

DEPARTMENT OF HOMELAND SECURITY

The Department of Homeland Security's (DHS) mission is to safeguard the American people, our homeland, and our values with honor and integrity. Threats to our safety and security are constantly evolving and require continuous risk assessments and adaptive strategies to effectively address them. The men and women at DHS demonstrate agility and dedication to our mission by protecting our Nation from threats by land, sea, air, and cyber.

The 2021 budgetary data are presented in the same consolidated account structure as enacted in the 2020 Consolidated Appropriations Act (Defense, CJS, FSGG, Homeland Security) (P.L. 116-93) with two notable exceptions, as described below.

The 2021 President's Budget proposes to transfer the United States Secret Service (USSS) to the Department of the Treasury. Please consult the Department of the Treasury chapter for more information on this account.

Additionally, the 2021 President's Budget proposes to reorganize the Cybersecurity and Infrastructure Security Agency's (CISA) Program, Project, and Activity (PPA) structure. In November 2018, Congress passed the Cybersecurity and Infrastructure Security Agency Act of 2018 reorganizing the former National Protection and Programs Directorate (NPPD) into CISA, which today serves as the DHS operational Component focused on leading the national effort to understand and manage cyber and physical risks to critical U.S. infrastructure. The 2021 President's Budget reorganizes the PPA structure to fully reflect this vision.

OFFICE OF THE SECRETARY AND EXECUTIVE MANAGEMENT

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Office of the Secretary and for executive management for operations and support, **\$168,808,000**; of which \$10,000,000 shall be for an Ombudsman for Immigration Detention as established by section 106, of which \$5,000,000 shall remain available until September 30, 2021; and of which \$500,000 shall be withheld from obligation until the Secretary appoints such Ombudsman for Immigration Detention and complies with the directive related to the Public Complaint and Feedback System Working Group in the explanatory statement accompanying this Act **\$150,359,000**; *Provided*, That not to exceed **\$30,000** **\$45,000** shall be for official reception and representation expenses. (*Department of Homeland Security Appropriations Act, 2020*.)

Program and Financing (in millions of dollars)

| Identification code 070-0100-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0011 Operations and Engagement | 45 | 59 | 44 |
| 0012 Strategy, Policy, and Plans | 38 | 49 | 52 |
| 0013 Management and Oversight | 58 | 61 | 54 |
| 0100 Subtotal, Direct Programs | 141 | 169 | 150 |
| 0799 Total direct obligations | 141 | 169 | 150 |
| 0882 CAS - OSEM O&S Reimbursable program activity | 20 | 18 | 20 |
| 0889 Reimbursable program activities, subtotal | 20 | 18 | 20 |
| 0900 Total new obligations, unexpired accounts | 161 | 187 | 170 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 2 | 3 | 3 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation - CAS OSEM | 141 | 169 | 150 |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected - CAS - OSEM O&S | 14 | 18 | 20 |
| 1701 Change in uncollected payments, Federal sources | 7 | | |
| 1750 Spending auth from offsetting collections, disc (total) | 21 | 18 | 20 |
| 1900 Budget authority (total) | 162 | 187 | 170 |
| 1930 Total budgetary resources available | 164 | 190 | 173 |

| | | | |
|--|------|-------|-------|
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 3 | 3 | 3 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 57 | 53 | 41 |
| 3010 New obligations, unexpired accounts | 161 | 187 | 170 |
| 3011 Obligations ("upward adjustments"), expired accounts | 2 | | |
| 3020 Outlays (gross) | -163 | -199 | -173 |
| 3041 Recoveries of prior year unpaid obligations, expired | -4 | | |
| 3050 Unpaid obligations, end of year | 53 | 41 | 38 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -11 | -11 | -11 |
| 3070 Change in uncollected pymts, Fed sources, unexpired | -7 | | |
| 3071 Change in uncollected pymts, Fed sources, expired | 7 | | |
| 3090 Uncollected pymts, Fed sources, end of year | -11 | -11 | -11 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 46 | 42 | 30 |
| 3200 Obligated balance, end of year | 42 | 30 | 27 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 162 | 187 | 170 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 124 | 146 | 132 |
| 4011 Outlays from discretionary balances | 39 | 53 | 41 |
| 4020 Outlays, gross (total) | 163 | 199 | 173 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -21 | -18 | -20 |
| 4033 Non-Federal sources | -1 | | |
| 4040 Offsets against gross budget authority and outlays (total) | -22 | -18 | -20 |
| Additional offsets against gross budget authority only: | | | |
| 4050 Change in uncollected pymts, Fed sources, unexpired | -7 | | |
| 4052 Offsetting collections credited to expired accounts | 8 | | |
| 4060 Additional offsets against budget authority only (total) | 1 | | |
| 4070 Budget authority, net (discretionary) | 141 | 169 | 150 |
| 4080 Outlays, net (discretionary) | 141 | 181 | 153 |
| 4180 Budget authority, net (total) | 141 | 169 | 150 |
| 4190 Outlays, net (total) | 141 | 181 | 153 |

The Office of the Secretary and Executive Management directs and leads management of the Department and provides policy guidance to operating bureaus within the organization; plans and executes departmental strategies to accomplish agency objectives and provides central leadership to the Department. Offices supported by resources from this appropriation include: the Office of the Secretary; the Office of Strategy, Policy, and Plans; the Office of Public Affairs; the Office of Legislative Affairs; the Office of the General Counsel; the Office for Civil Rights and Civil Liberties; the Office of the Citizenship and Immigration Services Ombudsman; the Office of Immigration Detention Ombudsman; the Privacy Office; and the Office of Partnership and Engagement.

The Operations and Support appropriation funds support the costs incurred for the day-to-day operation and maintenance of the organization, including, but not limited to, salaries, services, supplies, utilities, travel, training, and transportation, as well as minor procurement, construction, and improvement projects.

Object Classification (in millions of dollars)

| Identification code 070-0100-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 60 | 72 | 75 |
| 11.3 Other than full-time permanent | 6 | 5 | 5 |
| 11.5 Other personnel compensation | 2 | 1 | 1 |
| 11.8 Special personal services payments | | 2 | 2 |
| 11.9 Total personnel compensation | 68 | 80 | 83 |
| 12.1 Civilian personnel benefits | 20 | 27 | 27 |
| 21.0 Travel and transportation of persons | 5 | 4 | 4 |
| 25.1 Advisory and assistance services | 14 | 25 | 17 |
| 25.2 Other services from non-Federal sources | 10 | 5 | 10 |

OPERATIONS AND SUPPORT—Continued
Object Classification—Continued

| Identification code 070-0100-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 25.3 Other goods and services from Federal sources | 21 | 26 | 7 |
| 25.7 Operation and maintenance of equipment | 2 | 1 | 1 |
| 31.0 Equipment | 1 | 1 | 1 |
| 99.0 Direct obligations | 141 | 169 | 150 |
| 99.0 Reimbursable obligations | 20 | 18 | 20 |
| 99.9 Total new obligations, unexpired accounts | 161 | 187 | 170 |

Employment Summary

| Identification code 070-0100-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | 493 | 643 | 696 |
| 2001 Reimbursable civilian full-time equivalent employment | 65 | 67 | 8 |

FEDERAL ASSISTANCE

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Office of the Secretary and Executive Management for Federal Assistance through grants, contracts, cooperative agreements, and other activities, \$10,000,000, which shall be transferred to the Federal Emergency Management Agency for targeted violence and terrorism prevention grants. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0416-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Budgetary resources: | | | |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | | 10 | |
| 1120 Appropriations transferred to other acct [070-0413] | | -10 | |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | | | |

Trust Funds

GIFTS AND DONATIONS

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 070-8244-0-7-453 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| 0100 Balance, start of year | | | |
| Receipts: | | | |
| Current law: | | | |
| 1198 Rounding adjustment | 1 | | |
| 2000 Total: Balances and receipts | 1 | | |
| Appropriations: | | | |
| Current law: | | | |
| 2101 Gifts and Donations | -1 | | |
| 5099 Balance, end of year | | | |

Program and Financing (in millions of dollars)

| Identification code 070-8244-0-7-453 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 5 | 6 | 6 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1101 Appropriation (special or trust) | 1 | | |
| 1930 Total budgetary resources available | 6 | 6 | 6 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 6 | 6 | 6 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 142 | 114 | 6 |

| | | | |
|---|-----|------|----|
| 3020 Outlays (gross) | -28 | -108 | -6 |
| 3050 Unpaid obligations, end of year | 114 | 6 | |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 142 | 114 | 6 |
| 3200 Obligated balance, end of year | 114 | 6 | |

Budget authority and outlays, net:

| | | | |
|--|----|-----|---|
| Discretionary: | | | |
| 4000 Budget authority, gross | 1 | | |
| Outlays, gross: | | | |
| 4011 Outlays from discretionary balances | 28 | 108 | 6 |
| 4180 Budget authority, net (total) | 1 | | |
| 4190 Outlays, net (total) | 28 | 108 | 6 |

Memorandum (non-add) entries:

| | | | |
|--|---|--|--|
| 5000 Total investments, SOY: Federal securities: Par value | 2 | | |
|--|---|--|--|

The Gifts and Donations account represents contributions to the Department from outside sources to facilitate the work of the Department.

MANAGEMENT DIRECTORATE

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Management Directorate for operations and support, \$1,182,142,000 \$1,402,196,000: Provided, That not to exceed \$2,000 shall be for official reception and representation expenses: Provided further, That of the funds made available under this heading, \$2,000,000 shall be withheld from obligation until the first report required by section 403 has been made available on a publicly accessible website. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0112-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0012 CAS - Immediate Office of the Under Secretary of Management | 7 | 8 | 4 |
| 0013 CAS - Office of the Chief Readiness Support Officer | 101 | 101 | 177 |
| 0014 CAS - Office of the Chief Human Capital Officer | 105 | 116 | 130 |
| 0015 CAS - Office of the Chief Security Officer | 83 | 83 | 135 |
| 0016 CAS - Chief Procurement Officer | 81 | 110 | 107 |
| 0017 CAS - Office of the Chief Financial Officer | 80 | 91 | 90 |
| 0018 CAS - Office of the Chief Information Officer | 346 | 418 | 505 |
| 0019 CAS - Office of Biometric Identity Management | 375 | 255 | 254 |
| 0799 Total direct obligations | 1,178 | 1,182 | 1,402 |
| 0801 USM/CFO Reimbursable program activity | 47 | 99 | 57 |
| 0802 CIO Reimbursable program activity | 71 | 72 | 88 |
| 0899 Total reimbursable obligations | 118 | 171 | 145 |
| 0900 Total new obligations, unexpired accounts | 1,296 | 1,353 | 1,547 |

Budgetary resources:

| | | | |
|---|-------|-------|-------|
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 365 | 276 | 198 |
| 1012 Unobligated balance transfers between expired and unexpired accounts | 1 | | |
| 1021 Recoveries of prior year unpaid obligations | 7 | 5 | 5 |
| 1033 Recoveries of prior year paid obligations | 2 | | |
| 1050 Unobligated balance (total) | 375 | 281 | 203 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 1,083 | 1,182 | 1,402 |
| 1120 Appropriations transferred to other acct [070-0540] | -3 | | |
| 1160 Appropriation, discretionary (total) | 1,080 | 1,182 | 1,402 |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 32 | 53 | 53 |
| 1701 Change in uncollected payments, Federal sources | 86 | 35 | 35 |
| 1750 Spending auth from offsetting collections, disc (total) | 118 | 88 | 88 |
| 1900 Budget authority (total) | 1,198 | 1,270 | 1,490 |
| 1930 Total budgetary resources available | 1,573 | 1,551 | 1,693 |
| Memorandum (non-add) entries: | | | |
| 1940 Unobligated balance expiring | -1 | | |
| 1941 Unexpired unobligated balance, end of year | 276 | 198 | 146 |

Change in obligated balance:

| | | | | |
|-------------------------------|--|--------|--------|--------|
| Unpaid obligations: | | | | |
| 3000 | Unpaid obligations, brought forward, Oct 1 | 668 | 877 | 496 |
| 3010 | New obligations, unexpired accounts | 1,296 | 1,353 | 1,547 |
| 3011 | Obligations ("upward adjustments"), expired accounts | 9 | | |
| 3020 | Outlays (gross) | -1,045 | -1,709 | -1,422 |
| 3040 | Recoveries of prior year unpaid obligations, unexpired | -7 | -5 | -5 |
| 3041 | Recoveries of prior year unpaid obligations, expired | -44 | -20 | -20 |
| 3050 | Unpaid obligations, end of year | 877 | 496 | 596 |
| Uncollected payments: | | | | |
| 3060 | Uncollected pymts, Fed sources, brought forward, Oct 1 | -166 | -159 | -214 |
| 3070 | Change in uncollected pymts, Fed sources, unexpired | -86 | -35 | -35 |
| 3071 | Change in uncollected pymts, Fed sources, expired | 93 | -20 | -20 |
| 3090 | Uncollected pymts, Fed sources, end of year | -159 | -214 | -269 |
| Memorandum (non-add) entries: | | | | |
| 3100 | Obligated balance, start of year | 502 | 718 | 282 |
| 3200 | Obligated balance, end of year | 718 | 282 | 327 |

Budget authority and outlays, net:

| | | | | |
|---|---|-------|-------|-------|
| Discretionary: | | | | |
| 4000 | Budget authority, gross | 1,198 | 1,270 | 1,490 |
| Outlays, gross: | | | | |
| 4010 | Outlays from new discretionary authority | 694 | 906 | 1,071 |
| 4011 | Outlays from discretionary balances | 344 | 803 | 351 |
| 4020 | Outlays, gross (total) | 1,038 | 1,709 | 1,422 |
| Offsets against gross budget authority and outlays: | | | | |
| Offsetting collections (collected) from: | | | | |
| 4030 | Federal sources | -104 | -53 | -53 |
| 4033 | Non-Federal sources | -3 | | |
| 4040 | Offsets against gross budget authority and outlays (total) | -107 | -53 | -53 |
| Additional offsets against gross budget authority only: | | | | |
| 4050 | Change in uncollected pymts, Fed sources, unexpired | -86 | -35 | -35 |
| 4052 | Offsetting collections credited to expired accounts | 73 | | |
| 4053 | Recoveries of prior year paid obligations, unexpired accounts | 2 | | |
| 4060 | Additional offsets against budget authority only (total) | -11 | -35 | -35 |
| 4070 | Budget authority, net (discretionary) | 1,080 | 1,182 | 1,402 |
| 4080 | Outlays, net (discretionary) | 931 | 1,656 | 1,369 |
| Mandatory: | | | | |
| Outlays, gross: | | | | |
| 4101 | Outlays from mandatory balances | 7 | | |
| 4180 | Budget authority, net (total) | 1,080 | 1,182 | 1,402 |
| 4190 | Outlays, net (total) | 938 | 1,656 | 1,369 |

The Management Directorate provides enterprise leadership and management and business administration services, as well as biometric and identity management services. These can include financial management, acquisition oversight, workforce management, physical and personnel security requirements, administrative supplies and services, non-programmatic information technology, day-to-day management of headquarters-related property and assets, daily communication costs, and other general day-to-day management and administration. The Management Directorate includes the following offices: Immediate Office of the Under Secretary for Management; Office of the Chief Readiness Support Officer; Office of the Chief Human Capital Officer; Office of the Chief Procurement Officer; Office of the Chief Financial Officer; Office of the Chief Information Officer; Office of the Chief Security Officer, and the Office of Biometric Identity Management.

Object Classification (in millions of dollars)

| | | | | |
|--------------------------------------|---|-----------|-----------|-----|
| Identification code 070-0112-0-1-999 | 2019 actual | 2020 est. | 2021 est. | |
| Direct obligations: | | | | |
| Personnel compensation: | | | | |
| 11.1 | Full-time permanent | 204 | 245 | 253 |
| 11.3 | Other than full-time permanent | 2 | | 1 |
| 11.5 | Other personnel compensation | 3 | 5 | 4 |
| 11.9 | Total personnel compensation | 209 | 250 | 258 |
| 12.1 | Civilian personnel benefits | 84 | 88 | 95 |
| 21.0 | Travel and transportation of persons | 3 | 2 | 2 |
| 23.1 | Rental payments to GSA | | 6 | 128 |
| 25.1 | Advisory and assistance services | 256 | 181 | 258 |
| 25.2 | Other services from non-Federal sources | 70 | 96 | 95 |
| 25.3 | Other goods and services from Federal sources | 371 | 306 | 307 |
| 25.4 | Operation and maintenance of facilities | 43 | 9 | 10 |
| 25.7 | Operation and maintenance of equipment | 81 | 236 | 241 |

| | | | | |
|------|---|-------|-------|-------|
| 26.0 | Supplies and materials | 20 | 1 | 1 |
| 31.0 | Equipment | 41 | 7 | 7 |
| 99.0 | Direct obligations | 1,178 | 1,182 | 1,402 |
| 99.0 | Reimbursable obligations | 118 | 171 | 145 |
| 99.9 | Total new obligations, unexpired accounts | 1,296 | 1,353 | 1,547 |

Employment Summary

| | | | | |
|--------------------------------------|---|-----------|-----------|-------|
| Identification code 070-0112-0-1-999 | 2019 actual | 2020 est. | 2021 est. | |
| 1001 | Direct civilian full-time equivalent employment | 1,680 | 2,115 | 2,194 |
| 2001 | Reimbursable civilian full-time equivalent employment | 5 | | |

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of the Management Directorate for procurement, construction, and improvements, **[\$381,298,000]** \$359,450,000, of which **[\$157,531,000]** \$159,611,000 shall remain available until September 30, **[2022]** 2023; and of which **[\$223,767,000]** \$199,839,000 shall remain available until September 30, **[2024]** 2025, to plan, acquire, design, construct, renovate, remediate, equip, furnish, improve infrastructure, and occupy buildings and facilities for the Department headquarters consolidation project. (*Department of Homeland Security Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

| | | | | |
|---|--|-----------|-----------|-----|
| Identification code 070-0406-0-1-751 | 2019 actual | 2020 est. | 2021 est. | |
| Obligations by program activity: | | | | |
| 0001 | CAS - Construction and Facility Improvements | 8 | 224 | 200 |
| 0002 | CAS - Mission Support Assets and Infrastructure | 23 | 12 | 18 |
| 0004 | CAS - Mission Support Assets and Infrastructure - FSM | 22 | 116 | 100 |
| 0005 | CAS - Mission Support Assets and Infrastructure - HRIT | 13 | 10 | 11 |
| 0007 | DHS One Number | 4 | 4 | |
| 0008 | OBIM - HART | 20 | 15 | 30 |
| 0799 | Total direct obligations | 90 | 381 | 359 |
| 0900 | Total new obligations, unexpired accounts | 90 | 381 | 359 |

Budgetary resources:

| | | | | |
|--|---|------|-----|-----|
| Unobligated balance: | | | | |
| 1000 | Unobligated balance brought forward, Oct 1 | 23 | 44 | 44 |
| 1021 | Recoveries of prior year unpaid obligations | 3 | | |
| 1050 | Unobligated balance (total) | 26 | 44 | 44 |
| Budget authority: | | | | |
| Appropriations, discretionary: | | | | |
| 1100 | Appropriation | 227 | 381 | 359 |
| 1120 | Appropriations transferred to other acct [047-4542] | -120 | | |
| 1160 | Appropriation, discretionary (total) | 107 | 381 | 359 |
| Spending authority from offsetting collections, discretionary: | | | | |
| 1700 | Collected | | 2 | |
| 1701 | Change in uncollected payments, Federal sources | 2 | -2 | |
| 1750 | Spending auth from offsetting collections, disc (total) | 2 | | |
| 1900 | Budget authority (total) | 109 | 381 | 359 |
| 1930 | Total budgetary resources available | 135 | 425 | 403 |
| Memorandum (non-add) entries: | | | | |
| 1940 | Unobligated balance expiring | -1 | | |
| 1941 | Unexpired unobligated balance, end of year | 44 | 44 | 44 |

Change in obligated balance:

| | | | | |
|-------------------------------|--|-----|------|------|
| Unpaid obligations: | | | | |
| 3000 | Unpaid obligations, brought forward, Oct 1 | 58 | 62 | 146 |
| 3010 | New obligations, unexpired accounts | 90 | 381 | 359 |
| 3020 | Outlays (gross) | -83 | -297 | -370 |
| 3040 | Recoveries of prior year unpaid obligations, unexpired | -3 | | |
| 3050 | Unpaid obligations, end of year | 62 | 146 | 135 |
| Uncollected payments: | | | | |
| 3060 | Uncollected pymts, Fed sources, brought forward, Oct 1 | | -2 | |
| 3070 | Change in uncollected pymts, Fed sources, unexpired | -2 | 2 | |
| 3090 | Uncollected pymts, Fed sources, end of year | -2 | | |
| Memorandum (non-add) entries: | | | | |
| 3100 | Obligated balance, start of year | 58 | 60 | 146 |
| 3200 | Obligated balance, end of year | 60 | 146 | 135 |

Budget authority and outlays, net:

| | | | | |
|----------------|-------------------------------|-----|-----|-----|
| Discretionary: | | | | |
| 4000 | Budget authority, gross | 109 | 381 | 359 |

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued
Program and Financing—Continued

| Identification code 070-0406-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 29 | 191 | 179 |
| 4011 Outlays from discretionary balances | 54 | 106 | 191 |
| 4020 Outlays, gross (total) | 83 | 297 | 370 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | | -2 | |
| 4040 Offsets against gross budget authority and outlays (total) | | -2 | |
| 4050 Additional offsets against gross budget authority only: | | | |
| Change in uncollected pymts, Fed sources, unexpired | -2 | 2 | |
| 4070 Budget authority, net (discretionary) | 107 | 381 | 359 |
| 4080 Outlays, net (discretionary) | 83 | 295 | 370 |
| 4180 Budget authority, net (total) | 107 | 381 | 359 |
| 4190 Outlays, net (total) | 83 | 295 | 370 |

The Management Directorate's Procurement, Construction, and Improvements (PC&I) appropriation provides the support necessary for the planning, operational development, engineering, and purchase of one or more assets prior to sustainment. Information technology included in the PC&I account provides useful software and hardware in an operational environment, including non-tangible assets. The PC&I budget also includes funding for construction and facilities improvements, including the National Capital Region Consolidation project, necessary for the planning, operational development, and engineering prior to sustainment.

Object Classification (in millions of dollars)

| Identification code 070-0406-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| 25.1 Advisory and assistance services | | | 32 |
| 25.2 Other services from non-Federal sources | | 8 | 1 |
| 25.3 Other goods and services from Federal sources | 90 | | 300 |
| 25.4 Operation and maintenance of facilities | | 354 | |
| 25.7 Operation and maintenance of equipment | | 3 | |
| 26.0 Supplies and materials | | | 22 |
| 31.0 Equipment | | 16 | 4 |
| 99.9 Total new obligations, unexpired accounts | 90 | 381 | 359 |

FEDERAL PROTECTIVE SERVICE

The revenues and collections of security fees credited to this account shall be available until expended for necessary expenses related to the protection of federally owned and leased buildings and for the operations of the Federal Protective Service. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0542-0-1-804 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0802 CAS - FPS Operations | 340 | 389 | 389 |
| 0803 CAS - Countermeasures | 1,128 | 1,171 | 1,200 |
| 0900 Total new obligations, unexpired accounts | 1,468 | 1,560 | 1,589 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 313 | 390 | 395 |
| 1021 Recoveries of prior year unpaid obligations | 30 | 32 | 32 |
| 1033 Recoveries of prior year paid obligations | 2 | 2 | 2 |
| 1050 Unobligated balance (total) | 345 | 424 | 429 |
| Budget authority: | | | |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 1,490 | 1,508 | 1,516 |
| 1701 Change in uncollected payments, Federal sources | 23 | 23 | 23 |
| 1750 Spending auth from offsetting collections, disc (total) | 1,513 | 1,531 | 1,539 |
| 1930 Total budgetary resources available | 1,858 | 1,955 | 1,968 |

| 1941 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Memorandum (non-add) entries: | | | |
| Unexpired unobligated balance, end of year | 390 | 395 | 379 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 462 | 487 | 356 |
| 3010 New obligations, unexpired accounts | 1,468 | 1,560 | 1,589 |
| 3020 Outlays (gross) | -1,413 | -1,659 | -1,537 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -30 | -32 | -32 |
| 3050 Unpaid obligations, end of year | 487 | 356 | 376 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -421 | -444 | -467 |
| 3070 Change in uncollected pymts, Fed sources, unexpired | -23 | -23 | -23 |
| 3090 Uncollected pymts, Fed sources, end of year | -444 | -467 | -490 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 41 | 43 | -111 |
| 3200 Obligated balance, end of year | 43 | -111 | -114 |

Budget authority and outlays, net:

| 4000 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Discretionary: | | | |
| Budget authority, gross | 1,513 | 1,531 | 1,539 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 1,088 | 1,225 | 1,231 |
| 4011 Outlays from discretionary balances | 325 | 434 | 306 |
| 4020 Outlays, gross (total) | 1,413 | 1,659 | 1,537 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -1,490 | -1,508 | -1,516 |
| 4033 Non-Federal sources | -2 | -2 | -2 |
| 4040 Offsets against gross budget authority and outlays (total) | -1,492 | -1,510 | -1,518 |
| Additional offsets against gross budget authority only: | | | |
| 4050 Change in uncollected pymts, Fed sources, unexpired | -23 | -23 | -23 |
| 4053 Recoveries of prior year paid obligations, unexpired accounts | 2 | 2 | 2 |
| 4060 Additional offsets against budget authority only (total) | -21 | -21 | -21 |
| 4080 Outlays, net (discretionary) | -79 | 149 | 19 |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | -79 | 149 | 19 |

The Federal Protective Service (FPS) protects Federal facilities and those who occupy them by conducting law enforcement and protective security services, and leveraging access to the intelligence and information resources of Federal, State, local, tribal, territorial, and private sector partners. FPS conducts Facility Security Assessments and recommends appropriate countermeasures, ensures stakeholder threat awareness training, and oversees a large contract for a Protective Security Officer workforce. These services provide a comprehensive risk-based approach to facility protection that allows FPS to prioritize its operations to prevent, detect, assess, respond to, and disrupt criminal and other incidents that endanger the Federal community.

Object Classification (in millions of dollars)

| Identification code 070-0542-0-1-804 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Reimbursable obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 131 | 137 | 142 |
| 11.3 Other than full-time permanent | 3 | 3 | |
| 11.5 Other personnel compensation | 24 | 23 | 25 |
| Total personnel compensation | 158 | 163 | 167 |
| 12.1 Civilian personnel benefits | 50 | 53 | 53 |
| 21.0 Travel and transportation of persons | 9 | 13 | 13 |
| 22.0 Transportation of things | 11 | 3 | 3 |
| 23.1 Rental payments to GSA | 26 | 26 | 26 |
| 23.3 Communications, utilities, and miscellaneous charges | 22 | 8 | 8 |
| 25.1 Advisory and assistance services | 19 | 45 | 46 |
| 25.2 Other services from non-Federal sources | 1,119 | 1,192 | 1,216 |
| 25.3 Other goods and services from Federal sources | 6 | 6 | 6 |
| 25.7 Operation and maintenance of equipment | 32 | 31 | 31 |
| 25.8 Subsistence and support of persons | 5 | 1 | 1 |
| 26.0 Supplies and materials | 3 | 3 | 3 |
| 31.0 Equipment | 4 | 13 | 13 |
| 32.0 Land and structures | 4 | 3 | 3 |
| 99.9 Total new obligations, unexpired accounts | 1,468 | 1,560 | 1,589 |

Employment Summary

| Identification code 070-0542-0-1-804 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment | 1,339 | 1,507 | 1,507 |

RESEARCH AND DEVELOPMENT

Program and Financing (in millions of dollars)

| Identification code 070-0801-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Direct program activity | 3 | | |
| 0700 Direct program activities, subtotal | 3 | | |
| 0900 Total new obligations, unexpired accounts (object class 25.5) | 3 | | |
| Budgetary resources: | | | |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 3 | | |
| 1930 Total budgetary resources available | 3 | | |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 2 | 3 | 1 |
| 3010 New obligations, unexpired accounts | 3 | | |
| 3020 Outlays (gross) | -2 | -2 | |
| 3050 Unpaid obligations, end of year | 3 | 1 | 1 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 2 | 3 | 1 |
| 3200 Obligated balance, end of year | 3 | 1 | 1 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 3 | | |
| Outlays, gross: | | | |
| 4011 Outlays from discretionary balances | 2 | 2 | |
| 4180 Budget authority, net (total) | 3 | | |
| 4190 Outlays, net (total) | 2 | 2 | |

The Management Directorate's Research and Development (R&D) account provides funding for rapid "proof of concept" prototype applications, technical demonstrations, planning, and development of emerging technologies that can be used to support Department of Homeland Security mission needs. All funding within the R&D account is oriented towards the Office of the Chief Information Officer.

OFFICE OF BIOMETRIC IDENTITY MANAGEMENT

Program and Financing (in millions of dollars)

| Identification code 070-0521-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 System development and deployment | 38 | | |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 67 | 33 | 33 |
| 1021 Recoveries of prior year unpaid obligations | 4 | | |
| 1050 Unobligated balance (total) | 71 | 33 | 33 |
| 1930 Total budgetary resources available | 71 | 33 | 33 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 33 | 33 | 33 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 153 | 75 | |
| 3010 New obligations, unexpired accounts | 38 | | |
| 3020 Outlays (gross) | -96 | -75 | |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -4 | | |
| 3041 Recoveries of prior year unpaid obligations, expired | -16 | | |
| 3050 Unpaid obligations, end of year | 75 | | |

Memorandum (non-add) entries:

| | | | |
|---|-----|----|--|
| 3100 Obligated balance, start of year | 153 | 75 | |
| 3200 Obligated balance, end of year | 75 | | |

Budget authority and outlays, net:

| | | | |
|--|----|----|--|
| Discretionary: | | | |
| Outlays, gross: | | | |
| 4011 Outlays from discretionary balances | 96 | 75 | |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | 96 | 75 | |

The Office of Biometric Identity Management (OBIM) provides biometric identification services to help Federal, State, and local government partners identify people accurately to determine if they pose a risk to the United States. This program supplies the technology for collecting and storing biometric data. The program shares information, provides analysis, updates biometric and terrorist watch lists, and ensures the integrity of the data. OBIM is the lead DHS identity management service provider and works to ensure that the Homeland is safe, secure, and resilient. OBIM serves as a single authoritative biometric service provider, with cross-cutting responsibilities to serve DHS Components and other mission partners, such as the Department of Justice, the Department of State, and the Department of Defense; State, local, and tribal law enforcement; the Intelligence Community; and foreign government partners.

Object Classification (in millions of dollars)

| Identification code 070-0521-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| 25.1 Advisory and assistance services | 1 | | |
| 25.2 Other services from non-Federal sources | 2 | | |
| 25.3 Other goods and services from Federal sources | 4 | | |
| 25.5 Research and development contracts | 1 | | |
| 25.7 Operation and maintenance of equipment | 8 | | |
| 31.0 Equipment | 22 | | |
| 99.0 Direct obligations | 38 | | |
| 99.9 Total new obligations, unexpired accounts | 38 | | |

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

| Identification code 070-4640-0-4-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0801 Working Capital Fund (Reimbursable) | 416 | 420 | |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 43 | 61 | 82 |
| 1021 Recoveries of prior year unpaid obligations | 11 | 35 | 28 |
| 1033 Recoveries of prior year paid obligations | 1 | | |
| 1050 Unobligated balance (total) | 55 | 96 | 110 |
| Budget authority: | | | |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 431 | 420 | |
| 1701 Change in uncollected payments, Federal sources | -9 | -14 | |
| 1750 Spending auth from offsetting collections, disc (total) | 422 | 406 | |
| 1930 Total budgetary resources available | 477 | 502 | 110 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 61 | 82 | 110 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 236 | 199 | 69 |
| 3010 New obligations, unexpired accounts | 416 | 420 | |
| 3020 Outlays (gross) | -442 | -515 | |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -11 | -35 | -28 |
| 3050 Unpaid obligations, end of year | 199 | 69 | 41 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -268 | -259 | -245 |
| 3070 Change in uncollected pymts, Fed sources, unexpired | 9 | 14 | |
| 3090 Uncollected pymts, Fed sources, end of year | -259 | -245 | -245 |

WORKING CAPITAL FUND—Continued
Program and Financing—Continued

| Identification code 070-4640-0-4-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | -32 | -60 | -176 |
| 3200 Obligated balance, end of year | -60 | -176 | -204 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 422 | 406 | |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 300 | 365 | |
| 4011 Outlays from discretionary balances | 142 | 150 | |
| 4020 Outlays, gross (total) | 442 | 515 | |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -432 | -420 | |
| 4040 Offsets against gross budget authority and outlays (total) | -432 | -420 | |
| Additional offsets against gross budget authority only: | | | |
| 4050 Change in uncollected pymts, Fed sources, unexpired | 9 | 14 | |
| 4053 Recoveries of prior year paid obligations, unexpired accounts | 1 | | |
| 4060 Additional offsets against budget authority only (total) | 10 | 14 | |
| 4080 Outlays, net (discretionary) | 10 | 95 | |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | 10 | 95 | |

The Department of Homeland Security (DHS) and the Working Capital Fund (WCF) Governance Board decided to dissolve the WCF in 2021. This decision was reached after conducting strategic reviews of the WCF Governance criteria and discussions within the Management Directorate on their business strategy for providing services to their customer base. As a result, no funds are included in the 2021 Budget. All activities will be removed from the WCF with base transfers in 2021. DHS Components will transfer funds to the servicing Management lines of business for Fee-for-Service and Government-Wide Mandated Services.

Object Classification (in millions of dollars)

| Identification code 070-4640-0-4-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Reimbursable obligations: | | | |
| 23.1 Rental payments to GSA | 164 | 154 | |
| 23.3 Communications, utilities, and miscellaneous charges | 19 | 20 | |
| 25.1 Advisory and assistance services | 28 | 28 | |
| 25.2 Other services from non-Federal sources | 59 | 63 | |
| 25.3 Other goods and services from Federal sources | 67 | 71 | |
| 25.7 Operation and maintenance of equipment | 70 | 75 | |
| 26.0 Supplies and materials | 5 | 5 | |
| 31.0 Equipment | 4 | 4 | |
| 99.9 Total new obligations, unexpired accounts | 416 | 420 | |

ANALYSIS AND OPERATIONS

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Office of Intelligence and Analysis and the Office of Operations Coordination for operations and support, **[\$284,141,000]** \$312,638,000, of which **[\$68,579,000]** \$82,620,000 shall remain available until September 30, **[2021]** 2022: Provided, That not to exceed \$3,825 shall be for official reception and representation expenses and not to exceed \$2,000,000 is available for facility needs associated with secure space at fusion centers, including improvements to buildings. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0115-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Analysis and Operations | 259 | 284 | 313 |
| 0801 Analysis and Operations (Reimbursable) | 54 | 43 | 17 |

| | | | |
|---|------|------|------|
| 0900 Total new obligations, unexpired accounts | 313 | 327 | 330 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 1 | | |
| 1021 Recoveries of prior year unpaid obligations | 6 | | |
| 1050 Unobligated balance (total) | 7 | | |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 253 | 284 | 313 |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 28 | 43 | 43 |
| 1701 Change in uncollected payments, Federal sources | 25 | | |
| 1750 Spending auth from offsetting collections, disc (total) | 53 | 43 | 43 |
| 1900 Budget authority (total) | 306 | 327 | 356 |
| 1930 Total budgetary resources available | 313 | 327 | 356 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | | | 26 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 147 | 158 | 222 |
| 3010 New obligations, unexpired accounts | 313 | 327 | 330 |
| 3020 Outlays (gross) | -292 | -263 | -335 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -6 | | |
| 3041 Recoveries of prior year unpaid obligations, expired | -4 | | |
| 3050 Unpaid obligations, end of year | 158 | 222 | 217 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -28 | -52 | -52 |
| 3070 Change in uncollected pymts, Fed sources, unexpired | -25 | | |
| 3071 Change in uncollected pymts, Fed sources, expired | 1 | | |
| 3090 Uncollected pymts, Fed sources, end of year | -52 | -52 | -52 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 119 | 106 | 170 |
| 3200 Obligated balance, end of year | 106 | 170 | 165 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 306 | 327 | 356 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 191 | 164 | 178 |
| 4011 Outlays from discretionary balances | 101 | 99 | 157 |
| 4020 Outlays, gross (total) | 292 | 263 | 335 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -30 | -43 | -43 |
| Additional offsets against gross budget authority only: | | | |
| 4050 Change in uncollected pymts, Fed sources, unexpired | -25 | | |
| 4052 Offsetting collections credited to expired accounts | 2 | | |
| 4060 Additional offsets against budget authority only (total) | -23 | | |
| 4070 Budget authority, net (discretionary) | 253 | 284 | 313 |
| 4080 Outlays, net (discretionary) | 262 | 220 | 292 |
| 4180 Budget authority, net (total) | 253 | 284 | 313 |
| 4190 Outlays, net (total) | 262 | 220 | 292 |

Analysis and Operations (A&O) provides resources supporting the Office of Intelligence and Analysis (I&A) and the Office of Operations Coordination (OPS). This funding includes both National Intelligence Program (NIP) and non-NIP resources. Even though these two offices are different and distinct in their missions, they work closely together and collaborate with other departmental component agencies and related Federal agencies, as well as State, local, tribal, foreign, and private-sector partners, to improve intelligence analysis, information sharing, incident management support, and situational awareness. I&A's mission is to equip the Homeland Security Enterprise with the timely intelligence and information it needs to keep the homeland safe, secure, and resilient. I&A is the interface between the Intelligence Community (IC) and Federal, State, local, and private sector homeland security partners, providing strategic analyses, warning, and actionable intelligence, ensuring departmental leadership, components, law enforcement, and IC partners have the tools they need to confront and disrupt terrorist threats. I&A's unique mission within the IC blends national intelligence with Department of Homeland Security (DHS) component and other stakeholder source data, providing homeland security-centric analysis. The Under Secretary for Intelligence and Analysis leads I&A and is the

Department's Chief Intelligence Officer responsible for overseeing the DHS Intelligence Enterprise. The Under Secretary is also responsible for implementing the National Strategy on Information Sharing across the Department. The mission of OPS is to provide operations coordination, information sharing, situational awareness, the common operating picture, and Department continuity, enabling execution of the Secretary's responsibilities across the homeland security enterprise. OPS plays a pivotal role in the DHS mission to lead the unified national effort to secure America by facilitating the Secretary's responsibilities across the full spectrum of incident management efforts (i.e., prevention, protection, response and recovery). OPS provides situational awareness, assessments, and operations coordination for the DHS Secretary and facilitates operational information sharing with all DHS components, as well as for Federal, State, local, tribal, private sector, and international partners. OPS supports the DHS mission to lead the national unified effort to secure America by maintaining the National Operations Center (NOC) and by providing 24/7 multi-agency organization, fusing law enforcement, national intelligence, emergency response, and private sector reporting. The NOC is the primary national-level hub for domestic incident management, operations coordination, and situational awareness.

Object Classification (in millions of dollars)

| Identification code 070-0115-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 92 | 99 | 109 |
| 11.5 Other personnel compensation | 5 | 2 | 2 |
| 11.8 Special personal services payments | 3 | 4 | 4 |
| 11.9 Total personnel compensation | 100 | 105 | 115 |
| 12.1 Civilian personnel benefits | 31 | 31 | 33 |
| 21.0 Travel and transportation of persons | 4 | 4 | 4 |
| 23.1 Rental payments to GSA | 9 | 9 | |
| 25.1 Advisory and assistance services | 71 | 86 | 113 |
| 25.3 Other goods and services from Federal sources | 33 | 36 | 16 |
| 25.7 Operation and maintenance of equipment | 7 | 4 | 23 |
| 26.0 Supplies and materials | 1 | 1 | 1 |
| 31.0 Equipment | 3 | 8 | 8 |
| 99.0 Direct obligations | 259 | 284 | 313 |
| 99.0 Reimbursable obligations | 54 | 43 | 17 |
| 99.9 Total new obligations, unexpired accounts | 313 | 327 | 330 |

Employment Summary

| Identification code 070-0115-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | 820 | 897 | 897 |
| 2001 Reimbursable civilian full-time equivalent employment | 21 | 19 | 21 |

OFFICE OF THE INSPECTOR GENERAL

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Office of Inspector General for operations and support, **[\$190,186,000] \$177,779,000: Provided**, That not to exceed \$300,000 may be used for certain confidential operational expenses, including the payment of informants, to be expended at the direction of the Inspector General. (*Department of Homeland Security Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

| Identification code 070-0200-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0002 CAS - Mission Support | 185 | 203 | 178 |
| 0799 Total direct obligations | 185 | 203 | 178 |
| 0801 Operating Expenses (Reimbursable) | 13 | 18 | 18 |
| 0900 Total new obligations, unexpired accounts | 198 | 221 | 196 |

Budgetary resources:

| | | | |
|---|-----|-----|-----|
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 30 | 13 | |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 168 | 190 | 178 |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 12 | 18 | 18 |
| 1701 Change in uncollected payments, Federal sources | 1 | | |
| 1750 Spending auth from offsetting collections, disc (total) | 13 | 18 | 18 |
| 1900 Budget authority (total) | 181 | 208 | 196 |
| 1930 Total budgetary resources available | 211 | 221 | 196 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 13 | | |

Change in obligated balance:

| | | | |
|---|------|------|------|
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 52 | 56 | 53 |
| 3010 New obligations, unexpired accounts | 198 | 221 | 196 |
| 3020 Outlays (gross) | -190 | -224 | -197 |
| 3041 Recoveries of prior year unpaid obligations, expired | -4 | | |
| 3050 Unpaid obligations, end of year | 56 | 53 | 52 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -8 | -9 | -9 |
| 3070 Change in uncollected pymts, Fed sources, unexpired | -1 | | |
| 3090 Uncollected pymts, Fed sources, end of year | -9 | -9 | -9 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 44 | 47 | 44 |
| 3200 Obligated balance, end of year | 47 | 44 | 43 |

Budget authority and outlays, net:

| | | | |
|---|-----|-----|-----|
| Discretionary: | | | |
| 4000 Budget authority, gross | 181 | 208 | 196 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 148 | 171 | 160 |
| 4011 Outlays from discretionary balances | 42 | 53 | 37 |
| 4020 Outlays, gross (total) | 190 | 224 | 197 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -12 | -18 | -18 |
| Additional offsets against gross budget authority only: | | | |
| 4050 Change in uncollected pymts, Fed sources, unexpired | -1 | | |
| 4060 Additional offsets against budget authority only (total) | -1 | | |
| 4070 Budget authority, net (discretionary) | 168 | 190 | 178 |
| 4080 Outlays, net (discretionary) | 178 | 206 | 179 |
| 4180 Budget authority, net (total) | 168 | 190 | 178 |
| 4190 Outlays, net (total) | 178 | 206 | 179 |

The Operations and Support appropriation provides the funds necessary for the operations, mission support, and associated management and administration costs for the Office of Inspector General (OIG). The OIG conducts and supervises audits, inspections, and investigations relating to the programs and operations of the Department; promotes economy, efficiency, and effectiveness; and prevents and detects fraud, waste, and abuse in the Department's programs and operations.

Object Classification (in millions of dollars)

| Identification code 070-0200-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 90 | 96 | 87 |
| 11.5 Other personnel compensation | 6 | 9 | 7 |
| 11.9 Total personnel compensation | 96 | 105 | 94 |
| 12.1 Civilian personnel benefits | 37 | 38 | 39 |
| 21.0 Travel and transportation of persons | 3 | 3 | 3 |
| 23.1 Rental payments to GSA | 12 | 16 | 16 |
| 23.3 Communications, utilities, and miscellaneous charges | 3 | 7 | 4 |
| 25.1 Advisory and assistance services | 17 | 8 | 5 |
| 25.2 Other services from non-Federal sources | 1 | 8 | 6 |
| 25.3 Other goods and services from Federal sources | 5 | 7 | 5 |
| 25.4 Operation and maintenance of facilities | | 1 | 1 |
| 25.7 Operation and maintenance of equipment | 7 | 4 | 3 |
| 26.0 Supplies and materials | 1 | 1 | 1 |
| 31.0 Equipment | 3 | 5 | 1 |
| 99.0 Direct obligations | 185 | 203 | 178 |
| 99.0 Reimbursable obligations | 13 | 18 | 18 |

OPERATIONS AND SUPPORT—Continued

Object Classification—Continued

| Identification code 070-0200-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 99.9 Total new obligations, unexpired accounts | 198 | 221 | 196 |

Employment Summary

| Identification code 070-0200-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | 777 | 756 | 747 |

ADMINISTRATIVE PROVISIONS

SEC. 101. (a) The Secretary of Homeland Security shall submit a report not later than October 15, [2020] 2021, to the Inspector General of the Department of Homeland Security listing all grants and contracts awarded by any means other than full and open competition during fiscal years [2019]2020 or [2020] 2021.

(b) The Inspector General shall review the report required by subsection (a) to assess departmental compliance with applicable laws and regulations and report the results of that review to the Committees on Appropriations of the Senate and the House of Representatives not later than February 15, [2021] 2022.

SEC. 102. Not later than 30 days after the last day of each month, the Chief Financial Officer of the Department of Homeland Security shall submit to the Committees on Appropriations of the Senate and the House of Representatives a monthly budget and staffing report that includes total obligations of the Department for that month and for the fiscal year at the appropriation and program, project, and activity levels, by the source year of the appropriation.

SEC. 103. The Secretary of Homeland Security shall require that all contracts of the Department of Homeland Security that provide award fees link such fees to successful acquisition outcomes, which shall be specified in terms of cost, schedule, and performance.

SEC. 104. The Secretary of Homeland Security, in consultation with the Secretary of the Treasury, shall notify the Committees on Appropriations of the Senate and the House of Representatives of any proposed transfers of funds available under section 9705(g)(4)(B) of title 31, United States Code, from the Department of the Treasury Forfeiture Fund to any agency within the Department of Homeland Security: *Provided*, That none of the funds identified for such a transfer may be obligated until the Committees on Appropriations of the Senate and the House of Representatives are notified of the proposed transfers.

SEC. 105. All official costs associated with the use of Government aircraft by Department of Homeland Security personnel to support official travel of the Secretary and the Deputy Secretary shall be paid from amounts made available for the Office of the Secretary.

ESTABLISHMENT OF AN IMMIGRATION DETENTION OMBUDSMAN

[SEC. 106. (a) IN GENERAL.—Subtitle A of title IV of the Homeland Security Act of 2002 is amended by adding the following new section:

"(a) IN GENERAL.—Within the Department, there shall be a position of Immigration Detention Ombudsman (in this section referred to as the 'Ombudsman'). The Ombudsman shall be independent of Department agencies and officers and shall report directly to the Secretary. The Ombudsman shall be a senior official with a background in civil rights enforcement, civil detention care and custody, and immigration law.

"(b) FUNCTIONS.—The functions of the Ombudsman shall be to—

"(1) Establish and administer an independent, neutral, and confidential process to receive, investigate, resolve, and provide redress, including referral for investigation to the Office of the Inspector General, referral to U.S. Citizenship and Immigration Services for immigration relief, or any other action determined appropriate, for cases in which Department officers or other personnel, or contracted, subcontracted, or cooperating entity personnel, are found to have engaged in misconduct or violated the rights of individuals in immigration detention;

"(2) Establish an accessible and standardized process regarding complaints against any officer or employee of U.S. Customs and Border Protection or U.S. Immigration and Customs Enforcement, or any contracted, subcontracted, or cooperating entity personnel, for violations of law, standards of professional conduct, contract terms, or policy related to immigration detention;

"(3) Conduct unannounced inspections of detention facilities holding individuals in federal immigration custody, including those owned or operated by units of State or local government and privately-owned or operated facilities;

"(4) Review, examine, and make recommendations to address concerns or violations of contract terms identified in reviews, audits, investigations, or detainee interviews regarding immigration detention facilities and services;

"(5) Provide assistance to individuals affected by potential misconduct, excessive force, or violations of law or detention standards by Department of Homeland Security officers or other personnel, or contracted, subcontracted, or cooperating entity personnel; and

"(6) Ensure that the functions performed by the Ombudsman are complementary to existing functions within the Department of Homeland Security.

"(c) ACCESS TO DETENTION FACILITIES.—The Ombudsman or designated personnel of the Ombudsman, shall be provided unfettered access to any location within each such detention facility and shall be permitted confidential access to any detainee at the detainee's request and any departmental records concerning such detainee.

"(1) IN GENERAL.—The Director of U.S. Immigration and Customs Enforcement and the Commissioner of U.S. Customs and Border Protection shall each establish procedures to provide formal responses to recommendations submitted to such officials by the Ombudsman within 60 days of receiving such recommendations.

"(2) ACCESS TO INFORMATION.—The Secretary shall establish procedures to provide the Ombudsman access to all departmental records necessary to execute the responsibilities of the Ombudsman under subsection (b) or (c) not later than 60 days after a request from the Ombudsman for such information.

"(e) ANNUAL REPORT.—The Ombudsman shall prepare a report to Congress on an annual basis on its activities, findings, and recommendations."

(b) CLERICAL AMENDMENT.—The table of contents of the Homeland Security Act of 2002 is amended by adding the following new item after "Sec. 404. Surface Transportation Security Advisory Committee.":

Sec. 405. Ombudsman for Immigration Detention.]

SEC. [107] 106. Section 107 of the Department of Homeland Security Appropriations Act, 2018 (division F of Public Law 115-141), related to visa overstay data, shall apply in fiscal year [2020] 2021, except that the reference to "this Act" shall be treated as referring to this Act, and the reference to "2017" shall be treated as referring to ["2019"] "2020".

SEC. 107. *Notwithstanding any other provision of law, and contingent upon enactment of authorizing legislation to transfer the United States Secret Service to the Department of the Treasury, the Secretary of Homeland Security may transfer amounts appropriated in this Act under the heading "Office of Inspector General - Operations and Support" to the "Office of Inspector General - Salaries and Expenses" account of the Department of the Treasury under the relevant Act. (Department of Homeland Security Appropriations Act, 2020.)*

U.S. CUSTOMS AND BORDER PROTECTION

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of U.S. Customs and Border Protection for operations and support, including the transportation of unaccompanied minor aliens; the provision of air and marine support to Federal, State, local, and international agencies in the enforcement or administration of laws enforced by the Department of Homeland Security; at the discretion of the Secretary of Homeland Security, the provision of such support to Federal, State, [and] local, and international agencies in other law enforcement and emergency humanitarian efforts; the purchase and lease of up to 7,500 (6,500 for replacement only) police-type vehicles; the purchase, maintenance, or operation of marine vessels, aircraft, and unmanned aerial systems; and contracting with individuals for personal services abroad; [\$12,735,399,000] \$12,987,432,000; of which \$3,274,000 shall be derived from the Harbor Maintenance Trust Fund for administrative expenses related to the collection of the Harbor Maintenance Fee pursuant to section 9505(c)(3) of the Internal Revenue Code of 1986 (26 U.S.C. 9505(c)(3)) and notwithstanding section 1511(e)(1) of the Homeland Security Act of 2002 (6 U.S.C. 551(e)(1)); of which [\$500,000,000] \$566,573,000 shall be available until September 30, [2021] 2022; and of which such sums as become available in the Customs User Fee Account, except sums subject to section 13031(f)(3) of the Consolidated Omnibus Budget Reconciliation Act of 1985 (19 U.S.C. 58c(f)(3)), shall be derived from that account; and of which \$104,377,000 is for additional Office of Field Operations staffing; *Provided*, That not to exceed \$34,425 shall be for official reception and representation expenses: *Provided further*, That not to exceed \$150,000 shall be available for payment for rental space in connection with preclearance operations: *Provided further*, That not to exceed \$2,000,000 shall be for awards of compensation to informants, to be accounted for solely under the certificate of the Secretary of Homeland Security; *Provided further*, That not to exceed \$5,000,000 may be transferred to the Bureau of Indian Affairs for the

maintenance and repair of roads on Native American reservations used by the U.S. Border Patrol: *Provided further*, That of the funds made available under this heading for the Executive Leadership and Oversight program, project, and activity, \$5,000,000 shall be withheld from obligation until the reports directed by the explanatory statement accompanying this Act concerning Custody and Transfer Metrics, the Migrant Protection Protocol program, and medical guidance have been made available on a publicly accessible website. (Department of Homeland Security Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 070-0530-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 0100 Balance, start of year | 1,057 | 1,057 | 1,186 |
| Receipts: | | | |
| Current law: | | | |
| 1120 Immigration User Fee | 929 | 942 | 961 |
| 1120 Land Border Inspection Fee | 57 | 58 | 59 |
| 1120 Customs Conveyance, Passenger, and Other Fees | 289 | 293 | 299 |
| 1120 Customs Conveyance, Passenger, and Other Fees | 56 | 57 | 58 |
| 1120 Customs Conveyance, Passenger, and Other Fees | 594 | 606 | 623 |
| 1120 US Customs User Fees Account, Merchandise Processing | 56 | 57 | 58 |
| 1120 US Customs User Fees Account, Merchandise Processing | 2,676 | 2,786 | 2,915 |
| 1120 Customs Fees, Inflation Adjustment | | 43 | 60 |
| 1120 Customs Fees, Inflation Adjustment | | 4 | 6 |
| 1120 Customs Fees, Inflation Adjustment | | 4 | 6 |
| 1130 Fees, Customs and Border Protection Services at User Fee Facilities | 14 | 14 | 14 |
| 1199 Total current law receipts | 4,671 | 4,864 | 5,059 |
| Proposed: | | | |
| 1220 Immigration User Fee | | | 376 |
| 1220 Customs Conveyance, Passenger, and Other Fees | | | 110 |
| 1220 Customs Conveyance, Passenger, and Other Fees | | | 21 |
| 1220 Customs Conveyance, Passenger, and Other Fees | | | 227 |
| 1220 US Customs User Fees Account, Merchandise Processing | | | 21 |
| 1220 Customs Fees, Inflation Adjustment | | | 44 |
| 1220 Customs Fees, Inflation Adjustment | | | 4 |
| 1220 Customs Fees, Inflation Adjustment | | | 4 |
| 1299 Total proposed receipts | | | 807 |
| 1999 Total receipts | 4,671 | 4,864 | 5,866 |
| 2000 Total: Balances and receipts | 5,728 | 5,921 | 7,052 |
| Appropriations: | | | |
| Current law: | | | |
| 2101 Operations and Support | -161 | -135 | -135 |
| 2101 Operations and Support | -14 | -10 | -10 |
| 2101 Operations and Support | -2,732 | -2,786 | -2,915 |
| 2101 Operations and Support | -289 | -305 | -322 |
| 2101 Operations and Support | -57 | -58 | -59 |
| 2101 Operations and Support | -768 | -778 | -794 |
| 2101 Operations and Support | -594 | -606 | -623 |
| 2101 Operations and Support | -56 | -57 | -58 |
| 2199 Total current law appropriations | -4,671 | -4,735 | -4,916 |
| Proposed: | | | |
| 2201 Operations and Support | | | -65 |
| 2201 Operations and Support | | | -311 |
| 2201 Operations and Support | | | -227 |
| 2201 Operations and Support | | | -21 |
| 2299 Total proposed appropriations | | | -624 |
| 2999 Total appropriations | -4,671 | -4,735 | -5,540 |
| 5099 Balance, end of year | 1,057 | 1,186 | 1,512 |

Program and Financing (in millions of dollars)

| Identification code 070-0530-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0003 Border Security, between POEs | 4 | | |
| 0004 CAS - Mission Support | 2,326 | 1,996 | 1,853 |
| 0005 CAS - Border Security Operations | 4,965 | 5,344 | 4,936 |
| 0006 CAS - Trade and Travel Operations | 6,234 | 7,923 | 7,713 |
| 0007 CAS - Integrated Operations | 1,210 | 1,200 | 1,161 |
| 0799 Total direct obligations | 14,739 | 16,463 | 15,663 |
| 0801 Reimbursable activity | 2,429 | 2,358 | 2,848 |
| 0899 Total reimbursable obligations | 2,429 | 2,358 | 2,848 |
| 0900 Total new obligations, unexpired accounts | 17,168 | 18,821 | 18,511 |

Budgetary resources:

| | | | |
|--|--------|--------|--------|
| 1000 Unobligated balance brought forward, Oct 1 | 916 | 1,781 | 706 |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 | 318 | 1,076 | |
| 1011 Unobligated balance transfer from other acct [070-0532] | 6 | | |
| 1012 Unobligated balance transfers between expired and unexpired accounts | 20 | | |
| 1021 Recoveries of prior year unpaid obligations | 71 | 71 | 82 |
| 1033 Recoveries of prior year paid obligations | 4 | 18 | 18 |
| 1050 Unobligated balance (total) | 1,017 | 1,870 | 806 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 10,456 | 9,946 | 10,070 |
| 1101 Appropriation (Small Airports) | 14 | 10 | 10 |
| 1101 Appropriation (MPF) | 2,732 | 2,786 | 2,915 |
| 1101 Appropriation (COBRA FTA) | 289 | 305 | 322 |
| 1101 Harbor Maintenance Fee | 3 | 3 | 3 |
| 1120 Appropriations transferred to other acct [014-2301] | | -5 | |
| 1120 Appropriations transferred to other acct [070-0540] | -39 | | |
| 1131 Unobligated balance of appropriations permanently reduced | | -324 | |
| 1160 Appropriation, discretionary (total) | 13,455 | 12,721 | 13,320 |
| Appropriations, mandatory: | | | |
| 1201 Appropriation (Land Border) | 57 | 58 | 59 |
| 1201 Appropriation (IUF) | 768 | 778 | 794 |
| 1201 Appropriation (COBRA) | 594 | 606 | 623 |
| 1201 Appropriation (ECCF) | 56 | 57 | 58 |
| 1201 Appropriation (Immigration Enforcement Fines) | 1 | 1 | 1 |
| 1203 Appropriation (previously unavailable)(special or trust) | 91 | 91 | 86 |
| 1221 Appropriations transferred from other acct [012-1600] | 539 | 582 | 582 |
| 1221 Appropriations transferred from other acct [011-5512] | 13 | | |
| 1232 Appropriations and/or unobligated balance of appropriations temporarily reduced | -91 | -86 | |
| 1260 Appropriations, mandatory (total) | 2,028 | 2,087 | 2,203 |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 2,283 | 2,831 | 2,831 |
| 1701 Change in uncollected payments, Federal sources | 195 | 18 | 18 |
| 1750 Spending auth from offsetting collections, disc (total) | 2,478 | 2,849 | 2,849 |
| 1900 Budget authority (total) | 17,961 | 17,657 | 18,372 |
| 1930 Total budgetary resources available | 18,978 | 19,527 | 19,178 |
| Memorandum (non-add) entries: | | | |
| 1940 Unobligated balance expiring | -29 | | |
| 1941 Unexpired unobligated balance, end of year | 1,781 | 706 | 667 |

Change in obligated balance:

| | | | |
|---|---------|---------|---------|
| 3000 Unpaid obligations, brought forward, Oct 1 | 3,398 | 3,701 | 3,923 |
| 3010 New obligations, unexpired accounts | 17,168 | 18,821 | 18,511 |
| 3011 Obligations ("upward adjustments"), expired accounts | 13 | | |
| 3020 Outlays (gross) | -16,615 | -18,528 | -18,284 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -71 | -71 | -82 |
| 3041 Recoveries of prior year unpaid obligations, expired | -192 | | |
| 3050 Unpaid obligations, end of year | 3,701 | 3,923 | 4,068 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -271 | -361 | -379 |
| 3070 Change in uncollected pymts, Fed sources, unexpired | -195 | -18 | -18 |
| 3071 Change in uncollected pymts, Fed sources, expired | 105 | | |
| 3090 Uncollected pymts, Fed sources, end of year | -361 | -379 | -397 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 3,127 | 3,340 | 3,544 |
| 3200 Obligated balance, end of year | 3,340 | 3,544 | 3,671 |

Budget authority and outlays, net:

| | | | |
|--|--------|--------|--------|
| 4000 Discretionary: | | | |
| Budget authority, gross | 15,933 | 15,570 | 16,169 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 12,015 | 13,191 | 13,712 |
| 4011 Outlays from discretionary balances | 2,690 | 3,191 | 2,379 |
| 4020 Outlays, gross (total) | 14,705 | 16,382 | 16,091 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -2,271 | -2,752 | -2,752 |
| 4033 Non-Federal sources | -79 | -62 | -62 |
| 4033 Non-Federal sources | -26 | -35 | -35 |
| 4040 Offsets against gross budget authority and outlays (total) | -2,376 | -2,849 | -2,849 |
| Additional offsets against gross budget authority only: | | | |
| 4050 Change in uncollected pymts, Fed sources, unexpired | -195 | -18 | -18 |
| 4052 Offsetting collections credited to expired accounts | 89 | | |
| 4053 Recoveries of prior year paid obligations, unexpired accounts | 4 | 18 | 18 |

OPERATIONS AND SUPPORT—Continued
Program and Financing—Continued

| Identification code 070-0530-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| 4060 Additional offsets against budget authority only (total) | -102 | | |
| 4070 Budget authority, net (discretionary) | 13,455 | 12,721 | 13,320 |
| 4080 Outlays, net (discretionary) | 12,329 | 13,533 | 13,242 |
| Mandatory: | | | |
| 4090 Budget authority, gross | 2,028 | 2,087 | 2,203 |
| Outlays, gross: | | | |
| 4100 Outlays from new mandatory authority | 1,469 | 1,593 | 1,614 |
| 4101 Outlays from mandatory balances | 441 | 553 | 579 |
| 4110 Outlays, gross (total) | 1,910 | 2,146 | 2,193 |
| 4180 Budget authority, net (total) | 15,483 | 14,808 | 15,523 |
| 4190 Outlays, net (total) | 14,239 | 15,679 | 15,435 |

Summary of Budget Authority and Outlays (in millions of dollars)

| | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Enacted/requested: | | | |
| Budget Authority | 15,483 | 14,808 | 15,523 |
| Outlays | 14,239 | 15,679 | 15,435 |
| Legislative proposal, subject to PAYGO: | | | |
| Budget Authority | | | 559 |
| Outlays | | | 407 |
| Total: | | | |
| Budget Authority | 15,483 | 14,808 | 16,082 |
| Outlays | 14,239 | 15,679 | 15,842 |

U.S. Customs and Border Protection (CBP) works to secure America's borders, while facilitating legitimate trade and travel. CBP is responsible for inspecting travelers at the land, sea, and air ports-of-entry (POEs) for immigration, customs, and agriculture compliance, as well as interdicting illegal entrants between the POEs. CBP enforces the laws regarding admission of foreign-born persons into the United States; identifies and apprehends aliens; and ensures that all goods and persons entering and exiting the United States do so legally. CBP's over 60,000 highly-trained employees ensure that the agency performs its mission with vigilance, integrity, and professionalism.

The Operations and Support appropriation funds necessary operations, mission support, and associated management and administrative costs. Major programs include:

Border Security Operations.—This program funds activities designed to protect the Nation through the coordinated use of Border Patrol Agents, technology, and air and marine forces to detect, interdict, and prevent acts of terrorism and the unlawful movement of people, illegal drugs, and other contraband toward or across the borders of the United States. These activities contribute to securing America's Southwest, Northern, and Coastal borders. Through the coordinated use of operational capabilities and assets of the U.S. Border Patrol and Air and Marine Operations, CBP prevents terrorism and terrorist weapons, illegal aliens, smugglers, narcotics, and other contraband from moving across the borders of the United States.

Trade and Travel Operations.—This program funds the mitigation of terrorist threats and the prevention of contraband from entering the U.S. while facilitating the legal flow of people and trade. CBP achieves this mission by deploying CBP officers to the POEs and by using a combination of technology, intelligence, risk information, targeting, and international cooperation to screen inbound international cargo and travelers and, in targeted border areas, to screen departing export cargo. Additional attention to outbound travel along areas of the Southwest border helps prevent the exit of money and weapons for illegal purposes. CBP has extended a zone of security beyond the United States' physical borders through bilateral cooperation with other nations, private-sector partnerships, expanded targeting, and advance scrutiny of information on people and products coming into the U.S.

Integrated Operations.—This program captures the activities to establish the foundation for an integrated, all-hazards planning framework helping to mitigate routine emergencies, catastrophic events and interruptions of

border security operations both at and between the ports of entry. Activities funded in the program operate at the national level and are not limited to a specific geographical area. Integrated Operations include funding for command and control, coordination, occupational health and safety, and information and situational awareness for multiple CBP mission programs.

Mission Support.—This program captures activities that are standardized across the Department of Homeland Security that provide enterprise leadership, management, and/or business administration services and describes the capabilities and activities that support the day-to-day management and back office functions enabling both CBP and the Department to operate efficiently and effectively. Key capabilities include conducting agency planning and performance management; managing finances; managing agency workforce to include recruiting, hiring, screening, equipping, and training new employees; providing physical and personnel security; acquiring goods and services; managing information technology; managing agency property and assets; managing agency communications; managing legal affairs; and providing general management and administration.

Object Classification (in millions of dollars)

| Identification code 070-0530-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 5,425 | 6,358 | 6,051 |
| 11.3 Other than full-time permanent | 8 | 15 | 14 |
| 11.5 Other personnel compensation | 1,426 | 1,182 | 1,124 |
| 11.9 Total personnel compensation | 6,859 | 7,555 | 7,189 |
| 12.1 Civilian personnel benefits | 3,245 | 3,562 | 3,389 |
| 21.0 Travel and transportation of persons | 209 | 180 | 171 |
| 22.0 Transportation of things | 18 | 20 | 19 |
| 23.1 Rental payments to GSA | 589 | 843 | 802 |
| 23.2 Rental payments to others | 36 | 59 | 56 |
| 23.3 Communications, utilities, and miscellaneous charges | 139 | 126 | 120 |
| 24.0 Printing and reproduction | 5 | 4 | 4 |
| 25.1 Advisory and assistance services | 71 | 115 | 109 |
| 25.2 Other services from non-Federal sources | 1,614 | 2,029 | 1,929 |
| 25.3 Other goods and services from Federal sources | 156 | 104 | 99 |
| 25.4 Operation and maintenance of facilities | 312 | 302 | 287 |
| 25.6 Medical care | 17 | 27 | 26 |
| 25.7 Operation and maintenance of equipment | 306 | 463 | 441 |
| 25.8 Subsistence and support of persons | 155 | 8 | 7 |
| 26.0 Supplies and materials | 331 | 376 | 358 |
| 31.0 Equipment | 572 | 575 | 547 |
| 32.0 Land and structures | 82 | 111 | 106 |
| 42.0 Insurance claims and indemnities | 4 | 4 | 4 |
| 44.0 Refunds | 21 | | |
| 99.0 Direct obligations | 14,741 | 16,463 | 15,663 |
| 99.0 Reimbursable obligations | 2,427 | 2,358 | 2,848 |
| 99.9 Total new obligations, unexpired accounts | 17,168 | 18,821 | 18,511 |

Employment Summary

| Identification code 070-0530-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | 46,690 | 49,361 | 49,207 |
| 2001 Reimbursable civilian full-time equivalent employment | 12,110 | 12,110 | 12,110 |

OPERATIONS AND SUPPORT
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 070-0530-4-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0801 Reimbursable activity | | | 559 |
| 0899 Total reimbursable obligations | | | 559 |
| Budgetary resources: | | | |
| Budget authority: | | | |
| Appropriations, mandatory: | | | |
| 1201 Appropriation (IUF) | | | 311 |
| 1201 Appropriation (COBRA) | | | 227 |
| 1201 Appropriation (ECCF) | | | 21 |
| 1260 Appropriations, mandatory (total) | | | 559 |

| | | |
|---|--|------|
| 1930 | Total budgetary resources available | 559 |
| Change in obligated balance: | | |
| Unpaid obligations: | | |
| 3010 | New obligations, unexpired accounts | 559 |
| 3020 | Outlays (gross) | -407 |
| 3050 | Unpaid obligations, end of year | 152 |
| Memorandum (non-add) entries: | | |
| 3200 | Obligated balance, end of year | 152 |
| Budget authority and outlays, net: | | |
| Mandatory: | | |
| 4090 | Budget authority, gross | 559 |
| Outlays, gross: | | |
| 4100 | Outlays from new mandatory authority | 407 |
| 4180 | Budget authority, net (total) | 559 |
| 4190 | Outlays, net (total) | 407 |

The Budget includes two proposals for user fee increases that impact this account. The Budget proposes an increase of \$2 to the Immigration Inspection User Fee and to partially eliminate a fee exemption for sea passengers arriving from the United States, Canada, Mexico, or Adjacent Islands. The Budget also proposes an increase of \$2 for the Consolidated Omnibus Budget Reconciliation Act (COBRA) customs user fees for passengers traveling by air and sea and increase all other COBRA rates and caps by proportionate amounts. The COBRA legislative proposal also extends the authority for COBRA and MPF fee collections beyond the current sunset date of September 30, 2029.

Object Classification (in millions of dollars)

| Identification code 070-0530-4-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--------------------------------------|--|-----------|-----------|
| Reimbursable obligations: | | | |
| Personnel compensation: | | | |
| 11.1 | Full-time permanent | | 261 |
| 11.3 | Other than full-time permanent | | 1 |
| 11.5 | Other personnel compensation | | 89 |
| 11.9 | Total personnel compensation | | 351 |
| 12.1 | Civilian personnel benefits | | 155 |
| 21.0 | Travel and transportation of persons | | 2 |
| 22.0 | Transportation of things | | 2 |
| 23.1 | Rental payments to GSA | | 9 |
| 23.2 | Rental payments to others | | 1 |
| 23.3 | Communications, utilities, and miscellaneous charges | | 2 |
| 24.0 | Printing and reproduction | | 1 |
| 25.2 | Other services from non-Federal sources | | 25 |
| 25.3 | Other goods and services from Federal sources | | 1 |
| 25.4 | Operation and maintenance of facilities | | 1 |
| 25.7 | Operation and maintenance of equipment | | 4 |
| 26.0 | Supplies and materials | | 1 |
| 32.0 | Land and structures | | 4 |
| 99.0 | Reimbursable obligations | | 559 |
| 99.9 | Total new obligations, unexpired accounts | | 559 |

Employment Summary

| Identification code 070-0530-4-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--------------------------------------|---|-----------|-----------|
| 2001 | Reimbursable civilian full-time equivalent employment | | 2,922 |

BORDER SECURITY FENCING, INFRASTRUCTURE, AND TECHNOLOGY

Program and Financing (in millions of dollars)

| Identification code 070-0533-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|---|---|-----------|-----------|
| Obligations by program activity: | | | |
| 0002 | Development and Deployment | 65 | 7 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 | Unobligated balance brought forward, Oct 1 | 47 | 7 |
| 1021 | Recoveries of prior year unpaid obligations | 25 | |
| 1050 | Unobligated balance (total) | 72 | 7 |

| | | | |
|---|--|-----|------|
| 1930 | Total budgetary resources available | 72 | 7 |
| Memorandum (non-add) entries: | | | |
| 1941 | Unexpired unobligated balance, end of year | 7 | |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 | Unpaid obligations, brought forward, Oct 1 | 247 | 186 |
| 3010 | New obligations, unexpired accounts | 65 | 7 |
| 3011 | Obligations ("upward adjustments"), expired accounts | 1 | |
| 3020 | Outlays (gross) | -88 | -115 |
| 3040 | Recoveries of prior year unpaid obligations, unexpired | -25 | |
| 3041 | Recoveries of prior year unpaid obligations, expired | -14 | -6 |
| 3050 | Unpaid obligations, end of year | 186 | 72 |
| Memorandum (non-add) entries: | | | |
| 3100 | Obligated balance, start of year | 247 | 186 |
| 3200 | Obligated balance, end of year | 186 | 72 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| Outlays, gross: | | | |
| 4011 | Outlays from discretionary balances | 88 | 115 |
| 4180 | Budget authority, net (total) | | |
| 4190 | Outlays, net (total) | 88 | 115 |

Object Classification (in millions of dollars)

| Identification code 070-0533-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--------------------------------------|---|-----------|-----------|
| Direct obligations: | | | |
| 25.2 | Other services from non-Federal sources | 15 | 7 |
| 31.0 | Equipment | 15 | |
| 32.0 | Land and structures | 35 | |
| 99.9 | Total new obligations, unexpired accounts | 65 | 7 |

AUTOMATION MODERNIZATION, CUSTOMS AND BORDER PROTECTION

Program and Financing (in millions of dollars)

| Identification code 070-0531-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|---|--|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 | COPPS | 4 | |
| 0003 | ACE | | 4 |
| 0799 | Total direct obligations | 4 | 4 |
| 0900 | Total new obligations, unexpired accounts | 4 | 4 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1033 | Recoveries of prior year paid obligations | 4 | 4 |
| 1930 | Total budgetary resources available | 4 | 4 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 | Unpaid obligations, brought forward, Oct 1 | 22 | 10 |
| 3010 | New obligations, unexpired accounts | 4 | 4 |
| 3011 | Obligations ("upward adjustments"), expired accounts | 3 | |
| 3020 | Outlays (gross) | -5 | -14 |
| 3041 | Recoveries of prior year unpaid obligations, expired | -14 | |
| 3050 | Unpaid obligations, end of year | 10 | |
| Uncollected payments: | | | |
| 3060 | Uncollected pymts, Fed sources, brought forward, Oct 1 | -1 | -1 |
| 3071 | Change in uncollected pymts, Fed sources, expired | | 1 |
| 3090 | Uncollected pymts, Fed sources, end of year | -1 | |
| Memorandum (non-add) entries: | | | |
| 3100 | Obligated balance, start of year | 21 | 9 |
| 3200 | Obligated balance, end of year | 9 | |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| Outlays, gross: | | | |
| 4011 | Outlays from discretionary balances | 5 | 14 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 | Federal sources | -5 | -4 |
| 4040 | Offsets against gross budget authority and outlays (total) ... | -5 | -4 |
| Additional offsets against gross budget authority only: | | | |
| 4052 | Offsetting collections credited to expired accounts | 1 | |

AUTOMATION MODERNIZATION, CUSTOMS AND BORDER PROTECTION—Continued
Program and Financing—Continued

| Identification code 070-0531-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 4053 Recoveries of prior year paid obligations, unexpired accounts | 4 | 4 | |
| 4060 Additional offsets against budget authority only (total) | 5 | 4 | |
| 4080 Outlays, net (discretionary) | | 10 | |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | | 10 | |

Object Classification (in millions of dollars)

| Identification code 070-0531-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 25.3 Direct obligations: Other goods and services from Federal sources | | 4 | |
| 99.0 Direct obligations | | 4 | |
| 99.0 Reimbursable obligations | 4 | | |
| 99.9 Total new obligations, unexpired accounts | 4 | 4 | |

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of U.S. Customs and Border Protection for procurement, construction, and improvements, including procurement of marine vessels, aircraft, and unmanned aerial systems, **[\$1,904,468,000]** \$2,281,360,000, of which **[\$467,104,000]** \$189,148,000 shall remain available until September 30, **[2022]** 2023, and of which **[\$1,437,364,000]** \$2,092,212,000 shall remain available until September 30, **[2024]** 2025. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0532-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0007 CAS - Mission Support Assets and Infrastructure | 21 | 19 | 31 |
| 0008 CAS - Border Security Assets and Infrastructure | 1,557 | 2,082 | 2,201 |
| 0009 CAS - Trade and Travel Assets and Infrastructure | 105 | 105 | 22 |
| 0010 CAS - Integrated Operations Assets and Infrastructure | 197 | 238 | 36 |
| 0012 CAS - Construction and Facility Improvements | 154 | 74 | 119 |
| 0799 Total direct obligations | 2,034 | 2,518 | 2,409 |
| 0801 Reimbursable program activity | 224 | | |
| 0900 Total new obligations, unexpired accounts | 2,258 | 2,518 | 2,409 |

Budgetary resources:

| | | | |
|---|-------|-------|-------|
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 691 | 1,658 | 1,314 |
| 1010 Unobligated balance transfer to other accts [070-0530] | -6 | | |
| 1021 Recoveries of prior year unpaid obligations | 31 | 330 | 49 |
| 1050 Unobligated balance (total) | 716 | 1,988 | 1,363 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 2,601 | 1,904 | 2,281 |
| 1131 Unobligated balance of appropriations permanently reduced | | -58 | |
| 1160 Appropriation, discretionary (total) | 2,601 | 1,846 | 2,281 |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 601 | | |
| 1900 Budget authority (total) | 3,202 | 1,846 | 2,281 |
| 1930 Total budgetary resources available | 3,918 | 3,834 | 3,644 |
| Memorandum (non-add) entries: | | | |
| 1940 Unobligated balance expiring | -2 | -2 | -2 |
| 1941 Unexpired unobligated balance, end of year | 1,658 | 1,314 | 1,233 |

Change in obligated balance:

| | | | |
|---|-------|--------|--------|
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 2,241 | 3,534 | 4,022 |
| 3010 New obligations, unexpired accounts | 2,258 | 2,518 | 2,409 |
| 3011 Obligations ("upward adjustments"), expired accounts | 4 | | |
| 3020 Outlays (gross) | -929 | -1,698 | -2,346 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -31 | -330 | -49 |
| 3041 Recoveries of prior year unpaid obligations, expired | -9 | -2 | -2 |
| 3050 Unpaid obligations, end of year | 3,534 | 4,022 | 4,034 |

Memorandum (non-add) entries:

| | | | |
|---|-------|-------|-------|
| 3100 Obligated balance, start of year | 2,241 | 3,534 | 4,022 |
| 3200 Obligated balance, end of year | 3,534 | 4,022 | 4,034 |

Budget authority and outlays, net:

| | | | |
|--|-------|-------|-------|
| Discretionary: | | | |
| 4000 Budget authority, gross | 3,202 | 1,846 | 2,281 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 54 | 519 | 684 |
| 4011 Outlays from discretionary balances | 875 | 1,179 | 1,662 |
| 4020 Outlays, gross (total) | 929 | 1,698 | 2,346 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -601 | | |
| 4180 Budget authority, net (total) | 2,601 | 1,846 | 2,281 |
| 4190 Outlays, net (total) | 328 | 1,698 | 2,346 |

The U.S. Customs and Border Protection (CBP) Procurement, Construction, and Improvements (PC&I) appropriation provides the funds necessary for the planning, operational development, engineering, and purchase of one or more assets prior to sustainment. The funding within this account provides resources for investments ranging from border security technology, aircraft, marine vessels, tactical infrastructure, information technology systems, and other acquisitions. PC&I funding also supports the construction of border wall system, as well as critical facilities and associated infrastructure. These investments enable CBP to accomplish its complex mission of protecting the border while facilitating lawful trade, travel, and immigration.

Object Classification (in millions of dollars)

| Identification code 070-0532-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| 25.2 Other services from non-Federal sources | 204 | 253 | 242 |
| 25.3 Other goods and services from Federal sources | 33 | 41 | 39 |
| 25.4 Operation and maintenance of facilities | 10 | 12 | 12 |
| 25.7 Operation and maintenance of equipment | 3 | 4 | 4 |
| 26.0 Supplies and materials | 6 | 7 | 7 |
| 32.0 Land and structures | 1,778 | 2,201 | 2,105 |
| 99.0 Direct obligations | 2,034 | 2,518 | 2,409 |
| 99.0 Reimbursable obligations | 224 | | |
| 99.9 Total new obligations, unexpired accounts | 2,258 | 2,518 | 2,409 |

AIR AND MARINE INTERDICTION, OPERATIONS, MAINTENANCE, AND PROCUREMENT

Program and Financing (in millions of dollars)

| Identification code 070-0544-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Operations and Maintenance | 2 | 3 | |
| 0799 Total direct obligations | 2 | 3 | |

Budgetary resources:

| | | | |
|--|---|-------|-------|
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 2 | 3 | |
| 1021 Recoveries of prior year unpaid obligations | 3 | | |
| 1050 Unobligated balance (total) | 5 | 3 | |
| 1930 Total budgetary resources available | 5 | 3 | |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 3 | | |

Change in obligated balance:

| | | | |
|---|-------|-------|-------|
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 124 | 59 | 19 |
| 3010 New obligations, unexpired accounts | 2 | 3 | |
| 3020 Outlays (gross) | -57 | -43 | -19 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -3 | | |
| 3041 Recoveries of prior year unpaid obligations, expired | -7 | | |
| 3050 Unpaid obligations, end of year | 59 | 19 | |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -2 | -2 | |
| 3071 Change in uncollected pymts, Fed sources, expired | | 2 | |

| | | | | |
|---|---|-----|----|----|
| 3090 | Uncollected pymts, Fed sources, end of year | -2 | | |
| Memorandum (non-add) entries: | | | | |
| 3100 | Obligated balance, start of year | 122 | 57 | 19 |
| 3200 | Obligated balance, end of year | 57 | 19 | |
| Budget authority and outlays, net: | | | | |
| Discretionary: | | | | |
| Outlays, gross: | | | | |
| 4011 | Outlays from discretionary balances | 57 | 43 | 19 |
| 4180 | Budget authority, net (total) | | | |
| 4190 | Outlays, net (total) | 57 | 43 | 19 |

Object Classification (in millions of dollars)

| Identification code 070-0544-0-1-751 | 2019 actual | 2020 est. | 2021 est. | |
|--------------------------------------|---|-----------|-----------|--|
| Direct obligations: | | | | |
| 25.4 | Operation and maintenance of facilities | 1 | | |
| 25.7 | Operation and maintenance of equipment | 1 | 3 | |
| 99.0 | Direct obligations | 2 | 3 | |
| 99.9 | Total new obligations, unexpired accounts | 2 | 3 | |

ENHANCED INSPECTIONAL SERVICES

Program and Financing (in millions of dollars)

| Identification code 070-4363-0-3-751 | 2019 actual | 2020 est. | 2021 est. | |
|--|---|-----------|-----------|-----|
| Obligations by program activity: | | | | |
| 0801 | Enhanced Inspectional Services (Reimbursable) | 31 | 19 | 19 |
| Budgetary resources: | | | | |
| Unobligated balance: | | | | |
| 1000 | Unobligated balance brought forward, Oct 1 | 4 | 5 | 5 |
| Budget authority: | | | | |
| Spending authority from offsetting collections, discretionary: | | | | |
| 1700 | Collected | 32 | 19 | 19 |
| 1930 | Total budgetary resources available | 36 | 24 | 24 |
| Memorandum (non-add) entries: | | | | |
| 1941 | Unexpired unobligated balance, end of year | 5 | 5 | 5 |
| Change in obligated balance: | | | | |
| Unpaid obligations: | | | | |
| 3000 | Unpaid obligations, brought forward, Oct 1 | 2 | 3 | |
| 3010 | New obligations, unexpired accounts | 31 | 19 | 19 |
| 3020 | Outlays (gross) | -30 | -22 | -19 |
| 3050 | Unpaid obligations, end of year | 3 | | |
| Memorandum (non-add) entries: | | | | |
| 3100 | Obligated balance, start of year | 2 | 3 | |
| 3200 | Obligated balance, end of year | 3 | | |
| Budget authority and outlays, net: | | | | |
| Discretionary: | | | | |
| 4000 | Budget authority, gross | 32 | 19 | 19 |
| Outlays, gross: | | | | |
| 4010 | Outlays from new discretionary authority | 25 | 19 | 19 |
| 4011 | Outlays from discretionary balances | 5 | 3 | |
| 4020 | Outlays, gross (total) | 30 | 22 | 19 |
| Offsets against gross budget authority and outlays: | | | | |
| Offsetting collections (collected) from: | | | | |
| 4033 | Non-Federal sources | -32 | -19 | -19 |
| 4180 | Budget authority, net (total) | | | |
| 4190 | Outlays, net (total) | -2 | 3 | |

Under section 559 of the Consolidated Appropriations Act, 2014 (P.L. 113-76), the Commissioner of Customs and Border Protection (CBP) may approve requests from interested parties to reimburse CBP for enhanced inspectional services. Specifically, CBP is authorized to receive reimbursement from corporations, Government agencies, and other interested parties for certain inspection services in the air, land, and sea environments at domestic locations. This allows CBP to provide services to requesting parties that it could not provide in the absence of reimbursement.

Object Classification (in millions of dollars)

| Identification code 070-4363-0-3-751 | 2019 actual | 2020 est. | 2021 est. | |
|--------------------------------------|---|-----------|-----------|----|
| Reimbursable obligations: | | | | |
| Personnel compensation: | | | | |
| 11.1 | Full-time permanent | 2 | | |
| 11.5 | Other personnel compensation | 18 | 15 | 15 |
| 11.9 | Total personnel compensation | 20 | 15 | 15 |
| 12.1 | Civilian personnel benefits | 8 | 3 | 3 |
| 25.2 | Other services from non-Federal sources | 3 | 1 | 1 |
| 99.9 | Total new obligations, unexpired accounts | 31 | 19 | 19 |

REFUNDS, TRANSFERS, AND EXPENSES OF OPERATION, PUERTO RICO

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 070-5687-0-2-806 | 2019 actual | 2020 est. | 2021 est. | |
|--------------------------------------|--|-----------|-----------|------|
| 0100 | Balance, start of year | 9 | 13 | 6 |
| Receipts: | | | | |
| Current law: | | | | |
| 1110 | Deposits, Duties, and Taxes, Puerto Rico | 217 | 218 | 152 |
| 2000 | Total: Balances and receipts | 226 | 231 | 158 |
| Appropriations: | | | | |
| Current law: | | | | |
| 2101 | Refunds, Transfers, and Expenses of Operation, Puerto Rico | -217 | -218 | -152 |
| 2103 | Refunds, Transfers, and Expenses of Operation, Puerto Rico | -9 | -13 | -6 |
| 2132 | Refunds, Transfers, and Expenses of Operation, Puerto Rico | 13 | 6 | |
| 2199 | Total current law appropriations | -213 | -225 | -158 |
| 2999 | Total appropriations | -213 | -225 | -158 |
| 5099 | Balance, end of year | 13 | 6 | |

Program and Financing (in millions of dollars)

| Identification code 070-5687-0-2-806 | 2019 actual | 2020 est. | 2021 est. | |
|---|---|-----------|-----------|-----|
| Obligations by program activity: | | | | |
| 0001 | Refunds, Transfers, and Expenses of Operation, Puerto Rico (Direct) | 157 | 218 | 152 |
| 0100 | Direct program activities, subtotal | 157 | 218 | 152 |
| Budgetary resources: | | | | |
| Unobligated balance: | | | | |
| 1000 | Unobligated balance brought forward, Oct 1 | 17 | 74 | 81 |
| 1021 | Recoveries of prior year unpaid obligations | 1 | | |
| 1050 | Unobligated balance (total) | 18 | 74 | 81 |
| Budget authority: | | | | |
| Appropriations, mandatory: | | | | |
| 1201 | Appropriation (special or trust fund) | 217 | 218 | 152 |
| 1203 | Appropriation (previously unavailable)(special or trust) ... | 9 | 13 | 6 |
| 1232 | Appropriations and/or unobligated balance of appropriations temporarily reduced | -13 | -6 | |
| 1260 | Appropriations, mandatory (total) | 213 | 225 | 158 |
| 1930 | Total budgetary resources available | 231 | 299 | 239 |
| Memorandum (non-add) entries: | | | | |
| 1941 | Unexpired unobligated balance, end of year | 74 | 81 | 87 |

Change in obligated balance:

| | | | | |
|-------------------------------|--|-----|------|------|
| Unpaid obligations: | | | | |
| 3000 | Unpaid obligations, brought forward, Oct 1 | 86 | 159 | 255 |
| 3010 | New obligations, unexpired accounts | 157 | 218 | 152 |
| 3020 | Outlays (gross) | -83 | -122 | -225 |
| 3040 | Recoveries of prior year unpaid obligations, unexpired | -1 | | |
| 3050 | Unpaid obligations, end of year | 159 | 255 | 182 |
| Memorandum (non-add) entries: | | | | |
| 3100 | Obligated balance, start of year | 86 | 159 | 255 |
| 3200 | Obligated balance, end of year | 159 | 255 | 182 |

Budget authority and outlays, net:

| | | | | |
|------------|-------------------------------|-----|-----|-----|
| Mandatory: | | | | |
| 4090 | Budget authority, gross | 213 | 225 | 158 |

REFUNDS, TRANSFERS, AND EXPENSES OF OPERATION, PUERTO RICO—Continued
Program and Financing—Continued

| Identification code 070-5687-0-2-806 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Outlays, gross: | | | |
| 4100 Outlays from new mandatory authority | 76 | 122 | 82 |
| 4101 Outlays from mandatory balances | 7 | | 143 |
| 4110 Outlays, gross (total) | 83 | 122 | 225 |
| 4180 Budget authority, net (total) | 213 | 225 | 158 |
| 4190 Outlays, net (total) | 83 | 122 | 225 |

U.S. Customs and Border Protection acts as Puerto Rico's sole customs service and works with the Homeland Security Investigation directorate of U.S. Immigration and Customs Enforcement to perform investigative law enforcement activities under statute, 48 U.S.C. 1469c. This secondary statute provides any U.S. Government Agency or instrumentality the authority to provide additional services to Puerto Rico, at the government of Puerto Rico's behest, on a reimbursable basis. When available, collections in Puerto Rico in excess of the costs of collecting duties and taxes are transferred to Puerto Rico's Treasury (Hacienda) to be expended as required by law for the government of Puerto Rico.

Object Classification (in millions of dollars)

| Identification code 070-5687-0-2-806 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 24 | 24 | 24 |
| 11.5 Other personnel compensation | 1 | 1 | 1 |
| 11.9 Total personnel compensation | 25 | 25 | 25 |
| 12.1 Civilian personnel benefits | 12 | 12 | 12 |
| 21.0 Travel and transportation of persons | 1 | 1 | 1 |
| 23.1 Rental payments to GSA | 2 | 4 | 3 |
| 23.2 Rental payments to others | | 1 | 1 |
| 23.3 Communications, utilities, and miscellaneous charges | 2 | 5 | 4 |
| 25.2 Other services from non-Federal sources | 5 | 55 | 39 |
| 25.3 Other goods and services from Federal sources | 39 | 38 | 27 |
| 25.4 Operation and maintenance of facilities | 3 | 1 | |
| 25.7 Operation and maintenance of equipment | 3 | | |
| 25.8 Subsistence and support of persons | 3 | | |
| 26.0 Supplies and materials | 5 | 2 | |
| 31.0 Equipment | 3 | 2 | |
| 44.0 Refunds | 54 | 72 | 40 |
| 99.9 Total new obligations, unexpired accounts | 157 | 218 | 152 |

Employment Summary

| Identification code 070-5687-0-2-806 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | 268 | 268 | 268 |

INTERNATIONAL REGISTERED TRAVELER

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 070-5543-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| 0100 Balance, start of year | | | |
| Receipts: | | | |
| Current law: | | | |
| 1120 International Registered Traveler Program Fund | 233 | 196 | 200 |
| 2000 Total: Balances and receipts | 233 | 196 | 200 |
| Appropriations: | | | |
| Current law: | | | |
| 2101 International Registered Traveler | -233 | -196 | -200 |
| 5099 Balance, end of year | | | |

Program and Financing (in millions of dollars)

| Identification code 070-5543-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 International Registered Traveler (Direct) | 179 | 196 | 200 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 182 | 238 | 238 |
| 1021 Recoveries of prior year unpaid obligations | 2 | | |
| 1050 Unobligated balance (total) | 184 | 238 | 238 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1101 Appropriation (special or trust) | 233 | 196 | 200 |
| 1930 Total budgetary resources available | 417 | 434 | 438 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 238 | 238 | 238 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 70 | 93 | 134 |
| 3010 New obligations, unexpired accounts | 179 | 196 | 200 |
| 3020 Outlays (gross) | -154 | -155 | -170 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -2 | | |
| 3050 Unpaid obligations, end of year | 93 | 134 | 164 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 70 | 93 | 134 |
| 3200 Obligated balance, end of year | 93 | 134 | 164 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 233 | 196 | 200 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | | 59 | 60 |
| 4011 Outlays from discretionary balances | 154 | 96 | 110 |
| 4020 Outlays, gross (total) | 154 | 155 | 170 |
| 4180 Budget authority, net (total) | 233 | 196 | 200 |
| 4190 Outlays, net (total) | 154 | 155 | 170 |

The Global Entry Program is authorized under the Consolidated Appropriations Act of 2008 (P.L. 110-161) section 565(3)(A). The Global Entry program establishes an international registered traveler program that incorporates technologies, such as biometrics and e-passports, and security threat assessments to expedite screening and processing of international passengers. All applicants must be pre-approved, and they must undergo a rigorous background check and interview before enrollment. Global Entry allows expedited clearance for pre-approved and low-risk travelers upon arrival in the United States. Though intended for frequent international travelers, there is no minimum number of trips necessary to qualify. Participants may enter the United States by using automated kiosks located at selected airports.

Object Classification (in millions of dollars)

| Identification code 070-5543-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 14 | 22 | 23 |
| 11.5 Other personnel compensation | 27 | 29 | 29 |
| 11.9 Total personnel compensation | 41 | 51 | 52 |
| 12.1 Civilian personnel benefits | 7 | 11 | 12 |
| 21.0 Travel and transportation of persons | 1 | 6 | 6 |
| 23.3 Communications, utilities, and miscellaneous charges | 5 | 6 | 6 |
| 24.0 Printing and reproduction | 31 | 60 | 61 |
| 25.2 Other services from non-Federal sources | 88 | 48 | 49 |
| 25.7 Operation and maintenance of equipment | | 8 | 8 |
| 26.0 Supplies and materials | | | 1 |
| 31.0 Equipment | 6 | 6 | 5 |
| 99.9 Total new obligations, unexpired accounts | 179 | 196 | 200 |

Employment Summary

| Identification code 070-5543-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | 150 | 416 | 416 |

ELECTRONIC SYSTEM FOR TRAVEL AUTHORIZATION

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 070-5595-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| 0100 Balance, start of year | 4 | 4 | 4 |
| Receipts: | | | |
| Current law: | | | |
| 1110 Electronic System for Travel Authorization (ESTA) Fees | 61 | 62 | 63 |
| 2000 Total: Balances and receipts | 65 | 66 | 67 |
| Appropriations: | | | |
| Current law: | | | |
| 2101 Electronic System for Travel Authorization | -61 | -62 | -63 |
| 2103 Electronic System for Travel Authorization | -4 | -4 | -4 |
| 2132 Electronic System for Travel Authorization | 4 | 4 | |
| 2199 Total current law appropriations | -61 | -62 | -67 |
| 2999 Total appropriations | -61 | -62 | -67 |
| 5099 Balance, end of year | 4 | 4 | |

Program and Financing (in millions of dollars)

| Identification code 070-5595-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Electronic System for Travel Authorization (ESTA) (Direct) | 86 | 62 | 64 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 61 | 38 | 38 |
| 1021 Recoveries of prior year unpaid obligations | 2 | | |
| 1050 Unobligated balance (total) | 63 | 38 | 38 |
| Budget authority: | | | |
| Appropriations, mandatory: | | | |
| 1201 Appropriation (special or trust fund) | 61 | 62 | 63 |
| 1203 Appropriation (previously unavailable)(special or trust) | 4 | 4 | 4 |
| 1232 Appropriations and/or unobligated balance of appropriations temporarily reduced | -4 | -4 | |
| 1260 Appropriations, mandatory (total) | 61 | 62 | 67 |
| 1930 Total budgetary resources available | 124 | 100 | 105 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 38 | 38 | 41 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 35 | 46 | 34 |
| 3010 New obligations, unexpired accounts | 86 | 62 | 64 |
| 3020 Outlays (gross) | -73 | -74 | -66 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -2 | | |
| 3050 Unpaid obligations, end of year | 46 | 34 | 32 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 35 | 46 | 34 |
| 3200 Obligated balance, end of year | 46 | 34 | 32 |
| Budget authority and outlays, net: | | | |
| Mandatory: | | | |
| 4090 Budget authority, gross | 61 | 62 | 67 |
| Outlays, gross: | | | |
| 4100 Outlays from new mandatory authority | 16 | 36 | 37 |
| 4101 Outlays from mandatory balances | 57 | 38 | 29 |
| 4110 Outlays, gross (total) | 73 | 74 | 66 |
| 4180 Budget authority, net (total) | 61 | 62 | 67 |
| 4190 Outlays, net (total) | 73 | 74 | 66 |

The Implementing Recommendations of the 9/11 Commission Act of 2007 (P.L. 110-53) established an electronic authorization system to pre-screen aliens prior to arrival in the United States. This mandate was made operational by the creation of the Electronic System for Travel Authorization (ESTA). ESTA operates under informed compliance, requiring all

Visa Waiver Program travelers to obtain authorization prior to travel. The Visa Waiver Program allows visitors to travel to the United States for business or pleasure for 90 days or less without obtaining a visa.

Object Classification (in millions of dollars)

| Identification code 070-5595-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent | 3 | 3 | 3 |
| 11.9 Total personnel compensation | 3 | 3 | 3 |
| 12.1 Civilian personnel benefits | 2 | 16 | 16 |
| 21.0 Travel and transportation of persons | 3 | | |
| 25.2 Other services from non-Federal sources | 63 | 39 | 41 |
| 25.7 Operation and maintenance of equipment | | 1 | 1 |
| 31.0 Equipment | 15 | 3 | 3 |
| 99.9 Total new obligations, unexpired accounts | 86 | 62 | 64 |

Employment Summary

| Identification code 070-5595-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | 37 | 94 | 94 |

ELECTRONIC VISA UPDATE SYSTEM

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 070-5703-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| 0100 Balance, start of year | | | |
| Receipts: | | | |
| Proposed: | | | |
| 1210 Electronic Visa Update System Fees | | | 38 |
| 2000 Total: Balances and receipts | | | 38 |
| Appropriations: | | | |
| Proposed: | | | |
| 2201 Electronic Visa Update System | | | -38 |
| 5099 Balance, end of year | | | |

ELECTRONIC VISA UPDATE SYSTEM
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 070-5703-4-2-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Electronic Visa Update System (direct) | | | 38 |
| 0900 Total new obligations, unexpired accounts (object class 25.2) | | | 38 |
| Budgetary resources: | | | |
| Budget authority: | | | |
| Appropriations, mandatory: | | | |
| 1201 Appropriation (special or trust fund) | | | 38 |
| 1930 Total budgetary resources available | | | 38 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3010 New obligations, unexpired accounts | | | 38 |
| 3020 Outlays (gross) | | | -38 |
| Budget authority and outlays, net: | | | |
| Mandatory: | | | |
| 4090 Budget authority, gross | | | 38 |
| Outlays, gross: | | | |
| 4100 Outlays from new mandatory authority | | | 38 |
| 4180 Budget authority, net (total) | | | 38 |
| 4190 Outlays, net (total) | | | 38 |

The Budget proposes to establish a user fee for the Electronic Visa Update System (EVUS), a U.S. Customs and Border Protection program to collect and periodically update biographic and travel-related information from certain non-immigrant visa holders prior to traveling to the United States. This process will complement the existing visa application process and

ELECTRONIC VISA UPDATE SYSTEM—Continued

enhance CBP's ability to make pre-travel admissibility and risk determinations. This account will fund the costs of providing and administering the system.

APEC BUSINESS TRAVEL CARD

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 070-5569-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|--------------------------------------|-------------|-----------|-----------|
| 0100 Balance, start of year | | | |
| Receipts: | | | |
| Current law: | | | |
| 1130 Fees, APEC Business Travel Card | 2 | 2 | 2 |
| 2000 Total: Balances and receipts | 2 | 2 | 2 |
| Appropriations: | | | |
| Current law: | | | |
| 2101 APEC Business Travel Card | -2 | -2 | -2 |
| 5099 Balance, end of year | | | |

Program and Financing (in millions of dollars)

| Identification code 070-5569-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0801 APEC Business Travel Card | 3 | 2 | 2 |
| 0900 Total new obligations, unexpired accounts (object class 25.2) | 3 | 2 | 2 |

| Identification code 070-5569-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 1 | | |
| Budget authority: | | | |
| Appropriations, mandatory: | | | |
| 1201 Appropriation (special or trust fund) | 2 | 2 | 2 |
| 1900 Budget authority (total) | 2 | 2 | 2 |
| 1930 Total budgetary resources available | 3 | 2 | 2 |

| Identification code 070-5569-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | | 3 | 1 |
| 3010 New obligations, unexpired accounts | 3 | 2 | 2 |
| 3020 Outlays (gross) | | -4 | -2 |
| 3050 Unpaid obligations, end of year | 3 | 1 | 1 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | | 3 | 1 |
| 3200 Obligated balance, end of year | 3 | 1 | 1 |

| Identification code 070-5569-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Budget authority and outlays, net: | | | |
| Mandatory: | | | |
| 4090 Budget authority, gross | 2 | 2 | 2 |
| Outlays, gross: | | | |
| 4100 Outlays from new mandatory authority | | 2 | 2 |
| 4101 Outlays from mandatory balances | | 2 | |
| 4110 Outlays, gross (total) | | 4 | 2 |
| 4180 Budget authority, net (total) | 2 | 2 | 2 |
| 4190 Outlays, net (total) | | 4 | 2 |

9-11 RESPONSE AND BIOMETRIC EXIT ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 070-5702-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| 0100 Balance, start of year | 4 | 4 | 4 |
| Receipts: | | | |
| Current law: | | | |
| 1120 Temporary L-1 Visa Fees, 9-11 Response and Biometric Exit Account | 12 | 47 | 47 |
| 1120 Temporary H-1B Visa Fees, 9-11 Response and Biometric Exit Account | 47 | 13 | 13 |
| 1199 Total current law receipts | 59 | 60 | 60 |
| 1999 Total receipts | 59 | 60 | 60 |
| 2000 Total: Balances and receipts | 63 | 64 | 64 |

Appropriations:

| | | | |
|---|-----|-----|-----|
| Current law: | | | |
| 2101 9-11 Response and Biometric Exit Account | -59 | -60 | -60 |
| 2103 9-11 Response and Biometric Exit Account | -4 | -4 | -4 |
| 2132 9-11 Response and Biometric Exit Account | 4 | 4 | |
| 2199 Total current law appropriations | -59 | -60 | -64 |
| 2999 Total appropriations | -59 | -60 | -64 |
| 5099 Balance, end of year | 4 | 4 | |

Program and Financing (in millions of dollars)

| Identification code 070-5702-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Direct program activity | 72 | 60 | 60 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 49 | 40 | 40 |
| 1021 Recoveries of prior year unpaid obligations | 4 | | |
| 1050 Unobligated balance (total) | 53 | 40 | 40 |
| Budget authority: | | | |
| Appropriations, mandatory: | | | |
| 1201 Appropriation (special or trust fund) | 59 | 60 | 60 |
| 1203 Appropriation (previously unavailable)(special or trust) | 4 | 4 | 4 |
| 1232 Appropriations and/or unobligated balance of appropriations temporarily reduced | -4 | -4 | |
| 1260 Appropriations, mandatory (total) | 59 | 60 | 64 |
| 1930 Total budgetary resources available | 112 | 100 | 104 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 40 | 40 | 44 |

Change in obligated balance:

| | | | |
|---|-----|-----|-----|
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 58 | 63 | 40 |
| 3010 New obligations, unexpired accounts | 72 | 60 | 60 |
| 3020 Outlays (gross) | -63 | -83 | -73 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -4 | | |
| 3050 Unpaid obligations, end of year | 63 | 40 | 27 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 58 | 63 | 40 |
| 3200 Obligated balance, end of year | 63 | 40 | 27 |

Budget authority and outlays, net:

| | | | |
|---|----|----|----|
| Mandatory: | | | |
| 4090 Budget authority, gross | 59 | 60 | 64 |
| Outlays, gross: | | | |
| 4100 Outlays from new mandatory authority | 2 | 34 | 30 |
| 4101 Outlays from mandatory balances | 61 | 49 | 43 |
| 4110 Outlays, gross (total) | 63 | 83 | 73 |
| 4180 Budget authority, net (total) | 59 | 60 | 64 |
| 4190 Outlays, net (total) | 63 | 83 | 73 |

Division O of the Consolidated Appropriations Act of 2016 (P.L. 114-113) established the 9-11 Response and Biometric Exit Account. Pursuant to the law, amounts in this account shall be available to the Secretary of Homeland Security without further appropriation for implementing the biometric entry and exit system described in section 7208 of the Intelligence Reform and Terrorism Prevention Act of 2004 (8 U.S.C. 1365b).

Object Classification (in millions of dollars)

| Identification code 070-5702-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| 11.1 Personnel compensation: Full-time permanent | | 5 | 5 |
| 12.1 Civilian personnel benefits | | 3 | 3 |
| 21.0 Travel and transportation of persons | | 1 | 1 |
| 23.3 Communications, utilities, and miscellaneous charges | 2 | 1 | 1 |
| 25.2 Other services from non-Federal sources | 61 | 36 | 36 |
| 25.7 Operation and maintenance of equipment | | 1 | 1 |
| 31.0 Equipment | 9 | 13 | 13 |
| 99.9 Total new obligations, unexpired accounts | 72 | 60 | 60 |

Employment Summary

| Identification code 070-5702-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | | 92 | 92 |

Trust Funds

U.S. CUSTOMS REFUNDS, TRANSFERS AND EXPENSES, UNCLAIMED AND ABANDONED GOODS

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 070-8789-0-7-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 0100 Balance, start of year | | | |
| Receipts: | | | |
| Current law: | | | |
| 1110 Proceeds of the Sales of Unclaimed Abandoned, Seized Goods | 4 | 4 | 4 |
| 2000 Total: Balances and receipts | 4 | 4 | 4 |
| Appropriations: | | | |
| Current law: | | | |
| 2101 U.S. Customs Refunds, Transfers and Expenses, Unclaimed and Abandoned Goods | -4 | -4 | -4 |
| 5099 Balance, end of year | | | |

Program and Financing (in millions of dollars)

| Identification code 070-8789-0-7-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 U.S. Customs Refunds, Transfers and Expenses, Unclaimed and Aban (Direct) | 4 | 4 | 4 |
| 0900 Total new obligations, unexpired accounts (object class 25.2) | 4 | 4 | 4 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 2 | 2 | 2 |
| Budget authority: | | | |
| Appropriations, mandatory: | | | |
| 1201 Appropriation (special or trust fund) | 4 | 4 | 4 |
| 1930 Total budgetary resources available | 6 | 6 | 6 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 2 | 2 | 2 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | | 2 | 2 |
| 3010 New obligations, unexpired accounts | 4 | 4 | 4 |
| 3020 Outlays (gross) | -2 | -4 | -4 |
| 3050 Unpaid obligations, end of year | 2 | 2 | 2 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | | 2 | 2 |
| 3200 Obligated balance, end of year | 2 | 2 | 2 |
| Budget authority and outlays, net: | | | |
| Mandatory: | | | |
| 4090 Budget authority, gross | 4 | 4 | 4 |
| Outlays, gross: | | | |
| 4100 Outlays from new mandatory authority | 2 | 4 | 4 |
| 4180 Budget authority, net (total) | 4 | 4 | 4 |
| 4190 Outlays, net (total) | 2 | 4 | 4 |

This account expends proceeds from the auction of unclaimed and abandoned goods.

U.S. IMMIGRATION AND CUSTOMS ENFORCEMENT

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of U.S. Immigration and Customs Enforcement for operations and support to enforce immigration and customs laws, including the purchase and lease of up to 3,790 (2,350 for replacement only) police-type vehicles; [overseas vetted units;] and maintenance, minor construction, and minor leasehold improve-

ments at owned and leased facilities; [\$8,032,801,000] \$9,822,109,000; of which not less than \$2,301,605,000 is for homeland security investigations operations; of which not less than \$6,000,000 shall remain available until expended for efforts to enforce laws against forced child labor; [of which \$53,696,000 shall remain available until September 30, 2021;] of which not less than \$1,500,000 is for paid apprenticeships for participants in the Human Exploitation Rescue Operative Child-Rescue Corps; of which not less than \$15,000,000 shall be available for investigation of intellectual property rights violations, including operation of the National Intellectual Property Rights Coordination Center; and of which not less than [\$4,429,033,000] 5,704,621,000 shall be for enforcement, detention, and removal operations, including transportation of unaccompanied minor aliens: *Provided*, That not to exceed \$11,475 shall be for official reception and representation expenses: *Provided further*, That of the amounts provided under this heading for homeland security investigations operations, not to exceed \$10,000,000 shall be available until expended for conducting special operations under section 3131 of the Customs Enforcement Act of 1986 (19 U.S.C. 2081): *Provided further*, That not to exceed \$2,000,000 shall be for awards of compensation to informants, to be accounted for solely under the certificate of the Secretary of Homeland Security: *Provided further*, That of the amounts provided under this heading for enforcement, detention, and removal operations, not to exceed \$11,216,000 shall be available to fund or reimburse other Federal agencies for the costs associated with the care, maintenance, and repatriation of smuggled aliens unlawfully present in the United States: *Provided further*, That of the funds made available under this heading, \$10,000,000 shall be withheld from obligation until the first report required by section 218 has been made available on a publicly accessible website]. (Department of Homeland Security Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 070-0540-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 0100 Balance, start of year | 27 | 25 | 23 |
| Receipts: | | | |
| Current law: | | | |
| 1120 Breached Bond Penalties Greater Than \$8M, Breached Bond Detention Fund | 74 | 55 | 55 |
| 1120 Student and Exchange Visitor Fee | 139 | 128 | 187 |
| 1199 Total current law receipts | 213 | 183 | 242 |
| 1999 Total receipts | 213 | 183 | 242 |
| 2000 Total: Balances and receipts | 240 | 208 | 265 |
| Appropriations: | | | |
| Current law: | | | |
| 2101 Operations and Support | -139 | -130 | -187 |
| 2101 Operations and Support | -73 | -55 | -55 |
| 2103 Operations and Support | -12 | -8 | -8 |
| 2103 Operations and Support | -4 | -3 | -3 |
| 2103 Operations and Support | -11 | -8 | -8 |
| 2132 Operations and Support | 9 | 8 | |
| 2132 Operations and Support | 5 | 3 | |
| 2132 Operations and Support | 10 | 8 | |
| 2199 Total current law appropriations | -215 | -185 | -261 |
| 2999 Total appropriations | -215 | -185 | -261 |
| 5099 Balance, end of year | 25 | 23 | 4 |

Program and Financing (in millions of dollars)

| Identification code 070-0540-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Immigration and Customs Enforcement (Direct) | 8,252 | | |
| 0002 CAS - Mission Support | | 1,271 | 1,463 |
| 0003 CAS - Office of the Principal Legal Advisor | | 290 | 353 |
| 0004 CAS - Homeland Security Investigations | | 2,042 | 2,301 |
| 0005 CAS - Enforcement and Removal Operations | | 4,429 | 5,705 |
| 0799 Total direct obligations | 8,252 | 8,032 | 9,822 |
| 0801 Immigration and Customs Enforcement (Reimbursable) | 143 | 148 | 148 |
| 0900 Total new obligations, unexpired accounts | 8,395 | 8,180 | 9,970 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 334 | 364 | 691 |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 | 109 | 101 | |
| 1011 Unobligated balance transfer from other acct [070-0716] | 3 | | |
| 1011 Unobligated balance transfer from other acct [070-0510] | 1 | | |
| 1011 Unobligated balance transfer from other acct [070-0550] | 4 | | |

OPERATIONS AND SUPPORT—Continued
Program and Financing—Continued

| Identification code 070-0540-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|--------------|--------------|--------------|
| 1011 Unobligated balance transfer from other acct [070-0613] ... | 23 | | |
| 1011 Unobligated balance transfer from other acct [070-0615] ... | 1 | | |
| 1011 Unobligated balance transfer from other acct [070-0702] ... | 38 | | |
| 1021 Recoveries of prior year unpaid obligations | 47 | | |
| 1050 Unobligated balance (total) | 451 | 364 | 691 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Base Appropriation | 7,751 | 8,033 | 9,822 |
| 1121 Appropriations transferred from other acct [070-0545] | 2 | | |
| 1121 Appropriations transferred from other acct [070-0530] | 39 | | |
| 1121 Appropriations transferred from other acct [070-0412] | 4 | | |
| 1121 Appropriations transferred from other acct [070-0860] | 1 | | |
| 1121 Appropriations transferred from other acct [070-0803] | 1 | | |
| 1121 Appropriations transferred from other acct [070-0550] | 20 | | |
| 1121 Appropriations transferred from other acct [070-0112] | 3 | | |
| 1121 Appropriations transferred from other acct [011-1070] | 2 | | |
| 1131 Unobligated balance of appropriations permanently reduced | | -9 | |
| 1160 Appropriation, discretionary (total) | 7,823 | 8,024 | 9,822 |
| Appropriations, mandatory: | | | |
| 1201 Student and Exchange Visitor Program | 139 | 130 | 187 |
| 1201 Breached Bond Detention Fund | 73 | 55 | 55 |
| 1201 Immigration User Fee | 161 | 135 | 135 |
| 1203 Student and Exchange Visitor Program (previously unavailable) | 12 | 8 | 8 |
| 1203 Breached Bond Detention Fund (previously unavailable) | 4 | 3 | 3 |
| 1203 Immigration User Fee (previously unavailable) | 11 | 8 | 8 |
| 1232 Appropriations temporarily reduced (Student and Exchange Visitor Program) | -9 | -8 | |
| 1232 Appropriations temporarily reduced (Breached Bond Fund) | -5 | -3 | |
| 1232 Appropriations temporarily reduced (Immigration User Fee) | -10 | -8 | |
| 1260 Appropriations, mandatory (total) | 376 | 320 | 396 |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 107 | 163 | 155 |
| 1701 Change in uncollected payments, Federal sources | 42 | | |
| 1750 Spending auth from offsetting collections, disc (total) | 149 | 163 | 155 |
| 1900 Budget authority (total) | 8,348 | 8,507 | 10,373 |
| 1930 Total budgetary resources available | 8,799 | 8,871 | 11,064 |
| Memorandum (non-add) entries: | | | |
| 1940 Unobligated balance expiring | -40 | | |
| 1941 Unexpired unobligated balance, end of year | 364 | 691 | 1,094 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 1,898 | 2,317 | 2,433 |
| 3010 New obligations, unexpired accounts | 8,395 | 8,180 | 9,970 |
| 3011 Obligations ("upward adjustments"), expired accounts | 51 | | |
| 3020 Outlays (gross) | -7,829 | -8,064 | -9,685 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -47 | | |
| 3041 Recoveries of prior year unpaid obligations, expired | -151 | | |
| 3050 Unpaid obligations, end of year | 2,317 | 2,433 | 2,718 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -124 | -85 | -85 |
| 3070 Change in uncollected pymts, Fed sources, unexpired | -42 | | |
| 3071 Change in uncollected pymts, Fed sources, expired | 81 | | |
| 3090 Uncollected pymts, Fed sources, end of year | -85 | -85 | -85 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 1,774 | 2,232 | 2,348 |
| 3200 Obligated balance, end of year | 2,232 | 2,348 | 2,633 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 7,972 | 8,187 | 9,977 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 6,124 | 5,434 | 6,541 |
| 4011 Outlays from discretionary balances | 1,320 | 2,307 | 2,753 |
| 4020 Outlays, gross (total) | 7,444 | 7,741 | 9,294 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -155 | -163 | -155 |
| 4033 Non-Federal sources | -8 | | |
| 4040 Offsets against gross budget authority and outlays (total) | -163 | -163 | -155 |

| | | | |
|---|--|-------|-------|
| Additional offsets against gross budget authority only: | | | |
| 4050 | Change in uncollected pymts, Fed sources, unexpired | -42 | |
| 4052 | Offsetting collections credited to expired accounts | 56 | |
| 4060 | Additional offsets against budget authority only (total) | 14 | |
| 4070 | Budget authority, net (discretionary) | 7,823 | 8,024 |
| 4080 | Outlays, net (discretionary) | 7,281 | 7,578 |
| Mandatory: | | | |
| 4090 | Budget authority, gross | 376 | 320 |
| Outlays, gross: | | | |
| 4100 | Outlays from new mandatory authority | 225 | 289 |
| 4101 | Outlays from mandatory balances | 160 | 34 |
| 4110 | Outlays, gross (total) | 385 | 323 |
| 4180 | Budget authority, net (total) | 8,199 | 8,344 |
| 4190 | Outlays, net (total) | 7,666 | 7,901 |
| Memorandum (non-add) entries: | | | |
| 5096 | Unexpired unavailable balance, SOY: Appropriations | | 14 |
| 5098 | Unexpired unavailable balance, EOY: Appropriations | | 14 |

Summary of Budget Authority and Outlays (in millions of dollars)

| | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Enacted/requested: | | | |
| Budget Authority | 8,199 | 8,344 | 10,218 |
| Outlays | 7,666 | 7,901 | 9,530 |
| Legislative proposal, subject to PAYGO: | | | |
| Budget Authority | | | 65 |
| Outlays | | | 58 |
| Total: | | | |
| Budget Authority | 8,199 | 8,344 | 10,283 |
| Outlays | 7,666 | 7,901 | 9,588 |

As the largest investigative arm of the Department of Homeland Security (DHS), U.S. Immigration and Customs Enforcement (ICE) brings a unified and coordinated focus to the enforcement of Federal immigration and customs laws. The President's Budget supports ICE's mission to enforce immigration and customs laws. ICE works to protect the United States and its people by deterring, interdicting, and investigating threats arising from the movement of people and goods into and out of the United States.

The Operations and Support appropriation funds necessary operations, mission support, and associated management and administrative costs. Major programs include:

Homeland Security Investigations (HSI).—Investigates a broad range of domestic and international immigration and customs violations such as human smuggling and trafficking; the smuggling of weapons and other types of contraband including opioids; export enforcement, such as investigating illegal arms exports and exports of dual-use equipment that may threaten national security; financial crimes, such as money laundering, bulk cash smuggling, and other financial crimes; commercial fraud, including intellectual property violations; cybercrimes; child exploitation; identity and immigration benefit fraud; and human rights violations. HSI is also responsible for the collection, analysis, and dissemination of strategic, operational, and tactical intelligence for use by the operational elements of ICE and DHS.

Enforcement and Removal Operations (ERO).—Responsible for promoting public safety and national security by identifying, apprehending, and detaining removable aliens prior to ensure their departure from the United States through the fair enforcement of the Nation's immigration laws.

Office of the Principal Legal Advisor.—Serves as the exclusive legal representative for the U.S. Government at immigration court hearings, and provides expert legal counsel to ICE on customs, immigration, labor, and administrative law.

Mission Support.—Manages ICE's financial and human resources, information technology, training for employees and special agents, sensitive property, facilities, and other assets.

In accordance with the Executive Order on Enhancing Public Safety in the Interior of the United States issued on January 25, 2017, ICE is expanding its enforcement operations both at the U.S. border and in the interior. The 2021 President's Budget supports the Administration's plan to strengthen immigration enforcement by hiring 2,844 law enforcement of-

ficers and 1792 operational support staff of the 10,000 officers mandated by the Executive Order.

Object Classification (in millions of dollars)

| Identification code 070-0540-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 1,977 | 2,094 | 2,338 |
| 11.3 Other than full-time permanent | 18 | 23 | 25 |
| 11.5 Other personnel compensation | 409 | 477 | 612 |
| 11.8 Special personal services payments | 1 | | 3 |
| 11.9 Total personnel compensation | 2,405 | 2,594 | 2,978 |
| 12.1 Civilian personnel benefits | 1,050 | 1,079 | 1,180 |
| 21.0 Travel and transportation of persons | 603 | 590 | 601 |
| 22.0 Transportation of things | 15 | 11 | 42 |
| 23.1 Rental payments to GSA | 324 | 332 | 348 |
| 23.2 Rental payments to others | 13 | 24 | 24 |
| 23.3 Communications, utilities, and miscellaneous charges | 94 | 78 | 82 |
| 25.1 Advisory and assistance services | 465 | 451 | 599 |
| 25.2 Other services from non-Federal sources | 223 | 196 | 265 |
| 25.3 Other goods and services from Federal sources | 108 | 110 | 197 |
| 25.4 Operation and maintenance of facilities | 2,169 | 2,102 | 2,727 |
| 25.6 Medical care | 266 | 58 | 151 |
| 25.7 Operation and maintenance of equipment | 248 | 174 | 181 |
| 25.8 Subsistence and support of persons | 12 | 7 | 7 |
| 26.0 Supplies and materials | 63 | 67 | 85 |
| 31.0 Equipment | 124 | 104 | 281 |
| 32.0 Land and structures | 41 | 26 | 45 |
| 42.0 Insurance claims and indemnities | 26 | 26 | 26 |
| 91.0 Unvouchered | 3 | 3 | 3 |
| 99.0 Direct obligations | 8,252 | 8,032 | 9,822 |
| 99.0 Reimbursable obligations | 143 | 148 | 148 |
| 99.9 Total new obligations, unexpired accounts | 8,395 | 8,180 | 9,970 |

Employment Summary

| Identification code 070-0540-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | 19,274 | 20,536 | 21,800 |
| 2001 Reimbursable civilian full-time equivalent employment | 448 | 327 | 327 |

OPERATIONS AND SUPPORT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 070-0540-4-1-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Budgetary resources: | | | |
| Budget authority: | | | |
| Appropriations, mandatory: | | | |
| 1201 Immigration User Fee | | | 65 |
| 1930 Total budgetary resources available | | | 65 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | | | 65 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3020 Outlays (gross) | | | -58 |
| 3050 Unpaid obligations, end of year | | | -58 |
| Memorandum (non-add) entries: | | | |
| 3200 Obligated balance, end of year | | | -58 |
| Budget authority and outlays, net: | | | |
| Mandatory: | | | |
| 4090 Budget authority, gross | | | 65 |
| Outlays, gross: | | | |
| 4100 Outlays from new mandatory authority | | | 58 |
| 4180 Budget authority, net (total) | | | 65 |
| 4190 Outlays, net (total) | | | 58 |

The U.S. Customs and Border Protection (CBP) Budget proposes an increase of \$2 to the Immigration Inspection User Fee and to partially eliminate a fee exemption for sea passengers arriving from the United States, Canada, Mexico, or Adjacent Islands. These amounts reflect the fee proportion received by U.S. Immigration and Customs Enforcement. Section 274(A) of the Immigration and Nationality Act (INA) provides for the

imposition of civil and criminal monetary penalties against employers who violate INA provisions on the unlawful employment of aliens. These combined monetary penalties average \$31 million per year. The Budget also includes a proposal to proportionately increase all penalty amounts by 35 percent, which will be done by statutory changes to the INA. The additional revenue from these increases will be directed to deficit reduction and are reflected in General Fund Receipt Account #020103000.

AUTOMATION MODERNIZATION, IMMIGRATION AND CUSTOMS ENFORCEMENT

Program and Financing (in millions of dollars)

| Identification code 070-0543-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 1 | 1 | 1 |
| 1930 Total budgetary resources available | 1 | 1 | 1 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 1 | 1 | 1 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 10 | 4 | |
| 3020 Outlays (gross) | -6 | -4 | |
| 3050 Unpaid obligations, end of year | 4 | | |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 10 | 4 | |
| 3200 Obligated balance, end of year | 4 | | |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| Outlays, gross: | | | |
| 4011 Outlays from discretionary balances | 6 | 4 | |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | 6 | 4 | |

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of U.S. Immigration and Customs Enforcement for procurement, construction, and improvements, **[\$47,270,000] \$104,954,000 [, to]**; of which **\$62,793,000** shall remain available until September 30, **[2022] 2023**; of which not less than **[\$36,970,000] \$21,500,000** shall be available for facilities repair and maintenance projects; and of which **\$42,161,000** shall be available until September 30, 2025, for the design, construction, and renovation of the Varick Street Federal Building of New York City, New York. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0545-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0002 CAS - Mission Support Assets and Infrastructure | 14 | | 3 |
| 0003 CAS - Operational Communications/Information Technology | 29 | 10 | 22 |
| 0004 CAS - Construction and Facility Improvements | 10 | 37 | 80 |
| 0900 Total new obligations, unexpired accounts | 53 | 47 | 105 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 54 | 48 | 48 |
| 1021 Recoveries of prior year unpaid obligations | 3 | | |
| 1050 Unobligated balance (total) | 57 | 48 | 48 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 46 | 47 | 105 |
| 1120 Appropriations transferred to other acct [070-0540] | -2 | | |
| 1160 Appropriation, discretionary (total) | 44 | 47 | 105 |
| 1930 Total budgetary resources available | 101 | 95 | 153 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 48 | 48 | 48 |

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued
Program and Financing—Continued

| Identification code 070-0545-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 79 | 89 | 55 |
| 3010 New obligations, unexpired accounts | 53 | 47 | 105 |
| 3020 Outlays (gross) | -40 | -81 | -63 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -3 | | |
| 3050 Unpaid obligations, end of year | 89 | 55 | 97 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 79 | 89 | 55 |
| 3200 Obligated balance, end of year | 89 | 55 | 97 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 44 | 47 | 105 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | | 14 | 32 |
| 4011 Outlays from discretionary balances | 40 | 67 | 31 |
| 4020 Outlays, gross (total) | 40 | 81 | 63 |
| 4180 Budget authority, net (total) | 44 | 47 | 105 |
| 4190 Outlays, net (total) | 40 | 81 | 63 |

Procurement, Construction, and Improvements (PC&I) provides the funds necessary for the planning, operational development, engineering, and purchase of headquarters and field operational and IT assets prior to the sustainment phase. Funding within this account is used for the acquisition and construction of U.S. Immigration and Customs Enforcement (ICE) facilities, as well as for automation modernization activities that strengthen information availability while improving information sharing across the Department of Homeland Security, ICE, and other partner organizations in a fully secure information technology environment.

Object Classification (in millions of dollars)

| Identification code 070-0545-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| 25.1 Advisory and assistance services | 22 | | 10 |
| 25.2 Other services from non-Federal sources | 2 | | 6 |
| 25.7 Operation and maintenance of equipment | 6 | | |
| 25.8 Subsistence and support of persons | 1 | | |
| 31.0 Equipment | 14 | 10 | 9 |
| 32.0 Land and structures | 8 | 37 | 80 |
| 99.0 Direct obligations | 53 | 47 | 105 |
| 99.9 Total new obligations, unexpired accounts | 53 | 47 | 105 |

TRANSPORTATION SECURITY ADMINISTRATION

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Transportation Security Administration for operations and support, **[\$7,680,565,000]** \$7,569,419,000, to remain available until September 30, **[2021]** 2022: *Provided*, That not to exceed \$7,650 shall be for official reception and representation expenses: *Provided further*, That security service fees authorized under section 44940 of title 49, United States Code, shall be credited to this appropriation as offsetting collections and shall be available only for aviation security: *Provided further*, That the sum appropriated under this heading from the general fund shall be reduced on a dollar-for-dollar basis as such offsetting collections are received during fiscal year **[2020]** 2021 so as to result in a final fiscal year appropriation from the general fund estimated at not more than **[\$4,850,565,000]** \$4,030,756,000. (*Department of Homeland Security Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 070-0550-0-1-400 | 2019 actual | 2020 est. | 2021 est. |
|--------------------------------------|-------------|-----------|-----------|
| 0100 Balance, start of year | | | |

Receipts:

| | | | |
|---|----|--|--|
| Current law: | | | |
| 1130 Unclaimed Checkpoint Money | 1 | | |
| 2000 Total: Balances and receipts | 1 | | |
| Appropriations: | | | |
| Current law: | | | |
| 2101 Operations and Support | -1 | | |
| 5099 Balance, end of year | | | |

Program and Financing (in millions of dollars)

| Identification code 070-0550-0-1-400 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0002 CAS - Mission Support | 925 | 913 | 902 |
| 0003 CAS - Aviation Screening Operations | 5,273 | 5,382 | 5,377 |
| 0004 CAS - Other Operations and Enforcement | 1,607 | 1,623 | 1,650 |
| 0799 Total direct obligations | 7,805 | 7,918 | 7,929 |
| 0801 Aviation Security (Reimbursable) | 7 | 7 | 7 |
| 0900 Total new obligations, unexpired accounts | 7,812 | 7,925 | 7,936 |

Budgetary resources:

| | | | |
|--|-------|-------|-------|
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 321 | 300 | 277 |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 | 321 | 300 | |
| 1010 Unobligated balance transfer to other accts [070-0540] | -4 | | |
| 1021 Recoveries of prior year unpaid obligations | 121 | | |
| 1033 Recoveries of prior year paid obligations | 2 | 9 | 9 |
| 1050 Unobligated balance (total) | 440 | 309 | 286 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 4,754 | 4,855 | 4,648 |
| 1101 Appropriation (special or trust) | 1 | | |
| 1120 Appropriations transferred to other acct [070-0540] | -20 | | |
| 1131 Unobligated balance of appropriations permanently reduced | -34 | -42 | |
| 1160 Appropriation, discretionary (total) | 4,701 | 4,813 | 4,648 |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Offsetting Collections - Passenger Security Fee | 2,735 | 2,826 | 2,921 |
| 1700 Offsetting Collections - TWIC | 66 | 61 | 70 |
| 1700 Offsetting Collections - HAZMAT CDL | 19 | 19 | 19 |
| 1700 Offsetting Collections - Commercial Aviation and Airport | 8 | 9 | 9 |
| 1700 Offsetting Collections - Air Cargo | 5 | 5 | 5 |
| 1700 Offsetting Collections - Pre-Check | 137 | 137 | 251 |
| 1700 Reimbursables | 7 | 7 | 7 |
| 1700 Offsetting Collections - General Aviation @DCA | 1 | 1 | 1 |
| 1701 Change in uncollected payments, Federal sources | 9 | 9 | 9 |
| 1750 Spending auth from offsetting collections, disc (total) | 2,987 | 3,074 | 3,292 |
| Spending authority from offsetting collections, mandatory: | | | |
| 1800 Alien Flight School | 6 | 6 | 6 |
| 1900 Budget authority (total) | 7,694 | 7,893 | 7,946 |
| 1930 Total budgetary resources available | 8,134 | 8,202 | 8,232 |
| Memorandum (non-add) entries: | | | |
| 1940 Unobligated balance expiring | -22 | | |
| 1941 Unexpired unobligated balance, end of year | 300 | 277 | 296 |

Change in obligated balance:

| | | | |
|---|--------|--------|--------|
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 1,884 | 1,798 | 1,780 |
| 3010 New obligations, unexpired accounts | 7,812 | 7,925 | 7,936 |
| 3011 Obligations ("upward adjustments"), expired accounts | 3 | | |
| 3020 Outlays (gross) | -7,737 | -7,943 | -7,883 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -121 | | |
| 3041 Recoveries of prior year unpaid obligations, expired | -43 | | |
| 3050 Unpaid obligations, end of year | 1,798 | 1,780 | 1,833 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -32 | -39 | -48 |
| 3070 Change in uncollected pymts, Fed sources, unexpired | -9 | -9 | -9 |
| 3071 Change in uncollected pymts, Fed sources, expired | 2 | | |
| 3090 Uncollected pymts, Fed sources, end of year | -39 | -48 | -57 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 1,852 | 1,759 | 1,732 |
| 3200 Obligated balance, end of year | 1,759 | 1,732 | 1,776 |

Budget authority and outlays, net:

| | | | |
|---|-------|-------|-------|
| Discretionary: | | | |
| 4000 Budget authority, gross | 7,688 | 7,887 | 7,940 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 6,098 | 6,361 | 6,357 |

| | | | | |
|------|---|--------|--------|--------|
| 4011 | Outlays from discretionary balances | 1,633 | 1,577 | 1,520 |
| 4020 | Outlays, gross (total) | 7,731 | 7,938 | 7,877 |
| | Offsets against gross budget authority and outlays: | | | |
| | Offsetting collections (collected) from: | | | |
| 4030 | Federal sources: | -9 | -9 | -9 |
| 4033 | Non-Federal sources: | -5 | -7 | -7 |
| 4034 | Offsetting governmental collections: | -2,971 | -3,058 | -3,276 |
| 4040 | Offsets against gross budget authority and outlays (total) | -2,985 | -3,074 | -3,292 |
| | Additional offsets against gross budget authority only: | | | |
| 4050 | Change in uncollected pymts, Fed sources, unexpired | -9 | -9 | -9 |
| 4052 | Offsetting collections credited to expired accounts | 5 | | |
| 4053 | Recoveries of prior year paid obligations, unexpired accounts | 2 | 9 | 9 |
| 4060 | Additional offsets against budget authority only (total) | -2 | | |
| 4070 | Budget authority, net (discretionary) | 4,701 | 4,813 | 4,648 |
| 4080 | Outlays, net (discretionary) | 4,746 | 4,864 | 4,585 |
| | Mandatory: | | | |
| 4090 | Budget authority, gross | 6 | 6 | 6 |
| | Outlays, gross: | | | |
| 4100 | Outlays from new mandatory authority | | 2 | 2 |
| 4101 | Outlays from mandatory balances | 6 | 3 | 4 |
| 4110 | Outlays, gross (total) | 6 | 5 | 6 |
| | Offsets against gross budget authority and outlays: | | | |
| | Offsetting collections (collected) from: | | | |
| 4124 | Offsetting governmental collections: | -6 | -6 | -6 |
| 4180 | Budget authority, net (total) | 4,701 | 4,813 | 4,648 |
| 4190 | Outlays, net (total) | 4,746 | 4,863 | 4,585 |

Summary of Budget Authority and Outlays (in millions of dollars)

| | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Enacted/requested: | | | |
| Budget Authority | 4,701 | 4,813 | 4,648 |
| Outlays | 4,746 | 4,863 | 4,585 |
| Legislative proposal, not subject to PAYGO: | | | |
| Budget Authority | | | -618 |
| Outlays | | | -618 |
| Total: | | | |
| Budget Authority | 4,701 | 4,813 | 4,030 |
| Outlays | 4,746 | 4,863 | 3,967 |

The Transportation Security Administration (TSA) protects the Nation's transportation systems to ensure freedom of movement for people and commerce. The Operations and Support appropriation funds necessary operation, mission support, and associated management and administrative costs. Major programs include:

Mission Support.—This program supports headquarters offices, human resources, information technology, and major acquisitions to support those efforts.

Aviation Screening Operations.—This program supports the majority of TSA's frontline operations, and includes funding for the Screening Workforce, the National Explosives Detection Canine Team program, Secure Flight, and programs that support screening capabilities, as well as field support for these efforts. Since 2011, TSA has been performing this function through the use of an intelligence-driven risk-based security approach. Risk-based security increases the overall security effectiveness by focusing security resources on higher-risk and unknown travelers, while expanding the process for low risk and known/trusted travelers.

Other Operations and Enforcement.—This program supports: the Inflight Security program, which includes funding for the Federal Air Marshals Service and Federal Flight Deck Officer and Crew Training; Aviation Regulation, which provides law enforcement and regulatory presence at airports to ensure compliance with required security measures and response to security incidents; Air Cargo, which implements statutory requirement for ensuring the security of transportation systems and passengers when cargo is transported by air; Intelligence and the TSA Operations Center, which provides for the review, synthesis, and analysis of transportation specific intelligence; Surface Programs, which protect the surface transportation system (mass transit, freight rail, pipeline, and maritime modes); and vetting programs, which vet various populations requiring access to the transportation network.

Appropriations in this account are partially offset by revenue from related fees. TSA's Operations and Support funding level of \$4,030,756,000 for the total 2021 appropriation from the general fund assumes \$618 million in additional revenue from the legislative proposal to increase the Passenger Security Fee by one dollar in 2021.

Object Classification (in millions of dollars)

| Identification code 070-0550-0-1-400 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 2,921 | 2,950 | 2,790 |
| 11.3 Other than full-time permanent | 225 | 260 | 210 |
| 11.5 Other personnel compensation | 503 | 517 | 490 |
| 11.8 Special personal services payments | 2 | 2 | 2 |
| 11.9 Total personnel compensation | 3,651 | 3,729 | 3,492 |
| 12.1 Civilian personnel benefits | 1,676 | 1,682 | 1,663 |
| 13.0 Benefits for former personnel | 3 | 3 | 3 |
| 21.0 Travel and transportation of persons | 175 | 163 | 195 |
| 22.0 Transportation of things | 1 | 1 | 1 |
| 23.1 Rental payments to GSA | 121 | 111 | 117 |
| 23.2 Rental payments to others | 72 | 72 | 72 |
| 23.3 Communications, utilities, and miscellaneous charges | 126 | 107 | 131 |
| 24.0 Printing and reproduction | 1 | 1 | 1 |
| 25.1 Advisory and assistance services | 850 | 909 | 1,000 |
| 25.2 Other services from non-Federal sources | 286 | 248 | 263 |
| 25.3 Other goods and services from Federal sources | 135 | 126 | 126 |
| 25.4 Operation and maintenance of facilities | 150 | 130 | 147 |
| 25.7 Operation and maintenance of equipment | 305 | 398 | 480 |
| 26.0 Supplies and materials | 86 | 73 | 73 |
| 31.0 Equipment | 81 | 79 | 79 |
| 32.0 Land and structures | 9 | 9 | 9 |
| 41.0 Grants, subsidies, and contributions | 73 | 73 | 73 |
| 42.0 Insurance claims and indemnities | 4 | 4 | 4 |
| 99.0 Direct obligations | 7,805 | 7,918 | 7,929 |
| 99.0 Reimbursable obligations | 7 | 7 | 7 |
| 99.9 Total new obligations, unexpired accounts | 7,812 | 7,925 | 7,936 |

Employment Summary

| Identification code 070-0550-0-1-400 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | 55,607 | 56,419 | 53,945 |

OPERATIONS AND SUPPORT

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 070-0550-2-1-400 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Budgetary resources: | | | |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | | | -618 |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Offsetting Collections - Passenger Security Fee | | | 618 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4034 Offsetting governmental collections: | | | -618 |
| 4040 Offsets against gross budget authority and outlays (total) | | | -618 |
| 4180 Budget authority, net (total) | | | -618 |
| 4190 Outlays, net (total) | | | -618 |

To move towards a higher share of aviation security cost recovery from the traveling public, the Budget proposes increasing the Passenger Security Fee by \$1.00, from \$5.60 to \$6.60 per one-way trip, beginning in January 2021 and by an additional \$1.65 from \$6.60 to \$8.25 per one-way trip, beginning in October 2021.

SURFACE TRANSPORTATION SECURITY

Program and Financing (in millions of dollars)

| Identification code 070-0551-0-1-401 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 1 | 1 | 1 |
| 3050 Unpaid obligations, end of year | 1 | 1 | 1 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 1 | 1 | 1 |
| 3200 Obligated balance, end of year | 1 | 1 | 1 |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | | | |

INTELLIGENCE AND VETTING

Program and Financing (in millions of dollars)

| Identification code 070-0557-0-1-400 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 25 | 34 | 34 |
| 1021 Recoveries of prior year unpaid obligations | 9 | | |
| 1050 Unobligated balance (total) | 34 | 34 | 34 |
| 1930 Total budgetary resources available | 34 | 34 | 34 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 34 | 34 | 34 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 25 | 8 | |
| 3020 Outlays (gross) | -3 | -8 | |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -9 | | |
| 3041 Recoveries of prior year unpaid obligations, expired | -5 | | |
| 3050 Unpaid obligations, end of year | 8 | | |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 25 | 8 | |
| 3200 Obligated balance, end of year | 8 | | |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| Outlays, gross: | | | |
| 4011 Outlays from discretionary balances | 3 | | |
| Mandatory: | | | |
| Outlays, gross: | | | |
| 4101 Outlays from mandatory balances | | 8 | |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | 3 | 8 | |

TRANSPORTATION SECURITY SUPPORT

Program and Financing (in millions of dollars)

| Identification code 070-0554-0-1-400 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 98 | 81 | 9 |
| 3020 Outlays (gross) | -10 | -72 | -3 |
| 3041 Recoveries of prior year unpaid obligations, expired | -7 | | |
| 3050 Unpaid obligations, end of year | 81 | 9 | 6 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -3 | -3 | -3 |
| 3090 Uncollected pymts, Fed sources, end of year | -3 | -3 | -3 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 95 | 78 | 6 |
| 3200 Obligated balance, end of year | 78 | 6 | 3 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| Outlays, gross: | | | |
| 4011 Outlays from discretionary balances | 10 | 72 | 3 |
| 4180 Budget authority, net (total) | | | |

| | | | |
|---------------------------------|----|----|---|
| 4190 Outlays, net (total) | 10 | 72 | 3 |
|---------------------------------|----|----|---|

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of the Transportation Security Administration for procurement, construction, and improvements, **[\$110,100,000]** \$33,385,000, to remain available until September 30, **[2022]** 2023. (Department of Homeland Security Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 070-0410-0-1-400 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 0100 Balance, start of year | 17 | 16 | 16 |
| Receipts: | | | |
| Current law: | | | |
| 1120 Fees, Aviation Security Capital Fund | 250 | 250 | 250 |
| 2000 Total: Balances and receipts | 267 | 266 | 266 |
| Appropriations: | | | |
| Current law: | | | |
| 2101 Procurement, Construction, and Improvements | -250 | -250 | -250 |
| 2103 Procurement, Construction, and Improvements | -17 | -16 | |
| 2132 Procurement, Construction, and Improvements | 16 | 16 | |
| 2199 Total current law appropriations | -251 | -250 | -250 |
| 2999 Total appropriations | -251 | -250 | -250 |
| 5099 Balance, end of year | 16 | 16 | 16 |

Program and Financing (in millions of dollars)

| Identification code 070-0410-0-1-400 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 CAS - Aviation Screening Infrastructure | 502 | 104 | 33 |
| 0004 CAS - Aviation Security Capital Fund (mandatory) | | 250 | 250 |
| 0900 Total new obligations, unexpired accounts | 502 | 354 | 283 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 174 | 184 | 184 |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 | 21 | 183 | |
| 1011 Unobligated balance transfer from other acct [070-0610] ... | 3 | | |
| 1021 Recoveries of prior year unpaid obligations | 88 | | |
| 1050 Unobligated balance (total) | 265 | 184 | 184 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 170 | 110 | 33 |
| 1131 Unobligated balance of appropriations permanently reduced | | -6 | |
| 1160 Appropriation, discretionary (total) | 170 | 104 | 33 |
| Appropriations, mandatory: | | | |
| 1201 Appropriation (special or trust fund) | 250 | 250 | 250 |
| 1203 Appropriation (previously unavailable)(special or trust) ... | 17 | 16 | |
| 1232 Appropriations and/or unobligated balance of appropriations temporarily reduced | -16 | -16 | |
| 1260 Appropriations, mandatory (total) | 251 | 250 | 250 |
| 1900 Budget authority (total) | 421 | 354 | 283 |
| 1930 Total budgetary resources available | 686 | 538 | 467 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 184 | 184 | 184 |

Change in obligated balance:

| | | | |
|---|-------|-------|------|
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 1,292 | 1,189 | 956 |
| 3010 New obligations, unexpired accounts | 502 | 354 | 283 |
| 3020 Outlays (gross) | -517 | -587 | -485 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -88 | | |
| 3050 Unpaid obligations, end of year | 1,189 | 956 | 754 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 1,292 | 1,189 | 956 |
| 3200 Obligated balance, end of year | 1,189 | 956 | 754 |

Budget authority and outlays, net:

| | | | |
|---|-----|-----|----|
| Discretionary: | | | |
| Budget authority, gross | | | |
| 4000 Budget authority, gross | 170 | 104 | 33 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 47 | 36 | 12 |

| | | | | |
|------|--|-----|-----|-----|
| 4011 | Outlays from discretionary balances | 115 | 120 | 87 |
| 4020 | Outlays, gross (total) | 162 | 156 | 99 |
| | Mandatory: | | | |
| 4090 | Budget authority, gross | 251 | 250 | 250 |
| | Outlays, gross: | | | |
| 4100 | Outlays from new mandatory authority | 9 | 18 | 18 |
| 4101 | Outlays from mandatory balances | 346 | 413 | 368 |
| 4110 | Outlays, gross (total) | 355 | 431 | 386 |
| 4180 | Budget authority, net (total) | 421 | 354 | 283 |
| 4190 | Outlays, net (total) | 517 | 587 | 485 |

The Procurement, Construction, and Improvements (PC&I) Appropriation provides the funds, above certain threshold amounts, necessary for the manufacture, purchase, or enhancement of assets. The funding provides resources to procure and improve equipment and systems that support aviation screening operations, other transportation screening and vetting operations, and other mission support functions. This account includes funding from the Aviation Security Capital Fund (ASCF), which is used for acquisition and installation of checked baggage screening equipment and explosives detection systems, as well as for airport infrastructure modifications.

Object Classification (in millions of dollars)

| Identification code 070-0410-0-1-400 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent | 20 | | |
| 11.9 Total personnel compensation | 20 | | |
| 12.1 Civilian personnel benefits | 6 | | |
| 21.0 Travel and transportation of persons | 1 | 1 | 1 |
| 23.2 Rental payments to others | 1 | 1 | 1 |
| 23.3 Communications, utilities, and miscellaneous charges | 1 | 1 | 1 |
| 25.1 Advisory and assistance services | 397 | 270 | 215 |
| 25.3 Other goods and services from Federal sources | 1 | 1 | 1 |
| 25.4 Operation and maintenance of facilities | 1 | 1 | 1 |
| 25.7 Operation and maintenance of equipment | 1 | 1 | 1 |
| 31.0 Equipment | 72 | 78 | 62 |
| 32.0 Land and structures | 1 | | |
| 99.9 Total new obligations, unexpired accounts | 502 | 354 | 283 |

Employment Summary

| Identification code 070-0410-0-1-400 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | 166 | | |

RESEARCH AND DEVELOPMENT

For necessary expenses of the Transportation Security Administration for research and development, **[\$22,902,000]** \$29,524,000, to remain available until September 30, **[2021]** 2022. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0802-0-1-400 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Research and Development | 21 | 23 | 30 |
| 0900 Total new obligations, unexpired accounts (object class 25.5) | 21 | 23 | 30 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 3 | 3 | 3 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 21 | 23 | 30 |
| 1930 Total budgetary resources available | 24 | 26 | 33 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 3 | 3 | 3 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 15 | 23 | 22 |
| 3010 New obligations, unexpired accounts | 21 | 23 | 30 |

| | | | | |
|------|--|-----|-----|-----|
| 3020 | Outlays (gross) | -13 | -24 | -24 |
| 3050 | Unpaid obligations, end of year | 23 | 22 | 28 |
| | Memorandum (non-add) entries: | | | |
| 3100 | Obligated balance, start of year | 15 | 23 | 22 |
| 3200 | Obligated balance, end of year | 23 | 22 | 28 |

Budget authority and outlays, net:

| | | | | |
|-----------------------|--|----|----|----|
| Discretionary: | | | | |
| 4000 | Budget authority, gross | 21 | 23 | 30 |
| | Outlays, gross: | | | |
| 4010 | Outlays from new discretionary authority | 3 | 8 | 10 |
| 4011 | Outlays from discretionary balances | 10 | 16 | 14 |
| 4020 | Outlays, gross (total) | 13 | 24 | 24 |
| 4180 | Budget authority, net (total) | 21 | 23 | 30 |
| 4190 | Outlays, net (total) | 13 | 24 | 24 |

The Research and Development appropriation funds necessary technology demonstrations and system development in support of TSA's passenger, baggage, and intermodal screening functions. TSA's research and development activities usually involve inter-agency agreements with established research organizations, such as the Department of Homeland Security Science and Technology Directorate, the Department of Energy, the Naval Sea Systems Command, and other federally funded research and development centers. TSA works directly with industry to test and demonstrate the newest security technologies for transportation infrastructure.

UNITED STATES COAST GUARD
Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Coast Guard for operations and support including the Coast Guard Reserve; purchase or lease of not to exceed 25 passenger motor vehicles, which shall be for replacement only; purchase or lease of small boats for contingent and emergent requirements (at a unit cost of not more than \$700,000) and repairs and service-life replacements, not to exceed a total of \$31,000,000; purchase, lease, or improvements of boats necessary for overseas deployments and activities; payments pursuant to section 156 of Public Law 97-377 (42 U.S.C. 402 note; 96 Stat. 1920); and recreation and welfare; **[\$8,181,253,000]** \$8,377,740,000; of which \$530,000,000 shall be for defense-related activities, of which \$190,000,000 is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985; of which \$24,500,000 shall be derived from the Oil Spill Liability Trust Fund to carry out the purposes of section 1012(a)(5) of the Oil Pollution Act of 1990 (33 U.S.C. 2712(a)(5)); of which \$11,000,000 shall remain available until September 30, 2022; and of which **[\$19,982,000]** \$13,659,000 shall remain available until September 30, **[2024]** 2025, for environmental compliance and restoration: *Provided*, That not to exceed \$23,000 shall be for official reception and representation expenses. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0610-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Military Pay and Allowances | 3,909 | 4,023 | 4,157 |
| 0002 Civilian Pay and Benefits | 935 | 1,004 | 1,103 |
| 0003 Training and Recruiting | 203 | 210 | 231 |
| 0004 Operating Funds and Unit Level Maintenance | 1,026 | 1,020 | 969 |
| 0005 Centrally Managed Accounts | 144 | 161 | 93 |
| 0006 Intermediate and Depot Level Maintenance | 1,493 | 1,675 | 1,655 |
| 0007 Reserve Training | 119 | 126 | 131 |
| 0008 Environmental Compliance and Restoration | 3 | 29 | 14 |
| 0600 Total direct program | 7,832 | 8,248 | 8,353 |
| 0799 Total direct obligations | 7,832 | 8,248 | 8,353 |
| 0801 Operating Expenses (Reimbursable) | 231 | 259 | 352 |
| 0900 Total new obligations, unexpired accounts | 8,063 | 8,507 | 8,705 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 49 | 67 | |
| 1010 Unobligated balance transfer to other accts [070-0410] | -3 | | |

OPERATIONS AND SUPPORT—Continued
Program and Financing—Continued

| Identification code 070-0610-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| 1012 Unobligated balance transfers between expired and unexpired accounts | 9 | | |
| 1021 Recoveries of prior year unpaid obligations | 3 | | |
| 1050 Unobligated balance (total) | 58 | 67 | |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 7,831 | 8,157 | 8,353 |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 189 | 283 | 377 |
| 1701 Change in uncollected payments, Federal sources | 71 | | |
| 1750 Spending auth from offsetting collections, disc (total) | 260 | 283 | 377 |
| 1900 Budget authority (total) | 8,091 | 8,440 | 8,730 |
| 1930 Total budgetary resources available | 8,149 | 8,507 | 8,730 |
| Memorandum (non-add) entries: | | | |
| 1940 Unobligated balance expiring | -19 | | |
| 1941 Unexpired unobligated balance, end of year | 67 | | 25 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 2,105 | 2,166 | 2,054 |
| 3010 New obligations, unexpired accounts | 8,063 | 8,507 | 8,705 |
| 3011 Obligations ("upward adjustments"), expired accounts | 67 | | |
| 3020 Outlays (gross) | -7,914 | -8,619 | -8,635 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -3 | | |
| 3041 Recoveries of prior year unpaid obligations, expired | -152 | | |
| 3050 Unpaid obligations, end of year | 2,166 | 2,054 | 2,124 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -133 | -108 | -108 |
| 3070 Change in uncollected pymts, Fed sources, unexpired | -71 | | |
| 3071 Change in uncollected pymts, Fed sources, expired | 96 | | |
| 3090 Uncollected pymts, Fed sources, end of year | -108 | -108 | -108 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 1,972 | 2,058 | 1,946 |
| 3200 Obligated balance, end of year | 2,058 | 1,946 | 2,016 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 8,091 | 8,440 | 8,730 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 6,282 | 6,668 | 6,973 |
| 4011 Outlays from discretionary balances | 1,632 | 1,951 | 1,662 |
| 4020 Outlays, gross (total) | 7,914 | 8,619 | 8,635 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -266 | -283 | -377 |
| 4033 Non-Federal sources | -12 | | |
| 4040 Offsets against gross budget authority and outlays (total) | -278 | -283 | -377 |
| Additional offsets against gross budget authority only: | | | |
| 4050 Change in uncollected pymts, Fed sources, unexpired | -71 | | |
| 4052 Offsetting collections credited to expired accounts | 89 | | |
| 4060 Additional offsets against budget authority only (total) | 18 | | |
| 4070 Budget authority, net (discretionary) | 7,831 | 8,157 | 8,353 |
| 4080 Outlays, net (discretionary) | 7,636 | 8,336 | 8,258 |
| 4180 Budget authority, net (total) | 7,831 | 8,157 | 8,353 |
| 4190 Outlays, net (total) | 7,636 | 8,336 | 8,258 |

The Operations and Support account funds the operations of the Coast Guard as it carries out its duties as a maritime, military, multi-mission operating agency and one of the five Armed Forces. To fulfill its mission, the Coast Guard employs multipurpose vessels, aircraft, and shore units, strategically located along the coasts and inland waterways of the United States. This account funds operations and maintenance of these assets, and sustainment of new and existing Coast Guard programs, projects, activities, and personnel. This account also provides funds for Reserve Training and Environmental Compliance and Restoration.

Object Classification (in millions of dollars)

| Identification code 070-0610-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 673 | 716 | 776 |
| 11.3 Other than full-time permanent | 4 | 5 | 5 |
| 11.5 Other personnel compensation | 23 | 25 | 37 |
| 11.6 Military personnel - basic allowance for housing | 854 | 896 | 934 |
| 11.7 Military personnel | 2,150 | 2,255 | 2,353 |
| 11.8 Special personal services payments | 8 | 8 | 9 |
| 11.9 Total personnel compensation | 3,712 | 3,905 | 4,114 |
| 12.1 Civilian personnel benefits | 232 | 267 | 292 |
| 12.2 Military personnel benefits | 254 | 302 | 306 |
| 13.0 Benefits for former personnel | | 4 | 4 |
| 21.0 Travel and transportation of persons | 213 | 238 | 257 |
| 22.0 Transportation of things | 118 | 119 | 117 |
| 23.1 Rental payments to GSA | 58 | 65 | 7 |
| 23.2 Rental payments to others | 34 | 33 | 33 |
| 23.3 Communications, utilities, and miscellaneous charges | 169 | 160 | 155 |
| 24.0 Printing and reproduction | 3 | 3 | 3 |
| 25.1 Advisory and assistance services | 125 | 135 | 137 |
| 25.2 Other services from non-Federal sources | 272 | 407 | 409 |
| 25.3 Other goods and services from Federal sources | 545 | 184 | 185 |
| 25.4 Operation and maintenance of facilities | 163 | 260 | 243 |
| 25.6 Medical care | 450 | 360 | 350 |
| 25.7 Operation and maintenance of equipment | 687 | 740 | 746 |
| 25.8 Subsistence and support of persons | 4 | 4 | 4 |
| 26.0 Supplies and materials | 645 | 770 | 671 |
| 31.0 Equipment | 128 | 255 | 282 |
| 32.0 Land and structures | 17 | 28 | 35 |
| 41.0 Grants, subsidies, and contributions | | 6 | |
| 42.0 Insurance claims and indemnities | 3 | 3 | 3 |
| 99.0 Direct obligations | 7,832 | 8,248 | 8,353 |
| 99.0 Reimbursable obligations | 231 | 259 | 352 |
| 99.9 Total new obligations, unexpired accounts | 8,063 | 8,507 | 8,705 |

Employment Summary

| Identification code 070-0610-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | 7,635 | 7,927 | 8,219 |
| 1101 Direct military average strength employment | 41,965 | 49,393 | 49,829 |
| 2001 Reimbursable civilian full-time equivalent employment | 198 | 239 | 244 |
| 2101 Reimbursable military average strength employment | 591 | 825 | 670 |

ENVIRONMENTAL COMPLIANCE AND RESTORATION

Program and Financing (in millions of dollars)

| Identification code 070-0611-0-1-304 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Environmental Compliance | 6 | | |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 19 | 13 | 13 |
| 1930 Total budgetary resources available | 19 | 13 | 13 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 13 | 13 | 13 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 15 | 8 | |
| 3010 New obligations, unexpired accounts | 6 | | |
| 3020 Outlays (gross) | -13 | -8 | |
| 3050 Unpaid obligations, end of year | 8 | | |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 15 | 8 | |
| 3200 Obligated balance, end of year | 8 | | |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| Outlays, gross: | | | |
| 4011 Outlays from discretionary balances | 13 | 8 | |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | 13 | 8 | |

The Environmental Compliance and Restoration account supports activities to comply with obligations in section 318, chapter 3 of title 14 of the United States Code related to Environmental Compliance and Restoration. This includes environmental cleanup, sustainment, and restoration of current and former contaminated Coast Guard facilities, and engineering remedies for Coast Guard assets, to comply with environmental laws and prevent contamination and environmental damage. Beginning in 2019, funding for Environmental Compliance and Restoration is appropriated in the Operations and Support account.

Object Classification (in millions of dollars)

| Identification code 070-0611-0-1-304 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| 11.1 Personnel compensation: Full-time permanent | 2 | | |
| 12.1 Civilian personnel benefits | 1 | | |
| 25.2 Other services from non-Federal sources | 3 | | |
| 99.9 Total new obligations, unexpired accounts | 6 | | |

Employment Summary

| Identification code 070-0611-0-1-304 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | 22 | | |
| 1101 Direct military average strength employment | 1 | | |

RESERVE TRAINING

Program and Financing (in millions of dollars)

| Identification code 070-0612-0-1-403 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 12 | 1 | 1 |
| 3011 Obligations ("upward adjustments"), expired accounts | 1 | | |
| 3020 Outlays (gross) | -11 | | |
| 3041 Recoveries of prior year unpaid obligations, expired | -1 | | |
| 3050 Unpaid obligations, end of year | 1 | 1 | 1 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 12 | 1 | 1 |
| 3200 Obligated balance, end of year | 1 | 1 | 1 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| Outlays, gross: | | | |
| 4011 Outlays from discretionary balances | 11 | | |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | 11 | | |

The Reserve Training account supports the training of Coast Guard Reserve Forces so they are prepared to provide qualified personnel to augment active duty forces in the event of conflict, national emergency, or natural and manmade disasters. Reservists maintain their readiness through formal training, mobilization exercises, and duty alongside regular Coast Guard members during routine and emergency operations. Reservists will continue to serve as a cost-effective surge force for response to man-made and natural disasters. Starting in 2019, the Department has requested funding for Reserve Training appropriated in the Operations and Support account.

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of the Coast Guard for procurement, construction, and improvements, including aids to navigation, shore facilities (including facilities at Department of Defense installations used by the Coast Guard), and vessels and aircraft, including equipment related thereto, **[\$1,772,506,000]** \$1,637,091,000, to remain available until September 30, **[2024]** 2025; of which \$20,000,000 shall be derived from the Oil Spill Liability Trust Fund to carry out the purposes of section 1012(a)(5) of the Oil Pollution Act of 1990 (33 U.S.C. 2712(a)(5))**];** and of which \$32,350,000, shall be available to carry out the purposes of section 2946 of title 14, United States Code, of which \$26,376,833 shall be derived from the Coast Guard

Housing Fund, established pursuant to such section **].** (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0613-0-1-403 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Vessels | 2,085 | 1,221 | 1,225 |
| 0002 Aircraft | 274 | 604 | 251 |
| 0003 Other Acquisition Programs | 56 | 77 | 64 |
| 0004 Shore Facilities and Aids to Navigation | 168 | 393 | 653 |
| 0600 Total Direct Program | 2,583 | 2,295 | 2,193 |
| 0799 Total direct obligations | 2,583 | 2,295 | 2,193 |
| 0801 Acquisition, Construction, and Improvements (Reimbursable) | 14 | 8 | 8 |
| 0900 Total new obligations, unexpired accounts | 2,597 | 2,303 | 2,201 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 2,846 | 2,984 | 2,462 |
| 1010 Unobligated balance transfer to other accts [070-0540] | -23 | | |
| 1012 Unobligated balance transfers between expired and unexpired accounts | 1 | | |
| 1021 Recoveries of prior year unpaid obligations | 50 | | |
| 1050 Unobligated balance (total) | 2,874 | 2,984 | 2,462 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 2,705 | 1,727 | 1,617 |
| 1121 Appropriations transferred from other acct [070-5710] | | 26 | |
| 1131 Unobligated balance of appropriations permanently reduced | -14 | | -70 |
| 1160 Appropriation, discretionary (total) | 2,691 | 1,753 | 1,547 |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 60 | 28 | 28 |
| 1701 Change in uncollected payments, Federal sources | -33 | | |
| 1750 Spending auth from offsetting collections, disc (total) | 27 | 28 | 28 |
| 1900 Budget authority (total) | 2,718 | 1,781 | 1,575 |
| 1930 Total budgetary resources available | 5,592 | 4,765 | 4,037 |
| Memorandum (non-add) entries: | | | |
| 1940 Unobligated balance expiring | -11 | | |
| 1941 Unexpired unobligated balance, end of year | 2,984 | 2,462 | 1,836 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 2,868 | 3,838 | 3,760 |
| 3010 New obligations, unexpired accounts | 2,597 | 2,303 | 2,201 |
| 3011 Obligations ("upward adjustments"), expired accounts | 8 | | |
| 3020 Outlays (gross) | -1,551 | -2,381 | -2,259 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -50 | | |
| 3041 Recoveries of prior year unpaid obligations, expired | -34 | | |
| 3050 Unpaid obligations, end of year | 3,838 | 3,760 | 3,702 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -62 | -29 | -29 |
| 3070 Change in uncollected pymts, Fed sources, unexpired | 33 | | |
| 3090 Uncollected pymts, Fed sources, end of year | -29 | -29 | -29 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 2,806 | 3,809 | 3,731 |
| 3200 Obligated balance, end of year | 3,809 | 3,731 | 3,673 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 2,718 | 1,781 | 1,575 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 57 | 204 | 120 |
| 4011 Outlays from discretionary balances | 1,494 | 2,177 | 2,139 |
| 4020 Outlays, gross (total) | 1,551 | 2,381 | 2,259 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -60 | -20 | -20 |
| 4033 Non-Federal sources | | -8 | -8 |
| 4040 Offsets against gross budget authority and outlays (total) ... | -60 | -28 | -28 |
| Additional offsets against gross budget authority only: | | | |
| 4050 Change in uncollected pymts, Fed sources, unexpired | 33 | | |
| 4060 Additional offsets against budget authority only (total) | 33 | | |
| 4070 Budget authority, net (discretionary) | 2,691 | 1,753 | 1,547 |
| 4080 Outlays, net (discretionary) | 1,491 | 2,353 | 2,231 |
| 4180 Budget authority, net (total) | 2,691 | 1,753 | 1,547 |

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued
Program and Financing—Continued

| Identification code 070-0613-0-1-403 | 2019 actual | 2020 est. | 2021 est. |
|--------------------------------------|-------------|-----------|-----------|
| 4190 Outlays, net (total) | 1,491 | 2,353 | 2,231 |

The Procurement, Construction, and Improvements account provides for the acquisition, procurement, construction, rebuilding, and improvement of vessels, aircraft, information management resources, other equipment, shore facilities, and aids to navigation required to execute the Coast Guard's missions and achieve its performance goals. The Coast Guard will continue the recapitalization of boats, major cutters and patrol boats, aircraft, and command, control, communications, computers, intelligence, surveillance and reconnaissance systems. Furthermore, the Coast Guard will continue fleet sustainment projects to enhance and extend the service life of selected existing aircraft and cutters. The Coast Guard will also invest in shore infrastructure as well as repair aging buildings, and other facilities. These vital recapitalization projects will provide the Coast Guard with capabilities necessary to perform its missions.

Object Classification (in millions of dollars)

| Identification code 070-0613-0-1-403 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| 11.7 Direct obligations: Personnel compensation: Military personnel | 1 | | |
| 11.9 Total personnel compensation | 1 | | |
| 21.0 Travel and transportation of persons | 6 | 15 | 12 |
| 23.3 Communications, utilities, and miscellaneous charges | | 1 | 1 |
| 24.0 Printing and reproduction | 574 | | |
| 25.1 Advisory and assistance services | 189 | 379 | 306 |
| 25.2 Other services from non-Federal sources | 3 | 42 | 34 |
| 25.3 Other goods and services from Federal sources | 164 | 225 | 138 |
| 25.4 Operation and maintenance of facilities | 67 | 48 | 35 |
| 25.7 Operation and maintenance of equipment | 17 | 1 | 6 |
| 25.8 Subsistence and support of persons | 1 | | |
| 26.0 Supplies and materials | | 61 | 63 |
| 31.0 Equipment | 1,489 | 1,284 | 1,208 |
| 32.0 Land and structures | 72 | 239 | 390 |
| 99.0 Direct obligations | 2,583 | 2,295 | 2,193 |
| 99.0 Reimbursable obligations | 14 | 8 | 8 |
| 99.9 Total new obligations, unexpired accounts | 2,597 | 2,303 | 2,201 |

Employment Summary

| Identification code 070-0613-0-1-403 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | 1 | | |
| 1101 Direct military average strength employment | 4 | | |

ALTERATION OF BRIDGES

Program and Financing (in millions of dollars)

| Identification code 070-0614-0-1-403 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 2 | 2 | 2 |
| 1930 Total budgetary resources available | 2 | 2 | 2 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 2 | 2 | 2 |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | | | |

The Alteration of Bridges account funds the Federal Government's share of costs for altering or removing bridges determined to be unreasonable obstructions to navigation. Under the Truman-Hobbs Act of 1940 (33 U.S.C. 511-523), the Federal Government shares, with the bridge owner,

the cost of altering railroad and publicly-owned highway bridges declared by the Coast Guard to be unreasonable obstructions to navigation.

RESEARCH AND DEVELOPMENT

For necessary expenses of the Coast Guard for research and development; and for maintenance, rehabilitation, lease, and operation of facilities and equipment; [\$4,949,000] \$5,276,000, to remain available until September 30, [2022] 2023, of which \$500,000 shall be derived from the Oil Spill Liability Trust Fund to carry out the purposes of section 1012(a)(5) of the Oil Pollution Act of 1990 (33 U.S.C. 2712(a)(5)): *Provided*, That there may be credited to and used for the purposes of this appropriation funds received from State and local governments, other public authorities, private sources, and foreign countries for expenses incurred for research, development, testing, and evaluation. (*Department of Homeland Security Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

| Identification code 070-0615-0-1-403 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Applied R&D | 19 | 13 | 5 |
| 0801 Research, Development, Test, and Evaluation (Reimbursable) | 1 | 5 | 5 |
| 0900 Total new obligations, unexpired accounts | 20 | 18 | 10 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 33 | 14 | |
| 1010 Unobligated balance transfer to other accts [070-0540] | -1 | | |
| 1050 Unobligated balance (total) | 32 | 14 | |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 20 | 4 | 5 |
| 1131 Unobligated balance of appropriations permanently reduced | -17 | -5 | |
| 1160 Appropriation, discretionary (total) | 3 | -1 | 5 |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 2 | 5 | 5 |
| 1701 Change in uncollected payments, Federal sources | -3 | | |
| 1750 Spending auth from offsetting collections, disc (total) | -1 | 5 | 5 |
| 1900 Budget authority (total) | 2 | 4 | 10 |
| 1930 Total budgetary resources available | 34 | 18 | 10 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 14 | | |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 10 | 6 | 19 |
| 3010 New obligations, unexpired accounts | 20 | 18 | 10 |
| 3020 Outlays (gross) | -24 | -5 | -9 |
| 3050 Unpaid obligations, end of year | 6 | 19 | 20 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -7 | -4 | -4 |
| 3070 Change in uncollected pymts, Fed sources, unexpired | 3 | | |
| 3090 Uncollected pymts, Fed sources, end of year | -4 | -4 | -4 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 3 | 2 | 15 |
| 3200 Obligated balance, end of year | 2 | 15 | 16 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 2 | 4 | 10 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 15 | | 6 |
| 4011 Outlays from discretionary balances | 9 | 5 | 3 |
| 4020 Outlays, gross (total) | 24 | 5 | 9 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -3 | -5 | -5 |
| Additional offsets against gross budget authority only: | | | |
| 4050 Change in uncollected pymts, Fed sources, unexpired | 3 | | |
| 4052 Offsetting collections credited to expired accounts | 1 | | |
| 4060 Additional offsets against budget authority only (total) | 4 | | |
| 4070 Budget authority, net (discretionary) | 3 | -1 | 5 |
| 4080 Outlays, net (discretionary) | 21 | | 4 |

| | | | | |
|------|-------------------------------------|----|-------|---|
| 4180 | Budget authority, net (total) | 3 | -1 | 5 |
| 4190 | Outlays, net (total) | 21 | | 4 |

The Research and Development account provides the funds to develop techniques, methods, hardware, and systems that directly contribute to increasing the productivity and effectiveness of the Coast Guard's operating missions, as well as expertise and services that enhance pre-acquisition planning and analysis to reduce cost, schedule, and performance risks across multiple acquisition projects.

Object Classification (in millions of dollars)

| Identification code 070-0615-0-1-403 | 2019 actual | 2020 est. | 2021 est. | |
|--------------------------------------|--|-----------|-----------|-------|
| Direct obligations: | | | | |
| Personnel compensation: | | | | |
| 11.1 | Full-time permanent | 7 | | |
| 11.7 | Military personnel | 1 | | |
| 11.9 | Total personnel compensation | 8 | | |
| 12.1 | Civilian personnel benefits | 2 | | |
| 21.0 | Travel and transportation of persons | 1 | 1 | 1 |
| 23.1 | Rental payments to GSA | 1 | | |
| 23.3 | Communications, utilities, and miscellaneous charges | 1 | 2 | |
| 25.1 | Advisory and assistance services | 2 | 3 | 1 |
| 25.2 | Other services from non-Federal sources | | 1 | |
| 25.3 | Other goods and services from Federal sources | 1 | | |
| 25.5 | Research and development contracts | 1 | 3 | 2 |
| 26.0 | Supplies and materials | 2 | 3 | 1 |
| 31.0 | Equipment | | 1 | |
| 99.0 | Direct obligations | 19 | 14 | 5 |
| 99.0 | Reimbursable obligations | 1 | 4 | 5 |
| 99.9 | Total new obligations, unexpired accounts | 20 | 18 | 10 |

Employment Summary

| Identification code 070-0615-0-1-403 | 2019 actual | 2020 est. | 2021 est. | |
|--------------------------------------|---|-----------|-----------|-------|
| 1001 | Direct civilian full-time equivalent employment | 68 | | |
| 1101 | Direct military average strength employment | 15 | | |

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, HOMELAND SECURITY

Program and Financing (in millions of dollars)

| Identification code 070-0616-0-1-403 | 2019 actual | 2020 est. | 2021 est. | |
|---|---|-----------|-----------|------|
| Obligations by program activity: | | | | |
| 0001 | MERHCF | 199 | 205 | 216 |
| 0900 | Total new obligations, unexpired accounts (object class 12.2) | 199 | 205 | 216 |
| Budgetary resources: | | | | |
| Budget authority: | | | | |
| Appropriations, discretionary: | | | | |
| 1100 | Appropriation | 199 | 205 | 216 |
| 1930 | Total budgetary resources available | 199 | 205 | 216 |
| Change in obligated balance: | | | | |
| Unpaid obligations: | | | | |
| 3010 | New obligations, unexpired accounts | 199 | 205 | 216 |
| 3020 | Outlays (gross) | -199 | -205 | -216 |
| Budget authority and outlays, net: | | | | |
| Discretionary: | | | | |
| 4000 | Budget authority, gross | 199 | 205 | 216 |
| Outlays, gross: | | | | |
| 4010 | Outlays from new discretionary authority | 199 | 205 | 216 |
| 4180 | Budget authority, net (total) | 199 | 205 | 216 |
| 4190 | Outlays, net (total) | 199 | 205 | 216 |

The Medicare-Eligible Retiree Health Care Fund Contribution account provides for the cost of medical benefits for Medicare-eligible beneficiaries paid from the Department of Defense Medicare-Eligible Retiree Health Care Fund (10 U.S.C. ch. 56). Beginning in 2006, permanent indefinite

authority is provided for a discretionary appropriation of the annual accrual payment into this fund (P.L. 108-375).

RETIRED PAY

For retired pay, including the payment of obligations otherwise chargeable to lapsed appropriations for this purpose, payments under the Retired Serviceman's Family Protection and Survivor Benefits Plans, payment for career status bonuses, payment of continuation pay under section 356 of title 37, United States Code, concurrent receipts, combat-related special compensation, and payments for medical care of retired personnel and their dependents under chapter 55 of title 10, United States Code, **[\$1,802,309,000]** \$1,869,704,000, to remain available until expended. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0602-0-1-403 | 2019 actual | 2020 est. | 2021 est. | |
|---|--|-----------|-----------|--------|
| Obligations by program activity: | | | | |
| 0001 | Retired Pay | 1,737 | 1,802 | 1,870 |
| Budgetary resources: | | | | |
| Unobligated balance: | | | | |
| 1000 | Unobligated balance brought forward, Oct 1 | 120 | 123 | 123 |
| Budget authority: | | | | |
| Appropriations, mandatory: | | | | |
| 1200 | Appropriation | 1,740 | 1,802 | 1,870 |
| 1900 | Budget authority (total) | 1,740 | 1,802 | 1,870 |
| 1930 | Total budgetary resources available | 1,860 | 1,925 | 1,993 |
| Memorandum (non-add) entries: | | | | |
| 1941 | Unexpired unobligated balance, end of year | 123 | 123 | 123 |
| Change in obligated balance: | | | | |
| Unpaid obligations: | | | | |
| 3000 | Unpaid obligations, brought forward, Oct 1 | 141 | 146 | 151 |
| 3010 | New obligations, unexpired accounts | 1,737 | 1,802 | 1,870 |
| 3020 | Outlays (gross) | -1,732 | -1,797 | -1,870 |
| 3050 | Unpaid obligations, end of year | 146 | 151 | 151 |
| Memorandum (non-add) entries: | | | | |
| 3100 | Obligated balance, start of year | 141 | 146 | 151 |
| 3200 | Obligated balance, end of year | 146 | 151 | 151 |

Budget authority and outlays, net:

| | | | | |
|------------------------|--|-------|-------|-------|
| Mandatory: | | | | |
| 4090 | Budget authority, gross | 1,740 | 1,802 | 1,870 |
| Outlays, gross: | | | | |
| 4100 | Outlays from new mandatory authority | 1,506 | 1,622 | 1,717 |
| 4101 | Outlays from mandatory balances | 226 | 175 | 153 |
| 4110 | Outlays, gross (total) | 1,732 | 1,797 | 1,870 |
| 4180 | Budget authority, net (total) | 1,740 | 1,802 | 1,870 |
| 4190 | Outlays, net (total) | 1,732 | 1,797 | 1,870 |

The Retired Pay account funds the retired pay of military personnel of the Coast Guard and Coast Guard Reserve, members of the former Lighthouse Service, and for annuities payable to beneficiaries of retired military personnel under the Retired Serviceman's Family Protection Plan (10 U.S.C. 1431-46) and Survivor Benefits Plans (10 U.S.C. 1447-55); payments for career status bonuses; payment of continuation pay (37 U.S.C. 356); concurrent receipts, and combat-related special compensation under the National Defense Authorization Act, as authorized by law; and for payments for medical care of retired personnel and their dependents under the Dependents Medical Care Act (10 U.S.C., ch. 55).

Object Classification (in millions of dollars)

| Identification code 070-0602-0-1-403 | 2019 actual | 2020 est. | 2021 est. | |
|--------------------------------------|---|-----------|-----------|-------|
| Direct obligations: | | | | |
| 12.2 | Military personnel benefits | 10 | 6 | 7 |
| 13.0 | Benefits for former personnel | 1,706 | 1,524 | 1,596 |
| 25.2 | Other services from non-Federal sources | 8 | 14 | 13 |
| 25.6 | Medical care | | 235 | 231 |
| 26.0 | Supplies and materials | 13 | 23 | 23 |

RETIRED PAY—Continued
Object Classification—Continued

| Identification code 070-0602-0-1-403 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 99.9 Total new obligations, unexpired accounts | 1,737 | 1,802 | 1,870 |

COAST GUARD HOUSING FUND

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 070-5710-0-2-403 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 0100 Balance, start of year | 23 | 27 | 3 |
| Receipts: | | | |
| Current law: | | | |
| 1130 Sale of Real Property, Coast Guard Housing Fund | 4 | 2 | 4 |
| 2000 Total: Balances and receipts | 27 | 29 | 7 |
| Appropriations: | | | |
| Current law: | | | |
| 2101 Coast Guard Housing Fund | | -26 | -4 |
| 5099 Balance, end of year | 27 | 3 | 3 |

Program and Financing (in millions of dollars)

| Identification code 070-5710-0-2-403 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Coast Guard Housing Fund | | | 4 |
| 0900 Total new obligations, unexpired accounts (object class 25.4) | | | 4 |

Budgetary resources:

| | | | |
|--|--|-----|---|
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1101 Appropriation (special or trust) | | 26 | 4 |
| 1120 Appropriations transferred to other acct [070-0613] | | -26 | |
| 1160 Appropriation, discretionary (total) | | | 4 |
| 1900 Budget authority (total) | | | 4 |
| 1930 Total budgetary resources available | | | 4 |

Change in obligated balance:

| | | | |
|--|--|--|----|
| Unpaid obligations: | | | |
| 3010 New obligations, unexpired accounts | | | 4 |
| 3020 Outlays (gross) | | | -1 |
| 3050 Unpaid obligations, end of year | | | 3 |
| Memorandum (non-add) entries: | | | |
| 3200 Obligated balance, end of year | | | 3 |

Budget authority and outlays, net:

| | | | |
|---|--|--|---|
| Discretionary: | | | |
| 4000 Budget authority, gross | | | 4 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | | | 1 |
| 4180 Budget authority, net (total) | | | 4 |
| 4190 Outlays, net (total) | | | 1 |

The Housing Fund, established in 2011, receives deposits of proceeds from the conveyance of property under the administrative control of the Coast Guard. In accordance with 14 U.S.C. 2946, amounts in the fund may be appropriated for certain activities associated with military family housing and military unaccompanied housing.

ABANDONED SEAFARERS FUND

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 070-5677-0-2-403 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 0100 Balance, start of year | 3 | 5 | 10 |
| Receipts: | | | |
| Current law: | | | |
| 1110 Penalties, Abandoned Seafarers Fund | 2 | 5 | 5 |
| 2000 Total: Balances and receipts | 5 | 10 | 15 |

| | | | |
|---------------------------------|---|----|----|
| 5099 Balance, end of year | 5 | 10 | 15 |
|---------------------------------|---|----|----|

SUPPLY FUND

Program and Financing (in millions of dollars)

| Identification code 070-4535-0-4-403 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0801 Supply Fund (Reimbursable) | 65 | 149 | 125 |
| 0900 Total new obligations, unexpired accounts (object class 26.0) | 65 | 149 | 125 |

Budgetary resources:

| | | | |
|--|----|-----|-----|
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 23 | 24 | |
| Budget authority: | | | |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 66 | 125 | 125 |
| 1930 Total budgetary resources available | 89 | 149 | 125 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 24 | | |

Change in obligated balance:

| | | | |
|---|-----|------|------|
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 27 | 24 | 25 |
| 3010 New obligations, unexpired accounts | 65 | 149 | 125 |
| 3020 Outlays (gross) | -68 | -148 | -125 |
| 3050 Unpaid obligations, end of year | 24 | 25 | 25 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 27 | 24 | 25 |
| 3200 Obligated balance, end of year | 24 | 25 | 25 |

Budget authority and outlays, net:

| | | | |
|---|-----|------|------|
| Discretionary: | | | |
| 4000 Budget authority, gross | 66 | 125 | 125 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 55 | 125 | 125 |
| 4011 Outlays from discretionary balances | 13 | 23 | |
| 4020 Outlays, gross (total) | 68 | 148 | 125 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -66 | -125 | -125 |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | 2 | 23 | |

The Supply Fund, in accordance with 14 U.S.C. 941, finances the procurement of uniform clothing, commissary provisions, general stores, technical material, and fuel for vessels over 180 feet in length. The fund is normally financed by reimbursements from the sale of goods.

YARD FUND

Program and Financing (in millions of dollars)

| Identification code 070-4743-0-4-403 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0801 Shipyard activities | 118 | 215 | 170 |

Budgetary resources:

| | | | |
|--|-----|-----|-----|
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 61 | 45 | |
| Budget authority: | | | |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 104 | 170 | 170 |
| 1701 Change in uncollected payments, Federal sources | -2 | | |
| 1750 Spending auth from offsetting collections, disc (total) | 102 | 170 | 170 |
| 1930 Total budgetary resources available | 163 | 215 | 170 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 45 | | |

Change in obligated balance:

| | | | |
|---|-----|-----|-----|
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 26 | 33 | 47 |
| 3010 New obligations, unexpired accounts | 118 | 215 | 170 |

| | | | | |
|---|--|------|------|------|
| 3020 | Outlays (gross) | -111 | -201 | -170 |
| 3050 | Unpaid obligations, end of year | 33 | 47 | 47 |
| Uncollected payments: | | | | |
| 3060 | Uncollected pymts, Fed sources, brought forward, Oct 1 | -8 | -6 | -6 |
| 3070 | Change in uncollected pymts, Fed sources, unexpired | 2 | | |
| 3090 | Uncollected pymts, Fed sources, end of year | -6 | -6 | -6 |
| Memorandum (non-add) entries: | | | | |
| 3100 | Obligated balance, start of year | 18 | 27 | 41 |
| 3200 | Obligated balance, end of year | 27 | 41 | 41 |
| Budget authority and outlays, net: | | | | |
| Discretionary: | | | | |
| 4000 | Budget authority, gross | 102 | 170 | 170 |
| Outlays, gross: | | | | |
| 4010 | Outlays from new discretionary authority | 68 | 170 | 170 |
| 4011 | Outlays from discretionary balances | 43 | 31 | |
| 4020 | Outlays, gross (total) | 111 | 201 | 170 |
| Offsets against gross budget authority and outlays: | | | | |
| Offsetting collections (collected) from: | | | | |
| 4030 | Federal sources | -104 | -170 | -170 |
| Additional offsets against gross budget authority only: | | | | |
| 4050 | Change in uncollected pymts, Fed sources, unexpired | 2 | | |
| 4080 | Outlays, net (discretionary) | 7 | 31 | |
| 4180 | Budget authority, net (total) | | | |
| 4190 | Outlays, net (total) | 7 | 31 | |

The Yard Fund finances the industrial operation of the Coast Guard Yard, Curtis Bay, MD (14 U.S.C. 939). The Yard Fund finances all direct and indirect costs for its operations out of payments from Coast Guard and other agency appropriations that are placed in the fund.

Object Classification (in millions of dollars)

| | | | | |
|--------------------------------------|--|-----------|-----------|-----|
| Identification code 070-4743-0-4-403 | 2019 actual | 2020 est. | 2021 est. | |
| Reimbursable obligations: | | | | |
| Personnel compensation: | | | | |
| 11.1 | Full-time permanent | 37 | 43 | 43 |
| 11.5 | Other personnel compensation | 9 | 10 | 10 |
| 11.7 | Military personnel | 1 | 1 | 1 |
| 11.9 | Total personnel compensation | 47 | 54 | 54 |
| 12.1 | Civilian personnel benefits | 13 | 16 | 16 |
| 21.0 | Travel and transportation of persons | 1 | 2 | 1 |
| 23.3 | Communications, utilities, and miscellaneous charges | 5 | 13 | 9 |
| 25.2 | Other services from non-Federal sources | 1 | 3 | 2 |
| 25.4 | Operation and maintenance of facilities | 6 | 16 | 11 |
| 26.0 | Supplies and materials | 44 | 108 | 75 |
| 31.0 | Equipment | 1 | 3 | 2 |
| 99.9 | Total new obligations, unexpired accounts | 118 | 215 | 170 |

Employment Summary

| | | | | |
|--------------------------------------|---|-----------|-----------|-----|
| Identification code 070-4743-0-4-403 | 2019 actual | 2020 est. | 2021 est. | |
| 2001 | Reimbursable civilian full-time equivalent employment | 604 | 604 | 632 |
| 2101 | Reimbursable military average strength employment | 12 | 12 | 12 |

Trust Funds

AQUATIC RESOURCES TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

| | | | | |
|--------------------------------------|--|-----------|-----------|-------|
| Identification code 070-8147-0-7-403 | 2019 actual | 2020 est. | 2021 est. | |
| 0100 | Balance, start of year | 695 | 704 | 685 |
| Receipts: | | | | |
| Current law: | | | | |
| 1110 | Excise Taxes, Sport Fish Restoration, Aquatic Resources Trust Fund | 574 | 577 | 582 |
| 1110 | Customs Duties, Aquatic Resources Trust Fund | 63 | 62 | 63 |
| 1140 | Earnings on Investments, Aquatic Resources Trust Fund | 23 | 15 | 15 |
| 1199 | Total current law receipts | 660 | 654 | 660 |
| 1999 | Total receipts | 660 | 654 | 660 |
| 2000 | Total: Balances and receipts | 1,355 | 1,358 | 1,345 |

| | | | | |
|-----------------|---|------|------|------|
| Appropriations: | | | | |
| Current law: | | | | |
| 2101 | Sport Fish Restoration | -649 | -660 | -654 |
| 2103 | Sport Fish Restoration | -29 | -28 | -27 |
| 2103 | Boat Safety | -8 | -7 | -7 |
| 2103 | Coastal Wetlands Restoration Trust Fund | -5 | -5 | -5 |
| 2132 | Sport Fish Restoration | 28 | 27 | |
| 2132 | Boat Safety | 7 | | |
| 2132 | Coastal Wetlands Restoration Trust Fund | 5 | | |
| 2199 | Total current law appropriations | -651 | -673 | -693 |
| 2999 | Total appropriations | -651 | -673 | -693 |
| 5099 | Balance, end of year | 704 | 685 | 652 |

Program and Financing (in millions of dollars)

| | | | | |
|--------------------------------------|---|-----------|-----------|-------|
| Identification code 070-8147-0-7-403 | 2019 actual | 2020 est. | 2021 est. | |
| 4180 | Budget authority, net (total) | | | |
| 4190 | Outlays, net (total) | | | |
| Memorandum (non-add) entries: | | | | |
| 5000 | Total investments, SOY: Federal securities: Par value | 1,946 | 1,997 | 2,006 |
| 5001 | Total investments, EOY: Federal securities: Par value | 1,997 | 2,006 | 2,016 |

The Internal Revenue Code of 1986, as amended by the Transportation Equity Act for the 21st Century and the Safe, Accountable, Flexible, Efficient Transportation Equity Act—A Legacy for Users, provides for the transfer of Highway Trust Fund revenue derived from the motor boat fuel tax and certain other taxes to the Aquatic Resources Trust Fund. Appropriations are authorized from this fund to meet expenditures for programs specified by law, including sport fish restoration and boating safety. Excise tax receipts for the trust fund include motorboat fuel tax receipts, plus receipts from excise taxes on sport fishing equipment, sonar and fish finders, small engine fuels, and import duties on fishing equipment and recreational vessels.

BOAT SAFETY

Program and Financing (in millions of dollars)

| | | | | |
|---|---|-----------|-----------|------|
| Identification code 070-8149-0-7-403 | 2019 actual | 2020 est. | 2021 est. | |
| Obligations by program activity: | | | | |
| 0001 | State recreational boating safety programs | 107 | 128 | 110 |
| 0002 | Compliance and boating programs | 8 | 8 | 8 |
| 0900 | Total new obligations, unexpired accounts | 115 | 136 | 118 |
| Budgetary resources: | | | | |
| Unobligated balance: | | | | |
| 1000 | Unobligated balance brought forward, Oct 1 | 6 | 10 | |
| 1021 | Recoveries of prior year unpaid obligations | 1 | | |
| 1050 | Unobligated balance (total) | 7 | 10 | |
| Budget authority: | | | | |
| Appropriations, mandatory: | | | | |
| 1203 | Appropriation (previously unavailable)(special or trust) | 8 | 7 | 7 |
| 1221 | Appropriations transferred from other acct [014-8151] | 117 | 119 | 118 |
| 1232 | Appropriations and/or unobligated balance of appropriations temporarily reduced | -7 | | |
| 1260 | Appropriations, mandatory (total) | 118 | 126 | 125 |
| 1930 | Total budgetary resources available | 125 | 136 | 125 |
| Memorandum (non-add) entries: | | | | |
| 1941 | Unexpired unobligated balance, end of year | 10 | | 7 |
| Change in obligated balance: | | | | |
| Unpaid obligations: | | | | |
| 3000 | Unpaid obligations, brought forward, Oct 1 | 97 | 95 | 118 |
| 3010 | New obligations, unexpired accounts | 115 | 136 | 118 |
| 3020 | Outlays (gross) | -116 | -113 | -125 |
| 3040 | Recoveries of prior year unpaid obligations, unexpired | -1 | | |
| 3050 | Unpaid obligations, end of year | 95 | 118 | 111 |
| Memorandum (non-add) entries: | | | | |
| 3100 | Obligated balance, start of year | 97 | 95 | 118 |
| 3200 | Obligated balance, end of year | 95 | 118 | 111 |

BOAT SAFETY—Continued
Program and Financing—Continued

| Identification code 070-8149-0-7-403 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Budget authority and outlays, net: | | | |
| Mandatory: | | | |
| 4090 Budget authority, gross | 118 | 126 | 125 |
| Outlays, gross: | | | |
| 4100 Outlays from new mandatory authority | 56 | 57 | 52 |
| 4101 Outlays from mandatory balances | 60 | 56 | 73 |
| 4110 Outlays, gross (total) | 116 | 113 | 125 |
| 4180 Budget authority, net (total) | 118 | 126 | 125 |
| 4190 Outlays, net (total) | 116 | 113 | 125 |

The Boat Safety account provides grants for the development and implementation of a coordinated national recreational boating safety program. Boating safety statistics reflect the success in meeting the program's objectives. Pursuant to 16 U.S.C. 777c, as amended by the Safe, Accountable, Flexible, Efficient Transportation Equity Act—A Legacy for Users (P.L. 109-59), the Boat Safety program receives 18.5 percent of the funds collected in the Sport Fish Restoration and Boating Safety Trust Fund.

Object Classification (in millions of dollars)

| Identification code 070-8149-0-7-403 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| 11.1 Personnel compensation: Full-time permanent | 2 | 2 | 2 |
| 12.1 Civilian personnel benefits | 1 | 1 | 1 |
| 25.2 Other services from non-Federal sources | 2 | 2 | 2 |
| 26.0 Supplies and materials | 5 | 6 | 5 |
| 41.0 Grants, subsidies, and contributions | 105 | 125 | 108 |
| 99.9 Total new obligations, unexpired accounts | 115 | 136 | 118 |

Employment Summary

| Identification code 070-8149-0-7-403 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | 17 | 19 | 19 |

TRUST FUND SHARE OF EXPENSES

Program and Financing (in millions of dollars)

| Identification code 070-8314-0-7-304 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Operating expenses | 24 | 24 | 24 |
| 0002 Acquisition, construction and improvements | 20 | 20 | 20 |
| 0003 Research, development, test and evaluation | 1 | 1 | 1 |
| 0900 Total new obligations, unexpired accounts (object class 94.0) | 45 | 45 | 45 |
| Budgetary resources: | | | |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1101 Appropriation (special or trust) | 45 | 45 | 45 |
| 1930 Total budgetary resources available | 45 | 45 | 45 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3010 New obligations, unexpired accounts | 45 | 45 | 45 |
| 3020 Outlays (gross) | -45 | -45 | -45 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 45 | 45 | 45 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 45 | 45 | 45 |
| 4180 Budget authority, net (total) | 45 | 45 | 45 |
| 4190 Outlays, net (total) | 45 | 45 | 45 |

The Trust Fund Share of Expenses account provides resources from the Oil Spill Liability Trust Fund for activities authorized in other accounts

including: Operations and Support; Procurement, Construction, and Improvements; and Research and Development.

GENERAL GIFT FUND

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 070-8533-0-7-403 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| 0100 Balance, start of year | | | |
| Receipts: | | | |
| Current law: | | | |
| 1130 General Gift Fund | 2 | 3 | 3 |
| 2000 Total: Balances and receipts | 2 | 3 | 3 |
| Appropriations: | | | |
| Current law: | | | |
| 2101 General Gift Fund | -2 | -3 | -3 |
| 5099 Balance, end of year | | | |

Program and Financing (in millions of dollars)

| Identification code 070-8533-0-7-403 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Obligations by program activity | 2 | 6 | 3 |
| 0900 Total new obligations, unexpired accounts (object class 26.0) | 2 | 6 | 3 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 3 | 3 | |
| Budget authority: | | | |
| Appropriations, mandatory: | | | |
| 1201 Appropriation (special or trust fund) | 2 | 3 | 3 |
| 1930 Total budgetary resources available | 5 | 6 | 3 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 3 | | |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | | | 3 |
| 3010 New obligations, unexpired accounts | 2 | 6 | 3 |
| 3020 Outlays (gross) | -2 | -3 | -3 |
| 3050 Unpaid obligations, end of year | | 3 | 3 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | | | 3 |
| 3200 Obligated balance, end of year | | 3 | 3 |

| | | | |
|--|---|---|---|
| Budget authority and outlays, net: | | | |
| Mandatory: | | | |
| 4090 Budget authority, gross | 2 | 3 | 3 |
| Outlays, gross: | | | |
| 4100 Outlays from new mandatory authority | 2 | 3 | 3 |
| 4180 Budget authority, net (total) | 2 | 3 | 3 |
| 4190 Outlays, net (total) | 2 | 3 | 3 |
| Memorandum (non-add) entries: | | | |
| 5000 Total investments, SOY: Federal securities: Par value | 1 | 1 | 1 |
| 5001 Total investments, EOY: Federal securities: Par value | 1 | 1 | 1 |

The General Gift Fund, maintained from gifts, devises, or bequests, is used for purposes as specified by the donor in connection with or benefit to the Coast Guard training program, as well as all other programs and activities permitted by law (10 U.S.C. 2601).

OIL SPILL LIABILITY TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 070-8185-0-7-304 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| 0100 Balance, start of year | 6,308 | 6,570 | 7,155 |
| Receipts: | | | |
| Current law: | | | |
| 1110 Excise Taxes, Oil Spill Liability Trust Fund | 156 | 502 | 679 |
| 1110 Fines and Penalties, OSLTF | 77 | 89 | 89 |
| 1130 Recoveries, Oil Spill Liability Trust Fund | 54 | 58 | 56 |

| | | | | |
|-----------------|---|-------|-------|-------|
| 1140 | Earnings on Investments | 139 | 147 | 149 |
| 1199 | Total current law receipts | 426 | 796 | 973 |
| 1999 | Total receipts | 426 | 796 | 973 |
| 2000 | Total: Balances and receipts | 6,734 | 7,366 | 8,128 |
| Appropriations: | | | | |
| Current law: | | | | |
| 2101 | Oil Spill Research | -15 | -15 | -13 |
| 2101 | Inland Oil Spill Programs | -18 | -19 | -17 |
| 2101 | Trust Fund Share of Pipeline Safety | -23 | -23 | -22 |
| 2101 | Trust Fund Share of Expenses | -45 | -45 | -45 |
| 2101 | Maritime Oil Spill Programs | -58 | -101 | -101 |
| 2101 | Denali Commission Trust Fund | -3 | -2 | -2 |
| 2103 | Maritime Oil Spill Programs | -7 | -6 | -6 |
| 2132 | Maritime Oil Spill Programs | 6 | | |
| 2199 | Total current law appropriations | -163 | -211 | -206 |
| Proposed: | | | | |
| 2201 | Denali Commission Trust Fund | | | 2 |
| 2999 | Total appropriations | -163 | -211 | -204 |
| 5098 | Adjustment to reconcile to budgetary accounting | -1 | | |
| 5099 | Balance, end of year | 6,570 | 7,155 | 7,924 |

Program and Financing (in millions of dollars)

| | | | | |
|--------------------------------------|---|-------------|-----------|-----------|
| Identification code 070-8185-0-7-304 | | 2019 actual | 2020 est. | 2021 est. |
| 4180 | Budget authority, net (total) | | | |
| 4190 | Outlays, net (total) | | | |
| Memorandum (non-add) entries: | | | | |
| 5000 | Total investments, SOY: Federal securities: Par value | 6,557 | 6,855 | 6,912 |
| 5001 | Total investments, EOY: Federal securities: Par value | 6,855 | 6,912 | 7,004 |

The Oil Spill Liability Trust Fund (OSLTF) is used to finance oil pollution prevention and cleanup activities by various Federal agencies. In accordance with the provisions of the Oil Pollution Act of 1990, the Fund may finance annually up to \$50 million of emergency resources and all valid claims from injured parties resulting from oil spills. For Coast Guard, this funds the Trust Fund Share of Expenses and Maritime Oil Spill Programs accounts. The OSLTF is funded by an excise tax on each barrel of oil produced domestically or imported.

Status of Funds (in millions of dollars)

| | | | | |
|--------------------------------------|---|-------------|-----------|-----------|
| Identification code 070-8185-0-7-304 | | 2019 actual | 2020 est. | 2021 est. |
| Unexpended balance, start of year: | | | | |
| 0100 | Balance, start of year | 6,578 | 6,820 | 7,397 |
| 0999 | Total balance, start of year | 6,578 | 6,820 | 7,397 |
| Cash income during the year: | | | | |
| Current law: | | | | |
| Receipts: | | | | |
| 1110 | Excise Taxes, Oil Spill Liability Trust Fund | 156 | 502 | 679 |
| 1110 | Fines and Penalties, OSLTF | 77 | 89 | 89 |
| 1130 | Inland Oil Spill Programs | | | 10 |
| 1130 | Recoveries, Oil Spill Liability Trust Fund | 54 | 58 | 56 |
| 1150 | Earnings on Investments | 139 | 147 | 149 |
| 1160 | Inland Oil Spill Programs | 12 | 11 | 11 |
| 1199 | Income under present law | 438 | 807 | 994 |
| 1999 | Total cash income | 438 | 807 | 994 |
| Cash outgo during year: | | | | |
| Current law: | | | | |
| 2100 | Oil Spill Research [Budget Acct] | -10 | -14 | -15 |
| 2100 | Inland Oil Spill Programs [Budget Acct] | -26 | -29 | -39 |
| 2100 | Trust Fund Share of Pipeline Safety [Budget Acct] | -32 | -20 | -23 |
| 2100 | Trust Fund Share of Expenses [Budget Acct] | -45 | -45 | -45 |
| 2100 | Maritime Oil Spill Programs [Budget Acct] | -78 | -117 | -107 |
| 2100 | Denali Commission Trust Fund [Budget Acct] | -5 | -5 | -6 |
| 2199 | Outgo under current law | -196 | -230 | -235 |
| Proposed: | | | | |
| 2200 | Denali Commission Trust Fund | | | 1 |
| 2299 | Outgo under proposed legislation | | | 1 |
| 2999 | Total cash outgo (-) | -196 | -230 | -234 |
| Surplus or deficit: | | | | |
| 3110 | Excluding interest | 103 | 430 | 611 |
| 3120 | Interest | 139 | 147 | 149 |

| | | | | |
|----------------------------------|---|-------|-------|-------|
| 3199 | Subtotal, surplus or deficit | 242 | 577 | 760 |
| 3999 | Total change in fund balance | 242 | 577 | 760 |
| Unexpended balance, end of year: | | | | |
| 4100 | Uninvested balance (net), end of year | -35 | 485 | 1,153 |
| 4200 | Oil Spill Liability Trust Fund | 6,855 | 6,912 | 7,004 |
| 4999 | Total balance, end of year | 6,820 | 7,397 | 8,157 |

MARITIME OIL SPILL PROGRAMS

Program and Financing (in millions of dollars)

| | | | | |
|---|---|-------------|-----------|-----------|
| Identification code 070-8349-0-7-304 | | 2019 actual | 2020 est. | 2021 est. |
| Obligations by program activity: | | | | |
| 0001 | Emergency fund | 88 | 201 | 56 |
| 0002 | Payment of claims | 6 | 50 | 50 |
| 0003 | Prince William Sound Oil Spill Recovery Institute | 1 | 1 | 1 |
| 0900 | Total new obligations, unexpired accounts (object class 25.2) | 95 | 252 | 107 |

Budgetary resources:

| | | | | |
|-------------------------------|---|-----|-----|-----|
| Unobligated balance: | | | | |
| 1000 | Unobligated balance brought forward, Oct 1 | 178 | 145 | |
| 1021 | Recoveries of prior year unpaid obligations | 3 | | |
| 1050 | Unobligated balance (total) | 181 | 145 | |
| Budget authority: | | | | |
| Appropriations, mandatory: | | | | |
| 1201 | Appropriation (special or trust fund) | 58 | 101 | 101 |
| 1203 | Appropriation (previously unavailable)(special or trust) | 7 | 6 | 6 |
| 1232 | Appropriations and/or unobligated balance of appropriations temporarily reduced | -6 | | |
| 1260 | Appropriations, mandatory (total) | 59 | 107 | 107 |
| 1900 | Budget authority (total) | 59 | 107 | 107 |
| 1930 | Total budgetary resources available | 240 | 252 | 107 |
| Memorandum (non-add) entries: | | | | |
| 1941 | Unexpired unobligated balance, end of year | 145 | | |

Change in obligated balance:

| | | | | |
|-------------------------------|--|-----|------|------|
| Unpaid obligations: | | | | |
| 3000 | Unpaid obligations, brought forward, Oct 1 | 42 | 56 | 191 |
| 3010 | New obligations, unexpired accounts | 95 | 252 | 107 |
| 3020 | Outlays (gross) | -78 | -117 | -107 |
| 3040 | Recoveries of prior year unpaid obligations, unexpired | -3 | | |
| 3050 | Unpaid obligations, end of year | 56 | 191 | 191 |
| Memorandum (non-add) entries: | | | | |
| 3100 | Obligated balance, start of year | 42 | 56 | 191 |
| 3200 | Obligated balance, end of year | 56 | 191 | 191 |

Budget authority and outlays, net:

| | | | | |
|-----------------|--|----|-----|-----|
| Mandatory: | | | | |
| 4090 | Budget authority, gross | 59 | 107 | 107 |
| Outlays, gross: | | | | |
| 4100 | Outlays from new mandatory authority | 49 | 69 | 69 |
| 4101 | Outlays from mandatory balances | 29 | 48 | 38 |
| 4110 | Outlays, gross (total) | 78 | 117 | 107 |
| 4180 | Budget authority, net (total) | 59 | 107 | 107 |
| 4190 | Outlays, net (total) | 78 | 117 | 107 |

The Maritime Oil Spill Programs account provides resources from the Oil Spill Liability Trust Fund for costs associated with the cleanup of oil spills. These include emergency costs associated with oil spill cleanup, funding provided to the Prince William Sound Oil Spill Recovery Institute, and the payment of claims to those who suffer harm from oil spills where the responsible party is not identifiable or is without resources. The claims activity in this account will continue to be funded under separate permanent appropriations and are being displayed in a consolidated format to enhance presentation.

UNITED STATES SECRET SERVICE

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the United States Secret Service for operations and support, including purchase of not to exceed 652 vehicles for police-type use for replacement only; hire of passenger motor vehicles; purchase of motorcycles made in the United States; hire of aircraft; rental of buildings in the District of Columbia; fencing, lighting, guard booths, and other facilities on private or other property not in Government ownership or control, as may be necessary to perform protective functions; conduct of and participation in firearms matches; presentation of awards; conduct of behavioral research in support of protective intelligence and operations; payment in advance for commercial accommodations as may be necessary to perform protective functions; and payment, without regard to section 5702 of title 5, United States Code, of subsistence expenses of employees who are on protective missions, whether at or away from their duty stations; \$2,336,401,000; of which \$39,763,000 shall remain available until September 30, 2021, and of which \$6,000,000 shall be for a grant for activities related to investigations of missing and exploited children; and of which up to \$15,000,000 may be for calendar year 2019 premium pay in excess of the annual equivalent of the limitation on the rate of pay contained in section 5547(a) of title 5, United States Code, pursuant to section 2 of the Overtime Pay for Protective Services Act of 2016 (5 U.S.C. 5547 note), as amended by Public Law 115-383: *Provided*, That not to exceed \$19,125 shall be for official reception and representation expenses: *Provided further*, That not to exceed \$100,000 shall be to provide technical assistance and equipment to foreign law enforcement organizations in criminal investigations within the jurisdiction of the United States Secret Service. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0400-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0013 CAS - Mission Support | 483 | 500 | |
| 0014 CAS - Protective Operations | 867 | 1,022 | |
| 0015 CAS - Field Operations | 687 | 704 | |
| 0016 CAS - Basic and In-Service Training and Professional Development | 99 | 110 | |
| 0799 Total direct obligations | 2,136 | 2,336 | |
| 0801 Operating Expenses (Reimbursable) | 17 | 27 | |
| 0900 Total new obligations, unexpired accounts | 2,153 | 2,363 | |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 50 | 61 | 51 |
| 1010 Unobligated balance transfer to other accts [070-0401] | -2 | | |
| 1012 Unobligated balance transfers between expired and unexpired accounts | 8 | | |
| 1021 Recoveries of prior year unpaid obligations | 4 | | |
| 1050 Unobligated balance (total) | 60 | 61 | 51 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 2,148 | 2,336 | |
| 1131 Unobligated balance of appropriations permanently reduced | -5 | -1 | |
| 1160 Appropriation, discretionary (total) | 2,143 | 2,335 | |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 7 | 18 | |
| 1701 Change in uncollected payments, Federal sources | 20 | | |
| 1750 Spending auth from offsetting collections, disc (total) | 27 | 18 | |
| 1900 Budget authority (total) | 2,170 | 2,353 | |
| 1930 Total budgetary resources available | 2,230 | 2,414 | 51 |
| Memorandum (non-add) entries: | | | |
| 1940 Unobligated balance expiring | -16 | | |
| 1941 Unexpired unobligated balance, end of year | 61 | 51 | 51 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 495 | 640 | 595 |
| 3010 New obligations, unexpired accounts | 2,153 | 2,363 | |
| 3011 Obligations ("upward adjustments"), expired accounts | 40 | | |
| 3020 Outlays (gross) | -2,005 | -2,408 | -594 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -4 | | |
| 3041 Recoveries of prior year unpaid obligations, expired | -39 | | |
| 3050 Unpaid obligations, end of year | 640 | 595 | 1 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -43 | -53 | -53 |

| | | | |
|---|-------|-------|-------|
| 3070 Change in uncollected pymts, Fed sources, unexpired | -20 | | |
| 3071 Change in uncollected pymts, Fed sources, expired | 10 | | |
| 3090 Uncollected pymts, Fed sources, end of year | -53 | -53 | -53 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 452 | 587 | 542 |
| 3200 Obligated balance, end of year | 587 | 542 | -52 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 2,170 | 2,353 | |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 1,685 | 1,845 | |
| 4011 Outlays from discretionary balances | 316 | 552 | 594 |
| 4020 Outlays, gross (total) | 2,001 | 2,397 | 594 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -14 | -18 | |
| 4033 Non-Federal sources | -17 | | |
| 4040 Offsets against gross budget authority and outlays (total) | -31 | -18 | |
| Additional offsets against gross budget authority only: | | | |
| 4050 Change in uncollected pymts, Fed sources, unexpired | -20 | | |
| 4052 Offsetting collections credited to expired accounts | 24 | | |
| 4060 Additional offsets against budget authority only (total) | 4 | | |
| 4070 Budget authority, net (discretionary) | 2,143 | 2,335 | |
| 4080 Outlays, net (discretionary) | 1,970 | 2,379 | 594 |
| Mandatory: | | | |
| Outlays, gross: | | | |
| 4101 Outlays from mandatory balances | 4 | 11 | |
| 4180 Budget authority, net (total) | 2,143 | 2,335 | |
| 4190 Outlays, net (total) | 1,974 | 2,390 | 594 |

Summary of Budget Authority and Outlays (in millions of dollars)

| | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Enacted/requested: | | | |
| Budget Authority | 2,143 | 2,335 | |
| Outlays | 1,974 | 2,390 | 594 |
| Legislative proposal, not subject to PAYGO: | | | |
| Outlays | | | -594 |
| Total: | | | |
| Budget Authority | 2,143 | 2,335 | |
| Outlays | 1,974 | 2,390 | |

The President's Budget proposes to transfer this account to the Department of the Treasury. Please consult the United States Secret Service - Operations and Support account of the Department of the Treasury chapter for more information on this account.

Object Classification (in millions of dollars)

| Identification code 070-0400-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 711 | 772 | |
| 11.3 Other than full-time permanent | 10 | 13 | |
| 11.5 Other personnel compensation | 279 | 242 | |
| 11.9 Total personnel compensation | 1,000 | 1,027 | |
| 12.1 Civilian personnel benefits | 429 | 469 | |
| 21.0 Travel and transportation of persons | 142 | 206 | |
| 22.0 Transportation of things | 8 | 13 | |
| 23.1 Rental payments to GSA | 103 | 105 | |
| 23.2 Rental payments to others | 6 | 5 | |
| 23.3 Communications, utilities, and miscellaneous charges | 34 | 50 | |
| 25.2 Other services from non-Federal sources | 161 | 259 | |
| 25.3 Other goods and services from Federal sources | 68 | 13 | |
| 25.7 Operation and maintenance of equipment | 32 | 2 | |
| 26.0 Supplies and materials | 35 | 60 | |
| 31.0 Equipment | 90 | 118 | |
| 32.0 Land and structures | 21 | 2 | |
| 41.0 Grants, subsidies, and contributions | 6 | 6 | |
| 42.0 Insurance claims and indemnities | 1 | 1 | |
| 99.0 Direct obligations | 2,136 | 2,336 | |
| 99.0 Reimbursable obligations | 17 | 27 | |
| 99.9 Total new obligations, unexpired accounts | 2,153 | 2,363 | |

Employment Summary

| Identification code 070-0400-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | 7,669 | 7,777 | |

OPERATIONS AND SUPPORT
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 070-0400-2-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--------------------------------------|-------------|-----------|-----------|
|--------------------------------------|-------------|-----------|-----------|

Budgetary resources:
Unobligated balance:

| | | | |
|---|--|--|-----|
| 1010 Unobligated balance transfer to other accts [020-0400] | | | -51 |
| 1930 Total budgetary resources available | | | -51 |

Memorandum (non-add) entries:

| | | | |
|---|--|--|-----|
| 1941 Unexpired unobligated balance, end of year | | | -51 |
|---|--|--|-----|

Change in obligated balance:
Unpaid obligations:

| | | | |
|---|--|--|------|
| 3020 Outlays (gross) | | | 594 |
| 3030 Unpaid obligations transferred to other accts [020-0400] | | | -595 |
| 3050 Unpaid obligations, end of year | | | -1 |

Uncollected payments:

| | | | |
|---|--|--|----|
| 3080 Uncollected pymts from Fed sources transferred to other accounts | | | 53 |
| 3090 Uncollected pymts, Fed sources, end of year | | | 53 |

Memorandum (non-add) entries:

| | | | |
|---|--|--|----|
| 3200 Obligated balance, end of year | | | 52 |
|---|--|--|----|

Budget authority and outlays, net:
Discretionary:

| | | | |
|--|--|--|-------|
| 4011 Outlays from discretionary balances | | | -594 |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | | | -594 |

CONTRIBUTION FOR ANNUITY BENEFITS, UNITED STATES SECRET SERVICE

Program and Financing (in millions of dollars)

| Identification code 070-0405-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--------------------------------------|-------------|-----------|-----------|
|--------------------------------------|-------------|-----------|-----------|

Obligations by program activity:

| | | | |
|---------------------------------|-----|-----|-----|
| 0304 Mandatory-DC Annuity | 260 | 265 | 265 |
|---------------------------------|-----|-----|-----|

Budgetary resources:
Unobligated balance:

| | | | |
|---|----|--|-------|
| 1000 Unobligated balance brought forward, Oct 1 | 17 | | |
|---|----|--|-------|

Budget authority:

| | | | |
|--|-----|-----|-----|
| 1200 Appropriations, mandatory: | | | |
| Appropriation | 243 | 265 | 265 |
| 1930 Total budgetary resources available | 260 | 265 | 265 |

Change in obligated balance:
Unpaid obligations:

| | | | |
|---|------|------|------|
| 3000 Unpaid obligations, brought forward, Oct 1 | 22 | 44 | 44 |
| 3010 New obligations, unexpired accounts | 260 | 265 | 265 |
| 3020 Outlays (gross) | -238 | -265 | -287 |
| 3050 Unpaid obligations, end of year | 44 | 44 | 22 |

Memorandum (non-add) entries:

| | | | |
|---|----|----|----|
| 3100 Obligated balance, start of year | 22 | 44 | 44 |
| 3200 Obligated balance, end of year | 44 | 44 | 22 |

Budget authority and outlays, net:
Mandatory:

| | | | |
|--|-----|-----|-----|
| 4090 Budget authority, gross | 243 | 265 | 265 |
| 4100 Outlays, gross: | | | |
| Outlays from new mandatory authority | 216 | 243 | 243 |
| 4101 Outlays from mandatory balances | 22 | 22 | 44 |
| 4110 Outlays, gross (total) | 238 | 265 | 287 |
| 4180 Budget authority, net (total) | 243 | 265 | 265 |
| 4190 Outlays, net (total) | 238 | 265 | 287 |

Summary of Budget Authority and Outlays (in millions of dollars)

| | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Enacted/requested: | | | |
| Budget Authority | 243 | 265 | 265 |
| Outlays | 238 | 265 | 287 |
| Legislative proposal, not subject to PAYGO: | | | |
| Budget Authority | | | -265 |
| Outlays | | | -287 |
| Total: | | | |
| Budget Authority | 243 | 265 | |
| Outlays | 238 | 265 | |

The President's Budget proposes to transfer this account to the Department of the Treasury. Please consult the United States Secret Service - Contribution for Annuity Benefits account of the Department of the Treasury chapter for more information on this account.

Object Classification (in millions of dollars)

| Identification code 070-0405-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| 11.8 Personnel compensation: Special personal services | | | |
| payments | 216 | 220 | 220 |
| 12.1 Civilian personnel benefits | 44 | 45 | 45 |
| 99.9 Total new obligations, unexpired accounts | 260 | 265 | 265 |

CONTRIBUTION FOR ANNUITY BENEFITS, UNITED STATES SECRET SERVICE

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 070-0405-2-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--------------------------------------|-------------|-----------|-----------|
|--------------------------------------|-------------|-----------|-----------|

Obligations by program activity:

| | | | |
|---------------------------------|--|--|------|
| 0304 Mandatory-DC Annuity | | | -265 |
|---------------------------------|--|--|------|

Budgetary resources:
Budget authority:

| | | | |
|--|--|--|------|
| 1200 Appropriations, mandatory: | | | |
| Appropriation | | | -265 |
| 1930 Total budgetary resources available | | | -265 |

Change in obligated balance:
Unpaid obligations:

| | | | |
|---|--|--|------|
| 3010 New obligations, unexpired accounts | | | -265 |
| 3020 Outlays (gross) | | | 287 |
| 3030 Unpaid obligations transferred to other accts [020-0405] | | | -44 |
| 3050 Unpaid obligations, end of year | | | -22 |

Memorandum (non-add) entries:

| | | | |
|---|--|--|-----|
| 3200 Obligated balance, end of year | | | -22 |
|---|--|--|-----|

Budget authority and outlays, net:
Mandatory:

| | | | |
|--|--|--|------|
| 4090 Budget authority, gross | | | -265 |
| 4100 Outlays, gross: | | | |
| Outlays from new mandatory authority | | | -243 |
| 4101 Outlays from mandatory balances | | | -44 |
| 4110 Outlays, gross (total) | | | -287 |
| 4180 Budget authority, net (total) | | | -265 |
| 4190 Outlays, net (total) | | | -287 |

Object Classification (in millions of dollars)

| Identification code 070-0405-2-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| 11.8 Personnel compensation: Special personal services | | | |
| payments | | | -220 |
| 12.1 Civilian personnel benefits | | | -45 |
| 99.9 Total new obligations, unexpired accounts | | | -265 |

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of the United States Secret Service for procurement, construction, and improvements, \$66,989,000, to remain available until September 30, 2022. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0401-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0006 CAS - Protection Infrastructure | 90 | 66 | |
| 0007 CAS - Operational Communications/Information Technology | 17 | | |
| 0008 CAS - Construction and Facility Improvements | 3 | 1 | |
| 0900 Total new obligations, unexpired accounts | 110 | 67 | |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 26 | 14 | 14 |
| 1011 Unobligated balance transfer from other acct [070-0400] | 2 | | |
| 1050 Unobligated balance (total) | 28 | 14 | 14 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 97 | 67 | |
| 1930 Total budgetary resources available | 125 | 81 | 14 |
| Memorandum (non-add) entries: | | | |
| 1940 Unobligated balance expiring | -1 | | |
| 1941 Unexpired unobligated balance, end of year | 14 | 14 | 14 |

| | | | |
|---|------|-------|-------|
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 213 | 204 | 75 |
| 3010 New obligations, unexpired accounts | 110 | 67 | |
| 3011 Obligations ("upward adjustments"), expired accounts | 2 | | |
| 3020 Outlays (gross) | -119 | -196 | -65 |
| 3041 Recoveries of prior year unpaid obligations, expired | -2 | | |
| 3050 Unpaid obligations, end of year | 204 | 75 | 10 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 213 | 204 | 75 |
| 3200 Obligated balance, end of year | 204 | 75 | 10 |

| | | | |
|---|-----|-----|-------|
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 97 | 67 | |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 27 | 57 | |
| 4011 Outlays from discretionary balances | 92 | 139 | 65 |
| 4020 Outlays, gross (total) | 119 | 196 | 65 |
| 4180 Budget authority, net (total) | 97 | 67 | |
| 4190 Outlays, net (total) | 119 | 196 | 65 |

Summary of Budget Authority and Outlays (in millions of dollars)

| | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Enacted/requested: | | | |
| Budget Authority | 97 | 67 | |
| Outlays | 119 | 196 | 65 |
| Legislative proposal, not subject to PAYGO: | | | |
| Outlays | | | -65 |
| Total: | | | |
| Budget Authority | 97 | 67 | |
| Outlays | 119 | 196 | |

The President's Budget proposes to transfer this account to the Department of the Treasury. Please consult the United States Secret Service - Procurement, Construction, and Improvements account of the Department of the Treasury chapter for more information on this account.

Object Classification (in millions of dollars)

| Identification code 070-0401-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| 25.2 Other services from non-Federal sources | 66 | 32 | |
| 31.0 Equipment | 44 | 35 | |
| 99.9 Total new obligations, unexpired accounts | 110 | 67 | |

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 070-0401-2-1-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1010 Unobligated balance transfer to other accts [020-0402] | | | -14 |
| 1930 Total budgetary resources available | | | -14 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | | | -14 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3020 Outlays (gross) | | | 65 |
| 3030 Unpaid obligations transferred to other accts [020-0402] | | | -75 |
| 3050 Unpaid obligations, end of year | | | -10 |
| Memorandum (non-add) entries: | | | |
| 3200 Obligated balance, end of year | | | -10 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| Outlays, gross: | | | |
| 4011 Outlays from discretionary balances | | | -65 |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | | | -65 |

RESEARCH AND DEVELOPMENT

For necessary expenses of the United States Secret Service for research and development, \$12,455,000, to remain available until September 30, 2021. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0804-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Protection | 2 | 11 | |
| 0900 Total new obligations, unexpired accounts (object class 25.2) | 2 | 11 | |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | | 1 | 2 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 3 | 12 | |
| 1930 Total budgetary resources available | 3 | 13 | 2 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 1 | 2 | 2 |

| | | | |
|---|----|-----|-------|
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 2 | 3 | 2 |
| 3010 New obligations, unexpired accounts | 2 | 11 | |
| 3020 Outlays (gross) | -1 | -12 | -2 |
| 3050 Unpaid obligations, end of year | 3 | 2 | |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 2 | 3 | 2 |
| 3200 Obligated balance, end of year | 3 | 2 | |

| | | | |
|---|-------|----|-------|
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 3 | 12 | |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | | 10 | |
| 4011 Outlays from discretionary balances | 1 | 2 | 2 |
| 4020 Outlays, gross (total) | 1 | 12 | 2 |
| 4180 Budget authority, net (total) | 3 | 12 | |
| 4190 Outlays, net (total) | 1 | 12 | 2 |

Summary of Budget Authority and Outlays (in millions of dollars)

| | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Enacted/requested: | | | |
| Budget Authority | 3 | 12 | |
| Outlays | 1 | 12 | 2 |
| Legislative proposal, not subject to PAYGO: | | | |
| Outlays | | | -2 |
| Total: | | | |
| Budget Authority | 3 | 12 | |
| Outlays | 1 | 12 | |

The President's Budget proposes to transfer this account to the Department of the Treasury. Please consult the United States Secret Service - Research and Development account of the Department of the Treasury chapter for more information on this account.

RESEARCH AND DEVELOPMENT

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 070-0804-2-1-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1010 Unobligated balance transfer to other accts [020-0804] | | | -2 |
| 1930 Total budgetary resources available | | | -2 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | | | -2 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3020 Outlays (gross) | | | 2 |
| 3030 Unpaid obligations transferred to other accts [020-0804] | | | -2 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| Outlays, gross: | | | |
| 4011 Outlays from discretionary balances | | | -2 |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | | | -2 |

ADMINISTRATIVE PROVISIONS

SEC. 201. Section 201 of the Department of Homeland Security Appropriations Act, 2018 (division F of Public Law 115-141), related to overtime compensation limitations, shall apply with respect to funds made available in this Act in the same manner as such section applied to funds made available in that Act, except that "fiscal year [2020] 2021" shall be substituted for "fiscal year 2018" and paragraph (b)(2) shall not apply.

SEC. 202. Funding made available under the headings "U.S. Customs and Border Protection-Operations and Support" and "U.S. Customs and Border Protection-Procurement, Construction, and Improvements" shall be available for customs expenses when necessary to maintain operations and prevent adverse personnel actions in Puerto Rico and the U.S. Virgin Islands, in addition to funding provided by sections 740 and 1406i of title 48, United States Code.

SEC. 203. As authorized by section 601(b) of the United States-Colombia Trade Promotion Agreement Implementation Act (Public Law 112-42), and section 32201 of the Fixing America's Surface Transportation (FAST) Act (Public Law 114-94), fees collected from passengers arriving from Canada, Mexico, or an adjacent island pursuant to section 13031(a)(5) of the Consolidated Omnibus Budget Reconciliation Act of 1985 (19 U.S.C. 58c(a)(5)) shall be available until expended.

SEC. 204. For an additional amount for "U.S. Customs and Border Protection-Operations and Support", \$31,000,000, to remain available until expended, to be reduced by amounts collected and credited to this appropriation in fiscal year [2020] 2021 from amounts authorized to be collected by section 286(i) of the Immigration and Nationality Act (8 U.S.C. 1356(i)), section 10412 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8311), and section 817 of the Trade Facilitation and Trade Enforcement Act of 2015 (Public Law 114-25), or other such authorizing language: *Provided*, That to the extent that amounts realized from such collections exceed \$31,000,000, those amounts in excess of \$31,000,000 shall be credited to this appropriation, to remain available until expended.

SEC. 205. None of the funds made available in this Act for U.S. Customs and Border Protection may be used to prevent an individual not in the business of importing a prescription drug (within the meaning of section 801(g) of the Federal Food, Drug, and Cosmetic Act) from importing a prescription drug from Canada that complies with the Federal Food, Drug, and Cosmetic Act: *Provided*, That this section shall apply only to individuals transporting on their person a personal-use quantity of the prescription drug, not to exceed a 90-day supply: *Provided further*, That the prescription drug may not be—

- (1) a controlled substance, as defined in section 102 of the Controlled Substances Act (21 U.S.C. 802); or
- (2) a biological product, as defined in section 351 of the Public Health Service Act (42 U.S.C. 262).

SEC. 206. Notwithstanding any other provision of law, none of the funds provided in this or any other Act shall be used to approve a waiver of the navigation and vessel-inspection laws pursuant to section 501(b) of title 46, United States Code, for the transportation of crude oil distributed from and to the Strategic Petroleum Reserve until the Secretary of Homeland Security, after consultation with the Secretaries of the Departments of Energy and Transportation and representatives from the United States flag maritime industry, takes adequate measures to ensure the use of United States flag vessels: *Provided*, That the Secretary shall notify the Committees on Appropriations of the Senate and the House of Representatives, the Committee on Commerce, Science, and Transportation of the Senate, and the Committee on Transportation and Infrastructure of the House of Representatives within 2 business days of any request for waivers of navigation and vessel-inspection laws pursuant to section 501(b) of title 46, United States Code, with respect to such transportation, and the disposition of such requests.

SEC. 207. (a) Beginning on the date of enactment of this Act, the Secretary of Homeland Security shall not—

- (1) establish, collect, or otherwise impose any new border crossing fee on individuals crossing the Southern border or the Northern border at a land port of entry; or
- (2) conduct any study relating to the imposition of a border crossing fee.

(b) In this section, the term "border crossing fee" means a fee that every pedestrian, cyclist, and driver and passenger of a private motor vehicle is required to pay for the privilege of crossing the Southern border or the Northern border at a land port of entry.]

SEC. 208. Not later than 90 days after the date of enactment of this Act, the Secretary of Homeland Security shall submit an expenditure plan for any amounts made available for "U.S. Customs and Border Protection-Procurement, Construction, and Improvements" in this Act and prior Acts to the Committees on Appropriations of the Senate and the House of Representatives: *Provided*, That no such amounts may be obligated prior to the submission of such plan.]

SEC. 209. (a) Of the total amount made available under "U.S. Customs and Border Protection-Procurement, Construction, and Improvements", \$1,904,468,000 shall be available only as follows:

- (1) \$1,375,000,000 for the construction of barrier system along the southwest border;
- (2) \$221,912,000 for the acquisition and deployment of border security technologies and trade and travel assets and infrastructure;
- (3) \$62,364,000 for facility construction and improvements;
- (4) \$199,519,000 for integrated operations assets and infrastructure; and
- (5) \$45,673,000 for mission support and infrastructure.

(b) The amount designated in subsection (a)(1) shall only be available for barrier systems that—

- (1) use—
 - (A) operationally effective designs deployed as of the date of enactment of the Consolidated Appropriations Act, 2017 (Public Law 115-31), such as currently deployed steel bollard designs, that prioritize agent safety; or
 - (B) operationally effective adaptations of such designs that help mitigate community or environmental impacts of barrier system construction, including adaptations based on consultation with jurisdictions within which barrier system will be constructed; and
- (2) are constructed in the highest priority locations as identified in the Border Security Improvement Plan.

(c) The Chief of the U.S. Border Patrol shall—

- (1) provide a plan to the Committees on Appropriations of the Senate and the House of Representatives for the use of the amounts provided in subsection (a)(1) within 30 days of the date of enactment of this Act; and
- (2) notify such Committees of any amendment to the highest priority locations identified for the use of the amount provided in subsection (a)(1) within 5 days of such amendment.

(d) Consultation with a jurisdiction under subsection (b)(2) shall not exceed 90 calendar days after such jurisdiction has been notified that U.S. Customs and Border Protection is entering into such consultation, unless an extension of time is agreed to by such agency and such jurisdiction.

(e) Not later than 180 days after the date of enactment of this Act, the Secretary of Homeland Security shall submit to the Committee on Appropriations of the Senate, the Committee on Appropriations of the House of Representatives, and the Comptroller General of the United States an updated risk-based plan for improving security along the borders of the United States that includes the elements required under subsection (a) of section 231 of division F of the Consolidated Appropriations Act, 2018 (Public Law 115–141), which shall be evaluated in accordance with subsection (b) of such section.】

【SEC. 210. Federal funds may not be made available for the construction of fencing—

- (1) within the Santa Ana Wildlife Refuge;
- (2) within the Bentsen-Rio Grande Valley State Park;
- (3) within La Lomita Historical park;
- (4) within the National Butterfly Center;
- (5) within or east of the Vista del Mar Ranch tract of the Lower Rio Grande Valley National Wildlife Refuge; or
- (6) within historic cemeteries.】

【SEC. 211. Funds made available in this Act may be used to alter operations within the National Targeting Center of U.S. Customs and Border Protection: *Provided*, That none of the funds provided by this Act, provided by previous appropriations Acts that remain available for obligation or expenditure in fiscal year 2020, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the components funded by this Act, may be used to reduce anticipated or planned vetting operations at existing locations unless specifically authorized by a statute enacted after the date of enactment of this Act.】

【SEC. 212. (a) Of the amounts made available by this Act for "U.S. Customs and Border Protection-Operations and Support"—

- (1) \$173,000,000 is for humanitarian care;
- (2) \$30,000,000 is to address health, life, and safety issues at existing Border Patrol facilities, including construction, and for improved video recording capabilities; *Provided*, That such amounts are designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985.

(b) Of the amounts made available by this Act for "U.S. Customs and Border Protection-Procurement, Construction, and Improvements", \$30,000,000 is for the development of an agency-wide electronic health records system; *Provided*, That such amounts are designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985.

(c) The amounts in subsection (b) may not be obligated until the Department of Homeland Security Chief Medical Officer provides written certification of compliance with the requirements described in the explanatory statement accompanying this Act concerning electronic health records to the Committees on Appropriations of the Senate and the House of Representatives.】

SEC. 【213】207. Without regard to the limitation as to time and condition of section 503(d) of this Act, the Secretary may reprogram within and transfer funds to "U.S. Immigration and Customs Enforcement-Operations and Support" as necessary to ensure the detention of aliens prioritized for removal.

SEC. 【214】208. None of the funds provided under the heading "U.S. Immigration and Customs Enforcement-Operations and Support" may be used to continue a delegation of law enforcement authority authorized under section 287(g) of the Immigration and Nationality Act (8 U.S.C. 1357(g)) if the Department of Homeland Security Inspector General determines that the terms of the agreement governing the delegation of authority have been materially violated.

SEC. 【215】209. (a) None of the funds provided under the heading "U.S. Immigration and Customs Enforcement-Operations and Support" may be used to continue any contract for the provision of detention services if the two most recent overall performance evaluations received by the contracted facility are less than "adequate" or the equivalent median score in any subsequent performance evaluation system.

(b) Beginning not later than January 1, 2021, the performance evaluations referenced in subsection (a) shall be conducted by the U.S. Immigration and Customs Enforcement Office of Professional Responsibility.

【SEC. 216. (a) None of the funds provided by this Act or any other Act, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the components funded by this Act, may be used by the Secretary of Homeland Security to place in detention, remove, refer for a decision whether to initiate removal proceedings, or initiate removal proceedings against a sponsor, potential sponsor, or member of a household of a sponsor or potential sponsor of an

unaccompanied alien child (as defined in section 462(g) of the Homeland Security Act of 2002 (6 U.S.C. 279(g))) based on information shared by the Secretary of Health and Human Services.

(b) Subsection (a) shall not apply if a background check of a sponsor, potential sponsor, or member of a household of a sponsor or potential sponsor reveals—

- (1) a felony conviction or pending felony charge that relates to—
 - (A) an aggravated felony (as defined in section 101(a)(43) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(43)));
 - (B) child abuse;
 - (C) sexual violence or abuse; or
 - (D) child pornography;
- (2) an association with any business that employs a minor who—
 - (A) is unrelated to the sponsor, potential sponsor, or member of a household of a sponsor or potential sponsor; and
 - (B) is—
 - (i) not paid a legal wage; or
 - (ii) unable to attend school due to the employment; or
- (3) an association with the organization or implementation of prostitution.】

SEC. 【217】210. Not later than 45 days after the date of enactment of this Act, the Director of U.S. Immigration and Customs Enforcement shall submit to the Committees on Appropriations of the Senate and the House of Representatives, and make available on a publicly accessible website, a report describing agreements pursuant to section 287(g) of the Immigration and Nationality Act (8 U.S.C. 1357(g)) which shall include —

- (1) detailed information relating to the community outreach activities of each participating jurisdiction pursuant to such agreement, including the membership and activities of any community-based steering committee established by such jurisdiction;
- (2) the number of individuals placed into removal proceedings pursuant to each such agreement;
- (3) data on the performance of the officers or employees of a State or political subdivision thereof under each such agreement, including the nationality and level of criminality of the individuals described in paragraph (2); and
- (4) information relating to any future plans to increase the number of such agreements or expand the scope of such agreements through the introduction of new operations pursuant to such section.

SEC. 【218】211. Not later than 7 days after the date of enactment of this Act and updated semimonthly thereafter, the Director of U.S. Immigration and Customs Enforcement shall make available a report, on a publicly accessible website in a downloadable, searchable, and sortable format, with not less than the previous twelve months of semimonthly data as of the last date of each such reporting period; on—

- (1) aliens detained by such agency, including data disaggregated by single adults and members of family units on—
 - (A) the average fiscal year-to-date daily populations of aliens detained;
 - (B) the daily count of aliens detained;
 - (C) the fiscal year-to-date total for book-ins;
 - (D) the average lengths of stay, including average post-determination length of stay in the case of detainees described in subparagraph (F);
 - (E) the number transferred to the custody of U.S. Immigration and Customs Enforcement by U.S. Customs and Border Protection after being—
 - (i) deemed inadmissible at a port of entry or after being apprehended within 14 days of entering the United States; or
 - (ii) arrested by U.S. Immigration and Customs Enforcement;
 - (F) the number determined to have a credible or reasonable fear of—
 - (i) persecution, as defined in section 235(b)(1)(B)(v) of the Immigration and Nationality Act; or
 - (ii) torture, as defined in section 208.30 of title 8, Code of Federal Regulations (as in effect on January 1, 2018); and
 - (G) the number who have been issued a Notice to Appear pursuant to section 239 of the Immigration and Nationality Act, disaggregated by single adults and members of family units;
- (2) the total number of enrollees in the Alternatives to Detention program and the average length of participation, disaggregated by—
 - (A) single adults and family heads of household;
 - (B) participants in the family case management program;
 - (C) level of supervision; and
 - (D) location of supervision, by field office;
- (3) for each facility where aliens are detained by U.S. Immigration and Customs Enforcement—
 - (A) the address;
 - (B) the field offices that assign detainees to the facility;

(C) the detailed facility type, as defined in the integrated decision support system;

(D) the gender of aliens detained;

(E) the average daily population of detainees within each detainee classification level, as defined in the integrated decision support system;

(F) the average daily population of individuals within each threat level, as defined in the integrated decision support system;

(G) the average daily population within each criminality category, as defined in the integrated decision support system, disaggregated by gender;

(H) the average length of stay;

(I) the average daily population of individuals whose detention is classified as mandatory;

(J) the performance standards to which the facility is held;

(K) the date of the two most recent inspections, the entity that performed each inspection, and a detailed summary of the results of such inspections; and

(L) the guaranteed minimum detention capacity, if applicable; and

(4) the total number of releases from custody, by condition of release, and total number of removals, disaggregated by adult facilities and family facilities.

SEC. [219]212. Members of the United States House of Representatives and the United States Senate, including the leadership; the heads of Federal agencies and commissions, including the Secretary, Deputy Secretary, Under Secretaries, and Assistant Secretaries of the Department of Homeland Security; the United States Attorney General, Deputy Attorney General, Assistant Attorneys General, and the United States Attorneys; and senior members of the Executive Office of the President, including the Director of the Office of Management and Budget, shall not be exempt from Federal passenger and baggage screening.

SEC. [220]213. Any award by the Transportation Security Administration to deploy explosives detection systems shall be based on risk, the airport's current reliance on other screening solutions, lobby congestion resulting in increased security concerns, high injury rates, airport readiness, and increased cost effectiveness.

SEC. [221]214. Notwithstanding section 44923 of title 49, United States Code, for fiscal year [2020] 2021, any funds in the Aviation Security Capital Fund established by section 44923(h) of title 49, United States Code, may be used for the procurement and installation of explosives detection systems or for the issuance of other transaction agreements for the purpose of funding projects described in section 44923(a) of such title.

SEC. [222]215. None of the funds made available by this or any other Act may be used by the Administrator of the Transportation Security Administration to implement, administer, or enforce, in abrogation of the responsibility described in section 44903(n)(1) of title 49, United States Code, any requirement that airport operators provide airport-financed staffing to monitor exit points from the sterile area of any airport at which the Transportation Security Administration provided such monitoring as of December 1, 2013.

SEC. [223]216. Not later than 30 days after the submission of the President's budget proposal, the Administrator of the Transportation Security Administration shall submit to the Committees on Appropriations and Commerce, Science, and Transportation of the Senate and the Committees on Appropriations and Homeland Security in the House of Representatives a single report that fulfills the following requirements:

(1) a Capital Investment Plan (CIP) that includes a plan for continuous and sustained capital investment in new, and the replacement of aged, transportation security equipment;

(2) the 5-year technology investment plan as required by section 1611 of title XVI of the Homeland Security Act of 2002, as amended by section 3 of the Transportation Security Acquisition Reform Act (Public Law 113-245); and

(3) the Advanced Integrated Passenger Screening Technologies report as required by the Senate Report accompanying the Department of Homeland Security Appropriations Act, 2019 (Senate Report 115-283).

SEC. [224]217. None of the funds made available by this Act under the heading "Coast Guard-Operations and Support" shall be for expenses incurred for recreational vessels under section 12114 of title 46, United States Code, except to the extent fees are collected from owners of yachts and credited to the appropriation made available by this Act under the heading "Coast Guard-Operations and Support": *Provided*, That to the extent such fees are insufficient to pay expenses of recreational vessel documentation under such section 12114, and there is a backlog of recreational vessel applications, personnel performing non-recreational vessel documentation functions under subchapter II of chapter 121 of title 46, United States Code, may perform documentation under section 12114.

SEC. [225]218. Without regard to the limitation as to time and condition of section 503(d) of this Act, after June 30, up to \$10,000,000 may be reprogrammed to or

from the Military Pay and Allowances funding category within "Coast Guard-Operations and Support" in accordance with subsection (a) of section 503 of this Act.

SEC. [226]219. Notwithstanding any other provision of law, the Commandant of the Coast Guard shall submit to the Committees on Appropriations of the Senate and the House of Representatives a future-years capital investment plan as described in the second proviso under the heading "Coast Guard-Acquisition, Construction, and Improvements" in the Department of Homeland Security Appropriations Act, 2015 (Public Law 114-4), which shall be subject to the requirements in the third and fourth provisos under such heading.

SEC. 227. Funds made available for Overseas Contingency Operations/Global War on Terrorism under the heading "Coast Guard-Operations and Support" may be allocated by program, project, and activity, notwithstanding section 503 of this Act.

SEC. [228]220. None of the funds in this Act shall be used to reduce the Coast Guard's Operations Systems Center mission or its government-employed or contract staff levels.

SEC. 229. None of the funds appropriated by this Act may be used to conduct, or to implement the results of, a competition under Office of Management and Budget Circular A-76 for activities performed with respect to the Coast Guard National Vessel Documentation Center.

SEC. [230]221. Funds made available in this Act may be used to alter operations within the Civil Engineering Program of the Coast Guard nationwide, including civil engineering units, facilities design and construction centers, maintenance and logistics commands, and the Coast Guard Academy, except that none of the funds provided in this Act may be used to reduce operations within any civil engineering unit unless specifically authorized by a statute enacted after the date of enactment of this Act.

SEC. 231. (a) Any discretionary amounts appropriated in this Act in the current fiscal year and any fiscal year thereafter may be obligated for death gratuity payments, as authorized in subchapter II of chapter 75 of title 10, United States Code.

(b) Subsection (a) shall only apply if an appropriation for "Coast Guard-Operations and Support" is unavailable for obligation for such payments.

(c) Such obligations shall subsequently be recorded against appropriations that become available for "Coast Guard-Operations and Support".

SEC. 232. Beginning in fiscal year 2021 and for each fiscal year thereafter, amounts credited to the Coast Guard Housing Fund pursuant to paragraphs (3) through (5) of subsection (b) of section 2946 of title 14, United States Code, shall be classified as discretionary offsetting receipts.

SEC. 233. The United States Secret Service is authorized to obligate funds in anticipation of reimbursements from executive agencies, as defined in section 105 of title 5, United States Code, for personnel receiving training sponsored by the James J. Rowley Training Center, except that total obligations at the end of the fiscal year shall not exceed total budgetary resources available under the heading "United States Secret Service-Operations and Support" at the end of the fiscal year.

SEC. 234. None of the funds made available to the United States Secret Service by this Act or by previous appropriations Acts may be made available for the protection of the head of a Federal agency other than the Secretary of Homeland Security: *Provided*, That the Director of the United States Secret Service may enter into agreements to provide such protection on a fully reimbursable basis.

SEC. 235. For purposes of section 503(a)(3) of this Act, up to \$15,000,000 may be reprogrammed within "United States Secret Service-Operations and Support".

SEC. 236. Funding made available in this Act for "United States Secret Service-Operations and Support" is available for travel of United States Secret Service employees on protective missions without regard to the limitations on such expenditures in this or any other Act if the Director of the United States Secret Service or a designee notifies the Committees on Appropriations of the Senate and the House of Representatives 10 or more days in advance, or as early as practicable, prior to such expenditures. (*Department of Homeland Security Appropriations Act, 2020.*)

CYBERSECURITY AND INFRASTRUCTURE SECURITY AGENCY

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Cybersecurity and Infrastructure Security Agency for operations and support, **[\$1,566,229,000]** \$1,437,888,000, of which **[\$31,793,000]** \$9,055,000 shall remain available until September 30, [2021] 2022: *Provided*, That not to exceed \$3,825 shall be for official reception and representation expenses: *Provided further*, That amounts made available under this heading shall be available for the necessary expenses of carrying out the competition specified in section 2(e) of Executive Order No. 13870 (May 2, 2019), including the provision

OPERATIONS AND SUPPORT—Continued

of monetary and non-monetary awards for Federal civilian employees and members of the uniformed services, the necessary expenses for the honorary recognition of any award recipients, and activities to encourage participation in the competition, including promotional items: Provided further, That any awards made pursuant to the previous proviso shall be of the same type and amount as those authorized under sections 4501 through 4505 of title 5, United States Code. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0566-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0002 CAS - Mission Support | 80 | 85 | 141 |
| 0003 CAS - Cybersecurity | 781 | 943 | 802 |
| 0004 CAS - Infrastructure Protection | 199 | 223 | |
| 0005 CAS - Emergency Communications | 118 | 119 | 116 |
| 0006 CAS - Integrated Operations | 159 | 192 | 167 |
| 0007 CAS - Infrastructure Security | | | 88 |
| 0008 CAS - Risk Management Operations | | | 86 |
| 0009 CAS - Stakeholder Engagement and Requirements | | | 38 |
| 0799 Total direct obligations | 1,337 | 1,562 | 1,438 |
| 0801 Reimbursable program activity | 7 | 16 | |
| 0900 Total new obligations, unexpired accounts | 1,344 | 1,578 | 1,438 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 2 | 7 | 9 |
| 1012 Unobligated balance transfers between expired and unexpired accounts | 2 | 2 | 2 |
| 1050 Unobligated balance (total) | 4 | 9 | 11 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 1,346 | 1,566 | 1,438 |
| 1131 Unobligated balance of appropriations permanently reduced | -2 | -4 | |
| 1160 Appropriation, discretionary (total) | 1,344 | 1,562 | 1,438 |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 1 | 5 | |
| 1701 Change in uncollected payments, Federal sources | 10 | 11 | |
| 1750 Spending auth from offsetting collections, disc (total) | 11 | 16 | |
| 1900 Budget authority (total) | 1,355 | 1,578 | 1,438 |
| 1930 Total budgetary resources available | 1,359 | 1,587 | 1,449 |
| Memorandum (non-add) entries: | | | |
| 1940 Unobligated balance expiring | -8 | | |
| 1941 Unexpired unobligated balance, end of year | 7 | 9 | 11 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 962 | 954 | 1,385 |
| 3010 New obligations, unexpired accounts | 1,344 | 1,578 | 1,438 |
| 3011 Obligations ("upward adjustments"), expired accounts | 5 | | |
| 3020 Outlays (gross) | -1,344 | -1,147 | -1,493 |
| 3041 Recoveries of prior year unpaid obligations, expired | -13 | | |
| 3050 Unpaid obligations, end of year | 954 | 1,385 | 1,330 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -29 | -27 | -38 |
| 3070 Change in uncollected pymts, Fed sources, unexpired | -10 | -11 | |
| 3071 Change in uncollected pymts, Fed sources, expired | 12 | | |
| 3090 Uncollected pymts, Fed sources, end of year | -27 | -38 | -38 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 933 | 927 | 1,347 |
| 3200 Obligated balance, end of year | 927 | 1,347 | 1,292 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 1,355 | 1,578 | 1,438 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 596 | 784 | 719 |
| 4011 Outlays from discretionary balances | 748 | 363 | 774 |
| 4020 Outlays, gross (total) | 1,344 | 1,147 | 1,493 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -13 | -5 | |
| 4033 Non-Federal sources | -1 | | |
| 4040 Offsets against gross budget authority and outlays (total) | -14 | -5 | |

| | | | |
|---|-------|-------|-------|
| Additional offsets against gross budget authority only: | | | |
| 4050 Change in uncollected pymts, Fed sources, unexpired | -10 | -11 | |
| 4052 Offsetting collections credited to expired accounts | 13 | | |
| 4060 Additional offsets against budget authority only (total) | 3 | -11 | |
| 4070 Budget authority, net (discretionary) | 1,344 | 1,562 | 1,438 |
| 4080 Outlays, net (discretionary) | 1,330 | 1,142 | 1,493 |
| 4180 Budget authority, net (total) | 1,344 | 1,562 | 1,438 |
| 4190 Outlays, net (total) | 1,330 | 1,142 | 1,493 |

The Cybersecurity and Infrastructure Security Agency (CISA) leads efforts to protect the Nation's critical infrastructure against cyber and physical threats, including terrorist attacks, cyber incidents, natural disasters, and other catastrophic incidents. The Operations and Support Account funds the necessary operations, mission support, and associated management and administration costs for the Agency.

Object Classification (in millions of dollars)

| Identification code 070-0566-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 236 | 232 | 283 |
| 11.3 Other than full-time permanent | 5 | 4 | 6 |
| 11.5 Other personnel compensation | 5 | 5 | 7 |
| 11.9 Total personnel compensation | 246 | 241 | 296 |
| 12.1 Civilian personnel benefits | 91 | 91 | 105 |
| 21.0 Travel and transportation of persons | 11 | 11 | 15 |
| 22.0 Transportation of things | 1 | 1 | 3 |
| 23.1 Rental payments to GSA | 27 | 24 | 15 |
| 23.2 Rental payments to others | 1 | 1 | 2 |
| 23.3 Communications, utilities, and miscellaneous charges | 1 | 1 | 5 |
| 24.0 Printing and reproduction | 1 | 1 | |
| 25.1 Advisory and assistance services | 514 | 761 | 460 |
| 25.2 Other services from non-Federal sources | 10 | 9 | 15 |
| 25.3 Other goods and services from Federal sources | 297 | 296 | 411 |
| 25.4 Operation and maintenance of facilities | 3 | 2 | 7 |
| 25.7 Operation and maintenance of equipment | 80 | 72 | 55 |
| 26.0 Supplies and materials | 1 | 1 | 7 |
| 31.0 Equipment | 37 | 38 | 21 |
| 32.0 Land and structures | 3 | 2 | 7 |
| 41.0 Grants, subsidies, and contributions | 13 | 10 | 14 |
| 99.0 Direct obligations | 1,337 | 1,562 | 1,438 |
| 99.0 Reimbursable obligations | 7 | 16 | |
| 99.9 Total new obligations, unexpired accounts | 1,344 | 1,578 | 1,438 |

Employment Summary

| Identification code 070-0566-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | 1,946 | 2,072 | 2,072 |

INFRASTRUCTURE PROTECTION AND INFORMATION SECURITY

Program and Financing (in millions of dollars)

| Identification code 070-0565-0-1-054 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 158 | 95 | 5 |
| 3020 Outlays (gross) | -43 | -90 | |
| 3041 Recoveries of prior year unpaid obligations, expired | -20 | | |
| 3050 Unpaid obligations, end of year | 95 | 5 | 5 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 158 | 95 | 5 |
| 3200 Obligated balance, end of year | 95 | 5 | 5 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| Outlays, gross: | | | |
| 4011 Outlays from discretionary balances | 43 | 90 | |
| 4180 Budget authority, net (total) | | | |

| | | | | |
|------|----------------------|----|----|--|
| 4190 | Outlays, net (total) | 43 | 90 | |
|------|----------------------|----|----|--|

| | | | | |
|------|---|-----|-----|-----|
| 31.0 | Equipment | 24 | 15 | 15 |
| 32.0 | Land and structures | 1 | 1 | 1 |
| 99.9 | Total new obligations, unexpired accounts | 486 | 435 | 313 |

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of the Cybersecurity and Infrastructure Security Agency for procurement, construction, and improvements, **[\$434,962,000]** \$313,479,000, to remain available until September 30, **[2022]** 2023. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0412-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 CAS - Cybersecurity | 435 | 379 | 265 |
| 0002 CAS - Emergency Communications | 46 | 51 | 41 |
| 0005 CAS - Infrastructure Protection | 5 | 5 | 7 |
| 0900 Total new obligations, unexpired accounts | 486 | 435 | 313 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 266 | 111 | 111 |
| 1021 Recoveries of prior year unpaid obligations | 12 | | |
| 1050 Unobligated balance (total) | 278 | 111 | 111 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 323 | 435 | 313 |
| 1120 Appropriations transferred to other acct [070-0540] | -4 | | |
| 1160 Appropriation, discretionary (total) | 319 | 435 | 313 |
| 1930 Total budgetary resources available | 597 | 546 | 424 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 111 | 111 | 111 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 388 | 420 | 278 |
| 3010 New obligations, unexpired accounts | 486 | 435 | 313 |
| 3011 Obligations ("upward adjustments"), expired accounts | 4 | | |
| 3020 Outlays (gross) | -442 | -577 | -346 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -12 | | |
| 3041 Recoveries of prior year unpaid obligations, expired | -4 | | |
| 3050 Unpaid obligations, end of year | 420 | 278 | 245 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 388 | 420 | 278 |
| 3200 Obligated balance, end of year | 420 | 278 | 245 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 319 | 435 | 313 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 14 | 218 | 156 |
| 4011 Outlays from discretionary balances | 428 | 359 | 190 |
| 4020 Outlays, gross (total) | 442 | 577 | 346 |
| 4180 Budget authority, net (total) | 319 | 435 | 313 |
| 4190 Outlays, net (total) | 442 | 577 | 346 |

Procurement, Construction, and Improvements (PC&I) provides the funds necessary for the manufacture, purchase, or enhancement of one or more assets prior to sustainment. This funding supports the investments needed to enhance the security and resilience of infrastructure against terrorist attacks, cyber events, and natural disasters. Secure and resilient infrastructure is essential for national security, economic vitality, and public health and safety. This includes activities to understand and manage risk from natural disaster.

Object Classification (in millions of dollars)

| Identification code 070-0412-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| 23.1 Rental payments to GSA | 1 | | |
| 23.2 Rental payments to others | 1 | | |
| 25.1 Advisory and assistance services | 203 | 264 | 142 |
| 25.3 Other goods and services from Federal sources | 108 | 65 | 65 |
| 25.4 Operation and maintenance of facilities | 2 | 2 | 2 |
| 25.7 Operation and maintenance of equipment | 146 | 88 | 88 |

RESEARCH AND DEVELOPMENT

For necessary expenses of the Cybersecurity and Infrastructure Security Agency for research and development, **[\$14,431,000]** \$6,431,000, to remain available until September 30, **[2021]** 2022. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0805-0-1-054 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 CAS - Cybersecurity | 4 | | |
| 0002 CAS - Infrastructure Protection | 7 | 1 | |
| 0003 CAS - Integrated Operations R&D | 5 | 13 | |
| 0004 CAS - Risk Management R&D | | | 5 |
| 0005 CAS - Infrastructure Security R&D | | | 1 |
| 0900 Total new obligations, unexpired accounts | 16 | 14 | 6 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 8 | 5 | 5 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 13 | 14 | 6 |
| 1930 Total budgetary resources available | 21 | 19 | 11 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 5 | 5 | 5 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 6 | 17 | 7 |
| 3010 New obligations, unexpired accounts | 16 | 14 | 6 |
| 3020 Outlays (gross) | -5 | -24 | -10 |
| 3050 Unpaid obligations, end of year | 17 | 7 | 3 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 6 | 17 | 7 |
| 3200 Obligated balance, end of year | 17 | 7 | 3 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 13 | 14 | 6 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | | 8 | 4 |
| 4011 Outlays from discretionary balances | 5 | 16 | 6 |
| 4020 Outlays, gross (total) | 5 | 24 | 10 |
| 4180 Budget authority, net (total) | 13 | 14 | 6 |
| 4190 Outlays, net (total) | 5 | 24 | 10 |

Research and Development includes the funds necessary for supporting the search for new or refined knowledge and ideas, and for the application or use of such knowledge and ideas for the development of new or improved products, processes, or capabilities. These resources fund capability development in support of the Cybersecurity and Infrastructure Security Agency's (CISA) infrastructure security, and analytics initiatives.

Object Classification (in millions of dollars)

| Identification code 070-0805-0-1-054 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| 25.1 Advisory and assistance services | 11 | | |
| 25.2 Other services from non-Federal sources | 1 | 3 | 2 |
| 25.3 Other goods and services from Federal sources | 3 | 9 | 2 |
| 25.5 Research and development contracts | 1 | 2 | 2 |
| 99.9 Total new obligations, unexpired accounts | 16 | 14 | 6 |

OFFICE OF HEALTH AFFAIRS

Federal Funds

OPERATIONS AND SUPPORT

Program and Financing (in millions of dollars)

| Identification code 070-0117-0-1-453 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Biodefense activities | 4 | | |
| 0799 Total direct obligations | 4 | | |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 4 | | |
| 1930 Total budgetary resources available | 4 | | |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 113 | 36 | 3 |
| 3010 New obligations, unexpired accounts | 4 | | |
| 3020 Outlays (gross) | -79 | -33 | |
| 3041 Recoveries of prior year unpaid obligations, expired | -2 | | |
| 3050 Unpaid obligations, end of year | 36 | 3 | 3 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -17 | -3 | -3 |
| 3071 Change in uncollected pymts, Fed sources, expired | 14 | | |
| 3090 Uncollected pymts, Fed sources, end of year | -3 | -3 | -3 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 96 | 33 | |
| 3200 Obligated balance, end of year | 33 | | |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| Outlays, gross: | | | |
| 4011 Outlays from discretionary balances | 79 | 33 | |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -14 | | |
| 4040 Offsets against gross budget authority and outlays (total) ... | -14 | | |
| Additional offsets against gross budget authority only: | | | |
| 4052 Offsetting collections credited to expired accounts | 14 | | |
| 4060 Additional offsets against budget authority only (total) | 14 | | |
| 4080 Outlays, net (discretionary) | 65 | 33 | |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | 65 | 33 | |

In December 2017, the Department established the Countering Weapons of Mass Destruction (CWMD) Office, and core functions performed under the former Office of Health Affairs (OHA) were transferred to CWMD. Starting in 2019, funding associated with the Workforce Health and Safety program activities supporting DHS personnel is requested in the Management Directorate's Operations and Support account for the Office of the Chief Human Capital Officer. All other funding associated with the OHA O&S account is requested in CWMD.

Object Classification (in millions of dollars)

| Identification code 070-0117-0-1-453 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| 25.1 Advisory and assistance services | 3 | | |
| 25.3 Other goods and services from Federal sources | 1 | | |
| 99.0 Direct obligations | 4 | | |
| 99.9 Total new obligations, unexpired accounts | 4 | | |

FEDERAL EMERGENCY MANAGEMENT AGENCY

Federal Funds

FEDERAL ASSISTANCE

(INCLUDING TRANSFER OF FUNDS)

For [activities] *necessary expenses* of the Federal Emergency Management Agency for Federal assistance through grants, contracts, cooperative agreements, and other activities, [\$3,178,467,000] \$2,482,552,000, which shall be allocated as follows:

(1) [\$560,000,000] \$331,939,000 for the State Homeland Security Grant Program under section 2004 of the Homeland Security Act of 2002 (6 U.S.C. 605) [, of which \$90,000,000 shall be for Operation Stonegarden, \$15,000,000 shall be for Tribal Homeland Security Grants under section 2005 of the Homeland Security Act of 2002 (6 U.S.C. 606), and \$40,000,000 shall be]: *Provided, That these funds may be used in such amounts as the Secretary of Homeland Security may determine for organizations (as described under section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such code) determined by the Secretary [of Homeland Security] to be at high risk of a terrorist attack: Provided further, That notwithstanding subsection (c)(4) of such section 2004, for fiscal year [2020] 2021, the Commonwealth of Puerto Rico shall make available to local and tribal governments amounts provided to the Commonwealth of Puerto Rico under this paragraph in accordance with subsection (c)(1) of such section 2004.*

(2) [\$665,000,000] \$426,461,000 for the Urban Area Security Initiative under section 2003 of the Homeland Security Act of 2002 (6 U.S.C. 604) [, of which \$50,000,000 shall be]: *Provided, That these funds may be used in such amounts as the Secretary of Homeland Security may determine for organizations (as described under section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such code) determined by the Secretary [of Homeland Security] to be at high risk of a terrorist attack.*

(3) [\$100,000,000] \$36,358,000 for Public Transportation Security Assistance, Railroad Security Assistance, and Over-the-Road Bus Security Assistance under sections 1406, 1513, and 1532 of the Implementing Recommendations of the 9/11 Commission Act of 2007 (6 U.S.C. 1135, 1163, and 1182) [, of which \$10,000,000 shall be for Amtrak security and \$2,000,000 shall be for Over-the-Road Bus Security]: *Provided, That such public transportation security assistance shall be provided directly to public transportation agencies.*

(4) [\$100,000,000] \$36,358,000 for Port Security Grants in accordance with section 70107 of title 46, United States Code.

(5) \$406,909,000, to remain available until September 30, 2022, for the National Security and Resilience Grant Program to provide financial assistance on a competitive basis to non-Federal entities to address specific, existing, and emerging threats as identified and prioritized by the Secretary through the Administrator.

([5] 6) [\$710,000,000] \$688,688,000, to remain available until September 30, [2021] 2022, of which [\$355,000,000] \$344,344,000 shall be for Assistance to Firefighter Grants and [\$355,000,000] \$344,344,000 shall be for Staffing for Adequate Fire and Emergency Response Grants under sections 33 and 34 respectively of the Federal Fire Prevention and Control Act of 1974 (15 U.S.C. 2229 and 2229a).

([6] 7) [\$355,000,000] \$279,335,000 for emergency management performance grants under the National Flood Insurance Act of 1968 (42 U.S.C. 4001 et seq.), the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121), the Earthquake Hazards Reduction Act of 1977 (42 U.S.C. 7701), section 762 of title 6, United States Code, and Reorganization Plan No. 3 of 1978 (5 U.S.C. App.).

([7] 8) [\$263,000,000] \$100,000,000 for necessary expenses for Flood Hazard Mapping and Risk Analysis, in addition to and to supplement any other sums appropriated under the National Flood Insurance Fund, and such additional sums as may be provided by States or other political subdivisions for cost-shared mapping activities under section 1360(f)(2) of the National Flood Insurance Act of 1968 (42 U.S.C. 4101(f)(2)), to remain available until expended.

([8]) \$10,000,000 for Regional Catastrophic Preparedness Grants. [(9) \$10,000,000 for Rehabilitation of High Hazard Potential Dams under section 8A of the National Dam Safety Program Act (33 U.S.C. 467f-2).]

([10]) \$125,000,000 for the emergency food and shelter program under title III of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11331), to remain available until expended: *Provided, That not to exceed 3.5 percent shall be for total administrative costs.*

(9) \$20,000,000, to remain available until September 30, 2022, for necessary expenses for targeted violence and terrorism prevention grants.

(1110) \$280,467,000 \$156,504,000 to sustain current operations for training, exercises, technical assistance, and other programs. (*Department of Homeland Security Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

| Identification code 070–0413–0–1–999 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 CAS - Grants | 2,946 | 2,929 | 1,972 |
| 0002 CAS - Education, Training, and Exercises (incl USFA) | 275 | 280 | 157 |
| 0799 Total direct obligations | 3,221 | 3,209 | 2,129 |
| 0801 Reimbursable program activity | 2 | | |
| 0900 Total new obligations, unexpired accounts | 3,223 | 3,209 | 2,129 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 1,066 | 1,006 | 1,026 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 CAS - Grants | 2,859 | 2,939 | 2,326 |
| 1100 CAS - Education, Training, and Exercises | 277 | 280 | 157 |
| 1100 Emergency Supp Approp for Humanitarian Assistance & Security at the Southern Border Act, 2019—EFS (P.L. 116–26) | 30 | | |
| 1121 Appropriations transferred from other acct [070–0416] | | 10 | |
| 1160 Appropriation, discretionary (total) | 3,166 | 3,229 | 2,483 |
| 1900 Budget authority (total) | 3,166 | 3,229 | 2,483 |
| 1930 Total budgetary resources available | 4,232 | 4,235 | 3,509 |
| Memorandum (non-add) entries: | | | |
| 1940 Unobligated balance expiring | -3 | | |
| 1941 Unexpired unobligated balance, end of year | 1,006 | 1,026 | 1,380 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 4,390 | 5,888 | 6,569 |
| 3010 New obligations, unexpired accounts | 3,223 | 3,209 | 2,129 |
| 3011 Obligations ("upward adjustments"), expired accounts | 7 | | |
| 3020 Outlays (gross) | -1,708 | -2,528 | -2,400 |
| 3030 Unpaid obligations transferred to other accts [069–0700] | -10 | | |
| 3041 Recoveries of prior year unpaid obligations, expired | -14 | | |
| 3050 Unpaid obligations, end of year | 5,888 | 6,569 | 6,298 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -2 | | |
| 3071 Change in uncollected pymts, Fed sources, expired | 2 | | |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 4,388 | 5,888 | 6,569 |
| 3200 Obligated balance, end of year | 5,888 | 6,569 | 6,298 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 3,166 | 3,229 | 2,483 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 96 | 122 | 101 |
| 4011 Outlays from discretionary balances | 1,612 | 2,406 | 2,299 |
| 4020 Outlays, gross (total) | 1,708 | 2,528 | 2,400 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -2 | | |
| 4040 Offsets against gross budget authority and outlays (total) | -2 | | |
| Additional offsets against gross budget authority only: | | | |
| 4052 Offsetting collections credited to expired accounts | 2 | | |
| 4060 Additional offsets against budget authority only (total) | 2 | | |
| 4070 Budget authority, net (discretionary) | 3,166 | 3,229 | 2,483 |
| 4080 Outlays, net (discretionary) | 1,706 | 2,528 | 2,400 |
| 4180 Budget authority, net (total) | 3,166 | 3,229 | 2,483 |
| 4190 Outlays, net (total) | 1,706 | 2,528 | 2,400 |

Federal Assistance provides monetary and non-monetary support to non-Federal Emergency Management Agency (FEMA) entities. Support may be provided in the form of grants or grant agreements, cooperative agreements, non-cash contributions, and other Federal support, but does not include amounts received as reimbursement for services rendered to individuals. Through a variety of programs, FEMA provides for grants, training, exercises, and other support to assist Federal agencies, States, territories, and tribal and local jurisdictions to prevent, protect against, mitigate, respond to, and recover from terrorism and natural disasters.

Grants: FEMA provides State and local preparedness grants that focus on building and sustaining the 32 core capabilities associated with the five mission areas described in the National Preparedness Goal. These grants include: 1) the State Homeland Security Grant Program, which supports the implementation of State homeland security strategies to address identified planning, organization, equipment, training, and exercise needs to prevent, protect against, mitigate, respond to, and recover from acts of terrorism and other catastrophic events; 2) the Urban Area Security Initiative, which addresses the unique risk-driven and capabilities-based planning, organization, equipment, training, and exercise needs of high-threat, high-density urban areas based on capability targets identified during the Threat Hazard Identification and Risk Assessment process; 3) the Transit Security Grant Program for public transportation security assistance and railroad security assistance, which supports owners and operators of transit systems, including intra-city bus, commuter bus, ferries, and all forms of passenger rail, to protect critical surface transportation infrastructure and the traveling public from acts of terrorism and to increase the resilience of transit infrastructure; 4) the Port Security Grant Program, which improves port-wide maritime security risk management, enhances maritime domain awareness, supports maritime security training and exercises, and maintains and/or reestablishes maritime security mitigation protocols that support port recovery and resiliency capabilities; 5) Firefighter Assistance Grants, including the Assistance to Firefighter Grant and the Staffing for Adequate Fire and Emergency Response grants, which provide direct assistance to local fire departments for investments to improve their ability to safeguard the lives of firefighting personnel and members of the public in the event of a terrorist attack or other major incident; 6) Emergency Management Performance Grants, which provides funding on a formula basis to all 56 States and Territories to achieve target levels of capability in catastrophic planning and emergency management; 7) the Flood Hazard Mapping and Risk Analysis program, which drives national actions to reduce flood risk by addressing flood hazard data update needs, supporting local government hazard mitigation planning, and providing the flood risk data needed to manage the NFIP's financial exposure; 8) The National Security and Resilience Grant Program which provides financial assistance on a competitive basis to non-Federal entities to address specific, existing, and emerging threats as identified and prioritized by the Secretary through the Administrator; 9) Targeted violence and terrorism prevention grants to provide State and local governments, law enforcement, emergency management, and other partners the ability to support locally-based prevention programs and expand existing counterterrorism programs.

Education, Training, and Exercises Programs: FEMA provides specialized training to emergency responders and supports development, execution, and evaluation of exercises to test the Nation's preparedness for all hazards. These programs include: 1) the National Exercise Program, which designs, coordinates, conducts, and evaluates exercises that rigorously test the Nation's ability to perform missions and functions that prevent, protect against, respond to, recover from, and mitigate all hazards; 2) the Center for Domestic Preparedness, which provides specialized all-hazards preparedness training to State, local, and tribal emergency responders on skills tied to national priorities, in particular those related to Weapons of Mass Destruction; 3) the Emergency Management Institute, which provides training to Federal, State, local, tribal, volunteer, public, and private sector officials to strengthen emergency management core competencies, knowledge, and skills, thus improving the Nation's capability to prepare for, protect against, respond to, recover from, and mitigate all hazards; and 4) the U.S. Fire Administration, which promotes fire awareness, safety, and risk reduction across communities and prepares the Nation's first responders through ongoing training in evaluating and minimizing community risk, improving protection of critical infrastructure, and preparing to respond to all-hazard emergencies.

FEDERAL ASSISTANCE—Continued
Object Classification (in millions of dollars)

| Identification code 070-0413-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent | 32 | 34 | 36 |
| 11.9 Total personnel compensation | 32 | 34 | 36 |
| 12.1 Civilian personnel benefits | 11 | 11 | 12 |
| 21.0 Travel and transportation of persons | 7 | 7 | 7 |
| 23.3 Communications, utilities, and miscellaneous charges | 4 | 4 | 4 |
| 25.1 Advisory and assistance services | 19 | 25 | 25 |
| 25.2 Other services from non-Federal sources | 264 | 256 | 99 |
| 25.3 Other goods and services from Federal sources | 3 | 2 | |
| 25.4 Operation and maintenance of facilities | 7 | 9 | 11 |
| 25.7 Operation and maintenance of equipment | 2 | 4 | 4 |
| 26.0 Supplies and materials | 1 | 1 | 1 |
| 31.0 Equipment | 5 | 5 | 5 |
| 32.0 Land and structures | 1 | 4 | 4 |
| 41.0 Grants, subsidies, and contributions | 2,868 | 2,846 | 1,919 |
| 99.0 Direct obligations | 3,221 | 3,209 | 2,129 |
| 99.0 Reimbursable obligations | 2 | | |
| 99.9 Total new obligations, unexpired accounts | 3,223 | 3,209 | 2,129 |

Employment Summary

| Identification code 070-0413-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | 337 | 370 | 370 |

OPERATIONS AND SUPPORT

For necessary expenses of the Federal Emergency Management Agency for operations and support, **[\$1,102,199,000] \$1,134,195,000: Provided,** That not to exceed \$2,250 shall be for official reception and representation expenses. (*Department of Homeland Security Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

| Identification code 070-0700-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 CAS - Mission Support | 529 | 508 | 511 |
| 0002 CAS - Regional Operations | 162 | 165 | 179 |
| 0003 CAS - Mitigation | 36 | 41 | 43 |
| 0004 CAS - Preparedness and Protection | 138 | 149 | 152 |
| 0005 CAS - Response and Recovery | 253 | 239 | 249 |
| 0799 Total direct obligations | 1,118 | 1,102 | 1,134 |
| 0801 Salaries and Expenses (Reimbursable) | 41 | 41 | 41 |
| 0900 Total new obligations, unexpired accounts | 1,159 | 1,143 | 1,175 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 23 | 1 | 20 |
| 1012 Unobligated balance transfers between expired and unexpired accounts | 2 | | |
| 1021 Recoveries of prior year unpaid obligations | 4 | | |
| 1033 Recoveries of prior year paid obligations | 1 | | |
| 1050 Unobligated balance (total) | 30 | 1 | 20 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 1,066 | 1,102 | 1,134 |
| 1121 Appropriations transferred from other acct [070-0702] | 23 | | |
| 1160 Appropriation, discretionary (total) | 1,089 | 1,102 | 1,134 |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 48 | 60 | 60 |
| 1701 Change in uncollected payments, Federal sources | 1 | | |
| 1750 Spending auth from offsetting collections, disc (total) | 49 | 60 | 60 |
| 1900 Budget authority (total) | 1,138 | 1,162 | 1,194 |
| 1930 Total budgetary resources available | 1,168 | 1,163 | 1,214 |
| Memorandum (non-add) entries: | | | |
| 1940 Unobligated balance expiring | -8 | | |
| 1941 Unexpired unobligated balance, end of year | 1 | 20 | 39 |

Change in obligated balance:

| | | | |
|---|--------|--------|--------|
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 517 | 571 | 455 |
| 3010 New obligations, unexpired accounts | 1,159 | 1,143 | 1,175 |
| 3011 Obligations ("upward adjustments"), expired accounts | 15 | | |
| 3020 Outlays (gross) | -1,093 | -1,259 | -1,180 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -4 | | |
| 3041 Recoveries of prior year unpaid obligations, expired | -23 | | |
| 3050 Unpaid obligations, end of year | 571 | 455 | 450 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -11 | -9 | -9 |
| 3070 Change in uncollected pymts, Fed sources, unexpired | -1 | | |
| 3071 Change in uncollected pymts, Fed sources, expired | 3 | | |
| 3090 Uncollected pymts, Fed sources, end of year | -9 | -9 | -9 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 506 | 562 | 446 |
| 3200 Obligated balance, end of year | 562 | 446 | 441 |

Budget authority and outlays, net:

| | | | |
|--|-------|-------|-------|
| Discretionary: | | | |
| 4000 Budget authority, gross | 1,138 | 1,162 | 1,194 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 729 | 770 | 791 |
| 4011 Outlays from discretionary balances | 364 | 489 | 389 |
| 4020 Outlays, gross (total) | 1,093 | 1,259 | 1,180 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -51 | -60 | -60 |
| 4033 Non-Federal sources | -1 | | |
| 4040 Offsets against gross budget authority and outlays (total) | -52 | -60 | -60 |
| Additional offsets against gross budget authority only: | | | |
| 4050 Change in uncollected pymts, Fed sources, unexpired | -1 | | |
| 4052 Offsetting collections credited to expired accounts | 3 | | |
| 4053 Recoveries of prior year paid obligations, unexpired accounts | 1 | | |
| 4060 Additional offsets against budget authority only (total) | 3 | | |
| 4070 Budget authority, net (discretionary) | 1,089 | 1,102 | 1,134 |
| 4080 Outlays, net (discretionary) | 1,041 | 1,199 | 1,120 |
| 4180 Budget authority, net (total) | 1,089 | 1,102 | 1,134 |
| 4190 Outlays, net (total) | 1,041 | 1,199 | 1,120 |

Operations and Support funds the Federal Emergency Management Agency's core mission development and maintenance of an integrated, nationwide capability to prepare for, mitigate against, respond to, and recover from the consequences of terrorist attacks and other major disasters and emergencies, in partnership with other Federal agencies, State, local, tribal and territorial (SLTT) governments, volunteer organizations, and the private sector. Activities supported by this account incorporate the essential command and control functions, mitigate long-term risks, ensure the continuity and restoration of essential services and functions, and provide leadership to build, sustain, and improve the coordination and delivery of support to citizens and State, local, tribal, and territorial governments.

Object Classification (in millions of dollars)

| Identification code 070-0700-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 397 | 420 | 444 |
| 11.5 Other personnel compensation | 10 | 12 | 23 |
| 11.9 Total personnel compensation | 407 | 432 | 467 |
| 12.1 Civilian personnel benefits | 128 | 143 | 159 |
| 21.0 Travel and transportation of persons | 14 | 16 | 16 |
| 23.1 Rental payments to GSA | 55 | 83 | 81 |
| 23.2 Rental payments to others | 3 | | |
| 23.3 Communications, utilities, and miscellaneous charges | 36 | 23 | 22 |
| 25.1 Advisory and assistance services | 76 | 45 | 36 |
| 25.2 Other services from non-Federal sources | 187 | 250 | 246 |
| 25.3 Other goods and services from Federal sources | 6 | 11 | 9 |
| 25.4 Operation and maintenance of facilities | 42 | 4 | 4 |
| 25.7 Operation and maintenance of equipment | 8 | 4 | 4 |
| 26.0 Supplies and materials | 5 | 5 | 5 |
| 31.0 Equipment | 60 | 24 | 25 |
| 32.0 Land and structures | 40 | 16 | 16 |
| 41.0 Grants, subsidies, and contributions | 51 | 46 | 44 |
| 99.0 Direct obligations | 1,118 | 1,102 | 1,134 |

| | | | | |
|------|---|-------|-------|-------|
| 99.0 | Reimbursable obligations | 41 | 41 | 41 |
| 99.9 | Total new obligations, unexpired accounts | 1,159 | 1,143 | 1,175 |

Employment Summary

| Identification code 070-0700-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | 3,502 | 3,753 | 3,802 |
| 2001 Reimbursable civilian full-time equivalent employment | 22 | 22 | 22 |

STATE AND LOCAL PROGRAMS

Program and Financing (in millions of dollars)

| Identification code 070-0560-0-1-453 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 1,373 | 431 | 223 |
| 3011 Obligations ("upward adjustments"), expired accounts | 5 | | |
| 3020 Outlays (gross) | -902 | -208 | -222 |
| 3041 Recoveries of prior year unpaid obligations, expired | -45 | | |
| 3050 Unpaid obligations, end of year | 431 | 223 | 1 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 1,373 | 431 | 223 |
| 3200 Obligated balance, end of year | 431 | 223 | 1 |

Budget authority and outlays, net:

| Discretionary: | | | |
|--|-----|-----|-----|
| Outlays, gross: | | | |
| 4011 Outlays from discretionary balances | 902 | 208 | 222 |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | 902 | 208 | 222 |

RADIOLOGICAL EMERGENCY PREPAREDNESS PROGRAM

Program and Financing (in millions of dollars)

| Identification code 070-0715-0-1-453 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0801 Radiological Emergency Preparedness | 36 | 36 | 36 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 6 | 6 | 5 |
| 1021 Recoveries of prior year unpaid obligations | 3 | 1 | 1 |
| 1050 Unobligated balance (total) | 9 | 7 | 6 |
| Budget authority: | | | |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 34 | 34 | 34 |
| 1702 Offsetting collections (previously unavailable) | 33 | 34 | 34 |
| 1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) | -34 | -34 | -34 |
| 1750 Spending auth from offsetting collections, disc (total) | 33 | 34 | 34 |
| 1930 Total budgetary resources available | 42 | 41 | 40 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 6 | 5 | 4 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 17 | 17 | 18 |
| 3010 New obligations, unexpired accounts | 36 | 36 | 36 |
| 3020 Outlays (gross) | -33 | -34 | -34 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -3 | -1 | -1 |
| 3050 Unpaid obligations, end of year | 17 | 18 | 19 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 17 | 17 | 18 |
| 3200 Obligated balance, end of year | 17 | 18 | 19 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| Outlays, gross: | | | |
| 4000 Budget authority, gross | 33 | 34 | 34 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 25 | 20 | 20 |

| | | | |
|---|-----|-----|-----|
| 4011 Outlays from discretionary balances | 8 | 14 | 14 |
| 4020 Outlays, gross (total) | 33 | 34 | 34 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -2 | -2 | -2 |
| 4033 Non-Federal sources | -32 | -32 | -32 |
| 4040 Offsets against gross budget authority and outlays (total) ... | -34 | -34 | -34 |
| 4070 Budget authority, net (discretionary) | -1 | | |
| 4080 Outlays, net (discretionary) | -1 | | |
| 4180 Budget authority, net (total) | 41 | | |
| 4190 Outlays, net (total) | -1 | | |

Memorandum (non-add) entries:

| | | | |
|---|----|----|----|
| 5090 Unexpired unavailable balance, SOY: Offsetting collections | 33 | 34 | 34 |
| 5092 Unexpired unavailable balance, EOY: Offsetting collections | 34 | 34 | 34 |
| 5093 Expired unavailable balance, SOY: Offsetting collections | 2 | 2 | 2 |
| 5095 Expired unavailable balance, EOY: Offsetting collections | 2 | 2 | 2 |

The Radiological Emergency Preparedness Program assists State, local, and tribal governments in the development of off-site radiological emergency preparedness plans within the emergency planning zones of Nuclear Regulatory Commission (NRC) licensed commercial nuclear power facilities. The fund is financed from fees assessed and collected from the NRC licensees to cover the costs for radiological emergency planning, preparedness, and response activities in the following year, as authorized in the Administrative Provisions, Sec. 307.

Object Classification (in millions of dollars)

| Identification code 070-0715-0-1-453 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Reimbursable obligations: | | | |
| 11.1 Personnel compensation: Full-time permanent | 13 | 13 | 14 |
| 12.1 Civilian personnel benefits | 7 | 7 | 7 |
| 21.0 Travel and transportation of persons | 2 | 2 | 2 |
| 23.1 Rental payments to GSA | 1 | 1 | 1 |
| 23.3 Communications, utilities, and miscellaneous charges | 1 | 1 | 1 |
| 25.2 Other services from non-Federal sources | 11 | 11 | 10 |
| 25.4 Operation and maintenance of facilities | 1 | 1 | 1 |
| 99.9 Total new obligations, unexpired accounts | 36 | 36 | 36 |

Employment Summary

| Identification code 070-0715-0-1-453 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment | 132 | 134 | 136 |

UNITED STATES FIRE ADMINISTRATION

Program and Financing (in millions of dollars)

| Identification code 070-0564-0-1-453 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 1 | 1 | |
| 3020 Outlays (gross) | | -1 | |
| 3050 Unpaid obligations, end of year | 1 | | |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 1 | 1 | |
| 3200 Obligated balance, end of year | 1 | | |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| Outlays, gross: | | | |
| 4011 Outlays from discretionary balances | | 1 | |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | | 1 | |

DISASTER RELIEF FUND

For necessary expenses in carrying out the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), **[\$17,863,259,000]**

DISASTER RELIEF FUND—Continued

\$5,653,366,000, to remain available until expended: *Provided*, That of the amount provided under this heading, **[\$17,352,112,000]** \$5,059,949,000 shall be for major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.) **[and is]**: *Provided further*, That the amount in the preceding provision is designated by the Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided further*, That any balances of funds appropriated in any prior Act for activities funded by the National Predisaster Mitigation Fund under section 203 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5133) (as in effect on the day before the amendments made by section 1234 of division D of Public Law 115–254 took effect) may be transferred to and merged for all purposes with funds set aside pursuant to subsection (i)(1) of section 203 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5133). (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0702-0-1-453 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0003 Base/Non Major Disasters | 743 | 511 | 593 |
| 0004 Disaster Relief | 13,187 | 17,352 | 5,060 |
| 0900 Total new obligations, unexpired accounts | 13,930 | 17,863 | 5,653 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 28,313 | 29,391 | 29,045 |
| 1010 Unobligated balance transfer to other accts [070-0540] | -38 | | |
| 1010 Unobligated balance transfer to other accts [070-0703] | | -46 | |
| 1011 Unobligated balance transfer from other acct [072-1035] | 1 | | |
| 1021 Recoveries of prior year unpaid obligations | 2,787 | | |
| 1033 Recoveries of prior year paid obligations | 18 | | |
| 1050 Unobligated balance (total) | 31,081 | 29,345 | 29,045 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 12,258 | 17,863 | 5,653 |
| 1120 Appropriations transferred to other acct [072-1035] | -18 | | |
| 1120 Appropriations transferred to other acct [070-0703] | -2 | | |
| 1120 Appropriations transferred to other acct [070-0700] | -23 | | |
| 1131 Unobligated balance of appropriations permanently reduced | | -300 | |
| 1160 Appropriation, discretionary (total) | 12,215 | 17,563 | 5,653 |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 33 | | |
| 1900 Budget authority (total) | 12,248 | 17,563 | 5,653 |
| 1930 Total budgetary resources available | 43,329 | 46,908 | 34,698 |
| Memorandum (non-add) entries: | | | |
| 1940 Unobligated balance expiring | -8 | | |
| 1941 Unexpired unobligated balance, end of year | 29,391 | 29,045 | 29,045 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 25,772 | 23,780 | 30,690 |
| 3010 New obligations, unexpired accounts | 13,930 | 17,863 | 5,653 |
| 3020 Outlays (gross) | -13,135 | -10,953 | -12,298 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -2,787 | | |
| 3050 Unpaid obligations, end of year | 23,780 | 30,690 | 24,045 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 25,772 | 23,780 | 30,690 |
| 3200 Obligated balance, end of year | 23,780 | 30,690 | 24,045 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 12,248 | 17,563 | 5,653 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 3,719 | 3,307 | 1,171 |
| 4011 Outlays from discretionary balances | 9,416 | 7,646 | 11,127 |
| 4020 Outlays, gross (total) | 13,135 | 10,953 | 12,298 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources: | -1 | | |
| 4033 Non-Federal sources | -50 | | |
| 4040 Offsets against gross budget authority and outlays (total) | -51 | | |
| Additional offsets against gross budget authority only: | | | |
| 4053 Recoveries of prior year paid obligations, unexpired accounts | 18 | | |
| 4060 Additional offsets against budget authority only (total) | 18 | | |

| | | | |
|--|--------|--------|--------|
| 4070 Budget authority, net (discretionary) | 12,215 | 17,563 | 5,653 |
| 4080 Outlays, net (discretionary) | 13,084 | 10,953 | 12,298 |
| 4180 Budget authority, net (total) | 12,215 | 17,563 | 5,653 |
| 4190 Outlays, net (total) | 13,084 | 10,953 | 12,298 |

Through the Disaster Relief Fund (DRF), the Federal Emergency Management Agency (FEMA) provides a significant portion of the total Federal response to Presidentially-declared major disasters and emergencies. Primary assistance programs include Federal assistance to individuals and households, public assistance, and hazard mitigation assistance which includes the repair and reconstruction of State, tribal, territorial, local, and nonprofit infrastructure. Beginning in 2012, section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended (BBEDCA) includes a discretionary spending cap adjustment for disaster relief, facilitating a shift from a reliance on supplemental appropriations. The BBEDCA, as amended by the 2018 Department of Homeland Security Appropriations Act requires funds designated as disaster relief pursuant to the cap adjustment be used for declared major disasters as defined under section 102(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act.

The DRF funds requested as an adjustment to the discretionary spending caps consist of four principal components: (1) catastrophic obligations; (2) non-catastrophic obligations; (3) recoveries; and (4) a reserve. Funds required for the catastrophic category, defined as events greater than \$500 million, are based on FEMA spend plans for all past declared catastrophic events and do not include funds for new catastrophic events that may occur in 2021. It is assumed that any new catastrophic event in 2021 will be funded through a future supplemental funding request, as provided for in BBEDCA. The non-catastrophic amount is based on an approach that uses the 10-year average for non-catastrophic events to provide a more realistic projection of non-catastrophic needs in 2021. Pursuant to section 1234 of P.L. 115–254, the request also includes a 6-percent set-aside based on a historical average for pre-disaster mitigation, also known as Building Resilient Infrastructure and Communities.

The DRF base non-cap adjustment request supports the 10-year average for the costs associated with emergency declarations, pre-disaster surge activities, and fire management assistance grants. The base also includes funds requested for projected yearly disaster readiness and support costs.

Object Classification (in millions of dollars)

| Identification code 070-0702-0-1-453 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 458 | 272 | 324 |
| 11.3 Other than full-time permanent | 273 | 161 | 193 |
| 11.5 Other personnel compensation | 136 | 84 | 99 |
| 11.9 Total personnel compensation | 867 | 517 | 616 |
| 12.1 Civilian personnel benefits | 217 | 130 | 155 |
| 13.0 Benefits for former personnel | 11 | 7 | 8 |
| 21.0 Travel and transportation of persons | 400 | 531 | 155 |
| 22.0 Transportation of things | 313 | 385 | 134 |
| 23.1 Rental payments to GSA | 16 | 23 | 26 |
| 23.2 Rental payments to others | 74 | 92 | 24 |
| 23.3 Communications, utilities, and miscellaneous charges | 47 | 54 | 24 |
| 24.0 Printing and reproduction | 1 | 1 | |
| 25.1 Advisory and assistance services | 100 | 97 | 58 |
| 25.2 Other services from non-Federal sources | 1,147 | 1,522 | 435 |
| 25.3 Other goods and services from Federal sources | 1,158 | 1,544 | 438 |
| 25.4 Operation and maintenance of facilities | 103 | 146 | 40 |
| 25.6 Medical care | 2 | 2 | |
| 25.7 Operation and maintenance of equipment | 24 | 17 | 18 |
| 25.8 Subsistence and support of persons | 6 | 8 | 2 |
| 26.0 Supplies and materials | 97 | 106 | 52 |
| 31.0 Equipment | 123 | 124 | 48 |
| 32.0 Land and structures | 61 | 83 | 46 |
| 41.0 Grants, subsidies, and contributions | 9,163 | 12,473 | 3,373 |
| 99.0 Direct obligations | 13,930 | 17,862 | 5,652 |
| 99.5 Adjustment for rounding | | 1 | 1 |
| 99.9 Total new obligations, unexpired accounts | 13,930 | 17,863 | 5,653 |

Employment Summary

| Identification code 070-0702-0-1-453 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | 9,670 | 6,563 | 7,472 |

FLOOD HAZARD MAPPING AND RISK ANALYSIS PROGRAM

Program and Financing (in millions of dollars)

| Identification code 070-0500-0-1-453 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Flood Hazard Mapping and Risk Analysis | | 11 | |
| 0900 Total new obligations, unexpired accounts (object class 25.2) | | 11 | |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 8 | 11 | |
| 1021 Recoveries of prior year unpaid obligations | 3 | | |
| 1050 Unobligated balance (total) | 11 | 11 | |
| 1930 Total budgetary resources available | 11 | 11 | |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 11 | | |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 83 | 38 | 2 |
| 3010 New obligations, unexpired accounts | | 11 | |
| 3020 Outlays (gross) | -42 | -47 | |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -3 | | |
| 3050 Unpaid obligations, end of year | 38 | 2 | 2 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 83 | 38 | 2 |
| 3200 Obligated balance, end of year | 38 | 2 | 2 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| Outlays, gross: | | | |
| 4011 Outlays from discretionary balances | 42 | 47 | |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | 42 | 47 | |

NATIONAL FLOOD INSURANCE FUND

For activities under the National Flood Insurance Act of 1968 (42 U.S.C. 4001 et seq.), the Flood Disaster Protection Act of 1973 (42 U.S.C. 4001 et seq.), the Biggert-Waters Flood Insurance Reform Act of 2012 (Public Law 112-141, 126 Stat. 916), and the Homeowner Flood Insurance Affordability Act of 2014 (Public Law 113-89; 128 Stat. 1020), **[\$206,782,000]** \$204,412,000, to remain available until September 30, **[2021]** 2022, which shall be derived from offsetting amounts collected under section 1308(d) of the National Flood Insurance Act of 1968 (42 U.S.C. 4015(d)); of which **[\$14,005,000]** \$13,906,000 shall be available for mission support associated with flood management; and of which **[\$192,777,000]** \$190,506,000 shall be available for flood plain management and flood mapping: *Provided further*, That in fiscal year **[2020]** 2021, no funds shall be available from the National Flood Insurance Fund under section 1310 of the National Flood Insurance Act of 1968 (42 U.S.C. 4017) in excess of—

- (1) **[\$192,439,000]** \$181,021,000 for operating expenses and salaries and expenses associated with flood insurance operations;
- (2) **[\$1,151,000,000]** \$1,164,000,000 for commissions and taxes of agents;
- (3) such sums as are necessary for interest on Treasury borrowings; and
- (4) \$175,000,000, which shall remain available until expended, for flood mitigation actions and for flood mitigation assistance under section 1366 of the National Flood Insurance Act of 1968 (42 U.S.C. 4104c), notwithstanding sections 1366(e) and 1310(a)(7) of such Act (42 U.S.C. 4104c(e), 4017):

Provided further, That the amounts collected under section 102 of the Flood Disaster Protection Act of 1973 (42 U.S.C. 4012a) and section 1366(e) of the National Flood Insurance Act of 1968 (42 U.S.C. 4104c(e)), shall be deposited in the National

Flood Insurance Fund to supplement other amounts specified as available for section 1366 of the National Flood Insurance Act of 1968, notwithstanding section 102(f)(8), section 1366(e) of the National Flood Insurance Act of 1968, and paragraphs (1) through (3) of section 1367(b) of such Act (42 U.S.C. 4012a(f)(8), 4104c(e), 4104d(b)(1)-(3)): *Provided further*, That total administrative costs shall not exceed 4 percent of the total appropriation: *Provided further*, That up to \$5,000,000 is available to carry out section 24 of the Homeowner Flood Insurance Affordability Act of 2014 (42 U.S.C. 4033). (*Department of Homeland Security Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

| Identification code 070-4236-0-3-453 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0801 NFIP Mandatory | 5,193 | 5,969 | 5,039 |
| 0802 Mission Support (Discretionary) | 18 | 16 | 16 |
| 0803 Floodplain Management and Flood Mapping (Discretionary) | 153 | 216 | 216 |
| 0900 Total new obligations, unexpired accounts | 5,364 | 6,201 | 5,271 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 5,080 | 3,361 | 1,164 |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 | 92 | 126 | |
| 1021 Recoveries of prior year unpaid obligations | 48 | | |
| 1050 Unobligated balance (total) | 5,128 | 3,361 | 1,164 |
| Budget authority: | | | |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 202 | 203 | 204 |
| Spending authority from offsetting collections, mandatory: | | | |
| 1800 Offsetting collections | 3,386 | 3,798 | 3,904 |
| 1802 Offsetting collections (previously unavailable) | 102 | 93 | 90 |
| 1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced | -93 | -90 | |
| 1850 Spending auth from offsetting collections, mand (total) | 3,395 | 3,801 | 3,994 |
| 1900 Budget authority (total) | 3,597 | 4,004 | 4,198 |
| 1930 Total budgetary resources available | 8,725 | 7,365 | 5,362 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 3,361 | 1,164 | 91 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 833 | 2,065 | 3,612 |
| 3010 New obligations, unexpired accounts | 5,364 | 6,201 | 5,271 |
| 3020 Outlays (gross) | -4,084 | -4,654 | -4,563 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -48 | | |
| 3050 Unpaid obligations, end of year | 2,065 | 3,612 | 4,320 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 833 | 2,065 | 3,612 |
| 3200 Obligated balance, end of year | 2,065 | 3,612 | 4,320 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 202 | 203 | 204 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 109 | 122 | 122 |
| 4011 Outlays from discretionary balances | 131 | 95 | 81 |
| 4020 Outlays, gross (total) | 240 | 217 | 203 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4033 Non-Federal sources | -202 | -203 | -204 |
| Mandatory: | | | |
| 4090 Budget authority, gross | 3,395 | 3,801 | 3,994 |
| Outlays, gross: | | | |
| 4100 Outlays from new mandatory authority | 2,381 | 3,059 | 3,213 |
| 4101 Outlays from mandatory balances | 1,463 | 1,378 | 1,147 |
| 4110 Outlays, gross (total) | 3,844 | 4,437 | 4,360 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4123 Non-Federal sources | -3,386 | -3,798 | -3,904 |
| 4180 Budget authority, net (total) | 9 | 3 | 90 |
| 4190 Outlays, net (total) | 496 | 653 | 455 |
| Memorandum (non-add) entries: | | | |
| 5090 Unexpired unavailable balance, SOY: Offsetting collections | 102 | 93 | 90 |
| 5092 Unexpired unavailable balance, EOY: Offsetting collections | 93 | 90 | |

NATIONAL FLOOD INSURANCE FUND—Continued
Summary of Budget Authority and Outlays (in millions of dollars)

| | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Enacted/requested: | | | |
| Budget Authority | 9 | 3 | 90 |
| Outlays | 496 | 653 | 455 |
| Legislative proposal, subject to PAYGO: | | | |
| Outlays | | | 5 |
| Total: | | | |
| Budget Authority | 9 | 3 | 90 |
| Outlays | 496 | 653 | 460 |

The Federal Government provides flood insurance through the National Flood Insurance Program (NFIP), which is administered by the Federal Emergency Management Agency (FEMA). Flood insurance is available to homeowners and businesses in communities that have adopted and enforce appropriate floodplain management measures. Coverage is limited to buildings and their contents. At the end of 2019, the program had approximately 5.1 million policies in more than 22,200 communities with approximately \$1.31 trillion of insurance in force.

The program uses a multi-pronged strategy for reducing future flood damage. The NFIP offers flood mitigation assistance grants to assist flood victims to rebuild to current building codes, including higher base flood elevations, thereby reducing future flood damage. In addition, flood mitigation assistance grants targeted toward repetitive and severe repetitive loss properties not only help owners of high-risk property, but also reduce the disproportionate drain on the National Flood Insurance Fund these properties cause, through acquisition, relocation, or elevation. FEMA works to ensure that the flood mitigation grant program is closely integrated with other FEMA mitigation grant programs, resulting in better coordination and communication with State and local governments. Further, through the Community Rating System, FEMA adjusts premium rates to encourage community and State mitigation activities beyond those required by the NFIP. A 2014 study shows these efforts, in addition to the minimum NFIP requirements for floodplain management, can save over \$1.9 billion annually in avoided flood claims.

In 2021, FEMA continues to put the NFIP on a more sustainable financial footing moving forward by signaling to homeowners the true cost associated with living in a floodplain through premium increases for policyholders paying premiums that are less than full risk.

Object Classification (in millions of dollars)

| Identification code 070-4236-0-3-453 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Reimbursable obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 45 | 52 | 53 |
| 11.3 Other than full-time permanent | | 2 | 2 |
| 11.5 Other personnel compensation | 1 | 1 | 1 |
| 11.9 Total personnel compensation | 46 | 55 | 56 |
| 12.1 Civilian personnel benefits | 21 | 22 | 23 |
| 21.0 Travel and transportation of persons | 3 | 10 | 10 |
| 23.1 Rental payments to GSA | 3 | 4 | 4 |
| 23.3 Communications, utilities, and miscellaneous charges | 2 | 3 | 3 |
| 25.1 Advisory and assistance services | 3 | 1 | 1 |
| 25.2 Other services from non-Federal sources | 1,277 | 1,440 | 1,459 |
| 25.4 Operation and maintenance of facilities | 2 | 2 | 2 |
| 41.0 Grants, subsidies, and contributions | 88 | 168 | 168 |
| 42.0 Insurance claims and indemnities | 3,504 | 4,054 | 3,105 |
| 43.0 Interest and dividends | 415 | 442 | 440 |
| 99.0 Reimbursable obligations | 5,364 | 6,201 | 5,271 |
| 99.9 Total new obligations, unexpired accounts | 5,364 | 6,201 | 5,271 |

Employment Summary

| Identification code 070-4236-0-3-453 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment | 427 | 513 | 517 |

NATIONAL FLOOD INSURANCE FUND
(Legislative proposal, not subject to PAYGO)

The purpose of this 2021 Budget proposal is to highlight the need to provide affordability assistance to certain homeowners as FEMA puts the National Flood Insurance Program (NFIP) on a more sustainable financial footing moving forward by signaling to homeowners the true cost associated with the risk of living in a floodplain. This would be accomplished through a targeted means-tested affordability program to offer premium assistance based on income or ability to pay, rather than location or date of construction. While this proposal is expected to increase policyholders and make flood insurance rate increases more affordable for those who qualify for this program, it will result in marginally lower revenue and higher expenses for the NFIP. This component of the legislative proposal illustrates the impact this proposal has on interest-related activities.

NATIONAL FLOOD INSURANCE FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 070-4236-4-3-453 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0801 NFIP Mandatory | | | 5 |
| 0900 Total new obligations, unexpired accounts (object class 25.2) | | | 5 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | | | -5 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3010 New obligations, unexpired accounts | | | 5 |
| 3020 Outlays (gross) | | | -5 |
| Budget authority and outlays, net: | | | |
| Mandatory: | | | |
| Outlays, gross: | | | |
| 4101 Outlays from mandatory balances | | | 5 |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | | | 5 |

The purpose of this 2021 Budget proposal is to highlight the need to provide affordability assistance to certain homeowners as FEMA puts the National Flood Insurance Program (NFIP) on a more sustainable financial footing moving forward by signaling to homeowners the true cost associated with the risk of living in a floodplain. This would be accomplished through a targeted means-tested affordability program to offer premium assistance based on income or ability to pay, rather than location or date of construction. In its current structure, the NFIP makes rates "reasonable" by offering discounts and cross-subsidies primarily based on a building's age, map changes at a building's location, or by considering mitigation activities undertaken by the property owner or community. This legislative proposal would establish a targeted affordability program for NFIP policyholders. Such a program would shield low income policyholders who currently receive discounts or subsidies from substantive rate increases, while ensuring those able to pay, despite the age or location of their property, do so. Low income policyholders would still be subject to standard annual adjustments to all rates, accounting for inflation and actuarial practices, however.

Employment Summary

| Identification code 070-4236-4-3-453 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment | | | 5 |

NATIONAL FLOOD INSURANCE RESERVE FUND

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 070-5701-0-2-453 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| 0100 Balance, start of year | 1 | | |
| Receipts: | | | |
| Current law: | | | |
| 1130 Fees, National Flood Insurance Reserve Fund | 865 | 962 | 1,036 |
| 1140 Earnings on Investments, National Flood Insurance Reserve Fund | 17 | 21 | 32 |
| 1199 Total current law receipts | 882 | 983 | 1,068 |
| 1999 Total receipts | 882 | 983 | 1,068 |
| 2000 Total: Balances and receipts | 883 | 983 | 1,068 |
| Appropriations: | | | |
| Current law: | | | |
| 2101 National Flood Insurance Reserve Fund | -883 | -983 | -1,068 |
| 5099 Balance, end of year | | | |

Program and Financing (in millions of dollars)

| Identification code 070-5701-0-2-453 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 NFIP Obligations from Reserve Fund | 293 | 371 | 1,373 |
| 0900 Total new obligations, unexpired accounts (object class 42.0) | 293 | 371 | 1,373 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 709 | 1,299 | 1,911 |
| Budget authority: | | | |
| Appropriations, mandatory: | | | |
| 1201 Appropriation (special or trust fund) | 883 | 983 | 1,068 |
| 1930 Total budgetary resources available | 1,592 | 2,282 | 2,979 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 1,299 | 1,911 | 1,606 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 175 | 202 | 262 |
| 3010 New obligations, unexpired accounts | 293 | 371 | 1,373 |
| 3020 Outlays (gross) | -266 | -311 | -1,365 |
| 3050 Unpaid obligations, end of year | 202 | 262 | 270 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 175 | 202 | 262 |
| 3200 Obligated balance, end of year | 202 | 262 | 270 |
| Budget authority and outlays, net: | | | |
| Mandatory: | | | |
| 4090 Budget authority, gross | 883 | 983 | 1,068 |
| Outlays, gross: | | | |
| 4100 Outlays from new mandatory authority | 205 | 211 | 32 |
| 4101 Outlays from mandatory balances | 61 | 100 | 1,333 |
| 4110 Outlays, gross (total) | 266 | 311 | 1,365 |
| 4180 Budget authority, net (total) | 883 | 983 | 1,068 |
| 4190 Outlays, net (total) | 266 | 311 | 1,365 |
| Memorandum (non-add) entries: | | | |
| 5000 Total investments, SOY: Federal securities: Par value | 732 | 1,525 | 1,911 |
| 5001 Total investments, EOY: Federal securities: Par value | 1,525 | 1,911 | 1,606 |

As directed by the Biggert-Waters Flood Insurance Reform Act of 2012, FEMA has established the National Flood Insurance Reserve Fund for the National Flood Insurance Program to meet expected future obligations of the program, to include payment of claims, claims adjustment expenses, the purchase of reinsurance, and the repayment of outstanding debt owed to the U.S. Treasury, including interest.

NATIONAL FLOOD INSURANCE RESERVE FUND

(Legislative proposal, not subject to PAYGO)

The purpose of this 2021 Budget proposal is to highlight the need to provide affordability assistance to certain homeowners as FEMA puts the National Flood Insurance Program (NFIP) on a more sustainable financial footing moving forward by signaling to homeowners the true cost associated

with the risk of living in a floodplain. This would be accomplished through a targeted means-tested affordability program to offer premium assistance based on income or ability to pay, rather than location or date of construction. While this proposal is expected to increase policyholders and make flood insurance rate increases more affordable for those who qualify for this program, it will result in marginally lower revenue and higher expenses for the NFIP. This component of the legislative proposal illustrates the impact this proposal has on interest-related activities.

NATIONAL FLOOD INSURANCE RESERVE FUND

(Legislative proposal, subject to PAYGO)

The purpose of this 2021 Budget proposal is to highlight the need to provide affordability assistance to certain homeowners as FEMA puts the National Flood Insurance Program (NFIP) on a more sustainable financial footing moving forward by signaling to homeowners the true cost associated with the risk of living in a floodplain. This would be accomplished through a targeted means-tested affordability program to offer premium assistance based on income or ability to pay, rather than location or date of construction. In its current structure, the NFIP makes rates "reasonable" by offering discounts and cross-subsidies primarily based on a building's age, map changes at a building's location, or by considering mitigation activities undertaken by the property owner or community. This legislative proposal would establish a targeted affordability program for NFIP policyholders. Such a program would shield low income policyholders who currently receive discounts or subsidies from substantive rate increases, while ensuring those able to pay, despite the age or location of their property, do so. Low income policyholders would still be subject to standard annual adjustments to all rates, accounting for inflation and actuarial practices, however. This policy proposal will result in lower premiums for the National Flood Insurance Fund and lower assessment charges for the National Flood Insurance Reserve Fund. This will decrease outlays since less resources are available in the fund as a result of this proposal.

NATIONAL PRE-DISASTER MITIGATION FUND

Program and Financing (in millions of dollars)

| Identification code 070-0716-0-1-453 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Pre-disaster mitigation | 31 | 64 | |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 86 | 64 | |
| 1010 Unobligated balance transfer to other accts [070-0540] | -3 | | |
| 1021 Recoveries of prior year unpaid obligations | 12 | | |
| 1050 Unobligated balance (total) | 95 | 64 | |
| 1930 Total budgetary resources available | 95 | 64 | |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 64 | | |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 127 | 111 | 134 |
| 3010 New obligations, unexpired accounts | 31 | 64 | |
| 3020 Outlays (gross) | -35 | -41 | -41 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -12 | | |
| 3050 Unpaid obligations, end of year | 111 | 134 | 93 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 127 | 111 | 134 |
| 3200 Obligated balance, end of year | 111 | 134 | 93 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| Outlays, gross: | | | |
| 4011 Outlays from discretionary balances | 35 | 41 | 41 |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | 35 | 41 | 41 |

NATIONAL PRE-DISASTER MITIGATION FUND—Continued

Object Classification (in millions of dollars)

| Identification code 070-0716-0-1-453 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| 25.2 Other services from non-Federal sources | 9 | | |
| 41.0 Grants, subsidies, and contributions | 22 | 64 | |
| 99.0 Direct obligations | 31 | 64 | |
| 99.9 Total new obligations, unexpired accounts | 31 | 64 | |

EMERGENCY FOOD AND SHELTER

Program and Financing (in millions of dollars)

| Identification code 070-0707-0-1-605 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 16 | 3 | |
| 3020 Outlays (gross) | -13 | -3 | |
| 3050 Unpaid obligations, end of year | 3 | | |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 16 | 3 | |
| 3200 Obligated balance, end of year | 3 | | |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| Outlays, gross: | | | |
| 4011 Outlays from discretionary balances | 13 | 3 | |
| 4180 Budget authority, net (total) | | | |
| 4190 Outlays, net (total) | 13 | 3 | |

DISASTER ASSISTANCE DIRECT LOAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

| Identification code 070-0703-0-1-453 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Credit program obligations: | | | |
| 0701 Direct loan subsidy | 37 | 116 | 35 |
| 0705 Reestimates of direct loan subsidy | | 9 | |
| 0706 Interest on reestimates of direct loan subsidy | | 1 | |
| 0709 Administrative expenses | 5 | 6 | |
| 0900 Total new obligations, unexpired accounts | 42 | 132 | 35 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 359 | 319 | 243 |
| 1011 Unobligated balance transfer from other acct [070-0702] | | 46 | |
| 1050 Unobligated balance (total) | 359 | 365 | 243 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1121 Appropriations transferred from other acct [070-0702] | 2 | | |
| Appropriations, mandatory: | | | |
| 1200 Appropriation | | 10 | |
| 1900 Budget authority (total) | 2 | 10 | |
| 1930 Total budgetary resources available | 361 | 375 | 243 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 319 | 243 | 208 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 297 | 179 | 94 |
| 3010 New obligations, unexpired accounts | 42 | 132 | 35 |
| 3020 Outlays (gross) | -160 | -217 | -62 |
| 3050 Unpaid obligations, end of year | 179 | 94 | 67 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 297 | 179 | 94 |
| 3200 Obligated balance, end of year | 179 | 94 | 67 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 2 | | |

| | | | |
|--|-----|-----|----|
| Outlays, gross: | | | |
| 4011 Outlays from discretionary balances | 160 | 217 | 62 |
| Mandatory: | | | |
| 4090 Budget authority, gross | | 10 | |
| 4180 Budget authority, net (total) | 2 | 10 | |
| 4190 Outlays, net (total) | 160 | 217 | 62 |

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 070-0703-0-1-453 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct loan levels supportable by subsidy budget authority: | | | |
| 115002 Community Disaster Loan Program | 18 | 155 | 47 |
| 115003 Special Community Disaster Loans | 21 | | |
| 115999 Total direct loan levels | 39 | 155 | 47 |
| Direct loan subsidy (in percent): | | | |
| 132002 Community Disaster Loan Program | 90.71 | 74.61 | 76.25 |
| 132003 Special Community Disaster Loans | 98.91 | | |
| 132999 Weighted average subsidy rate | 95.13 | 74.61 | 76.25 |
| Direct loan subsidy budget authority: | | | |
| 133002 Community Disaster Loan Program | 16 | 116 | 36 |
| 133003 Special Community Disaster Loans | 21 | | |
| 133999 Total subsidy budget authority | 37 | 116 | 36 |
| Direct loan subsidy outlays: | | | |
| 134002 Community Disaster Loan Program | 9 | 104 | 61 |
| 134003 Special Community Disaster Loans | 150 | 97 | 1 |
| 134999 Total subsidy outlays | 159 | 201 | 62 |
| Direct loan reestimates: | | | |
| 135002 Community Disaster Loan Program | -52 | 9 | |
| 135003 Special Community Disaster Loans | -11 | -11 | |
| 135999 Total direct loan reestimates | -63 | -2 | |
| Administrative expense data: | | | |
| 3510 Budget authority | 5 | 6 | |
| 3580 Outlays from balances | 1 | 6 | |

Disaster assistance loans authorized by the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.) includes two programs: 1) section 319 authorizes for direct loans to States for the non-Federal portion of cost-shared Stafford Act programs; and 2) section 417 authorizes direct community disaster loans to local governments that incurred substantial loss of tax and other revenues as a result of a major disaster and require financial assistance in order to perform governmental functions.

Object Classification (in millions of dollars)

| Identification code 070-0703-0-1-453 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| 25.2 Other services from non-Federal sources | 5 | 6 | |
| 33.0 Investments and loans | 37 | 126 | 35 |
| 99.0 Direct obligations | 42 | 132 | 35 |
| 99.9 Total new obligations, unexpired accounts | 42 | 132 | 35 |

DISASTER ASSISTANCE DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

| Identification code 070-4234-0-3-453 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Refund activity per P.L. 113-6 | 1 | | |
| Credit program obligations: | | | |
| 0710 Direct loan obligations | 39 | 155 | 47 |
| 0713 Payment of interest to Treasury | 2 | | |
| 0742 Downward reestimates paid to receipt accounts | 57 | 11 | |
| 0743 Interest on downward reestimates | 6 | 1 | |
| 0791 Direct program activities, subtotal | 104 | 167 | 47 |
| 0900 Total new obligations, unexpired accounts | 105 | 167 | 47 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 7 | | |

| | | | | |
|------|--|------|-----|-----|
| 1023 | Unobligated balances applied to repay debt | -6 | | |
| 1050 | Unobligated balance (total) | 1 | | |
| | Financing authority: | | | |
| | Borrowing authority, mandatory: | | | |
| 1400 | Borrowing authority | 67 | 51 | 11 |
| | Spending authority from offsetting collections, mandatory: | | | |
| 1800 | Collected | 165 | 218 | 71 |
| 1801 | Change in uncollected payments, Federal sources | -122 | -85 | -26 |
| 1825 | Spending authority from offsetting collections applied to repay debt | -6 | -17 | -9 |
| 1850 | Spending auth from offsetting collections, mand (total) | 37 | 116 | 36 |
| 1900 | Budget authority (total) | 104 | 167 | 47 |
| 1930 | Total budgetary resources available | 105 | 167 | 47 |

Change in obligated balance:

Unpaid obligations:

| | | | | |
|------|--|------|------|-----|
| 3000 | Unpaid obligations, brought forward, Oct 1 | 295 | 173 | 100 |
| 3010 | New obligations, unexpired accounts | 105 | 167 | 47 |
| 3020 | Outlays (gross) | -227 | -240 | -82 |
| 3050 | Unpaid obligations, end of year | 173 | 100 | 65 |

Uncollected payments:

| | | | | |
|------|--|------|------|-----|
| 3060 | Uncollected pymts, Fed sources, brought forward, Oct 1 | -289 | -167 | -82 |
| 3070 | Change in uncollected pymts, Fed sources, unexpired | 122 | 85 | 26 |
| 3090 | Uncollected pymts, Fed sources, end of year | -167 | -82 | -56 |

Memorandum (non-add) entries:

| | | | | |
|------|--|---|----|----|
| 3100 | Obligated balance, start of year | 6 | 6 | 18 |
| 3200 | Obligated balance, end of year | 6 | 18 | 9 |

Financing authority and disbursements, net:

Mandatory:

| | | | | |
|------|---|------|------|-----|
| 4090 | Budget authority, gross | 104 | 167 | 47 |
| | Financing disbursements: | | | |
| 4110 | Outlays, gross (total) | 227 | 240 | 82 |
| | Offsets against gross financing authority and disbursements: | | | |
| | Offsetting collections (collected) from: | | | |
| 4120 | Federal sources | -159 | -211 | -62 |
| 4123 | Non-Federal sources- Principal | -5 | -7 | -9 |
| 4123 | Non-Federal sources- Interest | -1 | | |
| 4130 | Offsets against gross budget authority and outlays (total) | -165 | -218 | -71 |
| | Additional offsets against financing authority only (total): | | | |
| 4140 | Change in uncollected pymts, Fed sources, unexpired | 122 | 85 | 26 |
| 4160 | Budget authority, net (mandatory) | 61 | 34 | 2 |
| 4170 | Outlays, net (mandatory) | 62 | 22 | 11 |
| 4180 | Budget authority, net (total) | 61 | 34 | 2 |
| 4190 | Outlays, net (total) | 62 | 22 | 11 |

Status of Direct Loans (in millions of dollars)

| Identification code 070-4234-0-3-453 | 2019 actual | 2020 est. | 2021 est. | |
|---|--|-----------|-----------|-----|
| Position with respect to appropriations act limitation on obligations: | | | | |
| 1121 | Limitation available from carry-forward | 56 | 172 | 64 |
| 1143 | Unobligated limitation carried forward (P.L. xx) (-) | -17 | -17 | -17 |
| 1150 | Total direct loan obligations | 39 | 155 | 47 |
| Cumulative balance of direct loans outstanding: | | | | |
| 1210 | Outstanding, start of year | 410 | 562 | 772 |
| 1231 | Disbursements: Direct loan disbursements | 161 | 228 | 82 |
| 1251 | Repayments: Repayments and prepayments | -5 | -7 | -9 |
| 1264 | Other adjustments, net (+ or -) | -4 | -11 | -1 |
| 1290 | Outstanding, end of year | 562 | 772 | 844 |

Balance Sheet (in millions of dollars)

| Identification code 070-4234-0-3-453 | 2018 actual | 2019 actual | |
|--------------------------------------|---|-------------|------|
| ASSETS: | | | |
| Federal assets: | | | |
| 1101 | Fund balances with Treasury | 7 | |
| | Investments in U.S. securities: | | |
| 1106 | Receivables, net | | 10 |
| | Net value of assets related to post-1991 direct loans receivable: | | |
| 1401 | Direct loans receivable, gross | 410 | 562 |
| 1402 | Interest receivable | 9 | 13 |
| 1405 | Allowance for subsidy cost (-) | -347 | -502 |
| 1499 | Net present value of assets related to direct loans | 72 | 73 |
| 1999 | Total assets | 79 | 83 |

| LIABILITIES: | | | |
|----------------------|--|----|----|
| Federal liabilities: | | | |
| 2103 | Debt | 16 | 71 |
| 2105 | Other | 63 | 12 |
| 2207 | Non-Federal liabilities: Other | | |
| 2999 | Total liabilities | 79 | 83 |
| NET POSITION: | | | |
| 3300 | Cumulative results of operations | | |
| 4999 | Total liabilities and net position | 79 | 83 |

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of the Federal Emergency Management Agency for procurement, construction, and improvements, **[\$133,363,000]** \$86,503,000, of which **[\$74,167,000]** \$55,887,000 shall remain available until September 30, **[2022]** 2023, and of which **[\$59,196,000]** \$30,616,000 shall remain available until September 30, **[2024]** 2025. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0414-0-1-999 | 2019 actual | 2020 est. | 2021 est. | |
|---|---|-----------|-----------|----|
| Obligations by program activity: | | | | |
| 0001 | CAS - Operational Communications/Information Technology | 15 | 16 | 12 |
| 0002 | CAS - Construction and Facility Improvements | 64 | 62 | 35 |
| 0003 | CAS - Mission Support Assets and Infrastructure | 43 | 59 | 43 |
| 0900 | Total new obligations, unexpired accounts | 122 | 137 | 90 |

Budgetary resources:

Unobligated balance:

| | | | | |
|------|--|----|----|----|
| 1000 | Unobligated balance brought forward, Oct 1 | 13 | 25 | 21 |
|------|--|----|----|----|

Budget authority:

Appropriations, discretionary:

| | | | | |
|------|---|-----|-----|-----|
| 1100 | CAS - Operational Communications/Information Technology | 12 | 16 | 12 |
| 1100 | CAS - Construction and Facility Improvements | 72 | 59 | 31 |
| 1100 | CAS - Mission Support Assets and Infrastructure | 50 | 58 | 44 |
| 1160 | Appropriation, discretionary (total) | 134 | 133 | 87 |
| 1930 | Total budgetary resources available | 147 | 158 | 108 |

Memorandum (non-add) entries:

| | | | | |
|------|--|----|----|----|
| 1941 | Unexpired unobligated balance, end of year | 25 | 21 | 18 |
|------|--|----|----|----|

Change in obligated balance:

Unpaid obligations:

| | | | | |
|------|--|-----|-----|------|
| 3000 | Unpaid obligations, brought forward, Oct 1 | 86 | 143 | 187 |
| 3010 | New obligations, unexpired accounts | 122 | 137 | 90 |
| 3011 | Obligations ("upward adjustments"), expired accounts | 1 | | |
| 3020 | Outlays (gross) | -65 | -93 | -129 |
| 3041 | Recoveries of prior year unpaid obligations, expired | -1 | | |
| 3050 | Unpaid obligations, end of year | 143 | 187 | 148 |

Memorandum (non-add) entries:

| | | | | |
|------|--|-----|-----|-----|
| 3100 | Obligated balance, start of year | 86 | 143 | 187 |
| 3200 | Obligated balance, end of year | 143 | 187 | 148 |

Budget authority and outlays, net:

Discretionary:

| | | | | |
|------|--|-----|-----|-----|
| 4000 | Budget authority, gross | 134 | 133 | 87 |
| | Outlays, gross: | | | |
| 4010 | Outlays from new discretionary authority | 10 | 16 | 12 |
| 4011 | Outlays from discretionary balances | 55 | 77 | 117 |
| 4020 | Outlays, gross (total) | 65 | 93 | 129 |
| 4180 | Budget authority, net (total) | 134 | 133 | 87 |
| 4190 | Outlays, net (total) | 65 | 93 | 129 |

Procurement, Construction, and Improvements (PC&I) provides funds necessary for the Federal Emergency Management Agency's (FEMA) major investments in information technology, communication, facilities, and infrastructure that support operations essential to FEMA's mission. The PC&I appropriation consists of three programs, projects, and activities: *Operational Communications/Information Technology.*—The 2021 request includes funding for FEMA's investments in communications infrastructure, IT systems, and equipment that are directly used by field offices and personnel and have multi-mission frontline applications.

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued

Construction and Facility Improvements.—The 2021 request includes funding for major construction and improvements for FEMA's land and facility investments above the real property threshold set for minor construction in Operations and Support and Federal Assistance appropriations.

Mission Support Assets and Infrastructure.—The 2021 request includes funding for the design, implementation, and integration of new solutions for major FEMA systems and data management that support the Agency's mission.

Object Classification (in millions of dollars)

| Identification code 070-0414-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| 25.2 Other services from non-Federal sources | 62 | 60 | 43 |
| 31.0 Equipment | 2 | 15 | 12 |
| 32.0 Land and structures | 58 | 62 | 35 |
| 99.0 Direct obligations | 122 | 137 | 90 |
| 99.9 Total new obligations, unexpired accounts | 122 | 137 | 90 |

ADMINISTRATIVE PROVISIONS

SEC. 301. Notwithstanding section 2008(a)(12) of the Homeland Security Act of 2002 (6 U.S.C. 609(a)(12)) or any other provision of law, not more than 5 percent of the amount of a grant made available in paragraphs (1) through (4) under "Federal Emergency Management Agency-Federal Assistance", may be used by the grantee for expenses directly related to administration of the grant.

SEC. 302. Applications for grants under the heading "Federal Emergency Management Agency-Federal Assistance", for paragraphs (1) through (4), shall be made available to eligible applicants not later than 60 days after the date of enactment of this Act, eligible applicants shall submit applications not later than 80 days after the grant announcement, and the Administrator of the Federal Emergency Management Agency shall act within 65 days after the receipt of an application.

SEC. 303. Under the heading "Federal Emergency Management Agency-Federal Assistance", for grants under paragraphs (1) through (4) [(8), and (9)], the Administrator of the Federal Emergency Management Agency shall brief the Committees on Appropriations of the Senate and the House of Representatives 5 full business days in advance of announcing publicly the intention of making an award.

SEC. 304. Under the heading "Federal Emergency Management Agency-Federal Assistance", for grants under paragraphs (1) and (2), the installation of communications towers is not considered construction of a building or other physical facility.

SEC. 305. The reporting requirements in paragraphs (1) and (2) under the heading "Federal Emergency Management Agency-Disaster Relief Fund" in the Department of Homeland Security Appropriations Act, 2015 (Public Law 114-4) shall be applied in fiscal year [2020] 2021 with respect to budget year [2021] 2022 and current fiscal year [2020] 2021, respectively—

(1) in paragraph (1) by substituting "fiscal year [2021] 2022" for "fiscal year 2016"; and

(2) in paragraph (2) by inserting "business" after "fifth".

SEC. 306. (a) In making grants under the heading "Federal Emergency Management Agency-Federal Assistance", for Staffing for Adequate Fire and Emergency Response grants, the Secretary may grant waivers from the requirements in subsections (a)(1)(A), (a)(1)(B), (a)(1)(E), (c)(1), (c)(2), and (c)(4) of section 34 of the Federal Fire Prevention and Control Act of 1974 (15 U.S.C. 2229a).

(b) For grants made under the heading "Federal Emergency Management Agency-Firefighter Assistance Grants" in division F of Public Law 114-113, the Secretary may extend the period of performance described in subsection (a)(1)(B) of such section 34 for up to one additional year.

(c) For purposes of subsection (b)—

(1) subsections (a)(1)(E)(iii) and (c)(4)(C) of such section 34 shall also apply to such additional year of such period of performance; and

(2) the authority provided shall only apply to any such grant award that remains open on the date of enactment of this Act.

SEC. 307. The aggregate charges assessed during fiscal year [2020] 2021, as authorized in title III of the Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1999 (42 U.S.C. 5196e), shall not be less than 100 percent of the amounts anticipated by the Department of Homeland Security to be necessary for its Radiological Emergency Preparedness Program for the next fiscal year: *Provided*, That the methodology for assessment

and collection of fees shall be fair and equitable and shall reflect costs of providing such services, including administrative costs of collecting such fees: *Provided further*, That such fees shall be deposited in a Radiological Emergency Preparedness Program account as offsetting collections and will become available for authorized purposes on October 1, [2020] 2021, and remain available until expended.

SEC. 308. For grants awarded using amounts made available under paragraphs (1), (2), and (3) under the heading "Federal Emergency Management Agency - Federal Assistance", notwithstanding sections 603-605, 607-609, 1135, and 1182 of title 6, United States Code, or any other applicable provision of law, the Federal share of the cost of an activity carried out by a non-Federal entity using such funds shall not exceed 75 percent: *Provided*, That this section does not apply to funds provided by this Act for organizations (as described under section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such code) determined by the Secretary of Homeland Security to be at high risk of a terrorist attack under section 2003 of the Homeland Security Act of 2002 (6 U.S.C. 604): *Provided further*, That this section does not apply to grants awarded pursuant to section 2005 of the Homeland Security Act of 2002 (6 U.S.C. 606): *Provided further*, That this section does not apply to grants awarded pursuant to section 1513 of the Implementing Recommendations of the 9/11 Commission Act of 2007 (6 U.S.C. 1163).

(INCLUDING TRANSFER OF FUNDS)

SEC. 309. Up to one percent of the appropriations made available under paragraphs (1) and (2) under the heading "Federal Emergency Management Agency - Federal Assistance" may be transferred to the appropriation made available under the heading "Federal Emergency Management Agency - Operations and Support" for the purpose of conducting evaluations on the effectiveness of grants awarded under the State Homeland Security Grant Program and Urban Area Security Initiative: *Provided*, That any funds transferred under this section shall be in addition to any other amounts otherwise made available for the same purpose: *Provided further*, That the transfer authority provided herein shall be in addition to any other transfer authority provided in this Act. (Department of Homeland Security Appropriations Act, 2020.)

CITIZENSHIP AND IMMIGRATION SERVICES

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of U.S. Citizenship and Immigration Services for operations and support of the E-Verify Program, [\$122,395,000] \$118,676,000. (Department of Homeland Security Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 070-0300-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 0100 Balance, start of year | 288 | | |
| 0198 Adjustment for account de-merge | -288 | | |
| 0199 Balance, start of year | | | |
| 2000 Total: Balances and receipts | | | |
| 5099 Balance, end of year | | | |

Program and Financing (in millions of dollars)

| Identification code 070-0300-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0002 CAS - Employment Status Verification | 100 | 122 | 119 |
| 0799 Total direct obligations | 100 | 122 | 119 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 3 | 3 | 4 |
| 1012 Unobligated balance transfers between expired and unexpired accounts | | 2 | |
| 1021 Recoveries of prior year unpaid obligations | 1 | 1 | 1 |
| 1050 Unobligated balance (total) | 4 | 6 | 5 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 110 | 122 | 119 |
| 1131 Unobligated balance of appropriations permanently reduced | | -2 | |
| 1160 Appropriation, discretionary (total) | 110 | 120 | 119 |

| | | | | |
|-------------------------------|--|-----|-----|-----|
| 1930 | Total budgetary resources available | 114 | 126 | 124 |
| Memorandum (non-add) entries: | | | | |
| 1940 | Unobligated balance expiring | -11 | | |
| 1941 | Unexpired unobligated balance, end of year | 3 | 4 | 5 |

Change in obligated balance:

| | | | | |
|-------------------------------|--|------|------|------|
| Unpaid obligations: | | | | |
| 3000 | Unpaid obligations, brought forward, Oct 1 | 59 | 40 | 41 |
| 3010 | New obligations, unexpired accounts | 100 | 122 | 119 |
| 3020 | Outlays (gross) | -114 | -120 | -119 |
| 3040 | Recoveries of prior year unpaid obligations, unexpired | -1 | -1 | -1 |
| 3041 | Recoveries of prior year unpaid obligations, expired | -4 | | |
| 3050 | Unpaid obligations, end of year | 40 | 41 | 40 |
| Memorandum (non-add) entries: | | | | |
| 3100 | Obligated balance, start of year | 59 | 40 | 41 |
| 3200 | Obligated balance, end of year | 40 | 41 | 40 |

Budget authority and outlays, net:

| | | | | |
|---|---|-----|-----|-----|
| Discretionary: | | | | |
| 4000 | Budget authority, gross | 110 | 120 | 119 |
| Outlays, gross: | | | | |
| 4010 | Outlays from new discretionary authority | 70 | 84 | 83 |
| 4011 | Outlays from discretionary balances | 44 | 36 | 36 |
| 4020 | Outlays, gross (total) | 114 | 120 | 119 |
| Offsets against gross budget authority and outlays: | | | | |
| Offsetting collections (collected) from: | | | | |
| 4033 | Non-Federal sources | -1 | | |
| Additional offsets against gross budget authority only: | | | | |
| 4052 | Offsetting collections credited to expired accounts | 1 | | |
| 4070 | Budget authority, net (discretionary) | 110 | 120 | 119 |
| 4080 | Outlays, net (discretionary) | 113 | 120 | 119 |
| 4180 | Budget authority, net (total) | 110 | 120 | 119 |
| 4190 | Outlays, net (total) | 113 | 120 | 119 |

The mission of U.S. Citizenship and Immigration Services (USCIS) is to adjudicate and grant immigration and citizenship benefits, provide accurate and useful information to applicants and petitioners, and promote an awareness and understanding of citizenship in support of immigrant integration, while also protecting the integrity of our Nation's immigration system. USCIS approves millions of immigration benefit applications each year, ranging from work authorization and lawful permanent residency to asylum and refugee status. The Budget continues to invest in technology to improve and automate business operations, eliminate paper-based processing, improve information sharing, and enhance USCIS' ability to identify and prevent immigration benefit fraud.

The Budget assumes that USCIS will continue to be funded primarily through fees on the applications and petitions it adjudicates.

Within USCIS' appropriated funding, Operations and Support funds necessary operations, mission support, and associated management and administration costs for the E-Verify program.

Object Classification (in millions of dollars)

| | | | | |
|--------------------------------------|--|-------------|-----------|-----------|
| Identification code 070-0300-0-1-751 | | 2019 actual | 2020 est. | 2021 est. |
| Direct obligations: | | | | |
| Personnel compensation: | | | | |
| 11.1 | Full-time permanent | 28 | 36 | 37 |
| 11.5 | Other personnel compensation | 1 | 1 | 1 |
| 11.9 | Total personnel compensation | 29 | 37 | 38 |
| 12.1 | Civilian personnel benefits | 9 | 12 | 13 |
| 23.1 | Rental payments to GSA | 6 | 6 | 6 |
| 23.3 | Communications, utilities, and miscellaneous charges | 1 | | |
| 25.1 | Advisory and assistance services | 35 | 43 | 38 |
| 25.2 | Other services from non-Federal sources | | 1 | 1 |
| 25.3 | Other goods and services from Federal sources | 11 | 12 | 12 |
| 25.7 | Operation and maintenance of equipment | 8 | 10 | 10 |
| 31.0 | Equipment | | 1 | 1 |
| 99.0 | Direct obligations | 99 | 122 | 119 |
| 99.5 | Adjustment for rounding | 1 | | |
| 99.9 | Total new obligations, unexpired accounts | 100 | 122 | 119 |

Employment Summary

| | | | | |
|--------------------------------------|---|-------------|-----------|-----------|
| Identification code 070-0300-0-1-751 | | 2019 actual | 2020 est. | 2021 est. |
| 1001 | Direct civilian full-time equivalent employment | 281 | 398 | 398 |

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

Program and Financing (in millions of dollars)

| | | | | |
|--------------------------------------|--|-------------|-----------|-----------|
| Identification code 070-0407-0-1-751 | | 2019 actual | 2020 est. | 2021 est. |
|--------------------------------------|--|-------------|-----------|-----------|

Obligations by program activity:

| | | | | |
|------|---|----|--|--|
| 0001 | Citizenship and Immigration Services (Direct) | 32 | | |
|------|---|----|--|--|

Budgetary resources:

| | | | | |
|--------------------------------|--|----|----|----|
| Unobligated balance: | | | | |
| 1000 | Unobligated balance brought forward, Oct 1 | 21 | 12 | 12 |
| Budget authority: | | | | |
| Appropriations, discretionary: | | | | |
| 1100 | Appropriation | 23 | | |
| 1930 | Total budgetary resources available | 44 | 12 | 12 |
| Memorandum (non-add) entries: | | | | |
| 1941 | Unexpired unobligated balance, end of year | 12 | 12 | 12 |

Change in obligated balance:

| | | | | |
|-------------------------------|--|-----|-----|--|
| Unpaid obligations: | | | | |
| 3000 | Unpaid obligations, brought forward, Oct 1 | 1 | 22 | |
| 3010 | New obligations, unexpired accounts | 32 | | |
| 3020 | Outlays (gross) | -11 | -22 | |
| 3050 | Unpaid obligations, end of year | 22 | | |
| Memorandum (non-add) entries: | | | | |
| 3100 | Obligated balance, start of year | 1 | 22 | |
| 3200 | Obligated balance, end of year | 22 | | |

Budget authority and outlays, net:

| | | | | |
|-----------------|--|----|----|--|
| Discretionary: | | | | |
| 4000 | Budget authority, gross | 23 | | |
| Outlays, gross: | | | | |
| 4010 | Outlays from new discretionary authority | 9 | | |
| 4011 | Outlays from discretionary balances | 2 | 22 | |
| 4020 | Outlays, gross (total) | 11 | 22 | |
| 4180 | Budget authority, net (total) | 23 | | |
| 4190 | Outlays, net (total) | 11 | 22 | |

The Procurement, Construction, and Improvements appropriation provides the funds necessary for the planning, operational development, engineering, and purchases associated with the U.S. Citizenship and Immigration Service's employment eligibility verification program. U.S. Citizenship and Immigration Services does not request funds for Procurement, Construction, and Improvements in 2021 due to the program having achieved Full Operational Capability in a prior year.

Object Classification (in millions of dollars)

| | | | | |
|--------------------------------------|---|-------------|-----------|-----------|
| Identification code 070-0407-0-1-751 | | 2019 actual | 2020 est. | 2021 est. |
| Direct obligations: | | | | |
| 25.1 | Advisory and assistance services | 30 | | |
| 25.7 | Operation and maintenance of equipment | 2 | | |
| 99.9 | Total new obligations, unexpired accounts | 32 | | |

FEDERAL ASSISTANCE

【For necessary expenses of U.S. Citizenship and Immigration Services for Federal assistance for the Citizenship and Integration Grant Program, \$10,000,000.】 (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| | | | | |
|--------------------------------------|--|-------------|-----------|-----------|
| Identification code 070-0408-0-1-751 | | 2019 actual | 2020 est. | 2021 est. |
|--------------------------------------|--|-------------|-----------|-----------|

Obligations by program activity:

| | | | | |
|------|---|----|----|--|
| 0001 | Citizenship and Integration Grant Program | 10 | 10 | |
|------|---|----|----|--|

FEDERAL ASSISTANCE—Continued
Program and Financing—Continued

| Identification code 070-0408-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 0900 Total new obligations, unexpired accounts (object class 41.0) | 10 | 10 | |
| Budgetary resources: | | | |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 10 | 10 | |
| 1930 Total budgetary resources available | 10 | 10 | |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | | 10 | |
| 3010 New obligations, unexpired accounts | 10 | 10 | |
| 3020 Outlays (gross) | | -20 | |
| 3050 Unpaid obligations, end of year | 10 | | |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | | 10 | |
| 3200 Obligated balance, end of year | 10 | | |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 10 | 10 | |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | | 10 | |
| 4011 Outlays from discretionary balances | | 10 | |
| 4020 Outlays, gross (total) | | 20 | |
| 4180 Budget authority, net (total) | 10 | 10 | |
| 4190 Outlays, net (total) | | 20 | |

IMMIGRATION EXAMINATIONS FEE

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 070-5088-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| 0100 Balance, start of year | | 242 | 229 |
| 0198 Adjustment for account de-merge | 252 | | |
| 0199 Balance, start of year | 252 | 242 | 229 |
| Receipts: | | | |
| Current law: | | | |
| 1120 Immigration Examination Fee | 3,896 | 3,885 | 3,888 |
| 2000 Total: Balances and receipts | 4,148 | 4,127 | 4,117 |
| Appropriations: | | | |
| Current law: | | | |
| 2101 Immigration Examinations Fee | -3,896 | -3,885 | -3,888 |
| 2103 Immigration Examinations Fee | -252 | -242 | -229 |
| 2132 Immigration Examinations Fee | 242 | 229 | |
| 2199 Total current law appropriations | -3,906 | -3,898 | -4,117 |
| 2999 Total appropriations | -3,906 | -3,898 | -4,117 |
| 5099 Balance, end of year | 242 | 229 | |

Program and Financing (in millions of dollars)

| Identification code 070-5088-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Citizenship and Immigration Services | 4,330 | 4,392 | 4,477 |
| 0801 Reimbursable program activity | 22 | 46 | 45 |
| 0900 Total new obligations, unexpired accounts | 4,352 | 4,438 | 4,522 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 1,159 | 858 | 364 |
| 1021 Recoveries of prior year unpaid obligations | 101 | | |
| 1033 Recoveries of prior year paid obligations | 5 | | |
| 1050 Unobligated balance (total) | 1,265 | 858 | 364 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1120 Appropriations transferred to other acct [015-0339] | | | -4 |
| Appropriations, mandatory: | | | |
| 1201 Immigration Examinations Fee Account | 3,896 | 3,885 | 3,888 |

| | | | |
|---|-------|-------|-------|
| 1203 Appropriation (previously unavailable)(special or trust) | 252 | 242 | 229 |
| 1220 Appropriations transferred to other acct [015-0339] | -4 | -4 | |
| 1232 Appropriations and/or unobligated balance of appropriations temporarily reduced | -242 | -229 | |
| 1260 Appropriations, mandatory (total) | 3,902 | 3,894 | 4,117 |
| Spending authority from offsetting collections, mandatory: | | | |
| 1800 Collected | 44 | 46 | 45 |
| 1801 Change in uncollected payments, Federal sources | -2 | | |
| 1802 Offsetting collections (previously unavailable) | 5 | 4 | |
| 1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced | -4 | | |
| 1850 Spending auth from offsetting collections, mand (total) | 43 | 50 | 45 |
| 1900 Budget authority (total) | 3,945 | 3,944 | 4,158 |
| 1930 Total budgetary resources available | 5,210 | 4,802 | 4,522 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 858 | 364 | |

Change in obligated balance:

| | | | |
|---|--------|--------|--------|
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 1,239 | 1,493 | 1,647 |
| 3010 New obligations, unexpired accounts | 4,352 | 4,438 | 4,522 |
| 3020 Outlays (gross) | -3,997 | -4,284 | -4,434 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -101 | | |
| 3050 Unpaid obligations, end of year | 1,493 | 1,647 | 1,735 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -22 | -20 | -20 |
| 3070 Change in uncollected pymts, Fed sources, unexpired | 2 | | |
| 3090 Uncollected pymts, Fed sources, end of year | -20 | -20 | -20 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 1,217 | 1,473 | 1,627 |
| 3200 Obligated balance, end of year | 1,473 | 1,627 | 1,715 |

Budget authority and outlays, net:

| | | | |
|--|-------|-------|-------|
| Discretionary: | | | |
| 4000 Budget authority, gross | | | -4 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | | | -4 |
| Mandatory: | | | |
| 4090 Budget authority, gross | 3,945 | 3,944 | 4,162 |
| Outlays, gross: | | | |
| 4100 Outlays from new mandatory authority | 3,252 | 2,811 | 2,927 |
| 4101 Outlays from mandatory balances | 745 | 1,473 | 1,511 |
| 4110 Outlays, gross (total) | 3,997 | 4,284 | 4,438 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4120 Federal sources | -31 | -37 | -36 |
| 4123 Non-Federal sources | -18 | -9 | -9 |
| 4130 Offsets against gross budget authority and outlays (total) | -49 | -46 | -45 |
| Additional offsets against gross budget authority only: | | | |
| 4140 Change in uncollected pymts, Fed sources, unexpired | 2 | | |
| 4143 Recoveries of prior year paid obligations, unexpired accounts | 5 | | |
| 4150 Additional offsets against budget authority only (total) | 7 | | |
| 4160 Budget authority, net (mandatory) | 3,903 | 3,898 | 4,117 |
| 4170 Outlays, net (mandatory) | 3,948 | 4,238 | 4,393 |
| 4180 Budget authority, net (total) | 3,903 | 3,898 | 4,113 |
| 4190 Outlays, net (total) | 3,948 | 4,238 | 4,389 |

Memorandum (non-add) entries:

| | | | |
|---|---|---|-------|
| 5090 Unexpired unavailable balance, SOY: Offsetting collections | 5 | 3 | |
| 5092 Unexpired unavailable balance, EOY: Offsetting collections | 3 | | |

The Immigration Examinations Fee Account (IEFA) is authorized via Section 286(m) of the Immigration and Nationality Act (8 U.S.C. 1356(m)) and is the primary funding source for USCIS. IEFA provides the resources to: strengthen and effectively administer the immigration system; strengthen national security safeguards and combat fraud; and reinforce quality and consistency in administering immigration benefits.

Object Classification (in millions of dollars)

| Identification code 070-5088-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 1,569 | 1,616 | 1,665 |
| 11.3 Other than full-time permanent | 18 | 19 | 20 |
| 11.5 Other personnel compensation | 111 | 114 | 117 |

| | | | | |
|------|--|-------|-------|-------|
| 11.9 | Total personnel compensation | 1,698 | 1,749 | 1,802 |
| 12.1 | Civilian personnel benefits | 550 | 567 | 584 |
| 13.0 | Benefits for former personnel | 1 | 1 | 1 |
| 21.0 | Travel and transportation of persons | 42 | 32 | 32 |
| 22.0 | Transportation of things | 13 | 18 | 18 |
| 23.1 | Rental payments to GSA | 273 | 288 | 288 |
| 23.2 | Rental payments to others | 4 | 6 | 6 |
| 23.3 | Communications, utilities, and miscellaneous charges | 43 | 118 | 118 |
| 24.0 | Printing and reproduction | 10 | 12 | 12 |
| 25.1 | Advisory and assistance services | 940 | 904 | 905 |
| 25.2 | Other services from non-Federal sources | 52 | 52 | 53 |
| 25.3 | Other goods and services from Federal sources | 280 | 280 | 280 |
| 25.4 | Operation and maintenance of facilities | 2 | 1 | 1 |
| 25.7 | Operation and maintenance of equipment | 168 | 144 | 145 |
| 26.0 | Supplies and materials | 36 | 39 | 40 |
| 31.0 | Equipment | 143 | 141 | 141 |
| 32.0 | Land and structures | 74 | 37 | 38 |
| 41.0 | Grants, subsidies, and contributions | | | 10 |
| 42.0 | Insurance claims and indemnities | 1 | 3 | 3 |
| 99.0 | Direct obligations | 4,330 | 4,392 | 4,477 |
| 99.0 | Reimbursable obligations | 22 | 46 | 45 |
| 99.9 | Total new obligations, unexpired accounts | 4,352 | 4,438 | 4,522 |

Employment Summary

| | | | |
|--|-------------|-----------|-----------|
| Identification code 070-5088-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
| 1001 Direct civilian full-time equivalent employment | 17,839 | 18,806 | 19,430 |

IMMIGRATION EXAMINATIONS FEE

(Legislative proposal, subject to PAYGO)

The Budget includes a new proposal to add a 10 percent surcharge on all requests received by USCIS. These collections will be deposited into the General Fund of the Treasury for deficit reduction.

H-1B NONIMMIGRANT PETITIONER ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

| | | | |
|---|-------------|-----------|-----------|
| Identification code 070-5106-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
| 0100 Balance, start of year | | 25 | 26 |
| 0198 Adjustment for account de-merge | 26 | | |
| 0199 Balance, start of year | 26 | 25 | 26 |
| Receipts: | | | |
| Current law: | | | |
| 1120 H-1B Nonimmigrant Petitioner Account | 392 | 389 | 389 |
| Proposed: | | | |
| 1220 H-1B Nonimmigrant Petitioner Account | | | 389 |
| 1999 Total receipts | 392 | 389 | 778 |
| 2000 Total: Balances and receipts | 418 | 414 | 804 |
| Appropriations: | | | |
| Current law: | | | |
| 2101 Training and Employment Services | -195 | -194 | -194 |
| 2101 State Unemployment Insurance and Employment Service Operations | -20 | -19 | -19 |
| 2101 H-1B Nonimmigrant Petitioner Account | -20 | -15 | -19 |
| 2101 Education and Human Resources | -157 | -157 | -157 |
| 2103 Training and Employment Services | -13 | -12 | -11 |
| 2103 State Unemployment Insurance and Employment Service Operations | -1 | -1 | |
| 2103 H-1B Nonimmigrant Petitioner Account | -1 | -1 | |
| 2103 Education and Human Resources | -10 | -10 | -9 |
| 2132 Training and Employment Services | 12 | 11 | |
| 2132 State Unemployment Insurance and Employment Service Operations | 1 | 1 | |
| 2132 H-1B Nonimmigrant Petitioner Account | 1 | | |
| 2132 Education and Human Resources | 10 | 9 | |
| 2199 Total current law appropriations | -393 | -388 | -409 |
| Proposed: | | | |
| 2201 Training and Employment Services | | | -195 |
| 2201 State Unemployment Insurance and Employment Service Operations | | | -19 |
| 2201 Career and Technical Education State Grants, H-1B Funded | | | -117 |
| 2201 H-1B Nonimmigrant Petitioner Account | | | -19 |
| 2201 Education and Human Resources | | | -39 |
| 2299 Total proposed appropriations | | | -389 |

| | | | |
|---------------------------------|------|------|------|
| 2999 Total appropriations | -393 | -388 | -798 |
| 5099 Balance, end of year | 25 | 26 | 6 |

Program and Financing (in millions of dollars)

| | | | |
|--|-------------|-----------|-----------|
| Identification code 070-5106-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
| Obligations by program activity: | | | |
| 0001 Citizenship and Immigration Services | 15 | 15 | 20 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 25 | 30 | 31 |
| Budget authority: | | | |
| Appropriations, mandatory: | | | |
| 1201 Appropriation (special or trust fund) | 20 | 15 | 19 |
| 1203 Appropriation (previously unavailable)(special or trust) | 1 | 1 | |
| 1232 Appropriations and/or unobligated balance of appropriations temporarily reduced | -1 | | |
| 1260 Appropriations, mandatory (total) | 20 | 16 | 19 |
| 1900 Budget authority (total) | 20 | 16 | 19 |
| 1930 Total budgetary resources available | 45 | 46 | 50 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 30 | 31 | 30 |

Change in obligated balance:

| | | | |
|---|-----|-----|-----|
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 2 | 2 | 4 |
| 3010 New obligations, unexpired accounts | 15 | 15 | 20 |
| 3020 Outlays (gross) | -15 | -13 | -18 |
| 3050 Unpaid obligations, end of year | 2 | 4 | 6 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 2 | 2 | 4 |
| 3200 Obligated balance, end of year | 2 | 4 | 6 |

Budget authority and outlays, net:

| | | | |
|---|----|----|----|
| Mandatory: | | | |
| 4090 Budget authority, gross | 20 | 16 | 19 |
| Outlays, gross: | | | |
| 4100 Outlays from new mandatory authority | 13 | 11 | 13 |
| 4101 Outlays from mandatory balances | 2 | 2 | 5 |
| 4110 Outlays, gross (total) | 15 | 13 | 18 |
| 4180 Budget authority, net (total) | 20 | 16 | 19 |
| 4190 Outlays, net (total) | 15 | 13 | 18 |

Summary of Budget Authority and Outlays (in millions of dollars)

| | | | |
|---|-------------|-----------|-----------|
| | 2019 actual | 2020 est. | 2021 est. |
| Enacted/requested: | | | |
| Budget Authority | 20 | 16 | 19 |
| Outlays | 15 | 13 | 18 |
| Legislative proposal, subject to PAYGO: | | | |
| Budget Authority | | | 19 |
| Outlays | | | 19 |
| Total: | | | |
| Budget Authority | 20 | 16 | 38 |
| Outlays | 15 | 13 | 37 |

The H-1B Nonimmigrant Petitioner Fee Account was established by Section 286(s) of the Immigration and Nationality Act (8 U.S.C. 1356(s)), and amended by the American Competitiveness and Workforce Improvement Act of 1998 (ACWIA), Public Law 105-277, Division C, Title IV, 112 Stat. 2681. The ACWIA fee was reauthorized and made permanent by the L-1 Visa and H-1B Visa Reform Act of 2004 (part of the Consolidated Appropriations Act, 2005, Public Law 108-447, 118 Stat. 2809, 3351-61 (2004)). The account supports activities related to the processing of petitions for nonimmigrant workers in the H-1B visa classification.

Object Classification (in millions of dollars)

| | | | |
|---|-------------|-----------|-----------|
| Identification code 070-5106-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
| Direct obligations: | | | |
| 23.1 Rental payments to GSA | 2 | 2 | 2 |
| 25.1 Advisory and assistance services | 13 | 13 | 18 |
| 99.0 Direct obligations | 15 | 15 | 20 |

H-1B NONIMMIGRANT PETITIONER ACCOUNT—Continued
Object Classification—Continued

| Identification code 070-5106-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 99.9 Total new obligations, unexpired accounts | 15 | 15 | 20 |

H-1B NONIMMIGRANT PETITIONER ACCOUNT
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 070-5106-4-2-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Budgetary resources: | | | |
| Budget authority: | | | |
| Appropriations, mandatory: | | | |
| 1201 | | | 19 |
| 1900 | | | 19 |
| 1930 | | | 19 |
| Total budgetary resources available | | | |
| Memorandum (non-add) entries: | | | |
| 1941 | | | 19 |

| Change in obligated balance: | | | |
|-------------------------------------|--|--|-----|
| Unpaid obligations: | | | |
| 3020 | | | -19 |
| 3050 | | | -19 |
| Memorandum (non-add) entries: | | | |
| 3200 | | | -19 |

| Budget authority and outlays, net: | | | |
|---|--|--|----|
| Mandatory: | | | |
| 4090 | | | 19 |
| Outlays, gross: | | | |
| 4100 | | | 19 |
| 4180 | | | 19 |
| 4190 | | | 19 |

The Budget includes an increase of \$19 million for USCIS' share of the proposed increase in H-1B Nonimmigrant Petitioner Account receipts.

H-1B AND L FRAUD PREVENTION AND DETECTION ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 070-5389-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|--------------------------------------|-------------|-----------|-----------|
| 0100 | | 10 | 11 |
| 0198 | 10 | | |
| 0199 | 10 | 10 | 11 |
| Receipts: | | | |
| Current law: | | | |
| 1120 | 156 | 156 | 158 |
| 2000 | 166 | 166 | 169 |
| Appropriations: | | | |
| Current law: | | | |
| 2101 | -52 | -52 | -53 |
| 2101 | -52 | -51 | -51 |
| 2101 | -52 | -52 | -52 |
| 2103 | -3 | -3 | -3 |
| 2103 | -3 | -3 | -3 |
| 2103 | -3 | | |
| 2132 | 3 | 3 | |
| 2132 | 3 | 3 | |
| 2132 | 3 | | |
| 2199 | -156 | -155 | -162 |
| 2999 | -156 | -155 | -162 |
| 5099 | 10 | 11 | 7 |

Program and Financing (in millions of dollars)

| Identification code 070-5389-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 | 44 | 48 | 50 |

Budgetary resources:

| Unobligated balance: | | | |
|---|----|----|-----|
| 1000 | 33 | 47 | 51 |
| 1021 | 6 | | |
| 1050 | 39 | 47 | 51 |
| Budget authority: | | | |
| Appropriations, mandatory: | | | |
| 1201 | 52 | 52 | 52 |
| 1203 | 3 | | |
| 1232 | -3 | | |
| 1260 | 52 | 52 | 52 |
| 1900 | 52 | 52 | 52 |
| 1930 | 91 | 99 | 103 |
| Total budgetary resources available | | | |
| Memorandum (non-add) entries: | | | |
| 1941 | 47 | 51 | 53 |

Change in obligated balance:

| Unpaid obligations: | | | |
|-------------------------------|-----|-----|-----|
| 3000 | 24 | 21 | 12 |
| 3010 | 44 | 48 | 50 |
| 3020 | -41 | -57 | -52 |
| 3040 | -6 | | |
| 3050 | 21 | 12 | 10 |
| Memorandum (non-add) entries: | | | |
| 3100 | 24 | 21 | 12 |
| 3200 | 21 | 12 | 10 |

Budget authority and outlays, net:

| Mandatory: | | | |
|-----------------|----|----|----|
| 4090 | 52 | 52 | 52 |
| Outlays, gross: | | | |
| 4100 | 27 | 36 | 36 |
| 4101 | 14 | 21 | 16 |
| 4110 | 41 | 57 | 52 |
| 4180 | 52 | 52 | 52 |
| 4190 | 41 | 57 | 52 |

The Fraud Prevention and Detection Account (FPDA) is authorized via Section 286(v) of the Immigration and Nationality Act (8 U.S.C. 1356(v)) and the L-1 Visa and H-1B Visa Reform Act of 2004 (part of P.L. 108-447). FPDA supports the operations, mission support, and associated management and administration (M&A) costs related to preventing and detecting fraud in the adjudication of all immigration benefit types.

Object Classification (in millions of dollars)

| Identification code 070-5389-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|--------------------------------------|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 | 17 | 17 | 17 |
| 11.5 | 2 | 1 | 1 |
| 11.9 | 19 | 18 | 18 |
| 12.1 | 6 | 6 | 6 |
| 23.1 | 2 | 2 | 3 |
| 25.1 | 16 | 17 | 17 |
| 25.2 | | 3 | 3 |
| 25.3 | | 1 | 1 |
| 26.0 | 1 | 1 | 1 |
| 99.0 | 44 | 48 | 49 |
| 99.5 | | | 1 |
| 99.9 | 44 | 48 | 50 |

Employment Summary

| Identification code 070-5389-0-2-751 | 2019 actual | 2020 est. | 2021 est. |
|--------------------------------------|-------------|-----------|-----------|
| 1001 | 167 | 176 | 176 |

FEDERAL LAW ENFORCEMENT TRAINING CENTER

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Federal Law Enforcement Training Centers for operations and support, including the purchase of not to exceed 117 vehicles for police-type use and hire of passenger motor vehicles, and services as authorized by section 3109 of title 5, United States Code, **[\$292,997,000] \$305,479,000**, of which **[\$54,283,000] \$61,391,000** shall remain available until September 30, **[2021] 2022: Provided**, That not to exceed \$7,180 shall be for official reception and representation expenses. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0509-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 CAS - Mission Support | 29 | 29 | 30 |
| 0002 CAS - Law Enforcement Training | 226 | 241 | 252 |
| 0003 CAS - Minor Construction and Maintenance | 28 | 23 | 23 |
| 0799 Total direct obligations | 283 | 293 | 305 |
| 0801 Operations and Support (Reimbursable) | 115 | 176 | 176 |
| 0900 Total new obligations, unexpired accounts | 398 | 469 | 481 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 19 | 13 | 14 |
| 1021 Recoveries of prior year unpaid obligations | 1 | 1 | 1 |
| 1050 Unobligated balance (total) | 20 | 14 | 15 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 278 | 293 | 305 |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 85 | 130 | 130 |
| 1701 Change in uncollected payments, Federal sources | 30 | 46 | 46 |
| 1750 Spending auth from offsetting collections, disc (total) | 115 | 176 | 176 |
| 1900 Budget authority (total) | 393 | 469 | 481 |
| 1930 Total budgetary resources available | 413 | 483 | 496 |
| Memorandum (non-add) entries: | | | |
| 1940 Unobligated balance expiring | -2 | | |
| 1941 Unexpired unobligated balance, end of year | 13 | 14 | 15 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 77 | 93 | 75 |
| 3010 New obligations, unexpired accounts | 398 | 469 | 481 |
| 3011 Obligations ("upward adjustments"), expired accounts | 1 | | |
| 3020 Outlays (gross) | -378 | -481 | -479 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -1 | -1 | -1 |
| 3041 Recoveries of prior year unpaid obligations, expired | -4 | -5 | -5 |
| 3050 Unpaid obligations, end of year | 93 | 75 | 71 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -27 | -34 | -75 |
| 3070 Change in uncollected pymts, Fed sources, unexpired | -30 | -46 | -46 |
| 3071 Change in uncollected pymts, Fed sources, expired | 23 | 5 | 5 |
| 3090 Uncollected pymts, Fed sources, end of year | -34 | -75 | -116 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 50 | 59 | |
| 3200 Obligated balance, end of year | 59 | | -45 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 393 | 469 | 481 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 229 | 403 | 413 |
| 4011 Outlays from discretionary balances | 149 | 77 | 66 |
| 4020 Outlays, gross (total) | 378 | 480 | 479 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -105 | -185 | -175 |
| 4033 Non-Federal sources | -5 | -2 | -2 |
| 4040 Offsets against gross budget authority and outlays (total) | -110 | -187 | -177 |
| Additional offsets against gross budget authority only: | | | |
| 4050 Change in uncollected pymts, Fed sources, unexpired | -30 | -46 | -46 |
| 4052 Offsetting collections credited to expired accounts | 25 | 57 | 47 |
| 4060 Additional offsets against budget authority only (total) | -5 | 11 | 1 |
| 4070 Budget authority, net (discretionary) | 278 | 293 | 305 |

| | | | | |
|-----------------|---------------------------------------|-----|-----|-----|
| 4080 | Outlays, net (discretionary) | 268 | 293 | 302 |
| Mandatory: | | | | |
| Outlays, gross: | | | | |
| 4101 | Outlays from mandatory balances | | 1 | |
| 4180 | Budget authority, net (total) | 278 | 293 | 305 |
| 4190 | Outlays, net (total) | 268 | 294 | 302 |

The Federal Law Enforcement Training Centers' (FLETC) serves as an interagency law enforcement training organization for over 100 participating organizations, providing the necessary facilities, equipment, and support services to conduct basic, advanced, specialized, and refresher training for Federal law enforcement personnel. FLETC personnel conduct the instructional programs for basic law enforcement recruits and some advanced training based on agency requests. Additionally, FLETC provides advanced training tuition-free, or at a reduced cost, to State, local, rural, tribal, and territorial law enforcement officers at all four of its campuses, through export training deliveries, and through distance learning on a space-available basis. In cooperation with the Department of State, FLETC manages the International Law Enforcement Academies (ILEA) at Gaborone, Botswana and Roswell, New Mexico, and provides training at the ILEAs in Bangkok, Thailand; Budapest, Hungary; and San Salvador, El Salvador. FLETC provides other training and assistance internationally in collaboration with and in support of U.S. embassies. Additionally, many international students attend training programs at FLETC each year on a space-available and fully reimbursable basis.

FLETC's Operations and Support account funds necessary operations, mission support, and associated management and administrative costs. In addition, this account includes the funding and activities that are associated with minor construction, maintenance, and improvement projects. The 2021 funding includes the implementation of the Terrorism Prevention Presenter Training Program to train State and local law enforcement in support of the DHS Office of Targeted Violence and Terrorism Prevention.

Object Classification (in millions of dollars)

| Identification code 070-0509-0-1-751 | 2019 actual | 2020 est. | 2021 est. | |
|--------------------------------------|--|-----------|-----------|-----|
| Direct obligations: | | | | |
| Personnel compensation: | | | | |
| 11.1 | Full-time permanent | 97 | 100 | 101 |
| 11.3 | Other than full-time permanent | 1 | 1 | 2 |
| 11.5 | Other personnel compensation | 5 | 6 | 6 |
| 11.9 | Total personnel compensation | 103 | 107 | 109 |
| 12.1 | Civilian personnel benefits | 39 | 41 | 42 |
| 21.0 | Travel and transportation of persons | 5 | 3 | 2 |
| 23.3 | Communications, utilities, and miscellaneous charges | 10 | 9 | 10 |
| 24.0 | Printing and reproduction | 1 | 1 | 1 |
| 25.1 | Advisory and assistance services | 5 | 4 | 3 |
| 25.2 | Other services from non-Federal sources | 10 | 12 | 16 |
| 25.3 | Other goods and services from Federal sources | 3 | 2 | 2 |
| 25.4 | Operation and maintenance of facilities | 37 | 41 | 49 |
| 25.6 | Medical care | 3 | 5 | 7 |
| 25.7 | Operation and maintenance of equipment | 18 | 18 | 14 |
| 25.8 | Subsistence and support of persons | 1 | 1 | 1 |
| 26.0 | Supplies and materials | 14 | 16 | 22 |
| 31.0 | Equipment | 15 | 15 | 9 |
| 32.0 | Land and structures | 19 | 18 | 18 |
| 99.0 | Direct obligations | 283 | 293 | 305 |
| 99.0 | Reimbursable obligations | 115 | 176 | 176 |
| 99.9 | Total new obligations, unexpired accounts | 398 | 469 | 481 |

Employment Summary

| Identification code 070-0509-0-1-751 | 2019 actual | 2020 est. | 2021 est. | |
|--------------------------------------|---|-----------|-----------|-------|
| 1001 | Direct civilian full-time equivalent employment | 1,042 | 1,180 | 1,184 |
| 2001 | Reimbursable civilian full-time equivalent employment | 206 | 206 | 206 |

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of the Federal Law Enforcement Training Centers for procurement, construction, and improvements, **[\$58,173,000] \$26,000,000**, to remain

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued
available until September 30, [2024] 2025. (Department of Homeland Security
Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0510-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 CAS - Procurement, Construction, and Improvements (Direct) | 4 | 58 | 26 |
| 0799 Total direct obligations | 4 | 58 | 26 |
| 0801 Procurement, Construction, and Improvements (Reimbursable) | 26 | 10 | 35 |
| 0900 Total new obligations, unexpired accounts | 30 | 68 | 61 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 75 | 104 | 104 |
| 1010 Unobligated balance transfer to other accts [070-0540] | -1 | | |
| 1021 Recoveries of prior year unpaid obligations | 5 | 1 | 1 |
| 1050 Unobligated balance (total) | 79 | 105 | 105 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 51 | 58 | 26 |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 158 | 74 | 45 |
| 1701 Change in uncollected payments, Federal sources | -152 | -64 | -10 |
| 1750 Spending auth from offsetting collections, disc (total) | 6 | 10 | 35 |
| 1900 Budget authority (total) | 57 | 68 | 61 |
| 1930 Total budgetary resources available | 136 | 173 | 166 |
| Memorandum (non-add) entries: | | | |
| 1940 Unobligated balance expiring | -2 | -1 | -1 |
| 1941 Unexpired unobligated balance, end of year | 104 | 104 | 104 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 346 | 151 | 119 |
| 3010 New obligations, unexpired accounts | 30 | 68 | 61 |
| 3011 Obligations ("upward adjustments"), expired accounts | | 1 | 1 |
| 3020 Outlays (gross) | -220 | -100 | -98 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -5 | -1 | -1 |
| 3050 Unpaid obligations, end of year | 151 | 119 | 82 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -413 | -205 | -138 |
| 3070 Change in uncollected pymts, Fed sources, unexpired | 152 | 64 | 10 |
| 3071 Change in uncollected pymts, Fed sources, expired | 56 | 3 | 3 |
| 3090 Uncollected pymts, Fed sources, end of year | -205 | -138 | -125 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | -67 | -54 | -19 |
| 3200 Obligated balance, end of year | -54 | -19 | -43 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 57 | 68 | 61 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 3 | 8 | 7 |
| 4011 Outlays from discretionary balances | 217 | 92 | 91 |
| 4020 Outlays, gross (total) | 220 | 100 | 98 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -212 | -76 | -47 |
| Additional offsets against gross budget authority only: | | | |
| 4050 Change in uncollected pymts, Fed sources, unexpired | 152 | 64 | 10 |
| 4052 Offsetting collections credited to expired accounts | 54 | 2 | 2 |
| 4060 Additional offsets against budget authority only (total) | 206 | 66 | 12 |
| 4070 Budget authority, net (discretionary) | 51 | 58 | 26 |
| 4080 Outlays, net (discretionary) | 8 | 24 | 51 |
| 4180 Budget authority, net (total) | 51 | 58 | 26 |
| 4190 Outlays, net (total) | 8 | 24 | 51 |

The Federal Law Enforcement Training Centers' (FLETC's) Procurement, Construction, and Improvement (PC&I) account funds the purchase, building, manufacturing, or assemblage of one or more end items that create, extend or enhance FLETC's existing capabilities. Funds provided through this account support the procurement, construction, and/or improvements of personal property end items with an individual cost of \$250,000

or more, and real property end items with an individual cost of \$2 million or more. Language in the President's Budget authorizes FLETC to receive reimbursements in the PC&I account, and also authorizes reimbursements to FLETC from U.S. Government agencies for the construction of special use facilities.

Object Classification (in millions of dollars)

| Identification code 070-0510-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| 31.0 Equipment | | 6 | |
| 32.0 Land and structures | 4 | 52 | 26 |
| 99.0 Direct obligations | 4 | 58 | 26 |
| 99.0 Reimbursable obligations | 26 | 10 | 35 |
| 99.9 Total new obligations, unexpired accounts | 30 | 68 | 61 |

SCIENCE AND TECHNOLOGY

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Science and Technology Directorate for operations and support, including the purchase or lease of not to exceed 5 vehicles, [\$314,864,000] \$284,789,000, of which [\$171,232,000] \$176,502,000 shall remain available until September 30, [2021] 2022: Provided, That not to exceed \$10,000 shall be for official reception and representation expenses. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0800-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0002 Research, Development, Acquisition, and Operations | 65 | 20 | 20 |
| 0003 CAS - Mission Support | 138 | 144 | 108 |
| 0004 CAS - Laboratory Facilities | 86 | 123 | 123 |
| 0005 CAS - Acquisition and Operations Analysis | 39 | 48 | 54 |
| 0799 Total direct obligations | 328 | 335 | 305 |
| 0801 Research, Development, Acquisitions and Operations (Reimbursable) | 29 | 10 | 10 |
| 0900 Total new obligations, unexpired accounts | 357 | 345 | 315 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 103 | 74 | 75 |
| 1010 Unobligated balance transfer to other accts [012-1600] | -6 | | |
| 1021 Recoveries of prior year unpaid obligations | 11 | | |
| 1033 Recoveries of prior year paid obligations | 1 | | |
| 1050 Unobligated balance (total) | 109 | 74 | 75 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 308 | 315 | 285 |
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 22 | 31 | 31 |
| 1701 Change in uncollected payments, Federal sources | -6 | | |
| 1750 Spending auth from offsetting collections, disc (total) | 16 | 31 | 31 |
| 1900 Budget authority (total) | 324 | 346 | 316 |
| 1930 Total budgetary resources available | 433 | 420 | 391 |
| Memorandum (non-add) entries: | | | |
| 1940 Unobligated balance expiring | -2 | | |
| 1941 Unexpired unobligated balance, end of year | 74 | 75 | 76 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 626 | 395 | 323 |
| 3010 New obligations, unexpired accounts | 357 | 345 | 315 |
| 3011 Obligations ("upward adjustments"), expired accounts | 2 | | |
| 3020 Outlays (gross) | -573 | -417 | -350 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -11 | | |
| 3041 Recoveries of prior year unpaid obligations, expired | -6 | | |
| 3050 Unpaid obligations, end of year | 395 | 323 | 288 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -70 | -58 | -58 |
| 3070 Change in uncollected pymts, Fed sources, unexpired | 6 | | |

| Identification code 070-0800-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 626 | 395 | 323 |
| 3010 New obligations, unexpired accounts | 357 | 345 | 315 |
| 3011 Obligations ("upward adjustments"), expired accounts | 2 | | |
| 3020 Outlays (gross) | -573 | -417 | -350 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -11 | | |
| 3041 Recoveries of prior year unpaid obligations, expired | -6 | | |
| 3050 Unpaid obligations, end of year | 395 | 323 | 288 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -70 | -58 | -58 |
| 3070 Change in uncollected pymts, Fed sources, unexpired | 6 | | |

| | | | | |
|---|---|-----|-----|-----|
| 3071 | Change in uncollected pymts, Fed sources, expired | 6 | | |
| 3090 | Uncollected pymts, Fed sources, end of year | -58 | -58 | -58 |
| Memorandum (non-add) entries: | | | | |
| 3100 | Obligated balance, start of year | 556 | 337 | 265 |
| 3200 | Obligated balance, end of year | 337 | 265 | 230 |
| Budget authority and outlays, net: | | | | |
| Discretionary: | | | | |
| 4000 | Budget authority, gross | 324 | 346 | 316 |
| Outlays, gross: | | | | |
| 4010 | Outlays from new discretionary authority | 134 | 145 | 118 |
| 4011 | Outlays from discretionary balances | 439 | 272 | 232 |
| 4020 | Outlays, gross (total) | 573 | 417 | 350 |
| Offsets against gross budget authority and outlays: | | | | |
| Offsetting collections (collected) from: | | | | |
| 4030 | Federal sources | -29 | -29 | -29 |
| 4033 | Non-Federal sources | -1 | -2 | -2 |
| 4040 | Offsets against gross budget authority and outlays (total) | -30 | -31 | -31 |
| Additional offsets against gross budget authority only: | | | | |
| 4050 | Change in uncollected pymts, Fed sources, unexpired | 6 | | |
| 4052 | Offsetting collections credited to expired accounts | 7 | | |
| 4053 | Recoveries of prior year paid obligations, unexpired accounts | 1 | | |
| 4060 | Additional offsets against budget authority only (total) | 14 | | |
| 4070 | Budget authority, net (discretionary) | 308 | 315 | 285 |
| 4080 | Outlays, net (discretionary) | 543 | 386 | 319 |
| 4180 | Budget authority, net (total) | 308 | 315 | 285 |
| 4190 | Outlays, net (total) | 543 | 386 | 319 |

The Science and Technology Directorate's (S&T) Operations and Support appropriation funds the necessary operations, mission support, and associated management and administration costs. Funding also supports systems engineering; test and evaluation; Standards; Safety Act; and the operations and maintenance of S&T's laboratory facilities.

Object Classification (in millions of dollars)

| | | | | |
|--------------------------------------|--|-----------|-----------|-----|
| Identification code 070-0800-0-1-751 | 2019 actual | 2020 est. | 2021 est. | |
| Direct obligations: | | | | |
| Personnel compensation: | | | | |
| 11.1 | Full-time permanent | 55 | 62 | 58 |
| 11.3 | Other than full-time permanent | 4 | 6 | 5 |
| 11.5 | Other personnel compensation | 1 | 1 | 2 |
| 11.8 | Special personal services payments | 2 | 3 | 3 |
| 11.9 | Total personnel compensation | 62 | 72 | 68 |
| 12.1 | Civilian personnel benefits | 18 | 22 | 21 |
| 21.0 | Travel and transportation of persons | 2 | 2 | 2 |
| 23.1 | Rental payments to GSA | 2 | 2 | 2 |
| 23.3 | Communications, utilities, and miscellaneous charges | 1 | 1 | 1 |
| 25.1 | Advisory and assistance services | 147 | 116 | 114 |
| 25.2 | Other services from non-Federal sources | 6 | 2 | 2 |
| 25.3 | Other goods and services from Federal sources | 53 | 92 | 69 |
| 25.4 | Operation and maintenance of facilities | 5 | 4 | 4 |
| 25.5 | Research and development contracts | 10 | | |
| 25.7 | Operation and maintenance of equipment | 14 | 7 | 7 |
| 26.0 | Supplies and materials | 1 | 3 | 3 |
| 31.0 | Equipment | 5 | 11 | 11 |
| 32.0 | Land and structures | 2 | 1 | 1 |
| 99.0 | Direct obligations | 328 | 335 | 305 |
| 99.0 | Reimbursable obligations | 29 | 10 | 10 |
| 99.9 | Total new obligations, unexpired accounts | 357 | 345 | 315 |

Employment Summary

| | | | | |
|--------------------------------------|---|-----------|-----------|-----|
| Identification code 070-0800-0-1-751 | 2019 actual | 2020 est. | 2021 est. | |
| 1001 | Direct civilian full-time equivalent employment | 410 | 507 | 437 |

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of the Science and Technology Directorate for Procurement, Construction, and Improvements, \$18,927,000, to remain available until September 30, 2025.

Program and Financing (in millions of dollars)

| | | | | |
|---|--|-----------|-----------|----|
| Identification code 070-0415-0-1-751 | 2019 actual | 2020 est. | 2021 est. | |
| Obligations by program activity: | | | | |
| 0001 | Laboratory Facilities | | | 19 |
| Budgetary resources: | | | | |
| Budget authority: | | | | |
| Appropriations, discretionary: | | | | |
| 1100 | Appropriation | | | 19 |
| 1930 | Total budgetary resources available | | | 19 |
| Change in obligated balance: | | | | |
| Unpaid obligations: | | | | |
| 3010 | New obligations, unexpired accounts | | | 19 |
| 3020 | Outlays (gross) | | | -2 |
| 3050 | Unpaid obligations, end of year | | | 17 |
| Memorandum (non-add) entries: | | | | |
| 3200 | Obligated balance, end of year | | | 17 |
| Budget authority and outlays, net: | | | | |
| Discretionary: | | | | |
| 4000 | Budget authority, gross | | | 19 |
| Outlays, gross: | | | | |
| 4010 | Outlays from new discretionary authority | | | 2 |
| 4180 | Budget authority, net (total) | | | 19 |
| 4190 | Outlays, net (total) | | | 2 |

The Science and Technology Directorate's (S&T) Procurement, Construction and Improvements (PC&I) appropriation supports requirements to ensure laboratory infrastructures remain aligned to S&T mission requirements. The PC&I funding allows S&T to make essential investments in expansion, maintenance, modernization, or removal of technologies and capabilities as necessitated to support requirements generated by Department of Homeland Security Components.

Object Classification (in millions of dollars)

| | | | | |
|--------------------------------------|---|-----------|-----------|----|
| Identification code 070-0415-0-1-751 | 2019 actual | 2020 est. | 2021 est. | |
| Direct obligations: | | | | |
| 25.1 | Advisory and assistance services | | | 2 |
| 25.2 | Other services from non-Federal sources | | | 16 |
| 25.7 | Operation and maintenance of equipment | | | 1 |
| 99.9 | Total new obligations, unexpired accounts | | | 19 |

RESEARCH AND DEVELOPMENT

For necessary expenses of the Science and Technology Directorate for research and development, \$422,411,000 \$340,013,000, to remain available until September 30, [2022] 2023. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| | | | | |
|---|---|-----------|-----------|-----|
| Identification code 070-0803-0-1-751 | 2019 actual | 2020 est. | 2021 est. | |
| Obligations by program activity: | | | | |
| 0001 | CAS - Research, Development and Innovation | 419 | 381 | 318 |
| 0002 | CAS - University Programs | 41 | 41 | 22 |
| 0799 | Total direct obligations | 460 | 422 | 340 |
| 0801 | Research and Development (Reimbursable) | 35 | 41 | 41 |
| 0900 | Total new obligations, unexpired accounts | 495 | 463 | 381 |
| Budgetary resources: | | | | |
| Unobligated balance: | | | | |
| 1000 | Unobligated balance brought forward, Oct 1 | 175 | 218 | 197 |
| 1021 | Recoveries of prior year unpaid obligations | 3 | | |
| 1050 | Unobligated balance (total) | 178 | 218 | 197 |
| Budget authority: | | | | |
| Appropriations, discretionary: | | | | |
| 1100 | Appropriation | 511 | 422 | 340 |
| 1120 | Appropriations transferred to other acct (070-0540) | -1 | | |
| 1160 | Appropriation, discretionary (total) | 510 | 422 | 340 |

RESEARCH AND DEVELOPMENT—Continued
Program and Financing—Continued

| Identification code 070-0803-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Spending authority from offsetting collections, discretionary: | | | |
| 1700 Collected | 26 | 20 | 20 |
| 1900 Budget authority (total) | 536 | 442 | 360 |
| 1930 Total budgetary resources available | 714 | 660 | 557 |
| Memorandum (non-add) entries: | | | |
| 1940 Unobligated balance expiring | -1 | | |
| 1941 Unexpired unobligated balance, end of year | 218 | 197 | 176 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 520 | 600 | 543 |
| 3010 New obligations, unexpired accounts | 495 | 463 | 381 |
| 3020 Outlays (gross) | -412 | -520 | -451 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -3 | | |
| 3050 Unpaid obligations, end of year | 600 | 543 | 473 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -67 | -67 | -67 |
| 3090 Uncollected pymts, Fed sources, end of year | -67 | -67 | -67 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 453 | 533 | 476 |
| 3200 Obligated balance, end of year | 533 | 476 | 406 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 536 | 442 | 360 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 52 | 104 | 88 |
| 4011 Outlays from discretionary balances | 360 | 416 | 363 |
| 4020 Outlays, gross (total) | 412 | 520 | 451 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -26 | -20 | -20 |
| 4180 Budget authority, net (total) | 510 | 422 | 340 |
| 4190 Outlays, net (total) | 386 | 500 | 431 |

The Science and Technology Directorate's (S&T) Research and Development appropriation provides funds for basic, applied, and developmental research supporting state-of-the-art technology and solutions to meet the needs of the Department of Homeland Security (DHS) Components and the first responder community. S&T's research and development activities also include technology demonstrations, university and industry partnerships, and technology transfer and commercialization. Funds also support critical homeland security-related research and education at U.S. colleges and universities to address high-priority, DHS-related issues and to enhance long term homeland security capabilities.

Object Classification (in millions of dollars)

| Identification code 070-0803-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| 21.0 Travel and transportation of persons | 2 | 2 | 2 |
| 25.1 Advisory and assistance services | 89 | 81 | 46 |
| 25.2 Other services from non-Federal sources | 10 | 8 | 10 |
| 25.3 Other goods and services from Federal sources | 119 | 108 | 64 |
| 25.5 Research and development contracts | 202 | 185 | 179 |
| 25.7 Operation and maintenance of equipment | 2 | 2 | 2 |
| 31.0 Equipment | 5 | 5 | 5 |
| 41.0 Grants, subsidies, and contributions | 31 | 31 | 31 |
| 99.0 Direct obligations | 460 | 422 | 339 |
| 99.0 Reimbursable obligations | 35 | 41 | 42 |
| 99.9 Total new obligations, unexpired accounts | 495 | 463 | 381 |

COUNTERING WEAPONS OF MASS DESTRUCTION
OFFICE

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Countering Weapons of Mass Destruction Office for operations and support, **[\$179,467,000]** \$172,875,000, of which \$20,697,000 shall remain available until September 30, 2022: Provided, That not to exceed **[\$2,250]** \$4,500 shall be for official reception and representation expenses. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0861-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Management and Administration | 184 | | |
| 0003 Capability and Operational Support | | 94 | 89 |
| 0004 Mission Support | | 85 | 83 |
| 0799 Total direct obligations | 184 | 179 | 172 |
| 0900 Total new obligations, unexpired accounts | 184 | 179 | 172 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | | | 1 |
| 1012 Unobligated balance transfers between expired and unexpired accounts | | 2 | |
| 1050 Unobligated balance (total) | | 2 | 1 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 187 | 180 | 173 |
| 1131 Unobligated balance of appropriations permanently reduced | | -2 | |
| 1160 Appropriation, discretionary (total) | 187 | 178 | 173 |
| 1900 Budget authority (total) | 187 | 178 | 173 |
| 1930 Total budgetary resources available | 187 | 180 | 174 |
| Memorandum (non-add) entries: | | | |
| 1940 Unobligated balance expiring | -3 | | |
| 1941 Unexpired unobligated balance, end of year | | 1 | 2 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 24 | 125 | 142 |
| 3010 New obligations, unexpired accounts | 184 | 179 | 172 |
| 3020 Outlays (gross) | -82 | -162 | -216 |
| 3041 Recoveries of prior year unpaid obligations, expired | -1 | | |
| 3050 Unpaid obligations, end of year | 125 | 142 | 98 |
| Uncollected payments: | | | |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 | -2 | | |
| 3071 Change in uncollected pymts, Fed sources, expired | 2 | | |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 22 | 125 | 142 |
| 3200 Obligated balance, end of year | 125 | 142 | 98 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 187 | 178 | 173 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 67 | 98 | 95 |
| 4011 Outlays from discretionary balances | 15 | 64 | 121 |
| 4020 Outlays, gross (total) | 82 | 162 | 216 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4030 Federal sources | -2 | | |
| Additional offsets against gross budget authority only: | | | |
| 4052 Offsetting collections credited to expired accounts | 2 | | |
| 4060 Additional offsets against budget authority only (total) | 2 | | |
| 4070 Budget authority, net (discretionary) | 187 | 178 | 173 |
| 4080 Outlays, net (discretionary) | 80 | 162 | 216 |
| 4180 Budget authority, net (total) | 187 | 178 | 173 |
| 4190 Outlays, net (total) | 80 | 162 | 216 |

The Countering Weapons of Mass Destruction Office's (CWMD) Operations and Support account provides funds to support the development of counter WMD capabilities through strategic planning and analysis; test and evaluation of chemical, biological, and radiological/nuclear detection

technologies; assisting DHS operational components and other agencies in defining requirements necessary to achieve their mission; as well as defense and preparedness for biological and chemical events. This account supports food, agriculture, and veterinary readiness and resilience, as well as the CWMD Chief Medical Officer who has the responsibility within the Department for medical issues related to natural disasters, acts of terrorism, and other man-made disasters. Additionally, O&S funding provides for the day-to-day operation of the CWMD Office.

Object Classification (in millions of dollars)

| Identification code 070-0861-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 25 | 32 | 37 |
| 11.5 Other personnel compensation | | | 1 |
| 11.8 Special personal services payments | 2 | 7 | 7 |
| 11.9 Total personnel compensation | 27 | 39 | 45 |
| 12.1 Civilian personnel benefits | 7 | 11 | 13 |
| 21.0 Travel and transportation of persons | 1 | 1 | 1 |
| 23.1 Rental payments to GSA | 8 | 6 | |
| 25.1 Advisory and assistance services | 34 | 42 | 40 |
| 25.2 Other services from non-Federal sources | | 17 | 15 |
| 25.3 Other goods and services from Federal sources | 57 | 36 | 31 |
| 25.4 Operation and maintenance of facilities | 35 | 6 | 6 |
| 25.5 Research and development contracts | 5 | | |
| 25.7 Operation and maintenance of equipment | 3 | | |
| 26.0 Supplies and materials | | 16 | 16 |
| 31.0 Equipment | 5 | 4 | 4 |
| 41.0 Grants, subsidies, and contributions | 1 | 1 | 1 |
| 99.0 Direct obligations | 182 | 179 | 172 |
| 99.0 Reimbursable obligations | 2 | | |
| 99.9 Total new obligations, unexpired accounts | 184 | 179 | 172 |

Employment Summary

| Identification code 070-0861-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| 1001 Direct civilian full-time equivalent employment | 205 | 232 | 266 |

RESEARCH AND DEVELOPMENT

For necessary expenses of the Countering Weapons of Mass Destruction Office for research and development, **[\$69,181,000] \$58,209,000**, to remain available until September 30, **[2022] 2023**. (*Department of Homeland Security Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

| Identification code 070-0860-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Research, Development, and Operations | 109 | | |
| 0005 CAS - Detection Capability Assessments | | 11 | |
| 0009 Transformational Research and Development | | 34 | 24 |
| 0010 Technical Forensics | | 11 | |
| 0012 Detection Capability Development | | 35 | 32 |
| 0013 Rapid Capabilities | | 10 | 10 |
| 0900 Total new obligations, unexpired accounts | 109 | 101 | 66 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 65 | 41 | 9 |
| 1021 Recoveries of prior year unpaid obligations | 4 | | |
| 1050 Unobligated balance (total) | 69 | 41 | 9 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 83 | 69 | 58 |
| 1120 Appropriations transferred to other acct [070-0540] | -1 | | |
| 1160 Appropriation, discretionary (total) | 82 | 69 | 58 |
| 1900 Budget authority (total) | 82 | 69 | 58 |
| 1930 Total budgetary resources available | 151 | 110 | 67 |
| Memorandum (non-add) entries: | | | |
| 1940 Unobligated balance expiring | -1 | | |

| | | | |
|---|-----|-----|------|
| 1941 Unexpired unobligated balance, end of year | 41 | 9 | 1 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 145 | 140 | 152 |
| 3010 New obligations, unexpired accounts | 109 | 101 | 66 |
| 3020 Outlays (gross) | -99 | -89 | -102 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -4 | | |
| 3041 Recoveries of prior year unpaid obligations, expired | -11 | | |
| 3050 Unpaid obligations, end of year | 140 | 152 | 116 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 145 | 140 | 152 |
| 3200 Obligated balance, end of year | 140 | 152 | 116 |

Budget authority and outlays, net:

| | | | |
|---|----|----|-----|
| Discretionary: | | | |
| 4000 Budget authority, gross | 82 | 69 | 58 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 14 | 48 | 41 |
| 4011 Outlays from discretionary balances | 85 | 41 | 61 |
| 4020 Outlays, gross (total) | 99 | 89 | 102 |
| 4180 Budget authority, net (total) | 82 | 69 | 58 |
| 4190 Outlays, net (total) | 99 | 89 | 102 |

The Countering Weapons of Mass Destruction Office's (CWMD) Research and Development account provides funds for the exploration of early Technology Readiness Level (TRL) capabilities for the radiological/nuclear mission, and late stage TRL capabilities in the countering WMD mission to address gaps, or improve the performance of nuclear and bio-detection operations and systems. CWMD works closely with operational customers to ensure the effective transition of new technologies to the field. Funding for 2021 principally in this account supports applied and developmental projects that prioritize the delivery of capability into the hands of the operator.

Object Classification (in millions of dollars)

| Identification code 070-0860-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| 25.1 Advisory and assistance services | 14 | 14 | 14 |
| 25.2 Other services from non-Federal sources | | 10 | 6 |
| 25.3 Other goods and services from Federal sources | 49 | 11 | 6 |
| 25.4 Operation and maintenance of facilities | 1 | | |
| 25.5 Research and development contracts | 39 | 58 | 36 |
| 41.0 Grants, subsidies, and contributions | 6 | 8 | 4 |
| 99.0 Direct obligations | 109 | 101 | 66 |
| 99.9 Total new obligations, unexpired accounts | 109 | 101 | 66 |

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of the Countering Weapons of Mass Destruction Office for procurement, construction, and improvements, **[\$118,988,000] \$87,413,000**, to remain available until September 30, **[2022] 2023**. (*Department of Homeland Security Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

| Identification code 070-0862-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 Systems Acquisition | 85 | | |
| 0006 Large Scale Detection Systems | | 142 | 61 |
| 0007 Portable Detection Systems | | 42 | 26 |
| 0799 Total direct obligations | 85 | 184 | 87 |
| 0900 Total new obligations, unexpired accounts | 85 | 184 | 87 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 49 | 65 | |
| 1021 Recoveries of prior year unpaid obligations | 1 | | |
| 1033 Recoveries of prior year paid obligations | 1 | | |
| 1050 Unobligated balance (total) | 51 | 65 | |

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued
Program and Financing—Continued

| Identification code 070-0862-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 100 | 119 | 87 |
| 1900 Budget authority (total) | 100 | 119 | 87 |
| 1930 Total budgetary resources available | 151 | 184 | 87 |
| Memorandum (non-add) entries: | | | |
| 1940 Unobligated balance expiring | -1 | | |
| 1941 Unexpired unobligated balance, end of year | 65 | | |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 133 | 119 | 198 |
| 3010 New obligations, unexpired accounts | 85 | 184 | 87 |
| 3020 Outlays (gross) | -98 | -105 | -162 |
| 3040 Recoveries of prior year unpaid obligations, unexpired | -1 | | |
| 3050 Unpaid obligations, end of year | 119 | 198 | 123 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 133 | 119 | 198 |
| 3200 Obligated balance, end of year | 119 | 198 | 123 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 100 | 119 | 87 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 3 | 48 | 35 |
| 4011 Outlays from discretionary balances | 95 | 57 | 127 |
| 4020 Outlays, gross (total) | 98 | 105 | 162 |
| Offsets against gross budget authority and outlays: | | | |
| Offsetting collections (collected) from: | | | |
| 4033 Non-Federal sources | -1 | | |
| 4040 Offsets against gross budget authority and outlays (total) | -1 | | |
| Additional offsets against gross budget authority only: | | | |
| 4053 Recoveries of prior year paid obligations, unexpired accounts | 1 | | |
| 4060 Additional offsets against budget authority only (total) | 1 | | |
| 4070 Budget authority, net (discretionary) | 100 | 119 | 87 |
| 4080 Outlays, net (discretionary) | 97 | 105 | 162 |
| 4180 Budget authority, net (total) | 100 | 119 | 87 |
| 4190 Outlays, net (total) | 97 | 105 | 162 |

The Countering Weapons of Mass Destruction Office's (CWMD) Procurement, Construction, and Improvements account provides funds for the acquisition and deployment of nuclear, radiological, chemical, and biological systems to support Department of Homeland Security operational components such as U.S. Customs and Border Protection. CWMD utilizes an integrated lifecycle approach in the management of these systems, and achieves efficiencies through a centralized acquisition process. Funding in this account supports the acquisition and deployment of enhanced Radiation Portal Monitors to begin recapitalization of the fleet; other programs to support scanning of cargo entering the Nation; and procurement of chemical, biological, and radiological equipment that can be carried, worn, or easily moved to support operational end-users.

Object Classification (in millions of dollars)

| Identification code 070-0862-0-1-751 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| 25.1 Advisory and assistance services | 11 | 15 | 12 |
| 25.2 Other services from non-Federal sources | | 1 | 1 |
| 25.3 Other goods and services from Federal sources | 17 | 2 | 1 |
| 25.5 Research and development contracts | 1 | | |
| 31.0 Equipment | 56 | 166 | 73 |
| 99.9 Total new obligations, unexpired accounts | 85 | 184 | 87 |

FEDERAL ASSISTANCE

For necessary expenses of the Countering Weapons of Mass Destruction Office for Federal assistance through grants, contracts, cooperative agreements, and other

activities, **[\$64,663,000]** \$58,663,000, to remain available until September 30, [2022] 2023. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

| Identification code 070-0411-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 0001 CAS - Federal, State, Local, Territorial, and Tribal Support | 46 | | |
| 0004 Training, Exercises, and Readiness | | 18 | 14 |
| 0005 Securing the Cities | | 58 | 14 |
| 0006 Biological Support | | 28 | 31 |
| 0900 Total new obligations, unexpired accounts | 46 | 104 | 59 |
| Budgetary resources: | | | |
| Unobligated balance: | | | |
| 1000 Unobligated balance brought forward, Oct 1 | 38 | 40 | 1 |
| Budget authority: | | | |
| Appropriations, discretionary: | | | |
| 1100 Appropriation | 65 | 65 | 59 |
| 1131 Unobligated balance of appropriations permanently reduced | -17 | | |
| 1160 Appropriation, discretionary (total) | 48 | 65 | 59 |
| 1930 Total budgetary resources available | 86 | 105 | 60 |
| Memorandum (non-add) entries: | | | |
| 1941 Unexpired unobligated balance, end of year | 40 | 1 | 1 |
| Change in obligated balance: | | | |
| Unpaid obligations: | | | |
| 3000 Unpaid obligations, brought forward, Oct 1 | 36 | 72 | 76 |
| 3010 New obligations, unexpired accounts | 46 | 104 | 59 |
| 3020 Outlays (gross) | -10 | -100 | -60 |
| 3050 Unpaid obligations, end of year | 72 | 76 | 75 |
| Memorandum (non-add) entries: | | | |
| 3100 Obligated balance, start of year | 36 | 72 | 76 |
| 3200 Obligated balance, end of year | 72 | 76 | 75 |
| Budget authority and outlays, net: | | | |
| Discretionary: | | | |
| 4000 Budget authority, gross | 48 | 65 | 59 |
| Outlays, gross: | | | |
| 4010 Outlays from new discretionary authority | 2 | 34 | 30 |
| 4011 Outlays from discretionary balances | 8 | 66 | 30 |
| 4020 Outlays, gross (total) | 10 | 100 | 60 |
| 4180 Budget authority, net (total) | 48 | 65 | 59 |
| 4190 Outlays, net (total) | 10 | 100 | 60 |

The Countering Weapons of Mass Destruction Office (CWMD) Federal Assistance account provides the funds for outreach efforts necessary to ensure Federal, State, local, territorial, and tribal (FSLTT) and international partners have the access and resources to support the threat detection mission. FSLTT support is focused on detecting devices or materials prior to their entry into the United States and maximizing the probability of an encounter prior to WMD materials reaching potential targets. The Federal Assistance account also provides resources that support field operations for the Nation's biodetection system.

Object Classification (in millions of dollars)

| Identification code 070-0411-0-1-999 | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| 25.1 Advisory and assistance services | 5 | 33 | 19 |
| 25.3 Other goods and services from Federal sources | 1 | | |
| 25.4 Operation and maintenance of facilities | 2 | | |
| 25.5 Research and development contracts | 2 | | |
| 31.0 Equipment | | 39 | 7 |
| 41.0 Grants, subsidies, and contributions | 36 | 32 | 33 |
| 99.9 Total new obligations, unexpired accounts | 46 | 104 | 59 |

ADMINISTRATIVE PROVISIONS

SEC. 401. Notwithstanding any other provision of law, funds otherwise made available to U.S. Citizenship and Immigration Services may be used to acquire, operate, equip, and dispose of up to 5 vehicles, for replacement only, for areas where

the Administrator of General Services does not provide vehicles for lease: *Provided*, That the Director of U.S. Citizenship and Immigration Services may authorize employees who are assigned to those areas to use such vehicles to travel between the employees' residences and places of employment.

SEC. 402. None of the funds appropriated by this Act may be used to process or approve a competition under Office of Management and Budget Circular A-76 for services provided by employees (including employees serving on a temporary or term basis) of U.S. Citizenship and Immigration Services of the Department of Homeland Security who are known as Immigration Information Officers, Immigration Service Analysts, Contact Representatives, Investigative Assistants, or Immigration Services Officers.

SEC. 403. (a) Not later than 30 days after the date of enactment of this Act and updated semimonthly thereafter, the Director of U.S. Citizenship and Immigration Services shall make available, on a publicly accessible website in a downloadable, searchable, and sortable format, a report containing not less than the previous twelve months of semimonthly data on—

- (1) the number of aliens determined to have a credible or reasonable fear of—
 - (A) persecution, as defined in section 235(b)(1)(B)(v) of the Immigration and Nationality Act; or
 - (B) torture, as defined in section 208.30 of title 8, Code of Federal Regulations (as in effect on January 1, 2018);
- (2) the total number of cases received by U.S. Citizenship and Immigration Services to adjudicate credible or reasonable fear claims, as described in paragraph (1), and the total number of cases closed.
- (b) Such report shall also disaggregate the data described in subsection (a) with respect to the following subsets—
 - (1) claims submitted by aliens detained at a U.S. Immigration and Customs Enforcement family residential center;
 - (2) claims submitted by aliens organized by each subdivision of legal or administrative authority under which claims are reviewed; and
 - (3) the job series of the personnel reviewing the claims.

SEC. 404. The Director of the Federal Law Enforcement Training Centers is authorized to distribute funds to Federal law enforcement agencies for expenses incurred participating in training accreditation.

SEC. 405. The Federal Law Enforcement Training Accreditation Board, including representatives from the Federal law enforcement community and non-Federal accreditation experts involved in law enforcement training, shall lead the Federal law enforcement training accreditation process to continue the implementation of measuring and assessing the quality and effectiveness of Federal law enforcement training programs, facilities, and instructors.

SEC. 406. The Director of the Federal Law Enforcement Training Centers may accept transfers to [the account established by section 407(a) of division F of the Consolidated Appropriations Act, 2018 (Public Law 115–141)] *its "Procurement, Construction, and Improvements" account* from Government agencies requesting the construction of special use facilities, as authorized by the Economy Act (31 U.S.C. 1535(b)): *Provided*, That the Federal Law Enforcement Training Centers maintain administrative control and ownership upon completion of such facilities.

SEC. 407. The functions of the Federal Law Enforcement Training Centers instructor or staff shall be classified as inherently governmental for purposes of the Federal Activities Inventory Reform Act of 1998 (31 U.S.C. 501 note). (*Department of Homeland Security Appropriations Act, 2020.*)

| | | | |
|--|-----|-------|-------|
| General Fund Offsetting receipts from the public | 202 | 1,478 | 1,508 |
| Intragovernmental payments: | | | |
| 070–388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts | 40 | | |
| General Fund Intragovernmental payments | 40 | | |

GENERAL PROVISIONS

(INCLUDING TRANSFERS OF FUNDS)

(INCLUDING CANCELLATIONS OF FUNDS)

SEC. 501. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 502. Subject to the requirements of section 503 of this Act, the unexpended balances of prior appropriations provided for activities in this Act may be transferred to appropriation accounts for such activities established pursuant to this Act, may be merged with funds in the applicable established accounts, and thereafter may be accounted for as one fund for the same time period as originally enacted.

SEC. 503. (a) None of the funds provided by this Act, provided by previous appropriations Acts to the components in or transferred to the Department of Homeland Security that remain available for obligation or expenditure in fiscal year [2020] 2021, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the components funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that—

- (1) creates or eliminates a program, project, or activity, or increases funds for any program, project, or activity for which funds have been denied or restricted by the Congress;
- (2) contracts out any function or activity presently performed by Federal employees or any new function or activity proposed to be performed by Federal employees in the President's budget proposal for fiscal year [2020] 2021 for the Department of Homeland Security;
- (3) augments funding for existing programs, projects, or activities in excess of \$5,000,000 or 10 percent, whichever is less;
- (4) reduces funding for any program, project, or activity, or numbers of personnel, by 10 percent or more; or
- (5) results from any general savings from a reduction in personnel that would result in a change in funding levels for programs, projects, or activities as approved by the Congress.

(b) Subsection (a) shall not apply if the Committees on Appropriations of the Senate and the House of Representatives are notified at least 15 days in advance of such reprogramming.

(c) Up to 5 percent of any appropriation made available for the current fiscal year for the Department of Homeland Security by this Act or provided by previous appropriations Acts may be transferred between such appropriations if the Committees on Appropriations of the Senate and the House of Representatives are notified at least 30 days in advance of such transfer, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by such transfer.

(d) Notwithstanding subsections (a), (b), and (c), no funds shall be reprogrammed within or transferred between appropriations based upon an initial notification provided after June 30, except in extraordinary circumstances that imminently threaten the safety of human life or the protection of property.

(e) The notification thresholds and procedures set forth in subsections (a), (b), (c), and (d) shall apply to any use of deobligated balances of funds provided in previous Department of Homeland Security Appropriations Acts that remain available for obligation in the current year.

(f) Notwithstanding subsection (c), the Secretary of Homeland Security may transfer to the fund established by 8 U.S.C. 1101 note, up to \$20,000,000 from appropriations available to the Department of Homeland Security: *Provided*, That the Secretary shall notify the Committees on Appropriations of the Senate and the House of Representatives at least 5 days in advance of such transfer.

SEC. 504. Section 504 of the Department of Homeland Security Appropriations Act, 2017 (division F of Public Law 115–31), related to the operations of a working capital fund, shall apply with respect to funds made available in this Act in the same manner as such section applied to funds made available in that Act: *Provided*, That funds from such working capital fund may be obligated and expended in anticipation of reimbursements from components of the Department of Homeland Security.

SEC. 505. Except as otherwise specifically provided by law, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year [2020] 2021, as recorded in the financial records at the time of a reprogramming notification, but not later than June 30, [2021] 2022, from appropriations for "Operations and

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

| | 2019 actual | 2020 est. | 2021 est. |
|--|-------------|-----------|-----------|
| Governmental receipts: | | | |
| 015–083400 Breached Bond Penalties | 8 | 8 | 8 |
| 070–090100 Immigration Services Surcharge: Legislative proposal, subject to PAYGO | | | 389 |
| 070–242600 Temporary L-1 Visa Fee Increase | 12 | 13 | 13 |
| 070–242700 Temporary H-1B Visa Fee Increase | 47 | 47 | 47 |
| General Fund Governmental receipts | 67 | 68 | 457 |
| Offsetting receipts from the public: | | | |
| 020–031100 Tonnage Duty Increases | 27 | 27 | 28 |
| 070–090000 Passenger Security Fees Returned to the General Fund | | 1,400 | 1,440 |
| 070–143500 General Fund Proprietary Interest Receipts, not Otherwise Classified | 42 | 22 | 22 |
| 069–242100 Marine Safety Fees | 18 | 17 | 18 |
| 070–274030 Disaster Assistance, Downward Reestimates | 63 | 12 | |
| 070–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts | 52 | | |

Support" for fiscal year **2020** **2021** in this Act shall remain available through September 30, **2021** **2022**, in the account and for the purposes for which the appropriations were provided: *Provided*, That prior to the obligation of such funds, a notification shall be submitted to the Committees on Appropriations of the Senate and the House of Representatives in accordance with section 503 of this Act.

SEC. 506. Funds made available by this Act for intelligence activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year **2020** **2021** until the enactment of an Act authorizing intelligence activities for fiscal year **2020** **2021**.

SEC. 507. (a) The Secretary of Homeland Security, or the designee of the Secretary, shall notify the Committees on Appropriations of the Senate and the House of Representatives at least 3 full business days in advance of—

(1) making or awarding a grant allocation, grant, contract, other transaction agreement, or task or delivery order on a Department of Homeland Security multiple award contract, or to issue a letter of intent totaling in excess of \$1,000,000;

(2) awarding a task or delivery order requiring an obligation of funds in an amount greater than \$10,000,000 from multi-year Department of Homeland Security funds;

(3) making a sole-source grant award; or

(4) announcing publicly the intention to make or award items under paragraph (1), (2), or (3), including a contract covered by the Federal Acquisition Regulation.

(b) If the Secretary of Homeland Security determines that compliance with this section would pose a substantial risk to human life, health, or safety, an award may be made without notification, and the Secretary shall notify the Committees on Appropriations of the Senate and the House of Representatives not later than 5 full business days after such an award is made or letter issued.

(c) A notification under this section—

(1) may not involve funds that are not available for obligation; and

(2) shall include the amount of the award; the fiscal year for which the funds for the award were appropriated; the type of contract; and the account from which the funds are being drawn.

SEC. 508. Notwithstanding any other provision of law, no agency shall purchase, construct, or lease any additional facilities, except within or contiguous to existing locations, to be used for the purpose of conducting Federal law enforcement training without advance notification to the Committees on Appropriations of the Senate and the House of Representatives, except that the Federal Law Enforcement Training Centers is authorized to obtain the temporary use of additional facilities by lease, contract, or other agreement for training that cannot be accommodated in existing Centers' facilities.

SEC. 509. None of the funds appropriated or otherwise made available by this Act may be used for expenses for any construction, repair, alteration, or acquisition project for which a prospectus otherwise required under chapter 33 of title 40, United States Code, has not been approved, except that necessary funds may be expended for each project for required expenses for the development of a proposed prospectus.

SEC. 510. Sections 520, 522, and 530 of the Department of Homeland Security Appropriations Act, 2008 (division E of Public Law 110-161; 121 Stat. 2073 and 2074) shall apply with respect to funds made available in this Act in the same manner as such sections applied to funds made available in that Act.

SEC. 511. None of the funds made available in this Act may be used in contravention of the applicable provisions of the Buy American Act: *Provided*, That for purposes of the preceding sentence, the term "Buy American Act" means chapter 83 of title 41, United States Code.

SEC. 512. None of the funds made available in this Act may be used to amend the oath of allegiance required by section 337 of the Immigration and Nationality Act (8 U.S.C. 1448).

SEC. 513. None of the funds provided or otherwise made available in this Act shall be available to carry out section 872 of the Homeland Security Act of 2002 (6 U.S.C. 452) unless explicitly authorized by the Congress.

SEC. 514. None of the funds made available in this Act may be used for planning, testing, piloting, or developing a national identification card.

SEC. 515. Any official that is required by this Act to report or to certify to the Committees on Appropriations of the Senate and the House of Representatives may not delegate such authority to perform that act unless specifically authorized herein.

SEC. 516. None of the funds appropriated or otherwise made available in this or any other Act may be used to transfer, release, or assist in the transfer or release to or within the United States, its territories, or possessions Khalid Sheikh Mohammed or any other detainee who—

(1) is not a United States citizen or a member of the Armed Forces of the United States; and

(2) is or was held on or after June 24, 2009, at the United States Naval Station, Guantanamo Bay, Cuba, by the Department of Defense.

SEC. 517. None of the funds made available in this Act may be used for first-class travel by the employees of agencies funded by this Act in contravention of sections 301-10.122 through 301-10.124 of title 41, Code of Federal Regulations.

SEC. 518. None of the funds made available in this Act may be used to employ workers described in section 274A(h)(3) of the Immigration and Nationality Act (8 U.S.C. 1324a(h)(3)).

SEC. 519. Notwithstanding any other provision of this Act, none of the funds appropriated or otherwise made available by this Act may be used to pay award or incentive fees for contractor performance that has been judged to be below satisfactory performance or performance that does not meet the basic requirements of a contract.

SEC. 520. None of the funds appropriated or otherwise made available by this Act may be used by the Department of Homeland Security to enter into any Federal contract unless such contract is entered into in accordance with the requirements of subtitle I of title 41, United States Code, or chapter 137 of title 10, United States Code, and the Federal Acquisition Regulation, unless such contract is otherwise authorized by statute to be entered into without regard to the above referenced statutes.

SEC. 521. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.

SEC. 522. None of the funds made available in this Act may be used by a Federal law enforcement officer to facilitate the transfer of an operable firearm to an individual if the Federal law enforcement officer knows or suspects that the individual is an agent of a drug cartel unless law enforcement personnel of the United States continuously monitor or control the firearm at all times.

SEC. 523. None of the funds made available in this Act may be used to pay for the travel to or attendance of more than 50 employees of a single component of the Department of Homeland Security, who are stationed in the United States, at a single international conference unless the Secretary of Homeland Security, or a designee, determines that such attendance is in the national interest and notifies the Committees on Appropriations of the Senate and the House of Representatives within at least 10 days of [that determination and] the basis for that determination: *Provided*, That for purposes of this section the term "international conference" shall mean a conference occurring outside of the United States attended by representatives of the United States Government and of foreign governments, international organizations, or nongovernmental organizations: *Provided further*, That the total cost to the Department of Homeland Security of any such conference shall not exceed \$500,000.

SEC. 524. None of the funds made available in this Act may be used to reimburse any Federal department or agency for its participation in a National Special Security Event.

SEC. 525. None of the funds made available to the Department of Homeland Security by this or any other Act may be obligated for any structural pay reform that affects more than 100 full-time positions or costs more than \$5,000,000 in a single year before the end of the 30-day period beginning on the date on which the Secretary of Homeland Security submits to Congress a notification that includes—

(1) the number of full-time positions affected by such change;

(2) funding required for such change for the current year and through the Future Years Homeland Security Program;

(3) justification for such change; and

(4) an analysis of compensation alternatives to such change that were considered by the Department.

SEC. 526. (a) Any agency receiving funds made available in this Act shall, subject to subsections (b) and (c), post on the public website of that agency any report required to be submitted by the Committees on Appropriations of the Senate and the House of Representatives in this Act, upon the determination by the head of the agency that it shall serve the national interest.

(b) Subsection (a) shall not apply to a report if—

(1) the public posting of the report compromises homeland or national security; or

(2) the report contains proprietary information.

(c) The head of the agency posting such report shall do so only after such report has been made available to the Committees on Appropriations of the Senate and the House of Representatives for not less than 45 days except as otherwise specified in law.

SEC. 527. (a) Funding provided in this Act for "Operations and Support", or in the case of the Federal Emergency Management Agency for "Federal Assistance", may be used for minor procurement, construction, and improvements.

(b) For purposes of subsection (a), "minor" refers to end items with a unit cost of \$250,000 or less for personal property, and \$2,000,000 or less for real property.

SEC. 528. None of the funds made available by this Act may be obligated or expended to implement the Arms Trade Treaty until the Senate approves a resolution of ratification for the Treaty.

SEC. 529. The authority provided by section 532 of the Department of Homeland Security Appropriations Act, 2018 (Public Law 115–141) regarding primary and secondary schooling of dependents shall continue in effect during fiscal year [2020] 2021.

[SEC. 530. (a) For an additional amount for "Federal Emergency Management Agency-Federal Assistance", \$41,000,000, to remain available until September 30, 2021, exclusively for providing reimbursement of extraordinary law enforcement personnel costs for protection activities directly and demonstrably associated with any residence of the President that is designated or identified to be secured by the United States Secret Service.

(b) Subsections (b) through (f) of section 534 of the Department of Homeland Security Appropriations Act, 2018 (Public Law 115–141), shall be applied with respect to amounts made available by subsection (a) of this section by substituting "October 1, 2020" for "October 1, 2018" and "October 1, 2019" for "October 1, 2017".]

SEC. 531. (a) Section 831 of the Homeland Security Act of 2002 (6 U.S.C. 391) shall be applied—

(1) In subsection (a), by substituting "September 30, [2020,] 2021," for "September 30, 2017,"; and

(2) In subsection (c)(1), by substituting "September 30, [2020,] 2021" for "September 30, 2017".

(b) The Secretary of Homeland Security, under the authority of section 831 of the Homeland Security Act of 2002 (6 U.S.C. 391(a)), may carry out prototype projects under section 2371b of title 10, United States Code, and the Secretary shall perform the functions of the Secretary of Defense as prescribed.

(c) The Secretary of Homeland Security under section 831 of the Homeland Security Act of 2002 (6 U.S.C. 391(d)) may use the definition of nontraditional government contractor as defined in section 2371b(e) of title 10, United States Code.

[SEC. 532. (a) None of the funds appropriated or otherwise made available to the Department of Homeland Security by this Act may be used to prevent any of the following persons from entering, for the purpose of conducting oversight, any facility operated by or for the Department of Homeland Security used to detain or otherwise house aliens, or to make any temporary modification at any such facility that in any way alters what is observed by a visiting member of Congress or such designated employee, compared to what would be observed in the absence of such modification:

(1) A Member of Congress.

(2) An employee of the United States House of Representatives or the United States Senate designated by such a Member for the purposes of this section.

(b) Nothing in this section may be construed to require a Member of Congress to provide prior notice of the intent to enter a facility described in subsection (a) for the purpose of conducting oversight.

(c) With respect to individuals described in subsection (a)(2), the Department of Homeland Security may require that a request be made at least 24 hours in advance of an intent to enter a facility described in subsection (a).]

SEC. 533. (a) Except as provided in subsection (b), none of the funds made available in this Act may be used to place restraints on a woman in the custody of the Department of Homeland Security (including during transport, in a detention facility, or at an outside medical facility) who is pregnant or in post-delivery recuperation.

(b) Subsection (a) shall not apply with respect to a pregnant woman if—

(1) an appropriate official of the Department of Homeland Security makes an individualized determination that the woman—

(A) is a serious flight risk, and such risk cannot be prevented by other means; or

(B) poses an immediate and serious threat to harm herself or others that cannot be prevented by other means; or

(2) a medical professional responsible for the care of the pregnant woman determines that the use of therapeutic restraints is appropriate for the medical safety of the woman.

(c) If a pregnant woman is restrained pursuant to subsection (b), only the safest and least restrictive restraints, as determined by the appropriate medical professional treating the woman, may be used. In no case may restraints be used on a woman who is in active labor or delivery, and in no case may a pregnant woman be restrained in a face-down position with four-point restraints, on her back, or in

a restraint belt that constricts the area of the pregnancy. A pregnant woman who is immobilized by restraints shall be positioned, to the maximum extent feasible, on her left side.

SEC. 534. None of the funds made available by this Act to the Department of Homeland Security may be used to destroy any document, recording, or other record pertaining to any potential sexual assault or abuse perpetrated against any individual held in the custody of the Department of Homeland Security.

SEC. 535. Section 519 of division F of Public Law 114–113, regarding a prohibition on funding for any position designated as a Principal Federal Official, shall apply with respect to funds made available in this Act in the same manner as such section applied to funds made available in that Act.

SEC. 536. Within 60 days of any budget submission for the Department of Homeland Security for fiscal year [2021] 2022 that assumes revenues or proposes a reduction from the previous year based on user fees proposals that have not been enacted into law prior to the submission of the budget, the Secretary of Homeland Security shall provide the Committees on Appropriations of the Senate and the House of Representatives specific reductions in proposed discretionary budget authority commensurate with the revenues assumed in such proposals in the event that they are not enacted prior to October 1, [2020] 2021.

(CANCELLATIONS)

[SEC. 537. (a) Of the unobligated balances of funds made available under the heading "U.S. Customs and Border Protection-Operations and Support" in Title III of the Emergency Supplemental Appropriations for Humanitarian Assistance and Security at the Southern Border Act, 2019 (Public Law 116–26), \$233,000,000 are hereby rescinded.

(b) The amounts rescinded pursuant to subsection (a) that were previously designated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985 are designated by the Congress as an emergency requirement pursuant to that section of that Act.]

[SEC. 538. Of the funds appropriated to the Department of Homeland Security, the following funds are hereby rescinded from the following accounts and programs in the specified amounts: *Provided*, That no amounts may be rescinded from amounts that were designated by the Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99–177):

(1) \$91,000,000 from Public Law 116–6 under the heading "Customs and Border Protection-Operations and Support".

(2) \$38,000,000 from Public Law 116–6 under the heading "Customs and Border Protection-Procurement, Construction and Improvements".

(3) \$20,000,000 from Public Law 115–141 under the heading "Customs and Border Protection-Procurement, Construction, and Improvements".

(4) \$5,000,000 from Public Law 115–141 under the heading "Coast Guard-Research, Development, Test, and Evaluation".

(5) \$42,379,000 from Public Law 116–6 under the heading "Transportation Security Administration-Operations and Support".

(6) \$5,764,000 from Public Law 116–6 under the heading "Transportation Security Administration-Procurement, Construction and Improvements".]

[SEC. 539. The following unobligated balances made available to the Department of Homeland Security pursuant to Section 505 of the Department of Homeland Security Appropriations Act, 2019 (Public Law 116–6) are rescinded:

(1) \$153,000 from "Office of the Secretary and Executive Management-Operations and Support"

(2) \$304,000 from "Management Directorate-Operations and Support";

(3) \$130,000 from "Intelligence, Analysis, and Operations Coordination-Operations and Support";

(4) \$3,600 from "Office of Inspector General-Operations and Support";

(5) \$269,000 from "U.S. Customs and Border Protection-Operations and Support";

(6) \$8,999,000 from "U.S. Immigration and Customs Enforcement-Operations and Support";

(7) \$69,000 from "Coast Guard-Operations and Support";

(8) \$695,000 from "United States Secret Service-Operations and Support";

(9) \$3,915,000 from "Cybersecurity and Infrastructure Security Agency-Operations and Support";

(10) \$1,815,000 from "U.S. Citizenship and Immigration Services-Operations and Support";

(11) \$313,000 from "Federal Law Enforcement Training Centers-Operations and Support";

(12) \$273,000 from "Science and Technology Directorate-Operations and Support";

(13) \$1,596,000 from "Countering Weapons of Mass Destruction Office-Operations and Support".】

【SEC. 540. Of the unobligated balances made available to "Federal Emergency Management Agency-Disaster Relief Fund", \$300,000,000 shall be rescinded: *Provided*, That no amounts may be rescinded from amounts that were designated by the Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985,

as amended: *Provided further*, That no amounts may be rescinded from the amounts that were designated by the Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985.】

SEC. 535. *Of the unobligated amounts made available by Public Law 116-93 under the heading "Coast Guard-Procurement, Construction, and Improvements", \$70,000,000 are hereby permanently cancelled. (Department of Homeland Security Appropriations Act, 2020.)*