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flat 18 percent of the total extraordinary protective need costs as provided in section 13.6 of these regulations.

2. Reimbursement for overhead and administrative costs is requested on a dollar-for-dollar basis. Computation of these costs has been made using the below described method:

(Explain and show in detail how all of these costs have been directly and exclusively attributed extraordinary protective need costs).

Dated:

[45 FR 30622, May 9, 1980]

APPENDIX I TO PART 13—FORM OF REQUEST FOR ASSISTANCE

I hereby request assistance from the Department of the Treasury pursuant to Section 202 of Title 3, U.S. Code, as amended by Pub. L. 94–196. This assistance is needed to enable the affected metropolitan area of _______ to meet an extraordinary protective need, which is expected to arise on _______ (date).

The nature of the extraordinary protective need prompting this request is as follows:

(If in association with a visit, include the name and title of the visiting foreign official or dignitary, the country represented and the name and location of the international organization involved and/or mission to be visited. The temporary domicile of the visiting official or dignitary and his schedule, including dates and times of arrival and departure from the United States, if available, must also be included. If the extraordinary protective need occurs at or, pursuant to §13.6 of 31 CFR part 13, in the vicinity of, a permanent mission to an international organization of which the United States is a member or at an observer mission invited to participate in the work of the organization, the application shall include the name and location of the mission. If the extraordinary protective need occurs at a foreign diplomatic mission, including a consular office, in conjunction with a qualifying visit by a foreign official or dignitary of the same country as that mission, the application shall include the name and location of the mission or office. If, pursuant to §13.6, the visiting foreign official is to travel by motorcade and/or visit locations other than his foreign mission or temporary domicile, the application shall include a description of the anticipated motorcade routes and all stops on the routes as well as the name (or description) and location of any other places to be visited.

The _______ (Government entity) _______ (is or is not) prepared to provide _______ (all or a portion of) the protection required to meet this need.

Attached is an estimate of the appropriate number of personnel, by grade and rank, and the specific services, equipment and facilities which will be required to meet this extraordinary protective need, along with an estimate of the cost of such personnel, services, equipment, and facilities.

(Date)

(State or local government of the affected metropolitan area)

(Signature)

(Title)

[45 FR 30622, May 9, 1980]

APPENDIX II TO PART 13—FORM OF BILL FOR REIMBURSEMENT

I hereby request that _______ (Governmental entity) be reimbursed by the Department of the Treasury pursuant to sections 202 and 208 of Title 3, U.S. Code, as amended and added, respectively, by Public Law 94–196 (89 Stat. 1109) and/or pursuant to Public Law 96–74) for expenses incurred while providing an adequate level of protection during the extraordinary protective need arising in association with a visit of _______ (Official or dignitary’s name and title) of _______ (Country) to participate in the work of _______ (International Organization) or occurring at the _______ (Permanent or observer mission) to _______ (International organization) during the period _______ (Date) through _______ (Date).

I certify that the level of protection provided was both reasonable and necessary; that the costs herein billed are only those direct costs associated with meeting the extraordinary protective need; and that the costs herein billed are not costs of an indirect nature such as administrative costs, overhead, and depreciation, except as provided in §13.8(a) of 31 CFR 13.

Access to all records, accounts, receipts, etc., pertaining to the costs herein billed will be accorded to representatives of the Assistant Secretary (Enforcement and Operations) and the General Accounting Office at such reasonable times and places as may be mutually agreed upon by said representatives and the _______ (Governmental entity).

Date: _______ (Signature)

(Title)

[45 FR 30623, May 9, 1980]

PART 14—RIGHT TO FINANCIAL PRIVACY ACT

Sec. 14.1 Definitions.
§ 14.2 Purpose.

The purpose of these regulations is to authorize Departmental units to request financial records from a financial institution pursuant to the formal written request procedure authorized by section 1108 of the Act, and to set forth the conditions under which such requests may be made.

§ 14.3 Authorization.

Departmental units are hereby authorized to request financial records of any customer from a financial institution pursuant to a formal written request under the Act only if:

(a) No administrative summons or subpoena authority reasonably appears to be available to the Departmental unit to obtain financial records for the purpose for which the records are sought;

(b) There is reason to believe that the records sought are relevant to a legitimate law enforcement inquiry and will further that inquiry;

(c) The request is issued by a supervisory official of a rank designated by the head of the requesting Departmental unit. Officials so designated shall not delegate this authority to others;

(d) The request adheres to the requirements set forth in §14.4;

(e) The notice requirements set forth in section 1108(4) of the Act, or the requirements pertaining to delay of notice in section 1109 of the Act are satisfied, except in situations where no notice is required. (e.g., section 1113(g))

§ 14.4 Contents of request.

The formal written request shall be in the form of a letter or memorandum to an appropriate official of the financial institution from which financial records are requested. The request shall be signed by an issuing official of the requesting Department unit. It shall set forth that official’s name, title, business address and business phone number. The request shall also contain the following:

(a) The identity of the customer or customers to whom the records pertain;

(b) A reasonable description of the records sought;
§ 14.5 Certification.

Prior to obtaining the requested records pursuant to a formal written request, an official of a rank designated by the head of the requesting Departmental unit shall certify in writing to the financial institution that the Departmental unit has complied with the applicable provisions of the Act.

PART 15—POST EMPLOYMENT CONFLICT OF INTEREST

Subpart A—General Provisions

Sec.
15.737–1 Scope.
15.737–2 Definitions.
15.737–3 Director of Practice.
15.737–4 Other discipline.
15.737–5 Records.

Subpart B—Rules Applicable to Post Employment Practice by Officers and Employees of the Department

15.737–6 Interpretative standards.

Subpart C—Administrative Enforcement Proceedings

15.737–7 Authority to prohibit practice.
15.737–8 Special orders.
15.737–9 Receipt of information concerning former Treasury employee.
15.737–10 Conferences.
15.737–11 Institution of proceeding.
15.737–12 Contents of complaint.
15.737–13 Service of complaint and other papers.
15.737–14 Answer.
15.737–15 Reply to answer.
15.737–16 Proof; variance; amendment of pleadings.
15.737–17 Motions and requests.
15.737–18 Representation.
15.737–19 Administrative Law Judge.
15.737–20 Hearings.
15.737–21 Evidence.

15.737–22 Depositions.
15.737–23 Transcript.
15.737–24 Proposed findings and conclusions.
15.737–26 Appeal to the General Counsel.
15.737–28 Notice of disciplinary action.

Subpart D—Other Departmental Proceedings

15.737–29 Review by the General Counsel.


SOURCE: 45 FR 39842, June 12, 1980, unless otherwise noted.

Subpart A—General Provisions

§ 15.737–1 Scope.

This part contains rules governing discipline of a former officer or employee of the Department of the Treasury because of a post employment conflict of interest. Such discipline may include prohibition from practice before the Department or a separate statutory agency thereof as those terms are defined in this part.

§ 15.737–2 Definitions.

For the purpose of this part—(a) The term Department means the Department of the Treasury and includes the separate statutory agencies thereof.

(b) The term Director means the Director of Practice.

(c) The term General Counsel means the General Counsel of the Department.

(d) The term practice means any informal or formal appearance before, or, with the intent to influence, any oral or written communication to the Department or, where applicable, to a separate statutory agency thereof on a pending matter of business on behalf of any other person (except the United States).

(e) The term separate statutory agency thereof means an agency or bureau within the Department designated by rule by the Director, Office of Government Ethics, as a separate agency or bureau. The Internal Revenue Service, Bureau of Alcohol, Tobacco and Firearms, United States Secret Service, Bureau of the Mint, United States Customs Service, Bureau of Engraving and