116th Congress, 1st Session – – – – – – – – – – – – – House Document 116–49

FINANCIAL DISCLOSURE REPORT OF MEMBERS OF THE BOARD OF THE OFFICE OF CONGRESSIONAL ETHICS

COMMUNICATION

FROM

THE CLERK OF THE HOUSE OF REPRESENTATIVES

TRANSMITTING

THE ANNUAL COMPILATION OF FINANCIAL DISCLOSURE STATEMENTS FILED BY THE MEMBERS OF THE BOARD OF THE OFFICE OF CONGRESSIONAL ETHICS FOR THE PERIOD BETWEEN JANUARY 1, 2018, AND DECEMBER 31, 2018, PURSUANT TO CLAUSE 3 OF HOUSE RULE XXVI

JULY 23, 2019.—Referred to the Committee on Ethics and ordered to be printed

U.S. GOVERNMENT PUBLISHING OFFICE
89–011 WASHINGTON : 2019

LETTER OF TRANSMITTAL

OFFICE OF THE CLERK,
HOUSE OF REPRESENTATIVES,
Washington, DC, July 22, 2019.

Hon. NANCY PELOSI,
The Speaker, The Capitol,
House of Representatives, Washington, DC.

DEAR MADAM SPEAKER: I hereby submit the annual compilation of financial disclosure reports filed with the Clerk of the House of Representatives by members of the board of the Office of Congressional Ethics, pursuant to Clause 3 of House Rule XXVI.

This compilation contains the financial disclosure reports required to be filed by members of the board on or before May 15 of each calendar year after any year in which they perform the duties of that position, and amendments thereto. The compilation includes reported financial information from between January 1, 2018, and December 31, 2018.

Clause 3 of House Rule XXVI further provides that the annual compilation of reports filed with the Clerk by members of the board be printed as a House document and made available to the public by August 1 of each year. This compilation is submitted accordingly.

With best wishes, I am

Sincerely,

CHERYL L. JOHNSON.

Enclosures.

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2019 MAY 14 AM 10: 20

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Date Received by Office of the Clerk

Page Number
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FINANCIAL DISCLOSURE REPORT
Office of Congressional Ethics
United States House of Representatives

Name (*Print last, first, middle initial*)
BARNES, MICHAEL D.

Step 1: Read the instructions for Parts I through V on the following pages.

Step 2: For each statement below, check Yes or No to describe your situation.

I. I have reportable assets or sources of income for myself, my spouse, or my dependent children.	Yes ☑	No ☐
II. I have reportable liabilities (debts) for myself, my spouse, or my dependent children.	Yes ☐	No ☑
III. I have reportable outside positions for myself.	Yes ☑	No ☐
IV. I have reportable agreements or arrangements for myself.	Yes ☐	No ☑
V. I have reportable gifts or travel reimbursements for myself, my spouse, or my dependent children.	Yes ☐	No ☑

Step 3: If you selected Yes for any statement, you must describe the reportable interests that you have in the corresponding Part (I, II, III, IV, or V) of the form.

Step 4: Sign and date the form.

Step 5: Submit the completed form to the Legislative Resource Center, U.S. House of Representatives, B-81 Cannon House Office Building, Washington, DC 20515.

I certify that the statements I have made on this form and all attached statements are true, complete, and correct to the best of my knowledge.

Signature of Member	Date (*mm/dd/yy*)
	4/7/19

OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
BARNES, MICHAEL D.	2

Part I: Assets and Income

Report for Yourself, Spouse, and Dependent Child:	Do Not Report:
• Assets held for investment or the production of income that ended the reporting period with a value greater than $1,000. In addition, assets from which more than $1,000 in income was received during the reporting period. Reportable assets include, but are not limited to: - Assets such as stocks, bonds, annuities, trust holdings, partnership interests, life insurance, investment real estate, or a privately-held trade or business - Sector mutual funds: those funds invested in a particular industry, business, or location, such as ABC Electronics Fund or XYZ Canada Fund (report the full name of the fund, not just the family fund name) - Holdings of retirement plans, such as 401(k)s or IRAs, investment life insurance, or variable annuities (report each holding unless listed in the Do Not Report section) - Defined benefit pension plans provided by a former employer (include the name of the employer)	• Federal Government retirement benefits • Thrift Savings Plan • Certificates of deposit, savings or checking accounts • Term life insurance • Money market mutual funds and money market accounts • Your personal residence, unless you rent all or part of it out • U.S. Government Treasury bonds, bills, notes, and savings bonds • Diversified mutual funds, such as ABC Equity Value Fund or XYZ Large Capital Fund • Diversified funds within an employee benefit plan • Money owed to you, your spouse, or dependent child by a spouse, parent, sibling, or child

Also Report:	Do Not Report:
• For yourself: (1) all sources of salary, fees, commissions, and other earned income greater than $1,000, (2) honoraria greater than $1,000, and (3) other non-investment income such as scholarships, prizes, and gambling income greater than $1,000 • For your spouse: (1) all sources of salary, fees, commissions, and other earned income greater than $1,000, and (2) honoraria greater than $1,000	• Dependent child's earned income • Veterans' benefits • Federal Government salary • Social Security benefits

Important Definitions

Diversified Mutual Fund – A mutual fund that does not have a stated policy of concentrating its investments in one industry, business, or single country other than the United States.
Sector Mutual Fund – A mutual fund that concentrates its investments in an industry, business, single country other than the United States, or bonds of a single state within the United States.
Diversified Fund within an Employee Benefit Plan – An employee benefit plan fund that has a written policy of varying investments without concentration in one industry, business, or single country other than the United States.
Dependent Child – A son, daughter, stepson or stepdaughter who is either unmarried and under age 21 and living in the filer's house, or considered dependent under the U.S. tax code.

Reportable Information – Go to the last page to see examples of how to report assets and income.

Specific stock, bond, sector mutual fund, type/location of real estate, etc. (*Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.*) **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** (*Include brief description.*) You may distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. Do not include actual names.	**No longer held**
1 Vacation Home, Naples, FL	☐
2 Vacation Home, Ocean City, MD	☐
3 Rental Condo, Naples, FL	☐
4 Rental Home, Rockville, MD	☐

5. Rental Condo, Rockville, MD

OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
BARNES, MICHAEL D.	3

Part I: Assets and Income

Continuation Page

Specific stock, bond, sector mutual fund, type/location of real estate, etc. (*Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.*) **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** (*Include brief description.*) You may distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. Do not include actual names.	No longer held
1 (See also attached lists of	☐
2 investments held by me and	☐
3 my wife, JOAN C. POLLITT)	☐
4	☐
5	☐
6	☐
7	☐
8	☐
9	☐
10	☐
11	☐
12	☐
13	☐
14	☐
15	☐
16	☐
17	☐
18	☐
19	☐
20	☐

Barnes Account Link Group Positions 12/31/18

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HON	HONEYWELL INTERNATIONAL INC
IUGXX	INVESCO PREM US GOVT MNY INST
JMGRX	JANUS HENDERSON ENTERPRISE I
JNJ	JOHNSON & JOHNSON
JPM	JPMORGAN CHASE & CO
JPM.A	JPMORGAN CHASE & CO 5.45%-P
LNT	ALLIANT ENERGY CORP
MA	MASTERCARD INC CL A
MAR	MARRIOTT INTL INC NEW CL A
MDT	MEDTRONIC PLC SHS
MMC	MARSH & MCLENNAN COS INC
MSFT	MICROSOFT CORP
NEE	NEXTERA ENERGY INC
NKE	NIKE INC B
ODVYX	OPPENHEIMER DEVELOPING MKTS Y
OGIYX	OPPENHEIMER GLOBAL OPP Y
OIGYX	OPPENHEIMER INTL GROWTH Y
PEP	PEPSICO INC NC
PFE	PFIZER INC
PICYX	PIONEER BOND Y
PIFZX	PGIM SHORT-TERM CORP BOND Z
PKSFX	VIRTUS KAR SMALL-CAP CORE I
PNC	PNC FINL SVCS GP
PNC.P	PNC FINL-P 6.125% FLTS 5/01/22
PRDGX	T ROWE PRICE DIV GR FD
PRDSX	T ROWE PRICE QM US SM CP GR EQ
PSX	PHILLIPS 66 COM
RPMGX	T ROWE PRICE MID CAP GR
SBLYX	CLEARBRIDGE LARGE CAP GWTH I
STT.C	STATE STREET CORP 5.25%-C
T	AT&T INC
TBGVX	TWEEDY BROWN GLOBAL VALUE FD
TSM	TAIWAN SMCNDCTR MFG CO LTD ADR
UNH	UNITEDHEALTH GP INC
V	VISA INC CL A
VZ	VERIZON COMMUNICATIONS
WBA	WALGREENS BOOTS ALLIANCE INC
WEC	WEC ENERGY GROUP INC COM
WFMIX	WELLS FARGO SPECIAL MDCP VL I
WMFFX	AMERICAN WA MUTUAL F2

This information and data is being provided at your request and is from sources considered reliable, but their accuracy and completeness is not guaranteed. It has been prepared for illustrative purposes only and is not intended to be used as a substitute for the transaction statements you receive from Morgan Stanley, LLC. Please compare the data on this document carefully with your transaction statemetns to verify its accuracy. This information is based upon the market value of your account as of the close of business on Dec. 31, 2018 and is subject to daily market fluctuation.

Barnes Account Link Group Positions

12/31/18

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Symbol/CUSIP	Security Description
00206RAZ5	AT&T INC
00206RBD3	AT&T INC
037833DJ6	APPLE INC
06051GFT1	BANK OF AMERICA CORP
06051GFW4	BANK OF AMERICA CORP
191216BG4	COCA-COLA CO/THE
22160KAF2	COSTCO WHOLESALE CORP
25468PCN4	WALT DISNEY CO/THE
25468PDP8	WALT DISNEY CO/THE
26138EAQ2	DR PEPPER SNAPPLE GROUP INC
46625HJR2	JPMORGAN CHASE & CO
48127HAA7	JPMORGAN CHASE & CO
842587CQ8	SOUTHERN CO/THE
912796PK9	UNITED STATES TREASURY BILL
912796QT9	UNITED STATES TREASURY BILL
912828Q52	UNITED STATES TREASURY NOTE
912828WS5	UNITED STATES TREASURY NOTE
91324PCM2	UNITEDHEALTH GROUP INC
92343VBC7	VERIZON COMMUNICATIONS INC
AAPL	APPLE INC
ABBV	ABBVIE INC COM
ACN	ACCENTURE PLC IRELAND CL A
ADBE	ADOBE INC
AMGN	AMGEN INC
AMZN	AMAZON COM INC
AWK	AMERICAN WATER WORKS CO
BA	BOEING CO
BAC	BANK OF AMERICA CORP
BBT	BB & T CORP
BBT.E	BB&T CORPORATION 5.625% SER-E
BIIB	BIOGEN INC COM
BKNG	BOOKING HOLDINGS INC
BLK	BLACKROCK INC
BMY	BRISTOL MYERS SQUIBB CO
CNI	CANADIAN NATL RAILWAY CO
COST	COSTCO WHOLESALE CORP NEW
CSVZX	COLUMBIA SELECT LG CAP VAL I
CVX	CHEVRON CORP
DCCIX	DELAWARE SMALL CAP CORE INST
DDVIX	DELAWARE VALUE INSTL
DHR	DANAHER CORPORATION
DIS	WALT DISNEY CO HLDG CO
DNKN	DUNKIN BRANDS GROUP INC
FUNYX	PIONEER FUNDAMENTAL GROWTH Y
GOOGL	ALPHABET INC CL A
HD	HOME DEPOT INC
HLMIX	HARDING LOEVNER INTL EQTY INST

Pollitt Account Link Group Positions 12/31/18

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Symbol/CUSIP	Security Description
AAPL	APPLE INC
ACN	ACCENTURE PLC IRELAND CL A
ADBE	ADOBE INC
AMGN	AMGEN INC
AMZN	AMAZON COM INC
AWK	AMERICAN WATER WORKS CO
BA	BOEING CO
BAC	BANK OF AMERICA CORP
BKNG	BOOKING HOLDINGS INC
COST	COSTCO WHOLESALE CORP NEW
CSCO	CISCO SYS INC
CVX	CHEVRON CORP
DDVIX	DELAWARE VALUE INSTL
DHR	DANAHER CORPORATION
DIS	WALT DISNEY CO HLDG CO
FTV	FORTIVE CORP
FUNYX	PIONEER FUNDAMENTAL GROWTH Y
GLD	SPDR GOLD TR GOLD SHS
GOOG	ALPHABET INC CL C
GOOGL	ALPHABET INC CL A
HD	HOME DEPOT INC
HON	HONEYWELL INTERNATIONAL INC
IUGXX	INVESCO PREM US GOVT MNY INST
JNJ	JOHNSON & JOHNSON
JPM	JPMORGAN CHASE & CO
LNT	ALLIANT ENERGY CORP
MA	MASTERCARD INC CL A
MAR	MARRIOTT INTL INC NEW CL A
MMC	MARSH & MCLENNAN COS INC
MSFT	MICROSOFT CORP
NEE	NEXTERA ENERGY INC
NKE	NIKE INC B
ODVYX	OPPENHEIMER DEVELOPING MKTS Y
OIGYX	OPPENHEIMER INTL GROWTH Y
PEGZX	PGIM JENNISON MID CAP GRW Z
PEP	PEPSICO INC NC
PFE	PFIZER INC
PNC	PNC FINL SVCS GP
PRDGX	T ROWE PRICE DIV GR FD
PRDSX	T ROWE PRICE QM US SM CP GR EQ
PSX	PHILLIPS 66 COM
SBLYX	CLEARBRIDGE LARGE CAP GWTH I
T	AT&T INC
TBGVX	TWEEDY BROWN GLOBAL VALUE FD
TSM	TAIWAN SMCNDCTR MFG CO LTD ADR
UNH	UNITEDHEALTH GP INC

Pollitt Account Link Group Positions 12/31/18

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V	VISA INC CL A
WBA	WALGREENS BOOTS ALLIANCE INC
WEC	WEC ENERGY GROUP INC COM
WMFFX	AMERICAN WA MUTUAL F2

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BERNSTEIN

Holdings - JOAN C. POLLITT
As of Decem... 2018

Holding	Ticker
U.S. $ CASH BALANCE	USD CASH
PENNSYLVANIA ST TURNPIKE COMMI 5.000% DUE 06/01/2020	
NEW YORK ST DORM AUTH ST PERSO 5.000% DUE 02/15/2028	
NEW YORK NY 5.000% DUE 08/01/2023	
REGL TRANSPRTN DIST CO COPS 5.000% DUE 06/01/2028	
NEW YORK CITY NY TRANSITIONAL 5.000% DUE 11/01/2025	
MINNESOTA ST 5.000% DUE 08/01/2020	
OHIO ST 5.000% DUE 08/01/2020	
ORLANDO & ORANGE CNTY FL EXPRE 5.000% DUE 07/01/2025	
HOUSTON TX UTILITY SYS REVENUE 5.000% DUE 11/15/2022	
IDAHO ST HSG & FIN ASSN 5.000% DUE 07/15/2022	
ILLINOIS ST SALES TAX REVENUE 5.000% DUE 06/15/2023	
WASHINGTON FEDERAL HIGHWAY GRA 5.000% DUE 09/01/2023	
HOUSTON TX 5.000% DUE 03/01/2029	
MET WASHINGTON DC ARPTS AUTH A 5.000% DUE 10/01/2027	
TENNESSEE ST ENERGY ACQUISITIO 4.000% DUE 11/01/2049	
MET GOVT NASHVILLE & DAVIDSONC 5.000% DUE 07/01/2030	
PHILADELPHIA PA GAS WKS REVENU 5.000% DUE 10/01/2022	
INDIANA ST FIN AUTH REVENUE 5.000% DUE 02/01/2027	
UNIV HOSPS & CLINICS AUTH WI 5.000% DUE 04/01/2031	
CONNECTICUT ST 5.000% DUE 10/15/2023	
CHICAGO IL TRANSIT AUTH SALES 5.250% DUE 12/01/2025	
CONNECTICUT ST 5.000% DUE 10/15/2021	
SPOKANE WA WATR & WSTWTR SYS R 5.000% DUE 12/01/2023	
LAKE CNTY FL SCH BRD COPS 5.000% DUE 06/01/2029	
TEXAS ST TRANSPRTN COMMISSION 5.000% DUE 08/15/2029	
MET TRANSPRTN AUTH NY REVENUE 5.000% DUE 11/15/2032	
MIDWAY TX INDEP SCH DIST 5.000% DUE 08/01/2022	
DENVER CO CONVENTION CENTER HO 5.000% DUE 12/01/2024	
NEVADA ST 5.000% DUE 04/01/2021	
CLARK CNTY NV SCH DIST 5.000% DUE 06/15/2025	
BREVARD CNTY FL HLTH FACS AUTH 5.000% DUE 04/01/2026	
NEW YORK ST DORM AUTH ST PERSO 5.000% DUE 12/15/2022	
NEVADA ST HIGHWAY IMPT REVENUE 5.000% DUE 12/01/2027	
ALLEGHENY CNTY PA HOSP DEV AUT 5.000% DUE 04/01/2025	
MICHIGAN ST STRATEGIC FUND LTD 5.000% DUE 06/30/2025	
SOUTH CAROLINA ST PUBLIC SVC A 5.000% DUE 12/01/2029	
ILLINOIS ST 5.000% DUE 05/01/2022	
NEW JERSEY ST TURNPIKE AUTH 5.000% DUE 01/01/2029	
NEW JERSEY ST TRANSPRTN TRUST 5.000% DUE 06/15/2027	
ILLINOIS ST 5.000% DUE 01/01/2021	
BERNSTEIN TX MNG INTL PORT-I(1)	SNIVX
AB SELECT US EQUITY PORT- ADV(1)	AUUYX
AB EMERGING MARKETS PORT(1)	SNEMX
AB SMALL CAP CORE PORTFOLIO ADV CL	SCRYX
AB DISCOVERY GRWTH FUND- AD	CHCYX
AB DISCOVERY VALUE-ADV	ABYSX
ALPHABET INC-CL C	GOOG
MICROSOFT CORP	MSFT
APPLE INC	AAPL
BANK OF AMERICA CORP	BAC
JPMORGAN CHASE & CO	JPM
VISA INC - CLASS A SHARES	V

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Holdings - JOAN C. POLLITT
As of December 31, 2018

Holding	Ticker
HOME DEPOT INC	HD
PFIZER INC	PFE
BERKSHIRE HATHAWAY INC-CL B	BRK/B
COMCAST CORP-CLASS A	CMCSA
UNITEDHEALTH GROUP INC	UNH
FACEBOOK INC-A	FB
WALMART INC	WMT
AMERICAN ELECTRIC POWER	AEP
MID-AMERICA APARTMENT COMM	MAA
WELLS FARGO & COMPANY	WFC
TJX COMPANIES INC	TJX
ANTHEM INC	ANTM
NIKE INC -CL B	NKE
ORACLE CORP	ORCL
VERIZON COMMUNICATIONS INC	VZ
PEPSICO INC	PEP
PROCTER & GAMBLE CO/THE	PG
REGENCY CENTERS CORP	REG
PROGRESSIVE CORP	PGR
CHEVRON CORP	CVX
BOEING CO/THE	BA
NISOURCE INC	NI
MERCK & CO. INC.	MRK
HONEYWELL INTERNATIONAL INC	HON
GILEAD SCIENCES INC	GILD
AUTOZONE INC	AZO
ROSS STORES INC	ROST
INTEL CORP	INTC
COSTCO WHOLESALE CORP	COST
CISCO SYSTEMS INC	CSCO
WALT DISNEY CO/THE	DIS
BIOGEN INC	BIIB
NORTHROP GRUMMAN CORP	NOC
XILINX INC	XLNX
EVEREST RE GROUP LTD	RE
ALTRIA GROUP INC	MO
SUN COMMUNITIES INC	SUI
MAGNA INTERNATIONAL INC	MGA
EOG RESOURCES INC	EOG
ZOETIS INC	ZTS
FIDELITY NATIONAL INFORMATION	FIS
NORFOLK SOUTHERN CORP	NSC
CUBESMART	CUBE
FNF GROUP	FNF
EXXON MOBIL CORP	XOM
MCDONALD'S CORP	MCD
CBRE GROUP INC	CBRE
T-MOBILE US INC	TMUS
CME GROUP INC	CME
ADOBE INC	ADBE
ROYAL DUTCH SHELL PLC-ADR	RDS/B
EDWARDS LIFESCIENCES	EW
GOLDMAN SACHS GROUP INC	GS
DELTA AIR LINES INC	DAL
RAYTHEON COMPANY	RTN
HP INC	HPQ

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Holdings - JOAN C. POLLITT

Holding	Ticker
CONSTELLATION BRANDS INC-A	STZ
TEXAS INSTRUMENTS INC	TXN
MEDTRONIC PLC	MDT
BOOKING HOLDINGS INC	BKNG
NOKIA CORP-SPON ADR	NOK
TOTAL SYSTEM SERVICES INC	TSS
MARATHON PETROLEUM CORP	MPC
CDW CORP/DE	CDW
SYNCHRONY FINANCIAL	SYF
S&P GLOBAL INC	SPGI
WESTLAKE CHEMICAL CORP	WLK
XEROX CORP	XRX
DOLLAR GENERAL CORP	DG
US FOODS HOLDING CORP	USFD
COGNIZANT TECH SOLUTIONS-A	CTSH
VERTEX PHARMACEUTICALS INC	VRTX
SCHLUMBERGER LTD	SLB
SYSCO CORP	SYY
ULTA BEAUTY INC	ULTA
LYONDELLBASELL INDU-CL A	LYB
JOHNSON & JOHNSON	JNJ
AB LARGE CAP GRWTH - ADV(1)	APGYX
AB VALUE FUND- ADV(1)	ABVYX
BROADRIDGE FINANCIAL SOLUTIO	BR
AB SECURITIZED ASSETS FUND (DELAWARE) L.P. CL F/A (As Of 2/28/2019)	AA91060
SPX US 03/15/19 P2385	AJ00371
SPX US 03/15/19 C2620	AJ01954
SPX US 03/15/19 P2170	AJ01987
SPX US 03/15/19 C2835	AJ00360
SPX US 01/18/19 C2710	AJ00382
SPX US 01/18/19 P2340	AJ01976
SPX US 01/18/19 C2480	AJ01965
SPX US 01/18/19 P2570	AJ00393
TAX-AWARE OVERLAY B PORTFOLIO CLASS 2	SBTTX
TAX-AWARE OVERLAY A PORTFOLIO CLASS 2	SATTX

OCE Financial Disclosure Form

Name *(Print last, first, middle initial)*	Page Number
BARNES, MICHAEL D.	11

Part II: Liabilities

Report for Yourself, Spouse, and Dependent Child:	Do Not Report:
• Liabilities that exceeded $10,000 during the reporting period	• Any liability, such as a mortgage, a student loan, or a credit card account, from a financial institution or business entity granted on terms made available to the general public • Loans secured by automobiles, household furniture, or appliances, unless the loan exceeds the purchase price of the item it secures • Liabilities that you owe to your spouse or to the parent, sibling, or child of you, your spouse, or your dependent child

Reportable Information – Go to the last page to see examples of how to report liabilities.

Name of creditor *(include city and state where creditor is located)*	Type of liability *(personal loan, margin account, etc.)*	
1 NONE		
2		
3		
4		
5		
6		
7		
8		
9		
10		

OCE Financial Disclosure Form

Name (Print last, first, middle initial)	Page Number
BARNES, MICHAEL D.	12

Part III: Outside Positions

Report for Yourself:	Do Not Report:
• All positions outside the U.S. Government held at any time during the reporting period, whether or not you were compensated and whether or not you currently hold that position. Positions include an officer, director, employee, trustee, general partner, proprietor, representative, executor, or consultant of any of the following: - Corporation, partnership, trust, or other business entity - Non-profit or volunteer organization - Educational institution - State or Local Government	• Any position with a - Religious entity - Social entity - Fraternal entity - Political entity • Any position held by your spouse or dependent child • Any position that you hold as part of your official duties

Reportable Information – Go to the last page to see examples of how to report outside positions.

Organization (include city and state where organization is located)	Type of organization	Position	No longer held
1 Center for International Policy Washington, DC	Think Tank	Senior Fellow	☐
2 WGL Holdings, Inc. Washington, DC	Energy Holding Company	Lead Director	☑
3 Washington Gas Washington, DC	Natural Gas Distribution Company	Lead Director	☑
4 Fund for Montgomery Bethesda, MD	Community Promotion Group	Co-Chair	☑
5			☐
6			☐
7			☐
8			☐
9			☐
10			☐

OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
BARNES, MICHAEL D.	13

Part IV: Agreements or Arrangements

Report Your Agreements or Arrangements for:	Do Not Report:
• Continuing participation in an employee pension or benefit plan maintained by a current or former employer • A leave of absence • Future employment, including date you accepted employment offer • Continuation of payment by a current or former employer (including severance payments)	• Any agreement or arrangement related to your employment by the Federal Government • Spouse's and dependent child's agreements or arrangements • Continuing participation in a defined contribution plan, such as a 401(k) plan, to which a former employer is no longer making contributions

Reportable Information – Go to the last page to see examples of how to report agreements and arrangements.

Entity with which you have an agreement or arrangement (*include city and state where entity is located*)	Terms of Agreement or Arrangement	
1 NONE		
2		
3		
4		
5		
6		
7		
8		
9		
10		

OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
BARNES, MICHAEL D.	14

Part V: Gifts and Travel Reimbursements

Report for Yourself, Spouse, and Dependent Child:	Do Not Report:
• Any gifts or travel reimbursements (items such as lodging, transportation, and food) totaling more than $390* from any one source during the reporting period; include where you traveled, the purpose, and date(s) of the trip for travel gifts and reimbursements * If you received more than one gift from one source: 1. Determine the value of each item you received from that source 2. Ignore each item valued at $156 or less 3. Add the value of those items valued at more than $156; if the total is more than $390, then you must list those items on this form	• Anything received from relatives, the U.S. Government, D.C., state, or local governments • Bequests and other forms of inheritance • Gifts and travel reimbursements given to your office in connection with your official travel • Gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises • Anything received by your spouse or dependent child totally independent of their relationship to you

Reportable Information – Go to the last page to see examples of how to report gifts and travel reimbursements.

Source	Description	
1 NONE		
2		
3		

HAND DELIVERED

Date Received by Office of the Clerk

2019 MAY 14 AM 10: 22

Page Number 1

FINANCIAL DISCLOSURE REPORT
Office of Congressional Ethics
United States House of Representatives

Name (*Print last, first, middle initial*) Eagen III, James M.	**Member or Alternate Member?** Member
Reporting Status New Member/Alternate ☐ Annual ☒	**If New Member/Alternate, Date of Appointment to Position (*mm/dd/yy*)**

Step 1: Read the instructions for Parts I through V on the following pages.

Step 2: For each statement below, check Yes or No to describe your situation.

I. I have reportable assets or sources of income for myself, my spouse, or my dependent children.	**Yes ☒**	**No ☐**
II. I have reportable liabilities (debts) for myself, my spouse, or my dependent children.	**Yes ☐**	**No ☒**
III. I have reportable outside positions for myself.	**Yes ☒**	**No ☐**
IV. I have reportable agreements or arrangements for myself.	**Yes ☒**	**No ☐**

Step 3: If you selected "Yes" for any statement, you must describe the reportable interests (but not values) that you have in the corresponding Part (I, II, III, or IV) of the form.

Step 4: Sign and date the form.

Step 5: Submit the completed form to the Legislative Resource Center, U.S. House of Representatives, B-106 Cannon House Office Building, Washington, DC 20515.

I certify that the statements I have made on this form and any attached statements are true, complete, and correct to the best of my knowledge.

Signature of Member/Alternate Member	**Date (*mm/dd/yy*)** 05/5/2019

OCE Financial Disclosure Form

Name Eagen, James M.	Page Number 2

Part I: Assets and Sources of Income

Report for Yourself, Spouse, and Dependent Child:	Do Not Report:
• Assets held for investment with a value greater than $1,000 at the end of the reporting period OR assets held for investment which produced more than $200 in income during the reporting period, including but not limited to: – Assets such as stocks, bonds, annuities, trust holdings, partnership interests, life insurance, investment real estate, or a privately-held trade or business – Sector mutual funds: those funds invested in a particular industry, business, or location such as ABC Electronics Fund or XYZ Canada Fund (report the full name of the fund, not just the general family fund name) – Holdings of retirement plans, such as 401(k)s or IRAs (list each holding except diversified mutual funds) – Holdings of investment life insurance – Holdings of variable annuities – Defined benefit pension plans provided by a former employer (include the name of the employer)	• Federal Government retirement benefits • Thrift Savings Plan • Certificates of deposit, savings or checking accounts • Term life insurance • Money market mutual funds and money market accounts • Your personal residence, unless you rent all or part of it out • Diversified mutual funds, such as ABC Equity Value Fund or XYZ Large Capital Fund • U.S. Government Treasury bonds, bills, notes, and savings bonds • Money owed to you, your spouse, or dependent child by a spouse, parent, sibling, or child

Also Report:	Do Not Report:
• For yourself: (1) all sources of salary, fees, commissions, and other earned income greater than $200, (2) honoraria greater than $200, and (3) other non-investment income such as scholarships, prizes, and gambling income greater than $200 • For your spouse: (1) all sources of salary, fees, commissions, and other earned income greater than $1,000, and (2) honoraria greater than $200	• Dependent child's earned income • Veterans' benefits • Federal Government salary • Social Security benefits

Important Definitions

Diversified Mutual Fund – A mutual fund that does not have a stated policy of concentrating its investments in one industry, business, or single country other than the United States.
Sector Mutual Fund – A mutual fund that concentrates its investments in an industry, business, single country other than the United States, or bonds of a single state within the United States.
Dependent Child – A son, daughter, stepson or stepdaughter who is either unmarried and under age 21 and living in the filer's house, **or** considered dependent under the U.S. tax code.

Reportable Information – Go to the last page to see examples of how to report assets and sources of income.

Specific stock, bond, sector mutual fund, type/location of real estate, etc. *(Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.)* **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** *(Include brief description.)* **You may choose** to distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. Do not include actual names.	**No longer held**
1 Purgatory Resort/Mountain Capital Partners, salary	☐
2 (S) On The Cusp Tutoring LLC, fees	☐
3 (S) Educational Testing Service	☒
4 (S) 9R Durango High School, Durango, CO	☐
5 (J) 545 Cottonwood Creek Road, Durango, CO	☐

OCE Financial Disclosure Form

Eagen, James M.	Page Number 3

Part I: Assets and Sources of Income

Continuation Page

Specific stock, bond, sector mutual fund, type/location of real estate, etc. (*Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.*) **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** (*Include brief description.*) **You may choose** to distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. Do not include actual names.	**No longer held**
1 T Rowe Price Real Estate Fund Inc. (IRA)	☐
2 Abbvie Inc. Stock (IRA)	☐
3 Air Products & Chemicals Inc. Stock (IRA)	☐
4 Aflac Inc. Stock (IRA)	☐
5 Altria Group, Inc. Stock (IRA)	☐
6 American Electric Power Stock (IRA)	☐
7 BB&T Corp (IRA)	☐
8 Ameriprise Financial Inc. Stock (IRA)	☐
9 Arthur J. Gallagher & Co. Stock (IRA)	☐
10 AT&T Stock (IRA)	☐
11 Amgen Inc. Stock (IRA)	☐
12 Blackrock Inc. Stock (IRA)	☐
13 Chevron Corporation Stock (IRA)	☐
14 Cisco Systems Inc. Stock (IRA)	☐
15 CMS Energy Corp (IRA)	☐
16 Coca-Cola Company Stock (IRA)	☐
17 Bristol Myers Squibb Co. Stock (IRA)	☐
18 Crown Castle International Corp Stock (IRA)	☐
19 Eaton Corp. PLC Stock (IRA)	☒
20 Eli Lilly & Co. Stock (IRA)	☐

OCE Financial Disclosure Form

Eagen, James M.	Page Number 4

Part I: Assets and Sources of Income
Continuation Page

Specific stock, bond, sector mutual fund, type/location of real estate, etc. (*Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.*) **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** (*Include brief description.*) **You may choose** to distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. Do not include actual names.	**No longer held**
1 Emerson Electric Co. Stock (IRA)	☐
2 Exxon Mobil Corp. Stock (IRA)	☐
3 Ford Motor Company Stock (IRA)	☐
4 Delta Air Lines Inc. Stock (IRA)	☒
5 Gilead Sciences Inc. Stock (IRA)	☐
6 HCP Inc. Stock (IRA)	☐
7 Home Depot Inc. Stock (IRA)	☐
8 HP Inc. Stock (IRA)	☐
9 Huntington Bancshres Inc (IRA)	☐
10 Medtronic PLC (IRA)	☐
11 Mondelez Intl Inc. (IRA)	☐
12 Intel Corp. Stock (IRA)	☐
13 International Business Machine Corp Stock (IRA)	☐
14 International Paper Co Stock (IRA)	☐
15 Invesco LTD Stock (IRA)	☐
16 Johnson & Johnson Stock (IRA)	☐
17 JP Morgan Chase & Co. Stock (IRA)	☐
18 KAR Auction Services Inc. Stock (IRA)	☐
19 Kinder Morgan Inc. Del (IRA)	☐
20 Liberty Property Trust Stock (IRA)	☐

OCE Financial Disclosure Form

Eagen, James M.	Page Number 5

Part I: Assets and Sources of Income

Continuation Page

Specific stock, bond, sector mutual fund, type/location of real estate, etc. (*Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.*) **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** (*Include brief description.*) **You may choose** to distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. Do not include actual names.	**No longer held**
1 Phillips 66 Stock (IRA)	☐
2 Lockheed Martin Corp. Stock (IRA)	☐
3 Lyondellbasell Industries AF SCA Stock (IRA)	☐
4 Macy's Inc. (IRA)	☐
5 Marathon Petroleum Corp. Stock (IRA)	☒
6 Marsh and McLennan Companies Inc. Stock (IRA)	☐
7 Merck & Co. Inc. Stock (IRA)	☐
8 Metlife Inc. Stock (IRA)	☐
9 Microsoft Corp. Stock (IRA)	☐
10 Occidental Pete Corp. Stock (IRA)	☐
11 OGE Energy Corp (IRA)	☐
12 Pfizer Incorporated Stock (IRA)	☐
13 Philip Morris International Inc. Stock (IRA)	☐
14 PNC Financial Services Group Stock (IRA)	☐
15 Procter & Gamble Co. Stock (IRA)	☐
16 Prologis Financial Inc. Stock (IRA)	☐
17 Prudential Financial Inc. Stock (IRA)	☐
18 Phillips 66 (IRA)	☐
19 Qualcomm Inc. Stock (IRA)	☒
20 Schlumberger Ltd. Stock (IRA)	☐

OCE Financial Disclosure Form

Eagen, James M.	Page Number 6

Part I: Assets and Sources of Income

Continuation Page

Specific stock, bond, sector mutual fund, type/location of real estate, etc. (*Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.*) **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** (*Include brief description.*) **You may choose** to distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. Do not include actual names.	**No longer held**
1 Exelon Corporation Stock (IRA)	☒
2 Thomson Reuters Corp. Stock (IRA)	☐
3 Union Pacific Corp. Stock (IRA)	☒
4 United Technologies Corp. Stock (IRA)	☒
5 US Bancorp New Stock (IRA)	☐
6 Pepsico Incorporated (IRA)	☐
7 Ventas Inc (IRA)	☐
8 Verizon Communications Stock (IRA)	☐
9 Wal-Mart Stores Inc. Stock (IRA)	☐
10 Wells Fargo Company Stock (IRA)	☐
11 Invesco Global Real Estate Mutual Fund (IRA)	☒
12 Cohen & Steers Rlty Shares Inc. Mutual Fund (IRA)	☐
13 MFS Series TR XII Global Real Estate Fund (IRA)	☐
14 (J) Principal Inv Fund Real Estate Secs FD CL Instl	☐
15 MFS Ser Tr X Emerging Markets Debt Fd Class 1 Mutual Fund	☐
16 (S) MFS Ser Tr X Emerging Markets Debt Fd Class 1 Mutual Fund	☐
17 Cohen & Steers Rlty Shares Inc. Mutual Fund	☐
18 (S) Cohen & Steers Rlty Shares Inc. Mutual Fund	☐
19 Williams Companies Stock (IRA)	☒
20	☐

OCE Financial Disclosure Form

Eagen, James M.	Page Number 7

Part III: Outside Positions

Report for Yourself:	Do Not Report:
• All positions outside the U.S. Government held at any time during the reporting period, whether or not you were compensated and whether or not you currently hold that position. Positions include an officer, director, employee, trustee, general partner, proprietor, representative, executor, or consultant of any of the following: - Corporation, partnership, trust, or other business entity - Non-profit or volunteer organization - Educational institution	• Any position with a - Religious entity - Social entity - Fraternal entity - Political entity • Any position held by your spouse or dependent child • Any position that you hold as part of your official duties

Reportable Information – Go to the last page to see examples of how to report outside positions.

Organization *(include city and state where organization is located)*	Type of organization	Position	No longer held
1 Purgatory Resort/Mountain Capital Partners (formerly Durango Mountain Resort), Durango, CO	Ski Resort	Vice President/ Senior Director	☐
2 Durango Devo	Non-profit	Board Member	☐
3 Purgatory Center Condominium Association	Homeowner Association	Board Member	☐
4 Kendall Mountain Condominium Association	Homeowner Association	Board Member	☐
5			☐
6			☐
7			☐
8			☐
9			☐
10			☐

OCE Financial Disclosure Form

Eagen, James M.	Page Number 8

Part IV: Agreements or Arrangements

Report Your Agreements or Arrangements for:	Do Not Report:
• Continuing participation in an employee pension or benefit plan maintained by a former employer • A leave of absence • Future employment, including date you accepted employment offer • Continuation of payment by a former employer (including severance payments)	• Any agreement or arrangement related to your employment by the Federal Government • Spouse's and dependent child's agreements or arrangements

Reportable Information – Go to the last page to see examples of how to report agreements and arrangements.

Entity with which you have an agreement or arrangement *(include city and state where entity is located)*	**Terms of Agreement or Arrangement**
1 Purgatory Resort/Mountain Capital Partners (formerly Durango Mountain Resort), Durango, CO	Participation in employer 401(k) program
2	
3	
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HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2019 MAY 14 AM 10: 26

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Date Received by Office of the Clerk

Page Number
1

FINANCIAL DISCLOSURE REPORT
Office of Congressional Ethics
United States House of Representatives

Name (*Print last, first, middle initial*)
English, Karan L

Step 1: Read the instructions for Parts I through V on the following pages.

Step 2: For each statement below, check Yes or No to describe your situation.

I. I have reportable assets or sources of income for myself, my spouse, or my dependent children.	Yes ☒	No ☐
II. I have reportable liabilities (debts) for myself, my spouse, or my dependent children.	Yes ☐	No ☒
III. I have reportable outside positions for myself.	Yes ☒	No ☐
IV. I have reportable agreements or arrangements for myself.	Yes ☒	No ☐
V. I have reportable gifts or travel reimbursements for myself, my spouse, or my dependent children.	Yes ☐	No ☒

Step 3: If you selected Yes for any statement, you must describe the reportable interests that you have in the corresponding Part (I, II, III, IV, or V) of the form.

Step 4: Sign and date the form.

Step 5: Submit the completed form to the Legislative Resource Center, U.S. House of Representatives, B-81 Cannon House Office Building, Washington, DC 20515.

I certify that the statements I have made on this form and all attached statements are true, complete, and correct to the best of my knowledge.

Signature of Member	Date (*mm/dd/yy*)
	04/22/2019

OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
English, Karan L	2

Part I: Assets and Income

Report for Yourself, Spouse, and Dependent Child:	Do Not Report:
• Assets held for investment or the production of income that ended the reporting period with a value greater than $1,000. In addition, assets from which more than $1,000 in income was received during the reporting period. Reportable assets include, but are not limited to: – Assets such as stocks, bonds, annuities, trust holdings, partnership interests, life insurance, investment real estate, or a privately-held trade or business – Sector mutual funds: those funds invested in a particular industry, business, or location, such as ABC Electronics Fund or XYZ Canada Fund (report the full name of the fund, not just the family fund name) – Holdings of retirement plans, such as 401(k)s or IRAs, investment life insurance, or variable annuities (report each holding unless listed in the Do Not Report section) – Defined benefit pension plans provided by a former employer (include the name of the employer)	• Federal Government retirement benefits • Thrift Savings Plan • Certificates of deposit, savings or checking accounts • Term life insurance • Money market mutual funds and money market accounts • Your personal residence, unless you rent all or part of it out • U.S. Government Treasury bonds, bills, notes, and savings bonds • Diversified mutual funds, such as ABC Equity Value Fund or XYZ Large Capital Fund • Diversified funds within an employee benefit plan • Money owed to you, your spouse, or dependent child by a spouse, parent, sibling, or child

Also Report:	Do Not Report:
• For yourself: (1) all sources of salary, fees, commissions, and other earned income greater than $1,000, (2) honoraria greater than $1,000, and (3) other non-investment income such as scholarships, prizes, and gambling income greater than $1,000 • For your spouse: (1) all sources of salary, fees, commissions, and other earned income greater than $1,000, and (2) honoraria greater than $1,000	• Dependent child's earned income • Veterans' benefits • Federal Government salary • Social Security benefits

mportant Definitions

Diversified Mutual Fund – A mutual fund that does not have a stated policy of concentrating its investments in one industry, business, or single country other than the United States.
Sector Mutual Fund – A mutual fund that concentrates its investments in an industry, business, single country other than the United States, or bonds of a single state within the United States.
Diversified Fund within an Employee Benefit Plan – An employee benefit plan fund that has a written policy of varying investments without concentration in one industry, business, or single country other than the United States.
Dependent Child – A son, daughter, stepson or stepdaughter who is either unmarried and under age 21 and living in the filer's house, or considered dependent under the U.S. tax code.

Reportable Information – Go to the last page to see examples of how to report assets and income.

Specific stock, bond, sector mutual fund, type/location of real estate, etc. (*Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.*) **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** (*Include brief description.*) You may distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. Do not include actual names.	**No longer held**
1 State of Arizona - Elected Officials Retirement Fund (EORP Defined Benefit) - Pension	☐
2 Arizona University System Retirement Fund (ASRS Defined Benefit) - Fidelity Investment	☐
3 Principal Life Ins. Co. 403 B (Non-sector Mutual Fund) - Retirement Plan	☐
4 John Hancock Venture Annuity (Non-sector Mutual Fund) - Retirement Plan	☐

OCE Financial Disclosure Form

Name *(Print last, first, middle initial)*	Page Number
English, Karan L	3

Part I: Assets and Income

Continuation Page

Specific stock, bond, sector mutual fund, type/location of real estate, etc. *(Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.)* **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** *(Include brief description.)* You may distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. Do not include actual names.	**No longer held**
1 Charles Schwab & Co. (Non-sector Mutual Fund - IRA	☐
2 Real Estate - Single Family home - Surprise, AZ	☐
3 Northwestern Mutual - Life Ins. Policy	☐
4 Morgan Stanley - IRA Bene. Account	☐
5 Morgan Stanley - Investment Account	☐
6 Lorne Hall Estate - Executor Fees	☐
7 Hall Family Farm - Cupar, Saskatchewan, Canada	☒
8	☐
9	☐
10	☐
11	☐
12	☐
13	☐
14	☐
15	☐
16	☐
17	☐
18	☐
19	☐
20	☐

OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
English, Karan L	4

Part II: Liabilities

Report for Yourself, Spouse, and Dependent Child:	Do Not Report:
• Liabilities that exceeded $10,000 during the reporting period	• Any liability, such as a mortgage, a student loan, or a credit card account, from a financial institution or business entity granted on terms made available to the general public • Loans secured by automobiles, household furniture, or appliances, unless the loan exceeds the purchase price of the item it secures • Liabilities that you owe to your spouse or to the parent, sibling, or child of you, your spouse, or your dependent child

Reportable Information – Go to the last page to see examples of how to report liabilities.

Name of creditor (*include city and state where creditor is located*)	Type of liability (*personal loan, margin account, etc.*)
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OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
English, Karan L	5

Part III: Outside Positions

Report for Yourself:	Do Not Report:
• All positions outside the U.S. Government held at any time during the reporting period, whether or not you were compensated and whether or not you currently hold that position. Positions include an officer, director, employee, trustee, general partner, proprietor, representative, executor, or consultant of any of the following: - Corporation, partnership, trust, or other business entity - Non-profit or volunteer organization - Educational institution - State or Local Government	• Any position with a - Religious entity - Social entity - Fraternal entity - Political entity • Any position held by your spouse or dependent child • Any position that you hold as part of your official duties

Reportable Information – Go to the last page to see examples of how to report outside positions.

Organization (*include city and state where organization is located*)	Type of organization	Position	No longer held
1 Hall Family Farm - Joint Owner - Cupar - Saskatchewan, CA	Farm		☒
2			☐
3			☐
4			☐
5			☐
6			☐
7			☐
8			☐
9			☐
10			☐

OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
English, Karan L	6

Part IV: Agreements or Arrangements

Report Your Agreements or Arrangements for:	Do Not Report:
• Continuing participation in an employee pension or benefit plan maintained by a current or former employer • A leave of absence • Future employment, including date you accepted employment offer • Continuation of payment by a current or former employer (including severance payments)	• Any agreement or arrangement related to your employment by the Federal Government • Spouse's and dependent child's agreements or arrangements • Continuing participation in a defined contribution plan, such as a 401(k) plan, to which a former employer is no longer making contributions

Reportable Information – Go to the last page to see examples of how to report agreements and arrangements.

Entity with which you have an agreement or arrangement (*include city and state where entity is located*)	Terms of Agreement or Arrangement
1 State of Arizona - Elected Officials Retirement Fund (EORP) Defined Benefit	Retirement Plan – Pension Phoenix, Arizona
2 Arizona University System Retirement Fund (ASRS - Defined Benefit)	Retirement Plan - Pension Phoenix, Arizona
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OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
English, Karan L	7

Part V: Gifts and Travel Reimbursements

Report for Yourself, Spouse, and Dependent Child:	Do Not Report:
• Any gifts or travel reimbursements (items such as lodging, transportation, and food) totaling more than $390* from any source during the reporting period; include where you traveled, the purpose, and date(s) of the trip for travel gifts and reimbursements * If you received more than one gift from one source: 1. Determine the value of each item you received from that source 2. Ignore each item valued at $156 or less 3. Add the value of those items valued at more than $156; if the total is more than $390, then you must list those items on this form	• Anything received from relatives, the U.S. Government, D.C., state, or local governments • Bequests and other forms of inheritance • Gifts and travel reimbursements given to your office in connection with your official travel • Gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises • Anything received by your spouse or dependent child totally independent of their relationship to you

Reportable Information – Go to the last page to see examples of how to report gifts and travel reimbursements.

Source	Description
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HAND DELIVERED

Date Received by Office of the Clerk

Page Number
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FINANCIAL DISCLOSURE REPORT
Office of Congressional Ethics
United States House of Representatives

2019 JUN 25 PM 1:43

Name (*Print last, first, middle initial*)
ENGLISH, KARAN L

Step 1: Read the instructions for Parts I through V on the following pages.

Step 2: For each statement below, check Yes or No to describe your situation.

I. I have reportable assets or sources of income for myself, my spouse, or my dependent children.	Yes ☒	No ☐
II. I have reportable liabilities (debts) for myself, my spouse, or my dependent children.	Yes ☐	No ☒
III. I have reportable outside positions for myself.	Yes ☒	No ☐
IV. I have reportable agreements or arrangements for myself.	Yes ☒	No ☐
V. I have reportable gifts or travel reimbursements for myself, my spouse, or my dependent children.	Yes ☐	No ☒

Step 3: If you selected "Yes" for any statement, you must describe the reportable interests (but not values) that you have in the corresponding Part (I, II, III, IV, or V) of the form.

Step 4: Sign and date the form.

Step 5: Submit the completed form to the Legislative Resource Center, U.S. House of Representatives, 135 Cannon House Office Building, Washington, DC 20515.

I certify that the statements I have made on this form and any attached statements are true, complete, and correct to the best of my knowledge.

Signature of Member	Date (*mm/dd/yy*)
	06/15/2019

OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
ENGLISH, KARAN L	2

Part I: Assets and Sources of Income

Report for Yourself, Spouse, and Dependent Child:	Do Not Report:
• Assets held for investment with a value greater than $1,000 at the end of the reporting period OR assets held for investment which produced more than $200 in income during the reporting period, including but not limited to: - Assets such as stocks, bonds, annuities, trust holdings, partnership interests, life insurance, investment real estate, or a privately-held trade or business - Sector mutual funds: those funds invested in a particular industry, business, or location such as ABC Electronics Fund or XYZ Canada Fund (report the full name of the fund, not just the general family fund name) - Holdings of retirement plans, such as 401(k)s or IRAs (list each holding except diversified mutual funds) - Holdings of investment life insurance - Holdings of variable annuities - Defined benefit pension plans provided by a former employer (include the name of the employer)	• Federal Government retirement benefits • Thrift Savings Plan • Certificates of deposit, savings or checking accounts • Term life insurance • Money market mutual funds and money market accounts • Your personal residence, unless you rent all or part of it out • Diversified mutual funds, such as ABC Equity Value Fund or XYZ Large Capital Fund • U.S. Government Treasury bonds, bills, notes, and savings bonds • Money owed to you, your spouse, or dependent child by a spouse, parent, sibling, or child

Also Report:	Do Not Report:
• For yourself: (1) all sources of salary, fees, commissions, and other earned income greater than $200, (2) honoraria greater than $200, and (3) other non-investment income such as scholarships, prizes, and gambling income greater than $200 • For your spouse: (1) all sources of salary, fees, commissions, and other earned income greater than $1,000, and (2) honoraria greater than $200	• Dependent child's earned income • Veterans' benefits • Federal Government salary • Social Security benefits

Important Definitions

Diversified Mutual Fund – A mutual fund that does not have a stated policy of concentrating its investments in one industry, business, or single country other than the United States.
Sector Mutual Fund – A mutual fund that concentrates its investments in an industry, business, single country other than the United States, or bonds of a single state within the United States.
Dependent Child – A son, daughter, stepson or stepdaughter who is either unmarried and under age 21 and living in the filer's house, or considered dependent under the U.S. tax code.

Reportable Information – Go to the last page to see examples of how to report assets and sources of income.

Specific stock, bond, sector mutual fund, type/location of real estate, etc. (*Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.*) **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** (*Include brief description.*) **You may choose** to distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. Do not include actual names.	**No longer held**
1 State of Arizona - Elected Officials Retirement Fund (EORP Defined Benefit) - Pension	☐
2 Arizona University System Retirement Fund (ASRS Defined Benefit) - Fidelity Investment	☐
3 Principal Life Ins. Co. 403 B (Non-sector Mutual Fund) - Retirement Plan	☐
4 John Hancock Venture Annuity (Non-Sector Mutual Fund) - Retirement Plan	☐
5 Charles Schwab + Co (Non-Sector Mutual Fund) - IRA	☐

OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
ENGLISH, KARAN L	3

Part I: Assets and Sources of Income

Continuation Page

Specific stock, bond, sector mutual fund, type/location of real estate, etc. (*Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.*) **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** (*Include brief description.*) **You may choose** to distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. Do not include actual names.	**No longer held**
1 Northwestern Mutual - Life Ins. Policy	☐
2 Real Estate - Single Family Home - Surprise, AZ	☐
3 Hall Family Farm - Cupar Saskatchewan, Canada - J - Real Estate Farm Sold - Jan, 2018	☒
4 Lorne Hall Estate Executor Fees	☐
5 Morgan Stanley - IRA (BENE) (Traditional Inherited IRA)	☐
6 Morgan Stanley Investment (Inherited from LDH Trust Estate)	☐
7 Alerian MLP ETF Chevron Corp	☐
8 Kimberly Clark Corp LyondellBassell NV CL-A	☐
9 Pfizer INC Pimco Comm Real Ret Strat A	☐
10 Royal Dutch Shell PLC Tekla Healthcare Invs	☐
11 Unilever PLC (NEW) ADS Verizon Communications	☐
12 Vodafone Group PLC Williams Co INC	☐
13	☐
14	☐
15	☐
16	☐
17	☐
18	☐
19	☐
20	☐

OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
ENGLISH, KARAN L	4

Part II: Liabilities

Report for Yourself, Spouse, and Dependent Child:	Do Not Report:
• A liability over $10,000 owed at any time during the reporting period, other than a loan from a financial institution or business entity granted on terms made available to the general public • A loan over $10,000 from an individual, such as a friend or a business associate	• Any liability, such as a mortgage, a student loan, or a credit card account, from a financial institution or business entity granted on terms made available to the general public • Loans secured by automobiles, household furniture, or appliances, unless the loan exceeds the purchase price of the item it secures • Liabilities that you owe to your spouse or to the parent, sibling, or child of you, your spouse, or your dependent child

Reportable Information – Go to the last page to see examples of how to report liabilities.

Name of creditor (*include city and state where creditor is located*)	Type of liability (*personal loan, margin account, etc.*)
1 ⊖	
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OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
ENGLISH, KARAN L	5

Part III: Outside Positions

Report for Yourself:	Do Not Report:
• All positions outside the U.S. Government held at any time during the reporting period, whether or not you were compensated and whether or not you currently hold that position. Positions include an officer, director, employee, trustee, general partner, proprietor, representative, executor, or consultant of any of the following: - Corporation, partnership, trust, or other business entity - Non-profit or volunteer organization - Educational institution	• Any position with a - Religious entity - Social entity - Fraternal entity - Political entity • Any position held by your spouse or dependent child • Any position that you hold as part of your official duties

Reportable Information – Go to the <u>last page to see examples</u> of how to report outside positions.

Organization *(include city and state where organization is located)*	Type of organization	Position	No longer held
1 Hall Family Farm - Joint Owner Cupar, Saskatchewan, Canada	Farm	·Joint Owner ·Executor to Estate	☒
2 Northern Arizona University Sustainable Communities Program Flagstaff, AZ	Educational Institution	Advisory Board Member	☐
3 Lorne D Hall Trust - Estate	Trust	Executor	☐
4			☐
5			☐
6			☐
7			☐
8			☐
9			☐
10			☐

OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
ENGLISH, KARAN, L	6

Part IV: Agreements or Arrangements

Report Your Agreements or Arrangements for:	Do Not Report:
• Continuing participation in an employee pension or benefit plan maintained by a former employer • A leave of absence • Future employment, including date you accepted employment offer • Continuation of payment by a former employer (including severance payments)	• Any agreement or arrangement related to your employment by the Federal Government • Spouse's and dependent child's agreements or arrangements

Reportable Information – Go to the last page to see examples of how to report agreements and arrangements.

Entity with which you have an agreement or arrangement (*include city and state where entity is located*)	**Terms of Agreement or Arrangement**
1 State of Arizona - Elected Officials Retirement Fund (EORP Defined Benefit)	Retirement Plan - Pension Phoenix, AZ
2 Arizona University System Retirement Fund (ASRS Defined Benefit)	Retirement Plan - Pension Phoenix, AZ
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OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
ENGLISH, KARAN L	7

Part V: Gifts and Travel Reimbursements

Report for Yourself, Spouse, and Dependent Child:	Do Not Report:
• Travel-related reimbursements (items such as lodging, transportation, and food) totaling more than $375* from any one source during the reporting period; include where you traveled, the purpose, and date(s) of the trip • Any other gifts totaling more than $375* from any one source during the reporting period * If you received more than one gift from one source: 1. Determine the value of each item you received from that source 2. Ignore each item valued at $150 or less 3. Add the value of those items valued at more than $150; if the total is more than $375, then you must list those items on this form	• Anything received from relatives, the U.S. Government, D.C., state, or local governments • Bequests and other forms of inheritance • Gifts and travel reimbursements given to your office in connection with your official travel • Gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises • Anything received by your spouse or dependent child totally independent of their relationship to you

Reportable Information – Go to the last page to see examples of how to report gifts and travel reimbursements.

Source	Description
1 -0-	
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3	

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U.S. HOUSE OF REPRESENTATIVES

Date Received by Office of the Clerk	Page Number 1

FINANCIAL DISCLOSURE REPORT

Office of Congressional Ethics
United States House of Representatives

Name (*Print last, first, middle initial*)	
HAYWARD, ALLISON R.	

Step 1: Read the instructions for Parts I through V on the following pages.

Step 2: For each statement below, check Yes or No to describe your situation.

I. I have reportable assets or sources of income for myself, my spouse, or my dependent children.	Yes ☒	No ☐
II. I have reportable liabilities (debts) for myself, my spouse, or my dependent children.	Yes ☐	No ☒
III. I have reportable outside positions for myself.	Yes ☐	No ☒
IV. I have reportable agreements or arrangements for myself.	Yes ☐	No ☒
V. I have reportable gifts or travel reimbursements for myself, my spouse, or my dependent children.	Yes ☐	No ☒

Step 3: If you selected Yes for any statement, you must describe the reportable interests that you have in the corresponding Part (I, II, III, IV, or V) of the form.

Step 4: Sign and date the form.

Step 5: Submit the completed form to the Legislative Resource Center, U.S. House of Representatives, B-81 Cannon House Office Building, Washington, DC 20515.

I certify that the statements I have made on this form and all attached statements are true, complete, and correct to the best of my knowledge.

Signature of Member	Date (*mm/dd/yy*)
	4/30/19

OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
HAYWARD, ALLISON R	2

Part I: Assets and Income

Report for Yourself, Spouse, and Dependent Child:	Do Not Report:
• Assets held for investment or the production of income that ended the reporting period with a value greater than $1,000. In addition, assets from which more than $1,000 in income was received during the reporting period. Reportable assets include, but are not limited to: - Assets such as stocks, bonds, annuities, trust holdings, partnership interests, life insurance, investment real estate, or a privately-held trade or business - Sector mutual funds: those funds invested in a particular industry, business, or location, such as ABC Electronics Fund or XYZ Canada Fund (report the full name of the fund, not just the family fund name) - Holdings of retirement plans, such as 401(k)s or IRAs, investment life insurance, or variable annuities (report each holding unless listed in the Do Not Report section) - Defined benefit pension plans provided by a former employer (include the name of the employer)	• Federal Government retirement benefits • Thrift Savings Plan • Certificates of deposit, savings or checking accounts • Term life insurance • Money market mutual funds and money market accounts • Your personal residence, unless you rent all or part of it out • U.S. Government Treasury bonds, bills, notes, and savings bonds • Diversified mutual funds, such as ABC Equity Value Fund or XYZ Large Capital Fund • Diversified funds within an employee benefit plan • Money owed to you, your spouse, or dependent child by a spouse, parent, sibling, or child

Also Report:	Do Not Report:
• For yourself: (1) all sources of salary, fees, commissions, and other earned income greater than $1,000, (2) honoraria greater than $1,000, and (3) other non-investment income such as scholarships, prizes, and gambling income greater than $1,000 • For your spouse: (1) all sources of salary, fees, commissions, and other earned income greater than $1,000, and (2) honoraria greater than $1,000	• Dependent child's earned income • Veterans' benefits • Federal Government salary • Social Security benefits

Important Definitions

Diversified Mutual Fund – A mutual fund that does not have a stated policy of concentrating its investments in one industry, business, or single country other than the United States.
Sector Mutual Fund – A mutual fund that concentrates its investments in an industry, business, single country other than the United States, or bonds of a single state within the United States.
Diversified Fund within an Employee Benefit Plan – An employee benefit plan fund that has a written policy of varying investments without concentration in one industry, business, or single country other than the United States.
Dependent Child – A son, daughter, stepson or stepdaughter who is either unmarried and under age 21 and living in the filer's house, or considered dependent under the U.S. tax code.

Reportable Information – Go to the last page to see examples of how to report assets and income.

Specific stock, bond, sector mutual fund, type/location of real estate, etc. *(Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.)* **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** *(Include brief description.)* You may distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. Do not include actual names.		**No longer held**
1 Please see attached		☐
2		☐
3		☐
4		☐

Hayward, Allison R.						Page 3	
			Part 1 Assets and Sources of Income				
	Sources of Income				No Longer Held		
	Arizona State Univ.						
	Berkeley Institute						
	Bill of Rights Institute						
	Binion's Rent						
	Buckley Program						
	Claremont McKenna Coll						
	Encounter Inc						
	Foundation for Const Gov						
	Natl Assoc of Scholars						
	Pacific Research Inst						
	Penguin Random House						
	Pepperdine						
	Powerline blog						
	Salem Media Group						
	Searle Freedon Trust						
	The Claremont Institute						
	University of San Diego						
	Weekly Standard						
	White House Writers grp						
	Assets						
	3M Company						
	Abbot Labs						
	Abbvie, Inc.						
	AFLAC						
	Adient				X		
	Air Products and Chemicals						
	Altria				X		
	Anadarko Petroleum				X		
	Apple						
	Applied Industrial Tech				X		
	AT&T				X		
	Auto Data Processing						

Hayward, Allison R.						Page 4	
					No Longer Held		
	Bank of America						
	Becton Dickenson						
	Boeing						
	BP						
	Bristol Meyers Squibb				X		
	Caterpillar						
	Celgenbe						
	Chevron						
	Citigroup				X		
	Coca cola						
	Cohen and Steers Realty Fund						
	Columbia Seligman Communications Fund				X		
	Conoco Phillips						
	Cryolife						
	Cummins						
	CVS						
	Dell						
	DowDupont				X		
	Duke Energy						
	Eastman Chemical						
	Eaton Corp.						
	Embridge						
	Emerson Electric				X		
	Exxon Mobil						
	First Trade Exchange Trades Income Fund				X		
	Fluor						
	Ford Motor Co.						
	Gap				X		
	General Electric						
	General Mills				X		
	Gramemercy Capital						
	Helmerich & Payne						
	Hewlett Packard						
	Hollyfrontier Corp						
	Home Depo						
	Honeywell				X		
	IBM						

Hayward, Allison R						Page 5	
					No Longer Held		
	Illinois Tool Works						
	Inland Real Estate Corp						
	Intel						
	International Paper						
	Johnson & Johnson				X		
	Johnson Controls				x		
	JP Morgan Chase						
	Kinder Morgan Energy						
	Lincoln National						
	Materials Select Sector (XLB)				X		
	McDonalds						
	Medical Properties trust				X		
	Merck and Co.				X		
	Microsoft						
	New York Community Bankcorp				X		
	Nuveen				X		
	Occidental Petroleum				X		
	Pfizer						
	Philip Morris				X		
	Powershares Commodity Index				X		
	Proctor & Gamble						
	Rengenxbio				X		
	Seagate technologies				X		
	Sempra Energy				X		
	Spirit Realty						
	Suncor Energy						
	Target						
	Texas Instruments						
	The Southern Company						
	Transocean Int'l						
	Tricontinental Corp				X		
	Tweedy Brown Global				X		
	Twenty First Century Fox				X		
	Union Pacific				X		
	United Health Group						
	United Technologies						
	Verizon						

Hayward, Allison R.						Page 6	
					No Longer Held		
	Vodaphone				X		
	Wal Mart						
	Walgreen Boots						
	Walt Disney Co.						
	Weingarten Realty REIT				X		
	Weyerhauser				X		

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OFFICE OF THE CLERK

Page Number
1

FINANCIAL DISCLOSURE REPORT
Office of Congressional Ethics
United States House of Representatives

Name *(Print last, first, middle initial)*
Pinckney, Belinda

Step 1: Read the instructions for Parts I through V on the following pages.

Step 2: For each statement below, check Yes or No to describe your situation.

I. I have reportable assets or sources of income for myself, my spouse, or my dependent children.	Yes ☑	No ☐
II. I have reportable liabilities (debts) for myself, my spouse, or my dependent children.	Yes ☐	No ☑
III. I have reportable outside positions for myself.	Yes ☑	No ☐
IV. I have reportable agreements or arrangements for myself.	Yes ☑	No ☐
V. I have reportable gifts or travel reimbursements for myself, my spouse, or my dependent children.	Yes ☐	No ☑

Step 3: If you selected "Yes" for any statement, you must describe the reportable interests (but not values) that you have in the corresponding Part (I, II, III, IV, or V) of the form.

Step 4: Sign and date the form.

Step 5: Submit the completed form to the Legislative Resource Center, U.S. House of Representatives, 135 Cannon House Office Building, Washington, DC 20515.

I certify that the statements I have made on this form and any attached statements are true, complete, and correct to the best of my knowledge.

Signature of Member	Date *(mm/dd/yy)*
	05/10/19

OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
Pinckney, Belinda	2

Part I: Assets and Sources of Income

Report for Yourself, Spouse, and Dependent Child:	Do Not Report:
• Assets held for investment with a value greater than $1,000 at the end of the reporting period OR assets held for investment which produced more than $200 in income during the reporting period, including but not limited to: - Assets such as stocks, bonds, annuities, trust holdings, partnership interests, life insurance, investment real estate, or a privately-held trade or business - Sector mutual funds: those funds invested in a particular industry, business, or location such as ABC Electronics Fund or XYZ Canada Fund (report the full name of the fund, not just the general family fund name) - Holdings of retirement plans, such as 401(k)s or IRAs (list each holding except diversified mutual funds) - Holdings of investment life insurance - Holdings of variable annuities - Defined benefit pension plans provided by a former employer (include the name of the employer)	• Federal Government retirement benefits • Thrift Savings Plan • Certificates of deposit, savings or checking accounts • Term life insurance • Money market mutual funds and money market accounts • Your personal residence, unless you rent all or part of it out • Diversified mutual funds, such as ABC Equity Value Fund or XYZ Large Capital Fund • U.S. Government Treasury bonds, bills, notes, and savings bonds • Money owed to you, your spouse, or dependent child by a spouse, parent, sibling, or child
Also Report:	**Do Not Report:**
• For yourself: (1) all sources of salary, fees, commissions, and other earned income greater than $200, (2) honoraria greater than $200, and (3) other non-investment income such as scholarships, prizes, and gambling income greater than $200 • For your spouse: (1) all sources of salary, fees, commissions, and other earned income greater than $1,000, and (2) honoraria greater than $200	• Dependent child's earned income • Veterans' benefits • Federal Government salary • Social Security benefits

Important Definitions

Diversified Mutual Fund – A mutual fund that does not have a stated policy of concentrating its investments in one industry, business, or single country other than the United States.
Sector Mutual Fund – A mutual fund that concentrates its investments in an industry, business, single country other than the United States, or bonds of a single state within the United States.
Dependent Child – A son, daughter, stepson or stepdaughter who is either unmarried and under age 21 and living in the filer's house, or considered dependent under the U.S. tax code.

Reportable Information – Go to the last page to see examples of how to report assets and sources of income.

Specific stock, bond, sector mutual fund, type/location of real estate, etc. (*Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.*) **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** (*Include brief description.*) You may choose to distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. Do not include actual names.	**No longer held**
1 BHP Consulting LLC - President/CEO/Founder	☐
2 PHP Investment LLC - President/CFO	☐
3 SA Tech Executive Board Member	☐
4 Vet Life Solutions LLC - CEO/Co-founder	☐
5 Rock the Base LLC	☑

OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
Pinckney, Belinda	3

Part I: Assets and Sources of Income

Continuation Page

	Specific stock, bond, sector mutual fund, type/location of real estate, etc. (*Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.*) **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** (*Include brief description.*) **You may choose** to distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. Do not include actual names.	**No longer held**
1	PHP Investments LLC - Real Estate Investments	☐
2	3 BLDGs on Madison Ave, Baltimore, MD - Rental Properties	☑
3	T.D Ameritrade Stock Acct (Mercer Advisors) Mutual	☐
4	DFA Int'l Value III, Vanguard Short Term, DFA Funds+Stocks	☐
5	US Small + Large CAP, APR Small Cap, DFA Global,	☐
6	Oppenheimer Steel path	☐
7	TD Ameritrade Stock Acct, Google, Visa, CISCO	☐
8	TeKLA Life Service Investments, Berkshire B,	☐
9	McDonalds, Time Inc, Travellers, Time Warner Inc	☐
10	Amazon, Boeing, Facebook, Cash	☐
11		☐
12		☐
13		☐
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OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
Pinckney, Belinda	4

Part II: Liabilities

Report for Yourself, Spouse, and Dependent Child:	Do Not Report:
• A liability over $10,000 owed at any time during the reporting period, other than a loan from a financial institution or business entity granted on terms made available to the general public • A loan over $10,000 from an individual, such as a friend or a business associate	• Any liability, such as a mortgage, a student loan, or a credit card account, from a financial institution or business entity granted on terms made available to the general public • Loans secured by automobiles, household furniture, or appliances, unless the loan exceeds the purchase price of the item it secures • Liabilities that you owe to your spouse or to the parent, sibling, or child of you, your spouse, or your dependent child

Reportable Information – Go to the last page to see examples of how to report liabilities.

Name of creditor (*include city and state where creditor is located*)	Type of liability (*personal loan, margin account, etc.*)
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OCE Financial Disclosure Form

Name (Print last, first, middle initial)	Page Number
Pinckney Belinda	5

Part III: Outside Positions

Report for Yourself:	Do Not Report:
• All positions outside the U.S. Government held at any time during the reporting period, whether or not you were compensated and whether or not you currently hold that position. Positions include an officer, director, employee, trustee, general partner, proprietor, representative, executor, or consultant of any of the following: - Corporation, partnership, trust, or other business entity - Non-profit or volunteer organization - Educational institution	• Any position with a - Religious entity - Social entity - Fraternal entity - Political entity • Any position held by your spouse or dependent child • Any position that you hold as part of your official duties

Reportable Information – Go to the last page to see examples of how to report outside positions.

Organization (include city and state where organization is located)	Type of organization	Position	No longer held
1 Partnership for American Veterans Employment + Education Solutions 501(c)3 - Co-founder - Unpaid	501(c)3		☐
2 Advisory Board Member unpaid Pentagon Fed Credit Union.	501(c)3		☑
3 SA Tech	Technology Company	Executive Board Member	☐
4 BHP Consulting, LLC Pres + CEO/Founder	Consulting	Pres CEO	☐
5 PHP Investments LLC	Investments Real Estate	Pres + CFO sold all properties	☐
6 Rock THE Base LLC	entertainment Company	CEO	☑
7 VETLIFE SOLUTIONS LLC	Entertainment Services	CEO	☐
8			☐
9			☐
10			☐

OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
Pinckney, Belinda	Page 6

Part IV: Agreements or Arrangements

Report Your Agreements or Arrangements for:	Do Not Report:
• Continuing participation in an employee pension or benefit plan maintained by a former employer • A leave of absence • Future employment, including date you accepted employment offer • Continuation of payment by a former employer (including severance payments)	• Any agreement or arrangement related to your employment by the Federal Government • Spouse's and dependent child's agreements or arrangements

Reportable Information – Go to the last page to see examples of how to report agreements and arrangements.

Entity with which you have an agreement or arrangement (*include city and state where entity is located*)	Terms of Agreement or Arrangement
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OCE Financial Disclosure Form

Name (Print last, first, middle initial)	Page Number
Brutkus, Bernardo	7

Part V: Gifts and Travel Reimbursements

Report for Yourself, Spouse, and Dependent Child:	Do Not Report:
• Travel-related reimbursements (items such as lodging, transportation, and food) totaling more than $375* from any one source during the reporting period; include where you traveled, the purpose, and date(s) of the trip • Any other gifts totaling more than $375* from any one source during the reporting period * If you received more than one gift from one source: 1. Determine the value of each item you received from that source 2. Ignore each item valued at $150 or less 3. Add the value of those items valued at more than $150; if the total is more than $375, then you must list those items on this form	• Anything received from relatives, the U.S. Government, D.C., state, or local governments • Bequests and other forms of inheritance • Gifts and travel reimbursements given to your office in connection with your official travel • Gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises • Anything received by your spouse or dependent child totally independent of their relationship to you

Reportable Information – Go to the last page to see examples of how to report gifts and travel reimbursements.

Source	Description
1	
2	
3	

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Date Received by Office of the Clerk		Page Number

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FINANCIAL DISCLOSURE REPORT
Office of Congressional Ethics
United States House of Representatives

Name (*Print last, first, middle initial*)
SKAGGS, DAVID E

Step 1: Read the instructions for Parts I through V on the following pages.

Step 2: For each statement below, check Yes or No to describe your situation.

I. I have reportable assets or sources of income for myself, my spouse, or my dependent children.	Yes ☑	No ☐
II. I have reportable liabilities (debts) for myself, my spouse, or my dependent children.	Yes ☐	No ☑
III. I have reportable outside positions for myself.	Yes ☑	No ☐
IV. I have reportable agreements or arrangements for myself.	Yes ☐	No ☑
V. I have reportable gifts or travel reimbursements for myself, my spouse, or my dependent children.	Yes ☑	No ☐

Step 3: If you selected "Yes" for any statement, you must describe the reportable interests (but not values) that you have in the corresponding Part (I, II, III, IV, or V) of the form.

Step 4: Sign and date the form.

Step 5: Submit the completed form to the Legislative Resource Center, U.S. House of Representatives, B-81 Cannon House Office Building, Washington, DC 20515.

I certify that the statements I have made on this form and any attached statements are true, complete, and correct to the best of my knowledge.

Signature of Member	Date (*mm/dd/yy*)
	5/14/19

EXAMPLES

Part I: Assets and Income

Specific stock, bond, sector mutual fund, type/location of real estate, etc. *(Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.)* **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** *(Include brief description.)* **You may choose** to distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. Do not include actual names.	**No longer held**
XYZ Japan Fund *(Example of sector mutual fund)*	☐
OGE Energy *(Example of stock that produced more than $200 in capital gains)*	☒
(S) OGC Communications *(Example of stock held in a 401(k) plan)*	☐
ABC Healthcare Fund *(Example of sector fund held in a variable annuity)*	☐
Rental Condo, Anchorage, AK *(Example of investment real estate)*	☐
Bryggadune University – former employer	☒
(S) Express Medical Clinic – employer	☐
Association of Accountants – honoraria	☐

Part II: Liabilities

Name of creditor *(city and state)*	**Type of liability** *(personal loan, margin account, etc.)*
John Jones (Denver, CO)	Personal loan from a friend
ANW Investment Company (San Francisco, CA)	Margin account

Part III: Outside Positions

Organization *(city and state)*	**Type of organization**	**Position**	**No longer held**
Bryggadune University (Memphis, TN)	Educational institution	Professor	☒
ISK Family Trust (Boynton Beach, FL)	Family Trust	Trustee	‼ FORMCHEC
Scenic Rivers Association (Nashville, TN)	Non-profit environmental organization	Member, Board of Directors	☒

Part IV: Agreements or Arrangements

Entity with which you have an agreement or arrangement *(include city and state where entity is located)*	**Terms of Agreement or Arrangement**
Dee, Jones & Smith (San Diego, CA)	Will receive pension benefits (defined benefit plan) *(Example of continuing participation in an employee pension or benefit plan by a former employer)*
Hartford & Brown (San Diego, CA)	Employment agreement with Hartford & Brown. Starting work as attorney in July 2012. Entered into agreement in October 2011. *(Example of agreement for future employment)*

Part V: Gifts and Travel Reimbursements

Source	**Description**
Dee, Jones & Smith	Leather briefcase *(Example of a gift totaling more than $375 from one source)*
CGH Culinary Institute	Airline ticket, hotel room, and meals incident to culinary seminar in Tokyo, Japan from May 1-5, 2011 *(Example of travel reimbursement)*

OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	*Skaggs, David E*	Page Number 2

Part I: Assets and Income

Specific stock, bond, sector mutual fund, type/location of real estate, etc. *(Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.)* **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** *(Include brief description.)* You may distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. Do not include actual names.	No longer held
1 Dentons US LLP; senior adviser, consultant	
2 Soltis Investment Advisors (agent for Fidelity Investments); diversified IRA; J	

Part II: Liabilities

Name of creditor *(include city and state where creditor is located)*	Type of liability *(personal loan, margin account, etc.)*
1 None	

Part III: Outside Positions

Organization *(include city and state where organization is located)*	Type of organization	Position	No longer held
1 U S ASSOCIATION OF FORMER MEMBERS OF CONGRESS, Washington DC	Nonprofit association	Board of trustees	
2 DENTONS US LLP, Denver CO	Law firm	Sr strategic ad-visor, independent consultant	
3 NATIONAL ENDOWMENT FOR DEMOCRACY, Washington DC	Nonprofit international democracy promotion	Vice-chair Board of Directors	
4 AMERICAN UNIVERSITY IN IRAQ – SULAIMANI; Sulaimani, KRG, Iraq	University	Board of trustees	
5 CENTER OF THE AMERICAN WEST, University of Colorado, Boulder CO	University center	Board of directors	

Part IV: Agreements or Arrangements

Entity with which you have an agreement or arrangement *(include city and state where entity is located)*	Terms of Agreement or Arrangement
1 None	

Part V: Gifts and Travel Reimbursements

Source	Description
American University of Iraq - Sulaimani	Airfare and lodging, board meetings: in Sulaimani, KRG (March), in London (June), and Washington, DC (Oct); aggregate total $3100
National Endowment for Democracy	Travel and lodging reimbursements for meetings in Washington DC, January, March, June & September aggregate total $2200

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OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Date Received by Office of the Clerk	Page Number
	1

FINANCIAL DISCLOSURE REPORT
Office of Congressional Ethics
United States House of Representatives

Name (Print last, first, middle initial)
Vinovich Paul D

Step 1: Read the instructions for Parts I through V on the following pages.

Step 2: For each statement below, check Yes or No to describe your situation.

I. I have reportable assets or sources of income for myself, my spouse, or my dependent children.	Yes ☑	No ☐
II. I have reportable liabilities (debts) for myself, my spouse, or my dependent children.	Yes ☐	No ☑
III. I have reportable outside positions for myself.	Yes ☐	No ☑
IV. I have reportable agreements or arrangements for myself.	Yes ☐	No ☑
V. I have reportable gifts or travel reimbursements for myself, my spouse, or my dependent children.	Yes ☐	No ☑

Step 3: If you selected Yes for any statement, you must describe the reportable interests that you have in the corresponding Part (I, II, III, IV, or V) of the form.

Step 4: Sign and date the form.

Step 5: Submit the completed form to the Legislative Resource Center, U.S. House of Representatives, B-81 Cannon House Office Building, Washington, DC 20515.

I certify that the statements I have made on this form and all attached statements are true, complete, and correct to the best of my knowledge.

Signature of Member	Date (mm/dd/yy)
	5/8/19

OCE Financial Disclosure Form

Name (*Print last, first, middle initial*) Vinovich Paul D.	Page Number 2

Part I: Assets and Income

Report for Yourself, Spouse, and Dependent Child:	Do Not Report:
• Assets held for investment or the production of income that ended the reporting period with a value greater than $1,000. In addition, assets from which more than $1,000 in income was received during the reporting period. Reportable assets include, but are not limited to: – Assets such as stocks, bonds, annuities, trust holdings, partnership interests, life insurance, investment real estate, or a privately-held trade or business – Sector mutual funds: those funds invested in a particular industry, business, or location, such as ABC Electronics Fund or XYZ Canada Fund (report the full name of the fund, not just the family fund name) – Holdings of retirement plans, such as 401(k)s or IRAs, investment life insurance, or variable annuities (report each holding unless listed in the Do Not Report section) – Defined benefit pension plans provided by a former employer (include the name of the employer)	• Federal Government retirement benefits • Thrift Savings Plan • Certificates of deposit, savings or checking accounts • Term life insurance • Money market mutual funds and money market accounts • Your personal residence, unless you rent all or part of it out • U.S. Government Treasury bonds, bills, notes, and savings bonds • Diversified mutual funds, such as ABC Equity Value Fund or XYZ Large Capital Fund • Diversified funds within an employee benefit plan • Money owed to you, your spouse, or dependent child by a spouse, parent, sibling, or child

Also Report:	Do Not Report:
• For yourself: (1) all sources of salary, fees, commissions, and other earned income greater than $1,000, (2) honoraria greater than $1,000, and (3) other non-investment income such as scholarships, prizes, and gambling income greater than $1,000 • For your spouse: (1) all sources of salary, fees, commissions, and other earned income greater than $1,000, and (2) honoraria greater than $1,000	• Dependent child's earned income • Veterans' benefits • Federal Government salary • Social Security benefits

Important Definitions

Diversified Mutual Fund – A mutual fund that does not have a stated policy of concentrating its investments in one industry, business, or single country other than the United States.	
Sector Mutual Fund – A mutual fund that concentrates its investments in an industry, business, single country other than the United States, or bonds of a single state within the United States.	
Diversified Fund within an Employee Benefit Plan – An employee benefit plan fund that has a written policy of varying investments without concentration in one industry, business, or single country other than the United States.	
Dependent Child – A son, daughter, stepson or stepdaughter who is either unmarried and under age 21 and living in the filer's house, or considered dependent under the U.S. tax code.	

Reportable Information – Go to the last page to see examples of how to report assets and income.

Specific stock, bond, sector mutual fund, type/location of real estate, etc. (*Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.*) **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** (*Include brief description.*) You may distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. Do not include actual names.	**No longer held**
1 See Attached	☐
2 Termination Report - U.S. Senate	☐
3 Filed 1/25/19	☐
4 Part 3 - Assets	☐

OCE Financial Disclosure Form

Name (*Print last, first, middle initial*) Vinovich Paul D	Page Number 3

Part I: Assets and Income

Continuation Page

Specific stock, bond, sector mutual fund, type/location of real estate, etc. (*Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.*) **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** (*Include brief description.*) You may distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. Do not include actual names.	**No longer held**
1	☐
2	☐
3	☐
4	☐
5	☐
6	☐
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8	☐
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20	☐

OCE Financial Disclosure Form

Name (*Print last, first, middle initial*) Vinovich Paul D	Page Number 4

Part II: Liabilities

Report for Yourself, Spouse, and Dependent Child:	Do Not Report:
• Liabilities that exceeded $10,000 during the reporting period	• Any liability, such as a mortgage, a student loan, or a credit card account, from a financial institution or business entity granted on terms made available to the general public • Loans secured by automobiles, household furniture, or appliances, unless the loan exceeds the purchase price of the item it secures • Liabilities that you owe to your spouse or to the parent, sibling, or child of you, your spouse, or your dependent child

Reportable Information – Go to the last page to see examples of how to report liabilities.

Name of creditor (*include city and state where creditor is located*)	**Type of liability** (*personal loan, margin account, etc.*)
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OCE Financial Disclosure Form

Name (*Print last, first, middle initial*) Vinovich Paul D	Page Number 5

Part III: Outside Positions

Report for Yourself:	Do Not Report:
• All positions outside the U.S. Government held at any time during the reporting period, whether or not you were compensated and whether or not you currently hold that position. Positions include an officer, director, employee, trustee, general partner, proprietor, representative, executor, or consultant of any of the following: - Corporation, partnership, trust, or other business entity - Non-profit or volunteer organization - Educational institution - State or Local Government	• Any position with a - Religious entity - Social entity - Fraternal entity - Political entity • Any position held by your spouse or dependent child • Any position that you hold as part of your official duties

Reportable Information – Go to the last page to see examples of how to report outside positions.

Organization (*include city and state where organization is located*)	Type of organization	Position	No longer held
1			☐
2			☐
3			☐
4			☐
5			☐
6			☐
7			☐
8			☐
9			☐
10			☐

OCE Financial Disclosure Form

Name *(Print last, first, middle initial)* Vinovich Paul D	Page Number 6

Part IV: Agreements or Arrangements

Report Your Agreements or Arrangements for:	Do Not Report:
• Continuing participation in an employee pension or benefit plan maintained by a current or former employer • A leave of absence • Future employment, including date you accepted employment offer • Continuation of payment by a current or former employer (including severance payments)	• Any agreement or arrangement related to your employment by the Federal Government • Spouse's and dependent child's agreements or arrangements • Continuing participation in a defined contribution plan, such as a 401(k) plan, to which a former employer is no longer making contributions

Reportable Information -- Go to the last page to see examples of how to report agreements and arrangements.

Entity with which you have an agreement or arrangement *(include city and state where entity is located)*	Terms of Agreement or Arrangement
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OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
Vinovich Paul D	7

Part V: Gifts and Travel Reimbursements

Report for Yourself, Spouse, and Dependent Child:	Do Not Report:
• Any gifts or travel reimbursements (items such as lodging, transportation, and food) totaling more than $390* from any one source during the reporting period; include where you traveled, the purpose, and date(s) of the trip for travel gifts and reimbursements * If you received more than one gift from one source: 1. Determine the value of each item you received from that source 2. Ignore each item valued at $156 or less 3. Add the value of those items valued at more than $156; if the total is more than $390, then you must list those items on this form	• Anything received from relatives, the U.S. Government, D.C., state, or local governments • Bequests and other forms of inheritance • Gifts and travel reimbursements given to your office in connection with your official travel • Gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises • Anything received by your spouse or dependent child totally independent of their relationship to you

Reportable Information – Go to the last page to see examples of how to report gifts and travel reimbursements.

Source	Description
1	
2	
3	

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LEGISLATIVE RESOURCE CENTER
2019 MAY 15 PM 2:47
U.S. HOUSE OF REPRESENTATIVES

Page Number

FINANCIAL DISCLOSURE REPORT
Office of Congressional Ethics
United States House of Representatives

Name (*Print last, first, middle initial*)
Westmoreland, Leon A.

Step 1: Read the instructions for Parts I through V on the following pages.

Step 2: For each statement below, check Yes or No to describe your situation.

I. I have reportable assets or sources of income for myself, my spouse, or my dependent children.	**Yes ☒**	**No ☐**
II. I have reportable liabilities (debts) for myself, my spouse, or my dependent children.	**Yes ☐**	**No ☒**
III. I have reportable outside positions for myself.	**Yes ☒**	**No ☐**
IV. I have reportable agreements or arrangements for myself.	**Yes ☒**	**No ☐**
V. I have reportable gifts or travel reimbursements for myself, my spouse, or my dependent children.	**Yes ☐**	**No ☒**

Step 3: If you selected Yes for any statement, you must describe the reportable interests that you have in the corresponding Part (I, II, III, IV, or V) of the form.

Step 4: Sign and date the form.

Step 5: Submit the completed form to the Legislative Resource Center, U.S. House of Representatives, B-81 Cannon House Office Building, Washington, DC 20515.

I certify that the statements I have made on this form and all attached statements are true, complete, and correct to the best of my knowledge.

Signature of Member	Date (*mm/dd/yy*)
	05/15/2019

EXAMPLES

Part I: Assets and Income

Specific stock, bond, sector mutual fund, type/location of real estate, etc. *(Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.)* **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** *(Include brief description.)* You may distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. <u>Do not include actual names.</u>	**No longer held**
OGC Communications (OGC) *(Example of a stock with a ticker symbol)*	☐
OGE Energy *(Example of sold stock that produced more than $1,000 in income)*	☒
(S) OGE Energy bond *(Example of a corporate bond held by a spouse)*	☐
ABC Healthcare Fund *(Example of a sector fund held in a 401(k) plan)*	☐
Residential real estate, Anchorage, AK *(Example of investment real estate)*	☐
Bryggadune University – salary *(Example of earned income from a former employer)*	☒
(S) Express Medical Clinic – salary *(Example of a spouse's earned income from a current employer)*	☐
Association of Accountants – honorarium *(Example of a single honorarium from the listed source)*	☐

Part II: Liabilities

Name of creditor *(city and state)*	**Type of liability** *(personal loan, margin account, etc.)*
John Jones (Denver, CO)	Personal loan from a friend
ANW Investment Company (San Francisco, CA)	Margin account

Part III: Outside Positions

Organization *(city and state)*	**Type of organization**	**Position**	**No longer held**
Bryggadune University (Memphis, TN)	Educational institution	Professor	☒
ISK Family Trust (Boynton Beach, FL)	Family Trust	Trustee	☐
Scenic Rivers Association (Nashville, TN)	Non-profit environmental organization	Member, Board of Directors	☒

Part IV: Agreements or Arrangements

Entity with which you have an agreement or arrangement *(include city and state where entity is located)*	**Terms of Agreement or Arrangement**
Dee, Jones & Smith (San Diego, CA)	I will continue to participate in this defined benefit plan *(Example of continuing participation in a defined benefit plan with a former employer)*
Hartford & Brown (San Diego, CA)	Employment agreement with Hartford & Brown. Starting work as attorney in July 2019. Entered into agreement in October 2018. *(Example of an agreement for future employment)*

Part V: Gifts and Travel Reimbursements

Source	**Description**
Dee, Jones & Smith	Leather briefcase *(Example of a gift totaling more than $390 from one source)*
CGH Culinary Institute	Airline ticket, hotel room, and meals incident to culinary seminar in Tokyo, Japan from May 1-5, 2018 *(Example of a travel reimbursement)*

OCE Financial Disclosure Form

Name *(Print last, first, middle initial)*	Page Number
Westmoreland, Leon A.	2

Part I: Assets and Income

Report for Yourself, Spouse, and Dependent Child:	Do Not Report:
• Assets held for investment or the production of income that ended the reporting period with a value greater than $1,000. In addition, assets from which more than $1,000 in income was received during the reporting period. Reportable assets include, but are not limited to: – Assets such as stocks, bonds, annuities, trust holdings, partnership interests, life insurance, investment real estate, or a privately-held trade or business – Sector mutual funds: those funds invested in a particular industry, business, or location, such as ABC Electronics Fund or XYZ Canada Fund (report the full name of the fund, not just the family fund name) – Holdings of retirement plans, such as 401(k)s or IRAs, investment life insurance, or variable annuities (report each holding unless listed in the Do Not Report section) – Defined benefit pension plans provided by a former employer (include the name of the employer)	• Federal Government retirement benefits • Thrift Savings Plan • Certificates of deposit, savings or checking accounts • Term life insurance • Money market mutual funds and money market accounts • Your personal residence, unless you rent all or part of it out • U.S. Government Treasury bonds, bills, notes, and savings bonds • Diversified mutual funds, such as ABC Equity Value Fund or XYZ Large Capital Fund • Diversified funds within an employee benefit plan • Money owed to you, your spouse, or dependent child by a spouse, parent, sibling, or child

Also Report:	Do Not Report:
• For yourself: (1) all sources of salary, fees, commissions, and other earned income greater than $1,000, (2) honoraria greater than $1,000, and (3) other non-investment income such as scholarships, prizes, and gambling income greater than $1,000 • For your spouse: (1) all sources of salary, fees, commissions, and other earned income greater than $1,000, and (2) honoraria greater than $1,000	• Dependent child's earned income • Veterans' benefits • Federal Government salary • Social Security benefits

Important Definitions

Diversified Mutual Fund – A mutual fund that does not have a stated policy of concentrating its investments in one industry, business, or single country other than the United States.
Sector Mutual Fund – A mutual fund that concentrates its investments in an industry, business, single country other than the United States, or bonds of a single state within the United States.
Diversified Fund within an Employee Benefit Plan – An employee benefit plan fund that has a written policy of varying investments without concentration in one industry, business, or single country other than the United States.
Dependent Child – A son, daughter, stepson or stepdaughter who is either unmarried and under age 21 and living in the filer's house, **or** considered dependent under the U.S. tax code.

Reportable Information – Go to the last page to see examples of how to report assets and income.

Specific stock, bond, sector mutual fund, type/location of real estate, etc. *(Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.)* **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** *(Include brief description.)* **You may** distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. Do not include actual names.	**No longer held**
1 Georgia Legislative Retirement System	☐
2 Westmoreland Strategies LLC (consulting firm focusing on clients seeking to interact with federal government or State of Georgia; income in the form of consulting fees)	☐
3	☐
4	☐

OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
Westmoreland, Leon A.	3

Part I: Assets and Income

Continuation Page

Specific stock, bond, sector mutual fund, type/location of real estate, etc. (*Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.*) **Name of Employer or Business; Source of Fees, Commissions, or Honoraria** (*Include brief description.*) **You may** distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held. Do not include actual names.	**No longer held**
1	☐
2	☐
3	☐
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Name (*Print last, first, middle initial*)	Page Number
Westmoreland, Leon A.	

Part II: Liabilities

Report for Yourself, Spouse, and Dependent Child:	Do Not Report:
• Liabilities that exceeded $10,000 during the reporting period	• Any liability, such as a mortgage, a student loan, or a credit card account, from a financial institution or business entity granted on terms made available to the general public • Loans secured by automobiles, household furniture, or appliances, unless the loan exceeds the purchase price of the item it secures • Liabilities that you owe to your spouse or to the parent, sibling, or child of you, your spouse, or your dependent child

Reportable Information – Go to the last page to see examples of how to report liabilities.

Name of creditor *(include city and state where creditor is located)*	Type of liability *(personal loan, margin account, etc.)*
1 N/A	
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OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number
Westmoreland, Leon A.	

Part III: Outside Positions

Report for Yourself:	Do Not Report:
• All positions outside the U.S. Government held at any time during the reporting period, whether or not you were compensated and whether or not you currently hold that position. Positions include an officer, director, employee, trustee, general partner, proprietor, representative, executor, or consultant of any of the following: – Corporation, partnership, trust, or other business entity – Non-profit or volunteer organization – Educational institution – State or Local Government	• Any position with a – Religious entity – Social entity – Fraternal entity – Political entity • Any position held by your spouse or dependent child • Any position that you hold as part of your official duties

Reportable Information – Go to the last page to see examples of how to report outside positions.

Organization *(include city and state where organization is located)*	Type of organization	Position	No longer held
1 Westmoreland Strategies LLC, Grantville, GA 30220	LLC	Managing Member	☐
2 Georgia Department of Transportation Board Member, Atlanta, GA 30334	State Department of Transportation	Board Member	☐
3 Bloom Our Youth, Inc., Fayetteville GA 30214	Non-profit organization	Board Member	☐
4 Faith and Freedom Coalition, Duluth, GA 30095	Non-profit organization	Board Member	☐
5			☐
6			☐
7			☐
8			☐
9			☐
10			☐

OCE Financial Disclosure Form

Name *(Print last, first, middle initial)*	Page Number

Part IV: Agreements or Arrangements

Report Your Agreements or Arrangements for:	Do Not Report:
• Continuing participation in an employee pension or benefit plan maintained by a current or former employer • A leave of absence • Future employment, including date you accepted employment offer • Continuation of payment by a current or former employer (including severance payments)	• Any agreement or arrangement related to your employment by the Federal Government • Spouse's and dependent child's agreements or arrangements • Continuing participation in a defined contribution plan, such as a 401(k) plan, to which a former employer is no longer making contributions

Reportable Information – Go to the last page to see examples of how to report agreements and arrangements.

Entity with which you have an agreement or arrangement *(include city and state where entity is located)*	**Terms of Agreement or Arrangement**
1 Georgia Legislative Retirement System	I will continue to participate in this defined-benefit plan
2	
3	
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OCE Financial Disclosure Form

Name (*Print last, first, middle initial*)	Page Number

Part V: Gifts and Travel Reimbursements

Report for Yourself, Spouse, and Dependent Child:	Do Not Report:
• Any gifts or travel reimbursements (items such as lodging, transportation, and food) totaling more than $390* from any one source during the reporting period; include where you traveled, the purpose, and date(s) of the trip for travel gifts and reimbursements * If you received more than one gift from one source: 1. Determine the value of each item you received from that source 2. Ignore each item valued at $156 or less 3. Add the value of those items valued at more than $156; if the total is more than $390, then you must list those items on this form	• Anything received from relatives, the U.S. Government, D.C., state, or local governments • Bequests and other forms of inheritance • Gifts and travel reimbursements given to your office in connection with your official travel • Gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises • Anything received by your spouse or dependent child totally independent of their relationship to you

Reportable Information – Go to the last page to see examples of how to report gifts and travel reimbursements.

Source	Description
1 N/A	
2	
3	