and must include, but is not limited to, the following:

(i) Use of deadly force.
(ii) Recall of off-duty employees.
(iii) Site fencing and boundaries.
(iv) Use of enhanced weapons, if applicable.

f. Administrative and Logistical Considerations. Descriptions of licensee practices which influence how the security organization responds to a safeguards contingency event to include, but not limited to, a description of the procedures that will be used for ensuring that equipment needed to facilitate response will be readily accessible, in good working order, and in sufficient supply.

4. Responsibility Matrix. This category of information consists of the detailed identification of responsibilities and specific actions to be taken by licensee organizations and/or personnel in response to safeguards contingency events.

a. Licensees shall develop site procedures that consist of matrices detailing the organization and/or personnel responsible for decisions and actions associated with specific responses to safeguards contingency events. The responsibility matrix and procedures shall be referenced in the licensee’s safeguards contingency plan.

b. Responsibility matrix procedures shall be based on the events outlined in the licensee’s Generic Planning Base and must include the following information:

(i) The definition of the specific objective to be accomplished relative to each identified safeguards contingency event. The objective may be to obtain a level of awareness about the nature and severity of the safeguards contingency to prepare for further responses, to establish a level of response preparedness, or to successfully nullify or reduce any adverse safeguards consequences arising from the contingency.

(ii) A tabulation for each identified initiating event and each response entity which depicts the assignment of responsibilities for decisions and actions to be taken in response to the initiating event.

(iii) An overall description of response actions and interrelationships specifically associated with each responsible entity must be included.

c. Responsibilities shall be assigned in a manner that precludes conflict of duties and responsibilities that would prevent the execution of the safeguards contingency plan and emergency response plans.

d. Licensees shall ensure that predetermined actions can be completed under the postulated conditions.

5. Implementing Procedures.

(i) Licensees shall establish and maintain written implementing procedures that provide specific guidance and operating details that identify the actions to be taken and decisions to be made by each member of the security organization who is assigned duties and responsibilities required for the effective implementation of the security plans and the site protective strategy.

(ii) Licensees shall ensure that implementing procedures accurately reflect the information contained in the Responsibility Matrix required by this appendix, the security plans, and other site plans.

(iii) Implementing procedures need not be submitted to the Commission for approval but are subject to inspection.

C. RECORDS AND REVIEWS

1. Licensees shall review the safeguards contingency plan in accordance with the requirements of § 73.35(n).

2. The safeguards contingency plan audit must include a review of applicable elements of the Physical Security Plan, Training and Qualification Plan, implementing procedures and practices, the site protective strategy, and response agreements made by local, State, and Federal law enforcement authorities.

3. Licensees shall retain all reports, records, or other documentation required by this appendix in accordance with the requirements of § 73.35.

4. The safeguards contingency plan audit must include a review of applicable elements of the Physical Security Plan, Training and Qualification Plan, implementing procedures and practices, the site protective strategy, and response agreements made by local, State, and Federal law enforcement authorities.

APPENDIX D TO PART 73—PHYSICAL PROTECTION OF IRRADIATED REACTOR FUEL IN TRANSIT, TRAINING PROGRAM SUBJECT SCHEDULE

Pursuant to the provision of § 73.37 of 10 CFR, part 73, each licensee who transports or delivers to a carrier for transport irradiated reactor fuel is required to assure that individuals used as shipment escorts have completed a training program. The subjects that are to be included in this training program are as follows:

Security Enroute
—Route planning and selection
—Vehicle operation
—Procedures at stops
—Detours and use of alternate routes

Communications
—Equipment operation
—Status reporting
—Contacts with law enforcement units
—Communications discipline
—Procedures for reporting incidents

Radiological Considerations
—Description of the radioactive cargo
Nuclear Regulatory Commission

—Function and characteristics of the shipping casks
—Radiation hazards
—Federal, State and local ordinances relative to the shipment of radioactive materials
—Responsible agencies

Response to Contingencies

—Accidents
—Severe weather conditions
—Vehicle breakdown
—Communications problems
—Radioactive “spills”
—Use of special equipment (flares, emergency lighting, etc.)

Response to Threats

—Reporting
—Calling for assistance
—Use of immobilization features
—Hostage situations
—Avoiding suspicious situations
The licensee is also required to assure that armed individuals serving as shipment escorts, other than members of local law enforcement agencies, have completed a weapons training and qualifications program equivalent to that required of guards, as described in III and IV of appendix B of this part, to assure that each such individual is fully qualified to use weapons assigned him.

[44 FR 34668, June 15, 1979, as amended at 45 FR 34710, June 3, 1980]

APPENDIX E TO PART 73—LEVELS OF PHYSICAL PROTECTION TO BE APPLIED IN INTERNATIONAL TRANSPORT OF NUCLEAR MATERIAL

(Verbatim from Annex I to the Convention on the Physical Protection of Nuclear Material)

(a) Levels of physical protection for nuclear material during storage incidental to international nuclear transport include:

(1) For Category III materials, storage within an area to which access is restricted to persons whose trustworthiness has been determined, and which is under surveillance by guards who are in close communication with appropriate response forces. Specific measures taken in this context should have as their objective the detection and prevention of any assault, unauthorized access, or unauthorized removal of material.

(2) For Category II materials, storage within a protected area as defined for Category II, to which, in addition, access is restricted to persons whose trustworthiness has been determined, and which is under surveillance by guards who are in close communication with appropriate response forces. Specific measures taken in this context should have as their objective the detection and prevention of any assault, unauthorized access, or unauthorized removal of material.

(3) For Category I material, storage within a protected area as defined for Category II, to which, in addition, access is restricted to persons whose trustworthiness has been determined, and which is under surveillance by guards who are in close communication with appropriate response forces. Specific measures taken in this context should have as their objective the detection and prevention of any assault, unauthorized access, or unauthorized removal of material.

(b) Levels of physical protection for nuclear material during international transport include:

(1) For Category II and III materials, transportation shall take place under special precautions including prior arrangements among sender, receiver, and carrier, and prior agreement between natural or legal persons subject to the jurisdiction and regulation of exporting and importing States, specifying time, place and procedures for transferring transport responsibility;

(2) For Category I materials, transportation shall take place under special precautions identified for transportation of Category II and III materials, and in addition, under constant surveillance by escorts and under conditions which assure close communication with appropriate response forces;

(3) For natural uranium other than in the form of ore or ore residue, transportation protection for quantities exceeding 500 kilograms U shall include advance notification of shipment specifying mode of transport, expected time of arrival and [shall provide for] confirmation of receipt of shipment.

[52 FR 9654, Mar. 26, 1987]

APPENDIX F TO PART 73—NATIONS THAT ARE PARTIES TO THE CONVENTION ON THE PHYSICAL PROTECTION OF NUCLEAR MATERIAL

<table>
<thead>
<tr>
<th>Nation</th>
<th>Date of deposit of instrument of ratification with the IAEA</th>
</tr>
</thead>
<tbody>
<tr>
<td>Brazil</td>
<td>Oct. 17, 1986</td>
</tr>
<tr>
<td>Bulgaria</td>
<td>May 2, 1984</td>
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<tr>
<td>Canada</td>
<td>Mar. 21, 1986</td>
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<tr>
<td>Czechoslovakia</td>
<td>Apr. 23, 1985</td>
</tr>
<tr>
<td>German Democratic Republic (E. Germany)</td>
<td>Feb. 5, 1981</td>
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<td>Guatemala</td>
<td>Apr. 23, 1985</td>
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<td>Hungary</td>
<td>May 4, 1984</td>
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<td>Indonesia</td>
<td>Nov. 5, 1986</td>
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1 An update list of party nations will appear annually in the Department of State’s publication, Treaties in Force. Appendix F will be amended as required to maintain its currency.