APPENDIX B TO PART 30—AES FILING CODES

PART I—METHOD OF TRANSPORTATION CODES

10 Vessel
11 Vessel Containerized
12 Vessel (Barge)
20 Rail
21 Rail Containerized
30 Truck
31 Truck Containerized
32 Auto
33 Pedestrian
34 Road, Other
40 Air
41 Air Containerized
50 Mail
60 Passenger, Hand Carried
70 Fixed Transport (Pipeline and Powerhouse)

PART II—EXPORT INFORMATION CODES

TP Temporary exports of domestic merchandise
IP Shipments of merchandise imported under a Temporary Import Bond for further manufacturing or processing
IR Shipments of merchandise imported under a Temporary Import Bond for repair
CH Shipments of goods donated for charity
FS Foreign Military Sales
OS All other exports
HV Shipments of personally owned vehicles
HH Household and personal effects
TE Temporary exports to be returned to the United States
TL Merchandise leased for less than a year
IS Shipments of merchandise imported under a Temporary Import Bond for return in the same condition
CR Shipments moving under a carnet
GP U.S. Government shipments
MS Shipments consigned to the U.S. Armed Forces
GS Shipments to U.S. Government agencies for their use
UG Gift parcels under Bureau of Industry and Security License Exception GFT
DD Other exemptions:
Census Bureau, Commerce

<table>
<thead>
<tr>
<th>Commodity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Currency</td>
</tr>
<tr>
<td>Airline tickets</td>
</tr>
<tr>
<td>Bank notes</td>
</tr>
<tr>
<td>Internal revenue stamps</td>
</tr>
<tr>
<td>State liquor stamps</td>
</tr>
<tr>
<td>Advertising literature</td>
</tr>
<tr>
<td>Shipments of temporary imports by foreign entities for their use</td>
</tr>
<tr>
<td>R3 Inadmissible merchandise</td>
</tr>
<tr>
<td>(For Manifest Use Only by AES Carriers)</td>
</tr>
<tr>
<td>AE Shipment information filed through AES</td>
</tr>
<tr>
<td>(See §§30.38 through 30.39 for information on filing exemptions.)</td>
</tr>
</tbody>
</table>

**PART III—LICENSE CODES**

**DEPARTMENT OF COMMERCE, BUREAU OF INDUSTRY AND SECURITY (BIS), LICENSES**

- **C30** Licenses issued by BIS authorizing an export, reexport, or other regulated activity.
- **C31** SCL—Special Comprehensive License
- **C32** NLR—No License Required (controlled for other than or in addition to Anti-Terrorism)
- **C38** TSR—Restricted Technology and Software
- **C40** TMP—Temporary Imports, Exports, and Re-exports
- **C41** RPL—Servicing and Replacement of Parts and Equipment
- **C42** GOV—Government and International Organizations
- **C43** GFT—Gift Parcels and Humanitarian Donations
- **C44** TSU—Technology and Software—Unrestricted
- **C45** BAG—Baggage
- **C46** AVS—Aircraft and Vessels (AES not required)
- **C47** APR—Additional Permissive Re-exports
- **C48** KMI—Key Management Infrastructure
- **C49** TAPS—Trans-Alaska Pipeline Authorization Act
- **C50** ENC—Encryption Commodities and Software
- **C51** AGR—License Exception Agricultural Commodities
- **C52** APP—Adjusted Peak Performance (Computers)
- **C54** SS—WRC—Western Red Cedar
- **C55** SS—Sample—Crude Oil Samples
- **C56** SS—SPR—Strategic Petroleum Reserves
- **C57** VEU—Validated End User Authorization

**NUCLEAR REGULATORY COMMISSION (NRC) CODES**

- **N01** NRC Form 250/250A—NRC Form 250/250A
- **N02** NRC General License—NRC ‘General’ Export License

**DEPARTMENT OF STATE, DIRECTORATE OF DEFENSE TRADE CONTROLS (DDTC) CODES**

- **SAG**—Agreements
- **SCA**—Canadian ITAR Exemption
- **S00**—License Exemption Citation
- **S05—DSP—5**—Permanent export of unclassified defense articles and services
- **S61—DSP—61**—Temporary import of unclassified articles
- **S75—DSP—75**—Temporary export of unclassified articles
- **S85—DSP—85**—Temporary or permanent import or export of classified articles
- **S94—DSP—94**—Foreign Military Sales

**DEPARTMENT OF TREASURY, OFFICE OF FOREIGN ASSETS CONTROL (OFAC) CODES**

- **T10—OFAC Specific License**
- **T11—OFAC General License**
- **T12—Kimberley Process Certificate Number**

**OTHER LICENSE TYPES**

- **OPA**—Other Partnership Agency License

For export license exemptions under International Traffic in Arms Regulations, refer to 22 CFR 120–130 of the ITAR for the list of export license exemptions.

**PART IV—IN-BOND CODES**

- **70** Not In Bond
- **36** Warehouse Withdrawal for Immediate Exportation
- **37** Warehouse Withdrawal for Transportation and Exportation
- **67** Immediate Exportation from a Foreign Trade Zone
- **68** Transportation and Exportation from a Foreign Trade Zone

**APPENDIX C TO PART 30—SUMMARY OF EXEMPTIONS AND EXCLUSIONS FROM EEI FILING**

1. **A. EEI is not required for the following types of shipments:**
   1. Exemption for shipments destined to Canada (§30.36).
   2. Valued $2,500 or less per Schedule B/HTSUSA classification for commodities shipped from one USPPI to one consignee on a single carrier (§30.37(a)).
   3. Tools of the trade and their containers that are usual and reasonable kinds and quantities of commodities and software intended for use by individual USPPPIs or by employees or representatives of the exporting company in furthering the enterprises

327