

# DEPARTMENT OF EDUCATION

## OFFICE OF ELEMENTARY AND SECONDARY EDUCATION

### Federal Funds

#### EDUCATION FOR THE DISADVANTAGED

For carrying out title I [and subpart 2 of part B of title II] of the Elementary and Secondary Education Act of 1965 (referred to in this Act as "ESEA") and section 418A of the Higher Education Act of 1965 (referred to in this Act as "HEA"), **[\$16,543,790,000]** *\$16,376,790,000*, of which **[\$5,625,990,000]** *\$4,595,269,000* shall become available on July 1, **[2019]** *2020*, and shall remain available through September 30, **[2020]** *2021*, and of which **[\$10,841,177,000]** *\$11,681,898,000* shall become available on October 1, **[2019]** *2020*, and shall remain available through September 30, **[2020]** *2021*, for academic year **[2019–2020]** *2020–2021*: *Provided*, That \$6,459,401,000 shall be for basic grants under section 1124 of the ESEA: *Provided further*, That up to \$5,000,000 of these funds shall be available to the Secretary of Education (referred to in this title as "Secretary") on October 1, **[2018]** *2019*, to obtain annually updated local educational agency-level census poverty data from the Bureau of the Census: *Provided further*, That \$1,362,301,000 shall be for concentration grants under section 1124A of the ESEA: *Provided further*, That \$4,019,050,000 shall be for targeted grants under section 1125 of the ESEA: *Provided further*, That \$4,019,050,000 shall be for education finance incentive grants under section 1125A of the ESEA: *Provided further*, That \$217,000,000 shall be for carrying out subpart 2 of part B of title II: *Provided further*, That *\$50,000,000 shall be for local educational agencies to implement weighted per-pupil funding systems through a demonstration agreement with the Secretary under part E of title I of the ESEA: Provided further*, That \$44,623,000 shall be for carrying out section 418A of the HEA: *Provided further*, That, *notwithstanding section 1003A(a)(1)(A) of the ESEA, a State educational agency may reserve not more than 5 percent of the amount such State educational agency receives under Part A of Title I to carry out section 1003A of the ESEA.* (Department of Education Appropriations Act, 2019.)

#### Program and Financing (in millions of dollars)

Identification code 091–0900–0–1–501	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Grants to local educational agencies .....	15,758	15,860	15,019
0002 State agency programs: Migrants .....	375	375	375
0003 State agency programs: Neglected and delinquent .....	48	48	48
0004 Special programs for migrant students .....	45	45	45
0006 Comprehensive literacy development grants .....	9	190	190
0007 Innovative approaches to literacy .....	27	27	.....
0008 Student-centered funding incentive grants .....	.....	.....	50
0900 Total new obligations, unexpired accounts .....	16,262	16,545	15,727
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	198	197
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5,603	5,703	4,695
Advance appropriations, discretionary:			
1170 Advance appropriation .....	10,841	10,841	10,841
1900 Budget authority (total) .....	16,444	16,544	15,536
1930 Total budgetary resources available .....	16,460	16,742	15,733
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	198	197	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10,221	11,148	10,186
3010 New obligations, unexpired accounts .....	16,262	16,545	15,727
3020 Outlays (gross) .....	–15,328	–17,507	–16,474
3041 Recoveries of prior year unpaid obligations, expired .....	–7	.....	.....
3050 Unpaid obligations, end of year .....	11,148	10,186	9,439
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10,221	11,148	10,186
3200 Obligated balance, end of year .....	11,148	10,186	9,439
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	16,444	16,544	15,536
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6,989	7,816	7,776

4011 Outlays from discretionary balances .....	8,339	9,691	8,698
4020 Outlays, gross (total) .....	15,328	17,507	16,474
4180 Budget authority, net (total) .....	16,444	16,544	15,536
4190 Outlays, net (total) .....	15,328	17,507	16,474

#### SUMMARY OF PROGRAM LEVEL

(in millions of dollars)

	2018–2019 Academic Year	2019–2020 Academic Year	2020–2021 Academic Year
New Budget Authority .....	5,603	5,703	4,695
Advance appropriation .....	10,841	10,841	11,682
Total program level .....	16,444	16,544	16,377
Change in advance appropriation from the previous year .....	0	0	+841

**Grants to local educational agencies.**—Funds are allocated via formula for programs that provide academic support to help students in high-poverty schools meet challenging State standards. States assess annually all students in certain grades in at least English language arts, mathematics, and science; develop systems to differentiate among schools on the basis of performance on those assessments and other indicators; provide parents with information on the performance of their child's school; and ensure the development and implementation of support and improvement plans for the lowest-performing schools.

**Student-centered funding incentive grants.**—Funds would support grants intended to spur local educational agencies to participate in agreements under Title I, Part E of the ESEA, which allow for the consolidation of Federal funds together with State and local funds in student-centered funding systems based on weighted per-pupil allocations.

**State agency migrant program.**—Funds support formula grants to States for educational services to children of migratory farmworkers and fishers, with resources and services for children who have moved within the past 36 months.

**State agency neglected and delinquent children and youth education program.**—Funds support formula grants to States for educational services to neglected or delinquent children and youth in State-run institutions, attending community day programs, and in correctional facilities.

**Special programs for migrant students.**—Funds support grants to institutions of higher education and nonprofit organizations that assist migratory students in earning a high school equivalency certificate or in completing their first year of college.

#### Object Classification (in millions of dollars)

Identification code 091–0900–0–1–501	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	5	5	5
25.2 Other services from non-Federal sources .....	10	10	10
25.3 Other goods and services from Federal sources .....	5	5	5
25.7 Operation and maintenance of equipment .....	5	5	5
41.0 Grants, subsidies, and contributions .....	16,237	16,520	15,702
99.9 Total new obligations, unexpired accounts .....	16,262	16,545	15,727

#### IMPACT AID

For carrying out programs of financial assistance to federally affected schools authorized by title VII of the ESEA, **[\$1,446,112,000]** *\$1,371,799,000*, of which \$1,301,242,000 shall be for basic support payments under section 7003(b), \$48,316,000 shall be for payments for children with disabilities under section 7003(d), \$17,406,000, to remain available for obligation through September 30, **[2020]** *2021*, shall be for construction under section 7007(b), **[\$74,313,000]** shall be for Federal property payments under section 7002, **[** and \$4,835,000, to remain available until expended, shall be for facilities maintenance under section 7008: *Provided*, That for purposes of computing the amount of a payment for an eligible local educational agency under section 7003(a) for school year **[2018–2019]**

## IMPACT AID—Continued

2019–2020, children enrolled in a school of such agency that would otherwise be eligible for payment under section 7003(a)(1)(B) of such Act, but due to the deployment of both parents or legal guardians, or a parent or legal guardian having sole custody of such children, or due to the death of a military parent or legal guardian while on active duty (so long as such children reside on Federal property as described in section 7003(a)(1)(B)), are no longer eligible under such section, shall be considered as eligible students under such section, provided such students remain in average daily attendance at a school in the same local educational agency they attended prior to their change in eligibility status. (*Department of Education Appropriations Act, 2019.*)

## Program and Financing (in millions of dollars)

Identification code 091–0102–0–1–501	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Basic support payments .....	1,271	1,302	1,302
0002 Payments for children with disabilities .....	48	48	48
0091 Direct program activities, subtotal .....	1,319	1,350	1,350
0101 Facilities maintenance .....	5	5	5
0201 Construction .....	35	17	17
0301 Payments for Federal property .....	73	74	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	1,432	1,446	1,372
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	22	4	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,414	1,446	1,372
1930 Total budgetary resources available .....	1,436	1,450	1,376
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	234	198	302
3010 New obligations, unexpired accounts .....	1,432	1,446	1,372
3011 Obligations ("upward adjustments"), expired accounts .....	225	.....	.....
3020 Outlays (gross) .....	–1,466	–1,342	–1,393
3041 Recoveries of prior year unpaid obligations, expired .....	–227	.....	.....
3050 Unpaid obligations, end of year .....	198	302	281
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	234	198	302
3200 Obligated balance, end of year .....	198	302	281
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,414	1,446	1,372
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,242	1,284	1,217
4011 Outlays from discretionary balances .....	224	58	176
4020 Outlays, gross (total) .....	1,466	1,342	1,393
4180 Budget authority, net (total) .....	1,414	1,446	1,372
4190 Outlays, net (total) .....	1,466	1,342	1,393

Impact Aid helps to replace the lost local revenue that would otherwise be available to educate federally connected children. The presence of certain students living on Federal property, such as students who are military dependents or who reside on Indian lands, can place a financial burden on local educational agencies (LEAs) that educate them. The property on which the children live and their parents work is exempt from local property taxes, limiting LEAs access a central source of revenue used by most communities to finance education.

**Basic support payments.**—Payments will be made on behalf of approximately 800,000 federally connected students enrolled in over 1,000 LEAs to assist them in meeting their operation and maintenance costs. Average per-student payments will be approximately \$1,630.

**Payments for children with disabilities.**—Payments in addition to those provided under the Individuals with Disabilities Education Act (IDEA) will be provided on behalf of approximately 50,000 federally connected students with disabilities in over 800 LEAs. Average per-student payments will be approximately \$970.

**Facilities Maintenance.**—Funds will be used to provide emergency repairs for school facilities that serve military dependents and are owned by the Department of Education. Funds will also be used to transfer the facilities to LEAs.

**Construction.**—Approximately 6 to 10 construction grants will be awarded competitively to the highest-need Impact Aid LEAs for emergency repairs and modernization of school facilities.

## SCHOOL IMPROVEMENT PROGRAMS

For carrying out school improvement activities authorized by part B of title I, and [part A of title II, subpart 1 of part A of title IV,] part B of title IV, part B of title V [ , and parts B and C of title VI] of the ESEA; the McKinney-Vento Homeless Assistance Act; [section 203 of the Educational Technical Assistance Act of 2002;] the Compact of Free Association Amendments Act of 2003; and the Civil Rights Act of 1964, [\$5,246,967,000] \$675,614,000, of which [\$3,418,402,000] \$652,340,000 shall become available on July 1, [2019] 2020, and remain available through September 30, [2020] 2021 [ , and of which \$1,681,441,000 shall become available on October 1, 2019, and shall remain available through September 30, 2020, for academic year 2019–2020]; *Provided*, That \$378,000,000 shall be for part B of title I: *Provided further*, That \$1,221,673,000 shall be for part B of title IV: *Provided further*, That \$36,397,000 shall be for part B of title VI and may be used for construction, renovation, and modernization of any elementary school, secondary school, or structure related to an elementary school or secondary school, run by the Department of Education of the State of Hawaii, that serves a predominantly Native Hawaiian student body: *Provided further*, That \$35,453,000 shall be for part C of title VI and shall be awarded on a competitive basis, and also may be used for construction: *Provided further*, That \$52,000,000 shall be available to carry out section 203 of the Educational Technical Assistance Act of 2002 and the Secretary shall make such arrangements as determined to be necessary to ensure that the Bureau of Indian Education has access to services provided under this section: *Provided further*, That \$16,699,000 shall be available to carry out the Supplemental Education Grants program for the Federated States of Micronesia and the Republic of the Marshall Islands: *Provided further*, That the Secretary may reserve up to 5 percent of the amount referred to in the previous proviso to provide technical assistance in the implementation of these grants: *Provided further*, That \$180,840,000 shall be for part B of title V [ : *Provided further*, That \$1,170,000,000 shall be available for grants under subpart 1 of part A of title IV]. (*Department of Education Appropriations Act, 2019.*)

## Program and Financing (in millions of dollars)

Identification code 091–1000–0–1–501	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Supporting effective instruction State grants .....	2,050	2,056	1,681
0002 21st century community learning centers .....	1,210	1,222	.....
0003 State assessments .....	371	378	378
0004 Education for homeless children and youths .....	85	94	94
0005 Native Hawaiians education .....	36	36	.....
0006 Alaska Native education .....	35	33	.....
0007 Training and advisory services .....	7	7	7
0008 Rural education .....	181	181	181
0009 Supplemental education grants .....	17	17	17
0010 Comprehensive centers .....	52	52	.....
0011 Pooled evaluation .....	5	.....	.....
0012 Student support and academic enrichment .....	1,080	1,170	.....
0900 Total new obligations, unexpired accounts .....	5,129	5,246	2,358
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	28	57	58
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,477	3,566	676
Advance appropriations, discretionary:			
1170 Advance appropriation .....	1,681	1,681	1,681
1900 Budget authority (total) .....	5,158	5,247	2,357
1930 Total budgetary resources available .....	5,186	5,304	2,415
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	57	58	57
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,785	5,710	5,994
3010 New obligations, unexpired accounts .....	5,129	5,246	2,358

3020	Outlays (gross) .....	-4,194	-4,962	-5,131
3041	Recoveries of prior year unpaid obligations, expired .....	-10		
3050	Unpaid obligations, end of year .....	5,710	5,994	3,221
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	4,785	5,710	5,994
3200	Obligated balance, end of year .....	5,710	5,994	3,221
<b>Budget authority and outlays, net:</b>				
	Discretionary:			
4000	Budget authority, gross .....	5,158	5,247	2,357
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	956	1,080	1,023
4011	Outlays from discretionary balances .....	3,238	3,882	4,108
4020	Outlays, gross (total) .....	4,194	4,962	5,131
4180	Budget authority, net (total) .....	5,158	5,247	2,357
4190	Outlays, net (total) .....	4,194	4,962	5,131

**SUMMARY OF PROGRAM LEVEL**

(in millions of dollars)

	2018–2019 Academic Year	2019–2020 Academic Year	2020–2021 Academic Year
New Budget Authority .....	3,477	3,566	676
Advance Appropriation .....	1,681	1,681	0
Total program level .....	5,158	5,247	676
Change in advance appropriation over previous year .....	0	0	-1,681

**State assessments.**—Funds would support formula and competitive grants to States to develop and implement assessments that are aligned with college- and career-ready academic standards. Funds could also support audits of State and local assessment systems.

**Education for homeless children and youths.**—Funds would support activities to ensure that all homeless children and youth have equal access to the same free, appropriate public education available to other children.

**Training and advisory services.**—Funds support grants to regional equity assistance centers that provide technical assistance to local educational agencies (LEAs) in addressing educational equity related to issues of race, sex, national origin, and religion.

**Rural education.**—Funds would support formula grants under two programs: the Small, Rural School Achievement program and the Rural and Low-Income School program. The Small, Rural School Achievement program provides rural LEAs with small enrollments with additional formula funds to carry out activities authorized elsewhere in the Elementary and Secondary Education Act of 1965 (ESEA). Funds under the Rural and Low-Income School program, which targets rural LEAs that serve concentrations of poor students, are allocated by formula to States, which in turn allocate funds to eligible LEAs.

**Supplemental education grants.**—Funds support grants to the Federated States of Micronesia and to the Republic of the Marshall Islands in place of grant programs in which those Freely Associated States no longer participate pursuant to the Compact of Free Association Amendments Act of 2003.

**Object Classification** (in millions of dollars)

Identification code 091–1000–0–1–501	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....	5	5	5
25.2 Other services from non-Federal sources .....	14	14	14
25.3 Other goods and services from Federal sources .....	3	3	3
25.5 Research and development contracts .....	1	1	1
25.7 Operation and maintenance of equipment .....	2	2	2
41.0 Grants, subsidies, and contributions .....	5,104	5,221	2,333
99.9 Total new obligations, unexpired accounts .....	5,129	5,246	2,358

\$95,000,000 shall be available for section 4631], of which up to \$5,000,000 \$10,000,000, to remain available until expended, shall be for the Project School Emergency Response to Violence (Project SERV) program: *Provided, That \$100,000,000 shall be for school safety grants to States, of which the Secretary shall (1) reserve \$500,000 for the outlying areas, to be distributed among those outlying areas on the basis of their relative shares of the number of individuals aged 5 through 17, as determined by the Secretary on the basis of the most recent satisfactory data; (2) reserve \$500,000 for the Secretary of the Interior for programs in schools operated or funded by the Bureau of Indian Education; and (3) award the remaining \$99,000,000 among the 50 States, the District of Columbia, and Puerto Rico based on their relative shares of the number of individuals aged 5 through 17 as determined by the Secretary on the basis of the most recent satisfactory data: Provided further, That the minimum grant size awarded to the 50 States, the District of Columbia, and Puerto Rico shall be \$500,000: Provided further, That funds awarded under the first proviso shall be used for activities authorized under section 4104(b) and consistent with the purposes of section 4108 of the ESEA, in accordance with a plan that shall be submitted to the Secretary at such time and in such manner as the Secretary may require [; Provided further, That \$17,500,000 shall be available for section 4625: Provided further, That \$78,254,000 shall be available through December 31, 2019, for section 4624]. (Department of Education Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 091–0203–0–1–501	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 School safety national activities .....	87	95	200
0002 Full-service community schools .....	17	18	
0003 Promise neighborhoods .....	105	78	55
0500 Direct program activities, subtotal .....	209	191	255
0900 Total new obligations, unexpired accounts .....	209	191	255
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	78	55	55
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	186	191	200
1930 Total budgetary resources available .....	264	246	255
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	55	55	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	236	273	237
3010 New obligations, unexpired accounts .....	209	191	255
3020 Outlays (gross) .....	-169	-227	-199
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	273	237	293
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	236	273	237
3200 Obligated balance, end of year .....	273	237	293
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	186	191	200
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	4	4
4011 Outlays from discretionary balances .....	166	223	195
4020 Outlays, gross (total) .....	169	227	199
4180 Budget authority, net (total) .....	186	191	200
4190 Outlays, net (total) .....	169	227	199

**School safety national activities.**—Funds would support activities to improve students' safety and well-being, including new School Safety State Grants that would build State and local capacity to address school safety issues, including through activities that draw on the recommendations of the Federal Commission on School Safety; School Climate Transformation Grants that support multi-tiered behavioral frameworks designed to improve behavioral outcomes and which also can help address the effects of opioid abuse on students and communities; Project Prevent grants to help students in communities experiencing pervasive violence; Mental Health Demonstration Grants that expand the pipeline of school-based counselors, social workers, psychologists, and other mental health

**SAFE SCHOOLS AND CITIZENSHIP EDUCATION**

For carrying out activities authorized by [subparts 2 and 3 of part F of title IV] section 4631 of the ESEA, [\$190,754,000] \$200,000,000 [; Provided, That

## SAFE SCHOOLS AND CITIZENSHIP EDUCATION—Continued

professionals; Grants to States for Emergency Management that help States strengthen school emergency operation plans; and Project SERV (School Emergency Response to Violence), which provides services to school districts and postsecondary institutions in which the learning environment has been disrupted due to a violent or traumatic incident.

**Object Classification** (in millions of dollars)

Identification code 091–0203–0–1–501	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....	2	2	2
25.2 Other services from non-Federal sources .....	10	10	10
41.0 Grants, subsidies, and contributions .....	197	179	243
99.9 Total new obligations, unexpired accounts .....	209	191	255

## INDIAN EDUCATION

For expenses necessary to carry out, to the extent not otherwise provided, title VI, part A of the ESEA, **[\$180,239,000] \$176,239,000**, of which **[\$67,993,000] \$63,993,000** shall be for subpart 2 of part A of title VI and **\$6,865,000** shall be for subpart 3 of part A of title VI: *Provided, That the Secretary may make awards under subpart 3 of Part A of title VI without regard to the funding limitation in section 6133(b)(1) of the ESEA: Provided further, That notwithstanding sections 6132(c)(2) and 6133(d)(1) of such Act, the Secretary may make such awards for a period of up to 5 years. (Department of Education Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 091–0101–0–1–501	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Grants to local educational agencies .....	105	105	105
0002 Special programs for Indian children .....	68	68	64
0003 National activities .....	7	7	7
0900 Total new obligations, unexpired accounts .....	180	180	176
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	180	180	176
1930 Total budgetary resources available .....	180	180	176
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	182	210	179
3010 New obligations, unexpired accounts .....	180	180	176
3020 Outlays (gross) .....	–148	–211	–180
3041 Recoveries of prior year unpaid obligations, expired .....	–4		
3050 Unpaid obligations, end of year .....	210	179	175
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	182	210	179
3200 Obligated balance, end of year .....	210	179	175
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	180	180	176
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	9	9
4011 Outlays from discretionary balances .....	142	202	171
4020 Outlays, gross (total) .....	148	211	180
4180 Budget authority, net (total) .....	180	180	176
4190 Outlays, net (total) .....	148	211	180

The Indian Education programs support the efforts of local educational agencies (LEA), tribes, and Indian organizations to improve teaching and learning for the Nation's American Indian and Alaska Native children.

*Grants to local educational agencies.*—Formula grants support LEAs in their efforts to enhance and supplement elementary and secondary school programs that serve Indian students, with the goal of ensuring that such programs assist participating students in meeting the same academic standards as all other students.

*Special programs for Indian children.*—Funds support awards under the Demonstration Grants authority including for Native Youth Community Projects, to improve the college- and career-readiness of Native youth, as well as professional development grants for training Native American teachers and administrators for employment in school districts that serve a high proportion of Indian students.

*National activities.*—Funds support research, evaluation, data collection, and related activities, grants for Native language immersion schools and programs, and grants to Tribes to create Tribal educational agencies and to expand the capacity of existing Tribal educational agencies through education administrative planning, development, and coordination.

**Object Classification** (in millions of dollars)

Identification code 091–0101–0–1–501	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	3	3	3
41.0 Grants, subsidies, and contributions .....	177	177	173
99.9 Total new obligations, unexpired accounts .....	180	180	176

## OFFICE OF INNOVATION AND IMPROVEMENT

**Federal Funds**

## INNOVATION AND IMPROVEMENT

For carrying out activities authorized by [subparts] *subpart 1* [ , 3 and 4 ] of part B of title II, and parts C [ , ] and D [ , ] and [E and subparts] *subpart 1* [ and 4 ] of part F of title IV of the ESEA, **[\$1,035,556,000] \$1,107,000,000**: *Provided, That* **[\$279,815,000] \$200,000,000** shall be for [subparts] *subpart 1* [ , 3 and 4 ] of part B of title II and shall be made available without regard to [sections] *section* 2201 [ , 2231(b) and 2241 ]: *Provided further, That* **[\$625,741,000] \$907,000,000** shall be for parts C [ , ] and D [ , ] and E [ ] and subpart 4 of part F of title IV, and shall be made available without regard to sections 4311, 4409(a), and 4601 [of the ESEA]: *Provided further, That* section 4303(d)(3)(A)(i) shall not apply to the funds available for part C of title IV: *Provided further, That* of the funds available for part C of title IV, the Secretary shall use *not more than* **[\$55,000,000] \$125,000,000** to carry out section 4304 [ , ] of which not more than \$10,000,000 shall be available to carry out section 4304(k), *and not more than* **\$185,000,000** to carry out section 4305, of which not more than \$15,000,000 shall be available to carry out section 4305(a)(2) and not more than \$20,000,000 shall be available to carry out the activities in section 4305(a)(3): *Provided further, That the funds used to carry out section 4305(b) [ \$135,000,000, to ] shall remain available through March 31, [2020] 2021 [ , ]* to carry out section 4305(b), and not more than \$15,000,000 to carry out the activities in section 4305(a)(3): *Provided further, That notwithstanding section 4601(b), [ \$130,000,000 ] \$300,000,000* shall be available through December 31, [2019] 2020 for subpart 1 of part F of title IV: *Provided further, That the Secretary may restrict eligibility under section 4611(b) to local educational agencies. (Department of Education Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 091–0204–0–1–501	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Education, innovation and research .....	123	130	300
0002 Teacher and school leader incentive fund .....	200	200	200
0003 American history and civics .....	3	5	
0004 Supporting effective educator development (SEED) .....	75	75	
0005 Charter schools .....	300	440	500
0006 Magnet schools .....	105	107	107
0007 Ready to learn programming .....	28	28	
0008 Arts in education .....	29	29	
0009 Javits gifted and talented education .....	12	12	
0010 Statewide family engagement centers .....	10	10	
0799 Total direct obligations .....	885	1,036	1,107
0801 DC schools/SOAR Act .....	57	45	75
0900 Total new obligations, unexpired accounts .....	942	1,081	1,182
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	31	116	117

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	982	1,036	1,107
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	45	46	46
1900	Budget authority (total) .....	1,027	1,082	1,153
1930	Total budgetary resources available .....	1,058	1,198	1,270
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	116	117	88

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,743	2,464	1,965
3010	New obligations, unexpired accounts .....	942	1,081	1,182
3011	Obligations ("upward adjustments"), expired accounts .....	2		
3020	Outlays (gross) .....	-1,189	-1,580	-1,045
3041	Recoveries of prior year unpaid obligations, expired .....	-34		
3050	Unpaid obligations, end of year .....	2,464	1,965	2,102
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,743	2,464	1,965
3200	Obligated balance, end of year .....	2,464	1,965	2,102

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,027	1,082	1,153
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3	22	23
4011	Outlays from discretionary balances .....	1,186	1,558	1,022
4020	Outlays, gross (total) .....	1,189	1,580	1,045
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-45	-46	-46
4180	Budget authority, net (total) .....	982	1,036	1,107
4190	Outlays, net (total) .....	1,144	1,534	999

**Education innovation and research.**—Funds would support competitive grants for demonstration projects aimed at improving the quality and effectiveness of classroom instruction by empowering teachers to select their own professional development activities, while maintaining strong support for field-initiated projects that would promote innovation and reform in science, technology, engineering, and mathematics (STEM) education, including computer science.

**Teacher and school leader incentive grants.**—Funds would help elevate the teaching profession by recognizing and rewarding teaching excellence, improving continuing education for teachers, and expanding teacher preparation.

**Charter schools grants.**—Funds would support competitive grants for the opening of new charter schools and the replication and expansion of high-quality charter schools. Funds would also support information dissemination activities and competitive grants to improve charter schools' access to facilities.

**Magnet schools assistance.**—Funds would support competitive grants to LEAs to establish and operate magnet school programs that are part of an approved desegregation plan.

#### Object Classification (in millions of dollars)

Identification code 091-0204-0-1-501				
2018 actual				
2019 est.				
2020 est.				
Direct obligations:				
25.2	Other services from non-Federal sources .....	17	17	17
25.5	Research and development contracts .....	3		
41.0	Grants, subsidies, and contributions .....	865	1,019	1,090
99.0	Direct obligations .....	885	1,036	1,107
99.0	Reimbursable obligations .....	57	45	75
99.9	Total new obligations, unexpired accounts .....	942	1,081	1,182

## OFFICE OF ENGLISH LANGUAGE ACQUISITION

### Federal Funds

#### ENGLISH LANGUAGE ACQUISITION

For carrying out part A of title III of the ESEA, \$737,400,000, which shall become available on July 1, [2019] 2020, and shall remain available through September

30, [2020] 2021, except that 6.5 percent of such amount shall be available on October 1, [2018] 2019, and shall remain available through September 30, [2020] 2021, to carry out activities under section 3111(c)(1)(C). (*Department of Education Appropriations Act, 2019.*)

#### Program and Financing (in millions of dollars)

Identification code 091-1300-0-1-501				
2018 actual				
2019 est.				
2020 est.				
<b>Obligations by program activity:</b>				
0001	English language acquisition grants .....	735	737	737
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	4	6	6
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	737	737	737
1930	Total budgetary resources available .....	741	743	743
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	6	6	6

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,068	1,101	1,024
3010	New obligations, unexpired accounts .....	735	737	737
3020	Outlays (gross) .....	-698	-814	-743
3041	Recoveries of prior year unpaid obligations, expired .....	-4		
3050	Unpaid obligations, end of year .....	1,101	1,024	1,018
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,068	1,101	1,024
3200	Obligated balance, end of year .....	1,101	1,024	1,018

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	737	737	737
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2	7	7
4011	Outlays from discretionary balances .....	696	807	736
4020	Outlays, gross (total) .....	698	814	743
4180	Budget authority, net (total) .....	737	737	737
4190	Outlays, net (total) .....	698	814	743

**Language acquisition State grants.**—This program supports formula grants to States to improve services for English Learners. States are accountable for demonstrating that English Learners are making progress toward proficiency in English and meeting the same high State academic standards as all other students. Funds also support national activities, including professional development to increase the supply of high-quality teachers of English Learners and a national information clearinghouse on English language acquisition.

#### Object Classification (in millions of dollars)

Identification code 091-1300-0-1-501				
2018 actual				
2019 est.				
2020 est.				
Direct obligations:				
25.2	Other services from non-Federal sources .....	2	2	2
41.0	Grants, subsidies, and contributions .....	733	735	735
99.9	Total new obligations, unexpired accounts .....	735	737	737

## OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES

### Federal Funds

#### SPECIAL EDUCATION

For carrying out the Individuals with Disabilities Education Act (IDEA) [and the Special Olympics Sport and Empowerment Act of 2004] , [\$13,468,728,000] \$13,451,145,000, of which [\$3,942,129,000] \$3,101,409,000 shall become available on July 1, [2019] 2020, and shall remain available through September 30, [2020] 2021, and of which [\$9,283,383,000] \$10,124,103,000 shall become available on October 1, [2019] 2020, and shall remain available through September 30, [2020] 2021, for academic year [2019-2020] 2020-2021: *Provided*, That the amount for section 611(b)(2) of the IDEA shall be equal to the lesser of the amount available

## SPECIAL EDUCATION—Continued

for that activity during fiscal year [2018] 2019, increased by the amount of inflation as specified in section 619(d)(2)(B) of the IDEA, or the percent change in the funds appropriated under section 611(i) of the IDEA, but not less than the amount for that activity during fiscal year [2018] 2019: *Provided further*, That the Secretary shall, without regard to section 611(d) of the IDEA, distribute to all other States (as that term is defined in section 611(g)(2)), subject to the third proviso, any amount by which a State's allocation under section 611, from funds appropriated under this heading, is reduced under section 612(a)(18)(B), according to the following: 85 percent on the basis of the States' relative populations of children aged 3 through 21 who are of the same age as children with disabilities for whom the State ensures the availability of a free appropriate public education under this part, and 15 percent to States on the basis of the States' relative populations of those children who are living in poverty: *Provided further*, That the Secretary may not distribute any funds under the previous proviso to any State whose reduction in allocation from funds appropriated under this heading made funds available for such a distribution: *Provided further*, That the States shall allocate such funds distributed under the second proviso to local educational agencies in accordance with section 611(f): *Provided further*, That the amount by which a State's allocation under section 611(d) of the IDEA is reduced under section 612(a)(18)(B) and the amounts distributed to States under the previous provisos in fiscal year 2012 or any subsequent year shall not be considered in calculating the awards under section 611(d) for fiscal year 2013 or for any subsequent fiscal years: *Provided further*, That, notwithstanding the provision in section 612(a)(18)(B) regarding the fiscal year in which a State's allocation under section 611(d) is reduced for failure to comply with the requirement of section 612(a)(18)(A), the Secretary may apply the reduction specified in section 612(a)(18)(B) over a period of consecutive fiscal years, not to exceed five, until the entire reduction is applied: *Provided further*, That the Secretary may, in any fiscal year in which a State's allocation under section 611 is reduced in accordance with section 612(a)(18)(B), reduce the amount a State may reserve under section 611(e)(1) by an amount that bears the same relation to the maximum amount described in that paragraph as the reduction under section 612(a)(18)(B) bears to the total allocation the State would have received in that fiscal year under section 611(d) in the absence of the reduction: *Provided further*, That the Secretary shall either reduce the allocation of funds under section 611 for any fiscal year following the fiscal year for which the State fails to comply with the requirement of section 612(a)(18)(A) as authorized by section 612(a)(18)(B), or seek to recover funds under section 452 of the General Education Provisions Act (20 U.S.C. 1234a): *Provided further*, That the funds reserved under 611(c) of the IDEA may be used to provide technical assistance to States to improve the capacity of the States to meet the data collection requirements of sections 616 and 618 and to administer and carry out other services and activities to improve data collection, coordination, quality, and use under parts B and C of the IDEA: *Provided further*, That the Secretary may use funds made available for the State Personnel Development Grants program under part D, subpart 1 of IDEA to evaluate program performance under such subpart: *Provided further*, That States may use funds reserved for other State-level activities under sections 611(e)(2) and 619(f) of the IDEA to make subgrants to local educational agencies, institutions of higher education, other public agencies, and private non-profit organizations to carry out activities authorized by such sections: *Provided further*, That notwithstanding section 643(e)(2)(A) of the IDEA, if 5 or fewer States apply for grants pursuant to section 643(e) of such Act, the Secretary shall provide a grant to each State in an amount equal to the maximum amount described in section 643(e)(2)(B) of such Act: *Provided further*, That if more than 5 States apply for grants pursuant to section 643(e) of the IDEA, the Secretary shall award funds to those States on the basis of the States' relative populations of infants and toddlers except that no such State shall receive a grant in excess of the amount described in section 643(e)(2)(B) of such Act. (Department of Education Appropriations Act, 2019.)

## Program and Financing (in millions of dollars)

Identification code 091–0300–0–1–501		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Grants to States .....	12,264	12,364	11,524
0002	Preschool grants .....	381	391	391
0003	Grants for infants and families .....	470	470	470
0091	Subtotal, State grants .....	13,115	13,225	12,385
0101	State personnel development .....	39	39	39
0102	Technical assistance and dissemination .....	44	44	44
0103	Personnel preparation .....	84	87	87
0104	Parent information centers .....	27	27	27
0105	Educational technology, media, and materials .....	28	28	28
0191	Subtotal, National activities .....	222	225	225

0201	Special Olympics education program .....	15	18	.....
0900	Total new obligations, unexpired accounts .....	13,352	13,468	12,610
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2	16	17
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	4,083	4,186	3,327
Advance appropriations, discretionary:				
1170	Advance appropriation .....	9,283	9,283	9,283
1900	Budget authority (total) .....	13,366	13,469	12,610
1930	Total budgetary resources available .....	13,368	13,485	12,627
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	16	17	17
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	7,527	7,927	7,950
3010	New obligations, unexpired accounts .....	13,352	13,468	12,610
3011	Obligations ("upward adjustments"), expired accounts .....	1	.....	.....
3020	Outlays (gross) .....	-12,947	-13,445	-13,408
3041	Recoveries of prior year unpaid obligations, expired .....	-6	.....	.....
3050	Unpaid obligations, end of year .....	7,927	7,950	7,152
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	7,527	7,927	7,950
3200	Obligated balance, end of year .....	7,927	7,950	7,152
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	13,366	13,469	12,610
Outlays, gross:				
4010	Outlays from new discretionary authority .....	6,199	6,326	6,284
4011	Outlays from discretionary balances .....	6,748	7,119	7,124
4020	Outlays, gross (total) .....	12,947	13,445	13,408
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-1	.....	.....
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	1	.....	.....
4070	Budget authority, net (discretionary) .....	13,366	13,469	12,610
4080	Outlays, net (discretionary) .....	12,946	13,445	13,408
4180	Budget authority, net (total) .....	13,366	13,469	12,610
4190	Outlays, net (total) .....	12,946	13,445	13,408

## SUMMARY OF IDEA FORMULA GRANTS PROGRAM LEVELS

		(in millions of dollars)		
		2018–2019 Academic Year	2019–2020 Academic Year	2020–2021 Academic Year
Current Budget Authority .....		3,846	3,942	3,101
Advance appropriation .....		9,383	9,283	10,124
Total program level .....		13,129	13,226	13,226
Change in advance appropriation from the previous year .....		0	0	+841

**Grants to States.**—Formula grants are provided to States to assist them in providing special education and related services to children with disabilities ages 3 through 21.

**Preschool grants.**—Formula grants provide additional funds to States to further assist them in providing special education and related services to children with disabilities ages 3 through 5 served under the Grants to States program. The goal of both of the Grants to States and the Preschool grants programs is to improve results for children with disabilities by assisting State and local educational agencies (LEAs) to provide children with disabilities with access to high quality education that will help them meet challenging standards and prepare them for employment and independent living. LEAs may reserve up to 15 percent of the funds they receive under Part B of the Individuals with Disabilities Education Act to provide comprehensive coordinated early intervening services to children age 3 through grade 12.

**Grants for infants and families.**—Formula grants are provided to assist States to implement statewide systems of coordinated, comprehensive, multi-disciplinary interagency programs to provide early intervention services to children with disabilities, birth through age two, and their families.

The goal of this program is to help States provide a comprehensive system of early intervention services that will enhance child and family outcomes.

**National activities.**—Funds are provided for personnel preparation and development, technical assistance, and other activities to support State efforts to improve results for children with disabilities under the State Grants programs. The goal of National Activities is to link States, school systems, and families to best practices to improve results for infants, toddlers, and children with disabilities.

Performance data related to program goals include:

#### Basis for Leaving Special Education for Youth with Disabilities Ages 14 and Older

Status of Exiting Students	2014–2015 Actual	2015–2016 Actual	2016–2017 Actual
Percent / number of students with disabilities aged 14–21 exiting special education:			
Graduated with a diploma .....	45.2% / 252,172	44.9% / 269,246	45.7% / 278,704
Graduated through certification .....	6.7% / 37,590	7.1% / 42,590	7.0% / 42,682
Transferred to regular education .....	9.7% / 53,999	9.3% / 56,113	10.3% / 62,465
Dropped out of school/not known to continue .....	11.6% / 64,850	11.2% / 67,305	11.1% / 67,753
Moved, but known to have continued in education .....	25.6% / 142,847	26.5% / 158,889	24.9% / 151,589
Reached maximum age for services/other .....	1.1% / 6,054	1.0% / 6,284	1.0% / 6,173
Total .....	100% / 557,512	100% / 600,427	100% / 609,366

Note: Percentages may not add to 100% due to rounding.

#### Object Classification (in millions of dollars)

Identification code 091–0300–0–1–501	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	1	1	1
41.0 Grants, subsidies, and contributions .....	13,351	13,467	12,609
99.9 Total new obligations, unexpired accounts .....	13,352	13,468	12,610

#### REHABILITATION SERVICES

For carrying out, to the extent not otherwise provided, the Rehabilitation Act of 1973 and the Helen Keller National Center Act, [ \$3,657,189,000 ] \$3,719,527,000, of which [ \$3,521,990,000 ] \$3,610,040,000 shall be for grants for vocational rehabilitation services under title I of the Rehabilitation Act [ : Provided, That the Secretary may use amounts provided in this Act that remain available subsequent to the reallocation of funds to States pursuant to section 110(b) of the Rehabilitation Act for innovative activities aimed at improving the outcomes of individuals with disabilities as defined in section 7(20)(B) of the Rehabilitation Act, including activities aimed at improving the education and post-school outcomes of children receiving Supplemental Security Income ("SSI") and their families that may result in long-term improvement in the SSI child recipient's economic status and self-sufficiency: *Provided further*, That States may award subgrants for a portion of the funds to other public and private, nonprofit entities: *Provided further*, That any funds made available subsequent to reallocation for innovative activities aimed at improving the outcomes of individuals with disabilities shall remain available until September 30, 2020 ]. (Department of Education Appropriations Act, 2019.)

#### Program and Financing (in millions of dollars)

Identification code 091–0301–0–1–506	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0001 Vocational rehabilitation State grants .....	3,225	3,304	3,603
0002 Client assistance State grants .....	13	13	13
0003 Supported employment State grants .....	23	23	.....
0004 Training .....	29	29	29
0005 Demonstration and Training Programs .....	6	6	6
0006 Independent living services for older blind individuals .....	33	33	33
0007 Protection and advocacy of individual rights .....	18	18	18
0008 Helen Keller National Center .....	12	13	10
0100 Total direct program .....	3,359	3,439	3,712

0900	Total new obligations, unexpired accounts .....	3,359	3,439	3,712
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	134	135	109
Appropriations, mandatory:				
1200	Appropriation .....	3,453	3,522	3,603
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	–228	–218	.....
1260	Appropriations, mandatory (total) .....	3,225	3,304	3,603
1900	Budget authority (total) .....	3,359	3,439	3,712
1930	Total budgetary resources available .....	3,359	3,439	3,712
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,925	2,040	1,909
3010	New obligations, unexpired accounts .....	3,359	3,439	3,712
3011	Obligations ("upward adjustments"), expired accounts .....	20	.....	.....
3020	Outlays (gross) .....	–3,145	–3,570	–3,565
3041	Recoveries of prior year unpaid obligations, expired .....	–119	.....	.....
3050	Unpaid obligations, end of year .....	2,040	1,909	2,056
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,925	2,040	1,909
3200	Obligated balance, end of year .....	2,040	1,909	2,056
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	134	135	109
Outlays, gross:				
4010	Outlays from new discretionary authority .....	58	68	54
4011	Outlays from discretionary balances .....	83	68	69
4020	Outlays, gross (total) .....	141	136	123
Mandatory:				
4090	Budget authority, gross .....	3,225	3,304	3,603
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1,696	1,652	1,802
4101	Outlays from mandatory balances .....	1,308	1,782	1,640
4110	Outlays, gross (total) .....	3,004	3,434	3,442
4180	Budget authority, net (total) .....	3,359	3,439	3,712
4190	Outlays, net (total) .....	3,145	3,570	3,565

**Vocational rehabilitation State grants.**—The basic State grants program provides Federal matching funds to State vocational rehabilitation (VR) agencies to assist individuals with physical or mental impairments to become gainfully employed. Services are tailored to the specific needs of the individual. Priority is given to serving those with the most significant disabilities. In 2017, State VR agencies assisted an estimated 173,378 individuals with disabilities to obtain an employment outcome, about 93 percent of whom were individuals with significant disabilities. VR State Grants is a core program of the workforce development system under the Workforce Innovation and Opportunity Act (WIOA) and a required partner in the one-stop service delivery system for accessing employment and training services. Amendments made by WIOA require State VR agencies to reserve and use at least 15 percent of their Federal grant allotment to support pre-employment transition services for students with disabilities provided in accordance with section 113 of the Rehabilitation Act. Between 1.0 percent and 1.5 percent of the funds appropriated for the VR State grants program must be set aside for Grants for Indians. The request for the VR State Grants program includes the CPIU adjustment specified in the authorizing statute.

**Client assistance State grants.**—Formula grants are made to States to provide assistance in informing and advising clients and applicants about benefits available under the Rehabilitation Act and, if requested, to pursue legal or administrative remedies to ensure the protection of the rights of individuals with disabilities.

**Training.**—Grants are made to States and public or nonprofit agencies and organizations, including institutions of higher education, to increase the number of skilled personnel available for employment in the field of rehabilitation and to upgrade the skills of those already employed.

**Demonstration and training programs.**—Competitive grants and contracts are awarded to expand and improve the provision and effectiveness of programs and services authorized under the Rehabilitation Act or further

## REHABILITATION SERVICES—Continued

the purposes of the Act in promoting the employment and independence of individuals with disabilities in the community. Funds are used to support model demonstrations, technical assistance, and projects designed to improve program performance and the delivery of vocational rehabilitation and independent living services. To further the goals of the President's Executive Order on Expanding Apprenticeships in America (E.O. 13801), the 2019 request includes an increase in funds to support an inclusive apprenticeship technical assistance center that would expand apprenticeship opportunities for individuals with disabilities, particularly individuals with significant disabilities.

*Independent living services for older individuals who are blind.*—Grants are awarded to States to assist individuals over the age of 55 with severe visual disabilities to adjust to their disability and increase their ability to care for their own needs.

*Protection and advocacy of individual rights.*—Formula grants are made to State protection and advocacy systems to protect the legal and human rights of individuals with disabilities.

*Helen Keller National Center for Deaf-Blind Youths and Adults.*—The Center provides services to deaf-blind youths and adults and provides training and technical assistance to professional and allied personnel at its national headquarters center and through its regional representatives and affiliate agencies.

## Object Classification (in millions of dollars)

Identification code 091–0301–0–1–506	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....	1	1	1
41.0 Grants, subsidies, and contributions .....	3,358	3,438	3,711
99.9 Total new obligations, unexpired accounts .....	3,359	3,439	3,712

## SPECIAL INSTITUTIONS FOR PERSONS WITH DISABILITIES

## AMERICAN PRINTING HOUSE FOR THE BLIND

For carrying out the Act to [promote] *Promote* the Education of the Blind of March 3, 1879, [ \$30,431,000 ] \$25,431,000. (*Department of Education Appropriations Act, 2019.*)

## Program and Financing (in millions of dollars)

Identification code 091–0600–0–1–501	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 American printing house for the blind .....	27	30	25
0900 Total new obligations, unexpired accounts (object class 41.0) .....	27	30	25
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	27	30	25
1930 Total budgetary resources available .....	27	30	25
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	9	7
3010 New obligations, unexpired accounts .....	27	30	25
3020 Outlays (gross) .....	–25	–32	–27
3050 Unpaid obligations, end of year .....	9	7	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	9	7
3200 Obligated balance, end of year .....	9	7	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	27	30	25
Outlays, gross:			
4010 Outlays from new discretionary authority .....	18	22	19
4011 Outlays from discretionary balances .....	7	10	8
4020 Outlays, gross (total) .....	25	32	27

4180 Budget authority, net (total) .....	27	30	25
4190 Outlays, net (total) .....	25	32	27

The 2020 request supports: the production and distribution of free educational materials for students below the college level who are blind; research related to developing and improving products; and advisory services to consumer organizations on the availability and use of materials. In 2018, the portion of the Federal appropriation allocated to educational materials represented approximately 70 percent of the Printing House's total sales. The full 2018 appropriation represented approximately 60 percent of the Printing House's total budget. The 2020 request is expected to be allocated in a similar manner.

## NATIONAL TECHNICAL INSTITUTE FOR THE DEAF

For the National Technical Institute for the Deaf under titles I and II of the Education of the Deaf Act of 1986, [ \$77,500,000 ] \$70,016,000: *Provided*, That from the total amount available, the Institute may at its discretion use funds for the endowment program as authorized under section 207 of such Act. (*Department of Education Appropriations Act, 2019.*)

## Program and Financing (in millions of dollars)

Identification code 091–0601–0–1–502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	73	78	70
0900 Total new obligations, unexpired accounts (object class 41.0) .....	73	78	70
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	73	78	70
1930 Total budgetary resources available .....	73	78	70
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	5	1
3010 New obligations, unexpired accounts .....	73	78	70
3020 Outlays (gross) .....	–72	–82	–70
3050 Unpaid obligations, end of year .....	5	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	5	1
3200 Obligated balance, end of year .....	5	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	73	78	70
Outlays, gross:			
4010 Outlays from new discretionary authority .....	71	78	70
4011 Outlays from discretionary balances .....	1	4	.....
4020 Outlays, gross (total) .....	72	82	70
4180 Budget authority, net (total) .....	73	78	70
4190 Outlays, net (total) .....	72	82	70

This program provides postsecondary technical and professional education for individuals who are deaf or hard of hearing, provides training, and conducts applied research into employment-related aspects of deafness. In 2018, the Federal appropriation represented approximately 73 percent of the Institute's operating budget. The 2020 request includes funds that may be used for the Endowment Grant program.

## GALLAUDET UNIVERSITY

For the Kendall Demonstration Elementary School, the Model Secondary School for the Deaf, and the partial support of Gallaudet University under titles I and II of the Education of the Deaf Act of 1986, [ \$134,361,000 ] \$121,275,000: *Provided*, That from the total amount available, the University may at its discretion use funds for the endowment program as authorized under section 207 of such Act. (*Department of Education Appropriations Act, 2019.*)



**Program and Financing** (in millions of dollars)

Identification code 091-0602-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	128	134	121
0900 Total new obligations, unexpired accounts (object class 41.0) .....	128	134	121
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	128	134	121
1930 Total budgetary resources available .....	128	134	121
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	128	134	121
3020 Outlays (gross) .....	-128	-134	-121
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	128	134	121
Outlays, gross:			
4010 Outlays from new discretionary authority .....	128	134	121
4180 Budget authority, net (total) .....	128	134	121
4190 Outlays, net (total) .....	128	134	121

This institution provides undergraduate, continuing education, and graduate programs for students who are deaf, hard of hearing, and hearing. The University also conducts basic and applied research and provides public service programs for persons with hearing loss and persons who work with them.

The University operates the Laurent Clerc National Deaf Education Center, which includes elementary and secondary education programs on the main campus of the University serving students who are deaf or hard of hearing. The Kendall Demonstration Elementary School serves students from birth through grade 8, and the Model Secondary School for the Deaf serves high school students in grades 9 through 12. The Clerc Center also develops and disseminates information on effective educational techniques and strategies for teachers and professionals working with students who are deaf or hard of hearing.

In 2018, the appropriation for Gallaudet represented approximately 60 percent of total revenue for the University. Approximately 28 percent of the Federal appropriation was used to support activities at the Clerc Center, which received nearly 100 percent of its revenue through the appropriation. In addition, the University receives other Federal funds such as student financial aid, vocational rehabilitation, Endowment Grant program income, and competitive grants and contracts. The 2020 request includes funds that may be used for the Endowment Grant program.

## OFFICE OF CAREER, TECHNICAL, AND ADULT EDUCATION

### Federal Funds

#### CAREER, TECHNICAL, AND ADULT EDUCATION

For carrying out, to the extent not otherwise provided, the Carl D. Perkins Career and Technical Education Act of 2006 ("Perkins Act"), and the Adult Education and Family Literacy Act ("AEFLA"), **[\$1,925,686,000]** *\$1,842,159,000*, of which **[\$1,134,686,000]** *\$1,051,159,000* shall become available on July 1, **[2019]** *2020*, and shall remain available through September 30, **[2020]** *2021*, and of which \$791,000,000 shall become available on October 1, **[2019]** *2020*, and shall remain available through September 30, **[2020]** *2021*: *Provided, That notwithstanding section 114(e)(1) of the Perkins Act, \$13,000,000 shall be available for innovation and modernization grants under section 114(e) of such Act: Provided further, That, notwithstanding section 211(a)(1) of the AEFLA, of the amounts made available for the AEFLA, [\$13,712,000] \$73,712,000 shall be for national leadership activities under section 242: Provided further, That of the amounts made available in the preceding proviso, \$60,000,000 shall be for grants to States to support pre-apprenticeship activities that help low-skilled adults meet the entrance requirements of apprenticeship programs: Provided further, That the Department shall award the*

*grants authorized in the preceding proviso to States by distributing 50 percent of such funds in the same manner as prescribed in section 211(c) of the AEFLA and distributing remaining 50 percent of such funds based on each State's relative share of unemployed persons who are eligible individuals as defined in section 203(4) of the AEFLA. (Department of Education Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 091-0400-0-1-501	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Career and Technical Education State Grants .....	1,192	1,263	1,263
0002 Career and Technical Education National Activities .....	8	7	20
0091 Subtotal, Career and Technical .....	1,200	1,270	1,283
0101 Adult Basic and Literacy Education State Grants .....	621	642	486
0102 Adult Education National Leadership Activities .....	13	14	74
0191 Subtotal, Adult Education .....	634	656	560
0799 Total direct obligations .....	1,834	1,926	1,843
0801 Reimbursable program activity .....	2		
0900 Total new obligations, unexpired accounts .....	1,836	1,926	1,843
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	28	23	23
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,040	1,135	1,051
Advance appropriations, discretionary:			
1170 Advance appropriation .....	791	791	791
1900 Budget authority (total) .....	1,831	1,926	1,842
1930 Total budgetary resources available .....	1,859	1,949	1,865
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	23	23	22
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,400	1,601	1,696
3010 New obligations, unexpired accounts .....	1,836	1,926	1,843
3020 Outlays (gross) .....	-1,629	-1,831	-1,892
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	1,601	1,696	1,647
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,400	1,601	1,696
3200 Obligated balance, end of year .....	1,601	1,696	1,647
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,831	1,926	1,842
Outlays, gross:			
4010 Outlays from new discretionary authority .....	541	611	607
4011 Outlays from discretionary balances .....	1,088	1,220	1,285
4020 Outlays, gross (total) .....	1,629	1,831	1,892
4180 Budget authority, net (total) .....	1,831	1,926	1,842
4190 Outlays, net (total) .....	1,629	1,831	1,892

**SUMMARY OF PROGRAM LEVEL**

(in millions of dollars)

	2018-19 Academic Year	2019-20 Academic Year	2020-21 Academic Year
New Budget Authority .....	1,040	1,135	1,051
Advance Appropriation .....	791	791	791
Total program level .....	1,831	1,926	1,842
Change in advance appropriation over previous year .....	0	0	0

**Career and Technical Education:**

**Career and technical education State grants.**—Funds support formula grants to States to expand and improve career and technical education (CTE) in high schools, technical schools, and community colleges under the reauthorized Carl D. Perkins Career and Technical Education Act of 2006, as amended.

**Career and technical education national programs.**—Funds support research, development, dissemination, evaluation, assessment, capacity building, and technical assistance activities aimed at improving the quality and effectiveness of CTE programs under the reauthorized Carl D. Perkins

## CAREER, TECHNICAL, AND ADULT EDUCATION—Continued

Career and Technical Education Act of 2006, as amended. Funds would also support a competition for the newly authorized Innovation and Modernization grants program focusing on science, technology, engineering, and mathematics (STEM) fields, including computer science.

*Adult Education:*

*Adult education State programs.*—Funds support formula grants to States to help eliminate functional illiteracy among the Nation's adults, to assist adults in obtaining a high school diploma or its equivalent, and to promote family literacy. A portion of the funds is reserved for formula grants to States to provide English literacy and civics education for immigrants and other limited English proficient adults.

*Adult education national leadership activities.*—Funds support discretionary activities to evaluate the effectiveness of Federal, State, and local adult education programs, to test and demonstrate methods of improving program quality, and to provide technical assistance to States. Funds would also support State efforts to create pre-apprenticeship programs that increase the number of adults who are able to meet the basic entrance requirements of apprenticeship programs.

**Object Classification** (in millions of dollars)

Identification code 091-0400-0-1-501	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....	15	15	15
25.2 Other services from non-Federal sources .....	1	1	1
25.5 Research and development contracts .....	3	3	3
25.7 Operation and maintenance of equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1,816	1,906	1,823
99.9 Total new obligations, unexpired accounts .....	1,836	1,926	1,843

## CAREER AND TECHNICAL EDUCATION STATE GRANTS, H-1B FUNDED

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 091-5660-4-2-504	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Career and Technical Education State Grants, H-1B Funded .....			114
0900 Total new obligations, unexpired accounts (object class 41.0) .....			114
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			114
1930 Total budgetary resources available .....			114
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			114
3020 Outlays (gross) .....			-6
3050 Unpaid obligations, end of year .....			108
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			108
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			114
Outlays, gross:			
4100 Outlays from new mandatory authority .....			6
4180 Budget authority, net (total) .....			114
4190 Outlays, net (total) .....			6

The Budget proposes authorizing legislation to double the American Competitiveness and Workforce Improvement Act (ACWIA) fee for the H-1B visa program in order to help train American workers and close the skills gap. The proposal would increase the ACWIA fee to \$3,000 per worker for large employers and \$1,500 per worker for small employers. The increased revenue would provide additional funding for Department

of Labor (DOL) job training grants to support apprenticeship and continue to support other job training and related expenses at DOL, Department of Homeland Security, and the National Science Foundation. In addition, this year's proposal would initiate a new set-aside for the Department of Education's Career and Technical Education State Grants.

**OFFICE OF POSTSECONDARY EDUCATION***Federal Funds***HIGHER EDUCATION**

For carrying out, to the extent not otherwise provided, titles [II,] III, IV, V, [VI,] and VII of the HEA, [the Mutual Educational and Cultural Exchange Act of 1961,] and section 117 of the Carl D. Perkins Career and Technical Education Act of 2006, [\$2,312,356,000] \$1,534,487,000 [; Provided, That notwithstanding any other provision of law, funds made available in this Act to carry out title VI of the HEA and section 102(b)(6) of the Mutual Educational and Cultural Exchange Act of 1961 may be used to support visits and study in foreign countries by individuals who are participating in advanced foreign language training and international studies in areas that are vital to United States national security and who plan to apply their language skills and knowledge of these countries in the fields of government, the professions, or international development: *Provided further*, That of the funds referred to in the preceding proviso up to 1 percent may be used for program evaluation, national outreach, and information dissemination activities: *Provided further*, That up to 1.5 percent of the funds made available under chapter 2 of subpart 2 of part A of title IV of the HEA may be used for evaluation]. (*Department of Education Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 091-0201-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Strengthening institutions .....	99	100	
0002 Strengthening tribally controlled colleges and universities .....	60	60	28
0003 Strengthening Alaska Native- and Native Hawaiian-serving institutions .....	28	30	
0004 Strengthening historically Black colleges and universities (HBCUs) .....	359	361	282
0005 Strengthening historically Black graduate institutions .....	72	73	73
0007 Strengthening predominantly Black institutions .....	25	26	
0008 Strengthening Asian American- and Native American Pacific Islander-serving institutions .....	8	9	
0009 Strengthening Native American-serving nontribal institutions .....	8	9	
0010 Minority science and engineering improvement .....	11	11	10
0011 Strengthening historically Black masters programs .....	9	9	9
0091 Subtotal, aid for institutional development .....	679	688	402
0101 Developing Hispanic-serving institutions .....	123	124	
0102 Developing Hispanic-serving institution STEM and articulation programs .....	93	94	
0103 Promoting baccalaureate opportunities for Hispanic Americans .....	11	11	
0104 International education and foreign language studies .....	72	72	
0105 Model transition programs for students with intellectual disabilities .....	12	12	12
0106 Tribally controlled postsecondary career and technical institutions .....	9	10	8
0191 Subtotal, other aid for institutions .....	320	323	20
0201 Federal TRIO programs .....	1,010	1,060	950
0202 Gaining early awareness and readiness for undergraduate programs (GEAR UP) .....	350	360	
0203 Graduate assistance in areas of national need .....	23	23	
0204 Child care access means parents in school .....	50	50	15
0291 Subtotal, assistance for students .....	1,433	1,493	965
0301 Fund for the improvement of postsecondary education (FIPSE) .....	6	5	
0302 Teacher quality partnerships .....	43	43	
0391 Assistance for students, subtotal .....	49	48	
0401 Consolidated MSI Grant .....			148
0900 Total new obligations, unexpired accounts .....	2,481	2,552	1,535

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	1
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	2	

1012	Unobligated balance transfers between expired and unexpired accounts .....	117	.....	.....
1050	Unobligated balance (total) .....	118	2	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation .....	2,247	2,312	1,534
	Appropriations, mandatory:			
1200	Appropriation .....	255	255	.....
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-17	-16	.....
1260	Appropriations, mandatory (total) .....	238	239	.....
1900	Budget authority (total) .....	2,485	2,551	1,534
1930	Total budgetary resources available .....	2,603	2,553	1,535
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-120	.....	.....
1941	Unexpired unobligated balance, end of year .....	2	1	.....
<b>Change in obligated balance:</b>				
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	3,299	3,494	3,548
3010	New obligations, unexpired accounts .....	2,481	2,552	1,535
3011	Obligations ("upward adjustments"), expired accounts .....	2	.....	.....
3020	Outlays (gross) .....	-2,262	-2,498	-2,506
3041	Recoveries of prior year unpaid obligations, expired .....	-26	.....	.....
3050	Unpaid obligations, end of year .....	3,494	3,548	2,577
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	3,299	3,494	3,548
3200	Obligated balance, end of year .....	3,494	3,548	2,577
<b>Budget authority and outlays, net:</b>				
	Discretionary:			
4000	Budget authority, gross .....	2,247	2,312	1,534
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	26	69	46
4011	Outlays from discretionary balances .....	2,049	2,077	2,213
4020	Outlays, gross (total) .....	2,075	2,146	2,259
	Mandatory:			
4090	Budget authority, gross .....	238	239	.....
	Outlays, gross:			
4100	Outlays from new mandatory authority .....	.....	7	.....
4101	Outlays from mandatory balances .....	187	345	247
4110	Outlays, gross (total) .....	187	352	247
4180	Budget authority, net (total) .....	2,485	2,551	1,534
4190	Outlays, net (total) .....	2,262	2,498	2,506

**Aid for institutional development:**

**Strengthening tribally controlled colleges and universities.**—Discretionary funds support grants to American Indian tribally controlled colleges and universities with scarce resources to enable them to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Strengthening historically Black colleges and universities.**—Discretionary funds support grants to help historically Black undergraduate institutions to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Strengthening historically Black graduate institutions.**—Funds support grants to historically Black graduate institutions to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Strengthening HBCU Masters program.**—Funds support grants to historically Black institutions to improve graduate education opportunities at the Masters level in scientific disciplines in which African Americans are underrepresented.

**Minority science and engineering improvement.**—Funds support grants to predominantly minority institutions to help them make long-range improvements in science and engineering education to increase the participation of minorities in scientific and technological careers.

**Other aid for institutions:**

**Model transition programs for students with intellectual disabilities into higher education.**—Funds support grants to institutions of higher education or consortia of such institutions to create or expand high quality, inclusive model comprehensive transition and postsecondary programs for students with intellectual disabilities.

**Tribally controlled postsecondary career and technical institutions.**—Funds support the operation and improvement of eligible tribally controlled postsecondary career institutions to ensure continued and expanded educational opportunities for Indian students.

**Assistance for students:**

**Federal TRIO programs.**—Funds would support the transition to a single State formula grant program that would support all existing TRIO activities, as well as those authorized under Gaining Early Awareness and Readiness for Undergraduate Programs (GEAR UP), to help low-income and other disadvantaged students progress through the academic pipeline from middle school through college.

**Child care access means parents in school.**—Funds support a program designed to bolster the participation of low-income parents in postsecondary education through the provision of campus-based child care services.

**Consolidated MSI Grant.**—Funds would support grants allocated by institutional formula to minority-serving institutions that are currently eligible to receive competitive grants under certain programs currently authorized in Titles III and V of the Higher Education Act of 1965, as amended. These include Strengthening Alaska Native and Native Hawaiian-serving Institutions program, Strengthening Predominantly Black Institutions program, Strengthening Asian American and Native American Pacific Islander-serving Institutions program, Strengthening Native American-serving Nontribal Institutions program, Developing Hispanic-Serving Institutions program, and Promoting Postbaccalaureate Opportunities for Hispanic Americans program.

**Pooled Evaluation.**—Requested authority would enable the Department to reserve up to 0.5 percent of funding annually appropriated for certain Higher Education Act (HEA) programs for rigorous program evaluation, data collection, and analysis of outcome data.

**Object Classification** (in millions of dollars)

Identification code 091-0201-0-1-502	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	2	2	.....
25.3 Other goods and services from Federal sources .....	1	1	.....
25.7 Operation and maintenance of equipment .....	3	3	2
41.0 Grants, subsidies, and contributions .....	2,475	2,546	1,533
99.9 Total new obligations, unexpired accounts .....	2,481	2,552	1,535

**HOWARD UNIVERSITY**

For partial support of Howard University, **[\$236,518,000] \$221,821,000**, of which not less than \$3,405,000 shall be for a matching endowment grant pursuant to the Howard University Endowment Act and shall remain available until expended. (*Department of Education Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 091-0603-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 General support .....	205	209	195
0002 Howard University Hospital .....	27	27	27
0900 Total new obligations, unexpired accounts (object class 41.0) .....	232	236	222
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	.....	1	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	233	237	222
1930 Total budgetary resources available .....	233	238	224
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	1
3010 New obligations, unexpired accounts .....	232	236	222

HOWARD UNIVERSITY—Continued  
Program and Financing—Continued

Identification code 091-0603-0-1-502	2018 actual	2019 est.	2020 est.
3020 Outlays (gross) .....	-232	-238	-222
3050 Unpaid obligations, end of year .....	3	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	1
3200 Obligated balance, end of year .....	3	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	233	237	222
Outlays, gross:			
4010 Outlays from new discretionary authority .....	229	235	220
4011 Outlays from discretionary balances .....	3	3	2
4020 Outlays, gross (total) .....	232	238	222
4180 Budget authority, net (total) .....	233	237	222
4190 Outlays, net (total) .....	232	238	222

Howard University is a private, nonprofit institution of higher education consisting of 13 schools and colleges. Federal funds are used to provide partial support for University programs as well as for the Howard University Hospital, a teaching facility. In 2019, the Federal appropriation represented approximately 30 percent of the University's revenue and 10 percent of the Hospital's revenue. The 2020 request is expected to be allocated in a similar manner.

## COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS PROGRAM

For Federal administrative expenses to carry out activities related to existing facility loans pursuant to section 121 of the HEA, \$435,000. (*Department of Education Appropriations Act, 2019*.)

## Program and Financing (in millions of dollars)

Identification code 091-0241-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	8	23	27
0703 Subsidy for modifications of direct loans .....	82	20	20
0705 Reestimates of direct loan subsidy .....	46	12	.....
0706 Interest on reestimates of direct loan subsidy .....	40	21	.....
0709 Administrative expenses .....	1	1	1
0900 Total new obligations, unexpired accounts (object class 41.0) .....	177	77	48
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	19	16
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	102	41	41
Appropriations, mandatory:			
1200 Appropriation .....	87	33	.....
1900 Budget authority (total) .....	189	74	41
1930 Total budgetary resources available .....	209	93	57
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-13	.....	.....
1941 Unexpired unobligated balance, end of year .....	19	16	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	21	19	20
3010 New obligations, unexpired accounts .....	177	77	48
3020 Outlays (gross) .....	-177	-76	-48
3041 Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	19	20	20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	21	19	20
3200 Obligated balance, end of year .....	19	20	20
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	102	41	41

Outlays, gross:			
4010 Outlays from new discretionary authority .....	81	23	41
4011 Outlays from discretionary balances .....	9	20	7
4020 Outlays, gross (total) .....	90	43	48
Mandatory:			
4090 Budget authority, gross .....	87	33	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	87	33	.....
4180 Budget authority, net (total) .....	189	74	41
4190 Outlays, net (total) .....	177	76	48

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0241-0-1-502	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Historically Black Colleges and Universities .....	253	285	280
115999 Total direct loan levels .....	253	285	280
Direct loan subsidy (in percent):			
132002 Historically Black Colleges and Universities .....	3.19	8.08	9.50
132999 Weighted average subsidy rate .....	3.19	8.08	9.50
Direct loan subsidy budget authority:			
133002 Historically Black Colleges and Universities .....	8	23	27
133999 Total subsidy budget authority .....	8	23	27
Direct loan subsidy outlays:			
134002 Historically Black Colleges and Universities .....	19	42	47
134003 HBCU Hurricane Supplemental .....	71	.....	.....
134999 Total subsidy outlays .....	90	42	47
Direct loan reestimates:			
135002 Historically Black Colleges and Universities .....	75	27	.....
135003 HBCU Hurricane Supplemental .....	2	.....	.....
135999 Total direct loan reestimates .....	77	27	.....
Administrative expense data:			
3510 Budget authority .....	1	1	1
3590 Outlays from new authority .....	1	1	1

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond, as well as any administrative expenses for the College Housing and Academic Facilities Loans (CHAFL) Program and the Historically Black College and University (HBCU) Capital Financing Program. The subsidy amounts are estimated on a present value basis; the administrative expenses are on a cash basis. These programs are administered separately but consolidated in the Budget for presentation purposes.

*College housing and academic facilities loans program.*—Funds for this activity pay the Federal costs of administering CHAFL, College Housing Loans (CHL), and Higher Education Facilities Loans (HEFL) programs. Prior to 1994, these programs provided financing for the construction, reconstruction, and renovation of housing, academic, and other educational facilities. Although no new loans have been awarded since 1993, the Department of Education will incur costs for administering the outstanding loans through 2030.

*Historically Black college and university (HBCU) capital financing program.*—The HBCU Capital Financing Program provides HBCUs with access to capital financing for the repair, renovation, and construction of classrooms, libraries, laboratories, dormitories, instructional equipment, and research instrumentation. The authorizing statute gives the Department authority to enter into insurance agreements with a private for-profit Designated Bonding Authority. The bonding authority issues the loans and maintains an escrow account in which five percent of each institution's principal is deposited.

## Employment Summary

Identification code 091-0241-0-1-502	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	3	4	4

## COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 091-4252-0-3-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....		1	1
0900 Total new obligations, unexpired accounts .....		1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1	1	1
1930 Total budgetary resources available .....	1	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....		1	1
3020 Outlays (gross) .....		-1	-1
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Financing disbursements:			
4110 Outlays, gross (total) .....		1	1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Interest repayments .....	-1	-1	-1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-1		

## Status of Direct Loans (in millions of dollars)

Identification code 091-4252-0-3-502	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	5	4	4
1251 Repayments: Repayments and prepayments .....	-1		
1290 Outstanding, end of year .....	4	4	4

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the College Housing and Academic Facilities loan program. Amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

Identification code 091-4252-0-3-502	2017 actual	2018 actual
<b>ASSETS:</b>		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	5	4
1405 Allowance for subsidy cost (-) .....	-1	-1
1499 Net present value of assets related to direct loans .....	4	3
1999 Total assets .....	4	3
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	4	3
4999 Total liabilities and net position .....	4	3

## COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS LIQUIDATING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 091-0242-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	2	2	2

0900 Total new obligations, unexpired accounts (object class 43.0) .....	2	2	2
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## Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	8	
1022 Capital transfer of unobligated balances to general fund .....	-6	-8	
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	1	1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	11	11	11
1820 Capital transfer of spending authority from offsetting collections to general fund .....		-8	-8
1825 Spending authority from offsetting collections applied to repay debt .....	-2	-2	-2
1850 Spending auth from offsetting collections, mand (total) .....	9	1	1
1900 Budget authority (total) .....	10	2	2
1930 Total budgetary resources available .....	10	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8		

## Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	2	2	2
3020 Outlays (gross) .....	-2	-2	-2
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

## Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	10	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-11	-11	-11
4180 Budget authority, net (total) .....	-1	-9	-9
4190 Outlays, net (total) .....	-9	-9	-9

## Status of Direct Loans (in millions of dollars)

Identification code 091-0242-0-1-502	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	120	35	33
1251 Repayments: Repayments and prepayments .....	-2	-2	-2
1264 Other adjustments, net (+ or -) .....	-83		
1290 Outstanding, end of year .....	35	33	31

As required by the Federal Credit Reform Act of 1990, the College Housing and Academic Facilities Loans Liquidating Account records all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account includes loans made under the College Housing and Academic Facilities Loans, College Housing Loans, and Higher Education Facilities Loans programs, which continue to be administered separately.

## Balance Sheet (in millions of dollars)

Identification code 091-0242-0-1-502	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....		8
1601 Direct loans, gross .....	120	35
1602 Interest receivable .....	2	4
1603 Allowance for estimated uncollectible loans and interest (-) .....		-11
1699 Value of assets related to direct loans .....	122	28
1999 Total assets .....	122	36
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	19	16
2104 Resources payable to Treasury .....	103	8
2999 Total liabilities .....	122	24
<b>NET POSITION:</b>		
3100 Unexpended appropriations .....		2
3300 Cumulative results of operations .....		10

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS LIQUIDATING  
ACCOUNT—Continued  
Balance Sheet—Continued

Identification code 091-0242-0-1-502	2017 actual	2018 actual
3999 Total net position .....		12
4999 Total liabilities and net position .....	122	36

HISTORICALLY BLACK COLLEGE AND UNIVERSITY CAPITAL FINANCING PROGRAM  
ACCOUNT

For the cost of guaranteed loans, \$20,150,000, as authorized pursuant to part D of title III of the HEA, which shall remain available through September 30, 2020: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, not to exceed **[\$580,000,000]** *\$212,100,000*: *Provided further*, That these funds may be used to support loans to public and private Historically Black Colleges and Universities without regard to the limitations within section 344(a) of the HEA.

In addition, \$20,000,000 shall be made available to provide for the deferment of loans made under part D of title III of the HEA to eligible institutions that are private Historically Black Colleges and Universities, which apply for the deferment of such a loan and demonstrate financial need for such deferment by having a score of 2.6 or less on the Department of Education's financial responsibility test: *Provided*, That during the period of deferment of such a loan, interest on the loan will not accrue or be capitalized, and the period of deferment shall be for at least a period of 3-fiscal years and not more than 6-fiscal years: *Provided further*, That funds available under this paragraph shall **[be used]** *not be available* to fund eligible deferment requests submitted for this purpose in the *current* fiscal year **[2018]** *2019*: *Provided further*, That the Secretary shall create and execute an outreach plan to work with States and the Capital Financing Advisory Board to improve outreach to States and help additional public Historically Black Colleges and Universities participate in the program.

In addition, for administrative expenses to carry out the Historically Black College and University Capital Financing Program entered into pursuant to part D of title III of the HEA, \$334,000. (*Department of Education Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 091-4255-0-3-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0004 Interest paid to Treasury (FFB) .....	49	45	52
0005 Katrina Interest expenses .....	3		
0006 Deferment Mod expenses .....		36	
0091 Direct program activities, subtotal .....	52	81	52
<b>Credit program obligations:</b>			
0710 Direct loan obligations .....	253	285	280
0742 Downward reestimates paid to receipt accounts .....	5	4	
0743 Interest on downward reestimates .....	4	2	
0791 Direct program activities, subtotal .....	262	291	280
0900 Total new obligations, unexpired accounts .....	314	372	332

<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	330	170	206
1023 Unobligated balances applied to repay debt .....	-326		
1050 Unobligated balance (total) .....	4	170	206
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	253	285	285
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	284	182	165
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-8	-2	
1825 Spending authority from offsetting collections applied to repay debt .....	-49	-57	-81
1850 Spending auth from offsetting collections, mand (total) .....	227	123	84
1900 Budget authority (total) .....	480	408	369
1930 Total budgetary resources available .....	484	578	575

1941	Memorandum (non-add) entries:			
	Unexpired unobligated balance, end of year .....	170	206	243
	<b>Change in obligated balance:</b>			
	<b>Unpaid obligations:</b>			
3000	Unpaid obligations, brought forward, Oct 1 .....	242	230	236
3010	New obligations, unexpired accounts .....	314	372	332
3020	Outlays (gross) .....	-326	-366	-332
3050	Unpaid obligations, end of year .....	230	236	236
	<b>Memorandum (non-add) entries:</b>			
3100	Obligated balance, start of year .....	242	230	236
3200	Obligated balance, end of year .....	230	236	236

	<b>Financing authority and disbursements, net:</b>			
	<b>Mandatory:</b>			
4090	Budget authority, gross .....	480	408	369
	<b>Financing disbursements:</b>			
4110	Outlays, gross (total) .....	326	366	332
	<b>Offsets against gross financing authority and disbursements:</b>			
	<b>Offsetting collections (collected) from:</b>			
4120	Federal sources .....	-177	-75	-47
4122	Interest on uninvested funds .....	-19	-5	-5
4123	Interest repayments .....	-40	-45	-52
4123	Principal repayments .....	-48	-57	-61
4130	Offsets against gross budget authority and outlays (total) ....	-284	-182	-165
4160	Budget authority, net (mandatory) .....	196	226	204
4170	Outlays, net (mandatory) .....	42	184	167
4180	Budget authority, net (total) .....	196	226	204
4190	Outlays, net (total) .....	42	184	167

Status of Direct Loans (in millions of dollars)

Identification code 091-4255-0-3-502	2018 actual	2019 est.	2020 est.
<b>Position with respect to appropriations act limitation on obligations:</b>			
1111 Direct loan obligations from current-year authority .....	253	285	280
1150 Total direct loan obligations .....	253	285	280
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	1,559	1,452	1,680
1231 Disbursements: Direct loan disbursements .....	264	285	280
1251 Repayments: Repayments and prepayments .....	-48	-57	-61
1264 Other adjustments, net (+ or -) .....	-323		
1290 Outstanding, end of year .....	1,452	1,680	1,899

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Federal Government resulting from direct loans obligated in 1996 and beyond. The Federal Financing Bank (FFB) purchases bonds issued by the Historically Black College and University (HBCU) Designated Bonding Authority. Under the policies governing Federal credit programs, bonds purchased by the FFB and supported by the Department of Education with a letter of credit create the equivalent of a Federal direct loan. HBCU bonds are also available for purchase by the private sector, and these will be treated as loan guarantees. However, the Department anticipates that all HBCU loans will be financed by the FFB. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4255-0-3-502	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	303	143
<b>Net value of assets related to post-1991 direct loans receivable:</b>		
1401 Direct loans receivable, gross .....	1,559	1,452
1402 Interest receivable .....	12	13
1405 Allowance for subsidy cost (-) .....	-303	-143
1499 Net present value of assets related to direct loans .....	1,268	1,322
1999 Total assets .....	1,571	1,465
<b>LIABILITIES:</b>		
<b>Federal liabilities:</b>		
2102 Interest payable .....	12	13
2103 Debt .....	1,559	1,452
2999 Total liabilities .....	1,571	1,465

NET POSITION:			
3300	Cumulative results of operations .....		
4999	Total liabilities and net position .....	1,571	1,465

## OFFICE OF FEDERAL STUDENT AID

## Federal Funds

## STUDENT FINANCIAL ASSISTANCE

For carrying out subpart [s] 1 [ , 3, and 10] of part A, and part C of title IV of the HEA, [\$24,445,352,000] \$22,975,352,000, which shall remain available through September 30, [2020] 2021.

The maximum Pell Grant for which a student shall be eligible during award year [2019–2020] 2020–2021 shall be \$5,135. (*Department of Education Appropriations Act, 2019.*)

## Program and Financing (in millions of dollars)

Identification code 091–0200–0–1–502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0101 Federal Pell grants .....	26,514	29,782	30,105
0201 Federal supplemental educational opportunity grants (SEOG) .....	840	840	
0202 Federal work-study .....	1,130	1,130	500
0291 Campus-based activities - Subtotal .....	1,970	1,970	500
0900 Total new obligations, unexpired accounts (object class 41.0) .....	28,484	31,752	30,605
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8,479	12,037	11,257
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	8,479	12,037	
1021 Recoveries of prior year unpaid obligations .....	233		
1050 Unobligated balance (total) .....	8,712	12,037	11,257
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	24,445	24,445	22,975
1105 Reappropriation .....	33		
1131 Unobligated balance of appropriations permanently reduced .....		–600	–2,000
1160 Appropriation, discretionary (total) .....	24,478	23,845	20,975
Appropriations, mandatory:			
1200 Appropriation .....	7,332	7,127	7,313
1900 Budget authority (total) .....	31,810	30,972	28,288
1930 Total budgetary resources available .....	40,522	43,009	39,545
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–1		
1941 Unexpired unobligated balance, end of year .....	12,037	11,257	8,940
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	20,791	19,107	21,298
3010 New obligations, unexpired accounts .....	28,484	31,752	30,605
3011 Obligations ("upward adjustments"), expired accounts .....	685		
3020 Outlays (gross) .....	–30,102	–29,561	–29,708
3040 Recoveries of prior year unpaid obligations, unexpired .....	–233		
3041 Recoveries of prior year unpaid obligations, expired .....	–518		
3050 Unpaid obligations, end of year .....	19,107	21,298	22,195
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	20,791	19,107	21,298
3200 Obligated balance, end of year .....	19,107	21,298	22,195
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	24,478	23,845	20,975
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6,807	4,083	3,966
4011 Outlays from discretionary balances .....	17,541	18,733	18,484
4020 Outlays, gross (total) .....	24,348	22,816	22,450
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	–118		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	118		
4070 Budget authority, net (discretionary) .....	24,478	23,845	20,975
4080 Outlays, net (discretionary) .....	24,230	22,816	22,450

Mandatory:			
4090 Budget authority, gross .....	7,332	7,127	7,313
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2,633	3,032	3,106
4101 Outlays from mandatory balances .....	3,121	3,713	4,152
4110 Outlays, gross (total) .....	5,754	6,745	7,258
4180 Budget authority, net (total) .....	31,810	30,972	28,288
4190 Outlays, net (total) .....	29,984	29,561	29,708

## Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	31,810	30,972	28,288
Outlays .....	29,984	29,561	29,708
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			–17
Outlays .....			–8
Legislative proposal, subject to PAYGO:			
Budget Authority .....			–4
Outlays .....			–2
Total:			
Budget Authority .....	31,810	30,972	28,267
Outlays .....	29,984	29,561	29,698

## Status of Direct Loans (in millions of dollars)

Identification code 091–0200–0–1–502	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	422	472	479
1251 Repayments: Repayments and prepayments .....	–29	–29	–29
1264 Other adjustments, net (+ or –) .....	79	36	36
1290 Outstanding, end of year .....	472	479	486

**Notes.**—Figures include, in all years, institutional matching share of defaulted notes assigned from institutions to the Education Department.

Funding from the Student Financial Assistance account and related matching funds would provide nearly 7.6 million awards totaling more than \$30.6 billion in available aid in award year 2020–2021.

**Federal Pell grants.**—Pell Grants are the single largest source of grant aid for postsecondary education. Funding for this program is provided from two sources: discretionary and mandatory budget authority provided by the College Cost Reduction and Access Act, as amended, and changes to the Higher Education Act of 1965 made in the Department of Education Appropriations Act of 2019.

In 2020, over 7.2 million undergraduates will receive up to \$5,135 from the discretionary award and an additional \$1,060 from the mandatory add-on to help pay for postsecondary education. Undergraduate students establish eligibility for these grants under award and need determination rules set out in the authorizing statute and annual appropriations act.

The 2020 Budget request includes \$22.5 billion in discretionary funding for Pell Grants in 2020, which, when combined with mandatory funding, will support a projected maximum award of \$6,195.

**Federal work-study.**—Federal funds are awarded by formula to qualifying institutions, which provide part-time jobs to eligible undergraduate and graduate students. Hourly earnings under this program must be at least the Federal minimum wage. Federal funding, in most cases, pays 75 percent of a student's hourly wages, with the remaining 25 percent paid by the employer. The Federal Work-Study program also requires participating institutions to use at least seven percent of their total funds for students employed in community service jobs.

The 2020 Budget includes \$500.0 million for Work-Study, which would generate \$548.4 million in aid to 322,440 students. The President's 2020 Budget proposes to reform the Federal Work-Study program to support workforce and career-oriented opportunities for low-income undergraduate students who can benefit the most, as opposed to subsidized employment for campus-based jobs through federal aid. The Budget also proposes to reform the institutional allocation formula in order to focus scarce funds, in part, on enrollment of Pell recipients.

## STUDENT FINANCIAL ASSISTANCE—Continued

*Iraq and Afghanistan service grants.*—This program provides non-need-based grants to students whose parent or guardian was a member of the Armed Forces and died in Iraq or Afghanistan as a result of performing military service after September 11, 2001.

*Pooled Evaluation.*—Requested authority in the General Provisions would enable the Department to reserve up to 0.5 percent of funding annually appropriated for certain Higher Education Act (HEA) programs for rigorous program evaluation, data collection, and analysis of outcome data. The authority would not allow the Department to reserve funds appropriated for Pell Grants, but would allow for evaluation of the program with the reserved funds.

*Funding tables.*—The following tables display student aid funds available, the number of aid awards, average awards, and the unduplicated count of recipients from each Federal student aid program. Loan amounts reflect the amount actually loaned to borrowers, not the Federal cost of these loans. The data in these tables include matching funds wherever appropriate. The 2020 data in these tables reflect the Administration's Budget proposals.

## Aid Funds Available for Postsecondary Education and Training

	(in thousands of dollars)		
	2018	2019	2020
Pell grants .....	28,026,215	29,747,015	30,077,034
Student loans:			
Subsidized Stafford loans .....	21,223,161	21,372,010	13,304,229
Unsubsidized Stafford loans (Undergraduates) .....	22,487,365	22,956,323	31,630,862
Unsubsidized Stafford loans (Graduate students) .....	28,320,312	28,940,781	29,186,356
Unsubsidized Stafford loans (total) .....	50,807,677	51,897,104	60,817,218
Parent PLUS loans .....	13,017,977	13,614,109	14,204,945
Grad PLUS loans .....	10,815,432	11,298,577	11,888,152
PLUS loans (total) .....	23,833,410	24,912,686	26,093,096
Consolidation .....	41,632,348	45,296,918	46,354,223
Student loans, subtotal .....	137,496,595	143,478,718	146,568,767
Work-study .....	1,239,406	1,239,406	548,410
Supplemental educational opportunity grants .....	1,130,929	1,130,929	0
Iraq and Afghanistan service grants <sup>1</sup> .....	320	307	0
TEACH grants .....	88,979	95,800	97,173
Total aid available .....	167,982,444	175,692,175	177,921,383

<sup>1</sup>Value in 2020 reflects Budget policy to make Iraq and Afghanistan service grants part of the Pell Grant program.

## Number of Aid Awards

	(in thousands)		
	2018	2019	2020
Pell grants .....	6,557	6,997	7,250
Subsidized Stafford loans .....	6,051	6,092	4,245
Unsubsidized Stafford loans (Undergraduates) .....	6,126	6,181	8,125
Unsubsidized Stafford loans (Graduate students) .....	1,893	1,921	1,921
Parent PLUS loans .....	935	963	985
Grad PLUS loans .....	602	617	633
Consolidation loans .....	693	757	755
Work-study .....	704	704	322
Supplemental educational opportunity grants .....	1,700	1,700	0
Iraq and Afghanistan service grants <sup>1,2</sup> .....	0	0	0
TEACH grants .....	32	32	32
Total awards .....	25,293	25,964	24,269

<sup>1</sup>Number of recipients is fewer than 1,000.

<sup>2</sup>Value in 2020 reflects Budget policy to make Iraq and Afghanistan service grants part of the Pell Grant program.

Note: Numbers may not add due to rounding.

## Average Aid Awards

	(in whole dollars)		
	2018	2019	2020
Pell grants .....	4,274	4,251	4,149
Subsidized Stafford loans .....	3,508	3,508	3,134
Unsubsidized Stafford loans (Undergraduates) .....	3,671	3,714	3,893
Unsubsidized Stafford loans (Graduate students) .....	14,958	15,064	15,190
Parent PLUS loans .....	13,924	14,135	14,416
Grad PLUS loans .....	17,952	18,306	18,776
Consolidation loans .....	60,048	59,833	61,433
Work-study .....	1,760	1,760	1,701
Supplemental educational opportunity grants .....	665	665	0
Iraq and Afghanistan service grants <sup>1</sup> .....	5,160	5,293	0
TEACH grants .....	2,823	3,010	3,023

<sup>1</sup>Value in 2020 reflects Budget policy to make Iraq and Afghanistan service grants part of the Pell Grant program.

## Number of Students Aided

	(in thousands)		
	2018	2019	2020
Unduplicated student count .....	10,718	11,011	11,850

## Administrative Payments to Institutions

	(in thousands of dollars)		
	2018	2019	2020
Pell grants .....	32,785	34,985	36,250
Work-study .....	54,271	54,271	24,014
Supplemental educational opportunity grants .....	15,421	15,421	0

## STUDENT FINANCIAL ASSISTANCE

(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

Identification code 091–0200–2–1–502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0101 Federal Pell grants .....			–89
0900 Total new obligations, unexpired accounts (object class 41.0) .....			–89
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			–17
1930 Total budgetary resources available .....			–17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			72
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			–89
3020 Outlays (gross) .....			8
3050 Unpaid obligations, end of year .....			–81
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			–81
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			–17
Outlays, gross:			
4100 Outlays from new mandatory authority .....			–8
4180 Budget authority, net (total) .....			–17
4190 Outlays, net (total) .....			–8

*Federal Pell grants.*—The Budget proposes to except the Department from restrictions of Section 6103 of the Internal Revenue Code to authorize the Internal Revenue Service to disclose tax return information directly to the Department for the purpose of administering certain Federal student aid programs.

## STUDENT FINANCIAL ASSISTANCE

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

Identification code 091–0200–4–1–502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0101 Federal Pell grants .....			117
0900 Total new obligations, unexpired accounts (object class 41.0) .....			117
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			–4
1930 Total budgetary resources available .....			–4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			–121
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			117
3020 Outlays (gross) .....			2
3050 Unpaid obligations, end of year .....			119



Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		119
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		-4
Outlays, gross:			
4100	Outlays from new mandatory authority .....		-2
4180	Budget authority, net (total) .....		-4
4190	Outlays, net (total) .....		-2

**Federal Pell grants.**—The 2020 Budget proposes to expand Pell Grant eligibility to students enrolled in high-quality short-term programs that provide students with a credential, certification, or license in a high-demand field. The Budget also proposes to bar someone from receiving another Pell Grant if they have been awarded three consecutive Pell Grants without earning any credits.

**Iraq and Afghanistan service grants.**—The 2020 Budget proposes to move the Iraq and Afghanistan Service Grant program into the Pell Grant program and ensure the children of our fallen service members receive a full aid award, exempt from cuts due to sequestration, and without any other changes to program's benefits or eligibility.

#### STUDENT AID ADMINISTRATION

For Federal administrative expenses to carry out part D of title I, and subparts 1 [ 3, ] and 9 [ , and 10 ] of part A, and parts B, C, D, and E of title IV of the HEA, and subpart 1 of part A of title VII of the Public Health Service Act, [ \$1,678,943,000 ] \$1,812,000,000, to remain available through September 30, [ 2020 ] 2021 [ : Provided, That the Secretary shall allocate new student loan borrower accounts to eligible student loan servicers on the basis of their performance compared to all loan servicers utilizing established common metrics, and on the basis of the capacity of each servicer to process new and existing accounts: *Provided further*, That for student loan contracts awarded prior to October 1, 2017, the Secretary shall allow student loan borrowers who are consolidating Federal student loans to select from any student loan servicer to service their new consolidated student loan: *Provided further*, That in order to promote accountability and high-quality service to borrowers, the Secretary shall not award funding for any contract solicitation for a new Federal student loan servicing environment, including the solicitation for the FSA Next Generation Processing and Servicing Environment as amended by the Department of Education on February 20, 2018, unless such an environment provides for the participation of multiple student loan servicers that contract directly with the Department of Education to manage a unique portfolio of borrower accounts and the full life-cycle of loans from disbursement to pay-off with certain limited exceptions, and allocates student loan borrower accounts to eligible student loan servicers based on performance: *Provided further*, That such servicers described in the previous proviso shall be evaluated based on their ability to meet contract requirements, future performance on the contracts, and history of compliance with applicable consumer protections laws: *Provided further*, That to the extent Federal Student Aid (FSA) permits student loan servicing subcontracting, FSA shall hold prime contractors accountable for meeting the requirements of the contract, and the performance and expectations of subcontractors shall be accounted for in the prime contract and in the overall performance of the prime contractor: *Provided further*, That FSA shall ensure that the Next Generation Processing and Servicing Environment contracts incentivize more support to borrowers at risk of being distressed: *Provided further*, That the Secretary shall provide quarterly briefings to the Committees on Appropriations and Education and the Workforce of the House of Representatives and the Committees on Appropriations and Health, Education, Labor, and Pensions of the Senate on general progress related to solicitations for Federal student loan servicing contracts [ . (Department of Education Appropriations Act, 2019.)

#### Program and Financing (in millions of dollars)

Identification code 091-0202-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Student aid administration .....	784	699	1,281
0002 Servicing activities .....	908	980	531
0900 Total new obligations, unexpired accounts .....	1,692	1,679	1,812
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	2	2

1021 Recoveries of prior year unpaid obligations .....	12		
1050 Unobligated balance (total) .....	15	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,679	1,679	1,812
1930 Total budgetary resources available .....	1,694	1,681	1,814
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2

#### Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	716	811	1,007
3010 New obligations, unexpired accounts .....	1,692	1,679	1,812
3011 Obligations ("upward adjustments"), expired accounts .....	13		
3020 Outlays (gross) .....	-1,584	-1,483	-1,671
3040 Recoveries of prior year unpaid obligations, unexpired .....	-12		
3041 Recoveries of prior year unpaid obligations, expired .....	-14		
3050 Unpaid obligations, end of year .....	811	1,007	1,148
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	716	811	1,007
3200 Obligated balance, end of year .....	811	1,007	1,148

#### Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	1,679	1,679	1,812
Outlays, gross:			
4010 Outlays from new discretionary authority .....	968	912	977
4011 Outlays from discretionary balances .....	616	571	694
4020 Outlays, gross (total) .....	1,584	1,483	1,671
4180 Budget authority, net (total) .....	1,679	1,679	1,812
4190 Outlays, net (total) .....	1,584	1,483	1,671

The Department of Education manages Federal student aid programs that will provide nearly \$131 billion in new Federal student aid grants and loans (excluding Direct Consolidation Loans) to 11.8 million students and parents in 2020. The Offices of Postsecondary Education, the Under Secretary and Federal Student Aid (FSA) are primarily responsible for administering the Federal student financial assistance programs. FSA was created by the Congress in 1998 with a mandate to improve service to students and other student aid program participants, reduce student aid administration costs, and improve accountability and program integrity.

#### Object Classification (in millions of dollars)

Identification code 091-0202-0-1-502	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	163	165	166
11.3 Other than full-time permanent .....	2		
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	168	168	169
12.1 Civilian personnel benefits .....	53	54	54
21.0 Travel and transportation of persons .....	2	2	3
23.1 Rental payments to GSA .....	20	20	20
25.1 Advisory and assistance services .....	3	6	8
25.2 Other services from non-Federal sources .....	987	915	783
25.3 Other goods and services from Federal sources .....	59	45	42
25.7 Operation and maintenance of equipment .....	396	467	733
32.0 Land and structures .....	2		
99.0 Direct obligations .....	1,690	1,677	1,812
99.5 Adjustment for rounding .....	2	2	
99.9 Total new obligations, unexpired accounts .....	1,692	1,679	1,812

#### Employment Summary

Identification code 091-0202-0-1-502	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,436	1,480	1,480

## TEACH GRANT PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 091-0206-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	30	29	30
0705 Reestimates of direct loan subsidy .....	40	5	.....
0706 Interest on reestimates of direct loan subsidy .....	5	.....	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	75	34	30
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (indefinite) - Loan subsidy .....	32	31	30
1200 Appropriation (indefinite) - Upward reestimate .....	45	5	.....
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-2	-2	.....
1260 Appropriations, mandatory (total) .....	75	34	30
1930 Total budgetary resources available .....	75	34	30
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	15	9
3010 New obligations, unexpired accounts .....	75	34	30
3020 Outlays (gross) .....	-64	-31	-28
3041 Recoveries of prior year unpaid obligations, expired .....	-1	-9	-2
3050 Unpaid obligations, end of year .....	15	9	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	15	9
3200 Obligated balance, end of year .....	15	9	9
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	75	34	30
Outlays, gross:			
4100 Outlays from new mandatory authority .....	60	25	20
4101 Outlays from mandatory balances .....	4	6	8
4110 Outlays, gross (total) .....	64	31	28
4180 Budget authority, net (total) .....	75	34	30
4190 Outlays, net (total) .....	64	31	28

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0206-0-1-502	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115001 TEACH Grants .....	131	102	104
Direct loan subsidy (in percent):			
132001 TEACH Grants .....	23.06	28.37	28.79
132999 Weighted average subsidy rate .....	23.06	28.37	28.79
Direct loan subsidy budget authority:			
133001 TEACH Grants .....	30	29	31
Direct loan subsidy outlays:			
134001 TEACH Grants .....	19	26	28
Direct loan reestimates:			
135001 TEACH Grants .....	45	4	.....

The TEACH Grant program, authorized by the College Cost Reduction and Access Act of 2007, awards annual grants of up to \$4,000 to full- or part-time undergraduate and graduate students who agree to teach mathematics, science, foreign languages, bilingual education, special education, or reading at a high-poverty school for not less than four years within eight years of graduation. The program began awarding grants in the 2008-2009 award year. Students must have a grade point average of 3.25 or higher to be eligible to receive a grant. Students who fail to fulfill the service requirements must repay the grants, including interest accrued from the time of award.

Because TEACH Grants turn into loans in cases where the service requirements are not fulfilled, for budget and accounting purposes the program is operated consistent with the requirements of the Federal Credit Reform Act of 1990. This program account records subsidy costs reflecting the net present value of the estimated lifetime Federal program costs for grants awarded in a given fiscal year. Under this approach the subsidy cost reflects

the cost of grant awards net of expected future repayments for grants that are converted to loans.

## TEACH GRANT FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 091-4290-0-3-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	131	102	104
0713 Payment of interest to Treasury .....	19	13	13
0742 Downward reestimates paid to receipt accounts .....	.....	1	.....
0791 Direct program activities, subtotal .....	150	116	117
0900 Total new obligations, unexpired accounts .....	150	116	117
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	.....
1021 Recoveries of prior year unpaid obligations .....	20	26	18
1023 Unobligated balances applied to repay debt .....	-12	-2	.....
1024 Unobligated balance of borrowing authority withdrawn .....	-10	-26	-18
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	110	76	76
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	115	110	108
1801 Change in uncollected payments, Federal sources .....	2	1	.....
1825 Spending authority from offsetting collections applied to repay debt .....	-75	-71	-67
1850 Spending auth from offsetting collections, mand (total) .....	42	40	41
1900 Budget authority (total) .....	152	116	117
1930 Total budgetary resources available .....	152	116	117
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	74	100	82
3010 New obligations, unexpired accounts .....	150	116	117
3020 Outlays (gross) .....	-104	-108	-110
3040 Recoveries of prior year unpaid obligations, unexpired .....	-20	-26	-18
3050 Unpaid obligations, end of year .....	100	82	71
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-6	-7
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2	-1	.....
3090 Uncollected pymts, Fed sources, end of year .....	-6	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	70	94	75
3200 Obligated balance, end of year .....	94	75	64
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	152	116	117
Financing disbursements:			
4110 Outlays, gross (total) .....	104	108	110
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward Reestimate .....	-45	-5	.....
4120 Subsidy from Program Account .....	-19	-26	-28
4122 Interest on uninvested funds .....	-3	.....	.....
4123 Payment of Principal .....	-38	-70	-72
4123 Interest Received .....	-10	-9	-8
4130 Offsets against gross budget authority and outlays (total) ....	-115	-110	-108
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-2	-1	.....
4160 Budget authority, net (mandatory) .....	35	5	9
4170 Outlays, net (mandatory) .....	-11	-2	2
4180 Budget authority, net (total) .....	35	5	9
4190 Outlays, net (total) .....	-11	-2	2

## Status of Direct Loans (in millions of dollars)

Identification code 091-4290-0-3-502	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	131	102	104

1150	Total direct loan obligations .....	131	102	104
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	724	746	769
1231	Disbursements: Direct loan disbursements .....	84	93	96
1251	Repayments: Repayments and prepayments .....	-38	-70	-72
1264	Other adjustments, net (+ or -) .....	-24		
1290	Outstanding, end of year .....	746	769	793

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the TEACH Grant program. Amounts in this account are a means of financing and are not included in the budget totals.

#### Balance Sheet (in millions of dollars)

Identification code 091-4290-0-3-502	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	30	25
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	724	746
1402 Interest receivable .....	96	91
1405 Allowance for subsidy cost (-) .....	-225	-253
1499 Net present value of assets related to direct loans .....	595	584
1999 Total assets .....	625	609
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....		
2103 Debt .....	625	609
2999 Total liabilities .....	625	609
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	625	609

#### STUDENT FINANCIAL ASSISTANCE DEBT COLLECTION

##### Special and Trust Fund Receipts (in millions of dollars)

Identification code 091-5557-0-2-502	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	1	2
Receipts:			
Current law:			
1130 Student Financial Assistance Debt Collection .....	10	10	10
2000 Total: Balances and receipts .....	11	11	12
Appropriations:			
Current law:			
2101 Student Financial Assistance Debt Collection .....	-10	-9	-9
2103 Student Financial Assistance Debt Collection .....	-1		
2132 Student Financial Assistance Debt Collection .....	1		
2199 Total current law appropriations .....	-10	-9	-9
2999 Total appropriations .....	-10	-9	-9
5099 Balance, end of year .....	1	2	3

##### Program and Financing (in millions of dollars)

Identification code 091-5557-0-2-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Student Financial Assistance Debt Collection .....	2	2	2
0900 Total new obligations, unexpired accounts (object class 25.2) .....	2	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	14	14
1022 Capital transfer of unobligated balances to general fund .....	-6	-7	-7
1050 Unobligated balance (total) .....	7	7	7
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	10	9	9
1203 Appropriation (previously unavailable) .....	1		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1		

1235 Capital transfer of appropriations to general fund .....	-1		
1260 Appropriations, mandatory (total) .....	9	9	9
1930 Total budgetary resources available .....	16	16	16
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	14	14	14

#### Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 New obligations, unexpired accounts .....	2	2	2
3020 Outlays (gross) .....	-1	-2	-2
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1

#### Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	9	9	9
Outlays, gross:			
4101 Outlays from mandatory balances .....	1	2	2
4180 Budget authority, net (total) .....	9	9	9
4190 Outlays, net (total) .....	1	2	2

#### FEDERAL STUDENT LOAN RESERVE FUND

##### Program and Financing (in millions of dollars)

Identification code 091-4257-0-3-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0102 Obligations, non-Federal .....	7,353	6,601	5,373
0900 Total new obligations, unexpired accounts (object class 42.0) .....	7,353	6,601	5,373
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,077	2,176	2,304
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	7,459	6,729	6,072
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-7		
1850 Spending auth from offsetting collections, mand (total) .....	7,452	6,729	6,072
1930 Total budgetary resources available .....	9,529	8,905	8,376
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,176	2,304	3,003

#### Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		170	549
3010 New obligations, unexpired accounts .....	7,353	6,601	5,373
3020 Outlays (gross) .....	-7,183	-6,222	-5,615
3050 Unpaid obligations, end of year .....	170	549	307
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		170	549
3200 Obligated balance, end of year .....	170	549	307

#### Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	7,452	6,729	6,072
Outlays, gross:			
4100 Outlays from new mandatory authority .....	6,897	6,222	5,615
4101 Outlays from mandatory balances .....	286		
4110 Outlays, gross (total) .....	7,183	6,222	5,615
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-7,289	-6,577	-6,003
4180 Budget authority, net (total) .....	163	152	69
4190 Outlays, net (total) .....	-106	-355	-388

The Higher Education Amendments of 1998 clarified that reserve funds held by public and non-profit guaranty agencies participating in the Federal Family Education Loan (FFEL) program are Federal property. These reserves are used to pay default claims from FFEL lenders and fees to support agency efforts to avert defaults. The Federal Government reimburses these reserves for default claim payments. The Consolidated Appropriations Act,

## FEDERAL STUDENT LOAN RESERVE FUND—Continued

2016, increased guaranty agency reinsurance payments from 95 percent of the face value of loans to 100 percent. The following schedule reflects the balances in these guaranty agency funds.

**Balance Sheet** (in millions of dollars)

Identification code 091-4257-0-3-502	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	2,076	2,176
1999 Total assets .....	2,076	2,176
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....		
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	2,076	2,176
4999 Total liabilities and net position .....	2,076	2,176

## FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 091-0243-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	8,053	7,559	12,774
0703 Subsidy for modifications of direct loans .....	420	350	
0705 Reestimates of direct loan subsidy .....	2,154	23,125	
0706 Interest on reestimates of direct loan subsidy .....	1,863	5,495	
0900 Total new obligations, unexpired accounts (object class 41.0) .....	12,490	36,529	12,774
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (indefinite) .....	12,490	36,529	12,774
1930 Total budgetary resources available .....	12,490	36,529	12,774
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	869	1,069	1,182
3010 New obligations, unexpired accounts .....	12,490	36,529	12,774
3020 Outlays (gross) .....	-12,014	-36,171	-12,413
3041 Recoveries of prior year unpaid obligations, expired .....	-276	-245	
3050 Unpaid obligations, end of year .....	1,069	1,182	1,543
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	869	1,069	1,182
3200 Obligated balance, end of year .....	1,069	1,182	1,543
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	12,490	36,529	12,774
Outlays, gross:			
4100 Outlays from new mandatory authority .....	11,427	35,347	11,492
4101 Outlays from mandatory balances .....	587	824	921
4110 Outlays, gross (total) .....	12,014	36,171	12,413
4180 Budget authority, net (total) .....	12,490	36,529	12,774
4190 Outlays, net (total) .....	12,014	36,171	12,413

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	12,490	36,529	12,774
Outlays .....	12,014	36,171	12,413
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			-2,430
Outlays .....			-1,650
<b>Total:</b>			
Budget Authority .....	12,490	36,529	10,344
Outlays .....	12,014	36,171	10,763

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 091-0243-0-1-502	2018 actual	2019 est.	2020 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115001 Stafford .....	23,707	24,351	24,548
115002 Unsubsidized Stafford .....	56,581	59,688	60,472
115003 PLUS .....	24,094	26,104	27,343
115004 Consolidation .....	42,708	45,325	46,384
115999 Total direct loan levels .....	147,090	155,468	158,747
<b>Direct loan subsidy (in percent):</b>			
132001 Stafford .....	8.30	8.65	13.15
132002 Unsubsidized Stafford .....	-9.72	-3.22	-8.4
132003 PLUS .....	-27.79	-19.04	-14.77
132004 Consolidation .....	14.25	12.03	20.58
132999 Weighted average subsidy rate .....	-2.82	0.43	5.18
<b>Direct loan subsidy budget authority:</b>			
133001 Stafford .....	1,968	2,106	3,228
133002 Unsubsidized Stafford .....	-5,500	-1,922	-508
133003 PLUS .....	-6,696	-4,970	-4,039
133004 Consolidation .....	6,086	5,453	9,546
133999 Total subsidy budget authority .....	-4,142	667	8,227
<b>Direct loan subsidy outlays:</b>			
134001 Stafford .....	1,763	1,815	2,542
134002 Unsubsidized Stafford .....	-4,706	-2,553	-811
134003 PLUS .....	-6,278	-5,294	-4,156
134004 Consolidation .....	6,090	5,454	9,520
134005 Federal Direct Student Loans .....	144	275	350
134999 Total subsidy outlays .....	-2,987	-303	7,445
<b>Direct loan reestimates:</b>			
135005 Federal Direct Student Loans .....	-11,538	26,311	
135999 Total direct loan reestimates .....	-11,538	26,311	
<b>Administrative expense data:</b>			
3580 Outlays from balances .....		6	

The Federal Government has two major student loan programs: the Federal Family Education Loan (FFEL) program and the William D. Ford Federal Direct Loan (Direct Loan) program. The Student Aid and Fiscal Responsibility Act eliminated the authorization to originate new FFEL loans; as of July 1, 2010, the Direct Loan program originates all new loans. This narrative outlines the structure of these two programs and provides text tables displaying program cost data; loan volume, subsidy, default, and interest rates; and other descriptive information.

From its inception in 1965 through the end of June 2010, the FFEL program guaranteed almost \$899 billion in loans to postsecondary students and their parents. Although no new FFEL loans have been originated since July 1, 2010, \$190 billion of outstanding FFEL loans continue to be serviced by lenders, the Department of Education, and guaranty agencies. The 2020 Budget proposes to eliminate the payment of Account Maintenance Fees to guaranty agencies.

Under the Direct Loan program, the Federal Government provides loan capital through the Treasury while the Department of Education loan origination and servicing is handled by private and not-for-profit loan servicers under performance-based contracts with the Department. The Direct Loan program began operation in award year 1994-1995, originating seven percent of overall loan volume. In 2020, excluding Consolidation Loans, the Direct Loan program will make \$100.2 billion in new loans.

The Direct Loan program offers four types of loans: Subsidized Stafford; Unsubsidized Stafford; PLUS; and Consolidation. Loans can be used for qualified educational expenses. Undergraduates with financial need may receive a Subsidized Stafford loan (graduate and professional students are not eligible). The other three loan programs are available to borrowers at all income levels. Interest rates are set annually for loans originated in the upcoming award year based on the 10-year Treasury note; those rates will remain fixed for the life of the loan. For Subsidized Stafford loans available to undergraduates, the interest rate will be equal to the 10-year Treasury note plus 2.05 percent and capped at 8.25 percent. Loans originated in award year 2018-2019 have an interest rate of 5.05 percent. Interest payments for these loans are fully subsidized by the Federal Government while a student is in school (up to 150 percent of program length) and during

grace and deferment periods. The interest rate on new Unsubsidized Stafford loans for undergraduate borrowers is the same as that on Subsidized Stafford loans for undergraduates. The Unsubsidized Stafford loan interest rate for graduate and professional students is equal to the 10-year Treasury note plus 3.6 percent and capped at 9.5 percent. Loans originated in award year 2018–2019 have an interest rate of 6.60 percent. The borrower interest rate on PLUS loans to graduate and professional students and parents of undergraduate borrowers is equal to the 10-year Treasury note plus 4.6 percent and capped at 10.5 percent. PLUS loans originated in award year 2018–2019 have an interest rate of 7.60 percent.

Consolidation loans allow borrowers to combine FFEL, Direct Loans, and Perkins Loans, as well as some loans made under the Public Health Service Act. The interest rate for new Consolidation loans equals the weighted average of the interest rate on the loans consolidated, rounded up to the nearest one-eighth of a percent. For most types of Direct Loans, the origination fee is a base rate of one percent, but an additional surcharge for sequestration was added in years 2013 to 2019. The base origination fee for PLUS loans is four percent, but has included an additional surcharge in years 2013 to 2019. Borrowers may choose from four basic types of repayment plans: standard; graduated; extended (available for qualified borrowers who have outstanding loans of more than \$30,000); and income-driven. FFEL borrowers may change repayment plans annually. Direct Loan borrowers may switch between repayment plans at any time. The maximum repayment period is 10 years for standard and graduated plans, as well as the income-sensitive repayment plan that is available only for FFEL loans. Under the current income-driven administrative Pay As You Earn (PAYE) and statutory Income-Based-Repayment (IBR) plans, for new borrowers after 2014, the repayment period is 20 years. Under the current income-driven administrative REPAYE plan, the repayment period is 20 or 25 years depending on whether the borrower has any graduate school loans. And, under the extended, former IBR (for borrowers prior to 2014), and income-contingent repayment plans, the maximum time is 25 years. PAYE and IBR require partial financial hardship in order to qualify for reduced payments and borrowers in those plans have their monthly payments capped at the monthly payment of the 10-year Standard plan. At the end of the repayment term, the borrower's remaining balance is forgiven.

Federal student loans have other benefits. For example, Federal student loans can be discharged when borrowers die, become totally and permanently disabled, or, under some circumstances, declare bankruptcy. In addition, there are several loan forgiveness programs. For example, new borrowers after October 1, 1998, who are employed as teachers in schools serving low-income populations for five consecutive, complete school years, qualify for up to \$5,000 in loan forgiveness; this benefit is increased to \$17,500 for mathematics, science, and special education teachers considered highly qualified under criteria established in the Elementary and Secondary Education Act. In addition, under the Public Service Loan Forgiveness Program, qualifying borrowers who have worked for 10 years full-time for an eligible public service employer, and made 120 qualifying monthly payments after October 1, 2007 in the standard or income-driven plans can have any remaining loan balance forgiven. This benefit is only available in the Direct Loan program, though FFEL borrowers may receive the benefit by taking out a Direct Consolidation Loan. Forgiveness is available for all Direct Loan borrowers, regardless of when they took out their loans.

The 2020 Budget would replace the five current Income Driven repayment (IDR) plans with one new Single IDR plan to make choosing a repayment plan less complex. The new IDR plan would become the only income-driven repayment plan for borrowers who originate their first loan on or after July 1, 2020, with an exception for students who borrowed their first loans prior to July 1, 2020 and who are borrowing to complete their current course of study. The Single IDR plan would: cap payments at 12.5 percent of discretionary monthly income while eliminating the standard repayment cap; limit loan payments to 15 years for borrowers with undergraduate debt only and 30 years for borrowers with any graduate debt—any remaining

amounts owed after these repayment periods would be forgiven; calculate payments for married borrowers filing separately on the combined household Adjusted Gross Income; and eliminate Public Service Loan Forgiveness. As with the Single IDR plan, these policies would apply to loans originated on or after July 1, 2020, with an exception for students continuing to borrow to complete their current course of study.

To further improve the implementation and effectiveness of IDR, the Budget proposes auto-enrolling severely delinquent borrowers into the Single IDR plan and instituting a process for borrowers to consent to share income data for multiple years. To facilitate these program improvements, and to reduce improper payments, the Budget proposes to streamline the Department of Education's ability to verify applicants' income data held by the Internal Revenue Service (IRS). The 2020 Budget would also eliminate Subsidized Stafford loans. As with the Single IDR plan, this policy would apply to loans originated on or after July 1, 2020, with an exception for students continuing to borrow to complete their current course of study.

The following tables display performance indicators and program data, including projected overall Direct Loan and FFEL costs.

#### Federal Budget Authority and Outlays

	(in thousands of dollars)		
	2018 actual	2019 est.	2020 est.
<b>PROGRAM COST:</b>			
<b>FFEL:</b>			
Liquidating <sup>1</sup> .....	0	(122,056)	(96,085)
Program:			
Net Reestimate of Prior Year Costs .....	2,309,656	1,562,603	0
Net Modification <sup>2</sup> .....	0	0	(500,962)
Subtotal, Program .....	2,309,656	1,562,603	(500,962)
Total, FFEL .....	2,309,656	1,440,547	(597,047)
<b>Direct Loans:</b>			
Program:			
New Net Loan Subsidies .....	(4,141,874)	666,716	(483,522)
Net Reestimate of Prior Year Costs .....	(11,537,671)	26,310,433	0
Net Modification <sup>3</sup> .....	419,680	350,000	0
Total, Direct Loans .....	(15,259,864)	27,327,149	(483,522)
Total, FFEL and Direct Loans .....	(12,950,208)	28,767,696	(1,080,568)
<b>PROGRAM COST OUTLAYS:</b>			
<b>FFEL:</b>			
Liquidating <sup>1</sup> .....	(165,654)	(122,056)	(96,085)
Program:			
Net Reestimate of Prior Year Costs .....	2,309,656	1,562,603	0
Net Modification <sup>2</sup> .....	0	0	(500,962)
Subtotal, Program .....	2,309,656	1,562,603	(500,962)
Total, FFEL .....	2,144,002	1,440,547	(597,047)
<b>Direct Loans:</b>			
Program:			
Regular .....	(3,131,306)	(577,651)	1,682,709
Net Reestimate of Prior Year Costs .....	(11,537,671)	26,310,433	0
Net Modification <sup>3</sup> .....	144,213	275,467	350,000
Total, Direct Loans .....	(14,524,763)	26,008,249	2,032,709
Total, FFEL and Direct Loans .....	(12,380,761)	27,448,796	1,435,663

Details may not sum to totals due to rounding.

<sup>1</sup>Liquidating account reflects loans made prior to 1992.

<sup>2</sup>Reflects proposed savings in FY 2020 from eliminating Account Maintenance Fees paid to guaranty agencies.

<sup>3</sup>Reflects costs for borrower defense claims, hurricane relief, and Temporary Expansion of Public Service Loan Forgiveness (TEPSLF) in FY 2018 and TEPSLF only in FY 2019, and FY 2020.

#### Summary of Default Rates<sup>1</sup>

	(expressed as percentages)		
	2018 est.	2019 est.	2020 est.
<b>Direct Loans:</b>			
Stafford .....	29.07	22.03	21.48
<b>Unsubsidized Stafford</b>			
Undergraduate .....	34.70	23.52	25.04
Graduate/Professional .....	14.82	6.85	11.95
<b>PLUS</b>			
Parent PLUS .....	12.42	9.60	12.64
Grad PLUS .....	10.60	4.81	9.26

## FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT—Continued

Summary of Default Rates<sup>1</sup>—Continued

	2018 est.	2019 est.	2020 est.
Consolidation .....	19.70	6.31	18.05
Weighted Average, Direct Loans .....	21.19	11.71	17.42

<sup>1</sup>Default rates displayed in this table, which reflect projected defaults over the life of a loan cohort, are used in developing program cost estimates. The Department uses other rates based on defaults occurring in the first 3 years of repayment to determine institutional eligibility to participate in Federal loan programs. These 3-year rates are lower than those included in this table.

FFEL program payments are made to lenders (interest subsidies, loan defaults, and discharges) and guaranty agencies (default collection costs, administrative services). These payments are partially offset by an annual consolidation loan holder fee. In Direct Loans, cash outflows are primarily payments to Treasury. Cash inflows include principal and interest payments on outstanding Direct Loans.

The following table shows Government payments to and from lenders, guaranty agencies, and borrowers for specific years, regardless of when loans were originated. These flows do not reflect long-term costs to the Government, nor the value of outstanding loan assets, which are reflected in credit reform subsidy estimates.

The Federal Credit Reform Act of 1990 accounts for differences in the amount and timing of cash flows among direct and guaranteed loan programs to make cost estimates for these programs comparable with each other and other Federal programs.

## Selected Program Costs and Offsets

(in thousands of dollars)

	2018 actual	2019 est.	2020 est.
<b>FFEL:</b>			
Payments to lenders:			
Interest benefits .....	494,201	81,534	44,855
Special allowance payments <sup>1</sup> .....	(1,284,095)	(19,890)	238,580
Default claims .....	6,019,785	3,893,816	3,710,439
Loan discharges .....	1,426,964	804,813	764,676
Teacher loan forgiveness .....	73,871	306	0
Administrative payments to guaranty agencies .....	113,769	189,269	114,143
<b>Fees paid to the Department of Education:</b>			
Loan holder fees .....	(1,280,126)	(429,243)	(297,083)
<b>Other Major Transactions:</b>			
Net default collections .....	(8,059,920)	(7,630,793)	(6,516,493)
Contract collection costs .....	43,155	38,921	40,538
Federal administrative costs .....	36,328	33,039	21,229
Net Cash Flow, FFEL .....	(2,416,067)	(3,038,229)	(2,419,115)
<b>Ensuring Continued Access to Student Loans (ECASLA):</b>			
Inflows .....	(9,356,358)	(13,317,617)	(8,729,049)
Outflows .....	8,843,585	13,317,617	8,729,049
Federal administrative costs .....	154,392	140,415	90,222
Net Cash Flow, ECASLA .....	(358,382)	140,415	90,222
<b>Direct Loans:</b>			
Loan disbursements to borrowers .....	134,094,485	142,738,490	145,805,959
Borrower interest payments .....	(18,000,689)	(24,490,827)	(26,823,176)
Borrower principal payments .....	(60,741,647)	(65,859,597)	(72,248,010)
Borrower origination fees .....	(1,695,888)	(1,818,067)	(1,798,982)
Net default collections .....	(4,501,837)	(10,197,181)	(10,906,708)
Contract collection costs .....	645,987	864,113	898,792
Federal administrative costs .....	745,689	682,465	449,917
Net operating cash flows .....	50,546,101	41,919,396	35,377,793
Loan capital borrowings from Treasury .....	(134,094,485)	(142,738,490)	(145,805,959)
Net interest payments to Treasury .....	28,439,603	32,678,886	34,546,388
Principal payments to Treasury .....	55,351,466	97,688,025	76,651,046
Subtotal, Treasury activity .....	(50,303,416)	(12,371,579)	(34,608,525)
Net Cash Flow, Direct Loans .....	242,685	29,547,817	769,268

<sup>1</sup>Includes Negative Special Allowance Payments.

## Student Loan Program Costs: Analysis of Direct Loans Including Program and Administrative Expenses

(expressed as percentages)

	2018 actual	2019 est.	2020 est.
Direct Loans:			
New Loans:			
Stafford .....	9.65	8.65	10.31

Unsubsidized Stafford			
Undergraduate .....	-1.43	-3.43	-4.54
Graduate/Professional .....	-4.12	-3.06	-9.45
<b>PLUS</b>			
Parent PLUS .....	-30.90	-34.43	-29.43
Grad PLUS .....	-1.35	-0.52	-13.04
Subtotal, new loan subsidy .....	-3.40	-4.26	-8.19
Federal administrative costs .....	1.70	1.70	1.70
Subtotal, new loans .....	-1.70	-2.56	-6.49
<b>Consolidation Loans</b>			
Loan subsidy .....	12.29	12.03	18.94
Federal administrative costs .....	0.38	0.38	0.38
Subtotal, consolidation loans .....	12.67	12.41	19.32
<b>New and Consolidation Loans</b>			
Loan subsidy .....	0.98	0.49	-0.26
Federal administrative costs .....	1.45	1.45	1.45
Total, Direct Loans .....	2.43	1.94	1.19

Notes: Totals may not add due to rounding. Subsidies are weighted on gross volumes.

For 2018, the rates are current; these include the actual executed rates for 2018 and the effect of re-estimates on those rates.

The table above describes Direct Loan costs on a subsidy rate basis: program costs calculated under the Federal Credit Reform Act of 1990 and comparably projected estimates of Federal administrative costs. As with any long-term projection, the comparison is based on assumed future interest rates, borrower characteristics, administrative costs, and other factors over the life of the loan cohort. To the degree actual conditions differ from projections, estimated subsidy rates will change.

The Federal Credit Reform Act of 1990 requires the cost of existing loan cohorts to be reestimated to reflect changes in actual and assumed borrower behavior, interest rates, and other factors. The following table shows the impact of these reestimates in FFEL and Direct Loans.

## Loan Disbursement and Subsidy Costs

(in billions of dollars)

	FFEL	Direct Loans
Original Subsidy Costs .....	+77.1	-116.7
Cumulative Reestimates .....	-50.9	+60.6
Net Subsidy Costs .....	+26.1	-56.1
Total Disbursements .....	+898.7	+1,493.6

Changes in interest rate projections are a significant factor in FFEL and Direct Loan reestimates; recent declines in interest rates below historical averages have been a major driver in changes to program costs. For Direct Loans, several other assumptions were reestimated that contributed to the upward reestimate, including changes to the income-driven repayment plan model. Model assumptions affecting the 2018 cohort were also updated. The Direct Loan upward net reestimate for 2019 is primarily due to updated default and collection assumptions.

## Direct Loan Repayment Options

(expressed as percentages)

Subsidies by Repayment Option	2018 actual <sup>1</sup>	2019 est.	2020 est.
<b>Stafford:</b>			
Standard .....	8.13	5.50	8.70
Extended .....	2.24	1.23	3.21
Graduated .....	-2.62	-1.13	-0.41
IDR <sup>2</sup> .....	18.89	19.36	17.22
<b>Unsubsidized Stafford:</b>			
Standard .....	-11.15	-17.66	-11.78
Extended .....	-22.02	-25.68	-23.15
Graduated .....	-36.72	-28.72	-33.77
IDR .....	18.21	18.67	6.90
<b>PLUS:</b>			
Standard .....	-24.32	-33.02	-23.79
Extended .....	-34.94	-40.74	-35.13
Graduated .....	-68.22	-48.21	-62.36
IDR .....	19.92	22.56	-0.19
<b>Consolidated:</b>			
Standard .....	0.96	1.20	3.13
Extended .....	-19.31	-21.69	-10.94
Graduated .....	-39.97	-36.71	-22.20
IDR .....	21.44	21.06	26.83

**Direct Loan Repayment Options**

(gross volumes in millions of dollars)

Volumes by Repayment Option	2018 actual <sup>1</sup>	2019 est.	2020 est.
<b>Stafford:</b>			
Standard .....	13,994	13,871	7,984
Extended .....	491	474	309
Graduated .....	3,004	2,952	1,822
IDR <sup>2</sup> .....	6,685	7,054	5,006
<b>Unsubsidized Stafford:</b>			
Standard .....	26,680	23,996	30,850
Extended .....	2,158	2,419	2,406
Graduated .....	6,680	7,026	7,768
IDR .....	22,908	26,247	28,875
<b>PLUS:</b>			
Standard .....	13,988	14,661	15,192
Extended .....	1,158	1,079	1,263
Graduated .....	2,765	2,728	3,012
IDR .....	7,065	7,636	7,876
<b>Consolidated:</b>			
Standard .....	42	39	40
Extended .....	6,271	6,669	6,825
Graduated .....	2,027	2,138	2,188
IDR .....	33,319	36,478	37,331

<sup>1</sup>2018 rates are current; these include actual executed rates for 2018 and the effect of re-estimates on those rates.<sup>2</sup>All income-driven plans are included in the IDR category.**FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 091-0243-4-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....			-2,430
0900 Total new obligations, unexpired accounts (object class 41.0) .....			-2,430
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (indefinite) .....			-2,430
1930 Total budgetary resources available .....			-2,430
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-2,430
3020 Outlays (gross) .....			1,650
3050 Unpaid obligations, end of year .....			-780
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			-780
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-2,430
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-1,650
4180 Budget authority, net (total) .....			-2,430
4190 Outlays, net (total) .....			-1,650

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 091-0243-4-1-502	2018 actual	2019 est.	2020 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115001 Stafford .....			-9,428
115002 Unsubsidized Stafford .....			9,428
<b>Direct loan subsidy (in percent):</b>			
132001 Stafford .....			-2.84
132002 Unsubsidized Stafford .....			-6.06
132003 PLUS .....	0.00	0.00	-7.19
132004 Consolidation .....	0.00	0.00	-1.64
132999 Weighted average subsidy rate .....	0.00	0.00	-5.49
<b>Direct loan subsidy budget authority:</b>			
133001 Stafford .....			-1,669
133002 Unsubsidized Stafford .....			-4,315
133003 PLUS .....			-1,966
133004 Consolidation .....			-761
133999 Total subsidy budget authority .....			-8,711

## Direct loan subsidy outlays:

134001 Stafford .....			-894
134002 Unsubsidized Stafford .....			-2,534
134003 PLUS .....			-1,229
134004 Consolidation .....			-756
134999 Total subsidy outlays .....			-5,413

This presentation shows the PAYGO effects of the President's student loan reforms as discussed above.

**FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

Identification code 091-4253-0-3-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0301 Consolidation loans-Payment of Orig. Services .....	28	30	31
0401 Payment of contract collection costs .....	648	865	898
<b>Credit program obligations:</b>			
0710 Direct loan obligations .....	147,090	155,468	158,747
0713 Payment of interest to Treasury .....	32,329	32,679	34,404
0740 Negative subsidy obligations .....	12,195	6,892	4,547
0742 Downward reestimates paid to receipt accounts .....	11,015	1,079	
0743 Interest on downward reestimates .....	4,540	1,230	
0791 Direct program activities, subtotal .....	207,169	197,348	197,698
0900 Total new obligations, unexpired accounts .....	207,845	198,243	198,627

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	6,259	5,268	
1021 Recoveries of prior year unpaid obligations .....	13,081	13,343	8,509
1023 Unobligated balances applied to repay debt .....	-10,855	-5,268	
1024 Unobligated balance of borrowing authority withdrawn .....	-8,018	-13,343	-8,509
1033 Recoveries of prior year paid obligations .....	5		
1050 Unobligated balance (total) .....	472		
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	167,206	157,372	150,921
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	100,839	138,531	124,159
1801 Change in uncollected payments, Federal sources .....	-45	28	310
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-8		
1825 Spending authority from offsetting collections applied to repay debt .....	-55,351	-97,688	-76,763
1850 Spending auth from offsetting collections, mand (total) .....	45,435	40,871	47,706
1900 Budget authority (total) .....	212,641	198,243	198,627
1930 Total budgetary resources available .....	213,113	198,243	198,627
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	5,268		

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	76,722	77,897	76,329
3010 New obligations, unexpired accounts .....	207,845	198,243	198,627
3020 Outlays (gross) .....	-193,589	-186,468	-186,135
3040 Recoveries of prior year unpaid obligations, unexpired .....	-13,081	-13,343	-8,509
3050 Unpaid obligations, end of year .....	77,897	76,329	80,312
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-588	-543	-571
3070 Change in uncollected pymts, Fed sources, unexpired .....	45	-28	-310
3090 Uncollected pymts, Fed sources, end of year .....	-543	-571	-881
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	76,134	77,354	75,758
3200 Obligated balance, end of year .....	77,354	75,758	79,431

**Financing authority and disbursements, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	212,641	198,243	198,627
<b>Financing disbursements:</b>			
4110 Outlays, gross (total) .....	193,589	186,468	186,135
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Upward reestimate .....	-2,154	-23,125	
4120 Upward reestimate, interest .....	-1,863	-5,495	
4120 Upward Modification .....	-144	-275	-350
4120 Program subsidy .....	-7,853	-7,270	-12,063
4122 Interest on uninvested funds .....	-3,890		

FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 091-4253-0-3-502	2018 actual	2019 est.	2020 est.
4123 Repayment of principal, Stafford .....	-13,089	-16,350	-17,186
4123 Interest received on loans, Stafford .....	-2,770	-3,231	-3,379
4123 Origination Fees, Stafford .....	-212	-227	-219
4123 Other fees, Stafford .....	-61		
4123 Repayment of principal, Unsubsidized Stafford .....	-27,208	-32,415	-35,601
4123 Interest received on loans, Unsubsidized Stafford .....	-6,908	-7,509	-8,222
4123 Origination Fees, Unsubsidized Stafford .....	-505	-548	-532
4123 Other fees, Unsubsidized Stafford .....	-68		
4123 Repayment of principal, PLUS .....	-12,407	-14,312	-16,172
4123 Interest received on loans, PLUS .....	-3,567	-4,121	-4,544
4123 Origination Fees, PLUS .....	-979	-1,044	-1,048
4123 Other fees, PLUS .....	-17		
4123 Payment of principal, Consolidation .....	-10,824	-12,979	-14,163
4123 Interest received on loans, Consolidation .....	-6,268	-9,630	-10,680
4123 Other fees, Consolidation .....	-57		
4130 Offsets against gross budget authority and outlays (total) ....	-100,844	-138,531	-124,159
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	45	-28	-310
4143 Recoveries of prior year paid obligations, unexpired accounts .....	5		
4150 Additional offsets against budget authority only (total) .....	50	-28	-310
4160 Budget authority, net (mandatory) .....	111,847	59,684	74,158
4170 Outlays, net (mandatory) .....	92,745	47,937	61,976
4180 Budget authority, net (total) .....	111,847	59,684	74,158
4190 Outlays, net (total) .....	92,745	47,937	61,976

## Status of Direct Loans (in millions of dollars)

Identification code 091-4253-0-3-502	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	23,707	24,351	24,548
1150 Total direct loan obligations .....	23,707	24,351	24,548
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	214,839	225,552	230,096
1231 Disbursements: Direct loan disbursements .....	20,571	21,342	21,491
1251 Repayments: Repayments and prepayments .....	-13,089	-16,350	-17,186
1261 Adjustments: Capitalized interest .....	4,101	81	80
1264 Other adjustments, net (+ or -) .....	-870	-529	-568
1290 Outstanding, end of year .....	225,552	230,096	233,913
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	56,581	59,688	60,472
1150 Total direct loan obligations .....	56,581	59,688	60,472
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	346,799	373,453	398,025
1231 Disbursements: Direct loan disbursements .....	48,683	51,584	52,334
1251 Repayments: Repayments and prepayments .....	-27,208	-32,415	-35,601
1261 Adjustments: Capitalized interest .....	6,621	6,553	6,883
1264 Other adjustments, net (+ or -) .....	-1,442	-1,150	-1,268
1290 Outstanding, end of year .....	373,453	398,025	420,373
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	24,094	26,104	27,343
1150 Total direct loan obligations .....	24,094	26,104	27,343
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	116,515	129,050	140,339
1231 Disbursements: Direct loan disbursements .....	23,216	24,532	25,662
1251 Repayments: Repayments and prepayments .....	-12,407	-14,312	-16,172
1261 Adjustments: Capitalized interest .....	2,225	1,521	1,723
1264 Other adjustments, net (+ or -) .....	-499	-452	-518
1290 Outstanding, end of year .....	129,050	140,339	151,034
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	42,708	45,325	46,384
1150 Total direct loan obligations .....	42,708	45,325	46,384
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	320,669	355,675	386,800
1231 Disbursements: Direct loan disbursements .....	41,625	45,280	46,349
1251 Repayments: Repayments and prepayments .....	-10,824	-12,979	-14,163

1261 Adjustments: Capitalized interest .....	5,580		
1264 Other adjustments, net (+ or -) .....	-1,375	-1,176	-1,304
1290 Outstanding, end of year .....	355,675	386,800	417,682

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from Federal Direct Student Loans. Amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

Identification code 091-4253-0-3-502	2017 actual	2018 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury .....	24,545	20,842
Investments in U.S. securities:		
1106 Receivables, net .....	4,197	20,483
1206 Non-Federal assets: Receivables, net .....		80
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	998,822	1,083,730
1402 Interest receivable .....	59,538	71,981
1405 Allowance for subsidy cost (-) .....	-16,806	-40,663
1499 Net present value of assets related to direct loans .....	1,041,554	1,115,048
1901 Other Federal assets: Other assets .....		
1999 Total assets .....	1,070,296	1,156,453
LIABILITIES:		
Federal liabilities:		
2101 Accounts payable .....	3,727	2,484
2103 Debt .....	1,061,559	1,150,610
2105 Other .....	5,010	
2201 Non-Federal liabilities: Accounts payable .....		3,359
2999 Total liabilities .....	1,070,296	1,156,453
NET POSITION:		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	1,070,296	1,156,453

## FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

Identification code 091-4253-4-3-502	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
Credit program obligations:			
0713 Payment of interest to Treasury .....			154
0740 Negative subsidy obligations .....			6,281
0791 Direct program activities, subtotal .....			6,435
0900 Total new obligations, unexpired accounts .....			6,435
Budgetary resources:			
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....			8,503
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			-1,620
1801 Change in uncollected payments, Federal sources .....			-572
1825 Spending authority from offsetting collections applied to repay debt .....			124
1850 Spending auth from offsetting collections, mand (total) .....			-2,068
1900 Budget authority (total) .....			6,435
1930 Total budgetary resources available .....			6,435
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			6,435
3020 Outlays (gross) .....			-3,888
3050 Unpaid obligations, end of year .....			2,547
Uncollected payments:			
3070 Change in uncollected pymts, Fed sources, unexpired .....			572
3090 Uncollected pymts, Fed sources, end of year .....			572
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			3,119



Financing authority and disbursements, net:			
Mandatory:			
4090	Budget authority, gross .....	6,435	
Financing disbursements:			
4110	Outlays, gross (total) .....	3,888	
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Program subsidy .....	1,650	
4123	Repayment of principal, Stafford .....	1	
4123	Interest received on loans, Stafford .....	1	
4123	Origination Fees, Stafford .....	45	
4123	Repayment of principal, Unsubsidized Stafford .....	-1	
4123	Interest received on loans, Unsubsidized Stafford .....	1	
4123	Origination Fees, Unsubsidized Stafford .....	-45	
4123	Payment of principal, Consolidation .....	-33	
4123	Interest received on loans, Consolidation .....	1	
4130	Offsets against gross budget authority and outlays (total) ....	1,620	
Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired .....	572	
4160	Budget authority, net (mandatory) .....	8,627	
4170	Outlays, net (mandatory) .....	5,508	
4180	Budget authority, net (total) .....	8,627	
4190	Outlays, net (total) .....	5,508	

**Status of Direct Loans** (in millions of dollars)

Identification code 091-4253-4-3-502	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111	Direct loan obligations from current-year authority .....		-9,428
1150	Total direct loan obligations .....		-9,428
Cumulative balance of direct loans outstanding:			
1231	Disbursements: Direct loan disbursements .....		-4,483
1251	Repayments: Repayments and prepayments .....		1
1264	Other adjustments, net (+ or -) .....		5
1290	Outstanding, end of year .....		-4,477
Position with respect to appropriations act limitation on obligations:			
1111	Direct loan obligations from current-year authority .....		9,428
1150	Total direct loan obligations .....		9,428
Cumulative balance of direct loans outstanding:			
1231	Disbursements: Direct loan disbursements .....		4,455
1251	Repayments: Repayments and prepayments .....		-1
1264	Other adjustments, net (+ or -) .....		-7
1290	Outstanding, end of year .....		4,447
Cumulative balance of direct loans outstanding:			
1251	Repayments: Repayments and prepayments .....		-33
1290	Outstanding, end of year .....		-33

This presentation shows the non-budgetary effects of the cash flows to and from the Government resulting from the President's student loan reforms proposals.

## FEDERAL FAMILY EDUCATION LOAN PROGRAM ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 091-0231-0-1-502	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
Credit program obligations:			
0705	Reestimates of direct loan subsidy .....	837	2,345
0706	Interest on reestimates of direct loan subsidy .....	268	841
0707	Reestimates of loan guarantee subsidy .....	839	238
0708	Interest on reestimates of loan guarantee subsidy .....	602	237
0900	Total new obligations, unexpired accounts (object class 41.0) .....	2,546	3,661
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	2,546	3,661
1930	Total budgetary resources available .....	2,546	3,661

Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....	2,546	3,661
3020	Outlays (gross) .....	-2,546	-3,661

**Budget authority and outlays, net:**

Mandatory:			
4090	Budget authority, gross .....	2,546	3,661
Outlays, gross:			
4100	Outlays from new mandatory authority .....	2,546	3,661
4180	Budget authority, net (total) .....	2,546	3,661
4190	Outlays, net (total) .....	2,546	3,661

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 091-0231-0-1-502	2018 actual	2019 est.	2020 est.
Direct loan reestimates:			
135010	Direct Participation Agreement Reestimates .....	383	1,775
135012	Direct Standard Put Reestimates .....	495	1,411
135999	Total direct loan reestimates .....	878	3,186
Guaranteed loan reestimates:			
235006	FFEL Guarantees .....	1,431	-1,624
235999	Total guaranteed loan reestimates .....	1,431	-1,624

As required by the Federal Credit Reform Act of 1990, this program account records the subsidy costs associated with Federal Family Education Loans (FFEL), formerly guaranteed student loans, committed in 1992 and beyond. Beginning with the 1993 cohort of loans, mandatory administrative costs, specifically contract collection costs, are included in the FFEL subsidy estimates of each year's cohort. Subsidy amounts are estimated on a net present value basis.

A description of the FFEL program and accompanying tables are included under the Federal Direct Student Loan program account.

## FEDERAL FAMILY EDUCATION LOAN PROGRAM ACCOUNT

## (Legislative proposal, subject to PAYGO)

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 091-0231-4-1-502	2018 actual	2019 est.	2020 est.
Guaranteed loan subsidy budget authority:			
233006	FFEL Guarantees .....		-501
233999	Total subsidy budget authority .....		-501
Guaranteed loan subsidy outlays:			
234006	FFEL Guarantees .....		-501
234999	Total subsidy outlays .....		-501

This presentation shows the PAYGO effects of the President's student loan reforms, which are described in the Federal Direct Student Loan program account.

## FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 091-4251-0-3-502	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0101	Default claims .....	1,256	476
0102	Special allowance .....	52	3
0103	Interest benefits .....	228	53
0104	Death, disability, and bankruptcy claims .....	297	65
0105	Teacher loan forgiveness, other write-offs .....	16	
0107	Contract collection costs .....	7	10
0109	Rehab purchase fee .....		5
0110	Guaranty Agency account maintenance fees .....	14	12
0191	Subtotal, Stafford loans .....	1,870	624
0202	Default claims .....	1,298	625
0203	Special allowance .....	54	5
0204	Death, disability, and bankruptcy claims .....	451	64
0205	Teacher loan forgiveness, other write-offs .....	21	
0207	Contract collection costs .....	8	9
0209	Rehab purchase fee .....		4

FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 091–4251–0–3–502	2018 actual	2019 est.	2020 est.
0210 Guaranty Agency account maintenance fees .....	18	10	9
0291 Subtotal, Unsubsidized Stafford loans .....	1,850	717	574
0301 Default claims .....	10	69	54
0304 Death, disability, and bankruptcy claims .....	37	21	19
0307 Contract Collection Costs .....	1	1	1
0309 Rehab purchase fee .....	.....	1	1
0310 Guaranty Agency account maintenance fees .....	3	1	1
0391 Subtotal, PLUS loans .....	51	93	76
0403 Default claims .....	9	.....	.....
0409 Rehab purchase fee .....	.....	1	1
0491 Subtotal, SLS loans .....	9	1	1
0501 Default claims .....	3,436	2,711	2,221
0502 Special allowance .....	527	355	381
0503 Interest benefits .....	265	27	15
0504 Death, disability, and bankruptcy claims .....	626	640	631
0505 Teacher loan forgiveness, other write-offs .....	37	.....	.....
0507 Contract collection costs .....	20	13	15
0509 Rehab purchase fee .....	.....	5	5
0510 Guaranty Agency account maintenance fees .....	79	167	209
0591 Subtotal, Consolidations loans .....	4,990	3,918	3,477
Credit program obligations:			
0713 Payment of interest to Treasury .....	1,975	1,457	895
0742 Downward reestimates paid to receipt accounts .....	3	1,040	.....
0743 Interest on downward reestimates .....	7	1,059	.....
0791 Direct program activities, subtotal .....	1,985	3,556	895
0900 Total new obligations, unexpired accounts .....	10,755	8,909	5,514
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16,049	16,996	16,848
1021 Recoveries of prior year unpaid obligations .....	580	.....	.....
1023 Unobligated balances applied to repay debt .....	-2,260	.....	.....
1033 Recoveries of prior year paid obligations .....	338	.....	.....
1050 Unobligated balance (total) .....	14,707	16,996	16,848
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	13,044	8,761	6,833
1900 Budget authority (total) .....	13,044	8,761	6,833
1930 Total budgetary resources available .....	27,751	25,757	23,681
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16,996	16,848	18,167
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,264	979	979
3010 New obligations, unexpired accounts .....	10,755	8,909	5,514
3020 Outlays (gross) .....	-10,460	-8,909	-5,514
3040 Recoveries of prior year unpaid obligations, unexpired .....	-580	.....	.....
3050 Unpaid obligations, end of year .....	979	979	979
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,264	979	979
3200 Obligated balance, end of year .....	979	979	979
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	13,044	8,761	6,833
Financing disbursements:			
4110 Outlays, gross (total) .....	10,460	8,909	5,514
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward reestimate .....	-839	-238	.....
4120 Interest on upward reestimate .....	-602	-237	.....
4122 Interest on uninvested funds .....	-876	.....	.....
4123 Stafford recoveries on defaults .....	-1,441	-1,626	-1,305
4123 Stafford other fees .....	-52	.....	.....
4123 Stafford special allowance rebate .....	-412	-73	-26
4123 Unsubsidized Stafford recoveries on default .....	-1,556	-1,744	-1,411
4123 Unsubsidized Stafford other fees .....	-56	.....	.....
4123 Unsubsidized Stafford special allowance rebate .....	-557	-157	-68
4123 PLUS recoveries on defaults .....	-290	-220	-177
4123 PLUS other fees .....	-11	.....	.....
4123 PLUS special allowance rebate .....	-169	-27	-12
4123 SLS recoveries on defaults .....	-11	-4	-3
4123 SLS other fees .....	-1	.....	.....
4123 Consolidation recoveries on defaults .....	-4,293	-3,880	-3,494
4123 Consolidation loan holders fee .....	-1,280	-429	-297

4123 Consolidation other fees .....	-155	.....	.....
4123 Consolidation special allowance rebate .....	-781	-126	-40
4130 Offsets against gross budget authority and outlays (total) ....	-13,382	-8,761	-6,833
Additional offsets against financing authority only (total):			
4143 Recoveries of prior year paid obligations, unexpired accounts .....	338	.....	.....
4170 Outlays, net (mandatory) .....	-2,922	148	-1,319
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	-2,922	148	-1,319

## Status of Guaranteed Loans (in millions of dollars)

Identification code 091–4251–0–3–502	2018 actual	2019 est.	2020 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	22,472	19,488	18,564
2251 Repayments and prepayments .....	-1,285	-383	-51
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-1,256	-476	-382
2263 Terminations for default that result in claim payments .....	-297	-65	-54
2264 Other adjustments, net .....	-146	.....	.....
2290 Outstanding, end of year .....	19,488	18,564	18,077
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	18,513	17,636	17,174
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	4,367	4,262	3,226
2331 Disbursements for guaranteed loan claims .....	1,256	476	382
2351 Repayments of loans receivable .....	-1,234	-1,626	-1,305
2361 Write-offs of loans receivable .....	-297	-211	-156
2364 Other adjustments, net .....	170	325	299
2390 Outstanding, end of year .....	4,262	3,226	2,446
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	27,011	23,729	22,468
2251 Repayments and prepayments .....	-1,545	-572	-190
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-1,298	-625	-502
2263 Terminations for default that result in claim payments .....	-451	-64	-48
2264 Other adjustments, net .....	12	.....	.....
2290 Outstanding, end of year .....	23,729	22,468	21,728
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	22,543	21,344	20,641
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	9,743	9,645	8,130
2331 Disbursements for guaranteed loan claims .....	1,298	625	502
2351 Repayments of loans receivable .....	-1,333	-1,744	-1,411
2361 Write-offs of loans receivable .....	-451	-396	-336
2364 Other adjustments, net .....	388	.....	.....
2390 Outstanding, end of year .....	9,645	8,130	6,885
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	4,672	3,950	3,715
2251 Repayments and prepayments .....	-267	-88	-23
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-10	-126	-122
2263 Terminations for default that result in claim payments .....	-37	-21	-19
2264 Other adjustments, net .....	-408	.....	.....
2290 Outstanding, end of year .....	3,950	3,715	3,551
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	3,753	3,529	3,373
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	403	132	30
2331 Disbursements for guaranteed loan claims .....	10	126	122
2351 Repayments of loans receivable .....	-248	-220	-177
2361 Write-offs of loans receivable .....	-37	-8	-4
2364 Other adjustments, net .....	4	.....	40

2390	Outstanding, end of year .....	132	30	11
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	52	46	46
2251	Repayments and prepayments .....	-3		
Adjustments:				
2261	Terminations for default that result in loans receivable .....	-9		
2263	Terminations for default that result in claim payments .....			
2264	Other adjustments, net .....	6		
2290	Outstanding, end of year .....	46	46	46
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	44	44	44
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	265	276	272
2331	Disbursements for guaranteed loan claims .....	9		
2351	Repayments of loans receivable .....	-10	-4	-4
2361	Write-offs of loans receivable .....			
2364	Other adjustments, net .....	12		
2390	Outstanding, end of year .....	276	272	268
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	121,751	109,421	100,072
2251	Repayments and prepayments .....	-6,963	-5,998	-4,185
Adjustments:				
2261	Terminations for default that result in loans receivable .....	-3,436	-2,711	-2,221
2263	Terminations for default that result in claim payments .....	-626	-640	-631
2264	Other adjustments, net .....	-1,305		
2290	Outstanding, end of year .....	109,421	100,072	93,035
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	103,950	95,069	88,384
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	19,617	19,534	18,172
2331	Disbursements for guaranteed loan claims .....	3,436	2,711	2,221
2351	Repayments of loans receivable .....	-3,677	-3,880	-3,494
2361	Write-offs of loans receivable .....	-626	-593	-546
2364	Other adjustments, net .....	784	400	350
2390	Outstanding, end of year .....	19,534	18,172	16,703

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from Federal Family Education Loans, formerly guaranteed student loans, committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 091-4251-0-3-502	2017 actual	2018 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury .....	16,939	17,601
Investments in U.S. securities:		
1106 Receivables, net .....	188	448
1206 Non-Federal assets: Receivables, net .....		2
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross .....	34,395	33,849
1502 Interest receivable .....	7,217	7,803
1505 Allowance for subsidy cost (-) .....	-13,838	-15,186
1599 Net present value of assets related to defaulted guaranteed loans .....	27,774	26,466
1901 Other Federal assets: Other assets .....	2	
1999 Total assets .....	44,903	44,517
LIABILITIES:		
Federal liabilities:		
2101 Accounts payable .....		
2103 Debt .....	41,140	38,880
2105 Other .....	122	
Non-Federal liabilities:		
2201 Accounts payable .....	6	3,046
2204 Liabilities for loan guarantees .....	3,635	2,591

2999	Total liabilities .....	44,903	44,517
NET POSITION:			
3300	Cumulative results of operations .....		
4999	Total liabilities and net position .....	44,903	44,517

**FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 091-4251-4-3-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0110 Guaranty Agency account maintenance fees .....			-5
0191 Subtotal, Stafford loans .....			-5
0210 Guaranty Agency account maintenance fees .....			-4
0291 Subtotal, Unsubsidized Stafford loans .....			-4
0510 Guaranty Agency account maintenance fees .....			-105
0591 Subtotal, Consolidations loans .....			-105
Credit program obligations:			
0713 Payment of interest to Treasury .....			44
0741 Modification savings .....			501
0791 Direct program activities, subtotal .....			545
0900 Total new obligations, unexpired accounts .....			431
<b>Budgetary resources:</b>			
Financing authority:			
Appropriations, mandatory:			
1200 Appropriation .....			12
1900 Budget authority (total) .....			12
1930 Total budgetary resources available .....			12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			-419
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			431
3020 Outlays (gross) .....			-431
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			12
Financing disbursements:			
4110 Outlays, gross (total) .....			431
4180 Budget authority, net (total) .....			12
4190 Outlays, net (total) .....			431

This presentation shows the non-budgetary effects of the cash flows to and from the Government resulting from the President's student loan reform proposals.

**TEMPORARY STUDENT LOAN PURCHASE AUTHORITY FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

Identification code 091-4453-0-3-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0006 Contract collection costs .....	79	87	81
Credit program obligations:			
0713 Payment of interest to Treasury .....	1,452	1,277	974
0742 Downward reestimates paid to receipt accounts .....	59		
0743 Interest on downward reestimates .....	16		
0791 Direct program activities, subtotal .....	1,527	1,277	974
0900 Total new obligations, unexpired accounts .....	1,606	1,364	1,055
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	172	858	
1021 Recoveries of prior year unpaid obligations .....	651		
1023 Unobligated balances applied to repay debt .....	-172	-858	
1050 Unobligated balance (total) .....	651		
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	75		

TEMPORARY STUDENT LOAN PURCHASE AUTHORITY FINANCING ACCOUNT—Continued  
**Program and Financing—Continued**

Identification code 091-4453-0-3-502	2018 actual	2019 est.	2020 est.
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	5,543	8,023	5,372
1825 Spending authority from offsetting collections applied to repay debt .....	-3,805	-6,659	-4,317
1850 Spending auth from offsetting collections, mand (total) .....	1,738	1,364	1,055
1900 Budget authority (total) .....	1,813	1,364	1,055
1930 Total budgetary resources available .....	2,464	1,364	1,055
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	858		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	659	21	21
3010 New obligations, unexpired accounts .....	1,606	1,364	1,055
3020 Outlays (gross) .....	-1,593	-1,364	-1,055
3040 Recoveries of prior year unpaid obligations, unexpired .....	-651		
3050 Unpaid obligations, end of year .....	21	21	21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	659	21	21
3200 Obligated balance, end of year .....	21	21	21
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1,813	1,364	1,055
Financing disbursements:			
4110 Outlays, gross (total) .....	1,593	1,364	1,055
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward reestimate .....	-346	-1,296	
4120 Upward reestimate interest .....	-112	-479	
4122 Interest on uninvested funds .....	-102		
4123 Principal repayments .....	-3,927	-5,065	-4,354
4123 Interest repayments .....	-1,034	-1,183	-1,018
4123 Fees and other refunds .....	-22		
4130 Offsets against gross budget authority and outlays (total) ....	-5,543	-8,023	-5,372
4160 Budget authority, net (mandatory) .....	-3,730	-6,659	-4,317
4170 Outlays, net (mandatory) .....	-3,950	-6,659	-4,317
4180 Budget authority, net (total) .....	-3,730	-6,659	-4,317
4190 Outlays, net (total) .....	-3,950	-6,659	-4,317

**Status of Direct Loans** (in millions of dollars)

Identification code 091-4453-0-3-502	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	40,287	36,475	31,355
1251 Repayments: Repayments and prepayments .....	-3,927	-5,065	-4,354
1261 Adjustments: Capitalized interest .....		26	14
1264 Other adjustments, net (+ or -) .....	115	-81	-77
1290 Outstanding, end of year .....	36,475	31,355	26,938

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the participation interest program authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 091-4453-0-3-502	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	831	879
Investments in U.S. securities:		
1106 Receivables, net .....	620	1,227
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	40,287	36,475
1402 Interest receivable .....	3,948	4,713
1405 Allowance for subsidy cost (-) .....	2,072	458
1499 Net present value of assets related to direct loans .....	46,307	41,646
1999 Total assets .....	47,758	43,752

**LIABILITIES:**

Federal liabilities:		
2101 Accounts payable .....		
2103 Debt .....	47,654	43,752
2105 Other .....	104	
2201 Non-Federal liabilities: Accounts payable .....		
2999 Total liabilities .....	47,758	43,752
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	47,758	43,752

**STUDENT LOAN ACQUISITION ACCOUNT****Program and Financing** (in millions of dollars)

Identification code 091-4449-0-3-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0005 Contract collection costs .....	52	51	48
Credit program obligations:			
0713 Payment of interest to Treasury .....	758	812	574
0742 Downward reestimates paid to receipt accounts .....	120		
0743 Interest on downward reestimates .....	32		
0791 Direct program activities, subtotal .....	910	812	574
0900 Total new obligations, unexpired accounts .....	962	863	622
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	365	297	
1021 Recoveries of prior year unpaid obligations .....	3		
1023 Unobligated balances applied to repay debt .....	-365	-297	
1050 Unobligated balance (total) .....	3		
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	152		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3,675	5,164	3,268
1825 Spending authority from offsetting collections applied to repay debt .....	-2,571	-4,301	-2,646
1850 Spending auth from offsetting collections, mand (total) .....	1,104	863	622
1900 Budget authority (total) .....	1,256	863	622
1930 Total budgetary resources available .....	1,259	863	622
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	297		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	14	14
3010 New obligations, unexpired accounts .....	962	863	622
3020 Outlays (gross) .....	-954	-863	-622
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	14	14	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	14	14
3200 Obligated balance, end of year .....	14	14	14
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1,256	863	622
Financing disbursements:			
4110 Outlays, gross (total) .....	954	863	622
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward reestimate .....	-491	-1,049	
4120 Upward reestimate interest .....	-156	-362	
4122 Interest on uninvested funds .....	-52		
4123 Principal repayments .....	-2,343	-3,043	-2,652
4123 Borrower interest repayments .....	-617	-710	-616
4123 Fees and other refunds .....	-16		
4130 Offsets against gross budget authority and outlays (total) ....	-3,675	-5,164	-3,268
4160 Budget authority, net (mandatory) .....	-2,419	-4,301	-2,646
4170 Outlays, net (mandatory) .....	-2,721	-4,301	-2,646
4180 Budget authority, net (total) .....	-2,419	-4,301	-2,646
4190 Outlays, net (total) .....	-2,721	-4,301	-2,646

**Status of Direct Loans** (in millions of dollars)

Identification code 091-4449-0-3-502	2018 actual	2019 est.	2020 est.
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	21,374	19,276	16,202
1251 Repayments: Repayments and prepayments .....	-2,343	-3,043	-2,652
1261 Adjustments: Capitalized interest .....		17	9
1264 Other adjustments, net (+ or -) .....	245	-48	-47
1290 Outstanding, end of year .....	19,276	16,202	13,512

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the standard and short-term Put programs authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 091-4449-0-3-502	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	205	142
Investments in U.S. securities:		
1106 Receivables, net .....	459	1,302
<b>Net value of assets related to post-1991 direct loans receivable:</b>		
1401 Direct loans receivable, gross .....	21,374	19,276
1402 Interest receivable .....	2,224	2,435
1405 Allowance for subsidy cost (-) .....	1,657	-21
1499 Net present value of assets related to direct loans .....	25,255	21,690
1999 Total assets .....	25,919	23,134
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....		
2103 Debt .....	25,919	23,134
2201 Non-Federal liabilities: Accounts payable .....		
2999 Total liabilities .....	25,919	23,134
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	25,919	23,134

**TEMPORARY STUDENT LOAN PURCHASE AUTHORITY CONDUIT FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

Identification code 091-4459-0-3-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Contract collection costs .....	8	13	10
Credit program obligations:			
0713 Payment of interest to Treasury .....	48	10	8
0900 Total new obligations, unexpired accounts .....	56	23	18
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	14	
1021 Recoveries of prior year unpaid obligations .....	1		
1023 Unobligated balances applied to repay debt .....	-12	-14	
1050 Unobligated balance (total) .....	1		
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	139	131	89
1825 Spending authority from offsetting collections applied to repay debt .....	-70	-108	-71
1850 Spending auth from offsetting collections, mand (total) .....	69	23	18
1930 Total budgetary resources available .....	70	23	18
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	14		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	12	12
3010 New obligations, unexpired accounts .....	56	23	18
3020 Outlays (gross) .....	-55	-23	-18

3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	12	12	12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	12	12
3200 Obligated balance, end of year .....	12	12	12
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	69	23	18
Financing disbursements:			
4110 Outlays, gross (total) .....	55	23	18
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds .....	-2		
4123 Direct Conduit Fees .....	-5		
4123 Principal repayments .....	-96	-104	-66
4123 Interest repayments .....	-36	-27	-23
4130 Offsets against gross budget authority and outlays (total) ....	-139	-131	-89
4160 Budget authority, net (mandatory) .....	-70	-108	-71
4170 Outlays, net (mandatory) .....	-84	-108	-71
4180 Budget authority, net (total) .....	-70	-108	-71
4190 Outlays, net (total) .....	-84	-108	-71

**Status of Direct Loans** (in millions of dollars)

Identification code 091-4459-0-3-502	2018 actual	2019 est.	2020 est.
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	1,660	1,565	1,461
1251 Repayments: Repayments and prepayments .....	-96	-104	-66
1264 Other adjustments, net (+ or -) .....	1		
1290 Outstanding, end of year .....	1,565	1,461	1,395

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the asset-backed commercial paper conduit authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 091-4459-0-3-502	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	24	25
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	1,660	1,565
1402 Interest receivable .....	293	331
1405 Allowance for subsidy cost (-) .....	-400	-426
1499 Net present value of assets related to direct loans .....	1,553	1,470
1999 Total assets .....	1,577	1,495
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	1,577	1,495
2201 Non-Federal liabilities: Accounts payable .....		
2999 Total liabilities .....	1,577	1,495
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	1,577	1,495

**FEDERAL FAMILY EDUCATION LOAN LIQUIDATING ACCOUNT****Program and Financing** (in millions of dollars)

Identification code 091-0230-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0101 Interest benefits, net of origination fees .....	2	2	2
0103 Default claims .....	9	11	10
0104 Death, disability, and bankruptcy claims .....	13	11	9
0105 Contract collection costs .....	6	4	3
0191 Subtotal, Stafford loans .....	30	28	24
0201 Default claims .....	2	2	2
0202 Death, disability, and bankruptcy claims .....	3	4	4
0205 Contract collection costs .....	1	1	

FEDERAL FAMILY EDUCATION LOAN LIQUIDATING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 091–0230–0–1–502	2018 actual	2019 est.	2020 est.
0291 Subtotal, PLUS/SLS loans .....	6	7	6
0900 Total new obligations, unexpired accounts .....	36	35	30
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	99	171	
1021 Recoveries of prior year unpaid obligations .....	13		
1022 Capital transfer of unobligated balances to general fund .....	–99	–171	
1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	14		
Budget authority:			
1800 Spending authority from offsetting collections, mandatory:			
Collected .....	193	157	126
1820 Capital transfer of spending authority from offsetting			
collections to general fund .....		–122	–96
1850 Spending auth from offsetting collections, mand (total) .....	193	35	30
1930 Total budgetary resources available .....	207	35	30
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	171		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	16	11	11
3010 New obligations, unexpired accounts .....	36	35	30
3020 Outlays (gross) .....	–28	–35	–30
3040 Recoveries of prior year unpaid obligations, unexpired .....	–13		
3050 Unpaid obligations, end of year .....	11	11	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	16	11	11
3200 Obligated balance, end of year .....	11	11	11
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	193	35	30
Outlays, gross:			
4100 Outlays from new mandatory authority .....	28	24	30
4101 Outlays from mandatory balances .....		11	
4110 Outlays, gross (total) .....	28	35	30
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Fed collections on defaulted loans, Stafford .....	–88	–43	–35
4123 Fed collections on bankruptcies, Stafford .....		–2	–2
4123 Offsets against Federal tax refunds, Stafford .....		–46	–37
4123 Reimbursements from guaranty agencies, Stafford .....	–67	–32	–26
4123 Other collections, Stafford .....	–7	–9	–7
4123 Federal collections on defaulted loans, PLUS/SLS .....	–18	–13	–11
4123 Federal collections on bankruptcies, PLUS/SLS .....		–1	
4123 Offsets against Federal tax refunds, PLUS/SLS .....		–4	–3
4123 Reimbursements from guaranty agencies, PLUS/SLS .....	–14	–7	–5
4130 Offsets against gross budget authority and outlays (total) ....	–194	–157	–126
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired			
accounts .....	1		
4160 Budget authority, net (mandatory) .....		–122	–96
4170 Outlays, net (mandatory) .....	–166	–122	–96
4180 Budget authority, net (total) .....		–122	–96
4190 Outlays, net (total) .....	–166	–122	–96

## Status of Guaranteed Loans (in millions of dollars)

Identification code 091–0230–0–1–502	2018 actual	2019 est.	2020 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	418	397	371
2251 Repayments and prepayments .....	–10	–10	–9
Adjustments:			
2261 Terminations for default that result in loans receivable .....	–9	–6	–5
2263 Terminations for default that result in claim payments .....	–13	–11	–9
2264 Other adjustments, net .....	11	1	–1
2290 Outstanding, end of year .....	397	371	347
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of			
year .....	377	352	330

## Addendum:

Cumulative balance of defaulted guaranteed loans that result			
in loans receivable:			
2310 Outstanding, start of year .....	3,344	3,378	3,298
2331 Disbursements for guaranteed loan claims .....	9	6	5
2351 Repayments of loans receivable .....	–86	–64	–51
2361 Write-offs of loans receivable .....	–13	–13	–13
2364 Other adjustments, net .....	124	–9	–6
2390 Outstanding, end of year .....	3,378	3,298	3,233

## Cumulative balance of guaranteed loans outstanding:

2210 Outstanding, start of year .....	49	45	39
2251 Repayments and prepayments .....	–2	–2	–2
Adjustments:			
2261 Terminations for default that result in loans receivable .....	–2	–1	–1
2263 Terminations for default that result in claim payments .....	–3	–4	–4
2264 Other adjustments, net .....	3	1	1
2290 Outstanding, end of year .....	45	39	33

## Memorandum:

2299 Guaranteed amount of guaranteed loans outstanding, end of			
year .....	43	37	31

## Addendum:

Cumulative balance of defaulted guaranteed loans that result			
in loans receivable:			
2310 Outstanding, start of year .....	535	536	522
2331 Disbursements for guaranteed loan claims .....	2	1	1
2351 Repayments of loans receivable .....	–18	–11	–9
2361 Write-offs of loans receivable .....	–3	–3	–3
2364 Other adjustments, net .....	20	–1	
2390 Outstanding, end of year .....	536	522	511

As required by the Federal Credit Reform Act of 1990, this liquidating account records, for this program, all cash flows to and from the Government resulting from guaranteed student loans committed prior to 1992. This account is shown on a cash basis. All new loan activity in this program for 1992 and beyond is recorded in corresponding program and financing accounts.

## Balance Sheet (in millions of dollars)

Identification code 091–0230–0–1–502	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	115	182
1701 Defaulted guaranteed loans, gross .....	3,879	3,914
1702 Interest receivable .....	5,661	5,839
1703 Allowance for estimated uncollectible loans and interest (-) .....	–8,019	–8,078
1799 Value of assets related to loan guarantees .....	1,521	1,675
1999 Total assets .....	1,636	1,857
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	1,614	1,856
Non-Federal liabilities:		
2201 Accounts payable .....		
2204 Liabilities for loan guarantees .....	22	1
2999 Total liabilities .....	1,636	1,857
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	1,636	1,857

## Object Classification (in millions of dollars)

Identification code 091–0230–0–1–502	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
33.0 Investments and loans .....	11	7	6
41.0 Grants, subsidies, and contributions .....	9	13	11
42.0 Insurance claims and indemnities .....	16	15	13
99.0 Direct obligations .....	36	35	30
99.9 Total new obligations, unexpired accounts .....	36	35	30

## HEALTH EDUCATION ASSISTANCE LOANS PROGRAM ACCOUNT

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0247-0-1-552	2018 actual	2019 est.	2020 est.
Guaranteed loan reestimates:			
235001 HEAL Loan Guarantee .....	-8	-34	.....

Consistent with the Consolidated Appropriations Act, 2014 (P.L. 113-76), the Health Education Assistance Loans (HEAL) program was transferred to the Department of Education from the Department of Health and Human Services in 2014. The Department of Education assumed responsibility for the program and the authority to administer, service, collect, and enforce the program.

The HEAL program guarantees loans from private lenders to health professions students to pay for the costs of their training. As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with HEAL loan guarantees committed in 1992 and beyond (including modifications of HEAL loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of the program.

## HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 091-4300-0-3-552	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....	1	4	4
0715 Default Collection Costs .....	1	2	2
0742 Downward reestimates paid to receipt accounts .....	4	12	.....
0743 Interest on downward reestimates .....	4	22	.....
0900 Total new obligations, unexpired accounts .....	10	40	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	24	21	20
Financing authority:			
Borrowing authority, mandatory:			
1400 Collected .....	.....	34	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	7	5	5
1900 Budget authority (total) .....	7	39	5
1930 Total budgetary resources available .....	31	60	25
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	21	20	19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	10	40	6
3020 Outlays (gross) .....	-10	-40	-6
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	7	39	5
Financing disbursements:			
4110 Outlays, gross (total) .....	10	40	6
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds .....	-1	-2	-2
4123 Non-Federal sources .....	-6	-3	-3
4130 Offsets against gross budget authority and outlays (total) ....	-7	-5	-5
4160 Budget authority, net (mandatory) .....	.....	34	.....
4170 Outlays, net (mandatory) .....	3	35	1
4180 Budget authority, net (total) .....	.....	34	.....
4190 Outlays, net (total) .....	3	35	1

## Status of Guaranteed Loans (in millions of dollars)

Identification code 091-4300-0-3-552	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2143 Uncommitted limitation carried forward .....	.....	.....	.....
2150 Total guaranteed loan commitments .....	.....	.....	.....
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	165	163	153
2251 Repayments and prepayments .....	-6	-6	-6
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-1	-3	-3
2263 Terminations for default that result in claim payments .....	-1	-1	-1
2264 Other adjustments, net .....	6	.....	.....
2290 Outstanding, end of year .....	163	153	143
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	163	145	143
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	148	144	143
2331 Disbursements for guaranteed loan claims .....	1	3	3
2351 Repayments and prepayments .....	-4	-3	-3
2361 Write-offs of loans receivable .....	-1	-1	-1
2364 Other adjustments, net .....	.....	.....	.....
2390 Outstanding, end of year .....	144	143	142

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the Health Education Assistance Loan program. Amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

Identification code 091-4300-0-3-552	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	24	21
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross .....	148	144
1502 Interest receivable .....	18	19
1505 Allowance for subsidy cost (-) .....	2	12
1599 Net present value of assets related to defaulted guaranteed loans .....	168	175
1999 Total assets .....	192	196
<b>LIABILITIES:</b>		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	192	196
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	.....	.....
4999 Total liabilities and net position .....	192	196

## HEALTH EDUCATION ASSISTANCE LOANS LIQUIDATING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 091-4299-0-3-552	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0715 Default Collections Costs .....	1	1	1
0900 Total new obligations, unexpired accounts (object class 25.2) .....	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	5	.....
1022 Capital transfer of unobligated balances to general fund .....	-6	-5	.....
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	6	6	6
1820 Capital transfer of spending authority from offsetting collections to general fund .....	.....	-5	-5

HEALTH EDUCATION ASSISTANCE LOANS LIQUIDATING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 091-4299-0-3-552	2018 actual	2019 est.	2020 est.
1850 Spending auth from offsetting collections, mand (total) .....	6	1	1
1900 Budget authority (total) .....	6	1	1
1930 Total budgetary resources available .....	6	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	6	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-6	-6	-6
4180 Budget authority, net (total) .....		-5	-5
4190 Outlays, net (total) .....	-5	-5	-5

## Status of Guaranteed Loans (in millions of dollars)

Identification code 091-4299-0-3-552	2018 actual	2019 est.	2020 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	19	17	15
2251 Repayments and prepayments .....	-2	-2	-2
Adjustments:			
2261 Terminations for default that result in loans receivable .....			
2264 Other adjustments, net .....			
2290 Outstanding, end of year .....	17	15	13
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	17	15	13
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	250	253	249
2331 Disbursements for guaranteed loan claims .....			
2351 Repayments of loans receivable .....	-4	-4	-4
2361 Write-offs of loans receivable .....			
2364 Other adjustments, net .....	7		
2390 Outstanding, end of year .....	253	249	245

As required by the Federal Credit Reform Act of 1990, this liquidating account records, for this program, all cash flows to and from the Government resulting from guaranteed Health Education Assistance Loans loans committed prior to 1992. This account is shown on a cash basis. All loan activity in this program for 1992 and beyond is recorded in corresponding program and financing accounts.

## Balance Sheet (in millions of dollars)

Identification code 091-4299-0-3-552	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	6	5
1701 Defaulted guaranteed loans, gross .....	250	253
1702 Interest receivable .....		12
1703 Allowance for estimated uncollectible loans and interest (-) .....	-66	-80
1799 Value of assets related to loan guarantees .....	184	185
1999 Total assets .....	190	190
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....		173
Non-Federal liabilities:		
2204 Liabilities for loan guarantees .....		25
2207 Other .....	190	
2999 Total liabilities .....	190	198
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		-8

4999 Total liabilities and net position .....	190	190
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## INSTITUTE OF EDUCATION SCIENCES

## Federal Funds

## INSTITUTE OF EDUCATION SCIENCES

For carrying out activities authorized by the Education Sciences Reform Act of 2002, the National Assessment of Educational Progress Authorization Act, [section 208 of the Educational Technical Assistance Act of 2002,] and section 664 of the Individuals with Disabilities Education Act, [\$615,462,000] \$521,563,000, which shall remain available through September 30, [2020] 2021 [ : Provided, That funds available to carry out section 208 of the Educational Technical Assistance Act may be used to link Statewide elementary and secondary data systems with early childhood, postsecondary, and workforce data systems, or to further develop such systems: Provided further, That up to \$6,000,000 of the funds available to carry out section 208 of the Educational Technical Assistance Act may be used for awards to public or private organizations or agencies to support activities to improve data coordination, quality, and use at the local, State, and national levels]. (Department of Education Appropriations Act, 2019.)

## Program and Financing (in millions of dollars)

Identification code 091-1100-0-1-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Research, development, and dissemination .....	199	193	188
0002 Statistics .....	104	110	112
0003 Regional educational laboratories .....	64	55	
0004 National Assessment .....	147	151	149
0005 National Assessment Governing Board .....	9	8	8
0006 Research in special education .....	59	56	54
0007 Statewide longitudinal data systems .....	29	32	
0008 Special education studies and evaluations .....	9	11	11
0100 Total direct program .....	620	616	522
0799 Total direct obligations .....	620	616	522
0801 Reimbursable program activity .....	1		
0900 Total new obligations, unexpired accounts .....	621	616	522
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	56	52	51
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	60	52	51
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	613	615	522
1930 Total budgetary resources available .....	673	667	573
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	52	51	51
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	620	640	785
3010 New obligations, unexpired accounts .....	621	616	522
3020 Outlays (gross) .....	-590	-471	-521
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	640	785	786
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	619	639	784
3200 Obligated balance, end of year .....	639	784	785
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	613	615	522
Outlays, gross:			
4010 Outlays from new discretionary authority .....	163	102	82
4011 Outlays from discretionary balances .....	427	369	439
4020 Outlays, gross (total) .....	590	471	521
4180 Budget authority, net (total) .....	613	615	522
4190 Outlays, net (total) .....	590	471	521



**Research and Statistics:**

**Research, development, and dissemination.**—Funds support a diverse portfolio of research, development, and dissemination activities that provide parents, teachers, and schools with evidence-based information on effective educational practices.

**Statistics.**—Funds support the Department's statistical data collection activities, which are conducted by the National Center for Education Statistics (NCES). NCES collects, analyzes, and disseminates education statistics at all levels, from preschool through postsecondary and adult education, including statistics on international education activities.

**Assessment.**—Funds support the ongoing National Assessment of Educational Progress (NAEP) and the National Assessment Governing Board (NAGB). NAEP administers assessments to samples of students in order to gather reliable information about educational attainment in important academic areas. NAGB is responsible for formulating NAEP policy; developing student achievement levels; and selecting, consistent with the requirements of the statute, the subjects to be assessed.

**Research in special education.**—Funds support research to build the evidence base on improving special education and early intervention services and outcomes for infants, toddlers, and children with disabilities.

**Special education studies and evaluations.**—Funds support studies, evaluations, and assessments related to the implementation of the Individuals with Disabilities Education Act in order to improve special education and early intervention services and outcomes for infants, toddlers, and children with disabilities.

**Object Classification** (in millions of dollars)

Identification code 091–1100–0–1–503	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1	1	1
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	25	25	25
25.2 Other services from non-Federal sources .....	292	292	259
25.3 Other goods and services from Federal sources .....	2	2	2
25.5 Research and development contracts .....	45	45	18
25.7 Operation and maintenance of equipment .....	2	2	2
41.0 Grants, subsidies, and contributions .....	252	247	213
99.9 Total new obligations, unexpired accounts .....	621	616	522

**Employment Summary**

Identification code 091–1100–0–1–503	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	14	14	14

**DEPARTMENTAL MANAGEMENT****Federal Funds****DEPARTMENTAL MANAGEMENT****PROGRAM ADMINISTRATION**

For carrying out, to the extent not otherwise provided, the Department of Education Organization Act, including rental of conference rooms in the District of Columbia and hire of three passenger motor vehicles, **[\$430,000,000] \$447,000,000, of which up to \$27,000,000, to remain available until expended, shall be available for relocation expenses, and for the renovation and repair of leased buildings: Provided,** That, notwithstanding any other provision of law, none of the funds provided by this Act or provided by previous Appropriations Acts to the Department of Education available for obligation or expenditure in the current fiscal year may be used for any activity relating to implementing a reorganization that decentralizes, reduces the staffing level, or alters the responsibilities, structure, authority, or functionality of the Budget Service of the Department of Education, relative to the organization and operation of the Budget Service as in effect on January 1, 2018. (*Department of Education Appropriations Act, 2019.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 091–0800–0–1–503	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			1
Receipts:			
Current law:			
1130 Contributions .....		1	1
2000 Total: Balances and receipts .....		1	2
5099 Balance, end of year .....		1	2

**Program and Financing** (in millions of dollars)

Identification code 091–0800–0–1–503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Program administration .....	434	432	443
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		3	1
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		3	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	430	430	447
1120 Appropriations transferred to other acct [091–0249] .....			–5
1121 Appropriations transferred from other acct [091–0013] .....	3		
1160 Appropriation, discretionary (total) .....	433	430	442
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4		
1900 Budget authority (total) .....	437	430	442
1930 Total budgetary resources available .....	437	433	443
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	1	

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	129	139	134
3010 New obligations, unexpired accounts .....	434	432	443
3011 Obligations ("upward adjustments"), expired accounts .....	5		
3020 Outlays (gross) .....	–422	–437	–435
3041 Recoveries of prior year unpaid obligations, expired .....	–7		
3050 Unpaid obligations, end of year .....	139	134	142
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–1	–1	–1
3090 Uncollected pymts, Fed sources, end of year .....	–1	–1	–1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	128	138	133
3200 Obligated balance, end of year .....	138	133	141

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	437	430	442
Outlays, gross:			
4010 Outlays from new discretionary authority .....	330	331	338
4011 Outlays from discretionary balances .....	92	106	97
4020 Outlays, gross (total) .....	422	437	435
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–3		
4033 Non-Federal sources .....	–1		
4040 Offsets against gross budget authority and outlays (total) .....	–4		
4070 Budget authority, net (discretionary) .....	433	430	442
4080 Outlays, net (discretionary) .....	418	437	435
4180 Budget authority, net (total) .....	433	430	442
4190 Outlays, net (total) .....	418	437	435

The Program Administration account includes the direct Federal costs of providing grants and administering early, elementary, and secondary education; Indian education; English language acquisition; higher education; career, technical, and adult education; special education programs; and programs for persons with disabilities. It also supports assessment, statistics, and research activities.

In addition, this account includes the cost of providing centralized support and administrative services, overall policy development, and strategic planning for the Department. Included in the centralized activities are rent

## DEPARTMENTAL MANAGEMENT—Continued

and mail services; telecommunications; contractual services; financial management and accounting, including payments to schools, education agencies and other grant recipients, and preparation of auditable financial statements; information technology services and security; personnel management; personnel security; budget formulation and execution; legal services; congressional and public relations; and intergovernmental affairs. Included in this account is the Department of Education's cost to relocate staff and renovate buildings occupied by Department staff.

Also included in this account are contributions from the public. Contributions not designated for a specific purpose are in the account's Gifts and Bequests Miscellaneous Fund.

**Reimbursable program.**—Reimbursements to this account are for providing administrative services to other agencies.

## Object Classification (in millions of dollars)

Identification code 091-0800-0-1-503	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	180	191	192
11.3 Other than full-time permanent .....	12	2	2
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	195	196	197
12.1 Civilian personnel benefits .....	62	65	65
13.0 Benefits for former personnel .....	1		
21.0 Travel and transportation of persons .....	2	4	4
23.1 Rental payments to GSA .....	36	39	39
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	2	2	2
25.2 Other services from non-Federal sources .....	24	22	23
25.3 Other goods and services from Federal sources .....	24	23	25
25.7 Operation and maintenance of equipment .....	76	75	70
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	1	
32.0 Land and structures .....	5	1	14
99.0 Direct obligations .....	432	431	442
99.5 Adjustment for rounding .....	2	1	1
99.9 Total new obligations, unexpired accounts .....	434	432	443

## Employment Summary

Identification code 091-0800-0-1-503	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,585	1,626	1,626

## INFORMATION TECHNOLOGY SYSTEM MODERNIZATION AND WORKING CAPITAL FUND

## Program and Financing (in millions of dollars)

Identification code 091-0249-0-1-503	2018 actual	2019 est.	2020 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [091-0800] ....			5
1930 Total budgetary resources available .....			5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross .....			5
4180 Budget authority, net (total) .....			5
4190 Outlays, net (total) .....			

The Information Technology System Modernization and Working Capital Fund (IT WCF), authorized by the Modernizing Government Technology (MGT) Act in 2018, may only be used: (A) to improve, retire, or replace existing information technology systems to enhance cybersecurity of existing systems and to improve efficiency and effectiveness; (B) to transition legacy information technology systems to cloud computing and other in-

novative platforms and technologies, including those serving more than one covered agency with common requirements; (C) to assist and support covered agency efforts to provide adequate, risk-based, and cost-effective information technology capabilities that address evolving threats to information security; and (D) to reimburse funds transferred to the agency from the Technology Modernization Fund. Establishing this account under the MGT authority would enable transfers of expiring administrative funds to this account with a 3 year period of availability for use on IT modernization activities.

## OFFICE FOR CIVIL RIGHTS

For expenses necessary for the Office for Civil Rights, as authorized by section 203 of the Department of Education Organization Act, \$125,000,000. (*Department of Education Appropriations Act, 2019.*)

## Program and Financing (in millions of dollars)

Identification code 091-0700-0-1-751	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0001 Civil rights .....	117	125	125
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	117	125	125
1930 Total budgetary resources available .....	118	126	126
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	26	26
3010 New obligations, unexpired accounts .....	117	125	125
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-108	-125	-124
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	26	26	27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	26	26
3200 Obligated balance, end of year .....	26	26	27
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross .....	117	125	125
Outlays, gross:			
4010 Outlays from new discretionary authority .....	96	105	104
4011 Outlays from discretionary balances .....	12	20	20
4020 Outlays, gross (total) .....	108	125	124
4180 Budget authority, net (total) .....	117	125	125
4190 Outlays, net (total) .....	108	125	124

The Office for Civil Rights is responsible for ensuring that no person is unlawfully discriminated against on the basis of race, color, national origin, sex, disability, or age in the delivery of services or the provision of benefits in programs or activities of schools and institutions receiving financial assistance from the Department of Education. The authorities under which the Office for Civil Rights operates are Title VI of the Civil Rights Act of 1964 (racial and ethnic discrimination), Title IX of the Education Amendments of 1972 (sex discrimination), section 504 of the Rehabilitation Act of 1973 (discrimination against individuals with a disability), the Age Discrimination Act of 1975, the Americans with Disabilities Act of 1990, and the Boy Scouts of America Equal Access Act of 2002.

## Object Classification (in millions of dollars)

Identification code 091-0700-0-1-751	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	59	68	68
11.3 Other than full-time permanent .....	2	2	2

11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	62	71	71
12.1	Civilian personnel benefits .....	20	24	24
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	9	9	9
25.2	Other services from non-Federal sources .....	2	1	1
25.3	Other goods and services from Federal sources .....	3	2	2
25.7	Operation and maintenance of equipment .....	18	17	17
32.0	Land and structures .....	2	.....	.....
99.9	Total new obligations, unexpired accounts .....	117	125	125

**Employment Summary**

Identification code 091-0700-0-1-751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	534	625	619

**OFFICE OF INSPECTOR GENERAL**

For expenses necessary for the Office of Inspector General, as authorized by section 212 of the Department of Education Organization Act, **[\$61,143,000] \$63,418,000, of which \$2,000,000 shall remain available until expended. (Department of Education Appropriations Act, 2019.)**

**Program and Financing (in millions of dollars)**

Identification code 091-1400-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Inspector General .....	61	62	65
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		4	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	61	61	63
1121 Appropriations transferred from other acct [091-0013] ....	4	.....	.....
1160 Appropriation, discretionary (total) .....	65	61	63
1930 Total budgetary resources available .....	65	65	66
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	3	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	13	13
3010 New obligations, unexpired accounts .....	61	62	65
3020 Outlays (gross) .....	-60	-62	-62
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	13	13	16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	13	13	13
3200 Obligated balance, end of year .....	13	13	16
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	65	61	63
Outlays, gross:			
4010 Outlays from new discretionary authority .....	51	49	50
4011 Outlays from discretionary balances .....	9	13	12
4020 Outlays, gross (total) .....	60	62	62
4180 Budget authority, net (total) .....	65	61	63
4190 Outlays, net (total) .....	60	62	62

The Inspector General is responsible for the quality, coverage, and coordination of audit and investigation functions relating to Federal education activities. The Inspector General has the authority to inquire into all activities of the Department, including those performed under Federal education contracts, grants, or other agreements. Under the Chief Financial Officers Act of 1990, the Inspector General is also responsible for internal reviews of the Department's financial systems and audits of its financial statements.

**Object Classification (in millions of dollars)**

Identification code 091-1400-0-1-751	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	26	30	31
11.5 Other personnel compensation .....	2	1	1
11.9 Total personnel compensation .....	28	31	32
12.1 Civilian personnel benefits .....	11	11	12
21.0 Travel and transportation of persons .....	1	1	2
23.1 Rental payments to GSA .....	6	7	7
25.1 Advisory and assistance services .....	1	.....	.....
25.2 Other services from non-Federal sources .....	3	3	3
25.3 Other goods and services from Federal sources .....	1	1	2
25.7 Operation and maintenance of equipment .....	7	6	6
31.0 Equipment .....	1	1	1
32.0 Land and structures .....	1	.....	.....
99.0 Direct obligations .....	60	61	65
99.5 Adjustment for rounding .....	1	1	.....
99.9 Total new obligations, unexpired accounts .....	61	62	65

**Employment Summary**

Identification code 091-1400-0-1-751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	224	247	254

**HURRICANE EDUCATION RECOVERY****Federal Funds****HURRICANE EDUCATION RECOVERY****Program and Financing (in millions of dollars)**

Identification code 091-0013-0-1-500	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Hurricane Education Recovery .....	1,179	1,514	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	1,179	1,514	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1,514	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,700	.....	.....
1120 Appropriations transferred to other acct [091-0800] .....	-3	.....	.....
1120 Appropriations transferred to other acct [091-1400] .....	-4	.....	.....
1160 Appropriation, discretionary (total) .....	2,693	.....	.....
1930 Total budgetary resources available .....	2,693	1,514	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,514	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		946	669
3010 New obligations, unexpired accounts .....	1,179	1,514	.....
3020 Outlays (gross) .....	-233	-1,791	-668
3050 Unpaid obligations, end of year .....	946	669	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		946	669
3200 Obligated balance, end of year .....	946	669	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,693	.....	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	233	.....	.....
4011 Outlays from discretionary balances .....	.....	1,791	668
4020 Outlays, gross (total) .....	233	1,791	668
4180 Budget authority, net (total) .....	2,693	.....	.....
4190 Outlays, net (total) .....	233	1,791	668

Funds support the following six programs authorized under Public Law 115-123: Awards to Eligible Entities for Immediate Aid to Restart School

## HURRICANE EDUCATION RECOVERY—Continued

Operations; Temporary Emergency Impact Aid for Displaced Students; Assistance to Local Educational Agencies Serving Homeless Children and Youth enrolled as a result of displacement by a covered disaster or emergency; Project School Emergency Response to Violence activities authorized under section 4631(b) of the Elementary and Secondary Education Act, as amended; Emergency Assistance to Institutions of Higher Education (IHEs) and Students Attending IHEs from an area directly affected by a covered disaster or emergency; and payments to IHEs to help defray the unexpected expenses associated with enrolling students from IHEs at which operations have been disrupted by a covered disaster or emergency. Amounts in this schedule reflect balances that are spending out from prior-year appropriations.

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Offsetting receipts from the public:			
091-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....	1	2	2
091-271810 Federal Family Education Loan Program, Negative Subsidies: Legislative proposal, subject to PAYGO .....			501
091-271830 Federal Family Education Loan Program, Downward Reestimates of Subsidies .....	236	2,099	
091-274130 College Housing and Academic Facilities Loan, Downward Reestimates of Subsidies .....	9	6	
091-278110 Federal Direct Student Loan Program, Negative Subsidies .....	10,984	7,847	4,967
091-278110 Federal Direct Student Loan Program, Negative Subsidies: Legislative proposal, subject to PAYGO .....			3,763
091-278130 Federal Direct Student Loan Program, Downward Reestimates of Subsidies .....	15,555	2,309	
091-279430 TEACH Grant Program, Downward Reestimates of Subsidies .....		1	
091-279830 Health Education Assistance Loans, Downward Reestimates of Subsidies .....	8	34	
091-291500 Repayment of Loans, Capital Contributions, Higher Education Activities .....	528	1,120	554
091-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	56	47	47
General Fund Offsetting receipts from the public .....	27,377	13,465	9,834
Intragovernmental payments:			
091-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	-17		
General Fund Intragovernmental payments .....	-17		

## GENERAL PROVISIONS

## GENERAL PROVISIONS

SEC. 301. No funds appropriated in this Act may be used to prevent the implementation of programs of voluntary prayer and meditation in the public schools.

## (TRANSFER OF FUNDS)

SEC. 302. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the Department of Education in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: *Provided*, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: *Provided further*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

SEC. 303. Section 105(f)(1)(B)(ix) of the Compact of Free Association Amendments Act of 2003 (48 U.S.C. 1921d(f)(1)(B)(ix)) shall be applied by substituting "2019" for "2009".

SEC. 304. Funds appropriated in this Act and consolidated for evaluation purposes under section 8601(c) of the ESEA shall be available from July 1, [2019] 2020, through September 30, [2020] 2021.

SEC. 305. (a) An institution of higher education that maintains an endowment fund supported with funds appropriated for title III or V of the HEA for fiscal year

[2019] 2020 may use the income from that fund to award scholarships to students, subject to the limitation in section 331(c)(3)(B)(i) of the HEA. The use of such income for such purposes, prior to the enactment of this Act, shall be considered to have been an allowable use of that income, subject to that limitation.

(b) Subsection (a) shall be in effect until titles III and V of the HEA are reauthorized.

SEC. 306. Section 114(f) of the HEA (20 U.S.C. 1011c(f)) is amended by striking ["2018"] "2019" and inserting ["2019"] "2020".

SEC. 307. Section 458(a) of the HEA (20 U.S.C. 1087h(a)) is amended in paragraph (4) by striking "2018" and inserting "2019".

SEC. 308. Funds appropriated in this Act under the heading "Student Aid Administration" may be available for payments for student loan servicing to an institution of higher education that services outstanding Federal Perkins Loans under part E of title IV of the Higher Education Act of 1965 (20 U.S.C. 1087aa et seq.).

SEC. 309. (a) Section 455(f) of the Higher Education Act of 1965 (20 U.S.C. 1087e(f)) is amended—

(1) by redesignating paragraphs (3) and (4) as paragraphs (4) and (5), respectively; and

(2) by inserting after paragraph (2) the following:

"(A) EFFECT ON PRINCIPAL AND INTEREST.—A borrower of a loan made under this part who meets the requirements of subparagraph (B) shall be eligible for a deferment, during which periodic installments of principal need not be paid, and interest shall not accrue.

"(B) ELIGIBILITY.—A borrower of a loan made under this part shall be eligible for a deferment during—

"(i) any period in which such borrower is receiving treatment for cancer; and

"(ii) the 6 months after such period.

"(C) APPLICABILITY.—This paragraph shall apply with respect to loans—

"(i) made on or after the date of the enactment of this paragraph; or

"(ii) in repayment on the date of the enactment of this paragraph."

(b) Section 427(a)(2)(C) of the Higher Education Act of 1965 (20 U.S.C. 1077(a)(2)(C)) is amended—

(1) in clause (ii), by striking "; or" and inserting a semicolon;

(2) in clause (iii), by inserting "or" after the semicolon; and

(3) by inserting after clause (iii) the following:

"(iv) in which the borrower is receiving treatment for cancer and the 6 months after such period."

(c) Section 428(b)(1)(M) of the Higher Education Act of 1965 (20 U.S.C. 1078(b)(1)(M)) is amended—

(1) in clause (iii), by striking "or (II); or" and inserting a "or (II);";

(2) in clause (iv), by inserting "or" after the semicolon; and

(3) by adding at the end the following:

"(v) during which the borrower is receiving treatment for cancer and the 6 months after such period;"

(d) Section 464(c)(2) of the Higher Education Act of 1965 (20 U.S.C. 1087dd(c)(2)) is amended—

(1) in subparagraph (A)—

(A) in clause (iv), by striking "; or" and inserting a semicolon;

(B) in clause (v), by inserting "or" after the semicolon; and

(C) by inserting after clause (v) the following:

"(vi) during which the borrower is receiving treatment for cancer and the 6 months after such period;"

(e) Section 428H(e)(2) of the Higher Education Act of 1965 (20 U.S.C. 1078-8(e)(2)) is amended—

(1) in subparagraph (A), by striking "Interest" and inserting, "Except as provided in subparagraph (C), interest"; and

(2) by adding at the end the following:

"(C) Interest shall not accrue on a loan deferred under section 428(b)(1)(M)(v) or 427(a)(2)(C)(iv)."

(f) The amendments made by this Act shall apply with respect to loans—

(1) made on or after the date of the enactment of this Act; or

(2) in repayment on the date of the enactment of this Act.]

## [(RESCISSION)] (CANCELLATION)

SEC. 310. Of the unobligated balances available under the heading "Student Financial Assistance" for carrying out subpart 1 of part A of title IV of the HEA, \$600,000,000 are hereby [rescinded] permanently cancelled.

## [(RESCISSION)]

SEC. 311. Section 401(b)(7)(A)(iv)(IX) of the Higher Education Act of 1965 (20 U.S.C. 1070a(b)(7)(A)(iv)(IX)) is amended by striking "\$1,409,000,000" and inserting "\$1,370,000,000".

【SEC. 312. (a) An institution of higher education may, with explicit written consent of an applicant who has completed a FAFSA under such section 483(a), provide such information collected from the applicant's FAFSA as is necessary to a scholarship granting organization, including a tribal organization (defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 5304)), or to an organization assisting the applicant in applying for and receiving Federal, State, local, or tribal assistance, that is designated by the applicant to assist the applicant in applying for and receiving financial assistance for any component of the applicant's cost of attendance (defined in section 472 of the HEA) at that institution.

(b) An organization that receives information pursuant to subsection (a) shall not sell or otherwise share such information.

(c) This section shall be in effect until title IV of the HEA is reauthorized.】

【SEC. 313. For an additional amount for "Department of Education—Federal Direct Student Loan Program Account", \$350,000,000, to remain available until expended, shall be for the cost, as defined under section 502 of the Congressional Budget Act of 1974, of the Secretary of Education providing loan cancellation in the same manner as under section 455(m) of the Higher Education Act of 1965 (20 U.S.C. 1087e(m)), for borrowers of loans made under part D of title IV of such Act who would qualify for loan cancellation under section 455(m) except some, or all, of the 120 required payments under section 455(m)(1)(A) do not qualify for purposes of the program because they were monthly payments made in accordance with graduated or extended repayment plans as described under subparagraph (B) or (C) of section 455(d)(1) or the corresponding repayment plan for a consolidation loan made under section 455(g) and that were less than the amount calculated under section 455(d)(1)(A), based on a 10-year repayment period: *Provided*, That the monthly payment made 12 months before the borrower applied for loan cancellation as described in the matter preceding this proviso and the most recent monthly payment made by the borrower at the time of such application were each not less than the monthly amount that would be calculated under, and for which the borrower would otherwise qualify for, clause (i) or (iv) of section 455(m)(1)(A) regarding income-based or income-contingent repayment plans, with exception for a borrower who would have otherwise been eligible under this section but demonstrates an unusual fluctuation of income over the past 5 years: *Provided further*, That the total loan volume, including outstanding principal, fees, capitalized interest, or accrued interest, at application that is eligible for such loan cancellation by such borrowers shall not exceed \$500,000,000: *Provided further*, That the Secretary shall develop and make available a simple method for borrowers to apply for loan cancellation under this section within 60 days of enactment of this Act: *Provided further*, That the Secretary shall provide loan cancellation under this section to eligible borrowers on a first-come, first-serve basis, based on the date of application and subject to both the limitation on total loan volume at application for such loan cancellation specified in the second proviso and the availability of appropriations under this section: *Provided further*, That no borrower may, for the same service, receive a reduction of loan obligations under both this section and section 428J, 428K, 428L, or 460 of such Act.】

【SEC. 314. Of the amounts made available under this title under the heading "Student Aid Administration", \$2,300,000 shall be used by the Secretary of Education to conduct outreach to borrowers of loans made under part D of title IV of the Higher Education Act of 1965 who may intend to qualify for loan cancellation under section 455(m) of such Act (20 U.S.C. 1087e(m)), to ensure that borrowers are meeting the terms and conditions of such loan cancellation: *Provided*, That the Secretary shall specifically conduct outreach to assist borrowers who would qualify for loan cancellation under section 455(m) of such Act except that the borrower has made some, or all, of the 120 required payments under a repayment plan that is not described under section 455(m)(A) of such Act, to encourage borrowers to enroll in a qualifying repayment plan: *Provided further*, That the Secretary shall also communicate to all Direct Loan borrowers the full requirements of section 455(m) of such Act and improve the filing of employment certification by providing improved outreach and information such as outbound calls, electronic communications, ensuring prominent access to program requirements and benefits on each servicer's website, and creating an option for all borrowers to complete the entire payment certification process electronically and on a centralized website.】

【SEC. 315. (a) For any local educational agency that for fiscal year 2018, had an enrollment of eligible Federally connected children that was at least 35 percent of

the agency's total student enrollment and a per-pupil expenditure that was less than the average per-pupil expenditure of the State or of all the States, and was determined ineligible to receive a payment under section 7003(b)(2)(A) of the Elementary and Secondary Education Act of 1965 for failing to meet the average tax rate requirement for general fund purposes in section 7003(b)(2)(B)(i)(V)(bb), and whose calculated payment amount under section 7003(b) for the three years following fiscal year 2019 is less than 80 percent of the amount received for fiscal year 2019, the Secretary shall pay the local educational agency for the following three years not less than 90 percent of the total amount the local educational agency received under section 7003(b)(2) for fiscal year 2017 if such local educational agency—

(1) previously received a payment under section 7003(b)(2)(A) but did not receive a payment under section 7003(b)(2)(B)(ii) (or any predecessor of such provision) for each of fiscal years 2015 through 2017; and

(2) was considered a local educational agency described in section 7003(b)(2)(B)(i)(V) (or any predecessor of such provision) for each such fiscal year.

(b) For fiscal year 2020 and succeeding fiscal years, if a local educational agency described in subsection (a) is eligible to receive a basic support payment pursuant to section 7003(b)(2) of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 7703(b)(2)), the payment received by the local educational agency shall be calculated under section 7003(b)(2) of such Act and not under subsection (a).】

*Sec. 307. Section 402H(b) of the Higher Education Act of 1965 (20 U.S.C. 1070a-18(b)) is amended —*

*(a) in paragraph (2) —*

*(1) by striking "shall" and inserting "may" in the material before clause (i) in subparagraph (A); and*

*(2) by inserting "the rigorous evaluation of the programs authorized by this chapter, which may also include" after "primary purpose" in subparagraph (B); and*

*(b) by striking paragraph (3) in its entirety and redesignating paragraph (4) as paragraph (3).*

*Sec. 308. Notwithstanding section 302, the Secretary of Education may transfer up to 10 percent of any amounts that are made available to the "Program Administration", "Office for Civil Rights", or "Office of Inspector General" accounts in this Act to the "Information Technology System Modernization and Working Capital Fund" (IT WCF), as authorized by section 1077(b)(1) of title X of division A of the National Defense Authorization Act for Fiscal Year 2018: *Provided*, That any amounts transferred to the IT WCF shall remain available for 3 fiscal years: *Provided further*, That the Secretary shall notify the Committees on Appropriations of the Senate and the House of Representatives at least 15 days in advance of any such transfer.*

*Sec. 309. Notwithstanding any other provision of law, the Secretary may reserve not more than 0.5 percent from any amount made available in this Act for an HEA program, except for any amounts made available for subpart 1 of part A of title IV of the HEA, to carry out rigorous and independent evaluations and to collect and analyze outcome data for any program authorized by the HEA: *Provided*, That no funds made available in this Act for the "Student Aid Administration" account shall be subject to the reservation under this section: *Provided further*, That any funds reserved under this section shall be available through September 30, 2021: *Provided further*, That if, under any other provision of law, funds are authorized to be reserved or used for evaluation activities with respect to a program or project, the Secretary may also reserve funds for such program or project for the purposes described in this section so long as the total reservation of funds for such program or project does not exceed any statutory limits on such reservations: *Provided further*, That not later than 10 days prior to the initial obligation of funds reserved under this section, the Secretary shall submit to the Committees on Appropriations of the Senate and the House of Representatives, the Committee on Health, Education, Labor and Pensions of the Senate, and the Committee on Education and Labor of the House of Representatives a plan that identifies the source and amount of funds reserved under this section, the impact on program grantees if funds are withheld for the purposes of this section, and the activities to be carried out with such funds. (Department of Education Appropriations Act, 2019.)*

