

APPENDIX A TO PART 385—FORM MCS-150, MOTOR CARRIER IDENTIFICATION REPORT

(Approved by OMB under control number 2125-0544)

OMB No. 2125-0544

		<h3>MOTOR CARRIER IDENTIFICATION REPORT</h3>																																														
<small>IF THE ABOVE LOCATION IS BLANK, INCORRECT, OR IS A DIVISION OR BRANCH, PLEASE IDENTIFY YOUR COMPANY'S PRINCIPAL OFFICE IN THE SPACE BELOW.</small>																																																
1. NAME OF MOTOR CARRIER			2. DBA NAME																																													
PHYSICAL ADDRESS	3. STREET ADDRESS/ROUTE NUMBER																																															
	4. CITY	5. COUNTY	6. STATE	7. ZIP CODE + 4																																												
8. PRINCIPAL PHONE NUMBER () - - - - -	9. ICC DOCKET NUMBER MC - - - - -	10. USDOT NUMBER USDOT - - - - -	11. OPERATION CLASSIFICATION A. Authorized For Hire B. Exempt For Hire C. Private D. Migrant E. U.S. Mail F. Other _____																																													
12. CARGO CLASSIFICATIONS: (Please circle all that apply) A. General Freight H. Mobile Homes O. Livestock V. Commodities Dry Bulk B. Household Goods I. Machinery, Large Objects P. Grain, Feed, Hay W. Refrigerated Food C. Metal: Sheets, Coils, Rolls J. Fresh Produce Q. Coal/Coke X. Beverages D. Motor Vehicles K. Liquids/Gases R. Meat Y. Paper Products E. Driveaway/Towaway L. Intermodal Containers S. Garbage, Refuse, Trash Z. Other (Specify) _____ F. Logs, Poles, Beams, Lumber M. Passengers T. U.S. Mail G. Building Materials N. Oilfield Equipment U. Chemicals						13. CARRIER OPERATION A. Interstate B. Intrastate Only—HazMat. C. Intrastate Only—Non-HazMat.																																										
14. HAZARDOUS MATERIALS CARRIED (Please circle all that apply) (T)—in Cargo Tanks (P)—in Packages <table border="0" style="width: 100%;"> <tr> <td>A. Explosives A</td><td>T P</td><td>H. Corrosives</td><td>T P</td><td>O. Irritating Material</td><td>T P</td></tr> <tr> <td>B. Explosives B</td><td>T P</td><td>I. Oxidizers</td><td>T P</td><td>P. 'ORM' Material</td><td>T P</td></tr> <tr> <td>C. Explosives C</td><td>T P</td><td>J. Poison A</td><td>T P</td><td>Q. Hazardous Waste</td><td>T P</td></tr> <tr> <td>D. Flammable Liquid</td><td>T P</td><td>K. Poison B</td><td>T P</td><td>R. Etiological Agent</td><td>T P</td></tr> <tr> <td>E. Flammable Solid</td><td>T P</td><td>L. Combustible Liquid</td><td>T P</td><td>S. Blasting Agent</td><td>T P</td></tr> <tr> <td>F. Flammable Gas</td><td>T P</td><td>M. Radioactive Material</td><td>T P</td><td>T. Hazardous Substance</td><td>T P</td></tr> <tr> <td>G. Non-flammable Gas</td><td>T P</td><td>N. Organic Peroxide</td><td>T P</td><td>U. Cryogenics</td><td>T P</td></tr> </table>							A. Explosives A	T P	H. Corrosives	T P	O. Irritating Material	T P	B. Explosives B	T P	I. Oxidizers	T P	P. 'ORM' Material	T P	C. Explosives C	T P	J. Poison A	T P	Q. Hazardous Waste	T P	D. Flammable Liquid	T P	K. Poison B	T P	R. Etiological Agent	T P	E. Flammable Solid	T P	L. Combustible Liquid	T P	S. Blasting Agent	T P	F. Flammable Gas	T P	M. Radioactive Material	T P	T. Hazardous Substance	T P	G. Non-flammable Gas	T P	N. Organic Peroxide	T P	U. Cryogenics	T P
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15. EQUIPMENT	Straight Trucks	Truck Tractors	Trailers	HazMat Cargo Tank Trailers	HazMat Cargo Tank Trucks	Buses	16. DRIVERS SUBJECT TO FMCSR																																									
OWNED								100 Mile Radius _____																																								
TERM LEASED								Beyond 100 Mile Radius _____																																								
TRIP LEASED								TOTAL _____																																								
17. CERTIFICATION STATEMENT (to be completed by an authorized official) I, _____ (please print name) _____ certify that I am familiar with the Federal Motor Carrier Safety Regulations. Under penalties of perjury, I declare that the information entered on this report is, to the best of my knowledge and belief, true, correct and complete. Signature _____ Date _____ Title _____																																																

NOTICE

The Form MCS-150, Motor Carrier Identification Report, must be filed by all motor carriers operating in interstate or foreign commerce. A new motor carrier must file Form MCS-150 within 90 days after beginning operations. Exception: A motor carrier that has received written notification of a safety rating from the Federal Highway Administration (FHWA) need not file the report. To mail, fold the completed report so that the self-addressed postage paid panel is on the outside. This report is required by 49 CFR Part 385 and authorized by 49 U.S.C. 504 (1982 & Supp. III 1985).

The public reporting burden for this collection of information on the Form MCS-150 is estimated by the FHWA to average 20 minutes. If you wish to comment on the accuracy of the estimate or make suggestions for reducing this burden, please direct your comments to Office of Management and Budget and the FHWA at the following addresses:

Office of Management and Budget, Paperwork Reduction Project, Washington, DC 20503

and

Federal Highway Administration, Office of Motor Carrier Field Operations, HFO-10, 400 7th Street, SW, Washington, DC 20590

INSTRUCTIONS FOR COMPLETING THE MOTOR CARRIER IDENTIFICATION REPORT (MCS-150)

(Please Print or Type All Information)

1. Enter the name of the business entity (i.e. corporation, partnership, or individual) that owns and controls the motor carrier operation.
2. If the business entity is operating under a name other than that in Block 1, i.e. "trade name", enter that name. Otherwise, leave blank.
3. Enter the principal place of business (where all safety records are maintained) street address.
4. Enter the city where the principal place of business is located.
5. Enter the name of the county in which the principal place of business is located.
6. Enter the two-letter postal abbreviation for the State, or the name of the Canadian Province or Mexican State, in which the principal place of business is located.
7. Enter the zip code number corresponding with the street address.
8. Enter the telephone number including area code of the principal place of business.
9. Enter the motor carrier 'MC' number under which the Interstate Commerce Commission (ICC) issued your operating authority, if appropriate. Otherwise, enter "N/A."
10. Enter the identification number assigned to your motor carrier operation by the

U.S. Department of Transportation, if known. Otherwise, enter "unknown."

11. Circle appropriate classification. Circle all that apply. If F "other" is circled, enter the type of operation in the space provided.
 - A. Authorized For Hire
 - B. Exempt For Hire
 - C. Private
 - D. Migrant
 - E. U.S. Mail
 - F. Other _____

Authorized For Hire— transportation for compensation as a common or contract carrier of property, owned by others, or passengers under the provisions of the ICC.

Exempt For Hire— transportation for compensation of property exempt from the economic regulation by the ICC.

Private— transportation of property, owned or leased by the motor carrier, in furtherance of a commercial enterprise other than for-hire transportation.

Migrant— interstate transportation, including a contract carrier, but not a common carrier of 3 or more migrant workers to or from their employment by any motor vehicle other than a passenger automobile or station wagon.

U.S. Mail— transportation of U.S. Mail under contract with the U.S. Postal Service.

12. Circle the letter of the types of cargo you usually transport. If Z "other," is circled enter the name of the commodity in the space provided.
13. Circle the appropriate type of operation.
 - A. Interstate
 - B. Intrastate, transporting hazardous materials (49 CFR 100-178)
 - C. Intrastate, NOT transporting hazardous materials.

Interstate— transportation of persons or property across State lines, *including international boundaries*, or wholly within one State as part of a through movement that originates or terminates in another State or country.

Intrastate— transportation of persons or property wholly within one State.
14. Circle the letter of *all* of the types of hazardous materials (HM) you transport. In the columns following the HM types, either circle *T* if the HM is transported in cargo tanks or *P* if the HM is transported in other packages.
15. Enter the total number of vehicles owned, term leased and trip leased, that are, or can be, operational the day this form is completed.
16. Enter the number of drivers used on an average work day. Part-time, casual, term leased, trip leased and company drivers are to be included.

100 mile radius driver— a driver that operates within a *100 air-mile radius* of the normal work reporting location.

17. Print or type the name, in the space provided, of the individual authorized to sign documents on behalf of the entity listed in Block 1. That individual must sign, date, and show his or her title in the spaces provided. (Certification Statement, see 49 CFR 385.21 and 385.23)

[56 FR 5365, Feb. 11, 1991]

APPENDIX B TO PART 385—EXPLANATION OF SAFETY RATING PROCESS

(a) Section 215 of the Motor Carrier Safety Act of 1984 (49 U.S.C. 31144) directed the Secretary of Transportation to establish a procedure to determine the safety fitness of owners and operators of commercial motor vehicles operating in interstate or foreign commerce. The Secretary, in turn, delegated this responsibility to the Federal Highway Administration (FHWA).

(b) As directed, FHWA promulgated a safety fitness regulation, entitled "Safety Fitness Procedures," which established a procedure to determine the safety fitness of motor carriers through the assignment of safety ratings and established a "safety fitness standard" which a motor carrier must meet to obtain a *satisfactory* safety rating.

(c) To meet the safety fitness standard, a motor carrier must demonstrate to the FHWA that it has adequate safety management controls in place which function effectively to ensure acceptable compliance with the applicable safety requirements. A "safety fitness rating methodology" (SFRM) was developed by the FHWA, which uses data from compliance reviews (CRs) and roadside inspections to rate motor carriers.

(d) The safety rating process developed by FHWA's Office of Motor Carriers is used to:

1. Evaluate safety fitness and assign one of three safety ratings (*satisfactory*, *conditional* or *unsatisfactory*) to motor carriers operating in interstate commerce. This process conforms to 49 CFR 385.5, Safety fitness standard, and §385.7, Factors to be considered in determining a safety rating.

2. Identify motor carriers needing improvement in their compliance with the Federal Motor Carrier Safety Regulations (FMCSRs) and applicable Hazardous Material Regulations (HMRs). These are carriers rated *unsatisfactory* or *conditional*.

I. SOURCE OF DATA FOR RATING METHODOLOGY

(a) The FHWA's rating process is built upon the operational tool known as the CR. This tool was developed to assist Federal and State safety specialists in gathering pertinent motor carrier compliance and accident information.

(b) The CR is an in-depth examination of a motor carrier's operations and is used (1) to rate unrated motor carriers, (2) to conduct a follow-up investigation on motor carriers rated *unsatisfactory* or *conditional* as a result of a previous review, (3) to investigate complaints, or (4) in response to a request by a motor carrier to reevaluate its safety rating. Documents such as those contained in driver qualification files, records of duty status, vehicle maintenance records, and other records are thoroughly examined for compliance with the FMCSRs and HMRs. Violations are cited on the CR document. Performance-based information, when available, is utilized to evaluate the carrier's compliance with the vehicle regulations. Recordable accident information is also collected.

II. CONVERTING CR INFORMATION INTO A SAFETY RATING

(a) The FHWA gathers information through an in-depth examination of the motor carrier's compliance with identified "acute" or "critical" regulations of the FMCSRs and HMRs.

(b) Acute regulations are those identified as such where noncompliance is so severe as to require immediate corrective actions by a motor carrier regardless of the overall safety posture of the motor carrier. An example of an acute regulation is §383.37(b), allowing, requiring, permitting, or authorizing an employee with more than one Commercial Driver's License (CDL) to operate a commercial motor vehicle. Noncompliance with §383.37(b) is usually discovered when the motor carrier's driver qualification file reflects that the motor carrier had knowledge of a driver with more than one CDL, and still permitted the driver to operate a commercial motor vehicle. If the motor carrier did not have such knowledge or could not reasonably be expected to have such knowledge, then a violation would not be cited.

(c) Critical regulations are those identified as such where noncompliance relates to management and/or operational controls. These are indicative of breakdowns in a carrier's management controls. An example of a critical regulation is §395.3(a)(1), requiring or permitting a driver to drive more than 10 hours.

(d) The list of the acute and critical regulations which are used in determining safety ratings is included at the end of this document.

(e) Noncompliance with acute regulations and patterns of non-compliance with critical regulations are quantitatively linked to inadequate safety management controls and usually higher than average accident rates. The FHWA has used noncompliance with acute regulations and patterns of noncompliance with critical regulations since 1989 to determine motor carriers' adherence to the Safety fitness standard in §385.5.