APPENDIX B TO PART 30—AES FILING CODES

PART I—METHOD OF TRANSPORTATION CODES

10 Vessel
11 Vessel Containerized
12 Vessel (Barge)
20 Rail
21 Rail Containerized
30 Truck
31 Truck Containerized
32 Auto
33 Pedestrian
34 Road, Other
40 Air
41 Air Containerized
50 Mail
60 Passenger, Hand Carried
70 Fixed Transport (Pipeline and Powerhouse)

PART II—EXPORT INFORMATION CODES

TP Temporary exports of domestic merchandise
IP Shipments of merchandise imported under a Temporary Import Bond for further manufacturing or processing

IR Shipments of merchandise imported under a Temporary Import Bond for repair
CH Shipments of goods donated for charity
FS Foreign Military Sales
OS All other exports
HV Shipments of personally owned vehicles
HH Household and personal effects
TE Temporary exports to be returned to the United States
TL Merchandise leased for less than a year
IS Shipments of merchandise imported under a Temporary Import Bond for return in the same condition
CR Shipments moving under a carnet
GP U.S. Government shipments
MS Shipments consigned to the U.S. Armed Forces
GS Shipments to U.S. Government agencies for their use
UG Gift parcels under Bureau of Industry and Security License Exception GFT
DD Other exemptions:
  Currency
  Airline tickets
  Bank notes
  Internal revenue stamps
  State liquor stamps
  Advertising literature
Shipments of temporary imports by foreign entities for their use

RJ Inadmissible merchandise

(For Manifest Use Only by AES Carriers)

AE Shipment information filed through AES

(See §§30.50 through 30.58 for information on filing exemptions.)

PART III—LICENSE CODES

DEPARTMENT OF COMMERCE, BUREAU OF INDUSTRY AND SECURITY (BIS), LICENSES

C30 Licenses issued by BIS authorizing an export, reexport, or other regulated activity.

C31 SCL—Special Comprehensive License

C32 NLR—No License Required (controlled for other than or in addition to Anti-Terrorism)

C33 NLR—No License Required (All others, including Anti-Terrorism controls ONLY)

C35 LVS—Limited Value Shipments

C36 GHS—Shipments to B Countries

C37 CIV—Civil End Users

C38 TSR—Restricted Technology and Software

C39 TMP—Temporary Imports, Exports, and Re-exports

C40 RPL—Servicing and Replacement of Parts and Equipment

C41 GOV—Government and International Organizations

C42 FPT—Gift Parcels and Humanitarian Donations

C43 TSU—Technology and Software—Unrestricted

C45 BAG—Baggage

C46 AVS—Aircraft and Vessels (AES not required)

C47 APR—Additional Permissive Re-exports

C48 KMI—Key Management Infrastructure

C49 TAPS—Trans-Alaska Pipeline Authorization Act

C50 ENC—Encryption Commodities and Software

C51 AGR—License Exception Agricultural Commodities

C53 APP—Adjusted Peak Performance (Computers)

C54 SS—WRC—Western Red Cedar

C55 SS—Sample—Crude Oil Samples

C56 SS—SPR—Strategic Petroleum Reserves

C57 VEU—Validated End User Authorization

NUCLEAR REGULATORY COMMISSION (NRC) CODES

N01 NRC Form 250/250A—NRC Form 250/250A Export License

N02 NRC General License—NRC ‘General’ Export License

DEPARTMENT OF STATE, DIRECTORATE OF DEFENSE TRADE CONTROLS (DDTC) CODES

SAG—Agreements

SCA—Canadian ITAR Exemption

S00—License Exemption Citation

S05—DSP—5—Permanent export of unclassified defense articles and services

S61—DSP—61—Temporary import of unclassified defense articles

S73—DSP—73—Temporary export of unclassified articles

S85—DSP—85—Temporary or permanent import or export of classified articles

S94—DSP—94—Foreign Military Sales

DEPARTMENT OF TREASURY, OFFICE OF FOREIGN ASSETS CONTROL (OFAC) CODES

T10—OFAC Specific License

T11—OFAC General License

T12—Kimberley Process Certificate Number

OTHER LICENSE TYPES

OPA—Other Partnership Agency License

For export license exemptions under International Traffic in Arms Regulations, refer to 22 CFR 120–130 of the ITAR for the list of export license exemptions.

PART IV—IN-BOND CODES

70 Not In Bond

36 Warehouse Withdrawal for Immediate Exportation

37 Warehouse Withdrawal for Transportation and Exportation

67 Immediate Exportation from a Foreign Trade Zone

68 Transportation and Exportation from a Foreign Trade Zone

APPENDIX C TO PART 30—SUMMARY OF EXEMPTIONS AND EXCLUSIONS FROM EEI FILING

A. EEI is not required for the following types of shipments:¹

1. Exemption for shipments destined to Canada (§30.36).

2. Valued $2,500 or less per Schedule B/HTSUSA classification for commodities shipped from one USPPI to one consignee on a single carrier (§30.37(a)).

3. Tools of the trade and their containers that are usual and reasonable kinds and quantities of commodities and software intended for use by individual USPPIs or by employees or representatives of the exporting company in furthering the enterprises and undertakings of the USPPI abroad (§30.37(b)).

4. Shipments from one point in the United States to another point in the United States

¹Exemption from the requirements for reporting complete commodity information is covered in §30.38; Special exemptions for shipments to the U.S. Armed Services and covered in §30.39; and Special exemptions for certain shipments to U.S. Government agencies and employees are covered in §30.40.