§ 216.171  The taking of marine mammals may be authorized in an LOA for the activities and sources listed in § 216.170(c) should the amounts (e.g., hours, dips, or number of exercises) vary from those estimated in § 216.170(c), provided that the variation does not result in exceeding the amount of take indicated in § 216.172(c).


§ 216.171 Effective dates and definitions.

(a) Amended regulations are effective from February 1, 2012, through January 5, 2014.

(b) The following definitions are utilized in these regulations:

(1) Uncommon Stranding Event (USE)—A stranding event that takes place during a major training exercise and involves any one of the following:

(i) Two or more individuals of any cetacean species (not including mother/calf pairs, unless of species of concern listed in next bullet) found dead or live on shore within a two day period and occurring on same shore lines or facing shorelines of different islands.

(ii) A single individual or mother/calf pair of any of the following marine mammals of concern: Beaked whale of any species, *Kogia* sp., Risso’s dolphin, melon-headed whale, pilot whales, humpback whales, sperm whales, blue whales, fin whales, sei whales, or monk seal.

(iii) A group of 2 or more cetaceans of any species exhibiting indicators of distress.

(2) Shutdown (this definition specifically applies only to the word as used in § 216.174(a)(1)(xxvii)(A)(i), (ii), (i)(1), (2), (3), (4), and (5))—The cessation of MFAS operation or detonation of explosives within 14 nm of any live, in the water animal involved in a USE.


§ 216.172 Permissible methods of taking.

(a) Under Letters of Authorization issued pursuant to §§ 216.106 and 216.177, the Holder of the Letter of Authorization may incidentally, but not intentionally, take marine mammals within the area described in § 216.170(b), provided the activity is in compliance with all terms, conditions, and requirements of these regulations and the appropriate Letter of Authorization.

(b) The activities identified in § 216.170(c) must be conducted in a manner that minimizes, to the greatest extent practicable, any adverse impacts on marine mammals and their habitat.

(c) The incidental take of marine mammals under the activities identified in § 216.170(c) is limited to the following species, by the indicated method of take and the indicated number of times:

(i) Level B Harassment (±10 percent of the number of takes indicated below):

(A) Mysticetes:

(A) Humpback whale (*Megaptera novaeangliae*)—49,470 (an average of 9,894 annually).

(B) Minke whale (*Balaenoptera acutorostrata*)—320 (an average of 64 annually).

(C) Sei whale (*Balaenoptera borealis*)—230 (an average of 46 annually).

(D) Fin whale (*Balaenoptera physalus*)—230 (an average of 46 annually).

(E) Bryde’s whale (*Balaenoptera edeni*)—320 (an average of 64 annually).

(ii) Odontocetes:

(A) Sperm whales (*Physeter macrocephalus*)—3,905 (an average of 781 annually).

(B) Pygmy sperm whales (*Kogia breviceps*)—4,325 (an average of 865 annually).

(C) Dwarf sperm whale (*Kogia breviceps*)—10,610 (an average of 2,122 annually).

(D) Cuvier’s beaked whale (*Ziphius cavirostris*)—575 (an average of 115 annually).

(E) Blainville’s beaked whale (*Mesoplodon densirostris*)—1,785 (an average of 357 annually).

(F) Longman’s beaked whale (*Indopacetus pacificus*)—525 (an average of 105 annually).

(G) Rough-toothed dolphin (*Steno bredanensis*)—538 (an average of 107 annually).

(H) Bottlenose dolphin (*Tursiops truncatus*)—3,670 (an average of 734 annually).

(I) Pan-tropical dolphins (*Stenella attenuata*)—1,095 (an average of 219 annually).
(J) Spinner dolphins (Stenella longirostris)—2105 (an average of 421 annually).

(K) Striped dolphins (Stenella coeruleoalba)—16045 (an average of 3209 annually).

(L) Risso’s dolphin (Grampus griseus)—2485 (an average of 497 annually).

(M) Melon-headed whale (Peponocephala electra)—2985 (an average of 597 annually).

(N) Fraser’s dolphin (Lagenodelphis hosei)—6235 (an average of 1247 annually).

(O) Pygmy killer whale (Feresa attenuata)—980 (an average of 196 annually).

(P) False killer whale (Pseudorca crassidens)—230 (an average of 46 annually).

(Q) Killer whale (Orcinus orca)—230 (an average of 46 annually).

(R) Short-finned pilot whale (Globicephala macrorhynchus)—8990 (an average of 1798 annually).

(iii) Pinnipeds: Hawaiian monk seal (Monachus schauinslandi)—550 (an average of 110 annually).

(2) Level A Harassment and/or mortality of no more than 10 individuals total of each of the species listed below over the course of the 5-year regulations: Bottlenose dolphin (Tursiops truncatus), Pygmy and Dwarf sperm whales (Kogia breviceps and sima), Melon-headed whale (Peponocephala electra), Pantropical spotted dolphin (Stenella attenuata), Pygmy killer whale (Feresa attenuata), Short-finned pilot whale (Globicephala macrorhynchus), Striped dolphin (Stenella coeruleoalba), and Cuvier’s beaked whale (Mesoplodon densirostris), Longman’s beaked whale (Indopacetus pacificus).

§ 216.173 Prohibitions.

Notwithstanding takings contemplated in §216.172 and authorized by a Letter of Authorization issued under §§216.106 and 216.177, no person in connection with the activities described in §216.170 may:

(a) Take any marine mammal not specified in §216.172(c);

(b) Take any marine mammal specified in §216.172(c) other than by incidental take as specified in §216.172(c)(1) and (2);

(c) Take a marine mammal specified in §216.172(c) if such taking results in more than a negligible impact on the species or stocks of such marine mammal;

(d) Violate, or fail to comply with, the terms, conditions, and requirements of these regulations or a Letter of Authorization issued under §§216.106 and 216.177.

§ 216.174 Mitigation.

(a) When conducting training activities identified in §216.170(c), the mitigation measures contained in the Letter of Authorization issued under §§216.106 of this chapter and 216.177 must be implemented. These mitigation measures include, but are not limited to:

(1) Mitigation Measures for ASW training: (i) All lookouts onboard platforms involved in ASW training events shall review the NMFS-approved Marine Species Awareness Training (MSAT) material prior to the training event employing the use of mid-frequency active sonar.

(ii) All Commanding Officers, Executive Officers, and officers standing watch on the Bridge shall have reviewed the MSAT material prior to the training event employing the use of mid-frequency active sonar.

(iii) Navy lookouts shall undertake extensive training in order to qualify as a watchstander in accordance with the Lookout Training Handbook (NAVEDTRA, 12968-D).

(iv) Lookout training shall include on-the-job instruction under the supervision of a qualified, experienced watchstander. Following successful completion of this supervised training period, Lookouts shall complete the Personal Qualification Standard program, certifying that they have demonstrated the necessary skills (such as detection and reporting of partially submerged objects).

(v) Lookouts shall be trained in the most effective means to ensure quick and effective communication within the command structure in order to facilitate implementation of mitigation measures if marine species are spotted.

(vi) On the bridge of surface ships, there shall be at least three people on