§ 274.218 Form N–SF, application for deregistration of certain registered investment companies.

This form must be used as the application for an order of the Commission in cases in which the applicant is a registered investment company that:

(a) Has sold substantially all of its assets to another registered investment company or merged into or consolidated with another registered investment company;

(b) Has distributed substantially all of its assets to its shareholders and has completed, or is in the process of, winding up its affairs;

(c) Qualifies for an exclusion from the definition of “investment company” under section 3(c)(1) (15 U.S.C. 80a–3(c)(1)) or section 3(c)(7) (15 U.S.C. 80a–3(c)(7)) of the Act; or

(d) Has become a business development company.

[64 FR 19471, Apr. 21, 1999]

EDITORIAL NOTE: For Federal Register citations affecting Form N–SF, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and on GPO Access.

§ 274.219 Form N–17f–1, cover page for each certificate of accounting of securities and similar investments of a management investment company in the custody of a member of a national securities exchange, filed pursuant to rule 17f–1.

[54 FR 32049, Aug. 4, 1989]

EDITORIAL NOTE: For Federal Register citations affecting Form N–17f–1, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and on GPO Access.

§ 274.220 Form N–17f–2, cover page for each certificate of accounting of securities and similar investments in the custody of a registered management investment company, filed pursuant to rule 17f–2.

[54 FR 32049, Aug. 4, 1989]

EDITORIAL NOTE: For Federal Register citations affecting Form N–17f–2, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and on GPO Access.

§ 274.221 Form N–23c–3, Notification of repurchase offer.

Form N–23c–3 shall be filed with copies of notifications of repurchase offers submitted to the Commission as required under rule 23c–3 (§270.23c–3 of this chapter).

[58 FR 19345, Apr. 14, 1993]

EDITORIAL NOTE: For Federal Register citations affecting Form N–23c–3, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and on GPO Access.

Subpart D—Forms for Exemptions

AUTHORITY: Secs. 6(c), (15 U.S.C. 80a–6(c)), 6(e), (15 U.S.C. 80a–6(e)), 38(a), 15 U.S.C. 80a–37(a) of the Act.

§ 274.301 Notification of claim of exemption pursuant to Rule 6e–2 or Rule 6e–3(T) under the Investment Company Act.

This form shall be filed with the Commission as required by §270.6e–2 or §270.6e–3(T) of this chapter by each insurance company with respect to each separate account for which exemption is claimed pursuant to §270.6e–2 or §270.6e–3(T).

[49 FR 47228, Dec. 3, 1984]

EDITORIAL NOTE: For Federal Register citations affecting Form N–6EI–1, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and on GPO Access.

§ 274.302 Form N–27I–1, notice of right of withdrawal and refund for variable life insurance contractholders required pursuant to Rule 6e–2 (§270.6e–2 of this chapter).

[41 FR 47032, Oct. 27, 1976]

EDITORIAL NOTE: For Federal Register citations affecting Form N–27I–1, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and on GPO Access.

§ 274.303 Form N–27I–2, notice of withdrawal right and statement of charges for variable life insurance contractholders required pursuant to Rule 6e–2 (§270.6e–2 of this chapter).

[41 FR 47032, Oct. 27, 1976]