Food and Drug Administration, HHS

either another transporter or a nontransporter.

Transporter’s immediate subsequent recipient means a person to whom a transporter delivered food. This recipient can be either another transporter or a nontransporter.

You means a person subject to this subpart under §1.326.

§ 1.329 Do other statutory provisions and regulations apply?

(a) In addition to the regulations in this subpart, you must comply with all other applicable statutory provisions and regulations related to the establishment and maintenance of records for foods except as described in paragraph (b) of this section. For example, the regulations in this subpart are in addition to existing recordkeeping regulations for low acid canned foods, juice, seafood, infant formula, color additives, bottled water, animal feed, and medicated animal feed.

(b) Records established or maintained to satisfy the requirements of this subpart that meet the definition of electronic records in §11.3(b)(6) (21 CFR 11.3 (b)(6)) of this chapter are exempt from the requirements of part 11 of this chapter. Records that satisfy the requirements of this subpart but that are also required under other applicable statutory provisions or regulations remain subject to part 11 of this chapter.

§ 1.330 Can existing records satisfy the requirements of this subpart?

The regulations in this subpart do not require duplication of existing records if those records contain all of the information required by this subpart. If a covered person keeps records of all of the information as required by this subpart to comply with other Federal, State, or local regulations, or for any other reason, then those records may be used to meet these requirements. Moreover, persons do not have to keep all of the information required by this rule in one set of records. If they have records containing some of the required information, they may keep those existing records and keep, either separately or in a combined form, any new information required by this rule. There is no obligation to create an entirely new record or compilation of records containing both existing and new information, even if the records containing some of the required information were not created at the time the food was received or released.

Requirements for Nontransporters to Establish and Maintain Records to Identify the Nontransporter and Transporter Immediate Previous Sources of Food

§ 1.337 What information must nontransporters establish and maintain to identify the nontransporter and transporter immediate previous sources of food?

(a) If you are a nontransporter, you must establish and maintain the following records for all food you receive:

(1) The name of the firm, address, telephone number and, if available, the fax number and e-mail address of the nontransporter immediate previous source, whether domestic or foreign;

(2) An adequate description of the type of food received, to include brand name and specific variety (e.g., brand x cheddar cheese, not just cheese; or romaine lettuce, not just lettuce);

(3) The date you received the food;

(4) For persons who manufacture, process, or pack food, the lot or code number or other identifier of the food (to the extent this information exists);

(5) The quantity and how the food is packaged (e.g., 6 count bunches, 25 pound (lb) carton, 12 ounce (oz) bottle, 100 gallon (gal) tank); and

(6) The name of the firm, address, telephone number, and, if available, the fax number and e-mail address of the transporter immediate previous source (the transporter who transported the food to you).
§ 1.345 What information must non-transporters establish and maintain to identify the nontransporter and transporter immediate subsequent recipients of food?

(a) If you are a nontransporter, you must establish and maintain the following records for food you release:
   (1) The name of the firm, address, telephone number, and, if available, the fax number and e-mail address of the nontransporter immediate subsequent recipient, whether domestic or foreign;
   (2) An adequate description of the type of food released, to include brand name and specific variety (e.g., brand X cheddar cheese, not just cheese; or Romaine lettuce, not just lettuce);
   (3) The date you released the food;
   (4) For persons who manufacture, process, or pack food, the lot or code number or other identifier of the food (to the extent this information exists);
   (5) The quantity and how the food is packaged (e.g., 6 count bunches, 25 lb carton, 12 oz bottle, 100 gal tank);
   (6) The name of the firm, address, telephone number, and, if available, the fax number and e-mail address of the transporter immediate subsequent recipient (the transporter who transported the food from you); and
   (b) Your records must include information reasonably available to you to identify the specific source of each ingredient used to make every lot of finished product.

§ 1.352 What information must transporters establish and maintain?

If you are a transporter, you must establish and maintain the following records for each food you transport in the United States. You may fulfill this requirement by either:

(a) Establishing and maintaining the following records:
   (1) Names of the transporter’s immediate previous source and transporter’s immediate subsequent recipient;
   (2) Origin and destination points;
   (3) Date shipment received and date released;
   (4) Number of packages;
   (5) Description of freight;
   (6) Route of movement during the time you transported the food; and
   (7) Transfer point(s) through which shipment moved; or
   (b) Establishing and maintaining records containing the following information currently required by the Department of Transportation’s Federal Motor Carrier Safety Administration (of roadway interstate transporters (49 CFR 373.101 and 373.103) as of December 9, 2004:
      (1) Names of consignor and consignee;
      (2) Origin and destination points;
      (3) Date of shipment;
      (4) Number of packages;
      (5) Description of freight;
      (6) Route of movement and name of each carrier participating in the transportation; and
      (7) Transfer points through which shipment moved; or
   (c) Establishing and maintaining records containing the following information currently required by the Department of Transportation’s Surface Transportation Board of rail and water interstate transporters (49 CFR 1035.1 and 1035.2) as of December 9, 2004:
      (1) Date received;
      (2) Received from;
      (3) Consigned to;
      (4) Destination;
      (5) State of;
      (6) County of;
      (7) Route;
      (8) Delivering carrier;
      (9) Car initial;
      (10) Car no;
      (11) Trailer initials/number;
      (12) Container initials/number;
      (13) No. packages; and
      (14) Description of articles; or
   (d) Establishing and maintaining records containing the following information currently required by the Warsaw Convention of international air transporters on air waybills:
      (1) Shipper’s name and address;
      (2) Consignee’s name and address;
      (3) Customs reference/status;
      (4) Airport of departure and destination; and
      (5) First carrier; and