

# DEPARTMENT OF EDUCATION

## OFFICE OF ELEMENTARY AND SECONDARY EDUCATION

### Federal Funds

#### EDUCATION STABILIZATION FUND

For an additional amount for "Education Stabilization Fund", \$81,880,000,000, to remain available through September 30, 2022, to prevent, prepare for, and respond to coronavirus, domestically or internationally: *Provided*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. **I** (*Coronavirus Response and Relief Supplemental Appropriations Act, 2021.*)

#### Program and Financing (in millions of dollars)

Identification code 091-0251-0-1-501	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Education Stabilization Fund .....	30,088	248,038	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	30,088	248,038	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		508	.....
1011 Unobligated balance transfer from other acct [091-0203] ....		100	.....
1050 Unobligated balance (total) .....		608	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	30,750	81,880	.....
1120 Appropriations transferred to other acct [014-2106] .....	-154	-409	.....
1160 Appropriation, discretionary (total) .....	30,596	81,471	.....
Appropriations, mandatory:			
1200 Appropriation .....		165,959	.....
1900 Budget authority (total) .....	30,596	247,430	.....
1930 Total budgetary resources available .....	30,596	248,038	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	508		.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		18,928	221,836
3010 New obligations, unexpired accounts .....	30,088	248,038	.....
3020 Outlays (gross) .....	-11,160	-45,130	-104,058
3050 Unpaid obligations, end of year .....	18,928	221,836	117,778
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		18,928	221,836
3200 Obligated balance, end of year .....	18,928	221,836	117,778
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	30,596	81,471	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11,160	24,441	.....
4011 Outlays from discretionary balances .....		12,391	45,972
4020 Outlays, gross (total) .....	11,160	36,832	45,972
Mandatory:			
4090 Budget authority, gross .....		165,959	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....		8,298	.....
4101 Outlays from mandatory balances .....			58,086
4110 Outlays, gross (total) .....		8,298	58,086
4180 Budget authority, net (total) .....	30,596	247,430	.....
4190 Outlays, net (total) .....	11,160	45,130	104,058

Funds support the following programs authorized and funded in response to the novel coronavirus of 2019 (COVID-19) under the Coronavirus Aid, Relief, and Economic Security Act (the CARES Act); the Coronavirus Response and Relief Supplemental Appropriations Act, 2021; and the American Rescue Plan Act of 2021: the Elementary and Secondary School Emergency Relief Fund, Governors Emergency Education Relief Fund, Discretionary Grants, Emergency Assistance to Nonpublic Schools, Assistance for Homeless Children and Youth; Assistance for the Outlying Areas; and the Higher Education Emergency Relief Fund. Amounts in this

schedule reflect balances that are spending out from prior year appropriations.

#### EDUCATION FOR THE DISADVANTAGED

For carrying out title I and subpart 2 of part B of title II of the Elementary and Secondary Education Act of 1965 (referred to in this Act as "ESEA") and section 418A of the Higher Education Act of 1965 (referred to in this Act as "HEA"), **[\$17,226,790,000] \$17,246,790,000**, of which \$6,306,490,000 shall become available on July 1, **[2021] 2022**, and shall remain available through September 30, **[2022] 2023**, and of which \$10,841,177,000 shall become available on October 1, **[2021] 2022**, and shall remain available through September 30, **[2022] 2023**, for academic year **[2021-2022] 2022-2023: Provided**, That \$6,459,401,000 shall be for basic grants under section 1124 of the ESEA: *Provided further*, That up to \$5,000,000 of these funds shall be available to the Secretary of Education (referred to in this title as "Secretary") on October 1, **[2020] 2021**, to obtain annually updated local educational agency-level census poverty data from the Bureau of the Census: *Provided further*, That \$1,362,301,000 shall be for concentration grants under section 1124A of the ESEA: *Provided further*, That \$4,357,550,000 shall be for targeted grants under section 1125 of the ESEA: *Provided further*, That \$4,357,550,000 shall be for education finance incentive grants under section 1125A of the ESEA: *Provided further*, That \$220,000,000 shall be for carrying out subpart 2 of part B of title II: *Provided further*, That **[\$46,123,000] \$66,123,000** shall be for carrying out section 418A of the HEA. (*Department of Education Appropriations Act, 2021.*)

#### Program and Financing (in millions of dollars)

Identification code 091-0900-0-1-501	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Grants to local educational agencies .....	16,313	16,535	16,537
0002 State agency programs: Migrants .....	375	376	376
0003 State agency programs: Neglected and delinquent .....	48	48	48
0004 Special programs for migrant students .....	46	46	66
0006 Comprehensive literacy development grants .....	190	192	192
0007 Innovative approaches to literacy .....	27	28	28
0900 Total new obligations, unexpired accounts .....	16,999	17,225	17,247
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	202	200	202
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6,156	6,386	6,406
Advance appropriations, discretionary:			
1170 Advance appropriation .....	10,841	10,841	10,841
1900 Budget authority (total) .....	16,997	17,227	17,247
1930 Total budgetary resources available .....	17,199	17,427	17,449
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	200	202	202
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11,416	12,532	10,976
3010 New obligations, unexpired accounts .....	16,999	17,225	17,247
3020 Outlays (gross) .....	-15,862	-18,781	-17,162
3041 Recoveries of prior year unpaid obligations, expired .....	-21		
3050 Unpaid obligations, end of year .....	12,532	10,976	11,061
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11,416	12,532	10,976
3200 Obligated balance, end of year .....	12,532	10,976	11,061
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	16,997	17,227	17,247
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6,186	7,842	7,843
4011 Outlays from discretionary balances .....	9,676	10,939	9,319
4020 Outlays, gross (total) .....	15,862	18,781	17,162
4180 Budget authority, net (total) .....	16,997	17,227	17,247
4190 Outlays, net (total) .....	15,862	18,781	17,162

EDUCATION FOR THE DISADVANTAGED—Continued  
Summary of Budget Authority and Outlays (in millions of dollars)

	2020 actual	2021 est.	2022 est.
Enacted/requested:			
Budget Authority .....	16,997	17,227	17,247
Outlays .....	15,862	18,781	17,162
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			20,000
Outlays .....			400
Total:			
Budget Authority .....	16,997	17,227	37,247
Outlays .....	15,862	18,781	17,562

## SUMMARY OF PROGRAM LEVEL

(in millions of dollars)

	2020–2021 Academic Year	2021–2022 Academic Year	2022–2023 Academic Year
New Budget Authority .....	\$6,156	\$6,386	\$6,406
Advance appropriation .....	10,841	10,841	10,841
Total program level .....	16,997	17,227	17,247

Change in advance appropriation from the previous year ..... 0 0 0

**Grants to local educational agencies.**—Funds are allocated via formula for programs that provide academic support to help students in high-poverty schools meet challenging State standards. States assess annually all students in certain grades in at least English language arts, mathematics, and science; develop systems to differentiate among schools on the basis of performance on those assessments and other indicators; provide parents with information on the performance of their child's school; and ensure the development and implementation of support and improvement plans for the lowest-performing schools.

**State agency migrant program.**—Funds support formula grants to States for educational services to children of migratory farmworkers and fishers, with resources and services for children who have moved within the past 36 months.

**State agency neglected and delinquent children and youth education program.**—Funds support formula grants to States for educational services to neglected or delinquent children and youth in State-run institutions, attending community day programs, and in correctional facilities.

**Special programs for migrant students.**—Funds support grants to institutions of higher education and nonprofit organizations that assist migrant students in earning a high school equivalency certificate or in completing their first year of college.

**Comprehensive literacy development grants.**—Funds support continuation grants to States to provide targeted, evidence-based literacy interventions in high-need schools. States must award subgrants to LEAs to support literacy interventions for children from birth through kindergarten entry and for students from kindergarten through grade 12.

**Innovative approaches to literacy.**—Funds support competitive grants to LEAs, consortia of LEAs, the Bureau of Indian Education, or national nonprofit organizations, to promote literacy programs that support the development of literacy skills in low-income communities. Grantees would develop and implement school library programs and provide high-quality, developmentally appropriate, and up-to-date reading material to children and adolescents in low-income communities.

## Object Classification (in millions of dollars)

Identification code 091–0900–0–1–501	2020 actual	2021 est.	2022 est.
Direct obligations:			
25.1 Advisory and assistance services .....	5	10	12
25.2 Other services from non-Federal sources .....	10	1	
25.3 Other goods and services from Federal sources .....		5	5
25.5 Research and development contracts .....	2	5	2
25.7 Operation and maintenance of equipment .....	6	2	5
41.0 Grants, subsidies, and contributions .....	16,976	17,202	17,223
99.9 Total new obligations, unexpired accounts .....	16,999	17,225	17,247

EDUCATION FOR THE DISADVANTAGED  
(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

Identification code 091–0900–2–1–501	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Title I equity grants .....			20,000
0900 Total new obligations, unexpired accounts (object class 41.0) .....			20,000
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			20,000
1900 Budget authority (total) .....			20,000
1930 Total budgetary resources available .....			20,000
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			20,000
3020 Outlays (gross) .....			–400
3050 Unpaid obligations, end of year .....			19,600
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			19,600
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			20,000
Outlays, gross:			
4010 Outlays from new discretionary authority .....			400
4180 Budget authority, net (total) .....			20,000
4190 Outlays, net (total) .....			400

**Title I equity grants.**—This program will provide the largest increase in annual funding for Title I schools since the inception of the program. It will help address long-standing funding disparities between under-resourced school districts and their wealthier counterparts and provide critical new support to advance the President's commitments to ensure teachers at Title I schools are paid competitively, ensure equitable access to rigorous curriculum, expand access to pre-kindergarten and provide meaningful incentives to examine and address inequalities in school funding systems.

## IMPACT AID

For carrying out programs of financial assistance to federally affected schools authorized by title VII of the ESEA, **[\$1,501,112,000]** *\$1,541,112,000*, of which **[\$1,354,242,000]** *\$1,394,242,000* shall be for basic support payments under section 7003(b), \$48,316,000 shall be for payments for children with disabilities under section 7003(d), \$17,406,000, to remain available through September 30, **[2022]** 2023, shall be for construction under section 7007(b), \$76,313,000 shall be for Federal property payments under section 7002, and \$4,835,000, to remain available until expended, shall be for facilities maintenance under section 7008: *Provided*, That for purposes of computing the amount of a payment for an eligible local educational agency under section 7003(a) for school year **[2020–2021]** 2021–2022, children enrolled in a school of such agency that would otherwise be eligible for payment under section 7003(a)(1)(B) of such Act, but due to the deployment of both parents or legal guardians, or a parent or legal guardian having sole custody of such children, or due to the death of a military parent or legal guardian while on active duty (so long as such children reside on Federal property as described in section 7003(a)(1)(B)), are no longer eligible under such section, shall be considered as eligible students under such section, provided such students remain in average daily attendance at a school in the same local educational agency they attended prior to their change in eligibility status. (*Department of Education Appropriations Act, 2021.*)

## Program and Financing (in millions of dollars)

Identification code 091–0102–0–1–501	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Basic support payments .....	1,340	1,354	1,395
0002 Payments for children with disabilities .....	48	49	48
0091 Direct program activities, subtotal .....	1,388	1,403	1,443

0101	Facilities maintenance .....	13	5	5
0201	Construction .....	35	17	17
0301	Payments for Federal property .....	75	76	76
0900	Total new obligations, unexpired accounts (object class 41.0) .....	1,511	1,501	1,541

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	26	2	2
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	27	2	2
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1,486	1,501	1,541
1930	Total budgetary resources available .....	1,513	1,503	1,543
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2	2	2

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	201	246	342
3010	New obligations, unexpired accounts .....	1,511	1,501	1,541
3011	Obligations ("upward adjustments"), expired accounts .....	186		
3020	Outlays (gross) .....	-1,465	-1,405	-1,580
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041	Recoveries of prior year unpaid obligations, expired .....	-186		
3050	Unpaid obligations, end of year .....	246	342	303
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	201	246	342
3200	Obligated balance, end of year .....	246	342	303

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	1,486	1,501	1,541
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,274	1,333	1,369
4011	Outlays from discretionary balances .....	191	72	211
4020	Outlays, gross (total) .....	1,465	1,405	1,580
4180	Budget authority, net (total) .....	1,486	1,501	1,541
4190	Outlays, net (total) .....	1,465	1,405	1,580

Impact Aid helps to replace the lost local revenue that would otherwise be available to educate federally connected children. The presence of certain students living on Federal property, such as students who are military dependents or who reside on Indian lands, can place a financial burden on local educational agencies (LEAs) that educate them. The property on which the children live and their parents work is exempt from local property taxes, limiting LEAs' access to a central source of revenue used by most communities to finance education.

**Basic support payments.**—Payments will be made on behalf of approximately 760,000 federally connected students enrolled in over 1,000 LEAs to assist them in meeting their operation and maintenance costs. Average per-student payments will be approximately \$1,840.

**Payments for children with disabilities.**—Payments in addition to those provided under the Individuals with Disabilities Education Act (IDEA) will be provided on behalf of approximately 54,000 federally connected students with disabilities in approximately 800 LEAs. Average per-student payments will be approximately \$900.

**Facilities maintenance.**—Funds will be used to provide emergency repairs for school facilities that serve military dependents and are owned by the Department of Education. Funds will also be used to transfer the facilities to LEAs.

**Construction.**—Approximately 7 to 8 construction grants will be awarded competitively to the highest-need Impact Aid LEAs for emergency repairs and modernization of school facilities.

**Payments for Federal property.**—Payments will be made to approximately 200 local educational agencies in which real property owned by the Federal Government represents 10 percent or more of the assessed value of real property in the local educational agency.

## INFRASTRUCTURE

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 091–0209–4–1–501	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 K-12 school infrastructure .....			10,000
0002 Community college infrastructure .....			2,400
0900 Total new obligations, unexpired accounts (object class 41.0) .....			12,400
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			12,400
1930 Total budgetary resources available .....			12,400
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			12,400
3020 Outlays (gross) .....			-1,240
3050 Unpaid obligations, end of year .....			11,160
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			11,160
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			12,400
Outlays, gross:			
4100 Outlays from new mandatory authority .....			1,240
4180 Budget authority, net (total) .....			12,400
4190 Outlays, net (total) .....			1,240

**K-12 school infrastructure.**—Funds for this initiative would provide \$100 billion over 10 years to upgrade and build new public schools. Of the total, \$50 billion would be provided through competitive grants; the remaining \$50 billion leveraged through bonds. Funds would help close the opportunity gap created by unsafe and ill-equipped school facilities in high-poverty school districts and modern facilities in wealthier districts with labs and technology that prepare their students for the jobs of the future. Projects would focus on improving indoor air quality and ventilation, implementing energy efficient and climate resilient design features, and ensuring access to cutting-edge educational technology.

**Community college infrastructure.**—Funds for this initiative would provide \$12 billion over 10 years to support competitive grants to upgrade community college facilities and technology to help protect the health and safety of students and faculty, address education deserts (particularly for rural communities), grow local economies, and improve energy efficiency and climate resilience.

## SCHOOL IMPROVEMENT PROGRAMS

For carrying out school improvement activities authorized by part B of title I, part A of title II, subpart 1 of part A of title IV, part B of title IV, part B of title V, and parts B and C of title VI of the ESEA; the McKinney-Vento Homeless Assistance Act; section 203 of the Educational Technical Assistance Act of 2002; the Compact of Free Association Amendments Act of 2003; and the Civil Rights Act of 1964, **[\$5,444,217,000] \$5,507,675,000**, of which **[\$3,613,652,000] \$3,674,152,000** shall become available on July 1, **[2021] 2022**, and remain available through September 30, **[2022] 2023**, and of which \$1,681,441,000 shall become available on October 1, **[2021] 2022**, and shall remain available through September 30, **[2022] 2023**, for academic year **[2021–2022] 2022–2023**: *Provided*, That \$378,000,000 shall be for part B of title I: *Provided further*, That **[\$1,259,673,000] \$1,309,673,000** shall be for part B of title IV: *Provided further*, That \$37,397,000 shall be for part B of title VI, which may be used for construction, renovation, and modernization of any public elementary school, secondary school, or structure related to a public elementary school or secondary school that serves a predominantly Native Hawaiian student body, and that the 5 percent limitation in section 6205(b) of the ESEA on the use of funds for administrative purposes shall apply only to direct administrative costs: *Provided further*, That \$36,453,000 shall be for part C of title VI, which shall be awarded on a competitive basis, and may be used for construction,

## SCHOOL IMPROVEMENT PROGRAMS—Continued

and that the 5 percent limitation in section 6305 of the ESEA on the use of funds for administrative purposes shall apply only to direct administrative costs: *Provided further*, That \$52,000,000 shall be available to carry out section 203 of the Educational Technical Assistance Act of 2002 and the Secretary shall make such arrangements as determined to be necessary to ensure that the Bureau of Indian Education has access to services provided under this section: *Provided further*, That **[\$16,699,000]** \$19,657,000 shall be available to carry out the Supplemental Education Grants program for the Federated States of Micronesia and the Republic of the Marshall Islands: *Provided further*, That the Secretary may reserve up to 5 percent of the amount referred to in the previous proviso to provide technical assistance in the implementation of these grants: *Provided further*, That **[\$187,840,000]** \$192,840,000 shall be for part B of title V: *Provided further*, That \$1,220,000,000 shall be available for grants under subpart 1 of part A of title IV. (*Department of Education Appropriations Act, 2021.*)

## Program and Financing (in millions of dollars)

Identification code 091–1000–0–1–501	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Supporting effective instruction State grants .....	2,128	2,136	2,149
0002 21st century community learning centers .....	1,246	1,258	1,310
0003 State assessments .....	382	378	378
0004 Education for homeless children and youths .....	102	106	106
0005 Native Hawaiians education .....	37	122	37
0006 Alaska Native education .....	36	121	36
0007 Training and advisory services .....	7	7	7
0008 Rural education .....	186	188	193
0009 Supplemental education grants .....	17	17	20
0010 Comprehensive centers .....	52	52	52
0011 Pooled evaluation .....	11	10	9
0012 Student support and academic enrichment .....	1,205	1,220	1,220
0900 Total new obligations, unexpired accounts .....	5,409	5,615	5,517
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	52	48	47
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,724	3,763	3,826
Advance appropriations, discretionary:			
1170 Advance appropriation .....	1,681	1,681	1,681
Appropriations, mandatory:			
1200 Appropriation .....		170	
1900 Budget authority (total) .....	5,405	5,614	5,507
1930 Total budgetary resources available .....	5,457	5,662	5,554
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	48	47	37
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6,202	6,870	6,463
3010 New obligations, unexpired accounts .....	5,409	5,615	5,517
3011 Obligations ("upward adjustments"), expired accounts .....	3		
3020 Outlays (gross) .....	-4,720	-6,022	-5,548
3041 Recoveries of prior year unpaid obligations, expired .....	-24		
3050 Unpaid obligations, end of year .....	6,870	6,463	6,432
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6,202	6,870	6,463
3200 Obligated balance, end of year .....	6,870	6,463	6,432
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5,405	5,444	5,507
Outlays, gross:			
4010 Outlays from new discretionary authority .....	868	1,084	1,086
4011 Outlays from discretionary balances .....	3,852	4,935	4,403
4020 Outlays, gross (total) .....	4,720	6,019	5,489
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	3		
4070 Budget authority, net (discretionary) .....	5,405	5,444	5,507
4080 Outlays, net (discretionary) .....	4,717	6,019	5,489
Mandatory:			
4090 Budget authority, gross .....		170	
Outlays, gross:			
4100 Outlays from new mandatory authority .....		3	

4101	Outlays from mandatory balances .....			59
4110	Outlays, gross (total) .....		3	59
4180	Budget authority, net (total) .....	5,405	5,614	5,507
4190	Outlays, net (total) .....	4,717	6,022	5,548

## Summary of Budget Authority and Outlays (in millions of dollars)

	2020 actual	2021 est.	2022 est.
Enacted/requested:			
Budget Authority .....	5,405	5,614	5,507
Outlays .....	4,717	6,022	5,548
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			1,025
Outlays .....			20
Total:			
Budget Authority .....	5,405	5,614	6,532
Outlays .....	4,717	6,022	5,568

## SUMMARY OF PROGRAM LEVEL

(in millions of dollars)

	2020–2021 Academic Year	2021–2022 Academic Year	2022–2023 Academic Year
New Budget Authority .....	\$3,724	\$3,763	\$3,826
Advance Appropriation .....	1,681	1,681	1,681
Total program level .....	5,405	5,444	5,507
Change in advance appropriation over previous year .....	0	0	0

*Improving teacher quality State grants.*—Funds support formula grants to States and LEAs to improve teacher and principal effectiveness and ensure the equitable distribution of effective and highly effective teachers and principals. The Department would reserve up to 5 percent of the appropriation for this program to support teacher and school leader enhancement projects with evidence of effectiveness and conduct related national leadership activities.

*21st century community learning centers.*—Funds support formula grants to States for projects that provide the additional time, support, and enrichment activities needed to improve student achievement.

*State assessments.*—Funds support formula grants to States to develop and implement assessments that are aligned with college- and career-ready academic standards. Funds could also support audits of State and local assessment systems.

*Education for homeless children and youths.*—Funds support formula grants to States to provide educational and support services that enable homeless children and youth to attend and achieve success in school.

*Native Hawaiians education.*—Funds support competitive grants to public and private entities to develop or operate innovative projects that enhance the educational services provided to Native Hawaiian children and adults.

*Alaska Native education.*—Funds support competitive grants to Alaska Native organizations and other public and private organizations to develop or operate innovative projects that enhance the educational services provided to Alaska Native children and adults.

*Training and advisory services.*—Funds support grants to regional equity assistance centers that provide technical assistance to local educational agencies (LEAs) in addressing educational equity related to issues of race, gender, and national origin.

*Rural education.*—Funds support formula grants under two programs: the Small, Rural School Achievement program and the Rural and Low-Income School program. The Small, Rural School Achievement program provides rural LEAs with small enrollments with additional formula funds. Funds under the Rural and Low-Income School program, which targets rural LEAs that serve concentrations of poor students, are allocated by formula to States, which in turn allocate funds to eligible LEAs.

*Supplemental education grants.*—Funds support grants to the Federated States of Micronesia and to the Republic of the Marshall Islands in place of grant programs in which those Freely Associated States no longer participate pursuant to the Compact of Free Association Amendments Act of 2003.

**Comprehensive centers.**—Funds support 22 comprehensive centers that focus on building State capacity to help school districts and schools meet the requirements of the ESEA.

**Student support and academic enrichment grants.**—Funds support formula grants to improve academic achievement by increasing the capacity of States and LEAs to provide all students with access to a well-rounded education, to improve school conditions for student learning, and to improve the use of technology.

#### Object Classification (in millions of dollars)

Identification code 091–1000–0–1–501	2020 actual	2021 est.	2022 est.
Direct obligations:			
25.1 Advisory and assistance services .....	6	6	7
25.2 Other services from non-Federal sources .....	21	21	24
25.3 Other goods and services from Federal sources .....	2	2	2
25.5 Research and development contracts .....	4	4	5
25.7 Operation and maintenance of equipment .....	2	2	2
41.0 Grants, subsidies, and contributions .....	5,374	5,580	5,477
99.9 Total new obligations, unexpired accounts .....	5,409	5,615	5,517

#### SCHOOL IMPROVEMENT PROGRAMS

(Legislative proposal, not subject to PAYGO)

#### Program and Financing (in millions of dollars)

Identification code 091–1000–2–1–501	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 School-based health professionals .....			1,000
0002 Climate resilient schools .....			25
0900 Total new obligations, unexpired accounts (object class 41.0) .....			1,025
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			1,025
1930 Total budgetary resources available .....			1,025
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			1,025
3020 Outlays (gross) .....			–20
3050 Unpaid obligations, end of year .....			1,005
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1,005
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			1,025
Outlays, gross:			
4010 Outlays from new discretionary authority .....			20
4180 Budget authority, net (total) .....			1,025
4190 Outlays, net (total) .....			20

**School-based health professionals.**—Funds would provide formula grants to State educational agencies, which would then make competitive grants to high-need local educational agencies to support the goal of doubling the number of health professionals, including school counselors, nurses, school psychologists, and social workers, in our Nation's schools.

**Climate resilient schools.**—Funds would make competitive awards to identify, develop, and disseminate plans and best practices for renovating schools so they are safe, eco-friendly, and climate resilient, and to support projects that address health risks such as poor air quality and ventilation and lack of access to clean water.

#### SAFE SCHOOLS AND CITIZENSHIP EDUCATION

For carrying out activities authorized by subparts 2 and 3 of part F of title IV of the ESEA, **[\$217,000,000]** \$650,000,000: *Provided*, That **[\$106,000,000]** \$116,000,000 shall be available for section 4631, of which up to \$5,000,000, to remain available until expended, shall be for the Project School Emergency Response to Violence (Project SERV) program: *Provided further*, That **[\$30,000,000]**

\$443,000,000 shall be available for section 4625: *Provided further*, That **[\$81,000,000]** \$91,000,000 shall be available through December 31, **[2021]** 2022, for section 4624. (*Department of Education Appropriations Act, 2021.*)

#### Program and Financing (in millions of dollars)

Identification code 091–0203–0–1–501	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 School safety national activities .....	102	119	116
0002 Full-service community schools .....	79	30	443
0003 Promise neighborhoods .....	25	81	81
0500 Direct program activities, subtotal .....	206	230	640
0900 Total new obligations, unexpired accounts .....	206	230	640
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	87	191	78
1010 Unobligated balance transfer to other accts [091–0251] .....		–100	
1050 Unobligated balance (total) .....	87	91	78
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	310	217	650
1930 Total budgetary resources available .....	397	308	728
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	191	78	88
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	270	310	261
3010 New obligations, unexpired accounts .....	206	230	640
3020 Outlays (gross) .....	–164	–279	–241
3041 Recoveries of prior year unpaid obligations, expired .....	–2		
3050 Unpaid obligations, end of year .....	310	261	660
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	270	310	261
3200 Obligated balance, end of year .....	310	261	660
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	310	217	650
Outlays, gross:			
4010 Outlays from new discretionary authority .....		4	13
4011 Outlays from discretionary balances .....	164	275	228
4020 Outlays, gross (total) .....	164	279	241
4180 Budget authority, net (total) .....	310	217	650
4190 Outlays, net (total) .....	164	279	241

**School safety national activities.**—Funds support competitive grants and other discretionary activities to foster a safe, secure, and drug-free learning environment conducive to teaching and learning; facilitate emergency management and preparedness as well as recovery from traumatic events; increase the availability of school-based mental health service providers for students; prevent drug use and violence by students; and otherwise improve student well-being. These activities include Project Prevent, a program of grants to local educational agencies in communities with pervasive violence, to break the cycle of violence in those communities. The 2022 request would support the Administration's Community Violence Intervention initiative by investing in projects that incorporate strategies for reducing gun violence through tools other than incarceration.

**Full-service community schools.**—Funds support grants to local educational agencies or the Bureau of Indian Education, in partnership with community-based organizations, nonprofit organizations, or other public or private entities, to provide comprehensive and coordinated academic, social, and health services for students, students' family members, and community members that will result in improved educational outcomes for children in neighborhoods with high rates of poverty, childhood obesity, academic failure, and involvement of community members in the justice system. The 2022 request would support the Administration's Community Violence Intervention initiative by investing in projects that incorporate strategies for reducing gun violence through tools other than incarceration.

**Promise neighborhoods.**—Funds support competitive grants and other activities for projects designed to improve significantly the educational

## SAFE SCHOOLS AND CITIZENSHIP EDUCATION—Continued

and developmental outcomes of children within the Nation's most distressed communities, by providing children in the community with access to a cradle-through-college-to-career continuum of academic programs and community supports, including effective schools and services. The 2022 request would support the Administration's Community Violence Intervention initiative by investing in projects that incorporate strategies for reducing gun violence through tools other than incarceration.

## Object Classification (in millions of dollars)

Identification code 091-0203-0-1-501	2020 actual	2021 est.	2022 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	8	11	12
41.0 Grants, subsidies, and contributions .....	198	219	628
99.9 Total new obligations, unexpired accounts .....	206	230	640

## INDIAN EDUCATION

For expenses necessary to carry out, to the extent not otherwise provided, title VI, part A of the ESEA, **[\$181,239,000]** \$186,239,000, of which \$67,993,000 shall be for subpart 2 of part A of title VI and \$7,865,000 shall be for subpart 3 of part A of title VI: *Provided*, That the 5 percent limitation in sections 6115(d), 6121(e), and 6133(g) of the ESEA on the use of funds for administrative purposes shall apply only to direct administrative costs: *Provided further*, That the Secretary may make awards under subpart 3 of Part A of title VI without regard to the funding limitation in section 6133(b)(1) of the ESEA: *Provided further*, That notwithstanding sections 6132(c)(2) and 6133(d)(1) of such Act, the Secretary may make such awards for a period of up to five years. (Department of Education Appropriations Act, 2021.)

## Program and Financing (in millions of dollars)

Identification code 091-0101-0-1-501	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Grants to local educational agencies .....	106	105	110
0002 Special programs for Indian children .....	68	68	68
0003 National activities .....	7	8	8
0004 Tribal Education Agencies .....	.....	5	5
0900 Total new obligations, unexpired accounts .....	181	186	191
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	.....	.....	15
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	181	181	186
Appropriations, mandatory:			
1200 Appropriation .....	.....	20	.....
1900 Budget authority (total) .....	181	201	186
1930 Total budgetary resources available .....	181	201	201
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	.....	15	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	223	232	185
3010 New obligations, unexpired accounts .....	181	186	191
3020 Outlays (gross) .....	-168	-233	-199
3041 Recoveries of prior year unpaid obligations, expired .....	-4	.....	.....
3050 Unpaid obligations, end of year .....	232	185	177
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	223	232	185
3200 Obligated balance, end of year .....	232	185	177

## Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	181	181	186
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	9	9
4011 Outlays from discretionary balances .....	162	223	172
4020 Outlays, gross (total) .....	168	232	181
Mandatory:			
4090 Budget authority, gross .....	.....	20	.....

## Outlays, gross:

4100 Outlays from new mandatory authority .....	.....	1	.....
4101 Outlays from mandatory balances .....	.....	.....	18
4110 Outlays, gross (total) .....	.....	1	18
4180 Budget authority, net (total) .....	181	201	186
4190 Outlays, net (total) .....	168	233	199

The Indian Education programs support the efforts of local educational agencies (LEA), Tribes, and Indian organizations to improve teaching and learning for the Nation's American Indian and Alaska Native children.

**Grants to local educational agencies.**—Formula grants support LEAs in their efforts to enhance and supplement elementary and secondary school programs that serve Indian students, with the goal of ensuring that such programs assist participating students in meeting the same academic standards as all other students.

**Special programs for Indian children.**—Funds support awards under the Demonstration Grants authority including for projects expanding educational opportunity, as well as professional development grants for training Native American teachers and administrators for employment in school districts that serve a high proportion of Indian students.

**National activities.**—Funds support research, evaluation, data collection, and related activities, grants for Native language immersion schools and programs, and grants to Tribes to create Tribal educational agencies and to expand the capacity of existing Tribal educational agencies through education administrative planning, development, and coordination.

**Tribal education agencies.**—Funds support awards to Tribal education agencies to improve educational opportunities and achievement of Indian children and youth.

## Object Classification (in millions of dollars)

Identification code 091-0101-0-1-501	2020 actual	2021 est.	2022 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	4	4	4
25.7 Operation and maintenance of equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	176	181	186
99.9 Total new obligations, unexpired accounts .....	181	186	191

## OFFICE OF INNOVATION AND IMPROVEMENT

## Federal Funds

## INNOVATION AND IMPROVEMENT

For carrying out activities authorized by subparts 1, 3 and 4 of part B of title II, and parts C, D, and E and subparts 1 and 4 of part F of title IV of the ESEA, **[\$1,114,250,000]** \$1,184,250,000: *Provided*, That **[\$285,250,000]** \$315,250,000 shall be for subparts 1, 3 and 4 of part B of title II and shall be made available without regard to sections 2201, 2231(b) and 2241: *Provided further*, That **[\$635,000,000]** \$675,000,000 shall be for parts C, D, and E and subpart 4 of part F of title IV, and shall be made available without regard to sections 4311, 4409(a), and 4601 of the ESEA: *Provided further*, That section 4303(d)(3)(A)(i) shall not apply to the funds available for part C of title IV: *Provided further*, That of the funds available for part C of title IV, the Secretary shall use *not less than* \$60,000,000 to carry out section 4304, of which not more than \$10,000,000 shall be available to carry out section 4304(k), *not more than* \$140,000,000, to remain available through March 31, **[2022]** 2023, to carry out section 4305(b), and not **[more]** less than \$15,000,000 to carry out the activities in section 4305(a)(3): *Provided further*, That notwithstanding section 4601(b), \$194,000,000 shall be available through December 31, **[2021]** 2022 for subpart 1 of part F of title IV. (Department of Education Appropriations Act, 2021.)

## Program and Financing (in millions of dollars)

Identification code 091-0204-0-1-501	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Education, innovation and research .....	7	191	194
0002 Teacher and school leader incentive fund .....	200	200	200
0003 American history and civics .....	5	5	5
0004 Supporting effective educator development (SEED) .....	80	80	80
0005 Charter schools .....	399	417	440

0006	Magnet schools .....	107	109	149
0007	Ready to learn programming .....	29	30	30
0008	Arts in education .....	30	31	31
0009	Javits gifted and talented education .....	13	13	13
0010	Statewide family engagement centers .....	10	12	12
0011	School leader recruitment and support .....			30
0799	Total direct obligations .....	880	1,088	1,184
0801	DC schools/SOAR Act .....	53	53	53
0900	Total new obligations, unexpired accounts .....	933	1,141	1,237

**Budgetary resources:**  
Unobligated balance:

1000	Unobligated balance brought forward, Oct 1 .....	20	297	323
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	53		
1050	Unobligated balance (total) .....	73	297	323
<b>Budget authority:</b>				
Appropriations, discretionary:				
1100	Appropriation .....	1,104	1,114	1,184
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	53	53	53
1900	Budget authority (total) .....	1,157	1,167	1,237
1930	Total budgetary resources available .....	1,230	1,464	1,560
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	297	323	323

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,517	2,430	1,934
3010	New obligations, unexpired accounts .....	933	1,141	1,237
3020	Outlays (gross) .....	-940	-1,637	-1,261
3041	Recoveries of prior year unpaid obligations, expired .....	-80		
3050	Unpaid obligations, end of year .....	2,430	1,934	1,910
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	2,517	2,430	1,934
3200	Obligated balance, end of year .....	2,430	1,934	1,910

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
4000	Budget authority, gross .....	1,157	1,167	1,237
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	5	75	77
4011	Outlays from discretionary balances .....	935	1,562	1,184
4020	Outlays, gross (total) .....	940	1,637	1,261
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4033	Non-Federal sources .....	-53	-53	-53
4180	Budget authority, net (total) .....	1,104	1,114	1,184
4190	Outlays, net (total) .....	887	1,584	1,208

**Summary of Budget Authority and Outlays (in millions of dollars)**

	2020 actual	2021 est.	2022 est.
<b>Enacted/requested:</b>			
Budget Authority .....	1,104	1,114	1,184
Outlays .....	887	1,584	1,208
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....			100
Outlays .....			2
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			1,800
Outlays .....			36
<b>Total:</b>			
Budget Authority .....	1,104	1,114	3,084
Outlays .....	887	1,584	1,246

**Education innovation and research.**—Funds would support competitive grants for the creation, development, implementation, replication, and scaling up of evidence-based, field-initiated innovations designed to improve student achievement and attainment for high-need students.

**Teacher and school leader incentive fund.**—Funds would help elevate the teaching profession by recognizing and rewarding teaching excellence, improving continuing education for teachers, and expanding teacher preparation.

**American history and civics.**—Funds would support competitive grants to institutions of higher education and other entities with demonstrated expertise to improve the quality of teaching and learning in American history, civics, and government.

**Supporting effective educator development (SEED).**—Funds would support competitive grants to institutions of higher education, national nonprofit entities, and the BIE to provide educators with evidence-based professional development and to support pathways that allow educators with nontraditional preparation and certification to obtain employment in traditionally underserved local educational agencies.

**Charter schools.**—Funds would support competitive grants for the opening of new charter schools and the replication and expansion of high-quality charter schools. Funds would also support information dissemination activities and competitive grants to improve charter schools' access to facilities.

**Magnet schools.**—Funds would support competitive grants to LEAs to establish and operate magnet school programs that are part of an approved desegregation plan.

**Ready to learn programming.**—Funds would support competitive grants to public telecommunications entities to develop and distribute educational video programming and digital content, such as applications and online educational games, for preschool and elementary school children and their parents, caregivers, and teachers to facilitate student academic achievement.

**Arts in education.**—Funds would support projects and programs to promote arts education for students, including disadvantaged students, through competitive grants to support development and dissemination of instructional materials, programming, and professional development for arts educators.

**Javits gifted and talented education.**—Funds would support a coordinated program of research, demonstration projects, innovative strategies, and other activities to build and enhance the capacity of elementary and secondary schools to identify gifted and talented students and meet their special educational needs.

**Statewide family engagement centers.**—Funds would support competitive grants to statewide organizations to carry out parent education and family engagement programs and provide comprehensive technical assistance to State and local educational agencies and organizations that support family-school partnerships.

**School leader recruitment and support.**—Funds would support grants to improve the recruitment, preparation, placement, support, and retention of effective principals and other school leaders in high-need schools.

**Object Classification (in millions of dollars)**

Identification code 091-0204-0-1-501	2020 actual	2021 est.	2022 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	18	19	21
25.3 Other goods and services from Federal sources .....	1	1	1
25.5 Research and development contracts .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	859	1,066	1,160
99.0 Direct obligations .....	880	1,088	1,184
99.0 Reimbursable obligations .....	53	53	53
99.9 Total new obligations, unexpired accounts .....	933	1,141	1,237

**INNOVATION AND IMPROVEMENT**

**(Legislative proposal, not subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 091-0204-2-1-501	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Fostering diverse schools .....			100
0900 Total new obligations, unexpired accounts (object class 41.0) .....			100
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....			100
1930 Total budgetary resources available .....			100

INNOVATION AND IMPROVEMENT—Continued  
Program and Financing—Continued

Identification code 091–0204–2–1–501	2020 actual	2021 est.	2022 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			100
3020 Outlays (gross) .....			–2
3050 Unpaid obligations, end of year .....			98
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			98
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			100
Outlays, gross:			
4010 Outlays from new discretionary authority .....			2
4180 Budget authority, net (total) .....			100
4190 Outlays, net (total) .....			2

*Fostering diverse schools.*—Funds would support competitive grants to local educational agencies and partner entities for activities to improve racial and socioeconomic diversity in pre-kindergarten through grade twelve.

INNOVATION AND IMPROVEMENT  
(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

Identification code 091–0204–4–1–501	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Expanding opportunities for teacher leadership and development .....			200
0002 Supporting in-demand credentials for teachers .....			1,600
0900 Total new obligations, unexpired accounts (object class 41.0) .....			1,800
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			1,800
1930 Total budgetary resources available .....			1,800
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			1,800
3020 Outlays (gross) .....			–36
3050 Unpaid obligations, end of year .....			1,764
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1,764
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			1,800
Outlays, gross:			
4100 Outlays from new mandatory authority .....			36
4180 Budget authority, net (total) .....			1,800
4190 Outlays, net (total) .....			36

*Expanding opportunities for teacher leadership and development.*—Funds would support grants to State and local educational agencies to support opportunities for master teachers to lead and have a greater impact on their school community while remaining in the classroom (and be compensated for additional responsibilities) through such activities as high-quality teacher mentorship programs and job-embedded leadership in areas such as social and emotional learning, data-driven decision-making, teacher development, and family engagement.

*Supporting in-demand credentials for teachers.*—Funds would provide grants to States to support additional certifications for more than 100,000 educators in high-demand areas like special education, bilingual education, career and technical education, and science, technology, engineering, and mathematics. The program would give priority to public school teachers

with at least two years of teaching experience at schools with a significant portion of low-income students or with significant shortage needs.

## OFFICE OF ENGLISH LANGUAGE ACQUISITION

## Federal Funds

## ENGLISH LANGUAGE ACQUISITION

For carrying out part A of title III of the ESEA, [\$797,400,000] \$917,400,000, which shall become available on July 1, [2021] 2022, and shall remain available through September 30, [2022] 2023, except that 6.5 percent of such amount shall be available on October 1, [2020] 2021, and shall remain available through September 30, [2022] 2023, to carry out activities under section 3111(c)(1)(C): *Provided, That \$20,000,000 of the funds made available under this heading shall become available on October 1, 2021, and shall remain available through September 30, 2023 for supplemental awards to State educational agencies in States with at least one county where 50 or more unaccompanied migrant children have been released to sponsors since January 1, 2021, through the Department of Health and Human Services, Office of Refugee Resettlement, or in which local educational agencies have enrolled at least 100 students from Puerto Rico since January 1, 2020: Provided further, That such awards shall be determined consistent with the requirements under the heading "English Language Acquisition" in the Department of Education Appropriations Act, 2015 (title III of division G of Public Law 113–235), except that the Secretary may modify those requirements to include counts of students from Puerto Rico in the determination and use of such awards. (Department of Education Appropriations Act, 2021.)*

## Program and Financing (in millions of dollars)

Identification code 091–1300–0–1–501	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 English language acquisition grants .....	783	797	917
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	10	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	787	797	917
1930 Total budgetary resources available .....	793	807	927
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	10	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,090	1,190	1,102
3010 New obligations, unexpired accounts .....	783	797	917
3020 Outlays (gross) .....	–681	–885	–801
3041 Recoveries of prior year unpaid obligations, expired .....	–2		
3050 Unpaid obligations, end of year .....	1,190	1,102	1,218
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,090	1,190	1,102
3200 Obligated balance, end of year .....	1,190	1,102	1,218
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	787	797	917
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	8	9
4011 Outlays from discretionary balances .....	678	877	792
4020 Outlays, gross (total) .....	681	885	801
4180 Budget authority, net (total) .....	787	797	917
4190 Outlays, net (total) .....	681	885	801

*English language acquisition grants.*—This program supports formula grants to States to improve services for English Learners. States are accountable for demonstrating that English Learners are making progress toward proficiency in English and meeting the same high State academic standards as all other students. Funds also support national activities, including professional development to increase the supply of high-quality teachers of English Learners and a national information clearinghouse on English language acquisition.



**Object Classification** (in millions of dollars)

Identification code 091-1300-0-1-501	2020 actual	2021 est.	2022 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	2	2	2
25.5 Research and development contracts .....	2	6	4
41.0 Grants, subsidies, and contributions .....	778	789	911
99.0 Direct obligations .....	782	797	917
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations, unexpired accounts .....	783	797	917

## OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES

### Federal Funds

#### SPECIAL EDUCATION

For carrying out the Individuals with Disabilities Education Act (IDEA) and the Special Olympics Sport and Empowerment Act of 2004, **[\$14,070,743,000]** \$17,193,256,000, of which **[\$4,533,544,000]** \$7,488,516,000 shall become available on July 1, **[2021]** 2022, and shall remain available through September 30, **[2022]** 2023, and of which \$9,283,383,000 shall become available on October 1, **[2021]** 2022, and shall remain available through September 30, **[2022]** 2023, for academic year **[2021-2022]** 2022-2023: *Provided*, That the amount for section 611(b)(2) of the IDEA shall be equal to the lesser of the amount available for that activity during fiscal year **[2020]** 2021, increased by the amount of inflation as specified in section 619(d)(2)(B) of the IDEA, or the percent change in the funds appropriated under section 611(i) of the IDEA, but not less than the amount for that activity during fiscal year 2020: *Provided further*, That the Secretary shall, without regard to section 611(d) of the IDEA, distribute to all other States (as that term is defined in section 611(g)(2)), subject to the third proviso, any amount by which a State's allocation under section 611, from funds appropriated under this heading, is reduced under section 612(a)(18)(B), according to the following: 85 percent on the basis of the States' relative populations of children aged 3 through 21 who are of the same age as children with disabilities for whom the State ensures the availability of a free appropriate public education under this part, and 15 percent to States on the basis of the States' relative populations of those children who are living in poverty: *Provided further*, That the Secretary may not distribute any funds under the previous proviso to any State whose reduction in allocation from funds appropriated under this heading made funds available for such a distribution: *Provided further*, That the States shall allocate such funds distributed under the second proviso to local educational agencies in accordance with section 611(f): *Provided further*, That the amount by which a State's allocation under section 611(d) of the IDEA is reduced under section 612(a)(18)(B) and the amounts distributed to States under the previous provisos in fiscal year 2012 or any subsequent year shall not be considered in calculating the awards under section 611(d) for fiscal year 2013 or for any subsequent fiscal years: *Provided further*, That, notwithstanding the provision in section 612(a)(18)(B) regarding the fiscal year in which a State's allocation under section 611(d) is reduced for failure to comply with the requirement of section 612(a)(18)(A), the Secretary may apply the reduction specified in section 612(a)(18)(B) over a period of consecutive fiscal years, not to exceed 5, until the entire reduction is applied: *Provided further*, That the Secretary may, in any fiscal year in which a State's allocation under section 611 is reduced in accordance with section 612(a)(18)(B), reduce the amount a State may reserve under section 611(e)(1) by an amount that bears the same relation to the maximum amount described in that paragraph as the reduction under section 612(a)(18)(B) bears to the total allocation the State would have received in that fiscal year under section 611(d) in the absence of the reduction: *Provided further*, That the Secretary shall either reduce the allocation of funds under section 611 for any fiscal year following the fiscal year for which the State fails to comply with the requirement of section 612(a)(18)(A) as authorized by section 612(a)(18)(B), or seek to recover funds under section 452 of the General Education Provisions Act (20 U.S.C. 1234a): *Provided further*, That the funds reserved under 611(c) of the IDEA may be used to provide technical assistance to States to improve the capacity of the States to meet the data collection requirements of sections 616 and 618 and to administer and carry out other services and activities to improve data collection, coordination, quality, and use under parts B and C of the IDEA: *Provided further*, That the Secretary may use funds made available for the State Personnel Development Grants program under part D, subpart 1 of IDEA to evaluate program performance under such subpart: *Provided further*, That States

may use funds reserved for other State-level activities under sections 611(e)(2) and 619(f) of the IDEA to make subgrants to local educational agencies, institutions of higher education, other public agencies, and private non-profit organizations to carry out activities authorized by those sections: *Provided further*, That, notwithstanding section 643(e)(2)(A) of the IDEA, if 5 or fewer States apply for grants pursuant to section 643(e) of such Act, the Secretary shall provide a grant to each State in an amount equal to the maximum amount described in section 643(e)(2)(B) of such Act: *Provided further*, That if more than 5 States apply for grants pursuant to section 643(e) of the IDEA, the Secretary shall award funds to those States on the basis of the States' relative populations of infants and toddlers except that no such State shall receive a grant in excess of the amount described in section 643(e)(2)(B) of such Act: *Provided further*, That States may use funds allotted under section 643(c) of the IDEA to make subgrants to [local educational agencies, institutions of higher education, other public agencies, and private non-profit organizations] **early intervention service providers** to carry out activities authorized by section 638 of IDEA: *Provided further*, That, notwithstanding section 638 of the IDEA, any State receiving a grant under section 633 of the IDEA must reserve not less than ten percent of its award for use in a manner described in a State plan, approved by the Secretary, to ensure equitable access to and participation in Part C services in the State, particularly for populations that have been traditionally underrepresented in the program: *Provided further*, That, notwithstanding section 632(4)(B) of the IDEA, a State receiving a grant under section 633 of the IDEA may establish a system of payments but may not include in that system family fees or out-of-pocket costs to families for early intervention services: *Provided further*, That any State seeking to amend its eligibility criteria under section 635(a)(1) of the IDEA in such a way that would have the effect of reducing the number of infants and families who are eligible under Part C must conduct public participation under section 637(a)(8) of the IDEA at least 24 months prior to implementing such a change: *Provided further*, That, notwithstanding section 638 of the IDEA, a State may use funds it receives under section 633 of the IDEA to offer continued early intervention services to a child who previously received services under Part C of the IDEA from age three until the beginning of the school year following the child's third birthday without regard to the procedures described in section 635(c) of the IDEA. (Department of Education Appropriations Act, 2021.)

**Program and Financing** (in millions of dollars)

Identification code 091-0300-0-1-501	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Grants to States .....	12,776	15,517	15,537
0002 Preschool grants .....	394	598	503
0003 Grants for infants and families .....	477	732	732
0091 Subtotal, State grants .....	13,647	16,847	16,772
0101 State personnel development .....	39	39	39
0102 Technical assistance and dissemination .....	44	44	49
0103 Personnel preparation .....	90	90	250
0104 Parent information centers .....	27	27	30
0105 Educational technology, media, and materials .....	30	30	30
0191 Subtotal, National activities .....	230	230	398
0201 Special Olympics education program .....	20	24	24
0900 Total new obligations, unexpired accounts .....	13,897	17,101	17,194
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	8	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4,602	4,787	7,910
Advance appropriations, discretionary:			
1170 Advance appropriation .....	9,283	9,283	9,283
Appropriations, mandatory:			
1200 Appropriation .....		3,030	
1900 Budget authority (total) .....	13,885	17,100	17,193
1930 Total budgetary resources available .....	13,905	17,108	17,200
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8	7	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8,203	9,156	11,626
3010 New obligations, unexpired accounts .....	13,897	17,101	17,194
3020 Outlays (gross) .....	-12,937	-14,631	-14,382
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	9,156	11,626	14,438

SPECIAL EDUCATION—Continued  
Program and Financing—Continued

Identification code 091–0300–0–1–501	2020 actual	2021 est.	2022 est.
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8,203	9,156	11,626
3200 Obligated balance, end of year .....	9,156	11,626	14,438
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	13,885	14,070	17,193
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5,688	6,357	6,505
4011 Outlays from discretionary balances .....	7,249	8,274	7,725
4020 Outlays, gross (total) .....	12,937	14,631	14,230
Mandatory:			
4090 Budget authority, gross .....		3,030	
Outlays, gross:			
4101 Outlays from mandatory balances .....			152
4180 Budget authority, net (total) .....	13,885	17,100	17,193
4190 Outlays, net (total) .....	12,937	14,631	14,382

## Summary of Budget Authority and Outlays (in millions of dollars)

	2020 actual	2021 est.	2022 est.
Enacted/requested:			
Budget Authority .....	13,885	17,100	17,193
Outlays .....	12,937	14,631	14,382
Legislative proposal, subject to PAYGO:			
Budget Authority .....			90
Outlays .....			4
Total:			
Budget Authority .....	13,885	17,100	17,283
Outlays .....	12,937	14,631	14,386

## SUMMARY OF IDEA FORMULA GRANTS PROGRAM LEVELS

(in millions of dollars)

	2020–2021 Academic Year	2021–2022 Academic Year	2022–2023 Academic Year
Current Budget Authority .....	\$4,353	\$4,534	\$7,489
Advance appropriation .....	9,283	9,283	9,283
Total program level .....	13,636	13,817	16,772
Change in advance appropriation from the previous year .....	0	0	0

**Grants to States.**—Formula grants are provided to States to assist them in providing special education and related services to children with disabilities ages 3 through 21.

**Preschool grants.**—Formula grants provide additional funds to States to further assist them in providing special education and related services to children with disabilities ages 3 through 5 served under the Grants to States program.

The goal of both the Grants to States and the Preschool grants programs is to improve results for children with disabilities by assisting State and local educational agencies (LEAs) to provide children with disabilities with access to high quality education that will help them meet challenging standards and prepare them for employment and independent living. LEAs may reserve up to 15 percent of the funds they receive under Part B of the Individuals with Disabilities Education Act to provide comprehensive coordinated early intervening services to children age 3 through grade 12.

**Grants for infants and families.**—Formula grants are provided to assist States to implement statewide systems of coordinated, comprehensive, multi-disciplinary interagency programs to provide early intervention services to children with disabilities, birth through age two, and their families. The goal of this program is to help States provide a comprehensive system of early intervention services that will enhance child and family outcomes.

**National activities.**—Funds are provided for personnel preparation and development, technical assistance, and other activities to support State efforts to improve results for children with disabilities under the State Grants programs. The goal of National Activities is to link States, school systems, and families to best practices to improve results for infants, toddlers, and children with disabilities.

**Special Olympics education programs.**—Funds are provided to promote the expansion of the Special Olympics and the design and implementation of Special Olympics education programs.

Performance data related to program goals include:

## Basis for Leaving Special Education for Youth with Disabilities Ages 14 and Older

	2016–2017 Actual	2017–2018 Actual	2018–2019 Actual
Status of Exiting Students			
Percent / number of students with disabilities aged 14–21 exiting special education:			
Graduated with a diploma .....	45.7% / 278,704	47.5% / 300,447	47.1% / 301,435
Graduated through certification .....	7.0% / 42,682	6.4% / 40,312	6.6% / 42,533
Transferred to regular education .....	10.3% / 62,465	9.5% / 60,189	9.0% / 57,329
Dropped out of school/not known to continue .....	11.1% / 67,753	10.4% / 66,113	10.7% / 68,711
Moved, but known to have continued in education .....	24.9% / 151,589	25.2% / 159,302	25.6% / 163,614
Reached maximum age for services/other .....	1.0% / 6,173	1.0% / 6,383	0.7% / 4,667
Total .....	100% / 609,366	100% / 632,746	100% / 639,790

## Object Classification (in millions of dollars)

Identification code 091–0300–0–1–501	2020 actual	2021 est.	2022 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	1	4	6
41.0 Grants, subsidies, and contributions .....	13,896	17,097	17,187
99.0 Direct obligations .....	13,897	17,101	17,193
99.5 Adjustment for rounding .....			1
99.9 Total new obligations, unexpired accounts .....	13,897	17,101	17,194

## SPECIAL EDUCATION

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

Identification code 091–0300–4–1–501	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Personnel preparation .....			90
0900 Total new obligations, unexpired accounts (object class 41.0) .....			90
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			90
1930 Total budgetary resources available .....			90
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			90
3020 Outlays (gross) .....			–4
3050 Unpaid obligations, end of year .....			86
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			86
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			90
Outlays, gross:			
4100 Outlays from new mandatory authority .....			4
4180 Budget authority, net (total) .....			90
4190 Outlays, net (total) .....			4

**Personnel preparation.**—This program helps ensure that there are adequate numbers of personnel with the skills and knowledge necessary to help children with disabilities succeed educationally. Program activities focus both on meeting the demand for personnel to serve children with disabilities and improving the qualifications of these personnel, with particular emphasis on incorporating knowledge gained from research and practice into training programs. The Department uses requested funds to support (1) training for leadership personnel and personnel who work with

children with low-incidence disabilities, (2) at least one activity in the broadly defined area of personnel development, and (3) enhanced support for beginning special educators.

#### REHABILITATION SERVICES

For carrying out, to the extent not otherwise provided, the Rehabilitation Act of 1973 and the Helen Keller National Center Act, **[\$3,814,220,000]** \$3,894,820,000, of which **[\$3,675,021,000]** \$3,719,121,000 shall be for grants for vocational rehabilitation services under title I of the Rehabilitation Act: *Provided*, That the Secretary may use amounts provided in this Act that remain available subsequent to the reallocation of funds to States pursuant to section 110(b) of the Rehabilitation Act for innovative activities aimed at increasing competitive integrated employment as defined in section 7 of such Act for youth and other individuals with disabilities: *Provided further*, That States may award subgrants for a portion of the funds to other public and private, nonprofit entities: *Provided further*, That any funds made available subsequent to reallocation for innovative activities aimed at improving the outcomes of individuals with disabilities shall remain available until September 30, **[2022]** 2023. (*Department of Education Appropriations Act, 2021.*)

#### Program and Financing (in millions of dollars)

Identification code 091-0301-0-1-506	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Vocational rehabilitation State grants .....	3,269	3,466	3,507
0002 Client assistance State grants .....	13	13	13
0003 Supported employment State grants .....	22	23	23
0004 Training .....	29	29	29
0005 Demonstration and Training Programs .....	6	6	41
0006 Independent living services for older blind individuals .....	33	33	33
0007 Protection and advocacy of individual rights .....	17	18	18
0008 Helen Keller National Center .....	16	17	19
0100 Total direct program .....	3,405	3,605	3,683
0900 Total new obligations, unexpired accounts .....	3,405	3,605	3,683
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	138	139	176
Appropriations, mandatory:			
1200 Appropriation .....	3,610	3,675	3,719
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-213	-209	-212
1260 Appropriations, mandatory (total) .....	3,397	3,466	3,507
1900 Budget authority (total) .....	3,535	3,605	3,683
1930 Total budgetary resources available .....	3,535	3,605	3,683
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-130		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,257	2,487	2,011
3010 New obligations, unexpired accounts .....	3,405	3,605	3,683
3011 Obligations ("upward adjustments"), expired accounts .....	13		
3020 Outlays (gross) .....	-3,087	-4,081	-3,672
3041 Recoveries of prior year unpaid obligations, expired .....	-101		
3050 Unpaid obligations, end of year .....	2,487	2,011	2,022
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,257	2,487	2,011
3200 Obligated balance, end of year .....	2,487	2,011	2,022
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	138	139	176
Outlays, gross:			
4010 Outlays from new discretionary authority .....	59	70	88
4011 Outlays from discretionary balances .....	86	91	71
4020 Outlays, gross (total) .....	145	161	159
Mandatory:			
4090 Budget authority, gross .....	3,397	3,466	3,507
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,281	1,733	1,754
4101 Outlays from mandatory balances .....	1,661	2,187	1,759
4110 Outlays, gross (total) .....	2,942	3,920	3,513
4180 Budget authority, net (total) .....	3,535	3,605	3,683

4190 Outlays, net (total) ..... 3,087 4,081 3,672

**Vocational rehabilitation State grants.**—The basic State grants program provides Federal matching funds to State vocational rehabilitation (VR) agencies to assist individuals with disabilities to become gainfully employed. Services are tailored to the specific needs of the individual. Priority is given to serving those with the most significant disabilities. In 2020, State VR agencies assisted an estimated 116,524 individuals with disabilities to obtain an employment outcome, about 94 percent of whom were individuals with significant disabilities. VR State Grants is a core program of the workforce development system under the Workforce Innovation and Opportunity Act (WIOA) and a required partner in the one-stop service delivery system for accessing employment and training services. Amendments made by WIOA require State VR agencies to reserve and use at least 15 percent of their Federal grant allotment to support pre-employment transition services for students with disabilities provided in accordance with section 113 of the Rehabilitation Act. Between 1.0 percent and 1.5 percent of the funds appropriated for the VR State grants program must be set aside for the American Indian Vocational Rehabilitation Services Program. The request for the VR State Grants program includes the CPIU adjustment specified in the authorizing statute.

**Client assistance State grants.**—Formula grants are made to States to provide assistance in informing and advising clients and applicants about benefits available under the Rehabilitation Act and, if requested, to pursue legal or administrative remedies to ensure the protection of the rights of individuals with disabilities.

**Supported employment State grants.**—Formula grants are made to State VR agencies to provide supported employment services for individuals with the most significant disabilities.

**Training.**—Grants are made to States and public or nonprofit agencies and organizations, including institutions of higher education, to increase the number of skilled personnel available for employment in the field of rehabilitation and to upgrade the skills of those already employed.

**Demonstration and training programs.**—Competitive grants and contracts are awarded to expand and improve the provision and effectiveness of programs and services authorized under the Rehabilitation Act or further the purposes of the Act in promoting the employment and independence of individuals with disabilities in the community. Funds are used to support model demonstrations, technical assistance, and projects designed to improve program performance and the delivery of vocational rehabilitation and independent living services.

**Independent living services for older individuals who are blind.**—Grants are awarded to States to assist individuals over the age of 55 with severe visual disabilities to adjust to their disability and increase their ability to care for their own needs.

**Protection and advocacy of individual rights.**—Formula grants are made to State protection and advocacy systems to protect the legal and human rights of individuals with disabilities.

**Helen Keller National Center for Deaf-Blind Youths and Adults.**—The Center provides services to deaf-blind youths and adults and provides training and technical assistance to professional and allied personnel at its national headquarters center and through its regional representatives and affiliate agencies.

#### Object Classification (in millions of dollars)

Identification code 091-0301-0-1-506	2020 actual	2021 est.	2022 est.
Direct obligations:			
25.1 Advisory and assistance services .....	1	1	1
41.0 Grants, subsidies, and contributions .....	3,404	3,604	3,682
99.9 Total new obligations, unexpired accounts .....	3,405	3,605	3,683

## AMERICAN PRINTING HOUSE FOR THE BLIND

For carrying out the Act to Promote the Education of the Blind of March 3, 1879, **[\$34,431,000]** \$37,431,000. (Department of Education Appropriations Act, 2021.)

## Program and Financing (in millions of dollars)

Identification code 091–0600–0–1–501	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 American printing house for the blind .....	32	34	37
0900 Total new obligations, unexpired accounts (object class 41.0) .....	32	34	37
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	32	34	37
1930 Total budgetary resources available .....	32	34	37
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	10	7
3010 New obligations, unexpired accounts .....	32	34	37
3020 Outlays (gross) .....	–32	–37	–36
3050 Unpaid obligations, end of year .....	10	7	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10	10	7
3200 Obligated balance, end of year .....	10	7	8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	32	34	37
Outlays, gross:			
4010 Outlays from new discretionary authority .....	22	26	28
4011 Outlays from discretionary balances .....	10	11	8
4020 Outlays, gross (total) .....	32	37	36
4180 Budget authority, net (total) .....	32	34	37
4190 Outlays, net (total) .....	32	37	36

The 2022 request supports: the production and distribution of free educational materials for students below the college level who are blind; research related to developing and improving products; and advisory services to consumer organizations on the availability and use of materials. In 2020, the portion of the Federal appropriation allocated to educational materials represented approximately 70 percent of the Printing House's total sales. The full 2020 appropriation represented approximately 76 percent of the Printing House's total actual revenue. The 2022 request is expected to be allocated in a similar manner.

## NATIONAL TECHNICAL INSTITUTE FOR THE DEAF

For the National Technical Institute for the Deaf under titles I and II of the Education of the Deaf Act of 1986, **[\$81,500,000]** \$84,500,000: *Provided*, That from the total amount available, the Institute may at its discretion use funds for the endowment program as authorized under section 207 of such Act. (Department of Education Appropriations Act, 2021.)

For an additional amount for "National Technical Institute for the Deaf", \$11,000,000, to remain available through September 30, 2022, to prevent, prepare for, and respond to coronavirus, domestically or internationally, including to help defray the expenses directly caused by coronavirus and to enable grants to students for expenses directly related to coronavirus and the disruption of university operations: *Provided*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. (Coronavirus Response and Relief Supplemental Appropriations Act, 2021.)

## Program and Financing (in millions of dollars)

Identification code 091–0601–0–1–502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	80	112	85
0900 Total new obligations, unexpired accounts (object class 41.0) .....	80	112	85

## Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	80	93	85
Appropriations, mandatory:			
1200 Appropriation .....		19	
1900 Budget authority (total) .....	80	112	85
1930 Total budgetary resources available .....	80	112	85

## Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	9	1
3010 New obligations, unexpired accounts .....	80	112	85
3020 Outlays (gross) .....	–77	–120	–85
3050 Unpaid obligations, end of year .....	9	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	9	1
3200 Obligated balance, end of year .....	9	1	1

## Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	80	93	85
Outlays, gross:			
4010 Outlays from new discretionary authority .....	74	92	84
4011 Outlays from discretionary balances .....	3	9	1
4020 Outlays, gross (total) .....	77	101	85
Mandatory:			
4090 Budget authority, gross .....		19	
Outlays, gross:			
4100 Outlays from new mandatory authority .....		19	
4180 Budget authority, net (total) .....	80	112	85
4190 Outlays, net (total) .....	77	120	85

This program provides postsecondary technical and professional education for individuals who are deaf or hard of hearing, provides training, and conducts applied research into employment-related aspects of deafness. In 2020, the Federal appropriation represented approximately 77 percent of the Institute's operating budget. The 2022 request includes funds that may be used for the Endowment Grant program.

## GALLAUDET UNIVERSITY

For the Kendall Demonstration Elementary School, the Model Secondary School for the Deaf, and the partial support of Gallaudet University under titles I and II of the Education of the Deaf Act of 1986, **[\$140,361,000]** \$143,361,000: *Provided*, That from the total amount available, the University may at its discretion use funds for the endowment program as authorized under section 207 of such Act. (Department of Education Appropriations Act, 2021.)

For an additional amount for "Gallaudet University", \$11,000,000, to remain available through September 30, 2022, to prevent, prepare for, and respond to coronavirus, domestically or internationally, including to help defray the expenses directly caused by coronavirus and to enable grants to students for expenses directly related to coronavirus and the disruption of university operations: *Provided*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. (Coronavirus Response and Relief Supplemental Appropriations Act, 2021.)

## Program and Financing (in millions of dollars)

Identification code 091–0602–0–1–502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	144	170	143
0900 Total new obligations, unexpired accounts (object class 41.0) .....	144	170	143
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	144	151	143
Appropriations, mandatory:			
1200 Appropriation .....		19	
1900 Budget authority (total) .....	144	170	143
1930 Total budgetary resources available .....	144	170	143

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	14	22	
3010	New obligations, unexpired accounts .....	144	170	143
3020	Outlays (gross) .....	-136	-192	-143
3050	Unpaid obligations, end of year .....	22		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	14	22	
3200	Obligated balance, end of year .....	22		
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	144	151	143
Outlays, gross:				
4010	Outlays from new discretionary authority .....	123	151	143
4011	Outlays from discretionary balances .....	13	22	
4020	Outlays, gross (total) .....	136	173	143
Mandatory:				
4090	Budget authority, gross .....		19	
Outlays, gross:				
4100	Outlays from new mandatory authority .....		19	
4180	Budget authority, net (total) .....	144	170	143
4190	Outlays, net (total) .....	136	192	143

This institution provides undergraduate, continuing education, and graduate programs for students who are deaf, hard of hearing, and hearing. The University also conducts basic and applied research and provides public service programs for persons with hearing loss and persons who work with them.

The University operates the Laurent Clerc National Deaf Education Center, which includes elementary and secondary education programs on the main campus of the University serving students who are deaf or hard of hearing. The Kendall Demonstration Elementary School serves students from birth through grade 8, and the Model Secondary School for the Deaf serves high school students in grades 9 through 12. The Clerc Center also develops and disseminates information on effective educational techniques and strategies for teachers and professionals working with students who are deaf or hard of hearing.

In 2020, the appropriation for Gallaudet represented approximately 71 percent of total revenue for the University. Approximately 25 percent of the Federal appropriation was used to support activities at the Clerc Center, which received nearly 100 percent of its revenue through the appropriation. In addition, the University receives other Federal funds such as student financial aid, vocational rehabilitation, Endowment Grant program income, and competitive grants and contracts. The 2022 request includes funds that may be used for the Endowment Grant program.

## OFFICE OF CAREER, TECHNICAL, AND ADULT EDUCATION

### Federal Funds

#### CAREER, TECHNICAL, AND ADULT EDUCATION

For carrying out, to the extent not otherwise provided, the Carl D. Perkins Career and Technical Education Act of 2006 ("Perkins Act") and the Adult Education and Family Literacy Act ("AEFLA"), **[\$2,030,936,000]** \$2,183,936,000, of which **[\$1,239,936,000]** \$1,392,936,000 shall become available on July 1, **[2021]** 2022, and shall remain available through September 30, **[2022]** 2023, and of which \$791,000,000 shall become available on October 1, **[2021]** 2022, and shall remain available through September 30, **[2022]** 2023: *Provided*, That \$100,000,000 shall be for competitive grants for local educational agencies to carry out evidence-based middle and high school career and technical education innovation programs: *Provided further*, That of the amounts made available for AEFLA, **[\$13,712,000]** \$38,712,000 shall be for national leadership activities under section 242. (*Department of Education Appropriations Act, 2021.*)

#### Program and Financing (in millions of dollars)

Identification code 091-0400-0-1-501	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Career and Technical Education State Grants .....	1,282	1,335	1,355

0002 Career and Technical Education National Activities .....	6	7	115
0091 Subtotal, Career and Technical .....	1,288	1,342	1,470
0101 Adult Basic and Literacy Education State Grants .....	657	675	675
0102 Adult Education National Leadership Activities .....	14	14	39
0191 Subtotal, Adult Education .....	671	689	714
0900 Total new obligations, unexpired accounts .....	1,959	2,031	2,184

#### Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	22	22
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,170	1,240	1,393
Advance appropriations, discretionary:			
1170 Advance appropriation .....	791	791	791
1900 Budget authority (total) .....	1,961	2,031	2,184
1930 Total budgetary resources available .....	1,981	2,053	2,206
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	22	22	22

#### Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,731	1,974	1,873
3010 New obligations, unexpired accounts .....	1,959	2,031	2,184
3020 Outlays (gross) .....	-1,709	-2,132	-2,046
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	1,974	1,873	2,011
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,731	1,974	1,873
3200 Obligated balance, end of year .....	1,974	1,873	2,011

#### Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	1,961	2,031	2,184
Outlays, gross:			
4010 Outlays from new discretionary authority .....	404	616	624
4011 Outlays from discretionary balances .....	1,305	1,516	1,422
4020 Outlays, gross (total) .....	1,709	2,132	2,046
4180 Budget authority, net (total) .....	1,961	2,031	2,184
4190 Outlays, net (total) .....	1,709	2,132	2,046

#### Summary of Budget Authority and Outlays (in millions of dollars)

	2020 actual	2021 est.	2022 est.
Enacted/requested:			
Budget Authority .....	1,961	2,031	2,184
Outlays .....	1,709	2,132	2,046
Legislative proposal, subject to PAYGO:			
Budget Authority .....			1,100
Outlays .....			55
Total:			
Budget Authority .....	1,961	2,031	3,284
Outlays .....	1,709	2,132	2,101

#### SUMMARY OF PROGRAM LEVEL

(in millions of dollars)

	2020-2021 Academic Year	2021-2022 Academic Year	2022-2023 Academic Year
New Budget Authority .....	1,170	1,240	1,393
Advance Appropriation .....	791	791	791
Total program level .....	1,961	2,031	2,184
Change in advance appropriation over previous year .....	0	0	0

#### Career and Technical Education:

**Career and technical education State grants.**—Funds support formula grants to States to expand and improve career and technical education (CTE) in high schools, technical schools, and community colleges under the Carl D. Perkins Career and Technical Education Act of 2006, as amended.

**Career and technical education national activities.**—Funds support research, development, dissemination, evaluation, assessment, capacity building, and technical assistance activities aimed at improving the quality and effectiveness of CTE programs under the Carl D. Perkins Career and Technical Education Act of 2006, as amended. A new Innovation in Middle

## CAREER, TECHNICAL AND ADULT EDUCATION—Continued

and High School CTE initiative would support competitive grants to local educational agencies to establish innovative middle and high school CTE programs that incorporate evidence-based strategies and increase equity through participation by, and improvement of outcomes for, students from low-income backgrounds and students of color in CTE.

*Adult Education:*

*Adult basic and literacy education State grants.*—Funds support formula grants to States to help eliminate functional illiteracy among the Nation's adults, to assist adults in obtaining a high school diploma or its equivalent, and to promote family literacy. A portion of the funds is reserved for formula grants to States to provide English literacy and civics education for immigrants and other limited English proficient adults.

*Adult education national leadership activities.*—Funds support discretionary activities to evaluate the effectiveness of Federal, State, and local adult education programs, to test and demonstrate methods of improving program quality, and to provide technical assistance to States. A new initiative would support college bridge programs for adults without a high school diploma or its equivalent.

**Object Classification** (in millions of dollars)

Identification code 091-0400-0-1-501	2020 actual	2021 est.	2022 est.
Direct obligations:			
25.1 Advisory and assistance services .....	16	17	17
25.2 Other services from non-Federal sources .....	1		
25.3 Other goods and services from Federal sources .....		2	2
25.5 Research and development contracts .....	1		
41.0 Grants, subsidies, and contributions .....	1,941	2,012	2,165
99.9 Total new obligations, unexpired accounts .....	1,959	2,031	2,184

CAREER, TECHNICAL AND ADULT EDUCATION  
(Legislative proposal, subject to PAYGO)**Program and Financing** (in millions of dollars)

Identification code 091-0400-4-1-501	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Expanded career pathways for middle and high school students .....			1,000
0002 Adult education State grants .....			100
0900 Total new obligations, unexpired accounts (object class 41.0) .....			1,100
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			1,100
1930 Total budgetary resources available .....			1,100
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			1,100
3020 Outlays (gross) .....			-55
3050 Unpaid obligations, end of year .....			1,045
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1,045
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			1,100
Outlays, gross:			
4100 Outlays from new mandatory authority .....			55
4180 Budget authority, net (total) .....			1,100
4190 Outlays, net (total) .....			55

*Expanded career pathways for middle and high school students.*—Mandatory funding for career and technical education (CTE) at the middle and high school level would support innovation in CTE programs to ensure that more students from low-income backgrounds and students of color who may not otherwise have the opportunity to participate in high-quality CTE pathways can do so, and to build the evidence base for what works

and scale those practices. Grantees would be required to prioritize increased access to computer science and high-quality career and technical programs that connect underrepresented students to science, technology, engineering, and mathematics (STEM) and in-demand sectors. States would be encouraged to coordinate these models with activities under their Career and Technical Education State Grants.

*Adult education State grants.*—Mandatory funding would connect job-seeking adults to employment opportunities by focusing on foundational skills and embedding career services throughout the adult education life-cycle.

**OFFICE OF POSTSECONDARY EDUCATION***Federal Funds***HIGHER EDUCATION**

For carrying out, to the extent not otherwise provided, titles II, III, IV, V, VI, VII, and VIII of the HEA, the Mutual Educational and Cultural Exchange Act of 1961, and section 117 of the Perkins Act, **[\$2,541,661,000] \$3,308,802,000**, of which \$96,000,000 shall remain available through December 31, 2021: *Provided*, That notwithstanding any other provision of law, funds made available in this Act to carry out title VI of the HEA and section 102(b)(6) of the Mutual Educational and Cultural Exchange Act of 1961 may be used to support visits and study in foreign countries by individuals who are participating in advanced foreign language training and international studies in areas that are vital to United States national security and who plan to apply their language skills and knowledge of these countries in the fields of government, the professions, or international development: *Provided further*, That of the funds referred to in the preceding proviso up to 1 percent may be used for program evaluation, national outreach, and information dissemination activities: *Provided further*, That up to 1.5 percent of the funds made available under chapter 2 of subpart 2 of part A of title IV of the HEA may be used for evaluation: *Provided further*, That section 313(d) of the HEA shall not apply to an institution of higher education that is eligible to receive funding under section 318 of the HEA. (*Department of Education Appropriations Act, 2021.*)

**Program and Financing** (in millions of dollars)

Identification code 091-0201-0-1-502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Strengthening institutions .....	108	109	209
0002 Strengthening tribally controlled colleges and universities .....	65	66	81
0003 Strengthening Alaska Native and Native Hawaiian-serving institutions .....	9	33	39
0004 Strengthening historically Black colleges and universities (HBCUs) .....	405	418	483
0005 Strengthening historically Black graduate institutions .....	84	87	102
0007 Strengthening predominantly Black institutions .....	27	28	37
0008 Strengthening Asian American and Native American Pacific Islander-serving institutions .....	15	10	25
0009 Strengthening Native American-serving nontribal institutions .....	9	10	17
0010 Minority science and engineering improvement .....	13	13	18
0011 Strengthening historically Black masters programs .....	10	11	21
0091 Subtotal, aid for institutional development .....	745	785	1,032
0101 Developing Hispanic-serving institutions .....	143	149	237
0102 Developing Hispanic-serving institution STEM and articulation programs .....	93	94	94
0103 Promoting baccalaureate opportunities for Hispanic Americans .....	12	14	29
0104 International education and foreign language studies .....	76	78	78
0105 Model transition programs for students with intellectual disabilities .....	12	14	12
0106 Tribally controlled postsecondary career and technical institutions .....	10	11	10
0191 Subtotal, other aid for institutions .....	346	360	460
0201 Federal TRIO programs .....	1,090	1,097	1,297
0202 Gaining early awareness and readiness for undergraduate programs (GEAR UP) .....	365	368	408
0203 Graduate assistance in areas of national need .....	23	24	24
0204 Child care access means parents in school .....	53	13	138
0291 Subtotal, assistance for students .....	1,531	1,502	1,867
0301 Fund for the improvement of postsecondary education (FIPSE) .....	1	38	103
0302 Teacher quality partnerships .....	50	52	132
0303 Hawkins Centers of Excellence .....			20

0391	Assistance for students, subtotal .....	51	90	255
0900	Total new obligations, unexpired accounts .....	2,673	2,737	3,614
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	25	70
1012	Unobligated balance transfers between expired and unexpired accounts .....	127		132
1050	Unobligated balance (total) .....	128	25	202
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	2,476	2,542	3,309
Appropriations, mandatory:				
1200	Appropriation .....	255	255	255
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-15	-15	-15
1260	Appropriations, mandatory (total) .....	240	240	240
1900	Budget authority (total) .....	2,716	2,782	3,549
1930	Total budgetary resources available .....	2,844	2,807	3,751
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-146		
1941	Unexpired unobligated balance, end of year .....	25	70	137
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3,544	3,866	3,812
3010	New obligations, unexpired accounts .....	2,673	2,737	3,614
3020	Outlays (gross) .....	-2,325	-2,791	-2,786
3041	Recoveries of prior year unpaid obligations, expired .....	-26		
3050	Unpaid obligations, end of year .....	3,866	3,812	4,640
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3,544	3,866	3,812
3200	Obligated balance, end of year .....	3,866	3,812	4,640
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	2,476	2,542	3,309
Outlays, gross:				
4010	Outlays from new discretionary authority .....	19	76	99
4011	Outlays from discretionary balances .....	2,068	2,430	2,435
4020	Outlays, gross (total) .....	2,087	2,506	2,534
Mandatory:				
4090	Budget authority, gross .....	240	240	240
Outlays, gross:				
4100	Outlays from new mandatory authority .....		8	8
4101	Outlays from mandatory balances .....	238	277	244
4110	Outlays, gross (total) .....	238	285	252
4180	Budget authority, net (total) .....	2,716	2,782	3,549
4190	Outlays, net (total) .....	2,325	2,791	2,786

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2020 actual	2021 est.	2022 est.
Enacted/requested:			
Budget Authority .....	2,716	2,782	3,549
Outlays .....	2,325	2,791	2,786
Legislative proposal, subject to PAYGO:			
Budget Authority .....			7,220
Outlays .....			216
Total:			
Budget Authority .....	2,716	2,782	10,769
Outlays .....	2,325	2,791	3,002

**Aid for Institutional Development:**

**Strengthening institutions.**—Funds support planning and development grants for improving academic programs and financial management at schools that enroll high proportions of disadvantaged students and have low per-student expenditures.

**Strengthening tribally controlled colleges and universities.**—Discretionary and mandatory funds support grants to American Indian tribally controlled colleges and universities with scarce resources to enable them to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Strengthening Alaska Native and Native Hawaiian-serving institutions.**—Discretionary and mandatory funds support Alaska Native and Native Hawaiian-serving institutions to enable them to improve and expand

their capacity to serve students and to strengthen management and fiscal operations.

**Strengthening historically Black colleges and universities.**—Discretionary and mandatory funds support grants to help historically Black undergraduate institutions to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Strengthening historically Black graduate institutions.**—Funds support grants to historically Black graduate institutions to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Strengthening predominantly Black institutions.**—Discretionary and mandatory funds support grants to predominantly Black institutions to improve and expand their capacity to serve students.

**Strengthening Asian American- and Native American Pacific Islander-serving institutions.**—Discretionary and mandatory funds support grants to help Asian American and Native American Pacific Islander-serving institutions improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Strengthening Native American-serving nontribal institutions.**—Discretionary and mandatory funds support grants to help Native American-serving nontribal institutions improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Minority science and engineering improvement.**—Funds support grants to predominantly minority institutions to help them make long-range improvements in science and engineering education and to increase the participation of minorities in scientific and technological careers.

**Strengthening HBCU Masters program.**—Funds support grants to historically Black institutions to improve graduate education opportunities at the Masters level in scientific disciplines in which African Americans are underrepresented.

**Aid for Hispanic-serving Institutions:**

**Developing Hispanic-serving institutions.**—Funds support Hispanic-serving institutions to help them improve and expand their capacity to serve students.

**Developing Hispanic-serving institutions STEM and articulation programs.**—Mandatory funds support Hispanic-serving institutions to help them improve and expand their capacity to serve students with priority given to applications that propose to increase the number of Hispanics and other low-income students attaining degrees in the fields of science, technology, engineering, or mathematics; and to develop model transfer and articulation agreements between 2-year Hispanic-serving institutions and 4-year institutions in such fields.

**Promoting postbaccalaureate opportunities for Hispanic Americans.**—Discretionary funds support Hispanic-serving institutions to help them expand and improve postbaccalaureate educational opportunities.

**Other Aid for Institutions:**

**International education and foreign language studies programs.**—Funds promote the development and improvement of domestic and overseas international and foreign language programs by providing institutional and fellowship grant funding to strengthen the capability and performance of American education in foreign languages and in area and international studies.

**Model transition programs for students with intellectual disabilities into higher education.**—Funds support grants to institutions of higher education or consortia of such institutions to create or expand high quality, inclusive model comprehensive transition and postsecondary programs for students with intellectual disabilities.

**Tribally controlled postsecondary career and technical institutions.**—Funds support the operation and improvement of eligible tribally controlled postsecondary career institutions to ensure continued and expanded educational opportunities for Indian students.

**Assistance for Students:**

## HIGHER EDUCATION—Continued

**Federal TRIO programs.**—Funds support postsecondary education outreach and student support services to help individuals from disadvantaged backgrounds prepare for, enter, and complete college and graduate studies.

**Gaining early awareness and readiness for undergraduate programs.**—Funds support early college preparation and awareness activities at the State and local levels to ensure that low-income elementary and secondary school students are prepared for and pursue postsecondary education.

**Graduate assistance in areas of national need.**—Funds support fellowships to graduate students of superior ability who have financial need for study in areas of national need.

**Child care access means parents in school.**—Funds support a program designed to bolster the participation of low-income parents in postsecondary education through the provision of campus-based child care services.

**Fund for the improvement of postsecondary education.**—Funds support the development of innovative strategies designed to improve college completion, particularly for high-need students.

**Teacher quality partnership.**—Funds support grants to partnerships including institutions of higher education and local education agencies, among others, to reform pre-baccalaureate teacher preparation programs or create teacher residency programs in high-need local education agencies.

**Hawkins centers of excellence.**—Funds support a program designed to increase the talent pool of effective minority educators by expanding and reforming teacher education programs at minority-serving institutions.

**Pooled evaluation.**—Requested authority would enable the Department to reserve up to 0.5 percent of funding annually appropriated for certain Higher Education Act (HEA) programs for rigorous program evaluation, data collection, and analysis of outcome data.

## Object Classification (in millions of dollars)

Identification code 091-0201-0-1-502	2020 actual	2021 est.	2022 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	4	6	8
25.3 Other goods and services from Federal sources .....	1	1	1
25.5 Research and development contracts .....	1	1	1
25.7 Operation and maintenance of equipment .....	2	2	2
41.0 Grants, subsidies, and contributions .....	2,665	2,727	3,602
99.9 Total new obligations, unexpired accounts .....	2,673	2,737	3,614

## HIGHER EDUCATION

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

Identification code 091-0201-4-1-502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Strengthening tribally controlled colleges and universities .....			59
0002 Strengthening Alaska Native and Native Hawaiian-serving institutions .....			29
0003 Strengthening historically Black colleges and universities (HBCUs) .....			167
0004 Strengthening predominantly Black institutions .....			29
0007 Strengthening Asian American and Native American Pacific Islander-serving institutions .....			10
0008 Strengthening Native American-serving nontribal institutions .....			10
0009 Developing Hispanic-serving institution STEM and articulation programs .....			196
0010 Completion grants .....			6,200
0011 Health professionals of the future .....			200
0012 Teacher quality partnerships .....			280
0013 Hawkins Centers of Excellence .....			40
0900 Total new obligations, unexpired accounts (object class 41.0) .....			7,220
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			7,220
1930 Total budgetary resources available .....			7,220

## Change in obligated balance:

3010 Unpaid obligations:			
3020 New obligations, unexpired accounts .....			7,220
Outlays (gross) .....			-216
3050 Unpaid obligations, end of year .....			7,004
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			7,004

## Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....			7,220
Outlays, gross:			
4100 Outlays from new mandatory authority .....			216
4180 Budget authority, net (total) .....			7,220
4190 Outlays, net (total) .....			216

**Strengthening tribally controlled colleges and universities.**—Funds support grants to American Indian tribally controlled colleges and universities with scarce resources to enable them to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Strengthening Alaska Native and Native Hawaiian-serving institutions.**—Funds support Alaska Native and Native Hawaiian-serving institutions to enable them to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Strengthening historically Black colleges and universities.**—Funds support grants to help historically Black undergraduate institutions to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Strengthening predominantly Black institutions.**—Funds support grants to predominantly Black institutions to improve and expand their capacity to serve students.

**Strengthening Asian American- and Native American Pacific Islander-serving institutions.**—Funds support grants to help Asian American and Native American Pacific Islander-serving institutions improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Strengthening Native American-serving nontribal institutions.**—Funds support grants to help Native American-serving nontribal institutions improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Developing Hispanic-serving institutions STEM and articulation programs.**—Funds support Hispanic-serving institutions to help them improve and expand their capacity to serve students with priority given to applications that propose to increase the number of Hispanics and other low-income students attaining degrees in the fields of science, technology, engineering, or mathematics; and to develop model transfer and articulation agreements between 2-year Hispanic-serving institutions and 4-year institutions in such fields.

**Completion grants.**—Funds would support formula grants to State, territories and Tribes to support completion and retention activities at colleges and universities that serve high numbers of low-income students, particularly community colleges. Priority would be given to institutions that adopt innovative, proven solutions for student success, including wraparound services ranging from child care and mental health services to faculty and peer mentoring; emergency basic needs grants; practices that recruit and retain diverse faculty; transfer agreements between colleges; and evidence-based remediation programs.

**Health professionals of the future.**—Funds would support competitive awards to support the creation and expansion of health care graduate programs at Minority Serving Institutions (MSIs) focused on creating skilled health care workers with graduate degrees from these institutions. Graduate programs must align with careers that: (1) meet the Workforce Innovation and Opportunity Act definition of "in-demand industry sector or occupation" and (2) are in the health care sector.

**Teacher quality partnership.**—Funds support grants to partnerships including institutions of higher education and local education agencies, among



others, to reform pre-baccalaureate teacher preparation programs or create teacher residency programs in high-need local education agencies.

**Hawkins centers of excellence.**—Funds support a program designed to increase the talent pool of effective minority educators by expanding and reforming teacher education programs at minority-serving institutions.

#### HOWARD UNIVERSITY

For partial support of Howard University, **[\$251,018,000]** \$261,018,000, of which not less than \$3,405,000 shall be for a matching endowment grant pursuant to the Howard University Endowment Act and shall remain available until expended. (*Department of Education Appropriations Act, 2021.*)

For an additional amount for "Howard University", \$20,000,000, to remain available through September 30, 2022, to prevent, prepare for, and respond to coronavirus, domestically or internationally, including to help defray the expenses directly caused by coronavirus and to enable grants to students for expenses directly related to coronavirus and the disruption of university operations: *Provided*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. (*Coronavirus Response and Relief Supplemental Appropriations Act, 2021.*)

#### Program and Financing (in millions of dollars)

Identification code 091–0603–0–1–502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 General support .....	226	272	227
0002 Howard University Hospital .....	27	34	34
0900 Total new obligations, unexpired accounts (object class 41.0) .....	253	306	261
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	253	271	261
Appropriations, mandatory:			
1200 Appropriation .....		35	
1900 Budget authority (total) .....	253	306	261
1930 Total budgetary resources available .....	253	306	261
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	3
3010 New obligations, unexpired accounts .....	253	306	261
3020 Outlays (gross) .....	–253	–306	–261
3050 Unpaid obligations, end of year .....	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	3
3200 Obligated balance, end of year .....	3	3	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	253	271	261
Outlays, gross:			
4010 Outlays from new discretionary authority .....	250	268	258
4011 Outlays from discretionary balances .....	3	3	3
4020 Outlays, gross (total) .....	253	271	261
Mandatory:			
4090 Budget authority, gross .....		35	
Outlays, gross:			
4100 Outlays from new mandatory authority .....		35	
4180 Budget authority, net (total) .....	253	306	261
4190 Outlays, net (total) .....	253	306	261

Howard University is a private, nonprofit institution of higher education consisting of 13 schools and colleges. Federal funds are used to provide partial support for University programs as well as for the Howard University Hospital, a teaching facility. In 2021, the Federal appropriation represented approximately 26 percent of the University's revenue and 11 percent of the Hospital's revenue. The 2022 request is expected to be allocated in a similar manner.

The 2022 request would lift the restrictions that prevent Howard University from accessing the HBCU Capital Financing Program.

#### COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS PROGRAM

For Federal administrative expenses to carry out activities related to existing facility loans pursuant to section 121 of the HEA, \$435,000.

#### HISTORICALLY BLACK COLLEGE AND UNIVERSITY CAPITAL FINANCING PROGRAM ACCOUNT

For the cost of guaranteed loans, **[\$22,150,000]** \$20,150,000, as authorized pursuant to part D of title III of the HEA, which shall remain available through September 30, **[2022]** 2023: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, not to exceed **[\$278,266,000]** \$274,149,000: *Provided further*, That these funds may be used to support loans to public and private Historically Black Colleges and Universities without regard to the limitations within section 344(a) of the HEA.

In addition, \$16,000,000, to remain available through September 30, 2022, shall be made available to provide for the deferment of loans made under part D of title III of the HEA to eligible institutions that are private Historically Black Colleges and Universities, which apply for the deferment of such a loan and demonstrate financial need for such deferment by having a score of 2.6 or less on the Department of Education's financial responsibility test: *Provided*, That the loan has not been paid in full and is not paid in full during the period of deferment: *Provided further*, That during the period of deferment of such a loan, interest on the loan will not accrue or be capitalized, and the period of deferment shall be for at least a period of 3-fiscal years and not more than 6-fiscal years: *Provided further*, That funds available under this paragraph shall be used to fund eligible deferment requests submitted for this purpose in fiscal year 2018: *Provided further*, That the Secretary shall create and execute an outreach plan to work with States and the Capital Financing Advisory Board to improve outreach to States and help additional public Historically Black Colleges and Universities participate in the program.

In addition, \$10,000,000, to remain available through September 30, 2022, shall be made available to provide for the deferment of loans made under part D of title III of the HEA to eligible institutions that are public Historically Black Colleges and Universities, which apply for the deferment of such a loan and demonstrate financial need for such deferment, which shall be determined by the Secretary of Education based on factors including, but not limited to, equal to or greater than 5 percent of the school's operating revenue relative to its annual debt service payment: *Provided*, That during the period of deferment of such a loan, interest on the loan will not accrue or be capitalized, and the period of deferment shall be for at least a period of 3-fiscal years and not more than 6-fiscal years.

In addition, for administrative expenses to carry out the Historically Black College and University Capital Financing Program entered into pursuant to part D of title III of the HEA, \$334,000. (*Department of Education Appropriations Act, 2021.*)

#### Program and Financing (in millions of dollars)

Identification code 091–0241–0–1–502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Forgiveness Modification expenses .....		134	
Credit program obligations:			
0701 Direct loan subsidy .....	26	32	18
0703 Subsidy for modifications of direct loans .....	52	1,564	
0705 Reestimates of direct loan subsidy .....		18	
0706 Interest on reestimates of direct loan subsidy .....	10	1	
0709 Administrative expenses .....	1	1	1
0791 Direct program activities, subtotal .....	89	1,616	19
0900 Total new obligations, unexpired accounts (object class 41.0) .....	89	1,750	19
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	14	25
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	109	49	21
Appropriations, mandatory:			
1200 Appropriation .....	10	1,712	
1900 Budget authority (total) .....	119	1,761	21
1930 Total budgetary resources available .....	139	1,775	46
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–36		

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS PROGRAM ACCOUNT—Continued  
**Program and Financing—Continued**

Identification code 091–0241–0–1–502	2020 actual	2021 est.	2022 est.
1941 Unexpired unobligated balance, end of year .....	14	25	27
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	29	33	50
3010 New obligations, unexpired accounts .....	89	1,750	19
3020 Outlays (gross) .....	-79	-1,733	-40
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	33	50	29
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	29	33	50
3200 Obligated balance, end of year .....	33	50	29
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	109	49	21
Outlays, gross:			
4010 Outlays from new discretionary authority .....	52	10	5
4011 Outlays from discretionary balances .....	17	11	35
4020 Outlays, gross (total) .....	69	21	40
Mandatory:			
4090 Budget authority, gross .....	10	1,712	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	10	1,712	
4180 Budget authority, net (total) .....	119	1,761	21
4190 Outlays, net (total) .....	79	1,733	40

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 091–0241–0–1–502	2020 actual	2021 est.	2022 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Historically Black Colleges and Universities .....	258	309	241
115999 Total direct loan levels .....	258	309	241
Direct loan subsidy (in percent):			
132002 Historically Black Colleges and Universities .....	10.16	10.25	7.35
132999 Weighted average subsidy rate .....	10.16	10.25	7.35
Direct loan subsidy budget authority:			
133002 Historically Black Colleges and Universities .....	26	32	18
133999 Total subsidy budget authority .....	26	32	18
Direct loan subsidy outlays:			
134002 Historically Black Colleges and Universities .....	68	1,579	18
134999 Total subsidy outlays .....	68	1,579	18
Direct loan reestimates:			
135002 Historically Black Colleges and Universities .....	-38	-189	
135999 Total direct loan reestimates .....	-38	-189	
Administrative expense data:			
3510 Budget authority .....	1	1	1
3590 Outlays from new authority .....	1	1	1

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond, as well as any administrative expenses for the College Housing and Academic Facilities Loans (CHAFL) Program and the Historically Black College and University (HBCU) Capital Financing Program. The subsidy amounts are estimated on a present value basis; the administrative expenses are on a cash basis. These programs are administered separately but consolidated in the Budget for presentation purposes.

*College housing and academic facilities loans program.*—Funds for this activity pay the Federal costs of administering CHAFL, College Housing Loans (CHL), and Higher Education Facilities Loans (HEFL) programs. Prior to 1994, these programs provided financing for the construction, reconstruction, and renovation of housing, academic, and other educational facilities. Although no new loans have been awarded since 1993, the Department of Education will incur costs for administering the outstanding loans through 2030.

*Historically Black college and university (HBCU) capital financing program.*—The HBCU Capital Financing Program provides HBCUs with access to capital financing for the repair, renovation, and construction of classrooms, libraries, laboratories, dormitories, instructional equipment, and research instrumentation. The authorizing statute gives the Department authority to enter into insurance agreements with a private for-profit Designated Bonding Authority. The bonding authority issues the loans and maintains an escrow account in which five percent of each institution's principal is deposited. The FAFSA Simplification Act, which was included in the Consolidated Appropriations Act, 2021, signed into law in December 2020, provided authority and funding to discharge debts under the HBCU Capital Financing Program. The Department of Education discharged approximately \$1.6 billion of debt provided to HBCUs.

The 2022 request would lift the restrictions that prevent Howard University from accessing the HBCU Capital Financing Program.

## COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 091–4252–0–3–502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....		1	1
0900 Total new obligations, unexpired accounts .....		1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1023 Unobligated balances applied to repay debt .....	-1		
1050 Unobligated balance (total) .....		1	1
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	1		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....		1	1
1900 Budget authority (total) .....	1	1	1
1930 Total budgetary resources available .....	1	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....		1	1
3020 Outlays (gross) .....		-1	-1
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Financing disbursements:			
4110 Outlays, gross (total) .....		1	1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Interest repayments .....		-1	-1
4180 Budget authority, net (total) .....	1		
4190 Outlays, net (total) .....			

**Status of Direct Loans** (in millions of dollars)

Identification code 091–4252–0–3–502	2020 actual	2021 est.	2022 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	4	3	3
1264 Other adjustments, net (+ or -) .....	-1		
1290 Outstanding, end of year .....	3	3	3

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the College Housing and Academic Facilities loan program. Amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 091-4252-0-3-502	2019 actual	2020 actual
<b>ASSETS:</b>		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	4	3
1405 Allowance for subsidy cost (-) .....	-1	
1499 Net present value of assets related to direct loans .....	3	3
1999 Total assets .....	3	3
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	3	3
4999 Total liabilities and net position .....	3	3

**COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS LIQUIDATING ACCOUNT****Program and Financing** (in millions of dollars)

Identification code 091-0242-0-1-502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	2	2	2
0900 Total new obligations, unexpired accounts (object class 43.0) .....	2	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	
1022 Capital transfer of unobligated balances to general fund .....	-4	-4	
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	1	1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	7	11	11
1820 Capital transfer of spending authority from offsetting collections to general fund .....		-8	-8
1825 Spending authority from offsetting collections applied to repay debt .....	-2	-2	-2
1850 Spending auth from offsetting collections, mand (total) .....	5	1	1
1900 Budget authority (total) .....	6	2	2
1930 Total budgetary resources available .....	6	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010 New obligations, unexpired accounts .....	2	2	2
3020 Outlays (gross) .....	-1	-2	-2
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	6	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-7	-11	-11
4180 Budget authority, net (total) .....	-1	-9	-9
4190 Outlays, net (total) .....	-6	-9	-9

**Status of Direct Loans** (in millions of dollars)

Identification code 091-0242-0-1-502	2020 actual	2021 est.	2022 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	28	22	20
1251 Repayments: Repayments and prepayments .....	-2	-2	-2
1264 Other adjustments, net (+ or -) .....	-4		
1290 Outstanding, end of year .....	22	20	18

As required by the Federal Credit Reform Act of 1990, the College Housing and Academic Facilities Loans Liquidating Account records all

cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account includes loans made under the College Housing and Academic Facilities Loans, College Housing Loans, and Higher Education Facilities Loans programs, which continue to be administered separately.

**Balance Sheet** (in millions of dollars)

Identification code 091-0242-0-1-502	2019 actual	2020 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	6	4
1601 Direct loans, gross .....	28	22
1602 Interest receivable .....	4	4
1603 Allowance for estimated uncollectible loans and interest (-) .....	-10	-8
1699 Value of assets related to direct loans .....	22	18
1999 Total assets .....	28	22
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	13	10
2104 Resources payable to Treasury .....	12	16
2999 Total liabilities .....	25	26
<b>NET POSITION:</b>		
3100 Unexpended appropriations .....	2	3
3300 Cumulative results of operations .....	1	-7
3999 Total net position .....	3	-4
4999 Total liabilities and net position .....	28	22

**HISTORICALLY BLACK COLLEGE AND UNIVERSITY CAPITAL FINANCING DIRECT LOAN FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

Identification code 091-4255-0-3-502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0004 Interest paid to Treasury (FFB) .....	56	33	2
0006 Deferment Mod expenses .....	21	5	
0007 Public Deferment Payments .....	9	2	
0008 CARES Deferment Payments .....	36	28	
0009 Modification Fees .....	1	2	
0091 Direct program activities, subtotal .....	123	70	2
Credit program obligations:			
0710 Direct loan obligations .....	258	309	241
0713 Payment of interest to Treasury .....	2		2
0742 Downward reestimates paid to receipt accounts .....	42	118	
0743 Interest on downward reestimates .....	6	89	
0791 Direct program activities, subtotal .....	308	516	243
0900 Total new obligations, unexpired accounts .....	431	586	245
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	181	176	3
Financing authority:			
Appropriations, mandatory:			
1200 Appropriation .....	1		
Borrowing authority, mandatory:			
1400 Borrowing authority .....	288	309	241
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	282	1,664	168
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-3		
1825 Spending authority from offsetting collections applied to repay debt .....	-142	-1,560	-93
1850 Spending auth from offsetting collections, mand (total) .....	137	104	75
1900 Budget authority (total) .....	426	413	316
1930 Total budgetary resources available .....	607	589	319
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	176	3	74
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	360	499	695
3010 New obligations, unexpired accounts .....	431	586	245
3020 Outlays (gross) .....	-292	-390	-184

HISTORICALLY BLACK COLLEGE AND UNIVERSITY CAPITAL FINANCING DIRECT LOAN  
FINANCING ACCOUNT—Continued

## Program and Financing—Continued

Identification code 091-4255-0-3-502	2020 actual	2021 est.	2022 est.
3050 Unpaid obligations, end of year .....	499	695	756
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	360	499	695
3200 Obligated balance, end of year .....	499	695	756
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	426	413	316
Financing disbursements:			
4110 Outlays, gross (total) .....	292	390	184
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-79	-1,598	-18
4122 Interest on uninvested funds .....	-9		-1
4123 Interest repayments .....	-194	-33	-56
4123 Principal repayments .....		-33	-93
4130 Offsets against gross budget authority and outlays (total) ....	-282	-1,664	-168
4160 Budget authority, net (mandatory) .....	144	-1,251	148
4170 Outlays, net (mandatory) .....	10	-1,274	16
4180 Budget authority, net (total) .....	144	-1,251	148
4190 Outlays, net (total) .....	10	-1,274	16

## Status of Direct Loans (in millions of dollars)

Identification code 091-4255-0-3-502	2020 actual	2021 est.	2022 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	258	309	241
1150 Total direct loan obligations .....	258	309	241
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	1,482	1,564	122
1231 Disbursements: Direct loan disbursements .....	165	152	181
1251 Repayments: Repayments and prepayments .....	-142	-34	-2
1264 Other adjustments, net (+ or -) .....	59	-1,560	
1290 Outstanding, end of year .....	1,564	122	301

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Federal Government resulting from direct loans obligated in 1996 and beyond. The Federal Financing Bank (FFB) purchases bonds issued by the Historically Black College and University (HBCU) Designated Bonding Authority. Under the policies governing Federal credit programs, bonds purchased by the FFB and supported by the Department of Education with a letter of credit create the equivalent of a Federal direct loan. HBCU bonds are also available for purchase by the private sector, and these will be treated as loan guarantees. However, the Department anticipates that all HBCU loans will be financed by the FFB. The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

Identification code 091-4255-0-3-502	2019 actual	2020 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	155	215
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	1,482	1,564
1402 Interest receivable .....	29	54
1405 Allowance for subsidy cost (-) .....	-191	-222
1499 Net present value of assets related to direct loans .....	1,320	1,396
1901 Other Federal assets: Other assets .....	20	
1999 Total assets .....	1,495	1,611
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....		45
2102 Interest payable .....	13	13
2103 Debt .....	1,482	1,553
2999 Total liabilities .....	1,495	1,611

## NET POSITION:

3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	1,495	1,611

## OFFICE OF FEDERAL STUDENT AID

## Federal Funds

## STUDENT FINANCIAL ASSISTANCE

For carrying out subparts 1, 3, and 10 of part A, and part C of title IV of the HEA, **[\$24,545,352,000]** \$27,545,352,000 which shall remain available through September 30, **[2022]** 2023.

The maximum Pell Grant for which a student shall be eligible during award year **[2021-2022]** 2022-2023 shall be **[\$5,435]** \$5,835. (Department of Education Appropriations Act, 2021.)

## Program and Financing (in millions of dollars)

Identification code 091-0200-0-1-502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0101 Federal Pell grants .....	27,045	28,095	32,042
0201 Federal supplemental educational opportunity grants (SEOG) .....	865	880	880
0202 Federal work-study .....	1,129	1,190	1,190
0291 Campus-based activities - Subtotal .....	1,994	2,070	2,070
0900 Total new obligations, unexpired accounts (object class 41.0) .....	29,039	30,165	34,112
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11,071	13,129	13,564
1021 Recoveries of prior year unpaid obligations .....	109		
1050 Unobligated balance (total) .....	11,180	13,129	13,564
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	24,520	24,545	27,545
1100 Appropriation - Disc Award Inc CHIMP .....			163
1130 Appropriations permanently reduced .....			-163
1131 Unobligated balance of appropriations permanently reduced .....	-500	-500	
1160 Appropriation, discretionary (total) .....	24,020	24,045	27,545
Appropriations, mandatory:			
1200 Appropriation .....	7,027	6,583	6,896
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-50	-28	
1260 Appropriations, mandatory (total) .....	6,977	6,555	6,896
1900 Budget authority (total) .....	30,997	30,600	34,441
1930 Total budgetary resources available .....	42,177	43,729	48,005
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-9		
1941 Unexpired unobligated balance, end of year .....	13,129	13,564	13,893

## Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	19,727	19,213	19,524
3010 New obligations, unexpired accounts .....	29,039	30,165	34,112
3011 Obligations ("upward adjustments"), expired accounts .....	529		
3020 Outlays (gross) .....	-29,489	-29,854	-31,412
3040 Recoveries of prior year unpaid obligations, unexpired .....	-109		
3041 Recoveries of prior year unpaid obligations, expired .....	-484		
3050 Unpaid obligations, end of year .....	19,213	19,524	22,224
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	19,727	19,213	19,524
3200 Obligated balance, end of year .....	19,213	19,524	22,224

## Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	24,020	24,045	27,545
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6,526	3,557	4,584
4011 Outlays from discretionary balances .....	16,655	19,449	20,137
4020 Outlays, gross (total) .....	23,181	23,006	24,721
Mandatory:			
4090 Budget authority, gross .....	6,977	6,555	6,896
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2,393	2,343	2,479

4101	Outlays from mandatory balances .....	3,915	4,505	4,212
4110	Outlays, gross (total) .....	6,308	6,848	6,691
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4123	Non-Federal sources .....	-122		
	Additional offsets against gross budget authority only: Offsetting collections credited to expired accounts .....	122		
4160	Budget authority, net (mandatory) .....	6,977	6,555	6,896
4170	Outlays, net (mandatory) .....	6,186	6,848	6,691
4180	Budget authority, net (total) .....	30,997	30,600	34,441
4190	Outlays, net (total) .....	29,367	29,854	31,412

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2020 actual	2021 est.	2022 est.
Enacted/requested:			
Budget Authority .....	30,997	30,600	34,441
Outlays .....	29,367	29,854	31,412
Legislative proposal, subject to PAYGO:			
Budget Authority .....			8,302
Outlays .....			3,595
Total:			
Budget Authority .....	30,997	30,600	42,743
Outlays .....	29,367	29,854	35,007

**Status of Direct Loans** (in millions of dollars)

Identification code 091-0200-0-1-502	2020 actual	2021 est.	2022 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	532	615	664
1251 Repayments: Repayments and prepayments .....	-57	-15	-119
1264 Other adjustments, net (+ or -) .....	140	64	45
1290 Outstanding, end of year .....	615	664	590

**Notes.**—Figures include, in all years, institutional matching share of defaulted notes assigned from institutions to the Education Department.

Funding from the Student Financial Assistance account and related matching funds would provide 9.7 million awards totaling more than \$43.3 billion in available aid in award year 2022–2023.

**Federal Pell grants.**—Pell Grants are the single largest source of grant aid for postsecondary education. Funding for this program is provided from two sources: discretionary and mandatory budget authority provided by the College Cost Reduction and Access Act, as amended, and changes to the Higher Education Act of 1965 made in the Department of Education Appropriations Act of 2021.

In 2022, over 7.3 million undergraduates will receive up to \$5,835 from the discretionary award and an additional \$2,535 from the mandatory add-on to help pay for postsecondary education. Undergraduate students establish eligibility for these grants under award and need determination rules set out in the authorizing statute and annual appropriations act.

The Budget request includes \$25.5 billion in discretionary funding for Pell Grants in 2022, which, when combined with mandatory funding, will support a projected maximum award of \$8,370.

**Federal supplemental educational opportunity grants (SEOG).**—Federal funds are awarded by formula to qualifying institutions, which use these funds to award grants to undergraduate students. While institutions have discretion in awarding these funds, they are required to give priority to Pell Grant recipients and other students with exceptional need. The Federal share of these grants cannot exceed 75 percent of the total grant. The Budget includes \$880 million for SEOG, which would generate \$1.19 billion in aid to nearly 1.7 million students.

**Federal work-study.**—Federal funds are awarded by formula to qualifying institutions, which provide part-time jobs to eligible undergraduate and graduate students. Hourly earnings under this program must be at least the Federal minimum wage. Federal funding, in most cases, pays 75 percent of a student's hourly wages, with the remaining 25 percent paid by the employer. The Federal Work-Study program also requires participating institutions to use at least seven percent of their total funds for students employed in community service jobs.

The Budget includes \$1.19 billion for Work-Study, which would generate \$1.22 billion in aid to 650,878 students.

**Iraq and Afghanistan service grants.**—This program provides non-need-based grants to students whose parent or guardian was a member of the Armed Forces and died in Iraq or Afghanistan as a result of performing military service after September 11, 2001.

**Pooled evaluation.**—Requested authority in the General Provisions would enable the Department to reserve up to 0.5 percent of funding annually appropriated for certain Higher Education Act (HEA) programs for rigorous program evaluation, data collection, and analysis of outcome data. The authority would not allow the Department to reserve funds appropriated for Pell Grants, but would allow for evaluation of the program with the reserved funds.

**Funding tables.**—The following tables display student aid funds available, the number of aid awards, average awards, and the unduplicated count of recipients from each Federal student aid program. Loan amounts reflect the amount actually loaned to borrowers, not the Federal cost of these loans. The data in these tables include matching funds wherever appropriate.

**Aid Funds Available for Postsecondary Education and Training**

	(in thousands of dollars)		
	2020	2021	2022
Pell grants .....	\$27,133,485	\$28,062,720	\$40,910,440
Student loans:			
Subsidized Stafford loans .....	17,841,442	17,986,243	17,903,037
Unsubsidized Stafford loans (Undergraduates) .....	20,138,845	20,600,012	20,784,362
Unsubsidized Stafford loans (Graduate students) .....	28,294,907	29,024,956	29,318,110
Unsubsidized Stafford loans (total) .....	48,433,752	49,624,969	50,102,473
Parent PLUS loans .....	10,528,908	10,651,273	10,782,877
Grad PLUS loans .....	11,642,517	12,221,273	12,471,983
PLUS loans (total) .....	22,171,425	22,872,547	23,254,861
Consolidation .....	30,400,791	36,731,232	39,464,067
Student loans, subtotal .....	118,847,410	127,214,991	130,724,437
Work-study .....	1,214,013	1,224,302	1,224,302
Supplemental educational opportunity grants .....	1,169,356	1,189,634	1,189,634
Iraq and Afghanistan service grants .....	534	608	783
TEACH grants .....	78,370	79,400	172,216
Total aid available .....	148,443,168	157,771,654	174,221,812

**Number of Aid Awards**

	(in thousands)		
	2020	2021	2022
Pell grants .....	6,303	6,456	7,312
Subsidized Stafford loans .....	5,105	5,137	5,098
Unsubsidized Stafford loans (Undergraduates) .....	5,335	5,409	5,404
Unsubsidized Stafford loans (Graduate students) .....	1,874	1,908	1,905
Parent PLUS loans .....	725	716	713
Grad PLUS loans .....	614	627	641
Consolidation loans .....	496	586	636
Work-study .....	645	651	651
Supplemental educational opportunity grants .....	1,663	1,692	1,692
Iraq and Afghanistan service grants <sup>1</sup> .....	0	0	0
TEACH grants .....	25	25	39
Total awards .....	22,787	23,209	24,902

<sup>1</sup> Number of recipients is fewer than 1,000.

Note: Numbers may not add due to rounding.

**Average Aid Awards**

	(in whole dollars)		
	2020	2021	2022
Pell grants .....	\$4,305	\$4,347	\$5,595
Subsidized Stafford loans .....	3,495	3,501	3,512
Unsubsidized Stafford loans (Undergraduates) .....	3,775	3,809	3,846
Unsubsidized Stafford loans (Graduate students) .....	15,099	15,209	15,388
Parent PLUS loans .....	14,514	14,871	15,122
Grad PLUS loans .....	18,971	19,491	19,462
Consolidation loans .....	61,341	62,633	62,063
Work-study .....	1,881	1,881	1,881
Supplemental educational opportunity grants .....	703	703	703
Iraq and Afghanistan service grants .....	5,505	5,682	7,299
TEACH grants .....	3,114	3,121	4,397

**Number of Students Aided**

	(in thousands)		
	2020	2021	2022
Unduplicated student count .....	9,534	9,681	10,090

## STUDENT FINANCIAL ASSISTANCE—Continued

## Administrative Payments to Institutions

(in thousands of dollars)

	2020	2021	2022
Pell grants .....	\$31,515	\$32,280	\$36,560
Work-study .....	60,826	61,341	61,341
Supplemental educational opportunity grants .....	17,950	18,262	18,262

## STUDENT FINANCIAL ASSISTANCE

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

Identification code 091–0200–4–1–502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0101 Federal Pell grants .....			8,741
0900 Total new obligations, unexpired accounts (object class 41.0) .....			8,741
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			8,302
1930 Total budgetary resources available .....			8,302
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			–439
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			8,741
3020 Outlays (gross) .....			–3,595
3050 Unpaid obligations, end of year .....			5,146
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			5,146
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			8,302
Outlays, gross:			
4100 Outlays from new mandatory authority .....			3,595
4180 Budget authority, net (total) .....			8,302
4190 Outlays, net (total) .....			3,595

**Federal Pell grants.**—The 2022 Budget proposes to expand Pell Grant eligibility to students who came to the United States as children and are Deferred Action for Childhood Arrivals recipients, commonly known as DREAMers. The 2022 Budget also proposes to increase the mandatory add-on to the maximum Pell Grant from \$1,060 to \$2,535. With the \$400 increase to the discretionary maximum award, the total increase will be \$1,875 and the total maximum Pell Grant will be \$8,370.

## FREE COMMUNITY COLLEGE

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

Identification code 091–0250–4–1–502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Free Community College .....			14,312
0002 Institutional Aid to Improve Affordability .....			4,641
0900 Total new obligations, unexpired accounts (object class 41.0) .....			18,953
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			18,953
1930 Total budgetary resources available .....			18,953
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			18,953
3020 Outlays (gross) .....			–568
3050 Unpaid obligations, end of year .....			18,385

3200	Memorandum (non-add) entries:	
	Obligated balance, end of year .....	18,385

## Budget authority and outlays, net:

4090	Mandatory:	
	Budget authority, gross .....	18,953
	Outlays, gross:	
4100	Outlays from new mandatory authority .....	568
4180	Budget authority, net (total) .....	18,953
4190	Outlays, net (total) .....	568

**Free community college.**—Funds are provided to create a new partnership with States, territories, and Tribes to make two years of community college free for first-time students and workers wanting to reskill. Students can use the benefit over three years and, if circumstances warrant, up to four years, recognizing that many students' lives and other responsibilities can make full-time enrollment difficult. If all States, territories, and Tribes participate, about 5.5 million students would pay \$0 in tuition and fees.

**Advancing affordability for students.**—Funds are provided to eligible four-year Historically Black Colleges and Universities, Tribal Colleges and Universities, and Minority-Serving Institutions to provide two years of subsidized tuition for students from families earning less than \$125,000.

## STUDENT AID ADMINISTRATION

For Federal administrative expenses to carry out part D of title I, and subparts 1, 3, 9, and 10 of part A, and parts B, C, D, and E of title IV of the HEA, and subpart 1 of part A of title VII of the Public Health Service Act, **[\$1,853,943,000]** **\$2,053,943,000**, to remain available through September 30, **[2022: Provided, That the Secretary shall allocate new student loan borrower accounts to eligible student loan servicers on the basis of their past performance compared to all loan servicers utilizing established common metrics, and on the basis of the capacity of each servicer to process new and existing accounts: *Provided further*, That for student loan contracts awarded prior to October 1, 2017, the Secretary shall allow student loan borrowers who are consolidating Federal student loans to select from any student loan servicer to service their new consolidated student loan: *Provided further*, That in order to promote accountability and high-quality service to borrowers, the Secretary shall not award funding for any contract solicitation for a new Federal student loan servicing environment, including the solicitation for the Federal Student Aid (FSA) Next Generation Processing and Servicing Environment, unless such an environment provides for the participation of multiple student loan servicers that contract directly with the Department of Education to manage a unique portfolio of borrower accounts and the full life-cycle of loans from disbursement to pay-off with certain limited exceptions, and allocates student loan borrower accounts to eligible student loan servicers based on performance: *Provided further*, That the Department shall re-allocate accounts from servicers for recurring non-compliance with FSA guidelines, contractual requirements, and applicable laws, including for failure to sufficiently inform borrowers of available repayment options: *Provided further*, That such servicers shall be evaluated based on their ability to meet contract requirements (including an understanding of Federal and State law), future performance on the contracts, and history of compliance with applicable consumer protections laws: *Provided further*, That to the extent FSA permits student loan servicing subcontracting, FSA shall hold prime contractors accountable for meeting the requirements of the contract, and the performance and expectations of subcontractors shall be accounted for in the prime contract and in the overall performance of the prime contractor: *Provided further*, That FSA shall ensure that the Next Generation Processing and Servicing Environment, or any new Federal loan servicing environment, incentivize more support to borrowers at risk of delinquency or default: *Provided further*, That FSA shall ensure that in such environment contractors have the capacity to meet and are held accountable for performance on service levels; are held accountable for and have a history of compliance with applicable consumer protection laws; and have relevant experience and demonstrated effectiveness: *Provided further*, That the Secretary shall provide quarterly briefings to the Committees on Appropriations and Education and Labor of the House of Representatives and the Committees on Appropriations and Health, Education, Labor, and Pensions of the Senate on general progress related to solicitations for Federal student loan servicing contracts: *Provided further*, That FSA shall strengthen transparency through expanded publication of aggregate data on student loan and servicer performance: *Provided further*, That not later than 60 days after enactment of this Act, FSA shall provide to the Committees on Appropriations of the House of Representatives and the Senate a detailed spend plan of anticipated uses of funds made available in this account for fiscal year 2021 and provide quarterly updates on this plan (including contracts awarded, change**

orders, bonuses paid to staff, reorganization costs, and any other activity carried out using amounts provided under this heading for fiscal year 2021): *Provided further*, That the FSA Next Generation Processing and Servicing Environment, or any new Federal student loan servicing environment, shall include accountability measures that account for the performance of the portfolio and contractor compliance with FSA guidelines: *Provided further*, That, due to concerns with the transfer of borrower accounts and to allow appropriate time for review of the risks of current contracting plans, FSA shall suspend awarding of any contract for the Interim Servicing Solution (ISS) Solicitation (Solicitation No. 91003120R0018) for a period of not less than 90 days after enactment of this Act: *Provided further*, That FSA may not award funding for any contract under such ISS Solicitation unless Business Process Operations (BPO) Contractors are, as borrower accounts are migrated to ISS, immediately responsible for all contact center and back-office processing, as described in BPO Solicitation No. 91003119R0008, necessary to deliver all such servicing requirements for accounts that have been migrated to ISS: *Provided further*, That notwithstanding the requirements of the Federal Property and Administration Services Act of 1949, 41 U.S.C. 3101 et. seq. as amended; parts 6, 16, and 37 of title 48, Code of Federal Regulations; or any other procurement limitation on the period of performance, the Secretary may extend the period of performance for any contract under section 456 of the HEA for servicing activities scheduled to expire on December 14, 2021, or March 30, 2022, as applicable, for up to two additional years from the date of expiration 2023. (*Department of Education Appropriations Act, 2021.*)

■ For an additional amount for "Student Aid Administration", \$30,000,000, to remain available through September 30, 2022, to prevent, prepare for, and respond to coronavirus, domestically or internationally: *Provided*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. ■ (*Coronavirus Response and Relief Supplemental Appropriations Act, 2021.*)

#### Program and Financing (in millions of dollars)

Identification code 091–0202–0–1–502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Student aid administration .....	883	1,052	1,148
0002 Servicing activities .....	989	872	962
0900 Total new obligations, unexpired accounts .....	1,872	1,924	2,110
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	27	78
1021 Recoveries of prior year unpaid obligations .....	87		
1050 Unobligated balance (total) .....	90	27	78
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,809	1,884	2,054
Appropriations, mandatory:			
1200 Appropriation .....		91	
1900 Budget authority (total) .....	1,809	1,975	2,054
1930 Total budgetary resources available .....	1,899	2,002	2,132
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	27	78	22
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	757	768	1,059
3010 New obligations, unexpired accounts .....	1,872	1,924	2,110
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-1,762	-1,633	-1,904
3040 Recoveries of prior year unpaid obligations, unexpired .....	-87		
3041 Recoveries of prior year unpaid obligations, expired .....	-13		
3050 Unpaid obligations, end of year .....	768	1,059	1,265
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	757	768	1,059
3200 Obligated balance, end of year .....	768	1,059	1,265
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,809	1,884	2,054
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,134	1,031	1,119
4011 Outlays from discretionary balances .....	628	558	753
4020 Outlays, gross (total) .....	1,762	1,589	1,872
Mandatory:			
4090 Budget authority, gross .....		91	
Outlays, gross:			
4100 Outlays from new mandatory authority .....		44	

4101 Outlays from mandatory balances .....			32
4110 Outlays, gross (total) .....		44	32
4180 Budget authority, net (total) .....	1,809	1,975	2,054
4190 Outlays, net (total) .....	1,762	1,633	1,904

The Department of Education manages Federal student aid programs that will provide nearly \$135 billion in new Federal student aid grants and loans (excluding Direct Consolidation Loans) to 10 million students and parents in 2022. The Offices of Postsecondary Education, the Under Secretary, and Federal Student Aid (FSA) are primarily responsible for administering the Federal student financial assistance programs. FSA was created by the Congress in 1998 as a partially independent Performance Based Organization (PBO) with a mandate to improve service to students and other student aid program participants, reduce student aid administration costs, and improve accountability and program integrity.

#### Object Classification (in millions of dollars)

Identification code 091–0202–0–1–502	2020 actual	2021 est.	2022 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	181	201	208
11.3 Other than full-time permanent .....	4		
11.5 Other personnel compensation .....	4	5	4
11.9 Total personnel compensation .....	189	206	212
12.1 Civilian personnel benefits .....	63	69	72
21.0 Travel and transportation of persons .....	1	2	3
23.1 Rental payments to GSA .....	18	19	19
25.1 Advisory and assistance services .....	2	2	1
25.2 Other services from non-Federal sources .....	1,082	1,007	1,051
25.3 Other goods and services from Federal sources .....	42	48	49
25.7 Operation and maintenance of equipment .....	473	571	702
31.0 Equipment .....	1		
99.0 Direct obligations .....	1,871	1,924	2,109
99.5 Adjustment for rounding .....	1		1
99.9 Total new obligations, unexpired accounts .....	1,872	1,924	2,110

#### Employment Summary

Identification code 091–0202–0–1–502	2020 actual	2021 est.	2022 est.
1001 Direct civilian full-time equivalent employment .....	1,479	1,515	1,630

#### TEACH GRANT PROGRAM ACCOUNT

#### Program and Financing (in millions of dollars)

Identification code 091–0206–0–1–502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	29	28	38
0703 Subsidy for modifications of direct loans .....	16	42	
0705 Reestimates of direct loan subsidy .....	9	62	
0706 Interest on reestimates of direct loan subsidy .....	2	16	
0900 Total new obligations, unexpired accounts (object class 41.0) .....	56	148	38
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (indefinite) - Loan subsidy .....	31	30	40
1200 Appropriation (indefinite) - Upward reestimate .....	11	78	
1200 Appropriation (indefinite) Upward Modification .....	16	42	
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-2	-2	-2
1260 Appropriations, mandatory (total) .....	56	148	38
1930 Total budgetary resources available .....	56	148	38
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	10	7
3010 New obligations, unexpired accounts .....	56	148	38
3020 Outlays (gross) .....	-54	-149	-34

TEACH GRANT PROGRAM ACCOUNT—Continued  
Program and Financing—Continued

Identification code 091–0206–0–1–502	2020 actual	2021 est.	2022 est.
3041 Recoveries of prior year unpaid obligations, expired .....	–2	–2	–3
3050 Unpaid obligations, end of year .....	10	7	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10	10	7
3200 Obligated balance, end of year .....	10	7	8
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	56	148	38
Outlays, gross:			
4100 Outlays from new mandatory authority .....	46	142	30
4101 Outlays from mandatory balances .....	8	7	4
4110 Outlays, gross (total) .....	54	149	34
4180 Budget authority, net (total) .....	56	148	38
4190 Outlays, net (total) .....	54	149	34

## Summary of Budget Authority and Outlays (in millions of dollars)

	2020 actual	2021 est.	2022 est.
Enacted/requested:			
Budget Authority .....	56	148	38
Outlays .....	54	149	34
Legislative proposal, subject to PAYGO:			
Budget Authority .....			44
Outlays .....			24
Total:			
Budget Authority .....	56	148	82
Outlays .....	54	149	58

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091–0206–0–1–502	2020 actual	2021 est.	2022 est.
Direct loan levels supportable by subsidy budget authority:			
115001 TEACH Grants .....	99	86	92
Direct loan subsidy (in percent):			
132001 TEACH Grants .....	29.35	31.72	41.53
132999 Weighted average subsidy rate .....	29.35	31.72	41.53
Direct loan subsidy budget authority:			
133001 TEACH Grants .....	29	27	38
Direct loan subsidy outlays:			
134001 TEACH Grants .....	43	71	34
Direct loan reestimates:			
135001 TEACH Grants .....	–25	75	.....

The TEACH Grant program, authorized by the College Cost Reduction and Access Act of 2007, awards annual grants of up to \$4,000 to full- or part-time undergraduate and graduate students who agree to teach mathematics, science, foreign languages, bilingual education, special education, or reading at a high-poverty school for not less than four years within eight years of graduation. The program began awarding grants in the 2008–2009 award year. Students must have a grade point average of 3.25 or higher to be eligible to receive a grant. Students who fail to fulfill the service requirements must repay the grants, including interest accrued from the time of award.

Because TEACH Grants turn into loans in cases where the service requirements are not fulfilled, for budget and accounting purposes the program is operated consistent with the requirements of the Federal Credit Reform Act of 1990. This program account records subsidy costs reflecting the net present value of the estimated lifetime Federal program costs for grants awarded in a given fiscal year. Under this approach the subsidy cost reflects the cost of grant awards net of expected future repayments for grants that are converted to loans.

The 2022 Budget would double TEACH Grants from \$4,000 to \$8,000 per year for juniors, seniors, and graduate students, increase access to the program by removing the grade point average requirement, and expand it to early childhood educators. The Budget would strengthen the program

through reforms that would reduce the likelihood and harm of grant-to-loan conversions.

TEACH GRANT PROGRAM ACCOUNT  
(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

Identification code 091–0206–4–1–502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....			44
0900 Total new obligations, unexpired accounts (object class 41.0) .....			44
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (indefinite) - Loan subsidy .....			44
1930 Total budgetary resources available .....			44
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			44
3020 Outlays (gross) .....			–24
3050 Unpaid obligations, end of year .....			20
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			20
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			44
Outlays, gross:			
4100 Outlays from new mandatory authority .....			24
4180 Budget authority, net (total) .....			44
4190 Outlays, net (total) .....			24

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091–0206–4–1–502	2020 actual	2021 est.	2022 est.
Direct loan levels supportable by subsidy budget authority:			
115001 TEACH Grants .....			93
Direct loan subsidy (in percent):			
132001 TEACH Grants .....			2.94
Direct loan subsidy budget authority:			
133001 TEACH Grants .....			44
Direct loan subsidy outlays:			
134001 TEACH Grants .....			24

This presentation shows the PAYGO effects of the President's TEACH Grant reforms as discussed above.

## TEACH GRANT FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 091–4290–0–3–502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	99	86	92
0713 Payment of interest to Treasury .....	22	20	9
0742 Downward reestimates paid to receipt accounts .....	29	3	.....
0743 Interest on downward reestimates .....	7	.....	.....
0791 Direct program activities, subtotal .....	157	109	101
0900 Total new obligations, unexpired accounts .....	157	109	101
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	.....
1021 Recoveries of prior year unpaid obligations .....	18	7	7
1023 Unobligated balances applied to repay debt .....	–11	–1	.....
1024 Unobligated balance of borrowing authority withdrawn .....	–9	–7	–7
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	111	67	57



Spending authority from offsetting collections, mandatory:				
1800	Collected .....	82	203	122
1801	Change in uncollected payments, Federal sources .....		-3	1
1820	Capital transfer of spending authority from offsetting collections for Negative MAT .....		-2	
1825	Spending authority from offsetting collections applied to repay debt .....	-35	-156	-79
1850	Spending auth from offsetting collections, mand (total) .....	47	42	44
1900	Budget authority (total) .....	158	109	101
1930	Total budgetary resources available .....	158	109	101
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	86	89	89
3010	New obligations, unexpired accounts .....	157	109	101
3020	Outlays (gross) .....	-136	-102	-94
3040	Recoveries of prior year unpaid obligations, unexpired .....	-18	-7	-7
3050	Unpaid obligations, end of year .....	89	89	89
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-8	-8	-5
3070	Change in uncollected pymts, Fed sources, unexpired .....		3	-1
3090	Uncollected pymts, Fed sources, end of year .....	-8	-5	-6
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	78	81	84
3200	Obligated balance, end of year .....	81	84	83

<b>Financing authority and disbursements, net:</b>				
Discretionary:				
Additional offsets against gross financing authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....		-3	2
Mandatory:				
4090	Budget authority, gross .....	158	109	101
Financing disbursements:				
4110	Outlays, gross (total) .....	136	102	94
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Upward Reestimate .....	-11	-78	
4120	Subsidy from Program Account .....	-27	-29	-34
4120	Upward Modification .....	-16	-42	
4122	Interest on uninvested funds .....	-3		
4123	Payment of Principal .....	-21	-54	-68
4123	Interest Received .....	-4		-20
4130	Offsets against gross budget authority and outlays (total) ....	-82	-203	-122
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....		3	-1
4160	Budget authority, net (mandatory) .....	76	-91	-22
4170	Outlays, net (mandatory) .....	54	-101	-28
4180	Budget authority, net (total) .....	76	-94	-20
4190	Outlays, net (total) .....	54	-101	-28

**Status of Direct Loans (in millions of dollars)**

Identification code 091-4290-0-3-502				
	2020 actual	2021 est.	2022 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Direct loan obligations from current-year authority .....	99	86	92
1150	Total direct loan obligations .....	99	86	92
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	764	764	789
1231	Disbursements: Direct loan disbursements .....	78	79	84
1251	Repayments: Repayments and prepayments .....	-25	-54	-69
1264	Other adjustments, net (+ or -) .....	-53		
1290	Outstanding, end of year .....	764	789	804

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the TEACH Grant program. Amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

Identification code 091-4290-0-3-502			
	2019 actual	2020 actual	
ASSETS:			
1101	Federal assets: Fund balances with Treasury .....	36	41
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	764	764

1402	Interest receivable .....	99	88
1405	Allowance for subsidy cost (-) .....	-247	-182
1499	Net present value of assets related to direct loans .....	616	670
1999	Total assets .....	652	711
LIABILITIES:			
Federal liabilities:			
2101	Accounts payable .....		
2103	Debt .....	652	711
2999	Total liabilities .....	652	711
NET POSITION:			
3300	Cumulative results of operations .....		
4999	Total liabilities and net position .....	652	711

**TEACH GRANT FINANCING ACCOUNT**  
(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 091-4290-4-3-502			
	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710	Direct loan obligations .....		93
0791	Direct program activities, subtotal .....		93
0900	Total new obligations, unexpired accounts .....		93
<b>Budgetary resources:</b>			
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority .....		51
Spending authority from offsetting collections, mandatory:			
1800	Collected .....		24
1801	Change in uncollected payments, Federal sources .....		18
1850	Spending auth from offsetting collections, mand (total) .....		42
1900	Budget authority (total) .....		93
1930	Total budgetary resources available .....		93
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....		93
3020	Outlays (gross) .....		-48
3050	Unpaid obligations, end of year .....		45
Uncollected payments:			
3070	Change in uncollected pymts, Fed sources, unexpired .....		-18
3090	Uncollected pymts, Fed sources, end of year .....		-18
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		27
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		93
Financing disbursements:			
4110	Outlays, gross (total) .....		48
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Subsidy from Program Account .....		-24
Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired .....		-18
4160	Budget authority, net (mandatory) .....		51
4170	Outlays, net (mandatory) .....		24
4180	Budget authority, net (total) .....		51
4190	Outlays, net (total) .....		24

**Status of Direct Loans (in millions of dollars)**

Identification code 091-4290-4-3-502			
	2020 actual	2021 est.	2022 est.
Position with respect to appropriations act limitation on obligations:			
1111	Direct loan obligations from current-year authority .....		93
1150	Total direct loan obligations .....		93
Cumulative balance of direct loans outstanding:			
1231	Disbursements: Direct loan disbursements .....		48
1290	Outstanding, end of year .....		48

## TEACH GRANT FINANCING ACCOUNT—Continued

This presentation shows the nonbudgetary effects of the cash flows to and from the Government resulting from the President's TEACH Grant reforms discussed above.

## STUDENT FINANCIAL ASSISTANCE DEBT COLLECTION

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 091-5557-0-2-502	2020 actual	2021 est.	2022 est.
0100 Balance, start of year .....	1		10
Receipts:			
Current law:			
1130 Student Financial Assistance Debt Collection .....	6	14	16
2000 Total: Balances and receipts .....	7	14	26
Appropriations:			
Current law:			
2101 Student Financial Assistance Debt Collection .....	-6	-5	-11
2103 Student Financial Assistance Debt Collection .....	-1		-1
2132 Student Financial Assistance Debt Collection .....		1	1
2199 Total current law appropriations .....	-7	-4	-11
2999 Total appropriations .....	-7	-4	-11
5099 Balance, end of year .....		10	15

## Program and Financing (in millions of dollars)

Identification code 091-5557-0-2-502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Student Financial Assistance Debt Collection .....	3	3	3
0900 Total new obligations, unexpired accounts (object class 25.2) .....	3	3	3

## Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	17	9	7
1022 Capital transfer of unobligated balances to general fund .....	-11	-3	-3
1050 Unobligated balance (total) .....	6	6	4
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	6	5	11
1203 Appropriation (previously unavailable)(special or trust) ....	1		1
1232 Appropriations and/or unobligated balance of			
appropriations temporarily reduced .....		-1	-1
1240 Capital transfer of appropriations to general fund .....	-1		-1
1260 Appropriations, mandatory (total) .....	6	4	10
1930 Total budgetary resources available .....	12	10	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	7	11

## Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	-3	-3	-3

## Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	6	4	10
Outlays, gross:			
4101 Outlays from mandatory balances .....	3	3	3
4180 Budget authority, net (total) .....	6	4	10
4190 Outlays, net (total) .....	3	3	3

## FEDERAL STUDENT LOAN RESERVE FUND

## Program and Financing (in millions of dollars)

Identification code 091-4257-0-3-502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0102 Obligations, non-Federal .....	6,251	5,626	5,063
0900 Total new obligations, unexpired accounts (object class 42.0) .....	6,251	5,626	5,063

## Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,956	1,943	1,931
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	6,247	5,614	5,053
1820 Capital transfer of spending authority from offsetting			
collections to general fund .....	-9		
1850 Spending auth from offsetting collections, mand (total) .....	6,238	5,614	5,053
1930 Total budgetary resources available .....	8,194	7,557	6,984
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,943	1,931	1,921

## Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts .....	6,251	5,626	5,063
3020 Outlays (gross) .....	-6,251	-5,626	-5,063

## Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	6,238	5,614	5,053
Outlays, gross:			
4100 Outlays from new mandatory authority .....	6,195	5,576	5,018
4101 Outlays from mandatory balances .....	56	50	45
4110 Outlays, gross (total) .....	6,251	5,626	5,063
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-6,124	-5,512	-4,960
4123 Non-Federal sources .....	-123	-102	-93
4130 Offsets against gross budget authority and outlays (total) ....	-6,247	-5,614	-5,053
4160 Budget authority, net (mandatory) .....	-9		
4170 Outlays, net (mandatory) .....	4	12	10
4180 Budget authority, net (total) .....	-9		
4190 Outlays, net (total) .....	4	12	10

The Higher Education Amendments of 1998 clarified that reserve funds held by public and non-profit guaranty agencies participating in the Federal Family Education Loan (FFEL) program are Federal property. These reserves are used to pay default claims from FFEL lenders and fees to support agency efforts to avert defaults. The Federal Government reimburses these reserves for default claim payments. The Consolidated Appropriations Act, 2016, increased guaranty agency reinsurance payments from 95 percent of the face value of loans to 100 percent. The following schedule reflects the balances in these guaranty agency funds.

## Balance Sheet (in millions of dollars)

Identification code 091-4257-0-3-502	2019 actual	2020 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	1,943	1,943
1999 Total assets .....	1,943	1,943
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....		
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	1,943	1,943
4999 Total liabilities and net position .....	1,943	1,943

## FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 091-0243-0-1-502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	9,925	8,102	12,323
0703 Subsidy for modifications of direct loans .....	39,626	36,397	25
0705 Reestimates of direct loan subsidy .....	47,798	47,241	
0706 Interest on reestimates of direct loan subsidy .....	16,845	6,434	
0900 Total new obligations, unexpired accounts (object class 41.0) .....	114,194	98,174	12,348

<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....			25
Appropriations, mandatory:				
1200	Appropriation (indefinite) .....	114,194	98,174	12,323
1900	Budget authority (total) .....	114,194	98,174	12,348
1930	Total budgetary resources available .....	114,194	98,174	12,348
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,401	2,047	1,761
3010	New obligations, unexpired accounts .....	114,194	98,174	12,348
3020	Outlays (gross) .....	-113,305	-98,460	-11,668
3041	Recoveries of prior year unpaid obligations, expired .....	-243		
3050	Unpaid obligations, end of year .....	2,047	1,761	2,441
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,401	2,047	1,761
3200	Obligated balance, end of year .....	2,047	1,761	2,441
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....			25
Mandatory:				
4090	Budget authority, gross .....	114,194	98,174	12,323
Outlays, gross:				
4100	Outlays from new mandatory authority .....	112,778	96,515	11,256
4101	Outlays from mandatory balances .....	527	1,945	412
4110	Outlays, gross (total) .....	113,305	98,460	11,668
4180	Budget authority, net (total) .....	114,194	98,174	12,348
4190	Outlays, net (total) .....	113,305	98,460	11,668

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 091-0243-0-1-502	2020 actual	2021 est.	2022 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Stafford .....	21,171	20,472	20,359
115002 Unsubsidized Stafford .....	53,043	57,074	57,580
115003 PLUS .....	23,911	24,054	24,453
115004 Consolidation .....	30,936	36,735	39,468
115999 Total direct loan levels .....	129,061	138,335	141,860
Direct loan subsidy (in percent):			
132001 Stafford .....	13.92	7.40	7.87
132002 Unsubsidized Stafford .....	1.01	-1.83	1.99
132003 PLUS .....	-12.91	-15.93	-15.21
132004 Consolidation .....	20.83	17.93	24.26
132999 Weighted average subsidy rate .....	5.30	2.33	6.06
Direct loan subsidy budget authority:			
133001 Stafford .....	2,947	1,515	1,602
133002 Unsubsidized Stafford .....	535	-1,044	1,146
133003 PLUS .....	-3,087	-3,832	-3,719
133004 Consolidation .....	6,443	6,587	9,575
133999 Total subsidy budget authority .....	6,838	3,226	8,604
Direct loan subsidy outlays:			
134001 Stafford .....	2,342	1,708	1,388
134002 Unsubsidized Stafford .....	-161	-480	416
134003 PLUS .....	-3,473	-3,398	-3,602
134004 Consolidation .....	6,432	6,579	9,587
134005 Federal Direct Student Loans .....	39,576	36,347	
134999 Total subsidy outlays .....	44,716	40,756	7,789
Direct loan reestimates:			
135005 Federal Direct Student Loans .....	63,206	52,836	
135999 Total direct loan reestimates .....	63,206	52,836	

The Federal Government manages two major student loan programs: the Federal Family Education Loan (FFEL) program and the William D. Ford Federal Direct Loan (Direct Loan) program. The Student Aid and Fiscal Responsibility Act eliminated the authorization to originate new FFEL loans; as of July 1, 2010, the Direct Loan program originates all new loans. This narrative outlines the structure of these two programs and provides text tables displaying program cost data; loan volume, subsidy, default, and interest rates; and other descriptive information.

From its inception in 1965 through the end of June 2010, the FFEL program guaranteed almost \$899 billion in loans made to postsecondary students and their parents. Although no new FFEL loans have been originated since July 1, 2010, \$160 billion of outstanding FFEL loans continue to be

served by lenders, the Department of Education, and guaranty agencies. The 2022 Budget proposes to eliminate the payment of Account Maintenance Fees to guaranty agencies.

Under the Direct Loan program, the Federal Government provides loan capital through the Treasury while the Department of Education loan origination and servicing is handled by private and not-for-profit loan servicers under performance-based contracts with the Department. The Direct Loan program began operation in award year 1994–1995, originating 7 percent of overall loan volume. In 2022, excluding Consolidation Loans, the Direct Loan program will make \$91.3 billion in new loans.

The Direct Loan program currently offers four types of loans: Subsidized Stafford; Unsubsidized Stafford; PLUS; and Consolidation. Loans can be used for qualified educational expenses. Undergraduates with financial need may receive a Subsidized Stafford loan (graduate and professional students are not eligible). The other three loan programs are available to borrowers at all income levels. Interest rates are set annually for loans originated in the upcoming award year based on the 10-year Treasury note; those rates will remain fixed for the life of the loan. For Subsidized Stafford loans available to undergraduates, the interest rate will be equal to the 10-year Treasury note plus 2.05 percent and capped at 8.25 percent. Loans originated in award year 2020–2021 have an interest rate of 2.75 percent. Interest payments for these loans are fully subsidized by the Federal Government while a student is in school (up to 150 percent of program length) and during grace and deferment periods. The interest rate on new Unsubsidized Stafford loans for undergraduate borrowers is the same as that on Subsidized Stafford loans for undergraduates. The Unsubsidized Stafford loan interest rate for graduate and professional students is equal to the 10-year Treasury note plus 3.6 percent and capped at 9.5 percent. Loans originated in award year 2020–2021 have an interest rate of 4.30 percent. The borrower interest rate on PLUS loans to graduate and professional students and parents of undergraduate borrowers is equal to the 10-year Treasury note plus 4.6 percent and capped at 10.5 percent. PLUS loans originated in award year 2020–2021 have an interest rate of 5.30 percent.

Consolidation loans allow borrowers to combine FFEL, Direct Loans, and Perkins Loans, as well as some loans made under the Public Health Service Act. The interest rate for new Consolidation loans equals the weighted average of the interest rate on the loans consolidated, rounded up to the nearest one-eighth of a percent. For most types of Direct Loans, the origination fee is a base rate of 1 percent, but an additional surcharge for sequestration was added in years 2013 to 2021. The base origination fee for PLUS loans is 4 percent, but has included an additional surcharge in years 2013 to 2021. Borrowers may choose from four basic types of repayment plans: standard; graduated; extended (available for qualified borrowers who have outstanding loans of more than \$30,000); and income-driven. FFEL borrowers may change repayment plans annually. Direct Loan borrowers may switch between repayment plans at any time. The maximum repayment period is 10 years for standard and graduated plans, as well as the income-sensitive repayment plan that is available only for FFEL loans. Under the current income-driven administrative Pay As You Earn (PAYE) and statutory Income-Based-Repayment (IBR) plans, for new borrowers after 2014, the repayment period is 20 years. Under the current income-driven administrative REPAYE plan, the repayment period is 20 or 25 years depending on whether the borrower has any graduate school loans. And, under the extended, former IBR (for borrowers prior to 2014), and income-contingent repayment plans, the maximum time is 25 years. PAYE and IBR require partial financial hardship in order to qualify for reduced payments and borrowers in those plans have their monthly payments capped at the monthly payment of the 10-year Standard plan. At the end of the repayment term, the borrower's remaining balance is forgiven.

Federal student loans have other benefits. For example, Federal student loans can be discharged when borrowers die, become totally and permanently disabled, or, under some circumstances, declare bankruptcy. In addition, there are several loan forgiveness programs. For example, new borrowers after October 1, 1998, who are employed as teachers in schools

## FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT—Continued

serving low-income populations for 5 consecutive, complete school years, qualify for up to \$5,000 in loan forgiveness; this benefit is increased to \$17,500 for mathematics, science, and special education teachers considered highly qualified under criteria established in the Elementary and Secondary Education Act. In addition, under the Public Service Loan Forgiveness Program, qualifying borrowers who have worked for 10 years full-time for an eligible public service employer, and made 120 qualifying monthly payments after October 1, 2007 in the standard or income-driven plans can have any remaining loan balance forgiven. This benefit is only available in the Direct Loan program, though FFEL borrowers may receive the benefit by taking out a Direct Consolidation Loan. Forgiveness is available for all Direct Loan borrowers, regardless of when they took out their loans.

The following tables display performance indicators and program data, including projected overall Direct Loan and FFEL costs.

## Federal Budget Authority and Outlays

	(in thousands of dollars)		
	2020 actual	2021 est.	2022 est.
PROGRAM COST:			
FFEL:			
Liquidating <sup>1</sup> .....	(\$100,468)	(\$146,836)	(\$146,838)
Program:			
Net Reestimate of Prior Year Costs .....	6,285,591	3,192,111	0
Net Modification <sup>2</sup> .....	3,448,592	2,804,249	0
Subtotal, Program .....	9,734,183	5,996,360	0
Total, FFEL .....	9,633,715	5,849,524	(146,838)
Direct Loans:			
Program:			
New Net Loan Subsidies .....	6,838,227	3,225,230	8,603,771
Net Reestimate of Prior Year Costs .....	63,205,948	52,835,898	0
Net Modification <sup>3</sup> .....	39,625,735	36,396,834	25,000
Total, Direct Loans .....	109,669,910	92,457,962	8,628,771
Total, FFEL and Direct Loans .....	119,303,626	98,307,487	8,481,993
PROGRAM COST OUTLAYS:			
FFEL:			
Liquidating <sup>1</sup> .....	(186,060)	(146,836)	(146,838)
Program:			
Net Reestimate of Prior Year Costs .....	6,285,591	3,192,111	0
Net Modification <sup>2</sup> .....	3,448,592	2,804,249	0
Subtotal, Program .....	9,734,183	5,996,360	0
Total, FFEL .....	9,548,123	5,849,524	(146,838)
Direct Loans:			
Program:			
Regular .....	5,141,307	4,410,526	7,789,308
Net Reestimate of Prior Year Costs .....	63,205,948	52,835,898	0
Net Modification <sup>3</sup> .....	39,625,735	36,396,834	0
Total, Direct Loans .....	107,972,990	93,643,259	7,789,308
Total, FFEL and Direct Loans .....	117,521,113	99,492,783	7,762,470

<sup>1</sup> Liquidating account reflects loans made prior to 1992.

<sup>2</sup> Reflects costs in FY 2020 and 2021 associated with student loan deferral actions provided by Congress and the Administration in response to COVID-19.

<sup>3</sup> Reflects costs in FY 2020 and 2021 associated with student loan deferral actions provided by Congress and the Administration in response to COVID-19. Reflects costs in FY 2021 related to permitting borrowers who work for employers that engage in religious instruction, worship services, or proselytizing to qualify for Public Service Loan Forgiveness so long as they meet the applicable standards and an upward modification as appropriated by Congress to support a temporary expansion of Public Service Loan Forgiveness (TEPSLF). Reflects additional amounts to support TEPSLF in FY 2022.

Summary of Default Rates<sup>1</sup>

	(expressed as percentages)		
	2020 est.	2021 est.	2022 est.
Direct Loans:			
Stafford .....	24.09	24.81	25.67
Unsubsidized Stafford			
Undergraduate .....	28.89	30.08	30.74
Graduate/Professional .....	12.71	9.75	13.90
PLUS			
Parent PLUS .....	14.37	12.28	14.72
Grad PLUS .....	9.21	7.46	10.07
Consolidation .....	19.74	21.23	17.46
Weighted Average, Direct Loans .....	18.76	18.48	18.97

<sup>1</sup> Default rates displayed in this table, which reflect projected defaults over the life of a loan cohort, are used in developing program cost estimates. The Department uses other rates based on defaults occurring in the first 3 years of repayment to determine institutional eligibility to participate in Federal loan programs. These 3-year rates are lower than those included in this table.

## Selected Program Costs and Offsets

	(in thousands of dollars)		
	2020 actual	2021 est.	2022 est.
FFEL:			
Payments to lenders:			
Interest benefits .....	\$801,301	\$31,615	\$12,069
Special allowance payments <sup>1</sup> .....	(1,175,282)	(547,110)	(321,148)
Default claims .....	4,625,189	2,205,732	920,084
Loan discharges .....	1,368,078	527,958	446,236
Teacher loan forgiveness .....	49,373	35,099	27,375
Administrative payments to guaranty agencies .....	93,146	79,483	57,368
Fees paid to the Department of Education:			
Loan holder fees .....	(1,016,060)	(182,412)	(108,615)
Other Major Transactions:			
Net default collections .....	(5,895,421)	(5,252,384)	(5,069,873)
Contract collection costs .....	21,470	8,241	35,924
Federal administrative costs .....	36,572	34,880	36,824
Net Cash Flow, FFEL .....	(1,091,634)	(3,058,899)	(3,963,758)
Ensuring Continued Access to Student Loans (ECASLA):			
Inflows .....	(12,326,489)	(3,907,124)	(8,194,822)
Outflows .....	12,483,197	5,223,121	(8,194,822)
Federal administrative costs .....	109,716	104,639	110,471
Net Cash Flow, ECASLA .....	266,424	1,420,636	110,471
Direct Loans:			
Loan disbursements to borrowers .....	119,568,017	126,192,498	130,851,438
Borrower interest payments .....	(8,969,281)	30,291	(28,158,280)
Borrower principal payments .....	(48,245,620)	(38,283,124)	(81,801,796)
Borrower origination fees .....	(1,669,554)	(1,659,076)	(1,704,058)
Net default collections .....	(5,433,563)	(6,177,940)	(9,647,301)
Contract collection costs .....	886,867	1,023,962	797,694
Federal administrative costs .....	785,829	756,724	797,548
Net operating cash flows .....	56,922,696	81,883,336	11,135,245
Loan capital borrowings from Treasury .....	(119,568,017)	(126,192,498)	(130,851,438)
Net interest payments to Treasury .....	34,705,489	32,034,410	31,519,659
Principal payments to Treasury .....	133,272,943	103,211,670	88,969,828
Subtotal, Treasury activity .....	48,410,415	9,053,581	(10,362,950)
Net Cash Flow, Direct Loans .....	105,333,111	90,936,917	773,295

<sup>1</sup> Includes Negative Special Allowance Payments.

## Student Loan Program Costs: Analysis of Direct Loans Including Program and Administrative Expenses

	(expressed as percentages)		
	2020 actual <sup>1</sup>	2021 est.	2022 est.
Direct Loans:			
New Loans:			
Stafford .....	24.57	7.40	7.87
Unsubsidized Stafford			
Undergraduate .....	20.78	-0.29	1.09
Graduate/Professional .....	20.69	-2.94	2.64
PLUS			
Parent PLUS .....	-9.27	-33.74	-37.92
Grad PLUS .....	22.54	-1.10	4.42
Subtotal, new loan subsidy .....	18.48	-3.31	-0.80
Federal administrative costs .....	1.70	1.70	1.70
Subtotal, new loans .....	20.18	-1.61	0.90
Consolidation Loans			
Loan subsidy .....	13.46	17.93	24.26
Federal administrative costs .....	0.38	0.38	0.38
Subtotal, consolidation loans .....	13.84	18.31	24.64
New and Consolidation Loans			
Loan subsidy .....	17.30	2.33	6.06
Federal administrative costs .....	1.45	1.45	1.45
Total, Direct Loans .....	18.75	3.78	7.59

<sup>1</sup> 2020 rates are current; these include actual executed rates for 2020 and the effects of re-estimates and COVID-19 emergency relief measures for Federal student loans on those rates.

The table above describes Direct Loan costs on a subsidy rate basis: program costs calculated under the Federal Credit Reform Act of 1990 and comparably projected estimates of Federal administrative costs. As with any long-term projection, the comparison is based on assumed future interest rates, borrower characteristics, administrative costs, and other factors over the life of the loan cohort. To the degree actual conditions differ from projections, estimated subsidy rates will change.

The Federal Credit Reform Act of 1990 requires the cost of existing loan cohorts to be reestimated to reflect changes in actual and assumed borrower

behavior, interest rates, and other factors. The following table shows the impact of these reestimates in FFEL and Direct Loans.

### Loan Disbursement and Subsidy Costs

(in billions of dollars)

	FFEL	Direct Loans
Original Subsidy Costs .....	+\$77.1	-\$114.6
Cumulative Reestimates .....	-49.8	+155.1
Net Subsidy Costs .....	+27.3	+40.5
Total Disbursements .....	+898.7	+1,743.0

For Direct Loans, the net upward reestimate reflects several assumption updates, including changes to the income-driven repayment plan model. Model assumptions affecting the 2020 cohort were also updated. The Direct Loan upward net reestimate for 2021 is primarily due to updated IDR assumptions.

### Direct Loan Repayment Options

(expressed as percentages)

Subsidies by Repayment Option	2020 actual <sup>1</sup>	2021 est.	2022 est.
<b>Stafford:</b>			
Standard .....	16.53	3.45	-1.10
Extended .....	14.81	-2.12	-6.79
Graduated .....	15.78	0.32	-3.97
IDR <sup>2</sup> .....	41.65	20.24	27.87
<b>Unsubsidized Stafford:</b>			
Standard .....	6.58	-12.80	-16.43
Extended .....	0.57	-24.51	-26.75
Graduated .....	3.84	-20.45	-22.49
IDR .....	38.98	18.72	26.46
<b>PLUS:</b>			
Standard .....	-7.36	-29.62	-33.67
Extended .....	-14.68	-44.50	-48.01
Graduated .....	-15.90	-47.87	-51.95
IDR .....	39.21	22.59	26.27
<b>Consolidated:</b>			
Standard .....	-6.54	-3.68	-11.57
Extended .....	-39.54	-22.36	-38.02
Graduated .....	-46.71	-27.27	-44.20
IDR .....	28.94	28.23	38.60

### Direct Loan Repayment Options

(gross volumes in millions of dollars)

Volumes by Repayment Option	2020 actual <sup>1</sup>	2021 est.	2022 est.
<b>Stafford:</b>			
Standard .....	\$10,665	\$11,801	\$10,641
Extended .....	489	422	496
Graduated .....	2,572	2,553	2,581
IDR <sup>2</sup> .....	6,598	5,696	6,642
<b>Unsubsidized Stafford:</b>			
Standard .....	22,559	25,693	23,225
Extended .....	1,941	2,149	2,016
Graduated .....	6,097	6,503	6,304
IDR .....	25,147	22,728	26,034
<b>PLUS:</b>			
Standard .....	11,428	12,411	11,845
Extended .....	1,039	1,105	1,087
Graduated .....	2,615	2,546	2,709
IDR .....	8,228	7,993	8,812
<b>Consolidated:</b>			
Standard .....	31	35	36
Extended .....	4,939	5,226	5,375
Graduated .....	1,736	1,688	1,842
IDR .....	23,700	29,786	32,216

<sup>1</sup> 2020 rates are current; these include actual executed rates for 2020 and the effects of re-estimates and COVID-19 emergency relief measures for Federal student loans on those rates.

<sup>2</sup> All income-driven plans are included in the IDR category.

### FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT

#### Program and Financing (in millions of dollars)

Identification code 091-4253-0-3-502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0301 Consolidation loans-Payment of Orig. Services .....	18	25	24
0401 Payment of contract collection costs .....	888	1,024	798

<b>Credit program obligations:</b>			
0710 Direct loan obligations .....	129,061	138,335	141,860
0713 Payment of interest to Treasury .....	34,705	32,034	31,520
0740 Negative subsidy obligations .....	3,087	4,876	3,719
0742 Downward reestimates paid to receipt accounts .....	142	431	.....
0743 Interest on downward reestimates .....	1,294	408	.....
0791 Direct program activities, subtotal .....	168,289	176,084	177,099
0900 Total new obligations, unexpired accounts .....	169,195	177,133	177,921

<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	5,805	3,379	587
1021 Recoveries of prior year unpaid obligations .....	17,360	20,137	20,439
1023 Unobligated balances applied to repay debt .....	-7,487	-3,379	-587
1024 Unobligated balance of borrowing authority withdrawn .....	-14,996	-20,137	-20,439
1033 Recoveries of prior year paid obligations .....	9	.....	.....
1050 Unobligated balance (total) .....	691	.....	.....
<b>Financing authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	347	133	.....
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	124,736	136,643	133,645
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	188,093	144,550	132,980
1801 Change in uncollected payments, Federal sources .....	407	66	266
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-265	-510	.....
1825 Spending authority from offsetting collections applied to repay debt .....	-141,435	-103,162	-88,970
1850 Spending auth from offsetting collections, mand (total) .....	46,800	40,944	44,276
1900 Budget authority (total) .....	171,883	177,720	177,921
1930 Total budgetary resources available .....	172,574	177,720	177,921
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	3,379	587	.....

<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	65,135	58,766	51,620
3010 New obligations, unexpired accounts .....	169,195	177,133	177,921
3020 Outlays (gross) .....	-158,204	-164,142	-167,072
3040 Recoveries of prior year unpaid obligations, unexpired .....	-17,360	-20,137	-20,439
3050 Unpaid obligations, end of year .....	58,766	51,620	42,030
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-527	-934	-1,000
3070 Change in uncollected pymts, Fed sources, unexpired .....	-407	-66	-266
3090 Uncollected pymts, Fed sources, end of year .....	-934	-1,000	-1,266
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	64,608	57,832	50,620
3200 Obligated balance, end of year .....	57,832	50,620	40,764

<b>Financing authority and disbursements, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	171,883	177,720	177,921
<b>Financing disbursements:</b>			
4110 Outlays, gross (total) .....	158,204	164,142	167,072
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Upward reestimate .....	-47,798	-47,241	.....
4120 Upward reestimate, interest .....	-16,845	-6,434	.....
4120 Upward TPD Mod .....	-996	.....	.....
4120 Upward CARES Mod .....	-24,634	.....	.....
4120 Upward COVID Executive Authority Mod .....	-13,487	-36,347	.....
4120 Upward Return to Title IV Mod .....	-459	.....	.....
4120 Program Subsidy .....	-9,087	-8,439	-11,669
4122 Interest on uninvested funds .....	-4,786	.....	.....
4123 Repayment of principal, Stafford .....	-70,010	-7,812	-19,608
4123 Interest received on loans, Stafford .....	.....	.....	-3,932
4123 Origination Fees, Stafford .....	.....	-190	-190
4123 Repayment of principal, Unsubsidized Stafford .....	-20,772	-39,446	.....
4123 Interest received on loans, Unsubsidized Stafford .....	.....	.....	-9,076
4123 Origination Fees, Unsubsidized Stafford .....	.....	-521	-529
4123 Repayment of principal, PLUS .....	-7,114	-20,060	.....
4123 Interest received on loans, PLUS .....	.....	.....	-4,833
4123 Origination Fees, PLUS .....	.....	-949	-986
4123 Payment of principal, Consolidation .....	-8,731	-12,335	.....
4123 Interest received on loans, Consolidation .....	.....	.....	-10,316
4130 Offsets against gross budget authority and outlays (total) ....	-188,102	-144,550	-132,980
<b>Additional offsets against financing authority only (total):</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-407	-66	-266
4143 Recoveries of prior year paid obligations, unexpired accounts .....	9	.....	.....

FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 091-4253-0-3-502	2020 actual	2021 est.	2022 est.
4150 Additional offsets against budget authority only (total) .....	-398	-66	-266
4160 Budget authority, net (mandatory) .....	-16,617	33,104	44,675
4170 Outlays, net (mandatory) .....	-29,898	19,592	34,092
4180 Budget authority, net (total) .....	-16,617	33,104	44,675
4190 Outlays, net (total) .....	-29,898	19,592	34,092

## Status of Direct Loans (in millions of dollars)

Identification code 091-4253-0-3-502	2020 actual	2021 est.	2022 est.
<b>STAFFORD</b>			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	21,171	20,472	20,359
1150 Total direct loan obligations .....	21,171	20,472	20,359
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	234,874	242,061	251,669
1231 Disbursements: Direct loan disbursements .....	17,816	17,925	17,941
1251 Repayments: Repayments and prepayments .....	-10,633	-7,812	-19,608
1261 Adjustments: Capitalized interest .....	1,163	.....	59
1264 Other adjustments, net (+ or -) .....	-1,159	-505	-529
1290 Outstanding, end of year .....	242,061	251,669	249,532

<b>UNSUBSIDIZED STAFFORD</b>			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	53,043	57,074	57,580
1150 Total direct loan obligations .....	53,043	57,074	57,580
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	398,477	420,709	450,866
1231 Disbursements: Direct loan disbursements .....	46,625	49,240	50,038
1251 Repayments: Repayments and prepayments .....	-24,351	-20,772	-39,446
1261 Adjustments: Capitalized interest .....	1,973	2,905	3,194
1264 Other adjustments, net (+ or -) .....	-2,015	-1,216	-1,318
1290 Outstanding, end of year .....	420,709	450,866	463,334

<b>PLUS</b>			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	23,911	24,054	24,453
1150 Total direct loan obligations .....	23,911	24,054	24,453
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	141,693	152,877	168,300
1231 Disbursements: Direct loan disbursements .....	22,498	22,426	23,309
1251 Repayments: Repayments and prepayments .....	-11,283	-7,114	-20,060
1261 Adjustments: Capitalized interest .....	701	737	884
1264 Other adjustments, net (+ or -) .....	-732	-626	-689
1290 Outstanding, end of year .....	152,877	168,300	171,744

<b>CONSOLIDATION</b>			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	30,936	36,735	39,468
1150 Total direct loan obligations .....	30,936	36,735	39,468
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	389,839	409,169	435,709
1231 Disbursements: Direct loan disbursements .....	30,426	36,602	39,563
1251 Repayments: Repayments and prepayments .....	-9,084	-8,731	-12,335
1264 Other adjustments, net (+ or -) .....	-2,012	-1,331	-1,471
1290 Outstanding, end of year .....	409,169	435,709	461,466

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from Federal Direct Student Loans. Amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

Identification code 091-4253-0-3-502	2019 actual	2020 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	15,541	13,483

Investments in U.S. securities:			
1106 Receivables, net .....	58,897	50,856	
1206 Non-Federal assets: Receivables, net .....	154	174	
Net value of assets related to post-1991 direct loans receivable:			
1401 Direct loans receivable, gross .....	1,164,883	1,224,816	
1402 Interest receivable .....	83,256	92,133	
1405 Allowance for subsidy cost (-) .....	-124,438	-216,406	
1499 Net present value of assets related to direct loans .....	1,123,701	1,100,543	
1901 Other Federal assets: Other assets .....	.....	.....	
1999 Total assets .....	1,198,293	1,165,056	
<b>LIABILITIES:</b>			
Federal liabilities:			
2101 Accounts payable .....	.....	.....	
2103 Debt .....	1,192,138	1,160,099	
2105 Other .....	2,718	1,773	
2201 Non-Federal liabilities: Accounts payable .....	3,437	3,184	
2999 Total liabilities .....	1,198,293	1,165,056	
<b>NET POSITION:</b>			
3300 Cumulative results of operations .....	.....	.....	
4999 Total liabilities and net position .....	1,198,293	1,165,056	

## FEDERAL FAMILY EDUCATION LOAN PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 091-0231-0-1-502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0703 Subsidy for modifications of direct loans .....	.....	2,105	.....
0704 Subsidy for modifications of loan guarantees .....	3,449	699	.....
0705 Reestimates of direct loan subsidy .....	2,893	940	.....
0706 Interest on reestimates of direct loan subsidy .....	2,558	402	.....
0707 Reestimates of loan guarantee subsidy .....	513	1,194	.....
0708 Interest on reestimates of loan guarantee subsidy .....	7,186	1,245	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	16,599	6,585	.....

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	16,599	6,585	.....
1930 Total budgetary resources available .....	16,599	6,585	.....

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	16,599	6,585	.....
3020 Outlays (gross) .....	-16,599	-6,585	.....

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	16,599	6,585	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	16,599	6,585	.....
4180 Budget authority, net (total) .....	16,599	6,585	.....
4190 Outlays, net (total) .....	16,599	6,585	.....

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0231-0-1-502	2020 actual	2021 est.	2022 est.
Direct loan subsidy outlays:			
134010 Direct Participation Agreement Reestimates .....	1,656	1,345	.....
134012 Direct Standard Put Reestimates .....	957	760	.....
134999 Total subsidy outlays .....	2,613	2,105	.....
Direct loan reestimates:			
135010 Direct Participation Agreement Reestimates .....	3,172	838	.....
135012 Direct Standard Put Reestimates .....	2,097	505	.....
135999 Total direct loan reestimates .....	5,269	1,343	.....
Guaranteed loan subsidy outlays:			
234006 FFEL Guarantees .....	835	699	.....
234999 Total subsidy outlays .....	835	699	.....
Guaranteed loan reestimates:			
235006 FFEL Guarantees .....	1,017	1,849	.....
235999 Total guaranteed loan reestimates .....	1,017	1,849	.....

As required by the Federal Credit Reform Act of 1990, this program account records the subsidy costs associated with Federal Family Education Loans (FFEL), formerly guaranteed student loans, committed in 1992 and beyond. Beginning with the 1993 cohort of loans, mandatory administrative costs, specifically contract collection costs, are included in the FFEL subsidy estimates of each year's cohort. Subsidy amounts are estimated on a net present value basis.

A description of the FFEL program and accompanying tables are included under the Federal Direct Student Loan program account.

## FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 091-4251-0-3-502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0101 Default claims .....	858	343	278
0102 Special allowance .....	6		
0103 Interest benefits .....	364	21	8
0104 Death, disability, and bankruptcy claims .....	129	29	24
0105 Teacher loan forgiveness, other write-offs .....	16		
0107 Contract collection costs .....	7	3	10
0109 Rehab purchase fee .....		5	5
0110 Guaranty Agency account maintenance fees .....	13	2	
0191 Subtotal, Stafford loans .....	1,393	403	325
0202 Default claims .....	1,021	442	356
0203 Special allowance .....	6	1	1
0204 Death, disability, and bankruptcy claims .....	172	44	37
0205 Teacher loan forgiveness, other write-offs .....	8		
0207 Contract collection costs .....	7	2	1
0209 Rehab purchase fee .....		4	4
0210 Guaranty Agency account maintenance fees .....	16	2	
0291 Subtotal, Unsubsidized Stafford loans .....	1,230	495	399
0301 Default claims .....	167	49	35
0304 Death, disability, and bankruptcy claims .....	87	11	10
0307 Contract Collection Costs .....	1		1
0309 Rehab purchase fee .....		1	1
0310 Guaranty Agency account maintenance fees .....	3		
0391 Subtotal, PLUS loans .....	258	61	47
0405 Death, disability, and bankruptcy claims .....		2	1
0407 Contract collection costs .....	1		
0409 Rehab purchase fee .....		1	1
0491 Subtotal, SLS loans .....	1	3	2
0501 Default claims .....	2,564	1,359	239
0502 Special allowance .....	386	8	4
0503 Interest benefits .....	432	7	1
0504 Death, disability, and bankruptcy claims .....	967	431	364
0505 Teacher loan forgiveness, other write-offs .....	26		
0507 Contract collection costs .....	11	6	18
0509 Rehab purchase fee .....		5	5
0510 Guaranty Agency account maintenance fees .....	61	75	68
0591 Subtotal, Consolidations loans .....	4,447	1,891	699
<b>Credit program obligations:</b>			
0713 Payment of interest to Treasury .....	2,304	1,482	703
0742 Downward reestimates paid to receipt accounts .....	315	267	
0743 Interest on downward reestimates .....	6,367	322	
0791 Direct program activities, subtotal .....	8,986	2,071	703
0900 Total new obligations, unexpired accounts .....	16,315	4,924	2,175

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	11,586	18,734	22,739
1021 Recoveries of prior year unpaid obligations .....	842		
1023 Unobligated balances applied to repay debt .....	-4,479		
1033 Recoveries of prior year paid obligations .....	292		
1050 Unobligated balance (total) .....	8,241	18,734	22,739
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	10,243		
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	17,752	8,940	5,317
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-9	-11	
1825 Spending authority from offsetting collections applied to repay debt .....	-1,178		

1850 Spending auth from offsetting collections, mand (total) .....	16,565	8,929	5,317
1900 Budget authority (total) .....	26,808	8,929	5,317
1930 Total budgetary resources available .....	35,049	27,663	28,056
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	18,734	22,739	25,881

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,071	1,067	1,066
3010 New obligations, unexpired accounts .....	16,315	4,924	2,175
3020 Outlays (gross) .....	-15,477	-4,925	-2,185
3040 Recoveries of prior year unpaid obligations, unexpired .....	-842		
3050 Unpaid obligations, end of year .....	1,067	1,066	1,056
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,071	1,067	1,066
3200 Obligated balance, end of year .....	1,067	1,066	1,056

**Financing authority and disbursements, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	26,808	8,929	5,317
<b>Financing disbursements:</b>			
4110 Outlays, gross (total) .....	15,477	4,925	2,185
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Upward reestimate .....	-513	-1,193	
4120 Interest on upward reestimate .....	-7,186	-1,245	
4120 Upward TPD Mod .....	-116		
4120 Upward COVID Executive Authority Mod .....	-719	-699	
4122 Interest on uninvested funds .....	-1,240		
4123 Stafford recoveries on defaults .....	-1,430	-974	-1,040
4123 Stafford other fees .....	-50		
4123 Stafford special allowance rebate .....	-353	-63	-26
4123 Unsubsidized Stafford recoveries on default .....	-1,479	-1,091	-1,136
4123 Unsubsidized Stafford other fees .....	-52		
4123 Unsubsidized Stafford special allowance rebate .....	-494	-146	-72
4123 PLUS recoveries on defaults .....	-186	-148	-151
4123 PLUS other fees .....	-7		
4123 PLUS special allowance rebate .....	-160	-15	-6
4123 SLS recoveries on defaults .....	-11	-2	-2
4123 Consolidation recoveries on defaults .....	-2,382	-2,851	-2,553
4123 Consolidation loan holders fee .....	-1,016	-182	-109
4123 Consolidation other fees .....	-84		
4123 Consolidation special allowance rebate .....	-566	-331	-222
4130 Offsets against gross budget authority and outlays (total) ...	-18,044	-8,940	-5,317
<b>Additional offsets against financing authority only (total):</b>			
4143 Recoveries of prior year paid obligations, unexpired accounts .....	292		
4160 Budget authority, net (mandatory) .....	9,056	-11	
4170 Outlays, net (mandatory) .....	-2,567	-4,015	-3,132
4180 Budget authority, net (total) .....	9,056	-11	
4190 Outlays, net (total) .....	-2,567	-4,015	-3,132

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 091-4251-0-3-502	2020 actual	2021 est.	2022 est.
<b>STAFFORD</b>			
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	17,053	15,475	14,271
2251 Repayments and prepayments .....	-916	-831	-766
<b>Adjustments:</b>			
2261 Terminations for default that result in loans receivable .....	-858	-343	-278
2263 Terminations for default that result in claim payments .....	-129	-29	-24
2264 Other adjustments, net .....	325	-1	-1
2290 Outstanding, end of year .....	15,475	14,271	13,202
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	14,702	14,271	13,202
<b>Addendum:</b>			
<b>Cumulative balance of defaulted guaranteed loans that result in loans receivable:</b>			
2310 Outstanding, start of year .....	3,885	3,452	3,044
2331 Disbursements for guaranteed loan claims .....	858	343	278
2351 Repayments of loans receivable .....	-1,244	-973	-1,040
2361 Write-offs of loans receivable .....	-129	-179	-310
2364 Other adjustments, net .....	82	401	350
2390 Outstanding, end of year .....	3,452	3,044	2,322
<b>UNSUBSIDIZED STAFFORD</b>			
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	21,053	19,366	17,839

FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT—Continued  
Status of Guaranteed Loans—Continued

Identification code 091-4251-0-3-502		2020 actual	2021 est.	2022 est.
2251	Repayments and prepayments .....	-1,131	-1,040	-958
Adjustments:				
2261	Terminations for default that result in loans receivable .....	-1,021	-443	-356
2263	Terminations for default that result in claim payments .....	-172	-44	-37
2264	Other adjustments, net .....	637		
2290	Outstanding, end of year .....	19,366	17,839	16,488
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	18,397	17,839	16,488
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	9,596	9,385	8,576
2331	Disbursements for guaranteed loan claims .....	1,021	443	356
2351	Repayments of loans receivable .....	-1,287	-1,091	-1,136
2361	Write-offs of loans receivable .....	-172	-161	-140
2364	Other adjustments, net .....	227		
2390	Outstanding, end of year .....	9,385	8,576	7,656
PLUS				
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	3,405	3,000	2,695
2251	Repayments and prepayments .....	-183	-161	-145
Adjustments:				
2261	Terminations for default that result in loans receivable .....	-167	-133	-98
2263	Terminations for default that result in claim payments .....	-87	-11	-10
2264	Other adjustments, net .....	32		
2290	Outstanding, end of year .....	3,000	2,695	2,442
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	2,850	2,695	2,442
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	39	37	85
2331	Disbursements for guaranteed loan claims .....	167	133	98
2351	Repayments of loans receivable .....	-162	-148	-151
2361	Write-offs of loans receivable .....	-87	-11	-10
2364	Other adjustments, net .....	80	74	60
2390	Outstanding, end of year .....	37	85	82
SLS				
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	42	40	36
2251	Repayments and prepayments .....	-2	-2	-2
Adjustments:				
2261	Terminations for default that result in loans receivable .....			
2263	Terminations for default that result in claim payments .....		-2	-1
2264	Other adjustments, net .....			
2290	Outstanding, end of year .....	40	36	33
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	40	36	33
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	283	281	277
2331	Disbursements for guaranteed loan claims .....			
2351	Repayments of loans receivable .....	-9	-2	-2
2361	Write-offs of loans receivable .....		-2	-1
2364	Other adjustments, net .....	7		
2390	Outstanding, end of year .....	281	277	274
CONSOLIDATION				
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	98,817	89,800	83,188
2251	Repayments and prepayments .....	-5,306	-4,822	-4,467
Adjustments:				
2261	Terminations for default that result in loans receivable .....	-2,564	-1,359	-239
2263	Terminations for default that result in claim payments .....	-967	-431	-364
2264	Other adjustments, net .....	-180		

2290	Outstanding, end of year .....	89,800	83,188	78,118
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	85,310	83,188	78,118
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	19,977	19,902	18,278
2331	Disbursements for guaranteed loan claims .....	2,564	1,359	239
2351	Repayments of loans receivable .....	-2,073	-2,851	-2,553
2361	Write-offs of loans receivable .....	-967	-431	-364
2364	Other adjustments, net .....	401	299	300
2390	Outstanding, end of year .....	19,902	18,278	15,900

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from Federal Family Education Loans, formerly guaranteed student loans, committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

Identification code 091-4251-0-3-502		2019 actual	2020 actual
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury .....	12,284	19,802
Investments in U.S. securities:			
1106	Receivables, net .....	12,042	1,522
1206	Non-Federal assets: Receivables, net .....	21	14
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross .....	33,780	33,057
1502	Interest receivable .....	8,561	9,117
1505	Allowance for subsidy cost (-) .....	-20,113	-22,286
1599	Net present value of assets related to defaulted guaranteed loans .....	22,228	19,888
1901	Other Federal assets: Other assets .....		2
1999	Total assets .....	46,575	41,228
LIABILITIES:			
Federal liabilities:			
2101	Accounts payable .....		74
2103	Debt .....	35,231	40,190
2105	Other .....	6,125	
Non-Federal liabilities:			
2201	Accounts payable .....	15	83
2204	Liabilities for loan guarantees .....	5,204	881
2999	Total liabilities .....	46,575	41,228
NET POSITION:			
3300	Cumulative results of operations .....		
4999	Total liabilities and net position .....	46,575	41,228

## TEMPORARY STUDENT LOAN PURCHASE AUTHORITY FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 091-4453-0-3-502		2020 actual	2021 est.	2022 est.
Obligations by program activity:				
0006	Contract collection costs .....	56	68	54
Credit program obligations:				
0713	Payment of interest to Treasury .....	1,121	1,026	934
0742	Downward reestimates paid to receipt accounts .....	57		
0743	Interest on downward reestimates .....	88		
0791	Direct program activities, subtotal .....	1,266	1,026	934
0900	Total new obligations, unexpired accounts .....	1,322	1,094	988
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	385	306	
1023	Unobligated balances applied to repay debt .....	-385	-306	
Financing authority:				
Appropriations, mandatory:				
1200	Appropriation .....	1	28	



1400	Borrowing authority, mandatory:			
	Borrowing authority .....	156	761	.....
	Spending authority from offsetting collections, mandatory:			
1800	Collected .....	7,696	2,516	5,089
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-11	.....	.....
1825	Spending authority from offsetting collections applied to repay debt .....	-6,214	-2,211	-4,101
1850	Spending auth from offsetting collections, mand (total) .....	1,471	305	988
1900	Budget authority (total) .....	1,628	1,094	988
1930	Total budgetary resources available .....	1,628	1,094	988
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	306	.....	.....

**Change in obligated balance:**

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	6	11	11
3010	New obligations, unexpired accounts .....	1,322	1,094	988
3020	Outlays (gross) .....	-1,317	-1,094	-988
3050	Unpaid obligations, end of year .....	11	11	11
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	6	11	11
3200	Obligated balance, end of year .....	11	11	11

**Financing authority and disbursements, net:**

	Mandatory:			
4090	Budget authority, gross .....	1,628	1,094	988
	Financing disbursements:			
4110	Outlays, gross (total) .....	1,317	1,094	988
	Offsets against gross financing authority and disbursements:			
	Offsetting collections (collected) from:			
4120	Upward reestimate .....	-1,815	-585	.....
4120	Upward reestimate interest .....	-1,502	-252	.....
4120	Upward TPD Mod .....	-4	.....	.....
4120	Upward CARES Mod .....	-1,121	.....	.....
4120	Upward COVID Executive Action Mod .....	-531	-1,345	.....
4122	Interest on uninvested funds .....	-120	.....	.....
4123	Principal repayments .....	-2,085	-334	-4,345
4123	Interest repayments .....	-507	-744	.....
4123	Fees and other refunds .....	-11	.....	.....
4130	Offsets against gross budget authority and outlays (total) ....	-7,696	-2,516	-5,089
4160	Budget authority, net (mandatory) .....	-6,068	-1,422	-4,101
4170	Outlays, net (mandatory) .....	-6,379	-1,422	-4,101
4180	Budget authority, net (total) .....	-6,068	-1,422	-4,101
4190	Outlays, net (total) .....	-6,379	-1,422	-4,101

**Status of Direct Loans (in millions of dollars)**

Identification code 091-4453-0-3-502		2020 actual	2021 est.	2022 est.
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	33,696	30,683	30,326
1251	Repayments: Repayments and prepayments .....	-2,085	-334	-4,345
1264	Other adjustments, net (+ or -) .....	-928	-23	-41
1290	Outstanding, end of year .....	30,683	30,326	25,940

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the participation interest program authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

Identification code 091-4453-0-3-502		2019 actual	2020 actual
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury .....	391	316
Investments in U.S. securities:			
1106	Receivables, net .....	3,253	1,300
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	33,696	30,683
1402	Interest receivable .....	4,983	6,003
1405	Allowance for subsidy cost (-) .....	-3,842	-6,422
1499	Net present value of assets related to direct loans .....	34,837	30,264
1901	Other Federal assets: Other assets .....		1
1999	Total assets .....	38,481	31,881

**LIABILITIES:**

	Federal liabilities:			
2101	Accounts payable .....	.....	.....	.....
2103	Debt .....	38,324	.....	31,881
2105	Other .....	157	.....	.....
2201	Non-Federal liabilities: Accounts payable .....	.....	.....	.....
2999	Total liabilities .....	38,481	.....	31,881
	NET POSITION:			
3300	Cumulative results of operations .....	.....	.....	.....
4999	Total liabilities and net position .....	38,481	.....	31,881

**STUDENT LOAN ACQUISITION ACCOUNT****Program and Financing (in millions of dollars)**

Identification code 091-4449-0-3-502		2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>				
0005	Contract collection costs .....	36	41	41
Credit program obligations:				
0713	Payment of interest to Treasury .....	554	544	536
0742	Downward reestimates paid to receipt accounts .....	38		
0791	Direct program activities, subtotal .....	592	544	536
0900	Total new obligations, unexpired accounts .....	628	585	577

**Budgetary resources:**

	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	334	89	16
1023	Unobligated balances applied to repay debt .....	-334	-89	-16
	Financing authority:			
	Appropriations, mandatory:			
1200	Appropriation .....	1	15	.....
	Borrowing authority, mandatory:			
1400	Borrowing authority .....	55	528	.....
	Spending authority from offsetting collections, mandatory:			
1800	Collected .....	4,744	1,323	3,050
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-8	.....	.....
1825	Spending authority from offsetting collections applied to repay debt .....	-4,075	-1,265	-2,473
1850	Spending auth from offsetting collections, mand (total) .....	661	58	577
1900	Budget authority (total) .....	717	601	577
1930	Total budgetary resources available .....	717	601	577
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	89	16	.....

**Change in obligated balance:**

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	5	9	9
3010	New obligations, unexpired accounts .....	628	585	577
3020	Outlays (gross) .....	-624	-585	-577
3050	Unpaid obligations, end of year .....	9	9	9
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	5	9	9
3200	Obligated balance, end of year .....	9	9	9

**Financing authority and disbursements, net:**

	Mandatory:			
4090	Budget authority, gross .....	717	601	577
	Financing disbursements:			
4110	Outlays, gross (total) .....	624	585	577
	Offsets against gross financing authority and disbursements:			
	Offsetting collections (collected) from:			
4120	Upward reestimate .....	-1,078	-354	.....
4120	Upward reestimate interest .....	-1,057	-151	.....
4120	Upward TPD Mod .....	-4	.....	.....
4120	Upward CARES Mod .....	-649	-760	.....
4120	Upward COVID Executive Authority Mod .....	-304	.....	.....
4122	Interest on uninvested funds .....	-74	.....	.....
4123	Principal repayments .....	-1,578	.....	-2,699
4123	Borrower interest repayments .....	.....	-58	-351
4130	Offsets against gross budget authority and outlays (total) ....	-4,744	-1,323	-3,050
4160	Budget authority, net (mandatory) .....	-4,027	-722	-2,473
4170	Outlays, net (mandatory) .....	-4,120	-738	-2,473
4180	Budget authority, net (total) .....	-4,027	-722	-2,473
4190	Outlays, net (total) .....	-4,120	-738	-2,473

## STUDENT LOAN ACQUISITION ACCOUNT—Continued

## Status of Direct Loans (in millions of dollars)

Identification code 091-4449-0-3-502	2020 actual	2021 est.	2022 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	17,536	16,009	16,187
1251 Repayments: Repayments and prepayments .....	-1,277		-2,699
1264 Other adjustments, net (+ or -) .....	-250	178	-27
1290 Outstanding, end of year .....	16,009	16,187	13,461

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the standard and short-term Put programs authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

Identification code 091-4449-0-3-502	2019 actual	2020 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury .....	169	98
Investments in U.S. securities:		
1106 Receivables, net .....	2,097	740
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	17,536	16,009
1402 Interest receivable .....	2,520	2,796
1405 Allowance for subsidy cost (-) .....	-2,532	-4,102
1499 Net present value of assets related to direct loans .....	17,524	14,703
1901 Other Federal assets: Other assets .....		2
1999 Total assets .....	19,790	15,543
LIABILITIES:		
Federal liabilities:		
2101 Accounts payable .....		
2103 Debt .....	19,727	15,543
2105 Other .....	63	
2201 Non-Federal liabilities: Accounts payable .....		
2999 Total liabilities .....	19,790	15,543
NET POSITION:		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	19,790	15,543

## TEMPORARY STUDENT LOAN PURCHASE AUTHORITY CONDUIT FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 091-4459-0-3-502	2020 actual	2021 est.	2022 est.
Obligations by program activity:			
0003 Contract collection costs .....	5	8	7
Credit program obligations:			
0713 Payment of interest to Treasury .....	42	40	40
0900 Total new obligations, unexpired accounts .....	47	48	47
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	26	28
1023 Unobligated balances applied to repay debt .....	-10		
1050 Unobligated balance (total) .....		26	28
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	80	68	68
1825 Spending authority from offsetting collections applied to repay debt .....	-7	-18	-18
1850 Spending auth from offsetting collections, mand (total) .....	73	50	50
1930 Total budgetary resources available .....	73	76	78
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	26	28	31
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	12	10
3010 New obligations, unexpired accounts .....	47	48	47

3020 Outlays (gross) .....	-46	-50	-50
3050 Unpaid obligations, end of year .....	12	10	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	12	10
3200 Obligated balance, end of year .....	12	10	7

## Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross .....	73	50	50
Financing disbursements:			
4110 Outlays, gross (total) .....	46	50	50
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds .....	-2		
4123 Direct Conduit Fees .....	-1		
4123 Principal repayments .....	-58	-47	-47
4123 Interest repayments .....	-19	-21	-21
4130 Offsets against gross budget authority and outlays (total) ....	-80	-68	-68
4160 Budget authority, net (mandatory) .....	-7	-18	-18
4170 Outlays, net (mandatory) .....	-34	-18	-18
4180 Budget authority, net (total) .....	-7	-18	-18
4190 Outlays, net (total) .....	-34	-18	-18

## Status of Direct Loans (in millions of dollars)

Identification code 091-4459-0-3-502	2020 actual	2021 est.	2022 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	1,477	1,389	1,342
1251 Repayments: Repayments and prepayments .....	-58	-47	-47
1264 Other adjustments, net (+ or -) .....	-30		
1290 Outstanding, end of year .....	1,389	1,342	1,295

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the asset-backed commercial paper conduit authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

Identification code 091-4459-0-3-502	2019 actual	2020 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....	21	37
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	1,477	1,389
1402 Interest receivable .....	346	379
1405 Allowance for subsidy cost (-) .....	-455	-435
1499 Net present value of assets related to direct loans .....	1,368	1,333
1901 Other Federal assets: Other assets .....		2
1999 Total assets .....	1,389	1,372
LIABILITIES:		
2103 Federal liabilities: Debt .....	1,389	1,372
2201 Non-Federal liabilities: Accounts payable .....		
2999 Total liabilities .....	1,389	1,372
NET POSITION:		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	1,389	1,372

## FEDERAL FAMILY EDUCATION LOAN LIQUIDATING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 091-0230-0-1-502	2020 actual	2021 est.	2022 est.
Obligations by program activity:			
0101 Interest benefits, net of origination fees .....	4	3	3
0103 Default claims .....	12	12	12
0104 Death, disability, and bankruptcy claims .....	10	11	11
0105 Contract collection costs .....	4	4	4
0191 Subtotal, Stafford loans .....	30	30	30
0201 Default claims .....	2	2	2
0202 Death, disability, and bankruptcy claims .....	1	7	7

0205	Contract collection costs .....	1	1	1
0291	Subtotal, PLUS/SLS loans .....	4	10	10
0900	Total new obligations, unexpired accounts .....	34	40	40

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	244	86	.....
1021	Recoveries of prior year unpaid obligations .....	3	.....	.....
1022	Capital transfer of unobligated balances to general fund .....	-244	-86	.....
1033	Recoveries of prior year paid obligations .....	3	.....	.....
1050	Unobligated balance (total) .....	6	.....	.....
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	214	187	187
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-100	-147	-147
1850	Spending auth from offsetting collections, mand (total) .....	114	40	40
1930	Total budgetary resources available .....	120	40	40
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	86	.....	.....

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	4	4	4
3010	New obligations, unexpired accounts .....	34	40	40
3020	Outlays (gross) .....	-31	-40	-40
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3	.....	.....
3050	Unpaid obligations, end of year .....	4	4	4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	4	4	4
3200	Obligated balance, end of year .....	4	4	4

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	114	40	40
Outlays, gross:				
4100	Outlays from new mandatory authority .....	30	36	40
4101	Outlays from mandatory balances .....	1	4	.....
4110	Outlays, gross (total) .....	31	40	40
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Fed collections on defaulted loans, Stafford .....	-184	-51	-51
4123	Fed collections on bankruptcies, Stafford .....	.....	-3	-3
4123	Offsets against Federal tax refunds, Stafford .....	.....	-55	-55
4123	Reimbursements from guaranty agencies, Stafford .....	.....	-38	-38
4123	Other collections, Stafford .....	-8	-11	-11
4123	Federal collections on defaulted loans, PLUS/SLS .....	-25	-16	-16
4123	Federal collections on bankruptcies, PLUS/SLS .....	.....	-1	-1
4123	Offsets against Federal tax refunds, PLUS/SLS .....	.....	-4	-4
4123	Reimbursements from guaranty agencies, PLUS/SLS .....	.....	-8	-8
4130	Offsets against gross budget authority and outlays (total) ....	-217	-187	-187
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts .....	3	.....	.....
4160	Budget authority, net (mandatory) .....	-100	-147	-147
4170	Outlays, net (mandatory) .....	-186	-147	-147
4180	Budget authority, net (total) .....	-100	-147	-147
4190	Outlays, net (total) .....	-186	-147	-147

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 091-0230-0-1-502		2020 actual	2021 est.	2022 est.
STAFFORD LOANS				
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	377	356	323
2251	Repayments and prepayments .....	-13	-13	-13
Adjustments:				
2261	Terminations for default that result in loans receivable .....	-12	-4	-4
2263	Terminations for default that result in claim payments .....	-10	-16	-16
2264	Other adjustments, net .....	14	.....	.....
2290	Outstanding, end of year .....	356	323	290
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	324	323	290

**Addendum:**

Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	3,219	3,128	3,033
2331	Disbursements for guaranteed loan claims .....	12	4	4
2351	Repayments of loans receivable .....	-132	-76	-76
2361	Write-offs of loans receivable .....	-10	-14	-14
2364	Other adjustments, net .....	39	-9	-9
2390	Outstanding, end of year .....	3,128	3,033	2,938

**PLUS/SLS LOANS**

Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	41	38	33
2251	Repayments and prepayments .....	-2	-2	-2
Adjustments:				
2261	Terminations for default that result in loans receivable .....	-2	-1	-1
2263	Terminations for default that result in claim payments .....	-1	-2	-2
2264	Other adjustments, net .....	2	.....	.....
2290	Outstanding, end of year .....	38	33	28

**Memorandum:**

2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	29	28	18
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**Addendum:**

Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	510	498	482
2331	Disbursements for guaranteed loan claims .....	2	1	1
2351	Repayments of loans receivable .....	-18	-13	-13
2361	Write-offs of loans receivable .....	-1	-2	-2
2364	Other adjustments, net .....	5	-2	-2
2390	Outstanding, end of year .....	498	482	466

As required by the Federal Credit Reform Act of 1990, this liquidating account records, for this program, all cash flows to and from the Government resulting from guaranteed student loans committed prior to 1992. This account is shown on a cash basis. All new loan activity in this program for 1992 and beyond is recorded in corresponding program and financing accounts.

**Balance Sheet (in millions of dollars)**

Identification code 091-0230-0-1-502	2019 actual	2020 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....	248	90
1701 Defaulted guaranteed loans, gross .....	3,729	3,626
1702 Interest receivable .....	5,858	5,809
1703 Allowance for estimated uncollectible loans and interest (-) .....	-8,775	-8,249
1799 Value of assets related to loan guarantees .....	812	1,186
1999 Total assets .....	1,060	1,276
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury .....	1,059	1,275
Non-Federal liabilities:		
2201 Accounts payable .....	.....	.....
2204 Liabilities for loan guarantees .....	1	1
2999 Total liabilities .....	1,060	1,276
NET POSITION:		
3300 Cumulative results of operations .....	.....	.....
4999 Total liabilities and net position .....	1,060	1,276

**Object Classification (in millions of dollars)**

Identification code 091-0230-0-1-502		2020 actual	2021 est.	2022 est.
Direct obligations:				
33.0	Investments and loans .....	14	14	14
41.0	Grants, subsidies, and contributions .....	8	8	8
42.0	Insurance claims and indemnities .....	12	18	18
99.0	Direct obligations .....	34	40	40
99.9	Total new obligations, unexpired accounts .....	34	40	40

## HEALTH EDUCATION ASSISTANCE LOANS PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 091–0247–0–1–502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0704 Subsidy for modifications of loan guarantees .....	3	1	.....
0707 Reestimates of loan guarantee subsidy .....	2	.....	.....
0708 Interest on reestimates of loan guarantee subsidy .....	5	.....	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	10	1	.....
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	10	1	.....
1930 Total budgetary resources available .....	10	1	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	10	1	.....
3020 Outlays (gross) .....	–10	–1	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	10	1	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	10	1	.....
4180 Budget authority, net (total) .....	10	1	.....
4190 Outlays, net (total) .....	10	1	.....

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091–0247–0–1–502	2020 actual	2021 est.	2022 est.
Guaranteed loan subsidy outlays:			
234001 HEAL Loan Guarantee .....	3	1	.....
Guaranteed loan reestimates:			
235001 HEAL Loan Guarantee .....	7	–25	.....

Consistent with the Consolidated Appropriations Act, 2014 (P.L. 113–76), the Health Education Assistance Loans (HEAL) program was transferred to the Department of Education from the Department of Health and Human Services in 2014. The Department of Education assumed responsibility for the program and the authority to administer, service, collect, and enforce the program.

The HEAL program guarantees loans from private lenders to health professions students to pay for the costs of their training. As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with HEAL loan guarantees committed in 1992 and beyond (including modifications of HEAL loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of the program.

## HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 091–4300–0–3–502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....	1	4	4
0713 Payment of interest to Treasury .....	1	.....	.....
0715 Default Collection Costs .....	1	2	2
0742 Downward reestimates paid to receipt accounts .....	.....	7	.....
0743 Interest on downward reestimates .....	.....	19	.....
0900 Total new obligations, unexpired accounts .....	3	32	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	23	14	14
1023 Unobligated balances applied to repay debt .....	–14	.....	.....
1050 Unobligated balance (total) .....	9	14	14

## Financing authority:

Borrowing authority, mandatory:			
1400 Borrowing authority .....	26	.....	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	17	6	5
1825 Spending authority from offsetting collections applied to repay debt .....	–9	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	8	6	5
1900 Budget authority (total) .....	8	32	5
1930 Total budgetary resources available .....	17	46	19
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	14	14	13

## Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	.....	26
3010 New obligations, unexpired accounts .....	3	32	6
3020 Outlays (gross) .....	–3	–6	–6
3050 Unpaid obligations, end of year .....	.....	26	26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	.....	26
3200 Obligated balance, end of year .....	.....	26	26

## Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross .....	8	32	5
Financing disbursements:			
4110 Outlays, gross (total) .....	3	6	6
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	–10	–1	.....
4122 Interest on uninvested funds .....	–2	–2	–2
4123 Non-Federal sources .....	–5	–3	–3
4130 Offsets against gross budget authority and outlays (total) ....	–17	–6	–5
4160 Budget authority, net (mandatory) .....	–9	26	.....
4170 Outlays, net (mandatory) .....	–14	.....	1
4180 Budget authority, net (total) .....	–9	26	.....
4190 Outlays, net (total) .....	–14	.....	1

## Status of Guaranteed Loans (in millions of dollars)

Identification code 091–4300–0–3–502	2020 actual	2021 est.	2022 est.
Position with respect to appropriations act limitation on commitments:			
2143 Uncommitted limitation carried forward .....	.....	.....	.....
2150 Total guaranteed loan commitments .....	.....	.....	.....
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	153	60	50
2251 Repayments and prepayments .....	–4	–6	–6
Adjustments:			
2261 Terminations for default that result in loans receivable .....	.....	–3	–3
2263 Terminations for default that result in claim payments .....	–1	–1	–1
2264 Other adjustments, net .....	–88	.....	.....
2290 Outstanding, end of year .....	60	50	40
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	60	50	40

## Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	143	140	139
2331 Disbursements for guaranteed loan claims .....	1	3	3
2351 Repayments and prepayments .....	–5	–3	–3
2361 Write-offs of loans receivable .....	–1	–1	–1
2364 Other adjustments, net .....	2	.....	.....
2390 Outstanding, end of year .....	140	139	138

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the Health Education Assistance Loan program. Amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 091-4300-0-3-502	2019 actual	2020 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	23	13
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross .....	143	140
1502 Interest receivable .....	20	20
1505 Allowance for subsidy cost (-) .....		
1599 Net present value of assets related to defaulted guaranteed loans .....	163	160
1901 Other Federal assets: Other assets .....	47	42
1999 Total assets .....	233	215
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2203 Debt .....	34	11
2204 Liabilities for loan guarantees .....	199	203
2999 Total liabilities .....	233	214
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		1
4999 Total liabilities and net position .....	233	215

**HEALTH EDUCATION ASSISTANCE LOANS LIQUIDATING ACCOUNT****Program and Financing** (in millions of dollars)

Identification code 091-4299-0-3-502	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0715 Default Collections Costs .....	1	1	1
0900 Total new obligations, unexpired accounts (object class 25.2) .....	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	4	
1022 Capital transfer of unobligated balances to general fund .....	-6	-4	
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	5	6	6
1820 Capital transfer of spending authority from offsetting collections to general fund .....		-5	-5
1850 Spending auth from offsetting collections, mand (total) .....	5	1	1
1900 Budget authority (total) .....	5	1	1
1930 Total budgetary resources available .....	5	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	5	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-5	-6	-6
4180 Budget authority, net (total) .....		-5	-5
4190 Outlays, net (total) .....	-4	-5	-5

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 091-4299-0-3-502	2020 actual	2021 est.	2022 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	13	6	4
2251 Repayments and prepayments .....	-4	-2	-2
Adjustments:			
2261 Terminations for default that result in loans receivable .....			
2264 Other adjustments, net .....	-3		
2290 Outstanding, end of year .....	6	4	2

## Memorandum:

2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	6	4	2
<b>Addendum:</b>			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	254	256	252
2331 Disbursements for guaranteed loan claims .....			
2351 Repayments of loans receivable .....	-3	-4	-4
2361 Write-offs of loans receivable .....			
2364 Other adjustments, net .....	5		
2390 Outstanding, end of year .....	256	252	248

As required by the Federal Credit Reform Act of 1990, this liquidating account records, for this program, all cash flows to and from the Government resulting from guaranteed Health Education Assistance Loans loans committed prior to 1992. This account is shown on a cash basis. All loan activity in this program for 1992 and beyond is recorded in corresponding program and financing accounts.

**Balance Sheet** (in millions of dollars)

Identification code 091-4299-0-3-502	2019 actual	2020 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	6	4
1701 Defaulted guaranteed loans, gross .....	254	256
1702 Interest receivable .....	13	9
1703 Allowance for estimated uncollectible loans and interest (-) .....	-82	-80
1799 Value of assets related to loan guarantees .....	185	185
1901 Other Federal assets: Other assets .....	8	8
1999 Total assets .....	199	197
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	168	162
Non-Federal liabilities:		
2204 Liabilities for loan guarantees .....	31	35
2207 Other .....		
2999 Total liabilities .....	199	197
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	199	197

**INSTITUTE OF EDUCATION SCIENCES***Federal Funds*

## INSTITUTE OF EDUCATION SCIENCES

For carrying out activities authorized by the Education Sciences Reform Act of 2002, the National Assessment of Educational Progress Authorization Act, section 208 of the Educational Technical Assistance Act of 2002, and section 664 of the Individuals with Disabilities Education Act, **[\$642,462,000] \$737,465,000**, which shall remain available through September 30, **[2022] 2023: Provided**, That funds available to carry out section 208 of the Educational Technical Assistance Act may be used to link Statewide elementary and secondary data systems with early childhood, postsecondary, and workforce data systems, or to further develop such systems: *Provided further*, That up to \$6,000,000 of the funds available to carry out section 208 of the Educational Technical Assistance Act may be used for awards to public or private organizations or agencies to support activities to improve data coordination, quality, and use at the local, State, and national levels. (*Department of Education Appropriations Act, 2021.*)

**[For an additional amount for "Institute of Education Sciences", \$28,000,000, to remain available through September 30, 2022, to prevent, prepare for and respond to coronavirus, domestically or internationally, for carrying out the National Assessment of Educational Progress Authorization Act: *Provided*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985.] (Coronavirus Response and Relief Supplemental Appropriations Act, 2021.)**

## INSTITUTE OF EDUCATION SCIENCES—Continued

## Program and Financing (in millions of dollars)

Identification code 091–1100–0–1–503	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Research, development, and dissemination .....	181	228	308
0002 Statistics .....	107	114	135
0003 Regional educational laboratories .....	56	54	56
0004 National Assessment .....	143	193	180
0005 National Assessment Governing Board .....	7	8	8
0006 Research in special education .....	59	64	78
0007 Statewide longitudinal data systems .....	32	35	34
0008 Special education studies and evaluations .....	12	10	11
0100 Total direct program .....	597	706	810
0799 Total direct obligations .....	597	706	810
0801 Reimbursable program activity .....	1	1	1
0900 Total new obligations, unexpired accounts .....	598	707	811
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	80	107	170
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	81	107	170
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	623	670	737
Appropriations, mandatory:			
1200 Appropriation .....		100	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1900 Budget authority (total) .....	624	770	737
1930 Total budgetary resources available .....	705	877	907
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	107	170	96
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	573	588	865
3010 New obligations, unexpired accounts .....	598	707	811
3020 Outlays (gross) .....	–577	–430	–623
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1		
3041 Recoveries of prior year unpaid obligations, expired .....	–5		
3050 Unpaid obligations, end of year .....	588	865	1,053
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–2	–2	–2
3090 Uncollected pymts, Fed sources, end of year .....	–2	–2	–2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	571	586	863
3200 Obligated balance, end of year .....	586	863	1,051
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	624	670	737
Outlays, gross:			
4010 Outlays from new discretionary authority .....	170	109	119
4011 Outlays from discretionary balances .....	407	306	464
4020 Outlays, gross (total) .....	577	415	583
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–1		
Mandatory:			
4090 Budget authority, gross .....		100	
Outlays, gross:			
4100 Outlays from new mandatory authority .....		15	
4101 Outlays from mandatory balances .....			40
4110 Outlays, gross (total) .....		15	40
4180 Budget authority, net (total) .....	623	770	737
4190 Outlays, net (total) .....	576	430	623

*Research, Statistics, and Assessment:*

*Research, development, and dissemination.*—Funds support a diverse portfolio of research, development, and dissemination activities that provide parents, teachers, and schools with evidence-based information on effective educational practices. The requested increase would allow additional investments in research on understanding and mitigating the effects of learning loss caused by the COVID-19 pandemic.

*Statistics.*—Funds support the Department's statistical data collection activities, which are conducted by the National Center for Education Statistics (NCES). NCES collects, analyzes, and disseminates education statistics at all levels, from preschool through postsecondary and adult education, including statistics on international education activities.

*Regional educational laboratories.*—Funds support a network of 10 regional laboratories that provide expert advice, including training and technical assistance, to help States and school districts apply proven research findings in their school improvement efforts.

*Assessment.*—Funds support the ongoing National Assessment of Educational Progress (NAEP) and the National Assessment Governing Board (NAGB). NAEP administers assessments to samples of students in order to gather reliable information about educational attainment in important academic areas. NAGB is responsible for formulating NAEP policy; developing student achievement levels; and selecting, consistent with the requirements of the statute, the subjects to be assessed. The requested increase would help maintain the assessment schedule as well as help allow initial research and development investments to maintain assessment quality while reducing future program costs.

*Research in special education.*—Funds support research to build the evidence base on improving special education and early intervention services and outcomes for infants, toddlers, and children with disabilities.

*Statewide longitudinal data systems.*—Funds support competitive grant awards to States to foster the design, development, implementation, and use of longitudinal data systems. In addition, funds would support awards to public and private agencies to improve data coordination, quality, and use at the local, State, and national levels.

*Special education studies and evaluations.*—Funds support studies, evaluations, and assessments related to the implementation of the Individuals with Disabilities Education Act in order to improve special education and early intervention services and outcomes for infants, toddlers, and children with disabilities. The requested increase would fund a study of special education expenditures to provide local, State, and Federal policymakers with better data on the costs of special education and related services.

## Object Classification (in millions of dollars)

Identification code 091–1100–0–1–503	2020 actual	2021 est.	2022 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1	1	1
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	19	20	20
25.2 Other services from non-Federal sources .....	275	330	370
25.3 Other goods and services from Federal sources .....	2	2	2
25.5 Research and development contracts .....	70	70	70
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	228	281	345
99.9 Total new obligations, unexpired accounts .....	598	707	811

## Employment Summary

Identification code 091–1100–0–1–503	2020 actual	2021 est.	2022 est.
1001 Direct civilian full-time equivalent employment .....	12	15	15

## DEPARTMENTAL MANAGEMENT

## Federal Funds

## PROGRAM ADMINISTRATION

For carrying out, to the extent not otherwise provided, the Department of Education Organization Act, including rental of conference rooms in the District of Columbia and hire of three passenger motor vehicles, **[\$430,000,000]** \$480,000,000, of which up to \$13,000,000, to remain available until expended, shall be available for relo-

cation expenses, and for the renovation and repair of leased buildings: *Provided*, That, notwithstanding any other provision of law, none of the funds provided by this Act or provided by previous Appropriations Acts to the Department of Education available for obligation or expenditure in the current fiscal year may be used for any activity relating to implementing a reorganization that decentralizes, reduces the staffing level, or alters the responsibilities, structure, authority, or functionality of the Budget Service of the Department of Education, relative to the organization and operation of the Budget Service as in effect on January 1, 2018. (*Department of Education Appropriations Act, 2021.*)

■ For an additional amount for "Program Administration", \$15,000,000, to remain available through September 30, 2023, to prevent, prepare for, and respond to coronavirus, domestically or internationally: *Provided*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. ■ (*Coronavirus Response and Relief Supplemental Appropriations Act, 2021.*)

#### Special and Trust Fund Receipts (in millions of dollars)

Identification code 091-0800-0-1-503	2020 actual	2021 est.	2022 est.
0100 Balance, start of year .....			1
Receipts:			
Current law:			
1130 Contributions .....		1	1
2000 Total: Balances and receipts .....		1	2
5099 Balance, end of year .....		1	2

#### Program and Financing (in millions of dollars)

Identification code 091-0800-0-1-503	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Program administration .....	437	439	492
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	7	29
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	438	445	480
Appropriations, mandatory:			
1200 Appropriation .....		15	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	3	1	
1900 Budget authority (total) .....	441	461	480
1930 Total budgetary resources available .....	445	468	509
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	7	29	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	144	177	131
3010 New obligations, unexpired accounts .....	437	439	492
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-398	-485	-483
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	177	131	140
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	143	175	129
3200 Obligated balance, end of year .....	175	129	138

#### Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	441	446	480
Outlays, gross:			
4010 Outlays from new discretionary authority .....	308	354	370
4011 Outlays from discretionary balances .....	90	131	100
4020 Outlays, gross (total) .....	398	485	470

#### Offsets against gross budget authority and outlays:

Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	
4040 Offsets against gross budget authority and outlays (total) ....	-1	-1	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4070 Budget authority, net (discretionary) .....	438	445	480
4080 Outlays, net (discretionary) .....	397	484	470
Mandatory:			
4090 Budget authority, gross .....		15	
Outlays, gross:			
4101 Outlays from mandatory balances .....			13
4180 Budget authority, net (total) .....	438	460	480
4190 Outlays, net (total) .....	397	484	483

The Program Administration account includes the direct Federal costs of providing grants and administering early, elementary, and secondary education; Indian education; English language acquisition; higher education; career, technical, and adult education; special education programs; and programs for persons with disabilities. It also supports assessment, statistics, and research activities.

In addition, this account includes the cost of providing centralized support and administrative services, overall policy development, and strategic planning for the Department. Included in the centralized activities are rent and mail services; telecommunications; contractual services; financial management and accounting, including payments to schools, education agencies and other grant recipients, and preparation of auditable financial statements; information technology services and security; personnel management; personnel security; budget formulation and execution; legal services; congressional and public relations; and intergovernmental affairs. Included in this account is the Department of Education's cost to relocate staff and renovate buildings occupied by Department staff.

Also included in this account are contributions from the public. Contributions not designated for a specific purpose are in the account's Gifts and Bequests Miscellaneous Fund.

*Reimbursable program.*—Reimbursements to this account are for providing administrative services to other agencies.

#### Object Classification (in millions of dollars)

Identification code 091-0800-0-1-503	2020 actual	2021 est.	2022 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	190	206	217
11.3 Other than full-time permanent .....	5	3	3
11.5 Other personnel compensation .....	3	4	5
11.9 Total personnel compensation .....	198	213	225
12.1 Civilian personnel benefits .....	62	72	77
21.0 Travel and transportation of persons .....	3	1	2
23.1 Rental payments to GSA .....	33	32	31
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	3	2	3
25.2 Other services from non-Federal sources .....	25	39	30
25.3 Other goods and services from Federal sources .....	27		17
25.7 Operation and maintenance of equipment .....	76	75	89
26.0 Supplies and materials .....	1		1
31.0 Equipment .....	1	1	1
32.0 Land and structures .....	5		13
99.0 Direct obligations .....	436	437	491
99.5 Adjustment for rounding .....	1	2	1
99.9 Total new obligations, unexpired accounts .....	437	439	492

#### Employment Summary

Identification code 091-0800-0-1-503	2020 actual	2021 est.	2022 est.
1001 Direct civilian full-time equivalent employment .....	1,489	1,662	1,672

## OFFICE FOR CIVIL RIGHTS

For expenses necessary for the Office for Civil Rights, as authorized by section 203 of the Department of Education Organization Act, **[\$131,000,000]** \$144,000,000. (*Department of Education Appropriations Act, 2021.*)

## Program and Financing (in millions of dollars)

Identification code 091-0700-0-1-751	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Civil rights .....	129	131	144
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	130	131	144
1930 Total budgetary resources available .....	130	131	144
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	38	31
3010 New obligations, unexpired accounts .....	129	131	144
3020 Outlays (gross) .....	-120	-138	-142
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	38	31	33
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	31	38	31
3200 Obligated balance, end of year .....	38	31	33
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	130	131	144
Outlays, gross:			
4010 Outlays from new discretionary authority .....	100	110	120
4011 Outlays from discretionary balances .....	20	28	22
4020 Outlays, gross (total) .....	120	138	142
4180 Budget authority, net (total) .....	130	131	144
4190 Outlays, net (total) .....	120	138	142

The Office for Civil Rights is responsible for ensuring that no person is unlawfully discriminated against on the basis of race, color, national origin, sex, disability, or age in the delivery of services or the provision of benefits in programs or activities of schools and institutions receiving financial assistance from the Department of Education. The authorities under which the Office for Civil Rights operates are Title VI of the Civil Rights Act of 1964 (racial and ethnic discrimination), Title IX of the Education Amendments of 1972 (sex discrimination), section 504 of the Rehabilitation Act of 1973 (discrimination against individuals with a disability), Title II of the Americans with Disabilities Act of 1990 (whether or not the public entity receives Federal Financial Assistance), the Age Discrimination Act of 1975, and the Boy Scouts of America Equal Access Act of 2002.

## Object Classification (in millions of dollars)

Identification code 091-0700-0-1-751	2020 actual	2021 est.	2022 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	63	70	73
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	66	73	76
12.1 Civilian personnel benefits .....	23	26	29
21.0 Travel and transportation of persons .....			1
23.1 Rental payments to GSA .....	9	10	10
25.2 Other services from non-Federal sources .....	6	2	2
25.3 Other goods and services from Federal sources .....	2	2	2
25.7 Operation and maintenance of equipment .....	20	17	23
32.0 Land and structures .....	1		
99.0 Direct obligations .....	127	130	143
99.5 Adjustment for rounding .....	2	1	1
99.9 Total new obligations, unexpired accounts .....	129	131	144

## Employment Summary

Identification code 091-0700-0-1-751	2020 actual	2021 est.	2022 est.
1001 Direct civilian full-time equivalent employment .....	536	593	599

## OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General, as authorized by section 212 of the Department of Education Organization Act, **[\$63,000,000]** \$70,115,000, of which \$2,000,000 shall remain available until expended. (*Department of Education Appropriations Act, 2021.*)

For an additional amount for "Office of the Inspector General", \$5,000,000, to remain available until expended, to prevent, prepare for, and respond to coronavirus, domestically or internationally, including for salaries and expenses necessary for oversight, investigations, and audits of programs, grants, and projects funded in this Act to respond to coronavirus: *Provided*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. (*Coronavirus Response and Relief Supplemental Appropriations Act, 2021.*)

## Program and Financing (in millions of dollars)

Identification code 091-1400-0-1-751	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Inspector General .....	63	66	76
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	11	18
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	70	68	70
Appropriations, mandatory:			
1200 Appropriation .....		5	
1900 Budget authority (total) .....	70	73	70
1930 Total budgetary resources available .....	75	84	88
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	11	18	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	15	17	6
3010 New obligations, unexpired accounts .....	63	66	76
3020 Outlays (gross) .....	-60	-77	-71
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	17	6	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	15	17	6
3200 Obligated balance, end of year .....	17	6	11
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	70	68	70
Outlays, gross:			
4010 Outlays from new discretionary authority .....	48	55	56
4011 Outlays from discretionary balances .....	12	18	15
4020 Outlays, gross (total) .....	60	73	71
Mandatory:			
4090 Budget authority, gross .....		5	
Outlays, gross:			
4100 Outlays from new mandatory authority .....		4	
4180 Budget authority, net (total) .....	70	73	70
4190 Outlays, net (total) .....	60	77	71

The Inspector General is responsible for the quality, coverage, and coordination of audit and investigation functions relating to Federal education activities. The Inspector General has the authority to inquire into all activities of the Department, including those performed under Federal education contracts, grants, or other agreements. Under the Chief Financial Officers Act of 1990, the Inspector General is also responsible for internal reviews of the Department's financial systems and audits of its financial statements.



**Object Classification** (in millions of dollars)

Identification code 091-1400-0-1-751	2020 actual	2021 est.	2022 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	26	32	36
11.5 Other personnel compensation .....	2	1	1
11.9 Total personnel compensation .....	28	33	37
12.1 Civilian personnel benefits .....	11	14	15
21.0 Travel and transportation of persons .....		1	2
23.1 Rental payments to GSA .....	7	7	7
25.1 Advisory and assistance services .....	1		1
25.2 Other services from non-Federal sources .....	3	3	3
25.3 Other goods and services from Federal sources .....	2	2	2
25.7 Operation and maintenance of equipment .....	8	5	8
31.0 Equipment .....	3	1	1
99.9 Total new obligations, unexpired accounts .....	63	66	76

**Employment Summary**

Identification code 091-1400-0-1-751	2020 actual	2021 est.	2022 est.
1001 Direct civilian full-time equivalent employment .....	213	256	263

**DISASTER EDUCATION RECOVERY***Federal Funds*

## DISASTER EDUCATION RECOVERY

**Program and Financing** (in millions of dollars)

Identification code 091-0013-0-1-500	2020 actual	2021 est.	2022 est.
<b>Obligations by program activity:</b>			
0001 Hurricane Education Recovery .....	186	1	1,292
0900 Total new obligations, unexpired accounts (object class 41.0) .....	186	1	1,292
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,478	1,293	1,292
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	1,479	1,293	1,292
1930 Total budgetary resources available .....	1,479	1,293	1,292
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,293	1,292	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	774	680	95
3010 New obligations, unexpired accounts .....	186	1	1,292
3020 Outlays (gross) .....	-279	-586	-550
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	680	95	837
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	774	680	95
3200 Obligated balance, end of year .....	680	95	837
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	279	586	550
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	279	586	550

Funds support the following six programs authorized under Public Law 115-123: Awards to Eligible Entities for Immediate Aid to Restart School Operations; Temporary Emergency Impact Aid for Displaced Students; Assistance to Local Educational Agencies Serving Homeless Children and Youth enrolled as a result of displacement by a covered disaster or emergency; Project School Emergency Response to Violence activities authorized under section 4631(b) of the Elementary and Secondary Education Act, as amended; Emergency Assistance to Institutions of Higher Education (IHEs) and Students Attending IHEs from an area directly affected by a covered disaster or emergency; and payments to IHEs to help defray the

unexpected expenses associated with enrolling students from IHEs at which operations have been disrupted by a covered disaster or emergency. Amounts in this schedule reflect balances that are spending out from prior-year appropriations.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2020 actual	2021 est.	2022 est.
Offsetting receipts from the public:			
091-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....		2	2
091-271830 Federal Family Education Loan Program, Downward Reestimates of Subsidies .....	6,865	589	
091-274130 College Housing and Academic Facilities Loan, Downward Reestimates of Subsidies .....	48	207	
091-278110 Federal Direct Student Loan Program, Negative Subsidies .....	3,946	4,028	3,879
091-278130 Federal Direct Student Loan Program, Downward Reestimates of Subsidies .....	1,437	839	
091-279430 TEACH Grant Program, Downward Reestimates of Subsidies .....	36	3	
091-279830 Health Education Assistance Loans, Downward Reestimates of Subsidies .....		25	
091-291500 Repayment of Loans, Capital Contributions, Higher Education Activities .....	1,317	884	843
091-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	8	8	8
General Fund Offsetting receipts from the public .....	13,657	6,585	4,732

**GENERAL PROVISIONS**

SEC. 301. No funds appropriated in this Act may be used to prevent the implementation of programs of voluntary prayer and meditation in the public schools.

## (TRANSFER OF FUNDS)

SEC. 302. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the Department of Education in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: *Provided*, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: *Provided further*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

SEC. 303. Funds appropriated in this Act and consolidated for evaluation purposes under section 8601(c) of the ESEA shall be available from July 1, [2021] 2022, through September 30, [2022] 2023.

SEC. 304. (a) An institution of higher education that maintains an endowment fund supported with funds appropriated for title III or V of the HEA for fiscal year [2021] 2022 may use the income from that fund to award scholarships to students, subject to the limitation in section 331(c)(3)(B)(i) of the HEA. The use of such income for such purposes, prior to the enactment of this Act, shall be considered to have been an allowable use of that income, subject to that limitation.

(b) Subsection (a) shall be in effect until titles III and V of the HEA are reauthorized.

SEC. 305. Section 114(f) of the HEA (20 U.S.C. 1011c(f)) is amended by striking "[2020] 2021" and inserting "[2021] 2022".

SEC. 306. Section 458(a) of the HEA (20 U.S.C. 1087h(a)) is amended in paragraph (4) by striking "[2020] 2021" and inserting "[2021] 2022".

[SEC. 307. Funds appropriated in this Act under the heading "Student Aid Administration" may be available for payments for student loan servicing to an institution of higher education that services outstanding Federal Perkins Loans under part E of title IV of the Higher Education Act of 1965 (20 U.S.C. 1087aa et seq.).]

## (RESCISSION)

[SEC. 308. Of the unobligated balances available under the heading "Student Financial Assistance" for carrying out subpart 1 of part A of title IV of the HEA, \$500,000,000 are hereby rescinded.]

## (CANCELLATION)

SEC. [309] 307. Of the amounts appropriated under Section 401(b)(7)(A)(iv)(XI) of the Higher Education Act of 1965 (20 U.S.C. 1070a(b)(7)(A)(iv)(XI)) for fiscal year [2021, \$28,000,000] 2022, \$163,000,000 are hereby [rescinded] *cancelled*.

SEC. [310] 308. Of the amounts made available under this title under the heading "Student Aid Administration", \$2,300,000 [shall] *may* be used by the Secretary of Education to conduct outreach to borrowers of loans made under part D of title IV of the Higher Education Act of 1965 who may intend to qualify for loan cancellation under section 455(m) of such Act (20 U.S.C. 1087e(m)), to ensure that borrowers are meeting the terms and conditions of such loan cancellation: *Provided*, That the Secretary shall specifically conduct outreach to assist borrowers who would qualify for loan cancellation under section 455(m) of such Act except that the borrower has made some, or all, of the 120 required payments under a repayment plan that is not described under section 455(m)(A) of such Act, to encourage borrowers to enroll in a qualifying repayment plan: *Provided further*, That the Secretary shall also communicate to all Direct Loan borrowers the full requirements of section 455(m) of such Act and improve the filing of employment certification by providing improved outreach and information such as outbound calls, electronic communications, ensuring prominent access to program requirements and benefits on each servicer's website, and creating an option for all borrowers to complete the entire payment certification process electronically and on a centralized website.

SEC. [311] 309. For an additional amount for "Department of Education—Federal Direct Student Loan Program Account", [\$50,000,000] \$25,000,000, to remain available until expended, shall be for the cost, as defined under section 502 of the Congressional Budget Act of 1974, of the Secretary of Education providing loan cancellation in the same manner as under section 455(m) of the Higher Education Act of 1965 (20 U.S.C. 1087e(m)), for borrowers of loans made under part D of title IV of such Act who would qualify for loan cancellation under section 455(m) except some, or all, of the 120 required payments under section 455(m)(1)(A) do not qualify for purposes of the program because they were monthly payments made in accordance with graduated or extended repayment plans as described under subparagraph (B) or (C) of section 455(d)(1) or the corresponding repayment plan for a consolidation loan made under section 455(g) and that were less than the amount calculated under section 455(d)(1)(A), based on a 10-year repayment period: *Provided*, That the monthly payment made 12 months before the borrower applied for loan cancellation as described in the matter preceding this proviso and the most recent monthly payment made by the borrower at the time of such application were each not less than the monthly amount that would be calculated under, and for which the borrower would otherwise qualify for, clause (i) or (iv) of section 455(m)(1)(A) regarding income-based or income-contingent repayment plans, with exception for a borrower who would have otherwise been eligible under this section but demonstrates an unusual fluctuation of income over the past 5 years: *Provided further*, That the total loan volume, including outstanding principal, fees, capitalized interest, or accrued interest, at application that is eligible for such loan cancellation by such borrowers shall not exceed [\$75,000,000] \$50,000,000: *Provided further*, That the Secretary shall develop and make available a simple method for borrowers to apply for loan cancellation under this section within 60 days of enactment of this Act: *Provided further*, That the Secretary shall provide loan cancellation under this section to eligible borrowers on a first-come, first-serve basis, based on the date of application and subject to both the limitation on total loan volume at application for such loan cancellation specified in the second proviso and the availability of appropriations under this section: *Provided further*, That no borrower may, for the same service, receive a reduction of loan obligations under both this section and section 428J, 428K, 428L, or 460 of such Act.

SEC. [312] 310. None of the funds made available by this Act may be used in contravention of section 203 of the Department of Education Organization Act (20 U.S.C. 3413).

## (INCLUDING TRANSFER OF FUNDS)

SEC. [313] 311. There is hereby established in the Treasury of the United States a fund to be known as the "Department of Education Nonrecurring Expenses Fund" (the Fund): *Provided*, That unobligated balances of expired discretionary funds appropriated for this or any succeeding fiscal year from the General Fund of the Treasury to the Department of Education by this or any other Act may be transferred (not later than the end of the fifth fiscal year after the last fiscal year for which such funds are available for the purposes for which appropriated) into the Fund: *Provided further*, That amounts deposited in the Fund shall be available until expended, and in addition to such other funds as may be available for such purposes, for information and business technology system modernization and facilities infrastructure improvements necessary for the operation of the Department, subject to approval by the Office of Management and Budget: *Provided further*, That amounts in the Fund may be obligated only after the Committees on Appropriations of the House of Representatives and the Senate are notified at least 30 days in advance of the specific

information and business technology system modernization project or facility infrastructure improvement obligations planned for such amounts.

## [SEC. 314.]

(a) The General Education Provisions Act (20 U.S.C. 1221 et seq.) is amended by striking section 426.

(b) Paragraph (9) of section 4407(a) of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 7231f(a)) is amended by striking "notwithstanding section 426 of the General Education Provisions Act (20 U.S.C. 1228)."

[SEC. 315. Section 2101(b) of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 6611(b)) is amended—(1) in paragraph (2)(A)(iv), by inserting "through fiscal year 2022" after "fiscal year 2020"; and (2) in paragraph (3), by striking "2021" both places it appears and inserting "2023" in its place.]

## [RURAL AND LOW-INCOME SCHOOL PROGRAM ADJUSTMENTS]

[SEC. 316. (a) HOLD HARMLESS.—For the purpose of making awards under section 5221 of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 7351) for a fiscal year during the period described in subsection (c), the Secretary of Education and each State educational agency shall treat as eligible to receive a grant under such section—

(1) any local educational agency that meets the eligibility requirements described in section 5221(b)(1) of such Act for such fiscal year, in accordance with subsection (d); and

(2) notwithstanding such section 5221(b)(1), any local educational agency that does not meet the eligibility requirements described in such section for such fiscal year if—

(A) the local educational agency received a grant under section 5221 of such Act for fiscal year 2019;

(B) for fiscal year 2019, less than 20 percent of the children ages 5 through 17 years served by the local educational agency were from families with incomes below the poverty line, as determined by data from the Small Area Income and Poverty Estimates of the Bureau of the Census;

(C) the award for fiscal year 2019 was based on alternative poverty data submitted by the State to the Secretary despite data being available from the Small Area Income and Poverty Estimates of the Bureau of the Census; and

(D) the local educational agency meets the eligibility criteria described in section 5221(b)(1)(A)(ii) of such Act, or has obtained a waiver under section 5221(b)(2) of such Act, for the fiscal year for which the eligibility determination is being made.

## (b) LIMITATIONS.—

(1) LIMITS ON LOCAL EDUCATIONAL AGENCY AWARDS.—For the purposes of making an award under section 5221(b) of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 7351(b)) to local educational agencies described in subsection (a)(2) for a fiscal year during the period described in subsection (c), a State educational agency shall provide an award to each such local educational agency for such fiscal year that is not larger than—

(A) for fiscal year 2021, 100 percent of the amount such local educational agency received for fiscal year 2019;

(B) for fiscal year 2022, 100 percent of the amount such local educational agency received for fiscal year 2019;

(C) for fiscal year 2023, 83.33 percent of the amount such local educational agency received for fiscal year 2019;

(D) for fiscal year 2024, 66.67 percent of the amount such local educational agency received for fiscal year 2019;

(E) for fiscal year 2025, 50 percent of the amount such local educational agency received for fiscal year 2019;

(F) for fiscal year 2026, 33.33 percent of the amount such local educational agency received for fiscal year 2019; and

(G) for fiscal year 2027, 16.67 percent of the amount such local educational agency received for fiscal year 2019.

(2) ADJUSTMENTS TO STATE ALLOCATIONS.—In determining grant amounts for each State educational agency under section 5221(a)(2) of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 7351(a)(2)) for each fiscal year during the period described in subsection (c), the Secretary of Education shall reduce the amount that the State educational agency would otherwise receive by the combined amount of any reductions in grant awards required under paragraph (1) for such year for the local educational agencies described in subsection (a)(2) that are served by the State educational agency.

(c) APPLICABILITY.—Subsections (a) and (b) shall be in effect during the period—

(1) beginning on the first day of the fiscal year in which this Act is enacted; and

(2) ending on the earlier of—

(A) September 30, 2027; or

(B) the last day of the fiscal year in which an Act that reauthorizes the rural and low-income school program under subpart 2 of part B of title V of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 7351 et seq.) is enacted.

(d) **USE OF DATA MEASURES.**—Except as provided in subsection (a)(2), for the purpose of making awards under section 5221 of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 7351) for any fiscal year—

(1) if data are available from the Small Area Income and Poverty Estimates of the Bureau of the Census to determine a local educational agency's enrollment of children from families with incomes below the poverty line as described in section 5221(b)(1)(A)(i) of such Act, the Secretary of Education and each State educational agency shall not use alternative poverty data in determining such local educational agency's eligibility under such section; and

(2) if data are not available from the Small Area Income and Poverty Estimates of the Bureau of the Census to determine a local educational agency's enrollment of children from families with incomes below the poverty line as described in such section 5221(b)(1)(A)(i), the Secretary and the State educational agency shall determine such local educational agency's eligibility under such section using the same State-derived poverty data used to determine local educational agency allocations under part A of title I of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 6311 et seq.).

【SEC. 317. (a) **IN GENERAL.**—For the purpose of carrying out section 435(a)(2) of the Higher Education Act of 1965 (20 U.S.C. 1085(a)(2)), the Secretary of Education may waive the requirements under sections 435(a)(5)(A)(i) and 435(a)(5)(A)(ii) of such Act (20 U.S.C. 1085(a)(5)(A)(i) and 20 U.S.C. 1085(a)(5)(A)(ii)) for a private non-profit institution of higher education—(1) that is an Alaska Native-Serving Institution (as defined in section 317(A)(2) of such Act (20 U.S.C. 1059d)) and a Native American-Serving Non-Tribal Institution (as defined in section 319(b)(2) (20 U.S.C. 1059f)) whose fall enrollment for the most recently completed academic year was comprised of a majority of students who are Indian (as defined in such section) or Alaska Native (as defined in section 317(b) of such Act (20 U.S.C. 1059d(b)) and who are eligible to receive the maximum award under the Pell Grant program; or (2) whose fall enrollment for the most recently completed academic year was comprised of a majority of the students who are African American (as defined in section 322(2) of such act (20 U.S.C. 1061(2))) and at least 50% or more received Federal Pell Grant Funds.

(b) **APPLICABILITY.**—Subsection (a) shall apply to an institution of higher education that otherwise would be ineligible to participate in a program under part A of title IV of the Higher Education Act of 1965 on or after the date of enactment of this Act due to the application of section 435(a)(2) of the Higher Education Act of 1965 (20 U.S.C. 1085(a)(2)).

(c) **COVERAGE.**—This section shall be in effect for the period covered by this Act and for the succeeding fiscal year.】

【SEC. 318. Of the amounts made available under the heading "Department of Education—Rehabilitation Services" in title III of the Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020 (division A of Public Law 116–94) that remain available subsequent to the reallocation of funds to States pursuant to section 110(b) of the Rehabilitation Act of 1973 (Public Law 93–112), \$20,000,000 shall be available to the Secretary for one-time financial relief and restoration grants consistent with the purposes of the Randolph-Sheppard Act as authorized under section 10 of such Act (20 U.S.C. 107f): *Provided*, That the Secretary shall use such funds to make grants to each State licensing agency in the same proportion as the number of blind vendors operating a vending facility in such State as compared to the number of blind vendors operating a vending facility in all the States on September 30, 2019: *Provided further*, That the State licensing agency shall use these grants to make financial relief and restoration payments to offset losses of blind vendors that occurred during calendar year 2020, but only to the extent that such losses are not otherwise compensated: *Provided further*, That any funds in excess of the amount needed for financial relief and restoration payments to blind vendors shall be used by the State licensing agency for other purposes authorized by section 395.9 of title 34, Code of Federal Regulations, as in effect on the date of enactment of this Act, and determined through active participation with the State committee of blind vendors as required: *Provided further*, That such funds shall remain available to the Secretary until September 30, 2021.】

*Sec. 312. Notwithstanding any other provision of law, the Secretary may reserve not more than 0.5 percent from any amount made available in this Act for an HEA program, except for any amounts made available for subpart 1 of part A of title IV of the HEA, to carry out rigorous and independent evaluations and to collect and analyze outcome data for any program authorized by the HEA: Provided, That no funds made available in this Act for the "Student Aid Administration" account shall be subject to the reservation under this section: Provided further, That any funds reserved under this section shall be available through September 30, 2023: Provided*

*further, That if, under any other provision of law, funds are authorized to be reserved or used for evaluation activities with respect to a program or project, the Secretary may also reserve funds for such program or project for the purposes described in this section so long as the total reservation of funds for such program or project does not exceed any statutory limits on such reservations: Provided further, That not later than 10 days prior to the initial obligation of funds reserved under this section, the Secretary shall submit to the Committees on Appropriations of the Senate and the House of Representatives, the Committee on Health, Education, Labor and Pensions of the Senate, and the Committee on Education and Labor of the House of Representatives a plan that identifies the source and amount of funds reserved under this section, the impact on program grantees if funds are withheld for the purposes of this section, and the activities to be carried out with such funds. (Department of Education Appropriations Act, 2021.)*

## GENERAL PROVISIONS

### 【EDUCATION STABILIZATION FUND】

#### 【SEC. 311. (a)

**ALLOCATIONS.**—From the amount made available under this heading in this Act to carry out the Education Stabilization Fund, the Secretary shall first allocate—

(1) one-half of 1 percent to the outlying areas for supplemental awards to be allocated not more than 30 calendar days from the date of enactment of this Act on the basis of the terms and conditions for funding provided under section 18001(a)(1) of the Coronavirus Aid, Relief, and Economic Security (CARES) Act (Public Law 116–136); and

(2) one-half of 1 percent for a supplemental award to be allocated to the Secretary of Interior not more than 30 calendar days from enactment of this Act for programs operated or funded by the Bureau of Indian Education (BIE) under the terms and conditions established for funding provided under section 18001(a)(2) of the CARES Act (Public Law 116–136), for BIE-operated and funded elementary and secondary schools and Tribal Colleges and Universities, except that funding shall be allocated as follows:

(A) 60 percent for Bureau-funded schools, as defined in 25 U.S.C. 2021, provided that such schools may not be required to submit a spending plan before receipt of funding.

(B) 40 percent for Tribal Colleges and Universities, which shall be distributed according to the formula in section 316(d)(3) of the Higher Education Act of 1965 ("HEA").

(b) **RESERVATIONS.**—After carrying out subsection (a), the Secretary shall reserve the remaining funds made available as follows:

(1) 5 percent to carry out section 312 of this title.

(2) 67 percent to carry out section 313 of this title.

(3) 28 percent to carry out section 314 of this title.】

### 【GOVERNOR'S EMERGENCY EDUCATION RELIEF FUND】

#### 【SEC. 312. (a) **PROGRAM AUTHORIZED.**—

(1) From funds reserved under section 311(b)(1) of this title and not reserved under paragraph (2), the Secretary shall make supplemental Emergency Education Relief grants to the Governor of each State with an approved application under section 18002 of division B of the CARES Act (Public Law 116–136). The Secretary shall award funds under this section to the Governor of each State with an approved application within 30 calendar days of the date of enactment of this Act.

(2) **RESERVATION.**—From funds made available under section 311(b)(1) of this title, the Secretary shall reserve \$2,750,000,000 of such funds to provide Emergency Assistance to Non-Public Schools grants, in accordance with subsection (d), to the Governor of each State with an approved application under subsection (d)(2).

(b) **ALLOCATIONS.**—The amount of each grant under subsection (a)(1) shall be allocated by the Secretary to each State as follows:

(1) 60 percent on the basis of their relative population of individuals aged 5 through 24.

(2) 40 percent on the basis of their relative number of children counted under section 1124(c) of the Elementary and Secondary Education Act of 1965 ("ESEA").

(c) **USES OF FUNDS.**—Grant funds awarded under subsection (a)(1) may be used to—

(1) provide emergency support through grants to local educational agencies that the State educational agency deems have been most significantly impacted by coronavirus to support the ability of such local educational agencies to continue to provide educational services to their students and to support the on-going functionality of the local educational agency;

(2) provide emergency support through grants to institutions of higher education serving students within the State that the Governor determines have been most significantly impacted by coronavirus to support the ability of such institutions to continue to provide educational services and support the on-going functionality of the institution; and

(3) provide support to any other institution of higher education, local educational agency, or education related entity within the State that the Governor deems essential for carrying out emergency educational services to students for authorized activities described in section 313(d)(1) of this title or the HEA; the provision of child care and early childhood education, social and emotional support; and the protection of education-related jobs.

**(d) EMERGENCY ASSISTANCE TO NON-PUBLIC SCHOOLS.—**

**(1) PROGRAM AUTHORIZED.—**

(A) **IN GENERAL.**—With funds reserved under subsection (a)(2), the Secretary shall allot the amount described in subparagraph (B) to the Governor of each State with an approved application under paragraph (2) in order to provide services or assistance to non-public schools under this subsection. The Governor shall designate the State educational agency to administer the program authorized under this subsection.

(B) **AMOUNT OF ALLOTMENT.**—An allotment for a State under subparagraph (A) shall be in the amount that bears the same relationship to the total amount of the funds reserved under subsection (a)(2) as the number of children aged 5 through 17 at or below 185 percent of poverty who are enrolled in non-public schools in the State (as determined by the Secretary on the basis of the best available data) bears to the total number of all such children in all States.

**(2) APPLICATIONS FROM STATES.—**

(A) **APPLICATION REQUEST AND REVIEW.**—The Secretary shall—

- (i) issue a notice inviting applications for funds reserved under subsection (a)(2) not later than 30 days after the date of enactment of this Act; and
- (ii) approve or deny an application not later than 15 days after the receipt of the application.

(B) **ASSURANCE.**—The Governor of each State, in consultation with their respective State educational agency, shall include in the application submitted under this paragraph an assurance that the State educational agency will—

- (i) distribute information about the program to non-public schools and make the information and the application easily available;
- (ii) process all applications submitted promptly, in accordance with subparagraph (3)(A)(ii);
- (iii) in providing services or assistance to non-public schools, ensure that services or assistance is provided to any non-public school that—
  - (I) is a non-public school described in paragraph (3)(C);
  - (II) submits an application that meets the requirements of paragraph (3)(B); and
  - (III) requests services or assistance allowable under paragraph (4);
- (iv) to the extent practicable, obligate all funds provided under subsection (a)(2) for services or assistance to non-public schools in the State in an expedited and timely manner; and
- (v) obligate funds to provide services or assistance to non-public schools in the State not later than 6 months after receiving such funds under subsection (a)(2).

**(3) APPLICATIONS FOR SERVICES OR ASSISTANCE.—**

(A) **APPLICATION REQUEST AND REVIEW.**—A State educational agency receiving funds from the Governor under this subsection shall—

- (i) make the application for services or assistance described in subparagraph (B) available to non-public schools by not later than 30 days after the receipt of such funds; and
- (ii) approve or deny an application not later than 30 days after the receipt of the application.

(B) **APPLICATION REQUIREMENTS.**—Each non-public school desiring services or assistance under this subsection shall submit an application to the State educational agency at such time, in such manner, and accompanied by such information as the State educational agency may reasonably require to ensure expedited and timely provision of services or assistance to the non-public school, which shall include—

- (i) the number and percentage of students from low-income families enrolled by such non-public school in the 2019–2020 school year;
- (ii) a description of the emergency services authorized under paragraph (4) that such non-public school requests to be provided by the State educational agency; and
- (iii) whether the non-public school requesting services or assistance under this subsection received a loan guaranteed under paragraph (36) of section

7(a) of the Small Business Act (15 U.S.C. 636(a)) that was made before the date of enactment of this Act and the amount of any such loan received.

(C) **TARGETING.**—A State educational agency receiving funds under this subsection shall prioritize services or assistance to non-public schools that enroll low-income students and are most impacted by the qualifying emergency.

(4) **TYPES OF SERVICES OR ASSISTANCE.**—A non-public school receiving services or assistance under this subsection shall use such services or assistance to address educational disruptions resulting from the qualifying emergency for—

- (A) supplies to sanitize, disinfect, and clean school facilities;
- (B) personal protective equipment;
- (C) improving ventilation systems, including windows or portable air purification systems to ensure healthy air in the non-public school;
- (D) training and professional development for staff on sanitation, the use of personal protective equipment, and minimizing the spread of infectious diseases;
- (E) physical barriers to facilitate social distancing;
- (F) other materials, supplies, or equipment to implement public health protocols, including guidelines and recommendations from the Centers for Disease Control and Prevention for the reopening and operation of school facilities to effectively maintain the health and safety of students, educators, and other staff during the qualifying emergency;

(G) expanding capacity to administer coronavirus testing to effectively monitor and suppress coronavirus, to conduct surveillance and contact tracing activities, and to support other activities related to coronavirus testing for students, teachers, and staff at the non-public school;

(H) educational technology (including hardware, software, connectivity, assistive technology, and adaptive equipment) to assist students, educators, and other staff with remote or hybrid learning;

(I) redeveloping instructional plans, including curriculum development, for remote learning, hybrid learning, or to address learning loss;

(J) leasing of sites or spaces to ensure safe social distancing to implement public health protocols, including guidelines and recommendations from the Centers for Disease Control and Prevention;

(K) reasonable transportation costs;

(L) initiating and maintaining education and support services or assistance for remote learning, hybrid learning, or to address learning loss; or

(M) reimbursement for the expenses of any services or assistance described in this paragraph (except for subparagraphs (C) (except that portable air purification systems shall be an allowable reimbursable expense), (D), (I), and (L)) that the non-public school incurred on or after the date of the qualifying emergency, except that any non-public school that has received a loan guaranteed under paragraph (36) of section 7(a) of the Small Business Act (15 U.S.C. 636(a)) as of the day prior to the date of enactment of this Act shall not be eligible for reimbursements described in this paragraph for any expenses reimbursed through such loan.

(5) **ADMINISTRATION.**—A State educational agency receiving funds under this subsection may reserve not more than the greater of \$200,000 or one-half of 1 percent of such funds to administer the services and assistance provided under this subsection to non-public schools.

(6) **REALLOCATION.**—Notwithstanding paragraph (1)(A), each State educational agency receiving funds under this subsection that complies with paragraph (2) but has unobligated funds remaining 6 months after receiving funds under this subsection shall return such remaining unobligated funds to the Governor, to use for any use authorized under subsection (c).

**(7) PUBLIC CONTROL OF FUNDS.—**

(A) **IN GENERAL.**—The control of funds for the services or assistance provided to a non-public school under this subsection, and title to materials, equipment, and property purchased with such funds, shall be in a public agency, and a public agency shall administer such funds, services, assistance, materials, equipment, and property.

**(B) PROVISION OF SERVICES OR ASSISTANCE.—**

(i) **PROVIDER.**—The provision of services or assistance to a non-public school under this subsection shall be provided—

- (I) by employees of a public agency; or
- (II) through contract by such public agency with an individual, association, agency, or organization.

(ii) **REQUIREMENT.**—In the provision of services or assistance described in clause (i), such employee, individual, association, agency, or organization shall be independent of the non-public school receiving such services or assistance, and such employment and contracts shall be under the control and supervision of such public agency described in subparagraph (A).

(8) **SECULAR, NEUTRAL, AND NON-IDEOLOGICAL.**—All services or assistance provided under this subsection, including providing equipment, materials, and any other items, shall be secular, neutral, and non-ideological.

(9) **INTERACTION WITH PAYCHECK PROTECTION PROGRAM.**—

(A) **IN GENERAL.**—In order to be eligible to receive services or assistance under this subsection, a non-public school shall submit to the State an assurance, including any documentation required by the Secretary, that such non-public school did not, and will not, apply for and receive a loan under paragraphs (36) or (37) of section 7(a) of the Small Business Act (15 U.S.C. 636(a)(37)) that is made on or after the date of enactment of this Act.

(B) **ALLOWANCE.**—A non-public school that received a loan guaranteed under paragraph (36) of section 7(a) of the Small Business Act (15 U.S.C. 636(a)) that was made before the date of enactment of this Act shall be eligible to receive services or assistance under this subsection.

(e) **RESTRICTIONS.**—

(1) Funds provided under this section shall not be used—

(A) to provide direct or indirect financial assistance to scholarship granting organizations or related entities for elementary or secondary education; or

(B) to provide or support vouchers, tuition tax credit programs, education savings accounts, scholarships, scholarship programs, or tuition-assistance programs for elementary or secondary education.

(2) **EXCEPTION.**—Notwithstanding paragraph (1), a State may use funds provided under subsection (a)(1) to provide assistance prohibited under paragraph (1) only to students who receive or received such assistance with funds provided under section 18002(a) of division B of the CARES Act (20 U.S.C. 3401 note), for the 2020–2021 school year and only for the same assistance provided such students under such section.

(3) **RULE OF CONSTRUCTION.**—Nothing in this subsection shall be interpreted to apply any additional restrictions to funds provided in section 18002(a) of division B of the CARES Act (20 U.S.C. 3401 note).

(f) **REALLOCATION.**—Each Governor shall return to the Secretary any funds received under paragraph (1) or (2) of subsection (a) that the Governor does not award or obligate not later than 1 year after the date of receipt of such funds, and the Secretary shall reallocate such funds to the remaining States in accordance with subsection (b) for uses authorized under subsection (c).】

【ELEMENTARY AND SECONDARY SCHOOL EMERGENCY RELIEF FUND】

【SEC. 313. (a) **GRANTS.**—From funds reserved under section 311(b)(2) of this title, the Secretary shall make supplemental elementary and secondary school emergency relief grants to each State educational agency with an approved application under section 18003 of division B of the CARES Act (Public Law 116–136). The Secretary shall award funds under this section to each State educational agency with an approved application within 30 calendar days of the date of enactment of this Act.

(b) **ALLOCATIONS TO STATES.**—The amount of each grant under subsection (a) shall be allocated by the Secretary to each State in the same proportion as each State received under part A of title I of the ESEA of 1965 in the most recent fiscal year.

(c) **SUBGRANTS TO LOCAL EDUCATIONAL AGENCIES.**—Each State shall allocate not less than 90 percent of the grant funds awarded to the State under this section as subgrants to local educational agencies (including charter schools that are local educational agencies) in the State in proportion to the amount of funds such local educational agencies and charter schools that are local educational agencies received under part A of title I of the ESEA of 1965 in the most recent fiscal year.

(d) **USES OF FUNDS.**—A local educational agency that receives funds under this section may use the funds for any of the following:

(1) Any activity authorized by the ESEA of 1965, including the Native Hawaiian Education Act and the Alaska Native Educational Equity, Support, and Assistance Act (20 U.S.C. 6301 et seq.), the Individuals with Disabilities Education Act (20 U.S.C. 1400 et seq.) ("IDEA"), the Adult Education and Family Literacy Act (20 U.S.C. 1400 et seq.), the Carl D. Perkins Career and Technical Education Act of 2006 (20 U.S.C. 2301 et seq.) ("the Perkins Act"), or subtitle B of title VII of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11431 et seq.).

(2) Coordination of preparedness and response efforts of local educational agencies with State, local, Tribal, and territorial public health departments, and other relevant agencies, to improve coordinated responses among such entities to prevent, prepare for, and respond to coronavirus.

(3) Providing principals and others school leaders with the resources necessary to address the needs of their individual schools.

(4) Activities to address the unique needs of low-income children or students, children with disabilities, English learners, racial and ethnic minorities, students

experiencing homelessness, and foster care youth, including how outreach and service delivery will meet the needs of each population.

(5) Developing and implementing procedures and systems to improve the preparedness and response efforts of local educational agencies.

(6) Training and professional development for staff of the local educational agency on sanitation and minimizing the spread of infectious diseases.

(7) Purchasing supplies to sanitize and clean the facilities of a local educational agency, including buildings operated by such agency.

(8) Planning for, coordinating, and implementing activities during long-term closures, including providing meals to eligible students, providing technology for online learning to all students, providing guidance for carrying out requirements under the IDEA and ensuring other educational services can continue to be provided consistent with all Federal, State, and local requirements.

(9) Purchasing educational technology (including hardware, software, and connectivity) for students who are served by the local educational agency that aids in regular and substantive educational interaction between students and their classroom instructors, including low-income students and children with disabilities, which may include assistive technology or adaptive equipment.

(10) Providing mental health services and supports.

(11) Planning and implementing activities related to summer learning and supplemental afterschool programs, including providing classroom instruction or online learning during the summer months and addressing the needs of low-income students, children with disabilities, English learners, migrant students, students experiencing homelessness, and children in foster care.

(12) Addressing learning loss among students, including low-income students, children with disabilities, English learners, racial and ethnic minorities, students experiencing homelessness, and children and youth in foster care, of the local educational agency, including by—

(A) Administering and using high-quality assessments that are valid and reliable, to accurately assess students' academic progress and assist educators in meeting students' academic needs, including through differentiating instruction.

(B) Implementing evidence-based activities to meet the comprehensive needs of students.

(C) Providing information and assistance to parents and families on how they can effectively support students, including in a distance learning environment.

(D) Tracking student attendance and improving student engagement in distance education.

(13) School facility repairs and improvements to enable operation of schools to reduce risk of virus transmission and exposure to environmental health hazards, and to support student health needs.

(14) Inspection, testing, maintenance, repair, replacement, and upgrade projects to improve the indoor air quality in school facilities, including mechanical and non-mechanical heating, ventilation, and air conditioning systems, filtering, purification and other air cleaning, fans, control systems, and window and door repair and replacement.

(15) Other activities that are necessary to maintain the operation of and continuity of services in local educational agencies and continuing to employ existing staff of the local educational agency.

(e) **STATE FUNDING.**—With funds not otherwise allocated under subsection (c), a State may reserve not more than one-half of 1 percent for administrative costs and the remainder for emergency needs as determined by the state educational agency to address issues responding to coronavirus, including measuring and addressing learning loss, which may be addressed through the use of grants or contracts.

(f) **REPORT.**—A State receiving funds under this section shall submit a report to the Secretary, not later than 6 months after receiving funding provided in this Act, in such manner and with such subsequent frequency as the Secretary may require, that provides a detailed accounting of the use of funds provided under this section, including how the State is using funds to measure and address learning loss among students disproportionately affected by coronavirus and school closures, including low-income students, children with disabilities, English learners, racial and ethnic minorities, students experiencing homelessness, and children and youth in foster care.

(g) **REALLOCATION.**—A State shall return to the Secretary any funds received under this section that the State does not award within 1 year of receiving such funds and the Secretary shall reallocate such funds to the remaining States in accordance with subsection (b).】

【HIGHER EDUCATION EMERGENCY RELIEF FUND】

【SEC. 314. (a) IN GENERAL.—From funds reserved under section 311(b)(3) of this title the Secretary shall allocate amounts to institutions of higher education with an approved application as follows:

(1) 89 percent to each institution of higher education as defined in section 101 or section 102(c) of the HEA to prevent, prepare for, and respond to coronavirus, by apportioning it—

(A) 37.5 percent according to the relative share of full-time equivalent enrollment of students who were Federal Pell Grant recipients and who were not exclusively enrolled in distance education courses prior to the qualifying emergency;

(B) 37.5 percent according to the relative share of the total number of students who were Federal Pell Grant recipients and who were not exclusively enrolled in distance education courses prior to the qualifying emergency;

(C) 11.5 percent according to the relative share of full-time equivalent enrollment of students who were not Federal Pell Grant recipients and who were not exclusively enrolled in distance education courses prior to the qualifying emergency;

(D) 11.5 percent according to the relative share of the total number of students who were not Federal Pell Grant recipients and who were not exclusively enrolled in distance education courses prior to the qualifying emergency;

(E) 1 percent according to the relative share of full-time equivalent enrollment of students who were Federal Pell grant recipients and who were exclusively enrolled in distance education courses prior to the qualifying emergency; and

(F) 1 percent according to the relative share of the total number of students who were Federal Pell grant recipients and who were exclusively enrolled in distance education courses prior to the qualifying emergency.

(2) 7.5 percent for additional awards under parts A and B of title III, parts A and B of title V, and subpart 4 of part A of title VII of the HEA to address needs directly related to coronavirus, that shall be in addition to awards made in subsection (a)(1), and allocated by the Secretary proportionally to such programs based on the relative share of funding appropriated to such programs in the Further Consolidated Appropriations Act, 2020 (Public Law 116–94) and distributed to eligible institutions of higher education, except as otherwise provided in subparagraphs (A) through (C), on the basis of the formula described in subparagraphs (A) through (F) of subsection (a)(1):

(A) Except as otherwise provided in subparagraph (2)(B), for eligible institutions under part B of title III and subpart 4 of part A of title VII of the HEA, the Secretary shall allot to each eligible institution an amount using the following formula:

(i) 70 percent according to a ratio equivalent to the number of Pell Grant recipients in attendance at such institution at the end of the school year preceding the beginning of the most recent fiscal year and the total number of Pell Grant recipients at all such institutions;

(ii) 20 percent according to a ratio equivalent to the total number of students enrolled at such institution at the end of the school year preceding the beginning of that fiscal year and the number of students enrolled at all such institutions; and

(iii) 10 percent according to a ratio equivalent to the total endowment size at all eligible institutions at the end of the school year preceding the beginning of that fiscal year and the total endowment size at such institution;

(B) For eligible institutions under section 326 of the HEA, the Secretary shall allot to each eligible institution an amount in proportion to the award received from funding for such institutions in the Further Consolidated Appropriations Act, 2020 (Public Law 116–94); and

(C) For eligible institutions under section 316 of the HEA, the Secretary shall allot funding according to the formula in section 316(d)(3) of the HEA.

(3) 0.5 percent for part B of title VII of the HEA for institutions of higher education that the Secretary determines have, after allocating other funds available under this section, the greatest unmet needs related to coronavirus, including institutions of higher education with large populations of graduate students and institutions of higher education that did not otherwise receive an allocation under this section. In awarding funds under this paragraph, the Secretary shall publish an application for such funds no later than 60 calendar days of enactment of this Act, and shall provide a briefing to the Committees on Appropriations of the House of Representatives and the Senate no later than 7 days prior to publishing such application.

(4) 3 percent to institutions of higher education as defined in section 102(b) of the HEA allocated on the basis of the formula described in subparagraphs (A) through (F) of subsection (a)(1).

(b)

(1) DISTRIBUTION.—The funds made available to each institution under subsection (a)(1) shall be distributed by the Secretary using the same systems as the Secretary otherwise distributes funding to institutions under title IV of the HEA.

(2) The Secretary shall allocate amounts to institutions of higher education under this section, to the extent practicable, as follows:

(A) under subsections (a)(1) and (a)(4) within 30 calendar days of the date of enactment of this Act;

(B) under subsection (a)(2) within 60 calendar days of the date of enactment of this Act; and

(C) under subsection (a)(3) within 120 calendar days of enactment of this Act.

(c) USES OF FUNDS.—An institution of higher education receiving funds under this section may use the funds received to—

(1) defray expenses associated with coronavirus (including lost revenue, reimbursement for expenses already incurred, technology costs associated with a transition to distance education, faculty and staff trainings, and payroll);

(2) carry out student support activities authorized by the HEA that address needs related to coronavirus; or

(3) provide financial aid grants to students (including students exclusively enrolled in distance education), which may be used for any component of the student's cost of attendance or for emergency costs that arise due to coronavirus, such as tuition, food, housing, health care (including mental health care), or child care. In making financial aid grants to students, an institution of higher education shall prioritize grants to students with exceptional need, such as students who receive Pell Grants.

(d) SPECIAL PROVISIONS.—

(1) A Historically Black College and University or a Minority Serving Institution may use prior awards provided under titles III, V, and VII of the Higher Education Act to prevent, prepare for, and respond to coronavirus.

(2) An institution of higher education awarded funds under section 18004 of division B of the CARES Act (Public Law 116–136) prior to the date of enactment of this Act may use those funds under the terms and conditions of section 314(c) of this title, subject to the requirements in paragraph (5). Amounts repurposed pursuant to this paragraph that were previously designated by the Congress as an emergency requirement pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985 are designated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985.

(3) No funds received by an institution of higher education under this section shall be used to fund contractors for the provision of pre-enrollment recruitment activities; marketing or recruitment; endowments; capital outlays associated with facilities related to athletics, sectarian instruction, or religious worship; senior administrator or executive salaries, benefits, bonuses, contracts, incentives; stock buybacks, shareholder dividends, capital distributions, and stock options; or any other cash or other benefit for a senior administrator or executive.

(4) Any funds that remain available for obligation as of the date of enactment of this Act to carry out section 18004(a)(1) of the CARES Act (Public Law 116–136) or under the heading "Safe Schools and Citizenship Education" of such Act shall be used by the Secretary to carry out section 314(a)(1) of this title: *Provided*, That amounts repurposed pursuant to this paragraph that were previously designated by the Congress as an emergency requirement pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985 are designated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985.

(5) Institutions of higher education receiving allocations under section 314(a)(1) of this title shall provide at least the same amount of funding in emergency financial aid grants to students as was required to be provided under sections 18004(a)(1) and (c) of division B of the CARES Act (Public Law 116–136). An institution of higher education that repurposes funds pursuant to paragraph (2) shall ensure that not less than 50 percent of the funds received under section 18004(a)(1) of division B of the CARES Act (Public Law 116–136) are used for financial aid grants to students under either section 18004(c) of division B of the CARES Act or section 314(c)(3) of this title, or a combination of those sections: *Provided*, That amounts repurposed pursuant to this paragraph that were previously designated by the Congress as an emergency requirement pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985 are designated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985.

(6)

(A) An institution of higher education that was required to remit payment to the Internal Revenue Service for the excise tax based on investment income of private colleges and universities under section 4968 of the Internal Revenue Code of 1986 for tax year 2019 shall have its allocation under this section reduced by 50 percent and may only use funds for activities described in paragraph (c)(3), or for sanitation, personal protective equipment, or other expenses associated with the general health and safety of the campus environment related to the qualifying emergency. This paragraph shall not apply to an institution of higher education designated by the Secretary as an eligible institution under section 448 of the HEA.

(B) **WAIVER AUTHORITY.**—The Secretary may waive the requirements of subparagraph (A) if, upon application, an institution of higher education demonstrates need (including need for additional funding for financial aid grants to students, payroll expenses, or other expenditures) for the total amount of funds such institution is allocated under section 314(a)(1) of this title. The Secretary shall provide and make publicly available a written justification for the denial of any application for a waiver under this subparagraph.

(7) An institution of higher education as defined in section 102(b) of the HEA may only use funds received under this section for activities described in subsection (c)(3).

(8) An institution of higher education with an approved application under section 18004(a) of division B of the CARES Act (Public Law 116–136) prior to the date of enactment of this Act shall not be required to submit a new or revised application to receive funds under this section provided such funds are subject to the terms and conditions of this section.

(9) An institution of higher education receiving funds under subsections (a)(1)(E) or (F) may only use funds apportioned by such subparagraphs for activities described in subsection (c)(3).

(e) **REPORT.**—An institution receiving funds under this section shall submit a report to the Secretary, not later than 6 months after receiving funding provided in this Act, in such manner and with such subsequent frequency as the Secretary may require, that provides a detailed accounting of the use of funds provided under this section.

(f) **REALLOCATION.**—Any funds allocated to an institution of higher education under this section on the basis of a formula described in subsections (a)(1), (a)(2), and (a)(4) but for which an institution does not apply for funding within 90 days of the publication of the notice inviting applications, shall be reallocated to eligible institutions that had submitted an application by such date in accordance with the formula described in subsection (a)(1). **■**

#### **【CONTINUED PAYMENT TO EMPLOYEES】**

**【SEC. 315.** A local educational agency, State, institution of higher education, or other entity that receives funds provided under the heading "Education Stabilization

Fund", shall, to the greatest extent practicable, continue to pay its employees and contractors during the period of any disruptions or closures related to coronavirus. **】**

#### **【DEFINITIONS】**

**【SEC. 316.** Except as otherwise provided in sections 311 through 316 of this title, as used in such sections—

(1) the terms "elementary education" and "secondary education" have the meaning given such terms under State law;

(2) the term "institution of higher education" has the meaning given such term in title I of the HEA;

(3) the term "Secretary" means the Secretary of Education;

(4) the term "State" means each of the 50 States, the District of Columbia, and the Commonwealth of Puerto Rico;

(5) the term "cost of attendance" has the meaning given such term in section 472 of the HEA;

(6) the term "Non-public school" means a non-public elementary and secondary school that—

(A) is accredited, licensed, or otherwise operates in accordance with State law; and

(B) was in existence prior to the date of the qualifying emergency for which grants are awarded under this title;

(7) the term "public school" means a public elementary or secondary school;

(8) any other term used that is defined in section 8101 of the ESEA of 1965 shall have the meaning given the term in such section; and

(9) the term "qualifying emergency" has the meaning given the term in section 3502(a)(4) of the Coronavirus Aid, Relief, and Economic Security Act (Public Law 116–136). **】**

#### **【MAINTENANCE OF EFFORT】**

**【SEC. 317.** (a) At the time of award of funds to carry out sections 312 or 313 of this title, a State shall provide assurances that such State will maintain support for elementary and secondary education, and for higher education (which shall include State funding to institutions of higher education and state need-based financial aid, and shall not include support for capital projects or for research and development or tuition and fees paid by students) in fiscal year 2022 at least at the proportional levels of such State's support for elementary and secondary education and for higher education relative to such State's overall spending, averaged over fiscal years 2017, 2018, and 2019.

(b) The Secretary may waive the requirement in subsection (a) for the purpose of relieving fiscal burdens on States that have experienced a precipitous decline in financial resources. **】**

*(Coronavirus Response and Relief Supplemental Appropriations Act, 2021.)*

