

## SUBCHAPTER G—COMPLIANCE AND ENFORCEMENT PROVISIONS

### PART 570 [RESERVED]

### PART 571—MONITORING AND INVESTIGATIONS

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AUTHORITY: 25 U.S.C. 2706(b), 2710(b)(2)(C), 2715, 2716.

SOURCE: 58 FR 5842, Jan. 22, 1993, unless otherwise noted.

#### Subpart A—General

##### § 571.1 Scope.

This part sets forth general procedures governing Commission monitoring and investigations of Indian gaming operations.

##### § 571.2 Definitions.

As used in this subchapter, the following terms have the specified meanings:

*Commission's authorized representative* means any persons who is authorized to act on behalf of the Commission for the purpose of implementing the Act and this chapter.

*Day* means calendar day unless otherwise specified.

*Hearing* means that part of a proceeding that involves the submission of evidence to the presiding official, either by oral presentation or written submission.

*Party* means the Chairman, the respondent(s), and any other person named or admitted as a party to a proceeding.

*Person* means an individual, Indian tribe, corporation, partnership, or other organization or entity.

*Presiding official* means a person designated by the Commission who is qualified to conduct an administrative hearing and authorized to administer oaths, and has had no previous role in the prosecution of a matter over which he or she will preside.

*Respondent* means a person against whom the Commission is seeking civil penalties under section 2713 of the Act.

*Violation* means a violation of applicable federal or tribal statutes, regulations, ordinances, or resolutions.

[58 FR 5842, Jan. 22, 1993; 58 FR 8449, Feb. 12, 1993, as amended at 58 FR 16494, Mar. 29, 1993]

##### § 571.3 Confidentiality.

Unless confidentiality is waived, the Commission shall treat as confidential any and all information received under the Act that falls within the exemptions of 5 U.S.C. 552(b) (4) and (7); *except* that when such information indicates a violation of Federal, State, or tribal statutes, regulations, ordinances, or resolutions, the Commission shall provide such information to appropriate law enforcement officials. The confidentiality of documents submitted in a multiple-party proceeding is addressed in § 584.9 of this chapter.

[58 FR 5842, Jan. 22, 1993, as amended at 80 FR 31995, June 5, 2015]

##### § 571.4 Investigation completion letter.

In instances where Commission has concluded its investigation of a particular matter and will not recommend the commencement of an enforcement proceeding against a respondent at