to a defect or failure to comply. Commission action to obtain additional information may be based on reports of defects from other reporting entities.


§ 21.61 Failure to notify.

(a) Any director or responsible officer of an entity (including dedicating entity) that is not otherwise subject to the deliberate misconduct provisions of this chapter but is subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(b) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(c) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(d) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(e) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(f) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(g) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(h) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(i) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(j) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(k) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(l) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(m) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(n) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(o) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(p) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(q) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(r) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(s) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(t) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(u) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(v) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(w) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(x) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(y) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(z) Each individual, corporation, partnership, dedicating entity, or other entity subject to the regulations in this part who knowingly and consciously fails to provide the notice required as by § 21.21 shall be subject to

(b) Any NRC licensee or applicant for a license (including an applicant for, or holder of, a permit), applicant for a design certification under part 52 of this chapter during the pendency of its application, applicant for a design certification after Commission adoption of a final design certification rule for that design, or applicant for or holder of a standard design approval under part 52 of this chapter subject to the regulations in this part who fails to provide the notice required by §21.21, or otherwise fails to comply with the applicable requirements of this part shall be subject to a civil penalty as provided by Section 234 of the Atomic Energy Act of 1954, as amended.

(c) The dedicating entity, pursuant to §21.21(c) of this part, is responsible for identifying and evaluating deviations, reporting defects and failures to comply for the dedicated item, and maintaining auditable records of the dedication process. NRC enforcement action can be taken for failure to identify and evaluate deviations, failure to report defects and failures to comply, or failure to maintain auditable records.


§ 21.62 Criminal penalties.

(a) Section 223 of the Atomic Energy Act of 1954, as amended, provides for criminal sanctions for willful violation of, attempted violation of, or conspiracy to violate, any regulation issued under sections 161b, 161i, or 161o of the Act. For purposes of section 223, all the regulations in part 21 are issued under one or more of sections 161b, 161i, or 161o, except for the sections listed in paragraph (b) of this section.

(b) The regulations in part 21 that are not issued under sections 161b, 161i, or 161o for the purposes of section 223 are as follows: §§21.1, 21.2, 21.3, 21.4, 21.5, 21.7, 21.8, 21.61, and 21.62.

[57 FR 55071, Nov. 24, 1992]