

Guide for Immunization Messaging Release 1.0 (incorporated by reference in § 170.299).

(3) *Standard*. HL7 2.5.1 (incorporated by reference in § 170.299). *Implementation specifications*. HL7 2.5.1 Implementation Guide for Immunization Messaging, Release 1.4, (incorporated by reference in § 170.299).

(f) *Quality reporting. Standard*. The CMS Physician Quality Reporting Initiative (PQRI) 2009 Registry XML Specification (incorporated by reference in § 170.299). *Implementation specifications*. Physician Quality Reporting Initiative Measure Specifications Manual for Claims and Registry (incorporated by reference in § 170.299).

(g) *Electronic transmission of lab results to public health agencies. Standard*. HL7 2.5.1 (incorporated by reference in § 170.299). *Implementation specifications*. HL7 Version 2.5.1 Implementation Guide: Electronic Laboratory Reporting to Public Health, Release 1 (US Realm) (incorporated by reference in § 170.299) with Errata and Clarifications, (incorporated by reference in § 170.299) and ELR 2.5.1 Clarification Document for EHR Technology Certification, (incorporated by reference in § 170.299).

(h) *Clinical quality measure data import, export, and electronic submission. Standard*. HL7 Implementation Guide for CDA® Release 2: Quality Reporting Document Architecture, (incorporated by reference in § 170.299).

(i) *Cancer information. Standard*. HL7 Clinical Document Architecture (CDA), Release 2.0, Normative Edition (incorporated by reference in § 170.299). *Implementation specifications*. Implementation Guide for Ambulatory Healthcare Provider Reporting to Central Cancer Registries, HL7 Clinical Document Architecture (CDA), (incorporated by reference in § 170.299).

(j) *Electronic incorporation and transmission of lab results. Standard*. HL7 Version 2.5.1 Implementation Guide: S&I Framework Lab Results Interface, (incorporated by reference in § 170.299).

(k) *Clinical quality measure aggregate electronic submission. Standard*. Quality Reporting Document Architecture Category III, Implementation Guide for

CDA Release 2 (incorporated by reference in § 170.299).

[75 FR 44649, July 28, 2010, as amended at 75 FR 62690, Oct. 13, 2010; 77 FR 54284, Sept. 4, 2012]

§ 170.207 Vocabulary standards for representing electronic health information.

The Secretary adopts the following code sets, terminology, and nomenclature as the vocabulary standards for the purpose of representing electronic health information:

(a) *Problems*—(1) *Standard*. The code set specified at 45 CFR 162.1002(a)(1) for the indicated conditions.

(2) *Standard*. International Health Terminology Standards Development Organization (IHTSDO) Systematized Nomenclature of Medicine Clinical Terms (SNOMED CT®) July 2009 version (incorporated by reference in § 170.299).

(3) *Standard*. IHTSDO SNOMED CT® International Release July 2012 (incorporated by reference in § 170.299) and US Extension to SNOMED CT® March 2012 Release (incorporated by reference in § 170.299).

(b) *Procedures*—(1) *Standard*. The code set specified at 45 CFR 162.1002(a)(2).

(2) *Standard*. The code set specified at 45 CFR 162.1002(a)(5).

(3) *Standard*. The code set specified at 45 CFR 162.1002(a)(4).

(4) *Standard*. The code set specified at 45 CFR 162.1002(c)(3) for the indicated procedures or other actions taken.

(c) *Laboratory tests*—(1) *Standard*. Logical Observation Identifiers Names and Codes (LOINC®) version 2.27, when such codes were received within an electronic transaction from a laboratory (incorporated by reference in § 170.299).

(2) *Standard*. Logical Observation Identifiers Names and Codes (LOINC®) Database version 2.40, a universal code system for identifying laboratory and clinical observations produced by the Regenstrief Institute, Inc. (incorporated by reference in § 170.299).

(d) *Medications*—(1) *Standard*. Any source vocabulary that is included in RxNorm, a standardized nomenclature for clinical drugs produced by the United States National Library of Medicine.

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(2) *Standard*. RxNorm, a standardized nomenclature for clinical drugs produced by the United States National Library of Medicine, August 6, 2012 Release (incorporated by reference in § 170.299).

(e) *Immunizations*—(1) *Standard*. HL7 Standard Code Set CVX—Vaccines Administered, July 30, 2009 version (incorporated by reference in § 170.299).

(2) *Standard*. HL7 Standard Code Set CVX—Vaccines Administered, updates through July 11, 2012 (incorporated by reference in § 170.299).

(f) *Race and Ethnicity. Standard*. The Office of Management and Budget Standards for Maintaining, Collecting, and Presenting Federal Data on Race and Ethnicity, Statistical Policy Directive No. 15, as revised, October 30, 1997 (see “Revisions to the Standards for the Classification of Federal Data on Race and Ethnicity,” available at http://www.whitehouse.gov/omb/fedreg_1997standards).

(g) *Preferred language. Standard*. As specified by the Library of Congress, ISO 639-2 alpha-3 codes limited to those that also have a corresponding alpha-2 code in ISO 639-1. (incorporated by reference in § 170.299).

(h) *Smoking status. Standard*. Smoking status must be coded in one of the following SNOMED CT® codes:

(1) *Current every day smoker*. 449868002

(2) *Current some day smoker*. 428041000124106

(3) *Former smoker*. 8517006

(4) *Never smoker*. 266919005

(5) *Smoker, current status unknown*. 77176002

(6) *Unknown if ever smoked*. 266927001

(7) *Heavy tobacco smoker*. 428071000124103

(8) *Light tobacco smoker*. 428061000124105

(i) *Encounter diagnoses. Standard*. The code set specified at 45 CFR 162.1002(c)(2) for the indicated conditions.

(j) *Family health history*. HL7 Version 3 Standard: Clinical Genomics; Pedigree, (incorporated by reference in § 170.299).

[75 FR 44649, July 28, 2010, as amended at 77 FR 54284, Sept. 4, 2012]

45 CFR Subtitle A (10–1–13 Edition)

§ 170.210 Standards for health information technology to protect electronic health information created, maintained, and exchanged.

The Secretary adopts the following standards to protect electronic health information created, maintained, and exchanged:

(a) *Encryption and decryption of electronic health information*—(1) *General*. Any encryption algorithm identified by the National Institute of Standards and Technology (NIST) as an approved security function in Annex A of the Federal Information Processing Standards (FIPS) Publication 140-2, (January 27, 2010) (incorporated by reference in § 170.299).

(2) *Exchange*. Any encrypted and integrity protected link.

(b) *Record actions related to electronic health information*. The date, time, patient identification, and user identification must be recorded when electronic health information is created, modified, accessed, or deleted; and an indication of which action(s) occurred and by whom must also be recorded.

(c) *Verification that electronic health information has not been altered in transit. Standard*. A hashing algorithm with a security strength equal to or greater than SHA-1 (Secure Hash Algorithm (SHA-1) as specified by the National Institute of Standards and Technology (NIST) in FIPS PUB 180-4 (March 2012)) must be used to verify that electronic health information has not been altered.

(d) *Record treatment, payment, and health care operations disclosures*. The date, time, patient identification, user identification, and a description of the disclosure must be recorded for disclosures for treatment, payment, and health care operations, as these terms are defined at 45 CFR 164.501.

(e) *Record actions related to electronic health information, audit log status, and encryption of end-user devices*. (1)(i) The audit log must record the information specified in sections 7.2 through 7.4, 7.6, and 7.7 of the standard specified at § 170.210(h) when EHR technology is in use.

(ii) The date and time must be recorded in accordance with the standard specified at § 170.210(g).