§ 200.80b  Appendix B—SEC releases.

Free mailing list distribution of releases has been discontinued by the Commission because of rising costs and staff limitations. However, the texts of all releases under the various Acts, the corporate reorganization releases, and the litigation releases are contained in the SEC Docket, which may be purchased through the Superintendent of Documents as described in §200.80c of this part. The Statistical series releases are contained in the SEC Monthly Statistical Review, which also can be obtained by purchase through the Superintendent of Documents.


(a) The current rules of the Commission are not published by the Commission in pamphlet form. All SEC public rules and regulations, including its Rules of Practice, are contained in title 17 of the Code of Federal Regulations, which also is available for purchase from the Superintendent of Documents, Government Printing Office, Washington, DC 20402. New rules and rules changes, and other Commission releases, except statistical releases, also are published in the Federal Register as they are adopted.

(b) Copies of the following miscellaneous publications may be purchased from the Superintendent of Documents, Government Printing Office, Washington, DC 20402. Please address to him directly all inquiries, orders and payments concerning the following publications:

   1. Reports.
      SEC Annual Report to the Congress.
   2. Periodicals.
      SEC Monthly Statistical Review. A monthly publication containing data on round-lot and odd-lot share volume in stock exchanges, OTC volume in selected securities, block distributions, securities registrations and offerings, net change in corporate securities outstanding, working capital of U.S. corporations, assets of non-insured pension funds, Rule 144 filings and 8K reports.
      Free mailing list distribution of press releases.

§ 200.80d  Appendix D—Other publications available from the Commission.

(a) Limited amounts of the following materials among others are available free of charge upon request to the Commission’s Publications Section, Public Reference Branch, 202-272-7460:

   1. SEC Annual Report to the Congress.
   2. Periodicals.
      Official Summary.
   3. SEC Docket.
   6. Search and review services.
   7. Attestation with Commission seal.
   8. Duplication services:
      Search and review services: Up to one half hour total—No fee. For each one half hour or fraction thereof of chargeable service—up to GS–11 employee performing service: $3.00; GS–12 or above employee performing service: $4.00.
      Attestation with Commission seal: $4.00.
      Duplication services: The following duplication services are available. The stated time for delivery in each case begins to run only after receipt of the material by the contractor; if files cannot immediately be made available by the Commission, the time of shipment will be affected.
      Regular service. Blank copies of all forms used under each of the Acts administered by the Commission.
      Duplication services: The following duplication services are available. The stated time for delivery in each case begins to run only after receipt of the material by the contractor; if files cannot immediately be made available by the Commission, the time of shipment will be affected.

   9. Paper copies of original paper copies, or from microfiche accessible to the contractor, will be shipped within seven calendar days after the contractor receives the order and material at $0.24 per page, exclusive of any applicable shipment cost and sales taxes.