Public Health Service, HHS

§ 66.110 Service, payback, and recovery requirements.

(a) Each individual who receives an Award for postdoctoral research or training shall engage in a month of research training, research, or teaching that is health-related (or any combination thereof) for each month of support received, up to a maximum of twelve months. Such period shall be served in accordance with the usual patterns of such employment or training.

(b) In any case in which an individual receives an Award for more than twelve months, the thirteenth month and each subsequent month of performing activities under the Award shall be considered to be activities toward satisfaction of the requirement established in paragraph (a) of this section.

(c) Except as provided in §66.111, an individual subject to the requirements for service in paragraph (a) of this section must begin to undertake the service on a continuous basis within two years after the expiration or termination for his or her Award.

(d) If the individual fails to undertake or perform the service in accordance with the requirements of this section, the United States shall be entitled to recover from the individual an amount determined in accordance with the formula:

\[
A = 0 \frac{(1-s)}{(1)}
\]

In which

- A is the amount the United States is entitled to recover;
- 0 is the sum of the total amount paid to the individual for the months of postdoctoral support up to a maximum of twelve months;
- t is total number of months in the individual’s service obligation; and
- s is the number of months of the obligation served by him or her in accordance with paragraph (a) or (b) of this section.
(e) Except as provided in §66.111, the individual shall pay to the United States any amount which it is entitled to recover under paragraph (d) of this section within a three-year period beginning on the date the United States becomes entitled to recovery that amount. Interest shall accrue to the United States until any amount due it under paragraph (d) of the section is paid. The rate of interest will be fixed by the Secretary of the Treasury after taking into consideration private consumer rates of interest prevailing on the date the United States becomes entitled to recovery.

§ 66.111 Suspension, waiver, and cancellation.

(a) The Secretary may extend the period for undertaking service described in §66.110(c), permit breaks in the continuous service required under §66.110(c), or extend the period of repayment under §66.110(e) if the Secretary determines that:

1. An extension or break in service is necessary so the individual may complete his or her research training;

2. Completion during the period would be impossible because the individual is temporarily disabled; or

3. Completion during the period would involve a substantial hardship to the individual and failure to extend to the period would be against equity and good conscience.

(b) The Secretary may waive, in whole or in part, the obligation of the individual to repay pursuant to §66.110(d) if the Secretary determines that:

1. Fulfillment would be impossible because the individual is permanently and totally disabled; or

2. Fulfillment would involve a substantial hardship to the individual and enforcement of the obligation would be against equity and good conscience.

(c) In making determinations under §66.111 (a)(3) and (b)(2), the Secretary will take into consideration such factors as:

1. The individual’s present financial resources and obligations;

2. The individual’s estimated future financial resources and obligations;

3. The reasons for the individual’s failure to complete the requirements within the prescribed period, such as problems of a personal nature;

4. The extent to which the individual has been engaged in activities encompassed by §66.110(a) and (b);

5. Whether the individual has received sufficient training to be qualified to perform any such activities;

6. The unavailability of employment opportunities appropriate to the individual’s education and training; and

7. Any other extenuating circumstances.

(d) Any obligations of any individual under this subpart will be cancelled upon the death of that individual.


§ 66.112 Other HHS regulations and policies that apply.

Several other regulations and policies may apply to individuals and institutions receiving payments under this subpart. These include, but are not limited to:

45 CFR part 46—Protection of human subjects

45 CFR part 76—Governmentwide debarment and suspension (nonprocurement) and governmentwide requirements for drug-free workplace (grants)

45 CFR part 80—Nondiscrimination under programs receiving Federal assistance through the Department of Health and Human Services effectuation of title VI of the Civil Rights Act of 1964

45 CFR part 81—Practice and procedure for hearings under part 80 of this Title

45 CFR part 84—Nondiscrimination on the basis of handicap in programs and activities receiving or benefiting from Federal financial assistance

45 CFR part 86—Nondiscrimination on the basis of sex in education programs and activities receiving or benefiting from Federal financial assistance

45 CFR part 91—Nondiscrimination on the basis of age in HHS programs or activities receiving Federal financial assistance

51 FR 18958 (May 7, 1986)—NIH Guidelines for Research Involving Recombinant DNA Molecules.

NOTE: This policy is subject to change, and interested persons should contact the Office of Science Policy, Office of Biotechnology Activities, NIH, Suite 302, 6000 Executive Boulevard, MSC 7032, Bethesda, MD 20892–7032, (301) 496–9638 (not a toll-free number) to