

§§ 5.31–5.33

37 CFR Ch. I (7–1–23 Edition)

aboard, and that it is not currently under a secrecy order,

(ii) A showing that the license has been diligently sought after discovery of the proscribed foreign filing, and

(iii) An explanation of why the material was filed abroad through error without the required license under § 5.11 first having been obtained, and

(4) The required fee (§ 1.17(g) of this chapter).

(b) The explanation in paragraph (a) of this section must include a showing of facts rather than a mere allegation of action through error. The showing of facts as to the nature of the error should include statements by those persons having personal knowledge of the acts regarding filing in a foreign country and should be accompanied by copies of any necessary supporting documents such as letters of transmittal or instructions for filing. The acts which are alleged to constitute error should cover the period leading up to and including each of the proscribed foreign filings.

(c) If a petition for a retroactive license is denied, a time period of not less than thirty days shall be set, during which the petition may be renewed. Failure to renew the petition within the set time period will result in a final denial of the petition. A final denial of a petition stands unless a petition is filed under § 1.181 within two months of

the date of the denial. If the petition for a retroactive license is denied with respect to the invention of a pending application and no petition under § 1.181 has been filed, a final rejection of the application under 35 U.S.C. 185 will be made.

[49 FR 13463, Apr. 4, 1984, as amended at 56 FR 1929, Jan. 18, 1991; 62 FR 53206, Oct. 10, 1997; 69 FR 56546, Sept. 21, 2004; 77 FR 48826, Aug. 14, 2012]

GENERAL

§§ 5.31–5.33 [Reserved]

PART 6—CLASSIFICATION OF GOODS AND SERVICES UNDER THE TRADEMARK ACT

EDITORIAL NOTE: Part 6 is placed in the separate grouping of parts pertaining to trademarks regulations.

PART 7—RULES OF PRACTICE IN FILINGS PURSUANT TO THE PROTOCOL RELATING TO THE MADRID AGREEMENT CONCERNING THE INTERNATIONAL REGISTRATION OF MARKS

EDITORIAL NOTE: Part 7 is placed in the separate grouping of parts pertaining to trademarks regulations.

INDEX I—RULES RELATING TO PATENTS

EDITORIAL NOTE: This listing is provided for information purposes only. It is compiled and kept up-to-date by the Department of Commerce. This index is updated as of July 1, 2023.

Section

A

Abandoned applications:	
Abandonment by failure to prosecute.....	1.135
Abandonment during interference	41.127(b)
Abandonment for failure to pay issue fee	1.316
Express abandonment	1.138
To avoid publication	1.138(c)
Referred to in issued patents.....	1.14
Revival of.....	1.137
When open for public inspection	1.14
Abandonment of application. (See Abandoned applications)	
Abstract of the disclosure.(content, physical requirements)	1.72(b)
Separate sheet required for commencement of	1.52(b)(4), 1.72(b)
Arrangement in overall application	1.77, 1.163
Access to pending applications (limited)	1.14
Action by applicant	1.111-1.116, 1.121-1.138
Address, Correspondence, only one recognized by Office	1.33(c)
Address for notice to Director of appeal to Fed. Cir	90.2
Address of Solicitor's Office	1.1(a)(3)
Address of the Patent and Trademark Office.....	1.1
Mail Stop Assignment Recordation Services	1.1(a)(4), 3.27
Mail Stop Document Services	1.1(a)(4)
Mail Stop Ex Parte Reexam.....	1.1(c)(1), 1.1(c)(4)
Mail Stop Inter Partes Reexam	1.1(c)(2)
Mail Stop Interference	41.10(b)
Mail Stop OED.....	1.1(a)(5), 4.6
Mail Stop Patent Ext	1.1(e)
Mail Stop PCT	1.1(b)
Mail Stop Supplemental Examination.....	1.1(c)(3)
Adjustment of patent term. (See Patent term adjustment)	
Administrator, executor, or other legal representative may make appli- cation and receive patent	1.42, 1.43
In an international application	1.422
Oath or declaration	1.64
Admission to practice. (See Attorneys and agents)	
Affidavit (see also Oath in patent application):	
After appeal.....	41.33(d)
In support of application for reissue	1.175
To disqualify commonly owned patent as prior art.....	1.131(c)
To overcome cited patent or publication.....	1.131
Traversing grounds of rejection.....	1.132
Agents. (See Attorneys and agents)	
Allowance and issue of patent:	
Amendment after allowance (before or with issue fee).....	1.312
Deferral of issuance	1.314
Failure to pay issue fee	1.137(c), 1.316

37 CFR Ch. I (7-1-22 Edition)

Issuance of patent.....	1.314
Notice of allowance.....	1.311
Patent to issue upon payment of issue fee.....	1.314
Reasons for	1.104(e)
Withdrawal from issue.....	1.313
Amendment:	
Adding or substituting claims	1.111, 1.121
After appeal	1.116, 41.33, 41.63
After decision on appeal, based on new rejection of Patent Trial and Appeal Board	41.50(b)
After final action	1.116, 41.33
After final action (transitional procedures)	1.129
After notice of allowance.....	1.312
By patent owner	1.530
Copying claim of another application for interference	41.202
Copying claim of issued patent	41.202
Deletions and insertions	1.121
Drawings.....	1.84, 1.85, 1.121(d)
Manner of making.....	1.121
May be required	1.121
New matter prohibited.....	1.121(f), 1.173(a)
Numbering of claims.....	1.126
Of amendments	1.121
Of claims.....	1.111, 1.121
Of computer program listing appendix.....	1.96(c)(5)
Of disclosure	1.121
Of drawing	1.121(d)
Of large tables	1.58(g)
Of sequence listing.....	1.825
Of sequence listing XML.....	1.835
Of specification.....	1.121
Paper and writing.....	1.52
Preliminary	1.115
Proposed during interference.....	41.121, 41.208
Provisional application	1.53(c), 1.121(k)
Reexamination proceedings	1.121(j), 1.530, 1.941
Reissue	1.121(i), 1.173(b)
Requisites of	1.33(b), 1.111, 1.116, 1.121, 1.125
Right to amend.....	1.111, 1.114, 1.116, 1.121
Signature to	1.33(b)
Substitute specification	1.125
Time for	1.134
To applications in interference.....	41.121, 41.208
To correct inaccuracies	1.121(e)
To correspond to original drawing or specification	1.121(e)
To reissues	1.121(i), 1.173
To save from abandonment.....	1.135
America Invents Act Post-Grant Proceedings (See <i>Inter partes</i> review, post-grant review, covered business method review, and derivation proceeding)	
Amino Acid Sequences. (See Nucleotide and/or Amino Acid Sequences)	
Appeal to Court of Appeals for the Federal Circuit:	
Fee provided by rules of court.....	90.2
From Patent Trial and Appeal Board.....	90.1-90.3
Notice and reasons of appeal	90.2
Reexamination, <i>inter partes</i> proceeding	1.983
Time for	90.3
Appeal to Patent Trial and Appeal Board:	
Action following decision	41.54

Index I

Affidavits after appeal.....	41.33(d)
Brief.....	41.37
Decision by Board.....	41.50(b)
Examiner's answer.....	41.39
Fees.....	41.20, 41.45
Hearing of.....	41.47
New grounds for rejecting a claim.....	41.50(b)
Notice of appeal.....	41.31
Reexamination, <i>inter partes</i> proceeding.....	1.959
Rehearing.....	41.52
Reopening after decision.....	1.198
Reply brief.....	41.41
Statement of grounds for rejecting, by Patent Trial and Appeal Board.....	41.50
Applicant for patent.....	1.42, 1.421
Change.....	1.46, 1.472
Deceased or legally incapacitated inventor.....	1.43, 1.422
Executor or administrator.....	1.43
Informed of application number.....	1.54(b)
Letters for, sent to attorney or agent.....	1.33(a)
May be represented by patent practitioner.....	1.31
Must be represented by patent practitioner if juristic entity.....	1.31
Personal attendance unnecessary.....	1.2
Required to conduct business with decorum and courtesy.....	1.3
Required to report assistance received.....	1.4(g)
Application data sheet.....	1.76
Application for patent (see also Abandoned applications, Claims, Drawing, Examination of application Reissues, Provisional applications, Specification):	
Access to.....	1.14
Acknowledgement of filing.....	1.54(b)
Alteration after execution.....	1.52(c)
Alteration before execution.....	1.52(c)
Applicant.....	1.42
Application number and filing date.....	1.54
Arrangement.....	1.77, 1.154, 1.163
Continuation or division, reexecution not required.....	1.63(d)
Continued Prosecution Application (CPA), Design.....	1.53(d)
Copies of, furnished to applicants.....	1.59(c)
Cross-references to related applications.....	1.78
Deceased or legally incapacitated inventor.....	1.43, 1.64
International phase.....	1.422
Declaration in lieu of oath.....	1.68
Duty of disclosure.....	1.56
Elements of, order and arrangement of.....	1.77, 1.154, 1.163
Filed apparently without all figures of drawing:	
Petition and filing of missing figures seeking new filing date.....	1.182
Petition asserting all figures of drawing were filed.....	1.53(e)
Filed apparently without all pages of specification, petition asserting all pages were filed.....	1.53(e)
Filed apparently without any drawing, petition asserting drawing was filed, or was not necessary, or adding drawing and seeking new filing date.....	1.53(e)
Filed by other than inventor.....	1.42, 1.43, 1.46
Filed by reference.....	1.57(a)
International phase.....	1.421(c), 1.422
Filing and national fees.....	1.16, 1.445, 1.492
Filing date.....	1.53
International application.....	1.431(b)

37 CFR Ch. I (7-1-22 Edition)

Foreign language oath or declaration	1.69
Formulas and tables	1.58
General requisites	1.51
Identification required in letters concerning	1.5
Incomplete application not forwarded for examination	1.53
Language, paper, writing, margin	1.52
Later completion of nonprovisional application	1.53(f)
Must be made by actual inventor, with exceptions	1.42, 1.46, 1.64
Names of all inventors required	1.41, 1.53
Non-English language	1.52(b)(1)(ii)
Nonprovisional application forwarded for examination only when complete	1.53
Nonprovisional filing of petition to convert to a provisional application	1.53(c)(2)
Owned by Government	1.103(f)
Papers forming part of original disclosure not to be expunged	1.59(a)(2)
Parts filed separately	1.54
Parts of application desirably filed together	1.54
Parts of complete application	1.51
Processing fees	1.17
Provisional application	1.9(a)(2), 1.51(c), 1.53(c), 1.121(k)
Publication. (See Publication of applications)	
Relating to atomic energy	1.14(d)
Reservation for future application not permitted	1.79
Secrecy order	5.1-5.5
Tables and formulas	1.58
To be made to Director of the U.S. Patent and Trademark Office	1.51
To claim one independent and distinct invention	1.141
Two or more by same party with patentably indistinct claims	1.78(f), (g)
Application number	1.5(a), 1.53(a), 1.54(b)
Application size fee	1.16(s), 1.52(f)
Arbitration award filing	1.335
Arbitration in interference	41.126
Assertion of micro entity status	1.29
Assertion of small entity status	1.27(c)
Assignee:	
Correspondence held with assignee of entire interest	3.71, 3.73
Establishing ownership	3.73(b)
If of entire interest, patent may issue to	1.46, 3.81
If of undivided part interest, correspondence will be held with inventor	1.33
If of undivided part interest, must assent to application for reissue of patent	1.172
If of undivided part interest, patent may issue jointly	1.46, 3.81
May conduct prosecution of application	3.71, 3.73
May take action in interference	41.9
Assignments and recording:	
Abstracts of title, fee for	1.19(b)
Conditional assignments	3.56
Correction of Cover Sheet errors	3.34
Cover Sheet required	3.28, 3.31
Date of receipt is date of record	3.51
Effect of recording	3.54
Fees	1.21(h), 3.41
If recorded before payment of issue fee, patent may issue to assignee	3.81
Impact on entitlement to micro entity status	1.29
Impact on entitlement to small entity status	1.27

Index I

May serve as inventor's oath or declaration	1.63(e)
Must be recorded in Patent and Trademark Office to issue patent to assignee	3.81
Must identify patent or application	3.21
Orders for copies of	1.12
Patent may issue to assignee	3.81
Recording of assignments	3.11
Records open to public inspection	1.12
Requirements for recording	3.21-3.41
What will be accepted for recording	3.11
Atomic energy applications reported to Department of Energy	1.14
Attorneys and agents:	
Acting in a representative capacity	1.33, 1.34
Assignment will not operate as a revocation of power	1.36
Certificate of good standing	1.21(a)(4)
Office cannot aid in selection of	1.31
Personal interviews with examiners	1.133
Power of attorney	1.32
Privileged communications	42.57
Registration fees	1.21(a)
Representation in international application	1.455
Representative capacity	1.33, 1.34
Required to conduct business with decorum and courtesy	1.3
Revocation of power	1.36(a)
Withdrawal of	1.36(b), 41.5
Authorization of agents. (See Attorneys and agents)	
Award in arbitration	1.335

B

Balance in deposit account	1.25
Basic filing fee	1.16
Basic national fee	1.492
Benefit of earlier application	1.78
Bill in equity. (See Civil action)	
Biological material. (See Deposit of Biological material)	
Board of Patent Appeals and Interferences (renamed as Patent Trial and Appeal Board). (See Appeal to Patent Trial and Appeal Board, Patent Trial and Appeal Board, Inter Partes Review, Post-Grant Review, Derivation Proceeding, Patent Trial Practice and Procedure, and Interferences)	
Briefs:	
In petitions to Director	1.181
On appeal to Patent Trial and Appeal Board	41.37
Business to be conducted with decorum and courtesy	1.3
Business to be transacted in writing	1.2

C

Certificate of correction	1.322, 1.323
Fee	1.20(a)
Mistakes not corrected	1.325
Certificate of mailing (as first class mail) or transmission	1.8
Certificate, reexamination	1.570
Certified copies of records, papers, etc	1.4(f), 1.13
Chemical and mathematical formulas and tables	1.58
Citation of prior art in patent	1.501
Citation of references by examiner	1.104(d)
Civil action	90.1-90.3
Claims (see also Examination of applications):	

37 CFR Ch. I (7-1-22 Edition)

Amendment of	1.121
Dependent	1.75
Design patent.....	1.153
International	1.1025
May be in dependent form	1.75
More than one permitted.....	1.75
Multiple dependent	1.75
Must conform to invention and specification	1.75
Notice of rejection of.....	1.104
Numbering of	1.126
Part of complete application.....	1.51
Patentably indistinct, same applicant or owner	1.78(f), (g)
Plant patent	1.164
Rejection of	1.104(c)
Required	1.75
Separate Sheet required for commencement of claim(s)	1.52(b), 1.75(h)
Twice or finally rejected before appeal	41.31(a)
Color drawing.....	1.84(a)(2)
Color photographs	1.84(b)(2)
Common Ownership, statement by assignee may be required	1.104(c)
Compact disc submissions (See Optical disc.)	
Complaints against examiners, how presented.....	1.3
Composition of matter, specimens of ingredients may be required	1.93
Computer program listings	1.96
Concurrent office proceedings	1.565
Conduct of <i>ex parte</i> reexamination proceedings	1.550
Continued examination, request for	1.114
Continued Prosecution Application (CPA), Design	1.53(d)
Continuing application for invention disclosed and claimed in prior ap- plication.....	1.53(b)
Copies of patents, published applications, records, etc.	1.11, 1.12, 1.13
Copies of records, fees.....	1.19(b), 1.59
Copyright notice in drawings	1.84(s)
Copyright notice in specification.....	1.71(d)
Corrected publication of application	1.221
Correction, certificate of	1.322, 1.323
Correction of inventorship	1.48, 1.324
Correspondence:	
Address, only one recognized	1.33(c)
Addresses for non-trademark correspondence	1.1
Business with the Office to be transacted by.....	1.2
Discourteous communications not entered	1.3
Double, with different parties in interest not allowed	1.33
Facsimile transmissions.....	1.6(d)
Held with attorney or agent.....	1.33
Identification of application or patent in letter relating to.....	1.5
Involving national security	5.1
May be held exclusively with assignee of entire interest.....	3.71
Nature of.....	1.4
Patent owners in reexamination	1.33(c)
Receipt of letters and papers	1.6
Rules for conducting in general.....	1.1-1.8
Separate letter for each subject or inquiry	1.4(c)
Signature requirements.....	1.4(d)
When no attorney or agent.....	1.33
With attorney or agent after power or authorization is filed	1.33
Court of Appeals for the Federal Circuit, appeal to. (See Appeal to Court of Ap- peals for the Federal Circuit)	

Index I

CPA (Continued Prosecution Application), Design	1.53(d)
Credit card payment.....	1.23
Covered Business Method Patent Review. (See also Patent Trial Practice and Procedure; Post-Grant Review):	
General	42.300-42.304
Procedure; pendency.....	42.300
Definitions.....	42.301
Content of petition	42.304
Time for filing	42.303
Who may petition	42.302
Customer Number:	
Defined	1.32(a)(5)
Required to establish a Fee Address.....	1.363(c)

D

Date of invention of subject matter of individual claims.....	1.110
Day for taking any action or paying any fee falling on Saturday, Sunday, or Federal holiday	1.7, 1.9(h)
Daytime telephone number.....	1.33(a)
Death or legal incapacitation of inventor.....	1.43
In an international application	1.422
Decision on appeal by the Patent Trial and Appeal Board	41.50
Action following decision	41.54
Declaration (See also Oath in patent application).	
Foreign language.....	1.69
In lieu of oath.....	1.68
In patent application.....	1.68
Requirements to enter the U.S. national phase.....	1.497
Deferral of examination.....	1.103
Definitions:	
Applicant.....	1.42
Assignment	3.1
Customer Number	1.32(a)(5)
Document	3.1
Effective filing date of a claimed invention	1.109
Federal holiday within the District of Columbia	1.9(h)
Inventorship.....	1.9(d), 1.41
Joint Research Agreement	1.9(e)
Micro entity	1.29
National and international applications	1.9
National security classified	1.9(i)
Nonprofit organization(for small entity purposes)	1.27(a)(3)
Nonprovisional application.....	1.9(a)(3)
Paper	1.9(k)
Person (for small entity purposes).....	1.27(a)(1)
Power of attorney	1.32(a)(2)
Principal	1.32(a)(3)
Provisional application	1.9(a)(2)
Published application	1.9(c)
Recorded document.....	3.1
Revocation	1.32(a)(4)
Service of process	15 C.F.R. Part 15
Small business concern (for small entity purposes).....	1.27(a)(2)
Small entity.....	1.27(a)
Terms under Patent Cooperation Treaty.....	1.401
Deposit accounts	1.25
Fees.....	1.21(b)

37 CFR Ch. I (7-1-22 Edition)

Deposit of computer program listings.....	1.96
Deposit of biological material:	
Acceptable depository	1.803
Biological material	1.801
Examination procedures	1.809
Furnishing of samples.....	1.808
Need or Opportunity to make a deposit.....	1.802
Replacement or supplemental deposit	1.805
Term of deposit.....	1.806
Time of making original deposit	1.804
Viability of deposit.....	1.807
Depositions (See also Testimony in interferences):	
Certificate of officer to accompany.....	41.157(e)
Formalities to be observed in preparing	41.157
Person before whom taken	41.157
Description of invention. (See Specification)	
Design Patent Applications:	
Arrangement of specification	1.154
Claim	1.153(a)
Description	1.153(a)
Drawing	1.152
Expedited Examination	1.155
Filing fee.....	1.16(b)
International. (See Hague Agreement)	
Issue fee	1.18(b)
Oath	1.153(b)
Rules applicable.....	1.151
Title	1.153(a)
Determination of request for <i>ex parte</i> reexamination	1.515
Derivation Proceeding (See also Patent Trial Practice and Procedure)	
General	42.400-42.407
Procedure; pendency	42.400
Definitions.....	42.401
Who may petition	42.402
Time for filing	42.403
Derivation Fee	42.15, 42.404
Content of petition	42.405
Service of petition	42.406
Filing date	42.407
Institution of derivation proceeding	42.408
After Institution.....	42.409-42.412
Settlement agreement.....	42.409
Arbitration	42.410
Common interests in the invention	42.411
Public availability of Board records.....	42.412
Director of the USPTO (See also Petition to the Director):	
Address of	1.1
Availability of decisions by.....	1.14
Cases decided by Board reopened only by	1.198
Initiates <i>ex parte</i> reexamination	1.520
Disclaimer, statutory:	
During interference.....	41.127(b)
Fee	1.20(d)
Requirements of.....	1.321
Terminal	1.321
Discovery in interferences	41.150
Division. (See Restriction of application)	
Division of patent on reissue	1.177

Index I

Document supply fees.....	1.19
Drawing:	
Amendment of	1.121(d)
Annotated drawings.....	1.121(d)
Arrangement of views	1.84(i)
Arrows	1.84(r)
Character of lines	1.84(l)
Color.....	1.84(a)(2)
Content of drawing.....	1.83
Conventional features	1.83(a)
Copyright notice.....	1.84(s)
Correction.....	1.84(w), 1.85(c), 1.121(d)
Cost of copies of	1.19
Description, brief and detailed	1.74
Design application	1.152
Figure for front page.....	1.76(b)(3), 1.84(j)
Filed with application	1.81
Graphics	1.84(d)
Hatching and shading	1.84(m)
Holes	1.84(x)
Identification	1.84(c)
If of an improvement, must show connection with old structure	1.83(b)
Incorporation by reference to prior application.....	1.57
In international applications.....	1.437
Ink.....	1.84(a)(1)
Lead lines.....	1.84(q)
Legends	1.84(o)
Letters	1.84(p)
Location of names.....	1.84(c)
Margin.....	1.84(g)
Mask work notice.....	1.84(s)
Must show every feature of the invention.....	1.83(a)
No return or release	1.85(b)
Numbering of sheets	1.84(t)
Numbering of views.....	1.84(u)
Numbers	1.84(p)
Original should be retained by applicant.....	1.81(a)
Paper	1.84(e)
Part of application papers.....	1.52(b)
Photographs	1.84(b)
Plant patent application	1.81, 1.165
Printed and published by the Office when patented	1.84
Reference letters, numerals, and characters	1.74, 1.84(p)
Reissue.....	1.173(a)(2)
Release not permitted.....	1.85(b)
Replacement drawings.....	1.121(d)
Required by law when necessary for understanding	1.81
Scale	1.84(k)
Security markings	1.84(v)
Shading	1.84(m)
Size of sheet.....	1.84(f)
Standards for drawings	1.84
Symbols	1.84(n)
Views	1.84(h)
When necessary, part of complete application	1.51
Duplicate copies	1.4(b)
Duty of disclosure	1.56, 1.555, 1.933
Patent term extension	1.765

E

Early publication of application.....	1.219
Eighteen-month publication of applications. (See Publication of applications)	
Election of species	1.146
Electronic documents	1.52(e)
Application size fee	1.16(s), 1.52(f)
Optical disc submissions:	
Amino acid sequences	1.821, 1.823, 1.825, 1.831, 1.833, 1.834
Computer program listing appendix	1.96
Incorporation by reference in specification.....	1.52(e)
Large tables.....	1.58
Nucleotide sequences	1.821, 1.823, 1.825, 1.831, 1.833, 1.834
Requirements	1.52(e)
Submitted as part of permanent record.....	1.52(e), 1.58, 1.96, 1.821, 1.823, 1.825, 1.831, 1.832, 1.833, 1.834
Non-electronic filing fee.....	1.16(t)
Electronic filing of application.....	1.6(a)(4), 1.52(a)(5)
Establishing micro entity status	1.29
Establishing small entity status	1.27, 1.28
Evidence. (See Testimony in interferences)	
<i>Ex parte</i> reexamination. (See Reexamination)	
Examination of applications:	
Advancement of examination	1.102
As to form.....	1.104(a)(1)
Citation of references	1.104(d)
Completeness of examiner's action	1.104(b)
Deferral of.....	1.103
Examiner's action	1.104(a)
International-type search.....	1.104(a)(3)
Nature of examination	1.104(a)
Prioritized examination	1.102(e)
Reasons for allowance	1.104(e)
Reconsideration after rejection if requested	1.111
Reissue.....	1.176
Rejection of claims.....	1.104(c)
Request for continued examination(RCE)	1.114
Requirement for information by examiner.....	1.105
Suspension of action by the Office.....	1.103
Examiners:	
Answers on appeal	41.39
Complaints against.....	1.3
Interviews with.....	1.133, 1.560
Executors.....	1.42, 1.64, 1.422
Exhibits. (See Models and exhibits)	
Expedited examination of design applications	1.155
Export of technical data	5.11, 5.15, 5.19, 5.20
Express abandonment	1.138
To avoid publication.....	1.138(c)
Priority Mail Express®.....	1.10
Date of receipt of	1.6
Petition in regard to	1.10
Expungement of information	1.59
Extension of patent term. (See also Patent term adjustment):	
Due to examination delay under the URAA (35 U.S.C. 154).....	1.701
Due to regulatory review period (35 U.S.C. 156):	
Applicant for	1.730

Index I

Application for	1.740
Calculation of term:	
Animal Drug Product	1.778
Food or color additive	1.776
Human drug product	1.775
Medical device	1.777
Veterinary biological product	1.779
Certificate	1.780
Conditions for	1.720
Determination of eligibility	1.750
Duty of disclosure	1.765
Filing date of application	1.741
Formal requirements	1.740
Incomplete application	1.741
Interim extension	1.760, 1.790
Multiple applications	1.785
Patents subject to	1.710
Signature requirements for application	1.730
Withdrawal of application	1.770
Extension of time	1.136
Fees	1.17(a)
Interference proceedings	41.4
Reexamination proceedings	1.550(c)

F

Facsimile transmissions	1.6(d), 1.8
Federal Holiday, time for taking action	1.7, 1.9(h)
Fees and payment of money:	
Application size	1.16(s), 1.52(f), 1.492(j)
*Credit card	1.23(b)
Deposit account	1.25
Document supply fees	1.19
Extension of time	1.17(a)
Fee on appeal to the Court of Appeals for the Federal Circuit provided by rules of court	90.2
Fees payable in advance	1.22(a)
For international-type search report	1.21(e)
Foreign filing license petition	1.17(g)
Itemization required	1.22(b)
Method of payment	1.23
Money by mail at risk of sender	1.23(a)
Money paid by mistake or in excess	1.26
Necessary for application to be complete	1.51
Petition fees	1.17(f), 1.17(g), 1.17(h), 41.20(a)
Prioritized examination	1.17(c)
Processing fees	1.17(i)
Publication of application	1.18(d), 1.211(e)
Reexamination request	1.20(c)
Refunds	1.26, 1.28
Relating to international applications	1.445, 1.492
Schedule of fees and charges	1.16-1.21
Files open to the public	1.11, 1.14
Filing, search and examination fees	1.16
Filing date of application	1.53
Filing of interference settlement agreements	41.205
Final rejection:	
Appeal from	41.31

37 CFR Ch. I (7-1-22 Edition)

Response to 1.113, 1.114, 1.116, 1.129
When and how given 1.113
First Class Mail 1.8
Foreign application 1.55
License to file 5.11-5.25
Foreign country:
Taking oath in 1.66
Taking testimony in 41.156, 41.157
Foreign mask work protection Part 150
Evaluation of request 150.4
Definition 150.1
Duration of proclamation 150.5
Initiation of evaluation 150.2
Mailing address 150.6
Submission of requests 150.3
Formulas and tables in patent applications 1.58
Fraud practiced or attempted on Office 1.27(h), 1.56, 1.555, 1.765
Freedom of Information Act Part 102

G

Gazette. (See *Official Gazette*)
General authorization to charge deposit account 1.25
General information and correspondence 1.1-1.8, 1.10
Government acquisition of foreign patent rights Part 501
Government employee invention Part 501
Government interest in patent, recording of 3.11, 3.31, 3.41, 3.58
Governmental registers 3.58

H

Hague Agreement:
International design applications 1.1001-1.1071
Access to 1.14(j)
Applied for by person/entity other than inventor 1.46(b)
Benefit claim in 1.78(d), 1.78(e)
Definition of 1.9(n)
Deposit account usage in 1.25
Drawing corrections in 1.121(d)
Expedited examination of 1.155
Incorporation by reference as to inadvertently omitted portion of
specification or drawing(s) 1.57(b)
Inventorship in 1.41(f)
Priority claim in 1.55(m)
Hearings:
Before the Patent Trial and Appeal Board 41.47
Fee for appeal hearing 41.20
In disciplinary proceedings 11.44
Of motions in interferences 41.124
Holiday, time for action expiring on 1.6, 1.7

I

Identification of application, patent or registration 1.5
Incorporation by Reference 1.57
Information disclosure statement:
At time of filing application 1.51(d)
Content of 1.98
Not permitted in provisional applications 1.51(d)

Index I

Reexamination.....	1.555, 1.902
To comply with duty of disclosure.....	1.97
Information, Public.....	Part 102
<i>Inter partes</i> reexamination. (See Reexamination)	
Interferences. (See also Depositions, Notice, Statement in interferences, Testimony in interferences):	
Abandonment of the contest.....	41.127(b)
Access to applications.....	41.109
Action by examiner after interference.....	41.127
Addition of new party by judge.....	41.203
Amendment during.....	41.121, 41.208
Appeal to the Court of Appeals for the Federal Circuit.....	90.1-90.3
Applicant requests.....	41.202
Arbitration.....	41.126
Burden of proof.....	41.121(b)
Civil action.....	90.1-90.3
Concession of priority.....	41.127(b)
Correspondence.....	41.10
Decision on motions.....	41.125
Declaration of interference.....	41.203
Definition.....	41.100, 41.201
Disclaimer to avoid interference.....	41.127(b)
Discovery.....	41.150
Extensions of time.....	41.4
Identifying claim from patent.....	41.202
In what cases declared.....	41.203
Inspection of cases of opposing parties.....	41.109
Interference with a patent.....	41.202
Judgment.....	41.127
Junior party fails to overcome filing date of senior party.....	41.204
Jurisdiction of interference.....	41.103
Manner of service of papers.....	41.106
Motions.....	41.121, 41.155, 41.208
Notice and access to applications of opposing parties.....	41.109
Notice of basis for relief.....	41.120, 41.204
Notice of reexamination, reissue, protest or litigation.....	41.8
Notice to file civil action.....	90.2
Oral argument.....	41.124
Ownership of applications or patents involved.....	41.206
Petitions.....	41.3
Preparation for.....	41.202
Priority statement.....	41.204
Prosecution by assignee.....	41.9
Recommendation by Patent Trial and Appeal Board.....	41.127
Record and exhibits.....	41.106, 41.154
Records of, when open to public.....	1.11, 41.6
Reissue filed by patentee during.....	41.203
Request by applicant.....	41.202
Return of unauthorized papers.....	41.128
Review of decision by civil action.....	90.1-90.3
Same party.....	41.206
Sanctions for failure to comply with rules or order.....	41.128
Sanctions for taking and maintaining a frivolous position.....	41.128
Secrecy order cases.....	5.3(b)
Service of papers.....	41.106
Status of claims of defeated applicant after interference.....	41.127
Statutory disclaimer by patentee during.....	41.127(b)
Suggestion of claims for interference.....	41.202

37 CFR Ch. I (7-1-22 Edition)

Suspension of other proceedings	41.103
Testimony copies	41.157
Time period for completion.....	41.200
Times for discovery and taking testimony	41.150, 41.156, 41.157
Translation of document in foreign language	41.154
International application. (See Patent Cooperation Treaty)	
International Bureau	1.415
International design application. (See Hague Agreement)	
International Preliminary Examining Authority, United States as	1.416
International Searching Authority, United States as	1.413
Interview summary.....	1.133
Interviews with examiner	1.133, 1.560
Inter Partes Review. (See also Patent Trial Practice and Procedure):	
General	42.100-42.107
Procedure; pendency.....	42.100
Who may petition	42.101
Time for filing	42.102
Fee	42.15, 42.103
Content of petition	42.104
Service of petition	42.105
Filing date	42.106
Preliminary response.....	42.107
Instituting a review	42.108
After Institution.....	42.120-42.123
Patent owner response.....	42.120
Motion to amend	42.121
Multiple proceedings; joinder	42.122
Supplemental information	42.123
Invention promoters:	
Complaints regarding	4.1-4.6
Publication of	4.1, 4.3, 4.5
Reply to.....	4.4
Submission of.....	4.3
Withdrawal of.....	4.4
Definition	4.2
Inventor (see also Oath in patent application):	
Death or legal incapacity of.....	1.43
In international application	1.422.
May apply for patent.....	1.42
Unavailable or refuses to sign application	1.64
Inventor's certificate priority benefit.....	1.55
Inventorship and date of invention of the subject matter of individual claims	1.110
Issue fee.....	1.18
Issue of patent. (See Allowance and issue of patent)	

J

Joinder of inventions in one application	1.141-1.146
Joint inventors	1.45, 1.47, 1.48, 1.324, 1.421(b)
Joint patent to inventor and assignee	1.46, 3.81
Jurisdiction:	
After decision by Patent Trial and Appeal Board.....	1.197, 1.198
After notice of allowance.....	1.312, 1.313
Of involved files in contested case	41.103

L

Law School Clinic Certification Program	11.16-11.17
---	-------------

Index I

Legal representative of deceased or incapacitated inventor	1.42, 1.43, 1.64
In international application	1.422
Legal Processes	Part 104
Legibility of papers which are to become part of the permanent Office records	1.52(a)
Letters to the Office. (See Correspondence)	
Library service fee	1.19(c)
License and assignment of government interest in patent	3.11, 3.31, 3.41
License for foreign filing	5.11-5.15
Lost files	1.251

M

Mail Stops	
Mail Stop Assignment Recordation Services	1.1(a)(4), 3.27
Mail Stop Document Services	1.1(a)(4)
Mail Stop Ex parte Reexam	1.1(c)(1), 1.1(c)(4)
Mail Stop Inter partes Reexam	1.1(c)(2)
Mail Stop Interference	41.10(b)
Mail Stop OED	1.1(a)(5), 4.6
Mail Stop Patent Ext	1.1(e)
Mail Stop PCT	1.1(b), 1.417, 1.434(a), 1.480(b)
Mail Stop Supplemental Examination	1.1(c)(3)
Maintenance fees	1.20(e)-(h)
Acceptance of delayed payment of	1.378
Address for payments and correspondence	1.1(d)
Fee address for	1.363
Review of decision refusing to accept	1.377
Submission of	1.366
Time for payment of	1.362
Mask work notice in specification	1.71(d)
Mask work notice on drawing	1.84(s)
Mask work protection, foreign	Part 150
Microorganisms. (See Deposit of Biological Material)	
Minimum balance in deposit accounts	1.25
Misjoinder of inventor	1.48, 1.324, 1.497(d)
Missing pages when application filed	
Petition alleging there were no missing pages	1.53(e)
Petition with new oath or declaration and later submission of missing pages seeking new filing date	1.182
Mistake in patent, certificate thereof issued	1.322, 1.323
Models and exhibits:	
Copies of	1.95
Disposal unless return arrangements made	1.94
In contested cases	41.154
May be required if deemed necessary in examination of application	1.91(b)
Model not generally admitted as part of application or patent	1.91
Not to be taken from the Office except in custody of sworn employee	1.95
Return of	1.94
Working model may be required	1.91(b)
Money. (See Fees and payment of money)	
Motions in interference	41.121, 41.155, 41.208
To take testimony in foreign country	41.156, 41.157

N

Name of applicant	1.41
-------------------------	------

37 CFR Ch. I (7-1-22 Edition)

New matter inadmissible in application.....	1.53(b), 1.121(f)
New matter inadmissible in reexamination	1.530(d), 1.552(b)
New matter inadmissible in reissue	1.173
Non-English language specification fee	1.17(i)
Nonprofit organization:	
Definition (for small entity purposes).....	1.27(a)(3)
Nonpublication request.....	1.213
Notice:	
Of allowance of application	1.311
Of appeal to the Court of Appeals for the Federal Circuit.....	90.1-90.3
Of arbitration award.....	1.335
Of defective reexamination request	1.510(c)
Of interference	41.101, 41.203
Of oral hearings on appeals before Patent Trial and Appeal Board	41.47
Of rejection of an application	1.104(a)
Nucleotide and/or Amino Acid Sequences:	
Amendments to.....	1.825, 1.835
Disclosure in patent application.....	1.821, 1.831
Fee amounts for submitting very lengthy sequence listings	1.21(o)
Form and format for computer readable form.....	1.824, 1.834
Format for sequence data	1.822, 1.832
Replacement of.....	1.825, 1.835
Requirements.....	1.823, 1.833
Submission on optical disc.....	1.52, 1.821, 1.823,1.825, 1.831, 1.833, 1.835
Symbols	1.822, 1.832

O

Oath or declaration (inventor's) in patent application:	
Assignment may serve as	1.63(e)
Apostilles	1.66
Before whom taken in foreign countries.....	1.66
Before whom taken in the United States	1.66
By administrator or executor	1.42, 1.63, 1.64, 1.497(b)
Certificate of Officer administering	1.66
Continuation-in-part.....	1.63(e)
Declaration in lieu of oath	1.68
Foreign language.....	1.69
Identification of specification to which it is directed.....	1.63
International application, National Stage	1.497
Inventor's Certificate	1.63
Made by inventor	1.41, 1.63, 1.64
Officers authorized to administer oaths.....	1.66
Part of complete application.....	1.51
Person making	1.63, 1.64
Plant patent application.....	1.162
Requirements of	1.63
Sealed.....	1.66
Signature to	1.4, 1.63, 1.64, 1.67
Substitute Statement	1.64
Supplemental	1.67
To acknowledge duty of disclosure	1.63
When taken abroad to seal all papers.....	1.66
Oath or declaration in reissue application	1.172, 1.175
Object of the invention.....	1.73
Office action time for reply	1.134
Office fees. (See Fees and payment of money)	
Official action, based exclusively upon the written record	1.2

Index I

Official business, should be transacted in writing	1.2
<i>Official Gazette:</i>	
Announces request for reexamination.....	1.11(c), 1.525, 1.904
Notice of filing application to nonsigning inventor	1.47
Notice of issuance of <i>ex parte</i> reexamination certificate.....	1.570(f)
Notice of issuance of <i>inter partes</i> reexamination certificate	1.997
Service of notices in	41.101
Optical disc submission (See Electronic documents)	
Oral statements	1.2
Order to reexamine	1.525
Ownership, statement establishing by assignee.....	3.73(b)

P

Paper, definition of	1.9(k)
Papers (requirements to become part of Office permanent records)	1.52
Handwritten, not permitted.....	1.52(a)
Papers not received on Saturday, Sunday or holidays	1.6
Patent application. (See Application for patent and Provisional patent applica- tions)	
Patent application publication. (See Published application)	
Patent attorneys and agents. (See Attorneys and agents)	
Patent Cooperation Treaty:	
Amendments and corrections during international processing	1.471
Amendments during international preliminary examination	1.485
Applicant for international application	1.421
Changes in person, name and address, where filed	1.421(f), 1.472
Claim content and format in an international application	1.436
Commencement of the national stage	1.491(a)
Conduct of international preliminary examination	1.484
Definition of terms	1.401
Delays in meeting time limits	1.468
Demand for international preliminary examination	1.480
Designation of States	1.432
Election of States.....	1.480(d)
Entry into national stage.....	1.491(b), 1.495
Examination at national stage	1.496
Fees:	
Due within one month of filing international application.....	1.431(c)
Failure to pay results in withdrawal of application	1.431(d), 1.432
Filing and processing fees	1.445
International preliminary examination.....	1.481, 1.482
National stage.....	1.492
Refunds.....	1.26, 1.446, 1.480(c)
Filing by other than inventor.....	1.421(c), 1.422
International application requirements	1.431
Abstract	1.438
Claims	1.436
Description.....	1.435
Drawings	1.437
Physical requirements	1.433
Request	1.434
International Bureau	1.415
Inventor deceased	1.422, 1.497
Inventor insane or legally incapacitated.....	1.497
Inventors, joint.....	1.421(b), 1.497
National stage examination	1.496
Oath or declaration at national stage	1.497

37 CFR Ch. I (7-1-22 Edition)

Priority, claim for	1.451, 1.452
Record copy to International Bureau, Transmittal procedures.....	1.461
Representation by attorney or agent.....	1.455
Time limits for processing applications	1.465, 1.468
Translation:	
Of international application for U.S. national phase	1.495
Of publication of international application for provisional rights	1.417
United States as:	
Designated or Elected Office	1.414
International Preliminary Examining Authority	1.416
International Searching Authority.....	1.413
Receiving Office	1.412
Unity of invention:	
Before International Searching Authority	1.475, 1.476
Before International Preliminary Examining Authority.....	1.488
National stage.....	1.475, 1.499
Protest to lack of.....	1.477, 1.489
Withdrawal of international application, designations, priority claims, demands and elections.....	1.421(g), 1.431(d)
Patent Law Treaty:	
Model International Forms	1.76, 3.31(h)
Patent term adjustment due to examination delay	1.702-1.705
Application for.....	1.705
Determination	1.705
Grounds for	1.702
Period of adjustment	1.703
Reduction of period of adjustment	1.704
Patent term extension due to examination delay	1.701
Patent term extension due to regulatory review period. (See Extension of patent term due to regulatory review period (35 U.S.C. 156))	
Patentee notified of interference.....	41.101, 41.203
Patents. (see also Allowance and issue of Patent):	
Available for license or sale, publication of notice	1.21(i)
Certified copies of	1.13
Correction of errors in	1.171, 1.322, 1.323, 1.324
Disclaimer	1.321
Identification required in letters concerning	1.5
Obtainable by civil action	90.1-90.3
Price of copies	1.19
Records of, open to public	1.11
Reissuing of, when defective.....	1.171-1.178
Payment of fees.....	1.23
Person, definition for small entity purposes.....	1.27(a)(1)
Personal attendance unnecessary.....	1.2
Petition for reissue	1.171, 1.172
Patent Trial and Appeal Board. (See Appeal to Patent Trial and Appeal Board, Inter Partes Review, Post-Grant Review, Derivation Proceeding, Patent Trial Practice and Procedure, and Interferences)	
Patent Trial Practice and Procedure (see also inter partes review, post-grant re- view, covered business method patent review, and derivation proceeding)	
General.....	42.1-42.14
Policy	42.1
Definitions.....	42.2
Jurisdiction	42.3
Notice of trial	42.4
Conduct of the proceeding	42.5
Filing of documents, including exhibits; service.....	42.6
Management of the record.....	42.7

Index I

Mandatory notices.....	42.8
Action by patent owner.....	42.9
Counsel.....	42.10
Duty of candor; signing papers; representations to the Board; sanctions.....	42.11
Sanctions.....	42.12
Citation of authority.....	42.13
Public availability.....	42.14
Fees.....	42.15
Petition and Motion Practice.....	42.20-42.25
General.....	42.20
Notice of basis for relief.....	42.21
Content of petitions and motions.....	42.22
Oppositions and replies.....	42.23
Type-volume or page and word count limits for petitions, motions, oppositions and replies.....	42.24
Default filing times.....	42.25
Testimony and Production.....	42.51-42.65
Discovery.....	42.51
Compelling testimony and production.....	42.52
Taking testimony.....	42.53
Protective order.....	42.54
Confidential information in a petition.....	42.55
Expungement of confidential information.....	42.56
Admissibility.....	42.61
Applicability of the Federal Rules of Evidence.....	42.62
Form of evidence.....	42.63
Objection; motion to exclude.....	42.64
Expert testimony; tests and data.....	42.65
Oral Argument, Decision, and Settlement.....	42.70-74
Oral argument.....	42.70
Decision on petitions or motions.....	42.71
Termination of trial.....	42.72
Judgment.....	42.73
Settlement.....	42.74
Certificate.....	42.80
Petition to the Director:	
Fees.....	1.17
For delayed payment of issue fee.....	1.137
For license for foreign filing.....	5.12
For the revival of an abandoned application.....	1.137
From formal objections or requirements.....	1.113, 1.181
From requirement for restriction.....	1.129, 1.144
General requirements.....	1.181
In interferences.....	41.3
In reexamination.....	1.515(c)
Petition to accept an unintentionally delayed claim for domestic benefit.....	1.78
Petition to accept an unintentionally delayed claim for foreign priority.....	1.55
Questions not specifically provided for.....	1.182
Suspension of rules.....	1.183
To exercise supervisory authority.....	1.181
To make special.....	1.102
Plant patent applications:	
Applicant.....	1.42, 1.162
Claim.....	1.164
Declaration.....	1.162

37 CFR Ch. I (7-1-22 Edition)

Description	1.162
Drawings	1.165
Examination	1.167
Fee for copies	1.19
Filing fee	1.16(c)
Issue fee	1.18(c)
Latin named genus and species	1.76(b)(3), 1.163(c)(4)
Oath	1.162
Rules applicable	1.161
Specification	1.163
Specimens	1.166
Post issuance fees	1.20
Post Office receipt as filing date	1.10
Postal emergency or interruption	1.10(g)-(i)
Post-Grant Review. (See also Patent Trial Practice and Procedure):	
General	42.200-42.207
Procedure; pendency	42.200
Who may petition	42.201
Time for filing	42.202
Fee	42.15, 42.203
Content of petition	42.204
Service of petition	42.205
Filing date	42.206
Preliminary response	42.207
Instituting a review	42.208
After Institution	42.220-42.224
Patent owner response	42.220
Motion to amend	42.221
Multiple proceedings; joinder	42.222
Supplemental information	42.223
Discovery	42.224
Power of attorney. (See Attorneys and agents)	
Preliminary amendments	1.115
Preserved in confidence, applications	1.12, 1.14
Exceptions (status, access or copies available)	1.14
Prior art citation in patent files	1.501
Prior art statement:	
Content of	1.98
In reexamination	1.555, 1.933
To comply with duty of disclosure	1.97
Prior art submission by third party:	
In patent application	1.290
In patent file	1.501
In protest against pending unpublished application	1.291
Prior Invention, affidavit or declaration of, to overcome rejection	1.131
Prior public disclosure, affidavit or declaration of, to overcome rejection	1.130
Prioritized examination	1.102(e)
Priority, international applications	1.451
Priority, right of, under treaty or law	1.55
Priority statement in interferences:	
Contents of	41.204
Correction of statement on motion	41.120
Effect of statement	41.204
Failure to file	41.204
In case of motion to amend interference	41.208
May be amended if defective	41.120
Reliance on prior application	41.204

Index I

Requirement for	41.204
Service on opposing parties.....	41.204
When opened to inspection.....	41.204
Proclamation as to protection of foreign mask works.....	Part 150
Protests to grant of patent.....	1.291
Provisional patent applications:	
Claiming the benefit of	1.78
Converting a nonprovisional to a provisional	1.53(c)(2)
Converting a provisional to a nonprovisional	1.53(c)(3)
Filing date.....	1.53
Filing fee.....	1.16(d)
General requisites	1.51(c)
Later filing of filing fee and cover sheet.....	1.53(g)
Names of all inventors required.....	1.41, 1.53(c)
No right of priority	1.53(c)(4)
No examination	1.53(i)
Papers concerning, must identify provisional applications as such, and by application number	1.5(f)
Parts of complete provisional application	1.51(c)
Processing fees.....	1.17(i)
Revival of.....	1.137
When abandoned	1.53(i)
Public information.....	Part 102
Fee.....	1.17(j)
Publication:	
Of reexamination certificate	1.570(f), 1.997(f)
Publication of application	1.211
Early publication.....	1.219
Express abandonment to avoid publication	1.138(c)
Fee.....	1.18
Nonpublication request.....	1.213
Publication of redacted copy	1.217
Republication	1.221
Voluntary publication	1.221
Published application	
Access to.....	1.11, 1.14
Certified copies.....	1.13
Contents.....	1.215
Definition of published application	1.9(c)
Records of, open to public.....	1.11, 1.12
Republication of	1.221
Third party submission in	1.290

R

RCE (Request for continued examination)	1.114
Reasons for allowance	1.104(e)
Reconsideration of Office action.....	1.112
Reconstruction of lost files	1.251
Recording of assignments. (See Assignments and recording)	
Records of the Patent and Trademark Office	1.11-1.15
Redacted publication of application	1.217
Reexamination:	
Amendments, manner of making.....	1.121(c)
Announcement in <i>Official Gazette</i>	1.11(c)
Correction of inventorship.....	1.530
Correspondence address.....	1.33(c)
<i>Ex parte</i> proceedings	

37 CFR Ch. I (7-1-22 Edition)

Amendments, manner of making	1.121(j), 1.530
Appeal to Board	41.31
Appeal to C.A.F.C.	90.1-90.3
Civil action under 35 U.S.C. 145	90.1-90.3
Concurrent with interference, reissue, other reexamination, litigation or office proceedings(s)	1.565
Conduct of	1.550
Duty of disclosure in	1.555
Examiner's determination to grant or refuse request for	1.515
Extensions of time	1.550(c)
Fees	1.20(c)
Initiated by the Director	1.520
Interviews in	1.560
Issuance of certificate at conclusion of	1.570
Order for reexamination by examiner	1.525
Patent owner's statement	1.530, 1.540
Processing of prior art citations during	1.502
Reply to patent owner's statement to third party requester	1.535, 1.540
Request for	1.510
Scope of	1.552
Service of papers	1.248
Examiner's action	1.104
Fee charged to deposit account	1.25
Identification in letter	1.5(d)
<i>Inter partes</i> proceedings	1.902-907
Amendments, manner of making	1.121(j), 1.530, 1.941
Appeal to Board	41.61
Appeal to C.A.F.C.	1.983
Civil action under 35 U.S.C. 145 not available	90.1-90.3
Concurrent with interference, reissue, other reexamination, litigation or office proceedings(s)	1.565, 1.985
Conduct of	1.937
Duty of disclosure in	1.555, 1.933
Examiner's determination to grant or refuse request for	1.923-1.927
Extensions of time	1.956
Filing date of request for	1.919
Issuance of certificate at conclusion of	1.997
Merged with concurrent reexamination proceedings	1.989
Merged with reissue application	1.991
Notice of, in the <i>Official Gazette</i>	1.904
Persons eligible to file request for	1.903
Processing of prior art citations during	1.902
Scope of	1.906
Service of papers	1.248, 1.903
Submission of papers by the public	1.905
Subsequent requests for	1.907
Suspension due to concurrent interference	1.993
Suspension due to litigation	1.987
Information Disclosure Statements	1.98, 1.555
Open to public	1.11(d)
Reconsideration before final action	1.112
Refund of fee	1.26
Reply to action	1.111
Revival of termination examination	1.137
Reference characters in drawings	1.74, 1.84(p)
References cited on examination	1.104(d)
Refund of international application filing and processing fees	1.446
Refund of money paid by mistake	1.26

Index I

Register of Government interest in patents.....	3.58
Rehearing:	
Of appeal decisions by Patent Trial and Appeal Board.....	41.52
Request for, time for appeal after action on	90.3
Reissues:	
Amendments	1.173
Applicants, assignees.....	1.172
Application for reissue.....	1.171
Application made and sworn to by inventor, if living	1.172
Declaration	1.175
Drawings.....	1.173(a)(2), 1.173(b)(3)
Examination of reissue	1.176
Filed during interference.....	41.202, 41.203
Filing during reexamination	1.565, 1.985
Filing fee	1.16
Filing of announced in <i>Official Gazette</i>	1.11(b)
Grounds for and requirements.....	1.171-1.173, 1.175-1.178
Issue fee	1.18(a)
Oath.....	1.175
Open to public	1.11
Original claims subject to reexamination	1.176
Original patent surrendered	1.178
Reissue in divisions	1.177
Restriction.....	1.176
Specification.....	1.173
Take precedence in order of examination.....	1.176
To contain no new matter	1.173(a)
What must accompany application.....	1.171, 1.172
Rejection:	
After two rejections appeal may be taken from examiner to Board of Appeals	1.191, 41.31
Applicant will be notified of rejection with reasons and references.....	1.104(a)(2)
Examiner may rely on admissions by applicant or patent owner, or facts within examiner's knowledge.....	1.104(c)(3)
Final	1.113
Formal objections.....	1.104
On account of invention shown by others but not claimed, how over- come	1.131
References will be cited	1.104(c)
Requisites of notice of	1.104
Reply brief	41.41
Reply by applicant or patent owner	1.111
Reply by requester.....	1.535, 1.947
Representative capacity	1.34(a)
Request for continued examination(RCE)	1.114
Request for reconsideration.....	1.112
Request for <i>ex parte</i> reexamination	1.510
Request for <i>inter partes</i> reexamination	1.913-1.927
Requirement for information	1.105
Response time to Office action	1.134
Restriction of application	1.141-1.146
Claims to nonelected invention withdrawn	1.142
Constructive election	1.145
Petition from requirements for	1.129, 1.144
Provisional election.....	1.143
Reconsideration of requirement	1.143
Reissue.....	1.176
Requirement for.....	1.142

37 CFR Ch. I (7-1-22 Edition)

Subsequent presentation of claims for different invention1.145
Transitional procedures.....1.129
Return of correspondence1.5(a)
Revival of abandoned application or terminated or limited reexamina-
tion proceeding1.137
Unintentional abandonment fee1.17(m)
Revocation of power of attorney or authorization of agent.....1.36(a)
Rules of Practice:

S

Saturday, when last day falls on1.7, 1.9(h)
Scope of reexamination proceedings.....1.552, 1.906
Secrecy order.....5.1-5.5
Sequences:
Amendments to sequence listing and computer readable copy1.825, 1.835
Disclosure requirements1.821, 1.823, 1.831, 1.833
Sequence data, symbols and format1.822
Submissions in ASCII plain text file1.824, 1.834
Submissions on optical disc in lieu of paper....1.52(e)(1)(ii), 1.821, 1.823, 1.831, 1.833
Serial number of application (see also Application Number)1.5, 1.53, 1.54(b)
Service of notices:
For taking testimony41.157
In contested cases41.101
Of appeal to the Court of Appeals for the Federal Circuit.....90.1-90.3
Service of papers.....1.248
Shortened period for reply1.134
Signature:
Handwritten.....1.4(d)(1)
Implicit certifications1.4(d), 1.18
To a written assertion of small entity status1.27(c)(2)
To amendments and other papers1.33(b)
To an application for extension of patent term1.730
To express abandonment.....1.138
To oath1.63
To reissue oath or declaration.....1.172
When copy is acceptable1.4
S-Signature.....1.4(d)(2)
Small business concern:
Definition (for small entity purposes).....1.27(a)(2)
Small entity:
Definition.....1.27(a)
Federal Government Use License Exceptions1.27(a)(4)
Status establishment.....1.27, 1.28
Status update1.27(g), 1.28
Written assertion1.27(c)
Solicitor's address1.1(a)(3)
Species of invention claimed1.141, 1.146
Specification (see also Application for patent, Claims):
Abstract1.72
Amendments to.....1.121, 1.125
Arrangement of1.77, 1.154, 1.163
Best mode1.71
Claim.....1.75
Contents of1.71-1.75
Copyright notice1.71(d)
Cross-references to other applications1.78
Description of the invention1.71

Index I

Filed by reference	1.57(a)
If defective, reissue to correct.....	1.171-1.178
Incorporation by reference of prior filed application.....	1.57(b)
Mask work notice.....	1.71(d)
Must conclude with specific and distinct claim	1.75
Must point out new improvements specifically	1.71
Must refer by figures to drawings	1.74
Must set forth the precise invention	1.71
Object of the invention.....	1.73
Order of arrangement in framing	1.77
Paper, writing, margins	1.52
Paragraph numbering	1.52(b)(6)
Part of complete application.....	1.51
Reference to drawings	1.74
Requirements of	1.71-1.75
Substitute	1.125
Summary of the invention	1.73
Title of the invention.....	1.72(a)
To be rewritten, if necessary	1.125
Specimens. (See Models and exhibits)	
Specimens of composition of matter to be furnished when required.....	1.93
Specimens of plants	1.166
Statutory disclaimer fee.....	1.20(d)
Sufficient funds in deposit account.....	1.25
Suit in equity. (See Civil action)	
Summary of invention	1.73
Sunday, when last day falls on.....	1.7, 1.9(h)
Supervisory authority, petition to Director to exercise.....	1.181
Supplemental oath/declaration	1.67
Supplemental Examination of Patents:	
Conclusion of	1.625
Conduct of.....	1.620
Content of request	1.610
Filing of papers in supplemental examination	1.601
Format of papers filed	1.615
Procedure after conclusion	1.625
Publication of certificate	1.625
Surcharge for completion of nonprovisional application after filing date.....	1.16(f), 1.53(f)
Suspension of action by Office.....	1.103
CPA, Design.....	1.103(b)
Deferral of examination	1.103(d)
For cause	1.103(a)
RCE.....	1.103(c)
Suspension of <i>ex parte</i> prosecution during interference	41.103
Suspension of rules	1.183
Symbols for drawings.....	1.84(n)
Symbols for nucleotide and/or amino acid sequence data.....	1.822

T

Tables in patent applications.....	1.58
Technological Invention	42.301
Terminal disclaimer	1.321
Testimony by Office employees	15 C.F.R. Part 15a
Testimony in interferences:	
Additional time for taking	41.4
Assignment of times for taking	41.157

37 CFR Ch. I (7-1-22 Edition)

Certification and filing by officer	41.157
Copies of	41.157
Depositions must be filed	41.157
Discovery	41.150
Effect of errors and irregularities in deposition	41.155, 41.157
Evidence must comply with rules	41.152
Examination of witnesses	41.157
Form of deposition	41.157
Formal objections to	41.155, 41.157
Formalities in preparing depositions	41.157
In foreign countries	41.156, 41.157
Manner of taking testimony of witnesses	41.157
Notice of examination of witnesses	41.157
Objections noted in depositions	41.155, 41.157
Objections to formal matters	41.155, 41.157
Officer's certificate	41.157
Persons before whom depositions may be taken	41.157
Service of notice	41.157
Stipulations or agreements concerning	41.157
Taken by depositions	41.157
Time for taking	41.157
Third-party submission in published application	1.290
Time expiring on Saturday, Sunday, or holiday	1.7, 1.9(h)
Time for payment of issue fee	1.311
Time for reply by applicant	1.134, 1.136
Time for reply by patent owner	1.530, 1.945
Time for reply by requester	1.535, 1.947
Time for reply to Office action	1.134, 1.136
Time, periods of	1.7
Timely filing of correspondence	1.8, 1.10
Title of invention	1.72(a)
Title reports, fee for	1.19(b)(4)
Transitional procedures	1.129

U

Unintentional abandonment	1.137
Unintentional delay in reexamination	1.550(e), 1.958
United States as:	
Designated Office	1.414
Elected Office	1.414
International Preliminary Examining Authority	1.416
International Searching Authority	1.413
Receiving Office	1.412
Unlocatable files	1.251
Unsigned continuation or divisional application	1.53, 1.63
Use of file of parent application	1.53(d)

V

Voluntary publication of application	1.221
--	-------

W

Waiver of confidentiality	1.53(d)(6)
Withdrawal from issue	1.313
Withdrawal of attorney or agent	1.36