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as the basis for sole source procurement (see §35.936-13(b)) for step 3, if appropriate, to achieve the objective of demonstrating innovative technology.

§35.909 Step 2+3 grants.

- (a) Authority. The Regional Administrator may award grant assistance for a step 2=3 project for the combination of design (step 2) and construction (step 3) of a waste water treatment works.
- (b) *Limitations*. The Regional Administrator may award step 2=3 grant assistance only if he determines that:
- (1) The population is 25,000 or less for the applicant municipality (according to most recent U.S. Census information or disaggregations thereof):
- (2) The treatment works has an estimated total step 3 construction cost of \$2 million or less, as determined by the Regional Administrator. For any State that the Assistant Administrator for Water and Waste Management finds to have unusually high costs of construction, the Regional Administrator may make step 2=3 awards where the estimated total step 3 construction costs of such treatment works does not exceed \$3 million. The project must consist of all associated step 2 and step 3 work; segmenting is not permitted; and
- (3) The fundable range of the approved project priority list includes the step 2 and step 3 work.
- (c) Application requirements. Step 2+3 projects are subject to all requirements of this subpart that apply to separate step 2 and step 3 projects except compliance with §35.920-3(c) is not required before grant award. An applicant should only submit a single application.
- (d) Cross references. See §§35.920-3(d) (contents of application), 35.930-1(a)(4) (types of projects) and 35.935-4 (grant conditions).

§ 35.910 Allocation of funds.

§ 35.910-1 Allotments.

Allotments are made on a formula or other basis which Congress specifies for each fiscal year. Except where Congress indicates the exact amount of funds which each State should receive, computation of a State's ratio will be carried out to the nearest ten-thou-

sandth percent (0.0001 percent). Unless regulations for allotments for a specific fiscal year otherwise specify, alloted amounts will be rounded to the nearest thousand dollars.

§ 35.910-2 Period of availability; reallotment.

- (a) All sums allotted under §35.910–5 shall remain available for obligation within that State until September 30, 1978. Such funds which remain unobligated on October 1, 1978, will be immediately reallotted in the same manner as sums under paragraph (b) of this section.
- (b) All other sums allotted to a State under section 207 of the Act shall remain available for obligation until the end of 1 year after the close of the fiscal year for which the sums were authorized. Sums not obligated at the end of that period shall be immediately reallotted on the basis of the same ratio as applicable to sums allotted for the then-current fiscal year, but none of the funds reallotted shall be made available to any State which failed to obligate any of the funds being reallotted. Any sum made available to a State by reallotment under this section shall be in addition to any funds otherwise allotted to such State for grants under this subpart during any fiscal year.
- (c) Sums which are deobligated after the reallotment date for those funds shall be treated in the same manner as the most recent allotment before the deobligation.

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§35.910-5 Additional allotments of previously withheld sums.

- (a) A total sum of \$9 billion is allotted from sums authorized, but initially unallotted, for fiscal years 1973, 1974, and 1975. This additional allotment shall be available for obligation through September 30, 1977, before reallotment of unobligated sums under §35.910-2.
- (b) Two-thirds of the sum hereby allotted (\$6 billion) represents the initially unallotted portion of the amounts authorized for fiscal years 1973 and 1974. Therefore, the portion of the additional allotments derived from this sum were computed by applying

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the percentages formerly set forth in §35.910-3(b) to the total sums authorized for fiscal years 1973 and 1974 (\$11 billion) and subtracting the previously allotted sums, formerly set forth in §35.910-3(c).

(c) One-third of the sum hereby allotted (\$3 billion) represents the initially unallotted portion of the amounts authorized for fiscal year 1975. Therefore, the portion of the additional allotments derived from this sum were computed in a three-step process: First, by applying the percentages set forth in §35.910-4(b) to the total sums authorized for fiscal year 1975 (\$7 billion); then, by making adjustments necessary to assure that no State's allotment of such sums fell below its fiscal year 1972 allotment, under Pub. L. 93-243; and, finally, by subtracting the previously allotted sums set forth in §35.910-4(c).

(d) Based upon the computations set forth in paragraphs (b) and (c) of this section, the total additional sums hereby allotted to the States are as follows:

State	Allotment	
Alabama	\$43,975,950	
Alaska	25,250,500	
Arizona	18,833,450	
Arkansas	39,822,700	
California	945,776,800	
Colorado	43,113,300	
Connecticut	155,091,800	
Delaware	56,394,900	
District of Columbia	72,492,000	
Florida	345,870,100	
Georgia	117,772,800	
Hawaii	51,903,300	
Idaho	19,219,100	
Illinois	571,698,400	
Indiana	251,631,800	
lowa	100,044,900	
Kansas	53,794,200	
Kentucky	90,430,800	
Louisiana	71,712,250	
Maine	78,495,200	
Maryland	297,705,300	
Massachusetts	295,809,100	
Michigan	625,991,900	
Minnesota	172,024,500	
Mississippi	38,735,200	
Missouri	157,471,200	
Montana	12,378,200	
Nebraska	38,539,500	
Nevada	31,839,800	
New Hampshire	77,199,350	
New Jersey	660,830,500	
New Mexico	15,054,900	
New York	1,046,103,500	
North Carolina	110,345,000	
North Dakota	2,802,000	
Ohio	497,227,400	
Oklahoma	64,298,700	
Oregon	77,582,900	
Pennsylvania	498,984,900	

State	Allotment	
Rhode Island	45,599,600	
South Carolina	82,341,900	
South Dakota	5,688,000	
Tennessee	107,351,400	
Texas	174,969,850	
Utah	21,376,500	
Vermont	22,506,600	
Virginia	251,809,000	
Washington	103,915,600	
West Virginia	59,419,900	
Wisconsin	145,327,400	
Wyoming	2,930,650	
Guam	6,399,200	
Puerto Rico	84,910,500	
Virgin Islands	7,794,800	
American Samoa	738,200	
Trust Territory of Pacific	2,672,800	
Total	9,000,000,000	

§ 35.910-6 Fiscal Year 1977 public works allotments.

(a) The \$480 million appropriated by Public Law 94-447, 90 Stat. 1498, is available for obligation under the authority of title III of the Public Works Employment Act of 1976 (Pub. L. 94-369, 90 Stat. 999), as provided by section 301 of Public Law 94-369, to carry out title II of the Clean Water Act (other than sections 206, 208, and 209). Allotments of these funds shall remain available until expended. Amounts allotted are in addition to the State's last allotment under the Clean Water Act and are to be used for the same purpose.

(b) The sum of \$480 million has been allotted to States identified in column 1 of the Table IV of the House Public Works and Transportation Committee print numbered 94–25 based on percentages shown in column 5 of that table.

(c) The percentages referred to in paragraph (b) of this section and used in computing the State allotments set forth in paragraph (d) of this section are as follows:

State	Percent
Alabama	4.90
Alaska	.91
Arizona	4.69
Arkansas	3.74
California	0
Colorado	3.04
Connecticut	0
Delaware	0
District of Columbia	0
Florida	2.97
Georgia	5.70
Hawaii	.60
Idaho	1.06
Illinois	0
Indiana	0
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