Animal and Plant Health Inspection Service, USDA

§ 2.36 Annual report.

(a) The reporting facility shall be that segment of the research facility, or that department, agency, or instrumentality of the United States, that uses or intends to use live animals in research, tests, experiments, or for teaching. Each reporting facility shall submit an annual report to the AC Regional Director for the State where the facility is located on or before December 1 of each calendar year. The report shall be signed and certified by the CEO or Institutional Official, and shall cover the previous Federal fiscal year.

(b) The annual report shall:

(1) Assure that professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by the research facility;

(2) Assure that each principal investigator has considered alternatives to painful procedures;

(3) Assure that the facility is adhering to the standards and regulations under the Act, and that it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the IACUC. A summary of all such exceptions must be attached to the facility’s annual report. In addition to identifying the IACUC-approved exceptions, this summary must include a brief explanation of the exceptions, as well as the species and number of animals affected;

(4) Provide it the facility is conducting any research projects that involve the use of vertebrate animals, the report shall include a statement that the procedures used in the research project are in accordance with applicable regulations, policies, and guidelines issued by the U.S. Department of Health and Human Services, the National Institutes of Health, the U.S. Department of Agriculture, and other relevant federal agencies.

(c) The annual report shall contain the following information:

(1) A list of all animals used in research, testing, or experimentation at the facility, including the species, number, sex, age, and source of the animals;

(2) A description of the research, testing, or experimentation conducted at the facility, including the purpose, protocol, and results of each project;

(3) A description of any injuries or deaths that occurred to animals used in research, testing, or experimentation at the facility, including the cause of the injury or death, and any steps taken to prevent similar incidents in the future;

(4) A description of any problems encountered during research, testing, or experimentation at the facility, including the steps taken to address those problems;

(5) A description of any animal welfare concerns that were raised during research, testing, or experimentation at the facility, including the steps taken to address those concerns;

(6) A description of any animal welfare assurances that were provided to the facility at the time of receipt of animals, including the species and number of animals affected;

(7) A description of any animal welfare assurances that were provided to the facility at the time of transfer of animals, including the species and number of animals affected;

(8) A description of any animal welfare assurances that were provided to the facility at the time of termination of animals, including the species and number of animals affected;

(9) A description of any animal welfare assurances that were provided to the facility at the time of disposal of animals, including the species and number of animals affected;

(10) A description of any animal welfare assurances that were provided to the facility at the time of return of animals, including the species and number of animals affected;

(11) A description of any animal welfare assurances that were provided to the facility at the time of destruction of animals, including the species and number of animals affected;

(12) A description of any animal welfare assurances that were provided to the facility at the time of replacement of animals, including the species and number of animals affected;

(13) A description of any animal welfare assurances that were provided to the facility at the time of addition of animals, including the species and number of animals affected;

(14) A description of any animal welfare assurances that were provided to the facility at the time of removal of animals, including the species and number of animals affected;

(15) A description of any animal welfare assurances that were provided to the facility at the time of acquisition of animals, including the species and number of animals affected;

(16) A description of any animal welfare assurances that were provided to the facility at the time of sale of animals, including the species and number of animals affected;

(17) A description of any animal welfare assurances that were provided to the facility at the time of transfer of animals, including the species and number of animals affected;

(18) A description of any animal welfare assurances that were provided to the facility at the time of disposal of animals, including the species and number of animals affected;

(19) A description of any animal welfare assurances that were provided to the facility at the time of return of animals, including the species and number of animals affected;

(20) A description of any animal welfare assurances that were provided to the facility at the time of destruction of animals, including the species and number of animals affected;

(21) A description of any animal welfare assurances that were provided to the facility at the time of replacement of animals, including the species and number of animals affected;

(22) A description of any animal welfare assurances that were provided to the facility at the time of addition of animals, including the species and number of animals affected;

(23) A description of any animal welfare assurances that were provided to the facility at the time of removal of animals, including the species and number of animals affected;

(24) A description of any animal welfare assurances that were provided to the facility at the time of acquisition of animals, including the species and number of animals affected;

(25) A description of any animal welfare assurances that were provided to the facility at the time of sale of animals, including the species and number of animals affected;

(26) A description of any animal welfare assurances that were provided to the facility at the time of transfer of animals, including the species and number of animals affected;

(27) A description of any animal welfare assurances that were provided to the facility at the time of disposal of animals, including the species and number of animals affected;

(28) A description of any animal welfare assurances that were provided to the facility at the time of return of animals, including the species and number of animals affected;

(29) A description of any animal welfare assurances that were provided to the facility at the time of destruction of animals, including the species and number of animals affected;

(30) A description of any animal welfare assurances that were provided to the facility at the time of replacement of animals, including the species and number of animals affected;

(31) A description of any animal welfare assurances that were provided to the facility at the time of addition of animals, including the species and number of animals affected;

(32) A description of any animal welfare assurances that were provided to the facility at the time of removal of animals, including the species and number of animals affected;

(33) A description of any animal welfare assurances that were provided to the facility at the time of acquisition of animals, including the species and number of animals affected;

(34) A description of any animal welfare assurances that were provided to the facility at the time of sale of animals, including the species and number of animals affected;

(35) A description of any animal welfare assurances that were provided to the facility at the time of transfer of animals, including the species and number of animals affected;

(36) A description of any animal welfare assurances that were provided to the facility at the time of disposal of animals, including the species and number of animals affected;

(37) A description of any animal welfare assurances that were provided to the facility at the time of return of animals, including the species and number of animals affected;

(38) A description of any animal welfare assurances that were provided to the facility at the time of destruction of animals, including the species and number of animals affected;

(39) A description of any animal welfare assurances that were provided to the facility at the time of replacement of animals, including the species and number of animals affected;

(40) A description of any animal welfare assurances that were provided to the facility at the time of addition of animals, including the species and number of animals affected;

(41) A description of any animal welfare assurances that were provided to the facility at the time of removal of animals, including the species and number of animals affected;

(42) A description of any animal welfare assurances that were provided to the facility at the time of acquisition of animals, including the species and number of animals affected;

(43) A description of any animal welfare assurances that were provided to the facility at the time of sale of animals, including the species and number of animals affected;

(44) A description of any animal welfare assurances that were provided to the facility at the time of transfer of animals, including the species and number of animals affected;

(45) A description of any animal welfare assurances that were provided to the facility at the time of disposal of animals, including the species and number of animals affected;

(46) A description of any animal welfare assurances that were provided to the facility at the time of return of animals, including the species and number of animals affected;

(47) A description of any animal welfare assurances that were provided to the facility at the time of destruction of animals, including the species and number of animals affected;

(48) A description of any animal welfare assurances that were provided to the facility at the time of replacement of animals, including the species and number of animals affected;

(49) A description of any animal welfare assurances that were provided to the facility at the time of addition of animals, including the species and number of animals affected;

(50) A description of any animal welfare assurances that were provided to the facility at the time of removal of animals, including the species and number of animals affected;
§ 2.37 Federal research facilities.

Each Federal research facility shall establish an Institutional Animal Care and Use Committee which shall have the same composition, duties, and responsibilities required of nonfederal research facilities by §2.31 with the following exceptions:

(a) The Committee shall report deficiencies to the head of the Federal agency conducting the research rather than to APHIS; and
(b) The head of the Federal agency conducting the research shall be responsible for all corrective action to be taken at the facility and for the granting of all exceptions to inspection protocol.

§ 2.38 Miscellaneous.

(a) Information as to business: furnishing of same by research facilities. Each research facility shall furnish to any APHIS official any information concerning the business of the research facility which the APHIS official may request in connection with the enforcement of the provisions of the Act, the regulations, and the standards in this subchapter. The information shall be furnished within a reasonable time and as may be specified in the request for information.

(b) Access and inspection of records and property. (1) Each research facility shall, during business hours, allow APHIS officials:
   (i) To enter its place of business;
   (ii) To examine records required to be kept by the Act and the regulations in this part;
   (iii) To make copies of the records;
   (iv) To inspect the facilities, property, and animals, as the APHIS officials consider necessary to enforce the provisions of the Act, the regulations, and the standards in this subchapter; and
   (v) To document, by the taking of photographs and other means, conditions and areas of noncompliance.

(2) The use of a room, table or other facilities necessary for the proper examination of the records and for inspection of the property or animals shall be extended to APHIS officials by the research facility.

(c) Publication of names of research facilities subject to the provisions of this part. APHIS will publish lists of research facilities registered in accordance with the provisions of this subpart in the FEDERAL REGISTER. The lists may be obtained upon request from the AC Regional Director.

(d) Inspection for missing animals. Each research facility shall allow, upon request and during business hours, police or officers of other law enforcement agencies with general law enforcement authority (not those agencies whose duties are limited to enforcement of local animal regulations)