Centers for Medicare & Medicaid Services, HHS § 495.340

(a) A statement of need and objective which clearly state the purpose and objectives of the project to be accomplished and the necessity for the project.
(b) A project management plan which addresses the following:
   (1) The planning project organization.
   (2) Planning activities and deliverables.
   (3) State and contractor resource needs.
   (4) Planning project procurement activities and schedule.
   (c) A specific budget for the planning of the project.
   (d) An estimated total project cost and a prospective State and Federal cost distribution, including planning and implementation.
   (e) A commitment to submit a HIT implementation advance planning document.
   (f) A commitment to conduct and complete activities which will result in the production of the State Medicaid HIT plan that includes conduct of the following activities:
      (1) A statewide HIT environmental baseline self-assessment.
      (2) An assessment of desired HIT future environment.
      (3) Development of benchmarks and transition strategies to move from the current environment to the desired future environment.
      (g) A commitment to submit the plan to CMS for approval.

§ 495.338 Health information technology implementation advance planning document requirements (HIT IAPD).

Each State’s HIT IAPD must contain the following:
(a) The results of the activities conducted as a result of the HIT planning advance planning document, including the approved state Medicaid HIT plan.
(b) A statement of needs and objectives.
(c) A statement of alternative considerations.
(d) A personnel resource statement indicating availability of qualified and adequate staff, including a project director to accomplish the project objectives.
(e) A detailed description of the nature and scope of the activities to be undertaken and the methods to be used to accomplish the project.
(f) The proposed activity schedule for the project.
(g) A proposed budget including a consideration of all HIT implementation advance planning document activity costs, including but not limited to the following:
   (1) The cost to implement and administer incentive payments.
   (2) Procurement or acquisition.
   (3) State personnel.
   (4) Contractor services.
   (5) Hardware, software, and licensing.
   (6) Equipment and supplies.
   (7) Training and outreach.
   (8) Travel.
   (9) Administrative operations.
   (10) Miscellaneous expenses for the project.
(h) An estimate of prospective cost distribution to the various State and Federal funding sources and the proposed procedures for distributing costs including:
   (1) Planned annual payment amounts;
   (2) Total of planned payment amounts; and
   (3) Calendar year of each planned annual payment amount.
(4) A statement setting forth the security and interface requirements to be employed for all State HIT systems, and related systems, and the system failure and disaster recovery procedures available.

§ 495.340 As-needed HIT PAPD update and as-needed HIT IAPD update requirements.

Each State must submit a HIT PAPD update or a HIT IAPD no later than 60 days after the occurrence of project changes including but not limited to any of the following:
(a) A projected cost increase of $100,000 or more.
(b) A schedule extension of more than 60 days for major milestones.
(c) A significant change in planning approach or implementation approach, or scope of activities beyond that approved in the HIT planning advance planning document or the HIT implementation advance planning document.