§ 240.10A–2 Auditor independence. It shall be unlawful for an auditor not to be independent under §210.2-01(c)(2)(ii)(B), (c)(4), (c)(6), (c)(7), and § 210.2-07.

§ 240.10A–3 Listing standards relating to audit committees.


(1) National securities exchanges. The rules of each national securities exchange registered pursuant to section 6 of the Act (15 U.S.C. 78f) must, in accordance with the provisions of this section, prohibit the initial or continued listing of any security of an issuer that is not in compliance with the requirements of any portion of paragraph (b) or (c) of this section.