PART 375—PLAN OF OPERATION DURING A NATIONAL EMERGENCY

§ 375.1 Purpose.
(a) The Railroad Retirement Board has adopted a plan to provide basic organization and methods of operation which may be needed to continue uninterrupted service during a period of national emergency as defined in §375.2.
(b) The plan is published to inform all interested persons of the circumstances and ways in which the Board will organize and operate in a national emergency.
(c) For purposes of Government-wide uniformity, the procedures of the Board regarding payments during evacuation to employees and their dependents shall conform to those contained in subpart D of part 550 of the regulations of the Office of Personnel Management pertaining to "Payments During Evacuation" (5 CFR part 550, subpart D).

§ 375.2 National emergency and effective date.
A period of national emergency shall be deemed to exist and the provisions of this part shall become effective only (a) after an attack upon the United States, or at a time specified by the authority of the President after such attack, and (b) by order of the Chair of the Board or his or her successor as set forth in §375.5, or when it is no longer possible to communicate with such official at his or her designated station.

§ 375.3 Policy.

§ 375.4 Mailing instructions.

§ 375.5 Organization and functions of the Board, delegations of authority, and lines of succession.

§ 375.6 Personnel, fiscal, and service functions.

§ 375.7 Operating regulations.

§ 375.8 Regulations for employers.


§ 375.3 Policy.
To the greatest extent possible, payment of benefits shall be made and employment service functions shall be carried on through the period of a national emergency in strict conformance with the pertinent provisions of the Railroad Retirement Act, the Railroad Unemployment Insurance Act, and the regulations promulgated by the Board to administer those acts. Where the character of the national emergency is such as to prevent this, the stand-by regulations contained in this part shall obtain. It will be expected, however, that every effort shall be made to return to normal operating practices as quickly as possible thereafter.

§ 375.4 Mailing instructions.
In a national emergency as defined in §375.2, all mail shall be directed to Board offices at their normal locations.

§ 375.5 Organization and functions of the Board, delegations of authority, and lines of succession.
(a) During a national emergency, as defined in §375.2, the respective functions and responsibilities of the Board shall be, to the extent possible, as set forth in the U.S. Government Manual, which is published annually by the Office of the Federal Register, and is available on the Internet at http://www.nara.gov/fedreg/, under Other Publications.

(b) The following delegation of authority is made to provide continuity in the event of a national emergency:
(1) The Chair of the Board shall act with full administrative authority for the Board.
(2) In the absence or incapacity of the Chair, the authority of the Chair to act
shall pass to the available successor highest on the following list:

Labor Member of the Board
Management Member of the Board
Director of Administration
Director of Programs
General Counsel
Chief Information Officer
Director of Supply and Service
Regional Directors in order of length of Board service.

(3) Except as may be determined otherwise by the Chair of the Board or his or her successor and as provided in §§375.6 and 375.7, the duties of each office head or regional director shall be discharged in his or her absence or incapacity during a national emergency by the available staff member next in line of succession. Each office head and each regional director shall designate and preposition the line of succession within his or her office or region. If no such designation has been made, such duties shall be assumed by the available subordinate who is highest in grade or, if there is more than one, in length of Board service.

(4) Emergency responsibility and authority under this section, once assumed, shall be relinquished on direction of the duly constituted higher authority acting under the provisions of paragraph (b)(2) of this section.

§ 375.6 Personnel, fiscal, and service functions.

(a) Personnel. In a national emergency as defined in §375.2, when it is no longer possible for a regional director to communicate with the Chair or his or her successor as set forth in §375.5, complete responsibility and authority for administration of the personnel function are delegated to such regional director for his or her respective geographic area.

(b) Fiscal. (1) In a national emergency, as defined in §375.2, the Chair of the Board or his or her successor, as set forth in §375.5, shall designate an individual to assume the responsibilities of the Chief Financial Officer in the event that he or she is unable to assume those responsibilities.

(2) In a national emergency, incumbents of the following positions are hereby authorized to appoint emergency certifying officers:

Director of Administration
Director of Programs
Chief Financial Officer
Regional Directors.

(i) The emergency certifying officers shall be empowered to certify:

(a) Benefit payments under the Railroad Retirement Act.

(b) Benefit payments under the Railroad Unemployment Insurance Act.

(c) Administrative expenses of the Railroad Retirement Board.

(ii) Emergency certifying officers shall be appointed under the authority delegated by this section when (a) normal channels for certifying payments have been rendered inoperable, and (b) clearance has been obtained from the ranking official in line of succession as set forth in §375.5(b)(1) and (2) and under such instructions and conditions as he may prescribe.

(c) Supply and service. (1) In a national emergency, as defined in §375.2, complete responsibility and authority for the procurement of needed supplies, equipment, space, communications, transportation, and repair services, are delegated to each regional director for his or her geographic area.

(2) Federal sources of supply and service, if available, shall be used.

(3) Any supplies, equipment, space, or services provided under this emergency delegation shall be documented to show what was provided, the amount procured, the cost thereof, and the source from which procured.

(4) As soon after the period of national emergency as conditions permit, the records required by paragraph (c)(3) of this section shall be transmitted to the Director of Supply and Service or his surviving successor.