§ 249.638 Form ATS-R, information required of alternative trading systems pursuant to §242.301(b)(8) of this chapter.

This form shall be used by every alternative trading system to file required reports under §242.301(b)(8) of this chapter.

[63 FR 70943, Dec. 22, 1998]

EDITORIAL NOTE: For Federal Register citations affecting Form ATS-R, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and at www.fdsys.gov.

§ 249.801 Form X–15AA–1, for application for registration as a national securities association or affiliated securities association.

This form shall be filed as an application for registration as a national securities association or as an affiliated securities association pursuant to Rule 15AA–1 (§240.15AA–1 of this chapter).

[33 FR 18995, Dec. 20, 1968]

EDITORIAL NOTE: For Federal Register citations affecting Form X–15AA–1, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and at www.fdsys.gov.

§ 249.802 Form X–15AJ–1, for amendment and/or supplementary statements to registration statement of a national securities association or an affiliated securities association.

This form shall be filed pursuant to Rule 15AJ–1 (§240.15AJ–1 of this chapter) as amendment and/or supplementary statements to registration statement of a national securities association or an affiliated securities association.

[33 FR 18995, Dec. 20, 1968]