#### §214.301

(SES) may, pursuant to legislative and regulatory authorities, enter into an agreement providing for the movement of persons between the SES and the other system. The agreement shall define the status and tenure that the persons affected shall acquire upon the movement.

- (b) Persons eligible for movement must be serving in permanent, continuing positions with career or careertype appointments. They must meet the qualifications requirements of any position to which moved.
- (c) An interchange agreement may be discontinued by either party under such conditions as provided in the agreement.

[60 FR 6385, Feb. 2, 1995]

# **Subpart C—Exclusions**

### §214.301 Exclusions.

If not excluded from the Senior Executive Service by section 3132(a) (1) or (2) of title 5, United States Code, an agency, or unit thereof, may be excluded only under the provisions of section 3132 (c) through (f) of title 5.

# Subpart D—Types of Positions

### §214.401 Types of positions.

There are two types of positions in the Senior Executive Service:

- (a) General positions, which may be filled by a career, noncareer, limited emergency, or limited term appointee.
- (b) Career reserved positions, which may be filled only by a career appointee.

### §214.402 Career reserved positions.

- (a) The head of each agency is responsible for designating career reserved positions in accordance with the regulations in this section.
- (b) A position shall be designated as a career reserved position if:
- (1) The position (except a position in the Executive Office of the President):
- (i) Was under the Executive Schedule, or the rate of basic pay was determined by reference to the Executive Schedule, on October 12, 1978;
- (ii) Was specifically required under section 2102 of title 5, United States

Code, or otherwise required by law to be in the competitive service; and

- (iii) Entailed direct responsibility to the public for the management or operation of particular government programs or functions; or
- (2) The position must be filled by a career appointee to ensure impartiality, or the public's confidence in the impartiality, of the Government.
- (c) The head of an agency shall use the following criteria in determining whether paragraph (b)(2) of this section is applicable to an individual position:
- (1) Career reserved positions include positions the principal duties of which involve day-to-day operations, without responsibility for or substantial involvement in the determination or public advocacy of the major controversial policies of the Administration or agency, in the following occupational disciplines:
  - (i) Adjudication and appeals;
  - (ii) Audit and inspection;
- (iii) Civil or criminal law enforcement and compliance;
- (iv) Contract administration and procurement:
  - (v) Grants administration;
- (vi) Investigation and security matters; and
- (vii) Tax liability, including the assessment or collection of taxes and the preparation or review of interpretative opinions.
- (2) Career reserved positions also include:
- (i) Scientific or other highly technical or professional positions where the duties and responsibilities of the specific position are such that it must be filled by a career appointee to insure impartiality, of the Government.
- (ii) Other positions requiring impartiality, or the public's confidence in impartiality, as determined by an agency in light of its mission.
- (d) The Office of Personnel Management may review agency designations of general and career reserved positions. If the Office finds that an agency has designated any position as general that should be career reserved, it shall direct the agency to make the career reserved designation.
- (e) The minimum number of positions in the Senior Executive Service Governmentwide that must be career

reserved is 3,571 as determined by the Director of the Office of Personnel Management under section 3133(e) of 5 U.S.C. To assure that this figure is met, the Office may establish a minimum number of career reserved positions for individual agencies. An agency must maintain or exceed this number unless it is adjusted by the Office.

[45 FR 62414, Sept. 19, 1980; 45 FR 83471, Dec. 19 1980]

## §214.403 Change of position type.

An agency may not change the designation of an established position from career reserved to general, or from general to career reserved, without the prior approval of the Office of Personnel Management.

# PART 230—ORGANIZATION OF THE GOVERNMENT FOR PERSONNEL MANAGEMENT

# Subparts A-C [Reserved]

### Subpart D—Agency Authority To Take Personnel Actions in a National Emergency

Sec.

230.401 Agency authority to take personnel actions in a national emergency disaster.
230.402 Agency authority to make emergency-indefinite appointments in a national emergency.

AUTHORITY: 5 U.S.C. 1302, 3301, 3302; E.O. 10577; 3 CFR 1954–1958 Comp., p. 218; sec. 230.402 also issued under 5 U.S.C. 1104.

## Subparts A-C [Reserved]

# Subpart D—Agency Authority To Take Personnel Actions in a National Emergency

# § 230.401 Agency authority to take personnel actions in a national emergency disaster.

(a) Upon an attack on the United States, agencies are authorized to carry out whatever personnel activities may be necessary to the effective functioning of their organizations during a period of disaster without regard to any regulation or instruction of OPM, except those which become effective upon or following an attack on the United States. This authority applies

only to actions under OPM jurisdiction.

- (b) Actions taken under this section shall be consistent with affected regulations and instructions as far as possible under the circumstances and shall be discontinued as soon as conditions permit the reapplication of the affected regulations and instructions.
- (c) An employee may not acquire a competitive civil service status by virtue of any action taken under this section.
- (d) Actions taken, and authority to take actions, under this section may be adjusted or terminated in whole or in part by OPM.
- (e) Agencies shall maintain records of the actions taken under this section. [35 FR 5173, Mar. 27, 1970]

### § 230.402 Agency authority to make emergency-indefinite appointments in a national emergency.

- (a) When a national emergency exists—
  (1) Definition. A national emergency must meet all of the following conditions:
- (i) It was declared by the President or Congress.
- (ii) It involves a danger to the United States' safety, security, or stability that results from specified circumstances or conditions and that is national in scope.
- (iii) It requires a national program specifically intended to combat the threat to national safety, security, or stability.
- (2) Termination of a national emergency. A national emergency no longer exists if it is officially terminated by the President or Congress, or if the specific circumstances, conditions, or program cited in the original declaration are terminated or corrected.
- (b) Basic authority. Agencies may make emergency-indefinite appointments without OPM approval during any national emergency as defined in paragraph (a) of this section. The head of an agency with a defense-related mission may request OPM's approval to make emergency-indefinite appointments without a declared national emergency when the President has authorized the call-up of some portion of the military reserves for some military purpose. The request must demonstrate