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PART 328—OVER-THE-COUNTER DRUG PRODUCTS INTENDED FOR ORAL INGESTION THAT CONTAIN ALCOHOL

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AUTHORITY: Secs. 201, 301, 501, 502, 503, 505, 701 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 321, 331, 351, 352, 353, 355, 371).

SOURCE: 60 FR 13595, Mar. 13, 1995, unless otherwise noted.

EDITORIAL NOTE: Nomenclature changes to part 328 appear at 69 FR 13717, Mar. 24, 2004.

Subpart A—General Provisions

§ 328.1 Scope.

Reference in this part to regulatory sections of the Code of Federal Regulations are to chapter I of title 21 unless otherwise noted.

§ 328.3 Definitions.

As used in this part:

- (a) Alcohol means the substance known as ethanol, ethyl alcohol, or Alcohol. USP.
- (b) *Inactive ingredient* means any component of a product other than an active ingredient as defined in §210.3(b)(7) of this chapter.

Subpart B—Ingredients

§ 328.10 Alcohol.

- (a) Any over-the-counter (OTC) drug product intended for oral ingestion shall not contain alcohol as an inactive ingredient in concentrations that exceed those established in this part, unless a specific exemption, as provided in paragraph (e) or (f) of this section, has been approved.
- (b) For any OTC drug product intended for oral ingestion and labeled for use by adults and children 12 years

of age and over, the amount of alcohol in the product shall not exceed 10 percent.

- (c) For any OTC drug product intended for oral ingestion and labeled for use by children 6 to under 12 years of age, the amount of alcohol in the product shall not exceed 5 percent.
- (d) For any OTC drug product intended for oral ingestion and labeled for use by children under 6 years of age, the amount of alcohol in the product shall not exceed 0.5 percent.
- (e) The Food and Drug Administration will grant an exemption from paragraphs (b), (c), and (d) of this section where appropriate, upon petition under the provisions of §10.30 of this chapter. Appropriate cause, such as a specific solubility or manufacturing problem, must be adequately documented in the petition. Decisions with respect to requests for exemption shall be maintained in a permanent file for public review by the Division of Dockets Management (HFA-305), Food and Drug Administration, 5630 Fishers Lane, rm. 1061, Rockville, MD 20852.
- (f) Ipecac syrup is exempt from the provisions of paragraph (d) of this section.
- (g) The following drugs are temporarily exempt from the provisions of paragraphs (b), (c), and (d) of this section:
 - (1) Aromatic Cascara Fluidextract.
 - (2) Cascara Sagrada Fluidextract.
- (3) Orally ingested homeopathic drug products.

[60 FR 13595, Mar. 13, 1995, as amended at 61 FR 58630, Nov. 18, 1996; 68 FR 24879, May 9, 2003]

Subpart C—Labeling

§ 328.50 Principal display panel of all OTC drug products intended for oral ingestion that contain alcohol.

- (a) The amount (percentage) of alcohol present in a product shall be stated in terms of percent volume of absolute alcohol at 60 °F (15.56 °C) in accordance with §201.10(d)(2) of this chapter.
- (b) A statement expressing the amount (percentage) of alcohol present in a product shall appear prominently and conspicuously on the "principal display panel," as defined in §201.60 of

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this chapter. For products whose principal display panel is on the immediate container label and that are not marketed in another retail package (e.g., an outer box), the statement of the percentage of alcohol present in the product shall appear prominently and conspicuously on the "principal display panel" of the immediate container label.

- (c) For products whose principal display panel is on the retail package and the retail package is not the immediate container, the statement of the percentage of alcohol present in the product shall also appear on the immediate container label; it may appear anywhere on that label in accord with section 502(e) of the Federal Food, Drug, and Cosmetic Act.
- (d) The statement expressing the amount (percentage) of alcohol present in the product shall be in a size reasonably related to the most prominent printed matter on the panel or label on which it appears, and shall be in lines generally parallel to the base on which the package rests as it is designed to be displayed.
- (e) For a product to state in its labeling that it is "alcohol free," it must contain no alcohol (0 percent).
- (f) For any OTC drug product intended for oral ingestion containing over 5 percent alcohol and labeled for use by adults and children 12 years of age and over, the labeling shall contain the following statement in the directions section: "Consult a physician for use in children under 12 years of age."
- (g) For any OTC drug product intended for oral ingestion containing over 0.5 percent alcohol and labeled for use by children ages 6 to under 12 years of age, the labeling shall contain the following statement in the directions section: "Consult a physician for use in children under 6 years of age."
- (h) When the direction regarding age in paragraph (e) or (f) of this section differs from an age-limiting direction contained in any OTC drug monograph in this chapter, the direction containing the more stringent age limitation shall be used.

PART 330—OVER-THE-COUNTER (OTC) HUMAN DRUGS WHICH ARE GENERALLY RECOGNIZED AS SAFE AND EFFECTIVE AND NOT MISBRANDED

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330.1 General conditions for general recognition as safe, effective and not misbranded.

330.2 Pregnancy-nursing warning.

330.3 Imprinting of solid oral dosage form drug products.

330.5 Drug categories.

Subpart B—Administrative Procedures

- 330.10 Procedures for classifying OTC drugs as generally recognized as safe and effective and not misbranded, and for establishing monographs.
- 330.11 NDA deviations from applicable monograph.
- 330.12 Status of over-the-counter (OTC) drugs previously reviewed under the Drug Efficacy Study (DESI).
- 330.13 Conditions for marketing ingredients recommended for over-the-counter (OTC) use under the OTC drug review.
- 330.14 Additional criteria and procedures for classifying OTC drugs as generally recognized as safe and effective and not misbranded

AUTHORITY: 21 U.S.C. 321, 351, 352, 353, 355, 360, 371.

Source: 39 FR 11741, Mar. 29, 1974, unless otherwise noted.

EDITORIAL NOTE: Nomenclature changes to part 330 appear at 69 FR 13717, Mar. 24, 2004.

Subpart A—General Provisions

§ 330.1 General conditions for general recognition as safe, effective and not misbranded.

An over-the-counter (OTC) drug listed in this subchapter is generally recognized as safe and effective and is not misbranded if it meets each of the conditions contained in this part and each of the conditions contained in any applicable monograph. Any product which fails to conform to each of the conditions contained in this part and in an applicable monograph is liable to regulatory action.