

Census Bureau, Commerce

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Currency
Airline tickets
Bank notes
Internal revenue stamps
State liquor stamps
Advertising literature
Shipments of temporary imports by foreign entities for their use
RJ Inadmissible merchandise
(For Manifest Use Only by AES Carriers)
AE Shipment information filed through AES
(See §§30.50 through 30.58 for information on filing exemptions.)

PART III—LICENSE CODES

DEPARTMENT OF COMMERCE, BUREAU OF INDUSTRY AND SECURITY (BIS), LICENSES

C30 Licenses issued by BIS authorizing an export, reexport, or other regulated activity.
C31 SCL—Special Comprehensive License
C32 NLR—No License Required (controlled for other than or in addition to Anti-Terrorism)
C33 NLR—No License Required (All others, including Anti-Terrorism controls ONLY)
C35 LVS—Limited Value Shipments
C36 GBS—Shipments to B Countries
C37 CIV—Civil End Users
C38 TSR—Restricted Technology and Software
C40 TMP—Temporary Imports, Exports, and Re-exports
C41 RPL—Servicing and Replacement of Parts and Equipment
C42 GOV—Government and International Organizations
C43 GFT—Gift Parcels and Humanitarian Donations
C44 TSU—Technology and Software—Unrestricted
C45 BAG—Baggage
C46 AVS—Aircraft and Vessels (AES not required)
C47 APR—Additional Permissive Re-exports
C48 KMI—Key Management Infrastructure
C49 TAPS—Trans-Alaska Pipeline Authorization Act
C50 ENC—Encryption Commodities and Software
C51 AGR—License Exception Agricultural Commodities
C53 APP—Adjusted Peak Performance (Computers)
C54 SS-WRC—Western Red Cedar
C55 SS-Sample—Crude Oil Samples
C56 SS-SPR—Strategic Petroleum Reserves
C57 VEU—Validated End User Authorization

NUCLEAR REGULATORY COMMISSION (NRC) CODES

N01 NRC Form 250/250A—NRC Form 250/250A
N02 NRC General License—NRC 'General' Export License

DEPARTMENT OF STATE, DIRECTORATE OF DEFENSE TRADE CONTROLS (DDTC) CODES

SAG—Agreements
SCA—Canadian ITAR Exemption
S00—License Exemption Citation
S05—DSP-5—Permanent export of unclassified defense articles and services
S61—DSP-61—Temporary import of unclassified articles
S73—DSP-73—Temporary export of unclassified articles
S85—DSP-85—Temporary or permanent import or export of classified articles
S94—DSP-94—Foreign Military Sales

DEPARTMENT OF TREASURY, OFFICE OF FOREIGN ASSETS CONTROL (OFAC) CODES

T10—OFAC Specific License
T11—OFAC General License
T12—Kimberley Process Certificate Number

OTHER LICENSE TYPES

OPA—Other Partnership Agency License
For export license exemptions under International Traffic in Arms Regulations, refer to 22 CFR 120-130 of the ITAR for the list of export license exemptions.

PART IV—IN-BOND CODES

70 Not In Bond
36 Warehouse Withdrawal for Immediate Exportation
37 Warehouse Withdrawal for Transportation and Exportation
67 Immediate Exportation from a Foreign Trade Zone
68 Transportation and Exportation from a Foreign Trade Zone

APPENDIX C TO PART 30—SUMMARY OF EXEMPTIONS AND EXCLUSIONS FROM EEI FILING

A. EEI is not required for the following types of shipments:¹
1. Exemption for shipments destined to Canada (§30.36).
2. Valued \$2,500 or less per Schedule B/HTSUSA classification for commodities shipped from one USPPI to one consignee on a single carrier (§30.37(a)).
3. Tools of the trade and their containers that are usual and reasonable kinds and quantities of commodities and software intended for use by individual USPPIs or by employees or representatives of the exporting company in furthering the enterprises

¹Exemption from the requirements for reporting complete commodity information is covered in §30.38; Special exemptions for shipments to the U.S. Armed Services and covered in §30.39; and Special exemptions for certain shipments to U.S. Government agencies and employees are covered in §30.40.

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and undertakings of the USPPI abroad (§30.37(b)).

4. Shipments from one point in the United States to another point in the United States by routes passing through Canada or Mexico (§30.37(c)).

5. Shipments from one point in Canada or Mexico to another point in the same country by routes through the United States (§30.37(d)).

6. Shipments transported inbound through the United States for export to a third country and exported from another U.S. port or transshipped and exported directly from the port of arrival never having made entry into the United States. If entry for consumption or warehousing in the United States is made, then an EEI is required if the goods are then exported to a third country from the United States (§30.37(e)).

7. Exports of technology and software as defined in 15 CFR 772 of the EAR that do not require an export license. However, EEI is required for mass-market software (§30.37(f)).

8. Shipments to foreign libraries, government establishments, or similar institutions, as provided in FTR Subpart D §30.40 (d). (§30.37(h)).

9. Shipments as authorized under License Exception GFT for gift parcels and humanitarian donations (EAR 15 CFR 740.12); §30.37(i).

10. Diplomatic pouches and their contents (§30.37(j)).

11. Human remains and accompanying appropriate receptacles and flowers (§30.37(k)).

12. Shipments of interplant correspondence, executed invoices and other documents, and other shipments of company business records from a U.S. firm to its subsidiary or affiliate. This excludes highly technical plans, correspondence, etc. that could be licensed (§30.37(l)).

13. Shipments of pets as baggage (§30.37(m)).

14. Carrier's stores, not shipped under a bill of lading or an air waybill, supplies and equipment, including usual and reasonable kinds and quantities of bunker fuel, deck engine and steward department stores, provisions and supplies, medicinal and surgical supplies, food stores, slop chest articles, and saloon stores or supplies for use or consumption on board and not intended for unloading in a foreign country. (See Table 5 if shipped under a bill of lading or an air waybill (§30.37(n)).

15. Dunnage not shipped under a bill of lading or an air waybill, of usual and reasonable kinds and quantities not intended for unloading in a foreign country (§30.37(o)).

16. Shipments of aircraft parts and equipment; food, saloon, slop chest, and related stores; and provisions and supplies for use on aircraft by a U.S. airline. (EAR license exception (AVS) for aircraft and vessels 15 CFR 740.15(c); §30.37(p)).

15 CFR Subtitle B, Ch. I (1-1-10 Edition)

17. Baggage and personal effects, accompanied or unaccompanied, of persons leaving the United States including members of crews on vessels and aircraft, when they are not shipped as cargo under a bill of lading or an air waybill and do not require an export license (§30.37(q)).

18. Temporary exports, whether shipped or hand carried, (e.g., carnet) that are exported from or returned to the United States in less than one year (12 months) from date of export (§30.37(r)).

19. Goods previously imported under Temporary Import Bond for return in the same condition as when imported including: goods for testing, experimentation, or demonstration; goods imported for exhibition; samples and models imported for review or for taking orders; goods for imported for participation in races or contests; and animals imported for breeding or exhibition and imported for use by representatives of foreign government or international organizations or by members of the armed forces of a foreign country. Goods that were imported under bond for processing and re-exportation are not covered by this exemption (§30.37(s)).

20. Issued banknotes and securities and coins in circulation exported as evidence of financial claims. The EEI must be filed for unissued bank notes and securities and coins not in circulation (such as bank notes printed in the United States and exported in fulfillment of the printing contract or as part of collections), which should be reported at their commercial or current value (§30.37(t)).

21. Documents used in international transactions, documents moving out of the United States to facilitate international transactions including airline tickets, internal revenue stamps, liquor stamps, and advertising literature. Export of such documents in fulfillment of a contract for their production, however, are not exempt and must be reported at the transaction value for their production (§30.37(u)).

B. The following types of transactions are outside the scope of the FTR and shall be excluded from EEI filing:

1. Goods shipped under CBP bond through the United States, Puerto Rico, or the U.S. Virgin Islands from one foreign country or area to another where such goods do not enter the consumption channels of the United States.

2. Goods shipped from the U.S. territories of Guam Island, American Samoa, Wake Island, Midway Island, and Northern Mariana Islands to foreign countries or areas, and goods shipped between the U.S. and these territories (§30.2(d)(2)).

3. Electronic transmissions and intangible transfers. See FTR, Subpart B, for export control requirements for these types of transactions (§30.2(d)(3)).

4. Goods shipped to Guantanamo Bay Naval Base in Cuba from the United States, Puerto

Rico, or the U.S. Virgin Islands and from Guantanamo Bay Naval Base to the United States, Puerto Rico, or the U.S. Virgin Is-

lands. (See FTR Subpart D §30.39 for filing requirements for shipments exported by the U.S. Armed Services.) (§30.2(d)(4)).

APPENDIX D TO PART 30—AES FILING CITATION, EXEMPTION AND EXCLUSION LEGENDS

I. USML Proof of Filing Citation	AES ITN Example: AES X20060101987654.
II. AES Proof of Filing Citation subpart A § 30.7	AES ITN Example: AES X20060101987654.
III. AES Postdeparture Citation-USPPIUSPPI is filing the EEI.	AESPOST USPPI EIN mm/dd/yyyy Example: AESPOST 12345678912 01/01/2006.
IV. Postdeparture Citation-Agent	AESPOST USPPI EIN—Filer ID mm/dd/yyyy Example: AESPOST 12345678912—987654321 01/01/2006.
V. AES Downtime Citation—Use only when AES or AES <i>Direct</i> is unavailable.	AESDOWN Filer ID mm/dd/yyyy Example: AESDOWN 123456789 01/01/2006.
VI. Standard Exclusions are found in 15 CFR 30, Subpart A, §30.2(d)(1) through §30.2(d)(4). The following types of transactions shall be excluded from EEI filing: (1) Goods Shipped from U.S. territories. (2) Goods Shipped to or from Guantanamo Bay Naval Base in Cuba and the United States. (3) Inbond Shipments through the United States, Puerto Rico, and the U.S. Virgin Islands.	NOEEI § 30.2(d)(site corresponding number).
VII. Exemption for Shipments to Canada	NOEEI § 30.36.
VIII. Exemption for Low-Value Shipments	NOEEI § 30.37(a).
IX. Miscellaneous Exemption Statements are found in 15 CFR 30 Subpart D §30.37(b) through §30.37(u).	NOEEI § 30.37 (site corresponding alphabet).
X. Special Exemption for Shipments to the U.S. Armed Forces.	NOEEI § 30.39
XI. Special Exemptions for Certain Shipments to U.S. Government Agencies and Employees (Exemption Statements are found in 15 CFR 30 Subpart D §30.40(a) through §30.40(d).	NOEEI § 30.40 (site corresponding alphabet).
XII. Split Shipments by Air “Split Shipments” should be referenced as such on the manifest in accordance with provisions contained in §30.28, “Split Shipments by Air.” The notation should be easily identifiable on the manifest. It is preferable to include a reference to a split shipment in the exemption statements cited in the example, the notation SS should be included at the end of the appropriate exemption statement.	AES ITN SS Example: AES X20060101987654 SS.
Proof of filing citations by pipeline	NOEEI § 30.8(b).