SA 643. Mr. ENZI (for himself, Ms. COLLINS, Mrs. MURRAY, and Mr. BINGAMAN) submitted an amendment intended to be proposed by him to the bill S. 1, supra; which was ordered to lie on the table.

SA 644. Mr. ENZI submitted an amendment intended to be proposed by him to the bill S. 1, supra; which was ordered to lie on the table.

SA 645. Mr. CONRAD submitted an amendment intended to be proposed by him to the bill S. 1, supra; which was ordered to lie on the table.

SA 646. Mr. EDWARDS submitted an amendment intended to be proposed by him to the bill S. 1, supra; which was ordered to lie on the table.

SA 647. Mr. HATCH proposed an amendment to the bill H.R. 428, concerning the participation of Taiwan in the World Health Organization.

TEXT OF AMENDMENTS

SA 396. Mr. REED submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 246, line 4, insert "health services programs," before "art,".

On page 246, line 6, insert "that provide a comprehensive approach to learning and" after "programs,".

On page 246, line 8, insert "and meet other needs of students and families" after "students".

On page 246, line 24, insert "health service programs," before "art,".

On page 247, lines 1 and 2, insert "that provide a comprehensive approach to learning and" after "programs)".

On page 247, line 3, insert "and meet other needs of students and families" after "students".

On page 255, strike lines 21 and 22 and insert the following:

"(B) an identification and assessment of Federal, State, and local programs and services that will be combined or co-

On page 256, line 21, strike "and"

On page 256, line 24, strike the period and insert "; and".

On page 256, after line 24, insert the following:

"(I) a description of how the eligible organization will use the funds made available under this part to provide comprehensive support services and how those services will be integrated with existing (as of the date of submission of the application) Federal, State, and local programs and services; and

"(J) a description of measurable outcomes anticipated from the use of the funds, including outcomes related to improving student achievement and the wellbeing of students, families, and the community, and other related outcomes.

On page 257, line 7, strike "and".

On page 257, line 10, strike the period and insert "; and".

On page 257, between lines 10 and 11, and insert the following:

"(4) describing programs that—

"(A) offer a broad selection of services that address the needs of the community; and

"(B) have a comprehensive approach to integrating Federal, State, and local programs and services to reach clearly defined outcomes, including outcomes related to improving student achievement and the

wellbeing of students, family, and the community, and other related outcomes.

SA 397. Mr. REED submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 77, line 10, strike "and" after the semicolon.

On page 77, between lines 17 and 18, insert the following:

(iii) by adding at the end the following:

"(I) Coordination and integration of Federal, State, and local services and programs, including services that support improved student learning through access for children and families to health, social and human services, recreation, and cultural services."; and

On page 77, line 24, strike "and".

On page 78, line 4, strike "and".

On page 78, between lines 4 and 5, insert the following:

(III) in clause (vi), by striking "and" after the semicolon;

(IV) in clause (vii), by striking the period and inserting "; and"; and

(V) by adding at the end the following:

"(viii) describes how the school will coordinate and collaborate with other agencies providing services to children and families, including services that support improved student learning through access for children and families to health, social and human services, recreation, and cultural services."; and

On page 79, line 11, strike "and" both places it appears.

On page 79, strike line 18, and insert the following:

teams; and"; and

On page 79, between lines 18 and 19, insert the following:

(C) by adding at the end the following:

"(I) coordinate and integrate Federal, State, and local services and programs, including services that support improved student learning through access for children and families to health, social and human services, recreation, and cultural services."

SA 398. Mr. REED submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 62, line 16, strike "and".

On page 62, line 22, strike the period and insert ": and".

On page 62, between lines 22 and 23, insert the following:

"(ix) information on the extent of parental participation in schools in the State, and information on parental involvement activities in the State.

On page 63, strike lines 17 through 20.

On page 63, line 21, strike "(viii);; and insert "(vi)".

On page 63, line 23, strike "(ix)" and insert "(vii)".

On page 64, line 1, strike "(x)" and insert "(viii)".

SA 399. Mr. REED submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary

and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 739, between lines 15 and 16, insert the following:

"(iii) ensure compliance with the parental involvement provisions of this Act;".

SA 400. Mr. REED submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 249, line 7, strike "1" and insert "2.5"

On page 257, between lines 18 and 19, insert the following:

"SEC. 1610. NATIONAL ACTIVITIES.

"(a) DEFINITION.—In this section, the term 'eligible partnership' means a partnership—

"(1) that contains—

"(A) at least 1 public elementary school or secondary school that—

"(i) receives assistance under this title and for which a measure of poverty determination is made under section 1113(a)(5) with respect to a minimum of 40 percent of the children in the school; and

"(ii) demonstrates parent involvement and parent support for the partnership's activities:

"(B) a local educational agency;

"(C) a public agency, other than a local educational agency, such as a local or State department of health, mental health, or social services;

"(D) a nonprofit community-based organization, providing health, mental health, or social services:

"(E) a local child care resource and referral agency; and

"(F) a local organization representing parents: and

"(2) that may contain—

"(A) an institution of higher education; and

"(B) other public or private nonprofit entities with experience in providing services to disadvantaged families.

"(b) Grants.—

"(1) IN GENERAL.—From funds reserved under section 1605(a)(2), the Secretary may award grants to eligible partnerships to pay for the Federal share of the cost of establishing and expanding school-based or school-linked community service centers that provide to children and families, or link children and families with, comprehensive support services to improve the children's educational, health, and mental health outcomes and overall wellbeing.

"(2) DURATION.—The Secretary shall award grants under this section for periods of 5 years.

"(c) REQUIRED ACTIVITIES.—Each eligible partnership receiving a grant under this section shall use the grant funds—

"(1) in accordance with the needs assessment described in subsection (d)(2)(A), to provide or link children and their families with information, support, activities, or services in core areas such as education, child care, before- and after-school care and enrichment programs, health services, mental health services, family support, nutrition, literacy services, parenting skills, and drop-out prevention; and

"(2) to provide intensive, high-quality, research-based programs that—

"(A) provide violence prevention education for families and developmentally appropriate instructional services to children (including children below the age of compulsory school attendance); and

"(B) provide effective strategies for nurturing and supporting the emotional, social, and cognitive growth of children.

"(d) APPLICATIONS.-

"(1) IN GENERAL.—Each eligible partnership desiring a grant under this section shall submit an application to the Secretary at such time, in such manner, and containing such information as the Secretary may require.

"(2) CONTENTS.—Each application submitted pursuant to paragraph (1) shall—

"(A) include a needs assessment, including a description of how the partnership will ensure that the activities to be assisted under this section will be tailored to meet the specific needs of the children and families to be served:

"(B) describe arrangements that have been formalized between the participating public elementary school or secondary school, and other partnership members;

"(C) describe how the partnership will effectively coordinate activities with the centers described in section 1118(g) and utilize Federal, State, and local sources of funding that provide assistance to families and their children.

"(D) describe the partnership's plan to-

"(i) develop and carry out the activities assisted under this section with extensive participation of parents, administrators, teachers, pupil services personnel, social and human service agencies, and community organizations and leaders; and

"(ii) coordinate the activities assisted under this section with the education reform efforts of the participating public elementary school or secondary school, and the participating local educational agency;

"(E) describe how the partnership will ensure that underserved populations such as families of students with limited English proficiency, or families of students with disabilities, are effectively involved, informed, and assisted:

"(F) describe how the partnership will collect and analyze data, and will utilize specific performance measures and indicators to—

"(i) determine the impact of activities assisted under this section as described in subsection (g); and

 $\lq\lq(ii)$ improve the activities assisted under this section; and

"(G) describe how the partnership will protect the privacy of families and their children participating in the activities assisted under this section.

''(e) Federal Share.—The Federal share of the cost described in subsection (b)(1)— $\,$

"(1) for the first year for which an eligible partnership receives assistance under this section shall not exceed 90 percent;

"(2) for the second such year, shall not exceed 80 percent;

"(3) for the third such year, shall not exceed 70 percent;

"(4) for the fourth such year, shall not exceed 60 percent; and

"(5) for the fifth such year, shall not exceed 50 percent.

"(f) Funding.—

"(1) CONTINUATION OF FUNDING.—Each eligible partnership that receives a grant under this section shall, after the third year for which the partnership receives funds through the grant, be eligible to continue to receive the funds only if the Secretary determines that the partnership has made significant progress in meeting the performance measures used for the partnership's local evaluation under subsection (g).

"(2) LIMITATION ON USE OF FUNDS TO OFFSET OTHER PROGRAMS.—Notwithstanding any other provision of law, none of the funds received under a grant under this section may be used to pay for expenses related to any other Federal program, including treating such funds as an offset against such a Federal program.

"(g) EVALUATIONS AND REPORTS.—Each partnership receiving funds under this section shall conduct annual evaluations and submit to the Secretary reports containing the results of the evaluations. The reports shall include the results of an evaluation of the partnership's effectiveness in reaching and meeting the needs of families and children served under this section, assessed through performance measures, including performance measures assessing—

"(1) improvements in areas such as student achievement, family participation in schools, and access to health care, mental health care, child care, and family support services, resulting from activities assisted under this section; and

"(2) reductions in such areas as violence among youth, truancy, suspension, and dropout rates, resulting from activities assisted under this section.

"(h) REFERENCES.—References in this part (other than this section and section 1605(a)(2)) to activities or funding provided under this part shall not be considered to be references to activities or funding provided under this section.

SA 401. Mr. REED submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 479, strike line 8 and insert the following: for limited English proficient students, and to assist parents to become active participants in the education of their children

SA 402. Mr. BYRD submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 794, after line 7, add the following: SEC. ____. GRANTS FOR THE TEACHING OF TRADI-TIONAL AMERICAN HISTORY AS A SEPARATE SUBJECT.

Title IX (as added by section 901) is amended by adding at the end the following:

"PART B—TEACHING OF TRADITIONAL AMERICAN HISTORY

"SEC. 9201. GRANTS FOR THE TEACHING OF TRA-DITIONAL AMERICAN HISTORY AS A SEPARATE SUBJECT.

"(a) IN GENERAL.—There are authorized to be appropriated \$100,000,000 to enable the Secretary to establish and implement a program to be known as the 'Teaching American History Grant Program' under which the Secretary shall award grants on a competitive basis to local educational agencies—

"(1) to carry out activities to promote the teaching of traditional American history in schools as a separate subject; and

"(2) for the development, implementation, and strengthening of programs to teach American history as a separate subject (not as a component of social studies) within the school curricula, including the implementation of activities to improve the quality of instruction and to provide professional development and teacher education activities with respect to American history.

"(b) REQUIRED PARTNERSHIP.—A local educational agency that receives a grant under subsection (a) shall carry out activities under the grant in partnership with 1 or more of the following:

"(1) An institution of higher education.

"(2) A non-profit history or humanities organization.

"(3) A library or museum.".

SA 403. Mr. WELLSTONE proposed an amendment to amendment SA 358 proposed by Mr. Jeffords to the bill (S. 1) to extend programs and activities under the Elementary and Secondary Education Act of 1965; as follows:

On page 46, strike line 19 and replace with the following:

"assessments developed and used by national experts on educational testing."

"(D) be used only if the State provides to the Secretary evidence from the test publisher or other relevant sources that the assessment used is of adequate technical quality for each purpose for which the assessment is used, such evidence to be made public by the Secretary upon request;".

On page 51, between lines 15 and 16, insert the following:

"(K) enable itemized score analyses to be reported to schools and local educational agencies in a way that parents, teachers, schools, and local educational agencies can interpret and address the specific academic needs of individual students as indicated by the students' performance on assessment items."

On page 125, between lines 4 and 5, insert the following:

SEC. 118A. GRANTS FOR ENHANCED ASSESSMENT INSTRUMENTS.

Part A of title I (20 U.S.C. 6311 et seq.) is amended by inserting after section 1117 (20 U.S.C. 6318) the following:

"SEC. 1117A. GRANTS FOR ENHANCED ASSESS-MENT INSTRUMENTS.

''(a) Purpose.—The purpose of this section is to—

"(1) enable States (or consortia or States) and local educational agencies (or consortia of local educational agencies) to collaborate with institutions of higher education, other research institutions, and other organizations to improve the quality and fairness of State assessment systems beyond the basic requirements for assessment systems described in section 1111(b)(3);

"(2) characterize student achievement in terms of multiple aspects of proficiency;

"(3) chart student progress over time;

"(4) closely track curriculum and instruction; and

"(5) monitor and improve judgments based on informed evaluations of student performance.

"(b) AUTHORIZATION OF APPROPRIATIONS.— There are authorized to be appropriated to carry out this section \$200,000,000 for fiscal year 2002 and such sums as may be necessary for each of the 6 succeeding fiscal years.

"(c) Grants Authorized.—The Secretary is authorized to award grants to States and local educational agencies to enable the States and local educational agencies to carry out the purpose described in subsection (a).

"(d) APPLICATION.—In order to receive a grant under this section for any fiscal year, a State or local educational agency shall

submit an application to the Secretary at such time and containing such information as the Secretary may require.

'(e) AUTHORIZED USE OF FUNDS.—A State or local educational agency having an application approved under subsection (d) shall use the grant funds received under this section to collaborate with institutions of higher education or other research institutions, experts on curriculum, teachers, administrators, parents, and assessment developers for the purpose of developing enhanced assessments that are aligned with standards and curriculum, are valid and reliable for the purposes for which the assessments are to be used, are grade-appropriate, include multiple measures of student achievement from multiple sources, and otherwise meet the requirements of section 1111(b)(3). Such assessments shall strive to better measure higher order thinking skills, understanding, analytical ability, and learning over time through the development of assessment tools that include techniques such as performance, cur-

riculum-, and technology-based assessments. "(f) ANNUAL REPORTS.—Each State or local educational agency receiving a grant under this section shall report to the Secretary at the end of the fiscal year for which the State or local educational agency received the grant on the progress of the State or local educational agency in improving the quality and fairness of assessments with respect to the purpose described in subsection (a)."

SA 404. Mr. MURKOWSKI submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 507, line 4, strike "and"

On page 507, line 6, strike the period and insert "; and".

On page 507, between lines 6 and 7, insert the following:

"(5) \$25,000,000 for fiscal year 2002, and such sums as may be necessary for each of the 6 succeeding fiscal years to carry out section 4126.".

On page 565, between lines 18 and 19, insert the following:

"SEC. 4126. SUICIDE PREVENTION PROGRAMS.

"(a) GRANTS AUTHORIZED.-

"(1) AUTHORITY.—The Secretary is authorized to award grants and contracts to elementary schools and secondary schools for the purpose of—

"(A) developing and implementing suicide prevention programs; and

"(B) to provide training to school administrators, faculty, and staff, with respect to identifying the warning signs of suicide and creating a plan of action for helping those at risk.

"(2) AWARD BASIS.—The Secretary shall award grants and contracts under this section—

``(A) on a competitive basis; and

"(B) in a manner that ensures that such grants and contracts are equitably distributed throughout a State among elementary schools and secondary schools located in rural, urban, and suburban areas in the

"(3) POLICY DISSEMINATION.—The Secretary shall disseminate to elementary schools and secondary schools any Department of Education policy guidance regarding the prevention of suicide.

"(b) USES OF FUNDS.—Funds provided under this section may be used for the following purposes:

"(1) To provide training for elementary school and secondary school administrators, faculty, and staff with respect to identifying the warning signs of suicide and creating a plan of action for helping those at risk.

"(2) To provide education programs for elementary school and secondary school students that are developmentally appropriate for the students' grade levels and are designed to meet any unique cultural and language needs of the particular student populations

"(3) To conduct evaluations to assess the impact of programs and policies assisted under this section in order to enhance the development of the programs.

"(c) CONFIDENTIALITY.—Policies, programs, training materials, and evaluations developed and implemented under subsection (b) shall address issues of safety and confidentiality for the victim and the victim's family in a manner consistent with applicable Federal and State laws.

"(d) APPLICATION.—

"(1) IN GENERAL.—To be eligible to be awarded a grant or contract under this section for any fiscal year, an elementary school or secondary school shall submit an application to the Secretary at such time and in such manner as the Secretary shall prescribe.

"(2) CONTENTS.—Each application submitted under paragraph (1) shall—

"(A) describe the need for funds provided under the grant or contract and the plan for implementation of any of the activities described in subsection (b);

"(B) provide measurable goals for and expected results from the use of the funds provided under the grant or contract; and

"(C) incorporate appropriate remuneration for collaborating partners.

"(e) APPLICABILITY.—The provisions of this part (other than this section) shall not apply to this section."

SA 405. Mr. WYDEN submitted an amendment intended to be proposed by him to the bill S. 1 to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 778, strike line 21 and insert the following:

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"PART C—STUDENT EDUCATION ENRICHMENT

"SEC. 6301. SHORT TITLE.

"This part may be cited as the 'Student Education Enrichment Demonstration Act'.
"SEC. 6302. PURPOSE.

"The purpose of this part is to establish a demonstration program that provides Federal support to States and local educational agencies to provide high quality summer academic enrichment programs, for public school students who are struggling academically, that are implemented as part of statewide education accountability programs.

"SEC. 6303. DEFINITION.

"In this part, the term 'student' means an elementary school or secondary school student.

"SEC. 6304. GRANTS TO STATES.

"(a) IN GENERAL.—The Secretary shall establish a demonstration program through which the Secretary shall make grants to State educational agencies, on a competitive basis, to enable the agencies to assist local educational agencies in carrying out high quality summer academic enrichment pro-

grams as part of statewide education accountability programs.

"(b) ELIGIBILITY.—For a State educational agency to be eligible to receive a grant under subsection (a), the State served by the State educational agency shall—

"(1) have in effect all standards and assessments required under section 1111; and

"(2) compile and annually distribute to parents a public school report card that, at a minimum, includes information on student and school performance for each of the assessments required under section 1111.

"(c) APPLICATION.—

"(1) IN GENERAL.—To be eligible to receive a grant under this section, a State educational agency shall submit an application to the Secretary at such time, in such manner, and containing such information as the Secretary may require.

``(2) CONTENTS.—Such application shall include—

"(A) information describing specific measurable goals and objectives to be achieved in the State through the summer academic enrichment programs carried out under this part, which may include specific measurable annual educational goals and objectives relating to—

"(i) increased student academic achievement;

"(ii) decreased student dropout rates; or

"(iii) such other factors as the State educational agency may choose to measure; and

"(B) information on criteria, established or adopted by the State, that—

"(i) the State will use to select local educational agencies for participation in the summer academic enrichment programs carried out under this part; and

"(ii) at a minimum, will assure that grants provided under this part are provided to—

"(I) the local educational agencies in the State that have the highest percentage of students not achieving a proficient level of performance on State assessments required under section 1111:

"(II) local educational agencies that submit grant applications under section 6305 describing programs that the State determines would be both highly successful and replicable; and

"(III) an assortment of local educational agencies serving urban, suburban, and rural

"SEC. 6305. GRANTS TO LOCAL EDUCATIONAL AGENCIES.

"(a) IN GENERAL.—

"(1) FIRST YEAR.—

"(A) IN GENERAL.—For the first year that a State educational agency receives a grant under this part, the State educational agency shall use the funds made available through the grant to make grants to eligible local educational agencies in the State to pay for the Federal share of the cost of carrying out the summer academic enrichment programs, except as provided in subparagraph (B).

"(B) TECHNICAL ASSISTANCE AND PLANNING ASSISTANCE.—The State educational agency may use not more than 5 percent of the funds—

"(i) to provide to the local educational agencies technical assistance that is aligned with the curriculum of the agencies for the programs:

"(ii) to enable the agencies to obtain such technical assistance from entities other than the State educational agency that have demonstrated success in using the curriculum; and

"(iii) to assist the agencies in planning activities to be carried out under this part. "(2) SUCCEEDING YEARS.—

"(A) IN GENERAL.—For the second and third year that a State educational agency receives a grant under this part, the State educational agency shall use the funds made available through the grant to make grants to eligible local educational agencies in the State to pay for the Federal share of the cost of carrying out the summer academic enrichment programs, except as provided in subparagraph (B).

"(B) TECHNICAL ASSISTANCE AND PLANNING ASSISTANCE.—The State educational agency may use not more than 5 percent of the funds—

"(i) to provide to the local educational agencies technical assistance that is aligned with the curriculum of the agencies for the programs:

"(ii) to enable the agencies to obtain such technical assistance from entities other than the State educational agency that have demonstrated success in using the curriculum; and

"(iii) to assist the agencies in evaluating activities carried out under this part.

"(b) APPLICATION.—

"(1) IN GENERAL.—To be eligible to receive a grant under this section, a local educational agency shall submit an application to the State educational agency at such time, in such manner, and containing by such information as the Secretary or the State may require.

"(2) CONTENTS.—The State shall require that such an application shall include, to the greatest extent practicable—

"(A) information that—

"(i) demonstrates that the local educational agency will carry out a summer academic enrichment program funded under this section—

"(I) that provides intensive high quality programs that are aligned with challenging State content and student performance standards and that are focused on reinforcing and boosting the core academic skills and knowledge of students who are struggling academically, as determined by the State:

"(II) that focuses on accelerated learning, rather than remediation, so that students served through the program will master the high level skills and knowledge needed to meet the highest State standards or to perform at high levels on all State assessments required under section 1111;

"(III) that is based on, and incorporates best practices developed from, researchbased enrichment methods and practices;

"(IV) that has a proposed curriculum that is directly aligned with State content and student performance standards;

"(V) for which only teachers who are certified and licensed, and are otherwise fully qualified teachers, provide academic instruction to students enrolled in the program;

"(VI) that offers to staff in the program professional development and technical assistance that are aligned with the approved curriculum for the program; and

"(VII) that incorporates a parental involvement component that seeks to involve parents in the program's topics and students' daily activities; and

"(ii) may include-

"(I) the proposed curriculum for the summer academic enrichment program;

"(II) the local educational agency's plan for recruiting highly qualified and highly effective teachers to participate in the program; and

"(III) a schedule for the program that indicates that the program is of sufficient dura-

tion and intensity to achieve the State's goals and objectives described in section 6304(c)(2)(A):

"(B) an outline indicating how the local educational agency will utilize other applicable Federal, State, local, or other funds, other than funds made available through the grant, to support the program;

"(C) an explanation of how the local educational agency will ensure that only highly qualified personnel who volunteer to work with the type of student targeted for the program will work with the program and that the instruction provided through the program will be provided by qualified teachers;

"(D) an explanation of the types of intensive training or professional development, aligned with the curriculum of the program, that will be provided for staff of the program;

"(E) an explanation of the facilities to be used for the program;

"(F) an explanation regarding the duration of the periods of time that students and teachers in the program will have contact for instructional purposes (such as the hours per day and days per week of that contact, and the total length of the program);

"(G) an explanation of the proposed student/teacher ratio for the program, analyzed by grade level:

"(H) an explanation of the grade levels that will be served by the program:

"(I) an explanation of the approximate cost per student for the program;

"(J) an explanation of the salary costs for teachers in the program;

"(K) a description of a method for evaluating the effectiveness of the program at the local level:

"(L) information describing specific measurable goals and objectives, for each academic subject in which the program will provide instruction, that are consistent with, or more rigorous than, the annual measurable objectives for adequate yearly progress established by the State under section 1111;

"(M) a description of how the local educational agency will involve parents and the community in the program in order to raise academic achievement: and

"(N) a description of how the local educational agency will acquire any needed technical assistance that is aligned with the curriculum of the agency for the program, from the State educational agency or other entities with demonstrated success in using the curriculum.

"(c) PRIORITY.—In making grants under this section, the State educational agency shall give priority to applicants who demonstrate a high level of need for the summer academic enrichment programs.

"(d) FEDERAL SHARE.—

"(1) IN GENERAL.—The Federal share of the cost described in subsection (a) is 50 percent.
"(2) NON-FEDERAL SHARE.—The non-Federal

share of the cost may be provided in cash or in kind, fairly evaluated, including plant, equipment, or services.

"SEC. 6306. SUPPLEMENT NOT SUPPLANT.

"Funds appropriated pursuant to the authority of this part shall be used to supplement and not supplant other Federal, State, and local public or private funds expended to provide academic enrichment programs.

"SEC. 6307. REPORTS.

"(a) STATE REPORTS.—Each State educational agency that receives a grant under this part shall annually prepare and submit to the Secretary a report. The report shall describe—

"(1) the method the State educational agency used to make grants to eligible local

educational agencies and to provide assistance to schools under this part;

"(2) the specific measurable goals and objectives described in section 6304(c)(2)(A) for the State as a whole and the extent to which the State met each of the goals and objectives in the year preceding the submission of the report;

"(3) the specific measurable goals and objectives described in section 6305(b)(2)(L) for each of the local educational agencies receiving a grant under this part in the State and the extent to which each of the agencies met each of the goals and objectives in that preceding year:

"(4) the steps that the State will take to ensure that any such local educational agency who did not meet the goals and objectives in that year will meet the goals and objectives in the year following the submission of the report or the plan that the State has for revoking the grant of such an agency and redistributing the grant funds to existing or new programs:

"(5) how eligible local educational agencies and schools used funds provided by the State educational agency under this part; and

"(6) the degree to which progress has been made toward meeting the goals and objectives described in section 6304(c)(2)(A).

"(b) REPORT TO CONGRESS.—The Secretary shall annually prepare and submit to Congress a report. The report shall describe—

"(1) the methods the State educational agencies used to make grants to eligible local educational agencies and to provide assistance to schools under this part:

"(2) how eligible local educational agencies and schools used funds provided under this part; and

"(3) the degree to which progress has been made toward meeting the goals and objectives described in sections 6304(c)(2)(A) and 6305(b)(2)(L).

"(c) GOVERNMENT ACCOUNTING OFFICE REPORT TO CONGRESS.—The Comptroller General of the United States shall conduct a study regarding the demonstration program carried out under this part and the impact of the program on student achievement. The Comptroller General shall prepare and submit to Congress a report containing the results of the study.

"SEC. 6308. ADMINISTRATION.

"The Secretary shall develop program guidelines for and oversee the demonstration program carried out under this part.

"SEC. 6309. AUTHORIZATION OF APPROPRIA-TIONS.

"There are authorized to be appropriated to carry out this part \$10,000,000 for each of fiscal years 2002 through 2005

"SEC. 6310. TERMINATION.

"The authority provided by this part terminates 3 years after the date of enactment of the Better Education for Students and Teachers Act.".

SA 406. Mr. WYDEN submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 573, after line 25, add the following:

"SEC. 4203. 24-HOUR HOLDING PERIOD FOR STU-DENTS WHO UNLAWFULLY BRING A GUN TO SCHOOL.

"(a) IN GENERAL.—Notwithstanding section 222 of the Juvenile Justice and Delinquency Prevention Act of 1974 (42 U.S.C. 5632) or any other provision of law, for fiscal year 2002 and each fiscal year thereafter, to be eligible for Federal safe and drug free schools and communities grants under this title for a fiscal year, a State shall have in effect a policy or practice described in subsection (b) by not later than the first day of the fiscal year involved.

"(b) STATE POLICY OR PRACTICE DE-SCRIBED.—A policy or practice described in this subsection is a policy or practice of the State that requires State and local law enforcement agencies to detain, in an appropriate juvenile community-based placement setting or in an appropriate juvenile justice facility, for not less than 24 hours, any juvenile who—

"(1) unlawfully possesses a firearm in a school: and

"(2) is found by a judicial officer to be a possible danger to himself or herself or to the community.".

SA 407. Mr. AKAKA submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 482, lines 23 and 24, strike "which are recognized by the Governor of the State of Hawaii".

SA 408. Mr. TORRICELLI submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

At the appropriate place, insert the following:

SEC. ___. SENSE OF THE SENATE REGARDING TAX TREATMENT OF TEACHER BONUSES.

- (a) FINDINGS.—The Senate finds the following:
- (1) The combination of growing enrollment and teacher shortages is putting a strain on communities in the United States to provide quality education for our children and their teachers.
- (2) In addition, the current emphasis on accountability and standards and improving low-performing schools makes paramount the need for high quality teachers.
- (3) Yet, the teachers who we rely on to educate our children are not paid nearly what they are worth and entry level teacher salaries are not competitive with salaries paid in other entry level professions.
- (4) Some States are developing teacher bonuses in order to attract students to teaching and provide additional support.
- (5) This year, Maryland is paying \$2,000 to each of the teachers in schools performing poorly on test scores.
- (6) In South Carolina, teachers working in low-scoring rural schools will receive an extra \$19,000 each this year.
- (7) States throughout the Nation are developing teacher bonus programs to encourage high quality teachers to commit to the education of our children.
- (b) Sense of the Senate.—It is the sense of the Senate that—
- (1) the Federal Government should support the increase in teacher salaries and the incentives to commit to teaching by allowing teachers to keep all of their bonuses, and
- (2) State teacher bonuses granted to teachers in low-performing and high poverty

schools should be excluded from gross income for purposes of Federal taxation.

SA 409. Mr. TORRICELLI submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table: as follows:

On page 794, after line 7, add the following: SEC. . NOTIFICATION.

Section 485(f) of the Higher Education Act of 1965 (20 U.S.C. 1092(f)) is amended—

- (1) by redesignating paragraph (15) as paragraph (16); and
- (2) by inserting after paragraph (14) the following:
- "(15) NOTIFICATION.—(A) Each institution participating in any program under this title, after the campus police or security authority for the institution receives a report that a student is missing, shall—
- "(i) make a preliminary investigation to determine the whereabouts of the student; and
- "(ii) subject to subparagraph (B) and if the authority is unable to verify that the student is safe within 24 hours of receiving the report—
- "(I) notify the student's parents and the local police agency that the student is missing; and
- "(II) cooperate with the local police agency regarding the investigation of the missing student including entering into a written agreement with the local police agency that establishes the authority's and agency's responsibilities with respect to the investigation.
- "(B) The 24 hour period described in subparagraph (A)(ii) excludes holiday periods at the institution."

SA 410. Mr. BYRD submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

At the end, add the following:

TITLE X—MISCELLANEOUS JUVENILE FIREARMS PROVISIONS

SEC. 1001. SHORT TITLE.

This title may be cited as the "Miscellaneous Juvenile Firearms Provisions of 2001". SEC. 1002. PENALTIES FOR UNLAWFUL ACTS BY JUVENILES.

- (a) JUVENILE WEAPONS PENALTIES.—Section 924(a) of title 18, United States Code, is amended—
- (1) in paragraph (4) by striking "Whoever" and inserting "Except as provided in paragraph (6) of this subsection, whoever"; and

(2) in paragraph (6), to read as follows:

- "(6)(A) A juvenile who violates section 922(x) shall be fined under this title, imprisoned not more than 1 year, or both, except that—
- "(i) a juvenile shall be sentenced to probation on appropriate conditions and shall not be incarcerated unless the juvenile fails to comply with a condition of probation, if—
- "(I) the offense of which the juvenile is charged is possession of a handgun, ammunition, a large capacity ammunition feeding device, or a semiautomatic assault weapon in violation of section 922(x)(2); and

"(II) the juvenile has not been convicted in any court of an offense (including an offense under section 922(x) or a similar State law, but not including any other offense consisting of conduct that if engaged in by an adult would not constitute an offense) or adjudicated as a juvenile delinquent for conduct that if engaged in by an adult would constitute an offense; or

"(ii) a juvenile shall be fined under this title, imprisoned not more than 20 years, or both, if—

"(I) the offense of which the juvenile is charged is possession of a handgun, ammunition, a large capacity ammunition feeding device, or a semiautomatic assault weapon in violation of section 922(x)(2); and

"(II) during the same course of conduct in violating section 922(x)(2), the juvenile violated section 922(q), with the intent to carry or otherwise possess or discharge or otherwise use the handgun, ammunition, large capacity ammunition feeding device, or semi-automatic assault weapon in the commission of a violent felony.

"(B) A person other than a juvenile who knowingly violates section 922(x)—

"(i) shall be fined under this title, imprisoned not more than 1 year, or both; and

"(ii) if the person sold, delivered, or otherwise transferred a handgun, ammunition, large capacity ammunition feeding device, or a semiautomatic assault weapon to a juvenile knowing or having reasonable cause to know that the juvenile intended to carry or otherwise possess or discharge or otherwise use the handgun, ammunition, large capacity ammunition feeding device, or semiautomatic assault weapon in the commission of a violent felony, shall be fined under this title, imprisoned not more than 20 years, or both.

(C) For purposes of this paragraph the term 'violent felony' has the same meaning given that term in section 924(e)(2)(B).

- "(D) Except as otherwise provided in this chapter, in any case in which a juvenile is prosecuted in a district court of the United States, and the juvenile is subject to the penalties under clause (ii) of paragraph (A), the juvenile shall be subject to the same laws, rules, and proceedings regarding sentencing (including the availability of probation, restitution, fines, forfeiture, imprisonment, and supervised release) that would be applicable in the case of an adult. No juvenile sentenced to a term of imprisonment shall be released from custody simply because the juvenile has reached the age of 18 years."
- (b) UNLAWFUL WEAPONS TRANSFERS TO JUVENILES.—Section 922(x) of title 18, United States Code, is amended to read as follows:
- "(x)(1) It shall be unlawful for a person to sell, deliver, or otherwise transfer to a person who the transferor knows or has reasonable cause to believe is a juvenile—
 - "(A) a handgun;
- "(B) ammunition that is suitable for use only in a handgun;
- "(C) a semiautomatic assault weapon; or "(D) a large capacity ammunition feeding
- "(2) It shall be unlawful for any person who is a juvenile to knowingly possess—
 - "(A) a handgun;

device.

- "(B) ammunition that is suitable for use only in a handgun;
- "(C) a semiautomatic assault weapon; or
- $\lq\lq(D)$ a large capacity ammunition feeding device.
- "(3) This subsection does not apply to—
- "(A) a temporary transfer of a handgun, ammunition, a large capacity ammunition feeding device, or a semiautomatic assault weapon to a juvenile or to the possession or

use of a handgun, ammunition, a large capacity ammunition feeding device, or a semiautomatic assault weapon by a juvenile—

"(i) if the handgun, ammunition, large capacity ammunition feeding device, or semiautomatic assault weapon are possessed and used by the juvenile—

"(I) in the course of employment;

"(II) in the course of ranching or farming related to activities at the residence of the juvenile (or on property used for ranching or farming at which the juvenile, with the permission of the property owner or lessee, is performing activities related to the operation of the farm or ranch);

"(III) for target practice;

"(IV) for hunting; or

"(V) for a course of instruction in the safe and lawful use of a firearm; and

"(ii) if the possession and use of a handgun, ammunition, a large capacity ammunition feeding device, or a semiautomatic assault weapon by the juvenile under this subparagraph are in accordance with State and local law, and—

"(I) except when a parent or guardian of the juvenile is in the immediate and supervisory presence of the juvenile, the juvenile shall have in the juvenile's possession at all times when a handgun, ammunition, a large capacity ammunition feeding device, or a semiautomatic assault weapon is in the possession of the juvenile, the prior written consent of the parent or guardian of the juvenile who is not prohibited by Federal, State, or local law from possessing a firearm or ammunition; and

"(II) during transportation by the juvenile directly from the place of transfer to a place at which an activity described in clause (i) is to take place the firearm shall be unloaded and in a locked container or case, and during the transportation by the juvenile of that firearm, directly from the place at which such an activity took place to the transferor, the firearm shall also be unloaded and in a locked container or case; or

"(III) with respect to employment, ranching or farming activities as described in clause (i), a juvenile may possess and use a handgun, ammunition, a large capacity ammunition feeding device, or a semiautomatic assault rifle with the prior written approval of the parent or legal guardian of the juvenile, if such approval is on file with the adult who is not prohibited by Federal, State, or local law from possessing a firearm or ammunition and that person is directing the ranching or farming activities of the juvenile;

"(B) a juvenile who is a member of the Armed Forces of the United States or the National Guard who possesses or is armed with a handgun, ammunition, a large capacity ammunition feeding device, or a semi-automatic assault weapon in the line of duty;

"(C) a transfer by inheritance of title (but not possession) of a handgun, ammunition, a large capacity ammunition feeding device, or a semiautomatic assault weapon to a juvenile: or

"(D) the possession of a handgun, ammunition, a large capacity ammunition feeding device, or a semiautomatic assault weapon taken in lawful defense of the juvenile or other persons in the residence of the juvenile or a residence in which the juvenile is an invited guest.

"(4) A handgun, ammunition, a large capacity ammunition feeding device, or a semiautomatic assault weapon, the possession of which is transferred to a juvenile in circumstances in which the transferor is not in violation of this subsection, shall not be subject to permanent confiscation by the Government if its possession by the juvenile subsequently becomes unlawful because of the conduct of the juvenile, but shall be returned to the lawful owner when that handgun, ammunition, large capacity ammunition feeding device, or semiautomatic assault weapon is no longer required by the Government for the purposes of investigation or prosecution.

"(5) For purposes of this subsection, the term 'juvenile' means a person who is less

than 18 years of age.

"(6)(A) In a prosecution of a violation of this subsection, the court shall require the presence of a parent or legal guardian of a juvenile defendant at all proceedings.

"(B) The court may use the contempt power to enforce subparagraph (A).

"(C) The court may excuse attendance of a parent or legal guardian of a juvenile defendant at a proceeding in a prosecution of a violation of this subsection for good cause shown.

"(7) For purposes of this subsection only, the term 'large capacity ammunition feeding device' has the same meaning as in section 921(a)(31) and includes similar devices manufactured before the effective date of the Violent Crime Control and Law Enforcement Act of 1994."

(c) EFFECTIVE DATE.—This section and the amendments made by this section shall take effect 180 days after the date of enactment of this title.

SEC. 1003. PROHIBITION ON FIREARMS POSSES-SION BY VIOLENT JUVENILE OF-FENDERS.

(a) DEFINITION.—Section 921(a)(20) of title 18. United States Code, is amended—

(1) by inserting "(A)" after "(20)"

(2) by redesignating subparagraphs (A) and (B) as clauses (i) and (ii), respectively:

(3) by inserting after subparagraph (A) the following:

"(B) For purposes of subsections (d) and (g) of section 922, the term 'act of violent juvenile delinquency' means an adjudication of delinquency in a Federal or State court, based on a finding of the commission of an act by a person before that person has reached the age of 18 years that, if committed by an adult, would be a serious or violent felony, as defined in section 3559(c)(2)(F)(i) had Federal jurisdiction existed and been exercised (except that section 3559(c)(3)(A) shall not apply to this subparagraph)."; and

(4) in the undesignated paragraph following subparagraph (B) (as added by paragraph (3) of this subsection), by striking "What constitutes" and all that follows through "this chapter," and inserting the following:

"(C) What constitutes a conviction of such a crime or an adjudication of an act of violent juvenile delinquency shall be determined in accordance with the law of the jurisdiction in which the proceedings were held. Any State conviction or adjudication of an act of violent juvenile delinquency that has been expunged or set aside, or for which a person has been pardoned or has had civil rights restored, by the jurisdiction in which the conviction or adjudication of an act of violent juvenile delinquency occurred shall not be considered to be a conviction or adjudication of an act of violent juvenile delinquency for purposes of this chapter,".

(b) PROHIBITION.—Section 922 of title 18, United States Code, is amended—

(1) in subsection (d)—

(A) in paragraph (8), by striking "or" at the end;

(B) in paragraph (9), by striking the period at the end and inserting ": or": and

(C) by inserting after paragraph (9) the following:

"(10) has committed an act of violent juvenile delinquency."; and

(2) in subsection (g)—

(A) in paragraph (8), by striking "or" at the end;

(B) in paragraph (9), by striking the comma at the end and inserting "; or"; and (C) by inserting after paragraph (9) the following:

"(10) who has committed an act of violent juvenile delinquency.".

(c) EFFECTIVE DATE OF ADJUDICATION PROVISIONS.—The amendments made by this section shall only apply to an adjudication of an act of violent juvenile delinquency that occurs after the date that is 30 days after the date on which the Attorney General certifies to Congress and separately notifies Federal firearms licensees, through publication in the Federal Register by the Secretary of the Treasury, that the records of such adjudications are routinely available in the national instant criminal background check system established under section 103(b) of the Brady Handgun Violence Prevention Act.

SEC. 1004. CHILD HANDGUN SAFETY.

(a) PURPOSES.—The purposes of this section are to:

(1) promote the safe storage and use of handguns by consumers;

(2) prevent unauthorized persons from gaining access to or use of a handgun, including children who may not be in possession of a handgun, unless it is under one of the circumstances provided for in the Youth Handgun Safety Act; and

(3) avoid hindering industry from supplying law abiding citizens firearms for all lawful purposes, including hunting, self-defense, collecting, and competitive or recreational shooting.

(b) Unlawful Acts.—

(1) MANDATORY TRANSFER OF SECURE GUN STORAGE OR SAFETY DEVICE.—Section 922 of title 18, United States Code, is amended by inserting after subsection (v) the following:

''(z) SECURE GUN STORAGE OR SAFETY DE-VICE.—

"(1) IN GENERAL.—Except as provided in paragraph (2), it shall be unlawful for any licensed manufacturer, licensed importer, or licensed dealer to sell, deliver, or transfer any handgun to any person other than any person licensed under the provisions of this chapter, unless the transferee is provided with a secure gun storage or safety device, as described in section 921(a)(34) of this chapter, for that handgun.

"(2) EXCEPTIONS.—Paragraph (1) does not apply to the—

"(A)(i) manufacture for, transfer to, or possession by, the United States or a State, or a department or agency of the United State or a State, or a department, agency, or political subdivision of a State, of a handgun; or

"(ii) transfer to, or possession by, a law enforcement officer of a handgun for law enforcement purposes (whether on or off duty), if that officer is employed by an entity referred to in clause (i); or

"(B) transfer to, or possession by, a rail police officer of a handgun for purposes of law enforcement (whether on or off duty), if that officer is employed by a rail carrier and certified or commissioned as a police officer under the laws of a State;

"(C) transfer to any person of a handgun listed as a curio or relic by the Secretary pursuant to section 921(a)(13); or

"(D) transfer to any person of a handgun for which a secure gun storage or safety device is temporarily unavailable for the reasons described in the exceptions stated in section 923(e), so long as the licensed manufacturer, licensed importer, or licensed dealer delivers to the transferee, within 10 calendar days from the date of the delivery of the handgun to the transferee, a secure gun storage or safety device for the handgun.

"(3) IMMUNITY FOR A LAWFUL POSSESSOR. Notwithstanding any other provision of law, a person who has lawful possession and control of a handgun, and who uses a secure gun storage or safety device with the handgun, shall be entitled to immunity from a qualified civil liability action as described in paragraph (4).

"(4) QUALIFIED CIVIL LIABILITY ACTION.

"(A) DEFINITION.—The term 'qualified civil liability action' means a civil action brought by any person against a person described in paragraph (3) for damages resulting from the criminal or unlawful misuse of the handgun by a third party, where-

"(i) the handgun was accessed by another person who did not have the permission or authorization of the person having lawful possession and control of the handgun to have access to the handgun; and

"(ii) at the time access was gained by the person not so authorized, the handgun had been made inoperable by use of a secure gun storage or safety device.

"(B) JURISDICTION.—A qualified civil liability action, as defined in this paragraph, may not be brought in any Federal or State court.

"(C) NEGLIGENCE OF LAWFUL POSSESSOR.—A qualified civil liability action, as defined in this paragraph, shall not include an action brought against the person having lawful possession and control of the handgun for negligent entrustment or negligence per

(c) CIVIL PENALTIES.—Section 924 of title 18, United States Code, is amended-

(1) in subsection (a)(1), by striking "or (f)" and inserting "(f), or (p)"; and

(2) by adding at the end the following:

"(p) PENALTIES RELATING TO SECURE GUN STORAGE OR SAFETY DEVICE.

"(1) IN GENERAL.-

"(A) SUSPENSION OR REVOCATION OF LI-CENSE; CIVIL PENALTIES.—With respect to each violation of section 922(z)(1) by a licensed manufacturer, licensed importer, or licensed dealer, the Secretary may, after notice and opportunity for hearing-

"(i) suspend for up to six months, or revoke, the license issued to the licensee under this chapter that was used to conduct the firearms transfer; or

'(ii) subject the licensee to a civil penalty in an amount equal to not more than \$2,500.

"(B) REVIEW.—An action of the Secretary under this paragraph may be reviewed only as provided in section 923(f).

"(2) Administrative remedies.—The suspension or revocation of a license or the imposition of a civil penalty under paragraph (1) does not preclude any administrative remedy that is otherwise available to the Secretary."

(d) Liability; Evidence.—

(1) Liability.—Nothing in this section shall be construed to-

(A) create a cause of action against any Federal firearms licensee or any other person for any civil liability; or

(B) establish any standard of care.

(2) EVIDENCE.—Notwithstanding any other provision of law, evidence regarding compliance or noncompliance with the amendments made by this section shall not be admissible as evidence in any proceeding of any court, agency, board, or other entity, except with respect to an action to enforce paragraphs (1) and (2) of section 922(z), or to give effect to paragraphs (3) and (4) of section 922(z).

(3) Rule of construction —Nothing in this subsection shall be construed to bar a governmental action to impose a penalty under section 924(p) of title 18, United States Code, for a failure to comply with section 922(z) of that title

(e) EFFECTIVE DATE.—This section and the amendments made by this section shall take effect 180 days after the date of enactment of this title.

SA 411. Mr. GRAHAM submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 46, line 13, insert "the school's contribution to the" after "about".

On page 47, line 4, insert "and of the school's contribution to student performance," after "performance,".

SA 412. Mr. GRAHAM (for himself and Mr. ALLEN) submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table: as follows:

On page 53, between lines 7 and 8, insert the following:

"(8) FACTORS IMPACTING STUDENT ACHIEVE-MENT.—Each State plan shall include a description of the process that will be used with respect to any school within the State that is identified for school improvement or corrective action under section 1116 to identify the academic and nonacademic factors that may have impacted student achievement at the school.
On page 71, line 24, strike "and"

On page 72, line 3, strike the period and end quotation mark, and insert "and" after the semicolon.

On page 72, between lines 3 and 4, insert the following:

"(11) a description of the process that will be used with respect to any school identified for school improvement or corrective action that is served by the local educational agency to determine the academic and nonacademic factors that may have impacted student achievement at the school.'

On page 104, line 7, strike "and"

On page 104, line 13, strike the period and insert a semicolon.

On page 104, between lines 13 and 14, insert the following:

"(C) for each school in the State that is identified for school improvement or corrective action, notify the Secretary of any factors outside of the school that were determined by the State educational agency under section 1111(b)(8) as impacting student achievement; and

"(D) if a school in the State is identified for corrective action, encourage appropriate State and local agencies and community groups to mitigate any factors that were determined by the State educational agency under section 1111(b)(8) as impacting student achievement.

On page 119, line 19, strike the end quotation mark and the second period.

On page 119, between lines 19 and 20, insert the following:

"(g) OTHER AGENCIES.—If a school is identified for school improvement, the Secretary shall notify any agency having jurisdiction over issues related to factors outside of the identified school that were determined by the State educational agency under section 1111(b)(8) as impacting student achievement that such factors were so identified."

SA 413. Mr. BROWNBACK (for himself and Mr. Kohl) submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

At the end, add the following:

SEC. 902. STUDY AND INFORMATION.

(a) STUDY.

(1) IN GENERAL.—The Director of the National Institutes of Health and the Secretary of Education jointly shall-

(A) conduct a study regarding how exposure to violent entertainment (such as movies, music, television, Internet content, video games, and arcade games) affects children's cognitive development and educational achievement; and

(B) submit a final report to Congress regarding the study.

(2) PLAN.—The Director and the Secretary jointly shall submit to Congress, not later than 6 months after the date of enactment of this Act, a plan for the conduct of the study.

(3) INTERIM REPORTS.—The Director and the Secretary jointly shall submit to Congress annual interim reports regarding the study until the final report is submitted under paragraph (1)(B).

(b) INFORMATION.—Section 411(b)(3) of the National Education Statistics Act of 1994 (20) U.S.C. 9010(b)(3) et seq.) is amended by adding at the end the following: "Notwithstanding the preceding sentence, in carrying out the National Assessment the Commissioner shall gather data regarding how much time children spend on various forms of entertainment, such as movies, music, television, Internet content, video games, and arcade games.".

SA 414. Mr. DOMENICI (for himself and Mr. Dodd) submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 893, after line 14, add the following:

"PART B—PARTNERSHIPS IN CHARACTER **EDUCATION**

"SEC. 9201. SHORT TITLE.

"This part may be cited as the Strong Character for Strong Schools Act'.

"SEC. 9202. PARTNERSHIPS IN CHARACTER EDU-CATION PROGRAM.

'(a) Program Authorized.—

"(1) IN GENERAL.—The Secretary is authorized to award grants to eligible entities for the design and implementation of character education programs that may incorporate the elements of character described in subsection (d).

"(2) ELIGIBLE ENTITY.—The term 'eligible entity' means-

"(A) a State educational agency in partnership with 1 or more local educational agencies;

"(B) a State educational agency in partnership with-

- ``(i) one or more local educational agencies; and
- "(ii) one or more nonprofit organizations or entities, including institutions of higher education;
- "(C) a local educational agency or consortium of local educational agencies; or
- "(D) a local educational agency in partnership with another nonprofit organization or entity, including institutions of higher education.
- "(3) DURATION.—Each grant under this section shall be awarded for a period not to exceed 3 years, of which the eligible entity shall not use more than 1 year for planning and program design.
- "(4) AMOUNT OF GRANTS FOR STATE EDU-CATIONAL AGENCIES.—Subject to the availability of appropriations, the amount of grant made by the Secretary to a State educational agency in a partnership described in subparagraph (A) or (B) of paragraph (2), that submits an application under subsection (b) and that meets such requirements as the Secretary may establish under this section, shall not be less than \$500,000.
 - "(b) Applications.—
- "(1) REQUIREMENT.—Each eligible entity desiring a grant under this section shall submit an application to the Secretary at such time and in such manner as the Secretary may require.
- "(2) CONTENTS OF APPLICATION.—Each application submitted under this section shall include—
- "(A) a description of any partnerships or collaborative efforts among the organizations and entities of the eligible entity;
- "(B) a description of the goals and objectives of the program proposed by the eligible entity."
- "(C) a description of activities that will be pursued and how those activities will contribute to meeting the goals and objectives described in subparagraph (B), including—
- "(i) how parents, students (including students with physical and mental disabilities), and other members of the community, including members of private and nonprofit organizations, will be involved in the design and implementation of the program and how the eligible entity will work with the larger community to increase the reach and promise of the program;
- "(ii) curriculum and instructional practices that will be used or developed;
- "(iii) methods of teacher training and parent education that will be used or developed; and
- "(iv) how the program will be linked to other efforts in the schools to improve student performance;
- "(D) in the case of an eligible entity that is a State educational agency—
- "(i) a description of how the State educational agency will provide technical and professional assistance to its local educational agency partners in the development and implementation of character education programs; and
- "(ii) a description of how the State educational agency will assist other interested local educational agencies that are not members of the original partnership in designing and establishing character education programs;
- "(E) a description of how the eligible entity will evaluate the success of its program—
- "(i) based on the goals and objectives described in subparagraph (B); and
- "(ii) in cooperation with the national evaluation conducted pursuant to subsection (c)(2)(B)(iii);
- "(F) an assurance that the eligible entity annually will provide to the Secretary such

- information as may be required to determine the effectiveness of the program; and
- "(G) any other information that the Secretary may require.
- "(c) EVALUATION AND PROGRAM DEVELOP-MENT.—
 - "(1) EVALUATION AND REPORTING.-
- "(A) STATE AND LOCAL REPORTING AND EVALUATION.—Each eligible entity receiving a grant under this section shall submit to the Secretary a comprehensive evaluation of the program assisted under this section, including the impact on students (including students with physical and mental disabilities), teachers, administrators, parents, and others.—
- "(i) by the second year of the program; and "(ii) not later than 1 year after completion of the grant period.
- "(B) CONTRACTS FOR EVALUATION.—Each eligible entity receiving a grant under this section may contract with outside sources, including institutions of higher education, and private and nonprofit organizations, for purposes of evaluating its program and measuring the success of the program toward fostering character in students.
- "(2) NATIONAL RESEARCH, DISSEMINATION, AND EVALUATION.—
- "(A) IN GENERAL.—The Secretary is authorized to make grants to, or enter into contracts or cooperative agreements with, State or local educational agencies, institutions of higher education, tribal organizations, or other public or private agencies or organizations to carry out research, development, dissemination, technical assistance, and evaluation activities that support or inform State and local character education programs. The Secretary shall reserve not more than 5 percent of the funds made available under this section to carry out this paragraph.
- "(B) USES.—Funds made available under subparagraph (A) may be used—
- "(i) to conduct research and development activities that focus on matters such as—
- "(I) the effectiveness of instructional models for all students, including students with physical and mental disabilities;
- "(II) materials and curricula that can be used by programs in character education;
- "(III) models of professional development in character education; and
- "(IV) the development of measures of effectiveness for character education programs which may include the factors described in paragraph (3);
- "(ii) to provide technical assistance to State and local programs, particularly on matters of program evaluation;
- "(iii) to conduct a national evaluation of State and local programs receiving funding under this section; and
- "(iv) to compile and disseminate, through various approaches (such as a national clearinghouse)—
- "(I) information on model character education programs;
- "(II) character education materials and curricula;
- "(III) research findings in the area of character education and character development;
- "(IV) any other information that will be useful to character education program participants, educators, parents, administrators, and others nationwide.
- "(C) PRIORITY.—In carrying out national activities under this paragraph related to development, dissemination, and technical assistance, the Secretary shall seek to enter into partnerships with national, nonprofit character education organizations with ex-

- pertise and successful experience in implementing local character education programs that have had an effective impact on schools, students (including students with disabilities), and teachers.
- "(3) FACTORS.—Factors which may be considered in evaluating the success of programs funded under this section may include—
 - '(A) discipline issues;
 - "(B) student performance;
- "(C) participation in extracurricular activities;
- "(D) parental and community involvement;
 "(E) faculty and administration involvement:
- "(F) student and staff morale; and
- "(G) overall improvements in school climate for all students, including students with physical and mental disabilities.
- "(d) ELEMENTS OF CHARACTER.—Each eligible entity desiring funding under this section shall develop character education programs that may incorporate elements of character such as—
 - "(1) caring;
 - "(2) civic virtue and citizenship;
 - "(3) justice and fairness;
 - "(4) respect;

used for-

- "(5) responsibility;
- "(6) trustworthiness; and
- "(7) any other elements deemed appropriate by the members of the eligible entity.
- "(e) USE OF FUNDS BY STATE EDUCATIONAL AGENCY RECIPIENTS.—Of the total funds received in any fiscal year under this section by an eligible entity that is a State educational agency—
- "(1) not more than 10 percent of such funds may be used for administrative purposes; and "(2) the remainder of such funds may be
- "(A) collaborative initiatives with and between local educational agencies and schools:
- "(B) the preparation or purchase of materials, and teacher training;
- "(C) grants to local educational agencies, schools, or institutions of higher education; and
 - "(D) technical assistance and evaluation.
 - "(f) SELECTION OF GRANTEES.-
- "(1) CRITERIA.—The Secretary shall select, through peer review, eligible entities to receive grants under this section on the basis of the quality of the applications submitted under subsection (b), taking into consideration such factors as—
- "(A) the quality of the activities proposed to be conducted;
- "(B) the extent to which the program fosters character in students and the potential for improved student performance;
- "(C) the extent and ongoing nature of parental, student, and community involvement;
- "(D) the quality of the plan for measuring and assessing success; and
- "(E) the likelihood that the goals of the program will be realistically achieved.
- "(2) DIVERSITY OF PROJECTS.—The Secretary shall approve applications under this section in a manner that ensures, to the extent practicable, that programs assisted under this section—
- "(A) serve different areas of the Nation, including urban, suburban, and rural areas; and
- "(B) serve schools that serve minorities, Native Americans, students of limited-English proficiency, disadvantaged students, and students with disabilities.
- "(g) PARTICIPATION BY PRIVATE SCHOOL CHILDREN AND TEACHERS.—Grantees under this section shall provide, to the extent feasible and appropriate, for the participation

of students and teachers in private elementary and secondary schools in programs and activities under this section.

"(h) AUTHORIZATION OF APPROPRIATIONS.— There are authorized to be appropriated to carry out this section, \$50,000,000 for fiscal year 2002 and such sums as may be necessary for each of the 6 succeeding fiscal years.".

SA 415. Mr. DOMENICI (for himself and Mr. Kennedy) submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 565, between lines 18 and 19, insert the following:

"SEC. 4126. GRANTS FOR THE INTEGRATION OF SCHOOLS AND MENTAL HEALTH SYSTEMS

- "(a) IN GENERAL.—The Secretary shall award grants, contracts, or cooperative agreements to State educational agencies, local educational agencies, or Indian tribes, for the purpose of increasing student access to quality mental health care by developing innovative programs to link local school systems with the local mental health system.
- "(b) DURATION.—With respect to a grant, contract, or cooperative agreement awarded under this section, the period during which payments under such award are made to the recipient may not exceed 5 years.
 - "(c) Interagency agreements.—
- "(1) DESIGNATION OF LEAD AGENCY.—The recipient of each grant, contract, or cooperative agreement shall designate a lead agency to direct the establishment of an interagency agreement among local educational agencies, juvenile justice authorities, mental health agencies, and other relevant entities in the State, in collaboration with local entities and parents and guardians of students.
- "(2) CONTENTS.—The interagency agreement shall ensure the provision of the services to a student described in subsection (e) specifying with respect to each agency, authority or entity—
- ``(A) the financial responsibility for the services;
- "(B) the conditions and terms of responsibility for the services, including quality, accountability, and coordination of the services; and
- "(C) the conditions and terms of reimbursement among the agencies, authorities or entities that are parties to the interagency agreement, including procedures for dispute resolution.
 - "(d) APPLICATION.—
- "(1) IN GENERAL.—To be eligible to receive a grant, contract, or cooperative agreement under this section, a State educational agency, local educational agency, or Indian tribe shall submit an application to the Secretary at such time, in such manner, and accompanied by such information as the Secretary may reasonably require.
- $\lq\lq(2)$ Content.—An application submitted under this section shall—
- "(A) describe the program to be funded under the grant, contract, or cooperative agreement;
- "(B) explain how such program will increase access to quality mental health services for students;
- "(C) explain how the applicant will establish a crisis intervention program to provide immediate mental health services to the school community when necessary:
 - "(D) provide assurances that—

- "(i) persons providing services under the grant, contract or cooperative agreement are adequately trained to provide such services;
- "(ii) the services will be provided in accordance with subsection (e); and
- "(iii) teachers, principal administrators, and other school personnel are aware of the program;
- "(E) explain how the applicant will support and integrate existing school-based services with the program to provide appropriate mental health services for students; and
- "(F) explain how the applicant will establish a program that will support students and the school in maintaining an environment conducive to learning.
- "(e) USE OF FUNDS.—A State educational agency, local educational agency, or Indian tribe, that receives a grant, contract, or cooperative agreement under this section shall use amounts made available through such grant, contract or cooperative agreement to—
- "(1) enhance, improve, or develop collaborative efforts between school-based service systems and mental health service systems to provide, enhance, or improve prevention, diagnosis, and treatment services to students:
- "(2) enhance the availability of crisis intervention services, appropriate referrals for students potentially in need of mental health services and on going mental health services:
- "(3) provide training for the school personnel and mental health professionals who will participate in the program carried out under this section:
- "(4) provide technical assistance and consultation to school systems and mental health agencies and families participating in the program carried out under this section:
- "(5) provide linguistically appropriate and culturally competent services; and
- "(6) evaluate the effectiveness of the program carried out under this section in increasing student access to quality mental health services, and make recommendations to the Secretary about sustainability of the program.
- "(f) DISTRIBUTION OF AWARDS.—The Secretary shall ensure that grants, contracts, and cooperative agreements awarded under subsection (a) are equitably distributed among the geographical regions of the United States and between urban and rural populations.
- "(g) OTHER SERVICES.—Any services provided through programs established under this section must supplement and not supplant existing Mental Health Services, including any services required to be provided under the Individuals with Disabilities Education Act (20 U.S.C. 1400 et seq.).
- "(h) EVALUATION.—The Secretary shall evaluate each program carried out by a State educational agency, local educational agency, or Indian tribe, under this section and shall disseminate the findings with respect to each such evaluation to appropriate public and private entities.
- "(i) REPORTING.—Nothing in Federal law shall be construed—
- "(1) to prohibit an entity involved with the program from reporting a crime that is committed by a student, to appropriate authorities; or
- "(2) to prevent State law enforcement and judicial authorities from exercising their responsibilities with regard to the application of Federal and State law to crimes committed by a student.
- "(j) AUTHORIZATION OF APPROPRIATIONS.— There is authorized to be appropriated to

carry out this section, \$50,000,000 for fiscal year 2002, and such sums as may be necessary for fiscal years 2003 through 2005.

SA 416. Mr. DOMENICI submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 319, between lines 19 and 20, insert the following:

- "(12) Establishing and operating a center that—
- "(A) serves as a statewide clearinghouse for the recruitment and placement of kindergarten, elementary school, and secondary school teachers; and
- "(B) establishes and carries out programs to improve teacher recruitment and retention within the State.
- **SA 417.** Mr. SANTORUM submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 794, after line 7, add the following: SEC. 902. INDIVIDUALS WITH DISABILITIES EDUCATION ACT.

- (a) SHORT TITLE.—This section may be cited as the "Growing Resources in Educational Achievement for Today and Tomorrow Act" or the "GREATT IDEA Act".
- (b) PURPOSE.—It is the purpose of this section to more than double the Federal funding authorized for programs and services under the Individuals with Disabilities Education Act (20 U.S.C. 1400 et seq.).
- (c) AMENDMENTS TO THE INDIVIDUALS WITH DISABILITIES EDUCATION ACT.—
- (1) ASSISTANCE FOR EDUCATION OF ALL CHILDREN WITH DISABILITIES.—Section 611(j) of the Individuals with Disabilities Education Act (20 U.S.C. 1411(j)) is amended to read as follows:
- "(j) AUTHORIZATION OF APPROPRIATIONS.— For the purpose of carrying out this part, other than section 619, there are authorized to be appropriated—
 - "(1) \$7,779,800,800 for fiscal year 2002;
 - ``(2) \$9,714,403,800 for fiscal year 2003;
 - ''(3) \$12,130,084,000 for fiscal year 2004; and ''(4) \$15,146,471,000 for fiscal year 2005.''.
- (2) GENERAL PROVISIONS.—Part A of the Individuals with Disabilities Education Act (20 U.S.C. 1401 et seq.) is amended by adding at the end the following:

"SEC. 608. MAINTENANCE OF EFFORT.

- "A State utilizing the proceeds of a grant received under this Act, shall maintain expenditures for activities carried out under this Act for each of fiscal years 2002 through 2005 at least at a level equal to not less than the level of such expenditures maintained by such State for fiscal year 2001.".
- SA 418. Mr. HATCH submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:
- Open page 64, between lines 2 and 3, insert the following
- (F) PROTECTION OF PUPIL RIGHTS.—Notwithstanding any other provision in law. Section

445 of the General Education Provisions Act (20 U.S.C. 1232h) is applicable to all activities undertaken by a State in order to provide the information allowable in this section.

SA 419. Mr. SPECTER submitted an amendment intended to be proposed by him to the bill S. 1. to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table: as follows:

On page 233, strike lines 9 through 14, and insert the following:

"(a) Transition Services.—Each State agency shall reserve not less than 5 percent and not more than 30 percent of the amount such agency receives under this chapter for any fiscal year to support-

(1) projects that facilitate the transition of children and youth from State-operated institutions to local educational agencies; or

"(2) the successful reentry of youth offenders, who are age 20 or younger and have received a secondary school diploma or its recognized equivalent, into postsecondary education and vocational training programs through strategies designed to expose the youth to, and prepare the youth for, postsecondary education and vocational training programs, such as-

(A) preplacement programs that allow adjudicated or incarcerated students to audit or attend courses on college, university, or community college campuses, or through programs provided in institutional settings;

'(B) worksite schools, in which institutions of higher education and private or public employers partner to create programs to help students make a successful transition to postsecondary education and employment:

'(C) essential support services to ensure the success of the youth, such as-

"(i) personal, vocational, and academic counseling:

"(ii) placement services designed to place the youth in a university, college, or junior college program;

"(iii) health services;

"(iv) information concerning, and assistance in obtaining, available student financial aid:

"(v) exposure to cultural events; and

"(vi) job placement services.

On page 233, strike lines 20 through 24.

On page 234, between lines 4 and 5, insert the following:

"SEC. 1419. EVALUATION; TECHNICAL ASSIST-ANCE; ANNUAL MODEL PROGRAM.

"The Secretary shall reserve not more than 5 percent of the amount made available to carry out this chapter for a fiscal year-

'(1) to develop a uniform model to evaluate the effectiveness of programs assisted under this chapter:

"(2) to provide technical assistance to and support the capacity building of State agency programs assisted under this chapter; and

"(3) to create an annual model correctional youthful offender program event under which a national award is given to programs assisted under this chapter which demonstrate program excellence in-

"(A) transition services for reentry in and completion of regular or other education programs operated by a local educational

"(B) transition services to job training programs and employment, utilizing existing support programs such as One Stop Career

"(C) transition services for participation in postsecondary education programs:

"(D) the successful reentry into the community; and

"(E) the impact on recidivism reduction for juvenile and adult programs.

On page 242, line 19, strike "and"

On page 242, line 22, strike the period and insert "; and"

On page 242, between lines 22 and 23, insert the following:

(5) participate in postsecondary education

and job training programs.

On page 243, line 6, insert "and the Secretary" after "agency".

SA 420. Mr. SPECTER submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 893, after line 14, add the following:

. EXEMPTION SEC

Section 13(c) of the Fair Labor Standards Act of 1938 (29 U.S.C. 213(c)) is amended by adding at the end the following:

"(6)(A) Subject to subparagraph (B), in the administration and enforcement of the child labor provisions of this Act, it shall not be considered oppressive child labor for an individual who-

"(i) is under the age of 18 and over the age of 14, and

"(ii) by statute or judicial order is exempt from compulsory school attendance beyond the eighth grade,

to be employed inside or outside places of business where machinery is used to process wood products.

"(B) The employment of an individual under subparagraph (A) shall be permitted-

"(i) if the individual is supervised by an adult relative of the individual or is supervised by an adult member of the same religious sect or division as the individual;

"(ii) if the individual does not operate or assist in the operation of power-driven woodworking machines;

"(iii) if the individual is protected from wood particles or other flying debris within the workplace by a barrier appropriate to the potential hazard of such wood particles or flying debris or by maintaining a sufficient distance from machinery in operation;

"(iv) if the individual is required to use personal protective equipment to prevent exposure to excessive levels of noise and saw

SA 421. Mr. REID proposed an amendment to amendment SA 384 proposed by Mr. McConnell to the amendment SA 358 proposed by Mr. JEFFORDS to the bill (S. 1) to extend programs and activities under the Elementary and Secondary Education Act of 1965; as

On page 4, line 23, insert a comma after (b), strike "and" and insert "and (d)" after (c).

On page 6, line 6, insert a new subsection (c), as follows, and renumber accordingly:

"(c) Nothing in this section shall be construed to apply to any action of a teacher that involves the striking of a child, including, but not limited to paddling, whipping, spanking, slapping, kicking, hitting, or punching of a child, unless such action is necessary to control discipline or maintain order in the classroom or school and unless a parent or legal guardian of that child has

given written consent to the teacher prior to the striking of the child and during the school year in which the striking incident occurs.

SA 422. Mr. TORRICELLI submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table: as follows:

On page 794, after line 7, add the following: SEC. 902. MICROBIOLOGICAL PERFORMANCE STANDARDS FOR MEAT AND POUL-TRY FOR SCHOOL NUTRITION PRO-GRAMS.

Section 9(a) of the Richard B. Russell National School Lunch Act (42 U.S.C. 1758(a)) is amended by adding at the end the following:

"(4) MICROBIOLOGICAL PERFORMANCE STAND-ARDS FOR MEAT AND POULTRY FOR SCHOOL NU-TRITION PROGRAMS.-

"(A) IN GENERAL.—The Secretary shall ensure that all meat and poultry purchased by the Secretary for a program carried out under this Act or the Child Nutrition Act of 1966 (42 U.S.C. 1771 et seq.) meets performance standards for microbiological hazards, as determined by the Secretary.

"(B) BASIS.—The standards shall be based on and comparable to the stringent requirements used by national purchasers of meat and poultry (including purchasers for fast food restaurants), as determined by the Secretary.

"(C) REVIEW.—The Secretary shall periodically review the standards to determine the impact of the standards on reducing human illness.".

SA 423. Mr. KERRY submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 383, after line 21, insert the following:

. TEACHERS AND PRINCIPALS.

Part A of title II (as amended in section 201) is further amended—

(1) by striking the title heading and all that follows through the part heading for part A and inserting the following:

"TITLE II-TEACHERS AND PRINCIPALS "PART A—TEACHER AND PRINCIPAL **QUALITY:**

(2) in section 2101(1)—

(A) by striking "teacher quality" and inserting "teacher and principal quality"; and (B) by inserting before the semicolon "and

highly qualified principals in schools";

(3) in section 2102—

(A) in paragraph (4)—

 $(i) \ in \ subparagraph \ (B)(ii), \ by \ striking$ "and";

(ii) in subparagraph (C), by striking the period and inserting "; and"; and

(iii) by adding at the end the following:

"(D) with respect to an elementary school or secondary school principal, a principal-

"(i)(I) with at least a master's degree in educational administration and at least 3 years of classroom teaching experience; or

"(II) who has completed a rigorous alternative certification program that includes instructional leadership courses, an internship under the guidance of an accomplished principal, and classroom teaching experi"(ii) who is certified or licensed as a principal by the State involved; and

"(iii) who can demonstrate a high level of competence as an instructional leader with knowledge of theories of learning, curricula design, supervision and evaluation of teaching and learning, assessment design and application, child and adolescent development, and public reporting and accountability.";

- (B) in paragraph (9)(B), by striking "teachers" each place it appears and inserting "teachers, principals,";
- (4) in section 2112(b)(4), by striking "teaching force" and inserting "teachers and principals":
 - (5) in section 2113(b)—
 - (A) in paragraph (1)—
- (i) in the matter preceding subparagraph (A), by striking "teacher" and inserting "teacher and principal":
 - (ii) in subparagraph (A)—
 - (I) by inserting "(i)" after "(A)";
- (II) by adding "and" after the semicolon; and
 - (III) by adding at the end the following:
- "(ii) principals have the instructional leadership skills to help teachers teach and students learn;"; and
- (iii) in subparagraph (C), by inserting ", and principals have the instructional leadership skills," before "necessary";
- (B) in paragraph (2), by striking "the initial teaching experience" and inserting "an initial experience as a teacher or a principal";
- (C) in paragraph (3)—
- (i) by striking "of teachers" and inserting "of teachers and principals";
- (ii) by striking "degree" and inserting "or master's degree"; and
- (iii) by striking "teachers." and inserting "teachers or principals."; and
- (D) in paragraph (7), by striking "teacher" and inserting "teacher and principal";
- (6) in section 2122(c)(2)—
- (A) by striking "and, where appropriate, administrators,"; and
- (B) by inserting "and to give principals the instructional leadership skills to help teachers," after "skills,";
 - (7) in section 2123(b)-
- (A) in paragraph (2), by inserting "and principal" before "mentoring";
- (B) in paragraph (3), striking the period and inserting ", nonprofit organizations, local educational agencies, or consortia of appropriate educational entities."; and
 - (C) in paragraph (4)—
- (i) by striking "teachers" and inserting "teachers and principals"; and
- (ii) by striking "teaching" and inserting "employment as teachers or principals, respectively";
 - (8) in section 2133(a)(1)—
- (A) by striking ", paraprofessionals, and, if appropriate, principals" and inserting "and paraprofessionals"; and
- (B) by striking the semicolon and inserting the following: "and that principals have the instructional leadership skills that will help the principals work most effectively with teachers to help students master core academic subjects;";
 - (9) in section 2134-
- (A) in paragraph (1), by striking "teachers" and inserting "teachers and principals"; and
- (B) in paragraph (2)—
- (i) by striking "teachers" and inserting "teachers and principals"; and
- (ii) by inserting "a principal organization," after "teacher organization,"; and
- (10) in section 2142(a)(2), by striking subparagraph (A) and inserting the following:

"(A) shall establish for the local educational agency an annual measurable performance objective for increasing retention of teachers and principals in the first 3 years of their careers as teachers and principals, respectively; and".

SA 424. Mr. HATCH (for himself, Mr. LEAHY, Mr. THURMOND, Mr. KOHL, Mr. BIDEN, and Mr. KENNEDY) submitted an amendment intended to be proposed by him to the bill S. 1, toextend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 893, after line 14, add the following:

SEC. . BOYS AND GIRLS CLUBS OF AMERICA.

Section 401 of the Economic Espionage Act of 1966 (42 U.S.C. 13751 note) is amended—

- (1) in subsection (a)(2)-
- (A) by striking "1,000" and inserting "1,200";
- (B) by striking "2,500" and insertin "4,000"; and
- (C) by striking "December 31, 1999" and inserting "December 31, 2006, serving not less than 6,000,000 young people";
 - (2) in subsection (c)-
- (A) in paragraph (1), by striking "1997, 1998, 1999, 2000, and 2001" and inserting "2002, 2003, 2004, 2005, and 2006"; and
- (B) in paragraph (2)—
- (i) in the matter preceding subparagraph (A), by striking "90 days" and inserting "30 days":
- (ii) in subparagraph (A), by striking "1,000" and inserting "1.200"; and
- (iii) in subparagraph (B), by striking "2,500 Boys and Girls Clubs of America facilities in operation before January 1, 2000" and inserting "4,000 Boys and Girls Clubs of America facilities in operation before January 1, 2007"; and
- (3) in subsection (e), by striking paragraph (1) and inserting the following:
- "(1) IN GENERAL.—There are authorized to be appropriated to carry out this section—
 - "(A) \$60,000,000 for fiscal year 2002;
 - "(B) \$60,000,000 for fiscal year 2003;
 - ``(C) \$60,000,000 for fiscal year 2004;
 - "(D) \$60,000,000 for fiscal year 2005; and "(E) \$60,000,000 for fiscal year 2006.".
- SA 425. Mr. REED (for himself, Ms. SNOWE, Mr. KENNEDY, Mr. CHAFEE, Mr. BINGAMAN, Mr. WELLSTONE, Mrs. MURRAY, Mrs. CLINTON, Mr. SARBANES, Mr. JOHNSON, Mr. BAUCUS, Mr. LEVIN, Mr. REID, Mr. ROCKFELLER, Mr. DURBIN, and Mr. DAYTON) proposed an amendment to amendment SA 358 proposed by Mr. JEFFORDS to the bill (S. 1) to extend programs and activities under the Elementary and Secondary Education Act of 1965; as follows:
- On page 32, line 11, strike ''\$900,000,000'' and insert ''\$1,400,000,000''.
- On page 201, line 19, strike "and".
- On page 201, line 21, strike the period and insert "; and".
- On page 201, between lines 21 and 22, insert the following:
- "(3) shall reserve \$500,000,000 for fiscal year 2002 and each of the 6 succeeding fiscal years to carry out section 1228 (relating to school libraries).

On page 203, between lines 20 and 21, insert the following:

"SEC. 1228. IMPROVING LITERACY THROUGH SCHOOL LIBRARIES.

- "(a) IN GENERAL.—From funds reserved under section 1225(3) for a fiscal year that are not reserved under subsection (h), the Secretary shall allot to each State educational agency having an application approved under subsection (c)(1) an amount that bears the same relation to the funds as the amount the State educational agency received under part A for the preceding fiscal year bears to the amount all such State educational agencies received under part A for the preceding fiscal year, to increase literacy and reading skills by improving school libraries.
- "(b) WITHIN-STATE ALLOCATIONS.—Each State educational agency receiving an allotment under subsection (a) for a fiscal year—
- "(1) may reserve not more than 3 percent to provide technical assistance, disseminate information about school library media programs that are effective and based on scientifically based research, and pay administrative costs, related to activities under this section; and
- "(2) shall allocate the allotted funds that remain after making the reservation under paragraph (1) to each local educational agency in the State having an application approved under subsection (c)(2) (for activities described in subsection (e)) in an amount that bears the same relation to such remainder as the amount the local educational agency received under part A for the fiscal year bears to the amount received by all such local educational agencies in the State for the fiscal year.
 - "(c) APPLICATIONS.-
- "(1) STATE EDUCATIONAL AGENCY.—Each State educational agency desiring assistance under this section shall submit to the Secretary an application at such time, in such manner, and containing such information as the Secretary shall require. The application shall contain a description of—
- "(A) how the State educational agency will assist local educational agencies in meeting the requirements of this section and in using scientifically based research to implement effective school library media programs; and
- "(B) the standards and techniques the State educational agency will use to evaluate the quality and impact of activities carried out under this section by local educational agencies to determine the need for technical assistance and whether to continue funding the agencies under this section.
- "(2) LOCAL EDUCATIONAL AGENCY.—Each local educational agency desiring assistance under this section shall submit to the State educational agency an application at such time, in such manner, and containing such information as the State educational agency shall require. The application shall contain a description of—
- "(A) a needs assessment relating to the need for school library media improvement, based on the age and condition of school library media resources, including book collections, access of school library media centers to advanced technology, and the availability of well-trained, professionally certified school library media specialists, in schools served by the local educational agency;
- cy;
 "(B) how the local educational agency will extensively involve school library media specialists, teachers, administrators, and parents in the activities assisted under this section, and the manner in which the local educational agency will carry out the activities described in subsection (e) using programs and materials that are grounded in scientifically based research:

- "(C) the manner in which the local educational agency will effectively coordinate the funds and activities provided under this section with Federal, State, and local funds and activities under this subpart and other literacy, library, technology, and professional development funds and activities; and
- "(D) a description of the manner in which the local educational agency will collect and analyze data on the quality and impact of activities carried out under this section by schools served by the local educational agency.
- WITHIN-LEA DISTRIBUTION.—Each local educational agency receiving funds under this section shall distribute-
- "(1) 50 percent of the funds to schools served by the local educational agency that are in the top quartile in terms of percentage of students enrolled from families with incomes below the poverty line; and
- "(2) 50 percent of the funds to schools that have the greatest need for school library media improvement based on the needs assessment described in subsection (c)(2)(A).
- '(e) LOCAL ACTIVITIES.-Funds under this section may be used to-
- "(1) acquire up-to-date school library media resources, including books;
- "(2) acquire and utilize advanced technology, incorporated into the curricula of the school, to develop and enhance the information literacy, information retrieval, and critical thinking skills of students:
- "(3) facilitate Internet links and other resource-sharing networks among schools and school library media centers, and public and academic libraries, where possible;
- "(4) provide professional development described in 1222(c)(7)(D) for school library media specialists, and activities that foster increased collaboration between school library media specialists, teachers, and administrators; and
- "(5) provide students with access to school libraries during nonschool hours, including the hours before and after school, during weekends, and during summer vacation peri-
- "(f) ACCOUNTABILITY AND CONTINUATION OF FUNDS.—Each local educational agency that receives funding under this section for a fiscal year shall be eligible to continue to receive the funding for a third or subsequent fiscal year only if the local educational agency demonstrates to the State educational agency that the local educational agency has increased-
- "(1) the availability of, and the access to, up-to-date school library media resources in the elementary schools and secondary schools served by the local educational agency; and
- "(2) the number of well-trained, professionally certified school library media specialists in those schools.
- "(g) SUPPLEMENT NOT SUPPLANT.—Funds made available under this section shall be used to supplement and not supplant other Federal, State, and local funds expended to carry out activities relating to library, technology, or professional development activi-
- (h) NATIONAL ACTIVITIES.—From the total amount made available under section 1225(3) for each fiscal year, the Secretary shall reserve not more than 1 percent for annual, independent, national evaluations of the activities assisted under this section. The evaluations shall be conducted not later than 3 years after the date of enactment of the Better Education for Students and Teachers Act, and each year thereafter.
- On page 203, line 21, strike "1228" and insert "1229".

SA 426. Mr. CONRAD (for himself and Mr. BINGMAN) submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

At the appropriate place insert the following:

CARL D. PERKINS VOCATIONAL AND SEC. TECHNICAL EDUCATION ACT 1998.

- (a) IN GENERAL —Section 117 of the Carl D. Perkins Vocational and Technical Education Act of 1998 (20 U.S.C. 2327) is amended-
- (1) in subsection (a), by inserting "that are not receiving Federal support under the Tribally Controlled College or University Assistance Act of 1978 (25 U.S.C. 1801 et seq.) or the Navajo Community College Act (25 U.S.C. 640a et seq.)" after "institutions";
- (2) in subsection (b), by adding "institutional support of" after "for";
- (3) in subsection (d), by inserting "that is not receiving Federal support under the Tribally Controlled College or University Assistance Act of 1978 (25 U.S.C. 1801 et seq.) or the Navajo Community College Act (25 U.S.C. 640a et seq.)" after "institution"; and
- (4) in subsection (e)(1)— (A) by striking "and" at the end of sub-
- paragraph (B);
- (B) by striking the period at the end of subparagraph (C) and inserting "; and"; and
- (C) by adding at the end the following: "(D) institutional support of vocational
- and technical education.".
- (b) Effective Date.-
- (1) IN GENERAL.—The amendments made by subsection (a) shall take effect on the date of enactment of this Act.
- (2) APPLICATION.—The amendments made by subsection (a) shall apply to grants made for fiscal year 2001 only if this Act is enacted before September 30, 2001.
- SA 427. Mr. WELLSTONE submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:
- At the end of title VII, add the following: SEC. . ADDITION TO LIST OF 1994 INSTITUTIONS.

Section 532 of the Equity in Educational Land-Grant Status Act of 1994 (Public Law 103-382; 7 U.S.C. 301 note) is amended by adding at the end the following:

'(31) White Earth Tribal and Community

SA 428. Mrs. MURRAY submitted an amendment intended to be proposed by her to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table: as follows:

On page 752, strike line 16.

SA 429. Mr. CLELAND submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965: which was ordered to lie on the table; as follows:

On page 319, between lines 19 and 20, insert the following:

- "(12) Supporting the activities of education councils and professional development schools, involving partnerships described in paragraphs (1) and (3) of subsection (c), respectively, for the purpose of-
- "(A) preparing out-of-field teachers to be qualified to teach all of the classes that the teachers are assigned to teach;
- "(B) preparing paraprofessionals to become fully qualified teachers in areas served by high need local educational agencies;
- "(C) supporting teams of master teachers, including teachers certified by the National Board for Professional Teaching Standards, and student teacher interns as a part of an extended teacher education program; and
- "(D) supporting teams of master teachers, including teachers certified by the National Board for Professional Teaching Standards, to serve in low-performing schools.
- On page 329, line 7, strike "; and" and insert a semicolon.
- On page 329, line 13, strike the period and insert ": and".
- On page 329, between lines 13 and 14, insert the following:
- "(C) may include activities carried out jointly with education councils and professional development schools, involving partnerships described in paragraphs (1) and (3) of subsection (c), respectively, for the purpose of improving teaching and learning at low-performing schools.
- On page 329, between lines 18 and 19, insert the following:
- "(c) DEFINITIONS —In this section:
- "(1) EDUCATION COUNCIL.—The term 'education council' means a partnership that—
- "(A) is established between-
- "(i) 1 or more local educational agencies;
- "(ii) 1 or more institutions of higher education, including community colleges, that meet the requirements applicable to the institutions under title II of the Higher Education Act of 1965 (20 U.S.C. 1021 et seq.); and
- "(B) provides professional development to teachers to ensure that the teachers are prepared and meet high standards for teaching, particularly by educating and preparing prospective teachers in a classroom setting and enhancing the knowledge of in-service teachers while improving the education of the classroom students.
- "(2) LOW-PERFORMING SCHOOL.—The term 'low-performing school' means an elementary school or secondary school that is determined to be low-performing by a State, on the basis of factors such as low student achievement, low student performance, unclear academic standards, high rates of student absenteeism, high dropout rates, and high rates of staff turnover or absenteeism.
- (3) Professional development school.-The term 'professional development school' means a partnership that-
 - '(A) is established between—
- "(i) a local educational agency on behalf of an elementary or secondary school within the local educational agency's jurisdiction; and
- "(ii) an institution of higher education, including a community college, that meets the requirements applicable to the institution under title II of the Higher Education Act of 1965; and
- "(B)(i) provides sustained and high quality preservice clinical experience, including the mentoring of prospective teachers by veteran teachers;
- "(ii) substantially increases interaction between faculty at institutions of higher education described in subparagraph (A) and new and experienced teachers, principals,

and other administrators at elementary schools or secondary schools; and

"(iii) provides support, including preparation time, for such interaction.

SA 430. Mr. CLELAND submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 480, line 12, strike the period at the end and insert a semicolon and the following:

"(6) other instructional services that are designed to assist immigrant students to achieve in elementary and secondary schools in the United States, such as literacy programs, programs of introduction to the educational system, and civics education; and

"(7) activities, coordinated with community-based organizations, institutions of higher education, private sector entities, or other entities with expertise in working with immigrants, to assist parents of immigrant students by offering comprehensive community social services, such as English as a second language courses, health care, job training, child care, and transportation services."

SA 431. Mr. REED submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 125, line 6, insert "(a) IN GENERAL.—" before "Section".

On page 127, between lines 20 and 21, insert the following: $\,$

(b) GRANTS.—Section 1118(a)(3) (20 U.S.C. 6319(a)(3)) is amended by adding at the end the following:

"(C)(i)(I) The Secretary is authorized to award grants to local educational agencies to enable the local educational agencies to supplement the implementation of the provisions of this section and to allow for the expansion of other recognized and proven initiatives and policies to improve student achievement through the involvement of parents.

"(II) Each local educational agency desiring a grant under this subparagraph shall submit to the Secretary an application at such time, in such manner, and containing such information as the Secretary may require.

"(ii) Each application submitted under clause (i)(II) shall describe the activities to be undertaken using funds received under this subparagraph and shall set forth the process by which the local educational agency will annually evaluate the effectiveness of the agency's activities in improving student achievement and increasing parental involvement.

"(iii) Each grant under this subparagraph shall be awarded for a 5-year period.

"(iv) The Secretary shall conduct a review of the activities carried out by each local educational agency using funds received under this subparagraph to determine whether the local educational agency demonstrates improvement in student achievement and an increase in parental involvement.

"(v) The Secretary shall terminate grants to a local educational agency under this sub-

paragraph after the fourth year if the Secretary determines that the evaluations conducted by such agency and the reviews conducted by the Secretary show no improvement in the local educational agency's student achievement and no increase in such agency's parental involvement.

"(vi) There are authorized to be appropriated to carry out this subparagraph \$500,000,000 for fiscal year 2002, and such sums as may be necessary for each subsequent fiscal year."

SA 432. Mr. REED submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 324, between lines 10 and 11, insert the following:

"(11) A description of how the local educational agency will provide training to enable teachers to—

"(A) address the needs of students with disabilities, students with limited English proficiency, and other students with special needs:

"(B) involve parents in their child's education; and

"(C) understand and use data and assessments to improve classroom practice and student learning.

On page 326, line 2, strike "and".

On page 326, line 7, strike the period and insert "; and".

On page 326, between lines 7 and 8, insert the following:

"(D) effective instructional practices that involve collaborative groups of teachers and administrators, using such strategies as—

"(i) provision of dedicated time for collaborative lesson planning and curriculum development meetings;

"(ii) consultation with exemplary teachers;

"(iii) team teaching, peer observation, and coaching;

"(iv) provision of short-term and long-term visits to classrooms and schools;

"(v) establishment and maintenance of local professional development networks that provide a forum for interaction among teachers and administrators about content knowledge and teaching and leadership skills; and

"(vi) the provision of release time as needed for the activities.

SA 433. Mr. REED submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 307, line 16, strike "and".

On page 307, line 18, strike the period and insert "; and".

On page 307, between lines 18 and 19, insert the following: $\,$

"(v) encourage and provide instruction on how to work with and involve parents to foster student achievement."

SA 434. Mr. REED submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 12, strike lines 23 through 24.

On page 13, strike lines 1 through 2, and insert the following:

"(23) PARENTAL INVOLVEMENT.—The term 'parental involvement' means the participation of parents in regular, two-way, and meaningful communication, including ensuring—

"(B) that parents play an integral role in assisting student learning;

"(C) that parents are welcome in the schools;

"(D) that parents are included in decision-making and advisory committees; and

"(E) the carrying out of other activities described in section 1118.

SA 435. Mr. REED submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 369, between lines 6 and 7, insert the following and redesignate the remaining paragraphs accordingly:

"(2) outlines the strategies for increasing parental involvement in schools through the effective use of technology."

effective use of technology;".
On page 370, line 24, strike "and"

On page 370, line 26, strike the period and insert a semicolon.

On page 371, line 1, insert the following:

"(7) utilizing technology to develop or expand efforts to connect schools and teachers with parents to promote meaningful parental involvement and foster increased communication about curriculum, assignments, and assessments; and

"(8) providing support to help parents understand the technology being applied in their child's education so that parents are able to reinforce their child's learning.".

On page 371, between lines 23 and 24, insert the following and redesignate the remaining paragraphs accordingly:

"(3) a description of how the local educational agency will ensure the effective use of technology to promote parental involvement and increase communication with parents;

"(4) a description of how parents will be informed of the use of technologies so that the parents are able to reinforce at home the instruction their child receives at school:".

On page 374, line 24, strike "and".

On page 375, line 1, insert the following and redesignate the remaining paragraph accordingly:

"(3) increased parental involvement through the use of technology; and".

On page 378, line 24, strike "and".

On page 379, line 1, insert the following and redesignate the remaining subparagraph accordingly:

"(F) increased parental involvement in schools through the use of technology; and".

SA 436. Mr. REED submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 90, line 5, after "problems" insert the following:

"including problems, if any, in implementing the parental involvement requirements described in section 1118, the professional development requirements described in section 1119, and the responsibilities of the school and local educational agency under the school plan".

SA 437. Mr. BOND submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 586, between lines 18 and 19, insert the following:

PART B—DISCIPLINARY MEASURES RELATING TO SCHOOL VIOLENCE

SEC. 411. SHORT TITLE.

This part may be cited as the "School Safety Act of 2001".

SEC. 412. AMENDMENTS TO THE INDIVIDUALS WITH DISABILITIES EDUCATION ACT.

- (a) PROCEDURAL SAFEGUARDS.— Section 615 of the Individuals with Disabilities Education Act (20 U.S.C. 1415) is amended by adding at the end the following:
- "(n) DISCIPLINE BY LOCAL AUTHORITY WITH RESPECT TO WEAPONS, DRUGS, AND TEACHER ASSAULTS.—
- "(1) AUTHORITY OF SCHOOL PERSONNEL WITH RESPECT TO WEAPONS, DRUGS, AND TEACHER ASSAULTS.—Notwithstanding any other provision of this title, school personnel may discipline (including expel or suspend) a child with a disability in the same manner in which such personnel may discipline a child without a disability if the child with a disability—
- "(A) carries or possesses a weapon to or at a school, on school premises, or to or at a school function under the jurisdiction of a State or a local educational agency:
- "(B) threatens to carry, possess, or use a weapon to or at a school, on school premises, or to or at a school function under the jurisdiction of a State or a local educational agency:
- "(C) possesses or uses illegal drugs or sells or solicits the sale of a controlled substance while at school, on school premises, or at a school function under the jurisdiction of a State or local educational agency; or
- "(D) assaults or threatens to assault a teacher, teacher's aide, principal, school counselor, or other school personnel, including independent contractors and volunteers.
- "(2) INDIVIDUAL DETERMINATIONS.—In carrying out any disciplinary action described in paragraph (1), school personnel have discretion to consider all germane factors in each individual case and modify any disciplinary action on a case-by-case basis.
- "(3) DEFENSE.—Nothing in paragraph (1) shall be construed to prevent a child with a disability who is disciplined pursuant to the authority provided under paragraph (1) from asserting a defense that the alleged act was unintentional or innocent.
- ``(4) Free appropriate public education.—
- "(A) CEASING TO PROVIDE EDUCATION.—Notwithstanding section 612(a)(1)(A), or any other provision of this title, a child expelled or suspended under paragraph (1) shall not be entitled to continued educational services, including a free appropriate public education, under this subsection, during the term of such expulsion or suspension, if the State in which the local educational agency responsible for providing educational services to such child does not require a child without a disability to receive educational services after being expelled or suspended.
- "(B) PROVIDING EDUCATION.—Notwithstanding subparagraph (A), the local edu-

- cational agency responsible for providing educational services to a child with a disability who is expelled or suspended under paragraph (1) may choose to continue to provide educational services to such child. If the local educational agency so chooses to continue to provide the services—
- "(i) nothing in this subsection shall be construed to require the local educational agency to provide such child with a free appropriate public education, or any particular level of service; and
- "(ii) the location where the local educational agency provides the services shall be left to the discretion of the local educational agency.
- "(5) RELATIONSHIP TO OTHER REQUIRE-MENTS.—
- "(A) PLAN REQUIREMENTS.—No agency shall be considered to be in violation of section 612 or 613 because the agency has provided discipline, services, or assistance in accordance with this subsection.
- "(B) PROCEDURE.—None of the procedural safeguards or disciplinary procedures of this Act shall apply to this subsection, and the relevant procedural safeguards and disciplinary procedures applicable to children without disabilities may be applied to the child with a disability in the same manner in which such safeguards and procedures would be applied to children without disabilities.
 - "(6) DEFINITIONS.—In this subsection:
- "(A) THREATEN TO CARRY, POSSESS, OR USE A WEAPON.—The term 'threaten to carry, possess, or use a weapon' includes behavior in which a child verbally threatens to kill another person.
- "(B) WEAPON, ILLEGAL DRUG, CONTROLLED SUBSTANCE, AND ASSAULT.—The terms 'weapon', 'illegal drug', 'controlled substance', 'assault', 'unintentional', and 'innocent' have the meanings given such terms under State law."
- (b) Conforming Amendments.—Section 615 of the Individuals with Disabilities Education Act (20 U.S.C. 1415) is amended—
- (1) in subsection (f)(1), by striking "Whenever" and inserting the following: "Except as provided in section 615(n), whenever"; and
- (2) in subsection (k)—
- (A) in paragraph (1), by striking subparagraph (A) and inserting the following:
- "(A) In any disciplinary situation except for such situations as described in subsection (n), school personnel under this section may order a change in the placement of a child with a disability to an appropriate interim alternative educational setting, another setting, or suspension, for not more than 10 school days (to the extent such alternatives would apply to children without disabilities).":
- (B) by striking paragraph (3) and inserting the following:
- "(3) Any interim alternative educational setting in which a child is placed under paragraph (1) or (2) shall—
- "(A) be selected so as to enable the child to continue to participate in the general curriculum, although in another setting, and to continue to receive those services and modifications, including those described in the child's current IEP, that will enable the child to meet the goals set out in that IEP; and
- "(B) include services and modifications designed to address the behavior described in paragraphs (1) or (2) so that it does not recur "."
- (C) in paragraph (6)(B)-
- (i) in clause (i), by striking "(i) In reviewing" and inserting "In reviewing"; and
 - (ii) by striking clause (ii);

- (D) in paragraph (7)—
- (i) in subparagraph (A), by striking "paragraph (1)(A)(ii) or" each place it appears; and
- (ii) in subparagraph (B), by striking "paragraph (1)(A)(ii) or"; and
- (E) by striking paragraph (10) and inserting the following:
- "(10) SUBSTANTIAL EVIDENCE.—The term 'substantial evidence' means beyond a preponderance of the evidence.".

SEC. 413. AMENDMENT TO THE GUN-FREE SCHOOLS ACT OF 1994.

Subsection (c) of section 14601 of the Gun-Free Schools Act of 1994 (20 U.S.C. 8921) is amended to read as follows:

"(c) SPECIAL RULE.—Notwithstanding any other provision of this section, this section shall be subject to section 615(n) of the Individuals with Disabilities Education Act (20 U.S.C. 1415(n)).".

SEC. 414. APPLICATION.

The amendments made by sections 412 and 413 shall not apply to conduct occurring prior to the date of the enactment of this Act.

SA 438. Mr. BOND submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 586, between lines 18 and 19, insert the following:

PART B—SCHOOL SAFETY AND VIOLENCE PREVENTION

SEC. 411. SCHOOL SAFETY AND VIOLENCE PRE-VENTION.

Title XIV of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 8801 et seq.) is amended by adding at the end the following:

"PART I—SCHOOL SAFETY AND VIOLENCE PREVENTION

"SEC. 14851. SCHOOL SAFETY AND VIOLENCE PREVENTION.

- "Notwithstanding any other provision of titles IV and VI, funds made available under such titles may be used for—
- "(1) training, including in-service training, for school personnel (including custodians and bus drivers), with respect to—
- "(A) identification of potential threats, such as illegal weapons and explosive devices:
- $\lq\lq(B)$ crisis preparedness and intervention procedures; and
 - ``(C) emergency response;
- "(2) training for parents, teachers, school personnel, and other interested members of the community regarding identification of and responses to early warning signs of troubled and violent youth;
- "(3) innovative research-based delinquency and violence prevention programs, including—
 - "(A) school anti-violence programs; and
 - ``(B) mentoring programs;
- "(4) comprehensive assessments of school security;
- "(5) purchase of school security equipment and technologies, such as—
 - "(A) metal detectors;
- "(B) electronic locks; and
- "(C) surveillance cameras;
- "(6) collaborative efforts with communitybased organizations, including faith-based organizations, statewide consortia, and law enforcement agencies, that have demonstrated expertise in providing effective, research-based violence prevention and intervention programs to school-aged children;

- "(7) providing assistance to States, local educational agencies, and schools to establish school uniform policies:
- "(8) school resource officers, including community policing officers; and
- "(9) other innovative, local responses that are consistent with reducing incidents of school violence and improving the educational atmosphere of the classroom.".

SEC. 412. STUDY OF SCHOOL SAFETY ISSUES.

- (a) STUDY.—The Comptroller General shall carry out a study regarding school safety issues, including an examination of—
- (1) incidents of school-based violence in the United States:
- (2) impediments to combating school-based violence, including local, state, and Federal education and law enforcement impediments:
- (3) promising initiatives for addressing school-based violence;
- (4) crisis preparedness of school personnel;
- (5) preparedness of local, State, and Federal law enforcement to address incidents of school-based violence; and
- (6) current school violence prevention programs.
- (b) REPORT.—The Comptroller General shall submit to Congress a report regarding the results of the study conducted under subsection (a).
- SA 439. Mr. TORRICELLI submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 794, after line 7, add the following: SEC. 902. INTEGRATED PEST MANAGEMENT.

- (a) SHORT TITLE.—This section may be cited as the "School Environment Protection Act of 2001".
- (b) INTEGRATED PEST MANAGEMENT SYSTEMS FOR SCHOOLS.—The Federal Insecticide, Fungicide, and Rodenticide Act is amended—
- (1) by redesignating sections 33 and 34 (7 U.S.C. 136x, 136y) as sections 34 and 35, respectively; and
- (2) by inserting after section 32 (7 U.S.C. 136w-7) the following:

"SEC. 33. INTEGRATED PEST MANAGEMENT SYSTEMS FOR SCHOOLS.

- $\lq\lq(a)$ Definitions.—In this section:
- "(1) BOARD.—The term 'Board' means the National School Integrated Pest Management Advisory Board established under subsection (c).
- "(2) CONTACT PERSON.—The term 'contact person' means an individual who is—
- "(A) knowledgeable about integrated pest management systems; and
- "(B) designated by a local educational agency as the contact person under subsection (f).
- "(3) CRACK AND CREVICE TREATMENT.—The term 'crack and crevice treatment' means the application of small quantities of a pesticide in a building into openings such as those commonly found at expansion joints, between levels of construction, and between equipment and floors.
- "(4) EMERGENCY.—The term 'emergency' means an urgent need to mitigate or eliminate a pest that threatens the health or safety of a student or staff member.
- "(5) FUND.—The term 'Fund' means the Integrated Pest Management Trust Fund established under subsection (m).
- ''(6) INTEGRATED PEST MANAGEMENT SYSTEM.—The term 'integrated pest management system' means a managed pest control system that—

- "(A) eliminates or mitigates economic, health, and aesthetic damage caused by pests;
 - "(B) uses-
 - "(i) integrated methods;
 - "(ii) site or pest inspections;
- "(iii) pest population monitoring;
- "(iv) an evaluation of the need for pest control; and
- "(v) 1 or more pest control methods, including sanitation, structural repair, mechanical and living biological controls, other nonchemical methods, and (if nontoxic options are unreasonable and have been exhausted) least toxic pesticides; and
 - "(C) minimizes-
 - "(i) the use of pesticides; and
- "(ii) the risk to human health and the environment associated with pesticide applications.
 - "(7) LEAST TOXIC PESTICIDES.—
- "(\dot{A}) IN GENERAL.—The term 'least toxic pesticides' means—
- "(i) boric acid and disodium octoborate tetrahydrate;
- "(ii) silica gels;
- "(iii) diatomaceous earth:
- "(iv) nonvolatile insect and rodent baits in tamper resistant containers or for crack and crevice treatment only:
 - "(v) microbe-based pesticides;
- "(vi) pesticides made with essential oils (not including synthetic pyrethroids) without toxic synergists; and
- "(vii) materials for which the inert ingredients are nontoxic and disclosed.
- "(B) EXCLUSIONS.—The term 'least toxic pesticides' does not include—
- "(i) a pesticide that is determined by the Administrator to be an acutely or moderately toxic pesticide, probable, likely, or known carcinogen, mutagen, teratogen, reproductive toxin, developmental neurotoxin, endocrine disrupter, or immune system toxin; or
- "(ii) and any application of a pesticide described in clause (i) using a broadcast spray, dust, tenting, fogging, or baseboard spray application.
- "(8) LIST.—The term 'list' means the list of least toxic pesticides established under subsection (d).
- "(9) LOCAL EDUCATIONAL AGENCY.—The term 'local educational agency' has the meaning given the term in section 14101 of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 8801).
- ``(10) PERSON.—The term 'person' means—
- "(A) an individual that attends, has children enrolled in, works at, or uses a school;
- "(B) a resident of a school district; and
- "(C) any other individual that may be affected by pest management activities of a school.
- "(11) OFFICIAL.—The term 'official' means the official appointed by the Administrator under subsection (e).
 - "(12) PESTICIDE.-
- "(A) IN GENERAL.—The term 'pesticide' means any substance or mixture of substances, including herbicides and bait stations, intended for—
- "(i) preventing, destroying, repelling, or mitigating any pest;
- "(ii) use as a plant regulator, defoliant, or desiceant; or
- "(iii) use as a spray adjuvant such as a wetting agent or adhesive.
- "(B) EXCLUSION.—The term 'pesticide' does not include antimicrobial agents such as disinfectants or deodorizers used for cleaning products.
- "(13) SCHOOL.—The term 'school' means a public—

- "(A) elementary school (as defined in section 14101 of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 8801));
- "(B) secondary school (as defined in section 14101 of that Act); or
- "(C) kindergarten or nursery school.
- "(14) SCHOOL GROUNDS.—
- "(A) IN GENERAL.—The term 'school grounds' means the area outside of the school buildings controlled, managed, or owned by the school or school district.
- "(B) INCLUSIONS.—The term 'school grounds' includes a lawn, playground, sports field, and any other property or facility controlled, managed, or owned by a school.
 - "(15) SPACE SPRAYING.-
- "(A) IN GENERAL.—The term 'space spraying' means application of a pesticide by discharge into the air throughout an inside area.
- "(B) INCLUSION.—The term 'space spraying' includes the application of a pesticide using a broadcast spray, dust, tenting, or fogging.
- "(C) EXCLUSION.—The term 'space spraying' does not include crack and crevice treatment.
 - "(16) STAFF MEMBER.—
- "(A) IN GENERAL.—The term 'staff member' means an employee of a school or local educational agency.
- "(B) INCLUSIONS.—The term 'staff member' includes an administrator, teacher, and other person that is regularly employed by a school or local educational agency.
- "(C) Exclusions.—The term 'staff member' does not include—
- "(i) an employee hired by a school, local educational agency, or State to apply a pesticide; or
- "(ii) a person assisting in the application of a pesticide.
- "(17) STATE EDUCATIONAL AGENCY.—The term 'State educational agency' has the meaning given the term in section 14101 of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 8801).
- "(18) UNIVERSAL NOTIFICATION.—The term 'universal notification' means notice provided by a local educational agency or school
- $\mbox{\ensuremath{^{\prime\prime}}}(A)$ all parents or guardians of children attending the school; and
- "(B) staff members of the school or local educational agency.
- "(b) INTEGRATED PEST MANAGEMENT SYSTEMS.—
- "(1) IN GENERAL.—The Administrator, in consultation with the Secretary of Education, shall establish a National School Integrated Pest Management Advisory System to develop and update uniform standards and criteria for implementing integrated pest management systems in schools.
- "(2) IMPLEMENTATION.—Not later than 18 months after the date of enactment of this subsection, each local educational agency of a school district shall develop and implement in each of the schools in the school district an integrated pest management system that complies with this section.
- "(3) STATE PROGRAMS.—If, on the date of enactment of this section, a State maintains an integrated pest management system that meets the standards and criteria established under paragraph (1) (as determined by the Board), a local educational agency in the State may continue to implement the system in a school or in the school district in accordance with paragraph (2).
- "(4) APPLICATION TO SCHOOLS AND SCHOOL GROUNDS.—The requirements of this section that apply to a school, including the requirement to implement an integrated management system, apply to pesticide application

in a school building and on the school grounds.

- "(5) APPLICATION OF PESTICIDES WHEN SCHOOLS IN USE.—A school shall prohibit—
- "(A) the application of a pesticide when a school or a school ground is occupied or in use; or
- "(B) the use of an area or room treated by a pesticide, other than a least toxic pesticide, during the 24-hour period beginning at the end of the treatment.
- "(c) NATIONAL SCHOOL INTEGRATED PEST MANAGEMENT ADVISORY BOARD.—
- "(1) IN GENERAL.—The Administrator, in consultation with the Secretary of Education, shall establish a National School Integrated Pest Management Advisory Board to—
- "(A) establish uniform standards and criteria for developing integrated pest management systems and policies in schools;
- ``(B) develop standards for the use of least toxic pesticides in schools; and
- "(C) advise the Administrator on any other aspects of the implementation of this section.
- "(2) COMPOSITION OF BOARD.—The Board shall be composed of 12 members and include 1 representative from each of the following groups:
 - "(A) Parents.
 - "(B) Public health care professionals.
 - "(C) Medical professionals.
- "(D) State integrated pest management system coordinators.
- "(E) Independent integrated pest management specialists that have carried out school integrated pest management programs.
 - "(F) Environmental advocacy groups
 - "(G) Children's health advocacy groups.
- "(H) Trade organization for pest control operators.
- "(I) Teachers and staff members.
- ``(J) School facility managers or school maintenance staff.
- "(K) School administrators.
- "(L) School board members.
- "(3) APPOINTMENT.—Not later than 180 days after the date of enactment of this section, the Administrator shall appoint members of the Board from nominations received from Parent Teacher Associations, school districts, States, and other interested persons and organizations.
 - "(4) TERM.—
- "(A) IN GENERAL.—A member of the Board shall serve for a term of 5 years, except that the Administrator may shorten the terms of the original members of the Board in order to provide for a staggered term of appointment for all members of the Board.
- "(B) CONSECUTIVE TERMS.—Subject to subparagraph (C), a member of the Board shall not serve consecutive terms unless the term of the member has been reduced by the Administrator.
- "(C) MAXIMUM TERM.—In no event may a member of the Board serve for more than 6 consecutive years.
- ``(5) MEETINGS.—The Administrator shall convene—
- "(A) an initial meeting of the Board not later than 60 days after the appointment of the members; and
- "(B) subsequent meetings on a periodic basis, but not less often than 2 times each year.
- "(6) COMPENSATION.—A member of the Board shall serve without compensation, but may be reimbursed by the Administrator for expenses (in accordance with section 5703 of title 5, United States Code) incurred in performing duties as a member of the Board.
- "(7) CHAIRPERSON.—The Board shall select a Chairperson for the Board.

- "(8) QUORUM.—A majority of the members of the Board shall constitute a quorum for the purpose of conducting business.
- "(9) DECISIVE VOTES.—Two-thirds of the votes cast at a meeting of the Board at which a quorum is present shall be decisive for any motion.
- (10) Administration.—The Administrator—
- "(A) shall—
- "(i) authorize the Board to hire a staff director; and
- "(ii) detail staff of the Environmental Protection Agency, or allow for the hiring of staff for the Board; and
- "(B) subject to the availability of appropriations, may pay necessary expenses incurred by the Board in carrying out this subtitle, as determined appropriate by the Administrator.
 - "(11) RESPONSIBILITIES OF THE BOARD.—
- "(A) IN GENERAL.—The Board shall provide recommendations to the Administrator regarding the implementation of this section.
- "(B) LIST OF LEAST TOXIC PESTICIDES.—Not later than 1 year after the initial meeting of the Board, the Board shall—
- "(i) review implementation of this section (including use of least toxic pesticides); and
- "(ii) review and make recommendations to the Administrator with respect to new proposed active and inert ingredients or proposed amendments to the list in accordance with subsection (d).
 - "(C) TECHNICAL ADVISORY PANELS.—
- "(i) IN GENERAL.—The Board shall convene technical advisory panels to provide scientific evaluations of the materials considered for inclusion on the list.
- "(ii) COMPOSITION.—A panel described in clause (i) shall include experts on integrated pest management, children's health, entomology, health sciences, and other relevant disciplines.
 - "(D) SPECIAL REVIEW.—
- "(i) IN GENERAL.—Not later than 2 years after the initial meeting of the Board, the Board shall review, with the assistance of a technical advisory panel, pesticides used in school buildings and on school grounds for their acute toxicity and chronic effects, including cancer, mutations, birth defects, reproductive dysfunction, neurological and immune system effects, and endocrine system disruption.
 - "(ii) DETERMINATION.—The Board—
- "(I) shall determine whether the use of pesticides described in clause (i) may endanger the health of children; and
- "(II) may recommend to the Administrator restrictions on pesticide use in school buildings and on school grounds.
- "(12) REQUIREMENTS.—In establishing the proposed list, the Board shall—
- "(A) review available information from the Environmental Protection Agency, the National Institute of Environmental Health Studies, medical and scientific literature, and such other sources as appropriate, concerning the potential for adverse human and environmental effects of substances considered for inclusion in the proposed list; and
- "(B) cooperate with manufacturers of substances considered for inclusion in the proposed list to obtain a complete list of ingredients and determine that such substances contain inert ingredients that are generally recognized as safe.
- "(13) PETITIONS.—The Board shall establish procedures under which individuals may petition the Board for the purpose of evaluating substances for inclusion on the list.
 - "(14) Periodic review.—
- "(A) IN GENERAL.—The Board shall review each substance included on the list at least

- once during each 5-year period beginning on— $\,$
- on—
 "(i) the date that the substance was initially included on the list; or
- "(ii) the date of the last review of the substance under this subsection.
- "(B) SUBMISSION TO ADMINISTRATOR.—The Board shall submit the results of a review under subparagraph (A) to the Administrator with a recommendation as to whether the substance should continue to be included on the list.
- "(15) CONFIDENTIALITY.—Any business sensitive material obtained by the Board in carrying out this section shall be treated as confidential business information by the Board and shall not be released to the public.
- "(d) LIST OF LEAST TOXIC PESTICIDES; PESTICIDE REVIEW.—
- "(1) IN GENERAL.—The Board shall recommend to the Administrator a list of least toxic pesticides (including the pesticides described in subsection (a)(7)) that may be used as least toxic pesticides, any restrictions on the use of the listed pesticides, and any recommendations regarding restrictions on all other pesticides, in accordance with this section.
- ''(2) Procedure for evaluating pesticide use.—
 - "(A) LIST OF LEAST TOXIC PESTICIDES.—
- "(i) IN GENERAL.—The Administrator shall establish a list of least toxic pesticides that may be used in school buildings and on school grounds, including any restrictions on the use of the pesticides, that is based on the list prepared by the Board.
- "(ii) REGULATORY REVIEW.—The Administrator shall initiate regulatory review of all other pesticides recommended for restriction by the Board.
- "(B) RECOMMENDATIONS.—Not later than 1 year after receiving the proposed list and restrictions, and recommended restrictions on all other pesticides from the Board, the Administrator shall—
- "(i) publish the proposed list and restrictions and all other proposed pesticide restrictions in the Federal Register and seek public comment on the proposed proposals; and
- "(ii) after evaluating all comments received concerning the proposed list and restrictions, but not later than 1 year after the close of the period during which public comments are accepted, publish the final list and restrictions in the Federal Register, together with a discussion of comments received.
- "(C) FINDINGS.—Not later than 2 years after publication of the final list and restrictions, the Administrator shall make a determination and issue findings on whether use of registered pesticides in school buildings and on school grounds may endanger the health of children.
 - "(D) NOTICE AND COMMENT.—
- "(i) IN GENERAL.—Prior to establishing or making amendments to the list, the Administrator shall publish the proposed list or any proposed amendments to the list in the Federal Register and seek public comment on the proposals.
- "(ii) RECOMMENDATIONS.—The Administrator shall include in any publication described in clause (i) any changes or amendments to the proposed list that are recommended to and by the Administrator.
- "(E) PUBLICATION OF LIST.—After evaluating all comments received concerning the proposed list or proposed amendments to the list, the Administrator shall publish the final list in the Federal Register, together with a description of comments received.
 - "(e) Office of Pesticide Programs.—

- "(1) ESTABLISHMENT.—The Administrator shall appoint an official for school pest management within the Office of Pesticide Programs of the Environmental Protection Agency to coordinate the development and implementation of integrated pest management systems in schools.
- "(2) DUTIES.—The official shall—
- "(A) coordinate the development of school integrated pest management systems and policies;
- "(B) consult with schools concerning-
- "(i) issues related to the integrated pest management systems of schools;
 - "(ii) the use of least toxic pesticides; and
- "(iii) the registration of pesticides, and amendments to the registrations, as the registrations and amendments relate to the use of integrated pest management systems in schools; and
- "(C) support and provide technical assistance to the Board.
 - "(f) CONTACT PERSON.—
- "(1) IN GENERAL.—Each local educational agency of a school district shall designate a contact person for carrying out an integrated pest management system in schools in the school district.
- $\lq\lq(2)$ DUTIES.—The contact person of a school district shall—
- "(A) maintain information about pesticide applications inside and outside schools within the school district, in school buildings, and on school grounds;
- "(B) act as a contact for inquiries about the integrated pest management system;
- "(C) maintain material safety data sheets and labels for all pesticides that may be used in the school district;
- "(D) be informed of Federal and State chemical health and safety information and contact information:
- "(E) maintain scheduling of all pesticide usage for schools in the school district;
- ``(F) maintain contact with Federal and State integrated pest management system experts; and
- "(G) obtain periodic updates and training from State integrated pest management system experts.
- "(3) PESTICIDE USE DATA.—A local educational agency of a school district shall—
- "(A) maintain all pesticide use data for each school in the school district; and
- "(B) on request, make the data available to the public for review.
- ''(g) Notice of Integrated Pest Management System.—
- "(1) IN GENERAL.—At the beginning of each school year, each local educational agency or school of a school district shall include a notice of the integrated pest management system of the school district in school calendars or other forms of universal notification.
- ''(2) CONTENTS.—The notice shall include a description of—
- "(A) the integrated pest management system of the school district;
- "(B) any pesticide (including any least toxic pesticide) or bait station that may be used in a school building or on school grounds as part of the integrated pest management system;
- "(C) the name, address, and telephone number of the contact person of the school district;
 - "(D) a statement that-
- "(i) the contact person maintains the product label and material safety data sheet of each pesticide (including each least toxic pesticide) and bait station that may be used by a school in buildings or on school grounds;

- "(ii) the label and data sheet is available for review by a parent, guardian, staff member, or student attending the school; and
- "(iii) the contact person is available to parents, guardians, and staff members for information and comment; and
- "(E) the time and place of any meetings that will be held under subsection (g)(1).
- "(3) USE OF PESTICIDES.—A local educational agency or school may use a pesticide during a school year only if the use of the pesticide has been disclosed in the notice required under paragraph (1) at the beginning of the school year.
- "(4) NEW EMPLOYEES AND STUDENTS.—After the beginning of each school year, a local educational agency or school of a school district shall provide the notice required under this subsection to—
- "(A) each new staff member who is employed during the school year; and
- "(B) the parent or guardian of each new student enrolled during the school year.
 - "(h) Use of Pesticides.—
- "(1) IN GENERAL.—If a local educational agency or school determines that a pest in the school or on school grounds cannot be controlled after having used the integrated pest management system of the school or school district and least toxic pesticides, the school may use a pesticide (other than space spraying of the pesticide) to control the pest in accordance with this subsection.
- "(2) PRIOR NOTIFICATION OF PARENTS, GUARDIANS, AND STAFF MEMBERS.—
- "(A) IN GENERAL.—Subject to paragraphs (4) and (5), not less than 72 hours before a pesticide (other than a least toxic pesticide) is used by a school, the school shall provide to a parent or guardian of each student enrolled at the school and each staff member of the school, notice that includes—
- "(i) the common name, trade name, and Environmental Protection Agency registration number of the pesticide;
- "(ii) a description of the location of the application of the pesticide;
- "(iii) a description of the date and time of application, except that, in the case of out-door pesticide applications, 1 notice shall include 3 dates, in chronological order, that the outdoor pesticide applications may take place if the preceding date is canceled;
- "(iv) a statement that "The Office of Pesticide Programs of the United States Environmental Protection Agency has stated: Where possible, persons who potentially are sensitive, such as pregnant women and infants (less than 2 years old), should avoid any unnecessary pesticide exposure.";
- "(v) a description of potential adverse effects of the pesticide based on the material safety data sheet of the pesticide;
- "(vi) a description of the reasons for the application of the pesticide;
- "(vii) the name and telephone number of the contact person of the school district; and "(viii) any additional warning information
- related to the pesticide.

 "(B) METHOD OF NOTIFICATION.—The school may provide the notice required by subpara-
- may provide the notice required by subparagraph (A) by—
 "(i) written notice sent home with the stu-
- "(i) written notice sent home with the student and provided to the staff member;
 - "(ii) a telephone call;
 - "(iii) direct contact; or
- ``(iv) written notice mailed at least 1 week before the application.
- "(C) REISSUANCE.—If the date of the application of the pesticide needs to be extended beyond the period required for notice under this paragraph, the school shall reissue the notice under this paragraph for the new date of application.

- "(3) Posting of signs.—
- "(A) IN GENERAL.—Subject to paragraphs (4) and (5), at least 72 hours before a pesticide (other than a least toxic pesticide) is used by a school, the school shall post a sign that provides notice of the application of the pesticide—
- "(i) in a prominent place that is in or adjacent to the location to be treated; and
- "(ii) at each entrance to the buildings or school grounds to be treated.
- $\begin{tabular}{ll} ``(B) & ADMINISTRATION.-A & sign & required \\ under subparagraph (A) for the application of \\ a pesticide shall-\\ \end{tabular}$
- "(i) remain posted for at least 72 hours after the end of the treatment;
- "(ii) be at least 8½ inches by 11 inches; and "(iii) state the same information as that required for prior notification of the application under paragraph (2).
 - "(C) OUTDOOR PESTICIDE APPLICATIONS.—
- "(i) IN GENERAL.—In the case of outdoor pesticide applications, each sign shall include 3 dates, in chronological order, that the outdoor pesticide application may take place if the preceding date is canceled due to weather.
- "(ii) DURATION OF POSTING.—A sign described in clause (i) shall be posted after an outdoor pesticide application in accordance with subparagraph (B).
 - "(4) Administration.—
- "(A) APPLICATORS.—Paragraphs (2) and (3) shall apply to any person that applies a pesticide in a school or on school grounds, including a custodian, staff member, or commercial applicator.
- ''(B) TIME OF YEAR.—Paragraphs (2) and (3) shall apply to a school— $\,$
- "(i) during the school year; and
- "(ii) during holidays and the summer months, if the school is in use, with notice provided to all staff members and the parents or guardians of the students that are using the school in an authorized manner.
 - "(5) Emergencies.—
- "(A) IN GENERAL.—A school may apply a pesticide (other than a least toxic pesticide) in the school or on school grounds without complying with paragraphs (2) and (3) in an emergency, subject to subparagraph (B).
- "(B) SUBSEQUENT NOTIFICATION OF PARENTS, GUARDIANS, AND STAFF MEMBERS.—Not later than the earlier of the time that is 24 hours after a school applies a pesticide under this paragraph or on the morning of the next school day, the school shall provide to each parent or guardian of a student enrolled at the school, and staff member of the school, notice of the application of the pesticide for emergency pest control that includes—
- "(i) the information required for a notice under paragraph (2)(A);
- "(ii) a description of the problem and the factors that qualified the problem as an emergency that threatened the health or safety of a student or staff member; and
- "(iii) a description of the steps the school will take in the future to avoid emergency application of a pesticide under this paragraph.
- ''(C) METHOD OF NOTIFICATION.—The school may provide the notice required by subparagraph (B) by— $\,$
- "(i) written notice sent home with the student and provided to the staff member;
- "(ii) a telephone call; or
- "(iii) direct contact.
- "(D) POSTING OF SIGNS.—A school applying a pesticide under this paragraph shall post a sign warning of the pesticide application in accordance with paragraph (3).
- "(E) MODIFICATION OF INTEGRATED PEST MANAGEMENT PLANS.—If a school in a school

district applies a pesticide under this paragraph, the local educational agency of the school district shall modify the integrated pest management plan of the school district to minimize the future applications of pesticides under this paragraph.

"(6) DRIFT OF PESTICIDES ONTO SCHOOL GROUNDS.—Each local educational agency, State pesticide lead agency, and the Administrator are encouraged to—

"(A) identify sources of pesticides that drift from treated land to school grounds of the educational agency; and

"(B) take steps necessary to create an indoor and outdoor school environment that are protected from pesticides described in subparagraph (A).

"(i) Meetings.—

"(1) IN GENERAL.—Before the beginning of a school year, at the beginning of each new calendar year, and at a regularly scheduled meeting of a school board, each local educational agency shall provide an opportunity for the contact person designated under subsection (d) to receive and address public comments regarding the integrated pest management system of the school district.

"(2) EMERGENCY MEETINGS.—An emergency meeting of a school board to address a pesticide application may be called under locally appropriate procedures for convening emergency meetings.

"(i) INVESTIGATIONS AND ORDERS.—

- "(1) IN GENERAL.—Not later than 60 days after receiving a complaint of a violation of this section, the Administrator shall—
- "(A) conduct an investigation of the complaint;

"(B) determine whether it is reasonable to believe the complaint has merit; and

"(C) notify the complainant and the person alleged to have committed the violation of the findings of the Administrator.

"(2) PRELIMINARY ORDER.—If the Administrator determines it is reasonable to believe a violation occurred, the Administrator shall issue a preliminary order (that includes findings) to impose the penalty described in subsection (j).

"(3) OBJECTIONS TO PRELIMINARY ORDER.—

"(A) IN GENERAL.—Not later than 30 days after the preliminary order is issued under paragraph (2), the complainant and the person alleged to have committed the violation may—

"(i) file objections to the preliminary order (including findings); and

"(ii) request a hearing on the record.

"(B) FINAL ORDER.—If a hearing is not requested within 30 days after the preliminary order is issued, the preliminary order shall be final and not subject to judicial review.

"(4) HEARING.—A hearing under this subsection shall be conducted expeditiously.

"(5) FINAL ORDER.—Not later than 120 days after the end of the hearing, the Administrator shall issue a final order.

"(6) SETTLEMENT AGREEMENT.—Before the final order is issued, the proceeding may be terminated by a settlement agreement, which shall remain open, entered into by the Administrator, the complainant, and the person alleged to have committed the violation

"(7) Costs.-

"(A) IN GENERAL.—If the Administrator issues a final order against a school or school district for violation of this section and the complainant requests, the Administrator may assess against the person against whom the order is issued the costs (including attorney's fees) reasonably incurred by the complainant in bringing the complaint.

"(B) AMOUNT.—The Administrator shall determine the amount of the costs that were reasonably incurred by the complainant.

"(8) JUDICIAL REVIEW AND VENUE.—

"(A) IN GENERAL.—A person adversely affected by an order issued after a hearing under this subsection may file a petition for review not later than 60 days after the date that the order is issued, in a district court of the United States or other United States court for any district in which a local educational agency or school is found, resides, or transacts business.

"(B) TIMING.—The review shall be heard and decided expeditiously.

"(C) COLLATERAL REVIEW.—An order of the Administrator subject to review under this paragraph shall not be subject to judicial review in a criminal or other civil proceeding.

'(k) CIVIL PENALTY.—

"(1) IN GENERAL.—Any local educational agency, school, or person that violates this section may be assessed a civil penalty by the Administrator under subsections (h) and (i), respectively, of not more than \$10,000 for each offense.

"(2) Transfer to trust fund.—Except as provided in subsection (i)(4)(B), civil penalties collected under paragraph (1) shall be deposited in the Fund.

 $\ddot{\cdot}$ (1) INTEGRATED PEST MANAGEMENT TRUST FUND —

"(1) ESTABLISHMENT.—There is established in the Treasury of the United States a trust fund to be known as the 'Integrated Pest Management Trust Fund', consisting of—

"(A) amounts deposited in the Fund under subsection (j)(2);

"(B) amounts transferred to the Secretary of the Treasury for deposit into the Fund under paragraph (5); and

"(C) any interest earned on investment of amounts in the Fund under paragraph (3).

"(2) EXPENDITURES FROM FUND.—

"(A) IN GENERAL.—Subject to subparagraph (B), on request by the Administrator, the Secretary of the Treasury shall transfer from the Fund to the Administrator, without further appropriation, such amounts as the Secretary determines are necessary to provide funds to each State educational agency of a State, in proportion to the amount of civil penalties collected in the State under subsection (j)(1), to carry out education, training, propagation, and development activities under integrated pest management systems of schools in the State to remedy the harmful effects of actions taken by the persons that paid the civil penalties.

"(B) ADMINISTRATIVE EXPENSES.—An amount not to exceed 6 percent of the amounts in the Fund shall be available for each fiscal year to pay the administrative expenses necessary to carry out this subsection

"(3) INVESTMENT OF AMOUNTS.—

"(A) IN GENERAL.—The Secretary of the Treasury shall invest such portion of the Fund as is not, in the judgment of the Secretary of the Treasury, required to meet current withdrawals. Investments may be made only in interest-bearing obligations of the United States.

"(B) ACQUISITION OF OBLIGATIONS.—For the purpose of investments under subparagraph (A), obligations may be acquired—

"(i) on original issue at the issue price; or "(ii) by purchase of outstanding obligations at the market price.

"(C) SALE OF OBLIGATIONS.—Any obligation acquired by the Fund may be sold by the Secretary of the Treasury at the market price.

"(D) CREDITS TO FUND.—The interest on, and the proceeds from the sale or redemption

of, any obligations held in the Fund shall be credited to and form a part of the Fund.

"(4) Transfers of amounts.

"(A) IN GENERAL.—The amounts required to be transferred to the Fund under this subsection shall be transferred at least monthly from the general fund of the Treasury to the Fund on the basis of estimates made by the Secretary of the Treasury.

"(B) ADJUSTMENTS.—Proper adjustment shall be made in amounts subsequently transferred to the extent prior estimates were in excess of or less than the amounts required to be transferred.

(1) ACCEPTANCE AND USE OF DONATIONS.— The Secretary may accept and use donations to carry out paragraph (2)(A). Amounts received by the Secretary in the form of donations shall be transferred to the Secretary of the Treasury for deposit into the Fund.

"(m) EMPLOYEE PROTECTION.—

"(1) IN GENERAL.—No local educational agency, school, or person may harass, prosecute, hold liable, or discriminate against any employee or other person because the employee or other person—

"(A) is assisting or demonstrating an intent to assist in achieving compliance with this section (including any regulation);

"(B) is refusing to violate or assist in the violation of this section (including any regulation); or

"(C) has commenced, caused to be commenced, or is about to commence a proceeding, has testified or is about to testify at a proceeding, or has assisted or participated or is about to participate in any manner in such a proceeding or in any other action to carry out this section.

"(2) COMPLAINTS.—Not later than 1 year after an alleged violation occurred, an employee or other person alleging a violation of this section, or another person at the request of the employee, may file a complaint with the Administrator.

"(3) REMEDIAL ACTION.—If the Administrator decides, on the basis of a complaint, that a local educational agency, school, or person violated paragraph (1), the Administrator shall order the local educational agency, school, or person to—

"(A) take affirmative action to abate the violation;

"(B reinstate the complainant to the former position with the same pay and terms and privileges of employment; and

"(C) pay compensatory damages, including back pay.

"(n) GRANTS.—

"(1) In general.—The Administrator, in consultation with the Secretary of Education, shall provide grants to local educational agencies to develop and implement integrated pest management systems in schools in the school district of the local educational agencies.

"(2) AMOUNT.—The amount of a grant provided to a local educational agency of a school district under paragraph (1) shall be based on the ratio that the number of students enrolled in schools in the school district bears to the total number of students enrolled in schools in all school districts in the United States.

"(0) RELATIONSHIP TO STATE AND LOCAL RE-QUIREMENTS.—This section (including regulations promulgated under this section) shall not preempt requirements imposed on local educational agencies and schools related to the use of integrated pest management by State or local law (including regulations) that are more stringent than the requirements imposed under this section.

"(p) REGULATIONS.—Subject to subsection (m), the Administrator shall promulgate

such regulations as are necessary to carry out this section.

"(q) RESTRICTION ON PESTICIDE USE.—Not later than 6 years after the date of enactment of this section, no pesticide, other than a pesticide that is defined as a least toxic pesticide under this subsection, shall be used in a school or on school grounds unless the Administrator has met the deadlines and requirements of this section.

"(r) AUTHORIZATION OF APPROPRIATIONS.-There are authorized to be appropriated to carry out this section \$7,000,000 for each of fiscal years 2002 through 2006.".

(c) CONFORMING AMENDMENT.—The table of contents in section 1(b) of the Federal Insecticide, Fungicide, and Rodenticide Act (7 U.S.C. prec. 121) is amended by striking the items relating to sections 30 through 32 and inserting the following:

"Sec. 30. Minimum requirements for training of maintenance applicators and service technicians.

"Sec. 31. Environmental Protection Agency minor use program.

"Sec. 32. Department of Agriculture minor use program.

- "(a) In general.
- "(b)(1) Minor use pesticide data.
- "(2) Minor Use Pesticide Data Revolving Fund.
 - "Sec. 33. Integrated pest management systems for schools.
 - "(a) Definitions.
- "(1) Board.
- "(2) Contact person.
- "(3) Crack and crevice treatment.
- "(4) Emergency.
- "(5) Fund.
- "(6) Integrated pest management system.
- "(7) Least toxic pesticides.
- "(8) List.
- "(9) Local educational agency.
- "(10) Official.
- "(11) Person.
- "(12) Pesticide.
- "(13) School.
- "(14) School grounds. "(15) Space spraying.
- "(16) Staff member.
- "(17) State educational agency.
- "(18) Universal notification.
- "(b) Integrated pest management systems.
- "(1) In general.
- "(2) Implementation.
- "(3) State programs.
- "(4) Application to schools and school grounds.
- "(5) Application of pesticides when schools in use.
- "(c) National School Integrated Pest Management Advisory Board.
- "(1) In general.
- "(2) Composition of Board.
- ``(3) Appointment.
- "(4) Term.
- "(5) Meetings.
- "(6) Compensation. "(7) Chairperson.
- "(8) Quorum.
- "(9) Decisive votes.
- ``(10) Administration.
- "(11) Responsibilities of the Board.
- "(12) Requirements.
- "(13) Petitions.
- "(14) Periodic review.
- "(15) Confidentiality.
- "(d) List of least toxic pesticides.
- "(1) In general.
- "(2) Procedure for evaluating pesticide use.
- "(e) Office of Pesticide Programs.
- "(1) Establishment.
- "(2) Duties.
- "(f) Contact person.

- "(1) In general.
- "(2) Duties.
- "(3) Pesticide use data.
- "(g) Notice of integrated pest management system.
 - "(1) In general.
 - "(2) Contents.
 - "(3) Use of pesticides.
 - "(4) New employees and students.
 - "(h) Use of pesticides.
- "(1) In general.
- "(2) Prior notification of parents, guardians, and staff members.
- "(3) Posting of signs.
- "(4) Administration.
- "(5) Emergencies.
- "(6) Drift of pesticides onto school grounds.
- "(i) Meetings.
- "(1) In general.
- "(2) Emergency meetings.
- "(j) Investigations and orders.
- "(1) In general.
- "(2) Preliminary order.
- "(3) Objections to preliminary order.
- "(4) Hearing.
- "(5) Final order.
- "(6) Settlement agreement.
- "(7) Costs.
- "(8) Judicial review and venue.
- "(k) Civil penalty.
- "(1) In general.
- "(2) Transfer to Trust Fund.
- ''(l) Integrated Pest Management Trust Fund.
- "(1) Establishment.
- "(2) Expenditures from Fund.
- "(3) Investment of amounts.
- "(4) Transfers of amounts.
- "(5) Acceptance and use of donations.
- "(m) Employee protection.
- "(1) In general.
- "(2) Complaints.
- "(3) Remedial action.
- "(n) Grants.
- "(1) In general. "(2) Amount.
- "(o) Relationship to State and local requirements.
- "(p) Regulations.
- "(q) Restriction on pesticide use.
- "(r) Authorization of appropriations.
- "Sec. 34. Severability.
- "Sec. 35. Authorization of appropriations.".
- (d) EFFECTIVE DATE.—This section and the amendments made by this section take effect on October 1, 2001.

SA 440. Mr. CAMPBELL (for himself, Mr. Grassley, Mr. Akaka, and Mr. INOUYE) submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

At the appropriate place, insert the following:

SEC. . SENIOR OPPORTUNITIES.

- TWENTY-FIRST CENTURY COMMUNITY LEARNING CENTERS.—Section 1609(a)(2) (as amended in section 151) is further amended—
- (1) in subparagraph (G), by striking "and" after the semicolon:
- (2) in subparagraph (H), by striking the period and inserting "; and"; and
 - (3) by adding at the end the following:
- "(I) if the organization plans to use seniors as volunteers in activities carried out through the center, a description of how the organization will encourage and use appropriately qualified seniors to serve as the vol-

- (b) SAFE AND DRUG-FREE SCHOOLS AND COM-MUNITIES: GOVERNOR'S PROGRAMS.—Section 4114(d) (as amended in section 401) is further amended-
- (1) in paragraph (14), by striking "and" after the semicolon;
- (2) in paragraph (15), by striking the period and inserting "; and"; and
 - (3) by adding at the end the following:
- "(16) drug and violence prevention activities that use the services of appropriately qualified seniors for activities that include mentoring, tutoring, and volunteering.'
- (c) SAFE AND DRUG-FREE SCHOOLS AND COM-MUNITIES; LOCAL DRUG AND VIOLENCE PRE-PROGRAMS.—Section 4116(b) VENTION amended in section 401) is further amended—
 - (1) in paragraph (2)—
- (A) in the matter preceding subparagraph (A), by inserting "(including mentoring by appropriately qualified seniors)" after "mentoring": and
 - (B) in subparagraph (C)—
- (i) in clause (i), by striking "and" after the semicolon:
- (ii) in clause (ii), by inserting "and" after the semicolon; and
 - (iii) by adding at the end the following:
- "(iii) drug and violence prevention activities that use the services of appropriately qualified seniors for such activities as men-
- toring, tutoring, and volunteering;"; (2) in paragraph (4)(C), by inserting "(including mentoring by appropriately qualified seniors)" after "mentoring programs"; and
- (3) in paragraph (8), by inserting ", which may involve appropriately qualified seniors working with students" after "settings".
- (d) SAFE AND DRUG-FREE SCHOOLS AND COM-FEDERAL ACTIVITIES.—Section MUNITIES; 4121(a) (as amended in section 401) is further amended-
- (1) in paragraph (10), by inserting ", including projects and activities that promote the interaction of youth and appropriately qualified seniors" after "responsibility"; and
- (2) in paragraph (13), by inserting ", including activities that integrate appropriately qualified seniors in activities, such as mentoring, tutoring, and volunteering" 'title'
- (e) Indian, Native Hawaiian, and Alaska NATIVE EDUCATION; FORMULA GRANTS.—Section 7115(b) (as amended in section 701) is further amended-
- (1) in paragraph (10), by striking "and"
- after the semicolon; (2) in paragraph (11), by striking the period
- and inserting "; and"; and
- (3) by adding at the end the following: "(12) activities that recognize and support the unique cultural and educational needs of Indian children, and incorporate appropriately qualified tribal elders and seniors.".
- (f) INDIAN, NATIVE HAWAIIAN, AND ALASKA NATIVE EDUCATION; SPECIAL PROGRAMS AND PROJECTS.—Section 7121(c)(1) (as amended in section 701) is further amended—
- (1) in subparagraph (K), by striking "or" after the semicolon:
- (2) in subparagraph (L), by striking "(L)" and inserting "(M)"; and
- (3) by inserting after subparagraph (K) the following:
- "(L) activities that recognize and support the unique cultural and educational needs of Indian children, and incorporate appropriately qualified tribal elders and seniors;
- (g) Indian, Native Hawaiian, and Alaska NATIVE EDUCATION; PROFESSIONAL DEVELOP-MENT.—The second sentence of section

7122(d)(1) (as amended in section 701) is further amended by striking the period and inserting ", and may include programs designed to train tribal elders and seniors."

(h) Indian, Native Hawaiian, and Alaska Native Education; Native Hawaiian Pro-GRAMS.—Section 7205(a)(3)(H) (as amended in section 701) is further amended—

(1) in clause (ii), by striking "and" after the semicolon;

(2) in clause (iii), by inserting "and" at the end: and

(3) by adding at the end the following:

"(iv) programs that recognize and support the unique cultural and educational needs of Native Hawaiian children, and incorporate appropriately qualified Native Hawaiian elders and seniors."

ders and seniors;".

(i) INDIAN, NATIVE HAWAHAN, AND ALASKA NATIVE EDUCATION; ALASKA NATIVE PROGRAMS.—Section 7304(a)(2)(F) (as amended in section 701) is further amended—

(1) in clause (i), by striking "and" after the semicolon:

(2) in clause (ii), by inserting "and" after the semicolon; and

(3) by adding at the end the following:

"(iii) may include activities that recognize and support the unique cultural and educational needs of Alaskan Native children, and incorporate appropriately qualified Alaskan Native elders and seniors;".

SA 441. Mr. LUGAR (for himself and Mr. BINGAMAN) submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 34, line 8, strike "\$250,000,000" and insert "\$500,000,000".

On page 86, line 22, insert before the semicolon the following: "and may include a strategy for the implementation of a comprehensive school reform model that meets each of the components described in section 1706(a)".

On page 96, line 15, after "curriculum" insert ", or a comprehensive school reform model that meets each of the components described in section 1706(a)".

On page 99, between lines 22 and 23, insert the following:

"(vi) Implementing a comprehensive school reform model that meets each of the components described in section 1706(a) and that shall, at a minimum, have been found, through rigorous field experiments in multiple sites, to significantly improve the academic performance of students participating in such activity or program as compared to similar students in similar schools, who have not participated in such activity or program.

On page 258, line 22, strike "and".

On page 258, line 25, strike the period and insert "; and".

On page 258, after line 25, add the following:

"(iii) 3 percent to promote quality initiatives described in section 1708.".

On page 260, strike lines 5 through 9, and insert the following:

"(2) how the State educational agency will ensure that funds under this part are limited to comprehensive school reform programs that—

"(A) include each of the components described in section 1706(a);

"(B) have the capacity to improve the academic achievement of all students in core academic subjects within participating schools; and

"(C) are supported by technical assistance providers that have a successful track record, financial stability and the capacity to deliver high quality materials, professional development for school personnel and on-site support during the full implementation period of the reforms."

On page 260, line 15, insert "annually" before "evaluate".

On page 261, line 7, insert before the period the following: "to support comprehensive school reforms in schools that are eligible for funds under part A".

On page 261, line 11, strike "for the particular" and insert "of".

On page 261, line 12, strike "reform plan" and insert "reforms".

On page 261, line 22, strike "shall" and all through "that" on line 23.

On page 261, line 24, insert after "(1)" the following: "may give priority to local educational agencies or consortia that".

On page 262, line 1, insert after "(2)" the following: "shall give priority to local educational agencies or consortia that".

On page 263, line 1, strike "and".

On page 263, line 2, strike "reform model selected and used" and insert "reforms selected and used, and a copy of the State's annual evaluation of the implementation of comprehensive school reforms supported under this part and the student results achieved".

On page 263, strike lines 15 through 17, and insert the following:

"(2) describe the comprehensive school reforms based on scientifically-based research and effective practices that such schools will implement;".

On page 264, line 1, insert "comprehensive" after "such".

On page 264, line 10, strike "innovative" and insert "proven".

On page 264, line 14, strike "schools with diverse characteristics" and insert "schools".

On page 265, line 17, insert "annually" after "(8)".

On page 265, line 18, strike "and".

On page 265, line 22, strike "school reform effort." and insert "comprehensive school reform effort; and".

On page 265, between lines 22 and 23, insert the following:

"(10) has been found, through rigorous field experiments in multiple sites, to significantly improve the academic performance of students participating in such activity or program as compared to similar students in similar schools, who have not participated in such activity or program, or which has been found to have strong evidence that such model will significantly improve the performance of participating children.".

On page 265, line 25 strike "the approaches identified" and all that follows through "Secretary" on line 1 of page 266, and insert "nationally available".

On page 266, line 2, strike "programs" and insert "program".

On page 266, after line 23, add the following:

"SEC. 1708. QUALITY INITIATIVES.

"The Secretary, through grants or contracts, shall promote— $\,$

"(1) a public-private effort, in which funds are matched by the private sector, to assist States, local educational agencies, and schools, in making informed decisions upon approving or selecting providers of comprehensive school reform, consistent with the requirements described in section 1706(a); and

"(2) activities to foster the development of comprehensive school reform models and to

provide effective capacity building for comprehensive school reform providers to expand their work in more schools, assure quality, and promote financial stability.

SA 442. Mr. CRAPO submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 787, between lines 14 and 15, insert the following:

(c) SPECIAL RULE RELATING TO THE COM-PUTATION OF PAYMENTS FOR ELIGIBLE FEDER-ALLY CONNECTED CHILDREN.—Section 8003(a) (20 U.S.C. 7703(a)) is amended—

(1) by striking paragraph (3); and

(2) by redesignating paragraph (4) as paragraph (3).

SA 443. Mr. VOINOVICH (for himself, Mrs. Feinstein, Mr. Cochran, Mr. Baucus, Ms. Landrieu, and Mrs. Murray) submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 893, after line 14, add the following:

SEC. ___. LOAN FORGIVENESS FOR HEAD START TEACHERS.

- (a) SHORT TITLE.—This section may be cited as the "Loan Forgiveness for Head Start Teachers Act of 2001".
- (b) HEAD START TEACHERS.—Section 428J of the Higher Education Act of 1965 (20 U.S.C 1078–10) is amended—
- (1) in subsection (b), by amending paragraph (1) to read as follows:

"(1)(A) has been employed—

"(i) as a full-time teacher for 5 consecutive complete school years in a school that qualifies under section 465(a)(2)(A) for loan cancellation for Perkins loan recipients who teach in such a school; or

"(ii) as a Head Start teacher for 5 consecutive complete program years under the Head Start Act: and

"(B)(i) if employed as a secondary school teacher, is teaching a subject area that is relevant to the borrower's academic major as certified by the chief administrative officer of the public or nonprofit private secondary school in which the borrower is employed;

"(ii) if employed as an elementary school teacher, has demonstrated, as certified by the chief administrative officer of the public or nonprofit private elementary school in which the borrower is employed, knowledge and teaching skills in reading, writing, mathematics, and other areas of the elementary school curriculum; and

"(iii) if employed as a Head Start teacher, has demonstrated knowledge and teaching skills in reading, writing, early childhood development, and other areas of a preschool curriculum, with a focus on cognitive learning; and";

(2) in subsection (g), by adding at the end the following:

''(3) HEAD START.—An individual shall be eligible for loan forgiveness under this section for service described in clause (ii) of subsection (b)(1)(A) only if such individual received a baccalaureate or graduate degree on or after the date of enactment of the Loan Forgiveness for Head Start Teachers Act of 2001.''; and

- (3) by adding at the end the following:
- "(i) AUTHORIZATION OF APPROPRIATIONS.— There are authorized to be appropriated such sums as may be necessary for fiscal year 2007 and succeeding fiscal years to carry out loan repayment under this section for service described in clause (ii) of subsection (b)(1)(A)."
- (c) Conforming Amendments.—Section 428J of such Act (20 U.S.C. 1078–10) is amended—
- (1) in subsection (c)(1), by inserting "or fifth complete program year" after "fifth complete school year of teaching":
- (2) in subsection (f), by striking "subsection (b)" and inserting "subsection (b)(1)(A)(i)":
- (3) in subsection (g)(1)(A), by striking "subsection (b)(1)(A)" and inserting "subsection (b)(1)(A)(i)" and
- (4) in subsection (h), by inserting "except as part of the term 'program year'," before "where".
- (d) DIRECT STUDENT LOAN FORGIVENESS.—
- (1) IN GENERAL.—Section 460 of the Higher Education Act of 1965 (20 U.S.C 1087j) is amended—
- (A) in subsection (b)(1), by amending subparagraph (A) to read as follows:
 - "(A)(i) has been employed—
- "(I) as a full-time teacher for 5 consecutive complete school years in a school that qualifies under section 465(a)(2)(A) for loan cancellation for Perkins loan recipients who teach in such a school; or
- "(II) as a Head Start teacher for 5 consecutive complete program years under the Head Start Act; and
- "(ii)(I) if employed as a secondary school teacher, is teaching a subject area that is relevant to the borrower's academic major as certified by the chief administrative officer of the public or nonprofit private secondary school in which the borrower is employed;
- "(II) if employed as an elementary school teacher, has demonstrated, as certified by the chief administrative officer of the public or nonprofit private elementary school in which the borrower is employed, knowledge and teaching skills in reading, writing, mathematics, and other areas of the elementary school curriculum; and
- "(III) if employed as a Head Start teacher, has demonstrated knowledge and teaching skills in reading, writing, early childhood development, and other areas of a preschool curriculum, with a focus on cognitive learning and".
- (B) in subsection (g), by adding at the end the following:
- "(3) Head start.—An individual shall be eligible for loan forgiveness under this section for service described in subclause (II) of subsection (b)(1)(A)(i) only if such individual received a baccalaureate or graduate degree on or after the date of enactment of the Loan Forgiveness for Head Start Teachers Act of 2001."; and
 - (C) by adding at the end the following:
- "(i) AUTHORIZATION OF APPROPRIATIONS.— There are authorized to be appropriated such sums as may be necessary for fiscal year 2007 and succeeding fiscal years to carry out loan repayment under this section for service described in subclause (II) of subsection (b)(1)(A)(i)."
- (2) Conforming amendments.—Section 460 of such Act (20 U.S.C. 1087j) is amended—
- (A) in subsection (c)(1), by inserting "or fifth complete program year" after "fifth complete school year of teaching";
- $\begin{array}{cccc} (B) & in & subsection & (f), & by & striking \\ section & (b)" & and & inserting \\ & (b)(1)(A)(i)(I)"; & \end{array}$

- (C) in subsection (g)(1)(A), by striking "subsection (b)(1)(A)" and inserting "subsection (b)(1)(A)(i)(I)"; and
- (D) in subsection (h), by inserting "except as part of the term 'program year'," before "where".
- SA 444. Mr. DEWINE submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:
- On page 13, line 12, insert "therapists," before "and other".
- On page 568, line 19, insert "therapists," before "nurses".
- SA 445. Mr. DEWINE submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:
- On page 514, line 21, insert ", such as mentoring programs" before the semicolon.
- On page 516, line 15, insert "mentoring providers." after "providers.".
- On page 517, line 5, insert "and mentoring programs" before the semicolon.
- On page 537, line 10, insert ", mentoring" after "services".
- On page 550, line 15, insert "mentoring," after "mediation.".
- SA 446. Mr. DEWINE submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:
- On page 504, between lines 5 and 6, insert the following:
- "(3) The chronic level of violence among the Nation's youth of all ages, including elementary and secondary school students, constitutes a serious threat to such students' educational achievement, mental and physical well-being, and quality of life. For example, studies confirm that students have great difficulty learning in schools that are not safe and that the percentage of students in grades 9 through 12 who were threatened or injured with a weapon on school property has remained constant in recent years.
- On page 514, line 10, insert ", suspended and expelled students," after "dropouts".
- On page 524, line 7, insert before the semicolon the following: "including administrative incident reports, anonymous surveys of students or teachers, and focus groups".
- On page 535, line 21, strike "violence problem" and insert "and violence problems".
- On page 537, line 15, by inserting "and violence" after "use,".
- On page 538, line 22, strike "and peer mediation" and insert ", peer mediation, and anger management".
- On page 539, between lines 17 and 18, insert the following:
- "(6) administrative approaches to promote school safety, including professional development for principals and administrators to promote effectiveness and innovation, implementing a school disciplinary code, and effective communication of the school disciplinary code to both students and parents at the beginning of the school year;".

- On page 545, line 9, insert ", that is subject to independent review," after "data".
- On page 545, lines 10 and 11, strike "social disapproval of".
- On page 545, line 12, after the period add the following: "The collected data shall include incident reports by schools officials, anonymous student surveys, and anonymous teacher surveys."
- On page 549, between lines 18 and 19, insert the following:
- "(4) the provision of information on violence prevention and education and school safety to the Department of Justice, for dissemination by the National Resource Center for Safe Schools as a national clearinghouse on violence and school safety information;".
- On page 550, line 14, insert "administrative approaches, security services, anger management." after "include".
- On page 553, line 2, insert "to" after "research".
- On page 553, after line 24, add the following:
- "(J) Researchers and expert practitioners. On page 557, line 6, strike "or dispute resolution" and insert ", dispute resolution, or anger management".
- SA 447. Mr. ROCKEFELLER submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:
- Beginning on page 366, strike line 25 and all that follows through page 368, line 7, and insert the following:
 - "(a) Grants to States.—
- "(1) IN GENERAL.—From amounts made available under section 2303, the Secretary, through the Office of Educational Technology, shall award grants to State educational agencies having applications approved under section 2305.
 - "(2) USE OF GRANTS.—
- "(A) ALLOCATION TO LOCAL EDUCATIONAL AGENCIES.—Each State educational agency receiving a grant under paragraph (1) shall allocate such funds not reserved under section 2310(b) to make subgrants to local educational agencies to enable such local educational agencies to carry out the activities described in section 2306.
- "(B) DETERMINATION OF ALLOCATIONS.— From the amount made available under subparagraph (A), the State shall allocate to each of the eligible local educational agencies the sum of—
- "(i) an amount that bears the same relationship to 25 percent of the total amount as the number of individuals age 5 through 17 in the geographic area served by the agency, as determined by the Secretary on the basis of the most recent satisfactory data, bears to the number of those individuals in the geographic areas served by all the local educational agencies in the State, as so determined; and
- "(ii) an amount that bears the same relationship to 75 percent of the total amount as the number of individuals age 5 through 17 from families with incomes below the poverty line, in the geographic area served by the agency, as determined by the Secretary on the basis of the most recent satisfactory data, bears to the number of those individuals in the geographic areas served by all the local educational agencies in the State, as so determined.
- Each State educational agency receiving a grant under paragraph (1) shall allocate such

funds not reserved under section 2310(b) to make subgrants to local educational agencies to enable such local educational agencies to carry out the activities described in section 2306.

On page 369, line 6, insert "and" after the semicolon.

On page 369, line 13, strike "; and" and insert a period.

On page 369, strike lines 14 through 22.

On page 371, strike lines 5 through 7 and insert the following:

"(a) APPLICATION.—To be eligible to receive a grant under this part from a State educational agency, a local educational agency shall submit an application, consistent

On page 375, strike line 11 and insert the following:

"(c) SANCTION.—If after 3 years, and after receiving technical assistance under subsection (d), the local edu-".

SA 448. Mrs. CARNAHAN submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 319, line 4, insert ", including teaching specialists in core academic subjects" after "principals".

On page 326, line 1, insert ", including strategies to implement a year-round school schedule that will allow the local educational agency to increase pay for veteran teachers after "performance".

On page 327, line 2, insert "as well as teaching specialists in core academic subjects who will provide increased individualized instruction to students served by the local educational agency participating in the eligible partnership" after "qualified".

On page 517, line 18, strike "and".

On page 517, line 20, strike the period and insert "; and".

On page 517, between lines 20 and 21, insert the following:

"(I) alternative programs for the education and discipline of chronically violent and disruptive students as it relates to drug and violence prevention.

On page 528, line 11, strike "and".

On page 528, line 14, strike the period and insert ": and".

On page 528, between lines 14 and 15, insert the following:

"(16) alternative programs for the education and discipline of chronically violent and disruptive students as it relates to drug and violence prevention.

On page 539, line 10, strike "and"

On page 539, between lines 10 and 11, insert the following:

"(E) alternative programs for the education and discipline of chronically violent and disruptive students as it relates to drug and violence prevention; and".

SA 449. Mr. CLELAND submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 319, between lines 19 and 20, insert the following:

"(12) Supporting the activities of education councils and professional development schools, involving partnerships described in paragraphs (1) and (3) of subsection (c), respectively, for the purpose of—

"(A) preparing out-of-field teachers to be qualified to teach all of the classes that the teachers are assigned to teach;

"(B) preparing paraprofessionals to become fully qualified teachers in areas served by high need local educational agencies:

"(C) supporting teams of master teachers, including teachers certified by the National Board for Professional Teaching Standards, and student teacher interns as a part of an extended teacher education program; and

"(D) supporting teams of master teachers, including teachers certified by the National Board for Professional Teaching Standards, to serve in low-performing schools.

On page 329, line 7, strike "; and" and insert a semicolon.

On page 329, line 13, strike the period and insert "; and".

On page 329, between lines 13 and 14, insert the following:

"(C) may include activities carried out jointly with education councils and professional development schools, involving partnerships described in paragraphs (1) and (3) of subsection (c), respectively, for the purpose of improving teaching and learning at low-performing schools.

On page 329, between lines 18 and 19, insert the following:

"(c) DEFINITIONS.—In this section:

"(1) EDUCATION COUNCIL.—The term 'education council' means a partnership that—

"(A) is established between—

"(i) 1 or more local educational agencies, acting on behalf of elementary schools or secondary schools served by the agencies; and

"(ii) 1 or more institutions of higher education, including community colleges, that meet the requirements applicable to the institutions under title II of the Higher Education Act of 1965 (20 U.S.C. 1021 et seq.); and

"(B) provides professional development to teachers to ensure that the teachers are prepared and meet high standards for teaching, particularly by educating and preparing prospective teachers in a classroom setting and enhancing the knowledge of in-service teachers while improving the education of the classroom students.

"(2) LOW-PERFORMING SCHOOL.—The term 'low-performing school' means an elementary school or secondary school that is determined to be low-performing by a State, on the basis of factors such as low student achievement, low student performance, unclear academic standards, high rates of student absenteeism, high dropout rates, and high rates of staff turnover or absenteeism.

"(3) PROFESSIONAL DEVELOPMENT SCHOOL.— The term 'professional development school' means a partnership that—

"(A) is established between—

"(i) 1 or more local educational agencies, acting on behalf of elementary schools or secondary schools served by the agencies; and

"(ii) 1 or more institutions of higher education, including community colleges, that meet the requirements applicable to the institutions under title II of the Higher Education Act of 1965; and

"(B)(i) provides sustained and high quality preservice clinical experience, including the mentoring of prospective teachers by veteran teachers;

"(ii) substantially increases interaction between faculty at institutions of higher education described in subparagraph (A) and new and experienced teachers, principals, and other administrators at elementary schools or secondary schools; and "(iii) provides support, including preparation time, for such interaction.".

SA 450. Mr. WYDEN (for himself, Mr. Sessions, Mr. Breaux, and Ms. Landrieu) submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 778, strike line 21 and insert the following: years.

"PART C—STUDENT EDUCATION ENRICHMENT

"SEC. 6301. SHORT TITLE.

"This part may be cited as the 'Student Education Enrichment Demonstration Act'.
"SEC. 6302. PURPOSE.

"The purpose of this part is to establish a demonstration program that provides Federal support to States and local educational agencies to provide high quality summer academic enrichment programs, for public school students who are struggling academically, that are implemented as part of statewide education accountability programs.

"SEC. 6303. DEFINITION.

"In this part, the term 'student' means an elementary school or secondary school student.

"SEC. 6304. GRANTS TO STATES.

"(a) IN GENERAL.—The Secretary shall establish a demonstration program through which the Secretary shall make grants to State educational agencies, on a competitive basis, to enable the agencies to assist local educational agencies in carrying out high quality summer academic enrichment programs as part of statewide education accountability programs.

"(b) ELIGIBILITY.—For a State educational agency to be eligible to receive a grant under subsection (a), the State served by the State educational agency shall—

"(1) have in effect all standards and assessments required under section 1111; and

"(2) compile and annually distribute to parents a public school report card that, at a minimum, includes information on student and school performance for each of the assessments required under section 1111.

"(c) APPLICATION.—

"(1) IN GENERAL.—To be eligible to receive a grant under this section, a State educational agency shall submit an application to the Secretary at such time, in such manner, and containing such information as the Secretary may require.

"(2) CONTENTS.—Such application shall include—

"(A) information describing specific measurable goals and objectives to be achieved in the State through the summer academic enrichment programs carried out under this part, which may include specific measurable annual educational goals and objectives relating to—

"(i) increased student academic achievement;

"(ii) decreased student dropout rates; or

"(iii) such other factors as the State educational agency may choose to measure; and

"(B) information on criteria, established or adopted by the State, that—

"(i) the State will use to select local educational agencies for participation in the summer academic enrichment programs carried out under this part; and

"(ii) at a minimum, will assure that grants provided under this part are provided to—

- "(I) the local educational agencies in the State that have the highest percentage of students not achieving a proficient level of performance on State assessments required under section 1111:
- "(II) local educational agencies that submit grant applications under section 6305 describing programs that the State determines would be both highly successful and replicable; and
- "(III) an assortment of local educational agencies serving urban, suburban, and rural areas.

"SEC. 6305. GRANTS TO LOCAL EDUCATIONAL AGENCIES.

- "(a) IN GENERAL.—
- "(1) FIRST YEAR.—
- "(A) IN GENERAL.—For the first year that a State educational agency receives a grant under this part, the State educational agency shall use the funds made available through the grant to make grants to eligible local educational agencies in the State to pay for the Federal share of the cost of carrying out the summer academic enrichment programs, except as provided in subparagraph (B).
- "(B) TECHNICAL ASSISTANCE AND PLANNING ASSISTANCE.—The State educational agency may use not more than 5 percent of the funds—
- "(i) to provide to the local educational agencies technical assistance that is aligned with the curriculum of the agencies for the programs:
- "(ii) to enable the agencies to obtain such technical assistance from entities other than the State educational agency that have demonstrated success in using the curriculum; and
- "(iii) to assist the agencies in planning activities to be carried out under this part.
- "(2) Succeeding years.—
- "(A) IN GENERAL.—For the second and third year that a State educational agency receives a grant under this part, the State educational agency shall use the funds made available through the grant to make grants to eligible local educational agencies in the State to pay for the Federal share of the cost of carrying out the summer academic enrichment programs, except as provided in subparagraph (B).
- "(B) TECHNICAL ASSISTANCE AND PLANNING ASSISTANCE.—The State educational agency may use not more than 5 percent of the funds—
- "(i) to provide to the local educational agencies technical assistance that is aligned with the curriculum of the agencies for the programs;
- "(ii) to enable the agencies to obtain such technical assistance from entities other than the State educational agency that have demonstrated success in using the curriculum; and
- "(iii) to assist the agencies in evaluating activities carried out under this part.
- "(b) APPLICATION.-
- "(1) IN GENERAL.—To be eligible to receive a grant under this section, a local educational agency shall submit an application to the State educational agency at such time, in such manner, and containing by such information as the Secretary or the State may require.
- "(2) CONTENTS.—The State shall require that such an application shall include, to the greatest extent practicable—
 - "(A) information that—
- "(i) demonstrates that the local educational agency will carry out a summer academic enrichment program funded under this section—

- "(I) that provides intensive high quality programs that are aligned with challenging State content and student performance standards and that are focused on reinforcing and boosting the core academic skills and knowledge of students who are struggling academically, as determined by the State;
- "(II) that focuses on accelerated learning, rather than remediation, so that students served through the program will master the high level skills and knowledge needed to meet the highest State standards or to perform at high levels on all State assessments required under section 1111;
- "(III) that is based on, and incorporates best practices developed from, researchbased enrichment methods and practices;
- "(IV) that has a proposed curriculum that is directly aligned with State content and student performance standards;
- "(V) for which only teachers who are certified and licensed, and are otherwise fully qualified teachers, provide academic instruction to students enrolled in the program;
- "(VI) that offers to staff in the program professional development and technical assistance that are aligned with the approved curriculum for the program; and
- "(VII) that incorporates a parental involvement component that seeks to involve parents in the program's topics and students' daily activities; and
 - "(ii) may include-
- "(I) the proposed curriculum for the summer academic enrichment program;
- "(II) the local educational agency's plan for recruiting highly qualified and highly effective teachers to participate in the program; and
- "(III) a schedule for the program that indicates that the program is of sufficient duration and intensity to achieve the State's goals and objectives described in section 6304(c)(2)(A);
- "(B) an outline indicating how the local educational agency will utilize other applicable Federal, State, local, or other funds, other than funds made available through the grant, to support the program;
- "(C) an explanation of how the local educational agency will ensure that only highly qualified personnel who volunteer to work with the type of student targeted for the program will work with the program and that the instruction provided through the program will be provided by qualified teachers;
- "(D) an explanation of the types of intensive training or professional development, aligned with the curriculum of the program, that will be provided for staff of the program:
- ``(E) an explanation of the facilities to be used for the program;
- "(F) an explanation regarding the duration of the periods of time that students and teachers in the program will have contact for instructional purposes (such as the hours per day and days per week of that contact, and the total length of the program):
- "(G) an explanation of the proposed student/teacher ratio for the program, analyzed by grade level;
- "(H) an explanation of the grade levels that will be served by the program;
- "(I) an explanation of the approximate cost per student for the program;
- "(J) an explanation of the salary costs for teachers in the program;
- ``(K) a description of a method for evaluating the effectiveness of the program at the local level;
- "(L) information describing specific measurable goals and objectives, for each aca-

- demic subject in which the program will provide instruction, that are consistent with, or more rigorous than, the annual measurable objectives for adequate yearly progress established by the State under section 1111:
- "(M) a description of how the local educational agency will involve parents and the community in the program in order to raise academic achievement; and
- "(N) a description of how the local educational agency will acquire any needed technical assistance that is aligned with the curriculum of the agency for the program, from the State educational agency or other entities with demonstrated success in using the curriculum.
- "(c) PRIORITY.—In making grants under this section, the State educational agency shall give priority to applicants who demonstrate a high level of need for the summer academic enrichment programs.
 - "(d) FEDERAL SHARE.—
- "(1) IN GENERAL.—The Federal share of the cost described in subsection (a) is 50 percent.
- "(2) NON-FEDERAL SHARE.—The non-Federal share of the cost may be provided in cash or in kind, fairly evaluated, including plant, equipment, or services.

"SEC. 6306. SUPPLEMENT NOT SUPPLANT.

"Funds appropriated pursuant to the authority of this part shall be used to supplement and not supplant other Federal, State, and local public or private funds expended to provide academic enrichment programs.

"SEC. 6307. REPORTS.

- "(a) STATE REPORTS.—Each State educational agency that receives a grant under this part shall annually prepare and submit to the Secretary a report. The report shall describe—
- "(1) the method the State educational agency used to make grants to eligible local educational agencies and to provide assistance to schools under this part;
- "(2) the specific measurable goals and objectives described in section 6304(c)(2)(A) for the State as a whole and the extent to which the State met each of the goals and objectives in the year preceding the submission of the report:
- "(3) the specific measurable goals and objectives described in section 6305(b)(2)(L) for each of the local educational agencies receiving a grant under this part in the State and the extent to which each of the agencies met each of the goals and objectives in that preceding year;
- "(4) the steps that the State will take to ensure that any such local educational agency who did not meet the goals and objectives in that year will meet the goals and objectives in the year following the submission of the report or the plan that the State has for revoking the grant of such an agency and redistributing the grant funds to existing or new programs;
- "(5) how eligible local educational agencies and schools used funds provided by the State educational agency under this part; and
- "(6) the degree to which progress has been made toward meeting the goals and objectives described in section 6304(c)(2)(A).
- "(b) REPORT TO CONGRESS.—The Secretary shall annually prepare and submit to Congress a report. The report shall describe—
- "(1) the methods the State educational agencies used to make grants to eligible local educational agencies and to provide assistance to schools under this part;
- "(2) how eligible local educational agencies and schools used funds provided under this part; and

"(3) the degree to which progress has been made toward meeting the goals and objectives described in sections 6304(c)(2)(A) and 6305(b)(2)(L).

"(c) GOVERNMENT ACCOUNTING OFFICE RE-PORT TO CONGRESS.—The Comptroller General of the United States shall conduct a study regarding the demonstration program carried out under this part and the impact of the program on student achievement. The Comptroller General shall prepare and submit to Congress a report containing the results of the study.

"SEC. 6308. ADMINISTRATION.

"The Secretary shall develop program guidelines for and oversee the demonstration program carried out under this part.

"SEC. 6309. AUTHORIZATION OF APPROPRIATIONS.

"There are authorized to be appropriated to carry out this part \$25,000,000 for each of fiscal years 2002 through 2004.

"SEC. 6310. TERMINATION.

"The authority provided by this part terminates 3 years after the date of enactment of the Better Education for Students and Teachers Act.".

SA 451. Mrs. LINCOLN submitted an amendment intended to be proposed by her to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

At the appropriate place, add the following:

SEC. 902. SENSE OF THE SENATE; AUTHORIZATION OF APPROPRIATIONS.

- (a) SENSE OF THE SENATE.—It is the sense of the Senate that Congress should appropriate \$750,000,000 for fiscal year 2002 to carry out part A and part D of title III of the Elementary and Secondary Education Act of 1965 and thereby—
- (1) provide that schools, local educational agencies, and States have the resources they need to assist all limited English proficient students in attaining proficiency in the English language, and meeting the same challenging State content and student performance standards that all students are expected to meet in core academic subjects;
- (2) provide for the development and implementation of bilingual education programs and language instruction educational programs that are tied to scientifically based research, and that effectively serve limited English proficient students; and
- (3) provide for the development of programs that strengthen and improve the professional training of educational personnel who work with limited English proficient students.
- (b) AUTHORIZATION OF APPROPRIATIONS.— There are authorized to be appropriated to carry out part A and part D of title III of the Elementary and Secondary Education Act of 1965—
 - (1) \$1,100,000,000 for fiscal year 2003;
 - (2) \$1,400,000,000 for fiscal year 2004;
 - (3) \$1,700,000,000 for fiscal year 2005;
 - (4) \$2,100,000,000 for fiscal year 2006;(5) \$2,400,000,000 for fiscal year 2007; and
 - (6) \$2,400,000,000 for fixed year 2007; and
 - (6) \$2,800,000,000 for fiscal year 2008.

SA 452. Mr. HATCH submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 887, between lines 2 and 3, insert the following

SEC. 900. ARTS IN EDUCATION; FINDINGS AND PURPOSE.

- "(a) FINDINGS.—Congress finds that—
- "(1) the arts are forms of understanding and knowledge that are fundamentally important to education;
- "(2) appreciation of the arts is important to excellence in education and to effective school reform:
- "(3) the most significant contribution of the arts to education reform is the transformation of teaching and learning;
- "(4) such transformation is best realized in the context of comprehensive, systemic education reform:
- "(5) participation in performing arts activities has proven to be an effective strategy for promoting the inclusion of persons with disabilities in mainstream settings;
- "(6) opportunities in the arts have enabled persons of all ages with disabilities to participate more fully in school and community activities:
- "(7) the arts can motivate at-risk students to stay in school and become active participants in the educational process; and
- "(8) arts education should be an integral part of the elementary school and secondary school curriculum.
- "(b) Purposes.—The purposes of this section are to—
- "(1) support systemic education reform by strengthening arts education as an integral part of the elementary school and secondary school curriculum;
- "(2) help ensure that all students have the opportunity to learn to challenging State content standards and challenging State student performance standards in the arts; and
- "(3) support the national effort to enable all students to demonstrate competence in the arts.
- "(c) ELIGIBLE RECIPIENTS.—In order to carry out the purposes of this section, the Secretary is authorized to award grants to, or enter into contracts or cooperative agreements with—
 - "(1) State educational agencies;
 - "(2) local educational agencies;
 - "(3) institutions of higher education;
- "(4) museums and other cultural institutions; and
- "(5) other public and private agencies, institutions, and organizations.
- "(d) AUTHORIZED ACTIVITIES.—Funds under this section may be used for—
- "(1) research on arts education;
- "(2) the development of, and dissemination of information about, model arts education programs;
- "(3) the development of model arts education assessments based on high standards;
- "(4) the development and implementation of curriculum frameworks for arts education;
- "(5) the development of model preservice and inservice professional development programs for arts educators and other instructional staff;
- "(6) supporting collaborative activities with other Federal agencies or institutions involved in arts education, such as the National Endowment for the Arts, the Institute of Museum and Library Services, the John F. Kennedy Center for the Performing Arts, VSA Arts, and the National Gallery of Art;
- "(7) supporting model projects and programs in the performing arts for children and youth through arrangements made with the John F. Kennedy Center for the Performing Arts:
- "(8) supporting model projects and programs by VSA Arts which assure the partici-

pation in maintstream settings in arts and education programs of individuals with disabilities:

- "(9) supporting model projects and programs to integrate arts education into the regular elementary school and secondary school curriculum; and
- "(10) other activities that further the purposes of this section.
 - "(e) COORDINATION.—
- "(1) IN GENERAL.—A recipient of funds under this section shall, to the extent possible, coordinate projects assisted under this section with appropriate activities of public and private cultural agencies, institutions, and organizations, including museums, arts education associations, libraries, and theaters.
- "(2) SPECIAL RULE.—In carrying out this section, the Secretary shall coordinate with the National Endowment for the Arts, the Institute of Museum and Library Services, the John F. Kennedy Center for the Performing Arts, VSA Arts, and the National Gallery of Art.
- SA 453. Mr. ENSIGN submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:
- At the appropriate place, insert the following:

SEC. ___. SENSE OF THE SENATE REGARDING THE BENEFITS OF MUSIC EDUCATION.

- (a) FINDINGS.—The Senate finds that—
- (1) there is a growing body of scientific research demonstrating that children who receive music instruction perform better on spatial-temporal reasoning tests and proportional math problems;
- (2) music education grounded in rigorous academic instruction is an important component of a well-rounded academic program;
- (3) opportunities in music and the arts have enabled children with disabilities to participate more fully in school and community activities:
- (4) music and the arts can motive at-risk students to stay in school and become active participants in the educational process;
- (5) according to the College Board, collegebound high school seniors in 1998 who received music or arts instruction scored 57 points higher on the verbal portion of the Scholastic Aptitude test and 43 points higher on the math portion of the test than collegebound seniors without any music or arts instruction:
- (6) a 1999 report by the Texas Commission on Drug and Alcohol Abuse states that individuals who participated in band, choir, or orchestra reported the lowest levels of current and lifelong use of alcohol, tobacco, and illicit drugs: and
- (7) comprehensive sequential music education instruction enhances early brain development and improves cognitive and communicative skills, self-discipline, and creativity.
- (b) SENSE OF THE SENATE.—It is the sense of the Senate that—
- (1) music education enhances intellectual development and enriches the academic environment for children of all ages; and
- (2) music educators greatly contribute to the artistic, intellectual, and social development of the children of our Nation, and play a key role in helping children to succeed in school

SA 454. Mr. GREGG submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 53, line 22, insert before the semicolon the following: ", except that a State in which less than .25 percent of the total number of poor, school-aged children in the United States is located shall be required to comply with the requirement of this paragraph on a biennial basis".

SA 455. Mr. KERRY (for himself, Mr. SMITH of Oregon, Mr. CARPER, and Mrs. CLINTON) submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 505, line 18, insert after "intervention," the following: "high quality alternative education for chronically disruptive and violent students that includes drug and violence prevention programs,".

On page 528, line 11, strike "and"

On page 528, between lines 11 and 12, insert the following:

"(15) developing, establishing, or improving alternative educational opportunities for chronically disruptive and violent students that are designed to promote drug and violence prevention, reduce disruptive behavior, to reduce the need for repeat suspensions and expulsions, to enable students to meet challenging State academic standards, and to enable students to return to the regular classroom as soon as possible:

"(16) training teachers, pupil services personnel, and other appropriate school staff on effective strategies for dealing with chronically disruptive and violent students; and".

On page 528, line 12, strike "(15)" and insert "(17)".

On page 541, between lines 9 and 10, insert the following:

"(15) the provision of educational supports, services, and programs, including drug and violence prevention programs, using trained and qualified staff, for students who have been suspended or expelled so such students make continuing progress toward meeting the State's challenging academic standards and to enable students to return to the regular classroom as soon as possible;

"(16) training teachers, pupil services personnel, and other appropriate school staff on effective strategies for dealing with disruptive students;".

On page 541, line 10, strike "(15)" and insert "(17)".

On page 541, line 18, strike "(16)" and insert "(18)".

On page 550, between lines 16 and 17, insert the following:

"(10) the development of professional development programs necessary for teachers, other educators, and pupil services personnel to implement alternative education supports, services, and programs for chronically disruptive and violent students;

"(11) the development, establishment, or improvement of alternative education models, either established within a school or separate and apart from an existing school, that are designed to promote drug and violence prevention, reduce disruptive behavior, to reduce the need for repeat suspensions and ex-

pulsions, to enable students to meet challenging State academic standards, and to enable students to return to the regular classroom as soon as possible:".

On page 550, line 17, strike "(10)" and insert "(12)".

On page 550, line 22, strike "(11)" and insert "(13)".

On page 551, line 3, strike "(12)" and insert

On page 551, line 9, strike "(13)" and insert "(15)".

SA 456. Mr. DODD submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 383, after line 21, add the following:

"PART E—EARLY CHILDHOOD EDUCATOR PROFESSIONAL DEVELOPMENT

"SEC. 2501. PURPOSE.

"In support of the national effort to attain the first of America's Education Goals, the purpose of this part is to enhance the school readiness of young children, particularly disadvantaged young children, and to prevent them from encountering difficulties once they enter school, by improving the knowledge and skills of early childhood educators who work in communities that have high concentrations of children living in poverty.

"SEC. 2502. PROGRAM AUTHORIZED.

"(a) Grants to Partnerships.—The Secretary shall carry out the purpose of this part by awarding grants, on a competitive basis, to partnerships consisting of—

"(1)(A) one or more institutions of higher education that provide professional development for early childhood educators who work with children from low-income families in high-need communities; or

"(B) another public or private, nonprofit entity that provides such professional development;

"(2) one or more public agencies (including local educational agencies, State educational agencies, State human services agencies, and State and local agencies administering programs under the Child Care and Development Block Grant Act of 1990), Head Start agencies, or private, nonprofit organizations; and

"(3) to the extent feasible, an entity with demonstrated experience in providing training to educators in early childhood education programs in identifying and preventing behavior problems or working with children identified or suspected to be victims of abuse.

"(b) DURATION AND NUMBER OF GRANTS.—

"(1) DURATION.—Each grant under this part shall be awarded for not more than 4 years.

"(2) Number.—No partnership may receive more than 1 grant under this part.

"SEC. 2503. APPLICATIONS.

"(a) APPLICATIONS REQUIRED.—Any partnership that desires to receive a grant under this part shall submit an application to the Secretary at such time, in such manner, and containing such information as the Secretary may require.

"(b) CONTENTS.—Each such application shall include—

"(1) a description of the high-need community to be served by the project, including such demographic and socioeconomic information as the Secretary may request;

"(2) information on the quality of the early childhood educator professional development program currently conducted by the institution of higher education or other provider in the partnership:

"(3) the results of the needs assessment that the entities in the partnership have undertaken to determine the most critical professional development needs of the early childhood educators to be served by the partnership and in the broader community, and a description of how the proposed project will address those needs;

"(4) a description of how the proposed project will be carried out, including—

"(A) how individuals will be selected to participate;

"(B) the types of research-based professional development activities that will be carried out:

"(C) how research on effective professional development and on adult learning will be used to design and deliver project activities;

"(D) how the project will coordinate with and build on, and will not supplant or duplicate, early childhood education professional development activities that exist in the community:

"(E) how the project will train early childhood educators to provide services that are based on developmentally appropriate practices and the best available research on child social, emotional, physical and cognitive development and on early childhood pedagogy:

"(F) how the program will train early childhood educators to meet the diverse educational needs of children in the community, including children who have limited English proficiency, disabilities, or other special needs; and

"(G) how the project will train early childhood educators in identifying and preventing behavioral problems or working with children identified as or suspected to be victims of abuse:

"(5) a description of—

"(A) the specific objectives that the partnership will seek to attain through the project, and how the partnership will measure progress toward attainment of those objectives; and

"(B) how the objectives and the measurement activities align with the performance indicators established by the Secretary under section 2506(a);

"(6) a description of the partnership's plan for institutionalizing the activities carried out under the project, so that the activities continue once Federal funding ceases;

"(7) an assurance that, where applicable, the project will provide appropriate professional development to volunteers working directly with young children, as well as to paid staff; and

"(8) an assurance that, in developing its application and in carrying out its project, the partnership has consulted with, and will consult with, relevant agencies, early child-hood educator organizations, and early child-hood providers that are not members of the partnership.

"SEC. 2504. SELECTION OF GRANTEES.

"(a) CRITERIA.—The Secretary shall select partnerships to receive funding on the basis of the community's need for assistance and the quality of the applications.

"(b) GEOGRAPHIC DISTRIBUTION.—In selecting partnerships, the Secretary shall seek to ensure that communities in different regions of the Nation, as well as both urban and rural communities, are served.

"SEC. 2505. USES OF FUNDS.

"(a) IN GENERAL.—Each partnership receiving a grant under this part shall use the grant funds to carry out activities that will improve the knowledge and skills of early

childhood educators who are working in early childhood programs that are located in high-need communities and serve concentrations of children from low-income families.

"(b) ALLOWABLE ACTIVITIES.—Such activities may include—

"(1) professional development for individuals working as early childhood educators, particularly to familiarize those individuals with the application of recent research on child, language, and literacy development and on early childhood pedagogy;

"(2) professional development for early childhood educators in working with parents, based on the best current research on child social, emotional, physical and cognitive development and parent involvement, so that the educators can prepare their children to succeed in school;

"(3) professional development for early childhood educators to work with children who have limited English proficiency, disabilities, and other special needs;

"(4) professional development to train early childhood educators in identifying and preventing behavioral problems in children or working with children identified or suspected to be victims of abuse;

"(5) activities that assist and support early childhood educators during their first three years in the field;

"(6) development and implementation of early childhood educator professional development programs that make use of distance learning and other technologies:

"(7) professional development activities related to the selection and use of screening and diagnostic assessments to improve teaching and learning; and

"(8) data collection, evaluation, and reporting needed to meet the requirements of this part relating to accountability.

"SEC. 2506. ACCOUNTABILITY.

"(a) PERFORMANCE INDICATORS.—Simultaneously with the publication of any application notice for grants under this part, the Secretary shall announce performance indicators for this part, which shall be designed to measure—

"(1) the quality and accessibility of the professional development provided;

"(2) the impact of that professional development on the early childhood education provided by the individuals who are trained; and

"(3) such other measures of program impact as the Secretary determines appropriate.

"(b) Annual Reports; Termination.—

"(1) ANNUAL REPORTS.—Each partnership receiving a grant under this part shall report annually to the Secretary on the partnership's progress against the performance indicators.

"(2) TERMINATION.—The Secretary may terminate a grant under this part at any time if the Secretary determines that the partnership is not making satisfactory progress against the indicators

"SEC. 2507. COST-SHARING.

"(a) IN GENERAL.—Each partnership shall provide, from other sources, which may include other Federal sources—

 $\lq\lq(1)$ at least 50 percent of the total cost of its project for the grant period; and

"(2) at least 20 percent of the project cost in each year.

"(b) ACCEPTABLE CONTRIBUTIONS.—A partnership may meet the requirement of subsection (a) through cash or in-kind contributions, fairly valued.

"(c) WAIVERS.—The Secretary may waive or modify the requirements of subsection (a) in cases of demonstrated financial hardship.

"SEC. 2508. DEFINITIONS.

"In this part:

"(1) HIGH-NEED COMMUNITY.—

''(A) IN GENERAL.—The term 'high-need community' means—

"(i) a municipality, or a portion of a municipality, in which at least 50 percent of the children are from low-income families; or

"(ii) a municipality that is one of the 10 percent of municipalities within the State having the greatest numbers of such children.

"(B) DETERMINATION.—In determining which communities are described in subparagraph (A), the Secretary shall use such data as the Secretary determines are most accurate and appropriate.

"(2) LOW-INCOME FAMILY.—The term 'low-income family' means a family with an income below the poverty line (as defined by the Office of Management and Budget and revised annually in accordance with section 673(2) of the Community Services Block Grant Act (42 U.S.C. 9902(2))) applicable to a family of the size involved for the most recent fiscal year for which satisfactory data are available.

"(3) EARLY CHILDHOOD EDUCATOR.—The term 'early childhood educator' means a person providing or employed by a provider of non-residential child care services (including center-based, family-based, and in-home child care services) for compensation that is legally operating under State law, and that complies with applicable State and local requirements for the provision of child care services to children at any age from birth through kindergarten.

"SEC. 2509. FEDERAL COORDINATION.

"The Secretary and the Secretary of Health and Human Services shall coordinate activities under this part and other early childhood programs administered by the two Secretaries.

"SEC. 2510. AUTHORIZATION OF APPROPRIA-TIONS.

"For the purpose of carrying out this part, there are authorized to be appropriated \$100,000,000 for fiscal year 2002 and such sums as may be necessary for each of the 6 succeeding fiscal years.".

SA 457. Mr. DODD (for himself and Mr. Shelby) submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 778, after line 21, add the following:

"PART C—INCREASING PARENTAL IN-VOLVEMENT AND PROTECTING STU-DENT PRIVACY

"SEC. 6301. INTENT.

"It is the purpose of this part to provide parents with notice of and opportunity to make informed decisions regarding commercial activities occurring in their children's classrooms.

"SEC. 6302. COMMERCIALIZATION POLICIES AND PRIVACY FOR STUDENTS.

"(a) POLICY DEVELOPMENT.—A State educational agency or local educational agency that receives funds under this Act shall develop a policy regarding in-school commercialization activities in consultation with parents and provide notice to parents regarding such policy and any changes to such policy, including locally developed exceptions under subsection (e).

"(b) Funding Prohibition.—Except as provided in subsection (c), no State educational agency or local educational agency that receives funds under this Act may—

"(1) disclose data or information the agency gathered from a student to a person or entity that seeks disclosure of the data or information for the purpose of benefiting the person or entity's commercial interests; or

"(2) permit by contract a person or entity to gather from a student, or assist a person or entity in gathering from a student, data or information, if the purpose of gathering the data or information is to benefit the commercial interests of the person or entity.

"(c) PARENTAL CONSENT.—

"(1) DISCLOSURE.—A State educational agency or local educational agency that is a recipient of funds under this Act may disclose data or information under subsection (b)(1) if the agency, prior to the disclosure—

"(A) explains to the student's parent, in writing, what data or information will be disclosed, to which person or entity the data or information will be disclosed, the amount of class time, if any, that will be consumed by the disclosure, and how the person or entity will use the data or information; and

"(B) obtains the parent's written permission for the disclosure.

"(2) GATHERING.—A State educational agency or local educational agency that is a recipient of funds under this Act may permit by contract, or assist, the gathering of data or information under subsection (b)(2) if the agency, prior to the gathering—

"(A) explains to the student's parent, in writing, what data or information will be gathered including whether any of the information is personally identifiable, which person or entity will gather the data or information, the amount of class time if any, that will be consumed by the gathering, and how the person or entity will use the data or information; and

"(B) obtains the parent's written permission for the gathering.

"(d) DEFINITIONS.—In this part:

"(1) STUDENT.—The term 'student' means a student under the age of 18.

"(2) COMMERCIAL INTEREST.—The term 'commercial interest' does not include the interest of a person or entity in gathering data or information from a student for the purpose of developing, evaluating, or providing educational products or services for or to students or educational institutions, such

"(A) college and other post-secondary education recruiting:

"(B) book clubs and other programs providing access to low cost books or other related literary products;

"(C) curriculum and instructional materials used by elementary and secondary schools to teach if—

"(i) the information is not used to sell or advertise another product, or to develop another product that is not covered by the exemption from commercial interest in this paragraph; and

"(ii) the curriculum and instructional materials are used in accordance with applicable Federal, State, and local policies, if any;

"(D) the development and administration of tests and assessments used by elementary and secondary schools to provide cognitive, evaluative, diagnostic, clinical, aptitude, or achievement information about students (or to generate other statistically useful data for the purpose of securing such tests and assessments) and the subsequent analysis and public release of aggregate data if—

"(i) the information is not used to sell or advertise another product, or to develop another product that is not covered by the exemption from commercial interest in this paragraph; and

"(ii) the tests are conducted in accordance with applicable Federal, State, and local policies, if any.

"(e) LOCALLY DEVELOPED EXCEPTIONS.—A local educational agency, in consultation with parents, may develop appropriate exceptions to the consent requirements contained in this part.

"(f) FUNDING.—A State educational agency or local educational agency may use funds provided under part A of title VI to enhance parental involvement in areas affecting children's in-school privacy.

"(g) TECHNICAL ASSISTANCE.—Upon the request of a State educational agency or local educational agency, the Secretary shall provide technical assistance to such an agency concerning compliance with this part.

"(h) RULE OF CONSTRUCTION.—Nothing in this section shall be construed to supersede the Family Educational Rights and Privacy Act (20 U.S.C. 1232g)."

SA 458. Mr. DODD submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

Beginning on page 149, strike line 23 and all that follows through page 150, line 11, and insert the following:

"(4) PUERTO RICO.—For each fiscal year, the amount of the grant which the Commonwealth of Puerto Rico shall be eligible to receive under this section shall be the amount determined with respect to Puerto Rico under paragraph (1) multiplied by the larger of—

"(A) the percentage that the average per pupil expenditure in the Commonwealth of Puerto Rico is of the lowest average per pupil expenditure of any of the 50 States; or

"(B) the minimum percentage, which shall not be less than—

"(i) for fiscal year 2002, 77.5 percent;

"(ii) for fiscal year 2003, 80.0 percent;

"(iii) for fiscal year 2004, 82.5 percent;

"(iv) for fiscal year 2005, 85 percent;

"(v) for fiscal year 2006, 89 percent;

"(vi) for fiscal year 2007, 94 percent; and

"(vii) for fiscal year 2008, and each subsequent fiscal year, 100 percent."

SA 459. Mr. DODD (for himself and Mr. BIDEN) submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 134, between lines 11 and 12, insert the following:

(5) by striking subsection (d) (as so redesignated) and inserting the following:

"(d) Comparability of Services.—

"(1) IN GENERAL.—(A) A State that receives funds under this part shall provide services in schools receiving funds under this part that, taken as a whole, are at least comparable to services in schools that are not receiving funds under this part.

"(B) A State shall meet the requirements of subparagraph (A) on a school-by-school basis.

"(2) WRITTEN ASSURANCE.—(A) A State shall be considered to have met the requirements of paragraph (1) if such State has filed with the Secretary a written assurance that such State has established and implemented policies to ensure comparability among schools in—

"(i) class size and qualifications of teachers (by category of assignment, such as regular education, special education, and bilingual education) and professional staff;

"(ii) curriculum, the range of courses offered (including the opportunity to participate in rigorous courses such as advanced placement courses), and instructional materials and instructional resources to ensure that participating children have the opportunity to achieve to the highest student performance levels under the State's challenging content and student performance standards:

"(iii) accessibility to technology; and

"(iv) the safety of school facilities.

"(B) A State need not include unpredictable changes in student enrollment or personnel assignments that occur after the beginning of a school year in determining comparability of services under this subsection.

"(3) CONSTRUCTION.—Nothing in this subsection shall be construed to require a jurisdiction to increase its property tax or other tax rates.

"(4) EFFECTIVE DATE.—A State shall comply with the requirements of this subsection by not later than the beginning of the 2003-2004 school year.

"(5) SANCTIONS.—If a State fails to comply with the requirements of this subsection, the Secretary shall withhold funds for State administration until such time as the Secretary determines that the State is in compliance with this subsection."

SA 460. Mr. REID submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 254, line 21, insert before the period the following: "(including organizations and entities that carry out projects described in section 1609(d))".

On page 257, between lines 18 and 19, insert

the following:

"(d) AFTER SCHOOL SERVICES.—Grant funds awarded under this part may be used by organizations or entities to implement programs to provide after school services for limited English proficient students that emphasize language and life skills."

SA 461. Mr. DORGAN submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 379, line 24, insert after the period the following: "Of the amount appropriated under the preceding sentence for each fiscal year, the Secretary shall make available 5 percent of such amount to carry out part E.".

On page 383, after line 12, insert the following:

SEC. 203. RURAL TECHNOLOGY EDUCATION ACADEMIES.

Title II (20 U.S.C. 6601 et seq.), as amended by section 202, is further amended by adding at the end the following:

"PART E—RURAL TECHNOLOGY EDUCATION ACADEMIES

"SEC. 2501. SHORT TITLE.

This part may be cited as the 'Rural Technology Education Academies Act'.

"SEC. 2502. FINDINGS AND PURPOSE.

"(a) FINDINGS.—Congress makes the following findings:

"(1) Rural areas offer technology programs in existing public schools, such as those in career and technical education programs, but they are limited in numbers and are not adequately funded. Further, rural areas often cannot support specialized schools, such as magnet or charter schools.

"(2) Technology can offer rural students educational and employment opportunities that they otherwise would not have.

"(3) Schools in rural and small towns receive disproportionately less funding than their urban counterparts, necessitating that such schools receive additional assistance to implement technology curriculum.

"(4) In the future, workers without technology skills run the risk of being excluded from the new global, technological economy.

"(5) Teaching technology in rural schools is vitally important because it creates an employee pool for employers sorely in need of information technology specialists.

"(6) A qualified workforce can attract information technology employers to rural areas and help bridge the digital divide between rural and urban American that is evidenced by the out-migration and economic decline typical of many rural areas

decline typical of many rural areas.

"(b) PURPOSE.—It is the purpose of this part to give rural schools comprehensive assistance to train the technology literate workforce needed to bridge the rural-urban digital divide.

"SEC. 2503. GRANTS TO STATES.

"(a) IN GENERAL.—The Secretary shall use amounts made available under section 2310(a) to carry out this part to make grants to eligible States for the development and implementation of technology curriculum.

"(b) State Eligibility.—

"(1) IN GENERAL.—To be eligible for a grant under subsection (a), a State shall—

"(A) have in place a statewide educational technology plan developed in consultation with the State agency responsible for administering programs under the Carl D. Perkins Vocational and Applied Technology Education Act (20 U.S.C. 2301 et seq.); and

``(B) include eligible local educational agencies (as defined in paragraph (2)) under the plan.

"(2) DEFINITION.—In this part, the term 'eligible local educational agency' means a local educational agency—

"(A) with less than 800 total students in average daily attendance at the schools served by such agency; and

"(B) with respect to which all of the schools served by the agency have a School Locale Code of 7, as determined by the Secretary.

"(c) AMOUNT OF GRANT.—Of the amount made available under section 2310(a) to carry out this part for a fiscal year and reduced by amounts used under section 2504, the Secretary shall provide to each State under a grant under subsection (a) an amount the bears that same ratio to such appropriated amount as the number of students in average daily attendance at the schools served by eligible local educational agencies in the State bears to the number of all such students at the schools served by eligible local educational agencies in all States in such fiscal year.

"(d) USE OF AMOUNTS.—

- "(1) IN GENERAL.—A State that receives a grant under subsection (a) shall use—
- "(A) not less than 85 percent of the amounts received under the grant to provide funds to eligible local educational agencies in the State for use as provided for in paragraph (2); and
- "(B) not to exceed 15 percent of the amounts received under the grant to carry out activities to develop or enhance and further the implementation of technology curriculum, including—
- "(i) the development or enhancement of technology courses in areas including computer network technology, computer engineering technology, computer design and repair, software engineering, and programming."
- "(ii) the development or enhancement of high quality technology standards;
- "(iii) the examination of the utility of web-based technology courses, including college-level courses and instruction for both students and teachers;
- "(iv) the development or enhancement of State advisory councils on technology teacher training;
- "(v) the addition of high-quality technology courses to teacher certification programs;
- "(vi) the provision of financial resources and incentives to eligible local educational agencies to enable such agencies to implement a technology curriculum; and
- "(vii) the implementation of a centralized web-site for educators to exchange computer-related curriculum and lesson plans.
- "(2) Local use of funds.—Amounts received by an eligible local educational agency under paragraph (1)(A) shall be used for—
- "(A) the implementation of a technology curriculum that is based on standards developed by the State, if applicable;
- "(B) professional development in the area of technology, including for the certification of teachers in information technology;
- "(C) teacher-to-teacher technology mentoring programs:
- "(D) the provision of incentives to teachers teaching in technology-related fields to persuade such teachers to remain in rural areas;
- "(E) the purchase of equipment needed to implement a technology curriculum; or
- "(F) the development of, or entering into a, consortium with other local educational agencies, institutions of higher education, or for-profit businesses, nonprofit organizations, community-based organizations or other entities with the capacity to contribute to technology training for the purposes of subparagraphs (A) through (E).
- "(3) AMOUNT OF ASSISTANCE.—In providing assistance to eligible local educational agencies under this section, a State shall ensure that the amount provided to any eligible agency reflects the size and financial need of the agency as evidenced by the number or percentage of children served by the agency who are in poverty.

"SEC. 2504. TECHNICAL ASSISTANCE.

- "From amounts made available for a fiscal year under section 2310(a) to carry out this part, the Secretary may use not to exceed 5 percent of such amounts to—
- "(1) establish a position within the Office of Educational Technology of the Department of Education for a specialist in rural schools;
- "(2) identify and disseminate throughout the United States information on best practices concerning technology curricula; and
- "(3) conduct seminars in rural areas on technology education.".

- SA 462. Mr. EDWARDS submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:
- On page 679, after line 25, add the following:
- "(6) support for arrangements that provide for independent analysis to measure and report on school district achievement.".
- SA 463. Mr. WELLSTONE (for himself and Mr. FEINGOLD) submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:
- On page 47, between lines 12 and 13, insert the following:
 "(i) during the period beginning on the
- "(i) during the period beginning on the date of enactment of the Better Education for Students and Teachers Act and ending on September 20, 2008, the assessments described in this subparagraph—
- "(I) shall not be required to be considered in determining whether a school, school district, or the State is making adequate yearly progress with respect to the challenging State content and student performance standards; and
- "(II) may be used for diagnostic purposes at the discretion of the State:".
- **SA 464.** Mr. WELLSTONE submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:
- On page 48, between lines 14 and 15, insert the following:
- "(iii) no State shall be required to conduct any assessments under this subparagraph in any school year if, by July 1, 2005, the amount appropriated to carry out the Head Start Program for fiscal year 2005 does not equal or exceed \$92,408,000,000".
- SA 465. Mr. WELLSTONE (for himself and Mr. FEINGOLD) submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:
- On page 776, strike lines 1 through 5, and insert the following:
- insert the following:
 "(b) Assessment Completion Bonuses.—
- "(1) IN GENERAL.—At the end of school year 2006–2007, the Secretary shall make 1-time bonus payments to States that develop State assessments as required under section 1111(b)(3)(F) that are of particularly high quality in terms of assessing the performance of students in grades 3 through 8. The Secretary shall make the awards to States that develop assessments that involve up-to-date measures of student performance from multiple sources that assess the range and depth of student knowledge and proficiency in meeting State performance standards, in each academic subject in which the State is required to conduct the assessments.
- (12) PEER REVIEW.—In making awards under paragraph (1), the Secretary shall use a peer review process.

- SA 466. Mr. WELLSTONE submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:
- On page 48, between lines 14 and 15, insert the following:
- "(iii) no State shall be required to conduct any assessments under this subparagraph in any school year if, by July 1, 2005, the amount appropriated to carry out this part for fiscal year 2005 does not equal or exceed \$24,720,000,000;"
- SA 467. Mr. WELLSTONE submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table: as follows:
- On page 893, after line 14, add the following:

SEC. 902. EXPANSION OF EDUCATIONAL OPPORTUNITIES FOR WELFARE RECIPIENTS.

- (a) POSTSECONDARY EDUCATION OR VOCATIONAL EDUCATIONAL TRAINING AS PERMISSIBLE WORK ACTIVITIES.—Section 407(d)(8) of the Social Security Act (42 U.S.C. 607(d)(8)) is amended to read as follows:
- "(8) postsecondary education or vocational educational training (not to exceed 24 months or, at the option of the State, 48 months, with respect to any individual);".
- (b) Modifications To the Educational Cap.—
- (1) REMOVAL OF TEEN PARENTS FROM 30 PERCENT LIMITATION.—Section 407(c)(2)(D) of the Social Security Act (42 U.S.C. 607(c)(2)(D)) is amended by striking ", or (if the month is in fiscal year 2000 or thereafter) deemed to be engaged in work for the month by reason of subparagraph (C) of this paragraph".
- (2) EXTENSION OF CAP TO POSTSECONDARY EDUCATION.—Section 407(c)(2)(D) of the Social Security Act (42 U.S.C. 607(c)(2)(D)) is amended by striking "vocational educational training" and inserting "education or training described in subsection (d)(8)".
- (c) CLARIFICATION THAT PARTICIPATION IN A FEDERAL WORK-STUDY PROGRAM IS A PERMISSIBLE WORK ACTIVITY UNDER THE TANF PROGRAM.—Paragraphs (2) and (3) of section 407(d) of the Social Security Act (42 U.S.C. 607(d)) are each amended by inserting "(including participation in an activity under a program established under part C of title IV of the Higher Education Act of 1965)" before the semicolon.
- SA 468. Mr. WELLSTONE submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:
- On page 4, between lines 16 and 17, insert the following:
- "(1) ASSESSMENT.—The term 'assessment' means any systematic method of obtaining information from tests and other sources that is used to draw inferences about the characteristics of individuals, objects, or programs.
- On page 44, strike lines 12 through 14, and insert the following: "sistent with the Standards for Educational and Psychological

Testing as developed by the American Educational Research Association, the American Psychological Association and the National Council on Measurement in Education:

"(D) be used only if the State provides to the Secretary evidence from the test publisher or other relevant sources that the assessment used is of adequate technical quality for each purpose for which the assessment is used, such evidence to be made public by the Secretary upon request;".

On page 49, between lines 11 and 12, insert the following:

"(K) enable itemized score analyses to be reported to schools and local educational agencies in a way that parents, teachers, schools, and local educational agencies can interpret and address the specific academic needs of individual students as indicated by the students' performance on assessment items.

On page 110, between lines 21 and 22, insert the following:

SEC. 118A. GRANTS FOR ENHANCED ASSESSMENT INSTRUMENTS.

Part A of title I (20 U.S.C. 6311 et seq.) is amended by inserting after section 1117 (20 U.S.C. 6318) the following:

"SEC. 1117A. GRANTS FOR ENHANCED ASSESS-MENT INSTRUMENTS.

''(a) Purpose.—The purpose of this section is to—

"(1) enable States (or consortia or States) and local educational agencies (or consortia of local educational agencies) to collaborate with institutions of higher education, other research institutions, and other organizations to improve the quality and fairness of State assessment systems beyond the basic requirements for assessment systems described in section 1111(b)(3):

"(2) characterize student achievement in terms of multiple aspects of proficiency;

"(3) chart student progress over time;

"(4) closely track curriculum and instruction; and

"(5) monitor and improve judgments based on informed evaluations of student performance.

"(b) AUTHORIZATION OF APPROPRIATIONS.— There are authorized to be appropriated to carry out this section \$200,000,000 for fiscal year 2002 and such sums as may be necessary for each of the 6 succeeding fiscal years.

"(c) Grants Authorized.—The Secretary is authorized to award grants to States and local educational agencies to enable the States and local educational agencies to carry out the purpose described in subsection (a).

(a).

"(d) APPLICATION.—In order to receive a grant under this section for any fiscal year, a State or local educational agency shall submit an application to the Secretary at such time and containing such information as the Secretary may require.

"(e) AUTHORIZED USE OF FUNDS.—A State or local educational agency having an application approved under subsection (d) shall use the grant funds received under this section to collaborate with institutions of higher education or other research institutions, experts on curriculum, teachers, administrators, parents, and assessment developers for the purpose of developing enhanced assessments that are aligned with standards and curriculum, are valid and reliable for the purposes for which the assessments are to be used, are grade-appropriate, include multiple measures of student achievement from multiple sources, and otherwise meet the requirements of section 1111(b)(3). Such assessments shall strive to better measure higher order thinking skills, understanding, analytical ability, and learning over time through the development of assessment tools that include techniques such as performance, curriculum-, and technology-based assessments.

"(f) ANNUAL REPORTS.—Each State or local educational agency receiving a grant under this section shall report to the Secretary at the end of the fiscal year for which the State or local educational agency received the grant on the progress of the State or local educational agency in improving the quality and fairness of assessments with respect to the purpose described in subsection (a)."

SA 469. Mr. WELLSTONE submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table: as follows:

Beginning on page 773, strike lines 20-24, and insert the following:

"SEC, 6107.

"(1) IN GENERAL.—For the purpose of carrying out part D, there are authorized to be appropriated \$70,000,000 for fiscal year 2002 and such sums as may be necessary for each of the 6 succeeding fiscal years.

''(2) RESERVATION.—Of the amount appropriated under paragraph (1) for a fiscal year—

"(A) the Secretary shall reserve \$50,000,000 to carry out part A, other than section 6106A: and

"(B) in the case of any amounts appropriated in excess of \$50,000,000 for such fiscal year, the Secretary shall allocate an amount equal to—

"(i) 85 percent of such excess to carry out section 6106A; and

"(ii) 15 percent of such excess to carry out part A, other than section 6106A."

On page 773, between lines 19 and 20, insert the following:

"SEC. 6106A. LOCAL FAMILY INFORMATION CENTERS.

"(a) CENTERS AUTHORIZED.—The Secretary shall award grants to, and enter into contracts and cooperative agreements with, local nonprofit parent organizations to enable the organizations to support local family information centers that help ensure that parents of students in schools assisted under part A have the training, information, and support the parents need to enable the parents to participate effectively in helping their children to meet challenging State standards.

"(b) DEFINITION OF LOCAL NONPROFIT PARENT ORGANIZATION.—In this section, the term 'local nonprofit parent organization' means a private nonprofit organization (other than an institution of higher education) that—

"(1) has a demonstrated record of working with low-income individuals and parents;

"(2)(A) has a board of directors the majority of whom are parents of students in schools that are assisted under part A and located in the geographic area to be served by the center; or

"(B) has a special governing committee to direct and implement the center, a majority of the members of whom are parents of students in schools assisted under part A; and

"(3) is located in a community with schools that receive funds under part A, and is accessible to the families of students in those schools."

SA 470. Mr. ROBERTS (for himself, Mr. Frist, Mr. Gregg, Mr. Crapo, Mr. Warner, Mr. Schumer, and Mr. Dur-

BIN) submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 344, line 9, insert "engineering," before "mathematics".

On page 344, line 17, strike "a" and insert "an engineering".

On page 344, line 22, insert "engineering," before "mathematics".

On page 345, line 7, insert "or high-impact public coalition composed of leaders from business, kindergarten through grade 12 education, institutions of higher education, and public policy organizations" before the period.

On page 347, line 10, insert "or a consortium of local educational agencies that include a high need local education agency" before the period.

On page 347, line 18, strike "an" and insert "the results of a comprehensive".

On page 347, line 22, strike the semicolon and insert: ", and such assessment may include, but not be limited to, data that accurately represents—

"(A) the participation of students in advanced courses in mathematics and science,

"(B) the percentages of secondary school classes in mathematics and science taught by teachers with academic majors in mathematics and science, respectively.

"(C) the number and percentage of mathematics and science teachers who participate in content-based professional development activities, and

"(D) the extent to which elementary teachers have the necessary content knowledge to teach mathematics and science:

On page 349, line 6, strike the period and insert "through the use of—

"(A) recruiting individuals with demonstrated professional experience in mathematics or science through the use of signing incentives and performance incentives for mathematics and science teachers as long as those incentives are linked to activities proven effective in retaining teachers;

"(B) stipends to mathematics teachers and science teachers for certification through alternative routes:

"(C) scholarships for teachers to pursue advanced course work in mathematics or science; and

"(D) carrying out any other program that the State believes to be effective in recruiting into and retaining individuals with strong mathematics or science backgrounds in the teaching field.

On page 350, line 4, insert "engineers and" before "scientists".

On page 350, between lines 4 and 5, insert the following:

"(9) Designing programs to identify and develop mathematics and science master teachers in the kindergarten through grade 8 classrooms.

"(10) Performing a statewide systemic needs assessment of mathematics, science, and technology education, analyzing the assessment, developing a strategic plan based on the assessment and its analysis, and engaging in activities to implement the strategic plan consistent with the authorized activities in this section.

"(11) Establishing a mastery incentive system for elementary school or secondary school mathematics or science teachers under which—

"(A) experienced mathematics or science teachers who are licensed or certified to teach in the State demonstrate their mathematics or science knowledge and teaching expertise, through objective means such as an advanced examination or professional evaluation of teaching performance and classroom skill including a professional video;

- "(B) incentives shall be awarded to teachers making the demonstration described in subparagraph (A);
- "(C) priority for such incentives shall be provided to teachers who teach in high need and local educational agencies; and
- "(D) the partnership shall devise a plan to ensure that recipients of incentives under this paragraph remain in the teaching profession."
- SA 471. Mr. JOHNSON submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:
- At the appropriate place, add the following:

SEC. ____. MENTAL HEALTH SERVICES DELIVERED VIA TELEHEALTH.

- (a) PROGRAM AUTHORIZED.-
- (1) IN GENERAL.—The Secretary of Health and Human Services, acting through the Director of the Office for the Advancement of Telehealth of the Health Resources and Services Administration, shall award grants to eligible entities to establish demonstration projects for the provision of mental health services to special populations as delivered remotely by qualified mental health professionals using telehealth and for the provision of education regarding mental illness as delivered remotely by qualified mental health professionals and qualified mental health education professionals using telehealth.
- (2) NUMBER OF DEMONSTRATION PROJECTS.— Twenty grants shall be awarded under paragraph (1) to provide services for children and adolescents as described in subsection (d)(1). Not less than 10 such grants shall be for services rendered to individuals in rural areas.
 - (b) DEFINITIONS.—In this section:
- (1) ELIGIBLE ENTITY.—The term "eligible entity" means a public or nonprofit private telehealth provider network which has as part of its services mental health services provided by qualified mental health providers.
- (2) QUALIFIED MENTAL HEALTH EDUCATION PROFESSIONALS.—The term "qualified mental health education professionals" refers to teachers, community mental health professionals, nurses, and other entities as determined by the Secretary who have additional training in the delivery of information on mental illness in children and adolescents.
- (3) QUALIFIED MENTAL HEALTH PROFESSIONALS.—The term "qualified mental health professionals" refers to providers of mental health services currently reimbursed under medicare who have additional training in the treatment of mental illness in children and adolescents.
- (4) SPECIAL POPULATIONS.—The term "special populations" refers to children and adolescents located in primary and secondary public schools in mental health underserved rural areas or in mental health underserved urban areas.
- (5) TELEHEALTH.—The term "telehealth" means the use of electronic information and telecommunications technologies to support long-distance clinical health care, patient and professional health-related education, public health, and health administration.

- (c) AMOUNT.—Each entity that receives a grant under subsection (a) shall receive not more than \$1,500,000, with no more than 40 percent of the total budget outlined for equipment.
 - (d) Use of Funds.—
- (1) IN GENERAL.—An eligible entity that receives a grant under this section shall use such funds for the special population described in subsection (b)(4)—
- (A) to provide mental health services, including diagnosis and treatment of mental illness, in primary and secondary public schools as delivered remotely by qualified mental health professionals using telehealth;
- (B) to provide education regarding mental illness (including suicide and violence) in primary and secondary public schools as delivered remotely by qualified mental health professionals and qualified mental health education professionals using telehealth, including early recognition of the signs and symptoms of mental illness, and instruction on coping and dealing with stressful experiences of childhood and adolescence (such as violence, social isolation, and depression); and
- (C) to collaborate with local public health entities and the eligible entity to provide the mental health services.
- (2) OTHER USES.—An eligible entity receiving a grant under this section may also use funds to—
- (A) acquire telehealth equipment to use in primary and secondary public schools for the purposes of this section;
- (B) develop curriculum to support activities described in subsections (d)(1)(B);
- (C) pay telecommunications costs; and
- (D) pay qualified mental health professionals and qualified mental health education professionals on a reasonable cost basis as determined by the Secretary for services rendered.
- (3) PROHIBITED USES.—An eligible entity that receives a grant under this section shall not use funds received through such grant to—
- (A) purchase or install transmission equipment (other than such equipment used by qualified mental health professionals to deliver mental health services using telehealth under the project); or
- (B) build upon or acquire real property (except for minor renovations related to the installation of reimbursable equipment).
- (e) EQUITABLE DISTRIBUTION.—In awarding grants under this section, the Secretary shall ensure, to the greatest extent possible, that such grants are equitably distributed among geographical regions of the United States
- (f) APPLICATION.—An entity that desires a grant under this section shall submit an application to the Secretary at such time, in such manner, and containing such information as the Secretary considers appropriate.
- (g) REPORT.—Not later than 5 years after the date of the enactment of this Act, the Secretary shall submit to the appropriate committees of Congress a report that shall evaluate activities funded with grants under this section.
- (h) AUTHORIZATION OF APPROPRIATIONS.— There are authorized to be appropriated to carry out this section, \$30,000,000 for fiscal year 2002, and such sums as may be necessary for fiscal years 2003 through 2007.
- (i) SUNSET PROVISION.—This section shall be effective for 6 years from the date of the enactment of this Act.

SA 472. Ms. LANDRIEU submitted an amendment intended to be proposed by

her to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

At the appropriate place, insert the following:

SEC. ___. SENSE OF THE SENATE REGARDING TAX INCENTIVES FOR TEACHERS RECEIVING ADVANCED CERTIFICATION.

- (a) FINDINGS.—The Senate finds the following:
- (1) Studies have shown that the greatest single in-school factor affecting student achievement is teacher quality.
- (2) Most accomplished teachers do not get the rewards they deserve.
- (3) After adjusting amounts for inflation, the average teacher salary for 1997–1998 of \$39,347 is just \$2 above what it was in 1993. Such salary is also just \$1,924 more than the average salary recorded in 1972, a real increase of only \$75 per year.
- (4) While K-12 enrollments are steadily increasing, the teacher population is aging. There is a need, now more than ever, to attract competent, capable, and bright college graduates or mid-career professionals to the teaching profession.
- (5) The Department of Education projects that 2,000,000 new teachers will have to be hired in the next decade. Shortages, if they occur, will most likely be felt in urban or rural regions of the country where working conditions may be difficult or compensation low
- (6) If students are to receive a high quality education and remain competitive in the global market the United States must attract talented and motivated people to the teaching profession in large numbers.
- (b) SENSE OF THE SENATE.—It is the sense of the Senate that Congress should act expeditiously to pass legislation in the 107th Congress providing—
- (1) a \$5,000 refundable tax credit to elementary and secondary school teachers who receive advanced certification, and
- (2) an exclusion from gross income for any reasonable financial benefits received by such teachers solely because of such certification

SA 473. Ms. LANDRIEU submitted an amendment intended to be proposed by her to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 893, after line 14, add the following:

SEC. ___. SENSE OF THE SENATE CONCERNING POSTAL RATES FOR EDUCATIONAL MATERIALS.

- (a) FINDINGS.—The Senate finds that—
- the President and Congress both agree that education is of the highest domestic priority;
- (2) access to education is a basic right for all Americans regardless of age, race, economic status or geographic boundary;
- (3) reading is the foundation of all educational pursuits;
- (4) the objective of schools, libraries, literacy programs, and early childhood development programs is to promote reading skills and prepare individuals for a productive role in our society;
- (5) individuals involved in the activities described in paragraph (4) are less likely to be drawn into negative social behavior such

as alcohol and drug abuse and criminal activity;

- (6) a highly educated workforce in America is directly tied to a strong economy and our national security;
- (7) the increase in postal rates by the United States Postal Service in the year 2000 for such reading materials sent for these purposes was substantially more than the increase for any other class of mail and threatens the affordability and future distribution of such materials;
- (8) failure to provide affordable access to reading materials would seriously limit the fair and universal distribution of books and classroom publications to schools, libraries, literacy programs and early childhood development programs; and
- (9) the Postal Service has the discretionary authority to set postal rates.
- (b) SENSE OF THE SENATE.—It is the sense of the Senate that, since educational materials sent to schools, libraries, literacy programs, and early childhood development programs received the highest postal rate increase in the year 2000 rate case, the United States Postal Service should freeze the rates for those materials.

SA 474. Ms. LANDRIEU submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

Beginning on page 312, strike line 18 and all that follows through page 313, line 4, and insert the following:

- "(I) an amount that bears the same relationship to 35 percent of the excess amount as the number of individuals age 5 through 17 in the State, as determined by the Secretary on the basis of the most recent satisfactory data, bears to the number of those individuals in all such States, as so determined; and
- ``(II) an amount that bears the same relationship to 65 percent of the

* * * * *

On page 320, strike lines 16 through 26 and insert the following:

- "(1) an amount that bears the same relationship to 20 percent of the total amount as the number of individuals age 5 through 17 in the geographic area served by the agency, as determined by the Secretary on the basis of the most recent satisfactory data, bears to the number of those individuals in the geographic areas served by all the local educational agencies in the State, as so determined; and
- ((2) an amount that bears the same relationship to 80 percent of the total amount as the num-((2)
- SA 475. Ms. LANDRIEU submitted an amendment intended to be proposed by her to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

At the end of part A of title I, add the following:

SEC. 120D. ADEQUACY OF FUNDING OF TAR-GETED GRANTS TO LOCAL EDU-CATIONAL AGENCIES IN FISCAL YEARS AFTER FISCAL YEAR 2001.

- (a) FINDINGS.—Congress makes the following findings:
- (1) The current Basic Grant Formula for the distribution of funds under part A of

- title I of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 6311 et seq.), often does not provide funds for the economically disadvantaged students for which such funds are targeted.
- (2) Any school district in which at least two percent of the students live below the poverty level qualifies for funding under the Basic Grant Formula. As a result, 9 out of every 10 school districts in the country receive some form of aid under the Formula.
- (3) Fifty-eight percent of all schools receive at least some funding under title I of the Elementary and Secondary Education Act of 1965, including many suburban schools with predominantly well-off students.
- (4) One out of every 5 schools with concentrations of poor students between 50 and 75 percent receive no funding at all under title I of the Elementary and Secondary Education Act of 1965.
- (5) In passing the Improving America's Schools Act in 1994, Congress declared that grants under title I of the Elementary and Secondary Education Act of 1965 would more sharply target high poverty schools by using the Targeted Grant Formula, but annual appropriation Acts have prevented the use of that Formula.
- (6) The advantage of the Targeted Grant Formula over other funding formulas under title I of the Elementary and Secondary Education Act of 1965 is that the Targeted Grant Formula provides increased grants per poor child as the percentage of economically disadvantaged children in a school district increases.
- (7) Studies have found that the poverty of a child's family is much more likely to be associated with educational disadvantage if the family lives in an area with large concentrations of poor families.
- (8) States with large populations of high poverty students would receive significantly more funding if more funds under title I of the Elementary and Secondary Education Act of 1965 were allocated through the Targeted Grant Formula.
- (9) Congress has an obligation to allocate funds under title I of the Elementary and Secondary Education Act of 1965 so that such funds will positively affect the largest number of economically disadvantaged students.
- (b) LIMITATION ON ALLOCATION OF TITLE I FUNDS CONTINGENT ON ADEQUATE FUNDING OF TARGETED GRANTS.—Notwithstanding any other provision of law, the total amount allocated in any fiscal year after fiscal year 2001 for programs and activities under part A of title I of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 6311 et seq.) may not exceed the amount allocated in fiscal year 2001 for such programs and activities unless the amount available for targeted grants to local educational agencies under section 1125 of that Act (20 U.S.C. 6335) in the applicable fiscal year is sufficient to meet the purposes of grants under that section.
- **SA 476.** Mr. BOND submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 763, lines 23, insert "(including statewide nonprofit organizations)" after "organizations".

On page 764, line 4, strike "(including parents of preschool age children)" and insert "(including parents of children from birth through age 5)".

On page 764, line 17, insert "(including statewide nonprofit organizations)" before the comma.

On page 765, line 4, insert "and Parents as Teachers organizations" after "associations".

On page 765, line 14, insert "(including a statewide nonprofit organization)" before "or nonprofit".

On page 767, line 23, strike "part of" and insert "at least ½ of".

On page 769, line 22, insert "(such as training related to Parents as Teachers activities)" before the semicolon.

On page 770, line 8, strike "and".

On page 770, line 12, strike the period and insert "; and".

On page 770, between lines 12 and 13, insert the following:

- "(6) to coordinate and integrate early childhood programs with school age programs.
- SA 477. Mr. McCAIN submitted an amendment intended to be proposed by him to the bill S. 1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:
- At the appropriate place, insert the following:

SEC. . SENSE OF THE SENATE REGARDING TRANSMITTAL OF S. 27 TO HOUSE OF REPRESENTATIVES

- (A) FINDINGS.—The Senate finds that—
- (1) on April 2, 2001, the Senate of the United States passed S. 27, the Bipartisan Campaign Reform Act of 2001, by a vote of 59 to 41:
- (2) it has been over 30 days since the Senate moved to third reading and final passage of S. 27;
- (3) it was then in order for the bill to be engrossed and officially delivered to the House of Representatives of the United States;
- (4) the precedents and traditions of the Senate dictate that bills passed by the Senate are routinely sent in a timely manner to the House of Representatives:
- (5) the will of the majority of the Senate, having voted in favor of campaign finance reform is being unduly thwarted:
- (6) the American people are taught that when a bill passed one body of Congress, it is routinely sent to the other body for consideration; and
- (7) the delay in sending S. 27 to the House of Representatives appears to be an arbitrary action taken to deliberately thwart the will of the majority of the Senate.
- (b) SENSE OF THE SENATE.—It is the sense of the Senate that the Secretary of the Senate should properly engross and deliver S. 27 to the House of Representatives without any intervening delay.
- SA 478. Mr. McCAIN (for himself, Mr. EDWARDS, and Mr. KENNEDY) submitted an amendment intended to be proposed by him to the bill S.1, to extend programs and activities under the Elementary and Secondary Education Act of 1965; which was ordered to lie on the table; as follows:

On page 893, after line 14, add the following:

DIVISION II—BIPARTISAN PATIENT PROTECTION

SEC. 1. SHORT TITLE; TABLE OF CONTENTS.

(a) SHORT TITLE.—This division may be cited as the "Bipartisan Patient Protection Act of 2001".

tents of this division is as follows:

Sec. 1. Short title; table of contents.

TITLE I—IMPROVING MANAGED CARE Subtitle A-Utilization Review; Claims; and

Internal and External Appeals

Sec. 101. Utilization review activities.

Sec. 102. Procedures for initial claims for benefits and prior authorization determinations.

Sec. 103. Internal appeals of claims denials.

Sec. 104. Independent external appeals procedures.

Subtitle B-Access to Care

Sec. 111. Consumer choice option.

Sec. 112. Choice of health care professional.

Sec. 113. Access to emergency care. Sec. 114. Timely access to specialists

Sec. 115. Patient access to obstetrical and gynecological care.

Sec. 116. Access to pediatric care.

Sec. 117. Continuity of care.

Sec. 118. Access to needed prescription drugs.

Sec. 119. Coverage for individuals participating in approved clinical trials.

Sec. 120. Required coverage for minimum hospital stay for mastectomies and lymph node dissections for the treatment of breast cancer and coverage for secondary consultations

Subtitle C-Access to Information

Sec. 121. Patient access to information.

Subtitle D—Protecting the Doctor-Patient Relationship

Sec. 131. Prohibition of interference with certain medical communications.

Sec. 132. Prohibition of discrimination against providers based on licensure.

Sec. 133. Prohibition against improper incentive arrangements.

Sec. 134. Payment of claims.

Sec. 135. Protection for patient advocacy. Subtitle E—Definitions

Sec. 151. Definitions.

Sec. 152. Preemption; State flexibility; construction.

Sec. 153. Exclusions.

Sec. 154. Coverage of limited scope plans.

Sec. 155. Regulations.

Sec. 156. Incorporation into plan or coverage documents.

TITLE II—APPLICATION OF QUALITY CARE STANDARDS TO GROUP HEALTH PLANS AND HEALTH INSURANCE COV-ERAGE UNDER THE PUBLIC HEALTH SERVICE ACT

Sec. 201. Application to group health plans and group health insurance coverage.

Sec. 202. Application to individual health insurance coverage.

TITLE III—AMENDMENTS TO THE EM-PLOYEE RETIREMENT INCOME SECU-RITY ACT OF 1974

Sec. 301. Application of patient protection standards to group health plans and group health insurance coverage under the Employee Retirement Income Security Act of 1974.

Sec. 302. Availability of civil remedies. Sec. 303. Limitations on actions.

TITLE IV—AMENDMENTS TO THE INTERNAL REVENUE CODE OF 1986

Sec. 401. Application of requirements to group health plans under the Internal Revenue Code of 1986.

(b) Table of Contents.—The table of con- Sec. 402. Conforming enforcement for women's health and cancer rights.

TITLE V-EFFECTIVE DATES; COORDINATION IN IMPLEMENTATION

Sec. 501. Effective dates.

Sec. 502. Coordination in implementation.

Sec. 503. Severability.

TITLE I-IMPROVING MANAGED CARE Subtitle A-Utilization Review; Claims; and **Internal and External Appeals**

SEC. 101. UTILIZATION REVIEW ACTIVITIES. (a) Compliance With Requirements -

(1) IN GENERAL.—A group health plan, and a health insurance issuer that provides

health insurance coverage, shall conduct utilization review activities in connection with the provision of benefits under such plan or coverage only in accordance with a utilization review program that meets the requirements of this section and section 102.

(2) Use of outside agents.—Nothing in this section shall be construed as preventing a group health plan or health insurance issuer from arranging through a contract or otherwise for persons or entities to conduct utilization review activities on behalf of the plan or issuer, so long as such activities are conducted in accordance with a utilization review program that meets the requirements of this section.

(3) UTILIZATION REVIEW DEFINED.—For purposes of this section, the terms "utilization review" and "utilization review activities" mean procedures used to monitor or evaluate the use or coverage, clinical necessity, appropriateness, efficacy, or efficiency health care services, procedures or settings, and includes prospective review, concurrent review, second opinions, case management, discharge planning, or retrospective review.

(b) Written Policies and Criteria.

(1) Written policies.—A utilization review program shall be conducted consistent with written policies and procedures that govern all aspects of the program.

(2) USE OF WRITTEN CRITERIA.-

(A) IN GENERAL.—Such a program shall utilize written clinical review criteria developed with input from a range of appropriate actively practicing health care professionals, as determined by the plan, pursuant to the program. Such criteria shall include written clinical review criteria that are based on valid clinical evidence where available and that are directed specifically at meeting the needs of at-risk populations and covered individuals with chronic conditions or severe illnesses, including gender-specific criteria and pediatric-specific criteria where available and appropriate.

(B) CONTINUING USE OF STANDARDS IN RET-ROSPECTIVE REVIEW.—If a health care service has been specifically pre-authorized or approved for a participant, beneficiary, or enrollee under such a program, the program shall not, pursuant to retrospective review, revise or modify the specific standards, criteria, or procedures used for the utilization review for procedures, treatment, and services delivered to the enrollee during the same course of treatment.

(C) REVIEW OF SAMPLE OF CLAIMS DENIALS.-Such a program shall provide for a periodic evaluation of the clinical appropriateness of at least a sample of denials of claims for ben-

(c) CONDUCT OF PROGRAM ACTIVITIES.

(1) Administration by health care pro-FESSIONALS.—A utilization review program shall be administered by qualified health care professionals who shall oversee review decisions.

(2) Use of qualified, independent per-

(A) IN GENERAL.—A utilization review program shall provide for the conduct of utilization review activities only through personnel who are qualified and have received appropriate training in the conduct of such activities under the program.

(B) PROHIBITION OF CONTINGENT COMPENSA-TION ARRANGEMENTS.—Such a program shall not, with respect to utilization review activities. permit or provide compensation or anything of value to its employees, agents, or contractors in a manner that encourages denials of claims for benefits.

(C) PROHIBITION OF CONFLICTS.—Such a program shall not permit a health care professional who is providing health care services to an individual to perform utilization review activities in connection with the health care services being provided to the individual.

(3) ACCESSIBILITY OF REVIEW.—Such a program shall provide that appropriate personnel performing utilization review activities under the program, including the utilization review administrator, are reasonably accessible by toll-free telephone during normal business hours to discuss patient care and allow response to telephone requests, and that appropriate provision is made to receive and respond promptly to calls received during other hours.

(4) LIMITS ON FREQUENCY.—Such a program shall not provide for the performance of utilization review activities with respect to a class of services furnished to an individual more frequently than is reasonably required to assess whether the services under review are medically necessary and appropriate.

SEC. 102. PROCEDURES FOR INITIAL CLAIMS FOR BENEFITS AND PRIOR AUTHORIZA-TION DETERMINATIONS.

(a) PROCEDURES OF INITIAL CLAIMS FOR BENEFITS -

(1) IN GENERAL.—A group health plan, or health insurance issuer offering health insurance coverage, shall-

(A) make a determination on an initial claim for benefits by a participant, beneficiary, or enrollee (or authorized representative) regarding payment or coverage for items or services under the terms and conditions of the plan or coverage involved, including any cost-sharing amount that the participant, beneficiary, or enrollee is required to pay with respect to such claim for benefits: and

(B) notify a participant, beneficiary, or enrollee (or authorized representative) and the treating health care professional involved regarding a determination on an initial claim for benefits made under the terms and conditions of the plan or coverage, including any cost-sharing amounts that the participant, beneficiary, or enrollee may be required to make with respect to such claim for benefits. and of the right of the participant, beneficiary, or enrollee to an internal appeal under section 103.

(2) Access to information.—

(A) TIMELY PROVISION OF NECESSARY INFOR-MATION.—With respect to an initial claim for benefits, the participant, beneficiary, or enrollee (or authorized representative) and the treating health care professional (if any) shall provide the plan or issuer with access to information requested by the plan or issuer that is necessary to make a determination relating to the claim. Such access shall be provided not later than 5 days after the date on which the request for information is received, or, in a case described in subparagraph (B) or (C) of subsection (b)(1), by such earlier time as may be necessary to comply with the applicable timeline under such subparagraph.

- (B) LIMITED EFFECT OF FAILURE ON PLAN OR ISSUER'S OBLIGATIONS.—Failure of the participant, beneficiary, or enrollee to comply with the requirements of subparagraph (A) shall not remove the obligation of the plan or issuer to make a decision in accordance with the medical exigencies of the case and as soon as possible, based on the available information, and failure to comply with the time limit established by this paragraph shall not remove the obligation of the plan or issuer to comply with the requirements of this section.
- (3) ORAL REQUESTS.—In the case of a claim for benefits involving an expedited or concurrent determination, a participant, beneficiary, or enrollee (or authorized representative) may make an initial claim for benefits orally, but a group health plan, or health insurance issuer offering health insurance coverage, may require that the participant, beneficiary, or enrollee (or authorized representative) provide written confirmation of such request in a timely manner on a form provided by the plan or issuer. In the case of such an oral request for benefits, the making of the request (and the timing of such request) shall be treated as the making at that time of a claims for such benefits without regard to whether and when a written confirmation of such request is made.
- (b) Timeline for Making Determinations —
- (1) Prior authorization determination.—
- (A) In GENERAL.—A group health plan, or health insurance issuer offering health insurance coverage, shall make a prior authorization determination on a claim for benefits (whether oral or written) in accordance with the medical exigencies of the case and as soon as possible, but in no case later than 14 days from the date on which the plan or issuer receives information that is reasonably necessary to enable the plan or issuer to make a determination on the request for prior authorization and in no case later than 28 days after the date of the claim for benefits is received.
- (B) EXPEDITED DETERMINATION.—Notwithstanding subparagraph (A), a group health plan, or health insurance issuer offering health insurance coverage, shall expedite a prior authorization determination on a claim for benefits described in such subparagraph when a request for such an expedited determination is made by a participant, beneficiary, or enrollee (or authorized representative) at any time during the process for making a determination and a health care professional certifies, with the request, that a determination under the procedures described in subparagraph (A) would seriously jeopardize the life or health of the participant, beneficiary, or enrollee or the ability of the participant, beneficiary, or enrollee to maintain or regain maximum function. Such determination shall be made in accordance with the medical exigencies of the case and as soon as possible, but in no case later than 72 hours after the time the request is received by the plan or issuer under this subparagraph.
 - (C) ONGOING CARE.—
 - (i) CONCURRENT REVIEW.—
- (I) In general.—Subject to clause (ii), in the case of a concurrent review of ongoing care (including hospitalization), which results in a termination or reduction of such care, the plan or issuer must provide by telephone and in printed form notice of the concurrent review determination to the individual or the individual's designee and the individual's health care provider in accordance with the medical exigencies of the case

- and as soon as possible, with sufficient time prior to the termination or reduction to allow for an appeal under section 103(b)(3) to be completed before the termination or reduction takes effect.
- (II) CONTENTS OF NOTICE.—Such notice shall include, with respect to ongoing health care items and services, the number of ongoing services approved, the new total of approved services, the date of onset of services, and the next review date, if any, as well as a statement of the individual's rights to further appeal.
- (ii) RULE OF CONSTRUCTION.—Clause (i) shall not be construed as requiring plans or issuers to provide coverage of care that would exceed the coverage limitations for such care.
- (2) RETROSPECTIVE DETERMINATION.—A group health plan, or health insurance issuer offering health insurance coverage, shall make a retrospective determination on a claim for benefits in accordance with the medical exigencies of the case and as soon as possible, but not later than 30 days after the date on which the plan or issuer receives information that is reasonably necessary to enable the plan or issuer to make a determination on the claim, or, if earlier, 60 days after the date of receipt of the claim for benefits.
- (c) NOTICE OF A DENIAL OF A CLAIM FOR BENEFITS.—Written notice of a denial made under an initial claim for benefits shall be issued to the participant, beneficiary, or enrollee (or authorized representative) and the treating health care professional in accordance with the medical exigencies of the case and as soon as possible, but in no case later than 2 days after the date of the determination (or, in the case described in subparagraph (B) or (C) of subsection (b)(1), within the 72-hour or applicable period referred to in such subparagraph).
- (d) REQUIREMENTS OF NOTICE OF DETERMINATIONS.—The written notice of a denial of a claim for benefits determination under subsection (c) shall be provided in printed form and written in a manner calculated to be understood by the average participant, beneficiary, or enrollee and shall include—
- (1) the specific reasons for the determination (including a summary of the clinical or scientific evidence used in making the determination);
- (2) the procedures for obtaining additional information concerning the determination; and
- (3) notification of the right to appeal the determination and instructions on how to initiate an appeal in accordance with section 103.
- (e) DEFINITIONS.—For purposes of this part:
 (1) AUTHORIZED REPRESENTATIVE.—The term "authorized representative" means, with respect to an individual who is a participant, beneficiary, or enrollee, any health care professional or other person acting on behalf of the individual with the individual's consent or without such consent if the individual is medically unable to provide such consent.
- (2) CLAIM FOR BENEFITS.—The term "claim for benefits" means any request for coverage (including authorization of coverage), for eligibility, or for payment in whole or in part, for an item or service under a group health plan or health insurance coverage.
- (3) DENIAL OF CLAIM FOR BENEFITS.—The term "denial" means, with respect to a claim for benefits, a denial (in whole or in part) of, or a failure to act on a timely basis upon, the claim for benefits and includes a failure to provide benefits (including items

- and services) required to be provided under this title.
- (4) Treating health care professional.—The term "treating health care professional" means, with respect to services to be provided to a participant, beneficiary, or enrollee, a health care professional who is primarily responsible for delivering those services to the participant, beneficiary, or enrollee.

SEC. 103. INTERNAL APPEALS OF CLAIMS DENIALS.

- (a) RIGHT TO INTERNAL APPEAL.—
- (1) IN GENERAL.—A participant, beneficiary, or enrollee (or authorized representative) may appeal any denial of a claim for benefits under section 102 under the procedures described in this section.
 - (2) Time for appeal.—
- (A) IN GENERAL.—A group health plan, or health insurance issuer offering health insurance coverage, shall ensure that a participant, beneficiary, or enrollee (or authorized representative) has a period of not less than 180 days beginning on the date of a denial of a claim for benefits under section 102 in which to appeal such denial under this section.
- (B) DATE OF DENIAL.—For purposes of subparagraph (A), the date of the denial shall be deemed to be the date as of which the participant, beneficiary, or enrollee knew of the denial of the claim for benefits.
- (3) FAILURE TO ACT.—The failure of a plan or issuer to issue a determination on a claim for benefits under section 102 within the applicable timeline established for such a determination under such section is a denial of a claim for benefits for purposes this subtitle as of the date of the applicable deadline.
- (4) PLAN WAIVER OF INTERNAL REVIEW.—A group health plan, or health insurance issuer offering health insurance coverage, may waive the internal review process under this section. In such case the plan or issuer shall provide notice to the participant, beneficiary, or enrollee (or authorized representative) involved, the participant, beneficiary, or enrollee (or authorized representative) involved shall be relieved of any obligation to complete the internal review involved, and may, at the option of such participant, beneficiary, enrollee, or representative proceed directly to seek further appeal through external review under section 104 or otherwise.
- (b) Timelines for Making Determinations.—
- (1) ORAL REQUESTS.—In the case of an appeal of a denial of a claim for benefits under this section that involves an expedited or concurrent determination, a participant, beneficiary, or enrollee (or authorized representative) may request such appeal orally. A group health plan, or health insurance issuer offering health insurance coverage, may require that the participant, beneficiary, or enrollee (or authorized representative) provide written confirmation of such request in a timely manner on a form provided by the plan or issuer. In the case of such an oral request for an appeal of a denial, the making of the request (and the timing of such request) shall be treated as the making at that time of a request for an appeal without regard to whether and when a written confirmation of such request is made.
 - (2) Access to information.—
- (A) TIMELY PROVISION OF NECESSARY INFORMATION.—With respect to an appeal of a denial of a claim for benefits, the participant, beneficiary, or enrollee (or authorized representative) and the treating health care professional (if any) shall provide the plan or

issuer with access to information requested by the plan or issuer that is necessary to make a determination relating to the appeal. Such access shall be provided not later than 5 days after the date on which the request for information is received, or, in a case described in subparagraph (B) or (C) of paragraph (3), by such earlier time as may be necessary to comply with the applicable timeline under such subparagraph.

(B) LIMITED EFFECT OF FAILURE ON PLAN OR ISSUER'S OBLIGATIONS.—Failure of the participant, beneficiary, or enrollee to comply with the requirements of subparagraph (A) shall not remove the obligation of the plan or issuer to make a decision in accordance with the medical exigencies of the case and as soon as possible, based on the available information, and failure to comply with the time limit established by this paragraph shall not remove the obligation of the plan or issuer to comply with the requirements of this section.

- (3) PRIOR AUTHORIZATION DETERMINATIONS.—
- (A) IN GENERAL.—A group health plan, or health insurance issuer offering health insurance coverage, shall make a determination on an appeal of a denial of a claim for benefits under this subsection in accordance with the medical exigencies of the case and as soon as possible, but in no case later than 14 days from the date on which the plan or issuer receives information that is reasonably necessary to enable the plan or issuer to make a determination on the appeal and in no case later than 28 days after the date the request for the appeal is received.
- (B) EXPEDITED DETERMINATION.—Notwithstanding subparagraph (A), a group health plan, or health insurance issuer offering health insurance coverage, shall expedite a prior authorization determination on an appeal of a denial of a claim for benefits described in subparagraph (A), when a request for such an expedited determination is made by a participant, beneficiary, or enrollee (or authorized representative) at any time during the process for making a determination and a health care professional certifies, with the request, that a determination under the procedures described in subparagraph (A) would seriously jeopardize the life or health of the participant, beneficiary, or enrollee or the ability of the participant, beneficiary, or enrollee to maintain or regain maximum function. Such determination shall be made in accordance with the medical exigencies of the case and as soon as possible, but in no case later than 72 hours after the time the request for such appeal is received by the plan or issuer under this subparagraph.
 - (C) ONGOING CARE DETERMINATIONS.—
- (i) In general.—Subject to clause (ii), in the case of a concurrent review determination described in section 102(b)(1)(C)(i)(I), which results in a termination or reduction of such care, the plan or issuer must provide notice of the determination on the appeal under this section by telephone and in printed form to the individual or the individual's designee and the individual's health care provider in accordance with the medical exigencies of the case and as soon as possible, with sufficient time prior to the termination or reduction to allow for an external appeal under section 104 to be completed before the termination or reduction takes effect.
- (ii) RULE OF CONSTRUCTION.—Clause (i) shall not be construed as requiring plans or issuers to provide coverage of care that would exceed the coverage limitations for such care
- (4) RETROSPECTIVE DETERMINATION.—A group health plan, or health insurance issuer

offering health insurance coverage, shall make a retrospective determination on an appeal of a claim for benefits in no case later than 30 days after the date on which the plan or issuer receives necessary information that is reasonably necessary to enable the plan or issuer to make a determination on the appeal and in no case later than 60 days after the date the request for the appeal is received.

- (c) CONDUCT OF REVIEW.—
- (1) IN GENERAL.—A review of a denial of a claim for benefits under this section shall be conducted by an individual with appropriate expertise who was not involved in the initial determination.
- (2) REVIEW OF MEDICAL DECISIONS BY PHYSICIANS.—A review of an appeal of a denial of a claim for benefits that is based on a lack of medical necessity and appropriateness, or based on an experimental or investigational treatment, or requires an evaluation of medical facts, shall be made by a physician (allopathic or osteopathic) with appropriate expertise (including, in the case of a child, appropriate pediatric expertise) who was not involved in the initial determination.
 - (d) Notice of Determination.—
- (1) In GENERAL.—Written notice of a determination made under an internal appeal of a denial of a claim for benefits shall be issued to the participant, beneficiary, or enrollee (or authorized representative) and the treating health care professional in accordance with the medical exigencies of the case and as soon as possible, but in no case later than 2 days after the date of completion of the review (or, in the case described in subparagraph (B) or (C) of subsection (b)(3), within the 72-hour or applicable period referred to in such subparagraph).
- (2) FINAL DETERMINATION.—The decision by a plan or issuer under this section shall be treated as the final determination of the plan or issuer on a denial of a claim for benefits. The failure of a plan or issuer to issue a determination on an appeal of a denial of a claim for benefits under this section within the applicable timeline established for such a determination shall be treated as a final determination on an appeal of a denial of a claim for benefits for purposes of proceeding to external review under section 104.
- (3) REQUIREMENTS OF NOTICE.—With respect to a determination made under this section, the notice described in paragraph (1) shall be provided in printed form and written in a manner calculated to be understood by the average participant, beneficiary, or enrollee and shall include—
- (A) the specific reasons for the determination (including a summary of the clinical or scientific evidence used in making the determination):
- (B) the procedures for obtaining additional information concerning the determination; and
- (C) notification of the right to an independent external review under section 104 and instructions on how to initiate such a review.

SEC. 104. INDEPENDENT EXTERNAL APPEALS PROCEDURES.

- (a) RIGHT TO EXTERNAL APPEAL.—A group health plan, and a health insurance issuer offering health insurance coverage, shall provide in accordance with this section participants, beneficiaries, and enrollees (or authorized representatives) with access to an independent external review for any denial of a claim for benefits.
- (b) INITIATION OF THE INDEPENDENT EXTERNAL REVIEW PROCESS.—
- (1) TIME TO FILE.—A request for an independent external review under this section

shall be filed with the plan or issuer not later than 180 days after the date on which the participant, beneficiary, or enrollee receives notice of the denial under section 103(d) or notice of waiver of internal review under section 103(a)(4) or the date on which the plan or issuer has failed to make a timely decision under section 103(d)(2) and notifies the participant or beneficiary that it has failed to make a timely decision and that the beneficiary must file an appeal with an external review entity within 180 days if the participant or beneficiary desires to file such an appeal.

- (2) FILING OF REQUEST.—
- (A) IN GENERAL.—Subject to the succeeding provisions of this subsection, a group health plan, and a health insurance issuer offering health insurance coverage, may—
- (i) except as provided in subparagraph (B)(i), require that a request for review be in writing:
- (ii) limit the filing of such a request to the participant, beneficiary, or enrollee involved (or an authorized representative);
- (iii) except if waived by the plan or issuer under section 103(a)(4), condition access to an independent external review under this section upon a final determination of a denial of a claim for benefits under the internal review procedure under section 103:
- (iv) except as provided in subparagraph (B)(ii), require payment of a filing fee to the plan or issuer of a sum that does not exceed \$25; and
- (v) require that a request for review include the consent of the participant, beneficiary, or enrollee (or authorized representative) for the release of necessary medical information or records of the participant, beneficiary, or enrollee to the qualified external review entity only for purposes of conducting external review activities.
- (B) REQUIREMENTS AND EXCEPTION RELATING TO GENERAL RULE.—
- (i) Oral requests permitted in expedited OR CONCURRENT CASES.-In the case of an expedited or concurrent external review as provided for under subsection (e), the request may be made orally. A group health plan, or health insurance issuer offering health insurance coverage, may require that the participant, beneficiary, or enrollee (or authorized representative) provide written confirmation of such request in a timely manner on a form provided by the plan or issuer. Such written confirmation shall be treated as a consent for purposes of subparagraph (A)(v). In the case of such an oral request for such a review, the making of the request (and the timing of such request) shall be treated as the making at that time of a request for such an external review without regard to whether and when a written confirmation of such request is made.
- (ii) Exception to filing fee requirement.—
- (I) INDIGENCY.—Payment of a filing fee shall not be required under subparagraph (A)(iv) where there is a certification (in a form and manner specified in guidelines established by the appropriate Secretary) that the participant, beneficiary, or enrollee is indigent (as defined in such guidelines).
- (II) FEE NOT REQUIRED.—Payment of a filing fee shall not be required under subparagraph (A)(iv) if the plan or issuer waives the internal appeals process under section 103(a)(4).
- (III) REFUNDING OF FEE.—The filing fee paid under subparagraph (A)(iv) shall be refunded if the determination under the independent external review is to reverse or modify the denial which is the subject of the review.

- (IV) COLLECTION OF FILING FEE.—The failure to pay such a filing fee shall not prevent the consideration of a request for review but, subject to the preceding provisions of this clause, shall constitute a legal liability to pay.
- (c) REFERRAL TO QUALIFIED EXTERNAL REVIEW ENTITY UPON REQUEST.—
- (1) IN GENERAL.—Upon the filing of a request for independent external review with the group health plan, or health insurance issuer offering health insurance coverage, the plan or issuer shall immediately refer such request, and forward the plan or issuer's initial decision (including the information described in section 103(d)(3)(A)), to a qualified external review entity selected in accordance with this section.
- (2) ACCESS TO PLAN OR ISSUER AND HEALTH PROFESSIONAL INFORMATION.—With respect to an independent external review conducted under this section, the participant, beneficiary, or enrollee (or authorized representative), the plan or issuer, and the treating health care professional (if any) shall provide the external review entity with information that is necessary to conduct a review under this section, as determined and requested by the entity. Such information shall be provided not later than 5 days after the date on which the request for information is received, or, in a case described in clause (ii) or (iii) of subsection (e)(1)(A), by such earlier time as may be necessary to comply with the applicable timeline under such clause.
- (3) SCREENING OF REQUESTS BY QUALIFIED EXTERNAL REVIEW ENTITIES.—
- (A) IN GENERAL.—With respect to a request referred to a qualified external review entity under paragraph (1) relating to a denial of a claim for benefits, the entity shall refer such request for the conduct of an independent medical review unless the entity determines that—
- (i) any of the conditions described in clauses (ii) or (iii) of subsection (b)(2)(A) have not been met;
- (ii) the denial of the claim for benefits does not involve a medically reviewable decision under subsection (d)(2);
- (iii) the denial of the claim for benefits relates to a decision regarding whether an individual is a participant, beneficiary, or enrollee who is enrolled under the terms and conditions of the plan or coverage (including the applicability of any waiting period under the plan or coverage); or
- (iv) the denial of the claim for benefits is a decision as to the application of cost-sharing requirements or the application of a specific exclusion or express limitation on the amount, duration, or scope of coverage of items or services under the terms and conditions of the plan or coverage unless the decision is a denial described in subsection (d)(2). Upon making a determination that any of clauses (i) through (iv) applies with respect to the request, the entity shall determine that the denial of a claim for benefits involved is not eligible for independent medical review under subsection (d), and shall provide notice in accordance with subparagraph (C).
- (B) PROCESS FOR MAKING DETERMINATIONS.-
- (i) No DEFERENCE TO PRIOR DETERMINATIONS.—In making determinations under subparagraph (A), there shall be no deference given to determinations made by the plan or issuer or the recommendation of a treating health care professional (if any).
- (ii) USE OF APPROPRIATE PERSONNEL.—A qualified external review entity shall use ap-

- propriately qualified personnel to make determinations under this section.
- (C) NOTICES AND GENERAL TIMELINES FOR DETERMINATION.—
- (i) NOTICE IN CASE OF DENIAL OF REFERRAL.—If the entity under this paragraph does not make a referral to an independent medical reviewer, the entity shall provide notice to the plan or issuer, the participant, beneficiary, or enrollee (or authorized representative) filing the request, and the treating health care professional (if any) that the denial is not subject to independent medical review. Such notice—
- (I) shall be written (and, in addition, may be provided orally) in a manner calculated to be understood by an average participant or enrollee:
- (II) shall include the reasons for the determination;
- (III) include any relevant terms and conditions of the plan or coverage; and
- (IV) include a description of any further recourse available to the individual.
- (ii) GENERAL TIMELINE FOR DETERMINA-TIONS.—Upon receipt of information under paragraph (2), the qualified external review entity, and if required the independent medical reviewer, shall make a determination within the overall timeline that is applicable to the case under review as described in subsection (e), except that if the entity determines that a referral to an independent medical reviewer is not required, the entity shall provide notice of such determination to the participant, beneficiary, or enrollee (or auwithin thorized representative) such timeline and within 2 days of the date of such determination.
 - (d) INDEPENDENT MEDICAL REVIEW.-
- (1) IN GENERAL.—If a qualified external review entity determines under subsection (c) that a denial of a claim for benefits is eligible for independent medical review, the entity shall refer the denial involved to an independent medical reviewer for the conduct of an independent medical review under this subsection.
- (2) MEDICALLY REVIEWABLE DECISIONS.—A denial of a claim for benefits is eligible for independent medical review if the benefit for the item or service for which the claim is made would be a covered benefit under the terms and conditions of the plan or coverage but for one (or more) of the following determinations:
- (A) DENIALS BASED ON MEDICAL NECESSITY AND APPROPRIATENESS.—A determination that the item or service is not covered because it is not medically necessary and appropriate or based on the application of substantially equivalent terms.
- (B) DENIALS BASED ON EXPERIMENTAL OR IN-VESTIGATIONAL TREATMENT.—A determination that the item or service is not covered because it is experimental or investigational or based on the application of substantially equivalent terms.
- (C) DENIALS OTHERWISE BASED ON AN EVALUATION OF MEDICAL FACTS.—A determination that the item or service or condition is not covered based on grounds that require an evaluation of the medical facts by a health care professional in the specific case involved to determine the coverage and extent of coverage of the item or service or condition.
- (3) Independent medical review determination.—
- (A) IN GENERAL.—An independent medical reviewer under this section shall make a new independent determination with respect to whether or not the denial of a claim for a benefit that is the subject of the review should be upheld, reversed, or modified.

- (B) STANDARD FOR DETERMINATION.—The independent medical reviewer's determination relating to the medical necessity and appropriateness, or the experimental or investigation nature, or the evaluation of the medical facts of the item, service, or condition shall be based on the medical condition of the participant, beneficiary, or enrollee (including the medical records of the participant, beneficiary, or enrollee) and valid, relevant scientific evidence and clinical evidence, including peer-reviewed medical literature or findings and including expert opinion.
- (C) No coverage for excluded benefits.—Nothing in this subsection shall be construed to permit an independent medical reviewer to require that a group health plan, or health insurance issuer offering health insurance coverage, provide coverage for items or services for which benefits are specifically excluded or expressly limited under the plan or coverage in the plain language of the plan document (and which are disclosed under section 121(b)(1)(C)) except to the extent that the application or interpretation of the exclusion or limitation involves a determination described in paragraph (2).
- (D) EVIDENCE AND INFORMATION TO BE USED IN MEDICAL REVIEWS.—In making a determination under this subsection, the independent medical reviewer shall also consider appropriate and available evidence and information, including the following:
- (i) The determination made by the plan or issuer with respect to the claim upon internal review and the evidence, guidelines, or rationale used by the plan or issuer in reaching such determination.
- (ii) The recommendation of the treating health care professional and the evidence, guidelines, and rationale used by the treating health care professional in reaching such recommendation.
- (iii) Additional relevant evidence or information obtained by the reviewer or submitted by the plan, issuer, participant, beneficiary, or enrollee (or an authorized representative), or treating health care professional
 - (iv) The plan or coverage document.
- (E) INDEPENDENT DETERMINATION.—In making determinations under this subtitle, a qualified external review entity and an independent medical reviewer shall—
- (i) consider the claim under review without deference to the determinations made by the plan or issuer or the recommendation of the treating health care professional (if any); and
- (ii) consider, but not be bound by the definition used by the plan or issuer of "medically necessary and appropriate", or "experimental or investigational", or other substantially equivalent terms that are used by the plan or issuer to describe medical necessity and appropriateness or experimental or investigational nature of the treatment.
- (F) DETERMINATION OF INDEPENDENT MEDICAL REVIEWER.—An independent medical reviewer shall, in accordance with the deadlines described in subsection (e), prepare a written determination to uphold, reverse, or modify the denial under review. Such written determination shall include—
 - (i) the determination of the reviewer;
- (ii) the specific reasons of the reviewer for such determination, including a summary of the clinical or scientific evidence used in making the determination; and
- (iii) with respect to a determination to reverse or modify the denial under review, a timeframe within which the plan or issuer must comply with such determination.

- (G) Nonbinding nature of addition to the determination under subparagraph (F), the reviewer may provide the plan or issuer and the treating health care professional with additional recommendations in connection with such a determination, but any such recommendations shall not affect (or be treated as part of) the determination and shall not be binding on the plan or issuer.
 - (e) Timelines and Notifications.—
- (1) TIMELINES FOR INDEPENDENT MEDICAL REVIEW.—
- (A) PRIOR AUTHORIZATION DETERMINATION.—
 (i) IN GENERAL.—The independent medical reviewer (or reviewers) shall make a determination on a denial of a claim for benefits that is referred to the reviewer under subsection (c)(3) in accordance with the medical exigencies of the case and as soon as possible, but in no case later than 14 days after the date of receipt of information under subsection (c)(2) if the review involves a prior authorization of items or services and in no case later than 21 days after the date the request for external review is received.
- (ii) EXPEDITED DETERMINATION.—Notwithstanding clause (i) and subject to clause (iii), the independent medical reviewer (or reviewers) shall make an expedited determination on a denial of a claim for benefits described in clause (i), when a request for such an expedited determination is made by a participant, beneficiary, or enrollee (or authorized representative) at any time during the process for making a determination, and a health care professional certifies, with the request, that a determination under the timeline described in clause (i) would seriously jeopardize the life or health of the participant, beneficiary, or enrollee or the ability of the participant, beneficiary, or enrollee to maintain or regain maximum function. Such determination shall be made as soon in accordance with the medical exigencies of the case and as soon as possible, but in no case later than 72 hours after the time the request for external review is received by the qualified external review entity.
- (iii) ONGOING CARE DETERMINATION.—Notwithstanding clause (i), in the case of a review described in such subclause that involves a termination or reduction of care, the notice of the determination shall be completed not later than 24 hours after the time the request for external review is received by the qualified external review entity and before the end of the approved period of care.
- (B) RETROSPECTIVE DETERMINATION.—The independent medical reviewer (or reviewers) shall complete a review in the case of a retrospective determination on an appeal of a denial of a claim for benefits that is referred to the reviewer under subsection (c)(3) in no case later than 30 days after the date of receipt of information under subsection (c)(2) and in no case later than 60 days after the date the request for external review is received by the qualified external review entity.
- (2) Notification of determination.—The external review entity shall ensure that the plan or issuer, the participant, beneficiary, or enrollee (or authorized representative) and the treating health care professional (if any) receives a copy of the written determination of the independent medical reviewer prepared under subsection (d)(3)(F). Nothing in this paragraph shall be construed as preventing an entity or reviewer from providing an initial oral notice of the reviewer's determination.
- (3) FORM OF NOTICES.—Determinations and notices under this subsection shall be writ-

ten in a manner calculated to be understood by an average participant.

- (f) Compliance.-
- (1) APPLICATION OF DETERMINATIONS.—
- (A) EXTERNAL REVIEW DETERMINATIONS BINDING ON PLAN.—The determinations of an external review entity and an independent medical reviewer under this section shall be binding upon the plan or issuer involved.
- (B) COMPLIANCE WITH DETERMINATION.—If the determination of an independent medical reviewer is to reverse or modify the denial, the plan or issuer, upon the receipt of such determination, shall authorize coverage to comply with the medical reviewer's determination in accordance with the timeframe established by the medical reviewer.
 - (2) FAILURE TO COMPLY.—
- (A) IN GENERAL.—If a plan or issuer fails to comply with the timeframe established under paragraph (1)(B) with respect to a participant, beneficiary, or enrollee, where such failure to comply is caused by the plan or issuer, the participant, beneficiary, or enrollee may obtain the items or services involved (in a manner consistent with the determination of the independent external reviewer) from any provider regardless of whether such provider is a participating provider under the plan or coverage.
 - (B) REIMBURSEMENT.—
- (i) IN GENERAL.—Where a participant, beneficiary, or enrollee obtains items or services in accordance with subparagraph (A), the plan or issuer involved shall provide for reimbursement of the costs of such items or services. Such reimbursement shall be made to the treating health care professional or to the participant, beneficiary, or enrollee (in the case of a participant, beneficiary, or enrollee who pays for the costs of such items or services).
- (ii) AMOUNT.—The plan or issuer shall fully reimburse a professional, participant, beneficiary, or enrollee under clause (i) for the total costs of the items or services provided (regardless of any plan limitations that may apply to the coverage of such items or services) so long as the items or services were provided in a manner consistent with the determination of the independent medical reviewer.
- (C) Failure to reimburse.—Where a plan or issuer fails to provide reimbursement to a professional, participant, beneficiary, or enrollee in accordance with this paragraph, the professional, participant, beneficiary, or enrollee may commence a civil action (or utilize other remedies available under law) to recover only the amount of any such reimbursement that is owed by the plan or issuer and any necessary legal costs or expenses (including attorney's fees) incurred in recovering such reimbursement.
- (D) AVAILABLE REMEDIES.—The remedies provided under this paragraph are in addition to any other available remedies.
- (3) PENALTIES AGAINST AUTHORIZED OFFI-CIALS FOR REFUSING TO AUTHORIZE THE DETER-MINATION OF AN EXTERNAL REVIEW ENTITY.—
- (A) MONETARY PENALTIES.—
- (i) In GENERAL.—In any case in which the determination of an external review entity is not followed by a group health plan, or by a health insurance issuer offering health insurance coverage, any person who, acting in the capacity of authorizing the benefit, causes such refusal may, in the discretion in a court of competent jurisdiction, be liable to an aggrieved participant, beneficiary, or enrollee for a civil penalty in an amount of up to \$1,000 a day from the date on which the determination was transmitted to the plan or issuer by the external review entity until the

- date the refusal to provide the benefit is corrected.
- (ii) ADDITIONAL PENALTY FOR FAILING TO FOLLOW TIMELINE.—In any case in which treatment was not commenced by the plan in accordance with the determination of an independent external reviewer, the Secretary shall assess a civil penalty of \$10,000 against the plan and the plan shall pay such penalty to the participant, beneficiary, or enrollee involved.

(B) CEASE AND DESIST ORDER AND ORDER OF ATTORNEY'S FEES.-In any action described in subparagraph (A) brought by a participant, beneficiary, or enrollee with respect to a group health plan, or a health insurance issuer offering health insurance coverage, in which a plaintiff alleges that a person referred to in such subparagraph has taken an action resulting in a refusal of a benefit determined by an external appeal entity to be covered, or has failed to take an action for which such person is responsible under the terms and conditions of the plan or coverage and which is necessary under the plan or coverage for authorizing a benefit, the court shall cause to be served on the defendant an order requiring the defendant-

- (i) to cease and desist from the alleged action or failure to act; and
- (ii) to pay to the plaintiff a reasonable attorney's fee and other reasonable costs relating to the prosecution of the action on the charges on which the plaintiff prevails.
 - (C) ADDITIONAL CIVIL PENALTIES.
- (i) IN GENERAL.—In addition to any penalty imposed under subparagraph (A) or (B), the appropriate Secretary may assess a civil penalty against a person acting in the capacity of authorizing a benefit determined by an external review entity for one or more group health plans, or health insurance issuers offering health insurance coverage, for—
- (I) any pattern or practice of repeated refusal to authorize a benefit determined by an external appeal entity to be covered; or
- (II) any pattern or practice of repeated violations of the requirements of this section with respect to such plan or coverage.
- (ii) STANDARD OF PROOF AND AMOUNT OF PENALTY.—Such penalty shall be payable only upon proof by clear and convincing evidence of such pattern or practice and shall be in an amount not to exceed the lesser of—
- (I) 25 percent of the aggregate value of benefits shown by the appropriate Secretary to have not been provided, or unlawfully delayed, in violation of this section under such pattern or practice; or
- (II) \$500,000.
- (D) REMOVAL AND DISQUALIFICATION.—Any person acting in the capacity of authorizing benefits who has engaged in any such pattern or practice described in subparagraph (C)(i) with respect to a plan or coverage, upon the petition of the appropriate Secretary, may be removed by the court from such position, and from any other involvement, with respect to such a plan or coverage, and may be precluded from returning to any such position or involvement for a period determined by the court.
- (4) PROTECTION OF LEGAL RIGHTS.—Nothing in this subsection or subtitle shall be construed as altering or eliminating any cause of action or legal rights or remedies of participants, beneficiaries, enrollees, and others under State or Federal law (including sections 502 and 503 of the Employee Retirement Income Security Act of 1974), including the right to file judicial actions to enforce rights.
- (g) QUALIFICATIONS OF INDEPENDENT MEDICAL REVIEWERS.—

- (1) IN GENERAL.—In referring a denial to 1 or more individuals to conduct independent medical review under subsection (c), the qualified external review entity shall ensure that.—
- (A) each independent medical reviewer meets the qualifications described in paragraphs (2) and (3);
- (B) with respect to each review at least 1 such reviewer meets the requirements described in paragraphs (4) and (5); and
- (C) compensation provided by the entity to the reviewer is consistent with paragraph (6).
- (2) LICENSURE AND EXPERTISE.—Each independent medical reviewer shall be a physician (allopathic or osteopathic) or health care professional who—
- (A) is appropriately credentialed or licensed in 1 or more States to deliver health care services; and
- (B) typically treats the condition, makes the diagnosis, or provides the type of treatment under review.
- (3) Independence.—
- (A) IN GENERAL.—Subject to subparagraph (B), each independent medical reviewer in a case shall—
- (i) not be a related party (as defined in paragraph (7)):
- (ii) not have a material familial, financial, or professional relationship with such a party; and
- (iii) not otherwise have a conflict of interest with such a party (as determined under regulations).
- (B) EXCEPTION.—Nothing in subparagraph (A) shall be construed to—
- (i) prohibit an individual, solely on the basis of affiliation with the plan or issuer, from serving as an independent medical reviewer if—
- (I) a non-affiliated individual is not reasonably available;
- (II) the affiliated individual is not involved in the provision of items or services in the case under review;
- (III) the fact of such an affiliation is disclosed to the plan or issuer and the participant, beneficiary, or enrollee (or authorized representative) and neither party objects;
- (IV) the affiliated individual is not an employee of the plan or issuer and does not provide services exclusively or primarily to or on behalf of the plan or issuer;
- (ii) prohibit an individual who has staff privileges at the institution where the treatment involved takes place from serving as an independent medical reviewer merely on the basis of such affiliation if the affiliation is disclosed to the plan or issuer and the participant, beneficiary, or enrollee (or authorized representative), and neither party objects; or
- (iii) prohibit receipt of compensation by an independent medical reviewer from an entity if the compensation is provided consistent with paragraph (6).
- (4) Practicing health care professional in same field.—
- (A) IN GENERAL.—In a case involving treatment, or the provision of items or services—
- (i) by a physician, a reviewer shall be a practicing physician (allopathic or osteopathic) of the same or similar specialty, as a physician who typically treats the condition, makes the diagnosis, or provides the type of treatment under review; or
- (ii) by a health care professional (other than a physician), a reviewer shall be a practicing physician (allopathic or osteopathic) or, if determined appropriate by the qualified external review entity, a practicing health care professional (other than such a physician), of the same or similar specialty

- as the health care professional who typically treats the condition, makes the diagnosis, or provides the type of treatment under review.
- (B) PRACTICING DEFINED.—For purposes of this paragraph, the term "practicing" means, with respect to an individual who is a physician or other health care professional that the individual provides health care services to individual patients on average at least 2 days per week.
- (5) PEDIATRIC EXPERTISE.—In the case of an external review relating to a child, a reviewer shall have expertise under paragraph (2) in pediatrics.
- (6) LIMITATIONS ON REVIEWER COMPENSA-TION.—Compensation provided by a qualified external review entity to an independent medical reviewer in connection with a review under this section shall—
 - (A) not exceed a reasonable level; and
- (B) not be contingent on the decision rendered by the reviewer.
- (7) RELATED PARTY DEFINED.—For purposes of this section, the term "related party" means, with respect to a denial of a claim under a plan or coverage relating to a participant, beneficiary, or enrollee, any of the following:
- (A) The plan, plan sponsor, or issuer involved, or any fiduciary, officer, director, or employee of such plan, plan sponsor, or issuer.
- (B) The participant, beneficiary, or enrollee (or authorized representative).
- (C) The health care professional that provides the items or services involved in the denial.
- (D) The institution at which the items or services (or treatment) involved in the denial are provided.
- (E) The manufacturer of any drug or other item that is included in the items or services involved in the denial.
- (F) Any other party determined under any regulations to have a substantial interest in the denial involved.
- (h) QUALIFIED EXTERNAL REVIEW ENTI-
- (1) SELECTION OF QUALIFIED EXTERNAL REVIEW ENTITIES.—
- (A) LIMITATION ON PLAN OR ISSUER SELECTION.—The appropriate Secretary shall implement procedures—
- (i) to assure that the selection process among qualified external review entities will not create any incentives for external review entities to make a decision in a biased manner; and
- (ii) for auditing a sample of decisions by such entities to assure that no such decisions are made in a biased manner.

No such selection process under the procedures implemented by the appropriate Secretary may give either the patient or the plan or issuer any ability to determine or influence the selection of a qualified external review entity to review the case of any participant, beneficiary, or enrollee.

- (B) STATE AUTHORITY WITH RESPECT TO QUALIFIED EXTERNAL REVIEW ENTITIES FOR HEALTH INSURANCE ISSUERS.—With respect to health insurance issuers offering health insurance coverage in a State, the State may provide for external review activities to be conducted by a qualified external appeal entity that is designated by the State or that is selected by the State in a manner determined by the State to assure an unbiased determination.
- (2) CONTRACT WITH QUALIFIED EXTERNAL REVIEW ENTITY.—Except as provided in paragraph (1)(B), the external review process of plan or issuer under this section shall be conducted under a contract between the plan

- or issuer and 1 or more qualified external review entities (as defined in paragraph (4)(A)).
- (3) TERMS AND CONDITIONS OF CONTRACT.— The terms and conditions of a contract under paragraph (2) shall—
- (A) be consistent with the standards the appropriate Secretary shall establish to assure there is no real or apparent conflict of interest in the conduct of external review activities: and
- (B) provide that the costs of the external review process shall be borne by the plan or issuer.

Subparagraph (B) shall not be construed as applying to the imposition of a filing fee under subsection (b)(2)(A)(iv) or costs incurred by the participant, beneficiary, or enrollee (or authorized representative) or treating health care professional (if any) in support of the review, including the provision of additional evidence or information.

- (4) QUALIFICATIONS.—
- (A) IN GENERAL.—In this section, the term "qualified external review entity" means, in relation to a plan or issuer, an entity that is initially certified (and periodically recertified) under subparagraph (C) as meeting the following requirements:
- (i) The entity has (directly or through contracts or other arrangements) sufficient medical, legal, and other expertise and sufficient staffing to carry out duties of a qualified external review entity under this section on a timely basis, including making determinations under subsection (b)(2)(A) and providing for independent medical reviews under subsection (d).
- (ii) The entity is not a plan or issuer or an affiliate or a subsidiary of a plan or issuer, and is not an affiliate or subsidiary of a professional or trade association of plans or issuers or of health care providers.
- (iii) The entity has provided assurances that it will conduct external review activities consistent with the applicable requirements of this section and standards specified in subparagraph (C), including that it will not conduct any external review activities in a case unless the independence requirements of subparagraph (B) are met with respect to the case.
- (iv) The entity has provided assurances that it will provide information in a timely manner under subparagraph (D).
- (v) The entity meets such other requirements as the appropriate Secretary provides by regulation.
 - (B) INDEPENDENCE REQUIREMENTS.—
- (i) In GENERAL.—Subject to clause (ii), an entity meets the independence requirements of this subparagraph with respect to any case if the entity—
- (I) is not a related party (as defined in subsection (g)(7));
- (II) does not have a material familial, financial, or professional relationship with such a party; and
- (III) does not otherwise have a conflict of interest with such a party (as determined under regulations).
- (ii) EXCEPTION FOR REASONABLE COMPENSATION.—Nothing in clause (i) shall be construed to prohibit receipt by a qualified external review entity of compensation from a plan or issuer for the conduct of external review activities under this section if the compensation is provided consistent with clause (iii)
- (iii) LIMITATIONS ON ENTITY COMPENSA-TION.—Compensation provided by a plan or issuer to a qualified external review entity in connection with reviews under this sec-
 - (I) not exceed a reasonable level: and

- (II) not be contingent on any decision rendered by the entity or by any independent medical reviewer.
- (C) CERTIFICATION AND RECERTIFICATION PROCESS —
- (i) IN GENERAL.—The initial certification and recertification of a qualified external review entity shall be made—
- (I) under a process that is recognized or approved by the appropriate Secretary; or
- (II) by a qualified private standard-setting organization that is approved by the appropriate Secretary under clause (iii).

In taking action under subclause (I), the appropriate Secretary shall give deference to entities that are under contract with the Federal Government or with an applicable State authority to perform functions of the type performed by qualified external review entities.

- (ii) PROCESS.—The appropriate Secretary shall not recognize or approve a process under clause (i)(I) unless the process applies standards (as promulgated in regulations) that ensure that a qualified external review entity—
- (I) will carry out (and has carried out, in the case of recertification) the responsibilities of such an entity in accordance with this section, including meeting applicable deadlines:
- (II) will meet (and has met, in the case of recertification) appropriate indicators of fiscal integrity;
- (III) will maintain (and has maintained, in the case of recertification) appropriate confidentiality with respect to individually identifiable health information obtained in the course of conducting external review activities; and
- (IV) in the case recertification, shall review the matters described in clause (iv).
- (iii) APPROVAL OF QUALIFIED PRIVATE STANDARD-SETTING ORGANIZATIONS.—For purposes of clause (i)(II), the appropriate Secretary may approve a qualified private standard-setting organization if such Secretary finds that the organization only certifies (or recertifies) external review entities that meet at least the standards required for the certification (or recertification) of external review entities under clause (ii).
- (iv) CONSIDERATIONS IN RECERTIFICATIONS.—In conducting recertifications of a qualified external review entity under this paragraph, the appropriate Secretary or organization conducting the recertification shall review compliance of the entity with the requirements for conducting external review activities under this section, including the following:
- (I) Provision of information under subparagraph (D).
- (II) Adherence to applicable deadlines (both by the entity and by independent medical reviewers it refers cases to).
- (III) Compliance with limitations on compensation (with respect to both the entity and independent medical reviewers it refers cases to).
- (IV) Compliance with applicable independence requirements.
- (v) PERIOD OF CERTIFICATION OR RECERTIFICATION.—A certification or recertification provided under this paragraph shall extend for a period not to exceed 2 years.
- (vi) REVOCATION.—A certification or recertification under this paragraph may be revoked by the appropriate Secretary or by the organization providing such certification upon a showing of cause.
- (vii) SUFFICIENT NUMBER OF ENTITIES.—The appropriate Secretary shall certify and recertify a number of external review entities

which is sufficient to ensure the timely and efficient provision of review services.

- (D) PROVISION OF INFORMATION.-
- (i) IN GENERAL.—A qualified external review entity shall provide to the appropriate Secretary, in such manner and at such times as such Secretary may require, such information (relating to the denials which have been referred to the entity for the conduct of external review under this section) as such Secretary determines appropriate to assure compliance with the independence and other requirements of this section to monitor and assess the quality of its external review activities and lack of bias in making determinations. Such information shall include information described in clause (ii) but shall not include individually identifiable medical information.
- (ii) INFORMATION TO BE INCLUDED.—The information described in this subclause with respect to an entity is as follows:
- (I) The number and types of denials for which a request for review has been received by the entity.
- (II) The disposition by the entity of such denials, including the number referred to a independent medical reviewer and the reasons for such dispositions (including the application of exclusions), on a plan or issuerspecific basis and on a health care specialty-specific basis.
- (III) The length of time in making determinations with respect to such denials
- (IV) Updated information on the information required to be submitted as a condition of certification with respect to the entity's performance of external review activities.
- (iii) INFORMATION TO BE PROVIDED TO CERTI-FYING ORGANIZATION —
- (I) In GENERAL.—In the case of a qualified external review entity which is certified (or recertified) under this subsection by a qualified private standard-setting organization, at the request of the organization, the entity shall provide the organization with the information provided to the appropriate Secretary under clause (i).
- (II) ADDITIONAL INFORMATION.—Nothing in this subparagraph shall be construed as preventing such an organization from requiring additional information as a condition of certification or recertification of an entity.
- (iv) USE OF INFORMATION.—Information provided under this subparagraph may be used by the appropriate Secretary and qualified private standard-setting organizations to conduct oversight of qualified external review entities, including recertification of such entities, and shall be made available to the public in an appropriate manner.
- (E) LIMITATION ON LIABILITY.—No qualified external review entity having a contract with a plan or issuer, and no person who is employed by any such entity or who furnishes professional services to such entity (including as an independent medical reviewer), shall be held by reason of the performance of any duty, function, or activity required or authorized pursuant to this section, to be civilly liable under any law of the United States or of any State (or political subdivision thereof) if there was no actual malice or gross misconduct in the performance of such duty, function, or activity.

Subtitle B—Access to Care SEC. 111. CONSUMER CHOICE OPTION.

(a) IN GENERAL.—If—

(1) a health insurance issuer providing health insurance coverage in connection with a group health plan offers to enrollees health insurance coverage which provides for coverage of services only if such services are furnished through health care professionals

- and providers who are members of a network of health care professionals and providers who have entered into a contract with the issuer to provide such services, or
- (2) a group health plan offers to participants or beneficiaries health benefits which provide for coverage of services only if such services are furnished through health care professionals and providers who are members of a network of health care professionals and providers who have entered into a contract with the plan to provide such services,

then the issuer or plan shall also offer or arrange to be offered to such enrollees, participants, or beneficiaries (at the time of enrollement and during an annual open season as provided under subsection (c)) the option of health insurance coverage or health benefits which provide for coverage of such services which are not furnished through health care professionals and providers who are members of such a network unless such enrollees, participants, or beneficiaries are offered such non-network coverage through another group health plan or through another health insurance issuer in the group market.

(b) ADDITIONAL COSTS.—The amount of any

(b) ADDITIONAL COSTS.—The amount of any additional premium charged by the health insurance issuer or group health plan for the additional cost of the creation and maintenance of the option described in subsection (a) and the amount of any additional cost sharing imposed under such option shall be borne by the enrollee, participant, or beneficiary unless it is paid by the health plan sponsor or group health plan through agreement with the health insurance issuer.

(c) OPEN SEASON.—An enrollee, participant, or beneficiary, may change to the offering provided under this section only during a time period determined by the health insurance issuer or group health plan. Such time period shall occur at least annually.

SEC. 112. CHOICE OF HEALTH CARE PROFESSIONAL.

- (a) PRIMARY CARE.—If a group health plan, or a health insurance issuer that offers health insurance coverage, requires or provides for designation by a participant, beneficiary, or enrollee of a participating primary care provider, then the plan or issuer shall permit each participant, beneficiary, and enrollee to designate any participating primary care provider who is available to accept such individual.
 - (b) Specialists.—
- (1) In GENERAL.—Subject to paragraph (2), a group health plan and a health insurance issuer that offers health insurance coverage shall permit each participant, beneficiary, or enrollee to receive medically necessary and appropriate specialty care, pursuant to appropriate referral procedures, from any qualified participating health care professional who is available to accept such individual for such care.
- (2) LIMITATION.—Paragraph (1) shall not apply to specialty care if the plan or issuer clearly informs participants, beneficiaries, and enrollees of the limitations on choice of participating health care professionals with respect to such care.
- (3) CONSTRUCTION.—Nothing in this subsection shall be construed as affecting the application of section 114 (relating to access to specialty care).

SEC. 113. ACCESS TO EMERGENCY CARE.

- (a) COVERAGE OF EMERGENCY SERVICES.—
- (1) IN GENERAL.—If a group health plan, or health insurance coverage offered by a health insurance issuer, provides or covers any benefits with respect to services in an emergency department of a hospital, the plan or issuer shall cover emergency services (as defined in paragraph (2)(B))—