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# ASLEEP AT THE SWITCH: FERC'S OVERSIGHT OF ENRON CORPORATION—VOL. IV

## HEARING

BEFORE THE

## COMMITTEE ON GOVERNMENTAL AFFAIRS UNITED STATES SENATE

ONE HUNDRED SEVENTH CONGRESS

SECOND SESSION

NOVEMBER 12, 2002

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United States Senate

COMMITTEE ON
GOVERNMENTAL AFFAIRS
WASHINGTON, DC 20510–6250

February 15, 2002

Committee on Governmental Affairs
EXHIBIT #B-1

The Honorable Patrick H. Wood III
Chairman
Federal Energy Regulatory Commission
888 First Street, NE
Washington, DC 20426

Dear Chairman Wood:

The collapse of Enron Corporation has served as a wake-up call for the nation's employees, investors and its governmental leadership. When Enron filed for bankruptcy in December 2001, it marked the largest corporate failure in United States history. But it is not merely the magnitude of the collapse that makes this a matter of significant public concern. Rather, what is particularly troubling is the extent to which Enron's unraveling appeared to catch the regulatory and financial communities unaware.

The rapid collapse of what was once ranked as the nation's seventh largest company demands public scrutiny. Given Enron's size, its bankruptcy financially imperils thousands of employees, as well as a far broader universe of shareholders. Just as importantly, it threatens to undermine public confidence in the market system, which depends on investors buying and selling stock based on honest reporting and fair appraisals of a company's worth.

In the wake of Enron's financial demise, the public has been asking many questions -- not only about whether employees and shareholders can rely on the market to offer them fair and complete information about companies in which they wish to invest, but also whether they can depend on the government to protect them against wrongdoing by corporate and market insiders.

Pursuant to its jurisdiction to investigate the efficiency and economy of operations of all branches of the government (S. Res. 54, 107th Congress), the Senate Governmental Affairs Committee is seeking to answer some of those questions. The Committee is trying to find out whether the various federal agencies charged with overseeing or regulating part of Enron's business could have done something to detect or prevent the problems that led to the company's downfall and the losses of thousands of jobs and billions of investors' and employees' dollars. What oversight did federal regulators provide to Enron's activities? Was there more that could or should have been done to avert the collapse? Do agencies need additional authorities to be able to help provide effective oversight?

With regard to the Federal Energy Regulatory Commission (FERC), the Committee seeks the information outlined below. Please interpret the term "the Commission" to include any Commissioner, officer or employee of the FERC and please interpret the term "Enron" to include Enron Corp., Enron Capital Trust I and Enron Capital Trust II; their officers, directors, employees, and representatives, including anyone purporting to act on their behalf; and each of their affiliates, subsidiaries, partnerships, special purpose entities, joint ventures, trusts or any other entity in which Enron Corp., Enron Capital Trust I, or Enron Capital Trust II is or was a shareholder, partner, investor, trustee, or member. In addition, please interpret the term "communications" to mean all communications, whether written, oral, electronic, in-person or through any other means. Finally, when identifying individuals in response to these questions, please give their full name, title and the company, agency, or FERC division for which they work(ed).

1) Please identify all entities affiliated in any way with Enron which are FERC regulated generators, utilities, marketers or transmission providers or affiliates since January 1, 1992. For each such entity please indicate:

a) the date that such entity first became regulated by the Commission, and in the case where Enron acquired an already regulated entity, the date that Enron became the regulated party
b) if such entity ceased to be regulated by the Commission, the date such regulation terminated

2) a) Please list, and briefly describe, any documents, materials or other information that entities regulated by the Commission must file with or report to the Commission.

b) Does the Commission regularly conduct any review of filings made by entities regulated by the Commission? If so, please describe the policy governing such reviews. Specifically, what factors are used to determine which entities' filings are reviewed, which years' filings are selected, and how frequently the reviews are conducted?

c) Please list any reviews the Commission conducted of filings by any entity affiliated with Enron from January 1, 1992 to the present. For each such review, please indicate:

i) the date on which the review was initiated
ii) the date on which the review was completed
iii) who conducted the review and who supervised it
iv) any findings or conclusions reached as a result of the review
v) any actions taken as a result of the review

3) Please list all communications between Enron and the Commission from January 1, 1992 and December 2, 2001, excluding publicly available, periodic filings required by law or regulation.

a) For any meetings, whether in-person or through telephone or videoconferencing, please identify:
   i) who initiated the meeting
   ii) all those who were present at the meeting
   iii) the subject matter(s) of the meeting
   iv) the date of the meeting
   v) any action taken by the Commission in response to the meeting

b) For all other communications, please identify:
   i) the sender(s) of the communication
   ii) the recipient(s) of the communication
   iii) the date of the communication
   iv) the subject matter of the communication
   v) any action taken by the Commission in response to the communication

4) Please list all communications received by the Commission from January 1, 1992 to December 2, 2001, which raised any questions or indicated any concerns about whether Enron was following the laws, rules, and policies administered and/or enforced by the Commission or which otherwise complained in any way about the activities of Enron. For each such communication, please identify:

   i) the sender(s) of the communication
   ii) the recipient(s) of the communication
   iii) the date the communication was received by the Commission
   iv) the subject matter of the communication
   v) any action taken by the Commission in response to the communication
   vi) for any meeting, the date of the meeting and all those who attended the meeting

5) a) Please list all investigations, whether formal or informal, of Enron initiated by the Commission since January 1, 1992. For each investigation listed, please indicate:

   i) the date the investigation was opened
   ii) the subject matter of the investigation
   iii) the basis for opening the investigation
   iv) who conducted the investigation and who supervised the investigation
   v) the findings or conclusions of the investigation

vi) any action taken by the Commission as a result of the investigation
vii) the date the investigation was closed

b) Excluding information in response to Item 5a, please list all communications generated or distributed within the Commission from January 1, 1992 to December 2, 2001, which raised any questions or indicated any concerns about whether Enron was following the laws, rules, and policies administered and/or enforced by the Commission or which otherwise complained in any way about the activities of Enron. For each such communication, please identify:

i) the sender(s) of the communication
ii) the recipient(s) of the communication
iii) the date the communication was received by the Commission
iv) the subject matter of the communication
v) any action taken by the Commission in response to the communication
vi) for any meeting, the date of the meeting and all those who attended the meeting

6) Please list all enforcement actions, whether formal or informal, judicial or non-judicial, administrative or non-administrative, including but not limited to warnings letters, civil or criminal legal actions, cease and desist orders, injunctions, consent decrees and other settlements, suspensions, fines, redress or disgorgement, or other efforts to correct or penalize noncompliance with applicable law and regulations, taken by the Commission since January 1, 1992 against Enron. For each such enforcement action, please state the basis for the action and the date of the action.

7) Please list all communications between the Commission and other federal departments or agencies from January 1, 1992 to December 2, 2001 concerning compliance with, interpretation of, exemption or waiver from, changes to, enforcement of, or any regulatory action with respect to the laws, regulations, and policies administered or enforced by the Commission and specifically referring or relating to Enron. For each such communication, please identify:

a) who sent the communication
b) who received the communication
c) the date of the communication
d) the subject matter of the communication
e) any action taken in response to the communication.
f) for any meetings responsive to this request, the date of the meeting and all those who attended the meeting

The Committee would appreciate your prompt attention to this request, but in any event seeks a response no later than March 4, 2002. Should you believe that your response to this letter will require the disclosure of confidential information, please let us know in advance of this date so that we may determine whether alternate arrangements for production are appropriate. Please contact David Berick of Senator Lieberman's staff at (202) 224-2627 and Bill Outhier of Senator Thompson's staff at (202) 224-4751 in response to this request.

Sincerely,

Joseph I. Lieberman
Chairman

Fred Thompson
Ranking Minority Member

# Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 2 | Abendroth, Timm | Office of General Counsel | Solicitor's Office | Yes | I was invited by Austin Schlick. | Edwin Kneedler, Deputy Solictor General (SG); Austin Schlick, Asst to the SG; Louis Cohen and Jeffrey Watkiss, representing Enron Power Mktg.,Inc., and me. | Preparation of Mr. Kneeler for Oct. 3, 2001 argument on behalf of US in New York v. FERC, et al., No. 00-568. [Enron is a "Respondent" in support of FERC's position versus New York in No. 00-568, as well as a Petitioner in consolidated No. 00-809.] | 9/26/2001 | | No | |
| 3 | Abrams, Edward, A. | Office of Energy Projects | Environmental and | | | | | | | | |
| 4 | Addison, Abbigale C. | Office of Energy Projects | D2SI, Atlanta Regional Office | No | | | | | | | |
| 5 | Agarwal, Pradeep Kur | Office of Markets, Tariffs, and Rates | Division of Market Information | No | | | | | | | |
| 6 | Airato, Scott A | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |
| 7 | Akbar, Mohammad | Office of Energy Projects | Hydropower Administration and Compliance | No | | | | | | | |

Committee on Governmental Affairs
EXHIBIT #B-2

# Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 8 | Akers, James L. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - west | No | | | | | | | |
| 9 | Albert, Judith A. | Office of General Counsel | Solicitor's Office | No | | | | | | | |
| 10 | Allen, Kathryn B. | Office of General Counsel | Markets, Tariffs, and Rates | No | | | | | | | |
| 11 | Allen, Kathryn B. | Office of General Counsel | Markets, Tariffs, and Rates | Yes | the Commission | Representatives from Transwestern Pipeline Company, and all their customers. | In August 1999, Transwestern filed tariff sheets in RP99-481-000, which proposed an Enhanced Firm Backhaul Service. A Technical Conference was held to consider this proposal, and the tariff sheets were ultimately accepted in a letter order dated March 8, 2000. | | | On March 8, 2000, the Commission issued a Letter Order in which it accepted Transwestern's Enhanced Backhaul proposal. | |
| 12 | Allen, Nan S. | Office of Energy Projects | DEER | Yes | See recipients | Nan Allen, FERC staff; Julie Keil, PGE; Tom Mark, LeBoeuf, Lamb, Greene & MacRae, LLP, representating PGE; Jocelyn Somers and Rod Bonacker, U.S. Forest Service; Janet Brown, Jefferson Oregon County Commissioner. Only Janet Brown was not also a sender | Exchange of e-mail confirming arrangements for a teleconference meeting to be held on September 19, 2000, to discuss procedural questions about filing an amendment to the license application. | | September 18 and 19, 2000 | None. | |
| 13 | Allen, Nan S. | Office of Energy Projects | DEER | Yes | FERC staff; PGE | Nan Allen and Ann Miles FERC, Patricia Weslowski, FERC contract staff; PGE; Confederated Tribes of the Warm Springs Reservation of Oregon. | E-mail correspondance regarding procedural issues for amending Application for New License and arrangements for scoping, site visit, and technical workshop | | July 17, 2001 - November 26, 2001 | Project site visit, two public scoping meetings, and technical workshop were scheduled for November 6, 7, 8, 2001. | |

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| 14 | Allen, Nan, S. | Office of Energy Projects | DEER | Yes | Nan Allen, FERC staff; PGE | Nan Allen and Ann Miles, FERC; PGE; U.S. Forest Service; Bureau of Indian Affairs; U.S. Fish and Wildlife; Bureau of Land Management; National Marine Fisheries Service; Warm Springs Power Enterprise; Confederated Tribes of the Warm Springs Reservation of Oregon; Department of the Interior, Office of the Solicitor; Jefferson County Oregon; State of Oregon; Trout Unlimited; and other unrecalled participants | E-mail correspondance regarding PGE's proposed amendment of license to install a small turbine in the west grout tunnel at the Round Butte development | | April 20, 2001 - July 5, 2001 | PGE filed an application to amend the current license on June 18, 2001; FERC issued an environmental assessment and order approving amendment to license on July 25, 2001. | |
| 15 | Allen, Stephanie C. | Office of General Counsel | Administration | No | | | | | | | |
| 16 | Alpert, Hollis J | Office of General Counsel | Administrative Litigation | Yes | Commission Trial Staff | Representatives of Parties to Docket No. ER99-3531-000 and ER99-4384-000: EPSA - Christopher O'Hara Mobile Energy, LLC - Roger St. Vincent Monroe - Gerry Clark Carolina P&L - Marty Berland, George E. Smith Dynegy, Enron, and Coral Power - Betsy Carr (Bracewell and Patterson) Williams EM&T - Anthony Taylor and James McManus PG&E Generating - Scott Miller EPSA - Julie Simon Engage Energy - Susan Ginsberg Southern Company Services - Fred Paradise, Ashley Thompson, Dennis Wilson, Bob Hughes, Thomas Penland, Bob Forry, John Ehrhart, Dean Ulch, Andy Tunnell Tenaska Alabama Partners, etal. - Scott Helyer and Neil Levy Commission Staff - Hollis Alpert, James Ballard, Tracy Burns, Veronica Vansco, Robert Machuga, Ken Barnes | Settlement Negotiations regarding the terms and conditions of a Generator Balancing Service to be rendered by Southern Company Services. | | 10/23/1999 to 10/23/2000 | Commission approved a settlement arrived at as a result of the negotiations in these dockets. | Enron was not an active party to the proceeding. |

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| 17 | Alpert, Hollis J. (Line 1 of 2) | Office of General Counsel | Administrative Litigation | Yes | Settlement Judge David Hanfeld | El Paso Natural Gas Company officials; Commission Staff team: Holly Alpert, Mary Hain, James Pederson, Jerry Pederson, Frances Segal, Vladimir Ekzarkhov. Indicated Shippers - Kathy Edwards, PG&E - Frank Lindh and Patrick Golden, SoCal Gas - Lad Lorenz and David Huard, Southwest Gas - John Walley, Chevron - Bruce Connell, GPM - Jay Golub, Citizens Utilities - James Moriarty, Williams Field Services Group - Joe Koury, El Paso Electric Company - James McGrew, El Paso Municipal Customers Group - John Gregg and John Poe - Mobil NM Natural Resources and Minerals Dept. Steve Hunsicker, Enron - Randall Rich, Arizona Public Service Co and Salt River Project Agricultural Improvement and Power Dist - Kelly Daly and Joel Greene, Phelps Dodge Corp/Apache Nitrogen Products - (Cont'd in cell below) | Settlement negotiations regarding El Paso Natural Gas Co. Rate case in Docket No. RP95-363-000; primarily involving El Paso's proposed rate increase resulting from reallocation of fixed costs associated with turned-back firm capacity rights. | | August 1995 to March 1996 | Commission approved a contested settlement over objections of Southern California Edison. | Enron intervened but was not an active party in this proceeding |
| 18 | Alpert, Hollis J. (Line 2 of 2) | Office of General Counsel | | | | (Cont'd) Barbara Jost, Natural Gas Clearing House - San Diego Gas & Electric Company - Leonard Foster, Asarco, Inc. - Nicholas Fels, California PUC - Harvey Morris, Nevada Public Service Commission - Deborah Swanstrom, Arizona PUC - Janice Alward, Southern California Edison Company - Kevin Lipson, Amoco - Fred Kolb, Imperial Irrigation District - Norman Peterson | | | | | |

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| 19 | Alpert, Hollis J. (Line 1 of 2) | Office of General Counsel | Administrative Litigation | Yes | Commission Trial Staff | For Iroquois Gas Transmission System, L.P. - James McManus, Joseph Koury, Jeffrey Bruner, James Albright, David Morgan, Herb Rakebrand Keyspan - Suzanne Calcagno and Jennifer Feinstein MassPower Inc. - James Bowe and Benga Farina New England Power Co. - Mitchell Hertz Iroquois Non-Owner Shipper Group - Neil L. Levy New York PUC - David D'Allessandro, Kelly Daly and William Winters NYSEG - Jonathan Schneider Niagara Mohawk Power - Marvin Grilf Paramount Resources - Joel Greene PSE&G - Jodi Moskowitz Selkirk Cogen Partners - Richard E. Powers Transcontinental Gas Pipeline Corp - Michael Fremuth Western Gas Rsources, Ltd. - John Rudolph LILCO Richard Rapp Yankee Gas Services - Ted Gerarden New Jersey Natural Gas (Cont'd in cell below) | Settlement Negotiations regarding rate levels proposed by Iroquois Gas Transmission System in Docket No. RP94-72-000 and FA92-59-003, including proposed recovery of legal costs associated with criminal investigation of Iroquois Pipeline construction activities. | | March 1993-March 30, 1995 | Commission approved stipulation regarding all but two reserved issues relating to Iroquois cost of service and rates. (Parties went to hearing on legal cost issues.) | Enron intervened by was not an active party in this proceeding. |
| 20 | Alpert, Hollis J. (Line 2 of 2) | Office of General Counsel | | | | (Cont'd) - Mark Darrell GASP Coalition - Anne Marie Mueser Enron North America Corp. - Leslie Lawner, Rebecca Cantrell, and Randall Rich Connecticut Natural Gas - John Rudiak Consolidated Edison of NY - Donald Stauber and Doug Cantor Independent Petroleum Producers Assoc. Richard Morgan and David Sweet Commission Trial Staff - Hollis Alpert, Arnold Meltz, Jackson Fray, Barry Sullivan, Lannie Guster, Tom McLaughlin | | | | | |

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| 21 | Alpert, Hollis J. (Line 1 of 2) | Office of General Counsel | Administrative Litigation | Yes | Commission Trial Staff | For Iroquois Gas Transmission System, L.P.: James McManus, Joseph Koury, Jeffrey Bruner, James Albright, David Morgan; Herb Rakebrand Keyspan: Suzanne Calcagno, Jennifer Feinstein; MassPower Inc.: James Bowe & Benga Farina; New England Power Co.:Mitchell Hertz; Iroquois Non-Owner Shipper Group: Neil L. Levy; New York PUC: David D'Alessandro, Kelly Daly, William Winters; NYSEG:Jonathan Schneider; Niagara Mohawk Power - Marvin Griff; Paramount Resources: Joel Greene; PSE&G: Jodi Moskowitz; Selkirk Cogen Partners: Richard E. Powers; Transcontinental Gas Pipeline Corp: Michael Fremuth; Western Gas Rsources, Ltd.: John Rudolph; LILCO: Richard Rapp; Yankee Gas Services:Ted Gerarden; New Jersey Natural Gas (Cont'd in cell below) | Settlement Negotiations regarding Iroquois Gas Transmission System, L.P. Remand proceeding in Docket Nos. RP94-72-009 and FA92-59-007, involving recovery of legal defense costs associated with the construction of the Iroquois pipeline; and rate proceedings in Docket Nos. RP97-126-015 and RP97-126-000. | | 07/13/1999 to 12/17/1999 | Commission approved an uncontested settlement resolving all issues in the proceedings. | Enron intervened but was not an active party in this proceeding |
| 22 | Alpert, Hollis J. (Line 1 of 2) | Office of General Counsel | | | | (Cont'd) - Mark Darrell; GASP Coalition: Anne Marie Mueser; Enron North America Corp.: Leslie Lawner, Rebecca Cantrell, Randall Rich; Connecticut Natural Gas: John Rudiak; Consolidated Edison of NY - Donald Stauber, Doug Cantor; Independent Petroleum Producers Assoc.: Richard Morgan, David Sweet; FERC Trial Staff - Hollis Alpert, Lorna Hadlock, Suzanne McBride, Jackson Fray, Veronica Vansco. | | | | | |

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| 23 | Altamar, Martha E. | Office of Administrative Law Judges | N/A | Yes | Some by Chief Judge and some Enron | Enron Counsel and technical staff. Also, there were meetings with marketers, including Enron, meetings with generators and marketers, and meetings with all parties. | Forward contracting proceeding, PL01-2-000. An attempt to get forward contracts for California at reasonable rates. | | 12/18/00 to 1/20/01 | No | Since these were all confidential settlement negotiations, I destroyed all notes and documents once the proceeding ended. |
| 24 | Altamar, Martha E. | Office of Administrative Law Judges | N/A | Yes | Some by the Chief Judge; some by Enron | Enron counsel and technical staff. Also, meetings with all parties present. | Settlement negotiations in Midwest ISO/Alliance RTO (Illinois Power Company, et al., ER01-123-000) | | 1/24/01 to 4/6/01 | Yes. The Chief Judge certified a settlement to the Commission which the Commission approved. The Chief Judge was critical of Enron's position in his certification. | Since these were settlement negotiations, I destroyed all notes and documents once the Commission approved the settlement. I have no recollection of the names of any Enron participants. |
| 25 | Altamar, Martha E. | Office of Administrative Law Judges | N/A | Yes | Some by the Chief Judge; some by Enron | Enron counsel and technical staff. I have no recollection of the names of any of the participants. Also, there were meetings with Enron and other California energy marketers and meetings were all parties were present. | The California Refund Case, EL00-95-031, settlement negotiations. | | 6/19/02 to 7/12/02 | Yes. The Chief Judge made a recommended refund methodology which the Commission adopted. | Since these were confidential settlement negotiations, I destroyed all notes and documents once the Commission approved the Chief Judge's recommendations. |
| 26 | Altamar, Martha E. | Office of Administrative Law Judges | N/A | Yes | Some by the Chief Judge; some by Enron | Enron counsel and technical staff. I have no recollection of the names of any Enron participants. Also, there were meetings with with all parties. | California ISO RMR cases, ER98-441-000, et al., settlement negotiations. | | fall of 1998 to most of 1999 | Yes. The Chief Judge certified several settlements to the Commission which were all approved by the Commission. | Since these were all confidential settlement negotiations, I destroyed all notes and documents once the Commission approved the settlements. |
| 27 | Amerkhail, Rahim, J | Office of Markets, Tarriffs, and Rates | Central | No | | | | | | | |

# Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 28 | Anas, Demetra | Office of General Counsel | Market Oversight and Enforceme nt | Yes | Notice Organizing Staff Conference | Demetra E. Anas (OGC) Andrea Wolfman (OGC) Demetrious Pulas (OGC) Robert Fianders (OMTR) Laurel Hyde (OMTR) Ed Murrell (OMTR) Dena Wiglins (Process Gas Consumers Group) William Scherman (Ad Hoc Marketers) Alice Curtis (American Gas Association & National Fuel) Craig Goodman (National Energy Marketers Association) Jeff Holligan (Amoco Producdtion Co. & BP Energy Co.) Denise Goulen (NASUCA) John Smith (The Williams Companies Mark Haskell, NGSA Leslie Lawner, Enron North American Corp. Paul Koonce, (Dominion Resources Inc) Michael Linn (IPAA) Ed Ross (Dynegy) Philip Teumim (NY Public Service Commission) Kirby Bosley (Reliant Energy Services) Mike Reidy (California Dairy Coalition of Concerned Energy Consumers) Other names are not available as no attendance was taken at this publicly noticed meeting. | Dialog Concerning Natural Gas Transportation Policies Needed to Facilitate Development of Competitive Natural Gas Markets - Staff hosted a public conference to focus on affiliate issues | 3/15/2001 | | The Commission issued a Notice of Proposed Rulemaking on September 27, 2001 in Docket No. RM01-10-000 proposing Standards of Conduct for Transmission Providers. | This was a publicly noticed staff conference |
| 29 | Anderson, Norma L. | Office of the Chief Informati on Officer | Knowledge Manageme nt | No | | | | | | | |
| 30 | Anderson, Hazel M.S | Office of Administ rative Law Judges | OALJ | No | | | | | | | |

## Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 31 | Anderson, Kenneth M | Office of the Executive Director | Regulatory Accounting Policy | Yes | Usually, FERC Audit Staff | Ken Anderson, Cheryl Moseley, Vince Strohmeyer - FERC Audit Staff Robert Chandler, James Saunders, Rod Hayslett - Enron | Topics of audit inquiry. Our comprehensive audits in the early 1990's covered a wide variety of regulatory accounting and financial reporting matters. These meetings were general discussions seeking explanations and clarifications of the data responses received to audit inquiries. | | 1-1-1992 to 12-31-1997 | Any actions taken by the Commission is reflected in the final audit reports included in the Commission's public information files. | |
| 32 | Anderson, Kenneth M. (Line 1 of 3) | Office of the Executive Director | Regulatory Accounting Policy | Yes | INGAA Annual Regulatory Affairs Confere | Rex Adams, El Paso, Cynthia Albert, CMS Panhandle, Janice Alpern, El Paso, Nancy Bagot, Enron, Lisa Beal, INGAA, Jim Bernhardt, FERC, Kimberly Bose, FERC, Mary Brosnan, Columbia, John Carlson, FERC, Stan Chapman, El Paso, Bob Christin, FERC, Pat Clearey, FERC, Anna Cochrane, CMS Energy, Carol Conners, FERC, Mark Cook, El Paso, Paula Crunkilton, FERC, Rob Cupina, FERC, Catharine Davis, Great Lakes Transmission, Cecilia Desmond, FERC, Joe Dooley, FERC, Joan Dreskin, INGAA, Bob Flanders, FERC, Rich Foley, FERC, Donna Fulton, Enron, Jignasa Gadani, FERC, Bill Gryar, CMS Panhandle, Jim Guest, FERC, Joe Hartsoe, Enron, Tom Herlihy, FERC, Jake Hiatt, El Paso, (Cont'd in cell below) | Discussion of natural gas industry trends and regulatory decisions. | | 5/16/2001-5/18/2001 | No. | |

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| 33 | Anderson, Kenneth M. (Line 2 of 3) | Office of the Executive Director | | | | (Cont'd) Andrea Hilliard, FERC, Rich Hoffman, FERC, Kerry Hudson, TransCanada, Laurel Hyde, FERC, Chris John, FERC, Dean Jones, Williams, Andrew Katz, EEI, Kurt Krieger, Columbia, Richard Kruse, Duke Energy, Dan Larcamp, FERC, Don Lekang, FERC, Carl Levander, Columbia, Virginia Levenback, Williams, Jane Lewis, AGA, Lonnie Lister, FERC, Penny Ludwig, INGAA, Bill Massey, FERC, David McCallum, Duke Energy, Mike McMahon, Gulf South, Chris Miller, FERC, Scott Miller, FERC, Curtis Moffatt, Foothills Pipe Lines, Joe Morgan, FERC, Berne Mosley, FERC, Judy Neason, Williams, Buu Nguyen, FERC, Mike Oliva, FERC, Phil Peters, FERC, Patrick Pope, Southern Natural, Joe Power, FERC, (Cont'd in cell below) | | | | | | |
| 34 | Anderson, Kenneth M. (Line 3 of 3) | Office of the Executive Director | | | | (Cont'd) David Reich, FERC, Mark Robinson, FERC, John Rogers, FERC, Pat Rooney, FERC, Marvin Rosenberg, FERC, Sharon Royka, FERC, Jamie Simler, FERC, Denise Simpson, Reliant, Richard Smead, El Paso, Ron Steele, FERC, Kyle Stephens, Gulf South, Linda Thomas, INGAA, Keith Tiggelaar, Williston Basin, Steve Tillman, Duke Energy, Scott Turkington, Williams, Carolyn VanDerJagt, FERC, Gordon Wagner, FERC, Christine Walker, FERC, Bill Wibble, Iroquois, Andrea Wolfman, FERC, Katie Woodruff, CMS Energy, Marguerite Woung-Chapman, El Paso, Lenard Wright, Questar, Marshla Younglund, Williams, Jeanne Zabel, FERC, Bill Zoller, FERC | | | | | | |

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| 35 | Anderson, Lawrence | Office of Markets, Tarriffs, and Rates | Policy | No | | | | | | | |
| 36 | Anklam, Elizabeth, G | Office of Energy Projects | Pipeline Certificates | No | | | | | | | |
| 37 | Anyanwu, Emeruwa | Office of Energy Projects | Division of Dam Safety & Inspections -- NYRO | No | | | | | | | |
| 38 | Armbruster, Ellen A | Office of Energy Projects | DEER | No | | | | | | | |
| 39 | Armstrong, Cassandra | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - East | No | | | | | | | |
| 40 | Arneson, Joel K. | Office of General Counsel | Energy Projects | No | | | | | | | |
| 41 | Arnold, Elizabeth H. | Office of General Counsel | Markets, Tariffs and Rates | Yes | Commission staff | representatives from EPSA, Duke Energy, Enron, Constellation, Southern Energy, PG&E NEG, and FERC staff. | California wholesale electricity markets | 8/22/2000 | | Information was used in preparing staff bulk power investigation report. | |
| 42 | Arrington, Shirley M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates-Central | No | | | | | | | |

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| 43 | Arvedlund, Robert | Office of Energy Projects | DEER | No | | | | | | | |
| 44 | Athey, Joseph C. | Office of Markets, Tarriffs, and Rates | Division of Tarriffs & Rates-Central | No | | | | | | | |
| 45 | Atlas, William,R | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |
| 46 | Avent, Annette D. | Office of Markets, Tarriffs, and Rates | Market Information | No | | | | | | | |
| 47 | Avila, Gilbert A. | Office of Energy Projects | San Fracisco Regional Office | No | | | | | | | |
| 48 | Bailey, Kristine T. | Office of Markets, Tarriffs, and Rates | Division of Policy Innovation & Communication | No | | | | | | | |
| 49 | Ballard, James S | Office of Markets, Tarriffs, and Rates | Policy, Innovation and Communication | No | | | | | | | |

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| 50 | Bandera, J Derek | Office of Markets, Tarriffs, and Rates | CEA | No | | | | | | | |
| 51 | Banks III, Kermit A. | Office of Markets, Tarriffs, and Rates | Central | No | | | | | | | |
| 52 | Barbett, Michael R. | Office of the Executiv e Director | DLM | No | | | | | | | |
| 53 | Bardee, Michael, A. | Office of General Counsel | Markets, Tariffs and Rates Section | Yes | I don't know | Donna Fulton from Enron, Alice Fernandez from FERC/OMTR, others from Enron and FERC whose names I don't recall | Southeast RTO developments | 10/6/2000 | | Not to my knowledge | |
| 54 | Bardee, Michael, A. | Office of General Counsel | Markets, Tariffs and Rates section | Yes | FERC or Enron | | | | | | In the past decade, I have attended one or more public conferences at FERC at which Enron representatives (including Steve Kean) spoke. I don't recall the subject matters or the dates. Also, while I worked as an advisor to Commissioner Massey (1993-97), I may have attended one or more courtesy visits initiated with him by Enron representatives, but I do not now recall any such visits by Enron. I have seen Jeff Skilling at FERC but do not recall being in a meeting with him. |
| 55 | Barden, Justine L | Office of Markets, Tarriffs, and Rates | Energy Markets | No | | | | | | | |

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| 56 | Barlow, Irene | Office of the Executiv e Director | Financial Policy | No | | | | | | | |
| 57 | Barlow, Randolph, A. | Office of Markets, Tarriffs, and Rates | Litigation | No | | | | | | | |
| 58 | Barnes, Kenneth M. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | commission order | representatives of the parties to the case | RMR | 1/1/1998 | 3/31/1999 | settlement was reached | |
| 59 | Barracchini, Anthony | Office of the Executiv e Director | MAPS | No | | | | | | | |
| 60 | Bautista, Mac T. | Office of Energy Projects | D2SI-SFRO | No | | | | | | | |
| 61 | Beamon, Charles, A | Office of General Counsel | GAL | No | | | | | | | |
| 62 | Beck, Mark, T | Office of the Executiv e Director | MAPS | No | | | | | | | |
| 63 | Benge, Mary E | Office of Commis sioner Breathitt | n/a | Yes | Invitation by INGAA | INGAA offsite meeting. No available list; Commission and pipeline employees attended; likely including Enron employees. | I spoke on a panel and attended other sessions, all concerning general gas pipeline matters. | | Spring 1998 | no | I report this meeting because of the likelihood of Enron employee participation at the offsite. I do not recall any specific interaction with Enron employees at the meeting. |

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| 64 | Benge, Mary Elizabeth | Office of Commissioner Breathitt | n/a | Yes | Donna Fulton (Enron) | Self and Donna Fulton | Invitation to speak at upcoming FercBeat luncheon | | mid-February 1998 | no | |
| 65 | Benge, Mary Elizabeth | Office of Commissioner Breathitt | n/a | Yes | Donna Fulton (Enron) | FercBeat Luncheon. No available list of attendees; it is a group about 25 industry Washington reps, including D. Fulton. | I spoke on panel with Christopher John (FERC) concerning our perspectives as new advisors to the Commissioner. | | March or April 1998 | no | |
| 66 | Benge, Mary Elizabeth | Office of Commissioner Breathitt | n/a | Yes | Invitation by INGAA | INGAA offsite meeting. No available list. Commission and pipeline employees attended; likely including Enron employees. | Spoke on electric/gas convergence issues and attended panel discussions on general natural gas pipeline issues. | | Spring 2000 | no | I report this meeting because of the likelihood of Enron employee participation at this event. I do not recall any specific interaction with Enron employees at this meeting. |
| 67 | Benge, Mary Elizabeth | Office of Commissioner Breathitt | n/a | Yes | Tom Briggs (Enron) | Public gallery at House hearing | Exchange of contact information with former FERC colleague; personal update | | 2001 (possibly 3/20/01) | no | |
| 68 | Benge, Mary Elizabeth | Office of Commissioner Breathitt | n/a | Yes | Tom Briggs (Enron) | Tom Briggs; myself | Met socially for coffee to catch up on family and friends. | | | no | |
| 69 | Benge, Mary Elizabeth | Office of Commissioner Breathitt | n/a | Yes | Received internal FERC email invitation | FERC employees; Enron presenters. | Demonstration of Enron Online in Commission meeting room | | | no | |
| 70 | Benge, Mary Elizabeth | Office of Commissioner Breathitt | n/a | Yes | INGAA request for courtesy visit | Commissioner Breathitt, Stan Horton (Enron), myself, and possibly Jerry Halvorsen (INGAA) | General pipeline issues of interest to INGAA | 9/20/2001 | | no | |

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| 71 | Benkin, Isaac D. | Office of Administrative Law Judges | LJ2 | No | | | | | | | |
| 72 | Bennett, Jr. William W | Office of the Chief Information Officer | Knowledge Officer | Yes | Ferc initiated the meeting. | Janet Butler (Enron), Matthew Blackwelder,Nancy Pickover, Daryl Bascus, Paul J. Feia, Michelle Davis, Jennifer Clark, Steve Baruch, Ellen Brown, Fernanda Young, Kathleen Sherman and I think Elizabeth Taylor. I don't remember who else was there. Janet Butler - Enron Pipeline Steve Baruch - Van Ness Feldman Jennifer Clark - Bruder, Gentile & Marcoux Paul J. Feia - Leboeuf, Lamb Daryl Bascus - Leboeuf, Lamb Nancy Pickover - Bracewell & Patterson Matthew Blackwelder - Bruder, Gentile & Marcoux | This was a focus group to discuss the way external users used Ferc's existing information systems and what the capabilities of a new system ought to be from their perapective. | 1/23/2001 | | Not by the Commission itself. | |
| 73 | Bennett, Jr. William W | Office of the Chief Information Officer | Knowledge Office | Yes | I think the Douglas Policy Institute | I think Congressman Billey from Richmond was a speaker. Elizabeth Noel. "Steve", Enron's Washington Rep. I don't remember Steve's last name. I am not sure about others. Ed Meyers may have been at the conference. | This was a conference to discuss energy deregulation legislation before committees of the Congress. | | 1997-98 | No. | I may have introduced mysolf and said hello to "Steve" and made some small talk. This occurred when I was a legislative fellow on the Hill. |
| 74 | Berlin, Hillary | Office of Energy Projects | DHAC | No | | | | | | | |
| 75 | Bernhardt, James L. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates-East | No | | | | | | | |

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| 76 | Bernier, Diane E | Office of Markets, Tarriffs, and Rates | of Administrati on and Case Tracking | No | | | | | | | |
| 77 | Bernt, Julie M. | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates | No | | | | | | | |
| 78 | Berrios Jr., Samuel | Office of the Executiv e Director | Chief Accountant; Division of Regulatory Accounting Policy | No | | | | | | | |
| 79 | Berson, Gregory, A | Office of Markets, Tarriffs, and Rates | Tariff & Rates East | No | | | | | | | |
| 80 | Bess, Merle O | Office of General Counsel | Administrati ve | No | | | | | | | |
| 81 | Bethke, Elizabeth J. | Office of Energy Projects | D2SI - ARO | No | | | | | | | |

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| 82 | Bieltz, Andrew, M | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Staff - Section 4 Rate Filing | Meetings included but not limited to Rob Kilmer, Shelly Corman | Depreciation, Markets, Allocatation and Rate Design, Economic End-Life | | 1992-1993 | I believe case was settled | |
| 83 | Bilgihan, Esref | Office of Markets, Tarriffs, and Rates | Central | Yes | Elizabeth Taylor of FERC | Northern Natural Company (NNGC)rate staff, Elizabeth Taylor, William Cary and myself | The Cost Analysis and Field Review Branch of the Division of Rate Filings initiated a field review of NNGC for the period 2/24/92 through 3/6/92 to review documents and records resposive to the questions raised in Apendix C of the Commission's order issued on 12/27/91 in Docket No. TA92-1-59-000. | | 2/24/92-3/6/92 | By order issued 12/2/92 in Docket No. TA92-59-002, et at (61 FERC ¶ 61,290) NNGC was directed to make certain adjustments in response to findings from the review. | The issues uncovered were exchange valuation, carrying charge calculations, tariff languagae, assessment test calculations, current adjustment calculations and the reconciliation between purchase volumes and sales volumes. |
| 84 | Billings, Martha | Office of Energy Projects | Division of Dam Safety and Inspections , PRO | No | | | | | | | |
| 85 | Bines, Sheila Rene | Office of General Counsel | Administrative Litigation | No | | | | | | | |
| 86 | Birch, Walter Matthew | Office of Markets, Tarriffs, and Rates | Division of Policy, Innovation & Communication | No | | | | | | | |

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| 87 | Birchman, Bruce Lee (Line 1 of 3) | Office of Administrative Law Judges | OALJ | No | | | | | | | I am the Presiding Judge in a major investigation in EL95-045-011 involving electricity sales in California between October 2001 and June 2002 that inovles more than 100 parties, incluuding Enron, all California utilities, Attorney General of California, and the California PUblic Utilities Commission and issues of (1) the appropriate mitigated price for such sales under a FERC Commission formula and (2) who owes what (3) to whom. Hearing is scheduled on the first issue between March 11-15, 2002 and on the remaining issues between June 17-21, 2002. There have been a series of prehearing conferences and oral argument to date, all of which have been held on the record as required by the Administrative Procedure Act of 1946. The transcript of those proceedings can be obtained from the official court reporter and each conference was noticed to the public by my Order convening the conference and the Orders are to be found on the official list of the proceeding maintained by the Secretary of FERC. (cont'd in cell below) |

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| 8 | Birchman, Bruce Lee (Line 2 of 3) | Office of Administ rative Law Judges | OALJ | | | | | | | | (Cont'd) The dates of these con'ferences were: August 13, 2001, September 5, 2002, September 20, 2001,September 25, 2001, November 28, 2001, January 8, 2002, and February 21, 2002. The Commission issued an Order on December 9, 2001 which required me to defer the hearings pending its consideration of petitions for rehearing of its July 25, 2001 Order establishin the hearing. The Commision's December 19, 2001 Order on Rehearing permitted the hearings to go forward, eliminated a deadline for my proposed findings, and required me to recommend whether it should grant a motion to phase the hearings and only hold hearings on issue (1) and, after a final order, then hold hearing on the remaining issues (2) and (3). I issued a public Report and Recommendation to the Commission on January 9, 2001 which recommended that it deny the motion and that recommendation is pending before the Commission and is a public report. (cont'd in cell below) |
| 9 | Birchman, Bruce Lee (Line 3 of 3) | Office of Administ rative Law Judges | OALJ | | | | | | | | (Cont'd) I also issued public reports to the Commission involving issues in the case--on August 29, 2001, September 6, 2001, September 26, 2001 and October 26, 2001 and certified a question concerning a proposed settlement on November 21, 2001. Again, there were public orders with all participants having the opportunity to comment publicly on the record in the above-reference prehearing conferencees and/or oral arguments. Judge Bruce Birchman. |

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| 0 | Blair, John S. | Office of Energy Projects | Environment & Engineering Review | Yes | ERM Corporation & its Sub-Contractors | Portland General Electric, ERM Corporation, Historcial Research Associates, R2 Associates, Normandeau Associates, Longview Associates, Tom Sullivan Associates | 163 e-mails between the parties mentioned above scheduling meetings, setting agendas, confirming meeting arrangements | | 12/01/98 - 12/02/2001 | None | ERM Corporation is a Third Party Contractor preparing Portland General Electric's environmental impact statement for its Bull Run, Oak Grove, North Fork, hydroelectric relicense application. The 163 communications were among parties to confirm meeting details over the 3 year period. |
| 1 | Blakeway, Victor Darr | Office of General Counsel | Market Oversight & Enforcement | Yes | Commission | Between 75-200 representatives of parties in Docket No. ER01-123. One attorney representing Enron. This was a settlement conference presided over by Judge Wagner. | Restructuring MidWest ISO and Alliance Companies in view of petition of three Illinois elec. utilities to withdraw from MidWest ISO and join the Alliance Companies. | | 01/15/2001 - 05/01/2001 | Commission approved settlement reached by the parties. | Warren Wood was staff lead counsel and has submitted his recollection of these events, which may be more detailed. |
| 2 | Blattner John J. | Office of the Executive Director | Regulatory Audits | Yes | FERC | John Blattner, FERC Allen Rosell, FERC Preston Martin, Portland General Kirk Stevens, Portland General | FERC California Generation Cost Audit, Docket FA01-10 | | There were many meetings on many different dates, since this was an audit | No report has been issued to date. | |
| 3 | Blaug, Elisabeth A. | Office of General Counsel | Energy Projects | No | | | | | | | |
| 4 | Blocker, Carrie E. | Office of the Chief Information Officer | Office of the Chief information Officer | No | | | | | | | |
| 5 | Blocker, Rosa M. | Office of the Executive Director | Administrative Support Staff | No | | | | | | | |

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| 96 | Blocker,Gail P. | Office of the Executive Director | DLM | No | | | | | | | |
| 97 | Blome, William O | Office of General Counsel | Energy Projects | No | | | | | | | |
| 98 | Bolton, Leona B. | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |
| 99 | Bondarenko, Maria | Office of Markets, Tarriffs, and Rates | Administration and Case Tracking | No | | | | | | | |
| 00 | Boros, Laurie | Office of Energy Projects | DEER | Yes | Alan Bowman, Transwestern, phone | Laurie Boros | cultural resources survey information | | | no | |
| 01 | Boros, Laurie | Office of Energy Projects | DEER | Yes | Don Vignaroli, Nor Nat, fax | Laurie Boros | transmitting signed signature page for memorandum of agreement | | | No | |
| 02 | Boros, Laurie | Office of Energy Projects | DEER | Yes | Laurie Boros, phone | Leo Nichols, Environmental Specialist, Nor Nat | provide technical guidance for cultural resources filing | | | No | |
| 03 | Boros, Laurie | Office of Energy Projects | DEER | Yes | Don Vignaroli, Nor Nat, phone | Laurie Boros | call to check cultural resources information for filing | | | No | |

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| 104 | Bourque, Barbara D. | Office of Markets, Tariffs, and Rates | Market Information | Yes | FERC - Kim Bruno | Kim Bruno, Marv Rosenberg, Robert Flanders, Barbara Bourque | Electronic commerce in general. | 5/1/2000 | | No. | This was a general information gathering meeting not related to any case. |
| 105 | Bowers, Linda M. | Office of Markets, Tariffs, and Rates | Rates - West | Yes | ENRON | Not certain | Routine courtesy visits | | 1993-1996 | Not certain | During 1993 through 1996 in my capacity as a Staff Assistant to Commissioner Hoecker, I facilitated two or three courtesy visits with ENRON with Commissioner Hoecker at the request of ENRON. I cannot recall which ENRON executives attended; nor do I have any record of the meetings. I did not attend the meeting. |
| 106 | Boyce, Marie | Office of Markets, Tariffs, and Rates | Central | No | | | | | | | |
| 107 | Boyle, Michael, J. | Office of Energy Projects | DEER | No | | | | | | | |
| 108 | Brand, Bruce, J. | Office of Energy Projects | D2SI | Yes | Bruce Brand | Bod Steele,Mike Gandert, Kevin Marshal | I have had numerous interactions at meetings and in phone conversations with the ajacent Portland General Electric (PGE) employees during the time they were a subsidiary of ENRON in regard to the seismic retrofit of their Rivermill Dam, FERC #2195-01-01, | | 9/11/98 to 4/2001 | Review and comment on technical submittals | |

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| 109 | Breathitt, Linda K. | Office of Commissioner Breathitt | N/A | Yes | Enron | Likely Steve Kean, Joe Hartsoe or Stan Horton and a staff assistant | 3 Courtesy visit's | | April, July, Sept.-1998 | no | My calendar reflects 3 Enron CV's in 1998. I do not have back-up information regarding who attended. |
| 110 | Breathitt, Linda K. | Office of Commissioner Breathitt | N/A | Yes | Duke Washington Office | TEPPCO, (Duke division); TETCO (Duke division), Coastal Corp., Enron Pipelines | Toured facilities in Houston of Duke, Coastal, and Enron | | | no | This trip was to get an operational understanding of natural gas and products pipelines. Enron contacts were Sara Novosel and Christi Nicolay. Toured Enron gas control room and trading room floor. |
| 111 | Breathitt, Linda K. | Office of Commissioner Breathitt | N/A | Yes | Enron | Likely Steve Kean, Joe Hartsoe or Stan Horton and a staff assistant. | 2 courtesy visits. Likely natural gas, GISB, or electric restructuring issues. | | | no | Calendar reflects 2 Enron CV's in 1999, both in Sept. I do not have back-up information regarding who attended. |
| 112 | Breathitt, Linda K. | Office of Commissioner Breathitt | N/A | Yes | Enron | Steve Kean, possibly others | Courtesy visit. Electric restructuring issues | 5/1/2000 | | no | |
| 113 | Breathitt, Linda K. | Office of Commissioner Breathitt | N/A | Yes | Charles Bone or his administrative ass't. | Rich Shapiro, Managing Director, Government Affairs - Enron (Houston) along with Charles Bone, Nashville Attorney and my staff assistant. | Courtesy visit. General discussion of electric deregulation | 9/7/2000 | | no | |
| 114 | Breathitt, Linda K. | Office of Commissioner Breathitt | N/A | Yes | EPSA | Enron Representatives, EPSA(Electric Power Supply Assoc.), Most likely EPSA, ELCON (Electric Consumers Resource Council), Reliant & Dynegy and my staff assistant | Discussion of need for demand response programs and RTO Function 8. | 1/18/2001 | | no | |
| 115 | Breathitt, Linda K. | Office of Commissioner Breathitt | N/A | Yes | INGAA | Invitation to speak | Spoke at Board meeting in Houston | 2/21/2001 | | no | Stan Horton (from Enron) INGAA President introduced me. INGAA Board Members and other invitees present. |

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| 116 | Breathitt, Linda K. | Office of Commis sioner Breathitt | N/A | Yes | Enron | Steve Kean, Linda Robertson, Mark Frevert, Vice Chairman and Chris John, my assistant. | To introduce Mr. Frevert and to discuss electric restructuring issues, ie RTO's. | 9/19/2001 | | no | |
| 117 | Breathitt, Linda K. | Office of Commis sioner Breathitt | N/A | Yes | INGAA | Stan Horton, Enron Pipelines; Jerry Halvorsen, INGAA; Mary Benge, staff assistant. | GISB matters, general pipeline issues and affiliate rulemaking | 9/20/2001 | | no | |
| 118 | Breathitt, Linda K. | Office of Commis sioner Breathitt | N/A | Yes | The New Power Company (Enron Subsidiary) | Cynthia Sandherr, Kathleen Magruder and possibly one other. | Electric issues that impact New Power's retail operations. | 9/24/2001 | | no | |
| 119 | Breathitt, Linda K. | Office of Commis sioner Breathitt | N/A | Yes | Enron | Invitation to participate (Do not have an attendance list) | Conference hosted by Enron-Pentagon City, VA "US ENERGY POLICY AT A CROSSROADS" | 10/4/2001 | | no | I was a participant at the conference. Initial introduction to Ken Lay while there. |
| 120 | Brenner, Lawrence | Office of Administ rative Law Judges | Office of Administrati ve Law Judges | No | | | | | | | |
| 121 | Brent, Donna | Office of Markets, Tarriffs, and Rates | Central | No | | | | | | | |
| 122 | Brewer, Lisa A. | Office of Energy Projects | Certificates | No | | | | | | | |

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| 23 | Briggles, Jill S. | Office of the Executive Director | Division of Human Resources Management | No | | | | | | | |
| 24 | Briscoe, Gerald M. | Office of Energy Projects | Pipeline Certificates | No | | | | | | | |
| 25 | Brooks, Bonnie J. | Office of the Executive Director | Financial Services | No | | | | | | | |
| 26 | Brooks, Chauna | Office of Markets, Tariffs, and Rates | Policy Innovation & Communication | No | | | | | | | |
| 27 | Brooks, Clara R. | Office of Markets, Tariffs, and Rates | Front Office | No | | | | | | | |
| 28 | Brooks, Deborah D. | Office of Markets, Tariffs, and Rates | Administration and Case Tracking | No | | | | | | | |
| 29 | Brooks, Keith M. | Office of General Counsel | Energy Projects | No | | | | | | | |

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| 30 | Brown, Ellen | Office of the Chief Informati on Officer | Know. and Info. Mgt. | Yes | I don't recall | I don't know | "OMTR Gas and AGA present[ation]." | 7/19/2001 | | I don't recall | It's unclear from my calendar whether I attended, since I had a team meeting marked and highlighted at the same time. |
| 31 | Brown, Ellen | Office of the Chief Informati on Officer | Know. and Info. Mgt. | Yes | I don't recall | I don't know | "Enron Online" | 4/18/2000 | | I don't know | It's unclear whether I attended since we had an Open House at the same time. |
| 32 | Brown, Ellen | Office of the Chief Informati on Officer | Know. and Info. Mgt. | Yes | see comment | see comment | see comment | | | | The following e-mail was received from Saida Shaaian on 2/26/2002 at 8:08a.m."Enron was represented at the INGA and EPSA summer 2000 and 2001 OMTR Outreach Meetings. You were there too, at one or more of the four meetings. Your name has been included in my submission of information to satisfy the inquiry from Senators Lieberman and Thompson regarding Enron. Therefore, you need not include these in your responses to this inquiry."I don't recall the meeting to which she's referring unless it's the one on 7/19/2001, for which a separate item has been submitted. |
| 33 | Brown, James | Office of the Chief Informati on Officer | Know. and Info. Mgt. | No | | | | | | | |
| 34 | Brown, Michael | Office of the Executiv e Director | DLM | No | | | | | | | |

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| 135 | Brown, Perry L. | Office of Markets, Tarriffs, and Rates | Division of Market Developme nt | Yes | FERC staff | From FERC - Marv Rosenberg, Perry Brown, Mary Lauermann, Kim Bruno From Enron - Christi Nicolay, Sarah Novosel, Jim Steffes, Dave Forster, Kevin Presto, Phillip Allen | We had a series of meetings with different parties to learn about electronic trading in general. This particular meeting was to learn about EnronOnline - how it was set up and how it operated. | 6/11/2001 | | Not to my knowledge. | |
| 136 | Brown, Perry L. | Office of Markets, Tarriffs, and Rates | Division of Market Developme nt | Yes | FERC staff | From FERC - Marv Rosenberg, Perry Brown From Enron - Sarah Novosel | The Enron organizational structure - we asked Sarah to explain where Enron Networks and EnronOnline fit into the company's organizational structure. | 7/11/2001 | | Not to my knowledge. | This was part of our learning about EnronOnline in connection with our getting up to date on electronic trading and its role in energy markets. We visited other companies with "trading platforms," other companies that traded on those platforms, and formal exchanges, including the New York Stock Exchange and the New York Mercantile Exchange. |
| 137 | Brown, Perry L. | Office of Markets, Tarriffs, and Rates | Division of Market Developme nt | Yes | I'm not sure | From FERC - Various FERC staff, possibly some Commissioners From Enron - Presentation team | Some people from Enron made a presentation to interested staff in the Commission Meeting Room. I believe the topic was electronic trading in its early stages. | 4/18/2000 | | Not to my knowledge. | |
| 138 | Brown, Perry L. | Office of Markets, Tarriffs, and Rates | Division of Market Developme nt | Yes | FERC staff | From FERC - Various FERC staff Others - Representatives of various industry segments (see other comments) | Order No. 637 outreach meetings | | 03/08/99; 03/16/99; others | Not to my knowledge. | I have only some of the dates and no lists of attendees. Enron representatives probably attended sessions for pipelines and for marketers. |

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| 139 | Brown, Perry L. | Office of Markets, Tariffs, and Rates | Division of Market Developme nt | Yes | FERC staff | From FERC - Various FERC staff Others - Representatives of Enron; various intervenors | Northern Natural Gas (NNG) dockets no. RP88-259 and no. CP89-1227 | | Various | Decisions in these dockets - approving settlements, I believe. | In 1990 I filed testimony in RP88-259. Following that, I participated in several technical and settlement conferences in connection with the two dockets mentiond at III. Various FERC staff, NNG representatives and intervenor representatives attended various of these conferences. I don't have lists of attendees. I recall Mary Kay Miller and Steve Kean for NNG. Some of the conferences I attended may have carried over into 1992. |
| 140 | Brown, Sylvia | Office of the Executiv e Director | Procureme nt | No | | | | | | | |
| 141 | Brown, William, D | Office of the Executiv e Director | Financial Services | No | | | | | | | |
| 142 | Brown,James,E. | Office of the Executiv e Director | DLM | No | | | | | | | |
| 143 | Brownell, Nora Mead | Office of Commis sioner Brownell | N/A | Yes | Linda Robertson | Linda Robertson, Steven Kean, Mark Frevert | Primarily an introduction of Mark Frevert | 9/19/2001 | | | |
| 144 | Brownell, Nora Mead | Office of Commis sioner Brownell | N/A | Yes | Cynthia Sandherr (New Power | Cynthia Sandherr, Kathleen McGruder H. Eugene Lockhart | Retail Market Issues, including Uniform Business Rules, Transparency | 9/24/2001 | | | |

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| 145 | Brownell, Nora Mead | Office of Commissioner Brownell | N/A | Yes | Enron | About 100-150 invited particlpants from various sectors (public and private) in energy markets | Conference on Energy Markets; NMB participated in discussions involving energy shortages, anticipating the next challenges in energy markets, efforts to mitigate California's electricity crisis, infrastructure, deregulation. Spoke with various Enron officials and other conference participants. | 10/4/2001 | | | |
| 146 | Brownfield, Thomas, J | Office of Markets, Tariffs, and Rates | Market Information | Yes | | | | 12/7/2000 | | | See response from Karen Robinson for this meeting. |
| 147 | Bruce,Melvin,G | Office of the Executive Director | DLM | No | | | | | | | |
| 148 | Bruno, Kim, G | Office of General Counsel | Market, Oversight and Enforcement | Yes | Transwestern (Enron) | I only recall Demetrios Pulas, FERC and Shelley Corman, Enron Jeff Austin Enron, Laurel Hydt FERC, Ed Murrell FERC, Nancy Badget Enron | It was a pre-filing conference regarding Transwestern wanting to offer puts and calls on transportation capacity on its system. | 10/4/2000 | | Enron filed a request for authority to begin its service. After an on the record proceeding with inultiple parties intervening, the Commission denied Enron's request. | |
| 149 | Bruno, Kim, G. | Office of General Counsel | Market, Oversight and Enforcement | Yes | FERC staff | Enron: Shelley Corman, Donna Fulton, Colleen Sullivan-Shaklovitz, Rebecca Cantrell, Leslie Lawner, Dave Forster, Christi Nicolay, Kevin Presto, Steve January, Mike Bryant, Steve Harris, and Julia White. For FERC: Barbara Bourque, Robert Flanders, Marv Rosenberg and Kim Bruno. | E-commerce initiatives and EnronOnLine. | 5/1/2000 | | We prepared a report to the Chairman and made a presentation. | |

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| 150 | Bryan, Beverly E. | Office of the Executive Director | Logistics Management Division | No | | | | | | | |
| 151 | Bryant, Nancy | Office of the Executive Director | Human Resources Management | No | | | | | | | |
| 152 | Bublitz, Christopher J | Office of Markets, Tarriffs, and Rates | Litigation | No | | | | | | | |
| 153 | Buckley, John Joseph | Office of Markets, Tarriffs, and Rates | Rates West | No | | | | | | | |
| 154 | Bullock, Ricky | Office of the Executive Director | DLM | No | | | | | | | |
| 155 | Burgess, Jonathan, L | Office of Energy Projects | Division of Dam Safety Inspection - Atlanta Regional Office | No | | | | | | | |
| 156 | Burgess, Thomas, J. | Office of General Counsel | Office of litigation | Yes | trial staff | Trial staff, representatives of all intrevenors, and representative Transwestern Pipeline Company. | Publically noticed Settlement conference in Transwestern Pipeline Company, Docket No.RP97-288-009 through 016. | | meeting held mid-August 2001. | No. Meeting was unsuccessful and case went to hearing August 27, 2001. | Because of the "fast track" that this case was on there was not time for the usual number of pretrial meetings and discussions. |

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| 157 | Burrell, Hedley M | Office of External Affairs | Press | No | | | | | | | |
| 158 | Burton IV, Leonard | Office of Markets, Tarriffs, and Rates | Rates and Tariffs East | Yes | Commission directed technical conference | Southern Natural Gas Company, Commission advisory staff, unknown customers and interested parties | Southern's imbalance resolution procedures | 12/4/1996 | | Order issued 1-30-97 (78 FERC P61,077) directing Southern to file a revision to its tariff. | Docket No. RP96-346 |
| 159 | Burton IV, Leonard | Office of Markets, Tarriffs, and Rates | Rates and Tariffs East | Yes | Commission directed technical conference | Southern Natural Gas Company, Commission advisory staff, unknown customers and interested parties | Operational Flow Orders | 4/15/1999 | | Order issued 9-30-99 (88 FERC P61,317) accepting Southern's one-year report of OFO activity. | Docket No. RP97-420 |
| 160 | Burton IV, Leonard | Office of Markets, Tarriffs, and Rates | Rates and Tariffs East | Yes | Commission directed technical conference | Tennessee Gas Pipeline Company, Commission advisory staff, unknown customers and interested parties | Reservation of pipeline capacity for expansion projects. | 5/5/1998 | | Order issued 9-24-98 (84 FERC P61,304) directing Tennessee to revise its tariff. | Docket No. RP98-140 |
| 161 | Burton IV, Leonard | Office of Markets, Tarriffs, and Rates | Rates and Tariffs East | Yes | Commission directed technnical conferenc | Equitrans LLP, Commission advisory staff, unknown customers and interested parties | Equitrans' rate for products extraction | 2/25/1999 | | Order issued 5-28-99 (87 Ferc P61,248) directing Equitrans to file a lower rate. | Docket No. RP99-195 |
| 162 | Burtt, Harriet J | Office of Markets, Tarriffs, and Rates | Litigation | No | | | | | | | |

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| 3 | Bushey, William, P | Office of Markets, Tariffs, and Rates | Tariffs and Rates - Central | No | | | | | | | |
| 4 | Button, Van T. | Office of Energy Projects | DEER | Yes | Bob Burnett | Button | reviewed information for implementation plan for FGTIII construction (CP92-182) | | | | |
| 5 | Button, Van, T. | Office of Energy Projects | DEER | Yes | Button | David Brown, Northern Natural | requested environmental data for prior notice application CP94-277 | | | | |
| 6 | Button, Van, T. | Office of Energy Projects | DEER | Yes | Steve Veatch, Bob Burnett, C. Cullwell | Button (FERC), C. Goodwin (FGT archaeological contractor) | approximately 24 phone calls coordinating archaeological excavations related to FGTIII pipeline construction | | 04/11/1994 - 09/06/1994 | Construction clearance letters issued for portions of the project. | |
| 7 | Button, Van, T. | Office of Energy Projects | DEER | Yes | Bob Burnett, C. Goodwin | Button | Discovery of supposed human skeletal material during archaeological investigations at prehistoric site 8WL81 | | 06/22/1994 - 07/25/95 | | 4 or 5 phone calls. |
| 8 | Button, Van, T. | Office of Energy Projects | DEER | Yes | David Brown, Northern | Button | EF Expansion Pipeline Project (CP94-224) pre-construction filing | | | | |
| 9 | Button, Van, T. | Office of Energy Projects | DEER | Yes | D. Brown, Northern | Button | Norther use of unauthorized access road during construction of EF Expansion pipeline | | 10/21/1994 - 10/24/1994 | Commission letter requesting explanation of non-compliance | |
| 10 | Button, Van, T. | Office of Energy Projects | DEER | Yes | Burnett, FGT | Button (FERC) | archaeological clearance for FGTIII pipeline construction additional work spaces | | 12/12/1994 - 12/19/1994 | | 2 - 3 phone calls |
| 11 | Button, Van, T. | Office of Energy Projects | DEER | Yes | Donna Martens, Northern | Button (FERC) | Clarification of environmental and cultural resource information request for East Leg Expansion Pipeline Project | | 03/14/1995 - 04/26/1995 | | |

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| 172 | Button, Van, T. | Office of Energy Projects | DEER | Yes | Bob Burnett | Button (FERC) | Submittal of final archaeological reports for FGTIII pipeline project | | | | |
| 173 | Button, Van, T. | Office of Energy Projects | DEER | Yes | Button (FERC) | Glen Hass (Northern) | informal data request, information about non-jurisdictional facilities CP96-801 | | | | |
| 174 | Button, Van, T. | Office of Energy Projects | DEER | Yes | Donna Martens, Northern | Button (FERC), Glen Hass, John Steenberg (NRON) | environmental inspections and compliance with environmental conditions during construction of CP96-690 Mississippi River Crossing Pipeline | | 09/18/1997 - 06/07/1997 | | 10 - 12 phone calls |
| 175 | Button, Van, T. | Office of Energy Projects | DEER | Yes | Richard Babin (FGT) | Button (FERC) | clarification of information on archaeological survey coverage to be submitted with FGTIV pipeline implementation plan | | | | |
| 176 | Button, Van, T. | Office of Energy Projects | DEER | Yes | Steve Veatch (NRON) | Button (FERC) | preconstruction requirements met for compressor station CP00-04 | | | | |
| 177 | Cadden, Kevin F. | Office of External Affairs | Office of External affairs | Yes | ENRON | Mr. James Steffes and a few other employees of the ENRON Washington office. | Courtesy visit | | September, 2001 | None. | |
| 178 | Cagnon, David W. | Office of the Chief Information Officer | Customer Support and IT Depioymen t Services | No | | | | | | | |
| 179 | Campbell, Heather | Office of Energy Projects | Hydropowe r Administrati on and Compliance | Yes | Deb Shallert PGE | Heather Campbell, Steve Naugle, Jean Potvin Keith Brooks | Draft Memorandum of Agreement (MOA) for surrender of Bull Run Project, FERC No 477. | | August-September 1999 | Comission staff provided general comments on draft MOA | |

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| 180 | Campbell, James G. | Office of Markets, Tarriffs, and Rates | DPIC | Yes | FERC (RTO Week) | Speakers included Steve Walton, Senior Director of Government Affairs as well as others who are listed on the Agenda | The meeting was public and transcripts are filed in Docket No. RM01-12-000 | | 10/5-9/2001 | | |
| 181 | Campbell, James G. | Office of Markets, Tarriffs, and Rates | DPIC | Yes | Not sure, probably New Power | Sponored by FERC Chief economist Dick O'Neil, Several Economist from Office of Economic Advisor & possibly one other DPIC staffer and myself. Also Dr Robert Willig (Princeton University), the general counsel of New Power & one other New Power v.p. | Presentation of Dr. Willig's academic economic study titlesd "Effective Deregulation of Residential Electric Service." The primary focus of which was the need for residntial real time metering. | | November December 2001 | No, the Commission has no jurisdiction over redsidential metering. | |
| 182 | Campbell, Parthenia I | Office of the Executiv e Director | Manageme nt, Administrati ve and Payroll Support | No | | | | | | | |
| 183 | Campbell, Timothy P | Office of the Chief Informati on Officer | Customer Support | No | | | | | | | |
| 184 | Canada, Karen M. | Office of Markets, Tarriffs, and Rates | Central 2 | No | | | | | | | |
| 185 | Cannon, Sheiton, M | Office of Markets, Tarriffs, and Rates | n/a | Yes | Enron | Shelley Korman from Enron and a number of FERC staff | Ms. Korman gave a demonstration of a new product offering - Enron Online | 5/31/2000 | | None | |

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| 186 | Cannon, Shelton, M | Office of Markets, Tarriffs, and Rates | n/a | Yes | FERC | 16 FERC staff, 14 members of the Electric Generation Supply Association (EPSA), including Joe Hartsoe, from Enron | It was one of several outreach meetings we sponsored with different industry groups as part of the Order 2000 rulemaking on Regional Transmission Organizations | 7/12/1999 | | Ultimately, the Commission issued a rulemaking on Regional Transmission Organizations, but it was not in response to this particular meeting. | |
| 187 | Cannon, Shelton, M | Office of Markets, Tarriffs, and Rates | n/a | Yes | OMTR | 30 staff members from FERC and 12 members of the Electric Power Supply Association (EPSA), including James Steffes from Enron | Comparability of transmission service and Efficient RTO formation and operation | 5/30/2001 | | None | It was part of our office's industry outreach where we routinely solicit the viewpoints of various industry segments on important issues confronting our agency. For more details, see the comprehensive write-up prepared by Saida Shalaan. |
| 188 | Cannon, Shelton, M | Office of Markets, Tarriffs, and Rates | n/a | Yes | OMTR | 25 staff members from FERC, 15 members of the Interstate Natural Gas Association of America (INGAA), including Stan Horton, Shelley Corman, and Stan Horton from Enron | Liquidity/transparency of the marketplace, e-commerce, anticipating new services in the evloving marketplace, and marketing affiliates. | 7/7/2000 | | none | It was part of our office's industry outreach where we routinely solicit the viewpoints of various industry segments on important issues confronting our agency. For more detailed information, see the summary prepared by Saida Shalaan. |
| 189 | Cannon, Shelton, M | Office of Markets, Tarriffs, and Rates | n/a | Yes | INGAA | representatives from all the major pipelines, including Shelley Corman from Enron, and several FERC employees, including Dan Larcamp and myself | To provide an overview of the gas pipeline industry to FERC electric staff who were anxious to learn about gas issues. | | Jun-99 | no | |
| 190 | Canter, Steven L | Office of the Executive Director | Human Resources Management | No | | | | | | | |
| 191 | Capps, Edward C. | Office of Markets, Tarriffs, and Rates | Administrative and Case Tracking | No | | | | | | | |

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| 192 | Capps, Edward C. | Office of Markets, Tarriffs, and Rates | Administrati on & Case Tracking | No | | | | | | | |
| 193 | Capps, Edward C. | Office of Markets, Tarriffs, and Rates | Administrati on & Case Tracking | No | | | | | | | |
| 194 | Caramanica, Joseph | Office of Energy Projects | Pipeline Certificates | No | | | | | | | |
| 195 | Carey, Connie, B | Office of General Counsel | Administrati ve Office | No | | | | | | | |
| 196 | Carlson, John T. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - West | Yes | FERC Staff | Randy Rich (Gas Marketers) Enron and other pipeline and industry representativess | Noticed public conference on the continuing need, and implications for state unbundling programs, for the Commission's policy requiring shippers to hold title to gas being transported by interstate pipelines. | 4/6/1999 | | No direct action....Commission later reaffirmed its policy in several orders in individual cases. | |
| 197 | Carlson, John T. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - West | Yes | Transwestern Pipeline Company | Shelley A. Corman, VP & Asst. GC, Enron Gas Pipeline Group, Glen R. Hass, Director, Rates and Tariffs, Enron Trans. & Storage, Jeffery Fawcett, Acct Exec., Enron Trans. & Storage, Mary Kay Miller, VP Rates & Tariffs, Enron Trans. & Storage. Michael Coleman, FERC/OMTR, John Carlson, FERC/OMTR | Prefiling meeting on Transwestern Pipeline Company proposal to sell options on future gas pipeline transmission capacity. | | Sep-00 | On 10/17/00 Transwestern filed application in Docket No. RP01-56. The Commission accepted and suspended the contested filing for 5 months and ordered staff to convene a technical conference. | |

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| 198 | Carlson; John T. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | Yes | Northwest Natural Gas Company | J. Mark Metts, VP Corp. Dev., Enron Corp., Paul Kaufman, VP Govt. Aff., Enron Corp. Susan Akerman, Mgr. Reg. Aff. & Assoc. Counsel, NW Natural, Julie Solomon and William Hieronymus, VP's, Charles River Associates., James K. Mitchell, Thelen Reid & Priest, Michael Coleman, FERC/OMTR, John Carlson, FERC/OMTR, David Hunger and Zeny Magos, FERC/OMTR. | Pre-filing conference w/ NW Natural/Portland General regarding merger application. | 10/19/2001 | | NW Natural filed its Application in Docket No. EC02-30. Commission approved merger on 02/13/02. | |
| 199 | Carlson, John T. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | Yes | Transwestern Pipeline Company | Mary Kay Miller, VP Rates & Tariffs, Enron Trans. & Storage, additonal Transwestern personnel, Robert Cupina FERC/OEP ?, Kenneth Niehaus FERC/OEP ?, John Carlson FERC/OMTR, and other FERC staff ?. | Prefiling meeting to discuss a proposed 150 MMBtu/d expansion of the Transwestern Pipeline Company system. | | Feb/Mar 2001 | Transwestern filed an application on March 29, 2001, in Docket No. CP01-115-000. The Commission approved the application by order issued July 16, 2001. | |
| 200 | Carlson, John T. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | Yes | Florida Gas Transmission Company | Various Florida Gas officials, including Robert Kilmer, VP and GC and Teb Lokey, Director of Rates and Tariffs. FERC staff included Robert Cupina, Robert Christin ? , John Carlson, Robert Schroeder ? and various environmental analysts. | Florida Gas Transmission Company pre-filing meeting to discuss issues related to its proposed Phase V expansion. | | Oct/Nov 1999 | Application filed on 12/1/99 in Docket No. CP00-40. | |
| 201 | Carlson, John T. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | Yes | FERC Staff in Public Notice | Among the participants were: Mary Kay Miller - Enron, Drew Fossom - Enron, Nancy Bagget - Enron, John Carlson - FERC, Cheum Ni - FERC, Rhodell Fields - FERC, Peter Roidakis FERC, Kathleen Edwards for Indicated Shippers (BP/Amoco, Chevron, Shell, etc.), Sempra Energy, Calpine, El Paso Natural Gas Company, Williams Companies, etc. | Publicly noticed conference to discuss technical and policy issues related to Transwestern's 10/17/2000 filing in Docket No. RP01-56, in which it proposed to sell options on future pipeline transmission capacity. | 1/9/2001 | | After the conference, Transwestern modified its proposal, and additional comments were filed. Subsequently, the Commission issued an order rejecting Transwestern's proposed tariff revisions. | |

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| 202 | Carlson, John T. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | Yes | Enron affiliated gas pipeline companies | Enron officials: Mary Kay Miller, Robert Kilmer, Teb Lokey, Joe Hartsoe, Donna Fulton, Nancy Bagget and Mike Van Orden | Various periodic telephone inquiries regarding routine procedural questions on pending or proposed rate and certificate applications. | | 1992-2002 | no. | |
| 203 | Carlson, John T. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | Yes | FERC Staff | INGAA representatives, Alice Fernandez, Andrea Wolfman, John Carlson, etc??? | Informal Idustry Outreach Meeting on major/emerging gas issues. | 11/16/1998 | | No direct action...Ultimately Commission issued a NOPR that led to the issuance of Order No. 637. | |
| 204 | Carlson, John T. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | Yes | FERC Staff | Natural Gas Marketing Companies (including Enron) and FERC Staff, including Alice Fernandez, Andrea Wolfman, and John Carlson, etc. | Industry Outreach Meeting to discuss major and emerging natural gas issues. | 12/2/1998 | | no direct action...Commission ultimately issued a NOPR, received additional public comment, and issued Order No. 637 | |
| 205 | Carlson, John T. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | Yes | INGAA | Among the participants were various representatives of interstate pipeline companies, FERC staff and Commissioner's assistants. | Annual INGAA Regualtory Affairs Conferences to discuss current and emerging natural gas regulatory isues. | | Apr/May 1996, 1999, 2001 | no | |
| 206 | Carlson, John T. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | Yes | FERC Staff | Various pipeline, producer and marketer representatives and various members of FERC staff. | Post Order No. 637 industry Outreach meetings to discuss implications of Order No. 637 and related natural gas issues. | | Feb/Mar 1999 | no | |
| 207 | Carlson, John T. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | Yes | FERC Staff | Various gas and electric industry represenatatives | Outreach meetings with various gas and electric industry represenatatives to discuss current and emerging gas and electric regulatory issues. | | Jan. 13, Feb. 10, Ju. 7, 2000 | no | |

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| 208 | Carlson, John T. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | Yes | FERC staff | various electric and gas industry representatives (including Enron) | Industry outreach meetings to discuss current and emerging natural gas and electric regulatory issues. | | June/July 2001 | no | |
| 209 | Carlson, John T. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | Yes | INGAA/EEI | Various gas and electric industry representatives including Enron, and various FERC staff including Alice Fernandez, John Carlson, etc. | Presentation on the development and status of a new service/rate schedule for pipeline service to electric generation loads. | 7/26/2001 | | no. Industry has not | |
| 210 | Carlson, John T. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | Yes | Enron/INGAA | Various personnel of Enron's pipelines, and numerous industry-related, Hill and FERC personnel. | Several Holiday receptions in the early to mid 1990s | | 1992-1998 | no | |
| 211 | Carlson, John T. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | Yes | FERC Staff | Various industry representatives (including Enron), FERC Staff and the public. | Publicly noticed staff conference on marketing affiliate issues in Docket No. PL00-1 | 9/19/2000 | | No direct action. However, Commission subsequently issued a NOPR in Docket No. RM01-10. | |
| 212 | Carlson, John T. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | Yes | INGAA | INGAA and various Washington, DC based, pipeline regulatory affairs representatives, including Enron's. | Twice in the last 10 years I have been asked to speak at the industry's FERC-Beat luncheon on current and emerging regulatory issues. | | 1995 and 1998 | no | |
| 213 | Carter, Alton Brooks | Office of the Chief Informati on Officer | IT Strategic and Capital Planning and Policy | Yes | FERC | Representatives from regulated entities, law firms, and the public | Technical conference on electronic filing chaired by Brooks Carter (PL98-1) | 6/30/1998 | | Information from the conference was used to develop the Commission's electronic filing strategy | Enron filed comments in response to request for comment and notice of intent to hold technical conference |

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| 214 | Carter, Alton Brooks | Office of the Chief Informati on Officer | IT Strategic and Capital Planning and Policy | Yes | FERC Staff | Representatives from regulated entities, law firms, and the public. Donna Fulton, employed by Enron at the time, attended for Enron. | Technical conference with FERC staff to discuss electronic filing issues | 6/24/1999 | | Staff used the information from the conference to further develop FERC's technical specifications for electronic filing | |
| 215 | Carter, Alton Brooks | Office of the Chief Informati on Officer | IT Strategic and Capital Planning and Policy | Yes | FERC Staff | Representatives from regulated entities, law firms, and the public. Nancy Bagot and Michael van Norden of Enron attended the conference. | Issues related to the Commission's electronic filing program | 12/12/2000 | | Conference discussion led to finalizing the IT production system for electronic filing | |
| 216 | Carter, Gwendolyn E | Office of General Counsel | Office of Energy Projects | No | | | | | | | |
| 217 | Caruso, James B | Office of Markets, Tariffs, and Rates | Market Developme nt | Yes | Office of Chief Accountant | I have no record. These were twice-a-year liaison meetings with INGAA members representing the Gas Industry. At the time I was employed by the Office of Chief Accountant, Division of Audits, and at a later date, the Division of Elatric and Hydropower Operations. I understand that Janice Garrison submitted this information for all attendees. | Accounting issues. | | Twice a year | Meetings resulted in a better understanding of accounting issues and problems. | |
| 218 | Cary, William R. | Office of Markets, Tariffs, and Rates | Rates-East Group 2 | Yes | Northern Natural and its Customers | FERC Staff and Northern Natural Personnel | Cost of Service and rate of return issues | 05/01998 | 4/30/1988 | Settlement of rate proceeding filed April 16, 1999. Supported by all parties. | |
| 219 | Cary, William R. | Office of Markets, Tariffs, and Rates | Rates-East Group 2 | Yes | FERC Staff | FERC Staff and Northern Natural Personnel | Field Review by the Cost Analysis Field Review Branch of Northern Natural's TA92-1-59-000 filing. | 2/24/1992 | 2/24/92 through 3/6/92 | By order issued 12/2/92, in Docket No. TA92-2-59, Northern Natural was directed to make certain adjustments in response to findings from the field review. | Staff unrecovered issues with regard to exchange valuation, carrying charge calculations, tariff language, assessment test calculation, current adjustment calculations and the reconciliation between purchase volumes and sales volumes. |

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| 220 | Catlin, Robert T. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates Central | No | | | | | | | |
| 221 | Chamblee, Don A. | Office of External Affairs | Congressional, Intergovernmental and Public Affairs | No | | | | | | | |
| 222 | Chapman, Craig, O. | Office of the Executive Director | Management, Administrative & Payroll Support | No | | | | | | | |
| 223 | Charles Whitmore | Office of the Executive Director | Strategy and Performance Staff | | | | | | | | I must have met Enron employees at various conferences I have spoken at over the last ten years. I have no memory of any specifics, since the employees of any company would always have been only one or two people out of a large number. |
| 224 | Chew, Tony, F | Office of Markets, Tarriffs, and Rates | Central-Group 3 | No | | | | | | | |
| 225 | Cholka, Joseph P | Office of Markets, Tarriffs, and Rates | Energy markets | No | | | | | | | |

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| Christin, Robert F. | Office of General Counsel | Energy Projects | Yes | see below | see below | see below | | | | In addition to the more specic reponses I have submitted to this question, I am sure I have met with Enron executives at other prefiling meetings during the period covered. However, I don't have any records or recollection of the specific meetings. |
| Chung, Charles | Office of the Chief Informati on Officer | IT Infrastructu re & Operations | No | | | | | | | |
| Cintron, Carmen A. | Office of Administ rative Law Judges | ALJ | No | | | | | | | |
| Cipkus, Horatio, A | Office of Markets, Tarriffs, and Rates | Rates Central | Yes | FERC | Representatives of Florida Gas Transmission | Order No. 636 Restructuring RS92-16-000 | | 09/14/92 - 09/15/92 | Eventually Florida Gas' restructuring was completed | |
| Cipkus, Horatio, A | Office of Markets, Tarriffs, and Rates | Rates Central | Yes | FERC | Representatives of Northern Natural Representatives of Northern Natural | RP97-225-000 Williams Natural Gas Company application on Nitrogen content. Technical Conference | 3/11/1997 | | Williams application and offer of settlement was rejected by the Commission | |
| Cipkus, Horation, A | Office of Markets, Tarriffs, and Rates | Rates Central | Yes | FERC | Representaives of Northern Natural | RP98-391-000 Colorado Interstate Gas Company application for Swing Service. Technical Conference | 10/21/1998 | | CIG's proposal for Swing Service was accepted by the Commission | |

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| Clarey, Patrick E | Office of Markets, Tarriffs, and Rates | Central | Yes | INGAA | multiple persons form the industry | annual Holiday Party | | Dec-00 | no | I had a general discussion with representatives from Northern Natural Gas at this function. I did not discuss any pending or previous FERC matters. The discussion was limited and only involved "catching-up" as I knew these people from my previous employment. |
| Clarey, Patrick E | Office of Markets, Tarriffs, and Rates | Central | Yes | INGAA | multiple persons from industry | Regulatroy Affairs Conference | | May 17-18, 2001 | No. | |
| Clarey, Patrick E | Office of Markets, Tarriffs, and Rates | Central | Yes | Federal Energy Bar Association | multiple persons from industry | annual bar association meeting | | Nov-00 | No. | |
| Clark, Anja M. | Office of General Counsel | Litigation | Yes | Staff Counsel | Commission staff counsel, Northern Border Counsel, Intervenors | Discovery and settlement | | 1995-1996 | Settlment approved | |
| Clark, Anja M. | Office of General Counsel | litigation | Yes | Staff- settlement conference | all parties (I have no list of attendees) | EOTT Energy Operation LP's complaint against Conoco Pipe Line Company, regarding the appropriate proration policy to be applied to intermittent customers. I was co-counsel in this case. | | after 10/2000 | | EOTT withdrew its complaint, and on 1/30/01 Judge Herbert Grossman issued an order stating that EOTT's withdrawal automatically terminated the proceeding. |
| Clark, Bryan L. | Office of Administ rative Law Judges | Law Clerk | No | | | | | | | |

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| 245 | Clark, Jeffrey | Office of the Chief Informati on Officer | Know. and Info. Mgt. | No | | | | | | | |
| 246 | Clark, Sheila Marie | Office of the Executiv e Director | Human Resources Manageme nt Division | No | | | | | | | |
| 247 | Clay, Carlos D. | Office of Markets, Tarriffs, and Rates | West | No | | | | | | | |
| 248 | Clayton, Miriam B. | Office of External Affairs | Office of External Affairs | No | | | | | | | |
| 249 | Clements, John H. | Office of General Counsel | Energy Projects | Yes | Don't remember. | Julie Keil, Portland General Electric John Clements third person I can't remember | hydro licensing process | | 01/01/199 7 - 02/15/199 7 | No. | Julie, the third person I can't remember, and I had dinner the night before the opening of a workshop we were attending. I bought my own dinner. |
| 250 | Cobb, Gwen | Office of the Executiv e Director | Administrati ve Support Staff | No | | | | | | | |
| 251 | Cockrell, Joel M. | Office of General Counsel | Litigaton | No | | | | | | | |
| 252 | Coffman, David H. | Office of General Counsel | Solicitor | No | | | | | | | |

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| 253 | Cofrancesco,Jon, E. | Office of Energy Projects | Division of Environmental and Engineering Review | No | | | | | | | |
| 254 | Cole, Troy D | Office of the Executivo Director | Budget | No | | | | | | | |
| 255 | Coletta, Pietro | Office of Energy Projects | D2SI | No | | | | | | | |
| 256 | Coleman, Michael A | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | Yes | stakeholders in the Pacific Northwest | approximately 90-120 persons from various stakeholder interests attended the meetings; Enron employees included Alan Comnes (Enron Power Marketing), Frank Afranji (Portland General Electric, Steve Walton (Enron) and others that I don't recall their names; other FERC staff attended at least one of these meetings with me, including Annette Marsden, Jamie Simler and Jon Worthington | the meetings were the regional representatives group (RRG) meetings to discuss issues related to the formation of RTO West as the regional transmission organization for the Pacific Northwest | | meetings were held biweekly/monthly (approximately 15 total) between June 2000 and December 2001 | no action was taken by the Commission in response to the meetings; however, in 02/01 the Commission approved a filing made by the parties that was based on the discussions at the various RRG meetings | |
| 257 | Coleman, Michael A | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | Yes | Dave Perrino, Dir. Govt Affairs Enron | Dave Perrino and myself | introductions; Perrino recently appointed to position | | summer 2001 | no action taken | |
| 258 | Collins, William J. | Office of General Counsel | Litigation | Yes | Settlement conference convened by FERC Trial Staff, Transco and other parties | FERC Trial Staff, Suzanne Calcagno for Enron North America Corp. and 58 other people on the service list. | Settlement of Transco's rate increase filing to resolve issues relating to Transco's cost of service, gas volumes, cost allocation and rate design | 9/24/2001 | | Scheduled another settlement conference. | |

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| 259 | Collins, William J. | Office of General Counsel | Litigation | Yes | Settlement conferences convened by FERC Trial Staff, the California PX and other parties. | FERC Trial Staff, Joseph Hartsoe, VP, Enron Power Marketing, Inc. and Ronald Carroll of Bracewell & Patterson for Enron Power Marketing and as well as others on service list. | Settlement of the California PX's rate filing to resolve issues relating to the California PX's cost of service, gas volumes, cost allocation and rate design. | | | A settlement of the California PX proceeding was reached and filed on Sept. 26, 2000. | |
| 260 | Collins, William J. | Office of General Counsel | Litigation | Yes | Technical conferences convened by FERC Staff | FERC Staff, Kim Curry of Bracewell & Patterson for Enron North America Corp.; Nancy Bagot of Enron North America as well as others on the service lists. | Technical conference on Columbia Gas and Columbia Gulf Order No. 637 compliance filings. | | | The 637 applications are pending Commission action | |
| 261 | Collins, William J. | Office of General Counsel | Litigation | Yes | Settlement confernces convened by FERC Trial Staff, CNG and other parites | FERC Trial Staff; No record of attendance but listed on the service list are Rebecca Cantrell, and Leslie Lawner of Enron North America Corp. | Settlement of CNG's rate increase filing to resolve issues relating to CNG's cost of service, gas volumes, cost allocation and rate design. | | | A settlement of the CNG rate proceeding was reached and filed on August 31, 1998. | |
| 262 | Collins, William J. | Office of General Counsel | Litigation | Yes | Settlement confernces convened by FERC Trial Staf, Northwest and other parties. | FERC Trial Staff; No record of attendance but listed on the service list are Rebecca Cantrell, and Leslie Lawner of Enron North America Corp; Mary Kay Miller, VP, Maria Pavlow, Manager, Steven Kirk, Director, Transwestern Pipeline Co. | Settlelment of Northwest's rate increase filing to resolve issues relating to Northwest's cost of sevice, gas volumes, cost allocation and rate design. | | | A settlement of the Northwest rate proceeding was reached and filed on July 22, 1997 | |
| 263 | Collins, William J. | Office of General Counsel | Litigation | Yes | Settlement conferences convened by FERC Trail Staff, WEPCo and NSP. | FERC Trial Staff; No record of attendance but listed on the service list are Christi Nicolay, Senior Director, Joseph Hartsoe of Enron Power Marketing, Inc; Jeffrey Watkiss of Bracewell & Patterson for Enron Power Marketing. | Settlement of WEPCo's complaint against NSP | | | A settlement of the compalnt case was reached and filed on March 19, 1999. | |
| 264 | Collins, William J. | Office of General Counsel | Litigation | Yes | Settlement conferences convened by FERC Trial Staff, ANR and other parties. | FERC Trial Staff; No record of attendance but listed on the serice list are Mark Schroeder and Donna Fulton of Northern Natural Gas Co. | Settlement of ANR's filing to recover pricing differential costs incurred as a result of implementation of Order No. 636. | | | A settlement of the ANR proceeding was reached and filed on August 31, 1998 | |

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| 265 | Collins, William J. | Office of General Counsel | Litigation | Yes | FERC Trial Staff, and Northern Border representatives. | FERC Trial Staff, and Northern Border representation. | Data Requests, Procedural and substantive issues in Northern Border's rate case. | | | A settlement of the Northern Border rate proceeding was reached and filed on Sept. 26, 2000 | |
| 266 | Collins, William J. | Office of General Counsel | Litigation | Yes | FERC Trial Staff, and Ronald Carroll of Bracewell & Patterson representing Enron Power Marketing. | FERC Trial Staff, and Ronald Carroll of Bracewell and Patterson representing Enron Power Marketing. | Data Requests, Procedural and substantive issues in the California PX case. | | | A settlement of the California PX proceeding was reached and filed on Sept. 26, 2000 | |
| 267 | Collins, William J. | Office of Geeral Counsel | Litigation | Yes | Settlement confeence convened by FERC Trial Staff, Texas Gas and other parties | FERC Trial Staff, Nancy Pickover, Kim Curry or Michael Henry of Bracewell & Patterson for Enron; Suzanne Calcagno, Donna Fulton and Ray Alvarez for Enron North America as well as others on the service list. | Settlement of Texas Gas' rate increase filing to resolve issues relating to Texas Gas' cost of service, gas volumes, cost allocation and rate desgin | | | A settlement of the Texas Gas rate proceeding was reached and filed on August 14, 2001. | |
| 268 | Collins, William J. | Office of General Counsel | Litigation | Yes | Settlement conference covened by FERC Trial Staff, Northern Border and other parties. | FERC Trail Staff, Northern Plains Natural Gas Co. Employee: Raymond Neppl (VP Regulatory Affairs and Throughput Management), Janet Place (VP and General Counsel), Jerry Peters (VP of Finance and Treasurer), B.L. Heckerman (Rates Manager) and Mark Sundback, Attorney with Andrew & Kurth as well as others on service list. | Settlement of Northern Border's rate increase filing to resolve issues relating to Northern Border's cost of service, gas volumes, cost allocation and rate design. | | | A settlement of the Northern Border rate proceeding was reached and filed on Sept. 26, 2000. | |
| 269 | Collins, William J. | Office of General Counsel | Litigation | Yes | Settlement conferences convened by FERC Trial Staff, ANR and other parties. | FERC Trial Staff: No record of attendance but listed on the service list are Rebecca Cantrell and Leslie Lawner of Enron North America Corp and Martin Marz, Donn Fulton, Joseph Hartsoe and Patrick Joyce of Northern Natural Gas Co. | Settlement of ANRs rate increase filing to resolve issues relating to ANR's cost of service, gas volumes, cost allocation and rate design. | | | ALJ initial decision issued on January 10, 1997. Settlement of the ANR rate proceeding was reached and filed on October 17, 1997 | |

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| 270 | Collins, Willliam J. | Office of General Counsel | Litigation | Yes | Settlement conferences convened by FERC Trial Staff, Texas Eastern and other parties | FERC Trial Staff; No record of attendance but listed on the service list are Paul Wielgus and Leslie Lawner of Enron North America Corp. | Settlement of Texas Eastern's rate increase filing to resolve issues relating to cost of service, gas volumes, cost allocation and rate design. | | | A settlement of the Texas Eastern rate proceeding was reached and filed on April 21, 1995 | |
| 271 | Collins,Steve,A | Office of Energy Projects | Division of Dam Safety | No | | | | | | | |
| 272 | Colllins, William J. | Office of General Counsel | Litigation | Yes | Settlement conferences convened by FERC Trial Staff, ANR and other parties | FERC Trial Staff, No record of attendance but listed on the service list are Rebecca Cantrell and Leslie Lawner of Enron North America Corp. and Patrick Joyce and Donna Fulton of Northern Natural Gas Co. | Settlement of ANR's filing to recover gas supply realignment costs as a result of implementation of Order No. 636. | | | A settlement of the ANR's proceeding was reached and filed on May 8, 1995 | |
| 273 | Colter, Ron, A | Office of Energy Projects | Division of Pipeline Certificates | No | | | | | | | |
| 274 | Condo, C. Blake | Office of Energy Projects | DHAC | Yes | myself | Robert Steele, PGE | Fish Kill at p-2233 Willamette Falls, on 4/11/01 | | | No | |
| 275 | Condo, C. Blake | Office of Energy Projects | DHAC | Yes | myself | Oregon Department of Fish and Wildlife, Stephanie Burchfield, Hydropower Coordinator | p-2233 Willamette Falls fish kill 4/11/01 | | | No | |
| 276 | Condo, C. Blake | Office of Energy Projects | DHAC | Yes | Robert Steele, licensee p-2233 | Blake Condo | fish kill p-2233 willamette falls, 4/11/01 | | | No | |
| 277 | Condo, C. Blake | Office of Energy Projects | DHAC | Yes | Blake Condo | NMFS- Michele Day | fish kill, p-2233 willamette falls, 4/11/01 | | | No | |
| 278 | Condo, C. Blake | Office of Energy Projects | DHAC | Yes | NMFS- Michele Day | Blake Condo | Fish kill, p-2233, willamette falls 4/11/01 | | | No | |

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| 279 | Condo, C. Blake | Office of Energy Projects | DHAC | Yes | Blake Condo | Oregon State Police- Trooper Jeff Butler | Fish Kill, Willamette Falls, p-2233, 4/11/01 | | | No | |
| 280 | Connors, Barbara A. | Office of External Affairs | press services | No | | | | | | | |
| 281 | Connors, Carol | Office of External Affairs | Congressional, Intergovernmental and Public Affairs | No | | | | | | | |
| 282 | Cook, Christopher B. | Office of the Chief Information Officer | Software Engineering | No | | | | | | | |
| 283 | Cook, Marika L. | Office of the Executive Director | Division of Organizational Management | No | | | | | | | |
| 284 | Cornwall, Barry H. | Office of the Executive Director | Division of Logistics Management | No | | | | | | | |
| 285 | Cotleur, Michael D. | Office of General Counsel | Litigation | Yes | | | | | April 16 - July 5, 1996 | no | Settlement reached, and submitted to commission on 7/30/96 |
| 286 | Cotleur, Michael D. | Office of General Counsel | Litigation | Yes | | | | | 10/21/97 - 11/13/97 | No | Settlement reached, and filed 12/10/97 |
| 287 | Cotleur, Michael D. | Office of General Counsel | Litigation | Yes | | | | | summer 2001 | No | Case currently pending (Initial Decision, and briefs on and opposing exceptions) before the Commission. |

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| 288 | Couch, John F. | Office of the Executiv e Director | DLM | No | | | | | | | |
| 289 | Coulter, Roberta L. | Office of Energy Projects | Division of Environme ntal and Engineerin g Review | No | | | | | | | |
| 290 | Coulter, Victor, I | Office of Markets, Tarriffs, and Rates | Rates West | Yes | Staff | Victor Coulte - Staff Bill William - Portland General Electric | Unbundling as required by Order No.888 | | 10-96 through 01/97 | None | Several telephone calls occured with the purpose of giving Portland General guidance on how to unbundle its contracts as required by Order No. 888. |
| 291 | Coulter, Victor, I. | Office of Markets, Tarriffs, and Rates | Rates West | Yes | Staff | Victor Coulter - Staff and Phil Nowak for Zond Development Corporation and Zond Minnesota Development Corporation II | Request for confidential treatment of certain parts of a contract | | May-97 | None. The Commission ultimately issued an order for market based rate authority for a wind turbine generator. | Zond filed to limit its requests for confidentality as a result of staff's phone conversations with Zond's staff. |
| 292 | Court, Susan J. | Office of General Counsel | GAL | No | | | FERC Trial Staff, | | | | |
| 293 | Court, Susan J. | Office of General Counsel | Solicitor | Yes | Joseph Hartsoe, VP, Enron Power Marketing, Inc. and Ronald Carroll of Bracewell & Patterson for Enron Power Marketing and as well as others on service list | Joseph Hartsoe, VP, Enron Power Marketing, Inc. and Ronald Carroll of Bracewell & Patterson for Enron Power Marketing and as well as others on service list | Settlement of the California PX's rate filing to resolve issues relating to the California PX's cost of service, gas volumes, cost allocation and rate design | | | No. | |
| 294 | Crawford, Pamela, S. | Office of the Secretar y | Agenda | No | | | | | | | |

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| 295 | Creamer, Allan E. | Office of Energy Projects | Division of Environmental and Engineering Review | No | | | | | | | |
| 296 | Crenshaw-Davis, Gladys | Office of General Counsel | Energy Projects | No | | | | | | | |
| 297 | Crocker, Lawrence, D | Office of the Chairman | N/A | No | | | | | | | |
| 298 | Crow, Lon R | Office of Energy Projects | Environmental and Engineering Review | Yes | Arnie Podgorsky | Lon Crow, Dan Adamson | September 19 meeting with staff regarding the Lake Elsinore Pumped Storage Project. Memorandum provided background information regarding the proposed project as well as a meeting agenda. | | | meeting was held with project proponents on September 19, 2000. | |
| 299 | Crow, Lon R | Office of Energy Projects | Environmental and Engineering Review | Yes | Arnold Podgorski | Lon Crow | Letter transmitted copy of draft preliminary environmental assessment for the proposed Lake Elsinore Pumped Storage Project | | | Staff responded by letter dated 09/28/2000 | |
| 300 | Crow, Lon R | Office of Energy Projects | Environmental and Engineering Review | Yes | Arnie Podgorsky | Lon Crow | Jurisdiction of the proposed Lake Elsinore Pumped Storage Project | | | no | |
| 301 | Crow, Lon R | Office of Energy Projects | Environmental and Engineering Review | Yes | Lon Crow | Arnold Podgorski | Letter provided staff comments on the preliminary draft environmental assessment for the prposed Lake Elsinore Pumped Storage Project and additional information regarding the licensing process. | | | Staff attended a public meeting in the proposed project area in June 2001. (see submittal from James Fargo) | |

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| 302 | Crunkilton, Paula S. | Office of Markets, Tariffs, and Rates | West, Group 1 | Yes | Commission Staff | Representatives for intervening and protesting parties in particular docket. At least 40-50 persons were in attendance with one Enron representative at the technical conference. | Enron personnel or an attorney on behalf of Enron attended a number of technical conferences under the following dockets that I facilitated. To my recollection, the Enron representative was not an active participant. Docket No. RP01-190-000 Kern River Volume to heat content conversion mechanism for natural gas volumes outlined in tariff (April 2001) Docket No. RP00-337-000 Kern River Compliance with Order No. 637 (October 2000 and April 2001 tech conferences) RP01-267-000 Northern Border firm Backhaul Service. | | October 2000 and April 2001 | No, not in relation to Enron. | |
| 303 | Cunningham, Michael | Office of the Executive Director | DLM | No | | | | | | | |
| 304 | Cupina, Robert J. | Office of Energy Projects | Deputy Office Director | Yes | Enron representatives, including Joe Hartsoe, Shelley Korman, Stan Horton, and Donna Fulton. | Myself | Various industry functions where we discussed topics of general interest. | | | No | This is the period during which I was the Deputy Director of the Office of Pipeline Regulation. |
| 305 | Czarick, Robert, R | Office of Markets, Tariffs, and Rates | Division of Market Information | No | | | | | | | |

# Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

| | A | B | C | D | E | F | G | H | I | J | K |
|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | **Name** | **Office** | **Division** | **(a)** | **I. Who Initiated the meeting** | **II. List all that were present at the meeting** | **III. What was the subject matter(s) of the meeting** | **IV. Give the date** | **IV. Date range** | **V. Was any action taken by the Comm. in response to the meeting** | **VI. Other Comments (if any)** |
| 306 | Dalgetty, Herman S. | Office of the Executiv e Director | Financial Services Division | Yes | Betsy Clarke Enron's attorney | Betsy Clarke Two other attorneys And an employee from Enron, and my self | To hand deliver revised Reporting Requirement 582 for callendar year 1997, and to pick up revised and adjusted annual charge bill. | | | The Annual Charge bill was corrected to reflect the revised Reporting Requirement 582 sales volume. | This was an unexpected visit I was in my office when I heard a knock when I looked up it was four people standind in the door way, Betsy Clarke introduced herself to and then proceeded to introduce the others. tThen she said they were in the building for some other matter and she deceided to drop by and give me a copy of the corrected RR 582. |
| 307 | Dalton, Patricia A. | Office of Markets, Tariffs, and Rates | West | No | | | | | | | |
| 308 | Dambrava, Pamela, S | Office of the Chairma n | CH-1 | No | | | | | | | |
| 309 | Damiani, Stefanie, A | Office of Energy Projects | DEER | Yes | myself, and Midwestern Staff | Myself, and Midwestern Staff=David Marranville, or Mark Johnson, or Bill Rayburn | Endangered Species Act--Indiana Bat, and forest fragmentation | | Oct 1998--Dec 1998 | contacted FWS a few times regarding issue to coordinate ESA requirements | A few conversations dealing with preventing/minimizing forest fragmentation and trying to preserve the Indiana bat. |
| 310 | Damiani, Stefanie, A, | Office of Energy Projects | DEER | Yes | Michelle Winckowski of Northern Natural | Myself--Stefanie | Left message--following up my earlier question regarding extra workspaces. | | | n/a | |
| 311 | Damiani, Stefanie, A. | Office of Energy Projects | DEER | Yes | Stefanie Damiani | Keith Peterson of Northern Natural, CP98-132-001 | Telephone call to clarify about extra work spaces on the C- and D-Lines extention | | | n/a | Keith would relay message to appropriate staff member. |
| 312 | Damiani, Stefanie, A. | Office of Energy Projects | DEER | Yes | Michelle Winckowski of Northern Natural | Myself--stefanie | Regarding my reciept of filed directors letter. CP98-132-001 | | | n/a | |
| 313 | Damiani, Stefanie, A. | Office of Energy Projects | DEER | Yes | Michelle Winckowski--Northern Natural | myself--Stefanie | CP98-132-001, extra workspaces | | | n/a | clarified that the "D" line would cross over to the "A" line |

# Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 314 | Damiani, Stefanie, A. | Office of Energy Projects | DEER | Yes | Myself, and Company staff (Midwestern) | Myself, and Company staff (Midwestern)=David Maranville, Mark Johnson, and/or Bill Rayburn | Regarding site visit details | | Oct. 1998; Feb 1999; May 1999 | none | worked out schedules and coordinated itineraries for the 3 site visits (see dates above) for pre-construction, construction, and post-construction inspections |
| 315 | Davis, Michael S | Office of Energy Projects | Division of Dam Safety & Inspections | Yes | Loren E. Mayer,Portland General Electric | Harry Hall, FERC-OEP-D2SI-PRO (Portland Regional Office) | PGE's proposal for installing stream and rainfall gages upstream of the project to calibrate key parameters needed to determine the Probable Maximum Flood for the Round Butte Project NO. 2030. | | | Video conference with PGE on 11/17/1999. Site Visit with PGE on 12/6-7, 1999. 11/01/2000 letter accepting PGE's proposal with comments. | I was requested to get involved with the technical issues in November 1999, beginning with the 11/17/1999 video conference and 12/6-7/1999 site visit. I prepared a special inspection report dated December 21, 1999, to document my site visit. My involvement ended with the review of the draft letter that was finalized and sent by Harry Hall to PGE on November 1, 2000. |
| 316 | Davis, Michelle R. | Office of General Counsel | Office of Administrati on | No | | | | | | | |
| 317 | Davis, Nathaniel, J. | Office of Markets, Tariffs, and Rates | Central Division Group 3 | No | | | | | | | |
| 318 | Davis, Sharron T. | Office of Markets, Tariffs, and Rates | CASE TRACKING | No | | | | | | | |
| 319 | Davis, Stacie, L | Office of the Executiv e Director | Budget | No | | | | | | | |
| 320 | Dean, Thomas A. | Office of Energy Projects | DEER | No | | | | | | | |

## Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 321 | Debes, Rebecca J. | Office of Energy Projects | D2SI-NYRO | No | | | | | | | |
| 322 | Dees, Joseph | Office of Markets, Tarriffs, and Rates | T&RE | No | | | | | | | |
| 323 | Delaware, John | Office of the Executiv e Director | Deputy Executive Director and Chief Accountant | Yes | I spoke to 6 or 7 industry accounting conferences during 1999 to 2001 that could have been attended by ENRON subsidiaries (i.e. pipelines or electric companies). To the best of my knowledge, I did not have any direct contact with company officials | | | | | | |
| 324 | Delude, Sandra, J. | Office of Markets, Tarriffs, and Rates | CEA | Yes | FERC Staff/Florida Gas | FERC Staff/Florida Gas Personnel | Procedural and substantive issues in consolidated rate case in Docket Nos. RP96-366-000 and FA94-15-002 | | Various dates between October 1996 and August 1997 | None | |

# Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 325 | Delude, Sandra, J. | Office of Markets, Tarriffs, and Rates | CEA | Yes | Public Notice by FERC Staff | All parties to proceeding in Docket Nos. RP96-366-000 and FA94-15-002, Florida Gas and FERC Staff | Settlement conferences to resolve cost of service and rate design issues in Docket No. RP96-366-000, issues in the informal investigation in Docket No. CP92-182-000 and the construction cost audit in FA94-15-002. | | Various dates between November 1996 and July 1997 | September 24 Commission order approving settlement filed on August 5, 1997 | |
| 326 | Delude, Sandra, J. | Office of Markets, Tarriffs, and Rates | CEA | Yes | FERC Staff/ Northern Natural | FERC Staff/ Northern Natural Personnel | Procedural and substantive issues in rate proceeding in Docket No.RP98-203 | | various dates between May 1998 and April 1999 | none | |
| 327 | Delude, Sandra, J. | Office of Markets, Tarriffs, and Rates | CEA | Yes | Public Notice by FERC Staff | All parties to the proceeding in Docket NO. RP98-203, Northern Natural, FERC Staff | Settlement conferences to resolve cost of service and rae design issues in Docket No RP98-203 | | Various dates between May 1998 and April 1999 | June 16 Commision Order approving settlement files on April 16, 1999 | |
| 328 | DeMarr, Tracy L. | Office of Markets, Tarriffs, and Rates | Rates - West | Yes | Enron | various | Holiday Party | | December 1992 - 2000 | none | I attended at least one holiday party between Jan 1992 and Dec 2000. |
| 329 | Deng, Andy H | Office of the Chief Informati on Officer | System Assurance | No | | | | | | | |
| 330 | Denice J. Smith | Office of the Chief Informati on Officer | Customer Support & IT Deployme nt Services | No | | | | | | | |

# Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 1 | Name | Office | Division | (a) | I. Who Initiated the meeting | II. List all that were present at the meeting | III. What was the subject matter(s) of the meeting | IV. Give the date | IV. Date range | V. Was any action taken by the Comm. in response to the meeting | VI. Other Comments (if any) |
| 331 | Denkinger, Marc G | Office of General Counsel | Litigation | Yes | All Docket No. RP99-322 participates | All persons and organization on publically available service list for Northern Border Pipeline Co, Docket No. RP99-322, et al., were invited by public notice served by the Office of the Secretary . Representative of Northern Border Pipeline Co. included outside counsel Mark Sunback and officials/rate staff members Raymond Nepl and Bambi Heckerman. Representatives of other ENRON affiliates that intervened were Rebecca Cantrell and Leslie Lawner, Esq. (Enron North America Corp.) and Allan W. Anderson Jr., Esq. (Enron Oil & Gas Co.) | Procedural schedule, discovery, and other procedural matters; informal settlement negotiation on substantive issues in case. | | Numerous conferences and meetings between 6/30/99 and 10/22/2001 | Settlement was reached that was approved by the Commission. | |
| 332 | Denkinger, Marc G. | Office of General Counsel | Litigation | Yes | All participates in Docket No. RP99-106 | All persons on the publicly-available service list were invited by public notice served by the Office of the Secretary. ENRON representatives included outside counsel Mark Sunback and members of the Northern Border Pipeline Co. officers/rate staff including Raymond Nepl and Bambi Heckerman. | Procedural schedule, discovery, and other procedural matters; informal settlement negotiation on substantive issues. | | Numerous conferences and meeting from 6/30/99 to 10/22/2001 | Settlement of the case approved by FERC. | |
| 333 | Denkinger, Marc G. | Office of General Counsel | Litigation | Yes | All participates in Docket No. RP99-106 | All persons on publically-available service list were invited by public notice served by the Office of the Secretary. Enron North America Corp. was an intervenor and was represented by Leslie J. Lawner, Esq. | Procedural schedule, discovery and other procedural matters; informal settlement negotiations on substantive issues in case. | | Numerous meetings between 12/18/98 and 5/17/01 | Interim settlement and final settlement agreements were reached which were approved by the Commission ultimately resulting in termination of the proceeding. | |
| 334 | Denkinger, Marc G. | Office of General Counsel | Litigation | Yes | All participates in Docket No. RP99-106 | All persons and organization on publically-available service list were invited by public notice issued by the Office of the Secretary. Enron North America Corp. was an intervenor and was represented by Rebecca Cantrell | Procedural schedule, discovery, and other procedural matters; informal settlement negotiations on substantive issues in case. | | Numerous meeting between 12/18/98 and 5/17/01 | Interim and final settlement agreements were reached that were approved by the Commission. | |

## Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 1 | Name | Office | Division | (a) | I. Who Inititated the meeting | II. List all that were present at the meeting | III. What was the subject matter(s) of the meeting | IV. Give the date | IV. Date range | V. Was any action taken by the Comm. In response to the meeting | VI. Other Comments (if any) |
| 335 | Denkinger, Marc G. | Office of General Counsel | Litigation | Yes | All participates in Docket nO. OR00-11 | All persons and organizations on service list for EOTT Energy Operating Limited Partnership, Docekt No. OR00-11-000, were invited by public notice served by the Office of the Secretary. EOTT Energy Ooperting Limited Partnership was represented by Charles Caldwell of the firm of Vinson & Elkins | Procedural Schedule, discovery, other proedural matters; settlement negotiations on substantive matters in case. | | Several meetings and teleconferences between 10/26/96 and 12/30/01 | During discovery phase, the Complainant and the Respondent reached settlement. The Complainant withdrew its complaint thereby terminating the proceeding. | |
| 336 | Denkinger, Marc G. | Office of General Counsel | Litigation | Yes | All participants in Docket No. RP95-409 | All persons and organization on the service list for Northwest Pipeline Corp., Docket No. RP95-409, were invited by public notice served by the Office of the Secretary. Enron North America Corp. was an intervenor and was represented by Rebecca Cantrell and Leslie J. Lawner, Esq. | Procedural schedule, discovery, and other procedural matters; informal settlement negotiations on substantive issues in the case. | | Numerous meeting and conferences between 7/31/95 and 9/29/2000 | Hearings were held. Certain issues were settled. Initial decisions were issued, and the Commission issued orders on decisions to which exceptions were filed. Several of those Commission's orders were appealed to the D.C. Circuit. | |
| 337 | Denkinger, Marc G. | Office of General Counsel | Litigation | Yes | All participants in Docket NO. RP95-31 | All persons and organizations on the publically available service list for National Fuel Gas Supply Corp., Docket No. RP95-31, were invited by public notice served by the Office of the Secretary. Enron North America Corp. was an intervenor and was represented by Rebecc Cantrell and Leslie J. Lawner, Esq. | Procedural schdule, discovery, and other procedural matters; informal settlement negotiations on substantive issues in the case. | | Noumerous meeting and conferences held between 11/30/94 and 2/16/96 | Settlement agreement was reached that was approved by the Commission. | |
| 338 | Denkinger, Marc G. | Office of General Counsel | Litigation | Yes | All participants in Docket No. RP01-292 | All persons and organizations on the publically-available service list in Mississipi River Transmission Corp., Docket No. RP01-292 and TM00-1-25, were invited by public notice served by the Office of the Secretary. Enron North America Corp. was an intervenor and on the service list. Enron North America Corporation's representative in this proceeding was Rebecca Cantrell | Procedural schedule, discovery and other procedural matters; informal settlement negotiations of substantive issues. | | Several meeting between 4/27/01 and 1/16/2002 | Settlement agreement reached which was approved by the Commission terminating proceeding. | |

# Response to Question No. 3 [illegible]ne February 15, 2002 Letter From Senators Lieberman and Thompson

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| 1 | Name | Office | Division | (a) | I. Who initiated the meeting | II. List all that were present at the meeting | III. What was the subject matter(s) of the meeting | IV. Give the date | IV. Date range | V. Was any action taken by the Comm. in response to the meeting | VI. Other Comments (if any) |
| 339 | Denkinger, Marc G. | Office of General Counsel | Litigation | Yes | All participates in Docket No. RP96-129 | All persons and organizations on service list were invited by public notice served by the Office of the Secretary. Enron North America Corp. was an intervenor and was represented by Leslie J. Lawner, Esq. | Procedural schedule, discovery and procedural matters; informal settlement negotiations on substantive issues in case. | | Numerous conferences and meeting between 2/29/96 and 4/12/01 | Settlements in both Phase I and II were reached after trial. Commission approved both settlements thereby terminating the proceedings. | |
| 340 | Der, Herman | Office of Energy Projects | DEER | No | | | | | | | |
| 341 | Desmond, Cecilia M. | Office of General Counsel | Energy Projects | Yes | | | | 7/21/2000 | | When Florida Gas filed its amendment, the Commission processed it as usual. | |
| 342 | Deters, Craig E. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Public Notice by Staff or Informal Confe | Parties to the proceeding | Series of settlement conferences and meetings to resolve rate issues in Docket No. ER99-2326-000, PG&E TO3 transmission rate case. | | 6/99 - 4/00 | Partial Settlement Approved. ALJ initial decision now before the Commission | |
| 343 | Deters, Craig E. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Public notice by Staff or Informal | Parties to the proceeding. | Series of Settlement Conferences in Docket No. ER99-4323-000, dealing with rate issues in PG&E's TO4 transmission rate case. | | 9/99-4/00 | Settlement approved by Commission. | |
| 344 | Deters, Craig E. | Office of Markets, Tarriffs, and Rates | Litigation | | Public Notice by Staff or Informal Talks | Parties to the proceeding. | Settlement conferences to resolve rate issues in Docket Nos. ER97-2358-000 and ER98-2351-000, PG&E's TO1 & TO2 transmission rate cases. | | 3/98 - 5/99 | Awaiting Commission action on filed Settlement | |
| 345 | Deubert, Richard E. | Office of Energy Projects | Dam Safety and Inspections | No | | | | | | | |

## Response to Question No. 3 ... ne February 15, 2002 Letter From Senators Lieberman and Thompson

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| 346 | DeWitt, Thomas | Office of Energy Projects | Division of Environmental and Engineering Review | No | | | | | | | |
| 347 | Dhir, Tilak | Office of Energy Projects | D2SI, SFRO Office | No | | | | | | | |
| 348 | Dickey, David R | Office of General Counsel | General and Administrative Law | No | | | | | | | |
| 349 | Dickinson, Gloria | Office of the Chief Information Officer | IT Infrastructure & Operations | No | | | | | | | |
| 350 | Dodson, Bonnie | Office of the Executive Director | Financial Policy | No | | | | | | | |
| 351 | Donaho, Jack E. | Office of Energy Projects | DPC | Yes | Steve Veatch of FGT | Jack Donaho | Requesting clarification of a question asked in a Commission data request sent in relation to Docket No. CP00-40. | | | Clarification was provided. | |
| 352 | Donaho, Jack E. | Office of Energy Projects | DPC | Yes | Jack Donaho | Rob Kilmer with FGT | Clarifying information to be filed by Florida Gas Transmission in its pending amendment. | | | No | The amendment was filed on 8/1/2000 in CP00-40-001. |
| 353 | Donna Lee | Office of the Executive Director | Administrative Support Staff | No | | | | | | | |

## Response to Question No. 1 of the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 354 | Donnini, Michael A. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates-Central | Yes | Betsy Carr | Betsy Carr, Jeff Watkiss and Joe Hartzoe on behalf of Enron Power Marketing, Inc. and Coral Power LLC Mike Donnini, Joseph Long, Stanley Berman, Edward Ristway and Morris Margolis on behalf of FERC trial staff | The allocation of ISO New England, Inc. administrative costs to intermediate power trades | | 1/1/99 to 4/1/99 | no | |
| 355 | Donnini, Michael A. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - Central | Yes | Participants in proceeding, jointly | Betsy Carr on behalf of Enron Power Marketing, Inc. and Coral Power LLC Mike Donnini, Joseph Long, Stanley Berman, Edward Ristway and Morris Margolis on behalf of FERC trial staff Representatives for various other participants in Proceeding | Settlement discussions concerning ISO New England, Inc., Docket No. ER98-3554-000, involving the allocation of ISO New England, Inc. administrative costs to various users of its services | | Numerous in-person and telephone conferences between 1/1/99 and 6/15/99 | no | |
| 356 | Donnini, Michael A. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - Central | Yes | FERC ALJ William Cowan as Settlement Jud | FERC ALJ William Cowan Donna Fulton and Sarah Novosel on behalf of Enron Power Marketing, Inc. Mike Donnini, Suzanne McBride, Bob Keegan, Joseph Long, Dave Cain and Joseph Kane on behalf of FERC trial Representatives for various other participants in Proceeding | Settlement discussions concerning ISO New England, Inc. Docket No. ER01-316-000, involving the allocation of ISO New England, Inc. administrative costs to various users of its services | | Numerous in-person and telephone conferences between 1/1/01 and 6/1/01 | no | |
| 357 | Donnini, Michael A. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - Central | Yes | FERC Chief ALJ Curtis Wagner as Settleme | FERC ALJ Curtis Wagner Ronald Carroll and various other folks on behalf of Enron Power Marketing, Inc. Mike Donnini, Linda M. Patterson and Ed Gross on behalf of FERC trial Staff Representatives for various other participants in proceeding | Settlement discussions concerning California Independent System Operator, Inc., Docket No. ER00-2019-000, involving the design of transmission services, and rates for those services, under the California Independent System Operator, Inc. Tariff | | Numerous in-person and telephone conferences between 6/1/00 and December 2, 2001 | no | |

## Response to Question No. 3 June 15, 2002 Letter From Senators Lieberman and Thompson

| | A | B | C | D | E | F | G | H | I | J | K |
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| 1 | Name | Office | Division | (a) | I. Who Initiated the meeting | II. List all that were present at the meeting | III. What was the subject matter(s) of the meeting | IV. Give the date | IV. Date range | V. Was any action taken by the Comm. in response to the meeting | VI. Other Comments (if any) |
| 358 | Donovan, Nora E. | Office of the Secretary | Office of the Secretary | No | | | | | | | |
| 359 | Dorsey, Lisa E. | Office of the Executive Director | DLM | No | | | | | | | |
| 360 | Dowd, Judith A. | Office of Administrative Law Judges | Office of Administrative Law Judges | No | | | | | | | |
| 361 | Dragg, Joe L. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - West | No | | | | | | | |
| 362 | Dubbert, Janet M | Office of the Executive Director | Management, Administrative, and Payroll Support | No | | | | | | | |
| 363 | Dunlap, David W. | Office of General Counsel | Energy Projects | No | | | | | | | |
| 364 | Dunn, Brian J. | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates (West) | No | | | | | | | |
| 365 | Dunn, Shannon, K | Office of Energy Projects | DEER | No | | | | | | | |

# Response to Question No. 3 ne February 15, 2002 Letter From Senators Lieberman and Thompson

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| 366 | Dupree, Susan | Office of the Chief Informati on Officer | Know. and Info. Mgt. | No | | | | | | | |
| 367 | Dykes, Vivian I. | Office of General Counsel | Administrati ve Litigation | No | | | | | | | |
| 368 | Earley, Wilbur | Office of Commis sioner Massey | n.a. | Yes | Commission | I don't have records. Other FERC staff inform me that an Enron representative attended. | The meeting was one in a series of outreach meetings to get stakeholder input to our recently issued RTO NOPR. | 7/12/1999 | | Issued Order No. 2000. | |
| 369 | Earley, Wilbur | Office of Commis sioner Massey | n.a. | Yes | EPSA | Joe Hartsoe (Enron), Sara Novosel (Enron), Julie Simon (EPSA), Commissioner Massey, Mary Doyle (Dynegy), John Anderson (ELCON), John Hughes (ELCON), Sara Schotland, Mike Brigss, and Lorraine Cross. | A motion to ask the Commission to hold a technical conference on the implementation of RTO Function 8 of Order No. 2000. | 1/18/2001 | | Held conference on standard business practices and initiated Standard Market Design initiative. | |
| 370 | Eason, James, E. | Office of Markets, Tarriffs, and Rates | Market Information | Yes | Donald Heydt | Donald Heydt James Eason Mary Kay Miller Michelle Winchowski A lawyer and an engineer from Nothern Natural Gas Company | The Office of Enforcement needed clarification on several receipts regarding construction related to Docket No. CP97-191-000 that were submitted by Northern Natural Gas Company. | 10/25/2001 | | The Office of Enforcement is still investigating this matter. | |
| 371 | Easton, Robert S. | Office of Energy Projects | Environme ntal and Engineerin g Review | No | | | | | | | |
| 372 | Eckell, Mark B. | Office of the Executiv e Director | Logistics Manageme nt | No | | | | | | | |

## Response to Question No. 3 of the February 15, 2002 Letter From Senators Lieberman and Thompson

| | A | B | C | D | E | F | G | H | I | J | K |
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| 1 | **Name** | **Office** | **Division** | **(a)** | **I. Who Inititated the meeting** | **II. List all that were present at the meeting** | **III. What was the subject matter(s) of the meeting** | **IV. Give the date** | **IV. Date range** | **V. Was any action taken by the Comm. in response to the meeting** | **VI. Other Comments (if any)** |
| 373 | Ecton, Henry | Office of Energy Projects | Hydropower Administration and Compliance | No | | | | | | | |
| 374 | Edoror, Samuel, E | Office of Energy Projects | Division of Hydropower Administration and Compliance | No | | | | | | | |
| 375 | Eichbauer, Jill, A | Office of Energy Projects | D2SI | No | | | | | | | |
| 376 | Eley, Patricia P. | Office of Markets, Tarriffs, and Rates | Rates - West | Yes | Enron | various | Holiday party | | December s 1992 - 2000 | none | I attended atleast one holiday party between Jan 1992 and Dec 2000. |
| 377 | Eley, Patricia P. | Office of Markets, Tarriffs, and Rates | Rates - West | Yes | FERC | See Frank Sparbers response for more details. | CIG tech conference. | 10/3/2000 | | | See Frank Sparber's response for more details. |
| 378 | Eley, Patricia P. | Office of Markets, Tarriffs, and Rates | Rates - West | Yes | FERC | See Frank Sparber's response for more details. | Questar Pipeline Order 637 tech conference. Docket No. RP00-397 | 1/11/2001 | | | See Frank Sparber's response for more details. |

# Response to Question No. 3 the February 15, 2002 Letter From Senators Lieberman and Thompson

| | A | B | C | D | E | F | G | H | I | J | K |
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| 379 | Elliott, Sandra L. | Office of General Counsel | MTR | Yes | Tech. Conf. established by Comm. Order | Donna Fulton (Enron Employee), Bill Williams for PSNC, J. Paul Douglas for PSNC, Kelly a. Daly for PSCNY, Stephen Karina for City of Danville, Cheryl Walker for Dynegy, Lila Rothman for Consolidated Edison, Rose Lennon for Washington Gas, Barbara Heffernan for Delmarva Power & Light, David Caffery for PSE&G, Helen Shepherd for Transco Municipal Group, James P. Corley for WGP-Transco, Leonard A Foster for Sempra Energy, Kurt L. Krieger and Brian White for Columbia Gas Transmission, Mark Temple for Carolin Power & Light, Tam Skains, Jim Jeffries,Ken Valentine, and Lori Sossamon for Piedmont Natural Gas, Michael Catney, Frank Ferazzi, David Glenn, Terry Fitch and Melisa Casy for Transco, Doug Matyas and Ken Kohut for FERC. | Tansco's October 6, 1999 filing on capacity release. | 1/6/2000 | | Order following Technical Conference was issued on April 12, 2000. | Enron was merely an intervenor in the proceeding. Enron did not file a protest or comments after the technical conference. |
| 380 | Elliott, Sandra L. | Office of General Counsel | MTR | Yes | Mary Hain or myself | Mary Hain and myself. Mary Hain is an ex-FERC employee, an ex-Portland General employee and an ex-Enron employee. | Lunch or dinner with a personal friend. Family, health, homes,pets, etc. | | 2 to 3 times a year. | No. | Mary Hain and I became good friends when she worked at FERC before leaving to work at Portland General and then Enron. Mary now works for PJM. We never discussed anything that she was working on related to FERC or I was working on related to Enron or PGE. |

# Response to Question No. 3 – The February 15, 2002 Letter From Senators Lieberman and Thompson

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| 381 | Elliott, Sandra L. | Office of General Counsel | MTR | Yes | Commission Notice | Randall Rich, Kim Corey and Nancy Bagot for Enron North America, Johnathon First, Ray James, Patty Eley, Frank Sparber, Sandra Delude, Julie Parsons, Donna Stratton Carlos Clay, Bob Catlin, Frank Gurley, Debra Lowe, Peter Roidakis for FERC, Mylie Needle and Tim Evered for McGuire Woods, Sarah Tomalty and Mark Haskell for Dynegy, Jon Krunenkant for Marathon/Chevron, Kevin Sweeney for Amoco, Steve Tarpey for BP, Shawn Gillespie and Dave Francis for Utilitcorp United, Wayne Booker and Michele Fujimoto for Colorado Springs Util., Werner Uebelhoer for Excel Energy (PSCo), Sean Kolasa, J. Russ Noblett, Bill Griffith, Clancy Aschbrenner, Ken Albert, Jim West, Greg Ruben, Gordon Pennington, Rick Smead, and Don Shepler for CIG, Robert White for SS&D (PSCo), Mark Cook Coastal, Allan Anderson EOG Resources, Roxanne Maywalt, Tod Taylor, Larry Conti, Phyllis Hansen for Questar Pipeline, Diana Craig for Columbia Gas Transmission, Kevin Flynn for Van Ness Feldman, Donald Zeisaler and Bill Kickert for Williston Basin | CIG's compliance with Order No. 637. | | 10-3-2000 and 10-26-2000 | Two Commission orders were issued on CIG's compliance with Order No. 673. | |
| 382 | Ellis, Doretha | Office of the Chief Informati on Officer | Customer Support & IT Deploymen t Services | No | | | | | | | |

## Response to Question No. 3 of the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 383 | Emami, John | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - East | No | | | | | | | |
| 384 | Emery, Lee | Office of Energy Projects | DEER | No | | | | | | | |
| 385 | Ende, Ken | Office of General Counsel | Gas & Oil Litigation | Yes | Staff and parties | Enron management personnel and interveners | Settlement conferences and prehearing conferences in Transwestern gas pipeline rate case. | | 1992-93 | Case settled. | |
| 386 | Ende, Ken | Office of General Counsel | Gas & Oil Litigation | Yes | FERC staff and parties | Enron management personnel and intervenors | Settlement conferences regarding Northern Natural rate and tariff issues. | | 1995-96 | Approved a settlement. | |
| 387 | Ende, Ken | Office of General Counsel | Gas & Oil Litigation | Yes | FERC staff and parties | Enron management personnel and intervenors. | Settlement conference on accounting issues. | | | Commission approved a settlement. | |
| 388 | Ende, Ken | Office of General Counsel | Gas & Oil Litigation | Yes | Mr. Hartsoe or Mr. Ende | Joe Hartsoe of Enron | Occasional lunches. | | 1992-2001 | | |
| 389 | Enoch, M. Patricia | Office of Markets, Tarriffs, and Rates | Rates - West Group 1 | Yes | FERC Staff | FERC Staff and Northern Natural Personnel | Cost of Service Issues and Rate of Return | 7/26/1998 | 7/31/1998 | Settlement of rate proceeding filed April 16, 1999 supported by all parties. | |
| 390 | Enoch-Johnson, M. P | Office of Markets, Tarriffs, and Rates | Rates - West Group 1 | Yes | FERC Staff | FERC Staff -- Patricia Enoch-Johnson, Frances Segal and Tim Kinsey and Northern Border Personnel | Cost of Service issues and Rate of Return | 8/29/1999 | 9/3/1999 | Settlement of Rate Proceeding filed on September 8, 2000. | |

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| 1 | Name | Office | Division | (a) | I. Who Initiated the meeting | II. List all that were present at the meeting | III. What was the subject matter(s) of the meeting | IV. Give the date | IV. Date range | V. Was any action taken by the Comm. in response to the meeting | VI. Other Comments (if any) |
| 391 | Enoch-Johnson, M. P. | Office of Markets, Tarriffs, and Rates | Rates - West Group 1 | Yes | Northern Border and its Customers | FERC Staff and parties to the proceeding | Cost of Service issues, Depreciation, Rate of Return and Throughput | 9/1/1999 | 7/30/2000 | Settlement of Rate Proceeding filed on September 8, 2000. | I do have in my possession copies of numerous data responses, including data responses dealing with the Enron Pension Plan. |
| 392 | Enoch-Johnson, M. P. | Office of Markets, Tarriffs, and Rates | Rates - West Group 1 | Yes | FERC Staff | FERC Staff and Northern Natural Personnel. | Cost of Service Issues and Rate of Return | 7/1/1998 | 7/31/1998 | Settlement of rate proceeding filed April 16, 1999, supported by all parties. | |
| 393 | Enoch-Johnson, M. P. | Office of Markets, Tarriffs, and Rates | Rates - West Group 1 | Yes | Northern Border and its Customers | FERC Staff and parties to the proceeding | Cost of service issues and rate of return. | 9/1/1999 | 7/30/2000 | Settlement of Rate Proceeding filed on September 8, 2000. | I do have in my possessiion copies of numerous data responses, including data responses dealing with the Enron Pension Plan. |
| 394 | Enoch-Johnson, M. P. | Office of Markets, Tarriffs, and Rates | Rates - West Group 1 | Yes | Northern Natural and its Customers | FERC Staff and Northern Natural Personnel. | Cost of Service issues and rate of return. | 5/1/1998 | 4/30/1999 | Settlement of rate proceeding filed April 16, 1999, supported by all parties. | |
| 395 | Enoch-Johnson, M. P. | Office of Markets, Tarriffs, and Rates | Rates - West Group 1 | Yes | FERC Staff | FERC Staff and Northern Natural Personnel | Cost of service issues and rate of return. | 7/26/1998 | 7/31/1998 | Settlement of rate proceeding filed April 16, 1999, supported by all parties. | |
| 396 | Enoch-Johnson, M. P. | Office of Markets, Tarriffs, and Rates | Rates - West Group 1 | Yes | FERC Staff | FERC Staff and Overthrust Pipeline Personnel. | Cost of Service Issues,Throughput, and Depreciation | 7/6/1997 | 7/11/1997 | Settlement of Rate Proceeding filed and approved by the Commission on April 1, 1998. | |

## Response to Question No. 3 from the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 397 | Enoch-Johnson, M. P. | Office of Markets, Tarriffs, and Rates | Rates - West Group 1 | Yes | Overthrust Pipeline and Its Customers | FERC Staff and Overthrust Pipeline Personnel | Cost of Service Issues, Depreciation, Rate of Return and Throughput. | 9/1/1997 | 3/1/1998 | Settlement filed and approved by the Commission on April 1, 1998. | |
| 398 | Enoch-Johnson, M. P. | Office of Markets, Tarriffs, and Rates | Rates - West Group 1 | Yes | FERC Staff and Overthrust Pipeline | Telephone conversations between FERC Staff and Overthrust Personnel. | To discuss clarification of staff data requests submitted to Overthrust regarding cost of service issues. | 11/30/1999 | 5/31/2000 | Settlement of Rate Proceeding approved by the Commission on July 13, 2000 in Overthrust Pipeline Docket No. RP00-2-000. | |
| 399 | Enoch-Johnson, M. P. | Office of Markets, Tarriffs, and Rates | Rates - West Group 1 | Yes | FERC Staff | | | | | | |
| 400 | Enoch-Johnson, M. P. | Office of Markets, Tarriffs, and Rates | Rates - West Group 1 | Yes | FERC Staff | | | | | | |
| 401 | Enoch-Johnson, M. P. | Office of Markets, Tarriffs, and Rates | Rates - West Group 1 | Yes | FERC Staff | FERC Staff -- Patricia Enoch Johnson and Bonnie Pride. Black Marlin Personnel | Cost of Service issues and throughput. | 9/21/1998 | 9/24/1998 | Settlement of Rate Proceeding approved by the Commission on December 30, 1998. | |
| 402 | Enoch-Johnson, M. P. | Office of Markets, Tarriffs, and Rates | Rates- West Group 1 | Yes | Black Marlin and its Customers | FERC Staff and Black Marlin. | Cost of service issues, rate of return, depreciation and throughput. | 10/30/1998 | ######## | Settlement of Rate Proceeding approved by the Commission on December 30, 1998. | |

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| 403 | Enrico, Joseph G. | Office of Energy Projects | DHAC | No | | | | | | | |
| 404 | Eskin, Steven J. | Office of the Executive Director | ORGANIZATIONAL MANAGEMENT | No | | | | | | | |
| 405 | Faerberg, David B. | Office of General Counsel | Markets, Tariffs and Rates | Yes | Northern Natural Gas Company | Myself, Robert Petrocelli, John Carlson, Ken Witte of FERC. Various executives from Northern Natural, including Mary Kay Miller, and several lawyers from its law firm. | Pre-filing conference to discuss proposed changes to its Carlton Resolution settlement. | | Summer 2001 | No | |
| 406 | Faerberg, David B. | Office of General Counsel | Markets, Tariffs and Rates | Yes | FERC | Attorneys and executives representing Transwestern Pipeline Company, intervenors in the proceeding. Myself, Janice Luna, and Mike Lacy from FERC Staff. | Publicly noticed technical conference to discuss issues concerning Transwestern's Order No. 636 restructuring filing. | | | The Commission issued an order on its filing based upon publicly filed comments to the conference. | |
| 407 | Faerberg, David B. | Office of General Counsel | Market, Tariffs and Rates | Yes | | Myself, Robert McLean, Maria Farran, David Tishman of FERC. | Chance meeting in Sunrise Cafeteria in FERC Building with Ray Alvarez of Enron. Some people recognized him and said hello and asked him what he was doing. He said he worked for Enron in South America but was now back in DC. | | | No | |
| 408 | Faerberg, David B. | Office of General Counsel | Markets, Tariffs and Rates | Yes | Enron | Enron employees, FERC employees, other energy industry members | Annual Enron holiday party at Phoenix Park Hotel | | Various December dates in 1990's | No | |
| 409 | Fargo, James M. | Office of Energy Projects | DEER | No | | | | | | | |

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| 410 | Farrell, Andrew | Office of Markets, Tarriffs, and Rates | Rates - West | No | | | | | | | |
| 411 | Farrokhpay, Saeed | Office of Markets, Tarriffs, and Rates | DPIC | No | | | | | | | |
| 412 | Faust, Charles, J. | Office of Markets, Tarriffs, and Rates | Market Information | No | | | | | | | |
| 413 | Fawcett, Rhoda L. | Office of the Executiv e Director | Human Resources Manageme nt | No | | | | | | | |
| 414 | Fayyad, Mohamad | Office of Energy Projects | Division of Hydropowe r Administrati on and Compliaac e | No | | | | | | | |
| 415 | Feeney Jr. , James P. | Office of the Executiv e Director | N/A | No | | | | | | | |
| 416 | Felt, Paula J. | Office of External Affairs | External Affairs | No | | | | | | | |

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| 417 | Ferebee, Melissa, G | Office of the Chief Informati on Officer | System Assurance | No | | | | | | | |
| 418 | Ferguson, Linda M. | Office of the Chief Informati on Officer | Customer Support | No | | | | | | | |
| 419 | Ferguson, Roland J. | Office of Markets, Tarriffs, and Rates | Central | No | | | | | | | |
| 420 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | INGAA | I don't have that information. Enron usually sent representative(s) to these types of meetings. | My notes list it as an INGAA briefing. I don't have any other details. | 2/12/1999 | | No | |
| 421 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - East | Yes | INGAA | | | | | | |
| 422 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | Ingaa | various representatives from pipelines including Northern Natural and various staff members | Implementation of GISB standards by pipelines | 4/8/1997 | | no | listed in calendar, no other notes |

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| 423 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | FERC | commissioners, staff, representatives from all industry segments and the financial community | Financial issues affecting interstate pipelines. Meeting was a publicly noticed conference. | 1/30/1998 | | Commission changed its policies on calculating return on equity | I'm assuming that Enron representatives were present since this was a general industrywide conference. No Enron representatives made presentations at the public conference. |
| 424 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | Enron | Andrea Wolfman from staff. I believe Shelley Corman and Donna Fulton from the Enron Pipeline Groups Regulatory staff were present. | Meeting was part of a series of informal meetings in a rulemaking proceeding with representatives from all industry segments discussing issues thatled to Order No. 637. | 8/26/1998 | | no | |
| 425 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | Florida Gas Transmission | I believe Rob Kilmer, V.P, Rates and Certificates for FGT was there along with other company officials and staff. | The meeting was a prefiling meeting to discuss a certificate application that was to be filed in the near future. | 10/26/1998 | | No | During the period, FGT engaged in several major expansions of its system. Usually, for these types of filings companies come in for prefiling meetings. There may have been other similar prefiling certificate meetings with Enron owned pipelines, but I don't have dates for those. |
| 426 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | FERC staff | various representatives from staff and from interstate pipelines. Enron usually sent representatives to these types of meetings. | Meeting was an informal session with pipeline representatives as part of the pre-Order No. 637 rulemaking process. Similar meetings were held with all other industry segments. | 11/16/1998 | | No | |
| 427 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | FERC staff | various staff and marketers. A representative from Enron may have attended. | this was an informal session with marketers that was part of the Order No. 637 rulemaking process. Similar meetings were held with all industry segments. | 12/2/1998 | | no | |

# Response to Question No. 3 [illegible]e February 15, 2002 Letter From Senators Lieberman and Thompson

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| 428 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | Florida Gas Transmission | Rob Kilmer, V.P. Rates and Certificates for Florida Gas Transmission other staff and company officials. | Conference call to discuss rate issues related to a certificate application FGT was filing in the near future. | 11/17/1998 | | No | |
| 429 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | FERC | Reprseentatives from all industry segments and various staff members. | The meeting was a publicly noticed conference to discuss an auction proposat included by FERC in the NOPR that led to Order No. 637. | 12/8/1998 | | No | |
| 430 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | INGAA | | | | | | |
| 431 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | FERC staff | various representatives of pipelines and FERC staff. Enron pipeline employees usually attended these types of meetings. | An informal discussion with pipeline representatives as part of the Order No. 637 process. Similar meetings were held with other industry groups. | 3/8/1999 | | No | |
| 432 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | FERC staff | various representatives of companies engaged in gas marketing (marketers)and FERC staff. | An informal discussion with marketers as part of the pre Order No. 637 process. similar meetings were held with other industry groups. | 3/16/1999 | | No | |
| 433 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | INGAA | various representatives from pipelines and FERC staff | INGAA Annual Regulatory Affairs Conference. I was a speaker on one of the panels discussing various regulatory issues. | | Apr-99 | No | |

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| 434 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | OMTR | see submittal by Saida Shaalan | OMTR Outreach with EPSA for details see submittal by Saida Shaalan | 1/13/2000 | | No | |
| 435 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | OMTR | see submittal by Saida Shaalan | see submittal of Saida Shaalan, OMTR Outreach meeting with INGAA | 2/10/2000 | | No | |
| 436 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | INGAA | various pipeline representatives and FERC staff. Staff from Enron's washington office usually attended these events. | INGAA Regulatory Affairs Conference. I was a speaker on one of the panels. | | May-00 | No | |
| 437 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | OMTR | see submittal by Saida Shaalan for OMTR Outreach with INGAA | see submittal by Saida Shaalan | 7/7/2000 | | no | |
| 438 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | Michael Moore with El Paso Pipeline Co. | various Washington representatives of pipelines. Enron usually attended these types of meetings. | monthly lunch with Washington representatives. A FERC staff member is usually invited to discuss current regulatory issues involving pipelines. | 7/28/2000 | | No | Since 1992, I believe I attended 2-4 similar meetings, but I have no records of these meetings. |
| 439 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | Judy Neason with Williams. | various pipeline staff. Mark Robinson from FERC | seminar/training on current regulatory issues. I gave a presentation on the differences between gas and electric markets. | | Oct-00 | No | |

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| 440 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | Enron | Donna Fulton with Enron was there. I believe Sarah Novosel, Joe Hartsoe, and Steve Walton were also there. there may have been other Enron employees. I believe Michael Bardee from FERc staff was there. Other staff attended, but I'm not sure who. | Discussion of RTO developments in the Southeast. Staff had met with the various RTO sponsors in the region. Additionally, we met with various market participants in the region, including public power. | 10/6/2000 | | No | |
| 441 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | OMTR | see submittal by Saida Shaalan | OMTR Outreach meeting with INGAA | 6/13/2001 | | No | |
| 442 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | EEI | see submittal by William Edward Murrell | EEI-INGAA Briefing on pipeline services for electric generators. the two groups had been working on a joint effort to identify terms and conditions of service for pipelines serving gas fired electric generating plants. | 7/26/2001 | | No | |

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| 443 | Fernandez, Alice M. (Line 1 of 2) | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | Julie Simon, EPSA | John Shelk, Senior Director Federal Government Affairs, Enron; Terry Adlhock, Allegheny Energy; Mark Anderson, congressional staff, David Applebaum,Sithe Energies; Joe Bizzano, NRG Energy; Andy Black, congressional staff; B. Kent Burton, Covanta Energy; Annie Caputo, Exelon; Jerry Courl, congressional staff; sean Cunnigham, congressional staff; Gretchen Emling, Williams; Glenn Etienne, Dynegy; Walter Ferguson, FERC staff; Ray Fitzgerald, congressional staff; Michael Haywood, Duke Energy; Alan Hill, congressional staff; Tricia Geringer, congressional staff; Dan Iarcamp, FERC staff; Lori Laudien, El Paso; (cont'd in cell below) | Second Annual Congressional Issues Forum. The FERC attendees were speakers on a panel on RTO and electricity issues. | | May 31-June 1, 2001 | No | |
| 444 | Fernandez, Alice M. (Line 2 of 2) | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | Julie Simon, EPSA | (Cont'd) McLane Layton, congressional staff; Kristen Ludecke, PSEG Power; John Mathis, Edison Mission Energy; Yvonne McIntyre, Mirant; Matt Miller, congressional staff; Phil Moeller, Calpine; Holly Nichols, Reliant Energy; Scott O'Malia, Mirant; Judy Pensabene, constellation Energy Group; Paulette Pidcock, PG&E; Bob Reilly, Shell Trading; Janet Sena, TECO; Joe Stanko, congressional staff; Mike Slice, Conoco; Tom Taggert, congressional staff; Gordon taylor, congressional staff, Julie Walker, congressional staff; Lance Wenger, congressional staff; Andrea Wolfman, FERC staff. EPSA staff : Lynne Church, Gene Peters, Julie Simon, Laurie Martin, Donn Salvosa, Sherri Jackson, and Jodi Empol. | | | | | |

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| 1 | **Name** | **Office** | **Division** | **(a)** | **I. Who Inititated the meeting** | **II. List all that were present at the meeting** | **III. What was the subject matter(s) of the meeting** | **IV. Give the date** | **IV. Date range** | **V. Was any action taken by the Comm. In response to the meeting** | **VI. Other Comments (if any)** |
| 445 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | FERC | various FERC staff and industry representatives. Dave Neubauer with the Enron Gas Pipeline Group was on one of the panels. | Staff Conference on competitive Natural Gas Markets PL00-1. the meeting was a publicly noticed meeting that was open to all. | 9/19/2000 | | | |
| 446 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | FERC | various FERC staff and industry representatives. Joe Hartsoe with Enron attended. Others from Enron may also have attended. | RTO Collaborative Workshop RM99-2. The meeting was publicly noticed meeting that was open to all. the meeting was one in a series of public meetings set up by the Commission to foster the development of RTOs. | | March 15-16, 2000 | No | |
| 447 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | Northeast ISOs | various representatives from industry and FERC staff | Meeting of the ISO MOU Business Practices Working Group. the meeting was a meeting of all industry segments to discuss issues regarding coordination of business practices among the Northeast ISOs. | 3/30/2000 | | No | |
| 448 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | NEPOOL or ISO-NE | see submittal by Alan Haymes | The New England stakeholders wanted some guidance from FERC staff on some organizational issues encountered in trying to form an RTO. The meeting was open to all parties. | 10/10/2000 | | No | |
| 449 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | | OMTR | see submittal by Saida Shaalan for EPSA Outreach | see submittal by Saida Shaalan | 7/5/2000 | | No | |

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| 450 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | Enron, I believe | I believe Randall Rich an attorney for Enron was present and an Enron employee whose name I don't know. Andrea Wolfman from FERC staff I believe was also there. | I believe it was a discussion of the Commission's shipper must have title policy and how it affected retail unbundling. | 4/6/1999 | | No | |
| 451 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | FERC | various FERC staff and representatives from all industry segments. Leslie Lawner with Enron North America was on one of the panels. | Staff Conference on Competitive Natural Gas Markets In PL00-1. The meeting was a publicly noticed conference that was open to all. | 3/15/2001 | | No | |
| 452 | Fernandez, Alice M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | FERC | various industry representatives and FERC staff. Enron respresantatives attended. | Publicly noticed conference that was open to all to discuss electric market issues in the New York ISO. | | January 22 and 23, 2001 | All parties had the opportunity to submit comments after the conference. After receipt of comments the Commission issued an order on the proceeding. | |
| 453 | Fernandez, Kimberly, | Office of the Executive Director | Procurement | No | | | | | | | |
| 454 | Fernandez,Alice,M | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | Yes | INGAA | various represntatives of pipelines and FERC staff. Enron Washington representatives usually attended the meetings. | INGAA Regulatory Affairs Conference. I was a speaker on one of the panels. | | May-01 | No | |

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| 455 | Fields, Rhodell G. | Office of General Counsel | MTR | Yes | Commission Advisory Staff | Representatives from the Commission Staff, Northern Natural Gas Company, and parties to the proceeding in Docket No. RP98-203-000. | The meeeting was a technical conference convened as a result of a Commission order issued on May 28, 1998, in which the Commission directed the parties to discuss a number of issues arising out of a general section 4 rate case, including imbalance resolution, Right of First Refusal, and contract reduction rights. | 7/15/1998 | | The Commission issued an order after the technical conference which accepted some of Northern's proposals and observed that the rates underlying the tariff provsions remained subject to refund in the ongoing rate case. On April 16, 1999, Northern filed an uncontested ofer of settlement. | |
| 456 | First, Jonathan E. | Office of General Counsel | OGC-MTR | Yes | FERC Tech Conference | 43 attendees at the tech conference including Randall Rich, Esq. of Bracewell & Patterson LLP representing Enron North America FERC Staff attending the conference: Jonathan First; Sandra Elliott; Raymond James; Patty Eley; Frank Sparber; Sandra Delude; Julie Parsons; Donna Stratton; Carlos Clay; Bob Catlin; Frank Gurley; Peter Roidakis; and Debra Lorne; 12 CIG representatives attended the meeting; and 19 others representing various market participants such as marketers or LDCs. | Colorado Interstate Gas Company's 637 compliance filing | 10/3/2000 | | After CIG submitted a revised compliance filing and public comment, the Commission issued an order addressing CIG's filing | While the attendance sheet for the conference indicates that Randall Rich, Esq. attended representing Enron North America, I did not have any one-on-one conversations with Mr. Rich, and have no recall of his participation, if any, in the discussion -which was limited to issues regarding CIG's compliance with order no. 637 |

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| 457 | First, Jonathan E. | Office of General Counsel | OGC-MTR | Yes | FERC Tech Conference | 25 attendees at the tech conference: Nancy Bagot of Enron FERC Staff: Jonathan First; Sandra Delude; Julie Parsons; Frank Sparber; Donna Stratton; James Eason; Julia Lake; and Patty Eley Questar attendees: William Penniman; Tad Taylor; Robert Catlin; Lenard Wright; Phyllis Hansen; Scott Hansen; Alan Alfred; Larry Conti; Jeff Millington; Other attendees: Virgil Spurgeon of Phillips; Kevin Flynn and Paul Korman of Van Ness Feldman; Jim Mueller representing Amoco and Dynegy; Gus Howard of CIG; Liz Zembruski representing Duke Energy Trading and Marketing; Kurt Jacobs for Barrett Resources; and Sarah Tomalty of Dynegy | Questar Pipeline Co. Order No. 637 Tech Conference | 1/11/2001 | | After Questar submitted a supplemental filing and public comment was received, the Commission issued an order on Questar's compliance with Order No. 637. | The discussion at the technical conference was limited to Questar's compliance with Order No. 637. |
| 458 | Fischer, Stuart | Office of General Counsel | Market Oversight and Enforcement | Yes | Not sure. | From FERC: Stuart Fischer, Kumar Agarwal, Stan Wolf, John Kroeger, Mark Gratchen, Wlat McDaniel, Dean Wight, Ray Montini, Andrea Wolfman, Scott Miller, Jerry Richman. From Enron, Joe Hartsoe. Also representatives from Tenaska, Williams, Duke, Southern, Boston Pacific, Amerada Hess, Sithe Energies, Dynegy, GA for Indpeendent Energy, PG&E, Constellation, Duke, EPSA, PECO, PP&L. | Information gathering session for Commission report on Bulk Power Markets. Market participants discussed issues and concerns in the bulk power markets | | | Information received was part of the basis for Commission Staff's November 1, 2000 report on Bulk Power Markets. | |
| 459 | Fischer, Stuart | Office of General Counsel | Market Oversight and Enforcement | Yes | Ray Alvarez, VP Reg. Enron | Stuart Fischer, Ray Alvarez | Telephone call concerning FERC staff monitoring of California generator outages, re: applicability to wind units. | 6/1/2001 | | No. | |
| 460 | Fischer, Stuart | Office of General Counsel | Market Oversight and Enforcement | Yes | Robert Pease, FERC | Stuart Fischer, Robert Pease and Ray Alvarez (Vice President, Federal Regulatory Affairs, Enron) | Returning request for conference call in e-mail to Robert Pease dated November 9, 2001. | 11/13/2001 | | No, the matter resolved itself. | This was a non-public communication during informal dispute resolution efforts. |

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| 461 | Flanders, Robert A. | Office of Markets, Tarriffs, and Rates | Policy | Yes | Commission trial staff | Northern Natural regulatory staff | settlement and pre-hearing discussions in Docket No. RP92-1 | | 2/92 to 10/92 | none | several meetings were held with all parties in a settlement forum |
| 462 | Flanders, Robert A. | Office of Markets, Tarriffs, and Rates | Policy | Yes | Commission trial staff | Transwestern regulatory staff, Commission trial staff, varous intervenors | settlement and pre-hearing discussions | | 1/93 to 11/93 | case settled | |
| 463 | Flanders, Robert A. | Office of Markets, Tarriffs, and Rates | Policy | Yes | Commission advisory staff | INGAA pipeline representatives, Enrom companies may have been present | Discussion of industry experience with the Order No. 636 capacity release program | | 1997-98 | none | part of the outreach effort to keep up to date on developments in pileine capacity markets |
| 464 | Flanders, Robert A. | Office of Markets, Tarriffs, and Rates | Policy | Yes | Commission staff | approx 200 attendees from various industry segments. Enron may have been represented. | Staff presentation on capacity auction issues | 10/21/1998 | | no | |
| 465 | Flanders, Robert A. | Office of Markets, Tarriffs, and Rates | Policy | Yes | Commission staff | approx 200 attendees from various industry segments. Enron personnel may have been involved. | Industry response to Docket No. RM98-10 rulemaking prosposal on pipeline capacity auctions | 12/8/1998 | | no | |
| 466 | Flanders, Robert A. | Office of Markets, Tarriffs, and Rates | Policy | Yes | Commission staff | INGAA members including Stan Horton from Enron. | Staff outreach effort to discuss reaction to NOPR in Docket No. RM98-10 and current state of pipeline capacity markets | 7/7/2000 | | no | |

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| 467 | Flanders, Robert A. | Office of Markets, Tarriffs, and Rates | Policy | Yes | Commission staff | EnronOnLine personnel, approx 200 Commission staff | Demo of EnronOnLine and discussion of state of gas, electric markets | 4/18/2000 | | no | |
| 468 | Flanders, Robert A. | Office of Markets, Tarriffs, and Rates | Policy | Yes | Robert Flanders | various Enron personnel involved with electronic trading, EnronOnLine, pipeline operations | new challenges in electronic commerce for regulatory policy | 5/1/2000 | | no | This was part of a staff assessment of developments in electronic commerce and implications for regulatory policy |
| 469 | Flanders, Robert A. | Office of Markets, Tarriffs, and Rates | Policy | Yes | Robert Flanders per Comm. order | approx 200 attendees from various industry sectors. Enron personnel may have been in attendence. | post-Order No. 637 indutry dialog effort - gas market liquidity | 9/19/2000 | | no | This was a public conference. |
| 470 | Flanders, Robert A. | Office of Markets, Tarriffs, and Rates | Policy | Yes | Robert Flanders, per Comm. order | approx 200 attendees from various industry sectors. Leslie Lawner from Enron North America was on the roundtable panel. Other Enron personnel may have been in the audience. | Commission policy on gas affiliates, issues set for further discussion in Order No. 637 | 3/15/2001 | | no | Public conference. |
| 471 | Flanders, Robert A. | Office of Markets, Tarriffs, and Rates | Policy | Yes | The Electronic Scheduling Collaborative | Various members of the Electronic Scheduling Collaborative committee, incuding Charles Yeung of Enron. Several staff members were also present. | ESC status report on progress to develop standards for electronic power scheduling | 9/19/2001 | | no | see more detailed description by Norma McComber. |
| 472 | Flanders, Robert A. | Office of Markets, Tarriffs, and Rates | Policy | Yes | INGAA | various staff and gas industry personnel | INGAA Annual Regulatory Affairs conference | | 4/28 to 4/30/ 1999 | no | |

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| 473 | Flanders, Robert A. | Office of Markets, Tarriffs, and Rates | Policy | Yes | INGAA | various staff and gas industry personnel | INGAA Annual Regulatory Affairs Conference | | 5/16 to 5/18/2001 | no | |
| 474 | Flanders, Robert A. | Office of Markets, Tarriffs, and Rates | Policy | Yes | Enron | various staff, congressional, gas industry, and Enron personnel | Annual Christmas parties | | 1992-1999 | no | |
| 475 | Flanders, Robert A. | Office of Markets, Tarriffs, and Rates | Policy | Yes | Robert Flanders | Staff: Robert Flanders, Marvin Rosenberg, Kim Bruno, Barbara Bourque. Enron: Shelly Corman, Donna Fulton, Colleen Sullivan-Shaklovitz, Rebeca Cantrel, Leslie Lawner, Dave Forster, Christine Nicolay, Kevin Pesto, Steve January, Julia White, Steve Harris, & Mike Bryant. | new challenges in electronic commerce for regulatory policy | 5/1/2000 | | no | This was a fact finding trip to better understand eCommerce. |
| 476 | Fludd, Patricia, I. | Office of the Executive Director | Orgnalzatio nal Manageme nt | No | | | | | | | |
| 477 | Foley, Richard W. | Office of Energy Projects | Pipeline Certificates | Yes | Randall S. Rich - Bracewell & Patterson | Richard W. Foley | Pre-filing legal research on the exclusive economic zone boundary between USA and Bahamas | | | Staff "required" Presidential Permit application to be filed as part of the Calypso Pipeline project. | Bracewell & Patterson represents Calypso |
| 478 | Ford, Peggy M. | Office of Markets, Tarriffs, and Rates | Administrati on and Case Tracking | No | | | | | | | |

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| 479 | Foreman, Florisa G | Office of the Secretary | Registry | No | | | | | | | |
| 480 | Forman, Howard B. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Chief Administrative Law Judge Wagner | More than 75 parties-see service list in EL00-95-031 | To determine if agreement could be reached on the following topics: (1) refunds for overcharges in California for the period 10/2/00 to 5/31/01; (2) creditworthiness issues; and (3) what additional load could be moved from the spot markets to longer term contracts | | 6/25/01-7/9/01 | The Commission issued an order on July 25, 2001, setting for separate hearings as to what refunds are due for overcharges in California and in the Pacific Northwest. | I assisted the Chief Judge in these discussions, along with 4 other members of the Commission staff. |
| 481 | Forman, Howard B. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Chief Administrative Law Judge Wagner | More than 75 parties, including representatives of Enron Power Marketing and Portland General Electric-see service list in PL01-2. | Trying to get the California utilities to enter into forward contracts as a hedge against spot market prices. | | 12/00-1/01 | No. | I assisted the Chief Judge during theses discussions. |
| 482 | Forman, Howard B. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Chief Administrative Law Judge Wagner | numerous representatives of electric utilities in California, owners of Reliability Must Run Generators in California, the California ISO Corporation, and other interested parties, including Enron Power Marketing, Inc. | The Commission initiated hearings into the justness and reasonableness of the rate schedules for Reliability Must Run (RMR)generating facilities in California | | January 1998-March 1999 | The Commission approved an uncontested settlement that provided for revised RMR rate schedules and provided for continuing settlement discussions and litigation if necessary on still unresolved issues. | I participated as a member of the Commission's litigation staff in this proceeding, but did not participate in the subsequent settlement negotiations. |
| 483 | Forsberg, Rene' Linn | Office of Markets, Tarriffs, and Rates | Cheif Economic Advisor | No | | | | | | | |

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| 484 | Foster Jr, William Do | Office of the Executive Director | Division of Financial Policy | | | | | | | | |
| 485 | Foster, Etta,L. | Office of Energy Projects | DHAC | No | | | | | | | |
| 486 | Fowlkes Sr., Edward | Office of Energy Projects | DPC | Yes | Edward J. Fowlkes, Sr. | Stephen T. Veatch,Director Certificates and Regulatory Reporting Florida Gas Transmission Co. 1400 Smith Street, suite 3997 PO Box 1188 Houston,m TX 77251-1188 | Provision of described information to assist in Docket No. CP02-27-000's proposal. | | | See 02/27/02 Agenda Item C-5 | Response dated/received 01/28/02. RIMS Submittal 20020130-0308 responding to 01/11/02 RIMS Issuance 20020114-0223. |
| 487 | Frailey, David G. | Office of the Chief Information Officer | Network Operations | No | | | | | | | |
| 488 | Fralin, Phillip A. | Office of the Executive Director | Human Resources and Organizational Management | No | | | | | | | |
| 489 | Francese, Albert J. | Office of General Counsel | Energy Projects | No | | | | | | | |
| 490 | Fray, Jackson L. | Office of Markets, Tariffs, and Rates | Division of Tariffs and Rates-East | Yes | Staff | Customers, Staff, Company | Restructuring of Northern Natural | Early 1993 | | Commission issued order on settlement | |

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| 491 | Frazier, Deborah A. | Office of Markets, Tarriffs, and Rates | Central 1 | No | | | | | | | |
| 492 | Freeman, Wanda B. | Office of the Chief Informati on Officer | Office of the Chief Information Officer | No | | | | | | | |
| 493 | French, E. Louise | Office of Markets, Tarriffs, and Rates | Div. of Adm. & Case Tracking | No | | | | | | | |
| 494 | Friedman, Paul D. | Office of Energy Projects | DEER | No | | | | | | | |

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| 495 | Froehlich, Jane E | Office of General Counsel | Administrative Litigation | Yes | FERC Trial Staff | Linda Lee, Dan Poffenberger, Natalie Tingle-Stewart, possibly Joe Long - - other parties were on the conference call, representing the company and various customers, but I don't remember who. I have no recollection of Portland General being on that conference call or participating at all in the case, other than filing an intervention. | Puget Sound Power & Light's stranded cost filing in ER96-714 (where Portland General intervened), and transmission and ancillary services filing in ER96-697, ER97-4468, et al. (where Enron Marketing intervened). I don't remember which case was the one where I participated in the phone call. If it was the stranded cost case, it happened in 1996. If it was the transmission and ancillary services case, it happened anywhere between 1997 and July 2001 (the duration of the case). I have no documents at all from either of these cases. There are public documents available on RIMS for both cases. | | anywhere from 1996 2001 | Both cases settled, and the Commission accepted the settlements. | This is the second form I've submitted; the first one said I had no contacts at all, but I've since been reminded of these ancient cases in which an Enron affiliate intervened but did not participate in the case. |
| 496 | Froehlich, William J. | Office of General Counsel | Administrative Litigation | Yes | Trial Staff | President, CFO, and General Counsel of FGT and Commission Trial Staff, Kenneth Ende, Janice Garrison, Sandy DeLude, Mike Coutler | Settlement of an FGT rate case an a related accounting issue | | Various dates between 1995 and 1997. | A settlement was eventually reached and approved by the Commission | I do not have any notes or specific recollection of the number of meetings or their dates. The Docket Numbers include: RP96-366, FA94-15, RP95-103 and perhaps FA91-23. |
| 497 | Froehlich, William J. | Office of General Counsel | Administrative Litigation | Yes | INGAA | All pipeline members' Washington representatives | New Office created at FERC | 5/11/1999 | | No | Informational meeting were ENRON representative may have been in attendance. |
| 498 | Fuller, Amanda C. | Office of the Executive Director | STARS program | No | | | | | | | |

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| 499 | Fuller, Amanda C. | Office of the Executive Director | STARS program | No | | | | | | | |
| 500 | Fulton, Robert W. | Office of Markets, Tariffs, and Rates | Rates - Central | No | | | | | | | |
| 501 | Funes, Leola R. | Office of the Executive Director | DIVISION OF FINANCIAL POLICY | No | | | | | | | |
| 502 | Gadani, Jignasa | Office of General Counsel | Markets, Tariffs and Rates | Yes | Lodie White (party host) | Guests who are also FERC Employees - Geeta Oberoi and boyfriend Scott (an Enron employee), Maria Vouras, Mary Morton, Leonard Tao, Jason Stanek, Olga Kolotushkina, and others I don't remember. Non-FERC Employee Guests - don't know names | Party | 9/8/2001 | | No. | |
| 503 | Gadani, Jignasa | Office of General Counsel | MTR | Yes | Geeta Oberoi | Guests who are also FERC Employees - Geeta Oberoi and boyfriend Scott (an Enron employee), Maria Vouras, Leonard Tao, Jason Stanek, Olga Kolotushkina, Joyce Kim, Lodie White. | After work happy hour. | 8/1/2001 | | No. | |
| 504 | Gadani, Jignasa | Office of General Counsel | MTR | Yes | Joyce Kim and Roger Gibian | FERC employees: Olga Kolotushkina, Dennis Vasapoli, Joesph Lowell and others I don't remember. Ex-FERC employees - Roger Gibian, others I don't know. Non-FERC employees - Nancy Bagot (Enron Employee) and others. | Happy hour for new employees | | one evening between 5/1 - 5/30/01. | No. | |

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| 505 | Gadani, Jignasa | Office of General Counsel | MTR | Yes | INGAA | INGAA member representatives. FERC employees including Kimberly Bose, Commissioner Massey and his assisstants, Marvin Rosenberg, Mark Robinson, Andrea Wolfman and others I don't remember. Nancy Bagot - Enron employee | Annual Regulatory Affairs Conference | | 5/16 - 5/18/2001 | No. | |
| 506 | Gadani, Jignasa | Office of General Counsel | MTR | Yes | Eugene Grace (party host) | Guests who are also FERC Employees - Geeta Oberoi and boyfriend Scott (an Enron employee), Maria Vouras, Mary Morton, Leonard Tao, Jason Stanek, Olga Kolotushkina, and others I don't remember. Non-FERC Employee Guests - don't know names | Farewell party. | 6/1/2001 | | No. | |
| 507 | Gaedeke, Erich G. | Office of Energy Projects | DHAC | No | | | | | | | |
| 508 | Gall, Eugene, B. | Office of Energy Projects | Dam Safety and Inspections | No | | | | | | | |
| 509 | Gallick, Edward C. | Office of Markets, Tarriffs, and Rates | Market Developme nt | No | | | | | | | |
| 510 | Gallo, David M. | Office of Energy Projects | DEER | Yes | same as above | Transwestern, Florida Gas, Northern Border, Northern Natural | Environmental compliance | 1994-2001 | | | |
| 511 | Ganey, Gregg M. | Office of Markets, Tarriffs, and Rates | CENTRAL, GROUP II | No | | | | | | | |

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| 512 | Ganey, Gregg M. | Office of Markets, Tarriffs, and Rates | CENTRAL, GROUP II | No | | | | | | | |
| 513 | Gargon III, Emory E | Office of the Chief Informati on Officer | Customer Support | Yes | Emory Gargon, Robert Fulton | Emory Gargon, Tracy Gargon, Donna Fulton (Former Enron Employee), Robert Fulton and children of each. | Social Gatherings at the Fulton's House | | 1992-1994 | No | I'm sure of the exact dates but I had been over to the Fulton household several times during the above time period. |
| 514 | Gargon III, Emory E. | Office of the Chief Informati on Officer | Customer Support | Yes | Enron | Mary Kay (Enron), Donna Fulton (Enron)other Enron Employees, Emory Gargon and many other FERC employees | Holiday party | | Dec 1992 - Dec 1994 | No | I'm not sure of the year I attended but I attended one or two of the Enron Holiday parties in Washington DC |
| 515 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | American Gas Association | Unknown | Discussion of industry accounting issues affecting gas companies nationwide(jurisdictional and nonjurisdictional) | | 8/15/1994 - 8/17/1994 | No. | |
| 516 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | INGAA | Unknown | Discussion of industry accounting issues affecting jurisdictional natural gas companies. | 4/7/1992 | | No. | Joint meeting of accounting/finance representatives of INGAA member companies and FERC accounting staff. |
| 517 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | INGAA | Unknown. | Discussion of industry accounting issues affecting jurisdictional natural gas companies. | 10/22/1992 | | No. | Joint meeting of accounting/finance representatives of INGAA member companies and FERC accounting staff. |

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| 518 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | INGAA | Unknown. | Discussion of industry accounting issues affecting jurisdictional natural gas companies. | 10/20/1993 | | No. | Joint meeting of accounting/finance representatives of INGAA member companies and FERC accounting staff. |
| 519 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | INGAA | Unknown. | Discussion of industry accounting issues affecting jurisdictional natural gas companies. | 4/20/1993 | | No. | Joint meeting of accounting/finance representatives of INGAA member companies and FERC accounting staff. |
| 520 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | INGAA | Unknown. | Discussion of industry accounting issues affecting jurisditional natural gas companies. | 10/18/1994 | | No. | Joint meeting of accounting/finance representatives of INGAA member companies and FERC accounting staff. |
| 521 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | INGAA | Unknown. | Discussion of industry accounting issues affecting jurisdictional natural gas companies. | 4/20/1995 | | No. | Joint meeting of accounting/finance representatives of INGAA member companies and FERC accounting staff. |
| 522 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | INGAA | Unknown. | Discussion of industry accounting issues affecting jurisdictional natural gas companies. | 11/9/1995 | | No. | Joint meeting of accounting/finance representatives of INGAA member companies and FERC accounting staff. |
| 523 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | INGAA | Unknow. | Discussion of industry accounting issues affecting jurisdictional natural gas companies. | 4/25/1996 | | No. | Joint meeting of accounting/finance representatives of INGAA member companies and FERC accounting staff. |

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| 524 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | INGAA | Unknown. | Discussion of industry accounting issues affecting jurisdictional natural gas companies. | 11/6/1996 | | No. | Joint meeting of accounting/finance representatives of INGAA member companies and FERC accounting staff. |
| 525 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | INGAA | Unknown. | Discussion of industry accounting issues affecting jurisdictional natural gas companies. | 4/24/1997 | | No. | Joint meeting of accounting/finance representatives from INGAA member companies and FERC accounting staff. |
| 526 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | INGAA | Unknown. | Discussion of industry accounting issues affecting jurisdictional natural gas companies. | 10/22/1997 | | No. | Joint meeting of accounting/finance representatives of INGAA member companies and FERC accounting staff. |
| 527 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | INGAA | Unknown. | Discussion of industry accounting issues affecting jurisdictional natural gas companies. | 4/23/1998 | | No. | Joint meeting of accounting/finance representatives of INGAA member companies and FERC accounting staff. |
| 528 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | American Gas Association | Unknown | Discussion of gas industry accounting issues affecting jurisdictional and nonjurisdictional gas companies. | | 08/11/1996-8/14/1996 | No. | This was an industry conference. |
| 529 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | Coopers & Lybrand | Unknown. | Discussion of electric and gas accounting issues affecting jurisdictional and nonjurisdictional electric and gas companies nationwide. | 12/12/1995 | | No. | This was Coopers & Lybrand's Electric and Gas Year End Issues Forum. |

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| 530 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | Coopers & Lybrand | Unknown. | Discussion of electric and gas accounting issues affecting jurisdictional and nonjurisdictional electric and gas companies nationwide. | 12/16/1996 | | No. | This was Coopers & Lybrand's Electric and Gas Year End Issues Forum conference. |
| 531 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | Coopers & Lybrand | Unknown. | Discussion of electric and gas accounting issues affecting jurisdictional and nonjurisdictional electric and gas companies nationwide. | 12/8/1994 | | No. | This was Coopers & Lybrand's Electric and Gas Year End Issues Forum conference. |
| 532 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | INGAA | Unknown. | Discussion of industry accounting issues affecting jurisdictional natural gas companies. | | 09/30/1996-10/01/1996 | No. | This was INGAA's 1996 Fall Accounting Issues Conference. |
| 533 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | INGAA | Unknown. | Discussion of industry accounting issues affecting jurisdictional natural gas companies. | | 09/20/1998-09/22/1998 | No. | This was INGAA's 1998 Fall Accounting Subcommittee Meeting. |
| 534 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | FERC Staff/Florida Gas Transmission | FERC Staff and Florida Gas personnel. | Procedural and substantive issues in consolidated rate proceeding in Docket Nos. RP96-366-000 and FA94-12-002. | | October 1996-August 1997 | None. | |

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| 535 | Garrison, Janice K. | Office of Markets, Tariffs, and Rates | Front Office | Yes | Public Notice by FERC Staff | All parties to the proceeding in Docket Nos. RP96-366-000 and FA94-15-002, including Florida Gas Transmission and FERC staff. | Settlement conferences to resolve cost of service and rate design issues in Docket No. RP86-366-000, issues in the informal investigation in Docket No. CP92-182-000 and the construction cost audit in FA94-15-002. | | November 1996-July 1997 | September 24, 1997 Commission order approving Settlement filed on August 5, 1997. | |
| 536 | Gasser, Kristin | Office of Administrative Law Judges | | No | | | | | | | |
| 537 | Gasteiger, Larry D. | Office of General Counsel | Solicitor's Office | Yes | Louis R. Cohen | Louis R. Cohen Counsel of Record for Enrone Power Marketing, Inc. Wilmer Cutler & Pickering Jeffrey D. Watkiss Bracewell & Patterson Counsel for Enron Power Marketing, Inc. Edwin S. Kneedler Deputy Solicitor General U.S. Department of Justice John Nechterlinger Associate Solicitor Office of the Solicitor General U.S. Department of Justice John H. Conway Deputy Solicitor Federal Energy Regulatory Commission Larry D. Gasteiger Attorney Office of the Solicitor Federal Energy Regulatory Commission | Petition for writ of certiorari of Northern States Power Co. v. FERC, 8th Cir. No. 98-3000, 176 F.3d 1090 (8th Cir. 1999). | | | No. | |
| 538 | Gasteiger, Larry D. | Office of General Counsel | Solicitor's Office | Yes | Jeffrey D. Watkiss | Larry D. Gasteiger Attorney Office of the Solicitor Federal Energy Regulatory Commission Jeffrey D. Watkiss Bracewell and Patterson Counsel for Enron Power Marketing Inc. Byron E. Stams Counsel for Wisconsin Electric Power Co. | Preparation for oral argument in Northern States Power Co. v. FERC, 8th Cir. No. 98-3000 | 3/7/1999 | | No. | |
| 539 | Gastilo, Jane , Carme | Office of General Counsel | Administrative Litigation | Yes | ALJ Cowan notice sett conference | ALJ Cowan, Staff, and presumably most of the parties, including Enron, in Docket No. EL99-93. There is no list. | To discuss possible settlement in EL99-93 complaint | 12/20/1999 | | No. This was a settlement conference | Enron counsel attended and had a few words to say, but those are privileged, of course. |

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| 540 | Gastilo, Jane, Carmer | Office of General Counsel | Administrati ve Litigation | Yes | | | | | | | Mojave Pipeline Company, RP01-172. Enron North America Corp. intervened on December 13, 2000. There was no further participation by them in this proceeding. |
| 541 | Gastilo, Jane, Carmer | Office of General Counsel | Litigation | Yes | FERC Trial Staff | Staff and interested parties were invited. Enron Capital and Trade Resources Corp. intervened (but was not active) | To discuss settlement | | 1996-1997 | No | Michigan Gas Pipeline, RP96-295 |
| 542 | Gastilo, Jane, Carmer | Office of General Counsel | Litigation | Yes | FERC Trial Staff | Staff and interested parties were invited. Enron Capital and Trade Resources Corp. intervened (but was not active) | To discuss settlement | | 1995-1996 | No | Mojave Pipeline Company, RP95-175 |
| 543 | Gastilo, Jane, Carmer | Office of General Counsel | Litigation | Yes | FERC Trial Staff | Staff and interested parties were invited. Enron Capital and Trade Resources Corp. intervened (but was not active) | To discuss settlement | | 1997-1999 | | Iroquois Gas Transmission Corp. RP97-126 |
| 544 | Gastilo, Jane, Carmer | Office of General Counsel | Litigation | Yes | FERC Trial Staff | Staff and interested parties were invited. Enron Capital and Trade Resources Corp. intervened (but was not active) | To discuss settlement | | Sept. 1996 | | Koch Gateway Pipeline Company, RP95-362 |
| 545 | Gastilo, Jane, Carmer | Office of General Counsel | Litigation | Yes | FERC Trial Staff | Staff and interested parties were invited. Enron Capital and Trade Resources Corp. intervened (but was not active) | To discuss settlement | | 1998-1999 | | ANR Pipeline Company, Transco., RP98-174-001 |
| 546 | Gastilo, Jane, Carmer | Office of General Counsel | Litigation | Yes | FERC Trial Staff | Enron Power Marketing. Intervened (but was not active) | To discuss settlement | | 1998-1999 | | San Diego G&E ER98-496 |
| 547 | Gastilo, Jane, Carmer | Office of General Counsel | Litigation | Yes | FERC Trial Staff | Enron Power Marketing. Intervened (but was not active) | To discuss settlement | | 1998-1999 | | CAISO, ER98-1057 (RPTO Agreements) |
| 548 | Gavelek, Donald, P | Office of Markets, Tarriffs, and Rates | Case Tracking | No | | | | | | | |

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| 549 | Gekas, James C. | Office of Administrative Law Judges | OALJ | No | | | | | | | |
| 550 | Gelinas, Donald, J. | Office of Markets, Tarriffs, and Rates | Associate Director | No | | | | | | | I am relying entirely on recollection. I was the Director of the Electric Applications program for most of the relevant time frame. In that capacity, I received countless phone inquiries asking for guidance on filing requirements. I have no recollection, but could well have spoken to a representative for Enron. Please disregard prior response which was inadvertantly submitted without these comments. |
| 551 | Giblin, Karen A | Office of Markets, Tarriffs, and Rates | Market Information | No | | | | | | | |
| 552 | Gilbert, Linda S. | Office of General Counsel | Energy Projects | Yes | Julie Keil, PGE | Nan Allen, FERC Steve Padula, PGE Linda Gilbert, FERC Ellen Korthaus-Vos, FERC Dennis Karnopp, Confederated Tribes of Warm Springs (CTWS) Brian Cunningham, CTWS Robin Tompkins, PGE John Smith, FERC Ann Miles, FERC Mark Robinson, FERC Gloria Smith, U.S. Dept. of Interior Solicitor's Office Thomas Mark, PGE Julie Keil, PGE Jocelyn Somers, USDA (by phone) Rod Bonacher, FS/BLM (by phone) Tom Nelson, Jefferson County, WA (by phone) Janet Brown, Jefferson County, WA (by phone) | Representatives of PGE and the Warm Springs Tribes requested an opportunity to meet with FERC staff to discuss procedural issues and steps for filing an amendment application to reflect their intent to file a joint relicense application to replace their competing applications for the Pelton Hydroelectric Project No. 2030. | 9/19/2000 | | No. | PGE and the Confederated Tribes subsequently filed a joint application for a new license for the Pelton Hydroelectric Project on June 29, 2001. That application is pending before FERC. |

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| 553 | Gilbert, Linda S. | Office of General Counsel | Energy Projects | Yes | Julie Keil, Portland General Electric | Robin Thompkins, Portland General Electric (PGE) A.W. Turner, PGE Julie Keil, PGE Jim Wyatt, PGE Bruce Neely, LeBoeuf, Lamb, Green & MacRae Tom Mark, PGE Jim Noteboom, Attorney, Confederated Tribes of Warm Springs Gail Greely, Relicensing Consultant, Confederated Tribes Delvis Heath, Tribal Council Member Garland Brunoe, Tribal Council Member Vernon Henry, Tribal Council Member Lydia Grimm, U.S. Dept. of the Interior Linda Gilbert, FERC Ellen Korthaus-Vos, FERC Nan Allen, FERC Hector Perez, FERC (?) Ann Miles, FERC (?) Mark Robinson, FERC (?) James Hunter, FERC (?) | PGE and the Confederated Tribes requested a meeting with FERC staff to discuss their proposed settlement agreement, which would resolve all outstanding issues between the two entities and allow them to file a joint relicense application for the Pelton Hydroelectric Project, instead of the competing applications that they had already fled. | 4/4/2000 | | No. | PGE and the Confederated Tribes filed their request for approval of the settlement agreement, partial transfer of license, and license amendment on April 20, 2000. FERC issued a public notice of the filing and received comments and interventions. FERC issued a decision approving the settlement and amending the license on November 21, 2000. The decision is reported at 93 FERC par. 61,183. |
| 554 | Gilliar, Geoffrey, G | Office of the Executiv e Director | MAPS | No | | | | | | | |
| 555 | Gilmore, Edith A. | Office of General Counsel | Administrati ve Litigation | Yes | Public notice by FERC Trial Staff | All parties to the proceeding in Docket No. RP98-203-000, Northern Natural Gas Co. (Northern), including Northern and FERC Trial Staff. | Settlement conferences to resolve cost of service and rate design issues in Docket No. RP98-203-000. | | Various dates between May 1998 and April 1999 | June 18, 1999 Commission Letter Order approving uncontested settlement. 87 FERC par. 61,321 (1999). | |
| 556 | Gilmore, Edith A. | Office of General Counsel | Administrati ve Litigation | Yes | Public notice by FERC Trial Staff | All parties to the proceeding in Docket No. RP97-373-000, Koch Gateway Pipeline Company (Koch), including FERC Trial Staff and Enron Capital & Trade Resources, Corp. (who was an intervenor in the proceeding). | Settlement conferences to resolve cost of service and rate design issues in Docket No. RP97-33-000/ | | Various dates between November 1997 and March 1998 | August 3, 1998 Commission Order on Contested Settlement. 84 FERC par. 61,143 (1998), reh'g denied, 85 FERC par. 61,426 (1998). | Enron Capital & Trade Resources Corp. was not an active participant in this proceeding (meaning that it did not take a position or actively engage in settlement discussions, and did not attend all of the conferences, if any). |

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| 557 | Gilmore, Edith A. | Office of General Counsel | Administrative Litigation | Yes | Public notice by FERC Trial Staff | All parties to the proceeding in Docket No. RP97-344-000 were invited to attend, including Enron Capital & Trade Resources, Corp., an intervenor in the proceeding. | Settlement conferences to resolve cost-of-service and rate design issues in Docket No. RP97-344-000. | | Various dates between August 1998 and November 1998 | No. | Enron Capital & Trade Resources, Corp., was not an active participant in this proceeding (meaning it did not take a position, or actively engage in settlement discussions, and did not attend all of the settlement conferences, if any). |
| 558 | Gilmore, Edith A. | Office of General Counsel | Administrative Litigation | Yes | Public notice by FERC Trial Staff | All parties in Docket No. RP00-2-000, Overthrust Pipeline Company (including Intervenor Enron North America Corp.) and FERC Trial Staff. | Settlement conferences to resolve cost-of-service and rate design issues in Docket No. RP00-2-000. | | Various dates between November 1999 and March 2000 | July 13, 2000 Letter Order approving settlement, 92 FERC par. 61,027 (2000). | |
| 559 | Gilmore, Relonna J | Office of External Affairs | Office of External Affairs | No | | | | | | | |
| 560 | Glasgow, Donna | Office of Commissioner Massey | | No | | | | | | | I have been the primary scheduler in Commr. Massey's office from May 1993 to the present. I have spoken with several people from Enron (Joe Hartsoe, Donna Fulton, Lisa Yoho, Nancy Bagot) to schedule meetings. |
| 561 | Glasgow, Rossell | Office of Markets, Tariffs, and Rates | Division of Tariffs and Rates - East | Yes | Commission Staff - OMTR Outreach | Uncertain of everyone - Salda Shalan has submitted group listing | OMTR outreach meetings with INGA and EPSA to hear various views on industry issues- Enron had representatives present | | Summer 2001 | No specific action. Just Outreach meeting. See Salda Shaalan's response for Outreach meeting | |
| 562 | Glasgow, Rossell A. | Office of Markets, Tariffs, and Rates | Division of Tariffs and Rates - East | No | | | | | | | |

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| 563 | Godding, George A. | Office of Markets, Tarriffs, and Rates | Market Information | Yes | See comments below | | | | | | I attended two meetings with Enron staff as described by: Karen Robinson - 12/7/2000 Salda Shaalan - 5/30/2001 |
| 564 | Goggins, Charles P. | Office of Energy Projects | Dam Safety and Inspections | No | | | | | | | |
| 565 | Goldenberg, Michael | Office of General Counsel | Markets, Tariffs and Rates | Yes | FERC | Representatives from all segments of natural gas industry | Develop standards for pipeline Electronic Bulletin Boards as mandated by the Commission | | March 1993 - July 1993 | Adopted standards developed by the Industry for electronic bulletin boards | |
| 566 | Goldenberg, Michael | Office of General Counsel | Markets, Tariffs, and Rates | Yes | Commission staff | Industry members from different segments of the industry | Staff outreach to industry segments to uncover background conerning their concerns and interests. | | 1999-2000 | No specific action taken | |
| 567 | Goldenberg, Michael | Office of Generat Counsel | Markets, Tariffs, and Rates | Yes | Commission staff | Industry Members | Auction proposal for released and pipeline capacity; affiliate issues | | 09/19/2000; March 15, 2001, 1999 | No specific action, but the meetings may have provided information used in formulating actions | |
| 568 | Goldup, Marianne D. | Office of Energy Projects | Certificates I | No | | | | | | | |
| 569 | Goodman, Grace E. | Office of Markets, Tarriffs, and Rates | Rates - East | Yes | Commission | I don't have a list. Most intervenors were present at the various converences. | Texas Eastern's Order No. 636 Compliance filing in Docket No. RS92-11 | | 1992-1992 | The Commission issued a series of orders in Docket No. RS92-11. | All conferences were publically noticed. |
| 570 | Goodman, Grace E. | Office of Markets, Tarriffs, and Rates | Rates - Est | Yes | Commission | I don't have a list. Most intervenors were represented at the publically noticed conference. | Texas Eastern's one-year report in DOcket No. RP94-239-000, on its compliance with Order No. 636. | 9/23/1994 | | A Commission order was issued in the proceeding. | |

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| 571 | Goodman, Grace E. | Office of Markets, Tarriffs, and Rates | Rates - East | Yes | Commission trial staff or ALJ | I don't have a list | Tennessee's rate filing in Docket No. RP91-203. | | 1991-1994 | Commission orders were issued. | The meetings would have been conferences held by the ALJ assigned to the case, or settlement conferences. All would have been noticed. |
| 572 | Goodman, Grace E. | Office of Markets, Tarriffs, and Rates | Rates - East | Yes | OPPR Staff or INGAA | I don't have a list. | Filing requirements for NGA Section 4 rate cases and Form 2. The meeting would have been prior to or around the time of the issuance of the NOPRs in Docket Nos. RM95-3 and RM95-4 | | 1994-1995 | Final rules were issued. See, eg. Order 582. | The meeting was held in the OPR front office, and the office director, his deputies, and/or division directors were present. |
| 573 | Goodman, Grace E. | Office of Markets, Tarriffs, and Rates | Rates - East | Yes | Commission | I don't have a list. | Hourly firm up service, as proposed in Docket No. RP01-81. | | Apr-01 | Orders were issued. | The meeting was publically noticed. |
| 574 | Goodman, Grace E. | Office of Markets, Tarriffs, and Rates | Rates - East | Yes | Commission Staff | I don't have a list. | Texas Eastern's compliance with Order No. 637 in Docket No. RP 00-468. | | Jan-01 | Action scheduled for 2/13/02 agenda | The meeting was publically noticed. |
| 575 | Goodman, Grace E. | Office of Markets, Tarriffs, and Rates | Rates - East | Yes | Enron or OPR Director | I don't have a list. | Presentation of Enron's electronic trading capabilities | | 1998? | Not to my knowledge. | The presentation was held in the Commission meeting room and many FERC employees were present, as well as many Enron staffers (including former staff). |
| 576 | Goodson, Andre, A. | Office of General Counsel | MTR | No | | | | | | | |

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| 577 | Gorton, Ann E. | Office of General Counsel | General & Administrative Law | No | | | | | | | |
| 578 | Gotzmer, Jerrold W. | Office of Energy Projects | Division of Dam Safety and Inspections (D2SI) | Yes | Gus Tjoumas - Director, D2SI | Jerry Gotzmer | Gus Tjoumas periodically asked for my review and comment of draft letters addressing the technical issues regarding the Probable Maximum Flood study for the Round Butte Project, FERC No. 2030. The final letter would eventually be sent from Harry Hall, Regional Engineer, D2SI-PRO to Portland General Electric Company. | | Year 2000 | Eventual resolution of the technical issues regarding the Probable Maximum Flood. | |
| 579 | Govan, Cynthia A | Office of General Counsel | Litigation | Yes | FERC Staff/Northern Border | All active participants | Procedural and substantive issues in proceeding | | 10/17/92 to 05/17/94 | No | |

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| 580 | Govan, Cynthia A | Office of General Counsel | Litigation | Yes | | | | | | | I was the supervisor on the following cases which may have had an Enron entity that intervened and where before the Commission before the following dates: Northern Border Pipline Co., FA90-65-000 (11/17/92 - 5/17/94) Northern Natural Gas Co., RP97-248 (01/15/98 to 5/14/98) Northern Natural Gas Co., RP95-185 (3/30/95 to 7/31/96) Northern Natural Gas Co., RP88-259 (10/21/93 to 12/27/94) CNG Transmission RP94-96 (4/6/94 to 12/28/95) Colorado Interstate Gas RP93-99 (4/3/93 to 11/10/94) Colorado Interstate Gas RP96-190 (4/25/96 to 10/16/97) KN Interstate RP94-93 (1/31/94 to 1/20/95) Mojave Pipeline RP95-175 (3/29/95 to 3/11/97) Most of these cases were resolved through settlement. Enron and its affiliates if they were in fact intervenors were not active to the best of my recollection. As supervisor I did not attend many of the meetings and did not retain copies of any meeting notes regarding these cases. |
| 581 | Govan, Cynthia A. | Office of General Counsel | Administrative Litigation | Yes | Commission order in Docket No. EL00-95 | All parties to the proceeding including, Enron and Portland General. | Ongoing Trial procedures | | 08/01/01 - continuing | None pending | |
| 582 | Gramlich, Robert E | Office of the Chairman | Advisor | | Enron trial attorneys | Dick Tabors, consultant to Enron Sarah Novosel, Enron Enron attorney (?) Jon Bartus, FERC Trial Staff Judy Cardell, FERC Trial Staff | Settlement conference to resolve issues in the AEP-CSW merger. Discussed competition analyses being performed by Enron and Trial Staff. Discussed Enron claims of discriminatory transmission access by merger applicants. | | Spring 1999 | No. All intervenors' views were considered as Trial Staff negotiated a settlement with the merger applicants. | |

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| 583 | Gramlich, Robert E. | Office of the Chairman | Advisor | | FERC Trial Staff | Various meetings including all intervenors in AEP-CSW merger litigation proceedings. | Open settlement discussions | | spring 1999 | All intervenors' views were considered by Trial Staff. | I was one of the Commission's expert witnesses in the case. |
| 584 | Gramlich, Robert E. | Office of the Chairman | Advisor | | Alan Comnes, Enron | Alan and myself | Brief personal meeting to say hello while he was in town. We may have talked about Western physical grid characteristics. | 10/30/2000 | | No. | Alan was a colleague at Lawrence Berkeley National Laboratory. |
| 585 | Gransee, Marsha | Office of General Counsel | Chairman | Yes | Enron Pipelines | | Courtesy visits | | 1992-1994 | No | During the times II was on Commissioner and Chair Moler's Staff I attended many courtesy meetings, including meetings with Enron-affiliated pipelines |
| 586 | Gransee, Marsha | Office of General Counsel | Assistant General Counsel | Yes | INGAA | INGAA members including Enron-affiliated pipelines, Marsha Gransee and William Murrell, INGAA staff | | | | No | Briefing on Order No. 637 |
| 587 | Gransee, Marsha | Office of General Counsel | Front Office | Yes | Keystone | INGAA members pipeline representative including Enron pipeline employees, AGA representative, FERC staff | INGAA conference on regulatory issues | | 1992-1998 | None | |
| 588 | Gransee, Marsha | Office of General Counsel | Front Office | Yes | Keystone | All gas indurstry segments | Nancy Bagot attended environmental consumer advocate. White paper dialog on Natural gas issues | | 2000-present | None | Series of meetings |
| 589 | Gransee, Marsha | Office of General Counsel | either Associate General Counsel or Deputy | Yes | Donna Fulton | Donna Fulton, Shelley Corman, Ellen Schall, Michael Bardee, and others | Courtesy visit to introduce Ms. Corman | | 2000-2002 | No | |
| 590 | Gransee, Marsha | Office of Generat Counsel | Associate General Counsel, Gas & Oil | Yes | Don't know | Richard O'Neill, Virginia Strasser, Marsha Gransee and Enron employees | Visit to the trading floor | | Between April 25-28, 2000 | No | Dr. O'Neill, myself and Virginia Strasser visited Enron headquarters and trading floor. Various Enron employees talked to us and escorted us through the trading floor. I recall talking to Steve Kean and Bruce Stram. The three of us were in Houston on ot |

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| 591 | Gransee, Marsha | Office of Chair Betsy Moler | personal staff | Yes | Enron (as Enron) and/or gas affiliates | Commissioner and then Chairman Betsy Moler | Courtesy visits | | 1991-1994 | No | During the time that I served on Commissioner and then Chairman Moler's personal staff as her natural gas assistant, I participated in routine courtesy visits made by various entities. I have no particular memory of meeting with any Enron-affiliated company staff during this time period. |
| 592 | Gratchen, Mark E | Office of Markets, Tarriffs, and Rates | Division of Market Information | No | | | | | | | |
| 593 | Graves, Rose Mary | Office of the Chief Informati on Officer | System Assurance office | No | | | | | | | |
| 594 | Gray, Charles W. | Office of Energy Projects | Pipeline Certificates | No | | | | | | | |
| 595 | Gray, Tiffany M. | Office of the Secretar y | Registry and Service Branch | No | | | | | | | |
| 596 | Green, Chrisandra C. | Office of the Executiv e Director | Division of Financial Services | No | | | | | | | |
| 597 | Green, Douglas, M. | Office of Markets, Tarriffs, and Rates | Division of Litigation | No | | | | | | | |

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| 598 | Greenbaum, Alan | Office of General Counsel | Energy Projects | Yes | Portland General Electric Company | Julie Keil, Director, Hydro Licensing and Water Rights, Portland General Electric Tom Sullivan, (position unknown) Portland General Electric John Blair, FERC, Office of Energy Projects John Estep, FERC, Office of Energy Projects Regina Salzan, FERC, Office of Energy Projects Lon Crow, FERC, Office of Energy Projects Ann Miles, FERC, Office of Energy Projects, and me | The procedural requirements for the relicensing, transfer, and surrender (including dam removal) of the license for Portland General Electric's Bull Run Project No. 477 | 6/7/1999 | | No. | |
| 599 | Greenbaum, Alan | Office of General Counsel | Energy Projects | Yes | Portland General Electric Company | Julie Keil, Director, Hydro Licensing and Water Rights, Portland General Electric Tom Mark, Attorney for Portland General Electric John Estep, FERC, Office of Energy Projects Regina Salzan, FERC, Office of Energy Projects Lon Crow, FERC, Office of Energy Projects Alan Mitchnick, FERC, Office of Energy Projects | The procedural requirements for the relicensing, transfer, and surrender (including dam removal) of the license for Portland General Electric's Bull Run Project No. 477 | 6/29/1999 | | No. | |
| 600 | Greenbaum, Alan | Office of General Counsel | Energy Projects | Yes | Portland General Electric Company | (Telephone conference) Julie Keil, Director, Hydro Licensing and Water Rights, Portland General Electric Tom Mark, Attorney for Portland General Electric Robin Tompkins,(position unknown) Portland General Electric Steve Padula, (position unknown) Portland General Electric Jim Hastreiter, FERC, Portland, OR, Regional Office, and me. | The procedural requirements for the relicensing, transfer, and surrender (including dam removal) of the license for Portland General Electric's Bull Run Project No. 477 | 7/28/1999 | | No. | |

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| 601 | Greenbaum, Alan | Office of General Counsel | Energy Projects | Yes | Portland General Electric Company | Julie Keil, Director, Hydro Licensing and Water Rights, Portland General Electric Tom Mark, Attorney for Portland General Electric Kevin Marshall, (position unknown) Portland General Electric Robert Steele, (position unknown) Portland General Electric Jerry Gomez, Gomez and Sullivan Edward Perez, FERC, Office of Energy Projects Hossien Ildari, FERC, Office of Energy Projects Regina Saizan, FERC, Office of Energy Projects Lon Crow, FERC, Office of Energy Projects Kirk Cover, FERC, Office of Energy Projects Peter McGovern, FERC, Office of Energy Projects June Morgan, FERC, Office of Energy Projects (Dam Safety) John Schnagl, FERC, Office of Energy Projects, and me | The procedural requirements for the relicensing, transfer, and surrender (including dam removal) of the license for Portland General Electric's Bull Run Project No. 477 | 10/28/1999 | | No. | |
| 602 | Greenbaum, Alan | Office of General Counsel | Energy Projects | Yes | Portland General Electric Company | Julie Keil, Director, Hydro Licensing and Water Rights, Portland General Electric Tom Mark, Attorney for Portland General Electric, and me | The procedural requirements for the relicensing, transfer, and surrender (including dam removal) of the license for Portland General Electric's Bull Run Project No. 477 | 3/22/2001 | | No. | |
| 603 | Greene, William, H. | Office of Markets, Tarriffs, and Rates | Compliance and Operational Audits | Yes | FERC Staff/ Northern Natural Gas Company | FERC Staff and Northern Natural employees | Company Field Audit in Omaha, Nebraska in conjuction with Northern Natural Rate case filing RP98-203-000 | | July 26-31, 1998 | None | |
| 604 | Greene, William, H. | Office of Markets, Tarriffs, and Rates | Compliance and Operational Audits | Yes | FERC Staff/ Northern Border | FERC Staff Northern Border employees | Field audit in Omaha, Nebraska in conjunction with rate case filing in Docket No. RP99-322-000 | | September 12-19, 1999 | None | |

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| 605 | Greene, William, H. | Office of Markets, Tarriffs, and Rates | Compliance and Operational Audits | Yes | FERC Staff/ Florida Gas Transmission | FERC Staff/ Florida Gas Personnell | Procedural and Substantive issues in Florida Gas rate case Docket No. RP96-366-000 | | October 1996 through August 1997 | None | |
| 606 | Greenfield, Lawrence | Office of General Counsel | Markets, Tariffs & Rates | Yes | Enron Corporation | Other than a general recollection that the meeting included myself and other Commission staff members, I do not recall specifically who might have been present, and I have no notes or records that indicate who attended. | My general recollection is that Enron was considering developing an independent transmission company. | | | To the best of my recollection, Enron did not make any filing and thus no Commission action was taken. | The above is based on a passing reference in an SES job application I submitted in early 2000, where I simply noted that I had been involved in a number of so-called "pre-filing" meetings (where parties meet with Commission staff in advance of a formal filing, to explain what they will be filing), including with "Enron Corporation in April 1999, concerning their proposed creation of a transmission company." |
| 607 | Greer, Brenda L. | Office of General Counsel | General Administrative Law | No | | | | | | | |
| 608 | Griebenow, Kevin, C | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |
| 609 | Griffith, Donald W. | Office of Energy Projects | D2SI-PRO | No | | | | | | | |
| 610 | Griffith, L. Ann | Office of Markets, Tarriffs, and Rates | Tariffs & Rates - West | No | | | | | | | |

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| 611 | Gross, Anthony, M | Office of the Executive Director | MAPS | No | | | | | | | |
| 612 | Gross, Edward A | Office of Markets, Tarriffs, and Rates | West 1 | No | | | | | | | |
| 613 | Grossman, Herbert | Office of Administrative Law Judges | ALJ | Yes | I did, by public order. | Charles F. Caldwell, Esq., Albert S. Tabor, Esq. of Vinson & Elkins, representing EOTT Energy Operating Limited Partnership; Jerry Rothrock, Esq., Donald Craven, Esq., of Akin, Gump, Strauss, Hauer & Feld, and Bill Frick, representing Conoco Pipeline Co., | The subject matter of the prehearing conference was to establish a procedural schedule in Docket No. OR00-11-000, a complaint case filed by EOTT Energy (an affiliate of Enron)against Conoco Pipeline. | | | I set a procedural schedule. | The meeting was a prehearing conference set by my order, dated Novwmber 9, 2000. |
| 614 | Grossman, Herbert | Office of Administrative Law Judges | ALJ | Yes | I initiated the telephone conference. | Some or all of the attorneys representing EOTT Energy Operating Limited Parnership (an Enron affiliate), Conoco Pipeline Company, and FERC Staff, who had been present at the prehearing conference on 11/08/00, for which I have submitted a form; and I. | To determine whether it was within my power to mitigate litigation expenses that EOTT Energy suggested had compelled it to withdraw its complaint. | | | I determined that there were no expenses that I could mitigate that would cause EOTT to continue in the litigation; ordered the other participants to brief the question of whether EOTT's withdrawal of the complaint automatically terminated the proceeding. | |
| 615 | Gruenke, Diane M. | Office of Markets, Tarriffs, and Rates | Central | No | | | | | | | |

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| 616 | Gruenke, Steven, J | Office of Markets, Tarriffs, and Rates | Division of Tariffs & Rates--East | No | | | | | | | |
| 617 | Guest, James K | Office of the Executive Director | Regulatory Accounting Policy | Yes | INGAA | Numerous representatives from Industry and FERC staff. See Kenneth Anderson's response for a list of attendees. | Annual Regulatory Affairs Conference - Order 637, pipeline safety, the gas pipeline certificate process, staus report on market monitoring | | | no | |
| 618 | Guest, James K | Office of the Executive Director | Regulatory Accounting Policy | Yes | INGAA | Chief Accounting Officers of FERC jurisdictional gas pipelines and members of the Commission's accounting staff. (See Wayne Mcdanals response for a list of attendees.) | Financial Accounting and reporting matters of mutual interest. | semi-annually | | no | These meetings are referrd to as the FERC staff/INGAA Accounting Liaison Committee meetings. Typically they are one-day meetings held twice a year, spring and fall. They are an informal forum for discussing financial accounting and reporting matters affecting jurisdictional gas pipelines. The topics include Commission initiatives, FASB initiatives, SEC actions, changes to the tax Code and emerging issues. |
| 619 | Guest, Wayne, R | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates - Central | Yes | The Commission | 50-80 persons including Transwestern Pipeline company representavives,representatives of Transwestern's customers and Commission staff | A meeting to explain various aspects of an Offer of Settlement designed to resolve numerous Transwestern rate change and certificate applications filed with the Commission. At this publicly noticed meeting Transwestern outlined aspects of its offer to settle capacity turn-back, rate design, take-or-pay and gathering facility issues. | 3/30/1995 | | Subsequently a settlement was filed and approved by the Commission by order issued July 27, 1995 in Docket Nos. RP93-34, RP94-227, CP94-254, CP94-254,CP94-751, CP94-211, CP94-676, CP95-70, CP95-153, RS92-87 and CP95-112 | |

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| 620 | Guest, Wayne, R | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates - Central | Yes | The Commission | 50-80 persons including representatives from Transwestern Pipeline Company, Transwestern's customers, the California PUC and Commission staff. | At the publicly noticed meeting Transwestern explained aspects of an Offer of Settlement it developed to settle all isses in various rate and certificate applications before the Commission. The issues included turn-back capacity, rate design, take-or-pay and gathering facilities | 4/12/1995 | | Subsequently a settlement was filed and approved by the Commission by order issued July 27, 1995 In Docket Nos. RP93-34, RP94-227, CP94-254, CP94-254,CP94-751, CP94-211, CP94-676, CP95-70, CP95-153, RS92-87 and CP95-112 | |
| 621 | Guest, Wayne, R | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates - Central | Yes | INGAA | Various interstate pipeline representatives and Commission staff and Commissioners | Regulatory and pipeline industry issues for that period of time. The two-day meeting was a series of panel discussions for the purpose of discussing current issues facing the pipeline industry. | | 04/28/1999 - 04/30/1999 | No | |
| 622 | Guey-Lee, William Y | Office of Energy Projects | DHAC | No | | | | | | | |
| 623 | Gunaratne, Athula | Office of the Executive Director | Division of Regulatory Audits | No | | | | | | | |
| 624 | Gurley, Frank R. | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates - West | Yes | FERC | Northern Natural Gas Co. (Mary Kay Miller) FERC staff Intervenors | Rate Schedule Limited Firm Transportation (LFT) | 7/20/2000 | | Order Issued 9-27-00. 92 FERC para. 61,255 (2000) | |
| 625 | Gwynn, Robert, B | Office of Energy Projects | DEER | No | | | | | | | |

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| 626 | Hadlock, Lorna J | Office of General Counsel | Litigation | Yes | Staff Informal Settlement Conferences | Any participants or parties in Colorado Interstate Gas Company, RP93-99, a gas rate case who chose to attend the conferences | Possible Settlement of the rate case. | | 1994-1995 | No. Untimately, after numerous settlement conferences, the Staff and the parties reached a settlement which was spproved by the Commission | |
| 627 | Hadlock, Lorna J. | Office of General Counsel | Litigation | Yes | Staff. It was a settlement conference. | Any participants or parties that chose to attend. I don't remember whether any Enron representatives attended. | To try to settle the rate case in Columbia Gas Transmission, RP90-108. | | 1991-1992 | No. | |
| 628 | Hadlock, Lorna J. | Office of General Counsel | Litigation | Yes | Staff-settlment conferences | I don't remember who attended all of the conferences but Enron intervened in the proceeding. | To try to settle the rate case in Trunkline Gas Co., RP96-129. | | 1997-2000 | No. | |
| 629 | Hadlock, Lorna J. | Office of General Counsel | Litigation | Yes | Staff | This was a series of informal settlement conferences. Any participant or party was free to attend the meeting. | The purpose of the meeting was to try to settle the rate case in Colorado Interstate Gas Company, RP96-190. I don't remember whether any Enron representatives attended any of the conferences, but they did ontervene. | | 1996-1997 | No. After many meetings a settlement was reached which was later approved by the Commission | |
| 630 | Hadlock, Lorna J. | Office of General Counsel | Litigation | Yes | Staff for settlement conferences | I don't remember who attended the conferences but an Enron company, Northern Natural Gas Company filed the rate case. | Tp try to settle the rate case in Northern Natural Gas Company, RP97-248. | | 1998-1999 | No. | |
| 631 | Hadlock, Lorna J. | Office of General Counsel | Litigation | Yes | Staff-settlement conferences | I don't remember who attended the meetings but Enron intrvened in the proceeding. | Tp try to settle the rate case in Overthrust Pipeline Co., RP97-301. | | 1998-1999 | No. | |
| 632 | Hadlock, Lorna J. | Office of General Counsel | Litigation | Yes | Staff-Settlement conferences | I don't remember everyone who attended all of the meetings but Enron intervened in the proceeding. | To try to settle the rate case in Kansas Pipeline Company, RP99-485. | | 1999-2000 | No. | |
| 633 | Hadlock, Lorna, J. | Office of General Counsel | Litigation | Yes | All participants and parties in RP94-93, | I don't remember who attended the settlement conferences or whether any Enron representatives attended but Enron did intervene in the case. | To try to settle the rate case in KN Interstate Gas Company, RP94-93. | | 1994-1995 | No. | |

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| 634 | Hadlock, Lorna, J. | Office of General Counsel | Litigation | Yes | Staff -- settlement conferences | I don't remember who attended the conferences but Enron intervened in the rate case. | To try to settle the rate case in Missippi River Transmission, RP93-94 | | 1994-1995 | No. | |
| 635 | Hadlock, Lorna, J. | Office of General Counsel | Litigation | Yes | Staff- Settlement Conferences | I don't remember who attended the settlement conferences but Enron intervened in the proceeding. | To try to settle the rate case in Questar Pipeline Co., RP91-140 | | 1992-1993 | No. | |
| 636 | Hadlock, Lorna, J. | Office of General Counsel | Litigation | Yes | Staff - Settlement Conferences | I don't remember who attended the settlement conferences but Enron intervened in the proceeding. | To try to settle the rate case in Tennessee Gas Pipeline, RP91-203. | | 1992-1993. | No. | |
| 637 | Hadlock, Lorna, J. | Office of General Counsel | Litigation | Yes | Staff-settlement conferences | I don't remember who attended all of the meetings but Enron intervened in the proceeding. | To try to settle the rate case in Colorado Interstate Gas Co., RP01-350. | | 2001-2002 | No. | |
| 638 | Hadlock, Lorna, J. | Office of General Counsel | Litigation | Yes | Staff- settlement conferences | I don't remember everyone who attended all of the meetings but Enron intervened in the proceeding. | To try to settle the rate case issues in Iroquois Gas Transmission System, RP94-72 | | 1998-1999 | No. | |
| 639 | Hadlock, Lorna, J. | Office of General Counsel | Litigation | Yes | Staff-settlement conferences | I don't remember who attended all of the settlement conferencesbut Enron intervened in the proceeding. | To try to settle the issues in Sea Robin, CP95-169. | | | No. | |
| 640 | Hadlock, Lorna, J. | Office of General Counsel | Litigation | Yes | Staff -- settlement conferences | I don't remember who atended al of the conferences but Enron intervened in the proceeding. | To try to settle the rate case in Venice Gathering system, RP01-196. | | 1999-2001 | No. | |
| 641 | Hall, Charles, R | Office of Energy Projects | DEER | No | | | | | | | |
| 642 | Hall, Jason C. | Office of the Executive Director | OED-MAPS | No | | | | | | | |

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| 643 | Hall, Marie-Beth | Office of Markets, Tarriffs, and Rates | Office of Chief Economic Advisor | No | | | | | | | |
| 644 | Hamilton, Joseph | Office of the Chief Informati on Officer | OCIO | No | | | | | | | |
| 645 | Hammack, Robert E. | Office of Markets, Tarriffs, and Rates | Litigation | No | | | | | | | |

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| 646 | Hanagami, Lyle T. | Office of Markets, Tariffs, and Rates | Market Developme nt | Yes | FERC/INGAA Liason Group | ANR: Bill Johnson; Algonquin:Sarah Heidelberg; CIG: Dan Homec; CNG: Jon Slaby; CNG: William Suttle; Columbia:Gary Barnard; Columbia: Mark O'Flynn; El Paso: Patricia Shelton; Great Lakes:Julie Willett; INGAA:John Ames; KN: Brad Farnsworth; Koch: John Daigh; Koch: Kris Peters; Mountain Fuel: Glenn Robinson; NWP: Curt Kennedy; NorAm: Cy Zebot; Northern Border: Patty Welderholt; Northern Nat: Bob Chandler; Northern Nat:George Fastuca; PG&E:Bill Ford: PGT: Stan Karczewski; Panhandle: David Frederick; Southern Nat: Norma Holmes; Southern Nat: Robert Moore; Tenneco: Wayne Allredge, Gregory Gruber & Alan Harris; Texas Gas: Suzanne Harris; Transco: Nick Bacile; Williams: Cathy McDonald; FERC, OCA, DAS: Janice Garrison; FERC, OCA: Jim Guest; FERC, OCA, DOA: Joe Franglpane, Tom McLaughlin, Ken Anderson & Jim Caruso; FERC, OCA, DAS: Wayne McDanal; FERC, OCA, DAS:Chuck Kalaidis FERC, OCA: Lyle Hanagami. This list may not be complete. | Gas Industry Liaison Accounting Group; Fall meeting with FERC; ANA Hotel - Washington, DC; November 6, 1996. See ATTACHMENT 1 for list of topics addressed at this meeting. | 11/6/1996 | | No, these meetings were to discuss gas industry concerns on accounting issues, and not specific issues pending before the Commission. | Prior to 1999, the accounting representatives of FERC, the Office of the Chief Accountant (OCA), and Interstate Natural Gas Association of America (INGAA) member companies met twice a year in the spring and fall, at a hotel in Washington DC. Usually, a higher level accounting officer (VP or Controller) of the various companies attended these meetings. Similar meetings were held with the Edison Electric Institute (EEI) and their member companies, but on a smaller scale. Sometime in 1998 or 1999, these meetings were phased out. All INGAA members companies were invited to attend and usually Enron affiliated companies (Northern Natural, Northern Border, etc) attended these meetings. Usually, Wayne McDanal, (FERC) and John Ames (INGAA) coordinated these meetings. The topics of these meetings were of general interest to the gas industry. Questions on accounting issues were submitted by both INGAA and FERC-OCA, and these questions would be discussed at these meetings. |

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| 647 | Hanagami, Lyle T. | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | FERC/INGAA Liason Group | William Interstate: Nick Bacile; Columbia Gas Trans: Greg Bane; Williston Basin: Steve Bjetz; Transcontinental Gas: Jim Bourne; ANR: Joe Cox; Michigan Gas: Pat Fitzgerald; PGT: William Ford; CNG: Terry Francis; El Paso: Greg Gruber; Texas Eastern: Alan Harris; Texas Gas: Susannne Harris; CIG: Dan Homec; NWP: Curtis Kennedy; ANR: John Krieg; Panhandle: Gary Lefelar; Southern Natural: Bob Moore; Southern Natural: Bill Morgan; Enron: Ed Parks; Koch: Kris Peters; Williams Natural: Paul Reynolds; Questar: Glenn Robinson; FGT: James Saunders; CNG: William Suttle; Great Lakes: Julie Willets. I attended this meeting but I do not have a complete list of the FERC, OCA participants. My guess is that it would include all higher levels managers, such as Branch Chiefs and Directors. | The purpose of these meetings were to discuss accounting issues of general concern to the gas industry. I do not have a complete list of the topics that were discussed, but I have issues #8 and #23 that I was assigned to present OCA's positon of the topic. 8. Please discuss the OCAÆs position/interpretation of the Williston Basin Interstate Pipeline CompanyÆs Order dated July 23, 1991, regarding pension liability? 23. FAS 106 trackers - is FERC requiring all companies to have a tracker? Any additional written guidelines since Docket No. PL93-1-000 was issued December 17, 1992 on Post Employment Benefits. | 4/24/1997 | | No, these meetings were to discuss gas industry concerns on accounting issues, and not specific issues pending before the Commission. | Prior to 1999, the accounting representatives of FERC, the Office of the Chief Accountant (OCA), and Interstate Natural Gas Association of America (INGAA) member companies met twice a year in the spring and fall, at a hotel in Washington DC. Usually, a higher level accounting officer (VP or Controller) of the various companies attended these meetings. Similar meetings were held with the Edison Electric Institute (EEI) and their member companies, but on a smaller scale. Sometime in 1998 or 1999, these meetings were phased out. All INGAA members companies were invited to attend and usually Enron affiliated companies (Northern Natural, Northern Border, etc) attended these meetings. Usually, Wayne McDanal, (FERC) and John Ames (INGAA) coordinated these meetings. The topics of these meetings were of general interest to the gas industry. Questions on accounting issues were submitted by both INGAA and FERC-OCA, and these questions would be discussed at these meetings. |

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| 648 | Hanagami, Lyle T. | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | FERC/INGAA Liason Group | I do not have a list of attendees at this meeting. | The purpose of these meetings were to discuss accounting issues of general concern to the gas industry. I do not have a list of the topics that were discussed. | 10/22/1997 | | No, these meetings were to discuss gas industry concerns on accounting issues, and not specific issues pending before the Commission. | Prior to 1999, the accounting representatives of FERC, the Office of the Chief Accountant (OCA), and Interstate Natural Gas Association of America (INGAA) member companies met twice a year in the spring and fall, at a hotel in Washington DC. Usually, a higher level accounting officer (VP or Controller) of the various companies attended these meetings. Similar meetings were held with the Edison Electric Institute (EEI) and their member companies, but on a smaller scale. Sometime in 1998 or 1999, these meetings were phased out. All INGAA members companies were invited to attend and usually Enron affiliated companies (Northern Natural, Northern Border, etc) attended these meetings. Usually, Wayne McDanal, (FERC) and John Ames (INGAA) coordinated these meetings. The topics of these meetings were of general interest to the gas industry. Questions on accounting issues were submitted by both INGAA and FERC-OCA, and these questions would be discussed at these meetings. |

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| 649 | Hanagami, Lyle T. | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | FERC/INGAA Liason Group | I attended this meeting but I do not have a complete list of the FERC, OCA particlpants. My guess that it would include all higher levels managers, such as Branch Chiefs and Directors. | Accounting issues of concern to the Industry. | 4/23/1998 | | No, these meetings were to discuss gas Industry concerns on accounting issues, and not specific issues pending before the Commission. | Prior to 1999, the accounting representatives of FERC, the Office of the Chief Accountant (OCA), and Interstate Natural Gas Association of America (INGAA) member companies met twice a year in the spring and fall, at a hotel in Washington DC. Usually, a higher level accounting officer (VP or Controller) of the various companies attended these meetings. Similar meetings were held with the Edison Electric Institute (EEI) and their member companies, but on a smaller scale. Sometime in 1998 or 1999, these meetings were phased out. All INGAA members companies were invited to attend and usually Enron affiliated companies (Northern Natural, Northern Border, etc) attended these meetings. Usually, Wayne McDanal, (FERC) and John Ames (INGAA) coordinated these meetings. The topics of these meetings were of general interest to the gas industry. Questions on accounting issues were submitted by both INGAA and FERC-OCA, and these questions would be discussed at these meetings. |
| 650 | Hanagami, Lyle T. | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | Lyle Hanagami | Lyle Hanagami (FERC) and Bob Chandler (Transwestern) on 1/7/98. Lyle Hanagami (FERC), Bob Chandler (Transwestern), and George Fastuca (Transwestern) on 1/8/98. Lyle Hanagami (FERC), Bob Chandler (Transwestern), George Fastuca(Transwestern), and Ed Parks(Transwestern) on 1/9/98. | Re: AC97-181-000, Transwestern Pipeline Company Transwestern's 9/9/97 Request to Adopt Fixed Asset Method of Accounting for System Gas. Follow-up to FERC's 11/26/97 FAX question and Transwestern's response. | 1/7/1998 | 01/07/1998, 01/08/1998, 01/09/1998 | On January 28, 1998, James Guest, Director, Division of Gas and Oil Operations, OCA, approved Transwestern request to change from the FIFO inventory method to the fixed asset method of accounting effective January 1, 1997. | |

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| 651 | Handley,Charlotte A. | Office of the Executive Director | Procurement | No | | | | | | | |
| 652 | Hannan, Charles F | Office of Markets, Tarriffs, and Rates | Central | No | | | | | | | |
| 653 | Hannan, Darla E. | Office of Markets, Tarriffs, and Rates | Division of Administration & Case Tracking | No | | | | | | | |
| 654 | Harding, Peggy A. | Office of Energy Projects | Dam Safety and Inspections | No | | | | | | | |
| 655 | Harding, Richard C. | Office of the Executive Director | DLM | No | | | | | | | |
| 656 | Harfeld, David I. | Office of Administrative Law Judges | n.a. | No | | | | | | | |
| 657 | Harley, Dora C. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates Central | No | | | | | | | |

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| 658 | Harrington, Brian, P | Office of Markets, Tarriffs, and Rates | Energy Markets | Yes | The Office of the Chief Accountant | Do not recall the names of the Enron personnel | Financial audit of Transwestern (Enron subsidiary)FERC Form No 2 | | 7/1994 - 9/1994 | Yes, several accounting issues were taken for noncompliance | Performed a comprehensive financial audit of their FERC Form No.2, Annual Report of Major Natural Gas Companies. Our team consisted of a manager, two senior auditors, and one junior auditor. We conducted the audit in 1994 for the financial reporting periods 1990-1993. The scope of the audit was to determine compliance or non-compliance with the Code of Federal Regulations Part 18, Section 201, Uniform System of Accounts prescribed for Natural Gas Companies subject to the Provision of the natural gas act. My position at the time was equivalent to a junior auditor. I do not recall the point of contacts or the company personnel we worked with during the audit. |
| 659 | Harris, Sherelle | Office of the Executiv e Director | MAPS | No | | | | | | | |
| 660 | Hart-Harris, Yolanda, | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates-West | No | | | | | | | |
| 661 | Hartmann, Shelley | Office of the Executiv e Director | MAPS | No | | | | | | | |
| 662 | Hastreiter, James, L. | Office of Energy Projects | Environme ntal and Engineerin g Review | Yes | John Esler-Project Manager | Jim Hastreiter | Bull Run Project (p-477) decommissioning | | 06/01/200 0 - 12/02/200 1 | No | These communications were monthly phone calls concerning status of the decommissioning process. |

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| 663 | Hasty, Adrian R. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates Central Branch | No | | | | | | | |
| 664 | Hathaway, Merrill | Office of General Counsel | Energy Projects (GC-11) | Yes | See comments below. | Lon Crow et al. for the Commission and representatives of a number of outside parties, includlng Enron North America Corp. See comments below. | Lake Elsinore Advanced Pumped Storage Project (formerly PN-11504-000). See comments below. | 9/19/2000 | | See comments below. | The details of the September 19, 2000 meeting are contained in the submittal of Lon Crow of the Office of Energy Projects, Division of Environmental and Engineering Review (PJ-11). |
| 665 | Hawk, John, K. | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |
| 666 | Haymes, Alan D. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - Central | Yes | FERC | For FERC: Alan Haymes, Daniel Larcamp, Kevin Kelly, Richard O'Neill, James Apperson, Pat Alexander, Brian Gish, Bernard Tenenbaum, Shelton Cannon, Joshua Rokach, Mary Doyle, Doug Smith, Jeff Wright, William Howard, Margie Passerini. Michael Hornstein, EPSA; Kathy Patton, Dynegy, John Stauffacher, Dynegy; Julie Simon, EPSA; Bruce Bleiweis, Sithe; Judy Neason, Williams; Joseph Hartsoe, Enron; Phillip Van Horne, NEMA; Barry Green, NEMA; Craig Goodman, National Energy Marketers Association; John Mathis, Utilicorp; Mike Rustum EPSA. | This session was one of about ten outreach meetings with various groups representing the major industry sectors involved in the establishment of Regional Transmission Groups. The purpose was to provide insight on the RTO NOPR from FERC Staff and to learn what suggestions and concerns the industry had. This session focused on energy marketers and Enron was one of several marketers represented. Other sessions focused on transmission owners, public power interests, energy users, ISOs, and government agencies. | 7/12/1999 | | | Information from all sessions, along with written comments, was used to assist in developing the RTO Final Rule (Order No. 2000). |

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| 667 | Haymes, Alan D. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - Central | Yes | PJM | For PJM: Richard Drom, Harry Dessender, Art Iler, Barry Spector (Wright and Talisman). Alan Haymes, FERC; Jennifer Hein and Jeff Simon for PG&E Energy Trading-Power, L.P.; Allen Barringer, PEPCO; Steve Giles, Allegheny Electric Cooperative; William Roberts, Citizens Power Sales; Morris Morgan, Conectiv; Ralph Borquin, Constellation Power Source; Sarah Novack, Enron Power Marketing; Tim Curley, FPL Energy Power Marketing; Samuel Thomas, GPU Energy; John Rainey, Old Dominion Electric Cooperative; Vilna Gaston, PPL Utilities; Richard Bonnifield, Public Service Electric and Gas; Robert Vallga, Public Service Electric and Gas; Bruce Bleiweis, Reliant Energy Services; Martin Matjasich, Southern Company Energy Marketing; James McCormick, Strategic Energy Limited; Robert Weishaar, Sunoco; Michael Grim, Williams Energy Marketing & Trading; Anthony Francioso, NJ Division of Rate Payer Advocate; Denise Goulet, PA Office of Consumer Advocate; John Levin and Andrew Tubbs, PA PUC; Mollie Lampi, Pace Energy Project. | MAAC Restructuring; Order No. 2000 Compliance; Reactive Power Tariff Provisions; Revisions to the PJM Operating Agreement; Priority Provisions; Alternative Dispatch Procedures | 5/25/2000 | | No. | This was a regular meeting of the stakeholder group that provides input to the PJM Board on tariff items. |
| 668 | Haymes, Alan D. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - Central | Yes | Midwest ISO and Alliance Companies | Alan Haymes, FERC; Joe Bambenek, Consumers Energy; Dave McMillan, MISO; Don Douglas, FirstEnergy; Mary Doyle, Dynegy; Mike Apprill, UtiliCorp; Jeff Brown, Enron; Rocky Sease, SCANA; Pat Bourne, SPP; John Catlin, MISO; Raj Rana, AEP; Mark Volpe, MISO. | Regular meeting of a task force whose assignment was to work out solutions to potential seams issues between the proposed Midwest and Alliance RTOs. | 8/7/2000 | | No. | |

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| 669 | Haymes, Alan D. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - Central | Yes | FERC Staff | Alan Haymes, Julia Tuzun, Amy Blauman, Roland Wentworth, Brian Dunn, Brian Gish, James Akers, Leon Lowery, Daniel Larcamp, Pat Alexander and Alice Fernandez, FERC; Dan Allegretti and Donna Fulton, Enron; Mary Vasile, Dave Boguslawski, Dave Raskin, Northeast Utilities; Andrew Landry, Bangor Hydro; Mike Small, Bangor Hydro; Clark Downs, Jones Day; Thomas Wies and Jerry Spring, VELCO; Bruce Bentley, CUPS, Tom Blackburn, Bruder, Gentile; Joe DeVito, NRG; Liz Wisnlewski, Sithe; Bruce W. McKinnon, MMWEC; Scott Strauss, MMWEC; John Coyle, BRT; Masheed Rosenquist, Peter Flynn, Ian Davis, National Grid; Jay Matson, Huber Lawrence and Abell; David Doot, NEPOOL; Howard Shafferman, Ballard Spahr; Kevin Kirby, Kathleen Carrigan, Phil Pellegrino, ISO New England; Bob Gagliardi and Stephen Goldschmidt, United Illuminating; G. Philip Nowak, Sidley Austin; Richard M. Lorenzo, Huber Lawrence; Steve Garwood, CMP; Paul Krawczyk, NSTAR; Steve Teichler, Duane Morris. | FERC Staff invited the participants and stakeholders in the New England proposed RTO in to discuss reconciling differences among the parties and the possibility of adopting a unified proposal among the parties. | 10/20/2000 | | No. | |
| 670 | Hecht, Rachel R | Office of General Counsel | OGC-MTR | No | | | | | | | |

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| 671 | Hedberg, Daniel, T. (Line 1 of 2) | Office of Markets, Tarriffs, and Rates | Rates West | Yes | | | | | July 1992 - December 2001 | | At various times, during the period July 1992 through December 2, 2001, I have been one of several FERC contacts for the electric utility industry on Corporate Applications filed pursuant to section 203 of the FPA. During the period of May 1997 to September 2001, I served as a Group Manager in the Division of Corporate Applications. In these roles I have had many pre-filing meetings (initiated by potential applicants) and some case specific technical conferences (initiated by the Commission) with a multitude of potential applicants, current applicants and other parties, including Enron among others. I also served as a participant in the Order No. 888 rulemaking process, during and after which I attended several meetings of broad scope attended by dozens of utilities and utility groups. (Cont'd in next cell) |
| 672 | Hedberg, Daniel, T. (Line 2 of 2) | Office of Markets, Tarriffs, and Rates | | | | | | | | | (Cont'd) Prefiling meetings were generally related to proposed applications, what specifically was required to be included in the filings and whether such filings were needed. Many of the prefiling meetings concerned the effect of the proposed transaction on competition. No action was taken by the Commission regarding these meetings. Technical conferences were typically held to discuss specific issues raised by the Commission in an order, or generic issues affecting the industry in the rulemaking context. Commission action regarding these meeting varied depending on the outcome of these meetings. I have no records with regards to any of these meetings to provide any more specific or detailed responses. |

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| 673 | Hedberg, Heidy L | Office of the Executive Director | Administrative Support Staff | No | | | | | | | |
| 674 | Hegerle, Mark E. | Office of Markets, Tarriffs, and Rates | Issue Identification and Resolution Management | No | | | | | | | |
| 675 | Helman, Udi | Office of Markets, Tarriffs, and Rates | Chief Economic Advisor | No | | | | | | | |
| 676 | Henry, Cynthia, M. | Office of General Counsel | MTR | No | | | | | | | |
| 677 | Hensley, Erica Lynn | Office of Markets, Tarriffs, and Rates | Central | No | | | | | | | |
| 678 | Herbert, W. Keith | Office of Markets, Tarriffs, and Rates | Rates - West | No | | | | | | | |
| 679 | Hernandez, Sheila D. | Office of Energy Projects | Division of Pipeline Certificates | No | | | | | | | |

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| 680 | Hertling, Mary M. | Office of Energy Projects | DEER | Yes | same as above | Northern Natural | type of facility being constructed under prior notice | | | | |
| 681 | Hertling, Mary M. | Office of Energy Projects | DEER | Yes | same as above | Northern Natural | enviropnmental compliance | | | | |
| 682 | Hertling, Mary M. | Office of Energy Projects | DEER | Yes | same as above | Transwestern CP-327 | environmental compliance | | 5/95 - 10/96 | | |
| 683 | Hertling, Mary M. | Office of Energy Projects | DEER | Yes | same as above | Northern Natural CP96-660 | environmental compliance | | | | |
| 684 | Hertling, Mary M. | Office of Energy Projects | DEER | Yes | same as above | Northern Natural CP97-598 | environmental compliance | | | | |
| 685 | Hertling, Mary M. | Office of Energy Projects | DEER | Yes | same as above | Northern Natural CP98-146 | environmental compliance | | | | |
| 686 | Hertling, Mary M. | Office of Energy Projects | DEER | Yes | same as above | Northern Natural CP00-46 | environmental compliance | | | | |
| 687 | Hertling, Mary M. | Office of Energy Projects | DEER | Yes | same as above | Florida Gas, Northern Natural, Transwestern, Black Marlin, Northern Border | annual reports under 157.207 and 284.11 environmental compliance | | 1994-2001 | | |
| 688 | Hertling, Mary M. | Office of Energy Projects | DEER | Yes | same as above | Florida Gas, Northern Natural, Transwestern, Black Marlin, Northern Border | annual reports under 157.207 and 284.11 environmental compliance | | 1994-2001 | | |
| 689 | Heydt, Donald A. | Office of General Counsel | Market Oversight and Enforceme nt | Yes | Trial Staff, Parties to the case | Parties to Docket No. RP95-185 | Rate increase litigation | | 1995-96 | Commission approved the settlement agreement. | |

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| 690 | Heydt, Donald A. | Office of General Counsel | Market Oversight and Enforcement | Yes | Trial Staff, Parties to the case | Parties to Docket No. ER98-210 and ER98-1729 | Formula rate for power exchange | | 1997-98 | Settlement offer pending before the Commission | EPMI was an active intervenor. |
| 691 | Heydt, Donald A. | Office of General Counsel | Market Oversight and Enforcement | Yes | Trial Staff, Parties to the case | Parties to Docket No. ER99-28, EL99-38 and ER99-945 | Interconnection Agreements | | 1999-2000 | Initial Decision pending before the Commission. | Portland General Electric was an active intervenor. |
| 692 | Heydt, Donald A. | Office of General Counsel | Market Oversight and Enforcement | Yes | Trial Staff and parties to the case | Parties to Docket Nos. ER00-298 and EC00-41 | Tariff Unbundling case | | 1999-2000 | Commission approved the settlement agreement. | EPMI was an intervenor |
| 693 | Heydt, Donald A. | Office of General Counsel | Market Oversight and Enforcement | Yes | Trial Staff and parties to the case | Parties to Docket No. ER98-210 | Management costs sought by CAL ISO | | 1997-1998 | Commission Approved the Settlement | EPMI was an intervenor |
| 694 | Heydt, Donald A. | Office of General Counsel | MOE | Yes | Presiding Judge, Trial Staff, Northern Natural Gas Co. | Northern Natural Gas Co., Trial Staff, other parties, Presiding Judge | Northern Natural Gas Company rate case, Docket No. RP95-185 | | 1995-1996 | Yes. The Commission approved a settlement offer, ending the case. 76 FERC para. 61,109 | Meeting included pretrial conferences, settlement conferences and telephone conferences on the issues in the rate case. |
| 695 | Heydt, Donald A. | Office of General Counsel | MOE | Yes | Presiding Judge, Trial Staff, Enron Power Marketing, Inc. | Enron Power Marketing, Inc., Trial Staff, other parties, Presiding Judge | California Power Exchange Corporation rate case, Docket Nos. ER98-210 and ER98-1729 | | 1997-1998 | No. A contested offer of settlement is pending before the Commission | Meetings included pretrial conferences, settlement conferences and telephone conferences on the issues in the rate case. |
| 696 | Heydt, Donald A. | Office of General Counsel | MOE | Yes | Presding Judge, Trial Staff | Portland General Electric Co., Trial Staff, other parties, Presiding Judge | Sierra Pacific Power Co. transmission Interconnection case, Docket No. ER99-28, et al | | 1999-2000 | No. An Initial decision by the Presiding Judge is pending before the Commission | Meeting included pretrial conferences, settlement conferences and telephone conferences and a hearing |
| 697 | Heydt, Donald A. | Office of General Counsel | MOE | Yes | Presiding Judge, Trial Staff , Parties | Presding Judge, Trial Staff, parties | PJM Interconnection, LLC rate case, Docket Nos. ER00-298 and EL00-41 | | 1999-2000 | Yes. The Commission approved a settlement offer ending the case. 92 FERC para. 61,114 | Meeting included pretrial conferences, settlement conferences and telephone conferences on the issues in the rate case. Enron Power Marketing, Inc. was a party in the proceeding |
| 698 | Heydt, Donald A. | Office of General Counsel | MOE | Yes | Presiding Judge, Trial Staff, Parties | Presiding Judge, Trial Staff, Parties | California Independent System Operator Corporation rate case, Docket Nos. ER98-211 | | 1997-1998 | Yes. The Commission approved a settlement offer, ending the case. 83 FERC para. 61,247 | Meetings included pretrial conferences, settlement conferences and telephone conferences on the issues in the rate case. Enron Power Marketing , Inc. was a party in the proceeding |

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| 699 | Higginbottom, Samue | Office of General Counsel | MTR | No | | | | | | | |
| 700 | High, Shana, C | Office of Energy Projects | DHAC | No | | | | | | | |
| 701 | Hill, Craig, A | Office of Markets, Tarriffs, and Rates | Market Information | No | | | | | | | |
| 702 | Hill, Jennifer | Office of Energy Projects | Division of Environmental and Engineering Review | No | | | | | | | |
| 703 | Hilliard, Andrea Riddle | Office of Commissioner Massey | Legal Advisor | Yes | Interstate Natural Gas Assn. of America | I do not recall specifically who was in attendance. However, the conference agenda lists the following as industry speakers or conference officials: Shelly Corman (Enron), Donna Fulton (Enron), Larry Willeke (Columbia), Nancy Bagot (TransCanada Pipelines), Phyllis Huckabee (Sempra), Sharon Hillman (New Energy Ventures), Karen Tomcala (PG&E), Anna Cochrane (KN Energy), Judy Neason (Williams), Dan Devine (Natural Gas Pipe Line), Bill Grygar (Duke Energy), Susan Russell (CNG Transmission), Marshia Younglund (Williams), Penny Ludwig (Reliant), Ellen Valentine (KN Energy), and Steven L. Horton (Duke Energy). (Cont'd in cell below) | The theme of the conference was "Market Driven Regulation--Conflict and Change." The panel subjects included "Construction/Certificate Issues," "Regulating Convergence," "Regulatory Outlook," "Long Term Pricing Issues," "Short Term Market," and "Roundtable Discussion with Commissioners' Assistants." | | 04/28-30/1999 | No. | I included this conference solely because Enron is a member of INGAA and had employees in attendance. |

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| 704 | Hilliard, Andrea Riddle | Office of Commissioner Massey | | | | (Cont'd) The conference agenda shows the following FERC Staff speakers: Alice Fernandez, Ed Murrell, Randy Mathura, Marilyn Rand, Bob Christin, Michael McLaughlin, Andrea Wolfman, Robert Cupina, Doug Smith, Marsha Gransee, Richard O'Neill, and Vickey Bailey. I spoke on the panel that was composed of Commissioners' assistants. To the best of my recollection, that panel included Christopher John (Commissioner Breathitt), Patricia Jagtiana (Chairman Hoecker), and Mark Shaffer (Commissioner Bailey). | The theme of the conference was "Market Driven Regulation--Conflict and Change." The panel subjects included "Construction/Certificate Issues," "Regulating Convergence," "Regulatory Outlook," "Long Term Pricing Issues," "Short Term Market," and "Roundtable Discussion with Commissioners' Assistants." | | | | |

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| 705 | Hilliard, Andrea Riddle | Office of Commis sioner Massey | Legal Advisor | Yes | Interstate Natural Gas Assn. of America | I am not sure who from the Commission or the INGAA members were in attendance. However, the meeting agenda lists the following as pipeline representatives as speakers: Donna Fulton (Enron), Richard Shapiro (Enron), Anna Cochrane (CMS Energy), Mike Moore (El Paso), Judy Neason (Williams), Denice Simpson (Reliant), Marshia Younglund (Williams), Robert Howard (PG&E Gas Transmission), Carl Levander (Columbia). The program lists the following FERC Staff as speakers: Shelton Cannon, David Cook, Bill Meroney, Bill Lansinger, Dan Adamson, Mark Robinson, Randy Mathura, Fernanda Young, Beth Taylor, Brooks Carter, Robert Cupina, and Marsha Gransee. In addition, the Commissioners offices are traditionally on the last panel of the meeting. I appeared on the panel with Mary Benge and Christopher John (Commissioner Breathitt); and Susan Pollonais and Nils Nichols (Chairman Hoecker). | The INGAA Regulatory Affairs Conference is held annually and had as its focus "The Pipeline to Clean Energy." Panel topics were "Electricity--Moving at the Speed of Light?", "A New Look--FERC's Makeover," "Breaking Ground in a New Environment," "Technology Changes FERC," "Pipelines' Progress," and, "The View at the Top." | | 05/17-19/2000 | No. | This conference was included solely because Enron is a member of INGAA and had a few employees in attendance. |

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| 706 | Hilliard, Andrea Riddle | Office of Commissioner Massey | Legal Advisor | Yes | Interstate Natural Gas Assn. of America | I do not know all of the attendees, but the following are listed in the conference program as pipeline industry speakers: Nancy Bagot (Enron), Shelly Corman (Enron), Judy Neason (Williams), Marshia Younglund (Williams), Janice Alperin (El Paso), Blake Herndon (WEMT), Dean Jones (WGP South Central), Curt Moffat (Van Ness Feldman), and Keith Tiggelar (Williston Basin). The following FERC Staff members are shown as speakers: Ed Murrell, Bob Flanders, Alice Fernandez, Scott Miller, Andrea Wolfman, Randy Mathura, Dan Larcamp, and Kevin Madden. Commissioner Massey was the keynote speaker at the opening night dinner, and Philip Peters and I assisted in his presentation. In addition, the Conference concluded with the traditional Commissioners' Assistants panel, but I was not able to participate this year. | The conference theme was "From the Pilot Light to the Spot Light." The panels included "Market Realities," "Pipeline Safety Update," "Order 637 and New Services," "Market Monitoring Update," "Certificates," "National Energy Policy," and "The View from the Top" (the Commissioners' Assistants Panel). | | 05/16-18/2001 | No. | I included this conference solely because Enron is a member of INGAA and had employees in attendance. |
| 707 | Hilliard, Andrea Riddle | Office of Commissioner Massey | Legal Advisor | Yes | Unknown (Enron or Commission Staff) | This meeting was held in the Commission Meeting Room and was a demonstration for interested FERC Staff in the workings of Enron Online. I remember attending the meeting, but I do not recall who spoke at the meeting or who attended. | The meeting was a demonstration of Enron Online. | 4/18/2000 | | No. | |

# Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 708 | Hilliard, Andrea Riddle | Office of Commissioner Massey | Legal Advisor | Yes | Drew Fossum, Enron | Drew Fossum and I were present. | Drew and I worked together for 4.5 years during my tenure as Director of Regulatory Affairs for Tejas Power Corporation and its storage affiliate, Market Hub Partners. Drew was our outside counsel and was with Baker and Botts and then Wiley, Rein and Fielding. He left the latter firm in 1998 to join Enron's pipeline subsidiaries, Transwestern and Northern Natural, as General Counsel, in Omaha, Nebraska. We met at Capital City Brewing and split appetizers. Other than talking about our families, life in Omaha, life at FERC, and our prior lives as TPC/MHP regulatory lawyers, I do not recall what we discussed. I would characterize this meeting as purely personal. | 7/22/1999 | | No. | |
| 709 | Hinz, Andrew D. | Office of the Chief Information Officer | Systems Engineering | No | | | | | | | |
| 710 | Hobbs,Brian | Office of the Executive Director | DLM | No | | | | | | | |

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| 711 | Hogan, Kenneth, J. | Office of Energy Projects | Division of Engineering and Environmental Review | No | | | | | | | |
| 712 | Holden, Edwin W. | Office of General Counsel | Energy Projects | No | | | | | | | |
| 713 | Holmes, Jacqueline S | Office of General Counsel | Energy Projects | Yes | I don't know. I assume the Company. | Representatives of the pipeline and/or their agents and FERC staff members (I don't remember specifically who). | I think it was a prefiling meeting for the Calypso Pipeline project, though I'm not sure whether the project was specifically identified by name. | | July/August or Sept. 2001 | The only issue of interest to me which was either raised at this meeting or subsequently (I'm not sure which) was whether NGA Section 3 authority and a Presidential Permit are required for a pipeline from the Bahamas, as opposed to LNG imported by ship? | |

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| 714 | Holmes, Jacqueline S | Office of General Counsel | Energy Projects | Yes | Regularly scheduled quarterly meeting | Janine Migden, Enron Corporation (by conference call); other Board of Director members, including Sue Present, Executive Director; Vicky Mroczek, Ohio Dept. of HHS; Charlotte Abney, HHS; Bob Adams, NASCSP; Karen Anderson, Alliance to Save Energy; Don Dasher, BG&E; Cindy Datig, Dollar Energy Fund; Joel Eisenberg, DOE; Ron Elwood, MidMinnesota Legal Assist (by conference call); Mary Flint, AEP (by conference call); Bob Groberg, HUD; Ron Gross, Wisconsin Public Service; Al Guyant, CAP Services; Pat Markey, United Distribution Companies; Della McCurdy, FPL (by conference call); Suzanne Middelburg, SoCalEd; Mitch Miller, NARUC; Richard Sims, Columbia Gas of Ohio; Kathleen Walgren, NNFN; Olivia Wein, Nation Consumer Law Center | Quarterly meeting of Board of Directors of the National Low Income Energy Consortium (NLIEC). Primary topic of discussion was planning of annual conference, which was to be held June 12 - 15, 2000. | 1/28/2000 | | No action was requested or taken by the Commission | This is an organization made up of entities concerned with helping low income consumers meet their energy needs. The Commission is an ex-officio member of the Board of Directors |

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| 715 | Holmes, Jacqueline S | Office of General Counsel | Energy Projects | Yes | Regularly scheduled quarterly meeting | Janine Migden, Enron Corporation; Sue Present, Executive Director; Vicky Mroczek, Ohio HHS; Charlotte Abney, HHS; Cindy Datig, Dollar Energy Fund; Ron Elwood, MidMinnesota Legal Assistance; Mary Flint, AEP; Bob Grosberg, HUD; Ron Grosse, Wisconsin Public Service Corp; Jane Hardin, AARP; John Huber, Petroleum Marketers Association of America; Janice Jackson, National Association of Area Agencies on Aging; Pat Markey, United Distribution Companies; Judy mason, Alliance to Save Energy; Della McCurdy, Florida Power & Light; Don McKee, Jim Beal, United Way of America; Suzanne Middelburg, SoCalEd; Mitch Miller, NARUC; Richard Sims, Columbia Gas of Ohio; Julia Solstman, Baltimore Gas & Electric; Kathy Walgren, National Fuel Funds Network | Regularly scheduled quarterly meeting of Board of Directors of National Low Income Energy Consortium (NLIEC). Strategic Planning for coming year (very general -- evaluate last conference, identify issues, plan for next conference); evaluation of June 1999 conference; initial planning for June 2000 conference; discussion of development of NLIEC web site. | | August 5 & 6, 1999 | No action was requested of or taken by the Commission | The NLIEC is a group of entities concerned with meeting the energy needs of low income consumers. The Commission is an ex-officio member of the Board of Directors of the group. The main activity of the organization is the planning of its annual conference, during which workshops designed to address the interests of those concerned with assisting low income consumers meet their energy needs are presented. |

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| 716 | Holmes, Jacqueline S | Office of General Counsel | Energy Projects | Yes | Regularly scheduled quarterly meeting | Janine Migden, Enron Corporation; Sue Present, Executive Director; Vicky Mroczek, National Energy Assistance Directors Association; Karen Anderson, Alliance to Save Energy; Don Dasher, Baltimore Gas & Electric; Cindy Datig, Dollar Energy Fund; Joel Eisenberg, DOE; Ron Elwood, Professional Association of Consumer Energy Educators (by conference call); Jan Fox, HHS; Bob Groberg, HUD (by conference call); Ron Grosse, Wisconsin Public Service Corporation; Al Guyant, CAP Services, Inc; Jane Hardin, AARP; Pat Markey, United Distribution Companies; Della McCurdy, Florida Power & Light; Don McKee, United Way of America; Suzanne Middelburg, SoCalEd; Mitch Miller, NARUC; Jerrold Oppenheim, National Consumer Law Center; Richard Sims, Columbia Gas of Ohio; Kathy Wafgren, National Fuel Funds Network (by conference call) | Regularly scheduled quarterly meeting of the Board of Directors of the National Low Income Energy Consortium (NLIEC), of which the Commission is an ex-officio member. Primary topic of discussion was planning of the June 1999 conference. | 2/5/1999 | | No action was requested of or taken by the Commission | The NLIEC is an organization of entities concerned with enabling low income consumers to meet their energy needs. The primary activity of the group is the planning its annual conference, at which workshops designed to provide valuable information to those concerned with helping low income consumers meet their energy needs are presented. |

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| 717 | Holmes, Jacqueline S | Office of General Counsel | Energy Projects | Yes | Regularly scheduled quarterly meeting | Janine Migden, Enron Corporation; Sue Present, Executive Director; Vicky Mroczek, National Energy Assistance Directors; Christine Alden, National Association of Community Action Agencies; Cindy Datig, Dollar Energy Fund; Joel Eisenberg, DOE; Jean Fleischman, Citizens Energy Corporation; Jan Fox, HHS; Bob Groberg, HUD; Ron Grosse, Wisconsin Public Service Corporation; Jane Hardin, AARP; John Huber, Petroleum Marketers Association of America; Joe Loper, Alliance to Save Energy; Pat Markey, United Distribution Companies; Suzanne Middelburg, SoCalEd; Mitch Miller, NARUC; Richard Sims, Columbia Gas of Ohio; Julia Solstman, Baltimore Gas & Electric; Kathy Walgren, National Fuel Funds Network. | Regularly scheduled quarterly meeting of the Board of Directors of the National Low Income Energy Consortium (NLIEC). Primary focus of meeting was planning for June 1998 conference. | 4/17/1998 | | No action was requested of or taken by the Commission. | The NLIEC is an organization of entities concerned with enabling low income consumers to meet their energy needs. The Commission is an ex-officio member of the Board of Directors. The primary activity of the organization is the planning of its annual conference, at which workshops designed to provide useful information to groups and individuals concerned with the needs of low income energy consumers are presented. |

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| 718 | Holmes, Jacqueline S | Office of General Counsel | Energy Projects | Yes | Regularly scheduled quarterly meeting | Janine Migden, Enron Corporation; Sue Present, Executive Director; Vicky Mroczek, National Energy Assistance Directors; Christine Alden, National Association of Community Action Agencies; Don Carlson, Baltimore Gas and Electric; Cindy Dallg, Dollar Energy Fund; Joel Eisenberg, DOE; Ron Elwood, Professional Association of Consumer Energy Educators (by conference call); Jean Fleischman, Citizens Energy Corporation; Jan Fox, HHS; Bob Groberg, HUD; Ron Grosse, Wisconsin Public Service Corporation; Al Guyant, CAP Services, Inc.; Jane Hardin, AARP; Kay Joslin, National Center for Appropriate Technology (by conference call); Della McCurdy, Florida Power & Light (by conference call); Pat Markey, United Distribution Companies; Suzanne Middelburg, SoCalEd; Mitch Miller, NARUC; Jerrold Oppenheim, National Consumer Law Center; Candice Ryan, Petroleum Marketers Association o' America; Richard Sims, Columbia Gas of Ohio; Kathy Welgren, National Fuel Funds Network; Christina Walker, United Way of America. | Regularly scheduled quarterly meeting of the Board of Directors of the National Low Income Energy Consortium. Primary focus of meeting was evaluation of the 1998 conference and initial planning for June 1999 conference. | 11/6/1998 | | No action was requested of, or taken by, the Commission. | The NLIEC is an organization of entities concerned with enabling low income consumers to meet their energy needs. The Commission is an ex-officio member of the Board of Directors. The primary activity of the organization is the planning of its annual conference, at which workshops designed to provide useful information to groups and individuals concerned with the needs of low income energy consumers are presented. |

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| 719 | Holmes, Jacqueline S. (Line 1 of 2) | Office of General Counsel | Energy Projects | Yes | Regularly scheduled quarterly meeting | Janine Migden, Enron Corporation; Sue Present, Executive Director; Vicky Mroczek, National Energy Assistance Directors; Karen Anderson, Alliance to Save Energy; Karen Brown (by conference call), Carol Clements, National Fuel Funds Network; Don Dasher, Baltimore Gas & Electric; Cindy Datig, Dollar Energy Fund; Joel Eisenberg, DOE; Ron Elwood, MidMinnesota Legal Assistance (by conference call); Molly Ettenborough, Citizens Energy Corporation (by conference call); Anita Ford, AEP (by conference call); Janice Foreman, Sacramento (?) Municipal Utilities Distric; Rosemary Murray, HHS; Ron Grosse, Individual Member (by conference call); (Cont'd in cell below) | Regularly scheduled quarterly meeting of the Board of Directors of the National Low Income Energy Consortium. There was a lengthy discussion on a proposed draft of "Principles to Protect Low Income Residents and Consumers in The Restructuring of the Electric and Natural Gas Industries." Meeting also focused on planning for June 2002 conference. | 11/2/2001 | | No action was requested of, or taken by, the Commission. | The NLIEC is an organization of entities concerned with enabling low income consumers to meet their energy needs. The Commission is an ex-officio member of the Board of Directors. The primary activity of the organization is the planning of its annual conference, at which workshops designed to provide useful information to groups and individuals concerned with the needs of low income energy consumers are presented. |
| 720 | Holmes, Jacqueline S. (Line 2 of 2) | Office of General Counsel | | | | (Cont'd) Al Guyant, CAP Services, Inc.; John Huber, National Oilheat Research Alliance; Jim Jacob, KeySpan Energy; Jerrold Oppenheim, Elliot Jacobsen, Low Income Energy Affordability Network; Marci LeFevre, AARP; Della McCurdy, Florida Power & Light (by conference call); Pat Markey, United Distribution Companies; Suzanne Middelburg, SoCalEd; Mitch Miller, NARUC; Richard Sims, Columbia Gas of Ohio; Ken Thiry, Wisconsin Public Service Corporation (by conference call); Olivia Wein, John Howat, NCLC. | | | | | |

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| 721 | Holmes, Jacqueline S | Office of General Counsel | Energy Projects | Yes | See Comment section below | See Comment section below | See Comment section below | | See below | See Comment section below | During the period in question (January 1, 1992 and December 2, 2001), I may well have attended one or more meetings attended by representatives and/or employees of a natural gas company owned by Enron or a subsidiary, however I have no specific recollections of any such meetings. If I did attend such a meeting, it was most likely a prefiling meeting, which are meetings between Commission staff and an entity which is considering filing an application for a certificate from the Commission. Any company may request such a meeting to get guidance on how best to structure its proposed application and most have, at one time or another. |
| 722 | Hooks, Marcia C. | Office of General Counsel | Division of Litigation | Yes | part of Settlement Negotiations | Staff Attroneys, JoAnn Scott, Moira Notargiamoco, Marcia Hooks, Laura Sheppeard, Linda Lee, Staff technical expert, Craig Deters, Attorney Harvey Morris of the Public Utilities of California, PG&E Attorney Makr Patrizio, Attorney Roanal Carroll of Bracewell & Patterson representing Enron & a technical expert for Enron | Whether PG&E should use a 12-CP (coincident peak) methodology to design retail transmission rates v. use of marginal cost approach | | fall 1999 through early 2000 | No. | |
| 723 | Hooper, Mark R. | Office of Energy Projects | DHAC | No | | | | | | | |
| 724 | Hough, James L. | Office of Markets, Tariffs, and Rates | Market Information | No | | | | | | | |

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| 725 | Howard, William | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | ALJ | Myself, other FERC staff, Panhandle representatives and intervenors. Northern Natural and Enron Gas Marketing intervened and can be assumed to have attended the meeting. | Settlement conference in Panhandle's Docket No. RP91-229-000. | | 04/09/199 2 - 04/10/199 2 | No | |
| 726 | Howard, William | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | ALJ | Myself, other FERC staff, Panhandle representatives and intervenors. Enron Gas Marketing and Northern Natural intervened and can be assumed to have attended the meeting. | Settlement conference in Panhandle's Docket No. RP91-229-000. | | 05/19/199 2 - 05/20/199 2 | No | |
| 727 | Howard, William | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | ALJ | Myself, other FERC staff, Panhandle representatives and intervenors. Enron Gas Marketing and Northern Natural intervened and can be assumed to have attended the meeting. | Settlement conference in Panhandle's Docket No. RP91-229-000. | 7/28/1992 | | No | |
| 728 | Howard, William | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | ALJ | Myself, other FERC staff, Panhandle representatives and intervenors. Northern Natural intervened and can be assumed to have attended the meeting. | Conference to set procedural schedule in Panhandle's Docket No. RP92-166-000. | 6/23/1992 | | No | |
| 729 | Howard, William | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | ALJ | Myself, other FERC staff, Panhandle representatives and intervenors. Northern Natural intervened and can be assumed to have attended the meeting. | Prehearing conference to discuss motion to compel discovery in Panhandle's Docket No. RP92-166-000. | 9/24/1992 | | ALJ issued order to compel discovery. | |
| 730 | Howard, William | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | ALJ | Myself, other FERC staff, Panhandle representatives and intervenors. Northern Natural intervened and can be assumed to have attended the meeting. | Hearing on Panhandle's general Section 4 rate increase filing in Docket No. RP92-166-000. | | 06/20/199 3 - ? | ALJ and Commission issued orders. | Don't recall when the hearing ended. |

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| 731 | Howard, William | Office of Markets, Tariffs, and Rates | Market Development | Yes | ALJ | Myself, other FERC staff, Panhandle representatives and intervenors. Northern Natural intervened and can be assumed to have attended the meeting. | Hearing on Panhandle's general Section 4 rate increase filing in Docket No. RP91-229-000. | | 01/25/1994 - 02/24/1994 | ALJ and Commission issued orders. | |
| 732 | Howard, William | Office of Markets, Tariffs, and Rates | Market Development | Yes | FERC | I don't have the attendance sheet, but numerous FERC staff and industry representatives were present, including an Enron representative. | It was an RTO outreach meeting, at which RTO's were discussed. | 7/12/1999 | | None that I am aware of. | |
| 733 | Howe, Richard | Office of General Counsel | Markets, Tariffs, and Rates | Yes | The Commission | There were a large number of representatives of all segements of the natural gas industry present, presumably including representtavies of various Enron companies, as well as staff members. Presentations were made by Laurel Hyde, among others. | The Commission's notice of proposed rulemaking in RM98-10-000 for auctioning pipeline capacity. | | Sometime in 1999 is my best estimate | The Commission issued Order No. 637 in February 2000, which did not adopt the original auctioning proposal. | |
| 734 | Howe, Richard | Office of General Counsel | Markets, Tariffs, and Rates | Yes | The Commission staff | Industry representatives and staff members. Enron representatives participated in at least some of these meetings, along with representatives of all segments of the natural gas industry. | There was a series of outreach meetings with industry representatives while Order No. 637 was being developed. The general subject matter was what ways the Commission might revise its regulations to encourage more competition in the natural gas market. I don't remember how many of these meetings I went to, or whether an Enron representative was present at the meetings I attended, but I assume there must have been at at least some of the meetings. | | 1998-1999 | The Commission issued Order No. 637 | |

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| 735 | Howe, Richard | Office of General Counsel | Markets, Tariffs, and rates | Yes | The Commission | This was a publicly noticed meeting. I was at the meeting with several other staff members, but I don't now remember who they were. There were also representatives of Transwestern and most of its customers and the California Public Utilities Commission. I do not remember the names of any of these people. | The purpose of the meetings was to try negotiate a settlement of Transwestern's filings in docket Nos. RP93-56 et al. to recover the costs incurred in its take-or-pay settlements. | | The meeting or meetings occured betwee August 1, 1993 and December 31 of that year, | In January 1994 the Commission approved a settlement agreed to by all the parties. | |
| 736 | Huang, James,F | Office of Energy Projects | D2SI | No | | | | | | | |
| 737 | Huang,James F | Office of Energy Projects | D2SI | No | | | | | | | |
| 738 | Huber, Robert P | Office of Administrative Law Judges | Office of Administrative Law Judges | No | | | | | | | |
| 739 | Hughes, Dawn W. | Office of Markets, Tariffs, and Rates | DIVISION OF ADMINISTRATION AND CASE TRACKING | No | | | | | | | |
| 740 | Hughes, Merita | Office of the Chief Informati on Officer | Know. and Info. Mgt. | No | | | | | | | |

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| 741 | Hunger, David, E | Office of Markets, Tariffs, and Rates | Tariff and Rates, West | Yes | PGE and NW Natural Gas | David Hunger FERC Michael Coleman FERC William Hieronymus, economomist at Charles River Corp Officals from Enron, PGE and NW Natural gas | Prefiling meeting for the sale of PGE to Northwest Natural Gas | 10/19/2001 | | no | |
| 742 | Hunter, James O. | Office of Energy Projects | DHAC | Yes | James Hunter | Robert Steele | I left a message advising Mr. Steele that Portland General Electric (PGE)Company's acceptance of the Order Approving Partial Transfer of License for P-2233 would be due July 22, 2001. | | | Not applicable. | In response to my call, PGE filed on 7/20/01 a request for more time to accept the transfer. |
| 743 | Hunter, James O. | Office of Energy Projects | DHAC | Yes | James Hunter | Robert Steele | I left a message for Mr. Steele, noting that a 7/20/01 filing by PGE included Inc. and asked if the company had changed to a corporation. | | | not applicable | Mr. Jim Wyatt returned my call and said to ignore the Inc. |
| 744 | Hunter, James O. | Office of Energy Projects | DHAC | Yes | James Hunter | Robert Steele and/or Ms. Julie Keil | I asked who would be the new licensee's contact for questions about day to day project operation. | | 09/06/01 to 10/03/01 | not applicable | They said my question would be answered in their form reply to my letter (dated 10/03/01) acknowledging PGE's acceptance of the transfer. |
| 745 | Hutcheson, Christine | Office of the Executiv e Director | Financial Policy | Yes | FERC - audit team | I cannot remember specifically who attended. It consisted of FERC audit team members and Northern Border accounting staff. | Construction Audit of Northern Border Pipeline (FA98-007) | | | I was not on the audit at the time it was completed but I believe any issues found were then rolled over into a rate proceeding in October 1999. | Our site visit to Northern Border Pipeline lasted two weeks where we discussed accounting and procedural issues related to new construction of a pipeline. |
| 746 | Hutzel, Janet, D | Office of Energy Projects | DEER - Hydro East Branch 2 | No | | | | | | | |

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| 747 | Huyler, Kevin, H | Office of Markets, Tarriffs, and Rates | East Group One | No | | | | | | | |
| 748 | Hyde, Laurel C | Office of Markets, Tarriffs, and Rates | Market Developme nt | | INGAA | Wide range of pipeline personnel. I believe Nancy Bagot from Transwestern was there. Sharon Rodakus from CNG was there. Jane Lewis (AGA), Numerous others I cannot remember by name or affiliation. Commission Staff including but not limited to (these are the ones I remember): Laurel Hyde, Scott Miller, Alice Fernandez, Bob Christin, Bob Flanders, Marv Rosenberg, Joe Dooley, Ed Murrell, Paula Cronklin. | construction, progress of Commission on 637 filings, other | 5/16/01-5/18/01 | | No | INGAA Regulatory Affairs Conference. General discussion of topics -- presentations by Commission staff and industry. |
| 749 | Hyde, Laurel C. | Office of Markets, Tarriffs, and Rates | Market Developme nt | | | | | | | | |
| 750 | Hyde, Laurel C. | Office of Markets, Tarriffs, and Rates | Market Developme nt | | FERC | From Commission: Laurel Hyde, Demetria Anas, Deme Pulas, Michael Goldenberg, Andrea Wolfman, Robert Flanders From Industry : A number of parties (probably 20 or more) spoke at the conference -- including Leslie Lawner of Enron North America. | Standards of Conduct Conference | 1-Mar | | Commission ultimately put out a NOPR on Standards of Conduct | Public conference attended by many with many speakers from a variety of industry sectors. |
| 751 | Hyde, Laurel C. | Office of Markets, Tarriffs, and Rates | Division of Market Developme nt | Yes | FERC | FERC presenters, Laurel Hyde, Gary Mahrenholz, Robert Flanders, Dick O'Neill + an audience - Representing large number of Industry participants- likely including Enron | Auction Conference | 10/20/1998 | | Ultimately proposal for auction in Order no. 637 | |

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| 1 | Name | Office | Division | (a) | I. Who initiated the meeting | II. List all that were present at the meeting | III. What was the subject matter(s) of the meeting | IV. Give the date | IV. Date range | V. Was any action taken by the Comm. in response to the meeting | VI. Other Comments (if any) |
| 752 | Hyde, Laurel C. | Office of Markets, Tariffs, and Rates | Division of Market Development | Yes | Transwestern Prefiling RP01-56-0000 | Staff, including myself, Shelley Korman-Enron, Nancy Bagot-Transwestern | Options for pipeline capacity | Fall 2000 | September November 2000 | Transwestern came in with a filing ultimately their proposal was denied. April 25, 2001 | |
| 753 | Iacono, David J. | Office of the Executive Director | Division of Organizational Management | No | | | | | | | |
| 754 | Ildari, Hossein | Office of Energy Projects | DHAC | No | | | | | | | |
| 755 | Ingram, Tony V. | Office of Markets, Tariffs, and Rates | OMTR Tariffs and Rates-Central | Yes | Mike Naeve or Joe Hartsoe | Mike Naeve (representing Portland General Corporation); Joe Hartsoe (representing Enron Corporation); Jack Tompkins; John Echols; Wanda Curry; David Poe; Joe Feltz; Dan Larcamp (FERC-OGC); Tony Ingram (FERC-OEPR); Wayne McDaneal (FERC-OCA) | Prefiling conference related to proposed merger of Enron Corporation and Portland General Corporation | 8/20/1996 | | No direct action; after the meeting, Enron and Portland General filed a joint application seeking Commission approval of merger of their respective jurisdictional affiliates - Enron Power Marketing, Inc. and Portland General Electric Company in Docket No. EC96-36-000 (and related filings in Docket Nos. ER96-3065-000 and ER97-708-000). | Enron and Portland General counsel described structure of proposed merger transaction; proposed method of accounting for the merger; planned filing timeline. |
| 756 | Iverson, Delores C. | Office of Energy Projects | D2SI | No | | | | | | | |
| 757 | Jackson, Ava M. | Office of Markets, Tariffs, and Rates | Market Information, Data Collection Group | No | | | | | | | |

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| 758 | Jacobs, Karen, M. | Office of Energy Projects | Gas Branch 2 | No | | | | | | | |
| 759 | Jagtiani, Sanjeev G. | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates-East | Yes | The Commission | There were approximately 47 people including about 7 members of Commission staff. And one Enron representative. | The meeting was a GridSouth RTO meeting to see if public power entities could resolve issues related to their potential participation in GridSouth. | | May-01 | No official action. | None. |
| 760 | James, Raymond E. | Office of Markets, Tarriffs, and Rates | Energy Markets | No | | | | | | | |
| 761 | Javonillo, Antonio D. | Office of Markets, Tarriffs, and Rates | Administration and Case Tracking | No | | | | | | | |
| 762 | Jayjack, Nicholas, J | Office of Energy Projects | Environmental and Engineering Review | Yes | Nicholas Jayjack | Dave Heintzman | Called Dave to discuss the National Marine Fisheries Service concern with how the licensing process for the Willamette Falls Project (P-2233) is going, specifically concerning a disagreement between PGE and NMFS regarding fisheries related studies at Will | | | No | I'm not sure of the exact date. I believe it was on or about June 6, 2000. |
| 763 | Jayjack, Nicholas, J | Office of Energy Projects | Environmental and Engineering Review | Yes | Portland General Electric - various | Nicholas Jayjack and numerous state and federal fish and wildlife agencies, Non-governmental organizations, and others. | Numerous emails updating the relicensing processes for Willamette Falls (P-2233), Bull Run (P-477), Oak Grove (P-135), and North Fork (P-2195) Hydroelectric Projects | | 03/99 through 12/01 | No | No idea how many emails. As far as I recall, most were meeting notices, meeting visits, study reports, and the like. In almost all cases, I was one of many concurrent recipients of the emails. |

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| 764 | Jayjack, Nicholas, J | Office of Energy Projects | Environmental and Engineering Review | Yes | Portland General Electric | Nicholas Jayjack and numerous local, state, and federal agencies, non-governmental organizations, and other entities. | Mailings regarding scheduling of meetings, meeting agendas, draft and final study reports, and similar written materials related to the relicensing of the Bull Run (P-477), Oak Grove (P-135), and North Fork (P-2195) Hydroelectric Projects. | | 12/01/1998 through 12/31/2001 | No | I'm not sure how many mailings that I received, possibly upwards of 50 to 75. |
| 765 | Joe, Sabina U. | Office of Markets, Tariffs, and Rates | Litigation | No | | | | | | | |
| 766 | John Myler | Office of General Counsel | EP | No | | | | | | | |
| 767 | John, Christopher | Office of Commissioner Breathitt | N/A | Yes | Donna Fulton (Enron) | FERC BEAT Luncheon. No available List of Attendees ;It is a group of 25 industry Washington Representatives | I spoke on a panel with Mary Benge (FERC) concerning our perspectives as new advisors to the Commissioner | | | none | |
| 768 | John, Christopher | Office of Commissioner Breathitt | N/A | Yes | Invitation by INGAA | Commission and Pipeline Employees | Spoke on Natural Gas Pipeline Issues | 5/15/1998 | | none | Possible that Enron Employees were present |
| 769 | John, Christopher | Office of Commissioner Breathitt | N/A | Yes | Invitation by INGAA | Commission and Pipeline Employees | Order 637 Issues | 4/30/1999 | | none | Possible that Enron Employees were present |

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| 770 | John, Christopher | Office of Commissioner Breathitt | N/A | Yes | Enron's Washington Office | Stan Horton Commissioner Breathitt | Courtesy visit: Enron Pipeline Issues | | | none | |
| 771 | John, Christopher | Office of Commissioner Breathitt | N/A | Yes | FERC E-Mail | FERC employees; Enron presenters. | ENRON ONLINE DEMONSTRATION | 4/18/2000 | | None | |
| 772 | John, Christopher | Office of Commissioner Breathitt | N/A | Yes | Enron's Washington Office | Steve Kean Commissioner Breathitt | Electric: RTO Formation | 5/1/2000 | | | |
| 773 | John, Christopher | Office of Commissioner Breathitt | N/A | Yes | FERC STAFF For INGAA Members | FERC STAFF Natural Gas Pipeline Representatives | Order No. 637 OUTREACH | 7/9/2000 | | None | Possible there were Enron Attendees |
| 774 | John, Christopher | Office of Pipeline Regulation | N/A | Yes | FERC STAFF | FERC Litigation Staff Florida Gas Transmission Employees Intervenors in Rate Case | Rate Case (RP91-187-000) Issues. I was expert witness on rate design matters in this rate case. These were settlement conferences | | | | Settlement Conferences on rate case filed by Florida Gas Transmission |
| 775 | John, Christopher | Office of Commissioner Breathitt | N/A | Yes | Enron's Washington Office | Commissioner Breathitt, Mark Frevert, Steve Kean and Linda Robertson | Enron Reorganization | 9/19/2001 | | None | |
| 776 | Johnson, Capria | Office of the Secretary | Dockets | No | | | | | | | |

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| 777 | Johnson, Carol, C. | Office of General Counsel | General & Administrative Law | No | | | | | | | |
| 778 | Johnson, Craig J. | Office of Energy Projects | DEER | No | | | | | | | |
| 779 | Johnson, David, M. | Office of Markets, Tarriffs, and Rates | Rates - Central | No | | | | | | | |
| 780 | Johnson, Jeff | Office of the Secretary | Dockets | No | | | | | | | |
| 781 | Johnson, Patricia S. | Office of Energy Projects | Pipeline Certificates | Yes | Me (per my supervisor's instructions) | Called Keith Petersen, Northern Natural Gas Co, who had Michele Winckowski call me back on 1/5/2001 & respond to my questions. | Obtain additional info regarding Unit #12 they were proposing to abandon in CP01-16-000. Info filed with FERC 1/8/2001. | | | Delegation Order authorizing the abandonment was issued on 1/16/2001. | |
| 782 | Johnson, Ralph A. | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates - West | No | | | | | | | |
| 783 | Johnson, Toyia L. | Office of General Counsel | Litigation | No | | | | | | | |
| 784 | Johnson, Toyia L. | Office of General Counsel | Litigation | No | | | | | | | |

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| 785 | Jones, Andrea T. | Office of External Affairs | Press Services | No | | | | | | | |
| 786 | Jones, Carolyn G. | Office of Markets, Tarriffs, and Rates | Tariff and Rates - Central | No | | | | | | | |
| 787 | Jones, Elizabeth, L | Office of Energy Projects | DHAC | No | | | | | | | |
| 788 | Jones, Janet Kay | Office of General Counsel | Litigation | Yes | various parties | representatives of numerous litigating parties (including Enron companies) and Commission trial staff (myself; Linda Lee; Natalie Tingle Stewart and Daniel Poffenberger. Not all of these Staff members were present at every meeting/phone call). | These meetings/telephone conferences were part of the litigation involving Puget Sound; Docket No. EL01-10-001 and associated dockets and involved the usual (and numerous) procedural/substantive matters associated with litigating a large and complex proceeding. Alth. I do not recall the specifics of every meeting/telephone conversation, many of them centered on logistical problems associated with Enron (and Portland General's) confidential submission of the market data ordered by the presiding judge. | | Aug. - Sept. 2001 | No | |

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| 789 | Jones, Janet Kay | Office of General Counsel | Litigation | Yes | Presiding Judge | There were approximately 100 active parties in Docket No. EL01-10-000. Most, but not all, attended the various oral arguments; I believe all were present at the hearing in Sept. 2001. Enron attorneys were present at all the meetings. | the litigation in Docket No. EL01-10-000 (prehearing conferences; arguments on several motions and the hearing itself) | | Aug-Sept. 2001 | No. The presiding judge issued orders disposing of various motions and, after the Sept4-6 hearing, issued a report. | |
| 790 | Jones, Kevin, A | Office of Markets, Tarriffs, and Rates | Data Collection Group | No | | | | | | | |
| 791 | Jones, Linda | Office of Administrative Law Judges | OALJ | No | | | | | | | |
| 792 | Jones, Martha Vernell | Office of Energy Projects | Office of the Director | No | | | | | | | |
| 793 | Jones, Eric | Office of the Executive Director | DLM | No | | | | | | | |
| 794 | Joseph, John F | Office of Markets, Tarriffs, and Rates | Rates Central | No | | | | | | | |
| 795 | Judd, Anastasia A. | Office of the Secretary | Dockets | No | | | | | | | |

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| 796 | Kane, Joe R. | Office of Markets, Tarriffs, and Rates | litigation | Yes | ferc, pursuant to order in el01-10 | 100+. as noted in transcript of appearances which i do not have copy of | issue of the cost of power in pacific northwest, and definition of spot market. | | july 25, 2001 to present | no, this case is pending commission review | |
| 797 | Kane, Joe R. | Office of Markets, Tarriffs, and Rates | litigation | Yes | FERC order, Docket no EC-40 | approximately 100+ people, with appearances as noted in transcript of meeting which I do not have | cost of service technical issues related to request between aep and csw for merger authority | | various dates from april, 1998 to May, 1999, as issued by all | | |
| 798 | Kane, Joe R. | Office of Markets, Tarriffs, and Rates | litigation | Yes | FERC order, Docket no EC-40 | approximately 100+ people, with appearances as noted in transcript of meeting which I do not have | cost of service technical issues related to request between aep and csw for merger authority | | various dates from april, 1998 to May, 1999, as issued by all | Commission accepted negotiated resolution involving cost of service rate issues, resulting in reduction in rates | |
| 799 | Kane, Laura B. | Office of Markets, Tarriffs, and Rates | Rates East | No | | | | | | | |
| 800 | Kartalia, Stephen, D. | Office of Energy Projects | DEER | No | | | | | | | |

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| 801 | Katz, John S.L. | Office of General Counsel | Energy projects | Yes | Commission staff | Steve Harris, Vice President of Transwestern Commercial Group made a presentation. Others present included Commission staff, members of the public, and representatives of various entities, including the California Energy Commission, the Public Utilities Commission of California, Pacific Gas & Electric Co., Sempra Energy, Calpine, Williams Companies, El Paso Corporation, Kinder Morgan, Merrill Lynch, Credit Suisse, Occidental Energy Marketing, Wild Goose Storage, Southern California Generation Colation, BP Energy, and Intelligence Press, Inc. | Public technical conference in Docket No. PL01-4-000, California Natural Gas Transportation Infrastructure | 5/24/2001 | | No. | I had one or two conversations prior to the conference with Joseph Hartsoe of Enron, concerning who would appear for Enron, the conference agenda, and other procedural matters. |
| 802 | Kee, Laik H | Office of the Executive Director | MAPS | No | | | | | | | |
| 803 | Keegan, James R. | Office of General Counsel | Market tariff and Rates | Yes | The Commission | Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended. | Techical conference in RP94-21 re: Northern's tariff sheets for recovery of system balancing costs. | 12/16/1993 | | Commission order issued 2/15/95 | |
| 804 | Keegan, James R. | Office of General Counsel | Markets Tariffs and Rates | | The Commission | Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended. | Methodology for the recovery of Northern's stranded csts and gas supply realignment costs. RP94-274 | 10/25/1994 | | Filing accepted by 3/3/95 letter order | |
| 805 | Keegan, James R. | Office of General Counsel | MTR | Yes | The Commission | Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended. | Technical conference in RP94-416 regarding Northern's system balancing agreements | 5/25/1995 | | Commission letter order dated 6/29/95 | In this case, as in all my technical conferences, there was probably a preceeding telephone call to Northern to establish the date of the conference, and possible the format to be followed at the conference. |
| 806 | Keegan, James R. | Office of General Counsel | MTR | Yes | The Commission | Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended. | Technical conference in Docket No. RP91-224 regarding Northern's tariff provisions for gas processing. | | October 1991- April 1992 | Commission order issued 7/24/92 | |

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| 807 | Keegan, James R. | Office of General Counsel | MTR | Yes | The Commission | Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended. | Technical conference in RP96 68 et al. re stranded costs | 3/23/1995 | | Commission order issued 5/16/96 | |
| 808 | Keegan, James R. | Office of General Counsel | MTR | Yes | The Commission | Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended. | Technical conference in Docket No. RP95-185 re terms and conditions in Northern's general section 4 rate case. | | | Commission order issued 10/5/95 | |
| 809 | Keegan, James R. | Office of General Counsel | MTR | Yes | The Commission | Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended. | Technical conference in RP91 181 re Northern's Purchased Gas Adjustment clause | 9/5/1991 | | Commission order issued 11/21/91 | |
| 810 | Keegan, James R. | Office of General Counsel | MTR | Yes | The Commission | Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended. | Technical conference re Northern's Carlton Resolution | 5/25/1995 | | Commission order issued 8/3/95 | |
| 811 | Keegan, James R. | Office of General Counsel | MTR | Yes | The Commission | Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended. | Technical conference re Northern's mileage zones | 6/14/1994 | | Commission order issued 8/31/94 | |
| 812 | Keegan, James R. | Office of General Counsel | MTR | Yes | The Commission | Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended. | Technical conference in RP96 270 re storage service parameters | 8/1/1996 | | Commission order issued 11/5/96 | |
| 813 | Keegan, James R. | Office of General Counsel | MTR | Yes | The Commission | Judge Wm. Cowan, Donna Fulton and Saeah Novosel for Enron, Mike Donnini Joe Long Suzzane McBride Dave Cain Joe Kane for FERC Staff | Settlement discussion re New England ISO's recovery of admin costs. | 1/1/2001 | | Commisson approved the settlement 96 FERC 61,261 | |
| 814 | Keegan, James R. | Office of General Counsel | Office of Administrative litigation | Yes | The Commission | For Staff: Cindy Govan, Sandy Delude, Edith Gilmore, Pat Enoch-Johnson, Jon Ogur, Barry Sullivan, Yvonne Owens, Bill Cary, Ed Snyder, Kevin Pewterbaugh; For Northern Natural: Mary Kay Miller, Steve Kirk, Andy Safir, T. Kisner, Mike McGowan, J. Williams, J. Kalt. Many other parties partiipated in these meetings. See the service list. | Trial and settlement discussions regarding Northern's general section 4 rate case in RP98-203. | | 06/01/98 to 6/1/99 | Commission approved settlement by letter dated 6/18/99 | |

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| 815 | Keegan, James R. | Office of General Counsel | MTR | | I did. | Joe Hartsoe of Enron | Friendly conversation and dinner at the Energy Bar dinner with Joe, an old office mate of mine at the Commission. | 8/15/2000 | | No | |
| 816 | Keegan, James R. | Office of General Counsel | MTR | Yes | The Commission | Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended. | Technical conference in Docket No. RP95-161 re liquidated damages for violating Northern's tariff. | 5/10/1995 | | Commission order issued8/5/96 | |
| 817 | Keegan, James, R | Office of General Counsel | Market tariffs and Rates | Yes | The Commission | Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended. | Technical Conference In RP96-302 regardung Critical Day penalties on northern | 9/18/1996 | | Commission orderr dated 3/28/97 | |
| 818 | Keegan, James, R | Office of General Counsel | MTR | Yes | The Commission | Mary Kay Miller for Northern Natural Gas, and others, but I do not recall who else attended. | Technical conference in Docket No. RP94-64 et al., re Northern's proposal to recover third party pipeline costs. | 2/22/1994 | | Commission order dates 3/25/94 | |
| 819 | Kelley, George A. | Office of Energy Projects | Division of Dam Safety and Inspection | No | | | | | | | |
| 820 | Kelly, Francine Julia | Office of Markets, Tarriffs, and Rates | Litigation | No | | | | | | | |
| 821 | Kelly, Kevin, A. | Office of Markets, Tarriffs, and Rates | Policy Innovation and Communic ation | Yes | | | | | | | I attended public commission meetings, Office Outreach meetings to the marketing community, and an Electronic Scheduling Collaborative meeting in all of which there was an Enron participant, and all these meetings are being reported by others on the Commision staff. |
| 822 | Kelly, Richard E. | Office of General Counsel | Administrati ve Litigation | Yes | Staff | All or most parties, including Staff and Trailblazer | Settlement conferences to resolve issues in Trailblazer rate case (RP97-408) | | Various dates between 1997 and 2000 | Commission settlement orders | |

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| 823 | Kelly, Richard E. | Office of General Counsel | Administrative Litigation | Yes | Staff | All or most parties, including Staff and Northern Border | Settlement conferences to resolve issues in Northern Border rate case (RP99-322) | | Various dates in 1999 and 2000 | Commission settlement order | |
| 824 | Kelly, Richard E. | Office of General Counsel | Administrative Litigation | Yes | Staff | All or most parties, including Staff, Texas Gas, and Enron North America | Settlement conferences to resolve issues in Texas Gas' rate case (RP00-260) | | Various dates in 2000 and 2001 | None to date | |
| 825 | Kelly, Richard E. | Office of General Counsel | Administrative Litigation | Yes | Staff | All or most parties, including Staff, Transco, and Enron North America | Settlement conferences to resolve issues in Transco's rate case (RP01-245) | | Various dates in 2001 and 2002 | None to date | |
| 826 | Kelly, Richard E. | Office of General Counsel | Administrative Litigation | Yes | Staff | All or most parties, including Staff, Stingray, and Enron North America | Settlement conferences to resolve issues in Stingray's rate case (RP99-166) | | Various dates in 1999 and 2000 | None to date | |
| 827 | Kelly, Richard E. | Office of General Counsel | Administrative Litigation | Yes | Staff | All or most parties, including Staff, Wyoming Interstate, and Enron North America | Settlement conferences to resolve issues in Wyoming Interstate's rate case (RP97-375) | | Various dates in 1997-99 | Commission settlement orders | |
| 828 | Kelly, Richard E. | Office of General Counsel | Administrative Litigation | Yes | Staff | All or most parties, including Staff, Wyoming Interstate, and Enron North America | Settlement conferences to resolve issues in Wyoming Interstate's rate case (RP94-267) | | Various dates in 1994 | Commission settlement order | |
| 829 | Kelly, Richard E. | Office of General Counsel | Administrative Litigation | Yes | Staff | All or most parties, including Staff, Wyoming Interstate, and Enron North America | Settlement conferences to resolve issues in Wyoming Interstate's rate case (RP99-381) | | Various dates in 1999-2000 | Commission settlement orders | |
| 830 | Kelly, Richard E. | Office of General Counsel | Administrative Litigation | Yes | Staff | All or most parties, including Staff, Williams, and Enron North America | Settlement conferences to resolve issues in Williams rate case (RP95-136) | | Various dates in 1995-96 | Commission settlement order | |
| 831 | Kendall, Jack | Office of General Counsel | Energy Projects | No | | | | | | | |
| 832 | Kendrick, Deering T. | Office of Markets, Tariffs, and Rates | Division of Tariffs and Rates-West | No | | | | | | | |

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| 833 | Kerrigan, Jennifer L. | Office of Energy Projects | DEER | No | | | | | | | |
| 834 | Khalatnikov, Felix M. | Office of Administrative Law Judges | | No | | | | | | | |
| 835 | Khu, Kim, T | Office of Markets, Tarriffs, and Rates | Policy and Innovative Communication (DPIC) | No | | | | | | | |
| 836 | Kilchrist, Patricia D. | Office of General Counsel | Systems Support | No | | | | | | | |
| 837 | Kim, Joyce Yung | Office of General Counsel | Market, Tariffs, Rates | Yes | Geeta Oberoi | Jignasa Gadani, Megan, Geeta Oberoi and Scott (Enron employee), Lodie White, Maria Vouras, Joyce Kim, Eugene Grace | happy hour | 8/1/2001 | | n/a | |
| 838 | Kim, Joyce Yung | Office of General Counsel | MTR | Yes | Eugene Grace | Sandy Grace, Eugene Grace, Jignasa Gadani, Olga Kolutushkina and husband Val; Geeta Oberoi and boyfriend Scott(Enron employee), Elizabeth Rylander and husband Jason; Maria Vouras, Lodie White, Joyce Kim | social gathering | 6/7/2001 | | no | |
| 839 | Kim, Joyce Yung | Office of General Counsel | MTR | | Lodie White | Geeta Oberoi and boyfriend Scott (Enron employee), Lodie White, Jignasa Gadani and roommate Megan, Jason Stanek, Mary Morton, Eugene Grace, Joyce Kim, Suzanne McBride and husband, Rhodell Fields | social gathering | 9/8/2001 | | n/a | n/a |

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| 840 | Kim, So Y. | Office of Markets, Tarriffs, and Rates | Market Development (Compliance & Operational Audit Group) | No | | | | | | | |
| 841 | Kimberlin, Robert F. | Office of the Executive Director | DFP | No | | | | | | | |
| 842 | King, Wayne B. | Office of Energy Projects | Dam Safety and Inspections | No | | | | | | | |
| 843 | Klenk, Cynthia | Office of the Chief Information Officer | Know. and Info. Mgt. | No | | | | | | | |
| 844 | Klinkenberg, Charles, | Office of Energy Projects | DHAC | No | | | | | | | |
| 845 | Klose, Mark | Office of the Executive Director | Division of Regulatory Accounting Policy | Yes | | | | | | | During the period in question I have had discussed routine maters related to docketed workload related to affilated pipelines. |
| 846 | Klynsma, Sara C. | Office of Markets, Tarriffs, and Rates | Associate Director | No | | | | | | | |

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| 847 | Knight, Bobby W. | Office of Administ rative Law Judges | Division of Logistics Manageme nt | No | | | | | | | |
| 848 | Knight, Franklin D. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Trial attorney on Northern Bord RP96-45 | Trial staff assigned to Northern Border RP96-45, Northern Border staff, customer staff | Settling Northern Border rate case RP96-45 | | Series of meetings in 1996 | Northern Border RP96-45 rate case was settled | |
| 849 | Knight,Franklin D. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Staff attorney on Docket RP93-34 | Trial Staff assigned to Transwestern Docket RP93-34,Transwestern staff, and customer staff | Settling the Transwestern RP93-34 rate case | | Series of meetings in 1993 | Rate case in Docket RP93-34 was settled | |
| 850 | Kochhar, Medha , L | Office of Energy Projects | Branch gas 2 | Yes | Medha Kochhar | do not know | request for nonjurisdictional facilities | | | memo to OGC (Fredric Minnis), Notice of Protest for CP94-716-000. | |
| 851 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | No | | | | | | | CP1992-0469-000 |
| 852 | Kochhar, Medha, L | Office of Energy Projects | Gas, Branch 2 | No | | | | | | | No communications for the following documents: CP1992-0469, CP1992-0473, CP1992-0506, CP1992-0535, CP1992-0551, CP1992-0621, CP1993-0230, CP1993-0714, CP1994-0755, CP1994-0766 000, CP1995-0080, CP1995-0130-001, CP1995-0585, CP1995-0761, CP1997-0153, CP199 |
| 853 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Steve Veatch | Medha Kochhar | DEIS comment meeting format. | | | | I requested FGT to bring alignment sheets to discuss location of properties if landowner has questions. |

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| 854 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Steve Veatch | Medha Kochhar | Route alternatives through Leota Miley's property and Central Maintenance Welding Inc. | | | FGT made a filing for the revised routes through these areas. | |
| 855 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Steve Veatch of FGT | Get Landowner Information and issues raised by the landowners. | | 09/01/99 and 01/01/2000 | | General information exchange regarding the project and issues raised by landowners and commenters prior to FEIS. |
| 856 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Steve Veatch and Rob Kilmer | Medha Kochhar | wanted to know if they can come for a technical meeting to discuss format of preconstruction filings | | | We had a technical meeting with FGT staff and FERC at FERC headquaters to discuss format of preconstruction filings. | |
| 857 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Steve Veatch of FGT | Medha Kochhar | Priority of construction clearances. Steve gave the order of priority that construction clearance filing would be submitted: Storage yards, staging areas, Westleg Extension. Other items of discussion: use third party contractor, implementation plan and technical meeting at FERC. | | | no | Later there were several phone calls between 3/9/00 and 5/8/00 to discuss construction filings specifically the alignment sheets. |
| 858 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Steve Veatch | Condemnation of properties owned by Central maintenance,Conrad, and Varnum | | | | |
| 859 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Steve veatch | Landowner (Mr. Paquin) concerns and what is done. Update on construction status and forthcoming filings for MS Loop. | | 6/13/00 - 8/7/00 | | |
| 860 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Steve Veatch | Construction plans for the remaining of the facilities (Tempa South lateral Extension. Requests for in-service of WLE. | | 8/11/00 - 9/8/00 | | |

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| 861 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Eric Barbee | Construction status along WLE, Wetalnd restoration Plan | | | | |
| 862 | Kochhar, Medha, I | Office of Energy Projects | Gas Branch 2 | Yes | Steve Veatch | Medha Kochhar | Petrash Property and wetland restoration issue | | 9/11/00 - 9/19/00 | | During this time period I talked with Eric Barbee (environmental inspector to get updates on the wetland restoration on Petrash property (what, when where, how etc.). |
| 863 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Steve Veatch | Landowner concerns | | 12/11/00 - 12/18/00 | | Steve Veatch provided more information through Eric Brabee (EI). |
| 864 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Steve Veatch | Medha kochhar | Public scoping meeting for the Tempa South Laterat extension. | | | | |
| 865 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Rob Kilmer (FGT) | Construction schedule for MS Loop and other remaining facilities | | | | Later on 1/11/01 Steve Veatch and Rob Kilmer provided details of schedule construction. I informed him the items we need to have. |
| 866 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Meedha Kochhar | Ryan Childs | Change in construction method from directional drill to open cut and our concerns. | | 1/23/01 and 1/30/01 | | |
| 867 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Medha kochar | Steve Veatch | Construction updates - MS-Loop, SSL, FL-Loop, and LWL | | 2/12/01 - 2/13/01 | | |
| 868 | Kochhar, Medha, I | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Ryan childs | Tempa South Lateral Extension (CP99-94-003) DCA's letter and Golden aster issue and FWS comments. | | 2/20/01 - 4/23/01 | | I had follow-up phone conversations for this project with Steve Veatch, Ryan Childs, and Rob Kilmer who provided updates on this project issues. |
| 869 | Kochhar, medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Steve Veatch | Status of TSLE project Implementation Plan filing. Steve informed me in his follow-up call that National Gypsum would gas from peoples and therefore, FGT would file and not accept this Order. | | 4/9/01 - 4/23/01 | | |

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| 870 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Steve Veatch | Ft. Mead Pipeyard | | | | |
| 871 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | | | | | 4/6/2000 - 8/30/2001 | | FYI, any communications conducted with Steve Veatch or FGT representative and Medha Kochhar, during the above mentioned period were all related to FGT Phase IV Project, CO99-94-000 and CP99-94-003. This project was contracted to Natural Resources Group (NRG) for third party compliance monitoring services. |
| 872 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Cheryl Sanders | Landowner address corrections, Clarifications on right-of-width, Platte River Estate, comments from SHPO, FERC Plan and Provedures. | | 3/230/1994 - 6/14/1994 | | EF Expansion Project by Northern Natural Gas Comapny. Docket No. CP94-224-000. |
| 873 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Dave Brown | Medha Kochhar | Site visit and trip agenda. | | | | |
| 874 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Dave Brown | Medha kochhar | Right-of-width issue in residential and forested area and small diameter pipe. | | | | Docket Mo. CP94-224-000, EF Expansion Project. |
| 875 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Cheryl Sanders and Dave Brown | Project specific issues: D-Line and permit status | | 3/30/1994 to 8/8/3/1994 | | Several phone conversations were made during this period all phone calls were project related. Issues: multiple lines, minimize right-of-width, road through subdivision and scale drawings. EF Expansion Project, Northern Natural Gas Company, Docket NO. CP94-224-000. |
| 876 | Kochhar, Medha, L | Office of Energy Projects | Gas Branch 2 | Yes | Danny Russel | Medha Kochhar | Field trip for 10/24/94. Construction status. City of Cottage Grove issue, Biweekly reports, MNDNR, protected waters. | | | | CP94-224-000, EF Expansion Project. We conducted compliance inspections during construction. Ebasco Environmental was also tasked to do inspections. |

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| 877 | Koester, Thomas F. | Office of Energy Projects | Pipeline Certificates | Yes | Myself | Keith Petersen, Director, Certificates & Reporting, Northern Natural Gas Company | Docket No. CP01-33-000, telephone call to ascertain some factual information on abandoning a pipeline suspension bridge across the Platte River in Dodge and Saunders Counties, Nebraska. | | Late Nov 2000 to Feb/Mar 2001 | Order issued April 11, 2001 | Have no recollection of what the question(s) were or if we talked more than a couple of times about the facts in the application. Nothing else was discussed. |
| 878 | Kolak, James | Office of Energy Projects | DHAC | No | | | | | | | |
| 879 | Kolotushkina, Olga | Office of General Counsel | MTR | Yes | Roger Giblan, EBA YLC vice-chair | Scott (Enron employee), Geeta Oberoi, Lodie White, Joyce Kim, Roger Giblan, and other attorneys from various law firms. | It was a happy hour at the Irish Times organized by the Energy Bar Association Young Lawyers Committee. Scott was in attendance with his now former girlfriend Geeta Oberoi, OGC, FERC. We exchanged greetings and some facts about our backgrounds. At that time, he did not mention that he worked for Enron, I learnt it later from Geeta or someone else. | | 03/01/2001- 03/30/2001 | none | |
| 880 | Kolotushkina, Olga | Office of General Counsel | MTR | Yes | Gene Grace, OGC, FERC | Scott (Enron employee), Jignasa Gadani and her roomate Megan, Mike Henry, Len Tao and his girlfriend Linda, Sandy Grace, Mary Morton, Lodie White, Geeta Oberoi, Maria Vouras, Joyce Kim, my husband Valentin and other Gene and Sandy Grace's friends. | It was a party hosted by Gene Grace and his wife Sandy in their home. Scott (Enron employee) was in attendance with his now former girlfriend Geeta Oberoi. We briefly talked about their marriage plans and his kids from prior marriage. | 6/7/2001 | | n/a | |

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| 881 | Kolotushkina, Olga | Office of General Counsel | MTR | Yes | Joyce Kim, OGC, FERC | Nancy (Enron emptoyee), Jignasa Gadani and her roomate Megan, Joe Lowell, FERC former employee, Roger Giblan, EBA YLC vice-chair, and 2-3 other attorneys from private law firms whose names I do not know. | It was a happy hour at Red Sage organized by Joyce Kim for the Energy Bar Association Young Lawyers Committee members. There I met Nancy (Enron emptoyee). We exchanged greetings. At that time, I did not know where she worked. I learnt that she worked for Enron later at the EBA annual meeting on April 26, 2001 at the Capitol Hilton when she gave me her business card. | | 03/2001-04/2001 | n/a | |
| 882 | Kosa, Jarrad T. | Office of Energy Projects | Environmental and Engineering Review | No | | | | | | | |

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| 883 | Koves, Scott E. (Line 1 of 2) | Office of General Counsel | MTR | Yes | The Commission (92 FERC P 61,173) | Attendees at 9/14/2000 Technical Conference as lisetd on attendance sheet: Diego Gomez (FERC-OGC), Robert Sheldon (FERC-OMTR), Laurel Hyde (FERC-OMTR), Scott Koves (FERC-OGC), Allan Anderson (Honeywell International), Kirstin Gibb (Sutherland, Asbill & Brennan; Process Gas Consumers Group), William Penniman (Sutherland, Asbill & Brennan Process Gas Consumers Group), Pete Connor (Columbia Distribution), Richard Oliver (Oliver & Oliver, P.C.), Matthew Rick (John & Hengerer; Duke Energy T&M), Gordon Smith (Indicated Shippers), Kevin Sweeney (Indicated Shippers), Christopher Barr (Morgan, Lewis & Bockius; UGI Utilities, Inc.), Jim Jeffries (Amos, Jeffries & Robinson; Piedmont Natural Gas), Glenn Benson (Columbia Small Customer Group), Debbie Palmer (Schiff, Hardin & Waite; Delmarva & Providence), Drake R. Kijowski (Public Service Electric & Gas Co.), Lila Rothman (Orange & Rockland), Monya Phillip (Crowell & Moring UP; NUI), (Cont'd in cell below) | Columbia Gas Transmission Corporation's 6/30/00 filing in Docket No. RP00-374-000 proposing to modify its capacity auction tariff procedures. The filing was set for public Technical Conference by Commission order issued 8/23/00 (92 FERC P 61,173). | 9/14/2000 | Followup conference 10/17/00 | Yes. On March 16, 2001, following a revised pro forma tariff filing by Columbia on November, 2000, the Commission issued an order accepting the revised filing subject to modifications. By order issued April 9, 2001, the proceeding in Docket No. RP00-374 | |

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| 884 | Koves, Scott E. (Line 2 of 2) | Office of General Counsel | | | | (Cont'd) Jeffrey L. Kirk (Mountaineer Gas Co.), Steve Sherman (Proliance Energy), John Hays (Baltimore Gas & Electric), David Estes (Dominion East Ohio VNG), Margaret Peters (McGuire Woods; VA Power Energy Marketing), Duane Blackwell (VA Power Energy Marketing), Jackie Jara (Bracewell & Patterson; IOGA: Enron N.A.), Aryeh Fishman (Huber, Lawrence & Abill; NYS&G), Ginnette Cannon (Brunenkant & Haskell LLP; Dynegy Marketing & Trade), Marshia Younglund (sp?) (Williams); Nancy Bagot (Enron), Anna Cochrane (Panhandle), J.F. Harrington (Mountaineer), Stan Balis (Charlottesville & Richmond, VA), Kelly A. Day (Morrison & Hecker; PSCNY), Denyse Zosa (Morrison & Hecker; PSCNY), Denise Goulet (Pa OCA), John Grimm (Columbia Gas), Sharon Royka (Columbia Gas), Kurt L. Krieger (Columbia Gas), Barry Lowery (Columbia Gas), Carl Lerander (Columbia Gas), Bruce Glendenning (Columbia Gas). | Columbia Gas Transmission Corporation's 6/30/00 filing in Docket No. RP00-374-000 proposing to modify its capacity auction tariff procedures. The filing was set for public Technical Conference by Commission order issued 8/23/00 (92 FERC P 61,173). | | | | |
| 885 | Koves, Scott, E. | Office of General Counsel | MTR | Yes | The Commission (76 FERC P 61,351) | I have no records of who was present. I don't recall if I was present. | Florida Gas Transmission Company's proposed changes to terms and conditions of service, including allocation of storage costs, filed in Docket No. RP96-366-000 on 8/30/96, and set for technical conference by order issued 9/30/96 (76 FERC P 61,351). | 10/29/1996 | | Yes. A proposal to settle the issues set for technical conference was filed by Florida Gas Transmissin Company on 12/10/96, was approved by Commission letter order on 1/16/97 (78 FERC P 61,038). | |

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| 886 | Koves, Scott, E. | Office of General Counsel | MTR | Yes | The Commission (86 FERC P 61,125) | I have no records of who was present. | Florida Gas Transmission Company's annual cash-out report filed on 12/18/98 in Docket No. RP99-186-000 and set for technical conference by Commission letter order issued 2/10/99 (86 FERC P 61,125). | 3/11/1999 | | Yes. On 5/26/99, the Commission issued a letter order on technical conference report, approving joint motion for approval of waivers, including a proposal of Florida Gas Transmission Company to not increase non-compliance penalties and to direct bill erroneous refunds, and terminating proceeding (87 FERC P 61,200). | |
| 887 | Koves, Scott E. | Office of General Counsel | MTR | Yes | I don't know. | I don't have a record of the attendees, but I recall that it was widely-attended by many of the intervenors and also by Commission staff assigned to the case. It was a publicly-noticed settlement conference in Transwestern Pipeline Company, et al., Docket No. RP93-34-000, et al. (Notice issued April 5, 1995), and Commission records will reflect the list of intervenors in each docket. | Many issues regarding Transwestern rate, service, and certificate matters, but principally the issue of the reallocation of costs of turned-back capacity. | 4/12/1995 | | Yes. On May 2, 1995, Transwestern filed a contested settlement of many issues in the docketed proceedings and, in an order issed July 27, 1995 (72 FERC P 61,085, rehearing denied, October 17, 1995 at 73 FERC P 61,089), the Commission approved the settlement. | |
| 888 | Kozlowski, Hadas Z. | Office of General Counsel | MTR | No | | | | | | | |
| 889 | Krauthamer, Michael, | Office of Administrative Law Judges | n/a | No | | | | | | | |

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| 890 | Krug, James M. | Office of Markets, Tarriffs, and Rates | Information Technology and Organizatio nal Manageme nt | No | | | | | | | |
| 891 | Lacy, Michael P. | Office of Markets, Tarriffs, and Rates | Central | Yes | Kevin Madden | Stephanie W. Miller, V.P.-Customer Services for Florida Gas Transmission Company Carolyn Berry -(Ofc. Economic Policy)- FERC FIRST Project | Enron's reengineering initiatives. Customer service teams of 8 to 10 decreased to about 5 members. Difficulty of performing peer reviews and 360 degree reviews for performance evaluation purposes. Teams mature in about 5 years, when significant cost and work efficiencies are realized. | 10/21/1998 | | No impact on regulatory filings or cases. Best practices and experiences discussed by FERC FIRST Project Teams. | |
| 892 | Lacy, Michael P. | Office of Markets, Tarriffs, and Rates | Central | Yes | Kevin Madden | James B. Fallon, V.P. for Power Trading - Enron Capital & Trade Resources Corporation | Tour of trading floor. | 10/21/1998 | | None. | |
| 893 | Lacy, Michael P. | Office of Markets, Tarriffs, and Rates | Central | | Kevin Madden | Judy Gomez - V.P. Gas Trading - Enron Capital and Trade Resources Corporation Carolyn Berry, Ofc. Of Economic Policy - FERC FIRST Project | Tour of trading floor. | 10/21/1998 | | | |
| 894 | Lacy, Michael P. | Office of Markets, Tarriffs, and Rates | Central | | Kevin Madden | Judy Gomez - V.P. Gas Trading - Enron Capital and Trade Resources Corporation Carolyn Berry, Ofc. Of Economic Policy - FERC FIRST Project | Tour of trading floor. | 10/21/1998 | | None. | |

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| 895 | Lacy, Michael, P. | Office of Markets, Tariffs, and Rates | Central | Yes | Kevin Madden | Stanley Horton, President - Enron Gas Pipeline Group Carolyn Berry,(Ofc of Economic Policy) Ferc First Project | (1)Natural gas rate policies - capacity auctions, seasonal rates, volumetric rates, SFV vs. other rate design methodologies (2) Enron reengineering efforts | 10/21/1998 | | No impact on Commission rate policies. FERC FIRST - Best teaming practices and experiences noted for further discussion within "Promote Competitive Markets Team" | |
| 896 | LaFave, Mary C. | Office of Markets, Tariffs, and Rates | Tariffs and Rates-West | No | | | | | | | |
| 897 | Lafferty, Ronald N. | Office of Markets, Tariffs, and Rates | Rates - West | No | | | | | | | |
| 898 | Lagnion, William, N | Office of Energy Projects | D2SI-PRO | No | | | | | | | |
| 899 | Lake, Julia A. | Office of General Counsel | Markets, Tariffs and Rates | No | | | | | | | |
| 900 | Lane, Dennis | Office of General Counsel | Solicitor's Office | Yes | Jeffrey D. Watkiss | Mr. Watkiss and Mr. Lane | Attempt to get FERC to file amicus brief or to intervene in California class action lawsuit. | | August-September 2001 | No | FERC is frequently asked to intervene in federal district court or the state trial court actions. We almost always decline such requests. |
| 901 | Larcamp, Daniel L. | Office of Markets, Tariffs, and Rates | Front office | Yes | Enron | see response to Boxer letter | reporting requirements for gas sales in California | 6/28/2001 | | no | As reported in the Boxer response I do not recall who exactly was present at this meeting |

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| 902 | Larcamp, Daniel L. | Office of Markets, Tarriffs, and Rates | Front office | Yes | OMTR | 12 outsiders and 29 FERC staff--see details on report by Saida SHallan | comparabiltty of transmission service;efficient RTO formation and operation | 5/30/2001 | | no | this was an outreach session with the Electric Power Supply Association and several of its members. One person was from Enron |
| 903 | Larcamp, Daniel L. | Office of Markets, Tarriffs, and Rates | Front office | Yes | OMTR | 10 outsiders;18 FERC staff--see summary by Saida Shaalan | RTO functions | 7/5/2000 | | no | this was an outreach meeting with EPSA--one Enron employee attended |
| 904 | Larcamp, Daniel L. | Office of Markets, Tarriffs, and Rates | Front office | Yes | OMTR | 15 outsiders;25 FERC staff | 636 issues,pipeline rate flexibility,higher pipeline ROEs,eletric and gas convergence | 7/7/2000 | | no | this was an OMTR outreach meeting--further details in Saida Shallan's writeup |
| 905 | Larcamp, Daniel L. | Office of Markets, Tarriffs, and Rates | Front office | Yes | can't recall | Stan Horton and others from Enron-see response to Boxer letter | view Enron pipeline operations center and trading floor | 7/18/2000 | | no | |
| 906 | Larcamp, Daniel L. | Office of Markets, Tarriffs, and Rates | Front office | Yes | Commission staff | see response to Boxer letter | view Enron's trading floor as part of trip to assess necessary capabilities for staff's Market Observation Resouce Center | 12/7/2000 | | no | also viewed ElPaso's and Dynergy's trading floors on this trip |
| 907 | Larcamp, Daniel L. | Office of Markets, Tarriffs, and Rates | Front office | Yes | Enron | Joe Hartsoe(Enron),Joan Dreskin(INGAA) | NOPR on affiliate issues | | 2000/2001 | no | recall two meetings on this topic |

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| 908 | Larcamp, Daniel L. | Office of Markets, Tarriffs, and Rates | Front office | Yes | Enron | Joe Harsoe | current gas issues | | 2000/2001 | no | |
| 909 | Larcamp, Daniel L. | Office of Markets, Tarriffs, and Rates | Front office | Yes | Commission staff | Joe Hartsoe (Enron),and representatives from California IOUs and generators, and Cal ISO | Commission orders | | 2000/2001 | no | meetings were intended to provide details of Commission orders on California/West power matters just voted by the Commission. Briefings took place at the same time press was being briefed on order details |
| 910 | Larcamp, Daniel L. | Office of Markets, Tarriffs, and Rates | Front office | Yes | INGAA | do not have records--one or two Enron representatives attended | current gas issues | | Fall 2001 | no | This was INGAA fall meeting; I was a speaker |
| 911 | Larcamp, Daniel L. | Office of Markets, Tarriffs, and Rates | Front office | Yes | INGAA | pipeline executives--do not have list of attendees--believe Stan Horton fron Enron was present | gas issues | | 2000/2001 | no | INGAA Board of Directors meeting--I was a speaker |
| 912 | Larcamp, Daniel L. | Office of Markets, Tarriffs, and Rates | Front office | Yes | INGAA | pipeline representatives ,Shelton Cannon and Don Gelinas--OMTR staff | pipeline locations | | Jun-99 | no | overview of gas pipelines for electric staff |
| 913 | Larcamp, Daniel L. | Office of Markets, Tarriffs, and Rates | Front office | Yes | FERC staff | 16 FERC staff, 14 members of EPSA, including Joe Hartsoe from Enron | pre-Order 2000 issues | 7/12/1999 | | no | |

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| 914 | Larcamp, Daniel L. | Office of Markets, Tarriffs, and Rates | Front office | | EPSA | do not have attendee list but think one or more Enron reps were present | current electric issues | | 2000/2001 | no | annual meetings--was one of several speakers. |
| 915 | Larcamp,Daniel,L | Office of General Counsel | Electric Rates | Yes | Enron | Dan Larcamp,Tony Ingram(FERC staff),Joe Hartsoe,Jack Tompkins,John Echols,Wand Curry,David Poe,Joe Feltz,Mike Naeve(Enron employees or counsel) | Enron/Portland General merger | 8/20/1996 | | no but merger ultimately was approved | this was a prefiling meeting |
| 916 | Lawrence, Lynette T. | Office of General Counsel | General and Administrative Law | No | | | | | | | |
| 917 | Lawrence, Philip R. | Office of the Chief Information Officer | Infrastructure & Operations | No | | | | | | | |
| 918 | Lawson, Quentin | Office of General Counsel | Energy Projects | Yes | FERC staff | David Heintzman of Portland General Electric Co. John Blair (FERC staff), Steve Hocking (FERC Staff) and myself (Quentin Lawson, OGC) | FERC staff tour of Oak Grove,Nork Fork and Willamette hydroprojects (Project Nos. 135, 2195 and 2233)and meeting regarding contemplated relicensing of above named projects. | 2/7/2001 | | No action | |
| 919 | Lawson, Quentin, A. | Office of General Counsel | Energy Projects | Yes | ferc staff | Julie Kiel, Portland General ElectricCo. John Blair (FERC staff),and myself. and myself | Meeting regarding proposed relicensing of North Fork and Oak Grove hydro Project Nos. 135 and 2195 | 12/7/2000 | | No action | |
| 920 | Leach, Kimberly A. | Office of External Affairs | OEA | No | | | | | | | |

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| 921 | Leahy, Deborah B. | Office of General Counsel | Markets, Tariffs & Rates | No | | | | | | | |
| 922 | LeBlanc, Karen E. | Office of the Chief Informati on Officer | Customer Support, COTS | No | | | | | | | |
| 923 | Lee, Chin Y. | Office of Energy Projects | D2SI-PRO | No | | | | | | | |
| 924 | Lee, Chin Y. | Office of Energy Projects | D2SI-PRO | No | | | | | | | |
| 925 | Lee, Eugene | Office of Markets, Tariffs, and Rates | CEA | No | | | | | | | |
| 926 | Lee, Francis | Office of Energy Projects | D2SI | No | | | | | | | |
| 927 | Lee, Linda L. | Office of General Counsel | Administrati ve Litigation | Yes | FERC Staff/ Pacific Gas & Electric Co., | FERC Staff, Pacific Gas & Electric Co., the CPUC, PG&E's wholesale customers, transmission users (including Enron, and other parties to Docket Nos. ER97-2358, ER98-2351 and ER98-2567. | The transmission revenue requirement and rate design for PG&E's transmission facilities | | Dec. 1997 - April 1999. | The Commission has yet to act on the settlement. | |
| 928 | Lee, Linda L. | Office of General Counsel | Administrati ve Litigation | Yes | Commission order in EL00-95 | All parties to the proceeding (approximately 170)including Enron and Portland General. This was a settlement conference befor the Chief Judge. | Potential refunds from the disfunctional California markets. | | June 25, 2000 - July 9, 2001 | The Commission set the matter for hearing. | Private meetings lasting no more that 10 minutes each were held with each party and the Chief Judge to announce what a party was willing to give as a refund. |

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| 929 | Lee, Linda L. | Office of General Counsel | Administrative Litigation | Yes | FERC Staff/PG&E/SoCal Edison | All parties in Docket Nos. ER98-441,ER98-495, and ER98-496, including Enron.(approximately 125 people). | The contract terms and conditions for reliability must run units in California | | January 1998 - March 1999 | Commission approved the settlement agreement with the contracts for RMR units. | |
| 930 | Lee, Linda L. | Office of General Counsel | Administrative Litigation | Yes | Commission order in Docket No. EL00-95 | All parties to the proceeding including Enron and Portland General | Ongoing trial procedures | | 08/01/01 - continuing | None pending | |
| 931 | Lee, Linda L. | Office of General Counsel | Administrative Litigation | Yes | Commission/ Chief Judge in ER00-2019 | All parties to the proceeding, including Enron. | Settlement discussions on the rate for access to the transmission facilities under the control of the Cal ISO | | June 2000 - continuing | None pending | |
| 932 | Lee, Linda L. | Office of General Counsel | Administrative Litigation | Yes | Sierra Pacific/FERC Staff(corrected) | Sierra Pacific, FERC Staff and all interveners in Docket No.ER99-28, including Portland General | Settlement discussion relating to the interconnection of the Alturas line running from Reno, Nevada to Alturas, California. | | March 11 - 08/19/99 | Initial Decision pending before the Commission. | |
| 933 | Lee, Linda L. | Office of General Counsel | Administrative Litigation | Yes | Commission order in EL01-10 | All parties in Docket No. EL01-10, including Enron and Portland General | Pre-trial conferences and trial on whether the price for electricity in the Pacific Northwest for the period Dec. 25, 2000, through June 20, 2001, should be mitigated. | | 08/01/01 - 09/24/01 | Pending before the Commission | |
| 934 | Lee, Linda L. | Office of General Counsel | Administrative Litigation | Yes | Commission order | All parties to the Forward Contracting disputes in California, Docket No. EI00-95. This includes Enron and Portland General. | Whether generators and marketers were willing to enter into forward contracts with California utilities to supply energy in California | | December 2000 - January 2001 | No action on this subject. | |
| 935 | Lee, Linda L. | Office of General Counsel | Litigation | Yes | Trial Staff, Parties to the case | Parties to Docket No ER96-714 | Standard costs | 6/18/1905 | | Commission accepted the Settlement | Portland General was an intervenor |
| 936 | Lee, Linda L. | Office of General Counsel | Litigation | Yes | Trial Staff, Parties to the case | Parties to Docket No. OA96-161, ER96-697 and ER97-4468 | Transmission Rates | | 1997-2001 | Commission accepted the Settlement | Enron Marketing was an intervenor |

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| 937 | Lee,Eddie,NMI | Office of Energy Projects | Division of Environmental and Engineering | No | | | | | | | |
| 938 | Leibtag, Ahava R. | Office of the Chief Information Officer | OCIO | No | | | | | | | |
| 939 | Leiss, John, S. | Office of Energy Projects | Division of Environmental & Engineering Review | No | | | | | | | |
| 940 | Leitzke, Peter A. | Office of Energy Projects | Division of Environmental and Engineering Review | No | | | | | | | |
| 941 | LeKang, Donald, J. | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communication | Yes | North American Electric Reliability Coun | Between 20 and 100 people attended the meetings. Enron employees who may have participated included: Richard Ingersoll, Charles Young, and Andy Rodriques. | Discussion of electric system reliability and operations. | | July 1997 - November 2001 | None | This response covers several meetings of the North American Electric Reliability Council's (NERC's) Operating Committee, Security Committee, Security Coordinator Subcommittee, Stakeholder Committee, and Board of Trustees. All meetings were publicly noticed on NERC's web page and were broadly attended. There were no direct discussion between the Enron employees and myself. |
| 942 | LeKang, Donald, J. | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communication | Yes | NERC's Electronic Scheduling Collaborati | Several OMTR employees including Kevin Kelly, Marv Rosenberg, and myself. Andy Rodriquez was the Enron participant | The Commissions advanced NOPR on Open Access Same Time Information System (OASIS) Phase II. | 1/17/2001 | | No | Mr. Rodriquez, along with other members of the Electronic Scheduling Collaborative, provided a summary of their progress at producing a consensus filing on electronic communication protocols and business practices for the electric industry. |

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| 943 | Lengenfelder, David | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | Commission Staff | Members of the Commission Staff | Enron On-Line Presentation open to public | 4/18/2000 | | No | This was a demo of there software "Enron On-Line" |
| 944 | Lentini, Alfonso | Office of Markets, Tarriffs, and Rates | East | No | | | | | | | |
| 945 | Leppert, Patricia | Office of Energy Projects | Division of Environme ntal & Engineerin g Review- - HEB-1 | No | | | | | | | |
| 946 | Leslie, Ralph E. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Donna Fulton | Donna Fulton | Cookout | | Summer 1995 | | Cookout at my house and at Donna Fulton's house old friends |
| 947 | Leslie, Ralph, E | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Commission Staff | Mary Kay Miller Steve Kirk Donna Fulton William Cordes Mike Mcgowen Tim Kissner Mary Darveaux Dari Dornan Steve January | Numerous Issues In Northern Natural Gas Company Docket No.RP95-185-000 Section 4 rate case. Field Audit to Omaha Neb. Settlement Conferences at FERC | | June 26, 1995 to Dec. 1995 | A settlement was filed with the Commission and accepted. | |
| 948 | Leslie, Ralph, E | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Commission Staff | Ray Nepal Janet Place Bambi Heckerman Larry DeRoin | Field Audit of Northern Border Pipeline Company Docket No. RP96-45-000 Section 4 rate case and Settlement conferences | | March 18,1996 to September 1996 | A settlement was filed with the Commission and accepted. | |

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| 949 | Leslie, Ralph, E | Office of Markets, Tariffs, and Rates | Litigation | Yes | Northern Natural Pipeline Company | Mary Kay Miller and other Northern Personnel | Mediation Conference on a compressor station fuel filing | | Summer 1997 | The case was sucessfully mediated and a settlement filed with the Commission and accepted. | |
| 950 | Leslie, Ralph, E | Office of Markets, Tariffs, and Rates | Litigation | Yes | Transwestern Pipeline Company | Mary Kay Miller Therese K. Lohman Steve M. Harris | Transwestern Pipeline Company Docket No.RP98-000-et.al California Complaint Hearing | | Summer 2001 | Testimony filed, Trial Held, Pending Commission action | |
| 951 | Leslie, Ralph, E | Office of Markets, Tariffs, and Rates | Litigation | Yes | Various Pipelines and Electric Companies | Enron Representatives that intervened in rate case. | settlement conferences and technical conferences | | 1992 - the present | Various actions were taken depending on the rate case at issue | Enron representatives intervene and attend almost all rate case proceedings at FERC |
| 952 | Leventhal, Jacob | Office of Administrative Law Judges | not applicable | Yes | Commissiom order setting issues for hea | Attorneys and witnesses for Transwestern and other parties. All appearances are noted in the public record of this proceeding in Docket No. ER97-288-009 et al. | Formal Hearings in Transwestern Pipeline Company, Docket No.RP97-288-009 et al | | 08/29 through 08/30/2001 | I issued an Initial Decision on October 15, 2001 | This was a public hearing on four issues set down by the Commission for trial in these dockets. I have copies of the testimony submitted and briefs of Transwestern Pipeline Company and all the other participants. The originals are part of the public record of this proceedings. |
| 953 | Lewis, Annette L. | Office of Markets, Tariffs, and Rates | Division of Administration and Case Tracking | No | | | | | | | |
| 954 | Lewis, Mary L | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |

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| 955 | Lieb, Louis | Office of Markets, Tariffs, and Rates | west | Yes | FERC staff | Cheum Ni, Harris Wood, Laura Welsch, Gary Marenholz and other FERC staff, numerous Northern Natural and customer groups | Northern's Order No. 637 compliance in Docket No. RP00-404-000 | | January 23 24, 2001 | not that I know of. | this was a large public meeting, with many staff as well as customer groups in attendance. |
| 956 | Lippert, Robert, M | Office of Markets, Tariffs, and Rates | Central, Group 4 | No | | | | | | | |
| 957 | Lippert, Robert, M | Office of Markets, Tariffs, and Rates | Central, Group 4 | No | | | | | | | |
| 958 | Lloyd-Bey, Cheryll | Office of General Counsel | OGC, Administrati ve Litigation | No | | | | | | | |
| 959 | Llucas, Camille M. | Office of Markets, Tariffs, and Rates | Litigation | Yes | The Commission, CAISO, Staff | CAISO, Staff, Intervenors | The Responsible Participating Transmission Owner Agreements filed by the CAISO for Pacific Gas & Electric, and Southern California Edison. | | Various Meetings | On July 16, 1999, the Commission accepted an offer of settlement | |
| 960 | Long, Joseph, H | Office of General Counsel | Administrati ve Litigation | Yes | Betsy Carr, Esq. | Betsy Carr, Jeff Watkiss, and Joseph Hartsoe on behalf of Enron Power Marketing, Inc., and Coral Power LLC Michael Donnini, Joseph Long, Stanley Berman, Edward Ristway and Morris Margolis on behalf of FERC Trial Staff | ISO-New England, ER98-3554-000: The allocation of ISO-New England, Inc.'s administrative costs to intermediate power trades. | | 1/1/99 - 4/1/99 | No | |

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| 961 | Long, Joseph, H | Office of General Counsel | Administrative Litigation | Yes | FERC Settlement Judge William Cowan | Donna Fulton and Sarah Novosel on behalf of Enron Power Marketing, Inc. Michael Donnini, Suzanne McBride, James Keegan, Joseph Long, David Cain and Joseph Kane on behalf of FERC Trial Staff Representatives of various other participants in this proceeding | ISO-New England, ER01-316-000: Settlement discussions concerning ISO-New England, Inc., Involving the allocation of ISO-New England's administrative costs to various users of its services | | 1/1/01 - 6/1/01 | No | |
| 962 | Long, Joseph, H | Office of General Counsel | Administrative Litigation | Yes | FERC Staff and other parties | New England Power Pool (NEPOOL) Docket No. OA97-237 Can't remember in detail, but representatives of almost all parties to the case came to some meetings | Settlement conferences to resolve NEPOOL's Open Access Transmission Tariff filing | | 2/28/97 - 10/99 | The case was settled and the Commission accepted the settlement on 7/1/99 | |

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| 963 | Longenecker, William | Office of Markets, Tariffs, and Rates | Policy Innovation & Communication | Yes | I initiated the phone conversation. | Only Mr. Robin Kittle of Enron and myself were on the telephone. | The Commission had previously announced by public notice that was issued on January 31, 2000, that the Commission intended to conduct 5 collaborative workshops during March and April 2000 (one in Las Vega, Cincinnati, Philadelphia, Kansas City and Atlanta) to promote the formation and development of Regional Transmission Organizations (RTOs). I was tasked with contacting about 30 consumer, industry, and regulatory representatives to get some ideas on interesting topics for the Kansas City workshop. Mr. Robin Kittle was one of these contacts. He suggested that the Kansas City workshop agenda cover discussion on how individual stakeholders might carrythrough with their ideas on RTO proposals. | 2/16/2000 | | I do not believe that the Commission took any specific action in response to Mr. Kittle's suggestion. The Commission issued a second public notice about the Kansas City workshop on March 20, 2000. The notice indicated that the meeting was to be held on March 29-30, 2000, and further that the meeting was open to all interested parties. | |
| 964 | Longenecker, William | Office of Markets, Tariffs, and Rates | Policy Innovation & Communication | Yes | FERC | Several hundred people including Joe Hartsoe of Enron | Promotion of RTO's at FERC's Kansas City RTO collaborative workshop | 3/29-30, 2000 | | No | |
| 965 | Looney, James Timot | Office of Energy Projects | DEER | No | | | | | | | |

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| 966 | Lopez, Dolores, Calde | Office of Administ ralive Law Judges | same | No | | | | | | | no meetings/not applicable. |
| 967 | Lord, David, W | Office of Energy Projects | D2SI | Yes | Bob Steele, Engineer for PGE | David Lord | Mr. Steele had volunteered to have PGE provide refreshments for the morning break during one day of the upcoming workshop on the Independent Consultants sponsored by D2SI. We discussed how and when the refreshments were to be provided for the first day o | | Feburary 2001 | None other than thanks. | |
| 968 | Lord, Melissa, M | Office of Markets, Tarriffs, and Rates | Central | No | | | | | | | |
| 969 | Lowe, Debra A. | Office of Markets, Tarriffs, and Rates | West | Yes | Staff | Various Northern Natural employees, customers of the pipeline and intervenors; Staff included Harris Wood (counsel), Fred Ni, Debra Lowe, and Irene McCallister. | Order No. 637 Technical Conference in Docket No. RP00-404 | 1/23/2001 | 1/24/2001 | An order was issued on February 1, 2002 resolving some of the issues; Northern will make revised filing on March 1, 2002. | |

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| 970 | Lowe, Debra A. | Office of Markets, Tariffs, and Rates | West | Yes | Staff | Debra Lowe, Patricia Enoch-Johnson, Andrew Bieltz with the Commission Staff; Robert Catlin, Leonard Wright, and Bill Hunt with Questar Corporation (operator of Overthrust Pipeline) Company). See Comments below | Field Audit in Overthrust's general section 4 rate case proceeding in Docket No. RP97-301 | 7/6/1997 | 7/11/1997 | No. | Overthrust Pipeline is operated by Questar Pipeline Co. Questar is also the pipeline's majority interest owner (72%), partnering with Colorado Interstate and Natural Gas Pipeline. Prior to 2000, an Enron affiliate, Northern Overthrust Pipeline Co. had a 18% interest in the partnership. Effective January 1, 2000, Questar purchased Enron Overthrust Pipeline's 18% interest in the Overthrust Pipeline. (See April 3, 2000 press release issued by Questar Corporation--on web). |
| 971 | Lowe, Debra A. | Office of Markets, Tariffs, and Rates | West | Yes | Staff | Scott Brown (Counsel), Robert Catlin and Leonard Wright (Questar employees); various customers; and Debra Lowe, Donald Williams (retired), Patricia Enoch-Johnson, George Shriver, Andrew Bieltz with Staff. | Settlement conference convened in Overthrust's Docket RP97-301 rate case | 8/27/1997 | | Settlement was subsequently filed and approved by order issued April 1, 1998. | |
| 972 | Lowe, Debra A. | Office of Markets, Tariffs, and Rates | West | Yes | Staff | Scott Brown (Counsel/Questar) and Robert Catlin (Questar); Edith Gilmore (Counsel/Staff) and Debra Lowe with Staff | Conference calls to discuss settlement proposal in Overthrust Pipeline Company's Docket No. RP00-2 rate case. Conference calls (3-4) occurred during February and March 2000. | | Feb. and March, 2000. | Settlement was subsequently filed and approved by the Commission in an order issued July 13, 2000. | |
| 973 | Lucas, Camille M | Office of Markets, Tariffs, and Rates | Litigation | No | | | | | | | |

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| 974 | Lucas, Camille M. | Office of Markets, Tariffs, and Rates | Litigation | Yes | Pacific Gas & Electric Company/ Staff | PG&E, Commission staff, and intervenors (see service list) | PG&E's proposed network transmission, firm and non-firm point-to-point transmission, and ancillary services tariffs filed in Docket NO. ER95-980-000 and OA95-28-000, in response to the Commission's proposed rulemaking on open access non-discriminatory transmission services. | 7241995 | various meetings through 1998 | A Partial settlement was filed on February 6, 1998 and Certified on March 17, 1998. The settlement was accepted February 7, 2001. | |
| 975 | Lucas, Camille M. | Office of Markets, Tariffs, and Rates | Litigation | Yes | San Diego Gas & Electric Company/Staff | San Diego Gas & Electric, Staff, and intervenors (see service list). | San Diego's proposed open access network and point-to-point transmission tariffs. | 1/22/1996 | various meetings | On June 28, 1996, the Commission accepted San Diego's offer of settlement. | |
| 976 | Lucas, Camille M. | Office of Markets, Tariffs, and Rates | Litigation | Yes | San Diego Gas and Electric Company/Staff | San Diego Gas & Electric Company, Staff, and Intervenors. | San Diego's implementation of rates terms and conditions of the Commission's pro forma transmission tariffs. | 1/22/1996 | various meetings | An Initial Decision was issued August 12, 1996. | |
| 977 | Lucas, Camille M. | Office of Markets, Tariffs, and Rates | Litigation | Yes | The Commission | The California ISO, FERC staff, intervenors (see service list) | Outstanding issues filed by the CAISO in compliance with a September 11, 1998 Order. | | | On April 28, 1999, the Commission accepted for filing the report on outstanding issues and established further procedures. | |
| 978 | Lucas, Camille M. | Office of Markets, Tariffs, and Rates | Litigation | Yes | The Commission, CAISO, Staff | All parties in the proceeding. | Interconnection Agreements filed in Docket Nos. ER98-1028-000, ER98-1029, et. al. | | | The settlement filed October 2, 1998, was accepted by the Commission on May 28, 1999. | |

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| 979 | Lucas, Camille M. | Office of Markets, Tariffs, and Rates | Litigation | Yes | CAISO. Staff | All parties in the proceeding. | Meter SErvice Agreements filed by the CAISO in Docket No. ER98-1499-000 et al. | | Various Meetings | The Commission accepted a settlement in this proceeding on February 24, 2000. | |
| 980 | Lucas, Camille M. | Office of Markets, Tariffs, and Rates | Litigation | Yes | Pacific Gas & Electric/Staff | All parties in the proceeding. | Transmission Owner Tariff rates filed by PG&E in Docket NO. ER97-2358-000 | | Various Meetings | Pending the issuance of a Commission Opinion | |
| 981 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Commission | do not have a record | technical conference re: Tennessee's proposed firm backhaul service in Docket No RP98-99-000 | 4/8/1998 | | Commission accepted Tennessee's filing w/ modifications - order dated approx. 07/31/1998 Indicated that Enron believed that its concerns were addressed and resolved via the tech conference and Tennessee's revised proposal | I have no memory of who attended or participated in this conference and have no records relating to it other than what is reflected in RIMS. |
| 982 | Lucas, Ronald Georg | Office of Markets, Tariffs, and Rates | Issue Identificatio n and Resolution Manageme nt | No | | | | | | | |
| 983 | Lucas, Ronald Georg | Office of Markets, Tariffs, and Rates | Issue Identificatio n and Resolution Manageme nt | No | | | | | | | |

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| 984 | Lucas, Ronald George | Office of Markets, Tarriffs, and Rates | Issue Identification and Resolution Management | No | | | | | | | |
| 985 | Lucas, Ronald George | Office of Markets, Tarriffs, and Rates | Issue Identification and Resolution Management | No | | | | | | | |
| 986 | Luna, Janice Glasgow | Office of Markets, Tarriffs, and Rates | Administrative and Case Tracking | Yes | Commission | Transwestern staff, FERC staff, RS intervenors, State PUC's, Transwestern shippers | Informational discussion of Transwestern's restructuring plan in RS92-87 | 7/7/1992 | | Commission order on restructuring was issued December 17, 1992 for Transwestern | Nothing seemed to be out of the ordinary for this meeting followed by comments and reply comments. |
| 987 | Luna, Janice Glasgow | Office of Markets, Tarriffs, and Rates | Administrative and Case Tracking | Yes | Commission set dates for conferences | Transwestern staff, FERC staff, State PUC's, Transwestern's shippers, intervenors, commentors and protestors to RP93-34 Kenneth Ende lead attorney with Kathleen Dias | General Section 4 rate case (full rate case) Prehearing conferences and settlement conferences | | 01/26/1993 - 11/04/1993 | Rate settlement approved 3/30/1994 | Nothing seemed unusual in the meetings - parties were trying to settle |
| 988 | Luna, Janice Glasgow | Office of Markets, Tarriffs, and Rates | Administrative and Case Tracking | Yes | Commission | Northern staff, FERC staff, State PUC, intervenors, commentors, protestors and Northern's shippers Rhodell Fields led Tech conf | RP98-203 technical conference as a result of Section 4 General Rate Case proposal to revise tariff terms and conditions | | 06/16/1998 - 07/15/1998 | Commission issued order on Technical Conference 10/29/1998 in RP98-203 | Nothing seemed unusual in the conferences, parties disagreed but came to resolution |
| 989 | Luong, Thanh H. | Office of Markets, Tarriffs, and Rates | Energy Development | Yes | Richard Tabors (consulting for Enron) | Richard Tabors, Enron's lawyer, Dick O'Neill, Ron Rattey, Bill Meroney, Roger Morie, Pat Alexander, and myself. | Manipulating of ATC in the eastern interconnection | May-00 | | Not that I am aware of | |

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| 990 | Lutticken, Gerard M. | Office of Energy Projects | Dam Safety and Inspections - SFRO | No | | | | | | | |
| 991 | Lykens, Alisa M | Office of Energy Projects | DEER | Yes | Alisa Lykens | Certificates Manager, Northern Natural Gas Company | RE: Docket No. CP97-40, Abandonment of Facilities by Sale (Section 7b of the Natural Gas Act). The telephone call was placed for clarification in the filing as to whether facilities would be needed to be constructed by Northern Natural's affiliate, Enron | | | NEPA determination: It was determined by staff that no environmental impact was expected with the sale of facilities since no construction was involved. | |
| 992 | Lyles, Tina C. | Office of Markets, Tariffs, and Rates | Central | No | | | | | | | |
| 993 | Lynch, Joseph C. | Office of General Counsel | Markets, Tariffs and Rates | No | | | | | | | |
| 994 | Lynch, Linda Marie | Office of Commissioner Breathitt | n/a | No | | | | | | | |
| 995 | Lynch, Mary | Office of the Secretary | Office of the Secretary | No | | | | | | | |
| 996 | Lyon, Andrew L. | Office of General Counsel | MTR | Yes | Commission | Representatives of numerous parties to the proceeding, including Rebecca Cantrell of Enron Corporation and Jane Tholt of Enron North America Corporation | Technical conference addressing capacity allocation on the El Paso Natural Gas Company system. | 7/18/2001 | | Action is pending. | |

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| 997 | Lyon, John C., Jr. | Office of Energy Projects | Division Of Dam Safety, Atlanta Regional Office | No | | | | | | | |
| 998 | Machuga, Robert, T | Office of Markets, Tariffs, and Rates | Division of Tariffs & Rates - Central | Yes | I do not know. | I do not know the names of attorneys or members of Portland General Electric Company in attendance at settlement negotiation meetings. FERC Staff members in attendance included: Diane B. Schratwieser - FERC Staff Attorney; Linda M. Patterson - FERC Technical Staff; and Robert T. Machuga - FERC Technical Staff. It is possible that other Staff members were present at the meetings. | Re: Portland General Electric Company, Docket No. ER98-3759-000 Settlement negotiations regarding routine company-specific rate investigation. | | 11/98 through 03/99 | The Commission issued a letter order on 9/20/99 approving the 3/1/99 uncontested offer of settlement after the Administrative Law Judge certificated the settlement to the Commission on 4/2/99. | I began employment with the Commission on 11/8/98 and was assigned to this electric rate proceeding in the Office of Electric Power Regulation. |
| 999 | Machuga, Robert, T | Office of Markets, Tariffs, and Rates | Division of Tariffs & Rates - Central | Yes | I do not know. | I do not know the names of attorneys or members of Portland General Electric Company in attendance at settlement negotiation meetings. FERC Staff members in attendance included: Diane B. Schratwieser - FERC Staff Attorney; Linda M. Patterson - FERC Technical Staff; and Robert T. Machuga - FERC Technical Staff. It is possible that other Staff members were present at the meetings. | Re: Portland General Electric Company, Docket No. ER98-3759-000 Settlement negotiations regarding routine company-specific rate investigation. | | 11/98 through 03/99 | The Commission issued a letter order on 9/20/99 approving the 3/1/99 uncontested offer of settlement after the Administrative Law Judge certificated the settlement to the Commission on 4/2/99. | I began employment with the Commission on 11/8/98 and was assigned to this electric rate proceeding in the Office of Electric Power Regulation. |
| 1000 | Macpherson, Janice C | Office of General Counsel | omtr | Yes | | | | | | | I have no meeting notes in my files, but I often don't take or don't keep notes, so I can't swear I was never at a meeting with enron. |
| 1001 | Madeline H. Lewis | Office of the Executiv e Director | EEO | No | | | | | | | |

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| 1002 | Magos, Zeny C. | Office of Markets, Tarriffs, and Rates | West 4 | Yes | I assume PGE or NW Natural Gas Co. | Do not recall. I know Mike Coleman and David Hunger. | Proposed sale of Portland General Electric to NW Natural Gas Co | 10/19/2001 | | No | |
| 1003 | Mancke, Helen E | Office of General Counsel | Markets, Tariffs and Rates | No | | | | | | | |
| 1004 | Manganello, Rodney, | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Settlement Conferences Florida Gas Tran | Company,Staff,Intervenors | Rate case issues, rate of return issues were my area. | | 1996 | Eventual settlement | |
| 1005 | Margolis, Morris | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | No | | | | | | | |
| 1006 | Mark, John | Office of Energy Projects | Division of Hydropower Administration and Compliance | No | | | | | | | |

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| 1007 | Marlette, Cynthia A. | Office of General Counsel | Office of General Counsel Front Office | Yes | Public notice of Commission | Don't recall. A broad spectrum of interest groups affected by electric issues. | Over the past decade the Commission has held numerous workshops and generic technical conferences involving issues affecting the electric utility industry (e.g., open access, stranded costs, transmission pricing, regional transmission organizations). Enron would have participated in many of these events, along with a broad spectrum of industry interest groups. | | 1992-2001 | Commission issued rules or policy statements. | |
| 1008 | Marrow, Lorraine M. | Office of Markets, Tarriffs, and Rates | Market Information | No | | | | | | | |
| 1009 | Marsden, Annette | Office of Markets, Tarriffs, and Rates | Rates West | No | | | | | | | |
| 1010 | Marshall, Jean | Office of the Executive Director | Logistics Management | No | | | | | | | |
| 1011 | Marshall, Terrill A. | Office of Markets, Tarriffs, and Rates | Administration & Case Tracking | No | | | | | | | |

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| 1012 | Martin, Dawn K | Office of General Counsel | Litigation | No | | | | | | | |
| 1013 | Martin, James A | Office of Energy Projects | DEER | Yes | Alice Weekely | James Martin | E-mail regarding 1) responses to Florida Dept. of Environmental Protection questions reagrding environmental impacts of pipeline construction; 2) Coordination on environmental data questions from FERC; and 3) Coordination on 01/24/02 letter to FERC regard | | 11/26/01 to 02/11/02 | No | Procedural communications |
| 1014 | Martin, James A | Office of Energy Projects | DEER | Yes | Alice Weekely | James Martin | The Calypso Pipeline Project is being offered for sale by Enron | | 11/29/01 to 11/30/01 | No | |
| 1015 | Martin, James A | Office of Energy Projects | DEER | Yes | ENTRIX, Inc. (3rd Party EIS contractor) | Alice Weekley - Calypso Pipeline | Calypso Pipeline Environmental Impact Statement - Environmental data | | 08/01/2001 to 12/01/2001 | No | Isolated communications between ENTRIX, Inc. and Calypso may have ocurred in support of effort to compile environmental data for the EIS |
| 1016 | Martin, James A. | Office of Energy Projects | DEER | Yes | James Martin | Donna Martens - Northern Natural | Status of determination of new extra construction workspace impacts | | 04/22/1999 to 05/03/1999 | None | |
| 1017 | Martin, James A. | Office of Energy Projects | DEER | Yes | Stephen Veatch | James Martin | The third-party contract to prepare an environmental impact statement for the Calypso Pipeline Project. | | 05/03/2001 to 05/07/2001 | I reviewed and commented on the request for proposals (RFP) being issued by Calypso to acquire a third-party contractor | |

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| 1018 | Martin, Judith S. | Office of the Chief Informati on Officer | Knowledge and Information Manageme nt | Yes | Public Reference Room | Bill McDermott OED/CFO Kathy Quijada OED/CFO Arve Milner OED/CFO Judy Martin QED/CFO Cynthia Klenk OED/CFO Jim Orlando CDSI Ann Martin OED/CFO Bill Watson OSEC Curtis Chappell OSEC Beth Taylor OPR Michael Cosgrave TERS Jeffrey Levine Energy Data Corp Justine DandreaGKRS Pet Beton Koch Kathy Mongean Enron Corp Kirsten Sweaney Sonat Stacy Vargeck Akin Gump | User's Forum to find out how to better serve our users | 4/2/1996 | | Various procedures were reviewed to see if we could improve service to the public. | |
| 1019 | Martin, Judith S. | Office of the Chief Informati on Officer | Knowledge and Information Manageme nt | Yes | FERC | No records kept of attendees | User Forums for CIPS and RIMS | | | Based on user comments, system were reviewed to see if improvements could be made and sometimes training was offered. | These forums were held on an irregular basis when staff thought it would be helpful to get user input for improvements to the online systems. |
| 1020 | Martin, Rebecca M. | Office of Energy Projects | Division of Hydropowe r Administrati on and Complianc e | No | | | | | | | |
| 1021 | Martin, Richard, R | Office of Energy Projects | D2SI | No | | | | | | | |
| 1022 | Martin, Valerie V. | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates-East | Yes | FERC | Technical Conference - Several parties attended. Enron or one of affiliates may have been present | To discuss various issues raised by Algonquin Gas Transmission Company's filings (Order No. 637 compliance filing) | 10-Jan-01 | | Algonquin filed a revised compliance to order No. 637 | |

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| 1023 | Martinic, John | Office of Markets, Tarriffs, and Rates | rates central | No | | | | | | | |
| 1024 | Mary C. Lauermann | Office of the Executiv e Director | DRA | Yes | Marv Rosenberg | Marv Rosenberg, Kim Bruno, Perry Brown, Mary Lauermann | EnronOnline | 06/00/2001 | | No | Have no recollection on any Enron employees name that attended the meeting. Check with Marv Rosenberg, he coordinated the whole meeting. |
| 1025 | Mary Trainum | Office of the Executiv e Director | Human Resources & Organizatio nal Manageme nt | No | | | | | | | |
| 1026 | Massey, Jeffie J. | Office of Administ rative Law Judges | OALJ | No | | | | | | | |
| 1027 | Massey, William L. | Office of Commis sioner Massey | | Yes | Joe Hartsoe | Thomas White, Stanley Horton, Terry Thorne | Courtesy call - tell about their reorganization - meet new management team | 7/21/1993 | | No | Meeting scheduled by Donna Glasgow. |
| 1028 | Massey, William L. | Office of Commis sioner Massey | | Yes | Kenneth Lay (reception invitation) | | Reception to meet Enron Board of Directors | 10/12/1993 | | No | This was a social event at the Williard Hotel that I may or may not have attended. |
| 1029 | Massey, William L. | Office of Commis sioner Massey | | Yes | Gary Barber | Ken Harrison, CEO and Dick Reiten, Pres., Portland General Electric | Courtesy | 10/22/1993 | | No | Meeting scheduled by Valerie Mersier. |

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| 1030 | Massey, William L. | Office of Commissioner Massey | | Yes | Donna Fulton | Bill Cordes, P., Northern Natural Gas; Mary Kay Miller, VP, Rates, Northern Natural Gas & Bill Kockenmeister, GC, Northern Natural Gas Co. | Courtesy | 10/28/1993 | | No | Meeting scheduled by Donna Glasgow. |
| 1031 | Massey, William L. | Office of Commissioner Massey | | Yes | Joe Hartsoe | Stan Horton, CEO, Enron Interstate PL | A number of pipeline policy issues. | 5/5/1994 | | No | Meeting scheduled by Donna Glasgow. |
| 1032 | Massey, William L. | Office of Commissioner Massey | | Yes | Joe Hartsoe | Steve Kean, Jeff Skillings, Joe Hartsoe | Bulk power pool | 7/20/1994 | | No | Meeting scheduled by Donna Glasgow. |
| 1033 | Massey, William L. | Office of Commissioner Massey | | Yes | Celia/Gary Barbour | Randy Roach, Steve Larson w/BPA; Marlene Huntsinger, Melinda Horgan, Gary Barbour w/Portland General Electric Co.; Shelly Richardson, Public Power Council; Chris Reese, Bob McKenna w/Puget Sound Power & Light Co; Charlie Black, Tacoma City Light; and Michael Early, Direct Services Industry | Courtesy visit and briefing on the draft Governing Agreement of the Northwest Regional Transmission Assoc. | 9/30/1994 | | No | Meeting scheduled by Valerie Mersier and confirmed by Donna Glasgow. |
| 1034 | Massey, William L. | Office of Commissioner Massey | | Yes | Lorraine Cross or Jerry Halvorsen | Rich Kinder, P & COO, Enron and newly-elected Chair of INGAA and Jerry Halvorsen | INGAA's legislative and regulatory goals during Mr. Kinder's chairmanship | 1/6/1995 | | No | Meeting scheduled by Donna Glasgow. |
| 1035 | Massey, William L. | Office of Commissioner Massey | | Yes | Joe Hartsoe | Jeff Skilling, Ken Rice and Steve Kean w/Enron | Current state of the bulk power markets | 6/21/1995 | | No | Calendar indicates that I was out ill, so meeting was held with Mike Bardee, one of my staff members. Meeting scheduled by Donna Glasgow |
| 1036 | Massey, William L. | Office of Commissioner Massey | | Yes | Ken Lay | Ken Lay | Industry trends | 7/31/1996 | | No | |

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| 1037 | Massey, William L. | Office of Commissioner Massey | | Yes | Joe Hartsoe | Jeff Skillings, Terry Thorne, Steve Kean, Joe Hartsoe | Retail access | 11/12/1996 | | No | Meeting scheduled by Donna Glasgow. |
| 1038 | Massey, William L. | Office of Commissioner Massey | | Yes | Joe Hartsoe | Steve Kean, Joe Hartsoe, William Butler | Seamless tariff | 6/26/1997 | | No | Meeting scheduled by Donna Glasgow. |
| 1039 | Massey, William L. | Office of Commissioner Massey | | Yes | Donna Fulton | Stan Horton, Enron | Courtesy | 9/12/1997 | | No | Meeting scheduled by Donna Glasgow. |
| 1040 | Massey, William L. | Office of Commissioner Massey | | Yes | Donna Fulton | Stan Horton, Bill Cortes and Shelly Corman | Courtesy, overview, update on pipeline (Stan Horton on GISB Board) | 4/17/1998 | | No | Meeting scheduled by Donna Glasgow |
| 1041 | Massey, William L. | Office of Commissioner Massey | | Yes | Jerry Halvorsen, InGAA | Jerry Halvorsen, INGAA and Stan Horton | Pipeline policy | 7/10/1998 | | No | Lunch meeting. Scheduled by Donna Glasgow. |
| 1042 | Massey, William L. | Office of Commissioner Massey | | Yes | Adelia Borrasca w/Heller, Ehrman, White | James Fallon and Joe Hartsoe w/Enron and Michael Stosser of Heller Ehrman | Transmission access | 7/23/1998 | | No | Meeting scheduled by Donna Glasgow. |
| 1043 | Massey, William L. | Office of Commissioner Massey | | Yes | Alfie w/PG&E | Ralph Cavanagh, NRDC; Karen Tomcala, PG&E & Joe Hartsoe, Enron | Electric restructuring | 12/14/1998 | | No | Meeting scheduled by Donna Glasgow. |
| 1044 | Massey, William L. | Office of Commissioner Massey | | Yes | Sara Novosel | Jeff Skilling, Joe Hartsoe, Steven Kean, Richard Shapiro | Update on electricity markets over the summer and RTOs | 9/22/1999 | | No | Meeting scheduled by Donna Glasgow. |

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| 1045 | Massey, William L. | Office of Commis sioner Massey | | Yes | Samantha Slater, EPSA | John Cohen w/Baker & McKenzie; Jimmy Glotfelty, Ron Matlock w/Calpine; Bob Reilley w/Coral EnergyTejas Pwr Gen, Larry Eisenstat, Mike Rustum w/Dickstein Shapiro Morin & Oshinsky; Mary Doyle, Dan King, Clay Smith w/Dynergy; Sarah Novosel w/Enron; Sanford Hartman, Scott Miller w/PG&E Generating Co.; Himesh Dhungel, Elliot Roseman w/PricewaterhouseCoopers LLC; Mary Beth Tighe w/Statoil Energ, Inc.; Scott Helyer w/Tenaska; Julie Simon, Mark Bennet w/EPSA | Meeting with Electric Power Supply Assoc. and several of their Board Members to discuss interconnection policy and generation imbalance issues. | 1/13/2000 | | In the fall of 2001 the Commission initiated a Rulemaking on Interconnection Policy. | Meeting scheduled by Donna Glasgow. |
| 1046 | Massey, William L. | Office of Commis sioner Massey | | Yes | | Bob Shults, Shelley Corman, Joe Hartsoe, Steve Kean | Information session: EnronOnLine web-based global internet transaction and auction system and demonstration of OnLine Trading | 4/18/2000 | | No | This information is based on an e-mail that was sent out to LAN-HQ. The session was held in the Commission Meeting Room. |
| 1047 | Massey, William L. | Office of Commis sioner Massey | | Yes | Joe Hartsoe | Richard Tabors, Joe Hartsoe, Lisa Yoho, Julie Simon and Jeffrey Watkiss | OASIS and price spike study | 5/18/2000 | | No | Meeting scheduled by Donna Glasgow. |
| 1048 | Massey, William L. | Office of Commis sioner Massey | | Yes | Donna Fulton | Stan Horton, Enron; Steve Bergstrom, Dynegy; Jim Templeton, Consultant for End Users and Bill Boswell, Dominion (GISB Board) | Courtesy briefing - GISB Board | 10/19/2000 | | No | Meeting scheduled by Donna Glasgow. |
| 1049 | Massey, William L. | Office of Commis sioner Massey | | Yes | Gerry Owens, Asst. to Jerry Halvorsen | Stan Horton, Shelly Corman, Steve Kean | PL safety | 12/18/2000 | | No | Meeting scheduled by Donna Glasgow. |

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| 1050 | Massey, William L. | Office of Commis sioner Massey | | Yes | Mary Doyle, Dynegy | Julie Simon, EPSA; John Anderson, ELCON; John Hughes, ELCON; Sara Schotland w/Cleary, Gottlieb, Steen; Mike Briggs, Reliant; Mary Doyle, Dynegy; possibly either Joe Hartsoe or Sara Novosel w/Enron and possibly Lorraine Cross | Discuss joint motion filed in Docket No. RM99-2-000 of ELCON, EPSA, Enron Power Marketing, Inc., Reliant Energy Power Generation, Inc. and Dynegy Inc. to convene a technical converence on interregional coordination | 1/18/2001 | | No | Meeting scheduled by Donna Glasgow. |
| 1051 | Massey, William L. | Office of Commis sioner Massey | | Yes | Gerry Owens, Asst. to Jerry Halvorsen | Jerry Halvorsen, INGAA and Stan Horton | Do not recall specifically, but probably pipeline policy. | 5/9/2001 | | No | Lunch meeting. Scheduled by Donna Glasgow. |
| 1052 | Massey, William L. | Office of Commis sioner Massey | | Yes | Cynthia Sandherr | H. Eugene Lockhart, P and CEO, Kathleen Magruder, VP of Law and Government Affairs and Cynthia Sandherr, VP with The New Power Company | Issues impeding new competitors entrance into existing competitive energy markets. | 5/25/2001 | | No | Meeting scheduled by Donna Glasgow. |
| 1053 | Massey, William L. | Office of Commis sioner Massey | | Yes | Laura Sullivan | Steve Kean | Generic energy policy issues | 6/21/2001 | | No | Meeting scheduled by Donna Glasgow. |
| 1054 | Massey, William L. | Office of Commis sioner Massey | | Yes | Laura Sullivan | Mark Frevert, VP, Enron, Sara Novosel, Steve Kean, Linda Robertson | Electricity competition | 9/19/2001 | | No | Meeting scheduled by Donna Glasgow. |
| 1055 | Massey, William L. | Office of Commis sioner Massey | | Yes | Cynthia Sandherr | H. Eugene Lockhart, Cynthia Sandherr and Kathleen Magruder with The New Power Company | Retail energy service providers | 9/24/2001 | | No | Meeting scheduled by Donna Glasgow. |
| 1056 | Massey, William L. | Office of Commis sioner Massey | | Yes | Joe Hartsoe, Enron | William Allison, Pres., Florida Gas Transmission | Courtesy | 6/12/1993 | | No | For this meeting and many others, I was probably accompanied by a member of my staff. Donna Glasgow is my primary appointment scheduler and talks with most people calling to schedule ppointments. In her absence, Valerie Mersier scheduled some appointmen |

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| 1057 | Massey, William L. | Office of Commissioner Massey | | Yes | Joe Hartsoe | Jeff Skilling, Ken Rice, Terry Thorn, Steve Kean & Dee Taglavia (and possibly Joe Hartsoe) | Electric marketing affiliates - where they think the electric industry should go | 1/27/1994 | | No | Meeting scheduled by Donna Glasgow. |
| 1058 | Mastrorocco, Rita M. | Office of the Secretary | Dockets | No | | | | | | | |
| 1059 | Mathura, Randolph E. | Office of Energy Projects | Pipeline Certificates | Yes | Various Enron Pipeline Companies. | Myself. | FERC pipeline construction policies and procedures, courtesy copies of official filings, inquires as to staus of pending applications, and other gerneral type inquiries. | | Several a year. | Not that I can recall. | I do not keep a personal log of such communications. I get many such communications from nearly all the pipelines FERC regulates, and while I am sure some were from Enron Companies, I do not have recall of the specific details for each instance. |
| 1060 | Matthew T. Sweet | Office of the Executive Director | Financial Policy | No | | | | | | | |
| 1061 | Matyas, Douglas E. | Office of Markets, Tarriffs, and Rates | Tariff and Rates - East | Yes | N. American Electric Reliability Council | Numerous industry representatives - approximately 40 to 60 individuals at each meeting, representatives were from utilities, power marketers, public power, industrial consumers, etc. Enron representatives were: Richard Ingersoll, VP - Enron Power Marketing, Charles H. Yeung, Manager - Federal Regulatory Affairs | Developing industry standards for the electric transmission system relating to open access under Commission's Order No. 888, e.g., tagging of transactions, transmission line load relief parameters, etc. | | approx. monthly 1997-1998 | The meetings were identified as the Commercial Practices Working Group. After the group reached consensus on new transmission guidelines, these guidelines were filed with the Commission for approval. | Enron repreesentatives were active participants in the meetings along with other power marketers who were trying to get transmission guidelines developed that would be favorable to power marketers. |
| 1062 | Maynard, Monica A. | Office of Energy Projects | DHAC | No | | | | | | | |
| 1063 | McCallister, Irene A | Office of Markets, Tarriffs, and Rates | Rates West | Yes | FERC | FERC staff and interested parties. List unavailable | Transwestern RP99-481-000, 001 filings. Establish Enhanced firm backhaul service | 11/3/1999 | | Data request to Transwestern. Jan 28, 2000 order accept subject to revision, pro forma sheets filed in -001 as a result of technical conference. | |

# Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 1 | **Name** | **Office** | **Division** | **(a)** | **I. Who Inititated the meeting** | **II. List all that were present at the meeting** | **III. What was the subject matter(s) of the meeting** | **IV. Give the date** | **IV. Date range** | **V. Was any action taken by the Comm. in response to the meeting** | **VI. Other Comments (if any)** |
| 1064 | Mccallister, Irene, A | Office of Markets, Tarriffs, and Rates | Rates West | Yes | FERC | FERC Staff and Interested parties. James R Keegan (OGC) | Northern Natural Gas Co. filings in RP97-275 and TM97-2-50 to establish Periodic Rate Adjustment (PRA) to annually adjust fuel retention percentages and PRA filings | 7/30/1997 | | Commission directed NN to revise true-up mechanism and rejected coverage to electrically driven compressors. Remaining issues are to be resolved by parties in negotiations before a settlement ALJ. | On Nov 14, 1997, ALJ reported settlement in principle achieved Nove 13, 1997 and final settlement may be submitted by Dec 1, 1997. |
| 1065 | Mccallister, Irene, A. | Office of Markets, Tarriffs, and Rates | rates west | Yes | FERC | Northern Natural, parties staff | Initial Order No. 637 compliance filing | 1/23/2001 | 1/24/2001 | No | January 2002, FERC requested Northern Natural to refile its Order 637 compliance filing. |
| 1066 | McCarthy, Arlene T. | Office of General Counsel | General and Administrative Law | No | | | | | | | |
| 1067 | McCartney, Bobbie, J | Office of Administrative Law Judges | OALJ | Yes | per Commission Order | hundreds of parties participated in the Southeast RTO mediation effort over the course of a 45 day time period (See SE RTO Mediation Report issued in docket RT01-100 on 9/10/01 for specifics), and I have been reminded by a memeber of our mediation team that Enron also participated. | Mediation efforts to establish an RTO in the Southeast. (See SE RTO Mediation Report issued in docket RT01-100 on 9/10/01) | | July and August of 2001 | NOPR action is pending, but this is not solely i response to the mediation. (See SE RTO Mediation Report issued in docket RT01-100 on 9/10/01) | I do not recall any communications and/or meetings with Enron other than in the usual course and scope of the mediation effort. |
| 1068 | McCracken, Monica B | Office of the Executive Director | of Organizational | No | | | | | | | |

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| 1069 | McDanal, Malcolm W | Office of the Executive Director | Regulatory Acounitng Policy | Yes | INGAA | Tod Lindholm, Enron; Nick Bacile,Transcontinental Gas PL; Jeff Beason, El Paso NG; Mark Carr and Han Duong, Tenneco Gas; Bruce Evans, Pacific Gas Trans.; Layne Findlay, Southern Natural; Richard Fitzgerald, Natural Gas PL; Greg Gruber, Panhandle Eastern PL; Alan Harris, Trunkline; Susie Harris, Texas Eastern; Todd Hopkinson, Williams Nat. Gas; William Johnson, ANR; Stanley Karczewski, Pacific Gas Trans; John Kivac, Algonquan; Curt Kennedy, Northwest PL; Dennis Kunetka, United Gas PL; Wayne Lundhagen, KN Energy; Gerilynn MacGregor, Tenneco Gas; Paul Ord, Questar; George Petty, Alabama Tennessee Nat Gas; Jack Ralph, Texas Gas Trans; Tom Ricks, El Paso NG; Ramon Sharbutt, Pacific Interstate Trans.; Gary Sheets, CNG Trans.; Bill Suttle, CNG Trans.; Julie Willett, Great Lakes Gas Trans.; Nazzi Zola, Columbia Gas. FERC Participants: Kenneth Anderson, Gregory Berson, James Caruso, Lee Cumberland, Wayne McDanal, Russell Faudree, Joe Frangipane, Janice Garrison, James Guest, Charles Kalaldis, Jerry Roginsky, and Lee Soltz. | Financial accounting and reporting matters of mutual interest. | | | No | This meeting was one of a series, normally held semiannually, referred to FERC Staff/INGAA Accounting Liaison Meetings. |

# Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 1070 | McDanal, Malcolm W | Office of the Executive Director | Regulatory Accounting Policy | Yes | INGAA | James Sanders, Enron Corp; John Ams, INGAA; Nick Bacile, Transcontinental Gas PL; Eugene Bade, KN Energy; Bruce Evans, Pacific Gas Trans; Layne Findlay, Southern Natural Gas ; David Frederick, Panhandle Eastern PL; Stephen Gambill, Natural Gas PL Co. Of America; Alan Harris, Trunkline Gas; Susan Harris, Texas Gas Trans; Christy Horton, El Paso NG; Bill Johnson, ANR; Stanley Karczewski, Pacific Gas Trans; Curtis Kennedy, Northwest PL; John Kivac, Algonquin; Maria Manley, CNG; Cathy MacDonald, Williams Natural Gas; Paul Ord, Questar; Herb Perez, Pacific Interstate Trans; George Petty, Alabama Tennessee Natural Gas; Ramon Sharbuit, Pacific Interstate Trans; Bill Suttle, CNG; Patricia Weiderholt, Northern Border PL; Thomas Weidner, KN Energy; Julie Willett, Great Lakes Gas Trans; Nazzi Zola, Columbia Gas. FERC Participants: Kenneth Anderson, Gregor Berson, James Caruso, Russell Faudree, Joseph Frangipane, Janice Garrison, James Guest, Charles Kalaldis, Wayne McDanal, Ronald Minson, Gerald Roginsky, Gail Smith, Kerry Noone, and Robert Petrocelli | Financial accounting and reporting matters of mutual interest. | | | No | This meeting was one of a series, normally held semiannually, referred to FERC Staff/INGAA Accounting Liaison Meetings. |

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| 1071 | McDanal, Malcolm W. | Office of the Executive Director | Regulatory Accounting Policy | Yes | INGAA | Bob Chandler, Enron; Wayne Allred, Tenneco; John Ams, INGAA; Jim Bourne, Transcontinental Gas PL; Bruce Evans, Pacific Gas Trans; Layne Findlay, Southern NG; David Frederick, Panhandle Eastern PL; Stephen Gambill, Natural Gas PL; Susan Harris, Texas Gas Trans; Tom Hitchcock and Wayne Lundhagen, Interstate Gas Trans; Dan Hornec, Colorado Interstate Gas; Christy Horton, El Paso NG; Bill Johnson, ANR; Stanley Karczewski, Pacific Gas Trans, Curtis Kennedy, Northwest PL; Cathy MacDonald, Williams; A. R. Mullinax, Texas Eastern Trans; Paul Ord, Questar; George Petty, Alabama Tennessee NG; Ramon Sharbutt, Pacific Interstate Trans; Bill Suttle, CNG; Patricia Welderholt, Northern Border; Julie Willett, Great Lakes Gas Trans; Nazzi Zola, Columbia Gas. FERC Participants: James Guest, Janice Garrison, Wayne McDanal, Joseph Frangipane, Gregory Berson, James Caruso, Gerald Roginsky, Charles Kalaidis, and Ronald Minson | Financial Accounting and reporting matters of mutual interest. | | | | This meeting was one of a series, normally held semiannually, referred to FERC Staff/INGAA Accounting Liaison Meetings. |

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| 1072 | McDanal, Malcolm W. | Office of the Executive Director | Regulatory Accounting Policy | Yes | INGAA | Bob Chandler, Northern Natural; John Arns, INGAA; Nick Bacile, Transco; Robert Boyle, Questar Pipeline; Williams Ford, Pacific Gas Transmission; Greg Gruber, Tenneco Gas; Alan Harris, Trunkline Gas; Susan Harris, Texas Gas Transmission; Sara Heidelberg, Algonquin Gas; Todd Hopkinson, Williams Natural Gas; Jeff Jewell, Koch Gateway Pipeline; Bill Johnson, ANR Pipeline; Stanley Karczewski, Pacific Gas Interstate; Curtis Kennedy, Northwest Pipeline; Gerald martin, ANR Pipeline; Cathy MacDonald, Williams Natural Gas; Sandra Meyer, Panhandle Eastern; Robert Moore, Southern Natural Gas; Kris Peters, Koch Gateway Pipeline; Charles Rohr, Tenneco Gas; Bill Suttle, CNG Transmission; Patricia Weiderholt, Northern Plaines Natural Gas; Julie Willett, Great Lakes Gas Transmission; Nazzi Zota, Columbia Gas Transmission. FERC Participants: James Guest, Janice Garrison, Joseph Frangipane, Charles Kalaidis, Dwight Siddell, Wayne McDanal, and James Smith. | Financial accounting and reporting matters of mutual interest | | | No | This meeting was one of a series, normally held semiannually, referred to FERC Staff/INGAA Accounting Liaison Meetings. |

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| 1073 | McDanal, Malcolm W. | Office of the Executive Director | Regulatory Accounting Policy | Yes | INGAA | Bob Chandler and George Fastuca, Northern Natural; John Ams, INGAA; Jim Bourne, Transco; John Daigh and Jeff Jewel, Koch Gateway; William Ford, Pacific Gas; David Frederick, Panhandle Eastern; Susie Harris, Texas Gas; Susan Heidelberg, Algonquin; Dan Homec, Colorado Interstate; Morris Jackson, Questar; Bill Johnson, ANR; Stanley Karczewski, Pacific Gas; Curtis Kennedy, Northwest Pipeline; Dick Knudson, Koch Industries; Diane Logue, CNG Trans; Wayne Lundhagen, KN Energy; Cathy MacDonald, Williams NG; Robert Metcalf, Midcon Corp; Sandra Meyer, PanEnergy Corp; Robert Moore, Southern Natural; Charles Rohr, Tenneco Energy; Joh Slaby, CNG Trans; Raymond Sumida, Pacific Interstate; Patricia Weiderholt, Plains Natural Gas; Julie Willett, Great Lakes Gas; Nazzi Zola, Columbia Gas. FERC Participants: James Guest, Gregory Berson, Lyle Hanagami, Janice Garrison, Wayne MCDanal, Jerry Roginsky, Charles Kalaidis, Joseph Frangipane, James Caruso, Kenneth Anderson, Lannie Guster, Jr., and Thomas McLaughlin. | Financial accounting and reporting matters of mutual interest | | | No | This meeting was one of a series, normally held semiannually, referred to FERC Staff/INGAA Accounting Liaison Meetings. |

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| 1074 | McDanal, Malcolm W. | Office of the Executive Director | Regulatory Accounting Policy | Yes | INGAA | Bob Chandler and George Fastuca, Northern Natural; Wayne Allrad, Tenneco; John Ams, INGAA; Nick Bacile, Transco; Gary Bernard, Columbia Gas Trans; John Dalgh, Koch Gateway; William Ford, Pacific Gas; David Frederick, Panhandle Eastern; Gregory Gruber, Tenneco; Alan Harris, Texas Eastern Trans; Suzanne Harris; Texas Gas; Susan Heidelberg, Algonquin; Norma G. Holmes, Southern NG; Dan Homec, Colorado Interstate; Bill Johnson, ANR; Stanley Karczewski, Pacific Gas; Curtis Kennedy, Northwest PL; Kathy MacDonald, Williams NG; Robert Moore, Southern Natural; Mark P. O'Flynn, Columbia Gas Trans; Kris Peters, Koch Gateway; Glenn H. Robinson, Mountain Fuel Supply; Patricia Shelton, El Paso; Joh Staby, CNG Trans; William Suttle, CNG Trans; Patricia Weiderholt, Plains Natural Gas; Julie Willett, Great Lakes Gas; Cy Zebot, Noram Gas Trans. FERC Participants: James Guest, Lyle Hanagami, Janice Garrison, Wayne MCDanal, Joseph Harkins, Charles Kalaidis, Joseph Frangipane, James Caruso, Kenneth Anderson and Thomas McLaughlin. | | | | No | This meeting was one of a series, normally held semiannually, referred to FERC Staff/INGAA Accounting Liaison Meetings. |

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| 1075 | McDanal, Malcolm W | Office of the Executive Director | Regulatory Accounting Policy | Yes | INGAA | Bob Chandler and George Fastuca, Northern Natural; John Ams, INGAA; Gary Bernard, Columbia Gas; Maureen Borin, Great Lakes; Joseph Cox, ANR; Brad Farnsworth, KN Energy; Deborah Fenwick, Columbia Gas; William Ford, Pacific Gas; David Frederick, Panhandle Eastern; Gregory Gruber, Tenneco Energy; Alan Harris, Texas Eastern; Suzanne Harris, Texas Gas Trans; Jeffery P. Heinrichs, Transcontinental Gas Trans, Dan Homec, Colorado Interstate; Curtis Kennedy, Northwest PL; John C. Kreig, ANR; Ronald Meador, Michigan Gas Storage; Robert Moore and Bill Morgan, Southern Natural; Paul Reynolds, Williams Gas Storage; Glenn H. Robinson, Mountain Fuel Supply; Raymond Sumida, Pacific Energy; Jim Saunders, Florida Gas; Patricia Weiderholt, Plains Natural Gas. FERC Participants: Debbie Clark, Sherry Booye, James Guest, Lyle Hanagami, Janice Garrison, Wayne MCDanal, Charles Kalaidis, Joseph Frangipane, Kenneth Anderson, Thomas McLaughlin, Dwight Siddell, Mark Klose and Gerald Williams. | Financial and Accounting matters of mutual interest | | | No | This meeting was one of a series, normally held semiannually, referred to FERC Staff/INGAA Accounting Liaison Meetings. |

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| 1076 | McDanal, Malcolm W | Office of the Executive Director | Regulatory Accounting Policy | Yes | INGAA | Ed Parks, Enron; Nick Bacile, Williams; Greg Bane, Columbia Gas; Steve Bletz, Williston Basin; Jim Bourne, Transcontinental Gas PL; Joseph Cox, ANR; Pat Fitzgerald, Michigan Gas Storage; William Ford, Pacific Gas Trans; Terry Francis, CNG; Gregory Gruber, Tenneco Energy; Alan Harris, Texas Eastern Trans; Suzanne Harris, Texas Gas Trans; Dan Homec, Colorado Interstate Gas; Curtis Kennedy, Northwest PL; John C. Kreig, ANR; Gary Lefelar, Panhandle Eastern PL; Bob Moore and Bill Morgan, Southern Natural; Kris Peters, Koch Gateway; Paul Reynolds, Williams Gas Storage; Glenn H. Robinson, Questar Regulated Services; Jim Saunders, Florida Gas Trans; William Suttle, CNG; Julie Wilfett, Great Lakes Gas Trans. FERC Participants: Debbie Clark, James Guest, Lyle Hanagami, Janice Garrison, Wayne McDanal, Kenneth Anderson, John Delaware, Robert Lynch, Bryan Craig, Thomas McLaughlin and Mark Klose. | Financial accounting and reporting matters of mutual interest | | | No | This meeting was one of a series, normally held semiannually, referred to FERC Staff/INGAA Accounting Liaison Meetings |

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| 1077 | McDaniel, Malcolm W | Office of the Executive Director | Regulatory Accounting Policy | Yes | INGAA | John Ams, INGAA; Jim Bourne, Transco; Patrick Fitzgerald, Consumers Energy; William Ford, PG&E Gas Transmission; Terry Francis, CNG; Greg Gruber, El Paso Energy; Greg Harper, Duke Energy; Alan Harris, Duke Energy; Jeff Heinrichs, Williams Gas; Norman Holmes, Southern Natural; Dan Homec, Colorado Interstate Gas; Stan Karczewski, PG&E Gas; John Krieg, ANR Pipeline; Gary Lefelar, Duke Energy; Bob Moore, Southern Natural; Margaret Morrison, El Paso Energy; Joe Oliver, Consolidated Natural; Brian Reinowski, Williston Basin; Jim Saunders, Florida Gas; Bill Suttle, CNG Transmission; Patricia Wiederholt, Northern Border; Julie Willett, Great Lakes Transmission. FERC Participants: John Delaware, Jim Guest, Janice Garrison, Greg Berson, Jonas Green, Susan Tolbert, Barbara Zientek, William Brown, Oliver Liu, Tawanda Boyd, Ken Anderson, Jerri Purdy, Christy McGue, Christine Hutchinson, Brian Holmes, Bob Lynch, David Bailey, Doug Palowich, and Gail Smith. | Financial accounting and reproting matters of mutual interest | | | No | This meeting was one of a series, normally held semiannually, referred to FERC Staff/INGAA Accounting Liaison Meetings. |

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| 1078 | McDanal, Malcolm W | Office of the Executive Director | Regulatory Accounting Policy | Yes | INGAA | John Ams, INGAA; Bob Batchelkor, Reliant Energy; Jerry Garner, Reliant Energy; Horace Ray, Williams Companies; Greg Gruber, El Paso Energy; Gary Wolf, Duke Energy; Alan Harris, Duke Energy; Jeff Heinrichs, Williams Gas; Deanna Farmer, Columbia Gas; John Krieg, ANR Pipeline; Margaret Morrison, El Paso Energy; Joaquin Garcia, El Paso Energy; Jim Saunders, Florida Gas; Bill Suttle, CNG Transmission; Patricia Wiederholt, Northern Border; Julie Wilfett, Great Lakes Transmission; Mary Simon, CMS Energy; FERC Participants: John Delaware, Jim Guest, Greg Berson, Ken Anderson, Bob Lynch, Mike Oliva. | Financial accounting and reporting matters of mutual interest | | | No | This meeting was one of a series, normally held semiannually, referred to FERC Staff/INGAA Accounting Liaison Meetings |
| 1079 | McDanal, Malcolm W | Office of the Executive Director | Regulatory Accounting Policy | Yes | INGAA | Alan Harris, Duke Energy; Jeff Heinrichs, Williams Gas; Margaret Morrison, El Paso Energy; Jim Saunders, Florida Gas; Patricia Wiederholt, Northern Border; Julie Willett, Great Lakes Transmission; Rod Hayslett, Enron Corporation; Nick Bacile, Williams Companies; Jim Bourne, Williams Companies; Bryon White, El Paso Energy; Bill Ford, PG&E Gas Transmission; Greg Gruber, El Paso Energy; Susia Harris, Williams Companies; Mary Simon, CMS Panhandle; Deanna Farmer, Columbia Gas. FERC Participants: John Delaware, Jim Guest, Ken Anderson, Bob Lynch, Mike Oliva, Mark Klose, Ray Reid, Wayne McDanal, Dwight Siddell, and Tom McLaughlin. | Financial accounting and reporting matters of mutual interest | | | No | This meeting was one of a series, normally held semiannually, referred to FERC Staff/INGAA Accounting Liaison Meetings. |

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| 1080 | McDanal, Malcolm W | Office of the Executive Director | regulatory Accounting Policy | Yes | INGAA | Jim Guest, FERC; Mark Klose, FERC; Julie Willett, Great Lakes Gas Transmission; John Cobb, Enron; Jim Bourne, Williams; John Okrak, FERC; Dwight Siddell, FERC; Tim Smith, FERC; David Berry, Duke Energy; Patricia Wiederholt, Northern Border, Mary Simon, CMS Pandhandle; Gary Wolf, Duke Energy; Margaret Morrison, El Paso Energy; Nick Bacile, Williams Companies; Joaquin Garcia, El Paso Energy; Bob Lynch, FERC; Ken Anderson, FERC; Ray Reid, FERC; Susie Harris, Williams Companies; Deanna Farmer, NiSource/Columbia Gas; Robert Chandler, Enron John Delaware, FERC | Financial accounting and reporting matters of mutual interest | | | No | This meeting was one of a series, normally held semiannually, referred to FERC Staff/INGAA Accounting Liaison Meetings. |
| 1081 | McDaniel, Walter, E. | Office of Markets, Tariffs, and Rates | Division of Market Information | No | | | | | | | |
| 1082 | McDermott, William G | Office of the Chief Information Officer | Customer Support Group | No | | | | | | | |
| 1083 | McEneely, Megan, E. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - East | No | | | | | | | |
| 1084 | McGehee, Michael J. | Office of Energy Projects | Pipeline Certificates | No | | | | | | | |

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| 1085 | McGovern, Peter J. | Office of Energy Projects | Hydropower Administration and Compliance | No | | | | | | | |
| 1086 | McGuire, John R | Office of Energy Projects | Division of Environmental & Engineering Review | No | | | | | | | |
| 1087 | McKitrick, Seth R. | Office of Energy Projects | DEER | No | | | | | | | |
| 1088 | McKnight, Angela M. | Office of General Counsel | ADMINISTRATION | No | | | | | | | |
| 1089 | McLaughlin, Michael ( | Office of Markets, Tariffs, and Rates | Rates and Tariffs Central | Yes | INGAA Reg. Affairs Committee Member | Unknown, it was a INGAA Regulatory Affairs Committee sponsored conference. I do recall seeing Donna Fulton, Director, Regulatory Affairs, Enron, at the conference. | I do not recall. | | 04/28/1999 - 04/30/1999 | No. | |
| 1090 | McLaughlin, Michael ( | Office of Markets, Tariffs, and Rates | Rates and Tariffs Central | Yes | Keith Tiggelaar, Williston Basin | Approximately 20 INGAA Regulatory Affairs members were present, including one Enron representative whose name I can not remember. | I was the luncheon speaker and addressed future regulatory treads and issues. I paid for my lunch. | | Early December, 2001 | No action taken. | |
| 1091 | McLaughlin, Michael ( | Office of Markets, Tariffs, and Rates | Rates and Tariffs Central | Yes | Aldie Warnock, Reliant Energy | Approximately 25 EPSA Regulatory Affairs members were present, including Donna Fulton, Director, Regulatory Affairs, Enron. | I was the luncheon speaker and addressed future regulatory treads and issues. I paid for my lunch. | | Mid September, 2001 | No action was taken. | |

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| 1092 | Mclaughlin, Michael C | Office of Markets, Tarriffs, and Rates | Rates and Tariffs Central | Yes | American Antitrust Institute | Approximately 25 people were present, including Donna Fulton, Director, Regulatory Affairs, Enron. | It was a roundtable discussion on electricity and antitrust priorities held by the American Antitrust Institute. I was a panelist discussing governance issues. | 9/7/2000 | | No action was required or taken. | |
| 1093 | McLaughlin, Michael ( | Office of Markets, Tarriffs, and Rates | Rates and Tariffs Central | Yes | Commission Staff | Unknown, it was an informal meeting with interstate natural gas pipeline representatives. As I recall, approximately 30 people, including staff attended. I assume one or more Enron affiliated pipeline representatives were present. | This was one of many meetings staff held during this time frame with all segments of the industry to assess the need for further changes in the Commission's interstate natural gas pipeline regulatory program. | 3/8/1999 | | No action was taken as a direct result of this meeting. However, the Commission did ultimately decide to promulgate changes in its regulatory program, i.e., Order No. 637. | |
| 1094 | McLaughlin, Michael ( | Office of Markets, Tarriffs, and Rates | Rates and Tariffs Central | Yes | Commission Staff | Unknown, it was an informal meeting with interstate natural gas marketers. As I recall, approximately 30 people, including staff attended. I assume one or more Enron affiliated representatives were present. | This was one of many meetings staff held during this time frame with all segments of the industry to assess the need for further changes in the Commission's interstate natural gas pipeline regulatory program. | 3/16/1999 | | No action was taken as a direct result of this meeting. However, the Commission did ultimately decide to promulgate changes in its regulatory program, i.e., Order No. 637. | |
| 1095 | McLaughlin, Michael ( | Office of Markets, Tarriffs, and Rates | Rates and Tariffs Central | Yes | INGAA | Unknown. As I recall, over 100 people were present I assume one or more Enron affiliated pipeline representatives were present. | INGAA Open House reception. | | I believe it was in the summer of 1998. | No. | |
| 1096 | McLaughlin, Michael ( | Office of Markets, Tarriffs, and Rates | Rates and Tariffs Central | Yes | INGAA Reg. Affairs Member | Unknown, it was a INGAA Regulatory Affairs Committee sponsored conference. I do recall seeing Donna Fulton, Director, Regulatory Affairs, Enron, at the conference. | I do not recall. I presented a presentation titled "Moving Towards the Market - Rates" at the conference. | 5/14/1998 | | No. | |

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| 1097 | McLaughlin, Michael | Office of Markets, Tarriffs, and Rates | Rates and Tariffs Central | Yes | The Commission | Unknown, it was a Commission held technical conference open to the public. It was widely attend so I assume a number of Enron or Enron affiliated pipeline representatives attended. | It was a Commision held technical confercne to explore interstate natural gas pipeline financial issues. | 1/30/1998 | | No. | |
| 1098 | McLaughlin, Michael | Office of Markets, Tarriffs, and Rates | Rates and Tariffs Central | Yes | INGAA Reg. Affairs member | Unknown, It was a INGAA Regulatory Affairs Committee sponsored conference. I do recall seeing Donna Fulton, Director, Regulatory Affairs, Enron, at the conference. | I do not recall. I presented a presentation titled "Future Rate Regulation" at the conference. | 4/10/1996 | | No. | |
| 1099 | McLaughlin, Michael | Office of Markets, Tarriffs, and Rates | Rates and Tariffs Central | Yes | The Commission | Unknown, it was a Commission staff held settlement conference open to all parties of record in Docket No. RP93-34-000, et al. | To explore the possible settlement of Transwestern Pipeline Company's "capacity turn-back" proceeding. In short, Transwestern had recently lost a large amount of load and was attempting to work our a long term rate agreement with its customers and various state commissions. | 3/30/1995 | | A settlement was ultimately reached and approved by the Commission. | |
| 1100 | McLaughlin, Michael | Office of Markets, Tarriffs, and Rates | Rates and Tariffs Central | Yes | The Commission | Unknown, it was a Commission staff held settlement conference open to all parties of record in Docket No. RP93-34-000, et al. | To explore the possible settlement of Transwestern Pipeline Company's "capacity turn-back" proceeding. In short, Transwestern had recently lost a large amount of load and was attempting to work our a long term rate agreement with its customers and various state commissions. | 4/12/1995 | | A settlement was ultimately reached and approved by the Commission. | |

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| 1101 | McLaughlin, Michael ( | Office of Markets, Tarriffs, and Rates | Rates and Tariffs Central | Yes | The company | Unknown. Over the years, I have attended a number of prefiling meetings requested by Enron or Enron affiliated company. | These meets usually, but not always, center around a company's plan to file a major new pipeline construction application, a request to create a new service, or some other novel or noteworth initiative. | | 01/01/1992 - 12/02/2002 | No. | |
| 1102 | McLean, Robert , Dou | Office of General Counsel | Markets, Tariffs, Rates | Yes | Robert McLean | Ray Alverez, Enron Employee, David Faerberg, David Tishman, and Robert McLean | Lunch | | Summer 2001 | No | Happenstance meeting in FERC lunch room. Conversation ensued regarding mutual friends. |
| 1103 | McLean, Robert, Dou | Office of General Counsel | Markets Tariffs and Rates | Yes | Enron Corp. | numerous industry guests | Annual Holiday party | | December of several years | no | Enron hosted annual holiday parties over the period in question. I attended several but not all of these parties. Attendance at the parties for FERC employees was permitted by FERC ethics officials. |
| 1104 | McLean, Robert, Dou | Office of General Counsel | Markets, Tariffs and Rates | Yes | Robert McLean | Tom Briggs and Robert McLean | Personal conversation regarding mutual friends and past social events | | Summer 2001 | No | Meeting to catch up after many years. Discussed mutual fiends and past events. |
| 1105 | MCNAIR, SHELLEY, I | Office of the Executiv e Director | HUMAN RESOURC ES | No | | | | | | | |
| 1106 | McOmber, Norma G. | Office of Markets, Tarriffs, and Rates | Division of Policy Innovation and Communic ation | Yes | Mike Kormos (PJM/Elctmc Schdlng Collab) | External: Mike Kormos; David Cook; Alan Mosher; David Dworzak; Charles Yeung; Others??? Internal: Alison Silverstein; Shelton Cannon; Kevin Kelly; Scott Miller; Marv Rosenberg ; Bob Flanders; Don Lekang; Norma McOmber; Others???? | ESC status report under RM00-10, OASIS Phase II Advanced Notice of Proposed Rulemaking | 9/19/2001 | | No | I reconstructed information on this meeting based on a conversation with Bob Flanders I had on February 25, 2002. The list of attendees, subject matter and date are based on my recollection and that of Bob Flanders. |
| 1107 | McWane, Sarah H. | Office of General Counsel | Markets, Tariffs, Rates | No | | | | | | | |

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| 1108 | Mead, David E. | Office of Markets, Tariffs, and Rates | Chief Economic Advisor | Yes | I don't know | Dan Watkiss (atty for Enron), Ross Baldick (consultant for Enron), Richard Tabors (consultant for Enron), Richard O'Neill (FERC Staff), other FERC staffers (whom I don't remember), and myself. | The design of markets operated by Independent System Operators in the electricity industry. | | Some time during the late 1990's | No. | |
| 1109 | Meehan, John K. | Office of the Executive Director | Regulatory Audits | No | | | | | | | |
| 1110 | Meek, Ruby A. | Office of Administrative Law Judges | OALJ | No | | | | | | | |
| 1111 | Meltz, Arnold H. | Office of General Counsel | Administrative Litigation | Yes | Public notice by FERC trial Staff | These were settlement conferences that were open to parties in Wyoming Interstate Gas Co., Docket No. RP99-381. | Cost of service and rate design issues set for hearing in Docket No. RP99-381. | | 03/23/2000 and 04/07/2000 | A settlement among everybody but one party was approved by the Commission. The one party was severed to pursue litigaton by itself. | |
| 1112 | Meltz, Arnold H. | Office of General Counsel | Administrative Litigation | Yes | FERC Trial Staff | Settlement conference that was open to parties in Kern River Gas Transmission Co., Docket No. RP99-274. | rate design issues set for hearing | | | | |
| 1113 | Meltz, Arnold H. | Office of General Counsel | Administrative Litigation | Yes | FERC Trial Staff | Settlement conferences that were open to parties in Williams Natural Gas Pipeline Co., Docket No. RP95-136 | Cost of service and rate design issues that were set for hearing | | 08/03/95 and 04/02/96 | | |
| 1114 | Meltz, Arnold H. | Office of General Counsel | Administrative Litigation | Yes | FERC Trial Staff | Settlement conference open to parties in Viking Gas Transmission Co., Docket No. RP92-48 | Cost of service and rate design issues set for hearing | | 04/01/1992 to 05/01/1992 | Settlement approved by the Commission | |
| 1115 | Meltz, Arnold H. | Office of General Counsel | Administrative Litigation | Yes | FERC Trial Staff | Settlement conferences that were open to parties in Viking Gas Transmission Co, Docket No. RP98-290 | Cost of service and rate design issues set for hearing | | 10/28/1998 to 12/02/1998 | Settlement approved by the Commission | |

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| 1116 | Meltz, Arnold H. | Office of General Counsel | Administrative Litigation | Yes | FERC Trial Staff | Settlement conferences open to parties in Wyoming Interstate gas Co., Docket No. RP97-375 | Cost of service and rate design issues set for hearing | | 11/13/1997 to 06/021998 | Settlement approved by Commission | |
| 1117 | Meltz, Arnold H. | Office of General Counsel | Administrative Litigation | Yes | FERC Trial Staff | Settlement conference open to parties in Wyoming Interstate Gas Co., Docket No.RP94-267 | Cost of service and rate design issues set for hearing | 11/2/1994 | | Settlement approved by the Commission | |
| 1118 | Melvin, Dennis H | Office of General Counsel | Administrative Litigation | Yes | Public notice by FERC Staff | All parties to the proceeding in Docket No. RP98-274, including Black Marlin Pipeline and FERC Staff | Settlement conference to resolve cost of service and rate design issues in Docket No. RP98-274 | 10/27/1998 | | Commission approved proposed settlement by letter order dated Decembe 30, 1998 | |
| 1119 | Melvin, Dennis H | Office of General Counsel | Administrative Litigation | Yes | Public notice by FERC Staff | All parties to the proceeding in Docket No. RP90-119, including Staff and possibly Enron Power Marketing | Settlement conferences to resolve cost of service and rate design issues, including PCB cleanup expenses | 6/29/1990 | | Order issued on 4/15/92 accepting settlement | |
| 1120 | Melvin, Dennis H | Office of General Counsel | Administrative Litigation | Yes | Public notice by FERC Staff | All parties in Docket No. RP88-177-119, et al., including FERC Staff and possibly Enron Capital & Trade Resources Corporation | Settlement conference to resolve Order No. 636 transition costs | 1/2/1992 | | Commission approved "global" settlement on May 12, 1994 | |
| 1121 | Meroney, William | Office of Markets, Tarriffs, and Rates | Market Development | Yes | Midwest Price Spike Team | see comments | Observed patterns of trading and pricing in June 1998 in the midwest. | | August or September 1998. | No specific action. | One of several meetings with a range of different industry representative from a range of industry segments regarding the events of June 1998, as part of the non-public investigation of the events. THE FOLLOWING WAS TOO LONG TO FIT IN THE WHOS IS PRESENT FIELD: Representatives from Enron (not sure of specific names, but I believe Jim Fallon (a manager in the trading operation), a Washington representative of Enron or its attornies, Richard Tabors, consultant, and one or two other industry representatives. |
| 1122 | Meroney, William | Office of Markets, Tarriffs, and Rates | Market Development Division | Yes | FERC | various industry representatives | general review of Commission policies and role of new OMTR -- meetings held to discuss industry views from all segments | | 2000 and 2001 | no, information only | I have not specific recollection of Enron, but these meetings were conducted at part of setting up OMTR and ongoing input from the entire range of industry. I believe Enron must have been included, although I don't have names of specific individuals. |

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| 1123 | Mersier, Valerie T. | Office of the Executive Director | Logistics Management | No | | | | | | | |
| 1124 | Mersier, Valerie T. | Office of Commissioner Massey | (6/93 - 10/00) | No | | | | | | | From June 1993 until October 2000, I was Commr. Massey's secretary. While with Commr. Massey I did handle (in a clerical sense) a number of public filings from Enron and some of its subsidiaries. I also served as back up to the Commr's scheduler and I could have possibly scheduled meetings. |
| 1125 | Mey, Thomas W. | Office of Markets, Tariffs, and Rates | Division of Tariffs and Rates-West | No | | | | | | | |
| 1126 | Meyers, Edward | Office of External Affairs | Office of External Affairs | No | | | | | | | Joined the Commission on February 4, 2002, Answers to 3(a&b) are "No" if they refer only to the time he has been employed at FERC |
| 1127 | Migliore, Sophie M. | Office of Energy Projects | D2SI | No | | | | | | | |
| 1128 | Miles, Ann F. | Office of Energy Projects | Environmental and Engineering Review | No | | | | | | | |
| 1129 | Miles, Arvise, R | Office of the Chief Information Officer | Knowledge Information Management | No | | | | | | | |
| 1130 | Miller, Celeste M. | Office of External Affairs | Press Services | No | | | | | | | |

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| 1131 | Miller, Christopher, L. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - Central | No | | | | | | | |
| 1132 | Miller, Jean, M | Office of Markets, Tarriffs, and Rates | Rates-Central | No | | | | | | | |
| 1133 | Miller, William Scott | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | Self initiated | Charlie Whitmore, FERC. Jule Simon, FERC. Representative from Calpine. John Stout, Reliant. Joel Newton, Dynegy. Jim Steffes, Enron. | Possible settlement of generators with the state of California. | | May-01 | None. | |
| 1134 | Miller, William Scott | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | Sarah Novosell, Enron | Rick Shapiro, Enron. Sarah Novosell, Enron. One other Enron representative who worked legislative issues and whose name I cannot remember. | Purpose was to discuss general market design issues for the whole country going forward. | | Jun-01 | None | |
| 1135 | Miller, William Scott | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | Christy Nicolay | Chirsty Nicolay, Enron. | Tour of the Commission's new market room known as the "Market Observatiion Resource" (MORe) | | Jul-01 | None. | |
| 1136 | Miller, William Scott | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | Enron | Two regulatory people from Enron whose name I don't remember. Robert Pease, FERC. | Allegations by Enron that the CAISO was intentially constraining the transmission line from California to Oregon in order to keep prices artificially low. | | Oct-01 | None. | |

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| 1137 | Miller, William Scott | Office of Markets, Tariffs, and Rates | Market Developme nt | Yes | Self Initiated | Rick Shapiro, Enron | Discuss Enron's plans for continuing performance on all obligations in light of the S&P downgrade and the end of the Dynegy deal. | | 28-Nov-01 | None. | A general concern regarding a decrease in liquidity of the power and gas markets had been expressed over the last few months if Enron reached default. We were trying to ascertain what Enron was doing to assure performance. |
| 1138 | Miller, William Scott | Office of Markets, Tariffs, and Rates | Market Developme nt | Yes | Rick Shapiro, Enron | Rick Shapiro, Enron. Greg Whalley (sp), Enron. | Market conditions, Enron performance on obligations and methods used to mitigate effects of any non-performance. | | 28-Nov-01 | None. | Discussed effort by Enron over the last few weeks to "flatten" their books (ie., be neither long or short on obligations), so that it would relatively easy to unwind obligations and have them picked up by other market participants in the event of an Enron default. |
| 1139 | Miller, William Scott | Office of Markets, Tariffs, and Rates | Market Developme nt | Yes | Rick Shapiro, Enron | Rick Shapiro, Enron. | Performance by Enron on obligations, particularly retail obligations. | | 29-Nov-01 | None. | |
| 1140 | Miller, William Scott | Office of Markets, Tariffs, and Rates | Market Developme nt | Yes | Joe Hartsoe, Enron | Joe Hartsoe, Enron | Discuss the performance and delivery of Enron pipelines, particularly Northen Natural Gas and Transwestern. | | 30-Nov-01 | None. | |

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| 1141 | Miller, William, Scott | Office of Markets, Tarriffs, and Rates | Division of Market Developme nt | Yes | Self initiated | Gerald Williams, FERC. Michele Voloso, FERC. Julie Simon, EPSA. Scot Krantz, Duke Energy. John Robinson, FERC. Lee Barrett, Duke Energy. Brian Harrington, FERC. Elfen Wolfe, Enron. Diane Fetherstone, Constllation Energy. Mike McCullagh, Southern Company. John Morris, PG&E NEG. Jack Hawks, PG&E NEG. George Radan, PG&E NEG. Joe Hartsoe, Enron. Mark Bennett, EPSA. Peter Kadzik, Dickstein, Shapiro. John Kroeger, FERC. Camilla Ng, FERC. Kumar Agarrwal, FERC. Robert Fallon, Dickstein, Shapiro. Andrea Wolfman, FERC. Mary Hain, Enron. | Discuss the Marketer sector's views on causes of the California Energy Crisis. One of several meetings with all industry sectors, regulators and interest groups. | | Aug. 20-27, 2000 | No. | |
| 1142 | Mills, Edward W. | Office of Markets, Tarriffs, and Rates | Litigation | | | | | | | | Answer (3)(a): As a part of normal case trial work, I was a case team member in American Electric Power/Central & SouthWest Merger, Docket No. EC98-40, circa March 1999; Western Resources/Kansas City Power & Light Merger, Docket No. EC97-56, circa July 1999; and Midwest ISO/Alliance RTO Market Design, Docket No. ER01-123, circa March 2001. These cases all settled; I did not testify. These mergers and market designs were all approved, with the conditions set forth in the publicly-available orders. I believe that Enron did intervene in these cases, but I do not recall any unusual remarks or communications by Enron, nor any private communications by Enron with me individually. It is my understanding that Enron's interventions and filed testimony in these cases are publicly available on the Commission's RIMS document-retrieval system on the web. (b): No other communications, to the best of my recollection. |

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| 1143 | Milner, Arve | Office of the Chief Informati on Officer | Knowledge and Information Manageme nt | No | | | | | | | |
| 1144 | Mistry, Sanjay, D | Office of the Executiv e Director | MAPS | No | | | | | | | |
| 1145 | Mistry, Sanjay, D | Office of the Executiv e Director | MAPS | No | | | | | | | |
| 1146 | Mitchnick, Alan D. | Office of Energy Projects | Environme ntal and Engineerin g Review | No | | | | | | | |
| 1147 | Mitry, Linda L. | Office of the Secretar y | Document Control | No | | | | | | | |
| 1148 | Moira Notargiacomo | Office of General Counsel | General and Administrati ve Law | No | | | | | | | |
| 1149 | Molloy, Elizabeth | Office of General Counsel | Energy Projects | No | | | | | | | |

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| 1150 | Molony, Scott, P. | Office of the Executive Director | Division of Regulatory Audits | Yes | Audit team for Docket # FA00-38-000 | DRA audit team members (Dwight Siddell, Susan Tolbert, Scott Molony, and Cristen Russell) held various meetings and phone conversations with Northern Natural Gas Company personnel to include: Plant Accounting Department management and staff, Project Managers, Project Engineers, and Regulatory Department staff. | Discussions focused on Northern Natural Gas Company's (Northern)construction activities. The purpose was to determine Northern's compliance with the Commission's accounting and reporting requirements related to Contruction Work in Progress. | | July 2000 - December 2001 | Audit report issued on December 7, 2001. | |
| 1151 | Monahan, Michael, R. | Office of Energy Projects | D2SI-NYRO | No | | | | | | | |
| 1152 | Montag, Rafael, L. | Office of Energy Projects | DEER | Yes | Rafael Montag | For CP95-395-000: Glen Hass and Josie Call, both of Northern Natural Gas Company. For CP95-452-000: Stephen Veatch of Florida Gas Transmission corporation. For CP95-888-000: No recollection. | Environmental compliance related to pipeline construction. | | 10/17/95 to 2/13/96 | No. | |
| 1153 | Moore, Marlan | Office of the Executive Director | Division of Regulatory Accounting Policy | No | | | | | | | |
| 1154 | Moore, Natalie Lawan | Office of the Chief Informati on Officer | Customer Support & IT Deploymen t Services | No | | | | | | | |
| 1155 | Moore, Teresa E. | Office of Administ rative Law Judges | None | No | | | | | | | |

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| 1156 | Morgan, David M | Office of the Executive Director | MAPS | No | | | | | | | |
| 1157 | Morgan, Ethel L. | Office of General Counsel | Energy Projects | No | | | | | | | |
| 1158 | Morgan, Frances | Office of the Secretary | Dockets | No | | | | | | | |
| 1159 | Morgan, Joseph | Office of Energy Projects | Assistant Director's Office | No | | | | | | | |
| 1160 | Morgan, June D. | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |
| 1161 | Moriarty, John, E | Office of the Chief Informati on Officer | Front Office | No | | | | | | | |
| 1162 | Morice, Kay I. | Office of Markets, Tarriffs, and Rates | Issue Identificatio n and Resolution Manageme nt | Yes | OMTR | Lorraine Cross (INGAA), Joan Dreskin (INGAA), Cy Zebot (Reliant Energy), Jake Hiatt (El Paso), Donna Fulton (Enron), Lew Posekany (Williams), Dan Larcamp (OMTR), Kevin Madden (OMTR), Shelton Cannon (OMTR), Dick O'Neill (OMTR), Rob Cupina (OMTR), Marv Rosenberg (OMTR), Kay Morice (OMTR) | OMTR Outreach Meeting to discuss what the pipeline segment thought the Commission should be doing. | 7/13/1999 | | No. | This was one of about a dozen meetings with different industry segments that took place before OMTR was started up to get a sense of what the priorities of the different industry segments were as far as what they thought the Commission should be doing and to let them know what was going on with the formation of the new Office of Markets, Tariffs, and Rates. |

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| 1163 | Morice, Kay I. | Office of Markets, Tariffs, and Rates | Issue Identification and Resolution Management | Yes | OMTR | Dan Larcamp and representatives from INGAA - Enron may or may not have attended this meeting. | OMTR Outreach Meeting to discuss what the pipeline segment thought the Commission should be doing. | 2/10/2000 | | No. | This was one of about a dozen meetings with different industry segments to get a sense of what the different industry segments thought the Commission should be doing. I only have a record of this meeting on my calendar. I threw out my notes from this meeting before my office was painted so I'm not sure if Enron was present at this meeting but they were at the previous meeting in July 1999. |
| 1164 | Morris, Patricia, W. | Office of Markets, Tariffs, and Rates | Data Collection | No | | | | | | | |
| 1165 | Morton, Barbara | Office of Markets, Tariffs, and Rates | Rates Central | No | | | | | | | |
| 1166 | Morton, Mary C. | Office of Commissioner Brownell | Assistant | Yes | Lodie White, FERC OGC | Various staff of FERC OGC, including Lodie White, Jignasa Gadani, Eugene Grace, Geeta Oberoi, Maria Vouras, Joyce Kim, Michael Henry. Also, non-FERC staff whose names I don't know, but the boyfriend of Ms. Oberoi was an Enron employee--I only know that his first name was Scott. | Social event | 9/8/2001 | | no | |
| 1167 | Morton, Mary C. | Office of Commissioner Brownell | Assistant | Yes | Commission | Representatives from all participants in the California wholesale electricity market; FERC Chief Judge Wagner and his staff; other Ferc staff including David Reich and Howard Foreman. | It was a settlement conference to address the disputes in the wholesale California electricity markets. | | early July 2001 | Yes, the Commission issued an order establishing a fomula for computing refunds and sent the matter to hearing. | |

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| 1168 | Morton, Mary C. | Office of Commis sioner Brownell | Assistant | Yes | Eugene Grace, FERC OGC | Various staff from FERC OGC, including, Eugene Grace, Sangy Grace, Jignasa Gadani, Lodie White, Maria Vouras, Joyce Kim, Michael Henry, Elizabeth Rylander, Olga Kolotushkina, Leonard Tao, Geeta Oberoi. Other non-FERc staff were there, but I do not know their names. Ms. Oberoi's boyfriend, an Enron employee was there, But I only know his name is Scott. | Sollal Event at Eugene Grace's home | | sometime in June 2001 | no | |
| 1169 | Morton, Mary C. | Office of Commis sioner Brownell | Assistant | Yes | Jignasa Gadani, FERC OGC | Varous staff from FERC OGC, including Jignasa Gadani, Olga Kolotushkina, Maria Vouras, and Geeta Oberoi. Ms. Oberoi's boyfriend, an Enron employee was present, but I only know that his name is Scott. | Performance at the MCI Center of Michael Flatley's Feet Of Flames | 6/27/2001 | | no | |
| 1170 | Morton, Mary C. | Office of Commis sioner Brownell | Assistant | Yes | Lodie White | Various FERC OGC staff, including Lodie White, Maria Vouras, Eugene Grace, Jignasa Gadani, Joyce Kim, and Geeta Oberoi. Ms. Oberoi's boyfriend, an Enron employee, was also present, but I only know his name is Scott. | Social event at Cafe Japonese in Washington, DC | | Sometime in July or August, 2001 | no | |
| 1171 | Morton, Mary C. | Office of Commis sioner Brownell | Assistant | No | | | | | | | |
| 1172 | Mosley, Berne L. | Office of Energy Projects | Pipeline Certificates | No | | | | | | | |

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| 1173 | Mosley, Berne L. | Office of Energy Projects | Pipeline Certificates | Yes | The Commisison | Commissioners, OPR staff, officials from GRI, and reps. of GRI pipeline members, including Enron affiliates. | A future funding mechanism for GRI (see, Docket No. RP92-133 et al.) | | | Commission referred contested proceeding to Chief ALJ Wagner for settlement discussions, ultimately leading to a settlement that was accepted by the Commission. | The meeting was a generic meeting about matters affecting all GRI members, including any Enron affiliates. I cannot recall precisely who from OPR staff attended the meeting. |
| 1174 | Mount, Samuel Colin | Office of Markets, Tariffs, and Rates | MT1 | No | | | | | | | |
| 1175 | Mowery, Beverly | Office of the Executive Director | Division of Logistics Management | No | | | | | | | |
| 1176 | Mrozowski Michael J | Office of the Executive Director | Division of Human Resource Management | No | | | | | | | |
| 1177 | Mudre, John M. | Office of Energy Projects | Division of Environmentat and Engineering Review | No | | | | | | | |
| 1178 | Mukherjee, Benoy | Office of Energy Projects | D2SI | No | | | | | | | |
| 1179 | Murafka, William A. | Office of the Secretary | Agenda and Minutes | No | | | | | | | |
| 1180 | Murphy, John, R. | Office of Energy Projects | Dam Safety and Inspections | No | | | | | | | |

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| 1181 | Murphy, Patrick K. | Office of Energy Projects | Division of Environmental and Engineering Review | No | | | | | | | |
| 1182 | Murphy, Sean C. | Office of Energy Projects | DEER | No | | | | | | | |
| 1183 | Murray, Matthew, Ow | Office of Administrative Law Judges | OALJ | No | | | | | | | |
| 1184 | Murrell, Penny S | Office of Markets, Tariffs, and Rates | Tariffs and Rates East | Yes | Commission Order Establishing Tech Conf. | About 200 people, including NYISO personnel, Staff and market participants, including Enron employees. | NYISO's September 1 and 6, 2000 report on its markets and priority of fixes to certain market rules,etc. | 01/22-23/2001 | | Commission order issued. | |
| 1185 | Murrell, Penny, S | Office of Markets, Tariffs, and Rates | Tariffs and Rates: East | Yes | Commission Order Establishing Tech Conf. | Approximately 50 parties to proceeding attended | Algonquin and Texas Eastern's filings to comply with Order No. 637 | 1/9-10/2001 | | | |
| 1186 | Murrell, William E | Office of Markets, Tariffs, and Rates | Policy Innovation & Communication | Yes | OMTR Staff | Various (details reported by Saida Shaalan or Kay Morice) | Outreach | | Various from 1999 to present | No. | These are a series of regular outreach meetings held periodically with members of different industry segments to hear their views on current market issues, regulatory concerns and priorities. I attended some, but not all of these meetings. Meetings with INGAA and EPSA often included representatives of Enron. |

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| 1187 | Murrell, William E | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communication | Yes | Mark Bennet, EPSA | Not sure of Commission staff who were present. Industry participants were scheduled to be Mark Bennet, EPSA; Charles Yeung and Jeff Brown, Enron; Allan Mosher, APPA; and Mary Doyle, Dynegy. | OASIS Phase II, RTO function 8, and seams issues | 1/25/2001 | | No. However, these issues are continuing concerns, and many subsequent Commission actions have been taken on RTOs, electric industry business practice standards, and most recently standard market design. | Don't recall any details of the meeting. It's listed on my calendar, but not sure who attended. |
| 1188 | Murrell, William E. (Line 1 of 2) | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communication | Yes | Commission Staff | Participants: Rusty Braziel, Altra Energy Technologies; Jeffrey A. Holligan, Amoco Prod. Co.;Robert A. Levin, New York Mercantile Exch; Denise C. Goulet, Pennsylvania Office of Consumer Advocate; David G. Hanson, Quicktrade, LLC; Mark B. Lively, Utility Economic Engineer; William P. Boswell, Amer Gas Assn; John W. Somerhalder, Tennessee Gas PL; Lorraine Cross, INGAA; Richard O. Baish, El Paso Energy Corp.; Rich Kaine, Koch Gateway; Tom Brill, Sempra Energy; Lori Smith Schell, Air Products & Chemicals, Inc.; Edward J. Grenier, Process Gas Consumers Group; Dolores D. Chezar, Brooklyn Union/Keyspan; Daniel Collins, Coastal Corp.; Michael D. Anderson, Columbia Gas of Ohio; Kathryn L. Patton, Dynegy; **Shelley A. Corman, Enron Gas Pipeline Group**; (Cont'd in cell below) | Discussion of RM98-10 auction proposal for pipeline capacity | 12/8/1998 | | Final rule issued in February 2000, Order No. 637 | This conference was open to the public and attended by at least 200 individuals. |

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| 1189 | Murrell, William E. (Line 2 of 2) | Office of Markets, Tarriffs, and Rates | | | | (Cont'd) Philip M. Budzik, Natural Gas Supply Assn; Dave D'Allesandro, Public Service Comm. of New York; Mark Caudil, Atlanta Gas Light Company; Glen Kettering, Columbal Gas; Lila Rothman, Con Edison; Bruce Henning, AGA; Gregory J. Rizzo; Duke Pipelines; Thomas J. Eastment, Indicated Shippers; David W. Reitz, National Fuel Gas Supply; David L. Bloom, Nicor; Roy R. Robertson, Jr., Northern Indiana Public Service Co. FERC Staff: Kevin P. Madden; Laurel Hyde, Alice Fernandez; Rob Cupina; Dick O'Neill; Andrea Wolfman; Bob Flanders, and others. | | | | |
| 1190 | Murrell, William E | Office of Markets, Tarriffs, and Rates | Policy Innovation & Planning | Yes | Commission | Commissioners, Commission staff, industry officers particlpating, and attending general public (see the notice for the conference issued in Docket PL97-1-000 for a list of invited speakers | Issues and Priorities in the Natural Gas Industry | 5/29/1997 | | None. | Noticed Public conference. Transcript was kept. |
| 1191 | Murrell, William E | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communic ation | Yes | Commission | Refer to Attachment 2 for list of meeting attendees | RTO standardized market design | | 10/15-19/2002 | No specific action is attributable to this conference, although the Commission has continued to process cases raising RTO formation issues, and is still contemplating standard market design for regional wholesale electric markets. | Steve Walton who is listed as an Enron employee on the program has since left the company and is now employed as a consultant to RTO West. |

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| 1192 | Murrell, William E. | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communic ation | Yes | INGAA & EEI | Joe Hartsoe, Enron Tansportation Services; Andy Katz, EEI; Craig Chancellor, Calpine; Richard Kruse, Duke Energy Gas Transmission; Joan Dreskin, INGAA; Chuck Linderman, EEI, Ed Ross, Dynegy; Richard Siegel, Duke Energy North America; Rick Smead, El Paso Pipeline Group; Stan Chapman, El Paso; Claire Burum, Gulf South Pipeline; Carl Levander, NiSource Pipelines; John Roscher, PG&E Gas Transmission, NW; Marsha Palazzi, PG&E Gas Transmission, NW; Sarah Tomalty, Dynegy; Richard McMahan, EEI; Cindy Albert, CMS Panhandle, Bill Grygar, CMS Panhandle; Brian White, NiSource Pipeline Group; Sharon Royka, NiSource; James Dayka, Allegheny Energy Supply; Penny Ludwig, INGAA. FERC Staff: Ed Murrell, Bill Meroney, Dan Larcamp, Jim Campbell, Robert Flanders, Dick O'Neill, Alice Fernandez, David Mead, John Carlson, Michelle Veloso, Ross Glassgow, Jim Guest, Carol Terry, Rob Cupina. | Briefing on joint effort to identify terms and conditions of pipeline service to serve gas-fired electric generators | 7/26/2002 | | No | |
| 1193 | Murrell, William E. | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communic ation | Yes | INGAA | Various members of pipeline staff and Commission staff | Annual regulatory update for INGAA members. | | Various, typically May each year 1994-2001 | No. | INGAA routinely invites senior staff and Commissioners' assistants to participate as speakers at annual spring regulatory affairs conference. I was an invited speaker, or attendee an many of these conferences beginning in either 1994 or 1995. Enron officers and staff were in attendence every year. |
| 1194 | Murrell, William E. | Office of Markets, Tarriffs, and Rates | Policy Innovation &Communi cation | Yes | Enron, Shelly Corman | Don't recall. Shelly Corman, other Enron and Transwestern pipeline representatives, and perhaps other Commission staff members | Briefing on Transwestern proposal to sell pipeline capacity through Enron-on-line | 10/4/2000 | | Don't recall any Commission action associated with this. Transwestern subsequently sold capacity through Enron-on-line. | |

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| 1195 | Murrell, William E. | Office of Markets, Tariffs, and Rates | Policy Innovation & Communication | Yes | Don't recall | Don't recall | Don't recall | 5/31/2000 | | Don't recall | Meeting listed in calendar for room 6A-05, but no details and haven't found any notes. |
| 1196 | Murrell, William E. | Office of Markets, Tariffs, and Rates | Policy Innovation & Communication | Yes | Don't know | Several presenters from Enron, and large number of Commission staff | Information briefing on Enron-on-line | 4/18/2000 | | No. | In house training. Jo Tolly may have more details about initiation. |
| 1197 | Murrell, William E. | Office of Markets, Tariffs, and Rates | Policy Innovation & Communication | Yes | Commission Staff | Ed Grenier, Sutherland, Asbill & Brenan; Kathy Yarborough, Sutherland, Asbill & Brenan; Richard Fillman, Alcoa; Richard Schuck, Farmland Industries; Sharon Nichols, Farmland Industries; Christine Cline, US Gypsum; Lee Gooch, PCS Nitrogen Fertilizer; Minntum Smith, Proctor & Gamble; Julie Gomez, Enron Capital and Trade (ECT); Becky Cantrell, ECT; Lisa Yoho, Enron Energy Services; Randy Rich, Bracewell & Patterson; Peter Esposito Senior Vice President & Regulatory Counsel, Dynegy; Kathy Patton, Dynegy; Ed Ross, Dynegy; Judy Neason, Williams Energy Marketing & Trading (Williams); Bill Hobbs, Williams. FERC Staff0nct sure who attended0Andrea Wolfman; Ed Murrell; Laurel Hyde; Alice Fernandez; Dick O'Neill; Bob Flanders were probably there, and other staff members. (My notes don't indicate who from staff was there.) | Pre-gas rule outreach. This was one of a series of meetings held with different industry groups to discuss natural gas markets, reactions to proposals in the NOPR in Docket No. RM98-10 and RM98-12, and related topics | 12/12/1998 | | A final rule was issued in early 2000, Order No. 637 | |

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| 1198 | Murrell, William E. | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communication | | OMTR | Don't remember, but attendees would have included senior staff members from OMTR, OGC, and perhaps other offices, officers of INGAA, and regulatory affairs representatives of a number of natural gas pipeline companies. | OMTR Outreach with INGAA | 2/10/2000 | | No | This was one of a series of outreach meetings held with various industry stakeholders with interests in FERC energy regulation. Kay Morice, or Steve Rogers may have more complete information about attendees. |
| 1199 | Murrell, William E. | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communication | | Don't Know | Various Commission staff attending training session on Enron-on-line | Enron on line presentation in Commission meeting room | 4/18/2000 | | no | |
| 1200 | Murrell, William E. | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communication | | INGAA | Large number of FERC staff, INGAA officers and natural gas pipeline officers and staff. | INGAA annual regulatory affairs conference offsite | | 05/17/00-05/19/00 | no | Annual training conference I attended as an invited speaker. Primarily social interaction with conference attendees. |
| 1201 | Murrell, William E. | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communication | | Enron | Don't remember | Don't remember | 5/31/2000 | | Not sure | Listed in appointment calendar, but I have no notes or handouts I've found related to the meeting. |

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| 1202 | Murrell, William E. (Line 1 of 4) | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communic ation | | OMTR | EPSA: Larry Eisenstat, Dickenstein, Shapiro, Morin & Oshinsky; Julie Simon, EPSA; Michael Gildea, Duke Energy North America; Mary Doyle, Dynegy; Karen Tomcala, PG&E Corporation, Judy Neason, Williams; Craig Roach, Boston Pacific; Joe Hartsoe, Enron Corp.; Mark Bennett, EPSA. FERC: OMTR: Dan Larcamp, Kevin Madden, Mike Coleman, Mark Shaffer, Ed Murrell, Saida Shaalan, Bob Flanders, Eliot Wessler, Laurel Hyde, Michelle Veloso, Jim Apperson, Don Lekang, Norma Gonzalez, Dick O'Neill/OGC: Andrea Wolfman, Jerry Richman, OEP: Bill Lansinger; OAL: Bill Froehlich, Vis Tekumalla; OFAO: Ray Reid | EPSA's main message is that RTOs should tend to transmission problems, and not attempt to run generation markets. RTOs running markets creates several problems: market intervention by RTOs is distorting incentives for market participants to hedge and incentives for generators to invest, particularly peakers; running transmission is a full time job, and an RTO should not be diverted from this job; and it takes a different culture to run a generation market than operate a transmission system. Who will run the markets? bilateral transactions are important, and independent entities such as APX can fill the gaps left. Who will manage congestion? an RTO should run a short-term balancing market, coupled with a transmission rights model, but it should not run any type of forward market, even day-ahead. (Cont'd in cell below) | 7/5/2000 | | no. | Part of a series of informal Outreach meetings with many different industry groups |

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| 1203 | Murrell, William E. (Line 2 of 4) | Office of Markets, Tarriffs, and Rates | | | | | (Cont'd) What is the role of RTOs in market monitoring– collect information, but leave enforcement to FERC and DOJ. Will RTOs solve all problems– no, they won't solve comparability regarding native load customers, issues related to CBM, and incompatible market rules between RTOs. EPSA provided a set of key principles and priorities to achieve well-functioning competitive markets (see attached): generation market efficiency, transmission market efficiency, interconnection policy, and comparability. (Cont'd in cell below) | | | | |
| 1204 | Murrell, William E. (Line 3 of 4) | Office of Markets, Tarriffs, and Rates | | | | | (Cont'd) Most of the discussion focused on the extent to which generation markets are workably competitive. EPSA argues that recent price spike occurrences are expected in competitive markets, and in any case the impacts on customers is minimal, when you consider that spikes occur in auction markets, which compared to bilateral markets are a small part of the total market, and that spikes are short in duration. EPSA warns against using bid and price caps as remedies for price spikes. (Cont'd in cell below) | | | | |

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| 1205 | Murrell, William E. (Line 4 of 4) | Office of Markets, Tarriffs, and Rates | | | | | (Cont'd) First, they create disincentives for load serving entities to develop hedging strategies. Second, they diminish entry; in particular, investors in peakers worry they will not recover their capital costs. As evidence, EPSA suggests that construction of merchant activity is taking place in the Midwest and southeast more than any other region, two places where there are no ISO-established power markets, no price caps, and ironically, the largest number of complaints about transmission access. In contrast, EPSA believes that the need for major transmission investment is relatively modest except for a limited number of bottlenecked flowgates. | | | | |

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| 1206 | Murrell, William E. | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communic ation | | OMTR | INGAA: John Somerhalder, President, El Paso Pipeline Group; Stan Horton, Chairman & CEO, Enron Gas Pipeline Group; Chris Helms, President & COO, CMS Panhandle Pipeline Companies; Cuba Wadlington, President & CEO, Williams Gas Pipeline; Richard Kruse, Senior VP, Duke Energy; Carl Levander, VP, Columbia Gas Pipeline Co.; Jerry Halvorsen, INGAA; Joan Dreskin, INGAA; IJJudy Niessen, Williams; Dan Collins, Coastal (El Paso); Peggy Hegg (El Paso); Shelly Corman, Enron; Rich Gryger, CMS; FERC: OMTR: Dan Larcamp, Kevin Madden, Shelton Cannon, Dick OÆNeill, Rob Cupina, Saida Shaalan,and perhaps others | Pipeline perceptions on current natural gas markets; concerns with decontracting and turnback of firm capacity (loss of markets and market share); concerns with perceived increasing market risk; and opportunity to serve new electric generators | 7/7/2000 | | no | |
| 1207 | Murrell, William E. | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communic ation | Yes | Notice organizing Staff Conference | | Staff conference on affiliate issues | 3/15/2001 | | 9/27/2001 NOPR in Docket No. RM01-10-000 | See report or Demetra Anas |
| 1208 | Murrell, William E. | Office of Markets, Tarriffs, and Rates | Policy Innovation and Communic ation | Yes | INGAA Regulatory Affairs Group | William E. Murrell and Marsha Gransee. Presume that respresentatives of Enron-related affiliates were present, either in person or by telephone. | Briefing on Order No. 637 | | Either February 10th or 11th 2000 | | Order No. 637 was voted on February 9, 2000. |
| 1209 | Naca, Dianne | Office of General Counsel | Solicitor | No | | | | | | | |

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| 1210 | Nacy, Joseph R. | Office of Administrative Law Judges | Office of Administrative Law Judges | No | | | | | | | |
| 1211 | Nacy, Joseph R. | Office of Administrative Law Judges | Administrative Law Judges | No | | | | | | | |
| 1212 | Nash, Jennifer A. | Office of the Executive Director | MAPS | No | | | | | | | |
| 1213 | Naugle, Steven G. | Office of Energy Projects | Division of Hydropower Administration and Compliance | Yes | | | | | | | |
| 1214 | Naugle, Steven G. | Office of Energy Projects | Division of Hydropower Administration and Compliance | Yes | Steve Naugle, FERC | Debora Schallert, PGE JoLee Gardner, Kleinschmidt Assoc. | Email providing coordinated FERC staff comments on a draft of a Memorandum of Agreement among the FERC, the Oregon SHPO, and the Advisory Council on Historic Preservation on Managing Historic Properties that May Be Affected By an Order Issuing to PGE for the proposed surrender and decommissioning of the Bull Run Hydroelectric Project, FERC No. 477. | | 07/01-09/30/99 | No | None |

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| 1215 | Naugle, Steven G. | Office of Energy Projects | Division of Hydropower Administration and Compliance | Yes | FERC, OEP, Division of Licensing and Com | Oregon SHPO Confederated Tribes of the Warm Springs THPO Antone Minthorne, Chairman of the Board of Trustees, Confederated Tribes of the Umatilla Indian Reservation The Advisory Council on Historic Preservation PGE officials | To inform that PGE had initiated activities to surrender its license for the Bull Run Hydroelectric Project, FERC No. 477; to notify: (1)the FERC had determined that this proposal is an undertaking as defined in 36 CFR, sectio 800.16(y), and is the type of activity that has the potential to cause effects on historic properties; (2)that FERC intended to use the process and documentation required to comply with NEPA to comply with Section 106 of the NHPA; and (3) that the FERC had authorized PGE to initiate consultation on this undertaking | | | No | None |
| 1216 | Nawrocki, Anabelle B | Office of Energy Projects | D2SI/SFRO | No | | | | | | | |
| 1217 | Nelson, Margaret K. | Office of the Chairman | Office of the Chairman | No | | | | | | | |
| 1218 | Newman, James, C. | Office of the Executive Director | Financial Policy | No | | | | | | | |
| 1219 | Ng, Camilla | Office of Markets, Tarriffs, and Rates | Market Development | No | | | | | | | |

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| 1220 | Nguyen, Buu T. | Office of Energy Projects | Pipeline Certificates - Branch 1 | No | | | | | | | There is no non-public communication during my review of Calypso's application, Docket No. CP01-409-000, et.al., filed July 20, 2001. |
| 1221 | Nguyen, Kim A | Office of Markets, Tariffs, and Rates | Litigation | No | | | | | | | |
| 1222 | Ni, Alice, S. | Office of the Chief Informati on Officer | IT Infrastructu re and Operations | No | | | | | | | |
| 1223 | Ni, Cheum | Office of Markets, Tariffs, and Rates | Division of Tariffs and Rates - West | Yes | publicly noticed technical conference. | all interested parties were invited. | Transwestern's proposal in Docket No. RP01-56-000 to sell capacity options. | 1/9/2001 | | On 4/30/01, the Commission issued an order rejecting the propodsal. | |
| 1224 | Ni, Cheum | Office of Markets, Tariffs, and Rates | Division of Tariffs abd Rates - West | Yes | publicly noticed technical conference. | All interested parties were invited. | Northern Natural's Order No. 637 compliance filing in Docket No. RP00-404-000. | | 01/23/01 - 01/24/01 | The Commission has not acted on the filing. | |
| 1225 | Nichols, Nils N. | Office of Chairma n James Hoecker | Natural Gas | Yes | Unknown | Chairman James Hoecker, Nils Nichols, Stan Horton, Shelley Corman, and several other individuals that I cannot recall. | Courtesy visit. | | approximat ely summer of 2000 | Not to my knowledge | There may have been a second courtesy visit in 2000 but I'm not sure. |

# Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 1226 | Nichols, Nils N. | Office of Chairman James Hoecker | Natural Gas | Yes | Unknown | Chairman Hoecker and various industry representatives whose names I do not recall. | Conference call to discuss energy supply issues. | | late fall or early winter 2000 | No | |
| 1227 | Nichols, Nils N. | Office of the Chairman | Natural Gas | Yes | Unknown | Nils Nichols and Enron employee whose name I do not recall. | Phone conversation to discuss purpose of upcoming conference call on energy supply issues. | | Late fall or early winter 2000 | No. | |
| 1228 | Nicholson, Phillip R | Office of Markets, Tariffs, and Rates | Division of Tariffs and Rates-West | No | | | | | | | |
| 1229 | Nicks, Causha | Office of the Secretary | Dockets | No | | | | | | | |
| 1230 | Niehaus, Carol L. | Office of the Chief Information Officer | IT Infrastructure & Operations | No | | | | | | | |
| 1231 | Niehaus, Kenneth, P | Office of Energy Projects | Pipeline Certificates | Yes | Kenneth P. Niehaus | Maria K. Pavlou | Transwestern's filing in Docket No. RP96-352-000 to participate in Commission's pilot progam temporarily eliminating price cap for capacity release transactions and pipelines' interruptible services | | | No. Staff data request seeking additional info on filing in Docket No. RP96-352-000 | Commission pilot program never implemented because selected companies protested the Commission's data filing requirements and withdrew from proposed progam. |

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| 1232 | Niehaus, Kenneth, P | Office of Energy Projects | Pipeline Certificates | | Kenneth P. Niehaus | Ms. Donna Martens, Transwestern Sr. Regulatory Analyst | Data Request for Transwestern proposed expansion in Docket No. CP01-115 | | | No. Response provided info for staff to base its recommendations in Docket No. CP01-115. Eventually the Commission approved Transwestern's proposed construction | Routine data request from staff to applicant seeking additional info. |
| 1233 | Nieman, Kathleen E. | Office of Markets, Tariffs, and Rates | Tariff and Rates-East | Yes | Commission | I do not know | The Commission directed staff to convene a settlement conference to discuss all issues raised by intervenors in a Transwestern take-or-pay proceeding. | 4/14/1994 | | The Commission approved a settlement by letter order dated October 18, 1994. | I'm reporting this out of an abundance of caution. While I do not recall this settlement conference, I found a memo I wrote regarding the settlement. Since this settlement conference appears to be akin to a technical conference and since the analyst attending the conference generally wrote the memo and order for the conferences he participated in, I presume I went. It appears there must have been other discussions with Transwestern because the Commission granted Transwestern's two requests for extension of time for staff to file its report. |
| 1234 | Nimis, Mary Agnes Ar | Office of Markets, Tariffs, and Rates | East - Branch 2 | No | | | | | | | |
| 1235 | Nocero, Cathie L. | Office of the Executiv e Director | Human Resources Manageme nt Division | No | | | | | | | |
| 1236 | Nolasco, Sabrina Alta | Office of the Executiv e Director | Division of Financial Services | No | | | | | | | |

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| 1237 | Nombrana-Owens, M | Office of General Counsel | Litigation | No | | | | | | | |
| 1238 | Noone, Kerry J. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates: East | Yes | Commission issued notice of technical conference | Various Florida Gas Transmission customers, Staff: Bob McLean OGC; Mike Strzelecki OMTR | Florida Gas' filing submitted in RP99-186-000 | 3/11/1999 | | Subsequent to technical conference Commission issued an order on filing | |
| 1239 | Noone, Kerry J. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates: East | Yes | Commission Staff | Representatives of Northern Natural Gas Company, customers, marketers, etc. Commission Staff: Jeffery Golfomp, Jackson Fray, Randy Adams(?), John Buckley | Northern Natural's Order No. 636 restructuring proceeding (RS) | | Spring 1993 | Settlement was eventually filed by Northern Natural Gas Company resolving restructuring proceeding. Commission issued an order on Settlement | |
| 1240 | Noone, Kerry J. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates: East | Yes | Rob Kilmer, Florida Gas Transmission | Conference call on 11/05/2001. Various Florida Gas personnel. Commission Staff: Rob Cupina, Bob Christin, Jeff Wright(?), Kerry Noone, various other Commission Staff | Prefiling call to discuss Florida Gas' proposed Phase VI expansion. | 11/5/2001 | | No | |
| 1241 | Norman, Jeffrey B. | Office of Markets, Tarriffs, and Rates | Market Developme nt | No | | | | | | | |
| 1242 | Norman, Jeffrey, B. | Office of Markets, Tarriffs, and Rates | Market Developme nt | No | | | | | | | |

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| 1243 | Novak, John K. | Office of Energy Projects | Hydropower Administration and Compliance | Yes | John K. Novak | Robert Steele(PGE) | Fish kill at the Willamette Falls Project(P-2233) | | May 3- June 15,2000 | Letter to PGE dated June 29,2000, finding no violation of license occurred. | |
| 1244 | Novak, Martin W. | Office of the Executive Director | Strategy and Planning Staff | Yes | Likely OMTR | A large number of people; this was held in the Commission meeting room. | Three Enron employees demonstrated Enron Online to interested Commission employees. | | Sometime during 2000. | No action was required by the Commission as this was a demonstration for informational purposes. | |
| 1245 | Novak, Steven M | Office of the Chief Information Officer | Director of Info and Systems Assurance | No | | | | | | | |
| 1246 | Nutsch, Casey | Office of the Chief Information Officer | IT Infrastructure & Operations | No | | | | | | | |
| 1247 | Nygaard, K. Kristina | Office of General Counsel | Energy Projects | Yes | Thomas E. Mark | Thomas E. Mark, solo practitioner, attorney for Portland General ELectric Co. (PGE); Julie A. Keil, Director of Hydro Licensing and Water Rights, PGE; K. Kristina Nygaard, Associate Counsel for Energy Projects, FERC. | Courtesy meeting to advise staff of PGE plans to transfer licensed Bull Run Hydroelectric Project No. 477 to a municipality, rather than seek a new license. | 7/14/1999 | | No. | |
| 1248 | Nygaard, K. Kristina | Office of General Counsel | Energy Projects | Yes | Thomas E. Mark | Thomas E. Mark, solo practitioner, attorney for PGE; Julie A. Keil, Director of Hydro Licensing and Water Rights, PGE; K. Kristina Nygaard, Associate Counsel for Energy Projects, FERC. | Courtesy meeting to advise staff of revised proposal for disposition of Bull Run Project No. 477. | 9/16/1999 | | No | |
| 1249 | Nygaard, K. Kristina | Office of General Counsel | Energy Projects | Yes | Thomas E. Mark | Thomas E. Mark, solo practitioner, attorney for PGE; Julie A. Keil, Director of Hydro Licensing and Water Rights, PGE; K. Kristina Nygaard, Associate Counsel for Energy Projects, FERC. | Courtesy visit to advise staff of newly arisen problems concerning PGE's proposed disposition of its licensed Bull Run Hydroelectric Project No. 477. | 5/4/2000 | | No. | |

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| 1250 | Nygaard, K. Kristina | Office of General Counsel | Energy Projects | Yes | Thomas E. Mark | Thomas E. Mark, solo practitioner, attorney for PGE; Julie A. Keil, Director of Hydro Licensing and Water Rights, PGE; K. Kristina Nygaard, Associate Counsel for Energy Projects, FERC. | Courtesy visit to advise staff of yet further complications regarding PGE's proposal to dispose of its licensed Bull Run Hydroelectric Project No. 477. | 3/22/2001 | | No. | |
| 1251 | Nygaard, K. Kristina | Office of General Counsel | Energy Projects | Yes | Thomas E. Mark | Thomas E. Mark, solo practitioner, attorney for PGE; Julie A. Keil, Director of Hydro Licensing and Water Rights, PGE; K. Kristina Nygaard, Associate Counsel for Energy Projects, FERC. | Courtesy visit to advise staff of new PGE plan with respect to the disposition of its licensed Bull Run Hydroelectric Project No. 477. | 4/15/2001 | | No. | |
| 1252 | Nygaard, K. Kristina | Office of General Counsel | Energy Projects | Yes | Thomas E. Mark | Thomas E. Mark, solo practitioner, attorney for Portland General Electric Co. (PGE) | Courtesy visit to advise FERC staff of a filing PGE and Tribe propose to file with respect to relicense application for the licensed Pelton-Round Butte Hydroelectric No. 2030. | 12/7/1999 | | No. | |
| 1253 | Nygaard, K. Kristina | Office of General Counsel | Energy Projects | Yes | Thomas E. Mark | Thomas E. Mark, solo practitioner, attorney for Portland General Electric Co. (PGE); Julia A. Keil, Director of Hydro Licensing and Water Rights, PGE; K. Kristina Nygaard, Associate Counsel for Energy Projects, FERC. | Courtesy visit to advise staff about proposed settlement on annual charges for the use of a tribal reservation by the licensed Pelton-Round Butte Hydroelectric Project No. 2030. | 9/16/1999 | | No. | |
| 1254 | Nygaard, K. Kristina | Office of General Counsel | Energy Projects | Yes | Thomas E. Mark | Thomas E. Mark, solo practitioner, attorney for Portland General Electric Co. (PGE); K. Kristina Nygaard, Associate Counsel for Energy Projects, FERC; Linda S. Gilbert, senior attorney, Office of the General Counsel, Energy Projects, FERC; David B. Wuehrmann, senior attorney, Office of the General Counsel, Energy Projects, FERC; Ellen Korthaus-Vos, attorney, Office of the General Counsel, Energy Projects, FERC | Courtesy visit to advise staff about PGE's plans for its licensed Pelton-Round Butte Hydroelectric Project No. 2030. | 9/18/2000 | | No. | |

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| 1255 | Nygaard, K. Kristina | Office of General Counsel | Energy Projects | Yes | Thomas E. Mark | Thomas E. Mark, solo practitioner, attorney for Portland General Electric Co. (PGE); Julie A. Keil, Director of Hydro Licensing and Water Rights, PGE; K. Kristina Nygaard, Associate Counsel for Energy Projects, FERC. | Courtesy visit to advise staff about progress of relicense application for PGE's licensed Pelton-Round Butte Hydroelectric Project No. 2030. | 3/22/2001 | | No. | |
| 1256 | Oakley, Janet L. | Office of General Counsel | Energy Projects | No | | | | | | | |
| 1257 | Oberoi, Geeta | Office of General Counsel | Markets, Tariffs, and Rates | No | | | | | | | |
| 1258 | OBrien, Susan Beth | Office of Energy Projects | DEER, West Branch 2 | No | | | | | | | |
| 1259 | OBrien, Susan Beth | Office of Energy Projects | DEER, West Branch 2 | | | | | | | | |
| 1260 | O'Connor, Jerie, P. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - West | No | | | | | | | |
| 1261 | O'Donnell, Lauren H. | Office of Energy Projects | DEER | No | | | | | | | |
| 1262 | Ogur, Jonathan | Office of Markets, Tarriffs, and Rates | Division of Market Developme nt | No | | | | | | | |

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| 1263 | Okrak, John M. | Office of the Executiv e Director | Division of Regulatory Audits | | Commission audit staff. | Varoius Northern Border Pipeline Co. accounting dept. personnel and members of the FERC audit staff. | Various accounting related subjects related to Commission's financial compliance audit of Northern Border Pipeline Co. | | Various dates between April 1993 and May 1996 | The result of the various meetings and completion of the audit led to the issuance of an audit report on may 17, 1996 to Northern Border in Docket No. FA93-45-000. | |
| 1264 | Oliva, Michael A. | Office of the Executiv e Director | Division of Regulatory Audits | Yes | Litigation Team RP 99-322 | Members of FERC Litigation team, Northern Border Pipeline Company staff(RP99-322). | Gathering information related to rate filing RP99-322 | | November 1999 - December 2000 | RP99-322 resulted in rate case settlement. | |
| 1265 | Olson, Ingrid M | Office of General Counsel | MTR | Yes | Commission public notice | Over 100 people. From Staff: Ellen Schall, Andy Lyon, Ingrid Olson,Robert Petrocelli, Elizabeth Zerby, Perry Brown, Paula Crunkilton,Ray James, Frank Gurley. From Enron: Jane Tholt, Rebecca Cantrell, Nancy Bagot, Leslie Lawner. | Capacity allocation on El Paso, Docket No. RP00-336-002, et al. | | 7/18-19/01; 8/28/01 | Not yet | |
| 1266 | O'Malley, Joseph B. | Office of General Counsel | Energy Projects | Yes | | | | | | | |
| 1267 | Omkar, Songthara | Office of Markets, Tarriffs, and Rates | Market Developme nt | No | | | | | | | |
| 1268 | O'Neill, Richard | Office of Markets, Tarriffs, and Rates | CEA | Yes | | Joe Hartsoe | gas and electric | | July 26 2001 | no | |

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| 1269 | O'Neill, Richard | Office of Markets, Tarriffs, and Rates | CEA | Yes | I did | Richard O'Neill, Virginia Strasser, Marsha Gransee, Bruce Stram, Steve Kean and other Enron employees | Visit to the trading floor | | Between April 25-28, 2000 | No | |
| 1270 | O'Neill, Richard | Office of Markets, Tarriffs, and Rates | CEA | Yes | | Jeff Watkiss, Dick Tabors, Steve Kean, Shelly Corman, Donna Fulton, Bruce Stram, Rebecca Mark, Terry Thorne, Mary Kay, Cathy Abbott, Stan Horton, Leslie Lawner, Cynthia Sandherr and Kathleen Magruder | gas and electric | | 1992 - 2001 | no | I have had many meeting over this period, but I have not yet located specific time and topics |
| 1271 | O'Neill, Richard | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communic ation | Yes | OMTR | EPSA: Mary Beth Tighe (Amerada Hess), Lorraine Cross (Mirant), Mary Doyle (Dynegy), Larry Eisenstat (Dickstein Shapiro), John Meyer (Reliant Energy), Julie Simon (EPSA), Judy Neason (Williams), James Steffes (Enron), Mark Bennett (EPSA), Erin Perrigo (EPSA), Harry Singh (PG&E National Energy Group), Lee Barnett (Duke Energy North America) FERC: OMTR: Charles Faust, Eliot Wessler, Michelle Veloso, Perry Brown, Pete Nagler, Shelton Cannon, Don Gelinas, Dan Larcamp, Rob Cupina, David Mead, Bob Flanders, Jim Campbell, Jacqueline Caul, John Carlson, Ross Glasgow, Melissa Lord, John Rogers, Marv Rosenberg, Donna Zugris, Richard Mabry, Walter Birch, Kirk Randall, Salda Shaalan, Kim Khu, Alice Fernandez; OGC: Cyndy Marlette, Marsha Gransee; OED: Jim Guest, Karen Robinson, Charles Whitmore. | ✦ Comparability of transmission service ✦ Efficient RTO formation and operation (seams issues) | 5/30/2001 | | No. | |

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| 1272 | Ott, Deborah | Office of Markets, Tarriffs, and Rates | Rates East | No | | | | | | | |
| 1273 | Owens, Yvonne | Office of Markets, Tarriffs, and Rates | Chief Economic Advisor | Yes | Public Notice,FERC Staff,Northern Nat. | All parties to the proceeding,including Northern Natural and FERC Staff. | Settlement conferences to resolve cost of service and rate design issues. | | | Settlement of rate proceeding filed on April 16, 1999, supported by all parties. | |
| 1274 | Owens, Yvonne | Office of Markets, Tarriffs, and Rates | Chief Economic Advisor | Yes | Public Notice,FERC Staff,Northern Nat. | All parties to the proceeding,including Northern Natural and FERC Staff. | Docket No.RP98-203-000 Settlement conferences to resolve cost of service and rate design issues. | 5/5/1998 | | Settlement of rate proceeding filed on April 16, 1999, supported by all parties. | |
| 1275 | Pacella, Beth G. | Office of General Counsel | Solicitor | Yes | D.C. Circuit Mediator Harold Himmelman | Tom Doolan for TVA; Dan Watkiss for Enron and Virginia Electric Power; Neil Levy for Tenaska Power Services; Mac Norton for Entergy; Harold Himmelman the mediator | court ordered mediation in Enron v. FERC, D.C. Cir. No. 00-1421 | 6/11/2001 | | No | |
| 1276 | Pacella, Beth G. | Office of General Counsel | Solicitor | Yes | D.C. Circuit mediator Harold Himmelman | Tom Doolan for TVA; Dan Watkiss and Jackie Java for Enron and Virginia Electric Power; Neil Levy for Tenaska Power Services; Mac Norton for Entergy; Harold Himmelman the mediator | court ordered mediation in Enron v. FERC, D.C. Cir. 00-1421 | 7/24/2001 | | No | |
| 1277 | Pacella, Beth G. | Office of General Counsel | Solicitor | Yes | D.C. Circuit mediator Harold Himmelman | Tom Doolan for TVA; Dan Watkiss and Jackie Java for Enron and Virginia Electric Power; Neil Levy for Tenaska Power Services; Mac Norton and Greg Camet for Entergy; Harold Himmelman the mediator | court ordered mediation in Enron v. FERC, D.C. Cir. 00-1421 | 10/11/2001 | | No | |

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| 1278 | Papsidero, Thomas F. | Office of Energy Projects | DHAC | No | | | | | | | |
| 1279 | Paquin, John R. | Office of Energy Projects | Assistant Director's Office | No | | | | | | | |
| 1280 | Parsons, Julie J. | Office of Markets, Tarriffs, and Rates | IRRM | Yes | Ferc; CIG tech conference RP00-325 | See response of Frank Sparber | See response of Frank Sparber | 10/2/2000 | | See response of Frank Sparber | |
| 1281 | Parsons, Julie J. | Office of Markets, Tarriffs, and Rates | IRRM | Yes | FERC: Questar RP00-397 tech conference | See response of Frank Sparber | See response of Frank Sparber | 1/11/2001 | | See response of Frank Sparber | |
| 1282 | Patchan, Michele L. | Office of Energy Projects | Division of Pipeline Certificates | No | | | | | | | |
| 1283 | Patterson, Linda M. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Commission Order; Various Parties to the proceeding and/or FERC Trial Staff | FERC Trial Staff and Parties, including Enron Power Marketing, Inc. | California PX Administrative Charge - Docket No. ER98-210-000, Hearing/Settlement Conferences | Various dates | Between late December 1997 and March 1999 | Contested settlement pending. Enron contested the settlement | |
| 1284 | Patterson, Linda M. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Commission Order; Various Parties to the proceeding and/or FERC Trial Staff | FERC Trial Staff and Parties, including Enron Power Marketing, Inc. | California ISO Grid Management Charge - Docket No. ER98-211-000, Hearing Settlement Conferences | Various dates | Between late December 1997 and June 1, 1998 | June 1, 1998 letter order approving the settlement | |

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| 1285 | Patterson, Linda M. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Commission Order; Various Parties to the proceeding and/or FERC Trial Staff | FERC Trial Staff and Parties, including Enron Power Marketing, Inc. | Non-rate terms and conditions of Participating Transmission Owner Tariff and treatment of distributed generation - Docket No. ER97-2358-002, et al., Hearing Settlement Conferences | Various dates | Between late December 1997 and October 1999 | Hearing; Commission Opinion pending. | |
| 1286 | Patterson, Linda M. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Commission Order; Various Parties to the proceeding and/or FERC Trial Staff | FERC Trial Staff and Parties, including Enron Power Marketing, Inc. | Pacific Gas and Electric Company's proposed transmission rates - Docket No. ER99-2326-0000, Hearing Settlement Conferences | Various dates | Betweeen late June 1999 and May 2000 | Hearing; Commission Opinion pending. | |
| 1287 | Patterson, Linda M. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Commission Order; Various Parties to the proceeding and/or FERC Trial Staff | FERC Trial Staff and Parties, including Enron Power Marketing, Inc. | Pacific Gas and Electric Company's proposed transmission rates - Docket No. ER994323-0000, Hearing/ Settlement Conferences | Various dates | Between Late October 1999 and September 2000 | Settlement | |
| 1288 | Patterson, Linda M. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Commission Order; Chief Judge Wagner | FERC Trial Staff and Parties, including Enron Energy Services, Inc. | California ISO Transmission Access Charge - Docket No. ER00-2019-000, Settlement Conference | Various dates | Between January 2000 through present | On-going | |
| 1289 | Patterson, Linda M. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Commission Order; Chief Judge Wagner | FERC Trial Staff and Parties, including Enron Power Marketing, Inc. | Forward Contracts - Docket No. PL01-2-000, Settlement Conferences | Various dates | Between mid-December 2000 and mid-January 2000 | Proceeding terminated with no resolution | |
| 1290 | Patterson, Linda M. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Commission Order; Administrative Law Judge Birchman | FERC Trial Staff and Parties, including Enron Power Marketing and Enron Energy Services, Inc. | California "Refunds" proceeding Docket No. EL00-95-045, Pre-Hearing Conferences | Various dates | Between mid-August 2000 through present | On-going with Phase I of the hearing to commence March 11, 2002. | |

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| 1291 | Paulsen, Connie D. | Office of Energy Projects | D2SI -PRO | No | | | | | | | |
| 1292 | Pawelek, Adam S. | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |
| 1293 | Pawlikowski, Witold J | Office of Energy Projects | Division of Dam Safety and Inspections (D2SI) | No | | | | | | | |
| 1294 | Pawlowski, Mark, A | Office of Energy Projects | DEER | No | | | | | | | |
| 1295 | Pazmino, John E | Office of Energy Projects | D2SI | Yes | | | | | | | |
| 1296 | Peacock, Robert T. | Office of Energy Projects | D2SI | No | | | | | | | |

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| 1297 | Pease, Robert E. (Line 1 of 2) | Office of General Counsel | Market Oversight and Enforcement | Yes | FERC staff and the California ISO staff | From Enron: Dave Perrino, G. Alen Comnes and Ray Alverez. In addition, representatives from state regulatory agencies and from all of the major and minor generators were present at the two day meeting. The following individuals were present: Jackie Thomas, Reliant; Kurt Bilas, Reliant; Debra Raggio Bolton, Mirant; Steve Hunman, Mirant; Jan Strack, SDG&E, Steve Cohadiri, Electricity Oversight Board; Reggie Howard, Reliant; Ziad Alaywan, CAISO; Brian Thurston, PG&E; Roy Kuga, PG&E; Claudia Grief, PG&E; Kermit Kubitz, PG&E; Elisa Grammer, CERS; Gary Stern, SCE; Douglas Kerner, IEP; Harold Ray, SCE; Homid Dayan. CDWR; Bill Green. CERS, Jeehi Parr, CERS:Tim Muller, Williams; Dennis Elliott Williams; W. Kent Palmerton, Williams; Joel Newton, Dynegy, Doug Parker, SCE; Mike Macknesl, SCE; Chris Pallenle, Duke; George Vaugn, Duke; Steve Greenleaf, CAISO; Elena Schmid, CAISO; Don Fuller, CAISO; Tom Slegal, CAISO; Anjell Sheffrin, CAISO; Debi LaVine, CAISO; Spence Gerber, CAISO; Randy Abernathy, CAISO; Dave Timson, CAISO; {Cont'd in cell below} | Issues affecting the system reliability of teh ISO grid, as well as the procedures the ISO uses for scheduling, dispatchinga nd billing. | | 2 days | No. Non-binding agreements in principle were published ont eh CAISO and FERC websites. | |

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| 1298 | Pease, Robert E. (Line 2 of 2) | Office of General Counsel | | | | (Cont'd) Dennis Peters, CAISO; Jim McClain, CAISO;, Brron DeVilbies, CDWR; Susan Lee, CDWR; Chris Sibley, CAISO; John Goodin, CAISO; Keon Almeida, CAISO; Kyle Hoffman, CAISO; Beth McQuillen, CPUC; Xuguang Leng, CPUC; Vicken Kasarjian, CAISO; Eric Hildebrandy, CAISO; Lisa Kalmer, CAISO; Bill Regan, CAIOS; Karen Shea, Dynegy; Jan Smunty-Jones, IEP; Kim Hubner, CAISO;Kevin Clni, CSE; Steven Fliflet, Duke; Todd Hendricks, Duke; Michael Stewart, Calpine; David Francis, Dynegy; Aaron Johnson, CPUC; Dwayne Burks, Williams; Jim Avery, SDG&E; Chris Turner, Mirant; Curtis Kebler, Reliant; Rob Hayes, Mirant; John Meyer, Reliant; Jim McIntosh, CAISO; Jim Detmers, CAISO; Kellen Fluckigon, CEP; Charles Robinson, CAISO; Margaret Rotsker, CAISO; Terry Winter, CAISO; Rick Miles, FERC; Bryan Craig, FERC; Bruce Poole, FERC; Kate Kaplan, IEP; Hamid Dayani, CERS; Roger Johnson, CERS. | | | | | |
| 1299 | Pederson, James A | Office of Pipeline Regulation | Division of Litigation | | Ye | FERC Staff | FERC Litigation Staff and Northern Natural Personnel | Company Field Audit at Northern's offices in Omaha, Nebraska in Docket No. RP98-203 | | | None |
| 1300 | Pederson, James A | Office of Pipeline Regulation | Division of Litigation | | Ye | Publicly Noticed Meetings, FERC Staff | All parties in Docket No. RP-203 including FERC Staff and Northern Natural | Settlement Negotiations | | Various dates between May 1998 and April 1999 | When a settlement was reached and filed, the Commission approved the settlement by order issued April 16, 1999 | Settlement supported by all parties |

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| 1301 | Pederson, James A | Office of Pipeline Regulation | Division of Litigation | Y | FERC Staff | FERC Litigation Staff and Florida Gas Personnel | Company Field Audit at Florida's offices in Docket Nos. RP96-366-000 and FA94-15-002 | | | None | |
| 1302 | Pederson, James A | Office of Pipeline Regulation | Division of Litigation | Y | Publicly Noticed Meetings, FERC Staff | All parties in Docket Nos. RP96-366 and FA94-15 including FERC Staff and Florida Gas | Settlement Negotiations | | Various dates between October 1996 and August 1997 | When a settlement was reached and filed, the Commission approved the settlement by order issued September 24, 1997 | Settlement supported by all parties |
| 1303 | Pederson, James A | Office of Pipeline Regulation | Division of Litigation | Y | FERC Staff | FERC Litigation Staff and Northern Natural Personnel | Company Field Audit at Northern's offices in Omaha, Nebraska in Docket No. RP98-203 | | | None | |
| 1304 | Pederson, James A | Office of Pipeline Regulation | Division of Litigation | Y | Publicly Noticed Meetings, FERC Staff | All parties in Docket No. RP-203 including FERC Staff and Northern Natural | Settlement Negotiations | | Various dates between May 1998 and April 1999 | When a settlement was reached and filed, the Commission approved the settlement by order issued April 16, 1999 | Settlement supported by all parties |
| 1305 | Pederson, James A | Office of Pipeline Regulation | Division of Litigation | Y | FERC Staff | FERC Litigation Staff and Florida Gas Personnel | Company Field Audit at Florida's offices in Docket Nos. RP96-366-000 and FA94-15-002 | | | None | |
| 1306 | Pederson, James A | Office of Pipeline Regulation | Division of Litigation | Y | Publicly Noticed Meetings, FERC Staff | All parties in Docket Nos. RP96-366 and FA94-15 including FERC Staff and Florida Gas | Settlement Negotiations | | Various dates between October 1996 and August 1997 | When a settlement was reached and filed, the Commission approved the settlement by order issued September 24, 1997 | Settlement supported by all parties |
| 1307 | Pederson, Jerome | Office of Markets, Tariffs, and Rates | Firm | No | | | | | | | |

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| 1308 | Pederson, Jerome | Office of Markets, Tarriffs, and Rates | lirm | | | | | | | | |
| 1309 | Penkrot, David R. | Office of Markets, Tarriffs, and Rates | WEST GROUP 3 | No | | | | | | | |
| 1310 | Pepper, James A | Office of General Counsel | Administrati ve Litigation | Yes | Parties in the AEP/CSW merger proceeding | Most active parties in the case | Settlement | | various meetings 1998-99 | No | I don't have specific dates of settlement conferences, who was present at any particular conference, what the topic of discussion was at any particular conference, or the outcome. Enron did not settle with AEP and participated in cross-examination and briefing. |
| 1311 | Pepper, James A | Office of General Counsel | Litigation | Yes | Steve Angle | Parties to Docket No. EC98-40 | Ancillary Services Settlement offer | | | Commission Approved the merger | Enron Marketing was an active party in this case |
| 1312 | Perez, Edward J. | Office of Energy Projects | Dam Safety and Inspections | Yes | FERC | Various representatives of PGE's Hydro power group | Gernal discussions regarding FERc policy and requirements, and annual submittals. | | Annually 1997 through 2001 | Some items discussed resulted in follow-up actions or acknowledgement of receipt of submitted items. | |
| 1313 | Perry, Lona | Office of General Counsel | Solicitor | No | | | | | | | |
| 1314 | Perry, Renee | Office of General Counsel | General and Administrati ve Law | No | | | | | | | |

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| 1315 | Persaud, Phylecia E. | Office of Markets, Tarriffs, and Rates | DACT | No | | | | | | | |
| 1316 | Peters, Philip D. | Office of Commissioner Massey | Commissioner Massey | Yes | Donna Fulton | Donna Fulton, Robert Fulton, Philip D. Peters, and Leslie Peters | social engagement | | | No. | Social engagement at the home of Donna Fulton consisting of informal evening meal. |
| 1317 | Peters, Philip D. | Office of Commissioner Massey | Commissioner Massey | Yes | INGAA or FEBA | Nancy Bagot, Donna Fulton, Philip D. Peters | Informal social discussion | | May 30, 1993-February 27, 2002 | No | Contact consisting of informal social discussions, was made with these two individuals in the context of widely attended meetings such as INGAA or FEBA or after Commission meetings. |
| 1318 | Petrocelli, Robert J | Office of Markets, Tarriffs, and Rates | Rates West | Yes | INGA | Widely attended public conference | industry issues | 12/5/1998 | | none | I had no direct contact with any Enron staff at the conference. However, I may have met or have been introduced to someone I do not remember |
| 1319 | Petrocelli, Robert J | Office of Markets, Tarriffs, and Rates | Rates West | | Commission established conference | Mary K Miller VP Rates Northern Natural Cas Co; with staff and Commission staff as well as widely attended public parties | Northern 's Limited Firm Service proposal | 7/20/2000 | | approved proposal subject to conditions | |
| 1320 | Petrocelli, Robert J | Office of Markets, Tarriffs, and Rates | Rates West | | Commission established conference | Mary K Miller VP rates Transwestern Pipeline Co. Ferc Staff including OGC staff and technical staff | Transwestern's proposal to buy upstream capacity on Public Service of NM pipelne | 6/20/2000 | | Commission issued an order approving the proposal to add capacity to the interstate grid. | all parties attended and there was no off record contacts |

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| 1321 | Petrocelli, Robert J | Office of Markets, Tarriffs, and Rates | Rates West | | Northern Natural Gas Co. | various Enron staff (Mary K Miller or her staff) | various Incedental phone calls to set up meetings or check on status of compliance filings | | fall of 2000 through current | none | as group manager I receive routine calls from various companies from time to time either asking procedural questions or checking on the status of thier filings. I have not talked to any Enron official on any contested case. I have not talked to any Enron official on issues before the Commission or dates of pending commission actions. In fact I actually do not remember any discussion with Enron officials on any case other than with Mary K Miller on Northern Natural's Carlton Reslolution case, and that was procedural question about effective dates for small customer elections. |
| 1322 | Petrocelli, Robert J | Office of Markets, Tarriffs, and Rates | Rates West | Yes | Transwestern Gas Pipeline | Mary K Miller, VP Rates Transwestern and her staff. My superior Michael Coleman and several other Commission technical staff | pre-filing meeting to explain the Transwestern proposed option service | | fall of 2000 | Commission rejected proposal | There was also a public technical conference established in this proceeding that was widely attended by all parties and Commission staff. I do not think I was present but may have been. |
| 1323 | Petrocelli, Robert J | Office of Markets, Tarriffs, and Rates | Rates West | Yes | Company | Mary K. Miller, VP rates Northern Natural Gas Co. and her staff as well as several Commission staff including my boss. Public conference were widely attended. | Several prefiling meetings on Northern Natural's proposed Settlement in its Carlton Min. resolution of flow obligations(OFO). Northern made several filings and each time came into explain the filing to staff before fiing. After seveal Commission orders there were public technical conferences established each time for all parties to participate. | 5/25/1995 | 1995-2000 | Commission approved the first settlement but modified it. Commission rejected the second proposed modification. Commission recently accepted the last proposed modification, it was an unopposed settlement. | The Northern Natural Carlton Resolution proceeding was a long and evolved proceeding that involved many public technical conferences, and pre-filing meetings over several years. The last conference was June 25 2001. and the Commission's final order was dated November 2001. |
| 1324 | Petrocelli, Robert J | Office of Markets, Tarriffs, and Rates | Rates West | Yes | Matt Estes, Atty. for Portland General | Matt Estes; AW Turner Mick Farrell Joe Taylor, and Commission staff, including OGC attorney and technical staff | Portland General's Balanceing charge proposal set for technical conference by the Commission | 10/1/2000 | 10/01/00 and 10/12/00 | Company withdrew filing | |

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| 1325 | Petrocelli, Robert J | Office of Markets, Tariffs, and Rates | Rates West | Yes | Company Attorney | Matt Estes, attorney | procedures on new filing of balancing charge | 2/12/2001 | | Order drafted proposing to reject filing is scheduled for the next agenda | Mr Estes wanted to know how to file added information (officially and with a courtesy copy to whom) that had been requested by staff in a deficientcy letter dated in December 2001 and he wanted to know if the action date was revised as a result of the filing of added data. It was. |
| 1326 | Petrocelli, Robert J | Office of Markets, Tariffs, and Rates | Rates West | | Commission | widly attended puplic conference, including Mary K. Miller VP rates for Northern Natural and her staff. Also Commission staff from OGC and OMTR | Northern proposed a new Volumetric rate schedule | 7/20/2000 | | Commission issued an order conditionally accepting subject to modifications | I beleive there was also a pre-filing meeting. While I do not recall a date or specifics it is Northern Natural's practice to come for a pre-filing meeting on new rate schedule filings to explain the service proposed. That meeting would have been attended by me and my superior Michael Coleman, Director Rates West. I did not attend any pre-filing meetings ever with out my superiors present. |
| 1327 | Petrocelli, Robert J. | Office of Markets, Tariffs, and Rates | Rates West | Yes | Staff audit | Mary K Miller VP rates; Bill Cordes, VP regulatory affairs ; and their staffs | Northern Natural Gas Company Rate increase filing and its compliance filing with Order No. 636 | | 1992 | Commission approved eventual settlement by the parties | |
| 1328 | Petrocelli, Robert J. | Office of Markets, Tariffs, and Rates | Rates West | Yes | Commission established conference | Representitives for intervening parties in various non-Enron dockets. | I have attended many Commission established public technical conferences in various proceeding involving other pipeline companies such as El Paso Natural Gas Co where Enron intervened and attended. | | 1992-2001 | various | I had no direct contact with any Enron staff other than to maybe listen at various public conferences to their stated positions. All parties file subsequent public comments on the record in all of these proceedings. |
| 1329 | Peuser, John C. | Office of Markets, Tariffs, and Rates | Pipeline Rates - East | No | | | | | | | |

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| 1330 | Pewterbaugh, Kevin, | Office of Markets, Tariffs, and Rates | Litigation | Yes | FERC Staff or Northern Natural | FERC Staff, Northern Natural (wrt RP95-185), intervenors | Rate case for Northern Natural Gas Company, RP95-185, my responsiblity was depreciation and avg. CD-miles | | 1995-1996 | A stipulation and agreement was approved by the Commission | Meetings would include settelment meetings. If I'm not wrong, I also took a field trip to the Company offices (site visit or audit), the areas of my responsibility were depreciation and average CD-miles. |
| 1331 | Pewterbaugh, Kevin, | Office of Markets, Tariffs, and Rates | Litigation | Yes | Staff or Northern Natural | Staff, Northern Natural (wrt RP98-203), intervenors | rate case for Northern Natural Gas Company, RP98-203 | | 1998-1999 | stipulation and agreement was approved by the Commission | meetings would include settlement meetings. I also went on a site visit or audit to the Company's offices (7/26-31/98). My responsibility in this case was gas supply |
| 1332 | Pewterbaugh, Kevin, | Office of Markets, Tariffs, and Rates | Litigation | Yes | Staff or Northern Border representatives | Staff, Northern Border (wrt RP99-322), intervenors | rate case for Northern Border Pipeline Company, RP99-322 | | 1999-2000 | stipulation and agreement was approved by the Commission | meetings would include settlement meetings. I also went on a site visit, or audit, to the Company's offices (I don't know the dates). My responsibility in this proceeding was depreciation. |
| 1333 | Pierce, Bolton S | Office of the Chief Informati on Officer | Software Engineerin g | No | | | | | | | |
| 1334 | Pierce, Gerald A. | Office of Energy Projects | Division of Dam Safety and Inspections - Portland R.O. | Yes | me | Earl Curtis or Don Miller of Portland General Electric | I called on the telephone to schedule a 7-31-1998 site inspection of a leaky penstock at the Bull Run Project, FERC No. 477. | | | Site inpsection was conducted on 7-31-1998. | Refer to D2SI-PRO August 13, 1993 Special Operation Report. |
| 1335 | Pierce, H. Keith | Office of Markets, Tariffs, and Rates | Rates;East | | Unknown | Unknown | Settlement conferences in Northwest Pipeline Corp's Docket No. RP93-5 | | 10/30/92 through 12/30/93 | 71 FERC 61253 | Enron affiliates likely a party to the proceeding |

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| 1336 | Pierce, H. Keith | Office of Markets, Tariffs, and Rates | Rates:East | | Commission directed | unknown | Order No. 636 restructuring | 1/221/93 | | 64 FERC 61141 | Trunkline RS92-25 technical conference. Enron affiliates likely were parties to the proceeding |
| 1337 | Pierce, H. Keith | Office of Markets, Tariffs, and Rates | Rates:East | | Commission Directed | unknown | Trunkline RS92-25 Order No. 636 restructuring proceeding | 10/6/1992 | | 64 FERC 61141 | Enron affiliates likely parties to the proceeding |
| 1338 | Pierce, H. Keith | Office of Markets, Tariffs, and Rates | Rates:East | | unknown | unknown | Questar RP91-140 settlement conferences | | 1/1/92-7/24/92 | 61 FERC 61180 | Enron affiliates likely parties to the proceeding |
| 1339 | Pierce, H. Keith | Office of Markets, Tariffs, and Rates | Rates:East | | unknown | unknown | Northwest RP91-166 settlement conferences | | 1/1/92 - 7/1/93 | 68 FERC 61309 | Enron affiliates likely parties to the proceeding |
| 1340 | Pierce, H. Keith | Office of Markets, Tariffs, and Rates | Rates:East | | Commission directed | unknown | Dominion Inter. Transmission RP00-344 | 12/9/2000 | | 97 FERC 61316 | Enron affiliates likely parties to the proceeding |
| 1341 | Pierce, H. Keith | Office of Markets, Tariffs, and Rates | Rates:East | | Commission Directed | unknown | East Tennessee RP00-469 Order No. 637 proceeding | 12/12/2000 | | 98 FERC 61060 | Enron affiliates likely parties to the proceeding |

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| 1342 | Pierce, H. Keith | Office of Markets, Tarriffs, and Rates | Rates:East | | Commission Directed | unknown | Transcontinental Pipe Line RP00-461 Order No. 637 Proceeding | 12/7/2000 | | | Enron affiliates likely parties to the proceeding |
| 1343 | Pierce, H. Keith | Office of Markets, Tarriffs, and Rates | Rates:East | | Commission Directed | unknown | Tennessee's RP95-112, et al | | 3/6/95 through 3/10/95 | numerous orders | Enron affiliates likely parties to the proceedings |
| 1344 | Pierce, H. Keith | Office of Markets, Tarriffs, and Rates | Rates:East | | Commission directed | unknown | Tennessee RP95-112, et al | 4/6/1995 | | numerous orders | Enron affiliates likely parties to the proceedings |
| 1345 | Pierce, H. Keith | Office of Markets, Tarriffs, and Rates | Rates:East | | Commission Directed | unknown | Tennessee RP95-112, et al | 6/1/1995 | | numerous orders | Enron affiliates likely parties to the proceedings |
| 1346 | Pierce, H. Keith | Office of Markets, Tarriffs, and Rates | Rates:East | | unknown | unknown | East Tennessee CP95-118 system expansion in context of Order No. 636 compliance | 2/22/1995 | | unknown | Enron affiliates likely parties to the proceeding |
| 1347 | Plata, Frank J. | Office of the Secretary | Office of the Secretary | No | | | | | | | |

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| 1348 | Plumb, Daniel W. | Office of Energy Projects | DPR | Yes | Daniel W. Plumb | Keith L. Petersen <kpeters@enron.com> | Docket No. CP01-89-000 & CP01-100-000: An e-mail requesting the status of a withdrawal of Western Gas Resources-Texas, Inc.'s petition for Declaratory Order in Docket No. CP01-100-000. | | 1/2/2001-5/1/2001 | After 30 days the Petition was considered to be withdrawn. | |
| 1349 | Plumb, Daniel W. | Office of Energy Projects | DPC | Yes | Daniel W. Plumb | Keith L. Petersen <kpeters@enron.com> | Northern was asked to explain in greater detail the reconfiguration of facilities to be abandoned (The Mitchell-to-Gomez Lateral), (The Gomez Compressor Station Unit No. 5) and (The Reed State Lateral). | | 1/2/01-5/7/01 | An order in Docket No. CP01-89-000 was issued on May 15, 2001. | Donna Martens, Sr. Regulatory Analysis, (402) 398-7138 with Northern called me to confirm that I had received the supplemental information. |
| 1350 | Plumb, Daniel W. | Office of Energy Projects | DPC | Yes | Daniel W. Plumb | Keith L. Petersen, (402) 398-7421, Dir. Cert. & Reporting, Northern | A request for additional information in Docket No. CP01-89-000. | | 4/26/01-5/1/01 | No. | |
| 1351 | Plumb, Daniel W. | Office of Energy Projects | DPC | Yes | An Enron Company or myself. | An Enron Company or myself. | Occasional Communication with no specific record on CP Docket related matters, ie: case status and data requests. | | No specific record. | Additonal information from the company would result in a Commission Order, either granting or denying the request. | |
| 1352 | Poffenberger, Daniel, | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Conoco Pipe Line, Inc. | Jerry E. Rothrock - Conoco Pipe Line, Inc. Charles Caldwell (by phone) - EOTT Energy Operating, LP Marc Denkinger - Staff Trial Attorney Patrick Crowley - Technical Staff Hugh Stewart - Technical Staff Dan Poffenberger - Technical Staff | Settlement of EOTT's complaint against Conoco in Docket No. OR00-11-000. | 12/14/2000 | | No. EOTT withdrew its complaint on January 11, 2001. | |

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| 1353 | Poffenberger, Daniel, | Office of Markets, Tarriffs, and Rates | Litigation | Yes | The Commission's July 25, 2001 Order | Approximately 65 parties were represented at the meetings. | The development of a factual record on whether there may have been unjust and unreasonable charges for spot market bilateral sales in the Pacific Northwest for the period beginning December 25, 2000 through June 20, 2001. | | August 1, 2001 - September 6, 2001 | No. | |
| 1354 | Poffenberger, Daniel, | Office of Markets, Tarriffs, and Rates | Litigation | Yes | ALJ Bruce Birchman | About 24 parties, including Enron. | To discuss a procedural schedule for Docket No. EL00-95-045 and whether the parties can reach agreement on a Stipulation of Issues for the hearing. | 11/19/2001 | | No. | |
| 1355 | Poffenberger, Daniel, | Office of Markets, Tarriffs, and Rates | Litigation | Yes | ALJ Joseph R. Nacy | All intervenors (about 12) in Pacific Gas & Electric Company, Docket No. ER99-4323-000. | To determine procedural dates for testimony and hearing. | 11/9/1999 | | No. The case settled. | |
| 1356 | Poffenberger, Daniel, | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Trial Staff | Approximately 20 parties, including Enron, in California Reliability Must Run in Docket Nos. ER98-441-000, et al. | To present Trial Staff's initial settlement position on RMR rates and discuss other solutions to settling the RMR proceeding. | | June 29, 1998-July 1, 1998 | No. The RMR rates were settled. | |
| 1357 | Poffenberger, Daniel, | Office of Markets, Tarriffs, and Rates | Litigation | Yes | The Commission | Approximately 75 parties were present during the settlement discussions in Docket No. PL01-2. | The possibility of utilities in California entering into forward contracts as a hedge against spot market prices. | | December 2000-January 2001 | The Commission set the matter for hearing. | |
| 1358 | Polit, Juan I | Office of Energy Projects | Environmental and Engineering Review | Yes | Juan I. Polit | Keith L. Peterson or his secretary | Request for copy of Northern Natural Gas Company's February 17, 1998 letter to the US Fish and Wildlife Service. | | | No. | |

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| 1359 | Polit, Juan I. | Office of Energy Projects | Environmental and Engineering Review | Yes | Keith L. Peterson or his secretary | Juan I. Polit | Can't find requested copy of Northern Natural Gas Company's February 17, 1998 letter to the US Fish and Wildlife Service. | | | No. | |
| 1360 | Pollonais, Susan G. | Office of Markets, Tarriffs, and Rates | Rates - West | Yes | Interstate Natural Gas Assoc. (INGAA) | Various INGAA member-company representatives and FERC Staff | Annual Workshop | | May 17-19, 2000 | None | |
| 1361 | Pollonais, Susan G. | Office of Markets, Tarriffs, and Rates | Rates - West | Yes | FERC Staff in Docket No. RP98-203-000 | All parties to the proceeding, including Northern Natural and FERC Staff | Settlement conferences to resolve cost of service and rate design issues | | Between May 1998 and April 1999 | Settlement filed on April 16, 1999 supported by all parties, with a FERC order issued on June 18, 1999 | |
| 1362 | Pollonais, Susan G. | Office of Markets, Tarriffs, and Rates | Rates - West | Yes | FERC Staff in Docket No. RP98-203-000 | FERC Staff and Northern Natural personnel | Company field audit in conjunction with rate case filing in Docket No. RP98-203-000 | | July 27-31, 1998 | No | |
| 1363 | Pool, Randal G. | Office of Energy Projects | Dam Safety and Inspections | No | | | | | | | |
| 1364 | Poole, Allan Bruce | Office of Markets, Tarriffs, and Rates | DPIC | No | | | | | | | No contact with Enron . |

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| 1365 | Poole, Marc, F. (Line 1 of 2) | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates -- Central | Yes | I initiated a phone conversation. | The conversation was with a gas accounting employee of Northern Natural Gas Company (Northern Natural), which is one of the Enron companies. I do not remember the person's name and I did not make a log of what was discussed. I do, however, remember the jist of the conversation. | I was seeking clarification of a few elements of the gas accounting data that Northern Natural had provided to the Commission as part of its accounting breakdown and explanation of the Kansas ad valorem tax reimbursement refunds that Northern Natural claimed to be owed by various producers, pursuant to the Commission's 9/10/97 refund order in Docket No. RP97-369-000, et al. The Commission issued the 9/10/97 refund order in response to a court decision that said the producers had to refund the Kansas ad valorem tax reimbursements if the collection of those reimbursements resulted in the collection of a price in excess of the applicable maximum lawful price under Title I of the Natural Gas Policy Act of 1978 (NGPA). (Cont'd in cell below) | | 1998 or 1999 | No action was taken directly as a result of my phone conversation with Northern Natural's gas accounting staff. The Kansas ad valorem refund claims eventually became subject to a series of settlement efforts, which involved the Commission's use of its Alternative Dispute Resolution (ADR) staff. ADR staff have been trying to facilitate those settlements. I, on the other hand, have been recused from participating in those activities, due to a provision of the Commission's 9/10/97 refund order. In that order, the Commission stated that any producer that disputed the amount of the refund due the pipeline could ask the Commission to resolve the dispute, i.e., to verify the correct dollar amount owed by the producer to the pipeline. (Cont'd in cell below) | As I remember it, my phone conversation was short and Northern Natural's accounting staff supplied me with what I asked for. Whether the refund claims and gas accounting data that Northern Natural supplied to the Commission is ever utilized depends on the settlement efforts, which are ongoing. If the ADR staff are successful at obtaining settlement participation by every producer that owes Kansas ad valorem tax reimbursement refunds to Northern Natural, and those producers that owe refunds under the settlement pay-up what they owe, then my phone request for clarification will have been rendered moot. |

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| 1366 | Poole, Marc, F. (Line 1 of 2) | Office of Markets, Tarriffs, and Rates | | | | | (Cont'd) The Commission's 9/10/97 refund order directed the affected producers to make those refunds for the period from October 1983 - June 1988. The pipelines receiving the money had to then flow it through to their customers. But, the first step for the pipelines was that they had to submit their Kansas ad valorem refund claims to the Commission. The gas accounting data that came back to the Commission as a result of this process sometimes needed to be clarified as to just what a given line entry was for, hence my phone call to Northern Natural's gas accounting staff to get some answers. | | | (Cont'd) Since I am the only one left at the Commission that knows how run the necessary NGPA gas pricing computations, I was recused from participating in any of the settlement activities, in case the settlement efforts failed and the Commission had to turn to me to obtain a calculation of the correct amount owed by one or more producers. | |
| 1367 | Posey, Phlis J. | Office of the Secretary | Agenda and Minutes | No | | | | | | | |
| 1368 | Powers, Annette | Office of the Executive Director | DLM | No | | | | | | | |
| 1369 | Pugiese, Peter J. | Office of Energy Projects | D2SI | No | | | | | | | |

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| 1370 | Purdy, Jerrilynne | Office of the Executiv e Director | Strategiy and Performanc e Staff | Yes | | | | | 10/11/199 6 to 09/24/199 7 | | I was a technical advisor to Jim Hoecker during this time. Part of my job was attending courtesy visits from various parties. It is possible that I attended a courtesy visit with Enron employees. However, I do not recall any specific meetings or conversations nor do I have notes from that time. |
| 1371 | Purdy, Jerrilynne | Office of the Executiv e Director | Strategy and Performanc e Staff | Yes | | Many Commission employees | Enron presented information about its online trading activities | | 01/01/200 0 to 12/31/200 0 | | Some time during 2000, I attended a breifing by Enron about its on line trading actlvites. The meeting was widely attended by Commission employees. |
| 1372 | Purdy, Jerrilynne | Office of the Executiv e Director | Strategy and Performanc e staff | | | | | | 12/30/199 4 to 10/10/199 6 | | I worked in the Office of Electric Rates (OEPR) during the above time, processing electric rate filings. My job sometimes required that I speak, generally by phone, to the companies to clarify the content in thier filings. It is possible that I spoke with an Enron employee during that time. However, I do not recall an specific conversations nor do I have notes from that time. |
| 1373 | Pursley, Thomas A. | Office of General Counsel | Market Oversight and Enforceme nt | Yes | I do not know | I do not recall who was there or how many persons attended. | Enron made presentation discussing its plans to form a transco. | 4/10/1999 | | None was necessary - I do not know if there was any action taken in response to this discussion. | None |
| 1374 | Pursley, Thomas A. | Office of General Counsel | Market Oversight and Enforceme nt | Yes | I do not know. | I do not recall who was there or how many persons attended. | Enron and Southern Company gave a demonstration of how e-tagging worked. | 2/25/2000 | | None was necessary or taken to my knowledge. | None |

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| 1375 | Quijada-Cusack, Kath | Office of the Chief Information Officer | Knowledge and Information Management Group | Yes | William G. McDermott | William G. McDermott, Kathy Quijada, Arve Milner, Judy Martin, Cynthia Klenk, Jim Orlando, Ann Martin, Bill Watson, Curtis Chappell, Beth Taylor, Michael Cosgrave, Jeffrey Levine, Justine Dandrea, Pat Beton, Kathy Mongean, Kirsten Sweaney, Stacy Vargecko | Public Reference Room User Forum | 4/2/1998 | | Follow up to administrative issues raised in a public forum: Topics included Public Reference Room printer down-time, CIPS zip files/downloading issues; accessibility to and quality of photocopies of Electric Rates/Tariffs, Rate Schedules and Gas Tariffs | |
| 1376 | Quinn, Joeller G. | Office of the Executive Director | Management Administrative Payroll Support | No | | | | | | | |
| 1377 | Radway, Peter R. | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates-West | No | | | | | | | |
| 1378 | Raimonde, Heather, L | Office of the Executive Director | Regulatory Audits | No | | | | | | | |
| 1379 | Ramos, Erica D | Office of the Chief Information Officer | OCIO | No | | | | | | | |

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| 1380 | Randall, Kirk F | Office of Markets, Tariffs, and Rates | Policy Innovation and Communication | No | | | | | | | |
| 1381 | Rattey, Ronald L. | Office of Markets, Tariffs, and Rates | Energy Markets | Yes | Richard Tabors of Tabors, Caramanis | For Americans for Affordable Energy: Richard Tabors Julie Simon (?) Joe Hartsoe (?) Others representing AAE (?) From FERC Staff: Dick O'Neill Bill Meroney Pat Alexander Thanh Luong Roger Marie Ellen Schall (?) Plus 5-10 other FERC staff | Presentation of study results "Transmission Access Problems and Potential Solutions," 76 slides on evidence of transmission system abuses that power marketers and generators were concerned about. | 5/17/2000 | | I believe there was some followup by OGC-Enforcement staff though I am unaware of any specific products. | Briefing raised my concerns with the adequacy of FERC's monitoring and enforcement capabilities. As a result, I spammed the FERC Staff with an open memo critical of FERC monitoring on June 2, 2000. |
| 1382 | Raynor, Brenda | Office of the Secretary | Registry | No | | | | | | | |
| 1383 | Reeder, Charles H. | Office of Markets, Tariffs, and Rates | Division of Tariffs and Rates - West | No | | | | | | | |
| 1384 | Reeves, Charlene V | Office of the Executive Director | DIVISION OF FINANCIAL SERVICES | No | | | | | | | |
| 1385 | Regal, Ray G. | Office of the Executive Director | OCA, Division of Regulatory Accounting Policy | No | | | | | | | |
| 1386 | Regan, Patrick J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele (PGE) | Pat Regan (FERC) | Incidents related to public safety or environmental compliance at PGE hydro projects | | October 2000 to December 2001 | Phone calls were documented in incident reports | |

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| 1387 | Regan, Patrick J. | Office of Energy Projects | Dam Safety and inspection | Yes | Bob Steele (PGE) | Pat Regan (FERC) | Annual test of project Emergency Action Plans (EAPs) | | October 2000 to December 2001 | Phone calls were documented on response sheets | Annual tests of EAPs are a requirement of the Commission's dam safety program |
| 1388 | Reid, Raymond A. | Office of the Executive Director | Chief Accountants, Division of Regulatory Accounting Policy | Yes | Portland General Electric | Mr. Anthony Gin, PGE, contacted Mr. Raymond Reid, FERC/OED/CA/DRAP | Recording unrealized gains and losses on derivative instrements not meeting GAAP hedging criterias | | | Mr. Gin was directed to contact OED/CA management directly. | |
| 1389 | Reid, Raymond A. | Office of the Executive Director | Chief Accountant, Division of Regulatory Policy | Yes | Transwestern Pipeline Company | List of Attendees provided by OMTR Tariffs and Rates Central (M. Coleman) | Tariff provisions to provide for Transwestern to purchase and sell options for firm transporation capacity on Transwestern's system | | | Tariff rejected | |
| 1390 | Reusch, Charles F | Office of General Counsel | litigation | Yes | california independent system operator | 36 registered | how the iso operates | 1/28/1998 | | no | none |
| 1391 | Reusch, Charles F | Office of General Counsel | litigation | Yes | presiding judge stephen grossman | lawyers representing Staff, so cal edison, vernon, san diego g&e, southern cities, smud,dwr, enron, ladwp | arguments on motions to strike testimony, amend procedural schedule, etc. | 7/13/1998 | | no | none |
| 1392 | Reusch, Charles F | Office of General Counsel | litigation | Yes | parties in er97-2358-002, et al. | telephone conference) at a minimum Staff, southern cities, vernon, dwr, enron, cal puc staff | settlement of unresolved issues | 7/23/1998 | | no | proceeding is before the Commission on exceptions (br. opp. ex filed 10/21/99) |
| 1393 | Reusch, Charles F | Office of General Counsel | litigation | Yes | active parties, Staff probably lead | 21 persons representing most active parties | settlement, privileged see rule 602(e) | 6/23/1998 | | Commission may have approved a partial settlement | none |
| 1394 | Reusch, Charles F | Office of General Counsel | litigation | Yes | judge stephen grossman, er97-2358-002 | likely most active parties | which unresolved issues the judge would allow to go to hearing | 12/23/1998 | | none, judge said he would not issue a written order summarizing his rulings | none |
| 1395 | Reusch, Charles F | Office of General Counsel | litigation | Yes | counsel for so cal edison, er97-2358-002 | likely counsel for all active parties (conference/tele-conference) | attempt to settle unresolved issues, finalize issues list for hearing, agree on order of intervenor witnesses, etc. | 1/15/1999 | | no | on exceptions before the Commission (br. opp. ex. filed 10/21/99) |

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| 1396 | Reusch, Charles F | Office of General Counsel | litigation | Yes | counsel, active parties, er97-2358-002 | likely counsel for all active parties (3 e-mails) | counsel arguing over witness appearance order at hearing | | 01/08/1998 thru 01/09/98 | | |
| 1397 | Reusch, Charles F | Office of General Counsel | litigation | Yes | counsel, active parties, er97-2358-002 | counsel for all active parties | recap of issue 10 | 1/28/1999 | | no | privileged settlement document, see rule 602(e) |
| 1398 | Reusch, Charles F | Office of General Counsel | litigation | Yes | judge stephen grossman | counsel for all active parties, including enron, er97-2358-002, et al. | hearing and oral argument: 1 vol. 66pp.; 3 vols. 216pp.; 4 vols. 473 pp. | | 02/17/1998 thru 01/28/1999 | no | pending before the commission on exceptions (briefs opp. ex filed 10/21/99 |
| 1399 | Reusch, Charles F | Office of General Counsel | litigation | Yes | chief judge wagner | all active counsel, including counsel for enron, ec96-19-007 | pre-hearing conference, california must-run cases | 1/8/1998 | | no | none, I don't know the status of the proceeding |
| 1400 | Richards, Kevin S. | Office of Energy Projects | D2SI-CRO | No | | | | | | | |
| 1401 | Richardson, Larry, W | Office of the Chief Information Officer | Software Engineering | No | | | | | | | |
| 1402 | Richardson, Byron | Office of the Executive Director | DLM | No | | | | | | | |
| 1403 | Rieley, Thomas, O. | Office of the Chief Information Officer | systems engineering | No | | | | | | | |

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| 1404 | Ristway, Edward A. | Office of Markets, Tarriffs, and Rates | West | No | | | | | | | |
| 1405 | Robb, Paul W | Office of Markets, Tarriffs, and Rates | Energy Markets | No | | | | | | | |
| 1406 | Robert J. Lynch | Office of the Executive Director | Division of Regulatory Accounting Policy | No | | | | | | | |
| 1407 | Robinson, J. Mark | Office of Energy Projects | Front Office | Yes | Calypso Employee | J. Mark Robinson | let me know that they were sending a letter telling us that they would be asking us to defer environmental work on the Calypso Pipeline | | | no | |
| 1408 | Robinson, John M. | Office of General Counsel | Market Oversight and Enforcement | Yes | FERC General Counsel's Office | Unknow - four to five technical conferences in late 1992 and early to mid 1993 on El Paso Gas Pipeline's Order 636 restructuring. The meeting lists are no longer with FERC. However, Transwestern Pipeline was a party of record and I assume that it had a representative present at most meetings. I was the lead attorney for this proceeding. | The restructuring of El Paso Gas Pipeline Company pursuant to the policies and standards contained in Order No. 636. | | November 1992 to May 1993 | Several procedural orders were issued in this proceeding and four substantive orders relating to El Paso's Order 636 restructuring. | I have searched both my personal files and the OGC rate section files to see if any of the records relating to the El Paso Order No.636 restructuring proceeding are still within the building. All appear to have been sent to the federal record center. |

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| 1409 | Robinson, John M. | Office of General Counsel | Market Oversight and Enforcement | Yes | FERC General Counsel's Office | Unkown - The subject was two technical conferences on Transwestern Pipeline's Order 636 restructuring held between January and May 1993. I was among staff attending. The agency records are no longer extent in my files or in the OGC files. Other lawyers involved in the proceeding may have such records. The agency decisions list the parties but do not state who represented Transwestern. | The restructuring of Transwestern Pipeline Company pursuant to the standards and policies contained in Order No. 636. | | January 1993 to May 1993 | Orders were issued completing Transwestern's restructuring under Order No. 636. | I have searched my personal files and the OGC records for historical files relating to the Transwestern restructuring proceeding. These files appear to have been sent to the federal record center. |
| 1410 | Robinson, Karen R. | Office of the Executive Director | Strategy and Performance Staff | Yes | FERC Staff | Unknown (large group from industry and staff) | Order No. 636 conferences for Columbia and CNG | | between Jan and Dec of 1992 | Not directly - eventually Order No. 636 filings were accepted | |
| 1411 | Robinson, Karen R. | Office of the Executive Director | Strategy and Performance Staff | Yes | FERC Staff | Unknown (Series of meetings with groups of industry and staff) | Operation of the capacity release market | | sometime in 1992 or 1993 | No | |
| 1412 | Robinson, Karen R. | Office of the Executive Director | Strategy and Performance Staff | Yes | FERC Staff | Unknown (Series of meetings with groups of industry and staff) | OMTR Outreach meetings | | sometime in 2000 or 2001 | No | |

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| 1413 | Robinson, Karen R. | Office of the Executiv e Director | Strategy and Performanc e Staff | Yes | FERC Staff | Dan Larcamp (Director of Markets, Tariffs, and Rates), Tom Herlihy (Executive Director & Chief Financial Officer), Fernanda Young (Chief Information Officer), Charlie Whitmore (Director, Office of Strategic Direction), George Godding (Director, Information Technology and Organizational Management, OMTR), Tom Brownfield (Group Manager of Information Analysis, OMTR), Karen Robinson (Office of Strategic Direction), Jeff Skilling (Unknown, Enron), Joe Hartsoe (Unknown, Enron), Shelly Corman (Unknown, Enron), Mary Hain (Unknown Enron). From business cards collected, may have included: Kevin Presto (Vice President, Enron-North America), John Lavorato (COO, Enron Americas), Lloyd Will (Director, Control Area Operations-Enron North America), Dave Delainey (President and CEO, Enron Americas) | During December 6 - 8, 2000, FERC staff participated in a visit to Enron, Dynegy, and El Paso Energy in Houston to talk with Information Technology staff and traders to ascertain what type of data sources and hardward set-up they use. | | | No | The Enron trade floor visit was followed by lunch. The list of participants includes all Enron employees that can be specifically identified but is probably not a complete list. |
| 1414 | Rodgers, Steve P. | Office of Markets, Tarriffs, and Rates | Tariffs & Rates, East | No | | | | | | | |
| 1415 | Rogers, John Michael | Office of Markets, Tarriffs, and Rates | Rates East | No | | | | | | | |

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| 1416 | Roldakis, Peter J. | Office of General Counsel | OGC-MTR | Yes | 95 FERC Para. 61,238 tech conf order | Mary Kay Miller, Doug Canter, Robert Petrocelli, Peter Roldakis, others | Transwestern proposal to modify its "Carlton Resolution" settlement | 6/26/2001 | | Ultimately a new settlement of Carlton Resolution revision and System Levelized Account issues rsolved the matter. | |
| 1417 | Roldakis, Peter J. | Office of General Counsel | OGC-MTR | Yes | 95 FERC Para. 61,315 tech conf. order | Steven Kalish, Katherine Edwards, Peter Roldakis, others | Northern Natural's fuel reimbursement proposal in Docket No. RP01-395. | 6/26/2001 | | The parties and Northern reached agreement and a revised tariff filing was approved on July 31, 2001. | |
| 1418 | Roldakis, Peter J. | Office of General Counsel | OGC-MTR | Yes | 93 FERC Para 61,202 tech conf order | M.K. Miller for Transwestern, K. Edwards for Indicated Shippers, a Calpine representative, a Dynegy representative, Jeff Hallogin of Amoco, P. Roldakis, John Carlson, others | Transwestern's 10/17/00 proposal to implement "Transportation Option" program, pursuant to an exemption under the CFTC Act. | 1/9/2001 | | The proposal was ultimately rejected at 95 FERC Para. 61,165. | |
| 1419 | Rooths, Larry D. | Office of Markets, Tarriffs, and Rates | Rates-West | No | | | | | | | |
| 1420 | Rosell, Richard A. | Office of the Executiv e Director | DRA | Yes | DOA Audit | Myself and ENRON accounting personnel | Pension costs and allocation | | Early to mid-1990's | Not to my knowledge | |
| 1421 | Rosell, Richard A. | Office of the Executiv e Director | DRA | Yes | Docket Nos. FA 98-007 and RP99-010 | Unknown | Financial audit and rate case | | | | |
| 1422 | Rosell, Richard A. | Office of the Executiv e Director | DRA | Yes | Docket No. FA01-010-000 | | | | | | |

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| 1423 | Rosell, Richard A. | Office of the Executiv e Director | DRA | Yes | Docket No. FA94-15 | | | | | | |
| 1424 | Rosenberg, Marvin | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | Patt Terris of Exelon | Exelon: Patt Terris Enron: Andy Rodriguez FERC: Marvin Rosenberg | Casual dinner conversation | 3/14/2001 | | No | Dinner was during a meeting of an electric industry standards development orgnanization called the Electronic Scheduling Collaborative |
| 1425 | Rosenberg, Marvin | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | Charles Yeung of Enron | Enron:Charles Yeung Andy Rodriguez FERC: Marvin Rosenberg | Fall of Enron | 11/1/2001 | | No | This was a casual breakfast before meeting of an electric industry standards development group called the Electronic Scheduling Collaborative |
| 1426 | Rosenberg, Marvin | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | Marvin Rosenberg | Enron: Joe Hartsoe FERC: Marvin Rosenberg | Telephone conversation to inform Enron of the Commission's inquiry into EnronOnLine | 5/23/2001 | | No | |
| 1427 | Rosenberg, Marvin | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | Michael Kormos of PJM | Representing the Electronic Scheduling Collaborative Michael Kormos,PJM Edward Dworzak,EEI Charles Yeung,Enron Michael McElhany, ? Others? FERC: Marv Rosenberg Kevin Kelly Alison Silverstein Others? | Status of the Electronic Scheduling Collaborative's efforts to develop standards for electronic scheduling | 9/19/2001 | | No | |
| 1428 | Rosenberg, Marvin | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | Robert Flanders | | | 5/1/2000 | | | I attended a meeting with Enron personnel described by Bob Flanders |

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| 1429 | Rosenberg, Marvin | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | Marvin Rosenberg | | | 6/11/2001 | | | Perry Brown submitted a response to abou this meeting |
| 1430 | Rosenberg, Marvin | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | Unknown | Many FERC staff and Enron Employees | Demonstration of EnronOnLine | | Winter 2000 | no | |
| 1431 | Rosenberg, Marvin | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | Sarah Novosel, Enron | Enron: Sarah Novosel FERC: Marv Rosenberg Perry Brown Others? | Enron Organizational structure. To learn where EnronOnLine fit into Enron's organizational structure. | 7/11/2001 | | no | We met in my office |
| 1432 | Rosenberg, Marvin | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | Sarah Novosel or Christi Nicolay | Enron: Sarah Novosel Christi Nicolay FERC: Marv Rosenberg Kim Bruno | Enron's December 2000 Power Marketer Report to FERC and other matters relating to the Commission's inquiry into EnronOnLine | 7/17/2001 | | No | The meeting took place in my office. |
| 1433 | Rosenberg, Marvin | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | Enron or Marv Rosenberg | Enron: Christi Nicolay and/or Sarah Novosel FERC: Marv Rosenberg Perry Brown? Kim Bruno? Mary Lauermann? | Inquiry into EnronOnLine | | 06/12/200 1- 06/10/200 1 | In addition to the the two meetings in my office that I described elsewhere (07/11/2001 and 07/17/2001), there may have been one or two meetings other meetings in my office. The | |

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| 1434 | Rosenberg, Marvin | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | Enron or Marv Rosenberg | Enron: Christi Nicolay and/or Sarah Novosel FERC: Marv Rosenberg Perry Brown? Kim Bruno? Mary Lauermann? | Inquiry Into EnronOnLine | | 06/12/200 1- 08/10/200 1 | No | There may have been several telepone convesations during this period concerning the Commission's inquiry into EnronOnLine |
| 1435 | Rosenberg, Marvin | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | | | | | 1993-1996 | | The Commission's Order No. 636, and several subsequent orders, required the natural gas pipeline industry to develop certain business practices and communication protocols. I participated in many industry meetings from 1993-1996. Enron employees were present at most of these meetings. I also received telephone calls from (and made telephone calls to) Enron employees concerning these standards. |
| 1436 | Rosenberg, Marvin | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | Marv Rpsenberg | | | | 1995-Present | | The Commission's Orders Nos. 888/889, and subsequent orders, required the wholesale electric industry to develop certain business practices and communications protocols. I participated in many of the industry meetings, between 1995 and the present, in which the standards were developed. Among the industry standards development groups I participated in were the OASIS How Working Group, OASIS What Working Group, the OASIS standards Collaborative and Electronic Scheduling Collaborative. Enron employees were present at most of the meetings. |
| 1437 | Rosenberg, Marvin | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | Marv Rpsenberg | | | | 1995-Present | | I attended several meetings of the NERC Market Interface Committee (and of the predecessor organization). Enron employees were present at most of the meetings. |

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| 1438 | Ross, William R. | Office of Energy Projects | Division of Dam Safety Inspections | No | | | | | | | |
| 1439 | Rossmiller, Rachel | Office of Markets, Tariffs, and Rates | East | No | | | | | | | |
| 1440 | Rothenberg, Steven A | Office of General Counsel | General Law/Enforcement | No | | | | | | | |
| 1441 | Rothman, Steven A. | Office of General Counsel | Market Oversight and Enforcement | No | | | | | | | |
| 1442 | Rowell, Margaret C. | Office of the Executive Director | Human Resource Management | No | | | | | | | |
| 1443 | Rudd, Sarah Z. | Office of the Executive Director | MAPS | No | | | | | | | |
| 1444 | Russell, Sandra J. | Office of Markets, Tariffs, and Rates | Division of Market Information | No | | | | | | | |
| 1445 | Russo, Thomas Nicho | Office of External Affairs | Public Affairs | No | | | | | | | |

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| 1446 | Rylander, Elizabeth E | Office of General Counsel | Markets, Tariffs and Rates | Yes | Eugene Grace, Sandy Chen (Grace) | To the best of my recollection: Eugene Grace, Sandy Chen (Grace), Carlos Montoya, Elizabeth Rylander, Jignasa Gadani, Olga Kolotushkina, Lodie White, Leonard Tao, Geeta Oberoi, Mary Morton, Maria Vouras, Joyce Kim (all FERC staff); Geeta Oberoi's significant other Scott (Enron); my husband Jason Rylander, Olga Kolotushkina's husband Val, Leonard Tao's significant other Linda, and a male friend of Mary Morton. | FERC staff social for purpose of wishing Carlos Montoya well on his departure from FERC | 6/7/2001 | | No | |
| 1447 | Rylander, Elizabeth E | Office of General Counsel | Markets, Tariffs and Rates | Yes | Jignasa Gadani, Olga Kolotushkina | To the best of my recollection: Jignasa Gadani, Olga Kolotushkina, Elizabeth Rylander, Geeta Oberoi, Lodie White (FERC staff); possibly Mary Morton and Maria Vouras (FERC staff); Geeta Oberoi's significant other Scott (Enron); my friend Diana McCord, Jignasa Gadani's roommate Megan, a female friend of Lodie White | Entertainment -- a performance of Michael Flatley's "Feet of Flames" | 6/27/2001 | | No | |
| 1448 | Rylander, Elizabeth E | Office of General Counsel | Markets, Tariffs and Rates | Yes | No firsthand knowledge | Christopher Thomas, Robert Petrocelli, Elizabeth Rylander, and possibly Lawrence Greenfield (FERC staff); Matt Estes (Portland General Electric counsel), AW Ferrell, Joe, Michelle (Portland General Electric) | Pre-filing meeting re: Proposed compliance filing in response to Commission order in Docket No. ER01-2359 | | Late September /early October, 2001 | No | There were two meetings about the same subject material; Lawrence Greenfield was present for the first but not the second. |

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| 1449 | Rylander, Elizabeth E | Office of General Counsel | Markets, Tariffs and Rates | Yes | Unsure | Christopher Thomas, Robert Petrocelli, Elizabeth Rylander, possibly Lawrence Greenfield (FERC staff); A.W. Turner, Michelle Ferrell, Joe Taylor (Portland General Electric staff) and Matt Estes (Portland General Electric counsel). | Pre-filing meeting re: proposed compliance filing in response to Commission order in Docket No. ER01-2359-000. | 10/1/2001 | | No | There were two meetings on this topic; Lawrence Greenfield was present for the first but not the second. When I say that I am unsure of who initiated this meeting, I mean that I do not have firsthand knowledge of, or do not recall, who asked for the meeting. I believe that A.W. Turner, Michelle Ferrell, Joe Taylor and Matt Estes are the same people who were present for the other meeting on this subject. My notes for the other meeting indicate that the Portland General Electric staff and counsel present were Matt Estes, Joe, Michelle, and AW Ferrell. |
| 1450 | Salzan, Regina M. | Office of Energy Projects | Hydropower Administration and Compliance | Yes | Regina Salzan, FERC | Julie Keil, PG&E | Two projects (Mussers Dam, Project No. 3706 and Idols, Project No. 2585) involving surrender applications. | | | No. | |
| 1451 | Salzan, Regina M. | Office of Energy Projects | Hydropower Administration and Compliance | Yes | Julie Keil, PG&E | Regina Salzan, FERC | Ms. Keil requested that I schedule a meeting to discuss the Bull Run Project No. 477 removal plan. | | | Meeting was scheduled for October 28, 1999. | |
| 1452 | Sakallaris Andrew M | Office of the Executive Director | Procurement | No | | | | | | | |
| 1453 | Salas, Magalie R. | Office of the Secretary | N/A | No | | | | | | | |
| 1454 | Sammon, John K | Office of Markets, Tariffs, and Rates | litigation | Yes | ALJ, staff, or litigation parties. | parties to case set for hearing. | issues set for hearing | | many hearings | normal hearing proceedures | these were ordinary meetings that all parties to case attend. |

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| 1455 | Sampson, Sonya D. | Office of Markets, Tarriffs, and Rates | West | No | | | | | | | |
| 1456 | Samuel, Donna | Office of Energy Projects | D2SI | No | | | | | | | |
| 1457 | Samuel,Juwan | Office of the Executiv e Director | Division of Organizatio n Manageme nt | No | | | | | | | |
| 1458 | Sanford, Heide | Office of External Affairs | congressio nal, public and intergovern mental affairs | No | | | | | | | |
| 1459 | Sarma, Vedula, Y. | Office of Energy Projects | Division of Hydropowe r Administrati on and Complianc e | No | | | | | | | |
| 1460 | Sauter Jr., Laurence J. | Office of Energy Projects | DEER | Yes | L.J. Sauter, Jr. | Rockford Meyer and/or Lee Hubber | Issues related to staff's environmental review of Transwestern's filing in Docket No. CP94-751-000 through -005. | | 02/05/96 - 02/28/96 | I assume the Commission ultimately approved Transwestern's 7(b) filing for abandonment of numerous facilities in OK, NM, and TX. | I was asked to step-in and assist another staff person with this case. My involvement was extremely limited; it consisted of several phone calls between myself and one or both of the recipients identified above. |
| 1461 | Scaggs, Charles E. | Office of the Executiv e Director | OCIO | No | | | | | | | |

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| 1462 | Scheeffer, Karen L. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates Central | No | | | | | | | |
| 1463 | Schall, Ellen K. | Office of General Counsel | Markets, Tariffs and Rates | Yes | The Commission | Widely attended technical conference, RM02-1, Standardization of Electric Generator Interconnection Agreements. | Industry input to standardize interconnection agreements. | | | Not yet; staff is drafting NOPR. | |
| 1464 | Schall, Ellen K. | Office of General Counsel | Markets, Tariffs and Rates | Yes | the Commission | Widely attended technical conferences. | El Paso's Order No. 637 proceeding. | | July and August 2001 | Not yet. Allocation of capacity on El Paso is still being analyzed. | An Enron representative attended the meeting. |
| 1465 | Schall, Ellen K. | Office of General Counsel | Markets, Tariffs and Rates | Yes | Joseph Hartsoe | Ellen Schall and Joseph Hartsoe | Enron's interest in one tariff to govern both wholesale and retail electric service. | | probably 1999 | No. | I can't recall the date of the meeting. I also met with Mr. Hartsoe at other times, but I can't recall the subject or dates of the meetings. Mr. Hartsoe and I worked together at FERC before he went to Enron and almost all of our discussions were social. |
| 1466 | Schratwieser, Diane B | Office of General Counsel | Litigation | Yes | Trial Staff, Parties to the case | Parties to Docket No. TX96-4 | Intervention | | 1996-97 | | Enron was an Intervenor |
| 1467 | Schratwieser, Diane, | Office of General Counsel | Litigation | No | | | | | | | |
| 1468 | Scott, Jo Ann | Office of General Counsel | Administrative Litigation | Yes | Commission order in EL00-95 | All parties to the proceeding,including Enron and Portland General. | Ongoing trial procedures. | | 08/01/01 - ongoing | No | |
| 1469 | Scott, Jo Ann | Office of General Counsel | Administrative Litigation | Yes | Commission/Chief Judge in ER00-0=2019 | All parties to the proceeding including Enron | Settlement discussions on the rates for access to transmission facilities under the control of the Cal ISO | | June 2000 continuing | None pending | |

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| 1470 | Scott, Jo Ann | Office of General Counsel | Litigation | Yes | Judge Edward M. Silverstein | Marlene Huntsinger, Portland General Electric General Manager of Power Operations; and James D. Eden Principal Planning Engineer for Transmission and Reliability, were both witnesses at the hearings. Denice Saunders, Esq. was their attorney. In addition there were a hearing room full of other witnesses and counsel. | Hearings in Sierra Pacific Power Company, Docket No. ER99-28-003. | | April 25, 2000 - May 17, 2000, | No. The initial decision is pending before the Commission on exceptions. | The Portland General witnesses were in the hearing room for part or all of the hearing. Their counsel, Ms. Saunders was probably there for the entire hearing. |
| 1471 | Scott, Jo Ann | Office of General Counsel | Litigation | Yes | Trial Staff and parties to the case | Parties to Docket Nos. ER97-2358, ER98-2351 and ER98-2087 | Transmission Rate Cases | 6/21/1905 | | | EPMI was an Intervenor |
| 1472 | Scott, Jo Ann | Office of General Counsel | Litigation | Yes | Trial Staff and parties to the case | Parties to Docket No. ER99-2326 | Transmission Rate Cases | | 1999-2000 | Pending before the Commission | EPMI was an Intervenor |
| 1473 | Scott, Jo Ann | Office of General Counsel | Litigation | Yes | Trial Staff and parties to the case | Parties to Docket No. ER99-28 | Interconnection Case | | 1999-2000 | | Portland GE filed testimony |
| 1474 | Scott, Jo Ann | Office of General Counsel | Litigation | Yes | Trial Staff and parties to the case | Parties to Docket No. EL00-95-045 | California refund case | | 2000-2001 | | EPMI was an Intervenor |
| 1475 | Scott, Jo Ann | Office of General Counsel | Litigation | Yes | Trial Staff and parties to the case | Parties to Docket No. ER00-2019 | Access change casse | 6/22/1905 | | | Enron is an Intervenor |
| 1476 | Scott, John H. | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |
| 1477 | Searcy, Robert L. | Office of the Executive Director | DLM | No | | | | | | | |

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| 1478 | Seeley, Pamela, S | Office of Markets, Tarriffs, and Rates | Tariff & Rates Central | No | | | | | | | |
| 1479 | Seigel, Jessica Allegra | Office of General Counsel | Energy Projects | No | | | | | | | |
| 1480 | Sellers-Leath, Janine Susan | Office of General Counsel | ADMINISTRATIVE LITIGATION DIVISION | | FERC STAFF/TEXAS GAS TRANSMISSION | FERC STAFF/TEXAS GAS TRANSMISSION/OTHER PARTIES AND PARTICIPANTS | TECHNICAL/SETTLEMENT CONFERNCE/TELEPHONE CALLS/MEETINGS | | | | DOCKET NO. RP00-260-008, ET AL. ENRON CORPORATION WAS A PARTY IN THIS CASE |
| 1481 | Sellers-Leath, Janine Susan | Office of General Counsel | ADMINISTRATIVE LITIGATION DIVISION | | NORTHERN BORDER/FERC STAFF | NORTHER BORDER PIPELINE COMPANY/FERC STAFF/OTHER PARTIES OR PARTICIPANTS | SETTLEMENT CONFERENCE/TECHNICAL MEETINGS | | | | RP99-322 NORTHERN BORDER PIPELINE NORTHERN BORDER PIPELINE COMPANY WAS A ENRON COMPANY |
| 1482 | Sellers-Leath, Janine Susan | Office of General Counsel | ADMINISTRATIVE LITIGATION DIVISION | | FERC/APPLICANT | MEETINGS | | | | | DOCKET NO. RP01-433-000/SUMMIT PWR NW LLC vs. PORTLAND GENERAL ELEC. CO. PORTLAND GENERAL ELECTRIC AS AN ENRON COMPANY. |
| 1483 | Sellers-Leath, Janine Susan | Office of General Counsel | ADMINISTRATIVE LITIGATION DIVISION | | | | | | | | DOCKET NO. ER00-298 ET. AL./PJM INTERCONNECTION ENRON POWER MARKTETING WAS A PARTY IN THIS CASE |
| 1484 | Sellers-Leath, Janine Susan | Office of General Counsel | ADMINISTRATIVE LITIGATION DIVISION | | | | | | | | DOCKET NO. EL00-95-045/SAN DIEGO GAS AND ELEC vs. SELLERS ENERGY ET. AL. ENRON WAS A PARTY TO THIS CASE |
| 1485 | Sellers-Leath, Janine Susan | Office of General Counsel | ADMINISTRATIVE LITIGATION DIVISION | | | | | | | | RP99-518-019 ET. AL./PG&E GAS TRANSMISSION NW CORPORATION ENRON WAS A PARTY IN THIS CASE |

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| 1486 | Sellers-Leath, Janine Susan. | Office of General Counsel | ADMINISTRATIVE LITIGATION DIVISION | | | | | | | | RP00-241-000/PUC OF CALIFORNIA vs. EL PASO NATURAL GAS ENRON WAS AN INTERVENOR IN THIS CASE |
| 1487 | Sellers-Leath, Janine Susan | Office of General Counsel | ADMINISTRATIVE LITIGATION DIVISION | | | | | | | | RP01-196/VENICE GATHERING SYSTEM LLC ENRON NORTH AMERICAN WAS AN INTEVENOR ON THIS CASE |
| 1488 | Semega, Tamrah A. | Office of the Executive Director | of Logistics Management | No | | | | | | | |
| 1489 | Senf-Mitrione, Kathryn | Office of Markets, Tariffs, and Rates | DACT | No | | | | | | | |

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| 1490 | Shaalan, Saida A. | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communication | Yes | OMTR | EPSA: Mary Beth Tighe (Amerada Hess), Lorraine Cross (Mirant), Mary Doyle (Dynegy), Larry Eisenstat (Dickstein Shapiro), John Meyer (Reliant Energy), Julie Simon (EPSA), Judy Neason (Williams), James Steffes (Enron), Mark Bennett (EPSA), Erin Perrigo (EPSA), Harry Singh (PG&E National Energy Group), Lee Barnett (Duke Energy North America) FERC: OMTR: Charles Faust, Eliot Wessler, Michelle Veloso, Perry Brown, Pete Nagler, Shelton Cannon, Don Gelinas, Dan Larcamp, Rob Cupina, David Mead, Bob Flanders, Jim Campbell, Jacqueline Caul, John Carlson, Ross Glasgow, Melissa Lord, John Rogers, Marv Rosenberg, Donna Zugris, Richard Mabry, Walter Birch, Kirk Randall, Saida Shaalan, Kim Khu, Alice Fernandez; OGC: Cyndy Marlette, Marsha Gransee; OED: Jim Guest, Karen Robinson, Charles Whitmore. | Comparability of transmission service; Efficient RTO formation and operation (seams issues) | 5/30/2001 | | No. | |

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| 1491 | Shaalan, Saida A. | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communication | Yes | OMTR | Organization: Larry Eisenstat, Dickenstein, Shapiro, Morin & Oshinsky; Julie Simon, EPSA; Michael Gildea, Duke Energy North America; Mary Doyle, Dynegy; Karen Tomcala, PG&E Corporation, Judy Neason, Williams; Craig Roach, Boston Pacific; Joe Hartsoe, Enron Corp.; Mark Bennett, EPSA. FERC: OMTR: Dan Larcamp, Kevin Madden, Mike Coleman, Mark Shaffer, Ed Murrell, Salda Shaalan, Bob Flanders, Eliot Wessler, Laurel Hyde, Michelle Veloso, Jim Apperson, Don Lekang, Norma Gonzalez, Dick O'Neill. OGC: Andrea Wolfman, Jerry Richman, OEP: Bill Lansinger; OAL: Bill Froehlich, Vis Tekumalla; OFAO: Ray Reid | RTO functions; Generation investment incentives | 7/5/2000 | | No. | |

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| 1492 | Shaalan, Saida A. | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communication | | OMTR | INGAA: Joan Dreskin, Penelope Ludwig, Stacy Franz, Gulf South Pipeline: Mike McMahon,Claire Burum; Richard Kruse, Duke Energy Gas Transmission; Anna Cochrane, CMS Energy; Ed Hartsoe, Enron Transportation Services; Cindy Albert, CMS Panhandle Pipe Line Cos.; El Paso Corporation: Janice Alperin, Rick Smead; Williams: Judy Neason, Scott Turkington; Bill Wible; Iroquois Pipeline Operating Company: Jeff Brunar, John Coppens, Duke Energy Gas Transmission; Sharon Royka; NiSource Pipeline Group; Bill Grygar, CMS Energy Pipelines, FERC:. OMTR: John Carlson, Mike McLaughlin, Alice Fernandez, Robert Cupina, Teri Stasko, Im Campbell, Dennis Melvin, Sandra Delude, Norma McOmber; Deb Schweikart; Bob Flanders; Bill Longenecker; Bruce Poole; Marv Rosenberg; Ross Glasgow; Jacqueline Caul; George Godding; Barbara Bourque; OEP: Rich Hoffmann; OGC: Andrea Wolfman; Peter Roidakis; Bob Pease; Mark Shaffer; OED: Ken Anderson, Kevin Jones; Arthur Gunaratine. | Transportation policies should not mask market price signals; Effect of high natural gas prices on pipeline throughput; FERC policies and pipeline reliability | 5/30/2001 | | No. | |

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| 1493 | Shaalan. Saida A. | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communic ation | Yes | OMTR | Organization: John Somerhalder, El Paso Pipeline Group; Stan Horton, Enron Gas Pipeline Group; Chris Helms, CMS Panhandle Pipeline Companies; Cuba Wadfington, Williams Gas Pipeline; Richard Kruse, Duke Energy; Carl Levander, Columbia Gas Pipeline Co.; Jerry Halvorsen, INGAA; Joan Dreskin,INGAA; Judy Neason, Williams; Dan Collins, Coastal; Peggy Heeg, El Paso; Shelley Corman, Enron; Bill Gryger, CMS, Claire Burum, Koch; Stan Horton, Enron. FERC: OMTR: Dan Larcamp, Kevin Madden, Shelton Cannon, Dick OÆNeill, Rob Cupina, Salda Shaalan, Bob Flanders, Laurel Hyde, Donald Gelinas, Alice Fernandez; Perry Brown, Mike Coleman, Ed Murrell; OSOM: Jeri Purdy, OGC: Cindy Marlette. Deme Anas; Presley Reed, Ellen Schall, Andrea Wolfman; Office of the Chairman: Susan Pollonais, Nils Nichols; OFAO; Ray Reid; Comm. Breathitt's Office: Chris John; OCIO: Brooks Carter; OEP: Bill Lansinger | FERC needs (most) to finish restructuring electric industry. "636" electric transmission - promote stand alone independent RTOs (favor for-profit transcos) - establish seamless electric grid business standards -eliminate use of price caps as crutch for poorly performing electric markets. Pipelines want greater flexibility to negotiate customized services with individual customers; fix recourse rate/service at current status quo. (Clarify allowable customer-specific product/price differentiation rules.) Improve ROE for pipelines to attract needed $30 billion in new capital over next 10 years. Achieve convergence of affiliate policies/standards across electric and gas industries (suggest following gas model rather than electric). | 7/7/2000 | | No. | |
| 1494 | Shaffer, Mark S. | Office of General Counsel | front office | Yes | Enron | see comment section | see comment section | | 1992-1993 | no | For a few years in the early 1990s Enron sponsored holiday receptions. During the above time frame, I recall attending one or two of these. The only Enron employee I specifically recall seeing at these was Ms. Gay Baker, who I believe was their Washington representative. I recall no Enron specific conversations. |

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| 1495 | Shaffer, Mark S. | Office of General Counsel | front office | Yes | Transwestern (?) | Commissioner Branko Terzic, Mark Shaffer, Deborah McDonald (sp.?), possibly others that I cannot recall | I recall a meeting to introduce Ms. McDonald as a senior officer -- I believe president of Transwestern | | circa 1992, perhaps earlier | no | This is one of several meetings with Enron related company employees that I can recall during the relevant time period. During most of the relevant period, I was an assistant to Commissioners Branko Terzic and Vicky Bailey (1990-2000). I have no calenders for this time period. The responses provided throughout to question #3 as to the number of meetings, dates and content of meetings are provided to the best of my recollection. |
| 1496 | Shaffer, Mark S. | Office of General Counsel | front office | Yes | Florida Gas(?) | Terry Thorne, Commissioner Brank Terzic, Mark Shaffer, cannot recall if others attended | I recall it as a courtesy visit but cannot recall any particular subjects that were discussed | | circa 1992, possibly earlier | no | |

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| 1497 | Shaffer, Mark S. | Office of General Counsel | front office | Yes | Florida Gas/Enron/INGAA | see comment section | see comment section | | see comment section | see comment section | As noted earlier,during most of the time period covered by question #3, I was an advisor on natural gas issues to Commissioners Branko Terzic and Vicky Bailey. During this period, I recall that Mr. Stan Horton, a senior officer of I believe both Florida Gas and Enron visited with both Commissioners. I cannot recall the number of visits or the precise dates of the visits. Also, I cannot recall the specific content of those visits. If the visits innvolved gas matters and I was physically present in the office, in all iilkelihood I would have participated in the meetings. My general recollection is that if Mr. Horton came representing Florida Gas/Enron, it would be on matters of interest to the company such as proposed projects (pre-filing) or on issues of general importance to the pipeline industry such as gas industry business standards. When Mr. Horton came representing Enron, it is possible that one of Enron's Washington representative such as Ms. Donna Fulton, Ms. Shelley Corman, or Mr. Joe Hartsoe also would have attended. |
| 1498 | Shaffer, Mark S. | Office of General Counsel | front office | Yes | Enron | see comment section | see comment section | | see comment section | see comment section | During the late 1990s (cannot recal precise date), I recall being introduced to Mr. Jeff Skilling, and I believe it was in the foyer of Commissioner Bailey's office prior to a courtesy visit. I do not recall sitting in on that courtesy visit and in all likelihood would not have if it involved electric matters. It is possible that Mr. Steve Kean or Mr. Joe Hartsoe of Enron were also there at the time. I do not recall this as anything more than a perfunctory introduction. During this time period, I recall Mr. Joe Hartsoe ocassionally dropping by the office and I would have said hello in passing. |

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| 1499 | Shaffer, Mark S. | Office of General Counsel | front office | Yes | I requested meeting | Mark Shaffer, Ms. Donna Fulton, Mr. Steve Kean, Ms. Julie Gomez | Risk Hedging | | probably 1995 or 1996 | no | Because of the growing importance of risk hedging techniques to the natural gas industry, and my general ignorance in that area, I wanted to better understand how hedging was done so that I could provide credible advice to the commissioner on risk related issues. I asked Ms. Fulton if it were possible to meet with a few Enron employees who did this since the company was viewed as on the cutting edge. She set up a meeting involving the above individuals. I recall that the meeting lasted several hours (perhaps 2). |
| 1500 | Shaffer, Mark S. | Office of General Counsel | front office | Yes | INGAA | see comment section | see comment section | | 1992-2000 | no | During this time frame I attended several annual meetings of INGAA's regulatory affairs committee and participated as a panelist. These meetings were attended by government representatives of various natural gas pipeline companies, some operational employees of natural gas pipeline companies, company lawyers and ferc employees. While I cannot recall exact dates, they were usually held in spring. I recall that Ms. Donna Fulton, Mr. Joe Hartsoe, and Ms. Shelley Corman attended some of these meetings but I cannot recall which ones specifically except for one at St. Michaels Md where I believe Ms. Fulton was responsible for leading the panel on which I participated. The subject matter of these conferences typically involved issues of general importance to the industry. I do not recollect any Enron specific conversations with Enron employees at these meetings. |

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| 1501 | Shaffer, Mark S. | Office of General Counsel | front office | Yes | INGAA | see comment section | see comment section | | late 1990s to 2000 | no | During this time frame I recall attending several INGAA sponsored holiday receptions and summer open house in 1998. It is possible that Enron employees atteneded these events but I do not specifically recall any conversations with Enron employees. |
| 1502 | Shaffer, Mark S. | Office of General Counsel | front office | Yes | FERC | see comment section | see comment section | | 1998 - 1999 | see comment section | During this year, the FERC initiated outreac meetings to explore where the natural gas industry has headed in the next 5 to 10 years and explore best regulatory practices for industry growth. I recall attending a couple of these for the gas marketer and pipeline segments. I believe Enron employees particpated but I cannot recall who. |
| 1503 | Shaffer, Mark S. | Office of General Counsel | front office | Yes | Ms. Donna Fulton, Enron | Ms. Fulton, Ms. Nancy Bagot(Enron?),Ms. Pat Jagtiani(NGSA), Mark and Beth Shaffer, Ms. Julie Simon (EPSA) | Football Game (Paid full market price for tickets) | | fall 2000 | no | |
| 1504 | Shaffer, Mark S. | Office of General Counsel | front office | Yes | FERC/OMTR | range of marketers and pipeline representatives | outreach re industry segment concerns | | 2000 and 2001 | staff processed information received | |
| 1505 | Shaffer, Mark S. | Office of General Counsel | front office | Yes | Calypso Pipeline | Several executives in charge of proposed projects whose names I cannot recall, several ferc staff including me and I believe Mr.Randy Rich, attorney for the project | prefiling meeting on proposed project to bring LNG from Bahamas to Florida | | I believe March 2001 | no | To the best of my recollection, I attended two of these sessions that I believe were designed to allow the agency to track the concerns of various industry segments. I recall attending one involving marketers where I believe Ms. Julie Gomez of Enron attended and one involving the pipelines where I believe Ms. Shelley Corman attended. I do not recall which segment meeting was in 2000 and which was in 2001 |
| 1506 | Shaffer, Mark S. | Office of General Counsel | front office | Yes | Ms. Denise Simpson, Reliant | various natural gas company representatives including Ms. Donna Fulton of Enron | I was asked to give a luncheon talk to INGAA's regulatory affairs committee on the pending transition at the FERC | | | no | While I recall Ms. Fulton attended this luncheon, I do not recall any Enron specific conversation taking place. |

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| 1507 | Shaffer, Robert Willia | Office of Energy Projects | Division of Hydropower Admin & Compliance | | | | | | | | I may have been assigned Enron related work when I worked in the pipeline certificates branch and the environmental compliance branch but I have no files or recall any meetings. I am presently with the Division of Hydropower Admin & Compliance and to the best of my knowledge I have not had any Enron related work or meetings. |
| 1508 | Shannon, Paul J. | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |
| 1509 | Sheldon, Robert R. | Office of Markets, Tarriffs, and Rates | Pipeline Rates East | Yes | Commission - public notice - 11/16/00 | Numerous parties - technical conference on Transcontinental Gas Pipe Line's Order No. 637 proceeding in Docket No. RP00-481-000, et al. | Discuss various issues raised in Transco's Order No. 637 proceeding. | 12/7/2000 | | Commission orders issued 3/30/01 and 9/27/01 in Docket Nos. RP01-236, et al. | |
| 1510 | Sheldon, Robert R. | Office of Markets, Tarriffs, and Rates | Pipeline Rates East | Yes | Commission Notice | Numerous parties - restructuring proceeding. | Transcontinental Gas Pipe Line's Order No. 637 restructuring case in Docket Nos. RS92-86-000, et al. | 10/1/1992 | | Restructuring orders issued to Transco in Docket Nos. RS92-86-000, et al. See orders issued 7/16/93; 9/15/93; 10/4/93; 12/17/93; 3/17/94 | |
| 1511 | Sheldon, Robert R. | Office of Markets, Tarriffs, and Rates | Pipeline Rates East | Yes | Commission - public notice issued 12/99 | Numerous parties - technical conference - in Docket No. RP00-24-000 | Technical conference to discuss concerns of the protesters and possible settlement in Transcontinental Gas Pipe Line cash out proceeding. | 1/19/2000 | | Comission orders issued in Docket No. RP00-24-000 on 4/3/00 and 6/16/00 | |

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| 1512 | Shelton, Keith R. | Office of Markets, Tariffs, and Rates | East Branch | No | | | | | | | |
| 1513 | Shepherd, Cordella M | Office of Markets, Tariffs, and Rates | Issue Identificatio n and Resolution Manageme nt | No | | | | | | | |
| 1514 | Shepherd, Jennifer R | Office of Markets, Tariffs & Rates | Division of Rates West | Yes | Donna Fulton (Enron) | Self, Ray Alvarez (Enron), another FERC employee (possibly from OGC or OMTR) | Ms. Fulton and Mr. Alvarez were leaving another meeting and stopped to introduce themselves. | | probably btwn 2/2001 & 8/2001 | none | |
| 1515 | Shepherd, Jennifer R | Office of Markets, Tariffs & Rates | Division of Rates West | Yes | Invitation by INGAA | INGAA sponsored conference. No available list. Commission and pipeline employees attended possibly including Enron employees. | An introduction to gas regulation for FERC electric analysts | 10/14/1999 | | none | I did not meet any Enron employees at this meeting. I do not recall if any of the speakers were from Enron. I report this meeting because of the likelihood of Enron employee participation. |
| 1516 | Shepherd, Jennifer R | Office of Electric Power Regulati on | Division of Corporate Application s | Yes | | Widely attended by industry. Some FERC employees attended and Commissioners Massey and Hebert spoke. Steven Kean (Enron) spoke in a session titled "Has Functional Unbundling Failed". | Grid Regionalization (RTO Structures, Regionalization and Reliability, Transmission Pricing, etc.) | | 2/25/1999 2/26/1999 | none | I do not recall whether I met or had any conversations with Enron employees at this conference. |
| 1517 | Sherman, Kathleen L | Office of the Chief Informati on Officer | Communic ations Manager, report directly to CIO | No | | | | | | | |
| 1518 | Sherwood, Mary R. | Office of the Executiv e Director | Front Office | No | | | | | | | |

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| 1519 | Shipley, Jennifer | Office of Markets, Tarriffs, and Rates | Rates - West | No | | | | | | | |
| 1520 | Shriver, George M. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Don't Know | Don't Know | Settlement discussions in Northern Natural Gas rate case, Docket No. RP92-1-000. | | 10/02/91-5/31/93 | Letter Order issued 10/01/93. 65 FERC par 61,011 | None |
| 1521 | Shriver, George M. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Don't Know | Don't Know | Settlement discussions in Northern Natural rate case, Docket No. RP98-203-000 | | 5/06/98-2/28/99 | Letter Order issued 6/18/99. 87 FERC par 61,321 | None |
| 1522 | Shriver, George M. | Office of Markets, Tarriffs, and Rates | Litigation | | Don't Know | Don't Know | Settlement discussion in Northern Natural rate case, Docket No. RP95-185-000 | | 3/09/95-2.21.96 | Order on Rehearing, 74 FERC par 61,190 | None |
| 1523 | Shumpert, Irean | Office of the Executive Director | DLM | No | | | | | | | |
| 1524 | Siddell, Dwight B. | Office of the Executive Director | Division of Regulatory Audits (DRA) | Yes | Audit Team for Docket # FA98-003 | DRA Audit Team (Dwight Siddell, Oliver Lui and George Kaufman)eld phone conversations and meetings with various employees (Do not remember names) of Northern Natural Gas Company's Property Accounting Department | Gathering information relating to the Audit of Cost of Removal/Abandonment of Plant | | April 1998 -- September 2000 | No | |

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| 1525 | Siddell, Dwight B. | Office of the Executive Director | Division of Regulatory Audits (DRA) | Yes | Audit Team for Docket # FA00-38-000 | DRA Audit Team (Dwight Siddell, Susan Tolbert,Scott Molony and Cristen Russell) held phone conversations and meetings with various employees of Northern Natural Gas Company's Plant Accounting Department. | Gathering information relating to the Audit of Northern Natural's Construction Activities | | July 2000--December 2001 | Audit Report issued on December 7, 2001 | |
| 1526 | Siddell, Dwight B. | Office of the Executive Director | Division of Regulatory Audits (DRA) | Yes | Litigation Team Docket # RP99-322 | Litigation Team meeting (Site Visits) with Northern Border Pipeline Company staff collecting information relating Docket # RP99-322. | Gathering information relating to rate case filing (Docket # RP99-322) | | | RP99-322 ended with Settlement | |
| 1527 | Siddell, Dwight B. | Office of the Executive Director | Division of Regulatory Audits (DRA) | Yes | DRA Audit Team for Docket # FA98-007 | DRA Audit Team (Dwight Siddell, Richard Rosell, Oliver Lui and George Kaufman) held various meeting and phone conversations with employees of Northern Border Pipeline Company's Accounting and Engineering Departments. | Gathering information relating to the Audit of Northern Border's "Chicago Project" cost (Docket # FA98-007) | | July 1999--October 1999 | No. Audit Was terminated and Audit Team joined the litigation team in Docket # RP99-322. | |
| 1528 | Sidoti, Anton J. | Office of Energy Projects | D2SI | No | | | | | | | |
| 1529 | Sidrak, Lotfy N | Office of Markets, Tariffs, and Rates | LITIGATION | No | | | | | | | |

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| 1530 | Slems, Jonathan L. | Office of Markets, Tarriffs, and Rates | Div of Litigation | Yes | FERC | J. Slems, ALJ Bobbie McCartney (Mediator), Laura K. Sheppeard (OGC), Herbert Tate (Outside, ad hoc contractor assisting the Mediator); Enron representatives; many dozens of other market participants and/or those potentially affected by the establishment of an RTO, including investor owned utilities, power marketers, independent generators, municipal and cooperative power suppliers and transmission owners, Tennessee Valley Auth. and Southeastern Power Admin., industrial customers, several State regulatory commission representatives, etc. | Docket No. RT01-100 -- Mediation attempting to reach consensus among multiple parties on the principles for the establishment of a single Regional Transmission Organization in the Southeast region of the U.S. | | July - September 2001 | None specifically in response to the mediator's ultimate report, but further inquiry such as technical conferences and consideration particularly of State Commission views has ensued given the difficulties uncovered as a result of the FERC's abrupt decision to dictate the form of RTO's in its July 12, 2001 orders, and the issues discussed in this and other mediations. | Enron either individually or with other similarly situated entities prepared numerous position papers regarding its recommendations as to the various characteristics that should be embodied in a "Southeast RTO," as did all other participants in the mediation. All such documents were submitted to the mediation team and other participants on a strictly confidential basis. Those documents became unavailable to Mr. Slems several months ago when they were directly deleted by Mr. Slems, or disappeared from his Groupwise e-mail in-box as a matter of course when they reached the 90-day expiration date. |
| 1531 | Silverstein, Alison | Office of the Chairman | Office of the Chairman | Yes | Don't Know | 20+FERC staffers, representatives of wind industry (AWEA, Atlantic renewables, other) and Enron Trading | FERC OMTR outreach meeting to hear wind industry concerns about wholesale market operations and renewables | | | No | |
| 1532 | Silverstein, Edward M | Office of Administrative Law Judges | None | No | | | | | | | Your questionaire does not exclude hearings, prehearing conferences, etc., and it should. I have no recollection of ever meeting with an Enron employee or representative. Moreover, I would not have met with any Enron employee or representative except at publicly noticed hearings, prehearing conferences, etc., for which transcripts were made or at settlement conferences which were attended by all parties in a case pursuant to my order. |

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| 1533 | Simler, Jamie, L. | Office of Markets, Tarriffs, and Rates | Electric Power Regulation | Yes | Parties other than me | To the best of my recollection, I have no record of those present at any given meeting. | I attended a wide variety of meetings including pre-filing meetings of which an Enron affiliate may have been present. I do not have specific records or recall specific cases. | | November 1997 - August 2001 | While I do not have a specific record as to any given pre-filing meeting, the nature of such meetings is that an application is typically filed and the Commission takes action on the application. | |
| 1534 | Simler, Jamie, L. | Office of Markets, Tarriffs, and Rates | Issue Identificatio n & Resolution Manageme nt | Yes | RTO West market participants | From the Commission: myself and Mike Coleman. I recall representatives from Puget Sound being present in addition to many other market participants in the West. | RTO formation in the west | | September October 2000 | The Commission issued an order on RTO applications. I was not involved as a decisional party on these orders. | In the time available for response, I have been unable to locate any notes from the RTO West meetings. |
| 1535 | Simler, Jamie, L. | Office of Markets, Tarriffs, and Rates | Division of Corporate Application s | Yes | INGAA | Multiple industry representatives. Nancy Bagot and Joe Hartsoe on Enron (and/or affiliates) were present | The conference was Annual Regulatory Affairs. | | May 16-18, 2001 | Not to my knowledge. | I sat at dinner with Nancy Baggot and several other people from FERC and industry. Conversations were of general nature. |
| 1536 | Simler, Jamie, L. | Office of Markets, Tarriffs, and Rates | Issue Identificatio n and Resolution Manageme nt | Yes | Energy Bar | Industry representatives, including representatives from Enron | Various energy/law related issues | | Spring 2000 | Not to my knowledge | |
| 1537 | Simler, Jamie, L. | Office of Markets, Tarriffs, and Rates | IIRM/Corpo rate Application s | Yes | Third parties, myself, or Enron rep | Lisa Yoho, and other personal friends. | Gathering of friends which included Lisa Yoho, an employee of Enron | | December 1997- October 2000 | No. | These were visits with long-time (15 years) friends made at a time prior to employment with Enron. |
| 1538 | Simmons, Ira B. | Office of Energy Projects | D2SI, San Francisco Regional office | No | | | | | | | |

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| 1539 | Simms, Clarence E. | Office of the Executive Director | Division of Regulatory Audits | No | | | | | | | |
| 1540 | Simon, David L. | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |
| 1541 | Singh, Gajinder | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - EAST | No | | | | | | | |
| 1542 | Skalka,Susan, I | Office of the Executive Director | MAPS | No | | | | | | | |
| 1543 | Smith, Christopher | Office of the Executive Director | DLM | No | | | | | | | |
| 1544 | Smith, Daniel, P. | Office of Energy Projects | D2SI | No | | | | | | | |
| 1545 | Smith, Gail M. | Office of Markets, Tarriffs, and Rates | Rates - West - 3 | No | | | | | | | |

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| 1546 | Smith, Gloria T. | Office of Administrative Law Judges | LJ2 | No | | | | | | | |
| 1547 | Smith, Janee L. | Office of Energy Projects | D2SI-ARO | No | | | | | | | |
| 1548 | Smith, John, B. | Office of Energy Projects | Division of Environmental and Engineering Review | No | | | | | | | |
| 1549 | Smoyer, Andrew, T | Office of the Executive Director | Management, Administrative and Payroll System | No | | | | | | | |
| 1550 | Snesrud, Patricia | Office of the Secretary | Office of the Secretary | No | | | | | | | |
| 1551 | Snyder, Eugene R. | Office of Markets, Tarriffs, and Rates | Rates West | Yes | Publically noticed by FERC Staff | All parties to the proceeding, including Northern Natural and FERC Staff. | Settlement coonferences to resolve cost of service and rate design issues. | | From May 1998 to April 1999 | Settle of rate proceeding filed on April 16, 1999, supported by all parties. | |
| 1552 | Snyder, Eugene R. | Office of Markets, Tarriffs, and Rates | Rates West | Yes | FERC Staff | FERC Staff and Northern Natural Personnel. | Company field audit, Omaha, Nebraska, in conjunction with rate case filing in Docket No. RP98-203-000. | | 07/26/1998-07/31/1998 | No. | |

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| 1553 | Snyder, Eugene R. | Office of Markets, Tarriffs, and Rates | Rates West | Yes | Publically noticed by FERC Staff | All parties to the proceeding, including Northern Border and FERC Staff. | Settlement conference to resolve cost of service and rate design issues in Docket No. RP96-45-000. | | March 1996 to July 1997 | Settlement of rate proceeding filed on August 1, 1997, supported by all parties. | |
| 1554 | Snyder, Eugene R. | Office of Markets, Tarriffs, and Rates | Rates West | Yes | FERC Staff | FERC Staff and Northern Border Personnel. | Company field audit, Omaha, Nebraska, in conjuction with rate case filing in Docket No. RP96-45-000. | | 03/26/1996-03/29/1996 | No. | |
| 1555 | Sosa, Terri L. | Office of the Executive Director | Human Resources | No | | | | | | | |
| 1556 | Sotelo, John L. | Office of the Executive Director | Budget | No | | | | | | | |
| 1557 | Soto, Andrew K. | Office of General Counsel | Solicitor | Yes | Andrew Soto | Frank X. Kelly, representing Enron Pipelines Numerous other counsel in the case | Format of appellate briefs | 1/8/2001 | | No. | |
| 1558 | Soto, Andrew K. | Office of General Counsel | Solicitor | Yes | Andrew K. Soto | Frank X. Kelly, representing Enron Pipelines Numerous other counsel in the case | Format of oral argument in an appeal of FERC orders. | 10/9/2001 | | No. | |
| 1559 | Sozzi, Eugenia E. | Office of the Executive Director | Human Resources | No | | | | | | | |

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| 1560 | Sparber, Frank A. | Office of Markets, Tarriffs, and Rates | Rates West | Yes | FERC | Kim Curry for Enron North America Nancy Bagot - Enron Frank Sparber FERC Sandra Elliott FERC Patricia Eley FERC Raymond James FERC Carlos Clay FERC Donna Stratton FERC Mark Haskell Dyegy Ken Albert CIG Bill Kickert Williston Basin Clancy Aschbrenner Clg Diana Craig Columbia Gas Transmission Michelle Fujimoto Colo. Springs Util. Shawn Gillespie Utilicorp. Greg Ruben CIG Gordon Pennington CIG Russ Noblett CIG Sean Kolassa CIG Don Shepler CIG Rick Smead CIG Bill Griffith CIG Bob White PSCo Werner Uebelhaer PSCo Wayne Booker Colo Springs Util Mark Cook Coastal Tim Evered MCGuire Woods Jim West CIG Peter Roldakis FERC Julie Parsons FERC Kevin Sweeney Amoco Allan Anderson EOG | CIG's Filing to Comply with Order No. 637 | 10/26/2000 | | No action. An order issued in this docket on May 31, 2001. | |
| 1561 | Sparber, Frank A. | Office of Markets, Tarriffs, and Rates | Rates West | Yes | FERC | Nancy Bagot - Enron Frank Sparber - FERC Sandra Delude - FERC Julie Parsons - FERC Donna Stratton - FERC James Eason - FERC Virgil Spurgeion - Phillips William Penniman Questar Tad Taylor - Questar Robert Catlin - Questar Lenard Wright - Questar Phyllis Hansen - Questar Alan Allred - Questar Larry Conti - Questar J.H. Millington - Questar Kevin Flynn - VanNess Feldman Paul Korman - VanNess Feldman Jim Miller - Brunenkant and Haskell for Dynegy Julia Lake - FERC Patty Eley - FERC Gus Howard - CIG Liz Zembruski - DukeEnergy Kurt Jacobs - for Barrett Resources Sarah Tomalty - Dynegy | Questar Pipeline Company's Filing to Comply with Order No. 637 | 1/11/2001 | | No action was taken in response to the meeting. However the Commission voted out an order on the compliance filing at the February 13, 2002 Agenda | |

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| 1562 | Sparber, Frank A. | Office of Markets, Tariffs, and Rates | Rates West | Yes | FERC | Randall Rich - Bracewell & Patterson for Enron No. America Frank Sparber - FERC Sandra Elliott - FERC Mylie A Needle - McGuire Woods Raymond James - FERC Patricia Eley - FERC Sandra Delude - FERC Julie Parsons - FERC Donna Stratton - FERC Sarah Tomalty - Dynegy Jon Brunenkant - Marathon/Chevron Kevin Sweeney - Amoco Steve Tarpey - BP Shawn Gillespie - UtilCorp United Wayne Booker - Colorado Springs Utility Werner Uebelhaer - Excel Energy Sean Kolassa - CIG Russ Noblett - CIG Robert White - PSCo Bill Griffith - CIG Clancy Aschbrenner - CIG Ken Aubert - CIG Jim West - CIG Mark Cook - Coastal Greg Reuben - CIG Allan Anderson - EOG Resources Roxane Maywalt - Questar Tad Taylor - Questar Larry Conti - Questar Phyllis Hansen - Questar Diana Craig - Columbia Gas Transmission Carlos Clay - FERC Robert Catlin - FERC Kevin Flynn - VanNess Feldman Donald Zeiszler Bill Kickert - Williston Basin Frank Gurley - FERC Gordon Pennington - CIG Peter Roldakis - FERC Rick Smead - CIG Don Shepler - CIG | CIG's filing to comply with Order No. 637 | 10/3/2000 | | No action. On May 31, 2001 the Commission issued an order in this docket. | |
| 1563 | Speight, Helen S. | Office of the Executive Director | Division of Financial Policy | No | | | | | | | |

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| 1564 | Spencer, Charles Bra | Office of the Secretary | Office of the Secretary | Yes | Enron (Washington Office) | Myself, Joseph Hartsoe, and Sarah Novosel | Hartsoe and Novosel wanted to know if I knew any attorney's who might be interested in working for Enron in the Washington Office and Hartsoe and I just caught up on old times since he left the Commission several years ago. I had not met Novosel before that. Also, many years ago (maybe more than 10) I did go to a Christmas pary at the Phoenix Park hotel after work, but that could have been in the late 80's or early 90's. | | July or August of 2001 | No | I am not a decisonal employee and the only contact with Enron has been referral of telephone calls or receiving daily filings in normal work product situations over the years. |
| 1565 | Spicer, Stephen A. | Office of Energy Projects | Dam Safety and Inspections | No | | | | | | | |
| 1566 | Springer, Michelle | Office of Markets, Tariffs, and Rates | Division of Rates and Tariffs-East | No | | | | | | | |
| 1567 | Squirewell, Bessine B | Office of the Executive Director | Organizational Management | No | | | | | | | |
| 1568 | Stanek, Jason M | Office of General Counsel | Markets, Tariffs and Rates | No | | | | | | | |

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| 1569 | Stankus, Alexander J | Office of the Chief Information Officer | IT Infrastructure and Operations | No | | | | | | | |
| 1570 | Starkey, Jeannette | Office of the Executive Director | Administrative Support Staff | No | | | | | | | |
| 1571 | Steen, Carlton | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Northern Natural and its Customers | FERC Staff and Northern Natural Personnel | Cost of service and rate design issues | 5/1/1998 | 4/30/1999 | Settlement of rate proceeding filed April 16, 1999, supported by all parties. | |
| 1572 | Steen, Carlton | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Black Marlin and its customers | FERC Staff and Black Marlin personnel | Cost of service and rate design issues | 5/1/1993 | May 1993 - August 1993 | Settlement of rate proceeding approved July 1994 and supported by all parties. | |
| 1573 | Stein, Marlene L. | Office of Markets, Tarriffs, and Rates | Office of the Associate Director | No | | | | | | | |
| 1574 | Stein, Michael H. | Office of the Executive Director | DOM | No | | | | | | | |

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| 1575 | Steinberg, Robert | Office of Markets, Tarriffs, and Rates | Rates - East | No | | | | | | | |
| 1576 | Stephenson, Brian | Office of Markets, Tarriffs, and Rates | Central | No | | | | | | | |
| 1577 | Stewart, Hugh | Office of Markets, Tarriffs, and Rates | Division of Policy, Innovation and Communication | Yes | Initiated under Docket No. OR00-11 | Representatives of EOTT Energy Operating Limited Partnership (Enron subsidiary), Conoco Pipeline and Commission Trial Staff | To gather information from parties and to discuss potential settlement terms. | | 11/09/00 and 1/11/01 | No. | I have only a recollection of such a meeting, but no records. Therefore, I don't remember who attended or precisely when the meeting was. On January 11, 2001 EOTT withdrew the complaint. |
| 1578 | Strasser, Virginia | Office of General Counsel | Market Oversight and Enforcement | Yes | not sure | Employees and members of INGAA (the Interstate Natural Gas Association of America), members of the Office of Administrative Litigation, including Bill Froehlich, Deputy Director, and Ken Ende, Special Assistant. | To present what the new office was about. | 5/11/1999 | | No. | |
| 1579 | Strasser, Virginia | Office of General Counsel | Market Oversight and Enforcement | Yes | Dick O'Neill | Dick O'Neill, Chief Economist, FERC; Marsha Gransee, Deputy General Counsel, FERC; Virginia Strasser, then Director, Office of Administrative Litigation, FERC; Dennis Stram, Enron | Discussion of our tour of the trading floors and social smalltalk. | 2/26/2000 | | No. | |
| 1580 | Stratton, Donna M. | Office of Markets, Tarriffs, and Rates | Rates - West | Yes | FERC Staff | Various Parties | Technical Conferences | | Mid 1990 | | During the mis 90's I attended a few Northern Natural technical conferences. I was only an observer. No further information available. |

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| 1581 | Strzelecki, Michael St | Office of Markets, Tarriffs, and Rates | Tariffs and Rates, Central | No | | | | | | | |
| 1582 | Sullivan, Barry E. | Office of Markets, Tarriffs, and Rates | Division of Litigation | Yes | FERC Staff/Florida Gas | FERC Staff, Florida Gas Personnel, Pipeline INtervenors | Procedural and substantive issues in consolidated rate proceeding in Docket Nos. RP96-366-000 and FA94-15-002 | | Various dates between November 1996 and July 1997 | September 24, 1997 Commission Order approving Settlement filed on August 5, 1997. | |
| 1583 | Sullivan, Barry E. | Office of Markets, Tarriffs, and Rates | Division of Litigation | Yes | Publically noticed meeting by FERC Staff | FERC Staff, Northern Natural personnel, and all parties and intervenors in the pipeline rate case in RP98-203-000. | Settlement conferences to resolve Northern Natural cost of service and rate design issues in RP98-203-000. | | July 26-31 1998 | Settlement of rate case proceeding was filed on April 16, 1999 and supported by all parties to the proceeding. | Staff conducted a field audit at Northern Natural in Omaha, Nebrask on July 26-31, 1998. |
| 1584 | Sullivan, Barry E. | Office of Markets, Tarriffs, and Rates | Division of Litigation | Yes | FERC Staff/Transwestern Pipeline | FERC Staff/ Transwestern Pipeline personnel, California Public Utility Commission staff, and Intervenors. | Negotiated rates charged by Transwestern in consolidated rate proceeding in RP97-288-009 through 016. Commission Order dated July 26, 2001 set the issue of negotiated rates and the potential exercise of market power issue for hearing in this proceeding. Hearing was conducted in front of ALJ Leventhal in August 2001. ALJ Initial Decision issued October 2, 2001. | | July 2001 through October 2001 | Pending Commission Order either approving, reversing or remanding the ALJ Initial Decision. | |

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| 1585 | Sullivan, Barry E. | Office of Markets, Tariffs, and Rates | Litigation | Yes | FERC Staff/Florida Gas | FERC Staff and Florida Gas Pipeline Personnel | Audit of Florida Gas cost of service and cost allocation and rate design in RP96-366 | | Various dates between November 1996 and July 1997 | September 24, 1997 Commission Order approving settlement filed on August 5, 1997. | Staff conducted a field audit at Florida Gas in Houston, TX in early 1997. |
| 1586 | Sultan, Shaheda | Office of General Counsel | mtr | Yes | | | | | | | I do not now know or remember whether I had any communications with Enron personnel, but am not willing to categorically state that I did not. |
| 1587 | Sum, Pong T. | Office of Energy Projects | DAM SAFETY AND INSPECTIONS | No | | | | | | | |
| 1588 | Sumpter, Kevin F. | Office of Markets, Tariffs, and Rates | East Group 2 | No | | | | | | | |
| 1589 | Swanson, Karl F. | Office of Energy Projects | D2SI-PRO | No | | | | | | | |
| 1590 | Swearingen, David C | Office of Energy Projects | Gas Branch 1 | No | | | | | | | |
| 1591 | Szopo, Irene E. | Office of General Counsel | Litigation | No | | | | | | | |
| 1592 | Tabbs, Denise H | Office of the Chief Informati on Officer | IT Strategic and Capital Planning and Policy | No | | | | | | | |

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| 1593 | Tao, Leonard M. | Office of General Counsel | MTR | Yes | Party at the home of Eugene Grace | Scott (last name unknown - former Enron employee -at the time he was dating Geeta Oberoi), Geeta Oberoi, Eugene Grace, Sandy Grace, Olga Kolotushkina and her husband Val (last name unknown), Jignasa Gadani and her roomate Megan (last name unknown), Linda Johnson, Mary Morton, Jason and Elizabeth Rytander, Maria Vouras, Carlos (last name unknown), Zeny Magos, Lodie White, Mike Henry | Social Occasion | 6/7/2001 | | No. | |
| 1594 | Tao, Leonard M. | Office of General Counsel | MTR | Yes | A party at Lodie White's Home | Scott (last name unknown - former Enron employee -at the time he was dating Geeta Oberoi), Geeta Oberoi, Eugene Grace, Olga Kolotushkina and her husband Val (last name unknown), Jignasa Gadani, Mary Morton, Maria Vouras, Lodie White, David Faerberg, Bob McLean | Social Occasion | 9/8/2001 | | No. | |
| 1595 | Tao, Leonard M. | Office of General Counsel | Markets, Tariffs, and Rates | Yes | The Commission | Michael Bardee, Leonard Tao, Honorable Anna G. Eshoo, Bill Julian, Lynn Lednicky, Dr. Gary Stern, Dr. Richard Tabors, Steven Van Leer, Phil Chabot, G. alan Comnes (Enron Power Marketing), Mike Naeve (Portland GE), Dejan Sobajic, Mark Tallman, Brian Theaker, Fong Wan, Richard L. Roberts, Jane Beach, Richard Armstrong, Don Gelinas, Commissioner Wood (attended portions of the meeting, but did not actively particlpate), Scott Miller. There were other many people whgo attended this public meeting, held in the Commission meeting room. | We discussed West-wide price mitigation for the winter season. The Enron and Portland GE representatives were on the second panel of speakers, which also included Sobajic, Tallman, and Theaker. | 10/29/2001 | | The Commission issued an order on December 19, 2001. The docket number of this proceeding is EL01-68-000. | A transcript of this conference was made. |
| 1596 | Taug, Richard B. | Office of Energy Projects | D2SI, PRO | No | | | | | | | |

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| 1597 | Taylor, Diane L. | Office of the Chief Informati on Officer | network operations | No | | | | | | | |
| 1598 | Taylor, Elizabeth A. | Office of the Chief Informati on Officer | Knowledge and Information Manageme nt Group | Yes | Commission directed staff to have mtgs. | I don't have the sign in sheets anymore but Enron was represented by staff of Northern Natural Gas Company and Florida Gas Transmission | Informal working group meetings were held to develop formats for electronic filing requirements specified in Order Nos. 581 and 582. | 12/12/1995 | | After a series of meetings the Commission issued the filing instructions for electronic filing of Forms 2, 2-A, and 11; the index of customers; discount rate report; and major rate case filings. | |
| 1599 | Taylor, Elizabeth A. | Office of the Chief Informati on Officer | Knowledge and Information Manageme nt Group | Yes | Commission directed staff to hold mtgs | I no longer have the sign in sheets but Enron was represented by staff from Northern Natural Gas and Florida Gas Transmission | Informal working group meetings to develop formats for electronic filing requirements specified in Order Nos. 581 and 582 for various forms and filing types. | | March 20 - 21, 1996 | after a series of working group meetings, the Commission issued filing instructions for submitting the Form 2, 2A, 11; index of customers, discount rate report and major rate filings in electronic format. | |
| 1600 | Taylor, Elizabeth A. | Office of the Chief Informati on Officer | Knowledge and Information Manageme nt Group | Yes | Commission directed staff to hold mtgs | The sign in sheets are no longer available but Enron was represented by staff from Northern Natural Gas and Florida Gas Transmission | after a series of working group meetings, the Commission issued filing instructions for submitting the Form 2, 2A, 11; index of customers, discount rate report and major rate filings in electronic format. | | October 9-10, 1996 | after a series of working group meetings, the Commission issued filing instructions for submitting the Form 2, 2A, 11; index of customers, discount rate report and major rate filings in electronic format. | |

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| 1601 | Taylor, Elizabeth A. | Office of the Chief Informati on Officer | Knowledge and Information Manageme nt Group | Yes | OED & CFO | Bill McDermott, OED&CFO Kathy Quijada, OED&CFO Arve Milner, OED&CFO Judy Martin, OED&CFO Cynthia Klenk, OED&CFO Jim Orlando, CDSI Ann Martin, OED&CFO Bill Watson, OSEC Curtis Chappell, OSEC Beth Taylor, OPR Michael Cosgrave, TERS Jeffrey Levine, Energy Data Corp. Justine Dandrea, GKRS Pat Beton, Koch Kathy Mongean, Enron Corp. Kirsten Sweaney, Sonat Stacy Vargecko, Akin Gump | At the Public Reference Room Users' Forum staff and clients of the Public Reference Room (PRR) discussed ways in which to improve the service of the PRR. | 4/2/1996 | | No action was required of me. | |
| 1602 | Taylor, Elizabeth A. | Office of the Chief Informati on Officer | Knowledge and Information Manageme nt Group | Yes | Div. of Policy, Planning, and Oversight | I have no notes on who attended from staff; from the outside: Randy Rich -- PMTG (202) 828-5679 Laura Murrell -- Tenneco Energy Resources Corp. Ron Tagliari -- Tenneco Energy Resources Corp. Rebecca Cantrell -- ENRON Capital & Trade Resources Susan Covino -- KCS Energy Marketing Lisa Yoho -- Independent Oil & Gas Association of PA (IOGA) | During the month of October 1994, Staff conducted 19 outreach meetings with representatives of all segments of the natural gas industry to address the overall strengths and weaknesses of the capacity release program. One meeting was with a group that inc | 11/1/1994 | | The Commission issued a rulemaking in Docket No. RM95-5-000. | |
| 1603 | Taylor, Elizabeth A. | Office of the Chief Informati on Officer | Knowledge and Information Manageme nt Group | Yes | Commission directed staff to hold mtgs | I no longer have the sign in sheets but Enron was represented by Norther Natural and Florida Gas | Informal working groups developed formats for electronic filing requirements specified in Order Nos 581 and 582 for the Form 2, 2-A, and 11; index of customers, discount rate reports and major rate case filings. | | February 7 - 8, 1996 | After several meetings were held the Commission issued the filing instructions for filing in electronic format. | |
| 1604 | Taylor, Tiquana M. | Office of the Secretar y | Registry and Service | No | | | | | | | |

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| 605 | Taylor, James S. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Commission Staff | FERC Staff and Northern Natural Personnel | Field Audit by Commission Staff at Company Offices | | 7/26/98 - 7/31/98 | Commission Staff used information obtained during the development of its Prepared Direct Testimony | |
| 1606 | Taylor, James S. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Northern Natural gas Company | All parties to the proceeding including Northern natural and FERC Staff | Technical/Settlement Conferences | | 5/98 - 4/99 | Settlement of rate proceeding filed on April 16, 1999 supported by all parties. | |
| 1607 | Tekumalla, Visweswa | Office of Markets, Tarriffs, and Rates | DPIC | Yes | | | OMTR Outreach | Summer 2000 and 2001 | | | Included in submission by Saida Shaalan |
| 1608 | TerHaar, Monte J. | Office of Energy Projects | Hydro East Group 2 | No | | | | | | | |
| 1609 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Robert Kilmer of Black Marlin Pipeline | FERC STaff and Black Marlin representatives. | Settlement of Black Marlin rate case in docket No. RP93-70-000. | 11/18/1993 | | No | |
| 1610 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff/Florida Gas reps. | FERC Staff, Florida Gas Transmission Co. representatives | Technical conference and settlement negotiations on rate case issues set for hearing in docket No. RP96-366-000 et al. | | 5/97 thru 6/97 | No | |

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| 1611 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Florida Gas Representatives | FERC staff, Florida Gas Transmission Co. representatives, possibly other parties to Docket no. RP91-187-000. | Transition costs. | 3/12/1992 | | No. | |
| 1612 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff/Northern Border reps. | FERC STaff, Northern Border Pipeline Co. representatives, other parties to Docket No. RP99-322-000 et al. | Settlement of rate case issues set for hearing in Docket no. RP99-322-000. | | 12/99 thru 6/00 | No | |
| 1613 | Thomas, Christopher | Office of Markets, Tarriffs, and Rates | West | Yes | Matt Estes; Counsel for Portland General | Via Telephone Conference: Christopher Thomas, Elizabeth Rylander, and Robert Petrocelli for Commission Staff. Matte Estes, A.W. Turner, Mickie Farrell and Joe Taylor for Portland General Electric Company | Telephone conferences to discuss Portland General's proposed response to a Commission order requiring modifications to energy imbalance pricing. | 10/1/2001 | and 10/12/2001 | No. | |
| 1614 | Thomas, Hugh V. | Office of Energy Projects | Division of Environmental and Engineering Review | Yes | Hugh Thomas (FERC) | Teb Lokey (Northern Natural) | Informal environmental data request regarding permits for disposal of PCB contaminated facilities. | | | No action taken. | |
| 1615 | Thomas, Hugh V. | Office of Energy Projects | Division of Environmental and Engineering Review | Yes | Hugh Thomas (FERC) | Northern Natural representative. | Informal environmental data request via telephone with questions regarding abandonement of facilities | | | No action taken. | |
| 1616 | Thomas, Hugh V. | Office of Energy Projects | Division of Environmental and Engineering Review | Yes | Hugh Thomas (FERC) | Donna Martens (Northern Natural) | Faxed Northern Natural the environmental assessment prepared for Docket No. CP95-312-000. | | | No action taken. | This fax was a result of a telephone request for the environmental assessment from Donna Martens of Northern Natural. |

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| 1617 | Thomas, Hugh V. | Office of Energy Projects | Division of Environmental and Engineering Review | Yes | Hugh Thomas | Michelle Winckowski (Northern Natural) | Informat environmental data request via fax with questions regarding information needed for preparation of an environmental assessment. Questions dealt with air and noise quality information for proposed compressor unit modification at Northern Natural | | | No action taken. | |
| 1618 | Thomas, Hugh V. | Office of Energy Projects | Division of Environmental and Engineering Review | Yes | Hugh Thomas (FERC) | Dorothy L. McCoppin (Transwestern) | Informal environmental data request via telephone with questions regarding abandonment of Cree Flowers Gathering System and Compressor Unit 690 in Roberts County, Texas. | | | No action taken. | |
| 1619 | Thomas, Hugh V. | Office of Energy Projects | Division of Environmental and Engineering review | Yes | Kelly Allen (Transwestern) | Hugh Thomas (FERC) | Telephone conversation with Transwestern to clear up confusion about an environmental data reqest question. | | | No action taken. | |
| 1620 | Thomas, Kenneth J. | Office of the Chief Information Officer | CI-1 | No | | | | | | | |
| 1621 | Thompson, Gilda R. | Office of General Counsel | Markets, Tariffs and Rates | No | | | | | | | |
| 1622 | Tillery, Betty | Office of General Counsel | Solicitor | No | | | | | | | |

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| 1623 | Tingle-Stewart, Natali | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Administrative Law Judge | Commission Staff, El Paso, Numerous intervenors | Prehearing, Hearing and settlement talks in El Paso open access transmission case. | | October 15, 1996 - January 1998 | ALJ Issued Initial Decision | |
| 1624 | Tingle-Stewart, Natali | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Administrative Law Judge | Puget Sound, Portland General, Commission Staff and various intervenors | ER97-4468-000, et al. - Prehearing, settlement talks and hearing in Puget Sound transmission case | | July 1997 - May 1998 | Partial settlement approved, Initial Decison on hearing issues | |
| 1625 | Tingle-Stewart, Natali | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Administrative Law Judge | Montana Power, Enron Power Marketing, Commission Staff, and various intervenors | ER98-2382-000, et al. - Prehearing, and Settlement talks Montana's Wholesale and REC transmission rate case. | | October 1998 - March 1999 | Approval of settlement offer. | |
| 1626 | Tingle-Stewart, Natali | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Administrative Law Judge | Pacific Gas & Electric (PG&E), Enron Power Marketing, Commission Staff and various intervenors | ER01-66-000- Prehearing and Settlement talks in PG&E's Transmission rate case | | December 2000 - June 2001 | unsure. | |
| 1627 | Tingle-Stewart, Natali | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Chief Judge | SDG&E, PG&E, Southern California, Enron (intervenor), Commission Staff and other intervenors | Prehearing, Settlement talks, technical conferences and hearing in Docket ER96-496-000, et al. | | November 1997 - April 2000 | awaiting final decision | |
| 1628 | Tingle-Stewart, Natali | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Admistrative Law Judge | SDG&E, PG&E, Southern California, Commission Staff, Enron, Portland General, and other intervenors | Prehearing, Settlement talks, and Technical conferences in Docket No. ER97-2358-000, et al. | | January 1998 - September 1998 | approved partial settlement; non-rate terms & conditions are pending (severed case) | |

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| 1629 | Tingle-Stewart, Natali | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Administrative Law Judge Citron | Puget Sound, Enron, Portland General, Commission Staff and about 70 other intervenors | Prehearing, Settlement talks and Hearing In Docket No. EL01-10-000 - Pacific Northwest Refund case | | August 2001 - September 2001 | awaiting decision | |
| 1630 | Tishman David G. | Office of General Counsel | MTR | Yes | Happenstance | Ray Alverez,Enron Employee, Robert Mclean, David Faerberg | Personal Conversation and greetings | | During 2001 | None | |
| 1631 | Tolbert, Susan M. | Office of the Executiv e Director | Division of Regulatory Audits | Yes | The Commission's Audit Team | Various, however, my Supervisor (Dwight Siddell) told me the auidt of Northern Natural did not count for completion of this form. | Northern Natural's compliance with 18 CFR Section 2.55, 18 CFR Part 201 and 18 CFR Part 284. | | Not sure, will have to request audit workpaper s from storage. | An audit report was issued. | |
| 1632 | Tolson-Neal, Terri | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - West | No | | | | | | | |
| 1633 | Tomasi, Eric J. | Office of Energy Projects | Gas/Hydro Branch | No | | | | | | | |
| 1634 | Tompkins, Martha R. | Office of Markets, Tarriffs, and Rates | Central | No | | | | | | | |
| 1635 | Torres, Livia | Office of Administ rative Law Judges | OALJ | No | | | | | | | |

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| 1636 | Trice, Anthony | Office of the Secretary | Dockets | No | | | | | | | |
| 1637 | Triplett, Sarah Vernet | Office of the Executive Director | Financial Policy | No | | | | | | | |
| 1638 | Tsang, Lori | Office of General Counsel | Pipeline Certificates | No | | | | | | | |
| 1639 | Tseng, Susan | Office of Energy Projects | Division of Environmental and Engineering Review | No | | | | | | | |
| 1640 | Tung, Hong S. | Office of Energy Projects | Division of Hydropower Administration and Compliance | No | | | | | | | |
| 1641 | Turner, David A. | Office of Energy Projects | Division of Environmental and Engineering Review | No | | | | | | | |

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| 1642 | Turpin, Terry L | Office of Energy Projects | Gas 1 | Yes | Don Vignaroli, Northern Natural Gas Comp | Terry Turpin | Vignaroli called me regarding Northern's compliance with the 60 day noise survey condition specified in the Order issued for Docket No. CP99-532-000. Mr. Vignaroli left a phone message stating that the uprated compressor at the Farmington Compressor Station had been put in service on October 15, 1999 and that the initial noise test was above and Ldn of 55 dBA. Mr. Vignaroli stated that a second noise survey hasn't been completed since there had been problems running station at full load due to warm weather. Mr. Vignaroli expected to complete the noise survey in a short time since weather was turning cold and wanted to know how to get an extension for the condition. Mr. Vignaroli requested that I contact Keith Peterson with the answer. I called Keith Peterson on December 15, 1999. Mr Peterson stated that Northern would file a letter describing what had been done to date, why the noise survey could not be submitted and an estimate of the date Northern expected to submit the noise survey. | | | no | |
| 1643 | Tuzun, Julia A. | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | ISO New England, New York ISO & PJM | 100+ stakeholders, including marketers, transmission owners, municipals, and state government representatives. Alan Haymes and I represented FERC. Enron had representatives there. | To reach agreement on the seams issues, set priorities for dealing with them and to define the steps the ISOs' Business Practices Working Groups should take to resolve them. | 3/30/2000 | | No. | |

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| 1644 | Tuzun, Julia A. | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | New England ISO | Dan Allegretti of Enron, the CEOs and staff of New York, New England and PJM ISOs, and stakeholder representatives such as NEPOOL, marketers, municipals, environmentalists, and state governments. Pat Alexander and I were the FERC representatives. | To present to the stakeholders and discuss the proposals for a New England RTO that had been received. | 6/1/2000 | | No | |
| 1645 | Tuzun, Julia A. | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | ISO New England | ISO New England staff and stakeholder representatives including generators, marketers, transmission owners, municipals, end users and public power. Enron was probably there. | To present and discuss the stakeholders' positions on various RTO proposals that had been submitted for New England | 7/11/2000 | | No. | |
| 1646 | Tuzun, Julia A. | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | New England, New York and PJM ISOs | Staff of the three ISOs plus the Canadian IMO, various stakeholder representatives, including Public Power and transmission owners | To discuss the progress that had been made by the Planning Working Group re: the seams issues | 6/21/2000 | | No. | |
| 1647 | Tuzun, Julia A. | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | NECPUC | New England State Commissioners and their staffs, and staff and representatives of industry. Daniel Allegretti and Scott Bolton, both of Enron, were speakers. Alan Haymes and I were the FERC representatives. | Agenda topics included supply security and reliability in the electric industry; demand response,and the future of NEPOOL. | 6/25/2000 | 6/28/2000 | No | |
| 1648 | Tuzun, Julia A. | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | NY, New England & PJM ISO + Canadian IMO | ISO and IMO staff plus industry and stte commission representatives. I think Enron staff also attended. | To bring stakeholders up to date on the progress of the Business Practices Working Group in addressing the seams issues. | 3/16/2001 | | No | |

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| 1649 | Tuzun, Julia A. | Office of Markets, Tarriffs, and Rates | Market Developme nt | Yes | NY, New England & PJM ISO + Canadian IMO | Congressman Joe Barton. CEOs and representatives of New York, New England and PJM ISOs, and other stakeholders, such as marketers (I think Enron staff were there), transmission owners, generators, and government representatives. | To talk about Order 2000 and where the ISOs felt they stood in terms of the RTO formation. | 4/11/2000 | | No | |
| 1650 | Ulevich, David H. | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates-Central | No | | | | | | | |
| 1651 | Ung, John S. | Office of Energy Projects | Dam Safety and Inspections | No | | | | | | | |
| 1652 | Ung, John S. | Office of Energy Projects | Dam S | | | | | | | | |
| 1653 | Valeri, Peter R. | Office of Energy Projects | Division of Dam Safety and Inspections (D2SI) | No | | | | | | | |
| 1654 | Vallance, Laura J. | Office of General Counsel | Solicitor's Office | No | | | | | | | |

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| 1655 | Van Der Jagt, Carolyn | Office of General Counsel | Energy Projects | Yes | | | | | | | I've attended a few (probably less than 10) pre-filing conferences since I stated working for the Commission in 1961. I have no record of any of those conferences or who attended those conferences. If there were people at these conferences from Enron or their affiliates I would not know. However, any subsequent Commission action would be done through that application process on the record. Also, the past two years I attended INGAA's annual spring conference. I don't know if I spoke to anyone at those conferences that was from an Enron affiliate. |
| 1656 | Vansco, Veronica V. | Office of Markets, Tariffs, and Rates | Division of Litigation | No | | | | | | | |
| 1657 | Vaughns, Vera Eleane | Office of General Counsel | General and Administrative Law | No | | | | | | | |
| 1658 | Velez, Liza M. | Office of Energy Projects | D2SI | No | | | | | | | |
| 1659 | Veloso, Michelle E. | Office of Markets, Tariffs, and Rates | Policy Innovation and Communication | Yes | FERC | Western Bulk Power Investigation staff | Several meetings were held with market participants in regard to the Western Bulk Power Investigation | | Aug-00 | The Commission used input from meetings to prepare Staff Report on Western Bulk Power Investigation | |

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| 1660 | Veloso, Michelle E. | Office of Markets, Tarriffs, and Rates | Policy Innovation and Communic ation | Yes | FERC | OMTR staff--see submission by Saida Shaalan | OMTR Outreach meetings. Meetings were intended to allow industry participants to bring concerns to the Commission. | | Summer 2001 | No. Meetings were general in nature and involved broad issues. | |
| 1661 | Vendryes, Kimberly, S | Office of Markets, Tarriffs, and Rates | Rates and Tariffs-Central Division | No | | | | | | | |
| 1662 | Venit, Karen A. | Office of the Executiv e Director | Financial Policy Division | No | | | | | | | |
| 1663 | Veres, Philip | Office of Energy Projects | Pipeline Certificates | | | | | | | | I have no records and no recollection of any such communications. |
| 1664 | Vines, Elizabeth R. | Office of the Secretar y | Registry & Service | No | | | | | | | |
| 1665 | Vouras, Maria F. | Office of General Counsel | MTR | Yes | Host of informal party | Party included: Lodle White (host), other members of OGC-MTR | Brief conversation at party with former Enron employee about his experiences living overseas. | 9/8/2001 | | No. | |
| 1666 | Vouras, Maria F. | Office of General Counsel | MTR | Yes | Group outing to Cafe Japone | Other OGC-MTR employees | Group met after work to socialize. Did not converse with former Enron employee. | 8/1/2001 | | No. | |
| 1667 | Vouras, Maria F. | Office of General Counsel | MTR | Yes | Group outing to see Dance Performance | Other OGC-MTR employees who attended the dance performance | Watching a dance performance by Michael Flatley | 6/27/2001 | | No. | |

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| 1668 | Vouras, Maria F. | Office of General Counsel | MTR | Yes | Host of Party | Other OGC-MTR employees were in attendance at party hosted by Eugene Grace, OGC-MTR | Party after work | | Fall 2001 | No. | |
| 1669 | Wachholder, Joanne | Office of Energy Projects | DEER | No | | | | | | | |
| 1670 | Wagner, Charles Dav | Office of Energy Projects | DAM SAFETY & INSPECTI ONS | No | | | | | | | |
| 1671 | Wagner, Curtis L. Jr. | Office of Administ rative Law Judges | N/A | Yes | Some by me; some by Enron | Enron counsel and technical people. Others where all marketers were present; others with generators and all other parties. In addition, I attended the White House Energy Summit at the direction of the then Chairman of the Commission which was attended b | Forward Contracting proceeding, PL01-2-000. An attempt to get forward contracts for California at reasonable rates. | | 12/18/00 to 1/20/01 | No | Since these were all confidential settlement negotiations, I destroyed all notes and documents once the proceeding ended. |
| 1672 | Wagner, Curtis L. Jr. | Office of Administ rative Law Judges | N/A | Yes | My FERC Lead to Succeed Team | Myself and six or seven other senior employees of FERC and Enron officials (my memory is the head of their H.R. department and their counsel) by speaker phone. | Leadership principles. | | | None | None |
| 1673 | Wagner, Curtis L., Jr. | Office of Administ rative Law Judges | N/A | Yes | Some by me, some by Enron | Enron counsel and technical people. Also, meetings where Enron and all other California energy marketers present, and others where all parties to the case were present. Some meetings in my office and some in San Francisco. | California ISO RMR Cases, ER98-441-000 et al,settlement negotiations. | | 1998/1999 | I certified several settlements which were approved by the Commission. | Since these were all confidential settlement negotiations, I destroyed all notes and documents once the Commision approved. I do not remember names of any Enron people present. |
| 1674 | Wagner, Curtis L., Jr. | Office of Administ rative Law Judges | N/A | Yes | Some by me; some by ENRON | ENRON counsel and technical people. Also, meetings where ENRON and all other California Energy Marketers were present, and others where all parties were present. | California Refund Cases, EL00-95-031, et al., settlement negotiations | | 6/19/02 to 7/12/02 | I made a recommended refund methodology which the Commission adopted. | Since these were all confidential settlement negotiations, I destroyed all notes and documents once the Commission approved. I do not remember names of any ENRON people. |

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| 1675 | Wagner, Curtis L., Jr. | Office of Administrative Law Judges | N/A | Yes | Some by me; some by Enron | Enron counsel and technical people. Also, meetings with all parties present. | Settlement negotiations in Midwest ISO/Alliance RTO, (Illinois Power Company, et al., ER01-123-000). | | 1/24/01 to 4/6/01 | I certified a settlement which the Commission approved. I was critical of the Enron position in my certification. | Since these were all confidential settlement negotiations, I destroyed all notes and documents once the Commission approved the settlement. I do not rememver names of any Enron people. |
| 1676 | Wagner, Gordon S. | Office of General Counsel | Energy Projects | Yes | See Comments | See Comments | See Comments | | | See Comments | Over the course of the last ten years, I have attended numerous meetings at the Commission's headquarters, including publically noticed technical conferences and non-public prefiling meetings. Some these meetings may have involved companies or individuals associated with Enron or its affiliates, but I have no recollection thereof, nor do I have any records that might refresh my memory. I have had no Enron meetings via phone or videoconferencing. |
| 1677 | Wagner, Jolinda C. | Office of the Chief Information Officer | Customer Support & IT Deployment Services | No | | | | | | | |

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| 1678 | Waldbauer, Katherine | Office of General Counsel | MTR | | | | | | | | I may have attended meetings with Enron personnel during this period, but I don't recall whether I did or not. Beginning in the spring of 2000, I and other FERC employees attended several large technical conferences with regard to ANR Pipeline's 637 filing (RP00-332-000) at which some of the other attendees may have been employees of Enron subsidiaries. If this is the case, however, I cannot remember specifically whether any particular individual from Enron participated. During my time in the Solicitor's Office (from the beginning of the time period covered here to 1997), I may have participated in conference calls with Enron attorneys to deal with scheduling of Commission cases, but I don't recall at this point whether this happened or not. |
| 1679 | Walker, Christine V. | Office of Markets, Tariffs, and Rates | Division of Tariffs and Rates - East | Yes | INGAA | FERC employees and representatives from the various companies, including Enron | Gas issues | May-01 | | none | |
| 1680 | Wallace, Olivia J. | Office of the Executive Director | Front Office | No | | | | | | | To the best of my memory and knowledge. |
| 1681 | Wallace, Vernita C. | Office of the Secretary | Agenda and Minutes | No | | | | | | | |
| 1682 | Wallelr, Susie J. | Office of Administrative Law Judges | n/a | No | | | | | | | |

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| 1683 | Ward, Charlton C | Office of General Counsel | Office of the General Counsel | No | | | | | | | |
| 1684 | Washington, Angela N | Office of External Affairs | congressional, public and intergovernmental affairs | No | | | | | | | |
| 1685 | Washington, Anita | Office of the Secretary | Deputy Secretary | No | | | | | | | |
| 1686 | Watson, Linwood A. | Office of the Secretary | Deputy Secretary | Yes | Bill Mcdermott | FERC OED: Bill McDermott, Kathy Quijada, Arve Milner, Judy Martin, Cynthia Klenk and Ann Martin. CDSI: Jim Orlando. FERC/OSEC: Bill Watson, Curtis Chappell. FERC/OPR: Beth Taylor. OTHERS: Michael Ccosgrave, TERS; Jeffery Levine, Energy Data Ccorp; Justine Dandrea, GKRS; Pat Beton, Koch; Kathy Morgan, Enron; Kristen Sweaney, Sonat; Stacy Vargecko, Akin Gump. | user's forum for the commission public reference room | | | none that i'm aware of. | none |
| 1687 | Watson, Michael C. | Office of General Counsel | General and Administrative Law | No | | | | | | | |
| 1688 | Weeks, Olaf | Office of Energy Projects | D2SI, Chicago Regional Office | No | | | | | | | |
| 1689 | Welch, Laura G. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | Yes | I did. | Phone conversation between myself and Mary Kay Miller of Transwestern Pipeline Company | I alerted Ms. Miller that we were faxing a copy of a very quick response data request, and I asked for her fax number. | 2/23/2001 | | No. | There had been no protest to the case, but we belatedly decided to ask for more information, because we were not satisfied of the fairness of the proposed rate. |

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| 1690 | Wentworth, Roland W | Office of Markets, Tarriffs, and Rates | Chief Economic Advisor | No | | | | | | | |
| 1691 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele & Kevin Marshall | Norman J. Weseloh | Possible time extension | | | No | |
| 1692 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Garry Hackett | Harry Hall | EAP test notification | | | No | |
| 1693 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Norman Weseloh | Bob Steele | Part 12 plan and schedule was overdue. | | | No | |
| 1694 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Norman Weseloh | Earl Curtis | Plan and schedule for PT-12 review, Section G-G | | | No | |
| 1695 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Norman Weseloh | Bob Steele | Question on annual agency meeting summary | | | No | |
| 1696 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Norman Weseloh | Accident report | | | No | |
| 1697 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Roy Totten | Harry Hall | EAP test notification | | | No | |

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| 1698 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Norman Weseloh | Accident report | | | No | |
| 1699 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Jim Wyatt | Mark Jones | EAP test notification | | | No | |
| 1700 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Norman Weseloh | Report of a fish kill. | | | The fish kill was investigated. | |
| 1701 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Roy Totten | Harry Hall | EAP test notification | | | No | |
| 1702 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | bob Steele | Norman Weseloh | Report on PGE dams following Seattle, WA earthquake | | | | |
| 1703 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Norman Weseloh | Dewatering of Willamette Falls Unit No. 9 | | | No | |
| 1704 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Norman Weseloh | Completed dewatering and maintenance of Unit No. 9 at Willamette Falls | | | No | |
| 1705 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Norman Weseloh | Report of a fish kill at the Willamette Falls Project. | | | An investigation of the fish kill was conducted. | |

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| 1706 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Patrick Regan | Report of a fish kill at Willamette Falls | | | The cause of the fish kill was investigation. | |
| 1707 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Gary Hackett | Mark Jones | EAP annual test at Bull Run Project. | | | No | |
| 1708 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Earl Curtis | Norman Weseloh | Report of penstock leakage and implementation of the EAP at the Bull Run project. | | | An investigation was conducted. | |
| 1709 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Edward Perez | Penstock leakage repairs | | | Yes | |
| 1710 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Jack Seibel | Thomas Bobat | Notification of annual EAP test at Bull Run Project. | | | No | |
| 1711 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Edward Perez | penstock inspections | | | No | |
| 1712 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Norman Weseloh | penstock vacuum valves | | | No | |
| 1713 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Norman Weseloh | Discussed penstock strain gage measurements at the Bull Run project during the Seattle, WA earthquake | | | No | |

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| 1714 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Norman Weseloh | Discussed maintenance outage at the Bull Run Project. | | | No | |
| 1715 | Weseloh, Norman J. | Office of Energy Projects | Dam Safety and Inspections | Yes | Kevin Marshall | Edward Perez | Removal of obsolete surge tanks at the Buff Run Project. | | | No. | |
| 1716 | Wessler, Eliot J. | Office of Markets, Tarriffs, and Rates | IIRM | No | | | | | | | |
| 1717 | West, Michael G. | Office of the Chief Informati on Officer | network operations | No | | | | | | | |
| 1718 | Weston, Cecil B. | Office of the Executiv e Director | DLM | No | | | | | | | |
| 1719 | Wheeler, Howard | Office of Energy Projects | Assistant Director's Office | No | | | | | | | |
| 1720 | Wheeler,Tina | Office of the Executiv e Director | DLM | No | | | | | | | |

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| 1721 | Whitaker, Marion B. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates-West | No | | | | | | | |
| 1722 | White, John D. | Office of the Chief Informati on Officer | Software Engineerin g Team | No | | | | | | | |
| 1723 | White, Ken | Office of External Affairs | Press Services | No | | | | | | | |
| 1724 | White, Lodie D. | Office of General Counsel | Markets, Tariffs & Rates | Yes | Lodie White | Scott Talon, Geeta Oberoi, Maria Vouras, Joyce Kim, Michael Henry, Jignasa Gadani, Gene Grace, Rhodell Fields, Robert McLean, David Fareberg, Olga Kolotushkina, Jason Stanek, Mary Morton, Len Tao | I invited friends to a summer party at my home. | 12/8/2001 | | NO | Social event |
| 1725 | White, Lodie D. | Office of General Counsel | Markets, Tariffs & Rates | Yes | Geeta Oberoi | Scott Talon, Geeta Oberoi, Maria Vouras, Joyce Kim, Jignasa Gadani, Gene Grace, Sandy Grace, Olga Kolotushkina, Susan McBride, Barry Midway, Mary Morton, Len Tao | Happy Hour | 8/1/2001 | | NO | Social event |
| 1726 | White, Lodie D. | Office of General Counsel | Markets, Tariffs & Rates | Yes | Gene Grace & Sandy Chen | Scott Talon, Geeta Oberoi, Maria Vouras, Joyce Kim, Jignasa Gadani, Gene Grace, Sandy Grace, Olga Kolotushkina, Mary Morton, Len Tao | Party | 6/7/2001 | | NO | Social event |
| 1727 | White, Richard A. | Office of General Counsel | ENERGY PROJECT S | Yes | SEE COMMENTS | SEE COMMENTS | SEE COMMENTS | | SEE COMMEN TS | SEE COMMENTS | During the ten-year period from January 1, 1992 to December 2, 2001, I participated in numerous publicly noticed meetings, such as technical conferences and generic policy conferences with employees of Enron or its subsidiaries. I have no records or clear recollections of these meetings. |

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| 1728 | Whitfield, James Jr. | Office of General Counsel | Energy Projects | No | | | | | | | |
| 1729 | Whitfield, Wendelin W | Office of Markets, Tarriffs, and Rates | Litigation | No | | | | | | | |
| 1730 | Whitmore, Charles S. | Office of the Executive Director | Strategy and Performance Staff | Yes | FERC staff | Scott Miller (Director, Energy Markets Division, OMTR, FERC); Charles Whitmore (Director, Strategy and Performance Staff, OED, FERC); Julie Simon (Vice President of Policy, EPSA); Joe Ronan (Vice President, Government and Regulatory Affairs, Calpine); Someone from Reliant, probably - from business cards - John H. Stout (Senior Vice President, Asset Commercialization); Someone from Enron, probably - from business cards - James D. Steffes, Vice President, Government Affairs; Someone from Dynegy, no memory of identity; Possibly one or two others - no memory of Identity. | To urge a settlement of California market issues with the state. | | | No. | I think I collected the two business cards mentioned at that meeting, but am not completely sure. It might have been at OMTR outreach meetings. |
| 1731 | Wiegel, John M. | Office of Energy Projects | D2SI | No | | | | | | | |
| 1732 | Wiggins ,Josephine | Office of the Executive Director | FAO | No | | | | | | | |

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| 1733 | Wight, Dean C. | Office of Markets, Tarriffs, and Rates | Market Developme nt | | Commission | large number of FERC staff and electric market participants | Problems with the NYISO wholesale electric markets | 1/22/2001 | 1/23/2001 | unknown | NYISO Technical Conference |
| 1734 | Wight, Dean C. | Office of Markets, Tarriffs, and Rates | Market Developme nt | | Scott Miller | members of the Electric Power Supply Association (I don't have individual names) | Problems with the Northeast wholesale electric markets from EPSA point of view | 9/25/2000 | | Issued Bulk Power Report for Northeastern U.S. | This was one of several groups that met with FERC staff while it was compiling the Bulk Power reports. I'm not certain that an Enron representative was present. |
| 1735 | Wight, Dean C. | Office of Markets, Tarriffs, and Rates | Market Developme nt | | unknown | Many FERC staff | Enron gave a demonstration of the EnronOnline trading system. | 4/18/2000 | | unknown | This was more of a presentation than a meeting. |
| 1736 | Wilderman, Lawrence | Office of Energy Projects | Pipeline Certificates | No | | | | | | | |
| 1737 | Williams, Jerry L. | Office of Markets, Tarriffs, and Rates | Rates-Central | No | | | | | | | |
| 1738 | Williams, Patricia A. | Office of the Executiv e Director | PROCURE MENT DIVISION | No | | | | | | | |
| 1739 | Wilson, Gloria Jean | Office of the Executiv e Director | Administrati ve Support Staff | No | | | | | | | |

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| 1740 | Winchell, Frank R. | Office of Energy Projects | Division of Environmental and Engineering Review | Yes | Frank Winchell | Deb Schallert, Portland General Electric | Standard letter on Authorizing Initiating Section 106 Consultation | | | No. | |
| 1741 | Winchell, Frank R. | Office of Energy Projects | Division of Environmental and Engineering Review | Yes | Frank Winchell | Deb Schallert, Portland General Electric | Examples of applicant letter requesting FERC to give authorization for Section 106 Consultation and our response letter granting authorization. | | | No. | |
| 1742 | Wing, James | Office of Energy Projects | Division of Dam Safety & Inspections -- NYRO | No | | | | | | | |
| 1743 | Wisnlewski, John J. | Office of Energy Projects | DEER | No | | | | | | | |
| 1744 | Withnell, David D. | Office of General Counsel | MTR | Yes | Donna Fulton | Several families, including Ralph Leslie | Family picnic - discussed kids, sports, and other everyday things | | Sometime in 1996 | No | |
| 1745 | Withnell, David D. | Office of General Counsel | MTR | Yes | FERC | I don't recall, but my best guess would be that Enron employees were in attendance. | Order No. 888 technical issues discussed at public technical conferences | | 1994-1995 | A NOPR and Final Rule were issued. | |
| 1746 | Withnell, David D. | Office of General Counsel | MTR | Yes | David Withnell or Donna Fulton | David Withnell and Donna Fulton - lunch - my best guess is that we met for lunch 3 times from 1995 through 2001 | Family matters, kids, sports, general life matters | | 1995 - 2001 | No | |
| 1747 | Witte, Kenneth John | Office of Markets, Tarriffs, and Rates | Rates-West | Yes | Commission Staff | Ken Witte, FERC Staff, and Intervenors | A volume constraint problem on Northern's system called the "Carlton Resolution." | 5/25/1995 | | A subsequent order was issued in this case. | |

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| 1748 | Witte, Kenneth John | Office of Markets, Tarriffs, and Rates | Rates-West | Yes | Commission Staff | Ken Witte, FERC Staff, and Intervenors | I attended a pre-filing conference pertaining to a volume constraint problem on Northern's system called the "Carlton Resolution." | | Early Part of the Year 2001 | A subsequent order was issued in this case. | |
| 1749 | Witte, Kenneth John | Office of Markets, Tarriffs, and Rates | Rates-West | Yes | Commission Staff | Ken Witte, FERC Staff, and Intervenors | I attended a technical conference pertaining to a volume constraint problem on Northern's system called the "Carlton Resolution. | 6/25/2001 | | A subsequent order was issued in this case. | |
| 1750 | Witte, Kenneth John | Office of Markets, Tarriffs, and Rates | Rates-West | Yes | Commission Staff | Ken Witte, FERC Staff, Enron Staff (Transwestern Pipeline Company) and Intervenors | I attended a technical conference pertaining to Transwestern's acquisition of upstream pipeline capacity on Public Service Company of New Mexico. | 5/27/2000 | | A subsequent order was issued in this case. | |
| 1751 | Witte, Kenneth John | Office of Markets, Tarriffs, and Rates | Rates-West | Yes | Commission Staff | Ken Witte, FERC Staff, Enron Staff (Northern Natural Gas Company), and Intervenors | Fuel Loss Factors | 6/26/2001 | | A subsequent order was issued in this case. | |
| 1752 | Wolf, Stanley P | Office of General Counsel | MTR | | the Commission | virtually all major market participants on the New York Independent System Operator's system - probably over 100 people representing utilities, government groups, consumer groups, generators, end-users | what measures needed to be taken to improve NYISO's markets, when should these measures be implemented? | 1/22/2001 | through 01/23/01 | yes order issued 3/29/01 94 FERC para 61,371 | |

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| 1753 | Wolf, Stanley P | Office of General Counsel | MTR | | bulk power staff | who's who of generators: Enron, Dynegy, Williams,... specifically I remember Joe Hartsoe of Enron Commission's bulk power study staff - I think probably most of the Southeast region staff was there, and the Northeast and Midwest region staff may have been there too. I don't remember specific individuals | this was one of a series of meetings with various energy sectors, i.e., utilities, generators, public power, etc. to provide a forum for the general airing of concerns with, and suggestions for, the nation's bulk electric power markets to assist staff in preparing the November 1, 2000 bulk power report | | | the various industry sector meetings assisted staff in preparing the November 1, 2000 bulk power report | |
| 1754 | Wolf, Stanley P. | Office of General Counsel | MTR | | Enron | from Enron: Tom Briggs and two people who worked in the German energy marketing division of Enron Berne Mosely of FERC may have been there a few minutes | What from the Commission's 436-636 experience is applicable to attempts to foster similar gas and electric restructuring in Europe | | | no | |
| 1755 | Wolfman, Andrea | Office of General Counsel | Pipeline Rates | Yes | FERC staff | gas marketer representatives including Enron Capital & Trading representatives | to discuss changes in the gas industry and potential changes in Commission policy | 12/2/1998 | | ultimately the Commission issued a NOPR but not directly in response to this meeting | |
| 1756 | Wolfman, Andrea | Office of General Counsel | Pipeline Rates | Yes | INGAA | pipeline representatives, including Enron pipelines, FERC staff, Congressional staff | briefing on industry statistics | 2/12/1999 | | none | |
| 1757 | Wolfman, Andrea | Office of General Counsel | Pipeline Rates | Yes | FERC staff | representatives of interstate gas pipelines, including Enron-owned pipelines, FERC staff and Commissioners' assistants | This was a pre-Order No. 637 outreach meeting. The meeting was to discuss the future direction of the gas industry and the potential direction of Commission regulation, specifically how the gas policies should change. | 3/8/1999 | | The Commission issued an NOPR, that led to Order No. 637, but not specifically in response to this meeting. | |

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| 1758 | Wolfman, Andrea | Office of General Counsel | Pipeline Rates | Yes | Enron representative | Enron representatives, me, Alice Fernandez and there may have been other staff members | to hear Enron's perspective on issues, but I can't remember what issues, these might have been pre-637 views or concerns about the impact of regulatory policies on Enron's retail marketing | 4/6/1999 | | none | |
| 1759 | Wolfman, Andrea | Office of General Counsel | Pipeline Rates | Yes | INGAA | INGAA member pipeline representatives including Enron pipeline employees, AGA representative, FERC staff | INGAA conference on regulatory issues | | 4/28/1999 to 4/30/1999 | none | |
| 1760 | Wolfman, Andrea | Office of General Counsel | Pipeline Rates | Yes | INGAA | INGAA member pipeline employees, FERC staff, Congressional staff | INGAA reception | 12/10/1999 | | none | |
| 1761 | Wolfman, Andrea | Office of General Counsel | Pipeline Rates | Yes | either Enron or FERC staff | Enron Online employees and other Enron representatives and FERC staff | demonstration of Enron Online | 5/31/2000 | | none | |
| 1762 | Wolfman, Andrea | Office of General Counsel | MOE | Yes | FERC staff | Electric marketers and traders, FERC staff, EPSA staff | As part of the Bulk Power Market investigation we met with various segments of the electric industry to hear their views about how the bulk power markets were functioning, Enron representatives attended and presented their views | | Fall 2000 | staff prepared a Bulk Power Market Report that was publically released | |
| 1763 | Wolfman, Andrea | Office of General Counsel | Pipeline Rates | Yes | FERC staff | Representatives of gas marketers, including Enron Capital & Trading, FERC staff, and Commissioners' assistants | This was a pre-Order No. 637 outreach meeting with representatives of the marketer segment of the gas industry. The topics discussed were the future direction of the industry and the changes in the Commission's policies that might be needed to adapt to industry changes. | 3/16/1999 | | The Commission issued a NOPR, that led to Order 637, but not specifically in response to this meeting. | |

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| 1764 | Wolfman, Andrea | Office of General Counsel | Pipeline Rates | Yes | FERC staff | Interstate pipeline representatives including Enron-pipeline representatives, FERC staff, Commissioners' assistants | pre-Order No. 637 outreach to discuss changes in the industry and changes that might be needed in Commission policy | 11/16/1998 | | ultimately the Commission issued a NOPR but not directly in response to this meeting | |
| 1765 | Wolford, Mary | Office of the Secretary | Dockets | No | | | | | | | |
| 1766 | Wolnerman, Herbert | Office of Energy Projects | D2SI | No | | | | | | | |
| 1767 | Womack Jean Y | Office of Commissioner Breathitt | N/A | Yes | | | | | | | I scheduled meetings and speeches for Commissioner Breathitt during the date range of February 1998 through December 2001. To the best of my recollection, I spoke with Joe Hartsoe, Donna Fulton, Sarah Novosel and Wendy Jones during this time. |
| 1768 | Womack, Daniel Jr | Office of the Executive Director | DLM | No | | | | | | | |
| 1769 | Wong, Audrey C. | Office of the Executive Director | Divsion of Regulatory Accounting Policy | No | | | | | | | |
| 1770 | Woo, Henry | Office of the Chief Information Officer | IT Infrastructure & Operations | No | | | | | | | |

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| 1771 | Wood, Harris S. | Office of General Counsel | MARKETS, TARIFFS AND RATES | Yes | FERC ORDER | Mary Kay Miller Transwestern Steve Stojic G,C&B (Transwestern) Susan Scott Transwestern Glen Hass Transwestern Lorraine Lindberg Transwestern Jay Golub Baker & Botts (PNM) Dan McFerrin PNM Gas Services Sarah Tomalty Dynegy Kathy Edwards BPA/BR (GKRSE) Steve Tarpey IBP Amoco Peter D. Cervin Burlington Resources Harris Wood FERC Bob Petrocelli/Ken Wittel FERC | Technical conferene re filing by Transwestern Pipeline Co. to acquire off-system capacity | 6/27/2000 | | Yes. Order issued 09/15/2000 62 FERC P 61,215 | I do not have a record of the titles of the Transwestern personnel in attendance. |

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| 1772 | Wood, Harris S. | Office of Generat Counsel | MARKETS, TARIFFS AND RATES | Yes | FERC OEDER CONVENING TECHNICAL CONFERENC | Pat Metcalf and Richard Smith, Exxon Mobil; Kathy Edwards, Indicated Shippers; Steve Abbey, Anadarko; Roxanne Maywalt, Sutherland Asbill; Thomas C. Gorak, Gorak & Bay; David Bloom and Kathy Hopkins, Nicor Gas; Carolyn Thompson and Dave Nutter, Minnegasco; Kirk Lavengood and Greg Porter, MidAmerican; Trent Cozad, Dave Francis, and Patrick Joyce; UtiliCorp; Gordon J. Smith, John & Henger; Christie Reicher, Dynegy; Kathleen Mawre, ONEOK; Northern Natural Gas Participants: Steve Kirk, Mary Darveaux, Darl Dornan, Ranelle Paladino, David Neubauer, Steve January, Steve Stojic, Glen Hass, Frank X. Kelly, Drew Fosswin, and Mary Kay Miller. Jim Holt, CAPP and Alberta; Denysa Znsa, Michigan PSC. Bob White, Transcanada Energy; Stephen Schoening, Wisconsin Electric; David Tyler, SEMCO Energy Gas Co.; Scott Hults, Xcel Energy; Jim Doering, NGD; Roger Burmeister, MUD Omaha; Jim Knight, MUD Omaha; Stelin Kalish, NGD; Jim Frerch, NGPL; FERC Participants: Irene McCallister, Lou Lieb, Gary Mahrenholz, Debra Lowe, Fred Nl, Laura Welch and Harris Wood. | Technical conference on Order no. 637 Issues Docket No. RP00-404-000, et al. | | | None | |
| 1773 | Wood, John, M. | Office of Energy Projects | pipeline certificates | No | | | | | | | |

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| 1774 | Wood, Pat III | Office of the Chairman | Office of the Chairman | Yes | Ms. Ellen Gay Baker | Possibly another Enron Employee | Courtesy Visit or pre-filing Meeting | | | None | From 1/1/92 to 2/5/93, employed at FERC as Legal Advisor to Commissioner Jerry Langdon. I don't maintain 1992 records but it's possible that I met with Ms. Baker or Another Enron employee in 1992 but no specific recollection. |
| 1775 | Wood, Pat III | Office of the Chairman | Office of the Chairman | Yes | Ellen Gay Baker | None | My departure from FERC. | 1/28/1993 | | No | From 1/1/92 to 2/5/93, employed at the FERC as Legal Advisor to Commissioner Jerry Langdon. |
| 1776 | Wood, Pat III | Office of the Chairman | Office of the Chairman | Yes | Jerry Halverson | Jerry Halverson, Stan Horton, Mark Frevert and Sean Kean | Pipeline Security | 9/20/2001 | | No | Thank you note received fromFrevert on 9/26/01. |
| 1777 | Wood, Pat III | Office of the Chairman | Office of the Chairman | Yes | Eugene Lockhart, Pres & CEO New Power Co | Cynthia Sandherr and Kathleen Magruder | Issues facing retail energy service provides and ERCOT | 9/24/2001 | | No | |
| 1778 | Wood, Pat III | Office of the Chairman | Office of the Chairman | Yes | Ken Lay | Enron Policy Conference. A broad spectrum of people attended the conference. | US Energy Policy at the Crossroads | | October 3 & 4, 2001 | No | Participated at a speakers dinner on 10/3 and made a presentation at the conference on 10/4/2001 |
| 1779 | Wood, Warren | Office of General Counsel | Litigation | Yes | Trial Staff, Parties to the case | Parties to Docket No. ER01-123-000 et al | Proposed Integration of MISO and Alliance RTO | | | | Settlement filed with Commission on March 20, 2001. Enron Power Marketing opposed the settlement in comments filed March 30, 2001 |
| 1780 | Wood, Warren C. | Office of General Counsel | Administrative Litigation | Yes | Chief Judge Wagner, after FERC order | Approximately 100 attorneys representing various intervenors in these proceedings | Integration of the Alliance RTO and Midwest Independent Systems Operator, Inc. | | February 1, 2001- March 20, 2001 | The Commission issued an order approving a settlement involving the integration of the Alliance RTO and the Midwest Independent System Operator, Inc. in Docket No. ER01-123-000, et al. | Enron Power Marketing, Inc. (EPMI), an Enron subsidiary which markets electricity, participated through outside legal counsel in a series of settlement conferences open to and attended by all intervening parties to resolve issues related to the integration of the Alliance RTO and Midwest ISO. EPMI filed comments opposing the settlement on March 30, 2001. |
| 1781 | Wright, Jame Ronald | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |

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| 1782 | Wright, Jeff C. | Office of Energy Projects | Division of Pipeline Certificate | No | | | | | | | |
| 1783 | Wright, Mary Anne | Office of the Executive Director | Division of Procurement | No | | | | | | | |
| 1784 | Wuehrmann, David B | Office of General Counsel | Energy Projects | No | | | | | | | |
| 1785 | Wyche, Grover L. Jr. | Office of the Chief Information Officer | Software Engineering | No | | | | | | | |
| 1786 | Wyrick, Deborah L. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | No | | | | | | | |
| 1787 | Yanoff, Erica J | Office of General Counsel | Energy Projects | No | | | | | | | |
| 1788 | Yarrington, Byron P. | Office of Energy Projects | Div. Hydropower Administration and Compliance | No | | | | | | | |

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| 1789 | Yatsko, Maryclaire P. | Office of Markets, Tarriffs, and Rates | Central, Group 3 | No | | | | | | | |
| 1790 | Yearick, Vincent E. | Office of Energy Projects | DEER - Hydro | No | | | | | | | |
| 1791 | Younan, William E. | Office of Energy Projects | Division of Dam Safety and Inspection | No | | | | | | | |
| 1792 | Young, Debra D. | Office of the Executive Director | Human Resources | No | | | | | | | |
| 1793 | Young, Fernanda F. | Office of the Chief Information Officer | CIO | Yes | FERC Staff | 7 FERC Staff: Dan Larcamp, Tom Herlihy, Charles Whitmore, Fernanda Young, Goerge Godding, Tom Brownfiled, Karen Robinson From ENRON: Joe Hartsoe, Shelly Corman, Mary Hain, Jeff Skilling, and from business cards collected (Kevin Presto, John Lavorato, Lloyd Will) | During December 6-8, 2000, FERC staff participated in a visit to ENRON, DYNERGY, and EL PASO in Houston to talk with Information Technology staff and traders to ascertain what type of data sources and hardware set-up they use. | 12/7/2000 | | No | The ENRON meeting included lunch. The list of Enron participants includes the ones that can be specifically identified but is probably not a complete list. |
| 1794 | Young, Frances D. | Office of the Executive Director | Division of Regulatory Audits | No | | | | | | | |
| 1795 | Young, H. Peter | Office of Administrative Law Judges | N/A | No | | | | | | | |

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| 1796 | Young-Allen, Tamara | Office of External Affairs | Press Services | No | | | | | | | |
| 1797 | Zavesky, Richard, R | Office of Energy Projects | Dam Safety and Inspections | No | | | | | | | |
| 1798 | Zerby, Chris M | Office of Energy Projects | DEER | Yes | Larry Snyder (ENRON) | Conference call with Chris Diez, Karl Breckon, Mark MaGahey, Leo Ikling, Rick Sierra, Jim LeBlanc, Joe Petro, Duncan Rochardson, Bill Osborne, Dan McCulah, and Chris Zerby. | Height of impoundment wall at the EcoElectrica LNG pier head (under construction). EcoElectrica considering lowering wall height from original proposal. | | | | FERC staff identified that original proposed height consistent with other facility and does not interfere with equipment operation. |
| 1799 | Zerby, Elizabeth W. | Office of Markets, Tariffs, and Rates | Division of Rates and Tariffs-West | Yes | Commission | Enron officials (Northern Natural staff), Commission staff, and other parties to the proceeding. | Two technical conferences regarding Northern's proposed VFT rate. | 7/20/2000 | | Commission issued an order authorizing the rate, with modifications. | |
| 1800 | Zero, Donald J. | Office of Markets, Tariffs, and Rates | Tariffs & Rates, East | No | | | | | | | |
| 1801 | Zero, Grace M. | Office of Markets, Tariffs, and Rates | Case Tracking | No | | | | | | | |
| 1802 | Zimmet, Raymond M. | Office of Administrative Law Judges | oalj | No | | | | | | | |

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| 1 | Name | Office | Division | (a) | I. Who initiated the meeting | II. List all that were present at the meeting | III. What was the subject matter(s) of the meeting | IV. Give the date | IV. Date range | V. Was any action taken by the Comm. in response to the meeting | VI. Other Comments (if any) |
| 1803 | Zoller, William L. (Line 1 of 2) | Office of Energy Projects | Division of Pipeline Certificates | Yes | | | | | | | I attended an INGAA meeting in May 2001, at which I was a featured speaker. Several employees of the Enron companies were in attendance. However, I do not recall speaking personally to any of them during the conference. In addition, during the period from 1992 to 2000, as a project manager, I worked on 4 certificate applications involving Enron companies. Three were minor cases involving no communications with the company. One case, Docket No. CP95-130-000, an expansion of Northern Natural Gas Co.'s system involved a data request and several conversations with company regulatory officials. These communications were routine - designed to obtain additional information to allow processing of the company's application. (Con'd in cell below) |
| 1804 | Zoller, William L. (Line 2 of 2) | Office of Energy Projects | | | | | | | | | (Cont'd) Since 2000, I have been a supervisor so I do not work on individual projects. However, I have had a number of conversations with regulatory personnel associated with Enron regulated companies. I do not have specific recollection of coversations or any records of such. These conversations are normal in the course of doing my job and involve such things as procedural guidance or questions about project specific proposals. |
| 1805 | Zugris, Donna | Office of Markets, Tariffs, and Rates | Policy | No | | | | | | | |

## Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

| | A | B | C | D | E | F | G | H | I | J | K |
|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | Name | Office | Division | (a) | I. Who Inititated the meeting | II. List all that were present at the meeting | III. What was the subject matter(s) of the meeting | IV. Give the date | IV. Date range | V. Was any action taken by the Comm. In response to the meeting | VI. Other Comments (if any) |
| 1806 | Zygaj, John A | Office of Energy Projects | D2SI-ARO | No | | | | | | | |

**ATTACHMENT 1**

**Lyle Hanagami**
**Information for Line 646, Column G**

**Topics discussed at Gas Industry Liaison Accounting Group; Fall meeting with FERC; ANA Hotel - Washington, DC; November 6, 1996**

Topics Discussed:

FERC, Federal Energy Regulatory Commission OCA, Office of the Chief Accountant DOA, Division of Audits DAS, Division of Accounting Systems Janice Garrison, FERC, OCA, DAS, Director
1. Please review the organizational structure of OCA, identify changes since last Liaison meeting.

Janice Garrison, FERC, OCA, DAS, Director
2. What can we, as industry representatives, do to assist the FERC Accounting Group in its reengineering efforts?

Ken Anderson, FERC, OCA, DOA, Branch Chief III
3. Would like to hear OCA's summary of their involvement and visions related to the GISB standards and development and reporting requirements.

Jim Guest, FERC, OCA, DOA, Deputy Chief Accountant
4. What is or should be OCA's timing for providing responses to specific company letter requests?

FERC-Jim Guest, FERC, OCA, DOA, Deputy Chief Accountant
5. SFAS No. 123 - Accounting for Stock Based Compensation - The Statement establishes financial accounting and reporting standards for stock-based compensation plans such as stock purchase plans, stock options, restricted stock, and stock appreciation rights. The statement defines a fair value based method of accounting for an employee stock option or similar equity instrument and encourages entities to adopt that method of accounting for all of their employee stock compensation plans. However, it also allows an entity to continue to measure compensation cost for those plans using the intrinsic value based method of accounting prescribed by APB Opinion No. 25, Accounting for Stock Issued to Employees. The Staff would like to know how may companies have or are planning to use the fair value based method of accounting. Also, should the Commission consider modifying the Uniform System of Accounts to adopt a uniform method for stock compensation.

FERC-Joe Frangipane, FERC,OCA, DOA, Director
6. Statement of Financial Accounting Standards (SFAS) No. 125 - SFAS 125 provides standards to aid in resolving accounting and financial reporting issues related to the transfer of financial and servicing of financial assets. Staff noted that a number of companies have entered into financial arrangements related to accounts receivable. Staff would like to have the group discuss the accounting implications of SFAS 125 as it affects the financial arrangements related to "sales" of accounts receivable, regulatory assets, or other assets. Staff would like to discuss the accounting effects of applying SFAS 125 for those companies that are "selling" accounts receivable or regulatory assets.

FERC-Joe Frangipane, FERC,OCA, DOA, Director
7. Could the OCA describe how future audits of companies will be performed? Office vs. field?

FERC-Joe Frangipane,FERC,OCA, DOA, Director
8. If there will not be future field audits, how will a period ever be closed?

Tom McLauglin, FERC,OCA,DOA, Branch Chief, Special Projects
9. How will audit issues be identified in the future if field audits are eliminated?

Chuck Kalaidis, FERC,OCA,DAS, Accountant
10. What is FERC's position on accounting for engine overhauls? Is this a capital or expense item? Are there any circumstances where the answer might be different for different companies?

FERC-Joe Frangipane, FERC,OCA, DOA, Director
11. OCA recently allowed the capital treatment of reconditioning and hydrostatic testing whereby a retirement would occur for the old costs. Does OCA see any problem of capitalizing smart pig costs when the smart pigging give rise to reconditioning

FERC-Joe Frangipane, FERC,OCA, DOA, Director
12. Does the Northwest Pipeline ruling from FERC on hydrostatic testing apply to pigging operations?

Tom McLauglin, FERC,OCA,DOA, Branch Chief, Special Projects
13. If a company is required to pass through pension costs on a cash basis in its rate process (by FERC Order as one example and without FERC Order as a second example), is the establishment of a regulatory asset assumed to be automatic or does specific language need to be included in rate case settlements?

Tom McLauglin, FERC,OCA,DOA, Branch Chief, Special Projects

14. Absent a specified tracker in a rate filing, should a regulatory asset or liability be established for the difference between pension cost included in Rate case COS and the actual pension cost incurred in later periods? What about FAS 109 costs?

FERC.
15. Excess Deferred Income Taxes - Staff would like to discuss the FE+G640RC's order on rehearing in Docket No. AI93-5-001, on the subject of excess deferred income taxes. During July 1996, the Commission granted rehearing of letter orders issued by the Chief Accountant to a number of gas pipeline companies that G642denied income statement recognition of excess deferred taxes associated with the adoption of SFAS No. 109 (A1gonquin Gas Transmission Company et al., AC 94-179-001 et al. (76 FERC ╢ 61,075)) Staff would like to summarize the Commission's position and answer any industry questions on the subject.

16. On July 19, 1996, the Commission issued an Order related to Issues associated with adoption of FAS 109. What is the status on letters to the OCA related to FAS 109 which have been on hold?

17. The Commission Order issued in July, 1996, relating to FAS 109 allowed/required each company to make their determination on appropriate rate and accounting treatment. What future event will signal a closure to these issues? Next Rate Case Proceeding? Next OCA audit?

FERC-Jim Guest, FERC, OCA, DOA, Deputy Chief Accountant
18. What is the current view of FERC audit staff on regulatory assets when: 1) Asset is not addressed by global FERC pronouncements (i.e. FAS 106) and 2) Asset has not yet been subject to rate case determination.

19. For most companies, the 3-year filing period for FAS 106 costs ended 12/31/95.If a company did not file for new rates within this time period, to what extent is the regulatory asset recorded (for difference in accounting methods) impaired.

FERC-Jim Guest, FERC, OCA, DOA, Deputy Chief Accountan+G648t
20. What is OCA's position on appropriate accounting for Regulatory assets? When should regulatory asset recovery and expense be treated as a tracker?

Ken Anderson, FERC, OCA, DOA, Branch Chief III
21. Can FERC please update us on any implementation or audit issues they have addressed related to FERC Order 581.

Wayne McDanal, FERC, OCA, DAS, Deputy Director

22. Has the FERC considered using the Internet as a means of making documents easily available to the public as a substitute for the current CIPS bulletin board system?

Wayne McDanal, FERC, OCA, DAS, Deputy Director
23. Retirement Units / Units of Property - The list of Retirement Units was added to the Commission's regulations in 1961 and was last amended in 1982 by the addition of liquefied natural gas plant accounts to the List. Staff would appreciate the Groups' comments as to whet ha the List remains a viable means of determining when costs should be capitalized or charged to expense. If so, should the List be revised? Should it be expanded to provide more detail or should it be condensed to provide fewer specific items? If retained, should the List continue to allow expansion (as provided in instruction 4)?

Tom McLauglin, FERC,OCA,DOA, Branch Chief, Special Projects
24. Accounting for Cost of Removal - It appears that a number of pipelines are seeking approval for depreciation rate changes related to significant amounts of negative salvage for removal of onshore utility property. Staff would like to to know the typical reasons for the increases For example, Staff understands that there are special disposal requirements for pipe contaminated with PCBs. Is this factor adding significantly to the negative cost of removal?

Chuck Kalaidis, FERC,OCA,DAS, Accountant
25. The following questions relate to the transfer of property previously used in gas service from account 101 Gas Plant in Service, to account 105, Gas Plant Held for Future Use pending its reuse in the future, under a definite plan, in gas service. A. Is there a minimum amount of time that property should be held in account 105? B. Under what circumstances would current accruals for depreciation be recorded on property held in account 105? C. If modifications, which are recorded to account 107, Construction Work In Progress, are made to property in order to prepare it for reuse in the future under a definite plan involving other new construction and the property to which the modifications are being made must be taken completely out of service for period of time while construction of the modifications is in progress, would it be appropriate in this situation to transfer the cost of the property to account 105 until construction of the modifications is completed? D. Assume the same facts as in C. above, except: I. For various reasons, two of which are to facilitate construction of the other new facilities and minimize costs, the modifications to the property are done in advance of the other new construction, and; II. After construction of the modifications has been completed and while awaiting construction of the other new facilities, the property to which the modifications have been made is placed back into "old" service, however, most of the modifications cannot be utilized in the old service, only the "new" service and thus cannot be placed in operation or ready for service until construction of the other new facilities and final testing of the new facilities and the modifications is completed. Would it be appropriate

under these circumstances to transfer the cost of those modifications which will not be utilized until the other facilities are constructed to account 105 until such time as the other facilities are completed, provided the time frame is reasonable?

Chuck Kalaidis, FERC,OCA,DAS, Accountant
26. Please clarify the definition of "ready for service" as it relates to Gas Plant Instruction 3 (17) (b) which states, "When only part of a plant or project is placed in operation or is completed and ready for service but the construction work as a whole is incomplete, that part of the cost of the property placed in operation or ready for service, shall be treated as 'Gas Utility Plant' and allowance for funds used during construction thereon as a charge to construction shall cease.

Ken Anderson, FERC, OCA, DOA, Branch Chief III
27. What can we, as industry representatives, do to help develop a better fixed asset model?

28. To what extent, if any, can companies combine various categories of general-plant and use an average life under this accounting methodology? James Caruso, FERC, OCA, DOA, Deputy Director 29. Year 2000 Costs - EITF Issue No. 96-14 addresses the Accounting for the Costs Associated with Modifying Computer Software for the Year 2000 requiring the costs to modify computer systems and programs for the year 2000 to be expensed as incurred. Staff has been informed that the costs involved in such efforts will likely be very substantial. Staff would appreciate industry's comments on the magnitude of the cost and the recommended accounting for such costs.

**ATTACHMENT 2**

**William E. Murrell**
**Information for Line 1191, Column F (List of all that were present at the conference on RTO standardized market design):**

See Notice for Conference in Docket No. RM01-12-000. Various senior FERC staff, Commissioners, and the following panelists (and the general public):
The Hon. David A. Svanda, Commissioner, Michigan Public Service Comm.;
Peter Cramton, Professor, University of Maryland;
The Hon. David F. Hadley, Commissioner, Indiana Utility Regulatory Comm.;
Mark D. Kleinginna, Corporate Energy Director, Ormet Corporation;
John Meyer Vice President of Asset Commercialization, Reliant Energy;
John L. O'Neal, President, Mirant Mid-Atlantic;
Roy J. Shanker, Ph.D.;
The Hon. Glen N. Arthur, Vice Chair., Connecticut Dept of Public Utility Control;
Edward G. Cazalet, Chairman, Automated Power Exchange;
Steven T. Naumann, VP, Transmission Services, Commonwealth Edison;
Richard J. Pierce, Jr., Lyle T. Alverson Research Professor of Law, George Washington University;
Roy Thilly, President & CEO, Wisconsin Public Power, Inc.;
Fiona Woolf, Head of the Electricity Group, CMS Cameron McKenna;
The Hon. Nancy Brockway, Commissioner, New Hampshire Public Utilities Comm.;
Reem J. Fahey, Director of Market Policy, Edison Mission Energy;
Carol Guthrie, Group Manager for Electric Supply, Chevron;
Shmuel Oren, Professor of Industrial Engineering and Operations Research/Director of the Power System Engineering Research Center, University of California at Berkeley;
Andrew Ott, General Manager of Markets Coordination, PJM Interconnection, LLC;
Michael M. Schnitzer, Director, The NorthBridge Group;
Jose Delgado, President & CEO, American Transmission Company;
The Honorable Michael H. Dworkin, Chair, Vermont Public Service Board;
Mark W. Maher, Senior Vice President, Transmission Business Line, Bonneville Power Administration;
Laura Manz, Manager of Transmission Planning, PSE&G;
Masheed Rosenqvist, Director of Transmission Strategy, National Grid;
Steve Walton, Senior Director of Government Affairs, Enron Corporation;
Ricky Bittle, Vice President, Planning Rates and Dispatching, Arkansas Electric Cooperative Corporation;
Jim Caldwell, Policy Director, American Wind Energy Association;
Peter Esposito, Senior Vice President & Regulatory Counsel, Dynegy;
The Hon. Rory McMinn, Commissioner, New Mexico Public Regulation Comm.;
Glenn B. Ross, Director of Transmission Policy, Dominion Resources;

Audrey Zibelman, Vice President Transmission, XCEL Energy;
Craig Baker, Senior VP of Regulation and Public Policy, AEP Services Corp;
James M. Irvin, Commissioner, Arizona Corporation Commission;
Susan Kelly, Principal, Miller, Balis & O'Neil;
William K. Newman, Senior Vice President, Transmission Planning & Operations, The Southern Company;
Steve Ward, Public Advocate, Maine Consumer Counsel;
Matthew Wright, Senior Vice President, Pacificorp;
The Hon. Glenn N. Arthur, Vice Chairman, Connecticut Dept of Public Utility Control;
The Hon. James Blake Atkins, Commissioner, South Carolina Public Service Comm.;
The Hon. Angel M. Cartagena, Jr., Chairman, DC Public Service Commission;
The Hon. Joseph Curran III, Commissioner, Maryland Public Service Comm.;
The Hon. Michael Dworkin, Chairman, Vermont Public Service Board;
The Hon. Sam J. Ervin, IV, Commissioner, North Carolina Public Utilities Comm.;
The Hon. Gary Feland, Chair, Montana Public Service Commission;
The Hon. Edward A. Garvey, Commissioner, Minnesota Public Utilities Comm.;
The Hon. David F. Hadley, Commissioner, Indiana Utility Regulatory Comm.;
The Hon. Sandra L. Hochstetter, Chairman, Arkansas Public Service Comm.;
The Hon. Martin J. Huelsmann, Chairman, Kentucky Public Service Commission;
The Hon. James M. Irvin, Commissioner, Arizona Corporation Commission;
The Hon. E. Leon Jacobs, Jr., Chair, Florida Public Service Commission;
The Hon. Judy A. Jones, Commissioner, Ohio Public Utilities Commission;
The Hon. James J. Kerr, II, Commissioner, North Carolina Public Utilities Comm.;
The Hon. LeRoy Koppendrayer, Commissioner, Minnesota Public Utilities Comm.;
The Hon. Loretta M. Lynch, President, California Public Utility Commission;
The Hon. Rory McMinn, Commissioner, New Mexico Public Regulation Comm.;
The Hon. Arnetta McRae, Commissioner, Delaware Public Service Commission;
The Hon. Edward M. Meyers, Commissioner, District of Columbia Public Service Comm.;
The Hon. Robert B. Nelson, Commissioner, Michigan Public Service Comm.;
The Hon. William M. Nugent, Commissioner, Maine Public Utilities Commission;
The Hon. Catherine I. Riley, Chairman, Maryland Public Service Commission;
The Hon. Alan R. Schriber, Chairman, Ohio Public Utilities Commission;
The Hon. Marilyn Showalter, Chairwoman, Washington Utilities and Transportation Commission;
The Hon. Lavenski R. "Vence" Smith; Commissioner, Arkansas Public Service Comm.;
The Hon. Donald Soderberg, Chairman, Nevada Public Utilities Commission;
The Hon. Robert Spurlin, Commissioner, Kentucky Public Service Commission;
The Hon. Glen Thomas, Chairman, Pennsylvania Public Utility Commission;
The Hon. Carl W. Wood, Commissioner, California Public Utilities Commission;
Sarah Barpoulis, Senior Vice President, PG&E National Energy Group;

William P. Boswell, Chairman, Board of Directors, GISB;
William F. Burks, Associate General Manager, Electric Systems, City Utilities of Springfield, Missouri;
David N. Cook, General Counsel, NERC;
Michael Kormos, General Manager of System Operations, PJM Interconnection, LLC;
Marty Mennes, VP, Transmission, Operations and Planning, Florida Power & Light Company;
Charles J. Cicchetti, Miller Chair in Government, Business and the Economy, University of Southern California;
The Hon. Robert Nelson, Commissioner, Michigan Public Service Commission;
Marji Philips, Exelon Power Team;
Sonny Popowsky, The Consumer Advocate, Pennsylvania Office of Consumer Advocate;
Craig R. Roach, Principal, Boston Pacific Company;
Anjali Sheffrin, Director, Market Analysis, California ISO;
Richard Cowart, Director, The Regulatory Assistance Project;
The Hon. Edward A. Garvey, Commissioner, Minnesota Public Utilities Comm.;
Bill Hall, Senior Vice President, Corporate Energy Policy, Duke Energy;
William W. Hogan, Professor of Public Policy and Administration, John F. Kennedy School of Government, Harvard University;
Paul L. Joskow Professor of Economics & Director, MIT; Center for Energy and Environmental Policy Research;
Robert R. Nordhaus Member, Van Ness Feldman, P.C.;
David B. Patton, President, Performance Economics

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| | A | B | C | D | E | F | G | H | I | J | K |
|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | **Name** | **Office** | **Division** | **(b)** | **I. Sender(s) of communication** | **II. The recipient(s) of the communication** | **III. The subject matter of the communication** | **IV. Give the date** | **IV. Or date range** | **V. Was any action taken by the Comm. In response to communication:** | **VI. Other Comments (if any)** |
| 2 | Abrams, Edward, A. | Office of Energy Projects | Environmental and | | | | | | | | |
| 3 | Addison, Abbigale C. | Office of Energy Projects | D2SI, Atlanta Regional Office | No | | | | | | | |
| 4 | Agarwal, Pradeep Kuma | Office of Markets, Tariffs, and Rates | Division of Market Information | No | | | | | | | |
| 5 | Airato, Scott A | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |
| 6 | Akbar, Mohammad | Office of Energy Projects | Hydropower Administration and Compliance | No | | | | | | | |
| 7 | Akers, James L. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | No | | | | | | | |
| 8 | Albert, Judith A. | Office of General Counsel | Solicitor's Office | No | | | | | | | |
| 9 | Allen, Kathryn B. | Office of General Counsel | Markets, Tariffs, and Rates | No | | | | | | | |

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| 10 | Allen, Nan S. | Office of Energy Projects | DEER | Yes | See recipients | Nan Allen, FERC staff; Julie Keil, PGE; Tom Mark, LeBoeuf, Lamb, Greene & MacRae, LLP, representating PGE; Jocelyn Somers and Rod Bonacker, U.S. Forest Service; Janet Brown, Jefferson Oregon County Commissioner. Only Janet Brown was not also a sender. | Exchange of e-mail confirming arrangements for a teleconference meeting to be held on September 19, 2000, to discuss procedural questions about filing an amendment to the license application. | | September 18 and 19, 2000 | None. | |
| 11 | Allen, Nan S. | Office of Energy Projects | DEER | Yes | FERC staff; PGE | Nan Allen and Ann Miles FERC, Patricia Weslowski, FERC contract staff; PGE; Confederated Tribes of the Warm Springs Reservation of Oregon. | E-mail correspondance regarding procedural issues for amending Application for New License and arrangements for scoping, site visit, and technical workshop | | July 17, 2001 November 26, 2001 | Project site visit, two public scoping meetings, and technical workshop were scheduled for November 6, 7, 8, 2001. | |
| 12 | Allen, Nan, S. | Office of Energy Projects | DEER | Yes | Nan Allen, FERC staff; PGE | Nan Allen and Ann Miles, FERC; PGE; U.S. Forest Service; Bureau of Indian Affairs; U.S. Fish and Wildlife; Bureau of Land Management; National Marine Fisheries Service; Warm Springs Power Enterprise; Confederated Tribes of the Warm Springs Reservation of Oregon; Department of the Interior, Office of the Solicitor; Jefferson County Oregon; State of Oregon; Trout Unlimited; and other unrecalled participants | E-mail correspondance regarding PGE's proposed amendment of license to install a small turbine in the west grout tunnel at the Round Butte development | | April 20, 2001 - July 5, 2001 | PGE filed an application to amend the current license on June 18, 2001; FERC issued an environmental assessment and order approving amendment to license on July 25, 2001. | |

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| 13 | Allen, Stephanie C. | Office of General Counsel | Administration | No | | | | | | | |
| 14 | Alpert, Hollis J. | Office of General Counsel | Administrative Litigation | Yes | Commission Trial Staff | Parties to Docket No. RP95-363-000 rate proceeding involving El Paso Natural Gas Company; Staff and El Paso | Memoranda and letters from El Paso Natural Gas Company, Commission Trial Staff and the Parties to RP95-363 regarding proposed settlement positions in the proceeding | | 08/15/1995 to 03/15/2000 | Commission approved a contested settlement in the proceeding. | Enron was an intervenor in the case, but not very active and merely received copies of these communications. |
| 15 | Alpert, Hollis J. | Office of General Counsel | Administrative Litigation | Yes | Trial Staff, Parties, and Iroquois | All Parties to the proceeding, Staff and the Iroquois Gas Pipeline Company | Discovery requests and responses and Settlement proposals regarding the Iroquois Gas Pipeline Company in Docket Nos. RP94-72-000 and FA92-59. | | 03/01/1994 to 04/01/1995 | Commission approved a partial settlement. Remaining issues proceeded to hearing. | Enron intervened in the proceeding but was not active. They received copies of communications. |
| 16 | Alpert, Hollis J. | Office of General Counsel | Administrative Litigation | Yes | Trial Staff, Southern Company, Parties | Trial Staff, Southern Company Services, and all parties to the Generator Balancing Service proceedings in Docket Nos. ER99-3531-000 and ER99-4384-000 | Discovery requests and responses and Settlement proposals regarding proposed rates, terms and conditions of Southern Company's proposed Generator Balancing Servcie in Docket Nos. ER99-3531-000 and ER99-4384-000. | | 12/07/1999 to 06/09/2000 | Commission approved a settlement resolving all issues in the proceedings. | Enron received copies of all of these communications, but was an inactive intervenor in the proceeding. |
| 17 | Amerkhail, Rahim, J | Office of Markets, Tarriffs, and Rates | Central | No | | | | | | | |
| 18 | Anderson, Lawrence Ric | Office of Markets, Tarriffs, and Rates | Policy | No | | | | | | | |

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| 19 | Anderson, Norma | Office of the Chief Information Officer | Knowledge and Information Management | No | | | | | | | |
| 20 | Andrew Farrell | Office of Markets, Tarriffs, and Rates | Rates - West | No | | | | | | | |
| 21 | Anklam, Elizabeth, G | Office of Energy Projects | Pipeline Certificates | No | | | | | | | |
| 22 | Anyanwu, Emeruwa | Office of Energy Projects | Division of Dam Safety & Inspections -- NYRO | No | | | | | | | |
| 23 | Armbruster, Ellen A | Office of Energy Projects | DEER | No | | | | | | | |
| 24 | Armstrong, Cassandra G | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - East | No | | | | | | | |
| 25 | Arneson, Joel K. | Office of General Counsel | Energy Projects | No | | | | | | | |
| 26 | Arrington, Shirley M. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates Central | No | | | | | | | |
| 27 | Arvedlund, Robert | Office of Energy Projects | DEER | No | | | | | | | |

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| 28 | Athey, joseph C. | Office of Markets, Tarriffs, and Rates | Division of Tarriffs & Rates-Central | No | | | | | | | |
| 29 | Atlas, William,R | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |
| 30 | Avent, Annette D. | Office of Markets, Tarriffs, and Rates | Market Information | No | | | | | | | |
| 31 | Avila, Gilbert A. | Office of Energy Projects | San Fracisco Regional Office | No | | | | | | | |
| 32 | Bailey, Kristine T. | Office of Markets, Tarriffs, and Rates | Division of Policy Innovation & Communication | No | | | | | | | |
| 33 | Ballard, James, S | Office of Markets, Tarriffs, and Rates | Policy, Innovation and Communication | No | | | | | | | |
| 34 | Bandera, J Derek | Office of Markets, Tarriffs, and Rates | CEA | No | | | | | | | |

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| 35 | Banks, Kermit A, III | Office of Markets, Tariffs, and Rates | Central | No | | | | | | | |
| 36 | Bardee, Michael, A. | Office of General Counsel | Markets, Tariffs and Rates Section | No | | | | | | | |
| 37 | Barden, Justine L | Office of Markets, Tariffs, and Rates | Energy Markets | No | | | | | | | |
| 38 | Barfield Gloria J | Office of Commissioner Massey | Office of Commissioner Massey | No | | | | | | | |
| 39 | Barlow, Randolph A. | Office of Markets, Tariffs, and Rates | Litigation | No | | | | | | | |
| 40 | Barnes, Kenneth M | Office of Markets, Tariffs, and Rates | Litigation | No | | | | | | | |
| 41 | Barry H. Cornwall | Office of the Executive Director | Division of Logistics Management | No | | | | | | | |
| 42 | Bautista, Mac T | Office of Energy Projects | D2SI-SFRO | No | | | | | | | |

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| 43 | Beck, Mark T | Office of the Executive Director | MAPS | No | | | | | | | |
| 44 | Benge, Mary Elizabeth | Office of Commissioner Breathitt | N/A | Yes | Tom Briggs (Enron) | self | Arrangements to meet socially | | | no | telephone communication |
| 45 | Benge, Mary Elizabeth | Office of Commissioner Breathitt | N/A | Yes | Donna Fulton | self | Instructions for FercBeat panel discussion; followup to invitation | | Spring 1998 | no | |
| 46 | Bennett, Jr. William W. | Office of the Chief Information Officer | Knowledge Officer | No | | | | | | | |
| 47 | Berlin, Hillary | Office of Energy Projects | DHAC | No | | | | | | | |
| 48 | Bernhardt, James L. | Office of Markets, Tariffs, and Rates | Tariffs and Rates East | No | | | | | | | |
| 49 | Bernier, Diane E | Office of Markets, Tariffs, and Rates | of Administration & Case Tracking | No | | | | | | | |
| 50 | Bernt, Julie M. | Office of Markets, Tariffs, and Rates | Division of Tariffs and Rates | No | | | | | | | |

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| 51 | Berrios Jr., Samuel | Office of the Executive Director | Chief Accountant, Division of Regulatory Accounting Policy | No | | | | | | | |
| 52 | Berson, Gregory, A | Office of Markets, Tarriffs, and Rates | Tariff & Rates East | No | | | | | | | |
| 53 | Berson, Gregory, A | Office of Markets, Tarriffs, and Rates | Tariff & Rates East | No | | | | | | | |
| 54 | Bess, Marie O | Office of General Counsel | Administration | No | | | | | | | |
| 55 | Bethke, Elizabeth J. | Office of Energy Projects | D2SI - ARO | No | | | | | | | |
| 56 | Beverly Mowery | Office of the Executive Director | Division of Logistics Management | No | | | | | | | |
| 57 | Bieltz, Andrew M | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Florida Gas staff | Andrew M. Bieltz | Responses to staff data requests in Florida Gas Transmission Corp. concerning depreciation and economic end-life issues in Section 4 Rate Case | | 1992-1993 | I believe the case was settled by the parties with no Commission action taking place | |

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| 58 | Bilgihan, Esref | Office of Markets, Tarriffs, and Rates | Central | Yes | Elizabeth Taylor of FERC | Northern Natural Gas Company (NNGC) rate staff | Field Review of Docket No. TA92-1-59-000 | | 2/24/92-3/6/92 | An order issued on 12/2/92 in Docket No. 92-2-59-002 NNGC was required to take action to correct the issues found by the field review. | The issues uncovered were: exchange valuation, carrying charge calculation, tariff language, assessement test calculations, current adjustment calculations and the reconciliation between purchased volumes and sales volumes. |
| 59 | Billings, Martha | Office of Energy Projects | Division of Dam Safety and Inspections, PRO | No | | | | | | | |
| 60 | Birch, Walter Matthew | Office of Markets, Tarriffs, and Rates | Division of Policy, Innovation & Communication | No | | | | | | | |
| 61 | Birchman, Bruce Lee | Office of Administrative Law Judges | OALJ | No | | | | | | | |

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| 62 | Blair, John S. | Office of Energy Projects | Environment & Engineering Review | Yes | ERM Corporation & its Sub-Contractors | Portland General Electric, ERM Corporation, Historical Research Associates, R2 Associates, Normandeau Associates, Longview Associates, Tom Sullivan Associates | 163 e-mails between the parties mentioned above scheduling meetings, setting agendas, confirming meeting arrangements | | 12/01/98 - 12/02/2001 | None | ERM Corporation is a Third Party Contractor preparing Portland General Electric's environmental impact statement for its Bull Run, Oak Grove, North Fork, hydroelectric relicense application. The 163 communications were among parties to confirm meeting details over the 3 year period. |
| 63 | Blakeway, Victor Darrell | Office of General Counsel | Market Oversight & Enforcement | Yes | Enron Power Marketing, Inc. | Commission and parties to Docket No. ER01-123 | Comments on settlement proposal certified to Commission by Judge Wagner. One comment to Wagner, another to Commission after certification. | | 03/01/2001 - 05/01/2001 | Enron's objections to settlement rejected by Judge Wagner and Commission | Warren Wood was staff lead counsel on this and also has submitted data on these filings. See 3(a). |
| 64 | Blattner John J. | Office of the Executive Director | Regulatory Audits | Yes | FERC and Portland General | FERC and Portland General | California Generation Cost Audit | | June 2001 through August 2001 | No audit report has been issued to date. | |
| 65 | Blaug, Elisabeth A | Office of General Counsel | Energy Projects | No | | | | | | | |
| 66 | Blocker, Carrie E. | Office of the Chief Information Officer | Office of the Chief Information Officer | No | | | | | | | |
| 67 | Blocker, Rosa M. | Office of the Executive Director | Administrative Support Staff | No | | | | | | | |
| 68 | Blome, William O | Office of General Counsel | Energy Projects | No | | | | | | | |

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| 69 | Bolton, Leona B. | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |
| 70 | Bondarenko, Maria | Office of Markets, Tarriffs, and Rates | Administration and Case Tracking | No | | | | | | | |
| 71 | Booth, William C | Office of Markets, Tarriffs, and Rates | Market Analysis | Yes | ENRONONLINE Technical Staff | Bill Booth | E-mail with passwards for accessing ENRONONLINE | | Sep-00 | | |
| 72 | Booth, William C | Office of Markets, Tarriffs, and Rates | Market Analysis | Yes | William Booth | Donna Fulton, ENRON Regulatory Rep | Request for continued access to ENRONONLINE | | Dec-00 | none | |
| 73 | Booth, William C | Office of Markets, Tarriffs, and Rates | Market Analysis | Yes | ENRONONLINE Technical Staff | William Booth | E-mail providing passwords to access ENRONONLINE. | | Dec-00 | none | |

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| 74 | Booth, William C | Office of Markets, Tarriffs, and Rates | Market Analysis | Yes | Michelle Farrell, PGE FERC Project Mgr | David P. Boergers, Secretary of FERC | Weekly filings by Portland General Electric Company notifying the Commission of their intent to justify sales for which the price exceeded a proxy market clearing price set by FERC in a 12/15/2000 order (93 FERC 61,294). | | January to June 2001 | The Commission ordered PGE and other sellers to refund the value of sales above the proxy market clearing price on 3/9/2001 (94 FERC 61,245) and subsequent letter orders signed by Daniel Larcamp, Dircelor of the Office of Markets, Tariffs and Rates. The Commission ultimately set the refund issues for hearing on 6/19/2001. | |
| 75 | Booth, William C | Office of Markets, Tarriffs, and Rates | Market Information | Yes | John N. Estes III Council for ENRON | David P. Boergers, Secretary of FERC | Response by Portland General Electric Company to a data request for information on sales between 10/2/2000 and December 31, 2000. | 3/30/2001 | | The Commission set the issue of refunds for the period 10/2/2000 and December 31, 2000 for hearing on 6/19/2001. | |
| 76 | Booth, William C | Office of Markets, Tarriffs, and Rates | Market Information | Yes | John N. Estes III, Council for ENRON | David P. Boergers, Secretary of FERC | Confidential justifications of Portland General Electric for prices charged in excess of the FERC's proxy market clearing price (94 FERC 61,245). | | 3/23/2001 & 4/11/2001 | The Commission ultimately set the refund issues for hearing on 6/19/2001. | |
| 77 | Booth, William C | Office of Markets, Tarriffs, and Rates | Market Information | Yes | William C. Booth | Donna Fulton, ENRON Regulatory Rep | Request for passwords to access ENRONONLINE. | | Sep-00 | none | |
| 78 | Boros, Laurie | Office of Energy Projects | DEER | Yes | Alan Bowman, Transwestern, phone | Laurie Boros | cultural resources survey information | | | no | |

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| 79 | Boros, Laurie | Office of Energy Projects | DEER | Yes | Don Vignaroli, Nor Nat, fax | Laurie Boros | transmitting signed signature page for memorandum of agreement | | | No | |
| 80 | Boros, Laurie | Office of Energy Projects | DEER | Yes | Laurie Boros, phone | Leo Nichols, Environmental Specialist, Nor Nat | provide technical guidance for cultural resources filing | | | No | |
| 81 | Boros, Laurie | Office of Energy Projects | DEER | Yes | Don Vignaroli, Nor Nat, phone | Laurie Boros | call to check cultural resources information for filing | | | No | |
| 82 | Bourque, Barbara D. | Office of Markets, Tarriffs, and Rates | Market Information | No | | | | | | | |
| 83 | Bowers, Linda M. | Office of Markets, Tarriffs, and Rates | Rates West | Yes | ENRON | Commissioner Hoecker | Routine courtesy vists | | 1993-1996 | Not certain | During 1993-1996 in my position as a Staff Assistant to Commissioner James Hoecker, I facilitated several meetings between ENRON Executives and Commissioner Hoecker at the request of ENRON. I cannot recall which ENRON executives were in attendance and I have no records of the meeting. I did not participate in the meeting. |
| 84 | Boyce, Marie | Office of Markets, Tarriffs, and Rates | Central | No | | | | | | | |
| 85 | Boyle, Michael J. | Office of Energy Projects | DEER | No | | | | | | | |

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| 86 | Brand, Bruce J. | Office of Energy Projects | D2SI | Yes | Bruce Brand | Bod Steele,Mike Gandert, Kevin Marshal | I have had numerous interactions at meetings and in phone conversations with the ajacent Portland General Electric (PGE) employees during the time they were a subsidiary of ENRON in regard to the seismic retrofit of their Rivermill Dam, FERC #2195-01-01, and tainertgate strengthening at Northfork Dam, FERC # 2195-03-01. In addition, in October of 2000, I put on a conference at which Michael Gandert was one of the main presenters. I had many phone conversations with him in this capacity | | 9/11/98 to 4/2001 | Review and comment on technical submittals | |
| 87 | Breathitt, Linda K. | Office of Commissioner Breathitt | N/A | Yes | Enron | self | Enron hosted Inaugural Brunch at Red Sage Restaurant. | 1/21/2001 | | no | Made a brief stop(15-20 min.) at Sunday brunch. Prior approval received by DAEO (designated agency ethics officer) |
| 88 | Breathitt, Linda K. | Office of Commissioner Breathitt | N/A | Yes | Charles Bone | self | Discussed RTO's and transmission access issues | | Jan. 2001 | no | Dinner meeting with Rich Shapiro, Managing Director, Government Affairs - Enron (Houston) along with Charles Bone and Johnny Hayes both from Nashville. Paid for own meal. |

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| 89 | Breathitt, Linda K. | Office of Commissioner Breathitt | N/A | Yes | Charles Bone | self | Discussed RTO's, FERC transmission jurisdiction, and tariff reform. | | Mar. 2001 | no | Dinner Meeting with Rick Shapiro, Managing Director, Government Affairs - Enron (Houston) along with Charles Bone and Johnny Hayes, both from Nashville. Paid for own meal. |
| 90 | Breathitt, Linda K. | Office of Commissioner Breahitt | N/A | Yes | self | call to Rick Shapiro and call to Stan Horton | 2 phone calls to inquire about continued Enron operations | | Nov or Dec 2001 | no | telephone communication |
| 91 | Brenner, Lawrence | Office of Administrative Law Judges | Office of Administrative Law Judges | No | | | | | | | |
| 92 | Brent, Donna | Office of Markets, Tarriffs, and Rates | Central | No | | | | | | | |
| 93 | Brewer, Lisa, A | Office of Energy Projects | Certificates | No | | | | | | | |
| 94 | Briscoe, Gerald M. | Office of Energy Projects | Pipeline Certificates | No | | | | | | | |
| 95 | Brooks, Bonnie J | Office of the Executive Director | FINANCIAL SERVICES | No | | | | | | | |
| 96 | Brooks, Chauna | Office of Markets, Tarriffs, and Rates | Policy Innovation and Communication | No | | | | | | | |

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| 97 | Brooks, Clara R. | Office of Markets, Tarriffs, and Rates | Front Office | No | | | | | | | |
| 98 | Brooks, Deborah D. | Office of Markets, Tarriffs, and Rates | Administration and Case Tracking | No | | | | | | | |
| 99 | Brooks, Keith M. | Office of General Counsel | Energy Projects | No | | | | | | | |
| 100 | Brown, Ellen | Office of the Chief Information Officer | Knowledge and Information Management | No | | | | | | | |
| 101 | Brown, James | Office of the Chief Information Officer | Knowledge and Information Management | No | | | | | | | |
| 102 | Brown, Perry L. | Office of Markets, Tarriffs, and Rates | Division of Market Development | No | | | | | | | |
| 103 | Brown, William, D | Office of the Executive Director | Financial Services | No | | | | | | | |
| 104 | Brownell, Nora Mead | Office of Commissioner Brownell | N/A | Yes | Lisa Yoho | Nora Mead Brownell | Called to set up meeting with Enron Washington Office | | Summer 2001 | None | |

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| 1 | **Name** | **Office** | **Division** | **(b)** | **I. Sender(s) of communication** | **II. The recipient(s) of the communication** | **III. The subject matter of the communication** | **IV. Give the date** | **IV. Or date range** | **V. Was any action taken by the Comm. In response to communication:** | **VI. Other Comments (if any)** |
| 105 | Brownell, Nora Mead | Office of Commissioner Brownell | N/A | Yes | Cynthia Sandherr | Nora Mead Brownell | Sent Congressional Testimony of Kathleen McGruder | 8/22/2001 | | None | |
| 106 | Brownell, Nora Mead | Office of Commissioner Brownell | N/A | Yes | Linda Robertson | Helen Fitzgerald | Sent letter to set up meeting with Commissioner for Mark Frevert | 9/5/2001 | | Meeting date 9/19/01 | |
| 107 | Brownell, Nora Mead | Office of Commissioner Brownell | N/A | Yes | Nora Mead Brownell | Rick Shapiro | When Enron online screen went blank, called to facilitate communication between FERC Market Monitoring Unit (Scott Miller) and appropriate Enron contact with the goal of insuring no interruption of customer service. Requested updates as events unfolded. | 11/28/2001 | | Monitoring Unit opened dialogue with Enron online | |
| 108 | Brownell, Nora Mead | Office of Commissioner Brownell | N/A | Yes | Rick Shapiro | Nora Mead Brownell | Phoned updates periodically to discuss events that might be perceived to impact customer. For example, assurance that pipelines were serving customers. | | | None | |
| 109 | Brownell, Nora Mead | Office of Commissioner Brownell | N/A | Yes | Enron Community Forum | Nora Mead Brownell | Letter thanking NMB for participating in October conference | 12/5/2001 | | None | |
| 110 | Brownfield Jr, Thomas | Office of Markets, Tariffs, and Rates | Market Information | No | | | | | | | |

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| 111 | Bryan, Beverly E. | Office of the Executive Director | Logistics Management Division | | | | | | | | |
| 112 | Bryant, Nancy | Office of the Executive Director | Human Resources Management | No | | | | | | | |
| 113 | Bublitz, Christopher | Office of Markets, Tarriffs, and Rates | Litigation | No | | | | | | | |
| 114 | Burgess, Jonathan Lee | Office of Energy Projects | Division of Dam Safety Inspection - Atlanta Regional Office | No | | | | | | | |
| 115 | Burgess, Thomas, J. | Office of General Counsel | Office of Litigation | Yes | Ferc Trial Staff, Transwestern's counsel | Trail Staff, Transwestern's counsel,intervenors' counsel. | Data requests in Transwestern Pipeline Company, Docket No. RP97-288-009 through 016. | | 8/13/2001 through 8/22/2001 | Not yet. The case went to hearing. Initial decision rendered October 24, 2001. Case now pending before the Commission. | |
| 116 | Burns, Theresa | Office of General Counsel | MOE | No | | | | | | | |
| 117 | Burrell, Hedley | Office of External Affairs | press services | No | | | | | | | |

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| 118 | Burtt, Harriet J | Office of Markets, Tarriffs, and Rates | Litigation | No | | | | | | | |
| 119 | Bushey, William, P | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - Central | No | | | | | | | |
| 120 | Button, Van T. | Office of Energy Projects | DEER | Yes | Bob Burnett | Button | reviewed information for implementation plan for FGTIII construction (CP92-182) | | | | |
| 121 | Button, Van T. | Office of Energy Projects | DEER | Yes | Button | David Brown, Northern Natural | requested environmental data for prior notice application CP94-277 | | | | |
| 122 | Button, Van T. | Office of Energy Projects | DEER | Yes | Steve Veatch, Bob Burnett, C. Cullwell | Button (FERC), C. Goodwin (FGT archaeological contractor) | approximately 24 phone calls coordinating archaeological excavations related to FGTIII pipeline construction | | 04/11/1994 - 09/06/1994 | Construction clearance letters issued for portions of the project. | |
| 123 | Button, Van T. | Office of Energy Projects | DEER | Yes | Bob Burnett, C. Goodwin | Button | Discovery of supposed human skeletal material during archaeological investigations at prehistoric site 8WL81 | | 06/22/1994 - 07/25/95 | | 4 or 5 phone calls. |
| 124 | Button, Van T. | Office of Energy Projects | DEER | Yes | David Brown, Northern | Button | EF Expansion Pipeline Project (CP94-224) pre-construction filing | | | | |
| 125 | Button, Van T. | Office of Energy Projects | DEER | Yes | D. Brown, Northern | Button | Norther use of unauthorized access road during construction of EF Expansion pipeline | | 10/21/1994 - 10/24/1994 | Commission letter requesting explanation of non-compliance | |
| 126 | Button, Van T. | Office of Energy Projects | DEER | Yes | Burnett, FGT | Button (FERC) | archaeological clearance for FGTIII pipeline construction additional work spaces | | 12/12/1994 - 12/19/1994 | | 2 - 3 phone calls |

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| 127 | Button, Van T. | Office of Energy Projects | DEER | Yes | Donna Martens, Northern | Button (FERC) | Clarification of environmental and cultural resource information request for East Leg Expansion Pipeline Project | | 03/14/1995 - 04/26/1995 | | |
| 128 | Button, Van T. | Office of Energy Projects | DEER | Yes | Bob Burnett | Button (FERC) | Submittal of final archaeological reports for FGTIII pipeline project | | | | |
| 129 | Button, Van T. | Office of Energy Projects | DEER | Yes | Button (FERC) | Glen Hass (Northern) | informal data request, information about non-jurisdictional facilities CP96-801 | | | | |
| 130 | Button, Van T. | Office of Energy Projects | DEER | Yes | Donna Martens, Northern | Button (FERC), Glen Hass, John Steenberg (NRON) | environmental inspections and compliance with environmental conditions during construction of CP96-690 Mississippi River Crossing Pipeline | | 09/18/1997 - 06/07/1997 | | 10 - 12 phone calls |
| 131 | Button, Van T. | Office of Energy Projects | DEER | Yes | Richard Babin (FGT) | Button (FERC) | clarification of information on archaeological survey coverage to be submitted with FGTIV pipeline implementation plan | | | | |
| 132 | Button, Van T. | Office of Energy Projects | DEER | Yes | Steve Veatch (NRON) | Button (FERC) | preconstruction requirements met for compressor station CP00-04 | | | | |
| 133 | Cadden, Kevin | Office of External Affairs | Office of External Affairs | No | | | | | | | |
| 134 | Cagnon, David W. | Office of the Chief Information Officer | Customer Support and IT Deployment Services | No | | | | | | | |

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| 135 | Campbell, Heather | Office of Energy Projects | Hydropower Administration and Compliance | Yes | Deb Shallert PGE | Heather Campbell, Steve Naugle, Jean Potvin Keith Brooks | Draft Memorandum of Agreement (MOA) for surrender of Bull Run Project, FERC No 477. | | August-September 1999 | Comission staff provided general comments on draft MOA | |
| 136 | Campbell, James G | Office of Markets, Tariffs, and Rates | DPIC | Yes | James campbell | Steve Walton, Senior Director of Government Affairs | Verifying that he would be speaking on one of the panels during RTO Week (Oct. 5-9, 2001) and verifying how to list him on the Agenda. | | Sep-01 | No. | |
| 137 | Campbell, Timothy P. | Office of the Chief Information Officer | Customer Support | No | | | | N/A | | | |
| 138 | Canada, Karen M. | Office of Markets, Tariffs, and Rates | Central 2 | No | | | | | | | |
| 139 | Canter, Steven L | Office of the Executive Director | Human Resources Management | No | | | | | | | |
| 140 | Capps, Edward C. | Office of Markets, Tariffs, and Rates | Administration and Case Tracking | | | | | | | | |
| 141 | Caramanica, Joseph W | Office of Energy Projects | Pipeline Certificates | No | | | | | | | |
| 142 | Carey, Connie, B | Office of General Counsel | Administrative Office | No | | | | | | | |

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| 143 | Carlson, John T | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | No | | | | | | | |
| 144 | Carter, Alton Brooks | Office of the Chief Information Officer | IT Strategic and Capital Planning and Policy | Yes | Portland General Electric representative | Brooks Carter, FERC/OCIO with input from Hector Perez and John Paquin | Procedures for requesting a waiver of Commission regulations In order to submit the Consultation Record for P-2030 (Round Butte & Pelton Hydro Project) on CD ROM in lieu of paper. | | October, 1999 | Portland General Electric filed a waiver request on 11/22/1999; Office of Hydropower Licensing granted the waiver via delegated order on 11/30/1999. | Discussions also involved procedures for scanning and organizing documents totalling approximately 5,000 pages on CD ROM |
| 145 | Carter, Gwendolyn E | Office of General Counsel | Office of Energy Projects | No | | | | | | | |
| 146 | Caruso, James B | Office of Markets, Tariffs, and Rates | Market Development | No | | | | | | | |
| 147 | Catlin, Robert T | Office of Markets, Tariffs, and Rates | Tariffs and Rates Central | No | | | | | | | |
| 148 | Chamblee, Don A. | Office of External Affairs | Congressional, Intergovernmental and Public Affairs | No | | | | | | | |
| 149 | Chapman, Craig, O | Office of the Executive Director | Management, Administrative & Payroll Support | No | | | | | | | |

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| 150 | Chew, Tony F | Office of Markets, Tarriffs, and Rates | Central-Group 3 | No | | | | | | | |
| 151 | Cholkam Joseph P | Office of Markets, Tarriffs, and Rates | Energy Markets | No | | | | | | | |
| 152 | Christin, Robert | Office of General Counsel | Energy Projects | Yes | See below | Donna Fulton, Joe Hartsoe, Shelley Korman, and possibly others | | | 1998 - 2001 | none | In three of the last four years I have been asked to speak at the spring conference sponsored by the Interstate Natural Gas Association of America. On those occasions I gave speeches on the Commission's certficates policy, the staff's report on pipeline construction in Alaska, and the Commission's latest amendments to its rules. Other than that, I spoke socially to people at the conference, but I don't remember any of the conversations. |
| 153 | Christin, Robert | Office of General Counsel | Energy Projects | Yes | Robert Christin | Donna Fulton | sports | | 1999- 2001 | No | Ms. Fulton's son is on a youth sports team that I coach. When I see her, we talk about her son and sports. |
| 154 | Chung, Charles | Office of the Chief Information Officer | IT Infrastructure & Operations | No | | | | | | | |

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| 155 | Cintron, Carmen A. | Office of Administrative Law Judges | ALJ | Yes | Enron Power Marketing/Enron Energy Servi | ALJ who at closed of hearing sent to Secretary's office | Data submissions concerning the volume of transactions, identification of net sellers and net buyers, price, terms and conditions of the sales contracts for the period from 12/20/2000 through 6/20/2001 in the Pacific Northwest. | 8/16/2001 | 8/16-22/01. | I made recommendations and proposed findings of fact on 9/24/01 still pending before the Commission. | I ruled the data submissions were confidential and did not provide them to the parties to the proceeding. Staff received a copy. I received a copy which I submitted to the Secretary's office under seal at the close of the hearing when the record of this proceeding was certified to the Commission. |
| 156 | Cipkus, Horatio A | Office of Markets, Tariffs, and Rates | Rates Central | No | | | | | | | |
| 157 | Clarey, Patrick E | Office of Markets, Tariffs, and Rates | Central | No | | | | | | | |
| 158 | Clark, Anja M. | Office of General Counsel | Litigation | Yes | Northern Border Pipeline Company | Commission Staff Counsel and Intervenors | Discovery and settlement | | | Settlement accepted by the Commission | |
| 159 | Clark, Anja M. | Office of General Counsel | Litigation | Yes | parties and Staff | EOTT Energy Operating LP, Conoco Pipe Line Co., Staff team members, Intervenors. | settlement negotiations | | 11/2000 to 1/2001 | | Again, I was co-counsel. The communications were by e-mail or oral at the settlement negotiations. I have destroyed all e-mails and other print-outs after the case was terminated. I do this with all my cases after termination because of lack of storage space. |

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| 160 | Clark, Bryan L. | Office of Administrative Law Judges | Law Clerk | No | | | | | | | |
| 161 | Clark, Jeffrey | Office of the Chief Information Officer | Knowledge and Information Management | No | | | | | | | |
| 162 | Clark, Sheila Marie | Office of the Executive Director | Human Resources Management Division | No | | | | | | | |
| 163 | Clay, Carlos D. | Office of Markets, Tarriffs, and Rates | West | No | | | | | | | |
| 164 | Clayton, Miriam B. | Office of External Affairs | Office of External Affairs | No | | | | | | | |
| 165 | Cobb, Gwen | Office of the Executive Director | Administrative Support Staff | No | | | | | | | |
| 166 | Cockrell, Joel M. | Office of General Counsel | Litigation | No | | | | | | | |
| 167 | Coffman, David | Office of General Counsel | Solicitor | Yes | Ron Carroll | David Coffman | Communications concerning California ISO v. FERC (D.C. Cir Nos. 78-1225 et al) (approximately 4) | | 6/98- 3/2000 | | No |

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| 168 | Coffman, David | Office of General Counsel | Solicitor | Yes | Ron Carroll | David Coffman | Communications concerning Alliant Energy v. FERC (D.C. Cir Nos. 99-1448 (approximately 2) | | 12/00-2/01 | Yes | Mr. Carroll advised that a party hostile to FERC had filed a reply brief improperly; FERC successfully moved to strike the brief |
| 169 | Cofrancesco, Jon E. | Office of Energy Projects | Division of Environmental and Engineering Review | No | | | | | | | |
| 170 | Cole, Troy D | Office of the Executive Director | Budget | No | | | | | | | |
| 171 | Colella, Pietro | Office of Energy Projects | D2SI | No | | | | | | | |
| 172 | Coleman, Michael A. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | Yes | Dave Perrino, Dir. Government Affairs | Michael Coleman | e-mail inquiry regarding possible employment with the Commission | 11/30/2001 | | no action was taken | |
| 173 | Coleman, Michael A. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | Yes | Nancy Bagot, Enron Corp | Michael Coleman | information regarding Transwestern Pipeline Company's proposed Sun Devil Expansion Project | 8/8/2001 | | no action taken | |
| 174 | Collins, William J. | Office of General Counsel | Litigation | Yes | FERC Trial Staff, and Northern Border representatives | FERC Trial Staff, and Northern Border representatives | Data Requests, Procedural and substantive issues in Northern Border's rate case | | Throughout 1999 and 2000 | | A settlement of the Northern Border rate proceeding was reached and filed on Sept. 26, 2000 |

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| 175 | Collins, William J. | Office of General Counsel | Litiation | Yes | FERC Trial Staff, and Ronald Carroll of Bracewell and Patterson representing Enron Power Marketing | FERC Trial Staff, and Ronald Carroll of Bracewell and Patterson reprsentating Enron Power Marketing | Data Requestings, Procedural and substantive issues in the California PX case | | Throughout 1998-2000 | | A settlement of the California PX proceeding was reached and filed on Sept. 26, 2000 |
| 176 | Collins,Steve A. | Office of Energy Projects | Division of Dam Safety | No | | | | | | | |
| 177 | Colter, Ron A | Office of Energy Projects | Division of Pipeline Certificates | No | | | | | | | |
| 178 | Condo, C. Blake | Office of Energy Projects | DHAC | Yes | myself | Robert Steele, PGE | Fish Kill at p-2233 Willamette Falls, on 4/11/01 | | | No | |
| 179 | Condo, C. Blake | Office of Energy Projects | DHAC | Yes | myself | Oregon Department of Fish and Wildlife, Stephanie Burchfield, Hydropower Coordinator | p-2233 Willamette Falls fish kill 4/11/01 | | | No | |
| 180 | Condo, C. Blake | Office of Energy Projects | DHAC | Yes | Robert Steele, licensee p-2233 | Blake Condo | fish kill p-2233 willamette falls, 4/11/01 | | | No | |
| 181 | Condo, C. Blake | Office of Energy Projects | DHAC | Yes | Blake Condo | NMFS- Michele Day | fish kill, p-2233 willamette falls, 4/11/01 | | | No | |
| 182 | Condo, C. Blake | Office of Energy Projects | DHAC | Yes | NMFS- Michele Day | Blake Condo | Fish kill, p-2233, willamette falls 4/11/01 | | | No | |
| 183 | Condo, C. Blake | Office of Energy Projects | DHAC | Yes | Blake Condo | Oregon State Police-Trooper Jeff Butler | Fish Kill, Willamette Falls, p-2233, 4/11/01 | | | No | |
| 184 | Connors, Barbara A. | Office of External Affairs | press services | No | | | | | | | |

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| 185 | Connors, Carol | Office of External Affairs | Congressional, Intergovernmental and Public Affairs | No | | | | | | | |
| 186 | Cook, Christopher B | Office of the Chief Information Officer | Software Engineering | No | | | | | | | |
| 187 | Cook, Marika L | Office of the Executive Director | Division of Organizational Management | No | | | | | | | |
| 188 | Cotleur, Michael D. | Office of General Counsel | Litigation | Yes | FERC trial staff/FGT | FGT/ FERC trial staff | discovery, various pleadings, and settlement offers in re FA94-15-000, an investigation of FGT's accounting for AFUDC | | April - July 1996 | no | Unopposed Settlement filed 7/30/96 |
| 189 | Cotleur, Michael D. | Office of General Counsel | Litigation | Yes | FERC trial staff/ Northern Natural | Northern Natural/FERC trial staff | discovery and settlement offers in Dockets RP97-275 and TM97-2-59-001, in re NoNat fuel retention percentages | | Fall 1997 | No | Settlement filed 12/10/97 |
| 190 | Cotleur, Michael D. | Office of General Counsel | Litigation | Yes | FERC trial staff/Transwestern pipeline | Transwestern pipeline/ FERC trial staff | discovery and settlement materials in Docket No. RP97-288-009 thru -016, in re Transwestern's negotiated transportation rates in the CA energy market | | summer 2001 | no | Case pending (Initial Decision, and briefs on and opposing exceptions) before the Commission |

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| 191 | Coulter, Roberta L. | Office of Energy Projects | Division of Environmental and Engineering Review | No | | | | | | | |
| 192 | Coulter, Victor I. | Office of Markets, Tariffs, and Rates | Rates West | Yes | Enron | Commission Staff | Holiday Party | | Early 1990's | None | Enron invited Commission Staff to a holiday party which was widely attended by Commission Staff, Enron staff and others. |
| 193 | Court, Susan J. | Office of General Counsel | GAL | No | | | | | | | |
| 194 | Court, Susan J. | Office of General Counsel | Solicitor | Yes | Susan Court | Frank X. Kelly of Gallagher, Boland, Merburger & Brosnan. | Pending appeal in Northern Natural v. FERC, D.C. Circuit No. 98-1364. | | | No. | |
| 195 | Court, Susan J. | Office of General Counsel | Solicitor | Yes | Jeffrey D. (Dan) Watkiss | Susan Court | Opposition to petitions for certiorari in Supreme Court No. 00-568 | | | Footnote 14 was added to Commission's brief opposing cert. | This action was taken after consulations with General Counsel. |
| 196 | Crawford, Pamela S. | Office of the Secretary | Agenda and Minutes | No | | | | | | | |
| 197 | Creamer, Allan E. | Office of Energy Projects | Division of Environmental and Engineering Review | No | | | | | | | |
| 198 | Crenshaw-Davis, Gladys | Office of General Counsel | Energy Projects | No | | | | | | | |

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| 199 | Crocker, Lawrence D. | Office of the Chairman | N/A | No | | | | | | | |
| 200 | Crow, Lon R | Office of Energy Projects | Environmental and Engineering. Review | Yes | Arnie Podgorsky | Lon Crow, Dan Adamson | September 19 meeting with staff regarding the Lake Elsinore Pumped Storage Project. Memorandum provided background information regarding the proposed project as well as a meeting agenda. | | | meeting was held with project proponents on September 19, 2000. | |
| 201 | Crow, Lon R | Office of Energy Projects | Environmental and Engineering Review | Yes | Arnold Podgorski | Lon Crow | Letter transmitted copy of draft preliminary environmental assessment for the proposed Lake Elsinore Pumped Storage Project | | | Staff responded by letter dated 09/28/2000 | |
| 202 | Crow, Lon R | Office of Energy Projects | Environmental and Engineering Review | Yes | Arnie Podgorsky | Lon Crow | Jurisdiction of the proposed Lake Elsinore Pumped Storage Project | | | no | |
| 203 | Crow, Lon R | Office of Energy Projects | Environmental and Engineering Review | Yes | Lon Crow | Arnold Podgorski | Letter provided staff comments on the preliminary draft environmental assessment for the prposed Lake Elsinore Pumped Storage Project and additional information regarding the licensing process. | | | Staff attended a public meeting in the proposed project area in June 2001. (see submittal from James Fargo) | |
| 204 | Crunkilton, Paula S. | Office of Markets, Tariffs, and Rates | West, Group 1 | No | | | | | | | I have not had any verbal or written correspondence with Enron Corporation nor its affiliates. |

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| 205 | Cupina, Robert J. | Office of Energy Projects | Deputy Office Director | Yes | Enron representatives, including Joe Hartsoe, Shelley Korman, Stan Horton, and Donna Fulton. | Myself | Various industry functions where we discussed topics of general interest. | | | No | This is the period during which I was the Deputy Director of the Office of Pipeline Regulation. |
| 206 | Czarick, Robert R | Office of Markets, Tarriffs, and Rates | Division of Market Information | No | | | | | | | |
| 207 | Dalgetty Herman S | Office of the Executive Director | Financial Services Division | Yes | Betsy Clarke (Bracewell & Patterson) | Herman Dalgetty | Incorrect filing of Reporting Requirement 582 | | | Yes, We corrected the annual charge bill when the revised Reporting Requirement 582 was received by the Commission. | |
| 208 | Dalton, Patricia A. | Office of Markets, Tarriffs, and Rates | West | No | | | | | | | |
| 209 | Dambrava, Pamela Susa | Office of the Chairman | CH-1 | No | | | | | | | |
| 210 | Dameron, Sharon L. | Office of General Counsel | Market, Rates and Tariffs | Yes | Sharon L. Dameron | Mr. Drew Fossum, Vice President and General Counsel, Transwestern Pipeline Company | The subject matter was the selection of a time period for a possible technical conference in Transwestern Pipeline Company's Order No. 637 proceeding in Docket No. RP00-490-000, involving segmentation on its pipeline. | | 09/01/2000 to 03/1/2001 | No. | Commission staff ultimately decided to not hold a technical conference in the proceeding. |

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| 211 | Damiani, Stefanie A | Office of Energy Projects | DEER | Yes | myself, and Midwestern Staff | Myself, and Midwestern Staff=David Marranville, or Mark Johnson, or Bill Rayburn | Endangered Species Act--Indiana Bat, and forest fragmentation | | Oct 1998--Dec 1998 | contacted FWS a few times regarding issue to coordinate ESA requirements | A few conversations dealing with preventing/minimizing forest fragmentation and trying to preserve the Indiana bat. |
| 212 | Damiani, Stefanie A, | Office of Energy Projects | DEER | Yes | Michelle Winckowski of Northern Natural | Myself--Stefanie | Left message--following up my earlier question regarding extra workspaces. | | | n/a | |
| 213 | Damiani, Stefanie A, | Office of Energy Projects | DEER | Yes | Stefanie Damiani | Keith Peterson of Northern Natural, CP98-132-001 | Telephone call to clarify about extra work spaces on the C-and D-Lines extention | | | n/a | Keith would relay message to appropriate staff member. |
| 214 | Damiani, Stefanie A, | Office of Energy Projects | DEER | Yes | Michelle Winckowski of Northern Natural | Myself--stefanie | Regarding my reciept of filed directors letter. CP98-132-001 | | | n/a | |
| 215 | Damiani, Stefanie A, | Office of Energy Projects | DEER | Yes | Michelle Winckowski--Northern Natural | myself--Stefanie | CP98-132-001, extra workspaces | | | n/a | clarified that the "D" line would cross over to the "A" line |
| 216 | Damiani, Stefanie A, | Office of Energy Projects | DEER | Yes | Myself, and Company staff (Midwestern) | Myself, and Company staff (Midwestern)=David Maranville, Mark Johnson, and/or Bill Rayburn | Regarding site visit details | | Oct. 1998; Feb 1999; May 1999 | none | worked out schedules and coordinated itineraries for the 3 site visits (see dates above) for pre-construction, construction, and post-construction inspections |
| 217 | Davis, Sharron T | Office of Markets, Tariffs, and Rates | CASE TRACKING | No | | | | | | | |

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| 218 | Davis, Michael S | Office of Energy Projects | Division of Dam Safety & Inspections | Yes | Loren E. Mayer,Portland General Electric | Harry Hall, FERC-OEP-D2SI-PRO (Portland Regional Office) | PGE's proposal for installing stream and rainfall gages upstream of the project to calibrate key parameters needed to determine the Probable Maximum Flood for the Round Butte Project N0. 2030. | | | Video conference with PGE on 11/17/1999. Site Visit with PGE on 12/6-7, 1999. 11/01/2000 letter accepting PGE's proposal with comments. | I was requested to get involved with the technical issues in November 1999, beginning with the 11/17/1999 video conference and 12/6-7/1999 site visit. I prepared a special inspection report dated December 21, 1999, to document my site visit. My involvement ended with the review of the draft letter that was finalized and sent by Harry Hall to PGE on November 1, 2000. |
| 219 | Davis, Nathaniel J. | Office of Markets, Tarriffs, and Rates | Central Division Group 3 | No | | | | | | | |
| 220 | Dean, Thomas A. | Office of Energy Projects | DEER | No | | | | | | | |
| 221 | Debes, Rebecca J. | Office of Energy Projects | D2SI-NYRO | No | | | | | | | |
| 222 | Dees, Joseph | Office of Markets, Tarriffs, and Rates | T&RE | No | | | | | | | |
| 223 | Delaware, John | Office of the Executive Director | Deputy Executive Director and Chief Accountant | No | | | | | | | |

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| 224 | Delude, Sandra J. | Office of Markets, Tarriffs, and Rates | CEA | Yes | FERC Staff/ Florida Gas | FERC Staff/Florida Gas | data requests/ responses, settlement offers in conjunction with consolidated rate proceeding in Docket Nos RP96-366-000 and FA94-15-002 | | various dates between October 1996 and August 1997 | September 24 Commision order approving settlement filed on August 5, 1997 | |
| 225 | Delude, Sandra J. | Office of Markets, Tarriffs, and Rates | CEA | Yes | FERC Staff/ Northern Natural | FERC Staff/ Northern Natural | data requests/ data responses, settlement offers in conjunction with the proceeding in Docket No. RP98-203 | | Various dates between May 1998 and April 1999 | June 18 Commision order approving settlement filed on April 16, 1999 | |
| 226 | Deng, Andy H | Office of the Chief Information Officer | System Assurance | No | | | | | | | |
| 227 | Denkinger, Marc G. | Office of General Counsel | Litigation | Yes | Mark Sundback, | Marc G. Denkinger; William J. Collins | Matters involving the proceedings in Northern Border Pipeline Co., Docket No. RP99-322-000 and RP96-45, such as the procedural schedule and discovery, settlement offers, and discussions related to withdrawal of certain Northern Border Pipeline Co. testimony. | | Numerous telephone conversations, settlement conferences and meeting held between 5/30/99 and 10/22/2001 | Settlement was reached which was approved by the Commission. | |

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| 228 | Denkinger, Marc G. | Office of General Counsel | Litigation | Yes | Charles F. Caldwell, Esq., | Marc G. Denkinger, Commission Staff Counsel in EOTT Energy Operating Limited Partnership v. Conoco Pipe Line Co., Docket No. OR00-11-000. | Mr. Caldwell is outside counsel for EOTT Operating Limited Partnership. Discussions involved procedural matters, such as procedural schedule and discovery, and settlement negotiations on substantive issues in case. | | Several telephone conversations, telephone conferences and settlement conferences between 10/26/96 and 12/30/01 | Parties reached a settlement agreement during discovery phase. The Complainant withdrew its complant, thereby terminating the proceedings. | |
| 229 | Der, Herman | Office of Energy Projects | DEER | No | | | | | | | |
| 230 | Desmond, Cecilia M. | Office of General Counsel | Energy Projects | No | | | | | | | |
| 231 | Deters, Craig E. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Various communications | Parties to the proceeding. | Settlement offers and data request/responses in Docket No. ER99-4323-000, involving PG&E's TO4 transmission rates | | 9/99 - 6/00 | Commission approved settlement. | |
| 232 | Deters, Craig E. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Various parties to the proceeding | Parties to the proceeding. | Series of settlement offers and data request/responses in Docket No. ER99-2326-000, PG&E's TO3 transmission rate case. | | 3/99-4/00 | Partial Settlement accepted by Commission. ALJ initial decision before Commission | |
| 233 | Deters, Craig E. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff, Enron legal council | Parties to the proceeding | Series of settlement offers and data responses/requests pertaining to rate issues in Docket Nos. ER97-2358-000 and ER98-2351-000, PG&E's TO1 & TO2 transmission rate cases. | | 3/98 - 5/99 | | |

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| 234 | Deubert, Richard E. | Office of Energy Projects | Dam Safety and Inspections | No | | | | | | | |
| 235 | DeWitt, Thomas | Office of Energy Projects | Division of Environmental and Engineering Review | No | | | | | | | |
| 236 | Dhir, Tilak | Office of Energy Projects | D2SI, SFRO Office | No | | | | | | | |
| 237 | Dickey, David R | Office of General Counsel | General and Administrative Law | Yes | Enron-DC | Commissioner Breathitt | Invitation to Inaugural Brunch at Red Sage Restaurant. | | | Drafted memorandum approving acceptance of invitation. | Approval was based on my conversation with Amy Ammerman of Enron's DC office, who advised that the per capita cost of event was $35.00; 300 or more persons to attend, representing wide variety of government and private sector interests. All invited as guests of Enron. Thus widely-attended gathering. On basis of above, we concluded that acceptance of the invitation was unlikley to raise concerns that the Commissioner's attendance might improperly influence the performance of her official duties. |

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| 238 | Dickinson, Gloria | Office of the Chief Information Officer | IT Infrastructure & Operations | No | | | | | | | |
| 239 | Dodson, Bonnie | Office of the Executive Director | Financial Policy | No | | | | | | | |
| 240 | Donaho, Jack E. | Office of Energy Projects | DPC | Yes | Steve Veatch of FGT | Jack Donaho | Requesting clarification of a question asked in a Commission data request sent in relation to Docket No. CP00-40. | | | Clarification was provided. | |
| 241 | Donaho, Jack E. | Office of Energy Projects | DPC | Yes | Jack Donaho | Rob Kilmer with FGT | Clarifying information to be filed by Florida Gas Transmission in its pending amendment. | | | No | The amendment was filed on 8/1/2000 in CP00-40-001. |
| 242 | Donnini, Michael A. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - Central | No | | | | | | | |
| 243 | Donovan, Nora E. | Office of the Secretary | Office of the Secretary | No | | | | | | | |
| 244 | Dorsey, Lisa E | Office of the Executive Director | DLM | No | | | | | | | |
| 245 | Dowd, Judith A. | Office of Administrative Law Judges | Office of Administrative Law Judges | No | | | | | | | |

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| 246 | Dragg, Joe L. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - West | No | | | | | | | |
| 247 | Dubbert, Janet M | Office of the Executive Director | Management, Administrative, and Payroll Support | No | | | | | | | |
| 248 | Dunlap, David W. | Office of General Counsel | Energy Projects | No | | | | | | | |
| 249 | Dunn, Brian J. | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates (West) | No | | | | | | | |
| 250 | Dunn, Shannon K | Office of Energy Projects | DEER | No | | | | | | | |
| 251 | Dupree, Susan | Office of the Chief Information Officer | Knowledge and Information Management | No | | | | | | | |
| 252 | Earley, Wilbur C. | Office of Commissioner Massey | N.A. | No | | | | | | | |
| 253 | Easton, Robert S. | Office of Energy Projects | Environmental and Engineering Review | No | | | | | | | |

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| 254 | Eckell, Mark B. | Office of the Executive Director | Logistics Management | No | | | | | | | |
| 255 | Ecton, Henry | Office of Energy Projects | Hydropower Administration and Compliance | No | | | | | | | |
| 256 | Edoror, Samuel E | Office of Energy Projects | Division of Hydropower Administration and Compliance | No | | | | | | | |
| 257 | Eichbauer, Jill A | Office of Energy Projects | D2SI | No | | | | | | | |
| 258 | Ekzarkhov, Vladimir | Office of Markets, Tarriffs, and Rates | Litigation | No | | | | | | | |
| 259 | Eley, Patricia P. | Office of Markets, Tarriffs, and Rates | Rates - West | No | | | | | | | |

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| 260 | Ellis, Doretha | Office of the Chief Information Officer | Customer Support & IT Deployment Services | No | | | | | | | |
| 261 | Emami, John | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - East | No | | | | | | | |
| 262 | Emery, Lee | Office of Energy Projects | DEER | No | | | | | | | |
| 263 | Enrico, J | Office of Energy Projects | DHAC | No | | | | | | | |
| 264 | Eskin, Steven J | Office of the Executive Director | ORGANIZATIONAL MANAGEMENT | No | | | | | | | |
| 265 | Fargo, James M. | Office of Energy Projects | DEER | No | | | | | | | |
| 266 | Farran, Maria S. | Office of General Counsel | Energy Projects | Yes | | Chance meeting in FERC cafeteria (Sunrise Cafe) with Ray Alvarez of Enron. Also present were David Faerberg and Bob McLean. | Small talk. Nothing work related. | | summer 2001 | No. | |
| 267 | Farrokhpay, Saeed | Office of Markets, Tarriffs, and Rates | DPIC | No | | | | | | | |

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| 268 | Faust, Charles J. | Office of Markets, Tarriffs, and Rates | Market Information | No | | | | | | | |
| 269 | Fawcett, Rhoda L. | Office of the Executive Director | Human Resources Management | No | | | | | | | |
| 270 | Fayyad, Mohamad | Office of Energy Projects | Division of Hydropower Administration and Compliance | No | | | | | | | |
| 271 | Feeney Jr. , James P. | Office of the Executive Director | N/A | No | | | | | | | |
| 272 | Felt, Paula J. | Office of External Affairs | External Affairs | No | | | | | | | |
| 273 | Ferebee, Melissa G | Office of the Chief Information Officer | System Assurance | No | | | | | | | |
| 274 | Ferguson, Linda | Office of the Chief Information Officer | Customer Support | No | | | | | | | |

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| 275 | Fernandez, Alice M | Office of Markets, Tarriffs, and Rates | Division of Rates and Tariffs-East | Yes | verious Enron Employees | self | Although I have no clear memory, and have found no additional records, of meetings and communications with Enron officers and employees, I have had a number of these in the following categories: 1) Informal conversations, initiated by Enron employees who have called, or asked, questions about Commission Policy, reactions to proposals, and to set up pre-filing meetins. 2) Other informal contact, at social events hosted by INGAA, by Enron, and by other organizations, e.g. holiday parties and receptions, and briefings on new studies and reports. 3) Attendance at regulatory affairs conferences throughout this period hosted by INGAA, AGA, NGSA, and others. | January 1992-2001 | no | | |
| 276 | Finger, Lorena D. | Office of Markets, Tarriffs, and Rates | Administration and Case Tracking | No | | | | | | | |

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| 277 | Fischer, Stuart | Office of Administrative Law Judges | Market Oversight and Enforcement | Yes | Joe Hartsoe and Christi Nicolay, EPM | Stuart Fischer, Andrea Wolfman, W. Scott Millerm Stanley Wolf | Enron Power Marketing responses to staff data requests concerning general investigation into Bulk Power Markets in the Midwest. | 10/10/2000 | | This information, as well as information submitted by other entities, assisted Staff in preparing its November 1, 2000 report on the Bulk Power Markets. | Enron Power Marketing requested confidentiality for this data pursuant to 18 C.F.R. 388.112. |
| 278 | Fischer, Stuart | Office of General Counsel | Market Oversight and Enforcement | Yes | Ray Alvarez, Enron | Stuart Fischer, FERC; Alan Commes, Hap Boyd, Tom Biernat of Enron | E-mail confirming telephone conversation earlier that day concerning the applicability of FERC's California generator outage reporting requirements to wind units. | | | Staff sent a reply e-mail. | |
| 279 | Fischer, Stuart | Office of General Counsel | Market Oversight and Enforcement | Yes | Stuart Fischer | Ray Alvarez, Vice President, Federal Regulatory Affairs, Enron | E-mail regarding an Enron wind-powered generation unit in California. | | | No. | |
| 280 | Fischer, Stuart | Office of General Counsel | Market Oversight and Enforcement | | Ray Alvarez, VP Fed. Reg. Affairs,Enron | Stuart Fischer; Alan Commes, Hap Boyd, Tom Biernat from Enron. | E-mail responding to question concerning an Enron wind-powered generation unit in California. | | | No. | |
| 281 | Fitzgerald, Helen T. | Office of Commissioner Brownell | N/A | No | | | | | | | |
| 282 | Flanders, Robert A. | Office of Markets, Tarriffs, and Rates | Policy | Yes | Shelley Corman - Enron | Robert Flanders | Question regarding filing requirements under Order No. 637 dealing with affiliations between releasing and replacement shippers. | 2/14/2000 | | no | I was listed as the point of contact for Order No. 637. |

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| 283 | Flanders, Robert A. | Office of Markets, Tariffs, and Rates | Policy | Yes | Steve Veach - Enron | Robert Flanders | phone messages exchanged Question regarding filing requirements under Order No. 637 dealing with affiliations between releasing and replacement shippers. | 4/1/2000 | | no | I was listed as the point of contact for Order No. 637. |
| 284 | Fludd, Patricia Ingrid | Office of Markets, Tariffs, and Rates | RAte Filings: EAst | No | | | | | | | |
| 285 | Foley, Richard W. | Office of Energy Projects | Pipeline Certificates | Yes | Randall S. Rich - Bracewell & Patterson | Richard W. Foley | Pre-filing legal research on the exclusive economic zone boundary between USA and Bahamas | | | Staff "required" Presidential Permit application to be filed as part of the Calypso Pipeline project. | Bracewell & Patterson represents Calypso |
| 286 | Ford, Peggy M. | Office of Markets, Tariffs, and Rates | Administration and Case Tracking | No | | | | | | | |
| 287 | Foremen, Florisa G. | Office of the Secretary | Registry | No | | | | | | | |
| 288 | Forsberg, Rene' Linn | Office of Markets, Tariffs, and Rates | Chief Economic Advisor | No | | | | | | | |
| 289 | Foster Jr., William Dougl | Office of the Executive Director | Division of Financial Policy | No | | | | | | | |
| 290 | Foster, Etta L. | Office of Energy Projects | DHAC | No | | | | | | | |

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| 291 | Fowlkes Sr., Edward J. | Office of Energy Projects | DPC | Yes | Edward J. Fowlkes, Sr. | Stephen T. Veatch,Director Certificates and Regulatory Reporting Florida Gas Transmission Co. 1400 Smith Street, suite 3997 PO Box 1188 Houston, TX 77251-1188 | Provision of described information to assist in Docket No. CP02-27-000's proposal. | | | See 02/27/02 Agenda Item C-5 | Response dated\received 01/28/02. RIMS Submittal 20020130-0308 responding to 01/11/02 RIMS Issuance 20020114-0223. |
| 292 | Frailey, David G. | Office of the Chief Information Officer | Network Operations | No | | | | | | | |
| 293 | Fralin, Phillip A. | Office of the Executive Director | Human Resources and Organizational Management | No | | | | | | | |
| 294 | Francese, Albert J. | Office of General Counsel | Energy Projects | No | | | | | | | |
| 295 | Fray, Jackson L. | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates East | No | | | | | | | |
| 296 | Frazier, Deborah A. | Office of Markets, Tarriffs, and Rates | Central 1 | No | | | | | | | |
| 297 | Freeman, Wanda B. | Office of the Chief Information Officer | Office of the Chief Information Officer | No | | | | | | | |

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| 298 | French, E. Louise | Office of Markets, Tarriffs, and Rates | DACT | No | | | | | | | |
| 299 | Friedman, Paul D. | Office of Energy Projects | DEER | No | | | | | | | |
| 300 | Froehlich, Jane E | Office of General Counsel | Division of Administrative Litigation | Yes | Dan Witkiss | Jane Froehlich | Holiday Greetings | | Winter 01-02 | No | |
| 301 | Froehlich, William J. | Office of General Counsel | Administrative Litigation | Yes | William Froehtich or FGT officers | William Froehlich and FGT officers | Telephone negotiations to resolve 3 or 4 docketed rate and accounting cases. | | 1995-1997 | The case settled and was approved by the Commission. | I do not remember the substance of the discussions or the exact dates. |
| 302 | Froehlich, William J. | Office of General Counsel | Administrative Litigation | Yes | Joe Hartsoe | William Froehlich | Holiday Greetings | | 1992-2001 | No | |
| 303 | Fuller, Amanda C. | Office of the Executive Director | STARS program | No | | | | | | | |
| 304 | Fulton, Robert W | Office of Markets, Tarriffs, and Rates | Rates - Central | No | | | | | | | |
| 305 | Gaedeke, Erich G. | Office of Energy Projects | DHAC | No | | | | | | | |
| 306 | Gall, Eugene B. | Office of Energy Projects | Dam Safety and Inspections | No | | | | | | | |

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| 307 | Gallick, Edward C. | Office of Markets, Tarriffs, and Rates | Market Development | No | | | | | | | |
| 308 | Gallo, David M. | Office of Energy Projects | DEER | Yes | same as above | Transwestern, Florida Gas, Northern Border, Northern Natural | Environmental compliance | 1994-2001 | | | |
| 309 | Ganey, Gregg M | Office of Markets, Tarriffs, and Rates | CENTRAL, GROUP II | No | | | | | | | |
| 310 | Gargon III, Emory E. | Office of the Chief Information Officer | Customer Support | No | | | | | | | |
| 311 | Garner,Christina M. | Office of the Executive Director | Personnel /HRD | No | | | | | | | |
| 312 | Garrison, Janice K. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | FERC Staff/Florida Gas Transmission | FERC Staff/Florida Gas Transmission | Data request/responses, settlement offers in conjunction with consolidated rate proceeding in Docket Nos. RP96-366-000 and FA94-15-002. | | October 1996-August 1997 | September 24, 1997 Commission Order approving Settlement filed on August 5, 1997. | |
| 313 | Gasser, Kristin | Office of Administrative Law Judges | | No | | | | | | | |
| 314 | Gasteiger, Larry D. | Office of General Counsel | Solicitor's Office | No | | | | | | | |

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| 315 | Gastilo, Jane Carmen | Office of General Counsel | Administrative Litigation | Yes | Enron Power Marketing, Inc. | Judge Cowan and the Staff | Judge Cowan invited the parties to submit position papers in advance of the December 20, 1999 settlement conference in EL99-93 | | | No, this was a settlement judge proceeding. | This communication is privileged and confidential |
| 316 | Gavelek, Donald P | Office of Markets, Tarriffs, and Rates | Case Tracking | No | | | | | | | |
| 317 | Gekas, James C. | Office of Administrative Law Judges | OALJ | No | | | | | | | |
| 318 | Gelinas, Donald J. | Office of Markets, Tarriffs, and Rates | Associate Director | No | | | | | | | |
| 319 | Giblin, Karen A | Office of Markets, Tarriffs, and Rates | Market Information | No | | | | | | | |

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| 320 | Gilbert, Linda S. | Office of General Counsel | Energy Projects | Yes | Tom Mark, Portland General Electric | Linda Gilbert, FERC | Tom Mark telephoned me with some general procedural questions about how the Commission handles Endangered Species Act issues and consultation in a post-licensing context. I referred him to the Interagency Task Force Report on Improving Coordination of ESA Section 7 Consultation with the FERC Licensing Process, issued on December 8, 2000. | | Sometime during 2001. | No. | |
| 321 | Gilliar, Geoffrey G | Office of the Executive Director | MAPS | No | | | | | | | |
| 322 | Gilmore, Edith A. | Office of General Counsel | Administrative Litigation | Yes | FERC Trial Staff/Northern Natural Gas Co | FERC Trial Staff/Northern Natural Gas Co. | data requests/responses, settlement offers im conjunction with the rate proceeding in Docket No. RP98-203-000, Northern Natural Gas Co. | | Various dates between May 1998 and April 1999 | No. | |
| 323 | Gilmore, Edith A. | Office of General Counsel | Administrative Litigation | Yes | FERC Trial Staff | Counsel for Enron North America Corp. | Procedural questions regarding timing of review of discovery responses in Docket No. RP00-241-000, El Paso Natural Gas Co. | | May-June 2001 | No. | Enron North America Corp.(Enron) intervened in Docket No. RP00-241-000, El Paso Natural Gas Co.; Counsel for Enron entered an appearance, but did not otherwise actively participate in the proceeding (i.e., did not file testimony, conduct cross-examination at the hearing, or file briefs). |

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| 324 | Gilmore, Edith A. | Office of General Counsel | Administrative Litigation | Yes | FERC Trial Staff/Overthrust Pipeline Co. | Overthrust Pipeline Company/FERC Trial Staff | Data requests/responses, settlement offers in conjunction with the rate proceeding in Docket No. RP00-2-000 | | Various dates between November 1999 and March 2000 | No. | |
| 325 | Gilmore, Relonna J. | Office of External Affairs | Office of External Affairs | No | | | | | | | |
| 326 | Gilpin, Timothy A. | Office of Markets, Tarriffs, and Rates | West Group 4 | No | | | | | | | |
| 327 | Glasgow, Donna J. | Office of Commissioner Massey | | Yes | Joe Hartsoe | Donna Glasgow | Called to schedule a meeting for Stan Horton re: GISB. | | | No | Meeting cancelled. |
| 328 | Glasgow, Donna J. | Office of Commissioner Massey | | Yes | Joe Hartsoe | Donna Glasgow | Joe Hartsoe called on 1/5/98 to schedule a meeting for Jeff Skillings, Steve Kean, maybe Kevin Hannon and himself regarding the electric market industry. | | | No | Meeting cancelled due to illness. |

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| 329 | Glasgow, Donna J. | Office of Commissioner Massey | | Yes | Donna Fulton | Donna Glasgow | Telephone call regarding the INGAA Regulatory Conference | 5/11/2000 | | No | Since working for Commissioner Massey from May 1993 to the present, I have spoken with a number of people that worked for Enron (Joe Hartsoe, Donna Fulton, Nancy Bagot, Lisa Yoho, and others that I do not remember) to schedule appointments and take phone messages for Commissioner Massey. Also, in my absence, Valerie Mersier would schedule appointments, but I was the primary scheduler for Commissioner Massey. |
| 330 | Glasgow, Rossell | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates -East | No | | | | | | | |
| 331 | Godding, George A. | Office of Markets, Tarriffs, and Rates | Market Information | No | | | | | | | |
| 332 | Goggins, Charles P. | Office of Energy Projects | Dam Safety and Inspections | No | | | | | | | |
| 333 | Goldenberg, Michael | Office of General Counsel | Markets Tariffs and Rates | Yes | | Shelley Korman | Development of GISB standards | | periodic over the years | No. | |

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| 334 | Goldenberg, Michael | Office of General Counsel | Markets, Tariffs, and Rates | Yes | Joe Hartsoe | Michael Goldenberg | Discussions of GISB issues | | throughout the period | No specific action | |
| 335 | Goldup, Marianne D. | Office of Energy Projects | Certificates I | No | | | | | | | |
| 336 | Goodman, Grace E. | Office of Markets, Tarriffs, and Rates | Rates - East | | Enron Pipeline Subsidiary | self | Holiday greeting card | | 1992-1995 | No | |
| 337 | Goodman, Grace E. | Office of Markets, Tarriffs, and Rates | Rates - East | | Enron Pipeline Subsidiary | self | Invitation to receptions for FERC employees | | 1992-2001 | No | |
| 338 | Goodson, Andre, A. | Office of General Counsel | MTR | No | | | | | | | |
| 339 | Gorton, Ann E. | Office of General Counsel | General & Administrative Law | No | | | | | | | |
| 340 | Gotzmer, Jerrold W. | Office of Energy Projects | Division of Dam Safety and Inspections (D2SI) | Yes | Gus Tjoumas - Director, D2SI | Jerry Gotzmer | Gus Tjoumas periodically asked for my review and comment of draft letters addressing the technical issues regarding the Probable Maximum Flood study for the Round Butte Project, FERC No. 2030. The final letter would eventually be sent from Harry Hall, Regional Engineer, D2SI-PRO to Portland General Electric Company. | | Year 2000 | Eventual resolution of the technical issues regarding the Probable Maximum Flood. | |

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| 341 | Gramlich, Robert E. | Office of the Chairman | Advisor | | Sarah Novosel, Enron | Robert Gramlich | Request to meet the Chairman to discuss expedited treatment of the UBS application for a certificate to sell power under market based rates. | | Mid-February 2002 | Meeting request declined. | |
| 342 | Gramlich, Robert E. | Office of the Chairman | Advisor | | Tim Belden, Enron | Robert Gramlich | I asked for recommendations on energy risk management textbooks and he gave me some. | | 1997 or 1998 | Employed in FERC's Office of Economic Policy at the time. | |
| 343 | Gramlich, Robert E. | Office of the Chairman | Advisor | | Scott Miller, FERC | Enron | I passed on to Scott Miller a request from the Chairman to call Enron to ask for updates on whether customers were experiencing interruption of physical service as a result of the Enron bankruptcy. Scott had some officials on the phone and I walked into his office and said a few words to the effect that we would like regular reports. Scott continued to receive and forward reports on this. Joe Hartsoe who I believe represented the pipeline called me a few times over the ensuing few days and said each time that there had been no physical deliver interruptions. I thanked him and asked that he make sure Scott Miller received the information. | 11/28/2001 | | | |

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| 344 | Gramlich, Robert E. | Office of the Chairman | Advisor | | Robert Gramlich | Alan Comnes and Tim Belden of Enron | Western grid characteristics, property rights transmission | 10/17/2001 | | No | Email to former UC Berkeley classmate and Lawrence Berkeley National Lab Manager |
| 345 | Gramlich, Robert E. | Office of the Chairman | Advisor | | Alan Comnes | Robert Gramlich | Western grid characteristics, property rights transmission | 10/17/2001 | | No | E-mail |
| 346 | Gramlich, Robert E. | Office of the Chairman | Advisor | | Robert Gramlich | Alan Comnes | Western grid characteristics, property rights transmission | 10/18/2001 | | No | E-mail |
| 347 | Gramlich, Robert E. | Office of the Chairman | Advisor | | Sarah Novosel | Robert Gramlich | RTO West Meeting | 10/23/2001 | | No | E-mail |
| 348 | Gramlich, Robert E. | Office of the Chairman | Advisor | | Robert Gramlich | Sarah Novosel | RTO West Meeting | 10/23/2001 | | No | E-Mail |
| 349 | Gramlich, Robert E. | Office of the Chairman | Advisor | | Robert Gramlich | Alan Comnes | Request to meet . | 10/29/2001 | | No | E-mail to former Manager at Lawrence Berkeley National Laboratory |
| 350 | Gramlich, Robert E. | Office of the Chairman | Advisor | | Alan Comnes | Robert Gramlich | To Meet on 10/30 | 10/29/2001 | | No | E-Mail |
| 351 | Gramlich, Robert E. | Office of the Chairman | Advisor | | Robert Gramlich | Alan Comnes | To Meet on 10/30 | 10/29/2001 | | No | E-Mail |
| 352 | Gramlich, Robert E. | Office of the Chairman | Advisor | | Alan Comnes | Robert Gramlich | To Meet on 10/30 | 10/29/2001 | | No | E-Mail |

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| 353 | Gramlich, Robert E. | Office of the Chairman | Advisor | | Sarah Novosel | Robert Gramlich | Saw in hall after a FERC meeting and I asked if she knew who organized the stakeholder meetings for RTO West. | | Approx. 10/23/2001 | No | |
| 354 | Gransee, Marsha | Office of General Counsel | Associate General Counsel, Gas & Oil | Yes | Mary Hain | Marsha Gransee | two personal telephone conversations | | 1996-97 2000-present | No | Ms. Hain and I worked together when she was a FERC employee and we have stayed in touch. These conversations were: when Enron acquired Portand General and when Ms. Hain left Portland General. |
| 355 | Gransee, Marsha | Office of General Counsel | Deputy General Counsel | Yes | Donna Fulton | Marsha Gransee | Donna called to tell me that she accepted a new position with an electric utility in the NE. | | 1999-present | No | This phone call was received at the end of last year or the beginning of this year (2002). |
| 356 | Gransee, Marsha | Office of Chair Betsy Moler | personal staff | | Joe Hartsoe, Donna Fulton | Marshal Gransee | Notice of filings made by Enron-affiliated pipeline companies | | 1993-1994 | No | I was notified of filings made by Enron-affiliated pipeline companies either by the dropping off of a filing, or by telephone several times while I was working on Chairman Betsy Moler's personal staff during this time period. |
| 357 | Gratchen, Mark E | Office of Markets, Tarriffs, and Rates | Division of Market Information | No | | | | | | | |
| 358 | Graves, Rose Mary | Office of the Chief Information Officer | Systems Assurance Office | No | | | | | | | |

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| 359 | Gray, Charles W. | Office of Energy Projects | Pipeline Certificates | No | | | | | | | |
| 360 | Gray, Tiffany M. | Office of the Secretary | Registry and Service Branch | No | | | | | | | |
| 361 | Green, Douglas M. | Office of Markets, Tarriffs, and Rates | Division of Litigation | No | | | | | | | |
| 362 | Green, Jonas P. | Office of the Executive Director | Division of Regulatory Audits | No | | | | | | | |
| 363 | Green, Jonas P. | Office of the Executive Director | Division of Regulatory Audits | No | | | | | | | |
| 364 | Greenbaum, Alan | Office of General Counsel | Energy Projects | Yes | Alan Greenbaum | Julie Keil, Director, Hydro Licensing and Water Rights, Portland General Electric Tom Mark, Attorney for Portland General Electric | E-mail regarding the relicensing, transfer, and surrender (including dam removal) of the license for Portland General Electric's Bull Run Project No. 477, in particular, the applicant-prepared environmental assessment process and its application to the license surrender process. | | | No. | |

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| 365 | Greenfield, Lawrence R. | Office of General Counsel | Markets, Tariffs & Rates | No | | | | | | | While it is possible that since 1992 I have received one or more telephone calls from Enron representatives (I typically receive anywhere from 1 to 4 calls a day from attorneys, etc. on the "outside" with questions about Commission policies and practices) |
| 366 | Greer, Brenda L. | Office of General Counsel | General and Administrative Law | No | | | | | | | |
| 367 | Griebenow, Kevin C | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |
| 368 | Griffith, Donald W. | Office of Energy Projects | D2SI-PRO | No | | | | | | | |
| 369 | Griffith, L. Ann | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - West | No | | | | | | | |
| 370 | Gross, Anthony M | Office of the Executive Director | MAPS | No | | | | | | | |
| 371 | Gross, Edward, A | Office of Markets, Tarriffs, and Rates | Wast 1 | No | | | | | | | |

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| 372 | Grossman, Herbert | Office of Administrative Law Judges | ALJ | Yes | Counsel for EOTT Energy Operating P'ner | I, the Presiding Judge, in EOTT Energy Operating Partnership v. Conoco Pipe Line Company, Docket No. OR00-11-000. | An answer joining in Conoco Pipe Line's motion to modify the procedural schedule I had established in the proceeding. | 12/14/2000 | | I modified the procedural schedule by order, dated December 20, 2000. | Counsel for EOTT submitting the Answer were Alber Tabor, Esq. and Charles F. Caldwell, Esq. of Vinson & Elkins. |
| 373 | Grossman, Herbert | Office of Administrative Law Judges | ALJ | Yes | Counsel for EOTT Energy Operating Ltd. | I, the Presiding Judge in EOTT Energy Operating Limited Partnership v. Conoco Pipe Line Company, Docket No. OR00-11-000. | Notice of EOTT Energy Operating Limited Parnership of Withdrawal of Complaint | 1/11/2001 | | After a telephone conference call with all the participants in the proceeding and receiving their briefs on the matter, I issued an order certifying the automatically terminated proceeding back to the FERC Commission, on January 30, 2001. | |
| 374 | Gruenke, Diane M. | Office of Markets, Tarriffs, and Rates | Central | No | | | | | | | |
| 375 | Gruenke, Steven J | Office of Markets, Tarriffs, and Rates | Division of Tariffs & Rates -- East | No | | | | | | | |
| 376 | Guest, James K | Office of the Executive Director | Regulatory Accounting Policy | Yes | Anthony Gin (Portland General Elec) | me | Accounting for derivatives when the transactions are undertaken as part of regulated utility operations. | | Sometime in 2001 calender year | no | |
| 377 | Guest, James K | Office of the Executive Director | Regulatory Accounting Policy | Yes | Bob Chandler, Controller Northern Nat. | Jim Guest | Enron's financial difficulties and the effect on Enron's juridictional gas pipelines | | | no | |

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| 378 | Guest, Wayne R | Office of Markets, Tariffs, and Rates | Division of Tariffs and Rates - Central | Yes | Donna Fulton | Wayne R. Guest | Communications were typically personal since Donna has been a personal friend for about 20 years. I recall on perhaps 2 or 3 occassions that she advised me, as a courtesy, that one of the Enron affiliated natural gas pipelines had filed either applications or pleadings in proceedings before the Commission. | | various over the 10-year span of the request. | No | |
| 379 | Guest, Wayne, R | Office of Markets, Tariffs, and Rates | Division of Tariffs and Rates - Central | Yes | Teb Lokey | Wayne R. Guest | In various telephone conversations Mr. Lokey, on behalf of Florida Gas Trasnmission, asked me a number of questions about tariff pagination particulars so that FGT coulds successfully comply with Commission orders issued with respect to FGT's electronic tariff. | | 1994 -1996, perhaps | Not as a direct result of the communication. But I imagine that at a time subsequest to the communication, FGT filed compliance tariff sheets displaying the pagination I had discussed with Mr. Lokey and that the Commission acted upon those tariff sheets | These types of communications are not uncommon and provide regulated companies with guidance regarding simple compliance matters. |
| 380 | Guey-Lee, William Y | Office of Energy Projects | DHAC | No | | | | | | | |
| 381 | Gunaratne, Athula | Office of the Executive Director | Division of Regulatory Audits | No | | | | | | | |
| 382 | Gwynn, Robert, B | Office of Energy Projects | DEER | No | | | | | | | |
| 383 | Hall, Charles R | Office of Energy Projects | DEER | No | | | | | | | |

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| 384 | Hall, Jason C.I | Office of the Executive Director | OED - MAPS | No | | | | | | | |
| 385 | Hall, Marie-Beth | Office of Markets, Tarriffs, and Rates | Office of Chief Economic Advisor | No | | | | | | | |
| 386 | Hamilton, Joseph | Office of the Chief Information Officer | OCIO | No | | | | | | | |
| 387 | Hamilton-Graham, Deen | Office of the Executive Director | FINANCIAL SERVICES | No | | | | | | | |
| 388 | Hammack, Robert E. | Office of Markets, Tarriffs, and Rates | Litigation | No | | | | | | | |
| 389 | Handley, Charlotte A. | Office of the Executive Director | Procurement | No | | | | | | | |
| 390 | Hannan, Charles F | Office of Markets, Tarriffs, and Rates | Central | No | | | | | | | |

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| 391 | Hannan, Darla E. | Office of Markets, Tarriffs, and Rates | Division of Administration & Case Tracking | No | | | | | | | |
| 392 | Harding, Peggy A. | Office of Energy Projects | Dam Safety and Inspections | No | | | | | | | |
| 393 | Harding, Richard C. | Office of the Executive Director | DLM | No | | | | | | | |
| 394 | Harfeld, David I. | Office of Administrative Law Judges | n.a. | No | | | | | | | |
| 395 | Harley, Dora C. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates Central | No | | | | | | | |

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| 396 | Harrington, Brian P | Office of Markets, Tariffs, and Rates | Energy Markets | Yes | Brian Harrington | Mary Hain and Chris Stokley | Data Request for FERC Western Bulf Power Investigation 2000 | | 8/2000 - 10/2000 | No | Performed analysis of the Bulk Power Markets in the Western United States. The analysis period was from June 2000 through November 2000. The scope of the analysis was to provide an assessment of the condition of the western electric markets coupled with emerging market issue. I played two roles in this analysis: First, I was the point of contact for the data requests sent to all of the companies under review. This included Duke, Reliant, Southern Energy, Williams, PG&E Energy, Enron, Dynegy, Calpine, Constellation, NRG Energy, Thermo Ecotek, San Diego Gas and Electric, Southern California Edison, Pacific Gas and Electric. The contact people from Enron Corporation included Mary Hains and Chris Stokley. My other role involved analysis of specific market conditions. |
| 397 | Harris, Sherelle | Office of the Executive Director | MAPS | No | | | | | | | |

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| 398 | Hart-Harris, Yolanda Che | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates West | No | | | | | | | |
| 399 | Hartmann,Shelley | Office of the Executive Director | MAPS | No | | | | | | | |
| 400 | Hastreiter, James, L. | Office of Energy Projects | Environmental and Engineering Review | Yes | John Esler-Project Manager | Jim Hastreiter | Bull Run Project (p-477) decommissioning | | 06/01/2000 - 12/02/2001 | No | These communications were monthly phone calls concerning status of the decommissioning process. |
| 401 | Hasty, Adrian Ronald | Office of Markets, Tarriffs, and Rates | Tariffs and Rates Central Branch | No | | | | | | | |
| 402 | Hathaway, Merrill | Office of General Counsel | Energy Projects (GC-11) | Yes | Arnold Podgorsky, Esq. | Merrill Hathaway | The Commission's legal jurisdiction to license the proposed Lake Elsinore Advanced Pumped Storage Project. | | 09/20/00 to 09/27/00 | A meeting on 09/19/00 preceded this communication, which was followed by a fax from Mr. Podgorsky on 09/27/00. The Commission responded to Mr. Podgorsky on 09/28/00 with a letter. See response of Lon Crow. | This was a telephone conversation about a pre-licensing process concerning a potential hydropower application to the Commission, and relates to the meeting of Commission staff with representatives of Enron North America Corp. and other interested entities on 09/19/00. See response of Lon Crow. |

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| 403 | Hawk, John K. | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |
| 404 | Haymes, Alan D. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - Central | Yes | Jeffrey Watkiss, Esq. (Bracewell and Pat | Alan Haymes | Attorney for Enron contacted me because he thought I might have a case citation that he could use in EL98-12-000. No substantive issues were discussed. | | 05/19/1997-05/23/1997 | No. | |
| 405 | Haymes, Alan D. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - Central | Yes | Alan Haymes, FERC | Joseph Hartsoe, Enron | One of numerous contacts by FERC Staff to various industry representatives, including marketers, state commissions, integrated utilities, customer groups, etc. to discuss the implementation of Order No. 2000, especially the formation of Regional Transmission Organizations. Part of a collaborative effort among FERC Staff and potential RTO stakeholders. | 1/10/2000 | | No. | |

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| 406 | Haymes, Alan D. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - Central | Yes | Alan Haymes, FERC | Joseph Hartsoe, Enron Washington | One of numerous contacts by FERC Staff to various industry representatives, including marketers, state commissions, integrated utilities, customer groups, etc. to discuss the implementation of Order No. 2000, especially the formation of Regional Transmission Organizations. Part of a collaborative effort among FERC Staff and potential RTO stakeholders. | 3/8/2000 | | No. | |
| 407 | Haymes, Alan D. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - Central | Yes | Alan Haymes, FERC | Joseph Hartsoe, Enron Washington | One of numerous contacts by FERC Staff to various industry representatives, including marketers, state commissions, integrated utilities, customer groups, etc. to discuss the implementation of Order No. 2000, especially the formation of Regional Transmission Organizations. Part of a collaborative effort among FERC Staff and potential RTO stakeholders. | 6/13/2000 | | No. | |
| 408 | Hecht, Rachel R. | Office of General Counsel | OGC-MTR | No | | | | | | | |

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| 409 | Hedberg, Daniel T. (Line 1 of 2) | Office of Markets, Tarriffs, and Rates | Rates West | Yes | | | | | July 1992 - December 2001 | | At various times, during the period July 1992 through December 2, 2001, I have been one of several FERC contacts for the electric utility industry on Corporate Applications filed pursuant to section 203 of the FPA. During the period of May 1997 to September 2001, I served as a Group Manager in the Division of Corporate Applications. In these roles I have had many pre-filing meetings (initiated by potential applicants) and some case specific technical conferences (initiated by the Commission) with a multitude of potential applicants, current applicants and other parties, including Enron among others. I also served as a participant in the Order No. 888 rulemaking process, during and after which I attended several meetings of broad scope attended by dozens of utilities and utility groups. (Cont'd in cell below) |

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| 410 | Hedberg, Daniel T. (Line 2 of 2) | Office of Markets, Tarriffs, and Rates | | | | | | | | | (Cont'd) Prefiling meetings were generally related to proposed applications, what specifically was required to be included in the filings and whether such filings were needed. Many of the prefiling meetings concerned the effect of the proposed transaction on competition. No action was taken by the Commission regarding these meetings. Technical conferences were typically held to discuss specific issues raised by the Commission in an order, or generic issues affecting the industry in the rulemaking context. Commission action regarding these meeting varied depending on the outcome of these meetings. I have no records with regards to any of these meetings to provide any more specific or detailed responses. |

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| 411 | Hedberg, Daniel T. (Line 1 of 2) | Office of Markets, Tarriffs, and Rates | Rates West | Yes | | | | | July 1992 - December 2001 | | At various times, during the period July 1992 through December 2, 2001, I have been a FERC contact for the electric utility industry on (1) Order No. 888 tariff matters and (2) Corporate Applications filed pursuant to section 203 of the FPA. I therefore have had many meetings with a multitude of parties including Enron among others. With respect to the tariff matters, I was listed as the technical contact in Order No. 888 and over the years have fielded hundreds of questions over the telephone from a variety of entities, including Enron. With respect to Corporate Applications, I was a Group Manager of the Division of Corporate Applications. In such role, I received hundreds of questions over the telephone regarding the status of pending Corporate Applications, or general questions about making an application with the Commisison, again including calls from Enron. (Cont'd in cell below) |

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| 412 | Hedberg, Daniel T. (Line 2 of 2) | Office of Markets, Tarriffs, and Rates | | | | | | | | | (Cont'd) Any of the above communications I had with Enron representatives were initiated by Enron. I was the recipient in all cases, unless a question posed required research and a return phone call. I either responded to the questions (as a FERC Staff response) or suggested they contact someone else within the agency if I could not answer the question myself. My responses to the telephone calls mentioned above were typically either related to specific Order No. 888 questions or questions regarding how to make an application under section 203. I have no records with regards to any of these meetings to provide any more specific or detailed responses. |
| 413 | Hedberg, Heidy L. | Office of the Executive Director | Administrative Support Staff | No | | | | | | | |
| 414 | Hegerle, Mark E. | Office of Markets, Tarriffs, and Rates | Issue Identification and Resolution Management | No | | | | | | | |

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| 415 | Helman, Udi | Office of Markets, Tarriffs, and Rates | Chief Economic Advisor | No | | | | | | | |
| 416 | Henry, Cynthia M | Office of General Counsel | MTR | No | | | | | | | |
| 417 | Herbert, W. Keith | Office of Markets, Tarriffs, and Rates | Rates - West | No | | | | | | | |
| 418 | Hernandez, Sheila D. | Office of Energy Projects | Division of Pipeline Certificates | No | | | | | | | |
| 419 | Hertling, Mary M. | Office of Energy Projects | DEER | Yes | same as above | Northern Natural | type of facility being constructed under prior notice | | | | |
| 420 | Hertling, Mary M. | Office of Energy Projects | DEER | Yes | same as above | Northern Natural | enviropnmental compliance | | | | |
| 421 | Hertling, Mary M. | Office of Energy Projects | DEER | Yes | same as above | Transwestern CP-327 | environmental compliance | | 5/95 - 10/96 | | |
| 422 | Hertling, Mary M. | Office of Energy Projects | DEER | Yes | same as above | Northern Natural CP96-660 | environmental compliance | | | | |
| 423 | Hertling, Mary M. | Office of Energy Projects | DEER | Yes | same as above | Northern Natural CP97-598 | environmental compliance | | | | |
| 424 | Hertling, Mary M. | Office of Energy Projects | DEER | Yes | same as above | Northern Natural CP98-146 | environmental compliance | | | | |
| 425 | Hertling, Mary M. | Office of Energy Projects | DEER | Yes | same as above | Northern Natural CP00-46 | environmental compliance | | | | |

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| 426 | Hertling, Mary M. | Office of Energy Projects | DEER | Yes | same as above | Florida Gas, Northern Natural, Transwestern, Black Marlin, Northern Border | annual reports under 157.207 and 284.11 environmental compliance | | 1994-2001 | | |
| 427 | Hertling, Mary M. | Office of Energy Projects | DEER | Yes | same as above | Florida Gas, Northern Natural, Transwestern, Black Marlin, Northern Border | annual reports under 157.207 and 284.11 environmental compliance | | 1994-2001 | | |
| 428 | Heydt, Donald A. | Office of General Counsel | MOE | Yes | Presiding Judge, Trial Staff, Northern Natural | Northern Natural Gas Co., Trial Staff, other parties, Presiding Judge | Northern Natural Gas Company rate case. Docket No. RP95-185 | | 1995-1996 | Yes. The Commission approved a settlement offer, ending the case. 76 FERC para. 61,109 | Communications included discovery, settlement docuements , prefiled testimony and pleadings related to the rate case. |
| 429 | Heydt, Donald A. | Office of General Counsel | MOE | Yes | Presiding Judge, Trial Staff, Enron Power Marketing, Inc | Enron Power Marketing, Inc., Trial Staff, other parties, Presiding Judge | California Power Exchange Corporation rate case, Docket Nos. ER98-210 and ER98-1729 | | 1997-1998 | No. A contested offer of settlement is pending before the Commission | Communications included discovery, settlement documents, prefiled testimony and pleadings related to the rate case. |
| 430 | Heydt, Donald A. | Office of General Counsel | MOE | Yes | Presiding Judge, Trial Staff, Portland General Electric Co. | Portland General Electric Co., Trial Staff, other parties, Presiding Judge | Sierra Pacific Power Co. transmission interconnection case, Docket No. ER99-28, et al | | 1999-2000 | No. An initial decision by the Presiding Judge is pending before the Commission | Communications included discovery, settlement documents, prefiled testimony and pleadings related to the case. |
| 431 | Heydt, Donald A. | Office of General Counsel | MOE | Yes | Presiding Judge, Trial Staff | Presiding Judge, Trial Staff, parties | PJM Interconnection, LLC rate case, Docket Nos. ER00-298- and EL00-41 | | 1999-2000 | Yes. The Commission approved a settlement offer, ending the case. 92 FERC para. 61,114 | Communications included discovery, settlement documents, prefiled testimony and pleading related to the rate case. |
| 432 | Heydt, Donald A. | Office of General Counsel | MOE | Yes | Presiding Judge, Trial Staff | Presiding Judge, Trial Staff, parties | California Independent System Operator Corporation rate case, Docket No. ER98-211 | | 1997-1998 | Yes. The Commission approved a settlement offer, ending the case. 83 FERC para. 61,247 | Communications included discovery, settlement documents, prefiled testimony and pleadings related to the rate case. |
| 433 | Higginbottom, Samuel L. | Office of General Counsel | MTR | No | | | | | | | |
| 434 | High, Shana, C | Office of Energy Projects | DHAC | No | | | | | | | |

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| 435 | Hill, Craig A | Office of Markets, Tarriffs, and Rates | Market Information | No | | | | | | | |
| 436 | Hill, Jennifer | Office of Energy Projects | Division of Environmental and Engirteering Review | No | | | | | | | |
| 437 | Hilliard, Andrea Riddle | Office of Commissioner Massey | Legal Advisor | Yes | Drew Fossum | Andrea R. Hilliard | Christmas Card for 2000 | | 12/1/2000 | No. | |
| 438 | Hilliard, Andrea Riddle | Office of Commissioner Massey | Legal Advisor | Yes | Donna Fulton | Andrea Hilliard | I do not recall, but given the time period, it could have involved the INGAA Regulatory Affairs Conference, which took place 5/17-19/2000. | | 5/31/2000 | No. | |
| 439 | Hinz, Andrew D. | Office of the Chief Information Officer | Systems ENgineering | No | | | | | | | |
| 440 | Hogan, Kenneth J. | Office of Energy Projects | Division of Engineering and Environmental Review | No | | | | | | | |
| 441 | Holden, Edwin W. | Office of General Counsel | Energy Projects | No | | | | | | | |

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| 442 | Hooks, Marcia C. | Office of General Counsel | Division of Litigation | Yes | Between Enron, Staff, and the parties | Enron, Staff and the intervenors | Settlement language regarding PG&E moving to a 12-CP (coincident peak) to develop retail transmission rates | | fall 1999 until early 2000 | No. | |
| 443 | Hooper, Mark R. | Office of Energy Projects | DHAC | No | | | | | | | |
| 444 | Hough, James L. | Office of Markets, Tarriffs, and Rates | Market Information | No | | | | | | | |
| 445 | Howard, William | Office of Markets, Tarriffs, and Rates | Market Development | Yes | Myself/Donna Fulton | Myself/Donna Fulton | Exchanged personal greetings (hello, how are you, etc.) in the hall with Donna Fulton, a former FERC employee who worked for Enron. | | 1992 - Present | | |
| 446 | Huang, James F | Office of Energy Projects | D2SI | No | | | | | | | |
| 447 | Huang, James F | Office of Energy Projects | D2SI | No | | | | | | | |
| 448 | Huber, Robert P. | Office of Administrative Law Judges | Office of Administrative Law Judges | No | | | | | | | |
| 449 | Hughes, Dawn W. | Office of Markets, Tarriffs, and Rates | DIVISION OF ADMINISTRATION AND CASE TRACKING | No | | | | | | | |

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| 1 | Name | Office | Division | (b) | I. Sender(s) of communication | II. The recipient(s) of the communication | III. The subject matter of the communication | IV. Give the date | IV. Or date range | V. Was any action taken by the Comm. in response to communication: | VI. Other Comments (if any) |
| 450 | Hughes, Merita | Office of the Chief Information Officer | Knowledge and Information Management | No | | | | | | | |
| 451 | Hunter, James O. | Office of Energy Projects | DHAC | Yes | James Hunter | Robert Steele | I left a message advising Mr. Steele that Portland General Electric (PGE)Company's acceptance of the Order Approving Partial Transfer of License for P-2233 would be due July 22, 2001. | | | Not applicable. | In response to my call, PGE filed on 7/20/01 a request for more time to accept the transfer. |
| 452 | Hunter, James O. | Office of Energy Projects | DHAC | Yes | James Hunter | Robert Steele | I left a message for Mr. Steele, noting that a 7/20/01 filing by PGE included Inc. and asked if the company had changed to a corporation. | | | not applicable | Mr. Jim Wyatt returned my call and said to ignore the Inc. |
| 453 | Hunter, James O. | Office of Energy Projects | DHAC | Yes | James Hunter | Robert Steele and/or Ms. Julie Keil | I asked who would be the new licensee's contact for questions about day to day project operation. | | 09/06/01 to 10/03/01 | not applicable | They said my question would be answered in their form reply to my letter (dated 10/03/01) acknowledging PGE's acceptance of the transfer. |
| 454 | Hutcheson, Christine G | Office of the Executive Director | Financial Policy | Yes | FERC staff - Christine Hutcheson | Northern Border Pipeline's Director of Accounting | Data requests pertaining to a new construction project | | June 1998 - October 1999 | I was not on the audit when it finished, but I believe if there were any findings they were may have been rolled into a rate proceeding in the fall of 1999. | There were various data requests and followup conversations throughout the audit period relating to accounting and procedural issues on the construction of the new pipeline. |
| 455 | Hutzel, Janet D | Office of Energy Projects | DEER - Hydro East Branch 2 | No | | | | | | | |

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| 456 | Huyler, Kevin H | Office of Markets, Tarriffs, and Rates | East Group One | No | | | | | | | |
| 457 | Iacono, David J. | Office of the Executive Director | Division of Organizational Management | No | | | | | | | |
| 458 | Ildari, Hossein | Office of Energy Projects | DHAC | No | | | | | | | |
| 459 | Ingram, Tony V. | Office of Markets, Tarriffs, and Rates | OMTR Tariffs and Rates Central | No | | | | | | | |
| 460 | Iverson, Delores C. | Office of Energy Projects | D2SI | No | | | | | | | |
| 461 | Jacobs, Karen M. | Office of Energy Projects | Gas Branch 2 | No | | | | | | | |
| 462 | Jagtiani, Sanjeev G. | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates East | No | | | | | | | |
| 463 | James, Raymond E. | Office of Markets, Tarriffs, and Rates | Office of Energy Markets | Yes | Raymond E. James | Mr. Glen R. Hass Northern Natural Gas Company | Fax of a data request. | 4/14/1999 | | Data Response filed April 29, 1999. | Application (Docket No. CP99-252-000) was withdrawn on July 8, 1999 |

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| 464 | Javonillo, Antonio D. | Office of Markets, Tarriffs, and Rates | Administration and Case Tracking | No | | | | | | | |
| 465 | Jayjack, Nicholas J | Office of Energy Projects | Environmental and Engineering Review | Yes | Nicholas Jayjack | Dave Heintzman | Called Dave to discuss the National Marine Fisheries Service concern with how the licensing process for the Willamette Falls Project (P-2233) is going, specifically concerning a disagreement between PGE and NMFS regarding fisheries related studies at Willamette Falls. I do not recall what Dave's reply was or how he planned to deal with the situation. | | | No | I'm not sure of the exact date. I believe it was on or about June 6, 2000. |
| 466 | Jayjack, Nicholas J | Office of Energy Projects | Environmental and Engineering Review | Yes | Portland General Electric - various | Nicholas Jayjack and numerous state and federal fish and wildlife agencies, Non-governmental organizations, and others. | Numerous emails updating the relicensing processes for Willamette Falls (P-2233), Bull Run (P-477), Oak Grove (P-135), and North Fork (P-2195) Hydroelectric Projects | | 03/99 through 12/01 | No | No idea how many emails. As far as I recall, most were meeting notices, meeting visits, study reports, and the like. In almost all cases, I was one of many concurrent recipients of the emails. |
| 467 | Jayjack, Nicholas, J | Office of Energy Projects | Environmental and Engineering Review | Yes | Portland General Electric | Nicholas Jayjack and numerous local, state, and federal agencies, non-governmental organizations, and other entities. | Mailings regarding scheduling of meetings, meeting agendas, draft and final study reports, and similar written materials related to the relicensing of the Bull Run (P-477), Oak Grove (P-135), and North Fork (P-2195) Hydroelectric Projects. | | 12/01/1998 through 12/31/2001 | No | I'm not sure how many mailings that I received, possibly upwards of 50 to 75 |

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| 468 | Joe, Sabina U. | Office of Markets, Tarriffs, and Rates | Litigation | No | | | | | | | |
| 469 | Johnson, Capria | Office of the Secretary | Dockets | No | | | | | | | |
| 470 | Johnson, Carol C. | Office of General Counsel | General & Administrative Law | No | | | | | | | |
| 471 | Johnson, Craig J. | Office of Energy Projects | DEER | No | | | | | | | |
| 472 | Johnson, Jeff | Office of the Secretary | Dockets | No | | | | | | | |
| 473 | Johnson, Patricia S. P. | Office of Energy Projects | Pipeline Certificates | Yes | Me (per my supervisor's instructions) | Called Keith Petersen, Northern Natural Gas Co, who had Michele Winckowski call me back on 1/5/2001 & respond to my questions. | Obtain additional info regarding Unit #12 they were proposing to abandon in CP01-16-000. Info filed with FERC 1/8/2001. | | | Delegation Order authorizing the abandonment was issued on 1/16/2001. | |
| 474 | Johnson, Ralph A. | Office of Markets, Tarriffs, and Rates | Division of Tariff and Rates - West | No | | | | | | | |
| 475 | Johnson, Toyia L. | Office of General Counsel | Litigation | No | | | | | | | |
| 476 | Jones, Andrea | Office of External Affairs | press services | No | | | | | | | |

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| 477 | Jones, Carolyn G. | Office of Markets, Tarriffs, and Rates | Tariff and Rates - Central | No | | | | | | | |
| 478 | Jones, Elizabeth L | Office of Energy Projects | DHAC | No | | | | | | | |
| 479 | Jones, Janet Kay | Office of General Counsel | Litigation | Yes | myself | The following representatives of litigating parties in Puget Sound (EL01-10-001)Dan Watkiss (Enron attorney); Gary Bachman; Peter Burger & Philip Chabot. | faxing Staff's testimony in EL01-10-001 | | | No | This was a routine transmittal by fax of publicly accessible material that is commonly done as a mater of courtesy in FERC litigation |
| 480 | Jones, Janet Kay | Office of General Counsel | Litigation | Yes | Shelby Kelly (Enron attorney) | myself and Daniel Poffenberger | questions about a data template drawn up by Staff in Docket No. EL01-10-000 | | | No | Routine inquiry about a logistical matter |
| 481 | Jones, Janet Kay | Office of General Counsel | Litigation | Yes | Shelby Kelly (Enron representative) | me | discussed Kelly's sending over disk containing Enron's confidential market data | | | No | Routine logistical matter; responded to similar type inquiries from most of the other parties in EL01-10-000 |
| 482 | Jones, Janet Kay | Office of General Counsel | Litigation | Yes | Shelby Kelly (Enron Representative) | me | more questions about data submission ordered by Presiding Judge in EL01-10-000. | | | no | |
| 483 | Jones, Janet Kay | Office of General Counsel | Litigation | Yes | Shelby Kelly (Enron attorney) | me | misplaced disk containing Enron's data submission (ordered by Presiding Judge in EL01-10-000) | | | No | |
| 484 | Jones, Janet Kay | Office of General Counsel | Litigation | Yes | Dan Watkiss (Enron attorney) | me | format Watkiss was going to use in submitting Enron's proffer regarding ripple claims in Docket No. EL01-10-000 | | | No | A routine discussion regarding a procedural matter; typical of many that I had with other parties in this complex proceeding. |

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| 485 | Jones, Janet Kay | Office of General Counsel | Litigation | Yes | Cheryl Foley (attorney for PGE/Enron sub | Presiding Judge in EL01-10-000 (I was "cc'd") | Confidential submissions of data ordered by presiding judge | | Aug-Sept. 2001 | No | |
| 486 | Jones, Kevin A. | Office of Markets, Tarriffs, and Rates | Data Collections | No | | | | | | | |
| 487 | Jones, Martha Vernell | Office of Energy Projects | Office of the Director | No | | | | | | | |
| 488 | Joseph, John F | Office of Markets, Tarriffs, and Rates | Rates Central | No | | | | | | | |
| 489 | Judd, Anastasia | Office of the Secretary | Dockets | No | | | | | | | |
| 490 | Kane, Joe R. | Office of Markets, Tarriffs, and Rates | Litigation | No | | | | | | | |
| 491 | Kane, Laura B. | Office of Markets, Tarriffs, and Rates | Rates East | No | | | | | | | |
| 492 | Kartalia, Stephen, D. | Office of Energy Projects | DEER | No | | | | | | | |
| 493 | Kee, Laik H | Office of the Executive Director | MAPS | No | | | | | | | |

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| 494 | Kelley, George A. | Office of Energy Projects | Division of Dam Safety and Inspection | No | | | | | | | |
| 495 | Kelly, Francine Julia | Office of Markets, Tariffs, and Rates | Litigation | No | | | | | | | |
| 496 | Kelly, Kevin A. | Office of Markets, Tariffs, and Rates | Policy Innovation and Communication | No | | | | | | | |
| 497 | Kelly, Richard E. | Office of General Counsel | Administrative Litigation | Yes | Staff/Trailblazer | Staff/Trailblazer and all or most of the other parties | Data requests, data responses, and settlement offers in Trailblazer rate case (RP97-408) | | 1997-2000 | Commission settlement orders | |
| 498 | Kelly, Richard E. | Office of General Counsel | Administrative Litigation | Yes | Staff/Northern Border | Staff/Northern Border and all or most of the other parties | Data requests, data responses, and settlement offers in Northern Border rate case (RP99-322) | | Various dates in 1999 and 2000 | Commission settlement order | |
| 499 | Kelly, Richard E. | Office of General Counsel | Administrative Litigation | Yes | Staff/Enron North America | Staff/Enron North America and all or most of the other parties | Data requests, data responses, and settlement offers in Texas Gas rate case (RP00-260) | | Various dates in 2000 and 2001 | None to date | |
| 500 | Kelly, Richard E. | Office of General Counsel | Administrative Litigation | Yes | Staff/Enron North America | Staff/ Enron North America and all or most of the other parties | Data requests, data responses, and settlement offers in Transco rate case (RP01-245) | | Various dates in 2001 and 2002 | None to date | |

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| 501 | Kelly, Richard E. | Office of General Counsel | Administrative Litigation | Yes | Staff/Enron North America | Staff/Enron North America and all or most of the other parties | Data requests, data responses, and settlement offers in Stingray rate case (RP99-166) | | Various dates in 1999 and 2000 | None to date | |
| 502 | Kelly, Richard E. | Office of General Counsel | Administrative Litigation | Yes | Staff/Enron North America | Staff/Enron North America and all or most of the other parties | Data requests, data responses, and settlement offers in Wyoming Interstate rate case (RP97-375) | | Various dates in 1997-99 | Commission settlement orders | |
| 503 | Kelly, Richard E. | Office of General Counsel | Administrative Litigation | Yes | Staff/Enron North America | Staff/Enron North America and all or most of the other parties | Data requests, data responses, and settlement offers in Wyoming Interstate's rate case (RP94-267) | | Various dates in 1994 | Commission settlement order | |
| 504 | Kelly, Richard E. | Office of General Counsel | Administrative Litigation | Yes | Staff/Enron North America | Staff/Enron North America and all or most of the other parties | Data requests, data responses, and settlement offers in Wyoming Interstate's rate case (RP99-381) | | Various dates in 1999-2000 | Commission settlement orders | |
| 505 | Kelly, Richard E. | Office of General Counsel | Administrative Litigation | Yes | Staff/Enron North America | Staff/Enron North America and all or most of the other parties | Data requests, data responses, and settlement offers in Williams rate case (RP95-136) | | Various dates in 1995-96 | Commission settlement order | |
| 506 | Kendall, Jack | Office of General Counsel | Energy Projects | No | | | | | | | |
| 507 | Kendrick, Deering T. | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates West | No | | | | | | | |
| 508 | Kerrigan, Jennifer L. | Office of Energy Projects | DEER | No | | | | | | | |

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| 509 | Khalatnikov, Felix M. | Office of Administrative Law Judges | | No | | | | | | | |
| 510 | Khu, Kim T | Office of Markets, Tarriffs, and Rates | Policy and Innovative Communication (DPIC) | No | | | | | | | |
| 511 | Kilchrist, Patricia D. | Office of General Counsel | Systems Support | No | | | | | | | |
| 512 | Kim, So Y. | Office of Markets, Tarriffs, and Rates | Market Development (Compliance & Operational Audits Group) | No | | | | | | | |
| 513 | Kimberlin, Robert G. | Office of the Executive Director | DFP | No | | | | | | | |
| 514 | King, Wayne B. | Office of Energy Projects | Dam Safety and Inspections | No | | | | | | | |
| 515 | Kingsberry, Fannie L | Office of the Executive Director | Division of Financial Services | No | | | | | | | |

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| 516 | Kinsey,Tim S. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC technical staff | Jerry Peters BL Heckerman | Facts related to the Northern Pipeline Case | | August of 1999 | No | |
| 517 | Klenk, Cynthia J. | Office of the Chief Information Officer | Knowledge and Information Management | No | | | | | | | |
| 518 | Klinkenberg, Charles E | Office of Energy Projects | DHAC | No | | | | | | | |
| 519 | Klose, Mark | Office of the Executive Director | Division of Regualtory Accounting Policy | Yes | | | | | | | During the period in question, I have had discussions on routine matters related to docketed workload of some of the affilated pipelines. |
| 520 | Klynsma, Sara C. | Office of Markets, Tariffs, and Rates | Associate Director | No | | | | | | | |
| 521 | Knight, Bobby W. | Office of the Executive Director | Division of Logistics Management | No | | | | | | | |
| 522 | Knight, Franklin D. | Office of Markets, Tarriffs, and Rates | Litigation | No | | | | | | | |
| 523 | Kochhar, Medha L | Office of Energy Projects | Branch gas 2 | Yes | Medha Kochhar | do not know | request for nonjurisdictional facilities | | | memo to OGC (Fredric Minnis), Notice of Protest for CP94-716-000. | |

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| 524 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | No | | | | | | | CP1992-0469-000 |
| 525 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | No | | | | | | | No communications for the following documents: CP1992-0469, CP1992-0473, CP1992-0506, CP1992-0535, CP1992-0551, CP1992-0621, CP1993-0230, CP1993-0714, CP1994-0755, CP1994-0766-000, CP1995-0080, CP1995-0130-001, CP1995-0585, CP1995-0761, CP1997-0153, CP1997-0708, and CP2001-0152. |
| 526 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Steve Veatch | Medha Kochhar | DEIS comment meeting format. | | | | I requested FGT to bring alignment sheets to discuss location of properties if landowner has questions. |
| 527 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Steve Veatch | Medha Kochhar | Route alternatives through Leota Miley's property and Central Maintenance Welding Inc. | | | FGT made a filing for the revised routes through these areas. | |
| 528 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Steve Veatch of FGT | Get Landowner information and issues raised by the landowners. | | 09/01/99 and 01/01/2000 | | General information exchange regarding the project and issues raised by landowners and commenters prior to FEIS. |
| 529 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Steve Veatch and Rob Kilmer | Medha Kochhar | wanted to know if they can come for a technical meeting to discuss format of preconstruction filings | | | We had a technical meeting with FGT staff and FERC at FERC headquaters to discuss format of preconstruction filings. | |

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| 530 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Steve Veatch of FGT | Medha Kochhar | Priority of construction clearances. Steve gave the order of priority that construction clearance filing would be submitted: Storage yards, staging areas, Westleg Extension. Other items of discussion: use third party contractor, implementation plan and technical meeting at FERC. | | | no | Later there were several phone calls between 3/9/00 and 5/8/00 to discuss construction filings specifically the alignment sheets. |
| 531 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Steve Veatch | Condemnation of properties owned by Central maintenance,Conrad, and Varnum | | | | |
| 532 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Steve veatch | Landowner (Mr. Paquin) concerns and what is done. Update on construction status and forthcoming filings for MS Loop. | | 6/13/00 - 8/7/00 | | |
| 533 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Steve Veatch | Construction plans for the remaining of the facilities (Tempa South lateral Extension. Requests for in-service of WLE. | | 8/11/00 - 9/8/00 | | |
| 534 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Eric Barbee | Construction status along WLE, Wetalnd restoration Plan | | | | |
| 535 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Steve Veatch | Medha Kochhar | Petrash Property and wetland restoration issue | | 9/11/00 - 9/19/00 | | During this time period I talked with Eric Barbee (environmental inspector to get updates on the wetland restoration on Petrash property (what, when where, how etc.). |

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| 536 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Steve Veatch | Landowner concerns | | 12/11/00 - 12/18/00 | | Steve Veatch provided more information through Eric Brabee (EI). |
| 537 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Steve Veatch | Medha kochhar | Public scoping meeting for the Tempa South Lateral extension. | | | | |
| 538 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Rob Kilmer (FGT) | Construction schedule for MS Loop and other remaining facilities | | | | Later on 1/11/01 Steve Veatch and Rob Kilmer provided details of schedule construction. I informed him the items we needed to have. |
| 539 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Meedha Kochhar | Ryan Childs | Change in construction method from directional drill to open cut and our concerns. | | 1/23/01 and 1/30/01 | | |
| 540 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Medha kochar | Steve Veatch | Construction updates - MS-Loop, SSL, FL-Loop, and LWL | | 2/12/01 - 2/13/01 | | |
| 541 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Ryan childs | Tempa South Lateral Extension (CP99-94-003) DCA's letter and Golden aster issue and FWS comments. | | 2/20/01 - 4/23/01 | | I had follow-up phone conversations for this project with Steve Veatch, Ryan Childs, and Rob Kilmer who provided updates on this project issues. |
| 542 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Steve Veatch | Status of TSLE project Implementation Plan filing. Steve informed me in his follow-up call that National Gypsum would gas from peoples and therefore, FGT would file and not accept this Order. | | 4/9/01 - 4/23/01 | | |
| 543 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Steve Veatch | Ft. Mead Pipeyard | | | | |

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| 544 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | | | | | 4/6/2000 - 8/30/2001 | | FYI, any communications conducted with Steve Veatch or FGT representative and Medha Kochhar, during the above mentioned period were all related to FGT Phase IV Project, CO99-94-000 and CP99-94-003. This project was contracted to Natural Resources Group (NRG) for third party compliance monitoring services. |
| 545 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Cheryl Sanders | Landowner address corrections, Clarifications on right-of-width, Platte River Estate, comments from SHPO, FERC Plan and Provedures. | | 3/230/1994 - 6/14/1994 | | EF Expansion Project by Northern Natural Gas Comapny. Docket No. CP94-224-000. |
| 546 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Dave Brown | Medha Kochhar | Site visit and trip agenda. | | | | |
| 547 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Dave Brown | Medha kochhar | Right-of-width issue in residential and forested area and small diameter pipe. | | | | Docket Mo. CP94-224-000, EF Expansion Project. |
| 548 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Medha Kochhar | Cheryl Sanders and Dave Brown | Project specific issues: D-Line and permit status | | 3/30/1994 to 8/8/3/1994 | | Several phone conversations were made during this period all phone calls were project related. Issues: multiple lines, minimize right-of-width, road through subdivision and scale drawings. EF Expansion Project, Northern Natural Gas Company, Docket NO. CP94-224-000. |

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| 549 | Kochhar, Medha L | Office of Energy Projects | Gas Branch 2 | Yes | Danny Russel | Medha Kochhar | Field trip for 10/24/94. Construction status. City of Cottage Grove issue, Biweekly reports, MNDNR, protected waters. | | | | CP94-224-000, EF Expansion Project. We conducted compliance inspections during construction. Ebasco Environmental was also tasked to do inspections. |
| 550 | Koester, Thomas F. III | Office of Energy Projects | Pipeline Certificates | Yes | Myself | Keith Petersen, Director, Certificates & Reporting, Northern Natural Gas Company | Docket No. CP01-33-000, telephone call to ascertain some factual information on abandoning a pipeline suspension bridge across the Platte River in Dodge and Saunders Counties, Nebraska. | | Late Nov 2000 to Feb/Mar 2001 | Order issued April 11, 2001 | Have no recollection of what the question(s) were or if we talked more than a couple of times about the facts in the application. Nothing else was discussed. |
| 551 | Kohut, Kenneth J. | Office of Markets, Tariffs, and Rates | Market Development | Yes | Kenneth Kohut, Robert Czarick FERC | Christy Nickolay Enron Trading | We were beginning to monitor electricity and natural gas trading at certain hubs on EnronOnline. Because Enron traded in many natural gas and electricity contracts and their nomenclature was unfamiliar, we called Ms Nickolay to confirm that the trading points and particular contracts we had chosen on EnronOnline corresponded to the product we were interested in tracking. Ms Nickolay helped to pinpoint the exact products we wanted to track. | | Early November | | We did not record the exact date of the telephone conversation, but the communication consisted of a call placed by us to Enron and a return call from Ms. Nickolay. |
| 552 | Kolak, James | Office of Energy Projects | DHAC | No | | | | | | | |

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| 553 | Kosa, Jarrad T. | Office of Energy Projects | Environmental and Engineering Review | No | | | | | | | |
| 554 | Kozlowski, Hadas Z. | Office of General Counsel | MTR | No | | | | | | | |
| 555 | Krauthamer, Michael I | Office of Administrative Law Judges | n/a | No | | | | | | | |
| 556 | Krug, James M. | Office of Markets, Tarriffs, and Rates | Information Technology & Organization Management | No | | | | | | | |
| 557 | Lacy, Michael P. | Office of Markets, Tarriffs, and Rates | Central | No | | | | | | | |
| 558 | LaFave, Mary C | Office of Markets, Tarriffs, and Rates | Tariffs and Rates West | No | | | | | | | |
| 559 | Lafferty, Ronald N. | Office of Markets, Tarriffs, and Rates | Rates - West | No | | | | | | | |
| 560 | Lagnion, William N | Office of Energy Projects | D2SI-PRO | No | | | | | | | |

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| 561 | Lake, Julia A. | Office of General Counsel | Markets, Tariffs and Rates | No | | | | | | | |
| 562 | Lane, Dennis | Office of General Counsel | Solicitor's Office | Yes | Jeffrey D. Watkiss | Dennis Lane | Request for FERC to file amicus brief or to intervene in California class action lawsuit. | | August-September 2001 | No | Communications consisted of papers filed in class action suit. |
| 563 | Larcamp, Daniel L. | Office of Markets, Tarriffs, and Rates | Front Office | Yes | Robert W. Thurber, Northern Natural Gas Company | Various parties | Kansas ad volerm tax | | October 2001-January 2002 | The Commission issued a series of orders in related cases. | |
| 564 | Lawrence, Lynette T. | Office of General Counsel | General and Administrative Law | No | | | | | | | |
| 565 | Lawrence, Philip R. | Office of the Chief Information Officer | Infrastructure & Operations | No | | | | | | | |
| 566 | Leach, Kimberly A. | Office of External Affairs | OEA | No | | | | | | | |
| 567 | Leahy, Deborah M. | Office of General Counsel | Markets, Tariffs & RAtes | No | | | | | | | |
| 568 | LeBlanc, Karen E. | Office of the Chief Information Officer | Customer Support, COTS | No | | | | | | | |
| 569 | Lee, Chin Y. | Office of Energy Projects | D2SI-PRO | No | | | | | | | |
| 570 | Lee, Chin Y. | Office of Energy Projects | D2SI-PRO | No | | | | | | | |

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| 571 | Lee, Donna | Office of the Executive Director | Administrative Support Staff | No | | | | | | | |
| 572 | Lee, Eugene | Office of Markets, Tarriffs, and Rates | CEA | No | | | | | | | |
| 573 | Lee, Francis | Office of Energy Projects | D2SI | No | | | | | | | |
| 574 | Lee, Linda L. | Office of General Counsel | Administrative Litigation | No | | | | | | | |
| 575 | Lee, Eddie | Office of Energy Projects | Division of Environmental and Engineering | No | | | | | | | |
| 576 | Leibtag, Ahava R. | Office of the Chief Information Officer | OCIO | No | | | | | | | |
| 577 | Leiss, John S. | Office of Energy Projects | Division of Environmental & Engineering Review | No | | | | | | | |

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| 578 | Leitzke, Peter A. | Office of Energy Projects | Division of Environmental and Engineering Review | No | | | | | | | |
| 579 | LeKang, Donald J | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communication | No | | | | | | | |
| 580 | Lengendfelder, David | Office of Markets, Tarriffs, and Rates | Market Development | No | | | | | | | |
| 581 | Lentini, Alfonso | Office of Markets, Tarriffs, and Rates | East | No | | | | | | | |
| 582 | Leppert, Patricia | Office of Energy Projects | Division of Environmental & Engineering Review--HEB-1 | No | | | | | | | |
| 583 | Lewis, Mary L | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |

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| 584 | Lewis, Tawanna S. | Office of Commissioner Brownell | N/A | Yes | Linda Robertson | Tawanna S. Lewis | Telephone call to set up meeting with Commissioner to meet Mark Frevert | 9/10/2001 | | Meeting date 9/19/01 | |
| 585 | Lewis, Tawanna S. | Office of Commissioner Brownell | N/A | Yes | Cynthia Sandherr | Tawanna S. Lewis | Telephone call to set up meeting with Commissioner and members of New Power Company | 9/6/2001 | | Meeting date 9/24/01 | |
| 586 | Lewis, Tawanna S. | Office of Commissioner Brownell | N/A | Yes | Wendy Jones | Tawanna S. Lewis | Telephone calls regarding and insuring the Commissioner's participation at Enron's Energy Conference in October 2001 | | 9/01-10/01 | Conference 10/4/01 | |
| 587 | Lieb, Louis | Office of Markets, Tarriffs, and Rates | West | Yes | me | staff at Florida Gas Transmission | fuel tracker filings that I worked on in 1998-1999. I was asking questions about the filing(s). | | 1998-1999 | orders accepting tracker filings. | |
| 588 | Lieb, Louis | Office of Markets, Tarriffs, and Rates | Rates West | Yes | me | Staff at Northern Natural Gas Company. | filing of negotiated rate agreement, Docket No. RP96-272-026. Asked question about filing. | | January, 2001 | order accepting filing. | |
| 589 | Lippert, Robert M | Office of Markets, Tarriffs, and Rates | Central, Group 4 | No | | | | | | | |
| 590 | Lloyd-Bey, Cheryll | Office of General Counsel | OGC, Administrative Litigation | No | | | | | | | |
| 591 | Long, Joseph H | Office of General Counsel | Administrative Litigation | Yes | Joseph H. Long | All parties to the case | New England Power Pool (NEPOOL) Docket No. OA97 237, Open Access Tariff: discovery questions | | 4/98 - 7/99 | Case settled. | |

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| 592 | Longenecker, William G | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communication | No | | | | | | | |
| 593 | Looney, James Timothy | Office of Energy Projects | DEER | No | | | | | | | |
| 594 | Lopez, Dolores Calderon | Office of Administrative Law Judges | same | No | | | | | | | no communications/not applicable |
| 595 | Lord, David W | Office of Energy Projects | D2SI | Yes | Bob Steele, Engineer for PGE | David Lord | Mr. Steele had volunteered to have PGE provide refreshments for the morning break during one day of the upcoming workshop on the Independent Consultants sponsored by D2SI. We discussed how and when the refreshments were to be provided for the first day of the workshop. | | Feburary 2001 | None other than thanks. | |
| 596 | Lord, Melissa M | Office of Markets, Tarriffs, and Rates | Central | No | | | | | | | |
| 597 | Lott- Jamieson, Shirley | Office of General Counsel | MTR | No | | | | | | | |
| 598 | Lucas, Camille M. | Office of Markets, Tarriffs, and Rates | Litigation | No | | | | | | | |

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| 599 | Lucas, Camille M. | Office of Markets, Tarriffs, and Rates | Litigation | No | | | | | | | |
| 600 | Lucas, Camille M. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Pacific Gas & Electric Company | Parties in Docket NO. ER97 2358-000 | Settlement discussions | | Various | Partial Settlement. Pending Opinion | |
| 601 | Lucas, Camille M. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | California Independent System Operator | Parties in the proceeding | CAISO Metering Agreement, Docket No. ER98-1499-000 | | Various | Settlement Accepted | |
| 602 | Lucas, Camille M. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | California Independent System Operator | Parties in the proceeding. | Responsible Participating Transmission Owner Agreements | | Various | Accepted Settlement | |
| 603 | Lucas, Camille M. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | California Independent System Operator | Parties in the proceeding | Interconnected Control Area Operating Agreements | | Various | Accepted Settlement | |
| 604 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Northern Natural Gas Company | Commission secretary | intervention in RP93-29-000 - - no protest | | approx. 12/01/92 | no | |
| 605 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Northern Natural Gas Company | Commission secretary | late intervention - no protest - Docket No. RP93-39-000 | | approx. 12/01/92 | no | |
| 606 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Northern Natural GAs Company | Commission secretary | motions to intervene - Docket Nos. CP91-1616-000 and CP91-1634-000 - protest application to roll in construction costs | | approx. 04/15/92 | no | |

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| 607 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Gas Marketing, Inc. | Commission secretary | intervention and request for clarification re: El Paso application to add tariff provisions concerning operational threat to system integrity - Docket NO. RP93-16-000 | | approx. 11/12/1992 | tech conference established | I have no notes or records from any technical conference related to this docket. |
| 608 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Transwestern Pipeline Company | Commission secretary | intervention in Docket No. RP93-16-000 - no protest | | approx. 11/12/1992 | no | |
| 609 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Gas Marketing, Inc. | Commission secretary | intervention only -- Docket No. RP93-4-000 and RS92-43-000 - no protest | | approx. 10/13/1992 | no | |
| 610 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Gas Services Corp. Inc. | Commssion secretary | intervention only - Docket No. RP94-43-000 - no protest | | approx. 11/10/1993 | no | joint intervention with Enron Access Corporation |
| 611 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Northern Natural GAs Company | Commission secretary | intervention only - no protest Docket No. RP94-43-000 | | approx. 11/10/1993 | no | |
| 612 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Gas SErvices Corp. | Commssion secretary | intervention only - no protest Docket No. RP93-167-000 | | approx. 08/24/1993 | no | |
| 613 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Northern Natural GAs Company | Commission secretary | intervention only - no protest - Docket No. RP93-167-000 | | approx. 08/24/1993 | no | |
| 614 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron North America | Commission secretary | Intervention RP00-410-000 comments re: cash out procedure | | | No | parties submitted uncontested settlement |
| 615 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Gas SErvices Corp. | Commission secretary | intervention only - no protest Docket No. RP94-150-000 | | approx 03/10/1994 | no | order established a tech. conf. but I do not have any notes or record of any such conference |
| 616 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Northern Natural Gas Company | Commission secretary | intervention only - no protest Docket NO. RP94-150-000 | | approx. 03/10/1994 | order established a tech conf, but I do not have notes or records of any such conference | |
| 617 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Gas SErvices Group | Commission secretary | intervention only - no protest - Docket No. RP94-221-000 | | approx. 05/10/1994 | no | |

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| 618 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Northern Natural GAs Company | Commission secretary | intervention only - no protest Docket NO. RP94-221-000 | | approx. 05/10/1994 | no | |
| 619 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Gas SErvices Group | Commission secretary | intervention only - no protest Docket No. RP94-266-000 | | approx. 06/10/1994 | no | proceeding consolidated with RP94-150-000 |
| 620 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Northern Natural Gas Company | Commission secretary | intervention only - no protest Docket No. RP94-266 | | approx. 06/10/1994 | no | proceeding consolidated with Docket No. RP94-150 |
| 621 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Gas SErvices Group | Commission secretary | intervention only - no protest Docket No. RP94-384 | | approx. 09/13/1994 | no | consolidated with Docket NOs. RP94-150, et al |
| 622 | Lucas, jenifer L. | Office of General Counsel | MTR | Yes | Northern Natural Gas Company | Commission secretary | intervention only - no protest Docket No. RP94-384-000 | | approx. 09/13/1994 | no | proceeding consolidated with RP94-150-000 et al |
| 623 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Capital and Trade REsources Corp. | Commission secretary | intervention only - no protest Docket No. RP95-58-000 | | approx. 12/09/1994 | no | |
| 624 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Gas SErvices Corp. | Commission secretary | intervention only - no protest Docket No. RP94-93-000 | | approx. 01/11/1994 | no | joint intervention with Enron Access Corporation |
| 625 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Gas Services Group | Commission secretary | intervention only - no protest Docket No. RP94-328-000 | | approx 08/03/1994 | no | |
| 626 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Gas Services Group | Commsission secretary | intervention only - no protest Docket No. RP94-376-000 | | approx 09/09/1994 | no | |
| 627 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Gas SErvices Group | Commission secretary | intervention only - no protest Docket Nos. RP94-397-000 and -001 | | approx 09/23/1994 | | no |
| 628 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Capital & Trade Resources Corp. | Commission secretary | intervention only - no protest Docket No. RP95-149-000 | | approx. 02/10/1995 | no | |
| 629 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Northern Natural Gas Co. | Commission secretary | intervention only - no protest Docket No. RP95-149-000 | | approx. 02/10/1995 | no | |
| 630 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Capital & Trade REsources Corp. | Commission secretary | intervention only - no protest Docket No. RP95-263-000 | | approx 05/10/1995 | no | |

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| 631 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Northern Natural GAs Co. | Commission secretary | intervention only - no protest Docket No. RP95-263-000 | | approx. 05/10/1995 | no | |
| 632 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Capital and Trade Resources Corp. | Commission secretary | intervention only - no protest Docket Nos. RP95-315-000 and RP95-181-001 | | approx. 06/09/1995 | no | |
| 633 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Northern Natural GAs Co | Commission secretary | intervention only - no protest Docket Nos. RP95-315-000 and RP95-181-001 | | approx. 06/09/1995 | no | |
| 634 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Northern Natural Gas Co. | Commission secretary | intervention only - no protest RP95-316-000 | | approx. 06/09/1995 | no | |
| 635 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Northern Natural GAs Co | Commission secretary | intervention only - no protest Docket No. RP95-317-000 | | approx. 06/09/1995 | no | |
| 636 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Capital & Trade Resources Corp. | Commission secretary | intervention only - no protest RP95-429-000 | | approx. 09/11/1995 | no | |
| 637 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Northern Natural Gas Co. | Commission secretary | intervention only - no protest Docket No. RP95-429-000 | | approx. 09/11/1995 | no | |
| 638 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Northern Natural GAs Co. | Commission secretary | intervention only - no protest Docket No. RP95-457-000 | | approx. 10/11/1995 | no | |
| 639 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Capital & Trade Resources Corp. | Commission secretary | intervention only - no protest Docket No. RP96-330-000 | | approx. 08/10/1996 | no | |
| 640 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Capital and Trade REsources Corp. | Commission secretary | intervention only - no protest Docket No. RP96-346-000 | | approx 09/05/1996 | no | |
| 641 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Capital & Trade REsources Corp. | Commission secretary | intervention only - no protest Docket Nos. RP97-346-000, et al | | approx. 05/10/1997 | no | |
| 642 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Capital & Trade REsources Corp. | Commission secretary | intervention only - no protest Docket No. RP98-29-000 | | approx. 11/11/1997 | no | |

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| 643 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Capital & Trade Corp. | Commission secretary | intervention only - no protest - Docket No. RP98-18-000 | | approx. 10/25/1997 | no | |
| 644 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Capital and Trade REsources Corp. | Commission secretary | intervention only - no protest - Docket No. RP97-137-000 | | approx. 12/10/1996 | no | |
| 645 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Capital and Trade REsources Corp. | Commission secretary | intervention only - no protest - Docket NO. RP97-420-000 | | approx. 07/25/1997 | no | |
| 646 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Capital and Trade REsources | Commission secretary | see below | | unknown | no | I wrote the order modifying the Initial Decision. Leslie Lawner and Randall Rich entered appearances at the hearing, but Enron Capital and Trade did not file briefs on or opposing exceptions. |
| 647 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Capital & Trade Resources Corp. | Commission secretary | protest to filing by Tennessee to implement firm backhaul transportation service -- Enron argued that firm backhaul shippers should be permitted to use secondary receipt and delivery points within the primary path. | | approx. 01/10/1998 | yes - Commission determined that Tennessee had not provided sufficient info and set the matter for a tech conference | In the order following tech conf, the Commission noted that Enron filed comments following the tech conf stating that its concerns had been resolved. |
| 648 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | EnronCapital and Trade Resources Corp. | Commission secretary | intervention only - no protest - Docket No. RP99-454-000 | | approx. 08/10/1999 | no | |
| 649 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron North America Corp. | Commission secretary | intervention only - no protest - Docket No. RP00-77-000 | | approx. 12/04/ 1999 | no | |
| 650 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Capital & Trade Resources Corp. | Commission secretary | late filed motion to intervene - no protest - Docket No. RP99-159-000, et al | | approx. 12/30/ 1999 | Commission allowed intervention | |
| 651 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Capital & Trade Resources Corp. | Commission secretary | intervention only - no protest - Docket No. RP99-335-000 | | approx. 06/28/1999 | no | |

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| 652 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Transwestern Pipeline Company | Commission secretary | revised tariff sheets to clarify types of discounts Transwestern may offer. Docket No. RP99-352-000 | | | Commission accepted filing as consistent with Commission precedent | Enron Capital & Trade Resources Corp. intervened but did not protest. |
| 653 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Transwestern Pipeline Company | Commission secretary | revised tariff sheets to implement a limited firm transportation service. Docket No. RP99-456-000. | | | Commission accepted tariff sheets w/ minor revisions | Enron Capital & Trade REsources Corp. intervened but did not protest the filing. |
| 654 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | EOTT Energy Operating Limited P'ship | Commission secretary | compalint against Conoco Pipe Line Company re: proration policy - Docket No. OR00-11-000 | | | Commission dismissed portion of complaint that was mooted by a Conoco filing and set for hearing the question of discriminatory application of Conoco's proration policy | |
| 655 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron North America Corp. | Commission secretary | intervention only - no protest Docket No. RP00-162-000 | | approx. 01/20/2000 | no | no |
| 656 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Transwestern Pipeline Company | Commission secretary | request for rehearing in Docket NO. RP99-335 where Commission rejected Transwestern's proposal to be able to agree with releasing shippers to level of demand credits -- rehearing denied | | | rejected request for rehearing | |
| 657 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron North America Corp. | Commission secretary | intervention only - no protest in either Docket No. RP00-332-000 or RP00-597-000 | | 07/14/2000 an d10/10/2000 | no | I have attendance sheets for the first two of the four sessions of the tech conference, and they do not indicate that Enron had a representative present. |
| 658 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron North America | Commission secretary | intervention only - no protest Docket No. RP00-323-000 | | approx. 07/17/2000 | no | |

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| 659 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron North America | Commission secretary | intervention only - no protest Docket No. RP00-324-000 | | approx. 07/17/2000 | no | |
| 660 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron North America | Commission secretary | intervention only - no protest Docket NO. RP00-497 | | | no | |
| 661 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron North America | Commission secretary | intervention only - no protest - Docket NO. RP00-491-000 | | | no | |
| 662 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron North America Corp. | Commission secretary | Intervention only - no protest Docket No. RP00-396-000 | | | no | |
| 663 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron Capital & Trade REsources Corp. | Commission secretary | intervention only - no protest Docket No. RP98-84-000 | | approx. 12/15/1997 | no | |
| 664 | Lucas, Jenifer L. | Office of General Counsel | MTR | Yes | Enron North America Corp. | Commission secretary | Intervention only - no protest Docket NO. RP00-35-000 | | approx. 11/10/1999 | no | |
| 665 | Lucas, Jenifer L.. | Office of General Counsel | MTR | Yes | Northern Natural Gas Company | Commission secretary | revised tariff sheets to clarify types of transportation discounts that Northern Natural may grant. | | | Yes - Commission accepted tariff sheets as consistent with Commission precedent. | Enron Capital and Trade Resources intervened, but took no position on the filing. |
| 666 | Lucas, Ronald George | Office of Markets, Tarriffs, and Rates | Issue Identification and Resolution Management | No | | | | | | | |
| 667 | Luna, Janice Glasgow | Office of Markets, Tarriffs, and Rates | Administration and Case Tracking | No | | | | | | | |
| 668 | Lurensky, Marcia | Office of General Counsel | MOE | No | | | | | | | |

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| 669 | Lutticken, Gerard M. | Office of Energy Projects | Dam Safety and Inspections - SFRO | No | | | | | | | |
| 670 | Lykens, Alisa M | Office of Energy Projects | DEER | Yes | Alisa Lykens | Certificates Manager, Northern Natural Gas Company | RE: Docket No. CP97-40, Abandonment of Facilities by Sale (Section 7b of the Natural Gas Act). The telephone call was placed for clarification in the filing as to whether facilities would be needed to be constructed by Northern Natural's affiliate, Enron Mountain Gathering Inc. in the abandonment by sale filing. This information was needed to make a determination as to whether there would be an effect on the environment pursuant to NEPA. | | | NEPA determination: It was determined by staff that no environmental impact was expected with the sale of facilities since no construction was involved. | |
| 671 | Lyles, Tina C. | Office of Markets, Tariffs, and Rates | Central | No | | | | | | | |
| 672 | Lynch, Joseph C. | Office of General Counsel | Markets, Tariffs and Rates | No | | | | | | | |
| 673 | Lynch, Linda Marie | Office of Commissioner Breathitt | N/A | No | | | | | | | |

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| 674 | Lynch, Mary | Office of the Secretary | Office of the Secretary | No | | | | | | | |
| 675 | Lynch, Robert J. | Office of the Executive Director | Division of Regulatory Accounting Policy | No | | | | | | | |
| 676 | Lyon, Andrew L. | Office of General Counsel | MTR | No | | | | | | | |
| 677 | Lyon, John C., Jr. | Office of Energy Projects | Division Of Dam Safety, Atlanta Regional Office | No | | | | | | | |
| 678 | Machuga, Robert T. | Office of Markets, Tariffs, and Rates | Division of Tariffs & Rates - Central | No | | | | | | | |
| 679 | Macpherson, Janice G. | Office of General Counsel | omtr | Yes | | | | | | | I'm not aware of any, but i can't swear there weren't any. |
| 680 | Magos, Zeny C. | Office of Markets, Tariffs, and Rates | West 4 | No | | | | | | | |
| 681 | Mancke, Helen E | Office of General Counsel | Markets, Tariffs, and Rates | No | | | | | | | |

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| 682 | Manganello, Rodney C. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Florida Gas Transmission and myself | Forida Gas Transmission and myself | Standard rate case type data requests and responses | | 1996 | Eventual settlement of the case. | |
| 683 | Margolis, Morris | Office of Markets, Tarriffs, and Rates | Tariffs and Rates East | No | | | | | | | |
| 684 | Mark, John | Office of Energy Projects | Division of Hydropower Administration and Compliance | No | | | | | | | |

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| 685 | Marlette, Cynthia A. | Office of General Counsel | General Counsel Front Office | Yes | Enron attorney or employee | Cynthia A. Marlette | Prior to Enron Corp. filing its bankruptcy petition, an Enron company employee or attorney (I cannot remember who) called to ask me about the timing of one of the filings the company planned to make pursuant to FPA section 203 (corporate disposition of facilities). The call was either in reference to the planned sale of Enron's IOU, Portland General Electric, or to the proposed auction of software and marketers/traders to a non-affiliate (I can't remember which). Specifically, the issue was whether it made a difference if they filed an FPA 203 application prior to the bankruptcy petition being filed or after the bankruptcy petition being filed. I said that as a legal matter it did not make a difference when they made a 203 filing; if a bankruptcy v. FERC preemption issue were to arise, it would not in my view make a difference whether they filed their 203 application before or after the bankruptcy petition was filed. | | Late November 2001 | No | |

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| 686 | Marlette, Cynthia A. | Office of General Counsel | General Counsel Front Office | Yes | Enron attorneys | Cynthia A. Marlette | Legislative matters. Over the past 10 years I have had communications with attorneys representing Enron (primarily Dan Watkiss) with respect to various legislative proposals to amend the FPA, or that affect the electric industry. I do not remember or have a record of specific dates or specific subject matters. I may also have attended meetings on legislative matters which included Enron employees or attorneys as well as representatives of a broad spectrum of electric industry groups | | 1992-2001 | No | |

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| 687 | Marlette, Cynthia A. (Line 1 of 2) | Office of General Counsel | General Counsel Front Office | Yes | Enron attorneys | Cynthia A. Marlette, currently General Counsel, formerly Deputy General Counsel (DGC at the time the communications were made) | Appellate litigation matters. Over the past ten years I have had conversations with attorneys representing Enron in appellate litigation matters involving the FERC or issues associated with electric regulation. I do not remember specifics or dates and times of most of these conversations. However, I do recall periodic conversations with Dan Watkiss, Enron's legal counsel, regarding Enron's appeal of the Commission's Order No. 888 electric transmission open access rule. These conversations involved litigation both in the D.C. Circuit and in the U.S. Supreme Court. In addition, during or after the California electricity crisis in 2000-2001, I talked by phone one or more times with attorneys representing Enron with respect to pending appellate litigation in which Enron is a party but the Commission is not. {Cont'd in cell below} | | 2000-2001 | No | Staff repeatedly refused to recommend to the Commission that it participate in the court litigation |

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| 688 | Marlette, Cynthia A. (Line 2 of 2) | Office of General Counsel | | | | | (Cont'd) To the best of my recollection, Dan Watkiss, and possibly Ron Carroll, who at times have represented Enron in various appellate matters, made several informal requests that the Commission intervene in class action court litigation against generators selling in California markets. Both I, and our Solicitor Dennis Lane, repeatedly refused to recommend that our Commission intervene in the court proceeding. | | | | |

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| 689 | Marlette, Cynthia A. | Office of General Counsel | Offfice of General Counsel Front Office | Yes | Enron attorneys | Cynthia A. Marlette | Electricity legislation issues | | Approx. 1992 2001 | Over the last decade I have probably had numerous conversations with Enron, among many others, regarding legislation proposals affecting the electric industry and proposing to amend the Federal Power Act. I do not remember specifics, but to the best of my recollection such conversations would have occurred by telephone or in meetings attended by representatives of a broad range of interest groups affected by electric issues and legislation. | |
| 690 | Marrow, Lorraine M. | Office of Markets, Tarriffs, and Rates | Market Information | No | | | | | | | |
| 691 | Marshall, Terrill A. | Office of Markets, Tarriffs, and Rates | Administration & Case Tracking | No | | | | | | | |
| 692 | Martin, Dawn K. | Office of General Counsel | Litigation | No | | | | | | | |

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| 693 | Martin, James A | Office of Energy Projects | DEER | Yes | Alice Weekely | James Martin | E-mail regarding 1) responses to Florida Dept. of Environmental Protection questions reagrding environmental impacts of pipeline construction; 2) Coordination on environmental data questions from FERC; and 3) Coordination on 01/24/02 letter to FERC regarding the Calypso project status. | | 11/26/01 to 02/11/02 | No | Procedural communications |
| 694 | Martin, James A | Office of Energy Projects | DEER | Yes | Alice Weekely | James Martin | The Calypso Pipeline Project is being offered for sale by Enron | | 11/29/01 to 11/30/01 | No | |
| 695 | Martin, James A | Office of Energy Projects | DEER | Yes | ENTRIX, Inc. (3rd Party EIS contractor) | Alice Weekley - Calypso Pipeline | Calypso Pipeline Environmental Impact Statement - Environmental data | | 08/01/2001 to 12/01/2001 | No | Isolated communications between ENTRIX, Inc. and Calypso may have ocurred in support of effort to compile environmental data for the EIS |
| 696 | Martin, James A. | Office of Energy Projects | DEER | Yes | James Martin | Donna Martens - Northern Natural | Status of determination of new extra construction workspace impacts | | 04/22/1999 to 05/03/1999 | None | |
| 697 | Martin, James A. | Office of Energy Projects | DEER | Yes | Stephen Veatch | James Martin | The third-party contract to prepare an environmental impact statement for the Calypso Pipeline Project. | | 05/03/2001 to 05/07/2001 | I reviewed and commented on the request for proposals (RFP) being issued by Calypso to acquire a third-party contractor | |
| 698 | Martin, Judith S. | Office of the Chief Information Officer | Knowledge and Information Management | Yes | Various users of FERC's online systems | Helpline Staff, currently Judy Martin, James Brown, and Arvise Miles | Callers requested help in using the systems or on finding information on them | | 01/01/1992 to present | Users questions were answered and instructions in using the systems were provided | We probably received calls from users working for the Enron companies, but no records were made of the calls and callers were not asked to identify their company or association |

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| 699 | Martin, Rebecca M. | Office of Energy Projects | Division of Hydropower Administration and Compliance | No | | | | | | | |
| 700 | Martin, Richard R | Office of Energy Projects | D2SI | No | | | | | | | |
| 701 | Martinic, John | Office of Markets, Tariffs, and Rates | rates central | No | | | | | | | |
| 702 | Massey, Jeffie J. | Office of Administrative Law Judges | OALJ | No | | | | | | | |
| 703 | Massey, William L. | Office of Commissioner Massey | | Yes | Enron and its Interstate Gas Trans | William L. Massey | Invite to a holiday party from Enron Corp. and its Interstate Gas Transmission Companies on Dec. 1, 1993 | 12/1/1993 | | No | Did not attend. Donna Glasgow handled invitation. |
| 704 | Massey, William L. | Office of Commissioner Massey | | Yes | Kenneth Lay & Forrest Hoglund | William L. Massey | Invite to attend reception | 6/27/1995 | | No | Did not attend. |
| 705 | Massey, William L. | Office of Commissioner Massey | | Yes | Terry Thorne | William L. Massey | Announcement of acquisition of Portland General | 7/22/1996 | | No | Message taken by Valerie Mersier. |
| 706 | Massey, William L. | Office of Commissioner Massey | | Yes | Ken Lay | William L. Massey | Possibly called to schedule a meeting that was on the calendar for 7/31/96. | 7/25/1996 | | No | Call taken by Donna Glasgow |

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| 707 | Massey, William L. | Office of Commissioner Massey | | Yes | Terry Thorne | William L. Massey | Do not recall, but probably electric transmission policy/mkts | 12/23/1996 | | No | Telephone conversation. Message taken by Valerie Mersier. |
| 708 | Massey, William L. | Office of Commissioner Massey | | Yes | Jeff Skilling | William L. Massey | Do not recall, but probably electric transmission/markets | | | No | I am not certain that such a phone conversation took place, but it may have. |
| 709 | Massey, William L. | Office of Commissioner Massey | | Yes | Steve Kean | William L. Massey | Do not recall, but probably RTO/transmission policy. | | | No | This was a phone conversation initiated by either Kean or myself. |
| 710 | Massey, William L. | Office of Commissioner Massey | | Yes | | William L. Massey | I sat by Ken Lay at a conference dinner. We had general pipeline and energy policy discussions. | 7/14/2000 | | No | I was a speaker at the INGAA Annual Natural Gas Analyst Conference in Napa, California. |
| 711 | Massey, William L. | Office of Commissioner Massey | | Yes | Enron | William L. Massey | Invite to attend Inaugural brunch on Jan. 21, 2001 | 1/21/2001 | | No | Did not attend. Donna Glasgow regretted. |
| 712 | Massey, William L. | Office of Commissioner Massey | | Yes | Nancy Bagot, Chair, INGAA Washington Subcommittee | William L. Massey | Invite dated 3/6/01 from Nancy Bagot, Chair, INGAA Washington Subcommittee & Manager, Gov. Affairs, Enron Transportation Services Co., to be the dinner speaker at the INGAA Annual Regulatory Policy Conference | 5/16/2001 | | No | Donna Glasgow accepted this speaking engagement and at different times spoke with Nancy Bagot regarding the conference event. |

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| 713 | Massey, William L. | Office of Commissioner Massey | | Yes | Jeff Skilling | William L. Massey | Invite from Enron (in partnership with Intellibridge Corp.) dated 7/26/01 inviting me to participate as a panelist at an energy scenarios forum, U.S. Energy Policy at a Crossroad: Alternative Futures for the Current Energy Crisis. | 10/4/2001 | | No | I attended the conference on the afternoon of 10/4/01. Ken Lay spoke at the conference. I participated on a panel from 4:30 - 5:30 PM. Donna Glasgow was the contact person on my staff. She spoke with Wendy Jones with Intellibridge Corp. to get details on the invite. Letters received inviting me to the forum were signed by Skilling and Lay. |
| 714 | Mastrorocco, Rita M. | Office of the Secretary | Division of Document Control - Dockets | No | | | | | | | |
| 715 | Mathura, Randolph E. | Office of Energy Projects | Pipeline Certificates | Yes | Various Enron Pipeline Companies. | Myself. | FERC pipeline construction policies and procedures, courtesy copies of official filings, inquires as to staus of pending applications, and other gerneral type inquiries. | | Several a year. | Not that I can recall. | I do not keep a personal log of such communications. I get many such communications from nearly all the pipelines FERC regulates, and while I am sure some were from Enron Companies, I do not have recall of the specific details for each instance. |
| 716 | Matyas, Douglas E. | Office of Markets, Tarriffs, and Rates | Tariff & Rates - East | No | | | | | | | |
| 717 | Maynard, Monica A. | Office of Energy Projects | DHAC | No | | | | | | | |

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| 718 | Mccallister, Irene A. | Office of Markets, Tarriffs, and Rates | Rates West | No | | | | | | | |
| 719 | McCarthy, Arlene T. | Office of General Counsel | General and Admnistrative Law | No | | | | | | | |
| 720 | McCartney, Bobbie J. | Office of Administrative Law Judges | OALJ | Yes | Bobbie J. McCartney | Stan Horton | I recall sending Mr. Horton, who I had met briefly at an NGA luncheon a few months earlier, an email telling him that I was praying for him and his family. | 1/1/2002 | mid January of 2002 | No. | This was a purely personal note of prayer and is the only communication of any kind that I have had with an Enron official. |
| 721 | McCartney, Bobbie, J | Office of Administrative Law Judges | OALJ | Yes | Christi L. Nicolay, Enron | SE RTO Mediation Team: Bobbie McCartney, Herbert Tate, Jonathan Siems, and Laura Sheppard. | Email transmittal of: 1) "The results of a study (dated Jan. 4, 2001) completed by the FTC at DOE's request relating to electric power reliability and open access" 2) "A report prepared by EPSA (another Se RTO Mediation participant) reporting on the downward trend in electricity prices during the Commissin's competitive regime." | 8/20/2001 | | no. | I have no independent recollection of this communication, nor did I keep any of the reference documents. I was reminded of this communciation by mediation team member Jonathan Siems this afternoon (2/26/02). |
| 722 | McCracken, Monica E. | Office of the Executive Director | of Organizational | No | | | | | | | |

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| 723 | McDanal, Malcolm Wayn | Office of the Executive Director | Regulatory Accounting Policy | No | | | | | | | |
| 724 | McDaniel, Walter E. | Office of Markets, Tarriffs, and Rates | Division of Market Information | No | | | | | | | |
| 725 | McDermott, William G. | Office of the Chief Information Officer | Customer Support Group | No | | | | | | | |
| 726 | McEneely, Megan E. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - East | No | | | | | | | |
| 727 | McGehee. Michael J. | Office of Energy Projects | Pipeline Certificates | No | | | | | | | |
| 728 | McGovern, Peter J. | Office of Energy Projects | Hydropower Administration and Compliance | No | | | | | | | |
| 729 | McGuire, John R | Office of Energy Projects | Division of Environmental & Engineering Review | No | | | | | | | |
| 730 | McKitrick, Seth R. | Office of Energy Projects | DEER | No | | | | | | | |

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| 731 | McKnight, Angela M. | Office of General Counsel | ADMINISTRATION | No | | | | | | | |
| 732 | McLaughlin, Michael C. | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates Central | Yes | Donna Fulton, Dir., Regulatory Affairs, Enron | Telephone Conversation | She called to inquire as to the status of the Portland General/Sierra Pacific merger pending before the Commission in Docket No. EC00-63-000. | | Believe it wa in the Summer of 2000 | No, Informed her the case was pending before the Commission and that the nature and time of proposed action by the Commission is confidential. | |
| 733 | McNair, Shelley R. | Office of the Executive Director | HUMAN RESOURCES | No | | | | | | | |
| 734 | McOmber, Norma G | Office of Markets, Tarriffs, and Rates | Policy Innovation and Communication | No | | | | | | | |
| 735 | McWane, Sarah H. | Office of General Counsel | MTR | No | | | | | | | |
| 736 | Mead, David E. | Office of Markets, Tarriffs, and Rates | Chief Economic Advisor | No | | | | | | | |
| 737 | Meehan, John K. | Office of the Executive Director | Regulatory Audits | No | | | | | | | |
| 738 | Meiburger, John J. | Office of Markets, Tarriffs, and Rates | Pipeline Rates-East | Yes | Meiburger, John J. | W. Teb Lokey Florida Gas Transmission | walk-through of workpapers for derivation of bundled rate in RP02-66-000. | | Between 11/01/01 and 12/01/01 | No. | |

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| 739 | Meltz, Arnold H. | Office of General Counsel | Administrative Litigation | Yes | FERC Trial Staff | Parties on official service list in Wyoming Interstate Gas Company, Docket No. RP99-381. | Data requests and settlement comments in conjunction with the rate proceeding in Docket No. RP99-381 | | 08/18/99 to 05/25/2000 | Uncontested settlement approved and one party severed to pursue litigation. | |
| 740 | Meltz, Arnold H. | Office of General Counsel | Administrative Litigation | Yes | FERC Trial Staff | Parties on official service list in Kern River Gas Transmission Co., Docket No. RP99-274. | Data requests and testimony in conjunction with rate proceeding in Docket No. RP99-274. | | 2/11/00 to 3/01/01 | | |
| 741 | Meltz, Arnold H. | Office of General Counsel | Administrative Litigation | Yes | FERC Trial Staff | Parties on official service list in Viking Gas Transmission Co.,Docket No. RP92-48. | Data requests and settlement offers in conjunction with rate proceeding in Docket No. RP92-48 | | 04/09/92 to 02/28/93 | | |
| 742 | Meltz, Arnold H. | Office of General Counsel | Adminstrative Litigation | Yes | FERC Trial Staff | Parties on official service list in Viking Gas Transmission Co., Docket No. RP98-290. | Data requests, settlement offers, and settlement comments in conjunction with rate proceeding in Docket No. RP98-290. | | 08/05/98-04/15/99 | Settlement approved by the Commission | |
| 743 | Meltz, Arnold H. | Office of General Counsel | Administrative Litigation | Yes | FERC Trial Staff | Parties on official service list in Williams Natural Gas Co., Docket No. RP95-136 | Data requests, testimony, settlement comments, briefs in conjunction with rate proceeding in Docket No. RP95-136 | | 03/16/95 to 07/06/99 | Partial settlement approved and order on reserved issues issued by the Commission. | |
| 744 | Meltz, Arnold H. | Office of General Counsel | Administrative Litigation | Yes | FERC Trial Staff | Parties on the official service list in Wyoming Interstate Gas Company, Docket No. RP94-267. | Data requests and settlement comments in conjunction with the rate proceeding in Docket No. RP94-267. | | 07/19/94 to 01/17/95 | Settlement approved by the Commission. | |
| 745 | Meltz, Arnold H. | Office of General Counsel | Administrative Litigation | Yes | FERC Staff | Parties on the official service list in Wyoming Interstate Gas Company, Docket No. RP97-375 | Data requests, testimony, and settlement comments in conjunction with the rate proceeding in Docket No. RP97-375. | | 07/18/97 to08/17/98 | Settlement approved by the Commission | |

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| 746 | Meltz, Arnold H. | Office of General Counsel | Administrative Litigation | | FERC Trial Staff | All parties on official service list in Stingray Pipeline Company, Docket No. RP99-166, which included Enron Capital & Trade Resources Corp. | Data requests in conjunction with rate proceeding in Docket No. RP99-166 | | 01/01/99 to 03/30/99 | | |
| 747 | Melvin, Dennis H | Office of General Counsel | Administrative Litigation | Yes | Black Marlin Pipeline Co | FERC Staff and all active parties in Docket No. RP98-274 | draft Stipulation and Agreement | | | Settlement Agreement accepted by Commission letter order dated December 30, 1998 | |
| 748 | Melvin, Dennis H | Office of General Counsel | Administrative Litigation | Yes | Transwestern Pipeline Co | FERC Staff | Settlement offers of Transwestern | | | No | |
| 749 | Mersier, Valerie T. | Office of the Executive Director | Logistics Management | No | | | | | | | |
| 750 | Mersier, Valerie T. | Office of Commissioner Massey | | No | | | | | | | From June 1993 until October 2000, I was Commr. Massey's secretary. While with Commr. Massey I did handle (in a clerical sense) a number of public filings from Enron and some of its subsidiaries. I also served as back up to the Commr's scheduler and I could have possibly scheduled meetings. |
| 751 | Mey, Thomas W. | Office of Markets, Tariffs, and Rates | Division of Tariffs and Rates West | No | | | | | | | |

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| 752 | Meyers, Edward | Office of External Affairs | Office of External Affairs | No | | | | | | | Joined the Commission on February 4, 2002, Answers to 3(a&b) are "No" if they refer only to the time he has been employed at FERC |
| 753 | Migliore, Sophie M. | Office of Energy Projects | D2SI | No | | | | | | | |
| 754 | Miles, Ann F. | Office of Energy Projects | Environmental and Engineering Review | No | | | | | | | |
| 755 | Miles, Arvise R | Office of the Chief Information Officer | Knowledge Information Management | No | | | | | | | |
| 756 | Miller, Celeste | Office of External Affairs | Press Services | No | | | | | | | |
| 757 | Miller, Christopher L. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - Central | No | | | | | | | |

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| 758 | Miller, Jean, M | Office of Markets, Tarriffs, and Rates | Rates-Central | Yes | Jean Miller | Jim Piro of Portland General Electric Company | To discuss an action date/effective date of a securities application that Portland General filed with the Commission. | 6/7/2001 | | | I called Jim Piro to clarify the effective date of the application. In order to avoid an overlap of the current authorization (ES01-33-000) with its previous authorization (ES99-43-000) of securities, Jim Piro stated he would like the current authorization to supercede and replace its previous authorization. |
| 759 | Miller, Michael P. | Office of the Chief Information Officer | Capital Planning and Policy Group | Yes | Michael P. Miller | Kirk M. Stevens, Controller and Assistant Treasurer, Portland General Electric Company | Notice of Proposed information collection and request for comments, FERC Form 1. PGE Comments were dated 10/07/01. | | | On 1/15/02 FERC submitted a written justification of the FERC Form 1 to the Office of Management and Budget for Review and approval to extend reporting reporting requirements for additional three years (still pending). In the justification FERC responded to comments filed by Portland Genral and other parties who responded to the notice. | In response to the above notice and prior to the filing of Portland General's comments, I was contacted by Larry Anderson of PGE for clarification of the notice, in particular with respect to software platform for the FERC Form 1. |
| 760 | Mills, Edward W. | Office of Markets, Tarriffs, and Rates | Litigation | | | | | | | | Answer (3)(b): No other communications, to the best of my recollection. |

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| 761 | Milner, Arve | Office of the Chief Information Officer | Knowledge Information Management | No | | | | | | | |
| 762 | Mitchnick, Alan D. | Office of Energy Projects | Environmental and Engineering Review | No | | | | | | | |
| 763 | Mitry, Linda L. | Office of the Secretary | Document Control | No | | | | | | | |
| 764 | Molly, Elizabeth | Office of General Counsel | ENERGY PROJECTS | No | | | | | | | |
| 765 | Molony, Scott P | Office of the Executive Director | Division of Regulatory Audits (DRA) | Yes | Audit team for Docket # FA00-38-000 | Northern Natural Gas Company. DRA audit team members (Susan Tolbert, Scott Molony, and Cristen Russell) sent numerous data requests to Northern Natural Gas Company's Manager of Plant Accounting (Gary Zahn prior to March 15, 2001, and Frank Carriere after March 15, 2001). Northern personnel to include Project Managers, Project Engineers, and Regulatory peronnel helped assemble Northern's responses. | Data requests required responses relating to Northern's construction activities. | | July 2000 - December 2001 | Audit report issued on December 7, 2001. | |

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| 766 | Monahan, Michael R. | Office of Energy Projects | D2SI-NYRO | No | | | | | | | |
| 767 | Montag, Rafael, L. | Office of Energy Projects | DEER | Yes | Rafael Montag | For CP95-395-000: Glen Hass and Josie Call, both of Northern Natural Gas Company. For CP95-452-000: Stephen Veatch of Florida Gas Transmission corporation. For CP95-688-000: No recollection. | Environmental compliance related to pipeline construction. | | 10/17/95 to 2/13/96 | No. | |
| 768 | Montini, Raymond J. | Office of Markets, Tariffs, and Rates | Compliance and Auditing | Yes | Raymond J. Montini | Steve January & Kevin Hyatt | Weekly pipeline capacity updates into California. | | Dec. '00 thru Feb. '01 | Report provided to OMTR office management. | |
| 769 | Moore, Marian | Office of the Executive Director | Division of Regulatory Accounting Policy | No | | | | | | | |
| 770 | Moore, Natalie Lawann | Office of the Chief Information Officer | Customer Service & IT Deployment Services | No | | | | | | | |
| 771 | Moore, Teresa E. | Office of Administrative Law Judges | None | No | | | | | | | |
| 772 | Morgan, David M | Office of the Executive Director | MAPS | No | | | | | | | |

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| 773 | Morgan, Ethel L. | Office of General Counsel | Energy Projects | No | | | | | | | |
| 774 | Morgan, Frances N. | Office of the Secretary | Dockets | No | | | | | | | |
| 775 | Morgan, Joseph | Office of Energy Projects | Assistant Director's Office | No | | | | | | | |
| 776 | Morgan, June D. | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |
| 777 | Moriarty, John E | Office of the Chief Information Officer | Front Office | No | | | | | | | |
| 778 | Moriarty, John E | Office of the Chief Information Officer | Front Office | No | | | | | | | |
| 779 | Morice, Kay I. | Office of Markets, Tariffs, and Rates | Issue Identification and Resolution Management | Yes | Shelley Corman | Kay Morice | Ken Lay's participation in a public conference on Issues and Priorities for the Natural Gas Industry, Docket No. PL97-1-000. | | 05/13-05/14/1997 | Yes. Ken Lay was allowed to participate in a panel at the public conference in PL97-1-000 on May 29, 1997. | I was the contact person for requests to participate in this two day conference and spoke with many other people about participating. We had approximately 35 participants. |

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| 780 | Morice, Kay I. | Office of Markets, Tariffs, and Rates | Issue Identification and Resolution Management | Yes | Shelley Corman | Kay Morice | Question about whether to file pro forma tariff sheets to comply with Order No. 587-G. | 6/30/1998 | | No. | I was listed as a contact for futher information on Order No. 587-G and this was one of a number of questions I received from the industry on implementation of Order No. 587-G. |
| 781 | Morice, Kay I. | Office of Markets, Tariffs, and Rates | Issue Identification and Resolution Management | Yes | Shelley Corman | Kay Morice | Question about the implementation date for Order No. 587-H. | 7/16/1998 | | No. | I was listed as a contact for further information on Order No. 587-H and this was one of a number of questions I received from the industry on implementation of Order No. 587-H. |
| 782 | Morris, Patricia W. | Office of Markets, Tariffs, and Rates | OMTR | No | | | | | | | |
| 783 | Morton, Barbara | Office of Markets, Tariffs, and Rates | Rates-Central | No | | | | | | | |
| 784 | Morton, Mary C. | Office of Commissioner Brownell | Assistant | No | | | | | | | |
| 785 | Morton, Mary C. | Office of Commissioner Brownell | Assistant | No | | | | | | | |
| 786 | Mosley, Berne L. | Office of Energy Projects | Pipeline Certificates | No | | | | | | | |

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| 787 | Mount, Samuel Colin | Office of Markets, Tarriffs, and Rates | MT1 | No | | | | | | | |
| 788 | Mrozowski, Michael J | Office of the Executive Director | Division of Human Resource Management | No | | | | | | | |
| 789 | Mudre, John M. | Office of Energy Projects | Division of Environmental and Engineering Review | No | | | | | | | |
| 790 | Mukherjee, Benoy | Office of Energy Projects | D2SI | No | | | | | | | |
| 791 | Murafka, William A. | Office of the Secretary | Agenda and Minutes | No | | | | | | | |
| 792 | Murphy, John R. | Office of Energy Projects | Dam Safety and Inspections | No | | | | | | | |
| 793 | Murphy, Patrick K. | Office of Energy Projects | Division of Environmental and Engineering Review | No | | | | | | | |

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| 794 | Murphy, Sean C. | Office of Energy Projects | DEER | No | | | | | | | |
| 795 | Murray, Matthew Owen | Office of Administrative Law Judges | OALJ | No | | | | | | | |
| 796 | Murrell, Penny S | Office of Markets, Tarriffs, and Rates | Tariffs and Rates: East | No | | | | | | | |
| 797 | Murrell, William E. | Office of Markets, Tarriffs, and Rates | Policy Innovation & Communication | Yes | Various, see comments below. | self | Inquiries as to Commission policy, requests to set up briefings and/or pre-filing meetings, and status checks on pending Commission items | | 1/1/1992 -- present | No action was taken on formal regulatory decisions. Requests for meetings to brief staff on current activities or to bring in company people to discuss pre-filing issues related to applications to be filed with the Commission, were usually satisfied. Policy questions were either answered or refered to those who had more knowledge of specific policy areas. | Inquiries would have been received mainly from Donna Fulton, Shelly Corman, Joe Hartsoe, and may have been received from others. I have no recollection nor records of these communications. |
| 798 | Myler, John A. | Office of General Counsel | EP | No | | | | | | | |
| 799 | Naca, Diane | Office of General Counsel | Solicitor | No | | | | | | | |

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| 800 | Nacy, Joseph R. | Office of Administrative Law Judges | Office of Administrative Law Judges | No | | | | | | | |
| 801 | Nagler, Peter Andrew | Office of Markets, Tarriffs, and Rates | Market Development | Yes | Myself | Susan Scott Lindberg, Director of Federal Governmental Affairs, Enron Power Marketing | In entering data on power purchases and sales made under market-based rates, I had a question on their submittal for Enron Sandhill and some of their other companies as to whether the data presented was megawatts or megawatt-hours. | Jan-02 | | When I attempted to call Ms. Lindberg, I was told that they could not find anybody by that name and was subsequently cut off. I decided to use megawatt-hours in the spreadsheet and the data has gone out on our web site under power marketer data. | The above named individual and date are to the best of my recollection. |
| 802 | Nash, Jennifer A. | Office of the Executive Director | MAPS | No | | | | | | | |
| 803 | Naugle, Steven G. | Office of Energy Projects | Division of Hydropower Administration and Compliance | Yes | | | | | | | |

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| 804 | Naugle, Steven G. | Office of Energy Projects | Division of Hydropower Administration and Compliance | Yes | Steve Naugle, FERC | Debora Schallert, PGE JoLee Gardner, Kleinschmidt Assoc. | Email providing coordinated FERC staff comments on a draft of a Memorandum of Agreement among the FERC, the Oregon SHPO, and the Advisory Council on Historic Preservation on Managing Historic Properties that May Be Affected By an Order Issuing to PGE for the proposed surrender and decommissioning of the Bull Run Hydroelectric Project, FERC No. 477. | | 07/01-09/30/1999 | No | None |
| 805 | Naugle, Steven G. | Office of Energy Projects | Division of Hydropower Administration and Compliance | Yes | FERC, OEP, Division of Licensing and Com | Oregon SHPO Confederated Tribes of the Warm Springs THPO Antone Minthorne, Chairman of the Board of Trustees, Confederated Tribes of the Umatilla Indian Reservation The Advisory Council on Historic Preservation PGE officials | To inform that PGE had initiated activities to surrender its license for the Bull Run Hydroelectric Project, FERC No. 477; to notify: (1)the FERC had determined that this proposal is an undertaking as defined in 36 CFR, sectio 800.16(y), and is the type of activity that has the potential to cause effects on historic properties; (2)that FERC intended to use the process and documentation required to comply with NEPA to comply with Section 106 of the NHPA; and (3) that the FERC had authorized PGE to initiate consultation on this undertaking. | | | No | None |

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| 806 | Nawrocki, Anabelle B. | Office of Energy Projects | D2SI/SFRO | No | | | | | | | |
| 807 | Nelson, Margaret K. | Office of the Chairman | Office of the Chairman | No | | | | | | | |
| 808 | Newman, James C. | Office of the Executive Director | Financial Policy | No | | | | | | | |
| 809 | Ng, Camilla | Office of Markets, Tariffs, and Rates | Market Development | No | | | | | | | |
| 810 | Nguyen, Buu T. | Office of Energy Projects | Pipeline Certificates - Branch 1 | No | | | | | | | There is no non-public communication during my review of Calypso's application, Docket No. CP01-409-000, et.al., filed July 20, 2001. |
| 811 | Nguyen, Kim A | Office of Markets, Tariffs, and Rates | Litigation | No | | | | | | | |
| 812 | Ni, Alice S. | Office of the Chief Information Officer | IT Infrastructure and Operations | No | | | | | | | |
| 813 | Ni, Cheum | Office of Markets, Tariffs, and Rates | Division of Tariffs and Rates - West | Yes | Ni, Cheum | Miller, Mary Kay, Vice President, Transwestern Pipeline Co. | procedural dates for a technical conference. | | | The Commission issued a notice that a technical conference in Docket No. RP01-56-000 is to be held on 01/09/01. | |

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| 814 | Ni, Cheum | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates - West | Yes | Ni, Cheum | Miller, Mary Kay, Vice Prssident, Northern Natural Gas Co. | procedural dates for a technical conference. | | | The Commission issued a notice that a technical conferecne in Docket No. RP00-404-000 is to be held on 01/23/01 and 01/24/01. | |
| 815 | Nichols, Nils N. | Office of Chairman James Hoecker | Office of the Chairman | No | | | | | | | |
| 816 | Nicholson, Phillip R | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates West | No | | | | | | | |
| 817 | Nicks, Causha | Office of the Secretary | Dockets | No | | | | | | | |
| 818 | Niehaus, Carol L. | Office of the Chief Information Officer | IT Infrastructure & Operations | No | | | | | | | |
| 819 | Niehaus, Kenneth P | Office of Energy Projects | Pipeline Certificates | Yes | Kenneth P. Niehaus | Maria K. Pavlou | Transwestern's filing in Docket No. RP96-352-000 to participate in Commission's pilot progam temporarily eliminating price cap for capacity release transactions and pipelines' interruptible services | | | No. Staff data request seeking additional info on filing in Docket No. RP96-352-000 | Commission pilot program never implemented because selected companies protested the Commission's data filing requirements and withdrew from proposed progam. |

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| 820 | Niehaus, Kenneth P | Office of Energy Projects | Pipeline Certificates | | Kenneth P. Niehaus | Ms. Donna Martens, Transwestern Sr. Regulatory Analyst | Data Request for Transwestern proposed expansion in Docket No. CP01-115 | | | No. Response provided info for staff to base its recommendations in Docket No. CP01-115. Eventually the Commission approved Transwestern's proposed construction. | Routine data request from staff to applicant seeking additional info. |
| 821 | Nieman, Kathleen E. | Office of Markets, Tarriffs, and Rates | Tariff and Rates-East | No | | | | | | | As far as I can recall. |
| 822 | Nimis, Mary Agnes Ann | Office of Markets, Tarriffs, and Rates | East - Branch 2 | No | | | | | | | |
| 823 | Nocero, Cathie L. | Office of the Executive Director | Human Resources Management Division | No | | | | | | | |
| 824 | Nolasco, Sabrina Altagra | Office of the Executive Director | Division of Financial Services | No | | | | | | | |
| 825 | Nombrana-Owens, Maur | Office of General Counsel | Litigation | No | | | | | | | |
| 826 | Noone, Kerry J. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates: East | Yes | Teb Lokey, Rate Department Florida Gas | Kerry Noone | Occasional informational phone calls indicating filings that FGT would be making in the near future, e.g., out of period fuel tracker filing, refund report, etc. | | 1998 through 2001 | No | |

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| 827 | Noone, Kerry J. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates: East | Yes | Kerry Noone | Rate Department of Florida Gas Transmission | Telephone call to establish a date for a technical conference in RP99-186-000. | | January/February 1999 | Notice of technical conference issued on 2/26/1999 | |
| 828 | Norman, Jeffrey B. | Office of Markets, Tarriffs, and Rates | Market Development | No | | | | | | | |
| 829 | Notargiacomo, Moira | Office of General Counsel | General and Administrative Law | No | | | | | | | |
| 830 | Novak, John K. | Office of Energy Projects | Hydropower Administration and Compliance | Yes | John K. Novak | Robert Steele(PGE) | Fish kill at the Willamette Falls Project(P-2233) | | May 3- June 15,2000 | Letter to PGE dated June 29,2000, finding no violation of license occurred. | |
| 831 | Novak, Martin W. | Office of the Executive Director | Strategy and Planning Staff | No | | | | | | | |
| 832 | Novak, Steven M | Office of the Chief Information Officer | Director, Information and Systems Assurance | No | | | | | | | |
| 833 | Nutsch, Casey | Office of the Chief Information Officer | IT Infrastructure & Operations | No | | | | | | | |

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| 834 | Nygaard, K. Kristina | Office of General Counsel | Energy Projects | Yes | Thomas E. Mark, Julie A. Keil | K. Kristina Nygaard | Enron merged with Portland General Electric Co. in June 1997. Since then, Mr. Mark and Ms. Keil have telephoned or e-mailed me in order to set up courtesy visits to advise staff of proposals and activities with respect to some of their licensed hydroelectric projects and to ask general questions about the FERC hydropower program. | | Between 6/1997 and now. | No. | |
| 835 | Nygaard, K. Kristina | Office of General Counsel | Energy Projects | Yes | K. Kristina Nygaard | Thomas E. Mark and Julie A. Keil, both representing Portland General Electric Co. | Retrun e-mails and telephone calls to arrange courtesy visits to advise staff of proposals and actions concerning PGE's licensed hydroelectric projects, and to answer general questions about the FERC hydropower program. | | From 6-1997 to now. | No. | |
| 836 | Nygaard, K. Kristina | Office of General Counsel | Energy Projects | Yes | John Blair (FERC staff), Julie A. Keil | John Blair, Office of Energy Projects, K. Kristina Nygaard, Associate Counsel for Energy Projects, FERC; Alan Greenbaum, senior attorney, Office of the General Counsel, Energy Projects, FERC; Alan Mitchnick, Office of Energy Projects, FERC; Ann Miles, Office of Energy Projects, FERC; Hossein Ildari, Office of Energy Projects, FERC. | E-mails between 21/1999John Blair and Julie Keil setting up a meeting to discuss proposed disposition of Portland General Electric Co's Bull Run Hydroelectric Project No. 477. | | | No. | |

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| 837 | Nygaard, K. Kristina | Office of General Counsel | Energy Projects | Yes | Alan Greenbaum, FERC staff | Julie A. Keil, Director of Hydro Licensing and Water Rights, Portland General Electric No.; cc to K. Kristina Nygaard, Associate Counsel for Energy Projects, FERC; Alan Mitchnick, Office of Energy Projects, FERC; James Hastreiter, Office of Energy Projects, FERC; John Estep, Office of Energy Projects, FERC. | Staff response to questions raised by Portland General Electric Co. in connection with their licensed Bull Run Hydroelectric Project No. 477, concerning the NEPA process and notices of intent. | | | No. | |
| 838 | Oakley, Janet L. | Office of General Counsel | Energy Projects | No | | | | | | | |
| 839 | Oberoi, Geeta | Office of General Counsel | Markets, Tariffs, and Rates | No | | | | | | | |
| 840 | O'Brien, Susan Beth | Office of Energy Projects | DEER, West Branch 2 | No | | | | | | | |
| 841 | O'Brien, Susan Beth | Office of Energy Projects | DEER, West Branch 2 | | | | | | | | |
| 842 | O'Connor, Jerie P. | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | No | | | | | | | |
| 843 | O'Donnell, Lauren H. | Office of Energy Projects | DEER | No | | | | | | | |

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| 844 | Ogur, Jonathan | Office of Markets, Tarriffs, and Rates | Division of Market Development | No | | | | | | | |
| 845 | Okrak, John M. | Office of the Executive Director | Divsison of Regulatory Audits | | Northern Border acctg/ FERC audit staff | Northern Border Pipeline Co. accounting staff including Ms. Patricia Wiederholt and FERC audit staff including John Okrak, Karl Greniger and Beth Splittstoesser | Various accounting related subjects related to Commission's financial compliance audit of Northern Border Pipeline Co. | | Various dates between April 1993 and May 1996 | The result of the various meetings and completion of the audit led to the issuance of an audit report on may 17, 1996 to Northern Border in Docket No. FA93-45-000. | |
| 846 | O'Malley, Joseph B. | Office of General Counsel | Energy Projects | No | | | | | | | |
| 847 | Omkar, Songthara | Office of Markets, Tarriffs, and Rates | Market Development | No | | | | | | | |
| 848 | Ott, Deborah | Office of Markets, Tarriffs, and Rates | Rates East | No | | | | | | | |
| 849 | Pacella, Beth G. | Office of General Counsel | Solicitor | No | | | | | | | |
| 850 | Papsidero, Thomas F. | Office of Energy Projects | DHAC | No | | | | | | | |
| 851 | Paquin, John R. | Office of Energy Projects | Assistant Director's Office | No | | | | | | | |

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| 852 | Parsons, Julie J. | Office of Markets, Tarriffs, and Rates | IRRM | No | | | | | | | |
| 853 | Patchan, Michele L. | Office of Energy Projects | Division of Pipeline Certificates | No | | | | | | | |
| 854 | Patterson, Linda M. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Various parties to the proceeding and/or FERC Trial Staff | FERC Trial Staff and Parties, including Enron Power Marketing, Inc. | discovery - Docket No. ER98-210-000, Hearing/Settlement Conferences | Various dates | Between late December 1997 and March 1999 | Contested settlement pending. Enron contested the settlement. | |
| 855 | Paulsen, Connie D. | Office of Energy Projects | D2SI - PRO | No | | | | | | | |
| 856 | Pawelek, Adam S. | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |
| 857 | Pawlikowski, Witold J. | Office of Energy Projects | Division of Dam Safety and Inspections (D2SI) | No | | | | | | | |
| 858 | Pawlowski, Mark A | Office of Energy Projects | DEER | No | | | | | | | |
| 859 | Pazmino, John E | Office of Energy Projects | D2SI | Yes | | | | | | | |
| 860 | Peacock, Robert T. | Office of Energy Projects | D2SI | No | | | | | | | |

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| 861 | Pederson, James A | Office of Commissioner Brownell | NA | | Speech invitations by various organizations | Numerous industry representatives, possibly including those of Enron and affiliates | Various topics centering around energy markets, RTO formation, infrastrucutre | | June, 2001 - Present | None | |
| 862 | Pederson, James A | Office of Pipeline Regulation | Division of Litigation | | FERC Staff/Northern Natural | FERC Staff/Northern Natural | Issues in Docket No. RP98-203, in particular data requests/responses and settlement offers | | Various dates between May 1998 and April 1999 | When a settlement was reached and filed, the Commission approved the settlement by order issued April 16, 1999 | Settlement supported by all parties |
| 863 | Pederson, James A | Office of Pipeline Regulation | Division of Litigation | | FERC Staff/Florida Gas | FERC Staff/Florida Gas | Issues in Docket Nos. RP96-366-000 and FA94-15-002, in particular data requests/responses and settlement offers | | Various dates between October 1996 and August 1997 | When a settlement was reached and filed, the Commission approved the settlement by order issued September 24, 1997 | Settlement supported by all parties |
| 864 | Pederson, James A | Office of Pipeline Regulation | Division of Litigation | | FERC Staff/Company Representatives | Pederson, James A | Various information, mostly settlement offers, pertaining to the issues in Overthrust Pipeline's rate proceeding in Docket No. RP97-301-000 | | Various dates between 1997 and 1998 | | |
| 865 | Pederson, James A | Office of Pipeline Regulation | Division of Litigation | | FERC Staff/Company Representatives | Pederson, James A | Various information, mostly settlement offers, pertaining to the issues in Trailblazer Pipeline's rate proceeding in Docket No. RP97-408-000 | | Various dates between 1997 and 1998 | | |
| 866 | Pederson, James A | Office of Pipeline Regulation | Division of Litigation | | FERC Staff/Company Representatives | Pederson, James A | Various information, mostly settlement offers, pertaining to the issues in Black Marlin's rate proceeding in Dockat No. RP98-274-000 | | Various dates between 1998-1999 | | |

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| 867 | Pederson, James A | Office of Pipeline Regulation | Division of Litigation | Y | FERC Staff/Company Representatives | Pederson, James A | Various information, mostly settlement offers, pertaining to the issues in Northern Border's rate proceeding in Docket No. RP96-45-000 | | Various dates between 1995-1996 | | |
| 868 | Pederson, James A | Office of Pipeline Regulation | Division of Litigation | Y | FERC Staff/Company Representatives | Pederson, James A | Various information, mostly settlement offers, pertaining to the issues raised in Northern Natural's rate proceeding in Docket No. RP95-185-000 | | Various dates between 1994-1996 | | |
| 869 | Pederson, Jerry | Office of Markets, Tarriffs, and Rates | ilrm | Yes | self | steve kean | er01-2103-000 | | 5/01-6/01 | no | Order rejecting tariff amendments 96 FERC ¶ 61,003 |
| 870 | Penkrot, David R. | Office of Markets, Tarriffs, and Rates | WEST GROUP 3 | No | | | | | | | |
| 871 | Perez, Edward J. | Office of Energy Projects | Dam Safety and Inspections | Yes | FERC | Various representatives of PGE's Hydro power group | Gernal discussions regarding FERc policy and requirements, and annual submittals. | | Annually 1997 through 2001 | Some items discussed resulted in follow-up actions or acknowledgement of receipt of submitted items. | |
| 872 | Perry, Lona T | Office of General Counsel | Solicitor's Office | No | | | | | | | |
| 873 | Perry, Renee | Office of General Counsel | General and Administrative Law | No | | | | | | | |

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| 874 | Persaud, Phylecia E. | Office of Markets, Tarriffs, and Rates | DACT | No | | | | | | | |
| 875 | Petrocelli, Robert J | Office of Markets, Tarriffs, and Rates | Rates West | Yes | John Carlson, Group Manager Rates West | I do not remember, But I received it for reading | Transwestern Negotiated rate and whether the formula was consistent with commission policy | | Summer of 2001 | Commission set the matter for hearing | |
| 876 | Peuser, John C. | Office of Markets, Tarriffs, and Rates | Pipeline Rates _ East | No | | | | | | | |
| 877 | Pewterbaugh, Kevin J. | Office of Markets, Tarriffs, and Rates | Litigation | No | see other comments | | | | | | Any communications would most likely deal with the three rate cases: Northern Natural, RP95-185; Northern Natural, RP98-203; and Northern Border, RP99-322; or other rate-case-related information. |
| 878 | Pierce, Bolton S | Office of the Chief Information Officer | Software Engineering | Yes | Hoyt Ivey | Bolton S Pierce Jr | Participation in initial testing of Form 2 (Gas) Submission Software for Northern Natural Gas Company, Transwestern Pipeline Company, and Florida Gas Transmission Company. | | | Hoyt was forwarded email instructions on downloading and installing the Form 2 Submission Software. | none |
| 879 | Pierce, Bolton S | Office of the Chief Information Officer | Software Engineering | Yes | Hoyt Ivey | Pierce, Bolton S | Participation in initial testing of Form 2 (Gas) Submission Software for Northern Natural Gas Company, Transwestern Pipeline Company, and Florida Gas Transmission Company. | | | Hoyt's suggestions were included in the list of improvements suggested by all users of the Form 2 software. | |

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| 880 | Pierce, Bolton S | Office of the Chief Information Officer | Software Engineering | Yes | Hoyt Ivey | Pierce, Bolton S | To facilitate correction and enhancement of the Form 2 Submission Software. | | | Suggested enhancements were included in list of proposed improvements and corrections to the software | |
| 881 | Pierce, Bolton S | Office of the Chief Information Officer | Software Engineering | Yes | Hoyt Ivey | Pierce, Bolton S | Reporting of various software problems experienced by Hoyt Ivey while trying to use the Form 2 Submission Software. | | | List of problems was used to correct the Form 2 Submission Software | |
| 882 | Pierce, Bolton S | Office of the Chief Information Officer | Software Engineering | Yes | Hoyt Ivey | Pierce, Bolton S | Report of software problems with the Form 2 Submission Process | | | Problems were noted and corrected. | |
| 883 | Pierce, Bolton S | Office of the Chief Information Officer | Software Engineering | Yes | Hoyt Ivey - (713-853-7210) | Pierce, Bolton S | Occasional phone calls regarding status of the FOrm 2 Submission Software. | | 02/01/2001 - 12/2/2001 | Hoyt was given the current status of software correction issues identified by users. | |
| 884 | Pierce, Gerald A. | Office of Energy Projects | Division of Dam Safety and Inspections - Portland R.O. | Yes | me | Earl Curtis or Don Miller of Portland General Electric | I called on the telephone to schedule a 7-31-1998 site inspection of a leaky penstock at the Bull Run Project, FERC No. 477. | | | Site inpsection was conducted on 7-31-1998. | Refer to D2SI-PRO August 13, 1993 Special Operation Report. |
| 885 | Pierce, H. Keith | Office of Markets, Tarriffs, and Rates | Rates:East | No | | | | | | | |
| 886 | Plata, Frank J. | Office of the Secretary | Office of the Secretary | No | | | | | | | |

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| 887 | Plumb, Daniel W. | Office of Energy Projects | DPR | Yes | Daniel W. Plumb | Keith L. Petersen <kpeters@enron.com> | Docket No. CP01-89-000 & CP01-100-000: An e-mail requesting the status of a withdrawal of Western Gas Resources-Texas, Inc.'s petition for Declaratory Order in Docket No. CP01-100-000. | | 1/2/2001-5/1/2001 | After 30 days the Petition was considered to be withdrawn. | |
| 888 | Plumb, Daniel W. | Office of Energy Projects | DPC | Yes | Daniel W. Plumb | Keith L. Petersen <kpeters@enron.com> | Northern was asked to explain in greater detail the reconfiguration of facilities to be abandoned (The Mitchell-to-Gomez Lateral), (The Gomez Compressor Station Unit No. 5) and (The Reed State Lateral). | | 1/2/01-5/7/01 | An order in Docket No. CP01-89-000 was issued on May 15, 2001. | Donna Martens, Sr. Regulatory Analysis, (402) 398-7138 with Northern called me to confirm that I had received the supplemental information. |
| 889 | Plumb, Daniel W. | Office of Energy Projects | DPC | Yes | Daniel W. Plumb | Keith L. Petersen, (402) 398-7421, Dir. Cert. & Reporting, Northern | A request for additional information in Docket No. CP01-89-000. | | 4/26/01-5/1/01 | No. | |
| 890 | Plumb, Daniel W. | Office of Energy Projects | DPC | Yes | An Enron Company or myself. | An Enron Company or myself. | Occasional Communication with no specific record on CP Docket related matters, ie: case status and data requests. | | No specific record. | Additonal information from the company would result in a Commission Order, either granting or denying the request. | |
| 891 | Poffenberger, Daniel Lee | Office of Markets, Tarriffs, and Rates | Litigation | No | | | | | | | |
| 892 | Polit, Juan I | Office of Energy Projects | Environmental and Engineering Review | Yes | Juan I. Polit | Keith L. Peterson or his secretary | Request for copy of Northern Natural Gas Company's February 17, 1998 letter to the US Fish and Wildlife Service. | | | No. | |

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| 893 | Polit, Juan I. | Office of Energy Projects | Environmental and Engineering Review | Yes | Keith L. Peterson or his secretary | Juan I. Polit | Can't find requested copy of Northern Natural Gas Company's February 17, 1998 letter to the US Fish and Wildlife Service. | | | No. | |
| 894 | Pollonais, Susan G. | Office of Markets, Tarriffs, and Rates | Rates - West | Yes | FERC Staff/Northern Natural in RP98-203 | All parties to the proceeding including Northern Natural and FERC Staff | Data requests, data responses and settlement offers related to Docket No. RP98-203-000 | | May 1998 and April 1999 | Settlement filed on April 16, 1999 supported by all parties, with a FERC order issued on June 18, 1999 | |
| 895 | Pollonais, Susan G. | Office of Markets, Tarriffs, and Rates | Rates - West | Yes | FERC Staff in Docket No. RP01-180-000 | Various respondents including Enron | In response to complaint filed by San Diego Gas & Electric, FERC Staff issued data requests to interstate pipelines, LDCs and marketers serving California to acquire information to eveluate San Diego's complaint (Enron was not the focus of the complaint) | | 22-Dec-00 | Order Requesting Comments issued on May 22, 2001 | |
| 896 | Pool, Randal G. | Office of Energy Projects | Dam Safety and Inspections | No | | | | | | | |
| 897 | Poole, Allan Bruce | Office of Markets, Tarriffs, and Rates | DPIC | No | | | | | | | No contact with Enron |
| 898 | Poole, Marc F. | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates -- Central | No | | | | | | | |

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| 899 | Posey, Philis J. | Office of the Secretary | Agenda and Minutes | No | | | | | | | |
| 900 | Puglese, Peter J. | Office of Energy Projects | D2SI | No | | | | | | | |
| 901 | Quijada-Cusack, Katherl | Office of the Chief Information Officer | Knowledge and Information Management | No | | | | | | | |
| 902 | Quinn, Joeller G. | Office of the Executive Director | Management Administrative Payroll Support | No | | | | | | | |
| 903 | Radway, Peter R. | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates West | No | | | | | | | |
| 904 | Raimonde, Heather Lynn | Office of the Executive Director | Regulatory Audits | No | | | | | | | |
| 905 | Ramos, Erica D | Office of the Chief Information Officer | OCIO | No | | | | | | | |
| 906 | Randall, Kirk F | Office of Markets, Tarriffs, and Rates | Policy Innovation and Communication | No | | | | | | | |

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| 907 | Rattey, Ronald L. | Office of Markets, Tarriffs, and Rates | Energy Markets | Yes | Ron Rattey | Christi Nicolai, Joe Hartsoe, Charles Yeung | During the summer of 1998 and through the fall of 1999 (when I served in a role of monitoring electric markets, I had periodic phone and e-mail conversations with the named Enron Staff regarding anomolous market events (price spikes, transmission access, etc) in order to get "real world" info to aid in evaluating the events. Often, I would call them but sometimes they called to tell me of events as they were unfolding. I cannot recall the specifics of any of the calls and have not found any notes or memos I may have written relating to these calls. | | Summer 1998 thru Fall 1999 | None that I have been able to identify or recall. | |
| 908 | Raynor, Brenda | Office of the Secretary | Registry | No | | | | | | | |
| 909 | Reeder, Charles H. | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates - West | No | | | | | | | |

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| 910 | Regal, Ray G. | Office of the Executive Director | OCA, Division of Regulatory Accounting Policy | No | | | | | | | |
| 911 | Regan, Patrick J | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele (PGE) | Pat Regan (FERC) | Incidents related to public safety or environmental compliance at PGE hydro projects | | October 2000 to December 2001 | Phone calls were documented in incident reports | |
| 912 | Regan, Patrick J | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele (PGE) | Pat Regan (FERC) | Annual test of project Emergency Action Plans (EAPs) | | October 2000 to December 2001 | Phone calls were documented on response sheets | Annual tests of EAPs are a requirement of the Commission's dam safety program |
| 913 | Reid, Ray | Office of the Executive Director | Division of Regulatory Accounting Policy | No | | | | | | | |
| 914 | Reusch, Charles F. | Office of General Counsel | litigation | Yes | ron carroll, enron counsel | all other counsel for active parties, er97-2358-002 | proposed language for settlement | | | no | Privileged settlement communication, see rule 602(e) |
| 915 | Reusch, Charles F. | Office of General Counsel | litigation | Yes | ron carroll, enron counsel | all counsel of active parties, er97-2358-002 et al. | reply comments to wording proposed by counsel for dwr | | | no | Priviledged settlement document, see rule 602(e) |
| 916 | Reusch, Charles F. | Office of General Counsel | litigation | Yes | ron carroll, enron's counsel | counsel for all active parties, er9702358-002, et al. | enron's list of unsettled issues | | | no | Privileged settlement document, see rule 602(e) |
| 917 | Reusch, Charles F. | Office of General Counsel | litigation | Yes | reusch charles f, epstein roni f | all counsel to active parties, er97-2358-002 et al. | Staff's issues for the issues list. | | | no | none |
| 918 | Reusch, Charles F. | Office of General Counsel | litigation | Yes | ferc trial staff | counsel for all active parties, indluding enron, er97-2358-002 | trial staff's responses to data requests of california companies | | | no | none |

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| 919 | Reusch, Charles F. | Office of General Counsel | litigation | Yes | reusch, charles f, epstein, roni f | counsel for all active parties, including enron, er97-2358-002 | trial staff's data requests to enron | | | no | none |
| 920 | Reusch, Charles F. | Office of General Counsel | litigation | Yes | ron carroll, enron's counsel | presiding judge stephen grossman, er97-2358-002, served on counsel for all active parties | complaint about large number of data requests served on enron by counsel for california companies | | | no | none |
| 921 | Reusch, Charles F. | Office of General Counsel | litigation | Yes | chief judge wagner | counsel for enron, and for all active parties, er97-2358-002 | chief judge's ruling as to how and when enron will respond to data requests issued by counsel for california companies | | | no | none |
| 922 | Reusch, Charles F. | Office of General Counsel | litigation | Yes | ron carroll, counsel for enron | presiding judge and counsel for all active parties, er97-2358-002 | enron's answer to motion to compel discovery responses filed by california companies | | | no | none |
| 923 | Reusch, Charles F. | Office of General Counsel | litigation | Yes | enron | counsel for all active parties, er97-2358-002 | enron's settlement offer | | | Commission may have accepted a partial settlement | priviledge settlement document, see rule 602(e) |
| 924 | Reusch, Charles F. | Office of General Counsel | litigation | Yes | so cal edison counsel, for all parties | presiding judge stephen grossman, counsel for all active parties, er97-2358-002 | issues list for hearing | | | no | none |
| 925 | Reusch, Charles F. | Office of General Counsel | litigation | Yes | enron | counsel for all active parties, er97-2358-002 | enron's settlement offer | | | Commission may have accepted a partial settlement | none |
| 926 | Reusch, Charles F. | Office of General Counsel | litigation | Yes | enron's counsel | counsel for active parties, docket ER97-2358-003, et al. | responses to data requests issued by southern california edison co. | | | no | none |
| 927 | Reusch, Charles F. | Office of General Counsel | litigation | Yes | enron's counsel | counsel for active parties, docket er97-2358-002, et al. | enron's responses pacific gas & electric company's first set of data requests | | | no | none |
| 928 | Reusch, Charles F. | Office of General Counsel | litigation | Yes | enron's counsel | counsel for active parties, docket er97-2358-002, et al. | enron's responses pacific gas & electric company's first set of data requests | | | no | none |
| 929 | Reusch, Charles F. | Office of General Counsel | litigation | Yes | counsel for enron | counsel for all active parties, docket er97-2358-002, et al. | enron's objections to data requests issued by pacific gas & electric co. | | | no | none |

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| 930 | Richards, Kevin S. | Office of Energy Projects | D2SI-CRO | No | | | | | | | |
| 931 | Richardson, Larry W | Office of the Chief Information Officer | Software Engineering | No | | | | | | | |
| 932 | Rieley, Thomas O. | Office of the Chief Information Officer | systems engineering | No | | | | | | | |
| 933 | Ristway, Edward A. | Office of Markets, Tariffs, and Rates | West | No | | | | | | | |
| 934 | Robb, Paul W | Office of Markets, Tariffs, and Rates | Energy Markets | No | | | | | | | |
| 935 | Robinson, J. Mark | Office of Energy Projects | Front Office | Yes | Calypso Employee | J. Mark Robinson | let me know that they were sending a letter telling us that they would be asking us to defer environmental work on the Calypso Pipeline | | | no | |
| 936 | Robinson, Karen R. | Office of the Executive Director | Strategy and Performance Staff | Yes | Donna Fulton, Enron | Karen Robinson | Telephone call - asked for full names and titles of FERC staffers to make name tags for the 12/07/00 Houston visit | | week before 12/07/00 | No | |

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| 937 | Rodgers, Steve P. | Office of Markets, Tarriffs, and Rates | Tariffs & Rates, East | No | | | | | | | Other than formal filings made by Enron and the Commission orders on those filings (all of which are publicly available), I do not recall having had any communications with Enron on cases I worked on. |
| 938 | Rogers, John Michael | Office of Markets, Tarriffs, and Rates | Rates East | No | | | | | | | |
| 939 | Roldakis, Peter J. | Office of General Counsel | OGC-MTR | Yes | Joseph Hartsoe | Peter Roidakis | request for phone number of current Chairman's office | | November 13-30, 2001 ?? | No. | I didn't have current number, only number for former Chair James J. Hoecker. Mr. Hartsoe said not to worry and rang off. (I'm not sure of exact date, but think it was the day of Dave Boerger's (former Secretary of the Commission's)farewell party. |

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| 940 | Roidakis, Peter J. | Office of General Counsel | OGC-MTR | Yes | Joseph Hartsoe [& R.Rangel & M. Pavlou] | Peter Roidakis | Settlement between Cal. Pub. Utilities Commission and Transwestern. | | | The settlement was ultimately filed on May 21, 1996, was uncontested, and was approved at 77 FERC Paragraph 61,030 (1996) | Joe Hartsoe asked if the settlement should be filed without showing it to staff first, since the CPUC hadn't approved it as a public document. Since it concerened both an amendment to an old settlement and a resolution of the Purchased Gas Alternative Recovery (PGAR) proposal it bridged both Rules 601 and 602, and I suggested they were free to file if they wished -one concern was that if FERC staff had problems with it, it would be difficult to comment on it absent public notice. I suggested he call Richard Howe or Mike McLaughlin before proceeding. |
| 941 | Rooths, Larry D. | Office of Markets, Tarriffs, and Rates | Rates-West | No | | | | | | | |
| 942 | Rosenberg, Marvin | Office of Markets, Tarriffs, and Rates | Market Development | Yes | Marvin Rosenberg | Christie Nicholay | As part of the inquiry into EnronOnLine I requested a list (and description) of all natural gas and power "products" offered on EnronOnLine. Enron eventually denied the request. | | 07/01/2001-08/10/2001 | no | This is the only e-mail I recall sending to Enron. However, there may have been others. The e-mail was eventually deleted, after several months, as part of routine maintenance by the Commission's IT group. I believe that the e-mail can be retrieved from backup files. |

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| 943 | Rosenberg, Marvin | Office of Markets, Tarriffs, and Rates | Market Development | Yes | Christi Nicolay | Marvin Rosenberg | A copy of a filing by Enron subsidiaries, Portland General Electric and Enron Power Marketing. | | 05/23/2001-06/22/2001 | The Commission rejected Enron's request | The filing concerned EnronOnLine |
| 944 | Rosenberg, Marvin | Office of Markets, Tarriffs, and Rates | Market Development | Yes | Christi Nicolay, Sara Novosel and others | Marvin Rosenberg | Inquiry into EnronOnLine | | 05/23/2001-08/15/2001 | No | I may have received e-mail communications from Enron employees concerning the Commission's inquiry into EnronOnLine during this period. I do not have copies of the e-mail communications I had with Enron employees. The e-mail was eventually deleted, after several months, as part of routine maintenance by the Commission's IT group. I believe that the e-mail can be retrieved from backup files. |
| 945 | Rosenberg, Marvin | Office of Markets, Tarriffs, and Rates | Market Development | Yes | Marvin Rosenberg | Joe Hartsoe | Fax informing Enron of the Commission's inquiry into EnronOnLine | 5/23/2001 | | No | |
| 946 | Ross, William R. | Office of Energy Projects | Division of Dam Safety Inspections | No | | | | | | | |
| 947 | Rossmiller, Rachel | Office of Markets, Tarriffs, and Rates | East | No | | | | | | | |

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| 948 | Rothenberg, Steven A | Office of General Counsel | General Law/Enforcement | No | | | | | | | |
| 949 | Rothman, Steven A. | Office of General Counsel | Market Oversight and Enforcement | No | | | | | | | |
| 950 | Rowell, Margaret C. | Office of the Executive Director | Human Resources Management | No | | | | | | | |
| 951 | Rudd, Sarah Z | Office of the Executive Director | MAPS | No | | | | | | | |
| 952 | Russell, Sandra J. | Office of Markets, Tarriffs, and Rates | Division of Market Information | No | | | | | | | |
| 953 | Russo, Thomas Nicholas | Office of External Affairs | Public Affairs | No | | | | | | | |
| 954 | Rylander, Elizabeth E | Office of General Counsel | Markets, Tariffs and Rates | Yes | Cynthia C. Sandherr | Francis S. Blake and Robert Gordon Card, Dept. of Energy. Letter was forwarded to Paul McKee, FERC, by Brenda Mackall, Dept. of Energy. | Right of residential customers to install time-of-use meters in their homes; lack of uniform business rules for wholesale and retail transactions; allowing retail markets who serve residential customers to advocate on behalf of their customers in FERC-adopted governance protocols for RTOs. | | | I was asked to write a draft response letter to Ms. Sandherr, and I did so; I do not know whether or when the letter was signed and mailed. | |

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| 955 | Saizan, Regina M. | Office of Energy Projects | Hydropower Administration and Compliance | Yes | Regina Saizan, FERC | Julie Keil, PG&E | Two projects (Mussers Dam, Project No. 3706 and Idols, Project No. 2585) involving surrender applications. | | | No. | |
| 956 | Saizan, Regina M. | Office of Energy Projects | Hydropower , Administration and Compliance | Yes | Julie Keil, PG&E | Regina Saizan, FERC | Ms. Keil requested that I schedule a meeting to discuss the Bull Run Project No. 477 removal plan. | | | Meeting was scheduled for October 28, 1999. | |
| 957 | Sakallaris, Andrew M | Office of the Executive Director | Procurement | No | | | | | | | |
| 958 | Salas, Magalie R. | Office of the Secretary | N/A | No | | | | | | | |
| 959 | Sampson, Sonya D | Office of Markets, Tariffs, and Rates | West | No | | | | | | | |
| 960 | Samuel, Donna | Office of Energy Projects | D2SI | No | | | | | | | |
| 961 | Samuel, Juwan M. | Office of the Executive Director | Division of Organization Management | No | | | | | | | |

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| 962 | Sanford, Heidemarie A. | Office of External Affairs | Congressional, Intergovernmental and Public Affairs | Yes | Liz Beddingfield, Enron Corp. | Heide Sanford, Office of External Affairs | Requested 15 copies of an FERC brochure entitled "An Interstate Natural Gas Facility on My Land". | | | Copies of the brochure were mailed to her. | |
| 963 | Sanford, Heidemarie A. | Office of External Affairs | Congressional, Intergovernmental and Public Affairs | Yes | Rebecca Cantrell, Enron | Heide Sanford, Office of External Affairs | What is the source of information for the "Firm Transportation Data - Marketshare Chart" covering April 1996 - July 1998 that appears on page 15 of the FERC "State of the Markets" July 2000 report? | | | The figures used to prepare the graph of marketer share of transportation were extracted from the 1999 Energy Information Administration, DOE, report, "Issues and Trends," Table D12, page 222. | |
| 964 | Sanford, Heidemarie A. | Office of External Affairs | Congressional, Intergovernmental and Public Affairs | Yes | Mong Heu, Enron (New York) | Heide Sanford, Office of External Affairs | Mr. Heu's question concerned "Generator bids which are determined by Gas Daily spot market price." However, Gas Daily prices are "monthly mid week price." | | | Staff provided Mr. Heu the caveats of the data. | The communication was a telephone call. |
| 965 | Sanford, Heidemarie A. | Office of External Affairs | Congressional, Intergovernmental and Public Affairs | Yes | Scott Simms, Portland General Electric | Heide Sanford, Office of External Affairs | Received a telephone message that Mr. Simms wished to discuss "Settlement." | | | A voice mail message was left. Mr. Simms did not return the call. | |
| 966 | Sanford, Heidemarie A. | Office of External Affairs | Congressional, Intergovernmental and Public Affairs | Yes | Sheila Change, Enron, Houston | Heide Sanford | A telephone inquiry was received; however, a notation was not made as to the nature of the call. | | | The inquiry was completed. How it was closed is not noted. | |

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| 967 | Sarma, Vedula Y. | Office of Energy Projects | Division of Hydropower Administration and Compliance | No | | | | | | | |
| 968 | Sauter, Laurence J., Jr. | Office of Energy Projects | DEER | Yes | L.J. Sauter, Jr. | Rockford Meyer and/or Lee Hubber | Issues related to staff's environmental review of Transwestern's filing in Docket No. CP94-751-000 through -005. | | 02/05/96 - 02/28/96 | I assume the Commission ultimately approved Transwestern's 7(b) filing for abandonment of numerous facilities in OK, NM, and TX. | I was asked to step-in and assist another staff person with this case. My involvement was extremely limited; it consisted of several phone calls between myself and one or both of the recipients identified above. |
| 969 | Scaggs, Charles E. | Office of the Chief Information Officer | Policy and Planning | No | | | | | | | |
| 970 | Schaeffer, Karen L. | Office of Markets, Tariffs, and Rates | Tariffs and Rated Central | No | | | | | | | |
| 971 | Schratwieser, Diane B. | Office of General Counsel | Litigation | No | | | | | | | |
| 972 | Scott, John H. | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |

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| 973 | Seeley, Pamela S | Office of Markets, Tarriffs, and Rates | Tariff & Rates Central | No | | | | | | | |
| 974 | Seigel, Jessica Allegra | Office of General Counsel | Energy Projects | No | | | | | | | |
| 975 | Semega, Tamrah A. | Office of the Executive Director | of , Logistics Management | No | | | | | | | |
| 976 | Senf-Mitrione, Kathryn M | Office of Markets, Tarriffs, and Rates | DACT | No | | | | | | | |

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| 977 | Shaffer, Mark S. | Office of General Counsel | front office | Yes | see comment section | see comment section | see comment section | | 1992-2001 | no | I have no record of communications with Enron employees. I do not recollect any specific communications taking place other than those that may have taken place with repsect to some of the answers given to 3(a). However, during the years I was a commissioner assistant, it is likely that I took calls from Enron representatives requesting courtesy visits with the Commissioner or received copies of filed pleadings the Enron companies made in FERC proceedings. During these years, I recall both Ms Fulton and Mr. Hartsoe stopping by the Commissioners' offices occasionally to drop of copies of filed documents. I can recall no communications other than general pleasantries. |

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| 978 | Shaffer, Robert William | Office of Energy Projects | Division of Hydropower Admin & Compliance. | | | | | | | | I may have been assigned Enron related work when I worked in the Pipeline Certificates Branch and the Environmental Compliance Branch but I have no files or recall any communications. I am presently with the Division of Hydropower Admin & Compliance and to the best of my knowledge I have not had any Enron related work or communications. |
| 979 | Shannon, Paul J. | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |
| 980 | Shapiro, Steven A. | Office of General Counsel | DRS | No | | | | | | | |
| 981 | Sheldon, Robert R. | Office of Markets, Tariffs, and Rates | Pipeline Rates East | No | | | | | | | |
| 982 | Shepherd, Cordelia M. | Office of Markets, Tariffs, and Rates | Issue Identification and Resolution Management | No | | | | | | | |
| 983 | Shepherd, Helen S. | Office of General Counsel | Market Oversight and Enforcement | No | | | | | | | |

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| 984 | Sheppeard, Laura K | Office of General Counsel | Administrative Litigation | Yes | Christi Nicklay, Enron Power Marketing, | All participants in the Southeast RTO mediation, RT01-100-000 and the mediator, Judge Bobbie McCartney and her team. | Comments on strawman proposals of the competing RTO plan proponents. Comments were directed by the mediator, and most other participants also submitted them. Also, miscellaneous e-mails re: scheduling meetings of working groups during the mediation. | | Various dates in July and August 2001 | No. The Commission did not see these communications. Public comments on the mediator's 9/10/2001 report were filed by EPMI and at least 120 other participants. The Commission has not acted on the report. (See 3A, meetings, for actions the Commission has taken in this docket since the report was issued.) | |
| 985 | Sheppeard, Laura K | Office of General Counsel | Administrative Litigation | Yes | Most of the parties, including EPMI | All parties and trial staff in Pacific Gas & Electric Co., ER97-2358-002, Southern California Edison Co., ER97-2355-002, and San Diego Gas & Electric Co., ER97-2364-002. | Telephone calls and written communications re: settlement, tariff language, discovery, and procedural matters (e.g., procedural schedule, issues list). Case involved the non-rate terms and conditions of transmission and wholesale distribution service with the California ISO operating. | | Various dates between January 1998 and February 1999 | Commission (and the Presiding Judge) were not parties to these proceedings. Trial staff participated in these proceedings; we supported a partial settlement and submitted testimony, participated in the hearing, and filed briefs on the remaining issues. The ALJ certified the settlement and his Initial Decision to the Commission for its review. | |
| 986 | Sherman, Kathleen L. | Office of the Chief Information Officer | Communications Manager; report directly to CIO | No | | | | | | | |

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| 987 | Sherwood, Mary R. | Office of the Executive Director | Front Office | No | | | | | | | |
| 988 | Siddell, Dwight B. | Office of the Executive Director | Division of Regulatory Audits (DRA) | Yes | DRA Audit Team | Plant Accounting Staff (Northern Natural Gas Company) | Various data requests for information relating to the Audit of Cost of Removal/Abandonment of Plant (Docket# FA98-003-000) | | April 1998--September 2000 | No | |
| 989 | Siddell, Dwight B. | Office of the Executive Director | Division of Regulatory Audits (DRA) | Yes | DRA Audit Team (Docket # FA00-38-000) | Plant Accounting Staff (Northern Natural Gas Company) | Various data requests for information relating to the Audit of Northern Natural Gas Company's construction activities | | July 2000 --- December 2001 | Audit Report issued on December 7, 2001. | |
| 990 | Siddell, Dwight B. | Office of the Executive Director | Division of Regulatory Audits (DRA) | Yes | Litigation Team in Docket # RP99-322 | Accounting and Rates Staff at Northern Border Pipeline Company | Various requests for information relating the filing in Northern Border Pipeline Company rate case (Docket # RP99-322) | | November 1999--- December 2000 | RP99-322 ended with Settlement. | |
| 991 | Siddell, Dwight B. | Office of the Executive Director | Division of Regulatory Audits (DRA) | Yes | DRA Audit Team in Docket # FA98-007-000 | Northern Border Pipeline Company's Accounting Staff | Various data requests for information relating to the accounting and reporting of cost to construct the "Chicago Project". | | July 1999--- October 1999 | No. Audit was terminated and DRA Audit Team joined the litigation team in Docket # RP99-322. | |
| 992 | Sidoti, Anton J. | Office of Energy Projects | D2SI | No | | | | | | | |
| 993 | Sidrak, Lotfy N | Office of Markets, Tarriffs, and Rates | LITIGATION | No | | | | | | | |

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| 994 | Siems, Jonathan L. | Office of Markets, Tarriffs, and Rates | Div of Litigation | Yes | Enron representatives | Docket No. RT01-100 -- Southeast Regional Transmission Organization (RTO) Mediation -- FERC mediation team: J. Siems, ALJ Bobbie McCartney (Mediator), Laura Sheppeard (OGC), Herbert Tate (Outside, ad hoc contractor assisting the Mediator) | Numerous position papers, either individually or as a group of similarly intersted power market participants, regarding their recommendations as to various aspects of the characteristics that should be embodied in single Southeast U.S. RTO | | July - September 2001 | The Commission has not, to my knowlege, taken any specific action in response to the mediation or the Mediators ultimate report to the Commission regarding the consensus (or lack thereof) on the establishment of a Southeast RTO, although the Commission has generally held additional technical conferences and established procedures to more directly involve the affected State regulatory commissions in the process. | Documents referenced, like those of other participants in the mediation, were submitted to the FERC mediation team on the understanding that they would be strictly confidential. I have no hard copies of those from Enron; electronic versions disappeared from my Groupwise in-box months ago as a matter of course since they had been there more than 90 days; and given the massive volume of the documents from Enron and other participants, I chose not to save/archive them. |
| 995 | Siems, Jonathan L. | Office of Markets, Tarriffs, and Rates | Div of Litigation | Yes | Enron representative Christi Nicolay | FERC mediation team in Docket No. RT01-100 -- Southest Regional Transmission Organization (RTO) mediation -- J. Siems, ALJ Bobbie McCartney (Mediator), Laura Sheppeard (OGC), Herbert Tate (Outside ad hoc contractor assisting the Mediator) | Attached 1-4-01 comments of the Federal Trade Commission to the Department of Energy regarding electric reliability concerns, and a report prepared for Enron and other electric power suppliers regarding relative prices during the transition from regulation to competition, and explaining various risk management instruments that market participants could employ. | 8/21/2001 | | No | All documents provided by all participants in the mediation were submitted to the mediation team on a strictly confidential basis. |

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| 996 | Silverstein, Edward M. | Office of Administrative Law Judges | None | No | | | | | | | Your questionaire does not exclude hearings, prehearing conferences, etc., and it should. To my recollection, I have not communicated in any way with an Enron employee or representative. Moreover, I would not have communicated with any Enron employee or representative except at publicly noticed hearings, prehearing conferences, etc., for which transcripts were made or at settlement conferences which were attended by all parties in a case pursuant to my order or in an order which was published by the Commission and served on all parties in a proceeding. |
| 997 | Simler, Jamie L. | Office of Markets, Tariffs, and Rates | Corporate Applications/IIRM | Yes | | self | On occasion for general projects there may have been inquiries to industry participants that may have included representatives of Enron. | | November 1997 - August 2001 | Not to my knowledge | |
| 998 | Simmons, Ira B. | Office of Energy Projects | D2SI, San Francisco Regional office | No | | | | | | | |

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| 999 | Simms, Clarence E. | Office of the Executive Director | Division of Regulatory Audits | No | | | | | | | |
| 1000 | Simon, Daniel R. | Office of General Counsel | Administrative Litigation | No | | | | | | | |
| 1001 | Simon, David L. | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |
| 1002 | Singh, Gajinder | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - EAST | No | | | | | | | |
| 1003 | Skalka, Susan,I | Office of the Executive Director | MAPS | No | | | | | | | |
| 1004 | Smith, Daniel P. | Office of Energy Projects | D2SI | No | | | | | | | |
| 1005 | Smith, Denice J. | Office of the Chief Information Officer | Customer Support & IT Deployment Services | No | | | | | | | |
| 1006 | Smith, Gail M. | Office of Markets, Tarriffs, and Rates | Rates - West - III | No | | | | | | | |

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| 1007 | Smith, Gloria T. | Office of Administrative Law Judges | LJ2 | No | | | | | | | |
| 1008 | Smith, Janee L. | Office of Energy Projects | D2SI-ARO | No | | | | | | | |
| 1009 | Smith, John B. | Office of Energy Projects | Division of Environmental and Engineering Review | No | | | | | | | |
| 1010 | Smoyer, Andrew T | Office of the Executive Director | Management, Administrative and Payroll System | No | | | | | | | |
| 1011 | Snesrud, Patricia | Office of the Secretary | Office of the Secretary | No | | | | | | | |
| 1012 | Solomon, Robert H. | Office of General Counsel | Solicitor | No | | | | | | | No communications other than meetings separately enumerated. |
| 1013 | Soopper, Samuel | Office of General Counsel | MTR | | | | | | | | I have no recollection of any communications with representatives of Enron. |
| 1014 | Sosa, Terri L. | Office of the Executive Director | Human Resources | No | | | | | | | |

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| 1015 | Sotelo, John L | Office of the Executive Director | Budget | No | | | | | | | |
| 1016 | Soto, Andrew K. | Office of General Counsel | Solicitor | Yes | Andrew K. Soto | Frank X. Kelly and/or Steve Stojic, representing Northern Natural Gas Co. | Court procedures in appeals of FERC orders involving Northern Natural Gas Co. | | Nov. 1998 to Nov. 2001 | No. | |
| 1017 | Soto, Andrew K. | Office of General Counsel | Solicitor | Yes | Frank X. Kelly or Steve Stojic | Andrew K. Soto | Court procedures in appeals of FERC orders involving Northern Natural Gas Co. | | Nov. 1998 to Nov. 2001 | No. | |
| 1018 | Sozzi, Eugenia E | Office of the Executive Director | Human Resources | No | | | | | | | |
| 1019 | Sparber, Frank A | Office of Markets, Tarriffs, and Rates | Rates West | Yes | Lawrence J. Hand - Bridgeline Holdings | FERC, by letter, and Frank Sparber by telephon | Request for extension of time to respond to Data Request in Section 311 Rate Proceeding | | Oct. 28-30, 2001 | Extension Granted | |
| 1020 | Speight, Helen S. | Office of the Executive Director | Division of Financial Policy | No | | | | | | | |
| 1021 | Spencer, Charles B. | Office of the Secretary | Office of the Secretary | No | | | | | | | |
| 1022 | Spicer, Stephen A. | Office of Energy Projects | Dam Safety and Inspections | No | | | | | | | |

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| 1023 | Springer, Michelle | Office of Markets, Tariffs, and Rates | Division of Rates and Tariffs-East | no | | | | | | | |
| 1024 | Squirewell, Bessine B. | Office of the Executive Director | Organizational Management | No | | | | | | | |
| 1025 | Stanek, Jason M | Office of General Counsel | Markets, Tariffs and Rates | No | | | | | | | |
| 1026 | Stankus, Alexander J. | Office of the Chief Information Officer | IT Infrastructure and Operations | No | | | | | | | |
| 1027 | Starkey, Jeannette | Office of the Executive Director | Administrative Support Staff | No | | | | | | | |
| 1028 | Stein, Marlene L. | Office of Markets, Tariffs, and Rates | Office of the Associate Director | No | | | | | | | |
| 1029 | Stein, Michael H. | Office of the Executive Director | DOM | No | | | | | | | |
| 1030 | Steinberg, Robert | Office of Markets, Tariffs, and Rates | Rates - East | No | | | | | | | |

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| 1031 | Stephenson, Brian | Office of Markets, Tarriffs, and Rates | Central | No | | | | | | | |
| 1032 | Strasser, Virginia | Office of General Counsel | Market Oversight and Enforcement | Yes | Virginia Strasser | Dennis Stram | Thank you note for time spent showing us trading floor of Enron | | | no | |
| 1033 | Strasser, Virginia | Office of General Counsel | MOE | Yes | Virginia Strasser | Dick O'Neill, Marsha Gransee, Dennis Stram | I visited the Enron trading floors in Houston together with Dick O'Neill and Marsha Gransee and met afterwards with Dennis Stram, an Enron official whom Dick knew personally | | | None | |
| 1034 | Stratton, Donna M. | Office of Markets, Tarriffs, and Rates | Rates - West | No | | | | | | | |
| 1035 | Strzelecki, Michael Steve | Office of Markets, Tarriffs, and Rates | Tariffs and Rates, Central | No | | | | | | | |
| 1036 | Sullivan, Barry E. | Office of Markets, Tarriffs, and Rates | Division of Litigation | Yes | Enron Pipelines (Northern Natural, Flori | Barry Sullivan - Litigation Staff | Data responses by Enron pipleine presonnel to Staff data requests in formal pipeline rate proceedings as previously identified in Question No. 3A. | | 1992 to 2002 | Used the data furnished in formal rate case proceedings involving Florida Gas, Northern Natural and Transwestern Pipeline as previously identified in response to Question No. 3A. | |

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| 1037 | Sultan, Shaheda | Office of General Counsel | MTR | Yes | | | | | | | I do not now know or remember whether I had communications with Enron personnel, but am not willing to categorically state that I did not. |
| 1038 | Sum, Pong T. | Office of Energy Projects | DAM SAFETY AND INSPECTIONS | No | | | | | | | |
| 1039 | Swanson, Karl F | Office of Energy Projects | D2SI-PRO | No | | | | | | | |
| 1040 | Swearingen, David C. | Office of Energy Projects | Gas Branch 1 | No | | | | | | | |
| 1041 | Sweet, Matthew T. | Office of the Executive Director | Financial Policy | No | | | | | | | |
| 1042 | Szopo, Irene E. | Office of General Counsel | Litigation | No | | | | | | | |
| 1043 | Tabbs, Denise H. | Office of the Chief Information Officer | IT Strategic and Capital Planning and Policy | No | | | | | | | |
| 1044 | Tao, Leonard M. | Office of General Counsel | Markets, Tariffs and Rates | Yes | C.M. Naeve | A filing before the Commission in docket number EL01-68-000. | Portland General Electric's concerns regarding West-wide price mitigation. | | | The Commission issued an order on December 19, 2001. | |
| 1045 | Tao, Leonard M. | Office of General Counsel | Markets, Tariffs and Rates | Yes | Enron Power Marketing, Inc. | The Commission received this filing in docket number EL01-68-000. | Enron provided comments concerning West-wide price mitigation for the winter season. | | | The Commission issued an order on December 19, 2001. | The Commission received numerous comments from various interested parties in this proceeding. |

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| 1046 | Taug, Richard B. | Office of Energy Projects | D2SI, PRO | No | | | | | | | |
| 1047 | Taylor, Diane L. | Office of the Chief Information Officer | network operations | No | | | | | | | |
| 1048 | Taylor, Elizabeth A. | Office of the Chief Information Officer | Knowledge and Information Management Group | Yes | Donna Fulton | Myself | Donna was wondering why she was seeing so many waivers of the index of shippers requirement in the tariff. I explained that they were asking to remove the index from the tariff. | | | No. | |
| 1049 | Taylor, Elizabeth A. | Office of the Chief Information Officer | Knowledge and Information Management Group | Yes | Rita Biancchi | Myself | We had asked if any companies would be willing to participate in a pilot program to have the Index of Customers filed entirely paperless. Rita called to say Northern Natural Gas would be willing to participate. | | | No. | |
| 1050 | Taylor, Elizabeth A. | Office of the Chief Information Officer | Knowledge and Information Management Group | Yes | Donna Fulton | Myself | Something to do with GISB comments. My notes aren't specific. | | | None that I can recall. | |
| 1051 | Taylor, James S | Office of Markets, Tarriffs, and Rates | Litigation | No | | | | | | | |

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| 1052 | Taylor, Tiquana M. | Office of the Secretary | Registry and Service Branch | No | | | | | | | |
| 1053 | Tekumalla, Visweswarar | Office of Markets, Tarriffs, and Rates | DPIC | No | | | | | | | |
| 1054 | TerHaar, Monte J. | Office of Energy Projects | Hydro East Group 2 | No | | | | | | | |
| 1055 | Terry, Carol A | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff/Northern Border reps. | FERC STaff/Northern Border Pipeline Co. representatives | Data requests/responses on rate case issues set for hearing in docket No. RP96-45-000. | | Mar-96 | None | |
| 1056 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff/Northern Border reps. | FERC Staff, Northern Border Pipeline Company Representatives, other parties to Northern Border's rate case, Docket Nos. RP99-322-000 & RP96-45-000 | Discovery requests and responses, settlement offers and counter offers, comments on draft settlement documents. | | 6/30/99 thru 10/30/2000 | A Settlement was reached in the case that was ultimately approved by the Commission. | These communications are included in an electronic folder containing 199 e-mails, only some of which involve communication with Enron representatives. It would involve considerable time and effort to read each e-mail to determine the recipients, and additional time to print out those involving a communication with Enron reps. |
| 1057 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff Overthrust | FERC Staff, Representatives of Overthrust Pipeline Company and parties invovled in Overthrust's rate case in Docket No. RP00-2-000 | Discovery requests, settlement offers and counteroffers, summaries of conversations with Overthrust reps. and others | | 10/2/99 thru 4/30/2000 | A Settlement was reached in the case which was ultimately approved by the Commission. | These communications are included in an electronic folder containing 30 e-mails, only some of which involve or describe communications with Overthrust reps. |

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| 1058 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff/Transwestern Representatives | FERC Staff/Transwestern Pipeline Co. representatives, parties to Docket No. RP97-288-009, et al. | Data responses and settlement offers related to negotiated rate contracts and issues set for hearing in docket no. RP97-288-009. et al. | | August, 2001 thru October, 2001 | None | |
| 1059 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff/Overthrust Representatives | FERC Staff/Overthrust Pipeline Co. representatves, other parites to Docket no. RP00-2-000. | Settlement offers on rate case isues set for hearing in Docket no. RP00-2-000. | | July, 2000 thru March, 2001 | Settlement was approved by Commission. | |
| 1060 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff/Overthrust Representatives | FERC Staff, Overthrust Pipeline Co. Representatives, other parties to Docket no. RP94-104-000. | Settlement offers on rate case issues set for hearing in docket No. RP94-104-000. | | May, 1994 | Settlement was approved by Commission. | |
| 1061 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff/Overthrust Representatives | FERC Staff, Overthrust Pipeline Co. representatives, parties to the proceeding in Docket No. RP97-301-000. | Settlement offers on rate case issues set for hearing in Docket Nos. RP97-301-000 & 001. | | August, 1997 | Settlement was approved by the Commission. | |
| 1062 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff/Transwestern Representatives | FERC Staff, Transwestern Pipeline Co. representatives, other parties to Docket no. RP93-34-000. | Settlement offers on rate case issues set for hearing in docket no. RP93-34-000. | | July, 1993 thru Nov., 1993 | Settlement was approved by Commission. | |
| 1063 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff/Northern Natural Reps. | FERC Staff, Northern Natural Gas Company representatives, other parties to Docket No. RP98-203-000. | Data requests and responses, settlement offers on rate case issues set for hearing in docket no. RP98-203-000. | | August 1998 thru Jan., 1999 | Settlement was approved by Commission. | |
| 1064 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff/Northern Natural Reps. | FERC Staff, Northern Natural Gas Co. representatives, other parties to Docket no. RP95-185-000 & 001. | Data Requests and responses and settlement offers on rate case issues set for hearing in Docket no. RP95-185. | | August 1995 thru Jan. 1996 | Settlement approved by Commission. | |

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| 1065 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff/Trailblazer representatives | FERC Staff, Trailblazer Pipeline Co. representatives, other parties to Docket No. RP97-408-000. | Settlement offers on rate case issues set for hearing in docket No. RP97-408-000. | | Nov. 1997 thru April 1998 | Settlement was approved by Commission. | |
| 1066 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff/Trailblazer Representatives | FERC Staff, Trailblazer Pipeline Co. reps., other parties in Docket no. RP93-55-000. | Settlement offers on rate case issuaes setfor hearing in Docket No. RP93-55-000. | | 11/93 thru 7/94 | Settlement was approved by Commission. | |
| 1067 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff/Black Marlin reps. | FERC Staff, Black Marlin Pipeline Co. representatives, other parties to docket No. RP98-274-000. | Settlement offers on rate case issues set for hearing in Docket No. RP98-274-000. | | Oct-98 | Settlement was approved by Commission. | |
| 1068 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff/Black Marlin Reps. | FERC Staff, Black Marlin Pipeline Co. representatives, other parties to Docket No. RP93-70-000. | Data request and responses and settlement offers on rate case issues set for hearing in docket No. RP93-70-000. | | 6/93 thru 11/93 | Settlement was approved by Commission. | |
| 1069 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff/Florida Gas Reps. | FERC Staff, Florida Gas Transmission Co. representatives, other parties to Docket no. RP96-366-000 et al. | Settlement offers on rate case issues set for hearing in Docket Nos. RP96-366-000 et al. | | 2/97 thru 6/97 | Settlement was approved by Commission. | |
| 1070 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC STaff/Florida Gas reps. | FERC Staff, Florida Gas Transmission representatives, other parties to Docket No. RP91-187-000. | Settlement offers on rate case issues set for hearing in Docket No. RP91-187-000. | | 10/91 thru 10/92 | Settlement was approved by Commission. | |
| 1071 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff/Northern Border reps. | FERC Staff/Northern Border Pipeline Co. representatives. | Data requests and responses and settlement offers on rate case issues set for hearing in Docket No. RP99-322-000 et al. | | 7/99 thru 7/00 | Settlement was approved by Commission. | |

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| 1072 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff/ Northern Border reps. | FERC Staff/Northern Border Pipeline Co. representatives | Settlement offers on rate case issues set for hearing in Docket No. RP92-177-000. | | Sep-92 | Settlement was approved by Commission. | |
| 1073 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff | Rockford G. Meyer, President, Florida Gas Transmission | Settlement offer in Docket No. FA94-15-000 | 6/19/1996 | None | | |
| 1074 | Terry, Carol A. | Office of Markets, Tarriffs, and Rates | Litigation | Yes | FERC Staff/ Transwestern | FERC Staff, Transwestern Pipeline Company representatives and parties to the proceeding in Docket No. RP99-288-000, et al. | Discovery requests and responses, settlement offers and comments on such offers. | | 8/9/2001 thru 11/27/2001 | A hearing was held and an Initial Decision was issued in the case. It is pending Commission opinion. | These communications are included in an electronic folder containing 128 e-mails, only some of which involve communication with Transwestern reps. It will be very time consuming to read all of these e-mails to determine the recipients. Additional time would be needed to print those that involved communication with Transwestern reps. |
| 1075 | Thomas, Christopher A | Office of Markets, Tarriffs, and Rates | West | No | | | | | | | |
| 1076 | Thomas, Hugh V. | Office of Energy Projects | Division of Environmental and Engineering Review | Yes | Hugh Thomas (FERC) | Teb Lokey (Northern Natural) | Informal environmental data request regarding permits for disposal of PCB contaminated facilities. | | | No action taken. | |

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| 1077 | Thomas, Hugh V. | Office of Energy Projects | Division of Environmental and Engineering Review | Yes | Hugh Thomas (FERC) | Northern Natural representative. | Informal environmental data request via telephone with questions regarding abandonement of facilities | | | No action taken. | |
| 1078 | Thomas, Hugh V. | Office of Energy Projects | Division of Environmental and Engineering Review | Yes | Hugh Thomas (FERC) | Donna Martens (Northern Natural) | Faxed Northern Natural the environmental assessment prepared for Docket No. CP95-312-000. | | | No action taken. | This fax was a result of a telephone request for the environmental assessment from Donna Martens of Northern Natural. |
| 1079 | Thomas, Hugh V. | Office of Energy Projects | Division of Environmental and Engineering Review | Yes | Hugh Thomas | Michelle Winckowski (Northern Natural) | Informal environmental data request via fax with questions regarding information needed for preparation of an environmental assessment. Questions dealt with air and noise quality information for proposed compressor unit modification at Northern Natural's Galena Compressor Station in Joe Davies County, IL. | | | No action taken. | |
| 1080 | Thomas, Hugh V. | Office of Energy Projects | Division of Environmental and Engineering Review | Yes | Hugh Thomas (FERC) | Dorothy L. McCoppin (Transwestern) | Informal environmental data request via telephone with questions regarding abandonment of Cree Flowers Gathering System and Compressor Unit 690 in Roberts County, Texas. | | | No action taken. | |

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| 1081 | Thomas, Hugh V. | Office of Energy Projects | Division of Environmental and Engineering review | Yes | Kelly Allen (Transwestern) | Hugh Thomas (FERC) | Telephone conversation with Transwestern to clear up confusion about an environmental data reqest question. | | | No action taken. | |
| 1082 | Thomas, Kenneth J. | Office of the Chief Information Officer | CI-1 | No | | | | | | | |
| 1083 | Tillery, Betty | Office of General Counsel | Solicitor | No | | | | | | | |
| 1084 | Tingle-Stewart, Natalie Y | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Commission Staff and Parties to case | Commission Staff and parties to ER98-2382-0000, et al. | Data requests and responses, testimony, technical information | | June 1998-April 1999 | None | |
| 1085 | Tingle-Stewart, Natalie Y | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Commission Staff and parties to case | Commission staff and parties to Docket ER98-496-000, et al. - | Data requests & responses, testimony, technical information, presentation handouts | | January 1998 - July 2000 | none | |
| 1086 | Tingle-Stewart, Natalie Y | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Commission Staff and parties to case | Commission Staff and parties to Docket EL01-10-000 | Data requests and responses, Confidential Data submissions to Commission Staff and Judge Citron, testimony and exhibits | | Augutst 2001 September 2001 | none | |
| 1087 | Tingle-Stewart, Natalie Y | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Commission Staff and parties to case | Commission staff and parties to Docket ER97-2358-000, et al. | Data Requests and responses, testimony and exhibits, techinical conference information | | January 1998 - September 1998 | None | |

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| 1088 | Tingle-Stewart, Natalie Y | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Commission Staff | El Paso, Enron and other intervenors | Data responses and requests, testimony | | October 1996 - January 1998 | None | |
| 1089 | Tingle-Stewart, Natalie Y | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Commission Staff | Parties to Docket ER97-4468-000, et al. | Data requests and responses, testimony | | September 1997- May 1998 | none | |
| 1090 | Tingle-Stewart, Natalie Y | Office of Markets, Tarriffs, and Rates | Litigation | Yes | Commission staff and parties to case | Commission staff and parties to Docket ER01-66-000 | Data requests & responses, testimony and technical information | | December 2000 - June 2001 | None | |
| 1091 | Tishman David G. | Office of General Counsel | MTR | No | | | | | | | |
| 1092 | Tolbert, Susan M | Office of the Executive Director | Division of Regulatory Audits | Yes | The Commission's Audit Team | Northern Natural, a gas pipeline subsidiary of Enron | The Audit of Northern Natural | | I am not sure, I will have to access the audit records that are in storage | An audit report was issued | My supervisor (Dwight Siddell) told me I would not have to worry about this. He stated you were not interested in the audit. |
| 1093 | Tolson-Neal, Terri | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - West | No | | | | | | | |
| 1094 | Tomasi, Eric J | Office of Energy Projects | Gas/Hydro Branch | No | | | | | | | |
| 1095 | Tompkins, Martha R. | Office of Markets, Tarriffs, and Rates | Central | No | | | | | | | |

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| 1096 | Trainum, Mary | Office of the Executive Director | Human Resources & Organizational Management | No | | | | | | | |
| 1097 | Trice, Anthony | Office of the Secretary | Dockets | No | | | | | | | |
| 1098 | Tsang, Lori | Office of General Counsel | Pipeline Certificates | No | | | | | | | |
| 1099 | Tseng, Susan | Office of Energy Projects | Division of Environmental and Engineering Review | No | | | | | | | |
| 1100 | Tung, Hong, S. | Office of Energy Projects | Division of Hydropower Administration and Compliance | No | | | | | | | |
| 1101 | Turner, David A. | Office of Energy Projects | Division of Environmental and Engineering Review | No | | | | | | | |

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| 1102 | Turpin, Terry L | Office of Energy Projects | Gas 1 | Yes | Don Vignaroli, Northern Natural Gas Comp | Terry Turpin | Vignaroli called me regarding Northern's compliance with the 60 day noise survey condition specified in the Order issued for Docket No. CP99-532-000. Mr. Vignaroli left a phone message stating that the uprated compressor at the Farmington Compressor Station had been put in service on October 15, 1999 and that the initial noise test was above and Ldn of 55 dBA. Mr. Vignaroli stated that a second noise survey hasn't been completed since there had been problems running station at full load due to warm weather. Mr. Vignaroli expected to complete the noise survey in a short time since weather was turning cold and wanted to know how to get an extension for the condition. Mr. Vignaroli requested that I contact Keith Peterson with the answer. I called Keith Peterson on December 15, 1999. Mr. Peterson stated that Northern would file a letter describing what had been done to date, why the noise survey could not be submitted and an estimate of the date Northern expected to submit the noise survey. | | | no | |

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| 1103 | Tuzun, Julia A. | Office of Markets, Tariffs, and Rates | Market Development | Yes | I made a telephone call | Dan Allegretti, Director of Government Affairs, Enron | He was one of numerous individuals that I called to get the stakeholders' perspective of the key issues surrounding RTO formation. | Feb-00 | | No | |
| 1104 | Ulevich, David H. | Office of Markets, Tariffs, and Rates | Division of Tariffs & Rates Central | Yes | Enron's oil pipeline tariff staff & counsel | I would receive either pre-filing telephone questions or fax drafts of tariffs. | As the initial contact person for oil tariff filing matters I am responsible for answering questions regarding oil tariff filing requirements. Under 18 C.F.R. 341.12, Informal Submissions, I would do a pre-filing review of draft tariff filings or answer any questions they might have regarding filing requirements or procedures. | | Jan. 1, 1992 to Dec. 2, 2001 | My comments were strictly related to filing requirements and procedures and the oil pipeline carrier could accept them or go with what they proposed in their draft. I did not give the carrier any indication as to what action the Commission might take. If the tariff filing complied with the filing requirements and there were no protests, then the filing would become effective by action of law. | |
| 1105 | Ung, John S. | Office of Energy Projects | Dam Safety and Inspections | No | | | | | | | |
| 1106 | Ung, John S. | Office of Energy Projects | Dam S | | | | | | | | |
| 1107 | Valeri, Peter R. | Office of Energy Projects | Division of Dam Safety and Inspections (D2SI) | No | | | | | | | |

# Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 1108 | Vallance, Laura J. | Office of General Counsel | Office of the Solicitor | No | | | | | | | |
| 1109 | Vansco, Veronica V. | Office of Markets, Tarriffs, and Rates | Division of Litigation | No | | | | | | | |
| 1110 | Vaughns, Vera Eleanor | Office of General Counsel | General and Administrative Law | No | | | | | | | |
| 1111 | Velez, Liza M. | Office of Energy Projects | D2SI | No | | | | | | | |
| 1112 | Veloso, Michelle E. | Office of Markets, Tarriffs, and Rates | Policy Innovation and Communication | Yes | Mary Hain | I exchanged e-mails and phone calls with Mary Hain, Director of Federal Regulatory Affairs at Enron. | Source of publicly available hydropower data. We were interested in obtaining information we had seen in an Enron presentation during the Western Bulk Power Investigation. | | Sep-00 | No. | |
| 1113 | Vendryes, Kimberly S. | Office of Markets, Tarriffs, and Rates | Rates and Tariffs-Central Division | No | | | | | | | |
| 1114 | Venit, Karen A. | Office of the Executive Director | Financil Policy Division | No | | | | | | | |
| 1115 | Veres, Philip | Office of Energy Projects | Pipeline Certificates | | | | | | | | I have no records and no recollection of any such communications. |

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| 1116 | Vines, Elizabeth R. | Office of the Secretary | Registry & Service | No | | | | | | | |
| 1117 | Wachholder, Joanne M | Office of Energy Projects | DEER | No | | | | | | | |
| 1118 | Wagner, Charles Davis | Office of Energy Projects | DAM SAFETY & INSPECTIONS | No | | | | | | | |
| 1119 | Wagner, Gordon S | Office of General Counsel | Gas Certificates | Yes | Jan Butler (phone call) | Gordon Wagner | Order No. 608. Ms. Butler pointed out a reference in a September 15, 1999 order to a subsection (h) of the Code of Federal Regulations that should properly have been stated as subsection (g). | | 09/15/99 to 09/28/99 | Errata notice issued on September 28, 1999, correcting the improper CFR reference. | |
| 1120 | Wagner, Jolinda C. | Office of the Chief Information Officer | Customer Support & IT Deployment Services | No | | | | | | | |
| 1121 | Waldbauer, Katherine | Office of General Counsel | MTR | | | | | | | | I may have had phone conversations with Enron attorneys to discuss the scheduling of cases while I was in the Solicitor's office. But I can't recall at this point whether any of those calls involved Enron or not. |

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| 1122 | Walker, Christine V. | Office of Markets, Tarriffs, and Rates | Division of Tariffs and Rates East | No | | | | | | | |
| 1123 | Wallace, Olivia J. | Office of the Executive Director | Front Office | No | | | | | | | To the best of my knowledge and memory. |
| 1124 | Wallace, Venita | Office of the Secretary | Agenda and Minutes | No | | | | | | | |
| 1125 | Waller, Susie J. | Office of Administrative Law Judges | n/a | No | | | | | | | |
| 1126 | Ward, Charlton C | Office of General Counsel | Office of the General Counsel | No | | | | | | | |
| 1127 | Washington, Angela M | Office of External Affairs | congressional, public and intergovernmental | No | | | | | | | |
| 1128 | Washington, Anita | Office of the Secretary | Dockets | No | | | | | | | |
| 1129 | Watson, Linwood A. | Office of the Secretary | Deputy Secretary | No | | | | | | | |

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| 1130 | Watson, Michael C. | Office of General Counsel | General and Administrative Law | No | | | | | | | |
| 1131 | Weeks, Olaf | Office of Energy Projects | D2SI, Chicago Regional Office | No | | | | | | | |
| 1132 | Welch, Laura G. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates - West | No | | | | | | | |
| 1133 | Wentworth, Roland W. | Office of Markets, Tarriffs, and Rates | Chief Economic Advisor | No | | | | | | | |
| 1134 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele & Kevin Marshall | Norman J. Weseloh | Possible time extension | | | No | |
| 1135 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Garry Hackett | Harry Hall | EAP test notification | | | No | |
| 1136 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Norman Weseloh | Bob Steele | Part 12 plan and schedule was overdue. | | | No | |
| 1137 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Norman Weseloh | Earl Curtis | Plan and schedule for PT-12 review, Section G-G | | | No | |

# Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 1138 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Norman Weseloh | Bob Steele | Question on annual agency meeting summary | | | No | |
| 1139 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Norman Weseloh | Accident report | | | No | |
| 1140 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Roy Totten | Harry Hall | EAP test notification | | | No | |
| 1141 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Norman Weseloh | Accident report | | | No | |
| 1142 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Jim Wyatt | Mark Jones | EAP test notification | | | No | |
| 1143 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Norman Weseloh | Report of a fish kill. | | | The fish kill was investigated. | |
| 1144 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Roy Totten | Harry Hall | EAP test notification | | | No | |
| 1145 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | bob Steele | Norman Weseloh | Report on PGE dams following Seattle, WA earthquake | | | | |

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| 1146 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Norman Weseloh | Dewatering of Willamette Falls Unit No. 9 | | | No | |
| 1147 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Norman Weseloh | Completed dewatering and maintenance of Unit No. 9 at Willamette Falls | | | No | |
| 1148 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Norman Weseloh | Report of a fish kill at the Willamette Falls Project. | | | An investigation of the fish kill was conducted. | |
| 1149 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Patrick Regan | Report of a fish kill at Willamette Falls | | | The cause of the fish kill was investigation. | |
| 1150 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Gary Hackett | Mark Jones | EAP annual test at Bull Run Project. | | | No | |
| 1151 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Earl Curtis | Norman Weseloh | Report of penstock leakage and implementation of the EAP at the Bull Run project. | | | An investigation was conducted. | |
| 1152 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Edward Perez | Penstock leakage repairs | | | Yes | |
| 1153 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Jack Seibel | Thomas Bobal | Notification of annual EAP test at Bull Run Project. | | | No | |

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| 1154 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Edward Perez | penstock inspections | | | No | |
| 1155 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Norman Weseloh | penstock vacuum valves | | | No | |
| 1156 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Norman Weseloh | Discussed penstock strain gage measurements at the Bull Run project during the Seattle, WA earthquake | | | No | |
| 1157 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Bob Steele | Norman Weseloh | Discussed maintenance outage at the Bull Run Project. | | | No | |
| 1158 | Weseloh, Norman J | Office of Energy Projects | Dam Safety and Inspections | Yes | Kevin Marshall | Edward Perez | Removal of obsolete surge tanks at the Bull Run Project. | | | No. | |
| 1159 | Wessler, Eliot J | Office of Markets, Tariffs, and Rates | IIRM | No | | | | | | | |
| 1160 | West, Michael G. | Office of the Chief Information Officer | network operations | No | | | | | | | |
| 1161 | Wheeler, Howard | Office of Energy Projects | Assistant Director's Office | No | | | | | | | |

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| 1162 | Whitaker, Marion B. | Office of Markets, Tarriffs, and Rates | Tariffs and Rates West | No | | | | | | | |
| 1163 | White, John Davenport | Office of the Chief Information Officer | Software Engineering Team | No | | | | | | | |
| 1164 | White, Ken | Office of External Affairs | press services | No | | | | | | | |
| 1165 | Whitfield, James, Jr. | Office of General Counsel | Energy Projects | No | | | | | | | |
| 1166 | Whitfield, Wendelin W | Office of Markets, Tarriffs, and Rates | Litigation | No | | | | | | | |
| 1167 | Wiegel, John M | Office of Energy Projects | D2SI | No | | | | | | | |
| 1168 | Wilderman, Lawrence I. | Office of Energy Projects | Pipeline Certificates | No | | | | | | | |
| 1169 | Williams, Patricia A. | Office of the Executive Director | PROCUREMENT DIVISION | No | | | | | | | |
| 1170 | Wilson, Gloria Jean | Office of the Executive Director | Adminisrative Support Staff | No | | | | | | | |

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| 1171 | Winchell, Frank R. | Office of Energy Projects | Division of Environmental and Engineering Review | Yes | Frank Winchell | Deb Schallert, Portland General Electric | Standard letter on Authorizing Initiating Section 106 Consultation | | | No. | |
| 1172 | Winchell, Frank R. | Office of Energy Projects | Division of Environmental and Engineering Review | Yes | Frank Winchell | Deb Schallert, Portland General Electric | Examples of applicant letter requesting FERC to give authorization for Section 106 Consultation and our response letter granting authorization. | | | No. | |
| 1173 | Wing, James | Office of Energy Projects | Division of Dam Safety & Inspections -- NYRO | No | | | | | | | |
| 1174 | Wisniewski, John J. | Office of Energy Projects | DEER | No | | | | | | | |

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| 1175 | Withnell, David D | Office of General Counsel | MTR | No | Possible Enron employees | David Withnell | Telephone conversations concerning Order No. 888 matters. I was listed as the legal contact on Order No. 888 and over the years fielded hundreds of questions from a variety of entities. In addition to the telephone conversations I had with Donna Fulton and Joe Hartsoe, I likely responded to questions from other Enron employees or representatives, but I haave no recollection of they might be. | | 1995-2001 | I either responded to the questions (as a FERC Staff response) or suggested they contact someone else within FERC. | |
| 1176 | Withnell, David D | Office of General Counsel | MTR | Yes | Donna Fulton | David Withnell | Generally discussed, to the best of my recollection, (1) procedural matters (e.g., whether an order or notice had issued, whether a filing had been made), Order No. 888 tariff matters, and family matters. With respect to the tariff inquiries, I was listed as the legal contact on Order No. 888 and over the years have fielded hundreds of questions from a variety of entities, including Enron. | | 1994 through December 2, 2001 | I either responded to the questions (as a FERC Staff response) or suggested she contact someone else within the agency. | |

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| 1 | Name | Office | Division | (b) | I. Sender(s) of communication | II. The recipient(s) of the communication | III. The subject matter of the communication | IV. Give the date | IV. Or date range | V. Was any action taken by the Comm. In response to communication: | VI. Other Comments (if any) |
| 1177 | Witte, Kenneth John | Office of Markets, Tarriffs, and Rates | Rates-West | Yes | Ken Witte | Mary Kay Miller, Vice President of Rates and Certificates of Northern Natural Gas Company | Data Request pertaining to a volume constraint problem on Northern's system called the "Carlton Resolution." | 10/7/1997 | | A subsequent order was issued in this case. | |
| 1178 | Witte, Kenneth John | Office of Markets, Tarriffs, and Rates | Rates-West | Yes | Ken Witte | Mary Kay Miller, Vice President of Rates and Certificates of Northern Natural Gas Company | Data Request pertaining to a volume constraint problem on Northern's system called the "Carlton Resolution." | 10/21/1997 | | A subsequent order was issued in this case. | |
| 1179 | Witte, Kenneth John | Office of Markets, Tarriffs, and Rates | Rates-West | Yes | Ken Witte | Mary Kay Miller, Vice President of Rates and Certificates of Northern Natural Gas Company | Data Request pertaining to a volume constraint problem on Northern's system called the "Carlton Resolution." | 9/8/2000 | | A subsequent order was issued in this case. | |
| 1180 | Witte, Kenneth John | Office of Markets, Tarriffs, and Rates | Rates-West | Yes | Ken Witte | Mary Kay Miller, Vice President of Rates and Certificates of Transwestern Pipeline Company | Data Request pertaining to Transwestern's acquisition of upstream pipeline capacity on Public Service Company of New Mexico | 5/4/2000 | | A subsequent order was issued in this case. | |
| 1181 | Witte, Kenneth John | Office of Markets, Tarriffs, and Rates | Rates-West | Yes | Ken Witte | Mary Kay Miller, Vice President of Rates and Certificates of Transwestern Pipeline Company | Data request pertaining to the formula used by Transwestern to negotiate rates with its customers. | 2/23/2001 | | A subsequnet order was issued in this case. | |
| 1182 | Witte, Kenneth John | Office of Markets, Tarriffs, and Rates | Rates-West | Yes | Ken Witte | Mary Kay Miller, Vice President of Rates and Certificates of Transwestern Pipeline Company | Data request pertaining to the formula used by Transwestern to negotiate rates with its customers. | 5/4/2001 | | A subsequent order was issued setting the proceeding for hearing. | |
| 1183 | Wolford, Mary | Office of the Secretary | Dockets | No | | | | | | | |
| 1184 | Wolnerman, Herbert | Office of Energy Projects | D2SI | No | | | | | | | |

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| 1185 | Woo, Henry | Office of the Chief Information Officer | IT Infrastructure & Operations | No | | | | | | | |
| 1186 | Wood, John M. | Office of Energy Projects | pipeline certificates | No | | | | | | | |
| 1187 | Wood, Pat III | Office of the Chairman | Natural Gas | No | | | | | | | |
| 1188 | Wood, Pat III | Office of the Chairman | Office of the Chairman | Yes | Kenneth Lay | Pat Wood | It appears to have been a call to inform me of proposed merger with Dynegy. | 11/8/2001 | | No | Mr. Lay phoned me but he did not reach me. |
| 1189 | Wright, Jame Ronald | Office of Energy Projects | Division of Dam Safety and Inspections | No | | | | | | | |
| 1190 | Wright, Jeff C. | Office of Energy Projects | Division of Pipeline Certificate | No | | | | | | | |
| 1191 | Wuehrmann, David B. | Office of General Counsel | Energy Projects | No | | | | | | | |
| 1192 | Wyche, Grover L. Jr. | Office of the Chief Information Officer | Software Engineering | No | | | | | | | |
| 1193 | Wyrick, Deborah L | Office of Markets, Tariffs, and Rates | Tariffs and Rates - West | No | | | | | | | |

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| 1194 | Yanoff, Erica J | Office of General Counsel | Energy Projects | No | | | | | | | |
| 1195 | Yarrington, Byron P. | Office of Energy Projects | Div. Hydropower Administration and Compliance | No | | | | | | | |
| 1196 | Yatsko, Maryclaire P. | Office of Markets, Tariffs, and Rates | Central, Group 3 | No | | | | | | | |
| 1197 | Yearick, Vincent E. | Office of Energy Projects | DEER - Hydro | No | | | | | | | |
| 1198 | Younan, William E. | Office of Energy Projects | Division of Dam Safety and Inspection | No | | | | | | | |
| 1199 | Young, Debra D | Office of the Executive Director | Human Resources | No | | | | | | | |
| 1200 | Young, Fernanda F | Office of the Chief Information Officer | CIO | No | | | | | | | |
| 1201 | Young, Frances D. | Office of the Executive Director | Division of Regulatory Audits | No | | | | | | | |

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| 1202 | Young-Allen, Tamara E. | Office of External Affairs | Press Services | No | | | | | | | |
| 1203 | Zavesky, Richard R | Office of Energy Projects | Dam Safety and Inspections | No | | | | | | | |
| 1204 | Zerby, Chris M | Office of Energy Projects | DEER | Yes | Larry Snyder (ENRON) | Conference call with Chris Diez, Karl Breckon, Mark MaGahey, Leo Ikling, Rick Sierra, Jim LeBlanc, Joe Petro, Duncan Rochardson, Bill Osborne, Dan McCulah, and Chris Zerby. | Height of impoundment wall at the EcoElectrica LNG pier head (under construction). EcoElectrica considering lowering wall height from original proposal. | | | | FERC staff identified that original proposed height consistent with other facility and does not interfere with equipment operation. |
| 1205 | Zerby, Elizabeth W. | Office of Markets, Tariffs, and Rates | Division of Markets, Tariffs and Rates - West | No | | | | | | | |
| 1206 | Zero, Donald J. | Office of Markets, Tariffs, and Rates | Tariffs & Rates, East | No | | | | | | | |
| 1207 | Zero, Grace M | Office of Markets, Tariffs, and Rates | Case Tracking | No | | | | | | | |
| 1208 | Zimmet, Raymond M. | Office of Administrative Law Judges | oalj | No | | | | | | | |

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| 1209 | Zoller, William L. (Line 1 of 2) | Office of Energy Projects | Division of Pipeline Certificates | Yes | | | | | | | I attended an INGAA meeting in May 2001 at which I was a featured speaker. Several employees of the Enron companies were in attendance. However, I do not recall speaking personally to any of them during the conference. In addition, during the period from 1992 to 2000, as a project manager, I worked on 4 certificate applications involving Enron companies. Three were minor cases involving no communications with the company. One case, Docket No. CP95-130-000, an expansion of Northern Natural Gas Co.'s system involved a data request and several conversations with company regulatory officials. These communications were routine designed to obtain additional information to allow processing of the company's application. Since 2000, I have been a supervisor so I do not work on individual projects. (Cont'd in cell below) |

# Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 1210 | Zoller, William L. (Line 2 of 2) | Office of Energy Projects | | | | | | | | | (Cont'd) However, I have had a number of conversations with regulatory personnel associated with Enron regulated companies. I do not have specific recollection of coversations or any records of such. These conversations are normal in the course of doing my job and involve such things as procedural guidance or questions about project specific proposals. |
| 1211 | Zugris, Donna | Office of Markets, Tarriffs, and Rates | Policy | No | | | | | | | |
| 1212 | Zygaj, John A | Office of Energy Projects | D2SI-ARO | No | | | | | | | |

FEDERAL ENERGY REGULATORY COMMISSION
WASHINGTON, DC 20426

Committee on Governmental Affairs
**EXHIBIT #B-3**

OFFICE OF THE CHAIRMAN

March 21, 2002

The Honorable Joseph I. Lieberman
Chairman
Committee on Governmental Affairs
United States Senate
Washington, D.C. 20510

Dear Senator Lieberman:

This letter and the enclosed material supplement the response to Question No. 3 in your February 15, 2002 request for Enron-related information pertaining to FERC from January 1, 1992 until December 2, 2001. I sent the initial answer to Question No. 3, and the other six questions, on March 4, 2002. At that time, I indicated that we were continuing to seek responses to Question No. 3 from current FERC employees and former FERC employees (at the division director level and above). The enclosure here comprises the first supplemental compilation of those responses.

If I can be of any further assistance in this or any other Commission matter, please feel free to contact me.

Best regards,

Pat Wood, III
Chairman

Enclosure

**Question No. 3**:
Please list all communications between Enron and the Commission from January 1, 1992 and December 2, 2001, excluding publicly available, periodic filings required by law or regulation.

a) For any meetings, whether in-person or through telephone or video-conferencing, please identify:
   i) who initiated the meeting
   ii) all those who were present at the meeting
   iii) the subject matter(s) of the meeting
   iv) the date of the meeting
   v) any action taken by the Commission in response to the meeting

b) For all other communications, please identify:
   i) the sender(s) of the communication
   ii) the recipient(s) of the communication
   iii) the date of the communication
   iv) the subject matter of the communication
   v) any action taken by the Commission in response to the communication.

**First Supplemental Answer:**

As indicated in our March 4, 2002 answer to Question No. 3, at that time we had not received responses from every current FERC employee and had not received any responses from former FERC employees (division director level and above), but that we would continue to seek those responses. We have now completed the survey of current employees, which is reflected on Enclosure A in an Excel spread-sheet format. We have also received responses from some former FERC employees, who simply indicated that they had no meetings or other communications with Enron during the relevant time period. An alphabetized list of these employees is Enclosure B. Finally, we have received responses from other former FERC employees, which include more explanation or which detail meetings or other communications. This correspondence, in alphabetical order by respondent, is Enclosure C. Together, Enclosures B and C include responses from 64 former employees. We have made voice or e-mail contact with 36 more former employees, and expect responses from them in the near future, at which time we will provide the Committee with a second supplement to Question No. 3. We learned in our efforts to reach these employees that one current employee's name was inadvertently included in the list of former employees, one of the former employees has passed away, and another was incapacitated. There are eight former employees whom we have been unable to reach by letter, telephone, or e-mail. We have searched the Internet and talked to former colleagues, but to no avail. We will continue to try to reach these individuals, however, and will include any responses in the second supplement.

# Enclosure A

## Current FERC Employees' Supplemental Responses to Question 3(a)

# Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

| | A | B | C | D | E | F | G | H | I | J | K |
|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | Name | Office | Division | (a) | I. Who Initiated the meeting | II. List all that were present at the meeting | III. What was the subject matter(s) of the meeting | IV. Give the date | IV. Date range | V. Was any action taken by the Comm. in response to the meeting | VI. Other Comments (If any) |
| 2 | Armstrong, Richard | Office of Markets Tariffs & Rates | Associate Director | No | | | | | | | |
| 3 | Bailey, David | Office of Markets Tariffs & Rates | Compliance and Operational Audits Group | No | | | | | | | |
| 4 | Baker, Elizabeth R. | Office of General Counsel | Market, Tariffs & Rates | No | | | | | | | |
| 5 | Barnett, Alan | Office of Markets Tariffs & Rates | Information Analysis Group | No | | | | | | | |
| 6 | Bobal, Thomas | OEP | D2SI | No | | | | | | | |
| 7 | Bond, Meesha | Office of Markets Tariffs & Rates | Market Development | Yes | FERC Staff | FERC Staff | Study on Electric Transmission systems | | Spring 1999 | None | |
| 8 | Bose, Kimberly D. | Office of General Counsel | MTR | No. | | | | | | | |
| 9 | Broom, Anita D. | Office of General Counsel | Litigation | No. | | | | | | | |
| 10 | Burns, Theresa J. | Office of General Counsel | MOE | No | | | | | | | |
| 11 | Calcagno, Frank | OEP | D2SI | Yes | FERC | Frank Calcagno and personnel from Portland General Electric Company | Conducted a construction inspection of Oak Grove Project, FERC No. 135 | 8/1/1997 | | No. | |
| 12 | Caldwell, Connie | Office of General Counsel | MOE | No. | | | | | | | |
| 13 | Cataldo, Charles | OEP | D2SI | No | | | | | | | |
| 14 | Cater, Kent K. | Office of General Counsel | | No. | | | | | | | |
| 15 | Celentano, Thomas | Office of Markets Tariffs & Rates | Market Development | No | | | | | | | |
| 16 | Choteykine, Gloria | Office of Markets Tariffs & Rates | Market Development | No | | | | | | | |
| 17 | Cohen, Gary D. | Office of General Counsel | | No. | | | | | | | |
| 18 | Craig, Bryan | Office of Markets Tariffs & Rates | Chief Economic Advisor | No | | | | | | | |
| 19 | Cross, Gerald L. | OEP | D2Si-NYRO | No | | | | | | | |
| 20 | Crowley, Patrick | Office of Markets Tariffs & Rates | Litigation | No | | | | | | | |
| 21 | Cullum, Jeanette M. | Office of General Counsel | General Counsel | No. | | | | | | | |

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| 22 | Curtis, Iris | Office of Markets Tariffs & Rates | Rates - East | No | | | | | | | |
| 23 | Dooley, Joseph | Office of Markets Tariffs & Rates | Rates - East | Yes | Jack Donaho | | | | | | The only connection which I had with an Enron affiliate was a Florida Gas certificate proceeding, which I was the rate analyst who provided a rate insert for Florida Gas' Phase V certificate application. I attended a couple of meetings. |
| 24 | Douglas, Earl | Office of Markets Tariffs & Rates | Rates - West | No | | | | | | | |
| 25 | Dylinski, Barbara | Office of Markets Tariffs & Rates | Rates - East | No | | | | | | | |
| 26 | Edmonds, Joyce A. | Office of General Counsel | | No. | | | | | | | |
| 27 | Ekzarkhov, Vladimir | Office of Markets Tariffs & Rates | Litigation | No | | | | | | | |
| 28 | Epps, Ursula L. | Office of General Counsel | | No. | | | | | | | |
| 29 | Evans, James H. | OEP | D2SI | NO | | | | | | | |
| 30 | Farran, Maria S. | Office of General Counsel | Energy Projects | No | | | | | | | |
| 31 | Fina, Giuseppe | Office of General Counsel | MTR | No. | | | | | | | |
| 32 | Finger, Lorena | Office of Markets Tariffs & Rates | Case Tracking Group | No | | | | | | | |
| 33 | Gingold, Edward M. | Office of General Counsel | Energy Projects | No. | | | | | | | |
| 34 | Glick, Theodore | Office of Markets Tariffs & Rates | Rates - East | No | | | | | | | |
| 35 | Grace, Eugene | Office of General Counsel | MTR | No. | | | | | | | |
| 36 | Grant, Patricia | OEP | DHAC | No | | | | | | | |
| 37 | Gray, Charles W | OEP | DPC | no | | | | | | | |
| 38 | Grayson, Deborah M. | Office of General Counsel | Energy Projects | No. | | | | | | | |
| 39 | Hamilton, Denise E. | Office of General Counsel | MOE | No. | | | | | | | |
| 40 | Harley, Diane H. | Office of General Counsel | MOE | No. | | | | | | | |
| 41 | Harris, Shanere L. | Office of General Counsel | MTR | No. | | | | | | | |
| 42 | Hawkins, Joyce | Office of Markets Tariffs & Rates | Case Tracking Group | No | | | | | | | |

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| 43 | Helget, Kasha | Office of General Counsel | DRS | Yes | Meeting | Attended by 45 people, including FERC Staff | GridSouth Transco Docket No. RT01-74-000 | 14-Mar-01 | | | An Enron representative was on of the observers; he did not participate actively in the meeting and I did not speak to him. |
| 44 | Henry, Michael G. | Office of General Counsel | MTR | Yes. | Michael Henry, Lodie White, Dave Faeberg, Rhodell Fields, Jagnasa Ghadani, Eugene Grace, Bob McLean, Mary Morton, Geeta Oberoi and Len Tao | Michael Henry, Scott, FERC employees | Party | 9/8/2001 | | | Spoke briefly and informally with an Enron employee named Scott at a party hosted by Lodie White (of FERC) on Sept. 8, 2001. |
| 45 | Heyman, Amy R. | Office of General Counsel | | No. | | | | | | | |
| 46 | Hill, Diedre | Office of Markets Tariffs & Rates | Rates - East | No | | | | | | | |
| 47 | Hilton, Lillian | Office of Markets Tariffs & Rates | Chief Economic Advisor | No | | | | | | | |
| 48 | Hinnant-Dockery, Tai | Office of General Counsel | DRS | No. | | | | | | | |
| 49 | Hobbs, Benjamin | Office of Markets Tariffs & Rates | Chief Economic Advisor | No | | | | | | | |
| 50 | Holsey, Bernadette P. | Office of General Counsel | MOE | No. | | | | | | | |
| 51 | Howard, Esther D. | Office of General Counsel | | No. | | | | | | | |
| 52 | Jeffas, Jeanne M. | Office of General Counsel | Administration | No. | | | | | | | |
| 53 | Johnson, Bradley | Office of Markets Tariffs & Rates | Compliance and Operational Audits Group | No | | | | | | | |
| 54 | Jones, Bea Williams | Office of Markets Tariffs & Rates | Compliance and Operational Audits Group | No | | | | | | | |
| 55 | Jones, Carolyn | Office of Markets Tariffs & Rates | Rates - Central | No | | | | | | | |
| 56 | Jones, Jeffery | Office of Markets Tariffs & Rates | Rates - East | No | | | | | | | |
| 57 | Karabetsos, Frank | Office of General Counsel | | No. | | | | | | | |

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| 58 | Kennedy, James | Office of Markets, Tariffs & Rates | Compliance and Operational Audits Group | No | | | | | | | |
| 59 | Kohut, Kenneth | Office of Markets, Tariffs & Rates | Market Development | No | | | | | | | |
| 60 | Kroeger, John R. | Office of General Counsel | MOE | No. | | | | | | | |
| 61 | Latlin, Antonia | OEP | DHAC | No | | | | | | | |
| 62 | Lichtenstein, Lynn S. | Office of General Counsel | | No. | | | | | | | |
| 63 | Lin, Shyang-Chin | OEP | D2SI | Yes | PGE | PGE Company staff, consultant engineers, and D2SI staff | Discussion on setting up new gaging stations for Pelton-Round Butte Project (P-2030) | 11/17/1999 | 11/17/1999 | A field trip to investigate proposed new gaging stations | |
| 64 | Lin, Shyang-Chin | OEP | D2SI | Yes | D2SI | PGE Company staff, consultant engineers, and D2SI staff | Field trip on the project's watershed | 6-Dec | 12/6 & 12/7/1999 | A seminar held by BOR as the dam owner upstream from the project dam | |
| 65 | Lin, Shyang-Chin | OEP | D2SI | Yes | D2SI | Bureau of Reclamation staff, PGE Company staff, and D2SI staff | PMF recalculation for the project | 1/20/2001 | 1/20/2001 | Some ideas to recalculate PMF | |
| 66 | Lin, Shyang-Chin | OEP | D2SI | Yes | PGE | PGE Company staff (Messrs. Robert Steele, Kevin Marshall and James Wyatt), and D2SI staff | Gaging station establishment and PMF recalculation for the project | 4/26/2001 | 4/26/2001 | A plan to establish gages and recalculate PMF | |
| 67 | Logan, Sharon | Office of Markets, Tariffs & Rates | Rates - East | No | | | | | | | |
| 68 | Long, Lisa T. | Office of General Counsel | | No. | | | | | | | |
| 69 | Lurensky, Marcia | Office of General Counsel | MOE | No. | | | | | | | |
| 70 | Mabry, Richard | Office of Markets, Tariffs & Rates | Policy | Yes | OMTR | | | | | | I may have attended one or more of the OMTR Outreach meetings during the summer of 2000 and 2001 as noted in the response submitted by Saida Shaalan. |
| 71 | Mahrenholz, Gary | Office of Markets, Tariffs & Rates | Market Development | No | | | | | | | |
| 72 | Mamone, Russell B. | Office of General Counsel | MTR | No. | | | | | | | |

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| 73 | Mathura, Randolph E. | OEP | DPC | Yes | Interstate PL Regulatory Committee Annual Meeting New Orleans | Nancy Bagot-Enron, Rich Hoffmann-FERC, and other company representatives. | Current Regulatory Issues | 9/26-28/01 | | No. | I don't recall who all else specifically attended, but it was about 30-40 people from a number of companies. |
| 74 | McClelland, John | Office of Markets, Tariffs & Rates | Rates - West | No | | | | | | | |
| 75 | McKnight, Angela M. | Office of General Counsel | Administration | No. | | | | | | | |
| 76 | McLaughlin, Toniette | Office of Markets, Tariffs & Rates | Rates - Central | No | | | | | | | |
| 77 | McNamara, Suzanne | Office of General Counsel | MOE | No. | | | | | | | |
| 78 | Meiburger, John | Office of Markets, Tariffs & Rates | Rates - East | No | | | | | | | |
| 79 | Midway, Barry M. | Office of General Counsel | MTR | No. | | | | | | | |
| 80 | Miles, Richard L. | Office of General Counsel | DRS | Yes. | Don't recall | Over 100 individuals attended the meeting, including, possibly, a representative from Enron or one of its subsidiaries. | RTO formation. | Sept. 00 | Dec.-00 | Our role was to facilitate the meetings. Ultimately, filings were made but the filings were the result of many activities and not as a result of the meetings we facilitated. | I have the business cards Daniel Allegretti, Director, Government Affairs, the Americans and Michael W. McGowan, Vice President Business Services. I don't recall exactly when I received the cards or in what context. It may have been at the meeting involving RTO formation. |

# Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 1 | Name | Office | Division | (a) | I. Who Inititated the meeting | II. List all that were present at the meeting | III. What was the subject matter(s) of the meeting | IV. Give the date | IV. Date range | V. Was any action taken by the Comm. in response to the meeting | VI. Other Comments (if any) |
| 81 | Miles, Richard L. | Office of General Counsel | DRS | Yes | Trial staff, a party, or Enron | I have no record of who attended any meeting or settlement conference that I attended from 1/01/92 through 2/28/99. See comments in section VI. | I have no record of who attended meeting or settlement conference that I attended from 1/01/92 through 2/28/99. See comments in section VI. | 1/1/1992 | 2/28/2999 | Yes. Any settlement that resulted from discussions in a cases set from hearing would typically be filed with the Commission. The settlement agreement would be a public document and any comments on the settlement would be public also. Action by the Commission on any settlement offer is also available to the public. | From January 1, 1992 through February, 1999, I was the Associated General Counsel for Administrative Litigation within the Office of the General Counsel. During that period, I would estimate 200 to 300 cases were set for hearing before administrative judges. Some of those cases involved subsidiaries of Enron either as the regulated entity seeking Commission action or a party to a filing seeking changes to a proposal by another regulated entity. There were occasions when I participated in meetings or settlement conferences attended by Enron. These meetings were all related to cases set for hearing and most likely involved settlement discussions with all parties. When I transferred to my current position in March of 1999, I did not take the records from those proceedings with me and any files related to those proceedings would be in public files or in the files maintained by litigation staff. I also do not remember any meeting involving Enron or who attended that meeting from Enron during the period 1/01/92 through 2/28/02. |

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| 82 | Miles, Richard L. | Office of General Counsel | DRS | Yes. | State of Kansas | Over 80 individuals attended the first settlement conference, later the number went down to approximately 40. | For over 18 years, parties argued over whether owners of natural gas wells owed consumers a refund for payments made earlier as an ad valorem tax. It was later determined that the ad valorem taxes should not have been paid by the consumers. Northern Natural Pipeline Company, a subsidiary of Enron, was the entity that billed the consumers initally based on bills recieved from producers. Northern's role in the case we were involved with as mediators was to pass on to the consumers the refunds producers ownd and subsequently paid. | Mar. 00 | 4/1/2001 | Yes, the settlement reached was approved by the Commssion. | |
| 83 | Miles, Richard L. | Office of General Counsel | DRS | Yes. | I did. | From Transwestern Pipeline Company, Steve Harris, Gregory Porter and Maria Pavlou; from Southern California Gas Company, Greg Healy, Patrick Brown. There were two other participants one each from Transwesten and SoCal gs, but I do not recall their names. | On September 28, 2001, Transwestern Pipeline Company filed a complaint against Southern California Gas Company. Transwestern complained that the rates being charged was in excess allowed by the tariff. | 12/24/2001 | | No settlement was reached. | |
| 84 | Miller, Thajauna D. | Office of General Counsel | | No. | | | | | | | |
| 85 | Miller, Wilbur T. | Office of General Counsel | Geneal and Administrative Law | No. | | | | | | | |
| 86 | Minnis, Frederick G. | Office of General Counsel | | No. | | | | | | | |
| 87 | Morgan, Dorothea R. | Office of General Counsel | | No. | | | | | | | |
| 88 | Morie, Roger P. | Office of General Counsel | MOE | No. | | | | | | | |
| 89 | Morton, Jerel | Office of Markets Tariffs & Rates | Market Development | No | | | | | | | |

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| 1 | Name | Office | Division | (a) | I. Who initiated the meeting | II. List all that were present at the meeting | III. What was the subject matter(s) of the meeting | IV. Give the date | IV. Date range | V. Was any action taken by the Comm. in response to the meeting | VI. Other Comments (if any) |
| 90 | Morton, Patricia A. | Office of General Counsel | Solicitor | No. | | | | | | | |
| 91 | Moten, Veronica | OEP | Office of Assistant Director | Yes | Veronica Moten | Bob Christin, Marilyn Rand, Rob Cupina, Fred Minnis, Jeff Shenot, Don Hart, Rich Hoffmann, Veronica Moten | Northern Natural Gas - complaints regarding pipeline route and rights-of-way. | Don't remember | Don't remember | Yes, order was granted | |
| 92 | Moten, Veronica | OEP | Office of Assistant Director | Yes | Don Hart | Bob Christin, Marilyn Rand, Rob Cupina, Don Hart, Sheila Hernandez, and Veronica Moten | Midwestern Gas | Don't remember | Don't remember | Yes, order was granted | |
| 93 | Moten, Veronica | OEP | Office of Assistant Director, | Yes | Veronica Moten | Bob Christin, Marilyn Rand, Rob Cupina, Don Hart, and Veronica Moten | Florida Gas | Don't remember | Don't remember | Yes, order was granted | |
| 94 | Murray, Diane | OEP | DHAC | No | | | | | | | |
| 95 | Murrey, Barbara A. | Office of General Counsel | Litigation | | | | | | | | |
| 96 | Myler, John A. | Office of General Counsel | | No. | | | | | | | |
| 97 | Norman, Michelle E. | Office of General Counsel | MOE | No. | | | | | | | |
| 98 | Nowak, Daniel | Office of Markets Tariffs & Rates | Rates - East | No | | | | | | | |
| 99 | Osborne, Deborah M. | Office of General Counsel | DRS | Yes | Deborah Osborne | Mary Kay Miller, Mike McGowan, Bob Thurber, Jim Talcott, Frank Kelly, Rob Wilson, Lon Stranton, Tim Kissner, Drew Fossom, Roger Bunmeister | Facilitated settlement discussions on Kansas Ad Valorem Tax Case that went back approximately 17 years. | 9/30/00 12/27/00 | | Commission approved the request | |
| 100 | Osborne, Deborah M. | Office of General Counsel | DRS | Yes | Deborah Osborne | Deborah Osborne, Christi Nicolay, Andy Edison | Enron was one of four companies selected by the DRS to interview in Houston as part of a pilot project on the use of Alternate Dispute Resolution (ADR) more for resolving business disputes and to learn about commodity services where the demands of hourly trading require smart, quick and fair business decisions | 5/24/2001 | | No. The DRS wrote a thank you letter to Ms. Nicolay thanking her for the meeting and welcoming additional opportunities where we could service Enron in ADR training. | |
| 101 | Oxendine, Willie | Office of Markets Tariffs & Rates | Rates - East | No | | | | | | | |

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## Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 102 | Perrilloux, Debra | Office of Markets Tariffs & Rates | Compliance and Operational Audits Group | No | | | | | | | |
| 103 | Pierce, Darret | Office of Markets Tariffs & Rates | Policy | No | | | | | | | |
| 104 | Pointer, Cynthia | Office of Markets Tariffs & Rates | Information Analysis Group | No | | | | | | | |
| 105 | Polonter, Stephen | Office of Markets Tariffs & Rates | Rates - Central | Yes | Commission Chief Law Judge | Approx. 50 parties in the MISO and alliance proceedings established by the Commission | RTO Formation | | Jan. - Feb. 2001 | Yes. Law Judge issued order and Commission issued order. | |
| 106 | Pratt, Francine M. | Office of General Counsel | | No | | | | | | | |
| 107 | Pride, Bonnie | Office of Markets Tariffs & Rates | Litigation | Yes | FERC Staff/ Black Marlin | FERC Staff/Black Marlin | Procedural and substantive issues in rate proceeding in Docket No. RP98-274 | | Various dates between August 1998 and November 18, 1998 | None | |
| 108 | Pride, Bonnie | Office of Markets Tariffs & Rates | Litigation | Yes | Public notice by FERC Staff | All paties to the proceeding in Docket No. RP98-274-000 including Black Marlin and FERC Staff | Settlement conferences to resolve cost of service and rate design issues in Docket No. RP98-274-000 | | Various dates between October 1998 and November 18, 1998 | December 30, 1998 Commission Order approving settlement filed November 19, 1998 | |
| 109 | Pride, Bonnie | Office of Markets Tariffs & Rates | Litigation | Yes | FERC Staff | FERC Staff and Black Marlin Personnel | Company field audit, Houston, Texas in conjunction with rate case filing in Docket No. RP98-274-000 | | September 21 24, 1998 | None | |
| 110 | Pulas Jr. Demetrios G | Office of General Counsel | MOE | No. | | | | | | | |
| 111 | Ramdath, Clint | Office of Markets Tariffs & Rates | Information Analysis Group | No | | | | | | | |
| 112 | Ramer, John | OEP | DEER | NO | | | | | | | |
| 113 | Rand, Marilyn | Office of Markets Tariffs & Rates | Rates - Central | Yes | Florida Gas | Rob Kilmer, Marilyn Rand, Kevin Madden, Robert Cupina | Prefiling meetings to discuss proposals to build pipelines | | 12/96 - 12/99 | None | |
| 114 | Rand, Marilyn | Office of Markets Tariffs & Rates | Rates - Central | Yes | Northern Natural Gas Company | Marilyn Rand, Kevin Madden, Robert Cupina, Mary Kay Miller - Northern | Proposal to build pipeline in stages | | 12/96 - 12/99 | None - Commission waited until actual application was filed | Northern filed application in CP97-25-000 on April 17, 1997 |

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| 115 | Roddy, John P. | Office of General Counsel | MOE | No. | | | | | | | |
| 116 | Rogers, Albert | Office of Markets Tariffs & Rates | Information Analysis Group | No | | | | | | | |
| 117 | Rooney, Patrick | Office of Markets Tariffs & Rates | Issue Identification & Resolution Mgmt. | No | | | | | | | |
| 118 | Ross, Joan E. | Office of General Counsel | General Counsel | No. | | | | | | | |
| 119 | Rothman, Steven A. | Office of General Counsel | MOE | No. | | | | | | | |
| 120 | Royster, Diana | Office of General Counsel | | No. | | | | | | | |
| 121 | Schweikart, Debora | Office of Markets Tariffs & Rates | Policy | Yes | | | | | | | Please see the comments filed by Saida Shalaln |
| 122 | Scordelis, Philip | OEP | DHAC | No | | | | | | | |
| 123 | Segal, Frances | Office of Markets, Tariffs & Rates | Litigation | Yes | FERC Staff/ Northern Border | FERC Staff/ Northern Border Gas Personnel | Procedural and substantive issues in consolidated rate proceeding in Docket Nos. RP99-322 and RP96-45-000 | | Various dates between July, 1999 and November, 2000 | None | |
| 124 | Segal, Frances | Office of Markets, Tariffs & Rates | Litigation | Yes | Public notice by Staff | All parties to the proceeding in Docket Nos. RP99-322-000 and RP96-45-000 | Settlement conferences to resolve cost of service and rate design issues in the previously mentioned dockets | | Various dates between July, 1999 and September 2000 | 12/13/2000 Commission order approving the settlement filed September 26, 2000 | |
| 125 | Segal, Frances | Office of Markets, Tariffs & Rates | Litigation | Yes | FERC Staff | FERC Staff/ Northern Border | Company field audit for the previously mentioned dockets | | 8/29/99 to 9/3/99 | None | |
| 126 | Selgal, Jessica A. | Office of General Counsel | | No. | | | | | | | |
| 127 | Settles-Height, Renee | Office of General Counsel | | No. | | | | | | | |
| 128 | Shapiro, Steven A. | Office of General Counsel | DRS | No. | | | | | | | |

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| 1 | **Name** | **Office** | **Division** | **(a)** | **I. Who Inititated the meeting** | **II. List all that were present at the meeting** | **III. What was the subject matter(s) of the meeting** | **IV. Give the date** | **IV. Date range** | **V. Was any action taken by the Comm. in response to the meeting** | **VI. Other Comments (if any)** |
| 129 | Shenot, Jeffrey | OEP | DEER | yes | Shenot, Jeffrey | Northern Natural Gas Company (Northern Natural), and interested individuals, organizations, and elected officials | Attend series of scoping meetings and site visits to discuss the potential environmental impacts from the project, issues with route and system alternatives, pipeline safety, construction compliance, and restoration of the right-of-way; and review filed materials that were relevant to the above environmental issues and compliance | | 2/25/1999 to 8/21/2001 | Commission issued its Order (Certificate) on 9/30/99; issued final authorization to place the facilites in service on 9/14/2000 | Project was completed and facilities were placed in service 9/22/2000; final inspection on 7/27/2001 found no instances of noncompliance; FERC's final inspection report dated 8/21/2001 was last related communication. |
| 130 | Shepherd, Helen S. | Office of General Counsel | MOE | No. | | | | | | | |
| 131 | Sheppeard, Laura K. | Office of General Counsel | Litigation | Yes | Commission orders of July 12, 2001, 96 FERC para. 61,066 et al setting the Southeast RTO for mediation | FERC ALJ Bobbie McCartney (mediator), assisted by Herbert Tate (consultant), Laura Sheppeard (from OGC) and Jonathan Siems (from OMTR trial staff); representatives of up to 200 stakeholders, including RTO applicants, investor-owned utilities, marketers, generators, industrial and wholesale power customers, municipal and cooperative electric systems, TVA, Santee-Cooper, and the Southeast Power Administration up to 200 stakeholders | FERC directed the parties to dockets involving three RTO proposals in the Southeast (Southern Co., GridSouth, and SPP-Entergy) to participate in mediation to achieve a single RTO in the region. Parties to GridFlorida's dockets were encouraged to participate. | | Various dates in July and August 2001 | ALJ mediator isued a reprot on September 10, 2001. Commission has asked for comments from state PUC's and convened a state-federal regional panel on a Southeast RTO. The Commissioners may have attended a conference hosted by SeTRans on 1/14/02. (I just know there was a notice of their intention to attend posted on the Commission's web site; I don't know if they actually attended). | |

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| 1 | Name | Office | Division | (a) | I. Who initiated the meeting | II. List all that were present at the meeting | III. What was the subject matter(s) of the meeting | IV. Give the date | IV. Date range | V. Was any action taken by the Comm. in response to the meeting | VI. Other Comments (if any) |
| 132 | Sheppeard, Laura K. | Office of General Counsel | Litigation | Yes | Hearing order issued 12/17/1997 by the FERC, Pacific Gas & Electric Co., (PG&E), ER97-2358, et al. Southern California Gas & Electric Co., (SoCal), ER97-2355-002, et al. San Diego Gas & Electric), ER97-2364-002, et al., 81 FERC para. 61,323; order of the Chief Judge severing non-rate terms and conditions issues, issued 2/6/1998, 82 FERC para. 63,010. | All parties to the proceedings and FERC Staff. The Presiding Administrative Law Judge only attended public sessions, including the hearing. | Non-public sessions: Settlement conferences to resolve disagreements over non-rate terms and conditions of transmission tariffs and wholesale distribution tariffs of PG&E, SoCal, and San Diego Gas & Electric after the creation of the California ISO. | | Various dates between January 1998 and Februry 1999. | No Commision action yet. Initial Decision of the presiding ALJ and partial settlement are currently pending Commission review | |

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| 133 | Sheppeard, Laura K. | Office of General Counsel | Litigation | Yes | 12/18/00 FERC Order initiating mediation, Forward Contracting by California Utilities, Docket No. PL01-2-000, 93 FERC para. 61,295 | Mediator/FERC Chief Administrative Law Judge Curtis Wagner, FERC staff Dan Poffenberger, Linda Patterson, Linda Lee, Howard Forman, and Laura Sheppeard (assisting the ALJ); representatives of the parties to the proceeding, including all generators, most (if not all) marketers, PG&E, SoCal, and San Diego Gas & Electric, The California ISO, Commissioner Carl Wood of the California PUC, staff from Senator Barbara Boxer's office, Public Citizen, Los Angeles Department of Water Power, Sacramento Municipal Utilities District, Northern California Power Agency, Transmission Agency of Northern California, California Large Energy Consumers Association, and various California governmental agencies. | Lack of forward contracts in the California electricity markets under the California restructuring. Purpose of the mediation was to explore whether sellers and buyers (PG&E, SoCal, and San Diego) could agree on terms of bilateral contracts. | | December 19-20, 2000, January 3,4 and 5, 2001. | No Commission action. The mediation was overtaken by events before parties could agree to sign new contracts. discussions moved to the Department of the Treasury (see Chief Judge's order on 1/10/01 suspending conferences), then to the State of California (Governor Davishad the California Department of Water Resources initially, then S. David Freeman, negotiate directly with the sellers.) | |
| 134 | Silverman, Jacob | Office of General Counsel | MTR | No. | | | | | | | |
| 135 | Simon, Daniel R. | Office of General Counsel | Litigation | No. | | | | | | | |
| 136 | Simonyi, Peter G. | Office of General Counsel | | No. | | | | | | | |
| 137 | Smallwood, Cynthia E | Office of General Counsel | Solicitor | No. | | | | | | | |
| 138 | Solomon, Robert H. | Office of General Counsel | Solicitor | Yes. | Enron officials seeking a courtesy visit | Jeffrey Skilling (President, Enron, at the time I believe), other Enron officials or representatives (including, I believe, Steve Kean, Senior VP), Commissioner Vicky Bailey, and myself (Legal Advisor to Commissionerr Bailey at the time) | To discuss the general competitive state of the industry; to discuss extending Order 888 open access (and electronic reporting) to include bundled retail transactions) | | Sometime in 1998 or 1999 in Commissioner Bailey' Office | No. | Response was to state serious legal (Federal Power Act) and political (intrusion on traditional state juridiction) concerns as to extension of federal jurisdiction over bundled transmission |

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| 139 | Solomon, Robert H. | Office of General Counsel | Solicitor | Yes | Enron officials seeking a courtesy visit | Steve Kean, Senior Enron VP, Commissioner Vicky Bailey, myself (Legal Advisor to Commissioner Bailey at the time), perhaps other Enron officials or representatives | To discuss the general competitive state of the industry; to discuss extending Order 888 open access (and electronic reporting) to include bundled retail transactions) | | Sometime in 1998 or 1999 in Commissioner Bailey' Office | No. | Response was to state serious legal (Federal Power Act) and political (intrusion on traditional state juridiction) concerns as to extension of federal jurisdiction over bundled transmission |
| 140 | Solomon, Robert H. | Office of General Counsel | Solicitor | Yes | Outside attorney seeking courtesy visit | Jeffrey Watkiss, outside lawyer (from Bracewell & Patterson, I believe) representing Enron Power Marketing and a coalition of other power marketers, Commissioner Vicky Bailey, and myself (Legal Advisor to Commissioner Bailey at the time). | To discuss the general competitive state of the industry; to discuss extending Order 888 open access (and electronic reporting) to include bundled retail transactions) | | Sometime in 1998 or 1999 in Commissioner Bailey' Office | No. | Response was to state serious legal (Federal Power Act) and political (intrusion on traditional state juridiction) concerns as to extension of federal jurisdiction over bundled transmission |
| 141 | Sooper, Samuel | Office of General Counsel | MTR | No. | | | | | | | |
| 142 | Swinson, Pamela | Office of General Counsel | Litigation | No. | | | | | | | |
| 143 | Tapscott, Maxine | Office of Markets Tariffs & Rates | Rates - East | No | | | | | | | |
| 144 | Toepfer, Betty | Office of General Counsel | MOE | No. | | | | | | | |
| 145 | Tolley, Jo | Office of Markets Tariffs & Rates | Administratio n and Case Tracking | Yes | FERC | Open to Commission staff | Series of information sessions given to FERC staff to provide training/information on Commission-related issues. | | 3/00 - present | None | Enron OnLine Information Session was presented on April 18, 2000. One hour and 30 min. presentation to Commission staff presented by Bob Shults from Enron. |
| 146 | Travers, Cynthia | Office of Markets Tariffs & Rates | Office of the Director | No | | | | | | | |
| 147 | Turner, Laura | OEP | DEER | Yes | various | various | environmental topics related to the preparation of the environmental impact statement | | 10/95 to 6/97 | environmental impact statement issued | Northern Border (CP95-194) various letters, conversations, and meetings |
| 148 | Turner, Laura | OEP | DEER | Yes | various | various | issues dealing with compliance with environmental conditions and mitigation measures | | 6/97 to 12/99 | start of service granted | Northern Border (CP95-194) various letters, conversations, meetings, and inspections of construction for compliance with environmental conditions |
| 149 | Turner, Laura | OEP | DEER | Yes | various | various | environmental topics related to the preparation of the environmental assessment | | 10/98 to 1/00 | environmental assessment completed | Northern Border (CP99-21) various letters, conversations, and meetings |

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| 150 | Turner, Laura | OEP | DEER | Yes | various | various | issues dealing with compliance with environmental conditions and mitigation measures | | 1/00 to 3/02 | start of service granted | Northern Border (CP99-21) various letters and conversations |
| 151 | Turner, Laura | OEP | DEER | Yes | various | various | environmental topics related to the preparation of the environmental assessment | | 4/01 to 6/01 | environmental assessment completed | Transwestern (CP01-115) various conversations |
| 152 | Turner, Laura | OEP | DEER | Yes | FERC | various | issues dealing with compliance with environmental conditions and mitigation measures | | 1994 -1995 | | Florida Gas Transmission (CP92-182) inspections of construction for compliance with environmental conditions |
| 153 | Vasapoli, Dennis | Office of General Counsel | | | | | | | | | |
| 154 | Walton, Arlene F. | Office of General Counsel | Administratio n | No. | | | | | | | |
| 155 | Ward, Arnetta R. | OEP | SFRO | NO | | | | | | | |
| 156 | Williams, Gerald | Office of Markets Tariffs & Rates | Compliance and Operational Audits Group | Yes | FERC Staff | FERC Staff/Mary Hain | California Power Markets | 8/24/2000 | | None | |
| 157 | Williams, Gerald | Office of Markets Tariffs & Rates | Compliance and Operational Audits Group | Yes | FERC Staff | FERC Staff/ENRON | Accounting and auditing issues | | 1993 | | I attended INGAS/FERC Staff Accounting Lisison Meetings Ince 1993 where an ENRON or ENRON Company representative was present. That list was submitted by the chief Accountants staff in response to the request. |
| 158 | Wright, Renee | Office of General Counsel | Solicitor | No. | | | | | | | |
| 159 | Yamashita, Takeshi | OEP | D2SI | No | | | | | | | |
| 160 | Zendel, Mark | Office of Markets Tariffs & Rates | Rates - East | No | | | | | | | |
| 161 | | | | | | | | | | | |

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| 2 | Armstrong, Richard | Office of Markets, Tariffs & Rates | Associate Director | No | | | | | | | |
| 3 | Bailey, David | Office of Markets Tariffs & Rates | Compliance and Operational Audits Group | No | | | | | | | |
| 4 | Baker, Elizabeth | Office of General Counsel | Markets, Tariffs & Rates | Yes | Elizabeth Baker | Mary Hain | Christmas Cards | Dec. 1999 | | No | |
| 5 | Barnett, Alan | Office of Markets Tariffs & Rates | Information Analysis Group | No | | | | | | | |
| 6 | Bobal, Thomas | OEP | D2SI | No | | | | | | | |
| 7 | Bond, Meesha | Office of Markets Tariffs & Rates | Market Development | No | | | | | | | |
| 8 | Bose, Kimberly D. | Office of General Counsel | MTR | No. | | | | | | | |
| 9 | Broom, Anita D. | Office of General Counsel | Litigation | No. | | | | | | | |
| 10 | Burns, Theresa J. | Office of General Counsel | MOE | No | | | | | | | |
| 11 | Caldwell, Connie | Office of General Counsel | MOE | No. | | | | | | | |
| 12 | Cataldo, Charles | OEP | D2SI | No | | | | | | | |
| 13 | Cater, Kent K. | Office of General Counsel | | No. | | | | | | | |
| 14 | Celentano, Thomas | Office of Markets, Tariffs & Rates | Market Development Group | No | | | | | | | |
| 15 | Cloteykine, Gloria | Office of Markets Tariffs & Rates | Market Development | No | | | | | | | |
| 16 | Cohen, Gary D. | Office of General Counsel | | No. | | | | | | | |

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| 17 | Craig, Bryan | Office of Markets, Tariffs & Rates | Compliance and Operational Audits Group | No | | | | | | | |
| 18 | Cross, Gerald L. | OEP | D2SI-NYRO | No | | | | | | | |
| 19 | Crowley, Patrick | Office of Markets, Tariffs & Rates | Litigation | No | | | | | | | |
| 20 | Cullum, Jeanette M. | Office of General Counsel | General Counsel | No. | | | | | | | |
| 21 | Curtis, Iris | Office of Markets Tariffs & Rates | Rates - East | No | | | | | | | |
| 22 | Dooley, Joseph | Office of Markets Tariffs & Rates | Rates - East | No | | | | | | | |
| 23 | Douglas, Earl | Office of Markets Tariffs & Rates | Rates - West | No | | | | | | | |
| 24 | Dylinski, Barbara | Office of Markets Tariffs & Rates | Rates - East | No | | | | | | | |
| 25 | Ekzarkhov, Vladimir | Office of Markets, Tariffs & Rates | Litigation | No | | | | | | | |
| 26 | Emonds, Joyce A. | Office of General Counsel | | No. | | | | | | | |
| 27 | Epps, Ursula L. | Office of General Counsel | | No. | | | | | | | |
| 28 | Evans, James H. | OEP | D2SI | NO | | | | | | | |
| 29 | Farran, Maria S. | Office of General Counsel | Energy Projects | Yes | | Chance meeting in FERC cafeteria (Sunrise Café) with Ray Alverez of Enron. Also present were David Faerberg and Bob McLean. | Small talk. Nothing work related. | | Summer 2001 | No. | |

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| 30 | Fina, Giuseppe | Office of General Counsel | MTR | No. | | | | | | | |
| 31 | Gingold, Edward M. | Office of General Counsel | Energy Projects | No. | | | | | | | |
| 32 | Glick, Theodore | Office of Markets Tariffs & Rates | Rates - East | No | | | | | | | |
| 33 | Grace, Eugene | Office of General Counsel | MTR | No. | | | | | | | |
| 34 | Grant, Patricia | OEP | DHAC | No | | | | | | | |
| 35 | Gray, Charles W | OEP | DPC | no | | | | | | | |
| 36 | Grayson, Deborah M. | Office of General Counsel | | No | | | | | | | |
| 37 | Hamilton, Denise E. | Office of General Counsel | MOE | No. | | | | | | | |
| 38 | Harley, Diane H. | Office of General Counsel | MOE | No. | | | | | | | |
| 39 | Harris, Shanere L. | Office of General Counsel | MTR | No. | | | | | | | |
| 40 | Hawkins, Joyce | Office of Markets Tariffs & Rates | Case Tracking Group | No | | | | | | | |
| 41 | Helget, Kasha | Office of General Counsel | DRS | No. | | | | | | | |
| 42 | Henry, Michael G. | Office of General Counsel | MTR | No. | | | | | | | |
| 43 | Heyman, Amy R. | Office of General Counsel | | No. | | | | | | | |
| 44 | Hill, Diedre | Office of Markets Tariffs & Rates | Rates - East | No | | | | | | | |
| 45 | Hilton, Lillian | Office of Markets, Tariffs & Rates | Chief Economic Advisor | No | | | | | | | |

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| 46 | Hinnant-Dockery, Tamik | Office of General Counsel | DRS | No. | | | | | | | |
| 47 | Hobbs, Benjamin | Office of Markets Tariffs & Rates | Chief Economic Advisor | No | | | | | | | |
| 48 | Holsey, Bernadette P. | Office of General Counsel | MOE | No. | | | | | | | |
| 49 | Howard, Esther D. | Office of General Counsel | | No. | | | | | | | |
| 50 | Hyde, Laurel | Office of Markets, Tariffs & Rates | Market Developme nt Group | No | | | | | | | |
| 51 | Jeffas, Jeanne M. | Office of General Counsel | Administrat ion | No. | | | | | | | |
| 52 | Johnson, Bradley | Office of Markets Tariffs & Rates | Compliance and Operational Audits Group | No | | | | | | | |
| 53 | Jones, Bea Williams | Office of Markets Tariffs & Rates | Compliance and Operational Audits Group | No | | | | | | | |
| 54 | Jones, Jeffery | Office of Markets Tariffs & Rates | Rates - East | No | | | | | | | |
| 55 | Karabetsos, Frank | Office of General Counsel | | No. | | | | | | | |
| 56 | Kennedy, James | Office of Markets Tariffs & Rates | Compliance and Operational Audits Group | No | | | | | | | |
| 57 | Kroeger, John R. | Office of General Counsel | MOE | No. | | | | | | | |
| 58 | Latlin, Antonia | OEP | DHAC | none | | | | | | | |
| 59 | Lichtenstein, Lynn S. | Office of General Counsel | | No. | | | | | | | |

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| 60 | Lin, Shyang-chin | OEP | D2SI | No | | | | | | | |
| 61 | Logan, Sharon | Office of Markets, Tariffs & Rates | Rates - East | No | | | | | | | |
| 62 | Long, Lisa T. | Office of General Counsel | | No. | | | | | | | |
| 63 | Lurensky, Marcia | Office of General Counsel | MOE | No. | | | | | | | |
| 64 | Mahrenholz, Gary | Office of Markets Tariffs & Rates | Market Developme nt | No | | | | | | | |
| 65 | Marnone, Russell B. | Office of General Counsel | | No. | | | | | | | |
| 66 | Marbry, Richard | Office of Markets, Tariffs & Rates | Policy | No | | | | | | | |
| 67 | Massey, Willlam L. | Office of Commissioner Massey | | Yes | H. Eugene Lockhart | William L. Massey | Thank you letter | 5/31/2001 | | No | |
| 68 | Massey, William L. | Office of Commissioner Massey | | Yes | H. Eugene Lockhart | William L. Massey | Thank you letter w/attachment | 10/2/2001 | | No | |
| 69 | McCelland, John | Office of Markets Tariffs & Rates | Rates - West | No | | | | | | | |
| 70 | McKnight, Angela M. | Office of General Counsel | Administrat ion | No. | | | | | | | |
| 71 | McLaughlin, Toniette | Office of Markets, Tariffs & Rates | Rates - Central | No | | | | | | | |
| 72 | McNamara, Suzanne | Office of General Counsel | MOE | No. | | | | | | | |
| 73 | Midway, Barry M. | Office of General Counsel | | No. | | | | | | | |

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| 74 | Miles, Richard L. (Line 1 of 2) | Office of General Counsel | DRS | Yes. | I have no records on this question. | I have no records nor do I recall who the receiplent of the communication might have been. Typically, any communication that I may have had would have been with an attorney representing Enron. | The communications typically would have covered scheduling issues, data requests, testimony, or any question that normally comes in during a trial. Communcations that occurred during settlement conferences could have included any topic that was related to the issues set for trial. | 1/1/1992 | 2/28/1999 | If the communications was in writing and filed with the Commission, the answer is yes because the communication was set forth in a pleading or brief. Oral communications made to me would not have resulted in any action taken by the Commission. Under the Commission's Separation of Functions Rule, the law prohibits communications between the trial staff and the decisional staff unless such communications are in writing and made available to the public. | From January 1, 1992 through February, 1998, I was the Associate General Counsel for Administrative Litigation within the Office of the General Counsel. During that period, I wold estimate that 200 to 300 cases were set for hearing before administrative judges. Some of those cases involved subsidiaries of Enron either as the regulated entity seeking Commission action or a party to a filing seeking changes to a proposal by another regulated entity. There were occasions when I participated in meetings and settlements conferences attended by Enron. Communications made during thses meetings were all related to cases set for hearing and most likely involved settlement discussions with all parites. (Cont'd in cell below) |

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| 75 | Miles, Richard L. (Line 2 of 2) | Office of General Counsel | | | | | | | | | (Cont'd) When I transferred to my current position in March of 1999, I did not take the records from those proceedings with me and any files related to those proceedings would be in the ipublic files or in the files maintained by the litigation staff. I also do not remember any communications made during any meeting involving Enron or who attended that meeting form Enron during the period 1/01/92 through2/28/02. |
| 76 | Miles, Richard L. | Office of General Counsel | DRS | Yes. | Me and Transwestern Pipeline Company | Me and Transwestern Pipeline Company | Settlement negotiations re: correct rate to be charged, scheduling and location of meetings, interest based negotiations v. positional bargaining. | 9/29/2001 | 2/15/2002 | No. No settlement reached. | |
| 77 | Miles, Richard L. | Office of General Counsel | DRS | Yes. | Me and representatives from Northern Nat. | Me, Northern Natural Pipeline Company, and parties | Settement negotiations re: ad valorem tax refunds | Mar. 00 | Apr. 01 | No. No communication to the Commission or its advisory staff where made by me re: the substance of the settlement agreement. | |
| 78 | Miles, Richard L. | Office of General Counsel | DRS | Yes. | Me and parties to RTO formation | Me and plarties to RTO formation | Settlement negotiation, logistics, RTO formation | Mar. 00 | Feb. 02 | No communicaiton was made by me to the decision makers and advisory staff respecting any substantive discussions held during the negotiaitons. | Role was that of a facilitator. |
| 79 | Miller, Thajauna D. | Office of General Counsel | | No. | | | | | | | |
| 80 | Miller, Wilbur T. | Office of General Counsel | General and Administati ve Law | No. | | | | | | | |

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| 81 | Minnis, Frederick G. | Office of General Counsel | | No. | | | | | | | |
| 82 | Morgan, Dorothea R. | Office of General Counsel | | No. | | | | | | | |
| 83 | Morie, Roger P. | Office of General Counsel | MOE | No. | | | | | | | |
| 84 | Morton, Jerel | Office of Markets Tariffs & Rates | Market Developme nt | No | | | | | | | |
| 85 | Morton, Patricia A. | Office of General Counsel | Solicitor | No. | | | | | | | |
| 86 | Moten, Veronica | OEP | Office of Assistant Director | yes | Veronica Moten | Florida Gas Transmission | clarification/supplemental data | Don't remember | Don't remember | Yes, order was issued | |
| 87 | Moten, Veronica | OEP | Office of Assistant Director | yes | Veronica Moten | Midwestern Gas Transmission | clarification/supplemental data | Don't remember | Don't remember | Yes, order was issued | |
| 88 | Moten, Veronica | OEP | Office of Assistant Director | yes | Veronica Moten | Northern Natural Gas Company | Ask that alternate route be drafted | Don't remember | Don't remember | Yes, order was issued | |
| 89 | Murray, Diane | OEP | DHAC | No | | | | | | | |
| 90 | Murrey, Barbara A. | Office of General Counsel | Litigation | | | | | | | | |
| 91 | Myler, John A. | Office of General Counsel | | No. | | | | | | | |
| 92 | Norman, Michelle E. | Office of General Counsel | MOE | No. | | | | | | | |
| 93 | Nowak, Daniel | Office of Markets, Tariffs & Rates | Rates - East | No | | | | | | | |
| 94 | Osborne, Deborah M. | Office of General Counsel | DRS | Yes | Deborah Osborne | Mary Kay Miller, Mike McGowan, Bob Thurber, Jim Talcott, Frank Kelly, Rob Wilson, On Straton, Tim Kissner, Drew Fossom, Roger Brumeister | Settlement negotiations, location timing of settlement conferences | 08/30/00-12/27/00 | | Commission approved the settlement | |

# Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

| | A | B | C | D | E | F | G | H | I | J | K |
|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | **Name** | **Office** | **Division** | **(b)** | **I. Sender(s) of communication** | **II. The recipient(s) of the communication** | **III. The subject matter of the communication** | **IV. Give the date** | **IV. Or date range** | **V. Was any action taken by the Comm. In response to communication:** | **VI. Other Comments (if any)** |
| 95 | Osborne, Deborah M. | Office of General Counsel | DRS | Yes | Deborah Osborne | Deborah Osborne, Christi Nicolay, Andy Edison | Enron was one of four companies selected by the DRS to interview in Hoston as part of a pilot project on the use of Alternative Dispute Resolution (ADR) more for resolving business disputes and to learn about commodity services where the demands of hourly trading require smart, quick and fair business decisions. | Prior 5/24/2001, telephone conversations were held to set up meeting to discuss use of ADR | | No. The DRS wrote a thank you letter to Ms. Nicolay thanking her for the meeting and welcoming additional opportunities where we could service Enron in ADR training. | During one of the telephone conversations, there was a brief discussion of potentially using ADR for a commodities case but the parties chose to not use the DRS and instead work on the case via unassisted negotiations. |
| 96 | Osborne, Deborah M. | Office of General Counsel | DRS | Yes. | Deborah Osborne | Deborah Osborne, Drew Fossom | Enron was one of four companies chosen in the Houston area to pilot a study on the use of Alternative Dispute Resolution for resolving business disputes regarding natural gas pipelines. The intent was to encourage more use of ADR early in the complaint of controversial application in order to avoid contentious litigious dispute resolution paths. | 5/24/2001 | | No. A follow up thank you letter was sent to Mr. Fossom thanking him fo his time and offering ADR training for Enron personnel. | |
| 97 | Owens, Yvonne | Office of Markets, Tariffs & Rates | Issue Identification and Resolution Management | No | | | | | | | |
| 98 | Oxendine, Willie | Office of Markets Tariffs & Rates | Rates - East | No | | | | | | | |
| 99 | Perrilloux, Debra | Office of Markets Tariffs & Rates | Compliance and Operational Audits Group | No | | | | | | | |
| 100 | Pierce, Darrel | Office of Markets Tariffs & Rates | Policy | No | | | | | | | |

## Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 101 | Pointer, Cynthia | Office of Markets Tariffs & Rates | Information Analysis Group | No | | | | | | | |
| 102 | Poionter, Stephen | Office of Markets Tariffs & Rates | Rates - Central | No | | | | | | | |
| 103 | Pratt, Francine M. | Office of General Counsel | | No. | | | | | | | |
| 104 | Pride, Bonnie | Office of Markets, Tariffs & Rates | Litigation | Yes | FERC Staff/Black Marlin | FERC Staff/Black Marlin | Data request/responses, settlement offers in conjunction with rate proceeding in Docket No. RP98-274-000 | | Various dates between August 1998 and November 18, 1998 | December 30, 1998 Commission Order approving Settlement filed November 19, 1998 | |
| 105 | Pulas Jr., Demetrios G. | Office of General Counsel | MOE | No. | | | | | | | |
| 106 | Pursley, Thomas A. | Office of General Counsel | MOE | No. | | | | | | | |
| 107 | Ramdath, Clint | Office of Markets Tariffs & Rates | Information Analysis Group | No | | | | | | | |
| 108 | Ramer, John | OEP | DEER | NO | | | | | | | |
| 109 | Rand, Marilyn | Office of Markets Tariffs & Rates | Rates - Central | Yes | Florida Gas | Rob Kilmer & Marilyn Rand | Status of pending applications and responses to data requests | | 12/96 - 12/99 | None | |
| 110 | Robinson John M. | Office of General Counsel | MOE | No. | | | | | | | |
| 111 | Roddy, John P. | Office of General Counsel | MOE | No. | | | | | | | |
| 112 | Rogers, Albert | Office of Markets Tariffs & Rates | Information Analysis Group | No | | | | | | | |

## Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 113 | Rooney, Patrick | Office of Markets, Tariffs & Rates | Issue identification and Resolution Management | No | | | | | | | |
| 114 | Ross, Joan E. | Office of General Counsel | General Counsel | No. | | | | | | | |
| 115 | Rothman, Steven A. | Office of General Counsel | MOE | No. | | | | | | | |
| 116 | Royster, Diana | Office of General Counsel | | No. | | | | | | | |
| 117 | Schall, Ellen K. | Office of General Counsel | MTR | Yes. | Diane Bernier e-mail | Enron, Schall, widely distributed to many interested in the subject matter. | Generator Interconnection rulemaking/transcripts | 1/28/2002 | | Pending | |
| 118 | Schall, Ellen K. | Office of General Counsel | MTR | Yes. | Diane Bernier e-mail | Enron, Schall, et al. | Web cast and Teleconference of Jan. 17-18 meetings. | 1/16/2002 | | | |
| 119 | Schall, Ellen K. | Office of General Counsel | MTR | Yes. | Diane Bernier e-mail | Enron, Schall, et al. | Public Meeting | 1/3/2002 | | | |
| 120 | Schall, Ellen K. | Office of General Counsel | MTR | Yes. | Diane Bernier e-mail | Enron, Schall, et al. | January 17-18 Meeting | 1/11/2002 | | | |
| 121 | Schall, Ellen K. | Office of General Counsel | MTR | Yes. | Diane Bernier e-mail | Enron, Schall, et al. | Notice of Extension of Time | 12/17/2001 | | | |
| 122 | Schall, Ellen K. | Office of General Counsel | MTR | Yes. | Diane Bernier e-mail | Enron, Schall, et al. | Extension of Time | 12/14/2001 | | | |
| 123 | Schall, Ellen K. | Office of General Counsel | MTR | Yes. | B. Edwards e-mail | Schall, Enron and all parties in El Paso proceeding docket no. RP00-338 | 1 week extension re: SRP Strawman filing | 11/19/2001 | | | |
| 124 | Schall, Ellen K. | Office of General Counsel | MTR | Yes. | Sheridan's e-mail | Enron, James Moriarty, et al. above | Extension re: SRP Strawman | 11/16/2001 | | | |
| 125 | Schall, Ellen K. | Office of General Counsel | MTR | Yes. | James Moriarty e-mail | Enron, Schall, Chamblee | Extension re: SRP Strawman | 11/15/2001 | | | |
| 126 | Schweikart, Debora | Office of Markets, Tariffs & Rates | Policy | No | | | | | | | |

## Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 127 | Scordelis, Philip | OEP | DHAC | No | | | | | | | |
| 128 | Scott, JoAnn | Office of General Counsel | Litigation | Yes. | Either FERC or Enron or Portland General Electric | Same as in column 1 and to all participants in involved proceedings | Discovery and data request responses in Docket Nos. ER97-2358-000, ER98-2051-000, ER99-2326-000; also interventions by PG&E in ER99-28-003 & EL00-95-045 | 1998 forward | | Ongoing | |
| 129 | Segal, Frances | Office of Markets, Tariffs & Rates | Litigation | Yes | FERC Staff/Northern Border | FERC Staff/Northern Border | Data requests/response, settlement offers in conjunction with consolidated rate proceeding in Docket Nos. RP99-322-000 and Rp96-45-000 | | Various dates between July, 1099 and September 2000 | None | |
| 130 | Seigel, Jessica A. | Office of General Counsel | | No. | | | | | | | |
| 131 | Settles-Height, Renee C | Office of General Counsel | | No | | | | | | | |
| 132 | Shapiro, Steven A. | Office of General Counsel | DRS | No. | | | | | | | |
| 133 | Shenot, Jeffrey | OEP | DEER | yes | Florida Gas Transmission Company (FGT) | FERC (Secretary) | FGT submitted an application to FERC for section 7(b), Abandonment of Interruptible Transportation Service | 1/21/1993 | | Order issued on 3/3/93 | |
| 134 | Shenot, Jeffrey | OEP | DEER | yes | Transwestern Pipeline Company (Transwestern) | FERC (Secretary) | Transwestern submitted an application to FERC for a Prior Notice section 157.216, Blanket Type Authorization, for Abandonment of Interruptible Transportation Service | 1/21/1993 | | Order issued on 3/13/93 | |
| 135 | Shenot, Jeffrey | OEP | DEER | yes | Florida Gas Transmission Company (FGT) | FERC (Secretary) | FGT submitted an application to FERC for a Prior Notice section 157.212, Blanket Type Authorization, for Constructing and Operating a New Delivery Point | 2/1/1993 | | Order issued on 3/23/1993 | |

## Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 136 | Shenot, Jeffrey | OEP | DEER | yes | Northern Natural Gas Company (Northern Natural) | FERC (Secretary) | Northern Natural submitted an abbreviated application (sections 157.7 and 157.18) to FERC to Abandon Transportation and Exchange Service | 2/25/1993 | | Order issued on 6/3/93 | |
| 137 | Shenot, Jeffrey | OEP | DEER | yes | Northern Natural Gas Company (Northern Natural) | FERC (Secretary) | Northern Natural submitted an application to FERC for a Prior Notice section 157.212, Blanket Type Authorization, for Constructing and Operating a New Delivery Point | 4/14/1993 | | Order issued on 6/4/93 | |
| 138 | Shenot, Jeffrey | OEP | DEER | yes | Northern Natural Gas Company (Northern Natural) | FERC (Secretary) | Northern Natural submitted an application to FERC for a Prior Notice section 157.212, Blanket Type Authorization, for Constructing and Operating a New Delivery Point | 5/24/1993 | | Order issued on 7/13/93 | |
| 139 | Shenot, Jeffrey | OEP | DEER | yes | Northern Natural Gas Company (Northern Natural) | FERC (Secretary) | Northern Natural submitted an application to FERC for a Prior Notice section 157.212, Blanket Type Authorization, for Constructing and Operating a New Delivery Point | 7/15/1993 | | Order issued on 1/18/94 | |
| 140 | Shenot, Jeffrey | OEP | DEER | yes | Florida Gas Transmission Company (FGT) | FERC (Secretary) | FGT submitted an application to FERC for a Prior Notice section 157.212, Blanket Type Authorization, for Constructing and Operating a New Delivery Point | 11/4/1993 | | Order issued on 12/21/93 | |
| 141 | Shenot, Jeffrey | OEP | DEER | yes | Northern Natural Gas Company (Northern Natural) | FERC (Secretary) | Northern Natural submitted an application to FERC for a Prior Notice section 157.212, Blanket Type Authorization, for Constructing and Operating a New Delivery Point | 7/7/1994 | | Order issued on 8/30/94 | |

## Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 142 | Shenot, Jeffrey | OEP | DEER | yes | Northern Natural Gas Company (Northern Natural) | FERC (Secretary) | Northern Natural submitted an application to FERC for a Prior Notice section 157.212, Blanket Type Authorization, for Constructing and Operating a New Delivery Point | 8/23/1994 | | Order issued on 10/12/94 | |
| 143 | Shenot, Jeffrey | OEP | DEER | yes | Florida Gas Transmission Company (FGT) | FERC (Secretary) | FGT submitted an application to FERC for a Prior Notice section 157.212, Blanket Type Authorization, for Constructing and Operating a New Delivery Point | 1/16/1996 | | Order issued on 8/5/96 | |
| 144 | Shenot, Jeffrey | OEP | DEER | yes | Northern Natural Gas Company (Northern Natural) | FERC (Secretary) | Northern Natural submitted an application to FERC for section 7(c), Construct and Operate New Facilities | 2/2/1999 | | Order issued on 9/30/99 | |
| 145 | Shepherd, Helen S. | Office of General Counsel | | No. | | | | | | | |
| 146 | Silverman, Jacob | Office of General Counsel | MTR | No. | | | | | | | |
| 147 | Simonyi, Peter G. | Office of General Counsel | | No. | | | | | | | |
| 148 | Smallwood, Cynthia B. | Office of General Counsel | Solicitor | No. | | | | | | | |
| 149 | Sooper, Samuel | Office of General Counsel | MRT | No. | | | | | | | |
| 150 | Swinson, Pamela | Office of General Counsel | Litigation | No. | | | | | | | |
| 151 | Tapscott, Maxine | Office of Markets Tariffs & Rates | Rates - East | No | | | | | | | |
| 152 | Toepfer, Betty | Office of General Counsel | MOE | No. | | | | | | | |

# Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson

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| 1 | Name | Office | Division | (b) | I. Sender(s) of communication | II. The recipient(s) of the communication | III. The subject matter of the communication | IV. Give the date | IV. Or date range | V. Was any action taken by the Comm. In response to communication: | VI. Other Comments (if any) |
| 153 | Tolley, Jo | Office of Markets Tariffs & Rates | Administration and Case Tracking | Yes | FERC | Open to Commission staff | Series of information sessions given to FERC staff to provide training/information on Commission-related issues. | | 3/00 - present | None | Enron OnLine Information Session was presented on April 18, 2000. One hour and 30 min. presentation to Commission staff presented by Bob Shults from Enron. |
| 154 | Travers, Cynthia | Office of Markets, Tariffs & Rates | Office of the Director | No | | | | | | | |
| 155 | Turner, Laura | OEP | DEER | No | | | | | | | |
| 156 | Van Der Jagt, Carolyn | Office of General Counsel | Energy Projects | No | | | | | | | |
| 157 | Vasapoli, Dennis L. | Office of General Counsel | | | | | | | | | |
| 158 | Walton, Arlene F. | Office of General Counsel | Administration | No. | | | | | | | |
| 159 | Ward, Arnetta R. | OEP | SFRO | NO | | | | | | | |
| 160 | White, Lodie | Office of General Counsel | MRT | No. | | | | | | | |
| 161 | White, Richard | | | No. | | | | | | | |
| 162 | Williams, Gerald | Office of Markets Tariffs & Rates | Compliance and Operational Audits Group | No | | | | | | | |
| 163 | Winchell, Frank | OEP | DEER | yes | JoLee Gardner, consultant for Portland General Electric | Frank Winchell | Section 106 Consultation Invovling Relicensing the ClackamusRiver Hydroelectric Projects (p-135, 2195, 2233) | 4-Nov-99 | | no | communication was an email message |
| 164 | Winchell, Frank | OEP | DEER | yes | Gail Thompson, consultant for Portland Gas and Electric | Frank Winchell | Discussion on setting up Cultural Resources Work Group Meetings Involving Relicensing the the Clackamus River Hydroelectric Projects (p-135, 2195, 2233). | 1-Jun-00 | | no | communication was an email message |

## Supplemental Response to Question No. 3 in the February 15, 2002 Letter From Senators Lieberman and Thompson



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| 165 | Winchell, Frank | OEP | DEER | yes | Gail Thompson, consultant for Portland Gas and Electric | Frank Winchell | Developing the Area of Potential Effects for Cultural Resources Involving Relicensing the Clackamus River Hydroelectric Projects (p-135, 2195, 2233). | 1-Jun-00 | | no | communication was an email message |
| 166 | Wright, Renee M. | Office of General Counsel | Solicitor | No | | | | | | | |
| 167 | Yamashita, Takeshi | OEP | D2SI | No | | | | | | | |
| 168 | | | | | | | | | | | |
| 169 | | | | | | | | | | | |

# Enclosure B

March 20, 2002

The following former employees of the Federal Energy Regulatory Commission reported in response to Question No. 3 of the February 15, 2002 Letter from Senators Lieberman and Thompson of the Senate Committee on Governmental Affairs that they had no meetings or other communications with Enron employees from January 1, 1992 to December 2, 2001 or do not recall any meetings or other communications with Enron employees during that time:

1. Bach, Christena
2. Bruner, Becky
3. Cappello, Patricia
4. Card, Kathleene (former Director, Office of External Affairs (1992-93); former Advisor to Chairman (Jan.1993-April 1993)
5. Corso, Ronald
6. Curry, Robert
7. Folsom, Noel (former Regional Director, San Francisco Regional Office)
8. Fowler, Lindil
9. Gordon, Samuel (former Administrative Law Judge)
10. Goss, Patrick
11. Harris, Ronald (former Press Secretary and Director of Press Services (1989-94)
12. Hirning, Kathleen (former Chief Information Officer)
13. LaMay, Donna
14. Leache, Allen
15. Levant, Michael (former Administrative Law Judge)
16. Lotis, Jon (former Administrative Law Judge)
17. Maxwell, Thomas
18. McAllister, Sanford
19. Megan, Thomas (former Administrative Law Judge)
20. Miller, David (former Administrative Law Judge)
21. Murphy, William (formerly in Office of the Executive Director)
22. Passerini, Margie
23. Redman, Douglas (formerly in Office of the Executive Director)
24. Springer, Fred (formerly in Office of Hydropower Licensing)
25. Szekely, Robert (former Director, Division of Pipeline Certificate, Office of Producer and Pipeline Regulation)
26. Toronto, Anthony (former Comptroller)
27. Trant, Matthew
28. Wakefield, William
29. Witkin, Jay (former Solicitor)
30. Wyvill, Sandra (formerly in Office of the Executive Director and Chief Financial Officer)

# Enclosure C

March 20, 2002

The following former employees of the Federal Energy Regulatory Commission responded to Question No. 3 of the February 15, 2002 Letter from Senators Lieberman and Thompson of the Senate Committee on Governmental Affairs. A copy of their correspondence is attached.

1. Adamson, Daniel
2. Alexander, Michael
3. Allday, Martin
4. Angle, Stephan
5. Berman, Stan
6. Boyle, Walter S.
7. Cackowski, Bob
8. Cashell, Lois
9. Cochrane, Anna V.
10. Conway, John
11. Cowan, William
12. Dias, Kathleen
13. Fallon, Robert C.
14. Frangipane, Joe
15. Hirning, Kathleen
16. Hoecker, James J.
17. Hoecker, Rebecca Evans (formerly Rebecca F. Schaffer)
18. King, Tom
19. Lansinger, William C.
20. Madden, Kevin
21. Mohler, Paul
22. Moorhead, Adrian
23. Nelson, Jermone
24. Orecchio, John A.
25. Sampson, Carol
26. Santa, Donald F.
27. Schopf, Michael
28. Siomporas, Ted
29. Smith Douglas W.
30. Stone, Howard
31. Tindall, Robert
32. Tomasky, Susan
33. Tomcalla, Karen A.
34. Vasile, Mary K

March 1, 2002

Ms. Susan J. Court
Lead Counsel for General and Administrative Law
Office of General Counsel
Federal Energy Regulatory Commissio
Washington, D.C. 20426

Dear Ms. Court:

Thank you for your letter of February 26, 2002, regarding the information request from the United States Senate Committee on Governmental Relations regarding communications and contacts between Enron employees and FERC employees over a ten-year period. What follows is my response, which cannot fit into the template provided by the Committee:

> I served as Director of the Office of Energy Projets (OEP) at FERC from October 1999 through May 2001. OEP is responsible for regulating non-federal hydroelectric generation and the siting of interstate natural gas pipelines. During my tenure at FERC my schedule was kept by hand in appointment books by my Secretary Joyce Harris. In response to a question from Senator Boxer regarding meetings with Enron, I contacted Ms. Harris on February 11, 2002, to request that I have the opportunity to review these appointment books in order to refresh my recollection of any meetings with Enron employees. Ms. Harris informed me that she had discarded my appointment books after I left FERC. Therefore, I have no records of any meetings with Enron during my tenure at FERC.
>
> However, it is likely that I met with Enron employees or their representatives during my FERC tenure. I specifically recall at least one meeting concerning a proposed pump storage hydroelectric facility and another regarding the development of energy infrastructure on Indian lands. It is also likely that other meetings occurred regarding natural gas pipeline expansions proposed by Enron affiliate.
>
> It is also likely that I had oral communications with Enron employees during my tenure at FERC but I have no record of my calls and do not recall any specific communications.

I hope this response is helpful to you in your effort to respond to the Committee. If you have questions or comments, please do not hesitate to contact me at (202) 508-6640.

Sincerely,

Daniel M. Adamson

F:\DOCS\adamd\lieberman.doc
Washington, D.C.

Response to Question Number 3 (a) – Meetings
(whether in-person or through telephone or video-conferencing)

Name: Michael D. Alexander
FERC Title at Time of Meeting: Technical Advisor, Office of Commissioner Breathitt

| Date of Meeting | Who initiated meeting | Who was present at meeting | Subject matter of meeting | Any action taken by Commission in response to meeting |
|---|---|---|---|---|
| July 23, 1998 | Enron | Joe Hartsoe, Commissioner Breathitt, and myself | Electric industry restructuring issues | None |
| September 18, 1998 | Enron | Joe Hartsoe, Steve Kean, Commissioner Breathitt, and myself | Electric transmission issues | None |
| September 22, 1999 | Enron | Joe Hartsoe, Steve Kean, Commissioner Breathitt, and myself | Electric industry restructuring issues | None |
| September 7, 2000 | Charles Bone | Richard Shapiro, Charles Bone, Commissioner Breathitt, and myself | Electric industry restructuring issues | None |
| January 18, 2001 | Dynegy | Representatives of Dynegy, Reliant, ELCON, EPSA, and Enron, Commissioner Breathitt, and myself | Interregional coordination of Regional Transmission Organizations | None |

Response to Question Number 3 (b) – Other Communications
(whether in-person or through telephone or video-conferencing)

Name: Michael D. Alexander
FERC Title at Time of Meeting: Technical Advisor, Office of Commissioner Breathitt

| Date of the communication | Sender of the communication | Recipient of the communication | Subject matter of communication | Any action taken by Commission in response to communication |
|---|---|---|---|---|
| No records of any other communications | N/A | N/A | N/A | N/A |

**MARTIN L. ALLDAY**
5405 Highland Crest Drive
Austin, Texas 78731
(512) 206-0633

March 7, 2002

Federal Energy Regulatory Commission
888 First Street, NE
Washington, D. C. 20426

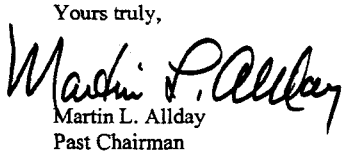
Attn: Ms. Susan J. Court

Re: Communications and contacts with Enron employees and Martin L. Allday, FERC Chairman, during the period from January 1, 1992, through April 1993

Gentlemen:

In response to your February 26, 2002 letter to "Former Federal Energy Regulatory Commission Employees," please be advised that I do not recall any meeting, communication, or contact with any Enron employee or any representative of Enron during the above-referenced time frame from January 1, 1992, through April 1993 when I resigned from the Commission.

Yours truly,



Martin L. Allday
Past Chairman
FERC

**Susan Court**

**From:** Angle, Stephen [sangle@velaw.com]

**Sent:** Friday, March 15, 2002 5:51 PM

**To:** Susan Court

**Subject:** Response to March 12, 2002 letter

Susan, in response to your letter of March 12, 2002, there were two meetings that I and other members of the litigation staff held with representatives of an Enron affiliate. These meetings were held in late 1995 or early 1996 when I as Assistant General Counsel for Hydroelectric and Telemetric Litigation was working with my staff and staff of the Office of Electric Power Regulation to develop a trial staff litigation position. The Commission had set for hearing a number of proceedings in which it had directed transmission owners to offer transmission service to transmission customers that was comparable to the service that the transmission owner provided to itself as an integrated electric company. In response to this directive, I initiated meetings with a number of different transmission users to obtain their views on what features should be included in "comparable transmission service." We contacted representatives of transmission dependent utilities, independent power producers, and power marketers. One person whom I contacted was Joe Hartsoe, whom I asked to attend a meeting with me and other staff members. Subsequently, we held two meetings with Joe, who was serving as FERC liaison for Enron and Steve Kean, who was a vice president of one of the Enron companies (probably the power trading unit). No action was taken by the Commission in response to this meeting. As trial staff, I was subject to the separation of functions rules that preclude any communication from trial staff to the advisory staff or any Commissioner respecting any matter that has been set for litigation. These limitations were rigorously observed. Information obtained from Enron, as well as information obtained from other parties that had intervened in the proceedings at issue, was used to inform trial staff's position on the requirements of an open access tariff. Trial staff, through an OEPR witness, sponsored a uniform open access tariff based on pre-existing filings by certain utilities, as modified to include changes that staff concluded were necessary to assure non-discriminatory service. The ultimate evidentiary submission in these cases was informed by comments from Enron, other transmission users, and from trial staff's experience in handling many different transmission related disputes over the years. All of the proceedings at issue were ultimately terminated when the commission decided to issue Order No. 888, which established an obligation for all jurisdictional transmission owners to file a tariff based on the *pro forma* tariff promulgated by the order or to justify any proposed changes.

Any other meetings that I had with Enron representatives would have been in the course of settlement negotiations in litigated Commission proceedings. Enron routinely intervened in transmission related matters that had been set for hearing. Such conversations are privileged and confidential pursuant to Rule 602 of the Commission's Rules of Practice and Procedure. Accordingly, no statement made by an Enron representative or any party participating in such discussions were ever reported to advisory staff or a Commissioner.

Thank You.

*Stephen Angle*
Vinson & Elkins
PH (202) 639-6565
FAX (202) 639-6604
sangle@velaw.com

++++++CONFIDENTIALITY NOTICE++++++

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**Susan Court**

**From:** "Berman, Stan " <SBerman@HEWM.com> [WDCODOMAIN.INTERNET."SBerman@HEWM.com"] on behalf of WDCODOMAIN.INTERNET."SBerman@HEWM.com"
**Sent:** Thursday, March 14, 2002 9:39 PM
**To:** Vera Vaughns
**Cc:** Susan Court
**Subject:** RE: Senators Joseph Lieberman and Fred Thompson Letter

I was Assistant General Counsel, in charge of the Office of Hydroelectric and Electric Litigation in the FERC General Counsel office, from April 1997 through May 1999. Prior to holding the position of Assistant General Counsel, I was a Trial Attorney in the Office of Hydroelectric and Electric Litigation from August 1994 through April 1997. Since June 1999, I have been employed by the law firm of Heller Ehrman White & McAulliffe LLP in Seattle, Washington.

The Office of Hydroelectric and Electric Litigation, also known as Electric Trial Staff, was assigned to represent the public interest in proceedings relating to electric utility matters that were set for hearing at the FERC. Additionally, the Electric Trial Staff was frequently called upon to act as a mediator or facilitator to attempt to resolve disputes pending before the FERC. In order to facilitate settlement of disputes pending before the FERC, the Electric Trial Staff conducted settlement conferences -- such conferences typically involved bringing all the parties to a dispute together in a room at the FERC, and the Electric Trial Staff would attempt to bring the parties to an agreement that would resolve the dispute. Occasionally, in that facilitator role, the Electric Trial Staff would meet individually with each of the parties to a dispute, and then engage in shuttle diplomacy in order to find a way to resolve the dispute.

During the several years that I was Assistant General Counsel, and in the prior years when I was a Trial Attorney, I moderated many dozens or scores of such settlement conferences. Enron was a frequent participant in such conferences, as it was concerned with advancing open access transmission service on virtually all electric utility systems around the country. I no longer have records of exactly when those meetings occurred, the names of all the participants of the meetings, or which meetings were attended by representatives of Enron.

On several occasions, cases involving Portland General Electric (a subsidiary of Enron) were set for hearing, and similar settlement discussions were held to resolve disputes between Portland General Electric and its customers. Again, I no longer have records of exactly when those meetings occurred, the names of all the participants of the meetings, or which meetings were attended by representatives of Portland General Electric.

Other than settlement conferences such as those described above, I was not a participant in any meetings involving Enron or its subsidiaries, and I did not have any non-public communications with Enron or its subsidiaries.

If you need any additional information, feel free to contact me.

> Stan Berman
> Attorney
> HellerEhrman
> phone: 206.389.4276
> fax: 206.447.0849
> email: sberman@hewm.com
> mail: 701 Fifth Ave., Suite 6100, Seattle, WA 98104
>
>

FEDERAL ENERGY REGULATORY COMMISSION
WASHINGTON, D.C. 20426

OFFICE OF THE GENERAL COUNSEL

February 26, 2002

**TO: FORMER FEDERAL ENERGY REGULATORY COMMISSION EMPLOYEES**

On February 19, 2002, Chairman Pat Wood, III, received a February 15, 2002 letter from Senators Joseph I. Lieberman and Fred Thompson, Chairman and Ranking Minority Member, respectively, of the United States Senate Committee on Governmental Affairs, regarding communications and contacts between Enron employees and FERC employees over a ten-year period (January 2, 1992 to December 2, 2001). Question Number 3 is directed at all FERC employees, including certain employees who are no longer at the Commission. After receiving a clarification from the Senators' staff, the Commission has been asked to collect responses to Question Number 3 from former FERC employees who were division directors or above. Accordingly, I am enclosing for your information a copy of the incoming letter and forms which you can use as a template to answer the question. The only other clarifications that we have received on this question are also enclosed. Finally, I am enclosing a self-addressed envelope that you may use to return your response, which we would appreciate receiving by Wednesday, March 13, 2002.

If you have any questions about this matter, feel free to contact me at (202) 208-2183 or at susan.court@ferc.fed.us. Thank you.

Sincerely,

Susan J. Court

Susan J. Court
Lead Counsel for General and Administrative Law

Enclosures

March 4, 2002

I have had no informal communications with Portland General Electric Company, a subsidiary of Enron Corporation. All other communications and meetings are documented in the files in the Washington D.C. and Portland Regional Offices.

Walter S. Boyle, OHL/D2SI/PRO

FEDERAL ENERGY REGULATORY COMMISSION
WASHINGTON, D.C. 20426

OFFICE OF THE GENERAL COUNSEL

February 26, 2002

**TO: FORMER FEDERAL ENERGY REGULATORY COMMISSION EMPLOYEES**

On February 19, 2002, Chairman Pat Wood, III, received a February 15, 2002 letter from Senators Joseph I. Lieberman and Fred Thompson, Chairman and Ranking Minority Member, respectively, of the United States Senate Committee on Governmental Affairs, regarding communications and contacts between Enron employees and FERC employees over a ten-year period (January 2, 1992 to December 2, 2001). Question Number 3 is directed at all FERC employees, including certain employees who are no longer at the Commission. After receiving a clarification from the Senators' staff, the Commission has been asked to collect responses to Question Number 3 from former FERC employees who were division directors or above. Accordingly, I am enclosing for your information a copy of the incoming letter and forms which you can use as a template to answer the question. The only other clarifications that we have received on this question are also enclosed. Finally, I am enclosing a self-addressed envelope that you may use to return your response, which we would appreciate receiving by Wednesday, March 13, 2002.

If you have any questions about this matter, feel free to contact me at (202) 208-2183 or at susan.court@ferc.fed.us . Thank you.

Sincerely,

Susan J. Court

Susan J. Court
Lead Counsel for General and Administrative Law

Enclosures

SUSAN,
I don't have any notes or recollections of any meetings or communications with ENRON. I may have attended staff prefiling conferences involving them but I don't recall any.
Bob Cochran
3/5/02

LOIS CASHELL
7434 HERSCHEL AVE., #6
LA JOLLA,CA 92037

March 16, 2002

Ms. Susan J. Court
Lead Counsel for General and
Administrative Law
Room 5K-13
Federal Energy Regulatory Commission
Washington, D.C. 20426

Dear Ms. Court:

Yesterday I received an e-mail from your office requesting a response to question 3 of a letter from Senators Lieberman and Thompson. My mail is forwarded during the winter months from my Virginia residence to the above address, but I have not yet received your February 26 letter. I am sending this by e-mail since you requested a response by March 15 and am also sending it to you by regular mail.

I can provide no specific information on any communications with Enron employees during the relevant period until my retirement on December 30, 1997. If any communications did occur, they would have concerned procedural matters related to my duties as Secretary of the Commission. Such communications with employees of regulated entities typically related to the status of filings such as motions for extensions of time and the status of orders requiring action by the Commission.

Sincerely,

Lois Cashell

March 14, 2002

Susan J. Court
Lead Counsel
General and Administrative Law
Federal Energy Regulatory Commission
Washington, DC 20426

VIA E:MAIL

Dear Ms. Court:

This is in response to your letter, dated March 12, 2002, requesting that I respond to Question No. 3 of the February 15, 2002 letter to Chairman Wood from Senators Lieberman and Thompson. I understand that you attempted to contact me in a letter dated February 26, 2002. However, I did not receive that letter since it was sent to a prior address.

Question No. 3 addresses communications and contacts between Enron employees and FERC employees over a ten-year period (January 2, 1992 to December 2, 2001). Since I left government service on October 31, 1992, my response will relate to the period from January 2, 1992 through October 31, 1992.

I was Technical Advisor to Commissioner Charles Trabandt during the period in question. When I left the Commission, I did not take with me any notes, calendars, or other non-public documents. I do not recall any specific individual conversations or communications with an Enron employee during this period, other than mentioned below. Nor do I recall attending any meeting at the FERC with Enron employees.

I attended the following conferences at which Enron employees may have been present:

1. During the period in question, my primary focus was on the development of a major rulemaking, called Order No. 636, which restructured the interstate natural gas pipeline industry. I attended a publicly noticed, Commission technical conference organized to provide industry with the opportunity to share their views and concerns about the Notice of Proposed Rulemaking. Order No. 636 notes that the conference was held on January 22, 1992. Although I assume that there were Enron employees in attendance, I do not have the agenda for the conference and do not recall any presentations by Enron. Nor do I recall any specific conversations I may have had with any Enron employee.

2. I participated as a panelist at a Legislative and Regulatory Conference, sponsored by the Interstate Natural Gas Association of America (INGAA) in the Spring of 1992. Since Enron is a member of INGAA, I assume there were Enron employees at the conference. However, I do not have the agenda for the conference and do

not recall any presentations by an Enron employee. I have no recollection of any specific conversations with any Enron employee.

3. I attended an INGAA Annual Meeting in September 1992. Although I assume that there were Enron employees in attendance, I do not have the agenda for the conference and do not recall any presentations by Enron. Nor do I recall any specific conversations I may have had with any Enron employee.

4. I was a speaker at an industry luncheon on October 30, 1992. I assume there was an Enron employee in attendance. However, I have no recollection of any specific conversations with any Enron employee. To the best of my recollection, the general topic of discussion was Order No. 636 and my impending departure from the Commission.

5. In the afternoon of October 30, 1992, Commissioner Trabandt hosted a going away party for me in the Commission meeting room. I recall speaking with Gay Baker, an employee in the Enron Washington office. The conversation was personal in nature and related to my change in career.

Respectfully submitted:

Anna V. Cochrane
6409 Jumet Court
Fairfax Station, VA 22039

John H. Conway
3812 Columbia Pike
Apartment No. 10
Arlington VA 22204

March 15, 2002

Ms. Susan J. Court
Lead Counsel for General
And Administrative Law
Federal Energy Regulatory Commission
888 First Street, N.E.
Washington, D.C. 20426

Re: Inquiry Concerning Communications and Contacts Between Enron and Commission Employees

Dear Ms. Court:

In response to your letter of February 26, 2002 to former Commission employees regarding communications and contacts between Enron employees and FERC employees over the period January 2, 1992 and December 2, 2001, please be informed of the following:

From January 2, 1992 to January 26, 1994, I was Legal Advisor to Commissioner and then Chair Elizabeth A. Moler ; and from January 26, 1994 to April 1997 Senior Counsel to Chair Moler. During that period, I had numerous courtesy calls from, and meetings with, industry and state representatives. While representatives from Enron or its affiliates may have been among those with whom I talked or attended meetings, I kept no records of my various contacts or meetings and I have no memory of any particular meeting or discussion involving Enron's representatives.

From April 1997 to my separation from government service on September 23, 2000, I was FERC's Acting Solicitor and Deputy Solicitor. During that period, and as lead Commission counsel for the judicial review of FERC Orders No. 888 and No. 889, I met frequently with opposing counsel, including members of the firm Bracewell & Patterson who represented Enron in those judicial review proceedings. The Enron counsel for these meeting was Mr. Jeffrey Watkiss. These meetings (all of which, as I remember, were by telephone conferencing) were either status conferences before the Clerk of the United States Court of Appeals for the Second Circuit or among the parties' counsel as required by the United States Court of Appeals for the District of Columbia Circuit to develop procedures for the briefing and arguing of the case.

Finally, in mid-1999, I met on at least two occasions with counsel for Enron regarding the decision of the United States Court of Appeals for the Eighth Circuit in *Northern States Power Company v. FERC*, 176 F.2d 1090 (8th Cir. 1999). The purpose of those meetings, including one with the Solicitor General's Office, was to discuss the litigation risks and possibilities in seeking Supreme Court review of the court's decision. For these meetings, the counsels for Enron were Mr. Jeffrey Watkiss of Bracewell & Patterson and Mr. Louis Cohen of Wilmer Cutler & Pickering.

Should you have any further questions, please do not hesitate to contact me.

Sincerely yours,

John H. Conway

400 Old Meetinghouse Road
East Falmouth, MA 02536
March 11, 2002

Susan Court
Office of General Counsel
Federal Energy Regulatory Commission
Washington, D.C. 20426

Dear Susan,

This is in reply to your memo of February 26, 2002, which I received on March 8, 2002, requesting a response to Question No. 3 propounded by the Senate Committee on Government Affairs, dealing with communications and contacts between Enron employees and FERC employees from January 2, 1992 to December 2, 2001. As you know, I served as an Administrative Law Judge and Deputy Chief Administrative Law Judge at FERC from January 7, 1997 to August 11, 2001.

In my capacity as a Presiding Judge and Settlement Judge in a number of multi-party proceedings dealing primarily with Northeast electricity markets, I conducted settlement conferences in cases where Enron was a party. In these settlement cases, Enron was usually represented by Dan Alligretti, whom I believe to have been an employee of the company. In one case involving distribution of the costs of running ISO-New England, Enron was represented by one Donna Fulton, whom I believe to have been an employee of the company. In these cases, discussions were either on the record, or held in public conferences involving multiple parties. In some settlement conferences, informal caucuses of groups of parties with similar interests were held with me as the settlement judge. Enron was usually represented in such caucuses as a member of a "marketers" subgroup. In the case involving ISO-New England costs, I recall having several communications directly with Ms. Fulton, as I was attempting to get Enron to sign onto a tentative resolution of the dispute that was acceptable to other parties.

I trust this has been helpful. Please let me know I you need anything further.

William J. Cowan
U.S. Administrative Law Judge

10410 Muir Place
Kensington, MD 20895
March 13, 2002

Susan J. Court, Esquire
Lead Counsel for General and Administrative Law
Office of the General Counsel
888 First Street, N.E.
Washington, D.C. 20426

RE: Inquiry from Senators Joseph Lieberman and Fred Thompson

Dear Susan:

I received your letter of February 26, 2002 in which you asked certain former Commission employees who were division directors or above to respond to Question No. 3 of a February 15, 2002 letter from Senators Joseph Lieberman and Fred Thompson to Chairman Pat Wood, III.

Question No. 3 requests a listing of "all communications between Enron and the Commission from January 1, 1992 and December 2, 2001, excluding publicly available, periodic filings required by law or regulation.

a) For any meetings, whether in person or through telephone or videoconferencing, please identify:

   i) who initiated the meeting
   ii) all those who were present at the meeting
   iii) the subject matter(s) of the meeting
   iv) the date of the meeting
   v) any action taken by the Commission in response to the meeting

b) For all other communications, please identify:

   i) the sender(s) of the communication
   ii) the recipient(s) of the communication
   iii) the date of the communication
   iv) the subject matter of the communication
   v) any action taken by the Commission in response to the communication

I served as a legal advisor to Commissioner Curt Hébert, Jr. from November 1997 through January 2000 and as a legal advisor to Chairman Curt Hébert, Jr. from January 2001 through August 2001. While I do not recall any specific meeting or communication with Enron during that period, I am bringing to your attention two matters I was involved in because there is a likelihood that Enron employees participated or attended.

March 13, 2002

First, the Commission held a number of Staff and industry outreach meetings in response to the Commission's Notice of Proposed Rulemaking in Docket No. RM98-10. These meetings were initiated by the Commission. Staff and representatives of various groups were present (pipelines, producers, consumer groups etc.). The subject matter was the Commission's NOPR in Docket No. RM98-10. I don't have any memory of when the meetings occurred. I don't know of any Commission action taken in response to those meetings.

Second, I attended a regulatory affairs conference sponsored by the Interstate Natural Gas Association of America (INGAA). Attending the meeting were members of INGAA and FERC Staff. The meeting was held in the Spring of 1998. I don't recall any specific subject matter or any action taken by the Commission in response to the meeting.

If you have any further questions about this matter please feel free to call me at 202-778-1657.

Sincerely,

Kathleen M. Dias

Kathleen M. Dias

March 18, 2002

Ms. Susan Court, Lead Counsel
General and Administrative Law
Office of the General Counsel
Federal Energy Regulatory Commission
888 First Street, N.E.
Washington, DC 20426

Re: Senator Lieberman/Senator Thompson Letter

Dear Ms. Court:

Pursuant to your email of March 4, 2002, the following contains my recollection of meetings with Enron employees from January 1, 1992 to December 1, 2001. During the time in question, I worked primarily for former Commissioner and then Chair Elizabeth Anne Moler. Prior to leaving the Commission in November 1997, I worked a brief period of time in the Office of the General Counsel Trial section. To the best of my recollection during the time in question, I had the following meetings with Enron employees:

1. Meeting with Richard Kinder, Enron title unknown, and Joe Hartsoe Washington representative for Enron with Elizabeth Moler; discussed Order No. 888 NOPR among other issues; time of meeting after the issuance of Order No. 888 NOPR, meeting took place at 825 North Capital; no action taken as a result of the meeting.
2. Meeting with J. Skilling, Enron title unknown, other unknown Enron personnel, and Elizabeth Moler; time of meeting-unknown, meeting took place at 825 North Capital; topic-general market issues; no action taken. I believe I met with Mr. Skilling along with Ms. Moler one additional time during this period but cannot recall the specifics of the meeting.
3. Meeting with Stan Horton, Enron title unknown and other representatives of the Interstate Natural Gas Association of America ("INGAA"); time of meeting-unknown, meeting took place at 825 North Capital; topic-pipeline proposal to negotiate rates, terms and conditions; no action taken at the time but

Commission later issued policy statement and various orders on negotiated rates and considered, but rejected, allowing negotiation of terms and conditions for open access transportation service.

4. Meeting with Joseph Hartsoe, Washington representative for Enron, time of meeting unknown, meeting took place at 825 North Capital; topic-general gas issues, including gathering; no action taken.

5. Conversation with Stan Horton, Enron title unknown; time of meeting unknown, call took place at 825 North Capital; topic-displeasure with Northern Natural Gas Pipeline's meeting with Elizabeth Moler on pipeline proposal for incentive rates; no action taken at time and but Commission did consider incentive rate issues in a variety of proceedings. I cannot recall if Northern Natural ever made any incentive rate filing.

6. Conversation with Joe Hartsoe, Washington representative of Enron; time of meeting unknown, conversation took place at 888 First Street; topic-INGAA attempt to submit alternative proposals to the Commission pursuant to the Commission's directive to the Gas Industry Standards Board "GISB"; action taken-during the conversation I stressed that the Commission wanted one proposal from GISB, GISB submitted one filing and Commission ultimately adopted a series of rulemakings on GISB related issues.

In addition to the above meetings, during this time I attended numerous industry gatherings, such as INGAA meetings, meetings of the Energy Bar Associations, industry receptions and gave numerous speeches to a variety of industry gatherings as well as attended all Commission meetings. During these gatherings, I no doubt interacted with Enron employees, such as Shelly Corman, an attorney for Enron, Joe Hartsoe and Donna Fulton, another Enron representative in the manner set forth in the Committee's letter. I simply cannot recall the specifics of any of those encounters. In addition, I saw Joe Hartsoe on social occasions, primarily with a group of energy lawyers that met from time to time. Again, I cannot recall the specifics of any of those encounters.

I trust this answers the questions of the Committee and will be happy to provide any additional information you require. I can be reached at 202-861-9134, fallonr@dsmo.com.

Sincerely,

Robert C. Fallon

**Susan Court**

**From:** Joe Frangipane [frangipane@earthlink.net]
**Sent:** Wednesday, March 13, 2002 9:54 AM
**To:** Susan Court
**Subject:** Response to Committee Request

I'm enclosing a summary of my contacts with Enron employees in Response to Question No 3 from the U.S. Senate Committee on Governmental Affairs.

I will put a hard copy of my response in the mail to you today. I'm sorry for the delay in responding.

Resp. of Joseph Frangipane to
US Senate Committee on Governmental Affairs
Question No. 3

| Company | Docket No. | Audit Period (Date Audit Started - Date Report Issued) | Comments | Contact |
|---|---|---|---|---|
| Florida Gas Transmission Financial Audit | FA91-23-000 | 1/1/91 - 7/14/92 | Audit report issued 7/14/92 | Assistant Controller, John P. Travelise |
| Florida Gas Transmission Financial Audit | FA94-15-000 | Audit ended 11/12/96 | Contested audit issues settled 11/12/96 | Director of Financial Accounting, James M. Saunders |
| Northern Border Pipeline Co. Financial Audit | FA93-45-000 | 4/19/93 - 5/17/96 | Audit report issued 5/17/96 | Controller, Patricia M. Wiederholt |
| Northern Border Pipeline Co. Construction Audit | FA98-007-000 | 4/12/99 - 10/12/99 | Audit report issued 10/12/99 | Controller, Patricia M. Wiederholt |
| Northern Natural Gas Company Financial Audit | FA93-48-000 | 6/1/93 - 8/28/95 | Audit report issued 8/28/95 | Director, Accounting and Reporting, Robert Chandler |
| Transwestern Pipeline Company Financial Audit | FA94-16-000 | 1/3/94 - 4/11/96 | Audit report issued 4/11/96 | Vice President and Controller, Mr. E.G. Parks |
| Midwestern Gas Transmission Co. Financial Audit | FA91-72-000 | 9/16/91 - 4/09/92 | Audit report issued 4/09/92 | Controller, Bill J. Walls |
| Midwestern Gas Transmission Co. Financial Audit | FA94-9-000 | 11/15/93 - 10/20/94 | Audit report issued 10/20/94 | Assistant Controller, Mr. Gregory G. Gruber |
| Transwestern Pipeline Co. Financial Audit | FA94-16-000 | 12/7/93 - 4/11/96 | Audit Report issued 04/11/96 | Vice President & Controller, Mr. E. G. Parks |

Kathleen M. Hirning
18 Earth Star Court
Gaithersburg, MD 20878
(301) 986-3844

March 13, 2002

Susan J. Court
Lead Counsel for
General and Administrative Law
Federal Energy Regulatory Commission
888 First Street NE
Washington, DC 20426

Dear Ms. Court,

I am writing in response to your letter dated February 26, 2002 asking me to identify any communications and contacts I recall having between ENRON employees and FERC employees over a ten-year period (January 2, 1992 to December 2, 2001).

Between December 6, 1999 and February 9, 2001 I was Director for Office of External Affairs reporting to FERC Chairman/Commissioner James Hoecker through January 19, 2001, and then to Chairman/Commissioner Curt Hebert until my departure from FERC on February 9, 2001. On September 15, 1997 I started working at FERC as IT Consultant and hired February 1998 as FERC Chief Information Officer reporting to Chairman Hoecker. To my best knowledge I had no direct communications with ENRON employees and was not present during any direct communications between FERC employees and ENRON employees.

I am currently employed by IBM in position of Director for Global e-Government in Global Services, Business Innovation Services and can be reached during the day at 301-803-3828, or by email hirning@us.ibm.com.

Please do not hesitate to call me if I can provide any further information.

Sincerely,

Kathleen Hirning

Response to Question Number 3 (a) – Meetings
( whether in-person or through telephone or video-conferencing)

Name: Kathleen Hirning

FERC Title at Time of Meeting: Director of Office of External Affairs

| Date of Meeting | Who initiated meeting | Who was present at meeting | Subject Matter of Meeting | Any action taken by Commission in response to meeting |
|---|---|---|---|---|
| | No meetings or telephone calls to report. | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

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THE CHRYSLER BUILDING
405 LEXINGTON AVENUE
NEW YORK, NY 10174
TEL: (212) 973-0111
FAX: (212) 891-9598

March 12, 2002

**BY ELECTRONIC MAIL AND HAND DELIVERY**

Susan J. Court, Esq.
Lead Counsel for General and Administrative Law
Office of the General Counsel
Federal Energy Regulatory Commission
888 - 1st Street, N.E.
Washington, DC 20426

Dear Ms. Court:

This letter constitutes my response to your memorandum of February 26, 2002, with respect to Question 3 in the February 15, 2002 request by Senator Joseph Lieberman and Senator Fred Thompson to Chairman Wood for information about communications between Commission personnel and Enron.

During my seven years and eight months of service on the Commission, Enron participated in many publicly-noticed natural gas- and electricity-related conferences at the FERC. Although the "Special Instructions" which you received from Senate staff appear to require me to include such public meetings, I have no records that would allow me to identify those meetings, their dates, or their content, with any degree of accuracy. I will therefore assume that the Commission's Office of External Affairs will supply that information, including the record of attendance by Commissioners. I will not include that information here. I will also assume that this is acceptable to you unless you inform me otherwise.

With respect to non-public meetings, I have a calendar identifying my schedule as Chairman from June 1997 to January 2001 (one month is missing). It provides virtually no information on the content or nature of meetings and scant data on the persons in attendance. I can confidently make the following observations, however. First, most of the meetings identified below were "courtesy visits," which were customarily held seriatim with the available members of the Commission. Second, my personal assistants (and occasionally line staff members) usually sat in on those meetings, which they were informational in nature. All my courtesy visits were governed by the strict rule that pending matters were off-limits to discussion. Third, courtesy visits were always initiated by the company, association, or official seeking an appointment. Fourth, I have no record or recollection of telephone conversations or other communications with Enron personnel. Outside my official capacity, I occasionally interacted

socially with Mr. Joseph Hartsoe, an Enron mid-level executive, whom I have known for over 20 years. Finally, I want to make clear that I did not recommend, nor did the Commission ever take, any formal action as a direct result of any Enron meeting.

The list below includes all Enron-related meetings that I can confirm. They occurred between June 1997 and my departure from the Commission in January 2001. I have no recollection or record of specific meetings that I had with Enron before I became Chairman, save one. Mr. Kenneth Lay called upon me in 1995 or 1996. Although I remember his visit, I have no record or memory of the substance of the conversation.

| Date | Description |
|---|---|
| 06/26/97 | Meeting with Joseph Hartsoe, Vice President for Federal Regulatory Affairs, Steve Kean (title uncertain), and Bill? Butler (title uncertain) |
| 09/11/97 | Meeting with Stan Horton, head of Enron's natural gas pipeline operations |
| 01/29/98 | Meeting with Jeffrey Skilling (unsure of his title at this time) and Joseph Hartsoe |
| 03/24/98 | Meeting with Mr. Joseph Hartsoe |
| 07/23/98 | Lunch with Joseph Hartsoe and non-Enron friends |
| 09/18/98 | Meeting with Jeffrey Skilling (unsure of his title at this time) and Joseph Hartsoe |
| 09/08/99 | Meeting with Stan Horton, head of natural gas pipeline operations, and Donna Fulton, Enron Washington Office |
| 09/22/99 | Meeting with Jeffrey Skilling and Joseph Hartsoe |
| 09/18/00 | Meeting with Joseph Hartsoe |
| 09/20/00 | Sat at the luncheon head table with Kenneth Lay, Chairman and CEO, Governor Knowles (Alaska), Governor Taft (Ohio), and others, at the Governors' Natural Gas Summit, Columbus, OH |
| 10/19/00 | Meeting with Gas Industry Standards Board (probably included Stan Horton, head of GISB at the time) |

| Date | Description |
|---|---|
| 01/09/01 | Meeting at the Treasury Department, convened by the White House Council of Economic Advisors, Gene Sperling, and presided over by Secretary of the Treasury Lawrence Summers and Secretary of Energy Bill Richardson. Between 30 and 50 people were present, including Kenneth Lay and possibly one or two other Enron personnel. The purpose of the meeting was to find solutions to California's electricity crisis. Governor Gray Davis and others in leadership in California were in attendance. |
| 01/13/01 | Meeting on California issues with attendees of January 9, 2002 meeting. Kenneth Lay, other industry leaders, and California officials participated by video conference from Sacramento. |

Thank you for your attention to this difficult matter.

Sincerely,

James J. Hoecker

JJH/mch

3069882.1

March 12, 2002

Susan J. Court
Lead Counsel for General and Administrative Law
Office of General Counsel
Federal Energy Regulatory Commission
Washington, D.C. 20426

Dear Ms. Court,

This letter is in response to your memo of February 26, 2002, to Former Federal Energy Regulatory Commission Employees regarding a February 15, 2002, letter to Chairman Pat Wood, III, from Senators Joseph I. Lieberman and Fred Thompson, Chairman and Ranking Minority Member, respectively, of the United States Senate Committee on Governmental Affairs, requesting a response to Question Number 3 of that letter.

I no longer have my calendars for the years I was Director, Office of External Affairs, May 1993 to December 1999. I have no recollection of any private meeting, telephone conversation, or video conference with any Enron employee or executive. Occasionally, Enron's Washington representative, Joseph Hartsoe, would come into the Office of External Affairs (OEA) to request press releases or other public documents available in OEA from OEA staff. When I saw him, we would exchange pleasantries, but we never had any substantive discussion that I recall.

The written and other communications to me as Director, Office of External Affairs, regarding any Enron matter, would generally have been a congressional inquiry. Such communications, and any responses, should be in the congressional files maintained in OEA, to which I do not have access.

Sincerely,

Rebecca Evans Hoecker

Rebecca Evans Hoecker
(formerly Rebecca F. Schaffer)

**Susan Court**

**From:** Tom King [regres@sbcglobal.net]
**Sent:** Tuesday, March 12, 2002 2:33 PM
**To:** Susan Court
**Subject:** Your February 26, 2002 letter

Susan,

I retired September, 1994. I destroyed all personal work related notes years ago. I have no access to any historical FERC records to which I may have been a party.

Thank you,

Tom King

Sempra Energy™

**William C. Lansinger, Jr.**
Director
FERC and Federal Agency Relations

Washington Center
Sixth Floor East
1001 G Street, N.W.
Washington, D.C. 20001-4545

Tel: 202.662.1712
Fax: 202.293.2887
wlansinger@sempra.com

Memo To: Susan J. Court, Lead Counsel for General and Administrative Law

From : William C. Lansinger, Jr., Director, FERC and Federal Agency Relations, Sempra Energy

Subject : Request from Senators Lieberman and Thompson regarding communications and contacts between Enron employees and FERC employees from January 2, 1992 to December 2, 2001.

During the relevant time period I held two positions at the FERC that place me in the class of employees required to respond to this request. From January 1994 to June 1997, I was Natural Gas Advisor to Chair Elizabeth Moler. From August 1997 to January 2000, I was Senior Policy Advisor to Chairman James Hoecker. From January 2000 to September 2000, I was Deputy Director, Office of Energy Projects. I left the FERC in September 2000, and have been since employed at Sempra Energy.

When I left the FERC, I did not retain any personal items, including calendars, that would have provided a record for this request. Therefore, I provide my response exclusively from memory.

Response to Question Number 3 (a) – Meetings
( whether in-person or through telephone or video-conferencing)

Name: William C. Hunsinger Jr.

FERC Title at Time of Meeting: Advisor to Chair Betsy Moler
1/94 → 6/97

| Date of Meeting | Who initiated meeting | Who was present at meeting | Subject Matter of Meeting | Any action taken by Commission in response to meeting |
|---|---|---|---|---|
| 3/94 | Terry Thorn Florida Gas | Terry Thorn, Betsy Moler Bill Hunsinger | Ground Breaking for FGT p. in Florida | None |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Response to Question Number 3 (a) – Meetings
( whether in-person or through telephone or video-conferencing)

Name: William C Lanning Jr

FERC Title at Time of Meeting: Advisor to Chairman James Hoecker
8/97 – 1/02

| Date of Meeting | Who initiated meeting | Who was present at meeting | Subject Matter of Meeting | Any action taken by Commission in response to meeting |
|---|---|---|---|---|
| Summer 1998 | Enron | Jeff Skilling, Steve Kean, James Hoecker, Bill Lanning | I do not remember | None that I know |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

March 13, 2002

Susan J. Court, Esq.
Lead Counsel for General & Administrative Law
Office of General Counsel
Federal Energy Regulatory Commission

Dear Ms. Court,

This is in response to your letter dated February 26, 2002 regarding a recent request that Chairman Wood, III, received from Senators Lieberman and Fred Thompson.

At the outset, I want you to know that, to the best of my recollection, I did not routinely maintain or retain a calendar of meetings or telephone logs during my tenure at the FERC. Consequently, I do not have any of the specificity as requested under question number three. However, during the period in question, I recall that on sporadic occasions, I attended meetings at which Enron representatives also were present (I left the Commission on August 24, 2001). These may have included, among others, pre-filing conferences, public technical conferences, public symposia and conferences, courtesy visits, INGAA conferences, an EPSA conference, Congressional hearings, Commission public policy conferences, and Commission public meetings. Generally, public meetings included representatives from all sectors of the energy industry, as well as other industry groups. I also recall that my secretary or I received certain telephone calls from Enron officials during this period. For the most part, I believe that these calls were initiated by Enron officials to arrange courtesy visits and pre-filing conferences. Most, if not all of the communications (i.e. meetings and telephone calls), were directly related to public proceedings where a public record exists in the Commission's file, or were related to general energy issues.

Additionally, I previously provided you with communications that, to the best of my recollection, I had with Enron representatives for the period covered by Senator Boxer's request. Finally, I recall that about three or four years ago, I met with Enron officials and traders when I visited the Enron Pipeline Operations Center and its trading floor, along with the energy trading floors of other companies.

Please let me know if you have any additional questions.

Sincerely,

Linda P. Middleton

**Susan Court**

**From:** Mohler, Paul [PMohler@HEWM.com]
**Sent:** Wednesday, March 13, 2002 2:54 PM
**To:** Susan Court
**Cc:** Mohler, Paul
**Subject:** Response to Q3(a) and 3(b) per your 2/26 memo

With one exception, I was unable to identify or recall from the limited records I retained after leaving FERC any meetings or communications responsive to your request. The one exception was a calendar entry relating to an Enron reception on November 29, 1993.

Please call if you have any questions.

Paul B. Mohler
Attorney
**HellerEhrman**
**1666 K Street, NW, Suite 300**
**Washington, DC 20006-1228**
Direct (202) 912-2153 Fax (202) 912-2020
E-mail: pmohler@hewm.com

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**Susan Court**

**From:** Adrian Moorhead [moorhead@bwmq.com]

**Sent:** Thursday, March 14, 2002 3:02 PM

**To:** Susan Court

**Subject:** Response to Question No. 3 from Senators Lieberman and Thompson

With respect to Question No.3, Mr. Moorhead would first point out that he retired from the FERC in December,1998.For the period January 1,1992 through December 1998, Mr. Moorhead served as Director of the Litigation Division in the Office of Pipeline Regulation. In that capacity Mr. Moorhead was responsible for directing and coordinating the technical trial staff's settlement and testimony positions in natural gas proceedings set for formal hearing by the Commission. The formal hearing process involved settlement negotiations which were privileged and confidential and were attended by the Commission's trial staff( both technical and legal) assigned to the the particular case , the pipeline applicant's personnel responsible for the filing, customers of the pipeline,State Commissions, other Federal agencies, and interested parties who received intervenor status. Any settlement reached as a result of the negotiations would have to be certified to the Commission by the presiding Administrative Law Judge if it met certain requirements. The Commission may then dispose of the settlement in several ways (i.e.,approval, rejection, or require further proceedings). Mr. Moorhead cannot recall the specifics of particular settlement negotiations or the dates that specific settlement negotiations took place.------Adrian Moorhead

**JEROME NELSON**
**508 Whitingham Drive**
**Silver Spring, MD 20904**

March 5, 2002

Ms. Susan J. Court
Lead Counsel for General and Administrative Law
Federal Energy Regulatory Commission
Washington, DC 20426

Dear Ms. Court:

Your letter of February 26, 2002 forwards the February 15, 2002 request of Senators Lieberman and Thompson regarding communications and contacts between Enron and senior FERC employees between January 2, 1992 and December 2, 2001. As you know, I served as an Administrative Law Judge at FERC during part of the time in question - from January 2, 1992 until October 4, 1996, when I left the federal service and took a position as a Hearing Officer with the National Association of Securities Dealers.

I believe that Enron appeared before me in one or more hearings over that time period. Any communications and contacts between Enron and me would have been in the form of written submissions, documentary exhibits, oral presentations, or testimony. All such communications would be part of the public record in the docket of such proceedings.

Question 3 in the Senators' request asks for various specifics about the communications (names, dates, subject matter, Commission action, etc.). I have no memory of such details for any of the proceedings in which Enron appeared before me.

Yours very truly,

Jerome Nelson

March 5, 2002

Ms. Susan J. Court
Lead Counsel for General
and Administrative Law
Federal Energy Regulatory Commission
888 First Street, NE, Room 5k-13
Washington, DC 20426

Dear Susan:

Your letter of February 26, 2002 asked me to respond to Question 3 of the February 15, 2002, inquiry made of Chairman Wood by Senators Lieberman and Thompson. The inquiry asks for certain details regarding any communication I, as the former Director of the Division of Investigations, Office of Electric Power Regulation, might have had with the Enron Corporation from January 1, 1992, to the date of my retirement from federal service on April 3, 1998. This letter is to inform you that, to the best of my knowledge, I have had no communication of any kind with Enron.

Sincerely,

John A. Orecchio

John A. Orecchio

2030 F Street, NW, #201
Washington, DC 20006

March 6, 2002

Ms. Susan J. Court
Lead Counsel for General and
Administrative Law
Federal Energy Regulatory Commission
Washington, DC 20426

Dear Ms. Court,

I have reviewed your February 26, 2002, letter to former employees of the Federal Energy Regulatory Commission (FERC), which seeks a response to Question Number 3 contained in a February 15, 2002, letter from Senators Joseph I. Lieberman and Fred Thompson to FERC Chairman Pat Wood, III. I was an employee of the FERC from February 9, 1998, through October 6, 2001, and during that period held positions as the Director of Hydropower Licensing and the Deputy Director of the Office of Energy Projects.

While serving as the Director of Hydropower Licensing at FERC, I met with representatives from Portland General Electric (PGE), both in private meetings and in meetings with the National Hydropower Association of which the company is a member. To the best of my recollection, discussions in those meetings were general in nature, and limited to providing input to or obtaining guidance and direction about hydropower licensing policies and procedures. I believe I also received briefings about project operations at some of their projects. I did not retain any records of those meetings, and am unable to provide the specific details requested. I do not recall any other communications or contacts with representatives of PGE.

As Deputy Director of the Office of Energy Projects, I was responsible for the internal administrative operations of the office, and I was not involved in either the hydropower licensing or the pipeline certification responsibilities in the office. On a few occasions, I was designated to act for the Director of the office in his absence. To the best of my recollection, I had no communications or contacts with Enron, as defined in the Senators' letter, during my tenure as the Deputy Director or as Acting Director of the Office of Energy Projects. I did not retain any records from that period, and am unable to provide further details.

Please let me know if I can provide anything further. I am currently an employee of the Department of the Interior, Office of Surface Mining, and can be reached at 202-208-2546.

Sincerely,

Carol L. Sampson

Carol L. Sampson

TROUTMAN SANDERS LLP
ATTORNEYS AT LAW
A LIMITED LIABILITY PARTNERSHIP

401 9TH STREET, N.W. - SUITE 1000
WASHINGTON, D.C. 20004-2134
www.troutmansanders.com
TELEPHONE: 202-274-2950

Donald F. Santa, Jr.
donald.santa@troutmansanders.com

Direct Dial: 202-274-2815
Fax: 202-654-5651

March 8, 2002

**By U.S. Mail**

Susan J. Court, Esquire
Lead Counsel for General and Administrative Law
Office of General Counsel
Federal Energy Regulatory Commission
888 First Street, N.E.
Washington, DC 20426

Re: Response to U.S. Senate Committee on Governmental Affairs

Dear Ms. Court:

This letter responds to your memorandum dated February 26, 2002, requesting assistance in responding to the request that Chairman Wood received from the Chairman and Ranking Member of the Senate Committee on Governmental Affairs. In particular, you asked that I respond to the third question in the Committee's letter: "Please list all communications between Enron and the Commission from January1, 1992 and December 2, 2001, excluding publicly available, periodic filings required by law or regulation."

As you know, I was a Member of the Commission from May 25, 1993, through August 14, 1997. Upon leaving the Commission, I did not retain meeting calendars, notes or other documents regarding the meetings in which I participated while a Commissioner. Consequently, I have no basis for responding in detail to the question in the Committee's letter.

As a Commissioner, I maintained an open door policy and met with representatives of all stakeholders on matters where it was permissible for me to have discussions, i.e., matters outside the prohibitions of the Commission's ex parte rules. In this regard, representatives of Enron Corporation and its subsidiaries were treated no better and no worse than representatives of other stakeholders. While I cannot confirm specific dates, participants or subjects of meetings, I surely met with Enron representatives multiple times during my four years as a Member of the Commission.

Please contact me if I can be of any further assistance to the Commission in responding to the Committee's inquiry.

Sincerely,

Donald F. Santa, Jr.

**Susan Court**

**From:** schopf [schopf@erols.com]
**Sent:** Tuesday, March 12, 2002 5:17 PM
**To:** Susan Court
**Subject:** Answers to Question No. 3

1. I have no specific recollection of any meeting with any employee of Enron or its subsidiaries from January 1, 1992 until the end of my tenure at the F.E.R.C. 2. I have no specific recollection of any other communication with any employee of Enron or its subsidiaries during the same period. It is conceivable that there were official written communications between Enron and me during the period. If so, they are presumably available in Commission files. I have no such documents in my possession and no specific memory of any communication, as noted earlier.

March 13, 2002

Susan Court
Lead Counsel for General & Administrative Law
Federal Energy Regulatory Commission
888 First Street, NE
Washington, DC 20426

Dear Ms. Court:

This letter responds to your letter of February 26th requesting information about contacts between Enron employees and FERC officials from January 2, 1992 through December 2, 2001.

I was a FERC employee for only part of the time period specified – I served as General Counsel at FERC from November 1997 through February 2001. I do not have calendars for the time period prior to 2001, and I do not have telephone logs or electronic mail records for any of the time period. Thus, my response is based on my recollection and on review of the limited records in my possession.

Below I have outlined the information requested. I have assumed that reading pleadings made by Enron companies that were filed with the Commission's Secretary, and reading briefs and other court filings served on the Commission, are outside the scope of this request.

(1) On February 20, 1998, I attended a public conference held by the Commission on electricity reliability issues. A representative of Enron, Steve Kean, was one of a number of participants in the conference.

(2) On July 12, 1999, I participated in an outreach meeting with the Electric Power Supply Association, the National Energy Marketers Association and members of those organizations, including an Enron representative. Other FERC staff from the Office of Markets, Tariffs and Rates and the Office of General Counsel also participated. This session was part of a series of outreach meetings on regional transmission organizations.

(3) I recall meeting with representatives and attorneys for Transwestern Pipeline, which is owned by Enron, on issues concerning renewal of the pipeline right-

of-way agreements for tribal lands. I believe Bob Christin of FERC staff also attended this meeting.

(4) I recall telephone conversations with Dan Watkiss of Bracewell & Patterson, who served as counsel to Enron, concerning appellate litigation in NSP v. FERC and New York v. FERC, both matters in which Enron was a party.

(5) On July 12, 2000, I participated in a meeting with representatives of the American Wind Energy Association, including Hap Boyd of Enron Wind Corporation. Other participants included Randy Swisher and Jaime Steve of AEWA, Chris Ellison, Robert Sims of Seawest and Sam Enfield of Atlantic. The topics covered related to wind generators' concerns about FERC transmission policy.

(6) On September 12, 2000, I attended a public conference held by the Commission in San Diego on the California electricity situation. A representative of Enron was one of a number of participants in the panels addressing the Commission.

(7) On November 9, 2000, I attended a public conference held by the Commission on proposed remedies for California markets. An Enron representative, Steve Kean, was one of a number of participants in conference.

(8) On January 9 and 13, 2001, I attended two large meetings convened by senior Administration officials to try to arrive at a negotiated resolution of California's electricity supply problems. Chairman Hoecker and Pat Alexander were also in attendance. The meetings were chaired by Secretary of the Treasury Lawrence Summers and National Economic Council Chairman Gene Sperling. Participants included Secretary of Energy Bill Richardson, Governor Gray Davis and energy officials from his administration, President Loretta Lynch of the California Public Utilities Commission, leaders from the California legislature, and senior executives from the California electric utilities and a number of energy suppliers in the California market. One or more Enron executives attended each meeting. Ken Lay attended the first meeting, and may have attended the second meeting. Joe Hartsoe of Enron attended the second meeting. In all, 40 or more persons attended these meetings.

Please call me at 202 298-1902 if I can be of any further assistance.

Sincerely,

Douglas W. Smith

**Susan Court**

**From:** Robert Tindall
**Sent:** Monday, March 18, 2002 5:03 PM
**To:** Susan Court
**Subject:** Enron Question 3

My response to your letter of 2/26/2002 is presented below. Bob Tindall (3/18/2002)

**Subject**: Communications and contacts between Enron employees and FERC employees between January 2, 1992 and December 2, 2001.

**Name**: Robert C. Tindall, Jr.

**FERC Titles during subject period**: 1/92 - 3/98, Deputy Director, Division of Investigations (renamed Division of Litigation in 1997), within the Office of Electric Power Regulation; 3/98 - 3/99, Acting Director, Division of Litigation, within the Office of Electric Power Regulation; 3/99 - until retirement in 9/99; Senior Leader, Office of Administrative Litigation

**Response to Question Number 3a**: I am quite certain that I had no one-on-one meetings with any Enron employees or representatives. I do not recall any other specific meetings that I attended that included Enron employees or representatives except publicly noticed meetings, such as technical conferences and generic policy conferences.

**Response to Question Number 3b**: I am quite certain that I had no other one-on-one communications with any Enron employees or representatives. The only other communications I can recall are written communications from Enron publicly filed in docketed proceedings.

**Explanations/Comments**: I apologize for my tardy response. I was actively engaged in a consulting project in Oklahoma and did not return home until March 15, 2002. I kept an electronic calendar with notes on meetings while employed at the FERC; however, such information was left behind on an FERC PC when I retired. Thus, I could not refresh my memory as to specific meetings that I may have attended that included Enron employees or representatives.

March 15, 2002

Susan J. Court, Esq.
Lead Counsel For General and
Administrative Law
Federal Energy Regulatory Commission
888 First Street, N. E.
Washington, D. C. 20426

**Re: Request For Information In Response To February 15, 2002 Letter From Senators Lieberman and Thompson**

Dear Ms. Court:

You have asked me, as a former employee of the Federal Energy Regulatory Commission, to provide information in response to question number three in the February 15, 2002 letter to FERC Chairman Wood from Senators Lieberman and Thompson.

Enron had numerous pipelines subject to FERC regulation and FERC had regulatory authority over Enron Power Marking as well. I point that out to note that, as with the representatives of other regulated entities, I could have encountered Enron representatives in the course of any business day but I have no specific recollections other than as described below.

I served as General Counsel of the Federal Energy Regulatory Commission from May, 1993 until August, 1997. I did meet with some Enron employees during that time. All the meetings that I recall were in connection with FERC business.

I do not recall the specific dates or times of these meetings nor, except as described below, do I recall the precise subject matter of the meetings. When I left FERC, I did not bring any written records with me, which might serve to refresh my recollection on these points.

I do recall meeting with Enron employees Kenneth Lay and Steven Kean and perhaps others at a pre-filing meeting regarding the merger of Enron and Portland General. I believe FERC Chair Betsy Moler attended this meeting along with other FERC staff.

I also recall meeting with Enron employees Jeffrey Skilling and Steven Kean on one occasion and with Mr. Kean and Enron employee Joseph Hartsoe on another occasion. I recall these meetings as courtesy visits occurring shortly after my appointment as FERC General Counsel. I also recall other meetings with Mr. Kean and Mr. Hartsoe, who were involved in regulatory affairs for Enron, though I do not remember the specific subject matter of any discussions.

I also recall that Enron representatives often attended and participated in technical conferences in which I also frequently participated. The technical conferences pertained to a wide variety of policy issues including natural gas and electricity regulatory matters, and development of the Commission's open access transmission rulemaking Order No. 888.

If I may be of further assistance please contact me.

Very truly yours,

Susan Tomasky

**Susan Court**

**From:** Tomcala, Karen A [KAT5@pge.com]
**Sent:** Wednesday, March 13, 2002 2:44 PM
**To:** Susan Court
**Subject:** Response re Enron contacts

I am responding to your February 26, 2002 letter regarding the request from Senators Lieberman and Thompson about communications and contacts between Enron employees and FERC employees for the period from January 2, 1992 to December 2, 2001.

I joined the FERC staff as an attorney reporting to Dan Larcamp in the Spring of 1995. During the period I worked for Dan, I had no individual contacts with Enron employees outside of widely attended events, such as FEBA meetings.

In the Spring of 1996, I became Commissioner Hoecker's electric advisor. I remained in this position until I left FERC in the Fall of 1998. During this period, I had no individual contacts with Enron employees outside of widely attended events. However, Commissioner Hoecker had numerous courtesy visits from a broad range of people that requested meetings with the Commissioner, including industry representatives, consumer advocates, state regulatory and legislative contacts, environmentalists and labor leaders. I would sit in on many of these meetings, and Enron representatives occassionally made such visits. I did not keep a calendar of Commissioner Hoecker's meetings, but they would be reflected on his calendar. In the period I worked for the Commissioner, Enron representatives visited infrequently, probably not more than once or twice a year. I have no particular recollection of these meetings -- they do not stand out in any way from the numerous courtesy visits that we attended. I do recall that the topic of one of these meetings was the state of electric transmission service in the United States, and I believe that the attendees at that meeting included Steve Kean and Joe Hartsoe. I do not have any other specific recollections.

Susan Court, Lead Counsel
General and Administrative Law
Federal Energy Regulatory Commission
Washington D.C. 20426

Dear Susan;

This responds to your letter request of February 26, 2002, enclosing a February 15, 2002 letter with questions from Senators Joseph Lieberman and Fred Thompson to FERC Chairman Pat Wood III. Question Number 3 included in that letter seeks information regarding communications and contacts between Enron employees and FERC employees over the 10-year period January 2, 1992 to December 2, 2001.

I was a FERC employee on January 2, 1992, remaining so until my retirement from the government in August 1999. From January 2, 1992 until June 1993, I was an attorney advisor on hydroelectric licensing matters in the Office of the General Counsel and had no meetings with Enron representatives.

From June 1993 until my retirement in 1999, I served as legal advisor to Commissioner Vicky Bailey, with responsibility for hydroelectric and certain natural gas industry matters. In that capacity, I participated with Commissioner Bailey and her staff in a number of routine courtesy visits with industry and company representatives. I recall approximate three to five such courtesy visits with Enron company employees or representatives, including at least one visit where Mr. Ken Lay, Enron CEO, was a part of an Enron group. Discussions at those meetings addressed generic issues of industry-wide significance, and no specific actions were taken in response to those meetings. I kept no definitive records as to the dates or further details of those meetings or names of participants.

Likewise, in my capacity as legal advisor to Commissioner Bailey, I attended Commission publicly noticed generic gas industry policy conferences at which Enron employees or representatives sometimes presented formal public statements. Finally, I attended one or two Enron Company Christmas parties at the Phoenix Park Hotel in Washington D.C. in the mid-1990s.

I believe that this answers to the best of my ability Question Number 3 of the Senators' letter. Please feel free to contact me if you need any additional information.

Sincerely,

Mary Vasile

Mary K. Vasile
8314 Riverton Lane
Alexandria, VA 22308
703.780.0174

FEDERAL ENERGY REGULATORY COMMISSION
WASHINGTON, DC 20426

Committee on Governmental Affairs
EXHIBIT #B-4

OFFICE OF THE CHAIRMAN

April 24, 2002

RECEIVED APR 2 5 2002

The Honorable Joseph I. Lieberman
Chairman
Committee on Governmental Affairs
United States Senate
Washington, D.C. 20510

Dear Mr. Chairman:

This letter and the enclosed material comprise the second supplement to the response to Question No. 3 in your February 15, 2002 request for Enron-related information pertaining to FERC from January 1, 1992 until December 2, 2001. We sent the initial answer to Question No. 3, and the other six questions, on March 4, 2002, and supplemented that answer on March 21, 2002. As indicated in both the initial and supplemental answer, we were continuing to seek responses to Question No. 3 from former FERC employees (at the division director level and above). The enclosure here conveys the responses from former FERC employees from whom we have heard since March 21, 2002.

If I can be of any further assistance in this or any other Commission matter, please feel free to contact me.

Best regards,

Pat Wood, III
Chairman

Enclosure

**Question No. 3**:

Please list all communications between Enron and the Commission from January 1, 1992 and December 2, 2001, excluding publicly available, periodic filings required by law or regulation.

a) For any meetings, whether in-person or through telephone or video-conferencing, please identify:
   i) who initiated the meeting
   ii) all those who were present at the meeting
   iii) the subject matter(s) of the meeting
   iv) the date of the meeting
   v) any action taken by the Commission in response to the meeting

b) For all other communications, please identify:
   i) the sender(s) of the communication
   ii) the recipient(s) of the communication
   iii) the date of the communication
   iv) the subject matter of the communication
   v) any action taken by the Commission in response to the communication.

**Second Supplemental Answer:**

As we indicated in our March 21, 2002 Supplemental Answer, at that time, we had received responses from 64 former employees to Question No. 3, and we described or attached those responses in that answer. Since then, we have received responses from 31 more former employees as set forth below. To date, we have heard from every former Chairman or Commissioner who served at the Commission during the relevant period. Six of the former employees with whom we have made voice or email contact, assuming we had the correct information, have not provided anything in writing in response to Question No. 3. That leaves eight former employees whom we have been unable to reach by letter, telephone, or e-mail. We have searched the Internet and talked to former colleagues, but to no avail.

The following former employees of the Federal Energy Regulatory Commission reported in response to Question No. 3 of the February 15, 2002 Letter from Senators Lieberman and Thompson of the Senate Committee on Governmental Affairs that they had no meetings or other communications with Enron employees from January 1, 1992 to December 2, 2001 or do not recall any meetings or other communications with Enron employees during that time:

1. Samuel E. Freeman
2. James Goris
3. Georgia LeDakis
4. Ronald A. Lesniak, Director of Chicago Regional Office
5. Gray Payne
6. Dean Shumway, Director of Division of Hydropower Licensing

The following former employees of the Federal Energy Regulatory Commission responded to Question No. 3 of the February 15, 2002 Letter from Senators Lieberman and Thompson of the Senate Committee on Governmental Affairs. A copy of their correspondence is attached.

1. Patricia Alexander, Assistant to Chairman
2. Vicky Bailey, Commissioner
3. David Boergers, Secretary
4. Sharon Booye
5. Larry Bruneel, Technical Advisor to Commissioner Bailey
6. Debbie Clark
7. David Cook, Deputy General Counsel
8. Joan Dreskin, Assistant to Commissioner
9. Warren Edmunds, Deputy Director of Office of Pipeline Regulation
10. Jerome Feit, Solicitor
11. Walter Ferguson, Assistant to Commissioner/Chairman Hebert
12. Curtis Hébert, Commissioner/Chairman
13. Patricia Jagtiani, Assistant to Chairman Hoecker
14. Jerry Langdon, Commissioner
15. Christie McGue, Executive Director and Assistant to Chairman Hoecker
16. Arthur Martin, Jr., Regional Director, Portland Office
17. Jerry Milbourn, Deputy Director of Office of Electric Power Regulation
18. Elizabeth Moler, Commissioner and Chairman
19. Joshua Rokash, Assistant to Commissioner Trabandt and Commissioner/Chairman Hébert
20. William Scherman, General Counsel
21. Howard Shafferman, Chief of Staff and Assistant to Chairman Allday
22. Brian Starkey, Computer Specialist Group Manager
23. Branko Terzic, Commissioner
24. Charles Trabandt, Commissioner
25. Dorothy Zimmerman

**Susan Court**

**From:** Alexander, Patricia [AlexanderP@dsmo.com]
**Sent:** Friday, April 05, 2002 1:44 PM
**To:** Moira Notargiacomo; Susan Court
**Cc:** Alexander, Patricia
**Subject:** Response to 2/26 letter

Response to 2/8 letter

Your 2/26/02 letter asked me to provide information requested by Senators Lieberman and Thompson. You explain that the information request is intended to apply to employees who served at the level of division director and above. During my tenure in the Office of Electric Power Regulation, I never served at that level. Between 1999 and 2001, I served as Electric Policy Advisor to the Chairman, and my response is intended to cover that period.

Attached is an email that I sent earlier providing information requested in your 2/8/02 letter. Although the period covered by your 2/26/02 letter is somewhat longer than the period covered by your 2/8/02 letter, my response is the same. As noted in my earlier response, I have no calendars or logs to refresh my memory. It is entirely possible that there were occasions when Enron employees visited or called the Chairman's office, for example, during routine courtesy visits, and t is possible that Enron employees were present when I attended various meetings between FERC staff and industry groups. However, without the assistance of calendars, email or phone logs, the only specific events that I can recall are those reported on my earlier letter.

Please let me know if I can be a further assistance.

I apologize for the delay in responding. I request that you forward further communications to my business address (below) to help me provide more timely responses.

Pat Alexander
Consultant/Energy
Dickstein Shapiro Morin & Oshinsky LLP
2101 L Street, NW
Washington, DC 20037
phone: 202-756-2918
alexanderp@dsmo.com

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To reply to our email administrator directly, send an email to postmaster@dsmo.com

Dickstein Shapiro Morin & Oshinsky LLP
http://www.legalinnovators.com

February 15, 2002

Susan J. Court, Esq.
Lead Counsel for General and Administrative Law
Office of General Counsel
Federal Energy Regulatory Commission
888 First St, NE
Washington, DC 20426

Dear Ms. Court:

I am responding to your February 8, 2002, request for information concerning contacts between FERC senior staff and Enron executives for the August 2000 to June 2001 period. You indicate that, for purposes of responding to this letter, senior staff includes Commissioner's advisors. As you know, my position as Electric Policy Advisor to the Chairman ended on January 18, 2001. Accordingly, in responding to this letter I have considered the relevant period to be from June 2001 through January 18, 2001.

In responding to your request, I am relying entirely upon my unrefreshed recollection. When I left the Chairman's office in January of 2001, and when I subsequently resigned my position with FERC on April 13, 2001, I did not retain calendars, schedules, phone logs, copies of e-mails, or any similar records that would assist me in recalling the information you have requested. As a result, my recollection is almost certainly incomplete. In any event, with these caveats, I do recall the following meetings.

On two occasions in early January, I attended a meeting concerning California energy matters, together with Chairman Hoecker and Douglas Smith, FERC General Counsel, which was convened by the Secretary of the Treasury (Lawrence Summers) and the Secretary of Energy (Bill Richardson), and at which one or more Enron executives were present. Ken Lay attended the first of those meetings, and I am reasonably certain that Ken Lay also attended the second. One or two other Enron executives may also have been present, but I do not know the number or their names. Others at that meeting included Governor Gray Davis and his staff, Loretta Lynch, Chairman of the California Public Utilities Commission, several leaders from the California legislative bodies, senior executives of a number of energy suppliers to the California market, members of the President's Council of Economic Advisors, and others whose names and positions I do not recall. Topics discussed were potential solutions to the problems facing the California electricity market.

Sincerely,

Patricia Alexander

**Department of Energy**
Washington, DC 20585

March 22, 2002

Susan Court, Lead Counsel
General and Administrative Law
Federal Energy Regulatory Commission
888 First Street, N.E.
Washington, D.C. 20426

Dear Susan:

I am sending you this letter pursuant to your e-mail of March 13, 2002, needing my response to a letter dated February 15, 2002 from Senators Joseph I. Lieberman and Fred Thompson, Chairman and Ranking Minority Member, respectively, of the United States Senate Committee on Governmental Affairs, for my response to Question 3. I was a Commissioner with the Federal Energy Regulatory Commission (FERC) from May, 1993 through January, 2000.

In my seven years at FERC, I had approximately four to six meetings or interactions with executives or employees of Enron. These sessions would be initiated by the company in the form of courtesy visits with myself and/or my immediate staff. Mr. Ken Lay, Enron CEO, came in to talk with myself and one other member of my staff back in 1996. I don't have the exact date. We talked about generic issues of interest to the industry at that time and no specific action was taken by the Commission as a result. In other meetings, I talked with Jeff Skilling, President of Enron, Steve Kean, Senior VP, and Jeffrey Watkiss, an attorney representing Enron along with a group of power marketers. The meetings were initiated by the individuals and were held in the 1997-1999 time frame. The subject matter dealt with the competitive state of the industry and, no Commission action was taken in response. Also I attended one or two years during the Christmas holidays a reception hosted by Enron.

I hope this letter is responsive to your inquiry as I do not have my records from those years but please do not hesitate to contact me (202) 586-8660.

Regards,

Vicky A. Bailey
Assistant Secretary
Office of Policy and International Affairs

Printed with soy ink on recycled paper

GENERAL & ADMIN. LAW
INCOMING

2001 MAR 20 P 3:26

March 11, 2002

Susan J. Court
Assistant General Counsel
Federal Energy Regulatory Commission
888 First Street, NE
Washington, DC 20426

Dear Ms. Court:

This is in response to your letter of February 26, 2002, concerning the data request from the United States Senate about Commission employees' meetings with the Enron Corporation and its affiliates during the period from January 1992 through December 2001.

From October 1990 to February 1993, I was an advisor to FERC Chairman Martin Allday on hydroelectric and electric matters. In this capacity I participated in numerous courtesy visits by representatives from industry and public interest groups. I do not remember any specific meetings, but the ground rules always were that pending cases could not be discussed. I also participated in publicly noticed meetings that may have included company representatives. The minutes of such meetings would be reflected in the Commission's public files.

From late 1993 until March 1995 I was on detail to the Department of Energy, Office of Policy, Planning, & Analysis. I worked on hydropower issues and have no recollection of meetings during that time with representatives from Enron or its affiliates.

From December 1997 until December 2001, I was Secretary of the Commission. In this capacity I met with representatives from industry and public interest groups and responded to telephone inquiries from many people. I do not remember an specific discussions, but I always observed the Commission's ground rules prohibiting ex parte communications.

I hope this information is helpful.

Sincerely,

David P. Boergers

David P. Boergers

Response to Question Numbers 3 (a) and 3 (b) of the February 15, 2002 Letter from the United States Senate Committee on Governmental Affairs

Name: Sharon Lee Booye

Titles at FERC During January 1, 1992 through August 31, 1998: Director of the Division of Policy, Technology, and Oversight; Deputy Office Director, Office of the Chief Accountant; Director of the Division of Rate Filings

The nature of my duties in all three of these positions included occasional meetings with members of the energy industry, predominately from the regulatory and accounting portions of the industry to discuss proposed filings they intended to make with the Commission; implementation of new policies established by the Commission; and to obtain information on how sectors of the industry worked. I also would have received correspondence from a variety of energy sector entities.

When I retired from the Commission in August of 1998, I did not retain any records of meetings or correspondence from any of the parties I met with during the years I was at the FERC. It is entirely possible that I may have met with or received correspondence from Enron during the 7 year period, but I do not have any memory of any particular meeting or correspondence.

Response to Question Number 3 (a) – Meetings
( whether in-person or through telephone or video-conferencing)

Name: Larry Bruneel

FERC Title at Time of Meeting: Technical Advisor to Commissioner Bailey

| Date of Meeting | Who initiated meeting | Who was present at meeting | Subject Matter of Meeting | Any action taken by Commission in response to meeting |
|---|---|---|---|---|
| July 31, 1996 | Ken Lay | Vicky Bailey, Ken Lay and Larry Bruneel | No recollection and no notes | No recollection and no notes |
| | | | | |
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| | | | | |

Additionally, I recall other meetings in this time period with Jeff Skilling and Steve Kean of Enron but I have no written documentation of dates or subject matter. I only have a vague recollection of meeting with them.

**Susan Court**

**From:** DebbieClarkDOIIA@aol.com
**Sent:** Tuesday, April 09, 2002 8:50 PM
**To:** Susan Court
**Subject:** ENRON Contact

Susan,

During my tenure at FERC I do not specifically recall any contact with Enron officials and have no records to indicate otherwise.

Please feel free to contact me if you have other questions. I will be out of the office through 4/18/02.

Debbie L. Clark
Department of the Interior
Assistant Secretary of Indian Affairs
Chief Financial Officer
1849 C Street, NW
MIB-4140
Washington, DC 20240
(202) 208-5185

**DAVID N. COOK**
**75 EAST SHREWSBURY PLACE**
**PRINCETON, NEW JERSEY 08540**

March 21, 2002

Ms. Susan J. Court
Lead Counsel for General and Administrative Law
Federal Energy Regulatory Commission
Room 5K-13
888 First Street, N.E.
Washington, D.C. 20426

Dear Ms. Court:

This letter is in response to your request dated March 12, 2002, for assistance in responding to a letter that the Federal Energy Regulatory Commission received from Senators Joseph I. Lieberman and Fred Thompson of the Senate Committee on Governmental Affairs concerning contacts between Enron Corporation and the Commission during a ten-year period (January 2, 1992 to December 2, 2001). I understand that you are asking me to respond only to Question Number 3 of the Lieberman-Thompson letter and only for the period I was employed at the Commission. Question Number 3 reads as follows:

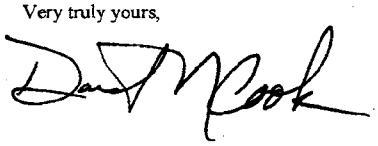
> *3) Please list all communications between Enron and the Commission from January 1, 1992 and December 2, 2001, excluding publicly available, periodic filings required by law or regulation.*
>
> *a. For any meetings, whether in-person or through telephone or videoconferencing, please identify:*
>
> *i. who initiated the meeting;*
> *ii. all those who were present at the meeting;*
> *iii. the subject matter(s) of the meeting;*
> *iv. the date of the meeting;*
> *v. any action taken by the Commission in response to the meeting.*
>
> *b. For all other communications, please identify:*
>
> *i. the sender(s) of the communication;*
> *ii. the recipient(s) of the communication;*
> *iii. the date of the communication;*
> *iv. the subject matter of the communication;*
> *v. any action taken by the Commission in response to the communication.*

In the ten-year period you ask about, I served as the Commission's Deputy General Counsel through February 24, 1999. On that date I left the Commission to take the position of General Counsel for the North American Electric Reliability Council.

During the time I served as the Commission's Deputy General Counsel, I had occasion to meet with or have communication with representatives of numerous companies and organizations concerning matters and issues that were before the Commission. I have no specific recollection of any meeting or communication with representatives of Enron Corporation, although it is highly likely that some meetings and communications with Enron representatives did take place. I have no records that indicate the details of any meetings or communications with Enron or any other company or organization.

I understand that you intend to include this letter as part of the Commission's response to the request from the Senate Governmental Affairs Committee. Please contact me (609-452-8060, ***david.cook@nerc.net***) if you need additional information.

Very truly yours,



**JOAN DRESKIN**
GENERAL COUNSEL

March 13, 2002

Susan J. Court
FERC
General & Administrative Law
Room 5K-13
888 First Street, N.E.
Washington, D.C. 20426

Dear Ms Court:

I am in receipt of your letter dated February 26, 2002. In response to Question Number 3, I do not recall having any one-on-one meetings from January 1, 1992 through March 1998 (when I left the Commission) with any Enron employee. I do recall attending a publicly- noticed Transwestern settlement conference, along with others, at the request of the pipeline and its customers. I do not recall the date of the settlement conference (perhaps 1995/1996). I did not receive or keep any documents from that meeting.

Further, as a Commissioner's Assistant from May 1993 – August 1997, I attended Natural Gas Roundtable lunches and three INGAA regulatory conferences (Spring 1995, 1996 and 1997). I believe that an Enron employee(s) attended these events. Neither do I have any materials from any of these well-attended events nor do I remember the agenda.

I believe my response fully answers Senator Lieberman and Thompson's Question Number 3.

Sincerely,

Joan Dreskin

INTERSTATE NATURAL GAS ASSOCIATION OF AMERICA
10 G STREET, N.E., SUITE 700 • WASHINGTON, D.C. 20002 • 202/216-5928 • FAX 202/216-0876

**JOAN DRESKIN**
GENERAL COUNSEL

March 18, 2002

Ms. Susan J. Court
FERC
General & Administrative Law
Room 5K-13
888 First Street, N.E.
Washington, D.C. 20426

Dear Ms. Court:

On March 13, 2002, I replied to your letter of February 26, 2002 regarding my communications with Enron while I was employed by the Federal Energy Regulatory Commission. I erroneously stated the dates that I attended the INGAA regulatory conferences. Upon further research, I discovered that the correct dates are: Spring 1994, Spring 1996 and Spring 1997.

Due to the importance of this matter, I wanted to make sure that I corrected these dates immediately.

Sincerely,

Joan Dreskin

INTERSTATE NATURAL GAS ASSOCIATION OF AMERICA
10 G STREET, N.E., SUITE 700 • WASHINGTON, D.C. 20002 • 202/216-5928 • FAX 202/216-0876

Response to Question Numbers 3 (a) and 3 (b) of the February 15, 2002 Letter from the United States Senate Committee on Governmental Affairs

Name: Warren Clarence Edmunds

Titles at FERC During January 1, 1992 through April 1, 1998: Deputy Office Director, Office of Pipeline Regulation, Acting Director, Office of Pipeline Regulation; Deputy Director, Office of Pipeline Regulation

The nature of my position as acting Office Director and as Deputy Office Director of the Office of Pipeline Regulation involved many meetings with various members of the energy industry, predominately from the regulatory sector of the industry, to discuss proposed filings they intended to make with the Commission; implementation and ongoing procedures related to the new policies established by the Commission, and to gather information about the gas and oil industries regulated by the Commission. I also would have received correspondence from a variety of energy sector entities.

When I retired from the Commission in April of 1998, I did not retain any records of meetings or correspondence from any of the parties I met with during the years I was at the FERC. I did, however, meet on occasion with various Enron officials concerning gas pipeline rate and certificate applications proposed to be filed by Enron's regulated pipelines. Because of the positions I held at the FERC I also received correspondence from Enron during this 7 year period, but I do not have any memory of any specific meetings or correspondence.

**Susan Court**

**From:** Jerome Feit [jrfeit@webtv.net]
**Sent:** Thursday, April 04, 2002 9:37 PM
**To:** Susan Court
**Subject:** RE: 636 Reunion

Susan.... The answer is "no" I met with Ken Starr----- more than once I must say---- but never with Enron or any of its hirelings.
The Commission does not have my correct mailing address ...nor do you. We sold our home a year or so ago my new address is : 531 Lawson Way, apt. 306, Rockville Md. 20850. E#njoy the reunion.
Jerry Feit

Response to Question Number 3 (a) – Meetings
( whether in-person or through telephone or video-conferencing)

Name: WALTER C. FERGUSON

FERC Title at Time of Meeting: ATTORNEY & ADVISOR

| Date of Meeting | Who initiated meeting | Who was present at meeting | Subject Matter of Meeting | Any action taken by Commission in response to meeting |
|---|---|---|---|---|
| 1999, 2000 2 | ENRON REGULATORY REPRESENTATIVE | REP. & MYSELF | PRE-FILING VISIT | NORMAL ROUTINE PROCESSING |
| | | | | |
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| | | | | |

**Entergy Corporation**
639 Loyola Ave.
P.O. Box 61000
New Orleans, LA 70161
Tel 504 576 4743
Fax 504 576 4997

**Curt L. Hébert, Jr.**
Executive Vice President
External Affairs

March 26, 2002

Ms. Susan J. Court
Lead Counsel for General and Administrative Law
Room 5K-13
Federal Energy Regulatory Commission
888 First Street, NE
Washington, DC 20426

Dear Ms Court:

I am writing in reference to your request that I provide information responsive to Question No. 3 contained in a letter dated February 15, 2002, from Senators Lieberman and Thompson to Chairman Wood. To the best of my recollection, while I was a member of the Commission, I only had one contact with an Enron executive (other than perhaps at some widely attended industry meeting or social event and other than in open Commission meetings). The contact occurred by phone on February 9, 2001. As I recall, Mr. Kenneth Lay called me. Present in my office for all or part of the call, was my Chief of Staff and FERC General Counsel, Kevin P. Madden. Those present in my office only heard my side of the conversation. Mr. Lay and I discussed my continuing on as Chairman of FERC. No Commission action was taken as a result of the call.

As you know, the phone call was the subject of a GAO investigation which was requested by Senator Lieberman and which found nothing improper about the call.

Sincerely yours,

Curtis L. Hebert

Response to Question Number 3 (a) – Meetings
( whether in-person or through telephone or video-conferencing)

Name: Patricia W. Jagtiani

FERC Title at Time of Meeting: Public Utility Specialist (Litigation Staff)

| Date of Meeting | Who initiated meeting | Who was present at meeting | Subject Matter of Meeting | Any action taken by Commission in response to meeting |
|---|---|---|---|---|
| 1995-1996 | Conferences / Site Visits in | ~~FERC~~ Litigation staff & Northern Rate | Rate Case | Case withdrawn by Northern |
| No record of actual dates | Connection with Northern Natural Gas Company's | Staff | | |
| | Rate Case Docket No. RP95-185 | | | |
| | | | | |
| | | | | |
| | | | | |

Response to Question Number 3 (a) – Meetings
( whether in-person or through telephone or video-conferencing)

Name: Patricia W. Jagtiani

FERC Title at Time of Meeting: Advisor to Chairman James Hoecker

| Date of Meeting | Who initiated meeting | Who was present at meeting | Subject Matter of Meeting | Any action taken by Commission in response to meeting |
|---|---|---|---|---|
| 2/12/98 | No recollection | Donna Fulton -Manager, Regulatory & Competitive Analysis for NNG | Not work-related | None |
| | | Alan Lovinger -Former FERC Litigation Staff | | |
| 4/22/98 | No record or recollection of meeting | Donna Fulton -Manager, Regulatory & Competitive Analysis for NNG | Cannot recall | None |
| | | | | |
| 12/2/98 | No record or recollection of meeting | "Northern" entry on calendar -Attendees Unknown | Unknown | None |
| | | | | |

It is possible that I could have attended other meetings in which I have no documentation and cannot recall.

Response to Question Number 3 (b) – Other Communications
( whether in-person or through telephone or video-conferencing)

Name: Patricia W. Jagtiani

FERC Title at Time of Communication: Advisor to Chairman James Hoecker

| Date of the communication | Sender(s) of the communication | Recipient(s) of the communication | Subject matter of the communication | Any action taken by the Commission in response to the communication |
|---|---|---|---|---|
| | | | | |
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I have No recollection of any specific communications as described above.

JERRY J. LANGDON
P.O. BOX 1329
HOUSTON, TX 77251
832. 676.4744

April 12, 2002

Honorable Joseph I. Lieberman, Chairman
United States Senate
Committee on Governmental Affairs
Washington, D.C. 20510-6250

Re: Enron Investigation

Dear Mr. Chairman:

I am in receipt of a copy of your letter to Chairman Wood dated March 27, 2002, requesting information concerning my contact with Enron representatives between January 1, 1992 and December 2, 2001. Specifically you have requested details concerning the following:

a.) who sent the communication
b.) who received the communication
c.) the date of the communication
d.) any action taken in response to the communication
e.) for any meetings responsive to the request, the date of the meeting and all of those who attended the meetings

My service as a Commissioner to the Federal Energy Regulatory Commission terminated with my resignation, submitted to President William Jefferson Clinton on June 5, 1993. Accordingly, I am assuming that this request includes contact I had with Enron representatives from January 1, 1992 through June 5, 1993.

Regrettably, I have no written or electronic records for that period of my service to verify specifics of any meeting with Enron representatives; however, during my term I recall approximately six to eight meetings with various communications of the following individuals:

Kenneth Lay
Jeffery Skilling
Oliver Richard
James Rogers
Rebecca McDonald
Stanley Horton

These meetings were typically held in my Washington office with the exception of one or two at offsite industry functions such as Interstate Natural Gas Association. Additionally, I toured Enron's trading floor on one occasion; however, this may have been subsequent to the dates specified and thus my service to FERC.

The nature of these meetings can be best characterized as "informational" in nature. Typically, Enron representatives would visit the Commission before formal filings to gauge staff and commission reaction. This is a common practice followed by other regulated companies at the Commission. At no time did anything irregular or improper occur, nor did any Enron representative ever attempt to unduly or improperly influence my office. Additionally, to the best of my memory none of the meetings I had with Enron were private, as my practice was to have counsel attend all meetings with regulated entities, including Enron.

I took no action as a member of the Federal Energy Regulatory Commission based upon meeting with Enron representatives.

Sincerely,

Jerry J. Langdon

Cc: Chairman Patrick H. Wood
Ms. Susan Court

**Susan Court**

**From:** McGUE CHRISTIE
**Sent:** Saturday, March 30, 2002 11:03 AM
**To:** Susan Court
**Subject:** ENRON

Sorry for the delay in responding to your letter. I was out of the country and didn't get it until my return.

In response, any meetings I would have had with ENRON personnel over the past few years would have been part of focus groups for our "FERC First" reengineering project or in courtesy visits with either Chairman Jim Hoecker or Chair Betsy Moler. I do not recall any names or titles of those in attendance.

Once again, sorry for the delay. Please let me know if I can be of further assistance.

Christie McGue

**Do You Yahoo!?**
Yahoo! Greetings - send greetings for Easter, Passover

Response to Question Number 3 (a) – Meetings
( whether in-person or through telephone or video-conferencing)

Name: Arthur C Martin, Jr.

FERC Title at Time of Meeting: Regional Director, Portland Regional Office

| Date of Meeting | Who initiated meeting | Who was present at meeting | Subject Matter of Meeting | Any action taken by Commission in response to meeting |
|---|---|---|---|---|
| | | | | |
| | | | | |
| | I retired from the FERC in April 1996 and Enron did not acquire Portland General Electric until July 1997. Therefore I did not have any communication with Enron during my FERC employment. Arthur C. Martin Jr. Arthur C. Martin, Jr. | | | |
| | | | | |
| | | | | |
| | | | | |

6607 Wells Parkway
University Park, Md. 20782
March 9, 2002

Dear Susan:

This is in response to your letter dated February 26, 2002, transmitting a letter dated February 15, 2002, to Chairman Wood from Senators Lieberman and Thompson regarding communications and contacts between Enron and the Commission.

I retired from the Commission on January 31, 1994, therefore, my response is limited to the period January 1, 1992, to January 31, 1994. I am not aware of any communication between Enron and the Commission -- as defined in the Senators' letter -- during that period.

I trust that this letter responds fully to the Senators' request for information. If I can be of any further help, please let me know.

Warm personal regards,

Jerry R. Milbourn

GENERAL & ADMIN. LAW
INCOMING
2001 MAR 20 P 3:27

**Susan Court**

**From:** elizabeth.moler@exeloncorp.com
**Sent:** Monday, April 15, 2002 3:01 PM
**To:** Susan Court
**Subject:** Enron Contacts

Susan:

I am writing to confirm our earlier conversation with respect to any contacts I had with Enron while I served as a Member and/or Chair of the FERC.

I do not have official calendars, or other records, that would allow me to reconstruct the meetings I had with Enron officials while at the FERC. I served at FERC from October 5, 1988 until June 16, 1997. I left nearly five years ago. It is simply unrealistic to expect me to be able to recall any/all of the meetings I had during that period of time. I acknowledge that I met with Ken Lay, Rich Kinder, Jeff Skilling, and Steve Kean of Enron, and Dan Watkiss, their outside counsel, while I served at the Commission. However, I am simply unable to reconstruct all of the contacts.

Betsy

Elizabeth A. Moler
Senior Vice President - Government Affairs and Policy
Exelon Corporation
701 Pennsylvania Ave., NW
Washington, DC 20004
(202) 347-7500
elizabeth.moler@exeloncorp.com

**********
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**********

GENERAL & ADMIN. LAW
INCOMING

Response to Question Number 3 (a) – Meetings
( whether in-person or through telephone or video conferencing)

2001 MAR 20 P 3:28

Name: Joshua Z. Rokach *

FERC Title at Time of Meeting: Legal Adviser (Electric) to Commissioner Hebert

| Date of Meeting | Who initiated meeting | Who was present at meeting | Subject Matter of Meeting | Any action taken by Commission in response to meeting |
|---|---|---|---|---|
| 1998 | Enron | Comm Hebert & I Steve Kean of Enron | Regional Trans mission proposals RTOs | Issued order |
| 1999 | Enron | same | Whether to have for-profit RTOs | No 2000 NOPR |
| 1999 | Enron | Jeff Skilling Steve Kean Comm. Hebert & I | whether to mandate rules for RTOs or make them voluntary | voluntary, without mandated market or other rules |
| | | | | |
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GENERAL & ADMIN. LAW
INCOMING
2001 MAR 20 P 3:28

* I left FERC in Dec. 2001. I do not have access to my records. I answered from memory. I may have met Enron on other occasions. The subject of the meetings would have matched those I recorded here. I did not meet with Enron when Chairman Hebert held that office.

WILLIAM S. SCHERMAN
1440 NEW YORK AVENUE, N.W.
WASHINGTON, D.C. 20005

(202) 371-7060

April 2, 2002

Ms. Susan J. Court
Lead Counsel for General
And Administrative Law
Federal Energy Regulatory Commission
Room 5K-13
888 First Street, N.E.
Washington, D.C. 20426

Dear Ms. Court:

This letter is in response to the March 12, 2002 e-mail from Ms. Vera Vaughns of your Staff.[1] My response to Question 3 contained in the February 19, 2002 letter from Senators Lieberman and Thompson is set forth below:

I served at the Federal Energy Regulatory Commission ("FERC" or "Commission") from March 1987 to April 1993. During that time, I served as Senior Legal and Policy Advisor to the Chairman, Chief of Staff of the FERC, and the General Counsel of the FERC, respectively.

At no time during my tenure at the Commission did I keep or maintain any official calendar of my meetings or telephone records. Since I did not maintain any official calendar or telephone records and in light of the significant passage of time since my Federal service, I have no current recollection of any specific meetings, telephone calls or "other communications" with officials from Enron Corporation.

---

[1] The FERC's records did not contain a current home address. Therefore, I did not receive a letter requesting my response to the letter from Senators Lieberman and Thompson. I received a phone call and email from Ms. Vaughns on March 12, 2002 asking me to respond.

However, I believe it is highly likely that I was in meetings with or had received telephone calls from employees of Enron Corporation on a sporadic basis during my over six years at FERC.

I would appreciate it if you would forward my response to Senators Lieberman and Thompson.

Sincerely,

William S. Scherman

GENERAL & ADMIN. LAW
INCOMING

Response to Question Number 3 (a) – Meetings
( whether in-person or through telephone or video-conferencing)

2001 MAR 20 P 3:28

Name: Howard H. Shafferman

FERC Title at Time of Meeting: Chief of Staff and Counselor

| Date of Meeting | Who initiated meeting | Who was present at meeting | Subject Matter of Meeting | Any action taken by Commission in response to meeting |
|---|---|---|---|---|
| | see | below | | |
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GENERAL & ADMIN. LAW
INCOMING
2001 MAR 20 P 3:28

I left the Commission in January 1993. While it is likely that I would have met with Enron, I do not recall any information of the type requested in this question.

Response to Question Number 3 (b) – Other Communications
( whether in-person or through telephone or video-conferencing)

Name: Howard H. Shafferman

FERC Title at Time of Communication: Chief of Staff and Counselor

| Date of the communication | Sender(s) of the communication | Recipient(s) of the communication | Subject matter of the communication | Any action taken by the Commission in response to the communication |
|---|---|---|---|---|
| | See | below | | |
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I left the Commission in January 1993. While it is likely I would have communicated with Enron, I do not recall any information of the type requested in this question.

Response to Question Number 3 (a) – Meetings
( whether in-person or through telephone or video-conferencing)

Name: Brian Sterkey

FERC Title at Time of Meeting: Software Engineering Group Mgr. (Computer Spec.)

| Date of Meeting | Who initiated meeting | Who was present at meeting | Subject Matter of Meeting | Any action taken by Commission in response to meeting |
|---|---|---|---|---|
| ? (An open meeting) | Bucks Center ~~re-introduced~~ (the CIO office) | ~~Bucks Center~~ 300+ attendees | Introduce FERC's electric filing initiative | responded to questions via web site & email |
| | team conference | This was a large open meeting where industry & public could voice concerns | | |
| | | of questions about the electronic filing initiative. Im not sure if | | |
| | | an Enron representative was present or not. | | |
| | | | | |
| | | | | |

I did not meet w/ any industry rep to discuss any subject not related to FERC's info. systems & info. access. Also, I was not involved in policy, monitoring, etc.

Response to Question Number 3 (b) – Other Communications
( whether in-person or through telephone or video-conferencing)

Name: Brian Starkey

FERC Title at Time of Communication: Software Engr Group Mgr (Computer Specs)

| Date of the communication | Sender(s) of the communication | Recipient(s) of the communication | Subject matter of the communication | Any action taken by the Commission in response to the communication |
|---|---|---|---|---|
| N/A | | | | |
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**Susan Court**

**From:** Terzic, Branko (US - McLean)
**Sent:** Friday, March 22, 2002 5:14 PM
**To:** Susan Court
**Subject:** Question Number 3

To: Susan Court
Lead Counsel for General and Administrative Law
Federal Energy Regulatory Commission
Office of General Counsel

Dear Ms. Court,

This is in response to the Question Number 3 of the Questionaire of February 15, 2002 Senators Lieberman and Thompson letter regarding Enron Corporation.

I served on the FERC for part of the period in question which was January 2, 1992 until May 24, 1993. During that period I do not remember any specific meetings with representatives of Enron nor do I remember any communications with Enron outside of normal administrative documents provided to the FERC.

Yours truly,
Branko Terzic

This message (including any attachments) contains confidential information intended for a specific individual and purpose, and is protected by law. If you are not the intended recipient, you should delete this message. Any disclosure, copying, or distribution of this message, or the taking of any action based on it, is strictly prohibited.

CHARLES A. TRABANDT
3667 NORTH HARRISON STREET
ARLINGTON, VIRGINIA 22207

March 8, 2002

Ms. Susan J. Court
Lead Counsel for General and Administrative Law
Office of the General Counsel
Federal Energy Regulatory Commission
888 First Street, N.E.
Washington, D.C. 20426

2001 MAR 20 P 3:2
GENERAL & ADMIN. LAW
INCOMING

Dear Ms. Court,

Thank you for your memorandum of February 26, 2002 to Former Federal Energy Regulatory Commission Employees.

I served as a Commissioner from November 4, 1985 to March 31, 1993, on which date I resigned and retired from the Civil Service. I do not have in my possession, nor do I have access to, any logs or records of meetings or telephone calls during my service. And, I have no recollection of any particular meetings or phone calls with Enron employees during the period January 2, 1992 to March 31, 1993. Consequently, I am not able to provide any information in response to your memorandum.

Sincerely,

Charles A. Trabandt

March 10, 2002

Ms. Susan Court
Lead Counsel for General and
Administrative Law
Office of the General Counsel
888 First Street, NE.
Washington, DC 20426

Dear Ms. Court:

Effective September 2000, I was no longer employed by the Federal Energy Regulatory Commission. Between January 1992 to September 2000, any communication I may have had with Enron employees would have been related to scheduling a courtesy visit or extending a speaking invitation to a Member of the Commission. I cannot recall from memory the specifics of any scheduled appointments.

During the above-mentioned time periods, the position titles that I held were secretary, staff assistant, and executive assistant.

Sincerely,

Dorothy J. Zimmerman

Dorothy J. Zimmerman

FEDERAL ENERGY REGULATORY COMMISSION
WASHINGTON, DC 20426

Committee on Governmental Affairs
EXHIBIT #B-5

OFFICE OF THE CHAIRMAN

May 8, 2002

The Honorable Joseph I. Lieberman
Chairman
Committee on Governmental Affairs
United States Senate
Washington, D. C. 20510

Dear Mr. Chairman:

This letter and the enclosed material comprise the final response to Question No. 3 in your February 15, 2002 request for Enron-related information pertaining to FERC from January 1, 1992 until December 2, 2001. We sent the initial answer to Question No. 3, and the other six questions, on March 4, 2002, and supplemented that answer on March 21, and April 24, 2002. As indicated in those answers, we were continuing to seek responses to Question No. 3 from former FERC employees (at the division director level and above). The enclosure here conveys the responses from former FERC employees.

If I can be of any further assistance in this or any other Commission matter, please feel free to contact me.

Best regards,

Pat Wood, III
Chairman

Enclosure

**Question No. 3:**

Please list all communications between Enron and the Commission from January 1, 1992 and December 2, 2001, excluding publicly available, periodic filings required by law or regulation.

a) For any meetings, whether in-person or through telephone or video-conferencing, please identify:
   i) who initiated the meeting
   ii) all those who were present at the meeting
   iii) the subject matter(s) of the meeting
   iv) the date of the meeting
   v) any action taken by the Commission in response to the meeting

b) For all other communications, please identify:
   i) the sender(s) of the communication
   ii) the recipient(s) of the communication
   iii) the date of the communication
   iv) the subject matter of the communication
   v) any action taken by the Commission in response to the communication.

**Third Supplemental Answer:**

As we indicated in our Supplemental Answer of March 21, 2002 we had received responses from 64 of our former employees to Question No. 3. In our April 24, 2002 Second Supplemental Answer, we indicated we had received 31 more responses. In both answers we described or attached those responses. To date, we have heard from the final six former employees with whom we have made voice or e-mail contact. The responses of those six are listed below. Also, a former employee whose response was previously reported supplemented that response.

The following former employees of the Federal Energy Regulatory Commission reported in response to Question No. 3 of the February 15, 2002 Letter from Senators Lieberman and Thompson of the Senate Committee on Governmental Affairs that they had no meetings or other communications with Enron employees from January 1, 1992 to December 2, 2001 or do not recall any meetings or other communications with Enron employees during that time:

1. Charles E. Bullock
2. Clifford Emmerling
3. Russell E. Faudree

4. Ernst Liebmann
5. Regina Speed-Bost

The following former employee of the Federal Energy Regulatory Commission responded to Question No. 3 of the February 15, 2002 Letter from Senators Lieberman and Thompson of the Senate Committee on Governmental Affairs. A copy of their correspondence is attached.

1. Clifford Sikora

The following former employee of the Federal Energy Regulatory Commission supplemented his prior response to Question No. 3. A copy of his correspondence is attached.

1. Robert C. Fallon

TROUTMAN SANDERS LLP
ATTORNEYS AT LAW
A LIMITED LIABILITY PARTNERSHIP

March 14, 2002
Page 1

TROUTMAN SANDERS LLP
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March 15, 2002

**VIA ELECTRONIC MAIL**
Susan J. Court, Esq.
Lead Counsel for General and Administrative Law
Room 5K-13
888 First Street, N.E.
Washington, D.C. 20426

RE: Answer to Question 3 of Letter Sent to The Honorable Patrick H. Wood III by Senators Lieberman and Thompson

Dear Ms. Court:

This letter responds to your correspondence of March 12, 2002, sent to me via e-mail. You have asked that I respond to Question 3 of the February 15, 2002 letter from Senators Lieberman and Thompson to Chairman Wood. Question 3 asks that the Commission provide the Senators with "all communications between Enron and the Commission from January 1, 1992 and December 2, 2001 . . .," specifically, details regarding meetings and communications.

During 1993 and 1994 I was Legal Advisor to Commissioner Donald F. Santa, Jr. I vaguely remember participating in one meeting with an Enron employee during this time. The meeting was a courtesy visit. The Enron employee was named Mr. Steve Kean. I believe he was accompanied by another Enron employee, but I do not remember the name or identity of this other person. I have no clear recollection of the subject of the meeting in which Mr. Kean was involved. However, I believe that the goal of the meeting was to inform me of the nature of Enron's business in the electricity market. I also believe Mr. Kean mentioned that Enron was a strong supporter of retail electric competition. I also remember participating in a Harvard Electricity Policy Group meeting in New Orleans in which Mr. Jeffrey Skilling also participated. I have no other recollection of any other meetings or communications with Enron.

Please let me know if you have any other questions or if I can be of additional help.

Sincerely,

Clifford S. Sikora

April 29, 2002

Ms. Susan Court, Lead Counsel
General and Administrative Law
Office of the General Counsel
Federal Energy Regulatory Commission
888 First Street, N.E.
Washington, DC 20426

Re: Senator Lieberman/Senator Thompson Letter

Dear Ms. Court:

In response to your letter of April 4, 2002, I can recall no discussions with other federal agencies concerning Enron.

I do want to supplement my response of March 18, 2002. I recently recalled an additional contact with Enron during the applicable period. The meeting was with Bill Allison, President of Florida Gas Transmission, Stanley Horton, the Enron executive in charge of the Enron pipelines, myself and the environmental staff of the Federal Energy Regulatory Commission. We discussed the company's compliance, or in this case the lack thereof, with the certificate issued to Florida Gas Transmission to expand its facilities in Florida. The meeting occurred at 825 North Capital. The result of the meeting was the issuance of an order stopping work on the project pending adequate assurance from Florida Gas Transmission that it could adequately comply with the terms and conditions of its certificate.

Please let me know if I can provide any further assistance.

Sincerely,

Robert C. Fallon

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Committee on Governmental Affairs
**EXHIBIT #C**

## MINORITY VIEWS
## FERC and its Oversight of Enron Corp.

### I. INTRODUCTION

In January 2002, the Senate Committee on Governmental Affairs began reviewing the collapse of Enron Corp., then this country's seventh largest corporation. The Committee's goal was to investigate the regulatory entities – both public and private – charged with overseeing and monitoring the business activities of companies such as Enron. This Committee, along with its Permanent Subcommittee on Investigations ("PSI"), spent months reviewing hundreds of thousands of documents, interviewing or deposing hundreds of witnesses, and holding a series of hearings. The overall objective has been to identify what went wrong, to inform the public, to initiate changes to laws and regulations as necessary to attempt to prevent a recurrence, and to ensure that lawbreakers are brought to justice.

The Committee and PSI have investigated: (1) the Enron Board of Directors that, among its many missteps, waived the Company's conflict of interest rules which opened the door to a series of highly questionable transactions; (2) the company's auditors who "pushed the envelope" beyond the boundaries of "generally accepted accounting principles;" (3) the securities analysts and credit rating agencies who were unwilling or unable to tell the world that "the emperor has no clothes", some of which was caused by the fact that these entities truly did not understand Enron's financial reports; (4) the financial institutions that readily jumped on the Enron bandwagon and proposed and structured transactions that they knew allowed Enron to paint a false and misleading financial picture for the public and, in exchange for millions of dollars in fees, ignored the holes in its flatbed and the nails in its tires as it hurtled downhill, and (5) the Securities and Exchange Commission ("SEC") that obviously abandoned its efforts to promote "plain language" reporting when it accepted Enron's infamous "footnote 16" as an adequate explanation of its conflict-of-interest transactions.

Each of these "watchdog" actors asked some of the right questions, but each ultimately abandoned its responsibility to insist on *getting appropriate answers* in the face of Enron's cast of persuasive and ethically-challenged executives.

Finally, the Committee undertook an extensive investigation of the Federal Energy Regulatory Commission ("FERC"), which is charged with regulating the wholesale energy markets. As the Majority Staff Memorandum, dated November 12, 2002 (the "Majority Report") points out, the Committee staff spent months investigating and preparing for the hearing held on November 12, 2002. The Minority staff would, at this point, like to express its appreciation for the timely and cooperative responses from everyone at FERC, in the face of voluminous and repeated requests for information and documentation.

Unlike the other entities investigated by the Committee, FERC has no responsibility for reviewing the overall fiscal activity of companies such as Enron.[1] FERC's primary responsibility is to ensure that the rates charged by wholesale energy sellers such as Enron are just, reasonable, and not discriminatory. Thus, even had FERC, as suggested by the Majority Report, questioned Enron's sale of the "Qualifying Facilities" or monitored Enron Online more closely, there is no evidence that Enron's collapse could or would have been avoided or even brought to light sooner.

This does not mean that FERC deserves a clean bill of health. As the Majority Report so clearly portrays, the Commissioners who held office in 2000 and early 2001, during the critical energy crisis in California "displayed a striking lack of thoroughness and determination . . . a shocking absence of regulatory vigilance."[2] On this point there is no disagreement.

The Majority is right in its general assertion that FERC allowed energy market problems to arise and fester by its lack of action and oversight. There is almost universal agreement that FERC had significant problems in

[1] "The FERC didn't have much jurisdiction over Enron," according to Sen. Jeff Bingaman (D-N.M.), chairman of the Energy and Natural Resources Committee. This comment came during the hearing in which the Committee approved the nominations of Commissioners Wood and Brownell (both of whom Chairman Bingaman supported: "I supported both of them strongly and I think they've been doing a good job." Quoted in The Hill, Feb. 6, 2002).
[2] Majority Report, page 2.

overseeing the rapidly developing energy markets of the 1990's. That record is abundantly clear. That record of failure ended, however, approximately 18 months ago, when the current Commission took shape. As the committee's expert witness, Paul L. Joskow testified,

> "I believe that FERC has made a lot of progress in the last 18 months under Chairman Patrick Wood's leadership and has responded positively to the criticisms that I made in mid-2001."[3]

Unfortunately, while the Majority Report correctly identifies FERC's regulatory failures as they relate to Enron, in the judgment of the minority, it fails to give appropriate recognition to everything that has occurred since Mr. Wood became Chairman and purports to "paint" both Mr. Wood and his fellow Commissioner, Nora Brownell with the "tainted Enron brush." This Minority Report is intended to put FERC's faults into proper historical perspective, to provide "the rest of the story," and to put aside unfair inferences that otherwise could be drawn about the current administration, Commissioner Wood or Commissioner Brownell. In coming to FERC, Chairman Wood inherited a sizable set of challenges and has implemented a formidable plan. Fairness dictates the need to report on FERC, as it exists today, to provide appropriate credit where due, and to recognize the positive developments on several fronts involving a new department of market oversight and investigation and a number of rule-making proposals designed to prevent a recurrence of the problems highlighted by the Enron debacle.

## I. FERC OVERSIGHT OF ENRON: *A Perspective on Failure*

### *A. Windfarm Transactions:*

In 1997, Enron became the owner of a number of a number of windfarm projects, all of which were certified "qualifying facilities" (QFs). This means that they were eligible for preferential rate treatment, among other benefits. Seven months later, Enron acquired Portland General Electric (PGE), an Oregon public utility. This created a problem: QF's cannot be more than 50 percent owned by a public utility or its holding company. Therefore, in order for the wind farms to retain their QF status and associated benefits, Enron, either had to (1) divest itself of at least 51 percent of its ownership of the QF's, or (2) obtain an appropriate exemption under the Public Utility Holding Company Act ("PUHCA").[4] Enron, in its characteristically aggressive approach, attempted to do both, and with questionable validity

Enron's first approach was to "divest" itself of the windfarms. As the Majority Report points out, Enron transferred majority ownership in at least three of these windfarms to an entity (RADR) created by Enron Chief Financial Officer, Andrew Fastow, and his associate, Michael Kopper. It is now known that the complex documents Enron sent to FERC effectively hid the fact that Enron retained beneficial interests in the windfarms. The SEC and the Department of Justice ("DOJ") recently filed civil and criminal complaints, respectively, against the two Enron executives (Fastow and Kopper) alleging, in part, that they devised a scheme to allow Enron to maintain secret control over the QF windfarms while preserving QF benefits for the windfarms.

For some reason, during 2000-2001, Enron decided to "repurchase" the windfarms including the three RADR projects. Since Enron still owned Portland General, the repurchase put the QF status of the windfarms back in jeopardy. Desiring to continue to receive favorable QF treatment for these windfarms, Enron applied to the SEC for a *second seemingly redundant* PUHCA exemption.[5] Under FERC rules, merely *applying* for this exemption in good faith meant that Enron was no longer an electric utility holding company and could own these QFs without causing them to lose QF status and the related benefits. Therefore, Enron was able immediately to file notices of self-certification of QF status for the windfarms.

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[3] Joskow Testimony before the Governmental Affairs Committee, Nov. 12, 2002, page 8.

[4] Enron already was exempt from the requirements of PUHCA under an SEC interpretation of what constituted "interstate" activity. However, FERC regulations issued pursuant to the Public Utility Regulatory Policy Act ("PURPA") recognize only certain of the PUHCA exemption categories for QF ownership. Only those utility holding companies exempt from PUHCA under section 3(a)3 or 3(a)5 may own QFs. Enron filed for a second "exemption" under these sections.

[5] The saga of Enron's PUHCA exemption application is detailed in a prior report of the Committee. See "Financial Oversight of Enron: The SEC and Private Sector Watchdogs, Report Prepared by the Staff of the Committee on Governmental Affairs," S. Prt.107-75 (October 7, 2002).

There is nothing inherently wrong with any business applying to take advantage of an exemption to some rule or regulation. However, the Committee, through its previous Report, did raise a valid concern over the manner in which FERC and the SEC interacted – or failed to interact -- with respect to a public utility *obtaining* such an exemption. FERC apparently accepted that any application submitted for such an exemption is made was in "good faith." The SEC, however, also did not question the "good faith" of a filing; it simply processes the application in the normal course of events. The SEC had no sense of urgency with respect to Enron's application. In this instance, Enron applied for this particular exemption two and half years ago, and the SEC did not act on the application until October 7, 2002 (coincidentally the same day that the Committee issued its report that criticized the handling of Enron's PUHCA exception application), when it scheduled a hearing on that application.

This issue points out a serious regulatory gap that is caused, in large part, by the overlapping and conflicting responsibilities created under PUHCA. Both the SEC and FERC have been urging Congress to repeal PUHCA and Congress is giving the matter serious attention.

With respect to the fraudulent filings, Chairman Wood testified that the FERC self-certification system has a built-in system of checks and balances. Since the burden of paying for the benefits granted to QF's is borne by the public utilities, they have a natural financial interest in monitoring the validity of QF certification. Therefore, affected utilities are notified of all pending QF certifications. Chairman Wood testified that FERC has handled approximately 9,000 applications for QF status and that public utilities or other similar parties of interest have challenged at least twenty of those filings. Indeed, Southern California Edison Company currently is challenging Enron's self-certification of the windfarms by opposing Enron's application to the SEC for exemption under 3(a)3 and 5 of PUHCA.

The Majority Report, not unreasonably, wants FERC to have a process in place whereby fraudulent filings such as those submitted by Enron would be discovered before approval by FERC. For FERC to be able to examine each of the filings to the extent that would have been necessary to uncover Enron's fraudulent documentation may not be cost-effective or indeed, even possible, given FERC's mandate and resources. The better way for FERC to prevent such actions in the future is to have a much stronger system in place to monitor market activities and much stronger civil and criminal penalties in place as a deterrent. Under Chairman Wood's leadership, FERC has begun implementing these changes, which are discussed more fully in Section III of this Minority Report.

### *B. Affiliated Transactions*

The Majority Report's concern about affiliated transactions involves two loans totaling approximately $1 billion issued by JP Morgan Chase and Citigroup to Enron's subsidiaries, Northern Natural Gas Company and Transwestern Pipeline. Enron announced the commitment for the loans on November 1, 2001. The loans were secured by the assets of the two companies—primarily, their natural gas pipelines.

The problem arose when the proceeds of the loans, through Enron's overall cash management program, were "swept" to the accounts of their parent company, Enron. These proceeds became unsecured "loans" from the subsidiaries to Enron, the parent. Enron's interstate pipeline companies are directly regulated by FERC; Enron is not. When Enron declared bankruptcy a few weeks later, it made no payments of these monies to its subsidiaries, and the pipeline companies have been left to pay off the entire amount of the obligations to the banks – potentially at the expense of their customers.[6]

FERC has rules in place prohibiting this kind of affiliated financial activity, and within a short time, FERC reacted. On March 1, 2002, the FERC instituted a formal non-public investigation into these transactions. In August 2002, pursuant to that investigation, FERC directed Northern Natural and Transwestern to demonstrate why the costs and indebtedness associated with these loans were not "imprudently incurred" and therefore unrecoverable from ratepayers. In response, Northern Natural executed a consent agreement whereby it would not include the costs associated with the loan in any future rate proceedings before FERC. Currently, FERC is engaged in similar settlement discussions with Transwestern.

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[6] It should not be lost that at least one of the financial institutions involved, through these pipeline loans, essentially "converted" unsecured debt owed by the parent, Enron, into secured debt owed by the pipeline subsidiary. This occurred when the pipeline loan proceeds were swept to Enron and used by Enron to pay down existing unsecured indebtedness at that institution.

In addition to a timely prosecution of this violation, the investigation of Enron's subsidiary transactions has led the Commission to propose amending its Uniform Systems of Account to supplement the rules with respect to administering and reporting cash management agreements. On August 1, 2002, the Commission issued a Notice of Proposed Rulemaking (NOPR) on Regulation of Cash Management Practices. The proposed rules include specific documentation requirements and conditions precedent for participation in cash management arrangements between regulated and non-regulated affiliated companies. These rule changes are designed to make such arrangements more transparent and to prevent the abuse of cash management or money pool arrangements that could affect the financial health of regulated entities. FERC recently held a technical conference on the proposed rules and comments are under consideration.

### *C. Enron Online.*

Enron's Internet trading system, Enron Online (EOL), was generally acknowledged to be the dominant Internet –based platform for trading both physical energy (electricity and natural gas products) and energy derivatives during its short-lived existence (1999-2001).[7] The structure of this new energy-trading platform was unique at the time it was created. Unlike any other trading system in existence, one company (Enron) was a party to every trade. Moreover, EOL was not subject to the extensive regulation of a commodity exchange by the Commodity Futures Trading Commission ("CFTC") because these were bi-lateral transactions not made through an exchange and all of the transactions took place between sophisticated parties.[8]

Nonetheless, all commodity futures transactions are subject to regulation for 'fraud and abuse.' And since FERC has the authority and obligation to regulate where such trades are creating market power and result in prices that are not just or reasonable, FERC had a responsibility to understand quickly how this new market functioned and to ensure that EOL was not exercising market power or in some way adversely affecting the price of energy.

As the Majority Report notes, however, from 1999 well into 2001, FERC did not have a system in place that could react to emerging issues such as those presented by EOL trading. It was not until May 2001, that FERC staff initiated an informal review into EOL and electronic trading in natural gas and electric energy markets. The staff report was completed in August 2001 but never formally presented to the Commissioners. This initial report recommended that FERC continue to monitor EOL and electronic trading of natural gas and electric power, but determined that there was no reason for concern about EOL at that time. At approximately the same time, the FERC staff informally began to analyze whether FERC could assert jurisdiction over the derivative trades and the trading platform itself.

As we have ultimately learned, the biggest problem created by EOL was that it did such voluminous business, it became a source of price discovery for natural gas products – despite the system's lack of transparency. In January 2002, in response to allegations that certain practices engaged in by EOL (such as wash trades) may have distorted electric and natural gas markets in the West, FERC initiated a fact-finding investigation into EOL and other trading practices engaged in by Enron and FERC staff has recommended that the FERC not approve a price index based on EOL transactions.

Recently, FERC has implemented several organizational changes that will positively affect trading oversight. The primary change is FERC's creation of a new Office of Market Oversight and Investigations (OMOI). This new office is discussed more fully in Section III of the Minority Report.

### *D. California Energy Crisis.*

The California energy crisis receives more coverage, by far, than any of the other three issues covered by the Majority Report, and it remains the most significant example of FERC's ineffectiveness during a critical period of time. Part of the problem is jurisdictional. The extent of FERC's jurisdiction and the extent to which FERC elects to exercise its jurisdiction continue to be a source of controversy, particularly as the deregulation of the

[7] Enron OnLine was taken over by UBS Warburg Energy following Enron's December 2001 bankruptcy filing, and currently the trading platform operates under the name of UBSWenergy.com

[8] Minority staff met with representatives of the CFTC on several occasions; this is very much an oversimplification of the complex rules that govern CFTC's jurisdiction.

electricity market evolves. Jurisdiction over energy regulation is split between FERC (wholesale) and the states (retail), thus making cooperation between the regulators essential. In California, any cooperation that may have existed evaporated at the first sign of trouble.

Disagreements over the design of California's deregulation model arose early, as FERC quickly urged the State to reinstate the use of long-term contracts, while the State immediately asked FERC to implement price controls.[9] FERC did take steps to control the control the California energy crisis as early as December 2000, when it issued an extensive order for changes to the market. However, most would agree that this order did not go far enough or quickly enough, and it wasn't until April 2001 that FERC provided the help California needed. Indeed, many of the problems that California experienced in early 2001 emanated from FERC's December 2000 order.

While there is a great deal that can be – and has been – said about the California energy crisis, the best thing that can be said is that the crisis is over and has not reoccurred. One of the expert witnesses, Paul Joskow, that the Majority requested testify at the Committee's November 12, 2002 hearing, said it best:

> "A lot has happened in 18 short months. The extraordinarily high wholesale electricity market prices and power supply emergencies that plagued California and the rest of the West during the second half of 2000 and the first several months of 2001 subsided by the summer of 2001, and these extraordinary conditions have not reappeared since then."

Since President Bush's appointment of Patrick Wood and Nora Brownell in May and June 2001, and Mr. Wood's ascension to the chairmanship of FERC in August 2001, a number of important steps have been taken. The new FERC Commissioners have taken action: (1) to understand and implement some new rules and a basic structure for the new deregulated market-based system so that a California-type crisis will not reoccur, and (2) to investigate the charges of market manipulation in order to ensure that appropriate refunds will be made to anyone who has been subject to unjust or unreasonable rates for wholesale energy. These efforts are detailed more fully in Section III, below.

## II. FERC ACTS TO IMPROVE

### *A. New Rules for Regulating a Deregulated, Market-Based System of Electric Energy Production and Delivery: Standard Market Design*

On July 31, 2002, FERC published a Notice of Proposed Rulemaking (NOPR) on Standard Market Design (SMD). The NOPR seeks comment on a series of rules on market design, including a comprehensive plan for mitigating market power and market manipulation. The proposed rules are intended to provide certainty to all market participants, encourage new infrastructure investment, promote fair competition and prevent a repeat of the mistakes made previously in California.

This is a sweeping rulemaking that attempts to deal with many of the problems with wholesale markets that have been identified, including efforts to respond to many of the "lessons learned" from the California crisis and emerging energy market issues. Energy expert, Paul Joskow, said this in his testimony:

> "I recognize that many of the proposals in the SMD are controversial. And while I agree with many of them, I also believe that there are several aspects of the SMD NOPR that need significant improvement and revision. Nevertheless, this is a serious, even courageous effort by FERC to facilitate wholesale market competition and improve market performance. Market monitoring and mitigation proposals are fully integrated into the SMD and the potential for exercising market power and the need to mitigate it has influenced important aspects of the proposals." (emphasis added).

For whatever reason, the Majority Report did not discuss this important rulemaking.

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[9] The extent of the discord between FERC and California may be best typified by the State's continued refusal to abide by FERC's Dec. 15, 2000 order requiring the California ISO to have an independent governing board. Indeed, on August 19, 2002, FERC actually filed suit against the CAISO seeking a declaratory judgment and injunctive relief to *force* the ISO to appoint a new and independent Board of Directors

FERC has extended the time period for filing comments on the SMD NOPR and has initiated various outreach efforts to better explain certain aspects of the SMD proposals and to receive advice from interested parties about problems with the SMD and potential improvements to its proposals.

***B. Investigating Market Manipulation.***

In January 2002, in response to allegations that Enron may have used its market position to distort electric and natural gas markets in the West, FERC initiated a fact-finding investigation into whether any entity, including any affiliate or subsidiary of Enron Corp., had manipulated electric energy or natural gas prices in the West since January 1, 2000. The investigation was formally announced on February 13, 2002. In conducting this investigation, FERC has coordinated closely with DOJ, SEC, the CFTC, and the Department of Labor.

On August 13, 2002, FERC released an initial report of its investigation. The report concludes that published indices of electricity and natural gas prices in or near California during the recent crisis may not be sufficiently reliable to be used in setting refunds for wholesale power buyers in California. Based on this staff finding, FERC requested comments on whether it should change the method for determining the cost of natural gas in calculating the refunds for power sales in California from October 2000 to June 2001, and if so, what method should be used. FERC recently received comments on this issue and the comments are currently under consideration.

Also based on the staff report, FERC initiated formal enforcement proceedings under section 206 of the FPA regarding possible misconduct by three corporate affiliates of Enron (Enron Power Marketing, Inc., Enron Capital and Trade Resources Corporation, and Portland General), and two investor-owned utilities that did business with Enron (Avista Corporation and El Paso Electric Company). If these investigations result in findings that FERC orders or regulations were violated, possible sanctions include loss of market-based rate sales authority.

FERC's investigations continue.[10] FERC, with the assistance of outside consultants, is conducting a comprehensive investigation of a variety of factors and behaviors that may have influenced electric and natural gas prices in the West during 2000-2001. FERC's final report proposes to be comprehensive, and will include an explanation of EOL operations and the role EOL played in the energy markets and an analysis of the so-called wash trades in electricity and natural gas markets in the West and the concern that these trades drove pries higher. The targeted date for completion of the investigation is January/February 2003. As soon as the investigation is complete, FERC intends to provide a thorough and timely report to Congress.

***C. Ongoing Market Monitoring and Investigation: Creation of FERC's Office of Market Oversight and Investigation.***

Perhaps the single most significant effort being undertaken at FERC is the creation and staffing of a new Office of Market Oversight and Investigation. Created in response to an obvious and glaring need, the OMOI is an idea that experts such as Paul Joskow have been promoting for many years. The director of OMOI was appointed in April, and many of the new positions have already been filled – at least 90 of the new hires coming from outside the government, and offering the agency access to new and different areas of expertise.

OMOI is providing FERC with the needed sophistication to monitor the new market-based energy industry and to implement immediate and effective investigation and enforcement efforts. The office encompasses both of these functions that must work closely together. The Market Oversight and Assessment unit reviews developments in the market on a real-time and longer-term basis, and spots irregularities. As problems arise and are identified, OMOI's Investigations and Enforcement unit brings swift, decisive and effective enforcement. OMOI serves as an

[10] Indeed, two days after the hearing, as part of this investigation, FERC submitted staff's "Statement of Asserted Violations" to the Administrative Law Judge. This filing charged that Enron Power and Marketing in concert with its public utility affiliate (Portland General Electric) (i) "misrepresented the nature and amount of power Enron intended to sell into the California market, as well as the load it intended to serve," (ii) "developed a scheme under which it created false congestion and received payment for relieving the same false congestion," and (iii) "set up sleeves using Washington Water Power in order to shield its false congestion scheme from scrutiny," all in violation of the market rules of the California ISO and of sections 205 and 206 of the Federal Power Act.

early warning system to alert FERC when market problems develop, such as the California energy crisis or the collapse of Enron, and allows FERC to intervene and correct the problems more quickly.

Finally, OMOI has begun an aggressive program of outreach to a wide variety of entities including: other federal, state and provincial regulatory agencies, state consumer advocates, industry participants, academic institutions and think tanks, financial institutions (such as ratings agencies), and Market Monitoring Units (MMUs) at Regional Transmission Organizations and Independent System Operators. The purpose of the outreach is to let these entities know that FERC is developing a clear market oversight capability and to obtain their input for how best to develop that capability. Market monitors presented their evaluations of the ISO regional electricity markets at a FERC Open Meeting in June 2002, and participated in a FERC market monitoring technical conference on October 2, 2002.

## III. THE "PROPRIETY" OF CONTACTS BETWEEN ENRON & CERTAIN FEDERAL OFFICIALS

We believe the current record and the Majority's report must be supplemented to provide a more complete picture of the contacts between Enron and FERC commissioners. Contacts between regulators and the companies that they regulate not only are normal – indeed, they are to be expected. Given the sometimes limited resources of government agencies, the input of companies and their representatives can be invaluable. The difficult question is when do those contacts become improper. In today's world, unfortunately, the mere suggestion of a contact sometimes raises, in the public's mind, the specter of impropriety. That is why if these contacts are raised, with the public left to draw whatever inferences are to be drawn, the individual or institution raising the issue is duty bound to do so fairly and even-handedly. This is where the Majority Report unfortunately falls short. Once the issue of political influence is raised, however, we have a duty to present a fair and balanced picture.

The Majority Report, in raising the issue of Enron's use of its political clout, refers to meetings with the current administration as well as Enron's past promotion of the candidacies of Commissioners Wood and Brownell to serve on FERC. References to these matters, however, in no way relate to the substantive matters that the Committee investigated or that are detailed in the Majority Report.

In addition, on May 31, 2001, Senator Lieberman requested the U.S. General Accounting Office (GAO) Office of Special Investigations to review a telephone call between Curt Hébert, a Republican appointee and then-Chairman of FERC, and Kenneth Lay, Chairman of Enron. During this conversation, Mr. Hébert reportedly asked Mr. Lay to endorse his remaining as FERC's Chairman. Senator Lieberman appropriately expressed concern that this communication may have violated federal criminal statutes or ethics regulations.

After GAO completed its investigation, finding no evidence that federal criminal statutes or ethics regulations were violated, Senator Lieberman, along with Senator Dianne Feinstein, wrote incoming FERC Chairman Patrick Wood, referencing the GAO investigation. In this letter, the Senators wrote, "while GAO concluded that it found no evidence that either Mr. Hébert or Mr. Lay violated criminal statutes or ethics regulations the fact remains that GAO confirmed that the Chairman of the Federal Energy Regulatory Commission discussed support for his continued appointment as Chairman with the senior official of a major energy company regulated by that Commission."[11] The letter went on to request that FERC review its ethics and record-keeping regulations to "ensure that communications between the Commissioners and the regulated community are conducted in a manner that leaves no question in the public's mind about the objectivity and independence of the Commission."

The Minority agrees that it is important for government officials to maintain the highest ethical standards in order to maintain the public's trust. We also believe that public officials have an obligation to meet and talk with the public – including members of the public whom they regulate – at an appropriate time and in a proper manner. While it might have been preferable for Commissioner Hebert to refrain from soliciting support from one of the entities regulated by FERC, his integrity[12] and his steadfast adherence to principle are to be congratulated.

***Enron Lobbying of Commissioner Breathitt***

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[11] Letter, September 17, 2001, Senators Lieberman and Dianne Feinstein to The Honorable Patrick Wood III.

[12] GAO found "Mr. Hebert refused to change his position on access [to the electric transmission grid] even though that refusal might have cost him Mr. Lay's support." See GAO Letter to The Honorable Joseph I. Lieberman, dated August 16, 2001, at page 4.

FERC Commissioner Linda Breathitt was appointed to the Commission in 1997 by President Clinton to fill a Democratic slot on the Commission. She is from Kentucky, where she was the Chair of the Kentucky Public Service Commission. Records produced to the Committee by Enron included a set of documents from a Kentucky law firm, of which Ms. Breathitt's father is a partner. One of the firm's other partners was hired by Enron to lobby FERC at least in part because of his close friendship with Commissioner Breathitt. According to the Committee records, it appears that Enron was referred to this firm by Johnny Hayes, a former board member of the Tennessee Valley Authority ("TVA"). Both the lobbyist and Mr. Hayes are close confidants of then Vice President Gore. Mr. Hayes resigned from the Board of Directors of the TVA to be chief of fund-raising for Mr. Gore's 2000 presidential campaign. These records further indicate that Enron paid Mr. Hayes $200,000 and paid the Kentucky law firm another $500,000, a significant part of which was apparently earmarked for Mr. Hayes.[13] Among the documents provided to the Committee were the law firm's billing records for Enron during 2000 – 2001.[14] These billing records revealed a string of more than 40 contacts between Enron representatives and Ms. Breathitt. A chart listing each of these contacts is attached to this Minority Report as Schedule A. The record indicates that during the height of the California energy crisis, Commissioner Breathitt was having as many as five and six contacts a month with Enron representatives.

While each of these payments and contacts may be entirely innocent, the sheer number and frequency of the contacts during a time of enormous significance in the energy industry creates an appearance of impropriety and a need for further inquiry. We cannot say why there is no mention of these matters by the Majority when the evidence is in the Committee's investigation files. We would simply note that the 46 Enron contacts evidenced in the record for Ms. Breathitt are far more frequent than the contacts reported by any other Commissioner for that two-year period. Commissioner Massey, for example, who has been on the Commission since 1993, reported only 11 contacts with Enron representatives during the same two-year period, 2000-2001.[15]

Moreover, there would appear to be an obvious question why Commissioner Breathitt reported only 14 contacts[16] when records clearly indicate that she met or spoke with Enron lobbyists more than three times that often. These are uncomfortable but legitimate questions that deserve answers. As Senator Thompson noted during the November 12, 2002 hearing, "there have been other good people who have suffered from the suggestions or implications that have been made in the public arena, and I think the record ought to be complete." Fairness to all dictates that result.

## V. CONCLUSION

As the Majority Report indicates, prior to 2001, FERC failed to adequately regulate the fledgling deregulated electricity market on a number of occasions, four of which are highlighted in the Report. We believe, however, and the record seems to indicate that since that time, much attributable to Chairman Wood's leadership, FERC is to be commended for undertaking bold initiatives to address past failures, including aggressive investigations, proposed and final rulemakings, and internal reorganizations and personnel staffing efforts. Given all that FERC has done in the last 18 months, the Committee now should let FERC do its job and give it support.

Finally, as we close the book on our Committee's and PSI's Enron investigations, let us put aside our differences. There is much that we can be proud of. Many of the recent reform and law enforcement actions can be traced indirectly if not directly to our investigations and hearings. But let us not forget that in any investigation, we have a duty to be fair and even-handed. The public expects and deserves that from Congress.

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[13] Memo detailing money transaction between the law firm and Enron.
[14] Law firm billing records.
[15] Responses to Committee's March 2002 letter and follow up letter dated October 30, 2002
[16] Id.

Committee on Governmental Affairs
EXHIBIT #D-1

JOSEPH I. LIEBERMAN, CONNECTICUT, CHAIRMAN
CARL LEVIN, MICHIGAN
DANIEL K. AKAKA, HAWAII
RICHARD J. DURBIN, ILLINOIS
ROBERT G. TORRICELLI, NEW JERSEY
MAX CLELAND, GEORGIA
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JEAN CARNAHAN, MISSOURI
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FRED THOMPSON, TENNESSEE
TED STEVENS, ALASKA
SUSAN M. COLLINS, MAINE
GEORGE V. VOINOVICH, OHIO
PETE V. DOMENICI, NEW MEXICO
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JOYCE A. RECHTSCHAFFEN, STAFF DIRECTOR AND COUNSEL
MARIANNA P. HORTARE, MINORITY STAFF DIRECTOR AND COUNSEL

United States Senate
COMMITTEE ON
GOVERNMENTAL AFFAIRS
WASHINGTON, DC 20510-6250

September 17, 2001

01 SEP 19 AM 8:32
GOVERNMENTAL AFFAIRS

The Honorable Pat Wood, III
Chairman
Federal Energy Regulatory Commission
Washington, DC 20426

Dear Chairman Wood:

We are writing to you in your new capacity as Chairman of the Commission. The Commission faces a number of complex challenges, both internally and externally, in the ongoing evolution of the electric and natural gas energy industries toward more market-oriented structures and the Committee has an ongoing interest in monitoring the Commission's operations and actions. In this regard, we have enclosed a copy of a report of an investigation conducted at my by the Office of Special Investigations of the U.S. General Accounting Office (GAO) in response to concerns orginally raised by Senator Feinstein concerning communications that occurred between Mr. Curt Hébert, Jr., the former Chairman, and Mr. Kenneth Lay of Enron Corporation.

While the GAO concluded that it found no evidence that either Mr. Hébert or Mr. Lay violated criminal statutes or ethics regulations, the fact remains that GAO confirmed that the Chairman of a Federal Regulatory Commission discussed support for his continued appointment as Chairman with the senior official of a major energy company regulated by that Commission. We believe that such behavior undermines the public's confidence in federal regulators in general and in the Federal Energy Regulatory Commission in particular. Consequently, we respectfully request that the Commission review its ethics and record-keeping regulations and procedures, including the maintenance of telephone logs and other measures, to ensure that communications between Commissioners and the regulated community are conducted in a manner that leaves no question in the public's mind about the objectivity and independence of the Commission.

We would appreciate it if you would promptly advise us in writing of your recommended procedures in these areas, and whether you recommend any legislation concerning this matter.

Sincerely,

Joseph I. Lieberman

Dianne Feinstein

Enclosure

cc: Honorable Fred Thompson

JIL:db

GAO
Accountability * Integrity * Reliability
United States General Accounting Office
Washington, DC 20548

August 16, 2001

The Honorable Joseph I. Lieberman
Chairman
Committee on Governmental Affairs
United States Senate

Subject: No Evidence That Communications Between the FERC Chairman and the Chairman of Enron Corporation Violated Criminal Statutes or Ethics Regulations

Dear Mr. Chairman:

This letter responds to your May 30, 2001, request that we review the communications between Curt Hébert, Jr., Chairman of the Federal Energy Regulatory Commission (FERC),[1] and Kenneth Lay, Chairman of Enron Corporation, who were the subjects of an article published in *The New York Times* on May 25, 2001. You were concerned that communications between the Chairman of FERC, an independent federal agency, and the Chairman of Enron, an entity regulated by that agency, may violate applicable federal criminal statutes or ethics regulations.

We conducted our work in June 2001. We interviewed witnesses who have first-hand knowledge of the conversation referred to in the *Times* article–Mr. Hébert, his Chief of Staff, FERC's General Counsel, and Mr. Lay.

In summary, based on our review of the information we gathered and consultation with our General Counsel, we found no evidence that either Mr. Hébert or Mr. Lay violated criminal statutes or ethics regulations.

**Communications Between Mr. Hébert and Mr. Lay**

Messrs. Hébert and Lay confirmed that they had a telephone conversation in February 2001, that Mr. Hébert asked Mr. Lay to endorse him continuing as FERC's Chairman,[2] and that Mr. Lay asked Mr. Hébert about his views on what FERC's policy

[1] President George Bush named Mr. Hébert as FERC Chairman on January 22, 2001. The President, with the advice and consent of the Senate, appoints all FERC commissioners to 5-year terms. The President designates one of the commissioners to serve as Chairman; the designated Chairman need not be approved by the Senate. Thus, Mr. Hébert serves as Chairman at the President's sufferance and could be replaced, without Senate approval, if the President desired. On August 7, 2001, it was reported that Mr. Hébert announced his resignation, to be effective at the end of August 2001.
[2] According to Mr. Lay, Enron had written to the White House supporting Mr. Hébert when he was first appointed FERC Chairman.

should be on access to the electricity grid. Further, they both agree that they did not discuss any matters Enron Corporation had before FERC. However, they disagree on how the conversation about FERC's policy on access should be interpreted. Mr. Hébert believes that Mr. Lay was attempting to tie his support for Mr. Hébert continuing as Chairman to a change in Mr. Hébert's position on this policy issue. Mr. Lay said that because Mr. Hébert was pressing him for an endorsement,[3] he took the opportunity to ask him about his position on access, an issue that he and Mr. Hébert did not agree on. However, Mr. Lay said he never told Mr. Hébert that Mr. Hébert's position on this issue was tied to his endorsement, nor did he imply any such connection. Mr. Lay told us that during the conversation, Mr. Hébert said that FERC was addressing some issues and that Mr. Lay would probably be happy with the direction in which FERC was moving. This statement conflicts with Mr. Hébert's recollection. Mr. Hébert told us that he refused to waiver on his policy.

Mr. Hébert's Chief of Staff and General Counsel were present during all or part[4] of the telephone conversation between Messrs. Hébert and Lay but heard only Mr. Hébert's conversation. They based their characterization of the substance of the conversation on what they heard and their subsequent conversation with Mr. Hébert. They both agree that Mr. Hébert asked Mr. Lay to endorse him continuing as Chairman. They further agree that they heard Mr. Hébert justify his position concerning the access issue to Mr. Lay. In addition, they both said that after the telephone conversation, Mr. Hébert said that he would not get Mr. Lay's support unless he changed his position and that he could not compromise his position.

GAO Legal Analysis

Three criminal statutes have some relevance to these circumstances. The first, 18 U.S.C. section 201, a bribery statute, makes it a crime to give, offer, or promise anything of value to a public official with the intent to influence any official act; the statute also makes it a crime for any public official to demand, seek, receive, accept, or agree to receive or accept anything of value in return for being influenced in performing any official act. The second, 18 U.S.C. section 210, makes it a crime to offer or promise money or a thing of value to any person in consideration of the use or promise to use any influence to procure any appointive office in the U.S. government. The third, 18 U.S.C. section 211, makes it a crime to solicit or receive any money or thing of value in consideration of the promise of support or use of influence in obtaining public office.

Additionally, there are ethics regulations to which all executive branch employees must adhere. Executive Order 12674 specifically states that an employee shall not solicit or accept any gift or item of monetary value from a person or entity seeking official action from, or conducting activities regulated by, the employee's agency. The executive order further specifies that employees shall not use public office for

[3] According to Mr. Lay, Mr. Hébert expressed concern about the possibility that Pat Wood would be appointed to replace him as Chairman. Mr. Lay told us that in February, rumors were circulating that President Bush might appoint Mr. Wood to serve as a FERC commissioner and that the President might designate Mr. Wood to replace Mr. Hébert as Chairman. Mr. Wood was nominated as a commissioner by the President on April 30 and confirmed by the Senate on May 25.

[4] FERC's General Counsel said that sometime after February 9, 2001, he was summoned to Mr. Hébert's office where Mr. Hébert was already engaged in a telephone conversation with Mr. Lay.

private gain, and that employees shall act impartially and not give preferential treatment to any private organization or individual. The Office of Government Ethics has promulgated Standards of Ethical Conduct to which all executive branch employees are required to adhere.[3] These standards repeat that employees shall not use public office for private gain, shall act impartially, and shall not give preferential treatment to any private organization or individual.[4] Moreover, FERC has issued regulations that supplement the Office of Government Ethics' standards. In general, FERC employees with decisionmaking responsibilities are prohibited from having off-the-record (i.e., ex parte) communications relevant to the merits (i.e., capable of affecting the outcome of or influencing a decision) of a contested on-the-record proceeding. However, FERC's regulations specifically exclude from their definition of prohibited off-the-record communications, any relevant communications with respect to general background or broad policy discussions involving an industry FERC regulates, where the discussion occurs outside the context of any particular proceeding and does not affect the specific merits of the proceeding. Significantly, the regulations state that it is FERC's policy to encourage the public, including those subject to regulation by FERC, to submit suggestions, comments, or proposals concerning substantial prospective regulatory policy issues. This policy is intended to serve as a means of advising FERC of potential significant issues and problems that may come before it during its activities.

Regardless of who initiated the discussion concerning open access, it does not appear that any of the criminal statutes summarized above were violated. All three statutes require that money or a "thing of value" be offered or solicited in return for something else. The only thing that may have been sought or offered here was Mr. Lay's political support for Mr. Hébert continuing as Chairman. Although the courts interpret the term thing of value broadly to include both tangibles and intangibles, our review of case law found no support for the proposition that mere political support may be considered a thing of value for purposes of the relevant criminal statutes.

Moreover, under the plain language of each of the above-referenced criminal statutes, the offer of a thing of value must be tied to an expectation of a corresponding action by the other party. That is, there must be an expected quid pro quo, a specific intent to give or receive something of value in exchange for an official act. Here, there is no evidence that such an exchange was contemplated, and Mr. Lay specifically said that he did not tie his support to Mr. Hébert changing his position on access. When Mr. Hébert asked for Mr. Lay's endorsement, it was not unreasonable for Mr. Lay to ascertain whether the Chairman would take a position that would be to Enron's advantage. Mr. Lay wanted the Chairman to take a position in favor of making open access mandatory. According to Mr. Hébert, when he said that he would not support mandatory open access, Mr. Lay said that he would not support Mr. Hébert continuing as Chairman. In fact, after Messrs. Lay and Hébert discussed open access, neither party was willing to budge from his own position, and neither party offered to use his influence for the benefit of the other. Thus, there was no exchange or offer to exchange something of value for some action or influence by the recipient.

[3] 5 C.F.R. § 2635.101 et seq.
[4] 5 C.F.R. §§ 2635.101(b)(7), (8), and 2635.702.

The essence of the above-referenced regulations is that government employees may not use their public offices for private gain, and government employees must act impartially and not give preferential treatment to any private organization or individual. Even though Mr. Hébert asked Mr. Lay for his support, there is simply no evidence that he used his public office to obtain that support or that he offered to give preferential treatment to Enron. To the contrary, Mr. Hébert refused to change his position on access even though that refusal might have cost him Mr. Lay's support.

In addition, as stated previously, FERC's regulations explicitly encourage parties regulated by FERC to submit suggestions, comments, or proposals concerning substantial prospective regulatory policy issues as a means of advising FERC of potential significant issues and problems. Thus, discussion of important policy issues such as access to the electrical transmission grid by representatives of companies like Enron is specifically encouraged as a matter of policy under FERC's regulations. The only restraint imposed by FERC's regulations is that FERC decisionmakers may not have ex parte discussions relevant to the merits of contested on-the-record proceedings pending before FERC with parties to those proceedings. Here, both Mr. Lay and Mr. Hébert specifically said that while Enron had some matters before the FERC, they did not talk about those matters. This was confirmed by our interviews with the other individuals who were present when the conversation took place. Thus, the FERC rules on ex parte communications were not violated.

**Conclusion**

On the basis of information obtained in the interviews and a review of the statutes and regulations previously discussed, we found no evidence that applicable federal criminal statutes or ethics regulations were violated. There is no evidence that the Chairman attempted to use his public office for private gain, acted other than impartially, or offered preferential treatment to Mr. Lay and Enron. Likewise, there is no evidence that Mr. Lay offered a thing of value to Mr. Hébert, the FERC Chairman, as that term has been interpreted by the courts on similar issues.

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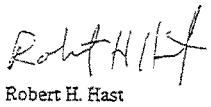
As arranged with your office, unless you announce its contents earlier, we plan no further distribution of this letter until 30 days after the date of the letter. At that time, we will send copies of the letter to interested congressional committees and the Chairman of FERC. We will also make copies available to others on request.

The letter will also be available at www.gao.gov. If you have any questions, please call me at (202) 512-7455 or Director Ronald Malfi at (202) 512-6722. Senior Analyst Shelia James, Senior Attorney Peter Iannicelli, and Assistant General Counsel Robert Cramer made key contributions to this letter.

Sincerely yours,



Robert H. Hast
Managing Director
Office of Special Investigations

(600898)

FEDERAL ENERGY REGULATORY COMMISSION
WASHINGTON, DC 20426

OFFICE OF THE CHAIRMAN

October 11, 2001

The Honorable Joseph I. Lieberman
Chairman
Government Affairs Committee
United States Senate
Washington, DC 20510-6250

Dear Mr. Chairman:

I am writing in response to your September 17, 2001 letter requesting that the Federal Energy Regulatory Commission review its ethics and record-keeping regulations and procedures. You asked that I provide a written response advising you of my recommended procedures and whether I recommend any legislation in these areas.

As an initial matter, with respect to the Commission's ethics procedures, I want to assure you that the ethics of this agency and its employees are extremely important to me. Indeed, one of my first official actions as Chairman was to meet with all employees to discuss, among other things, the importance of ethical conduct. As I stressed in those meetings held on September 3 and 4, 2001, the public must have confidence in the integrity of the Commission and its employees, and each employee is responsible for knowing and following the applicable rules. For that purpose, I provided to the employees a copy of a FERC Ethics Mandate (Enclosure One), which is also available on the Commission's Intranet and Internet (http://www.ferc.gov/legal/ethics/ethics2.htm) sites.

In addition, although only certain senior staff must receive ethics training this year, I have required that all Commission employees, regardless of grade, occupation, or tenure, receive formal training, which will be given in classes scheduled between October 23 and November 15, 2001. The training will serve as a reminder of the relevant statutes and regulations with which the employees should be familiar, and give them the tools which will help them comply with the law. It will also give the employees an opportunity to ask questions and learn more about the agency's ethics officials whom they may contact in the future for further assistance.

In response to your September 17, 2001 letter, I asked staff to prepare a report that reviews the Commission's ethics program (Enclosure Two). As discussed in more detail there, the Commission's ethics program was recently audited by the Office of Government Ethics ("OGE"), which commended the Commission for ensuring that its

program complied with Federal regulations and policies. A copy of the OGE report is attached to the enclosed staff report. Accordingly, I am confident that the applicable statutes and regulations as currently administered provide a strong ethical foundation for the Commission's ethics program.

As a separate matter, you also ask that the Commission review its "record-keeping regulations and procedures, including the maintenance of telephone logs and other measures, to ensure that communications between Commissioners and the regulated community are conducted in a manner that leaves no question in the public's mind about the objectivity and independence of the Commission." Your request was prompted by the General Accounting Office's (GAO) investigation into a conversation between former Chairman Curt Hébert and Enron Corporation Chairman Kenneth Lay. We have reviewed our procedures in this regard and based on that review, I conclude that no changes to FERC's existing rules or relevant statutes are necessary at this time.

If I can be of any further assistance on these or any other matters, please feel free to contact me.

Best regards,

Pat Wood, III
Chairman

Enclosures

FEDERAL ENERGY REGULATORY COMMISSION
WASHINGTON, D.C. 20426

Committee on Governmental Affairs
**EXHIBIT #D-2**

OFFICE OF THE COMMISSIONER

October 30, 2002

Mr. Gary M. Brown, Special Counsel
U.S. Senate Committee on Governmental Affairs
Washington, DC 20510-6250

Dear Mr. Brown:

This responds to your invitation to supplement my responses to the February 15, 2002 letter from Senators Lieberman and Thompson set forth in your letter of October 11, 2002 to Don Chamblee.

After conducting another review of my calendar, I have found one additional meeting that involved Enron or an Enron affiliate during the relevant time period. The meeting occurred on June 7, 2000. It was attended by representatives of Puget Sound Energy, Avista, Portland General Electric (an Enron affiliate) Sierra Pacific Resources and MT Power. The subject matter most likely involved the development of RTO West.

As to other communications, I have had opportunities for incidental contact with representatives of numerous entities regulated by the FERC, including Enron and its affiliates, in contexts such as conferences, seminars and speaking engagements. Further, during the relevant time period I had telephone communications with representatives of Enron and its affiliates. However, because neither my office or I keep telephone logs, I cannot provide specific information such as dates and the number of additional contacts. They likely involved the same kinds of discussions reflected in my prior responses, i.e., transmission access, RTO development and tariff reform for a single transmission tariff.

My prior responses to Senators Lieberman and Thompson, as supplemented by this letter, reflect my best recollection of contacts I have had with employees of Enron and its affiliates. If I can further assist the committee in this matter, please do not hesitate to contact me.

Sincerely,

Linda K. Breathitt

Linda K. Breathitt
Commissioner

Attachment A

# *Commissioner Breathitt -- Enron Contacts*

*2000 – 2001*

Committee on Governmental Affairs
**EXHIBIT #D-3**

| | | | |
|---|---|---|---|
| 1. | May 1 | | Courtesy Meeting |

May 22: California's electricity supply crisis begins.
June 14: California suffers its largest planned blackout since World War II.

| | | | |
|---|---|---|---|
| 2. | Aug. 23 | | Meeting: Commissioner Breathitt and C. Bone |
| 3. | Aug 24 | | Telephone Conference: Commissioner Breathitt, C. Bone, J. Hayes |
| 4. | Sept. 7 | | Meeting at FERC: Commissioner Breathitt and C. Bone |
| 5. | Sept 15 | | Telephone Conference: Commissioner Breathitt and C. Bone |
| 6. | Sept 28 | | Luncheon meeting:: Commissioner Breathitt, C. Bone, J. Hayes |
| 7. | Sept 29 | | Telephone conference: Commissioner Breathitt, C. Bone, J. Hayes, and R. Shapiro |
| 8. | Sept. 30 | | Telephone conference: Commissioner Breathitt, C. Bone, J. Hayes |
| 9. | Oct. 18 | | Telephone conference: Commissioner Breathitt, C. Bone |
| 10. | Oct. 19 | | Telephone conference: Commissioner Breathitt, C. Bone, J. Hayes, and Mr. Delainey |
| 11. | Oct. 26 | | Telephone conference: Commissioner Breathitt, C. Bone and R. Shapiro |
| 12. | Oct. 30 | | Telephone conference: Commissioner Breathitt, C. Bone and R. Shapiro |
| 13. | Oct. 31 | | Telephone conference: Commissioner Breathitt, C. Bone and R. Shapiro |

Nov. 1: FERC issues an order proposing specific remedies to address dysfunctions in California's wholesale bulk power markets and to ensure just and reasonable wholesale power rates by public utilities in California

| | | | |
|---|---|---|---|
| 14. | Nov. 7 | | Telephone conference: Commissioner Breathitt, C. Bone and Governor Breathitt |
| 15. | Nov. 16 | | Telephone conference: Commissioner Breathitt, C. Bone |
| 16. | Nov. 27 | | Telephone conference: Commissioner Breathitt, C. Bone and R. Shapiro |
| 17. | Nov. 28 | | Telephone conference: Commissioner Breathitt, C. Bone and R. Shapiro |
| 18. | Dec. 4 | | Breakfast Meeting: Commissioner Breathitt, C. Bone and R. Shapiro |
| 19. | Dec. 4 | | Lunch meeting: Commissioner Breathitt and C. Bone and R. Shapiro |
| 20. | Dec. 5 | | Dinner meeting: Commissioner Breathitt, C. Bone and J. Hayes |
| 21. | Dec. 8 | | Telephone conference: Commissioner Breathitt and C. Bone |

Dec. 15: FERC issues second major order in an effort to remedy California dysfunctions. As part of the order, FERC rejects wholesale rate caps in California

2001

22. Jan. 9 — Telephone conference: Commissioner Breathitt and C. Bone

23. Jan. 18 — Meeting at FERC: Commissioner Breathitt, Enron Representatives, EPSA, ELCON, Reliant, Dynegy

24. Jan. 21 — Enron-hosted Inaugural Brunch at Red Sage: Commissioner Breathitt—approved attendence

25. Jan. 24 — Meeting: Commissioner Breathitt and C. Bone

26. Jan. 24 — Dinner meeting: Commissioner Breathitt, C. Bone, J. Hayes, and R. Shapiro

27. Feb. 8 — Telephone conference: Commissioner Breathitt and C. Bone

> Feb. 14: RERC rules that the ISO can no longer buy power on behalf of PG&E and SCE because they no longer meet the credit-worthiness standards set out in the ISO's tariff.

28. Feb. 21 — Commissioner Breathitt spoke at INGAA Board meeting in Houston. Stan Horton (Enron)

29. Mar. 9 — Telephone conference: Commissioner Breathitt and C. Bone

30. Mar. 19 — Dinner meeting: Commissioner Breathitt, C. Bone, J. Hayes, and R. Shapiro

31. Mar. 27 — Telephone conference: Commissioner Breathitt, C. Bone and R. Shapiro

32. April 6 — Telephone conference: Commissioner Breathitt, C. Bone and Mr. Harold Ford Sr.

33. April 12 — Telephone conference: Commissioner Breathitt, C. Bone and R. Shapiro

34. April 18 — Telephone conference: Commissioner Breathitt, C. Bone and J. Hayes

35. April 23 — Telephone conference: Commissioner Breathitt and C. Bone

36. April 24 — Commissioner Breathitt attended Ford dinner: C. Bone, J. Hayes, and others

> FERC resists hard price caps and establishes a prospective mitigation and monitoring plan which calls for a series of soft temporary price caps.

37. May 31 — Telephone conference: Commissioner Breathitt and C. Bone

38. June 16 — Telephone conference: Commissioner Breathitt, C. Bone and R. Shapiro

39. June 25 — Telephone conference: Commissioner Breathitt and C. Bone

40. June 28 — Dinner in D.C: Commissioner Breathitt, C. Bone and J. Hayes

> July 31: Sacramento BEE headline proclaims: Governor "Davis says "FERC excluding $5 Billion of Owed Refunds"

41. Sept. 19 — Meeting for Commissioner Breathitt to introduced Mark Frevert: Steve Kean, Linda Robertson

42. Sept. 20 — Meeting: Commissioner Breathitt, Stan Horton (Enron Pipelines), INGAA representative

43. Sept. 24 — Meeting: Commissioner Breathitt, Cynthia Sandherr and Kathleen Magruder (Enron)

44. Oct. 4 — Commissioner Breathitt attended "US Energy Policy at a Crossroads" hosted by Enron

45. Nov. — Telephone conference: Commissioner Breathitt and R. Shapiro

> Nov. 7: FERC issues an order giving the ISO 15 days to bill the California Dept. of Water Resources for spot market purchases of electricity, or risk losing the FERC requirement that generators make power available to the State

46. Dec. — Telephone conference: Commissioner Breathitt and Stan Horton

**F.E.R.C. COMMISSION**
**1998 – 2002**

| Date | Members | Changes |
|---|---|---|
| 1998 | (D) James Hoecker, Chair<br>(D) Bill Massey<br>(D) Linda Breathitt<br>(R) Vicky Bailey<br>(R) Curt Hébert | ***Clinton Appointees*** |
| 1999 | (D) James Hoecker, Chair<br>(D) Bill Massey<br>(D) Linda Breathitt<br>(R) Vicky Bailey<br>(R) Curt Hébert | |
| January 2000 | (D) James Hoecker, Chair<br>(D) Bill Massey<br>(D) Linda Breathitt<br>(R) Vicky Bailey<br>(R) Curt Hébert | |
| February 1, 2000 | (D) James Hoecker, Chair<br>(D) Bill Massey<br>(D) Linda Breathitt<br>(R) Curt Hébert<br>**VACANCY** | ***Vicky Bailey leaves*** |
| Mar. 2000 – Dec. 2000 | No changes | |
| January 2001 | (R) Curt Hébert, Chair<br>(D) Bill Massey<br>(D) Linda Breathitt<br>**VACANCY**<br>**VACANCY** | Bush appoints Hébert, Chair<br>***James Hoecker leaves*** |
| Feb. - April 2001 | No Changes | |
| May 25, 2001 | (R) Curt Hébert, Chair<br>(R) Nora Mead Brownell<br>(D) Bill Massey<br>(D) Linda Breathitt<br>**VACANCY** | ***Bush appoints Nora Brownell*** |
| June 14, 2001 | (R) Curt Hébert, Chair<br>(R) Nora Mead Brownell<br>(R) Pat Wood<br>(D) Bill Massey<br>(D) Linda Breathitt | ***Bush appoints Pat Wood*** |
| July 2001 | No changes | |
| August 14, 2001 | (R) Pat Wood, Chair<br>(R) Nora Mead Brownell<br>(D) Bill Massey<br>(D) Linda Breathitt<br>**VACANCY** | Bush appoints Pat Wood, Chair<br>***Curt Hébert leaves*** |
| Sept. 2001 – June 2002 | No Changes | |
| July 2002 | [No change] | Linda Breathitt's term expires<br>(she remains active) |
| Aug. 2002 – Present | No changes | |

Committee on Governmental Affairs
EXHIBIT #D-4

* - Article Attached

## Timeline

- 1995—The California Public Utility Commission (PUC) opens the door to competition.
- September 23, 1996—Governor Pete Wilson signs deregulation legislation.
- 1998—Deregulation legislation takes effect.
- Summer 1999—San Diego Gas & Electric lifts consumer price caps.

**2000**

**May 1, 2000→Courtesy Meeting**

- May 22: California's electricity supply crisis begins.
- June 14: California suffers its largest planned blackout since World War II.
- August 2: Governor Davis charges price manipulation on the electricity market.

**August 23, 2000→Meeting: Commissioner Breathitt and Charles Bone**

- August 23: FERC initiates an investigation under Section 206 of the Federal Power Act and directs its staff to accelerate its fact-finding investigation, begun in July, with regard to electric bulk power markets in California and the Western United States.

**August 24, 2000→Telephone Conference: Commissioner Breathitt, Charles Bone, and Johnny Hayes**

**September 7, 2000→Meeting at FERC: Commissioner Breathitt and Charles Bone**

**September 15, 2000→Telephone Conference: Commissioner Breathitt and Charles Bone**

- September 20: Cooler weather prevents Blackouts.*

**September 28, 2000→Luncheon meeting: Commissioner Breathitt, Charles Bone, and Johnny Hayes**

**September 29, 2000→Telephone Conference: Commissioner Breathitt, Charles Bone, Johnny Hayes, and Rick Shapiro**

**September 30, 2000→Telephone conference: Commissioner Breathitt, Charles Bone, and Johnny Hayes**

- October 5: Pacific Gas & Electric (PG&E) asks regulators to bill customers for higher wholesale costs.*

**October 18, 2000→Telephone conference: Commissioner Breathitt, Charles Bone**

**October 19, 2000→Telephone Conference: Commissioner Breathitt, Charles Bone, Johnny Hayes, and Mr. Delainey**

- October 21: California Independent System Operator Corporation (ISO) proposes to cap wholesale electricity prices at $100 per megawatt hour, down from $250.*

**October 26, 2000→Telephone conference: Commissioner Breathitt, Charles bone and Rick Shapiro**

- October 27: Divided ISO adopts sliding caps on wholesale electricity prices.*

**October 30, 2000→Telephone conference: Commissioner Breathitt, Charles Bone and Rick Shapiro**

**October 31, 2000→Telephone conference: Commissioner Breathitt, Charles Bone and Rick Shapiro**

- November 1: FERC issues an order proposing specific remedies to address dysfunctions in California's wholesale bulk power markets and to ensure just and reasonable wholesale power rates by public utilities in California.

**November 7, 2000→Telephone conference: Commissioner Breathitt, Charles Bone and Governor Breathitt**

**November 16, 2000→Telephone conference: Commissioner Breathitt, Charles Bone**

- November 21: California State Senator seeks $2 billion from State funds to stabilize power rates.*

**November 27, 2000→Telephone conference: Commissioner Breathitt, Charles Bone and Rick Shapiro**

**November 28, 2000→Telephone conference: Commissioner Breathitt, Charles Bone and Rick Shapiro**

**December 4, 2000→Breakfast *and* Lunch meeting: Commissioner Breathitt and Charles Bone and Rick Shapiro**

**December 5, 2000→Dinner meeting: Commissioner Breathitt, Charles Bone and Johnny Hayes**

- December 7: California faces unprecedented energy alert.
- December 7: Power plant "Witch Hunt" starts. *
- December 8: No blackouts -- by a thread.*

**December 8, 2000→Telephone conference: Commissioner Breathitt and Charles Bone**

- December 13: Energy Secretary Bill Richardson intervenes to avoid blackouts.
- December 13: Wholesale electric prices soar anew.*
- December 15: Federal regulators reject wholesale rate cap for California.
- December 16: FERC sets new rules on energy. Davis questions effectiveness of FERC, suggests State should have more authority.*
- December 26: Southern California Edison sues FERC.
- December 27: PG&E to seek extra charge.*
- December 28: PUC weighs power hikes.*
- December 31: State Legislators to tackle utility crisis.*

2001

- January 4: California regulators approve emergency rate hikes.

January 9, 2001→Telephone conference: Commissioner Breathitt and Charles Bone

- January 16: Utilities move closer to bankruptcy.
- January 17-18: California suffers rolling blackouts.

January 18, 2001→Meeting at FERC: Commissioner Breathitt, Enron Representatives, EPSA, ELCON, Reliant & Dynegy

January 21, 2001→Enron-hosted Inaugural Brunch at Red Sage: Commissioner Breathitt—approved attendance

- January 23: Energy Secretary Spencer Abraham extends emergency order.

January 24, 2001 →Meeting: Commissioner Breathitt and Charles Bone

January 24, 2001→Dinner meeting: Commissioner Breathitt, Charles Bone, Johnny Hayes and Rick Shapiro

- February 1: State approves legislation to spend $10 billion on power.
- February 2 & 5: California Governor Gray Davis uses his emergency powers to seize long-term power purchase contracts of Southern California Edison (SCE) and PG&E.

February 8, 2001→Telephone Conference: Commissioner Breathitt and Charles Bone

- February 14: FERC rules that the ISO can no longer buy power on behalf of PG&E and SCE because they no longer meet the creditworthiness standards set out in the ISO's tariff.
- February 16: Davis proposes buying transmission lines.

February 21, 2001→Commissioner Breathitt spoke at INGAA Board meeting in Houston. Stan Horton (Enron)

- March 1: California ISO files request for refunds with FERC.

March 9, 2001→Telephone conference: Commissioner Breathitt and Charles Bone

- March 9: FERC orders refunds.
- March 16: FERC orders additional refunds.

March 19, 2001→Dinner meeting: Commissioner Breathitt, Charles Bone, Johnny Hayes and Rick Shapiro

- March 19-20: California suffers first statewide rolling blackouts.

March 27, 2001→Telephone conference: Commissioner Breathitt, Charles Bone and Rick Shapiro

- March 27: The state approves record rate hikes.
- April 5: A federal appeals court blocks a Sacramento judge's order that directed Reliant Energy to keep selling power to the state despite the wholesaler's worry struggling utilities (PG&E) won't pay.
- April 5: Governor Davis proposes a tiered rate increase.

April 6, 2001➔Telephone conference: Commissioner Breathitt, Charles Bone and Mr. Harold Ford Sr.

- April 6: PG&E files for bankruptcy.
- April 10: Governor Davis reiterates request for price caps

April 12, 2001➔Telephone conference: Commissioner Breathitt, Charles Bone and Rick Shapiro

April 18, 2001➔Telephone conference: Commissioner Breathitt, Charles Bone and Johnny Hayes

April 23, 2001➔Telephone conference: Commissioner Breathitt and Charles Bone

April 24, 2001➔Commissioner Breathitt attended Ford dinner: Charles Bone, Johnny Hayes and others

- April 25: FERC issues order for limited price caps.
- May 4: FERC chief (Hebert) defends price cap plan for state.*
- May 11: By a 20-12 vote, the House Energy and Commerce Committee's Energy and Air Quality subcommittee rejected a price controls amendment to an emergency electricity package offered by Rep. Henry Waxman, D-CA.*
- May 15: FERC begins hearings on allegations of price fixing.
- May 16: Rate hikes set (California PUC).*
- May 17: Davis signs Public Power Authority bill.*
- May 17: FERC nominee (Pat Wood) open to rate caps in his nominations hearing.
- May 30: State loses round in FERC fight (9th Circuit dismisses price caps case)*

May 31, 2001➔Telephone conference: Commissioner Breathitt and Charles Bone

- June 12: FERC broadens El Paso Gas probe.*
- June 13: FERC weighs wider energy price controls.*

June 16, 2001➔Telephone conference: Commissioner Breathitt, Charles Bone and Rick Shapiro

- June 19: New FERC limit on power costs (Close to what Senators Feinstein and Smith proposed).*

June 25, 2001➔Telephone conference: Commissioner Breathitt and Charles Bone

June 28, 2001→Dinner in D.C.: Commissioner Breathitt, Charles Bone and Johnny Hayes

- July 11: Davis repeats threat to sue FERC to get full refund.*
- July 26: FERC orders hearing on power overcharges to discuss potential refunds.*
- July 31: Davis energy aide leaves – Chris Lehane, a former Clinton / Gore worker, left without pay, citing a conflict of interest. He was credited with getting FERC to the table on price caps. "Chris Lehane provided an invaluable service to the people of California in helping us get action after a yearlong wait from FERC," Gov Davis said. "It's a shame that politics gets in the way of public service."*
- July 31: Davis says FERC excluding $5 billion of owed refunds.*
- August 2001: California is forced to sell its surplus electricity, purchased at an average of $133/MWh, for between $15/MWh and $30/MWh into the market, according to the California Department of Water Resources (DWR).
- August 7: FERC chairman (Hebert) to leave his post, effective Aug. 31.
- September 14: Enron agrees to provide files, averts State Senate contempt finding.*

September 19, 2001→Meeting for Commissioner Breathitt to be introduced to Mark Frevert: Steve Kean, Linda Robertson

September 20, 2001→Meeting: Commissioner Breathitt, Stan Horton (Enron Pipelines), INGAA representative

- September 20: PG&E files for Chapter 11 bankruptcy.

September 24, 2001→Meeting: Commissioner Breathitt, Cynthia Sandherr and Kathleen Magruder (Enron)

- September 30: New FERC chief sparks hope for troubled agency (Pat Wood).*

October 4, 2001→Commissioner Breathitt attended "US Energy Policy at a Crossroads" hosted by Enron

November 7, 2001→Telephone conference: Commissioner Breathitt and Rick Shapiro

- November 7: FERC issues an order giving the ISO 15 days to bill DWR for spot-market purchases of electricity, or risk losing the FERC requirement that generators make power available to the state.

December 2001→Telephone conference: Commissioner Breathitt and Stan Horton

- December 2: Enron files for Chapter 11 bankruptcy.

Committee on Governmental Affairs
EXHIBIT #D-5

- Very friendly meeting with Linda Breathitt.
- She wanted to make sure I emphasized that she was here in Nashville visiting family.
- We had a good opportunity to talk about the issues and her position as the "swing vote".
- We visited the Gore headquarters and had lunch with Johnny Hayes.
- The FERC will be responding today to the request of President Clinton and Secretary Richardson. FERC will be very responsive to the crisis, with investigations in California and possibly hearings in California.
- Linda did not want to address the issues specifically today, but indicated she would be pleased to meet with us in Washington at an early date.
- She is very impressed with Steven Kean and spoke highly of him.
- She acknowledged that this is not a partisan issue in any way, and is very conscientious about trying to solve the crisis.

Feb 2000

Committee on Governmental Affairs
EXHIBIT #D-6

Voluntary only fee.
FB would not vote for Mandatory

(1) FERC should make RTA Mand. Regional Transmission

(2) Native load exemption would be eliminated but that only Creates a level playing field
All user need to be on a tariff →

So. Co. + others are opposed –

Not About Ky – Wholesale / Not Retail

– Lynn Church - Electric Power Supply Assn.
– ENRON – DYNEGY CORAL → Shell –
– ~~DYNEGY~~ → Houston.

TE RUN: 06/05/02

WYATT, TARRANT & COMBS, LLP
PROFORMA STATEMENT AS OF 06/04/02

OFORMA NUMBER:

CLIENT.MATTER NUMBER:010859.089244

PROFORMA BATCH:

---MATTER DESCRIPTION-----*
RPORATE AND GOVERNMENT AFFAIRS REPRESENTATION

*-----BILLING INSTRUCTIONS-----*

| ----CLIENT INFORMATION-----* | --CLIENT NUMBER-- | --ORIGINATING-- | --BILLING-- | --SUPERVISING-- |
|---|---|---|---|---|
| RON CORP. AND ENRON POWER MARKETING, INC. | 010859 | 019 CWB<br>C BONE | 019 CWB<br>C BONE | 019 CWB<br>C BONE |

---CLIENT ADDRESS-----*
RON CORP. AND ENRON POWER MARKETING, INC.
RBARA HUETER
00 SMITH STREET
O. BOX 1188
USTON, TEXAS 77251-1188

*-----MATTER ADDRESS-----*

Committee on Governmental Affairs
EXHIBIT #D-7

----MATTER INFORMATION-----*
ONE:
FERRED BY:

PHONE:
CONTACT: BARBARA HUETER

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| ATUS: | OP | RATE: | 1 | TEMPLATE: | 52 | MIN FEE: | |
| TE OPENED: | 07/31/2000 | DEPT: | 0 | TIME FORMAT: | 35 | MIN COST: | |
| TE CLOSED: | | LOCATION: | 51 | COST FORMAT: | 5 | MIN TOTAL: | |
| ST RATE: | 07/31/2000 | PRACTICE: | 26 | INTRST CODE: | 0 | FEE MARKUP: | % |
| LD FEES: | | FEE FREQ: | M | INT FREE DAY: | | COST MARKUP: | % |
| LD COSTS: | | COST FREQ: | M | FIXED COSTS: | N | FIXED FEES: | |
| UST RET ACCT: | | TRUST RETAIN: | | ARRANGEMENT: | H | CURRENCY: | USD |
| | | | | | | MAXIMUM BILLINGS: | |

Department Data for this Matter.

st Bill Date: 01/03/2002

| DATE | TIMEKEEPER NUM | TIMEKEEPER INIT | TASK CODE | DESCRIPTION | WORKED HOURS | STANDARD VALUE | BILLABLE AMOUNT | STAT | INDEX |
|---|---|---|---|---|---|---|---|---|---|
| /20/00 | 019 | CWB | | TELEPHONE CONFERENCES WITH MR. SHAPIRO AND MR. HERTER. | 1.00 | 300.00 | 300.00 | B | 3155371 |
| /23/00 | 019 | CWB | | MEETING WITH MR. SHAPIRO AND MR. HERTER. | 2.00 | 600.00 | 600.00 | B | 3155372 |
| /27/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MESSRS. CROWELL, HAYES AND SHAPIRO. | 1.00 | 300.00 | 300.00 | B | 3155373 |
| /01/00 | 019 | CWB | | BREAKFAST MEETING WITH MR. HAYES. | 1.00 | 300.00 | 300.00 | B | 3155374 |
| /21/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HERTER; PREPARATION FOR MEETING IN HOUSTON. | 2.00 | 600.00 | 600.00 | B | 3155375 |
| /23/00 | 019 | CWB | | REVIEW FILE IN PREPARATION FOR MEETING. | 2.00 | 600.00 | 600.00 | B | 3155376 |

DATE RUN: 06/05/02 WYATT, TARRANT & COMBS, LLP CLIENT.MATTER NUMBER:010859.089244

| DATE | TIMEKEEPER NUM | TIMEKEEPER INIT | TASK CODE | DESCRIPTION | WORKED HOURS | STANDARD VALUE | BILLABLE AMOUNT | STAT | INDEX |
|---|---|---|---|---|---|---|---|---|---|
| 07/24/00 | 019 | CWB | | TRAVEL TO HOUSTON FOR ENRON MEETING. | 4.00 | 1,200.00 | 1,200.00 | B | 3155377 |
| 07/25/00 | 019 | CWB | | MEETINGS IN HOUSTON WITH ENRON MANAGEMENT; TRAVEL TO DENVER. | 8.00 | 2,400.00 | 2,400.00 | B | 3155378 |
| 07/31/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO; WORK ON ENGAGEMENT LETTER. | 1.00 | 300.00 | 300.00 | B | 3155894 |
| 08/01/00 | 576 | ALD | | REVISE ENRON CORPORATION ENGAGEMENT LETTER FOR CHARLES BONE. | .20 | 46.00 | .00 | BNP | 3159741 |
| 08/04/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HEUTER AND VOICE MAIL TO MR. SHAPIRO. | .50 | 150.00 | 150.00 | B | 3161690 |
| 08/08/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO AND MR. KEANE; TELEPHONE CONFERENCE WITH MR. HAYES. | 1.00 | 300.00 | 300.00 | B | 3170541 |
| 08/10/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. BREATHITT; TELEPHONE CONFERENCE WITH MR. SHAPIRO. | .50 | 150.00 | 150.00 | B | 3170550 |
| 08/11/00 | 019 | CWB | | TRAVEL TO KNOXVILLE; MEETING WITH MR. CROWELL; TELEPHONE CONFERENCE WITH MR. HAYES AND MR. SHAPIRO. | 5.00 | 1,500.00 | 1,500.00 | B | 3170554 |
| 08/16/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO. | .50 | 150.00 | 150.00 | B | 3178596 |
| 08/17/00 | 019 | CWB | | WORK ON OFFICE OF CHAIRMAN CROWELL FOR APPOINTMENT; TELEPHONE CONFERENCE WITH MR. SHAPIRO. | 1.00 | 300.00 | 300.00 | B | 3178597 |
| 08/18/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HEUTER. | .50 | 150.00 | 150.00 | B | 3178598 |
| 08/21/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO. | .50 | 150.00 | 150.00 | B | 3189768 |
| 08/28/00 | 019 | CWB | | WORK ON SCHEDULING; TELEPHONE CONFERENCE WITH MR. SHAPIRO. | .50 | 150.00 | 150.00 | B | 3198486 |
| 09/04/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO AND MR. HAYES. | 1.00 | 300.00 | 300.00 | B | 3207247 |

DATE RUN: 06/05/02 WYATT, TARRANT & COMBS, LLP CLIENT.MATTER NUMBER:010859.089244

| DATE | TIMEKEEPER NUM | TIMEKEEPER INIT | TASK CODE | DESCRIPTION | WORKED HOURS | STANDARD VALUE | BILLABLE AMOUNT | STAT | INDEX |
|---|---|---|---|---|---|---|---|---|---|
| 09/12/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO. | .50 | 150.00 | 150.00 | B | 3217722 |
| 09/13/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. CROWELL; TELEPHONE CONFERENCE WITH MR. HAYES. | .50 | 150.00 | 150.00 | B | 3217723 |
| 09/14/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SANDERS AND MR. MADDEN; TELEPHONE CONFERENCE WITH MS. HUETER; TRAVEL TO HOUSTON. | 5.00 | 1,500.00 | 1,500.00 | B | 3217726 |
| 09/15/00 | 019 | CWB | | TRAVEL FROM HOUSTON TO KNOXVILLE; LUNCH WITH MS. LAY, MR. CROWELL AND OTHERS; TELEPHONE CONFERENCE WITH MR. CROWELL; TELEPHONE CONFERENCE WITH MS. BREATHITT. | 6.00 | 1,800.00 | 1,800.00 | B | 3217731 |
| 09/16/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. BREATHITT AND MR. SHAPIRO. | .50 | 150.00 | 150.00 | B | 3226558 |
| 09/18/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HUETER; TELEPHONE CONFERENCE WITH MR. HAYES. | .50 | 150.00 | 150.00 | B | 3226560 |
| 09/20/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. DELAINEY. | .50 | 150.00 | 150.00 | B | 3226569 |
| 09/21/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MS. HUETER. | .50 | 150.00 | 150.00 | B | 3226571 |
| 09/22/00 | 019 | CWB | | TRAVEL TO CHATTANOOGA AND RETURN' MEETING AT TVA WITH MR. DELAINEY, MR. ZERINGUE, MR. MEDFORD, MR. BOSTON AND OTHERS. | 6.00 | 1,800.00 | 1,800.00 | B | 3226575 |
| 09/26/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. CROWELL, MR. SHAPIRO AND MR. DELAINEY. | .50 | 150.00 | 150.00 | B | 3238855 |
| 10/23/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO. | .50 | 175.00 | 175.00 | B | 3270634 |
| 10/25/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. CROWELL, MR. DELAINEY AND MR. SHAPIRO. | 1.00 | 350.00 | 350.00 | B | 3270638 |
| 11/01/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO. | .50 | 175.00 | 175.00 | B | 3279962 |
| 11/02/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. CROWELL AND MR. DELAINEY. | 1.00 | 350.00 | 350.00 | B | 3279963 |

DATE RUN: 06/05/02 WYATT, TARRANT & COMBS, LLP CLIENT.MATTER NUMBER:010859.089244

| DATE | TIMEKEEPER NUM | TIMEKEEPER INIT | TASK CODE | DESCRIPTION | WORKED HOURS | STANDARD VALUE | BILLABLE AMOUNT | STAT | INDEX |
|---|---|---|---|---|---|---|---|---|---|
| 11/06/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO, MR. CROWELL AND MR. HAYES. | 1.00 | 350.00 | 350.00 | B | 3290027 |
| 11/13/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. CROWELL AND MR. DELAINEY. | 1.00 | 350.00 | 350.00 | B | 3299169 |
| 11/14/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. CROWELL; VOICE MAIL FROM MR. DELAINEY; TELEPHONE CONFERENCE WITH MR. SHAPIRO. | 1.00 | 350.00 | 350.00 | B | 3299172 |
| 12/12/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO AND MR. HAYES. | .50 | 175.00 | 175.00 | B | 3348550 |
| 12/18/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO; TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. HAYES AND MR. CAUTHEN. | .50 | 175.00 | 175.00 | B | 3354660 |
| 12/22/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO REGARDING LUNCH WITH MR. CROWELL. | .50 | 175.00 | 175.00 | B | 3354669 |
| 12/23/00 | 019 | CWB | | LUNCH WITH MR. HAYES AND MR. CROWELL. | 1.50 | 525.00 | 525.00 | B | 3354671 |
| 01/10/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO AND MR. STEFFERS. | .50 | 175.00 | 175.00 | B | 3383775 |
| 01/25/01 | 019 | CWB | | TRAVEL TO NASHVILLE; MEETING WITH MR. HEUTER, MR. STEFFEN, MR. NORTON AND MR. WEST. | 3.00 | 1,050.00 | 1,050.00 | B | 3398095 |
| 02/12/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES, MR. CULBREATH, MR. MERRITT; TELEPHONE CONFERENCE WITH MR. ROGERS, MR. HAYES; TELEPHONE CONFERENCE WITH MR. CROWELL. | 2.00 | 700.00 | 700.00 | B | 3510385 |
| 02/13/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES; WORK ON PROPOSALS. | 2.00 | 700.00 | 700.00 | B | 3510386 |
| 02/14/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES; WORK ON PROPOSALS; TELEPHONE CONFERENCE WITH MR. MOSHIER. | 4.00 | 1,400.00 | 1,400.00 | B | 3510387 |

DATE RUN: 06/05/02 WYATT, TARRANT & COMBS, LLP CLIENT.MATTER NUMBER:010859.089248

| DATE | TIMEKEEPER NUM | TIMEKEEPER INIT | TASK CODE | DESCRIPTION | WORKED HOURS | STANDARD VALUE | BILLABLE AMOUNT | STAT | INDEX |
|---|---|---|---|---|---|---|---|---|---|
| 02/15/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. CROWELL; TELEPHONE CONFERENCE WITH MR. MERRITT; TELEPHONE CONFERENCE WITH MR. ADDINGTON. | 1.00 | 350.00 | 350.00 | B | 3510388 |
| 02/16/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES, MR. YOTTO AND MR. SHAPIRO. | 1.00 | 350.00 | 350.00 | B | 3510389 |
| 02/17/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES, MR. MERRITT; REVIEW AGREEMENT ETC. | 2.00 | 700.00 | 700.00 | B | 3510390 |
| 02/21/01 | 019 | CWB | | TELEPHONE CONFERENCES WITH MR. HAYES AND MR. SHAPIRO. | 1.00 | 350.00 | 350.00 | B | 3510391 |
| 02/22/01 | 019 | CWB | | ATTEND TVPPA MEETING - MR. CURT HERBERT SPEAKER; TELEPHONE CONFERENCE WITH MR. HAYES; REDRAFT VOITH LETTER TO MR. ROGERS; TELEPHONE CONFERENCE WITH MR. MOUSER. | 4.00 | 1,400.00 | 1,400.00 | B | 3510392 |
| 02/23/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. REID (2). | 1.00 | 350.00 | 350.00 | B | 3510393 |
| 03/14/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES; WORK ON ORGANIZATIONAL MEMO FOR TBG. | 2.00 | 700.00 | 700.00 | B | 3510394 |
| 03/19/01 | 019 | CWB | | SIDEVIEW - TRAVEL TO WASHINGTON FOR MEETING AND DINNER WITH MR. SHAPIRO, MR. HAYES AND MS. BREATHITT. | 6.00 | 2,100.00 | 2,100.00 | B | 3465370 |
| 03/20/01 | 019 | CWB | | BREAKFAST MEETING WITH MR. HAYES, MR. SHAPIRO; TRAVEL FROM WASHINGTON TO NASHVILLE; TELEPHONE CONFERENCE WITH MR. NORTON AND MR. BOULDIN. | 4.00 | 1,400.00 | 1,400.00 | B | 3465371 |
| 03/21/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES AND MR. SHAPIRO; WORK ON CONTRACTS. | 2.00 | 700.00 | 700.00 | B | 3510395 |
| 03/27/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH COMMISSIONER BREATHITT AND MR. SHAPIRO; TELEPHONE CONFERENCE WITH MR. HABLE; TELEPHONE CONFERENCE WITH MR. DYER. | 1.00 | 350.00 | 350.00 | B | 3510396 |

DATE RUN: 06/05/02 WYATT, TARRANT & COMBS, LLP CLIENT.MATTER NUMBER:010859.089244

| DATE | TIMEKEEPER NUM | TIMEKEEPER INIT | TASK CODE | DESCRIPTION | WORKED HOURS | STANDARD VALUE | BILLABLE AMOUNT | STAT | INDEX |
|---|---|---|---|---|---|---|---|---|---|
| 03/28/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES; VOICE MAIL OR MR. BINDER; TELEPHONE CONFERENCE WITH MR. HABLS; TELEPHONE CONFERENCE WITH EXECUTIVE COMMITTEE; TELEPHONE CONFERENCE WITH MR. CROWELL. | 2.00 | 700.00 | 700.00 | B | 3510397 |
| 03/29/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES AND MR. CROWELL; TELEPHONE CONFERENCE WITH MR. BINDER; TELEPHONE CONFERENCE WITH MR. O'BRIEN. | 1.00 | 350.00 | 350.00 | B | 3510398 |
| 04/02/01 | 019 | CWB | | TRAVEL TO WASHINGTON FOR DINNER WITH MR. HAYES. | 3.00 | 1,050.00 | 1,050.00 | B | 3510401 |
| 04/03/01 | 019 | CWB | | RETURN TO NASHVILLE. | 2.00 | 700.00 | 700.00 | B | 3510402 |
| 04/06/01 | 019 | CWB | | MEETING WITH MR. HAYES AND TELEPHONE CONFERENCE WITH MR. HAROLD FORD SR. AND COMMISSIONER BREATHITT; MEETING WITH MR. CROWELL AND TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. HEUTER. | 2.00 | 700.00 | 700.00 | B | 3510404 |
| 04/18/01 | 019 | CWB | | MEETING WITH MR. HAYES; MEETING WITH MR. HAROLD FORD; TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. CROWELL. | 1.00 | 350.00 | 350.00 | B | 3510410 |
| 04/23/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH COMMISSIONER BREATHITT; TELEPHONE CONFERENCE WITH MR. SHAPIRO; TELEPHONE CONFERENCE WITH MR. ROBINSON; TELEPHONE CONFERENCE WITH MR. WOMACK; TELEPHONE CONFERENCE WITH MR. HAYES. | 1.00 | 350.00 | 350.00 | B | 3512810 |
| 04/24/01 | 019 | CWB | | TRAVEL TO WASHINGTON; ATTEND FORD DINNER WITH MR. HAYES, COMMISSIONER BREATHITT AND OTHERS. | 5.00 | 1,750.00 | 1,750.00 | B | 3512813 |
| 04/25/01 | 019 | CWB | | MEETING IN WASHINGTON; TELEPHONE CONFERENCE WITH MR. ROBINSON; TELEPHONE CONFERENCE WITH MR. SHAPIRO; TELEPHONE CONFERENCE WITH MR. BREATHITT. | 5.00 | 1,750.00 | 1,750.00 | B | 3512815 |
| 04/30/01 | 446 | *JW | | CONFERENCE WITH MS. HARRIS REGARDING ENRON'S RELATIONSHIP WITH TVA DISTRIBUTORS; CONFERENCE WITH MS. HIDGEN WITH ENRON REGARDING PLANS TO ATTEND THE TENNESSEE | 1.50 | 375.00 | 375.00 | B | 3517768 |

DATE RUN: 06/05/02 WYATT, TARRANT & COMBS, LLP CLIENT.MATTER NUMBER:010659.089244

| DATE | TIMEKEEPER NUM | TIMEKEEPER INIT | TASK CODE | DESCRIPTION | WORKED HOURS | STANDARD VALUE | BILLABLE AMOUNT | STAT | INDEX |
|---|---|---|---|---|---|---|---|---|---|
| | | | | VALLEY PUBLIC POWER ASSOCIATION MEETING IN NASHVILLE IN MAY; TELEPHONE CONFERENCE WITH MR. ADER AND MR. BERNSTEIN REGARDING ATTENDING THE TENNESSEE VALLEY PUBLIC POWER ASSOCIATION CONVENTION IN NASHVILLE IN MAY AND DISCUSS STRATEGY FOR MAKING A PROPOSAL TO THE NASHVILLE THERMAL TRANSFER PLANT REGARDING A REPLACEMENT FACILITY; RESEARCH ON-LINE TVPPA ORGANIZATION AND DETAILS OF TVPPA CONFERENCE. | | | | | |
| 05/08/01 | 446 | *JW | | TELEPHONE CONVERSATION WITH DAN ALLAGRETTI AND JEFF ADER REGARDING UPCOMING TENNESSEE VALLEY PUBLIC POWER ASSOCIATION CONVENTION; CONVERSATION WITH CHARLES BONE REGARDING ENRON'S INVOLVEMENT IN TVPPA CONVENTION. | .50 | 125.00 | 125.00 | B | 3527085 |
| | | | | | 765.20 | 40,221.00 | 40,175.00 | | |

*-----COST ENTRIES-----*

| DATE | CODE | DESCRIPTION | STANDARD | BILLABLE | SUM | STAT | VOUCHER | CHECK | INDEX |
|---|---|---|---|---|---|---|---|---|---|
| 07/31/00 | 130 | LONG DISTANCE TELEPHONE X740 0728 | 1.00 | 1.00 | Y | B | | | 1710331 |
| 07/31/00 | 130 | LONG DISTANCE TELEPHONE X720 06302000 | 23.72 | 23.72 | Y | B | | | 1710635 |
| 07/31/00 | 130 | LONG DISTANCE TELEPHONE X754 07122000 | .50 | .50 | Y | B | | | 1710638 |
| 07/31/00 | 130 | LONG DISTANCE TELEPHONE X740 07132000 | 1.01 | 1.01 | Y | B | | | 1710639 |
| 07/31/00 | 130 | LONG DISTANCE TELEPHONE X740 07142000 | .50 | .50 | Y | B | | | 1710643 |
| 07/31/00 | 130 | LONG DISTANCE TELEPHONE X754 07172000 | .94 | .94 | Y | B | | | 1710645 |
| 07/31/00 | 130 | LONG DISTANCE TELEPHONE X740 07192000 | 4.04 | 4.04 | Y | B | | | 1710646 |
| 07/31/00 | 130 | LONG DISTANCE TELEPHONE X740 07202000 | .50 | .50 | Y | B | | | 1710648 |
| 07/31/00 | 130 | LONG DISTANCE TELEPHONE X754 07242000 | 2.52 | 2.52 | Y | B | | | 1710649 |
| 07/31/00 | 130 | LONG DISTANCE TELEPHONE X740 07242000 | 3.53 | 3.53 | Y | B | | | 1710650 |
| 08/14/00 | 700 | TRAVEL EXPENSES - - VENDOR: CHARLES W. BONE | 1210.00 | 1210.00 | N | B | 1242173 | 173284 | 1715857 |
| 08/21/00 | 130 | LONG DISTANCE TELEPHONE X740 0803 | 2.19 | 2.19 | Y | B | | | 1719304 |
| 08/21/00 | 130 | LONG DISTANCE TELEPHONE X740 0804 | .50 | .50 | Y | B | | | 1719334 |
| 08/21/00 | 130 | LONG DISTANCE TELEPHONE X754 0808 | .94 | .94 | Y | B | | | 1719367 |
| 08/21/00 | 700 | TRAVEL EXPENSES - - VENDOR: CHARLES W. BONE | 1324.29 | 1324.29 | N | B | 1243006 | 173577 | 1719402 |
| 08/23/00 | 130 | LONG DISTANCE TELEPHONE X740 0810 | 1.51 | 1.51 | Y | B | | | 1720123 |
| 08/23/00 | 130 | LONG DISTANCE TELEPHONE X754 0810 | .22 | .22 | Y | B | | | 1720124 |
| 08/25/00 | 130 | LONG DISTANCE TELEPHONE X754 0822 | 1.45 | 1.45 | Y | B | | | 1723197 |
| 08/28/00 | 130 | LONG DISTANCE TELEPHONE X754 0822 | 1.23 | 1.23 | Y | B | | | 1725059 |
| 08/28/00 | 130 | LONG DISTANCE TELEPHONE X740 0822 | 9.09 | 9.09 | Y | B | | | 1725061 |
| 08/28/00 | 130 | LONG DISTANCE TELEPHONE X740 0823 | 14.64 | 14.64 | Y | B | | | 1725069 |
| 08/30/00 | 130 | LONG DISTANCE TELEPHONE X740 08282000 | 1.51 | 1.51 | Y | B | | | 1727377 |
| 08/31/00 | 130 | LONG DISTANCE TELEPHONE X754 0828 | 3.42 | 3.42 | Y | B | | | 1729227 |
| 08/31/00 | 130 | LONG DISTANCE TELEPHONE X754 0829 | 2.69 | 2.69 | Y | B | | | 1729251 |
| 09/21/00 | 130 | LONG DISTANCE TELEPHONE X754 091200 | .22 | .22 | Y | B | | | 1739191 |
| 09/21/00 | 130 | LONG DISTANCE TELEPHONE X740 091200 | .50 | .50 | Y | B | | | 1739192 |
| 09/25/00 | 130 | LONG DISTANCE TELEPHONE X754 091500 | 3.53 | 3.53 | Y | B | | | 1742555 |
| 09/28/00 | 130 | LONG DISTANCE TELEPHONE X540 0921 | 2.52 | 2.52 | Y | B | | | 1747104 |
| 10/24/00 | 130 | LONG DISTANCE TELEPHONE X740 0929 | 1.00 | 1.00 | Y | B | | | 1760373 |
| 10/25/00 | 130 | LONG DISTANCE TELEPHONE X754 0926 | 1.01 | 1.01 | Y | B | | | 1761449 |

DATE RUN: 06/05/02 WYATT, TARRANT & COMBS, LLP

PROFORMA STATEMENT AS OF 06/04/02

PROFORMA NUMBER: CLIENT.MATTER NUMBER:010859.089244 PROFORMA BATCH:

| | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| 10/25/00 | 130 | LONG DISTANCE TELEPHONE X754 0922 | .50 | .50 | Y | B | | | | 1761460 |
| 10/25/00 | 130 | LONG DISTANCE TELEPHONE X754 0925 | 1.01 | 1.01 | Y | B | | | | 1761466 |
| 10/25/00 | 130 | LONG DISTANCE TELEPHONE X740 0925 | 5.05 | 5.05 | Y | B | | | | 1761472 |
| 10/25/00 | 130 | LONG DISTANCE TELEPHONE X754 0920 | 6.55 | 6.55 | Y | B | | | | 1761482 |
| 10/25/00 | 130 | LONG DISTANCE TELEPHONE X740 1010 | 5.55 | 5.55 | Y | B | | | | 1761575 |
| 10/25/00 | 130 | LONG DISTANCE TELEPHONE X740 1013 | 1.52 | 1.52 | Y | B | | | | 1761609 |
| 10/25/00 | 130 | LONG DISTANCE TELEPHONE X754 1023 | .50 | .50 | Y | B | | | | 1761745 |
| 10/26/00 | 700 | TRAVEL EXPENSES - - VENDOR: CHARLES W. BONE | 42.00 | 42.00 | N | B | 1250412 | 176343 | | 1762048 |
| 10/27/00 | 130 | LONG DISTANCE TELEPHONE X720 1023 | 1.23 | 1.23 | Y | B | | | | 1763682 |
| 10/27/00 | 130 | LONG DISTANCE TELEPHONE X720 1023 | .44 | .44 | Y | B | | | | 1763684 |
| 10/27/00 | 130 | LONG DISTANCE TELEPHONE X740 0926 | 5.20 | 5.20 | Y | B | | | | 1763712 |
| 11/06/00 | 130 | LONG DISTANCE TELEPHONE X754 1016 | .44 | .44 | Y | B | | | | 1766420 |
| 11/06/00 | 130 | LONG DISTANCE TELEPHONE X754 1024 | 12.61 | 12.61 | Y | B | | | | 1766438 |
| 11/06/00 | 570 | MEAL EXPENSES - VENDOR: TRACE BLANKENSHIP | 179.33 | 179.33 | N | B | 1251428 | 176774 | | 1766484 |
| 11/16/00 | 130 | LONG DISTANCE TELEPHONE X754 1106 | 2.02 | 2.02 | Y | B | | | | 1776406 |
| 11/16/00 | 130 | LONG DISTANCE TELEPHONE X740 1113 | 5.43 | 5.43 | Y | B | | | | 1776505 |
| 11/22/00 | 700 | TRAVEL EXPENSES - - VENDOR: ADDISON MCKINLEY, LLC | 1100.00 | 1100.00 | N | B | 1253575 | 177704 | | 1779501 |
| 11/27/00 | 130 | LONG DISTANCE TELEPHONE X754 1117 | 1.00 | 1.00 | Y | B | | | | 1780011 |
| 11/29/00 | 130 | LONG DISTANCE TELEPHONE X740 | 7.07 | 7.07 | Y | B | | | | 1781546 |
| 11/29/00 | 130 | LONG DISTANCE TELEPHONE X740 1115 | .50 | .50 | Y | B | | | | 1781547 |
| 11/29/00 | 130 | LONG DISTANCE TELEPHONE X700 1115 | 30.29 | 30.29 | Y | B | | | | 1781549 |
| 11/29/00 | 130 | LONG DISTANCE TELEPHONE X740 1122 | 2.02 | 2.02 | Y | B | | | | 1781559 |
| 11/30/00 | 150 | OUTSIDE DELIVERY COSTS X740 1127 | 4.03 | 4.03 | Y | B | | | | 1782801 |
| 11/30/00 | 150 | OUTSIDE DELIVERY COSTS X740 1128 | 5.03 | 5.03 | Y | B | | | | 1782806 |
| 11/30/00 | 150 | OUTSIDE DELIVERY COSTS X740 1129 | .50 | .50 | Y | B | | | | 1782820 |
| 11/30/00 | 150 | OUTSIDE DELIVERY COSTS X754 1129 | 3.69 | 3.69 | Y | B | | | | 1782823 |
| 11/30/00 | 130 | LONG DISTANCE TELEPHONE X754 091300 | 3.56 | 3.56 | Y | B | | | | 1782841 |
| 11/30/00 | 130 | LONG DISTANCE TELEPHONE X540 091300 | 3.28 | 3.28 | Y | B | | | | 1782843 |
| 11/30/00 | 130 | LONG DISTANCE TELEPHONE X754 091400 | 2.11 | 2.11 | Y | B | | | | 1782845 |
| 11/30/00 | 130 | LONG DISTANCE TELEPHONE X740 091400 | 6.06 | 6.06 | Y | B | | | | 1782848 |
| 12/29/00 | 700 | TRAVEL EXPENSES - - VENDOR: CHARLES W. BONE | 795.33 | 795.33 | N | B | 1257325 | 179189 | | 1797153 |
| 12/29/00 | 570 | MEAL EXPENSES - - VENDOR: CHARLES W. BONE | 9.50 | 9.50 | N | B | 1257325 | 179189 | | 1797154 |
| 12/29/00 | 582 | MILEAGE EXPENSES - - VENDOR: CHARLES W. BONE | 120.90 | 120.90 | Y | B | 1257325 | 179189 | | 1797155 |
| 12/29/00 | 130 | LONG DISTANCE TELEPHONE X740 1213 | 1.01 | 1.01 | Y | B | | | | 1797844 |
| 12/29/00 | 130 | LONG DISTANCE TELEPHONE X740 1208 | .10 | .10 | Y | B | | | | 1797866 |
| 12/29/00 | 130 | LONG DISTANCE TELEPHONE X754 1211 | .50 | .50 | Y | B | | | | 1797874 |
| 12/29/00 | 130 | LONG DISTANCE TELEPHONE X740 1211 | 1.01 | 1.01 | Y | B | | | | 1797878 |
| 12/29/00 | 130 | LONG DISTANCE TELEPHONE X740 1129 | .50 | .50 | Y | B | | | | 1797879 |
| 12/29/00 | 130 | LONG DISTANCE TELEPHONE X754 1129 | .66 | .66 | Y | B | | | | 1797882 |
| 12/29/00 | 130 | LONG DISTANCE TELEPHONE X744 1201 | 6.93 | 6.93 | Y | B | | | | 1797887 |
| 12/29/00 | 130 | LONG DISTANCE TELEPHONE X740 1215 | 1.23 | 1.23 | Y | B | | | | 1797892 |
| 12/29/00 | 130 | LONG DISTANCE TELEPHONE X754 1215 | 1.45 | 1.45 | Y | B | | | | 1797896 |
| 12/29/00 | 130 | LONG DISTANCE TELEPHONE X740 1214 | 2.01 | 2.01 | Y | B | | | | 1797906 |
| 12/29/00 | 130 | LONG DISTANCE TELEPHONE X754 1214 | 2.41 | 2.41 | Y | B | | | | 1797910 |
| 12/29/00 | 130 | LONG DISTANCE TELEPHONE X744 1201 | 2.16 | 2.16 | Y | B | | | | 1824767 |
| 01/19/01 | 130 | LONG DISTANCE TELEPHONE X740 0112 | 1.95 | 1.95 | Y | B | | | | 1809544 |
| 01/19/01 | 130 | LONG DISTANCE TELEPHONE X754 0112 | 2.01 | 2.01 | Y | B | | | | 1809548 |
| 01/23/01 | 130 | LONG DISTANCE TELEPHONE X720 0117 | 1.00 | 1.00 | Y | B | | | | 1810550 |
| 01/31/01 | 150 | OUTSIDE DELIVERY COSTS FEDEX / R. SHAPIRO 0131 | 10.53 | 10.53 | Y | B | | | | 1816409 |
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X754 0118 | 1.51 | 1.51 | Y | B | | | | 1839081 |
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X754 0119 | .22 | .22 | Y | B | | | | 1839084 |
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X754 0124 | 1.01 | 1.01 | Y | B | | | | 1839088 |
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X754 0125 | .72 | .72 | Y | B | | | | 1839090 |

DATE RUN: 06/05/02 WYATT, TARRANT & COMBS, LLP

PROFORMA STATEMENT AS OF 06/04/02

PROFORMA NUMBER: CLIENT.MATTER NUMBER:010859.089244 PROFORMA BATCH:

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X720 0124 | 16.65 | 16.65 | Y | B | | | 1839092 |
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X540 0126, 0127 | 11.09 | 11.09 | Y | B | | | 1839094 |
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X740 0129 | .66 | .66 | Y | B | | | 1839096 |
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X740 0130 | .50 | .50 | Y | B | | | 1839102 |
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X540 0131 | 1.51 | 1.51 | Y | B | | | 1839103 |
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X740 0131 | 1.01 | 1.01 | Y | B | | | 1839108 |
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X740 0206 | 1.23 | 1.23 | Y | B | | | 1839112 |
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X540 0206 | 3.18 | 3.18 | Y | B | | | 1839116 |
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X754 0206 | 1.88 | 1.88 | Y | B | | | 1839119 |
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X754 0207 | 6.23 | 6.23 | Y | B | | | 1839125 |
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X754 0208 | 1.51 | 1.51 | Y | B | | | 1839131 |
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X754 0214 | 1.01 | 1.01 | Y | B | | | 1839145 |
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X740 0214 | 1.11 | 1.11 | Y | B | | | 1839168 |
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X740 0215 | 3.76 | 3.76 | Y | B | | | 1839173 |
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X540 0215 | 5.54 | 5.54 | Y | B | | | 1839174 |
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X740 0216, 0217, 0219 | 8.07 | 8.07 | Y | B | | | 1839180 |
| 03/16/01 | 130 | LONG DISTANCE TELEPHONE X740 0126 | 3.02 | 3.02 | Y | B | | | 1839196 |
| 03/19/01 | 130 | LONG DISTANCE TELEPHONE X754 0313 | .50 | .50 | Y | B | | | 1840256 |
| 03/23/01 | 130 | LONG DISTANCE TELEPHONE X754 0319 | 2.62 | 2.62 | Y | B | | | 1843203 |
| 03/23/01 | 130 | LONG DISTANCE TELEPHONE X740 0315 | 3.69 | 3.69 | Y | B | | | 1843215 |
| 03/23/01 | 130 | LONG DISTANCE TELEPHONE X754 0315 | 6.74 | 6.74 | Y | B | | | 1843217 |
| 03/23/01 | 130 | LONG DISTANCE TELEPHONE X740 0321 | 2.02 | 2.02 | Y | B | | | 1843247 |
| 03/23/01 | 130 | LONG DISTANCE TELEPHONE X754 0321 | 1.51 | 1.51 | Y | B | | | 1843249 |
| 03/30/01 | 130 | LONG DISTANCE TELEPHONE X740 0322 | .50 | .50 | Y | B | | | 1848574 |
| 04/03/01 | 130 | LONG DISTANCE TELEPHONE X720 3027 | 14.64 | 14.64 | Y | B | | | 1849015 |
| 04/06/01 | 660 | PROFESSIONAL SERVICES - - VENDOR: SIDEVIEW PARTNERS, INC. | 100000.00 | 100000.00 | N | B | 1269638 | 183679 | 1852746 |
| 04/11/01 | 150 | OUTSIDE DELIVERY COSTS FEDEX CWB/FEDERAL ENERGY REGULATORY 0404 | 10.72 | 10.72 | Y | B | | | 1855595 |
| 04/16/01 | 130 | LONG DISTANCE TELEPHONE X740 0328 | .44 | .44 | Y | B | | | 1856797 |
| 04/16/01 | 130 | LONG DISTANCE TELEPHONE X740 0329 | 1.01 | 1.01 | Y | B | | | 1856811 |
| 04/16/01 | 130 | LONG DISTANCE TELEPHONE X754 0329 | 1.01 | 1.01 | Y | B | | | 1856823 |
| 04/16/01 | 130 | LONG DISTANCE TELEPHONE X740 0330 | 4.03 | 4.03 | Y | B | | | 1856855 |
| 04/16/01 | 130 | LONG DISTANCE TELEPHONE X754 0402 | 1.51 | 1.51 | Y | B | | | 1856912 |
| 04/16/01 | 130 | LONG DISTANCE TELEPHONE X754 0404 | 2.52 | 2.52 | Y | B | | | 1856987 |
| 04/16/01 | 130 | LONG DISTANCE TELEPHONE X676 0405 | .50 | .50 | Y | B | | | 1856992 |
| 04/16/01 | 130 | LONG DISTANCE TELEPHONE X716 0405 | 3.03 | 3.03 | Y | B | | | 1857000 |
| 04/16/01 | 130 | LONG DISTANCE TELEPHONE X754 0405 | .63 | .63 | Y | B | | | 1857010 |
| 04/16/01 | 130 | LONG DISTANCE TELEPHONE X740 0406 | 1.97 | 1.97 | Y | B | | | 1857019 |
| 04/16/01 | 130 | LONG DISTANCE TELEPHONE X740 0406 | 7.56 | 7.56 | Y | B | | | 1857044 |
| 04/16/01 | 130 | LONG DISTANCE TELEPHONE X754 0406 | 1.73 | 1.73 | Y | B | | | 1857048 |
| 04/18/01 | 130 | LONG DISTANCE TELEPHONE X716 0315 | .50 | .50 | Y | B | | | 1858722 |
| 04/18/01 | 130 | LONG DISTANCE TELEPHONE X716 0406 | .50 | .50 | Y | B | | | 1858730 |
| 04/18/01 | 130 | LONG DISTANCE TELEPHONE X740 0409 | .44 | .44 | Y | B | | | 1858739 |
| 04/18/01 | 130 | LONG DISTANCE TELEPHONE X740 0409 | .31 | .31 | Y | B | | | 1858742 |
| 04/18/01 | 130 | LONG DISTANCE TELEPHONE X740 0410 | 10.10 | 10.10 | Y | B | | | 1858767 |
| 04/18/01 | 130 | LONG DISTANCE TELEPHONE X540 0411 | 1.23 | 1.23 | Y | B | | | 1858770 |
| 04/18/01 | 130 | LONG DISTANCE TELEPHONE X740 0411 | .66 | .66 | Y | B | | | 1858791 |
| 04/20/01 | 130 | LONG DISTANCE TELEPHONE X740 0413 | 6.16 | 6.16 | Y | B | | | 1859525 |
| 04/20/01 | 130 | LONG DISTANCE TELEPHONE X740 0416 | 1.31 | 1.31 | Y | B | | | 1859557 |
| 04/26/01 | 130 | LONG DISTANCE TELEPHONE X740 0412 | 21.72 | 21.72 | Y | B | | | 1863403 |
| 04/26/01 | 130 | LONG DISTANCE TELEPHONE X754 0419 | 1.66 | 1.66 | Y | B | | | 1863432 |
| 04/30/01 | 130 | LONG DISTANCE TELEPHONE X740 0420 | 1.51 | 1.51 | Y | B | | | 1864312 |
| 04/30/01 | 130 | LONG DISTANCE TELEPHONE X540 4024 | 6.65 | 6.65 | Y | B | | | 1864325 |

DATE RUN: 06/05/02 WYATT, TARRANT & COMBS, LLP

PROFORMA STATEMENT AS OF 06/04/02

PROFORMA NUMBER: CLIENT.MATTER NUMBER:010859.089244 PROFORMA BATCH:

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| 04/30/01 | 130 | LONG DISTANCE TELEPHONE X754 0424 | 16.11 | 16.11 | Y | B | | 1864335 |
| 04/30/01 | 130 | LONG DISTANCE TELEPHONE X754 0425 | 17.75 | 17.75 | Y | B | | 1864346 |
| 04/30/01 | 130 | LONG DISTANCE TELEPHONE X540 0423 | 1.01 | 1.01 | Y | B | | 1864609 |
| 04/30/01 | 130 | LONG DISTANCE TELEPHONE X740 0423 | 10.09 | 10.09 | Y | B | | 1864612 |
| 04/30/01 | 130 | LONG DISTANCE TELEPHONE X754 0423 | 3.02 | 3.02 | Y | B | | 1864614 |
| 04/30/01 | 130 | LONG DISTANCE TELEPHONE X740 0424 | 1.00 | 1.00 | Y | B | | 1864617 |
| 05/03/01 | 170 | PHOTOCOPIES 676 24 | 2.88 | 2.88 | Y | B | | 1869923 |
| 05/07/01 | 130 | LONG DISTANCE TELEPHONE X716 0426 | .44 | .44 | Y | B | | 1870078 |
| 05/07/01 | 130 | LONG DISTANCE TELEPHONE X716 0430 | 6.05 | 6.05 | Y | B | | 1870096 |
| 05/11/01 | 130 | LONG DISTANCE TELEPHONE X716 0427 | 1.51 | 1.51 | Y | B | | 1874438 |
| 05/11/01 | 130 | LONG DISTANCE TELEPHONE X720 0427 | 6.56 | 6.56 | Y | B | | 1874446 |
| 05/11/01 | 130 | LONG DISTANCE TELEPHONE X754 0427 | .66 | .66 | Y | B | | 1874457 |
| 05/11/01 | 130 | LONG DISTANCE TELEPHONE X740 0430 | 3.05 | 3.05 | Y | B | | 1874472 |
| 05/11/01 | 130 | LONG DISTANCE TELEPHONE X754 0501 | 2.52 | 2.52 | Y | B | | 1874490 |
| 05/11/01 | 130 | LONG DISTANCE TELEPHONE X740 0502 | 3.94 | 3.94 | Y | B | | 1874519 |
| 05/11/01 | 130 | LONG DISTANCE TELEPHONE X540 0503 | 3.06 | 3.06 | Y | B | | 1874530 |
| 05/11/01 | 130 | LONG DISTANCE TELEPHONE X740 0504 | 1.51 | 1.51 | Y | B | | 1874576 |
| 05/16/01 | 130 | LONG DISTANCE TELEPHONE X740 0508 | 9.09 | 9.09 | Y | B | | 1876711 |
| 05/16/01 | 130 | LONG DISTANCE TELEPHONE X754 0508 | .50 | .50 | Y | B | | 1876713 |
| 05/17/01 | 150 | OUTSIDE DELIVERY COSTS FEDEX CWB/SECRETARY OF SENOTE 0430 | 10.32 | 10.32 | Y | B | | 1877545 |
| 05/17/01 | 150 | OUTSIDE DELIVERY COSTS FEDEX CWB/CLERK OF THE HOUSE OF REPRESENTATIVES 0430 | 10.32 | 10.32 | Y | B | | 1877546 |
| 05/18/01 | 130 | LONG DISTANCE TELEPHONE X754 0514 | .50 | .50 | Y | B | | 1877942 |
| 05/31/01 | 130 | LONG DISTANCE TELEPHONE X716 0510 | 9.08 | 9.08 | Y | B | | 1887546 |
| 05/31/01 | 130 | LONG DISTANCE TELEPHONE X754 0516 | 4.32 | 4.32 | Y | B | | 1887680 |
| 05/31/01 | 130 | LONG DISTANCE TELEPHONE X720 0517 | 1.51 | 1.51 | Y | B | | 1887691 |
| 12/19/01 | 130 | LONG DISTANCE TELEPHONE X754 1203 | 3.32 | .00 | Y | BNC | | 2049172 |
| | | | 105343.60 | 105340.28 | | | | |
| | | | | .00 | HELD AMOUNT | | | |

TIME VALUE FOR THE MATTER AFTER THE CUTOFF DATE . . . . . .00

COST VALUE FOR THE MATTER AFTER THE CUTOFF DATE . . . . . .00

TIME AND FEE SUMMARY

| TIMEKEEPER | WORKED HOURS | STANDARD VALUE | BILLABLE HOURS | BILLABLE AMOUNT | BILLING RATE |
|---|---|---|---|---|---|
| ANSEL L. DAVIS | .20 | 46.00 | .00 | .00 | 230.00 |
| CHARLES W. BONE | 53.50 | 16,050.00 | 53.50 | 16,050.00 | 300.00 |
| CHARLES W. BONE | 67.50 | 23,625.00 | 67.50 | 23,625.00 | 350.00 |
| JAY WEST | 2.00 | 500.00 | 2.00 | 500.00 | 250.00 |
| | 123.20 | 40,221.00 | 123.00 | 40,175.00 | |

TASK CODE SUMMARY

DATE RUN: 06/05/02

WYATT, TARRANT & COMBS, LLP
PROFORMA STATEMENT AS OF 06/04/02
CLIENT.MATTER NUMBER:010859.089244

PROFORMA NUMBER:

PROFORMA BATCH:

| TASK CODE | DESCRIPTION | WORKED HOURS | STANDARD VALUE | BILLABLE HOURS | BILLABLE AMOUNT |
|---|---|---|---|---|---|
| | | 123.20 | 40,221.00 | 123.00 | 40,175.00 |
| | | 123.20 | 40,221.00 | 123.00 | 40,175.00 |

| *---------COST CODE SUMMARY----------* | | | |
|---|---|---|---|
| *---------COST CODE----------* | | STANDARD | BILLABLE |
| 130 | LONG DISTANCE TELEPHONE | 504.23 | 500.91 |
| 150 | OUTSIDE DELIVERY COSTS | 55.14 | 55.14 |
| 170 | PHOTOCOPIES | 2.88 | 2.88 |
| 570 | MEAL EXPENSES | 188.83 | 188.83 |
| 582 | MILEAGE EXPENSES | 120.90 | 120.90 |
| 660 | PROFESSIONAL SERVICES | 100000.00 | 100000.00 |
| 700 | TRAVEL EXPENSES | 4471.62 | 4471.62 |
| | | 105343.60 | 105340.28 |
| | | | .00 HELD AMOUNT |

BALANCE DUE FROM PREVIOUS STATEMENT . . . . . (225000.00)
LESS PAYMENT(S) . . . . . .00

BALANCE FORWARD . . . . . (225000.00)
OF WHICH 225000.00 IS UNALLOCATED . . . . .

TIMECARD SUB-TOTAL ( 765.20) . . . . . 260903.37
DISBURSEMENT SUB-TOTAL . . . . . 105340.28
SUBTOTAL CURRENT PERIOD . . . . . 366243.65
TOTAL DUE . . . . . 141243.65

AGED ACCOUNTS RECEIVABLE:
.00 (0-30) .00 (31-60) .00 (61-90) .00 (91-120) (225,000.00) (120+)

LEDGER SUMMARY: ALL OPEN INVOICES AND INVOICES SINCE 06/01/2001

| INVOICE | DATE | FEES | COSTS | OTHER | PAYMENT | DATE | FEES | COSTS | OTHER | BALANCE |
|---|---|---|---|---|---|---|---|---|---|---|
| 461874 | 06/27/01 | 500.00 | 77.82 | .00 | PAYMEMP | 06/28/01 | 500.00 | 77.82 | .00 | .00 |
| 461874 | 06/27/01 | 500.00 | 77.82 | .00 | RET | 02/06/01 | UNALLOCATED | | 225000.00 | -225000.00 |

( ) BILL COSTS AND FEES
( ) BILL FEES ONLY
( ) BILL COSTS ONLY
( ) DO NOT BILL
( ) CLOSE FILE
( ) FINAL BILL

DATE RUN: 06/05/02 — WYATT, TARRANT & COMBS, LLP
PROFORMA STATEMENT AS OF 06/04/02
PROFORMA NUMBER: — CLIENT.MATTER NUMBER:010859.089587 — PROFORMA BATCH:

*-----MATTER DESCRIPTION-----*
DOE AND FERC POLICY ISSUES

*-----BILLING INSTRUCTIONS-----*
RETAINER OF $50,000.

| *-----CLIENT INFORMATION-----* | --CLIENT NUMBER-- | --ORIGINATING-- | --BILLING-- | --SUPERVISING-- |
|---|---|---|---|---|
| ENRON CORP. AND ENRON POWER MARKETING, INC. | 010859 | 019 CWB<br>C BONE | 019 CWB<br>C BONE | 019 CWB<br>C BONE |

*-----CLIENT ADDRESS-----*
ENRON CORP. AND ENRON POWER MARKETING, INC.
BARBARA HUETER
1400 SMITH STREET
P.O. BOX 1188
HOUSTON, TEXAS 77251-1188
*-----MATTER INFORMATION-----*
PHONE:
REFERRED BY: BONE

*-----MATTER ADDRESS-----*
ENRON CORPORATION
ATTN: RICK SHAPIRO
1400 SMITH STREET
HOUSTON, TEXAS 77002-7361

PHONE:
CONTACT: RICK SHAPIRO

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| STATUS: | OP | RATE: | 1 | TEMPLATE: | 52 | MIN FEE: | |
| DATE OPENED: | 08/25/2000 | DEPT: | 0 | TIME FORMAT: | 35 | MIN COST: | |
| DATE CLOSED: | | LOCATION: | 51 | COST FORMAT: | 5 | MIN TOTAL: | |
| LAST RATE: | 08/25/2000 | PRACTICE: | 16 | INTRST CODE: | 0 | FEE MARKUP: | % |
| HOLD FEES: | | FEE FREQ: | M | INT FREE DAY: | | COST MARKUP: | % |
| HOLD COSTS: | | COST FREQ: | M | FIXED COSTS: | N | FIXED FEES: | |
| TRUST RET ACCT: | | TRUST RETAIN: | | ARRANGEMENT: | M | CURRENCY: | USD |
| | | | | | | MAXIMUM BILLINGS: | |

No Department Data for this Matter.

Last Bill Date: 06/27/2001

| DATE | TIMEKEEPER NUM | TIMEKEEPER INIT | TASK CODE | DESCRIPTION | WORKED HOURS | STANDARD VALUE | BILLABLE AMOUNT | STAT | INDEX |
|---|---|---|---|---|---|---|---|---|---|
| 08/14/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO; MEETING WITH MR. HAYES. | 1.00 | 300.00 | 300.00 | B | 3189764 |
| 08/15/00 | 019 | CWB | | MEETING AND LUNCH WITH MR. HAYES, MR. SHAPIRO AND MS. HUETER; TELEPHONE CONFERENCE WITH MR. SHAPIRO AND MR. KEAN. | 2.50 | 750.00 | 750.00 | B | 3189765 |
| 08/17/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO; TELEPHONE CONFERENCE WITH MR. BREATHITT. | 1.00 | 300.00 | 300.00 | B | 3189766 |
| 08/22/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. BREATHITT AND MR. SHAPIRO. | .50 | 150.00 | 150.00 | B | 3189774 |
| 08/23/00 | 019 | CWB | | MEETING WITH MS. BREATHITT; LUNCH WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. SHAPIRO AND MR. KEAN. | 3.00 | 900.00 | 900.00 | B | 3189776 |

DATE RUN: 06/05/02 WYATT, TARRANT & COMBS, LLP CLIENT.MATTER NUMBER:010859.089587

| DATE | TIMEKEEPER NUM | TIMEKEEPER INIT | TASK CODE | DESCRIPTION | WORKED HOURS | STANDARD VALUE | BILLABLE AMOUNT | STAT | INDEX |
|---|---|---|---|---|---|---|---|---|---|
| 08/24/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES, MS. AMY HAYES AND MS. BREATHITT. | 1.00 | 300.00 | 300.00 | B | 3189781 |
| 08/25/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO; WORK ON SCHEDULES. | .50 | 150.00 | 150.00 | B | 3189783 |
| 08/28/00 | 019 | CWB | | WORK ON SCHEDULING; TELEPHONE CONFERENCE WITH MR. SHAPIRO. | .50 | 150.00 | 150.00 | B | 3198487 |
| 08/31/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO, MR. BREATHITT AND MR. HAYES. | 1.00 | 300.00 | 300.00 | B | 3198489 |
| 09/04/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO AND MR. HAYES. | .50 | 150.00 | 150.00 | B | 3207246 |
| 09/05/00 | 019 | CWB | | TRAVEL TO LOUISVILLE FOR FOCUS GROUP; DINNER WITH MR. SHAPIRO; OVERNITE IN LOUISVILLE. | 10.00 | 3,000.00 | 3,000.00 | B | 3207250 |
| 09/06/00 | 019 | CWB | | TRAVEL TO WASHINGTON; ATTEND ENRON MEETINGS AND DINNER. | 10.00 | 3,000.00 | 3,000.00 | B | 3207252 |
| 09/07/00 | 019 | CWB | | MEETING AT FERC; LUNCH WITH MR. SHAPIRO AND MR. BALDICK; TRAVEL TO NASHVILLE. | 9.00 | 2,700.00 | 2,700.00 | B | 3207253 |
| 09/08/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HUETER; TELEPHONE CONFERENCE WITH MR. BREATHITT; TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. SHAPIRO. | 1.00 | 300.00 | 300.00 | B | 3207256 |
| 09/11/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. BREATHITT, | .50 | 150.00 | 150.00 | B | 3217719 |
| 09/19/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. BREATHITT AND MR. SHAPIRO; TELEPHONE CONFERENCE WITH MR. DYER. | 1.00 | 300.00 | 300.00 | B | 3226564 |
| 09/27/00 | 019 | CWB | | TRAVEL TO WASHINGTON FOR FERC MEETING. | 6.00 | 1,800.00 | 1,800.00 | B | 3238857 |
| 09/28/00 | 019 | CWB | | MEETING WITH MR. SHAPIRO AND MR. KEAN IN WASHINGTON AT ENRON; LUNCH WITH COMMISSIONER BREATHITT AND MR. HAYES; RETURN TO NASHVILLE. | 8.00 | 2,400.00 | 2,400.00 | B | 3238860 |

DATE RUN: 06/05/02 WYATT, TARRANT & COMBS, LLP CLIENT.MATTER NUMBER:010859.089587

| DATE | TIMEKEEPER NUM | TIMEKEEPER INIT | TASK CODE | DESCRIPTION | WORKED HOURS | STANDARD VALUE | BILLABLE AMOUNT | STAT | INDEX |
|---|---|---|---|---|---|---|---|---|---|
| 09/29/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH COMMISSIONER BREATHITT, MR. HAYES AND MR. SHAPIRO. | 1.00 | 300.00 | 300.00 | B | 3241217 |
| 09/30/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES AND COMMISSIONER BREATHITT. | 1.00 | 300.00 | 300.00 | B | 3241219 |
| 10/18/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. DELAINEY; CALL FROM MR. CROWELL; TELEPHONE CONFERENCE WITH KATHY AT TVA; TELEPHONE CONFERENCE WITH COMMISSIONER BREATHITT. | .50 | 175.00 | 175.00 | B | 3263529 |
| 10/19/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. DELAINEY, COMMISSIONER BREATHITT AND MR. HAYES. | .50 | 175.00 | 175.00 | B | 3263530 |
| 10/26/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO AND COMMISSIONER BREATHITT. | 1.00 | 350.00 | 350.00 | B | 3270642 |
| 10/27/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO. | 1.00 | 350.00 | 350.00 | B | 3270645 |
| 10/30/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO AND COMMISSIONER BREATHITT. | 1.00 | 350.00 | 350.00 | B | 3275351 |
| 10/31/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO AND COMMISSIONER BREATHITT. | 1.00 | 350.00 | 350.00 | B | 3275354 |
| 11/07/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MS. BREATHITT AND GOV. BREATHITT; TELEPHONE CONFERENCE AND MEETING WITH MR. SHAPIRO AND OTHERS. | 1.00 | 350.00 | 350.00 | B | 3290029 |
| 11/16/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH COMMISSIONER BREATHITT; TELEPHONE CONFERENCE WITH MR. SHAPIRO. | .50 | 175.00 | 175.00 | B | 3299180 |
| 11/27/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO AND MR. BREATHITT. | .50 | 175.00 | 175.00 | B | 3326563 |
| 11/28/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. BREATHITT AND MR. SHAPIRO; TELEPHONE CONFERENCE WITH MR. HAYES. | 1.00 | 350.00 | 350.00 | B | 3326566 |
| 11/29/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. DELAINEY. | .25 | 87.50 | 87.50 | B | 3326570 |

DATE RUN: 06/05/02 WYATT, TARRANT & COMBS, LLP CLIENT.MATTER NUMBER:010859.089587

| DATE | TIMEKEEPER NUM | TIMEKEEPER INIT | TASK CODE | DESCRIPTION | WORKED HOURS | STANDARD VALUE | BILLABLE AMOUNT | STAT | INDEX |
|---|---|---|---|---|---|---|---|---|---|
| 11/30/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO, MR. HAYES; REVIEW E-MAIL REGARDING FERC. | .50 | 175.00 | 175.00 | B | 3326573 |
| 12/01/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO, MR. BREATHITT AND MR. HAYES. | 1.00 | 350.00 | 350.00 | B | 3333982 |
| 12/03/00 | 019 | CWB | | TRAVEL TO NEW YORK; DINNER WITH MR. SHAPIRO. | 7.00 | 2,450.00 | 2,450.00 | B | 3333983 |
| 12/04/00 | 019 | CWB | | GLOBAL UTILITIES CONFERENCE IN NEW YORK; BREAKFAST AND LUNCH MEETINGS WITH MR. SHAPIRO AND COMMISSIONER BREATHITT. | 7.00 | 2,450.00 | 2,450.00 | B | 3333985 |
| 12/05/00 | 019 | CWB | | TRAVEL FROM NEW YOR TO DC; DINNER WITH MR. HAYES AND COMMISSIONER BREATHITT. | 6.00 | 2,100.00 | 2,100.00 | B | 3333989 |
| 12/06/00 | 019 | CWB | | TRAVEL FROM DC TO NASHVILLE. | 3.00 | 1,050.00 | 1,050.00 | B | 3336088 |
| 12/08/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO TELEPHONE CONFERENCE WITH COMMISSIONER BREATHITT. | 1.00 | 350.00 | 350.00 | B | 3336331 |
| 12/13/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. BREATHITT, MR. SHAPIRO AND MR. HAYES. | 1.00 | 350.00 | 350.00 | B | 3348554 |
| 12/14/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO AND MR. BREATHITT. | 1.00 | 350.00 | 350.00 | B | 3348557 |
| 12/15/00 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. BREATHITT, MR. HAYES, MR. CROWELL AND MR. SHAPIRO. | 1.00 | 350.00 | 350.00 | B | 3348558 |
| 01/09/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO; TELEPHONE CONFERENCE WITH MS. BREATHITT. | .50 | 175.00 | 175.00 | B | 3383772 |
| 05/31/01 | 019 | CWB | | ALL DAY TRIP TO HOUSTON WITH MR. HAYES; TELEPHONE CONFERENCE WITH COMMISSIONER BREATHITT. | 10.00 | 3,500.00 | 3,500.00 | B | 3581315 |
| | | | | | 113.22 | 34,237.50 | 34,237.50 | | |

*-----COST ENTRIES-----*

DATE RUN: 06/05/02 WYATT, TARRANT & COMBS, LLP

PROFORMA STATEMENT AS OF 06/04/02

PROFORMA NUMBER: CLIENT.MATTER NUMBER:010859.089587 PROFORMA BATCH:

| DATE | CODE | DESCRIPTION | STANDARD | BILLABLE | SUM | STAT | VOUCHER | CHECK | INDEX |
|---|---|---|---|---|---|---|---|---|---|
| 08/30/00 | 130 | LONG DISTANCE TELEPHONE X740 08242000 | 5.54 | 5.54 | Y | B | | | 1727350 |
| 08/31/00 | 130 | LONG DISTANCE TELEPHONE X754 0828 | 4.03 | 4.03 | Y | B | | | 1729228 |
| 11/06/00 | 130 | LONG DISTANCE TELEPHONE X740 1018 | 8.07 | 8.07 | Y | B | | | 1766431 |
| 11/06/00 | 130 | LONG DISTANCE TELEPHONE X740 1019 | .50 | .50 | Y | B | | | 1766432 |
| 12/01/00 | 700 | TRAVEL EXPENSES - - VENDOR: CHARLES W. BONE | 1775.42 | 1775.42 | N | B | 1254427 | 178024 | 1782728 |
| 12/01/00 | 570 | MEAL EXPENSES - - VENDOR: CHARLES W. BONE | 79.50 | 79.50 | N | B | 1254427 | 178024 | 1782729 |
| 12/21/00 | 700 | TRAVEL EXPENSES - - VENDOR: CHARLES W. BONE | 1358.57 | 1358.57 | N | B | 1256728 | 178905 | 1794397 |
| 12/21/00 | 570 | MEAL EXPENSES - - VENDOR: CHARLES W. BONE | 41.90 | 41.90 | N | B | 1256728 | 178905 | 1794398 |
| 12/29/00 | 130 | LONG DISTANCE TELEPHONE X740 1211 | 6.55 | 6.55 | Y | B | | | 1797876 |
| 12/29/00 | 130 | LONG DISTANCE TELEPHONE X754 1129 | 3.03 | 3.03 | Y | B | | | 1797881 |
| 01/04/01 | 700 | TRAVEL EXPENSES - VENDOR: CHARLES W. BONE | 3515.97 | 3515.97 | N | B | 1257775 | 179335 | 1798539 |
| 01/04/01 | 570 | MEAL EXPENSES - VENDOR: CHARLES W. BONE | 576.39 | 576.39 | N | B | 1257775 | 179335 | 1798540 |
| 03/22/01 | 570 | MEAL EXPENSES - VENDOR: CHARLES W. BONE | 374.38 | 374.38 | N | B | 1267770 | 182996 | 1842492 |
| 03/22/01 | 700 | TRAVEL EXPENSES - VENDOR: CHARLES W. BONE | 1155.85 | 1155.85 | N | B | 1267770 | 182996 | 1842493 |
| | | | 8905.70 | 8905.70 | | | | | |
| | | | | .00 | HELD AMOUNT | | | | |

TIME VALUE FOR THE MATTER AFTER THE CUTOFF DATE . . . . . .00

COST VALUE FOR THE MATTER AFTER THE CUTOFF DATE . . . . . .00

TIME AND FEE SUMMARY

| TIMEKEEPER | WORKED HOURS | STANDARD VALUE | BILLABLE HOURS | BILLABLE AMOUNT | BILLING RATE |
|---|---|---|---|---|---|
| CHARLES W. BONE | 59.00 | 17,700.00 | 59.00 | 17,700.00 | 300.00 |
| CHARLES W. BONE | 47.25 | 16,537.50 | 47.25 | 16,537.50 | 350.00 |
| | 106.25 | 34,237.50 | 106.25 | 34,237.50 | |

TASK CODE SUMMARY

| TASK CODE | DESCRIPTION | WORKED HOURS | STANDARD VALUE | BILLABLE HOURS | BILLABLE AMOUNT |
|---|---|---|---|---|---|
| | | 106.25 | 34,237.50 | 106.25 | 34,237.50 |
| | | 106.25 | 34,237.50 | 106.25 | 34,237.50 |

*---------COST CODE SUMMARY----------*

| *---------COST CODE----------* | | STANDARD | BILLABLE |
|---|---|---|---|
| 130 | LONG DISTANCE TELEPHONE | 27.72 | 27.72 |
| 570 | MEAL EXPENSES | 1072.17 | 1072.17 |
| 700 | TRAVEL EXPENSES | 7805.81 | 7805.81 |

DATE RUN: 06/05/02 WYATT, TARRANT & COMBS, LLP

PROFORMA STATEMENT AS OF 06/04/02

PROFORMA NUMBER: CLIENT.MATTER NUMBER:010859.089587 PROFORMA BATCH:

| | | |
|---|---|---|
| | 8905.70 | 8905.70 |
| | | .00 HELD AMOUNT |

| | |
|---|---|
| BALANCE DUE FROM PREVIOUS STATEMENT . . . . . | 3500.00 |
| LESS PAYMENT(S) . . . . . | (3500.00) |
| BALANCE FORWARD . . . . . | .00 |
| TIMECARD SUB-TOTAL ( 113.22) . . . . . | 36327.93 |
| DISBURSEMENT SUB-TOTAL . . . . . | 8905.70 |
| SUBTOTAL CURRENT PERIOD . . . . . | 45233.63 |
| TOTAL DUE . . . . . | 45233.63 |

AGED ACCOUNTS RECEIVABLE:

.00 (0-30) .00 (31-60) .00 (61-90) .00 (91-120) .00 (120+)

LEDGER SUMMARY: ALL OPEN INVOICES AND INVOICES SINCE 06/01/2001

| INVOICE | DATE | FEES | COSTS | OTHER | PAYMENT | DATE | FEES | COSTS | OTHER | BALANCE |
|---|---|---|---|---|---|---|---|---|---|---|
| 461875 | 06/27/01 | 3500.00 | .00 | .00 | PAYMEMP | 06/28/01 | 3500.00 | .00 | .00 | .00 |

( ) BILL COSTS AND FEES ( ) DO NOT BILL

( ) BILL FEES ONLY ( ) CLOSE FILE

( ) BILL COSTS ONLY ( ) FINAL BILL

DATE RUN: 06/05/02

WYATT, TARRANT & COMBS, LLP
PROFORMA STATEMENT AS OF 06/04/02
CLIENT.MATTER NUMBER:010859.091525

PROFORMA NUMBER:

PROFORMA BATCH:

*-----MATTER DESCRIPTION-----*
2001 CORPORATE REPRESENTATION

*-----BILLING INSTRUCTIONS-----*

| *-----CLIENT INFORMATION-----* | --CLIENT NUMBER-- | --ORIGINATING-- | --BILLING-- | --SUPERVISING-- |
|---|---|---|---|---|
| ENRON CORP. AND ENRON POWER MARKETING, INC. | 010859 | 019 CWB | 019 CWB | 019 CWB |
| | | C BONE | C BONE | C BONE |

*-----CLIENT ADDRESS-----*
ENRON CORP. AND ENRON POWER MARKETING, INC.
BARBARA HUETER
1400 SMITH STREET
P.O. BOX 1188
HOUSTON, TEXAS 77251-1188

*-----MATTER ADDRESS-----*
ENRON CORPORATION
MR. RICHARD S. SHAPIRO
1400 SMITH STREET
HOUSTON, TX 77002-7361

*-----MATTER INFORMATION-----*
PHONE:
REFERRED BY: N/A

PHONE:
CONTACT: MR. RICHARD SHAPIRO

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| STATUS: | OP | RATE: | 1 | TEMPLATE: | 10 | MIN FEE: | |
| DATE OPENED: | 01/30/2001 | DEPT: | 0 | TIME FORMAT: | 35 | MIN COST: | |
| DATE CLOSED: | | LOCATION: | 51 | COST FORMAT: | 5 | MIN TOTAL: | |
| LAST RATE: | 01/30/2001 | PRACTICE: | 17 | INTRST CODE: | 0 | FEE MARKUP: | % |
| HOLD FEES: | | FEE FREQ: | M | INT FREE DAY: | | COST MARKUP: | % |
| HOLD COSTS: | | COST FREQ: | M | FIXED COSTS: | N | FIXED FEES: | |
| TRUST RET ACCT: | | TRUST RETAIN: | | ARRANGEMENT: | H | CURRENCY: | USD |
| | | | | | | MAXIMUM BILLINGS: | |

No Department Data for this Matter.

Last Bill Date: 04/05/2002

| DATE | TIMEKEEPER NUM | TIMEKEEPER INIT | TASK CODE | DESCRIPTION | WORKED HOURS | STANDARD VALUE | BILLABLE AMOUNT | STAT | INDEX |
|---|---|---|---|---|---|---|---|---|---|
| 01/16/01 | 019 | CWB | | 2001 MATTER - TELEPHONE CONFERENCE WITH MR. SHAPIRO; TELEPHONE CONFERENCE WITH MR. STEFFER AND MR. HARTSOL. | 1.00 | 350.00 | 350.00 | B | 3389072 |
| 01/23/01 | 019 | CWB | | (2001) TELEPHONE CONFERENCE WITH MR. DARNELL; TELEPHONE CONFERENCE WITH MR. SHAPIRO AND MR. JACOBY; TELEPHONE CONFERENCE WITH MR. HAYES. | 1.00 | 350.00 | 350.00 | B | 3398091 |
| 01/24/01 | 019 | CWB | | (2001) TRAVEL TO AND ALL DAY IN WASHINGTON; MEETING WITH COMMISSIONER BREATHITT; LUNCH MEETING WITH MR. SHAPIRO AND MR. RICHARDSON; DINNER WITH COMMISSIONER BREATHITT, MR. HAYES AND MR. SHAPIRO. | 12.00 | 4,200.00 | 4,200.00 | B | 3398093 |
| 01/26/01 | 019 | CWB | | (2001) TELEPHONE CONFERENCE WITH MR. HAYES AND MR. SHAPIRO. | .50 | 175.00 | 175.00 | B | 3398101 |

DATE RUN: 06/05/02 WYATT, TARRANT & COMBS, LLP CLIENT.MATTER NUMBER:010859.091525

| DATE | TIMEKEEPER NUM | TIMEKEEPER INIT | TASK CODE | DESCRIPTION | WORKED HOURS | STANDARD VALUE | BILLABLE AMOUNT | STAT | INDEX |
|---|---|---|---|---|---|---|---|---|---|
| 01/29/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH CALIFORNIA GROUP. | 1.00 | 350.00 | 350.00 | B | 3404141 |
| 01/30/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH CALIFORNIA GROUP. | 1.00 | 350.00 | 350.00 | B | 3404142 |
| 02/01/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO AND MR. HAYES. | .50 | 175.00 | 175.00 | B | 3408903 |
| 02/02/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. SHAPIRO. | 1.00 | 350.00 | 350.00 | B | 3408905 |
| 02/07/01 | 019 | CWB | | TELEPHONE CONFERENCES WITH MR. HAYES, MR, JACOBY AND MR. SHAPIRO; TELEPHONE CONFERENCE WITH MR. STEFFES AND MR. WAHELINS. | 1.50 | 525.00 | 525.00 | B | 3416174 |
| 02/08/01 | 019 | CWB | | LUNCH WITH MR. LAY, MR. DELANEY, CHAIRMAN CROWELL AND MR. ZERINGUE; TELEPHONE CONFERENCE WITH MR. HAYES, MR. JACOBY AND MR. SHAPIRO; TELEPHONE CONFERENCE WITH COMMISIONER BREATHITT. | 4.00 | 1,400.00 | 1,400.00 | B | 3416176 |
| 02/13/01 | 042 | CMN | | PREPARE FORM OF ENGAGEMENT FOR MR. BONE. | 2.00 | 520.00 | 520.00 | B | 3510456 |
| 02/13/01 | 659 | RTB | | INTERNET RESEARCH ON FEDERAL LOBBYING REGISTRATION AND DATABASE; TELEPHONE CALL WITH LEGISLATIVE RESOURCE CENTER TO ACQUIRE IDENTITIES OF LOBBYISTS FOR SOUTHERN COMPANY, TVA, DUKE POWER, AND OTHER UTILITIES RELEVANT TO ENRON; DRAFTED LIST OF LOBBYISTS FOR MR. BONE. | 1.50 | 187.50 | 187.50 | B | 3428088 |
| 02/27/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. HAYES AND MR. JACOBY. | 1.50 | 525.00 | 525.00 | B | 3439901 |
| 03/09/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. SHAPIRO; TELEPHONE CONFERENCE WITH COMMISSIONER BREATHITT. | 1.00 | 350.00 | 350.00 | B | 3450696 |
| 03/14/01 | 042 | CMN | | CONFERENCE WITH MR. BONE AND MR. BOULDIN; REVIEW MATERIALS. | 1.00 | 260.00 | 260.00 | B | 3510457 |

DATE RUN: 06/05/02 WYATT, TARRANT & COMBS, LLP CLIENT.MATTER NUMBER:010859.091525

| DATE | TIMEKEEPER NUM | TIMEKEEPER INIT | TASK CODE | DESCRIPTION | WORKED HOURS | STANDARD VALUE | BILLABLE AMOUNT | STAT | INDEX |
|---|---|---|---|---|---|---|---|---|---|
| 03/14/01 | 659 | RTB | | RESEARCH OF REGULATIONS CONCERNING THE HIRING OF CRAVEN CROWELL AS A CONSULTANT TO THE LAW FIRM. | 2.75 | 343.75 | 343.75 | B | 3458768 |
| 03/15/01 | 659 | RTB | | RESEARCH OF REGULATIONS CONCERNING THE HIRING OF CRAVEN CROWELL AS A CONSULTANT TO THE LAW FIRM; DRAFT OF MEMORANDUM REGARDING NEGOTIATION AND COMPENSATION. | 3.00 | 375.00 | 375.00 | B | 3458771 |
| 03/20/01 | 659 | RTB | | TELEPHONE CONFERENCE WITH MR. BONE CONCERNING ISSUES OF PAYMENT TO MR. HAYES; TELEPHONE CALLS TO THE U.S. OFFICE OF GOVERNMENT ETHICS, TVA GENERAL COUNSEL, BOARD OF PROFESSIONAL RESPONSIBILITY; MEETING WITH MR. NORTON; DRAFT OF MEMORANDUM REGARDING PAYMENT OF COMPENSATION TO MR. HAYES; CALL FROM THE BOARD OF PROFESSIONAL RESPONSIBILITY. | 2.25 | 281.25 | 281.25 | B | 3465229 |
| 03/21/01 | 042 | CBN | | WORK ON PROPOSAL FOR PAYMENT AND ENGAGEMENT OF MR. HAYES. | 1.50 | 390.00 | 390.00 | B | 3510458 |
| 03/21/01 | 659 | RTB | | TELEPHONE CONFERENCE WITH MR. BONE CONCERNING ISSUES OF PAYMENT TO MR. HAYES; TELEPHONE CALLS TO TVA GENERAL COUNSEL, BOARD OF PROFESSIONAL RESPONSIBILITY; MEETING WITH MR. NORTON. | 1.75 | 218.75 | 218.75 | B | 3465236 |
| 04/05/01 | 446 | *JW | | ESCORTED BARBARA HUETER OF ENRON TO CRAVEN CROWELL'S RETIREMENT PARTY AT THE GOVERNOR'S MANSION. | 1.50 | 375.00 | 375.00 | B | 3498507 |
| 04/12/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH COMMISSIONER BREATHITT AND MR. SHAPIRO. | .50 | 175.00 | 175.00 | B | 3494294 |
| 04/18/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH COMMISSIONER BREATHITT AND MR. HAYES. | .50 | 175.00 | 175.00 | B | 3501216 |
| 04/19/01 | 659 | RTB | | RESEARCH FOR LOBBYING REGISTRATION ACT REGISTRATION FORMS. | .25 | 31.25 | 31.25 | B | 3502544 |
| 04/20/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES, MR. SHAPIRO AND MR. ROBINSON. | .50 | 175.00 | 175.00 | B | 3503646 |

DATE RUN: 06/05/02 WYATT, TARRANT & COMBS, LLP CLIENT.MATTER NUMBER:010859.091525

| DATE | TIMEKEEPER NUM | TIMEKEEPER INIT | TASK CODE | DESCRIPTION | WORKED HOURS | STANDARD VALUE | BILLABLE AMOUNT | STAT | INDEX |
|---|---|---|---|---|---|---|---|---|---|
| 04/23/01 | 659 | RTB | | LOBBYING DISCLOSURE ACT RESEARCH; CALL TO U.S. CLERK OF THE HOUSE; MEETING WITH MR. BONE AND MS. SCRUGGS TO DISCUSS REGISTRATION REQUIREMENTS FOR MR. BONE AND MR. HAYES. | 1.00 | 125.00 | 125.00 | B | 3508608 |
| 05/09/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. SHAPIRO; TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. BOB DAVIS FROM THE WALL STREET JOURNAL. | 1.00 | 350.00 | 350.00 | B | 3578692 |
| 05/17/01 | 659 | RTB | | REVIEW OF BUSH ENERGY POLICY FOR TVA AND PUBLIC POWER IMPLICATIONS. | 1.50 | 187.50 | 187.50 | B | 3567684 |
| 05/21/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. BREATHITT; TELEPHONE CONFERENCE WITH MR. MIGDEN; TELEPHONE CONFERENCE WITH MR. HAYES. | 1.00 | 350.00 | 350.00 | B | 3578697 |
| 05/22/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES;TELEPHONE CONFERENCE MR. SHAPIRO; TELEPHONE CONFERENCE WITH MR. HAROLD FORD, JR. | 1.00 | 350.00 | 350.00 | B | 3578698 |
| 05/23/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MS. MIGDEN. | 1.00 | 350.00 | 350.00 | B | 3578699 |
| 05/29/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. SHAPIRO. | 1.00 | 350.00 | 350.00 | B | 3581314 |
| 06/05/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES; TELEPHONE CONFERENCE WITH MR. HAYES AND MR. WOODS; TELEPHONE CONFERENCE WITH MR. CROWELL. | 1.00 | 350.00 | 350.00 | B | 3699253 |
| 06/16/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH COMMISSIONER BREATHITT AND MR. SHAPIRO. | 1.00 | 350.00 | 350.00 | B | 3608824 |
| 06/25/01 | 019 | CWB | | MEETING WITH MR. HAYES; TELEPHONE CONFERENCE WITH COMMISSIONER BREATHITT. | 1.00 | 350.00 | 350.00 | B | 3693305 |
| 06/28/01 | 019 | CWB | | TRAVEL TO WASHINGTON; MEETING WITH MR. HAYES, MR. SHAPIRO AND OTHERS ENRON; DINNER WITH MR. HAYES AND COMMISSIONER BREATHITT. | 8.00 | 2,800.00 | 2,800.00 | B | 3693306 |
| 10/19/01 | 019 | CWB | | TELEPHONE CONFERENCE WITH MR. HAYES AND MS. | .50 | 180.00 | .00 | BNC | 3830924 |

DATE RUN: 06/05/02 WYATT, TARRANT & COMBS, LLP CLIENT.MATTER NUMBER:010859.091525

| DATE | TIMEKEEPER NUM | TIMEKEEPER INIT | TASK CODE | DESCRIPTION | WORKED HOURS | STANDARD VALUE | BILLABLE AMOUNT | STAT | INDEX |
|---|---|---|---|---|---|---|---|---|---|
| | | | | HEUTER. | | | | | |
| 02/08/02 | 635 | *PW | | ACCESS PACER, RETRIEVE BANKRUPTCY FILING INFORMATION FOR USE BY ATTORNEY. | .25 | 22.50 | .00 | BNC | 3955742 |
| | | | | | 63.50 | 18,722.50 | 18,520.00 | | |

*-----COST ENTRIES-----*

| DATE | CODE | DESCRIPTION | STANDARD | BILLABLE | SUM | STAT | VOUCHER | CHECK | INDEX |
|---|---|---|---|---|---|---|---|---|---|
| 02/06/01 | 570 | MEAL EXPENSES (CONFERENCE CALL )- - VENDOR: COOKER BAR AND GRILL CWB | 35.68 | 35.68 | N | B | 1262246 | 12472 | 1819009 |
| 04/05/01 | 570 | MEAL EXPENSES - - VENDOR: CHARLES W. BONE | 30.23 | 30.23 | N | B | 1269444 | 183604 | 1850213 |
| 04/05/01 | 700 | TRAVEL EXPENSES - - VENDOR: CHARLES W. BONE | 57.00 | 57.00 | N | B | 1269444 | 183604 | 1850214 |
| 04/27/01 | 700 | TRAVEL EXPENSES - VENDOR: CHARLES W. BONE | 1556.25 | 1556.25 | N | B | 1271704 | 184524 | 1863836 |
| 04/30/01 | 130 | LONG DISTANCE TELEPHONE X712 0423 | 4.54 | 4.54 | Y | B | | | 1864316 |
| 05/14/01 | 570 | MEAL EXPENSES - - VENDOR: CHARLES W. BONE | 142.04 | 142.04 | N | B | 1273335 | 185191 | 1875267 |
| 05/14/01 | 700 | TRAVEL EXPENSES - - VENDOR: CHARLES W. BONE | 2302.31 | 2302.31 | N | B | 1273335 | 185191 | 1875268 |
| 05/31/01 | 130 | LONG DISTANCE TELEPHONE X712 0517 | .66 | .66 | Y | B | | | 1887585 |
| 06/08/01 | 130 | LONG DISTANCE TELEPHONE X740 0528 | .72 | .72 | Y | B | | | 1891474 |
| 06/08/01 | 130 | LONG DISTANCE TELEPHONE X754 0529 | 6.56 | 6.56 | Y | B | | | 1891482 |
| 06/08/01 | 130 | LONG DISTANCE TELEPHONE X754 0530 | .50 | .50 | Y | B | | | 1891501 |
| 06/08/01 | 130 | LONG DISTANCE TELEPHONE X754 0531 | 2.01 | 2.01 | Y | B | | | 1891538 |
| 06/08/01 | 130 | LONG DISTANCE TELEPHONE X754 0604 | 2.01 | 2.01 | Y | B | | | 1891594 |
| 06/20/01 | 130 | LONG DISTANCE TELEPHONE X754 0612 | 1.01 | 1.01 | Y | B | | | 1899667 |
| 06/21/01 | 528 | DELIVERY COSTS - - VENDOR: CROSSTOWN COURIER, INC. | 8.01 | 8.01 | Y | B | 1277844 | 186797* | 1900256 |
| 06/29/01 | 130 | LONG DISTANCE TELEPHONE X740 0615 | 4.03 | 4.03 | Y | B | | | 1908266 |
| 06/29/01 | 130 | LONG DISTANCE TELEPHONE X740 0616 | 10.59 | 10.59 | Y | B | | | 1908267 |
| 06/29/01 | 130 | LONG DISTANCE TELEPHONE X754 0615 | 2.52 | 2.52 | Y | B | | | 1908268 |
| 06/29/01 | 130 | LONG DISTANCE TELEPHONE X740 0618 | 1.51 | 1.51 | Y | B | | | 1908269 |
| 06/29/01 | 130 | LONG DISTANCE TELEPHONE X740 0618 | .50 | .50 | Y | B | | | 1908270 |
| 06/29/01 | 130 | LONG DISTANCE TELEPHONE X754 0621 | .50 | .50 | Y | B | | | 1908310 |
| 06/29/01 | 130 | LONG DISTANCE TELEPHONE X754 0622 | 1.51 | 1.51 | Y | B | | | 1908359 |
| 06/29/01 | 130 | LONG DISTANCE TELEPHONE X754 0626 | 1.51 | 1.51 | Y | B | | | 1908386 |
| 07/26/01 | 130 | LONG DISTANCE TELEPHONE X754 0504 | 3.03 | 3.03 | Y | B | | | 1927930 |
| 07/26/01 | 130 | LONG DISTANCE TELEPHONE X740 0613 | 2.01 | 2.01 | Y | B | | | 1927935 |
| 07/26/01 | 130 | LONG DISTANCE TELEPHONE X740 0611 | 3.77 | 3.77 | Y | B | | | 1927940 |
| 07/26/01 | 130 | LONG DISTANCE TELEPHONE X740 0608 | 3.69 | 3.69 | Y | B | | | 1927943 |
| 07/26/01 | 130 | LONG DISTANCE TELEPHONE X540 0525 | .50 | .50 | Y | B | | | 1927952 |
| 07/26/01 | 130 | LONG DISTANCE TELEPHONE X740 0521 | 2.33 | 2.33 | Y | B | | | 1927953 |
| 07/26/01 | 130 | LONG DISTANCE TELEPHONE X740 0509 | .44 | .44 | Y | B | | | 1927957 |
| 07/26/01 | 130 | LONG DISTANCE TELEPHONE X700 0718 | 1.51 | 1.51 | Y | B | | | 1928049 |
| 07/26/01 | 130 | LONG DISTANCE TELEPHONE X740 0718 | 4.54 | 4.54 | Y | B | | | 1928058 |
| 07/26/01 | 130 | LONG DISTANCE TELEPHONE X754 0718 | 1.51 | 1.51 | Y | B | | | 1928064 |
| 08/14/01 | 150 | OUTSIDE DELIVERY COSTS FEDEX CWB/BALCH AND BINGHAM 0813 | 10.32 | 10.32 | Y | B | | | 1940531 |
| 09/17/01 | 130 | LONG DISTANCE TELEPHONE X740 0510 | 5.55 | .00 | Y | BNC | | | 1962945 |
| 09/17/01 | 130 | LONG DISTANCE TELEPHONE X740 0608 | 8.88 | .00 | Y | BNC | | | 1962948 |
| 09/17/01 | 130 | LONG DISTANCE TELEPHONE X754 0803 | 1.51 | .00 | Y | BNC | | | 1962955 |
| 09/17/01 | 130 | LONG DISTANCE TELEPHONE X740 0808 | 2.01 | .00 | Y | BNC | | | 1962959 |
| 09/17/01 | 130 | LONG DISTANCE TELEPHONE X540 0814 | 1.01 | .00 | Y | BNC | | | 1962968 |

DATE RUN: 06/05/02 WYATT, TARRANT & COMBS, LLP

PROFORMA STATEMENT AS OF 06/04/02

PROFORMA NUMBER: CLIENT.MATTER NUMBER:010859.091525 PROFORMA BATCH:

| | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| 09/20/01 | 170 | PHOTOCOPIES 720 15 | 1.80 | .00 | Y | BNC | | | | 1972293 |
| 09/21/01 | 700 | TRAVEL EXPENSES - VENDOR: CHARLES W. BONE | 113.80 | .00 | N | BNC | 1287201 | 190520 | | 1968057 |
| 10/25/01 | 700 | TRAVEL EXPENSES - VENDOR: CHARLES W. BONE | 95.71 | .00 | N | BNC | 1291063 | 192395 | | 1999026 |
| 12/04/01 | 130 | LONG DISTANCE TELEPHONE X754 1031 | .74 | .00 | Y | BNC | | | | 2028468 |
| | | | 4436.86 | 4205.85 | | | | | | |
| | | | | .00 | HELD AMOUNT | | | | | |

TIME VALUE FOR THE MATTER AFTER THE CUTOFF DATE . . . . . .00

COST VALUE FOR THE MATTER AFTER THE CUTOFF DATE . . . . . .00

TIME AND FEE SUMMARY

| TIMEKEEPER | WORKED HOURS | STANDARD VALUE | BILLABLE HOURS | BILLABLE AMOUNT | BILLING RATE |
|---|---|---|---|---|---|
| C. MICHAEL NORTON | 4.50 | 1,170.00 | 4.50 | 1,170.00 | 260.00 |
| CHARLES W. BONE | .50 | 180.00 | .00 | .00 | .00 |
| CHARLES W. BONE | 43.50 | 15,225.00 | 43.50 | 15,225.00 | 350.00 |
| JAY WEST | 1.50 | 375.00 | 1.50 | 375.00 | 250.00 |
| PATRICIA F. WEST | .25 | 22.50 | .00 | .00 | .00 |
| R. TODD BOULDIN | 14.00 | 1,750.00 | 14.00 | 1,750.00 | 125.00 |
| | 64.25 | 18,722.50 | 63.50 | 18,520.00 | |

TASK CODE SUMMARY

| TASK CODE | DESCRIPTION | WORKED HOURS | STANDARD VALUE | BILLABLE HOURS | BILLABLE AMOUNT |
|---|---|---|---|---|---|
| | | 64.25 | 18,722.50 | 63.50 | 18,520.00 |
| | | 64.25 | 18,722.50 | 63.50 | 18,520.00 |

COST CODE SUMMARY

| COST CODE | | STANDARD | BILLABLE | |
|---|---|---|---|---|
| 130 | LONG DISTANCE TELEPHONE | 83.71 | 64.01 | |
| 150 | OUTSIDE DELIVERY COSTS | 10.32 | 10.32 | |
| 170 | PHOTOCOPIES | 1.80 | .00 | |
| 528 | DELIVERY COSTS | 8.01 | 8.01 | |
| 570 | MEAL EXPENSES | 207.95 | 207.95 | |
| 700 | TRAVEL EXPENSES | 4125.07 | 3915.56 | |
| | | 4436.86 | 4205.85 | |
| | | | .00 | HELD AMOUNT |

BALANCE DUE FROM PREVIOUS STATEMENT . . . . . .00

LESS PAYMENT(S) . . . . . .00

DATE RUN: 06/05/02

WYATT, TARRANT & COMBS, LLP
PROFORMA STATEMENT AS OF 06/04/02
CLIENT.MATTER NUMBER:010859.091525

PROFORMA NUMBER:

PROFORMA BATCH:

| | | |
|---|---|---|
| BALANCE FORWARD | | .00 |
| TIMECARD SUB-TOTAL ( 63.50) | 18520.00 | |
| DISBURSEMENT SUB-TOTAL | 4205.85 | |
| SUBTOTAL CURRENT PERIOD | 22725.85 | |
| TOTAL DUE | | 22725.85 |

AGED ACCOUNTS RECEIVABLE:

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| .00 | (0-30) | .00 | (31-60) | .00 | (61-90) | .00 | (91-120) | .00 | (120+) |

LEDGER SUMMARY: ALL OPEN INVOICES AND INVOICES SINCE 06/01/2001

| INVOICE | DATE | FEES | COSTS | OTHER | PAYMENT | DATE | FEES | COSTS | OTHER | BALANCE |
|---|---|---|---|---|---|---|---|---|---|---|
| 461876 | 06/27/01 | 1937.50 | 2445.01 | .00 | PAYMEMP | 06/28/01 | 1937.50 | 2445.01 | .00 | .00 |
| 470405 | 08/29/01 | 3850.00 | 77.14 | .00 | PAYMEMP | 08/30/01 | 3850.00 | 77.14 | .00 | .00 |

( ) BILL COSTS AND FEES
( ) BILL FEES ONLY
( ) BILL COSTS ONLY

( ) DO NOT BILL
( ) CLOSE FILE
( ) FINAL BILL

**BoneLaw**PLLC Attorneys

Committee on Governmental Affairs
EXHIBIT #D-8

CONFIDENTIAL – ATTORNEY/CLIENT
MATTERS DISCUSSED HEREIN

February 6, 2002

Via Federal Express

Mr. Kevin J. Hable
Wyatt, Tarrant & Combs
PNC Plaza
500 West Jefferson Street
Louisville, Kentucky 40202-2898

Dear Kevin:

Since November, when we agreed that I should leave Wyatt, I have made every effort to cooperate with you and others at Wyatt to minimize any disruption with clients, Wyatt attorneys and staff, and third parties. I would hope that you would acknowledge those efforts by me.

I have been most concerned about the obligation owed by Wyatt to Johnny Hayes, because I was responsible for that relationship, Hayes was and remains a client of Wyatt, and Hayes has been a very close personal friend for thirty years. Rather than meet this week in an effort to seek to resolve the questions you have raised about the payment owed to Johnny, I thought this letter might provide you with sufficient background and information to permit the payment of $100,000 without further discussion. Of course, if you have questions after your review of this letter, I would be pleased to try to provide answers.

I certainly realize that the publicity with respect to Enron has created unexpected attention to this matter, but the obligation to Hayes is unconditional and not affected in any way by the publicity. There have been no suggestions that any activities of Wyatt, Bone or Hayes are the subject of any question or criticism. (In fact, just the opposite.) If you have any information to the contrary, I would encourage you to let me know immediately.

2525 West End Avenue, 4th Floor • Nashville, Tennessee 37203 • Ph: 615.986.2681 • Fx: 615.986.7869

The following chronology should be beneficial:

| | |
|---|---|
| Fall 1998 | Stokes Bartholomew Evans & Petree ("Stokes") began representation of Enron in Tennessee. |
| 02/01/99 | Johnny Hayes ("Hayes") resigned as a member of the Board of Directors of TVA to join the Gore presidential campaign. After February 1, 1999 (exact dates unknown), Bill Bruce at Stokes contacted Hayes for advice concerning TVA on behalf of Enron. |
| 1999 | Bone was interviewed by Enron attorney Richard Sanders for Wyatt to serve as defense counsel to Enron in litigation filed by TVA. Baker, Donelson was also interviewed and ultimately selected, because the litigation was filed in Chattanooga where Baker, Donelson has an office, and because of Howard Baker's relationships at Vinson & Elkins. |
| Early 2000 | Hayes suggested that Enron contact Bone at Wyatt. Hayes agreed to consult with Bone, to help Bone understand the processes at TVA and to help with client development work with Enron. Hayes agreed to provide "behind-the-scenes" assistance as permitted by law and regulations. In consideration of the introduction to Enron and the agreement to provide assistance as permitted, Bone agreed to pay a consulting fee to Hayes equal to 50% of the total fees received. |
| 07/25/00 | Enron hired Wyatt to help with settlement of the TVA litigation (engagement letter dated August 1, 2000). |
| 08/22/00 | Enron hired Wyatt to help with introducing Enron representatives to FERC (Federal Energy Regulatory Commission) Commissioner Linda Breathitt. |
| Summer/ Fall 2000 | Hayes provided Bone with "behind-the-scenes" assistance in connection with Bone's work for Enron with TVA, including an explanation of the decision-making process at TVA, the personalities of those involved, suggestions of how the decision-making process might be expedited, etc. Also, Hayes joined Bone and Breathitt for lunch in Nashville. Hayes also met with Enron representatives and Bone at the Democratic Convention in Los Angeles. Enron representatives were invited to Gore campaign activities in the fall of 2000 and also to election night activities in Nashville in November. |

| | |
|---|---|
| 10/18/00 | Bone received a telephone call from Wyatt's leadership group to come to Louisville that day to discuss compensation issues. Bone met with Hable, Dyer, Ward, Cashen and Haynes. Bone was told by the group that it was necessary to limit his compensation to an amount 100 points below Conner's compensation, but that the group would work toward a special arrangement with Bone, potentially in the government affairs area, to create additional compensation for Bone, if Bone would agree to the points proposal. Bone agreed to this arrangement. |
| 01/01 | Enron check for $500,000, payable to Wyatt, was received. |
| 01/01-03/21/01 | Enron expressed an interest in a continuing relationship with Bone, and asked that Hayes (Sideview Partners, Inc.) now be made a formal part of the engagement, since the election was over and any restricted period had expired. Bone, Hayes and Enron representatives negotiated terms of a 2001 joint engagement between Enron, Wyatt and Sideview. The engagement letter was signed on March 21, 2001 by Enron. |
| 02/04/01 | Bone traveled to Louisville for a dinner meeting with Kevin Hable and Tom Dyer and delivered the $500,000 check from Enron. Discussions began about ways to establish the government affairs and/or energy group subsidiary or affiliate of Wyatt, with a profit-sharing opportunity for Bone consistent with discussions during the October 18, 2000 meeting. |
| 02/05/01 - 03/28/01 | Bone and Hable continued to discuss various ways for a Wyatt government affairs/energy group to be structured. Bone proposed the employment of Johnny Hayes and Craven Crowell (who was scheduled to retire as Chairman of the TVA Board of Directors on April 15, 2001). Bone suggested that Wyatt create a subsidiary to be known as "The Energy Group" and that Hayes and Crowell be retained as consultants. Hable responded that the approach Wyatt preferred would be a "carve-out" of fees to be received by Bone in excess of legal fees. |
| 03/21/01 | Mike Norton prepared a memo to Hable, reviewing the proposed payment to Hayes and determining that the payment was permitted under applicable laws and regulations. |

| | |
|---|---|
| 03/28/01 | Wyatt's Executive Committee approved the recommended carve-out for Bone. |
| 04/05/01 | Bone engaged Craven Crowell for one year for $100,000, to be paid by Bone to Crowell. |
| 04/30/01 | Enron payment of $500,000 was applied and allocated according to Bone's memorandum to Deneen Kauchak of Don Brohman's office in Louisville. |
| 04/01–11/01 | Enron paid $250,000 to Sideview.<br>Sideview paid $125,000 to Bone.<br>Bone paid $13,008 to Wyatt. |
| 09/07/01 | Upon recommendation of Hayes, Enron engaged Wyatt for the Shelby Power project in Memphis (all legal work – no payment to Hayes.) |

Kevin, I hope this information, together with the supporting documents that are attached, will answer all of the questions that you or others with Wyatt might have concerning the Hayes/Enron relationships and the obligation of Wyatt to Hayes. I would personally appreciate any effort you could make to expedite the payment to Johnny.

Sincerely,

Charles W. Bone

CWB/vs

Enclosures

cc: Mr. Thomas R. Dyer (w/o attachments)
Mr. Sam J. McAllester III (w/o attachments)

LIST OF DOCUMENTS ATTACHED
TO 02/05/02 LETTER TO KEVIN HABLE

1. 08/01/00 Engagement Letter with Enron
2. 08/22/00 Engagement Letter with Enron
3. 02/04/01 Notes Believed to Have Been Delivered to Hable and Dyer at 2/4/01 Meeting
4. 02/26/01 Draft Memo from Charles Bone to Executive Committee regarding Representation of Energy Clients (Sent to Hable)
5. 03/09/01 Engagement Letter with Enron (Accepted by Enron 03/21/01)
6. 03/14/01 Draft Memo from Charles Bone to Executive Committee regarding Proposal to Form The Energy Group (Sent to Hable)
7. 03/21/01 Memo from Mike Norton to Kevin Hable regarding Payment to Johnny Hayes
8. 03/28/01 Memo from Charles Bone to Kevin Hable regarding Energy Work
9. 03/28/01 Memo from Charles Bone to Kevin Hable regarding Executive Committee Meeting
10. 03/29/01 Letter Agreement between Wyatt and Johnny Hayes
11. 04/05/01 Letter Agreement between Charles Bone and Craven Crowell
12. 04/30/01 Memo from Charles Bone/Vicki Scruggs to Deneen Kauchak/Deb Engle regarding Allocation of Enron Funds
13. 09/07/01 Engagement Letter with Enron

WYATT, TARRANT & COMBS
1500 NASHVILLE CITY CENTER
511 UNION STREET
NASHVILLE, TENNESSEE 37219-1750

615 244-0020
FAX: 615 256-1726

CITIZENS PLAZA
LOUISVILLE, KY 40202-2898
502 589-5235

1700 LEXINGTON FINANCIAL CENTER
LEXINGTON, KY 40507-1746
606 233-2012

TAYLOR-SCOTT BUILDING
FRANKFORT, KY 40601-1807
502 223-3014

99 WEST SPRING STREET, SUITE 300
NEW ALBANY, IN 47150-3440
812 948-2980

99 MUSIC SQUARE EAST
NASHVILLE, TN 37203-4322
615 244-0020

6410 POPLAR AVENUE, SUITE 300
MEMPHIS, TN 38119-7900
901 537-1000

WRITER'S DIRECT DIAL NUMBER

615-251-6740

August 1, 2000

Mr. Richard S. Shapiro
Managing Director and
Senior Vice President Government Affairs
Enron Corp.
1400 Smith Street
Houston, Texas 77002-7361

Dear Rick:

This letter will confirm our recent conversations and our firm's representation of Enron Corp. ("Enron"). Our representation will be limited to negotiations with respect to the presently pending litigation involving Enron and the Tennessee Valley Authority ("TVA"). It is our understanding that I will be working directly on the matters set forth below with you and Dave Delainey, and coordinating my work with Richard Sanders and Barbara Hueter.

I will be primarily responsible for our services in connection herewith. It may become necessary or advisable for other attorneys in our Firm with special expertise in a given area to work on this matter. If other attorneys are assigned to perform services in this matter, we will advise you. It is important that you have confidence in and enjoy working with the attorneys assigned to this matter. If you should ever wish to request a change in attorneys at any time, please feel free to contact either me or the Chairman of the Firm's Management Committee, Stewart Conner.

During this representation, we will promptly provide to you copies of all correspondence, notices or other significant documents generated or received by us. We will give you timely notice of all significant meetings and deadlines. We will consult with you in advance before undertaking any activity which is not considered routine. We understand that we will not be responsible for any state, federal or local tax matters or advice relating to this engagement. We understand that we should contact you or one of the individuals referenced above when we need additional information.

authority or direction in this matter. If, in the future, you wish us to represent Enron in another matter, that should be the subject of an additional letter similar to this one.

Our fees for this engagement will be as follows:

(1) A non-refundable retainer fee of $100,000, payable $50,000 upon the execution of this letter and $50,000 on September 20, 2000; and

(2) The payment of a "success fee" of $500,000 in the event the litigation in question between Enron Power Marketing, Inc. and TVA pending in the United States District Court in the Eastern District (Civil Action No. 1:99-CV-262) is settled and concluded by the consent of both parties on or before December 31, 2001.

The legal fees described above for Enron include our billable time incurred in connection with telephone and office conferences with you and other representatives of Enron; any fees we might pay to consultants or others; factual investigation; legal research; drafting of letters and other documents; travel time; conferences among our legal personnel; and responding to your requests for us to provide information to Enron's auditors in connection with review or audits of financial statements.

Our legal fees include this Firm's normal overhead and internal operating costs. We do, however, bill our clients for reasonable and necessary costs and expenses incurred in performing our legal services, including but not limited to photocopying, long-distance telephone, telecopying, messenger and express delivery service, computerized research, and travel (including mileage, parking, first class airfare, lodging, meals and ground transportation). We do not bill our clients for word processing or secretarial time. We will submit a statement for our expenses monthly.

Enron will have the right at any time to terminate our representation, with or without cause, upon written notice to us, provided, however, that Enron will continue to be obligated to pay our legal fees set forth above, including the "success fee" referred to above, if the litigation is settled on or before December 31, 2001. We reserve the right to withdraw from our representation if Enron fails to pay our statements promptly, or if we discover any fact or circumstance that would make our continuing representation unethical under applicable codes of professional responsibility. We will make every effort to identify in advance and discuss with you any situation which could lead to our withdrawal, including any potential or actual conflict of interest not presently known to us. If withdrawal ever becomes necessary, we will give you prompt written notice.

Upon any termination or withdrawal of our representation, Enron will take all steps necessary to free us of any obligation to perform further, including signing any documents necessary to complete our withdrawal, and we will be entitled to be paid for all services rendered and costs and

expenses paid or incurred on behalf of Enron before the date of termination or withdrawal. Any of Enron's papers and property in our possession will be returned to Enron upon termination or withdrawal, but we will retain our own files relating to this matter, including copies of all documents generated or received by us in the course of our representation.

We will keep all information confidential relating to our representation of Enron, unless disclosure is authorized by you or required by applicable codes of professional responsibility. We may, however, include Enron's name in a published list of this Firm's clients, unless you advise us to the contrary. We will notify you promptly if we receive any inquiries from the news media concerning our representation.

Finally, we ask Enron to acknowledge that Wyatt, Tarrant & Combs is a rather large firm with several offices in three states. Also, we know that Enron is involved in many businesses and represented by many law firms. Thus, we ask that you acknowledge the limited scope of this engagement and the ability of Wyatt, Tarrant & Combs to undertake other representation possibly in conflict to Enron in other matters during the course of this engagement or afterward. Obviously, we would like to engage in other matters for Enron and certainly will be sensitive to the nature or type of conflicts involved.

If you have any questions, now or at any time, please feel free to call me. If this letter accurately confirms Enron's understanding of our attorney-client relationship, please indicate acceptance by dating, signing and returning the enclosed copy of this letter to me. We appreciate very much being given the opportunity to assist Enron in connection with this matter, and we look forward to working with you.

Sincerely,

Charles W. Bone

Enclosure

ACCEPTED:
ENRON CORP.

BY: _____
Its: Managing Director
Dated: 8-7-00

451613339.1
8/1/00 2:01 PM

WYATT, TARRANT & COMBS
1500 NASHVILLE CITY CENTER
511 UNION STREET
NASHVILLE, TENNESSEE 37219-1750

615 244-0020
FAX 615 256-1726

CITIZENS PLAZA
LOUISVILLE, KY 40202-2898
502 589-5235

700 LEXINGTON FINANCIAL CENTER
LEXINGTON, KY 40507-1746
859 288-7612

TAYLOR-SCOTT BUILDING
FRANKFORT, KY 40601-1807
502 223-3104

101 WEST SPRING STREET, SUITE 500
NEW ALBANY, IN 47150-3818
812 948-3561

59 MUSIC SQUARE EAST
NASHVILLE, TN 37203-4322
615 255-8661

6800 POPLAR AVENUE, SUITE 200
MEMPHIS, TN 38138-7440
901 537-1000

WRITER'S DIRECT DIAL NUMBER

615-251-6740

August 22, 2000

Mr. Richard S. Shapiro
Managing Director and
Senior Vice President Government Affairs
Enron Corp.
1400 Smith Street
Houston, Texas 77002-7361

Dear Rick:

This letter will confirm our recent conversations and our firm's representation of Enron Corp. ("Enron"). Our representation will be limited to advice with respect to the development of policy at the Department of Energy ("DOE") and the Federal Energy Regulatory Commission ("FERC"). It is our understanding that I will be working directly on the matters set forth below with you and Steven J. Kean.

I will be primarily responsible for our services in connection herewith. It may become necessary or advisable for other attorneys in our Firm with special expertise in a given area to work on this matter. If other attorneys are assigned to perform services in this matter, we will advise you. It is important that you have confidence in and enjoy working with the attorneys assigned to this matter. If you should ever wish to request a change in attorneys at any time, please feel free to contact either me or the Chairman of the Firm's Management Committee, Stewart Conner.

During this representation, we will promptly provide to you copies of all correspondence, notices or other significant documents generated or received by us. We will give you timely notice of all significant meetings and deadlines. We will consult with you in advance before undertaking any activity which is not considered routine. We understand that we will not be responsible for any state, federal or local tax matters or advice relating to this engagement. We understand that we should contact you or one of the individuals referenced above when we need additional information,

authority or direction in this matter. If, in the future, you wish us to represent Enron in another matter, that should be the subject of an additional letter similar to this one.

Our fees for this engagement will be as follows:

(1) A non-refundable retainer fee of $50,000, payable upon the execution of this letter; and

(2) The payment of a "success fee" of $500,000 in the event a policy or policies acceptable to Enron are adopted by DOE and/or FERC on or before December 31, 2000 addressing the crisis in the electric power markets in the United States.

The legal fees described above for Enron include our billable time incurred in connection with telephone and office conferences with you and other representatives of Enron; any fees we might pay to consultants or others; factual investigation; legal research; drafting of letters and other documents; travel time; conferences among our legal personnel; and responding to your requests for us to provide information to Enron's auditors in connection with review or audits of financial statements.

Our legal fees include this Firm's normal overhead and internal operating costs. We do, however, bill our clients for reasonable and necessary costs and expenses incurred in performing our legal services, including but not limited to photocopying, long-distance telephone, telecopying, messenger and express delivery service, computerized research, and travel (including mileage, parking, first class airfare, lodging, meals and ground transportation). We do not bill our clients for word processing or secretarial time. We will submit a statement for our expenses monthly.

Enron will have the right at any time to terminate our representation, with or without cause, upon written notice to us, provided, however, that Enron will continue to be obligated to pay our legal fees set forth above, including the "success fee" referred to above. We reserve the right to withdraw from our representation if Enron fails to pay our statements promptly, or if we discover any fact or circumstance that would make our continuing representation unethical under applicable codes of professional responsibility. We will make every effort to identify in advance and discuss with you any situation which could lead to our withdrawal, including any potential or actual conflict of interest not presently known to us. If withdrawal ever becomes necessary, we will give you prompt written notice.

Upon any termination or withdrawal of our representation, Enron will take all steps necessary to free us of any obligation to perform further, including signing any documents necessary to complete our withdrawal, and we will be entitled to be paid for all services rendered and costs and expenses paid or incurred on behalf of Enron before the date of termination or withdrawal. Any of Enron's papers and property in our possession will be returned to Enron upon termination or

withdrawal, but we will retain our own files relating to this matter, including copies of all documents generated or received by us in the course of our representation.

We will keep all information confidential relating to our representation of Enron, unless disclosure is authorized by you or required by applicable codes of professional responsibility. We may, however, include Enron's name in a published list of this Firm's clients, unless you advise us to the contrary. We will notify you promptly if we receive any inquiries from the news media concerning our representation.

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If you have any questions, now or at any time, please feel free to call me. If this letter accurately confirms Enron's understanding of our attorney-client relationship, please indicate acceptance by dating, signing and returning the enclosed copy of this letter to me. We appreciate very much being given the opportunity to assist Enron in connection with this matter, and we look forward to working with you.

Sincerely,

Charles W. Bone

Enclosure

ACCEPTED:
ENRON CORP.

BY: _____
Its: Managing Director
Dated: 8-22-00

45163112.1
8/22/00 10:47 AM

**NOTES BELIEVED TO HAVE BEEN DELIVERED TO HABLE AND DYER ON 2/4/01**

CBone / J Hyer / WTC / ENRON
2000 - 2001

ENRON / TVA Received $100,000
Taken into WTC 50,000 before 9/30
Owed to JHyer 50,000
Check rec'd not deposited 500,000
owes to J. Hyer 250,000

AVAILABLE for WTC/Bone $250,000

ENRON / FERC Received $50,000
Taken into WTC 25,000 before 9/30/00
Owed to J Hyer 25,000

Check to be received $100,000
owed to J. Hyer 50,000
AVAILABLE for WTC/Bone 50,000

SUMMARY
ALREADY RECEIVED BY WTC $75,000

Received OR Coming for WTC/Bone 300,000

Recived OR Coming + PAYABLE to Hyer 375,000
TOTAL ——————→ $ 750,000

2001

$15,000 Monthly to Hayes & Bone AS long As work for generation group is on going; thereafter 10,000 Monthly thru 12/31/01 For work on FERC matters & other specifically identified issues (plus expenses when in Washington)

$175,000 Completion Fee For EACH generation DEAL identified AND done – Currently identified: (1) Brownsville, TN
(2) Memphis, TN
(3) Calvert City KY

> COAL Legal Work Possibility
> Other Legal Work Possibility

> Home Run deal for generation plant in JV Venture with Distributors, AND ENRON

Possibilities

Other Opportunities with J Hyer:

(1) Addington Coal - TVA
- Sale of Co. to Enron or others

(2) Montana Land Co. Land Swap with TVA @ Pickwick Dam/Lake (Tenn)

(3) Greeneville Tenn Manufacturing Co.

(4) Texaco deal w/ TVA (Miss)

(5) Bechtel deal w/ TVA (Nuclear)

(6) Tractor Barrell deal w/ TVA (Miss)

(7) Distributors/Municipalities

Proposed

(1) Name Craven Crowell Sr. Advisor to WTC For Energy Policy effective April 16, 2001 with Compensation of $100,000 plus additional compensation when we have recouped our Retainer. No overhead / No expenses except when on specific WTC Business Use of visiting Lawyer office when in Nashville or other offices.

(2) Reception on April 5 at Tenn. Governors Residence with guest list set by WTC & Craven

(3) Energy Forum in Nashville & Louisville As soon as possible with Craven As Chair & WTC as sponsor - small targeted Audience of Distribution, industry, coal, government etc.

(4) Craven will have 3 other primary roles & interests:
(a) Relationship with Sasser for China Matters
(b) Relationship with Investment Banker
(c) Relationship with Energy Company (Not Enron)

FRIDAY Feb. 9, 2001 in Washington
Bone, Dyer, Walkup Committed

Jim Fisser

Charles Burson

If Haslam can come → Linda Breathitt

Other possibilities in Nashville
> Jim Cooper
> Jon Hastings
> Harwell Firm

# CHARLES W. BURSON

**Chief of Staff to the Vice President**
**2808 Cathedral Avenue, N.W.**
**Washington, D.C. 20008**
**(202) 332-5221 bursonbc@aol.com**


PROFESSIONAL CAREER

**THE WHITE HOUSE, OFFICE OF THE VICE PRESIDENT**
**Assistant to the President and Chief of Staff and Counselor to the Vice President** **1999-2001**

- Brief the Vice President on all matters pertaining to the performance of his official duties
- Manage operations of the 100-member staff of the Office of the Vice President (OVP)
- Serve as the Vice President's liaison between his Campaign Committee, the Office of the Vice President and the President's staff
- Represent the Vice President in Cabinet and White House senior staff meetings
- Advise the President and participate in his media preparation and policy briefings
- Assist the President and Vice President in the preparation of the annual State of the Union address

**Counsel to the Vice President of the United States** **1997-1999**

- Rendered legal advice to the Vice President on all matters pertaining to his official duties
- Served as the Vice President's liaison to the White House Counsel's Office
- Managed and directed production of documents on behalf of OVP in response to Congressional, Independent Counsel and agency subpoenas
- Insured compliance by OVP staff with all legal and ethics rules regarding service at the White House
- Served as the Vice President's representative on selected domestic policy issues
- Served as the Vice President's liaison to Members and staff of Congressional investigative committees

**STATE OF TENNESSEE, OFFICE OF THE ATTORNEY GENERAL** **1988-1997**
**State Attorney General**

- Appointed to complete an unexpired term and appointed to a full term by the Tennessee Supreme Court (method of selection of State Attorney General in Tennessee)
- Argued four cases before the United States Supreme Court on behalf of the State of Tennessee
- Authored, edited and published more than 300 Attorney General opinions per year during tenure
- Directly represented and supervised the representation of the State of Tennessee before all federal and state courts and administrative tribunals
- Managed a $16 million budget and 250 attorneys and support staff
- Counseled and consulted with all state officials on their legal responsibilities and duties
- Represented the State of Tennessee in multi-state litigation in coordination with other states' Attorneys General

**WILDMAN, HARROLD, ALLEN, DIXON AND MCDONNELL** **1979-1988**
**Partner**

- Served as partner in a 160-person law firm. Individual practice focused on corporate and commercial litigation.

**BURSON AND BURSON** **1970-1979**

**Attorney at Law**
- Engaged in general practice with a focus on commercial law.

**EDUCATION**

J.D., Harvard University Law School 1970
M.A., Cambridge University, Cambridge, England
1968
B.A. Political Science, with honors and distinction, University of Michigan at Ann Arbor 1966

**PERSONAL**

Born in Memphis, Tennessee in 1944. Married to Marion C. Burson, with two daughters, Claire Erica Burson, 25, and Kate Cornell Burson, 21.

**PROFESSIONAL ACTIVITIES AND ACCOMPLISHMENTS**

**NATIONAL ASSOCIATION OF ATTORNEYS GENERAL** 1988-
**1997**
- Served as President of the National Association of Attorneys General (NAAG) from 1994-1995
- Served two-terms as Chair of the NAAG Consumer Protection Committee, two terms as the Chair of the NAAG General Management Committee and two terms as Chair of the Federal Trade Commission (FTC) Working Group
- Selected by other state Attorneys General to receive the 1993 Wyman Award as the Attorney General who did the most to enhance the stature of the office
- Served as member of NAAG's General Green Marketing Task Force, which actively encouraged the Federal Trade Commission to address and set standards for responsible environmental marketing
- Proposed and assisted in the development of a joint training program for Consumer Protection Assistant Attorneys General and officials from the FTC which resulted in better enforcement of consumer protection laws
- Testified before the U.S. Senate Committee on Science, Commerce and Transportation as Chair of the NAAG Consumer Protection Committee regarding reauthorization of the FTC
- Testified before the U.S. House of Representatives Subcommittee on Transportation and Hazardous Materials regarding consumer protection in the regulatory arena, particularly with respect to advertising
- Initiated and implemented the first national pilot Management Seminar for Senior Attorneys General staff to which 35 states' staffs attended. Proposed and effectuated the first annual NAAG Winter Meeting for Attorneys General devoted entirely to leadership and quality management issues
- Led successful multi-state effort in attacks on abuses in the 900-number industry
- Initiated seminal federal-state multi-agency task force to prosecute corrupt telemarketing activities in Tennessee

**TENNESSEE BOARD OF LAW EXAMINERS** 1982-
**1988**
- Served as member and President of the Board from 1987-1988

## CHARLES W. BURSON

**Chief of Staff to the Vice President**
**2808 Cathedral Avenue, N.W.**
**Washington, D.C. 20008**
**(202) 332-5221 bursonbc@aol.com**


**UNITED STATES SUPREME COURT ARGUMENTS**

Payne v. State of Tennessee, 1991. Argued case and prevailed in a 6-3 decision. Case is noteworthy in recognition of prosecution's right to introduce victim information in the sentencing phase in capital cases. Also noteworthy in refining the Court's application of stare decisis in cases pertaining to the constitutional rights as contrasted with commercial expectations.

Burson v. Freeman, 1991. Argued case and prevailed in a 5-3 decision. Case recognized the primacy of the state's interest in insuring the integrity of election process over first amendment interests on election day. One of the few cases in which state's interest was sufficient to survive strict scrutiny analysis.

Itel Containers v. Huddleston, 1992. Argued case and prevailed in an 8-1 decision. Case recognized the power of states to levy sales taxes that applied to domestic and foreign goods without differentiation. The tax was held not to violate the Federal Commerce Clause, Export-Import Clause, Supremacy Clause or treaty obligations.

Middlebrooks v. State of Tennessee, 1993. Argued case on 8th Amendment standards regarding the application of aggravating circumstances in first degree felony murder. Case dismissed as improvidently granted for lack of jurisdiction as a result of post-argument decision by the Tennessee Supreme Court.

**OTHER NOTABLE LITIGATION**

Tennessee Small School Systems v. McWherter, et. al., Equity funding challenges to the Tennessee school funding system. Tried case and argued the appeals in the Tennessee Court of Appeals and the Tennessee Supreme Court. Successfully mitigated plaintiff's challenges to Tennessee taxation system and funding system, enabling Administration and Legislature to craft constitutional reforms.

Roberts, Dalton et. al., v. Tennessee Department of Corrections, et. al., Argued case in trial courts and on the Sixth Circuit Court of Appeals. Successfully argued for the consolidation of all Federal Court 8th Amendment challenges to conditions in local jail cases with ongoing jurisdiction of case in Federal District Court dealing with state prison system conditions. The effect was a rational and efficient judicial administration of local and state jail and prison condition issues.

Grubbs v. Bradley, Argued case before the U.S. District Court for the Middle District of Tennessee. Obtained the dismissal of a 1985 federal district court consent order regarding conditions in the Tennessee state prison system.

ESM Government Securities of Florida (1984-1987). Bankruptcy and litigation proceeding in the U.S. District Court, Southern District. Lead negotiator for public entities against Alexander Grant with a $50 million recovery for clients; lead bankruptcy negotiator for public entities with a $25 million recovery. Lead trial attorney against FIDATA Corporation with a $10 million recovery. Lead counsel for Memphis City School systems with a $7 million recovery.

**OTHER**

Lead counsel in a $100 million negotiation for acquisition of major commodity processing company.

WYATT, TARRANT & COMBS, LLP

2525 WEST END AVENUE, SUITE 1500
NASHVILLE, TENNESSEE 37203-1423

615.251.6740
cbone@wyattfirm.com

**MEMORANDUM**

TO: Executive Committee

FROM: Charles Bone

DATE: February 26, 2001

RE: Representation of Energy Clients

The year 2000 presented an exceptional opportunity for me and Wyatt Tarrant & Combs ("WTC") as a result of my introduction to Enron Corp. by Johnny Hayes. We were employed to assist Enron in the negotiation of a settlement of litigation with the Tennessee Valley Authority ("TVA") and the advancement of several issues for better communication with Federal Energy Regulatory Commission ("FERC") Commissioner Linda Breathitt. The litigation between Enron and TVA settled for approximately $244 million, leaving both Enron and TVA very pleased and most appreciative of our work.

Enron has asked WTC to continue working on several matters during 2001 in association with Johnny Hayes. Total fees received from Enron for work during 2000 were $650,000 (most of this money was received in February 2001 and approximately $575,000 remains unallocated as of today).

The energy industry is in a great state of confusion, most significantly highlighted by the recent chaos and power outages in California. I believe we have an amazing opportunity to be a key player in our region and perhaps nationally. I propose:

(1) That we associate Johnny Hayes as an energy advisor to WTC and that we budget $325,000 to pay Hayes as a retainer for this work - $125,000 payable immediately, and the balance to be paid on a monthly basis over the next year - in addition to new opportunities which become available.

(2) That WTC retain Craven Crowell as a Senior Energy Advisor, beginning April 16, 2001 upon his retirement from TVA, and that Crowell be paid a retainer of $100,000

during the coming year, plus success fees for transactions and matters in which he is involved.

(3) That I be paid a bonus of $100,000.

(4) That none of the funds described above be allocated as originations or performance for me, but that the balance of $50,000 be retained by the firm as a premium for Enron work and that I be credited with origination and performance credit for that amount.

It is important to note that these significant fees for Enron are not being shared with Hayes or Crowell in any way. These fees simply allow us to make an investment in the future without any risk. I have intentionally not requested such an investment until we could "show you the money." Both Hayes and Crowell are very interested in working with us, and I enclose biographical information for both of them.

Crowell is prepared to begin work with us immediately upon his retirement as Chairman of TVA, and to announce his affiliation with us in conjunction with a reception to be hosted by Tennessee Governor Don Sundquist at the Governor's Residence in Nashville on April 5, 2001. Crowell will have a one-year ban from lobbying TVA, but will be available to advise us on TVA matters and work with us on non-TVA energy related matters and potentially other opportunities which he might bring to WTC.

Hayes and I are currently negotiating joint agreements for representation of Enron, Voith Siemens Hydro and others. Also, Hayes will be working with others who do not employ us as lawyers, and on certain other projects we will be representing Hayes, and assisting him rather than the third party client.

I believe our fees for these opportunities can be significant during this WTC fiscal year ending September 30, 2001. Because this work has permitted us to make an investment with "cash on hand", I think it is appropriate for WTC to pay me a bonus from the profits from this practice area (after expenses and payment for billing time at regular hourly rates). I will bill these projects at normal hourly rates and attempt to generate work for other lawyers from these opportunities. Since both Hayes and Crowell are covered from cash on hand, I would not anticipate significant expenses of any nature. I would propose that the bonus formula be as follows:

40% of the first $100,000;
50% of the second $100,000;
60% of the third $100,000; and
75% of all profits over $300,000.

**********
*** TX REPORT ***
**********

TRANSMISSION OK

| | |
|---|---|
| TX/RX NO | 4675 |
| CONNECTION TEL | 9 1 502 589 0309 |
| SUBADDRESS | |
| CONNECTION ID | LOUISVILLE |
| ST. TIME | 02/27 12:18 |
| USAGE T | 00'46 |
| PGS. SENT | 3 |
| RESULT | OK |

WYATT
WYATT, TARRANT & COMBS, LLP

2525 West End Avenue, Suite 1500
Nashville, Tennessee 37203-1423
615.244.0020
Fax: 615.256.1726

**TELECOPY TRANSMITTAL**

DELIVER TO: Kevin Hable

FROM: Charles Bone

DATE: 2-27-01

FAX NUMBER: Louisville

TOTAL NUMBER OF PAGES INCLUDING COVER SHEET: 3

MESSAGE:

CONFIDENTIAL

IF YOU DO NOT RECEIVE A CLEAR OR COMPLETE FAX, PLEASE CALL OUR OFFICE AT 615.244.0020. OUR FAX NUMBER IS 615.256.1726.

Client Name: Client No.:

Matter Name: Matter No.:

WYATT
WYATT, TARRANT & COMBS, LLP

2525 West End Avenue, Suite 1500
Nashville, Tennessee 37203-1423
615.244.0020
Fax: 615.256.1726

Charles W. Bone
615.251.8740
cbone@wyattfirm.com

March 9, 2001

Mr. Richard S. Shapiro
Managing Director and
Senior Vice President Government Affairs
Enron Corp.
1400 Smith Street
Houston, Texas 77002-7361

Mr. Ben F. Jacoby
Director, East Power Generation Development
Enron North America
1400 Smith Street
Houston, Texas 77002-7361

Dear Rick and Ben:

This letter will confirm our recent conversations and our firm's representation of Enron Corp. ("Enron") for calendar year 2001. Our representation will be limited to advice with respect to the development of policy at the Department of Energy ("DOE") and the Federal Energy Regulatory Commission ("FERC"), as well as specific projects of interest to Enron in dealings with the Tennessee Valley Authority ("TVA"). It is our understanding that we will be working directly on the matters set forth below with you. We will be working in association with Sideview Partners, Inc., specifically Johnny H. Hayes. This letter sets forth the terms of our joint engagement.

During this representation, we will promptly provide to you copies of all correspondence, notices or other significant documents generated or received by us. We will give you timely notice of all significant meetings and deadlines. We will consult with you in advance before undertaking any activity which is not considered routine. We understand that we will not be responsible for any state, federal or local tax matters or advice relating to this engagement. We understand that we should contact one of you when we need additional information, authority or direction in this matter. If, in the future, you wish us to represent Enron in another matter, that should be the subject of an additional letter similar to this one.

Our fees for this engagement will be as follows:

(1) A non-refundable retainer fee of $100,000, payable upon the execution of this letter; and

(2) A monthly retainer of $15,000 beginning February 1, 2001, and continuing through December 2001, provided this retainer shall be reduced to $10,000 monthly in the event we complete our work for Mr. Jacoby before December 2001.

WYATT, TARRANT & COMBS, LLP



(3) The payment of a "project fee" of $175,000 upon the achievement of each milestone described in Exhibit A to this letter.

(4) The payment of a "project fee" equal to 2% of the project's total cost upon the achievement of the milestone described in Exhibit B to this letter.

The fees described above for Enron include our billable time incurred in connection with telephone and office conferences with you and other representatives of Enron; any fees we might pay to consultants or others; factual investigation; legal research; drafting of letters and other documents; travel time; conferences among our legal personnel; and responding to your requests for us to provide information to Enron's auditors in connection with review or audits of financial statements.

Our fees include this Firm's normal overhead and internal operating costs. We do, however, bill our clients for reasonable and necessary costs and expenses incurred in performing our legal services, including but not limited to photocopying, long-distance telephone, telecopying, messenger and express delivery service, computerized research, and travel (including mileage, parking, first class airfare, lodging, meals and ground transportation). We do not bill our clients for word processing or secretarial time. We will submit a statement for our expenses monthly.

Enron will have the right at any time to terminate our representation, with or without cause, upon written notice to us, provided, however, that Enron will continue to be obligated to pay our fees set forth above, including the "project fees" referred to above. We reserve the right to withdraw from our representation if Enron fails to pay our statements promptly, or if we discover any fact or circumstance that would make our continuing representation unethical under applicable codes of professional responsibility. We will make every effort to identify in advance and discuss with you any situation which could lead to our withdrawal, including any potential or actual conflict of interest not presently known to us. If withdrawal ever becomes necessary, we will give you prompt written notice.

Upon any termination or withdrawal of our representation, Enron will take all steps necessary to free us of any obligation to perform further, including signing any documents necessary to complete our withdrawal, and we will be entitled to be paid for all services rendered and costs and expenses paid or incurred on behalf of Enron before the date of termination or withdrawal. Any of Enron's papers and property in our possession will be returned to Enron upon termination or withdrawal, but we will retain our own files relating to this matter, including copies of all documents generated or received by us in the course of our representation.

WYATT, TARRANT & COMBS LLP



We will keep all information confidential relating to our representation of Enron, unless disclosure is authorized by you or required by applicable codes of professional responsibility. We may, however, include Enron's name in a published list of this Firm's clients, unless you advise us to the contrary. We will notify you promptly if we receive any inquiries from the news media concerning our representation.

Finally, we ask Enron to acknowledge that Wyatt, Tarrant & Combs is a rather large firm with several offices in three states. Also, we know that Enron is involved in many businesses and represented by many law firms. Thus, we ask that you acknowledge the limited scope of this engagement and the ability of Wyatt, Tarrant & Combs to undertake other representation possibly in conflict to Enron in other matters during the course of this engagement or afterward. Obviously, we would like to engage in other matters for Enron and certainly will be sensitive to the nature or type of conflicts involved. This same description of the limited scope of this engagement shall apply to the activities and work of Sideview Partners, Inc. and Johnny Hayes.

RSS
after five (5) days notice to Enron

If you have any questions, now or at any time, please feel free to call me. If this letter accurately confirms Enron's understanding of our attorney-client relationship, please indicate acceptance by dating, signing and returning the enclosed copy of this letter to me. We appreciate very much being given the opportunity to assist Enron in connection with this matter, and we look forward to working with you.

Sincerely,

Charles W. Bone

Enclosure

ACCEPTED:
ENRON CORP.

BY: _____
Its: Managing Director SAB
Dated: 3-28-01

<S1821521
3/9/01 4:29 PM

WYATT
WYATT, TARRANT & COMBS, LLP

EXHIBIT A

Individual milestones subject to "project fee" payments:

(1) With respect to Haywood Power I, L.L.C. ("Haywood Power"), the abandonment by the Tennessee Valley Authority ("TVA") of its proposed 320 MW expansion of its Haywood County, Tennessee facility ("Lagoon Creek"), which results in Haywood Power being allowed by TVA to interconnect into an existing 500kV open bus position in the Lagoon Creek substation. Achievement of this milestone shall be evidenced by the interconnection specifications set forth in an Interconnection Agreement between TVA and Haywood Power, and the milestone shall be deemed to be achieved upon execution of said Interconnection Agreement.

(2) With respect to Haywood Power, the decision by TVA to eliminate the Network Upgrade related to the reactive power requirements as set forth in Haywood Power's System Impact Study, presently estimated at a total cost of $5 million. Achievement of this milestone shall be evidenced by the Network Upgrade requirements set forth in an Interconnection Agreement between TVA and Haywood Power, and the milestone shall be deemed to be fully achieved upon execution of said Interconnection Agreement to the extent such Network Upgrade cost is eliminated. To the extent that such Network Upgrade cost is less than $5 million, but greater than zero, a pro rata portion of the $175,000 project fee shall be paid.

(3) With respect to Calvert City Power I, L.L.C. ("Calvert"), the elimination of TVA's present requirement for Calvert to pay for a Network Upgrade consisting of the construction of a new 30 mile 500kV transmission line from Cumberland to Montgomery. Achievement of this milestone shall be deemed to occur upon (i) Calvert having determined in its sole and absolute discretion that the overall project economics of its 510 MW generation facility are viable, and (ii) execution of an Interconnection Agreement between TVA and Calvert. To the extent that the Network Upgrade cost is shared by TVA, a pro rata portion of the $175,000 project fee shall be paid.

WYATT
WYATT, TARRANT & COMBS, LLP

**EXHIBIT B**

The development and construction of a generating facility in cooperation with or partnership with certain distributors of the Tennessee Valley Authority ("TVA") (a "Development Project"). Any such Development Project shall initially be proposed by Wyatt or Sideview Partners, Inc. exclusively to Enron. Enron shall have thirty (30) days to make a determination as to whether to proceed with any proposed Development Project. Enron shall not have any obligation to proceed with any Development Project. At such time as all definitive agreements related to the Development Project have been executed, the Development Project shall be deemed to be an approved Development Project (an "Approved Project"). For purposes of payment of the project fee, the milestone shall be deemed to be achieved, for Approved Projects only, 50% upon the commencement of construction of the Approved Project, and 50% upon commencement of commercial operation of the Approved Project. For the avoidance of doubt, a project fee shall not be payable for any Development Project unless it becomes an Approved Project as defined herein.

WYATT
WYATT, TARRANT & COMBS, LLP

2525 West End Avenue, Suite 1500
Nashville, Tennessee 37203-1423
615.244.0020
Fax: 615.256.1726

Charles W. Bone
615.251.6740
cbone@wyattfirm.com

March 9, 2001

Mr. Richard S. Shapiro
Managing Director and
Senior Vice President Government Affairs
Enron Corp.
1400 Smith Street
Houston, Texas 77002-7361

Mr. Ben F. Jacoby
Director, East Power Generation Development
Enron North America
1400 Smith Street
Houston, Texas 77002-7361

Re: Haywood County, Tennessee

Dear Ben and Rick:

This letter will confirm our conversations with respect to this firm's representation of Haywood County, Tennessee in its dealings with the Tennessee Valley Authority ("TVA") and any potential conflict with respect to our representation of Enron. It is our understanding that Enron has no objection whatsoever to our continued representation of Haywood County and acknowledges at this time that the interests of Haywood County and Enron with respect to TVA matters are consistent, and that our continued representation of both Haywood County and Enron is appropriate. We are sending a copy of this letter to Judge Lyle Reid, who is counsel to Wyatt, Tarrant & Combs and represents Haywood County, and to County Executive John Sharpe, Jr.

Sincerely,

Charles W. Bone

CWB/vs

cc: Judge Lyle Reid
County Executive John Sharpe, Jr.

WYATT, TARRANT & COMBS, LLP

2525 WEST END AVENUE, SUITE 1500
NASHVILLE, TENNESSEE 37203-1423

615.251.6740
cbone@wyattfirm.com

MEMORANDUM TO: Executive Committee

FROM: Charles Bone

DATE: March 14, 2001

RE: Proposal to Form The Energy Group ("TEG")

1. Create new LLC. Create The Energy Group as a subsidiary of Wyatt, Tarrant & Combs ("WT&C").

2. Business Description. TEG would begin with a base of work provided by Enron Corp. and other vendors or potential vendors for the Tennessee Valley Authority ("TVA"), and would retain Johnny Hayes and Craven Crowell (former TVA Directors) as consultants. The Enron agreement also provides for work on matters at the Federal Energy Regulatory Commission ("FERC"), where Linda Breathitt is a member. Target clients would include utilities in addition to the TVA, specifically Kentucky utilities and distributors such as Memphis and Nashville, where we have relationships, but have not worked on regulatory and energy-related issues (These utilities have traditionally used consultants, engineers and Washington attorneys). We believe that this work should produce additional opportunities for legal work.

3. Capital Contribution. WT&C contributes $575,000 as capital, which is the premium to date on Enron work.

4. Consultant Agreements.

   A. Retain Johnny Hayes
      (1) Letter
      (2) Bio
      (3) Legal Memorandum

   B. Retain Craven Crowell
      (1) Letter
      (2) Bio
      (3) Legal Memorandum

5. Legal. Charles Bone will serve as Managing Director of TEG; WT&C lawyers, including Bone, will bill TEG at standard rates.

6. Contracts Pending.
   A. Enron
   B. Voith Hydro

7. Payments to Bone. From profits of TEG, Bone to be paid: _____

Charles W. Bone
615.251.6740
cbone@wyattfirm.com

March 14, 2001

Mr. Johnny H. Hayes
Sideview Partners, Inc.
530 Rock Bridge Road
Gallatin, Tennessee 37066

Dear Johnny:

On behalf of Wyatt, Tarrant & Combs ("Wyatt"), I wanted to thank you for your assistance in introducing us to Enron Corporation, and to tell you how excited we are about our relationships with you as we approach a more significant opportunity to work in the energy industry.

Wyatt looks forward to an exclusive three-year relationship with you as we work on energy-related matters together. This relationship will continue until December 31, 2003, unless we renew it before that date. We suggest that this relationship be exclusive, because we would like to have the ability to approve any work you might do for other law firms, or in conjunction with other law firms, to the exclusion of Wyatt. Our rationale for this position is based upon our desire to establish a national recognition in the area of energy-related legal matters and transactions.

Upon your approval of this relationship, we will pay you $100,000; further, we will pay you $100,000 on or before January 15, 2002; and we will pay you $125,000 on or before January 15, 2003. Furthermore, we look forward to working with you on specific matters which will be identified as we pursue this relationship. We currently contemplate several relationships where you and Wyatt would be employed jointly to work for certain companies, e.g. Enron, Voith, etc., and each of these relationships will be covered by specific engagement agreements.

Unless otherwise agreed, we will have no further obligations to you as a consultant to our firm in the form of benefits, insurance or other expenses. We understand that you have purchased errors and omissions coverage for the work

that you are doing, and we would ask that you provide a copy of your insurance policy for our files on an annual basis.

Again, we are excited about the opportunity to work with you, and we look forward to our relationship.

Sincerely,

Charles W. Bone

Kevin J. Hable

Accepted and approved, this
_____ day of _____, 2001.

_____
Johnny H. Hayes

**Johnny H. Hayes**
**Biographical Information**

Johnny H. Hayes is the principle manager of Sideview Partners Inc. A firm specializing in the development of business and public policy solutions for challenges faced by utilities, manufacturing and service companies, economic development and government agencies. The firm creates solutions to problems through access to Mr. Hayes national network of business and government contacts among corporate and political leaders. His business contacts are complimented by his partnership network with marketing, public relations, political lobbying, technology management, legal ,economic development, and management consulting firms with the expertise required to turn problems into solutions that provide a competitive edge.

Hayes is the former National Finance Chairman for the Gore 2000 Presidential campaign. Serving from 1998 to 2000 he played a key leadership role in managing the national fund raising network which raised over $ 300 million in 50 states. He served as a key policy advisor and strategist in the development and execution of the Gore 2000 campaign plan.

Mr. Hayes was appointed to the Tennessee Valley Authority Board of Directors in 1993 by President Clinton. He played a key role in the development of plans to reinvigorate the agency's relationship with 220 municipal, rural cooperative power distributors and directly served industrial customers. He developed strong working relationships with other electric utilities in the southeast to assure open communication, cooperation, and fair competition in the best interest of the general public. In addition he lead initiatives to craft the "TVA Title", a consensus agreement among TVA customers to assure the agencies future and introduce competition and customer choice as federal and state governments consider deregulation options.

As a TVA Director Hayes lead strategic plans to cap the agency debt ceiling and develop long term plans for debt and rate reduction. He lead efforts to bring all of TVA's generating assets into production, instituted and a strong business planning and quality management program designed to improve productivity and keep electric rates affordable. He lead efforts to work in cooperation the United States Treasury to refinance federal debt and replace it with private bonds at lower rates. This freed the agency from all federal funding obligations and made TVA a 100% rate payer supported entity for the first time in it's history. Today The TVA power and transmission system are setting industry records for productivity and cost efficiency.

Hayes lead the development of strategies to improve the quality of life and economic opportunity for the 8 million people of the Tennessee Valley region. He developed a new agenda for economic development which phased out federal appropriations and replaced it with a power funded investment program which includes a $ 100 million revolving loan program for new plant and expansion infrastructure and venture capital investments for small business start-up and growth. These funds are complimented by an international magazine "Economic Edge" designed to tell the story of real company business

experiences in the Tennessee Valley region and attract investors. To support the capital investment planning of companies in search of investment opportunities he developed the "Site Selector" information system. An INTERNET based database which set new standard in industrial site selection by combining the latest technology and database management techniques to provide business with the photography, mapping, regional and site specific economic and engineering data they need to make decisions. These investments created over 75,000 new jobs for the region and leveraged over $ 3 billion of new capital investment over 5 years.

To support Valley communities he lead the design and development of the Ocoee River Kiaking course used for the 1996 Atlanta Olympic Games. The investment has revitalized the economy of the Ocoee River region based on a new white water rafting industry.

To provide greater opportunities to help young people make the transition from school to work Hayes implemented a series of education initiatives including the TVA Power Distributor Scholarship Fund to help students pay college tuition, "The Investment Challenge" which offered college students in Valley Universities the opportunity to compete with each other to develop profitable stock portfolios, and the Computer Aided Design program which gave high school students an opportunity to participate in a school to work program that helped them gain experience in working in a corporate information systems department. These programs were complimented by a significant redesign of the TVA internship program designed to provide college students opportunities to gain work experience and earn income to compliment their college experience and improve their marketability in the work force.

Before joining TVA, Hayes served two appointments in the cabinet of Tennessee Governor Ned McWherter. First as Commissioner of Employment Security in 1991 and later as Commissioner of Economic & Community Development. A Commissioner he lead efforts to modernize the state telecommunications infrastructure with fiber optic communications capabilities and worked to develop information technology applications for rural schools and business using INTERNET applications. He lead the design of the Tennessee Quality Award to incent Tennessee businesses to achieve the quality standards set by the Malcomb Baldridge Quality Award. He was a sponsor of Tennessee Tomorrow, a state public privat4e partnership designed to join business and government leaders for the purpose of developing state economic development strategies.

Before entering public service, Hayes founded and organized Newman, Hayes & Dixon, an independent insurance agency in Hendersonville, Tennessee, that he built into a firm with multi-million-dollar sales. He served on the Sumner County Commission and the Sumner County Industrial Board, and was a member of the Hendersonville and Gallatin Chambers of Commerce. He is a former director of the Independent Insurors of Tennessee.

Hayes is former co-chairperson of the East Tennessee Community Relations Council and chairperson of the Black Achievers in Knoxville, a nationwide community-based program that promotes role models for African-American youths.

Hayes was born in Alcoa, Tennessee, and was graduated from Tennessee Technological University in 1962. He is a member of Bethpage United Methodist Church in Sumner County where he was Superintendent of Sunday Schools for 18 years. He and his wife, Mary Howard, have three children: Craig, Amy, and Mary Kate.

Charles W. Bone
615.251.6740
cbone@wyattfirm.com

March 14, 2001

Mr. Craven Crowell
301 Heathermoor Drive
Knoxville, Tennessee 37922

Dear Craven:

We are very pleased that you have agreed to become a consultant to our law firm beginning April 16, 2001. Your title will be "Senior Policy Advisor for Energy Matters", unless we mutually agree on another title.

We will pay you a consulting fee equal to $8,333.33 on the first day of each month beginning May 1, 2001 for twelve (12) months, but we will pay no benefits or other expenses unless approved in advance. We will make you available to our clients on a consulting basis for $500 per hour, and we will agree to share these fees with you, as well as any other fees brought into our firm as a result of your involvement or referral, on a 50/50 basis. We will expect you to work with us on all matters that relate to the TVA in which you are involved . Otherwise, we will work together on other matters from time to time as we agree .

We are excited about this opportunity to work with you and look forward to our new relationship.

Sincerely,

Charles W. Bone

Kevin J. Hable

Accepted and approved, this
_____ day of _____, 2001.

_____
Craven Crowell

**Craven Crowell**
Chairman
Tennessee Valley Authority

**Biographical Data**

Craven Crowell was appointed to the TVA Board of Directors by President Clinton and confirmed by the U.S. Senate and designated Chairman in 1993.

In his eight years as Chairman, Mr. Crowell has focused primarily on upgrading TVA's operational systems and strengthening TVA's financial position.

Today, TVA's five nuclear units are among the top performers in the U.S., and in the past two years all five received prestigious INPO 1 ratings, the highest possible rating from the Institute of Nuclear Power Operations. Production costs for TVA's combined fossil, nuclear, and hydro units are the third lowest among the nation's 50 largest utilities.

Mr. Crowell is Chairman of the Board of Directors of EPRI (the Electric Power Research Institute), which conducts technological and environmental research for the electric utility industry. He also serves on the Board and Executive Committee of the Nuclear Energy Institute (NEI), which provides information and policy support on matters relevant to nuclear power.

Under Mr. Crowell's leadership, TVA has established financial stability. While taking steps to cap TVA's debt, he supported an aggressive program of refinancing some $23 billion of debt, much of it by offering TVA bonds to investors in European and Asian markets. In 1997, Mr. Crowell directed the development a business plan to reduce TVA's debt and keep costs competitive through the year 2007. In the first five years, TVA reduced its debt by more than $2.2 billion.

In speeches at Harvard University, the City Club of Cleveland, the Orange County World Affairs Council, and the Institute of Economic Affairs European Electricity Conference in Brussels, Mr. Crowell has emerged as an industry expert on the importance of promoting public power's continuing role in the electric utility industry. Mr. Crowell also has been instrumental in developing a closer relationship between TVA and the People's Republic of China. In addition, he has led or participated in several international trade missions to Europe and Asia.

Crowell has a total of 17 years with TVA, serving previously as a vice president and a member of TVA's senior management team. Prior to becoming Chairman of TVA, he was chief of staff to U.S. Senator Jim Sasser. Early in his career he was an award-winning reporter and city editor at the Nashville *Tennessean*.

Mr. Crowell is a native of Nashville, Tennessee, and a graduate of Lipscomb University. He served in the Marine Corps Reserve and Naval Reserve. He and his wife, Freddie, have a daughter, Stephanie Goff, who lives in Nashville.

2/01

**MEMORANDUM**

TO: Kevin Hable

FROM: Mike Norton

DATE: March 21, 2001

RE: Payment to Johnny Hayes

---

I have been asked to review the proposed transaction with Johnny Hayes, under which the firm will pay Hayes a total of $325,000. Specifically, I have been asked to review applicable laws and regulations and give you my opinion with regard to whether or not such payment is permitted under those laws and regulations.

Based on the information furnished to me (which I did not attempt to independentlyv erify), Hayes was formerly a director of the Tennessee Valley Authority, and his term ended on February 1, 1999. Since that date, Hayes has not been employed by TVA in any capacity. During the last year, Hayes was contacted by Enron Corp., a large multi-national energy company based in Houston, Texas, and was requested to assist Enron with a contractual dispute then pending with TVA. Hayes declined to represent the interests of Enron before the TVA, believing that his prior service as a director disqualified him from direct contact with TVA employees and directors on behalf of Enron. Hayes did, however, recommend to Enron that it retain our firm to represent it before TVA. Charles Bone was primarily responsible for that representation, which ultimately was resolved in a manner believed by Enron to be very favorable. During the course of the matter, the Firm consulted with Hayes with regard to our handling of the matter. The engagement letter with Enron specifically contemplated the firm retaining consultants to advise with Enron's engagement. This consultation (generally referred to as "behind the scenes" work) did not involve any direct contact with Hayes before the TVA. As a result of our handling of this matter, Enron paid a large premium to the firm.

The Firm now proposes to pay Hayes a series of payments totaling $325,000, of which $100,000 would be payable immediately, $100,000 would be payable on January 15, 2002 and $125,000 would be payable on January 15, 2003. This payment is proposed to be made in consideration of Hayes' referring this matter to the Firm, for his behind the scenes consultation services during the course of the representation, and for Hayes' agreement to be available to work on energy related matters in the future (although such matters would be handled on a case by case basis and these fees do not necessarily include payment for future services).

My review of this matter did not indicate that such a payment would violate any applicable law and regulation, and that it appears to be permissible under the Tennessee Code of Professional Responsibility applicable to lawyers. I did not review the corresponding professional responsibility rules applicable to lawyers in Kentucky or any other state. Hayes is not an attorney.

18 U.S.C. §203

This statute generally makes it unlawful for a federal officer to seek, accept or agree to receive any compensation for representational services rendered or to be rendered by another at a time when the person was a federal officer of employee. This statute prohibits the Firm from paying any compensation to Hayes from the profits of the Firm received from the representation of a client in a matter involving the federal government at the time that Hayes was a TVA director. It does not appear from the facts that this statute would be violated by the payment of the $325,000 to Hayes.

Since the $325,000 is a portion of the fee paid the Firm by Enron, to violate the statute our representation of Enron would have to had occurred at a time when Hayes was a director of TVA. Since this is not the case, the statute would not prohibit the payment in question.

18 U.S.C. §207

The provisions of this section impose a number of restrictions applicable to Hayes. The statute imposes a restriction for two years after leaving his position with TVA with regard to any appearance or communication with the TVA on a matter he knew or should have known was pending under his "official responsibility" within one year of the termination of his employment. In addition, there is a lifetime ban on communications or appearances before an employee of TVA on a matter in which he participated personally during his tenure. Neither statute is applicable with regard to this matter, since I understand that Hayes did not make any appearances before a TVA employee and did not contact any TVA employee on behalf of Enron.

Tennessee Code of Professional Responsibility

DR 3-102 of the Disciplinary Rules of the Tennessee Code of Professional Responsibility provides that no law firm can "share legal fees" with a non-lawyer. Accordingly, if the Firm is simply splitting the Enron legal fee with Hayes, the arrangement is not permissible. On the other hand, if the Firm has agreed to make these payments based on services provided by Hayes for advice and consultation on matters for Enron, the payments do not appear to be an unethical fee splitting arrangement.

WYATT, TARRANT & COMBS, LLP

2525 WEST END AVENUE, SUITE 1500
NASHVILLE, TENNESSEE 37203-1423

615.251.6740
cbone@wyattfirm.com

MEMORANDUM

TO: Kevin Hable

FROM: Charles Bone

DATE: March 28, 2001

RE: Energy Work

1. It is my understanding that in the Executive Committee meeting today, we are only discussing the request which I have tried to summarize in the attached memorandum. I would welcome your revision of this in any way. If it needs to be longer or more specific, please let me know.

2. It would really help if you could complete the proposed letter to Johnny Hayes today, so that we could pay him the initial payment of $100,000 this week. Please let me know if you want me to revise the letter, or if you want any type of acknowledgment from Enron to complete this file.

3. It is my understanding that there will be no law firm deal with Craven Crowell at all. Of course, I would still like to consider naming him as a Senior Energy Adviser without pay, either now or in the future, if we can talk about that further.

4. We have not had another specific conversation about the manner in which the remaining premium from the Enron fee (approximately $250,000) will be handled. I assume that will be credited to my account as originated and performed. I would suggest that all of the time spent by all of us on this matter over the past several weeks, in addition to the time I have spent to date this year on Sideview and Enron, be charged there, so that we start on April 1 with a clean slate.

5. I understand that you are as comfortable as you can be with the proposed Shop At Home relationship. I understand that any compensation which I receive from Shop At Home will be paid to the law firm. I assume that I will keep the stock options which I have had for several years, which may be renewed or increased. If there is any question about this, please let me know.

WYATT, TARRANT & COMBS, LLP

2525 WEST END AVENUE, SUITE 1500
NASHVILLE, TENNESSEE 37203-1423

615.251.6740
cbone@wyattfirm.com

MEMORANDUM

TO: Kevin Hable

FROM: Charles Bone

DATE: March 28, 2001

RE: Executive Committee Meeting

We would ask that the Executive Committee of Wyatt, Tarrant & Combs ("WTC") approve the proposal of Charles Bone to participate as a principal in an entity to be owned by Bone, which would participate with Johnny Hayes and Craven Crowell in services rendered by them to third parties for advice and work on primarily energy-related matters, most of which would be focused on TVA-related work. Bone would commit that all work performed for Hayes or Crowell-related customers/clients would be billed by Bone at standard rates. Bone would certainly acknowledge that this approval would be an extraordinary act by Wyatt for his economic benefit and he would commit to continue his enthusiastic efforts on behalf of WTC.

WYATT
WYATT, TARRANT & COMBS, LLP

2525 West End Avenue, Suite 1500
Nashville, Tennessee 37203-1423
615.244.0020
Fax: 615.256.1726

Charles W. Bo
615.251.67
cbone@wyattfirm.co

March 29, 2001

Mr. Johnny H. Hayes
Sideview Partners, Inc.
530 Rock Bridge Road
Gallatin, Tennessee 37066

Dear Johnny:

On behalf of Wyatt, Tarrant & Combs ("Wyatt"), we wanted to thank you for your assistance in introducing us to Enron Corporation, and to tell you how excited we are about our relationships with you as we approach a more significant opportunity to work in the energy industry.

Specifically, we acknowledge and thank you for your advice and consulting services to Wyatt as we represented Enron during 2000. Your referral and subsequent advice helped Wyatt to become very successful in its work for Enron and we anticipate additional opportunities for continued work.

Upon your approval of this letter, we will pay you $100,000; further, we will pay you $100,000 on or before January 15, 2002; and we will pay you $125,000 on or before January 15, 2003. These payments will be made to your heirs or assigns in the event of your death. Furthermore, we look forward to working with you on other matters in the future.

Sincerely,

Charles W. Bone

cc: Kevin J. Hable

Accepted and approved, this
30 day of March, 2001.

Johnny H. Hayes

**CHARLES W. BONE**
**2525 West End Avenue, Suite 1500**
**Nashville, Tennessee 37203**

April 5, 2001

Mr. Craven Crowell
Knoxville, Tennessee

Dear Craven:

This letter will confirm our agreement beginning April 16, 2001. I will pay you, or cause to be paid to you by an entity formed by me, the total of $100,000, payable in monthly installments for a term of one year. The payment will be made directly to you or to Crowell & Associates or any other entity that you might designate.

During the period of time covered by this agreement, you will be an independent contractor and will receive no other benefits other than the monthly retainer, and we will seek opportunities to work together on matters that you might originate or we might seek out together. We contemplate that these matters will be related to the energy industry, and specifically within the Tennessee Valley area, although we both recognize that you will be unable to be involved in specific TVA-related matters due to certain laws and regulations.

We contemplate that matters generated by our mutual relationship will generate compensation that we will divide equally unless otherwise agreed. As we identify matters on which we can work together, we will agree on a matter by matter basis as to the specific division of the resulting compensation, with a view toward dividing the compensation equally, unless we agree otherwise.

I am excited about our relationship, and look forward to working with you.

Sincerely,

Charles W. Bone

CWB/vs

Accepted and agreed to this
17 day of April, 2001.

Craven Crowell

WYATT, TARRANT & COMBS, LLP

2525 WEST END AVENUE, SUITE 1500
NASHVILLE, TENNESSEE 37203-1423

615.251.6754
vscruggs@wyattfirm.com

MEMORANDUM TO: Deneen Kauchak and Deb Engle

FROM: Charles Bone / Vicki Scruggs

DATE: April 30, 2001

RE: Allocation of Enron Funds

Tomorrow morning we plan to allocate the Enron funds presently held by the firm, as follows:

| | |
|---|---|
| $504,086.71 | Total Unallocated (010859-089244 Enron Corp and Gov) |
| +$67,152.92 | Total Unallocated (010859-089587 Enron DOE and FERC- Move to 089244) |
| $571,239.63 | Total Unallocated |
| -$100,000.00 | Advanced as Expense to Johnny Hayes (089244) |
| -$225,000.00 | Hold as Future Expense to Johnny Hayes (089244 or New Escrow Account) |
| $246,239.63 | Balance to be Allocated |
| | |
| -$ 4,224.01 | (089244 - Corp and Gov - All CWB-JKW and RTB time moved to Enron 2001) |
| -$ 1,530.23 | (089587 - DOE & FERC - All Expenses) |
| -$ 1,750.00 | (090757 - TN Matters - All CWB) |
| -$ 9,747.91 | (091525 - 2001 - CWB, RB, JKW Time) |
| -$ 12,572.80 | (018852-064824 - Johnny Hayes / Sideview Partners, Inc. - Various Attys) |
| -$ 16,450.00 | (089244 - Corp and Gov - All CWB Time 47.0 hours at $350 Now Entered) |
| -$ 1,170.00 | (091525 - 2001 - CMN Time 4.5 hours at $260 Now Entered) |
| -$ 1,219.00 | (091525 - 2001 - RTB Time 9.75 hours at $125 Now Moved) |
| | |
| $197,575.68 | (089244 - Balance Remaining - To be allocated as premium to CWB) |

WYATT
WYATT, TARRANT & COMBS, LLP

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Nashville, Tennessee 37203-1423
615.244.0020
Fax: 615.256.1726

Charles W. Bone
615.251.6740
cbone@wyattfirm.com

September 7, 2001

Mr. Randall M. Pais
Legal Department
Enron Wholesale Services
1400 Smith Street
Houston, Texas 77002

Dear Randy:

This letter will confirm the recent conversations between Ben Jacoby and Fred Mitro of Enron North America and Wyatt, Tarrant & Combs ("Firm") regarding representation of Enron Corp. ("Enron"). This representation will be limited to advice with respect to the development of the "Shelby Project", a planned natural gas fired project with an initial phase of up to 650 MW, operating in simple cycle, with a potential future expansion to combined cycle, owned by Shelby Power I, LLC, a Delaware limited liability company wholly owned by Enron North America Corp., located on a 97-acre site in Shelby County, Tennessee, approximately two miles northwest of the town of Arlington, Tennessee.

During this representation, we will promptly provide to you ("Responsible Attorney"), or such other attorney that Enron may designate, copies of all correspondence, notices or other significant documents generated or received by us. We will give you timely notice of all significant meetings and deadlines. We will consult with you in advance before undertaking any activity which is not considered routine. We understand that we should contact you when we need additional information, authority or direction in this matter, and that you will coordinate with Ben Jacoby, Fred Mitro or such other Enron representatives on the Project to ensure that we will have the necessary information to support Enron's activities on the Shelby Project. If, in the future, we are asked to represent Enron in another matter, that engagement should be subject to mutual agreement and in consideration of the concepts included in this letter.

Our fees for this engagement will be based on the hourly billing rates charged by each of our lawyers, as follows:

| | |
|---|---|
| Charles W. Bone | $350 |
| Thomas R. Dyer | $300 |
| William L. Penny | $250 |
| Richard C. Raines | $240 |
| Jay West | $250 |
| (non-lawyer; Director/Government Affairs) | |
| John Knox Walkup | $300 |

In an effort to reduce legal fees, we will use associates and paralegals to assist with this engagement as may be needed and appropriate. The time of paralegals is charged at

WYATT
WYATT, TARRANT & COMBS, LLP

500 West Jefferson Street, Suite 2800
Louisville, Kentucky 40202-2898
502.589.5235
Fax: 502.589.0309

Kevin J. Hable
502.562.7232
khable@wyattfirm.com

Committee on Governmental Affairs
**EXHIBIT #D-9**

February 15, 2002

Charles Bone, Esq.
BoneLaw PLLC
2525 West End Avenue
4th Floor
Nashville, Tennessee 37203

Dear Charles:

I have received your letter dated February 6, 2002. While I appreciate the time you took to write it, your letter is unresponsive to the issues I raised with Mr. Hayes and later with you. Let me outline them again.

1. I discovered after you left the firm that some of our Enron files have items missing from them. For example, the lobbying report you filed on behalf of the firm was not in our files and we received it from you only after being made aware of its existence from newspaper reporters. Our files also do not have any information or documentation in them concerning the work Mr. Hayes did for the firm. I told you in March of 2001 that the firm could not pay Mr. Hayes $300,000 merely for making a phone call to introduce you to someone at Enron. You assured me at that time that Mr. Hayes would be providing ongoing services to the law firm. In my recent phone conversation with Mr. Hayes, he told me that he had provided services to the firm in several matters, that he had documentation evidencing those services and that he would provide that documentation to me. He has not yet provided such documentation.

Your February 6 letter makes reference to "behind the scenes" assistance provided by Hayes, "including an explanation of the decision-making process at TVA, the personalities involved, suggestions of how the decision-making process might be expedited, etc.". Your letter also states that Mr. Hayes met with you and Linda Breathitt and that you and he had a meeting with Enron representatives. I am not sure whether your recollection of Mr. Hayes' services in your letter is meant to be a complete listing of everything he did for the firm. I am also uncertain about whether to expect documentation and a listing from Mr. Hayes concerning his services.

2. In my recent conversation with Mr. Hayes, I told him that the press reported that he had filed lobbying reports showing that he had received $200,000 from Enron. I told him that it appeared that he had already received $300,000 for his services - $100,000 from the law firm and $200,000 from Enron. Mr. Hayes told me that the $200,000 payment was

WYATT, TARRANT & COMBS, LLP



for work he did for Enron unrelated to the law firm's relationship with Enron. I believe that he offered to provide me evidence of this. I do not yet have such evidence.

3. On March 9, 2001 you executed, on behalf of the law firm, an engagement letter with Enron which was in turn executed on March 24, 2001 by an Enron representative, a copy of which you attached to your February 6, 2002 letter to me. The fee arrangement agreed upon in the letter included the payment of a nonrefundable retainer of $100,000 and monthly retainers of $15,000 per month from February 2001 through December 2001. The letter makes clear that the fees are for Wyatt's legal work, that the fees are to be paid to Wyatt, and that Wyatt will pay any consultants' fees out of the fees received by Wyatt. Wyatt never received those fees. Your February 6 letter states that they were instead paid to Sideview Partners. There is nothing in our files that would authorize the payment of our fees to Sideview and you did not have the authority to make such an authorization. Furthermore, there is no evidence in our files of any agreement between Wyatt and Sideview regarding the scope of consulting services to be provided by Sideview or the fee arrangement for those consulting services in connection with the March engagement letter.

The fees received by Sideview should have been paid to Wyatt. They must be paid to Wyatt promptly. Then Wyatt and Sideview can agree, as the March 2001 engagement letter provided, on any consulting fees that should be paid by Wyatt to Sideview.

I am eager to resolve the issues surrounding Johnny Hayes and Sideview Partners. I want to make it clear, however, that issue #3 above must be resolved as a part of that process.

Sincerely yours,

WYATT, TARRANT & COMBS, LLP

Kevin J. Hable

KJH:ml

15102001.1

Committee on Governmental Affairs
**EXHIBIT #D-10**

# FEDERAL ENERGY REGULATORY COMMISSION

Office of Commissioner Linda K. Breathitt
888 First Street, N.E.
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Washington, DC 20426
(202) 208-0377
FAX (202) 208-0674

Fax Transmittal Form

TO: Charles Bone

ORGANIZATION: Wyatt Tarrant & Combs

FAX NUMBER:

VERIFY PHONE NUMBER:

NUMBER OF PAGES (EXCLUDING COVER SHEET): 2

**********

FROM: Linda Breathitt

PHONE NUMBER:

COMMENTS: Here is the page from the transcript referring to Sen. Reed's comment about Dad. It's really rather funny – he will get a big laugh I'm sure. Nothing ever happened as a result.

OK per ph on 6/28

WTC 0574

STEVE PEACE TALKING

circumstance up.

Which is why, as we go, I want to try and keep the history together. The reason why I jump in is to put these collateral pieces of information as things were developing in parallel and different universes.

And what you see is a pattern of behavior, not only inside corporate boardrooms, in which they were legitimately pursuing, as you put it, their fiduciary responsibility to maximize profit, but they also pursued their positions where their agents had fiduciary responsibilities to the public in influencing policies developed at the ISO, policies developed at the PX, and policies at FERC, where you have a situation even today where you have this swing with a FERC board member's father, one of the most influential consultants to the independent energy industry in the world. He seems to be wonderfully silent throughout this entire period and looking for direction from others.

That's why I asked you the question about it. I have great deal of respect for the intelligence of the folks at FERC, and that's why I asked you the prior question.

CHAIRMAN DUNN: Senator Johannessen.

SENATOR JOHANNESSEN: Thank you, Mr. Chairman.

We are talking, and one of the problems, of course, was the question of generating capacity for the utilities.

Who purchased these generating facilities that were divested? Who purchased these?

DR. WOLAK: The generators: Duke; Dynegy;

Committee on Governmental Affairs
**EXHIBIT #D-11**

# FEDERAL ENERGY REGULATORY COMMISSION

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Fax Transmittal Form

TO: Charles Bone

ORGANIZATION: Wyatt Tarrant & Combs

FAX NUMBER: 615 - 251 - 6764

VERIFY PHONE NUMBER:

NUMBER OF PAGES (EXCLUDING COVER SHEET): 2

**********

FROM: Linda Breathitt

PHONE NUMBER: See above

COMMENTS: Charles, Here is a copy of Gov. Patton's FYI.

COMMONWEALTH OF KENTUCKY
OFFICE OF THE GOVERNOR

PAUL E. PATTON
GOVERNOR

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SUITE 100
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(502) 564-2611
FAX: (502) 564-2517

August 23, 2000

The Honorable William Jefferson Clinton
President of the United States
The White House
Washington, DC 20500

Dear President Clinton:

I am writing to you about a matter concerning a citizen of the Commonwealth of Kentucky, Linda K. Breathitt. Having been appointed by you on October 24, 1997, and confirmed by the Senate on November 7, 1997, Linda is a sitting Commissioner on the Federal Energy Regulatory Commission (FERC).

As you know, the term of FERC Chairman James J. Hoecker expired on June 30, 2000, and you have nominated Chairman Hoecker for a new term. However, should Chairman Hoecker leave the Commission before his nomination is confirmed, I urge you to appoint Linda Breathitt to be the next FERC Chairman.

The appointment of this native Kentuckian would be well-received not only in Kentucky, but in the entire region as well. Linda is an excellent choice for a number of reasons, and she comes from one of the more prominent families in our state. She is the daughter of ex-Governor Edward T. Breathitt, Jr.

Linda has extensive experience as a regulator, having served as Chairman of the Kentucky Public Service Commission prior to her appointment to FERC. As a FERC Commissioner, Linda has taken a moderate, centrist position from which she has assumed effective leadership in restructuring electricity markets and shaping competition in the natural gas industry. Linda's consensus-building abilities are unparalleled on the Commission, and she has been instrumental in crafting successful resolutions to contentious energy issues of great national significance. Linda has earned the respect and broad support of public interest and industry groups that appreciate her balanced and pragmatic approach.

Mr. President, as energy markets in the United States transition into a new era of competition, I am confident that Linda Breathitt can be entrusted with providing intelligent and responsible leadership to the Federal Energy Regulatory Commission. I appreciate your consideration of her candidacy.

Sincerely,

Paul E. Patton

c: John Podesta
Bob Nash

BCC: LINDA BREATHITT
TODD BLEDSOE

EDUCATION PAYS

AN EQUAL OPPORTUNITY EMPLOYER M/F/D

Committee on Governmental Affairs
EXHIBIT #D-12

Linda Breathitt
2901 Tabor Oaks Lane
Lexington, Kentucky 40502
606/268-7731 Home
606/268-7761 Fax

To: Charles Bone

From: LKB

Date: 8-29-00

(4 pages including cover)

I wonder who the sources are? I bet you never imagined FERC staff could be so intriguing and have no less than 12-14 trade publications covering it.

Welcome to the sometimes arcane world of "energy politics" & energy issues.

I can venture to say that Bill, Curt & I disagree w/ most of these, sources say, comments especially on the one having to do w/ who has the better ties. Any one of us could run the agency. Talk to you soon.

# Inside F.E.R.C.

An exclusive weekly report on the Federal Energy Regulatory Commission

August 23, 2004


## IMPACT OF DEADLY PIPELINE RUPTURE ON EL PASO SYSTEM FELT FAR AND WIDE

In the wake of the devastating rupture Aug. 19 on the El Paso Natural Gas Co. system in New Mexico, affected parties were scrambling late last week to repair many forms of damage and bracing for the business, regulatory and political ramifications. In addition to the 11 deaths and immediate damage to the El Paso facilities at the site, the accident sent shock waves crashing into the market, the pipeline industry as a whole and an already pressurized legislative environment (see related story on page 5).

Officials from El Paso, the National Transportation Safety Board, Dept. of Transportation and elsewhere were quickly on the scene south of Carlsbad, and by the middle of last week DOT had issued detailed marching orders for El Paso to follow as it attempts to determine the cause of the accident and get its system back to full operation. Ferc stayed out of the picture for the most part; it requested, and received, a detailed report from El Paso about the damage to the system and its effect on operations.

The NTSB called the rupture the deadliest pipeline accident in the continental U.S. in nearly 25 years. In

(continued on page 13)

## NO PRICE CAP ON CALIFORNIA POWER SALES, BUT FERC OPENS RATE INQUIRY

San Diego Gas & Electric Co. failed to convince Ferc to impose a $250/Mwh price cap on [illegible] into the California market, but the commission has ordered a formal investigation of wholesale [illegible] separate from the ongoing broader inquiry into bulk-power markets. The Aug. 23 expedited decision in the SDG&E complaint case (EL00-95) came on the same day that Energy Secretary Bill Richardson sent Chairman James Hoecker a letter asking Ferc to focus the bulk-power market investigation first [illegible] nia, something Hoecker had already asked staff to do (*IF*, 21 Aug, 1).

Earlier the same day, President Clinton told reporters at the White House that he had asked [illegible] to urge Ferc to expedite the investigation of wholesale markets "so we can better understand what's happening in California and provide policymakers with the information they need to protect [illegible] timely fashion." Clinton assured California residents that "we'll continue to keep a close eye on the situation." Asked if he thought power companies are "profiteering," the president responded: "[illegible]

(continued on page 11)

## UNSTABLE CALIFORNIA MARKET MAY LEAD TO RECESS APPOINTMENT FOR HOECKER?

The departure of Chairman James Hoecker from the commission may be further off than [illegible] expected. Speculation was running hard last week that given the precarious nature of the California market and the political pressure it has created, Hoecker would be offered a recess appointment to allow him to remain at Ferc at least into next year.

For months, the common wisdom has proclaimed Hoecker a lame duck (*IF*, 17 Jan, 1). His [illegible] officially expired at the end of June; he has been serving under a grace period that will run to the [illegible] session of Congress, which probably will wrap up sometime in early October.

A Democrat, Hoecker has been formally renominated by the White House. However, the Republican-controlled Senate has made no move to act on his confirmation, nor is it expected to in light of the impending presidential election. If Hoecker were confirmed to a new full term, he could remain at the commission for another five years, which would complicate matters if Republican George W. Bush

*Inside this Issue*


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PIPELINE PROJECTS: Cross Bay plan makes little sense to existing Transco shippers .... page 9

prevails in the November election.

But a recess appointment is another matter altogether, sources indicated last week. "I think he's going to get a recess appointment," said one, putting the odds at 50-50. Now is not the time to introduce regulatory uncertainty given the "unstable situation" in California, a key electoral state, according to the source. Hoecker is "sort of the anchor right now that keeps things running" at the commission, he added.

"He's going to get a recess appointment. Is what's going to happen," predicted another source, suggesting that the odds are more like 90-10 that Hoecker will be tapped by President Clinton to stay on. "Everything I'm hearing is that it's his if he wants it." Asked to explain the change of circumstances, the source responded: "three words — California, California, California."

Administration officials and others are "panicked" about the market disarray in California, the source continued, asserting that Hoecker's prospects for a new lease on life at Ferc improved dramatically earlier this month on "the night [California Gov.] Gray Davis called Clinton" to ask the president to push Ferc more forcefully into the California arena (*IF*, 21 Aug, 14). Clinton did just that this week, and Hoecker responded quickly with a statement assuring the president and others that Ferc was actively engaged in monitoring the state's skewed market dynamics and developing possible remedies. An order in related complaint cases was issued the same day (see story on page 1).

A recess appointment also would save the White House from having to choose between William Massey and Linda Breathitt, the remaining Democratic commissioners, as acting chairman, sources said. Several suggested that Massey would have an edge if it came down to that. While Breathitt has made known to the White House that she would welcome such an appointment, Massey's administration ties are considered strong enough to carry the day, sources said.

Would Hoecker accept a recess appointment? He was out of the country last week and unavailable for comment. "I have no idea whether he'd accept," said one source. That said, "he'd be hard-pressed [to refuse] if the president thinks it's important" that he stay on.

The president can "fill up" vacancies when Congress is out of session, according to the Constitution, and such appointments last until the end of the next session of Congress, an aide in the Senate parliamentarian's office explained last week. If Clinton decided to exercise his option and reappoint Hoecker after Congress adjourns this fall, Hoecker could serve at least in a commissioner slot until late 2001 when Congress adjourns, the aide explained. If the Republicans control the White House in the next administration, however, they would name the Ferc chairman.

**UMBIA GAS' PLAN TO RUN CAPACITY AUCTIONS SIMILAR TO ONLINE** commodity trading will be examined at a technical conference. Citing a number of issues raised by the parties, and posing several questions of its own, the commission opted to order the conference, which Columbia Gas Transmission Corp. did not oppose. The commission gave staff 75 days from the Aug. 23 issuance of the order (RP00-374) to conduct the conference and report back.

The proposal would replace the current five-day auction method for short-term capacity with an interactive daily auction that would be transparent, allowing bidders to see all other bids but not the bidders' identities (*IF*, 10 July, 20). For long-term capacity, the auction would take place on the sixth day after offers by Columbia are posted.

The pipeline also proposed to change its right-of-first-refusal procedures and scrap the current 15-day period in which shippers can match a bid. For a bid with a term of less than 18 months, ROFR rights would have to be exercised within two business days of Columbia's notice of the winning bid. For capacity with a term longer than 18 months, the ROFR would have to be exercised within five business days.

Parties raised a number of objections, including that the reduced ROFR process is unreasonable and the auction mechanism should not be segregated from other transportation issues in the pipeline's order 637 compliance filing (*IF*, 24 July, 15).

Last week's order raised other questions. For example, Columbia has proposed to be able to change the terms of its offer, such as lowering the minimum bid, and subsequently close any associated deal within 15 minutes of the change, the order noted. Will that have adverse effects, possibly by "disadvantaging bidders who do not constantly monitor the auction?" it asked. The commission also wondered if the auction procedure is the appropriate means of selling all capacity, or whether there should be an additional or alternative method for allocating long-term capacity.

The proposal provides that at any time before a binding sale, customers could change or withdraw their bid. "Should penalties be assessed for withdrawn bids?" Ferc asked. And if so, what would be the appropriate level of such penalties and how should the revenue be treated?

## NYSEG CASE REVEALS BREATHITT'S DESIRE TO TAKE UTILITY RETURNS HIGHER

In another case with industrywide implications for utilities contemplating their order 2000 compliance filings this fall, the commission earlier this month affirmed an administrative law judge's recommended rate of return on equity for New York State Electric & Gas Corp. Commissioner Linda Breathitt concurred but voiced concern that the utility's 10.56% ROE was too low.

The proceeding follows a high-profile case in which Ferc revised an ALJ's decision and raised Southern California Edison Co.'s ROE to 11.6% from the recommended 9.68%. Ostensibly, the commission's ruling (ER97-2353) last month illustrated its preference for a traditional constant-growth discounted-cash-flow methodology to determine ROE. But the order and commissioners' comments addressed the much broader industry concerns that utilities could be punished for transferring transmission assets from state to federal jurisdiction (*IF*, 31 July, 1).

That theme was a subtext in the wide-ranging NYSEG rate case on which Ferc ruled this month. In addition to rate design and rate moratorium issues, the commission addressed the ALJ's recommended ROE in an Aug. 17 order (ER97-2353) affirming in part and denying in part an initial decision.

Based on the results of a DCF analysis for a comparable group of utilities and for NYSEG, the utility had argued for an 11.2% ROE. For its part, Ferc trial staff recommended an ROE of 9.32%. Using a two-step DCF methodology, the ALJ found that the median of a comparable group of utilities was 10.56% and that NYSEG's return on equity should be set at that level.

Ferc supported NYSEG's subsequent challenge that the two-stage approach used for gas pipeline rate proceedings has not been proven applicable to electric utilities. But if the commission applied the same procedures for deriving ROE as employed in the SoCal Ed case, the ALJ's recommended ROE "would still fall within the zone of reasonableness," Ferc ruled. "Thus, we affirm the judge's 10.56% ROE as the just and reasonable ROE for NYSEG" even though "we reject her rationale for reaching that result."

**Breathitt picked up on themes she voiced last month** in discussing the SoCal Ed case specifically and, more generally, the importance of setting ROE levels that encourage further development of the nation's transmission system (*IF*, 24 July, 7). "I am concerned about the signal we send by affirming the ALJ's 10.56% return on equity for NYSEG, especially at a time when utilities are preparing to make their [order 2000] compliance filings," said her concurrence. Order 2000 requires utilities to submit by Oct. 16 plans to participate in a regional transmission organization.

Noting that she would have allowed a higher return, Breathitt reiterated that "the allowance of reasonable ROEs is an important way for the commission to send a more positive signal to encourage the formation of RTOs and the development of a sufficient transmission infrastructure." She voted for the ROE established in the order because NYSEG did not contest the figure in its brief on exceptions and because Ferc affirmed the use of the constant-growth DCF model for setting utilities' ROEs, Breathitt explained.

"However, I will not feel constrained by this level of ROE as we consider utility rate filings in the future," the commissioner continued. "I believe the commission will need to show upward flexibility in setting ROEs at a time when we are encouraging transmission-owning utilities to participate in RTOs."

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