limitation on the foregoing, it shall in-
clude the making, execution, or deliv-
er of any assignment, power, convey-
ance, check, declaration, deed, deed of
trust, power of attorney, power of ap-
pointment, bill of sale, mortgage, re-
cipt, agreement, contract, certificate,
gift, sale, affidavit, or statement; the
making of any payment; the setting off
of any obligation or credit; the ap-
pointment of any agent, trustee, or fi-
duciary; the creation or transfer of any
lien; the issuance, docketing, filing, or
levy of or under any judgment, decree,
attachment, injunction, execution, or
other judicial or administrative proc-
cess or order, or the service of any gar-
nishment; the acquisition of any inter-
est of any nature whatsoever by reason
of a judgment or decree of any foreign
country; the fulfillment of any condi-
tion; the exercise of any power of ap-
pointment, power of attorney, or other
power; or the acquisition, disposition,
transportation, importation, exporta-
tion, or withdrawal of any security.

§ 537.318 United States.
The term United States means the
United States, its territories and pos-
sessions, and all areas under the juris-
diction or authority thereof.

§ 537.319 U.S. depository institution.
The term U.S. depository institution
means any entity (including its foreign
branches) organized under the laws of
the United States or any jurisdiction
within the United States, or any agen-
cy, office, or branch located in the
United States of a foreign entity, that
is engaged primarily in the business of
banking (for example, banks, savings
banks, savings associations, credit
unions, trust companies, and United
States bank holding companies) and is
subject to regulation by federal or
state banking authorities.

§ 537.320 U.S. financial institution.
The term U.S. financial institution
means any U.S. entity (including its
foreign branches) that is engaged in
the business of accepting deposits,
making, granting, transferring, hold-
ing, or brokering a loan or other exten-
sion of credit, or purchasing or selling
foreign exchange, securities, com-
modity futures or options, or procuring

§ 537.321 United States person; U.S.
person.
The term United States person or U.S.
person means any United States citi-
zen, permanent resident alien, entity
organized under the laws of the United
States or any jurisdiction within the
United States (including foreign
branches), or any person in the United
States.

§ 537.322 U.S. registered broker or
dealer in securities.
The term U.S. registered broker or
dealer in securities means any U.S. citi-
zen, permanent resident alien, or enti-
ty organized under the laws of the
United States or of any jurisdiction
within the United States (including its
foreign branches), or any agency, of-
ifice, or branch of a foreign entity
located in the United States, that:
(a) Is a “broker” or “dealer” in secu-
rities within the meanings set forth in
the Securities Exchange Act of 1934;
(b) Holds or clears customer ac-
counts; and
(c) Is registered with the Securities
and Exchange Commission under the

§ 537.323 U.S. registered money trans-
mittor.
The term U.S. registered money trans-
mitter means any U.S. citizen, perma-
nent resident alien, or entity organized
under the laws of the United States or
of any jurisdiction within the United
States (including its foreign branches),
or any agency, office, or branch of a