

OTHER INDEPENDENT AGENCIES

ACCESS BOARD

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Access Board, as authorized by section 502 of the Rehabilitation Act of 1973, as amended, \$9,200,000: *Provided*, That, notwithstanding any other provision of law, there may be credited to this appropriation funds received for publications and training expenses: *Provided further*, That of this amount, \$800,000 shall be for activities authorized under section 432 of Public Law 115–254. (*Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 310–3200–0–1–751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and expenses	8	9	9
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	8	9	9
1930 Total budgetary resources available	8	9	9
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	1	1
3010 New obligations, unexpired accounts	8	9	9
3020 Outlays (gross)	–9	–9	–9
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	8	9	9
Outlays, gross:			
4010 Outlays from new discretionary authority	7	8	8
4011 Outlays from discretionary balances	2	1	1
4020 Outlays, gross (total)	9	9	9
4180 Budget authority, net (total)	8	9	9
4190 Outlays, net (total)	9	9	9

The Architectural and Transportation Barriers Compliance Board (Access Board) was established by section 502 of the Rehabilitation Act of 1973. The Access Board is responsible for developing guidelines under the Americans with Disabilities Act, the Architectural Barriers Act, and the Telecommunications Act. These guidelines ensure that buildings and facilities, transportation vehicles, and telecommunications equipment covered by these laws are readily accessible to and usable by people with disabilities. The Board is also responsible for developing standards under section 508 of the Rehabilitation Act for accessible electronic and information technology used by Federal agencies and standards under section 510 of the Rehabilitation Act for accessible medical diagnostic equipment. In addition, the Access Board enforces the Architectural Barriers Act, and provides training and technical assistance on the guidelines and standards it develops.

The Board also has additional responsibilities under the Help America Vote Act. The Board serves on the Board of Advisors and the Technical Guidelines Development Committee, which helps the Election Assistance Commission develop voluntary guidelines and guidance for voting systems, including accessibility for people with disabilities.

Object Classification (in millions of dollars)

Identification code 310–3200–0–1–751	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	4	4
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	1	1	1

25.1	Advisory and assistance services	1	1	2
25.3	Other goods and services from Federal sources	2	2	1
99.9	Total new obligations, unexpired accounts	8	9	9

Employment Summary

Identification code 310–3200–0–1–751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	26	34	34

ADMINISTRATIVE CONFERENCE OF THE UNITED STATES

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Administrative Conference of the United States, authorized by 5 U.S.C. 591 et seq., [\$3,250,000] \$3,500,000, to remain available until September 30, [2021] 2022, of which not to exceed \$1,000 is for official reception and representation expenses. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 302–1700–0–1–751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	3	3	4
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	3	4
1930 Total budgetary resources available	3	3	4
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	3	3	4
3020 Outlays (gross)	–3	–3	–4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	3	4
Outlays, gross:			
4010 Outlays from new discretionary authority	3	2	3
4011 Outlays from discretionary balances	1	1
4020 Outlays, gross (total)	3	3	4
4180 Budget authority, net (total)	3	3	4
4190 Outlays, net (total)	3	3	4

The Administrative Conference of the United States is an independent agency that assists the President, the Congress, the Judicial Conference, and Federal agencies in improving the regulatory and legal process through consensus-driven applied research. The Conference analyzes the administrative law process and, among its many activities, issues formal recommendations for improvements that reduce costs to government agencies, promote effective public participation in the rulemaking process, and reduce unnecessary litigation. The Conference is a public-private partnership comprised of senior government officials and private sector leaders in law, business, and academia.

Object Classification (in millions of dollars)

Identification code 302–1700–0–1–751	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
25.1 Advisory and assistance services	1	1	2
99.0 Direct obligations	3	3	4
99.9 Total new obligations, unexpired accounts	3	3	4

SALARIES AND EXPENSES—Continued

Employment Summary

Identification code 302-1700-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	14	14	14

ADVISORY COUNCIL ON HISTORIC PRESERVATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Advisory Council on Historic Preservation (Public Law 89-665), **[\$7,378,000] \$7,400,000.** (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 306-2300-0-1-303	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	7	7	7
0801 Salaries and Expenses (Reimbursable)	1	2	2
0900 Total new obligations, unexpired accounts	8	9	9
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	7	7	7
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1	1
1701 Change in uncollected payments, Federal sources	-1	1	1
1750 Spending auth from offsetting collections, disc (total)		2	2
1900 Budget authority (total)	7	9	9
1930 Total budgetary resources available	8	9	9
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3		
3010 New obligations, unexpired accounts	8	9	9
3020 Outlays (gross)	-11	-9	-9
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2		-1
3070 Change in uncollected pymts, Fed sources, unexpired	1	-1	-1
3071 Change in uncollected pymts, Fed sources, expired	1		
3090 Uncollected pymts, Fed sources, end of year		-1	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1		-1
3200 Obligated balance, end of year		-1	-2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	7	9	9
Outlays, gross:			
4010 Outlays from new discretionary authority	7	9	9
4011 Outlays from discretionary balances	2		
4020 Outlays, gross (total)	9	9	9
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-1	-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	1	-1	-1
4070 Budget authority, net (discretionary)	7	7	7
4080 Outlays, net (discretionary)	8	8	8
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	2		
4180 Budget authority, net (total)	7	7	7
4190 Outlays, net (total)	10	8	8

The Council advises the President and the Congress on national historic preservation policy and promotes the preservation, enhancement, and productive use of our Nation's historic resources.

Object Classification (in millions of dollars)

Identification code 306-2300-0-1-303	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	4	4
23.2 Rental payments to others	1	1	1
25.3 Other goods and services from Federal sources	1	2	2
99.0 Direct obligations	6	7	7
99.0 Reimbursable obligations	1	1	1
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	8	9	9

Employment Summary

Identification code 306-2300-0-1-303	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	35	37	37
2001 Reimbursable civilian full-time equivalent employment	6	6	6

ALYCE SPOTTED BEAR AND WALTER SOBOLEFF
COMMISSION ON NATIVE CHILDREN

Federal Funds

ALYCE SPOTTED BEAR AND WALTER SOBOLEFF COMMISSION ON NATIVE
CHILDREN

[(INCLUDING TRANSFER OF FUNDS)]

For necessary expenses of the Alyce Spotted Bear and Walter Soboleff Commission on Native Children (referred to in this paragraph as the "Commission"), \$500,000, to remain available until September 30, 2021: *Provided*, That amounts made available to the Commission under the heading "Department of the Interior-Departmental Operations-Office of the Secretary-Departmental Operations" in division E of the Consolidated Appropriations Act, 2019 (Public Law 116-6) may be transferred to or merged with such amounts: *Provided further*, That in addition to the authority provided by section 3(g)(5) and 3(h) of Public Law 114-244, the Commission may hereafter accept in-kind personnel services, contractual support, or any appropriate support from any executive branch agency for activities of the Commission. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 545-2987-0-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity			1
0900 Total new obligations, unexpired accounts (object class 99.5)			1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation		1	
1930 Total budgetary resources available		1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		1	
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			1
3020 Outlays (gross)			-1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross		1	
Outlays, gross:			
4011 Outlays from discretionary balances			1
4180 Budget authority, net (total)		1	
4190 Outlays, net (total)			1

The Alyce Spotted Bear and Walter Soboleff Commission on Native Children was established by Public Law 114-244 to conduct a comprehensive study of Federal, State, local and tribal programs that serve Native

children, and to make recommendations on ways those programs can be improved. The Commission receives support from Federal agencies, including the Department of the Interior, and will utilize available resources for its ongoing activities.

APPALACHIAN REGIONAL COMMISSION

Federal Funds

APPALACHIAN REGIONAL COMMISSION

For expenses necessary to carry out the programs authorized by the Appalachian Regional Development Act of 1965, *as amended, notwithstanding 40 U.S.C. 14704*, and for expenses necessary for the Federal Co-Chairman and the Alternate on the Appalachian Regional Commission, for payment of the Federal share of the administrative expenses of the Commission, including services as authorized by 5 U.S.C. 3109, and hire of passenger motor vehicles, **[\$175,000,000] \$165,000,000**, to remain available until expended. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 309-0200-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0102 Area development and technical assistance program	162	172	172
0103 Local development districts program	7	7	7
0191 Total Appalachian regional development programs	169	179	179
0201 Federal co-chairman and staff	1	1	1
0202 Administrative expenses	6	6	6
0203 Programmatic Salaries and Expenses	3	3	3
0291 Total salaries and expenses	10	10	10
0799 Total direct obligations	179	189	189
0801 Reimbursable program activity	3	3	3
0900 Total new obligations, unexpired accounts	182	192	192
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	90	85	81
1001 Discretionary unobligated balance brought fwd, Oct 1	90	85	
1021 Recoveries of prior year unpaid obligations	9	9	9
1050 Unobligated balance (total)	99	94	90
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	165	175	165
Spending authority from offsetting collections, discretionary:			
1700 Collected		1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected	3	3	3
1900 Budget authority (total)	168	179	169
1930 Total budgetary resources available	267	273	259
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	85	81	67
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	293	355	331
3010 New obligations, unexpired accounts	182	192	192
3020 Outlays (gross)	-111	-207	-226
3040 Recoveries of prior year unpaid obligations, unexpired	-9	-9	-9
3050 Unpaid obligations, end of year	355	331	288
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	293	355	331
3200 Obligated balance, end of year	355	331	288

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	165	176	166
Outlays, gross:			
4010 Outlays from new discretionary authority	28	58	55
4011 Outlays from discretionary balances	80	146	168
4020 Outlays, gross (total)	108	204	223
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total)	-1	-1	-1

Mandatory:			
4090 Budget authority, gross	3	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority	3	3	3
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-3	-3	-3
4180 Budget authority, net (total)	165	175	165
4190 Outlays, net (total)	108	203	222

The Appalachian Regional Commission (ARC) was established as a Federal-State partnership in 1965 to invest in sustainable economic development in the 420-county Appalachian Region. The Commission is comprised of 13 members representing the states in the region and a Federal Co-Chairman, who represents the Federal Government. ARC's mission is to help the Appalachian Region plan and coordinate regional investments and target resources to those communities with the greatest needs by innovating, partnering, and investing to build community capacity and strengthening economic growth.

ARC's activities include area development, technical assistance, capacity-building, research, and coordination of regional investments and initiatives. ARC also assists communities through support of 73 multi-county Local Development Districts (LDDs) that assist local governments in implementing economic and community development strategies. In addition, ARC administers a competitive grant program for communities adversely impacted by the declining use of coal to develop economic diversification activities in emerging opportunity sectors.

Salaries and expenses.—In this Federal-State partnership, the Federal Government supports the Federal staff and contributes half of the non-Federal administrative expenses. The other half of these expenses are provided by member States.

Object Classification (in millions of dollars)

Identification code 309-0200-0-1-452	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services from non-Federal sources	9	9	9
41.0 Grants, subsidies, and contributions	169	179	179
99.0 Direct obligations	179	189	189
99.0 Reimbursable obligations	3	3	3
99.9 Total new obligations, unexpired accounts	182	192	192

Employment Summary

Identification code 309-0200-0-1-452	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5	6	6

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION

Trust Funds

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 313-8281-0-7-502	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	40	40	40
Receipts:			
Current law:			
1140 Interest on Investments, Barry Goldwater Scholarship and Excellence in Education Foundation	2	2	2
2000 Total: Balances and receipts	42	42	42
Appropriations:			
Current law:			
2101 Barry Goldwater Scholarship and Excellence in Education Foundation	-2	-2	-2
5099 Balance, end of year	40	40	40

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION
FOUNDATION—Continued

Program and Financing (in millions of dollars)

Identification code 313–8281–0–7–502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Barry Goldwater Scholarship and Excellence in Education Foundation	2	2	2
0002 Scholarship Grant Funding	2	2	2
0900 Total new obligations, unexpired accounts	4	4	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	30	37	35
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2	2	2
Spending authority from offsetting collections, mandatory:			
1800 Collected	9		
1900 Budget authority (total)	11	2	2
1930 Total budgetary resources available	41	39	37
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	37	35	33
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			2
3010 New obligations, unexpired accounts	4	4	4
3020 Outlays (gross)	–4	–2	–2
3050 Unpaid obligations, end of year		2	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			2
3200 Obligated balance, end of year		2	4
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	11	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority	4	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–9		
4180 Budget authority, net (total)	2	2	2
4190 Outlays, net (total)	–5	2	2
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	68	69	69
5001 Total investments, EOY: Federal securities: Par value	69	69	69

Public Law 99–661 established the Barry Goldwater Scholarship and Excellence in Education Foundation to operate the scholarship program that is a significant permanent tribute to the late Senator from Arizona. The Foundation awards scholarships to outstanding undergraduate students who intend to pursue research careers in mathematics, the natural sciences and engineering. The Foundation supports between 250 and 500 scholarships annually.

Object Classification (in millions of dollars)

Identification code 313–8281–0–7–502	2019 actual	2020 est.	2021 est.
41.0 Direct obligations: Grants, subsidies, and contributions	2	2	2
99.0 Reimbursable obligations	2	2	2
99.9 Total new obligations, unexpired accounts	4	4	4

Employment Summary

Identification code 313–8281–0–7–502	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2	3	2

BUREAU OF CONSUMER FINANCIAL PROTECTION

Federal Funds

BUREAU OF CONSUMER FINANCIAL PROTECTION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 581–5577–0–2–376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	1	9
Receipts:			
Current law:			
1110 Transfers from the Federal Reserve Board, Bureau of Consumer Financial Protection Fund	468	580	595
1140 Earnings on Investments, Bureau of Consumer Financial Protection Fund	8	8	8
1199 Total current law receipts	476	588	603
Proposed:			
1210 Transfers from the Federal Reserve Board, Bureau of Consumer Financial Protection Fund			–110
1999 Total receipts	476	588	493
2000 Total: Balances and receipts	477	589	502
Appropriations:			
Current law:			
2101 Bureau of Consumer Financial Protection Fund	–476	–580	–595
2101 Bureau of Consumer Financial Protection Fund		–34	
2132 Bureau of Consumer Financial Protection Fund		34	
2199 Total current law appropriations	–476	–580	–595
Proposed:			
2201 Bureau of Consumer Financial Protection Fund			110
2999 Total appropriations	–476	–580	–485
5099 Balance, end of year	1	9	17

Program and Financing (in millions of dollars)

Identification code 581–5577–0–2–376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Consumer Financial Protection Bureau	507	577	592
0100 Direct program activities, subtotal	507	577	592
0808 Reimbursable program activity	3	3	3
0809 Reimbursable program activities, subtotal	3	3	3
0900 Total new obligations, unexpired accounts	510	580	595
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	56	67	78
1021 Recoveries of prior year unpaid obligations	33	8	8
1033 Recoveries of prior year paid obligations	9		
1050 Unobligated balance (total)	98	75	86
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	476	580	595
1201 Appropriation (special or trust fund)		34	
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced		–34	
1260 Appropriations, mandatory (total)	476	580	595
Spending authority from offsetting collections, mandatory:			
1800 Collected	4	4	4
1801 Change in uncollected payments, Federal sources	–1	–1	–1
1850 Spending auth from offsetting collections, mand (total)	3	3	3
1900 Budget authority (total)	479	583	598
1930 Total budgetary resources available	577	658	684
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	67	78	89
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	206	190	225
3010 New obligations, unexpired accounts	510	580	595
3020 Outlays (gross)	–493	–537	–556
3040 Recoveries of prior year unpaid obligations, unexpired	–33	–8	–8
3050 Unpaid obligations, end of year	190	225	256
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–3	–2	–1

3070	Change in uncollected pymts, Fed sources, unexpired	1	1	1
3090	Uncollected pymts, Fed sources, end of year	-2	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	203	188	224
3200	Obligated balance, end of year	188	224	256

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross	479	583	598
Outlays, gross:				
4100	Outlays from new mandatory authority	236	347	420
4101	Outlays from mandatory balances	257	190	136
4110	Outlays, gross (total)	493	537	556
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-4	-3	-3
4123	Non-Federal sources	-9	-1	-1
4130	Offsets against gross budget authority and outlays (total)	-13	-4	-4
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired	1	1	1
4143	Recoveries of prior year paid obligations, unexpired accounts	9
4150	Additional offsets against budget authority only (total)	10	1	1
4160	Budget authority, net (mandatory)	476	580	595
4170	Outlays, net (mandatory)	480	533	552
4180	Budget authority, net (total)	476	580	595
4190	Outlays, net (total)	480	533	552

Memorandum (non-add) entries:

5000	Total investments, SOY: Federal securities: Par value	233	237	293
5001	Total investments, EOY: Federal securities: Par value	237	293	335

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	476	580	595
Outlays	480	533	552
Legislative proposal, subject to PAYGO:			
Budget Authority	-110
Outlays	-110
Total:			
Budget Authority	476	580	485
Outlays	480	533	442

The Consumer Financial Protection Bureau (CFPB) was established under Title X of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203) as an independent bureau in the Federal Reserve System. The Act consolidated authorities previously shared by seven Federal agencies under Federal consumer financial laws into the CFPB and provided the Bureau with additional authorities to conduct rulemaking, supervision, and enforcement. Funding required to support the CFPB's operations is obtained primarily through transfers from the Board of Governors of the Federal Reserve System. Pursuant to the Act, the CFPB is also authorized to collect civil penalties in any judicial or administrative action under Federal consumer financial laws. These amounts are maintained and displayed in a separate account titled "Consumer Financial Civil Penalty Fund."

Object Classification (in millions of dollars)

Identification code 581-5577-0-2-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	219	247	270
12.1 Civilian personnel benefits	82	95	104
21.0 Travel and transportation of persons	15	17	17
23.1 Rental payments to GSA	5	5	2
23.2 Rental payments to others	1	2	2
23.3 Communications, utilities, and miscellaneous charges	8	11	11
24.0 Printing and reproduction	4	5	5
25.1 Advisory and assistance services	82	105	96
25.2 Other services from non-Federal sources	12	17	13
25.3 Other goods and services from Federal sources	44	43	44
25.7 Operation and maintenance of equipment	5	6	6
26.0 Supplies and materials	4	5	5
31.0 Equipment	21	19	17

32.0	Land and structures	5
99.0	Direct obligations	507	577	592
99.0	Reimbursable obligations	3	3	3
99.9	Total new obligations, unexpired accounts	510	580	595

Employment Summary

Identification code 581-5577-0-2-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,465	1,551	1,614

BUREAU OF CONSUMER FINANCIAL PROTECTION FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 581-5577-4-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Consumer Financial Protection Bureau	-58
0100 Direct program activities, subtotal	-58
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	-110
1900 Budget authority (total)	-110
1930 Total budgetary resources available	-110
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	-52

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	-58
3020 Outlays (gross)	110
3050 Unpaid obligations, end of year	52
Memorandum (non-add) entries:			
3200 Obligated balance, end of year	52

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	-110
Outlays, gross:			
4100 Outlays from new mandatory authority	-110
4180 Budget authority, net (total)	-110
4190 Outlays, net (total)	-110

The Budget proposes to restructure the Consumer Financial Protection Bureau (CFPB), limit its mandatory funding in 2021, and provide discretionary appropriations beginning in 2022.

Object Classification (in millions of dollars)

Identification code 581-5577-4-2-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	-41
12.1 Civilian personnel benefits	-17
99.9 Total new obligations, unexpired accounts	-58

Employment Summary

Identification code 581-5577-4-2-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	-243

CONSUMER FINANCIAL CIVIL PENALTY FUND**Special and Trust Fund Receipts (in millions of dollars)**

Identification code 581-5578-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	8

CONSUMER FINANCIAL CIVIL PENALTY FUND—Continued
Special and Trust Fund Receipts—Continued

Identification code 581–5578–0–2–376	2019 actual	2020 est.	2021 est.
Receipts:			
Current law:			
1110 Penalties and Fines, Consumer Financial Protection	131	3
2000 Total: Balances and receipts	131	11
Appropriations:			
Current law:			
2101 Consumer Financial Civil Penalty Fund	–131	–3
2103 Consumer Financial Civil Penalty Fund	–8
2132 Consumer Financial Civil Penalty Fund	8
2199 Total current law appropriations	–123	–11
2999 Total appropriations	–123	–11
5099 Balance, end of year	8

Program and Financing (in millions of dollars)

Identification code 581–5578–0–2–376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Civil Penalty Payments	2	145	48
0900 Total new obligations, unexpired accounts (object class 25.2)	2	145	48
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	638	769	635
1033 Recoveries of prior year paid obligations	10
1050 Unobligated balance (total)	648	769	635
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	131	3
1203 Appropriation (previously unavailable)(special or trust)	8
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	–8
1260 Appropriations, mandatory (total)	123	11
1930 Total budgetary resources available	771	780	635
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	769	635	587
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	3
3010 New obligations, unexpired accounts	2	145	48
3020 Outlays (gross)	–2	–148	–48
3050 Unpaid obligations, end of year	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	3
3200 Obligated balance, end of year	3

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	123	11
Outlays, gross:			
4101 Outlays from mandatory balances	2	148	48
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	–10
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	10
4160 Budget authority, net (mandatory)	123	11
4170 Outlays, net (mandatory)	–8	148	48
4180 Budget authority, net (total)	123	11
4190 Outlays, net (total)	–8	148	48

Pursuant to Title X of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203), the Consumer Financial Protection Bureau (CFPB) is authorized to collect civil penalties obtained in any judicial or administrative action under Federal consumer financial laws. Per the Act, such funds will be available for payments to the victims of activities for which civil penalties have been imposed under the Federal consumer

financial laws. Obligations related to victim compensation are contingent upon identifying the specific victims qualifying for payments.

CENTRAL INTELLIGENCE AGENCY

Federal Funds

CENTRAL INTELLIGENCE AGENCY RETIREMENT AND DISABILITY SYSTEM FUND

For payment to the Central Intelligence Agency Retirement and Disability System Fund, to maintain the proper funding level for continuing the operation of the Central Intelligence Agency Retirement and Disability System, \$514,000,000. (*Department of Defense Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 056–3400–0–1–054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Personnel benefits	514	514	514
0900 Total new obligations, unexpired accounts (object class 13.0)	514	514	514
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	514	514	514
1930 Total budgetary resources available	514	514	514
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	514	514	514
3020 Outlays (gross)	–514	–514	–514
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	514	514	514
Outlays, gross:			
4100 Outlays from new mandatory authority	514	514	514
4180 Budget authority, net (total)	514	514	514
4190 Outlays, net (total)	514	514	514

Independent actuarial projections show the CIARDS Fund with an unfunded liability of \$4.3 billion. To ensure that the Fund remains solvent and authorized payments to beneficiaries continue, the Budget proposes \$514 million in 2021. This amount reflects the amortized cost of recapitalizing the CIARDS Fund over twenty years.

CHEMICAL SAFETY AND HAZARD INVESTIGATION
BOARD

Federal Funds

SALARIES AND EXPENSES

For necessary expenses in carrying out activities pursuant to section 112(r)(6) of the Clean Air Act, [including hire of passenger vehicles, uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902, and for services authorized by 5 U.S.C. 3109 but at rates for individuals not to exceed the per diem equivalent to the maximum rate payable for senior level positions under 5 U.S.C. 5376, \$12,000,000] \$10,200,000: *Provided, That these funds shall be available only for the purposes of the closure of the Chemical Safety and Hazard Investigation Board (Board) [shall have not more than three career Senior Executive Service positions: Provided further, That notwithstanding any other provision of law, the individual appointed to the position of Inspector General of the Environmental Protection Agency (EPA) shall, by virtue of such appointment, also hold the position of Inspector General of the Board]: Provided further, That notwithstanding any other provision of law, [the Inspector General of the Board shall utilize personnel of the Office of Inspector General of EPA in performing the duties of the Inspector General of the Board, and shall not appoint any individuals to positions within the Board] no-year funds made available to the Board under title III of Public Law 108–199 and title III of Public Law 108–447 may be used only if unforeseen costs of closure arise. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 510-3850-0-1-304	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	11	12	10
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	12	12	10
1930 Total budgetary resources available	13	13	11
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	4	4
3010 New obligations, unexpired accounts	11	12	10
3020 Outlays (gross)	-10	-12	-9
3050 Unpaid obligations, end of year	4	4	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	4	4
3200 Obligated balance, end of year	4	4	5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	12	12	10
Outlays, gross:			
4010 Outlays from new discretionary authority	8	9	7
4011 Outlays from discretionary balances	2	3	2
4020 Outlays, gross (total)	10	12	9
4180 Budget authority, net (total)	12	12	10
4190 Outlays, net (total)	10	12	9

The Chemical Safety and Hazard Investigation Board, as authorized by the Clean Air Act Amendments of 1990, became operational in 1998. It is an independent, non-regulatory agency that promotes chemical safety and accident prevention through investigating chemical accidents; making recommendations for accident prevention; conducting special studies; broadly disseminating its findings to industry and labor organizations; and informing stakeholder discussions on chemical safety and on actions taken by the Environmental Protection Agency, the Department of Labor, and other entities to implement Board recommendations. The President's Budget proposes to eliminate funding for several independent agencies, including the Chemical Safety and Hazard Investigation Board, as part of the Administration's plans to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. The amount requested will fund an orderly closeout of the agency beginning in 2021. As authorized by law, the Board will submit a concurrent request for 2021 to the Congress and OMB.

Object Classification (in millions of dollars)

Identification code 510-3850-0-1-304	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	3	5	5
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	4	6	6
12.1 Civilian personnel benefits	2	2	1
23.2 Rental payments to others	1	1	1
25.1 Advisory and assistance services	1	1	
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	1	1	1
99.0 Direct obligations	10	12	10
99.5 Adjustment for rounding	1		
99.9 Total new obligations, unexpired accounts	11	12	10

Employment Summary

Identification code 510-3850-0-1-304	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	31	47	47

COMMISSION OF FINE ARTS**Federal Funds****SALARIES AND EXPENSES**

For expenses of the Commission of Fine Arts under chapter 91 of title 40, United States Code, \$3,240,000: *Provided*, That the Commission is authorized to charge fees to cover the full costs of its publications, and such fees shall be credited to this account as an offsetting collection, to remain available until expended without further appropriation: *Provided further*, That the Commission is authorized to accept gifts, including objects, papers, artwork, drawings and artifacts, that pertain to the history and design of the Nation's Capital or the history and activities of the Commission of Fine Arts, for the purpose of artistic display, study, or education: *Provided further*, That one-tenth of one percent of the funds provided under this heading may be used for official reception and representation expenses. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 323-2600-0-1-451	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	3	3	3
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	3	3
1930 Total budgetary resources available	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1		
3010 New obligations, unexpired accounts	3	3	3
3020 Outlays (gross)	-4	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority	3	3	3
4011 Outlays from discretionary balances	1		
4020 Outlays, gross (total)	4	3	3
4180 Budget authority, net (total)	3	3	3
4190 Outlays, net (total)	4	3	3

The Commission advises the President, the Congress, and Department heads on matters of architecture, sculpture, landscape, and other fine arts. Its primary function is to preserve and enhance the appearance of the Nation's Capital.

Object Classification (in millions of dollars)

Identification code 323-2600-0-1-451	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
12.1 Civilian personnel benefits	1	1	1
99.0 Direct obligations	2	2	2
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	3	3	3

SALARIES AND EXPENSES—Continued
Employment Summary

Identification code 323–2600–0–1–451	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	12	12	12

NATIONAL CAPITAL ARTS AND CULTURAL AFFAIRS

【For necessary expenses as authorized by Public Law 99–190 (20 U.S.C. 956a), \$5,000,000.】 (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 323–2602–0–1–503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National Capital Arts and Cultural Affairs (Direct)	3	5	
0900 Total new obligations, unexpired accounts (object class 25.2)	3	5	
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	5	
1930 Total budgetary resources available	3	5	

Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	3	5	
3020 Outlays (gross)	–3	–5	

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	5	
Outlays, gross:			
4010 Outlays from new discretionary authority	3	5	
4180 Budget authority, net (total)	3	5	
4190 Outlays, net (total)	3	5	

No funding is requested for the National Capital Arts and Cultural Affairs Grant Program that is administered by the Commission of Fine Arts.

COMMISSION ON CIVIL RIGHTS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Commission on Civil Rights, including hire of passenger motor vehicles, 【\$10,500,000】 \$10,065,000: *Provided*, That none of the funds appropriated in this paragraph may be used to employ any individuals under Schedule C of subpart C of part 213 of title 5 of the Code of Federal Regulations exclusive of one special assistant for each Commissioner: *Provided further*, That none of the funds appropriated in this paragraph shall be used to reimburse Commissioners for more than 75 billable days, with the exception of the chairperson, who is permitted 125 billable days: *Provided further*, That the Chair may accept and use any gift or donation to carry out the work of the Commission: *Provided further*, That none of the funds appropriated in this paragraph shall be used for any activity or expense that is not explicitly authorized by section 3 of the Civil Rights Commission Act of 1983 (42 U.S.C. 1975a). (*Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 326–1900–0–1–751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	10	11	10
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10	11	10
1930 Total budgetary resources available	10	11	10

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	10	11	10
3020 Outlays (gross)	–10	–11	–10
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	10	11	10
Outlays, gross:			
4010 Outlays from new discretionary authority	9	11	10
4011 Outlays from discretionary balances	1		
4020 Outlays, gross (total)	10	11	10
4180 Budget authority, net (total)	10	11	10
4190 Outlays, net (total)	10	11	10

Originally established by the Civil Rights Act of 1957, the U.S. Commission on Civil Rights is an independent, bipartisan, fact-finding Federal agency. Its mission is to inform the development of national civil rights policy and enhance enforcement of Federal civil rights laws. The Commission pursues this mission by studying alleged deprivations of voting rights and alleged discrimination based on race, color, religion, sex, age, disability, or national origin, or in the administration of justice. The Commission plays a vital role in advancing civil rights through objective and comprehensive investigation, research, and analysis on issues of fundamental concern to the Federal government and the public. The Commission also supports a network of State Advisory Committees, each composed of a diverse group of citizen volunteers, which conduct civil rights research at the State and regional levels.

Object Classification (in millions of dollars)

Identification code 326–1900–0–1–751	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	6	5	5
12.1 Civilian personnel benefits	1	2	2
23.1 Rental payments to GSA	2	2	2
25.2 Other services from non-Federal sources	1	2	1
99.9 Total new obligations, unexpired accounts	10	11	10

Employment Summary

Identification code 326–1900–0–1–751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	42	43	41

COMMITTEE FOR PURCHASE FROM PEOPLE WHO ARE
BLIND OR SEVERELY DISABLED

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Committee for Purchase From People Who Are Blind or Severely Disabled (referred to in this title as "the Committee") established under section 8502 of title 41, United States Code, 【\$10,000,000】 \$13,930,000: *Provided*, That in order to authorize any central nonprofit agency designated pursuant to section 8503(c) of title 41, United States Code, to perform requirements of the Committee as prescribed under section 51–3.2 of title 41, Code of Federal Regulations, the Committee shall enter into a written agreement with any such central nonprofit agency: *Provided further*, That such agreement shall contain such auditing, oversight, and reporting provisions as necessary to implement chapter 85 of title 41, United States Code: *Provided further*, That such agreement shall include the elements listed under the heading "Committee For Purchase From People Who Are Blind or Severely Disabled-Written Agreement Elements" in the explanatory statement described in section 4 of Public Law 114–113 (in the matter preceding division A of that consolidated Act): *Provided further*, That any such central nonprofit agency may not charge a fee under section 51–3.5 of title 41, Code of Federal Regulations,

prior to executing a written agreement with the Committee: *Provided further*, That no less than **[\$1,650,000]** \$2,300,000 shall be available for the Office of Inspector General. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 338–2000–0–1–505		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Salaries and Expenses	8	10	14
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	8	10	14
1930	Total budgetary resources available	8	10	14
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2		
3010	New obligations, unexpired accounts	8	10	14
3011	Obligations ("upward adjustments"), expired accounts	1		
3020	Outlays (gross)	-10	-10	-13
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year			1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2		
3200	Obligated balance, end of year			1
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	8	10	14
Outlays, gross:				
4010	Outlays from new discretionary authority	8	8	11
4011	Outlays from discretionary balances	2	2	2
4020	Outlays, gross (total)	10	10	13
4180	Budget authority, net (total)	8	10	14
4190	Outlays, net (total)	10	10	13

The Committee for Purchase From People Who Are Blind or Severely Disabled (operating as the U.S. AbilityOne Commission, hereafter "Commission") administers the AbilityOne Program under the authority of the Javits-Wagner-O'Day Act of 1971, as amended. The principal objective of AbilityOne is to leverage the purchasing power of the Federal Government to provide employment opportunities for people who are blind or have other significant disabilities. The Commission accomplishes its mission by identifying Government procurement requirements that can create employment opportunities for individuals who are blind or have other significant disabilities. Following opportunities for public comment and after due deliberation, the Commission then places such products and service requirements on the AbilityOne Procurement List, requiring Federal departments and agencies to procure the designated products and services from a network of approximately 500 qualified State and private nonprofit agencies (NPAs) employing people who are blind or have other significant disabilities. The long-term vision of AbilityOne is to enable people who are blind or have other significant disabilities to achieve their maximum employment potential. In 2018, approximately 45,000 AbilityOne employees earned a combined total of more than \$656 million in wages, with an average hourly wage of \$13.72. The AbilityOne Program continues to emphasize providing employment to veterans, with more than 3,000 employed in direct labor positions. More than 1,800 AbilityOne employees moved into competitive or supported employment in 2018 after gaining skills and experience on AbilityOne jobs.

While pursuing its core mission to increase employment opportunities for people who are blind or have other significant disabilities, the Commission is dedicated to effective stewardship and program integrity. The Commission continues to strengthen its Procurement List business processes and to enhance its oversight of AbilityOne Program participants. The resources proposed for 2021 will enable the Commission to continue implementing the requirements of the Consolidated Appropriations Act of 2016. These requirements include establishing and staffing an Office of Inspector

General for the AbilityOne Program. The requirements also include establishing and administering written agreements that govern the Commission's relationship with its designated central nonprofit agencies, evaluating reports and data from such central nonprofit agencies, and maintaining the Commission's compliance and operations capacity to oversee a national program with more than \$3.6 billion in annual sales of products and services to the Government.

Object Classification (in millions of dollars)

Identification code 338–2000–0–1–505		2019 actual	2020 est.	2021 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	5	5	8
12.1	Civilian personnel benefits	1	1	2
25.1	Advisory and assistance services	2	2	4
99.0	Direct obligations	8	8	14
99.5	Adjustment for rounding		2	
99.9	Total new obligations, unexpired accounts	8	10	14

Employment Summary

Identification code 338–2000–0–1–505		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	36	40	57

COMMODITY FUTURES TRADING COMMISSION**Federal Funds****COMMODITY FUTURES TRADING COMMISSION**

For necessary expenses to carry out the provisions of the Commodity Exchange Act (7 U.S.C. 1 et seq.), including the purchase and hire of passenger motor vehicles, and the rental of space (to include multiple year leases), in the District of Columbia and elsewhere, **[\$284,000,000]** \$226,500,000, including not to exceed \$3,000 for official reception and representation expenses, and not to exceed \$25,000 for the expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, of which not less than \$20,000,000 shall remain available until September 30, **[2021]** 2022, and of which not less than **[\$3,200,000]** \$3,568,000 shall be for expenses of the Office of the Inspector General: *Provided*, That notwithstanding the limitations in 31 U.S.C. 1553, amounts provided under this heading are available for the liquidation of obligations equal to current year payments on leases entered into prior to the date of enactment of this Act: *Provided further*, That for the purpose of recording and liquidating any lease obligations that should have been recorded and liquidated against accounts closed pursuant to 31 U.S.C. 1552, and consistent with the preceding proviso, such amounts shall be transferred to and recorded in a no-year account in the Treasury, which has been established for the sole purpose of recording adjustments for and liquidating such unpaid obligations.

[In addition, for move, replication, and related costs associated with replacement leases for the Commission's facilities, not to exceed \$31,000,000, to remain available until expended.] (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 339–1400–0–1–376		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Salaries and Expenses	190	258	200
0002	Information Technology	52		
0003	Inspector General	3	3	4
0004	Relocation Costs		31	
0900	Total new obligations, unexpired accounts	245	292	204
0910	Appropriations used to liquidate unpaid lease obligations	23	23	23
0911	Total new obligations, unexpired accounts; and lease payments	268	315	227
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1		1	1
1021	Recoveries of prior year unpaid obligations	2		

COMMODITY FUTURES TRADING COMMISSION—Continued
Program and Financing—Continued

Identification code 339-1400-0-1-376	2019 actual	2020 est.	2021 est.
1050 Unobligated balance (total)	2	1	1
Budget authority:			
1100 Appropriations, discretionary:			
1100 Appropriation	268	284	227
1100 Appropriation (Relocation Costs)		31	
1160 Appropriation, discretionary (total)	268	315	227
1901 Adjustment for new budget authority used to liquidate deficiencies	-23	-23	-23
1930 Total budgetary resources available	247	293	205
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	195	186	178
3010 New obligations, unexpired accounts	245	292	204
3011 Obligations ("upward adjustments"), expired accounts	1	1	1
3020 Outlays (gross)	-251	-301	-275
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	186	178	108
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	195	186	178
3200 Obligated balance, end of year	186	178	108
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	268	315	227
Outlays, gross:			
4010 Outlays from new discretionary authority	193	238	184
4011 Outlays from discretionary balances	58	63	91
4020 Outlays, gross (total)	251	301	275
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-1		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1		
4070 Budget authority, net (discretionary)	268	315	227
4080 Outlays, net (discretionary)	250	301	275
4180 Budget authority, net (total)	268	315	227
4190 Outlays, net (total)	250	301	275
Unfunded deficiencies:			
7000 Unfunded deficiency, start of year	-149	-126	-103
Change in deficiency during the year:			
7012 Budgetary resources used to liquidate deficiencies	23	23	23
7020 Unfunded deficiency, end of year	-126	-103	-80

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	268	315	227
Outlays	250	301	275
Legislative proposal, not subject to PAYGO:			
Outlays			-16
Total:			
Budget Authority	268	315	227
Outlays	250	301	259

The mission of the Commodity Futures Trading Commission (CFTC or Commission) is to: foster open, transparent, competitive, and financially sound markets; prevent and deter price manipulation and other disruptions to market integrity; and protect market participants and the public from fraud, exploitation, and abusive practices related to derivatives and other products that are subject to the Commodity Exchange Act (7 U.S.C. 1 et seq.) (CEA). The CEA established a comprehensive regulatory structure to oversee the futures trading complex, commodity options trading, intermediaries, and swap dealer activities.

The Commission's regulatory landscape is continually changing. As a responsible regulator, the CFTC seeks to promote responsible innovation

and development that is consistent with its statutory mission to enhance the derivative trading markets. Further, the agency seeks to lower the systemic risk of the futures and swaps markets to the economy and the public.

The markets under the CFTC's regulatory purview are economically significant. In the United States, the CFTC regulates the markets for futures and options on futures with an estimated notional value of \$27 trillion and the swaps market with an estimated notional value of \$353 trillion.

Object Classification (in millions of dollars)

Identification code 339-1400-0-1-376	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	122	134	85
11.9 Total personnel compensation	122	134	85
12.1 Civilian personnel benefits	40	43	28
21.0 Travel and transportation of persons	1	2	2
22.0 Transportation of things		1	
23.1 Rental payments to GSA	1	20	
23.2 Rental payments to others	3	2	3
23.3 Communications, utilities, and miscellaneous charges	1	3	3
24.0 Printing and reproduction	1	1	1
25.2 Other services from non-Federal sources	68	68	75
26.0 Supplies and materials	3	2	2
31.0 Equipment	5	16	5
99.9 Total new obligations, unexpired accounts	245	292	204
01.2 Rental payments to others	23	23	23
09.9 Total obligations, unexpired accounts; and lease payments	268	315	227

Employment Summary

Identification code 339-1400-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	657	707	717

COMMODITY FUTURES TRADING COMMISSION

(Legislative proposal, not subject to PAYGO)

Contingent upon the enactment of legislation authorizing the Commodity Futures Trading Commission to collect user fees to fund the Commission's activities, an additional \$77,500,000 shall be appropriated from the general fund: Provided, That fees and charges assessed by the Commission shall be credited to this appropriation as offsetting collections: Provided further, That not to exceed \$77,500,000 of such offsetting collections shall be available until expended for necessary expenses of this account: Provided further, That the total amount appropriated under this heading from the general fund for fiscal year 2021 shall be reduced as such offsetting fees are received so as to result in a final total fiscal year 2021 appropriation from the general fund estimated at not more than \$226,500,000.

Program and Financing (in millions of dollars)

Identification code 339-1400-2-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses			77
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected			77
1900 Budget authority (total)			77
1930 Total budgetary resources available			77
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			77
3020 Outlays (gross)			-61
3050 Unpaid obligations, end of year			16
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			16

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross			77
Outlays, gross:			
4010 Outlays from new discretionary authority			61

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034	Offsetting governmental collections		-77
4180	Budget authority, net (total)		
4190	Outlays, net (total)		-16

The Budget proposes legislation authorizing user fees to fund certain Commission activities, as specified by the CFTC, in line with nearly all other Federal financial and banking regulators. Contingent upon enactment of authorizing legislation, the Budget proposes collections of \$77.5 million to offset a portion of the CFTC's annual appropriation, providing total CFTC funding of \$304 million in FY 2021. CFTC fees would be designed in a way that supports market access, liquidity, and the efficiency of the Nation's derivatives markets.

Object Classification (in millions of dollars)

Identification code 339-1400-2-1-376	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent			58
11.9 Total personnel compensation			58
12.1 Civilian personnel benefits			19
99.0 Direct obligations			77
99.9 Total new obligations, unexpired accounts			77

Employment Summary

Identification code 339-1400-2-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			290

CUSTOMER PROTECTION FUND**Program and Financing** (in millions of dollars)

Identification code 339-4334-0-3-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Customer Education Program	3	4	17
0002 Whistleblower Program	3	4	5
0003 Whistleblower Awards	15	25	25
0900 Total new obligations, unexpired accounts	21	33	47
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	159	141	111
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	3	3	36
1930 Total budgetary resources available	162	144	147
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	141	111	100
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	12	31
3010 New obligations, unexpired accounts	21	33	47
3020 Outlays (gross)	-14	-14	-36
3050 Unpaid obligations, end of year	12	31	42
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	12	31
3200 Obligated balance, end of year	12	31	42
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	3	3	36
Outlays, gross:			
4100 Outlays from new mandatory authority		2	36
4101 Outlays from mandatory balances	14	12	
4110 Outlays, gross (total)	14	14	36
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities	-3	-3	-2
4123 Non-Federal sources			-34

4130	Offsets against gross budget authority and outlays (total)	-3	-3	-36
4170	Outlays, net (mandatory)	11	11	
4180	Budget authority, net (total)			
4190	Outlays, net (total)	11	11	

Memorandum (non-add) entries:

5000	Total investments, SOY: Federal securities: Par value	158	141	111
5001	Total investments, EOY: Federal securities: Par value	141	111	113

Section 748 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203) (the Dodd-Frank Act) amended the Commodity Exchange Act (7 U.S.C. 1 et seq.) (CEA) to establish the Customer Protection Fund (Fund). The Fund is used to pay whistleblower awards, finance customer education initiatives, and administer the programs. The Dodd-Frank Act also authorized the Commodity Futures Trading Commission (Commission) to issue rules implementing incentives and protections for whistleblowers and to conduct customer education initiatives designed to help customers protect themselves against fraud and other violations of the CEA.

The Commission deposits monetary sanctions it collects in covered judicial or administrative actions into this revolving fund. The Commission may deposit such sanctions unless the balance in the Fund at the time the sanction is collected exceeds \$100 million. The Commission does not deposit restitution awarded to victims into the Fund.

The Commission is required to submit an annual report on the whistleblower award program and customer education initiatives to the Committee on Agriculture, Nutrition, and Forestry of the Senate and the Committee on Agriculture of the House of Representatives. The report includes: a description of the number of whistleblower awards granted, and the types of cases in which these awards were granted, during the preceding fiscal year; the balance in the Fund; the amounts credited to and paid from the Fund; and a complete set of audited financial statements.

Object Classification (in millions of dollars)

Identification code 339-4334-0-3-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	3	4	6
11.8 Special personal services payments	15	25	25
11.9 Total personnel compensation	18	29	31
12.1 Civilian personnel benefits	1	1	2
25.1 Advisory and assistance services	2	3	14
99.9 Total new obligations, unexpired accounts	21	33	47

Employment Summary

Identification code 339-4334-0-3-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	14	26	32

CONSUMER PRODUCT SAFETY COMMISSION**Federal Funds****SALARIES AND EXPENSES**

For necessary expenses of the Consumer Product Safety Commission, including hire of passenger motor vehicles, services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the maximum rate payable under 5 U.S.C. 5376, purchase of nominal awards to recognize non-Federal officials' contributions to Commission activities, and not to exceed \$4,000 for official reception and representation expenses, **[\$132,500,000] \$135,000,000**, of which \$1,300,000 shall remain available until expended to carry out the program, including administrative costs, required by section 1405 of the Virginia Graeme Baker Pool and Spa Safety Act (Public Law 110-140; 15 U.S.C. 8004). (*Financial Services and General Government Appropriations Act, 2020.*)

SALARIES AND EXPENSES—Continued
Program and Financing (in millions of dollars)

Identification code 061-0100-0-1-554	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Consumer Product Safety - Direct	128	133	135
0100 Direct program activities, subtotal	128	133	135
0801 Consumer Product Safety - Reimbursable	3	3	3
0900 Total new obligations, unexpired accounts	131	136	138
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	127	133	135
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	3	3
1701 Change in uncollected payments, Federal sources	2		
1750 Spending auth from offsetting collections, disc (total)	3	3	3
1900 Budget authority (total)	130	136	138
1930 Total budgetary resources available	132	137	139
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	33	38	37
3010 New obligations, unexpired accounts	131	136	138
3020 Outlays (gross)	-124	-137	-138
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	38	37	37
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired	-2		
3071 Change in uncollected pymts, Fed sources, expired	3		
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	30	36	35
3200 Obligated balance, end of year	36	35	35
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	130	136	138
Outlays, gross:			
4010 Outlays from new discretionary authority	99	109	110
4011 Outlays from discretionary balances	25	28	28
4020 Outlays, gross (total)	124	137	138
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3	-3	-3
4040 Offsets against gross budget authority and outlays (total)	-3	-3	-3
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-2		
4052 Offsetting collections credited to expired accounts	2		
4070 Budget authority, net (discretionary)	127	133	135
4080 Outlays, net (discretionary)	121	134	135
4180 Budget authority, net (total)	127	133	135
4190 Outlays, net (total)	121	134	135

The U.S. Consumer Product Safety Commission (CPSC) is an independent federal regulatory agency, created in 1972 by the Consumer Product Safety Act (CPSA). In addition to the CPSA, as amended by the Consumer Product Safety Improvement Act of 2008 (CPSIA), and Public Law 112-28, the CPSC also administers other laws, including the Federal Hazardous Substances Act, the Flammable Fabrics Act, the Child Safety Protection Act, the Poison Prevention Packaging Act, the Refrigerator Safety Act, the Virginia Graeme Baker (VGB) Pool and Spa Safety Act, and the Children's Gasoline Burn Prevention Act. In FY 2021, CPSC will focus on the highest priority risks to consumers, adapt to the consumer marketplace changes resulting from the expansion of e-commerce, continue to emphasize import surveillance to better identify and stop non-compliant or defective products from entering the U.S. market, and expand the data analysis tools, data sources and types used to identify consumer product hazards.

Object Classification (in millions of dollars)

Identification code 061-0100-0-1-554	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	56	62	63
11.3 Other than full-time permanent	4	4	4
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	61	67	68
12.1 Civilian personnel benefits	19	19	19
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	8	8	8
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.1 Advisory and assistance services	2	2	2
25.2 Other services from non-Federal sources	15	18	18
25.3 Other goods and services from Federal sources	5	4	4
25.4 Operation and maintenance of facilities	1		
25.5 Research and development contracts	2		2
25.7 Operation and maintenance of equipment	8	8	8
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	2	2
41.0 Grants, subsidies, and contributions	1	1	
99.0 Direct obligations	128	133	135
99.0 Reimbursable obligations	3	3	3
99.9 Total new obligations, unexpired accounts	131	136	138

Employment Summary

Identification code 061-0100-0-1-554	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	515	539	539

ADMINISTRATIVE PROVISION—CONSUMER PRODUCT SAFETY COMMISSION

【SEC. 501. During fiscal year 2020, none of the amounts made available by this Act may be used to finalize or implement the Safety Standard for Recreational Off-Highway Vehicles published by the Consumer Product Safety Commission in the Federal Register on November 19, 2014 (79 Fed. Reg. 68964) until after—

(1) the National Academy of Sciences, in consultation with the National Highway Traffic Safety Administration and the Department of Defense, completes a study to determine—

(A) the technical validity of the lateral stability and vehicle handling requirements proposed by such standard for purposes of reducing the risk of Recreational Off-Highway Vehicle (referred to in this section as "ROV") rollovers in the off-road environment, including the repeatability and reproducibility of testing for compliance with such requirements;

(B) the number of ROV rollovers that would be prevented if the proposed requirements were adopted;

(C) whether there is a technical basis for the proposal to provide information on a point-of-sale hangtag about a ROV's rollover resistance on a progressive scale; and

(D) the effect on the utility of ROVs used by the United States military if the proposed requirements were adopted; and

(2) a report containing the results of the study completed under paragraph (1) is delivered to—

(A) the Committee on Commerce, Science, and Transportation of the Senate;

(B) the Committee on Energy and Commerce of the House of Representatives;

(C) the Committee on Appropriations of the Senate; and

(D) the Committee on Appropriations of the House of Representatives.】

(Financial Services and General Government Appropriations Act, 2020.)

CORPORATION FOR NATIONAL AND COMMUNITY
SERVICE

Federal Funds

OPERATING EXPENSES

For necessary expenses for the Corporation for National and Community Service (referred to in this title as "CNCS") to carry out the Domestic Volunteer Service Act of 1973 (referred to in this title as "1973 Act") and the National and Community Service Act of 1990 (referred to in this title as "1990 Act"), 【\$806,529,000, notwith-

standing sections 198B(b)(3), 198S(g), 501(a)(4)(C), and 501(a)(4)(F) of the 1990 Act】 \$30,105,000: *Provided*, That 【of the amounts provided under this heading: (1) up to 1 percent of program grant funds may be used to defray the costs of conducting grant application reviews, including the use of outside peer reviewers and electronic management of the grants cycle; (2) \$17,538,000 shall be available to provide assistance to State commissions on national and community service, under section 126(a) of the 1990 Act and notwithstanding section 501(a)(5)(B) of the 1990 Act; (3) \$32,500,000】 \$22,883,000 shall be available to carry out subtitle E of the 1990 Act【; and (4) \$6,400,000 shall be available for expenses authorized under section 501(a)(4)(F) of the 1990 Act, which, notwithstanding the provisions of section 198P shall be awarded by CNCS on a competitive basis】: *Provided further*, That 【for the purposes of carrying out the 1990 Act, satisfying the requirements in section 122(c)(1)(D) may include a determination of need by the local community】 *notwithstanding any other provision of law* -

(1) CNCS may not incur obligations under subtitle B, subtitle C (except as needed to fulfill the requirements of sections 141(d) and (e)), subtitles F and H, section 193A(g)(3) of subtitle G, or subtitles H and J of the 1990 Act;

(2) CNCS may not approve any national service positions under section 123 of the 1990 Act;

(3) \$22,883,000 shall be available to carry out subtitle E of the 1990 Act;

(4) CNCS may not assign volunteers under Title I of the 1973 Act; and

(5) CNCS may not incur obligations under Title II of the 1973 Act.

(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 485-2728-0-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 AmeriCorps*State and National	420	420	2
0002 Foster Grandparent Program	111	111	
0003 Senior Companion Program	47	47	
0004 AmeriCorps*VISTA	92	92	5
0006 AmeriCorps*NCCC	32	32	23
0007 Retired Senior Volunteer Program	50	50	
0008 State Comm. Support Grants	18	18	
0009 Evaluations	4	4	
0011 Innovation, Demon., and Assistance	8	8	
0012 Volunteer Generation Fund	5	5	
0799 Total direct obligations	787	787	30
0801 Operating Expenses (Reimbursable)	18	18	
0900 Total new obligations, unexpired accounts	805	805	30
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			24
1021 Recoveries of prior year unpaid obligations		2	2
1050 Unobligated balance (total)		2	26
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	787	807	30
Spending authority from offsetting collections, discretionary:			
1700 Collected	20	20	
1900 Budget authority (total)	807	827	30
1930 Total budgetary resources available	807	829	56
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year		24	26
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	938	956	941
3010 New obligations, unexpired accounts	805	805	30
3011 Obligations ("upward adjustments"), expired accounts	23		
3020 Outlays (gross)	-757	-818	-556
3040 Recoveries of prior year unpaid obligations, unexpired		-2	-2
3041 Recoveries of prior year unpaid obligations, expired	-53		
3050 Unpaid obligations, end of year	956	941	413
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-9	-9	-9
3090 Uncollected pymts, Fed sources, end of year	-9	-9	-9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	929	947	932
3200 Obligated balance, end of year	947	932	404

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	807	827	30
Outlays, gross:			
4010 Outlays from new discretionary authority	129	271	9
4011 Outlays from discretionary balances	628	547	547
4020 Outlays, gross (total)	757	818	556
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-20	-38	
4033 Non-Federal sources	-2		
4040 Offsets against gross budget authority and outlays (total)	-22	-38	
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	2	18	
4060 Additional offsets against budget authority only (total)	2	18	
4070 Budget authority, net (discretionary)	787	807	30
4080 Outlays, net (discretionary)	735	780	556
4180 Budget authority, net (total)	787	807	30
4190 Outlays, net (total)	735	780	556

The Corporation for National and Community Service (CNCS) provides service opportunities for Americans of all ages through institutions that include: nonprofits, schools, faith-based and other community organizations, and local governments. The 2021 Budget proposes to eliminate CNCS, as part of the Administration's plans to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. No funds are provided in the 2021 Budget for new grants in programs described in this account.

AmeriCorps State and National.—With funds channeled through States, Territories, Tribes, and community-based organizations, AmeriCorps grants enable communities to recruit, train, and place AmeriCorps members to serve in the areas of disaster services, economic opportunity, education, environmental stewardship, healthy futures, and veterans and military families, as directed by the Edward M. Kennedy Serve America Act of 2009.

AmeriCorps National Civilian Community Corps.—AmeriCorps NCCC is a ten-month residential national service program for people ages 18 to 24. AmeriCorps NCCC members are deployed to respond to natural disasters and engage in urban and rural development projects across the nation.

AmeriCorps VISTA.—Provides full-time members to community organizations and public agencies working to resolve local poverty-related problems.

State Service Commission Support Grants.—These grants support the operation of State Service Commissions that administer approximately two-thirds of AmeriCorps State and National grant funds.

Retired Senior Volunteer Program.—RSVP grants support volunteers aged 55 and older with service opportunities, including mentoring children and providing independent living services to adults.

Foster Grandparent Program.—Grants provide low-income volunteers age 55 and older with service opportunities to provide one-on-one mentoring and support to at-risk children.

Senior Companion Program.—Grants support low-income volunteers who provide companionship, transportation, help with light chores, and respite to assist seniors and people with disabilities to remain in their own homes.

Innovation, Demonstration, and Assistance.—These initiatives and programs are aimed at incubating new ideas, while expanding proven initiatives that address specific community needs. For example, the Volunteer Generation Fund focuses on strengthening the ability of nonprofits and other organizations to recruit, retain, and manage volunteers.

Evaluation.—This activity supports the design and implementation of research and evaluation studies and facilitates the use of evidence and evaluation by CNCS and national service organizations.

OPERATING EXPENSES—Continued
Object Classification (in millions of dollars)

Identification code 485–2728–0–1–506	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	9	9	9
11.8 Special personal services payments	55	55	4
11.9 Total personnel compensation	64	64	13
12.1 Civilian personnel benefits	3	3	3
21.0 Travel and transportation of persons	4	4	2
23.2 Rental payments to others	8	8	6
25.2 Other services from non-Federal sources	39	39	6
26.0 Supplies and materials	1	1
41.0 Grants, subsidies, and contributions	668	668
99.0 Direct obligations	787	787	30
99.0 Reimbursable obligations	18	18
99.9 Total new obligations, unexpired accounts	805	805	30

Employment Summary

Identification code 485–2728–0–1–506	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	120	120	120

PAYMENT TO THE NATIONAL SERVICE TRUST

【(INCLUDING TRANSFER OF FUNDS)】

【For payment to the National Service Trust established under subtitle D of title I of the 1990 Act, \$208,342,000, to remain available until expended: *Provided*, That CNCS may transfer additional funds from the amount provided within "Operating Expenses" allocated to grants under subtitle C of title I of the 1990 Act to the National Service Trust upon determination that such transfer is necessary to support the activities of national service participants and after notice is transmitted to the Committees on Appropriations of the House of Representatives and the Senate: *Provided further*, That amounts appropriated for or transferred to the National Service Trust may be invested under section 145(b) of the 1990 Act without regard to the requirement to apportion funds under 31 U.S.C. 1513(b).】 (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 485–2726–0–1–506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to National Service Trust Fund	207	208
0900 Total new obligations, unexpired accounts (object class 94.0)	207	208
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	207	208
1930 Total budgetary resources available	207	208
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	207	208
3020 Outlays (gross)	–207	–208
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	207	208
Outlays, gross:			
4010 Outlays from new discretionary authority	208
4011 Outlays from discretionary balances	207
4020 Outlays, gross (total)	207	208
4180 Budget authority, net (total)	207	208
4190 Outlays, net (total)	207	208

This general fund appropriation pays the National Service Trust Fund to make educational awards to eligible national service program participants until the awardees use them. The 2021 Budget does not provide funding

in this account because CNCS is proposed for elimination and will not make any education awards in 2021.

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, 【\$5,750,000】 \$4,258,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 485–2721–0–1–506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of Inspector General	5	5	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	2	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6	6	4
1930 Total budgetary resources available	7	8	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	2
3010 New obligations, unexpired accounts	5	5	4
3020 Outlays (gross)	–5	–5	–4
3050 Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	2
3200 Obligated balance, end of year	2	2	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	6	6	4
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2	1
4011 Outlays from discretionary balances	3	3	3
4020 Outlays, gross (total)	5	5	4
4180 Budget authority, net (total)	6	6	4
4190 Outlays, net (total)	5	5	4

The Office of the Inspector General provides an independent assessment of Corporation operations, primarily through audits and investigations, with a goal of preventing fraud, waste, and abuse. The 2021 Budget provides funding in this account for the orderly shutdown of the Office of the Inspector General, as part of the proposal to eliminate the Corporation for National and Community Service.

Object Classification (in millions of dollars)

Identification code 485–2721–0–1–506	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	2
12.1 Civilian personnel benefits	1	1	1
25.2 Other services from non-Federal sources	1	1	1
99.9 Total new obligations, unexpired accounts	5	5	4

Employment Summary

Identification code 485–2721–0–1–506	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	23	23	23

SALARIES AND EXPENSES

For necessary expenses of administration as provided under section 501(a)(5) of the 1990 Act and under section 504(a) of the 1973 Act, including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference

rooms in the District of Columbia, the employment of experts and consultants authorized under 5 U.S.C. 3109, and not to exceed \$2,500 for official reception and representation expenses, **[\$83,737,000] \$47,333,000.** (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 485–2722–0–1–506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 NCSA Salaries & Expenses	84	84	47
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	84	84	47
1930 Total budgetary resources available	84	84	47
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	19	21	29
3010 New obligations, unexpired accounts	84	84	47
3020 Outlays (gross)	–82	–76	–56
3050 Unpaid obligations, end of year	21	29	20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	19	21	29
3200 Obligated balance, end of year	21	29	20
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	84	84	47
Outlays, gross:			
4010 Outlays from new discretionary authority	69	65	36
4011 Outlays from discretionary balances	13	11	20
4020 Outlays, gross (total)	82	76	56
4180 Budget authority, net (total)	84	84	47
4190 Outlays, net (total)	82	76	56

This account provides funding for the orderly shutdown of the Corporation for National and Community Service.

Object Classification (in millions of dollars)

Identification code 485–2722–0–1–506	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	40	40	23
11.9 Total personnel compensation	40	40	23
12.1 Civilian personnel benefits	13	13	7
21.0 Travel and transportation of persons	1	1
23.1 Rental payments to GSA	6	6	5
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	21	21	11
26.0 Supplies and materials	1	1
31.0 Equipment	1	1
99.9 Total new obligations, unexpired accounts	84	84	47

Employment Summary

Identification code 485–2722–0–1–506	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	406	406	397

VISTA ADVANCE PAYMENTS REVOLVING FUND**Program and Financing** (in millions of dollars)

Identification code 485–2723–0–1–506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 VISTA Advance Payments Revolving Fund (Reimbursable)	10	13
0900 Total new obligations, unexpired accounts (object class 41.0)	10	13
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2

Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	10	13
1900 Budget authority (total)	10	13
1930 Total budgetary resources available	12	15	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	10	13
3020 Outlays (gross)	–10	–13

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	10	13
Outlays, gross:			
4010 Outlays from new discretionary authority	13
4011 Outlays from discretionary balances	10
4020 Outlays, gross (total)	10	13
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	–10	–13
4180 Budget authority, net (total)
4190 Outlays, net (total)

This fund was established in 2007 by Public Law 110–05 as the initial source of funding for VISTA member living allowances for which the Corporation is later reimbursed by nonprofit organizations as part of cost share agreements. All VISTA member benefits and services, and the majority of living allowances, are funded in the Operating Expenses account.

Trust Funds**GIFTS AND CONTRIBUTIONS****Special and Trust Fund Receipts** (in millions of dollars)

Identification code 485–9972–0–7–506	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	150	155
Receipts:			
Current law:			
1140 Interest on Investment, National Service Trust Fund	10	5	5
1140 Payment from the General Fund, National Service Trust Fund	207	208
1140 Payment from the Operating Expenses, National Service Trust Fund	18
1199 Total current law receipts	235	213	5
1999 Total receipts	235	213	5
2000 Total: Balances and receipts	235	363	160
Appropriations:			
Current law:			
2101 Gifts and Contributions	–225	–208
2101 Gifts and Contributions	–10
2133 Gifts and Contributions	150
2199 Total current law appropriations	–85	–208
2999 Total appropriations	–85	–208
5099 Balance, end of year	150	155	160

Program and Financing (in millions of dollars)

Identification code 485–9972–0–7–506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Gifts and contributions	233
0900 Total new obligations, unexpired accounts (object class 25.2)	233
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	364	216	424
1001 Discretionary unobligated balance brought fwd, Oct 1	131
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	225	208
1131 Unobligated balance of appropriations permanently reduced	–120

GIFTS AND CONTRIBUTIONS—Continued
Program and Financing—Continued

Identification code 485–9972–0–7–506	2019 actual	2020 est.	2021 est.
1133 Unobligated balance of appropriations temporarily reduced	–150
1160 Appropriation, discretionary (total)	75	208	–120
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	10
1900 Budget authority (total)	85	208	–120
1930 Total budgetary resources available	449	424	304
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	216	424	304
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	558	620	118
3010 New obligations, unexpired accounts	233
3020 Outlays (gross)	–171	–502	–52
3050 Unpaid obligations, end of year	620	118	66
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	558	620	118
3200 Obligated balance, end of year	620	118	66
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	75	208	–120
Outlays, gross:			
4010 Outlays from new discretionary authority	–120
4011 Outlays from discretionary balances	171	502	172
4020 Outlays, gross (total)	171	502	52
Mandatory:			
4090 Budget authority, gross	10
4180 Budget authority, net (total)	85	208	–120
4190 Outlays, net (total)	171	502	52
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	796	760	730
5001 Total investments, EOY: Federal securities: Par value	760	730	700

The Gifts and Contributions account is a consolidation of two trust funds. In one, gifts and contributions from individuals and organizations are deposited for use in furthering program goals. In the other, funds appropriated to make educational awards to eligible national service program participants are maintained until they are used.

ADMINISTRATIVE PROVISIONS

[SEC. 401. CNCS shall make any significant changes to program requirements, service delivery or policy only through public notice and comment rulemaking. For fiscal year 2020, during any grant selection process, an officer or employee of CNCS shall not knowingly disclose any covered grant selection information regarding such selection, directly or indirectly, to any person other than an officer or employee of CNCS that is authorized by CNCS to receive such information. **]**

[SEC. 402. AmeriCorps programs receiving grants under the National Service Trust program shall meet an overall minimum share requirement of 24 percent for the first 3 years that they receive AmeriCorps funding, and thereafter shall meet the overall minimum share requirement as provided in section 2521.60 of title 45, Code of Federal Regulations, without regard to the operating costs match requirement in section 121(e) or the member support Federal share limitations in section 140 of the 1990 Act, and subject to partial waiver consistent with section 2521.70 of title 45, Code of Federal Regulations. **]**

[SEC. 403. Donations made to CNCS under section 196 of the 1990 Act for the purposes of financing programs and operations under titles I and II of the 1973 Act or subtitle B, C, D, or E of title I of the 1990 Act shall be used to supplement and not supplant current programs and operations. **]**

[SEC. 404. In addition to the requirements in section 146(a) of the 1990 Act, use of an educational award for the purpose described in section 148(a)(4) shall be limited to individuals who are veterans as defined under section 101 of the Act. **]**

[SEC. 405. For the purpose of carrying out section 189D of the 1990 Act—

(1) entities described in paragraph (a) of such section shall be considered "qualified entities" under section 3 of the National Child Protection Act of 1993 ("NCPA");

(2) individuals described in such section shall be considered "volunteers" under section 3 of NCPA; and

(3) State Commissions on National and Community Service established pursuant to section 178 of the 1990 Act, are authorized to receive criminal history record information, consistent with Public Law 92–544. **]**

[SEC. 406. Notwithstanding sections 139(b), 146 and 147 of the 1990 Act, an individual who successfully completes a term of service of not less than 1,200 hours during a period of not more than one year may receive a national service education award having a value of 70 percent of the value of a national service education award determined under section 147(a) of the Act. **]**

(INCLUDING TRANSFER AUTHORITY AND CANCELLATION)

SEC. 401. AmeriCorps programs receiving grants under the National Service Trust program shall meet an overall minimum share requirement of 24 percent for the first 3 years that they receive AmeriCorps funding, and thereafter shall meet the overall minimum share requirement as provided in section 2521.60 of title 45, Code of Federal Regulations, without regard to the operating costs match requirement in section 121(e) or the member support Federal share limitations in section 140 of the 1990 Act, and subject to partial waiver consistent with section 2521.70 of title 45, Code of Federal Regulations.

SEC. 402. In addition to the requirements in section 146(a) of the 1990 Act, use of an educational award for the purpose described in section 148(a)(4) shall be limited to individuals who are veterans as defined under section 101 of the Act.

SEC. 403. For the purpose of carrying out section 189D of the 1990 Act—

(a) entities described in paragraph (a) of such section shall be considered "qualified entities" under section 3 of the National Child Protection Act of 1993 ("NCPA");

(b) individuals described in such section shall be considered "volunteers" under section 3 of NCPA; and

(c) consistent with Public Law 92–544, State Commissions on National and Community Service established pursuant to section 178 of the 1990 Act are authorized to receive criminal history record information.

(TRANSFER AUTHORITY)

SEC. 404. Only for purposes of effectuating a transfer of appropriated funds from any account under the heading "Corporation for National and Community Service" to any executive agency under 31 U.S.C. 1531, the term "executive agency" as used in section 1531 shall apply to the Corporation for National and Community Service.

(CANCELLATION)

SEC. 405. Of the unobligated balances available in the National Service Trust Fund, identified by the Treasury Appropriation Fund Symbol 95X8267, \$120,000,000 are hereby permanently cancelled. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

GENERAL FUND RECEIPT ACCOUNT

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
485–322055 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	3
General Fund Offsetting receipts from the public	3

CORPORATION FOR PUBLIC BROADCASTING

Federal Funds

CORPORATION FOR PUBLIC BROADCASTING

[For payment to the Corporation for Public Broadcasting ("CPB"), as authorized by the Communications Act of 1934, an amount which shall be available within limitations specified by that Act, for the fiscal year 2022, \$465,000,000: *Provided*, That none of the funds made available to CPB by this Act shall be used to pay for receptions, parties, or similar forms of entertainment for Government officials or employees: *Provided further*, That none of the funds made available to CPB by this Act shall be available or used to aid or support any program or activity from which any person is excluded, or is denied benefits, or is discriminated against, on the basis of race, color, national origin, religion, or sex: *Provided further*, That none of the funds made available to CPB by this Act shall be used to apply any political test or qualification in selecting, appointing, promoting, or taking any other personnel action with respect to officers, agents, and employees of CPB. **]**

[In addition, for the costs associated with replacing and upgrading the public broadcasting interconnection system and other technologies and services that create infrastructure and efficiencies within the public media system, \$20,000,000.]

(INCLUDING CANCELLATIONS)

Of the amounts made available to the Corporation for Public Broadcasting (CPB) on October 1, 2020 by Public Law 115–245, \$415,000,000 is hereby permanently cancelled: Provided, That section 396(k)(3) of the Communications Act of 1934 (47 U.S.C. 396(k)(3)) shall not apply to the remaining amounts made available to CPB for fiscal year 2021 by Public Law 115–245, or to the unobligated balances of the Fund established in section 396(k)(1)(A) of such Act (47 U.S.C. 396(k)(1)(A)).

Of the amounts which are made available to CPB on October 1, 2021 by Public Law 116–94, \$437,000,000 is hereby permanently cancelled. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 020–0151–0–1–503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 General programming	465	445	30
0002 Interconnection		20	
0900 Total new obligations, unexpired accounts (object class 41.0)	465	465	30
Budgetary resources:			
Budget authority:			
1100 Appropriations, discretionary:			
Appropriation	20	20	
Advance appropriations, discretionary:			
Advance appropriation - General Programming	445	445	445
Advance appropriations permanently reduced			–415
1180 Advanced appropriation, discretionary (total)	445	445	30
1900 Budget authority (total)	465	465	30
1930 Total budgetary resources available	465	465	30
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	465	465	30
3020 Outlays (gross)	–465	–465	–30
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	465	465	30
Outlays, gross:			
4010 Outlays from new discretionary authority	465	465	30
4180 Budget authority, net (total)	465	465	30
4190 Outlays, net (total)	465	465	30

The Budget proposes to eliminate funding for several independent agencies and other federal entities, including the Corporation for Public Broadcasting, as part of the Administration's plan to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. The Budget requests up to \$58 million over two years to conduct an orderly closeout of Federal funding for the Corporation beginning with \$30 million in 2021. The request includes funding for personnel, rental, and other necessary close-out costs.

COUNCIL OF THE INSPECTORS GENERAL ON INTEGRITY AND EFFICIENCY

Federal Funds

INSPECTORS GENERAL COUNCIL FUND

Program and Financing (in millions of dollars)

Identification code 542–4592–0–4–808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Inspectors General Council Fund (Reimbursable)	11	12	14
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	15	15

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	2		
Spending authority from offsetting collections, mandatory:			
1800 Collected	9	12	14
1900 Budget authority (total)	11	12	14
1930 Total budgetary resources available	26	27	29
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	15	15	15

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	3	
3010 New obligations, unexpired accounts	11	12	14
3020 Outlays (gross)	–9	–15	–14
3050 Unpaid obligations, end of year	3		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	3	
3200 Obligated balance, end of year	3		

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	11	12	14
Outlays, gross:			
4100 Outlays from new mandatory authority	8	12	14
4101 Outlays from mandatory balances	1	3	
4110 Outlays, gross (total)	9	15	14
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–9	–12	–14
4180 Budget authority, net (total)	2		
4190 Outlays, net (total)		3	

The Inspector General (IG) Reform Act of 2008 (P.L. 110–409) created the Council of the Inspectors General on Integrity and Efficiency (CIGIE) to address program integrity, efficiency, and effectiveness issues that transcend individual Government agencies and to increase the professionalism and effectiveness of IG staff.

Pursuant to Section 7 of the Inspector General Reform Act of 2008, resources for CIGIE activities are provided through interagency funding, which includes member contributions and tuition. Consistent with prior years, CIGIE plans to collect member contributions for 2021 during the second half of 2020, to be used primarily for the CIGIE Training Institute and operations. Although CIGIE will collect the required member contributions for 2021 from agency IGs in the second half of 2020, the Budget includes funds in individual IG budgets that are dedicated to CIGIE and will be collected in 2021 for use in 2022.

Object Classification (in millions of dollars)

Identification code 542–4592–0–4–808	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time Permanent	2	2	3
11.8 Special personal services payments	2	2	2
11.9 Total personnel compensation	4	4	5
12.1 Civilian personnel benefits	1	1	1
25.1 Advisory and assistance services	3	3	4
25.2 Other services from non-Federal sources	3	3	3
31.0 Equipment			1
99.0 Reimbursable obligations	11	11	14
99.5 Adjustment for rounding		1	
99.9 Total new obligations, unexpired accounts	11	12	14

Employment Summary

Identification code 542–4592–0–4–808	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	14	19	19

COURT SERVICES AND OFFENDER SUPERVISION
AGENCY FOR THE DISTRICT OF COLUMBIA

Federal Funds

FEDERAL PAYMENT TO THE COURT SERVICES AND OFFENDER SUPERVISION
AGENCY FOR THE DISTRICT OF COLUMBIA

For salaries and expenses, including the transfer and hire of motor vehicles, of the Court Services and Offender Supervision Agency for the District of Columbia, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997, **[\$248,524,000]** \$248,175,000, of which not to exceed \$2,000 is for official reception and representation expenses related to Community Supervision and Pretrial Services Agency programs, and of which not to exceed \$25,000 is for dues and assessments relating to the implementation of the Court Services and Offender Supervision Agency Interstate Supervision Act of 2002: *Provided*, That, of the funds appropriated under this heading, **[\$181,065,000]** \$180,973,000 shall be for necessary expenses of Community Supervision and Sex Offender Registration, to include expenses relating to the supervision of adults subject to protection orders or the provision of services for or related to such persons, of which \$3,818,000 shall remain available until September 30, 2022 for costs associated with relocation under a replacement lease for headquarters offices, field offices, and related facilities: *Provided further*, That, of the funds appropriated under this heading, **[\$67,459,000]** \$67,202,000 shall be available to the Pretrial Services Agency, of which **[\$998,000]** \$459,000 shall remain available until September 30, **[2022]** 2023 for costs associated with relocation under a replacement lease for headquarters offices, field offices, and related facilities: *Provided further*, That notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: *Provided further*, That amounts under this heading may be used for programmatic incentives for defendants to successfully complete their terms of supervision. (*District of Columbia Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 511–1734–0–1–752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Community supervision program	172	183	184
0002 Pretrial Services Agency	66	73	69
0900 Total new obligations, unexpired accounts	238	256	253
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		13	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	257	249	248
1900 Budget authority (total)	257	249	248
1930 Total budgetary resources available	257	262	254
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–6		
1941 Unexpired unobligated balance, end of year	13	6	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	96	88	90
3010 New obligations, unexpired accounts	238	256	253
3011 Obligations ("upward adjustments"), expired accounts	5		
3020 Outlays (gross)	–243	–247	–262
3041 Recoveries of prior year unpaid obligations, expired	–8	–7	–7
3050 Unpaid obligations, end of year	88	90	74
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–1	–1	–1
3090 Uncollected pymts, Fed sources, end of year	–1	–1	–1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	95	87	89
3200 Obligated balance, end of year	87	89	73
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	257	249	248
Outlays, gross:			
4010 Outlays from new discretionary authority	188	187	186
4011 Outlays from discretionary balances	55	60	76
4020 Outlays, gross (total)	243	247	262
4180 Budget authority, net (total)	257	249	248

4190 Outlays, net (total)	243	247	262
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The National Capital Revitalization and Self-Government Improvement Act of 1997 established the Court Services and Offender Supervision Agency (CSOSA) for the District of Columbia as an independent Federal agency to perform community supervision of D.C. Code offenders. CSOSA assumed the adult probation function from the D.C. Superior Court and the parole supervision function from the D.C. Board of Parole. The Pretrial Services Agency for the District of Columbia, responsible for supervising pretrial defendants, is an independent entity within CSOSA with its own budget and organizational structure. The mission of CSOSA is to increase public safety, prevent crime, reduce recidivism, and support the fair administration of justice in close collaboration with the community.

The CSOSA appropriation supports the Community Supervision Program and the Pretrial Services Agency.

Community Supervision Program.—This activity provides supervision of adult offenders on probation, parole, or supervised release, consistent with a crime prevention strategy that emphasizes public safety and successful reintegration. The Community Supervision Program employs an integrated system of close supervision, drug testing, graduated sanctions, treatment, transitional housing, and other offender support services, including services from community and faith-based collaborations. The Community Supervision Program also develops and provides the courts and the U.S. Parole Commission with critical information for probation, parole, and supervised release decisions.

Pretrial Services Agency.—This activity assists judicial officers in both the D.C. Superior Court and the U.S. District Court for the District of Columbia by formulating release recommendations and providing supervision and treatment services to defendants that reasonably assure that individuals on conditional release return to court and do not engage in criminal activity pending their trial and/or sentencing. The Pretrial Services Agency is responsible for enforcing conditions of release, conducting drug testing, administering graduated sanctions, referring defendants to treatment and other social services, and reporting to the courts defendants' compliance with their conditions of release.

Object Classification (in millions of dollars)

Identification code 511–1734–0–1–752	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	109	113	115
11.5 Other personnel compensation	3	2	3
11.9 Total personnel compensation	112	115	118
12.1 Civilian personnel benefits	47	52	55
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	14	23	16
23.2 Rental payments to others	7	6	6
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.1 Advisory and assistance services	7	11	10
25.2 Other services from non-Federal sources	31	31	30
25.3 Other goods and services from Federal sources	3	3	3
25.4 Operation and maintenance of facilities		1	1
25.6 Medical care	2	2	2
25.7 Operation and maintenance of equipment	1	1	
26.0 Supplies and materials	3	2	2
31.0 Equipment	6	5	6
32.0 Land and structures	1		
99.0 Direct obligations	238	256	253
99.9 Total new obligations, unexpired accounts	238	256	253

Employment Summary

Identification code 511–1734–0–1–752	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,120	1,120	1,120

DEFENSE NUCLEAR FACILITIES SAFETY BOARD*Federal Funds***SALARIES AND EXPENSES**

For expenses necessary for the Defense Nuclear Facilities Safety Board in carrying out activities authorized by the Atomic Energy Act of 1954, as amended by Public Law 100-456, section 1441, **[\$31,000,000]** \$28,836,000, to remain available until September 30, **[2021]** 2022. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 347-3900-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	28	31	34
Budgetary resources:			
1000 Unobligated balance:			
Unobligated balance brought forward, Oct 1	5	8	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	31	31	29
1930 Total budgetary resources available	36	39	37
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	8	8	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	8	8
3010 New obligations, unexpired accounts	28	31	34
3020 Outlays (gross)	-26	-31	-30
3050 Unpaid obligations, end of year	8	8	12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	8	8
3200 Obligated balance, end of year	8	8	12
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	31	31	29
Outlays, gross:			
4010 Outlays from new discretionary authority	18	23	22
4011 Outlays from discretionary balances	8	8	8
4020 Outlays, gross (total)	26	31	30
4180 Budget authority, net (total)	31	31	29
4190 Outlays, net (total)	26	31	30

The Defense Nuclear Facilities Safety Board, an independent, non-regulatory agency within the Executive Branch, is responsible for evaluating the content and implementation of the standards relating to the design, construction, operation, and decommissioning of Department of Energy (DOE) defense nuclear facilities. The Board also reviews the design of new DOE defense nuclear facilities and periodically reviews and monitors construction of such facilities to ensure adequate protection of public and worker health and safety. The Board is also responsible for investigating any event or practice at a defense nuclear facility that has or may adversely affect public health and safety. The Board makes specific recommendations to the Secretary of Energy on measures that should be adopted to protect both public and employee health and safety.

Object Classification (in millions of dollars)

Identification code 347-3900-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	12	14	16
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	13	15	17
12.1 Civilian personnel benefits	4	5	6
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	3	3	3
25.2 Other services from non-Federal sources	5	5	5
25.3 Other goods and services from Federal sources	1	1	1
31.0 Equipment	1	1	1
99.0 Direct obligations	28	31	34

99.9	Total new obligations, unexpired accounts	28	31	34
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Employment Summary

Identification code 347-3900-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	100	100	114

DELTA REGIONAL AUTHORITY*Federal Funds***SALARIES AND EXPENSES**

For necessary expenses [necessary for] of the Delta Regional Authority [and to carry out its activities], as authorized by the Delta Regional Authority Act of 2000, notwithstanding sections 382C(b)(2), 382F(d), 382M, and 382N of said Act, **[\$30,000,000, to remain available until expended]** \$2,500,000: *Provided, That such amounts shall be available only for the purposes of the closure of the Authority: Provided further, That unobligated balances appropriated under this heading in this and prior years shall be available for the ongoing administration, oversight, and monitoring of grants previously awarded by the Authority. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 517-0750-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Delta Regional Authority (Direct)	21	41	6
0801 Delta Regional Authority (Reimbursable)	1	1	1
0900 Total new obligations, unexpired accounts	22	42	7
Budgetary resources:			
1000 Unobligated balance:			
Unobligated balance brought forward, Oct 1	6	12	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	25	30	3
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	4
1900 Budget authority (total)	28	34	3
1930 Total budgetary resources available	34	46	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	12	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	51	49	29
3010 New obligations, unexpired accounts	22	42	7
3020 Outlays (gross)	-24	-62	-36
3050 Unpaid obligations, end of year	49	29
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	51	49	29
3200 Obligated balance, end of year	49	29
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	28	34	3
Outlays, gross:			
4010 Outlays from new discretionary authority	3	22	3
4011 Outlays from discretionary balances	21	40	33
4020 Outlays, gross (total)	24	62	36
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3	-4
4180 Budget authority, net (total)	25	30	3
4190 Outlays, net (total)	21	58	36

The Budget proposes to eliminate funding for several independent agencies, including the Delta Regional Authority. The Budget requests \$2.5 million to conduct an orderly closeout of the agency in fiscal year 2021, which includes sufficient funding for: personnel costs during shutdown activities, including incentive payments to remain during the closeout period; severance or retirement pay; and non-personnel costs associated with the agency's closure such as lease termination, equipment disposal,

SALARIES AND EXPENSES—Continued

and compliance with recordkeeping requirements. The Budget also proposes statutory authority to transfer outstanding grant obligations and associated administrative and oversight responsibilities to the Department of Agriculture.

Object Classification (in millions of dollars)

Identification code 517-0750-0-1-452	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	1	1	1
41.0 Grants, subsidies, and contributions	20	40	5
99.0 Direct obligations	21	41	6
99.0 Reimbursable obligations	1	1	1
99.9 Total new obligations, unexpired accounts	22	42	7

DENALI COMMISSION

Federal Funds

DENALI COMMISSION

For necessary expenses [necessary for] of the Denali Commission, [including the purchase, construction, and acquisition of plant and capital equipment as necessary and other expenses.] as authorized by the Denali Commission Act of 1998, [\$15,000,000, to remain available until expended,] \$7,300,000, notwithstanding the limitations contained in section 306(g) of [the Denali Commission Act of 1998] such Act: Provided, That funds shall be available [for construction projects in an amount not to exceed 80 percent of total project cost for distressed communities, as defined by section 307 of the Denali Commission Act of 1998 (division C, title III, Public Law 105-277), as amended by section 701 of appendix D, title VII, Public Law 106-113 (113 Stat. 1501A-280), and an amount not to exceed 50 percent for non-distressed communities: Provided further, That notwithstanding any other provision of law regarding payment of a non-Federal share in connection with a grant-in-aid program, amounts under this heading shall be available for the payment of such a non-Federal share for programs undertaken to carry out the purposes of the Commission] only for the purposes of the closure of the Commission: Provided further, That unobligated balances appropriated under this heading in this and prior years shall be available for the ongoing administration, oversight, and monitoring of grants previously awarded by the Commission. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 513-1200-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Denali Commission (Direct)	23	18	7
0102 Denali Commission (Shared Services)	7	16	
0799 Total direct obligations	30	34	7
0900 Total new obligations, unexpired accounts	30	34	7
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	8	17
1021 Recoveries of prior year unpaid obligations	5	9	
1050 Unobligated balance (total)	6	17	17
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	15	15	7
1121 Appropriations transferred from other acct [015-5041]	7		
1160 Appropriation, discretionary (total)	22	15	7
Spending authority from offsetting collections, discretionary:			
1700 Collected	10	19	
1900 Budget authority (total)	32	34	7
1930 Total budgetary resources available	38	51	24
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	8	17	17
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	66	65	27
3010 New obligations, unexpired accounts	30	34	7

3020 Outlays (gross)	-26	-63	-34
3040 Recoveries of prior year unpaid obligations, unexpired	-5	-9	
3050 Unpaid obligations, end of year	65	27	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	66	65	27
3200 Obligated balance, end of year	65	27	

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	32	34	7
Outlays, gross:			
4010 Outlays from new discretionary authority	9	23	7
4011 Outlays from discretionary balances	17	40	27
4020 Outlays, gross (total)	26	63	34
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-10	-19	
4040 Offsets against gross budget authority and outlays (total)	-10	-19	
4180 Budget authority, net (total)	22	15	7
4190 Outlays, net (total)	16	44	34

The Budget proposes to eliminate funding for several independent agencies, including the Denali Commission. The Budget requests \$7.3 million to conduct an orderly closeout of the agency in fiscal year 2021, which includes sufficient funding for personnel costs during shutdown activities, including incentive payments to remain during the closeout period; for severance or retirement pay; and for non-personnel costs associated with the agency's closure such as lease termination, equipment disposal, and compliance with recordkeeping requirements. The Budget also proposes statutory authority to transfer outstanding grant obligations and associated administrative and oversight responsibilities to the Department of Agriculture.

Object Classification (in millions of dollars)

Identification code 513-1200-0-1-452	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	1	2
12.1 Civilian personnel benefits	1	1	1
13.0 Benefits for former personnel			1
25.1 Advisory and assistance services	2	2	
25.3 Other goods and services from Federal sources	6	6	3
41.0 Grants, subsidies, and contributions	19	24	
99.9 Total new obligations, unexpired accounts	30	34	7

Employment Summary

Identification code 513-1200-0-1-452	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	14	13	14

Trust Funds

DENALI COMMISSION TRUST FUND

Program and Financing (in millions of dollars)

Identification code 513-8056-0-7-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Denali Commission Trust Fund (Direct)	3	2	2
0900 Total new obligations, unexpired accounts (object class 41.0)	3	2	2
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			1
1021 Recoveries of prior year unpaid obligations		1	1
1050 Unobligated balance (total)		1	2
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	3	2	2
1930 Total budgetary resources available	3	3	4

Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	2
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	15	9
3010	New obligations, unexpired accounts	3	2
3020	Outlays (gross)	-5	-6
3040	Recoveries of prior year unpaid obligations, unexpired	-1	-1
3050	Unpaid obligations, end of year	13	9
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	15	9
3200	Obligated balance, end of year	13	9
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	3	2
Outlays, gross:			
4010	Outlays from new discretionary authority	1	5
4011	Outlays from discretionary balances	4	6
4020	Outlays, gross (total)	5	6
4180	Budget authority, net (total)	3	2
4190	Outlays, net (total)	5	6

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	3	2	2
Outlays	5	5	6
Legislative proposal, not subject to PAYGO:			
Budget Authority			-2
Outlays			-1
Total:			
Budget Authority	3	2	
Outlays	5	5	5

The Omnibus Consolidated and Emergency Supplemental Appropriations Act of 1999 (P.L. 105-277) established the annual transfer of interest from the investment of the Trans-Alaska Pipeline Liability Fund balance into the Oil Spill Liability Trust Fund for subsequent transfer to the Denali Commission. As required by the Act, the Denali Commission, in consultation with the Coast Guard, developed a program to use these funds to repair or replace bulk fuel storage tanks in Alaska that were not in compliance with Federal law, including the Oil Pollution Act of 1990, or State law.

DENALI COMMISSION TRUST FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 513-8056-2-7-452	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101	Appropriation (special or trust)		-2
1930	Total budgetary resources available		-2
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		-2
Change in obligated balance:			
Unpaid obligations:			
3020	Outlays (gross)		1
3050	Unpaid obligations, end of year		1
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		1
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross		-2
Outlays, gross:			
4010	Outlays from new discretionary authority		-1
4180	Budget authority, net (total)		-2
4190	Outlays, net (total)		-1

Given that the Budget proposes to eliminate the Denali Commission, it also proposes statutory authority to transfer any unobligated and obligated

balances from the bulk fuel storage tank program, and associated administrative and oversight responsibilities, to the Department of Agriculture, and proposes to end transfers of interest to the Denali Commission.

DISTRICT OF COLUMBIA

DISTRICT OF COLUMBIA COURTS

Federal Funds**FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS**

For salaries and expenses for the District of Columbia Courts, **[\$250,088,000]** \$267,838,000 to be allocated as follows: for the District of Columbia Court of Appeals, **[\$14,682,000]** \$14,887,000, of which not to exceed \$2,500 is for official reception and representation expenses; for the Superior Court of the District of Columbia, **[\$125,638,000]** \$129,726,000, of which not to exceed \$2,500 is for official reception and representation expenses; for the District of Columbia Court System, **[\$75,518,000]** \$79,155,000, of which not to exceed \$2,500 is for official reception and representation expenses; and **[\$34,250,000]** \$44,070,000, to remain available until September 30, **[2021]** 2022, for capital improvements for District of Columbia courthouse facilities: *Provided*, That funds made available for capital improvements shall be expended consistent with the District of Columbia Courts master plan study and facilities condition assessment: *Provided further*, That, in addition to the amounts appropriated herein, fees received by the District of Columbia Courts for administering bar examinations and processing District of Columbia bar admissions may be retained and credited to this appropriation, to remain available until expended, for salaries and expenses associated with such activities, notwithstanding section 450 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1-204.50): *Provided further*, That notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: *Provided further*, That 30 days after providing written notice to the Committees on Appropriations of the House of Representatives and the Senate, the District of Columbia Courts may reallocate not more than \$9,000,000 of the funds provided under this heading among the items and entities funded under this heading: *Provided further*, That the Joint Committee on Judicial Administration in the District of Columbia may, by regulation, establish a program substantially similar to the program set forth in subchapter II of chapter 35 of title 5, United States Code, for employees of the District of Columbia Courts. (*District of Columbia Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 349-1712-0-1-806	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Court of Appeals	14	15
0002	Superior Court	124	126
0003	Court system	74	76
0004	Capital improvements	61	54
0900	Total new obligations, unexpired accounts	273	271
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	55	40
1021	Recoveries of prior year unpaid obligations	4	
1050	Unobligated balance (total)	59	40
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	258	250
Spending authority from offsetting collections, discretionary:			
1700	Collected	1	2
1900	Budget authority (total)	259	252
1930	Total budgetary resources available	318	292
Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-5	
1941	Unexpired unobligated balance, end of year	40	21
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	101	131
3010	New obligations, unexpired accounts	273	271
3011	Obligations ("upward adjustments"), expired accounts	5	
3020	Outlays (gross)	-241	-255
3040	Recoveries of prior year unpaid obligations, unexpired	-4	
3041	Recoveries of prior year unpaid obligations, expired	-3	

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS—Continued

Program and Financing—Continued

Identification code 349-1712-0-1-806	2019 actual	2020 est.	2021 est.
3050 Unpaid obligations, end of year	131	147	145
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	101	131	147
3200 Obligated balance, end of year	131	147	145
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	259	252	270
Outlays, gross:			
4010 Outlays from new discretionary authority	187	190	203
4011 Outlays from discretionary balances	54	65	65
4020 Outlays, gross (total)	241	255	268
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-1	-1
4033 Non-Federal sources	-2	-1	-1
4040 Offsets against gross budget authority and outlays (total)	-2	-2	-2
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1		
4070 Budget authority, net (discretionary)	258	250	268
4080 Outlays, net (discretionary)	239	253	266
4180 Budget authority, net (total)	258	250	268
4190 Outlays, net (total)	239	253	266

Under the National Capital Revitalization and Self-Government Improvement Act of 1997, the Federal Government is required to finance the District of Columbia Courts. This payment to the District of Columbia Courts funds the operations of the District of Columbia Court of Appeals, Superior Court, and the Court System, as well as capital improvements.

The Budget provides resources to support the D.C. Courts' core functions. In addition, the Budget provides resources for security required in newly constructed space and for capital improvements necessary to move support functions from off-site leased space to the main court campus and to maintain court facilities in Judiciary Square.

By law, the Courts' annual budget includes estimates of the expenditures for the operations of the District of Columbia Courts prepared by the Joint Committee on Judicial Administration in the District of Columbia and the President's recommendation for funding the District of Columbia Courts. The President's recommended level of \$267.8 million includes \$223.8 million for the District of Columbia Court of Appeals, the Superior Court of the District of Columbia, and the District of Columbia Court System operations and \$44.1 million for capital improvements for District courthouse facilities. Under a separate transmittal to the Congress, the District of Columbia Courts are requesting \$352.3 million: \$230.5 million for operations and \$121.8 million for capital improvements.

Object Classification (in millions of dollars)

Identification code 349-1712-0-1-806	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	105	107	108
11.3 Other than full-time permanent	9	8	7
11.9 Total personnel compensation	114	115	115
12.1 Civilian personnel benefits	33	34	35
21.0 Travel and transportation of persons	1	1	1
23.2 Rental payments to others	7	8	9
23.3 Communications, utilities, and miscellaneous charges	9	10	10
24.0 Printing and reproduction	2	2	3
25.1 Advisory and assistance services	22	22	22
25.2 Other services from non-Federal sources	40	32	33
25.3 Other goods and services from Federal sources	4	4	4
25.4 Operation and maintenance of facilities	8	9	9
25.6 Medical care	1	1	1
25.7 Operation and maintenance of equipment	7	8	7
26.0 Supplies and materials	2	3	2
31.0 Equipment	3	3	2
32.0 Land and structures	20	19	13
99.0 Direct obligations	273	271	266

99.9 Total new obligations, unexpired accounts 273 271 266

FEDERAL PAYMENT FOR DEFENDER SERVICES IN DISTRICT OF COLUMBIA COURTS

For payments authorized under section 11-2604 and section 11-2605, D.C. Official Code (relating to representation provided under the District of Columbia Criminal Justice Act), payments for counsel appointed in proceedings in the Family Court of the Superior Court of the District of Columbia under chapter 23 of title 16, D.C. Official Code, or pursuant to contractual agreements to provide guardian ad litem representation, training, technical assistance, and such other services as are necessary to improve the quality of guardian ad litem representation, payments for counsel appointed in adoption proceedings under chapter 3 of title 16, D.C. Official Code, and payments authorized under section 21-2060, D.C. Official Code (relating to services provided under the District of Columbia Guardianship, Protective Proceedings, and Durable Power of Attorney Act of 1986), \$46,005,000, to remain available until expended: *Provided*, That funds provided under this heading shall be administered by the Joint Committee on Judicial Administration in the District of Columbia: *Provided further*, That, notwithstanding any other provision of law, this appropriation shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for expenses of other Federal agencies. (*District of Columbia Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 349-1736-0-1-806	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Federal Payment for Defender Services in District of Columbia Co (Direct)	42	46	46
0900 Total new obligations, unexpired accounts (object class 25.2)	42	46	46
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	12	16	16
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	46	46	46
1930 Total budgetary resources available	58	62	62
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	16	16	16
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	25	24	18
3010 New obligations, unexpired accounts	42	46	46
3020 Outlays (gross)	-43	-52	-50
3050 Unpaid obligations, end of year	24	18	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	25	24	18
3200 Obligated balance, end of year	24	18	14
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	46	46	46
Outlays, gross:			
4010 Outlays from new discretionary authority	30	24	24
4011 Outlays from discretionary balances	13	28	26
4020 Outlays, gross (total)	43	52	50
4180 Budget authority, net (total)	46	46	46
4190 Outlays, net (total)	43	52	50

Under three Defender Services programs, the District of Columbia Courts appoint and compensate attorneys to represent persons who are financially unable to obtain such representation on their own. The Defender Services programs are the Criminal Justice Act program, which provides court-appointed attorneys to indigent persons who are charged with criminal offenses; the Counsel for Child Abuse and Neglect program, which provides court-appointed attorneys for family proceedings in which child neglect is alleged or where the termination of the parent-child relationship is under consideration and the parent, guardian, or custodian of the child is indigent; and the Guardianship program, which provides for the representation and protection of mentally incapacitated individuals and minors whose parents are deceased. In addition to legal representation, these programs provide

indigent persons with services such as transcripts of court proceedings, expert witness testimony, foreign and sign language interpretation, investigations, and genetic testing. The President's recommended funding level for Defender Services is \$46.0 million, the same as the Courts' request.

DISTRICT OF COLUMBIA CRIME VICTIMS COMPENSATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 349-5676-0-2-806	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
0198 Rounding adjustment	1		
0199 Balance, start of year	1		
Receipts:			
Current law:			
1110 Fines and Fees, District of Columbia Crime Victims Compensation Fund	5	6	6
2000 Total: Balances and receipts	6	6	6
Appropriations:			
Current law:			
2101 District of Columbia Crime Victims Compensation Fund	-5	-6	-6
2103 District of Columbia Crime Victims Compensation Fund	-1		
2199 Total current law appropriations	-6	-6	-6
2999 Total appropriations	-6	-6	-6
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 349-5676-0-2-806	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Crime Victims Compensation	10	9	9
0900 Total new obligations, unexpired accounts (object class 25.1)	10	9	9
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	3	3
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	5	6	6
1203 Appropriation (previously unavailable)(special or trust)	1		
1260 Appropriations, mandatory (total)	6	6	6
Spending authority from offsetting collections, mandatory:			
1800 Collected		3	3
1900 Budget authority (total)	6	9	9
1930 Total budgetary resources available	13	12	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	1
3010 New obligations, unexpired accounts	10	9	9
3020 Outlays (gross)	-9	-9	-9
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	1
3200 Obligated balance, end of year	1	1	1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	6	9	9
Outlays, gross:			
4100 Outlays from new mandatory authority	4	8	8
4101 Outlays from mandatory balances	5	1	1
4110 Outlays, gross (total)	9	9	9
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources		-3	-3
4180 Budget authority, net (total)	6	6	6
4190 Outlays, net (total)	9	6	6

The Superior Court of the District of Columbia administers the Crime Victims Compensation Fund, which finances assistance for innocent victims

of violent crime, survivors of homicide victims, and dependent family members of homicide victims. The program provides compensation for certain costs related to the crime, such as medical expenses, temporary emergency housing, and funeral expenses. The Fund is financed through assessments imposed in criminal cases, court fines and fees, and a grant from the U.S. Department of Justice. Under the 2002 Supplemental Appropriations Act for Further Recovery From and Response to Terrorist Attacks on the United States (P.L. 107-206), one half of the Fund's unobligated balances at the end of each year are transferred to the District of Columbia Government for outreach activities designed to increase the number of crime victims who apply for compensation.

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

Program and Financing (in millions of dollars)

Identification code 020-1713-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to Judicial Retirement Fund	17	16	17
0900 Total new obligations, unexpired accounts (object class 13.0)	17	16	17
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	17	16	17
1930 Total budgetary resources available	17	16	17
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	17	16	17
3020 Outlays (gross)	-17	-16	-17
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	17	16	17
Outlays, gross:			
4100 Outlays from new mandatory authority	17	16	17
4180 Budget authority, net (total)	17	16	17
4190 Outlays, net (total)	17	16	17

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, requires the Secretary of the Treasury to make payments at the end of each fiscal year, beginning in 1998, from the General Fund of the Treasury into the District of Columbia Judicial Retirement and Survivors Annuity Fund (Judicial Fund). Annual payments consist of (1) amounts necessary to amortize: the original unfunded liability over 30 years, the net gain or loss (based on experience) over 10 years, and any other changes in actuarial liability over 20 years and (2) amounts necessary to fund the normal cost and administrative expenses for the year. This account receives the annual payments from the General Fund and immediately transfers these amounts into the Judicial Fund.

Trust Funds

DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-8212-0-7-602	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	166	172	178
Receipts:			
Current law:			
1110 Deductions from Employees Salaries, District of Columbia Judicial Retirement and Survivors Annuity Fund	1	1	1
1140 Earnings on Investments, District of Columbia Judicial Retirement and Survivors Annuity Fund	3	4	5
1140 Federal Payments, D.C. Judicial Retirement and Survivors Annuity	17	16	17
1199 Total current law receipts	21	21	23

DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY
FUND—Continued

Special and Trust Fund Receipts—Continued

Identification code 020-8212-0-7-602	2019 actual	2020 est.	2021 est.
1999 Total receipts	21	21	23
2000 Total: Balances and receipts	187	193	201
Appropriations:			
Current law:			
2101 District of Columbia Judicial Retirement and Survivors Annuity Fund	-20	-21	-23
2135 District of Columbia Judicial Retirement and Survivors Annuity Fund	6	6	8
2199 Total current law appropriations	-14	-15	-15
2999 Total appropriations	-14	-15	-15
5098 Adjustment to reconcile to budgetary accounting	-1		
5099 Balance, end of year	172	178	186

Program and Financing (in millions of dollars)

Identification code 020-8212-0-7-602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Retirement payments	13	14	14
0002 Administrative Costs	1	1	1
0900 Total new obligations, unexpired accounts	14	15	15
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	20	21	23
1235 Appropriations precluded from obligation (special or trust)	-6	-6	-8
1260 Appropriations, mandatory (total)	14	15	15
1930 Total budgetary resources available	14	15	15
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	14	15	15
3020 Outlays (gross)	-14	-15	-15
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	14	15	15
Outlays, gross:			
4100 Outlays from new mandatory authority	13	14	15
4101 Outlays from mandatory balances	1	1	
4110 Outlays, gross (total)	14	15	15
4180 Budget authority, net (total)	14	15	15
4190 Outlays, net (total)	14	15	15
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	165	175	180
5001 Total investments, EOY: Federal securities: Par value	175	180	186

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), established the District of Columbia Judicial Retirement and Survivors Annuity Fund to pay retirement and survivor benefits for District of Columbia judges and expenses necessary to administer the Fund or incurred by the Secretary of the Treasury in carrying out responsibilities regarding such benefits. The Judicial Fund consists of amounts contributed by the judges, proceeds of accumulated pension assets transferred from the District of Columbia and liquidated pursuant to the Act, income earned from the investment of the assets in public debt securities, and amounts appropriated to the Fund.

Object Classification (in millions of dollars)

Identification code 020-8212-0-7-602	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	1	1	1
42.0 Payments to annuitants	13	14	14
99.9 Total new obligations, unexpired accounts	14	15	15

DISTRICT OF COLUMBIA GENERAL AND SPECIAL PAYMENTS

The District of Columbia receives direct Federal payments for a number of local programs in recognition of the District's unique status as the seat of the Federal Government. These General and Special Payments are separate from and in addition to the District's local budget, which is funded through local revenues.

Federal Funds

FEDERAL PAYMENT FOR RESIDENT TUITION SUPPORT

For a Federal payment to the District of Columbia, to be deposited into a dedicated account, for a nationwide program to be administered by the Mayor, for District of Columbia resident tuition support, \$40,000,000, to remain available until expended: *Provided*, That such funds, including any interest accrued thereon, may be used on behalf of eligible District of Columbia residents to pay an amount based upon the difference between in-State and out-of-State tuition at public institutions of higher education, or to pay up to \$2,500 each year at eligible private institutions of higher education: *Provided further*, That the awarding of such funds may be prioritized on the basis of a resident's academic merit, the income and need of eligible students and such other factors as may be authorized: *Provided further*, That the District of Columbia government shall maintain a dedicated account for the Resident Tuition Support Program that shall consist of the Federal funds appropriated to the Program in this Act and any subsequent appropriations, any unobligated balances from prior fiscal years, and any interest earned in this or any fiscal year: *Provided further*, That the account shall be under the control of the District of Columbia Chief Financial Officer, who shall use those funds solely for the purposes of carrying out the Resident Tuition Support Program: *Provided further*, That the Office of the Chief Financial Officer shall provide a quarterly financial report to the Committees on Appropriations of the House of Representatives and the Senate for these funds showing, by object class, the expenditures made and the purpose therefor. (District of Columbia Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 020-1736-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Federal Payment for Resident Tuition Support (Direct)	40	40	
0900 Total new obligations, unexpired accounts (object class 41.0)	40	40	
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	40	40	
1930 Total budgetary resources available	40	40	
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	40	40	
3020 Outlays (gross)	-40	-40	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	40	40	
Outlays, gross:			
4010 Outlays from new discretionary authority	40	40	
4180 Budget authority, net (total)	40	40	
4190 Outlays, net (total)	40	40	

The D.C. Tuition Assistance Grant program enables students from the District of Columbia to attend eligible public universities and colleges nationwide at in-state tuition rates. The program also provides grants for

students to attend private institutions in the D.C. metropolitan area or private Historically Black Colleges and Universities nationwide, as well as public 2-year community colleges. The program's authorization ended in 2012. The 2021 Budget proposes to eliminate the unauthorized program because of a lack of a clear Federal role for supporting the cost of higher education specifically for District residents.

FEDERAL PAYMENT FOR SCHOOL IMPROVEMENT

For a Federal payment for a school improvement program in the District of Columbia, **[\$52,500,000]** \$90,000,000, to remain available until expended, for payments authorized under the Scholarships for Opportunity and Results Act (division C of Public Law 112–10), *as amended: Provided*, That, to the extent that funds are available for opportunity scholarships and following the priorities included in section 3006 of such Act, the Secretary of Education shall make scholarships available to students eligible under section 3013(3) of such Act (Public Law 112–10; 125 Stat. 211) including students who were not offered a scholarship during any previous school year: *Provided further*, That within funds provided for opportunity scholarships up to **[\$1,200,000]** \$3,200,000 shall be for the activities specified in sections 3007(b) through 3007(d) **[of the Act and up to \$500,000 shall be for the activities specified in section]** and 3009 of the Act. (*District of Columbia Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 020–1817–0–1–501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Opportunity Scholarship Program	18	18	30
0002 D.C. public schools	18	18	30
0003 D.C. public charter schools	17	17	30
0900 Total new obligations, unexpired accounts (object class 41.0)	53	53	90
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	53	53	90
1930 Total budgetary resources available	53	53	90
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	53	53	90
3020 Outlays (gross)	–53	–53	–90
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	53	53	90
Outlays, gross:			
4010 Outlays from new discretionary authority	53	53	90
4180 Budget authority, net (total)	53	53	90
4190 Outlays, net (total)	53	53	90

The Budget provides \$90 million to support kindergarten through high school education in the District of Columbia. The Budget continues to support the District's successful three-sector education strategy and includes \$30 million for D.C. public schools for continued support of the District's efforts to transform its public education system into an innovative and high-achieving system that could be used as a model for urban school district reform across the Nation, \$30 million for D.C. charter schools to support facilities and other unmet needs, and \$30 million to support scholarships for low-income students to attend private schools of their choice and program evaluation for the D.C. Opportunity Scholarship program.

FEDERAL SUPPORT FOR ECONOMIC DEVELOPMENT AND MANAGEMENT REFORMS IN THE DISTRICT

FEDERAL PAYMENT TO THE CRIMINAL JUSTICE COORDINATING COUNCIL

For a Federal payment to the Criminal Justice Coordinating Council, **[\$12,500,000]** \$1,805,000, to remain available until expended, to support initiatives related to the

coordination of Federal and local criminal justice resources in the District of Columbia.

FEDERAL PAYMENT FOR JUDICIAL COMMISSIONS

For a Federal payment, to remain available until September 30, 2021, to the Commission on Judicial Disabilities and Tenure, **[\$325,000]** \$278,000, and for the Judicial Nomination Commission, **[\$275,000]** \$254,000.

FEDERAL PAYMENT FOR THE DISTRICT OF COLUMBIA NATIONAL GUARD

For a Federal payment to the District of Columbia National Guard, \$413,000, to remain available until expended for the Major General David F. Wherley, Jr. District of Columbia National Guard Retention and College Access Program.

FEDERAL PAYMENT FOR TESTING AND TREATMENT OF HIV/AIDS

For a Federal payment to the District of Columbia for the testing of individuals for, and the treatment of individuals with, human immunodeficiency virus and acquired immunodeficiency syndrome in the District of Columbia, **[\$4,000,000]** \$3,000,000. (*District of Columbia Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 020–1707–0–1–999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Water and Sewer Authority	8	8
0002 Criminal Justice Coordinating Council	2	2	2
0019 Judicial Commissions and DC National Guard	1	1	1
0025 HIV/AIDS Prevention	3	4	3
0900 Total new obligations, unexpired accounts (object class 41.0)	14	15	6
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	14	15	6
1930 Total budgetary resources available	14	15	6
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	14	15	6
3020 Outlays (gross)	–14	–15	–6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	14	15	6
Outlays, gross:			
4010 Outlays from new discretionary authority	14	15	6
4180 Budget authority, net (total)	14	15	6
4190 Outlays, net (total)	14	15	6

The Budget includes \$3 million to fund the D.C. Department of Health's continued efforts to prevent the spread of HIV/AIDS in the District. This funding will allow the District to focus on service saturation in areas of combined high risk and high poverty in order to ensure that ward-level counseling and testing, prevention, and treatment services are readily available and fully utilized. Funding will also be used to bolster social marketing and outreach campaigns for these important public health programs. The Budget also includes \$1.805 million for the Criminal Justice Coordinating Council, \$0.532 million for judicial commissions, and \$0.413 million for the D.C. National Guard.

FEDERAL PAYMENT FOR EMERGENCY PLANNING AND SECURITY COSTS IN THE DISTRICT OF COLUMBIA

For a Federal payment of necessary expenses, as determined by the Mayor of the District of Columbia in written consultation with the elected county or city officials of surrounding jurisdictions, **[\$18,000,000]** \$1,400,000, to remain available until expended, for the costs of providing public safety at events related to the presence of the National Capital in the District of Columbia, including support requested by the Director of the United States Secret Service in carrying out protective duties under the direction of the Secretary of Homeland Security, and for the costs of providing support to respond to immediate and specific terrorist threats or attacks in the District of Columbia or surrounding jurisdictions. (*District of Columbia Appropriations Act, 2020.*)

FEDERAL PAYMENT FOR EMERGENCY PLANNING AND SECURITY COSTS IN THE DISTRICT
OF COLUMBIA—Continued

Program and Financing (in millions of dollars)

Identification code 020-1771-0-1-806	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Emergency Planning Fund	12	18	51
0900 Total new obligations, unexpired accounts (object class 41.0)	12	18	51
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	12	18	51
1930 Total budgetary resources available	12	18	51
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	12	18	51
3020 Outlays (gross)	-12	-18	-51
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	12	18	51
Outlays, gross:			
4010 Outlays from new discretionary authority	12	18	51
4180 Budget authority, net (total)	12	18	51
4190 Outlays, net (total)	12	18	51

The Budget provides \$51.4 million for emergency planning and security costs related to the presence of the Federal Government in the District of Columbia, including expenses for the 2021 Presidential Inauguration and costs associated with providing support requested by the Director of the U.S. Secret Service.

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PENSION FUND

Program and Financing (in millions of dollars)

Identification code 020-1714-0-1-601	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to Federal Pension Fund	498	546	571
0900 Total new obligations, unexpired accounts (object class 13.0)	498	546	571
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	498	546	571
1930 Total budgetary resources available	498	546	571
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	498	546	571
3020 Outlays (gross)	-498	-546	-571
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	498	546	571
Outlays, gross:			
4100 Outlays from new mandatory authority	498	546	571
4180 Budget authority, net (total)	498	546	571
4190 Outlays, net (total)	498	546	571

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, requires the Secretary of the Treasury to make payments at the end of each fiscal year from the General Fund of the Treasury into the District of Columbia Federal Pension Fund. This account receives the annual payments from the General Fund and immediately transfers these amounts into the District of Columbia Federal Pension Fund. Annual payments consist of (1) amounts necessary to amortize: the original unfunded liability over 30 years, the net gain or loss (based on experience)

over 10 years, and any other changes in actuarial liability over 20 years and (2) amounts necessary to fund administrative expenses for the year.

DISTRICT OF COLUMBIA FEDERAL PENSION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-5511-0-2-601	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	3,686	3,698	3,757
Receipts:			
Current law:			
1140 Federal Contribution, DC Federal Pension Fund	498	546	571
1140 Earnings on Investments, DC Federal Pension Fund	78	82	40
1199 Total current law receipts	576	628	611
1999 Total receipts	576	628	611
2000 Total: Balances and receipts	4,262	4,326	4,368
Appropriations:			
Current law:			
2101 District of Columbia Federal Pension Fund	-577	-591	-582
2103 District of Columbia Federal Pension Fund	-1	-1	-1
2132 District of Columbia Federal Pension Fund	1	1
2135 District of Columbia Federal Pension Fund	13	22	24
2199 Total current law appropriations	-564	-569	-559
2999 Total appropriations	-564	-569	-559
5099 Balance, end of year	3,698	3,757	3,809

Program and Financing (in millions of dollars)

Identification code 020-5511-0-2-601	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Retirement payments	543	539	534
0002 Administrative costs	22	26	25
0799 Total direct obligations	565	565	559
0801 Reimbursable Program - Retirement Payments	218	249	277
0802 Reimbursable Program - Administrative Expenses	2	2	2
0899 Total reimbursable obligations	220	251	279
0900 Total new obligations, unexpired accounts	785	816	838
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	17	19	26
1021 Recoveries of prior year unpaid obligations	1
1050 Unobligated balance (total)	18	19	26
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	577	591	582
1203 Appropriation (previously unavailable)(special or trust)	1	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-1	-1
1235 Appropriations precluded from obligation (special or trust)	-13	-22	-24
1260 Appropriations, mandatory (total)	564	569	559
Spending authority from offsetting collections, mandatory:			
1800 Collected	222	254	282
1900 Budget authority (total)	786	823	841
1930 Total budgetary resources available	804	842	867
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	19	26	29
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	55	57	58
3010 New obligations, unexpired accounts	785	816	838
3020 Outlays (gross)	-782	-815	-839
3040 Recoveries of prior year unpaid obligations, unexpired	-1
3050 Unpaid obligations, end of year	57	58	57
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	55	57	58
3200 Obligated balance, end of year	57	58	57
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	786	823	841

Outlays, gross:				
4100	Outlays from new mandatory authority	722	758	820
4101	Outlays from mandatory balances	60	57	19
4110	Outlays, gross (total)	782	815	839
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-222	-254	-282
4180	Budget authority, net (total)	564	569	559
4190	Outlays, net (total)	560	561	557

Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	3,696	3,798	3,858
5001	Total investments, EOY: Federal securities: Par value	3,798	3,858	3,906

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, established the District of Columbia Federal Pension Fund to pay retirement benefits for District of Columbia firefighters, police officers, and teachers, and to pay any necessary expenses to administer the Fund or expenses incurred by the Secretary of the Treasury in carrying out responsibilities regarding such benefits. The District of Columbia Federal Pension Fund consists of accumulated pension assets transferred from the District of Columbia, income earned from the investment of the assets in public debt securities, and amounts appropriated to the Fund.

Object Classification (in millions of dollars)

Identification code 020-5511-0-2-601		2019 actual	2020 est.	2021 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	3	3	3
12.1	Civilian personnel benefits	1	1	1
25.1	Advisory and assistance services	10	10	9
25.2	Other services from non-Federal sources	3	6	6
25.3	Other goods and services from Federal sources	5	6	6
42.0	Payments to annuitants	543	539	534
99.0	Direct obligations	565	565	559
99.0	Reimbursable obligations	220	251	279
99.9	Total new obligations, unexpired accounts	785	816	838

Employment Summary

Identification code 020–5511–0–2–601	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	21	23	25

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA WATER AND SEWER AUTHORITY

For a Federal payment to the District of Columbia Water and Sewer Authority, \$8,000,000, to remain available until expended, to continue implementation of the Combined Sewer Overflow Long-Term Plan: *Provided*, That the District of Columbia Water and Sewer Authority provides a 100 percent match for this payment. *](District of Columbia Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 020-4446-0-3-806		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0801	Federal Payment for Water and Sewer Services (Reimbursable)	71	85	101
0900	Total new obligations, unexpired accounts (object class 23.3)	71	85	101
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1		1	1
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected	72	85	101
1930	Total budgetary resources available	72	86	102
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1	1	1

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2
3010	New obligations, unexpired accounts	71	85	101
3020	Outlays (gross)	-73	-85	-101
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross	72	85	101
Outlays, gross:				
4100	Outlays from new mandatory authority	71	85	101
4101	Outlays from mandatory balances	2
4110	Outlays, gross (total)	73	85	101
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-71	-85	-101
4123	Non-Federal sources	-1
4130	Offsets against gross budget authority and outlays (total)	-72	-85	-101
4170	Outlays, net (mandatory)	1
4180	Budget authority, net (total)
4190	Outlays, net (total)	1

The 1990 District of Columbia Appropriations Act established a system "to improve the means by which the District of Columbia (now the District of Columbia Water and Sewer Authority) is paid for water and sanitary sewer services furnished to the Government of the United States or any department, agency, or independent establishment thereof." Each agency is required to pay on a quarterly basis 25 percent of its estimated yearly bill into this account. If an agency fails to pay its obligation on time, the Treasury Department is authorized to pay the full government-wide bill by making up the missed agency payment(s) with a permanent, indefinite appropriation, which must then be reimbursed by the appropriate agency or agencies.

GENERAL FUND RECEIPT ACCOUNT

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
349-322070 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts		1	1
General Fund Offsetting receipts from the public		1	1

TITLE VIII—GENERAL PROVISIONS—DISTRICT OF COLUMBIA

(INCLUDING TRANSFERS OF FUNDS)

SEC. 801. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making refunds and for the payment of legal settlements or judgments that have been entered against the District of Columbia government.

SEC. 802. None of the Federal funds provided in this Act shall be used for publicity or propaganda purposes or implementation of any policy including boycott designed to support or defeat legislation pending before Congress or any State legislature.

SEC. 803. (a) None of the Federal funds provided under this Act to the agencies funded by this Act, both Federal and District government agencies, that remain available for obligation or expenditure in fiscal year **[2020]** 2021, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditures for an agency through a reprogramming of funds which—

- (1) creates new programs;
- (2) eliminates a program, project, or responsibility center;
- (3) establishes or changes allocations specifically denied, limited or increased under this Act;
- (4) increases funds or personnel by any means for any program, project, or responsibility center for which funds have been denied or restricted;
- (5) re-establishes any program or project previously deferred through reprogramming;

(6) augments any existing program, project, or responsibility center through a reprogramming of funds in excess of \$3,000,000 or 10 percent, whichever is less; or

(7) increases by 20 percent or more personnel assigned to a specific program, project or responsibility center, unless [prior approval is received from] *notice is provided* to the Committees on Appropriations of the House of Representatives and the Senate.

(b) The District of Columbia government is authorized to approve and execute reprogramming and transfer requests of local funds under this title through November 7, [2020] 2021.

SEC. 804. None of the Federal funds provided in this Act may be used by the District of Columbia to provide for salaries, expenses, or other costs associated with the offices of United States Senator or United States Representative under section 4(d) of the District of Columbia Statehood Constitutional Convention Initiatives of 1979 (D.C. Law 3–171; D.C. Official Code, sec. 1–123).

SEC. 805. Except as otherwise provided in this section, none of the funds made available by this Act or by any other Act may be used to provide any officer or employee of the District of Columbia with an official vehicle unless the officer or employee uses the vehicle only in the performance of the officer's or employee's official duties. For purposes of this section, the term "official duties" does not include travel between the officer's or employee's residence and workplace, except in the case of—

(1) an officer or employee of the Metropolitan Police Department who resides in the District of Columbia or is otherwise designated by the Chief of the Department;

(2) at the discretion of the Fire Chief, an officer or employee of the District of Columbia Fire and Emergency Medical Services Department who resides in the District of Columbia and is on call 24 hours a day;

(3) at the discretion of the Director of the Department of Corrections, an officer or employee of the District of Columbia Department of Corrections who resides in the District of Columbia and is on call 24 hours a day;

(4) at the discretion of the Chief Medical Examiner, an officer or employee of the Office of the Chief Medical Examiner who resides in the District of Columbia and is on call 24 hours a day;

(5) at the discretion of the Director of the Homeland Security and Emergency Management Agency, an officer or employee of the Homeland Security and Emergency Management Agency who resides in the District of Columbia and is on call 24 hours a day;

(6) the Mayor of the District of Columbia; and

(7) the Chairman of the Council of the District of Columbia.

SEC. 806. (a) None of the Federal funds contained in this Act may be used by the District of Columbia Attorney General or any other officer or entity of the District government to provide assistance for any petition drive or civil action which seeks to require Congress to provide for voting representation in Congress for the District of Columbia.

(b) Nothing in this section bars the District of Columbia Attorney General from reviewing or commenting on briefs in private lawsuits, or from consulting with officials of the District government regarding such lawsuits.

SEC. 807. None of the Federal funds contained in this Act may be used to distribute any needle or syringe for the purpose of preventing the spread of blood borne pathogens in any location that has been determined by the local public health or local law enforcement authorities to be inappropriate for such distribution.

SEC. 808. Nothing in this Act may be construed to prevent the Council or Mayor of the District of Columbia from addressing the issue of the provision of contraceptive coverage by health insurance plans, but it is the intent of Congress that any legislation enacted on such issue should include a "conscience clause" which provides exceptions for religious beliefs and moral convictions.

SEC. 809. (a) None of the Federal funds contained in this Act may be used to enact or carry out any law, rule, or regulation to legalize or otherwise reduce penalties associated with the possession, use, or distribution of any schedule I substance under the Controlled Substances Act (21 U.S.C. 801 et seq.) or any tetrahydrocannabinols derivative.

(b) No funds available for obligation or expenditure by the District of Columbia government under any authority may be used to enact any law, rule, or regulation to legalize or otherwise reduce penalties associated with the possession, use, or distribution of any schedule I substance under the Controlled Substances Act (21 U.S.C. 801 et seq.) or any tetrahydrocannabinols derivative for recreational purposes.

SEC. 810. No funds available for obligation or expenditure by the District of Columbia government under any authority shall be expended for any abortion except where the life of the mother would be endangered if the fetus were carried to term or where the pregnancy is the result of an act of rape or incest.

SEC. 811. (a) No later than 30 calendar days after the date of the enactment of this Act, the Chief Financial Officer for the District of Columbia shall submit to the appropriate committees of Congress, the Mayor, and the Council of the District of Columbia, a revised appropriated funds operating budget in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1–204.42), for all agencies of the District of Columbia government for fiscal year [2020] 2021 that is in the total amount of the approved appropriation and that realigns all budgeted data for personal services and other-than-personal services, respectively, with anticipated actual expenditures.

(b) This section shall apply only to an agency for which the Chief Financial Officer for the District of Columbia certifies that a reallocation is required to address unanticipated changes in program requirements.

SEC. 812. No later than 30 calendar days after the date of the enactment of this Act, the Chief Financial Officer for the District of Columbia shall submit to the appropriate committees of Congress, the Mayor, and the Council for the District of Columbia, a revised appropriated funds operating budget for the District of Columbia Public Schools that aligns schools budgets to actual enrollment. The revised appropriated funds budget shall be in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1–204.42).

SEC. 813. (a) Amounts appropriated in this Act as operating funds may be transferred to the District of Columbia's enterprise and capital funds and such amounts, once transferred, shall retain appropriation authority consistent with the provisions of this Act.

(b) The District of Columbia government is authorized to reprogram or transfer for operating expenses any local funds transferred or reprogrammed in this or the four prior fiscal years from operating funds to capital funds, and such amounts, once transferred or reprogrammed, shall retain appropriation authority consistent with the provisions of this Act.

(c) The District of Columbia government may not transfer or reprogram for operating expenses any funds derived from bonds, notes, or other obligations issued for capital projects.

SEC. 814. None of the Federal funds appropriated in this Act shall remain available for obligation beyond the current fiscal year, nor may any be transferred to other appropriations, unless expressly so provided herein.

SEC. 815. Except as otherwise specifically provided by law or under this Act, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year [2020] 2021 from appropriations of Federal funds made available for salaries and expenses for fiscal year [2020] 2021 in this Act, shall remain available through September 30, [2021] 2022, for each such account for the purposes authorized: *Provided*, That a [request] *notice* shall be submitted to the Committees on Appropriations of the House of Representatives and the Senate [for approval] prior to the expenditure of such funds: *Provided further*, That these requests shall be made in compliance with reprogramming guidelines outlined in section 803 of this Act.

SEC. 816. (a)

(1) During fiscal year [2021] 2022, during a period in which neither a District of Columbia continuing resolution or a regular District of Columbia appropriation bill is in effect, local funds are appropriated in the amount provided for any project or activity for which local funds are provided in the Act referred to in paragraph (2) (subject to any modifications enacted by the District of Columbia as of the beginning of the period during which this subsection is in effect) at the rate set forth by such Act.

(2) The Act referred to in this paragraph is the Act of the Council of the District of Columbia pursuant to which a proposed budget is approved for fiscal year [2021] 2022 which (subject to the requirements of the District of Columbia Home Rule Act) will constitute the local portion of the annual budget for the District of Columbia government for fiscal year [2021] 2022 for purposes of section 446 of the District of Columbia Home Rule Act (sec. 1–204.46, D.C. Official Code).

(b) Appropriations made by subsection (a) shall cease to be available—

(1) during any period in which a District of Columbia continuing resolution for fiscal year [2021] 2022 is in effect; or

(2) upon the enactment into law of the regular District of Columbia appropriation bill for fiscal year [2021] 2022.

(c) An appropriation made by subsection (a) is provided under the authority and conditions as provided under this Act and shall be available to the extent and in the manner that would be provided by this Act.

(d) An appropriation made by subsection (a) shall cover all obligations or expenditures incurred for such project or activity during the portion of fiscal year 2021 for which this section applies to such project or activity.

(e) This section shall not apply to a project or activity during any period of fiscal year **2021** 2022 if any other provision of law (other than an authorization of appropriations)—

(1) makes an appropriation, makes funds available, or grants authority for such project or activity to continue for such period; or

(2) specifically provides that no appropriation shall be made, no funds shall be made available, or no authority shall be granted for such project or activity to continue for such period.

(f) Nothing in this section shall be construed to affect obligations of the government of the District of Columbia mandated by other law.

SEC. 817. Except as expressly provided otherwise, any reference to "this Act" contained in this title or in title IV shall be treated as referring only to the provisions of this title or of title IV.

SEC. 818. None of the funds made available by this Act may be used to carry out the Death with Dignity Act of 2016 (D.C. Law 21–182) or to implement any rule or regulation promulgated to carry out such Act.

SEC. 819. None of the funds made available by this Act may be used to carry out the Reproductive Health Non-Discrimination Amendment Act of 2014 (D.C. Law 20–261) or to implement any rule or regulation promulgated to carry out such Act. (Financial Services and General Government Appropriations Act, 2020.)

ELECTION ASSISTANCE COMMISSION

Federal Funds

SALARIES AND EXPENSES (INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the Help America Vote Act of 2002 (Public Law 107–252), **\$15,171,000** \$13,063,000, of which \$1,500,000 shall be transferred to the National Institute of Standards and Technology for election reform activities authorized under the Help America Vote Act of 2002; and of which \$2,400,000 shall remain available until September 30, 2021, for relocation expenses. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 525–1650–0–1–808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Election Assistance Commission	8	11	13
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	9	15	13
1120 Appropriations transferred to other acts [013–0500]	–1	–1	–1
1160 Appropriation, discretionary (total)	8	14	12
1930 Total budgetary resources available	8	14	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		3	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	3	1
3010 New obligations, unexpired accounts	8	11	13
3020 Outlays (gross)	–7	–13	–13
3050 Unpaid obligations, end of year	3	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	3	1
3200 Obligated balance, end of year	3	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	8	14	12
Outlays, gross:			
4010 Outlays from new discretionary authority	6	11	10
4011 Outlays from discretionary balances	1	2	3
4020 Outlays, gross (total)	7	13	13
4180 Budget authority, net (total)	8	14	12
4190 Outlays, net (total)	7	13	13

The Election Assistance Commission assists State and local election officials by testing and certifying election equipment, sharing best practices

to improve the administration of Federal elections, and providing them with information about the voting system standards established by the Help America Vote Act of 2002 (P.L. 107–252). Of the amounts proposed for 2021, \$1.5 million will be transferred to the National Institute of Standards and Technology to support the Technical Guidelines Development Committee in developing a comprehensive set of testing guidelines for voting system hardware and software.

Object Classification (in millions of dollars)

Identification code 525–1650–0–1–808	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	4	4
12.1 Civilian personnel benefits	1	2	2
21.0 Travel and transportation of persons	1	1	2
25.2 Other services from non-Federal sources	3	4	5
99.9 Total new obligations, unexpired accounts	8	11	13

Employment Summary

Identification code 525–1650–0–1–808	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	23	30	32

ELECTION SECURITY GRANTS

Notwithstanding section 104(c)(2)(B) of the Help America Vote Act of 2002 (52 U.S.C. 20904(c)(2)(B)), \$425,000,000 is provided to the Election Assistance Commission for necessary expenses to make payments to States for activities to improve the administration of elections for Federal office, including to enhance election technology and make election security improvements, as authorized by sections 101, 103, and 104 of such Act: *Provided*, That for purposes of applying such sections, the Commonwealth of the Northern Mariana Islands shall be deemed to be a State and, for purposes of sections 101(d)(2) and 103(a) shall be treated in the same manner as the Commonwealth of Puerto Rico, Guam, American Samoa, and the United States Virgin Islands: *Provided further*, That each reference to the "Administrator of General Services" or the "Administrator" in sections 101 and 103 shall be deemed to refer to the "Election Assistance Commission": *Provided further*, That each reference to "\$5,000,000" in section 103 shall be deemed to refer to "\$3,000,000" and each reference to "\$1,000,000" in section 103 shall be deemed to refer to "\$600,000": *Provided further*, That not later than 45 days after the date of enactment of this Act, the Election Assistance Commission shall make the payments to States under this heading: *Provided further*, That not later than two years after receiving a payment under this heading, a State shall make available funds for such activities in an amount equal to 20 percent of the total amount of the payment made to the State under this heading. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 525–1651–0–1–808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity		425	
0100 Direct program activities, subtotal		425	
0900 Total new obligations, unexpired accounts (object class 41.0)		425	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation		425	
1930 Total budgetary resources available	1	426	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	1	1
3010 New obligations, unexpired accounts		425	
3020 Outlays (gross)	–1	–425	
3050 Unpaid obligations, end of year	1	1	1

ELECTION SECURITY GRANTS—Continued
Program and Financing—Continued

Identification code 525–1651–0–1–808	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross		425	
Outlays, gross:			
4010 Outlays from new discretionary authority		425	
4011 Outlays from discretionary balances	1		
4020 Outlays, gross (total)	1	425	
4180 Budget authority, net (total)		425	
4190 Outlays, net (total)	1	425	

The Election Assistance Commission is responsible for distributing and auditing the use of election reform grant funding, in accordance with the requirements of the Help America Vote Act of 2002. Total Federal Government funding to States for election administration modernization and improvement exceeds \$4 billion, including \$425 million appropriated in 2020. The Budget does not provide resources for additional grant funding.

ELECTION DATA COLLECTION GRANTS

Program and Financing (in millions of dollars)

Identification code 525–1652–0–1–808	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
1930 Total budgetary resources available	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Equal Employment Opportunity Commission as authorized by title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act of 1967, the Equal Pay Act of 1963, the Americans with Disabilities Act of 1990, section 501 of the Rehabilitation Act of 1973, the Civil Rights Act of 1991, the Genetic Information Nondiscrimination Act (GINA) of 2008 (Public Law 110–233), the ADA Amendments Act of 2008 (Public Law 110–325), and the Lilly Ledbetter Fair Pay Act of 2009 (Public Law 111–2), including services as authorized by section 3109 of title 5, United States Code; hire of passenger motor vehicles as authorized by section 1343(b) of title 31, United States Code; nonmonetary awards to private citizens; and up to **[\$30,500,000]** \$27,525,000 for payments to State and local enforcement agencies for authorized services to the Commission, **[\$389,500,000]** \$362,481,000: *Provided*, That the Commission is authorized to make available for official reception and representation expenses not to exceed \$2,250 from available funds: *Provided further*, That the Commission may take no action to implement any workforce repositioning, restructuring, or reorganization until such time as the Committees on Appropriations of the House of Representatives and the Senate have been notified of such proposals, in accordance with the reprogramming requirements of section 505 of this Act: *Provided further*, That the Chair may accept and use any gift or donation to carry out the work of the Commission. (*Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 045–0100–0–1–751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Private sector	301	309	287

0002 Federal sector	48	50	47
0003 State and local	30	31	28
0900 Total new obligations, unexpired accounts	379	390	362

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			2
1011 Unobligated balance transfer from other acct [047–0616]		2	2
1050 Unobligated balance (total)		2	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	380	390	362
1900 Budget authority (total)	380	390	362
1930 Total budgetary resources available	380	392	366
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–1		
1941 Unexpired unobligated balance, end of year		2	4

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	80	82	52
3010 New obligations, unexpired accounts	379	390	362
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	–374	–420	–366
3041 Recoveries of prior year unpaid obligations, expired	–5		
3050 Unpaid obligations, end of year	82	52	48
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	80	82	52
3200 Obligated balance, end of year	82	52	48

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	380	390	362
Outlays, gross:			
4010 Outlays from new discretionary authority	317	339	315
4011 Outlays from discretionary balances	57	81	51
4020 Outlays, gross (total)	374	420	366
4180 Budget authority, net (total)	380	390	362
4190 Outlays, net (total)	374	420	366

The Equal Employment Opportunity Commission (EEOC) is the Federal agency responsible for enforcement of: Title VII of the Civil Rights Act of 1964, as amended; the Age Discrimination in Employment Act of 1967; the Equal Pay Act of 1963; the Americans with Disabilities Act of 1990 (ADA); the Civil Rights Act of 1991; the Genetic Information Non-Discrimination Act of 2008; the ADA Amendments Act of 2008; the Lilly Ledbetter Fair Pay Act of 2009; and in the Federal sector only, section 501 of the Rehabilitation Act of 1973. These Acts prohibit employment discrimination based on race, sex, religion, national origin, age, disability status, or genetic information. EEOC is also responsible for carrying out Executive Order 12067, which promotes coordination and minimizes conflict and duplication among Federal agencies that administer statutes or regulations involving employment discrimination.

TOTAL WORKLOAD

	2019 actual	2020 est.	2021 est.
Private sector enforcement	124,386	117,538	113,567
Federal sector program:			
Hearings	23,540	21,659	18,548
Appeals	7,166	8,072	8,279
Total workload	155,092	147,269	140,394

The 2021 Budget is an opportunity to advance the work the Commission began with the adoption of the Strategic Plan for 2018–2022. The strategic plan outlines a framework for achieving the EEOC's mission to "Prevent and Remedy Unlawful Employment Discrimination and advance equal opportunity for all in the workplace". The plan's strategic objectives include: 1) Combat and prevent employment discrimination through strategic law enforcement; and 2) Prevent employment discrimination and promote inclusive workplaces through education and outreach. The Budget will permit EEOC to improve efficiencies through data resource consolidation, promote knowledge sharing, and foster communication to avoid unnecessary duplication of effort and continue its standards of providing quality service to

the public through enforcement and prevention activities. EEOC's enforcement responsibilities are in two areas: The private sector and the Federal sector.

Private sector.—EEOC addresses equal employment opportunity in several ways. The agency investigates charges alleging employment discrimination; makes findings on the allegations; resolves charges through mediation; negotiates settlement or conciliation; and litigates cases of employment discrimination by enforcing compliance with existing laws and regulations. The priority for agency resources continues to be litigating systemic cases and maintaining a manageable inventory of cases.

PRIVATE SECTOR ENFORCEMENT WORKLOAD PROJECTIONS

Workload/Workflow	2019 actual	2020 est.	2021 est.
Total pending	50,973*	43,580	40,524
Total receipts	72,675	73,220	72,305
Net FEPA transfers/deferrals	738	738	738
Total workload	124,386	117,538	113,567
Resolutions:			
Successful mediation	6,394	6,079	6,320
From contract	338	236	125
From staff	6,056	5,843	6,195
Administrative enforcement resolutions	74,412	70,934	64,351
Total resolutions	80,806	77,013	70,671
Pending ending	43,580	40,525	42,896

*Pending beginning inventory adjusted to reflect activity spanning fiscal years.

State and Local Program.—EEOC contracts with Fair Employment Practices Agencies (FEPAs) that are responsible for addressing employment discrimination within their respective State and local jurisdictions. In addition, the agency works with Tribal Employment Rights Organizations to promote employment opportunities for Native Americans on or near a reservation.

STATE AND LOCAL WORKLOAD PROJECTIONS

Workload	2019 actual	2020 est.	2021 est.
Charges/complaints pending	49,722	49,255	48,788
Charges/complaints received	36,432	36,432	36,432
Total Workload	86,154	85,687	85,220
Charges/complaints resolved	36,161	36,161	36,161
Charges/complaints deferred to EEOC	738	738	738
Charges/complaints pending ending	49,255	48,788	48,321

Federal sector.—EEOC holds hearings on complaints of discrimination filed in Federal agencies, decides appeals of complaints of discrimination, and engages in activities to prevent or remove discriminatory barriers to employment opportunities in the Federal Government.

FEDERAL SECTOR PROGRAMS HEARINGS WORKLOAD PROJECTIONS

Workload	2019 actual	2020 est.	2021 est.
Hearings pending	14,536	12,932	10,521
Hearings requests received	9,177	8,900	8,200
Hearings requests consolidated after initial processing	(173)	(173)	(173)
Total workload	23,540	21,659	18,548
Hearings resolved	10,608	11,138	9,080
Hearings pending ending	12,932	10,521	9,468

FEDERAL SECTOR PROGRAMS APPEALS WORKLOAD PROJECTIONS

Workload	2019 actual	2020 est.	2021 est.
Appeals pending	2,942	3,072	3,279
Appeals received	4,224	5,000	5,000
Total workload	7,166	8,072	8,279
Appeals resolved	4,094	4,793	4,793
Appeals pending ending	3,072	3,279	3,486

Object Classification (in millions of dollars)

Identification code 045-0100-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	198	200	198
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	3	2	2
11.9 Total personnel compensation	203	204	202

12.1 Civilian personnel benefits	67	72	71
21.0 Travel and transportation of persons	2	3	3
23.1 Rental payments to GSA	28	33	33
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	4	4	4
25.1 State and Local Contracts	30	31	28
25.2 Other services from non-Federal sources	32	29	12
25.2 Security services	1	3	3
25.3 Other goods and services from Federal sources	6	5	3
26.0 Supplies and materials	4	4	1
31.0 Equipment	1	1	1
99.9 Total new obligations, unexpired accounts	379	390	362

Employment Summary

Identification code 045-0100-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2,047	1,903	1,717

EEOC EDUCATION, TECHNICAL ASSISTANCE, AND TRAINING REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 045-4019-0-3-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 EEOC Education, Technical Assistance, and Training Revolving Fun (Reimbursable)	5	5	5
0809 Reimbursable program activities, subtotal	5	5	5
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	5	5	5
1930 Total budgetary resources available	7	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2
3010 New obligations, unexpired accounts	5	5	5
3020 Outlays (gross)	-5	-7	-5
3050 Unpaid obligations, end of year	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2
3200 Obligated balance, end of year	2
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	5	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority	4	4	4
4101 Outlays from mandatory balances	1	3	1
4110 Outlays, gross (total)	5	7	5
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-2	-2	-2
4123 Non-Federal sources	-3	-3	-3
4130 Offsets against gross budget authority and outlays (total)	-5	-5	-5
4170 Outlays, net (mandatory)	2
4180 Budget authority, net (total)
4190 Outlays, net (total)	2
Memorandum (non-add) entries:			
5096 Unexpired unavailable balance, SOY: Appropriations	1	1	1
5098 Unexpired unavailable balance, EOY: Appropriations	1	1	1

The EEOC Education, Technical Assistance, and Training Revolving Fund Act of 1992 created a revolving fund to pay for the cost of providing education, technical assistance and training relating to the laws administered by the EEOC.

EEOC EDUCATION, TECHNICAL ASSISTANCE, AND TRAINING REVOLVING
FUND—Continued

Object Classification (in millions of dollars)

Identification code 045-4019-0-3-751	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
25.2 Other services from non-Federal sources	3	3	3
99.9 Total new obligations, unexpired accounts	5	5	5

Employment Summary

Identification code 045-4019-0-3-751	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	14	14	14

EXPORT-IMPORT BANK OF THE UNITED STATES

Federal Funds

INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978 (5 U.S.C. App.), **[\$5,700,000]** \$5,200,000, of which up to **[\$855,000]** \$780,000 may remain available until September 30, **[2021]** 2022. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 083-0105-0-1-155	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0009 Administrative Expenses	5	6	6
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6	6	5
1930 Total budgetary resources available	7	7	6
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	1	1	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	3
3010 New obligations, unexpired accounts	5	6	6
3020 Outlays (gross)	-5	-5	-6
3050 Unpaid obligations, end of year	2	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	3
3200 Obligated balance, end of year	2	3	3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	6	6	5
Outlays, gross:			
4010 Outlays from new discretionary authority	3	4	4
4011 Outlays from discretionary balances	2	1	2
4020 Outlays, gross (total)	5	5	6
4180 Budget authority, net (total)	6	6	5
4190 Outlays, net (total)	5	5	6

Object Classification (in millions of dollars)

Identification code 083-0105-0-1-155	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	3
12.1 Civilian personnel benefits	1	2	2
25.2 Other services from non-Federal sources	1	1	1
99.9 Total new obligations, unexpired accounts	5	6	6

Employment Summary

Identification code 083-0105-0-1-155	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	19	25	27

PROGRAM ACCOUNT

The Export-Import Bank of the United States is authorized to make such expenditures within the limits of funds and borrowing authority available to such corporation, and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by section 9104 of title 31, United States Code, as may be necessary in carrying out the program for the current fiscal year for such corporation: *Provided*, That none of the funds available during the current fiscal year may be used to make expenditures, contracts, or commitments for the export of nuclear equipment, fuel, or technology to any country, other than a nuclear-weapon state as defined in Article IX of the Treaty on the Non-Proliferation of Nuclear Weapons eligible to receive economic or military assistance under this Act, that has detonated a nuclear explosive after the date of enactment of this Act.

ADMINISTRATIVE EXPENSES

For administrative expenses to carry out the direct and guaranteed loan and insurance programs, including hire of passenger motor vehicles and services as authorized by section 3109 of title 5, United States Code, and not to exceed \$30,000 for official reception and representation expenses for members of the Board of Directors, not to exceed **[\$110,000,000]** \$100,946,000, of which up to **[\$16,500,000]** \$15,141,900 may remain available until September 30, **[2021]** 2022: *Provided*, That the Export-Import Bank (the Bank) may accept, and use, payment or services provided by transaction participants for legal, financial, or technical services in connection with any transaction for which an application for a loan, guarantee or insurance commitment has been made: *Provided further*, That notwithstanding chapter 51, subchapter III of chapter 53, and section 5373 of title 5, United States Code, the Board of Directors of the Export-Import Bank of the United States may set an employee's rate of basic pay up to the rate for level III of the Executive Schedule, and this authority may be applied to no more than 35 employees at any point in time and shall remain in effect until September 30, 2021: *Provided further*, That the Bank shall charge fees for necessary expenses (including special services performed on a contract or fee basis, but not including other personal services) in connection with the collection of moneys owed the Bank, repossession or sale of pledged collateral or other assets acquired by the Bank in satisfaction of moneys owed the Bank, or the investigation or appraisal of any property, or the evaluation of the legal, financial, or technical aspects of any transaction for which an application for a loan, guarantee or insurance commitment has been made, or systems infrastructure directly supporting transactions: *Provided further*, That in addition to other funds appropriated for administrative expenses, such fees shall be credited to this account for such purposes, to remain available until expended.

RECEIPTS COLLECTED

Receipts collected pursuant to the Export-Import Bank Act of 1945 (Public Law 79-173) and the Federal Credit Reform Act of 1990, in an amount not to exceed the amount appropriated herein, shall be credited as offsetting collections to this account: *Provided*, That the sums herein appropriated from the General Fund shall be reduced on a dollar-for-dollar basis by such offsetting collections so as to result in a final fiscal year appropriation from the General Fund estimated at \$0.

CANCELLATION

Of the unobligated balances available under the heading "Export and Investment Assistance, Export-Import Bank of the United States, Subsidy Appropriation" for tied-aid grants from prior Acts making appropriations for the Department of State, foreign operations, and related programs, \$84,000,000 are hereby permanently cancelled. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 083-0100-0-1-155	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0705 Reestimates of direct loan subsidy	442	37	
0706 Interest on reestimates of direct loan subsidy	76	11	
0707 Reestimates of loan guarantee subsidy	2	22	
0708 Interest on reestimates of loan guarantee subsidy	2	12	
0709 Administrative expenses	111	110	108

0715	Other	11	14	6
0900	Total new obligations, unexpired accounts	644	206	114
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	196	217	152
1001	Discretionary unobligated balance brought fwd, Oct 1	196	217	
1020	Adjustment of unobligated bal brought forward, Oct 1	25		
1021	Recoveries of prior year unpaid obligations	4		
1050	Unobligated balance (total)	225	217	152
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	94		
1131	Unobligated balance of appropriations permanently reduced		-64	-84
1160	Appropriation, discretionary (total)	94	-64	-84
Appropriations, mandatory:				
1200	Appropriation	521	81	
Spending authority from offsetting collections, discretionary:				
1700	Collected	21		
1700	Offsetting collections (Admin Expense)		110	101
1700	Offsetting collections (Other)		14	6
1750	Spending auth from offsetting collections, disc (total)	21	124	107
1900	Budget authority (total)	636	141	23
1930	Total budgetary resources available	861	358	175
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	217	152	61
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	140	115	27
3001	Adjustments to unpaid obligations, brought forward, Oct 1			
3010	New obligations, unexpired accounts	644	206	114
3020	Outlays (gross)	-629	-294	-111
3040	Recoveries of prior year unpaid obligations, unexpired	-4		
3041	Recoveries of prior year unpaid obligations, expired	-9		
3050	Unpaid obligations, end of year	115	27	30
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	113	115	27
3200	Obligated balance, end of year	115	27	30
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	115	60	23
Outlays, gross:				
4010	Outlays from new discretionary authority	69	99	86
4011	Outlays from discretionary balances	39	114	25
4020	Outlays, gross (total)	108	213	111
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-21	-124	-107
Mandatory:				
4090	Budget authority, gross	521	81	
Outlays, gross:				
4100	Outlays from new mandatory authority	521	81	
4180	Budget authority, net (total)	615	17	-84
4190	Outlays, net (total)	608	170	4

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 083-0100-0-1-155	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Direct Loans: Export Financing	5,009		
115999 Total direct loan levels	5,009		
Direct loan subsidy (in percent):			
132001 Direct Loans: Export Financing	-13.59		
132999 Weighted average subsidy rate	-13.59		
Direct loan subsidy budget authority:			
133001 Direct Loans: Export Financing	-681		
133999 Total subsidy budget authority	-681		
Direct loan reestimates:			
135001 Direct Loans: Export Financing	455	7	
135999 Total direct loan reestimates	455	7	
Guaranteed loan levels supportable by subsidy budget authority:			
215004 Long Term Guarantees		18,629	16,712
215005 Medium Term Guarantees	240	420	525

215006 Short Term Insurance	2,192	2,760	2,790
215007 Medium Term Insurance	86	80	75
215008 Working Capital Fund	688	1,141	773
215999 Total loan guarantee levels	3,206	23,030	20,875
Guaranteed loan subsidy (in percent):			
232004 Long Term Guarantees		-6.00	-6.12
232005 Medium Term Guarantees	-1.71	-21	-2.00
232006 Short Term Insurance	-0.03	0.00	0.00
232007 Medium Term Insurance	-1.45	-2.80	-5.51
232008 Working Capital Fund	0.00	0.00	0.00
232999 Weighted average subsidy rate	-1.19	-4.87	-4.97
Guaranteed loan subsidy budget authority:			
233004 Long Term Guarantees		-1,118	-1,023
233005 Medium Term Guarantees	-4	-1	-10
233006 Short Term Insurance	-1		
233007 Medium Term Insurance	-1	-2	-4
233999 Total subsidy budget authority	-6	-1,121	-1,037
Guaranteed loan subsidy outlays:			
234004 Long Term Guarantees		-497	-610
234999 Total subsidy outlays		-497	-610
Guaranteed loan reestimates:			
235004 Long Term Guarantees	-250	-64	
235005 Medium Term Guarantees	-22	-10	
235006 Short Term Insurance	-26	-19	
235007 Medium Term Insurance	-10	-7	
235999 Total guaranteed loan reestimates	-308	-100	
Administrative expense data:			
3510 Budget authority	110	110	101

The Export-Import Bank of the United States (EXIM or the Bank) is the official export credit agency of the United States. EXIM is an independent, Federal agency that supports American jobs by facilitating the export of U.S. goods and services. To accomplish its objectives, the Bank's authority and resources are used to: assume commercial and political risks that exporters or private institutions are unwilling or unable to undertake; overcome maturity and other limitations in private sector export financing; assist U.S. exporters to meet officially sponsored foreign export credit competition; and provide leadership and guidance in export financing to the U.S. exporting and banking communities and to foreign borrowers. The Bank provides its export credit support through direct loan, loan guarantee, and insurance programs.

The 2021 Budget estimates that the Bank's export credit support will total \$20.9 billion, and will be funded entirely by receipts collected from the Bank's customers. The Bank estimates it will collect \$711.2 million in 2021 in receipts in excess of expected losses on transactions authorized in 2021 and prior years. These amounts will be used to cover administrative expenses in an amount not to exceed \$100.9 million. Any excess will be deposited in the General Fund of the Treasury. The 2021 Budget requests \$0 in subsidy costs and cancels \$84 million in the Tied Aid Fund.

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with direct loans and direct grants obligated, and loan guarantees and insurance committed in 1992 and beyond, as well as administrative expenses. The subsidy amounts are estimated on a present value basis; administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 083-0100-0-1-155	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	46	52	51
12.1 Civilian personnel benefits	16	18	17
21.0 Travel and transportation of persons	2	3	3
23.1 Rental payments to GSA	6	8	8
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	23	24	12
25.3 Other goods and services from Federal sources	2	2	2
25.7 Operation and maintenance of equipment	16	12	12
26.0 Supplies and materials	2	2	2
31.0 Equipment	6	4	4
41.0 Grants, subsidies, and contributions	522	78	
99.9 Total new obligations, unexpired accounts	644	206	114

EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT—Continued
Employment Summary

Identification code 083-0100-0-1-155	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	365	396	424

DEBT REDUCTION FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 083-4028-0-3-155	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			3
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (repayments)	23	3	3
1820 Capital transfer of spending authority from offsetting collections to general fund	-23		
1850 Spending auth from offsetting collections, mand (total)		3	3
1930 Total budgetary resources available		3	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		3	6

Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross		3	3
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Non-Federal sources - Principal	-23	-2	-2
4123 Non-Federal sources - Interest		-1	-1
4130 Offsets against gross budget authority and outlays (total)	-23	-3	-3
4160 Budget authority, net (mandatory)	-23		
4170 Outlays, net (mandatory)	-23	-3	-3
4180 Budget authority, net (total)	-23		
4190 Outlays, net (total)	-23	-3	-3

Status of Direct Loans (in millions of dollars)

Identification code 083-4028-0-3-155	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	33	36	33
1251 Repayments: Repayments and prepayments	-6	-3	-3
1264 Other adjustments, net (+ or -)	9		
1290 Outstanding, end of year	36	33	30

Balance Sheet (in millions of dollars)

Identification code 083-4028-0-3-155	2018 actual	2019 actual
ASSETS:		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	33	36
1405 Allowance for subsidy cost (-)	-33	-36
1499 Net present value of assets related to direct loans		
1701 Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Defaulted guaranteed loans, gross		
1999 Total upward reestimate subsidy BA [11-0091]		
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position		

EXPORT-IMPORT BANK DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 083-4161-0-3-155	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	5,009		

0713 Payment of interest to Treasury	536	750	750
0740 Negative subsidy obligations	681		
0742 Downward reestimates paid to receipt accounts	41	29	
0743 Interest on downward reestimates	22	11	
0900 Total new obligations, unexpired accounts	6,289	790	750

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	74	49	4,082
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	5,690	2,220	1,200
Spending authority from offsetting collections, mandatory:			
1800 Spending authority from offsetting collections (cash)	3,886	2,603	2,603
1825 Spending authority from offsetting collections applied to repay debt	-3,312		
1850 Spending auth from offsetting collections, mand (total)	574	2,603	2,603
1900 Budget authority (total)	6,264	4,823	3,803
1930 Total budgetary resources available	6,338	4,872	7,885
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	49	4,082	7,135

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,595	7,225	6,036
3010 New obligations, unexpired accounts	6,289	790	750
3020 Outlays (gross)	-659	-1,979	-2,219
3050 Unpaid obligations, end of year	7,225	6,036	4,567
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-13	-13	-13
3090 Uncollected pymts, Fed sources, end of year	-13	-13	-13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,582	7,212	6,023
3200 Obligated balance, end of year	7,212	6,023	4,554

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross	6,264	4,823	3,803
Financing disbursements:			
4110 Outlays, gross (total)	659	1,979	2,219
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: Upward reestimate	-517	-47	
4122 Interest on uninvested funds	-60	-285	-285
4123 Repayments and prepayments	-3,309	-2,271	-2,318
4130 Offsets against gross budget authority and outlays (total)	-3,886	-2,603	-2,603
4160 Budget authority, net (mandatory)	2,378	2,220	1,200
4170 Outlays, net (mandatory)	-3,227	-624	-384
4180 Budget authority, net (total)	2,378	2,220	1,200
4190 Outlays, net (total)	-3,227	-624	-384

Status of Direct Loans (in millions of dollars)

Identification code 083-4161-0-3-155	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	5,009		
1150 Total direct loan obligations	5,009		
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	18,352	15,487	15,270
1231 Disbursements: Direct loan disbursements	66	1,979	2,219
1251 Repayments: Repayments and prepayments	-2,794	-2,196	-1,997
1263 Write-offs for default: Direct loans	-137		
1290 Outstanding, end of year	15,487	15,270	15,492

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. As required by the Export-Import Bank Act of 1945 (P.L. 79-173, as amended), this account includes reserves amounting to not less than five percent of the aggregate amount of disbursed and outstanding loans, guarantees, and insurance of the Bank.

Balance Sheet (in millions of dollars)

Identification code 083-4161-0-3-155	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	1,636	1,598
Investments in U.S. securities:		
1106 Receivables, net		
1206 Non-Federal assets: Receivables, net		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	18,352	15,487
1402 Interest receivable	198	141
1405 Allowance for subsidy cost (-)	-1,143	-891
1499 Net present value of assets related to direct loans	17,407	14,737
1901 Other Federal assets: Other assets	914	47
1999 Total assets	19,957	16,382
LIABILITIES:		
Federal liabilities:		
2101 Accounts payable	250	23
2103 Debt	19,707	16,359
2201 Non-Federal liabilities: Accounts payable		
2999 Total liabilities	19,957	16,382
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	19,957	16,382

EXPORT-IMPORT BANK GUARANTEED LOAN FINANCING ACCOUNT**Program and Financing** (in millions of dollars)

Identification code 083-4162-0-3-155	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Payment Certificates	10		
0091 Direct program activities, subtotal	10		
Credit program obligations:			
0711 Default claim payments on principal	41	36	35
0713 Payment of interest to Treasury	15		
0740 Negative subsidy obligations	6	1,121	1,037
0742 Downward reestimates paid to receipt accounts	238	105	
0743 Interest on downward reestimates	75	29	
0791 Direct program activities, subtotal	375	1,291	1,072
0900 Total new obligations, unexpired accounts	385	1,291	1,072
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,275	976	807
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority		912	912
Spending authority from offsetting collections, mandatory:			
1800 Spending authority from offsetting collections (cash)	109	210	210
1820 Capital transfer of spending authority from offsetting collections to general fund	-23		
1850 Spending auth from offsetting collections, mand (total)	86	210	210
1900 Budget authority (total)	86	1,122	1,122
1930 Total budgetary resources available	1,361	2,098	1,929
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	976	807	857
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	14	7	1,298
3010 New obligations, unexpired accounts	385	1,291	1,072
3020 Outlays (gross)	-392		
3050 Unpaid obligations, end of year	7	1,298	2,370
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-91	-91	-91
3090 Uncollected pymts, Fed sources, end of year	-91	-91	-91
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-77	-84	1,207
3200 Obligated balance, end of year	-84	1,207	2,279

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross	86	1,122	1,122
Financing disbursements:			
4110 Outlays, gross (total)	392		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal Sources: Payments from program account	-4	-33	
4122 Interest on uninvested funds	-26	-100	-100
4123 Fees, premiums, claim recoveries	-79	-77	-110
4130 Offsets against gross budget authority and outlays (total)	-109	-210	-210
4160 Budget authority, net (mandatory)	-23	912	912
4170 Outlays, net (mandatory)	283	-210	-210
4180 Budget authority, net (total)	-23	912	912
4190 Outlays, net (total)	283	-210	-210

Status of Guaranteed Loans (in millions of dollars)

Identification code 083-4162-0-3-155	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority	3,205	23,030	20,875
2121 Limitation available from carry-forward			
2143 Uncommitted limitation carried forward			
2150 Total guaranteed loan commitments	3,205	23,030	20,875
2199 Guaranteed amount of guaranteed loan commitments		23,030	20,875
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	41,644	33,968	33,040
2231 Disbursements of new guaranteed loans	3,557	6,725	10,413
2251 Repayments and prepayments	-11,189	-7,617	-5,814
2263 Adjustments: Terminations for default that result in claim payments	-44	-36	-35
2290 Outstanding, end of year	33,968	33,040	37,604
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year		33,040	37,604
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year			
2364 Other adjustments, net			
2390 Outstanding, end of year			

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. As required by the Export-Import Bank Act of 1945 (P.L. 79-173, as amended), this account includes reserves amounting to not less than five percent of the aggregate amount of disbursed and outstanding loans, guarantees, and insurance of the Bank.

Balance Sheet (in millions of dollars)

Identification code 083-4162-0-3-155	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	594	501
1206 Non-Federal assets: Receivables, net		
1501 Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Loans receivable, gross		
1999 Total assets	594	501
LIABILITIES:		
2103 Federal liabilities: Debt		
Non-Federal liabilities:		
2201 Accounts payable		
2204 Liabilities for loan guarantees	594	501
2999 Total liabilities	594	501
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	594	501

EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 083-4027-0-3-155	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	3	7	7
1820 Capital transfer of spending authority from offsetting collections to general fund	-3	-7	-7
Budget authority and outlays, net:			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-3	-7	-7
4180 Budget authority, net (total)	-3	-7	-7
4190 Outlays, net (total)	-3	-7	-7

Status of Direct Loans (in millions of dollars)

Identification code 083-4027-0-3-155	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	329	90	89
1251 Repayments: Repayments and prepayments	-3	-1
1264 Other adjustments, net (+ or -)	-236
1290 Outstanding, end of year	90	89	89

Status of Guaranteed Loans (in millions of dollars)

Identification code 083-4027-0-3-155	2019 actual	2020 est.	2021 est.
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	28	27	26
2351 Repayments of loans receivable	-1	-1	-1
2390 Outstanding, end of year	27	26	25

EXIM's liquidating account records all cash flows to and from the Government resulting from all EXIM direct loans obligated and loan guarantees committed prior to 1992. This account is shown on a cash basis and reflects the transactions resulting from loans provided to finance exports. No new loan disbursements are made from this account. Certain collections made into this account are made available for default claim payments. The Federal Credit Reform Act provides permanent indefinite authority to cover obligations for default payments if the liquidating account funds are otherwise insufficient. All new EXIM credit activity in 1992 and after (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 083-4027-0-3-155	2018 actual	2019 actual
ASSETS:		
1601 Direct loans, gross	329	90
1602 Interest receivable	43
1603 Allowance for estimated uncollectible loans and interest (-)	-320	-131
1699 Value of assets related to direct loans	9	2
1701 Defaulted guaranteed loans, gross	28	27
1703 Allowance for estimated uncollectible loans and interest (-)	-10	-21
1799 Value of assets related to loan guarantees	18	6
1999 Total assets	27	8
LIABILITIES:		
Non-Federal liabilities:		
2201 Accounts payable	2
2203 Debt	12
2204 Liabilities for loan guarantees
2207 Other
2999 Total liabilities	12	2
NET POSITION:		
3300 Cumulative results of operations	1,000	1,000

3300 Cumulative results of operations	-985	-994
3999 Total net position	15	6
4999 Total liabilities and net position	27	8

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
083-272710 Export-Import Bank Loans, Negative Subsidies	497	610
083-272730 Export-Import Bank Loans, Downward Reestimates of Subsidies	375	173
General Fund Offsetting receipts from the public	375	670	610

FARM CREDIT ADMINISTRATION

Federal Funds

LIMITATION ON ADMINISTRATIVE EXPENSES

Not to exceed **[\$77,000,000] \$80,400,000** (from assessments collected from farm credit institutions, including the Federal Agricultural Mortgage Corporation) shall be obligated during the current fiscal year for administrative expenses as authorized under 12 U.S.C. 2249: *Provided*, That this limitation shall not apply to expenses associated with receiverships: *Provided further*, That the agency may exceed this limitation by up to 10 percent with notification to the Committees on Appropriations of both Houses of Congress: *Provided further*, That the purposes of section 3.7(b)(2)(A)(i) of the Farm Credit Act of 1971 (12 U.S.C. 2128(b)(2)(A)(i)), the Farm Credit Administration may exempt, an amount in its sole discretion, from the application of the limitation provided in that clause of export loans described in the clause guaranteed or insured in a manner other than described in subclause (II) of the clause. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 352-4131-0-3-351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Limitation on Administrative Expenses (Reimbursable)	74	78	81
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	22	22	22
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	74	78	81
1930 Total budgetary resources available	96	100	103
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	22	22	22
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	14	15	15
3010 New obligations, unexpired accounts	74	78	81
3020 Outlays (gross)	-73	-78	-84
3050 Unpaid obligations, end of year	15	15	12
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	13	14	14
3200 Obligated balance, end of year	14	14	11

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	74	78	81
Outlays, gross:			
4100 Outlays from new mandatory authority	66	62	75
4101 Outlays from mandatory balances	7	16	9
4110 Outlays, gross (total)	73	78	84

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	Federal sources	-1	
4121	Interest on Federal securities:	-1	
4123	Non-Federal sources	-72	-78 -81
4130	Offsets against gross budget authority and outlays (total)	-74	-78 -81
4170	Outlays, net (mandatory)	-1	3
4180	Budget authority, net (total)		
4190	Outlays, net (total)	-1	3

Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	32	35 36
5001	Total investments, EOY: Federal securities: Par value	35	36 33

The Farm Credit Administration (FCA) is an independent Federal agency that examines and regulates the Farm Credit System (System) for safety and soundness and program compliance. The System is a cooperative agricultural credit system of farm credit banks and associations that lend to farmers, ranchers, and their cooperatives; farm-related businesses; rural homeowners; and rural utilities. FCA also performs the examination and general supervision of Farmer Mac. In addition, FCA examines the National Consumer Cooperative Bank, which is not a System institution.

As of October 1, 2019, the System was composed of three Farm Credit Banks, one Agricultural Credit Bank, 68 associations, five service corporations, the Federal Farm Credit Banks Funding Corporation, and Farmer Mac.

Assessments based upon estimated administrative expenses are collected from institutions in the System, including Farmer Mac, and are available for administrative expenses. Obligations are incurred within fiscal year budgets approved by the FCA Board. Section 6(g)(1) of the Inspector General Act of 1978, as amended, (IG Act) requires an Inspector General (IG) to include specific information in the budget request that the IG submits to its designated Federal entity to which the IG reports. To fulfill the requirement of Section 6(g)(2) of the IG Act as it pertains to FCA, the FCA Board must in turn include this same information in the budget request that the Agency submits to the President.

The information that the IG Act requires to be included is provided below:

The aggregate budget request for the Office of Inspector General (OIG) is \$1,964,094.

The amount needed for OIG training is \$24,000.

The amount needed to support the Council of the Inspectors General on Integrity and Efficiency is \$5,900.

The FCA IG's budget request for 2021 is being submitted unchanged by the FCA Board.

Object Classification (in millions of dollars)

Identification code 352-4131-0-3-351			
	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1	Full-time permanent	45	47 48
11.3	Other than full-time permanent	1	1 1
11.9	Total personnel compensation	46	48 49
12.1	Civilian personnel benefits	17	20 21
21.0	Travel and transportation of persons	3	3 3
23.3	Communications, utilities, and miscellaneous charges	1	1 1
25.1	Advisory and assistance services	2	2 3
25.2	Other services from non-Federal sources	1	1
25.3	Other goods and services from Federal sources	1	1 1
25.7	Operation and maintenance of equipment	1	1 1
26.0	Supplies and materials	1	1 1
31.0	Equipment	1	1 1
99.9	Total new obligations, unexpired accounts	74	78 81

Employment Summary

Identification code 352-4131-0-3-351			
	2019 actual	2020 est.	2021 est.
2001	Reimbursable civilian full-time equivalent employment	308	320 322

FARM CREDIT SYSTEM INSURANCE CORPORATION

Federal Funds

FARM CREDIT SYSTEM INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 352-4136-0-3-351			
	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801	Reimbursable program activity	70	4 5
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	4,692	4,926 5,204
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected	308	282 293
1801	Change in uncollected payments, Federal sources	-4	
1850	Spending auth from offsetting collections, mand (total)	304	282 293
1930	Total budgetary resources available	4,996	5,208 5,497
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	4,926	5,204 5,492
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	70	4 5
3020	Outlays (gross)	-70	-4 -4
3050	Unpaid obligations, end of year		1
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-22	-18 -18
3070	Change in uncollected pymts, Fed sources, unexpired	4	
3090	Uncollected pymts, Fed sources, end of year	-18	-18 -18
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	-22	-18 -18
3200	Obligated balance, end of year	-18	-18 -17
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	304	282 293
Outlays, gross:			
4100	Outlays from new mandatory authority	70	4 4
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121	Interest on Federal securities	-95	-79 -84
4123	Non-Federal sources	-213	-203 -209
4130	Offsets against gross budget authority and outlays (total)	-308	-282 -293
Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired	4	
4170	Outlays, net (mandatory)	-238	-278 -289
4180	Budget authority, net (total)		
4190	Outlays, net (total)	-238	-278 -289
Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	4,695	4,932 5,216
5001	Total investments, EOY: Federal securities: Par value	4,932	5,216 5,505

The Farm Credit System Insurance Corporation (Corporation) was established to ensure the timely payment of principal and interest on insured System debt obligations purchased by investors. The Corporation is managed by a three member Board of Directors that consists of the same individuals as the Farm Credit Administration Board. However, the same member may not serve as a chair of both entities. The Corporation derives its revenues from insurance premiums collected from insured System banks and from the investment income earned on its investment portfolio. Insurance premiums are assessed on System banks based on the level of adjusted insured obligations outstanding at each bank. Congress established a secure base amount of 2 percent of adjusted outstanding insured System obligations, or such other amount determined by the Corporation's Board of Directors to be actuarially sound to maintain in the Insurance Fund. As of September 30, 2019, the Insurance Fund was \$208 million above the 2 percent secure base amount at 2.08 percent. For calendar 2019, the Corporation is assessing insurance premiums at 9 basis points on adjusted insured debt obligations and 10 basis points on non-accrual loans and other-than-temporarily impaired investments. In January 2020, the Corporation's Board

FARM CREDIT SYSTEM INSURANCE FUND—Continued

will determine insurance premium rates for calendar year 2020. The Corporation has the authority to make refunds of excess Insurance Fund balances.

The Insurance Fund is available for payment of insured System obligations if a System bank defaults on its primary liability. The Insurance Fund is also available to pay the operating costs of the Corporation and to exercise its authority to make loans, borrow, purchase System bank assets or obligations, provide other financial assistance and otherwise act to reduce its exposure to losses.

Object Classification (in millions of dollars)

Identification code 352–4136–0–3–351	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
12.1 Civilian personnel benefits	1	1	1
25.3 Other goods and services from Federal sources	1	1	2
43.0 Interest and dividends	66		
99.9 Total new obligations, unexpired accounts	70	4	5

Employment Summary

Identification code 352–4136–0–3–351	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	11	11	11

FEDERAL COMMUNICATIONS COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Federal Communications Commission, as authorized by law, including uniforms and allowances therefor, as authorized by 5 U.S.C. 5901–5902; not to exceed \$4,000 for official reception and representation expenses; purchase and hire of motor vehicles; special counsel fees; and services as authorized by 5 U.S.C. 3109, **[\$339,000,000]** \$343,070,000, to remain available until expended: *Provided*, That **[\$339,000,000]** \$343,070,000 of offsetting collections shall be assessed and collected pursuant to section 9 of title I of the Communications Act of 1934, shall be retained and used for necessary expenses and shall remain available until expended: *Provided further*, That the sum herein appropriated shall be reduced as such offsetting collections are received during fiscal year **[2020]** 2021 so as to result in a final fiscal year **[2020]** 2021 appropriation estimated at \$0: *Provided further*, That, notwithstanding 47 U.S.C. 309(j)(8)(B), proceeds from the use of a competitive bidding system that may be retained and made available for obligation shall not exceed **[\$132,539,000]** \$134,495,000 for fiscal year **[2020]** 2021: *Provided further*, That, of the amount appropriated under this heading, not less than **[\$11,105,700]** \$11,326,800 shall be for the salaries and expenses of the Office of Inspector General. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 027–0100–0–1–376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Salaries and Expenses (Reimbursable)	482	469	477
0809 Reimbursable program activities, subtotal	482	469	477
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	119	116	120
1021 Recoveries of prior year unpaid obligations	8		
1050 Unobligated balance (total)	127	116	120
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections (Reimbursables)	1	4	4
1700 Offsetting collections (Auctions)	130	133	134
1700 Offsetting collections (Reg Fees)	353	336	343
1701 Change in uncollected payments, Federal sources	1		
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations)	–14		

1750 Spending auth from offsetting collections, disc (total)	471	473	481
Spending authority from offsetting collections, mandatory:			
1802 Offsetting collections (previously unavailable)	135		
1820 Capital transfer of spending authority from offsetting collections to general fund	–135		
1900 Budget authority (total)	471	473	481
1930 Total budgetary resources available	598	589	601
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	116	120	124

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	83	120	69
3010 New obligations, unexpired accounts	482	469	477
3020 Outlays (gross)	–437	–520	–480
3040 Recoveries of prior year unpaid obligations, unexpired	–8		
3050 Unpaid obligations, end of year	120	69	66
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–1	–1	–1
3070 Change in uncollected pymts, Fed sources, unexpired	–1		
3071 Change in uncollected pymts, Fed sources, expired	1		
3090 Uncollected pymts, Fed sources, end of year	–1	–1	–1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	82	119	68
3200 Obligated balance, end of year	119	68	65

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	471	473	481
Outlays, gross:			
4010 Outlays from new discretionary authority	360	406	413
4011 Outlays from discretionary balances	77	114	67
4020 Outlays, gross (total)	437	520	480
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–131	–137	–138
4033 Non-Federal sources	–353	–336	–343
4040 Offsets against gross budget authority and outlays (total)	–484	–473	–481
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	–1		
4060 Additional offsets against budget authority only (total)	–1		
4070 Budget authority, net (discretionary)	–14		
4080 Outlays, net (discretionary)	–47	47	–1
4180 Budget authority, net (total)	–14		
4190 Outlays, net (total)	–47	47	–1

Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections	138	17	17
5092 Unexpired unavailable balance, EOY: Offsetting collections	17	17	17

The Federal Communications Commission (FCC or Commission) works to ensure that rapid and efficient communications are available across the country at a reasonable cost. In support of this mission, the FCC's strategic goals include closing the digital divide, promoting innovation, protecting consumers and public safety, and reforming the FCC's processes to reduce regulatory burdens and make the agency more transparent. The 2021 Budget includes an overall request of \$343 million to fund the Commission. Of that amount, the requested funding for the FCC's Inspector General is \$11 million.

The Commission is also requesting \$134 million for the Spectrum Auctions Program for 2021. The Budget proposes to make additional spectrum available for commercial use via an auction. Additional net auction proceeds are expected to exceed \$1 billion through 2030. Additionally, following successful completion of the National Oceanic and Atmospheric Administration (NOAA) Spectrum Pipeline Plan, the Budget proposes that the Commission exercise auction authority to assign spectrum frequencies between 1675–1680 megahertz for wireless broadband use subject to sharing arrangements with Federal weather satellites. The proposal is expected to raise \$355 million in receipts over 10 years.

Object Classification (in millions of dollars)

Identification code 027-0100-0-1-376	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	205	205	216
12.1 Civilian personnel benefits	61	61	62
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	40	41	33
23.3 Communications, utilities, and miscellaneous charges	7	8	10
24.0 Printing and reproduction	2	2	2
25.2 Other services from non-Federal sources	57	61	67
25.3 Other goods and services from Federal sources	4	3	5
25.7 Operation and maintenance of equipment	99	82	74
26.0 Supplies and materials	1	1	4
31.0 Equipment	4	3	2
99.9 Total new obligations, unexpired accounts	482	469	477

Employment Summary

Identification code 027-0100-0-1-376	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	1,422	1,448	1,448

UNIVERSAL SERVICE FUND**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 027-5183-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	2	2	2
Receipts:			
Current law:			
1110 Universal Service Fund	9,725	8,655	8,478
1140 Earnings on Federal Investments, Universal Service Fund	31	1
1199 Total current law receipts	9,756	8,656	8,478
1999 Total receipts	9,756	8,656	8,478
2000 Total: Balances and receipts	9,758	8,658	8,480
Appropriations:			
Current law:			
2101 Universal Service Fund	-9,725	-8,655	-8,478
2101 Universal Service Fund	-31	-1
2199 Total current law appropriations	-9,756	-8,656	-8,478
2999 Total appropriations	-9,756	-8,656	-8,478
5099 Balance, end of year	2	2	2

Program and Financing (in millions of dollars)

Identification code 027-5183-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Universal service fund	15,403	6,470	6,607
0002 Program support	190	248	242
0900 Total new obligations, unexpired accounts (object class 41.0)	15,593	6,718	6,849
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	-6,047	-11,076	-9,299
1010 Unobligated balance transfer to other accts [027-5700]	-298
1021 Recoveries of prior year unpaid obligations	787	563	733
1033 Recoveries of prior year paid obligations	21
1050 Unobligated balance (total)	-5,239	-10,811	-8,566
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special fund)—Receipts	9,725	8,655	8,478
1201 Appropriation (special fund)—Interest	31	1
1220 Appropriations transferred to other acct [027-5700]	-426
1260 Appropriations, mandatory (total)	9,756	8,230	8,478
1900 Budget authority (total)	9,756	8,230	8,478
1930 Total budgetary resources available	4,517	-2,581	-88
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	-11,076	-9,299	-6,937

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	12,853	17,589	14,837
3010 New obligations, unexpired accounts	15,593	6,718	6,849
3020 Outlays (gross)	-10,070	-8,889	-8,923
3030 Unpaid obligations transferred to other accts [027-5700]	-18
3040 Recoveries of prior year unpaid obligations, unexpired	-787	-563	-733
3050 Unpaid obligations, end of year	17,589	14,837	12,030
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	12,853	17,589	14,837
3200 Obligated balance, end of year	17,589	14,837	12,030

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	9,756	8,230	8,478
Outlays, gross:			
4100 Outlays from new mandatory authority	5,357	4,257	4,608
4101 Outlays from mandatory balances	4,713	4,632	4,315
4110 Outlays, gross (total)	10,070	8,889	8,923
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-21
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	21
4160 Budget authority, net (mandatory)	9,756	8,230	8,478
4170 Outlays, net (mandatory)	10,049	8,889	8,923
4180 Budget authority, net (total)	9,756	8,230	8,478
4190 Outlays, net (total)	10,049	8,889	8,923

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	2,883	308
5001 Total investments, EOY: Federal securities: Par value	308

Pursuant to the Communications Act of 1934, as amended by the Telecommunications Act of 1996 (1996 Act), all telecommunications service providers and certain other providers of telecommunications contribute to the Federal Universal Service Fund (USF) based on a percentage of their interstate and international end-user telecommunications revenues. These companies include wireline phone companies, wireless phone companies, paging service companies and certain Voice over Internet Protocol (VoIP) providers. The goals of USF are to increase access to both telecommunications and advanced services, such as high-speed Internet, for all consumers at just, reasonable and affordable rates. The 1996 Act established principles for universal service that specifically focused on increasing access to evolving services for consumers living in rural and insular areas, and for consumers with low incomes. Additional principles called for increased access to high-speed Internet in the nation's schools, libraries and rural health care facilities. The FCC established four programs within the USF to implement the statute. The four programs are: (1) High Cost—ensures consumers in rural, insular, and high cost areas have access to modern communications networks capable of providing voice and broadband service, both fixed and mobile, at rates that are reasonably comparable to those in urban areas; (2) Lifeline (for low-income consumers)—provides a monthly benefit on home or wireless phone and broadband service to eligible households and includes initiatives to expand phone service for residents of Tribal lands; (3) Schools and Libraries (E-rate)—provides funding to schools and libraries to obtain broadband, among other things; and (4) Rural Health Care—provides funding to eligible health care providers for telecommunications and broadband services necessary for the provision of health care.

Universal Service Fund

	2019 Actual	2020 CY	2021 BY
Receipts			
Universal Service	\$8,247	\$8,230	\$8,478
Telecommunications Relay ¹	\$1,509
Total - Receipts	\$9,756	\$8,230	\$8,478
Outlays			
Universal Service	\$8,703	\$8,889	\$8,923
Telecommunications Relay ¹	\$1,367

UNIVERSAL SERVICE FUND—Continued

Universal Service Fund—Continued

	2019 Actual	2020 CY	2021 BY
Total - Outlays	\$10,070	\$8,889	\$8,923

¹ Telecommunication Relay Service (TRS) numbers are included under USF for 2019, however, TRS numbers are shown under a separate account starting in 2020.

TELECOMMUNICATIONS RELAY SERVICES FUND, FEDERAL COMMUNICATIONS COMMISSION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 027-5700-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			426
Receipts:			
Current law:			
1110 Contributions for Telecommunications Relay Services, Telecommunications Relay Services Fund	1,424	1,457	
2000 Total: Balances and receipts	1,424	1,883	
Appropriations:			
Current law:			
2101 Telecommunications Relay Services Fund, Federal Communications Commission	-998	-1,457	
5099 Balance, end of year	426	426	

Program and Financing (in millions of dollars)

Identification code 027-5700-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Telecommunications Relay Services Fund	1,436	1,440	
0002 Program Support	15	15	
0900 Total new obligations, unexpired accounts (object class 41.0)	1,451	1,455	

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		271	
1011 Unobligated balance transfer from other acct [027-5183]	298		
1050 Unobligated balance (total)	298	271	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	998	1,457	
1221 Appropriations transferred from other acct [027-5183]	426		
1260 Appropriations, mandatory (total)	1,424	1,457	
1930 Total budgetary resources available	1,722	1,728	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	271	273	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		15	
3010 New obligations, unexpired accounts	1,451	1,455	
3020 Outlays (gross)	-1,454	-1,455	
3031 Unpaid obligations transferred from other accts [027-5183]	18		
3050 Unpaid obligations, end of year	15	15	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		15	
3200 Obligated balance, end of year	15	15	

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	1,424	1,457	
Outlays, gross:			
4100 Outlays from new mandatory authority	1,141	1,173	
4101 Outlays from mandatory balances	313	282	
4110 Outlays, gross (total)	1,454	1,455	
4180 Budget authority, net (total)	1,424	1,457	
4190 Outlays, net (total)	1,454	1,455	

relay services (TRS) are available, to the extent possible and in the most efficient manner, to hearing and speech-impaired individuals in the United States." Section 225 of the Communications Act also directs the Commission to prescribe regulations that "generally provide that costs caused by interstate telecommunications relay services shall be recovered from all subscribers for every interstate service and costs caused by intrastate telecommunications relay service shall be recovered from the intrastate jurisdiction." The shared-funding mechanism requires providers of interstate telecommunications services to contribute to a fund that reimburses TRS providers for the cost of providing interstate TRS. All telecommunications service providers and certain other providers of telecommunications contribute to the TRS Fund based on a percentage of their end-user telecommunications revenues. These companies include, but are not limited to, wireline phone companies, wireless phone companies, paging service companies and certain Voice over Internet Protocol (VoIP) providers.

SPECTRUM AUCTION PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 027-0300-0-1-376	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	3	3
1930 Total budgetary resources available	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

This program provided direct loans for the purpose of purchasing spectrum licenses at the Federal Communications Commission's auctions. The licenses were purchased on an installment basis, which constitutes an extension of credit. The first year of activity for this program was 1996. As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis and administrative expenses are estimated on a cash basis. The FCC no longer offers credit terms on purchases through spectrum auctions. Program activity relates to maintenance and close-out of existing loans.

SPECTRUM AUCTION DIRECT LOAN FINANCING ACCOUNT

Balance Sheet (in millions of dollars)

Identification code 027-4133-0-3-376	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	3	3
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross		
1402 Interest receivable		
1405 Allowance for subsidy cost (-)		
1499 Net present value of assets related to direct loans		
1999 Total assets	3	3
LIABILITIES:		
2105 Federal liabilities: Other	3	3
4999 Total liabilities and net position	3	3

As part of the Americans with Disabilities Act of 1990 Congress amended the Communications Act of 1934 to direct the Federal Communications Commission "to ensure that interstate and intrastate telecommunications

TV BROADCASTER RELOCATION FUND

Program and Financing (in millions of dollars)

Identification code 027-5610-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 TV Broadcaster Relocation	125	882
0900 Total new obligations, unexpired accounts (object class 41.0)	125	882
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	607	917	35
1021 Recoveries of prior year unpaid obligations	35
1050 Unobligated balance (total)	642	917	35
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	400
1900 Budget authority (total)	400
1930 Total budgetary resources available	1,042	917	35
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	917	35	35
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,527	1,037	659
3010 New obligations, unexpired accounts	125	882
3020 Outlays (gross)	-580	-1,260	-567
3040 Recoveries of prior year unpaid obligations, unexpired	-35
3050 Unpaid obligations, end of year	1,037	659	92
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,527	1,037	659
3200 Obligated balance, end of year	1,037	659	92
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	400
Outlays, gross:			
4100 Outlays from new mandatory authority	19
4101 Outlays from mandatory balances	561	1,260	567
4110 Outlays, gross (total)	580	1,260	567
4180 Budget authority, net (total)	400
4190 Outlays, net (total)	580	1,260	567

SPECTRUM LICENSE USER FEE

To promote efficient use of the electromagnetic spectrum, the Administration proposes to provide the FCC with new authority to use other economic mechanisms, such as fees, as a spectrum management tool. The FCC would be authorized to set user fees on unauctioned commercial spectrum licenses based on spectrum-management principles. Fees would be phased in over time as part of an ongoing rulemaking process to determine the appropriate application and level for fees. Fee collections are estimated to begin in 2021 and total \$4.0 billion through 2030.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
027-089600 Spectrum License User Fees: Legislative proposal, subject to PAYGO	50
027-242900 Fees for Services	23	23	23
027-247400 Auction Receipts	1	2,510	18,404
027-247400 Auction Receipts: Legislative proposal, subject to PAYGO	178
027-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	1	3	3
General Fund Offsetting receipts from the public	25	2,536	18,658

The Budget includes \$18.0 billion in estimated receipts from the anticipated public auction of 280 MHz of C-Band spectrum.

ADMINISTRATIVE PROVISIONS

SEC. 510. Section 302 of the Universal Service Antideficiency Temporary Suspension Act is amended by striking "December 31, [2019] 2020" each place it appears and inserting "December 31, [2020] 2022".

SEC. 511. None of the funds appropriated by this Act may be used by the Federal Communications Commission to modify, amend, or change its rules or regulations for universal service support payments to implement the February 27, 2004, recommendations of the Federal-State Joint Board on Universal Service regarding single connection or primary line restrictions on universal service support payments. (*Financial Services and General Government Appropriations Act, 2020.*)

FEDERAL DEPOSIT INSURANCE CORPORATION

The Federal Deposit Insurance Corporation (FDIC) was created by the Banking Act of 1933 to provide protection for bank depositors and to foster sound banking practices. The Federal Deposit Insurance Corporation Improvement Act of 1991 generally requires the FDIC to use the least costly method to resolve failed banks and mandates that the FDIC take prompt corrective action against under-capitalized financial institutions. To protect depositors, the FDIC is authorized to promulgate and enforce rules and regulations relating to the supervision of insured institutions and to perform other regulatory and supervisory duties consistent with its responsibilities as an insurer.

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 or FIRREA (P.L. 101-73) established the Bank Insurance Fund (BIF), the Savings Association Insurance Fund (SAIF), and the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund (FRF). Under the Deposit Insurance Reform Act of 2005, the BIF and SAIF were merged into a new Deposit Insurance Fund (DIF) in 2006.

DEPOSIT INSURANCE

Federal Funds

DEPOSIT INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 051-4596-0-4-373	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Insurance	296	341	350
0003 Supervision	984	971	998
0004 Receivership Management	241	287	295
0005 General and Administrative	172	255	262
0091 Total operating expenses	1,693	1,854	1,905
0101 Resolution Outlays	206	340	6,741
0900 Total new obligations, unexpired accounts	1,899	2,194	8,646
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	98,689	104,593	111,147
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1710 Spending authority from offsetting collections transferred to other accounts [051-4595]	-43
Spending authority from offsetting collections, mandatory:			
1800 Collected	9,387	8,604	14,971
1801 Change in uncollected payments, Federal sources	-1,547	187	72
1810 Spending authority from offsetting collections transferred to other accounts [051-4595]	-37	-43
1850 Spending auth from offsetting collections, mand (total)	7,803	8,748	15,043
1900 Budget authority (total)	7,803	8,748	15,000
1930 Total budgetary resources available	106,492	113,341	126,147
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	104,593	111,147	117,501

DEPOSIT INSURANCE FUND—Continued
Program and Financing—Continued

Identification code 051-4596-0-4-373	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	122	130	130
3010 New obligations, unexpired accounts	1,899	2,194	8,646
3020 Outlays (gross)	-1,891	-2,194	-8,603
3050 Unpaid obligations, end of year	130	130	173
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-3,230	-1,683	-1,870
3070 Change in uncollected pymts, Fed sources, unexpired	1,547	-187	-72
3090 Uncollected pymts, Fed sources, end of year	-1,683	-1,870	-1,942
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-3,108	-1,553	-1,740
3200 Obligated balance, end of year	-1,553	-1,740	-1,769
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			-43
Outlays, gross:			
4010 Outlays from new discretionary authority			-43
Mandatory:			
4090 Budget authority, gross	7,803	8,748	15,043
Outlays, gross:			
4101 Outlays from mandatory balances	1,891	2,194	8,646
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities	-1,298	-2,492	-2,343
4123 Non-Federal sources	-8,089	-6,112	-12,628
4130 Offsets against gross budget authority and outlays (total)	-9,387	-8,604	-14,971
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	1,547	-187	-72
4160 Budget authority, net (mandatory)	-37	-43	
4170 Outlays, net (mandatory)	-7,496	-6,410	-6,325
4180 Budget authority, net (total)	-37	-43	-43
4190 Outlays, net (total)	-7,496	-6,410	-6,368
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	96,431	104,015	110,669
5001 Total investments, EOY: Federal securities: Par value	104,015	110,669	116,675

The primary purpose of the Deposit Insurance Fund (DIF) is to insure deposits and protect the depositors of failed banking institutions. Under the Deposit Insurance Reform Act of 2005, the FDIC's Bank Insurance Fund (BIF) and its Savings Association Insurance Fund (SAIF) were merged into the new DIF on March 31, 2006. Through the DIF, the FDIC resolves and recovers funds disbursed from the assets of failed institutions. The FDIC is authorized to charge risk-based premiums on member institutions to restore and maintain adequate fund reserves, defined as a designated percentage of estimated insured deposits set by the FDIC before the beginning of each year. The Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203), enacted July 21, 2010, increased the minimum DIF reserve ratio (ratio of the DIF balance to total insured deposits) to 1.35 percent, up from 1.15 percent. In addition to raising the minimum reserve ratio, the Act also: 1) eliminated the FDIC's requirement to rebate premiums when the reserve ratio is between 1.35 and 1.5 percent; 2) gave the FDIC discretion to suspend or limit rebates when the DIF reserve ratio is at least 1.5 percent, effectively removing the 1.5 percent cap on the DIF; 3) required the FDIC to offset the effect on small insured depository institutions (defined as banks with assets less than \$10 billion) when setting assessments to raise the reserve ratio from 1.15 to 1.35 percent; and 4) permanently increased the insured deposit level to \$250,000 at banks insured by the FDIC. The FDIC Board has issued a final rule setting a long-term (greater than 10 years) reserve ratio target of 2 percent, with the goal of maintaining a positive fund balance during any future economic crises and maintaining a moderate, steady, long-term assessment rate that provides transparency and predictability to the banking sector.

As of September 30, 2019, the DIF balance stood at \$108.9 billion on an accrual basis, measuring expected losses to current balances. This level is

equivalent to a reserve ratio of 1.41 percent. The growth in the DIF balance reflects projections of bank failures in line with historical experience and assessment revenue required to increase the reserve ratio over time.

Pursuant to the Act, the restoration period for the DIF reserve ratio to reach 1.35 percent was extended to 2020. (Prior to the Act, the DIF reserve ratio was required to reach the minimum target of 1.15 percent by 2016.) The DIF reserve ratio reached the statutorily required level of 1.35 percent by September 30, 2018, in accordance with FDIC regulation.

For more information, please see the Credit and Insurance chapter in the *Analytical Perspectives* volume of the Budget.

Object Classification (in millions of dollars)

Identification code 051-4596-0-4-373	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	869	919	944
12.1 Civilian personnel benefits	303	331	340
21.0 Travel and transportation of persons	86	92	94
23.2 Rental payments to others	41	41	46
23.2 Long Term Lease Obligations		4	
23.3 Communications, utilities, and miscellaneous charges	21	25	26
24.0 Printing and reproduction	1	1	1
25.2 Other services from non-Federal sources	335	387	398
26.0 Supplies and materials	4	7	7
31.0 Equipment	24	34	35
32.0 Land and structures	9	14	15
42.0 Resolution Outlays	206	340	6,740
99.0 Direct obligations	1,899	2,195	8,646
99.5 Adjustment for rounding		-1	
99.9 Total new obligations, unexpired accounts	1,899	2,194	8,646

Employment Summary

Identification code 051-4596-0-4-373	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5,775	5,755	5,755

FSLIC RESOLUTION
Federal Funds

FSLIC RESOLUTION FUND

Program and Financing (in millions of dollars)

Identification code 051-4065-0-3-373	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Transfer to RefCorp		20	
0803 Receivership management	1		
0804 General administrative		1	1
0809 Reimbursable program activities, subtotal	1	21	1
0900 Total new obligations, unexpired accounts	1	21	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	894	916	920
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections	23	25	23
1900 Budget authority (total)	23	25	23
1930 Total budgetary resources available	917	941	943
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	916	920	942
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			20
3010 New obligations, unexpired accounts	1	21	1
3020 Outlays (gross)	-1	-1	-1
3050 Unpaid obligations, end of year		20	20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			20
3200 Obligated balance, end of year		20	20

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	23	25	23
Outlays, gross:				
4100	Outlays from new mandatory authority		1	1
4101	Outlays from mandatory balances	1		
4110	Outlays, gross (total)	1	1	1
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4121	Interest on Federal securities	-20	-21	-21
4123	Non-Federal sources	-3	-4	-2
4130	Offsets against gross budget authority and outlays (total)	-23	-25	-23
4170	Outlays, net (mandatory)	-22	-24	-22
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-22	-24	-22
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	852	872	876
5001	Total investments, EOY: Federal securities: Par value	872	876	898

The FSLIC Resolution Fund (FRF) is the ultimate successor to FSLIC assets and liabilities from thrift resolutions prior to August 1989. Beginning in August 1989, the Resolution Trust Corporation (RTC) assumed responsibility for the FSLIC's unresolved cases. On December 31, 1995, the RTC was terminated and its assets and liabilities were transferred to FRF.

Funds for FRF operations have come from: 1) income earned on its assets; 2) liquidation proceeds from receiverships; 3) the proceeds of the sale of bonds by the Financing Corporation; and 4) a portion of insurance premiums paid by Savings Association Insurance Fund (SAIF) members prior to 1993. The Financial Institutions Reform, Recovery, and Enforcement Act or FIRREA (P.L. 101-73) authorizes appropriations to make up for any shortfall. Currently, the FRF consists of two distinct pools of assets and liabilities. One is composed of the assets and liabilities of the FSLIC transferred to the FRF (FRF-FSLIC) and the other is composed of the RTC assets and liabilities (FRF-RTC). The assets of one pool are not available to satisfy obligations of the other. The FRF will continue operations until all of its assets are sold or otherwise liquidated and all its liabilities are satisfied. Any funds remaining in the FRF-FSLIC will be paid to the U.S. Treasury. Any remaining funds of the FRF-RTC will be distributed to the Resolution Funding Corporation to pay interest on its bonds.

Object Classification (in millions of dollars)

Identification code 051-4065-0-3-373				
	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
11.1	Personnel compensation: Full-time permanent	1	1	1
42.0	Transfer to RefCorp		20	
99.9	Total new obligations, unexpired accounts	1	21	1

Employment Summary

Identification code 051-4065-0-3-373				
	2019 actual	2020 est.	2021 est.	
2001	Reimbursable civilian full-time equivalent employment	1	1	1

ORDERLY LIQUIDATION**Federal Funds****ORDERLY LIQUIDATION FUND****Special and Trust Fund Receipts** (in millions of dollars)

Identification code 051-5586-0-2-373				
	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year			
Receipts:				
Current law:				
1110	Risk-Based Assessments, Orderly Liquidation Fund	35	578	
2000	Total: Balances and receipts	35	578	

Appropriations:				
Current law:				
2101	Orderly Liquidation Fund	-35	-578	
5099	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 051-5586-0-2-373				
	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Orderly Liquidation	1,731	4,159	
0002	Administrative Expenses	2	4	
0003	Interest to Treasury	14	58	
0900	Total new obligations, unexpired accounts	1,747	4,221	

Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	35	578	
Borrowing authority, mandatory:				
1400	Borrowing authority	1,712	3,643	
1900	Budget authority (total)	1,747	4,221	
1930	Total budgetary resources available	1,747	4,221	

Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	1,747	4,221	
3020	Outlays (gross)	-1,747	-4,221	

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	1,747	4,221	
Outlays, gross:				
4100	Outlays from new mandatory authority	1,747	4,221	
4180	Budget authority, net (total)	1,747	4,221	
4190	Outlays, net (total)	1,747	4,221	

Memorandum (non-add) entries:				
5080	Outstanding debt, SOY		-1,731	
5081	Outstanding debt, EOY	-1,731	-5,826	
5082	Borrowing	-1,731	-4,095	

Title II of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203) established an Orderly Liquidation Authority (OLA) permitting the appointment of the FDIC as receiver of financial companies whose failure and resolution under otherwise applicable Federal or State law is determined to have serious adverse effects on financial stability in the United States. The Federal Reserve Board and the FDIC, the Securities and Exchange Commission (for brokers or dealers) or the Federal Insurance Office (for insurance companies) must recommend in writing that the Secretary of the Treasury appoint the FDIC as the company's receiver.

The Secretary of the Treasury must then, in consultation with the President, determine whether seven criteria authorizing the appointment of the FDIC as receiver for the failing financial company have been satisfied, including finding that resolution under otherwise applicable law would have serious adverse effects on financial stability in the United States.

Object Classification (in millions of dollars)

Identification code 051-5586-0-2-373				
	2019 actual	2020 est.	2021 est.	
Direct obligations:				
43.0	Admin	2	4	
43.0	Interest and Dividends	14	58	
43.0	Orderly Liquidation	1,731	4,159	
99.9	Total new obligations, unexpired accounts	1,747	4,221	

FDIC—OFFICE OF INSPECTOR GENERAL**Federal Funds****OFFICE OF THE INSPECTOR GENERAL**

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$42,982,000, to be derived from

OFFICE OF THE INSPECTOR GENERAL—Continued

the Deposit Insurance Fund or, only when appropriate, the FSLIC Resolution Fund.
(Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 051–4595–0–4–373	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Office of the Inspector General (Reimbursable)	37	43	43
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1711 Transferred from other accounts [051–4596]	37	43	43
1930 Total budgetary resources available	37	43	43
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	37	43	43
3020 Outlays (gross)	–37	–43	–43
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	37	43	43
Outlays, gross:			
4010 Outlays from new discretionary authority	37	43	43
4180 Budget authority, net (total)	37	43	43
4190 Outlays, net (total)	37	43	43

The FDIC's Office of Inspector General (FDIC OIG) is an independent unit within the FDIC that conducts audits, evaluations, and investigations of corporate activities. In addition, the OIG assists the FDIC in preventing and detecting fraud, waste, abuse, and mismanagement. The OIG was established by the FDIC Board pursuant to the Inspector General Act amendments of 1988 (P.L. 100–504). The Resolution Trust Corporation Completion Act (P.L. 103–204), enacted December 17, 1993, provided that the FDIC Inspector General be appointed by the President and confirmed by the Senate. The Completion Act thus added the FDIC to the list of establishments whose OIGs have separate appropriation accounts under Section 1105(a) of Title 31, United States Code, thereby safeguarding FDIC OIG's independence. Assessments paid to the Deposit Insurance Fund (DIF) by insured financial institutions, and administered by the FDIC, fully fund FDIC OIG's appropriation. To the extent that FDIC OIG performs work in connection with the FSLIC Resolution Fund (FRF), the cost of such work is derived from the FRF.

Object Classification (in millions of dollars)

Identification code 051–4595–0–4–373	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	21	25	24
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	22	26	25
12.1 Civilian personnel benefits	10	12	11
21.0 Travel and transportation of persons	1	1	1
25.2 Other services from non-Federal sources	3	3	4
31.0 Equipment	1	1	2
99.9 Total new obligations, unexpired accounts	37	43	43

Employment Summary

Identification code 051–4595–0–4–373	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	126	142	142

FEDERAL DRUG CONTROL PROGRAMS

Federal Funds

HIGH INTENSITY DRUG TRAFFICKING AREAS PROGRAM

[(INCLUDING TRANSFERS OF FUNDS)]

For necessary expenses of the Office of National Drug Control Policy's High Intensity Drug Trafficking Areas Program, \$285,000,000, to remain available until September 30, 2021, for drug control activities consistent with the approved strategy for each of the designated High Intensity Drug Trafficking Areas ("HIDTAs"), of which not less than 51 percent shall be transferred to State and local entities for drug control activities and shall be obligated not later than 120 days after enactment of this Act: *Provided*, That up to 49 percent may be transferred to Federal agencies and departments in amounts determined by the Director of the Office of National Drug Control Policy, of which up to \$2,700,000 may be used for auditing services and associated activities: *Provided further*, That any unexpended funds obligated prior to fiscal year 2018 may be used for any other approved activities of that HIDTA, subject to reprogramming requirements: *Provided further*, That each HIDTA designated as of September 30, 2019, shall be funded at not less than the fiscal year 2019 base level, unless the Director submits to the Committees on Appropriations of the House of Representatives and the Senate justification for changes to those levels based on clearly articulated priorities and published Office of National Drug Control Policy performance measures of effectiveness: *Provided further*, That the Director shall notify the Committees on Appropriations of the initial allocation of fiscal year 2020 funding among HIDTAs not later than 45 days after enactment of this Act, and shall notify the Committees of planned uses of discretionary HIDTA funding, as determined in consultation with the HIDTA Directors, not later than 90 days after enactment of this Act: *Provided further*, That upon a determination that all or part of the funds so transferred from this appropriation are not necessary for the purposes provided herein and upon notification to the Committees on Appropriations of the House of Representatives and the Senate, such amounts may be transferred back to this appropriation. (Executive Office of the President Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 011–1070–0–1–754	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Grants and federal transfers	286	282
0003 Auditing services and activities	3	3
0900 Total new obligations, unexpired accounts	289	285
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	44	14	14
1010 Unobligated balance transfer to other accts [015–0200]	–1
1021 Recoveries of prior year unpaid obligations	2
1050 Unobligated balance (total)	45	14	14
Budget authority:			
Appropriations, discretionary:			
1100 New budget authority (gross), detail	280	285
1120 Appropriations transferred to other accts [070–0540]	–2
1120 Appropriations transferred to other accts [015–1100]	–16
1120 Appropriations transferred to other accts [015–0200]	–2
1120 Appropriations transferred to other accts [015–0322]	–1
1120 Appropriations transferred to other accts [015–0324]	–1
1160 Appropriation, discretionary (total)	258	285
1930 Total budgetary resources available	303	299	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	14	14	14
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	240	281	228
3010 New obligations, unexpired accounts	289	285
3011 Obligations ("upward adjustments"), expired accounts	1
3020 Outlays (gross)	–245	–338	–171
3040 Recoveries of prior year unpaid obligations, unexpired	–2
3041 Recoveries of prior year unpaid obligations, expired	–2
3050 Unpaid obligations, end of year	281	228	57
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	240	281	228
3200 Obligated balance, end of year	281	228	57

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	258	285

Outlays, gross:			
4010	Outlays from new discretionary authority	33	71
4011	Outlays from discretionary balances	212	267
4020	Outlays, gross (total)	245	338
4180	Budget authority, net (total)	258	285
4190	Outlays, net (total)	245	338

The High Intensity Drug Trafficking Areas (HIDTA) program was established by the Anti-Drug Abuse Act of 1988 to provide assistance to Federal, State, local, and tribal law enforcement entities operating in those areas most adversely affected by drug trafficking.

For 2021, the Budget proposes to transfer the HIDTA program from the Office of National Drug Control Policy (ONDCP) to the Department of Justice. This proposal will enable ONDCP to focus resources on its core mission: to reduce drug use and its consequences by leading and coordinating the development, implementation, and assessment of U.S. drug policy.

Object Classification (in millions of dollars)

Identification code 011-1070-0-1-754	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2	Auditing services and activities	3	3
41.0	Grants and federal transfers	286	282
99.9	Total new obligations, unexpired accounts	289	285

OTHER FEDERAL DRUG CONTROL PROGRAMS

(INCLUDING TRANSFERS OF FUNDS)

For other drug control activities authorized by the [National Narcotics Leadership] *Anti-Drug Abuse Act of 1988, as amended*, and the Office of National Drug Control Policy Reauthorization Act of 1998, as amended [through Public Law 115-271, \$121,715,000], \$12,432,000, to remain available until expended, [which shall be available as follows: \$101,250,000 for the Drug-Free Communities Program, of which \$2,500,000 shall be made available as directed by section 4 of Public Law 107-82, as amended by section 8204 of Public Law 115-271; \$2,500,000 for drug court training and technical assistance; \$10,000,000 for anti-doping activities]; \$2,715,000 for], to include the United States membership dues to the World Anti-Doping Agency]; \$1,250,000 for the Model Acts Program; and \$4,000,000 for activities authorized by section 103 of Public Law 114-198]: *Provided*, That amounts made available under this heading may be transferred to other Federal departments and agencies to carry out such activities. (*Executive Office of the President Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 011-1460-0-1-802	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002	Drug-Free Communities Program	73	101
0003	Drug Court Training & Technical Assistance		3
0006	Anti-Doping Activities	9	10
0007	Section 103 of Public Law 114-198	3	4
0008	Section 1105 of Public Law 109-469/Model Acts Program	3	1
0009	World Anti-Doping Agency Dues	3	3
0010	Anti-Doping Activities (to include WADA)		12
0900	Total new obligations, unexpired accounts	91	122
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	16	46
1021	Recoveries of prior year unpaid obligations	3	
1050	Unobligated balance (total)	19	46
Budget authority:			
Appropriations, discretionary:			
1100	New budget authority (gross), detail	118	122
1900	Budget authority (total)	118	122
1930	Total budgetary resources available	137	168
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	46	46
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	21	22

3010	New obligations, unexpired accounts	91	122	12
3020	Outlays (gross)	-87	-131	-23
3040	Recoveries of prior year unpaid obligations, unexpired	-3		
3050	Unpaid obligations, end of year	22	13	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	21	22	13
3200	Obligated balance, end of year	22	13	2

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	118	122	12
Outlays, gross:				
4010	Outlays from new discretionary authority	72	110	11
4011	Outlays from discretionary balances	15	21	12
4020	Outlays, gross (total)	87	131	23
4180	Budget authority, net (total)	118	122	12
4190	Outlays, net (total)	87	131	23

This account is for other drug control activities authorized by the Office of National Drug Control Policy Reauthorization Act of 1998, as amended through Public Law 115-271. The funds appropriated support high-priority drug control programs and may be transferred to drug control agencies.

For 2021, the Budget proposes to transfer the Drug-Free Communities Support Program (DFC) from ONDCP to the Department of Health and Human Services. This proposal will enable ONDCP to focus resources on its core mission: to reduce drug use and its consequences by leading and coordinating the development, implementation, and assessment of U.S. drug policy.

Anti-Doping Efforts, to include World Anti-Doping Agency (WADA) Dues.—This funding continues the effort to educate athletes on the dangers of drug use and to eliminate illegal drug use in Olympic and associated sports in the United States. WADA was established in 1999 as an international independent agency composed and funded equally by the sports movement and governments of the world. Its key activities include scientific research, education, development of anti-doping capacities, and monitoring of the World Anti-Doping Code—the document harmonizing anti-doping policies in all sports and all countries. ONDCP represents the United States before the agency and is responsible for the payment of U.S. dues.

For 2021, ONDCP is proposing to combine grant funding supporting domestic anti-doping activities and WADA dues payments into a single "Anti-Doping Activities" program account. This approach will enable the United States Government to strategically allocate financial resources for these activities to best promote drug-free sport and protect the health of athletes. Consolidating this funding will enable a more rigorous review process for any proposed increases in WADA dues amounts. This will ensure that WADA operates with increased transparency and utilizes models of good governance. The United States will continue to support only those dues increases that are linked to budgets that are focused on core anti-doping requirements, fiscally necessary, and equitable among WADA's stakeholders.

Object Classification (in millions of dollars)

Identification code 011-1460-0-1-802	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2	Other services from non-Federal sources	3	3
25.3	Other goods and services from Federal sources	8	8
41.0	Grants, subsidies, and contributions	12	16
94.0	Financial transfers	68	95
99.9	Total new obligations, unexpired accounts	91	122

Employment Summary

Identification code 011-1460-0-1-802	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	1	2

FEDERAL ELECTION COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out the provisions of the Federal Election Campaign Act of 1971, **[\$71,497,000]** \$73,328,787, of which not to exceed \$5,000 shall be available for reception and representation expenses. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 360-1600-0-1-808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Federal Election Commission	68	71	73
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	71	71	73
1930 Total budgetary resources available	71	71	73
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	16	19	5
3010 New obligations, unexpired accounts	68	71	73
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-65	-85	-72
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	19	5	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	16	19	5
3200 Obligated balance, end of year	19	5	6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	71	71	73
Outlays, gross:			
4010 Outlays from new discretionary authority	52	65	66
4011 Outlays from discretionary balances	13	20	6
4020 Outlays, gross (total)	65	85	72
4180 Budget authority, net (total)	71	71	73
4190 Outlays, net (total)	65	85	72

The Federal Election Commission is responsible for facilitating transparency in the Federal election process through public disclosure of campaign finance activity and for encouraging voluntary compliance with the Federal Election Campaign Act by providing information and policy guidance about the Act and Commission regulations to the public, media, political committees, and election officials. The Commission is also responsible for enforcing the Act through audits, investigations, and civil litigation, and for developing the law by administering and interpreting the Act, the Presidential Election Campaign Fund Act, and the Presidential Primary Matching Payment Account Act.

The Commission is authorized to submit, concurrently, budget estimates to the President and the Congress.

Object Classification (in millions of dollars)

Identification code 360-1600-0-1-808	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	35	38	38
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	36	39	39
12.1 Civilian personnel benefits	11	12	13
23.1 Rental payments to GSA	1	4	5
23.3 Communications, utilities, and miscellaneous charges		1	1
25.2 Other services from non-Federal sources	12	10	9
25.3 Other goods and services from Federal sources	3	2	2
26.0 Supplies and materials	1	1	1
31.0 Equipment	4	2	3
99.9 Total new obligations, unexpired accounts	68	71	73

Employment Summary

Identification code 360-1600-0-1-808	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	304	328	328

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL

Federal Funds

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL ACTIVITIES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 362-5547-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1110 Assessments, Federal Financial Institutions Examination Council Activities	16	16	16
2000 Total: Balances and receipts	16	16	16
Appropriations:			
Current law:			
2101 Federal Financial Institutions Examination Council Activities	-16	-16	-16
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 362-5547-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 FIEEC Activities	16	16	16
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	16	16	16
1900 Budget authority (total)	16	16	16
1930 Total budgetary resources available	16	16	16
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	16	16	16
3020 Outlays (gross)	-16	-16	-16
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	16	16	16
Outlays, gross:			
4100 Outlays from new mandatory authority	16	16	16
4180 Budget authority, net (total)	16	16	16
4190 Outlays, net (total)	16	16	16

The Federal Financial Institutions Examination Council (the Council) was established in 1979 pursuant to the Financial Institutions Regulatory and Interest Rate Control Act of 1978 (FIRA) (P.L. 95-630). In 1989, pursuant to the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA) (P.L. 101-73), the Appraisal Subcommittee (ASC) was established within the Council. The Council has limited specified responsibilities regarding the ASC.

The Council is a formal interagency body empowered to prescribe uniform principles, standards, and report forms for the Federal examination of financial institutions; to make recommendations to promote uniformity in the supervision of financial institutions; and to conduct examiner training. Council members include a member of the Board of Governors of the Federal Reserve System, the Chairman of the Federal Deposit Insurance Corporation, the Chairman of the National Credit Union Administration, the Comptroller of the Currency, the Director of the Consumer Financial Protection Bureau, and the Chairman of the State Liaison Committee, which is made up of five representatives from state regulatory agencies that supervise financial institutions.

In addition to its responsibilities under FIRA and FIRREA, the Council was given responsibilities by the Housing and Community Development Act of 1980 (P.L. 96–399) and the Economic Growth and Regulatory Paperwork Reduction Act of 1996 (P.L. 104–208).

The Budget estimates the Council will spend approximately \$16 million during 2021 from resources provided by its Federal members and other fees and reimbursements.

Object Classification (in millions of dollars)

Identification code 362–5547–0–2–376	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.8 Personnel compensation: Special personal services payments	3	3	3
25.1 Advisory and assistance services	13	13	13
99.9 Total new obligations, unexpired accounts	16	16	16

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL APPRAISAL SUBCOMMITTEE

Federal Funds

REGISTRY FEES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 362–5026–0–2–376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	5	5	7
Receipts:			
Current law:			
1110 Registry Fees, Appraisal Subcommittee, Federal Institution Examination Council	3	6	6
1110 Incremental Registry Fees (Dodd-Frank Act) Appraisal Subcommittee	1	1	1
1199 Total current law receipts	4	7	7
1999 Total receipts	4	7	7
2000 Total: Balances and receipts	9	12	14
Appropriations:			
Current law:			
2101 Registry Fees	–4	–5	–3
5099 Balance, end of year	5	7	11

Program and Financing (in millions of dollars)

Identification code 362–5026–0–2–376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Administrative expenses	4	3	3
0002 Grants, subsidies and contributions		1	1
0900 Total new obligations, unexpired accounts	4	4	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	4	5
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	4	4	5
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	4	5	3
1930 Total budgetary resources available	8	9	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	5	4

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	4	4	4
3020 Outlays (gross)	–3	–4	–3
3040 Recoveries of prior year unpaid obligations, unexpired	–1		
3050 Unpaid obligations, end of year	1	1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	2

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	4	5	3
Outlays, gross:			
4100 Outlays from new mandatory authority	3	3	2
4101 Outlays from mandatory balances		1	1
4110 Outlays, gross (total)	3	4	3
4180 Budget authority, net (total)	4	5	3
4190 Outlays, net (total)	3	4	3

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (P.L. 101–73) established the Appraisal Subcommittee of the Federal Financial Institutions Examination Council (ASC). The ASC is composed of representatives of the Board of Governors of the Federal Reserve System, the Federal Deposit Insurance Corporation, the National Credit Union Administration, the Office of the Comptroller of the Currency, the Department of Housing and Urban Development, the Consumer Financial Protection Bureau, and the Federal Housing Finance Agency.

The ASC is charged with ensuring that real estate appraisals used in federally-related transactions are performed in accordance with uniform standards by appraisers certified and licensed by the states. Its responsibilities include: 1) monitoring the requirements established by the states for the certification and licensing of appraisers and the registration and supervision of the operations and activities of appraisal management companies; 2) monitoring the requirements established by the Federal financial institutions' regulatory agencies regarding appraisal standards for federally-related transactions under their jurisdiction; 3) monitoring and reviewing the practices, procedures, activities, and organization of the Appraisal Foundation; 4) maintaining the National Registry of licensed and certified appraisers and appraisal management companies; 5) transmitting an annual report to Congress no later than June 15 of each year; and 6) making grants to the Appraisal Foundation and state appraiser certifying and licensing agencies.

The ASC's activities, including grants awarded to the Appraisal Foundation, were initially funded from a one-time appropriation of \$5 million. These funds were repaid to Treasury in 1998. The ASC is now operating on fee income from 1) appraisal management companies and 2) state-licensed and state-certified real estate appraisers in the National Registry. The Budget projects that the ASC will spend approximately \$4 million in 2021.

Object Classification (in millions of dollars)

Identification code 362–5026–0–2–376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
41.0 Grants, subsidies, and contributions	1	1	1
99.0 Direct obligations	3	3	3
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	4	4	4

Employment Summary

Identification code 362–5026–0–2–376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	12	14	14

FEDERAL HOUSING FINANCE AGENCY

Federal Funds

FEDERAL HOUSING FINANCE AGENCY, ADMINISTRATIVE EXPENSES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 537–5532–0–2–371	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	1	1

FEDERAL HOUSING FINANCE AGENCY, ADMINISTRATIVE EXPENSES—Continued
Special and Trust Fund Receipts—Continued

Identification code 537–5532–0–2–371	2019 actual	2020 est.	2021 est.
Receipts:			
Current law:			
1110 FHFA, Fees on GSEs for Administrative Expenses	274	292	303
1140 Interest Earnings on Investments In Treasury Securities, FHFA	3	3	3
1199 Total current law receipts	277	295	306
1999 Total receipts	277	295	306
2000 Total: Balances and receipts	278	296	307
Appropriations:			
Current law:			
2101 Federal Housing Finance Agency, Administrative Expenses	–277	–295	–306
5099 Balance, end of year	1	1	1

Program and Financing (in millions of dollars)

Identification code 537–5532–0–2–371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Federal Housing Finance Agency, Administrative Expenses (Direct)	274	295	306
0801 Federal Housing Finance Agency, Administrative Expenses (Reimbursable)	1	5	5
0900 Total new obligations, unexpired accounts	275	300	311

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	19	30	30
1021 Recoveries of prior year unpaid obligations	8		
1050 Unobligated balance (total)	27	30	30
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	277	295	306
Spending authority from offsetting collections, mandatory:			
1800 Collected	1	5	5
1900 Budget authority (total)	278	300	311
1930 Total budgetary resources available	305	330	341
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	30	30	30

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	39	44	36
3010 New obligations, unexpired accounts	275	300	311
3020 Outlays (gross)	–262	–308	–312
3040 Recoveries of prior year unpaid obligations, unexpired	–8		
3050 Unpaid obligations, end of year	44	36	35
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	39	44	36
3200 Obligated balance, end of year	44	36	35

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	278	300	311
Outlays, gross:			
4100 Outlays from new mandatory authority	235	271	281
4101 Outlays from mandatory balances	27	37	31
4110 Outlays, gross (total)	262	308	312
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–1	–5	–5
4180 Budget authority, net (total)	277	295	306
4190 Outlays, net (total)	261	303	307

Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	56	71	75
5001 Total investments, EOY: Federal securities: Par value	71	75	77

The Federal Housing Finance Agency (FHFA) is the regulator of the housing Government-Sponsored Enterprises (GSEs) which include Fannie Mae, Freddie Mac, and the eleven Federal Home Loan Banks. FHFA was established by the Housing and Economic Recovery Act of 2008 (P.L.

110–289) which amended the Federal Housing Enterprise Safety and Soundness Act of 1992. FHFA receives direct funding for its activities from mandatory assessments on the GSEs.

Object Classification (in millions of dollars)

Identification code 537–5532–0–2–371	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	107	115	118
11.3 Other than full-time permanent	2		
11.5 Other personnel compensation	4		
11.9 Total personnel compensation	113	115	118
12.1 Civilian personnel benefits	41	43	44
21.0 Travel and transportation of persons	3	4	4
23.2 Rental payments to others	15	16	17
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	39	51	53
25.3 Other goods and services from Federal sources	4	4	4
25.7 Operation and maintenance of equipment	2	2	2
26.0 Supplies and materials	3	3	3
31.0 Equipment	6	6	6
32.0 Land and structures		2	2
94.0 Financial transfers	45	46	50
99.0 Direct obligations	274	295	306
99.0 Reimbursable obligations	1	5	5
99.9 Total new obligations, unexpired accounts	275	300	311

Employment Summary

Identification code 537–5532–0–2–371	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	586	675	675

OFFICE OF INSPECTOR GENERAL

Program and Financing (in millions of dollars)

Identification code 537–5564–0–2–371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Office of Inspector General Reimbursable	46	50	50

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	4	
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	45	46	50
1930 Total budgetary resources available	50	50	50
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4		

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	9	12
3010 New obligations, unexpired accounts	46	50	50
3020 Outlays (gross)	–46	–47	–50
3050 Unpaid obligations, end of year	9	12	12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	9	12
3200 Obligated balance, end of year	9	12	12

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	45	46	50
Outlays, gross:			
4100 Outlays from new mandatory authority	38	39	42
4101 Outlays from mandatory balances	8	8	8
4110 Outlays, gross (total)	46	47	50
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–45	–46	–50
4180 Budget authority, net (total)			
4190 Outlays, net (total)	1	1	

The Federal Housing Finance Agency Office of Inspector General (FHFA-OIG), established in the Housing and Economic Recovery Act of 2008, has duties and responsibilities that are intended to facilitate the efficient and effective conduct of FHFA in its capacity as the primary regulator of the housing Government-Sponsored Enterprises (GSEs) and conservator of Fannie Mae and Freddie Mac. The IG is funded through FHFA's direct assessments on the housing GSEs.

Object Classification (in millions of dollars)

Identification code 537-5564-0-2-371	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	21	21	21
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	22	22	22
12.1 Civilian personnel benefits	9	10	10
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	3	5	5
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	6	6	6
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	1	1
99.9 Total new obligations, unexpired accounts	46	50	50

Employment Summary

Identification code 537-5564-0-2-371	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	121	155	155

FEDERAL LABOR RELATIONS AUTHORITY

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Federal Labor Relations Authority, pursuant to Reorganization Plan Numbered 2 of 1978, and the Civil Service Reform Act of 1978, including services authorized by 5 U.S.C. 3109, and including hire of experts and consultants, hire of passenger motor vehicles, and including official reception and representation expenses (not to exceed \$1,500) and rental of conference rooms in the District of Columbia and elsewhere, **[\$24,890,000]** **\$28,395,000: Provided,** That public members of the Federal Service Impasses Panel may be paid travel expenses and per diem in lieu of subsistence as authorized by law (5 U.S.C. 5703) for persons employed intermittently in the Government service, and compensation as authorized by 5 U.S.C. 3109: *Provided further,* That, notwithstanding 31 U.S.C. 3302, funds received from fees charged to non-Federal participants at labor-management relations conferences shall be credited to and merged with this account, to be available without further appropriation for the costs of carrying out these conferences. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 054-0100-0-1-805	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Authority	16	14	16
0002 Office of the General Counsel	9	10	11
0003 Federal Service Impasses Panel	1	1	1
0900 Total new obligations, unexpired accounts	26	25	28
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	26	25	28
1930 Total budgetary resources available	26	25	28
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	6	5
3010 New obligations, unexpired accounts	26	25	28

3020 Outlays (gross)	-24	-26	-28
3050 Unpaid obligations, end of year	6	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	6	5
3200 Obligated balance, end of year	6	5	5

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	26	25	28
Outlays, gross:			
4010 Outlays from new discretionary authority	22	22	25
4011 Outlays from discretionary balances	2	4	3
4020 Outlays, gross (total)	24	26	28
4180 Budget authority, net (total)	26	25	28
4190 Outlays, net (total)	24	26	28

The Federal Labor Relations Authority (FLRA) is an independent administrative Federal agency created by Title VII of the Civil Service Reform Act of 1978 (the Statute) with a mission to carry out five statutory responsibilities: 1) determining the appropriateness of units for labor organization representation; 2) resolving complaints of unfair labor practices; 3) adjudicating exceptions to arbitrators' awards; 4) adjudicating legal issues relating to duty to bargain; and 5) resolving impasses during negotiations. All work throughout the agency is undertaken to support a single program—to administer and enforce the Statute by determining the respective rights of employees, agencies, and labor organizations in their relations with one another.

FLRA's authority is divided by law and by delegation among a three-member Authority and an Office of General Counsel, appointed by the President and subject to Senate confirmation; and the Federal Service Impasses Panel, which consists of seven part-time members appointed by the President.

FLRA does not initiate cases. Proceedings before FLRA originate from filings arising through the actions of Federal employees, Federal agencies, or Federal labor organizations. Nationwide, FLRA includes five Regional Offices and a Headquarters site in Washington, D.C.

Authority.—The Authority adjudicates appeals filed by either Federal agencies or Federal labor organizations on negotiability issues, exceptions to arbitration awards, appeals of representation decisions, eligibility of labor organizations for national consultation rights, and unfair labor practice complaints.

Office of the General Counsel.—The General Counsel investigates allegations of unfair labor practices and processes representation petitions. In addition, the General Counsel conducts elections concerning the exclusive recognition of labor organizations and certifies the results of elections.

Federal Service Impasses Panel.—The Panel resolves labor negotiation impasses between Federal agencies and labor organizations.

Object Classification (in millions of dollars)

Identification code 054-0100-0-1-805	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	13	13	15
11.9 Total personnel compensation	13	13	15
12.1 Civilian personnel benefits	5	5	5
23.1 Rental payments to GSA	3	2	3
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	2	1	1
25.3 Other goods and services from Federal sources	2	3	3
99.0 Direct obligations	26	25	28
99.9 Total new obligations, unexpired accounts	26	25	28

Employment Summary

Identification code 054-0100-0-1-805	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	99	109	119

FEDERAL MARITIME COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Federal Maritime Commission as authorized by section 201(d) of the Merchant Marine Act, 1936, as amended (46 U.S.C. 307), including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); and uniforms or allowances therefore, as authorized by 5 U.S.C. 5901–5902, **[\$28,000,000] \$28,900,000: Provided**, That not to exceed **[\$2,000] \$5,000** shall be available for official reception and representation expenses. (*Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 065–0100–0–1–403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Inspector General			1
0003 Operational and Administrative	27	28	28
0900 Total new obligations, unexpired accounts	27	28	29
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	27	28	29
1930 Total budgetary resources available	27	28	29
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	4	4
3010 New obligations, unexpired accounts	27	28	29
3020 Outlays (gross)	–27	–28	–29
3041 Recoveries of prior year unpaid obligations, expired	–1		
3050 Unpaid obligations, end of year	4	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	4	4
3200 Obligated balance, end of year	4	4	4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	27	28	29
Outlays, gross:			
4010 Outlays from new discretionary authority	23	24	25
4011 Outlays from discretionary balances	4	4	4
4020 Outlays, gross (total)	27	28	29
4180 Budget authority, net (total)	27	28	29
4190 Outlays, net (total)	27	28	29

The Federal Maritime Commission (FMC or Commission) regulates oceanborne transportation in the foreign commerce of the United States. The Commission administers the Shipping Act of 1984 (1984 Act) as amended; section 19 of the Merchant Marine Act, 1920 (1920 Act); the Foreign Shipping Practices Act of 1988 (FSPA); Sections 2 and 3 of Public Law 89–777; and Section 834 of the Frank LoBiondo Coast Guard Authorization Act of 2018 (LoBiondo Act). The Commission monitors the activities of ocean common carriers, marine terminal operators (MTOs), ports, and ocean transportation intermediaries who operate in U.S. foreign commerce to ensure that they maintain just and reasonable practices.

Ocean Transportation Intermediaries (OTIs).—The Commission issues licenses to qualified OTIs operating in the United States and ensures that U.S. OTIs are bonded or maintain other evidence of financial responsibility.

Passenger Vessel Operators.—The Commission ensures that passenger vessel operators demonstrate adequate financial responsibility to indemnify passengers in the event of nonperformance of voyages or passenger injury or death.

Shipping Act Compliance.—The FMC maintains trade monitoring and enforcement programs designed to assist regulated entities in achieving compliance and to detect and appropriately remedy malpractices and violations of the prohibited acts set forth in section 10 of the 1984 Act; offers a dispute resolution program to resolve disputes impeding the transportation of cargo; reviews competitive activities of common carrier alliances and

other agreements among common carriers and/or terminal operators; monitors the laws and practices of foreign governments which could have a discriminatory or otherwise adverse impact on shipping conditions in U.S. trades, and imposes remedial action, as appropriate, pursuant to section 19 of the 1920 Act or FSPA; enforces special regulatory requirements applicable to carriers owned or controlled by foreign governments; processes and reviews agreements, service contracts, and service arrangements pursuant to the 1984 Act for compliance with statutory requirements; and reviews common carriers' privately published tariff systems for accessibility, accuracy, and reasonable terms.

Object Classification (in millions of dollars)

Identification code 065–0100–0–1–403	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	14	16	16
12.1 Civilian personnel benefits	4	5	5
25.2 Other services from non-Federal sources	4	2	3
25.3 Other goods and services from Federal sources	5	5	5
99.9 Total new obligations, unexpired accounts	27	28	29

Employment Summary

Identification code 065–0100–0–1–403	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	112	128	116

FEDERAL MEDIATION AND CONCILIATION SERVICE

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Federal Mediation and Conciliation Service ("Service") to carry out the functions vested in it by the Labor-Management Relations Act, 1947, including hire of passenger motor vehicles; for expenses necessary for the Labor-Management Cooperation Act of 1978; and for expenses necessary for the Service to carry out the functions vested in it by the Civil Service Reform Act, **[\$47,200,000] \$48,600,000**, including up to \$900,000 to remain available through September 30, 2021, for activities authorized by the Labor-Management Cooperation Act of 1978: **Provided**, That notwithstanding 31 U.S.C. 3302, fees charged, up to full-cost recovery, for special training activities and other conflict resolution services and technical assistance, including those provided to foreign governments and international organizations, and for arbitration services shall be credited to and merged with this account, and shall remain available until expended: **Provided further**, That fees for arbitration services shall be available only for education, training, and professional development of the agency workforce: **Provided further**, That the Director of the Service is authorized to accept and use on behalf of the United States gifts of services and real, personal, or other property in the aid of any projects or functions within the Director's jurisdiction. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 093–0100–0–1–505	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Dispute mediation and preventive mediation, public information, and grants	34	35	36
0002 Arbitration services	1	1	1
0003 Management and administrative support	10	10	11
0004 Labor-Management Grants (separated from line 0001 for FY17)		1	1
0091 Total direct program	45	47	49
0101 Reimbursables	3	3	3
0900 Total new obligations, unexpired accounts	48	50	52
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	3	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	47	47	49

Spending authority from offsetting collections, discretionary:				
1700	Collected	2	3	3
1900	Budget authority (total)	49	50	52
1930	Total budgetary resources available	52	53	55
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year	3	3	3

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	8	5	4
3010	New obligations, unexpired accounts	48	50	52
3020	Outlays (gross)	-50	-51	-52
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year	5	4	4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	8	5	4
3200	Obligated balance, end of year	5	4	4

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	49	50	52
Outlays, gross:				
4010	Outlays from new discretionary authority	41	46	48
4011	Outlays from discretionary balances	9	5	4
4020	Outlays, gross (total)	50	51	52
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-1	-1	-1
4033	Non-Federal sources	-1	-2	-2
4040	Offsets against gross budget authority and outlays (total)	-2	-3	-3
4070	Budget authority, net (discretionary)	47	47	49
4080	Outlays, net (discretionary)	48	48	49
4180	Budget authority, net (total)	47	47	49
4190	Outlays, net (total)	48	48	49

The Federal Mediation and Conciliation Service (FMCS) provides assistance to parties in labor disputes in industries affecting commerce through conciliation and mediation.

Dispute Mediation.—FMCS assists labor and management in the mediation and prevention of disputes, other than those involving rail and air transportation, whenever such disputes threaten to cause a substantial interruption of interstate commerce or a major impairment to the national defense. FMCS also makes mediation and conciliation services available to Federal agencies and organizations representing Federal employees in the resolution of negotiation disputes. FMCS provides mandatory mediation and, where necessary, impartial boards of inquiry to assist in resolving labor disputes involving private nonprofit health care institutions. The workload shown below includes assignments in both the private and public sectors. These numbers include collective bargaining and grievance mediation.

DISPUTE MEDIATION WORKLOAD DATA

	2017 actual	2018 actual	2019 actual	2020 est.	2021 est.
Dispute mediation assignments	12,999	12,244	13,220	13,000	13,000
Total active mediations	4,880	4,807	5,364	5,573	5,573

Preventive Mediation, Public Information, and Educational Activities.—Through its preventive mediation program, FMCS initiates and develops labor-management committees, training programs, conferences, and specialized workshops dealing with issues in collective bargaining. Mediators also participate in education, advocacy and outreach activities such as lectures, seminars, and conferences.

PREVENTIVE MEDIATION WORKLOAD DATA

	2017 actual	2018 actual	2019 actual	2020 est.	2021 est.
Total preventive mediation cases conducted	1,956	1,815	1,956	2,500	2,500

Arbitration Services.—FMCS assists parties in disputes by utilizing the arbitration process for the resolution of disputes arising under or in the negotiation of collective bargaining agreements in the private and public sectors.

ARBITRATION SERVICES WORKLOAD DATA

	2017 actual	2018 actual	2019 actual	2020 est.	2021 est.
Number of panels issued	11,836	11,617	10,944	11,000	11,000
Number of arbitrators appointed	5,247	4,524	4,342	4,771	4,771

Management and Administrative Support.—This activity provides for overall management and administration, policy planning, research and evaluation, and employee development.

Labor-Management Cooperation Project.—The Labor Management Cooperation Act of 1978 (29 U.S.C. 175a) authorizes FMCS to carry out this program of contracts and grants to support the establishment and operation of plant, area, and industry labor-management committees.

Alternative Dispute Resolution (ADR) Projects.—FMCS assists other Federal agencies by providing mediation and technical assistance in the area of ADR. The ADR cases reduce litigation costs and speed Federal processes. FMCS is funded for this work through interagency reimbursable agreements.

ALTERNATIVE DISPUTE RESOLUTION (ADR) WORKLOAD DATA

	2017 actual	2018 actual	2019 actual	2020 est.	2021 est.
Number of ADR Cases	1,200	1,081	1,212	1,500	1,500

Object Classification (in millions of dollars)

Identification code 093-0100-0-1-505	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	25	27	28
12.1 Civilian personnel benefits	8	9	10
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	5	4	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	4	4	4
99.0 Direct obligations	45	47	49
99.0 Reimbursable obligations	3	3	3
99.9 Total new obligations, unexpired accounts	48	50	52

Employment Summary

Identification code 093-0100-0-1-505	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	215	223	226
2001 Reimbursable civilian full-time equivalent employment	8	7	7

FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION**Federal Funds****SALARIES AND EXPENSES**

For expenses necessary for the Federal Mine Safety and Health Review Commission, \$17,184,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 368-2800-0-1-554	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Commission review	5	5	5
0002 Administrative law judge determinations	10	10	10
0003 Office of Executive Director	2	2	2
0900 Total new obligations, unexpired accounts	17	17	17
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	17	17	17
1930 Total budgetary resources available	17	17	17

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2	4	4
3010	New obligations, unexpired accounts	17	17	17

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 368–2800–0–1–554	2019 actual	2020 est.	2021 est.
3020 Outlays (gross)	–15	–17	–18
3050 Unpaid obligations, end of year	4	4	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	4	4
3200 Obligated balance, end of year	4	4	3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	17	17	17
Outlays, gross:			
4010 Outlays from new discretionary authority	14	15	15
4011 Outlays from discretionary balances	1	2	3
4020 Outlays, gross (total)	15	17	18
4180 Budget authority, net (total)	17	17	17
4190 Outlays, net (total)	15	17	18

The Federal Mine Safety and Health Review Commission reviews and decides contested enforcement actions of the Secretary of Labor under the Federal Mine Safety and Health Act of 1977, as amended by the Mine Improvement and New Emergency Response Act of 2006. The Commission also adjudicates claims by miners and miners' representatives concerning their rights under law. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

Object Classification (in millions of dollars)

Identification code 368–2800–0–1–554	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	9	9	9
12.1 Civilian personnel benefits	2	2	3
23.1 Rental payments to GSA	2	2	2
25.2 Other services from non-Federal sources	3	3	2
26.0 Supplies and materials	1	1	1
99.9 Total new obligations, unexpired accounts	17	17	17

Employment Summary

Identification code 368–2800–0–1–554	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	73	76	76

FEDERAL PERMITTING IMPROVEMENT STEERING
COUNCIL

Federal Funds

ENVIRONMENTAL REVIEW IMPROVEMENT FUND

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Environmental Review Improvement Fund established pursuant to 42 U.S.C. 4370m–8(d), **[\$8,000,000]** \$10,000,000, to remain available until expended: *Provided*, That funds appropriated in prior appropriations Acts under the heading "General Services Administration-General Activities-Environmental Review Improvement Fund" shall be transferred to and merged with this account. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 473–5761–0–2–808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and expenses	8		10
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			2
1011 Unobligated balance transfer from other acct [047–5640]		2	

1050 Unobligated balance (total)	2	2
Budget authority:		
Appropriations, discretionary:		
1100 Appropriation	8	10
1900 Budget authority (total)	8	10
1930 Total budgetary resources available	10	12
Memorandum (non-add) entries:		
1941 Unexpired unobligated balance, end of year	2	2

Change in obligated balance:

Unpaid obligations:		
3000 Unpaid obligations, brought forward, Oct 1		4
3010 New obligations, unexpired accounts	8	10
3020 Outlays (gross)	–5	–8
3031 Unpaid obligations transferred from other accts [047–5640]	1	
3050 Unpaid obligations, end of year	4	6
Memorandum (non-add) entries:		
3100 Obligated balance, start of year		4
3200 Obligated balance, end of year	4	6

Budget authority and outlays, net:

Discretionary:		
4000 Budget authority, gross	8	10
Outlays, gross:		
4010 Outlays from new discretionary authority	5	6
4011 Outlays from discretionary balances		2
4020 Outlays, gross (total)	5	8
4180 Budget authority, net (total)	8	10
4190 Outlays, net (total)	5	8

This appropriation supports the authorized activities of the Environmental Review Improvement Fund and the Federal Permitting Improvement Steering Council (Permitting Council) established under Title XLI of the Fixing America's Surface Transportation (FAST) Act of 2015 (Public Law 114–94). The Permitting Council leads ongoing Government-wide efforts to modernize the Federal environmental review and permitting process for major infrastructure projects and works with Federal agency partners to implement and oversee adherence to the statutory requirements set forth in Title 41 of the Fixing America's Surface Transportation (FAST-41). FAST-41 is a voluntary program for large, complex infrastructure projects that provides oversight, strengthens cooperation and communication among permitting agencies, enhances transparency for the project sponsor and other stakeholders, and emphasizes concurrent permit processing for covered infrastructure projects. Projects receive these benefits without modifying or undermining any underlying federal statutes or regulations, or the status of any mandatory reviews. Beginning in 2020, prior year appropriations to the General Services Administration for this activity are transferred and merged with this independent account in accordance with Public Law 116–93.

Object Classification (in millions of dollars)

Identification code 473–5761–0–2–808		2019 actual	2020 est.	2021 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	2	2	2
11.8	Special personal services payments	1	1	1
11.9	Total personnel compensation	3	3	3
25.1	Advisory and assistance services	1	1	1
25.3	Other goods and services from Federal sources	3	6	6
99.0	Direct obligations	7	10	10
99.5	Adjustment for rounding	1		
99.9	Total new obligations, unexpired accounts	8	10	10

Employment Summary

Identification code 473–5761–0–2–808	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	12		12

FEDERAL TRADE COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Federal Trade Commission, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902; services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; and not to exceed \$2,000 for official reception and representation expenses, **[\$331,000,000]** *\$330,199,000*, to remain available until expended: *Provided*, That not to exceed \$300,000 shall be available for use to contract with a person or persons for collection services in accordance with the terms of 31 U.S.C. 3718: *Provided further*, That, notwithstanding any other provision of law, **[not to exceed \$141,000,000 of offsetting collections derived from]** fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18a), regardless of the year of collection (*and estimated to be \$136,000,000 in fiscal year 2021*), *shall remain available until expended*, and shall be retained and used for necessary expenses in this appropriation: *Provided further*, That, notwithstanding any other provision of law, **[not to exceed \$18,000,000 in offsetting collections derived from]** fees **[sufficient]** collected to implement and enforce the Telemarketing Sales Rule, promulgated under the Telemarketing and Consumer Fraud and Abuse Prevention Act (15 U.S.C. 6101 et seq.), *regardless of the year of collection (and estimated to be \$13,000,000 in fiscal year 2021)*, shall be credited to this account, *are to remain available until expended*, and shall be retained and used for necessary expenses in this appropriation: *Provided further*, That the sum herein appropriated from the general fund shall be reduced as such offsetting collections are received during fiscal year **[2020]** *2021*, so as to result in a final fiscal year **[2020]** *2021* appropriation from the general fund estimated at not more than **[\$172,000,000]** *\$181,199,000*: *Provided further*, That none of the funds made available to the Federal Trade Commission may be used to implement subsection (e)(2)(B) of section 43 of the Federal Deposit Insurance Act (12 U.S.C. 1831t). (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 029–0100–0–1–376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Protect Consumers	106	186	186
0002 Maintain Competition	82	145	144
0192 Subtotal, direct program	188	331	330
0799 Total direct obligations	188	331	330
0803 Salaries and Expenses (Reimbursable)	143	2	1
0900 Total new obligations, unexpired accounts	331	333	331
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	23	15	22
1021 Recoveries of prior year unpaid obligations	12		
1050 Unobligated balance (total)	35	15	22
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	168	179	181
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections (cash) - HSR	130	141	136
1700 Offsetting collections (cash) - Do Not Call	12	18	13
1700 Offsetting collections (cash) - Reimb	1	2	1
1750 Spending auth from offsetting collections, disc (total)	143	161	150
1900 Budget authority (total)	311	340	331
1930 Total budgetary resources available	346	355	353
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	15	22	22
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	74	75	107
3010 New obligations, unexpired accounts	331	333	331
3020 Outlays (gross)	-318	-301	-340
3040 Recoveries of prior year unpaid obligations, unexpired	-12		
3050 Unpaid obligations, end of year	75	107	98
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	74	75	107
3200 Obligated balance, end of year	75	107	98

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	311	340	331
Outlays, gross:			
4010 Outlays from new discretionary authority	263	211	211
4011 Outlays from discretionary balances	55	90	129
4020 Outlays, gross (total)	318	301	340
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-2	-1
4034 Offsetting governmental collections	-142	-159	-149
4040 Offsets against gross budget authority and outlays (total)	-143	-161	-150
4070 Budget authority, net (discretionary)	168	179	181
4080 Outlays, net (discretionary)	175	140	190
4180 Budget authority, net (total)	168	179	181
4190 Outlays, net (total)	175	140	190

Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections	32	32	32
5092 Unexpired unavailable balance, EOY: Offsetting collections	32	32	32

The FTC's mission is to protect consumers and competition by preventing anticompetitive, deceptive, and unfair business practices through law enforcement, advocacy, and education without unduly burdening legitimate business activity. The FTC's mission is based on a vision of a vibrant economy characterized by vigorous competition and consumer access to accurate information.

Protect Consumers.—This goal is to prevent fraud, deception, and unfair business practices in the marketplace. The agency works to accomplish this goal through three objectives: 1) Identify and take actions to address deceptive or unfair practices that harm consumers; 2) Provide the public with knowledge and tools to prevent harm to consumers; and 3) Collaborate with domestic and international partners to enhance consumer protection.

Promote Competition.—This goal is to prevent anticompetitive mergers and other anticompetitive business practices in the marketplace. The agency works to accomplish this goal through three objectives: 1) Identify and take actions to address anticompetitive mergers and practices that harm consumers; 2) Engage in effective research and stakeholder outreach to promote competition, advance its understanding, and create awareness of its benefits to consumers; and 3) Collaborate with domestic partners and international partners to preserve and promote competition.

The 2021 Budget includes a program level for the Commission of \$330.2 million, funded by \$181.2 million from the General Fund of the U.S. Treasury and offsetting collections from two sources: \$136 million from fees for Hart-Scott-Rodino Act premerger notification filings as authorized by 15 U.S.C. 18a and \$13 million from fees sufficient to implement and enforce the Telemarketing Sales Rule, promulgated under the Telemarketing and Consumer Fraud and Abuse Prevention Act (15 U.S.C. 6101 et seq., as amended).

Object Classification (in millions of dollars)

Identification code 029–0100–0–1–376	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent		163	165
11.3 Other than full-time permanent	10		
11.5 Other personnel compensation	3	3	5
11.8 Special personal services payments	1		
11.9 Total personnel compensation	14	166	170
12.1 Civilian personnel benefits	49	53	55
21.0 Travel and transportation of persons	2	3	3
23.1 Rental payments to GSA	24	24	24
23.3 Communications, utilities, and miscellaneous charges	6	6	6
24.0 Printing and reproduction	1	2	2
25.1 Advisory and assistance services	67	62	56
25.2 Other services from non-Federal sources	4	4	4
25.3 Other goods and services from Federal sources	5		
25.4 Operation and maintenance of facilities	1	1	1
25.7 Operation and maintenance of equipment	11	8	7
26.0 Supplies and materials	1	1	1
31.0 Equipment	3	1	1

SALARIES AND EXPENSES—Continued

Object Classification—Continued

Identification code 029–0100–0–1–376	2019 actual	2020 est.	2021 est.
99.0 Direct obligations	188	331	330
99.0 Reimbursable obligations	143	2	1
99.9 Total new obligations, unexpired accounts	331	333	331

Employment Summary

Identification code 029–0100–0–1–376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,101	1,140	1,140
2001 Reimbursable civilian full-time equivalent employment	4	1	1

GENERAL FUND RECEIPT ACCOUNT

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
029–322000 All Other General Fund Proprietary Receipts Including			
Budget Clearing Accounts	2		
General Fund Offsetting receipts from the public	2		

GULF COAST ECOSYSTEM RESTORATION COUNCIL

Federal Funds

GULF COAST ECOSYSTEM RESTORATION COUNCIL

Program and Financing (in millions of dollars)

Identification code 471–1770–0–1–452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Comprehensive Plan Administrative Expense	1	1	1
0802 Comprehensive Plan Program Expenses	40	47	45
0803 Spill Impact Program and Projects	17	49	49
0900 Total new obligations, unexpired accounts	58	97	95
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	86	134	237
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	59	200	265
1801 Change in uncollected payments, Federal sources	47		
1850 Spending auth from offsetting collections, mand (total)	106	200	265
1930 Total budgetary resources available	192	334	502
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	134	237	407
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	179	201	87
3010 New obligations, unexpired accounts	58	97	95
3020 Outlays (gross)	–36	–211	–95
3050 Unpaid obligations, end of year	201	87	87
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–198	–245	–245
3070 Change in uncollected pymts, Fed sources, unexpired	–47		
3090 Uncollected pymts, Fed sources, end of year	–245	–245	–245
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	–19	–44	–158
3200 Obligated balance, end of year	–44	–158	–158
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	106	200	265
Outlays, gross:			
4100 Outlays from new mandatory authority	6	10	10

4101 Outlays from mandatory balances	30	201	85
4110 Outlays, gross (total)	36	211	95
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–59	–200	–265
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	–47		
4170 Outlays, net (mandatory)	–23	11	–170
4180 Budget authority, net (total)			
4190 Outlays, net (total)	–23	11	–170

The Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act of 2012, or the RESTORE Act, dedicates 80 percent of any civil and administrative penalties paid under the Clean Water Act by responsible parties in connection with the Deepwater Horizon oil spill to the Gulf Coast Restoration Trust Fund (the Trust Fund). These funds may be used for ecosystem restoration, economic recovery, and tourism promotion in the Gulf Coast region.

In addition to establishing the Trust Fund, the RESTORE Act established the Gulf Coast Ecosystem Restoration Council (the Council). The Council has oversight over the expenditure of sixty percent of the funds made available from the Trust Fund. Thirty percent will be administered for restoration and protection according to the Comprehensive Plan developed by the Council. The other thirty percent will be allocated to the States according to a formula set forth in the RESTORE Act and spent according to individual State expenditure plans to contribute to the overall economic and ecological recovery of the Gulf. The Council includes the Governors of the States of Alabama, Florida, Louisiana, Mississippi and Texas and the Secretaries of the U.S. Departments of Agriculture, Army, Commerce, Homeland Security and the Interior, and the Administrator of the U.S. Environmental Protection Agency.

Object Classification (in millions of dollars)

Identification code 471–1770–0–1–452	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	3
12.1 Civilian personnel benefits	1	1	1
25.1 Advisory and assistance services	1	1	1
25.3 Other goods and services from Federal sources	2	2	2
41.0 Grants, subsidies, and contributions	51	90	88
99.9 Total new obligations, unexpired accounts	58	97	95

Employment Summary

Identification code 471–1770–0–1–452	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	24	26	26

HARRY S TRUMAN SCHOLARSHIP FOUNDATION

Federal Funds

SALARIES AND EXPENSES

【For payment to the Harry S Truman Scholarship Foundation Trust Fund, established by section 10 of Public Law 93–642, \$1,670,000, to remain available until expended.】 (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 372–0950–0–1–502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to the Harry S Truman Scholarship Memorial Trust Fund	1	2	
0900 Total new obligations, unexpired accounts (object class 94.0)	1	2	
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	2	

1930	Total budgetary resources available	1	2
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	1	2
3020	Outlays (gross)	-1	-2
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1	2
Outlays, gross:				
4010	Outlays from new discretionary authority	1	2
4180	Budget authority, net (total)	1	2
4190	Outlays, net (total)	1	2

Trust Funds**HARRY S TRUMAN MEMORIAL SCHOLARSHIP TRUST FUND****Special and Trust Fund Receipts** (in millions of dollars)

Identification code 372-8296-0-7-502	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	32	32	33
Receipts:			
Current law:			
1140 Interest on Investments, Harry S Truman Memorial Scholarship Trust Fund	1	1	1
1140 General Fund Payment, Harry S Truman Scholarship Trust Fund	1	2
1199 Total current law receipts	2	3	1
1999 Total receipts	2	3	1
2000 Total: Balances and receipts	34	35	34
Appropriations:			
Current law:			
2101 Harry S Truman Memorial Scholarship Trust Fund	-2	-2	-2
5099 Balance, end of year	32	33	32

Program and Financing (in millions of dollars)

Identification code 372-8296-0-7-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Scholarship awards	3	3	3
0900 Total new obligations, unexpired accounts (object class 41.0)	3	3	3
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	20	19	18
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2	2	2
1930 Total budgetary resources available	22	21	20
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	19	18	17
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	2
3010 New obligations, unexpired accounts	3	3	3
3020 Outlays (gross)	-2	-2	-2
3050 Unpaid obligations, end of year	1	2	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	2
3200 Obligated balance, end of year	1	2	3
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority	2	2	2
4180 Budget authority, net (total)	2	2	2
4190 Outlays, net (total)	2	2	2
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	52	51	51
5001 Total investments, EOY: Federal securities: Par value	51	51	51

Public Law 93-642 established the Harry S Truman Scholarship Foundation to operate the scholarship program that is the permanent Federal memorial to the 33rd President of the United States. Appropriations in 1975 and 1976, totaling \$30 million, established the Foundation's trust fund. The funds have been invested by the Secretary of the Treasury in U.S. Treasury securities, and the interest earned on these funds is available for carrying out the activities of the Foundation. For several years, the Foundation has also received appropriations that are deposited in the trust fund and available for obligation. The Budget proposes no new federal funding for the Foundation in FY 2021.

The Foundation awards scholarships for qualified students who demonstrate outstanding potential for and interest in careers in public service at the local, State, or Federal level or in the non-profit sector. In its annual competition, the Foundation selects up to 60 new Truman Scholars. The maximum award is \$30,000 toward a graduate level degree program.

Scholarship awards.—This activity is comprised of scholarships awarded to cover eligible educational expenses.

Program administration.—This activity covers all costs of operating the program, including annual program announcement, interview and selection of Truman Scholars, calculation and disbursement of scholarship awards, monitoring of student progress, and special services and activities for scholars, including an orientation week for new scholars, a summer education and internship program, and workshops and conferences.

Employment Summary

Identification code 372-8296-0-7-502	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5	5	5

**INSTITUTE OF AMERICAN INDIAN AND ALASKA
NATIVE CULTURE AND ARTS DEVELOPMENT****Federal Funds****PAYMENT TO THE INSTITUTE**

For payment to the Institute of American Indian and Alaska Native Culture and Arts Development, as authorized by part A of title XV of Public Law 99-498 (20 U.S.C. 4411 et seq.), **[\$10,458,000]** \$10,710,000, which shall become available on July 1, **[2020]** 2021, and shall remain available until September 30, **[2021]** 2022. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 373-2900-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to the Institute	10	10	11
0900 Total new obligations, unexpired accounts (object class 41.0)	10	10	11
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10	10	11
1930 Total budgetary resources available	10	10	11
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	10	10	11
3020 Outlays (gross)	-10	-10	-11
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	10	10	11
Outlays, gross:			
4010 Outlays from new discretionary authority	10	10	11
4180 Budget authority, net (total)	10	10	11
4190 Outlays, net (total)	10	10	11

PAYMENT TO THE INSTITUTE—Continued

Title XV of Public Law 99–498 established the Institute of American Indian and Alaska Native Culture and Arts Development as an independent non-profit educational institution. The mission of the Institute is to serve as a multi-tribal center of higher education for Native Americans and is dedicated to the study, creative application, preservation and care of Indian arts and culture. The Institute is federally chartered and under the direction and control of a Board of Trustees appointed by the President of the United States.

Payment to the Institute.—This activity supports the operations of the Institute.

INSTITUTE OF MUSEUM AND LIBRARY SERVICES

Federal Funds

OFFICE OF MUSEUM AND LIBRARY SERVICES: GRANTS AND ADMINISTRATION

For carrying out the Museum and Library Services Act [of 1996] (*Public Law 94–462, as amended*) and the National Museum of African American History and Culture Act[, \$252,000,000] (*Public Law 108–184*), and for the closure of the Institute of Museum and Library Services, \$23,000,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 474–0300–0–1–503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Assistance for museums	39	39
0002 Assistance for libraries	189	195
0003 Administration	18	18	23
0900 Total new obligations, unexpired accounts	246	252	23
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			2
1021 Recoveries of prior year unpaid obligations		1	1
1050 Unobligated balance (total)		1	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	242	252	23
Spending authority from offsetting collections, discretionary:			
1700 Collected	4	1	1
1900 Budget authority (total)	246	253	24
1930 Total budgetary resources available	246	254	27
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		2	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	292	304	230
3010 New obligations, unexpired accounts	246	252	23
3020 Outlays (gross)	–232	–325	–194
3040 Recoveries of prior year unpaid obligations, unexpired		–1	–1
3041 Recoveries of prior year unpaid obligations, expired	–2		
3050 Unpaid obligations, end of year	304	230	58
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	292	304	230
3200 Obligated balance, end of year	304	230	58
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	246	253	24
Outlays, gross:			
4010 Outlays from new discretionary authority	40	77	8
4011 Outlays from discretionary balances	192	248	186
4020 Outlays, gross (total)	232	325	194
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–4	–1	–1
4180 Budget authority, net (total)	242	252	23
4190 Outlays, net (total)	228	324	193

The Budget proposes to eliminate funding for several independent agencies, including the Institute of Museum and Library Services (IMLS), as part of the Administration's plan to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. The Budget requests \$23,000,000 to conduct an orderly closeout of IMLS beginning in fiscal year 2021.

Object Classification (in millions of dollars)

Identification code 474–0300–0–1–503	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	8	8	8
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	1	1	1
25.2 Other services from non-Federal sources	7	7	12
41.0 Grants, subsidies, and contributions	228	234
99.9 Total new obligations, unexpired accounts	246	252	23

Employment Summary

Identification code 474–0300–0–1–503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	71	71	71

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

Federal Funds

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

For necessary expenses of the Intelligence Community Management Account, [\$556,000,000] \$663,000,000. (*Department of Defense Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 467–0401–0–1–054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Intelligence community management	500	556	663
0801 Intelligence Community Management Account (Reimbursable)	20	30	30
0900 Total new obligations, unexpired accounts	520	586	693
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	522	556	663
1120 Appropriations transferred to other accts (097–0100)	–16		
1160 Appropriation, discretionary (total)	506	556	663
Spending authority from offsetting collections, discretionary:			
1700 Collected	12	30	30
1701 Change in uncollected payments, Federal sources	7		
1750 Spending auth from offsetting collections, disc (total)	19	30	30
1900 Budget authority (total)	525	586	693
1930 Total budgetary resources available	525	586	693
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–5		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	235	194	180
3010 New obligations, unexpired accounts	520	586	693
3011 Obligations ("upward adjustments"), expired accounts	11		
3020 Outlays (gross)	–537	–600	–664
3041 Recoveries of prior year unpaid obligations, expired	–35		
3050 Unpaid obligations, end of year	194	180	209
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–10	–15	–15
3070 Change in uncollected pymts, Fed sources, unexpired	–7		
3071 Change in uncollected pymts, Fed sources, expired	2		
3090 Uncollected pymts, Fed sources, end of year	–15	–15	–15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	225	179	165
3200 Obligated balance, end of year	179	165	194

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	525	586	693
Outlays, gross:				
4010	Outlays from new discretionary authority	401	447	527
4011	Outlays from discretionary balances	136	153	137
4020	Outlays, gross (total)	537	600	664
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-13	-30	-30
4040	Offsets against gross budget authority and outlays (total)	-13	-30	-30
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-7		
4052	Offsetting collections credited to expired accounts	1		
4060	Additional offsets against budget authority only (total)	-6		
4070	Budget authority, net (discretionary)	506	556	663
4080	Outlays, net (discretionary)	524	570	634
4180	Budget authority, net (total)	506	556	663
4190	Outlays, net (total)	524	570	634

The Intelligence Community Management Account (ICMA) provides resources that directly support the Director of National Intelligence (DNI) in managing intelligence integration across the Intelligence Community (IC), the mission of the IC Inspector General, and the support functions of the Office of the Director of National Intelligence (ODNI), including directorates focused on enterprise capacity, mission integration, partnerships, strategy and engagement, and the National Intelligence Program annual budget cycle.

ICMA also funds select IC elements such as the National Intelligence Council, the President's Daily Briefing Staff, and the National Intelligence University. These elements are the DNI's principal advisory sources in executing their IC-wide management responsibilities and executing their role as advisor to the President. The National Intelligence Council provides analytical support to the DNI and to senior policy makers. The President's Daily Briefing Staff supports the production of the daily intelligence briefing provided to the President and his senior staff. The National Intelligence University is a federal degree-granting institution with a far-reaching mission to educate and prepare intelligence officers to meet current and future challenges to the United States' national security.

Object Classification (in millions of dollars)

Identification code 467-0401-0-1-054		2019 actual	2020 est.	2021 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	112	121	164
11.3	Other than full-time permanent			1
11.5	Other personnel compensation	9	9	9
11.9	Total personnel compensation	121	130	174
12.1	Civilian personnel benefits	34	38	51
21.0	Travel and transportation of persons	9	10	10
22.0	Transportation of things	5	5	5
23.1	Rental payments to GSA	2	2	2
23.3	Communications, utilities, and miscellaneous charges	3	2	2
24.0	Printing and reproduction	3	2	2
25.1	Advisory and assistance services	245	257	291
25.2	Other services from non-Federal sources	14	18	18
25.3	Other goods and services from Federal sources	20	17	21
25.4	Operation and maintenance of facilities	6	11	28
25.5	Research and development contracts	2	3	3
25.6	Medical care	2	3	3
25.7	Operation and maintenance of equipment	29	54	49
26.0	Supplies and materials	2	2	2
31.0	Equipment	3	2	2
99.0	Direct obligations	500	556	663
99.0	Reimbursable obligations	20	30	30
99.9	Total new obligations, unexpired accounts	520	586	693

Employment Summary

Identification code 467-0401-0-1-054	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	776	812	1,061

INTERNATIONAL TRADE COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the International Trade Commission, including hire of passenger motor vehicles and services as authorized by section 3109 of title 5, United States Code, and not to exceed \$2,250 for official reception and representation expenses, **[\$99,400,000]** \$99,600,000, to remain available until expended. (*Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 034-0100-0-1-153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Research, investigations, and reports	104	99	100
Budgetary resources:			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations	9		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	95	99	100
1930 Total budgetary resources available	104	99	100
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	25	24	7
3010 New obligations, unexpired accounts	104	99	100
3020 Outlays (gross)	-96	-116	-100
3040 Recoveries of prior year unpaid obligations, unexpired	-9		
3050 Unpaid obligations, end of year	24	7	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	25	24	7
3200 Obligated balance, end of year	24	7	7

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	95	99	100
Outlays, gross:				
4010	Outlays from new discretionary authority	75	93	94
4011	Outlays from discretionary balances	21	23	6
4020	Outlays, gross (total)	96	116	100
4180	Budget authority, net (total)	95	99	100
4190	Outlays, net (total)	96	116	100

The U.S. International Trade Commission (Commission) is an independent, nonpartisan Federal agency with broad investigative responsibilities on matters of trade. In accordance with its statutory mandate, the Commission investigates and makes determinations in proceedings involving imports claimed to injure a domestic industry or violate U.S. intellectual property rights; provides independent analysis and information on tariffs, trade, and competitiveness; and maintains the U.S. tariff schedule.

For FY 2021, the Commission requests an appropriation of \$105.0 million to support its authorized operations. Pursuant to section 175 of the Trade Act of 1974, the budget estimates for the Commission are transmitted to Congress without revision by the President. The Administration's FY 2021 request for the Commission is \$99.6 million, reflected in the Appendix table and appropriations language.

Although the Commission has one program activity set forth in the Budget of the United States, the Commission's Strategic Plan for FY 2018-2022 sets two strategic goals that cover its programmatic responsibilities. The agency's goal to make sound, objective, and timely determinations in trade remedy proceedings focuses on its import injury and unfair import investigative responsibilities. The agency's goal to produce independent, objective, and timely analysis and information on tariffs, trade, and competitiveness

SALARIES AND EXPENSES—Continued

encompasses two areas. First, it focuses on the agency's role to independently provide the highest caliber of information and analysis to U.S. policymakers in a timely manner to assist them when they are securing benefits to the United States in trade negotiations and when they enact legislation or take other policy actions that affect the U.S. economy and industry competitiveness. The Commission's analysis of industry competitiveness has expanded with new responsibilities for evaluating miscellaneous tariff bill (MTB) petitions and making recommendations to Congress under the American Manufacturing Competitiveness Act of 2016 (AMCA). Second, it focuses on the responsibility to maintain the Harmonized Tariff Schedule (HTS) of the United States. The Commission also set a management goal to efficiently and effectively advance the agency's mission. The agency's focus is on four functional areas—human resources; budget, acquisitions, and finance; information technology; and processes and communications—as they play a critical role in supporting programmatic activities.

The Strategic Plan identifies strategic objectives for each strategic or management goal, strategies to meet these objectives, and specific performance goals. The performance goals provide the basis by which the agency can assess whether it is making progress toward its strategic objectives.

The Commission makes available its Strategic Plan, Agency Financial Report, Annual Performance Plan, Annual Performance Report, and Budget Justification at <https://www.usitc.gov/strategic-plan.htm>.

Object Classification (in millions of dollars)

Identification code 034–0100–0–1–153	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	45	49	49
11.3 Other than full-time permanent	6	8	8
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	52	58	58
12.1 Civilian personnel benefits	16	18	18
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	15	9	9
23.3 Communications, utilities, and miscellaneous charges	1	1
25.1 Advisory and assistance services	2	1	1
25.2 Other services from non-Federal sources	1	4	3
25.3 Other goods and services from Federal sources	3	2
25.7 Operation and maintenance of equipment	6	5	5
26.0 Supplies and materials	2	2	2
31.0 Equipment	6	1	1
32.0 Land and structures
99.0 Direct obligations	104	100	101
99.5 Adjustment for rounding	–1	–1
99.9 Total new obligations, unexpired accounts	104	99	100

Employment Summary

Identification code 034–0100–0–1–153	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	394	418	407

JAMES MADISON MEMORIAL FELLOWSHIP
FOUNDATION

Trust Funds

JAMES MADISON MEMORIAL FELLOWSHIP TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 381–8282–0–7–502	2019 actual	2020 est.	2021 est.
0100 Balance, start of year
Receipts:			
Current law:			
1140 Earnings on Investments, James Madison Memorial Fellowship Foundation	2	2	2
2000 Total: Balances and receipts	2	2	2

Appropriations:

Current law:			
2101 James Madison Memorial Fellowship Trust Fund	–2	–2	–2
5099 Balance, end of year

Program and Financing (in millions of dollars)

Identification code 381–8282–0–7–502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Fellowship awards	2	1	1
0002 Program administration	1	1
0900 Total new obligations, unexpired accounts	2	2	2
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	39	39	39
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2	2	2
1930 Total budgetary resources available	41	41	41
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	39	39	39
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	2	2	2
3020 Outlays (gross)	–2	–2	–2
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority	2	2	2
4180 Budget authority, net (total)	2	2	2
4190 Outlays, net (total)	2	2	2
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	37	37	37
5001 Total investments, EOY: Federal securities: Par value	37	37	37

Public Laws 99–500, 101–208, and 102–221 established the James Madison Memorial Fellowship Foundation to operate a fellowship program to encourage graduate study of the framing, principles, and history of the American Constitution. Appropriations of \$10 million in 1988 and 1989 established the Foundation's trust fund. The funds have been invested by the Secretary of the Treasury in U.S. Treasury securities, and the interest earned on these funds is available for carrying out the activities of the Foundation. Funds raised from private sources and the surcharges from commemorative coin sales are also placed in the trust fund.

The Foundation is authorized to award graduate fellowships of up to \$24,000 to high school teachers of American history, American government, and social studies. College seniors and recent college graduates who want to become secondary school teachers of these subjects are also eligible.

Fellowship awards.—This activity is comprised of fellowship awards to cover educational expenses. It also supports the Foundation's annual Summer Institute on the U.S. Constitution, which all current fellows are required to attend. The Institute is an intensive educational experience that will ensure that all fellows know the history of the framing, ratification, and implementation of the U.S. Constitution and the Bill of Rights.

Program administration.—This activity covers the costs of planning, fund-raising, and the operation of the fellowship program.

Object Classification (in millions of dollars)

Identification code 381–8282–0–7–502	2019 actual	2020 est.	2021 est.
41.0 Direct obligations: Grants, subsidies, and contributions	1	1	1
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	2	2	2

Employment Summary

Identification code 381-8282-0-7-502	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3		

JAPAN-UNITED STATES FRIENDSHIP COMMISSION**Trust Funds****JAPAN-UNITED STATES FRIENDSHIP TRUST FUND****Special and Trust Fund Receipts** (in millions of dollars)

Identification code 382-8025-0-7-154	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	36	35	35
Receipts:			
Current law:			
1140 Interest on Investment in Public Debt Securities, Japan-United States Friendship Commission	2	3	3
2000 Total: Balances and receipts	38	38	38
Appropriations:			
Current law:			
2101 Japan-United States Friendship Trust Fund	-3	-3	-3
5099 Balance, end of year	35	35	35

Program and Financing (in millions of dollars)

Identification code 382-8025-0-7-154	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants	3	2	2
0002 Administration		1	1
0900 Total new obligations, unexpired accounts	3	3	3
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	3	3	3
1930 Total budgetary resources available	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	2
3010 New obligations, unexpired accounts	3	3	3
3020 Outlays (gross)	-3	-2	-2
3050 Unpaid obligations, end of year	1	2	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	2
3200 Obligated balance, end of year	1	2	3
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	3	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority	3	2	2
4180 Budget authority, net (total)	3	3	3
4190 Outlays, net (total)	3	2	2
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	36	35	35
5001 Total investments, EOY: Federal securities: Par value	35	35	35

The Japan-U.S. Friendship Commission was established as an independent Federal Government agency by the United States Congress in 1975 (P.L. 94-118) to strengthen the U.S.-Japan relationship through educational, cultural, and intellectual exchange. It administers a U.S. Government trust fund that originated in connection with the return to the Japanese government of certain U.S. facilities in Okinawa and for postwar U.S. assistance to Japan. The Commission is allowed to make expenditures from the fund in an amount, not to exceed five percent annually of the fund's original principal, to pay Commission expenses and to make grants to support its mission. The Commission is a grant making agency that supports research, education, public affairs and exchange with Japan. Its mission is to support

reciprocal people-to-people understanding, and to promote partnerships that advance common interests between Japan and United States.

Object Classification (in millions of dollars)

Identification code 382-8025-0-7-154	2019 actual	2020 est.	2021 est.
41.0 Direct obligations: Grants, subsidies, and contributions	2	2	2
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	3	3	3

LEGAL SERVICES CORPORATION**Federal Funds****PAYMENT TO THE LEGAL SERVICES CORPORATION**

For payment to the Legal Services Corporation to carry out the purposes of the Legal Services Corporation Act of 1974, **[\$440,000,000, of which \$402,700,000 is for basic field programs and required independent audits; \$5,300,000 is for the Office of Inspector General, of which such amounts as may be necessary may be used to conduct additional audits of recipients; \$22,000,000 is for management and grants oversight; \$4,000,000 is for client self-help and information technology; \$4,500,000 is for a Pro Bono Innovation Fund; and \$1,500,000 is for loan repayment assistance]** **\$18,200,000, to be used only for the closure of the Legal Services Corporation:** *Provided*, That the Legal Services Corporation may continue to provide locality pay to officers and employees at a rate no greater than that provided by the Federal Government to Washington, DC-based employees as authorized by section 5304 of title 5, United States Code, notwithstanding section 1005(d) of the Legal Services Corporation Act (42 U.S.C. 2996d(d)): *Provided further*, That the authorities provided in section 205 of this Act shall be applicable to the Legal Services Corporation: *Provided further*, That, for the purposes of section **[505]** 504 of this Act, the Legal Services Corporation shall be considered an agency of the United States Government. (*Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 020-0501-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to Legal Services Corporation	435	440	18
0900 Total new obligations, unexpired accounts (object class 41.0)	435	440	18
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	430	440	18
Spending authority from offsetting collections, discretionary:			
1700 Collected	3		
1900 Budget authority (total)	433	440	18
1930 Total budgetary resources available	435	440	18
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	35		36
3010 New obligations, unexpired accounts	435	440	18
3020 Outlays (gross)	-470	-404	-52
3050 Unpaid obligations, end of year		36	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	35		36
3200 Obligated balance, end of year		36	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	433	440	18
Outlays, gross:			
4010 Outlays from new discretionary authority	433	404	16
4011 Outlays from discretionary balances	37		36
4020 Outlays, gross (total)	470	404	52
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3		
4180 Budget authority, net (total)	430	440	18
4190 Outlays, net (total)	467	404	52

PAYMENT TO THE LEGAL SERVICES CORPORATION—Continued

The Budget proposes to eliminate Federal funding for several independent entities, including the Legal Services Corporation (LSC), as part of the Administration's plans to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. The Budget requests \$18.2 million to conduct an orderly closeout of LSC in 2021.

ADMINISTRATIVE PROVISION-LEGAL SERVICES CORPORATION

None of the funds appropriated in this Act to the Legal Services Corporation shall be expended for any purpose prohibited or limited by, or contrary to any of the provisions of, sections 501, 502, 503, 504, 505, and 506 of Public Law 105–119, and all funds appropriated in this Act to the Legal Services Corporation shall be subject to the same terms and conditions set forth in such sections, except that all references in sections 502 and 503 to 1997 and 1998 shall be deemed to refer instead to [2019] 2020 and [2020] 2021, respectively. (*Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.*)

MARINE MAMMAL COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Marine Mammal Commission as authorized by title II of the Marine Mammal Protection Act of 1972 (16 U.S.C. 1361 et seq.), **[\$3,616,000] \$2,449,000.** (*Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 387–2200–0–1–302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and expenses	3	3	3
Budgetary resources:			
1000 Unobligated balance:			
Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4	3	2
1930 Total budgetary resources available	4	4	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1		
3010 New obligations, unexpired accounts	3	3	3
3020 Outlays (gross)	–4	–3	–3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4	3	2
Outlays, gross:			
4010 Outlays from new discretionary authority	3	2	2
4011 Outlays from discretionary balances	1	1	1
4020 Outlays, gross (total)	4	3	3
4180 Budget authority, net (total)	4	3	2
4190 Outlays, net (total)	4	3	3

The Marine Mammal Commission is charged by the Marine Mammal Protection Act of 1972 to further the conservation of marine mammals and their environment. It provides independent, science-based oversight of domestic and international policies and actions of Federal agencies addressing human impacts on marine mammals and their ecosystems.

The Budget proposes to eliminate several independent agencies, including the Commission, as part of the Administration's plans to move the Nation towards fiscal responsibility. The Budget requests \$2.4 million to conduct an orderly closeout of the agency beginning in 2021.

Object Classification (in millions of dollars)

Identification code 387–2200–0–1–302	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.1 Advisory and assistance services	1	1	1
99.0 Direct obligations	2	2	2
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	3	3	3

Employment Summary

Identification code 387–2200–0–1–302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	12	13	13

MERIT SYSTEMS PROTECTION BOARD

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out functions of the Merit Systems Protection Board pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978, and the Whistleblower Protection Act of 1989 (5 U.S.C. 5509 note), including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, direct procurement of survey printing, and not to exceed \$2,000 for official reception and representation expenses, **[\$44,490,000, to remain available until September 30, 2021] \$42,154,000,** and in addition not to exceed \$2,345,000, to remain available until September 30, 2021, for administrative expenses to adjudicate retirement appeals to be transferred from the Civil Service Retirement and Disability Fund in amounts determined by the Merit Systems Protection Board. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 389–0100–0–1–805	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Adjudication	39	38	35
0002 Merit systems studies	2	2	3
0003 Management support	4	4	4
0799 Total direct obligations	45	44	42
0801 Salaries and Expenses (Reimbursable)	2	2	2
0900 Total new obligations, unexpired accounts	47	46	44
Budgetary resources:			
1000 Unobligated balance:			
Unobligated balance brought forward, Oct 1	5	4	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	44	44	42
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	2	2
1900 Budget authority (total)	46	46	44
1930 Total budgetary resources available	51	50	48
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	4	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	6	6
3010 New obligations, unexpired accounts	47	46	44
3020 Outlays (gross)	–46	–46	–45
3050 Unpaid obligations, end of year	6	6	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	6	6
3200 Obligated balance, end of year	6	6	5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	46	46	44

Outlays, gross:				
4010	Outlays from new discretionary authority	38	42	41
4011	Outlays from discretionary balances	8	4	4
4020	Outlays, gross (total)	46	46	45
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-2	-2	-2
4180	Budget authority, net (total)	44	44	42
4190	Outlays, net (total)	44	44	43

The Merit Systems Protection Board (MSPB) is an independent agency in the Executive Branch of the Federal Government that serves as the guardian of Federal merit systems. The Board's mission is to protect Federal merit systems and the rights of individuals within those systems. The MSPB accomplishes its mission by: hearing and deciding employee appeals from agency actions; hearing and deciding cases brought by the Office of Special Counsel involving alleged abuses of the merit systems, and other cases arising under the Board's original jurisdiction; conducting studies of the civil service and other merit systems in the Executive Branch to determine whether they are free from prohibited personnel practices; and providing oversight of the significant actions and regulations of the Office of Personnel Management (OPM) to determine whether they are in accord with merit system principles. The MSPB's inception began in 1883, when the Congress passed the Pendleton Act establishing the Civil Service Commission and a merit-based employment system for the Federal Government. The Pendleton Act grew out of the 19th century reform movement to curtail the excesses of political patronage in Government. As the Commission's responsibilities multiplied, a growing consensus emerged that it could not properly and adequately perform managerial and adjudicatory functions simultaneously. Concern over the inherent conflict of interest in the Commission's role as both rule-maker and judge was a principal motivating factor behind the enactment by the Congress of the Civil Service Reform Act of 1978. The Act replaced the Civil Service Commission with three new independent agencies: OPM, the Federal Labor Relations Authority, and MSPB. MSPB assumed the employee appeals functions of the Commission and was given the new responsibilities to perform merit systems studies and to review the significant actions of OPM.

Object Classification (in millions of dollars)

Identification code 389-0100-0-1-805	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	26	27	27
12.1 Civilian personnel benefits	8	8	7
23.1 Rental payments to GSA	4	3	3
23.3 Communications, utilities, and miscellaneous charges	1	2	1
25.2 Other services from non-Federal sources	3	1	1
25.3 Other goods and services from Federal sources	2	2	2
31.0 Equipment	1	1	1
99.0 Direct obligations	45	44	42
99.0 Reimbursable obligations	2	2	2
99.9 Total new obligations, unexpired accounts	47	46	44

Employment Summary

Identification code 389-0100-0-1-805	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	211	195	184
2001 Reimbursable civilian full-time equivalent employment	15	15	15

**MILITARY COMPENSATION AND RETIREMENT
MODERNIZATION COMMISSION***Federal Funds***MILITARY COMPENSATION AND RETIREMENT MODERNIZATION COMMISSION****Program and Financing** (in millions of dollars)

Identification code 479-2994-0-1-054	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	4
3020 Outlays (gross)	-4
3050 Unpaid obligations, end of year	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	4
3200 Obligated balance, end of year	4
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	4
4180 Budget authority, net (total)
4190 Outlays, net (total)	4

The purpose of the Military Compensation and Retirement Modernization Commission was to conduct a review of the military compensation and retirement systems. In 2015, the Commission provided its recommendations to Congress and the President on how to modernize the compensation and retirement systems.

**MORRIS K. UDALL AND STEWART L. UDALL
FOUNDATION***Federal Funds***MORRIS K. UDALL AND STEWART L. UDALL TRUST FUND**

(INCLUDING TRANSFER OF FUNDS)

For payment to the Morris K. Udall and Stewart L. Udall Trust Fund, pursuant to the Morris K. Udall and Stewart L. Udall Foundation Act (20 U.S.C. 5601 et seq.), \$1,800,000, to remain available until expended, of which, notwithstanding sections 8 and 9 of such Act, up to \$1,000,000 shall be available to carry out the activities authorized by section 6(7) of Public Law 102-259 and section 817(a) of Public Law 106-568 (20 U.S.C. 5604(7)): *Provided*, That all current and previous amounts transferred to the Office of Inspector General of the Department of the Interior will remain available until expended for audits and investigations of the Morris K. Udall and Stewart L. Udall Foundation, consistent with the Inspector General Act of 1978 (5 U.S.C. App.), as amended, and for annual independent financial audits of the Morris K. Udall and Stewart L. Udall Foundation pursuant to the Accountability of Tax Dollars Act of 2002 (Public Law 107-289): *Provided further*, That previous amounts transferred to the Office of Inspector General of the Department of the Interior may be transferred to the Morris K. Udall and Stewart L. Udall Foundation for annual independent financial audits pursuant to the Accountability of Tax Dollars Act of 2002 (Public Law 107-289). (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 487-0900-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Federal payment to Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation	2	2	2
0900 Total new obligations, unexpired accounts (object class 94.0)	2	2	2
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2	2	2
1930 Total budgetary resources available	2	2	2

MORRIS K. UDALL AND STEWART L. UDALL TRUST FUND—Continued
Program and Financing—Continued

Identification code 487–0900–0–1–502	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	2	2	2
3020 Outlays (gross)	–2	–2	–2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2	2
4180 Budget authority, net (total)	2	2	2
4190 Outlays, net (total)	2	2	2

The Morris K. Udall and Stewart L. Udall Fund is invested in Treasury securities with maturities suitable to the needs of the Fund. Interest earnings from the investments are used to carry out the activities of the Udall Foundation. The Foundation is authorized to award scholarships and fellowships and, as required by its enabling legislation, funds specified activities of the Udall Center for Studies in Public Policy, based at the University of Arizona.

The Udall Foundation is authorized by 20 U.S.C. 5604(7) to establish training programs for professionals in Native American and Alaska Native health care and public policy. The Foundation provides these programs through the Native Nations Institute (NNI), which is housed at the University of Arizona and provides Native Americans and Alaska Natives with leadership and management training and assists in policy analysis relevant to tribes.

ENVIRONMENTAL DISPUTE RESOLUTION FUND

For payment to the Environmental Dispute Resolution Fund to carry out activities authorized in the Environmental Policy and Conflict Resolution Act of 1998, **[\$3,200,000]** \$3,227,000, to remain available until expended. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 487–0925–0–1–306	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Environmental dispute resolution fund	7	7	7
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	8	8
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	9	8	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	3	3
Spending authority from offsetting collections, mandatory:			
1800 Collected	3	4	4
1900 Budget authority (total)	6	7	7
1930 Total budgetary resources available	15	15	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	8	8	8
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	1	1
3010 New obligations, unexpired accounts	7	7	7
3020 Outlays (gross)	–7	–7	–7
3040 Recoveries of prior year unpaid obligations, unexpired	–1		
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	3	3

Outlays, gross:			
4010 Outlays from new discretionary authority	3	3	3
4011 Outlays from discretionary balances	1		
4020 Outlays, gross (total)	4	3	3
Mandatory:			
4090 Budget authority, gross	3	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority	3	4	4
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–3	–3	–3
4123 Non-Federal sources		–1	–1
4130 Offsets against gross budget authority and outlays (total)	–3	–4	–4
4180 Budget authority, net (total)	3	3	3
4190 Outlays, net (total)	4	3	3
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value		5	5
5001 Total investments, EOY: Federal securities: Par value	5	5	5

In 1998, Public Law 105–56 established the U.S. Institute for Environmental Conflict Resolution (U.S. Institute) as a part of the Udall Foundation. The Further Consolidated Appropriations Act, 2020 renamed the U.S. Institute as the John S. McCain III National Center for Environmental Conflict Resolution (National Center) to honor the legacy of the late Senator John McCain who was instrumental in the establishment of the Udall Foundation and its programs. The National Center provides impartial collaboration, consensus-building, and conflict resolution services on a wide range of environmental, natural and cultural resources, Tribal, and public lands issues involving the Federal Government. The National Center's work enhances project efficiency, reduces costs, increases government capacity to serve citizens, increases the likelihood of avoiding litigation, and delivers better and more durable outcomes. The National Center's range of services include consultations, assessments, process design, convening, mediation, facilitation, training, stakeholder engagement, and other related collaboration and conflict resolution activities. The National Center specializes in providing assistance with national and regionally important environmental challenges; multiparty high-conflict cases where an impartial Federal convener is needed to broker participation in a collaborative process or conflict resolution effort; collaborative efforts involving Tribes and Native people, including government-to-government consultation between Tribes and Federal agencies; interagency and interdepartmental collaborations; issues involving multiple levels of government (Federal, State, local, tribal) and the public; issues that require substantive expertise (e.g., National Environmental Policy Act, transportation infrastructure projects, endangered species, cultural resources); and projects that require funding from multiple agencies and/or private organizations.

Object Classification (in millions of dollars)

Identification code 487–0925–0–1–306	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	3	3	3
99.0 Direct obligations	3	3	3
99.0 Reimbursable obligations	4	4	4
99.9 Total new obligations, unexpired accounts	7	7	7

Employment Summary

Identification code 487–0925–0–1–306	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	25	25	25

Trust Funds

MORRIS K. UDALL AND STEWART L. UDALL FOUNDATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 487-8615-0-7-502	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	49	50	52
Receipts:			
Current law:			
1140 General Fund Payments, Morris K. Udall Scholarship Fund	2	2	2
1140 Interest on Investments, Morris K. Udall Scholarship Fund	2	2	2
1199 Total current law receipts	4	4	4
1999 Total receipts	4	4	4
2000 Total: Balances and receipts	53	54	56
Appropriations:			
Current law:			
2101 Morris K. Udall and Stewart L. Udall Foundation	-2	-2	-2
5098 Rounding adjustment	-1		
5099 Balance, end of year	50	52	54

Program and Financing (in millions of dollars)

Identification code 487-8615-0-7-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation	3	2	2
0900 Total new obligations, unexpired accounts (object class 41.0)	3	2	2
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2	2	2
1930 Total budgetary resources available	3	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	3	2
3010 New obligations, unexpired accounts	3	2	2
3020 Outlays (gross)	-2	-3	-2
3050 Unpaid obligations, end of year	3	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	3	2
3200 Obligated balance, end of year	3	2	2
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority	1	2	2
4101 Outlays from mandatory balances	1	1	
4110 Outlays, gross (total)	2	3	2
4180 Budget authority, net (total)	2	2	2
4190 Outlays, net (total)	2	3	2
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	31	49	49
5001 Total investments, EOY: Federal securities: Par value	49	49	49

Public Law 102-259 established the Udall Foundation to award scholarships, fellowships, and internships for study related to the environment, and to Native Americans, and Alaska Natives in fields related to health care and tribal public policy; provide funding to the Udall Center for Studies in Public Policy and to the Native Nations Institute to conduct environmental policy research, research on Native American and Alaska Native health care issues and tribal public policy issues, and training; and provide assessment, mediation, training, and other related services through the U.S. Institute for Environmental Conflict Resolution. In 2020, the Udall Foundation will award 55 scholarships and up to 12 Native American Congressional Internships. During a ten-week period in Washington, D.C., the interns will gain practical experience with the Federal legislative process

to understand first-hand the relationship between Tribes and the Federal Government.

**NATIONAL ARCHIVES AND RECORDS
ADMINISTRATION****Federal Funds****OPERATING EXPENSES**

For necessary expenses in connection with the administration of the National Archives and Records Administration and archived Federal records and related activities, as provided by law, and for expenses necessary for the review and declassification of documents, the activities of the Public Interest Declassification Board, the operations and maintenance of the electronic records archives, the hire of passenger motor vehicles, and for uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901), including maintenance, repairs, and cleaning, **[\$359,000,000]** \$356,954,000, of which **[\$22,000,000]** \$9,230,000 shall remain available until expended for **[the repair and alteration of the National Archives facility in College Park, Maryland, and related improvements necessary to enhance the Federal Government's ability to electronically preserve, manage, and store Government records, and of which up to \$2,000,000 shall remain available until expended to implement the Civil Rights Cold Case Records Collection Act of 2018 (Public Law 115-426)]** improvements necessary to enhance the Federal Government's ability to electronically preserve, manage, and store Government records. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 088-0300-0-1-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Legislative Archives, Presidential Libraries, and Museum Services	104	93	94
0002 Citizen Services	104	109	105
0003 Agency and Related Services	79	75	76
0004 Facility Operations	57	69	74
0005 Archives II Facility	2		
0006 Financial Transfer	27		
0007 Electronic Records Initiative		4	27
0799 Total direct obligations	373	350	376
0888 Operating Expenses (Reimbursable)	2	3	1
0900 Total new obligations, unexpired accounts	375	353	377
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	9	10	19
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	373	359	357
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	3	1
1700 Offsetting collections (cash applied to repay debt)	27		
1726 Spending authority from offsetting collections applied to repay debt	-27		
1750 Spending auth from offsetting collections, disc (total)	3	3	1
1900 Budget authority (total)	376	362	358
1930 Total budgetary resources available	385	372	377
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	19	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	87	85	95
3010 New obligations, unexpired accounts	375	353	377
3020 Outlays (gross)	-373	-343	-347
3041 Recoveries of prior year unpaid obligations, expired	-4		
3050 Unpaid obligations, end of year	85	95	125
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	87	85	95
3200 Obligated balance, end of year	85	95	125
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	376	362	358
Outlays, gross:			
4010 Outlays from new discretionary authority	301	272	269

OPERATING EXPENSES—Continued
Program and Financing—Continued

Identification code 088–0300–0–1–804	2019 actual	2020 est.	2021 est.
4011 Outlays from discretionary balances	72	71	78
4020 Outlays, gross (total)	373	343	347
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources	–30	–3	–1
4040 Offsets against gross budget authority and outlays (total)	–30	–3	–1
4180 Budget authority, net (total)	346	359	357
4190 Outlays, net (total)	343	340	346

This appropriation provides for the operation of the Federal Government's archives and records management activities, the preservation of permanently valuable historical records, and their access and use by the public.

Legislative Archives, Presidential Libraries, and Museum Services.—This activity provides for the Center for Legislative Archives and the Presidential Materials Division, which provide records management services to Congress and the White House; the Presidential Libraries of fourteen former Presidents; and nationwide education, outreach, and exhibits programs, including the National Archives Museum in Washington, DC.

Citizen Services.—This activity provides for public access to and engagement with permanently valuable Federal Government records by the researcher community and the general public at public research rooms, online at www.archives.gov, and through innovative tools and technology to support collaboration with the public.

Agency and Related Services.—This activity provides for the services NARA provides to other Federal agencies, including records management, appropriate declassification of classified national security information, oversight of the classification system and controlled, unclassified information, and improvements to the administration of the Freedom of Information Act by the Office of Government Information Services; the electronic records management activities of the Electronic Records Archives system; and publication of the Federal Register, U.S. Statutes-at-Large, and Presidential Papers.

Facility Operations.—This activity provides for the operations and maintenance of NARA facilities. In 2019, this also included interest payments and repayments of principal on debt associated with construction of the National Archives building at College Park, MD. Appropriations for repayments of principal ("redemption of debt") are excluded from NARA budget authority. NARA completed repayment of this debt in 2019.

Electronic Records Initiative.— This activity provides for expenses necessary to enhance the Federal Government's ability to electronically preserve, manage, and store Government records.

Object Classification (in millions of dollars)

Identification code 088–0300–0–1–804	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	134	136	135
11.5 Other personnel compensation	2	3	3
11.9 Total personnel compensation	136	139	138
12.1 Civilian personnel benefits	45	46	45
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	8	10	9
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	12	12	11
25.1 Advisory and assistance services	14	7	7
25.2 Other services from non-Federal sources	24	24	23
25.3 Other goods and services from Federal sources	20	18	37
25.4 Operation and maintenance of facilities	33	28	29
25.7 Operation and maintenance of equipment	35	36	36
26.0 Supplies and materials	2	3	3
31.0 Equipment	10	11	16
32.0 Land and structures	2	13	19
43.0 Interest and dividends	2		
94.0 Financial transfers	27		
99.0 Direct obligations	373	350	376

99.0 Reimbursable obligations	2	3	1
99.9 Total new obligations, unexpired accounts	375	353	377

Employment Summary

Identification code 088–0300–0–1–804	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,402	1,408	1,352
2001 Reimbursable civilian full-time equivalent employment	27	29	27

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Reform Act of 2008, Public Law 110–409, 122 Stat. 4302–16 (2008), and the Inspector General Act of 1978 (5 U.S.C. App.), as amended, and for the hire of passenger motor vehicles, **[\$4,823,000] \$5,300,000.** (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 088–0305–0–1–804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of Inspector General	4	5	5
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5	5	5
1930 Total budgetary resources available	5	5	5
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–1		
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	4	5	5
3020 Outlays (gross)	–4	–5	–5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5	5	5
Outlays, gross:			
4010 Outlays from new discretionary authority	4	4	4
4011 Outlays from discretionary balances		1	1
4020 Outlays, gross (total)	4	5	5
4180 Budget authority, net (total)	5	5	5
4190 Outlays, net (total)	4	5	5

The Office of Inspector General (OIG) provides independent audits, investigations, and other services; and serves as an independent, internal advocate to promote economy, efficiency, and effectiveness at NARA. The Inspector General Act of 1978, as amended, established the OIG's independent role and general responsibilities. The OIG investigates misconduct, evaluates NARA's performance, makes recommendations for improvements, and follows up to ensure economical, efficient, and effective operations and compliance with laws, policies, and regulations.

Object Classification (in millions of dollars)

Identification code 088–0305–0–1–804	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	3	3
12.1 Civilian personnel benefits	1	1	1
25.1 Advisory and assistance services	1	1	1
99.9 Total new obligations, unexpired accounts	4	5	5

Employment Summary

Identification code 088–0305–0–1–804	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	18	24	24

REPAIRS AND RESTORATION

For the repair, alteration, and improvement of archives facilities, and to provide adequate storage for holdings, **[\$7,500,000]** \$5,000,000, to remain available until expended. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 088-0302-0-1-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Repairs and Restoration (Direct)	7	9	5
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	8	8	5
1930 Total budgetary resources available	8	9	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8	7	3
3010 New obligations, unexpired accounts	7	9	5
3020 Outlays (gross)	-8	-13	-7
3050 Unpaid obligations, end of year	7	3	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8	7	3
3200 Obligated balance, end of year	7	3	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	8	8	5
Outlays, gross:			
4010 Outlays from new discretionary authority	1	7	4
4011 Outlays from discretionary balances	7	6	3
4020 Outlays, gross (total)	8	13	7
4180 Budget authority, net (total)	8	8	5
4190 Outlays, net (total)	8	13	7

This appropriation provides for the repair, alteration, and improvement of National Archives facilities and Presidential Libraries nationwide. Funding provided allows NARA to maintain a safe environment for public visitors and researchers, NARA employees, and the permanently valuable Federal Government records stored in NARA buildings.

Object Classification (in millions of dollars)

Identification code 088-0302-0-1-804	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	1		
32.0 Land and structures	6	9	5
99.9 Total new obligations, unexpired accounts	7	9	5

NATIONAL HISTORICAL PUBLICATIONS AND RECORDS COMMISSION

GRANTS PROGRAM

[For necessary expenses for allocations and grants for historical publications and records as authorized by 44 U.S.C. 2504, \$6,500,000, to remain available until expended.**]** (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 088-0301-0-1-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National Historical Publications and Records Commission (Direct)	7	7	
0900 Total new obligations, unexpired accounts (object class 41.0)	7	7	

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6	7	
1930 Total budgetary resources available	8	8	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	11	8
3010 New obligations, unexpired accounts	7	7	
3020 Outlays (gross)	-5	-10	-7
3050 Unpaid obligations, end of year	11	8	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	11	8
3200 Obligated balance, end of year	11	8	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	6	7	
Outlays, gross:			
4011 Outlays from discretionary balances	5	10	7
4180 Budget authority, net (total)	6	7	
4190 Outlays, net (total)	5	10	7

The National Historical Publications and Records Commission (NHPRC) grants program provides for grants to preserve and publish non-Federal records that document American history. The Budget does not request funds for this program.

RECORDS CENTER REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 088-4578-0-4-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Records Center Revolving Fund (Reimbursable)	192	196	198
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	73	63	65
1021 Recoveries of prior year unpaid obligations	6	4	4
1050 Unobligated balance (total)	79	67	69
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	188	194	193
1701 Change in uncollected payments, Federal sources	-12		
1750 Spending auth from offsetting collections, disc (total)	176	194	193
1930 Total budgetary resources available	255	261	262
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	63	65	64
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	30	27	25
3010 New obligations, unexpired accounts	192	196	198
3020 Outlays (gross)	-189	-194	-193
3040 Recoveries of prior year unpaid obligations, unexpired	-6	-4	-4
3050 Unpaid obligations, end of year	27	25	26
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-51	-39	-39
3070 Change in uncollected pymts, Fed sources, unexpired	12		
3090 Uncollected pymts, Fed sources, end of year	-39	-39	-39
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-21	-12	-14
3200 Obligated balance, end of year	-12	-14	-13

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	176	194	193
Outlays, gross:			
4010 Outlays from new discretionary authority	170	169	168
4011 Outlays from discretionary balances	19	25	25
4020 Outlays, gross (total)	189	194	193

RECORDS CENTER REVOLVING FUND—Continued
Program and Financing—Continued

Identification code 088-4578-0-4-804	2019 actual	2020 est.	2021 est.
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-187	-192	-191
4033 Non-Federal sources	-1	-2	-2
4040 Offsets against gross budget authority and outlays (total)	-188	-194	-193
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	12		
4080 Outlays, net (discretionary)	1		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	1		

This full cost recovery revolving fund provides for the storage and related services that NARA Records Centers provide to Federal agency customers. NARA Federal Records Centers provide low-cost, high-quality storage and related services, including: transfer, reference, re-file, and disposal services for temporary and pre-archival Federal Government records.

Object Classification (in millions of dollars)

Identification code 088-4578-0-4-804	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	62	63	64
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	4	4	4
11.9 Total personnel compensation	67	68	69
12.1 Civilian personnel benefits	23	24	24
21.0 Travel and transportation of persons	1	1	1
22.0 Transportation of things	1	2	2
23.1 Rental payments to GSA	47	48	49
23.2 Rental payments to others	10	11	11
23.3 Communications, utilities, and miscellaneous charges	5	5	5
25.1 Advisory and assistance services	3	4	4
25.2 Other services from non-Federal sources	12	6	6
25.3 Other goods and services from Federal sources	12	12	12
25.7 Operation and maintenance of equipment	8	11	11
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	3	3
99.9 Total new obligations, unexpired accounts	192	196	198

Employment Summary

Identification code 088-4578-0-4-804	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	1,143	1,180	1,180

Trust Funds

NATIONAL ARCHIVES GIFT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 088-8127-0-7-804	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Gifts and Bequests, National Archives Gift Fund	3	2	1
1130 Interest and Dividends on Non-Federal Securities, National Archives Gift Fund	1	1	1
1130 Proceeds from Non-Federal Securities not Immediately Reinvested, National Archives Gift Fund	1	1	1
1199 Total current law receipts	5	4	3
1999 Total receipts	5	4	3
2000 Total: Balances and receipts	5	4	3
Appropriations:			
Current law:			
2101 National Archives Gift Fund	-5	-4	-3
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 088-8127-0-7-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 National Archives Gift Fund (Reimbursable)	4	6	5
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	5	3
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	5	4	3
1930 Total budgetary resources available	9	9	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	3	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	3
3010 New obligations, unexpired accounts	4	6	5
3020 Outlays (gross)	-4	-4	-3
3050 Unpaid obligations, end of year	1	3	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	3
3200 Obligated balance, end of year	1	3	5
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	5	4	3
Outlays, gross:			
4100 Outlays from new mandatory authority	3	3	2
4101 Outlays from mandatory balances	1	1	1
4110 Outlays, gross (total)	4	4	3
4180 Budget authority, net (total)	5	4	3
4190 Outlays, net (total)	4	4	3
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	4	6	6
5001 Total investments, EOY: Federal securities: Par value	6	6	7
5010 Total investments, SOY: non-Fed securities: Market value	25	26	26
5011 Total investments, EOY: non-Fed securities: Market value	26	26	26

The National Archives Trust Fund Board may accept conditional and unconditional gifts or bequests of money, securities, or other personal property for the benefit of NARA activities. NARA receives endowments from private foundations to offset a portion of the operating costs of Presidential Libraries.

Object Classification (in millions of dollars)

Identification code 088-8127-0-7-804	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
25.2 Other services from non-Federal sources	1	3	2
25.3 Other goods and services from Federal sources	1	1	1
33.0 Investments and loans	1	1	1
94.0 Financial transfers	1	1	1
99.9 Total new obligations, unexpired accounts	4	6	5

NATIONAL ARCHIVES TRUST FUND

Program and Financing (in millions of dollars)

Identification code 088-8436-0-8-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Sales	5	5	5
0802 Presidential libraries	12	12	10
0900 Total new obligations, unexpired accounts	17	17	15
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	4	5
1021 Recoveries of prior year unpaid obligations		1	1
1050 Unobligated balance (total)	6	5	6

Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected	15	17	16
1930	Total budgetary resources available	21	22	22
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	4	5	7
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	3	4	3
3010	New obligations, unexpired accounts	17	17	15
3020	Outlays (gross)	-16	-17	-16
3040	Recoveries of prior year unpaid obligations, unexpired	-	-1	-1
3050	Unpaid obligations, end of year	4	3	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3	4	3
3200	Obligated balance, end of year	4	3	1
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	15	17	16
Outlays, gross:				
4100	Outlays from new mandatory authority	14	14	13
4101	Outlays from mandatory balances	2	3	3
4110	Outlays, gross (total)	16	17	16
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-1	-1	-1
4123	Non-Federal sources	-14	-16	-15
4130	Offsets against gross budget authority and outlays (total)	-15	-17	-16
4170	Outlays, net (mandatory)	1	-	-
4180	Budget authority, net (total)	-	-	-
4190	Outlays, net (total)	1	-	-
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	8	7	7
5001	Total investments, EOY: Federal securities: Par value	7	7	7
5010	Total investments, SOY: non-Fed securities: Market value	58	64	64
5011	Total investments, EOY: non-Fed securities: Market value	64	64	64

The Archivist of the United States furnishes, for a fee, copies of unrestricted records in the custody of the National Archives (44 U.S.C. 2116). Proceeds from the sale of copies of microfilm publications, reproductions, special works, and other publications, and admission fees to Presidential Library museum rooms are deposited to the National Archives Trust Fund (44 U.S.C. 2112, 2307).

Object Classification (in millions of dollars)

Identification code 088-8436-0-8-804		2019 actual	2020 est.	2021 est.
Reimbursable obligations:				
11.1	Personnel compensation: Full-time permanent	4	4	4
12.1	Civilian personnel benefits	1	1	1
24.0	Printing and reproduction	1	1	1
25.2	Other services from non-Federal sources	3	4	3
25.3	Other goods and services from Federal sources	2	1	1
26.0	Supplies and materials	1	1	1
33.0	Investments and loans	5	5	4
99.9	Total new obligations, unexpired accounts	17	17	15

Employment Summary

Identification code 088-8436-0-8-804		2019 actual	2020 est.	2021 est.
2001	Reimbursable civilian full-time equivalent employment	58	62	62

ciated with hosting international visitors engaged in the planning and physical development of world capitals. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 394-2500-0-1-451		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Salaries and expenses	8	8	8
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	8	8	8
1930	Total budgetary resources available	8	8	8
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2	2	2
3010	New obligations, unexpired accounts	8	8	8
3020	Outlays (gross)	-8	-8	-8
3050	Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2	2	2
3200	Obligated balance, end of year	2	2	2
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	8	8	8
Outlays, gross:				
4010	Outlays from new discretionary authority	7	7	7
4011	Outlays from discretionary balances	1	1	1
4020	Outlays, gross (total)	8	8	8
4180	Budget authority, net (total)	8	8	8
4190	Outlays, net (total)	8	8	8

The National Capital Planning Commission (NCPC) is the central planning agency for the Federal Government in the National Capital Region. Through its planning initiatives, policy-making, and review of development proposals, NCPC helps guide Federal development while preserving the Capital City's unique resources. NCPC will continue to work with the District of Columbia and Federal and regional partners to develop comprehensive policies and planning initiatives that support the Federal interest and contribute to the best urban design, infrastructure, resource, and land-use outcomes for the Region. In addition, NCPC will continue to ensure that all Federal development in the Region meets the highest design standards and will review Federal plans for regional capital improvements.

Object Classification (in millions of dollars)

Identification code 394-2500-0-1-451		2019 actual	2020 est.	2021 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	4	4	4
12.1	Civilian personnel benefits	1	1	1
23.2	Rental payments to others	2	2	2
25.1	Advisory and assistance services	1	1	1
99.9	Total new obligations, unexpired accounts	8	8	8

Employment Summary

Identification code 394-2500-0-1-451		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	33	35	35

NATIONAL CAPITAL PLANNING COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the National Capital Planning Commission under chapter 87 of title 40, United States Code, including services as authorized by 5 U.S.C. 3109, \$8,124,000: *Provided*, That one-quarter of 1 percent of the funds provided under this heading may be used for official reception and representational expenses asso-

**NATIONAL COMMISSION ON MILITARY, NATIONAL,
AND PUBLIC SERVICE****Federal Funds****NATIONAL COMMISSION ON MILITARY, NATIONAL, AND PUBLIC SERVICE****Program and Financing** (in millions of dollars)

Identification code 236–2978–0–1–054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	5		
0900 Total new obligations, unexpired accounts (object class 25.1)	5		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	5	5
1930 Total budgetary resources available	10	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	9	4
3010 New obligations, unexpired accounts	5		
3020 Outlays (gross)	–1	–5	–2
3050 Unpaid obligations, end of year	9	4	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	9	4
3200 Obligated balance, end of year	9	4	2
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	1	5	2
4180 Budget authority, net (total)			
4190 Outlays, net (total)	1	5	2

**NATIONAL COMMISSION ON MILITARY AVIATION
SAFETY****Federal Funds****NATIONAL COMMISSION ON MILITARY AVIATION SAFETY****Program and Financing** (in millions of dollars)

Identification code 246–2865–0–1–054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	1	4	
0900 Total new obligations, unexpired accounts (object class 25.3)	1	4	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		4	
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [021–2033]	1		
1121 Appropriations transferred from other acct [017–1506]	1		
1121 Appropriations transferred from other acct [097–0300]	2		
1121 Appropriations transferred from other acct [057–3010]	1		
1160 Appropriation, discretionary (total)	5		
1900 Budget authority (total)	5		
1930 Total budgetary resources available	5	4	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	2
3010 New obligations, unexpired accounts	1	4	
3020 Outlays (gross)		–3	–1
3050 Unpaid obligations, end of year	1	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	2

3200	Obligated balance, end of year	1	2	1
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	5		
Outlays, gross:				
4011	Outlays from discretionary balances		3	1
4180	Budget authority, net (total)	5		
4190	Outlays, net (total)		3	1

NATIONAL COUNCIL ON DISABILITY**Federal Funds****SALARIES AND EXPENSES**

For expenses necessary for the National Council on Disability as authorized by title IV of the Rehabilitation Act of 1973, \$3,350,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 413–3500–0–1–506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and expenses	3	2	2
0002 Other services from non-Federal sources		1	1
0900 Total new obligations, unexpired accounts	3	3	3
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	3	3
1930 Total budgetary resources available	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	3	3	3
3020 Outlays (gross)	–3	–3	–3
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	3	3	3
	Outlays, gross:			
4010	Outlays from new discretionary authority	3	3	3
4180	Budget authority, net (total)	3	3	3
4190	Outlays, net (total)	3	3	3

The National Council on Disability (NCD), an independent Federal agency, is composed of nine members appointed by the President and the Congress. Established under the Rehabilitation Act of 1973, as amended by the Workforce Innovation and Opportunity Act, the NCD is responsible for reviewing the Federal Government's laws, programs, and policies which affect people with disabilities. The NCD also makes recommendations on issues affecting individuals with disabilities and their families to the President; the Congress; the Rehabilitation Services Administration; the National Institute on Disability, Independent Living, and Rehabilitation Research; and other Federal Departments and agencies.

Object Classification (in millions of dollars)

Identification code 413–3500–0–1–506	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services from non-Federal sources	1	1	1
99.0 Direct obligations	2	2	2
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	3	3	3

Employment Summary

Identification code 413-3500-0-1-506	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	12	12	12

NATIONAL CREDIT UNION ADMINISTRATION**Federal Funds****OPERATING FUND****Program and Financing** (in millions of dollars)

Identification code 025-4056-0-3-373	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Safety and Soundness	189	224	226
0803 Regulation and Consumer Protection	27	31	31
0804 Mission support	91	82	83
0805 Office of Inspector General	4	4	4
0900 Total new obligations, unexpired accounts	311	341	344
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	114	100	97
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	319	338	345
1801 Change in uncollected payments, Federal sources	-22		
1850 Spending auth from offsetting collections, mand (total)	297	338	345
1930 Total budgetary resources available	411	438	442
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	100	97	98
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	58	58	23
3010 New obligations, unexpired accounts	311	341	344
3020 Outlays (gross)	-311	-376	-355
3050 Unpaid obligations, end of year	58	23	12
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-50	-28	-28
3070 Change in uncollected pymts, Fed sources, unexpired	22		
3090 Uncollected pymts, Fed sources, end of year	-28	-28	-28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8	30	-5
3200 Obligated balance, end of year	30	-5	-16
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	297	338	345
Outlays, gross:			
4100 Outlays from new mandatory authority	201	321	328
4101 Outlays from mandatory balances	110	55	27
4110 Outlays, gross (total)	311	376	355
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-177	-192	-196
4121 Interest on Federal securities	-3	-1	-1
4123 Non-Federal sources	-1		
4124 Offsetting governmental collections	-138	-145	-148
4130 Offsets against gross budget authority and outlays (total)	-319	-338	-345
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	22		
4170 Outlays, net (mandatory)	-8	38	10
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-8	38	10
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	117	120	123
5001 Total investments, EOY: Federal securities: Par value	120	123	126

The mission of the National Credit Union Administration (NCUA) is to provide, through regulation and supervision, a safe and sound credit union system, which promotes confidence in the national system of cooperative credit. Credit unions are member-owned, cooperative associations organized

for the purpose of promoting thrift and creating a source of credit for members. As of September 30, 2019, there were 3,321 federally-chartered credit unions with total assets of more than \$795 billion.

NCUA, through its Operating Fund, conducts activities prescribed by the Federal Credit Union Act of 1934, which include: 1) chartering new Federal credit unions; 2) approving field of membership applications of Federal credit unions; 3) promulgating regulations and providing guidance; 4) performing regulatory compliance and safety and soundness examinations; 5) implementing and administering enforcement actions, such as prohibition orders, orders to cease and desist, orders of conservatorship and orders of liquidation; and 6) administering the National Credit Union Share Insurance Fund (SIF), which provides insurance to Federal credit unions (FCUs) and federally-insured state-chartered credit unions (FISCUS).

To better demonstrate how the NCUA's budget is used to achieve its strategic goals, the Operating Fund's obligations by program activity are presented in the same categories shown in the 2020 Budget. Amounts shown for "Safety and Soundness" correspond to programs that contribute to the NCUA's goal to "Ensure a Safe and Sound Credit Union System." Amounts shown for "Regulation and Consumer Protection" correspond to programs that contribute to the NCUA's goal to "Provide a Regulatory Framework that is Transparent, Efficient, and Improves Customer Access." Amounts shown for "Mission Support" correspond to programs that contribute to the NCUA's goal to "Maximize Organizational Performance to Enable Mission Success."

NCUA funds its activities through operating fees levied on all FCUs, and through reimbursements from the SIF, which is funded by FCUs and FISCUS.

Object Classification (in millions of dollars)

Identification code 025-4056-0-3-373	2019 actual	2020 est.	2021 est.
11.1 Reimbursable obligations: Personnel compensation: Full-time permanent	152	161	163
11.9 Total personnel compensation	152	161	163
12.1 Civilian personnel benefits	60	65	66
21.0 Travel and transportation of persons	23	25	25
23.2 Rental payments to others	3	3	3
23.3 Communications, utilities, and miscellaneous charges	6	6	6
25.2 Other services from non-Federal sources	44	57	57
25.3 Other goods and services from Federal sources	6	6	6
25.4 Operation and maintenance of facilities	5	6	6
26.0 Supplies and materials	4	4	4
31.0 Equipment	8	8	8
99.9 Total new obligations, unexpired accounts	311	341	344

Employment Summary

Identification code 025-4056-0-3-373	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	1,108	1,180	1,180

CREDIT UNION SHARE INSURANCE FUND**Program and Financing** (in millions of dollars)

Identification code 025-4468-0-3-373	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Payments to the Operating Fund for services and facilities	183	192	196
0802 Other Administrative Expenses	9	8	8
0803 Working Capital	114	29	33
0804 Liquidation Expenses	47	146	165
0805 NCUA Guaranteed Notes program		455	1,219
0806 Distribution	160		
0900 Total new obligations, unexpired accounts	513	830	1,621

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	14,612	15,232	16,125

CREDIT UNION SHARE INSURANCE FUND—Continued
Program and Financing—Continued

Identification code 025-4468-0-3-373	2019 actual	2020 est.	2021 est.
Budget authority:			
1800 Spending authority from offsetting collections, mandatory:			
1801 Collected	1,134	1,723	2,536
1801 Change in uncollected payments, Federal sources	-1		
1850 Spending auth from offsetting collections, mand (total)	1,133	1,723	2,536
1930 Total budgetary resources available	15,745	16,955	18,661
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	15,232	16,125	17,040
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	282	51	
3010 New obligations, unexpired accounts	513	830	1,621
3020 Outlays (gross)	-744	-881	-1,621
3050 Unpaid obligations, end of year	51		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-72	-71	-71
3070 Change in uncollected pymts, Fed sources, unexpired	1		
3090 Uncollected pymts, Fed sources, end of year	-71	-71	-71
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	210	-20	-71
3200 Obligated balance, end of year	-20	-71	-71
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1,133	1,723	2,536
Outlays, gross:			
4100 Outlays from new mandatory authority	458	830	1,621
4101 Outlays from mandatory balances	286	51	
4110 Outlays, gross (total)	744	881	1,621
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-1		
4121 Interest on Federal securities	-307	-294	-315
4123 Non-Federal sources	-167	-829	-1,319
4124 Offsetting governmental collections	-659	-600	-902
4130 Offsets against gross budget authority and outlays (total)	-1,134	-1,723	-2,536
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	1		
4170 Outlays, net (mandatory)	-390	-842	-915
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-390	-842	-915
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	14,895	15,276	16,169
5001 Total investments, EOY: Federal securities: Par value	15,276	16,169	17,084

Status of Guaranteed Loans (in millions of dollars)

Identification code 025-4468-0-3-373	2019 actual	2020 est.	2021 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	4,696	3,449	2,287
2231 Disbursements of new guaranteed loans	2	2	2
2251 Repayments and prepayments	-1,145	-1,162	-2,285
2251 Repayments and prepayments		-2	-2
2261 Adjustments: Terminations for default that result in loans receivable	-104		
2290 Outstanding, end of year	3,449	2,287	2
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	3,449	2,287	2

The primary purpose of the National Credit Union Share Insurance Fund (SIF) is to provide insurance for deposits of member accounts (also known as insured member shares) for nearly 120 million members in federally-chartered credit unions and state-chartered credit unions that qualify for insurance under the Federal Credit Union Act. As of September 30, 2019, 5,281 state and Federal credit unions and 11 corporate credit unions were insured by the SIF, with insured member shares of \$1.2 trillion—an increase of \$72 billion, or six percent, year-on-year.

Following a cost allocation method that distributes NCUA costs between its insurance and regulatory functions, the SIF reimburses the NCUA Operating Fund for its share of administrative costs. In calendar year 2019, the SIF paid reimbursements of approximately \$183 million to the Operating Fund.

On September 28, 2017, the NCUA Board voted unanimously to close the Temporary Corporate Credit Union Stabilization Fund (TCCUSF) and to distribute the TCCUSF's remaining funds, property, and other assets to the SIF. Through the distribution, the SIF assumed the activities and obligations of the TCCUSF, including NCUA Guaranteed Notes (NGN).

As of September 30, 2019, the outstanding principal balance of the NGNs was 3.4 billion. This amount represents the maximum potential, but not the expected cost, of future guaranteed payments that NCUA could be required to make under the program. The NCUA currently anticipates a total of \$2.5 billion in NGN guarantee payments to be made through 2021, of which some payments will be made from the balances the NCUA manages as a fiduciary for certain failed corporate credit union estates. The final tranche of NGNs will mature in 2021. The NCUA currently estimates that after all of the NGNs mature, the receivables due to the SIF from the estates of failed corporate credit unions will be equal to or greater than the amount of guarantee payments paid by the SIF.

The SIF's normal operating level, which is the Fund's target capital level, remains at 1.38 percent of insured shares in 2020.

For more information, please see the Credit and Insurance chapter in the *Analytical Perspectives* volume of the Budget.

Object Classification (in millions of dollars)

Identification code 025-4468-0-3-373	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services from non-Federal sources	6	5	5
25.3 Other goods and services from Federal sources	183	192	196
33.0 Investments and loans	2	2	2
42.0 Working Capital	114	29	33
42.0 Liquidation Expenses	47	146	165
43.0 NGN Payments to Investors		455	1,219
44.0 Estimated Distributions	160		
99.9 Total new obligations, unexpired accounts	513	830	1,621

Employment Summary

Identification code 025-4468-0-3-373	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5	5	5

CENTRAL LIQUIDITY FACILITY

Program and Financing (in millions of dollars)

Identification code 025-4470-0-3-373	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Administration	1	1	1
0802 Membership Activity	1	5	1
0803 Loan Activity	1	1	1
0809 Reimbursable program activities, subtotal	3	7	3
0900 Total new obligations, unexpired accounts	3	7	3
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	309	330	347
Budget authority:			
1800 Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (cash, CCU Guarantee Program)	24		
1800 Collected (subscribed stock)		24	24
1850 Spending auth from offsetting collections, mand (total)	24	24	24
1930 Total budgetary resources available	333	354	371
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	330	347	368

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1	2	
3010	New obligations, unexpired accounts	3	7	3
3020	Outlays (gross)	-2	-6	-2
3050	Unpaid obligations, end of year	1	2	3
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090	Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	-1		1
3200	Obligated balance, end of year		1	2
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	24	24	24
Outlays, gross:				
4100	Outlays from new mandatory authority	2	6	2
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4121	Interest on Federal securities	-6	-6	-6
4123	Non-Federal sources	-18	-18	-18
4130	Offsets against gross budget authority and outlays (total)	-24	-24	-24
4170	Outlays, net (mandatory)	-22	-18	-22
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-22	-18	-22
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	309	332	355
5001	Total investments, EOY: Federal securities: Par value	332	355	380

The purpose of the Central Liquidity Facility (CLF), established under Title III of the Federal Credit Union Act, is to improve the general financial stability of member credit unions by lending, subject to statutory limitations, to member credit unions experiencing unusual or unexpected liquidity shortfalls. The two primary sources of funds for the CLF are stock subscriptions from member credit unions and borrowings from the Federal Financing Bank. The borrowing authority of the CLF is limited by statute to 12 times the subscribed capital stock and surplus (retained earnings) which equates to \$7.4 billion as of September 30, 2019.

Object Classification (in millions of dollars)

Identification code 025-4470-0-3-373	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
25.3	Other goods and services from Federal sources	1	1
44.0	Refunds: Membership Activity	1	5
94.0	Financial transfers: FFB repayment	1	1
99.9	Total new obligations, unexpired accounts	3	7

COMMUNITY DEVELOPMENT REVOLVING LOAN FUND

For the Community Development Revolving Loan Fund program as authorized by 42 U.S.C. 9812, 9822 and 9910, \$1,500,000 shall be available until September 30, 2021, for technical assistance to low-income designated credit unions. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 025-4472-0-3-373	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Technical assistance	4	2
0801	Loans	1	2
0900	Total new obligations, unexpired accounts	5	4
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	8	10
1001	Discretionary unobligated balance brought fwd, Oct 1	1	1
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	2	2

Spending authority from offsetting collections, mandatory:			
1800	Collected	5	2
1900	Budget authority (total)	7	4
1930	Total budgetary resources available	15	14
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	10	10

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1	4	
3010	New obligations, unexpired accounts	5	4	2
3020	Outlays (gross)	-2	-8	-2
3050	Unpaid obligations, end of year	4		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	4	
3200	Obligated balance, end of year	4		

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	2	2	
Outlays, gross:				
4010	Outlays from new discretionary authority		2	
4011	Outlays from discretionary balances	1	4	
4020	Outlays, gross (total)	1	6	
Mandatory:				
4090	Budget authority, gross	5	2	2
Outlays, gross:				
4100	Outlays from new mandatory authority	1	2	2
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-5	-2	-2
4180	Budget authority, net (total)	2	2	
4190	Outlays, net (total)	-3	6	
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	5	9	10
5001	Total investments, EOY: Federal securities: Par value	9	10	11

Status of Direct Loans (in millions of dollars)

Identification code 025-4472-0-3-373	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	10	6
1231	Disbursements: Direct loan disbursements	1	2
1251	Repayments: Repayments and prepayments	-5	-1
1290	Outstanding, end of year	6	7

The Community Development Revolving Loan Fund (CDRLF) was established by Congress in 1979 with a \$6 million appropriation to assist credit unions serving low-income communities to: 1) provide financial services to their communities; 2) stimulate economic activities in their communities, resulting in increased income and employment; and 3) operate more efficiently. CDRLF funds a revolving loan program and a technical assistance grant program.

For the revolving loan program, CDRLF had outstanding loans of \$6.2 million (20 loans outstanding to 20 credit unions) as of September 30, 2019. For the 2019 round of technical assistance grants, which are administered on a calendar-year basis, NCUA awarded \$2 million in technical assistance grants to help 164 low-income credit unions increase outreach to underserved communities, establish professional mentoring relationships between staff at larger and smaller minority depository institutions to increase staff capacity and improve operations, improve digital services and security, and train employees.

The Budget does not request CDRLF discretionary appropriations for 2021.

Object Classification (in millions of dollars)

Identification code 025-4472-0-3-373	2019 actual	2020 est.	2021 est.
41.0	Direct obligations: Grants, subsidies, and contributions	4	2
33.0	Reimbursable obligations: Investments and loans	1	2
99.0	Reimbursable obligations	1	2

COMMUNITY DEVELOPMENT REVOLVING LOAN FUND—Continued

Object Classification—Continued

Identification code 025-4472-0-3-373	2019 actual	2020 est.	2021 est.
99.9 Total new obligations, unexpired accounts	5	4	2

NATIONAL ENDOWMENT FOR THE ARTS

Federal Funds

GRANTS AND ADMINISTRATION

For necessary expenses to carry out the *closure of the National Endowment for the Arts, established under the National Foundation on the Arts and the Humanities Act of 1965*, [\$162,250,000 shall be available to the National Endowment for the Arts for the support of projects and productions in the arts, including arts education and public outreach activities, through assistance to organizations and individuals pursuant to section 5 of the Act, for program support, and for administering the functions of the Act] \$30,175,000, to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 417-0100-0-1-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Promotion of the arts	122	134
0003 Program support	2	3
0004 Salaries and expenses	32	34	30
0799 Total direct obligations	156	171	30
0801 Reimbursable program activity	1	1
0900 Total new obligations, unexpired accounts	157	172	30

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	9	11	3
1021 Recoveries of prior year unpaid obligations	3	2	1
1050 Unobligated balance (total)	12	13	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	155	162	30
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1
1701 Change in uncollected payments, Federal sources	-1
1750 Spending auth from offsetting collections, disc (total)	1
1900 Budget authority (total)	156	162	30
1930 Total budgetary resources available	168	175	34
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11	3	4

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	151	148	145
3010 New obligations, unexpired accounts	157	172	30
3020 Outlays (gross)	-157	-173	-142
3040 Recoveries of prior year unpaid obligations, unexpired	-3	-2	-1
3050 Unpaid obligations, end of year	148	145	32
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired	1
3090 Uncollected pymts, Fed sources, end of year	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	150	147	145
3200 Obligated balance, end of year	147	145	32

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	156	162	30
Outlays, gross:			
4010 Outlays from new discretionary authority	50	55	28
4011 Outlays from discretionary balances	107	118	114
4020 Outlays, gross (total)	157	173	142
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-1

4050 Additional offsets against gross budget authority only: Change in uncollected pymts, Fed sources, unexpired	1
4070 Budget authority, net (discretionary)	155	162	30
4080 Outlays, net (discretionary)	156	172	142
4180 Budget authority, net (total)	155	162	30
4190 Outlays, net (total)	156	172	142

The Budget proposes to eliminate funding for several independent agencies, including the National Endowment for the Arts. The Budget requests \$30 million to conduct an orderly closeout of the agency beginning in fiscal year 2021.

Object Classification (in millions of dollars)

Identification code 417-0100-0-1-503	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	14	16	12
11.3 Other than full-time permanent	2	2	2
11.9 Total personnel compensation	16	18	14
12.1 Civilian personnel benefits	5	6	5
13.0 Benefits for former personnel	5
23.1 Rental payments to GSA	3	3	3
25.1 Advisory and assistance services	3	3	1
25.2 Other services from non-Federal sources	4	4	1
25.3 Other goods and services from Federal sources	2	2	1
31.0 Equipment	1	1
41.0 Grants, subsidies, and contributions	121	133
99.0 Direct obligations	155	170	30
99.0 Reimbursable obligations	1	1
99.5 Adjustment for rounding	1	1
99.9 Total new obligations, unexpired accounts	157	172	30

Employment Summary

Identification code 417-0100-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	139	148	114

Trust Funds

GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE ARTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 417-8040-0-7-503	2019 actual	2020 est.	2021 est.
0100 Balance, start of year
Receipts:			
Current law:			
1130 Gifts and Donations, National Endowment for the Arts	1	1
Proposed:			
1230 Gifts and Donations, National Endowment for the Arts	-1
1999 Total receipts	1
2000 Total: Balances and receipts	1
Appropriations:			
Current law:			
2101 Gifts and Donations, National Endowment for the Arts	-1	-1
Proposed:			
2201 Gifts and Donations, National Endowment for the Arts	1
2999 Total appropriations	-1
5099 Balance, end of year

Program and Financing (in millions of dollars)

Identification code 417-8040-0-7-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0102 Permanent authority	1	1
0900 Total new obligations, unexpired accounts (object class 99.5)	1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2

Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	1	1
1930	Total budgetary resources available	2	3
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2	2
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	1	1
3020	Outlays (gross)	-1	-1
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	1	1
Outlays, gross:			
4100	Outlays from new mandatory authority	1	1
4180	Budget authority, net (total)	1	1
4190	Outlays, net (total)	1	1

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority		1	1
Outlays		1	1
Legislative proposal, subject to PAYGO:			
Budget Authority			-1
Outlays			-1
Total:			
Budget Authority		1	
Outlays		1	

GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE ARTS
(Legislative proposal, subject to PAYGO)**Program and Financing** (in millions of dollars)

Identification code 417-8040-4-7-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0102	Permanent authority		-1
0900	Total new obligations, unexpired accounts (object class 25.2)		-1
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)		-1
1930	Total budgetary resources available		-1
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts		-1
3020	Outlays (gross)		1
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross		-1
Outlays, gross:			
4100	Outlays from new mandatory authority		-1
4180	Budget authority, net (total)		-1
4190	Outlays, net (total)		-1

\$14,500,000 shall be available to carry out the matching grants program pursuant to section 10(a)(2) of the Act, including \$12,500,000 for the purposes of section 7(h): *Provided*, That appropriations for carrying out section 10(a)(2) shall be available for obligation only in such amounts as may be equal to the total amounts of gifts, bequests, devises of money, and other property accepted by the chairman or by grantees of the National Endowment for the Humanities under the provisions of sections 11(a)(2)(B) and 11(a)(3)(B) during the current and preceding fiscal years for which equal amounts have not previously been appropriated. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 418-0200-0-1-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Promotion of the humanities	126	141
0004	Administration	28	30
0799	Total direct obligations	154	171
0801	Reimbursable program activity	2	
0900	Total new obligations, unexpired accounts	156	171
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	20	22
1021	Recoveries of prior year unpaid obligations	1	2
1050	Unobligated balance (total)	21	24
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	155	162
Spending authority from offsetting collections, discretionary:			
1700	Collected	2	1
1900	Budget authority (total)	157	163
1930	Total budgetary resources available	178	187
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	22	16
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	142	155
3010	New obligations, unexpired accounts	156	171
3020	Outlays (gross)	-142	-176
3040	Recoveries of prior year unpaid obligations, unexpired	-1	-2
3050	Unpaid obligations, end of year	155	148
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1
3090	Uncollected pymts, Fed sources, end of year	-1	-1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	141	154
3200	Obligated balance, end of year	154	147
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	157	163
Outlays, gross:			
4010	Outlays from new discretionary authority	62	81
4011	Outlays from discretionary balances	80	95
4020	Outlays, gross (total)	142	176
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources	-2	-1
4040	Offsets against gross budget authority and outlays (total)	-2	-1
4180	Budget authority, net (total)	155	162
4190	Outlays, net (total)	140	175

NATIONAL ENDOWMENT FOR THE HUMANITIES**Federal Funds****GRANTS AND ADMINISTRATION**

For necessary expenses to carry out the *closure of the National [Foundation on the Arts and the Humanities Act of 1965, \$162,250,000] Endowment for the Humanities, including for administration of awards made prior to September 30, 2020, and satisfaction and administration of offers made prior to September 30, 2020, pursuant to the matching grants program authorized under sections 10(a)(2), 11(a)(2)(B), and 11(a)(3)(B) of the National Foundation on the Arts and the Humanities Act of 1965, \$33,419,600, to remain available until expended*, of which \$147,750,000 shall be available for support of activities in the humanities, pursuant to section 7(c) of the Act and for administering the functions of the Act; and

The Budget proposes to eliminate funding for several independent agencies, including the National Endowment for the Humanities. The Budget requests, \$33,419,600 to conduct an orderly closeout of the NEH beginning in fiscal year 2021. Of this amount, \$22,524,600 is for salaries and expenses necessary to monitor grants that will remain open as of October 1, 2020 and to plan and carry out the agency's closure; and \$10,895,000 is for funds to honor matching offers made by NEH prior to October 1, 2020.

GRANTS AND ADMINISTRATION—Continued

Object Classification (in millions of dollars)

Identification code 418–0200–0–1–503	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	15	17	7
11.9 Total personnel compensation	15	17	7
12.1 Civilian personnel benefits	5	5	2
13.0 Benefits for former personnel			9
23.1 Rental payments to GSA	3	3	3
25.2 Other services from non-Federal sources	5	5	1
41.0 Grants, subsidies, and contributions	126	141	11
99.0 Direct obligations	154	171	33
99.0 Reimbursable obligations	2		
99.9 Total new obligations, unexpired accounts	156	171	33

Employment Summary

Identification code 418–0200–0–1–503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	130	145	60

Trust Funds

GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE HUMANITIES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 418–8050–0–7–503	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Gifts and Donations, National Endowment for the Humanities	1	1	1
Proposed:			
1230 Gifts and Donations, National Endowment for the Humanities			–1
1999 Total receipts	1	1	
2000 Total: Balances and receipts	1	1	
Appropriations:			
Current law:			
2101 Gifts and Donations, National Endowment for the Humanities	–1	–1	–1
Proposed:			
2201 Gifts and Donations, National Endowment for the Humanities			1
2999 Total appropriations	–1	–1	
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 418–8050–0–7–503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Promotion of the humanities		1	1
0900 Total new obligations, unexpired accounts (object class 41.0)		1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	1	1
1930 Total budgetary resources available	1	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		1	1
3020 Outlays (gross)		–1	–1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority		1	1
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)		1	1

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	1	1	1
Outlays		1	1
Legislative proposal, subject to PAYGO:			
Budget Authority			–1
Outlays			–1
Total:			
Budget Authority	1	1	
Outlays		1	

GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE HUMANITIES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 418–8050–4–7–503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Promotion of the humanities			–1
0900 Total new obligations, unexpired accounts (object class 41.0)			–1
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			–1
1930 Total budgetary resources available			–1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			–1
3020 Outlays (gross)			1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			–1
Outlays, gross:			
4100 Outlays from new mandatory authority			–1
4180 Budget authority, net (total)			–1
4190 Outlays, net (total)			–1

ADMINISTRATIVE PROVISIONS

None of the funds appropriated to the National Foundation on the Arts and the Humanities may be used to process any grant or contract documents which do not include the text of 18 U.S.C. 1913: *Provided*, That none of the funds appropriated to the National Foundation on the Arts and the Humanities may be used for official reception and representation expenses: *Provided further*, That funds from nonappropriated sources may be used as necessary for official reception and representation expenses: *Provided further*, That the Chairperson of the National Endowment for the Arts may approve grants of up to \$10,000, if in the aggregate the amount of such grants does not exceed 5 percent of the sums appropriated for grantmaking purposes per year: *Provided further*, That such small grant actions are taken pursuant to the terms of an expressed and direct delegation of authority from the National Council on the Arts to the Chairperson. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

NATIONAL LABOR RELATIONS BOARD

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the National Labor Relations Board to carry out the functions vested in it by the Labor-Management Relations Act, 1947, and other

laws, [\$274,224,000] \$246,876,000: *Provided*, That no part of this appropriation shall be available to organize or assist in organizing agricultural laborers or used in connection with investigations, hearings, directives, or orders concerning bargaining units composed of agricultural laborers as referred to in section 2(3) of the Act of July 5, 1935, and as amended by the Labor-Management Relations Act, 1947, and as defined in section 3(f) of the Act of June 25, 1938, and including in said definition employees engaged in the maintenance and operation of ditches, canals, reservoirs, and waterways when maintained or operated on a mutual, nonprofit basis and at least 95 percent of the water stored or supplied thereby is used for farming purposes. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 420-0100-0-1-505		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Casehandling	150	160	146
0002	Administrative Law Judges	8	9	8
0003	Board Adjudication	19	19	18
0005	Internal Review	1	1	1
0006	Mission Support	91	85	73
0900	Total new obligations, unexpired accounts	269	274	246
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	274	274	247
1930	Total budgetary resources available	274	274	247
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-6		
1941	Unexpired unobligated balance, end of year			1
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	35	40	23
3010	New obligations, unexpired accounts	269	274	246
3011	Obligations ("upward adjustments"), expired accounts	1		
3020	Outlays (gross)	-264	-291	-244
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year	40	23	25
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	35	40	23
3200	Obligated balance, end of year	40	23	25
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	274	274	247
Outlays, gross:				
4010	Outlays from new discretionary authority	233	252	227
4011	Outlays from discretionary balances	31	39	17
4020	Outlays, gross (total)	264	291	244
4180	Budget authority, net (total)	274	274	247
4190	Outlays, net (total)	264	291	244
Unfunded deficiencies:				
7000	Unfunded deficiency, start of year		-1	-1
Change in deficiency during the year:				
7010	New deficiency	-1		
7020	Unfunded deficiency, end of year	-1	-1	-1

The National Labor Relations Board resolves representation disputes in industry and also remedies and prevents specified unfair labor practices by employers or labor organizations. Case intake and additional program statistics appear in the table below.

	2019 actual	2020 est.	2021 est.
Case intake:			
Unfair labor practice cases	18,552	18,181	17,817
Representation cases	2,095	2,095	2,095
Administrative law judges:			
Hearings closed	141	150	150
Decisions issued	159	150	150
Board adjudication:			
Contested Board decisions issued	303	300	300
Regional director decisions	201	216	230
Board decisions requiring court enforcement	60	68	68

Casehandling (formerly Field investigations in 2015 and earlier).—Charges of unfair labor practices and petitions for elections to resolve

representation disputes are investigated by regional office personnel. Approximately 90 percent of merit unfair labor practice cases are closed by settlement, dismissal, or withdrawal. The remainder are prepared for public hearing. About 85–90 percent of representation elections are held pursuant to agreement of the parties. The agency strives to maximize the voluntary settlement of all cases and to avoid litigation.

Administrative law judge hearing.—Administrative law judges conduct public hearings in unfair labor practice cases. Their findings and recommendations are set forth in their decisions.

Board adjudication.—In an unfair labor practice case, a judge's decision becomes a Board order if no exceptions are filed. About 30 percent of these decisions become automatic Board orders or are complied with voluntarily. The remainder, with exceptions filed, require a Board decision. In representation cases, regional directors initially decide the issues by Board delegation. The Board itself decides representation issues on referral from regional directors or by granting a request for review of a regional director's decision. The Board also rules on objection and challenge questions in election cases. Unlike other Federal agencies, Board orders are not self-enforcing in the absence of a timely petition to review. If the parties do not voluntarily comply with a Board order involving unfair labor practices, the Board must request that an appellate court enforce the decision.

Internal Review.—Office of the Inspector General.

Mission Support.—Previously spread across other program activities; includes administrative, personnel, and financial management functions conducted in the Headquarters office.

Object Classification (in millions of dollars)

Identification code 420-0100-0-1-505		2019 actual	2020 est.	2021 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	155	163	159
12.1	Civilian personnel benefits	48	52	49
21.0	Travel and transportation of persons	3	3	
23.1	Rental payments to GSA	23	23	20
23.3	Communications, utilities, and miscellaneous charges	5	3	4
25.2	Other services from non-Federal sources	30	29	14
31.0	Equipment	5	1	
99.9	Total new obligations, unexpired accounts	269	274	246

Employment Summary

Identification code 420-0100-0-1-505		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	1,286	1,335	1,313

ADMINISTRATIVE PROVISIONS

SEC. 407. None of the funds provided by this Act or previous Acts making appropriations for the National Labor Relations Board may be used to issue any new administrative directive or regulation that would provide employees any means of voting through any electronic means in an election to determine a representative for the purposes of collective bargaining. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

NATIONAL MEDIATION BOARD**Federal Funds****SALARIES AND EXPENSES**

For expenses necessary to carry out the provisions of the Railway Labor Act, including emergency boards appointed by the President, [\$14,050,000] \$13,900,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)

Identification code 421–2400–0–1–505	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Mediator services	7	7	7
0002 Representation services	2	3	3
0003 Arbitration services	4	4	4
0900 Total new obligations, unexpired accounts	13	14	14
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	14	14	14
1930 Total budgetary resources available	14	14	14
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–1		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	3	3
3010 New obligations, unexpired accounts	13	14	14
3020 Outlays (gross)	–13	–14	–14
3041 Recoveries of prior year unpaid obligations, expired	–1		
3050 Unpaid obligations, end of year	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	3	3
3200 Obligated balance, end of year	3	3	3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	14	14	14
Outlays, gross:			
4010 Outlays from new discretionary authority	10	13	13
4011 Outlays from discretionary balances	3	1	1
4020 Outlays, gross (total)	13	14	14
4180 Budget authority, net (total)	14	14	14
4190 Outlays, net (total)	13	14	14

Mediator and alternative dispute resolution (ADR) services.—The National Mediation Board mediates disputes over wages, hours, and working conditions for some 746 rail and air carriers and approximately 795,000 employees in the two industries.

The Board also provides technical assistance to enable labor and industry representatives to explore informally the relevant economic and noneconomic problems that condition collective bargaining in the railroad and airline industries. The Board's ADR program provides collective bargaining training, facilitation, and grievance mediation services to the labor-management community.

	2019 actual	2020 est.	2021 est.
Mediation & ADR cases:			
Pending, start of year	121	120	127
Received during year	65	97	101
Closed during year	66	90	80
Pending, end of year	120	127	148

Employee Representation.—The Board investigates representation disputes involving the various crafts or classes of railroad and airline employees to determine their choice of representatives for the purpose of collective bargaining.

	2019 actual	2020 est.	2021 est.
Representation cases:			
Pending, start of year	3	1	3
Received during year	10	20	22
Closed during year	12	18	24
Pending, end of year	1	3	1
Freedom of Information Act (FOIA) requests received	11	21	18
Investigation cases closed	11	21	18

Emergency disputes.—When the parties fail to resolve their disputes through mediation, they are urged to submit their differences to arbitration. If neither mediation nor voluntary arbitration is successful, the President, when notified of disputes which substantially threaten to interrupt essential

service, may appoint emergency boards to investigate and report on the dispute. Such reports usually serve as a basis for resolving the disputes.

	2019 actual	2020 est.	2021 est.
Board created:			
Emergency (sec. 160)	0	1	1
Emergency (sec. 159a)	0	1	1

Arbitration services.—Arbitration is governed by sections 3 and 7 of the Railway Labor Act. Railroad employee grievances resulting from disputes over the interpretation or application of collective bargaining contracts may be brought for settlement to the National Railroad Adjustment Board (NRAB). The divisions of the NRAB are composed of an equal number of carrier and union representatives compensated by the party or parties they represent. Public Law 89–456 provides for the adjustment of disputes involving grievances resulting from interpretation or application of bargaining agreements in the railroad industry and for disputes otherwise referable to the NRAB. In these disputes, the National Mediation Board compensates the neutral party selected to help resolve these grievances.

Administrative direction and support for the public law boards, special boards of adjustment, and the NRAB are provided by Federal employees who are compensated by the National Mediation Board.

	2019 actual	2020 est.	2021 est.
Arbitration cases:			
Pending, start of year	6,408	3,698	3,356
Received during year	3,859	4,235	4,255
Closed during year	6,569	4,577	4,837
Pending, end of year	3,698	3,356	2,774

Object Classification (in millions of dollars)

Identification code 421–2400–0–1–505	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	6	7	7
11.8 Special personal services payments	2	2	2
11.9 Total personnel compensation	8	9	9
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	1	1	1
25.2 Other services from non-Federal sources	2	2	2
99.0 Direct obligations	13	14	14
99.9 Total new obligations, unexpired accounts	13	14	14

Employment Summary

Identification code 421–2400–0–1–505	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	51	51	51

NATIONAL RAILROAD PASSENGER CORPORATION
OFFICE OF INSPECTOR GENERAL

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of Inspector General for the National Railroad Passenger Corporation to carry out the provisions of the Inspector General Act of 1978, as amended, **[\$24,274,000]** \$26,248,000: *Provided*, That the Inspector General shall have all necessary authority, in carrying out the duties specified in the Inspector General Act, as amended (5 U.S.C. App. 3), to investigate allegations of fraud, including false statements to the government (18 U.S.C. 1001), by any person or entity that is subject to regulation by the National Railroad Passenger Corporation: *Provided further*, That the Inspector General may enter into contracts and other arrangements for audits, studies, analyses, and other services with public agencies and with private persons, subject to the applicable laws and regulations that govern the obtaining of such services within the National Railroad Passenger Corporation: *Provided further*, That the Inspector General may select, appoint, and employ such officers and employees as may be necessary for carrying out the functions, powers, and duties of the Office of Inspector General, subject to the applicable laws and regulations that govern such selections, appointments, and employment within the Corporation: *Provided further*, That concurrent with the President's budget request for fiscal year **[2021]** 2022, the Inspector General shall submit to the House and

Senate Committees on Appropriations a budget request for fiscal year **2021** 2022 in similar format and substance to those submitted by executive agencies of the Federal Government. (*Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 575–2996–0–1–401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to Amtrak IG	23	24	26
0900 Total new obligations, unexpired accounts (object class 41.0)	23	24	26
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	23	24	26
1930 Total budgetary resources available	23	24	26
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	2
3010 New obligations, unexpired accounts	23	24	26
3020 Outlays (gross)	–24	–26	–26
3041 Recoveries of prior year unpaid obligations, expired	–1
3050 Unpaid obligations, end of year	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	2
3200 Obligated balance, end of year	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	23	24	26
Outlays, gross:			
4010 Outlays from new discretionary authority	20	24	26
4011 Outlays from discretionary balances	4	2
4020 Outlays, gross (total)	24	26	26
4180 Budget authority, net (total)	23	24	26
4190 Outlays, net (total)	24	26	26

The 2021 Budget proposes \$26.248 million for activities for the National Railroad Passenger Corporation (Amtrak) Office of the Inspector General.

NATIONAL SECURITY COMMISSION ON ARTIFICIAL INTELLIGENCE

Federal Funds

EXPENSES, NATIONAL SECURITY COMMISSION ON ARTIFICIAL INTELLIGENCE

Program and Financing (in millions of dollars)

Identification code 245–2765–0–1–054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	5
0900 Total new obligations, unexpired accounts (object class 25.3)	5
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	5
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [021–2020]	1
1121 Appropriations transferred from other acct [017–1507]	3
1121 Appropriations transferred from other acct [021–2040]	1
1121 Appropriations transferred from other acct [057–3600]	3
1121 Appropriations transferred from other acct [097–0400]	2
1160 Appropriation, discretionary (total)	10
1930 Total budgetary resources available	10	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	1
3010 New obligations, unexpired accounts	5

3020	Outlays (gross)	–4	–1
3050	Unpaid obligations, end of year	5	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	5	1
3200	Obligated balance, end of year	5	1

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	10
Outlays, gross:				
4011	Outlays from discretionary balances	4	1
4180	Budget authority, net (total)	10
4190	Outlays, net (total)	4	1

The National Security Commission on Artificial Intelligence (NSCAI), an independent Federal Agency, is composed of fifteen members appointed by select heads of key cabinet Departments along with key Congressional stakeholders. Established by section 1051 of P.L. 115–232, the NSCAI is responsible for assessing and recommending the competitiveness of the United States in artificial intelligence, machine learning, and other associated technologies, including matters related to national security, defense, public-private partnership and investments. The NSCAI also makes recommendations on the means and methods, international competitiveness, investments and risks, and the means and methods that the United States can leverage going forward to support this evolving technology.

NATIONAL TRANSPORTATION SAFETY BOARD

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the National Transportation Safety Board, including hire of passenger motor vehicles and aircraft; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS-15; uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901–5902), **[\$110,400,000]** *\$116,400,000*, of which not to exceed \$2,000 may be used for official reception and representation expenses. The amounts made available to the National Transportation Safety Board in this Act include amounts necessary to make lease payments on an obligation incurred in fiscal year 2001 for a capital lease. (*Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 424–0310–0–1–407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Policy and Direction	12	15	16
0002 Communications	9	8	10
0003 Aviation Safety	32	30	32
0004 Information Technology and Services	10	9	9
0005 Research and Engineering	12	13	13
0006 NTSB Training Center	1	1	1
0007 Administrative Law Judges	2	2	2
0008 Highway Safety	8	8	8
0009 Marine Safety	5	5	6
0010 Railroad, Pipeline, and Hazardous Materials Safety	9	9	9
0011 Administrative Support	9	10	10
0100 Sub-total, Direct obligations	109	110	116
0799 Total direct obligations	109	110	116
0900 Total new obligations, unexpired accounts	109	110	116
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	9	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	110	110	116
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1	1
1900 Budget authority (total)	111	111	117
1930 Total budgetary resources available	121	120	127
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–3

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 424–0310–0–1–407	2019 actual	2020 est.	2021 est.
1941 Unexpired unobligated balance, end of year	9	10	11
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	21	24	23
3010 New obligations, unexpired accounts	109	110	116
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	–106	–111	–116
3041 Recoveries of prior year unpaid obligations, expired	–1		
3050 Unpaid obligations, end of year	24	23	23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	21	24	23
3200 Obligated balance, end of year	24	23	23
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	111	111	117
Outlays, gross:			
4010 Outlays from new discretionary authority	92	89	94
4011 Outlays from discretionary balances	14	22	22
4020 Outlays, gross (total)	106	111	116
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		–1	–1
4033 Non-Federal sources	–1		
4040 Offsets against gross budget authority and outlays (total)	–1	–1	–1
4070 Budget authority, net (discretionary)	110	110	116
4080 Outlays, net (discretionary)	105	110	115
4180 Budget authority, net (total)	110	110	116
4190 Outlays, net (total)	105	110	115

The National Transportation Safety Board (NTSB) is an independent nonregulatory agency that promotes transportation safety by maintaining independence and objectivity; conducting objective, precise accident investigations and safety studies; performing fair and objective airman and mariner certification appeals; and advocating and promoting NTSB safety recommendations. The NTSB also provides assistance to victims of transportation accidents and their families.

In 2021, the Administration proposes a total funding level of \$116.4 million for NTSB Salaries and Expenses to allow the NTSB to fulfill its role in improving safety on the Nation's transportation system.

Object Classification (in millions of dollars)

Identification code 424–0310–0–1–407	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	51	54	56
11.3 Other than full-time permanent	2	3	3
11.5 Other personnel compensation	2	2	3
11.9 Total personnel compensation	55	59	62
12.1 Civilian personnel benefits	18	20	21
21.0 Travel and transportation of persons	4	3	4
23.1 Rental payments to GSA	10	10	10
23.2 Rental payments to others	3	3	3
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	14	13	13
31.0 Equipment	4	1	2
99.0 Direct obligations	109	110	116
99.9 Total new obligations, unexpired accounts	109	110	116

Employment Summary

Identification code 424–0310–0–1–407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	399	404	412

EMERGENCY FUND

Program and Financing (in millions of dollars)

Identification code 424–0311–0–1–407	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
1930 Total budgetary resources available	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The National Transportation Safety Board is mandated by the Congress to investigate all catastrophic transportation accidents and, therefore, has no control over the frequency of costly accident investigations. The emergency fund provides a funding mechanism by which periodic accident investigation cost fluctuations can be met without delaying critical phases of the investigations. The current balance of \$2 million is sufficient to cover unanticipated costs associated with an increased number of accidents, and thus the Administration does not propose new funding in 2021.

NEIGHBORHOOD REINVESTMENT CORPORATION

Federal Funds

PAYMENT TO THE NEIGHBORHOOD REINVESTMENT CORPORATION

For payment to the Neighborhood Reinvestment Corporation [for use in neighborhood reinvestment activities], as authorized by the Neighborhood Reinvestment Corporation Act (42 U.S.C. 8101–8107), [\$157,500,000, of which \$5,000,000 shall be for a multi-family rental housing program] \$27,400,000: *Provided*, That [an additional \$1,000,000, to remain available until September 30, 2023, shall be for the promotion and development of shared equity housing models] *such funds may be used only to prepare for the discontinuation of federal funding, including but not limited to costs related to personnel, management of existing grants, and the termination of ongoing programs. (Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 082–1300–0–1–451	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment for operations and grants	152	159	
0003 Wind-down Activities			27
0900 Total new obligations, unexpired accounts (object class 41.0)	152	159	27
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	152	159	27
1930 Total budgetary resources available	152	159	27
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	152	159	27
3020 Outlays (gross)	–152	–159	–27
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	152	159	27
Outlays, gross:			
4010 Outlays from new discretionary authority	152	159	27
4180 Budget authority, net (total)	152	159	27
4190 Outlays, net (total)	152	159	27

The Neighborhood Reinvestment Corporation (NRC), doing business as "NeighborWorks America," was established by Federal charter in 1978 as a community/public/private partnership providing financial support, technical assistance, and training for affordable housing and community-based revitalization efforts nationwide. The Budget requests \$27.4 million to conduct an orderly closeout of federal payments to NRC in fiscal year

2021, which includes funding for costs related to personnel and management of existing grants.

NORTHERN BORDER REGIONAL COMMISSION

Federal Funds

NORTHERN BORDER REGIONAL COMMISSION

For necessary expenses [necessary for] of the Northern Border Regional Commission, [in carrying out activities] as authorized by subtitle V of title 40, United States Code, [\$25,000,000, to remain available until expended: *Provided*, That such amounts shall be available for administrative expenses] \$850,000, notwithstanding section 15751(b) of title 40, United States Code: *Provided*, That such amounts shall be available only for the closure of the Commission: *Provided further*, That unobligated balances appropriated under this heading in this and prior years shall be available for the ongoing administration, oversight, and monitoring of grants previously awarded by the Commission. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 573-3742-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Northern Border Regional Commission	23	25	1
0900 Total new obligations, unexpired accounts (object class 41.0)	23	25	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	20	25	1
1930 Total budgetary resources available	24	26	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	26	43	27
3010 New obligations, unexpired accounts	23	25	1
3020 Outlays (gross)	-6	-41	-28
3050 Unpaid obligations, end of year	43	27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	26	43	27
3200 Obligated balance, end of year	43	27
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	20	25	1
Outlays, gross:			
4010 Outlays from new discretionary authority	1	15	1
4011 Outlays from discretionary balances	5	26	27
4020 Outlays, gross (total)	6	41	28
4180 Budget authority, net (total)	20	25	1
4190 Outlays, net (total)	6	41	28

The Budget proposes to eliminate funding for several independent agencies, including the Northern Border Regional Commission (NBRC). The Budget requests \$850,000 to conduct an orderly closeout of the agency in fiscal year 2021, which includes sufficient funding for personnel costs during shutdown activities; for severance or retirement pay; and for non-personnel costs associated with the agency's closure such as lease termination, equipment disposal, and compliance with recordkeeping requirements. The Budget also proposes statutory authority to transfer outstanding grant obligations and associated administrative and oversight responsibilities to the Department of Agriculture.

Employment Summary

Identification code 573-3742-0-1-452	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5	6	6

NUCLEAR REGULATORY COMMISSION

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Commission in carrying out the purposes of the Energy Reorganization Act of 1974 and the Atomic Energy Act of 1954, [\$842,236,000] \$849,900,000, including official representation expenses not to exceed \$25,000, to remain available until expended: *Provided*, That of the amount appropriated herein, not more than \$9,500,000 may be made available for salaries, travel, and other support costs for the Office of the Commission, to remain available until September 30, [2021, of which, notwithstanding section 201(a)(2)(c) of the Energy Reorganization Act of 1974 (42 U.S.C. 5841(a)(2)(c)), the use and expenditure shall only be approved by a majority vote of the Commission] 2022: *Provided further*, That of the amounts appropriated under this heading, \$62,481,000 shall be used, to the maximum extent practicable, solely for conducting requested activities of the Commission, as such term is defined in section 3(10) of the Nuclear Energy Innovation and Modernization Act (Public Law 115-439): *Provided further*, That revenues from licensing fees, inspection services, and other services and collections estimated at [\$717,125,000] \$729,293,000 in fiscal year [2020] 2021 shall be retained and used for necessary salaries and expenses in this account, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: *Provided further*, That [of the amounts appropriated under this heading, not less than \$15,478,000 shall be for activities related to the development of regulatory infrastructure for advanced nuclear technologies, and \$14,500,000 shall be for international activities, except that the amounts provided under this proviso shall not be derived from fee revenues, notwithstanding 42 U.S.C. 2214: *Provided further*, That] the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year [2020] 2021 so as to result in a final fiscal year [2020] 2021 appropriation estimated at not more than [\$125,111,000: *Provided further*, That of the amounts appropriated under this heading, \$10,500,000 shall be for university research and development in areas relevant to the Commission's mission, and \$5,500,000 shall be for a Nuclear Science and Engineering Grant Program that will support multiyear projects that do not align with programmatic missions but are critical to maintaining the discipline of nuclear science and engineering] \$120,607,000. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 031-0200-0-1-276	2019 actual	2020 est.	2021 est.
0100 Balance, start of year
Receipts:			
Current law:			
1120 Nuclear Facility Fees, Nuclear Regulatory Commission	751	717	729
1120 Nuclear Facility Fees, Nuclear Regulatory Commission	21	11	11
1199 Total current law receipts	772	728	740
1999 Total receipts	772	728	740
2000 Total: Balances and receipts	772	728	740
Appropriations:			
Current law:			
2101 Salaries and Expenses	-762	-717	-729
2101 Office of Inspector General	-10	-11	-11
2199 Total current law appropriations	-772	-728	-740
2999 Total appropriations	-772	-728	-740
5099 Balance, end of year

Program and Financing (in millions of dollars)

Identification code 031-0200-0-1-276	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Nuclear Reactor Safety	443	427	453
0005 Nuclear Materials and Waste Safety	106	98	102
0007 Decommissioning and Low-Level Waste	24	22	23
0010 Integrated University Program	15	16

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 031–0200–0–1–276	2019 actual	2020 est.	2021 est.
0012 Corporate Support	291	279	272
0799 Total direct obligations	879	842	850
0801 Salaries and Expenses (Reimbursable)	4	6	6
0900 Total new obligations, unexpired accounts	883	848	856
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	38	66	78
1021 Recoveries of prior year unpaid obligations	7	7	7
1033 Recoveries of prior year paid obligations	2		
1050 Unobligated balance (total)	47	73	85
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (General Fund)	136	125	121
1101 Appropriation (NRC receipts)	762	717	729
1160 Appropriation, discretionary (total)	898	842	850
Spending authority from offsetting collections, discretionary:			
1700 Collected	5	11	11
1701 Change in uncollected payments, Federal sources	–1		
1750 Spending auth from offsetting collections, disc (total)	4	11	11
1900 Budget authority (total)	902	853	861
1930 Total budgetary resources available	949	926	946
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	66	78	90
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	347	342	204
3010 New obligations, unexpired accounts	883	848	856
3020 Outlays (gross)	–881	–979	–859
3040 Recoveries of prior year unpaid obligations, unexpired	–7	–7	–7
3050 Unpaid obligations, end of year	342	204	194
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–3	–2	–2
3070 Change in uncollected pymts, Fed sources, unexpired	1		
3090 Uncollected pymts, Fed sources, end of year	–2	–2	–2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	344	340	202
3200 Obligated balance, end of year	340	202	192
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	902	853	861
Outlays, gross:			
4010 Outlays from new discretionary authority	640	643	649
4011 Outlays from discretionary balances	241	336	210
4020 Outlays, gross (total)	881	979	859
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–3	–5	–5
4033 Non-Federal sources	–4	–6	–6
4040 Offsets against gross budget authority and outlays (total)	–7	–11	–11
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	1		
4053 Recoveries of prior year paid obligations, unexpired accounts	2		
4060 Additional offsets against budget authority only (total)	3		
4070 Budget authority, net (discretionary)	898	842	850
4080 Outlays, net (discretionary)	874	968	848
4180 Budget authority, net (total)	898	842	850
4190 Outlays, net (total)	874	968	848

Nuclear Reactor Safety.—The Nuclear Regulatory Commission (NRC) Nuclear Reactor Safety Program encompasses licensing and overseeing civilian nuclear power reactors, research and test reactors, and other non-power production and utilization facilities (e.g., medical radioisotope facilities) in a manner that adequately protects public health and safety. This program also provides reasonable assurance of the security of facilities and protection against radiological sabotage. This program contributes to the NRC's safety and security strategic goals through the activities of the Op-

erating Reactors and New Reactors Business Lines that regulate existing and new nuclear reactors to ensure they meet applicable requirements.

Nuclear Materials and Waste Safety.—The Nuclear Materials and Waste Safety Program encompasses the NRC's licensing and oversight of nuclear materials in a manner that adequately protects public health and safety. This program provides assurance of the physical security of the materials and waste and protection against radiological sabotage, theft, or diversion of nuclear materials. Through this program, the NRC regulates uranium processing and fuel facilities; research and pilot facilities; nuclear materials users (medical, industrial, research, and academic); spent fuel storage; spent fuel material transportation and packaging; decontamination and decommissioning of facilities; and low-level and high-level radioactive waste. The program contributes to the NRC's safety and security strategic goals through the activities of the Spent Fuel Storage and Transportation, Nuclear Materials Users, Decommissioning and Low-Level Waste, High-Level Waste, and Fuel Facilities Business Lines.

Corporate Support.—The NRC's Corporate Support Business Line involves centrally managed activities that are necessary for agency programs to accomplish the agency's mission. These activities include administrative services, financial management, human resource management, information technology (IT) and information management (IM), outreach, policy support, training, and acquisitions.

Object Classification (in millions of dollars)

Identification code 031–0200–0–1–276	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	386	389	405
11.3 Other than full-time permanent	4	4	4
11.5 Other personnel compensation	10	10	11
11.9 Total personnel compensation	400	403	420
12.1 Civilian personnel benefits	131	132	137
21.0 Travel and transportation of persons	21	16	16
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	20	19	19
23.3 Communications, utilities, and miscellaneous charges	22	20	20
24.0 Printing and reproduction	2	1	1
25.1 Advisory and assistance services	39	30	30
25.2 Other services from non-Federal sources	67	60	60
25.3 Other goods and services from Federal sources	65	58	58
25.4 Operation and maintenance of facilities	4	4	4
25.5 Research and development contracts	2	2	2
25.7 Operation and maintenance of equipment	55	50	52
26.0 Supplies and materials	3	2	2
31.0 Equipment	31	28	28
41.0 Grants, subsidies, and contributions	16	16	
99.0 Direct obligations	879	842	850
99.0 Reimbursable obligations	4	6	6
99.9 Total new obligations, unexpired accounts	883	848	856

Employment Summary

Identification code 031–0200–0–1–276	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2,855	2,907	2,805
2001 Reimbursable civilian full-time equivalent employment	7	8	8

OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of the Inspector General in carrying out the provisions of the Inspector General Act of 1978, [13,314,000] \$13,499,000, to remain available until September 30, [2021] 2022: *Provided*, That revenues from licensing fees, inspection services, and other services and collections estimated at [10,929,000] \$11,106,000 in fiscal year [2020] 2021 shall be retained and be available until September 30, [2021] 2022, for necessary salaries and expenses in this account, notwithstanding section 3302 of title 31, United States Code: *Provided further*, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year [2020] 2021 so as to result in a final fiscal year [2020] 2021 appropriation estimated at not more than [2,385,000] \$2,393,000: *Provided further*, That of the amounts appropriated under this heading, [1,171,000]

\$1,206,000 shall be for Inspector General services for the Defense Nuclear Facilities Safety Board [], which shall not be available from fee revenues []. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 031-0300-0-1-276	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Inspector General	12	13	13
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2	2	2
1101 Appropriation (special or trust)	10	11	11
1160 Appropriation, discretionary (total)	12	13	13
1930 Total budgetary resources available	14	15	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	3	3
3010 New obligations, unexpired accounts	12	13	13
3020 Outlays (gross)	-11	-13	-13
3050 Unpaid obligations, end of year	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	3	3
3200 Obligated balance, end of year	3	3	3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	12	13	13
Outlays, gross:			
4010 Outlays from new discretionary authority	10	10	10
4011 Outlays from discretionary balances	1	3	3
4020 Outlays, gross (total)	11	13	13
4180 Budget authority, net (total)	12	13	13
4190 Outlays, net (total)	11	13	13

The NRC's Office of Inspector General (OIG) was established as a statutory entity on April 15, 1989, in accordance with the 1988 amendments to the Inspector General Act. Starting in 2014, the NRC's OIG has exercised the same authorities with respect to the Defense Nuclear Facilities Safety Board (DNFSB) per the Consolidated Appropriations Act, 2014. The OIG's mission is to provide independent, objective audit and investigative oversight of NRC and DNFSB operations to protect people and the environment.

Object Classification (in millions of dollars)

Identification code 031-0300-0-1-276	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	8	9	9
12.1 Civilian personnel benefits	3	3	3
25.2 Other services from non-Federal sources	1	1	1
99.9 Total new obligations, unexpired accounts	12	13	13

Employment Summary

Identification code 031-0300-0-1-276	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	59	63	63

GENERAL PROVISIONS—INDEPENDENT AGENCIES

【SEC. 401. The Nuclear Regulatory Commission shall comply with the July 5, 2011, version of Chapter VI of its Internal Commission Procedures when responding to Congressional requests for information, consistent with Department of Justice guidance for all federal agencies.】

SEC. 【402】401. (a) The amounts made available by this title for the Nuclear Regulatory Commission may be reprogrammed for any program, project, or activity, and the Commission shall notify the Committees on Appropriations of both Houses

of Congress at least 30 days prior to the use of any proposed reprogramming that would cause any program funding level to increase or decrease by more than \$500,000 or 10 percent, whichever is less, during the time period covered by this Act.

(b)(1) The Nuclear Regulatory Commission may waive the notification requirement in subsection (a) if compliance with such requirement would pose a substantial risk to human health, the environment, welfare, or national security.

(2) The Nuclear Regulatory Commission shall notify the Committees on Appropriations of both Houses of Congress of any waiver under paragraph (1) as soon as practicable, but not later than 3 days after the date of the activity to which a requirement or restriction would otherwise have applied. Such notice shall include an explanation of the substantial risk under paragraph (1) that permitted such waiver and shall provide a detailed report to the Committees of such waiver and changes to funding levels to programs, projects, or activities.

(c) Except as provided in subsections (a), (b), and (d), the amounts made available by this title for "Nuclear Regulatory Commission-Salaries and Expenses" shall be expended as directed in the *joint* explanatory statement [] described in section 4 (in the matter preceding division A of this consolidated Act) [] accompanying this Act.

(d) None of the funds provided for the Nuclear Regulatory Commission shall be available for obligation or expenditure through a reprogramming of funds that increases funds or personnel for any program, project, or activity for which funds are denied or restricted by this Act.

(e) The Commission shall provide a monthly report to the Committees on Appropriations of both Houses of Congress, which includes the following for each program, project, or activity, including any prior year appropriations—

- (1) total budget authority;
- (2) total unobligated balances; and
- (3) total unliquidated obligations.

(*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

GENERAL FUND RECEIPT ACCOUNT

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
031-322000 All Other General Fund Proprietary Receipts Including			
Budget Clearing Accounts		1	1
General Fund Offsetting receipts from the public		1	1

NUCLEAR WASTE TECHNICAL REVIEW BOARD

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Nuclear Waste Technical Review Board, as authorized by Public Law 100-203, section 5051, \$3,600,000, to be derived from the Nuclear Waste Fund, to remain available until September 30, 【2021】2022. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 431-0500-0-1-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Technical and scientific activities	4	4	4
0900 Total new obligations, unexpired accounts	4	4	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	4	4	4
1930 Total budgetary resources available	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	1

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 431-0500-0-1-271		2019 actual	2020 est.	2021 est.
3010	New obligations, unexpired accounts	4	4	4
3020	Outlays (gross)	-3	-4	-4
3050	Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year		1	1
3200	Obligated balance, end of year	1	1	1
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	4	4	4
Outlays, gross:				
4010	Outlays from new discretionary authority	2	4	4
4011	Outlays from discretionary balances	1		
4020	Outlays, gross (total)	3	4	4
4180	Budget authority, net (total)	4	4	4
4190	Outlays, net (total)	3	4	4

Summary of Budget Authority and Outlays (in millions of dollars)

		2019 actual	2020 est.	2021 est.
Enacted/requested:				
Budget Authority		4	4	4
Outlays		3	4	4
Legislative proposal, not subject to PAYGO:				
Budget Authority				2
Outlays				2
Total:				
Budget Authority		4	4	6
Outlays		3	4	6

As mandated by the Nuclear Waste Policy Amendments Act of 1987, the Nuclear Waste Technical Review Board (Board) evaluates the technical and scientific validity of all activities undertaken by the Department of Energy (DOE) related to the management and disposition of spent nuclear fuel and high-level radioactive waste. The Board's purpose is to provide independent expert advice to DOE and the Congress on technical issues and to review DOE's efforts to implement the Nuclear Waste Policy Act. The Board must report its findings, conclusions, and recommendations at least two times per year to the Congress and the Secretary of Energy.

Object Classification (in millions of dollars)

Identification code 431-0500-0-1-271		2019 actual	2020 est.	2021 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent	2	2	3
99.5	Adjustment for rounding	2	2	1
99.9	Total new obligations, unexpired accounts	4	4	4

Employment Summary

Identification code 431-0500-0-1-271		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	16	16	20

SALARIES AND EXPENSES

(Legislative proposal, not subject to PAYGO)

Contingent upon enactment of authorizing legislation expanding the functions of the Nuclear Waste Technical Review Board to include the conduct of independent analyses and the evaluation of research, development, design, and other activities related to storage, transportation, and disposal pathways for spent nuclear fuel and high-level radioactive waste, an additional \$2,000,000 for necessary expenses, to remain available until September 30, 2022, to be derived from the Nuclear Waste Fund.

Program and Financing (in millions of dollars)

Identification code 431-0500-2-1-271		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Technical and scientific activities			2
0900	Total new obligations, unexpired accounts (object class 99.5)			2
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust)			2
1930	Total budgetary resources available			2
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts			2
3020	Outlays (gross)			-2
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross			2
Outlays, gross:				
4010	Outlays from new discretionary authority			2
4180	Budget authority, net (total)			2
4190	Outlays, net (total)			2

In addition to the activities the Nuclear Waste Technical Review Board (Board) performs as mandated by the Nuclear Waste Policy Act of 1987, the Board will perform independent technical evaluations of research and development activities, processes, and facility designs and operations, and of alternative storage, transportation, and disposal strategies for spent nuclear fuel and high-level radioactive waste. The Board will also provide additional oversight and analysis of federal nuclear waste management programs. To perform such analyses, the Board will leverage its expertise in the geosciences, material sciences, and engineering fields, thereby providing independent expert advice.

OCCUPATIONAL SAFETY AND HEALTH REVIEW
COMMISSION

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Occupational Safety and Health Review Commission, **\$13,225,000** \$13,721,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 432-2100-0-1-554		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Commission review	4	6	6
0002	Administrative law judge determinations	7	5	6
0003	Executive direction	2	2	2
0900	Total new obligations, unexpired accounts	13	13	14
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1		
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	13	13	14
1930	Total budgetary resources available	14	13	14
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	3	4	3
3010	New obligations, unexpired accounts	13	13	14
3020	Outlays (gross)	-12	-14	-13
3050	Unpaid obligations, end of year	4	3	4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3	4	3

3200	Obligated balance, end of year	4	3	4
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	13	13	14
Outlays, gross:				
4010	Outlays from new discretionary authority	10	11	12
4011	Outlays from discretionary balances	2	3	1
4020	Outlays, gross (total)	12	14	13
4180	Budget authority, net (total)	13	13	14
4190	Outlays, net (total)	12	14	13

The Occupational Safety and Health Review Commission, established by the Occupational Safety and Health Act of 1970, adjudicates contested enforcement actions of the Secretary of Labor. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

Object Classification (in millions of dollars)

Identification code 432-2100-0-1-554	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	6	7	8
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	2	2	2
99.0 Direct obligations	10	11	12
99.5 Adjustment for rounding	3	2	2
99.9 Total new obligations, unexpired accounts	13	13	14

Employment Summary

Identification code 432-2100-0-1-554	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	46	61	63

OFFICE OF GOVERNMENT ETHICS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Government Ethics pursuant to the Ethics in Government Act of 1978, the Ethics Reform Act of 1989, and the *Representative Louise McIntosh Slaughter Stop Trading on Congressional Knowledge Act [of 2012]*, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and not to exceed \$1,500 for official reception and representation expenses, **[\$17,500,000] \$18,576,000.** (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 434-1100-0-1-805	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	17	18	19
0801 Salaries and Expenses (Reimbursable)		1	1
0900 Total new obligations, unexpired accounts	17	19	20
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	17	18	19
Spending authority from offsetting collections, discretionary:			
1700 Collected		1	1
1900 Budget authority (total)	17	19	20
1930 Total budgetary resources available	17	19	20

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	5	6
3010 New obligations, unexpired accounts	17	19	20
3020 Outlays (gross)	-16	-18	-20
3050 Unpaid obligations, end of year	5	6	6

Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	5	6
3200 Obligated balance, end of year	5	6	6

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	17	19	20
Outlays, gross:			
4010 Outlays from new discretionary authority	13	15	16
4011 Outlays from discretionary balances	3	3	4
4020 Outlays, gross (total)	16	18	20
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources		-1	-1
4180 Budget authority, net (total)	17	18	19
4190 Outlays, net (total)	16	17	19

The U.S. Office of Government Ethics (OGE), established by the Ethics in Government Act of 1978, provides overall leadership and oversight of the Executive Branch ethics program designed to prevent and resolve conflicts of interest. OGE's mission is part of the very foundation of public service. The first principle in the Fourteen Principles of Ethical Conduct for Government Officers and Employees provides that, "[p]ublic service is a public trust, requiring employees to place loyalty to the Constitution, the laws and ethical principles above private gain." OGE undertakes this important prevention mission as part of a framework comprising Executive Branch agencies and entities whose work focuses on institutional integrity. Within this framework, the ethics program works to ensure that public servants carry out the governmental responsibilities entrusted to them with impartiality, and that they serve as good stewards of public resources.

To carry out its vital leadership and oversight responsibilities for the Executive Branch ethics program, OGE promulgates, maintains, and advises on enforceable standards of ethical conduct for more than 2.7 million employees in over 130 Executive Branch agencies, including the White House; offers education and training to the more than 5,000 ethics officials Executive Branch-wide; oversees a financial disclosure system that reaches more than 26,000 public and more than 380,000 confidential financial disclosure report filers; operates and maintains *Integrity*, a public financial disclosure management application required by the Representative Louise McIntosh Slaughter Stop Trading on Congressional Knowledge (STOCK) Act; monitors Executive B agency ethics programs and senior leaders' compliance with applicable ethics laws and regulations; prepares for presidential transitions and provides assistance to the President and Senate in the presidential appointments process; conducts outreach to the general public, the private sector, and non-governmental organizations; and makes ethics documents publicly available.

Object Classification (in millions of dollars)

Identification code 434-1100-0-1-805	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	8	9	10
12.1 Civilian personnel benefits	3	3	3
23.1 Rental payments to GSA	2	2	2
25.3 Other goods and services from Federal sources	4	4	4
99.0 Direct obligations	17	18	19
99.0 Reimbursable obligations		1	1
99.9 Total new obligations, unexpired accounts	17	19	20

Employment Summary

Identification code 434-1100-0-1-805	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	66	72	76

OFFICE OF NAVAJO AND HOPI INDIAN RELOCATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of Navajo and Hopi Indian Relocation as authorized by Public Law 93–531, **[\$7,500,000] \$4,000,000**, to remain available until expended: *Provided*, That funds provided in this or any other appropriations Act are to be used to relocate eligible individuals and groups including evictees from District 6, Hopi-partitioned lands residents, those in significantly substandard housing, and all others certified as eligible and not included in the preceding categories: *Provided further*, That none of the funds contained in this or any other Act may be used by the Office of Navajo and Hopi Indian Relocation to evict any single Navajo or Navajo family who, as of November 30, 1985, was physically domiciled on the lands partitioned to the Hopi Tribe unless a new or replacement home is provided for such household: *Provided further*, That no relocatee will be provided with more than one new or replacement home: *Provided further*, That the Office shall relocate any certified eligible relocatees who have selected and received an approved homesite on the Navajo reservation or selected a replacement residence off the Navajo reservation or on the land acquired pursuant to section 11 of Public Law 93–531 (88 Stat. 1716). (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 435–1100–0–1–808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operation of relocation office	4	4	3
0003 Relocation payments (housing)	1	1	1
0004 Discretionary fund payments		3	
0900 Total new obligations, unexpired accounts	5	8	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	18	18
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	9	8	4
1120 Appropriations transferred to other acct [014–0104]	–1		
1160 Appropriation, discretionary (total)	8	8	4
1930 Total budgetary resources available	23	26	22
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	18	18	18
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	2
3010 New obligations, unexpired accounts	5	8	4
3020 Outlays (gross)	–5	–8	–4
3050 Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	2
3200 Obligated balance, end of year	2	2	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	8	8	4
Outlays, gross:			
4010 Outlays from new discretionary authority	5	6	3
4011 Outlays from discretionary balances		2	1
4020 Outlays, gross (total)	5	8	4
4180 Budget authority, net (total)	8	8	4
4190 Outlays, net (total)	5	8	4

The Office of Navajo and Hopi Indian Relocation was established by Public Law 93–531 to plan and conduct relocation activities associated with the settlement of a land dispute in northern Arizona between the two Tribes. Relocation of clients includes such activities as certification, housing acquisition and construction, and land acquisition. Discretionary funds will be used for activities which will facilitate and expedite the overall relocation effort, and to plan for the orderly closeout of the Office of Navajo and Hopi Indian Relocation.

Object Classification (in millions of dollars)

Identification code 435–1100–0–1–808	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
12.1 Civilian personnel benefits	1		
25.2 Other services from non-Federal sources	1	2	1
32.0 Land and structures	1	4	1
99.9 Total new obligations, unexpired accounts	5	8	4

Employment Summary

Identification code 435–1100–0–1–808	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	27	19	19

OFFICE OF SPECIAL COUNSEL

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Special Counsel, including services as authorized by 5 U.S.C. 3109, payment of fees and expenses for witnesses, rental of conference rooms in the District of Columbia and elsewhere, and hire of passenger motor vehicles; **[\$27,500,000] \$27,435,000**. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 062–0100–0–1–805	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Investigation and prosecution of reprisals for whistle blowing	26	28	27
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	27	28	27
1930 Total budgetary resources available	27	29	28
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	3	3
3010 New obligations, unexpired accounts	26	28	27
3020 Outlays (gross)	–26	–28	–25
3050 Unpaid obligations, end of year	3	3	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	3	3
3200 Obligated balance, end of year	3	3	5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	27	28	27
Outlays, gross:			
4010 Outlays from new discretionary authority	24	25	24
4011 Outlays from discretionary balances	2	3	1
4020 Outlays, gross (total)	26	28	25
4180 Budget authority, net (total)	27	28	27
4190 Outlays, net (total)	26	28	25

The Office of Special Counsel (OSC): 1) investigates Federal employee and applicant allegations of prohibited personnel practices (including reprisal for whistleblowing) and other activities prohibited by civil service law and, when appropriate, prosecutes before the Merit Systems Protection Board; 2) provides a safe channel for whistleblowing by Federal employees and applicants; 3) investigates and enforces the Uniformed Services Employment and Reemployment Rights Act (USERRA); and 4) advises on and enforces the Hatch Act. OSC may transmit whistleblower allegations to the agency head concerned and require an agency investigation. OSC

submits the agency's investigative report to the President and the Congress when appropriate.

OSC received 5,486 new cases in 2019. While the number of cases received was less than projected in 2019 due to the unprecedented partial Government shutdown, receiving 5,486 cases still represents a 39 percent increase over historical averages post-2000. Additionally, OSC resolved 6,193 matters in 2019, an increase of 60 percent over the historical average post-2000 and the second highest total in agency history. Of the new cases received, 3,811 were prohibited personnel practice cases, a 45 percent increase over the historical average post-2000. OSC also obtained a record 329 favorable actions for Federal employees in response to prohibited personnel practice complaints.

During 2019, OSC received 1,373 new disclosures, a 42 percent increase over the historical average post-2000. OSC processed and closed 1,479 disclosures, and referred 73 disclosures of waste, fraud, and abuse to agency heads for investigation. During the last several years, OSC has received numerous whistleblower disclosures from employees at the Department of Veterans Affairs (VA). OSC's work with VA whistleblowers has been featured in the media, and has helped promote accountability and improvements within VA. OSC continues to receive a disproportionately large number of cases from VA employees and, to address this, has established a priority intake system for VA claims.

OSC conducts outreach and education activities on its program areas to inform and train agencies to prevent prohibited personnel practices, whistleblower reprisals, Hatch Act and USERRA violations, and claims of fraud, waste and abuse. In FY 2019, OSC conducted 188 outreach activities throughout the Federal Government.

Case Type:	Cases Received 2019	Cases Resolved 2019
Prohibited personnel practice complaints	3,811	4,447
Hatch Act complaints	281	245
Whistleblower Disclosures	1,373	1,479
USERRA cases	21	22
Totals	5,486	6,193

For 2020 and 2021, OSC projects intakes for whistleblower disclosure, Hatch Act, and prohibited personnel practice cases to follow recent trends and stabilize at around 6,000 total new cases received each year. OSC's caseload will remain high in light of the ongoing issues at the VA, and the increased media exposure VA whistleblowers and whistleblowers in general are receiving.

Overall, the funding requested for 2021 will enable OSC to meet demands for OSC's services, protect whistleblowers in the VA and other agencies, protect the employment rights of returning service members, and protect the Federal merit system from prohibited personnel and political practices.

Object Classification (in millions of dollars)

Identification code 062-0100-0-1-805	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	16	18	17
12.1 Civilian personnel benefits	5	6	6
23.1 Rental payments to GSA	2	1	2
25.2 Other services from non-Federal sources	3	3	2
99.9 Total new obligations, unexpired accounts	26	28	27

Employment Summary

Identification code 062-0100-0-1-805	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	133	141	126

OTHER COMMISSIONS AND BOARDS

Federal Funds

COMMISSION FOR THE PRESERVATION OF AMERICA'S HERITAGE ABROAD

SALARIES AND EXPENSES

For necessary expenses for the Commission for the Preservation of America's Heritage Abroad, **[\$675,000] \$642,000**, as authorized by chapter 3123 of title 54, United States Code: *Provided*, That the Commission may procure temporary, intermittent, and other services notwithstanding paragraph (3) of section 312304(b) of such chapter: *Provided further*, That such authority shall terminate on October 1, **[2020] 2021**: *Provided further*, That the Commission shall notify the Committees on Appropriations prior to exercising such authority. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

SOUTHEAST CRESCENT REGIONAL COMMISSION

[For expenses necessary for the Southeast Crescent Regional Commission in carrying out activities authorized by subtitle V of title 40, United States Code, \$250,000, to remain available until expended.] (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 095-9911-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Other Commissions and Boards (Direct)	1	1	1
0900 Total new obligations, unexpired accounts (object class 99.5)	1	1	1
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	1	1
1930 Total budgetary resources available	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	1	1
3020 Outlays (gross)	-1	-1	-1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority	1	1	1
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)	1	1	1

This account presents data on small independent commissions and other entities on a consolidated basis. It includes the request for the Commission for the Preservation of America's Heritage Abroad, which helps preserve cultural sites associated with the foreign heritage of Americans by identifying properties, negotiating U.S. agreements with foreign governments, and facilitating private restoration, preservation, and memorialization efforts. The request includes language needed to enable the Commission to meet its requirements for staff and professional assistance.

PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

Federal Funds

PAYMENT TO THE PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

Program and Financing (in millions of dollars)

Identification code 579-1299-0-1-552	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 General Fund Payment	150		
0900 Total new obligations, unexpired accounts (object class 94.0)	150		

PAYMENT TO THE PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND—Continued
Program and Financing—Continued

Identification code 579–1299–0–1–552	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	150
1930 Total budgetary resources available	150
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	150
3020 Outlays (gross)	–150
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	150
Outlays, gross:			
4100 Outlays from new mandatory authority	150
4180 Budget authority, net (total)	150
4190 Outlays, net (total)	150

This fund exists for issuance of general fund appropriations to the Patient-Centered Outcomes Research Trust Fund. In accordance with Public Law 116–94, annual appropriations will continue through 2029.

Trust Funds

PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 579–8299–0–7–552	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	41	45	354
Receipts:			
Current law:			
1110 Fees on Health Insurance and Self-insured Health Plans, PCORTF	431	354	371
1140 Interest Received by Trust Funds, PCORTF	1
1140 Payment from the General Fund, Patient-Centered Outcomes Research Trust Fund	150
1140 Transfers from FHI Trust Fund, PCORTF	61
1140 Transfers from FSMI Trust Fund, PCORTF	84
1199 Total current law receipts	727	354	371
1999 Total receipts	727	354	371
2000 Total: Balances and receipts	768	399	725
Appropriations:			
Current law:			
2101 Patient-Centered Outcomes Research Trust Fund	–728
2103 Patient-Centered Outcomes Research Trust Fund	–40	–45
2132 Patient-Centered Outcomes Research Trust Fund	45
2199 Total current law appropriations	–723	–45
2999 Total appropriations	–723	–45
5099 Balance, end of year	45	354	725

Program and Financing (in millions of dollars)

Identification code 579–8299–0–7–552	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Obligations to PCORI	578	36
0002 Obligations to HHS	145	9
0900 Total new obligations, unexpired accounts (object class 94.0)	723	45
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	728
1203 Appropriation (previously unavailable)(special or trust)	40	45
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	–45
1260 Appropriations, mandatory (total)	723	45
1900 Budget authority (total)	723	45

1930 Total budgetary resources available	723	45
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	45
3010 New obligations, unexpired accounts	723	45
3020 Outlays (gross)	–723
3050 Unpaid obligations, end of year	45	45
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	45
3200 Obligated balance, end of year	45	45
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	723	45
Outlays, gross:			
4100 Outlays from new mandatory authority	723
4180 Budget authority, net (total)	723	45
4190 Outlays, net (total)	723
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	41

Public Law 116–94 authorized the extension of the Patient-Centered Outcomes Research Trust Fund (PCORTF) to receive amounts from general fund appropriations, fees on health insurance and self-insured plans, and interest earned on investments. Amounts appropriated or credited to the PCORTF are available to the Patient-Centered Outcomes Research Institute and the Secretary of Health and Human Services for carrying out part D of Title XI of the Social Security Act and section 937 of the Public Health Service Act, respectively. The PCORTF terminates at the end of FY 2029.

POSTAL SERVICE

Federal Funds

PAYMENT TO THE POSTAL SERVICE FUND

For payment to the Postal Service Fund for revenue forgone on free and reduced rate mail, pursuant to subsections (c) and (d) of section 2401 of title 39, United States Code, **[\$56,711,000] \$55,333,000: Provided,** That mail for overseas voting and mail for the blind shall continue to be free: **[Provided further,** That 6-day delivery and rural delivery of mail shall continue at not less than the 1983 level: **] Provided further,** That none of the funds made available to the Postal Service by this Act shall be used to implement any rule, regulation, or policy of charging any officer or employee of any State or local child support enforcement agency, or any individual participating in a State or local program of child support enforcement, a fee for information requested or provided concerning an address of a postal customer: **Provided further,** That none of the funds provided in this Act shall be used to consolidate or close small rural and other small post offices: **Provided further,** That the Postal Service may not destroy, and shall continue to offer for sale, any copies of the Multinational Species Conservation Funds Semipostal Stamp, as authorized under the Multinational Species Conservation Funds Semipostal Stamp Act of 2010 (Public Law 111–241). (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 018–1001–0–1–372	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Free Mail	55	57	55
0900 Total new obligations, unexpired accounts (object class 41.0)	55	57	55
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	55	57	55
1900 Budget authority (total)	55	57	55
1930 Total budgetary resources available	55	57	55
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	55	57	55

3020	Outlays (gross)	-55	-57	-55
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	55	57	55
Outlays, gross:				
4010	Outlays from new discretionary authority	55	57	55
4180	Budget authority, net (total)	55	57	55
4190	Outlays, net (total)	55	57	55

The Budget proposes \$55,333,000 for the estimated 2021 costs of free mail service for the blind and overseas voting.

Pursuant to P.L. 93–328, the 2021 appropriation request of the U.S. Postal Service for Payment to the Postal Service Fund is \$25,760,000. This amount includes \$36,463,000 requested for the estimated 2021 costs of free mail service for the blind and overseas voting and a reduction of \$10,703,000 as a reconciliation adjustment for 2018 actual mail volume of free mail service for the blind and overseas voting.

POSTAL SERVICE FUND

Program and Financing (in millions of dollars)

Identification code 018–4020–0–3–372	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Postal field operations	51,374	52,188	52,452
0802 Transportation	8,176	8,466	8,432
0803 Building occupancy	2,106	2,147	2,203
0804 Supplies and services	2,781	2,712	2,712
0805 Research and development	27	27	27
0806 Administration and area operations	5,332	3,767	3,916
0807 Interest	240	248	360
0808 Servicewide expenses	194	235	239
0809 Reimbursable program activities, subtotal	70,230	69,790	70,341
0810 Capital investment	1,977	6,000	3,395
0811 Change in resources on order and inventory	308		
0819 Reimbursable program activities, subtotal	2,285	6,000	3,395
0900 Total new obligations, unexpired accounts	72,515	75,790	73,736
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	9,248	6,573	2,555
1023 Unobligated balances applied to repay debt	-2,200		
1050 Unobligated balance (total)	7,048	6,573	2,555
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected			281
1710 Transferred to other accounts [018–0100]			-262
1710 Transferred to other accounts [018–0200]			-19
Spending authority from offsetting collections, mandatory:			
1800 Collected	72,305	72,039	71,189
1810 Spending authority from offsetting collections transferred to other accounts [018–0100]	-250	-250	
1810 Spending authority from offsetting collections transferred to other accounts [018–0200]	-15	-17	
1850 Spending auth from offsetting collections, mand (total)	72,040	71,772	71,189
1900 Budget authority (total)	72,040	71,772	71,189
1930 Total budgetary resources available	79,088	78,345	73,744
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6,573	2,555	8
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	349	1,871	6,094
3010 New obligations, unexpired accounts	72,515	75,790	73,736
3020 Outlays (gross)	-70,993	-71,567	-72,014
3050 Unpaid obligations, end of year	1,871	6,094	7,816
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	349	1,871	6,094
3200 Obligated balance, end of year	1,871	6,094	7,816
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	72,040	71,772	71,189
Outlays, gross:			
4100 Outlays from new mandatory authority	70,993	67,994	68,234

4101	Outlays from mandatory balances	3,573	3,780
4110	Outlays, gross (total)	70,993	71,567
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	Federal sources	-964	-973
4121	Interest on Federal securities	-133	-133
4123	Non-Federal sources	-71,265	-70,668
4130	Offsets against gross budget authority and outlays (total)	-72,362	-71,774
4160	Budget authority, net (mandatory)	-322	-2
4170	Outlays, net (mandatory)	-1,369	-207
4180	Budget authority, net (total)	-322	-2
4190	Outlays, net (total)	-1,369	-207

Memorandum (non-add) entries:

5000	Total investments, SOY: Federal securities: Par value	10,493	9,341	8,945
5001	Total investments, EOY: Federal securities: Par value	9,341	8,945	6,667

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	-322	-2	
Outlays	-1,369	-207	825
Legislative proposal, not subject to PAYGO:			
Outlays			-316
Total:			
Budget Authority	-322	-2	
Outlays	-1,369	-207	509

The Postal Reorganization Act of 1970, Public Law 91–375, converted the Post Office Department into the U.S. Postal Service, an independent establishment within the executive branch. The Postal Service commenced operations July 1, 1971. The Agency is charged with providing patrons with reliable mail service at reasonable rates and fees.

The Postal Service is governed by an 11-member Board of Governors, including nine Governors appointed by the President, a Postmaster General who is selected by the Governors, and a Deputy Postmaster General who is selected by the Governors and the Postmaster General.

Since 1971, there have been several reforms. Notably, the Omnibus Budget Reconciliation Act of 1989 moved the Postal Service "off-budget" so that, beginning in 1990, the receipts and disbursements of the Fund are not considered as part of the congressional and executive budget process. More recently, the 2006 Postal Accountability and Enhancement Act (P.L. 109–435) made a number of changes affecting the operations and oversight of the Postal Service. The Act provided for separate accounting and reporting for market-dominant products such as First-Class Mail and competitive products such as package delivery. The Act also amended the process for determining rate increases for market-dominant products, in part by imposing a limit on rate increases linked to the Consumer Price Index for All Urban Consumers (CPI-U). In 2017, the Postal Regulatory Commission announced proposed changes to the rate structure including increases above the cap of the CPI-U. The Commission requested public comment (81 FR 95071 and 82 FR 58280) and, after additional consideration, issued a revised notice of proposed rulemaking (84 FR 67685) in December 2019. The changes will not go into effect until after public comment and a final rule. Consequently, they are not included in the Budget's baseline for the Postal Service.

P.L. 109–435 also created the Postal Service Retiree Health Benefits Fund to place the Postal Service on a path that fully funds its substantial retiree (annuitant) health benefits liabilities. This Fund was to receive from the Postal Service: 1) the pension savings provided to the Postal Service by the Postal Civil Service Retirement System Funding Reform Act of 2003 (P.L. 108–18) that were held in escrow during 2006; 2) a 10-year stream of payments defined within P.L. 109–435 to begin the liquidation of the Postal Service's unfunded liability for post-retirement health benefits; 3) beginning in 2017, payments for the actuarial cost of Postal Service contributions for the post-retirement health benefits for its current employees; 4) beginning in 2017, a 40-year amortization payment to fund any remaining unfunded liabilities associated with post-retirement health benefits

POSTAL SERVICE FUND—Continued

of Postal Service employees; and 5) the surplus resources of the Civil Service Retirement and Disability Fund that are not needed to finance future retirement benefits under the Civil Service Retirement System (CSRS) to current or former employees of the Postal Service that are attributable to civilian employment with the Postal Service. Since passage in 2006, the Postal Service had contributed more than \$50 billion to the Fund but has failed to make required payments each year since FY 2012, thus steadily increasing the size of the unfunded liability.

Beginning in 2017, P.L. 109–435 also required the Postal Service to begin a 27-year amortization to retire its unfunded liability under the CSRS. The Postal Service has failed to make required payments totaling \$21 billion since September 2017.

The activities of the Postal Service are financed from: 1) mail and services revenue; 2) reimbursements from Federal and non-Federal sources; 3) proceeds from borrowing; 4) interest from U.S. securities and other investments; and 5) appropriations by the Congress. All receipts and deposits are made to the Fund and are available without fiscal year limitation for payment of all expenses incurred, retirement of obligations, investment in capital assets, and investment in obligations and securities.

As amended by P.L. 109–435, the Postal Service has statutory borrowing authority capped at \$15 billion with the annual increase in outstanding debt limited to \$3 billion. As of September 30, 2019, the total debt instruments issued and outstanding pursuant to this authority amounted to \$11 billion.

The Budget estimates that the Postal Service will have an annual operating deficit of \$7 billion in 2020 and more than \$8 billion in each subsequent year through 2030. Given the Postal Service's history of using defaults to continue operations despite losses, the Budget reflects defaults on required pension and retiree health amortization and normal cost payments to prevent the Postal Service from running unsustainable deficits. See also the Budget Process section of *Analytical Perspectives*.

Object Classification (in millions of dollars)

Identification code 018–4020–0–3–372	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	27,757	28,145	28,290
11.3 Other than full-time permanent	4,897	4,988	5,010
11.5 Other personnel compensation	6,062	6,370	6,407
11.9 Total personnel compensation	38,716	39,503	39,707
12.1 Civilian personnel benefits	15,665	14,241	14,338
13.0 Benefits for former personnel	42	155	255
21.0 Travel and transportation of persons	193	176	176
22.0 Transportation of things	8,857	9,207	9,180
23.1 Rental payments to GSA	29	30	31
23.2 Rental payments to others	1,098	1,143	1,183
23.3 Communications, utilities, and miscellaneous charges	848	849	860
24.0 Printing and reproduction	58	59	61
25.2 Other services from non-Federal sources	2,797	2,640	2,646
26.0 Supplies and materials	1,814	1,306	1,308
31.0 Equipment	1,109	5,045	2,174
32.0 Land and structures	857	958	1,223
42.0 Insurance claims and indemnities	192	230	234
43.0 Interest and dividends	240	248	360
99.9 Total new obligations, unexpired accounts	72,515	75,790	73,736

Employment Summary

Identification code 018–4020–0–3–372	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	582,479	584,626	577,919

POSTAL SERVICE FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 018–4020–2–3–372	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0802 Transportation		–143	–287

0806 Administration and area operations	1,083	1,188
0808 Servicewide expenses	–13	–37
0809 Reimbursable program activities, subtotal	927	864
0900 Total new obligations, unexpired accounts	927	864

Budgetary resources:

1000 Unobligated balance:		
Unobligated balance brought forward, Oct 1		1,219
Budget authority:		
Spending authority from offsetting collections, mandatory:		
1800 Collected	2,146	4,398
1900 Budget authority (total)	2,146	4,398
1930 Total budgetary resources available	2,146	5,617
Memorandum (non-add) entries:		
1941 Unexpired unobligated balance, end of year	1,219	4,753

Change in obligated balance:

Unpaid obligations:		
3000 Unpaid obligations, brought forward, Oct 1		–1,219
3010 New obligations, unexpired accounts	927	864
3020 Outlays (gross)	–2,146	–4,082
3050 Unpaid obligations, end of year	–1,219	–4,437
Memorandum (non-add) entries:		
3100 Obligated balance, start of year		–1,219
3200 Obligated balance, end of year	–1,219	–4,437

Budget authority and outlays, net:

Mandatory:		
4090 Budget authority, gross	2,146	4,398
Outlays, gross:		
4100 Outlays from new mandatory authority	2,146	4,082
Offsets against gross budget authority and outlays:		
Offsetting collections (collected) from:		
4123 Non-Federal sources	–2,146	–4,398
4180 Budget authority, net (total)		
4190 Outlays, net (total)		–316

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value		1,219
5001 Total investments, EOY: Federal securities: Par value	1,219	4,753

The Budget proposes reforms based on the recommendations of the President's Task Force on the United States Postal System. The Task Force made recommendations for changes to governance, the Postal Service's universal service obligation, pricing, cost allocation, operating costs, labor model, retiree health benefits, and revenue sources. The Task Force also recommended provisions for strengthening regulatory oversight if the Postal Service is unable to meet its financial commitments. This proposal will restore solvency to the Postal Service and ensure that commitments to current and former employees are funded from business revenues rather than taxpayers.

The Budget proposes operational reforms to reduce costs and improve revenue, including: 1) changes to the rate cap to allow more flexible pricing for mail and packages that are deemed outside the universal service obligation or "nonessential"; 2) reducing operating costs through changes to delivery processing, mode, frequency, and increased use of private sector partners for processing and sortation, where appropriate; 3) more closely aligning Postal Service employee wages with those of other Federal employees; and 4) licensing access to the mailbox and providing additional Government services at retail locations.

The Budget also proposes Government-wide reforms to pensions and health insurance costs that are estimated to further reduce Postal Service operating costs. See the General Services Administration section of the *Appendix* for more information. In addition, the Budget proposes to re-amortize the payments the Postal Service has not made to the Office of Personnel Management for retiree health benefits.

In total, the Budget estimates that these reforms will reduce the unified budget deficit by \$94 billion over 11 years and result in on-budget savings of \$97 billion as the Postal Service resumes statutory payments to on-budget accounts.

Object Classification (in millions of dollars)

Identification code 018–4020–2–3–372	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
12.1 Civilian personnel benefits		1,083	1,188
22.0 Transportation of things		–143	–287
32.0 Land and structures		–13	–37
99.9 Total new obligations, unexpired accounts		927	864

OFFICE OF INSPECTOR GENERAL
SALARIES AND EXPENSES
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, **[\$250,000,000]** **\$261,594,000**, to be derived by transfer from the Postal Service Fund and expended as authorized by section 603(b)(3) of the Postal Accountability and Enhancement Act (Public Law 109–435). (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 018–0100–0–1–372	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Audit	79	75	78
0002 Investigations	171	175	184
0799 Total direct obligations	250	250	262
0801 Office of Inspector General (Reimbursable)	1	3	1
0900 Total new obligations, unexpired accounts	251	253	263

Budgetary resources:

Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	3	1
1711 Transferred from other accounts [018–4020]	250	250	262
1750 Spending auth from offsetting collections, disc (total)	251	253	263
1930 Total budgetary resources available	251	253	263

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	251	253	263
3020 Outlays (gross)	–251	–253	–263

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	251	253	263
Outlays, gross:			
4010 Outlays from new discretionary authority	251	253	263
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–1	–3	–1
4180 Budget authority, net (total)	250	250	262
4190 Outlays, net (total)	250	250	262

The U.S. Postal Service Office of Inspector General (USPS OIG) is an independent organization charged with reporting to Congress on the overall efficiency, effectiveness, and economy of Postal Service programs and operations. The USPS OIG meets this responsibility by conducting audits, investigations, and other reviews. The USPS OIG focuses on the prevention, identification, and elimination of: 1) waste, fraud, and abuse; 2) violations of laws, rules, and regulations; and 3) inefficiencies in Postal Service programs and operations.

The Budget proposes \$261,594,000 for the 2021 USPS OIG's operations.

Pursuant to P.L. 109–435, the 2021 appropriation request of the USPS OIG is \$261,594,000.

Section 603(b)(1) of P.L. 109–435 (Postal Accountability and Enhancement Act) authorizes appropriations for the USPS OIG out of the off-budget Postal Service Fund beginning in 2009. The authorization resulted in the reclassification of USPS OIG spending from off-budget mandatory to off-budget discretionary.

Object Classification (in millions of dollars)

Identification code 018–0100–0–1–372	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	145	145	151
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	3	3	3
11.9 Total personnel compensation	149	149	155
12.1 Civilian personnel benefits	56	56	61
21.0 Travel and transportation of persons	6	6	6
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	7	7	6
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.1 Advisory and assistance services	12	15	16
25.3 Other goods and services from Federal sources	1	1	1
25.7 Operation and maintenance of equipment	6	8	8
26.0 Supplies and materials	1	1	1
31.0 Equipment	10	4	4
99.0 Direct obligations	251	250	261
99.0 Reimbursable obligations	1	3	1
99.5 Adjustment for rounding	–1	1
99.9 Total new obligations, unexpired accounts	251	253	263

Employment Summary

Identification code 018–0100–0–1–372	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,020	985	985

POSTAL REGULATORY COMMISSION**SALARIES AND EXPENSES****(INCLUDING TRANSFER OF FUNDS)**

For necessary expenses of the Postal Regulatory Commission in carrying out the provisions of the Postal Accountability and Enhancement Act (Public Law 109–435), **[\$16,615,000]** **\$19,200,000**, to be derived by transfer from the Postal Service Fund and expended as authorized by section 603(a) of such Act. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 018–0200–0–1–372	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Postal Service Accountability	9	9	10
0002 Public Access and Participation	3	3	5
0003 Integration and Support	3	4	3
0004 Office of Inspector General	1	1	1
0900 Total new obligations, unexpired accounts	16	17	19

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1711 Transferred from other accounts [018–4020]	15	17	19
1930 Total budgetary resources available	16	17	19

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	16	17	19
3020 Outlays (gross)	–16	–17	–19

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	15	17	19
Outlays, gross:			
4010 Outlays from new discretionary authority	15	17	19
4011 Outlays from discretionary balances	1
4020 Outlays, gross (total)	16	17	19
4180 Budget authority, net (total)	15	17	19
4190 Outlays, net (total)	16	17	19

POSTAL REGULATORY COMMISSION—Continued

The Postal Regulatory Commission is an independent agency that has exercised regulatory oversight over the U.S. Postal Service since its creation by the Postal Reorganization Act of 1970. That oversight consisted primarily of conducting public, on-the-record hearings concerning proposed rates, mail classification, and major service changes, and recommended decisions for action to the Postal Service Board of Governors.

The Postal Accountability and Enhancement Act (PAEA, P.L. 109–435) assigned new responsibilities to the Commission, including providing regulatory oversight of the pricing of Postal Service products and services, ensuring Postal Service transparency and accountability, and serving as a forum to act on complaints with postal products and services. The Commission provides leadership and recommends policies that foster a robust and viable postal system.

Pursuant to P.L. 109–435, the 2021 appropriation request of the Commission is \$19,200,000. Section 603(a) of PAEA authorizes appropriations for the Commission out of the off-budget Postal Service Fund beginning in 2009. The authorization resulted in the reclassification of the Commission's spending from off-budget mandatory to off-budget discretionary.

Object Classification (in millions of dollars)

Identification code 018–0200–0–1–372	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	9	10	11
12.1 Civilian personnel benefits	3	3	3
23.2 Rental payments to others	2	2	2
25.1 Advisory and assistance services	1	2	2
99.0 Direct obligations	15	17	18
99.5 Adjustment for rounding	1		1
99.9 Total new obligations, unexpired accounts	16	17	19

Employment Summary

Identification code 018–0200–0–1–372	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	74	71	80

PRESIDIO TRUST

Federal Funds

PRESIDIO TRUST

【The Presidio Trust is authorized to issue obligations to the Secretary of the Treasury pursuant to section 104(d)(3) of the Omnibus Parks and Public Lands Management Act of 1996 (Public Law 104–333), in an amount not to exceed \$10,000,000.】 (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 512–4331–0–3–303	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Presidio Trust (Reimbursable)	168	162	152
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	67	135	145
Budget authority:			
Borrowing authority, discretionary:			
1300 Borrowing authority		10	
Spending authority from offsetting collections, discretionary:			
1700 Collected	223	175	165
1701 Change in uncollected payments, Federal sources	16	–12	–12
1726 Spending authority from offsetting collections applied to repay debt	–3	–1	–1
1750 Spending auth from offsetting collections, disc (total)	236	162	152
1900 Budget authority (total)	236	172	152
1930 Total budgetary resources available	303	307	297

1941	Memorandum (non-add) entries:			
	Unexpired unobligated balance, end of year	135	145	145
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	59	74	46
3010	New obligations, unexpired accounts	168	162	152
3020	Outlays (gross)	–153	–190	–163
3050	Unpaid obligations, end of year	74	46	35
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	–24	–40	–28
3070	Change in uncollected pymts, Fed sources, unexpired	–16	12	12
3090	Uncollected pymts, Fed sources, end of year	–40	–28	–16
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	35	34	18
3200	Obligated balance, end of year	34	18	19

Budget authority and outlays, net:

4000	Discretionary:			
	Budget authority, gross	236	172	152
	Outlays, gross:			
4010	Outlays from new discretionary authority	119	99	84
4011	Outlays from discretionary balances	34	91	79
4020	Outlays, gross (total)	153	190	163
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–13	–2	–2
4031	Interest on Federal securities	–7	–2	–2
4033	Non-Federal sources	–203	–171	–161
4040	Offsets against gross budget authority and outlays (total) ...	–223	–175	–165
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–16	12	12
4070	Budget authority, net (discretionary)	–3	9	–1
4080	Outlays, net (discretionary)	–70	15	–2
4180	Budget authority, net (total)	–3	9	–1
4190	Outlays, net (total)	–70	15	–2

Memorandum (non-add) entries:

5000	Total investments, SOF: Federal securities: Par value	96	161	100
5001	Total investments, EOY: Federal securities: Par value	161	100	80

The Presidio Trust (Trust) is a wholly-owned Government corporation established by the Omnibus Parks and Public Lands Management Act of 1996 (Public Law 104–333) to manage, improve, maintain and lease property in the Presidio of San Francisco and to operate the Presidio as a self-sustaining part of the national park system. The Trust has jurisdiction over 80% of the Presidio and has successfully converted the historic Army base into a thriving park community that funds current operations without annual appropriations. Funds to operate the park and its public programs come from lease revenues and other non-federally appropriated funding sources.

Object Classification (in millions of dollars)

Identification code 512–4331–0–3–303	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	34	35	38
12.1 Civilian personnel benefits	18	18	19
23.3 Communications, utilities, and miscellaneous charges	8	9	9
24.0 Printing and reproduction	1	1	1
25.2 Other services from non-Federal sources	74	64	50
26.0 Supplies and materials	5	5	5
31.0 Equipment	11	12	12
32.0 Land and structures	17	18	18
99.9 Total new obligations, unexpired accounts	168	162	152

Employment Summary

Identification code 512–4331–0–3–303	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	354	354	354

PRESIDIO TRUST GUARANTEED LOAN FINANCING ACCOUNT

Status of Guaranteed Loans (in millions of dollars)

Identification code 512-4332-0-3-303	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2121 Limitation available from carry-forward	200	200	200
2143 Uncommitted limitation carried forward	-200	-200	-200
2150 Total guaranteed loan commitments			

PRIVACY AND CIVIL LIBERTIES OVERSIGHT BOARD

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Privacy and Civil Liberties Oversight Board, as authorized by section 1061 of the Intelligence Reform and Terrorism Prevention Act of 2004 (42 U.S.C. 20006e), **[\$8,200,000.] \$8,500,000**, to remain available until September 30, **[2021] 2022**. (*Financial Services and General Government Appropriations Act, 2020*.)

Program and Financing (in millions of dollars)

Identification code 535-2724-0-1-054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and expenses	8	11	11
Budgetary resources:			
1000 Unobligated balance:			
Unobligated balance brought forward, Oct 1	8	5	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5	8	9
1930 Total budgetary resources available	13	13	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	2	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10	4	
3010 New obligations, unexpired accounts	8	11	11
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-9	-15	-9
3041 Recoveries of prior year unpaid obligations, expired	-7		
3050 Unpaid obligations, end of year	4		2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	10	4	
3200 Obligated balance, end of year	4		2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5	8	9
Outlays, gross:			
4010 Outlays from new discretionary authority		6	7
4011 Outlays from discretionary balances	9	9	2
4020 Outlays, gross (total)	9	15	9
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	2		
4070 Budget authority, net (discretionary)	5	8	9
4080 Outlays, net (discretionary)	7	15	9
4180 Budget authority, net (total)	5	8	9
4190 Outlays, net (total)	7	15	9

The Intelligence Reform and Terrorism Prevention Act of 2004 (IRTPA) created the Privacy and Civil Liberties Oversight Board (PCLOB). The IRTPA originally placed the Board within the Executive Office of the President. The Implementing Recommendations of the 9/11 Commission Act of 2007 reconstituted the Board as an independent oversight agency within the Executive Branch. All five members of the Board are nominated by the President and confirmed by the Senate for staggered six-year terms.

The Board has two main responsibilities: 1) to analyze and review actions the executive branch takes to protect the United States from terrorism, ensuring that the need for such actions is balanced with the need to protect privacy and civil liberties; and 2) to ensure that liberty concerns are appropriately considered in the development and implementation of laws, regulations, and policies related to efforts to protect the Nation against terrorism. The Board is required to report semi-annually on its operations to the U.S. Congress, as well as inform the public of its activities, as appropriate.

Object Classification (in millions of dollars)

Identification code 535-2724-0-1-054	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	2	5	5
11.3 Other than full-time permanent	1		
11.9 Total personnel compensation	3	5	5
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA		1	1
23.3 Communications, utilities, and miscellaneous charges		2	2
25.1 Advisory and assistance services	3		
25.3 Other goods and services from Federal sources		1	1
26.0 Supplies and materials	1	1	1
99.9 Total new obligations, unexpired accounts	8	11	11

Employment Summary

Identification code 535-2724-0-1-054	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	20	31	35

PUBLIC BUILDINGS REFORM BOARD

Federal Funds

PUBLIC BUILDINGS REFORM BOARD SALARIES AND EXPENSES

For salaries and expenses of the Public Buildings Reform Board in carrying out the Federal Assets Sale and Transfer Act of 2016 (Public Law 114-287), **\$3,500,000**, to remain available until expended.

Program and Financing (in millions of dollars)

Identification code 290-2860-0-1-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity		3	4
Budgetary resources:			
1000 Unobligated balance:			
Unobligated balance brought forward, Oct 1	5	5	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			4
1930 Total budgetary resources available	5	5	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	2	2
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		3	4
3020 Outlays (gross)		-3	-4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			4
Outlays, gross:			
4010 Outlays from new discretionary authority			4
4011 Outlays from discretionary balances		3	
4020 Outlays, gross (total)		3	4
4180 Budget authority, net (total)			4
4190 Outlays, net (total)		3	4

The Federal Assets Sale and Transfer Act of 2016 (Public Law 114-287), enacted in December 2016, authorizes the Public Buildings Reform Board.

PUBLIC BUILDINGS REFORM BOARD SALARIES AND EXPENSES—Continued

The role of the Board is to identify opportunities for the Government to significantly reduce its inventory of civilian real property and reduce cost to the Government, subject to approval by the Office of Management and Budget. By law, the Board sunsets in 2025.

Object Classification (in millions of dollars)

Identification code 290–2860–0–1–804	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent		1	1
25.1 Advisory and assistance services		2	3
99.9 Total new obligations, unexpired accounts		3	4

Employment Summary

Identification code 290–2860–0–1–804	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment		6	6

PUBLIC DEFENDER SERVICE FOR THE DISTRICT OF COLUMBIA

Federal Funds

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PUBLIC DEFENDER SERVICE

For salaries and expenses, including the transfer and hire of motor vehicles, of the District of Columbia Public Defender Service, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997, [§44,011,000, of which \$344,000 shall remain available until September 30, 2022 for costs associated with relocation under a replacement lease for headquarters offices, field offices, and related facilities] \$44,194,000: *Provided*, That notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of Federal agencies: *Provided further*, That the District of Columbia Public Defender Service may establish for employees of the District of Columbia Public Defender Service a program substantially similar to the program set forth in subchapter II of chapter 35 of title 5, United States Code, except that the maximum amount of the payment made under the program to any individual may not exceed the amount referred to in section 3523(b)(3)(B) of title 5, United States Code: *Provided further*, That for the purposes of engaging with, and receiving services from, Federal Franchise Fund Programs established in accordance with Section 403 of the Government Management Reform Act of 1994, as amended, the District of Columbia Public Defender Service shall be considered an agency of the United States Government. (District of Columbia Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 511–1733–0–1–754	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Public Defender Service	41	44	44
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		5	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	46	44	44
1930 Total budgetary resources available	46	49	49
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	5	5
3010 New obligations, unexpired accounts	41	44	44
3011 Obligations ("upward adjustments"), expired accounts	1	1	1
3020 Outlays (gross)	–42	–44	–44
3041 Recoveries of prior year unpaid obligations, expired	–1	–1	–1
3050 Unpaid obligations, end of year	5	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	5	5

3200 Obligated balance, end of year	5	5	5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	46	44	44
Outlays, gross:			
4010 Outlays from new discretionary authority	38	40	40
4011 Outlays from discretionary balances	4	4	4
4020 Outlays, gross (total)	42	44	44
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	–1		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1		
4070 Budget authority, net (discretionary)	46	44	44
4080 Outlays, net (discretionary)	41	44	44
4180 Budget authority, net (total)	46	44	44
4190 Outlays, net (total)	41	44	44

The Public Defender Service for the District of Columbia (PDS) is a federally funded, independent organization governed by an eleven-member Board of Trustees. PDS was created in 1970 by a Federal statute (P.L. 91–358; see also D.C. Code Sec. 2–1601, et seq.) to fulfill the constitutional mandate (under *Gideon v. Wainwright*) to provide criminal defense counsel for individuals who cannot afford to hire a lawyer. PDS's mission is to provide and promote quality legal representation for indigent adults and children facing a loss of liberty in the District of Columbia justice system and thereby protect society's interest in the fair administration of justice. PDS specializes in representation in the most complex and resource-intensive criminal and delinquency cases. PDS also represents individuals facing involuntary civil commitment in the District's mental health system and individuals facing parole revocation for D.C. Code offenses.

Object Classification (in millions of dollars)

Identification code 511–1733–0–1–754	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	23	24	25
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	24	25	26
12.1 Civilian personnel benefits	8	8	8
23.1 Rental payments to GSA	3	4	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	2	2	2
25.3 Other goods and services from Federal sources	1	1	1
25.7 Operation and maintenance of equipment	1	1	1
31.0 Equipment		1	
99.0 Direct obligations	41	44	44
99.9 Total new obligations, unexpired accounts	41	44	44

Employment Summary

Identification code 511–1733–0–1–754	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	210	205	213

PAYMENT TO PUERTO RICO OVERSIGHT BOARD

Federal Funds

PAYMENT TO PUERTO RICO OVERSIGHT BOARD

Special and Trust Fund Receipts (in millions of dollars)

Identification code 328–5619–0–2–806	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1110 Payment from Puerto Rico, Puerto Rico Oversight Board	65	58	58
2000 Total: Balances and receipts	65	58	58

Appropriations:				
Current law:				
2101	Payment to Puerto Rico Oversight Board	-65	-58	-58
5099	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 328-5619-0-2-806	2019 actual	2020 est.	2021 est.
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Obligations by program activity:				
0001	Payment to Oversight Board	65	58	58
0900	Total new obligations, unexpired accounts (object class 25.2)	65	58	58

Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	65	58	58
1930	Total budgetary resources available	65	58	58

Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	65	58	58
3020	Outlays (gross)	-65	-58	-58

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	65	58	58
Outlays, gross:				
4100	Outlays from new mandatory authority	65	58	58
4180	Budget authority, net (total)	65	58	58
4190	Outlays, net (total)	65	58	58

The Puerto Rico Oversight, Management, and Economic Stability Act (P.L. 114-187) created an oversight board that is not a department, agency, establishment, or instrumentality of the Federal Government but is an entity within the territorial government, which is not subject to the supervision or control of any Federal agency. See 42 U.S.C. 2121(c). Although the Board's financing is derived entirely from the territorial government, the flow of funds from the territory to the Board is mandated by Federal law. Because Federal law prescribes the flow of funds to the Board, the Budget reflects the allocation of resources by the territorial government to the new territorial entity with a net zero Federal deficit impact, consistent with long-standing budgetary concepts. Because the Board itself is not a Federal entity, its operations will not be included in the Federal Government's Budget. Data are presented here on a Puerto Rico fiscal year basis (July 1 to June 30).

RAILROAD RETIREMENT BOARD*Federal Funds***DUAL BENEFITS PAYMENTS ACCOUNT**

For payment to the Dual Benefits Payments Account, authorized under section 15(d) of the Railroad Retirement Act of 1974, **[\$16,000,000]** **\$13,000,000**, which shall include amounts becoming available in fiscal year **[2020]** **2021** pursuant to section 224(c)(1)(B) of Public Law 98-76; and in addition, an amount, not to exceed 2 percent of the amount provided herein, shall be available proportional to the amount by which the product of recipients and the average benefit received exceeds the amount available for payment of vested dual benefits: *Provided*, That the total amount provided herein shall be credited in 12 approximately equal amounts on the first day of each month in the fiscal year. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 060-0111-0-1-601	2019 actual	2020 est.	2021 est.
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Obligations by program activity:				
0001	Dual Benefits Payments Account (Direct)	17	16	13
0900	Total new obligations, unexpired accounts (object class 41.0)	17	16	13

Budgetary resources:

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	18	15	12
Appropriations, mandatory:				
1200	Appropriation	1	1	1
1900	Budget authority (total)	19	16	13
1930	Total budgetary resources available	19	16	13
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-2		

Change in obligated balance:

Unpaid obligations:				
3010	New obligations, unexpired accounts	17	16	13
3020	Outlays (gross)	-17	-16	-13

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	18	15	12
Outlays, gross:				
4010	Outlays from new discretionary authority	16	15	12
Mandatory:				
4090	Budget authority, gross	1	1	1
Outlays, gross:				
4100	Outlays from new mandatory authority	1	1	1
4180	Budget authority, net (total)	19	16	13
4190	Outlays, net (total)	17	16	13

This appropriation is a Federal subsidy to the rail industry pension for costs not financed by the railroad sector.

Established in conjunction with the Railroad Retirement Solvency Act of 1983, this account acts as a conduit for various financial transactions, such as interfund transfers and fund transfers from the Department of the Treasury.

FEDERAL PAYMENTS TO THE RAILROAD RETIREMENT ACCOUNTS

For payment to the accounts established in the Treasury for the payment of benefits under the Railroad Retirement Act for interest earned on unnegotiated checks, \$150,000, to remain available through September 30, **[2021]** **2022**, which shall be the maximum amount available for payment pursuant to section 417 of Public Law 98-76. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 060-0113-0-1-601	2019 actual	2020 est.	2021 est.
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Obligations by program activity:				
0001	Federal Payments to Railroad Retirement Accounts (Direct)	736	686	719
0900	Total new obligations, unexpired accounts (object class 42.0)	736	686	719

Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	736	686	719
1930	Total budgetary resources available	736	686	719

Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	736	686	719
3020	Outlays (gross)	-736	-686	-719

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	736	686	719
Outlays, gross:				
4100	Outlays from new mandatory authority	736	686	719
4180	Budget authority, net (total)	736	686	719
4190	Outlays, net (total)	736	686	719

This account funds interest on uncashed checks and the transfer of income taxes on Tier I and Tier II railroad retirement benefits.

RAILROAD UNEMPLOYMENT INSURANCE EXTENDED BENEFIT PAYMENTS

Program and Financing (in millions of dollars)

Identification code 060-0117-0-1-603	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	133	133	133
1930 Total budgetary resources available	133	133	133
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	133	133	133
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

This appropriation provides funding for extended unemployment benefits paid by the Railroad Retirement Board under the Worker, Homeownership, and Business Assistance Act of 2009 (P.L. 111-92), the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111-312), the Temporary Payroll Tax Cut Continuation Act (P.L. 112-78), the Middle Class Tax Relief and Job Creation Act of 2012 (P.L. 112-96).

RAILROAD UNEMPLOYMENT INSURANCE EXTENDED BENEFIT PAYMENTS, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 060-0114-0-1-603	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	9	9	9
1930 Total budgetary resources available	9	9	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	9	9	9
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

This appropriation provides funding for extended unemployment benefits paid by the Railroad Retirement Board under the American Recovery and Reinvestment Act of 2009 (P.L. 111-5).

Trust Funds

RAILROAD UNEMPLOYMENT INSURANCE TRUST FUND

Program and Financing (in millions of dollars)

Identification code 060-8051-0-7-603	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Railroad Unemployment Insurance Trust Fund (Direct)	112	150	140
0801 Railroad Unemployment Insurance Trust Fund (Reimbursable)	15	14	15
0900 Total new obligations, unexpired accounts	127	164	155
Budgetary resources:			
Unobligated balance:			
1033 Recoveries of prior year paid obligations	2		
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	17	17	17
1103 Appropriation (previously unavailable)(special or trust)	8		
1135 Appropriations precluded from obligation (special or trust)	-8		
1160 Appropriation, discretionary (total)	17	17	17
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	118	56	65
1203 Appropriation (unavailable balances)	101	77	58

1235 Appropriations precluded from obligation (special or trust)	-127		
1260 Appropriations, mandatory (total)	92	133	123
Spending authority from offsetting collections, discretionary:			
1700 Collected	1		
Spending authority from offsetting collections, mandatory:			
1800 Collected	15	14	15
1900 Budget authority (total)	125	164	155
1930 Total budgetary resources available	127	164	155

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	10	1
3010 New obligations, unexpired accounts	127	164	155
3020 Outlays (gross)	-123	-173	-155
3050 Unpaid obligations, end of year	10	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	10	1
3200 Obligated balance, end of year	10	1	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	18	17	17
Outlays, gross:			
4010 Outlays from new discretionary authority	16	17	17
4011 Outlays from discretionary balances	2		
4020 Outlays, gross (total)	18	17	17
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1		
Mandatory:			
4090 Budget authority, gross	107	147	138
Outlays, gross:			
4100 Outlays from new mandatory authority	89	147	138
4101 Outlays from mandatory balances	16	9	
4110 Outlays, gross (total)	105	156	138
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-17	-14	-15
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	2		
4160 Budget authority, net (mandatory)	92	133	123
4170 Outlays, net (mandatory)	88	142	123
4180 Budget authority, net (total)	109	150	140
4190 Outlays, net (total)	105	159	140

Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections	1	1	1
5092 Unexpired unavailable balance, EOY: Offsetting collections	1	1	1

The Board administers a separate fund for unemployment and sickness insurance payments. Administrative expenses are financed from employer unemployment taxes.

Object Classification (in millions of dollars)

Identification code 060-8051-0-7-603	2019 actual	2020 est.	2021 est.
42.0 Direct obligations: Benefit payments	112	150	140
99.0 Direct obligations	112	150	140
99.0 Reimbursable obligations	15	14	15
99.9 Total new obligations, unexpired accounts	127	164	155

RAIL INDUSTRY PENSION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 060-8011-0-7-601	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	234	302	450
Receipts:			
Current law:			
1110 Refunds, Rail Industry Pension Fund	-48	-139	-3
1110 Taxes, Rail Industry Pension Fund	3,307	3,361	3,383
1140 Interest and Profits on Investments in Public Debt Securities, Rail Industry Pension Fund	15	13	15

1140	Payment from the National Railroad Retirement Investment Trust, Rail Industry Pension Fund	1,794	1,890	1,589
1140	Federal Payments to Railroad Retirement Trust Funds, Rail Industry Pension Fund	471	403	413
1199	Total current law receipts	5,539	5,528	5,397
1999	Total receipts	5,539	5,528	5,397
2000	Total: Balances and receipts	5,773	5,830	5,847
Appropriations:				
Current law:				
2101	Rail Industry Pension Fund	-83	-84	-83
2101	Rail Industry Pension Fund	-5,457	-5,550	-5,398
2103	Rail Industry Pension Fund	-656	-656	-989
2135	Rail Industry Pension Fund	725	910	711
2199	Total current law appropriations	-5,471	-5,380	-5,759
2999	Total appropriations	-5,471	-5,380	-5,759
5099	Balance, end of year	302	450	88

Program and Financing (in millions of dollars)

Identification code 060-8011-0-7-601	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Rail Industry Pension Fund (Direct)	5,666	5,692	5,720
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			63
1033 Recoveries of prior year paid obligations	4		
1050 Unobligated balance (total)	4		63
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	83	84	83
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	5,457	5,550	5,398
1203 Appropriation (unavailable balances)	656	656	989
1220 Appropriations transferred to other acct [060-8010]			-22
1221 Appropriations transferred from other acct [060-8010]	191	375	
1235 Appropriations precluded from obligation (special or trust)	-725	-910	-711
1260 Appropriations, mandatory (total)	5,579	5,671	5,654
1900 Budget authority (total)	5,662	5,755	5,737
1930 Total budgetary resources available	5,666	5,755	5,800
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		63	80
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	422	450	23
3010 New obligations, unexpired accounts	5,666	5,692	5,720
3020 Outlays (gross)	-5,638	-6,119	-5,720
3050 Unpaid obligations, end of year	450	23	23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	422	450	23
3200 Obligated balance, end of year	450	23	23
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	83	84	83
Outlays, gross:			
4010 Outlays from new discretionary authority	83	84	83
Mandatory:			
4090 Budget authority, gross	5,579	5,671	5,654
Outlays, gross:			
4100 Outlays from new mandatory authority	5,367	5,608	5,637
4101 Outlays from mandatory balances	188	427	
4110 Outlays, gross (total)	5,555	6,035	5,637
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-4		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	4		
4160 Budget authority, net (mandatory)	5,579	5,671	5,654
4170 Outlays, net (mandatory)	5,551	6,035	5,637
4180 Budget authority, net (total)	5,662	5,755	5,737
4190 Outlays, net (total)	5,634	6,119	5,720

Memorandum (non-add) entries:

5000	Total investments, SOY: Federal securities: Par value	612	698	964
5001	Total investments, EOY: Federal securities: Par value	698	964	602

Railroad retirees generally receive the equivalent to a social security benefit and a rail industry pension collectively bargained like other private pension plans but embedded in Federal law. Approximately 8,000 individuals also receive a "windfall" benefit.

Status of Funds (in millions of dollars)

Identification code 060-8011-0-7-601	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	705	813	566
0999 Total balance, start of year	705	813	566
Cash income during the year:			
Current law:			
Receipts:			
1110 Refunds, Rail Industry Pension Fund	-48	-139	-3
1110 Taxes, Rail Industry Pension Fund	3,307	3,361	3,383
1130 Rail Industry Pension Fund	4		
1130 Limitation on the Office of Inspector General	1		
1130 Limitation on Administration	1		
1150 Interest and Profits on Investments in Public Debt Securities, Rail Industry Pension Fund	15	13	15
1160 Payment from the National Railroad Retirement Investment Trust, Rail Industry Pension Fund	1,794	1,890	1,589
1160 Federal Payments to Railroad Retirement Trust Funds, Rail Industry Pension Fund	471	403	413
1160 Limitation on the Office of Inspector General	12	12	12
1160 Limitation on Administration	156	147	150
1199 Income under present law	5,713	5,687	5,559
1999 Total cash income	5,713	5,687	5,559
Cash outgo during the year:			
Current law:			
2100 Rail Industry Pension Fund [Budget Acct]	-5,638	-6,119	-5,720
2100 Limitation on the Office of Inspector General [Budget Acct]	-11	-13	-12
2100 Limitation on Administration [Budget Acct]	-147	-177	-150
2199 Outgo under current law	-5,796	-6,309	-5,882
2999 Total cash outgo (-)	-5,796	-6,309	-5,882
Surplus or deficit:			
3110 Excluding interest	-98	-635	-338
3120 Interest	15	13	15
3199 Subtotal, surplus or deficit	-83	-622	-323
3230 Rail Industry Pension Fund			-22
3230 Rail Industry Pension Fund	191	375	
3230 Limitation on Administration	2		
3298 Adjustment to reconcile to proprietary accounting	-2		
3299 Total adjustments	191	375	-22
3999 Total change in fund balance	108	-247	-345
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year	115	-398	-381
4200 Rail Industry Pension Fund	698	964	602
4999 Total balance, end of year	813	566	221

Object Classification (in millions of dollars)

Identification code 060-8011-0-7-601	2019 actual	2020 est.	2021 est.
Direct obligations:			
42.0 Benefit payments	5,582	5,608	5,637
94.0 Financial transfers	84	84	83
99.9 Total new obligations, unexpired accounts	5,666	5,692	5,720

LIMITATION ON ADMINISTRATION

For necessary expenses for the Railroad Retirement Board ("Board") for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, **[\$123,500,000]** \$120,225,000, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund: *Provided*, That notwithstanding section 7(b)(9) of the Railroad Retirement Act this limitation may be used to

LIMITATION ON ADMINISTRATION—Continued

hire attorneys only through the excepted service: *Provided further*, That the previous proviso shall not change the status under Federal employment laws of any attorney hired by the Railroad Retirement Board prior to January 1, 2013: *Provided further*, That notwithstanding section 7(b)(9) of the Railroad Retirement Act, this limitation may be used to hire students attending qualifying educational institutions or individuals who have recently completed qualifying educational programs using current excepted hiring authorities established by the Office of Personnel Management: *Provided further*, That **[\$10,000,000] \$5,725,000**, to remain available until expended, shall be used to supplement, not supplant, existing resources devoted to operations and improvements for the Board's Information Technology Investment Initiatives. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 060-8237-0-7-601	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Rail Industry Pension Fund	77	81	79
0002 Railroad Social Security Equivalent Benefit	31	26	26
0003 Railroad Unemployment Insurance Trust Fund	16	16	15
0100 Subtotal, direct program	124	123	120
0799 Total direct obligations	124	123	120
0801 Medicare and other reimbursements	30	31	31
0900 Total new obligations, unexpired accounts	154	154	151
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	16	9
1012 Unobligated balance transfers between expired and unexpired accounts	2		
1050 Unobligated balance (total)	17	16	9
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	154	147	150
1900 Budget authority (total)	154	147	150
1930 Total budgetary resources available	171	163	159
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	16	9	8
Special and non-revolving trust funds:			
1951 Unobligated balance expiring	1		
1952 Expired unobligated balance, start of year	4	7	7
1953 Expired unobligated balance, end of year	6	7	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	29	33	10
3010 New obligations, unexpired accounts	154	154	151
3011 Obligations ("upward adjustments"), expired accounts	4		
3020 Outlays (gross)	-147	-177	-150
3041 Recoveries of prior year unpaid obligations, expired	-7		
3050 Unpaid obligations, end of year	33	10	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	29	33	10
3200 Obligated balance, end of year	33	10	11
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	154	147	150
Outlays, gross:			
4010 Outlays from new discretionary authority	126	147	150
4011 Outlays from discretionary balances	21	30	
4020 Outlays, gross (total)	147	177	150
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-156	-147	-150
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total)	-157	-147	-150
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	3		
4080 Outlays, net (discretionary)	-10	30	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-10	30	

The table below shows anticipated workloads.

	2018 actual	2019 actual	2020 est.	2021 est.
Pending, start of year	10,014	11,159	11,656	13,971
New Railroad Retirement applications	38,489	29,156	37,000	36,000
New Social Security certifications	3,393	3,617	4,000	4,000
Total dispositions (excluding partial awards)	40,737	32,276	38,685	39,651
Pending, end of year	11,159	11,656	13,971	14,319

As shown below, the Board projects this workload will continue to decline as the number of beneficiaries declines.

	1980 act.	1990 act.	2010 act.	2018 act.	2019 act.	2020 est.
Total beneficiaries	1,009,500	894,196	549,154	513,732	508,774	503,700

In recognition of the continuing decline in virtually all its major workloads, the Board will explore and adopt new approaches to improve service to beneficiaries.

The President's Budget includes three (3) legislative proposals: the first legislative proposal is to amend the Railroad Retirement Act to allow the Railroad Retirement Board (RRB) to utilize various hiring authorities available to other Federal agencies. Section 7(b)(9) of the Railroad Retirement Act contains language requiring that all employees of the RRB, except for one assistant for each Board Member, must be hired under the competitive civil service. Elimination of this requirement would enable the RRB to use various hiring authorities offered by the Office of Personnel Management; the second legislative proposal is to amend the Railroad Retirement Act to allow the Railroad Retirement Board to utilize student and recent graduate hiring authority available to other Federal agencies; lastly the third legislative proposal is to amend the Railroad Retirement Act and the Railroad Unemployment Insurance Act to include a felony charge for individuals committing fraud against the Agency. Under this proposal, both the Railroad Retirement Act and the Railroad Unemployment Insurance Act would be amended to include a felony charge similar to violations under 42 U.S.C. 408, 18 U.S.C. 1001, or 18 U.S.C. 287.

Object Classification (in millions of dollars)

Identification code 060-8237-0-7-601	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	62	57	55
11.3 Other than full-time permanent	1	2	1
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	65	61	58
12.1 Civilian personnel benefits	21	20	18
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	4	4	4
23.3 Communications, utilities, and miscellaneous charges	6	6	7
25.2 Other services from non-Federal sources	25	25	27
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	5	4
99.0 Direct obligations	124	123	120
99.0 Reimbursable obligations	30	31	31
99.9 Total new obligations, unexpired accounts	154	154	151

Employment Summary

Identification code 060-8237-0-7-601	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	695	626	572
2001 Reimbursable civilian full-time equivalent employment	104	100	98

NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST

Special and Trust Fund Receipts (in millions of dollars)

Identification code 060-8118-0-7-601	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	26,453	25,240	23,843
Receipts:			
Current law:			
1130 Gains and Losses on Non-Federal Securities, National Railroad Retirement Investment Trust	156	5	15
1130 Interest and Dividends on Non-Federal Securities, National Railroad Retirement Investment Trust	454	458	456

1140	Earnings on Investments in Federal Securities, National Railroad Retirement Investment Trust	30	30	30
1199	Total current law receipts	640	493	501
1999	Total receipts	640	493	501
2000	Total: Balances and receipts	27,093	25,733	24,344
	Appropriations:			
	Current law:			
2101	National Railroad Retirement Investment Trust	-1,853	-1,890	-1,589
5099	Balance, end of year	25,240	23,843	22,755

Program and Financing (in millions of dollars)

Identification code 060-8118-0-7-601	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 NRRIT expenses	1,853	1,890	1,589
0900 Total new obligations, unexpired accounts (object class 94.0)	1,853	1,890	1,589
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1,853	1,890	1,589
1930 Total budgetary resources available	1,853	1,890	1,589
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1,853	1,890	1,589
3020 Outlays (gross)	-1,853	-1,890	-1,589
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1,853	1,890	1,589
Outlays, gross:			
4100 Outlays from new mandatory authority	1,853	1,890	1,589
4180 Budget authority, net (total)	1,853	1,890	1,589
4190 Outlays, net (total)	1,853	1,890	1,589
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	884	831	832
5001 Total investments, EOY: Federal securities: Par value	831	832	792
5010 Total investments, SOY: non-Fed securities: Market value	25,589	24,422	23,071
5011 Total investments, EOY: non-Fed securities: Market value	24,422	23,071	21,949

The Trust manages and invests the funds of the Railroad Retirement System in private securities and U.S. Treasury Securities.

Status of Funds (in millions of dollars)

Identification code 060-8118-0-7-601	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	26,453	25,250	23,853
0298 Adjustment for Non-Federal securities, market value (one month lag)(means of financing)	-107		
0298 Adjustment for Beginning cash transfer	117		
0999 Total balance, start of year	26,463	25,250	23,853
Cash income during the year:			
Current law:			
Receipts:			
1150 Gains and Losses on Non-Federal Securities, National Railroad Retirement Investment Trust	156	5	15
1150 Earnings on Investments in Federal Securities, National Railroad Retirement Investment Trust	30	30	30
1150 Interest and Dividends on Non-Federal Securities, National Railroad Retirement Investment Trust	454	458	456
1199 Income under present law	640	493	501
1999 Total cash income	640	493	501
Cash outgo during year:			
Current law:			
2100 National Railroad Retirement Investment Trust [Budget Acct]	-1,853	-1,890	-1,589
2199 Outgo under current law	-1,853	-1,890	-1,589
2999 Total cash outgo (-)	-1,853	-1,890	-1,589
Surplus or deficit:			
3110 Excluding interest	-1,853	-1,890	-1,589
3120 Interest	640	493	501

3199	Subtotal, surplus or deficit	-1,213	-1,397	-1,088
3999	Total change in fund balance	-1,213	-1,397	-1,088
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year	24,419	23,021	21,973
4200	National Railroad Retirement Investment Trust	831	832	792
4999	Total balance, end of year	25,250	23,853	22,765

LIMITATION ON THE OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General for audit, investigatory and review activities, as authorized by the Inspector General Act of 1978, not more than **[\$11,000,000] \$11,499,000**, to be derived from the railroad retirement accounts and railroad unemployment insurance account. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 060-8018-0-7-601	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Rail Industry Pension Fund	7	7	7
0002 Railroad Social Security Equivalent Benefit	3	3	3
0003 Railroad Unemployment Insurance Trust	1	1	1
0100 Subtotal, direct program	11	11	11
0799 Total direct obligations	11	11	11
0801 Medicare and other reimbursements	1	1	1
0900 Total new obligations, unexpired accounts	12	12	12
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	12	12	12
1930 Total budgetary resources available	12	12	12
Memorandum (non-add) entries:			
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year	2	3	3
1953 Expired unobligated balance, end of year	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	3	2
3010 New obligations, unexpired accounts	12	12	12
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-11	-13	-12
3050 Unpaid obligations, end of year	3	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	3	2
3200 Obligated balance, end of year	3	2	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	12	12	12
Outlays, gross:			
4010 Outlays from new discretionary authority	9	12	12
4011 Outlays from discretionary balances	2	1	
4020 Outlays, gross (total)	11	13	12
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-12	-12	-12
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total)	-13	-12	-12
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1		
4080 Outlays, net (discretionary)	-2	1	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-2	1	

Object Classification (in millions of dollars)

Identification code 060-8018-0-7-601	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	6	6	6
12.1 Civilian personnel benefits	2	2	2
99.0 Direct obligations	8	8	8
99.0 Reimbursable obligations	1	1	1

LIMITATION ON THE OFFICE OF INSPECTOR GENERAL—Continued

Object Classification—Continued

Identification code 060-8018-0-7-601	2019 actual	2020 est.	2021 est.
99.5 Adjustment for rounding	3	3	3
99.9 Total new obligations, unexpired accounts	12	12	12

Employment Summary

Identification code 060-8018-0-7-601	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	46	46	46
2001 Reimbursable civilian full-time equivalent employment	8	8	8

RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 060-8010-0-7-601	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	624	548	382
0198 FY18 & Prior HI trust fund interest rate adjustment	63		
0199 Balance, start of year	687	548	382
Receipts:			
Current law:			
1110 Refunds, Railroad Social Security Equivalent Benefit Account	-43	-143	-3
1110 Railroad Social Security Equivalent Benefit Account, Taxes	2,912	3,076	3,147
1110 Railroad Social Security Equivalent Benefit Account, Receipts Transferred to Federal Hospital Insurance Trust Fund	-610	-586	-619
1140 Railroad Social Security Equivalent Benefit Account, Interest and Profits on Investments in Public Debt Securities	27	24	19
1140 Railroad Social Security Equivalent Benefit Account, Income Tax Credits	260	283	306
1140 Railroad Social Security Equivalent Benefit Account, Interest Transferred to Federal Hospital Insurance Trust Fund	-24	-23	-22
1140 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Old-age Survivors Ins. Trust Fund	4,880	5,164	4,875
1140 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Disability Insurance Trust Fund	66	118	76
1140 Advances from the General Fund for Financial Interchange Interest, Social Security Equivalent Benefit Account	5	5	5
1199 Total current law receipts	7,473	7,918	7,784
1999 Total receipts	7,473	7,918	7,784
2000 Total: Balances and receipts	8,160	8,466	8,166
Appropriations:			
Current law:			
2101 Railroad Social Security Equivalent Benefit Account	-33	-32	-31
2101 Railroad Social Security Equivalent Benefit Account	-7,505	-7,918	-7,785
2103 Railroad Social Security Equivalent Benefit Account	-1,122	-1,129	-962
2135 Railroad Social Security Equivalent Benefit Account	1,047	995	998
2199 Total current law appropriations	-7,613	-8,084	-7,780
2999 Total appropriations	-7,613	-8,084	-7,780
5098 Rounding adjustment	1		
5099 Balance, end of year	548	382	386

Program and Financing (in millions of dollars)

Identification code 060-8010-0-7-601	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Railroad Social Security Equivalent Benefit Account (Direct)	7,571	7,699	7,877
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	33	32	31
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	7,505	7,918	7,785
1203 Appropriation (previously unavailable)(special or trust)	1,122	1,129	962
1220 Appropriations transferred to other accts [060-8011]	-191	-375	
1221 Appropriations transferred from other acct [060-8011]			22
1235 Appropriations precluded from obligation (special or trust)	-1,047	-995	-998

1236 Appropriations applied to repay debt	-4,092	-4,337	-4,310
1260 Appropriations, mandatory (total)	3,297	3,340	3,461
Borrowing authority, mandatory:			
1400 New obligations, unexpired accounts	4,241	4,327	4,385
1900 Budget authority (total)	7,571	7,699	7,877
1930 Total budgetary resources available	7,571	7,699	7,877

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	587	609	41
3010 New obligations, unexpired accounts	7,571	7,699	7,877
3020 Outlays (gross)	-7,549	-8,267	-7,877
3050 Unpaid obligations, end of year	609	41	41
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	587	609	41
3200 Obligated balance, end of year	609	41	41

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	33	32	31
Outlays, gross:			
4010 Outlays from new discretionary authority	33	32	31
Mandatory:			
4090 Budget authority, gross	7,538	7,667	7,846
Outlays, gross:			
4100 Outlays from new mandatory authority	7,516	7,656	7,846
4101 Outlays from mandatory balances		579	
4110 Outlays, gross (total)	7,516	8,235	7,846
4180 Budget authority, net (total)	7,571	7,699	7,877
4190 Outlays, net (total)	7,549	8,267	7,877

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	1,057	1,092	962
5001 Total investments, EOY: Federal securities: Par value	1,092	962	980
5080 Outstanding debt, SOY	-3,725	-3,934	-3,924
5081 Outstanding debt, EOY	-3,934	-3,924	-3,999
5082 Borrowing	-4,301	-4,327	-4,385

All railroad retirees receive the equivalent of a social security benefit, and they may also receive other add-ons including rail industry pension payments, windfall payments, and supplemental annuities. Social security benefits for former railroad employees are funded by the social security trust funds, and rail industry pension payments are the responsibility of the rail sector.

Under current law, a financial interchange occurs once each year between the social security trust funds and the social security equivalent benefit (SSEB) account. SSEB receives monthly advances from the general fund equal to an estimate of the transfer SSEB would have received for the previous month if the financial interchange transfers were on a monthly basis. Advances from the previous year are repaid annually to the general fund immediately after the financial interchange is received. In 2019, \$4.301 million was advanced and \$4.093 million was repaid.

Status of Funds (in millions of dollars)

Identification code 060-8010-0-7-601	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	-2,603	-2,805	-3,529
0999 Total balance, start of year	-2,603	-2,805	-3,529
Cash income during the year:			
Current law:			
Receipts:			
1110 Refunds, Railroad Social Security Equivalent Benefit Account	-43	-143	-3
1110 Railroad Social Security Equivalent Benefit Account, Taxes	2,912	3,076	3,147
1110 Railroad Social Security Equivalent Benefit Account, Receipts Transferred to Federal Hospital Insurance Trust Fund	-610	-586	-619
1150 Railroad Social Security Equivalent Benefit Account, Interest and Profits on Investments in Public Debt Securities	27	24	19
1150 Railroad Social Security Equivalent Benefit Account, Interest Transferred to Federal Hospital Insurance Trust Fund	-24	-23	-22
1160 Railroad Social Security Equivalent Benefit Account, Income Tax Credits	260	283	306

1160	Railroad Social Security Equivalent Benefit Account, Receipts from Federal Old-age Survivors Ins. Trust Fund	4,880	5,164	4,875
1160	Railroad Social Security Equivalent Benefit Account, Receipts from Federal Disability Insurance Trust Fund	66	118	76
1160	Advances from the General Fund for Financial Interchange Interest, Social Security Equivalent Benefit Account	5	5	5
1199	Income under present law	7,473	7,918	7,784
1999	Total cash income	7,473	7,918	7,784
Cash outgo during year:				
Current law:				
2100	Railroad Social Security Equivalent Benefit Account [Budget Acct]	-7,549	-8,267	-7,877
2199	Outgo under current law	-7,549	-8,267	-7,877
2999	Total cash outgo (-)	-7,549	-8,267	-7,877
Surplus or deficit:				
3110	Excluding interest	-79	-350	-90
3120	Interest	3	1	-3
3199	Subtotal, surplus or deficit	-76	-349	-93
3230	Railroad Social Security Equivalent Benefit Account	-191	-375	22
3230	Railroad Social Security Equivalent Benefit Account			
3298	Rounding adjustment	2		
3298	FY18 & Prior HI trust fund interest rate adjustment	63		
3299	Total adjustments	-126	-375	22
3999	Total change in fund balance	-202	-724	-71
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year	-3,897	-4,491	-4,580
4200	Railroad Social Security Equivalent Benefit Account	1,092	962	980
4999	Total balance, end of year	-2,805	-3,529	-3,600

Object Classification (in millions of dollars)

Identification code 060-8010-0-7-601	2019 actual	2020 est.	2021 est.
Direct obligations:			
42.0 Benefit payments	7,167	7,294	7,846
94.0 Financial transfers	373	375	
94.0 Financial transfers	31	30	31
99.9 Total new obligations, unexpired accounts	7,571	7,699	7,877

SECURITIES AND EXCHANGE COMMISSION**Federal Funds****SALARIES AND EXPENSES**

For necessary expenses for the Securities and Exchange Commission, including services as authorized by 5 U.S.C. 3109, the rental of space (to include multiple year leases) in the District of Columbia and elsewhere, and not to exceed \$3,500 for official reception and representation expenses, **[\$1,815,000,000] \$1,894,835,000**, to remain available until expended; of which not less than **[\$15,662,000] \$16,312,272** shall be for the Office of Inspector General; of which not to exceed \$75,000 shall be available for a permanent secretariat for the International Organization of Securities Commissions; and of which not to exceed \$100,000 shall be available for expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, members of their delegations and staffs to exchange views concerning securities matters, such expenses to include necessary logistic and administrative expenses and the expenses of Commission staff and foreign invitees in attendance including: (1) incidental expenses such as meals; (2) travel and transportation; and (3) related lodging or subsistence.

In addition to the foregoing appropriation, for move, replication, and related costs associated with *relocation under a replacement lease for the Commission's [New York Regional Office facilities] Washington, DC headquarters*, not to exceed **[\$10,525,000] \$18,650,000**; and for move, replication, and related costs associated with *relocation under a replacement lease for the Commission's San Francisco Regional Office facilities*, not to exceed **\$12,677,000**, to remain available until expended.

For purposes of calculating the fee rate under section 31(j) of the Securities Exchange Act of 1934 (15 U.S.C. 78ee(j)) for fiscal year **[2020] 2021**, all amounts appropriated under this heading shall be deemed to be the regular appropriation to the Commission for fiscal year **[2020] 2021**: *Provided*, That fees and charges authorized by section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee) shall be credited to this account as offsetting collections: *Provided further*, That not

to exceed **[\$1,815,000,000] \$1,894,835,000** of such offsetting collections shall be available until expended for necessary expenses of this account and not to exceed **[\$10,525,000] \$18,650,000** of such offsetting collections shall be available until expended for move, replication, and related costs under this heading associated with *relocation under a replacement lease for the Commission's [New York Regional Office facilities] Washington, DC headquarters*; and not to exceed **\$12,677,000** of such offsetting collections shall be available until expended for move, replication, and related costs associated with *relocation under a replacement lease for the Commission's San Francisco Regional Office facilities*: *Provided further*, That the total amount appropriated under this heading from the general fund for fiscal year **[2020] 2021** shall be reduced as such offsetting fees are received so as to result in a final total fiscal year **[2020] 2021** appropriation from the general fund estimated at not more than \$0: *Provided further*, That if any amount of the appropriation for move, replication, and related costs associated with *relocation under a replacement lease for the Commission's [New York Regional Office facilities] Washington, DC headquarters* or any amount of the appropriation for costs associated with *relocation under a replacement lease for the Commission's San Francisco Regional Office* is subsequently de-obligated by the Commission, such amount that was derived from the general fund shall be returned to the general fund, and such amounts that were derived from fees or assessments collected for such purpose shall be paid to each national securities exchange and national securities association, respectively, in proportion to any fees or assessments paid by such national securities exchange or national securities association under section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee) in fiscal year **[2020] 2021**. (*Financial Services and General Government Appropriations Act, 2020*.)

Program and Financing (in millions of dollars)

Identification code 050-0100-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Enforcement	533	583	619
0002 Compliance Inspections and Examinations	385	421	447
0003 Corporation Finance	144	158	167
0004 Trading and Markets	84	92	98
0005 Investment Management	62	68	72
0006 Economic and Risk Analysis	72	79	84
0007 General Counsel	48	53	56
0008 Other Program Offices	76	83	88
0009 Agency Direction and Administrative Support	240	262	278
0010 Inspector General	16	18	19
0011 Relocation Costs		292	31
0900 Total new obligations, unexpired accounts	1,660	2,109	1,959

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	257	296	10
1021 Recoveries of prior year unpaid obligations	26	21	25
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	284	317	35
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	202		
1100 Appropriation [Relocation Costs]	37		
1160 Appropriation, discretionary (total)	239		
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,473	1,816	1,895
1700 Collected [Relocation Costs]		11	31
1750 Spending auth from offsetting collections, disc (total)	1,473	1,827	1,926
1900 Budget authority (total)	1,712	1,827	1,926
1901 Adjustment for new budget authority used to liquidate deficiencies	-40	-25	-2
1930 Total budgetary resources available	1,956	2,119	1,959
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	296	10	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	580	560	713
3010 New obligations, unexpired accounts	1,660	2,109	1,959
3020 Outlays (gross)	-1,654	-1,935	-2,039
3040 Recoveries of prior year unpaid obligations, unexpired	-26	-21	-25
3050 Unpaid obligations, end of year	560	713	608
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	580	560	713
3200 Obligated balance, end of year	560	713	608

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 050-0100-0-1-376	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,712	1,827	1,926
Outlays, gross:			
4010 Outlays from new discretionary authority	1,264	1,545	1,613
4011 Outlays from discretionary balances	390	390	426
4020 Outlays, gross (total)	1,654	1,935	2,039
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources		-1	
4034 Offsetting governmental collections	-1,474	-1,815	-1,895
4034 Offsetting governmental collections (Relocation Costs)		-11	-31
4040 Offsets against gross budget authority and outlays (total)	-1,474	-1,827	-1,926
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts	1		
4060 Additional offsets against budget authority only (total)	1		
4070 Budget authority, net (discretionary)	239		
4080 Outlays, net (discretionary)	180	108	113
4180 Budget authority, net (total)	239		
4190 Outlays, net (total)	180	108	113
Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections	6,549	6,549	6,549
5092 Unexpired unavailable balance, EOY: Offsetting collections	6,549	6,549	6,549
Unfunded deficiencies:			
7000 Unfunded deficiency, start of year	-68	-28	-3
Change in deficiency during the year:			
7012 Budgetary resources used to liquidate deficiencies	40	25	2
7020 Unfunded deficiency, end of year	-28	-3	-1

The primary mission of the Securities and Exchange Commission (SEC) is to: protect investors; maintain fair, orderly, and efficient markets; and facilitate capital formation. The SEC's six major programs include the following:

Enforcement.—The Division of Enforcement investigates and prosecutes civil violations of the Federal securities laws and works closely with the Department of Justice and other law enforcement partners to coordinate and assist in criminal prosecutions.

Compliance Inspections and Examinations.—The Office of Compliance Inspections and Examinations conducts the SEC's examination program to detect violations of the Federal securities laws and evaluate internal compliance controls at securities firms registered with the SEC.

Corporation Finance.—The Division of Corporation Finance selectively reviews company disclosures to ensure that investors have the information necessary to make informed investment decisions and to help deter fraud and misrepresentation in securities transactions.

Trading and Markets.—The Division of Trading and Markets' (TM) mission is to establish and maintain standards for fair, orderly, and efficient markets while fostering investor protection and confidence in the markets. TM oversees the activities of industry self-regulatory organizations, such as the Financial Industry Regulatory Authority, and directly regulates market participants where Commission rulemaking is more effective than self-regulation.

Investment Management.—The Division of Investment Management works to protect investors, promote informed investment decision making, and facilitate appropriate innovation in investment products and services through regulation of the asset management industry.

Economic and Risk Analysis.—The Division of Economic and Risk Analysis integrates financial economics and rigorous data analytics into the core mission of the SEC.

Several additional program offices directly support the major programs, including the Office of Investor Education and Advocacy, the Office of the Chief Accountant, and the Office of International Affairs.

The SEC is funded through offsetting fees and assessments collected pursuant to section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee) at a rate intended to fully offset our appropriation. The Budget proposes \$1.895 billion in collections to fund SEC operations in 2020.

In addition to \$1.895 billion in support of operations, the Budget proposes an amount for move, replication, and related costs associated with a replacement lease for the Commission's Washington, DC, headquarters, facilities. At this time, this amount is estimated at \$18.7 million. The budget also proposes an amount for move, replication, and related costs associated with relocation under a replacement lease for the Commission's San Francisco Reginoal Office facilities. At this time, this amount is estimated at \$12.7 million. These samounts would not be used for the operations of the SEC, and the proposed appropriations language provides a mechanism whereby any unused portion of these funds could be refunded to fee payers (or returned to the general fund of the Treasury) as rapidly as practicable.

Object Classification (in millions of dollars)

Identification code 050-0100-0-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	826	938	995
11.3 Other than full-time permanent	31		
11.5 Other personnel compensation	4	4	5
11.8 Special personal services payments	3	3	3
11.9 Total personnel compensation	864	945	1,003
12.1 Civilian personnel benefits	298	326	346
21.0 Travel and transportation of persons	10	11	12
23.1 Rental payments to GSA	30	325	66
23.2 Rental payments to others	21	23	24
23.3 Communications, utilities, and miscellaneous charges	16	18	19
24.0 Printing and reproduction	3	3	3
25.1 Advisory and assistance services	54	59	63
25.2 Other services from non-Federal sources	54	59	63
25.3 Other goods and services from Federal sources	49	54	57
25.4 Operation and maintenance of facilities	11	12	13
25.7 Operation and maintenance of equipment	228	250	265
26.0 Supplies and materials	1	1	1
31.0 Equipment	21	23	24
99.9 Total new obligations, unexpired accounts	1,660	2,109	1,959

Employment Summary

Identification code 050-0100-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	4,350	4,457	4,585

SECURITIES AND EXCHANGE COMMISSION RESERVE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 050-5566-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	6	6	6
Receipts:			
Current law:			
1110 Registration Fees, Securities and Exchange Commission Reserve Fund	50	50	50
2000 Total: Balances and receipts	56	56	56
Appropriations:			
Current law:			
2101 Securities and Exchange Commission Reserve Fund	-50	-50	-50
2103 Securities and Exchange Commission Reserve Fund	-5	-5	-5
2132 Securities and Exchange Commission Reserve Fund	5	5	
2199 Total current law appropriations	-50	-50	-55
2999 Total appropriations	-50	-50	-55
5099 Balance, end of year	6	6	1

Program and Financing (in millions of dollars)

Identification code 050-5566-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Enforcement	19	16	17
0002 Compliance Inspections and Examinations	18	15	15
0003 Corporation Finance	9	8	8
0004 Trading and Markets	2	2	2
0005 Investment Management	2	2	2
0006 Economic and Risk Analysis	1	1	1
0007 General Counsel	1	1	1
0008 Other Program Offices	2	1	2
0009 Agency Direction and Administrative Support	9	7	7
0900 Total new obligations, unexpired accounts	63	53	55

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	3	
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	16	3	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	50	50	50
1203 Appropriation (previously unavailable)(special or trust)	5	5	5
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-5	-5	
1260 Appropriations, mandatory (total)	50	50	55
1900 Budget authority (total)	50	50	55
1930 Total budgetary resources available	66	53	55
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	58	64	43
3010 New obligations, unexpired accounts	63	53	55
3020 Outlays (gross)	-56	-74	-55
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	64	43	43
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	58	64	43
3200 Obligated balance, end of year	64	43	43

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	50	50	55
Outlays, gross:			
4100 Outlays from new mandatory authority	8	22	22
4101 Outlays from mandatory balances	48	52	33
4110 Outlays, gross (total)	56	74	55
4180 Budget authority, net (total)	50	50	55
4190 Outlays, net (total)	56	74	55

Section 991 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203) (the Dodd-Frank Act) amended section 4 of the Securities Exchange Act of 1934 (15 U.S.C. 78d) to establish the Securities and Exchange Commission Reserve Fund. The Reserve Fund is a separate fund in the Treasury from which the Commission may obligate amounts determined necessary to carry out Commission functions. The Reserve Fund provisions took effect on October 1, 2011.

The Reserve Fund is funded by deposits from registration fees collected by the Commission under section 6(b) of the Securities Act of 1933 (15 U.S.C. 77f(b)) and section 24(f) of the Investment Company Act of 1940 (15 U.S.C. 80a-24(f)). In any one fiscal year, the amount deposited in the Reserve Fund may not exceed \$50 million and obligations from the Reserve Fund may not exceed \$100 million. The balance in the Reserve Fund may not exceed \$100 million. Amounts in the Reserve Fund are available until expended. (The remainder of registration fee collections for each fiscal year are deposited in the general fund of the Treasury and are not available for obligation by the Commission.)

Amounts collected and deposited in the Reserve Fund are not subject to appropriation or apportionment. However, the Commission is required to notify the Congress of the amount and purpose of any obligations made utilizing amounts from the Reserve Fund within 10 days.

The 2021 Budget proposes to eliminate the Reserve Fund in 2022. Registration fees currently deposited in the Reserve Fund would be redirected to the general fund of the Treasury.

Object Classification (in millions of dollars)

Identification code 050-5566-0-2-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	5	5	5
25.7 Operation and maintenance of equipment	16	9	10
31.0 Equipment	42	39	40
99.9 Total new obligations, unexpired accounts	63	53	55

INVESTOR PROTECTION FUND**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 050-5567-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	13	12	3
Receipts:			
Current law:			
1110 Monetary Sanctions, Investor Protection Fund	157		54
1140 Interest, Investor Protection Fund	7	10	7
1199 Total current law receipts	164	10	61
1999 Total receipts	164	10	61
2000 Total: Balances and receipts	177	22	64
Appropriations:			
Current law:			
2101 Investor Protection Fund	-163	-10	-61
2103 Investor Protection Fund	-12	-10	-1
2132 Investor Protection Fund	10	1	
2199 Total current law appropriations	-165	-19	-62
2999 Total appropriations	-165	-19	-62
5099 Balance, end of year	12	3	2

Program and Financing (in millions of dollars)

Identification code 050-5567-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Enforcement	61	93	93
0900 Total new obligations, unexpired accounts (object class 11.8)	61	93	93

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	299	403	329
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	163	10	61
1203 Appropriation (previously unavailable)(special or trust)	12	10	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-10	-1	
1260 Appropriations, mandatory (total)	165	19	62
1930 Total budgetary resources available	464	422	391
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	403	329	298

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	98	17	91
3010 New obligations, unexpired accounts	61	93	93
3020 Outlays (gross)	-142	-19	-62
3050 Unpaid obligations, end of year	17	91	122
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	98	17	91
3200 Obligated balance, end of year	17	91	122

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	165	19	62
Outlays, gross:			
4100 Outlays from new mandatory authority		9	1

INVESTOR PROTECTION FUND—Continued
Program and Financing—Continued

Identification code 050-5567-0-2-376	2019 actual	2020 est.	2021 est.
4101 Outlays from mandatory balances	142	10	61
4110 Outlays, gross (total)	142	19	62
4180 Budget authority, net (total)	165	19	62
4190 Outlays, net (total)	142	19	62
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	310	393	308
5001 Total investments, EOY: Federal securities: Par value	393	308	308

As part of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203) (the Dodd-Frank Act), the Congress substantially expanded the Securities and Exchange Commission's (SEC or Commission) authority to pay whistleblower awards and enhanced the anti-retaliation protections available to whistleblowers. The intent is to elicit high-quality tips by motivating persons with knowledge of possible securities laws violations to assist the Federal Government in identifying and prosecuting individuals who violate the Federal securities laws.

To comply with direction provided in the Dodd-Frank Act, the SEC's Division of Enforcement established an Office of the Whistleblower to administer and enforce the whistleblower award program. The Investor Protection Fund (the Fund), established by the Dodd-Frank Act, provides resources for payments to whistleblowers and for the SEC's Office of the Inspector General Employee Suggestion Program. Deposits into the Fund are comprised of a portion of monetary sanctions collected by the SEC in judicial or administrative actions brought by the Commission under the Federal securities laws that are not added to a disgorgement fund or other fund under section 308 of the Sarbanes-Oxley Act of 2002 (P.L. 107-204), as well as amounts in such funds that will not be distributed to injured investors. No sanction collected by the Commission can be deposited into the Fund if the balance at the time the sanction is collected exceeds \$300 million. No funds have been taken or withheld from harmed investors to pay whistleblower awards. The Commission is required to submit an annual report on the whistleblower award program to the Committee on Banking, Housing, and Urban Affairs of the Senate and the Committee on Financial Services of the House of Representatives.

The figures reported for 2020 and 2021 are based on assumptions regarding several variables inherent to litigation and to the Commission's whistleblower award process. Given the potential for significant variation in the payouts and their timing, it is possible that actual payouts will be either significantly higher or significantly lower than these estimates.

ADMINISTRATIVE PROVISION—SECURITIES AND EXCHANGE COMMISSION

[SEC. 530. Within one year of the enactment of this Act, the Securities and Exchange Commission shall submit to the Committees on Appropriations of the House of Representatives and the Senate, the Committee on Financial Services of the House of Representatives, and the Committee on Banking, Housing, and Urban Affairs of the Senate, a report concerning the Municipal Securities Rulemaking Board. The report shall detail:

(1) the Commission's legal authorities with respect to:

- (A) the composition of the board and the selection of board members; and
- (B) the compensation of board members and executive staff;

(2) whether board member and executive staff compensation is commensurate with that of State and local public finance officials, including State treasurers and municipal finance directors; and

(3) whether the current board member selection process ensures adequate representation of municipal securities stakeholders and accountability to local governments and municipal bondholders.]

(Financial Services and General Government Appropriations Act, 2020.)

SMITHSONIAN INSTITUTION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Smithsonian Institution, as authorized by law, including research in the fields of art, science, and history; development, preservation, and documentation of the National Collections; presentation of public exhibits and performances; collection, preparation, dissemination, and exchange of information and publications; conduct of education, training, and museum assistance programs; maintenance, alteration, operation, lease agreements of no more than 30 years, and protection of buildings, facilities, and approaches; not to exceed \$100,000 for services as authorized by 5 U.S.C. 3109; and purchase, rental, repair, and cleaning of uniforms for employees, **[\$793,658,000] \$820,313,000** to remain available until September 30, **[2021] 2022**, except as otherwise provided herein; of which not to exceed **[\$6,908,000] \$6,957,000** for the instrumentation program, collections acquisition, exhibition reinstallation, and the repatriation of skeletal remains program shall remain available until expended; and including such funds as may be necessary to support American overseas research centers: *Provided*, That funds appropriated herein are available for advance payments to independent contractors performing research services or participating in official Smithsonian presentations: *Provided further*, That the Smithsonian Institution may expend Federal appropriations designated in this Act for lease or rent payments, as rent payable to the Smithsonian Institution, and such rent payments may be deposited into the general trust funds of the Institution to be available as trust funds for expenses associated with the purchase of a portion of the building at 600 Maryland Avenue, S.W., Washington, D.C. to the extent that Federally supported activities will be housed there: *Provided further*, That the use of such amounts in the general trust funds of the Institution for such purpose shall not be construed as Federal debt service for, a Federal guarantee of, a transfer of risk to, or an obligation of the Federal Government: *Provided further*, That no appropriated funds may be used directly to service debt which is incurred to finance the costs of acquiring a portion of the building at 600 Maryland Avenue, S.W., Washington, D.C., or of planning, designing, and constructing improvements to such building: *Provided further*, That any agreement entered into by the Smithsonian Institution for the sale of its ownership interest, or any portion thereof, in such building so acquired may not take effect until the expiration of a 30 day period which begins on the date on which the Secretary submits to the Committees on Appropriations of the House of Representatives and Senate, the Committees on House Administration and Transportation and Infrastructure of the House of Representatives, and the Committee on Rules and Administration of the Senate a report, as outlined in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), on the intended sale. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 033-0100-0-1-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Public programs	55	62	64
0002 Exhibitions	58	58	61
0003 Collections	71	72	76
0004 Research	95	95	98
0005 Facilities	242	277	283
0006 Security & safety	83	88	91
0007 Information technology	47	49	50
0008 Operations	95	96	98
0799 Total direct obligations	746	797	821
0821 Salaries and Expenses (Reimbursable)	9	9	9
0900 Total new obligations, unexpired accounts	755	806	830
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	54	48	45
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	740	794	820
Spending authority from offsetting collections, discretionary:			
1700 Collected	8	9	9
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	9	9	9
1900 Budget authority (total)	749	803	829
1930 Total budgetary resources available	803	851	874
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	48	45	44

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	121	131
3010	New obligations, unexpired accounts	755	806
3020	Outlays (gross)	-744	-803
3041	Recoveries of prior year unpaid obligations, expired	-1	
3050	Unpaid obligations, end of year	131	134
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-6	-6
3070	Change in uncollected pymts, Fed sources, unexpired	-1	
3071	Change in uncollected pymts, Fed sources, expired	1	
3090	Uncollected pymts, Fed sources, end of year	-6	-6
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	115	125
3200	Obligated balance, end of year	125	128
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	749	803
Outlays, gross:			
4010	Outlays from new discretionary authority	608	675
4011	Outlays from discretionary balances	136	128
4020	Outlays, gross (total)	744	803
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources	-9	-9
4033	Non-Federal sources	-1	
4040	Offsets against gross budget authority and outlays (total)	-10	-9
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	-1	
4052	Offsetting collections credited to expired accounts	2	
4060	Additional offsets against budget authority only (total)	1	
4070	Budget authority, net (discretionary)	740	794
4080	Outlays, net (discretionary)	734	794
4180	Budget authority, net (total)	740	794
4190	Outlays, net (total)	734	794

The Smithsonian Institution conducts research in natural and physical sciences, history and the history of cultures, technology and the arts. The Institution acquires and preserves more than 155 million items of scientific, cultural, and historic importance for reference and study purposes. These resources may be accessed by millions of visitors and researchers worldwide either in person, or increasingly online. Smithsonian's public exhibitions delve into subjects from aeronautics to zoology.

The Institution operates 19 museums and galleries, a zoological park and animal conservation and research center, research facilities, and supporting facilities.

Included in the presentation of the Salaries and Expenses account are data for the Canal Zone biological area fund. Donations, subscriptions, and fees are appropriated and used to defray part of the expenses of maintaining and operating the Canal Zone biological area (60 Stat. 1101; 20 U.S.C. 79, 79a).

Object Classification (in millions of dollars)

Identification code 033-0100-0-1-503			
	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	322	335
11.3	Other than full-time permanent	4	4
11.5	Other personnel compensation	19	19
11.9	Total personnel compensation	345	358
12.1	Civilian personnel benefits	115	126
21.0	Travel and transportation of persons	6	6
22.0	Transportation of things	1	1
23.3	Rent, Communications, and Utilities	94	96
24.0	Printing and reproduction	1	1
25.2	Other services	141	164
26.0	Supplies and materials	20	21
31.0	Equipment	21	22
32.0	Land and structures	2	2
99.0	Direct obligations	746	797
99.0	Reimbursable obligations	9	9
99.9	Total new obligations, unexpired accounts	755	806

Employment Summary

Identification code 033-0100-0-1-503			
	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	4,174	4,261

FACILITIES CAPITAL

For necessary expenses of repair, revitalization, and alteration of facilities owned or occupied by the Smithsonian Institution, by contract or otherwise, as authorized by section 2 of the Act of August 22, 1949 (63 Stat. 623), and for construction, including necessary personnel, **[\$253,700,000]** \$290,000,000, to remain available until expended, of which not to exceed \$10,000 shall be for services as authorized by 5 U.S.C. 3109. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 033-0103-0-1-503			
	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010	Construction	3	5
0020	Revitalization	294	218
0030	Facilities planning and design	13	33
0900	Total new obligations, unexpired accounts	310	256

Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	36	30
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	304	254
1930	Total budgetary resources available	340	284
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	30	28

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	317	439
3010	New obligations, unexpired accounts	310	256
3020	Outlays (gross)	-188	-371
3050	Unpaid obligations, end of year	439	324
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	317	439
3200	Obligated balance, end of year	439	324

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	304	254
Outlays, gross:			
4010	Outlays from new discretionary authority	17	64
4011	Outlays from discretionary balances	171	307
4020	Outlays, gross (total)	188	371
4180	Budget authority, net (total)	304	254
4190	Outlays, net (total)	188	371

This account provides funding for major new construction projects to support the Smithsonian's existing and future programs in research, collections management, public exhibitions, and education. This account also includes major repairs, revitalization, code compliance changes, minor construction, alterations and modifications, and building system renewals of Smithsonian museum buildings and facilities for storage and conservation of collections, research, and support. The Facilities Capital account also includes planning and design funding related to these activities. The President's Budget for Fiscal Year 2021 includes funds for critical infrastructure improvements at the National Museum of Natural History, the National Zoological Park, and the National Museum of American History. In addition, funds are included for improvements to the Donald W. Reynolds Center, the Smithsonian Tropical Research Institute and Astrophysical Observatory and other important revitalization projects throughout the Institution. Current long-term projects in this account include the Suitland Collections Facility and renovations at the National Air and Space Museum

FACILITIES CAPITAL—Continued

facilities, the Smithsonian Castle and Arts and Industries Building and the Hirshhorn Museum and Sculpture Garden.

Object Classification (in millions of dollars)

Identification code 033-0103-0-1-503	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	5	5
12.1 Civilian personnel benefits	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	1	1	1
26.0 Supplies and materials	1	1	1
31.0 Equipment	15	15	15
32.0 Land and structures	286	231	261
99.9 Total new obligations, unexpired accounts	310	256	286

Employment Summary

Identification code 033-0103-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	48	48	48

JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS

OPERATIONS AND MAINTENANCE

For necessary expenses for the operation, maintenance and security of the John F. Kennedy Center for the Performing Arts, **[\$25,690,000]** \$26,400,000, to remain available until September 30, 2022. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 033-0302-0-1-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations and Maintenance, JFK Center for the Performing Arts (Direct)	24	26	26
Budgetary resources:			
1000 Unobligated balance:			
Unobligated balance brought forward, Oct 1	20	20	20
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	24	26	26
1900 Budget authority (total)	24	26	26
1930 Total budgetary resources available	44	46	46
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	20	20	20
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	6	4
3010 New obligations, unexpired accounts	24	26	26
3020 Outlays (gross)	-23	-28	-26
3050 Unpaid obligations, end of year	6	4	4
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-20	-20	-20
3090 Uncollected pymts, Fed sources, end of year	-20	-20	-20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-15	-14	-16
3200 Obligated balance, end of year	-14	-16	-16
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	24	26	26
Outlays, gross:			
4010 Outlays from new discretionary authority	18	21	21
4011 Outlays from discretionary balances	5	7	5
4020 Outlays, gross (total)	23	28	26
4180 Budget authority, net (total)	24	26	26
4190 Outlays, net (total)	23	28	26

This appropriation provides for the operating and maintenance expenses of the John F. Kennedy Center for the Performing Arts, including mainten-

ance, security, memorial interpretation, janitorial, short-term repair, and other services.

Object Classification (in millions of dollars)

Identification code 033-0302-0-1-503	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5	6	6
23.3 Communications, utilities, and miscellaneous charges	6	5	5
25.2 Other services from non-Federal sources	13	15	15
99.9 Total new obligations, unexpired accounts	24	26	26

Employment Summary

Identification code 033-0302-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	55	60	60

CAPITAL REPAIR AND RESTORATION

For necessary expenses for capital repair and restoration of the existing features of the building and site of the John F. Kennedy Center for the Performing Arts, **[\$17,800,000]** 14,000,000, to remain available until expended. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 033-0303-0-1-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Capital Repair and Restoration	12	18	14
0900 Total new obligations, unexpired accounts (object class 25.2)	12	18	14
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	21	26	26
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	17	18	14
1930 Total budgetary resources available	38	44	40
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	26	26	26
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8	7	8
3010 New obligations, unexpired accounts	12	18	14
3020 Outlays (gross)	-13	-17	-15
3050 Unpaid obligations, end of year	7	8	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8	7	8
3200 Obligated balance, end of year	7	8	7
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	17	18	14
Outlays, gross:			
4010 Outlays from new discretionary authority	6	11	8
4011 Outlays from discretionary balances	7	6	7
4020 Outlays, gross (total)	13	17	15
4180 Budget authority, net (total)	17	18	14
4190 Outlays, net (total)	13	17	15

This appropriation provides for the repair, restoration and renovation of the Kennedy Center building, including safety improvements and major repair of interior spaces, including access for persons with disabilities.

NATIONAL GALLERY OF ART

SALARIES AND EXPENSES

For the upkeep and operations of the National Gallery of Art, the protection and care of the works of art therein, and administrative expenses incident thereto, as authorized by the Act of March 24, 1937 (50 Stat. 51), as amended by the public

resolution of April 13, 1939 (Public Resolution 9, Seventy-sixth Congress), including services as authorized by 5 U.S.C. 3109; payment in advance when authorized by the treasurer of the Gallery for membership in library, museum, and art associations or societies whose publications or services are available to members only, or to members at a price lower than to the general public; purchase, repair, and cleaning of uniforms for guards, and uniforms, or allowances therefor, for other employees as authorized by law (5 U.S.C. 5901–5902); purchase or rental of devices and services for protecting buildings and contents thereof, and maintenance, alteration, improvement, and repair of buildings, approaches, and grounds; and purchase of services for restoration and repair of works of art for the National Gallery of Art by contracts made, without advertising, with individuals, firms, or organizations at such rates or prices and under such terms and conditions as the Gallery may deem proper, **[\$147,022,000] \$147,174,000**, to remain available until September 30, **[2021] 2022**, of which not to exceed **[\$3,660,000] \$3,700,000** for the special exhibition program shall remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 033–0200–0–1–503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and expenses	145	147	147
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	7	8
1021 Recoveries of prior year unpaid obligations	1	1	1
1050 Unobligated balance (total)	8	8	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	144	147	147
1930 Total budgetary resources available	152	155	156
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	8	9
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	34	42	32
3010 New obligations, unexpired accounts	145	147	147
3020 Outlays (gross)	–136	–156	–150
3040 Recoveries of prior year unpaid obligations, unexpired	–1	–1	–1
3050 Unpaid obligations, end of year	42	32	28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	34	42	32
3200 Obligated balance, end of year	42	32	28
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	144	147	147
Outlays, gross:			
4010 Outlays from new discretionary authority	109	123	123
4011 Outlays from discretionary balances	27	33	27
4020 Outlays, gross (total)	136	156	150
4180 Budget authority, net (total)	144	147	147
4190 Outlays, net (total)	136	156	150

The National Gallery of Art receives, holds, and administers works of art acquired for the Nation by the Gallery's board of trustees. It also maintains the Gallery buildings to give maximum care and protection to art treasures and to enable these works of art to be exhibited.

Object Classification (in millions of dollars)

Identification code 033–0200–0–1–503	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	61	73	66
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	5	3	4
11.9 Total personnel compensation	67	77	71
12.1 Civilian personnel benefits	22	24	24
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	6	11	11
25.2 Other services	24	19	22
25.4 Operation and maintenance of facilities	7	7	7
26.0 Supplies and materials	3	3	3

31.0 Equipment	10	3	6
32.0 Land and structures	3
99.9 Total new obligations, unexpired accounts	145	147	147

Employment Summary

Identification code 033–0200–0–1–503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	762	878	783

REPAIR, RESTORATION AND RENOVATION OF BUILDINGS

For necessary expenses of repair, restoration and renovation of buildings, grounds and facilities owned or occupied by the National Gallery of Art, by contract or otherwise, for operating lease agreements of no more than 10 years, with no extensions or renewals beyond the 10 years, that address space needs created by the ongoing renovations in the Master Facilities Plan, as authorized, **[\$26,203,000] \$14,413,000**, to remain available until expended: *Provided*, That of this amount, **[\$1,000,000] \$1,510,000** shall be available for design of an off-site art storage facility in partnership with the Smithsonian Institution: *Provided further*, That contracts awarded for environmental systems, protection systems, and exterior repair or renovation of buildings of the National Gallery of Art may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 033–0201–0–1–503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Repair, Restoration, and Renovation of Buildings	18	27	18
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	7	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	24	26	14
1930 Total budgetary resources available	25	33	20
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	6	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	43	55	31
3010 New obligations, unexpired accounts	18	27	18
3020 Outlays (gross)	–6	–51	–28
3050 Unpaid obligations, end of year	55	31	21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	43	55	31
3200 Obligated balance, end of year	55	31	21
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	24	26	14
Outlays, gross:			
4010 Outlays from new discretionary authority	3	1
4011 Outlays from discretionary balances	6	48	27
4020 Outlays, gross (total)	6	51	28
4180 Budget authority, net (total)	24	26	14
4190 Outlays, net (total)	6	51	28

This account encompasses repairs, alterations, and improvements; additions, renovations, and restorations of a long-term nature and utility; facilities planning and design, leases of space necessitated by such renovations, and the design and construction of an off-site art storage facility in partnership with the Smithsonian Institution. The funds are used to keep National Gallery of Art facilities in good repair and efficient operating condition.

Object Classification (in millions of dollars)

Identification code 033–0201–0–1–503	2019 actual	2020 est.	2021 est.
Direct obligations:			
23.2 Rental payments to others	5	6	6

REPAIR, RESTORATION AND RENOVATION OF BUILDINGS—Continued

Object Classification—Continued

Identification code 033-0201-0-1-503	2019 actual	2020 est.	2021 est.
32.0 Land and structures	13	21	12
99.9 Total new obligations, unexpired accounts	18	27	18

Employment Summary

Identification code 033-0201-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2	2	2

WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS

SALARIES AND EXPENSES

For expenses necessary in carrying out the provisions of the Woodrow Wilson Memorial Act of 1968 (82 Stat. 1356) including hire of passenger vehicles and services as authorized by 5 U.S.C. 3109, **[\$14,000,000]** \$8,211,000, to remain available until September 30, **[2021]** 2022. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 033-0400-0-1-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and expenses	11	14	8
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	12	14	8
1930 Total budgetary resources available	13	16	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	5	6
3010 New obligations, unexpired accounts	11	14	8
3020 Outlays (gross)	-11	-13	-10
3050 Unpaid obligations, end of year	5	6	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	5	6
3200 Obligated balance, end of year	5	6	4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	12	14	8
Outlays, gross:			
4010 Outlays from new discretionary authority	6	10	6
4011 Outlays from discretionary balances	5	3	4
4020 Outlays, gross (total)	11	13	10
4180 Budget authority, net (total)	12	14	8
4190 Outlays, net (total)	11	13	10

The Woodrow Wilson Center facilitates scholarship in the social sciences and humanities and communicates that scholarship to a wide audience within and beyond Washington, D.C. This is accomplished through a resident body of fellowship awardees, conferences, publication, and dialogue. The Budget proposes to eliminate funding for several independent agencies, including the Woodrow Wilson Center. The Budget provides \$8.211 million in FY 2021 to support an orderly transition to privately-funded operations.

Object Classification (in millions of dollars)

Identification code 033-0400-0-1-503	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	5	4
12.1 Civilian personnel benefits	2	2	2

25.2 Other services from non-Federal sources	3	4	2
41.0 Grants, subsidies, and contributions	2	3
99.9 Total new obligations, unexpired accounts	11	14	8

Employment Summary

Identification code 033-0400-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	34	47	41

STATE JUSTICE INSTITUTE

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the State Justice Institute, as authorized by the State Justice Institute Act of 1984 (42 U.S.C. 10701 et seq.) **[\$6,555,000]** \$8,000,000, of which \$500,000 shall remain available until September 30, **[2021]** 2022: *Provided*, That not to exceed \$2,250 shall be available for official reception and representation expenses: *Provided further*, That, for the purposes of section **[505]** 504 of this Act, the State Justice Institute shall be considered an agency of the United States Government. (*Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 453-0052-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	6	7	8
0900 Total new obligations, unexpired accounts (object class 41.0)	6	7	8
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6	7	8
1930 Total budgetary resources available	6	7	8
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	11	7
3010 New obligations, unexpired accounts	6	7	8
3020 Outlays (gross)	-4	-11	-7
3050 Unpaid obligations, end of year	11	7	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	11	7
3200 Obligated balance, end of year	11	7	8
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	6	7	8
Outlays, gross:			
4010 Outlays from new discretionary authority	1	1	1
4011 Outlays from discretionary balances	3	10	6
4020 Outlays, gross (total)	4	11	7
4180 Budget authority, net (total)	6	7	8
4190 Outlays, net (total)	4	11	7

The State Justice Institute (SJI) was established by Federal law (42 U.S.C. 10701 et seq.) as a non-profit corporation to award grants and undertake other activities to improve the quality of justice in State courts and foster innovative, efficient solutions to common issues faced by all courts. SJI has the authority to assist all State courts—criminal, civil, juvenile, family, and appellate—and the mandate to share the success of one State's innovations with every State court system and the Federal courts. The FY 2021 budget proposes a \$1.0 million enhancement to address the unique challenges of the opioid epidemic, mental health issues, and technology in state courts.

SURFACE TRANSPORTATION BOARD**Federal Funds****SALARIES AND EXPENSES**

For necessary expenses of the Surface Transportation Board, including services authorized by 5 U.S.C. 3109, **[\$37,100,000] \$37,500,000: Provided**, That notwithstanding any other provision of law, not to exceed \$1,250,000 from fees established by the **[Chairman of the]** Surface Transportation Board shall be credited to this appropriation as offsetting collections and used for necessary and authorized expenses under this heading: *Provided further*, That the sum herein appropriated from the general fund shall be reduced on a dollar-for-dollar basis as such offsetting collections are received during fiscal year **[2020] 2021**, to result in a final appropriation from the general fund estimated at no more than **[\$35,850,000] \$36,250,000. (Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.)**

Program and Financing (in millions of dollars)

Identification code 472-0301-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity - Rail Carriers	35	37	37
0100 Direct program activities, subtotal	35	37	37
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	36	36	36
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1	1
1900 Budget authority (total)	37	37	37
1930 Total budgetary resources available	37	37	37
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	7	8	4
3010 New obligations, unexpired accounts	35	37	37
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-34	-41	-37
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	8	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	7	8	4
3200 Obligated balance, end of year	8	4	4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	37	37	37
Outlays, gross:			
4010 Outlays from new discretionary authority	29	33	33
4011 Outlays from discretionary balances	5	8	4
4020 Outlays, gross (total)	34	41	37
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-1	-1	-1
4180 Budget authority, net (total)	36	36	36
4190 Outlays, net (total)	33	40	36

The Surface Transportation Board (STB or Board) is primarily charged with the economic oversight of the nation's freight rail system. The economics of freight rail regulation impact the national transportation network and are important to our nation's economy. For this reason, Congress gave the STB sole jurisdiction over railroad entry and exit licensing, mergers, and consolidations, exempting STB-approved transactions from federal antitrust laws and state and municipal laws. The Board also has exclusive authority to determine whether certain railroad rates and practices are reasonable.^[1] The bipartisan Board was established in 1996 as the successor agency to the Interstate Commerce Commission.^[2] The Board was administratively aligned with the Department of Transportation until the enactment of the Surface Transportation Board Reauthorization Act of 2015.^[3]

While the majority of the Board's work involves freight railroads, the Board also performs certain oversight of passenger rail matters, the intercity bus industry, non-energy pipelines, household goods carriers' tariffs, and rate regulation of non-contiguous domestic water transportation (marine freight shipping involving the mainland United States, Hawaii, Alaska, Puerto Rico, and other U.S. Territories and possessions).

Fiscal Year (FY) 2021 Program: The Board requests \$37,500,000 to carry out its mission as directed under the law. This includes a request for \$1,250,000 from offsetting collections of fees as a credit to the appropriation received, to the extent collected.

The STB's FY 2021 budget request would maintain current operational funding to meet its statutory responsibilities and continue meeting the needs of stakeholders and the public. The Board's non-personnel budget supports several information technology system and infrastructure maintenance and modernization efforts. Funding would also support continued improvements to the Board's cybersecurity program. The funding request also seeks resources to develop the STB's research and analytical capabilities to enhance the Board's evidence-based decision-making.

^[1] 49 U.S.C. 10101-11908.

^[2] ICC Termination Act of 1995, P.L. 101-88, 109 Stat. 803 (1995).

^[3] Surface Transportation Board Reauthorization Act of 2015, P.L. 114-110, 129 Stat. 2228 (2015).

Object Classification (in millions of dollars)

Identification code 472-0301-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	15	18	18
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	16	19	19
12.1 Civilian personnel benefits	5	6	6
23.1 Rental payments to GSA	4	4	3
25.2 Other services from non-Federal sources	5	4	5
25.3 Other goods and services from Federal sources	5	4	4
99.9 Total new obligations, unexpired accounts	35	37	37

Employment Summary

Identification code 472-0301-0-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	119	142	142

TENNESSEE VALLEY AUTHORITY**Federal Funds****TENNESSEE VALLEY AUTHORITY FUND****Program and Financing** (in millions of dollars)

Identification code 455-4110-0-3-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Power program: Operating expenses	8,507	7,917	7,749
0802 Power program: Capital expenditures	1,700	1,983	2,392
0803 Other Cash Items	29,017	24,760	27,565
0804 Non-Federal Investments	7,357	13,727	11,147
0809 Reimbursable program activities, subtotal	46,581	48,387	48,853
0900 Total new obligations, unexpired accounts	46,581	48,387	48,853
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4,858	7,243	7,583
1022 Capital transfer of unobligated balances to general fund	-6	-7	-7
1050 Unobligated balance (total)	4,852	7,236	7,576
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	671	928	1,311

TENNESSEE VALLEY AUTHORITY FUND—Continued
Program and Financing—Continued

Identification code 455-4110-0-3-999	2019 actual	2020 est.	2021 est.
Spending authority from offsetting collections, mandatory:			
1800 Collected	48,219	47,878	46,523
1801 Change in uncollected payments, Federal sources	82	-72
1850 Spending auth from offsetting collections, mand (total)	48,301	47,806	46,523
1900 Budget authority (total)	48,972	48,734	47,834
1930 Total budgetary resources available	53,824	55,970	55,410
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7,243	7,583	6,557
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,982	1,813	1,466
3010 New obligations, unexpired accounts	46,581	48,387	48,853
3020 Outlays (gross)	-46,750	-48,734	-47,834
3050 Unpaid obligations, end of year	1,813	1,466	2,485
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1,657	-1,739	-1,667
3070 Change in uncollected pymts, Fed sources, unexpired	-82	72
3090 Uncollected pymts, Fed sources, end of year	-1,739	-1,667	-1,667
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	325	74	-201
3200 Obligated balance, end of year	74	-201	818
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	48,972	48,734	47,834
Outlays, gross:			
4100 Outlays from new mandatory authority	46,752	47,834
4101 Outlays from mandatory balances	46,750	1,982
4110 Outlays, gross (total)	46,750	48,734	47,834
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-359	-2,000	-2,000
4121 Interest on Federal securities	-1
4123 Non-Federal sources	-47,859	-47,811	-46,285
4130 Offsets against gross budget authority and outlays (total)	-48,219	-49,811	-48,285
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-82	72
4160 Budget authority, net (mandatory)	671	-1,005	-451
4170 Outlays, net (mandatory)	-1,469	-1,077	-451
4180 Budget authority, net (total)	671	-1,005	-451
4190 Outlays, net (total)	-1,469	-1,077	-451
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	25	25	25
5001 Total investments, EOY: Federal securities: Par value	25	25	25
5010 Total investments, SOY: non-Fed securities: Market value	254	254	270
5011 Total investments, EOY: non-Fed securities: Market value	254	270	270

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	671	-1,005	-451
Outlays	-1,469	-1,077	-451
Legislative proposal, subject to PAYGO:			
Budget Authority	216
Outlays	216
Total:			
Budget Authority	671	-1,005	-235
Outlays	-1,469	-1,077	-235

Status of Direct Loans (in millions of dollars)

Identification code 455-4110-0-3-999	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	44	43	60
1231 Disbursements: Direct loan disbursements	9	25	25
1251 Repayments: Repayments and prepayments	-10	-8	-10
1290 Outstanding, end of year	43	60	75

The Tennessee Valley Authority (TVA) was created in 1933 as a government-owned corporation charged with the mission to improve the quality of life in the Tennessee Valley through the integrated management of the region's resources. The TVA Act sets forth the agency's purpose: to address the Valley's most important issues in energy, environmental stewardship, and economic development. TVA is currently self-funded, financing its operations almost entirely from revenues and power system financings.

TVA's Non-Power Programs.—TVA operates a series of 49 dams and 47 reservoirs to reduce the risk of flooding, enable year-round navigation, supply affordable and reliable electricity, improve water quality and water supply, provide recreational opportunities, stimulate economic growth, and provide other public benefits. TVA is responsible for stewardship activities within the Tennessee Valley that include: water release regulation; maintenance of dam machinery and spillway gates; modifications on nine main and four auxiliary navigation locks and associated mooring facilities; improvement of water quality and supply; management of shoreline erosion; regulation of shoreline development along the Tennessee River and its tributaries; planning and management of 293,000 acres of public land; and operation of public recreation areas. These services are funded entirely by TVA's power revenues and its user fees.

TVA's Power Program.—TVA supplies electric power to an area of 80,000 square miles covering parts of the seven Tennessee Valley states: Tennessee, Alabama, Mississippi, Kentucky, Georgia, North Carolina, and Virginia. Estimated income from power operations, net of interest charges, depreciation, and other operating expenses, is expected to be \$1.6 billion in 2021 on operating revenues of \$10.7 billion. Power generating facilities are financed from power revenues and power system financings. TVA's power system financings consist primarily of the sale of debt securities and secondarily of alternative forms of financing, such as lease arrangements.

TVA Policy Initiatives.—Currently, TVA is well-positioned to meet or exceed its \$22 billion debt objective by 2023, established during the 2014 planning cycle, due to the successful execution of cost-savings initiatives as well as strong financial performance to date. As a result, TVA has elected to forego an 2020 base rate action and does not anticipate implementing any near-term rate actions in order to achieve this 2023 debt goal. As part of the aforementioned cost-saving initiative, TVA established a goal of reducing annual operating costs by \$500 million relative to the 2013 budget. TVA exceeded this goal by approximately \$300 million, for a total reduction of \$800 million, and is committed to future continuous improvement initiatives. Additionally, TVA's rate position compared to peers has improved since embarking on the strategic debt reduction plan.

Strategic Financial Plan.—In August 2019, the TVA Board approved an annual budget that reflects the first year of a new Strategic Financial Plan. The Strategic Financial Plan, which extends from 2020 through 2030, is flexible in aligning customer preferences and TVA's mission while at the same time establishing a forecast of financial results. Key focus areas of the Strategic Financial Plan include (1) establishing better alignment between the length of local power company (LPC) contracts and TVA's long-term commitments, (2) stabilizing debt in an \$18-\$20 billion range, (3) maintaining rates as low as feasible, (4) maintaining current levels of cash, and (5) pursuing operational efficiencies.

Long-term power planning requires TVA to make long-term financial commitments. As of October 1, 2018, the weighted average length of the notice period under TVA's wholesale power contracts with LPCs was less than 7 years. TVA measures the alignment between its committed revenues and total obligations through a measure known as Net Portfolio Position. In order to better align customer contractual commitments with TVA's overall financial obligations, a long-term partnership proposal was made available to TVA's 154 LPCs on August 22, 2019, after Board approval. Under this long-term partnership proposal, LPCs that agree to contractual changes, which include a rolling 20-year term and a termination notice period of 20 years, will receive a long-term partner credit. That credit is currently 3.1% of wholesale standard service demand, energy, and grid

access charges. TVA's effective wholesale rate and annual revenues will decline as LPCs commit to becoming long-term partners, but TVA's overall financial health will improve through better alignment of customer contract terms with TVA's overall financial obligations. As part of the agreement, TVA has also committed to providing enhanced power supply flexibility for 3–5% of LPC energy by October 1, 2021, with pricing and planning considerations mutually agreeable between the LPC and TVA. As of December 31, 2019, 134 of the 154 LPCs served by TVA have signed the long-term partnership proposal, thus closing the gap between TVA's committed revenues and long-term obligations.

TVA continues to make decisions to move toward an optimized generation fleet as an important part of improving operational performance. TVA has been working for several years toward a more balanced portfolio to provide greater flexibility to generate cleaner, low-cost energy more efficiently from a variety of fuel sources.

During 2017, TVA began commercial operations of a new gas-fired facility at the Paradise Fossil Plant site. Following the completion of this facility, TVA retired Paradise coal-fired units 1 and 2 effective April 2017. The Board voted at its February 2019 meeting to retire Paradise Fossil Unit 3 by December 2020 and to retire Bull Run Fossil Plant by December 2023 in order to maintain a low-cost and efficient generating fleet. Subsequent to the Board approval, TVA determined that Paradise would not be restarted after January 2020 due to the plant's material condition. Current operations indicate the plant may continue to run into the second quarter of 2020. Moreover, as part of its efforts to maintain a well-balanced nuclear portfolio, TVA successfully implemented an extended power uprate project at all three units of the Browns Ferry Nuclear Plant by the end of 2019. As of September 30, 2019, physical work on all units was complete and the generation capacity is expected to increase 465 MW after sufficient run time to validate the new capacity.

Economic Development.—TVA is charged with providing the people of the Tennessee Valley region greater opportunities for prosperity. To that end, TVA works to foster capital investment and job growth in the Valley in collaboration with regional, state and local organizations. In fiscal year 2019, TVA worked in partnership with communities and the business sector to spur \$8.9 billion in capital investment in the Tennessee Valley region and helped attract and retain approximately 66,500 jobs.

Financing.—Amounts estimated to become available for TVA programs in 2021 are to be derived from operating revenues of \$10.7 billion. The outstanding balance of TVA's bonds, notes, and other evidences of indebtedness is limited by statute and cannot exceed \$30 billion. TVA's outstanding debt and debt-like obligations were \$22.8 billion at the beginning of 2020 and are estimated to be \$21.3 billion by the end of 2021. At the beginning of 2020, TVA had \$1.5 billion in debt-like obligations that are not counted against its statutory debt cap. In addition, TVA had an unfunded pension liability of \$5.3 billion as of September 30, 2019.

Operating results and financial conditions.—Payments to the Treasury from power proceeds in 2021 are estimated at a \$7 million return on the appropriation investment in the power program. Total capital spending for 2021 is estimated at \$2.4 billion, which in addition to new generation capacity includes approximately \$200 million for environmental projects and \$1.1 billion to maintain TVA's existing generation assets. Total government equity at September 30, 2021, is estimated to be \$1.6 billion more than that at September 30, 2020. This change includes the estimated net income from power operations and payments to the Treasury. As of September 30, 2019, the funding status of TVA employees' defined benefit pension plan (TVARS) was that of a 60% funding ratio and a \$5.3 billion unfunded liability. This compares to a 68% funding ratio and \$3.7 billion unfunded liability in 2018, and a 63% funding ratio and \$4.6 billion unfunded liability in 2017. The decrease in funding ratio and increase in unfunded liability in 2019 was caused by a decrease in the liability discount rate. TVA contributed \$300 million to TVARS, and incurred \$303 million in actuarial costs in 2019. TVA also made \$721 million in payments to beneficiaries and earned \$389 million, or a 5.5 percent rate of return, on the plan's invest-

ments in 2019. TVA is committed to meeting its obligations to current and future retirees and has worked with the TVARS Board in recent years to implement several significant changes to ensure the long-term health of the retirement system.

Balance Sheet (in millions of dollars)

Identification code 455–4110–0–3–999	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	46	46
Investments in U.S. securities:		
1106 Receivables, net	45	76
Non-Federal assets:		
1201 Investments in non-Federal securities, net	2,862	2,968
1206 Receivables, net	1,613	1,664
1207 Advances and prepayments	86	85
1601 Direct loans, gross	229	221
1603 Allowance for estimated uncollectible loans and interest (-)	-1	-1
1604 Direct loans and interest receivable, net	228	220
1605 Accounts receivable from foreclosed property		
1699 Value of assets related to direct loans	228	220
Other Federal assets:		
1801 Cash and other monetary assets	4,294	4,471
1802 Inventories and related properties	961	999
1803 Property, plant and equipment, net	35,413	35,133
1901 Regulatory assets due to pensions	3,119	4,756
1999 Total assets	48,667	50,418
LIABILITIES:		
2101 Federal liabilities: Accounts payable	143	156
Non-Federal liabilities:		
2201 Accounts payable	1,805	1,622
2202 Interest payable	305	296
2203 Debt, Alternative Financing	1,476	1,391
2203 Debt, Notes/Bonds	22,406	21,045
2204 Liabilities for loan guarantees		
2206 Pension and post-retirement benefits	4,150	5,832
2207 Other	8,100	8,451
2999 Total liabilities	38,385	38,793
NET POSITION:		
3300 Cumulative results of operations	10,282	11,625
4999 Total liabilities and net position	48,667	50,418

Object Classification (in millions of dollars)

Identification code 455–4110–0–3–999	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	970	1,056	1,145
11.5 Other personnel compensation	200	214	238
11.9 Total personnel compensation	1,170	1,270	1,383
12.1 Civilian personnel benefits	742	567	569
21.0 Travel and transportation of persons	33	24	21
22.0 Transportation of things	11	5	5
23.2 Rental payments to others	82	65	61
24.0 Printing and reproduction	3		
25.1 Advisory and assistance services	27	16	16
25.2 Other services from non-Federal sources	225	213	212
25.7 Operation and maintenance of equipment	1,857	1,690	1,871
26.0 Supplies and materials	985	1,378	1,332
31.0 Equipment	479	424	649
32.0 Land and structures	31	30	29
33.0 Investments and loans	40,903	42,671	42,671
41.0 Grants, subsidies, and contributions	32	34	34
42.0 Insurance claims and indemnities	1		
99.9 Total new obligations, unexpired accounts	46,581	48,387	48,853

Employment Summary

Identification code 455–4110–0–3–999	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	10,009	10,000	10,000

TENNESSEE VALLEY AUTHORITY FUND—Continued

TENNESSEE VALLEY AUTHORITY FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 455-4110-4-3-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0803 Other Cash Items			216
0900 Total new obligations, unexpired accounts (object class 43.0)			216
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected			216
1900 Budget authority (total)			216
1930 Total budgetary resources available			216
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			216
3020 Outlays (gross)			-216
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			216
Outlays, gross:			
4100 Outlays from new mandatory authority			216
4180 Budget authority, net (total)			216
4190 Outlays, net (total)			216

This proposal would authorize the Federal government to sell the transmission assets of the Tennessee Valley Authority, which operates and maintains over 16,000 circuit-miles of high voltage transmission lines and over 500 substations/switching stations.

U.S. AGENCY FOR GLOBAL MEDIA

Federal Funds

INTERNATIONAL BROADCASTING OPERATIONS

For necessary expenses to enable the United States Agency for Global Media (USAGM), as authorized, to carry out international communication activities, and to make and supervise grants for radio, Internet, and television broadcasting to the Middle East, **[\$798,696,000] \$632,732,000, of which \$31,637,000 shall remain available until September 30, 2022: Provided,** That in addition to amounts otherwise available for such purposes, up to **[\$40,708,000] \$32,782,000** of the amount appropriated under this heading may remain available until expended for satellite transmissions and Internet freedom programs, of which not less than **[\$20,000,000] \$9,500,000** shall be for Internet freedom programs: *Provided further,* That of the total amount appropriated under this heading, not to exceed \$35,000 may be used for representation expenses, of which \$10,000 may be used for such expenses within the United States as authorized, and not to exceed \$30,000 may be used for representation expenses of Radio Free Europe/Radio Liberty: *Provided further,* That the USAGM shall notify the Committees on Appropriations within 15 days of any determination by the USAGM that any of its broadcast entities, including its grantee organizations, provides an open platform for international terrorists or those who support international terrorism, or is in violation of the principles and standards set forth in subsections (a) and (b) of section 303 of the United States International Broadcasting Act of 1994 (22 U.S.C. 6202) or the entity's journalistic code of ethics: *Provided further,* That in addition to funds made available under this heading, and notwithstanding any other provision of law, up to \$5,000,000 in receipts from advertising and revenue from business ventures, up to \$500,000 in receipts from co-operating international organizations, and up to \$1,000,000 in receipts from privatization efforts of the Voice of America and the International Broadcasting Bureau, shall remain available until expended for carrying out authorized purposes: *Provided further,* That significant modifications to USAGM broadcast hours previously justified to Congress, including changes to transmission platforms (shortwave, medium wave, satellite, Internet, and television), for all USAGM language services shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further,* That up to \$7,000,000 from the USAGM Buying Power Maintenance account may be transferred to, and merged with, funds appropriated by this Act under the heading "International Broadcasting Operations", which shall remain

available until expended: *Provided further,* That such transfer authority is in addition to any transfer authority otherwise available under any other provision of law and shall be subject to [prior consultation with, and] the regular notification procedures of [the Committees on Appropriations: *Provided further,* That the USAGM may transfer to, and merge with, funds under the heading "International Broadcasting Surge Capacity Fund", pursuant to section 316 of the United States International Broadcasting Act of 1994 (22 U.S.C. 6216), for obligation or expenditure by the USAGM for surge capacity, any of the following: (1) unobligated balances of expired funds appropriated under the heading "International Broadcasting Operations" for fiscal year 2021, except for funds designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985 (2 U.S.C. 901(b)(2)(A)), at no later than the end of the fifth fiscal year after the last fiscal year for which such funds are available for their stated purposes; and (2) funds made available for surge capacity under this heading: *Provided further,* That any reference to the "Broadcasting Board of Governors" or "BBG", including in any account providing amounts to the Broadcasting Board of Governors, in any Act making appropriations for the Department of State, foreign operations, and related programs enacted before, on, or after the date of the enactment of this Act shall for this fiscal year, and any fiscal year thereafter, be construed to mean the "United States Agency for Global Media" or "USAGM", respectively. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 514-0206-0-1-154	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Broadcasting Board of Governors	806	797	631
0100 Subtotal, direct obligations	806	797	631
0801 International Broadcasting Operations (Reimbursable)	2	2	2
0900 Total new obligations, unexpired accounts	808	799	633
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	7	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	798	799	633
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	3	3
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	3	3	3
1900 Budget authority (total)	801	802	636
1930 Total budgetary resources available	816	809	646
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	7	10	13
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	175	164	127
3010 New obligations, unexpired accounts	808	799	633
3011 Obligations ("upward adjustments"), expired accounts	2	2	2
3020 Outlays (gross)	-812	-838	-663
3041 Recoveries of prior year unpaid obligations, expired	-9		
3050 Unpaid obligations, end of year	164	127	99
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-5	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3071 Change in uncollected pymts, Fed sources, expired	2		
3090 Uncollected pymts, Fed sources, end of year	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	170	160	123
3200 Obligated balance, end of year	160	123	95
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	801	802	636
Outlays, gross:			
4010 Outlays from new discretionary authority	666	674	535
4011 Outlays from discretionary balances	146	164	128
4020 Outlays, gross (total)	812	838	663
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-4	-8	-7
4040 Offsets against gross budget authority and outlays (total)	-4	-8	-7

Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-1		
4052	Offsetting collections credited to expired accounts	2	5	4
4060	Additional offsets against budget authority only (total)	1	5	4
4070	Budget authority, net (discretionary)	798	799	633
4080	Outlays, net (discretionary)	808	830	656
4180	Budget authority, net (total)	798	799	633
4190	Outlays, net (total)	808	830	656

This appropriation provides operational funding for: U.S. non-military; international media programs including the Voice of America; the Office of Cuba Broadcasting; the necessary engineering and technical needs for all U.S. international media; administrative support activities; and grants to Radio Free Europe/Radio Liberty, Radio Free Asia, Middle East Broadcasting Networks, and the Open Technology Fund.

Object Classification (in millions of dollars)

Identification code 514-0206-0-1-154	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	161	161	135
11.3 Other than full-time permanent	14	14	11
11.5 Other personnel compensation	12	12	9
11.9 Total personnel compensation	187	187	155
12.1 Civilian personnel benefits	55	55	36
13.0 Benefits for former personnel	2	2	2
21.0 Travel and transportation of persons	7	7	6
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	30	31	25
23.2 Rental payments to others	2	3	2
23.3 Communications, utilities, and miscellaneous charges	50	50	45
25.1 Advisory and assistance services	5	5	3
25.2 Other services from non-Federal sources	140	140	99
25.4 Operation and maintenance of facilities	5	5	4
25.7 Operation and maintenance of equipment	2	2	2
26.0 Supplies and materials	13	13	5
31.0 Equipment	17	17	14
41.0 Grants, subsidies, and contributions	291	280	233
42.0 Insurance claims and indemnities	1	1	1
99.0 Direct obligations	808	799	633
99.9 Total new obligations, unexpired accounts	808	799	633

Employment Summary

Identification code 514-0206-0-1-154	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,646	1,609	1,492

BROADCASTING CAPITAL IMPROVEMENTS

For the purchase, rent, construction, repair, preservation, and improvement of facilities for radio, television, and digital transmission and reception; the purchase, rent, and installation of necessary equipment for radio, television, and digital transmission and reception, including to Cuba, as authorized; and physical security worldwide, in addition to amounts otherwise available for such purposes, **[\$11,700,000] \$4,520,000**, to remain available until expended, as authorized, of which not less than \$2,000,000 shall be made available for emergency repairs to USAGM transmitting stations]. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 514-0204-0-1-154	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Upgrade of existing relay station capabilities	5	12	5
0192 Total direct obligations	5	12	5
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	14	19	19

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10	12	5
1930 Total budgetary resources available	24	31	24
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	19	19	19

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	6	6
3010 New obligations, unexpired accounts	5	12	5
3020 Outlays (gross)	-5	-12	-10
3050 Unpaid obligations, end of year	6	6	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	6	6
3200 Obligated balance, end of year	6	6	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	10	12	5
Outlays, gross:			
4010 Outlays from new discretionary authority	1	4	2
4011 Outlays from discretionary balances	4	8	8
4020 Outlays, gross (total)	5	12	10
4180 Budget authority, net (total)	10	12	5
4190 Outlays, net (total)	5	12	10

This account provides funding for certain costs of capital projects for the agency, including large-scale capital projects, and the preservation, construction, purchase, and maintenance and improvement of the United States Agency for Global Media's worldwide technology infrastructure. This activity funds the upgrade and replacement of transmission facilities and equipment to improve transmission quality, and includes digital media management, the conversion of program production and operations to a digital domain, broadcast disaster recovery, and infrastructure projects. Further activities include the continuing repairs and improvements required to maintain the global transmission and communications network, assessing and maintaining building and physical security requirements, the construction and maintenance of the Satellite Interconnect System (SIS), Television Receive Only (TVRO) earth stations, advanced data networks, and upgrading global satellite distribution and operations.

Object Classification (in millions of dollars)

Identification code 514-0204-0-1-154	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.4 Operation and maintenance of facilities	4	10	4
31.0 Equipment	1	2	1
99.9 Total new obligations, unexpired accounts	5	12	5

BUYING POWER MAINTENANCE

Program and Financing (in millions of dollars)

Identification code 514-1147-0-1-154	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	12	17	17
1012 Unobligated balance transfers between expired and unexpired accounts	5		
1050 Unobligated balance (total)	17	17	17
1930 Total budgetary resources available	17	17	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	17	17	17
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

This account provides funding to offset losses due to exchange rate and overseas wage and price fluctuations unanticipated in the President's Budget.

BUYING POWER MAINTENANCE—Continued

As authorized, gains due to fluctuations are deposited into this account to be available to offset future losses.

Trust Funds

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

Program and Financing (in millions of dollars)

Identification code 514–8285–0–7–602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	1		
0900 Total new obligations, unexpired accounts (object class 42.0)	1		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	5	5
1930 Total budgetary resources available	6	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	1
3010 New obligations, unexpired accounts	1		
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	1
3200 Obligated balance, end of year	1	1	1
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

This fund is maintained to pay separation costs for Foreign Service National employees of the United States Agency for Global Media in those countries in which such pay is legally authorized. The fund, as authorized by Public Law 102–138, and amended by Division G of P.L. 105–277, the Foreign Affairs Reform and Restructuring Act of 1998, is maintained by annual government contributions which are appropriated in the International Broadcasting Operations account.

UNITED STATES COURT OF APPEALS FOR VETERANS CLAIMS**Federal Funds**

SALARIES AND EXPENSES

[For necessary expenses for the operation of] *A total of \$38,900,000, of which \$35,613,491 will be used by the United States Court of Appeals for Veterans Claims for operations as authorized by sections 7251 through [7298] 7299 of title 38, United States Code [, \$35,400,000] (to include \$1,800,000 for costs associated with reconfiguring existing Court space): Provided, That [\$2,698,997] \$3,286,509 shall be [available for the purpose of providing financial assistance as described and] transferred to the Legal Services Corporation to facilitate the furnishing of legal and other assistance in accordance with the process and reporting procedures set forth under this heading in Public Law 102–229. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 345–0300–0–1–705	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses	33	35	39
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	35	35	39
1930 Total budgetary resources available	35	35	39
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–2		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	1
3010 New obligations, unexpired accounts	33	35	39
3020 Outlays (gross)	–33	–36	–38
3050 Unpaid obligations, end of year	2	1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	1
3200 Obligated balance, end of year	2	1	2

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	35	35	39
Outlays, gross:			
4010 Outlays from new discretionary authority	31	32	35
4011 Outlays from discretionary balances	2	4	3
4020 Outlays, gross (total)	33	36	38
4180 Budget authority, net (total)	35	35	39
4190 Outlays, net (total)	33	36	38

The United States Court of Appeals for Veterans Claims (Court) is a national court of record established by the Veterans Judicial Review Act (Public Law 100–687), Division A (1988) (Act). The Act, as amended, is codified in part at 38 U.S.C. 7251–7299. The Court is located in Washington, D.C., but as a national court may sit anywhere in the United States.

The Court is part of the Federal judicial system and has a permanent authorization for seven judges, one of whom serves as chief judge. Per Public Law 114–315, the Congress temporarily authorized expansion of the Court to nine active judges. Judges are appointed by the President, and with the advice and consent of the Senate, for 15-year terms. The Court is currently staffed with seven active judges, since two judges retired in December 2019. Upon retirement, a judge may choose to be recall eligible, and thus willing to be recalled to service by the chief judge. Currently eight of the Court's ten retired judges are recall eligible and are recalled to service on a rotational basis. Recall-eligible judges may elect full retirement at any time.

The Court has exclusive jurisdiction to review decisions made by the Department of Veterans Affairs Board of Veterans' Appeals (Board) that adversely affect a person's entitlement to Department of Veterans Affairs benefits. This judicial review, although specialized in scope, is the same as that performed by all other United States Courts of Appeals. In cases before it, the Court has the authority to decide all relevant questions of law; to interpret constitutional, statutory, and regulatory provisions; and to determine the meaning or applicability of actions/decisions by the Secretary of Veterans Affairs. The Court may affirm, set aside, reverse, or remand those decisions as appropriate. Additionally, the Court has class action authority, has jurisdiction under 28 U.S.C. 1651 to issue all writs necessary or appropriate in aid of its jurisdiction, and may act on applications under 28 U.S.C. 2412(d), the Equal Access to Justice Act. Certain decisions by the Court are reviewable by the United States Court of Appeals for the Federal Circuit and, if *certiorari* is granted, by the Supreme Court of the United States. For management, administration, and expenditure of funds in areas beyond the bounds of Chapter 72 of Title 38, the Court may exercise the authorities provided for such purposes applicable to other courts as defined in Title 28, U.S. Code.

In 1992, the Congress authorized the Court to transfer funds from its appropriation that year to the Legal Services Corporation (LSC), for the purpose of providing, facilitating, and furnishing legal and other assistance, through grant or contract, to veterans and others seeking recourse in the Court. That program, often referred to as the pro bono representation program, has been ongoing since that time, with LSC responsible for oversight and grant distribution responsibilities. The Appropriations Subcommittees consider LSC's budget request separately from the Court's budget request, although both are submitted together.

Object Classification (in millions of dollars)

Identification code 345–0300–0–1–705	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.3 Personnel compensation: Other than full-time permanent	14	16	17
12.1 Civilian personnel benefits	8	8	10
23.1 Rental payments to GSA	4	4	2
25.2 Other services from non-Federal sources	2	2	5
25.3 Other goods and services from Federal sources	1	1	1
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	3	3	3
99.9 Total new obligations, unexpired accounts	33	35	39

Employment Summary

Identification code 345–0300–0–1–705	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	118	134	137

Trust Funds

COURT OF APPEALS FOR VETERANS CLAIMS RETIREMENT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 345–8290–0–7–705	2019 actual	2020 est.	2021 est.
0100 Balance, start of year		1	2
Receipts:			
Current law:			
1140 Earnings on Investment, Court of Veterans Appeals Retirement Fund, LVE	1	1	1
1140 Employing Agency Contributions, Court of Appeals for Veterans Claims Retirement Fund	4	3	4
1199 Total current law receipts	5	4	5
1999 Total receipts	5	4	5
2000 Total: Balances and receipts	5	5	7
Appropriations:			
Current law:			
2101 Court of Appeals for Veterans Claims Retirement Fund	–4	–3	–4
5099 Balance, end of year	1	2	3

Program and Financing (in millions of dollars)

Identification code 345–8290–0–7–705	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Court of Appeals for Veterans Claims Retirement Fund	3	3	4
0900 Total new obligations, unexpired accounts (object class 42.0)	3	3	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	47	48	48
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	4	3	4
1930 Total budgetary resources available	51	51	52
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	48	48	48
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	1
3010 New obligations, unexpired accounts	3	3	4
3020 Outlays (gross)	–2	–3	–4
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	4	3	4
Outlays, gross:			
4100 Outlays from new mandatory authority	2	3	4
4180 Budget authority, net (total)	4	3	4

4190 Outlays, net (total)	2	3	4
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Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	46	45	49
5001 Total investments, EOY: Federal securities: Par value	45	49	53

The United States Court of Appeals for Veterans Claims Retirement Fund (Retirement Fund or Fund), established under 38 U.S.C. 7298, is used for judges' retired pay and for annuities, refunds, and allowances provided to surviving spouses and dependent children. Participating judges pay 1-percent of their salaries to cover creditable service for retired pay purposes and 2.2-percent of their salaries for survivor annuity purposes. Additional funds needed to cover the unfunded liability may be transferred to the Retirement Fund from the Court's annual appropriation. The Court's contribution to the Fund is estimated annually by an actuarial firm retained by the Court. The Fund is invested solely in government securities.

UNITED STATES ENRICHMENT CORPORATION FUND**Federal Funds**

UNITED STATES ENRICHMENT CORPORATION FUND

The unavailable collections currently in the United States Enrichment Corporation Fund shall be transferred to and merged with the Uranium Enrichment Decontamination and Decommissioning Fund and shall be available only to the extent provided in advance in appropriations Acts.

Program and Financing (in millions of dollars)

Identification code 486–4054–0–3–271	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1702 Offsetting collections (previously unavailable)			1,695
1710 Spending authority from offsetting collections transferred to other accounts [089–5231]			–1,695
Spending authority from offsetting collections, mandatory:			
1800 Collected	25	25	
1824 Spending authority from offsetting collections precluded from obligation (limitation on obligations)	–25	–25	
Budget authority and outlays, net:			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities	–25	–25	
4180 Budget authority, net (total)	–25	–25	
4190 Outlays, net (total)	–25	–25	
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	1,656	1,703	1,728
5001 Total investments, EOY: Federal securities: Par value	1,703	1,728	
5090 Unexpired unavailable balance, SOY: Offsetting collections	1,650	1,675	1,700
5092 Unexpired unavailable balance, EOY: Offsetting collections	1,675	1,700	5

UNITED STATES HOLOCAUST MEMORIAL MUSEUM**Federal Funds**

HOLOCAUST MEMORIAL MUSEUM

For expenses of the Holocaust Memorial Museum, as authorized by Public Law 106–292 (36 U.S.C. 2301–2310), \$60,388,000, of which \$715,000 shall remain available until September 30, [2022] 2023, for the Museum's equipment replacement program; and of which [\$2,000,000] \$3,000,000 for the Museum's repair and rehabilitation program and \$1,264,000 for the Museum's outreach initiatives program shall remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

HOLOCAUST MEMORIAL MUSEUM—Continued

Program and Financing (in millions of dollars)

Identification code 456-3300-0-1-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Holocaust Memorial Museum	55	60	60
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	13	17	17
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	59	60	60
1900 Budget authority (total)	59	60	60
1930 Total budgetary resources available	72	77	77
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	17	17	17
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	17	19	18
3010 New obligations, unexpired accounts	55	60	60
3011 Obligations ("upward adjustments"), expired accounts	1
3020 Outlays (gross)	-53	-61	-59
3041 Recoveries of prior year unpaid obligations, expired	-1
3050 Unpaid obligations, end of year	19	18	19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	17	19	18
3200 Obligated balance, end of year	19	18	19
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	59	60	60
Outlays, gross:			
4010 Outlays from new discretionary authority	41	46	45
4011 Outlays from discretionary balances	12	15	14
4020 Outlays, gross (total)	53	61	59
4180 Budget authority, net (total)	59	60	60
4190 Outlays, net (total)	53	61	59

The Museum is a living memorial to the victims of the Holocaust. As a public-private partnership, it teaches the history and lessons of the Holocaust—lessons about the fragility of societies, the nature of hate and the consequences of indifference.

Object Classification (in millions of dollars)

Identification code 456-3300-0-1-503	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	15	16	16
12.1 Civilian personnel benefits	5	7	7
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	1	2
23.3 Communications, utilities, and miscellaneous charges	3	3	3
24.0 Printing and reproduction	1	1	1
25.2 Other services from non-Federal sources	9	10	9
25.4 Operation and maintenance of facilities	16	17	17
26.0 Supplies and materials	1	1	1
31.0 Equipment	3	3	3
99.9 Total new obligations, unexpired accounts	55	60	60

Employment Summary

Identification code 456-3300-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	170	169	167

UNITED STATES INSTITUTE OF PEACE

Federal Funds

UNITED STATES INSTITUTE OF PEACE

For necessary expenses of the United States Institute of Peace, as authorized by the United States Institute of Peace Act (22 U.S.C. 4601 et seq.), **[\$45,000,000]** \$15,740,000, to remain available until September 30, **[2021]** 2022,

which shall not be used for construction activities: *Provided, That the United States Institute of Peace is authorized to accept and use donations to carry out the work of the United States Institute of Peace: Provided further, That such donations accepted by the United States Institute of Peace shall be available until expended: Provided further, That the United States Institute of Peace may not accept donations from any foreign government: Provided further, That the United States Institute of Peace shall ensure, to the maximum extent practicable, that each interagency agreement that it enters into provides for the full costs, including personnel costs, of the work associated with each agreement.*

(Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 458-1300-0-1-153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating Expenses (Direct)	39	45	16
0801 Operating Expenses (Reimbursable)	30	27	35
0900 Total new obligations, unexpired accounts	69	72	51
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	53	37
1021 Recoveries of prior year unpaid obligations	4	1	1
1050 Unobligated balance (total)	5	54	38
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	39	45	16
Spending authority from offsetting collections, discretionary:			
1700 Collected	19	10	1
1701 Change in uncollected payments, Federal sources	59
1750 Spending auth from offsetting collections, disc (total)	78	10	1
1900 Budget authority (total)	117	55	17
1930 Total budgetary resources available	122	109	55
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	53	37	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	25	28	39
3010 New obligations, unexpired accounts	69	72	51
3011 Obligations ("upward adjustments"), expired accounts	4
3020 Outlays (gross)	-64	-60	-34
3040 Recoveries of prior year unpaid obligations, unexpired	-4	-1	-1
3041 Recoveries of prior year unpaid obligations, expired	-2
3050 Unpaid obligations, end of year	28	39	55
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-45	-63	-63
3070 Change in uncollected pymts, Fed sources, unexpired	-59
3071 Change in uncollected pymts, Fed sources, expired	41
3090 Uncollected pymts, Fed sources, end of year	-63	-63	-63
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-20	-35	-24
3200 Obligated balance, end of year	-35	-24	-8
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	117	55	17
Outlays, gross:			
4010 Outlays from new discretionary authority	45	35	14
4011 Outlays from discretionary balances	19	25	20
4020 Outlays, gross (total)	64	60	34
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-28	-10
4033 Non-Federal sources	-1
4040 Offsets against gross budget authority and outlays (total)	-28	-10	-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-59
4052 Offsetting collections credited to expired accounts	9
4060 Additional offsets against budget authority only (total)	-50
4070 Budget authority, net (discretionary)	39	45	16
4080 Outlays, net (discretionary)	36	50	33
4180 Budget authority, net (total)	39	45	16
4190 Outlays, net (total)	36	50	33

Created by Congress in 1984, the United States Institute of Peace (USIP) is an independent, nonpartisan institution charged with increasing the nation's capacity to prevent, mitigate, and help resolve international conflict without violence. The Budget proposes to reduce Federal funding for USIP, given its status as an independent nonprofit organization outside the Federal Government, and provides \$16 million to support USIP's core operations and maintenance funding in FY 2021. The Budget assumes that USIP would need to compete for more funding through interagency agreements with other Federal agencies and private donations, rather than rely on its direct appropriation as its primary funding source.

Object Classification (in millions of dollars)

Identification code 458-1300-0-1-153	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments	12	12	9
12.1 Civilian personnel benefits	3	5	3
21.0 Travel and transportation of persons	2	3	1
25.2 Other services from non-Federal sources	19	19	1
41.0 Grants, subsidies, and contributions	3	3	2
99.0 Direct obligations	39	42	16
99.0 Reimbursable obligations	30	30	35
99.9 Total new obligations, unexpired accounts	69	72	51

**UNITED STATES INTERAGENCY COUNCIL ON
HOMELESSNESS****Federal Funds****OPERATING EXPENSES**

For necessary expenses (including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference rooms, and the employment of experts and consultants under section 3109 of title 5, United States Code) of the United States Interagency Council on Homelessness in carrying out the functions pursuant to title II of the McKinney-Vento Homeless Assistance Act, as amended, \$3,800,000, to remain available until September 30, 2021. (Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 376-1300-0-1-808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Operations	4	4	4
0900 Total new obligations, unexpired accounts	4	4	4
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4	4	4
1930 Total budgetary resources available	4	4	4
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	4	4	4
3020 Outlays (gross)	-4	-4	-4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority	3	4	4
4011 Outlays from discretionary balances	1		
4020 Outlays, gross (total)	4	4	4
4180 Budget authority, net (total)	4	4	4
4190 Outlays, net (total)	4	4	4

The United States Interagency Council on Homelessness (USICH) is an independent Executive Branch agency whose mission is to coordinate the Federal response to homelessness and to create a national partnership at

every level of government and with the private sector to prevent and end homelessness. The Budget proposes \$3.8 million for USICH.

Object Classification (in millions of dollars)

Identification code 376-1300-0-1-808	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	2	2	2
99.5 Adjustment for rounding	2	2	2
99.9 Total new obligations, unexpired accounts	4	4	4

Employment Summary

Identification code 376-1300-0-1-808	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	18	18	18

VIETNAM EDUCATION FOUNDATION**Federal Funds****VIETNAM DEBT REPAYMENT FUND****Special and Trust Fund Receipts** (in millions of dollars)

Identification code 519-5365-0-2-154	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	5	5
Receipts:			
Current law:			
1140 Transfers from Liquidating Accounts, Vietnam Debt Repayment Fund	4		
2000 Total: Balances and receipts	5	5	5
5099 Balance, end of year	5	5	5

Program and Financing (in millions of dollars)

Identification code 519-5365-0-2-154	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	10	10
1930 Total budgetary resources available	10	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	10	10
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The Vietnam Education Foundation Act of 2000 (Title II of Public Law 106-554) created the Vietnam Education Foundation (VEF) to administer an international fellowship program under which Vietnamese nationals can undertake graduate and post-graduate level studies in the United States in the sciences (natural, physical, and environmental), mathematics, medicine, and technology, and American citizens can teach in these fields in appropriate Vietnamese institutions of higher education. The Act also authorized the establishment of the Vietnam Debt Repayment Fund, in which all payments (including interest payments) made by the Socialist Republic of Vietnam under the United States-Vietnam debt agreement shall be deposited as offsetting receipts. Beginning in 2002, and in each subsequent year through 2018, \$5 million of the amounts deposited into the fund from USDA and USAID shall be available to VEF for operations and fellowship programs. Beginning in 2015, and in each subsequent year through 2018, the remaining amounts deposited into the fund from USDA and USAID shall be available to support the establishment of an independent, not-for-profit academic institution in the Socialist Republic of Vietnam. In accordance with the legislation governing VEF's operations, VEF is due to sunset in 2018. This schedule reflects the spend-out of prior year funds.

FEDERALLY CREATED NON-FEDERAL ENTITIES

The majority of budgetary accounts are associated with departments or other entities that are clearly Federal agencies. In other cases, budgetary accounts reflect a measure of Governmental activity in the economy, though the activity may have no direct relationship with the United States Treasury. Federally created non-Federal entities may be in the Budget because they were created by Federal law, they have some measure of regulatory or other authority conferred to them by law, or because they serve a public good directed by the Government. The following accounts are each deemed to be budgetary and fulfill the goal of presenting a Budget that is comprehensive of the full range of Federal activities.

AFFORDABLE HOUSING PROGRAM

Federal Funds

AFFORDABLE HOUSING PROGRAM

Special and Trust Fund Receipts (in millions of dollars)

Identification code 530-5528-0-2-604	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			21
Receipts:			
Current law:			
1110 Contributions, Federal Home Loan Banks, Affordable Housing Program	352	352	352
2000 Total: Balances and receipts	352	352	373
Appropriations:			
Current law:			
2101 Affordable Housing Program	-352	-352	-352
2132 Affordable Housing Program		21	
2199 Total current law appropriations	-352	-331	-352
2999 Total appropriations	-352	-331	-352
5099 Balance, end of year		21	21

Program and Financing (in millions of dollars)

Identification code 530-5528-0-2-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Affordable Housing Program (Direct)	352	331	352
0900 Total new obligations, unexpired accounts (object class 41.0)	352	331	352
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	352	352	352
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced		-21	
1260 Appropriations, mandatory (total)	352	331	352
1930 Total budgetary resources available	352	331	352
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	352	331	352
3020 Outlays (gross)	-352	-331	-352
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	352	331	352
Outlays, gross:			
4100 Outlays from new mandatory authority	352	331	352
4180 Budget authority, net (total)	352	331	352
4190 Outlays, net (total)	352	331	352

The Affordable Housing Program was created by the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA). FIRREA requires each of the Federal Home Loan Banks to contribute 10-percent of its previous year's net earnings to an Affordable Housing Program (AHP) to be used to subsidize the cost of affordable homeownership and rental

housing. The Federal Housing Finance Agency (FHFA) regulates the AHP and ensures that the AHP fulfills its mission.

CORPORATION FOR TRAVEL PROMOTION

Federal Funds

TRAVEL PROMOTION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 580-5585-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	412	470	640
Receipts:			
Current law:			
1110 Fees, Travel Promotion Fund	152	264	269
2000 Total: Balances and receipts	564	734	909
Appropriations:			
Current law:			
2101 Travel Promotion Fund	-100	-100	-100
2132 Travel Promotion Fund	6	6	
2199 Total current law appropriations	-94	-94	-100
Proposed:			
2201 Travel Promotion Fund			100
2999 Total appropriations	-94	-94	
5099 Balance, end of year	470	640	909

Program and Financing (in millions of dollars)

Identification code 580-5585-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Travel Promotion Fund	94	94	100
0900 Total new obligations, unexpired accounts (object class 41.0)	94	94	100
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	100	100	100
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-6	-6	
1260 Appropriations, mandatory (total)	94	94	100
1930 Total budgetary resources available	94	94	100
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	
3010 New obligations, unexpired accounts	94	94	100
3020 Outlays (gross)	-93	-95	-100
3050 Unpaid obligations, end of year	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	
3200 Obligated balance, end of year	1		
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	94	94	100
Outlays, gross:			
4100 Outlays from new mandatory authority	93	94	100
4101 Outlays from mandatory balances		1	
4110 Outlays, gross (total)	93	95	100
4180 Budget authority, net (total)	94	94	100
4190 Outlays, net (total)	93	95	100

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	94	94	100
Outlays	93	95	100
Legislative proposal, subject to PAYGO:			
Budget Authority			-100
Outlays			-100
Total:			
Budget Authority	94	94	

Outlays 93 95

The Corporation for Travel Promotion (also known as Brand USA) was established by the Travel Promotion Act in 2010 to lead the nation's first global marketing effort to promote the United States as a premier travel destination and to communicate U.S. entry/exit policies and procedures. The public-private partnership, funded through a combination of private sector contributions and Federal matching funds, works closely with the travel industry to encourage increased travel and tourism in the United States.

A surcharge to the Electronic System for Traveler Authorization (ESTA) fee that travelers from visa waiver countries pay before arriving in the United States provides Brand USA's Federal matching funds. Authorization to collect the surcharge under the Travel Promotion Act was set to expire September 30, 2020, but was extended to September 30, 2027, in the Brand USA Extension Act (part of the Further Consolidated Appropriations Act, 2020).

TRAVEL PROMOTION FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 580-5585-4-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Travel Promotion Fund			-100
0900 Total new obligations, unexpired accounts (object class 41.0)			-100
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-100
1930 Total budgetary resources available			-100
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-100
3020 Outlays (gross)			100
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-100
Outlays, gross:			
4100 Outlays from new mandatory authority			-100
4180 Budget authority, net (total)			-100
4190 Outlays, net (total)			-100

The Budget proposes to eliminate funding for the Corporation for Travel Promotion (also known as Brand USA) as part of the Administration's plans to move the Nation toward fiscal responsibility and to redefine the proper role of the Federal Government. The Budget permanently extends and redirects the Electronic System for Travel Authorization (ESTA) surcharge currently deposited in the Travel Promotion Fund to the U.S. Treasury to reduce the deficit.

ELECTRIC RELIABILITY ORGANIZATION

Federal Funds

ELECTRIC RELIABILITY ORGANIZATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 531-5522-0-2-276	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	7	7	7
Receipts:			
Current law:			
1110 Fees, Electric Reliability Organization	100	100	100
2000 Total: Balances and receipts	107	107	107
Appropriations:			
Current law:			
2101 Electric Reliability Organization	-100	-100	-100

5099 Balance, end of year 7 7 7

Program and Financing (in millions of dollars)

Identification code 531-5522-0-2-276	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Electric Reliability Organization (Direct)	100	100	100
0900 Total new obligations, unexpired accounts (object class 25.2)	100	100	100
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	100	100	100
1930 Total budgetary resources available	100	100	100
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	100	100	100
3020 Outlays (gross)	-100	-100	-100
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	100	100	100
Outlays, gross:			
4100 Outlays from new mandatory authority	100	100	100
4180 Budget authority, net (total)	100	100	100
4190 Outlays, net (total)	100	100	100

The Energy Policy Act of 2005 (P.L. 109-58) authorizes the Federal Energy Regulatory Commission (FERC) to certify an Electric Reliability Organization (ERO) to establish and enforce reliability standards for the electric bulk-power system. These standards include requirements for operating existing bulk-power system facilities, including cybersecurity protection, and design of planned additions or modifications to these facilities to provide for reliable operation, but does not include requirements to construct new transmission or generation capacity. On July 20, 2006, FERC certified the North American Electric Reliability Corporation as the ERO. ERO is funded by fees on end users of the bulk-power system. Since the ERO does not report budget data to Treasury, ERO funding is based on estimates.

FEDERAL RETIREMENT THRIFT INVESTMENT BOARD

Federal Funds

PROGRAM EXPENSES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 026-5290-0-2-602	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Reimbursement for Program Expenses, Federal Retirement Thrift Investment Board	361	386	434
2000 Total: Balances and receipts	361	386	434
Appropriations:			
Current law:			
2101 Program Expenses	-361	-386	-434
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 026-5290-0-2-602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Administrative expenses	350	442	434
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	45	56	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	361	386	434

PROGRAM EXPENSES—Continued
Program and Financing—Continued

Identification code 026–5290–0–2–602	2019 actual	2020 est.	2021 est.
1930 Total budgetary resources available	406	442	434
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	56		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			56
3010 New obligations, unexpired accounts	350	442	434
3020 Outlays (gross)	–350	–386	–434
3050 Unpaid obligations, end of year		56	56
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			56
3200 Obligated balance, end of year		56	56
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	361	386	434
Outlays, gross:			
4100 Outlays from new mandatory authority	324	386	434
4101 Outlays from mandatory balances	26		
4110 Outlays, gross (total)	350	386	434
4180 Budget authority, net (total)	361	386	434
4190 Outlays, net (total)	350	386	434

The Federal Retirement Thrift Investment Board is responsible for managing the Thrift Savings Fund. Program administration for the Fund is financed from the Fund. Program expenses are funded first from forfeitures and loan fees and then from earnings on all participant and agency contributions to the Fund.

The Thrift Savings Fund is a special tax-deferred savings fund established by the Federal Employees' Retirement System Act of 1986. Due to the fiduciary nature of the Fund, it is not included in the totals of the Federal Budget. Information on the financial status and activities of the Fund follows this account.

Object Classification (in millions of dollars)

Identification code 026–5290–0–2–602	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	35	40	40
12.1 Civilian personnel benefits	11	14	14
21.0 Travel and transportation of persons	1	1	1
23.2 Rental payments to others	10	11	11
23.3 Communications, utilities, and miscellaneous charges	16	18	16
24.0 Printing and reproduction	1	2	2
25.1 Advisory and assistance services	17	26	19
25.2 Other services from non-Federal sources	239	310	302
25.3 Other goods and services from Federal sources	1	3	2
31.0 Equipment	19	17	27
99.9 Total new obligations, unexpired accounts	350	442	434

Employment Summary

Identification code 026–5290–0–2–602	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	281	322	322

INFORMATION SCHEDULES FOR THE THRIFT SAVINGS FUND

The Fund is composed of individual accounts maintained by the Federal Retirement Thrift Investment Board on behalf of the individual Federal employee participants in the Fund. All Federal civilian employees and members of the uniformed services are eligible to contribute to the Fund. Civilian employees covered by the Federal Employees Retirement System (or equivalent retirement systems) receive an automatic agency 1 percent contribution and matching contributions in accordance with the formulas prescribed by law. Beginning in January 2018, all new members of the uniformed services, and those members of the uniformed services with less

than 12 years of service who have made an affirmative election, receive an automatic agency one percent contribution and matching contributions in accordance with the formulas prescribed by law. Employees can invest in five investment funds: a U.S. Government securities investment fund; a fixed income index investment fund; a common stock index investment fund; a small capitalization stock index investment fund; an international stock index investment fund; or in five lifecycle funds, which were introduced in August 2005. These funds are composed of varying allocations of the five core investment funds. The allocations are based on the target maturity date of each fund.

The estimated status of the Fund is shown below:

STATUS OF THRIFT SAVINGS FUND

	(in millions of dollars)	2019 actual	2020 est.	2021 est.
Thrift Savings Fund investment balance, start of year		603,958	601,030	624,051
Receipts during the year:				
Employee contributions		22,852	23,538	24,244
Contributions on behalf of employees ¹		10,078	10,380	10,692
Earnings and adjustments ²		–12,020	13,656	14,066
Total receipts		20,910	47,574	49,002
Outlays during the year:				
Withdrawals		23,061	23,753	24,465
Loans to employees, net of repayments		477	491	506
Administrative expenses		300	309	318
Total cash outlays		23,838	24,553	25,289
Thrift Savings Fund investment balance, end of year ³		601,030	624,051	647,764
Notes:		2019 actual	2020 est.	2021 est.
¹ 2018 Employer contributions included:				
Automatic contributions for FERS employees:		2,279	2,347	2,418
Matching contributions for FERS employees:		7,799	8,033	8,274
		10,078	10,380	10,692
² 2019 Earnings included:				
Return on investment in Government Securities		6,355	6,546	6,742
Return on investment in non-government instruments		–18,600	6,878	7,084
Interest on loans to employees		216	223	230
Agency payments for lost earnings		9	9	10
³ Investment Balances at 9/30/2019 were:				
U.S. Government Securities Investment Fund		243,357		
TSP F Fund - U.S. Debt Index Fund		32,977		
TSP C Fund - Equity Index Fund		207,368		
TSP S Fund - Extended Equity Index Fund		67,821		
TSP I Fund - EAFE Equity Index Fund		49,507		

MEDICAL CENTER RESEARCH ORGANIZATIONS

Federal Funds

MEDICAL CENTER RESEARCH ORGANIZATIONS

Program and Financing (in millions of dollars)

Identification code 185–4026–0–3–703	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Operating expenses	238	246	253
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		4	4
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	242	246	253
1930 Total budgetary resources available	242	250	257
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	4	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		238	12

3010	New obligations, unexpired accounts	238	246	253
3020	Outlays (gross)		-472	-253
3050	Unpaid obligations, end of year	238	12	12
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year		238	12
3200	Obligated balance, end of year	238	12	12
Budget authority and outlays, net:				
	Mandatory:			
4090	Budget authority, gross	242	246	253
	Outlays, gross:			
4100	Outlays from new mandatory authority		246	253
4101	Outlays from mandatory balances		226	
4110	Outlays, gross (total)		472	253
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	-242	-246	-253
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-242	226	

These nonprofit corporations provide a flexible funding mechanism for the conduct of approved research at Department of Veterans Affairs medical centers. These organizations will derive funds to operate various research activities from Federal and non-Federal sources. No appropriation is required to support these activities.

Object Classification (in millions of dollars)

Identification code 185-4026-0-3-703	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
21.0 Travel and transportation of persons	10	10	10
25.2 Other services from non-Federal sources	202	210	217
26.0 Supplies and materials	18	18	18
31.0 Equipment	8	8	8
99.9 Total new obligations, unexpired accounts	238	246	253

NATIONAL ASSOCIATION OF REGISTERED AGENTS AND BROKERS

Federal Funds

NATIONAL ASSOCIATION OF REGISTERED AGENTS AND BROKERS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 543-5743-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1110 Membership Fees, NARAB		2	2
2000 Total: Balances and receipts		2	2
Appropriations:			
Current law:			
2101 National Association of Registered Agents and Brokers		-2	-2
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 543-5743-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Administrative support		1	1
0002 Advisory and assistant services		1	1
0900 Total new obligations, unexpired accounts		2	2
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)		2	2
1930 Total budgetary resources available		2	2
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		2	2

3020	Outlays (gross)	-2	-2
Budget authority and outlays, net:			
	Mandatory:		
4090	Budget authority, gross	2	2
	Outlays, gross:		
4100	Outlays from new mandatory authority	2	2
4180	Budget authority, net (total)	2	2
4190	Outlays, net (total)	2	2

Object Classification (in millions of dollars)

Identification code 543-5743-0-2-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent		1	1
25.1 Advisory and assistance services		1	1
99.9 Total new obligations, unexpired accounts		2	2

Employment Summary

Identification code 543-5743-0-2-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment		7	7

NATIONAL OILHEAT RESEARCH ALLIANCE

Federal Funds

NATIONAL OILHEAT RESEARCH ALLIANCE

Special and Trust Fund Receipts (in millions of dollars)

Identification code 544-5643-0-2-276	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			2
Receipts:			
Current law:			
1110 Fees, National Oilheat Research Alliance	7	9	9
2000 Total: Balances and receipts	7	9	11
Appropriations:			
Current law:			
2101 National Oilheat Research Alliance	-7	-7	-7
5099 Balance, end of year		2	4

Program and Financing (in millions of dollars)

Identification code 544-5643-0-2-276	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	7	7	7
0900 Total new obligations, unexpired accounts (object class 25.2)	7	7	7
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	7	7	7
1930 Total budgetary resources available	7	7	7

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	7	7	7
3020 Outlays (gross)	-7	-7	-7

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	7	7	7
Outlays, gross:			
4100 Outlays from new mandatory authority	7	7	7
4180 Budget authority, net (total)	7	7	7
4190 Outlays, net (total)	7	7	7

The National Oilheat Research Alliance (NORA) was first authorized by The National Oilheat Research Alliance Act of 2000, as amended in 2014 (P.L. 113-79), and reauthorized by the Agriculture Improvement Act of 2018 (P.L. 115-334) to develop programs and projects and enter

NATIONAL OILHEAT RESEARCH ALLIANCE—Continued

into contracts or other agreements to enhance consumer and employee safety and training; to provide for research, development, and demonstration of clean and efficient oilheat fuel utilization equipment; and to educate consumers. NORA is funded via statutorily-mandated fees of \$0.002 on every gallon of heating oil sold, collected at the wholesale level. Since NORA does not report budget data to Treasury, NORA funding is based on estimates.

PUBLIC COMPANY ACCOUNTING OVERSIGHT BOARD

Federal Funds

PUBLIC COMPANY ACCOUNTING OVERSIGHT BOARD

Special and Trust Fund Receipts (in millions of dollars)

Identification code 526–5376–0–2–376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	25	22	21
Receipts:			
Current law:			
1110 Accounting Support Fees, Public Company Accounting Oversight Board	263	270	280
1120 Civil Monetary Penalties, Public Company Accounting Oversight Board		3	3
1130 Interest on Investments	4	3	3
1199 Total current law receipts	267	276	286
1999 Total receipts	267	276	286
2000 Total: Balances and receipts	292	298	307
Appropriations:			
Current law:			
2101 Public Company Accounting Oversight Board	–2	–3	–3
2101 Public Company Accounting Oversight Board	–268	–274	–267
2103 Public Company Accounting Oversight Board	–17	–17	–17
2132 Public Company Accounting Oversight Board	17	17
2199 Total current law appropriations	–270	–277	–287
2999 Total appropriations	–270	–277	–287
5099 Balance, end of year	22	21	20

Program and Financing (in millions of dollars)

Identification code 526–5376–0–2–376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Accounting Oversight	266	286	284
0002 Accounting Scholarship Program	2	3
0900 Total new obligations, unexpired accounts (object class 25.1)	268	289	284
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	136	138	135
1001 Discretionary unobligated balance brought fwd, Oct 1	4	4
1020 Adjustment of unobligated bal brought forward, Oct 1 (Error in PY Gross Outlays)		9
1050 Unobligated balance (total)	136	147	135
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust) (Civil Money Penalties)	2	3	3
1140 Capital transfer of appropriations to general fund (Civil Money Penalties)			–8
1160 Appropriation, discretionary (total)	2	3	–5
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	268	274	267
1203 Appropriation (previously unavailable)(special or trust)	17	17	17
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	–17	–17
1260 Appropriations, mandatory (total)	268	274	284
1900 Budget authority (total)	270	277	279
1930 Total budgetary resources available	406	424	414
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	138	135	130

Change in obligated balance:

3010 Unpaid obligations:			
3020 New obligations, unexpired accounts	268	289	284
Outlays (gross)	–268	–289	–284

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	2	3	–5
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2
4011 Outlays from discretionary balances	1
4020 Outlays, gross (total)	2	2	1
Mandatory:			
4090 Budget authority, gross	268	274	284
Outlays, gross:			
4100 Outlays from new mandatory authority	266	274	283
4101 Outlays from mandatory balances	13
4110 Outlays, gross (total)	266	287	283
4180 Budget authority, net (total)	270	277	279
4190 Outlays, net (total)	268	289	284

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	117	102	99
5001 Total investments, EOY: Federal securities: Par value	102	99	99

Note: Because the Public Company Accounting Oversight Board (PCAOB) does not report budgetary data to Treasury, amounts shown above were derived from the PCAOB's financial data, which is based on a calendar year.

The Sarbanes-Oxley Act of 2002 (the Act) (P.L. 107–204), as amended by the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203), established the PCAOB to oversee the audits and auditors of both public companies that are subject to Federal securities laws and broker-dealers registered with the Securities and Exchange Commission (SEC) in order to protect the interests of investors and further the public interest in the preparation of informative, accurate, and independent audit reports.

Funding for the PCAOB comes from registration and annual fees paid by public accounting firms and accounting support fees paid by public companies and SEC-registered broker-dealers. The Act designated the Commission to oversee the PCAOB and specifies that the PCAOB's budget and the accounting support fee be subject to approval by the Commission.

Under the Act, monetary penalties collected by the PCAOB shall be used to fund a merit scholarship program, subject to availability in an appropriations Act. The 2021 Budget proposes a general provision in Title VI to transfer unobligated balances previously made available for this program to the general fund of the Treasury.

The Budget proposes to consolidate the authorities and responsibilities of the PCAOB into the SEC beginning in 2022. Consolidating these functions within the SEC will reduce regulatory ambiguity and duplicative statutory authorities. The SEC is also subject to discretionary appropriations, which ensures oversight and constraint over fees assessed on the industry.

SECURITIES INVESTOR PROTECTION CORPORATION

Federal Funds

SECURITIES INVESTOR PROTECTION CORPORATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 576–5600–0–2–376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	3,175	3,398	3,573
Receipts:			
Current law:			
1110 Assessments, SIPC	301	300	164
1130 Earnings on Investments, SIPC	73	68	73
1199 Total current law receipts	374	368	237
1999 Total receipts	374	368	237
2000 Total: Balances and receipts	3,549	3,766	3,810
Appropriations:			
Current law:			
2101 Securities Investor Protection Corporation	–148	–191	–185
2103 Securities Investor Protection Corporation	–15	–12	–10

2132	Securities Investor Protection Corporation	12	10
2199	Total current law appropriations	-151	-193	-195
2999	Total appropriations	-151	-193	-195
5099	Balance, end of year	3,398	3,573	3,615

Program and Financing (in millions of dollars)

Identification code 576-5600-0-2-376	2019 actual	2020 est.	2021 est.
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Obligations by program activity:

0001	Program Management	17	19	19
0002	Customer Claims	134	174	176
0900	Total new obligations, unexpired accounts (object class 25.1)	151	193	195

Budgetary resources:

Budget authority:

Appropriations, mandatory:

1201	Appropriation (special or trust fund)	148	191	185
1203	Appropriation (previously unavailable)(special or trust)	15	12	10
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-12	-10

1260	Appropriations, mandatory (total)	151	193	195
1930	Total budgetary resources available	151	193	195

Change in obligated balance:

Unpaid obligations:

3010	New obligations, unexpired accounts	151	193	195
3020	Outlays (gross)	-151	-193	-195

Budget authority and outlays, net:

Mandatory:

4090	Budget authority, gross	151	193	195
	Outlays, gross:			
4100	Outlays from new mandatory authority	151	193	195
4180	Budget authority, net (total)	151	193	195
4190	Outlays, net (total)	151	193	195

Memorandum (non-add) entries:

5000	Total investments, SOF: Federal securities: Par value	3,150	3,380	3,574
5001	Total investments, EOY: Federal securities: Par value	3,380	3,574	3,619

Note: Because the Securities Investor Protection Corporation (SIPC) does not report budgetary data to Treasury, amounts shown above were derived from SIPC's financial data, which is based on a calendar year. Starting with the 2020 Budget, earnings on investments are presented for all three years using an unamortized cost rather than the market value, to comply with OMB Circular A-11 requirements.

SIPC was created by the Securities Investor Protection Act of 1970 (SIPA). Its purpose is to protect customers against loss resulting from broker-dealer failure and, thereby, promote investor confidence in the Nation's securities markets. SIPC is a non-profit membership corporation. Its members are, with some exceptions, all persons registered as brokers or dealers under section 15(b) of the Securities Exchange Act of 1934 and all persons who are members of a national securities exchange. SIPC's funding is derived entirely from assessments on its membership and from interest earned on its investments in U.S. Government securities.

SIPC may borrow up to \$2.5 billion from the U.S. Department of the Treasury, through the Securities and Exchange Commission, in the event that the fund maintained by SIPC is insufficient to satisfy the claims of customers of brokerage firms in SIPA liquidation or for other purposes under the Act. SIPC has not accessed these loans to date and the Budget does not project that SIPC will require use of these loans over the next 10 years.

STANDARD SETTING BODY*Federal Funds*

PAYMENT TO STANDARD SETTING BODY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 527-5377-0-2-376	2019 actual	2020 est.	2021 est.
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0100	Balance, start of year	2	2	2
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Receipts:

Current law:

1110	Accounting Support Fees, Standard Setting Body	29	30	31
2000	Total: Balances and receipts	31	32	33

Appropriations:

Current law:

2101	Payment to Standard Setting Body	-29	-30	-29
2103	Payment to Standard Setting Body	-2	-2	-2
2132	Payment to Standard Setting Body	2	2

2199	Total current law appropriations	-29	-30	-31
2999	Total appropriations	-29	-30	-31
5099	Balance, end of year	2	2	2

Program and Financing (in millions of dollars)

Identification code 527-5377-0-2-376	2019 actual	2020 est.	2021 est.
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Obligations by program activity:

0001	Advisory and assistance services	29	30	31
0900	Total new obligations, unexpired accounts (object class 25.1)	29	30	31

Budgetary resources:

Budget authority:

Appropriations, mandatory:

1201	Appropriation (special or trust fund)	29	30	29
1203	Appropriation (previously unavailable)(special or trust)	2	2	2
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-2	-2

1260	Appropriations, mandatory (total)	29	30	31
1930	Total budgetary resources available	29	30	31

Change in obligated balance:

Unpaid obligations:

3010	New obligations, unexpired accounts	29	30	31
3020	Outlays (gross)	-29	-30	-31

Budget authority and outlays, net:

Mandatory:

4090	Budget authority, gross	29	30	31
	Outlays, gross:			
4100	Outlays from new mandatory authority	29	30	31
4180	Budget authority, net (total)	29	30	31
4190	Outlays, net (total)	29	30	31

Note: Because the standard setting body does not provide budgetary data to Treasury, amounts shown above were derived from the standard setting body's financial data, which is based on a calendar year.

The Financial Accounting Standards Board (FASB) is an independent, private-sector organization organized in 1973 within the Financial Accounting Foundation (FAF), which is an independent, private-sector, not-for-profit corporation. The FASB consists of a seven-member board, whose members are appointed by the FAF. The FASB was originally designated by the Securities and Exchange Commission (Commission) as the authoritative standard setter for purposes of the Federal securities laws in 1973. In April 2003, the Commission reaffirmed the status of the FASB as a designated private-sector standard setting body pursuant to the Sarbanes-Oxley Act of 2002 (the Act) (P.L. 107-204), stating that the FASB's financial accounting and reporting standards are recognized as "generally accepted" for purposes of the Federal securities laws.

The Act authorizes funding for the standard setting body to be derived from an accounting support fee assessed on public companies, although the FAF has, on a voluntary basis, partially offset the fees that could be assessed pursuant to the Act by payments derived from publication sales and licensing fees. Prior to the Act, the FASB was funded by voluntary contributions from public companies, public accounting firms, and other stakeholders. The standard setting body's accounting support fee is subject to review by the Commission.

UNITED MINE WORKERS OF AMERICA BENEFIT FUNDS

Trust Funds

UNITED MINE WORKERS OF AMERICA COMBINED BENEFIT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 476-8295-0-7-551	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	52	51	50
Receipts:			
Current law:			
1110 Premiums, Combined Fund and 1992 Plan, UMWA	17	11	10
1140 Transfers from Abandoned Mine Reclamation Fund	54	37	31
1140 Federal Payment to United Mine Workers of America		1,601	329
1140 Federal Payment to United Mine Workers of America	225	325	376
1199 Total current law receipts	296	1,974	746
1999 Total receipts	296	1,974	746
2000 Total: Balances and receipts	348	2,025	796
Appropriations:			
Current law:			
2101 United Mine Workers of America 1992 Benefit Plan	-45	-50	-62
2101 United Mine Workers of America Combined Benefit Fund	-59	-62	-59
2101 United Mine Workers of America 1993 Benefit Plan	-193	-262	-296
2101 United Mine Workers of America Pension Funds		-1,601	-329
2199 Total current law appropriations	-297	-1,975	-746
2999 Total appropriations	-297	-1,975	-746
5099 Balance, end of year	51	50	50

Program and Financing (in millions of dollars)

Identification code 476-8295-0-7-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 United Mine Workers of America Combined Benefit Fund	59	62	59
0900 Total new obligations, unexpired accounts (object class 42.0)	59	62	59
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	59	62	59
1930 Total budgetary resources available	59	62	59
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	59	62	59
3020 Outlays (gross)	-59	-62	-59
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	59	62	59
Outlays, gross:			
4100 Outlays from new mandatory authority	59	62	59
4180 Budget authority, net (total)	59	62	59
4190 Outlays, net (total)	59	62	59

The Combined Benefit Fund was established by the Coal Industry Retiree Health Benefit Act of 1992 to take over paying for medical care of retired miners and their dependents who were eligible for health care from the private 1950 and 1974 United Mine Workers of America Benefit Plans. The Fund's trustees represent the United Mine Workers of America and coal companies. The Fund is financed by assessments on current and former signatories to labor agreements with the United Mine Workers; past transfers from the United Mine Workers pension fund; transfers from the Abandoned Mine Land Reclamation fund; and the General Fund of the Treasury.

UNITED MINE WORKERS OF AMERICA 1992 BENEFIT PLAN

Program and Financing (in millions of dollars)

Identification code 476-8260-0-7-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 United Mine Workers of America 1992 Benefit Plan	45	50	62
0900 Total new obligations, unexpired accounts (object class 42.0)	45	50	62
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	45	50	62
1930 Total budgetary resources available	45	50	62
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	45	50	62
3020 Outlays (gross)	-45	-50	-62
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	45	50	62
Outlays, gross:			
4100 Outlays from new mandatory authority	45	50	62
4180 Budget authority, net (total)	45	50	62
4190 Outlays, net (total)	45	50	62

The 1992 Benefit Plan was established by the Coal Industry Retiree Health Benefit Act of 1992. It pays for health care for those miners who retired between July 21, 1992 and September 30, 1994, and their dependents, who are eligible for benefits under an employer plan and cease to be covered, usually because an employer is out of business. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is supported by signers of the 1988 labor agreement with the United Mine Workers of America; transfers from the Abandoned Mine Land Reclamation fund; and the General Fund of the Treasury.

UNITED MINE WORKERS OF AMERICA 1993 BENEFIT PLAN

Program and Financing (in millions of dollars)

Identification code 476-8535-0-7-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 United Mine Workers of America 1993 Benefit Plan	193	262	296
0900 Total new obligations, unexpired accounts (object class 42.0)	193	262	296
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	193	262	296
1930 Total budgetary resources available	193	262	296
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	193	262	296
3020 Outlays (gross)	-193	-262	-296
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	193	262	296
Outlays, gross:			
4100 Outlays from new mandatory authority	193	262	296
4180 Budget authority, net (total)	193	262	296
4190 Outlays, net (total)	193	262	296

The 1993 Benefit Plan provides health benefits to certain retired mine workers and disabled mine workers who are not eligible for benefits under the Coal Industry Retiree Health Benefit Act of 1992 and who are not receiving benefits from employers' benefit plans. The 1993 Benefit Plan was established through collective bargaining under the National Bituminous Coal Wage Agreement of 1993. Plan trustees are appointed by the United

Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is financed by signatories to the National Bituminous Coal Wage Agreement; transfers from the Abandoned Mine Land Reclamation fund; and the General Fund of the Treasury.

UNITED MINE WORKERS OF AMERICA PENSION FUNDS

Program and Financing (in millions of dollars)

Identification code 476–8553–0–7–601	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity		1,601	329
0900 Total new obligations, unexpired accounts (object class 42.0)		1,601	329
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)		1,601	329

1930	Total budgetary resources available	1,601	329
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	1,601	329
3020	Outlays (gross)	–1,601	–329
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	1,601	329
Outlays, gross:			
4100	Outlays from new mandatory authority	1,601	329
4180	Budget authority, net (total)	1,601	329
4190	Outlays, net (total)	1,601	329

The 1974 United Mine Workers of America Pension Plan provides pensions to eligible mine workers who retire, to those who become totally disabled as a result of mine accidents, and to the eligible surviving spouses of mine workers. The Bipartisan Miners Act of 2019 (Division M of Public Law 116–94), authorizes mandatory Treasury payments to the 1974 United Mine Workers of America Pension Plan, subject to certain limitations, until the Plans funded percentage reaches 100 percent.

