Officer (see §1036.801). See §1036.825 for additional reporting and recordkeeping provisions.

Subpart B—Emission Standards and Related Requirements

§ 1036.100 Overview of exhaust emission standards.

Engines used in vehicles certified to the applicable chassis standards for greenhouse gas pollutants described in 40 CFR 1037.104 are not subject to the standards specified in this part. All other engines subject to this part must meet the greenhouse gas standards in §1036.108 in addition to the criteria pollutant standards of 40 CFR part 86.

§ 1036.108 Greenhouse gas emission standards.

This section contains standards and other regulations applicable to the emission of the air pollutant defined as the aggregate group of six greenhouse gases: carbon dioxide, nitrous oxide, methane, hydrofluorocarbons, perfluorocarbons, and sulfur hexafluoride. This section describes the applicable CO₂, N₂O, and CH₄ standards for engines. Except as specified in paragraph (a)(4) of this section, these standards do not apply for engines used in vehicles subject to (or voluntarily certified to) the CO₂, N₂O, and CH₄ standards for vehicles specified in 40 CFR 1037.104.

(a) Emission standards. Emission standards apply for engines measured using the test procedures specified in subpart F of this part as follows:

(1) CO₂ emission standards apply as specified in this paragraph (a)(1). The applicable test cycle for measuring CO₂ emissions differs depending on the engine family’s primary intended service class and the extent to which the engines will be (or were designed to be) used in tractors. For medium and heavy-duty engines certified as tractor engines, measure CO₂ emissions using the steady-state duty cycle specified in 40 CFR 86.1362 (referred to as the SET cycle). This is intended for engines designed to be used primarily in tractors and other line-haul applications. Note that the use of some SET-certified tractor engines in vocational applications does not affect your certification obligation under this paragraph (a)(1); see other provisions of this part and 40 CFR part 1037 for limits on using engines certified to only one cycle. For medium and heavy-duty engines certified as both tractor and vocational engines, measure CO₂ emissions using the steady-state duty cycle and the transient duty cycle (sometimes referred to as the FTP engine cycle), both of which are specified in 40 CFR part 86, subpart N. This is intended for engines that are designed for use in both tractor and vocational applications. For all other engines (including all spark-ignition engines), measure CO₂ emissions using the transient duty cycle specified in 40 CFR part 86, subpart N.

(i) The CO₂ standard for model year 2016 and later spark-ignition engines is 627 g/hp-hr.

(ii) The following CO₂ standards apply for compression-ignition engines and all other engines (in g/hp-hr):

<table>
<thead>
<tr>
<th>Model years</th>
<th>Light heavy-duty</th>
<th>Medium heavy-duty—vocational</th>
<th>Heavy heavy-duty—vocational</th>
<th>Medium heavy-duty—tractor</th>
<th>Heavy heavy-duty—tractor</th>
</tr>
</thead>
<tbody>
<tr>
<td>2014–2016</td>
<td>600</td>
<td>600</td>
<td>567</td>
<td>502</td>
<td>475</td>
</tr>
<tr>
<td>2017 and later</td>
<td>576</td>
<td>576</td>
<td>555</td>
<td>487</td>
<td>460</td>
</tr>
</tbody>
</table>

(2) The CH₄ emission standard is 0.10 g/hp-hr when measured over the transient duty cycle specified in 40 CFR part 86, subpart N. This standard begins in model year 2014 for compression ignition engines and in model year 2016 for spark-ignition engines. Note that this standard applies for all fuel types just as the other standards of this section do.

(3) The N₂O emission standard for all model year 2014 and later engines is 0.10 g/hp-hr when measured over the transient duty cycle specified in 40 CFR part 86, subpart N. This standard...
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(b) Family certification levels. You must specify a CO₂ Family Certification Level (FCL) for each engine family. The FCL may not be less than the certified emission level for the engine family. The CO₂ Family Emission Limit (FEL) for the engine family is equal to the FCL multiplied by 1.03.

(c) Averaging, banking, and trading. You may generate or use emission credits under the averaging, banking, and trading (ABT) program described in part H of this part for demonstrating compliance with CO₂ emission standards. Credits (positive and negative) are calculated from the difference between the FCL and the applicable emission standard. As described in §1036.705, you may use CO₂ credits to certify your engine families to FELs for N₂O and/or CH₄, instead of the N₂O/CH₄ standards of this section that otherwise apply. Except as specified in §§1036.150 and 1036.705, you may not generate or use credits for N₂O or CH₄ emissions.

(d) Useful life. Your engines must meet the exhaust emission standards of this section throughout their full useful life, expressed in service miles or calendar years, whichever comes first. The useful life values applicable to the criteria pollutant standards of 40 CFR part 86 apply for the standards of this section.

(e) Applicability for testing. The emission standards in this subpart apply as specified in this paragraph (e) to all duty-cycle testing (according to the applicable test cycles) of testable configurations, including certification, selective enforcement audits, and in-use testing. The CO₂ FCLs serve as the CO₂ emission standards for the engine family with respect to certification and confirmatory testing instead of the standards specified in paragraph (a)(1) of this section. The FELs serve as the emission standards for the engine family with respect to all other testing. See §§1036.235 and 1036.241 to determine which engine configurations within the engine family are subject to testing.

(f) Multi-fuel engines. For dual-fuel, multi-fuel, and flexible-fuel engines, perform exhaust testing on each fuel type (for example, gasoline and E85).

(1) This paragraph (f)(1) applies where you demonstrate the relative amount of each fuel type that your engines consume in actual use. Based on your demonstration, we will specify a weighting factor and allow you to submit the weighted average of your emission results. For example, if you certify an E85 flexible-fuel engine and we determine the engine will produce one-half of its work from E85 and one-half of its work from gasoline, you may average your E85 and gasoline emission results.

(2) If you certify your engine family to N₂O and/or CH₄, FELs the FELs apply for testing on all fuel types for which your engine is designed, to the same extent as criteria emission standards apply.

§1036.115 Other requirements.

(a) The warranty and maintenance requirements, adjustable parameter provisions, and defeat device prohibition of 40 CFR part 86 apply with respect to the standards of this part.

(b) You must design and produce your engines to comply with evaporative emission standards as follows:

(1) For complete heavy-duty vehicles you produce, you must certify the vehicles to emission standards as specified in 40 CFR 1037.103.

(2) For incomplete heavy-duty vehicles, and for engines used in vehicles you do not produce, you do not need to