§ 543.13 What are the minimum internal control standards for complimentary services or items?

(a) Supervision. Supervision must be provided as needed for approval of complimentary services by an agent(s) with authority equal to or greater than those being supervised.

(b) Complimentary services or items. Controls must be established and procedures implemented for complimentary services or items that address the following:

1. Agents authorized to approve the issuance of complimentary services or items, including levels of authorization;
2. Limits and conditions on the approval and issuance of complimentary services or items;
3. Making and documenting changes to conditions or limits on the approval and issuance of complimentary services or items;
4. Documenting and recording the authorization, issuance, and redemption of complimentary services or items, including cash and non-cash gifts;

(c) Player tracking systems and gaming promotions.

1. Changes to the player tracking systems, promotion and external bonusing system parameters, which control features such as the awarding of bonuses, the issuance of cashable credits, non-cashable credits, coupons and vouchers, must be performed under the authority of supervisory agents, independent of the department initiating the change. Alternatively, the changes may be performed by supervisory agents of the department initiating the change if sufficient documentation is generated and the propriety of the changes are randomly verified by supervisory agents independent of the department initiating the change on a monthly basis.

2. All other changes to the player tracking system must be appropriately documented.

(d) Variances. The operation must establish, as approved by the TGRA, the threshold level at which a variance must be reviewed to determine the cause. Any such review must be documented.

§ 543.14 What are the minimum internal control standards for patron deposit accounts and cashless systems?

(a) Supervision. Supervision must be provided as needed for patron deposit accounts and cashless systems by an agent(s) with authority equal to or greater than those being supervised.

(b) Patron deposit accounts and cashless systems. Controls must be established and procedures implemented for patron deposit accounts and cashless systems.

1. Smart cards cannot maintain the only source of account data.
2. Establishment of patron deposit accounts. The following standards apply when a patron establishes an account.

   (i) The patron must appear at the gaming operation in person, at a designated area of accountability, and