§ 7.40 Petition to Director to review refusal.

(a) A response to the examiner’s initial refusal to accept an affidavit or declaration is required before filing a petition to the Director, unless the examiner directs otherwise. See §7.39(b) for the deadline for responding to an examiner’s Office action.

(b) If the examiner maintains the refusal of the affidavit or declaration, the holder may file a petition to the Director to review the examiner’s action. The petition must be filed within six months of the date of issuance of the action maintaining the refusal, or the Office will cancel the registration.

(c) A decision by the Director is necessary before filing an appeal or commencing a civil action in any court.

Subpart G—Renewal of International Registration and Extension of Protection

§ 7.41 Renewal of international registration and extension of protection.

(a) Any request to renew an international registration and its extension of protection to the United States must be made at the International Bureau in accordance with Article 7 of the Madrid Protocol.

(b) A request to renew an international registration or extension of protection to the United States submitted through the Office will not be processed.
INDEX II—RULES RELATING TO TRADEMARKS

EDITORIAL NOTE: This listing is provided for information purposes only. It is compiled and kept up-to-date by the Department of Commerce. This index is updated as of July 1, 2014.

Section

A

Abandonment of application or mark:
- During *inter partes* proceeding ................................................................. 2.135
- Express abandonment ............................................................................... 2.68
- For failure to respond or to respond completely to official action .......... 2.65(a)
- For failure to timely file a statement of use ........................................... 2.65(c)
- Revival of abandoned application ............................................................. 2.66

Access:
- To applications, and all proceedings relating thereto, after publication or registration ................................................................. 2.27(d)
- To applications prior to publication ...................................................... 2.27(b)
- To assignment records .......................................................................... 2.200
- To decisions of Director and the Trademark Trial and Appeal Board ................................................................. 2.27(c)
- To materials filed under seal pursuant to a protective order ............... 2.27(e); 2.126(c)
- To pending trademark application index ............................................ 2.27(a)

Acknowledgment of receipt of affidavit or declaration:
- Filed under sec. 8 .................................................................................... 2.163
- Filed under sec. 71 .................................................................................. 7.39
- Act, The, defined .................................................................................. 2.2(a)

Amendment of registration .......................................................... 2.173(b)(3), (4)

Requirements for a complete affidavit or declaration of use in commerce or excusable nonuse .................................................. 7.37(g), (h)

Admissions, request for (discovery):
- Motion to determine sufficiency of response ...................................... 2.120(h)
- Timing of ............................................................................................... 2.120(a)
- Use of admission .................................................................................. 2.120(j)

When to file copy of request with Trademark Trial and Appeal Board .................................................. 2.120(j)(8)

Advertising by attorneys and others, restricted .................................................. 11.702

Affidavit or declaration:
### Table of Contents

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Claiming benefits of Act of 1946 under sec. 12(c)</td>
<td>2.153</td>
</tr>
<tr>
<td>Combined secs. 8 and 15</td>
<td>2.168(a)</td>
</tr>
<tr>
<td>Combined secs. 9 and 15</td>
<td>2.168(b)</td>
</tr>
<tr>
<td>For incontestability under sec. 15</td>
<td>2.167</td>
</tr>
<tr>
<td>Of use in commerce or excusable nonuse under sec. 8</td>
<td>2.160-166</td>
</tr>
<tr>
<td>Of use in commerce or excusable nonuse under sec. 71</td>
<td>7.36-7.40</td>
</tr>
<tr>
<td>Reconsideration of, under sec. 8</td>
<td>2.163-2.165</td>
</tr>
<tr>
<td>Reconsideration of, under sec. 71</td>
<td>7.39, 7.40</td>
</tr>
<tr>
<td>To avoid cancellation of registration under sec. 8</td>
<td>2.160-2.166</td>
</tr>
<tr>
<td>To avoid cancellation of registration under sec. 71</td>
<td>7.36-7.40</td>
</tr>
<tr>
<td>Agent. (See Attorneys and other representatives)</td>
<td></td>
</tr>
<tr>
<td>Allegations in application or registration not evidence on behalf of applicant or registrant in <em>inter partes</em> proceeding</td>
<td>2.122(b)(2)</td>
</tr>
<tr>
<td>Allowance, notice of</td>
<td>2.81(b), 2.88</td>
</tr>
<tr>
<td>Amendment of application:</td>
<td></td>
</tr>
<tr>
<td>After final action</td>
<td>2.64(b)</td>
</tr>
<tr>
<td>After publication</td>
<td>2.35(b)(2), 2.84(b)</td>
</tr>
<tr>
<td>Between notice of allowance and statement of use</td>
<td>2.77</td>
</tr>
<tr>
<td>Description or drawing of mark</td>
<td>2.72</td>
</tr>
<tr>
<td>Form of amendment</td>
<td>2.74</td>
</tr>
<tr>
<td>Involved in <em>inter partes</em> proceedings</td>
<td>2.133</td>
</tr>
<tr>
<td>To allege use</td>
<td>2.76</td>
</tr>
<tr>
<td>To change to different register</td>
<td>2.75</td>
</tr>
<tr>
<td>To correct informalities</td>
<td>2.71</td>
</tr>
<tr>
<td>To seek concurrent use registration</td>
<td>2.73</td>
</tr>
<tr>
<td>Amendment of pleadings in <em>inter partes</em> proceedings:</td>
<td></td>
</tr>
<tr>
<td>Cancellation</td>
<td>2.115</td>
</tr>
<tr>
<td>Opposition</td>
<td>2.107</td>
</tr>
<tr>
<td>Amendment of registration:</td>
<td></td>
</tr>
<tr>
<td>During <em>inter partes</em> proceedings</td>
<td>2.133</td>
</tr>
<tr>
<td>Requirements for, in general</td>
<td>2.173</td>
</tr>
<tr>
<td>Amendment to allege use</td>
<td>2.76</td>
</tr>
<tr>
<td>Amendments to description or drawing of mark after filing</td>
<td>2.72(b)</td>
</tr>
<tr>
<td>Correction of deficiency in</td>
<td>2.76(g)</td>
</tr>
<tr>
<td>Fee for filing</td>
<td>2.6</td>
</tr>
<tr>
<td>Filed during final action response period</td>
<td>2.64(c)</td>
</tr>
<tr>
<td>Minimum requirements for filing</td>
<td>2.76(e)</td>
</tr>
<tr>
<td>Requirements for</td>
<td>2.76(b)</td>
</tr>
<tr>
<td>Time for filing</td>
<td>2.76(a)</td>
</tr>
<tr>
<td>Withdrawal of</td>
<td>2.76(h)</td>
</tr>
<tr>
<td>Answer to pleadings in opposition and cancellation proceedings</td>
<td>2.106, 2.114</td>
</tr>
<tr>
<td>Contents of answer</td>
<td>2.106(b)(1), 2.114(b)(1)</td>
</tr>
<tr>
<td>Corresponds to answer in court proceeding</td>
<td>2.116(c)</td>
</tr>
<tr>
<td>Counterclaim</td>
<td>2.106(b)(2), 2.114(b)(2)</td>
</tr>
<tr>
<td>Failure to timely answer</td>
<td>2.106(a), 2.114(a)</td>
</tr>
<tr>
<td>Answer to notice instituting concurrent use proceeding</td>
<td>2.99(d)</td>
</tr>
<tr>
<td>Failure to answer</td>
<td>2.99(d)(3)</td>
</tr>
<tr>
<td>Who needs to answer and when</td>
<td>2.99(d)(2)</td>
</tr>
<tr>
<td>Appeal to Court and civil action</td>
<td>2.145</td>
</tr>
<tr>
<td>Appeal to Court from decision of Director</td>
<td>2.145</td>
</tr>
<tr>
<td>Appeal to U.S. Court of Appeals for the Federal Circuit</td>
<td>2.145(a)</td>
</tr>
<tr>
<td>Civil action</td>
<td>2.145(c)</td>
</tr>
<tr>
<td>Extensions of time to appeal</td>
<td>2.145(e)</td>
</tr>
<tr>
<td>Notice of appeal to Court</td>
<td>2.145(c)(4)</td>
</tr>
<tr>
<td>Notice of appeal to U.S. Court of Appeals for the Federal Circuit</td>
<td>2.145(b)</td>
</tr>
</tbody>
</table>
Index II

Notice of election by appellee to proceed by civil action after appeal to U.S. Court of Appeals for the Federal circuit ........................................ 2.145(c)(3)

Time for appeal or civil action .............................................................. 2.145(d)

Appeal to Trademark Trial and Appeal Board:

Applicant:

Foreign .................................................................................................. 2.24, 2.34(a)(3)

May be represented by an attorney ...................................................... 2.11

Name of ............................................................................................ 2.21(a)(1), 2.32(a)(2)

Signature and oath or declaration ....................................................... 2.33

Application for registration ................................................................. 2.21-2.47

Amendment of. See Amendment of application.

Basis for filing ...................................................................................... 2.34, 2.35

Certification mark .................................................................................. 2.45

Collective mark .................................................................................... 2.44

Color claim in ..................................................................................... 2.52(b)(1)

Concurrent use .................................................................................. 2.42, 2.73, 2.99

Conflicting marks, co-pending applications for .................................... 2.83

Description of mark ............................................................................ 2.37

Different classes may be combined .................................................... 2.86

Dividing of applications ..................................................................... 2.87

Drawing required ................................................................................ 2.51

Extension of protection of international registration to United States ........................................................................................................ 7.25-7.41

Filing-date requirements ...................................................................... 2.21

Form of application ............................................................................. 2.32-2.47

For international registration. See International registration, application for:

Must be in English ................................................................................ 2.32(a)

Principal Register ................................................................................ 2.46

Priority claim based on foreign application ......................................... 2.34(a)(4), 7.27

Prior registrations should be identified .............................................. 2.36

Requirements for drawings ................................................................ 2.32-2.54

Requirements for written application .................................................. 2.32-2.34

Service mark ....................................................................................... 2.43

Signature of ........................................................................................ 2.32(b), 2.33

Specimens filed with ........................................................................... 2.56, 2.59

Supplemental Register ........................................................................ 2.47

Verification of ..................................................................................... 2.32(b), 2.33

Assignee:

Certificate of registration may be issued to ........................................ 3.85

New certificate of registration may be issued to .................................. 2.171

Not domiciled in U.S. .......................................................................... 3.86

Right to take action when assignment is recorded or proof of assign- ment has been submitted ................................................................. 3.71(d), 3.73

Assignment of registered marks or marks subject to pending applica- tions ................................................................. 3.1-3.85, 7.23

Cover sheet required to record ............................................................ 3.28, 3.31
Effect of recording.................................3.54
Electronically filed requests to record ..................3.25(c)(1), 3.31(a)(7)
Extension of protection of international registration to U.S., assign-
ment of ........................................7.22, 7.23
Original documents should not be submitted for recordation ............3.25, 3.34(b)
Recording fees ................................................3.41
Recording in U.S. Patent and Trademark Office of assignments or
other instruments relating to such marks...........................................3.11
Recording of changes to International registrations or applica-
tions not applicable ........................................7.22
Requirements for recording .............................................3.25-3.31, 3.41
Assignment of trial dates in inter partes proceedings ..................2.120(a), 2.121
Consolidated proceedings..............................................2.121(b)(2)
Counterclaim..................................................2.121(b)(2)
Discovery period...............................................2.120(a)
Extending, Rescheduling ...........................................2.120(a), 2.121(a) (c), (d)
Testimony periods ......................................................2.121
Trial order mailed with notice of institution............................2.120(a)
Attorney conflict of interest ........................................2.61(c), 11.107, 11.108, 11.111
Attorneys and other representatives ..............................2.11, 2.17-2.19, 2.119(d)
Attorneys fees: Unavailable ........................................2.127(f)
Authentication of copies of assignment records......................2.200(a)(2)

B

Basis for filing an application ..............................................2.34, 2.35

Briefs:
At final hearing in inter partes case .............................................2.128
Failure of appellant to file brief on appeal ..........................2.142(b)(1)
Failure of inter partes plaintiff to file brief at final hearing ........2.128(a)(3)
Failure to file brief on inter partes motion ......................2.127(a)
On appeal to Trademark Trial and Appeal Board .................2.142(b)
On motions in inter partes cases ......................................2.127(a), (e)(1)
On petitions to Director .................................................2.146(c), (e)
Burden of proof in an interference ...........................................2.96
Business with U.S. Patent and Trademark Office to be conducted with
decorum and courtesy .................................................2.192
Business with U.S. Patent and Trademark Office transacted in writ-
ing .............................................................2.191

C

Cancellation of registrations (See also International Registration, cancellation of):
By cancellation proceeding; pleadings and procedure ..........2.111
(See also Petition for Cancellation)
By registrant .................................................2.134, 2.172
For failure to file affidavit or declaration of use under sec. 8 ......2.160-2.166
For failure to file affidavit or declaration of use under sec. 71 .........7.36-7.40
During cancellation proceeding ........................................2.134(b)
Cases not specifically defined in rules, petition to the Director ..........2.124(a)(4)
Certificate of correction of registration .............................2.174, 2.175
Certificate of mailing by first class mail ................................2.197
Certificate of registration: As evidence in inter partes proceeding ..........2.122(b), (d), (e)
Index II

Contents..............................................................................................................2.151
In Registered extension of protection of international registration to
United States ..................................................................................................7.28
Issuance of new certificate to assignee .........................................................2.171
When and how issued ....................................................................................2.81, 2.82, 2.151
Certificate of transmission .............................................................................2.197
Certification mark .............................................................................................2.45, 2.56(a)(5)
Certification of international application .......................................................7.13
Certified copies of registrations and records ...................................................2.201
Citizenship of applicant ....................................................................................2.32(a)(3)

Civil action:
From decision of Director ................................................................................2.145(c)
From decision of Trademark Trial and Appeal Board ....................................2.145(d)
Notice of election by appellee to proceed by civil action after appeal
U.S. Court of Appeals for the Federal Circuit ..............................................2.145(c)(3)
Notice to Trademark Trial and Appeal Board of election to commence
civil action for review of Board decision .....................................................2.145(c)(4)
Suspension of action in application pending outcome ..................................2.67
Suspension of inter partes proceedings pending outcome .............................2.117
Time for commencing civil action from decision of Director or Trade-
mark Trial and Appeal Board ........................................................................2.145(d)
Waiver of right to proceed by civil action in ex parte case ............................2.145(c)(2)

Civil Procedure, Federal Rules of, applied to inter partes proceedings ........2.116(a),
.........................................................................................................................2.120(a)

Claim of benefits of Act of 1946 for marks registered under prior
Acts ..................................................................................................................2.153-2.156
Classification of goods and services ..............................................................2.65
Combined applications .....................................................................................2.86
Schedules of classes .......................................................................................6.1-6.4
Collective mark ...............................................................................................2.44, 2.56(a)(3)

Color claim:
In application for registration in United States ...........................................2.52(b)(1)
In application for international registration ...................................................7.11(a)(4), 7.12
Combined applications .....................................................................................2.86

Combined inter partes proceedings:
Cancellation ......................................................................................................2.112(b)
Opposition ......................................................................................................2.104(b)
Commencement of cancellation ....................................................................2.111(a)
Communication of opposition ........................................................................2.101(a)
Communication with attorney or other representative ...............................2.18
Complaints against U.S. Patent and Trademark Office employees ..............2.192
Compliance of applicant with other laws .......................................................2.69
Compliance with discovery order of Trademark Trial and Appeal
Board ...............................................................................................................2.120(g)
Compulsory counterclaim .............................................................................2.106(b)(2)(i), 2.114(b)(2)(i)
Concurrent use registration .............................................................................2.42, 2.99
Amendment to seek .......................................................................................2.73

Answer to notice of concurrent use proceeding, by whom and
when ....................................................................................................................2.99(d)
Application requirements ...............................................................................2.42
Based upon court determination .....................................................................2.99(f)
Burden of proving entitlement to .....................................................................2.99(e)

Consideration and determination by Trademark Trial and Appeal
Board ...............................................................................................................2.99(h), 2.133(c)
Examination by Examiner .............................................................................2.99(a), (b)
Intent-to-use applications, when subject to ...............................................2.73, 2.99(g)
Mark must first be published for opposition purposes ....................................2.99(b)
Notice of concurrent use proceeding................................................................. 2.99(c), (d)
Registrations and applications to register on Supplemental Register
and registrations under Act of 1920 not subject to........................................... 2.99(g)
Conduct of practitioners..................................................................................... 11.101-11.901
Conference, pre-trial, in inter partes cases.................................................... 2.120(i)(2)
Conference, telephone, in inter partes cases.................................................. 2.120(i)(1)
Confidential matters.......................................................................................... 2.27(e), 2.125(e), 2.126(c)
Conflict of interest, attorney ........................................................................... 2.61(c), 11.107, 11.108, 11.111
Consent of registrant or authorized representative to withdrawal of cancella-
tion after answer ............................................................................................... 2.114(c)
Consolidated inter partes proceedings:
Filing consolidated petition to cancel............................................................... 2.112(b)
Filing consolidated opposition ......................................................................... 2.104(b)
Times for filing briefs....................................................................................... 2.128(a)(2)
Constructive use, entry of judgment subject to establishment of in inter
partes proceedings ............................................................................................. 2.129(d)
Contested or inter partes cases ......................................................................... 2.116 et seq.
Copies of registrations and records................................................................. 2.6, 2.201
Correction of informalities by amendment ..................................................... 2.71
Mistake by U.S. Patent and Trademark Office ............................................... 2.174
Mistake by registrant ......................................................................................... 2.175
Mistake in international registration ................................................................. 7.22
Correspondence, addresses for ...................................................................... 2.190, 7.4
Counterclaim in opposition and cancellation ................................................ 2.106(b)(2), 2.114(b)(2)
Confidential matters ........................................................................................ 2.27(e), 2.125(e), 2.126(c)
Confidential matters ........................................................................................ 2.27(e), 2.125(e), 2.126(c)
Confidential matters ........................................................................................ 2.27(e), 2.125(e), 2.126(c)
Conflict of interest, attorney ........................................................................... 2.61(c), 11.107, 11.108, 11.111
Consent of opposer to abandonment of application or mark ......................... 2.135
Consent of petitioner to surrender or voluntary cancellation of registrat-
ion ...................................................................................................................... 2.134
Consent of applicant or authorized representative to withdrawal of cancella-
tion after answer ............................................................................................... 2.114(c)
Consolidated inter partes proceedings:
Filing consolidated petition to cancel............................................................... 2.112(b)
Filing consolidated opposition ......................................................................... 2.104(b)
Times for filing briefs....................................................................................... 2.128(a)(2)
Constructive use, entry of judgment subject to establishment of in inter
partes proceedings ............................................................................................. 2.129(d)
Contested or inter partes cases ......................................................................... 2.116 et seq.
Copies of registrations and records................................................................. 2.6, 2.201
Correction of informalities by amendment ..................................................... 2.71
Mistake by U.S. Patent and Trademark Office ............................................... 2.174
Mistake by registrant ......................................................................................... 2.175
Mistake in international registration ................................................................. 7.22
Correspondence, addresses for ...................................................................... 2.190, 7.4
Counterclaim in opposition and cancellation ................................................ 2.106(b)(2), 2.114(b)(2)
Court of Appeals for the Federal Circuit, U.S., appeal to ................................ 2.145

D

Date of first use and first use in commerce:
Amendment of .................................................................................................. 2.71(c)
Required in amendment to allege use ............................................................. 2.76(b)(1)(i)(l), (c)
Required in statement of use .......................................................................... 2.86(b)(1)(i), (c)
Required in use applications under sec. 1(a) .................................................. 2.34(a)(1)(i) and (iii)
Date of use allegation in application or registration not evidence on behal-
of applicant or registrant in inter partes case ............................................. 2.122(b)(2)
Declaration in lieu of oath or verification....................................................... 2.20
Declaration of interference ............................................................................... 2.91
Default judgment for failure to offer evidence in inter partes pro-
ceeding ............................................................................................................ 2.132(a)
Delay in responding to official action ............................................................ 2.66
Deposit accounts for paying fees .................................................................... 2.208
Depositions, discovery, in inter partes cases:
Domestic party ................................................................................................. 2.120(b)
Foreign party or representative ...................................................................... 2.120(c)
Motion to compel attendance ......................................................................... 2.120(e)
Index II

Nonparty .................................................................2.120(b)
Time for taking ............................................................2.120(a)
Use of .................................................................2.120(j)
When to file with Trademark Trial and Appeal Board .............................2.120(j)(8)
Depositions, discovery or trial testimony, upon written questions ..........2.124
Depositions, trial testimony .........................................................2.123
Before whom taken ..................................................................2.123(d)
Certification and filing .................................................................2.123(f)
Corresponds to the trial in court proceedings ...................................2.116(e)
Effect of errors and irregularities .................................................2.123(j)
Examination of witnesses ............................................................2.123(e)
Filing and service of testimony transcript .......................................2.125
Form of depositions .................................................................2.123(g)
Inspection of depositions ..............................................................2.27(d), 2.123(i)
Manner of taking ........................................................................2.123(a)
Must be filed ............................................................................2.123(b)
Notice of taking ...........................................................................2.123(c)
Protective order relating to transcript or exhibits .................................2.125(e)
Raising of objections ................................................................2.123(e), (j), (k)
Stipulations concerning .................................................................2.123(b)
Taken in foreign country ................................................................2.123(a)(2)
Timing .......................................................................................2.121
Description of mark in application ...............................................2.37, 2.52(b)(5)
Amendment to ............................................................................2.72
Designation of representative by foreign applicant, registrant, or party:
Application................................................................................2.24
Assignment ..............................................................................3.61
Diligence, requirement for ..........................................................2.66(a)(2), 2.146(i)
Director of United States Patent and Trademark Office:
May suspend certain rules ..........................................................2.146(a), 2.148
Petition to ..............................................................................2.146
Disciplinary Proceedings ................................................................11.19–11.62
Disclaimer:
During inter partes cases ..........................................................2.133
In part, of registered mark ...........................................................2.173
Disclosures:
Expert disclosures ..................................................................2.120(a)(2)
Failure to make ........................................................................2.120(e)
Filing with Board ....................................................................2.120(j)(5), (8)
Initial disclosures ......................................................................2.120(a)(2), (3)
Motion to compel ......................................................................2.120(e)
Protective order ........................................................................2.120(f)
Sanctions ..................................................................................2.120(g)(2)
Discovery depositions. See Depositions, discovery, in inter partes cases.
Discovery procedure ....................................................................2.120
Automatic disclosure and discovery provisions of Federal Rules of
Civil Procedure applicable ..........................................................2.120(a)
Discovery conference ................................................................2.120(a)
Discovery deposition of domestic party ..........................................2.120(b)
Discovery deposition of foreign party ...........................................2.120(c)
Discovery provisions of Federal Rules of Civil Procedure apply except
as otherwise provided ................................................................2.120(a)
Failure to comply with discovery order of Trademark Trial and Appeal
Board ......................................................................................2.120(g)
Interrogatories ........................................................................2.120(d)(1)
Motion for order to compel discovery .............................................2.120(d)(1), 2.120(e)
Motion for a protective order .......................................................2.120(f)
Evidence in entry of judgment, in ''Entity'', defined.........................................................2.2(b)

Electronic filing with Trademark Trial and Appeal Board ........................................2.126(b)

Dismissal:
For failure to file brief on appeal to Trademark Trial and Appeal Board ..................2.142(b)(1)
For failure to take testimony or offer other evidence in inter partes proceeding ..........2.132

Duration of registration:
Place of production of documents and things.................................................................2.120(d)(2)
Pre-trial conference ..........................................................................................................2.120(i)(1)
Proceeding suspended pending decision on motion to compel ......................................2.120(e)(2)
Request for admissions .....................................................................................................2.120(b)
Sanctions for failure to comply with discovery order of Trademark Trial and Appeal Board .................................................................2.120(g)
Telephone conference ......................................................................................................2.120(i)(1)
Time for discovery ............................................................................................................2.120(a)
Use of discovery deposition, answer to interrogatory, or admission ............................2.120(j)
When to file discovery materials with Trademark Trial and Appeal Board ..................2.120(j)(8)

Duplicate registrations, Office does not issue .................................................................2.48

E

Electronic filing with Trademark Trial and Appeal Board ........................................2.126(b)
Emergencies or interruptions in United States Postal Service ......................................2.195(e)
“Entity”, defined...............................................................................................................2.2(b)

Entry of judgment, in inter partes proceeding, subject to establishment of constructive use .................................................................2.129(d)
Evidence in ex parte appeal after notice of appeal .......................................................2.142(d)
Evidence in inter partes proceeding ...............................................................................2.122-2.125
Affidavits, stipulated testimony, and stipulated facts ...................................................2.123(b)
Allegations of use and specimens in applications and registrations .............................2.122(b)(2)
Discovery responses .........................................................................................................2.120(j)
Exhibits attached to pleadings .........................................................................................2.122(c)
Files of applications or registrations which are subject matter of proceeding ..........2.122(b)(1)

Official records ..............................................................................................................2.122(e)
## Index II

<table>
<thead>
<tr>
<th>Topic</th>
<th>Section(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Printed publications</td>
<td>2.122(e)</td>
</tr>
<tr>
<td>Registration owned by any party to proceeding</td>
<td>2.122(d)(2)</td>
</tr>
<tr>
<td>Registration pleaded by opposer or petitioner</td>
<td>2.122(d)(1)</td>
</tr>
<tr>
<td>Testimony from other proceedings between parties</td>
<td>2.123(f)</td>
</tr>
<tr>
<td>Testimony upon oral examination</td>
<td>2.123</td>
</tr>
<tr>
<td>Testimony upon written questions</td>
<td>2.124</td>
</tr>
<tr>
<td>Evidence of distinctiveness</td>
<td>2.41</td>
</tr>
<tr>
<td>Examination of applications</td>
<td>2.61</td>
</tr>
<tr>
<td>Examiner's appearance at <em>ex parte</em> appeal oral hearing</td>
<td>2.142(e)(2)</td>
</tr>
<tr>
<td>Examiner's brief on appeal</td>
<td>2.142(b)</td>
</tr>
<tr>
<td>Forwarded to defendant by Trademark Trial and Appeal Board</td>
<td>2.105, 2.113</td>
</tr>
<tr>
<td>Exhibits, testimony, filing and service of</td>
<td>2.125</td>
</tr>
<tr>
<td>Ex parte matter disclosed but not tried in <em>inter partes</em> case</td>
<td>2.131</td>
</tr>
<tr>
<td>Express abandonment of application or mark:</td>
<td></td>
</tr>
<tr>
<td>During examination procedure</td>
<td>2.68</td>
</tr>
<tr>
<td>During <em>inter partes</em> proceeding</td>
<td>2.135</td>
</tr>
<tr>
<td>“ExpressMail” procedure for filing of papers and fees</td>
<td>2.198</td>
</tr>
<tr>
<td>Express surrender or cancellation of registration:</td>
<td></td>
</tr>
<tr>
<td>During <em>inter partes</em> proceeding</td>
<td>2.134</td>
</tr>
<tr>
<td>Requirements for</td>
<td>2.172</td>
</tr>
<tr>
<td>Extension of protection of international registration to United States</td>
<td>7.25-7.41</td>
</tr>
<tr>
<td>Assignment of</td>
<td>7.23</td>
</tr>
<tr>
<td>Basis for registration</td>
<td>2.34(a)(5), 2.35(a)</td>
</tr>
<tr>
<td>Certificate of registration</td>
<td>2.151, 7.28</td>
</tr>
<tr>
<td>Definitions of terms</td>
<td>7.1</td>
</tr>
<tr>
<td>Effect of cancellation or expiration of international registration</td>
<td>7.30</td>
</tr>
<tr>
<td>Filing date</td>
<td>7.26</td>
</tr>
<tr>
<td>Not registrable on Supplemental Register</td>
<td>2.47(c), 2.75(c)</td>
</tr>
<tr>
<td>Part 2 rules applicable</td>
<td>7.25(a)</td>
</tr>
<tr>
<td>Part 3 rules do not apply</td>
<td>7.22</td>
</tr>
<tr>
<td>Priority claim</td>
<td>7.27</td>
</tr>
<tr>
<td>Renewal</td>
<td>7.41</td>
</tr>
<tr>
<td>Registered extension of protection cannot be amended under Section 7</td>
<td></td>
</tr>
<tr>
<td>of the Act</td>
<td>7.25(a)</td>
</tr>
<tr>
<td>Replacement of U.S. registration by</td>
<td>7.28, 7.29</td>
</tr>
<tr>
<td>Trademark Rules of Practice applicable to</td>
<td></td>
</tr>
<tr>
<td>Transformation to U.S. application</td>
<td>7.25</td>
</tr>
<tr>
<td>Extension of time for discovery and testimony</td>
<td>2.120(a), 2.121(a), (c), (d)</td>
</tr>
<tr>
<td>Extension of time for filing opposition</td>
<td>2.102</td>
</tr>
<tr>
<td>Extension of time for filing statement of use</td>
<td>2.89</td>
</tr>
<tr>
<td>Fee for filing request for</td>
<td>2.6</td>
</tr>
<tr>
<td>Good cause showing, when necessary</td>
<td>2.89(b)(4), (d)</td>
</tr>
<tr>
<td>Request filed with statement of use</td>
<td>2.89(e)</td>
</tr>
</tbody>
</table>

**F**

<table>
<thead>
<tr>
<th>Topic</th>
<th>Section(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Facsimile transmission, certificate of</td>
<td>2.197, 7.4(e)</td>
</tr>
<tr>
<td>Facsimile transmission of certain correspondence to U.S. Patent and</td>
<td></td>
</tr>
<tr>
<td>Trademark Office</td>
<td>2.195(a)(3), (c), (d), 7.4(d)</td>
</tr>
<tr>
<td>Facsimiles as specimens</td>
<td>2.56(c)</td>
</tr>
</tbody>
</table>
Failure by appellant to file brief on ex parte appeal ...................................................... 2.142(b)(1)
Failure by Plaintiff to file brief at final hearing in inter partes proceeding...................................................... 2.128(a)(3)
Failure to answer petition for cancellation ................................................................................. 2.114(a)
Failure to comply with order relating to confidential testimony or exhibits ...................................................... 2.125(e)
Failure to file affidavit of use under sec. 8 or renewal application for registration in inter partes proceeding ............................................................................. 2.134(b)
Failure to file brief on motion ............................................................................. 2.127(a)
Failure to offer evidence other than U.S. Patent and Trademark Office records ............................................................................. 2.132(b)
Failure to respond to official action ............................................................................. 2.65
Failure to take testimony or offer other evidence ............................................................................. 2.132(a)
Failure to timely file a statement of use ............................................................................. 2.88(b)
Fax transmission, certificate of ...................................................... 2.197
Fax transmission of certain correspondence to U.S. Patent and Trademark Office ...................................................... 2.195(a)(3), (c), (d)
Federal Rules of Civil Procedure ..................................................................................... 2.116(a), 2.122(a)
Federal Rules of Evidence ..................................................................................... 2.122(a)
For filing an application ............................................................................. 2.6(a)(1)
For filing substitute specimens ............................................................................. 2.59
For filing statement of use after notice of allowance ............................................................................. 2.88
For filing requests for extensions of time for filing statement of use ............................................................................. 2.89
For filing of papers and fees by Express Mail ............................................................................. 2.198
For filing of papers electronically ............................................................................. 2.126(b)
For filing of confidential papers ............................................................................. 2.125(e), 2.126(c)
For filing an amendment to allege use ............................................................................. 2.76
For filing an opposition ............................................................................. 2.101
For filing an extension of protection under 66(a) ............................................................................. 2.34(a)(5)
Fees, payment of ............................................................................. 2.6, 2.206-2.207, 7.6, 7.7
Fees, insufficient amount submitted: ............................................................................. 2.21(a)(5)
Fees, insufficient amount submitted: ............................................................................. 2.111(c)
Fees, insufficient amount submitted: ............................................................................. 2.141(b)
Fees, insufficient amount submitted: ............................................................................. 2.101(d)
Filing date of application ............................................................................. 2.75(b)
Filing for renewal application ............................................................................. 2.138
Filing of confidential papers ............................................................................. 2.123(e), 2.126(c)
Filing of papers electronically ............................................................................. 2.126(b)
Filing of papers and fees by Express Mail ............................................................................. 2.198
Filing for opposition ............................................................................. 2.101
Filing statement of use after notice of allowance ............................................................................. 2.88
Filing substitute specimens ............................................................................. 2.59
Final refusal of application ............................................................................. 2.64
Final refusal of application ............................................................................. 2.64(c)
Final hearing, briefs at (inter partes proceeding) ............................................................................. 2.128
Foreign applicant, registrant, or party, designation of domestic representative ............................................................................. 2.24, 2.119(d), 3.61
Foreign application, priority claim based on ............................................................................. 2.34(a)(4), 7.27
Foreign registration:
Application based on, under sec. 44 ............................................................................. 2.34(a)(3), 2.47(b)
Copy of ............................................................................. 2.34(a)(3)(ii)
## Index II

<table>
<thead>
<tr>
<th>Necessary before publication</th>
<th>2.34(a)(3)(ii)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Form of amendment to application</td>
<td>2.74</td>
</tr>
<tr>
<td>Form of submissions to TTAB</td>
<td>2.128</td>
</tr>
</tbody>
</table>

### G

| General information and correspondence | 2.190-2.198, 7.4 |
| Goods and/or services identification of: | |
| Additions not permitted | 2.71(a) |
| Amendment of | 2.71(a) |
| Amendment of, filed with statement of use | 2.88(i) |
| In affidavit or declaration filed under sec. 8 | 2.161(e) |
| In affidavit or declaration filed under sec. 71 | 7.37(e) |
| In international application | 7.11(a)(7) |
| In written application | 2.32(a)(6) |
| Required in amendment to allege use | 2.32(a)(6), 2.34(a)(1)(v), 2.86 |
| Required in request for extension of time to file statement of use | 2.76(b)(1), (c) |
| Required in statement of use | 2.88(b)(1), (c), (i) |
| Good cause, showing necessary for extension of time to file statement of use | 2.89(b)(4), (d) |

### H

| Hearing, oral: | |
| At final hearing in inter partes proceeding | 2.129 |
| On appeal to Trademark Trial and Appeal Board | 2.142(e) |
| On motion in inter partes proceedings | 2.127(a) |
| On petition to Director | 2.146(f) |

### I

| Identification of goods and/or services. (See Goods and/or services identification of): | |
| Identification of pending application or registered mark in correspondence | 2.194 |
| Identification of prior registrations | 2.36 |
| Incontestability of right to use mark: | |
| Affidavit under sec. 15 | 2.167 |
| Freedom from interference proceeding | 2.91(b) |
| Informalities, amendment to correct | 2.71 |
| Intent-to-use applications under sec. 1(b): | |
| Abandonment for failure to timely file a statement of use | 2.65(c) |
| Amendment to allege use | 2.76 |
| Amendments between notice of allowance and statement of use | 2.77 |
| Basis for filing application | 2.34 |
| Bona fide intention to use mark in commerce necessary | 2.34(a)(2)(i) |
| Certification mark | 2.45 |
| Collective mark | 2.44 |
| Dividing | 2.87 |
| Drawing required | 2.32(c), 2.51 |
| Extensions of time for filing statement of use | 2.89 |
| Filing-date requirements | 2.21 |
| Notice of allowance | 2.81(b) |
| Requirements for written application | 2.32, 2.34(a)(2) |
| Revival of application abandoned for failure to timely file a statement of use | 2.66 |
Specimens filed with amendment to allege use or statement of use ................................................................. 2.56, 2.76, 2.88
Statement of use............................................................................................................................................. 2.88
When eligible for amendment to Supplemental Register .............................................................................. 2.47(d), 2.75(b)
Interlocutory motions, inter partes proceeding ................................................................................................. 2.127
Interference ..................................................................................................................................................... 2.91-2.93, 2.96, 2.98
Adding Party to interference .......................................................................................................................... 2.97(c)(3)
Burden of proof............................................................................................................................................... 2.96
Conflicting marks, co-pending applications for ............................................................................................ 2.83
Declaration of interference .................................................................................................................................. 2.91
Declared only on petition to Director .............................................................................................................. 2.91(a)
Institution of interference ................................................................................................................................. 2.93
Issue ................................................................................................................................................................. 2.96
Marks must otherwise be deemed registrable .............................................................................................. 2.92
Notice of interference ...................................................................................................................................... 2.93
Preliminary to interference ............................................................................................................................ 2.92
Registrations and applications on the Supplemental Register, registrations under the Act of 1920, and registrations of incontestable marks not subject to interference ............................................................................................................................. 2.91(b)
Request to divide application during interference .......................................................................................... 2.87(c)(1)
International application .................................................................................................................................. 7.11-7.14
Address for mailing paper ................................................................................................................................. 2.190(a), 7.4(b)
Cannot be filed by fax ....................................................................................................................................... 2.195(d)(5), 7.4(d)
Certificate of mailing or transmission does not apply .................................................................................... 2.197(a)(2), 7.4(e)
Certification of ...................................................................................................................................................... 7.13
Claim of color in ............................................................................................................................................... 7.12
Correspondence, receipt of ............................................................................................................................... 7.4
Definitions of terms............................................................................................................................................ 7.1
Fees for ................................................................................................................................................................. 7.6, 7.7
Hand delivery of ............................................................................................................................................... 7.4(c)
Irregularities in ...................................................................................................................................................... 7.4(b)(2), 7.14
Must be filed through TEAS or on International Bureau’s form .................................................................. 7.11(a)
Must be in English ............................................................................................................................................. 7.3
Requirements for ............................................................................................................................................ 7.11
Subsequent designation ....................................................................................................................................... 7.21
International Bureau...7.1, 7.7, 7.11, 7.13, 7.14, 7.21, 7.22, 7.23, 7.24, 7.26, 7.27, 7.30, 7.31, 7.36, 7.41, 7.42
Payment of fees to ............................................................................................................................................... 7.7
International register, recording changes in. See also International registration .............................................. 7.22-7.24
International registration ................................................................................................................................... 7.1-7.41
Application for. See International application.
Assignment of ....................................................................................................................................................... 7.23
Cancellation of ..................................................................................................................................................... 7.30, 7.31
Correspondence must be in English ................................................................................................................. 7.3
Definitions of terms............................................................................................................................................ 7.1
Extension of protection to United States. See Extension of protection of international registration to United States
Priority claim ....................................................................................................................................................... 7.27
Recording changes in ......................................................................................................................................... 7.22-7.24
Renewal of ......................................................................................................................................................... 7.41
Replacement ...................................................................................................................................................... 7.28, 7.29
Restriction on holder’s right to dispose of ......................................................................................................... 7.24
Subsequent designation ....................................................................................................................................... 7.21
Inter partes procedure.......................................................................................................................................... 2.116-2.136
Inter partes proceedings .................................................................................................................................. 2.91-2.136
Cancellation ......................................................................................................................................................... 2.111-2.115
### Index II

Concurrent use ................................................................................................. 2.99
Failure of plaintiff to file brief at final hearing ........................................... 2.128(a)(3)
Failure of plaintiff to take testimony ............................................................ 2.132
Interference ................................................................................2.91-2.93, 2.96, 2.98
Opposition ..............................................................................................2.101-2.107
Procedure in ...........................................................................................2.116-2.136
Interrogatories (discovery) ................................................................................ 2.120
Motion for an order to compel answer .................................................................. 2.120(d)(1), 2.120(e)
Numerical limit on .................................................................................... 2.120(d)(1)
Timing of .................................................................................................... 2.120(a)
Use of answers ............................................................................................. 2.120(j)
When to file copy of interrogatories and answers thereto with Trademark Trial and Appeal Board ......................................................... 2.120(j)(8)
Interruptions or emergencies in United States Postal Service ..................... 2.195(e)
Irregularities in application for international registration. (See International application, irregularities in)
Issue date of the notice of allowance ................................................................ 2.81(b)

**J**

Judgment by default:

| Failure by plaintiff to file brief at final hearing | 2.128(a)(3) |
| Failure to answer cancellation | 2.114(a) |
| Failure to answer notice of concurrent use proceeding | 2.99(d)(3) |
| Failure to answer opposition | 2.106(a) |

Judgment, entry of in *inter partes* proceeding subject to establishment of constructive use .................................................................................. 2.129(d)

Jurisdiction over published applications ...................................................... 2.84
Amendment after publication of mark .......................................................... 2.84(b)
Amendment during *inter partes* proceeding .............................................. 2.133
Remand to Examiner by Trademark Trial and Appeal Board ............... 2.130, 2.131

**L**

Lawyers. (See Attorneys)
Letter, separate for each distinct subject of inquiry .................................... 2.193(h)
Letters, address for mailing to U.S. Patent and Trademark Office ........ 2.190

**M**

Madrid Protocol. (See International registration, international application)
Mailing, certificate of.................................................................................... 2.197
Mailing address for correspondence with U.S. Patent and Trademark Office .................................................................................. 2.190, 7.4(b)
Marks on Supplemental Register published only upon registration .......... 2.82
Marks registered under 1965 Act. claiming benefits of 1946 Act ............... 2.153-2.156
Marks under sec. 12(c) not subject to opposition; subject to cancella-
tion ........................................................................................................ 2.156
Matters in evidence in *inter partes* cases .................................................. 2.122
Mistake in registration incurred through fault of applicant ....................... 2.175
Mistake in registration incurred through fault of U.S. Patent and Trademark Office .............................................................................. 2.174
Money, payment of ................................................................................... 2.206-2.209, 7.6, 7.7
Motions in *inter partes* proceedings:
Briefs on ................................................................................................... 2.127(a), 2.127(e)(1)
Contents ...................................................................................................... 2.127(a)
Failure to respond to motion ..................................................................... 2.127(a)
For a protective order ................................................................................. 2.120(f)
For judgment for failure to take testimony ........................................ 2.132
For summary judgment ................................................................. 2.127(e)
Interlocutory motions and requests, who may act on ...................... 2.127(c)
Request for reconsideration of decision on motion ....................... 2.127(b)
Suspension pending determination of motion potentially dispositive of proceeding ....................................................... 2.127(d)
To add application to interference .................................................. 2.98
To compel discovery ................................................................. 2.120(d)(1), 2.120(e)
To determine sufficiency of answer or objection to request for admission ................................................................. 2.120(h)
To extend discovery period .......................................................... 2.120(a)
To extend times for taking testimony upon written questions ........ 2.124(d)(2)
To extend trial periods ................................................................. 2.121(a)(c)
To suspend ................................................................................ 2.117
To take oral deposition abroad .................................................... 2.122(j), 2.129(a)(2)
To use testimony from another proceeding between parties .......... 2.122(f)
Unavailable remedies .................................................................. 2.127(f)
Multiple class applications ....................................................... 2.32(a)(6), 2.34(a)(1)(v)
Dividing of ................................................................................ 2.87
Multiple goods or services comprised in single class or multiple classes ................................................................. 2.86
Dividing of ................................................................................ 2.87

New certificate on change of ownership ........................................ 2.171
Notice by publication, undelivered Office notices ......................... 2.118
Notice of allowance .................................................................. 2.81(b)
Filing statement of use after ......................................................... 2.88
Issue date of ............................................................................. 2.81(b)
Notice of appeal to Trademark Trial and Appeal Board ............... 2.142(a)
Notice of concurrent use proceeding .............................................. 2.99(c)(d)
Notice of claim of benefits of 1946 Act ........................................ 2.153-2.156
Notice of election by appellee to proceed by civil action after appeal to U.S. Court of Appeals for the Federal Circuit ............. 2.145(c)(3)
Notice of interference .................................................................. 2.93
Notice of publication under sec. 12(c) ............................................. 2.155
Notice of reliance on discovery .................................................... 2.120(j)
Notice of reliance on printed publications and official records ..... 2.122(e)
Notice to Trademark Trial and Appeal Board of civil action .......... 2.145(c)(4)
Notice, where address of registrant in cancellation proceeding is unknown ................................................................. 2.118
Notification of acceptance of or deficiency in amendment to allege use ................................................................. 2.76(f), (g)
Notification of acceptance of or deficiency in statement of use ...... 2.88(f)(g)
Notification of filing of petition for cancellation ......................... 2.113
Notification of grant or denial of request for an extension of time to file a statement of use ..................................................... 2.89(g)
Notification of opposition .......................................................... 2.105

Oath, declaration in lieu of .......................................................... 2.20
Oaths, before whom and when made in testimonial deposition ...... 2.123(e)(5)
Official Gazette contents:
Claim of benefits under sec. 12(c) for marks registered under 1905 Act ................................................................. 2.154
First filed of applications for conflicting marks ......................... 2.83
Index II

Marks on Principal Register published for opposition ........................................ 2.80
Marks on Supplemental Register published when registered ...................... 2.82
Official records, reliance on in inter partes proceeding .................................. 2.122(e)
Omission of matter from response to Examiner’s action ............................. 2.65(b)
Opposition to registration of mark on Principal Register: .......................... 2.101-2.107
Amendment of opposition ........................................................................ 2.107
Answer ........................................................................................................ 2.106
Commencement of opposition ................................................................. 2.101(a)
Consolidated oppositions .......................................................................... 2.104(b)
Contents of opposition ............................................................................... 2.104
Corresponds to complaint in a court proceeding ....................................... 2.116(c)
Discovery ................................................................................................... 2.120
Documents not returnable ......................................................................... 2.25
Extension of time for filing an opposition ................................................... 2.102
Failure to timely answer ............................................................................. 2.106(a)
Filing an opposition .................................................................................. 2.101
Insufficient fees .......................................................................................... 2.101(d)
Notification of opposition ............................................................................ 2.105
Procedure, inter partes ............................................................................. 2.116-2.136
Request to divide application during opposition ....................................... 2.87(c)(1)
Suspension of proceedings ......................................................................... 2.117
Time for filing opposition .......................................................................... 2.101(c)
Who may file opposition ........................................................................... 2.101(b)
Withdrawal of opposition .......................................................................... 2.106(c)
Oral argument at final hearing in inter partes proceeding .......................... 2.129
Oral hearing:
Ex parte appeal .......................................................................................... 2.142(e)
Inter partes proceedings ............................................................................ 2.116(f), 2.127(a), 2.129
On petition to Director ............................................................................. 2.146(f)
Oral promise, stipulation, or understanding .............................................. 2.191
Ownership, prior registrations, identification in application .................... 2.36

P

Payment of money ......................................................................................... 2.6, 2.206-2.209, 7.6, 7.7
Pending application index ........................................................................... 2.27
Period for response to Office actions ........................................................ 2.62
Personal appearance unnecessary ............................................................. 2.191
in trademark cases ..................................................................................... 11.14
Petition for cancellation ............................................................................. 2.111-2.115
Amendment of petition ............................................................................. 2.115
Answer ....................................................................................................... 2.114
Commencement of cancellation proceeding ............................................. 2.111(a)
Contents of petition .................................................................................. 2.112
Corresponds to complaint in a court proceeding ....................................... 2.116(c)
Discovery ................................................................................................... 2.120
Failure to timely answer ............................................................................. 2.114(a)
Filing petition for cancellation .................................................................. 2.111
Insufficient fees .......................................................................................... 2.111(c)
Notification of cancellation proceeding ..................................................... 2.113
Procedure, inter partes ............................................................................. 2.116-2.136
Suspension of proceedings ......................................................................... 2.117
Time for filing petition ............................................................................. 2.111(b)
Who may file petition ............................................................................... 2.111(b)
Withdrawal of petition ............................................................................. 2.114(c)
Petition for rehearing, reconsideration or modification of decision:
Decision in inter partes proceeding ......................................................... 2.129(c)
Decision on ex parte appeal ........................................................................................................ 2.144
Decision on interlocutory motion in inter partes proceeding ..................................................... 2.127(b)
Petition to revive abandoned application ..................................................................................... 2.66
Petition to the Director .................................................................................................................. 2.146
Any case not specifically defined and provided for by rules .................................................... 2.146(a)(4)
Contents of petition ..................................................................................................................... 2.146(c)
Delegation of authority to act on petitions .................................................................................. 2.146(h)
Diligence, requirement for .......................................................................................................... 2.146(i)
Extraordinary situation requiring suspension or waiver of requirement of rules ....................... 2.146(a)(5)
Fee ........................................................................................................................................ 2.6, 2.146(c)
From denial of request for extension of time to file statement of use ....................................... 2.89(g)
From grant or denial of request for extension of time to oppose .............................................. 2.146(e)(1)
From interlocutory order of Trademark Trial and Appeal Board .............................................. 2.146(e)(2)
Invoke supervisory authority ........................................................................................................ 2.198
Oral hearing on petition ............................................................................................................. 2.146(f)
Reconsideration of decision on petition ...................................................................................... 2.146(g)
Reconsideration of refusal to accept sec. 8 affidavit or declaration ............................................. 2.165(b)
Refusal of renewal of registration ................................................................................................. 2.186
Relief from repeated formal requirement of Examiner ............................................................... 2.63(b), 2.146(a)(1)
Review of adverse action on correction, disclaimer, surrender, etc. of registration ..................... 2.176
Stays time in appeal, inter partes proceeding, or reply to Office action only when stay is specifically requested and granted ........................................................................................................ 2.146(g)
Subject matter for petitions ...................................................................................................... 2.146(a), (b)
Time to file petition ..................................................................................................................... 2.146(d), (e)
Pleading, amendment of ............................................................................................................. 2.107, 2.115
Position of parties in inter partes proceedings ........................................................................... 2.96, 2.99(e), 2.116(b)
Postal Service, United States, interruptions or emergencies in .................................................. 2.195(e)
Post notice of allowance ............................................................................................................... 2.86, 2.89
Post publication ........................................................................................................................... 2.61
Practice before the U.S. Patent and Trademark Office, individuals entitled to ...................... 11.14
Predecessor in title or related company, use by ........................................................................ 2.38
Pre-trial conference, inter partes proceeding .............................................................................. 2.120(i)(2)
Principal Register ........................................................................................................................ 2.46
Amendment to or from Supplemental Register ........................................................................... 2.75
Printed publications, as evidence in inter partes proceedings ..................................................... 2.122(e)
Prior acts, status of prior registrations ....................................................................................... 2.158
Priority claim based on foreign application ................................................................................. 2.34(a)(4), 7.27
Priority registrations, ownership, identification in application .................................................. 2.36
Procedure in inter partes cases ................................................................................................... 2.116-2.136
Production of documents and things, request for (discovery) ................................................... 2.120
Place of production ..................................................................................................................... 2.120(d)(2)
Motion for an order to compel production .................................................................................. 2.120(e)
Timing of ................................................................................................................................... 2.120(a)
Professional conduct of attorneys ............................................................................................... 11.101-11.901
Proof of distinctiveness under sec. 2(f) ........................................................................................ 2.41
Proof of service ............................................................................................................................ 2.119(a)
Protective order: Access to materials filed under ........................................................................ 2.27(e)
Form of filing materials under ................................................................................................... 2.126(c)
Relating to discovery ................................................................................................................... 2.120(f)
Relating to testimony ................................................................................................................... 2.125(e)
Sanctions for violation ................................................................................................................. 2.120(g), 2.126(e)
Index II

Publication and post publication ................................................................. 2.80-2.84
Publication in Official Gazette:
  Jurisdiction over published applications .................................................. 2.84
  Of claim of benefits under sec. 12(c) for marks registered under 1905 Act ................................................................. 2.154
  Of first filed of applications for conflicting marks ...................................... 2.83
  Of mark on Principal Register for opposition after approval ...................... 2.80
  Of marks on Supplemental Register when registered ................................ 2.82
Publication, notice by, undelivered Office notices to registrant in inter partes proceeding ................................................................. 2.118

R

Rebuttal testimony .................................................................................... 2.121(b), 2.121(c)
Receipt of papers and fees .......................................................................... 2.195-2.198, 7.4
Recognition of attorneys or other authorized person .................................. 2.17, 11.14
Reconsideration:
  Of affidavit or declaration of use under sec. 8 ........................................ 2.163(b), 2.165(a)
  Of decision after final hearing in inter partes proceeding ......................... 2.129(c)
  Of decision on ex parte appeal ................................................................. 2.144
  Of decision on petition to Director ......................................................... 2.146(j)
  Of decision on petition to revive ............................................................. 2.66(f)
  Of final action ......................................................................................... 2.63
  Of order or decision on interlocutory motion .......................................... 2.127(b)
Records of documents in Assignment Services Division of U.S. Patent and Trademark Office ................................................................. 3.11-3.56
Records and files of the U.S. Patent and Trademark Office ....................... 2.27, 2.200
Reexamination of application .................................................................... 2.63
Reexamination of application after remand by the Trademark Trial and
  Appeal Board ......................................................................................... 2.130, 2.131, 2.142
Refund of money paid to U.S. Patent and Trademark Office ...................... 2.209
Refusal of affidavit or declaration of use under sec. 8 ................................ 2.163
Refusal of affidavit or declaration of use under sec. 71 .............................. 2.39
Refusal of registration ............................................................................... 2.61
Refusal of renewal .................................................................................... 2.184
Refusal of request for extension of time to file a statement of use ............. 2.89(g)
Registered extension of protection. (See Extension of protection of international registration to United States) Registrability of marks in plurality of classes (combined applications) .................. 2.86
Registrant claiming benefits of 1946 Act .................................................... 2.153-2.156
Registration as evidence in inter partes proceeding:
  File of registration which is the subject of the proceeding ....................... 2.122(b)(1)
  Registration owned by any party to proceeding .................................... 2.122(d)(2)
  Registration pleaded by opposer or petitioner ..................................... 2.122(d)(1)
  Registration records open to public inspection .................................... 2.27(d)
  Registrations, printed copies available ................................................ 2.201
  Related company or predecessor, use by ............................................. 2.38
Remand to Examiner:
  After decision in inter partes case ........................................................ 2.131
  During appeal from refusal of registration ............................................. 2.134(f)
  During inter partes case ......................................................................... 2.130
  Renewal of registration ........................................................................ 2.181-2.186, 7.41
Application for renewal, requirements ..................................................... 2.183
Failure to renew registration involved in inter partes proceeding ............ 2.134(b)
Of international registration .................................................................... 7.41
Period in which to file ............................................................................ 2.162
Refusal of renewal .................................................................................. 2.184
Review of Examiner's refusal by Director ................................................................. 2.186
‘Term of original registrations and renewals ........................................................... 2.181
Replacement ............................................................................................................ 7.26, 7.29
Reply briefs in inter partes proceedings ................................................................... 2.127(a)
Representation by attorney ...................................................................................... 2.11
Representation of others before the U.S. Patent and Trademark Office ................. 2.11, 11.14
Representative, domestic or U.S. (See Domestic representative) ............................. 2.17, 11.14
Representation, recognition for .............................................................. 2.11, 11.14
Request for admissions (discovery). (See Admissions, request for) ......................... 2.135-2.136
Request for Extension of protection of international registration to United States. (See Extension of protection of international registration to United States) ........................................................................................................................................ 2.115
Request for extension of time for discovery and testimony. (See Extension of time for discovery and testimony) ............................................................. 2.127(a)
Request for extension of time to file opposition. (See Extension of time for filing opposition) ........................................................................................................... 2.127(a)
Request for extension of time to file statement of use. (See Extension of time to file statement of use) ................................................................. 2.32(a)(1)
Request for information, exhibits, affidavits, declarations, and additional specimens by Examiner ........................................................................................................ 2.61(b)
Request for production (discovery). (See Production of documents and things, request for) ........................................................................................................... 2.127(a)
Request for reconsideration. See Reconsideration. ................................................. 2.201
Request for registration ......................................................................................... 2.32(a)(1)
Request to divide an application ............................................................................. 2.87
Request to divide a registration ............................................................................... 2.171(b)
Requirements for receiving a filing date .............................................................. 2.21, 7.26
Requirements for written application .................................................................. 2.32-2.34
Registration of marks registered under prior acts ............................................... 2.158
Response to official action .................................................................................... 2.122(a)
Revocation of power of attorney ........................................................................... 2.19
Reactions to the Director ....................................................................................... 2.122(a)
Rules of evidence in inter partes cases .................................................................. 2.122(a)
Rules of practice in trademark cases: Suspension, petition to Director .................... 2.146(a)(5), 2.148
Waiver, petition to Director .................................................................................... 2.146(a)(5), 2.148
Sanction for failure to comply with discovery order................................................ 2.120(g)
Sanction for failure to comply with protective order relating to testimony ................. 2.125(e)
Saturday, Sunday, or Federal holiday, time for taking action expiring ......................... 2.195, 2.196
Schedule of classes of goods and services ............................................................... 2.85, 6.1-6.4
Schedule of time for discovery and trial periods .................................................... 2.120(a), 2.121
Index II

Sec. 2(f), proof of distinctiveness ................................................. 2.41
Sec. 8 affidavit or declaration of use ................................................. 2.160-2.166
Sec. 44, application based on:
  Amendment to change application to different register ...................... 2.75(a)
  Amendment to description or drawing in mark .................................. 2.79(c)
  Basis for filing ............................................................................... 2.34
  Certification mark .......................................................................... 2.45(b)
  Collective mark ........................................................................... 2.44(b)
  Drawing required .......................................................................... 2.33(c)
  Filing-date requirements .................................................................. 2.21
Goods or services identified may not exceed scope of those in foreign
  application or registration ............................................................... 2.32(a)(6)
  Priority claim ................................................................................. 2.34(a)(4)
  Requirements for drawings ................................................................ 2.52-2.54
  Requirements for written application ............................................... 2.32-2.34
Sec. 71 affidavit or declaration of use .............................................. 7.36-7.40
Sec. 44, application based on:
  Sec. 2(f), proof of distinctiveness ................................................. 2.41
  Sec. 8 affidavit or declaration of use ............................................... 2.160-2.166
  Sec. 2(f), proof of distinctiveness ................................................. 2.41
Specimens ....................................................................................... 2.56, 2.59
  Single certificate for one mark registered in a plurality of classes .......... 2.86(c)
  Service charge for filing renewal application during grace period ....... 2.6, 2.185
  Specimens or facsimiles ................................................................. 2.56(c)
  Service of copies of testimony ...................................................... 2.125
  Service and signing of papers ...................................................... 2.119
  Signature and certificate of attorney ............................................... 11.18
Specimens or facsimiles .................................................................. 2.56(c)
  Signature of electronically-filed document ...................................... 2.193(c)
  Signature of electronically-filed document ...................................... 2.193(c)
  Single certificate for one mark registered in a plurality of classes .......... 2.86(c)
  Specimens ....................................................................................... 2.56, 2.59
  Certification mark .......................................................................... 2.45(b)
  Collective mark ........................................................................... 2.44(b)
  Facsimiles in lieu of specimens ..................................................... 2.56(c)
  Not evidence on behalf of applicant or registrant in inter partes pro-
    ceedings ....................................................................................... 2.122(b)(2)
  Requirement of filing with affidavit or declaration of use under sec.
    71 .................................................................................................. 7.37(g)
  Requirement of filing with amendment to allege use ......................... 2.76(b)(2), (e)(2)
  Requirement of filing with statement of use ..................................... 2.88(b)(2), (e)(2)
  Support of amendment to application ............................................. 2.71, 2.72
  Support of amendment to registration ............................................ 2.178
  Statement of use ............................................................................ 2.88
  Amendments to description or drawing of mark after filing ................ 2.72(b)(1)
  Amendments to dates of use after filing ........................................ 2.71(c)(2)
  Extensions of time to file .................................................................. 2.89
  Fee for filing ................................................................................... 2.6
  May not be withdrawn ..................................................................... 2.88(g)
  Minimum requirements for filing ................................................... 2.88(e)
  Requirements for ........................................................................... 2.88(b)
  Time for filing ................................................................................. 2.88(a)
Sec. 71 affidavit or declaration of use .............................................. 7.36-7.40
  Status of application after ex parte appeal ...................................... 2.142(g)
  Status of application after inter partes proceedings ......................... 2.136
  Stipulation to extend discovery and/or trial periods ......................... 2.120(a), 2.121
  Subsequent designation ..................................................................... 7.21
  Address for mailing paper .............................................................. 2.190(a), 7.4(b)
  Cannot be filed by fax ..................................................................... 2.195(d)(5), 7.4(d)
  Certificate of mailing or transmission does not apply ....................... 2.197(a)(2), 7.4(e)
  Fees for ........................................................................................ 7.7, 7.21(b)(8)
Suspension of later filed conflicting application ...................................................2.83
Suspension from practice before U.S. Patent and Trademark Office ..............11.11; 11.25
Suspension of action in application by U.S. Patent and Trademark Office ..........2.117
Surrender of certificate of registration .............................................................2.172
During inter partes proceeding .............................................................................2.134
Suspension of action in application by U.S. Patent and Trademark Office ..........2.67
Suspension from practice before U.S. Patent and Trademark Office ..............11.11; 11.25
Suspension of later filed conflicting application ...................................................2.83
Suspension of inter partes proceedings by Trademark Trial and Appeal Board:  
For good cause upon motion or stipulation ..................................................................2.117(c)
Pending disposition of motion potentially dispositive of case .........................2.127(d)
Pending disposition of motion to compel .............................................................2.120(e)(2)
Pending disposition of motion to test sufficiency of response to request for admission .................................................................2.120(h)(2)
Pending termination of civil action or other Board proceeding .........................2.117(a)
Suspension of rules, Director .............................................................................2.146(a)(5), 2.148

T

TEAS .................................................. 2.2(f), 2.6(a)(1)(ii), 2.52(c), 2.56(d)(4), 2.161(g)(3), 2.193(a)(2)
Application filing fee ..................................................................................2.6(a)(1)
Definition of .................................................................................................2.2(f)
Drawing ........................................................................................................2.52(c), 2.53
Signature ......................................................................................................2.193
Specimen .....................................................................................................2.56(d)(4), 2.161(g)(3)
TEAS Plus ....................................................................................................2.6(a)(1)(iii), 2.22, 2.23
Term of original registrations and renewals ......................................................2.181

Testimony in inter partes cases:
Assignment and resetting of times ....................................................................2.121
By oral examination ......................................................................................2.123
By written questions ......................................................................................2.124
Failure to take ..............................................................................................2.132
Filing and service of testimony ......................................................................2.123(f), 2.124(f), 2.125
Arrangement, indexing, and form of transcript ..............................................2.123(g); 2.125 (b), (d)
Corrections to ...............................................................................................2.124(f), 2.125(b)
Deposition must be filed ................................................................................2.123(h)
Filing in U.S. Patent and Trademark Office ....................................................2.123(f), 2.124(f), 2.125(c)
Inspection of deposition ................................................................................2.123(i)
Service on adverse party ................................................................................2.124(f), 2.125(a)
From another proceeding ..............................................................................2.122(f)
In foreign countries .......................................................................................2.123(a)(2)
Objections to .................................................................................................2.123 (e), (j), (k); 2.124(d), (g)
Stipulated testimony ......................................................................................2.123(b)
Time:  
For amendment ..............................................................................................2.62
Index II

For ex parte appeal ................................................................. 2.142(a)
For filing affidavit or declaration to avoid cancellation ............... 2.160(a), 7.36(b)
For filing amendment to allege use ........................................... 2.76(a)
For filing appeal to court or civil action ...................................... 2.145(d)
For filing briefs in inter partes proceedings .................................. 2.127
For filing extension of time to oppose ........................................ 2.102
For filing opposition ................................................................ 2.101(c)
For filing petition for cancellation .............................................. 2.111(b), (c)(3)
For filing request for extension of time to file statement of use .......... 2.89
For filing request to divide application ........................................ 2.67(c)
For filing statement of use ........................................................ 2.88(a)
For response to U.S. Patent and Trademark Office action ................. 2.62
For taking discovery ................................................................ 2.120(a)
For taking testimony .................................................................. 2.121
Transformation ........................................................................ 2.195(d)(5), 2.197(a)(2)(ii), 7.31
Translation of assignment .......................................................... 3.26
Transmission, certificate of.......................................................... 2.197

U
Undelivered Office notices, to registrant............................................. 2.118
Unprofessional conduct, attorneys .............................................. 11.101-11.901
Unprovided for and extraordinary circumstances, petition to Direc-
tor ......................................................................................... 2.146(a)(4), (5)
U.S. Court of Appeals for the Federal Circuit, appeal to .................. 2.145
Use:
  Allegation of use in commerce ................................................. 2.34, 2.76, 2.88
  Amendment to allege use in commerce ........................................ 2.34
  Bona fide intention to use in commerce ....................................... 2.76, 2.89
  By predecessor or by related companies ..................................... 2.35
  Dates of first use and first use in commerce ................................. 2.34(a)(1)(i), (ii) and (iii)
  Statement of use ........................................................................ 2.88
  Use of discovery ......................................................................... 2.120(j)

V
Verification:
  Declaration in lieu of oath or verification ..................................... 2.20
  In support of amendments to descriptions or drawings of the mark .............................................................. 2.72
  In support of amendments to dates of use .................................. 2.71(c)
  In support of request for extension of time to file statement of use .............................................................. 2.89
  Of application ............................................................................ 2.33
  Of electronically-filed document .................................................. 2.193
  Required with amendment to allege use ..................................... 2.76(b)(1), (e)(3)
  Required with statement of use .................................................. 2.88(b)(1), (e)(3)
  Required with substitute specimens ............................................ 2.59

W
Waiver of rule, petition to Director ............................................... 2.146(a)(5), 2.148
Willful false statements.declaration .............................................. 2.20
Withdrawal from employment, practitioners ................................... 2.19, 11.116
Withdrawal of amendment to allege use ....................................... 2.18, 11.116
Withdrawal of application ............................................................ 2.68
Involved in inter partes proceeding .............................................. 2.135
Withdrawal of opposition with or without consent ......................... 2.106(c)

361
Withdrawal of cancellation with or without consent ............................................ 2.114(c)
Withdrawal of registration by voluntary surrender by registrant:
  Involved in cancellation proceeding ............................................................... 2.134
  Requirements for ............................................................................................ 2.172
Withdrawal of statement of use prohibited ............................................................ 2.88(g)
Witnesses:
  Discovery deposition ................................................................. 2.120(b), (c)
  Examination by oral deposition (Testimony) ................................................. 2.123
  Examination by written questions ................................................................. 2.124
  Foreign .......................................................................................... 2.120(c), 2.123(a)(2)
Written application ............................................................................................ 2.32-2.47