redacted]	9 47
01/28	Card Purchase 01/26 T 3 Design 732-7868010 NJ Card [redacted]	158.64
01/28	Card Purchase 01/26 Icontact Corporation 650-6222200 NC Card [redacted]	130 10

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

January 01, 2010 through January 29, 2010
Account Number: [redacted]

ATM & DEBIT CARD WITHDRAWALS (continued)

DATE	DESCRIPTION	AMOUNT
01/28	Card Purchase 01/28 Facebook Advertising 650-543-781 CA Card [redacted]	28.64
01/29	Card Purchase With Pin 01/28 Samsclub #6241 Scottsdale AZ Card [redacted]	880.00
01/29	Card Purchase 01/28 Fedex Kinko's #2314 Scottsdale AZ Card [redacted]	22.52
Total ATM & Debit Card Withdrawals		$4,217.54

OTHER WITHDRAWALS, FEES & CHARGES

DATE	DESCRIPTION	AMOUNT
01/04	American Express Collection [redacted]	54.95
01/05	American Express Axp Discnt [redacted]	82.32
01/05	Fdms-Settlement Discount [redacted]	45.49
01/05	Fdms-Settlement Fee [redacted]	7.75
01/05	Fdms-Settlement Interchg [redacted]	4.99
01/06	Ogiv Debits Ogiv Debit Oke000 [redacted]	121.41
01/11	Transfirst Llc Discount [redacted]	58.39
01/22	Transfirst Llc Reversal [redacted]	2,400.00
01/22	Transfirst Llc Reversal [redacted]	25.00
Total Other Withdrawals, Fees & Charges		$2,750.29

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
01/04	58,796.35	01/19	49,892.49
01/05	53,650.09	01/20	52,749.30
01/06	33,007.43	01/21	55,078.62
01/07	33,042.43	01/22	53,357.12
01/08	34,042.43	01/25	52,634.07
01/11	33,425.63	01/26	46,209.07
01/12	33,785.63	01/27	46,174.60
01/13	34,035.63	01/28	45,857.22
01/14	33,896.94	01/29	50,494.70
01/15	42,499.68		

SERVICE CHARGE SUMMARY

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	16
Deposits / Credits	7
Deposited Items	71
Transaction Total	94

SERVICE FEE CALCULATION	AMOUNT
Service Fee	$0.00
Service Fee Credit	$0.00
Net Service Fee	$0.00

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-211
GROUP ID G29Jun18-211

CHASE

January 01, 2010 through January 29, 2010
Account Number: [redacted]

SERVICE CHARGE SUMMARY *(continued)*

SERVICE FEE CALCULATION:	AMOUNT
Excessive Transaction Fees (Above)	50.00
Total Service Fees	$0.00

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 260180
Baton Rouge, LA 70826-0180

January 30, 2010 through February 26, 2010
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center	1-800-242-7338
Hearing Impaired	1-800-242-7383
Para Español	1-888-622-4273
International Calls	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

Important Notice

We are making changes to your account. Please see the enclosed notice for more information.

Starting April 1, 2010 we will change the fees for the following:

Cash Deposited Per Month – Fees apply to all cash deposits per month in excess of $5,000 as follows:

Night Drop and Post Verification	$1.00 (per $1,000)
Immediate Verification	$1.50 (per $1,000)

Cash Deposit Bag Fees:

Partial – Mixed Bag	$5.00 Flat fee per bag
Full Bag	$2.50 Flat Fee per bag

Other Cash Deposit Processing Fees:

Coin Roll Order Fee	$0.15 per roll
Currency Strap Order Fee	$0.50 per strap

All other terms and conditions apply. If you have any questions, please call us at 1-800-CHASE38 (1-800-242-7338) or contact your dedicated service team.

CHECKING SUMMARY

Chase Free Business Checking

	INSTANCES	AMOUNT
Beginning Balance		**$53,494.70**
Deposits and Additions	16	5,625.10
Checks Paid	9	- 8,638.48
ATM & Debit Card Withdrawals	15	- 1,711.08
Other Withdrawals, Fees & Charges	9	- 850.62
Ending Balance	49	**$47,919.62**

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

January 30, 2010 through February 26, 2010
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

January 30, 2010 through February 26, 2010
Account Number: [redacted]

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
02/01	Card Purchase Return 01/28 Icontact Corporation Durham NC Card [redacted]	5130.10
02/01	Fdms-Settlement Deposit [redacted]	950.00
02/01	American Express Settlement [redacted]	500.00
02/02	Transfirst Llc Bkcd Stmt [redacted]	50.00
02/03	American Express Settlement [redacted]	750.00
02/03	Fdms-Settlement Deposit [redacted]	250.00
02/04	American Express Settlement [redacted]	100.00
02/09	Fdms-Settlement Deposit [redacted]	100.00
02/09	Fdms-Settlement Deposit [redacted]	50.00
02/10	Deposit [redacted]	1,545.00
02/18	Deposit [redacted]	500.00
02/18	Fdms-Settlement Deposit [redacted]	25.00
02/22	American Express Settlemen [redacted]	100.00
02/24	Deposit [redacted]	200.00
02/25	Fdms-Settlement Deposit [redacted]	250.00
02/26	Fdms-Settlement Deposit [redacted]	25.00
Total Deposits and Additions		**$5,625.10**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	02/02	520.20
	^	02/08	403.78
	^	02/08	166.16
	^	02/10	840.00
	^	02/18	125.00
	^	02/12	1,247.47
	^	02/11	5,000.00
	^	02/25	120.00
	^	02/22	710.87
Total Checks Paid			**$8,638.48**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

^ An image of this check may be available for you to view on Chase.com.

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
02/01	Card Purchase 01/28 Icontact Corporation 650-6222200 NC Card [redacted]	5130.10
02/01	Card Purchase 01/29 Fedex Kinko's #2314 Scottsdale AZ Card [redacted]	3.22
02/03	Card Purchase 02/01 Icontact Corporation 650-6222200 NC Card [redacted]	29.00
02/03	Card Purchase 02/03 Facebook Advertising 650-543-7811 CA Card [redacted]	17.98
02/05	Card Purchase With Pin 02/05 Office Max Scottsdale AZ Card [redacted]	56.09
02/06	Card Purchase 02/07 Fedex Kinko's #2314 Scottsdale AZ Card [redacted]	12.87
02/09	Card Purchase 02/09 Facebook Advertising 650-543-7811 CA Card [redacted]	3.27

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

January 30, 2010 through February 26, 2010
Account Number: [redacted]

ATM & DEBIT CARD WITHDRAWALS (continued)

DATE	DESCRIPTION	AMOUNT
02/10	Card Purchase With Pin 02/10 Usps 0363680069 Phoenix AZ Card [redacted]	220.00
02/16	Card Purchase 02/15 Facebook Advertising 650-543-781 CA Card [redacted]	2.06
02/17	Card Purchase With Pin 02/17 Usps 0376610537 Fountain Hill AZ Car [redacted]	682.44
02/17	Card Purchase 02/16 Cobblestone Post Scottsdale AZ Card [redacted]	132.00
02/18	Card Purchase 02/17 Fedex Kinko's #2314 Scottsdale AZ Card [redacted]	9.65
02/22	Card Purchase 02/19 Google*Adws696932282 Cc@Google C CA Card [redacted]	177.54
02/22	Card Purchase 02/21 Facebook Com*Ads 650-543-7818 CA Card [redacted]	14.86
02/23	Card Purchase 02/22 Cobblestone Post Scottsdale AZ Card [redacted]	220.00
Total ATM & Debit Card Withdrawals		**$1,711.08**

OTHER WITHDRAWALS, FEES & CHARGES

DATE	DESCRIPTION	AMOUNT
02/02	American Express Collection [redacted]	54.95
02/03	Ogiv Debits Ogiv Debit Oke [redacted]	228.71
02/03	Fdms-Settlement Discount [redacted]	152.29
02/03	Fdms-Settlement Fee [redacted]	13.25
02/03	Fdms-Settlement Interchng [redacted]	1.52
02/05	American Express Axp Discn [redacted]	72.01
02/10	Transfirst Llc Discount [redacted]	321.99
02/17	Check OR Supply Order PPD ID: 1410216800	27.95
02/23	Check OR Supply Order PPD ID: 1410216800	27.95
Total Other Withdrawals, Fees & Charges		**$850.62**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
02/01	$54,941.48	02/12	48,969.94
02/02	54,966.33	02/16	48,967.88
02/03	55,523.58	02/17	48,125.49
02/04	55,623.58	02/18	48,515.84
02/05	55,495.48	02/22	47,712.57
02/08	54,907.67	02/23	47,464.62
02/09	55,054.40	02/24	47,764.62
02/10	55,217.41	02/25	47,894.62
02/11	50,217.41	02/26	47,919.62

SERVICE CHARGE SUMMARY

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	9
Deposits / Credits	3
Deposited Items	15
Transaction Total	**27**

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

January 30, 2010 through February 28, 2010
Account Number: [redacted]

SERVICE CHARGE SUMMARY *(continued)*

SERVICE FEE CALCULATION	AMOUNT
Service Fee	$0.00
Service Fee Credit	$0.00
Net Service Fee	$0.00
Excessive Transaction Fees (Above)	$0.00
Total Service Fees	$0.00

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

January 30 2010 through February 26, 2010
Account Number: [redacted]

Let Chase help manage your cash while you manage your business – and get $25!

ACCESS YOUR MONEY FASTER
Manage customer payments electronically with Chase Quick Deposit[1] and Economy Lockbox with Image.[2]

MAKE PAYMENTS MORE EASILY
Manage how you pay your bills, vendors and employees with Online Wire Transfers[3] and ACH Payments.[4]

Call 1-877-212-2741 to complete a Chase cash management review – and get $25!

Offer valid from 3/1/10 through 4/30/10.Offer not available in combination with any other offer. Limit one cash management review reward per customer, per calendar year. Reward will be automatically deposited into your Chase business checking account within 10 business days upon completion of your review with a Chase Business Banking Cash Management Specialist. Reward is considered miscellaneous income and may be reported on IRS Form 1099-MISC.

[1]Quick Deposit: Your Chase Quick Deposit enrollment is subject to approval and availability in your area. If approved, a two year contract is required. A monthly maintenance fee of $50 applies and includes one free Panini 50-50 scanner. Additional scanners are available for purchase at a one-time charge of $885 per scanner. A cancellation fee of $500 applies if the service is discontinued prior to the end of the two year contract term. All check and deposit item fees will be billed and processed according to your respective account maintenance fee schedule.

[2]Economy Lockbox with Image: Lockbox service enrollment is subject to approval and availability in your area. Fees and other eligibility restrictions may apply.

[3]Online Wire Transfers: You will need to sign up for this service in advance and fees may apply. Some restrictions may apply. International Wire Transfers can be sent in a recipient's local currency or U.S. Dollars. Additional fees charged to Chase by funds transfer systems of intermediary, receiving and beneficiary banks will be charged to the customer's account for international wire transfers sent in U.S. Dollars. Same-Day Cutoff time: Wire Transfer requests must be received by Chase by the Cutoff Time on bank Business Days for same day transfers of funds. Cutoff Time: 4:00 PM ET except for Private Banking clients scheduling wires from a Florida or California account. The Cutoff Time for Private Banking clients scheduling wires from a Florida account is 12:00 PM ET and California account is 3:00 PM ET.

[4]ACH Payments: Products and services described as well as associated fees, charges, interest rates and balance requirements may differ among different geographic locations. Not all products and services are offered at all locations.

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 260180
Baton Rouge, LA 70826-0180

February 27, 2010 through March 31, 2010
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

We added a new section to your statement.....

To make it easier to keep track of your transactions, we added a new section to your statement called "Electronic Withdrawals". This section provides the transaction details for things like automatic payments, account transfers and online bill payments, along with other electronic transactions.

In addition we also:
* Added the "Electronic Withdrawals" to your Checking Summary at the beginning of your statement
* Renamed the "Other Withdrawals, Fees and Charges" section to "Fees and Other Withdrawals".

Please call us at 1-800-CHASE38 (1-800-242-7338) if you have any questions.

CHECKING SUMMARY

Chase Free Business Checking

	INSTANCES	AMOUNT
Beginning Balance		**$47,819.62**
Deposits and Additions	37	30,017.00
Checks Paid	7	- 3,018.19
ATM & Debit Card Withdrawals	25	- 2,355.18
Electronic Withdrawals	8	- 278.94
Fees and Other Withdrawals	1	- 629.23
Ending Balance	**78**	**$71,655.08**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
03/01	American Express Settlement [redacted]	$200.00
03/02	Fdms-Settlement Deposit [redacted]	100.00
03/02	Fdms-Settlement Deposit [redacted]	100.00
03/02	Transfirst Llc Bkcd Stlmt [redacted]	100.00
03/04	American Express Settlement [redacted]	400.00
03/04	Fdms-Settlement Deposit [redacted]	50.00
03/09	Deposit 45798208 [redacted]	5,800.00
03/09	Fdms-Settlement Deposit [redacted]	100.00
03/10	Fdms-Settlement Deposit [redacted]	50.00

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

February 27, 2010 through March 31, 2010
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

February 27, 2010 through March 31, 2010
Account Number [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
03/11	Fdms-Settlement Deposit	25 00
03/15	Deposit [redacted]	1,360 00
03/15	Fdms-Settlement Deposit	199 00
03/16	Fdms-Settlement Deposit	5 00
03/17	Fdms-Settlement Deposit	50 00
03/18	Fdms-Settlement Deposit	100 00
03/19	Fdms-Settlement Deposit	50 00
03/22	Deposit [redacted]	764 00
03/22	Fdms-Settlement Deposit	40 00
03/23	Fdms-Settlement Deposit	395 00
03/23	Fdms-Settlement Deposit	260 00
03/23	Transfirst Llc Bkcd Stlmt 3	100 00
03/24	Fdms-Settlement Deposit	475 00
03/25	Fdms-Settlement Deposit	2,325 00
03/25	American Express Settlemen	25 00
03/26	Deposit [redacted]	5,699 00
03/26	Fdms-Settlement Deposit	2,760 00
03/26	David Schwaikert David Sch	145 00
03/29	Fdms-Settlement Deposit	2,010 00
03/29	American Express Settlemen	350 00
03/29	American Express Settlemen	275 00
03/30	Deposit [redacted]	2,110 00
03/30	Transfirst Llc Bkcd Stlmt	1,000 00
03/30	Fdms-Settlement Deposit	595 00
03/30	Fdms-Settlement Deposit	405 00
03/30	American Express Settlement	200 00
03/30	Fdms-Settlement Deposit	155 00
03/31	American Express Settlement	200 00
Total Deposits and Additions		**$30,017.00**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted] ^		03/12	$30 00
^		03/09	50 00
^		03/05	598 29
^		03/18	1,371 85
^		03/24	826 24
^		03/26	42 00
* ^		03/29	100 00
Total Checks Paid			**$3,018.19**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements

^ An image of this check may be available for you to view on Chase.com

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

February 27, 2010 through March 31, 2010
Account Number: [redacted]

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
03/01	Card Purchase 02/26 Cobblestone Post Scottsdale AZ Card [redacted]	$220.00
03/01	Card Purchase 02/27 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	11.95
03/03	Card Purchase With Pin 03/03 Usps 0363852089 Phoenix AZ Card [redacted]	316.80
03/03	Card Purchase 03/01 Icontact Corporation 650-6222200 NC Card [redacted]	109.00
03/03	Card Purchase 03/01 Icontact Corporation 650-6222200 NC Card [redacted]	29.00
03/03	Card Purchase 03/02 Fedex Kinko's #2314 Scottsdale AZ Card [redacted]	9.65
03/04	Card Purchase 03/03 Domainhosting Srvcs 480-6242500 AZ Card [redacted]	259.31
03/05	Card Purchase 03/04 Fedex Kinko's #2314 Scottsdale AZ Card [redacted]	12.67
03/08	Card Purchase 03/05 Cobblestone Post Scottsdale AZ Card [redacted]	246.40
03/09	Card Purchase With Pin 03/09 Sprouts #6241 Scottsdale AZ Card [redacted]	184.06
03/15	Card Purchase 03/13 Domainhosting Srvcs 480-6242500 AZ Card [redacted]	52.85
03/15	Card Purchase 03/13 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	26.16
03/15	Card Purchase 03/11 Fedex Kinko's #2314 Scottsdale AZ Card [redacted]	19.31
03/16	Card Purchase With Pin 03/16 Safeway 1291 Fountain Hill AZ Card [redacted]	46.61
03/19	Card Purchase 03/18 Cobblestone Post Scottsdale AZ Card [redacted]	472.40
03/19	Card Purchase 03/19 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	14.93
03/23	Card Purchase 03/22 Fedex Kinko's #2314 Scottsdale AZ Card [redacted]	6.43
03/24	Card Purchase 03/22 Icontact Corporation 650-6222200 NC Card [redacted]	163.19
03/25	Card Purchase 03/25 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	46.45
03/26	Card Purchase 03/26 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
03/29	Card Purchase 03/27 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
03/29	Card Purchase 03/29 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
03/29	Card Purchase 03/28 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
03/30	Card Purchase 03/30 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
03/31	Card Purchase 03/31 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
Total ATM & Debit Card Withdrawals		**$2,355.18**

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
03/02	Ogiv Debits Ogiv Debit Okc0 [redacted]	$100.33
03/02	Ogiv Debits Ogiv Debit Okc0 [redacted]	38.85
03/02	American Express Collection [redacted]	4.95
03/03	Fdms-Settlement Discount [redacted]	21.15
03/03	Fdms-Settlement Fee [redacted]	7.75
03/03	Fdms-Settlement Interchng [redacted]	4.93
03/05	American Express Axp Disco [redacted]	51.65
03/10	Transfirst Llc Discount [redacted]	49.33
Total Electronic Withdrawals		**$278.94**

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
03/08	Withdrawal	$625.23
Total Fees & Other Withdrawals		**$625.23**

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

February 27, 2010 through March 31, 2010
Account Number [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
03/01	$47,887.67	03/17	54,396.99
03/02	48,043.54	03/18	53,078.72
03/03	47,545.26	03/19	52,731.39
03/04	47,759.05	03/22	53,535.39
03/05	47,096.24	03/23	54,283.90
03/08	46,220.61	03/24	53,769.53
03/09	52,885.65	03/25	56,073.08
03/10	52,886.32	03/26	64,605.08
03/11	52,911.32	03/29	67,050.08
03/12	52,861.32	03/30	71,485.08
03/15	54,341.29	03/31	71,655.08
03/16	54,346.99		

SERVICE CHARGE SUMMARY

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	8
Deposits / Credits	5
Deposited Items	74
Transaction Total	87

SERVICE FEE CALCULATION	AMOUNT
Service Fee	$0.00
Service Fee Credit	$0.00
Net Service Fee	$0.00
Excessive Transaction Fees (Above)	$0.00
Total Service Fees	$0.00

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

February 27, 2018 through March 31, 2018
Account Number: [redacted]

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P.O Box 260180
Baton Rouge, LA 70826-0180

April 01, 2018 through April 30, 2018
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

We added a new section to your statement.....

To make it easier to keep track of your transactions, we added a new section to your statement called "Electronic Withdrawals". This section provides the transaction details for things like automatic payments, account transfers and online bill payments, along with other electronic transactions.

In addition we also:

- Added the "Electronic Withdrawals" to your Checking Summary at the beginning of your statement.
- Renamed the "Other Withdrawals, Fees and Charges" section to "Fees and Other Withdrawals".

Please call us at 1-800-CHASE38 (1-800-242-7338) if you have any questions.

CHECKING SUMMARY

Chase Free Business Checking

	INSTANCES	AMOUNT
Beginning Balance		$71,855.08
Deposits and Additions	41	13,872.95
Checks Paid	13	- 11,175.23
ATM & Debit Card Withdrawals	41	- 5,077.53
Electronic Withdrawals	9	- 900.87
Ending Balance	104	$68,374.00

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
04/01	Fdms-Settlement Deposit [redacted]	$1,550.00
04/02	Fdms-Settlement Deposit [redacted]	915.00
04/02	David Schweikert David Schw G [redacted]	300.00
04/02	American Express Settlement [redacted]	225.00
04/05	Deposit [redacted]	4,120.00
04/05	Deposit [redacted]	35.00
04/05	Fdms-Settlement Deposit [redacted]	725.00
04/05	American Express Settlement [redacted]	250.00
04/05	American Express Settlement [redacted]	200.00
04/06	Fdms-Settlement Deposit [redacted]	230.00

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

April 01, 2010 through April 30, 2010
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS:Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account

JPMorgan Chase Bank, N.A. Member FDIC

CHASE

April 01, 2010 through April 30, 2010
Account Number: [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
04/06	Transfirst Llc Bkcd Stlmt [redacted]	200.00
04/06	Fdms-Settlement Deposit [redacted]	25.00
04/06	American Express Settlement [redacted]	25.00
04/07	Fdms-Settlement Deposit [redacted]	50.00
04/08	Deposit [redacted]	800.00
04/08	David Schweikert David Schw Gms-[redacted]	150.00
04/08	Fdms-Settlement Deposit [redacted]	125.00
04/09	Fdms-Settlement Deposit [redacted]	100.00
04/13	Fdms-Settlement Deposit [redacted]	150.00
04/13	Fdms-Settlement Deposit [redacted]	75.00
04/14	Miscellaneous Fee Reversal [redacted]	27.95
04/14	Fdms-Settlement Deposit [redacted]	25.00
04/16	Deposit [redacted]	400.00
04/16	Fdms-Settlement Deposit [redacted]	505.00
04/19	American Express Settlemen [redacted]	150.00
04/19	Fdms-Settlement Deposit [redacted]	100.00
04/20	Fdms-Settlement Deposit [redacted]	275.00
04/20	American Express Settlemen [redacted]	15.00
04/21	Deposit [redacted]	845.00
04/21	American Express Settlemen [redacted]	100.00
04/21	Fdms-Settlement Deposit [redacted]	25.00
04/22	Transfirst Llc Bkcd Stlmt 3[redacted]	50.00
04/26	Fdms-Settlement Deposit [redacted]	50.00
04/27	Fdms-Settlement Deposit [redacted]	250.00
04/27	Fdms-Settlement Deposit [redacted]	150.00
04/27	American Express Settlement [redacted]	100.00
04/27	Transfirst Llc Bkcd Stlmt [redacted]	50.00
04/27	Fdms-Settlement Deposit [redacted]	25.00
04/29	Fdms-Settlement Deposit [redacted]	250.00
04/29	American Express Settlement [redacted]	25.00
04/30	Fdms-Settlement Deposit [redacted]	205.00
Total Deposits and Additions		**$13,872.95**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	04/16	588.80
[redacted]	* ^	04/21	40.00
[redacted]	* ^	04/02	1,637.50
[redacted]	^	04/01	920.00
[redacted]	^	04/01	756.36
[redacted]	^	04/09	100.00
[redacted]	^	04/15	1,080.00

CHASE

April 01, 2010 through April 30, 2010
Account Number [redacted]

CHECKS PAID *(continued)*

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	* ^	04/29	825.00
	^	04/22	20.40
	^	04/23	1,805.00
	^	04/27	2,391.96
	^	04/23	1,357.94
	^	04/28	152.25
Total Checks Paid			$11,175.23

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION		AMOUNT
04/01	Card Purchase	03/28 Sams Internet 888-746-7726 AR Card [redacted]	$103.43
04/01	Card Purchase	03/30 Iconitact Corporation 650-6222200 NC Card [redacted]	78.14
04/01	Card Purchase	04/01 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
04/01	Card Purchase	03/31 Cobblestone Post Scottsdale AZ Card [redacted]	264.00
04/02	Card Purchase	04/02 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
04/05	Card Purchase	04/01 Iconitact Corporation 650-6222200 NC Card [redacted]	29.00
04/05	Card Purchase	04/03 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
04/05	Card Purchase	04/04 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
04/05	Card Purchase	04/05 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
04/06	Card Purchase	04/03 Fedex Kinko's #2314 Scottsdale AZ Card [redacted]	12.87
04/06	Card Purchase	04/06 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
04/06	Card Purchase With Pin	04/06 Usps 0383680069 Phoenix AZ Card [redacted]	1,320.00
04/08	Card Purchase	04/07 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
04/08	Card Purchase	04/06 The Home Depot #0465 Phoenix AZ Card [redacted]	85.77
04/08	Card Purchase	04/08 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
04/09	Card Purchase	04/09 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
04/09	Card Purchase	04/08 Fedex Kinko's #2314 Scottsdale AZ Card [redacted]	6.43
04/12	Card Purchase	04/10 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
04/12	Card Purchase	04/11 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
04/12	Card Purchase	04/12 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
04/13	Card Purchase	04/13 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
04/14	Card Purchase	04/14 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
04/14	Card Purchase	04/13 Fedex Kinko's #2314 Scottsdale AZ Card [redacted]	35.39
04/15	Card Purchase	04/15 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.00
04/19	Card Purchase	04/15 Fedex Kinko's #2314 Scottsdale AZ Card [redacted]	8.04
04/19	Card Purchase	04/17 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	43.31
04/19	Card Purchase	04/17 Fedex Kinko's #2314Op Scottsdale AZ Card [redacted]	6.43
04/19	Card Purchase	04/19 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	40.00
04/19	Card Purchase With Pin	04/19 Samsclub #6241 Scottsdale AZ Card [redacted]	464.54
04/20	Card Purchase	04/19 Fedex Kinko's #2314Op Scottsdale AZ Card [redacted]	14.47
04/20	Card Purchase	04/19 Fedex Kinko's #2314Op Scottsdale AZ Card [redacted]	6.43

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

April 01, 2018 through April 30, 2018
Account Number: [redacted]

ATM & DEBIT CARD WITHDRAWALS (continued)

DATE	DESCRIPTION	AMOUNT
04/21	Card Purchase 04/21 Facebook Com*Ads 650-543-7818 CA Card [redacted]	40.00
04/22	Card Purchase 04/21 Usps 03788105333809Q Fountain Hi AZ Card [redacted]	580.80
04/23	Card Purchase With Pin 04/23 Usps 03766105637 Fountain Hi AZ Card [redacted]	733.92
04/25	Card Purchase 04/23 Facebook Com*Ads 650-543-7818 CA Card [redacted]	40.00
04/26	Card Purchase 04/25 Facebook Com*Ads 650-543-7818 CA Card [redacted]	40.00
04/26	Card Purchase With Pin 04/26 Usps 03638110069 Phoenix AZ Card [redacted]	501.60
04/27	Card Purchase 04/26 Fedex Kinko's #2314Qp Scottsdale AZ Card [redacted]	10.10
04/27	Card Purchase 04/27 Facebook Com*Ads 650-543-7818 CA Card [redacted]	40.00
04/29	Card Purchase 04/28 Colorado Casualty IN 877-616-620 CA Card [redacted]	83.35
04/29	Card Purchase 04/29 Facebook Com*Ads 650-543-7818 CA Card [redacted]	39.91
Total ATM & Debit Card Withdrawals		**$5,077.93**

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
04/01	American Express Collection [redacted]	$4.95
04/05	Fdms-Settlement Discount [redacted]	255.03
04/05	American Express Axp Discnt [redacted]	45.40
04/05	Fdms-Settlement Fee [redacted]	43.50
04/05	Fdms-Settlement Interchng [redacted]	4.99
04/06	Qpiv Debits Qpiv Debit Ok [redacted]	408.52
04/06	Qpiv Debits Qpiv Debit Ok [redacted]	6.63
04/12	Transfirst Llc Discount [redacted]	87.35
04/12	Gulf Managemc(X) Operations [redacted]	4.50
Total Electronic Withdrawals		**$900.87**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
04/01	$71,048.20	04/16	74,994.47
04/02	70,820.70	04/19	74,682.15
04/03	75,642.78	04/20	74,951.25
04/06	74,344.76	04/21	75,841.25
04/07	74,394.76	04/22	75,290.05
04/08	75,323.99	04/23	71,393.19
04/09	75,287.58	04/26	70,861.59
04/12	75,105.71	04/27	69,994.51
04/13	75,300.71	04/28	69,842.26
04/14	75,288.27	04/29	68,169.00
04/15	74,178.27	04/30	68,374.00

CHASE

April 01, 2010 through April 30, 2010
Account Number: [redacted]

SERVICE CHARGE SUMMARY

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	15
Deposits / Credits	5
Deposited Items	38
Transaction Total	58

SERVICE FEE CALCULATION	AMOUNT
Service Fee	$0.00
Service Fee Credit	$0.00
Net Service Fee	$0.00
Excessive Transaction Fees (Above)	$0.00
Total Service Fees	$0.00

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 260180
Baton Rouge, LA 70826-0180

May 01, 2018 through May 28, 2018
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

IMPORTANT NOTICE ABOUT YOUR ACCOUNT

We strictly prohibit the use of your accounts to conduct any transactions involving unlawful Internet gambling or any other illegal activity. This includes accepting or receiving any funds or deposits to your accounts from unlawful Internet gambling or other illegal activity. If you have any questions, please call us at 1-800-CHASE38 (1-800-242-7338).

CHECKING SUMMARY

Chase Free Business Checking

	INSTANCES	AMOUNT
Beginning Balance		$68,374.00
Deposits and Additions	55	36,627.04
Checks Paid	14	- 18,440.93
ATM & Debit Card Withdrawals	34	- 9,312.58
Electronic Withdrawals	8	- 380.80
Fees and Other Withdrawals	1	- 3,929.69
Ending Balance	112	$72,338.04

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
05/03	Deposit 32068139	$685.00
05/03	American Express Settlement [redacted]	150.00
05/03	Fdms-Settlement Deposit [redacted]	125.00
05/04	Fdms-Settlement Deposit [redacted]	775.00
05/04	American Express Settlement [redacted]	50.00
05/04	Fdms-Settlement Deposit [redacted]	30.00
05/04	Fdms-Settlement Deposit [redacted]	25.00
05/05	Deposit 68938746 [redacted]	4,480.00
05/05	Fdms-Settlement Deposit [redacted]	25.00
05/06	Fdms-Settlement Deposit [redacted]	250.00
05/06	American Express Settlement [redacted]	25.00
05/07	Fdms-Settlement Deposit [redacted]	810.00

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

May 01, 2010 through May 28, 2010
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

May 01, 2010 through May 28, 2010
Account Number [redacted]

DEPOSITS AND ADDITIONS *(continued)*

DATE	DESCRIPTION	AMOUNT
05/07	American Express Settlement [redacted]	25.00
05/10	Fdms-Settlement Deposit [redacted]	485.52
05/10	American Express Settlement [redacted]	450.00
05/11	Fdms-Settlement Deposit [redacted]	4,520.52
05/11	Fdms-Settlement Deposit [redacted]	1,875.00
05/11	American Express Settlement [redacted]	500.00
05/11	David Schweikert David Schw Gms- [redacted]	400.00
05/11	Fdms-Settlement Deposit [redacted]	50.00
05/12	Fdms-Settlement Deposit [redacted]	550.00
05/12	American Express Settlement [redacted]	145.00
05/13	Deposit [redacted]	1,175.00
05/13	American Express Settlement [redacted]	50.00
05/14	Fdms-Settlement Deposit [redacted]	195.00
05/17	American Express Settlement [redacted]	805.00
05/17	Fdms-Settlement Deposit [redacted]	60.00
05/17	American Express Settlement [redacted]	50.00
05/17	Transfer Llc Bkcd Stmt 333 [redacted]	25.00
05/17	David Schweikert David Schw Gms- [redacted]	25.00
05/18	Fdms-Settlement Deposit [redacted]	700.00
05/18	Fdms-Settlement Deposit [redacted]	400.00
05/18	Transfer Llc Bkcd Stmt 3 [redacted]	365.00
05/18	Fdms-Settlement Deposit [redacted]	350.00
05/18	American Express Settleme [redacted]	175.00
05/19	Deposit [redacted]	3,940.00
05/19	Fdms-Settlement Deposit [redacted]	75.00
05/19	American Express Settleme [redacted]	25.00
05/20	Fdms-Settlement Deposit [redacted]	505.00
05/20	American Express Settleme [redacted]	200.00
05/21	Fdms-Settlement Deposit [redacted]	100.00
05/24	Deposit [redacted]	2,145.00
05/24	American Express Settlement [redacted]	1,400.00
05/24	American Express Settlement [redacted]	350.00
05/24	Fdms-Settlement Deposit [redacted]	275.00
05/24	Transfer Llc Bkcd Stmt [redacted]	100.00
05/25	Fdms-Settlement Deposit [redacted]	200.00
05/25	Transfer Llc Bkcd Stmt [redacted]	100.00
05/25	Fdms-Settlement Deposit [redacted]	25.00
05/26	Fdms-Settlement Deposit [redacted]	125.00
05/26	American Express Settlement [redacted]	50.00
05/27	Deposit [redacted]	5,910.00
05/27	Deposit [redacted]	100.00
05/27	Transfer Llc Bkcd Stmt [redacted]	125.00
05/27	Fdms-Settlement Deposit [redacted]	60.00
Total Deposits and Additions		**$36,627.04**

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

May 01, 2010 through May 28, 2010
Account Number: [redacted]

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	05/03	5135.25
[redacted]	* ^	05/03	100.00
[redacted]	^	05/03	2,600.00
[redacted]	^	05/07	2,400.00
[redacted]	^	05/04	1,123.56
[redacted]	^	05/05	2,079.96
[redacted]	^	05/12	378.70
[redacted]	^	05/10	1,600.00
[redacted]	^	05/10	1,578.74
[redacted]	^	05/21	30.00
[redacted]	^	05/21	2,198.10
[redacted]	^	05/24	100.00
[redacted]	^	05/28	1,251.60
[redacted]	* ^	05/28	2,465.02
Total Checks Paid			**$18,440.93**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com.

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION		AMOUNT
05/03	Card Purchase	05/01 Facebook Com*Ads 650-543-7818 CA Card [redacted]	539.84
05/03	Card Purchase	04/30 Comvoice Phoenix AZ Card [redacted]	550.00
05/03	Card Purchase	05/03 Facebook Com*Ads 650-543-7818 CA Card [redacted]	40.00
05/03	Card Purchase With Pin	05/03 Costco Whse #04 Phoenix AZ Card [redacted]	153.03
05/04	Card Purchase	05/03 Icontact Corporation Durham NC Card [redacted]	149.00
05/05	Card Purchase	05/05 Facebook Com*Ads 650-543-7818 CA Card [redacted]	40.00
05/10	Card Purchase	05/08 Facebook Com*Ads 650-543-7818 CA Card [redacted]	40.00
05/10	Card Purchase	05/09 Facebook Com*Ads 650-543-7818 CA Card [redacted]	40.00
05/10	Card Purchase	05/08 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	18.34
05/10	Card Purchase With Pin	05/09 Costco Whse #10 Phoenix AZ Card [redacted]	743.75
05/11	Card Purchase	05/10 Icontact Corporation Durham NC Card [redacted]	170.64
05/11	Card Purchase	05/10 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	9.17
05/11	Card Purchase	05/11 Facebook Com*Ads 650-543-7818 CA Card [redacted]	40.00
05/12	Card Purchase With Pin	05/12 Costco Whse #04 Phoenix AZ Card [redacted]	9.92
05/13	Card Purchase	05/13 Facebook Com*Ads 650-543-7818 CA Card [redacted]	38.45
05/14	Card Purchase	05/14 Internarkets Inc 07032421922 VA Card [redacted]	2,500.00
05/14	Card Purchase With Pin	05/14 Cobblestone POS Scottsdale AZ Card [redacted]	70.40
05/17	Card Purchase	05/13 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	18.34
05/17	Card Purchase	05/15 Facebook Com*Ads 650-543-7818 CA Card [redacted]	40.00
05/17	Card Purchase	05/17 Facebook Com*Ads 650-543-7818 CA Card [redacted]	40.00
05/19	Card Purchase	05/19 Facebook Com*Ads 650-543-7818 CA Card [redacted]	40.00
05/20	Card Purchase	05/19 Icontact Corporation Durham NC Card [redacted]	591.00

CHASE

May 01, 2010 through May 28, 2010
Account Number: 000003163184224

ATM & DEBIT CARD WITHDRAWALS *(continued)*

DATE	DESCRIPTION	AMOUNT
05/20	Card Purchase With Pin 05/20 Usps 0376610637 Fountain Hill AZ Card [redacted]	633.60
05/21	Card Purchase 05/21 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	40.00
05/21	Card Purchase 05/20 Qwestcomm TN480 800-603-6000 CO Card [redacted]	91.67
05/21	Card Purchase 05/20 Usps-Noma 651000 Kansas City MO Card [redacted]	681.00
05/21	Card Purchase With Pin 05/21 Samsclub 6241 Scottsdale AZ Card [redacted]	2,540.00
05/24	Card Purchase 05/21 Yahoo. *Flickr Pro U 866-562-722 CA Card [redacted]	24.95
05/24	Card Purchase 05/21 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	39.68
05/24	Card Purchase 05/23 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	39.78
05/25	Card Purchase 05/25 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	39.97
05/26	Card Purchase 05/25 Donationpages.Com 202-3878583 DC Card [redacted]	50.00
05/28	Card Purchase 05/27 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	30.81
05/28	Card Purchase 05/27 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	18.34
Total ATM & Debit Card Withdrawals		**$9,912.59**

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
05/03	American Express Collection [redacted]	54.95
05/04	Qgiv Debits Qgiv Debit Ch [redacted]	125.11
05/04	Fdms-Settlement Discount [redacted]	87.28
05/04	Fdms-Settlement Fee [redacted]	21.50
05/04	Fdms-Settlement Interchng [redacted]	18.19
05/05	American Express Axp Discn [redacted]	34.15
05/10	Transfirst Llc Discount [redacted]	88.64
05/11	Gulf Manageme(X) Operations [redacted]	1.00
Total Electronic Withdrawals		**$380.80**

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
05/13	Withdrawal	$3,928.69
Total Fees & Other Withdrawals		**$3,928.69**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
05/03	$65,710.93	05/14	64,868.80
05/04	65,066.31	05/17	65,733.46
05/05	65,497.16	05/18	67,733.46
05/06	67,692.20	05/19	71,733.46
05/07	66,127.20	05/20	71,212.96
05/10	62,553.25	05/21	65,432.19
05/11	59,677.96	05/24	69,498.78
05/12	69,984.04	05/25	69,783.81
05/13	67,242.20	05/26	69,908.81

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-211
GROUP ID G29Jun18-211

CHASE

May 01, 2018 through May 29, 2018
Account Number: [redacted]

DAILY ENDING BALANCE *(continued)*

DATE	AMOUNT	DATE	AMOUNT
05/27	75,103.81	05/28	72,338.04

SERVICE CHARGE SUMMARY

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	15
Deposits / Credits	7
Deposited Items	113
Transaction Total	**135**

SERVICE FEE CALCULATION	AMOUNT
Service Fee	$0.00
Service Fee Credit	$0.00
Net Service Fee	**$0.00**
Excessive Transaction Fees (Above)	$0.00
Total Service Fees	**$0.00**

CHASE

May 01, 2010 through May 28, 2010
Account Number [redacted]

Enroll in Chase Quick Deposit Today And Save 50% on Your Monthly Fee – A $300 Savings Each Year![1]

Deposit Checks Online – Right From Your Desk!
Key Advantages:

- Scan paper checks with a FREE scanner provided by Chase, and the electronic images are deposited directly into your Chase business checking account.
- All transactions are secure and can be viewed on Chase Online℠ for Business.*
- Reduce paperwork with online storage of check images and transaction history.
- No limit on the number of deposits that can be made.
- Scan up to 150 checks in a single deposit.[2]
- Save time and money with 24/7 convenience.

Plus, Get a FREE Check Scanner – An $885 Value!

Save $25 per month on the Chase Quick Deposit monthly fee when you enroll by July 31, 2010. That's a $300 savings per year![1]

Visit chase.com/QuickDep25 to learn more and enroll.

*Must be enrolled in Chase Online to use Chase Quick Deposit.
[1] Offer valid through 7/31/10 and limited to one enrollment per customer. Offer is not transferable and not available to customers who either have used or currently use Chase Quick Deposit. Your Quick Deposit monthly maintenance fee will be reduced to $25.00/month rather than the full $50.00/month after confirmation of online enrollment during the first two-year contract.
[2] Only U.S. dollar checks drawn on a U.S. financial institution accepted for deposit.
Your Chase Quick Deposit enrollment is subject to approval and availability in your area. If approved, a two-year contract is required. A monthly maintenance fee of $25 applies and includes one free Panini 50-50 scanner. Additional scanners are available for purchase at a one-time charge of $885 per scanner. A cancellation fee of $500 applies if the service is discontinued prior to the end of the two-year contract term. All check and deposit item fees will be billed and processed according to your respective account maintenance fee schedule. Certain fees and restrictions apply.
JPMorgan Chase is licensed under U.S. Patent Numbers 5,910,988 and 6,032,137.
For more information about Quick Deposit, or for help with enrollment, call now. Our Cash Management Specialists are available at 1-877-212-2741 from 8AM to 7PM ET.

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29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

May 01, 2010 through May 28, 2010
Account Number: [redacted]

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P.O. Box 260180
Baton Rouge, LA 70826-0180

May 29, 2018 through June 30, 2018
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

IMPORTANT NOTICE ABOUT YOUR ACCOUNT

We strictly prohibit the use of your accounts to conduct any transactions involving unlawful Internet gambling or any other illegal activity. This includes accepting or receiving any funds or deposits to your accounts from unlawful Internet gambling or other illegal activity. If you have any questions, please call us at 1-800-CHASE38 (1-800-242-7338).

CHECKING SUMMARY

Chase Free Business Checking

	INSTANCES	AMOUNT
Beginning Balance		**$72,338.94**
Deposits and Additions	27	59,999.55
Checks Paid	8	- 15,540.58
ATM & Debit Card Withdrawals	13	- 5,481.91
Electronic Withdrawals	8	- 1,070.01
Fees and Other Withdrawals	1	- 50.00
Ending Balance	**57**	**$110,195.09**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION		AMOUNT
06/01	American Express Settlement	[redacted]	$3,025.00
06/04	Deposit		3,688.00
06/04	Deposit		536.15
06/04	Transfirst Llc Bkcd Stmt		25.00
06/04	Fdms-Settlement Deposit		10.00
06/07	American Express Settlement		125.00
06/08	Transfirst Llc Bkcd Stmt		200.00
06/11	Transfirst Llc Bkcd Stmt		100.00
06/11	American Express Settlement		25.00
06/15	Transfirst Llc Bkcd Stmt		1,000.00
06/15	Transfirst Llc Bkcd Stmt		350.00
06/16	Deposit		19,175.00

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

May 29 2018 through June 30 2018
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $ _____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS:Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on the statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

May 29, 2018 through June 29, 2018
Account Number: [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
06/16	Deposit	4,857.76
06/18	Transfirst Llc Ekxd Stmt [redacted]	1,325.00
06/23	Deposit [redacted]	10,210.00
06/23	Deposit [redacted]	601.00
06/23	Deposit [redacted]	264.00
06/24	Transfirst Llc Ekxd Stmt [redacted]	1,875.00
06/25	Transfirst Llc Ekxd Stmt [redacted]	25.00
06/28	American Express Settlement [redacted]	60.00
06/28	Fdms-Settlement Deposit [redacted]	25.00
06/29	Deposit [redacted]	3,301.82
06/29	Deposit [redacted]	3,431.80
06/29	Deposit [redacted]	3,409.00
06/29	Transfirst Llc Ekxd Stmt [redacted]	100.00
06/29	American Express Settlement [redacted]	25.00
06/30	Transfirst Llc Ekxd Stmt [redacted]	210.00
Total Deposits and Additions		**$59,999.55**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	06/01	520.40
[redacted]	* ^	06/07	2,228.85
[redacted]	^	06/10	3,740.00
[redacted]	^	06/10	1,583.53
[redacted]	^	06/10	2,618.38
[redacted]	^	06/11	5,197.23
[redacted]	^	06/14	66.11
[redacted]	* ^	06/29	86.08
Total Checks Paid			**$15,540.58**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION		AMOUNT
06/01	Card Purchase	05/28 Paypal *Speakupamer 4029357733 CA Card [redacted]	580.00
06/01	Card Purchase	05/29 Meetup.Com 8776338870 NY Card [redacted]	72.00
06/02	Card Purchase	06/02 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	18.00
06/08	Card Purchase	06/08 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	20.91
06/09	Card Purchase	06/07 Websitehostingbill.C 04056037515 OK Card [redacted]	99.00
06/14	Card Purchase	06/12 Friends of T/Nra #31 Fairfax VA Card [redacted]	80.00
06/14	Card Purchase	06/14 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	6.73
06/21	Card Purchase	06/21 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	5.45

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

May 29, 2018 through June 30, 2018
Account Number: [redacted]

ATM & DEBIT CARD WITHDRAWALS (continued)

DATE	DESCRIPTION		AMOUNT
06/24	Card Purchase	06/23 Cobblestone Post Scottsdale AZ Card [redacted]	29.00
06/25	Card Purchase	06/24 Lambchops Studios 05022750600 AZ Card [redacted]	30.00
06/26	Card Purchase	06/25 Online Labels 0888752235 FL Card [redacted]	43.28
06/28	Card Purchase	06/26 Usps Postal 9566100Q Kansas City MO Card [redacted]	4,929.00
06/29	Card Purchase	06/29 Facebook.Com*Ads 650-543-7618 CA Card [redacted]	7.54
Total ATM & Debit Card Withdrawals			**$5,481.91**

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
06/01	American Express Collection [redacted]	54.95
06/02	Qgiv Debits Qgiv Debit Qk [redacted]	385.79
06/03	Fdms-Settlement Discount [redacted]	311.28
06/03	Fdms-Settlement Fee [redacted]	44.25
06/03	Fdms-Settlement Interchng [redacted]	8.02
06/07	American Express Axp Discnt [redacted]	234.04
06/10	Transfirst Llc Discount [redacted]	77.18
06/11	Gulf Managemo(X) Operations [redacted]	3.50
Total Electronic Withdrawals		**$1,070.01**

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
06/18	Deposit Error Correction Decrease	$50.00
Total Fees & Other Withdrawals		**$50.00**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
06/01	$75,185.69	06/15	64,521.04
06/02	74,780.90	06/16	89,503.82
06/03	74,417.35	06/18	89,828.82
06/04	78,676.50	06/21	69,822.37
06/07	76,338.61	06/23	100,917.37
06/08	76,517.70	06/24	102,763.37
06/09	76,416.70	06/25	102,698.37
06/10	68,399.61	06/28	97,811.09
06/11	63,323.88	06/29	109,985.09
06/14	63,171.04	06/30	110,195.09

Page 4 of 6

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-211
GROUP ID G29Jun18-211

CHASE

May 29, 2010 through June 30, 2010
Account Number: [redacted]

SERVICE CHARGE SUMMARY

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	8
Deposits / Credits	10
Deposited Items	161
Transaction Total	179

SERVICE FEE CALCULATION	AMOUNT
Service Fee	$0.00
Service Fee Credit	$0.00
Net Service Fee	$0.00
Excessive Transaction Fees (Above)	$0.00
Total Service Fees	$0.00

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

May 29, 2010 through June 30, 2010
Account Number: [redacted]

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29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 260180
Baton Rouge, LA 70826-0180

July 01, 2010 through July 30, 2010
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center	1-800-242-7338
Hearing impaired	1-800-242-7383
Para Espanol	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase Free Business Checking

	INSTANCES	AMOUNT
Beginning Balance		$110,195.09
Deposits and Additions	18	50,066.15
Checks Paid	22	- 54,772.38
ATM & Debit Card Withdrawals	17	- 9,072.13
Electronic Withdrawals	11	- 73,878.06
Fees and Other Withdrawals	7	- 18,397.65
Ending Balance	75	$4,141.02

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
07/06	Transfirst Llc Bkcd Stmt [redacted]	5800.00
07/06	American Express Settlement [redacted]	250.00
07/07	Deposit [redacted]	4,680.43
07/07	Deposit	3,185.00
07/07	Transfirst Llc Bkcd Stmt [redacted]	2,400.00
07/07	Fdms-Settlement Deposit [redacted]	10.00
07/19	Deposit [redacted]	14,438.32
07/19	Deposit	3,515.00
07/22	Transfirst Llc Bkcd Stmt [redacted]	310.00
07/23	Transfirst Llc Bkcd Stmt [redacted]	25.00
07/26	American Express Settlement [redacted]	2,000.00
07/26	Transfirst Llc Bkcd Stmt [redacted]	185.00
07/26	American Express Settlement [redacted]	25.00
07/27	Deposit 42437007	2,945.00
07/27	Deposit 42437001	1,247.20

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

July 01, 2010 through July 30, 2010
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new account(s)) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

July 01, 2018 through July 30, 2018
Account Number: [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
07/27	Transfer From Chk Xxxxxx[redacted]	14,000.00
07/27	Fdms-Settlement Deposit [redacted]	25.00
07/27	American Express Settlement [redacted]	25.00
Total Deposits and Additions	[redacted]	$50,069.13

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]		07/12	$100.00
		07/02	237.74
		07/01	21.71
		07/01	90.29
		07/02	1,225.80
		07/06	1,250.00
		07/06	269.39
		07/07	4,506.40
		07/08	1,300.00
		07/15	748.02
		07/19	1,660.00
		07/20	23,580.00
		07/22	3,432.80
		07/22	1,308.80
		07/23	7,480.00
		07/21	85.00
		07/23	587.95
		07/26	137.97
		07/22	4,096.80
		07/27	2,020.00
		07/21	593.91
		07/23	22.80
Total Checks Paid			$54,772.38

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com.

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION		AMOUNT
07/02	Card Purchase	07/01 Icontact Corporation Durham NC Card [redacted]	$529.00
07/06	Card Purchase	07/02 Usps-Noms 66100Q Kansas City MO Card [redacted]	4,929.00
07/06	Card Purchase	07/05 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	14.30
07/07	Card Purchase	07/06 Thediscountprinter.C 03055679850 FL Card [redacted]	435.00
07/08	Card Purchase	07/06 Websitehostingbill.C 04056037515 OK Card [redacted]	99.00
07/12	Card Purchase	07/12 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	1.47

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

July 01, 2018 through July 31, 2018
Account Number: [redacted]

ATM & DEBIT CARD WITHDRAWALS (continued)

DATE	DESCRIPTION	AMOUNT
07/12	Card Purchase With Pin 07/12 Usps 0376610637 Fountain Hill AZ Card [redacted]	680.00
07/14	Card Purchase 07/13 Thediscountprinter.C 0305557850 FL Card [redacted]	170.00
07/14	Card Purchase 07/13 Lambchops Studios 0502279900 AZ Card [redacted]	211.25
07/14	Card Purchase 07/13 Usps 0376659552380 3Op Scottsdale AZ Card [redacted]	23.76
07/14	Card Purchase 07/13 Usps 0376659552383 4Op Scottsdale AZ Card [redacted]	23.76
07/15	Card Purchase 07/14 Cobblestone Post Op Scottsdale AZ Card [redacted]	355.20
07/15	Card Purchase With Pin 07/15 Samsclub #5241 Scottsdale AZ Card [redacted]	91.88
07/19	Card Purchase 07/18 Facebook Com*Ads 650-543-7818 CA Card [redacted]	4.33
07/22	Card Purchase With Pin 07/22 Usps 0376610637 Fountain Hill AZ Card [redacted]	1,265.60
07/23	Recurring Card Purchase 07/21 Vesta AT&T Q 866-8083007 OR Card [redacted]	27.46
07/26	Card Purchase 07/22 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	11.12
Total ATM & Debit Card Withdrawals		**$9,072.13**

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
07/01	07/01 Fedwire Debit Via: Ironstone Bank/Cbd [redacted] A/C: Ironstone Bank Austin TX Ben: Anthem Media Imad: [redacted]	$31,289.38
07/01	American Express Collection [redacted]	4.95
07/02	Ogiv Debits Ogiv Debt.Ov [redacted]	17.91
07/06	Fdms-Settlement Interchng [redacted]	30.88
07/06	American Express Axp Discn [redacted]	7.38
07/06	Fdms-Settlement Fee [redacted]	6.25
07/06	Fdms-Settlement Discount [redacted]	0.88
07/12	Transfirst Llc Discount [redacted]	315.36
07/16	07/16 Fedwire Debit Via: Ironstone Bank/C [redacted] A/C: Anthem Media,Inc Imad: [redacted]	17,633.37
07/19	07/19 Fedwire Debit Via: Johnson Bk AZ Phn/ [redacted] A/C: Mailings Direct Imad: [redacted]	5,125.52
07/26	07/26 Fedwire Debit Via: Ironstone Bank/C [redacted] A/C: Anthem Media Inc Imad: [redacted]	19,448.18
Total Electronic Withdrawals		**$73,878.05**

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
07/01	Outgoing Domestic Wire Fee	$25.00
07/09	07/09 Withdrawal	1,417.65
07/16	Outgoing Domestic Wire Fee	25.00
07/19	Outgoing Domestic Wire Fee	25.00
07/26	Outgoing Domestic Wire Fee	25.00
07/27	07/27 Withdrawal	4,000.00
07/29	07/29 Withdrawal	12,880.00
Total Fees & Other Withdrawals		**$18,397.65**

CHASE

July 01, 2016 through July 30, 2016
Account Number: [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
07/01	$76,783.76	07/16	53,213.55
07/02	76,753.31	07/19	64,354.23
07/06	71,275.23	07/20	40,774.23
07/07	76,609.26	07/21	40,068.32
07/08	75,210.26	07/22	30,304.32
07/09	73,792.61	07/23	22,211.09
07/12	72,495.76	07/26	4,798.82
07/14	72,087.01	07/27	17,021.02
07/15	70,871.93	07/29	4,141.02

SERVICE CHARGE SUMMARY

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	25
Deposits / Credits	6
Deposited Items	84
Transaction Total	**115**

SERVICE FEE CALCULATION	AMOUNT
Service Fee	$0.00
Service Fee Credit	$0.00
Net Service Fee	**$0.00**
Excessive Transaction Fees (Above)	$0.00
Total Service Fees	**$0.00**

CHASE

July 01, 2010 through July 30, 2010
Account Number [redacted]

Enroll in Chase Online℠ for Business and get $15!

Chase Online℠ Fast, Free and Secure Access for your Business!

- Easy Account Access
- Cash Flow Control
- Access Manager℠

Sign up now and get $15!
Sign up at chase.com/online15 by September 30, 2010 and we'll add $15 to your Chase business checking or savings account

Advance sign-up may be required and fees may apply for additional online services. Products and services described as well as associated fees, charges, interest rates and balance requirements may differ among different geographic locations. Not all products or services are offered at all locations.

Offer valid from 8/1/10 through 9/30/10. Offer limited to one enrollment reward/bonus per customer, per calendar year. Offer is not transferable and not available to customers who either have used or currently use Chase Online. Cash bonus will be automatically deposited into your Chase business checking or savings account within 4-6 weeks of online enrollment. Cash bonus is considered miscellaneous income and will be reported on IRS Form 1099-MISC.

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

July 31, 2018 through August 31, 2018
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

The California State Controller may soon issue Registered Warrants to pay certain State obligations. For more information about the Registered Warrants and our policies and conditions for accepting them, please go to www.chase.com/CAWarrants.

CHECKING SUMMARY

Chase Free Business Checking

	INSTANCES	AMOUNT
Beginning Balance		**$4,141.02**
Deposits and Additions	40	155,586.85
Checks Paid	11	- 31,465.57
ATM & Debit Card Withdrawals	22	- 6,092.31
Electronic Withdrawals	11	- 35,999.00
Fees and Other Withdrawals	13	- 42,573.00
Ending Balance	**97**	**$43,597.99**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
08/02	Deposit [redacted]	54,057.76
08/02	Deposit [redacted]	3,710.00
08/02	Transfirst Llc Bkcd Stlmt [redacted]	100.00
08/03	Deposit [redacted]	9,800.00
08/03	Transfirst Llc Bkcd Stlmt [redacted]	500.00
08/04	Fdms-Settlement Deposit [redacted]	10.00
08/05	Deposit [redacted]	3,312.96
08/05	Deposit [redacted]	470.00
08/09	Deposit [redacted]	270.00
08/09	Transfirst Llc Bkcd Stlmt [redacted]	25.00
08/10	Deposit [redacted]	37,667.00
08/12	Deposit [redacted]	5,318.46
08/12	Deposit [redacted]	1,000.00
08/13	Transfirst Llc Bkcd Stlmt [redacted]	50.00
08/15	Deposit [redacted]	16,666.67
08/16	Deposit [redacted]	6,610.00

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

July 31 2010 through August 31, 2010
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____
2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____
4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS:Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

CHASE

July 31, 2010 through August 31, 2010
Account Number: [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
08/16	Deposit [redacted]	980.00
08/16	Deposit [redacted]	187.59
08/17	Deposit [redacted]	5,000.00
08/19	Deposit [redacted]	3,500.00
08/19	Deposit [redacted]	750.00
08/19	Transfer From Chk Xxxxxx [redacted]	3,000.00
08/19	Transfer From Chk Xxxxxx [redacted]	2,000.00
08/20	Deposit [redacted]	835.02
08/20	Deposit [redacted]	75.00
08/20	Transfirst Llc Bkcd Stlmt [redacted]	1,500.00
08/24	Deposit [redacted]	5,000.00
08/24	Transfirst Llc Bkcd Stlmt [redacted]	175.00
08/25	Deposit 707457781	2,445.00
08/26	American Express Settlement [redacted]	25.00
08/27	Transfirst Llc Bkcd Stlmt [redacted]	100.00
08/30	Deposit [redacted]	16,806.39
08/30	Deposit [redacted]	5,050.00
08/30	American Express Settlement [redacted]	25.00
08/31	Deposit [redacted]	10,655.00
08/31	Deposit	1,150.00
08/31	American Express Settlement [redacted]	4,800.00
08/31	Transfirst Llc Bkcd Stlmt [redacted]	790.00
08/31	Transfirst Llc Bkcd Stlmt [redacted]	510.00
08/31	Transfirst Llc Bkcd Stlmt [redacted]	150.00
Total Deposits and Additions		**$155,586.85**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	08/09	$11,500.00
	^	08/04	1,225.80
	^	08/06	46.67
	^	08/12	4,630.14
	^	08/16	1,320.00
	^	08/18	4,223.45
	^	08/20	5,130.00
	^	08/27	185.00
	^	08/25	408.83
	^	08/26	1,010.00
	^	08/30	1,783.68
Total Checks Paid			**$31,465.57**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

^ An image of this check may be available for you to view on Chase.com.

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

July 31, 2018 through August 31, 2018
Account Number: [redacted]

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
08/02	Card Purchase 07/29 Domainthosting Svcs 480-6242500 AZ Card [redacted]	$11.12
08/03	Card Purchase 08/02 Icontact Corporation Durham NC Card [redacted]	529.00
08/03	Card Purchase With Pin 08/03 Usps 0353810069 Phoenix AZ Card [redacted]	280.00
08/04	ATM Withdrawal 08/04 4031 N Scottsdale Rd Scottsdale AZ Card [redacted]	400.00
08/05	Card Purchase 08/05 Websitehostingbill G 0405503751 5 OK Card [redacted]	39.00
08/09	Card Purchase 08/07 Facebook Com*Ads 650-543-7818 CA Card [redacted]	3.95
08/09	ATM Withdrawal 08/07 4031 N Scottsdale Rd Scottsdale AZ Card [redacted]	400.00
08/09	Recurring Card Purchase 08/05 Vesta AT&T Q 866-6083007 OR Card [redacted]	27.48
08/11	Card Purchase With Pin 08/11 Usps 0353810069 Phoenix AZ Card [redacted]	560.00
08/12	Card Purchase With Pin 08/12 Usps 0376810637 Fountain Hil AZ Card [redacted]	100.00
08/12	Card Purchase With Pin 08/12 Usps 0376810637 Fountain Hil AZ Card [redacted]	880.00
08/13	Card Purchase 08/13 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	2.72
08/15	Card Purchase With Pin 08/14 Costco Whse #04 Phoenix AZ Card [redacted]	156.19
08/16	Card Purchase With Pin 08/14 Usps 0353810069 Phoenix AZ Card [redacted]	1,035.00
08/16	Card Purchase With Pin 08/14 Samsclub #6241 Scottsdale AZ Card [redacted]	44.84
08/18	Card Purchase 08/18 Kimt-Am--Kpxq-Am--Sa 0602967000 AZ Card [redacted]	221.10
08/19	Card Purchase With Pin 08/19 Usps 0353810069 Phoenix AZ Card [redacted]	280.00
08/23	Card Purchase 08/22 Icontact Corporation Durham NC Card [redacted]	753.83
08/26	Recurring Card Purchase 08/24 Vesta AT&T Q 866-6083007 OR Card [redacted]	27.48
08/26	Recurring Card Purchase 08/24 Vesta AT&T Q 866-6083007 OR Card [redacted]	27.48
08/30	Card Purchase With Pin 08/28 Sam's Club Scottsdale AZ Card [redacted]	19.33
08/30	Card Purchase With Pin 08/29 Samsclub #6241 Scottsdale AZ Card [redacted]	232.79
Total ATM & Debit Card Withdrawals		**$5,092.31**

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
08/02	American Express Collection [redacted]	$4.56
08/03	Ogiv Debits Ogiv Debit Okc [redacted]	16.59
08/03	Fdms-Settlement Fee [redacted]	5.75
08/03	Fdms-Settlement Discount [redacted]	0.88
08/03	Fdms-Settlement Intercha [redacted]	0.25
08/05	American Express Axp Discnt [redacted]	72.01
08/10	Transfirst Llc Discount [redacted]	250.21
08/11	08/11 Fedwire Debit Via Ironstone Bank/C [redacted] A/C: Anthem Media, Inc Imad: [redacted]	11,570.40
08/17	08/17 Fedwire Debit Via Ironstone Bank/ [redacted] A/C: Anthem Media, Inc Imad: [redacted]	20,805.46
08/20	08/20 Fedwire Debit Via Capital One NA [redacted] A/C: Big Fish A Design Partnership Imad: [redacted]	3,187.50
08/26	Qwest 8004238994 Telephone 480945146812 CCD ID: Phntel5002	85.00
Total Electronic Withdrawals		**$35,999.00**

Page 4 of 8

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

July 31 2010 through August 31 2010
Account Number [redacted]

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
08/03	08/03 Withdrawal	$800.00
08/04	08/04 Withdrawal	1,775.00
08/05	08/05 Withdrawal	1,000.00
08/10	08/10 Withdrawal	1,000.00
08/11	Outgoing Domestic Wire Fee	25.00
08/12	08/12 Withdrawal	11,423.00
08/12	08/12 Withdrawal	2,000.00
08/16	08/14 Withdrawal	2,000.00
08/17	08/17 Withdrawal	18,750.00
08/17	Outgoing Domestic Wire Fee	25.00
08/20	Outgoing Domestic Wire Fee	25.00
08/24	08/24 Withdrawal	750.00
08/25	08/25 Withdrawal	3,000.00
Total Fees & Other Withdrawals		**$42,573.00**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
08/02	$12,002.71	08/17	883.60
08/03	20,670.24	08/18	662.50
08/04	17,279.44	08/19	10,032.50
08/05	19,990.39	08/20	4,100.02
08/06	19,941.72	08/23	3,346.19
08/09	8,206.29	08/24	7,771.19
08/10	44,623.09	08/25	6,807.36
08/11	32,467.68	08/26	5,682.40
08/12	19,753.00	08/27	5,597.40
08/13	19,800.28	08/30	25,542.99
08/16	35,464.06	08/31	43,597.99

SERVICE CHARGE SUMMARY

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	21
Deposits / Credits	24
Deposited Items	101
Transaction Total	146

SERVICE FEE CALCULATION	AMOUNT
Service Fee	$0.00
Service Fee Credit	$0.00
Net Service Fee	**$0.00**
Excessive Transaction Fees (Above)	$0.00
Total Service Fees	**$0.00**

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

July 31, 2010 through August 31, 2010
Account Number [redacted]

ACCEPT ALL MAJOR CREDIT AND DEBIT CARDS WITH CHASE

Chase Paymentech will meet or beat your current processing costs, or give you $250!*

A detailed cost comparison may uncover hidden fees, and show you ways to help reduce expenses and maximize your profits

In addition, you get:

- Customized pricing for your business
- Unparalleled security to help protect your business and your customers
- Easy-to-read statements and reporting
- 24/7 customer support

Don't wait - Offer ends October 31, 2010
Call 1-866-731-1266 today for your free cost comparison!*

Offer Valid 09/01/10 through 10/31/10

*In order to qualify for this offer, prospective merchants must be credit approved and provide at least three (3) months of their most recent processing statements to Chase Paymentech. If Chase Paymentech cannot meet or beat your current processing costs, Chase Paymentech will award $250 within 45 days of the receipt of the statement. Cost comparison will be based on a calculation of the overall cost for comparable services, as determined by the processing statements provided by merchant, and excludes any one time fees. One payment per customer. Not available to existing Chase Paymentech merchants. You may be required to complete an application at time of service enrollment. All applications are subject to credit approval. Merchant services provided by Paymentech, LLC ("Chase Paymentech"), a subsidiary of JPMorgan Chase Bank, N.A.

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P.O Box 659754
San Antonio, TX 78265-9754

September 01, 2010 through September 30, 2010
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase Free Business Checking

	INSTANCES	AMOUNT
Beginning Balance		**$43,597.99**
Deposits and Additions	104	424,745.18
Checks Paid	25	- 363,835.37
ATM & Debit Card Withdrawals	23	- 10,565.28
Electronic Withdrawals	7	- 427.52
Fees and Other Withdrawals	6	- 40,881.00
Ending Balance	**165**	**$52,634.00**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
09/01	Deposit	52,975.00
09/01	American Express Settlement [redacted]	825.00
09/02	Deposit [redacted]	13,081.17
09/02	Transfirst Llc Bkcd Stlmt [redacted]	1,325.00
09/02	Transfirst Llc Bkcd Stlmt [redacted]	610.00
09/03	Deposit	1,195.00
09/03	Deposit [redacted]	250.00
09/03	Deposit [redacted]	20.00
09/07	Deposit [redacted]	4,675.00
09/07	Deposit [redacted]	3,025.00
09/07	Deposit [redacted]	1,000.00
09/07	American Express Settlement [redacted]	3,760.00
09/07	Transfirst Llc Bkcd Stlmt [redacted]	1,530.00
09/07	American Express Settlement [redacted]	300.00
09/07	Transfirst Llc Bkcd Stlmt [redacted]	300.00
09/07	Fdms-Settlement Deposit [redacted]	10.00
09/08	Transfirst Llc Bkcd Stlmt [redacted]	100.00
09/08	Transfirst Llc Bkcd Stlmt [redacted]	10.00

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

September 01, 2010 through September 30, 2010
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

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JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

September 01, 2010 through September 30, 2010
Account Number: [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
09/09	Deposit [redacted]	1,420.00
09/09	Deposit [redacted]	1,250.00
09/09	Deposit [redacted]	595.00
09/10	Deposit [redacted]	23,000.00
09/10	Deposit [redacted]	14,383.73
09/10	Deposit [redacted]	9,600.00
09/10	Deposit [redacted]	4,000.00
09/10	Deposit [redacted]	1,410.00
09/10	Transfirst Llc Bkcd Stmt [redacted]	5,100.00
09/10	Transfirst Llc Bkcd Stmt [redacted]	3,810.00
09/10	Fdms-Settlement Deposit [redacted]	1,050.00
09/13	Deposit [redacted]	6,445.00
09/13	American Express Settlement [redacted]	3,950.00
09/13	Fdms-Settlement Deposit [redacted]	2,215.00
09/13	Transfirst Llc Bkcd Stmt [redacted]	1,055.00
09/14	Deposit [redacted]	8,535.00
09/14	Transfer From Chk Xxxxxx [redacted]	60,000.00
09/14	Fdms-Settlement Deposit [redacted]	16,475.00
09/14	American Express Settlement [redacted]	6,515.00
09/14	Fdms-Settlement Deposit [redacted]	3,900.00
09/14	Transfirst Llc Bkcd Stmt [redacted]	3,509.00
09/14	Transfirst Llc Bkcd Stmt [redacted]	2,700.00
09/14	Fdms-Settlement Deposit [redacted]	905.00
09/15	Deposit [redacted]	2,810.00
09/15	American Express Settlement [redacted]	1,500.00
09/15	Fdms-Settlement Deposit [redacted]	620.00
09/15	Transfirst Llc Bkcd Stmt [redacted]	500.00
09/16	American Express Settlement [redacted]	3,360.00
09/16	Fdms-Settlement Deposit [redacted]	1,225.00
09/17	American Express Settlement [redacted]	200.00
09/17	Fdms-Settlement Deposit [redacted]	160.00
09/17	David Schweikert David Schw Gma [redacted]	140.00
09/20	Deposit [redacted]	17,010.00
09/20	Deposit [redacted]	6,339.09
09/20	Deposit [redacted]	5,675.00
09/20	Deposit [redacted]	160.00
09/20	Fdms-Settlement Deposit [redacted]	2,050.00
09/20	American Express Settlement [redacted]	635.00
09/20	Transfirst Llc Bkcd Stmt [redacted]	200.00
09/20	American Express Settlement [redacted]	100.00
09/21	Deposit 741185408	3,450.00
09/21	Transfirst Llc Bkcd Stmt [redacted]	6,244.00
09/21	Fdms-Settlement Deposit [redacted]	910.00
09/21	Transfirst Llc Bkcd Stmt [redacted]	900.00
09/21	Fdms-Settlement Deposit [redacted]	735.00
09/21	Fdms-Settlement Deposit [redacted]	575.00
09/21	American Express Settlement [redacted]	500.00

CHASE

September 01 2010 through September 30 2010
Account Number [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
09/21	Transfirst Llc Bkcd Stlmt [redacted]	250.00
09/22	Deposit [redacted]	2,425.00
09/22	American Express Settlement [redacted]	1,610.00
09/22	Fdms-Settlement Deposit [redacted]	700.00
09/22	Transfirst Llc Bkcd Stlmt [redacted]	25.00
09/23	Fdms-Settlement Deposit [redacted]	4,355.00
09/23	Transfirst Llc Bkcd Stlmt [redacted]	320.00
09/23	American Express Settlement [redacted]	225.00
09/23	David Schweikert David Schw Gms- [redacted]	75.00
09/24	Deposit [redacted]	17,177.43
09/24	Deposit [redacted]	10,582.00
09/24	Fdms-Settlement Deposit [redacted]	4,950.00
09/24	Transfirst Llc Bkcd Stlmt [redacted]	475.00
09/24	American Express Settlement [redacted]	50.00
09/27	Deposit [redacted]	6,080.00
09/27	Deposit [redacted]	5,750.00
09/27	Deposit [redacted]	4,370.00
09/27	Fdms-Settlement Deposit [redacted]	2,782.76
09/27	American Express Settlement [redacted]	2,535.00
09/27	Transfirst Llc Bkcd Stlmt [redacted]	2,535.00
09/27	American Express Settlement [redacted]	330.00
09/28	Deposit [redacted]	7,100.00
09/28	Deposit [redacted]	2,770.00
09/28	Transfirst Llc Bkcd Stlmt [redacted]	5,749.00
09/28	Fdms-Settlement Deposit [redacted]	2,435.00
09/28	Fdms-Settlement Deposit [redacted]	1,620.00
09/28	Fdms-Settlement Deposit [redacted]	1,350.00
09/28	American Express Settlement [redacted]	735.00
09/29	Deposit [redacted]	10,028.00
09/29	Deposit [redacted]	575.00
09/29	Transfer From Chk Xxxxx [redacted]	10,000.00
09/29	American Express Settlement [redacted]	1,870.00
09/29	Fdms-Settlement Deposit [redacted]	920.00
09/29	David Schweikert David Schw [redacted]	75.00
09/30	Deposit [redacted]	34,035.00
09/30	Transfirst Llc Bkcd Stlmt [redacted]	2,400.00
09/30	Fdms-Settlement Deposit [redacted]	1,209.00
09/30	Transfirst Llc Bkcd Stlmt [redacted]	639.00
09/30	American Express Settlement [redacted]	200.00
Total Deposits and Additions		**$424,745.18**

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

September 01, 2010 through September 30, 2010
Account Number: [redacted]

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	09/01	$100.00
	* ^	09/03	48.57
	^	09/03	21.40
	^	09/03	1,225.60
	^	09/03	617.89
	^	09/08	2,020.00
	^	09/02	9,345.46
	^	09/03	1,168.37
	^	09/02	4,179.22
	^	09/01	5,270.89
	^	09/10	280.02
	^	09/03	3,489.60
	^	09/08	3,025.73
	^	09/10	100,000.00
	^	09/14	850.00
	^	09/14	77,892.33
	^	09/16	1,199.55
	^	09/21	880.00
	^	09/22	5,249.68
	^	09/24	59.15
	*	09/28	11,500.00
	^	09/29	11,057.33
	^	09/30	1,581.23
	^	09/27	2,405.21
	^	09/28	120,348.81
Total Checks Paid			**$363,835.37**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
09/02	Card Purchase With Pin 09/02 Usps 0363810069 Phoenix AZ Card [redacted]	$440.00
09/02	Card Purchase With Pin 09/02 Costco Whse #04 Phoenix AZ Card [redacted]	90.02
09/02	ATM Withdrawal 09/02 4031 N Scottsdale Rd Scottsdale AZ Card [redacted]	400.00
09/03	Card Purchase 09/03 Facebook Com*Ads 650-543-7818 CA Card [redacted]	1.70
09/03	Card Purchase 09/03 Dell Sales & Service 866-393-946 TX Card [redacted]	270.05
09/03	Card Purchase With Pin 09/03 Costco Whse #04 Phoenix AZ Card [redacted]	118.36
09/03	Card Purchase With Pin 09/03 Usps 0363810069 Phoenix AZ Card [redacted]	305.00
09/03	Recurring Card Purchase 09/01 Vesta AT&T Q 866-6083307 OR Card [redacted]	27.48
09/07	ATM Withdrawal 09/04 4031 N Scottsdale Rd Scottsdale AZ Card [redacted]	400.00
09/08	Card Purchase 09/07 Online Labels 0888752235 FL Card [redacted]	30.90
09/09	Card Purchase 09/07 Websitehostingbill C 04056037515 OK Card [redacted]	89.00

CHASE

September 01, 2010 through September 30, 2010
Account Number: [redacted]

ATM & DEBIT CARD WITHDRAWALS (continued)

DATE	DESCRIPTION	AMOUNT
09/09	Card Purchase 09/09 Facebook Com*Ada 650-543-7818 CA Card [redacted]	2.81
09/10	Card Purchase 09/09 Domain/Hosting Svcs 480-6242500 AZ Card [redacted]	20.29
09/16	Card Purchase With Pin 09/16 Sam's Club Scottsdale AZ Card [redacted]	64.34
09/21	Card Purchase With Pin 09/21 Costco Whols #04 Phoenix AZ Card [redacted]	79.72
09/21	Card Purchase With Pin 09/21 Usps 0363810069 Phoenix AZ Card [redacted]	1,768.80
09/22	Card Purchase 09/21 Thediscountprinter.C 03055679850 FL Card [redacted]	440.00
09/22	Card Purchase 09/21 Thediscountprinter.C 03055679850 FL Card [redacted]	125.00
09/22	Card Purchase With Pin 09/22 Usps 0363810069 Phoenix AZ Card [redacted]	748.00
09/23	Card Purchase 09/22 Usps Postal 5156100C0 Kansas City MO Card [redacted]	4,401.00
09/23	Card Purchase With Pin 09/23 Usps 0376640623 Scottsdale AZ Card [redacted]	255.20
09/27	ATM Withdrawal 09/25 4031 N Scottsdale Rd Scottsdale AZ Card [redacted]	400.00
09/30	Card Purchase 09/29 Icontact Corpor Durham NC Card [redacted]	77.60
Total ATM & Debit Card Withdrawals		**$10,565.28**

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
09/01	American Express Collection [redacted]	$4.95
09/02	Qgiv Debits Qgiv Debit Ch [redacted]	15.93
09/03	Fdms-Settlement Fee [redacted]	6.25
09/03	Fdms-Settlement Interchrg [redacted]	0.31
09/03	Fdms-Settlement Discount [redacted]	0.25
09/07	American Express Axp Disch [redacted]	151.52
09/10	Transfirst Llc Discount [redacted]	248.01
Total Electronic Withdrawals		**$427.52**

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
09/08	09/08 Withdrawal	$2,500.00
09/09	04/16/2010 Research ADJ 3035-07SEP10 Debit For $315.00 For A Listing Error IN Your Deposit of 04/16/10. Our Case #3035-07SEP10. An Item For $35.00, Was Listed As $350.00. Deposit Total $400.00. Bundle Total $400.00. Listed Before $25.00.	315.00
09/16	09/16 Withdrawal	20,044.00
09/20	09/20 Withdrawal	1,000.00
09/27	09/27 Withdrawal	16,968.00
09/28	Returned Item Fee For An Unpaid Check #1611 IN The Amount of $11,057.33	34.00
Total Fees & Other Withdrawals		**$40,861.00**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
09/01	$42,022.15	09/08	43,313.10
09/02	42,567.69	09/09	46,161.29
09/03	36,731.55	09/10	7,976.70
09/07	30,779.73	09/13	21,641.70

CHASE

September 01, 2010 through September 30, 2010
Account Number: [redacted]

DAILY ENDING BALANCE *(continued)*

DATE	AMOUNT	DATE	AMOUNT
09/14	45,429.37	09/23	75,758.17
09/15	50,859.37	09/24	100,923.42
09/16	34,138.48	09/27	113,512.97
09/17	34,636.48	09/28	3,399.18
09/20	66,405.57	09/29	15,809.83
09/21	77,241.05	09/30	52,634.00
09/22	75,439.37		

SERVICE CHARGE SUMMARY

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	29
Deposits / Credits	35
Deposited Items	473
Transaction Total	537

SERVICE FEE CALCULATION	AMOUNT
Service Fee	$0.00
Service Fee Credit	$0.00
Net Service Fee	**$0.00**
Excessive Transaction Fees (Above)	$0.00
Total Service Fees	**$0.00**

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

September 01, 2010 through September 30, 2010
Account Number: [redacted]

Your Chase credit card comes with Blueprint℠

Blueprint is a unique set of features that lets you manage your spending and borrowing on your terms.

FULL PAY: Helps you avoid interest.
SPLIT: Pay off large purchases.
FINISH IT: Pay down an existing balance.
TRACK IT: See all your spending.

Start with one or use them all. Blueprint is FREE.
Design your plan today at chase.com/blueprint.
Or call 1-866-BLUEPRINT.

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-211
GROUP ID G29Jun18-211

CHASE
JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265 - 9754

October 01, 2010 through October 29, 2010
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

Take Charge of Your Checking Account to Help Avoid Fees

1. **Sign up for overdraft protection** from your Savings Account or Chase Credit Card*.
2. **Sign up for low balance alerts** at Chase.com/freealerts.
3. **Enroll in Chase Mobile℠** to get your balance by text message at Chase.com/Mobile.
4. **Check your balances and transactions 24/7 at Chase.com**

Want to learn more about managing your checking account?
Visit your local branch and speak to a personal banker today
or visit www.Chase.com/ManageMyAccount

*Overdraft Protection may be subject to credit approval. Fees may apply.

CHECKING SUMMARY

Chase Free Business Checking

	INSTANCES	AMOUNT
Beginning Balance		$52,634.00
Deposits and Additions	158	532,827.48
Checks Paid	20	- 351,695.62
ATM & Debit Card Withdrawals	51	- 9,702.95
Electronic Withdrawals	12	- 7,937.60
Fees and Other Withdrawals	6	- 214,643.57
Ending Balance	**247**	**$1,481.74**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
10/01	Deposit [redacted]	$7,473.47
10/01	Deposit [redacted]	7,325.00
10/01	Deposit [redacted]	6,605.00
10/01	Fcms-Settlement Deposit [redacted]	2,141.00
10/01	Transfirst Llc Bkcd Stmt [redacted]	1,050.00
10/01	American Express Settleme [redacted]	425.00
10/04	Deposit [redacted]	17,650.00
10/04	Deposit [redacted]	5,500.00

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

October 01, 2010 through October 29, 2010
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (for 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

October 01, 2010 through October 29, 2010
Account Number: [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
10/04	Deposit [redacted]	4,550.00
10/04	Deposit [redacted]	3,576.00
10/04	Deposit [redacted]	2,600.00
10/04	Deposit [redacted]	870.00
10/04	Deposit [redacted]	700.00
10/04	American Express Settlement [redacted]	2,128.00
10/04	Fdms-Settlement Deposit [redacted]	1,914.00
10/04	American Express Settlement [redacted]	1,557.00
10/04	Transfirst Llc Bkcd Stlmt [redacted]	800.00
10/05	Deposit [redacted]	1,000.00
10/05	Transfirst Llc Bkcd Stlmt [redacted]	4,924.00
10/05	American Express Settlement [redacted]	2,560.00
10/05	Fdms-Settlement Deposit [redacted]	1,765.00
10/05	Fdms-Settlement Deposit [redacted]	1,623.00
10/05	Fdms-Settlement Deposit [redacted]	1,350.00
10/05	Transfirst Llc Bkcd Stlmt [redacted]	1,165.00
10/05	David Schweikert David Schw [redacted]	125.00
10/06	Deposit [redacted]	1,750.00
10/06	Deposit [redacted]	390.00
10/06	American Express Settlement [redacted]	3,285.00
10/06	Fdms-Settlement Deposit [redacted]	475.00
10/07	Deposit [redacted]	7,075.00
10/07	Fdms-Settlement Deposit [redacted]	975.00
10/07	American Express Settlement [redacted]	100.00
10/08	Deposit [redacted]	4,706.58
10/08	Fdms-Settlement Deposit [redacted]	3,505.00
10/08	Transfirst Llc Bkcd Stlmt [redacted]	550.00
10/08	American Express Settlement [redacted]	50.00
10/12	Deposit [redacted]	19,970.00
10/12	Deposit [redacted]	13,525.00
10/12	Deposit [redacted]	2,700.00
10/12	Deposit [redacted]	270.00
10/12	American Express Settlement [redacted]	3,535.00
10/12	Transfirst Llc Bkcd Stlmt [redacted]	1,577.00
10/12	Fdms-Settlement Deposit [redacted]	1,186.00
10/12	American Express Settlement [redacted]	550.00
10/12	David Schweikert David Schw [redacted]	165.00
10/12	American Express Settlement [redacted]	25.00
10/13	Deposit [redacted]	8,675.00
10/13	Deposit [redacted]	2,000.00
10/13	Deposit [redacted]	1,585.00
10/13	Deposit [redacted]	750.00
10/13	Deposit [redacted]	220.00
10/13	Transfer From Chk Xxxxx [redacted]	8,000.00
10/13	Fdms-Settlement Deposit [redacted]	3,162.00
10/13	Transfirst Llc Bkcd Stlmt [redacted]	3,100.00
10/13	Fdms-Settlement Deposit [redacted]	1,060.00

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

October 01, 2010 through October 23, 2010
Account Number: [redacted]

DEPOSITS AND ADDITIONS *(continued)*

DATE	DESCRIPTION	AMOUNT
10/13	Fdms-Settlement Deposit [redacted]	505.00
10/13	Transfirst Llc Bkcd Stlmt 3 [redacted]	500.00
10/13	Fdms-Settlement Deposit [redacted]	449.58
10/13	American Express Settlement [redacted]	325.00
10/13	Transfirst Llc Bkcd Stlmt [redacted]	85.00
10/14	Deposit [redacted]	6,210.00
10/14	Deposit [redacted]	4,300.00
10/14	Fdms-Settlement Deposit [redacted]	3,769.00
10/14	Transfirst Llc Bkcd Stlmt [redacted]	1,595.00
10/14	American Express Settlement [redacted]	450.00
10/15	Deposit [redacted]	15,785.00
10/15	Deposit [redacted]	4,000.00
10/15	Deposit [redacted]	2,500.00
10/15	Fdms-Settlement Deposit [redacted]	4,492.00
10/15	American Express Settlement [redacted]	1,055.00
10/15	Transfirst Llc Bkcd Stlmt [redacted]	750.00
10/16	Deposit [redacted]	16,350.00
10/18	Deposit [redacted]	8,425.00
10/18	Deposit [redacted]	4,570.00
10/18	Deposit [redacted]	2,500.00
10/18	Deposit [redacted]	2,400.00
10/18	Deposit [redacted]	2,149.00
10/18	Deposit [redacted]	1,264.54
10/18	Deposit [redacted]	1,250.00
10/18	Fdms-Settlement Deposit [redacted]	5,445.00
10/18	American Express Settlement [redacted]	2,235.00
10/18	Transfirst Llc Bkcd Stlmt [redacted]	1,625.00
10/18	American Express Settlement [redacted]	550.00
10/19	Deposit [redacted]	4,550.00
10/19	Deposit [redacted]	1,525.00
10/19	Deposit [redacted]	1,000.00
10/19	Fdms-Settlement Deposit [redacted]	3,173.00
10/19	Fdms-Settlement Deposit [redacted]	2,325.00
10/19	Fdms-Settlement Deposit [redacted]	980.00
10/19	Transfirst Llc Bkcd Stlmt [redacted]	800.00
10/19	Transfirst Llc Bkcd Stlmt [redacted]	480.00
10/19	American Express Settlement [redacted]	400.00
10/19	David Schweikert David Schw [redacted]	145.00
10/20	Deposit [redacted]	5,175.00
10/20	Deposit [redacted]	4,565.00
10/20	Deposit [redacted]	1,894.15
10/20	Deposit [redacted]	1,150.00
10/20	Deposit [redacted]	1,126.00
10/20	American Express Settlement [redacted]	9,500.00
10/20	Transfirst Llc Bkcd Stlmt [redacted]	4,950.00
10/20	Fdms-Settlement Deposit [redacted]	1,100.00
10/21	Deposit [redacted]	8,060.00

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

October 01 2010 through October 29 2010
Account Number [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
10/21	Deposit [redacted]	6,100 00
10/21	Deposit [redacted]	6,050 00
10/21	Deposit [redacted]	1,115.00
10/21	American Express Settlement [redacted]	4,150 00
10/21	Fdms-Settlement Deposit [redacted]	2,735 00
10/22	Deposit [redacted]	12,300 00
10/22	Deposit [redacted]	6,925 00
10/22	Deposit [redacted]	5,885 00
10/22	Deposit [redacted]	5,000 00
10/22	Deposit [redacted]	1,110 00
10/22	Transfirst Llc Bkcd Stlmt [redacted]	5,852 00
10/22	Transfirst Llc Bkcd Stlmt [redacted]	4,847 00
10/22	Fdms-Settlement Deposit [redacted]	3,460 00
10/22	American Express Settlement [redacted]	1,735 00
10/25	Deposit [redacted]	8,050 11
10/25	Deposit [redacted]	2,250 00
10/25	Deposit [redacted]	2,045 00
10/25	Deposit [redacted]	375.00
10/25	American Express Settlement [redacted]	9,975.00
10/25	Fdms-Settlement Deposit [redacted]	4,950 00
10/25	American Express Settlement [redacted]	3,410 00
10/25	Transfirst Llc Bkcd Stlmt [redacted]	500.00
10/25	David Schweikert David Schw Gms [redacted]	200 00
10/26	Deposit [redacted]	7,738.00
10/26	Deposit [redacted]	4,050 00
10/26	Deposit [redacted]	300 00
10/26	Fdms-Settlement Deposit [redacted]	8,541.00
10/26	American Express Settlement [redacted]	6,675 00
10/26	Fdms-Settlement Deposit [redacted]	6,065 00
10/26	Transfirst Llc Bkcd Stlmt [redacted]	3,166.00
10/26	Transfirst Llc Bkcd Stlmt [redacted]	785.00
10/26	Transfirst Llc Bkcd Stlmt [redacted]	456 00
10/26	Fdms-Settlement Deposit [redacted]	385 00
10/27	Deposit [redacted]	18,903 00
10/27	Deposit [redacted]	6,520 00
10/27	Deposit [redacted]	6,150.00
10/27	Deposit [redacted]	3,500.00
10/27	Deposit [redacted]	1,705 00
10/27	Deposit [redacted]	1,000.00
10/27	American Express Settlement [redacted]	2,620 00
10/27	Fdms-Settlement Deposit [redacted]	765 00
10/28	Deposit [redacted]	13,418.53
10/28	Deposit [redacted]	3,400 00
10/28	Deposit [redacted]	2,000.00
10/28	Deposit [redacted]	1,100.00
10/28	Deposit [redacted]	5.00
10/28	Fdms-Settlement Deposit [redacted]	1,735 42

CHASE

October 01, 2010 through October 29, 2010
Account Number: [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
10/28	American Express Settlement [redacted]	580.00
10/29	Deposit [redacted]	2,000.00
10/29	Deposit [redacted]	1,000.00
10/29	Deposit [redacted]	375.00
10/29	Deposit [redacted]	150.00
10/29	Fdms Settlement Deposit [redacted]	2,480.00
10/29	Transfirst Llc Bkcd Stlmt 3[redacted]	650.00
10/29	David Schweikert David Schw[redacted]	375.00
10/29	American Express Settlement [redacted]	50.00
Total Deposits and Additions		**$532,827.48**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	*	10/04	52,972.33
	^	10/04	24.40
	^	10/05	48.67
	^	10/08	880.00
	^	10/12	100.00
	^	10/08	7,924.92
	^	10/18	1,225.80
	* ^	10/20	25.65
	^	10/15	20,000.00
[redacted]	^	10/18	19,994.44
	^	10/19	50,000.00
	^	10/26	3,500.00
	^	10/28	10,000.00
	^	10/21	45,000.00
	^	10/25	45,000.00
	^	10/26	35,000.00
	^	10/28	40,000.00
	^	10/22	35,000.00
	^	10/27	25,000.00
	^	10/29	10,000.00
Total Checks Paid			**$351,695.62**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com.

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

October 01, 2015 through October 29, 2015
Account Number: [redacted]

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
10/01	Card Purchase 09/30 Icontact Corporation Durham NC Card [redacted]	6,127.78
10/04	Card Purchase 10/01 Icontact Corporation Durham NC Card [redacted]	699.00
10/04	Card Purchase 10/01 Comwave Phoenix AZ Card [redacted]	405.95
10/05	Card Purchase 10/04 Online Labels 0886752235 FL Card [redacted]	58.03
10/06	Recurring Card Purchase 10/04 Vpsta AT&T G 866-5083007 OH Card [redacted]	10.49
10/07	Card Purchase 10/06 Wetvisitcestingill C 0459949300 OK Card [redacted]	99.00
10/12	Card Purchase With Pin 10/12 Staples, Inc. Scottsdale AZ Card [redacted]	39.84
10/15	Card Purchase With Pin 10/15 Usps 0376610607/1860 Fountain Hi AZ Card [redacted]	1,518.00
10/16	Card Purchase With Pin 10/16 Usps 0376650600/7242 Scottsdale AZ Card [redacted]	1,320.00
10/18	Card Purchase With Pin 10/17 Staples, Inc. Scottsdale AZ Card [redacted]	12.02
10/20	Card Purchase 10/19 Icontact Corporation 650-6222200 NC Card [redacted]	700.42
10/20	Card Purchase 10/19 Icontact Corporation 650-6222200 NC Card [redacted]	25.52
10/20	Card Purchase 10/19 Icontact Corporation 650-6222200 NC Card [redacted]	2.00
10/20	Card Purchase 10/19 Icontact Corporation 650-6222200 NC Card [redacted]	24.84
10/21	Card Purchase 10/20 Facebook Com*Ads 650-543-7818 CA Card [redacted]	5.55
10/21	Card Purchase 10/20 Icontact Corporation 650-6222200 NC Card [redacted]	24.79
10/21	Card Purchase 10/20 Icontact Corporation 650-6222200 NC Card [redacted]	2.08
10/21	Card Purchase 10/20 Icontact Corporation 650-6222200 NC Card [redacted]	2.00
10/21	Card Purchase 10/20 Icontact Corporation 650-6222200 NC Card [redacted]	25.40
10/21	Card Purchase 10/20 Icontact Corporation 650-6222200 NC Card [redacted]	2.00
10/21	Card Purchase 10/20 Icontact Corporation 650-6222200 NC Card [redacted]	24.74
10/22	Card Purchase 10/21 Icontact Corporation 650-6222200 NC Card [redacted]	25.28
10/22	Card Purchase 10/21 Icontact Corporation 650-6222200 NC Card [redacted]	24.62
10/22	Card Purchase 10/21 Icontact Corporation 650-6222200 NC Card [redacted]	2.00
10/22	Card Purchase 10/21 Icontact Corporation 650-6222200 NC Card [redacted]	24.60
10/22	Card Purchase With Pin 10/22 Costco Whse #0427 Scottsdale AZ Card [redacted]	230.24
10/25	Card Purchase 10/22 Icontact Corporation 650-6222200 NC Card [redacted]	25.17
10/25	Card Purchase 10/22 Icontact Corporation 650-6222200 NC Card [redacted]	2.00
10/25	Card Purchase 10/23 Icontact Corporation 650-6222200 NC Card [redacted]	2.00
10/25	Card Purchase 10/23 Icontact Corporation 650-6222200 NC Card [redacted]	24.48
10/25	Card Purchase 10/23 Icontact Corporation 650-6222200 NC Card [redacted]	25.10
10/25	Card Purchase 10/24 Icontact Corporation 650-6222200 NC Card [redacted]	2.00
10/25	Card Purchase 10/24 Icontact Corporation 650-6222200 NC Card [redacted]	24.42
10/25	Card Purchase With Pin 10/25 Costco Whse #0465 Phoenix AZ Card [redacted]	107.33
10/25	Card Purchase With Pin 10/25 Office Max 3340 N Hay Scottsdale AZ Card [redacted]	16.11
10/25	Card Purchase With Pin 10/25 Usps 0376640533/7750 Scottsdale AZ Card [redacted]	364.00
10/26	Card Purchase 10/25 Icontact Corporation 650-6222200 NC Card [redacted]	25.03
10/26	Card Purchase 10/25 Icontact Corporation 650-6222200 NC Card [redacted]	24.60
10/26	Card Purchase 10/25 Icontact Corporation 650-6222200 NC Card [redacted]	2.18
10/26	Card Purchase 10/25 Icontact Corporation 650-6222200 NC Card [redacted]	2.00
10/27	Card Purchase 10/26 Icontact Corporation 650-6222200 NC Card [redacted]	2.00
10/27	Card Purchase 10/26 Icontact Corporation 650-6222200 NC Card [redacted]	25.19
10/27	Card Purchase 10/26 Icontact Corporation 650-6222200 NC Card [redacted]	2.18
10/27	Card Purchase 10/26 Icontact Corporation 650-6222200 NC Card [redacted]	25.84
10/28	Card Purchase 10/27 Icontact Corporation 650-6222200 NC Card [redacted]	25.13
10/28	Card Purchase With Pin 10/28 Usps 0363810069/4949 E Phoenix AZ Card [redacted]	3,500.00
10/29	Card Purchase 10/28 Icontact Corporation 650-6222200 NC Card [redacted]	2.00

CHASE

October 01, 2010 through October 29, 2010
Account Number: [redacted]

ATM & DEBIT CARD WITHDRAWALS (continued)

DATE	DESCRIPTION		AMOUNT
10/29	Card Purchase	10/28 Icontact Corporation 650-6222200 NC Card [redacted]	25.11
10/29	Card Purchase	10/28 Icontact Corporation 650-6222200 NC Card [redacted]	2.00
10/29	Card Purchase	10/28 Icontact Corporation 650-6222200 NC Card [redacted]	2.98
10/29	Card Purchase	10/28 Icontact Corporation 650-6222200 NC Card [redacted]	2.98
Total ATM & Debit Card Withdrawals			**$9,702.95**

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION		AMOUNT
10/01	Qwest 8004238994 Telephone	[redacted]	585.00
10/04	Cgiv Debits Cgiv Debit	[redacted]	2,273.67
10/04	American Express Collection	[redacted]	4.93
10/05	Fdms-Settlement Discount	[redacted]	1,405.87
10/05	American Express Axp Disch	[redacted]	914.08
10/05	Fdms-Settlement Fee	[redacted]	180.00
10/05	Fdms-Settlement Interchng	[redacted]	14.85
10/07	Transfirst Llc Bkcd Stlmt	[redacted]	500.00
10/08	Gulf Managem (2) Operations	[redacted]	9.00
10/12	Transfirst Llc Discount	[redacted]	2,414.28
10/22	Qwest 8004238994 Telephone	[redacted]	85.00
10/29	Cybersource	[redacted]	49.90
Total Electronic Withdrawals			**$7,937.60**

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
10/01	10/01 Withdrawal	$5,000.00
10/06	10/05 Withdrawal	121,478.77
10/08	10/08 Withdrawal	4,000.00
10/14	10/14 Withdrawal	80,164.80
10/15	10/15 Withdrawal	2,000.00
10/25	10/23 Withdrawal	2,000.00
Total Fees & Other Withdrawals		**$214,643.57**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
10/01	$72,440.69	10/14	15,272.52
10/04	107,905.36	10/15	20,338.52
10/05	119,777.37	10/18	46,549.90
10/06	4,199.60	10/19	11,937.90
10/07	11,745.60	10/20	40,639.61
10/08	7,747.86	10/21	23,762.95
10/12	48,896.74	10/22	35,485.21
10/13	79,113.32	10/25	19,647.71

CHASE

October 01, 2013 through October 29, 2013
Account Number: [redacted]

DAILY ENDING BALANCE *(continued)*

DATE	AMOUNT	DATE	AMOUNT
10/26	19,264.90	10/26	4,286.71
10/27	35,572.89	10/29	1,461.74

SERVICE CHARGE SUMMARY

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	26
Deposits / Credits	76
Deposit(s) Items	657
Transaction Total	759

SERVICE FEE CALCULATION	AMOUNT
Service Fee	$0.00
Service Fee Credit	$0.00
Net Service Fee	$0.00
Excessive Transaction Fees (Above)	$0.00
Total Service Fees	$0.00

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

October 01, 2010 through October 29, 2010
Account Number [redacted]

ACCEPT ALL MAJOR CREDIT AND DEBIT CARDS WITH CHASE

Chase Paymentech will meet or beat your current processing costs, or give you $250!*

A detailed cost comparison may uncover hidden fees, and show you ways to help reduce expenses and maximize your profits

In addition, you get:

- Customized pricing for your business
- Unparalleled security to help protect your business and your customers
- Easy-to-read statements and reporting
- 24/7 customer support

Don't wait - Offer ends December 31, 2010
Call 1-866-731-1266 today for your free cost comparison!*

Offer Valid 10/01/10 through 12/31/10

*In order to qualify for this offer, prospective merchants must be credit approved and provide at least three (3) months of their most recent processing statements to Chase Paymentech. If Chase Paymentech cannot meet or beat your current processing costs, Chase Paymentech will award $250 within 45 days of the receipt of the statement. Cost comparison will be based on a calculation of the overall cost for comparable services, as determined by the processing statements provided by merchant, and excludes any one time fees. One payment per customer. Not available to existing Chase Paymentech merchants. You may be required to complete an application at time of service enrollment. All applications are subject to credit approval. Merchant services provided by Paymentech, LLC ("Chase Paymentech"), a subsidiary of JPMorgan Chase Bank, N.A.

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

October 30, 2010 through November 30, 2010
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

Important Information about Chase Business Checking and Savings Accounts

Please see the end of this statement for changes to the Account Rules and Regulations - Additional Banking Services and Fees for Business Accounts effective February 5, 2011. If you have questions, please call us at 1-800-CHASE08 (1-800-242-7338).

CHECKING SUMMARY

Chase Free Business Checking

	INSTANCES	AMOUNT
Beginning Balance		**$1,481.74**
Deposits and Additions	50	75,017.85
Checks Paid	18	- 48,762.03
ATM & Debit Card Withdrawals	15	- 1,466.13
Electronic Withdrawals	9	- 10,653.46
Fees and Other Withdrawals	3	- 1,500.00
Ending Balance	95	**$14,117.97**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
11/01	Deposit [redacted]	$6,750.00
11/01	Deposit	4,675.00
11/01	Deposit	1,020.00
11/01	Deposit	1,000.00
11/01	Deposit	100.00
11/01	American Express Settlement [redacted]	4,295.00
11/01	Transfirst Llc Bkcd Stlmt [redacted]	3,415.00
11/01	American Express Settlement [redacted]	2,575.00
11/02	Deposit [redacted]	6,310.28
11/02	Fdms-Settlement Deposit [redacted]	9,727.00
11/02	Fdms-Settlement Deposit	2,179.00
11/02	American Express Settlement	1,625.00
11/02	Fdms-Settlement Deposit	1,335.00
11/02	Transfirst Llc Bkcd Stlmt	1,320.00

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

October 30, 2018 through November 30, 2018
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____
2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____
4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 8

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

October 30 2010 through November 30 2010
Account Number 000003163184224

DEPOSITS AND ADDITIONS *(continued)*

DATE	DESCRIPTION	AMOUNT
11/02	Transfirst Llc Bkcd Stlmt [redacted]	1,265 00
11/02	Transfirst Llc Bkcd Stlmt [redacted]	625 00
11/03	American Express Settlement [redacted]	1,784 50
11/03	Fdms-Settlement Deposit [redacted]	45.00
11/04	American Express Settlement [redacted]	760 00
11/04	Fdms-Settlement Deposit [redacted]	345 00
11/04	David Schwekert David Schw Gms-005Yes-0337 CCD ID 1593148119	65 00
11/05	Fdms-Settlement Deposit [redacted]	100 00
11/05	American Express Settleme [redacted]	50 00
11/08	Deposit [redacted]	7,450 00
11/08	Deposit [redacted]	1,221 00
11/08	Deposit [redacted]	25 00
11/08	American Express Settlement [redacted]	250 00
11/08	American Express Settlement [redacted]	95 00
11/09	Fdms-Settlement Deposit [redacted]	675 00
11/09	American Express Settlement [redacted]	25 00
11/10	Deposit [redacted]	100 00
11/12	Deposit [redacted]	2,500 00
11/15	Deposit [redacted]	1,544 30
11/15	Fdms-Settlement Deposit [redacted]	50 00
11/18	Deposit [redacted]	125 00
11/18	Deposit [redacted]	23 87
11/19	Deposit [redacted]	500 00
11/19	Deposit [redacted]	226 67
11/23	Deposit [redacted]	2,000 00
11/23	Deposit [redacted]	1,000 00
11/23	Deposit [redacted]	260 93
11/24	Deposit [redacted]	2,000 00
11/24	Transfirst Llc Bkcd Stlmt [redacted]	625 00
11/26	American Express Settlement [redacted]	695.00
11/26	Fdms-Settlement Deposit [redacted]	200 00
11/29	Fdms-Settlement Deposit [redacted]	870 00
11/29	American Express Settlement [redacted]	525 00
11/29	Fdms-Settlement Deposit [redacted]	100.00
11/30	Fdms-Settlement Deposit [redacted]	50.00
11/30	Transfirst Llc Bkcd Stlmt [redacted]	5 00
Total Deposits and Additions		**$75,017.65**

Page 3 of 8

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID 029Jun18-211 29Jun18-211

CHASE

October 30, 2018 through November 30, 2018
Account Number: [redacted]

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	11/23	$274.02
	* ^	11/01	985.53
	^	11/01	10,000.00
	^	11/10	2,400.00
	^	11/05	3,665.27
	* ^	11/05	2,200.00
	^	11/10	6,840.00
	^	11/23	1,342.36
	^	11/12	1,225.80
	^	11/30	338.49
	^	11/29	57.00
	Check # [redacted] Cox Comm - Phx Check Pymt [redacted]	11/29	464.00
	^	11/29	2,020.78
	* ^	11/30	48.67
	^	11/28	4,232.89
	* ^	11/28	3,805.00
[redacted]	* ^	11/02	7,500.00
	*	11/03	1,352.22
Total Checks Paid			**$48,762.03**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com.

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
11/01	Card Purchase 10/29 Iconlact Corporation 650-6222200 NC Card [redacted]	$25.07
11/01	Card Purchase 10/30 Iconlact Corporation 650-6222200 NC Card [redacted]	25.04
11/01	Card Purchase 10/30 Iconlact Corporation 650-6222200 NC Card [redacted]	2.00
11/08	Card Purchase 11/04 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	9.17
11/08	Card Purchase 11/06 WebsiteHostingBill G 405-948-630 OK Card [redacted]	99.00
11/08	Card Purchase 11/05 Domain/Hosting Srvcs 480-6242500 AZ Card [redacted]	9.17
11/08	Card Purchase 11/07 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	1.87
11/12	Card Purchase 11/10 Comvoice 602-424-9900 AZ Card [redacted]	416.34
11/16	Card Purchase 11/15 Online Labels 888-575-2235 FL Card [redacted]	15.40
11/19	Card Purchase With Pin 11/19 Sam's Club Scottsdale AZ Card [redacted]	539.96
11/22	Card Purchase 11/19 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	2.54
11/22	Card Purchase With Pin 11/21 Sam's Club Scottsdale AZ Card [redacted]	135.62
11/23	Card Purchase With Pin 11/23 Sam's Club Scottsdale AZ Card [redacted]	120.27
11/24	Card Purchase With Pin 11/24 Sam's Club Scottsdale AZ Card [redacted]	56.60
11/29	Card Purchase 11/26 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	9.06
Total ATM & Debit Card Withdrawals		**$1,466.13**

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-211
GROUP ID G29Jun18-211

CHASE

October 30, 2010 through November 30, 2010
Account Number: [redacted]

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
11/02	Qgiv Debits Qgiv Debit Dkc [redacted]	$3,449.87
11/02	American Express Collection [redacted]	4.95
11/03	Fdms-Settlement Discount [redacted]	2,060.27
11/03	Fdms-Settlement Fee [redacted]	217.75
11/03	Fdms-Settlement Interchng [redacted]	73.09
11/05	American Express Aep Disch [redacted]	1,900.07
11/10	Transfirst Llc Discount [redacted]	2,678.96
11/12	Gull Mariagem (2) Operations [redacted]	6.50
11/15	Transfirst Llc Chargeback [redacted]	260.00
Total Electronic Withdrawals		**$10,653.46**

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
11/01	10/30 Withdrawal	$500.00
11/02	11/02 Withdrawal	500.00
11/02	11/02 Withdrawal	500.00
Total Fees & Other Withdrawals		**$1,500.00**

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
11/01	$14,084.10	11/16	18,357.58
11/02	26,695.56	11/18	18,505.45
11/03	24,821.83	11/19	18,694.35
11/04	23,991.83	11/22	18,556.20
11/05	18,376.49	11/23	20,080.48
11/08	27,306.28	11/24	22,648.88
11/09	28,006.28	11/26	23,543.88
11/10	16,189.32	11/29	14,450.13
11/12	17,038.68	11/30	14,117.97
11/15	18,372.99		

SERVICE CHARGE SUMMARY

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	21
Deposits / Credits	20
Deposited Items	75
Transaction Total	**116**

SERVICE FEE CALCULATION	AMOUNT
Service Fee	$0.00
Service Fee Credit	$0.00
Net Service Fee	**$0.00**
Excessive Transaction Fees (Above)	$0.00

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

October 30, 2010 through November 30, 2010
Account Number [redacted]

SERVICE CHARGE SUMMARY *(continued)*

SERVICE FEE CALCULATION	AMOUNT
Total Service Fees	$0.00

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

October 20, 2010 through November 22, 2010
Account Number [redacted]

Account Rules and Regulations – Additional Banking Services and Fees for Business Accounts

The following changes to the Additional Banking Services and Fees for Chase checking and savings accounts are effective February 5, 2011. Unless otherwise indicated, fees apply to all checking and savings accounts except Commercial Checking and Commercial Checking with Interest accounts

ATM and Debit Card Fees	
- Withdrawal at a non-Chase ATM outside the United States, Puerto Rico and the US Virgin Islands (1)	$ 5.00 / withdrawal
- Non-Chase ATM Withdrawals at a non-Chase ATM within the United States, Puerto Rico and the US Virgin Islands and any non-Chase ATM Inquiries and Transfers (1)	remains at $2.00 / transaction
- ATM Statement (When you print your recent account transactions at an ATM)	$ 1.00 / statement
Overdraft Protection Transfer Fee (2) (We will not charge an Overdraft Protection Transfer Fee if your ending account balance, before any Overdraft Protection Transfers are made is overdrawn by $5 or less.)	$12.00 / transfer
Deposited Item Returned (or cashed item returned) (For example, you deposit an item such as a check, and it is not paid due to insufficient funds.)	$12.00 / item
Stop Payment (2)	$34.00 / item
Stop Payment via Chase.com or Chase by Phone ® automated phone system (2)	$27.00 / item
Wire Transfer - Domestic Outgoing (2)	$30.00 / item
Wire Transfer - Domestic Outgoing via Chase.com (2, 3)	$25.00 / item
Counter Check	$ 2.00 / check
Legal Process (4) (For processing any garnishment, tax levy, or other court or administrative order against an account, whether or not the funds are actually paid out)	up to $125.00/order

(1) Usage Fee may be charged by the institution that owns the ATM. Additional fees may apply when using an ATM outside the United States, Puerto Rico and the US Virgin Islands
(2) Chase BusinessPlus: first two free outgoing domestic wires, stop payments, ODP transfers each statement period. Chase BusinessPlus Extra Checking: first four free outgoing domestic wires, stop payments, ODP transfers each statement period. Chase BusinessPlus Premium: first eight free outgoing domestic wires, stop payments, ODP transfers each statement period. (Interest bearing counterparts included)
(3) For Chase Advanced Business Checking℠ and Chase Advanced Business Checking℠ with Interest the Wire Transfer fee for Domestic Outgoing wires via Chase.com remains at $10/item.
(4) The $125 fee remains the same for accounts opened in CT, NJ and NY.

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

October 30, 2010 through November 30, 2010
Account Number: [redacted]

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

December 01, 2010 through December 31, 2010
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Español:	1-888-622-4273
International Calls:	1-713-262-1679

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

IMPORTANT INFORMATION ABOUT FDIC INSURANCE

The Dodd-Frank Act will provide unlimited FDIC coverage for non-interest bearing checking accounts from December 31, 2010 through December 31, 2012. This is separate from and in addition to coverage available for your other deposit accounts. (All interest-bearing accounts are subject to a maximum aggregate limit of $250,000 per depositor.) If you have a sweep account, remember that FDIC insurance does not cover any funds swept to an investment account such as a mutual fund.

Important Information about Chase Business Checking and Savings Accounts

Please see the end of this statement for changes to the Account Rules and Regulations - Additional Banking Services and Fees for Business Accounts effective February 5, 2011. If you have questions, please call us at 1-800-CHASE38 (1-800-242-7338).

CHECKING SUMMARY Chase Free Business Checking

	INSTANCES	AMOUNT
Beginning Balance		**$14,117.97**
Deposits and Additions	25	55,696.36
Checks Paid	10	- 31,551.66
ATM & Debit Card Withdrawals	5	- 1,261.20
Electronic Withdrawals	8	- 913.97
Fees and Other Withdrawals	2	- 6,000.00
Ending Balance	50	**$30,087.50**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
12/01	David Schweikert David Schw [redacted]	$150.00
12/01	Fdms-Settlement Deposit [redacted]	50.00
12/02	Deposit [redacted]	2,200.00
12/02	Deposit [redacted]	6.72
12/06	Card Purchase Return 12/03 Icontact Corporation 650-6222200 NC Card [redacted]	446.13
12/07	Fdms-Settlement Deposit [redacted]	25.00
12/09	Deposit [redacted]	3,500.00
12/09	Deposit [redacted]	1,463.51

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

December 01, 2010 through December 31, 2010
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS:Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

December 01, 2010 through December 31, 2010
Account Number: [redacted]

DEPOSITS AND ADDITIONS (continued)

DATE	DESCRIPTION	AMOUNT
12/10	Deposit [redacted]	11,550.00
12/10	Deposit	8,000.00
12/15	Deposit	6,000.00
12/17	Deposit	5,800.00
12/17	Deposit	7,500.00
12/17	Fdms-Settlement Deposit [redacted]	335.00
12/20	American Express Settlement [redacted]	500.00
12/20	Fdms-Settlement Deposit [redacted]	20.00
12/21	Transfirst Llc Bkcd Stlmt [redacted]	500.00
12/22	Deposit [redacted]	2,000.00
12/22	American Express Settlement [redacted]	1,250.00
12/23	American Express Settlement [redacted]	600.00
12/23	Fdms-Settlement Deposit [redacted]	25.00
12/27	Deposit [redacted]	100.00
12/28	Fdms-Settlement Deposit [redacted]	500.00
12/29	Deposit [redacted]	50.00
12/29	American Express Settlement [redacted]	25.00
Total Deposits and Additions		**$55,696.35**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	12/09	$525.00
	^	12/01	706.93
	* ^	12/01	6,750.00
	* ^	12/23	100.00
	^	12/14	1,139.85
	^	12/14	2,470.24
	^	12/21	8,122.00
	^	12/16	11,615.01
	* ^	12/27	45.33
	* ^	12/30	77.30
Total Checks Paid			**$31,551.66**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com.

Page 3 of 8

CHASE

December 01, 2010 through December 31, 2010
Account Number: [redacted]

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
12/03	Card Purchase 12/01 Icontact Corporation 650-8722200 NC Card [redacted]	$700.00
12/03	Card Purchase 12/02 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	10.25
12/08	Card Purchase 12/07 Renaissance Hotels 96 Washington DC Card [redacted]	433.95
12/08	Card Purchase 12/07 Websitehostingbill C 405-848-830 OK Card [redacted]	99.00
12/09	Card Purchase 12/08 Facebook.Com*Ads 650-543-7818 CA Card [redacted]	17.99
Total ATM & Debit Card Withdrawals		$1,261.20

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
12/01	American Express Collection [redacted]	$4.95
12/02	Qgiv Debits Qgiv Debit [redacted]	109.74
12/03	Fdms-Settlement Interchng [redacted]	133.61
12/03	Fdms-Settlement Discount [redacted]	62.63
12/03	Fdms-Settlement Fee [redacted]	18.75
12/06	American Express Axp Disct [redacted]	322.80
12/10	Transfirst Llc Discount [redacted]	262.69
12/13	Gulf Managem (2) Operations [redacted]	2.00
Total Electronic Withdrawals		$913.97

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
12/07	12/07 Withdrawal	$2,000.00
12/20	12/18 Withdrawal	4,000.00
Total Fees & Other Withdrawals		$6,000.00

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
12/01	56,856.09	12/16	20,227.13
12/02	8,854.07	12/17	36,862.13
12/03	8,030.83	12/20	33,382.13
12/06	8,154.38	12/21	25,760.13
12/07	6,179.38	12/22	29,010.13
12/08	5,648.40	12/23	29,535.13
12/09	10,068.92	12/27	29,589.80
12/10	29,454.23	12/28	30,089.80
12/13	29,452.23	12/29	30,164.80
12/14	25,842.14	12/30	30,087.50
12/15	31,842.14		

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID C29Jun18-211 29Jun18-211

CHASE

December 01, 2010 through December 31, 2010
Account Number: [redacted]

SERVICE CHARGE SUMMARY

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	12
Deposits / Credits	12
Deposited Items	44
Transaction Total	68

SERVICE FEE CALCULATION	AMOUNT
Service Fee	$0.00
Service Fee Credit	$0.00
Net Service Fee	$0.00
Excessive Transaction Fees (Above)	$0.00
Total Service Fees	$0.00

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

December 01 2010 through December 31 2010
Account Number: [redacted]

Account Rules and Regulations – Additional Banking Services and Fees for Business Accounts

The following changes to the Additional Banking Services and Fees for Chase checking and savings accounts are effective February 5, 2011. Unless otherwise indicated, fees apply to all checking and savings accounts except Commercial Checking and Commercial Checking with Interest accounts

ATM and Debit Card Fees	
• Withdrawal at a non-Chase ATM outside the United States, Puerto Rico and the US Virgin Islands (1)	$ 5 00 / withdrawal
• Non-Chase ATM Withdrawals at a non Chase ATM within the United States, Puerto Rico and the US Virgin Islands and any non-Chase ATM Inquiries and Transfers (1)	remains at $2 00 / transaction
• ATM Statement (When you print your recent account transactions at an ATM)	$ 1 00 / statement
Overdraft Protection Transfer Fee (2) (We will not charge an Overdraft Protection Transfer Fee if your ending account balance, before any Overdraft Protection Transfers are made is overdrawn by $5 or less.)	$12 00 / transfer
Deposited Item Returned (or cashed item returned) (For example, you deposit an item such as a check, and it is not paid due to insufficient funds.)	$12 00 / item
Stop Payment (2)	$34 00 / item
Stop Payment via Chase.com or Chase by Phone ® automated phone system (2)	$27 00 / item
Wire Transfer - Domestic Outgoing (2)	$30 00 / item
Wire Transfer - Domestic Outgoing via Chase.com (2, 3)	$25 00 / item
Counter Check	$ 2 00 / check
Legal Process (4) (For processing any garnishment, tax levy, or other court or administrative order against an account, whether or not the funds are actually paid out)	up to $125.00/order

(1) Usage Fee may be charged by the institution that owns the ATM. Additional fees may apply when using an ATM outside the United States, Puerto Rico and the US Virgin Islands.
(2) Chase BusinessPlus: first two free outgoing domestic wires, stop payments, ODP transfers each statement period. Chase BusinessPlus Extra Checking: first four free outgoing domestic wires, stop payments, ODP transfers each statement period. Chase BusinessPlus Premium: first eight free outgoing domestic wires, stop payments, ODP transfers each statement period. (Interest bearing counterparts included)
(3) For Chase Advanced Business Checking℠ and Chase Advanced Business Checking℠ with Interest the Wire Transfer fee for Domestic Outgoing wires via Chase.com remains at $10/item
(4) The $125 fee remains the same for accounts opened in CT, NJ and NY.

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

January 01, 2011 through January 31, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		**$30,087.50**
Deposits and Additions	4	5,337.40
Checks Paid	11	- 29,637.55
ATM & Debit Card Withdrawals	7	- 705.31
Electronic Withdrawals	7	- 250.24
Fees and Other Withdrawals	2	- 4,500.00
Ending Balance	**31**	**$331.60**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
01/10	Deposit [redacted]	$1,200.00
01/10	Deposit	637.40
01/19	Deposit	2,500.00
01/19	Deposit	1,000.00
Total Deposits and Additions		**$5,337.40**

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

January 01, 2011 through January 31, 2011
Account Number: [redacted]

CHECKING SUMMARY

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____
2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____
4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

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- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

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JPMorgan Chase Bank, N.A. Member FDIC

CHASE

January 01, 2011 through January 31, 2011
Account Number: [redacted]

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted]	^	01/12	$20.40
	^	01/18	5,750.00
	^	01/19	811.39
	* ^	01/13	4,992.10
	* ^	01/05	763.80
	^	01/04	250.00
	* ^	01/03	14,460.76
	^	01/13	165.17
	^	01/18	70.07
	^	01/31	173.85
	* ^	01/10	2,180.00
Total Checks Paid			**$29,637.55**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

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^ An image of this check may be available for you to view on Chase.com.

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
01/03	Card Purchase 12/30 Sassi Scottsdale AZ Card [redacted]	$170.99
01/04	Card Purchase 01/03 Icontact Corporation 650-6222200 NC Card [redacted]	239.00
01/07	Card Purchase 01/05 Websitehostingbill C 405-948-830 OK Card [redacted]	99.00
01/13	Card Purchase 01/10 Burling's Ton of Tra Fountain Hi AZ Card [redacted]	115.34
01/24	Card Purchase 01/21 Dunkin #346596 Q35 Tempe AZ Card [redacted]	17.47
01/25	Card Purchase 01/24 Facebook.Com*Ada 650-543-7818 CA Card [redacted]	33.84
01/31	Card Purchase 01/28 Facebook.Com*Ada 650-543-7818 CA Card [redacted]	29.67
Total ATM & Debit Card Withdrawals		**$705.31**

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
01/03	American Express Collection [redacted]	$4.95
01/04	Fdms-Settlement Discount	22.80
01/04	Fdms-Settlement Fee	8.50
01/04	Fdms-Settlement Interchng	2.19
01/05	American Express Axp Discnt	74.35
01/05	Qgiv Debits Qgiv Debit Qk	68.79
01/10	Transfirst Llc Discount	68.66
Total Electronic Withdrawals		**$250.24**

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-211
GROUP ID G29Jun18-211

CHASE

January 01, 2011 through January 31, 2011
Account Number: [redacted]

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
01/21	01/21 Withdrawal	$2,500.00
01/31	01/31 Withdrawal	2,000.00
Total Fees & Other Withdrawals		$4,500.00

The fees for this account are included in the fee information for account [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
01/03	$15,450.80	01/18	8,148.03
01/04	14,928.31	01/19	5,085.64
01/05	14,021.37	01/21	2,586.64
01/07	13,922.37	01/24	2,569.17
01/10	13,511.11	01/25	2,535.33
01/12	13,490.71	01/31	331.80
01/13	8,216.10		

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

January 01, 2011 thru January 31, 2011
Account Number [redacted]

You Win When You Grow Your Relationship With Chase

Bring all your banking to Chase and enjoy more of our great business advantages.

Avoid monthly Service Fees when you bring additional dollars to Chase – Have money at other banks? It's smart to move those dollars into the safety and stability of Chase. If you keep a minimum daily balance of $5,000 or more during each statement period, you'll have no monthly Service Fee on your Chase BusinessSelect Checking℠ account (1).

Take advantage of Chase Exclusives® for Business – As a Chase Business checking customer, you could earn better rates, more rewards and bigger discounts on almost everything we offer – from payroll solutions and merchant services to business loans and more (2). Don't miss these opportunities.

Apply for a Chase Business Credit Card – Ink℠ from Chase has been designed exclusively for business, with flexible spending limits to meet your needs, online tools to help you manage business spending, and your choice of rewards including cash back, travel, merchandise and more (3). Plus, you'll have no monthly Service Fee on your Chase BusinessSelect Checking℠ account when you make $1,000 in purchases on your linked Chase Business Credit Card during the monthly checking statement period (4).

Stop in today and explore all Chase has to offer.

1 Minimum daily balance is based on your ledger balance at the end of each day.
2 Products and services described - as well as associated fees, charges, interest rates and balance requirements - may differ among different geographic locations. Certain restrictions and other eligibility requirements may apply. Not all products and services are offered at all locations.
3. Credit Cards are issued by Chase Bank USA, N.A. and are subject to credit approval.
4 Chase Business Credit Card must be in good standing (not delinquent, closed, charged off, or revoked) and linked to the Chase BusinessSelect Checking℠ account. Qualifying purchases made with your Chase Business Credit Card will be determined based on their transaction posting date.

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Deposit products provided by JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

January 01, 2011 through January 31, 2011
Account Number: [redacted]

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

February 01, 2011 through February 28, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Español:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$331.80
Deposits and Additions	3	5,299.00
Checks Paid	1	- 318.00
ATM & Debit Card Withdrawals	2	- 102.04
Fees and Other Withdrawals	1	- 1,100.00
Ending Balance	7	$4,110.76

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
02/04	Online Transfer From Chk Xxxxx[redacted] Transaction# [redacted]	$200.00
02/10	Deposit [redacted]	5,000.00
02/16	Card Purchase Return 02/17 Website Hostingbill C Oklahoma Ci OK Card [redacted]	99.00
Total Deposits and Additions		$5,299.00

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted] ^	02/02	02/02	$318.00
Total Checks Paid			$318.00

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

^ An image of this check may be available for you to view on Chase.com.

Page 1 of 4

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

February 01, 2011 through February 28, 2011
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

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JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID 029Jun18-211 29Jun18-211

CHASE

February 01, 2011 through February 28, 2011
Account Number: [redacted]

ATM & DEBIT CARD WITHDRAWALS

DATE	DESCRIPTION		AMOUNT
02/07	Card Purchase	02/04 Facebook Com*Ads 650-543-7818 CA Card [redacted]	$3.04
02/09	Card Purchase	02/08 Websitehostingbill C 405-948-630 OK Card [redacted]	$99.00
Total ATM & Debit Card Withdrawals			**$102.04**

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
02/14	02/12 Transfer To Chk Xxxxx [redacted]	$1,100.00
Total Fees & Other Withdrawals		**$1,100.00**

The fees for this account are included in the fee information for account ---------- [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT
02/02	513.80
02/04	213.80
02/07	210.76
02/09	111.76
02/10	5,111.76
02/14	4,011.76
02/18	4,110.76

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

February 01, 2011 through February 28, 2011
Account Number: [redacted]

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

March 01, 2011 through March 31, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$4,110.76
Deposits and Additions	5	11,524.75
Checks Paid	2	- 2,739.76
Fees and Other Withdrawals	1	- 1,000.00
Ending Balance	**8**	**$11,895.75**

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
03/23	Deposit	558.35
03/31	Deposit	5,000.00
03/31	Deposit	4,000.00
03/31	Deposit [redacted]	2,000.00
03/31	Deposit	466.40
Total Deposits and Additions		**$11,524.75**

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted] ^		03/10	$1,355.76
^		03/14	1,384.00
Total Checks Paid			**$2,739.76**

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^ An image of this check may be available for you to view on Chase.com

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

March 01, 2011 through March 31, 2011
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

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- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information

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JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 4

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

March 01, 2011 through March 31, 2011
Account Number: [redacted]

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
03/25	03/25 Withdrawal	$1,000.00
Total Fees & Other Withdrawals		$1,000.00

The fees for this account are included in the fee information for account [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT
03/10	$2,755.00
03/14	1,371.00
03/23	1,429.35
03/25	429.35
03/31	11,895.75

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-211
GROUP ID G29Jun18-211

CHASE

March 01, 2011 through March 31, 2011
Account Number: [redacted]

Chase Exclusives®

Special benefits for being a Chase checking customer!
Take advantage of exclusive offers on:

- Credit Cards
- CD interest rates
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Talk to a banker today to take advantage of these exclusive benefits!

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29-Jun-13 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-211
GROUP ID G29Jun18-211

CHASE
JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

April 01, 2011 through April 29, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$11,895.75
Deposits and Additions	2	8,400.00
Checks Paid	2	- 15,000.00
Fees and Other Withdrawals	1	- 2,000.00
Ending Balance	5	$3,295.75

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
04/05	Deposit [redacted]	$7,000.00
04/26	Deposit [redacted]	1,400.00
Total Deposits and Additions		$8,400.00

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
[redacted] ^		04/04	$5,000.00
[redacted] ^		04/11	10,000.00
Total Checks Paid			$15,000.00

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

^ An image of this check may be available for you to view on Chase.com.

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

April 01, 2011 through April 29, 2011
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

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JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

April 01, 2011 through April 29, 2011
Account Number: [redacted]

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
04/04	04/02 Withdrawal	$2,000.00
Total Fees & Other Withdrawals		$2,000.00

The fees for this account are included in the fee information for account [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT
04/04	$4,895.75
04/05	11,895.75
04/11	1,895.75
04/26	3,295.75

CHASE

April 01, 2011 through April 29, 2011
Account Number [redacted]

The Chase Mobile® App. Enjoy all the access and services of chase.com through your phone, including these fast and FREE ways to manage your money:

- Get the free Chase Mobile® App for your iPhone® or Android™
- Make a deposit by simply taking a picture of the front and back of an endorsed check with Chase QuickDeposit℠
- Send money to nearly anyone with an e-mail address with Chase Person-to-Person QuickPay℠
- Transfer money between Chase accounts
- Pay bills and credit cards with Online Bill Pay

Plus, you can also access chase.com anywhere, anytime from your phone's browser.

Chase Mobile Banking. Message and data rates may apply. Such charges include those from your communications service provider.

Chase QuickDeposit℠ Must download Chase Mobile® App from the App Store or Android Market and enroll in Chase Online℠. Message and data rates may apply. Such charges include those from your communications service provider. Subject to eligibility and further review. Deposits are subject to verification and not available for immediate withdrawal. Access Checking and High School checking account customers not eligible. Deposit limits and other restrictions apply.
Chase Person-to-Person QuickPay℠ Both you and the other person need a U.S. bank account, only one of you needs a Chase checking account.
Online Bill Pay You must be enrolled in Chase Online℠ to activate and use Online Bill Payment. Online Bill Payment service is free of charge when you designate a qualified account as your Primary Account for Online Bill Payment. A qualified account includes any Chase personal or business checking account except Chase Customized Checking℠ which will be charged $5 per month for Online Bill Payment Service.

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

April 06, 2011 through May 31, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT CBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$3,295.75
Deposits and Additions	1	2,000.00
Checks Paid	1	- 4,500.00
Ending Balance	2	$795.75

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
05/06	Online Transfer From Chk Xxxxx[redacted] Transaction# [redacted]	$2,000.00
Total Deposits and Additions		$2,000.00

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
1637 ^		05/09	$4,500.00
Total Checks Paid			$4,500.00

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

^ An image of this check may be available for you to view on Chase.com

The fees for this account are included in the fee information for account ---------- [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT
05/06	$5,295.75
05/09	795.75

Page 1 of 2

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

April 30, 2011 through May 31, 2011
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____
2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $ _____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____
4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer noted on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

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JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 2

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

June 01, 2011 through June 30, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center	1-800-242-7338
Hearing Impaired	1-800-242-7383
Para Espanol	1-888-622-4273
International Calls	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

Effective July 17, 2011, we are making changes to the following sections of our Funds Availability Policy for business accounts:

- "For Chase Commercial Checking (with or without Interest):"
  "Next Day Availability:" If you make the deposit in person to one of our employees, funds from the following deposits are also available on the first business day after the day we receive your deposit:
  - Federal Reserve Bank checks, Federal Home Loan Bank checks, and postal money orders that are payable to you.
  - State and Local government checks that are payable to you if you use a special deposit slip available at any branch upon request.
  - Cashier's, certified, and teller's checks that are payable to you if you use a special deposit slip available at any branch upon request.

  "Second Business Day Availability:" At least the first $200 of these deposits will be available on the first business day after the day of your deposit.
- "Longer Delays May Apply:"
  "For all business accounts other than Chase Commercial Checking (with or without interest):" At least the first $200 of these deposits will be available on the first business day after the day of your deposit.

All other terms of your account remain the same. If you have any questions, please call us at 1-800-CHASE38 (1-800-242-7338).

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$795.75
Deposits and Additions	2	1,330.00
Checks Paid	1	- 1,700.00
Ending Balance	3	$425.75

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
06/02	Deposit [redacted]	$830.00
06/03	Online Transfer From Chk Xxxxx[redacted] Transaction#: [redacted]	500.00
Total Deposits and Additions		$1,330.00

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

June 01, 2011 through June 23, 2011
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

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JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

June 01, 2011 through June 30, 2011
Account Number: [redacted]

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
1638 ^		06/06	$1,700.00
Total Checks Paid			$1,700.00

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

^ An image of this check may be available for you to view on Chase.com

The fees for this account are included in the fee information for account [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT
06/02	$1,625.75
06/03	2,125.75
06/06	425.75

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

June 01, 2011 through June 30, 2011
Account Number: [redacted]

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-211
GROUP ID G29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

July 01, 2011 through July 29, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$425.75
Ending Balance	0	$425.75

There has been no activity on your account during this statement period. You may not receive a statement through the mail in the future if there is no activity on your account. You can always view your account activity and statement by logging on to your account through chase.com. If you have questions, please call us at the number on this statement.

The fees for this account are included in the fee information for account [redacted]

Page 1 of 2

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

July 01, 2011 through July 29, 2011
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____
2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____
4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

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JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

Not Mailed Due To Inactivity

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

July 30, 2011 through August 31, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$425.75
Ending Balance	0	$425.75

The fees for this account are included in the fee information for account ---------- [redacted]

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

July 30, 2011 through August 31, 2011
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____
2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total. $_____
4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

September 01, 2011 through September 30, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$425.75
Deposits and Additions	1	2,400.00
Ending Balance	1	$2,825.75

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
09/14	Deposit	$2,400.00
Total Deposits and Additions		$2,400.00

The fees for this account are included in the fee information for account [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT
09/14	$2,825.75

Page 1 of 2

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

September 01, 2011 through September 30, 2011
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

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JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 2

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

October 01, 2011 through October 31, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site	Chase.com
Service Center	1-800-242-7338
Hearing Impaired	1-800-242-7383
Para Espanol	1-888-622-4273
International Calls	1-713-262-1679

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$3,825.75
Deposits and Additions	1	800.00
Ending Balance	1	$3,825.75

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
10/18	Deposit	$800.00
Total Deposits and Additions		$800.00

The fees for this account are included in the fee information for account [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT
10/18	$3,825.75

Page 1 of 2

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

October 01, 2011 through October 31, 2011
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____
2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____
4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS:Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

November 01, 2011 through November 30, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

Important information about your Chase Business Checking Account Statements

Starting November 14, 2011, we are making it easier to track your Chase ATM and debit card transactions. On your deposit statement, look for a new section called "ATM and Debit Card Summary" to see all of your ATM and debit card transactions organized by each authorized cardholder.

Please note that any ATM or Debit card transactions that post to your account before November 14, 2011 will not show under this new section. The "ATM and Debit Card Withdrawals" section will not change and will continue to display all of your ATM and debit card transactions in date order.

We value you as a Chase customer. If you have any questions, please call us at 1-800-CHASE38 (1-800-242-7338).

We are making some changes that affect Chase personal and business checking, savings and Certificate of Deposit (CD) accounts, including retirement accounts*. Enclosed with this statement is a rewritten Deposit Account Agreement (formerly known as the Account Rules and Regulations). The new design of this booklet will make it easier for you to read and find the information you need quickly.

Please review the information and keep this as reference with your other financial documents.

Please note: If you would like to receive the Deposit Account Agreement in Spanish, they will be available at your nearest Chase branch, starting February 1, 2012.

*For checking and savings accounts, all changes are effective on February 1, 2012. For CD accounts, the changes are effective on the first CD maturity date occurring on or after February 1, 2012.

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$3,625.75
Ending Balance	0	$3,625.75

There has been no activity on your account during this statement period. You may not receive a statement through the mail in the future if there is no activity on your account. You can always view your account activity and statement by logging on to your account through chase.com. If you have questions, please call us at the number on this statement.

The fees for this account are included in the fee information for account ---------- [redacted]

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

November 01, 2011 through November 30, 2011
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

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JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P.O. Box 659754
San Antonio, TX 78265-9754

December 01, 2011 through December 30, 2011
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

Important Information about Chase Business Checking and Savings Accounts

We are working to simplify our Chase checking and savings accounts by eliminating or reducing some of our fees. The following changes to the Additional Banking Services and Fees for Chase business checking and savings accounts are effective December 14, 2011. All other terms of your Deposit Account Agreement remain the same. If you have any questions, please call us at 1-800-CHASE38 (1-800-242-7338) or visit your branch.

We will no longer charge fees for the following services:
- No fees for Check/Item Copies and Urgent Item Copies -for example, we will not charge you when you need a copy of a cancelled check or deposit slip.
- No fees for Immediate Notification of wire transfers
- No fees for a Failed Payment when using Chase Online Bill Pay or Quick Pay

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$3,825.75
Ending Balance	0	$3,825.75

There has been no activity on your account during this statement period. You may not receive a statement through the mail in the future if there is no activity on your account. You can always view your account activity and statement by logging on to your account through chase.com. If you have questions, please call us at the number on this statement.

The fees for this account are included in the fee information for account ---------- [redacted]

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

December 01, 2011 through December 30, 2011
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 2

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-211
GROUP ID G29Jun18-211

Not Mailed Due To Inactivity

CHASE
JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

December 31, 2011 through January 31, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$3,825.75
Ending Balance	0	$3,825.75

The fees for this account are included in the fee information for account ---------- [redacted]

Page 1 of 2

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

December 31, 2011 through January 31, 2012
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
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JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 2

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

Not Mailed Due To Inactivity

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754,
San Antonio, TX 78265-9754

February 01, 2012 through February 29, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Hearing Impaired:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$3,625.75
Ending Balance	0	$3,625.75

The fees for this account are included in the fee information for account ---------- [redacted]

Page 1 of 2

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

February 01, 2012 through February 29, 2012
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Services) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

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- The dollar amount of the suspected error
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JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-211
GROUP ID G29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

March 01, 2012 through March 30, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

Important Information About Your Account Statement
We understand the value of being able to easily read your statements and the benefit of balancing your account. To make your statement easier to follow, effective March 19, 2012, we moved the Balancing Your Checkbook page to the last page of the statement. This page may be used to balance your account for a given statement period. If you have any questions, please call us at the number on this statement or visit your branch.

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$3,625.75
Deposits and Additions	1	1,000.00
Ending Balance	1	$4,625.75

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
03/26	Online Transfer From Chk. [redacted] Transaction#: [redacted]	$1,000.00
Total Deposits and Additions		**$1,000.00**

The fees for this account are included in the fee information for account ---------- [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT
03/26	$4,625.75

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

March 01 2012 through March 30 2012
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____
2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____
4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (Non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information

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JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

March 31, 2012 through April 30, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

IMPORTANT INFORMATION REGARDING ATM TRANSFERS

Please note that we are extending our ATM cutoff time for transfers between Chase accounts to better serve you. For transfers and deposits at most Chase ATMs, the cutoff time is 11 p.m. Eastern time. For ATMs with an earlier cutoff, the ATM screen will notify you of the cutoff time. We hope you enjoy this added convenience.

This change updates your Deposit Account Agreement under the Funds Availability Policy section, the second bullet under "When Your Deposit is Received." This change applies to Chase personal checking and savings accounts as well as Chase business checking and savings accounts. All other terms of your account agreement remain the same. If you have any questions, please refer to the phone number on your statement or visit your nearest Chase branch.

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$4,625.75
Ending Balance	0	$4,625.75

There has been no activity on your account during this statement period. You may not receive a statement through the mail in the future if there is no activity on your account. You can always view your account activity and statement by logging on to your account through chase.com. If you have questions, please call us at the number on this statement.

The fees for this account are included in the fee information for account [redacted]

Page 1 of 2

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

March 31, 2012 through April 30, 2012
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____
2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____
4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

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- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

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JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 2

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

May 01, 2012 through May 31, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

No overdraft fees for purchases of $5 or less

Good news, we're making changes to help you avoid fees. For purchases of $5 or less that overdraw your account, we'll no longer charge you an Insufficient Funds Fee, Returned Item Fee, or Overdraft Protection Transfer Fee. This is in addition to our current policy of not charging those fees if your account is overdrawn by $5 or less at the end of the business day.

Effective July 22, 2012, these changes will be incorporated into the "Additional Banking Services and Fees" document as follows:

In the **Additional Banking Services and Fees,**

In the section called "Insufficient Funds, Returned Items, and Stop Payments" the fee descriptions will be changed to:

Insufficient Funds and Returned Item Fees
We will not charge an Insufficient Funds Fee if your ending account balance is overdrawn by $5 or less. Additionally, even if your ending account balance is overdrawn we will not charge an Insufficient Funds or Returned Item Fee for any item that is $5 or less.

Overdraft Protection Transfer Fee
We will not charge an Overdraft Protection Transfer Fee if your ending account balance, before any Overdraft Protection Transfers are made, is overdrawn by $5 or less or the Overdraft Protection transfer resulted from transactions that are at $5 or less.

Please note that there will be no change to the amount of these fees. All other terms of your account agreement remain the same. If you have any questions, please call us at the telephone number listed on this statement or visit your nearest Chase branch.

Change in fees for non-Chase ATM transactions

Good news. Starting July 22, 2012, we will reduce the number of non-Chase ATM fees charged when you perform balance inquiries or account transfers at a non-Chase ATM. For transactions performed by the same card at the same terminal within a 15 minute time period, balance inquiries and account transfers will not incur a fee if done in conjunction with a withdrawal. If only transfers and inquiries are performed only one non-Chase ATM fee will be charged. Other than this reduction in the number of fees charged for inquiries and transfers, there are no other changes to the Non-Chase ATM fee. As a reminder, the owner of the non-Chase ATM may impose an additional charge.

These changes will be reflected in your account agreement. All other terms of your account agreement remain the same. If you have any questions, please call us at the telephone number listed on this statement or visit your nearest Chase branch.

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-211
GROUP ID G29Jun18-211

CHASE

May 01, 2012 through May 31, 2012
Account Number: [redacted]

Lower Fees for Counter Checks

Beginning July 22, 2012, we will charge $2 per sheet (3 checks to a page) of Counter Checks, instead of $2 per check. Also, we may waive this Counter Check fee for certain types of accounts.

These changes will be updated in the Additional Banking Services and Fees for Chase business checking and savings accounts. All other terms of your account agreement remain the same. If you have any questions, please call us at the telephone number listed on this statement or visit your nearest Chase branch.

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$4,625.75
Ending Balance	0	$4,625.75

There has been no activity on your account during this statement period. You may not receive a statement through the mail in the future if there is no activity on your account. You can always view your account activity and statement by logging on to your account through chase.com. If you have questions, please call us at the number on this statement.

The fees for this account are included in the fee information for account ---------- [redacted]

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

May 01, 2012 through May 31, 2012
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS: Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.

JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

May 01, 2012 through May 31, 2012
Account Number: [redacted]

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

June 01, 2012 through June 29, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

We are eliminating the following fees for Business Banking customers

You already enjoy many complimentary banking services because you are a Chase Business Banking customer. And you'll be getting more services for free just for having a Chase Business Banking checking or savings account, starting August 1, 2012.

There will be NO FEE for:
- Cash Strap Orders
- Coin Roll Orders
- Cash Bag Deposits
- Multiple Mailed Statements

This is another way to reward our Business Banking customers for choosing Chase. All other terms of your account remain the same. If you have any questions, please call us at 1-800-CHASE38 (1-800-242-7338) or contact your banker.

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$4,625.75
Ending Balance	0	$4,625.75

There has been no activity on your account during this statement period. You may not receive a statement through the mail in the future if there is no activity on your account. You can always view your account activity and statement by logging on to your account through chase.com. If you have questions, please call us at the number on this statement.

The fees for this account are included in the fee information for account ---------- [redacted]

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

June 01, 2012 through June 29, 2012
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____
2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____
4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

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- The dollar amount of the suspected error
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JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-211
GROUP ID G29Jun18-211

CHASE
JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

June 30, 2012 through July 31, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$4,625.75
Deposits and Additions	1	1,000.00
Fees and Other Withdrawals	1	- 1,000.00
Ending Balance	2	$4,625.75

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
07/30	Online Transfer From: Chk [redacted] Transaction# [redacted]	$1,000.00
Total Deposits and Additions		$1,000.00

FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
07/23	07/21 Withdrawal	$1,000.00
Total Fees & Other Withdrawals		$1,000.00

The fees for this account are included in the fee information for account [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT
07/23	$3,625.75
07/30	4,625.75

Page 1 of 2

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID D29Jun18-211 29Jun18-211

CHASE

June 30, 2012 through July 31, 2012
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

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JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 2

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P.O. Box 659754
San Antonio, TX 78265-9754

August 01, 2012 through August 31, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$4,625.75
Ending Balance	0	$4,625.75

There has been no activity on your account during this statement period. You may not receive a statement through the mail in the future if there is no activity on your account. You can always view your account activity and statement by logging on to your account through chase.com. If you have questions, please call us at the number on this statement.

The fees for this account are included in the fee information for account ---------- [redacted]

Page 1 of 2

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID 029Jun18-211 29Jun18-211

CHASE

August 01, 2012 through August 31, 2012
Account Number: [redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number.
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

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JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 2

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-211
GROUP ID G29Jun18-211

CHASE
JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

September 01, 2012 through September 28, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

No overdraft fees for purchases of $5 or less

Good news, we made changes to help you avoid fees. For purchases of $5 or less that overdraw your account, we no longer charge an Insufficient Funds Fee, Returned Item Fee, or Overdraft Protection Transfer Fee. This is in addition to our current policy of not charging these fees if your account is overdrawn by $5 or less at the end of the business day.

As of July 22, 2012, these changes are reflected in the "Additional Banking Services and Fees" document as follows.

In the **Additional Banking Services and Fees,**

In the section called "Insufficient Funds, Returned Items, and Stop Payments" the fee descriptions will be changed to:

Insufficient Funds and Returned Item Fees
We will not charge an Insufficient Funds Fee if your ending account balance is overdrawn by $5 or less. Additionally, even if your ending account balance is overdrawn we will not charge an Insufficient Funds or Returned Item Fee for any item that is $5 or less.

Overdraft Protection Transfer Fee
We will not charge an Overdraft Protection Transfer Fee if your ending account balance, before any Overdraft Protection Transfers are made, is overdrawn by $5 or less or the Overdraft Protection transfer resulted from transactions that are all $5 or less.

Please note that there are no changes to the amount of these fees. All other terms of your account agreement remain the same. If you have any questions, please call us at the telephone number listed on this statement or visit your nearest Chase branch.

Change in fees for non-Chase ATM transactions

Good news. As of July 22, 2012, we reduced the number of non-Chase ATM fees charged when you perform balance inquiries or account transfers at a non-Chase ATM. For transactions performed by the same card at the same terminal within a 15 minute time period, balance inquiries and account transfers will not incur a fee if done in conjunction with a withdrawal. If only transfers and inquiries are performed only one non-Chase ATM fee will be charged. Other than this reduction in the number of fees charged for inquiries and transfers, there are no other changes to the Non-Chase ATM fee. As a reminder, the owner of the non-Chase ATM may impose an additional charge.

These changes are reflected in your account agreement. All other terms of your account agreement remain the same. If you have any questions, please call us at the telephone number listed on this statement or visit your nearest Chase branch.

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

September 01, 2012 through September 30, 2012
Account Number: [redacted]

Lower Fees for Counter Checks

As of July 22, 2012, we charge $2 per sheet (3 checks to a page) of Counter Checks, instead of $2 per check. Also, we may waive this Counter Check fee for certain types of accounts.

These changes are reflected in the Additional Banking Services and Fees for Chase business checking and savings accounts. All other terms of your account agreement remain the same. If you have any questions, please call us at the telephone number listed on this statement or visit your nearest Chase branch.

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$4,625.75
Ending Balance	0	$4,625.75

There has been no activity on your account during this statement period. You may not receive a statement through the mail in the future if there is no activity on your account. You can always view your account activity and statement by logging on to your account through chase.com. If you have questions, please call us at the number on this statement.

The fees for this account are included in the fee information for account [redacted]

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

September 01, 2012 through September 28, 2012
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____
2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____
4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

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JPMorgan Chase Bank, N.A. Member FDIC

Page 3 of 4

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

September 01, 2012 through September 28, 2012
Account Number: [redacted]

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29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-211
GROUP ID G29Jun18-211

CHASE
JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

September 29, 2012 through October 31, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$4,625.75
Ending Balance	0	$4,625.75

There has been no activity on your account during this statement period. You may not receive a statement through the mail in the future if there is no activity on your account. You can always view your account activity and statement by logging on to your account through chase.com. If you have questions, please call us at the number on this statement.

The fees for this account are included in the fee information for account ---------- [redacted]

Page 1 of 2

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

September 29, 2012 through October 31, 2012
Account Number [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

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JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

November 01, 2012 through November 30, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

You will see more information about your ATM deposits on your account statement.

Tracking your ATM deposits will be even easier beginning November 12, 2012. In the Deposits and Additions section of your statement, you will see the date you made your deposit (in addition to the date we posted it to your account), and the last four digits of the card number for each ATM deposit.

In the ATM & Debit Card Summary section, ATM and debit card transactions will be totaled by card number. This will help you track activity by cardholder. Please note that any ATM deposit transactions that post to your account before November 12, 2012, will not show this additional information and will not be included in the ATM & Debit Card Summary section at the end of your statement.

We value you as a Chase customer. If you have questions, please call us at the number on this statement or visit your nearest branch.

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$4,825.75
Ending Balance	0	$4,825.75

There has been no activity on your account during this statement period. You may not receive a statement through the mail in the future if there is no activity on your account. You can always view your account activity and statement by logging on to your account through chase.com. If you have questions, please call us at the number on this statement.

The fees for this account are included in the fee information for account ---------- [redacted]

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

November 01, 2012 through November 30, 2012
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

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- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

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JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 2

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID Q29Jun18-211 29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

December 01, 2012 through December 31, 2012
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

IMPORTANT UPDATES TO THE DEPOSIT ACCOUNT AGREEMENT

We will be making the following changes to the Deposit Account Agreement for Chase checking and savings accounts, effective March 24, 2013.

We have:

- Added the following language to the Important Definitions section: Debit card transaction: Includes any purchase from a merchant using your ATM card or debit card.
- Modified the agreement to clarify that we will only send one copy of any notice relating to your account, even if the account has more than one owner.
- Revised our Funds Availability Policy. Under Longer Delays May Apply, we describe circumstances where funds may not be available until the seventh business day after the day of deposit. We are deleting the sentence saying that the first $200 from your deposit will be available on the next business day, so if we delay availability in those cases the delay may apply to the full amount of the deposit.

All other terms of your account agreement remain the same. If you have questions about the changes, please call us at the number on this statement or visit your nearest branch.

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$4,625.75
Ending Balance	0	$4,625.75

There has been no activity on your account during this statement period. You may not receive a statement through the mail in the future if there is no activity on your account. You can always view your account activity and statement by logging on to your account through chase.com. If you have questions, please call us at the number on this statement.

The fees for this account are included in the fee information for account ---------- [redacted]

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-211
GROUP ID G29Jun18-211

CHASE

December 01, 2012 through December 31, 2012
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

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* The dollar amount of the suspected error
* A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

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JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

January 01, 2013 through January 31, 2013
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$4,625.75
Deposits and Additions	2	17,455.63
Ending Balance	2	$22,081.38

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
01/23	Online Transfer From Chk [redacted] Transaction# [redacted]	$7,455.63
01/25	Online Transfer [redacted] From Joyce's B of A ######## [redacted] Transaction #: [redacted]	10,000.00
Total Deposits and Additions		$17,455.63

The fees for this account are included in the fee information for account ---------- [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT
01/23	$12,081.38
01/25	22,081.38

Page 1 of 2

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST GROUP ID G29Jun18-211 29Jun18-211

CHASE

January 01, 2012 through January 31, 2012
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____
2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____
4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

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JPMorgan Chase Bank, N.A. Member FDIC

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-211
GROUP ID G29Jun18-211

CHASE
JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

February 01, 2013 through February 28, 2013
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]
JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

CHECKING SUMMARY

Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$22,081.38
Electronic Withdrawals	1	- 22,081.38
Ending Balance	1	$0.00

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
02/07	02/07 Online Transfer To Chk . [redacted] Transaction# [redacted]	$22,081.38
Total Electronic Withdrawals		$22,081.38

The fees for this account are included in the fee information for account [redacted]

DAILY ENDING BALANCE

DATE	AMOUNT
02/07	$0.00

Page 1 of 2

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

February 01, 2013 through February 28, 2013
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS: Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on this statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

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Member FDIC JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 2

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-211
GROUP ID G29Jun18-211

CHASE

JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

March 01, 2013 through March 29, 2013
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT FOR CONGRESS
[redacted]

We have reduced our Legal Processing Fee

On March 24, 2013, we reduced the Legal Processing Fee to a maximum of $75 per order. This fee is assessed for the processing of any garnishment, tax levy, or other court or administrative order against an account. This change will be reflected in your account agreement, all other terms remain the same. If you have questions, please call us at the telephone number listed on this statement or visit your nearest Chase branch.

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$0.00
Ending Balance	0	$0.00

There has been no activity on your account during this statement period. You may not receive a statement through the mail in the future if there is no activity on your account. You can always view your account activity and statement by logging on to your account through chase.com. If you have questions, please call us at the number on this statement.

The fees for this account are included in the fee information for account ---------- [redacted]

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

March 01, 2013 through March 29, 2013
Account Number [redacted]

IMPORTANT INFORMATION FOR CONSUMERS ABOUT YOUR PERSONAL CHASE CHECKING ACCOUNT

WHAT YOU NEED TO KNOW ABOUT OVERDRAFTS AND OVERDRAFT FEES

An overdraft occurs when you do not have enough money in your account to cover a transaction, but we pay it anyway. We can cover your overdrafts in two different ways:

1. We have standard overdraft practices that come with your account
2. We also offer overdraft protection plans, such as a link to a savings or credit card account, which may be less expensive than our standard overdraft practices. To learn more, ask us about these plans

This notice explains our standard overdraft practices

What are the standard overdraft practices that come with my account?

We do authorize and pay overdrafts for the following types of transactions:

- Checks and other transactions made using your checking account number
- Recurring debit card transactions

We do not authorize and pay overdrafts for the following type of transaction unless you ask us to:

- Everyday debit card transactions

We pay overdrafts at our discretion, which means we do not guarantee that we will always authorize and pay any type of transaction.

If we do not authorize and pay an overdraft, your transaction will be declined

What fees will I be charged if Chase pays my overdraft?

Under our standard overdraft practices:

- We will charge you a fee of $34 each time we pay an overdraft
- Also, each time your account is overdrawn for 5 consecutive business days, we will charge you an additional $15
- There is a 3 per day limit on the above $34 fee we can charge you for overdrawing your account.

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

March 01, 2013 through March 29, 2013
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: $_____

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JPMorgan Chase Bank, N.A. Member FDIC

Page 3 of 4

COE.SCHWEIKERT.005928

CBA_1896

18-2234_1126

29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

CHASE

March 01, 2013 through March 29, 2013
Account Number: [redacted]

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29-Jun-18

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

29Jun18-211

Not Mailed Due To Inactivity

CHASE

JPMorgan Chase Bank, N.A.
P.O Box 659754
San Antonio, TX 78265-9754

March 30, 2013 through April 30, 2013
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
[redacted]

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$0.00
Ending Balance	0	$0.00

29-Jun-18 29Jun18-211

THIS PAGE IS PART OF A STATEMENT REQUEST
GROUP ID G29Jun18-211

CHASE

March 30, 2013 through April 30, 2013
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not

1. Write in the Ending Balance shown on this statement: Step 1 Balance: $_____

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Date	Amount	Date	Amount	Date	Amount

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JPMorgan Chase Bank, N.A. Member FDIC

Page 2 of 2

29-Jun-18 THIS PAGE IS PART OF A STATEMENT REQUEST 29Jun18-211
GROUP ID G29Jun18-211

Not Mailed Due To Inactivity

CHASE

JPMorgan Chase Bank, N.A.
P.O Box 659754
San Antonio, TX 78265-9754

May 01, 2013 through May 31, 2013
Account Number: [redacted]

CUSTOMER SERVICE INFORMATION

Web site:	Chase.com
Service Center:	1-800-242-7338
Deaf and Hard of Hearing:	1-800-242-7383
Para Espanol:	1-888-622-4273
International Calls:	1-713-262-1679

[redacted]

JOYCE R SCHWEIKERT
DAVID S SCHWEIKERT DBA
DAVID SCHWEIKERT 2012 CONGRESS
[redacted]

CHECKING SUMMARY Chase BusinessPlus Extra

	INSTANCES	AMOUNT
Beginning Balance		$0.00
Ending Balance	0	$0.00

Page 1 of 2

CHASE

May 01, 2013 through May 31, 2013
Account Number: [redacted]

[redacted]

Note: Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

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2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount

Step 2 Total: $_____

3. Add Step 2 Total to Step 1 Balance. Step 3 Total: $_____
4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount

Step 4 Total: -$_____

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JPMorgan Chase Bank, N.A. Member FDIC

Checking Account Summary

Customer: DAVID SCHWEIKERT FOR CONGRESS
Account: AZ Checking #[redacted]

Related Customers

Name	Relationship	SSN/EIN	Date of Birth
DAVID SCHWEIKERT FOR CONGRESS	Sole Owner	[redacted]	
JOYCE R. SCHWEIKERT	Signer	[redacted]	07/18/1962
DAVID SCHWEIKERT	Signer	[redacted]	03/03/1962

Comments

Valid From	Valid Until	Type	Comment Text
09/29/2010		General	NSF/OD FAX RECD/APPROVED

Additional Comments: Alert: N **General: N** **Special Instructions: N** **Other: N**

Financial Information

Product Description BUSINESSPLUS EXTRA	**Account Status** Purge Pending	**Restricted By** Other
Current Balance $0.00	**Memo Credits** $0.00	**Total Amount of holds** $0.00
Present Balance $0.00	**Memo Debits** $0.00	**Number of holds** 0
Available Less Overdraft $0.00	**Previous Day Total Credit ($)** $0.00	**Funds Available 07/25** $0.00
Available Balance $0.00	**Previous Day Total Debit ($)** $0.00	**Funds Available 07/25** $0.00
Collected Balance $0.00	**Interest Paid YTD** $0.00	**Funds Available 07/25** $0.00
Average Balance YTD $3,828.00	**Accrued Interest PTD** $0.00	**Funds Available 07/25** $0.00
Average Combined Balance for Interest Rate $0.00	**Interest Rate** 0.00000%	**Funds Available After 07/25** $0.00
Average Combined Balance for Service Charge $0.00	**Interest Rate Difference** 0.00000%	**Float Schedule** 601
Last Activity Date 04/09/2013	**Interest Plan** 00	**Last Deposit Amount** $10,000.00
Last Deposit Date 01/25/2013	**Weekend / Holiday Interest** $0.00	**Last Monetary Transaction Date** 02/07/2013
Aggregated Funds N	**ZBA Info** Not a ZBA	**Last Non-Monetary Transaction Date** 04/09/2013

Last Deposit Date 01/25/2013	**Weekend / Holiday Interest** $0.00	**Last Monetary Transaction Date** 02/07/2013
Aggregated Funds N	**ZBA Info** Not a ZBA	**Last Non-Monetary Transaction Date** 04/09/2013
Interest Aggregation N	**Pending Close** Data Not Available	**Controlled Disbursement Flag** N
Market Name AZ - SW Mesa	**Pricing Region** 1 - Phoenix	
Billing Indicator DDB (Month-end plus)	**Current Close** Final	**GLE Indicator** N - Not on GLE/Product not eligible for GLE
		ARP Indicator 0-No ARP

Account Protection

Debit Card Coverage Yes	**Debit Card Coverage History** Show History	**ODP Type** No ODP
ODP Account # Data Not Available	**ODP Available Balance** $0.00	**Last OD Date** Data Not Available
OD/NSF Information Past Occurrences	**OD Pay Code** Pay up to the Account Level Overdraft Limit.	**Overdraft Officer** N233597
Total # Occurrences MTD 0	**Total # OD/NSF Occurrences Past 12 Months** 0 (days debits presented against NSF funds)	

Account Relationship Information

Display Information

Account Relationship Details

Expected Use Of Account Information

Display Information

Expected Use Of Account Details

Miscellaneous Fee Information

Display Information

○ Yes ◉ No

Promotion Information

Display Information

○ Yes ◉ No

Promotion Information

Display Information

○ Yes ◉ No

Interest Promo Code and Plan History Information

Display Information

○ Yes ◉ No

Sweep Information

Display Information

○ Yes ◉ No

Statement Information

Display Information

Statement Information Details

Miscellaneous

Open Date 08/07/2007	**Close Date** 04/08/2013	**Restrictions** N
Stops 0	**Stop Payment Notices** N	**Custom Calendar (Y/N)** Data Not Available
Enclose Credits N	**Enclose Credits Pending** Data Not Available	**Business Unique Location ID Required** N
Tax Withholding Code 31 - TIN ON FILE - CERTIFIED	**Signature Review Amount** 0	**Return Mail** N
Sub-Account Type Business - General	**Last ACH Credit Date** 01/24/2011	**Last Product Change Date** 01/24/2011
Treasury Management Officer Data Not Available	**Check Cashing** Allowed	**Bankruptcy Management Services** N
Maximum Check Cashing Amount $2,500.00	**Alerts Service Subscriber** Y	**Systematic Product Change Message** Data Not Available
Earnings Credit Type None	**Earnings Credit Balance** $0.00	**Positive Pay** N
Regulatory Account Type 000 - NO REGULATORY ACCOUNT TYPE	**Attorney Trust Account** No	**Cash Limit Exception?** N/A
Electronic Signature No		

EXHIBIT 22

Unaccounted for Transfers to David Schweikert for Congress (*4224)[1]		
Date:	**Transfer from:**	**Amount:**
1/5/10	Personal Checking	$25,000.00[2]
7/27/10	Sheridan Holdings	$14,000.00[3]
8/19/10	Sheridan Equities	$3,000.00[4]
8/19/10	Sheridan Holdings	$2,000.00[5]
9/14/10	Sheridan Holdings	$60,000.00[6]
9/29/10	Sheridan Holdings	$10,000.00[7]
10/13/10	Sheridan Holdings	$8,000.00[8]
2/4/11	Sheridan Equities	$200.00[9]
5/6/11	Sheridan Holdings	$2,000.00[10]
6/3/11	Sheridan Holdings	$500.00[11]
1/23/13	Personal Checking	$7,455.63[12]
1/25/13	Joyce's B of A	$10,000.00[13]
TOTAL UNREPORTED RECEIPTS:[14]		**$142,155.63**

[1] The figures below exclude the transfers from Schweikert for Congress to David Schweikert for Congress that occurred in March and July 2012.
[2] David Schweikert for Congress Chase Bank Statements (Exhibit 21 at 18-2234_0976).
[3] David Schweikert for Congress Chase Bank Statements (Exhibit 21 at 18-2234_1014).
[4] David Schweikert for Congress Chase Bank Statements (Exhibit 21 at 18-2234_1020).
[5] David Schweikert for Congress Chase Bank Statements (Exhibit 21 at 18-2234_1020).
[6] David Schweikert for Congress Chase Bank Statements (Exhibit 21 at 18-2234_1026).
[7] David Schweikert for Congress Chase Bank Statements (Exhibit 21 at 18-2234_1027).
[8] David Schweikert for Congress Chase Bank Statements (Exhibit 21 at 18-2234_1034).
[9] David Schweikert for Congress Chase Bank Statements (Exhibit 21 at 18-2234_1062).
[10] David Schweikert for Congress Chase Bank Statements (Exhibit 21 at 18-2234_1074).
[11] David Schweikert for Congress Chase Bank Statements (Exhibit 21 at 18-2234_1076).
[12] David Schweikert for Congress Chase Bank Statements (Exhibit 21 at 18-2234_1120).
[13] David Schweikert for Congress Chase Bank Statements (Exhibit 21 at 18-2234_1120).
[14] Note that this is only a total of unidentified receipts that the OCE identified in David Schweikert for Congress Chase Bank records from 2010-2013. The OCE did not have access to other accounts maintained by the campaign or Chase Bank statements maintained before 2010.

EXHIBIT 23

Search

Free Upgrade to Premium

Valerie Giramberk

Retired at Retired and enjoying time to pursue personal interests

Phoenix, Arizona Area

Connect

Retired and enjoying time to pursue personal interests

California Lutheran University

See contact info

273 connections

In the last four years I have expanded my knowledge of property management in every aspect from legal issues to construction bids as well as obtaining my real estate license. Specialties: Scheduling, negotiating, budgeting and planning.

Experience

Retired
Retired and enjoying time to pursue personal interests
Apr 2015 – Present • 3 yrs 1 mo

Property Management
Associated Asset Management (AAM)
Jan 2011 – Present • 7 yrs 4 mos
Phoenix, AZ

Contractor/Accounting
Sheridan Equities Holdings
Aug 2009 – Dec 2010 • 1 yr 5 mos

Circulation/Distribution Manager
Gannett Pacific Publications
Mar 2006 – Jul 2009 • 3 yrs 5 mos

Circulation Director/Manager - PNI
bizAZ magazine
May 2004 – Mar 2006 • 1 yr 11 mos

Circulation Director
Weider Publications
May 1992 – Aug 2002 • 10 yrs 4 mos

People Also Viewed

Terrance Spann
CEO at Good Hurt, LLC

ian harris
Real Estate Broker Houston, TX

Jeff Weinstock
Senior Communications Speciali
Children's Hospital Los Angeles

Lynna Koelemeijer
Accounting at a Property Manag
Company

Jerry Kindela
Launch,Turnaround Savvy & Gro
Level Strategic (not Stategic) Edi
Direction/Fitness, Health, Nutriti
Writer

Julie Price
I love assisting people with findi
dream home or selling their hom
begin the next chapter in their li

samantha vega
Administrative Assistant at Asso
Asset Management (AAM)

Claire Varney-Dickson
Business Development Manager
Associa Arizona

Julie Hoover
Community General Manager at
Associated Asset Management (

Gerry Pierick
General Manager at Ditch Witch
Arizona

Learn the skills Valerie has

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Sage Publications
Sep 1990 – May 1992 • 1 yr 9 mos
Newberry Park, CA

Handled over 100 academic journals.

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Education

California Lutheran University
Bachelor of Arts (B.A.), Marketing
1990 – 1993

Purdue University
Literature

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Skills & Endorsements

Publishing · 17

Endorsed by Jerry Kindela, who is highly skilled at this

Endorsed by 2 of Valerie's colleagues at Associated Asset Management (AAM)

Magazines · 15

Endorsed by Jerry Kindela, who is highly skilled at this

Endorsed by 2 of Valerie's colleagues at Weider Publications

Advertising · 12

Endorsed by 2 of Valerie's colleagues at Weider Publications

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Recommendations

Received (4) Given (2)

Debbie Esfandiary
Strategic Facilities Planner
August 6, 2009, Valerie worked with Debbie in the same group

Valerie's solid background of education and experience have allowed her to excel in the publishing industry. She's astute in identifying and analyzing customer characteristics and data, maneuvers from being hands-on to an effective delegator.
I have worked with Valerie in the past and observed her strengths and abilities: always professional, relies on the facts, dedicated to quality and is proactive to ensure there are no surprises. These attributes have been keys to her success.
As a valuable employee with a good sense of humor, I feel that Valerie would be a great fit in any organization seeking a creative thinker with a can-do attitude.

Michael Carr
Profit Prophet
July 30, 2009, Michael was senior to Valerie but didn't manage directly

Valerie is consciencious, and hard working. She began as a circulation person with Shape Magazine, and ultimately grew into a senior director role within the company's distribution department. While working with Valerie, our circulation file grew by more than 600%.

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