date of the exemption is prohibited under § 680.7(a)(2) and (4).

(F) An exemption is effective for the remainder of the crab fishing year, unless the inseason notice of exemption specifies that compensatory deliveries will occur in the following crab fishing year and then the exemption will remain in effect for the IFQ and IPQ specified for compensatory delivery in the following crab fishing year.

(5) Regional Delivery Exemption Report. (i) Each IFQ holder that signs a pre-season application, described in paragraph (p)(4)(ii) of this section, must submit a Regional Delivery Exemption Report to NMFS that includes an explanation of—

(A) The amount of IFQ, if any, set aside to reduce the need for, and the amount of, an exemption;

(B) The mitigation measures employed before submitting an inseason notice;

(C) The number of times an exemption was requested and used;

(D) The arrangements for any compensatory deliveries, including all compensatory deliveries made during the crab fishing year and any outstanding compensatory delivery obligations for the following crab fishing year;

(E) Whether the exemption was necessary; and

(F) Any impacts resulting from the exemption on the fishery participants and communities that signed the pre-season application.

(ii) On or before July 15, IFQ holders must submit a copy of the Regional Delivery Exemption Report to the IPQ holders and community representatives that also signed the pre-season application.

(iii) On or before July 30, IFQ holders must submit the Regional Delivery Exemption Report to NMFS at the address in paragraph (p)(4)(i) of this section.

(6) Public Notice of the Exemption. NMFS will post the effective date of an exemption and the Regional Delivery Exemption Reports on the NMFS Alaska Region Web site (http://alaskafisheries.noaa.gov).


§ 680.5 Recordkeeping and reporting (R&R).

(a) General requirements—(1) Recording and reporting crab. Any CR crab harvested that is retained must be recorded and reported.

(2) Responsibility. (i) The participants in the CR fisheries are responsible for complying with the following R&R requirements:

<table>
<thead>
<tr>
<th>Recordkeeping and reporting report</th>
<th>Person responsible</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>(A) Longline and pot gear catcher vessel daily fishing logbook.</td>
<td>Owner and operator of vessel</td>
<td>§679.5(c)(1).</td>
</tr>
<tr>
<td>(B) Longline and pot gear catcher/processor daily cumulative production logbook.</td>
<td>Owner and operator of vessel</td>
<td>§679.5(c)(1).</td>
</tr>
<tr>
<td>(C) Product Transfer Report (PTR)</td>
<td>Owner and operator of catcher/processor; Owner and manager of shoreside processor or SFCP; RCR.</td>
<td>§679.5(g).</td>
</tr>
<tr>
<td>(D) U.S. Vessel Activity Report (VAR)</td>
<td>Owner and operator of vessel</td>
<td>§679.5(k).</td>
</tr>
<tr>
<td>(E) Transhipment Authorization</td>
<td>Owner and operator of a catcher/processor; RCR</td>
<td>§679.5(l)(3).</td>
</tr>
<tr>
<td>(F) IFQ Departure Report</td>
<td>Owner and operator of vessel</td>
<td>§679.5(l)(4).</td>
</tr>
<tr>
<td>(G) Crab Landing Report</td>
<td>RCR</td>
<td>§679.5(e)</td>
</tr>
<tr>
<td>(H) [Reserved]</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(I) Eligible Crab Community Organization (ECCO) Annual Report for an Eligible Crab Community (ECC)</td>
<td>ECCO</td>
<td>§680.5(f).</td>
</tr>
<tr>
<td>(J) RCR Fee Submission Form</td>
<td>RCR</td>
<td>§680.5(g).</td>
</tr>
<tr>
<td>(K) Crab Economic Data Report (EDR)</td>
<td>Owners or leaseholders of a catcher vessel, catcher/processor, shoreside processor, or SFCP.</td>
<td>§680.6.</td>
</tr>
<tr>
<td>(L) CR RCR Ex vessel Volume and Value Report</td>
<td>RCR</td>
<td>§680.5(m).</td>
</tr>
</tbody>
</table>

(3) Representative. Designation of a representative to complete R&R requirements does not relieve the person(s) responsible for compliance from ensuring compliance with this section.
(4) **Submittal of information.** A person must submit to NMFS all information, records, and reports required in this section in English and in a legible, timely, and accurate manner, based on A.I.T.; if handwritten or typed, in indelible ink.

(5) **Alteration of records.** A person may not alter or change any entry or record submitted to NMFS, except that an inaccurate, incomplete, or incorrect entry or record may be corrected after notifying the Regional Administrator at the address and facsimile number listed on each form, or as provided the opportunity on the Internet.

(6) **Inspection of records.** A person responsible for R&R under paragraph (a)(2) of this section must make available for inspection all reports, forms, scale receipts, and CR crab landing report receipts upon the request of an authorized officer for the time periods indicated in paragraph (a)(7) of this section.

(7) **Retention of records.** A person responsible for R&R under paragraph (a)(2) of this section must retain all reports and receipts as follows:

(i) **On site.** Until the end of the crab fishing year during which the records were made and for as long thereafter as crab or crab products recorded in the records are retained onboard the vessel or on site at the facility; and

(ii) **For 3 years.** For 3 years after the end of the crab fishing year during which the records were made.

(8) **Landing verification and inspection.** Each CR crab landing and all crab retained on board the vessel making a CR crab landing are subject to verification and inspection by authorized officers.

(9) **Sampling.** Each CR crab landing and all crab retained onboard a vessel making a CR crab landing are subject to sampling by authorized officers and observers.

(b) **IFQ crab landings—**

(1) **Landing reports.** See §679.5(e) of this chapter.

(2) **Properly debited landing.** All landed crab catch must be weighed, reported, and debited from the appropriate IFQ account under which the catch was harvested, and IFQ account under which it was received, as appropriate (see §679.5(e) of this chapter).

(c)–(e) [Reserved]

(5) **ECCO Annual Report.** (1) Annually by June 30, each ECCO must submit a complete annual report on its crab QS activity for the prior crab fishing year for each ECC represented by the ECCO. The ECCO must submit a copy of the annual report to the governing body of each community represented by the ECCO and to the Regional Administrator, NMFS, Alaska Region; P.O. Box 21668; Juneau, AK 99802.

(2) **Contents of ECCO Annual Report.** A complete annual report must include the following information for the crab IFQ derived from the QS held by the ECCO:

(i) Name, ADF&G vessel registration number, USCG documentation number, and Federal crab vessel permit of each vessel from which the crab IFQ was harvested;

(ii) Name and business addresses of individuals employed as crew members when fishing the crab IFQ;

(iii) Criteria used by the ECCO to distribute crab IFQ leases among eligible community residents;

(iv) Description of efforts made to ensure that crab IFQ lessees employ crew members who are eligible community residents of the ECC aboard vessels on which crab IFQ derived from QS held by a ECCO is being fished;

(v) Description of the process used to solicit lease applications from eligible community residents of the ECC on whose behalf the ECCO is holding QS;

(vi) Names and business addresses and amount of crab IFQ requested by each individual applying to receive crab IFQ from the ECCO;

(vii) Any changes in the bylaws of the ECCO, board of directors, or other key management personnel;

(viii) Copies of minutes, bylaw changes, motions, and other relevant decision making documents from ECCO board meetings.

(g) **RCR fee submission form** (See §680.44). (1) **Applicability.** An RCR or the RCR’s authorized representative, who receives any CR crab pursuant to §680.44 must submit to NMFS online a complete RCR fee form as instructed on the form at NMFS Alaska Region website at [http://alaskafisheries.noaa.gov](http://alaskafisheries.noaa.gov).

(2) **Due date and submittal.** The reporting period of the RCR fee submission
shall be the crab fishing year. An RCR must submit any crab cost recovery fee liability payment(s) and the RCR fee submission form to NMFS online not later than July 31 following the crab fishing year in which the CR crab landings were made.

(3) Required information. An RCR must accurately record on the RCR fee submission form the following information:

(i) Identification of the RCR. Enter the printed full name, NMFS person ID, RCR permit number, social security number or Federal tax identification number of the RCR. Enter the permanent or temporary business mailing address (indicate whether permanent or temporary), and the business telephone number, facsimile number, and e-mail address (if available).

(ii) Signature of applicant. Enter printed name and signature of applicant and date signed. If authorized representative, attach authorization to application.

(iii) Method of Payment (see §680.44(a)(4)). The RCR must select the method of payment for fees; whether by personal check, bank certified check (cashier’s check), money order, or credit card. If by credit card, the RCR must select the type of credit card and enter the card number, expiration date, amount of payment, name as printed on the card, signature of the card holder, and date of signature.

(h) Product transfer report. (See §679.5(g).)

(i) U.S. Vessel activity report (VAR). (See §679.5(k).)

(j) Transshipment authorization. (See §679.5(1)(3).)

(k) IFQ departure report. (See §679.5(1)(4).)

(l) Catcher vessel longline and pot daily fishing logbook (DFL) and catcher/processor daily cumulative production logbook (DCPL). (See §679.5(c)).

(m) CR Registered Crab Receiver (RCR) Ex-vessel Volume and Value Report—(i) Applicability. An RCR that also operates as a shoreside processor or stationary floating crab processor and receives and purchases landings of CR crab must submit annually to NMFS a complete CR RCR Ex-vessel Volume and Value Report, as described in this paragraph (m), for each reporting period in which the RCR receives CR crab.

(2) Reporting period. The reporting period of the CR RCR Ex-vessel Volume and Value Report shall extend from August 15 through April 30 of the following year, inclusive.

(3) Due date. A complete CR RCR Ex-vessel Volume and Value Report must be received by the Regional Administrator not later than May 15 of the reporting period in which the RCR received the CR crab.

(4) Information required. The RCR must log in to http://alaskafisheries.noaa.gov using the RCR’s password and NMFS person ID to submit a CR RCR Ex-vessel Volume and Value Report. The NMFS software autofills the RCR name. The User must review the autofilled cells to ensure that they are accurate. The RCR must enter the information in paragraphs (m)(4)(i) through (iv) of this section for a complete CR RCR Ex-vessel Volume and Value Report for priced crab delivered raw:

(i) RCR identification. (A) RCR permit number.

(B) Landing month.

(C) Port (location of facility or vessel).

(ii) CR crab program (e.g., IFQ, CDQ, ADAK).

(iii) CR crab pounds purchased and ex-vessel value. Enter for each program, fishery, species, and month.

(A) Pounds purchased. The total CR crab pounds purchased by fishery and species for each month.

(B) Ex-vessel value paid. The total gross ex-vessel value paid for raw CR crab pounds before any deductions are made for goods and services provided to the CR crab harvesters. The gross value includes all value paid in any form (e.g., dollars, goods, services, bait, ice, fuel, repairs, machinery replacement, etc.), and any retro payments paid for crab in paragraph (m)(4)(iii)(A) of this section.

(iv) Certification. By using the RCR NMFS ID and password and submitting the report, the RCR certifies that all information is true, correct, and complete to the best of his or her knowledge and belief.
(5) **Submittal.** The RCR must complete and submit online by electronic submission to NMFS the CR Registered Crab Receiver Ex-vessel Volume and Value Report available at https://alaskafisheries.noaa.gov.


§ 680.6 Crab economic data report (EDR).

(a) **Requirements.** (1) Any owner or leaseholder of a vessel or processing plant, or a holder of a registered crab receiver permit that harvested, processed, custom processed, or obtained custom processing for CR crab, during a calendar year, must submit a complete Economic Data Report (EDR) by following the instructions on the applicable EDR form.

(2) A completed EDR or EDR certification pages must be submitted to the DCA for each calendar year on or before 1700 hours, A.l.t., July 31 of the following year.


(b) **EDR certification pages.** Any person required to submit an annual EDR under paragraph (a) of this section must submit the EDR certification pages as either:

(1) **Part of the entire EDR.** Persons submitting the completed EDR certification pages as part of the entire EDR must attest to the accuracy and completion of the EDR by signing and dating the certification pages; or

(2) **A separate document.** Persons submitting the completed EDR certification pages only must attest that they meet the conditions exempting them from submitting the entire EDR, by signing and dating the certification pages.

(c) **Annual catcher vessel crab EDR—** Any owner or leaseholder of a catcher vessel that landed CR crab in the previous calendar year must submit to the DCA, electronically or at the address provided on the form, a completed catcher vessel EDR for annual data for the previous calendar year.

(d) **Annual catcher/processor crab EDR—** Any owner or leaseholder of a catcher/processor that harvested or processed CR crab in the previous calendar year must submit to the DCA, electronically or at the address provided on the form, a completed catcher/processor EDR for annual data for the previous calendar year.

(e) **Annual stationary floating crab processor (SFCP) and shoreside crab processor EDR—** (1) Any owner or leaseholder of an SFCP or a shoreside crab processor that processed CR crab, including custom processing of CR crab performed for other crab buyers, in the previous calendar year must submit to the DCA, electronically or at the address provided on the form, a completed processor EDR for annual data for the previous calendar year.

(2) Any holder of a registered crab receiver (RCR) permit that obtained custom processing for CR Program crab in the previous calendar year must submit to the DCA, electronically or at the address provided on the form, a completed processor EDR for annual data for the previous calendar year.

(f) **Verification of data.** (1) The DCA shall conduct verification of information with the owner or leaseholder.

(2) The owner or leaseholder must respond to inquiries by the DCA within 20 days of the date of issuance of the inquiry.

(3) The owner or leaseholder must provide copies of additional data to facilitate verification by the DCA. The DCA auditor may review and request copies of additional data provided by the owner or leaseholder, including but not limited to previously audited or reviewed financial statements, worksheets, tax returns, invoices, receipts, and other original documents substantiating the data.

(g) **DCA authorization.** The DCA is authorized to request voluntary submission of economic data specified in this