

**§ 456.170**

(2) The certification must be made at the time of admission or, if an individual applies for assistance while in a mental hospital, before the Medicaid agency authorizes payment.

(b) *Recertification.* (1) A physician, or physician assistant or nurse practitioner (as defined in § 491.2 of this chapter) acting within the scope of practice as defined by State law and under the supervision of a physician, must recertify for each applicant or recipient that inpatient services in a mental hospital are needed.

(2) Recertification must be made at least every 60 days after certification.

[46 FR 48561, Oct. 1, 1981]

MEDICAL, PSYCHIATRIC, AND SOCIAL  
EVALUATIONS AND ADMISSION REVIEW

**§ 456.170 Medical, psychiatric, and social evaluations.**

(a) Before admission to a mental hospital or before authorization for payment, the attending physician or staff physician must make a medical evaluation of each applicant's or recipient's need for care in the hospital; and appropriate professional personnel must make a psychiatric and social evaluation.

(b) Each medical evaluation must include—

- (1) Diagnoses;
- (2) Summary of present medical findings;
- (3) Medical history;
- (4) Mental and physical functional capacity;
- (5) Prognoses; and
- (6) A recommendation by a physician concerning—
  - (i) Admission to the mental hospital; or
  - (ii) Continued care in the mental hospital for individuals who apply for Medicaid while in the mental hospital.

**§ 456.171 Medicaid agency review of need for admission.**

Medical and other professional personnel of the Medicaid agency or its designees must evaluate each applicant's or recipient's need for admission by reviewing and assessing the evaluations required by § 456.170.

**42 CFR Ch. IV (10–1–10 Edition)**

PLAN OF CARE

**§ 456.180 Individual written plan of care.**

(a) Before admission to a mental hospital or before authorization for payment, the attending physician or staff physician must establish a written plan of care for each applicant or recipient.

(b) The plan of care must include—

- (1) Diagnoses, symptoms, complaints, and complications indicating the need for admission;
  - (2) A description of the functional level of the individual;
  - (3) Objectives;
  - (4) Any orders for—
    - (i) Medications;
    - (ii) Treatments;
    - (iii) Restorative and rehabilitative services;
    - (iv) Activities;
    - (v) Therapies;
    - (vi) Social services;
    - (vii) Diet; and
    - (viii) Special procedures recommended for the health and safety of the patient;
  - (5) Plans for continuing care, including review and modification to the plan of care; and
  - (6) Plans for discharge.
- (c) The attending or staff physician and other personnel involved in the recipient's care must review each plan of care at least every 90 days.

**§ 456.181 Reports of evaluations and plans of care.**

A written report of each evaluation and plan of care must be entered in the applicant's or recipient's record—

- (a) At the time of admission; or
- (b) If the individual is already in the facility, immediately upon completion of the evaluation or plan.

UTILIZATION REVIEW (UR) PLAN:  
GENERAL REQUIREMENTS

**§ 456.200 Scope.**

Sections 456.201 through 456.245 of this subpart prescribe requirements for a written utilization review (UR) plan for each mental hospital providing Medicaid services. Sections 456.205 and 456.206 prescribe administrative requirements; §§ 456.211 through 456.213 prescribe informational requirements;